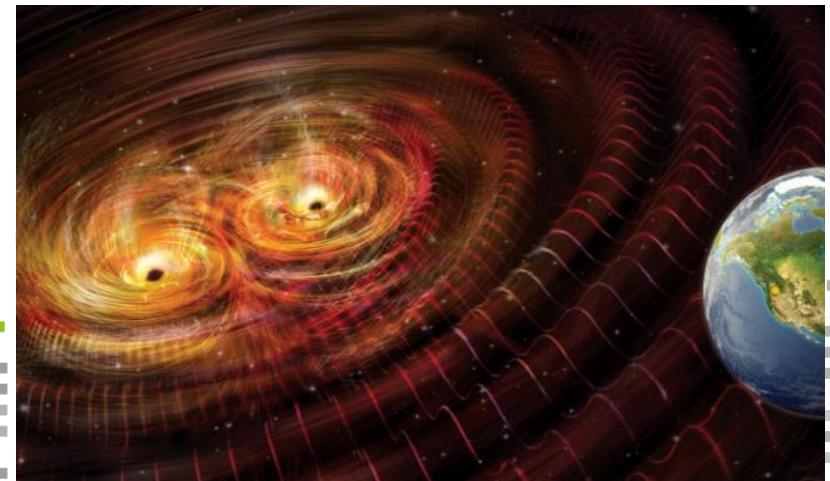
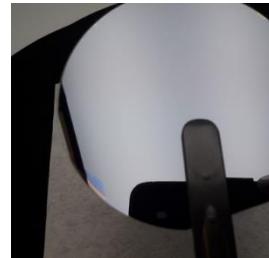


**leti**  
cea tech



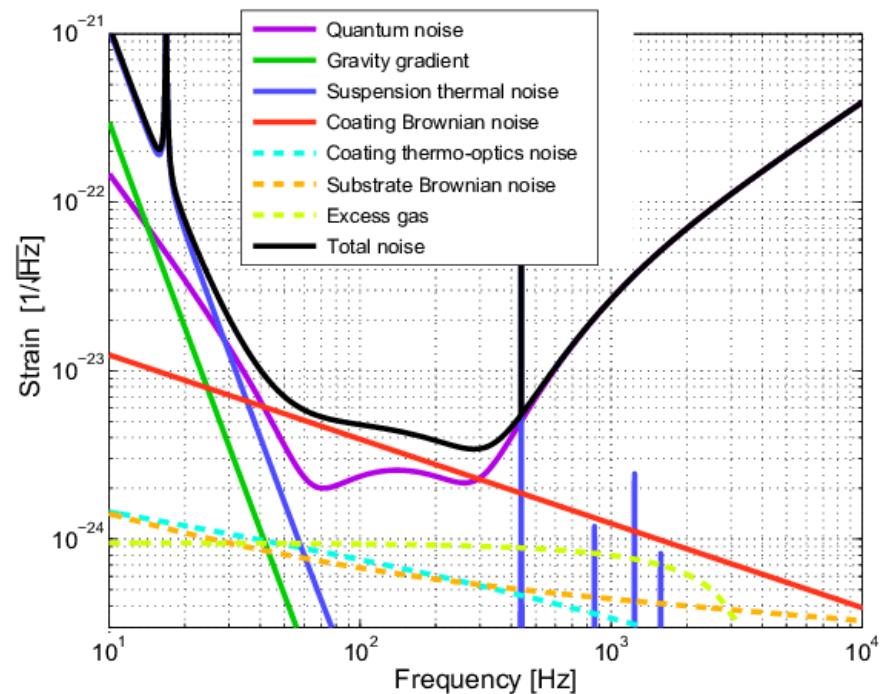
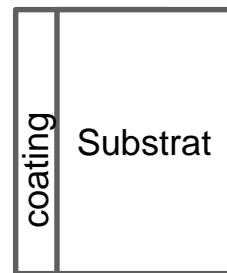
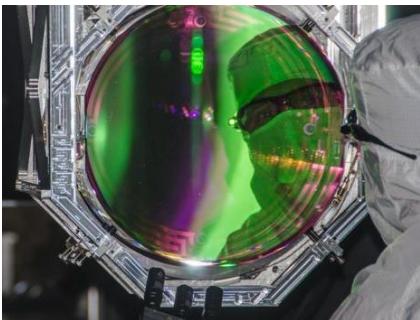
# TRANSFER OF AN ALGAAS/GAAS MULTILAYER COATING ON A SILICA SUBSTRATE

GdR Ondes Gravitationnelles | Hui Victor | 12/10/21

Supervisors :  
Christophe Dubarry (CEA LETI)  
Raffaele Flaminio (LAPP)

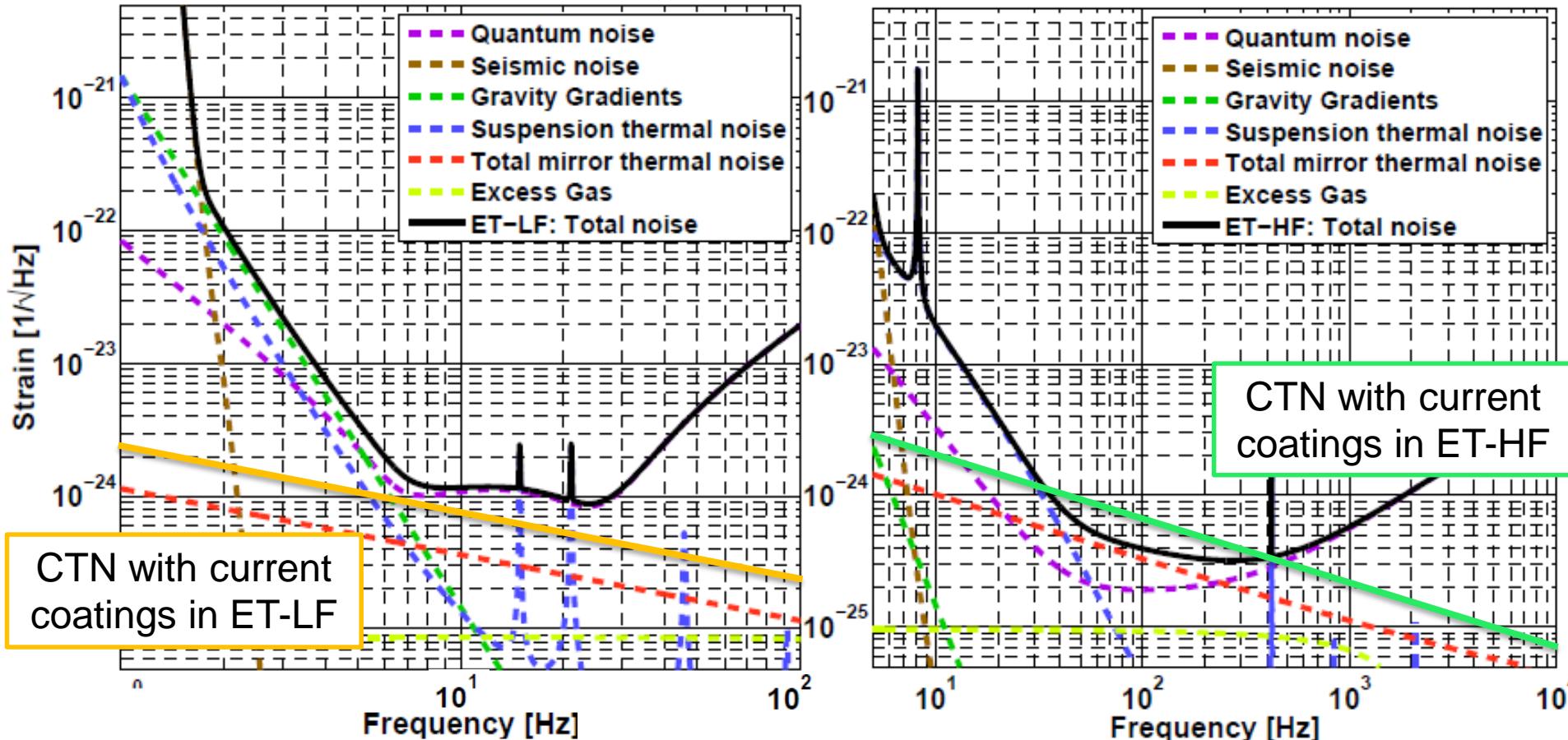
# MOTIVATION

- One of the main limitation of the sensitivity of GW detectors is the thermal noises on the interferometer's mirrors
- Two main thermal noises in the coating:
  - Brownian noise
  - Thermo-optic noise



## MOTIVATION

- Comparison of coating thermal noise in ET with current coatings



Need to reduce thermal noise to enhance sensitivity

Credit : Design report update 2020 for ET

- Brownian noise : due to mechanical damping of the coating layers driven by internal losses

$$S_B(f, T) \propto \frac{k_B T}{\omega} \phi$$

Loss angle

- Thermo-optic noise : contribution of Thermo-elastic noise and Thermorefractive noise

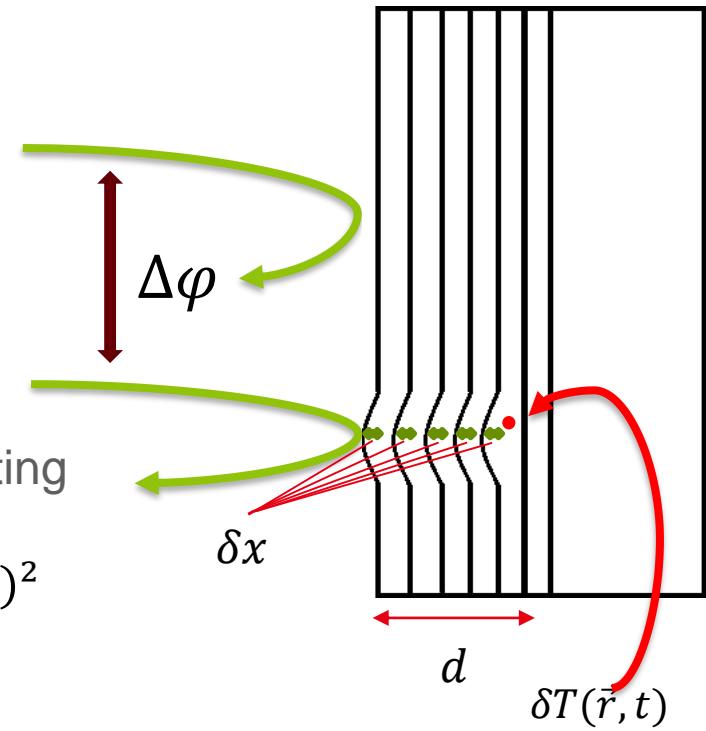
- Thermo-elastic noise : apparent expansion of the mirror coating causing phase shift in the reflected beam
- Thermorefractive noise: physical change of the coating layers size and change in refractive index with temperature in the coating

$$S_{TE} \propto \frac{k_B T^2}{\sqrt{\omega}} (\alpha_c d)^2$$

$\alpha_c$  : coating coefficient of thermal expansion

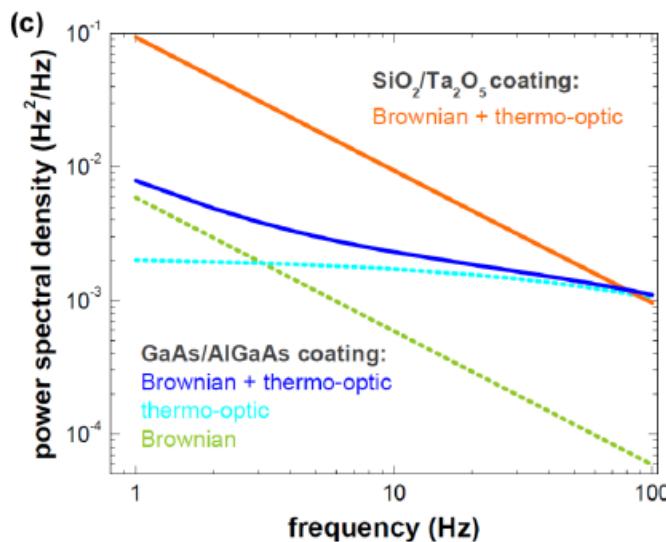
$$S_{TR} \propto \frac{k_B T^2}{\sqrt{\omega}} (\beta \lambda)^2$$

$\beta$ : coefficient of thermorefraction



# STATE OF ART THERMAL NOISE REDUCTION

- Coatings used until now: amorphous
  - $Ta_2O_5/SiO_2$
  - Made at LMA (Laboratoire des Matériaux Avancés)
- Thermal noise reduction
  - Cryogenic environment
  - Development of new coatings => Crystalline coatings

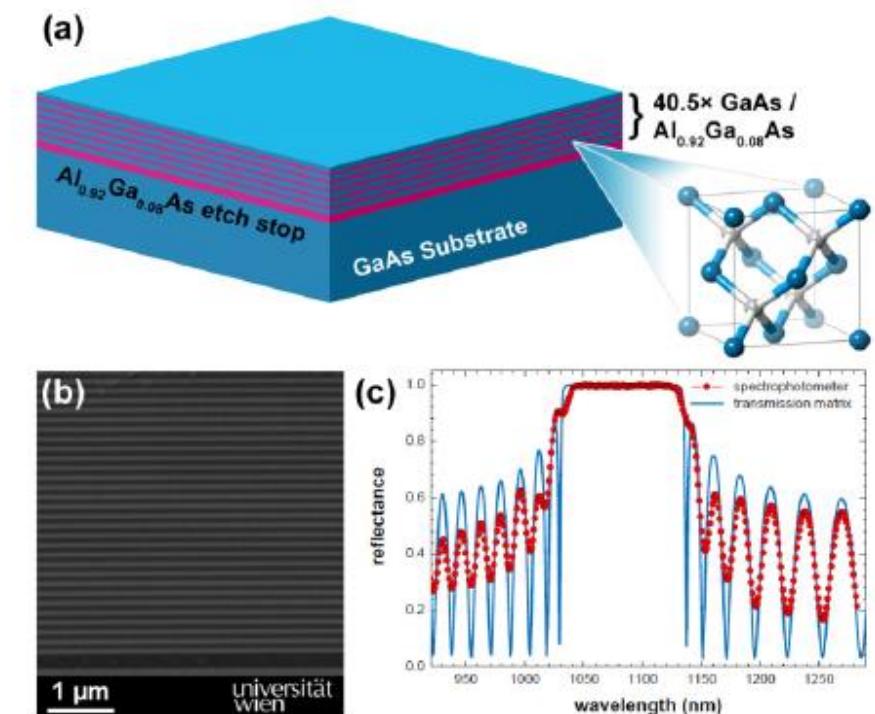


Crystalline coatings : Reduction  
x10 on Thermal noise

G. Cole et al. 2013

## STATE OF ART THERMAL NOISE REDUCTION

- G. Cole et al. 2013  
*Tenfold reduction of Brownian noise in optical interferometry*
  - Crystalline Bragg mirror (AlGaAs/GaAs)
  - Excellent optical performances ( $R>0.9998$ , 4ppm diffusion)
  - Very low mechanical losses  
=> Quality factor  $Q>4.10^4$  ( $Q\sim 1/\phi$ )  
 $Q(\text{Ta}_2\text{O}_5/\text{SiO}_2)\sim \text{a few } 10^3$



## GENERAL PROCESS

Multilayer stack AlGaAs/GaAs (5.8 $\mu$ m)

GaAs

GaAs

Molecular Beam Epitaxy

LAAS  
CNRS



GaAs

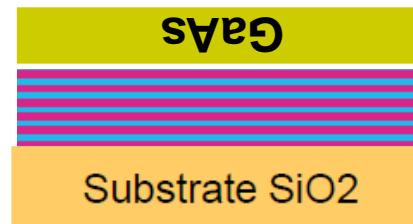
GaAs

Surface preparation

Substrate SiO2

Direct Bonding

leti  
cea tech



Removal of GaAs substrate

Couche InGaP sur wafer GaAs CEA – LTM - LAAS| HUI Victor | 7

leti  
cea tech

SiO<sub>2</sub> thickness : 525 $\mu$ m  
GaAs thickness : 650 $\mu$ m

# BONDING TECHNIQUE

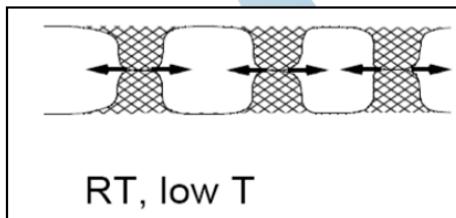
## Surface conditioning

(cleaning, roughness, binding states, etc.)

- Roughness of ~0.3 nm RMS
- No particle
- No chemical contamination



Ziplock model



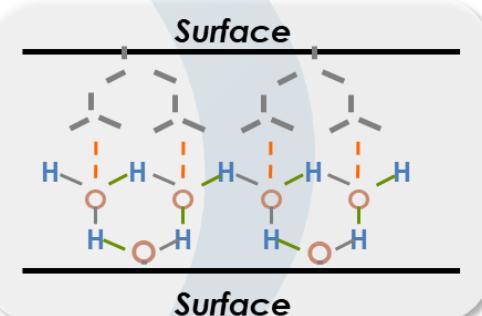
Fr. Rieutord et al., ECS 2006

## Annealing

Binding states evolution upon annealing: from Van der Waals to covalent bonding

## Direct Bonding

Room temperature and atmospheric pressure bonding:  
Van de Waals adhesion



# MAIN CHALLENGES

- **Heterostructure bonding**

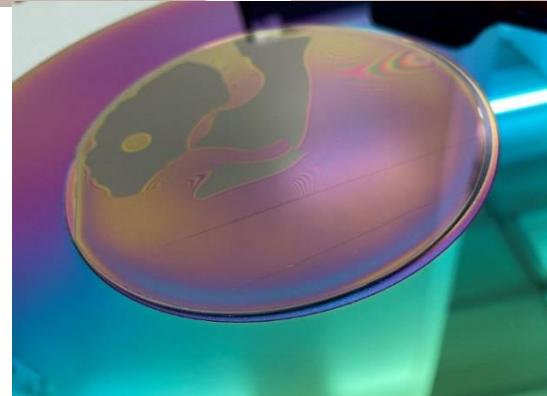
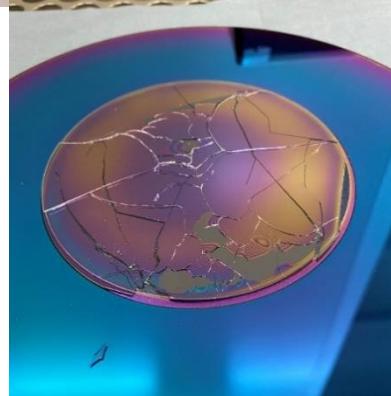
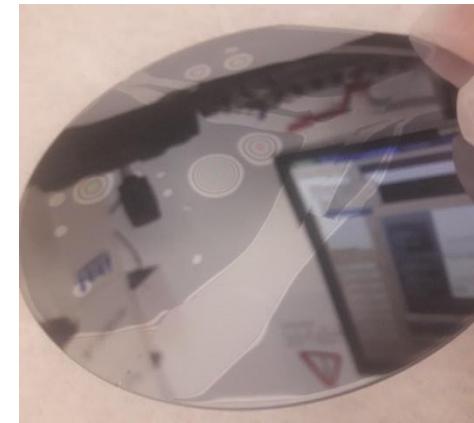
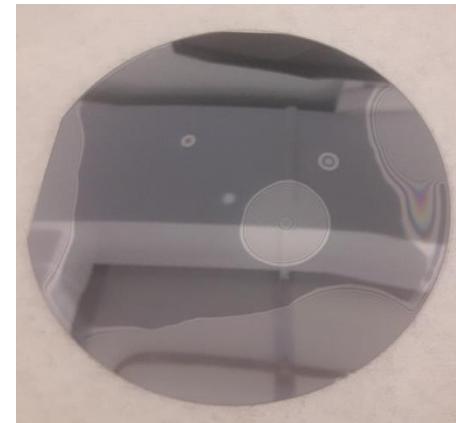
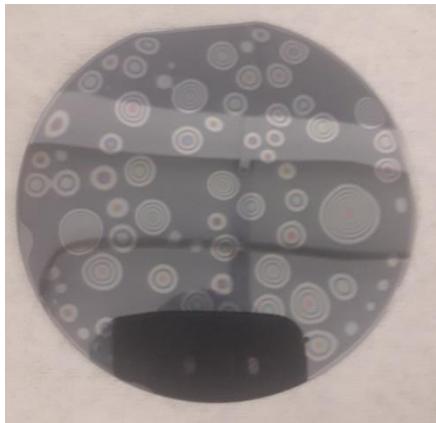
- GaAs naturally oxidize => native oxide layer GaAsO



- Direct bonding difficult : Difference of thermo-mechanic properties between GaAs and SiO<sub>2</sub> (large mismatch of Coefficient of Thermal expansion  
**CTE(GaAs)=5.3e-6; CTE(SiO<sub>2</sub>)=5.1e-7)**
- Thermal stress depends on wafer thickness (the more wafers are thick, the more stresses are important) => Difficult to anneal after direct bonding

## MAIN CHALLENGES

- **Heterostructure bonding**
  - Results after direct bonding + annealing 100°C

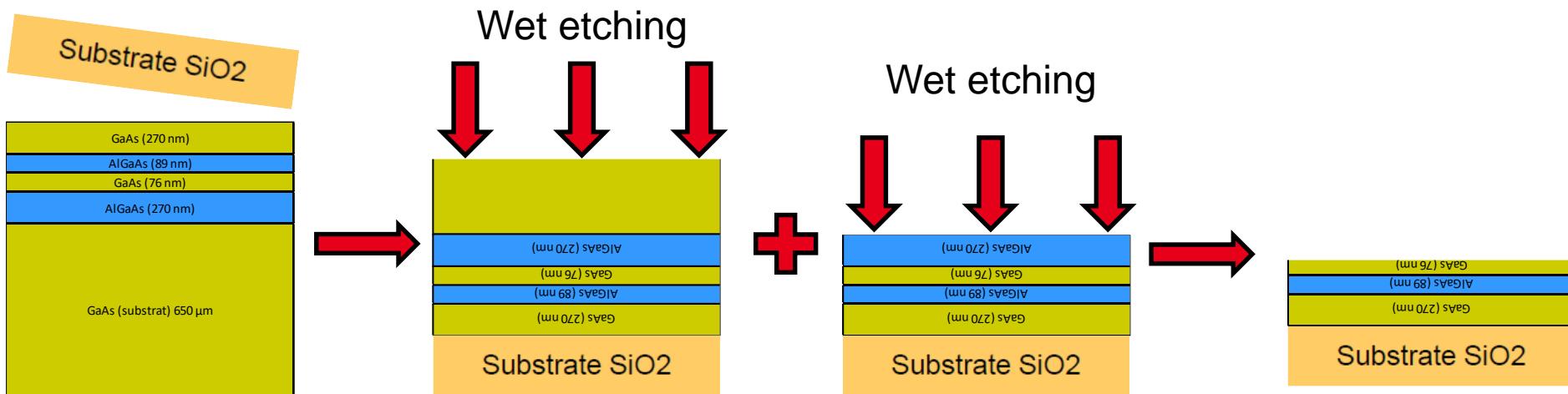


**Local debonding + breaking of the heterostructure due to material expansion ( $\text{CTE}(\text{GaAs})=5.3\text{e}-6$ ;  $\text{CTE}(\text{SiO}_2)=5.1\text{e}-7$ )**

# MAIN CHALLENGES

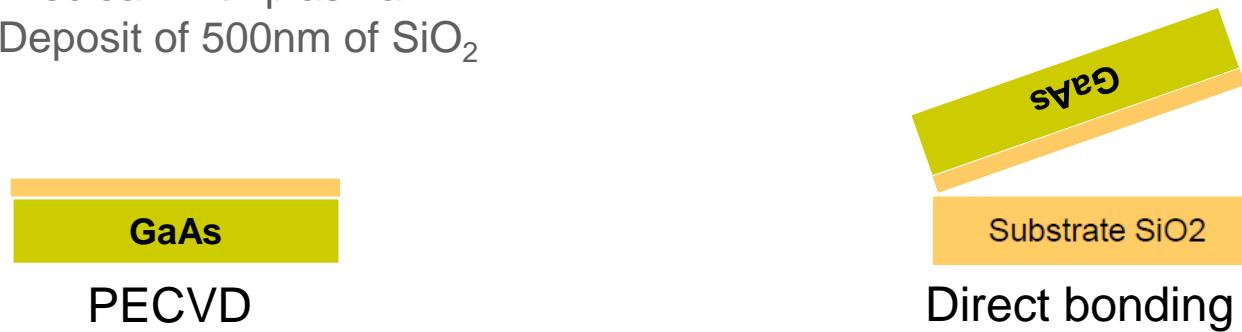
- Removal of GaAs substrate

- Need to stop properly on the multilayer
- Selective chemical solution that etches GaAs and not AlGaAs
- Selective chemical solution that etches AlGaAs and not GaAs



# SOLUTIONS?

- **Heterostructure bonding**
  - Wet cleaning : Removal of GaAs native oxide
    - Cleaning with NH<sub>4</sub>OH solution
  - Deposition SiO<sub>2</sub> by PECVD : Removal of GaAs native oxide + improvement of bonding quality (Oxide-Oxide direct bonding)
    - Preclean with plasma
    - Deposit of 500nm of SiO<sub>2</sub>
- CMP (Chemico-Mechanical Polishing) => improve roughness/micro-topography
- Grinding of GaAs (physical thinning of GaAs before annealing)

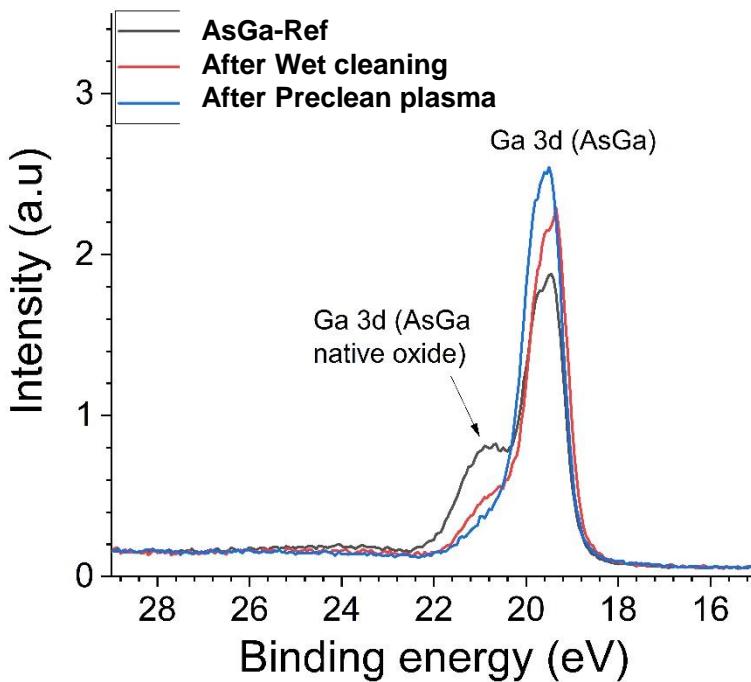
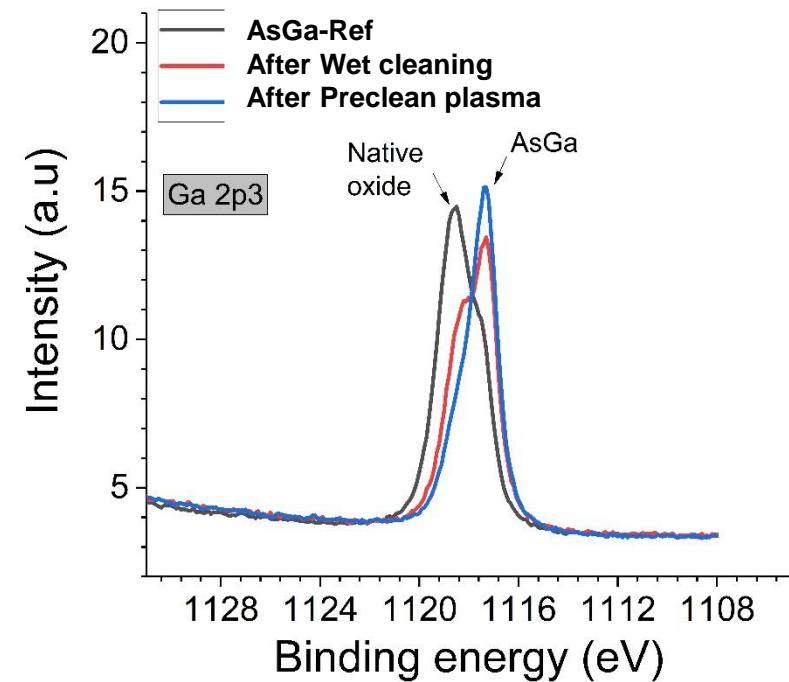


# PROCESS FLOW

- **Surface treatment**
  - Wet Cleaning of GaAs wafers
  - Plasma treatment + SiO<sub>2</sub> deposition (500nm)
- **Direct bonding**
  - Chemical-Mechanical Polishing on SiO<sub>2</sub> wafers
  - Chemical-Mechanical Polishing on GaAs wafers after deposition
  - Direct bonding
- **Removal of GaAs**
  - Grinding of GaAs (until 100µm thickness)
  - Chemical wet etching of GaAs (until 20µm thickness)
- **Annealing 2h @100°C**

## CHARACTERIZATION OF NATIVE OXIDE TREATMENT

- X-Ray photoelectron spectrometry on GaAs

Ga 3d : depth of analysis  $\sim$  7 nmGa 2p : depth of analysis  $\sim$  2 nm

Treatment of native oxide validated

## CHARACTERIZATION OF ROUGHNESS : POLISHING EFFECT

- AFM (Atomic force microscopy) on substrate



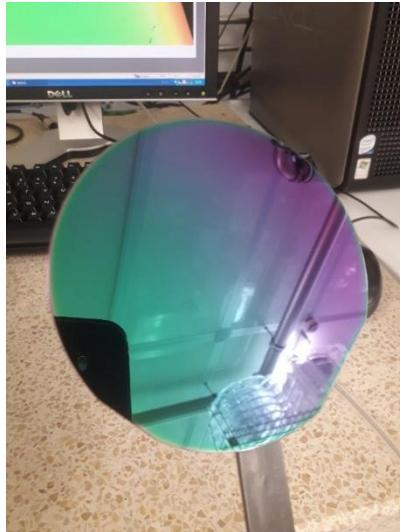
SIO2			
		Before CMP 10µmX10µm	After CMP 10µmX10µm
centre	RMS, nm	0,622	0,26
Mi-rayon	RMS, nm	0,852	0,26
bord	RMS, nm	0,737	0,28

Ok for direct bonding

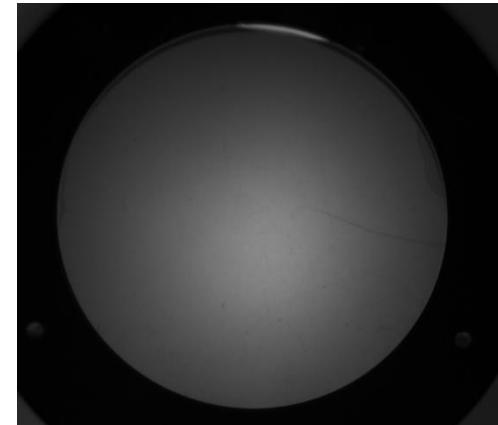
GaAs with SiO2 deposit			
		Before CMP 10µmX10µm	After CMP 10µmX10µm
Centre	RMS,nm	0,521	0,215
Mi-rayon	RMS,nm	0,600	0,212
Bord	RMS,nm	0,579	0,198

Ok for direct bonding

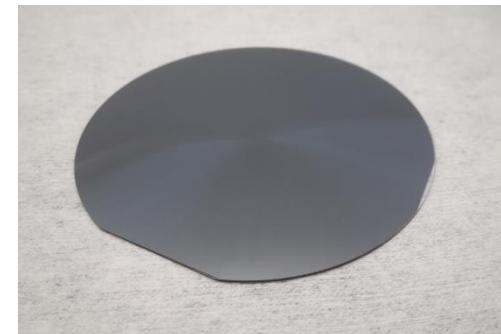
## MAIN RESULTS



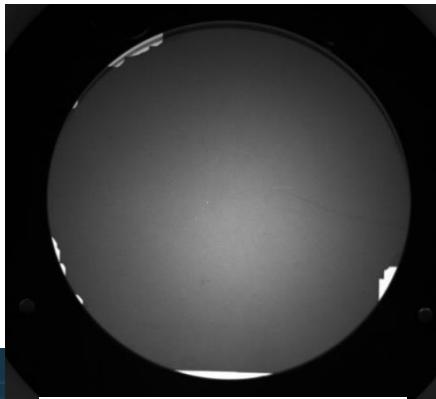
Preclean + SiO<sub>2</sub> deposit



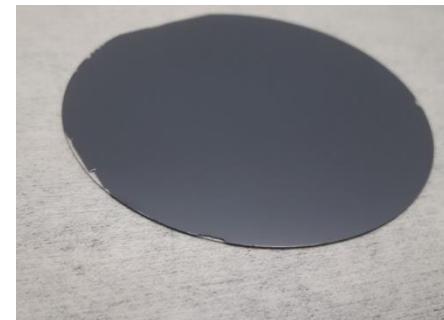
Direct bonding



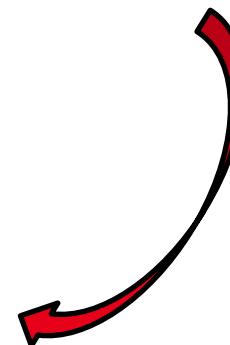
Grinding GaAs (650μ->100μ)



Final annealing

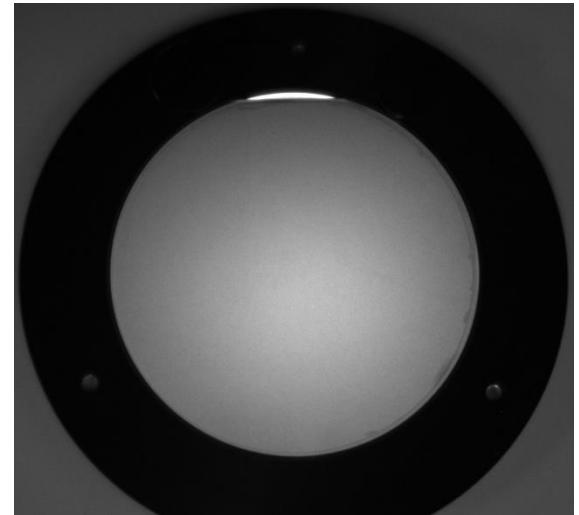
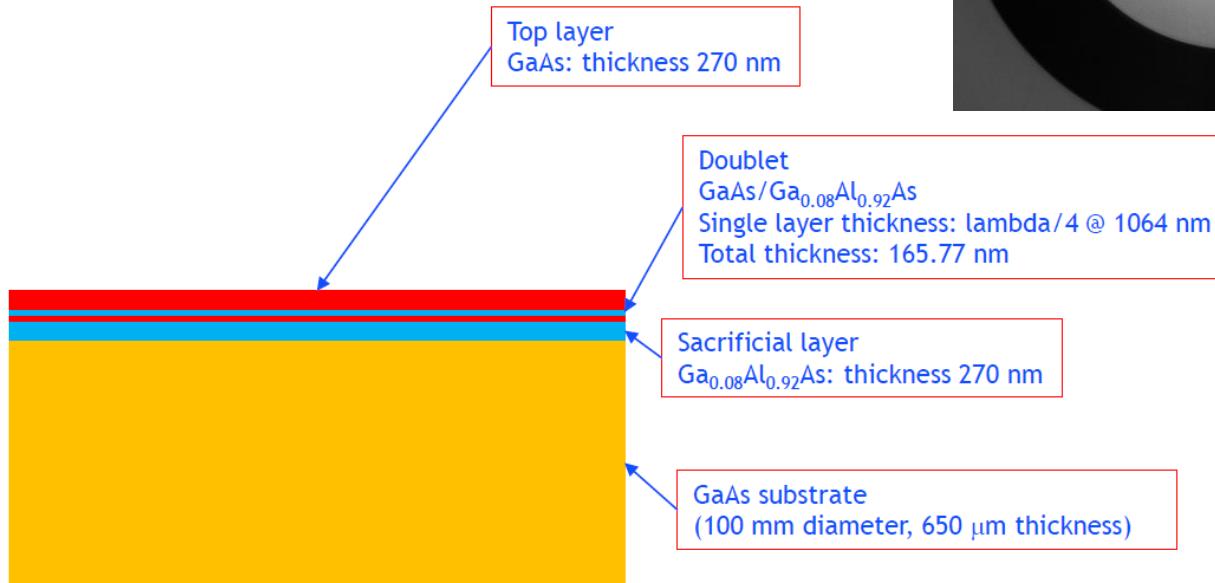


Etching GaAs (100μ->20μ)

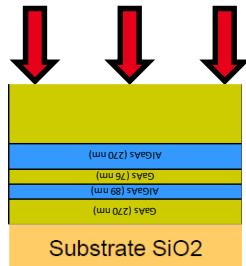


# TRANSFER OF A DOUBLET

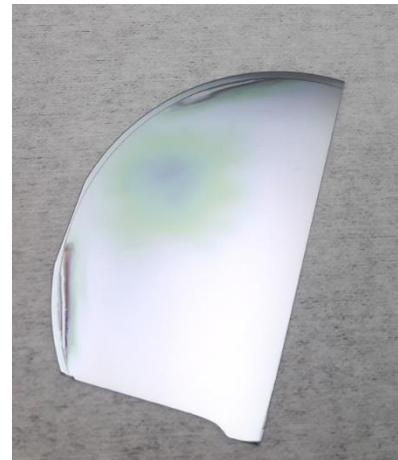
- Process applied to the doublet
  - Cleaning, PECVD SIO<sub>2</sub>, CMP, Bonding



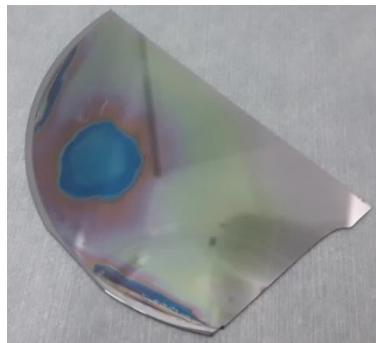
# HARD POINT : WET ETCHING



- Removal of GaAs substrate with NH<sub>4</sub>OH/H<sub>2</sub>O<sub>2</sub>/H<sub>2</sub>O
  - Results after wet etching tests



Etching t+75s



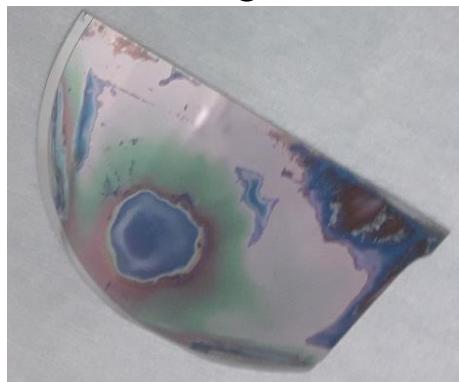
Etching t+90s



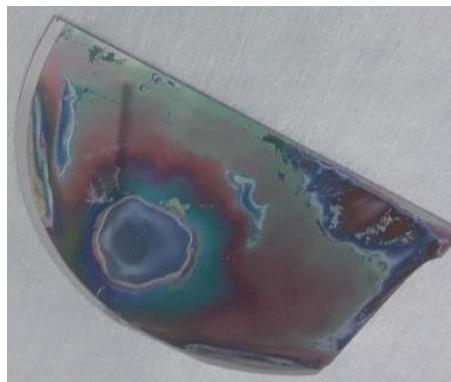
Etching t+105s



Etching t+120s



Etching t+135s



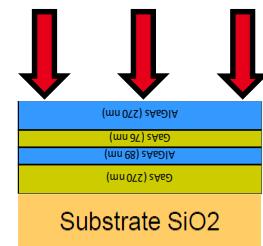
Etching t+150s



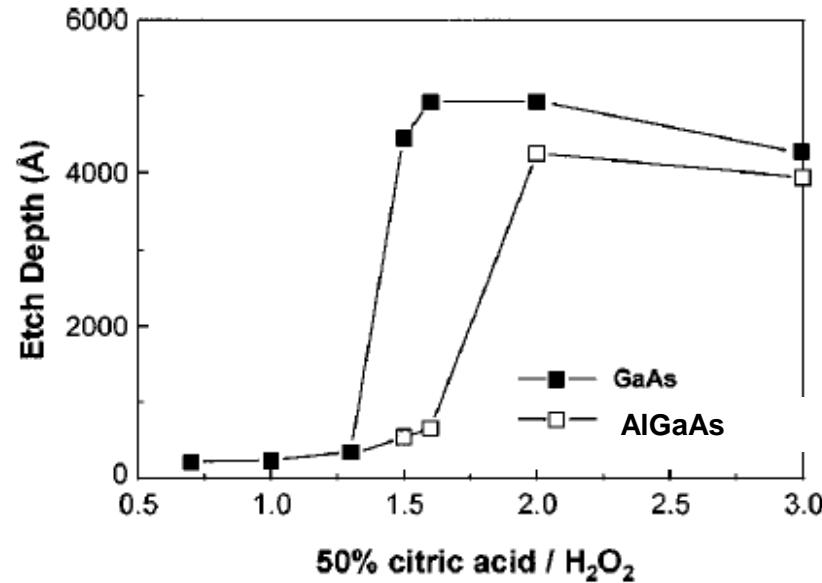
Etching t+195s

Non homogeneous etching : Etching chemistry  
non selective on GaAs over AlGaAs

## WET ECTHING GAAS/ALGAAS



- NH<sub>4</sub>OH/H<sub>2</sub>O<sub>2</sub> not selective for wet etching of GaAs over AlGaAs
- Possible solution :
  - Citric Acid/H<sub>2</sub>O<sub>2</sub>



Eun-A Moon et al. (1998)

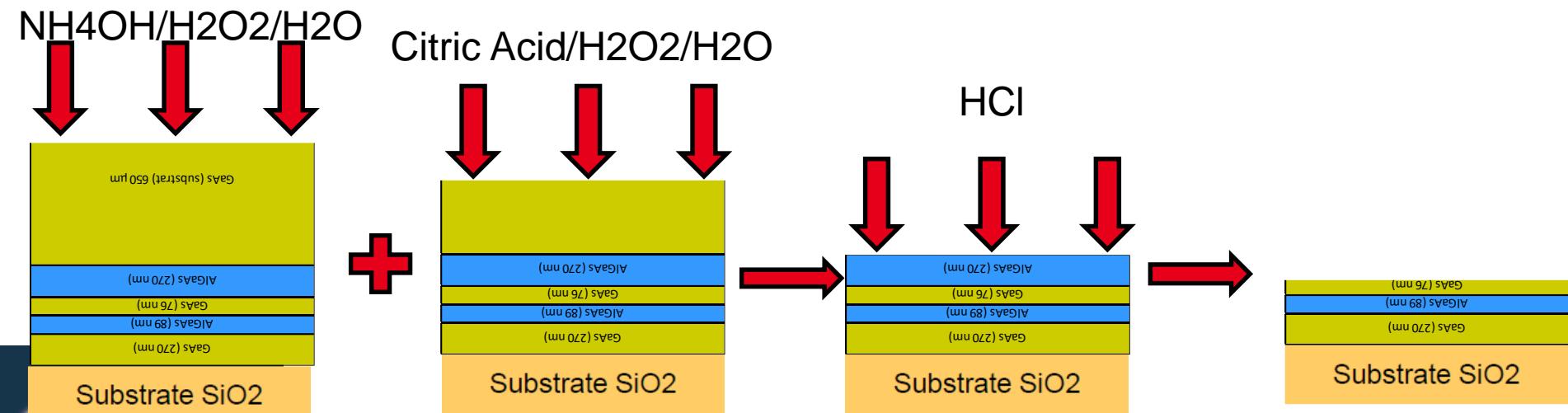
[doi.org/10.1063/1.368571](https://doi.org/10.1063/1.368571)

# WET ECTHING GAAS/ALGAAS

- Process

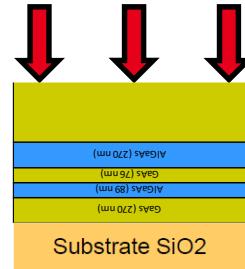
- HCl etching (de-oxidation)
- NH<sub>4</sub>OH/H<sub>2</sub>O<sub>2</sub>/H<sub>2</sub>O etching
- Citric Acid/H<sub>2</sub>O<sub>2</sub>/H<sub>2</sub>O etching
- HCl etching

Courtesy to Isabelle Sagnes for improvement process compatible with multilayer design



# ELLIIPSOMETRY CHARACTERIZATION

- Wet etch AC/H<sub>2</sub>O<sub>2</sub>



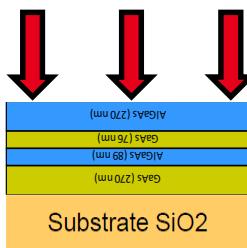
Theoretical stack

AlGaAs	270 nm
GaAs	76,4 nm
AlGaAs	89,3 nm
GaAs	270 nm
SiO <sub>2</sub>	525 μm

Measured stack

AlGaAs : 257,391 nm
GaAs : 78,284 nm
AlGaAs : 89,657 nm
GaAs : 273.888 nm
SiO <sub>2</sub> : 0.52 μm

# ELLIIPSOMETRY CHARACTERIZATION



- Wet etch HCl 10%



Doublet transfer  
successful!

Theoretical stack

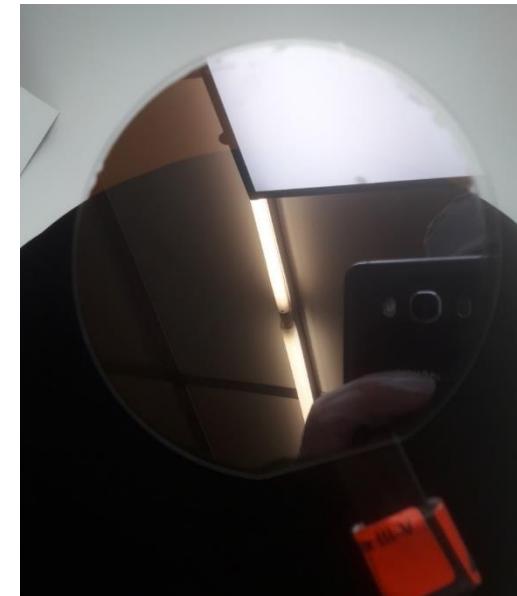
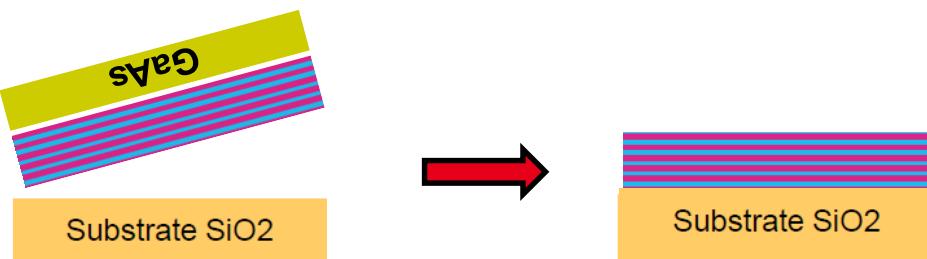
GaAs	76,4 nm
AlGaAs	89,3 nm
GaAs	270 nm
SiO2	525 µm

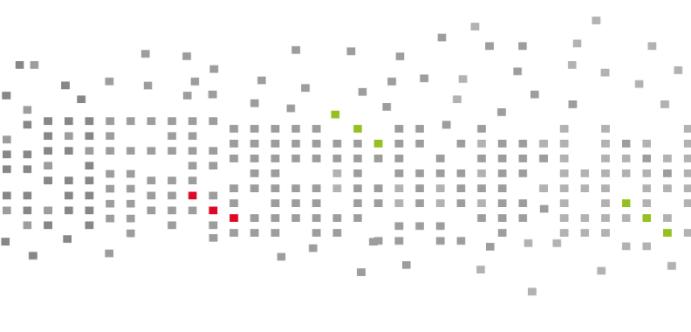
Measured stack

GaAs : 77.863 nm
GaAs : 89,083 nm
GaAs : 274.402 nm
SiO2 : 0.52 µm

## CONCLUSION

- Development of a process to transfer GaAs on SiO<sub>2</sub> for 100mm substrates
- Complete validation of each step of the process
- Doublet successfully transferred on SiO<sub>2</sub>
- Next step : Applying the process to the transfer of the final multilayer



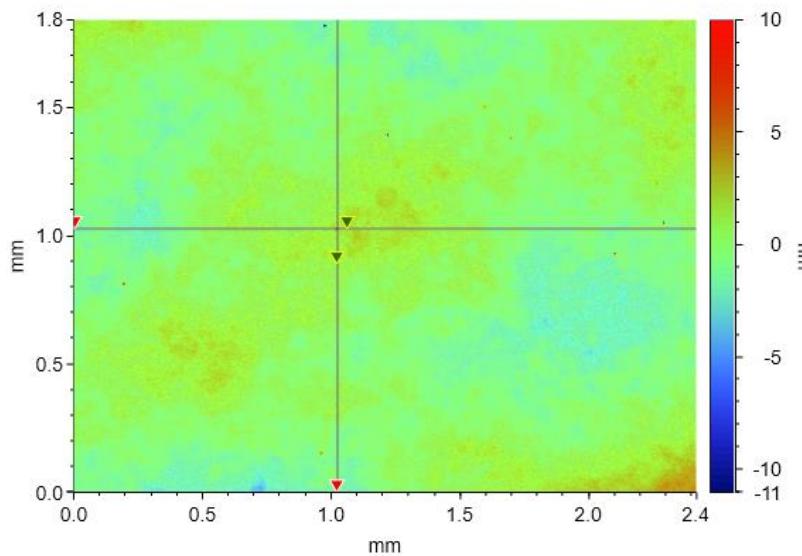


**MERCI POUR VOTRE ATTENTION!**

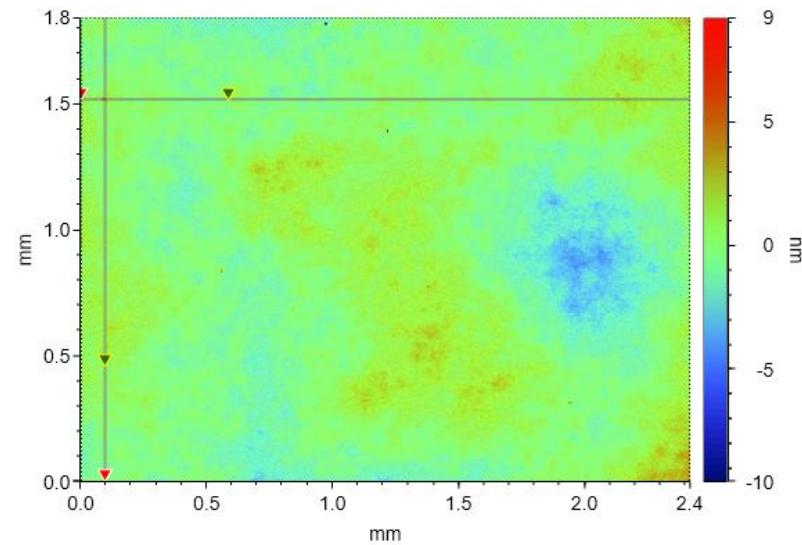
**THANK YOU FOR YOUR ATTENTION!**

# INPUT CHARACTERIZATION ON MULTILAYERS AT : INTERFEROMETRIC MICROSCOPY

- Current Multilayers



A1195



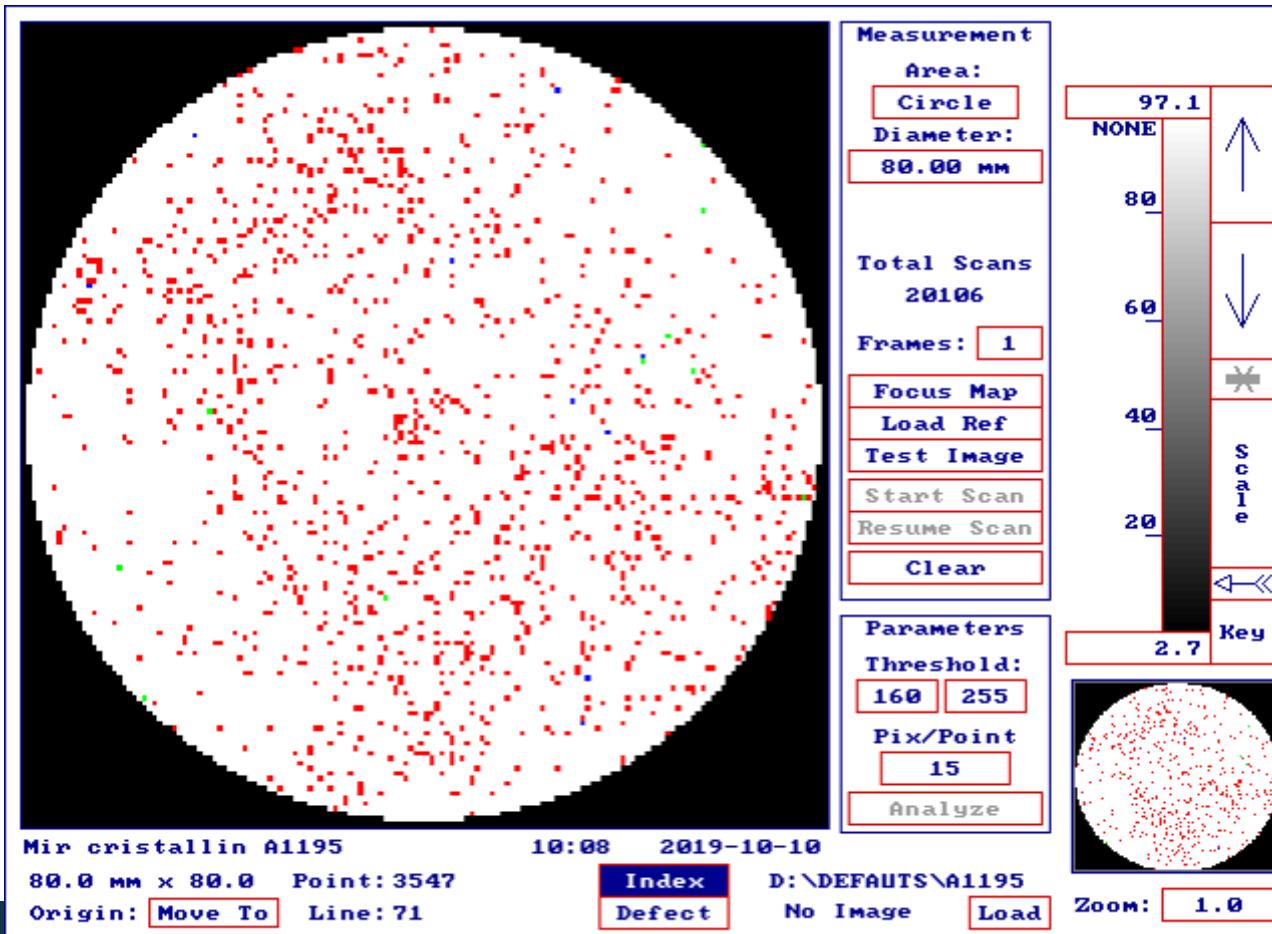
A1197

	A1195	A1197
RMS, nm	1,03	1,1
Nb defects	~8	~6
Nb defects/mm <sup>2</sup>	1,8	1,4

Better surface quality than in 2018 but planarization necessary for direct bonding (RMS>0.2nm) => CMP

# INPUT CHARACTERIZATION ON MULTILAYERS AT LMA : DEFECTS MAP

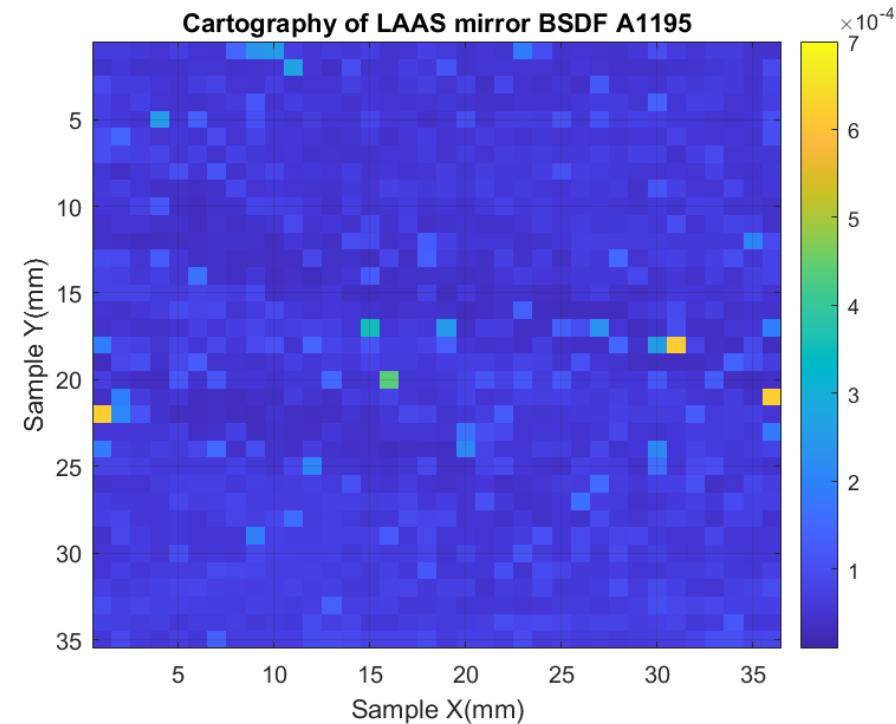
- Defects map of A1195



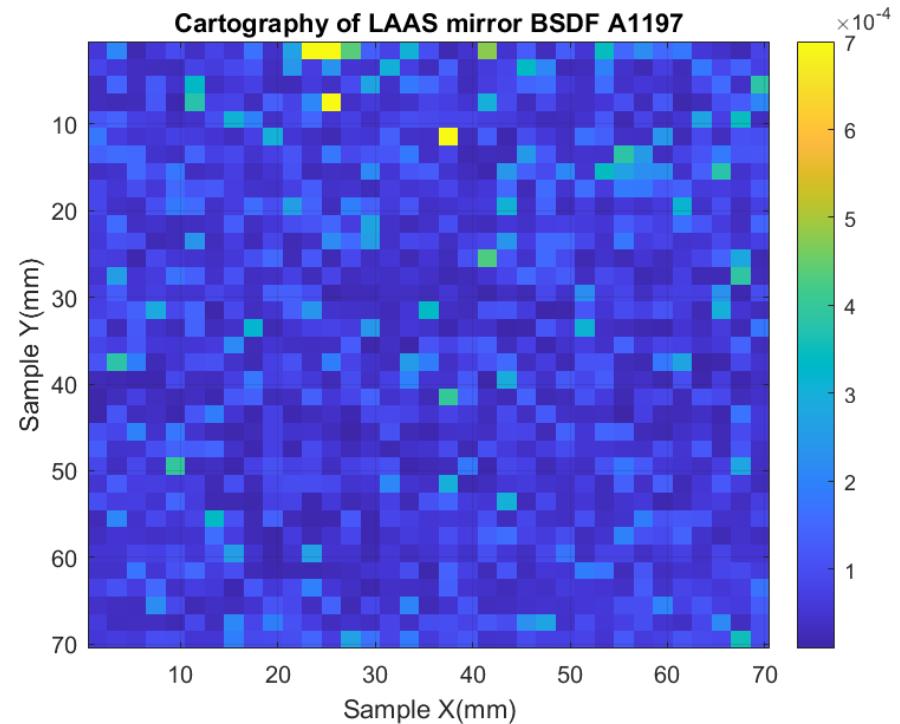
Nb tot of defects :	3618
Nb defects/mm <sup>2</sup>	1,4

Match characterization with interferometric microscopy

# INPUT CHARACTERIZATION ON MULTILAYERS AT LMA : DIFFUSION MAP



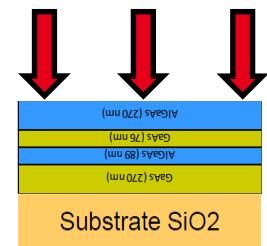
Mean diffusion : 45 ppm



Mean diffusion : 60 ppm

Virgo mirrors : 5-10 ppm

# WET ECTHING GAAS/ALGAAS

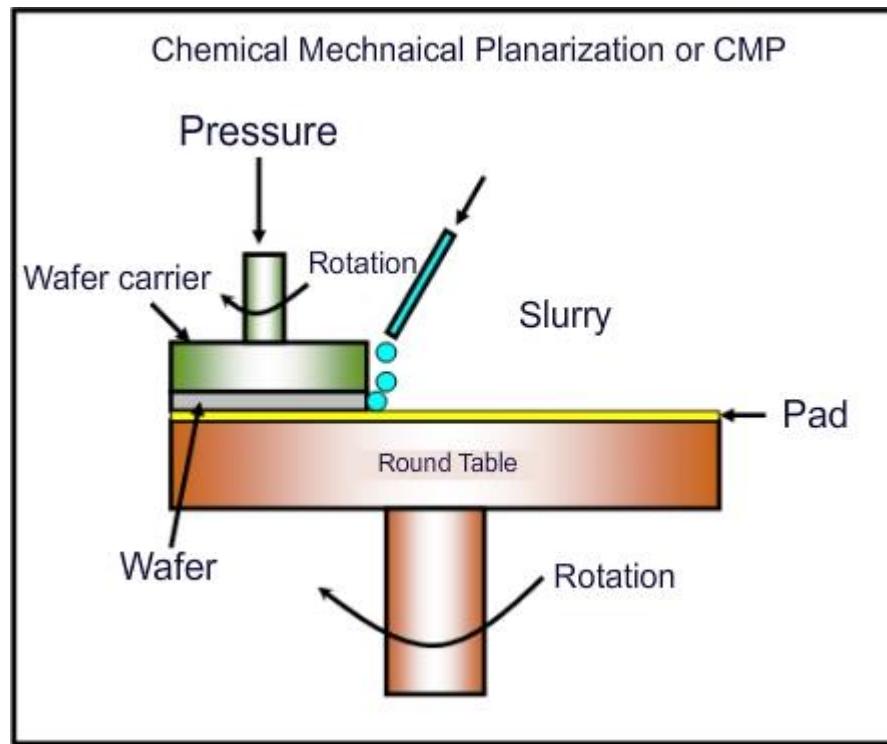


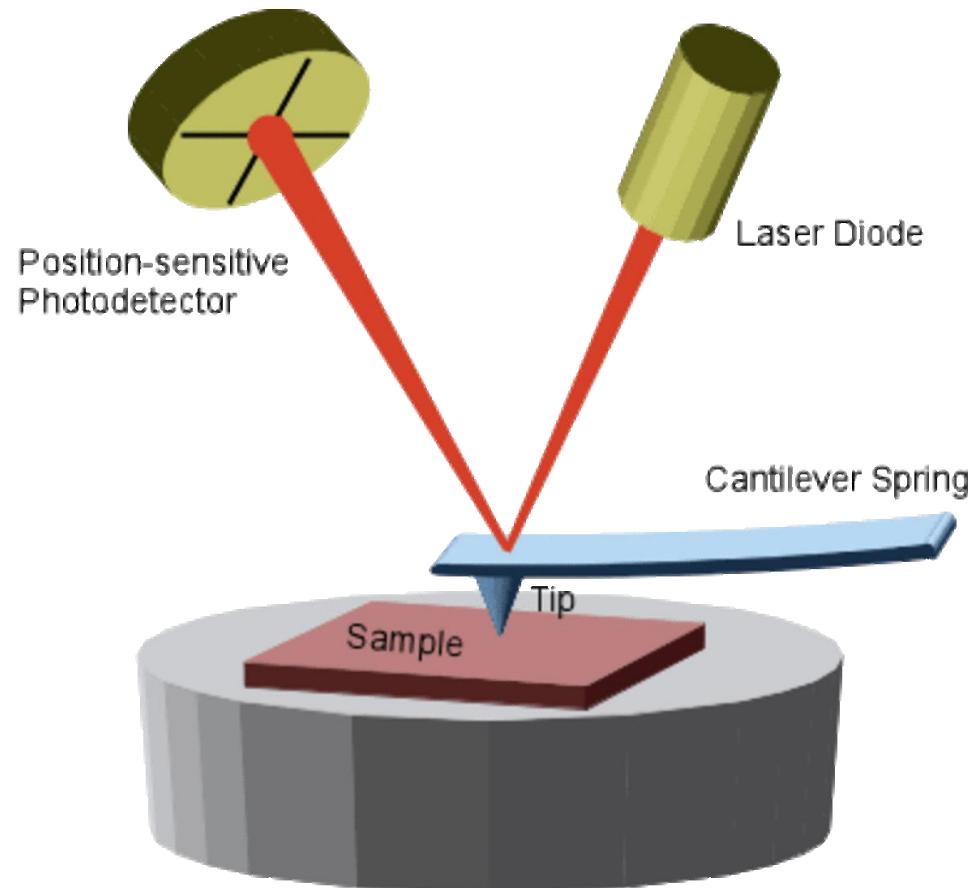
- NH<sub>4</sub>OH/H<sub>2</sub>O<sub>2</sub> not selective for wet etching of GaAs over AlGaAs
- Possible solutions
  - Indium based etch stop layer (InGaP)
  - Selective etching of GaAs over InGaP well known at CEA (H<sub>3</sub>PO<sub>4</sub>/HCl)



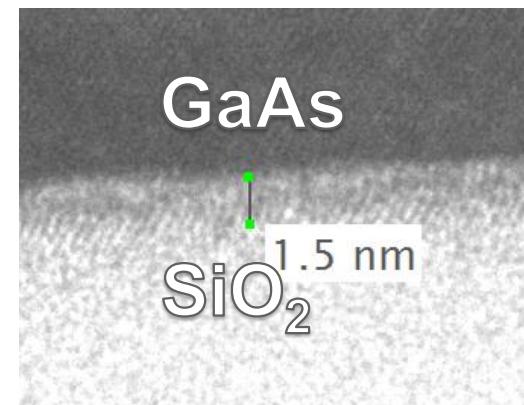
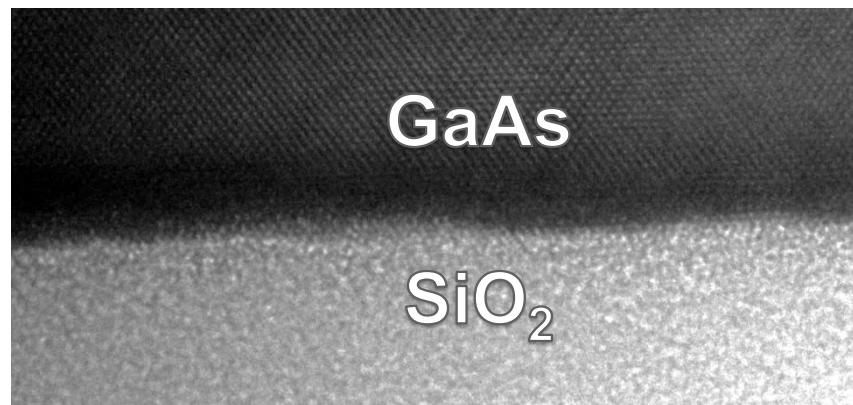
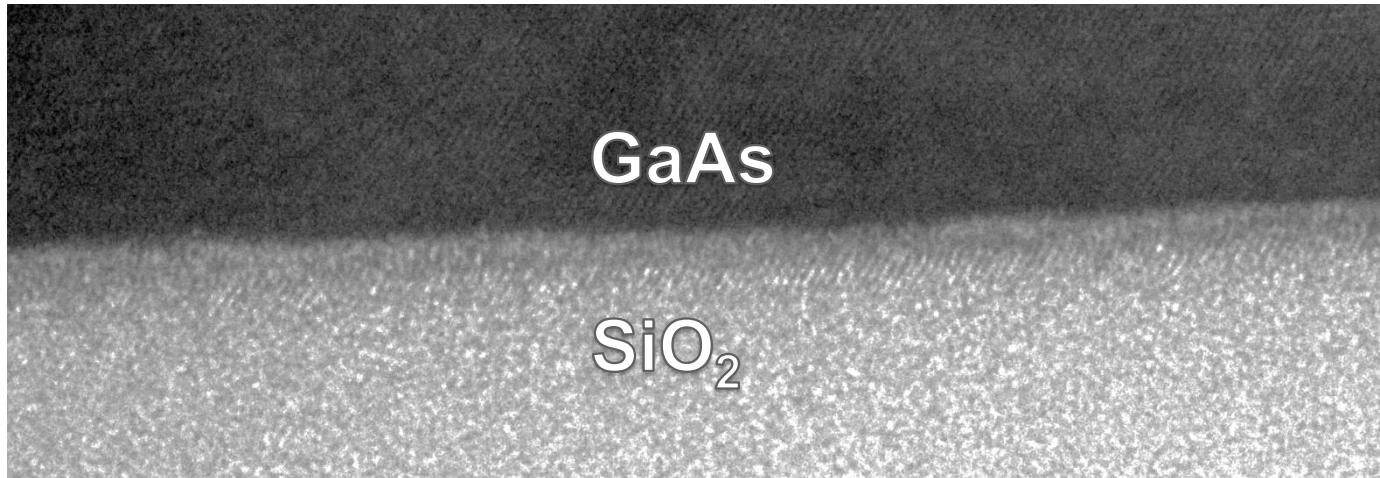
InGaP layer (70nm + GaAs  
cap layer (20nm)





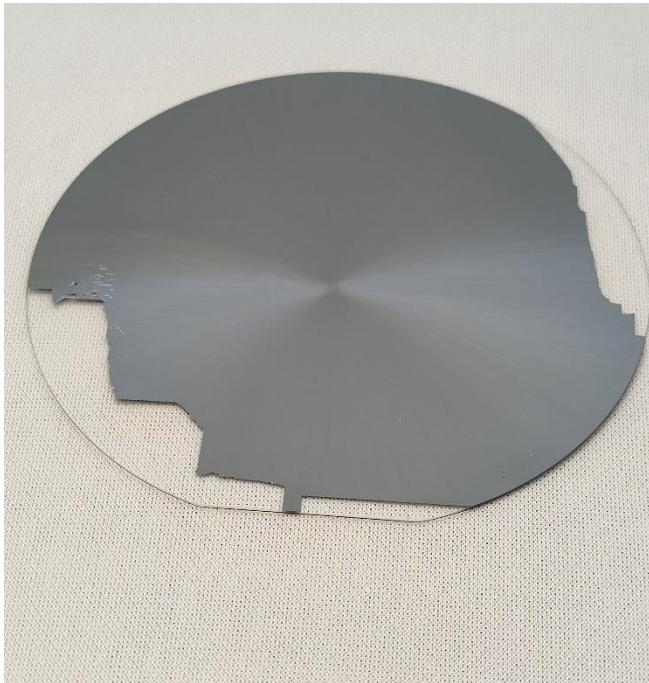


## TEM CHARACTERIZATION OF BONDING INTERFACE

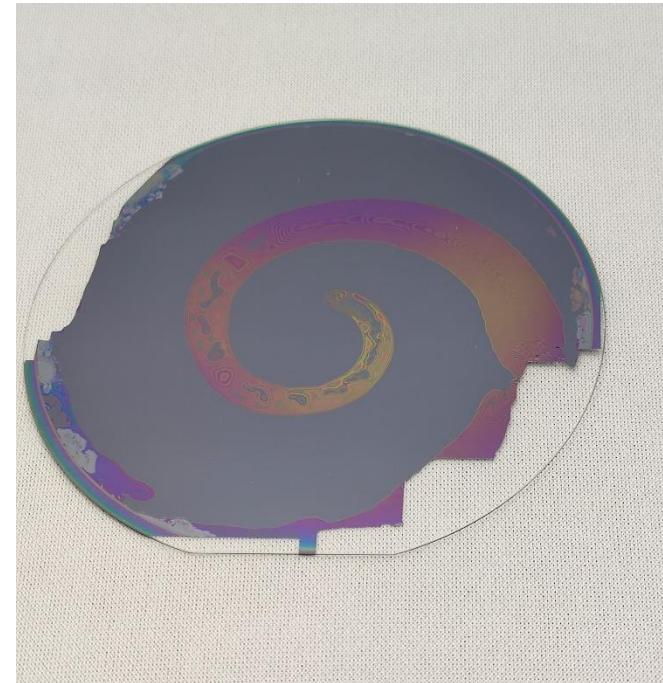


Heterostructure reconstruction OK

- Grinding multilayer (A1195)



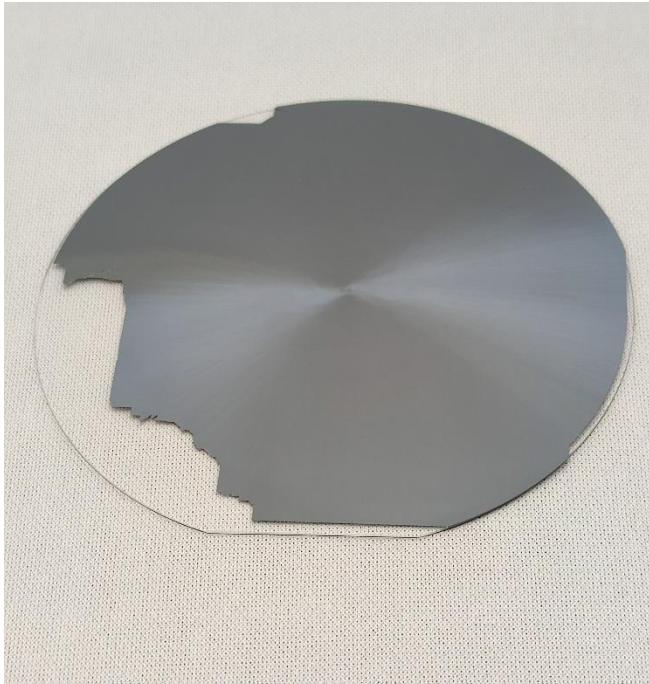
P01 Front face (GaAs)



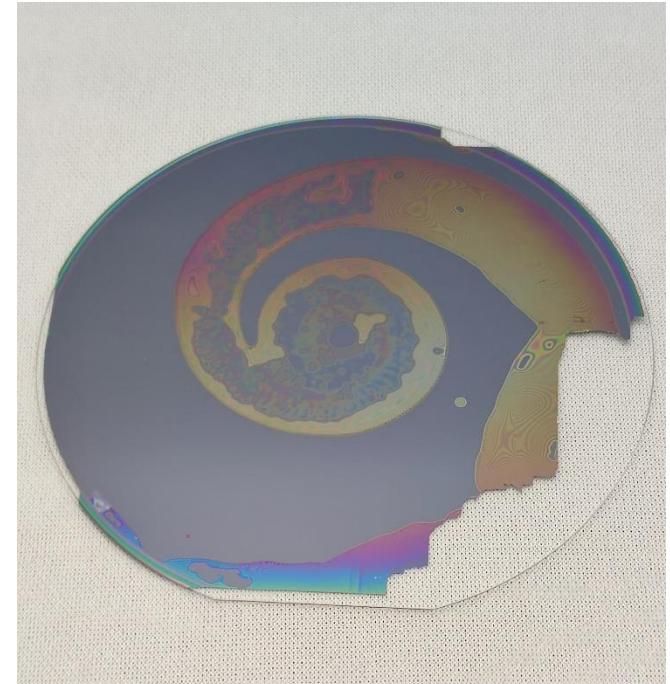
P01 Back face (SiO2)

# GRINDING

- Grinding multilayer (A1197)

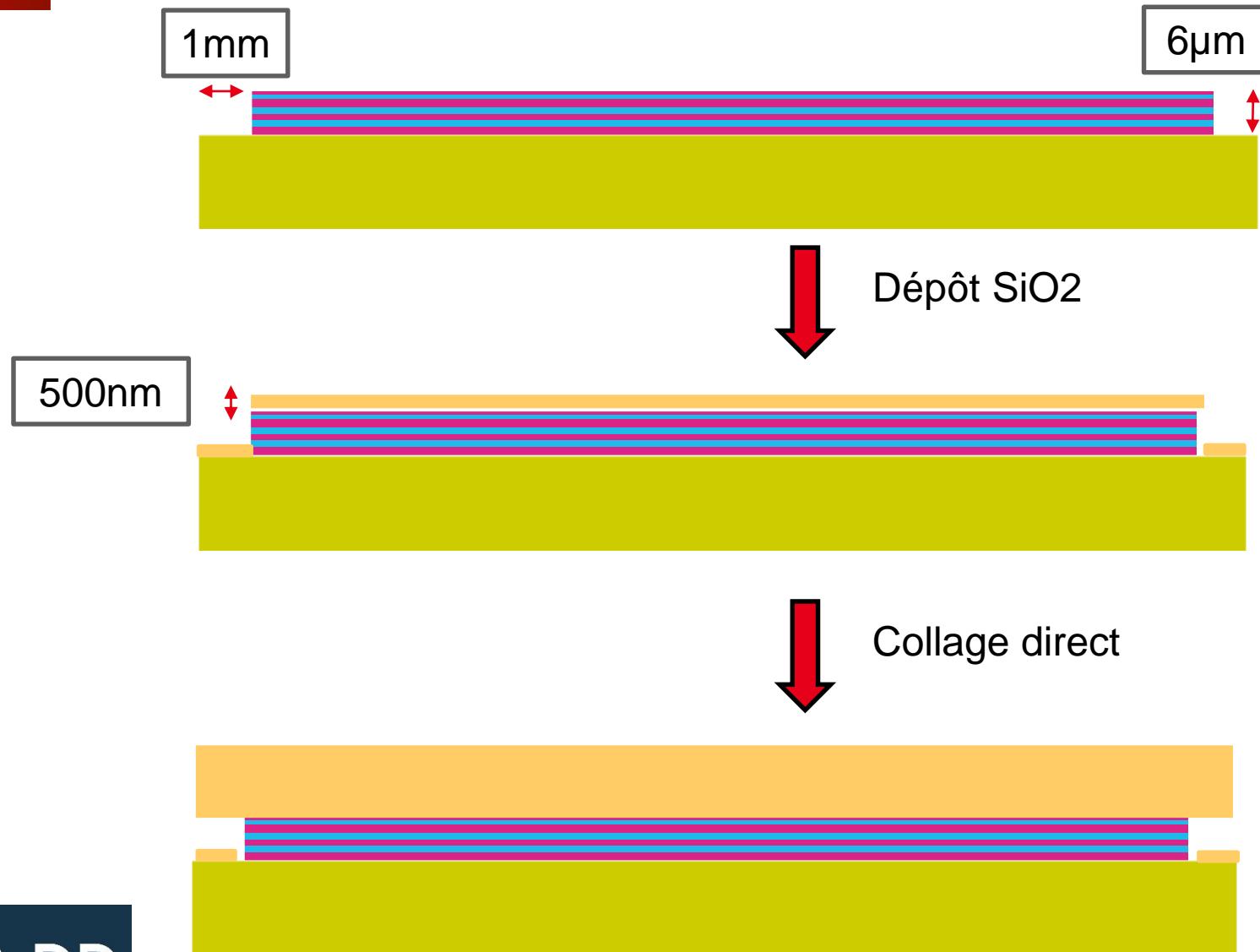


P02 Face avant (côté substrat GaAs)



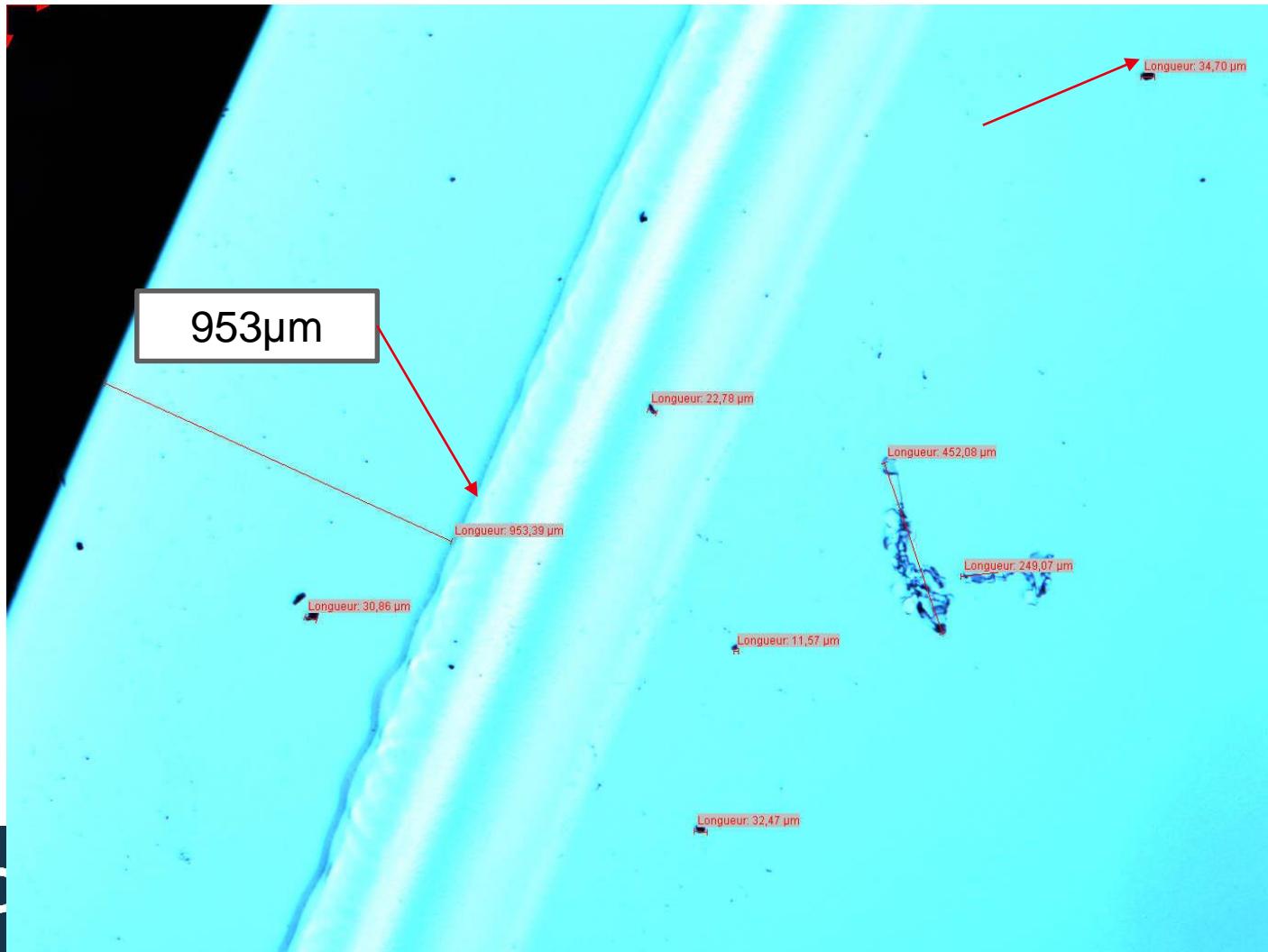
P02 Face Arrière (côté SiO<sub>2</sub>)

## EXPLANATION : EDGE TOPOLOGY

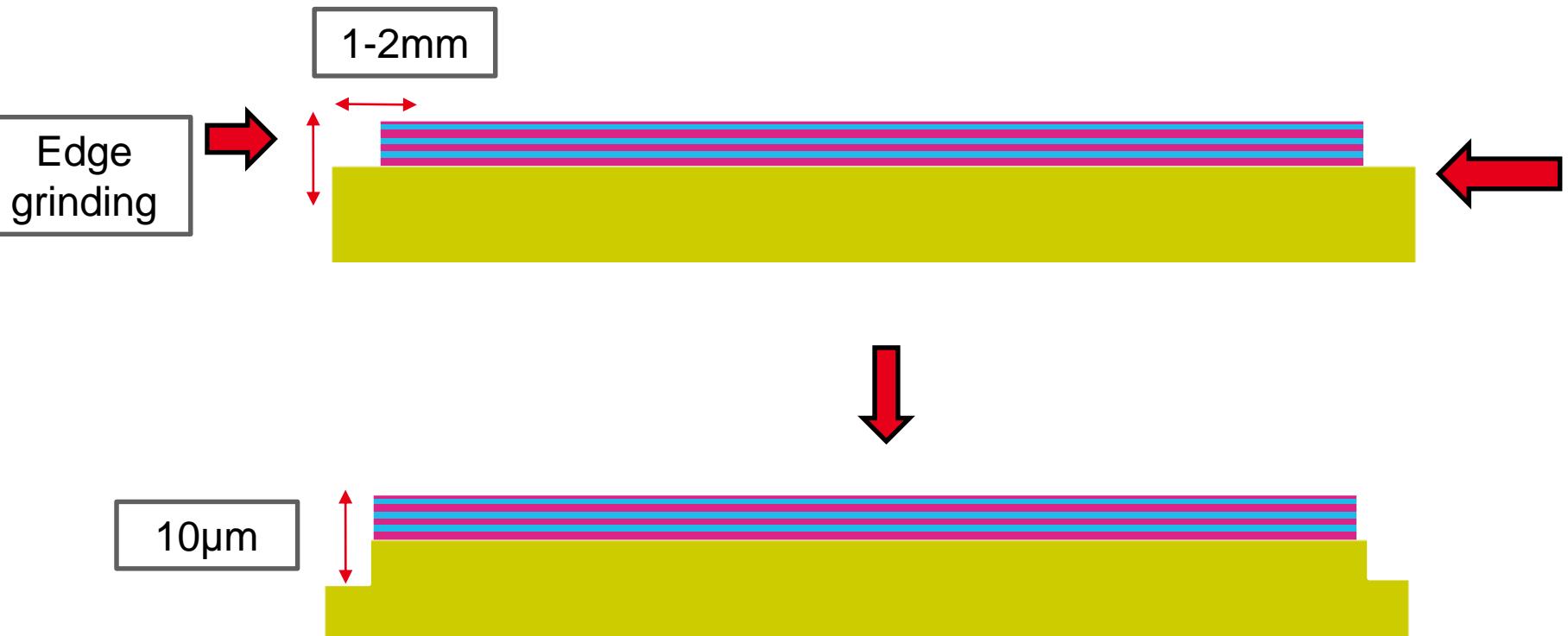


# EDGE TOPOLOGY

- A1195 bord x2,5



## SOLUTION : EDGE GRINDING

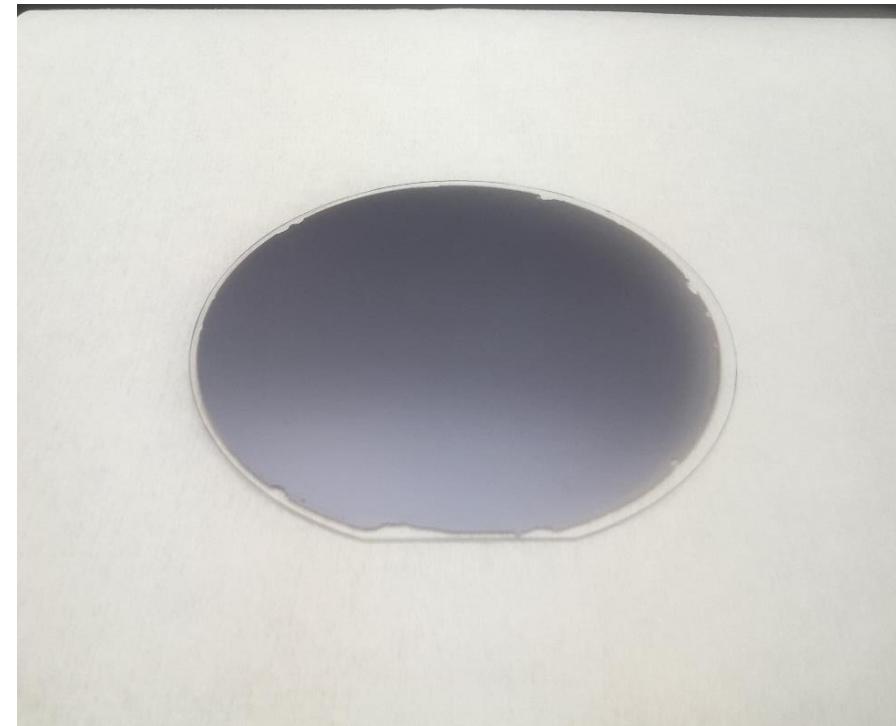


## GRAVURE HCL10%

- Gravure 2x30s

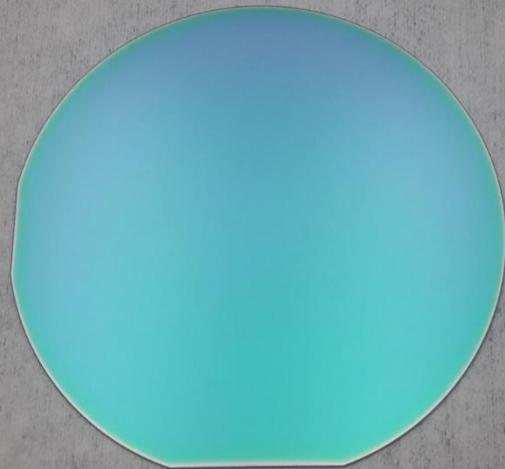


Après 30s de gravure

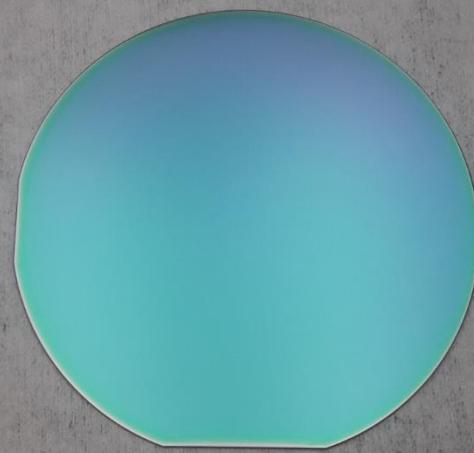


Après 60s de gravure

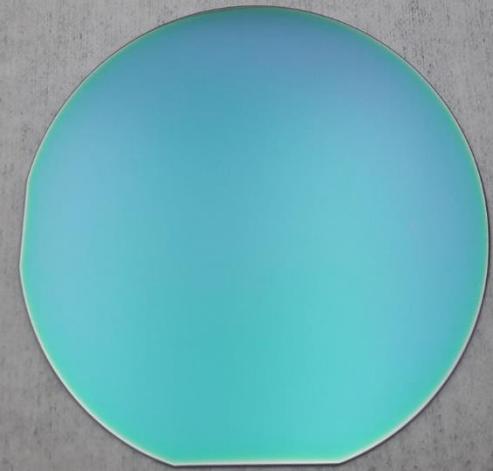
- Photos lumière rasante



P01

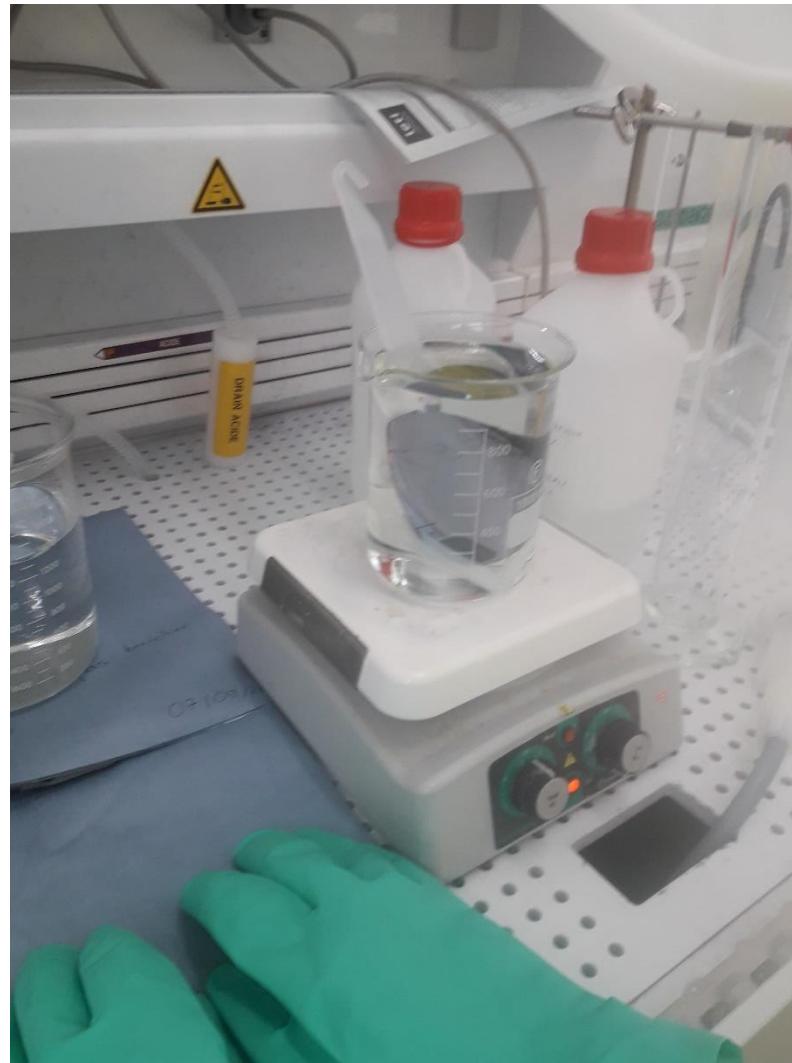


P04

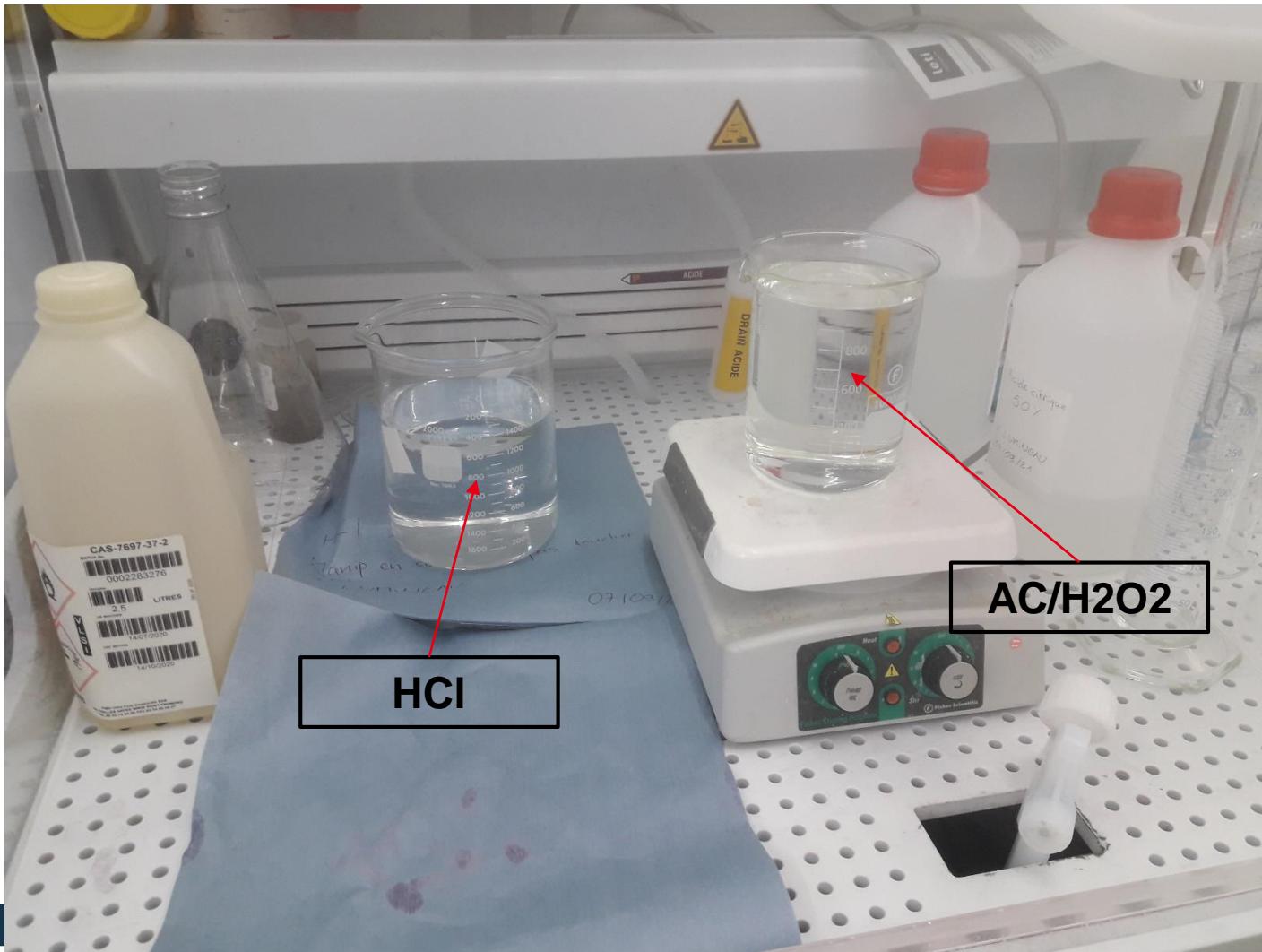


P05

## GRAVURE CHIMIQUE

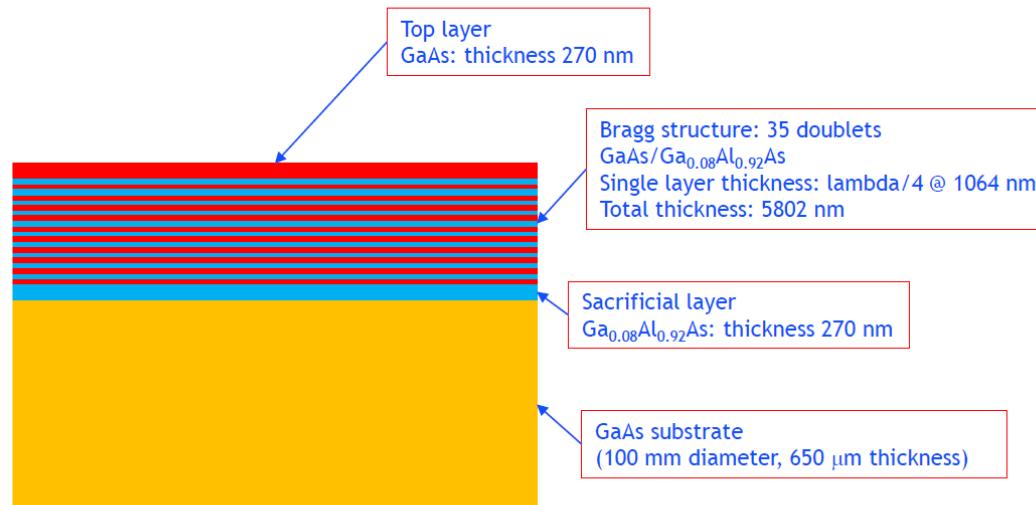


## GRAVURE CHIMIQUE



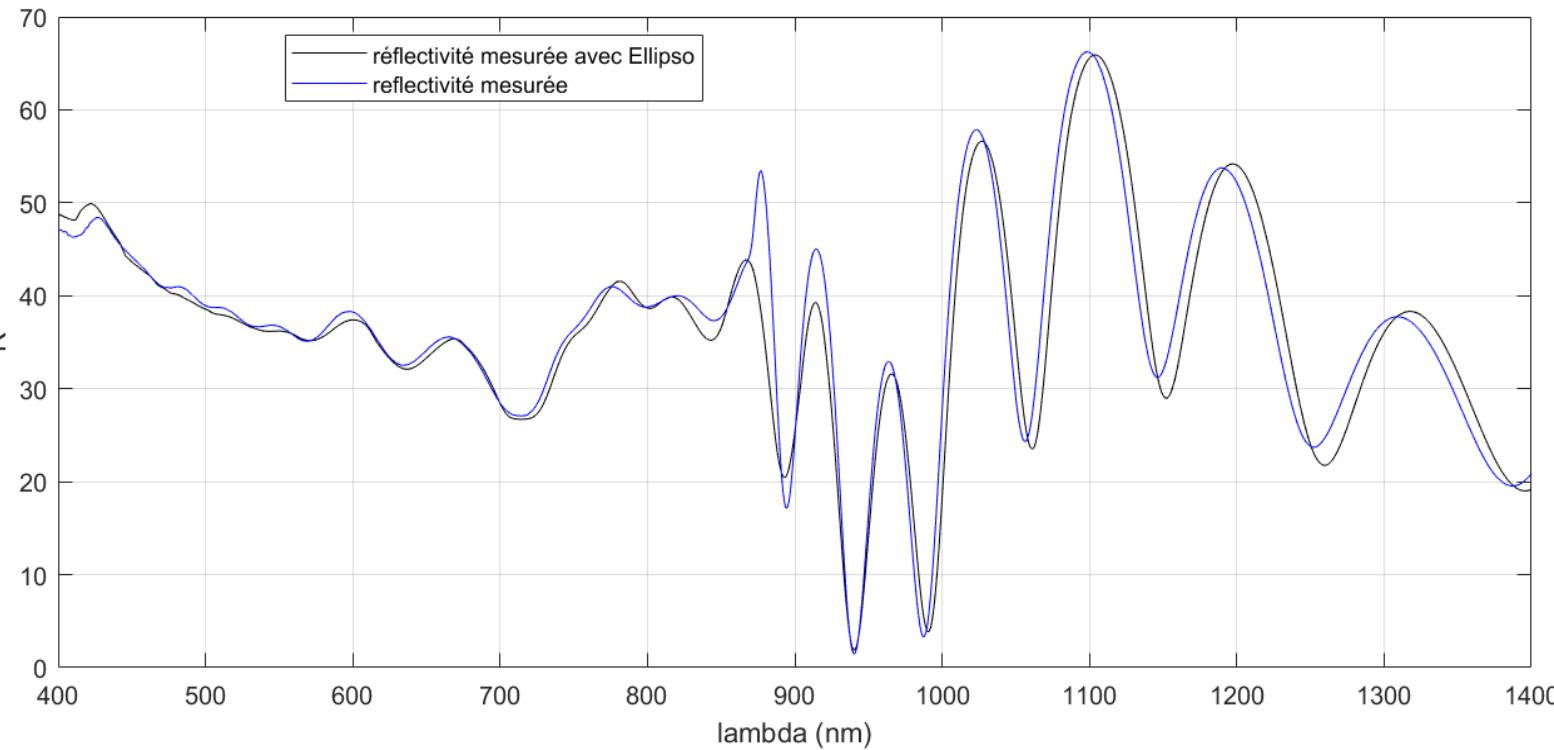


## Multicouche GaAs/GaAlAs

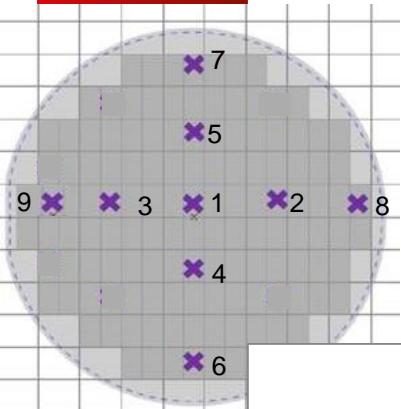


# COMPARAISON SPECTRE DE REFLEXION AVEC ÉPAISSEURS MESURÉS AU CEA ET AU LMA

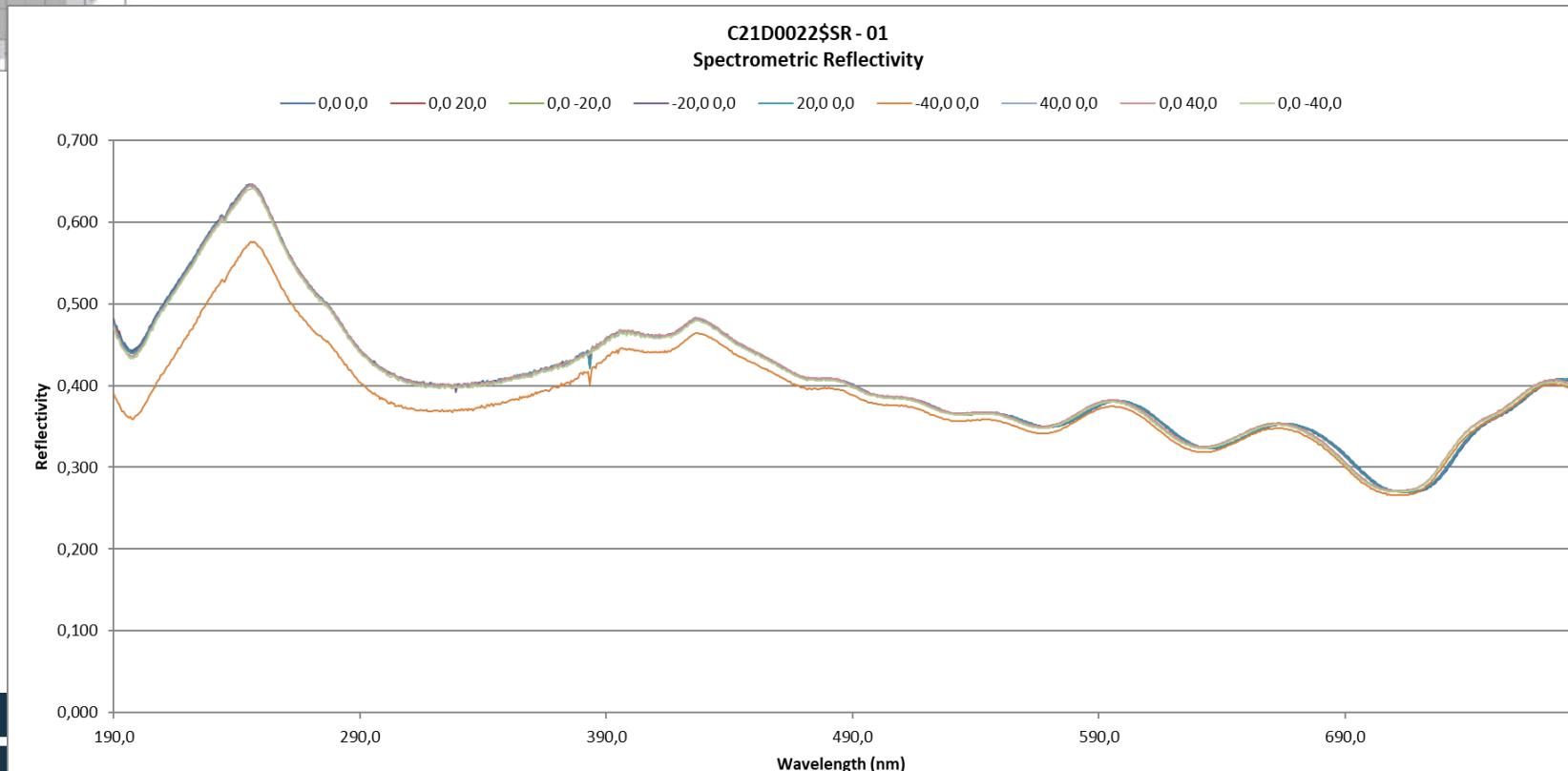
Mesures LMA

Simu avec  
mesures CEA

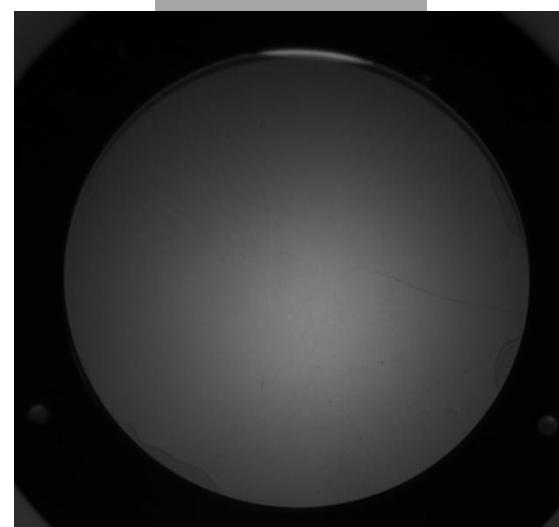
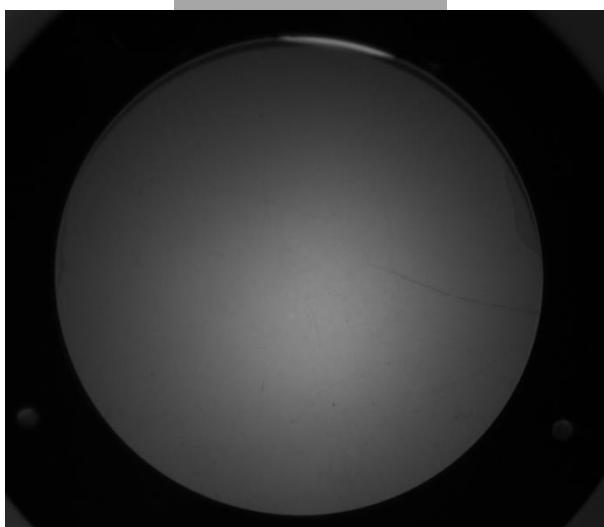
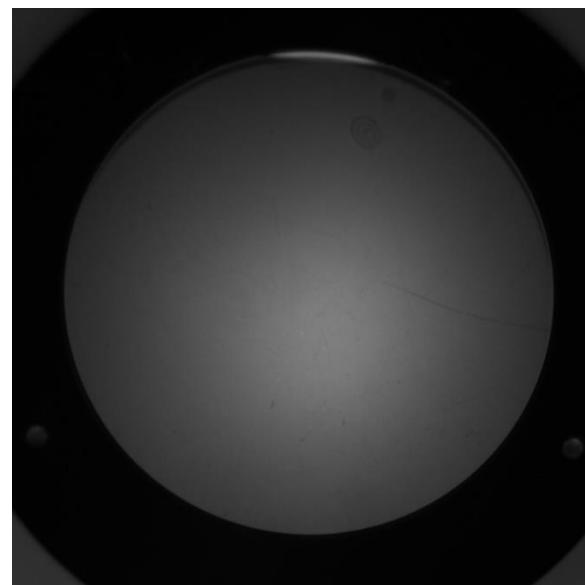
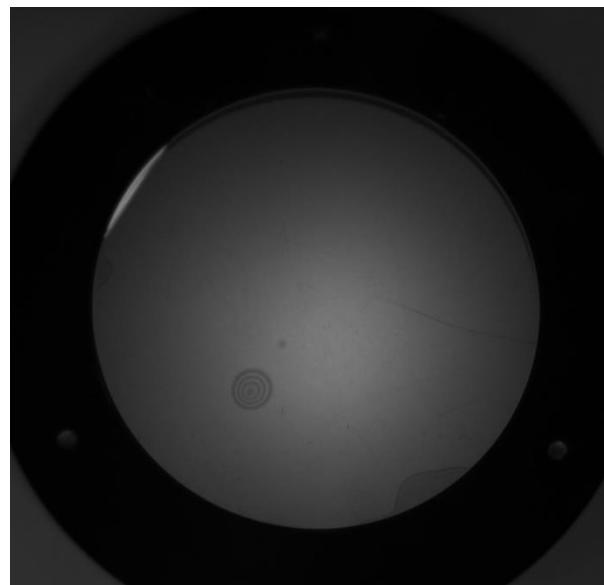
# CARTO REFLEXION SUR NANOMETRICS



- Différence sur l'amplitude pour X=-40mm et Y=0mm  
Différence majoritairement dans l'UV => variation sur l'oxydation/état de surface



## DIRECT BONDING GAAS/SIO2



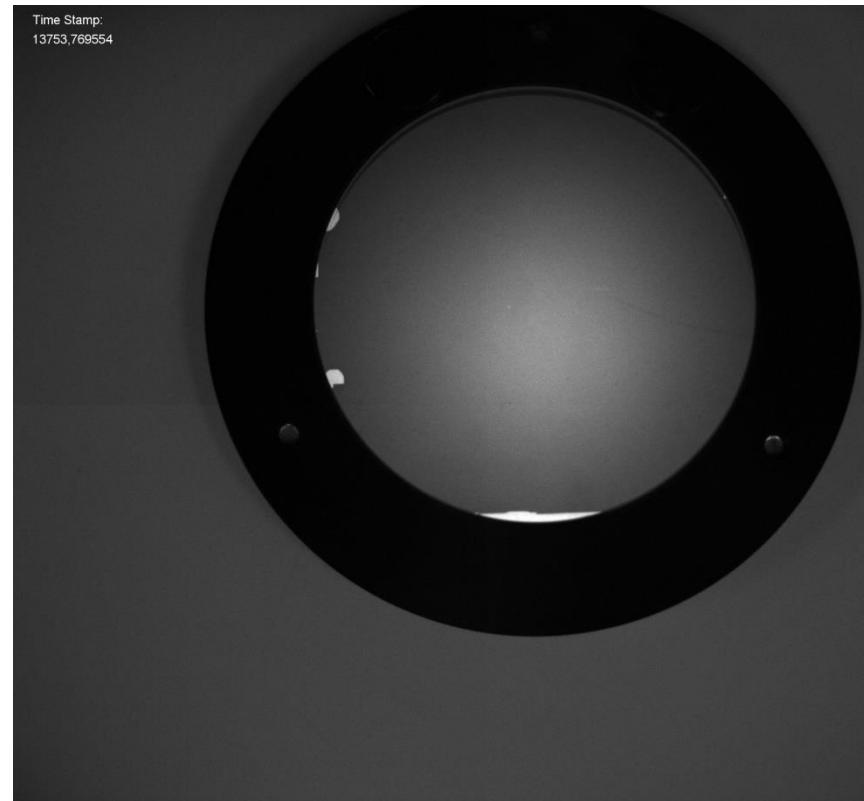
## PHOTOS IR P12 AND P14

- P12 : grinding @100µm + etching @20µm+ recuit à 100°C sous N2
- P14 : grinding @100µm + annealing 100°C sous N2

Time Stamp:  
13622,103758



Time Stamp:  
13753,769554



P12

P14

## WAFERS AFTER DELAMINATION (C20D0054)

