



European Research Council

Established by the European Commission

Integrable deformations of AdS/CFT

Based on work with M. de Leeuw, C. Paletta, A. Pribytok and A. Retore

Motivation

Deformations allow us to embed integrable models in multi-parameter families of integrable models

Why study deformations?

Motivation

New integrable systems

New interesting mathematical structures XXX $Y(\mathfrak{g}) \to XXZ \ U_q(\widehat{\mathfrak{g}})$

Deformations can be used as regulators

Access to limits with interesting physics

Hamiltonian! XXX → XXZ → XYZ, Long-range Haldane-Shastry

Sigma model action! Yang-Baxter deformations

4d field theory action! Deform field product, dipole, fishnets

Hamiltonian! XXX → XXZ → XYZ, Long-range Haldane-Shastry What is an "integrable" Hamiltonian?

Sigma model action! Yang-Baxter deformations

4d field theory action! Deform field product, dipole, fishnets

Hamiltonian! XXX → XXZ → XYZ, Long-range Haldane-Shastry What is an "integrable" Hamiltonian?

Sigma model action! Yang-Baxter deformations

Will it survive quantisation?

4d field theory action! Deform field product, dipole, fishnets

Hamiltonian! XXX \rightarrow XXZ \rightarrow XYZ, Long-range Haldane-Shastry What is an "integrable" Hamiltonian?

Sigma model action! Yang-Baxter deformations

Will it survive quantisation?

4d field theory action! Deform field product, dipole, fishnets Beyond one-loop? Non-perturbatively?

Hamiltonian! XXX → XXZ → XYZ, Long-range Haldane-Shastry What is an "integrable" Hamiltonian?

Sigma model action! Yang-Baxter deformations

Will it survive quantisation?

4d field theory action! Deform field product, dipole, fishnets Beyond one-loop? Non-perturbatively?

Worldsheet S-matrix!

Clear notion of quantum, non-perturbative, integrable deformation!

Deforming the S-matrix

Integrable S-matrix in 1+1 dim QFT



2 body S-matrix satisfies Yang-Baxter equation!

$$R_{12}(u-v)R_{13}(u)R_{23}(v) = R_{23}(v)R_{13}(u)R_{12}(u-v)$$

Classify solutions — Classify integrable S-matrices

Classification leads to deformations

 $\mathfrak{su}(2)$ spin $\frac{1}{2}$ XXX R(u) = u 1 + P

Identify solutions
for which XXX can
be embedded $R(u) = \begin{pmatrix} r_{11} & r_{12} & r_{13} & r_{14} \\ r_{21} & r_{22} & r_{23} & r_{24} \\ r_{31} & r_{32} & r_{33} & r_{34} \\ r_{41} & r_{42} & r_{43} & r_{44} \end{pmatrix}$

Solutions contain free functions / parameter Tuning them fixes XXX

Flowing away preserves integrability, deforms XXX

 $XXZ \rightarrow XXX$ as $q \rightarrow 1$

We need to solve the YBE!

 $n \times n$ matrix

$$R_{12}(u-v)R_{13}(u)R_{23}(v) = R_{23}(v)R_{13}(u)R_{12}(u-v)$$

Functional equation in 2 variables, up to n^2 unknowns

Situation is even worse without worldsheet Lorentz invariance!

$$R(u-v) \to R(u,v)$$

YBE becomes a functional equation in 3 variables

Most relevant for AdS/CFT applications!

Techniques on the market

Algebraic

- Exploit symmetry (Yangian, quantum affine...)
- Baxterisation of Temperley-Lieb
- Based on physical input from a model

[Kulish, Reshetikhin, Sklyanin] [Beisert] $\Delta^{
m op}(a)R(u,v)=R(u,v)\Delta(a)$

Direct approach

- Functional equations reduce to diff. eqns
- Guaranteed to find all solutions

[Vieira]

Techniques on the market

Algebraic

- Exploit symmetry (Yangian, quantum affine...)
- Baxterisation of Temperley-Lieb
- Based on physical input from a model
- Assumes we know symmetry in the first place... not good for deformations
- Only works for a limited subclass of models

Direct approach

- Functional equations reduce to diff. eqns
- Guaranteed to find all solutions
- Very difficult to solve many coupled ODEs / PDEs
- What even are good initial conditions?

[Kulish, Reshetikhin, Sklyanin] [Beisert] $\Delta^{
m op}(a)R(u,v)=R(u,v)\Delta(a)$

[Vieira]

New approach!

Based on associated integrable spin chain

Key tool: Spin chain boost operator B[H]

Recursively generates higher conserved charges $\mathbb{Q}_{r+1} = [B[H], \mathbb{Q}_r]$

- Approach based on consistency of conserved charges
- Generates all regular solutions
- Highly efficient!
- Lorentz invariant: cubic ODEs ---- cubic polynomials
- Non-Lorentz invariant: cubic PDEs —> cubic ODEs



Deformations of low-dimensional AdS models



Deformations of low-dimensional AdS models



Everything applies to $\operatorname{AdS}_5 \times S^5$

<u>Outline</u>

- 1. Boost automorphism
- 2. Boost as a tool for solving the YBE
- 3. Classification of solutions
- 4. Applications to AdS/CFT
- 5. Symmetry algebra + crossing symmetry

Boost automorphism

Every R-matrix defines an integrable spin chain

 $R_{ab}(u): V \otimes V \to V \otimes V$

Transfer matrix $T(u) = tr_a \left(R_{aL}(u) \dots R_{a2}(u) R_{a1}(u) \right)$ [T(u), T(v)] = 0Family of commuting chargesIntegrability!

Regular R-matrix R(0) = P Permutation operator

Conserved charges
$$\log T(u) = 1 + u \mathbb{Q}_2 + u^2 \mathbb{Q}_3 + \dots$$
 $[\mathbb{Q}_r, \mathbb{Q}_s] = 0$

Nearest-neighbour local Hamiltonian

$$\log T(u) = 1 + u \mathbb{Q}_2 + u^2 \mathbb{Q}_3 + \dots$$

 $\mathbb{Q}_2 := H$ sum of local densities $H = \mathcal{H}_{12} + \mathcal{H}_{23} + \dots + \mathcal{H}_{L1}$ Intimately related to R-matrix $R'_{12}(0) = P_{12} \mathcal{H}_{12}$

$$\log T(u) = 1 + u \mathbb{Q}_2 + u^2 \mathbb{Q}_3 + \dots$$

 $\mathbb{Q}_2 := H$ sum of local densities $H = \mathcal{H}_{12} + \mathcal{H}_{23} + \dots + \mathcal{H}_{L1}$ Intimately related to R-matrix $R'_{12}(0) = P_{12} \mathcal{H}_{12}$



Crucial fact: higher conserved charges completely determined by Hamiltonian density!

$$\mathbb{Q}_2 = H = \mathcal{H}_{12} + \mathcal{H}_{23} + \dots + \mathcal{H}_{L1}$$

$$\mathbb{Q}_3 = \sum_j \mathcal{Q}_{j,j+1,j+2}^{(3)}$$

$$Q_{j,j+1,j+2}^{(3)} = [\mathcal{H}_{j,j+1}, \mathcal{H}_{j+1,j+2}]$$

Similar story for higher charges

Boost operator: a ladder operator for conserved charges $B[H] = \sum_{n=-\infty}^{\infty} n\mathcal{H}_{n,n+1} \qquad \mathbb{Q}_{r+1} = [B[H], \mathbb{Q}_r]$ Works just as well for models without Lorentz invariance

$$R(u-v) \to R(u,v)$$

 $R(u,v) = P(1 + (u - v)\mathcal{H}(v) + ...)$ \bigwedge Regular
1-parameter family of Hamiltonians

$$\mathbb{Q}_r \to \mathbb{Q}_r(v)$$

Modification to B[H] is very simple![Links, Zhou, McKenzie, Gould]
$$B[H] = \frac{\partial}{\partial v} + \sum_{n=-\infty}^{\infty} n \mathcal{H}_{n,n+1}(v)$$
 $\mathbb{Q}_{r+1}(v) = [B[H], \mathbb{Q}_r(v)]$

Boost as a tool for solving the YBE The boost operator allows us to turn the problem of solving the YBE on its head

Let $\mathcal{H}_{12} \in \operatorname{End}(V \otimes V)$ be a generic $n \times n$ matrix

$$\mathbb{Q}_2 = H = \mathcal{H}_{12} + \mathcal{H}_{23} + \dots + \mathcal{H}_{L1}$$

<u>Define</u> operator \mathbb{Q}_3 by property $\mathbb{Q}_3 = [B[H], \mathbb{Q}_2]$ <u>Impose</u> $[\mathbb{Q}_2, \mathbb{Q}_3] = 0$

<u>Solve</u> for entries of \mathcal{H}

Is there an R-matrix with $R'(v, v) = P\mathcal{H}(v)$?

Yes!

Let
$$R(u, v)$$
 satisfy $R(v, v) = P$ and $R'(v, v) = P\mathcal{H}(v)$ and
let \mathcal{H} be such that $[\mathbb{Q}_2(v), \mathbb{Q}_3(v)] = 0$.
Then R extends uniquely to a solution of the YBE.

Not (yet) a theorem

- have considerable experimental evidence
- No counterexamples yet found

Yes!

Let R(u, v) satisfy R(v, v) = P and $R'(v, v) = P\mathcal{H}(v)$ and let \mathcal{H} be such that $[\mathbb{Q}_2(v), \mathbb{Q}_3(v)] = 0.$

Then R extends uniquely to a solution of the YBE.

Not (yet) a theorem

- have considerable experimental evidence
- No counterexamples yet found

Modulo symmetries of the YBE eg rescaling R(u) → f(u) R(u)

Our claim is related to an old conjecture of Reshetikhin [Grabowski, Mathieu]

A Hamiltonian \mathcal{H} is integrable if there exists an operator G such that $G_{12} - G_{23} = [\mathcal{H}_{12} + \mathcal{H}_{23}, [\mathcal{H}_{12}, \mathcal{H}_{23}]].$

Remarkably, G exists $\iff [\mathbb{Q}_2, \mathbb{Q}_3] = 0!$

Seems possible to express R in terms of H and G

$$R(u) = P(1 + u\mathcal{H} + \frac{u^2}{2}\mathcal{H}^2 + \frac{u^3}{3!}(\mathcal{H}^3 + G) + \frac{u^4}{4!}(\mathcal{H}^4 + G\mathcal{H} + \mathcal{H}G) + \dots$$

Higher terms unknown – if a closed form found our method would produce an R-matrix immediately after inputting a Hamiltonian!

Our method at work

Sutherland equations

YBE implies two sets of differential eqns

$$[R_{13}R_{23}, \mathcal{H}_{12}(u)] = \dot{R}_{13}R_{23} - R_{13}\dot{R}_{23}$$
$$[R_{13}R_{12}, \mathcal{H}_{23}(v)] = R_{13}R'_{12} - R'_{13}R_{12}$$

Initial conditions:

Make suitable ansatz for R using the expansion

$$R(u) = P(1 + u \mathcal{H} + \frac{u^2}{2} \mathcal{H}^2 + \dots)$$

to determine which entries of R should be 0

Our method at work

$$\mathcal{H}_{12}(\theta) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & h_1(\theta) & h_3(\theta) & 0 \\ 0 & h_4(\theta) & h_2(\theta) & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

 $[\mathbb{Q}_2, \mathbb{Q}_3] = 0$ Implies H must have the form

$$\mathcal{H}_{12}(\theta) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & h_1 & \frac{c_3}{2}(h_1 + h_2) & 0 \\ 0 & \frac{c_4}{2}(h_1 + h_2) & h_2 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Ansatz
$$R = \begin{pmatrix} r_1 & 0 & 0 & 0 \\ 0 & r_2 & r_3 & 0 \\ 0 & r_4 & r_5 & 0 \\ 0 & 0 & 0 & r_6 \end{pmatrix}$$

Solve Sutherland

Conclusion: XXZ with a twist!

Classification of solutions

[de Leeuw, Pribytok, PR]

In all cases our conjecture works perfectly, i.e. $[\mathbb{Q}_2, \mathbb{Q}_3] = 0$ \longrightarrow YBE

We recover well-known solutions, XXX, XXZ, XYZ etc

New solutions!

Many peculiar features eg nilpotent or non-diagonalisable H

(related to fishnet CFT?!) [Ipsen, Staudacher, Zippelius] We classified [de Leeuw, Paletta, Pribytok, Retore, PR]

All 4 x 4 solutions with R(u, v) = R(u - v)

All 4 x 4 solutions which preserve fermion number



All 9 x 9 solutions with $\mathfrak{u}(1)^3$ symmetry

All 16 x 16 solutions with $\mathfrak{su}(2) \oplus \mathfrak{su}(2)$ symmetry

Includes $AdS_5 \times S^5$

Solutions preserving fermion number

no scattering processes with

 $B \times B \longrightarrow F \times B$ etc

$$R = \begin{pmatrix} r_1 & 0 & 0 & r_8 \\ 0 & r_2 & r_6 & 0 \\ 0 & r_5 & r_3 & 0 \\ r_7 & 0 & 0 & r_4 \end{pmatrix}$$

6 vertex A, B
$$r_7, r_8 = 0$$

XXX, XXZ type

8 vertex A, B
$$r_7, r_8
eq 0$$
 XYZ type

6 vertex B

$$\begin{aligned} r_1(p,q) &= \frac{h_2(q) - h_1(p)}{h_2(p) - h_1(p)}, \\ r_2(p,q) &= (h_2(p) - h_2(q))X(p)Y(p), \\ r_3(p,q) &= \frac{h_1(p) - h_1(q)}{(h_2(p) - h_1(p))(h_2(q) - h_1(q))} \frac{1}{X(q)Y(q)}, \\ r_4(p,q) &= \frac{h_2(p) - h_1(q)}{h_2(q) - h_1(q)} \frac{X(p)Y(p)}{X(q)Y(q)}, \\ r_5(p,q) &= \frac{Y(p)}{Y(q)}, \\ r_6(p,q) &= \frac{X(p)}{X(q)}. \end{aligned}$$

$$sn = sn(u - v, k^2),$$
 $cn = cn(u - v, k^2),$ $dn = dn(u - v, k^2),$

8 vertex B

$$r_{1} = \frac{1}{\sqrt{\sin \eta(u)}\sqrt{\sin \eta(v)}} \left[\sin \eta_{+} \frac{\mathrm{cn}}{\mathrm{dn}} - \cos \eta_{+} \mathrm{sn} \right],$$

$$r_{2} = \frac{1}{\sqrt{\sin \eta(u)}\sqrt{\sin \eta(v)}} \left[\cos \eta_{-} \mathrm{sn} + \sin \eta_{-} \frac{\mathrm{cn}}{\mathrm{dn}} \right],$$

$$r_{3} = \frac{1}{\sqrt{\sin \eta(u)}\sqrt{\sin \eta(v)}} \left[\cos \eta_{-} \mathrm{sn} - \sin \eta_{-} \frac{\mathrm{cn}}{\mathrm{dn}} \right],$$

$$r_{4} = \frac{1}{\sqrt{\sin \eta(u)}\sqrt{\sin \eta(v)}} \left[\sin \eta_{+} \frac{\mathrm{cn}}{\mathrm{dn}} + \cos \eta_{+} \mathrm{sn} \right],$$

$$r_{5} = r_{6} = 1,$$

$$r_{7} = r_{8} = k \operatorname{sn} \frac{\mathrm{cn}}{\mathrm{dn}},$$

where $\eta_{\pm} = \frac{\eta(u) \pm \eta(v)}{2}$.

Applications to AdS/CFT

Ingredients for AdS/CFT S-matrices

[Borsato, Ohlson Sax, Sfondrini, Stefanski]



[Hoare, Pittelli, Torrielli]

$$\Delta^{\mathrm{op}}(\mathfrak{Q})R = R\Delta(\mathfrak{Q})$$

$AdS_2 \times S^2 \times T^6$ 8 vertex B type

$$x^+(u) = -\frac{\operatorname{Tan}^2(G(u))}{x^-(u)}$$

Reparameterise $u \to G(u)$ in 8 vertex B

$$\eta(u) = \operatorname{arccot}(k F(u))$$

$$F(u) = -\frac{1}{2}\csc(G(u))\sec(G(u))\frac{\cot(G(u))x^{-} + i}{\cot(G(u))x^{-} - i}$$

$$k \to \infty$$

Varying k provides an integrable deformation!

 $AdS_3 \times S^3 \times M^4$ Chiral structure $S = \begin{pmatrix} S^{LL} & S^{RL} \\ \hline S^{LR} & S^{RR} \end{pmatrix}$ 16 x 16 matrix satisfies YBE

LL and RR blocks satisfy YBE by themselves and are 4 x 4

Two ways to fit in our classification

6 vertex B

8 vertex B with $k \to 0$

$$\operatorname{AdS}_3 \times S^3 \times M^4$$
6 vertex B typeLL sectorFree functions h_1, h_2, X, Y $h_1^{\mathrm{L}}(p) = \beta x_{\mathrm{L}}^-(p)$ $X^{\mathrm{L}}(p) = \frac{\rho}{\gamma^{\mathrm{L}}(p)}$ $h_2^{\mathrm{L}}(p) = \beta x_{\mathrm{L}}^+(p)$ $Y^{\mathrm{L}}(p) = \frac{\gamma^{\mathrm{L}}(p)}{\beta \rho \left(x_{\mathrm{L}}^-(p) - x_{\mathrm{L}}^+(p)\right)} \sqrt{\frac{x_{\mathrm{L}}^-(p)}{x_{\mathrm{L}}^+(p)}}$

RR sector

$$h_{1}^{\rm R}(p) = -\frac{x_{\rm R}^{-}(p)}{\beta} \qquad \qquad X^{\rm R}(p) = -i\,\rho\,\frac{x_{\rm R}^{+}(p)}{\gamma^{\rm R}(p)} \\ h_{2}^{\rm R}(p) = -\frac{x_{\rm R}^{+}(p)}{\beta} \qquad \qquad Y^{\rm R}(p) = \frac{-i\,\gamma^{\rm L}(p)}{\beta\,\rho}\frac{\sqrt{x_{\rm R}^{-}(p)x_{\rm R}^{+}(p)}}{x_{\rm R}^{-}(p) - x_{\rm R}^{+}(p)}$$

 $\mathrm{AdS}_3 \times S^3 \times M^4$

8 vertex B type

LL sector

Free functions G, η

$$G^L(p) = \pi - \frac{i}{4} \log\left(\sqrt{x_L^-(p)x_L^+(p)}\right) \qquad \eta^L(p) = -\frac{i}{2} \log\left(\sqrt{\frac{x_L^+}{x_L^-}}\right) = \frac{p}{4}$$

RR sector

$$G^{R}(p) = G^{L}(p)|_{L \to R}$$
 $\eta^{R}(p) = \frac{\pi}{2} - \eta^{L}(p)$

$$k = 0$$

 $\mathrm{AdS}_3 \times S^3 \times M^4$

Functional deformation

For 6 vertex B we can vary the defining functions in any way and obtain a deformation of LL and RR

For 8 vertex B we can vary k

[Hoare] R-matrix equiv to q-def modulo details

For 6 vertex B the deformation extends uniquely to LR and RL

The same is true for 8 vertex B $\iff k_R = -k_L$

New elliptic deformation!



Symmetry algebra + crossing symmetry

Symmetry algebra

$$R_{ab}(u,v)T_a(u)T_b(v) = T_b(v)T_a(u)R_{ab}(u,v)$$



Alternatively can try to solve directly

$$\Delta^{\mathrm{op}}(\mathfrak{Q})R = R\Delta(\mathfrak{Q}) \qquad \qquad \Delta(\mathfrak{Q}) = \mathfrak{Q} \otimes 1 + \mathfrak{U} \otimes \mathfrak{Q}$$

 $\mathrm{AdS}_3 \times S^3 \times M^4$

6 vertex B

 $\mathfrak{su}(1|1)^2_{\mathrm{ce}}$

Deformation equivalent to q-deformed model + mass depends on spectral parameter

R-matrices equivalent up to relabelling functions

Exciting things happen for 8 vertex B!

$AdS_2 \times S^2 \times T^6$ 8 vertex B

$$\mathfrak{psu}(1|1)_{ce} \qquad \qquad \{\mathfrak{Q}_{\pm},\mathfrak{Q}_{\pm}\}=2\mathfrak{P}_{\pm} \qquad \{\mathfrak{Q}_{+},\mathfrak{Q}_{-}\}=2\mathfrak{C}$$

For finite k the algebra is the same... trivial redefinition?

$$T_{ab}(u) = T_{ab}^{(-1)}\delta_{ab} + \frac{1}{u}T_{ab}^{(0)} + \frac{1}{u^2}T_{ab}^{(1)} + \dots$$

Same true for level 1 generators

New k dependence in level 2 generators! New quantum algebra?!

Yangian algebra

$$T_{ab}(u) = T_{ab}^{(-1)}\delta_{ab} + \frac{1}{u}T_{ab}^{(0)} + \frac{1}{u^2}T_{ab}^{(1)} + \dots$$

 $T^{(0)}$ and $T^{(1)}$ enough to generate full algebra

 $T^{(m)}T^{(n)} \sim T^{(m+n)}$

Not true for our algebra!

By appropriate redefinitions can make the first two levels independent of \boldsymbol{k}

Cannot be removed from level 2: independent

Novel quantum algebra structure

$AdS_3 \times S^3 \times M^4$ 8 vertex B

LL and RR blocks = AdS2, know symmetry!

Naturally expect two copies of $\mathfrak{psu}(1|1)_{ce}$

$$\left(\begin{array}{cc}\mathfrak{Q}^L_{\pm} & 0\\ 0 & \mathfrak{Q}^R_{\pm}\end{array}\right)$$

Not all charges remain a symmetry when extended to full 16 x 16 R-matrix

Symmetry algebra is just one copy of $\mathfrak{psu}(1|1)_{ce}$

$$\left(\begin{array}{cc}\mathfrak{Q}^{L}_{+} & 0\\ 0 & \mathfrak{Q}^{R}_{-}\end{array}\right) \quad \left(\begin{array}{cc}\mathfrak{Q}^{L}_{-} & 0\\ 0 & \mathfrak{Q}^{R}_{+}\end{array}\right)$$

Crossing symmetry

 $\mathbb{C}_1\mathbb{R}(p+\omega,q)^{t_1}\mathbb{C}_1=\mathbb{R}(p,q)^{-1}$ $\mathbb{C}_2\mathbb{R}(p,q-\omega)^{t_2}\mathbb{C}_2 = \mathbb{R}(p,q)^{-1}$

$\mathbb{C} =$	$\left(0 \right)$	0	1	0
	0	0	0	i
	1	0	0	0
	$\left(0 \right)$	i	0	0/

Charge conjugation for undeformed AdS3 Works for 6 vertex B with simple assumptions on functions eg $h_i^{\rm R}(p \pm \omega) = -\frac{1}{h_i^{\rm L}(p)}$

8-vertex B

$$\mathbb{C} = \begin{pmatrix} 0 & 0 & -\frac{b^2 \tau}{c} & 0\\ 0 & 0 & 0 & b\\ c & 0 & 0 & 0\\ 0 & -b \tau & 0 & 0 \end{pmatrix}$$

Requires full 16 x 16 R-matrix to work

8 vertex B AdS2 not crossing symmetric!



Summary & Outlook



Boost operator as a tool for classifying YBE solutions

4 x 4 solutions which preserve fermion number fully classified

Low dimensional AdS admit deformations based on 6 / 8 vertex B

Elliptic deformation of AdS3 - crossing symmetry

- broken symmetry algebra



Closed expression for R-matrix from H?

Elliptic deformation of AdS5?

Physics of elliptic deformation? Bethe Ansatz? XYZ type so need something else (Q-operators, SoV?). TBA? QSC?

Sigma model origins of elliptic deformations?