

# Black hole perturbations in modified gravity

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December 14, 2021

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# Introduction

- Modified gravity theories: predictions different from GR
- Important test: quasinormal modes of black holes
- Up to now, theoretical computations are rare
- Present a systematic algorithm to extract physical information and perform numerical analysis

## Modified gravity: scalar-tensor theories

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# Motivation for beyond-GR theories

## Heuristic approach

- Design new tests of GR beyond a null hypothesis check
- EFT of some high energy theory

## Issues of GR

- Singularities (Big Bang, black holes)
- Cosmic expansion

⇒ Important to look for extensions of GR  
⇒ Need to develop tests of these modified theories

## Scalar-tensor gravity

Consider a specific class: quadratic Horndeski theory

$$S[g_{\mu\nu}, \phi] = \int d^4x \left( F(X)R + P(X) + Q(X)\square X + 2F'(X) \left( \phi_{\mu\nu}\phi^{\mu\nu} - (\square\phi)^2 \right) \right),$$
$$\phi_{\mu} = \nabla_{\mu}\phi, \quad X = \phi_{\mu}\phi^{\mu}$$

- New scalar degree of freedom
- Non-minimal coupling between scalar and metric
- More involved dynamics even in vacuum

# Tests of modified gravity

Where to look for traces of modified gravity?

## Black holes

- New solutions
- Different dynamics

## Large scale structures

- Different growth rate
- Screenings

## Cosmology

- Primordial GWs
- CMB

smaller larger 

- Each theory is tuned for a specific energy scale
- We focus on modifications of gravity in the black hole regime

# Quasinormal modes and the ringdown

Ringdown of a merger: excited BH emits GW at precise frequencies, the quasinormal modes

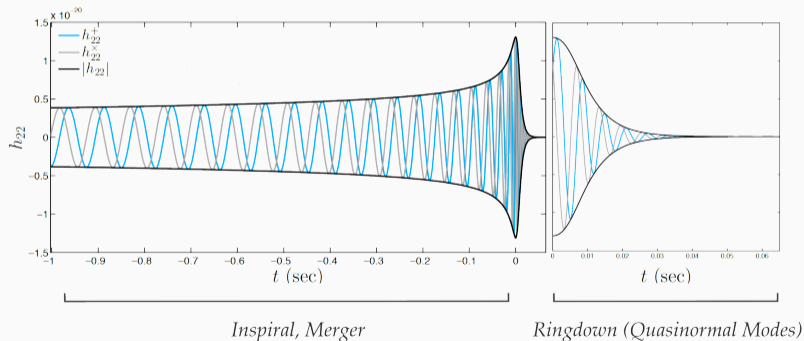
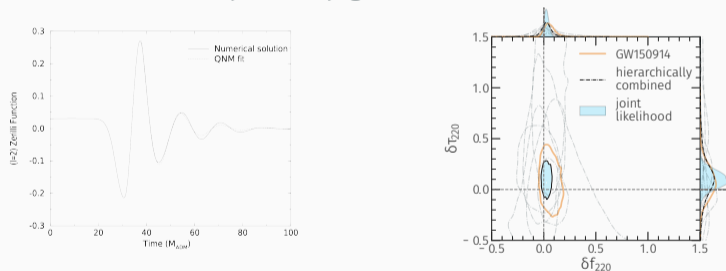


Figure 1: Ringdown phase of a binary black hole merger (L. London 2017)

# Measuring quasinormal modes

- Discrete set (similar to plucked string)
- Complex frequencies: energy loss due to emission towards infinity
- Depend a lot of the theory  $\rightarrow$  very good test



**Figure 2:** Principle of ringdown fit<sup>1</sup> and application to GW150914<sup>2</sup>.

<sup>1</sup> Kokkotas, K. D. and Schmidt, B. G. 1999.

<sup>2</sup> Ghosh, A., Brito, R., and Buonanno, A. arXiv: 2104.01906.



New black holes in DHOST: BCL solution<sup>3</sup>

Parameters of Horndeski:

$$F(X) = f_0 + f_1 \sqrt{X} \quad P(X) = -p_1 X, \quad Q(X) = 0$$

Metric sector: RN with imaginary charge

$$ds^2 = -A(r) dt^2 + \frac{1}{A(r)} dr^2 + r^2 d\Omega^2$$

$$A(r) = 1 - \frac{r_m}{r} - \zeta \frac{r_m^2}{r^2}, \quad \zeta = \frac{f_1^2}{2f_0 p_1 r_m^2}$$

Scalar sector

$$\phi = \psi(r), \quad \psi'(r) = \pm \frac{f_1}{p_1 r^2 \sqrt{A(r)}}$$

$$X(r) = \frac{f_1^2}{p_1^2 r^4}$$

<sup>3</sup> Babichev, E., Charmousis, C., and Lehébel, A. arXiv: 1702.01938.

# Quasinormal modes of a Schwarzschild black hole

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# Separating the degrees of freedom

1. Start with the Einstein-Hilbert action

$$S[g_{\mu\nu}] = \int d^4x \sqrt{-g} R$$

2. Static spherically symmetric background

$$\bar{g}_{\mu\nu} = \text{diag}(-A(r), 1/A(r), r^2, r^2 \sin^2 \theta), \quad A(r) = 1 - r_s/r$$

3. Perturb the metric:  $g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$  and linearise Einstein's equations  
 $\Rightarrow$  obtain 10 equations

4. Decompose the components of  $h_{\mu\nu}$  over spherical harmonics

5. Separate by parity: **polar** (even) and **axial** (odd) modes

6. Gauge fixing via  $h_{\mu\nu} \rightarrow h_{\mu\nu} + \nabla_\mu \tilde{\xi}_\nu + \nabla_\nu \tilde{\xi}_\mu$ :
  - Polar modes: 7 equations for  $K, H_0, H_1, H_2$
  - Axial modes: 3 equations for  $h_0, h_1$

7. Fourier transform:  $f(t, r) = \exp(-i\omega t)f(r)$

## Reducing the number of equations

Two systems with more equations than variables  $\rightarrow$  overconstrained?

### Axial modes

- 2 first-order equations
- 1 second-order equation

### Polar modes

- 4 first-order equations
- 2 second-order equations
- 1 algebraic equation

Interestingly, each system is equivalent to a **2-dimensional** system<sup>4</sup>:

$$\frac{dX_{\text{axial}}}{dr} = M_{\text{axial}}(r)X_{\text{axial}} \quad \text{and} \quad \frac{dX_{\text{polar}}}{dr} = M_{\text{polar}}(r)X_{\text{polar}}.$$

<sup>4</sup> Regge, T. and Wheeler, J. A. 1957; Zerilli, F. J. 1970.

# Final system of equations

Axial modes

$$X_{\text{axial}} = {}^t (h_0 \quad h_1/\omega)$$

$$M_{\text{axial}} = \begin{pmatrix} \frac{2}{r} & 2i\lambda \frac{r-r_s}{r^3} - i\omega^2 \\ -\frac{r^2}{(r-r_s)^2} & -\frac{r_s}{r(r-r_s)} \end{pmatrix}$$

(set  $2(\lambda + 1) = \ell(\ell + 1)$ )

Polar modes

$$X_{\text{polar}} = {}^t (K \quad H_1/\omega)$$

$$M_{\text{polar}} = \frac{1}{3r_s + 2\lambda r} \begin{pmatrix} \frac{a_{11}(r)+b_{11}(r)\omega^2}{r(r-r_s)} & \frac{a_{12}(r)+b_{12}(r)\omega^2}{r^2} \\ \frac{a_{21}(r)+b_{21}(r)\omega^2}{2(r-r_s)^2} & \frac{a_{22}(r)+b_{22}(r)\omega^2}{r(r-r_s)} \end{pmatrix}$$

⇒ goal to achieve: **simplify** these complicated differential systems

## Effect of a change of variables

Changing the functions in  $X$  is not a change of basis for  $M$ !

### Change of variables

$$\frac{dX}{dr} = M(r)X, \quad X = P(r)\tilde{X}$$

$$\frac{d\tilde{X}}{dr} = \tilde{M}(r)\tilde{X}, \quad \tilde{M} = P^{-1}MP - P^{-1}\frac{dP}{dr}$$

**Main idea:** find a change of variables that will put the equation into a better form

## Usual change of variables: propagation equation

Canonical form for  $\tilde{M}$ :

$$\tilde{M} = \begin{pmatrix} 0 & 1 \\ V(r) - \frac{\omega^2}{c^2} & 0 \end{pmatrix}$$

## Physical interpretation

$$\begin{cases} \tilde{X}'_0 = \tilde{X}_1, \\ \tilde{X}'_1 = (V(r) - \omega^2/c^2)\tilde{X}_0 \end{cases} \Rightarrow \frac{d^2\tilde{X}_0}{dr_*^2} + \left( \frac{\omega^2}{c^2} - V(r) \right) \tilde{X}_0 = 0, \quad \frac{dr}{dr_*} = A(r)$$

Schrödinger equation with potential  $V$ 

$r_*$ : "tortoise coordinate",  $r = r_s \rightarrow r_* = -\infty$  and  $r = +\infty \rightarrow r_* = +\infty$

# Interpretation of the equations

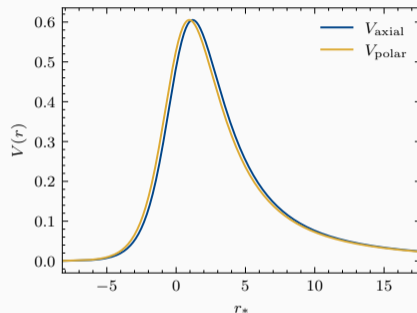
Axial case:

$$P_{\text{axial}} = \begin{pmatrix} 1 - r_s/r & r \\ ir^2/(r - r_s) & 0 \end{pmatrix}, \quad c = 1$$

At the horizon and infinity:

$$X_0(t, r) \propto e^{-i\omega(t \pm r_*)}$$

⇒ Propagation of **waves**



## Physical interpretation

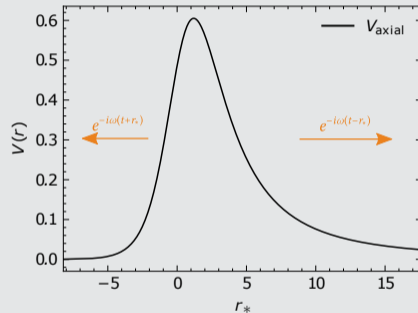
- Free propagation at  $c = 1$  near the horizon and infinity
- Scattering by the potential  $V$
- At infinity: recover gravitational waves in Minkowski



# Computation of the modes

## Quasinormal modes

- Waves *ingoing* at the horizon:  
 $e^{-i\omega(t+r_*)}$
- Waves *outgoing* at infinity:  $e^{-i\omega(t-r_*)}$

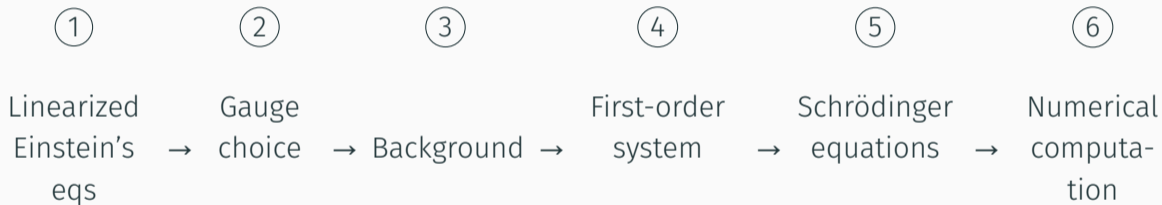


- 2 boundary conditions + 2<sup>nd</sup> order system  $\rightarrow$  conditions on  $\omega$
- “Eigenvalue problem”: find values of parameter such that solutions exist
- Very different from plucked string: wave propagation at each boundary!

# Quasinormal modes in modified gravity

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## Summary: computation of QNMs in GR



- Major difficulties:
- ① Many different theories
  - ③ Many different backgrounds
  - ⑤ Highly non-trivial change of variables!

## New challenges in modified gravity

### New theories

**Scalar-tensor:** new scalar degree of freedom that **couples to the polar mode**

### New backgrounds

**BCL solution:** more involved metric function

### Schrödinger equation

In general, very hard to solve:

$$\begin{pmatrix} 0 & 1 \\ V(r) - \frac{\omega^2}{c^2} & 0 \end{pmatrix} = P^{-1}MP - P^{-1}\frac{dP}{dr}$$

⇒ need for a systematic approach that does not rely on specific simplifications

## Example: polar BCL perturbations

$$A(r) = 1 - \frac{r_m}{r} - \xi \frac{r_m^2}{r^2}, \quad \xi = \frac{f_1^2}{2f_0 p_1 r_m^2}, \quad \phi'(r) = \pm \frac{f_1}{p_1 r^2 \sqrt{A(r)}}$$

$$M(r) = \begin{pmatrix} \frac{\omega^2 r^2}{A^2} - \frac{\lambda}{A} - \frac{r_m}{2rA} + \frac{r_m^2 S}{4r^4 A^2} & -\frac{1}{r} + \frac{U}{2r^3 A} & \frac{U}{r^4} & \frac{i(1+\lambda)}{\omega r^2} & \frac{V}{r^3} \\ -\frac{2}{r} - \frac{UV}{2r^5 A} & -\frac{i\omega V}{r^2 A} & \frac{2i\omega}{r} - \frac{i\omega U}{r^3 A} & -\frac{i\omega r}{A} + \frac{i(1+\lambda)U}{2r^3 \omega A} & -\frac{\lambda}{A} - \frac{3U}{2r^3 A} - \frac{\xi^2 r_m^4}{2r^4 A} \\ -\frac{1}{r} + \frac{U}{2r^3 A} & \frac{2}{r^2} - \frac{U^2}{2r^6 A} & -\frac{i\omega}{A} + \frac{i(1+\lambda)}{\omega r^2} & \frac{1}{r} - \frac{U}{2r^3 A} - \frac{UV}{2r^5 A} \end{pmatrix}$$

$$U(r) = r_m(r + \xi r_m), \quad V(r) = r^2 + \xi r_m^2, \quad S(r) = r^2 + 2\xi r(2r_m - r) + 2\xi^2 r_m^2.$$

# First-order system and boundary conditions

## Main idea

Skip step ⑤: get boundary conditions and perform numerical computations  
from the first-order system

## Steps to perform

- Find asymptotic behaviour at the horizon and infinity
- Identify ingoing and outgoing modes
- Use a numerical method that does not require Schrödinger equations

Naively:

$$\frac{dX}{dr} = MX, \quad M(r) = M_p r^p + O(r^{p-1}) \quad \Rightarrow \quad X \sim \exp\left(M_p \frac{r^{p+1}}{p+1}\right) X_c$$

## Failure of naive approach

### Axial Schwarzschild

$$M(r) = \begin{pmatrix} 0 & -i\omega^2 \\ -i & 0 \end{pmatrix} + O\left(\frac{1}{r}\right)$$

$$X \sim \begin{pmatrix} e^{i\omega r} & 0 \\ 0 & e^{-i\omega r} \end{pmatrix} X_c$$

### Polar Schwarzschild

$$M(r) = \begin{pmatrix} 0 & 0 \\ \frac{i\omega^2}{\lambda} & 0 \end{pmatrix} r^2 + O(r)$$

$$X \sim \begin{pmatrix} 1 & 0 \\ \frac{i\omega^2}{\lambda} \frac{r^3}{3} & 1 \end{pmatrix} X_c$$

### Problem

- We **do not recover** the  $e^{\pm i\omega r}$  behaviour all the time!
- This is because of a *nilpotent* leading order in the polar case
- A more advanced mathematical treatment is needed

## Mathematical results

Solution: behaviour studied in<sup>5</sup>, mathematical algorithm from<sup>6</sup>

### Mathematical algorithm

Main idea: *diagonalize M order by order* using

$$\tilde{M} = P^{-1}MP - P^{-1}\frac{dP}{dr}$$

⇒ important result: diagonalization is **always possible!**

General result:

$$M = M_p r^p + M_{p-1} r^{p-1} + \dots$$

$$\tilde{M} = D_q r^q + D_{q-1} r^{q-1} + \dots$$

$$X \sim e^{D(r)} r^{D-1} F(r) X_c$$

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<sup>5</sup> Wasow, W. 1965.

<sup>6</sup> Balser, W. 1999.



## Example for the BCL solution: polar perturbations at infinity

$$\tilde{M} \sim \left( \begin{array}{cc} \underbrace{-i\omega(1 + \frac{r_m}{r})}_{\text{Gravitational}} & \underbrace{-\sqrt{2}\omega(1 + \frac{r_m}{2r})}_{\text{Scalar}} \\ i\omega(1 + \frac{r_m}{r}) & \sqrt{2}\omega(1 + \frac{r_m}{2r}) \end{array} \right)$$

$$\mathfrak{g}_{\pm}^{\infty}(r) = a_{\pm} e^{\pm i\omega r} r^{\pm i\omega r_m},$$

$$\mathfrak{s}_{\pm}^{\infty}(r) = b_{\pm} e^{\pm \sqrt{2}\omega r} r^{\pm \omega r_m / \sqrt{2}},$$

- The modes are decoupled *locally*
- The gravitational mode propagates at  $c = 1$  at infinity
- One can identify one ingoing and one outgoing gravitational mode
- The scalar mode does not propagate at infinity

## Example for the BCL solution: polar perturbations at the horizon

$$\tilde{M} \sim \begin{pmatrix} -i\omega/c_0 & & & \\ & i\omega/c_0 & & \\ & & 1/2 & 1 \\ & & & 1/2 \end{pmatrix} \frac{1}{r-r_+}$$

$$\begin{aligned} g_{\pm}^{r_+}(r) &= c_{\pm} (r-r_+)^{\pm i\omega/c_0}, \\ s_1^{r_+}(r) &= (d_1 \ln(r-r_+) + d_2) \sqrt{r-r_+}, \\ s_2^{r_+}(r) &= d_1 \sqrt{r-r_+}, \end{aligned}$$

- The modes are again decoupled *locally*
- The gravitational mode propagates at  $c = c_0$  at the horizon
- One can identify one ingoing and one outgoing gravitational mode
- The scalar mode does not propagate at the horizon



# Conclusion

- Computing quasinormal modes can be very difficult in modified theories of gravity
- We propose a new technique: use the first-order system instead of looking for Schrödinger-like equations
- A mathematical algorithm enables us to decouple the modes asymptotically, which allows us to find their physical behaviour and obtain boundary conditions
- This approach is **systematical** and theory-agnostic: it can be applied to any theory of gravity and any background

Thank you for your attention!