

Stability structures in holomorphic Morse-Novikov theory

DT invariants appear in two different contexts:

1) CY3 categories, 2) holomorphic Floer theory
in arbitrary dimension

Goal of the lecture: a totally elementary and controllable example, simpler than
4dim wall-crossing (Kontsevich-Soibelman & Joyce-Song), but a bit more
complicated than 2dim wall-crossing (Cecotti-Vafa).

Morse-Novikov theory

X : smooth compact manifold

α_R : closed real 1-form on X

generically α_R has isolated simple zeroes

$$\alpha_R \propto \sum_{i=1}^{\dim X} (\pm dx_i)^2$$

pick a generic Riemannian metric g on X

$$\tilde{\alpha} = g^{-1} \alpha_R \quad \text{gradient flow}$$



infinitely many (isolated) gradient lines

$$T \int_{\tilde{x}}^{x'} \alpha_R \Rightarrow d^2 = 0$$

Novikov's idea: count each line with the weight

Ring $\mathbb{Q}[[T^R]] = \left\{ \sum a_{\lambda_i} T^{\lambda_i} \mid a_i \in \mathbb{Q}, 0 \leq \lambda_i < \dots \right\}$
 $\lim \lambda_i = +\infty$

Field $\mathbb{Q}((T^R)) =: \mathcal{N}_v$

\rightsquigarrow Finite-dimensional complex over Novikov field

Theorem $H^*(\dots)$ do not depend on the choice of generic metric g .

$\approx H^*(X,$ universal local system of
 rank 1, with coefficients in \mathcal{N}_v , holonomy around loop Q^r)
 canonically $= T^{\int_S \alpha_R}$

More canonical isomorphisms

$\alpha_{\mathbb{R}}$ using g \rightsquigarrow vector field $\beta = g^{-1} \alpha_{\mathbb{R}} \rightsquigarrow$ open convex cone in $H^1(X, \mathbb{R})$

$$= \left\{ [\tilde{\alpha}_{\mathbb{R}}] \mid d\tilde{\alpha}_{\mathbb{R}} = 0, \langle \tilde{\alpha}_{\mathbb{R}}, \beta \rangle > 0 \right\}$$

Non-zeroes $\alpha_{\mathbb{R}}$

\forall non-archimedean field K , $\forall \beta: \pi_1(X, x) \rightarrow GL(1, K) = K^\times$ $\xrightarrow{\log |\cdot|} \mathbb{R}$

$$\rightarrow H_1(X, \mathbb{Z}) \xrightarrow{\quad} \text{class in } H^1(X, \mathbb{R})$$

\Rightarrow Conclusion: we can calculate $H^*(X, \mathcal{L}) \quad \forall \mathcal{L} \in$ non-archimedean tube domain $\subset H^1(X, K^\times)$

Holomorphic Morse-Novikov theory

$$X = X_{\mathbb{C}} \quad \text{compact complex variety} \quad \dim_{\mathbb{R}} X = 2n$$

complex Morse type:

$$\alpha_{\mathbb{C}} \approx d \left(\sum_{i=1}^n z_i^2 \right)$$

$\alpha_{\mathbb{C}}$: holomorphic closed 1-form

$$\forall \theta \in \mathbb{R}/2\pi\mathbb{Z} \rightsquigarrow \text{real closed 1-form } \operatorname{Re}(e^{-i\theta} \alpha_{\mathbb{C}})$$

\rightsquigarrow No differential for generic metric

real Morse type of index n

$$\theta = 0 : d \left(\sum_{i=1}^n x_i^2 - \sum_{i=1}^n y_i^2 \right)$$

Canonical basis in $H^*(X, \mathbb{Z})$

local system of rank 1 in a
non-archimedean tube domain

$$z_i = x_i + \sqrt{-1}y_i$$

if we use only hermitean metrics and if $\theta \neq \operatorname{Arg} \int \alpha_{\mathbb{C}}$ $\forall \gamma \in H_1(X, \underbrace{\operatorname{Zeroes}(\alpha_{\mathbb{C}})}_{\text{assuming that the local system is trivialized at}})$

No walls in the contractible space of hermitean metrics

Reason: $e^{-i\theta} \alpha_C$ | gradient line for any hermitean metric

is pointwise strictly positive, $\in \mathbb{R}_{>0} \subset \mathbb{C}$

Generalization: α_C has degenerate, or even non-isolated zeroes

$$\text{Zeroes } (\alpha_C) = \coprod Z_j \quad \text{connected components}$$

near Z_j $\alpha_C = df_j$ $f_j|_{Z_j} = 0$ normalization

$$H_\theta := \bigoplus_j H^*(\text{neighborhood of } z_j, f^{-1}(\mathbb{R}_{>0} \cdot e^{i\theta}); \mathbb{Z})$$

~~local system of abelian groups~~

$$D^b(\text{f.g. } \mathbb{Z}\text{-mod})$$

$$S_\theta^\perp = \mathbb{R} / 2\pi\mathbb{Z}$$

Claim: if $\theta \notin$ Stokes directions $\Leftrightarrow \operatorname{Arg} \int_Y \alpha_\gamma \neq 0 \quad \forall \gamma \in H_1(X, \text{Zeroes}(\alpha); \mathbb{Z})$

\rightsquigarrow canonical identification

$$H_\theta \underset{\mathbb{Z}}{\otimes} K \simeq H^*(X, \mathbb{Z})$$

Even more: assume that X is not even a complex manifold, just smooth C^∞

ω_C : complex-valued closed 1-form

$$\omega_C = \alpha_1 + \sqrt{-1}\alpha_2$$

$$\operatorname{Re}(\omega_C) \quad \operatorname{Im}(\omega_C)$$

Axiom: outside of $\operatorname{Zeroes}(\omega_C)$, (α_1, α_2) are \mathbb{R} -linearly independent pointwise.

$\Rightarrow X - \operatorname{Zeroes}(\omega_C)$

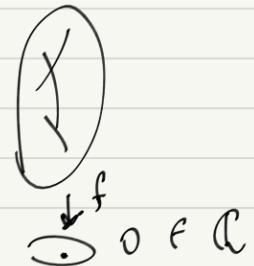
carries foliation of codimension 2, with transversal translational structure

$\Rightarrow \operatorname{GL}(2, \mathbb{R})$ -action on

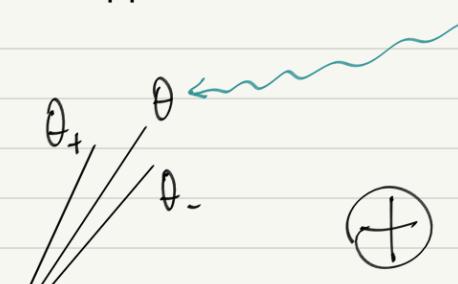
$$\{(\alpha_1, \alpha_2)\}$$

$$\begin{pmatrix} \cdot & \cdot \\ \cdot & \cdot \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}$$

locally $f = \det(\alpha_i)$ has only one critical value, $=0$.



What happens when we cross Stokes direction? We get two different canonical identifications.



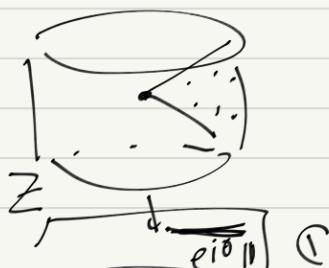
$$\bigoplus_{j \in \pi_0(\text{Zeroes}(\alpha_C))} H^*(Z_j, \varphi_f \mathbb{Z}_X) \otimes K$$

$$\xrightarrow{\sim} H^*(X, \mathbb{Z})$$

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\Rightarrow the ratio is an element of

$$\bigoplus_{j \in \pi_0(\text{Zeroes}(\alpha_C))} \bigoplus_{i=0}^{2 \dim_C X} \text{Aut}(H^i(Z_j, \varphi_f \mathbb{Z}_X) \otimes \mathbb{Z}[[C_\theta]])$$



$$C_\theta \subset \Gamma := H_1(X, \text{Zeroes}(\alpha_C); \mathbb{Z}) \quad \text{a strict convex submonoid}$$

$$\mathbb{Z}(C_\theta) \subset e^{i\theta} \mathbb{R}_{\geq 0}$$

Claim: we get a stability structure in Γ -graded Lie algebra

(more precisely, a local system of graded algebras over S^1_θ)

$$\text{Mat}(N \times N, \mathbb{Z}[\tau_1^{\pm 1}, \dots, \tau_k^{\pm 1}]) \quad k = \text{rk } \Gamma$$

$$N = \# \text{ of critical points (in the holomorphic Morse case)}$$

or, in the general case for given $i \in \{0, \dots, 2\dim_{\mathbb{C}} X\}$: $N = \sum_j \text{rk } H^i(z_j, \phi_f \mathbb{Z}_X)$

Wall-crossing formula: if we vary (X, α_C) preserving α_C near zeroes (α_C).

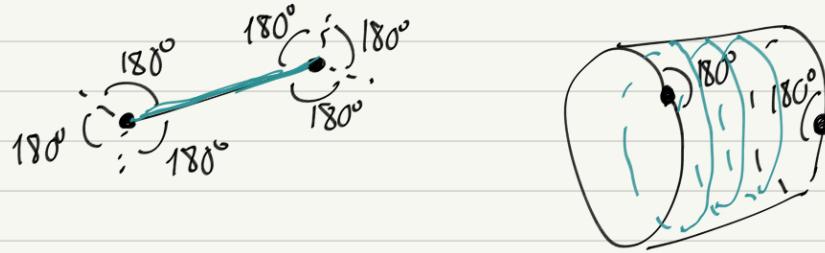
Remark: one can generalize the whole story to complex-valued closed 1-forms on non-compact manifolds, need some constraints on the behaviour at infinity.

Apply to quadratic differentials on complex curves
 (get abelian differentials on ramified double covers)

$$\beta \in \Gamma(C, K_C^{\otimes 2}) \rightsquigarrow \alpha_C = \beta^{1/2} \in \Gamma(\tilde{C}, K_{\tilde{C}})$$

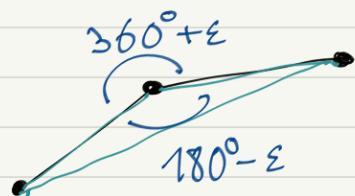
$$X := \tilde{C} \downarrow z^{-1} \quad C$$

Traditional story (works only for quadratic differentials with simple zeroes and arbitrary poles), -
 DT invariants for A-model on the associated noncompact 3CY variety (Bridgeland-Smith, Haiden):
 BPS states correspond to saddle connections and cylinders filled by closed geodesics.

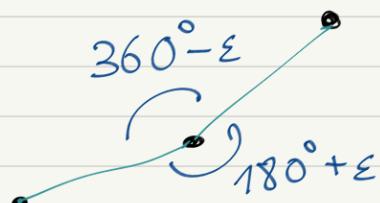


\Rightarrow Stability structure in the \mathbb{Z}^{2g} -graded Lie algebra of Hamiltonian vector fields
 on the symplectic algebraic torus $(\mathbb{C}^\times)^{2g}$

Two events (varying curve with quadratic differential):

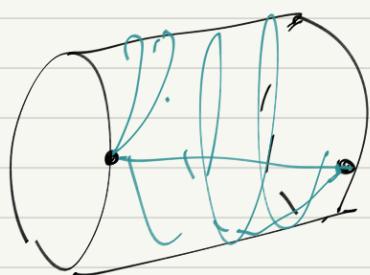


3 saddle connections

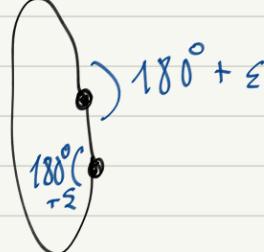


2 saddle connections

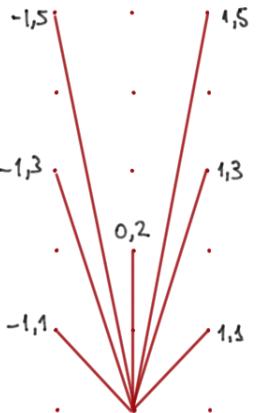
Two different wall-crossing identities:
in hamiltonian vector fields, and in
matrix-valued Laurent polynomials



infinitely many saddle connections, and a cylinder filled by closed geodesics

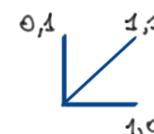


2 saddle connections



$$T_{a,b} : (x,y) \mapsto (x \cdot (1 - (-1)^{ab} x^a y^b)^{-b}, y \cdot (1 - (-1)^{ab} x^a y^b)^a)$$

$$\implies T_{1,0} \circ T_{0,1} = T_{0,1} \circ T_{1,1} \circ T_{1,0},$$



$$T_{1,1} \circ T_{-1,1} = T_{-1,1} \circ T_{-1,3} \circ T_{-1,5} \circ \cdots \circ T_{0,2}^2 \circ \cdots \circ T_{1,5} \circ T_{1,3} \circ \cdots \circ T_{1,1}$$

\mathbb{A}_2

$$\begin{pmatrix} 1 & x & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & xy \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & x & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

\mathbb{A}_1

$$\begin{pmatrix} 1 & xy \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ -x^{-1}y & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -x^{-1}y & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ -x^{-1}y^3 & 1 \end{pmatrix} \cdot \cdots \cdot \begin{pmatrix} 1-y^2 & 0 \\ 0 & \frac{1}{1-y^2} \end{pmatrix} \cdot \cdots \cdot \begin{pmatrix} 1 & xy^3 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & xy \\ 0 & 1 \end{pmatrix}$$

How can we calculate all these numbers of saddle connections "at once"?

Key notion: generalized square-tiled surfaces

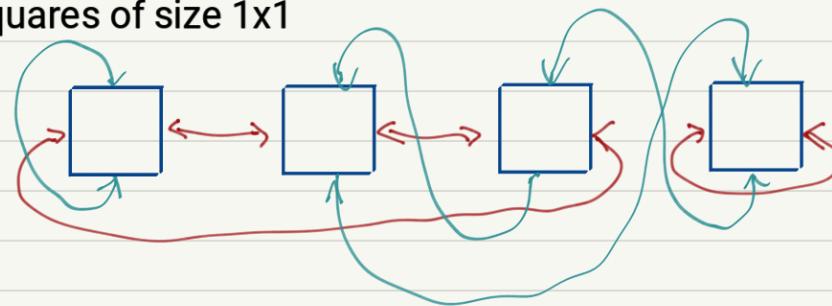
Want $[\alpha_C] \in H^1(X, \text{Zeroes}(\alpha_C); \mathbb{C})$ to belong to the image of
 $H^1(X, \text{Zeroes}(\alpha_C); \mathbb{Z} + \sqrt{-1}\mathbb{Z})$.

$\Leftrightarrow \exists: H_1(X, \text{Zeroes}(\alpha_C)) \rightarrow \mathbb{C}$

$$\downarrow \qquad \qquad \uparrow \\ \mathbb{Z} + \sqrt{-1}\mathbb{Z}$$

Example: X is curve of genus 2, α_C is an abelian differential (holomorphic 1-form) with two simple zeroes.

minimal square tiled: 4 squares of size 1×1



almost vertical



almost horizontal



Two rational identifications

$$\begin{aligned} H^0(\text{zeroes}, \Omega^1_C) \otimes K \\ \simeq H^0(X, \mathcal{L}) \end{aligned}$$



Automorphisms
associated with
Stokes directions

$$\in GL(2, \text{rational functions})$$



$$\left[\begin{array}{cc} 1 + \frac{(a^3 f - a^2 f)(b^2 g - b^3 g) + (a - a^3 f)(b^3 g - b)}{(1-a^3 f)(1-b^3 g)} & \frac{(a - a^3 f)b^2 + (a^3 f - a^2 f)b}{(1-a^3 f)(1-b^3 g)} - \frac{(a^2 f - a f)b}{(1-a^3 f)(1-b)} \\ \frac{a^2(b^3 g - b) + a(b^2 g - b^3 g)}{(1-a^3 f)(1-b^3 g)} - \frac{a(bg - b^2 g)}{(1-a)(1-b^3 g)} & 1 + \frac{a^2 b^2 + ab}{(1-a^3 f)(1-b^3 g)} - \frac{a^3 f b}{(1-a^3 f)(1-b)} - \frac{a b^3 g}{(1-a)(1-b^3 g)} \end{array} \right]$$

In general, if $\mathbb{Z}_{\alpha_c} : \Gamma = H_1(X, \text{Zeroes}(\alpha_c); \mathbb{Z}) \xrightarrow{\int \alpha_c} \mathbb{Z} + \sqrt{-1}\mathbb{Z} \subset \mathbb{C}$

$$\rightsquigarrow \int_{x_0 \in \text{Zeroes}(\alpha_c)}^? \alpha_c : X \xrightarrow{\text{pr}} S^1 \times S^1 \quad \mathbb{R}/\mathbb{Z} \times \mathbb{R}/\mathbb{Z}$$


(0,0)
the only one critical value

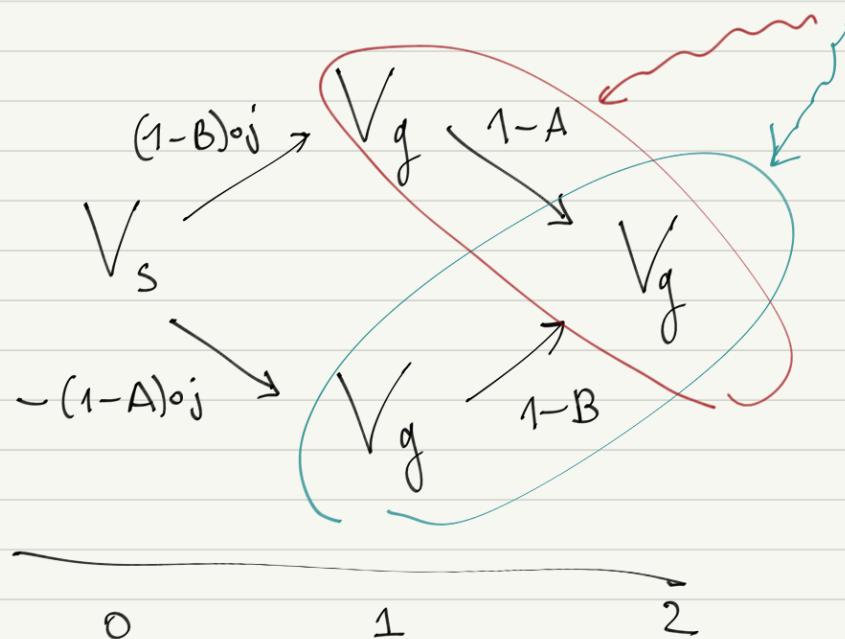
fibration outside (0,0).

$$H^*(X, \mathbb{Z}) = H^*(S^1 \times S^1; \text{pr}_* \mathcal{L}) \quad \text{constructible sheaf on } (S^1 \times S^1, (0,0))$$

V_g : generic stalk
 V_s : special stalk

$A, B \in \text{Aut}(V_g)$ $j: V_s \rightarrow V_g^{AB A^{-1} B^{-1}}$

$$RF(S^1 \times S^1, \text{pr}_* \mathcal{L}) :$$



contractible subcomplexes for generic A,B

$$\Rightarrow RT(S^1 \times S^1, p \cap \mathcal{L})$$

qis ss ss qis

$$V_s = \frac{(1-B) \circ j + \sqrt{g}}{V_f} - \frac{(1-A) \circ j}{V_f}$$

assume for simplicity $\nabla_s = 0$

\Rightarrow comparison of identifications = $(1 - \beta)^{-1} (1 - A)$

If $(1 - B)$ and $(1 - AB)$ are both invertible then

$$(1 - B)^{-1}(1 - A) = (1 - AB)^{-1}(1 - A) \cdot (1 - B)^{-1}(1 - BA)$$

version: $(1 - AB)$ and $(1 - BA)$ invertible (like in vanishing/nearby cycles) then

$$(1 - A)(1 - BA)^{-1}(1 - B) = (1 - B)(1 - AB)^{-1}(1 - A)$$

New meaning: a noncommutative expression which is *symmetric* in A, B !

rewriting:

$$h(B, A) = h(AB, A) \cdot h(B, BA), \quad h(B, A) := (1 - B)^{-1}(1 - A)$$

Iterate:

$$\begin{aligned} h(B, A) &= \\ h(AB, A)h(B, BA) &= \\ h(AAB, A)h(AB, ABA)h(BAB, BA)h(B, BBA) &= \\ &\dots \end{aligned}$$

$$h\left(\frac{0}{1}, \frac{1}{1}\right) =$$

$$h\left(\frac{1}{2}, \frac{1}{1}\right)h\left(\frac{0}{1}, \frac{1}{2}\right) =$$

$$h\left(\frac{2}{3}, \frac{1}{1}\right)h\left(\frac{1}{2}, \frac{2}{3}\right)h\left(\frac{1}{3}, \frac{1}{2}\right)h\left(\frac{0}{1}, \frac{1}{3}\right) =$$

$$h\left(\frac{3}{4}, \frac{1}{1}\right)h\left(\frac{2}{3}, \frac{3}{4}\right)h\left(\frac{3}{5}, \frac{2}{3}\right)h\left(\frac{1}{2}, \frac{3}{5}\right)h\left(\frac{2}{5}, \frac{1}{2}\right)h\left(\frac{1}{3}, \frac{2}{5}\right)h\left(\frac{1}{4}, \frac{1}{3}\right)h\left(\frac{0}{1}, \frac{1}{4}\right) = \dots$$

where

$$\frac{0}{1} := B, \quad \frac{1}{1} := A$$

$$\frac{p+p'}{q+q'} := \frac{p'}{q'} \cdot \frac{p}{q}, \quad \frac{p+p'}{q+q'} := \frac{p}{q} \cdot \frac{p'}{q'}$$

Replace approximately

$$h(B, A) \rightsquigarrow \tilde{h}(B, A) := (1 - A)(1 - B)^{-1}$$

$$h\left(\frac{3}{4}, \frac{1}{1}\right)h\left(\frac{2}{3}, \frac{3}{4}\right)h\left(\frac{3}{5}, \frac{2}{3}\right)h\left(\frac{1}{2}, \frac{3}{5}\right)h\left(\frac{2}{5}, \frac{1}{2}\right)h\left(\frac{1}{3}, \frac{2}{5}\right)h\left(\frac{1}{4}, \frac{1}{3}\right)h\left(\frac{0}{1}, \frac{1}{4}\right) \rightsquigarrow$$

$$\tilde{h}\left(\frac{3}{4}, \frac{1}{1}\right)\tilde{h}\left(\frac{2}{3}, \frac{3}{4}\right)\tilde{h}\left(\frac{3}{5}, \frac{2}{3}\right)\tilde{h}\left(\frac{1}{2}, \frac{3}{5}\right)\tilde{h}\left(\frac{2}{5}, \frac{1}{2}\right)\tilde{h}\left(\frac{1}{3}, \frac{2}{5}\right)\tilde{h}\left(\frac{1}{4}, \frac{1}{3}\right)\tilde{h}\left(\frac{0}{1}, \frac{1}{4}\right) =$$

$$(1 - \frac{1}{1})(1 - \frac{3}{4})^{-1}(1 - \frac{3}{4})(1 - \frac{2}{3})^{-1}(1 - \frac{2}{3}) \dots (1 - \frac{0}{1})^{-1} =$$

$$(1 - \frac{1}{1})\frac{3}{4}\frac{2}{3}\frac{3}{5}\frac{1}{2}\frac{2}{5}\frac{1}{3}\frac{1}{4}(1 - \frac{0}{1})^{-1}$$

where

$$\frac{p}{q} := (1 - \frac{p}{q})^{-1}(1 - \frac{p}{q})$$

In the limit

$$h\left(\frac{0}{1}, \frac{1}{1}\right) = \left(1 - \frac{1}{1}\right) \cdot \prod_{\substack{p \in (0,1) \\ q}} \frac{\frac{p}{q}}{\frac{p}{q} - \frac{1}{1}} \cdot \left(1 - \frac{0}{1}\right)^{-1}$$

Proof of $(1 - A)(1 - BA)^{-1}(1 - B) = (1 - B)(1 - AB)^{-1}(1 - A)$:

invert:

$$(1 - B)^{-1}(1 - BA)(1 - A)^{-1} \stackrel{?}{=} (1 - A)^{-1}(1 - AB)(1 - B)^{-1}$$

denote $X := 1 - A$, $Y := 1 - B$:

$$Y^{-1}(1 - (1 - Y)(1 - X))X^{-1} \stackrel{?}{=} X^{-1}(1 - (1 - X)(1 - Y))Y^{-1}$$

$$Y^{-1}(Y + X - YX)X^{-1} \stackrel{?}{=} X^{-1}(X + Y - XY)Y^{-1}$$

$$X^{-1} + Y^{-1} - 1 = Y^{-1} + X^{-1} - 1 \quad \blacksquare$$

General framework: holomorphic Floer theory, quantization, resurgence
 (ongoing project: M.K. & Y. Soibelman & ...)

(M_C, ω_C) complex algebraic symplectic manifold
 (? symplectic leaf of a compact Poisson variety?)

$L_C \subset M_C$ an algebraic Lagrangian subvariety (possibly singular)

$$\rightsquigarrow \mathcal{Z} : \Gamma := H_2(M_C, L_C; \mathbb{Z}) \xrightarrow{\int \omega_C} \mathbb{C}$$

$$\rightsquigarrow \forall \hbar \in \mathbb{C}^\times \\ 0 < |\hbar| \ll 1$$

complex Planck constant such that $\hbar \notin$ Stokes ray

$$\Leftrightarrow \text{Arg } \hbar \neq \text{Arg } \frac{\mathcal{Z}(\gamma)}{\gamma_0} \quad \forall \gamma \in \Gamma$$

$$\text{Fukaya}_{\text{local}}(V_\zeta(L_C); \frac{1}{\hbar} \omega_C) \hookrightarrow \text{Fukaya}(M_C, \frac{1}{\hbar} \omega_C)$$

fully faithful embedding

Reason: no pseudo-holomorphic discs with boundary on L_C

Wall-crossing structure: countable dimensional Γ -graded Lie algebra $\tilde{\mathfrak{g}}^{\vee}$:

$$\tilde{\mathfrak{g}} := \mathrm{HH}^1 \left(\mathrm{Fukaya}_{\text{local}} \left(\mathcal{V}_c(L_c), \frac{1}{\hbar} \omega_c \right) \right) / \mathbb{Q} \simeq \bigoplus_{\tilde{r} \in \mathrm{H}_1(L_c; \mathbb{Z})} \tilde{\mathfrak{g}}_{\tilde{r}}$$

acts $\mathbb{C} \otimes \text{rk } r = 1$ local systems on L_c
 $(\mathbb{C}^\times)^{\text{rk } H_1(L_c)}$

category over \mathbb{Q} of finite type

$$\mathfrak{g}^{\vee} := \bigoplus_{r \in H_2(M_c, L_c; \mathbb{Z})} \mathfrak{g}_{\tilde{r}}$$

$$r \in H_2(M_c, L_c; \mathbb{Z})$$

$$\mathfrak{g}_{\tilde{r}} := \tilde{\mathfrak{g}}_{\sigma(r)}$$

$$\partial: H_2(M_c, L_c; \mathbb{Z}) \rightarrow H_1(L_c; \mathbb{Z})$$

boundary map

Specializes to hamiltonian vector fields on

if L_c is smooth

$$H^1(L_c, \mathbb{C}^\times)$$

“usual” DT invariants

Special case: $M_{\mathbb{C}} = T^*X_{\mathbb{C}}$ $L_{\mathbb{C}} = L_1 \cup L_2$

$$\left. \begin{array}{l} L_1 = \text{zero section} = X_{\mathbb{C}} \subset T^*X_{\mathbb{C}} \\ L_2 = \text{graph } (\alpha_{\mathbb{C}}) \subset T^*X_{\mathbb{C}} \end{array} \right\} \begin{array}{l} \text{both are unobstructed for any} \\ \theta \in \mathbb{R}/2\pi\mathbb{Z} \end{array}$$

endow L_1 with the trivial local system of rank 1, and L_2 with a generic local system of rank 1

$$\text{Fukaya}_{\text{local}}(U_{\varepsilon}L_1) \hookrightarrow \text{Fukaya}(U_{\varepsilon}(L_1 \cup L_2)) \hookleftarrow \text{Fukaya}_{\text{local}}(U_{\varepsilon}L_2)$$

\downarrow
 $\text{Fukaya}(M_{\mathbb{C}})$ $\theta \neq \text{Stokes}$

$$\text{Hom}_{\mathcal{F}(L_1 \cup L_2)}(L_1, (L_2, \rho)) \simeq H(\text{Zeroes } (\alpha_{\mathbb{C}}), \text{vanishing cycles}) \xrightarrow{\text{red}} \text{Hom}_{\mathcal{F}(T^*X_{\mathbb{C}})}(L_1, (L_2, \rho)) \simeq H^*(X_{\mathbb{C}}, \mathbb{Z})$$