Positive trees and equations

with one catalytic variable and one small unknown

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based on joined work with ENRICA DUCHI, IRIF, UNIVERSITÉ DE PARIS

Pour les 40 ans de l'article *Planar Maps are Well Labeled Trees* Robert Cori et Bernard Vauquelin, Canad. J. Math. 1981 11 octobre 2021, Bordeaux

Summary of the talk

Cori-Vauquelin's bijection, reloaded

A simple case study: Bicolored binary trees

Equations with one catalytic variable and one small unknown & systematic algebraic decompositions

Examples and applications

Extrait 1: talk at Séminaire Hypathie 2001

distances in quadrangulations and local rules, applications to random maps

Extrait 2: talk in honor of Robert Cori, 2009

from Cori-Vauquelin's "éclatement" to the local rule

Extrait 3: talk at AofA 2014

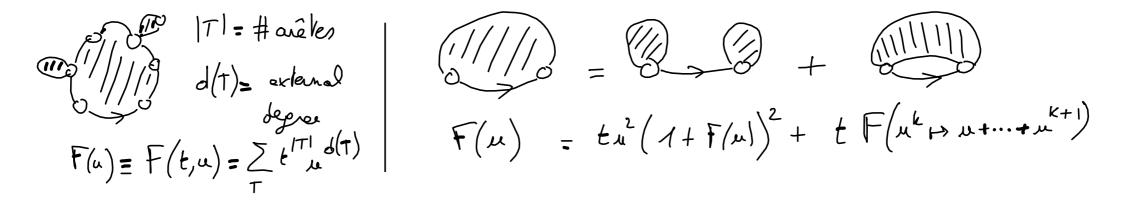
local rules, Miermont's roundup rule and 'patrons'

These various reformulations aim at explaining why we get well labeled trees from maps Currently the best explanation is given by the slice decompositions, as explained by Grégory.

Moreover the reformulation explain how one could deduce the local rules from the 'éclatement' not really how we could have found the éclatement without Bernard and Robert... So, how could we have found the éclatement without Bernard and Robert... ?

Before that, Robert had obtained various encodings of rooted planar maps with words in differences of algebraic languages... even more mysterious to me...

Even before W.T. Tutte had given recursive decompositions using catalytic parameters:



It is now well understood why Tutte's decomposition "easily" imply the final algebraic equations, thanks to Bousquet-Melou–Jehanne theorem (more later)

Could we have deduced the bijection with trees, or at least some direct algebraic decompositions from Tutte's equation?

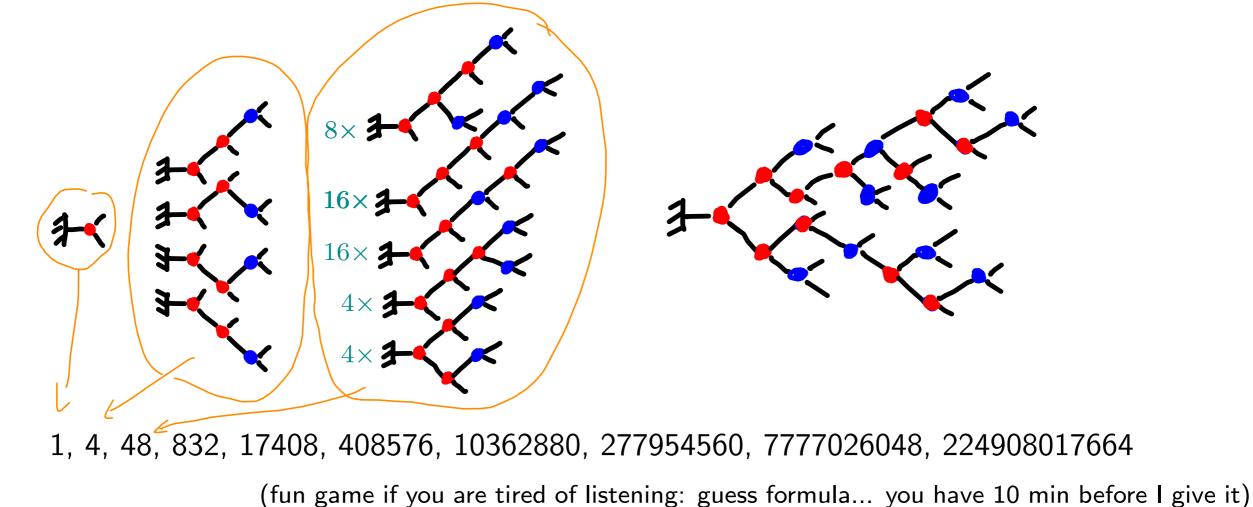
A simple case study: Bicolored binary trees

Dyck-Łukasiewicz trees

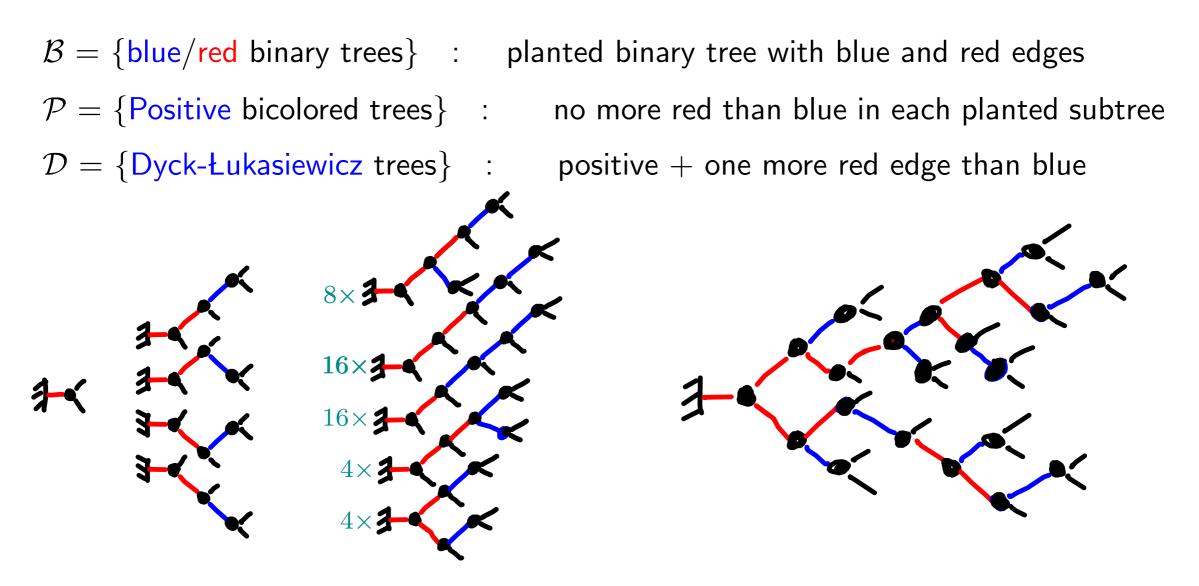
 $\mathcal{B} = \{ \text{Bicolored trees} \}$: rooted binary trees with blue and red inner vertices.

 $\mathcal{D} = \{ \mathsf{Dyck-Lukasiewicz trees} \}$: one more red vertex than blue

and no more red vertices than blue in each strict subtree



Reformulation as edge-bicolored trees



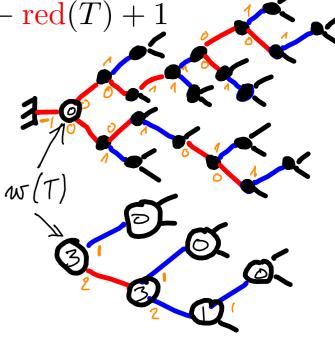
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A catalytic decomposition for positive bicolored trees

Let
$$F(u) \equiv F(u,t) = \sum_{T \in \mathcal{P}} u^{w(T)} t^{|T|}$$
, with $w(T) = \text{blue}(T) - \text{red}$

so that $f\equiv f(t)=[u^0]F(u)=\sum_{T\in\mathcal{D}}t^{|T|}$ is the gf of Dyck trees

and more generally $F_m = [u^m]F(u)$ is the gf of positive tree with root vertex weight m.

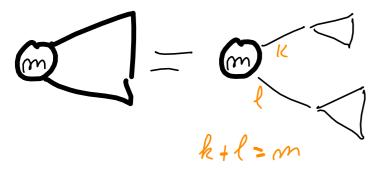


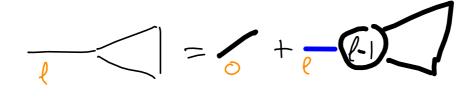
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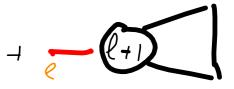
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and more generally $F_m = [u^m]F(u)$ is the gf of positive tree $w(T)$
with root vertex weight m .

Then:

$$F(u) = tX(u)^2 \quad \text{with} \quad X(u) = 1 + u \cdot F(u) + \frac{F(u) - f}{u}$$







Bousquet-Mélou–Jehanne's trick gives an algebraic system

$$\frac{\partial}{\partial u}$$
 applied to $F(u) = t \left(1 + u F(u) + \frac{F(u) - f}{u}\right)^2$

yields
$$\frac{\partial}{\partial u}F(u) = \frac{\partial}{\partial u}F(u) \cdot 2t \left(u + \frac{1}{u}\right) \left(1 + u F(u) + \frac{F(u) - f}{u}\right) + 2 \left(F(u) - \frac{1}{u} \frac{F(u) - f}{u}\right) \left(1 + u F(u) + \frac{F(u) - f}{u}\right)$$

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Let $U \equiv U(t)$ be the unique fps s.t. $U = 2t \, \left(U^2 + 1 \right) \left(1 + U \, F(U) + \frac{F(U) - f}{U} \right)$

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$$\begin{array}{rcl} & \frac{\partial}{\partial u} \text{ applied to } & F(u) = t \left(1 + u \, F(u) + \frac{F(u) - f}{u} \right)^2 \\ & \text{yields} & \frac{\partial}{\partial u} F(u) = \frac{\partial}{\partial u} F(u) \cdot 2t \, \left(u + \frac{1}{u} \right) \left(1 + u \, F(u) + \frac{F(u) - f}{u} \right) \\ & \quad + 2 \, \left(F(u) - \frac{1}{u} \, \frac{F(u) - f}{u} \right) \left(1 + u \, F(u) + \frac{F(u) - f}{u} \right) \\ & \text{Let } U \equiv U(t) \text{ be the unique fps s.t. } U = 2t \, \left(U^2 + 1 \right) \left(1 + U \, F(U) + \frac{F(U) - f}{U} \right) \\ & \text{ then the series } U, \, V = F(U) \text{ and } W = \frac{F(U) - f}{U} \text{ satisfy the system:} \\ & \left\{ \begin{array}{ll} U &=& 2t \, \left(U^2 + 1 \right) \left(1 + U \, V + W \right) \\ 0 &=& U \, V - W \\ V &=& t \, (1 + U \, V + W)^2 \end{array} \right. \end{array} \right.$$

Bousquet-Mélou-Jehanne's trick gives an algebraic system

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 $f = V - 4V^3$ where $V = t(1 + 4V^2)^2$

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$$[t^n]V = \frac{1}{n} [x^{n-1}](1+4x^2)^{2n} = \begin{cases} \frac{4^m}{2m+1} \binom{4m+2}{m} & \text{if } n = 2m+1, \\ 0 & \text{otherwise} \end{cases}$$

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Marking and identification of \boldsymbol{V}

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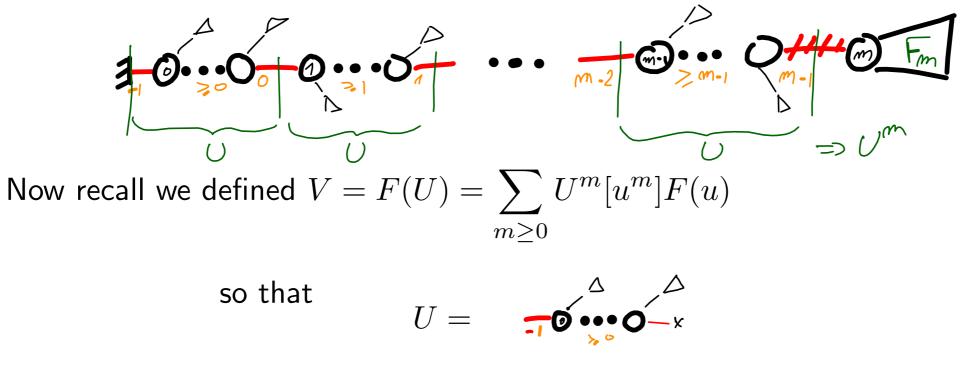
Observe that $[t^{2m+1}]V = (m+1)[t^{2m+1}]f = [t^{2m+1}]f^{-\bullet}$

 \Rightarrow V is the gf of (rooted) Dyck trees with a marked red edge

Last passage decomposition and identification of U

The series V is the gf of (rooted) Dyck trees with a marked red edge

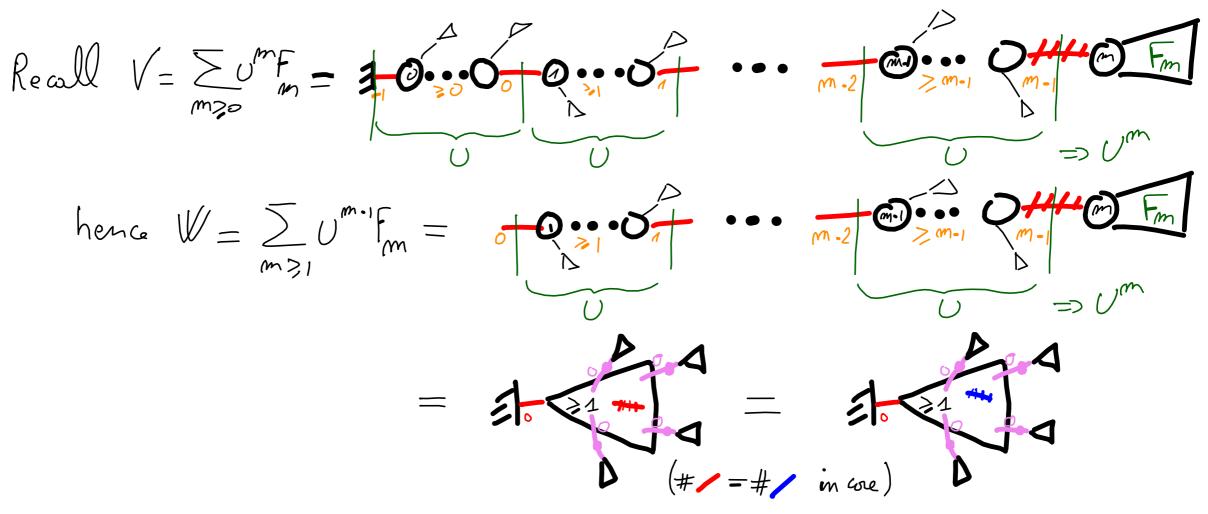
Consider a Łukasiewicz (or last passage) factorization of the weight sequence along the branch toward the root.



 \Rightarrow our series U is the gf of Dyck trees with a marked leaf !

The core of a balanced tree and identification of \boldsymbol{W}

The series V is the gf of (rooted) Dyck trees with a marked red edge The series U is the gf of Dyck trees with a marked leaf



 \Rightarrow W is the gf of balanced positive trees with a marked blue edge in their internally positive core.

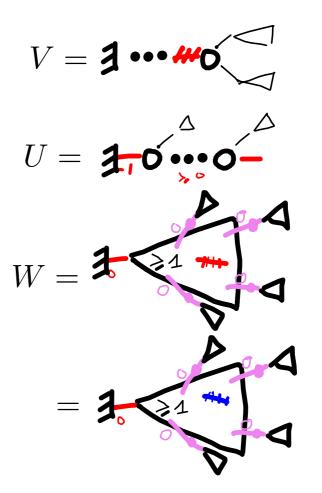
Decomposing marked Dyck-Łukasiewicz trees

Let's now restart from the combinatorial interpretations: let

- V denote the gf of (rooted) Dyck trees with a

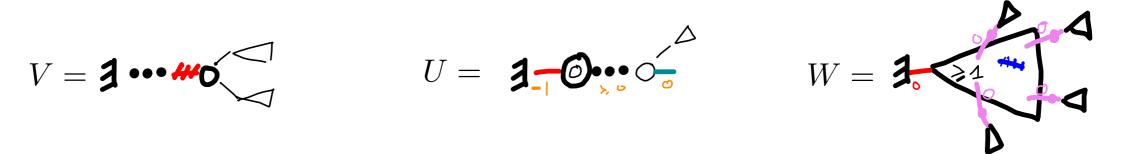
__> #**/ =** #**/**

• W denote the gf of balanced positive trees with a marked red edge in their internally positive core. W is also the gf of balanced positive trees with a marked blue edge in their internally positive core.



We would like a direct quaternary decomposition of these marked rooted trees to reprove directly that $V = t(1 + 4V^2)^2$.

Combinatorial derivation of U = 2V and W = UV





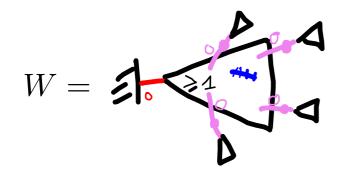
Claim: There is a 2-to-1 correspondance between Dyck trees with a marked leaf and Dyck trees with a marked red edge with the same size



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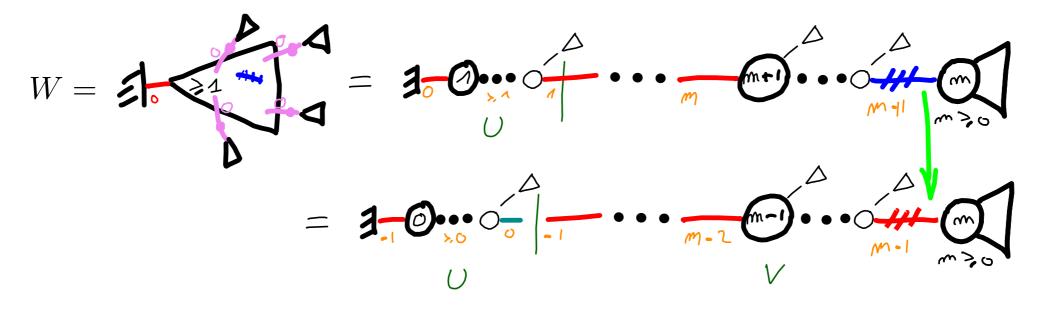




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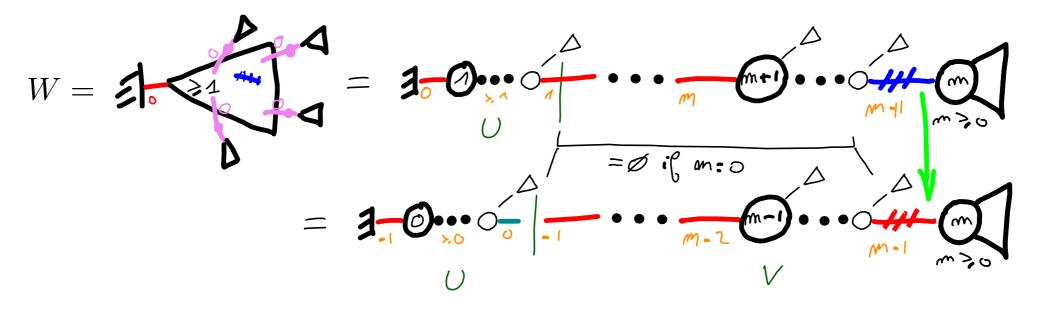


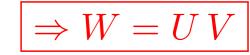
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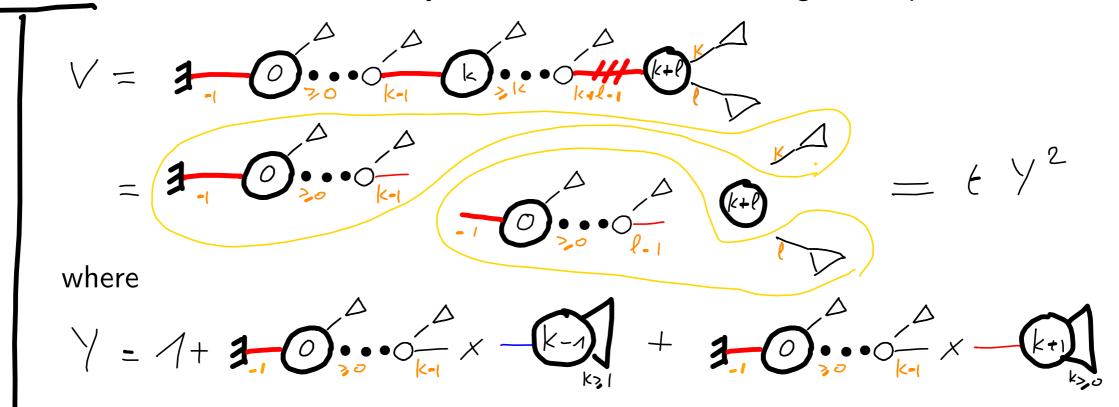


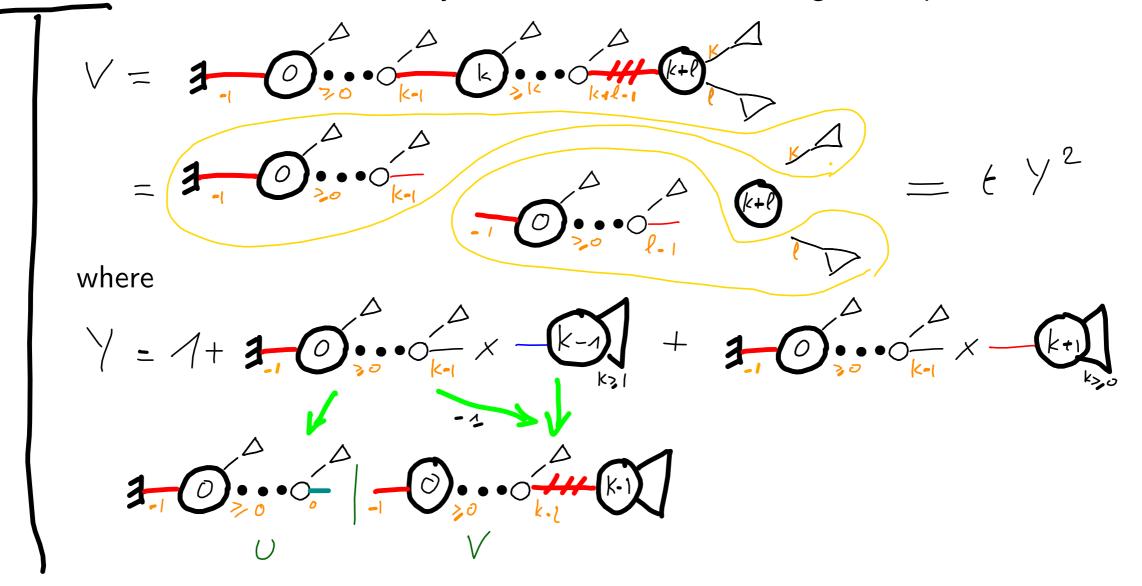


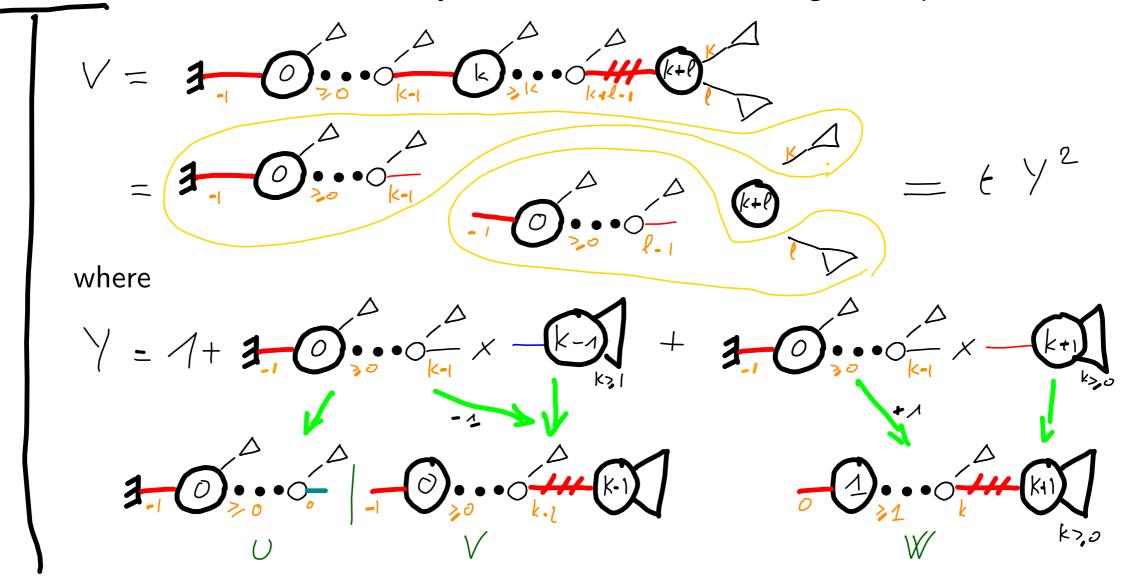
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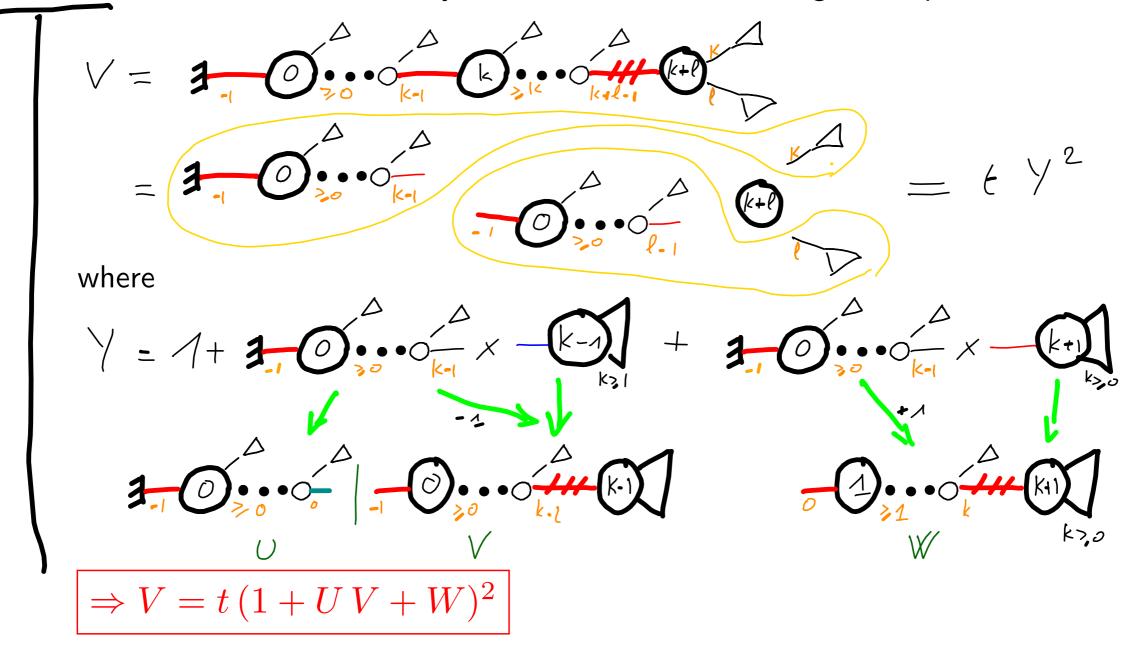






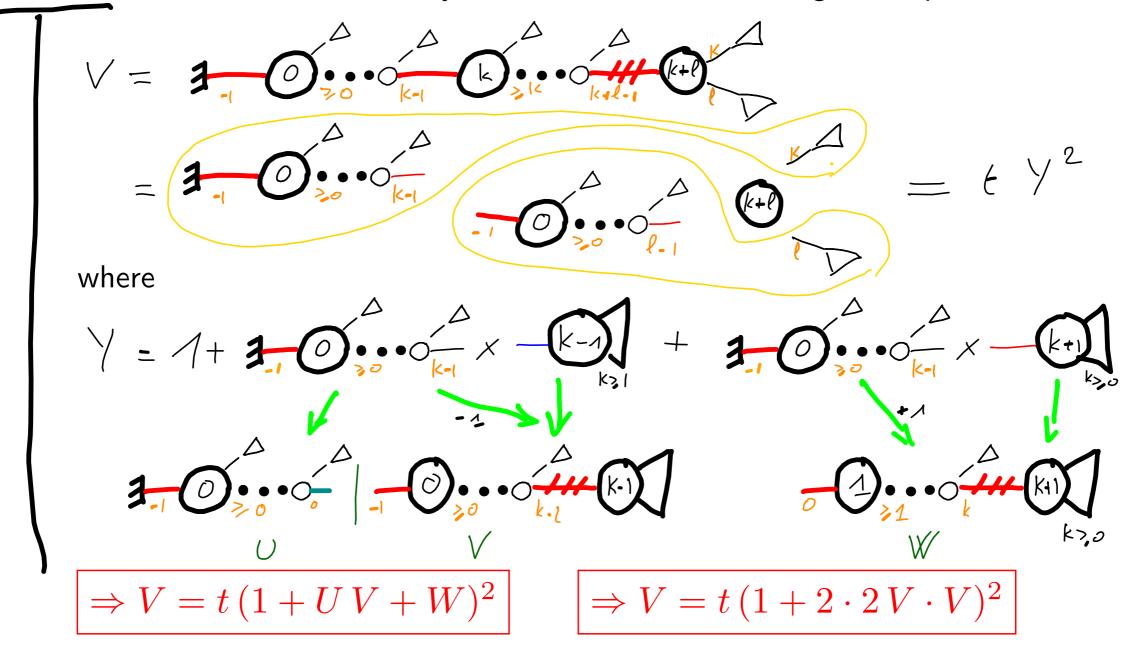






Finally, a quaternary decomposition of marked Dyck trees

Theorem: The class of marked Dyck trees admit the following decomposition:



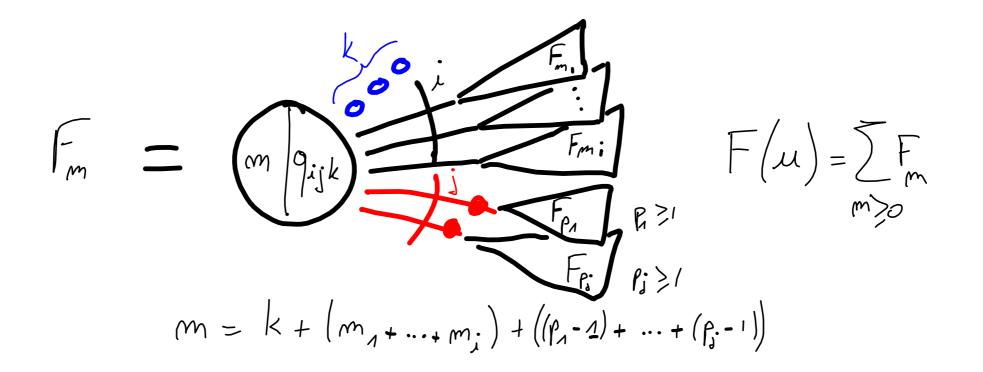
Generic equations with 1 catalytic variable and 1 small function.

The general case

 $Q(v, w, u) = \sum_{i, j, k \ge 0} q_{ijk} v^i w^j u^k$ a formal power series

 $F(u) \equiv F(u, \boldsymbol{a}, \boldsymbol{b}, t)$ the unique fps^{*} solution of

$$F(u) = t Q\left(F(u), \frac{b}{u}(F(u) - f), \mathbf{a} u\right)$$



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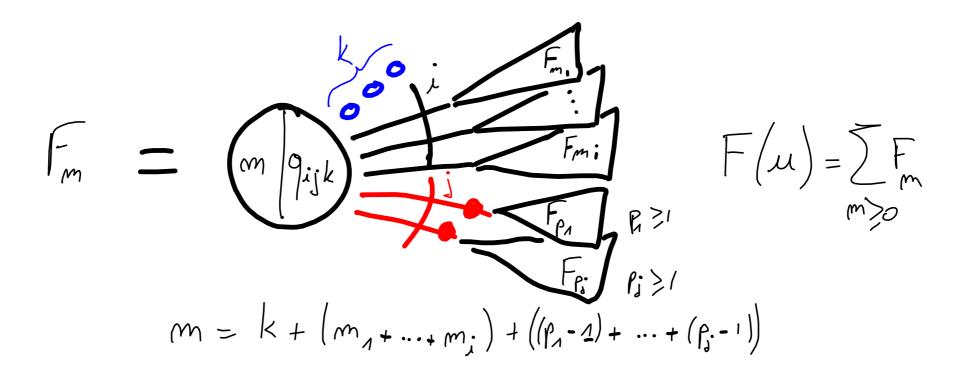
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Similar results hold for

$$F(u) = Q(F(u), \frac{5}{n}|F(u)-1), au, E)$$



The general case: Bousquet-Mélou–Jehanne's trick

$$rac{\partial}{\partial u}$$
 applied to $F(u) = t Q\left(F(u), rac{b}{u}(F(u) - f), rac{a}{u}u\right)$

$$F'_{u}(u) = F'_{u}(u) \cdot t \left(Q'_{v}(\ldots) + \frac{\mathbf{b}}{u} Q'_{w}(\ldots) \right) - t \frac{\mathbf{b}}{u} \frac{F(u) - f}{u} Q'_{w}(\ldots) + t \frac{\mathbf{a}}{u} Q'_{u}(\ldots)$$

Let $U \equiv U(t)$ be the unique fps s.t. $U = t U Q'_v \left(F(U), \frac{b F(U) - f}{U}, aU\right) + t \frac{b}{W} Q'_w \left(F(U), \frac{b F(U) - f}{U}, aU\right)$ Then $U, V = F(U), W = \frac{F(U) - f}{U}$ and f satisfy the system

$$\begin{cases} U = tUQ'_{v}(V, \mathbf{b}W, \mathbf{a}U) + t\mathbf{b}Q'_{w}(V, \mathbf{b}W, \mathbf{a}U) \\ 0 = -t\frac{\mathbf{b}}{U}WQ'_{w}(V, \mathbf{b}W, \mathbf{a}U) + t\mathbf{a}Q'_{u}(V, \mathbf{b}W, \mathbf{a}U) \\ V = tQ(V, \mathbf{b}W, \mathbf{a}U) \\ f = V - UW \end{cases}$$

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Then U,
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Used by Chapuy to derive singular behavior of f when Q is linear in w:

Drmota-Lalley-Woods give square root singular behavior for U, V, Wand delicate computations show that there is a cancellation in f = V - UWso that f systematically has $(1 - t/\rho)^{3/2}$ as singular behavior for proper polynomial Q

The general case: Drmota, Noy, Yu's trick*

$$U, V = F(U), W = \frac{F(U) - f}{U} \text{ and } f \text{ satisfy the system}$$

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\end{cases}$$

Use Line 1 to replace Q'_w by Q'_v in Line 2:

Then U, V = F(U), $W = \frac{F(U) - f}{U}$ and f are the unique fps satisfying the system

$$\begin{cases} U = tUQ'_v(V, bW, aU) + tbQ'_w(V, bW, aU) \\ W = tWQ'_v(V, bW, aU) + taQ'_u(V, bW, aU) \\ V = tQ(V, bW, aU) \\ f = V - UW \end{cases}$$

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The system for U, V, W is \mathbb{N} -algebraic but a priori no clear combinatorial relation to F and f.

$$F(u) = t Q\left(F(u), \frac{b}{u}(F(u) - f), \frac{a}{u}u\right)$$

•

 $\frac{\partial}{\partial u}: \quad F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{\mathbf{b}}{u} Q'_w(\ldots) \right) - t \frac{\mathbf{b}}{u} \frac{F(u) - f}{u} Q'_w(\ldots) + t \frac{\mathbf{a}}{u} Q'_u(\ldots)$

•

$$F(u) = t Q\left(F(u), \frac{b}{u}(F(u) - f), \frac{a}{u}u\right)$$

$$\frac{\partial}{\partial u}: \quad F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \frac{b}{u} \frac{F(u) - f}{u} Q'_w(\ldots) + t a Q'_u(\ldots)$$
Concluded by $\mu = U$

•

$$F(u) = t Q\left(F(u), \frac{b}{u}(F(u) - f), \frac{a}{u}u\right)$$

$$\frac{\partial}{\partial u}: \quad F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u}Q'_w(\ldots)\right) - t \frac{b}{u}\frac{F(u) - f}{u}Q'_w(\ldots) + t aQ'_u(\ldots)$$
Canaled by $\mu = U$

$$\frac{\partial}{\partial t}: \quad F'_t(u) = F'_t(u) \cdot t \, \left(Q'_v(\ldots) + \frac{\mathbf{b}}{u}Q'_w(\ldots)\right) - t \, \frac{\mathbf{b}}{u}f'_t \, Q'_w(\ldots) + Q(\ldots)$$

$$F(u) = t Q\left(F(u), \frac{b}{u}(F(u) - f), \frac{a}{u}u\right)$$

$$\frac{\partial}{\partial u}: \quad F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \frac{b}{u} \frac{F(u) - f}{u} Q'_w(\ldots) + t \frac{a}{u} Q'_u(\ldots)$$
Canaled by $\mu = O$

$$\frac{\partial}{\partial t}: \quad F'_t(u) = F'_t(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u}Q'_w(\ldots)\right) - t \frac{b}{u}f'_tQ'_w(\ldots) + Q(\ldots)$$

$$\Rightarrow \quad f'_t = \frac{U}{b} \frac{Q(\ldots)}{Q'_w(\ldots)}$$

$$F(u) = t Q \left(F(u), \frac{b}{u} (F(u) - f), a u \right)$$

$$\frac{\partial}{\partial u}: \quad F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \frac{b}{u} \frac{F(u) - f}{u} Q'_w(\ldots) + t a Q'_u(\ldots)$$

$$canaled \quad by \quad \mu = U$$

$$\frac{\partial}{\partial t}: \quad F'_t(u) = F'_t(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \frac{b}{u} f'_t Q'_w(\ldots) + Q(\ldots)$$

$$\Rightarrow \quad tf'_t = \underbrace{U}_{b} \frac{Q(\ldots)}{Q'_w(\ldots)}$$

$$\begin{split} F(u) &= t \, Q \left(F(u), \frac{b}{u} (F(u) - f), a \, u \right) \\ \frac{\partial}{\partial u} \colon & F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \, \frac{b}{u} \frac{F(u) - f}{u} Q'_w(\ldots) + t \, a Q'_u(\ldots) \\ & \text{canababy } \mu = \mathcal{O} \\ \frac{\partial}{\partial t} \colon & F'_t(u) = F'_t(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \, \frac{b}{u} f'_t Q'_w(\ldots) + Q(\ldots) \\ & \mu = \mathcal{O} \\ \end{pmatrix} \xrightarrow{} f'_t = \underbrace{V}_{b = Q'_u(\ldots)} \\ & \Rightarrow \quad t f'_t = \underbrace{V}_{b = Q'_u(\ldots)} \\ & \Rightarrow \quad t f'_t = \frac{t Q(\ldots)}{1 - t Q'_v(\ldots)} = \frac{V}{1 - t Q'_v(V, bW, aU)} \end{split}$$

Immediately implies that tf'_f has generic square root singularity without computations!

The general case: further useful observations!

•

$$F(u) = t Q\left(F(u), \frac{b}{u}(F(u) - f), \frac{a}{u}u\right)$$

$$\frac{\partial}{\partial u}: \quad F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u}Q'_w(\ldots)\right) - t \frac{b}{u}\frac{F(u) - f}{u}Q'_w(\ldots) + t aQ'_u(\ldots)$$
Cancel by $\mu = O$

$$\frac{\partial}{\partial t}: \quad F'_t(u) = F'_t(u) \cdot t \, \left(Q'_v(\ldots) + \frac{\mathbf{b}}{u} Q'_w(\ldots) \right) - t \, \frac{\mathbf{b}}{u} f'_t \, Q'_w(\ldots) + Q(\ldots)$$

$$\frac{\partial}{\partial \mathbf{b}}: \quad F'_{\mathbf{b}}(u) = F'_{\mathbf{b}}(u) \cdot t \, \left(Q'_{v}(\ldots) + \frac{\mathbf{b}}{u}Q'_{w}(\ldots)\right) + t \, \left(\frac{F(u) - f}{u} - \frac{\mathbf{b}}{u}f'_{\mathbf{b}}\right) \, Q'_{w}(\ldots)$$

The general case: further useful observations!

$$F(u) = t Q \left(F(u), \frac{b}{u} (F(u) - f), a u \right)$$

$$\frac{\partial}{\partial u}: F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \frac{b}{u} \frac{F(u) - f}{u} Q'_w(\ldots) + t a Q'_u(\ldots)$$

Canaled by $\mu = U$

$$\frac{\partial}{\partial t}: \quad F'_t(u) = F'_t(u) \cdot t \, \left(Q'_v(\ldots) + \frac{\mathbf{b}}{u}Q'_w(\ldots)\right) - t \, \frac{\mathbf{b}}{u}f'_t \, Q'_w(\ldots) + Q(\ldots)$$

$$\frac{\partial}{\partial b}: \quad F'_{b}(u) = F'_{b}(u) \cdot t \left(Q'_{v}(\ldots) + \frac{b}{u}Q'_{w}(\ldots)\right) + t \left(\frac{F(u) - f}{u} - \frac{b}{u}f'_{b}\right)Q'_{w}(\ldots)$$

$$\Rightarrow WU = bf'_{b} = af'_{a} \quad \text{and} \quad V = UW + f = b(bf)'_{b}$$

The general case: further useful observations!

$$F(u) = t Q \left(F(u), \frac{b}{u} (F(u) - f), a u \right)$$

$$\frac{\partial}{\partial u}: F'_u(u) = F'_u(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \frac{b}{u} \frac{F(u) - f}{u} Q'_w(\ldots) + t a Q'_u(\ldots)$$

$$canaled \quad by \quad \mu = U$$

$$\frac{\partial}{\partial t}: F'_t(u) = F'_t(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) - t \frac{b}{u} f'_t Q'_w(\ldots) + Q(\ldots)$$

$$\frac{\partial}{\partial b}: F'_b(u) = F'_b(u) \cdot t \left(Q'_v(\ldots) + \frac{b}{u} Q'_w(\ldots) \right) + t \left(\frac{F(u) - f}{u} - \frac{b}{u} f'_b \right) Q'_w(\ldots)$$

$$\frac{\partial}{\partial t}: U \quad O \qquad \Rightarrow \qquad WU = bf'_b = af'_a \quad \text{and} \quad V = UW + f = b(bf)'_b$$

Systematic combinatorial interpretation of V as marked trees!

$$\begin{cases} V = tQ(V, \mathbf{b}W, \mathbf{a}U) = \mathbf{b}f'_{\mathbf{b}} \\ U = tUQ'_{v}(V, \mathbf{b}W, \mathbf{a}U) + t\mathbf{b}Q'_{w}(V, \mathbf{b}W, \mathbf{a}U) \\ W = tWQ'_{v}(V, \mathbf{b}W, \mathbf{a}U) + t\mathbf{a}Q'_{u}(V, \mathbf{b}W, \mathbf{a}U) \\ (tf'_{t}) = t(tf'_{t})Q'_{v}(V, \mathbf{b}W, \mathbf{a}U) + V \end{cases}$$

$$F_{m} = (m_{q+m+m_{i}}) + ((p_{q}-2) + \dots + (p_{i}-1))$$

 \bigvee

$$\begin{cases} V = tQ(V, bW, aU) = bf'_{b} \\ U = tUQ'_{v}(V, bW, aU) + tbQ'_{w}(V, bW, aU) \\ W = tWQ'_{v}(V, bW, aU) + taQ'_{u}(V, bW, aU) \\ (tf'_{t}) = t(tf'_{t})Q'_{v}(V, bW, aU) + V \end{cases}$$

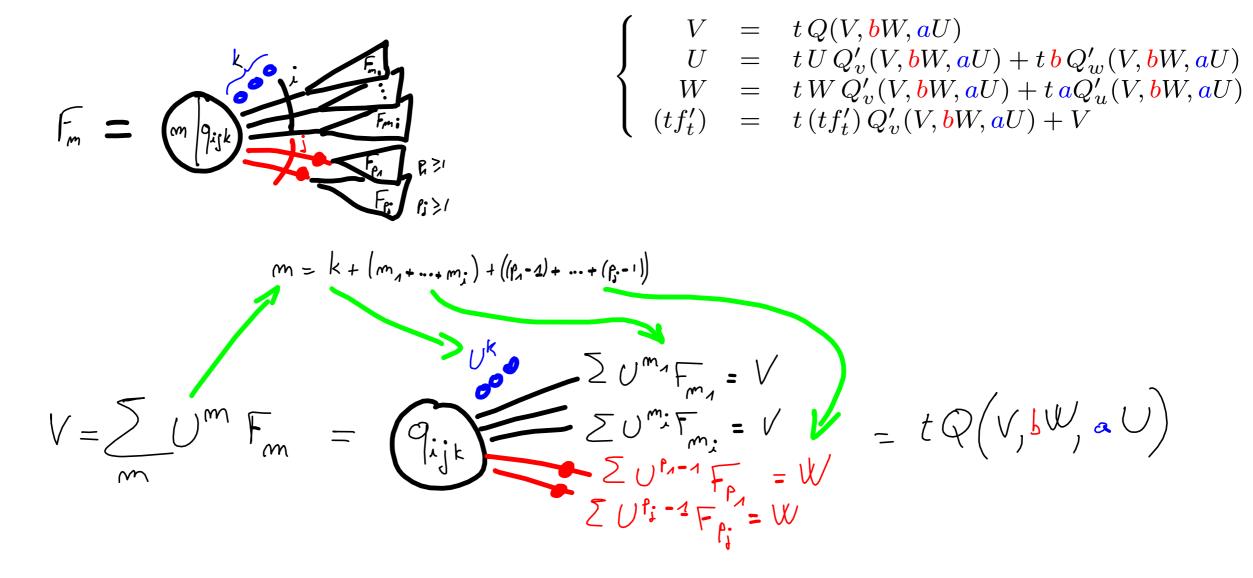
$$F_{m} = \bigoplus_{k \neq (m_{n} \neq \dots \neq m_{t})} f_{k} = f_{k}^{2t}$$

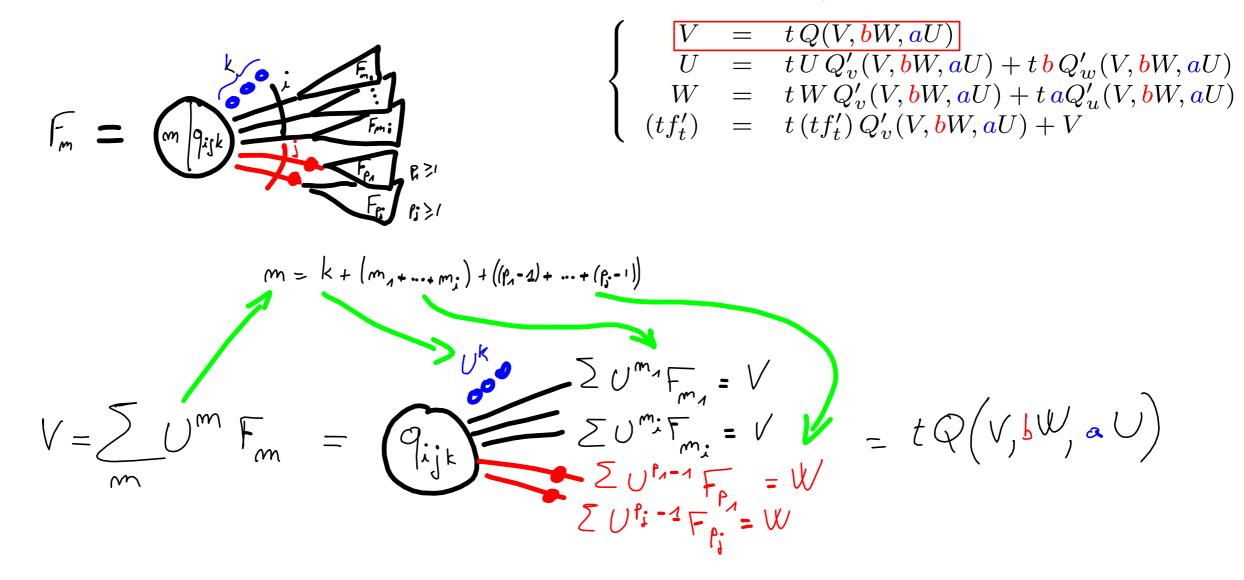
$$M = k + (m_{n} \neq \dots \neq m_{t}) + ((t_{k} \neq d) \neq \dots \neq (t_{t}^{-1}))$$

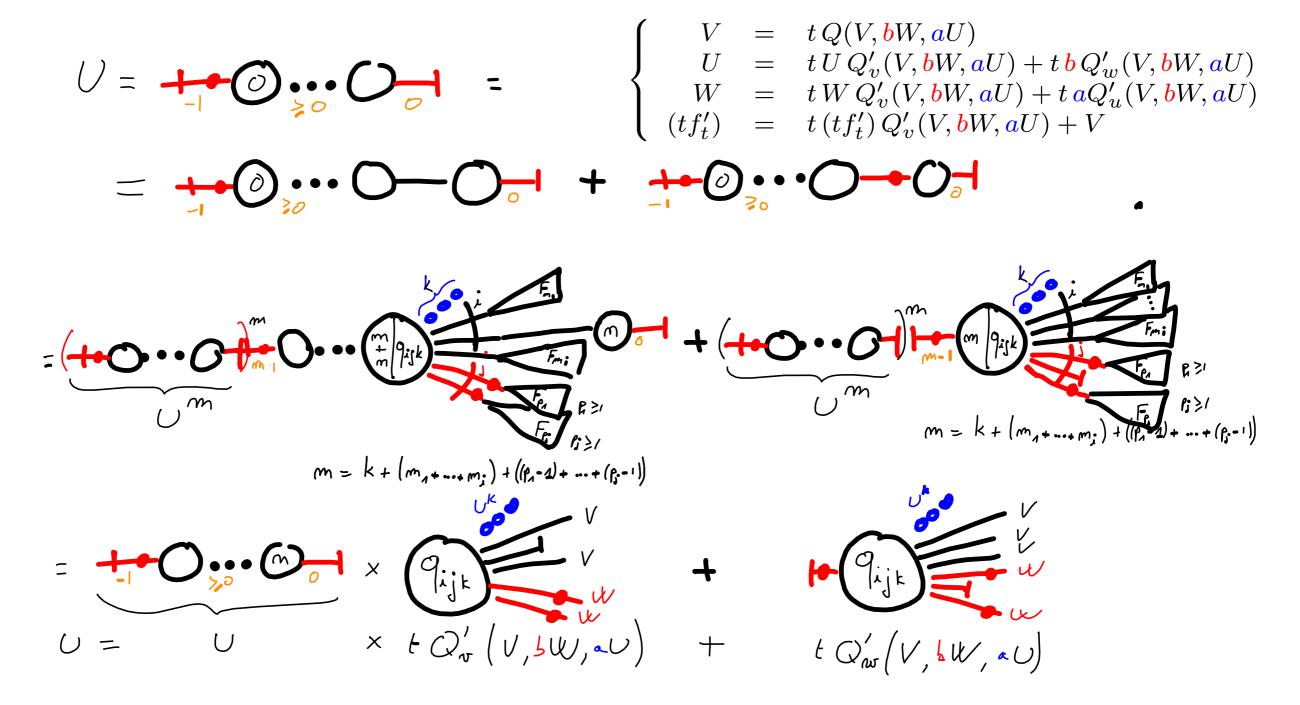
$$M = \lim_{k \neq 0} 0 \quad M = \int_{k}^{2t} \int_{k}^{2$$

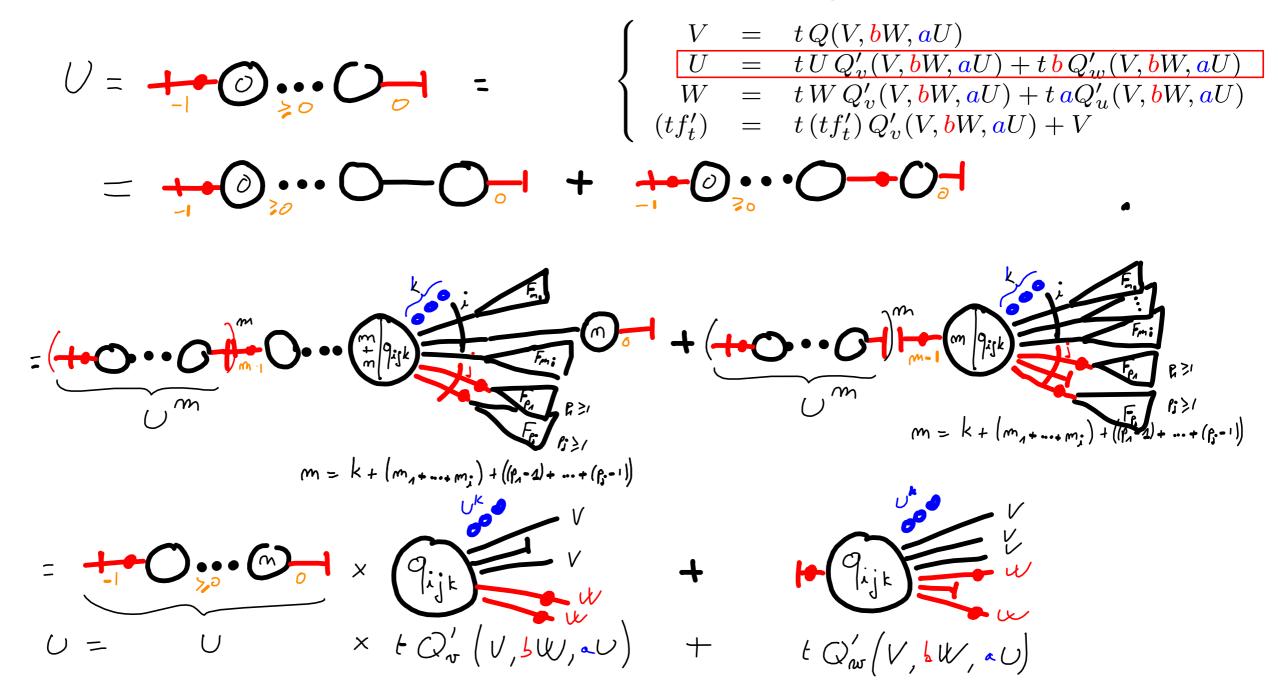
Now we restart from the combinatorial description:

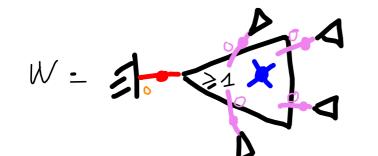
$$Q(v, w, u) = made g.f.$$



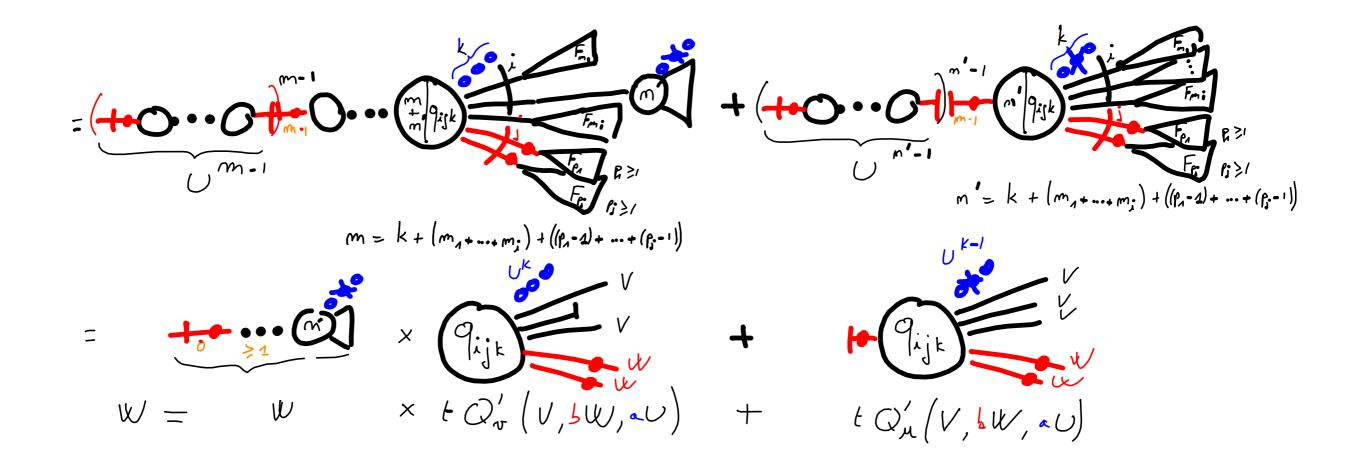


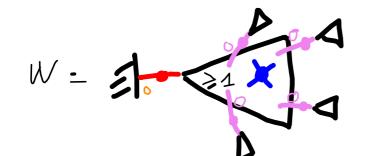






- $\begin{cases} V = t Q(V, \mathbf{b}W, \mathbf{a}U) \\ U = t U Q'_v(V, \mathbf{b}W, \mathbf{a}U) + t \mathbf{b} Q'_w(V, \mathbf{b}W, \mathbf{a}U) \\ W = t W Q'_v(V, \mathbf{b}W, \mathbf{a}U) + t \mathbf{a} Q'_u(V, \mathbf{b}W, \mathbf{a}U) \\ (tf'_t) = t (tf'_t) Q'_v(V, \mathbf{b}W, \mathbf{a}U) + V \end{cases}$



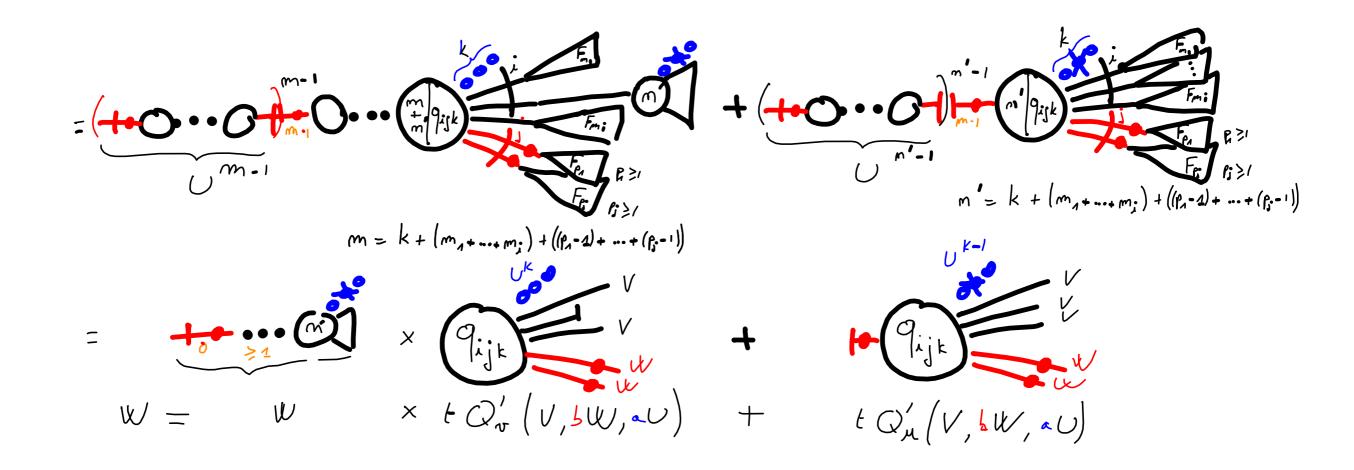


$$V = t Q(V, \frac{b}{W}, \frac{a}{U})$$

$$U = t U Q'_v(V, \mathbf{b}W, \mathbf{a}U) + t \mathbf{b} Q'_w(V, \mathbf{b}W, \mathbf{a}U)$$

$$W = tWQ'_v(V, bW, aU) + taQ'_u(V, bW, aU)$$

$$(tf'_i) = t(tf'_i)Q'(V, bW, aU) + V$$



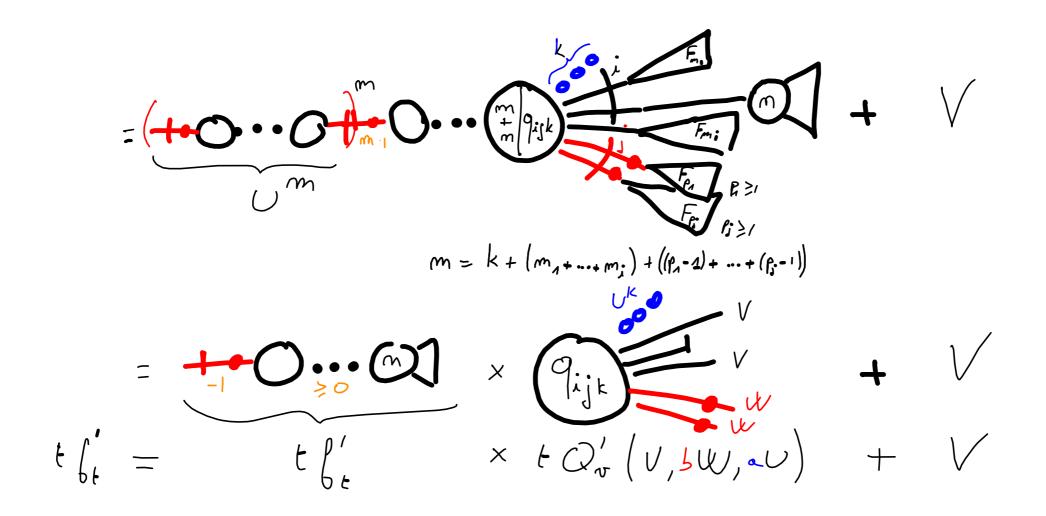
$$t \beta'_t = 1 \cdots \infty$$

$$V = t Q(V, \mathbf{b}W, \mathbf{a}U)$$

$$U = t U Q'_{v}(V, \mathbf{b}W, \mathbf{a}U) + t \mathbf{b} Q'_{w}(V, \mathbf{b}W, \mathbf{a}U)$$
$$W = t W Q'_{v}(UV, \mathbf{b}W, \mathbf{a}U) + t \mathbf{a} Q'_{u}(V, \mathbf{b}W, \mathbf{a}U)$$

$$V = t W Q'_{v}(UV, \mathbf{b}W, \mathbf{a}U) + t \mathbf{a}Q'_{u}(V, \mathbf{b}W, \mathbf{a}U)$$

$$tf'_t) = t(tf'_t)Q'_v(UV, \mathbf{b}W, \mathbf{a}U) + V$$



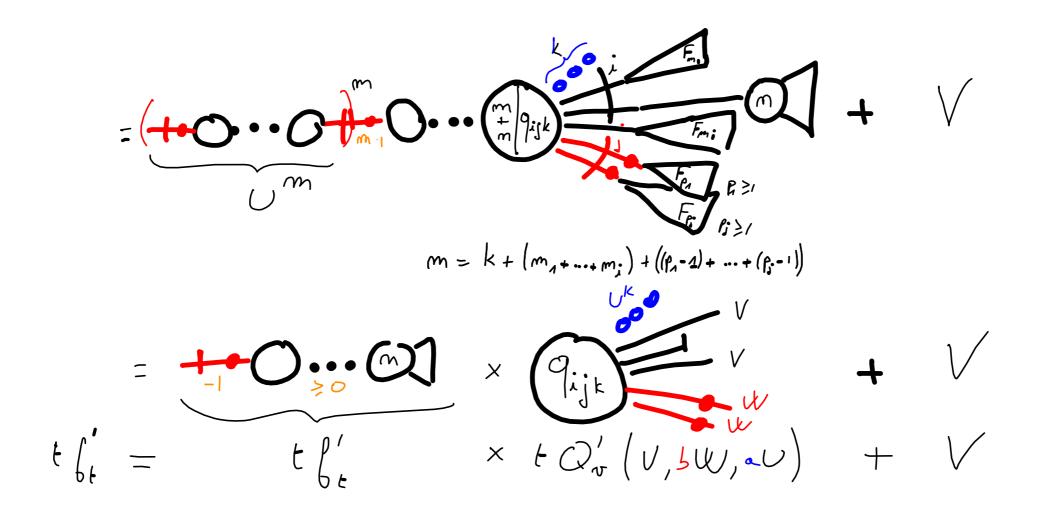
$$t \beta'_t = 1 \cdots 0$$

$$V = t Q(V, \mathbf{b}W, \mathbf{a}U)$$

$$U = t U Q'_v(V, \mathbf{b}W, \mathbf{a}U) + t \mathbf{b} Q'_w(V, \mathbf{b}W, \mathbf{a}U)$$

$$W = t W Q'_v(UV, \mathbf{b}W, \mathbf{a}U) + t \mathbf{a} Q'_u(V, \mathbf{b}W, \mathbf{a}U)$$

$$tf'_t) = t(tf'_t)Q'_v(UV, \mathbf{b}W, \mathbf{a}U) + V$$



Application of the general result

Random sampling:

 \Rightarrow the system is an irreducible algebraic decomposition in the terminology of [Drmota-Lalley-Woods] hence amenable to Sportiello's Bolzman sampling algorithm (linearity depends on the specific decomposition operations)

Special cases: this yields algebraic decompositions for

- Linxiao Chen's fully parked trees (2021)
- Duchi et al.'s fighting fish and variants (2016)
- Various families of permutations (West's two-stack sortable) (1990)
- Tutte's map decomposition (60's)

Works as with exponential series: Dyck Cayley trees.

However in most of the cases combinatorial intuition is still needed to simplify the resulting decompositions, and express it in terms of the original structures.

Thanks you !

and long life to bijective combinatorics!