



# Surface defects, $tt^*$ equations and BPS spectra

**Alessandro Tanzini**, SISSA, Trieste

Workshop on Quivers, Calabi-Yau threefolds and DT Invariants  
Sorbonne U - Campus Pierre et Marie Curie, 11–15 Apr 2022

based on:

G. Bonelli, F. Globleck, A.T.      Phys.Rev.Lett. 126 (2021)

G. Bonelli, F. Del Monte, A.T.      Ann. Henri Poincare' (2021)

G. Bonelli, F. Globleck, N. Kubo, T. Nosaka, A.T.      arXiv:2202.10654

... and work in progress...

## Beauty of defects

Defects in QFT are a set of boundary conditions on the fields and boundary couplings that one imposes on sub-manifolds.

They are both useful to model physical systems and also to probe general properties of quantum field theories.

Indeed, the partition function in presence of a defect  $Z_{\text{defect}}$  **is not simply a number but rather an element of a vector space** (for fixed BC) or more in general an object in a category, when considering all admissible boundary conditions.

This makes the study of  $Z_{\text{defect}}$  a very rich subject naturally related to **geometry, integrable systems and representation theory.**

## Which defects ?

In this talk we will consider surface defects in ***four and five dimensional supersymmetric gauge theories with 8 supercharges*** and show that the related partition functions obey respectively ***a set of differential and q-difference equations which provide very effective and general tools to explore their non-perturbative dynamics and BPS spectrum.***

## Main results - 4d :

in four dimensions,  $Z_{\text{defect}}$  satisfies a set of differential equations that produce **new recurrence relations** for multi-instantons of N=2 Super-Yang-Mills theory in a *self-dual Omega background*. These provide a **new algorithm** to evaluate them for arbitrary simple groups from  $A$  to  $E$  .

### integrability:

- $Z_{\text{defect}}$  for gauge group  $G$  are *tau-functions* of *non-autonomous Toda chain* of type  $(\hat{G})^\vee$ ,  $t \simeq \Lambda$  .
- **4d/2d correspondence** with **tt\* equations** and Ising model corr.

### random matrices:

- late time = strong coupling expansion of  $Z_{\text{defect}}(A_N)$  gives a matrix model presentation of the **magnetic phase**, expansion around the **monopole point**.

## Main results - 5d :

- in five dimensions,  $Z_{\text{defect}}$  satisfies a set of ***q-difference equations*** arising from the symmetry group of the ***BPS quiver*** of the SCFT.

## representation theory:

- $Z_{\text{defect}}$  are *tau-functions* of the ***cluster algebra*** associated to the quiver.
- reduction to four-dimensional BPS quivers and a new viewpoint on ***Argyres-Douglas*** theory.

# Surface defects

## Surface defects

Surface defects can be defined by the assignment of singular boundary conditions for the fields in the normal bundle of the surface  $D$

$$A = ad\theta + \dots$$

$z = \rho e^{i\theta}$  normal coordinate  $a \in \mathfrak{t}$  specifies the

residual gauge symmetry on the defect to its commutant

$\mathbb{L} \subset G$  Levi subgroup and determines the **monodromy** around  $D$

Another parameter is given by the coupling to the **magnetic charge** of the defect

$$\exp\left(i\frac{b}{2\pi} \int_D F\right)$$

the magnetic charge  $\mathbf{m} \in Q^\vee$  is an element of the coroot lattice. The two parameters are packed into a complex one  $\eta$



We will consider **full surface operators**, namely the ones with **minimal** residual gauge symmetry

$$\mathbb{L} = \mathbb{T} \quad \leftarrow \text{Cartan torus of } G$$

Moreover, we can **twist** their monodromy by a **central element**

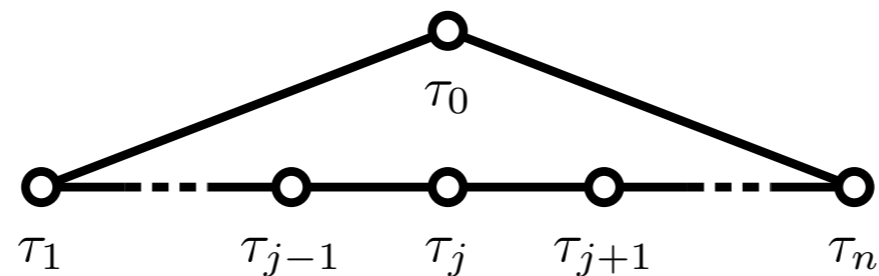
These are the surface operators generating the **one-form symmetry** of Yang-Mills valued in the center  $\mathcal{Z}(G)$ . Introduced by 't Hooft to describe *phases* of gauge theories.

Their vevs depend both on the continuous parameters  $\eta$  and the discrete label of the center element. This can be described in terms of the Dynkin diagram of the affine group  $\hat{G}$

Indeed,  $\mathcal{Z}(G)$  is the **automorphism group** of the affine Dynkin diagram

$\mathfrak{g}$	$A_n$	$B_n$	$C_n$	$D_{2n}$	$D_{2n+1}$	$E_n$	$F_4$	$G_2$
$Z(G)$	$\mathbb{Z}_{n+1}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2 \times \mathbb{Z}_2$	$\mathbb{Z}_4$	$\mathbb{Z}_{9-n}$	1	1

It acts on the **affine nodes**. E.g. for  $A_n$



The center is given by the quotient of the affine co-weight lattice by the affine co-root lattice

$$\mathcal{Z}(G) = Q_{\text{aff}} / Q_{\text{aff}}^{\vee}$$

Weyl orbit = orbit of  $\mathcal{Z}(G)$

# Toda lattice equations for N=2 surface defects

What's special about surface defects in N=2 SYM ?

We propose that in this case  $Z_{\text{defect}}$  is the **tau-function** of Toda lattice equations

$$D^2(\tau_\beta) = -\frac{\beta^\vee \cdot \beta^\vee}{2} t^{1/h^\vee} \prod_{\beta \in \hat{\Delta}, \beta \neq \alpha} [\tau_\alpha]^{-\alpha \cdot \beta^\vee}$$

$$D^2(f) = f \partial_{\log t}^2 f - (\partial_{\log t} f)^2 \quad \text{second Hirota derivative} \quad t := (\Lambda/\epsilon)^{2h^\vee}$$

$$\alpha \in \hat{\Delta} \quad \text{simple root of affine Lie algebra} \quad \alpha^\vee = 2\alpha/(\alpha, \alpha) \quad \text{co-root}$$

A special role is played by the tau-functions associated to the **affine nodes**

$$\tau_{\alpha_{\text{aff}}}(\boldsymbol{\sigma}, \boldsymbol{\eta} | \kappa_{\mathfrak{g}} t) = \sum_{\mathbf{n} \in Q_{\text{aff}}^\vee} e^{2\pi\sqrt{-1}\boldsymbol{\eta} \cdot \mathbf{n}} t^{\frac{1}{2}(\boldsymbol{\sigma} + \mathbf{n})^2} B(\boldsymbol{\sigma} + \mathbf{n} | t)$$

$$\kappa_{\mathfrak{g}} = (-n_{\mathfrak{g}})^{r_{\mathfrak{g},s}}$$



number of short simple roots

necessary to implement the correct S-duality for non simply laced groups

ratio of squares of long vs. short roots

## Toda lattice equations for N=2 surface defects

What's special about surface defects in N=2 SYM ?

We propose that in this case  $Z_{\text{defect}}$  is the **tau-function** of Toda lattice equations

$$D^2(\tau_\beta) = -\frac{\beta^\vee \cdot \beta^\vee}{2} t^{1/h^\vee} \prod_{\beta \in \hat{\Delta}, \beta \neq \alpha} [\tau_\alpha]^{-\alpha \cdot \beta^\vee}$$

$$D^2(f) = f \partial_{\log t}^2 f - (\partial_{\log t} f)^2 \quad \text{second Hirota derivative} \quad t := (\Lambda/\epsilon)^{2h^\vee}$$

$$\alpha \in \hat{\Delta} \quad \text{simple root of affine Lie algebra} \quad \alpha^\vee = 2\alpha/(\alpha, \alpha) \quad \text{co-root}$$

A special role is played by the tau-functions associated to the **affine nodes**

$$\tau_{\alpha_{\text{aff}}}(\boldsymbol{\sigma}, \boldsymbol{\eta} | \kappa_g t) = \sum_{\mathbf{n} \in Q_{\text{aff}}^\vee} e^{2\pi\sqrt{-1}\boldsymbol{\eta} \cdot \mathbf{n}} t^{\frac{1}{2}(\boldsymbol{\sigma} + \mathbf{n})^2} B(\boldsymbol{\sigma} + \mathbf{n} | t)$$

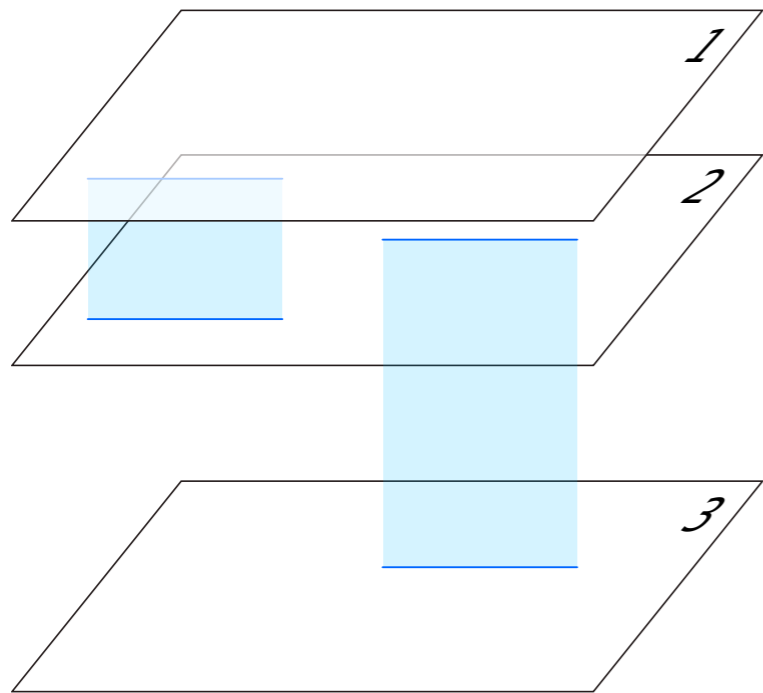
$$B(\boldsymbol{\sigma} | t) = B_0(\boldsymbol{\sigma}) \sum_{i \geq 0} t^i Z_i(\boldsymbol{\sigma}) \quad Z_0(\boldsymbol{\sigma}) \equiv 1 \quad \text{convergent power series}$$

$$\boldsymbol{\eta}, \boldsymbol{\sigma} \in Q^\vee \quad \text{integration constants}$$

## Toda Lattice equations from M-theory

$r$  M5 branes on  $\mathbb{R}^6$  described by  $A_{r-1}$  superconformal field theory in six-dimensions with (2,0) supersymmetry  
superconformal group  $\supset SO(6,2) \times SO(5)$

**Coulomb branch  $\mathcal{U}$**  :  $r$  M5 branes on  $\mathbb{R}^6$  separated in the transversal  $\mathbb{R}^5$  space



$\mathcal{U}$  described by v.e.v.s of  $\text{Tr } Y^I Y_I$   
and all other Casimirs of  $A_{r-1}$

$Y^I$  five real scalars param. the  
position of M5s in transv.  $\mathbb{R}^5$

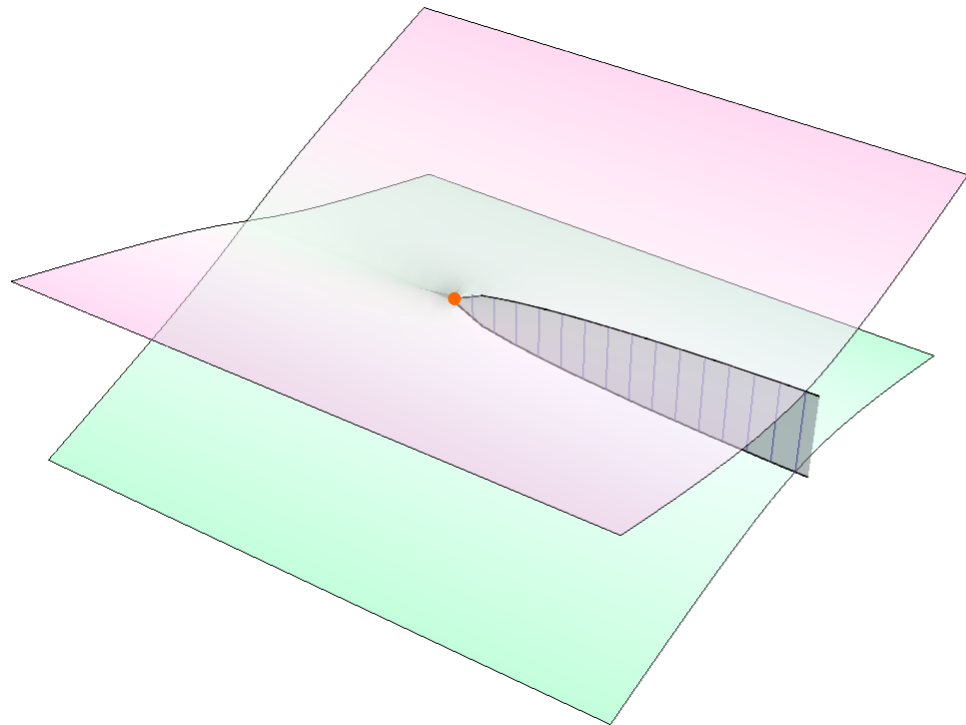
## Toda Lattice equations from M-theory

twisted compactification on  $\mathbb{R}^4 \times \mathcal{C}$  : local geometry near M5s

$$\mathbb{R}^4 \times T^*\mathcal{C} \times \mathbb{R}^3$$

superconformal group reduces  $\supset$   $SO(4,2) \times U(1) \times SU(2)$

Coulomb branch described by v.e.v.s of  $\frac{1}{2}(Y^1 + iY^2) \equiv \varphi \in \Gamma(K) \times \text{End}(E)$



$$\mathcal{U} \equiv \bigoplus_{k=1}^r H^0(\mathcal{C}, K^{\otimes d_k})$$

r-covering of  $\mathcal{C}$  , sheets labeled by eigenvalues of  $\varphi$

$S^1$  compactification gives rise to  $U(r)$  Super Yang-Mills theory in 5d on  $\mathbb{R}^3 \times \mathcal{C}$ . BPS vacua invariant under Super-Poincare' of  $\mathbb{R}^3$  satisfy **Hitchin's equations**

$$F + R^2 [\varphi, \bar{\varphi}] = 0,$$

$$\partial_{\bar{z}} \varphi + [A_{\bar{z}}, \varphi] = 0,$$

$$\partial_z \bar{\varphi} + [A_z, \bar{\varphi}] = 0,$$

these are equivalent to the flatness of the  $SL(r, \mathbb{C})$  connection

$$\mathcal{A} = \frac{R}{\zeta} \varphi + A + R\zeta \bar{\varphi};$$

oper limit:  $R \rightarrow 0, \zeta \rightarrow 0$   $\zeta/R = \hbar$

radial component of flat Hitchin connection on cylinder w. regular singularities

$$\mathcal{A} = \frac{\partial}{\partial r} \mathbf{q} + w^{-1} \left( e^{-\alpha_0 q} E_{-\alpha_0} + \sum_{\alpha \text{ simple}} e^{\alpha q} E_{\alpha} \right) + w \left( e^{-\alpha_0 q} E_{\alpha_0} + \sum_{\alpha \text{ simple}} e^{\alpha q} E_{-\alpha} \right)$$

$$\mathbf{q} = \text{diag}(q_0, \dots, q_{N-1})$$

obey's **Toda lattice equations** with boundary conditions set by the surface operator.



## Toda lattice equations from 4d/2d correspondence

The Toda system is the **radial reduction** of 2D Toda lattice equations on the cylinder  $\mathbb{C}^*$ .

These naturally arise as  **$tt^*$  equations** for a *Landau-Ginzburg* model describing complex deformations of a  $\mathcal{Z}(G)$  singularity

*Why is this relevant for surface defects ?*



## Toda lattice equations from 4d/2d correspondence

The Toda system is the **radial reduction** of 2D Toda lattice equations on the cylinder  $\mathbb{C}^*$ .

These naturally arise as  **$tt^*$  equations** for a *Landau-Ginzburg* model describing complex deformations of a  $\mathcal{Z}(G)$  singularity



Recall that 1/2 BPS surface defects can also be introduced by coupling the 4d theory to a (2,2) 2d GLSM describing maps

$$D \rightarrow G/\mathbb{L}$$

for full surface defects  $\mathbb{L} = \mathbb{T}$  the target space is a **complete flag** variety whose Hori-Vafa mirror is precisely the above Landau-Ginzburg model !!

## Seiberg-Witten theory viewpoint

Seiberg-Witten curve of N=2 SYM is the spectral curve of affine **Toda chain** of type  $(\hat{G})^\vee$   Langlands dual

The RG equations for surface defects are the **de-autonomization** of Toda chain equations. Simplest example:

$SU(2)$   $\longrightarrow$  2 particle Toda chain  $\longrightarrow$  Painleve' III degen.  
SW curve de-autonomization

The de-autonomization is the deformation of the integrable system describing susy gauge theory in a *self-dual Omega background* and thus *gravitational corrections to SW prepotential*.

The latter are equivalent to *topological string amplitudes* on a suitable local Calabi-Yau via geometric engineering.

# Solutions

# Tau-system

Toda system in tau form:

$$D^2(\tau_\beta) = -\frac{\beta^\vee \cdot \beta^\vee}{2} t^{1/h^\vee} \prod_{\beta \in \hat{\Delta}, \beta \neq \alpha} [\tau_\alpha]^{-\alpha \cdot \beta^\vee}$$

Kyiv-like ansatz

$$\tau_{\alpha_{\text{aff}}}(\boldsymbol{\sigma}, \boldsymbol{\eta} | \kappa_{\mathfrak{g}} t) = \sum_{\mathbf{n} \in Q_{\text{aff}}^\vee} e^{2\pi\sqrt{-1}\boldsymbol{\eta} \cdot \mathbf{n}} t^{\frac{1}{2}(\boldsymbol{\sigma} + \mathbf{n})^2} B(\boldsymbol{\sigma} + \mathbf{n} | t)$$

$$B(\boldsymbol{\sigma} | t) = B_0(\boldsymbol{\sigma}) \sum_{i \geq 0} t^i Z_i(\boldsymbol{\sigma}) \quad Z_0(\boldsymbol{\sigma}) \equiv 1$$

Asymptotic conditions

$$\log(B_0) \sim -\frac{1}{4} \sum_{\mathbf{r} \in R} (\mathbf{r} \cdot \boldsymbol{\sigma})^2 \log(\mathbf{r} \cdot \boldsymbol{\sigma})^2$$

$$t \rightarrow 0 \text{ and } \boldsymbol{\sigma} \rightarrow \infty$$

We find that for the solutions satisfying the above asymptotic conditions

$t^{\frac{1}{2}}\sigma^2 B(\boldsymbol{\sigma}|t)$  is the full Nekrasov p.f. in a ***self-dual*** Omega background  $(\epsilon_1, \epsilon_2) = (\epsilon, -\epsilon)$

with  $t := (\Lambda/\epsilon)^{2h^\vee}$  RG scale  $\boldsymbol{\sigma} = \mathbf{a}/\epsilon$ , vev of N=2 scalar

We obtain new recurrence relations determining all instanton corrections in self-dual bckg for all simple groups from  $A_n$  to  $E$  .

## One-loop

solution satisfying the asymptotic conditions

$$B_0(\boldsymbol{\sigma}) = \mathcal{Z}_{1-loop}(\boldsymbol{\sigma}) \equiv \prod_{\mathbf{r} \in R} \frac{1}{G(1 + \mathbf{r} \cdot \boldsymbol{\sigma})}$$



adjoint representation



Barnes G-function

by using

$$\log G(1+x) = \frac{1}{12} - \log(A) + \frac{1}{2}x \log(2\pi) - \frac{3}{4}x^2 + \left( \frac{1}{2}x^2 - \frac{1}{12} \right) \log(x) + \mathcal{O}(x^{-2})$$

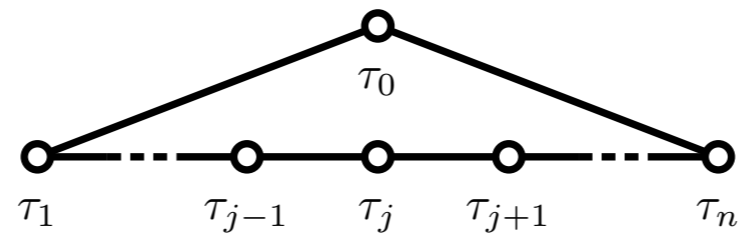
the asymptotic expansion matches the **perturbative one-loop** calculation of gauge theory upon a suitable choice of the branch for the log

$$\ln \left[ \sqrt{-1} \mathbf{r} \cdot \mathbf{a} / \Lambda \right] \in \mathbb{R}$$

this fixes some directions in the complex plane which are the **Stokes rays** of the related non-linear equations - isomonodromic deformation problem on the Riemann sphere with two irregular singular point of Poincare' rank 1.

# Instantons

Start with  $A_n$  case



tau-system

$$D^2(\tau_j) = -t^{\frac{1}{n+1}} \tau_{j-1} \tau_{j+1}$$

$\mathbb{Z}_{n+1}$  symmetry

$$\tau_j(\boldsymbol{\sigma}|t) = \tau_0(\boldsymbol{\sigma} + \boldsymbol{\lambda}_j|t)$$

reduces to

$$D^2(\tau_0(\boldsymbol{\sigma})) = -\tau_0(\boldsymbol{\sigma} \pm e_1)$$

ansatz

$$\tau_0(\boldsymbol{\sigma}, \boldsymbol{\eta}|t) = \sum_{\mathbf{n} \in Q, i \geq 0} e^{2\pi\sqrt{-1}\mathbf{n} \cdot \boldsymbol{\eta} t^{\frac{1}{2}}(\boldsymbol{\sigma} + \mathbf{n})^2 + i} B_0(\boldsymbol{\sigma} + \mathbf{n}) Z_i(\boldsymbol{\sigma} + \mathbf{n})$$



## Recursion relations

by plugging the ansatz into the tau-system one gets the recurrence relation:

$$\begin{aligned}
 k^2 Z_k(\boldsymbol{\sigma}) = & - \sum_{\substack{\mathbf{n}^2 + j_1 + j_2 = k \\ \mathbf{n} \in e_1 + Q, j_{1,2} < k}} \frac{B_0(\boldsymbol{\sigma} \pm \mathbf{n})}{B_0(\boldsymbol{\sigma})^2} Z_{j_2}(\boldsymbol{\sigma} - \mathbf{n}) Z_{j_1}(\boldsymbol{\sigma} + \mathbf{n}) \\
 & + \sum_{\substack{\mathbf{n}^2 + i_1 + i_2 = k \\ \mathbf{n} \in Q, i_{1,2} < k}} (i_1 - i_2 + 2\mathbf{n} \cdot \boldsymbol{\sigma})^2 \frac{B_0(\boldsymbol{\sigma} \pm \mathbf{n})}{B_0(\boldsymbol{\sigma})^2} Z_{i_1}(\boldsymbol{\sigma} + \mathbf{n}) Z_{i_2}(\boldsymbol{\sigma} - \mathbf{n}),
 \end{aligned}$$

the first step provides **one-instanton in terms of one-loop**:

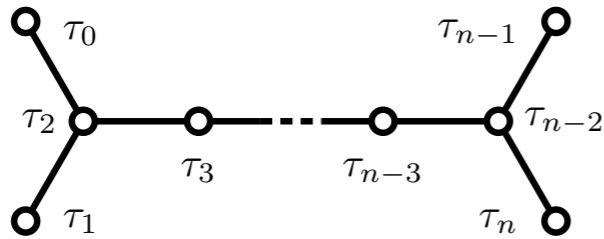
$$Z_1(\boldsymbol{\sigma}) = - \sum_{i=1}^{n+1} \frac{B_0(\boldsymbol{\sigma} \pm e_i)}{B_0(\boldsymbol{\sigma})^2} = (-1)^{n+1} \sum_{i=1}^{n+1} \frac{1}{\prod_{j \neq i} (\sigma_i - \sigma_j)^2}$$

going on with two-instantons:

$$\begin{aligned}
 Z_2(\boldsymbol{\sigma}) = & - \frac{1}{4} \sum_{i=1}^{n+1} \frac{B_0(\boldsymbol{\sigma} \pm e_i)}{B_0(\boldsymbol{\sigma})^2} [Z_1(\boldsymbol{\sigma} + e_i) + Z_1(\boldsymbol{\sigma} - e_i)] \\
 & + \sum_{i < j}^{n+1} (\sigma_i - \sigma_j)^2 \frac{B_0(\boldsymbol{\sigma} \pm (e_i - e_j))}{B_0(\boldsymbol{\sigma})^2}
 \end{aligned}$$

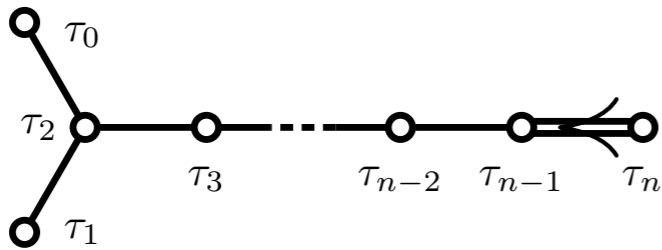
## Other groups

$D_n$



$$D^2(\tau_0) = D^2(\tau_1), \quad D^2(\tau_{n-1}) = D^2(\tau_n)$$

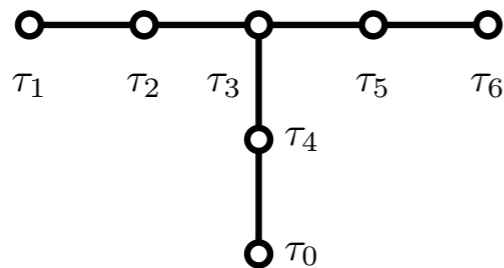
$B_n$



$$D^2(\tau_0) = D^2(\tau_1)$$

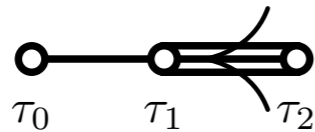
$$D^2(\tau_{n-1}) = -2t^{\frac{1}{2n-1}} \tau_{n-2} \tau_n, \quad D^2(\tau_n) = -t^{\frac{1}{2n-1}} \tau_{n-1}^2.$$

$E_6$



$$\tau_6 D^4(\tau_0) = \tau_0 D^4(\tau_6)$$

$G_2$



$$D^2(\tau_0^{-1} D^4(\tau_0)) = 3t(D^2(\tau_0))^3$$

## $G_2$ case

$G_2$  ansatz

$$\tau_0(\boldsymbol{\sigma}, \boldsymbol{\eta} | t) = \sum_{\mathbf{n} \in Q^\vee} e^{2\pi\sqrt{-1}\boldsymbol{\eta} \cdot \mathbf{n}} \left(-\frac{t}{3}\right)^{\frac{1}{2}(\boldsymbol{\sigma} + \mathbf{n})^2} B(\boldsymbol{\sigma} + \mathbf{n} | -\frac{t}{3})$$

reduced tau-system implies

$$\sum_{\substack{\{\mathbf{n}_k\} \in Q^\vee \\ \{i_k\} \in \mathbb{N}}} \prod_{k=1}^4 e^{2\pi\sqrt{-1}\boldsymbol{\eta} \cdot \mathbf{n}_k} t^{\frac{1}{2}(\boldsymbol{\sigma} + \mathbf{n}_k)^2 + i_k} B_0(\boldsymbol{\sigma} + \mathbf{n}_k) Z_{i_k}(\boldsymbol{\sigma} + \mathbf{n}_k)$$

$$\left( \frac{1}{4!} \prod_{k_1 < k_2} \left( \frac{1}{2}\mathbf{n}_{k_1}^2 + i_{k_1} - \frac{1}{2}\mathbf{n}_{k_2}^2 - i_{k_2} + (\mathbf{n}_{k_1} - \mathbf{n}_{k_2}) \cdot \boldsymbol{\sigma} \right)^2 \right.$$

$$+ \frac{9}{4} \left( \frac{1}{2}\mathbf{n}_1^2 + i_1 - \frac{1}{2}\mathbf{n}_2^2 - i_2 + (\mathbf{n}_1 - \mathbf{n}_2) \cdot \boldsymbol{\sigma} \right)^2$$

$$\left. \left( \frac{1}{2}\mathbf{n}_3^2 + i_3 - \frac{1}{2}\mathbf{n}_4^2 - i_4 + (\mathbf{n}_3 - \mathbf{n}_4) \cdot \boldsymbol{\sigma} \right)^2 \right) = 0. \quad \square$$

one-instanton

$$Z_1(\boldsymbol{\sigma})^{[G_2]}|_{\sigma_3 = -\sigma_1 - \sigma_2} = -\frac{2}{3\sigma_1^2\sigma_2^2(\sigma_1 + \sigma_2)^2}$$

two-instantons

$$Z_2(\boldsymbol{\sigma})^{[G_2]}|_{\sigma_3 = -\sigma_1 - \sigma_2} = \frac{3(9\sigma_1^4(6\sigma_2^2+1)+18\sigma_1^3(6\sigma_2^3+\sigma_2)+3\sigma_1^2(18\sigma_2^4+9\sigma_2^2-2)+6\sigma_1\sigma_2(3\sigma_2^2-1)+(1-3\sigma_2^2)^2)}{\sigma_1^2(1-3\sigma_1^2)^2\sigma_2^2(1-3\sigma_2^2)^2(\sigma_1+\sigma_2)^2(1-3(\sigma_1+\sigma_2)^2)^2}$$

## Matrix models for the magnetic phase

[Bonelli, Grassi, A.T.]

$$\text{Toda time} \longrightarrow t = \left( \frac{\Lambda}{\epsilon} \right)^{2h^\vee} \longleftarrow \text{RG scale}$$

**Late time expansion of tau-functions**  $\longleftrightarrow$  **dual Seiberg Witten prepotential**

Spectral determinant

$$\tau_{A_1}(\sigma, \eta = 0, t) = \sum_{M \geq 0} \kappa^M Z_M(\Lambda/\epsilon)$$

$$Z_M(\Lambda) = \frac{1}{M!} \int \prod_{i=1}^M \frac{dx_i}{4\pi} e^{-\frac{2\Lambda}{\pi^2 \epsilon} \cosh x_i} \prod_{i < j} \tanh \left( \frac{x_i - x_j}{2} \right)^2$$

$$\log Z_M = \sum_{q > 0} \epsilon^{2g-2} F_g^D(a_D)$$

**matrix model for SU(2) SYM around the monopole point**

*non-perturbative completion of topological string on (a limit of) local Hirzebruch geometry*

# Matrix models for the magnetic phase

Generalisation to  $\mathcal{T}A_{n-1}$

$$Z_{4d}^{\text{SYM}}(M_1, \dots, M_{N-1}) = \frac{1}{M_1! \cdots M_{N-1}!} \int \frac{d^M x}{(2\pi)^M} \prod_{j=1}^{N-1} \prod_{i_j \in I_j} e^{-NT \sin\left(\frac{\pi j}{N}\right) \cosh(x_{i_j})}$$

$$\times \frac{\prod_{1 \leq i < j \leq M} 2 \sinh\left(\frac{x_i - x_j}{2} + \frac{1}{2}(d_i - d_j)\right) 2 \sinh\left(\frac{x_i - x_j}{2} + \frac{1}{2}(f_i - f_j)\right)}{\prod_{i,j=1}^M 2 \cosh\left(\frac{x_i - x_j}{2} + \frac{1}{2}(d_i - f_j)\right)}$$

$d_i, f_i$  are N-dependent phase shifts.

Computes dual prepotential around the massless monopole point  $a_{D_i} = M_i T^{-1}$

$$F_0^D(T_1, \dots, T_{N-1}) = \sum_{j=1}^{N-1} \left[ \frac{T_j^2}{2} \left( \log\left(\frac{T_j}{c_j}\right) - \frac{3}{2} \right) - T_j \frac{\sin\left(\frac{\pi j}{N}\right)}{\sin\left(\frac{\pi}{N}\right)} \right]$$

$$+ \sum_{i < j} T_i T_j \log(a_{ij}) + \mathcal{O}(T_i^3),$$

$$a_{ij} = \frac{\cos\left(\frac{\pi(i-j)}{N}\right) - 1}{\cos\left(\frac{\pi(i+j)}{N}\right) - 1},$$

$$c_j = 4 \sin\left(\frac{\pi j}{N}\right)^3 / \sin\left(\frac{\pi}{N}\right)$$

controls the mass spectrum when breaking to

$\mathcal{N} = 1$  supersymmetry.

# Surface defects in 5d

## 5d Gauge theories on a circle

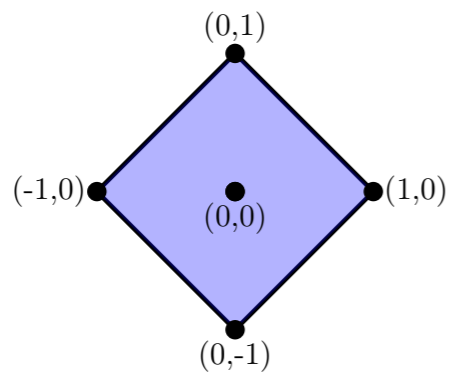
Codim. 2 defects in 5d gauge theories obey a ***q-difference*** uplift of the tau-system. ***Discrete dynamical flow*** generated by ***automorphism group*** of the ***5d BPS quiver***.

***5d BPS quiver*** from geometric engineering via Calabi-Yau compactification

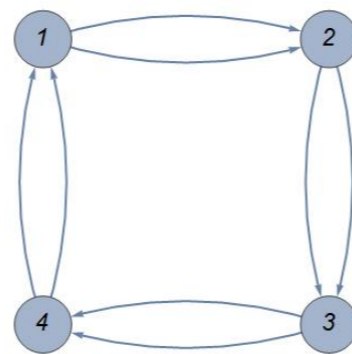
***nodes***: Dp branes wrapping calibrated cycles - BPS states of 5d SCFT

***arrows***: Dirac pairings among BPS particles

*Example*: Pure SU(2) Super Yang-Mills



local  $\mathbb{F}_0$  Newton polygon



5d BPS quiver

$$Dih_4 \times W(A_1^{(1)})$$

automorphism group

generators  $\pi_1 = (1, 3)\iota,$

$\pi_2 = (4, 3, 2, 1),$

$T_{\mathbb{F}_0} = (1, 2)(3, 4)\mu_1\mu_3.$

# Cluster integrable system

*quiver mutation*

$$\mu_k(B_{ij}) = \begin{cases} -B_{ij}, & i = k \text{ or } j = k, \\ B_{ij} + \frac{B_{ik}|B_{kj}| + B_{kj}|B_{ik}|}{2}, & \end{cases}$$

*X - cluster variables*

$$\mu_k(x_j) = \begin{cases} x_j^{-1}, & j = k, \\ x_j(1 + x_k^{\text{sgn } B_{jk}})^{B_{jk}}, & j \neq k. \end{cases}$$

Poisson bracket

$$\{x_i, x_j\} = B_{ij}x_i x_j.$$

adjacency matrix of quiver

space of Casimirs  $\ker(B)$ ,  $q \equiv \prod_i x_i$  is always a Casimir by construction

**Integrable dynamics** on the level surface  $q = 1$  **discrete flows** generated by the group of **quiver automorphisms**.

independent Hamiltonians

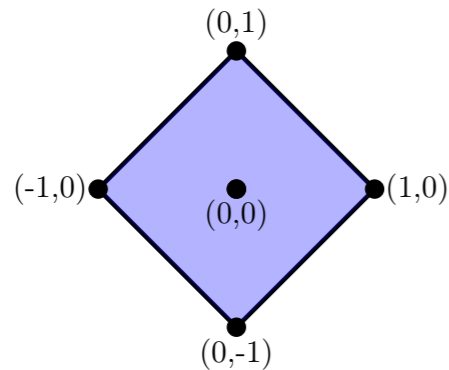


internal points of Newton polygon

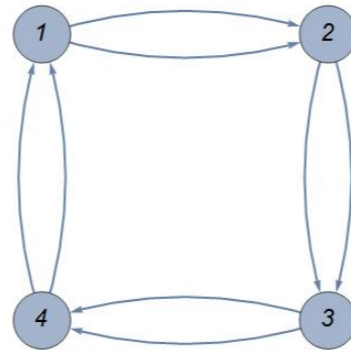


# Relativistic Toda

For the example of SU(2) SYM



local  $\mathbb{F}_0$  Newton polygon



5d BPS quiver

$$\text{Dih}_4 \rtimes W(A_1^{(1)})$$

automorphism group

In the variables  $x \equiv x_1$ ,  $y \equiv x_2$ ,  $Z \equiv x_1 x_3$  one gets the Hamiltonian

$$H = \sqrt{xy} + \sqrt{\frac{x}{y}} + \frac{1}{\sqrt{xy}} + Z \sqrt{\frac{y}{x}}$$

**relativistic two-particle periodic Toda chain** with flow generated by the quiver automorphism

$$T_{\mathbb{F}_0} = (1, 2)(3, 4)\mu_1\mu_3.$$

# Tau functions and de-autonomization

Tau - cluster variables

$$\mu_k(\tau_j) = \begin{cases} \tau_j, & j \neq k, \\ \frac{y_k \prod_{i=1}^n \tau_i^{[B_{ik}]_+} + \prod_{i=1}^{|Q|} \tau_i^{-[B_{ik}]_+}}{\tau_k(1 \oplus y_k)}, & j = k, \end{cases}$$

de-autonomization

$$q \neq 1$$

induces a discrete flow of the Hamiltonians and of the tau functions under quiver automorphism  $T_{\mathbb{F}_0} = (1, 2)(3, 4)\mu_1\mu_3$ .

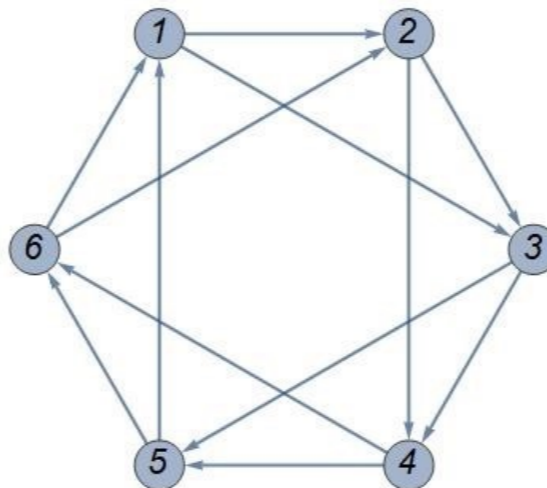
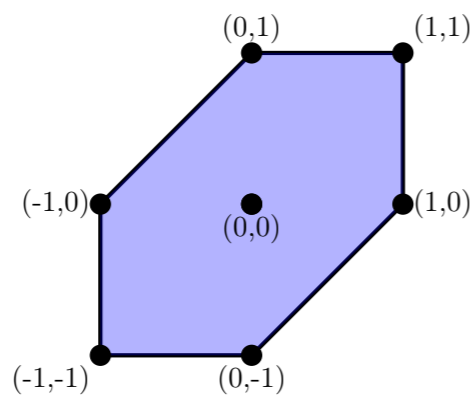
$$\begin{cases} T_{\mathbb{F}_0}(\tau_1) = \tau_2, \\ T_{\mathbb{F}_0}(\tau_2) = \frac{\tau_2^2 + (qt)^{1/2}\tau_4^2}{\tau_1}, \\ T_{\mathbb{F}_0}(\tau_3) = \tau_4, \\ T_{\mathbb{F}_0}(\tau_4) = \frac{\tau_4^2 + (qt)^{1/2}\tau_2^2}{\tau_3} \end{cases}, \quad \begin{cases} T_{\mathbb{F}_0}^{-1}(\tau_1) = \frac{\tau_1^2 + t^{1/2}\tau_3^2}{\tau_2}, \\ T_{\mathbb{F}_0}^{-1}(\tau_2) = \tau_1, \\ T_{\mathbb{F}_0}^{-1}(\tau_3) = \frac{\tau_3^2 + t^{1/2}\tau_1^2}{\tau_4}, \\ T_{\mathbb{F}_0}^{-1}(\tau_4) = \tau_3, \end{cases}$$

$$\overline{\tau_1} \underline{\tau_1} = \tau_1^2 + t^{1/2}\tau_3^2,$$

$$\overline{\tau_3} \underline{\tau_3} = \tau_3^2 + t^{1/2}\tau_1^2$$

q-difference uplift of  $\hat{A}_1$  Toda tau-system of 4d SU(2) SYM bilinear relations for tau functions of q-Painleve'.

# $E_3$ SCFT



$$\tilde{W}((A_2 + A_1)^{(1)})$$

Four commuting discrete flows:

$$T_1 = s_0 s_2 \pi,$$

$$T_2 = s_1 s_0 \pi,$$

$$T_3 = s_2 s_1 \pi$$

$$T_1 T_2 T_3 = 1$$

and

$$T_4 = r_0 \pi^3 = (4, 6) \mu_2 \mu_4 \mu_6 \mu_2 (4, 5, 6, 1, 2, 3)$$

from

$$s_0 = (3, 6) \mu_6 \mu_3$$

$$s_1 = (1, 4) \mu_4 \mu_1,$$

$$s_2 = (2, 5) \mu_5 \mu_2$$

$$\pi = (1, 2, 3, 4, 5, 6)$$

$$r_0 = (4, 6) \mu_2 \mu_4 \mu_6 \mu_2$$

$$r_1 = (3, 5) \mu_1 \mu_3 \mu_5 \mu_1$$

$$\sigma = (1, 4)(2, 3)(5, 6) \iota$$

generators of the extended Weyl group  $\tilde{W}((A_2 + A_1)^{(1)})$

## 5d SU(2) with two flavours

It is known that the massive deformation of  $E_3$  5d SCFT by the Yang-Mills action produces 5d SU(2) with two flavours. All the first three flows give rise to the bilinear equations

$$\begin{aligned}\bar{\tau}_3\tau_2 &= q^{1/4}t^{1/2}\bar{\tau}_5\tau_6 + \tau_3\bar{\tau}_2, & \bar{\tau}_6\tau_5 &= \bar{\tau}_5\tau_6 + q^{1/4}t^{1/2}\tau_3\bar{\tau}_2, \\ \bar{\tau}_2\tau_3 &= -Q_1t^{1/2}\tau_5\tau_6 + \tau_2\tau_3, & \bar{\tau}_5\tau_6 &= -Q_2t^{1/2}\tau_2\tau_3 + \tau_5\tau_6.\end{aligned}$$

whose tau functions are 5d partition functions for that gauge theory:

$$\begin{aligned}\tau_1 &= Z_{1/2}^D(Q_1, Q_2q^{1/2}, tq^{1/2}), & \tau_4 &= Z_0^D(Q_1q^{1/2}, Q_2, tq^{1/2}) \\ \tau_2 &= Z_0^D(Q_1q^{1/2}, Q_2, tq^{-1/2}), & \tau_3 &= Z_0^D(Q_1q^{-1/2}, Q_2, tq^{1/2}), \\ \tau_5 &= Z_{1/2}^D(Q_1, Q_2q^{1/2}, tq^{-1/2}), & \tau_6 &= Z_{1/2}^D(Q_1, Q_2q^{-1/2}, tq^{1/2}).\end{aligned}$$

$$Z_0^D \equiv \sum_n s^n Z(Q_1, Q_2, uq^n, t), \quad Z_{1/2}^D = \sum_n s^n Z(Q_1, Q_2, uq^{n+1/2}, t) = Z_0^D(uq^{1/2})$$



full Nekrasov partition function - topological string on local dP3 at large volume

## An AD surprise



The fourth flow give rise to *new bilinear relations* !

$$\begin{cases} \overline{\tau_6 \tau_2} - q^{1/4} t^{1/2} \overline{\tau_2 \tau_6} = -t^{1/2} (Q_2 + q^{1/2} Q_1) \tau_2 \tau_6, \\ Q_+^{1/2} q^{1/4} \overline{\tau_6 \tau_4} + Q_2 t^{1/2} \overline{\tau_4 \tau_6} = t^{1/2} (Q_2 + q^{1/2} Q_1) \tau_4 \tau_6, \\ \overline{\tau_4 \tau_2} - \overline{\tau_2 \tau_4} = t^{1/2} (Q_2 + q^{1/2} Q_1) \tau_2 \tau_4. \end{cases}, \quad \overline{\tau_i} = \tau_i(q^{1/2} Q_1, q^{-1/2} Q_2).$$

$$\overline{Q_-} = q Q_- \quad Q_- = \frac{Q_1}{Q_2}$$

## 4d sub-quivers and Argyres-Douglas theory

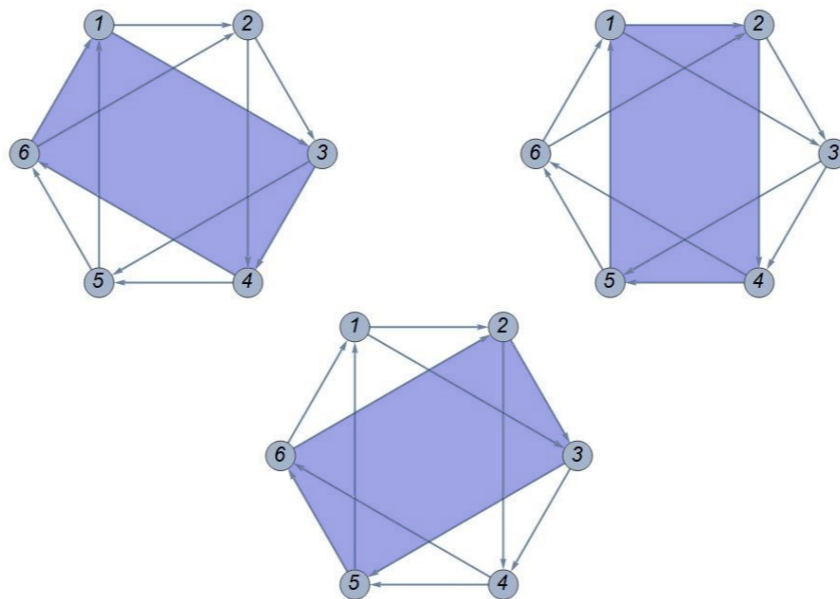
5d BPS quivers are obtained from 4d ones by adding **two nodes**, corresponding to **Kaluza-Klein modes** on the circle and to **5d instanton-particle**.

Conversely, one can recover 4d sub-quivers from the 5d one by sending to zero/infinity two X-cluster variables consistently with the flow.

$$T_4(Q_-) = qQ_-, \quad x_2x_4x_6 = (qQ_-)^{1/2} \rightarrow 0, \quad x_1x_3x_5 = q^{-1}Q_-^{-1/2} \rightarrow \infty$$

keeping other Casimirs finite.

For the new flow, the consistent choices are:



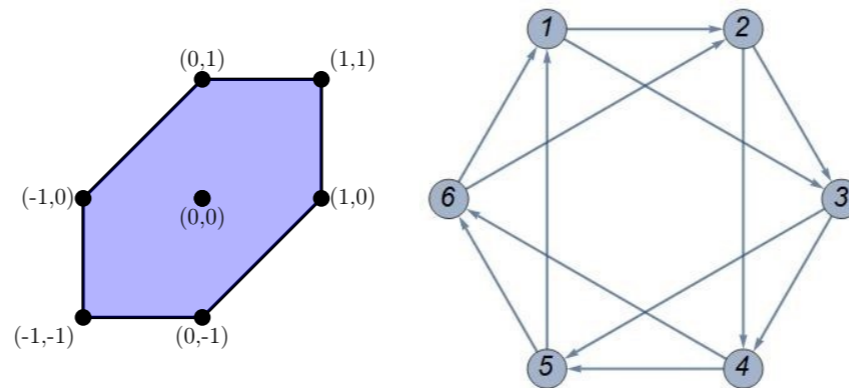
and bring to the 4d quiver of  $H_2$  **Argyres-Douglas theory**.

## A puzzle



Recall that  $H_2$  **Argyres-Douglas theory** is the IR SCF point of 4d SU(2) gauge theory with **three flavours**.

On the other hand, the toric diagram of  $E_3$  SCFT

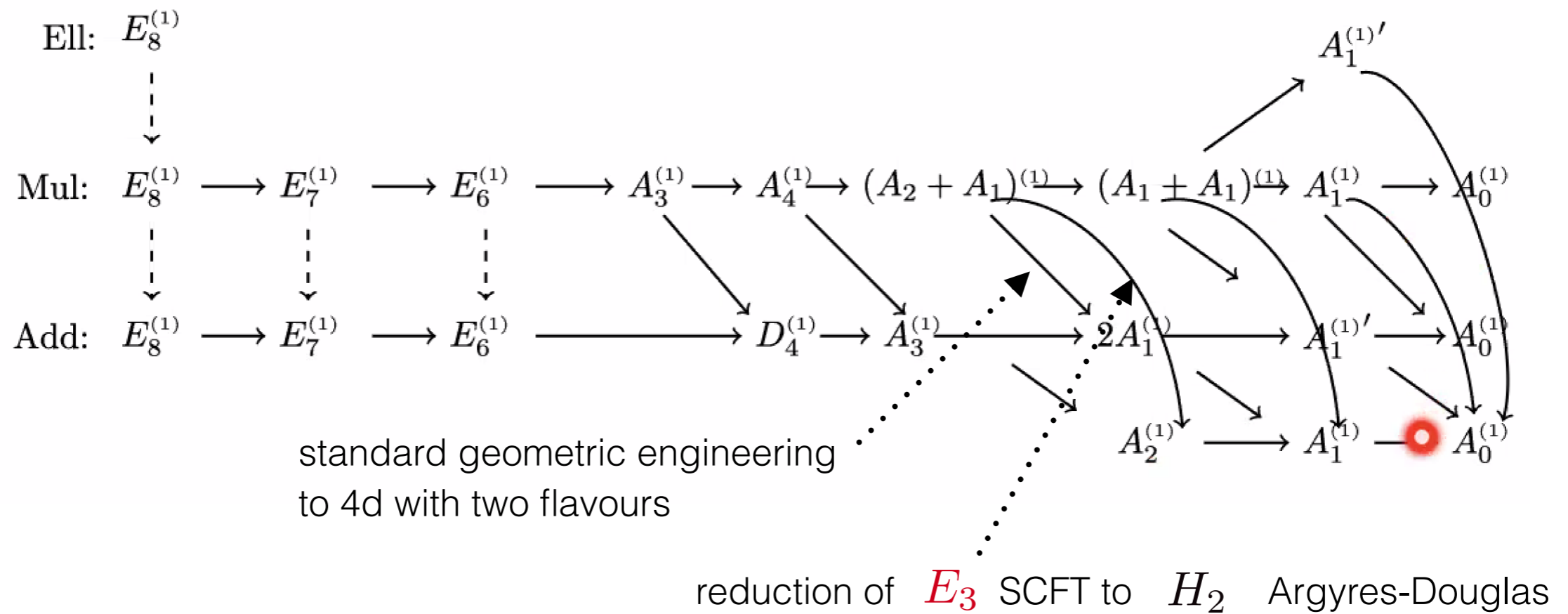


engineers 5d SU(2) gauge theory with **two flavours**.

So, what is going on ?

# Sakai's classification and rank one 5d SCFTs

**Sakai's classification** of q-Painleve' equations based on symmetry lattice of eight-point blow-up of  $\mathbb{P}^1 \times \mathbb{P}^1$



**different limit** pointing to a **Argyres-Douglas SCFT !**



# Matrix models for the magnetic phase

Conjectural proposal for non-perturbative completion of topological strings

*Quantum mirror curve*      $\hat{\mathcal{O}} = \sum_{(m,n) \neq (0,0)} a_{m,n} e^{m\hat{x} + n\hat{p}} \quad [\hat{x}, \hat{p}] = i\hbar$

(5d quantum SW curve)

*Spectral determinant*      $\Xi(\kappa) = \det \left( 1 + \kappa \hat{\mathcal{O}}^{-1} \right)$

$$\Xi(\kappa, \hbar) = \sum_{N \geq 0} \kappa^N Z_X^\rho(N, \hbar) \longrightarrow \text{matrix model for topological string partition function}$$

**TS/ST conjecture**

**Problem:** inverting quantum mirror curve is hard !

# Matrix models for the magnetic phase

[Bonelli, Globeleik, Kubo, Nosaka, A.T. ]

Proposal for local  $dP_5$  which engineers 5d SU(2)  $N_F = 4$  gauge theory

$$\begin{aligned}
 Z_k^{\text{VI}}(N; M_1, M_2, M, \zeta_1, \zeta_2) &= \frac{1}{N!(N+M)!} \int \prod_{n=1}^N \frac{d\mu_n}{2\pi k} \prod_{n=1}^{N+M} \frac{d\nu_n}{2\pi k} \\
 &\times \prod_{n=1}^N e^{\left(-\frac{i\zeta_1}{k} + \frac{2k-M-M_2}{2k}\right)\mu_n} \frac{\Phi_b\left(\frac{\mu_n}{2\pi b} - \frac{iM_1}{2b} + \frac{i}{2}b\right) \Phi_b\left(\frac{\mu_n}{2\pi b} - \frac{iM_2-2\zeta_2}{2b} + \frac{i}{2}b\right)}{\Phi_b\left(\frac{\mu_n}{2\pi b} + \frac{iM_1}{2b} - \frac{i}{2}b\right) \Phi_b\left(\frac{\mu_n}{2\pi b} + \frac{iM_2+2\zeta_2}{2b} - \frac{i}{2}b\right)} \\
 &\times \prod_{n=1}^{N+M} e^{\left(\frac{i\zeta_1}{k} + \frac{M_1+M_2}{2k}\right)\nu_n} \frac{\Phi_b\left(\frac{\nu_n}{2\pi b} + \frac{iM_1}{2b}\right) \Phi_b\left(\frac{\nu_n}{2\pi b} + \frac{iM_2+2\zeta_2}{2b}\right)}{\Phi_b\left(\frac{\nu_n}{2\pi b} - \frac{iM_1}{2b}\right) \Phi_b\left(\frac{\nu_n}{2\pi b} - \frac{iM_2-2\zeta_2}{2b}\right)} \\
 &\times \left( \frac{\prod_{m<m'}^N 2 \sinh \frac{\mu_m - \mu_{m'}}{2k} \prod_{n<n'}^{N+M} 2 \sinh \frac{\nu_n - \nu_{n'}}{2k}}{\prod_{m=1}^N \prod_{n=1}^{N+M} 2 \cosh \frac{\mu_m - \nu_n}{2k}} \right)^2
 \end{aligned}$$

**Check:** its spectral determinant satisfies the expected q-difference equation in Sakai's list

## Summary

**Surface defects** strongly determine non-perturbative dynamics of gauge theories and have many relations with **integrability, random matrices** and **representation theory**. Main results:

- new recurrence relations for instanton counting on self-dual Omega background for all simple groups from  $A_n$  to  $E$ .
- 4d/2d correspondence and  $t$   $t^*$  equations.
- new matrix models for the magnetic phase of a class of 4d and 5d theories.
- connection between 5d BPS quivers, cluster algebras and  $q$ -difference eq.
- new viewpoint on Argyres-Douglas SCFT.

# Outlook

Some natural lines of development:

- 5d uplift of tau-system for general gauge groups (de-autonomization of relativistic Toda chain).
- matrix model for the magnetic phase beyond  $A_n$  series.
- spectrum of quantum integrable system from zeroes of the tau-functions.
- solutions of the new bilinear equations and Argyres-Douglas SCFTs.
- general Omega background and quantum cluster algebras.

# Outlook

Some natural lines of development:

- 5d uplift of tau-system for general gauge groups (de-autonomization of relativistic Toda chain).
- matrix model for the magnetic phase beyond  $A_n$  series.
- spectrum of quantum integrable system from zeroes of the tau-functions.
- solutions of the new bilinear equations and Argyres-Douglas SCFTs.
- general Omega background and quantum cluster algebras.

**THANKS!**