

Combinatorial Solution of Non-diagonalisable Spin Chains

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SAGEX

Scattering Amplitudes:
from Geometry to Experiment

Based on

- *The One-Loop Spectral Problem of Strongly Twisted $\mathcal{N} = 4$ Super Yang-Mills Theory* by Ipsen, Staudacher, Zippelius [1812.08794]
- *The Integrable (Hyper)eclectic Spin Chain* by Ahn, Staudacher [2010.14515]
- Work in progress by Ahn, Corcoran, Staudacher [2110.soon]

Motivation

- Strongly twisted planar $\mathcal{N} = 4$ super Yang-Mills is a non-unitary toy model which retains integrability.
- One-loop dilatation operator \mathfrak{D} is one of the simplest settings to understand integrability.
- In certain operator sectors \mathfrak{D} is non-diagonalisable, which leads to logarithms in correlation functions determined by Jordan block structures.
- Bethe ansatz fails to describe the sizes and multiplicities of these Jordan blocks. What can be done?

Outline

- 1 Strongly Twisted $\mathcal{N} = 4$ SYM
- 2 Hyperrectlectic Spin Chain and Integrability
- 3 Spectral Problem and Data
- 4 Solution via q-Combinatorics

Strongly Twisted $\mathcal{N} = 4$ SYM

Start from γ -deformed $\mathcal{N} = 4$ SYM $\mathcal{L}_{\text{SYM}}(A, \Psi, \Phi, g, N_c, \gamma_1, \gamma_2, \gamma_3)$ and take the limit [Gürdogan, Kazakov '15]

$$g = \frac{\sqrt{\lambda}}{4\pi} \rightarrow 0, \quad q_j = e^{-i\gamma_j/2} \rightarrow \infty \quad \text{or} \quad 0,$$

where either $gq_j \equiv \xi_j^+$ or $gq_j^{-1} \equiv \xi_j^-$ are held fixed.

There are $2^3 = 8$ possible limits. For $(q_1, q_2, q_3) = (\infty, \infty, \infty)$ we have

$$\begin{aligned} \mathcal{L}_{\text{int}} = & N_c \text{tr} \left((\xi_1^+)^2 \phi_2^\dagger \phi_3^\dagger \phi_2 \phi_3 + (\xi_2^+)^2 \phi_3^\dagger \phi_1^\dagger \phi_3 \phi_1 + (\xi_3^+)^2 \phi_1^\dagger \phi_2^\dagger \phi_1 \phi_2 \right) \\ & + N_c \text{tr} \left(i\sqrt{\xi_2^+ \xi_3^+} (\psi^3 \phi_1 \psi^2 + \bar{\psi}_3 \phi_1^\dagger \bar{\psi}_2) + \text{cyclic} \right). \end{aligned}$$

Overall the 8 limits give 2 inequivalent models (6+2).

Electric Spin Chain

Consider local composite operators of length L built from ϕ_i

$$\mathcal{O}_{j_1, j_2, \dots, j_L}(x) = \text{tr}(\phi_{j_1} \phi_{j_2} \dots \phi_{j_L}(x)).$$

The dilatation operator reads [\[Ahn, Staudacher '20\]](#)

$$\mathfrak{D} = \mathfrak{D}_0 + g^2 H_{\text{ec}} + O(g^4).$$

For $(q_1, q_2, q_3) = (\infty, \infty, \infty)$ we have

$$H_{\text{ec}} = \sum_{i=1}^L \mathcal{H}_{\text{ec}}^{i, i+1}, \quad H_{\text{ec}} : (\mathbb{C}^3)^{\otimes L} \rightarrow (\mathbb{C}^3)^{\otimes L},$$

where

$$\begin{aligned} \mathcal{H}_{\text{ec}} |11\rangle &= 0, & \mathcal{H}_{\text{ec}} |22\rangle &= 0, & \mathcal{H}_{\text{ec}} |33\rangle &= 0, \\ \mathcal{H}_{\text{ec}} |12\rangle &= 0, & \mathcal{H}_{\text{ec}} |23\rangle &= 0, & \mathcal{H}_{\text{ec}} |31\rangle &= 0, \\ \mathcal{H}_{\text{ec}} |21\rangle &= \xi_3^+ |12\rangle, & \mathcal{H}_{\text{ec}} |32\rangle &= \xi_1^+ |23\rangle, & \mathcal{H}_{\text{ec}} |13\rangle &= \xi_2^+ |31\rangle. \end{aligned}$$

Hyperrectlectic Spin Chain

For $\xi_1^+ = \xi_2^+ = 0, \xi_3^+ = 1$ we get the **hyperrectlectic** spin chain:

$$H_{\text{hec}} = \sum_{i=1}^L \mathcal{H}_{\text{hec}}^{i,i+1},$$

where

$$\begin{aligned} \mathcal{H}_{\text{hec}} |11\rangle &= 0, & \mathcal{H}_{\text{hec}} |22\rangle &= 0, & \mathcal{H}_{\text{hec}} |33\rangle &= 0, \\ \mathcal{H}_{\text{hec}} |12\rangle &= 0, & \mathcal{H}_{\text{hec}} |23\rangle &= 0, & \mathcal{H}_{\text{hec}} |31\rangle &= 0, \\ \mathcal{H}_{\text{hec}} |21\rangle &= |12\rangle, & \mathcal{H}_{\text{hec}} |32\rangle &= 0, & \mathcal{H}_{\text{hec}} |13\rangle &= 0. \end{aligned}$$

Interestingly, this simple model appears to contain most of the information of the eclectic model.

Integrability

H_{hec} can be realised as the logarithmic derivative of a transfer matrix

$$H_{\text{hec}} = \left. \frac{d}{du} \log(t(u)) \right|_{u=0}.$$

$t(u)$ is constructed from an R -matrix

$$R(u) = \mathcal{P} + u\mathcal{H}_{\text{hec}}$$

which satisfies YBE, and thus

$$[t(u), t(u')] = 0.$$

However H_{hec} is nilpotent, and therefore non-diagonalisable.

Spectral Problem

For diagonalisable Hamiltonians $H : (\mathbb{C}^3)^{\otimes L} \rightarrow (\mathbb{C}^3)^{\otimes L}$ we know there are 3^L eigenstates $|\psi_j\rangle$ such that

$$H|\psi_j\rangle = E_j|\psi_j\rangle, \quad j = 1, 2, \dots, 3^L.$$

For the hyperclectic model this must be replaced by

$$(H_{\text{hec}} - E_j)^{m_j} |\psi_j^{m_j}\rangle = 0, \quad j = 1, \dots, N, \quad m_j = 1, \dots, l_j.$$

There are N Jordan blocks labelled by j , of length l_j .

Jordan blocks leads to logarithms in correlation functions. For example

$$\mathfrak{D} \begin{pmatrix} \mathcal{O}_1 \\ \mathcal{O}_2 \end{pmatrix} = \begin{pmatrix} \Delta & 1 \\ 0 & \Delta \end{pmatrix} \begin{pmatrix} \mathcal{O}_1 \\ \mathcal{O}_2 \end{pmatrix} \rightarrow \langle \mathcal{O}_i(x) \mathcal{O}_j(0) \rangle \sim \frac{1}{|x|^{2\Delta}} \begin{pmatrix} \log x^2 & 1 \\ 1 & 0 \end{pmatrix}.$$

Spectral Problem

H_{hec} nilpotent \rightarrow all generalised eigenvalues E_j are 0.

H_{hec} is block diagonal with respect to sectors of fixed numbers $L - M$ of fields ϕ_1 , $M - K$ fields ϕ_2 , and K fields ϕ_3 .

Goal: given L, M, K , find sizes and multiplicities of the Jordan blocks.

(The limit of the) Bethe ansatz fails to describe this.

Let's see how it works combinatorially for $K = 1$ in the cyclic sector.

$$L = 3, M = 2, K = 1$$

Work in 'cyclic sector', where all states are invariant under the shift operator $t(0)$. For $L = 3, M = 2, K = 1$ there are 2 states

$$|123\rangle + |312\rangle + |231\rangle, \quad |213\rangle + |321\rangle + |132\rangle,$$

which we write simply as

$$|123\rangle_c, \quad |213\rangle_c.$$

We clearly identify single a Jordan block of size 2

$$|213\rangle_c \xrightarrow{H} |123\rangle_c \xrightarrow{H} 0,$$

$$H_{3,2,1}^{\text{cyc}} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$

General L , $M = 2$, $K = 1$

Things are also trivial for higher L , $M = 2$, $K = 1$. There is a single Jordan block of size $L - 1$

$$|211 \dots 13\rangle \rightarrow |121 \dots 13\rangle \rightarrow |112 \dots 13\rangle \rightarrow \dots \rightarrow |111 \dots 23\rangle \rightarrow 0,$$

Things become much more intricate for higher M .

Data for $M = 5$

L	Sizes of Jordan Blocks
8	1 5 7 9 13
9	1 5 ² 9 ² 11 13 17
10	1 5 ² 7 9 ² 11 13 ² 15 17 21
11	1 ² 5 ² 7 9 ³ 11 13 ³ 15 17 ² 19 21 25
12	1 5 ³ 7 9 ³ 11 ² 13 ³ 15 ² 17 ³ 19 21 ² 23 25 29
13	1 ² 5 ³ 7 9 ⁴ 11 ² 13 ⁴ 15 ² 17 ⁴ 19 ² 21 ³ 23 25 ² 27 29 33
14	1 ² 5 ³ 7 ² 9 ⁴ 11 ² 13 ⁵ 15 ³ 17 ⁴ 19 ³ 21 ⁴ 23 ² 25 ³ 27 29 ² 31 33 37
15	1 ² 5 ⁴ 7 9 ⁵ 11 ³ 13 ⁵ 15 ³ 17 ⁶ 19 ³ 21 ⁵ 23 ³ 25 ⁴ 27 ² 29 ³ 31 33 ² 35 37 41
16	1 ² 5 ⁴ 7 ² 9 ⁵ 11 ³ 13 ⁶ 15 ⁴ 17 ⁶ 19 ⁴ 21 ⁶ 23 ⁴ 25 ⁵ 27 ³ 29 ⁴ 31 ² 33 ³ 35 37 ² 39 41 45
17	1 ³ 5 ⁴ 7 ² 9 ⁶ 11 ³ 13 ⁷ 15 ⁴ 17 ⁷ 19 ⁵ 21 ⁷ 23 ⁴ 25 ⁷ 27 ⁴ 29 ⁵ 31 ³ 33 ⁴ 35 ² 37 ³ 39 41 ² 43 45 49
18	1 ² 5 ⁵ 7 ² 9 ⁶ 11 ⁴ 13 ⁷ 15 ⁵ 17 ⁸ 19 ⁵ 21 ⁸ 23 ⁶ 25 ⁷ 27 ⁵ 29 ⁷ 31 ⁴ 33 ⁵ 35 ³ 37 ⁴ 39 ² 41 ³ 43 45 ² 47 49 53

Table 3: Structures of Jordan blocks for the sector of $M = 5, K = 1, k = 0$ (cyclic states). Exponents denote multiplicities.

$L = 7, M = 3, K = 1$ (15 states)

As before, there is a natural 'top state' for a Jordan block

$$\begin{aligned} &|2211113\rangle && H^0 \\ \rightarrow &|2121113\rangle && H^1 \\ \rightarrow &|2112113\rangle + |1221113\rangle && H^2 \\ \rightarrow &|2111213\rangle + 2|1212113\rangle && H^3 \\ \rightarrow &|2111123\rangle + 3|1211213\rangle + 2|1122113\rangle && H^4 \\ \rightarrow &4|1211123\rangle + 5|1121213\rangle && H^5 \\ \rightarrow &5|1112213\rangle + 9|1121123\rangle && H^6 \\ \rightarrow &14|1112123\rangle && H^7 \\ \rightarrow &14|1111223\rangle && H^8 \\ \rightarrow &0 && H^9 \end{aligned}$$

This gives a Jordan block of size 9, but state space is still not exhausted.

$$L = 7, M = 3, K = 1$$

$$\begin{aligned} &|2211113\rangle && H^0 \\ &\rightarrow |2121113\rangle && H^1 \\ &\rightarrow |2112113\rangle + |1221113\rangle && H^2 \\ &\rightarrow |2111213\rangle + 2|1212113\rangle && H^3 \\ &\rightarrow |2111123\rangle + 3|1211213\rangle + 2|1122113\rangle && H^4 \\ &\rightarrow 4|1211123\rangle + 5|1121213\rangle && H^5 \\ &\rightarrow 5|1112213\rangle + 9|1121123\rangle && H^6 \\ &\rightarrow 14|1112123\rangle && H^7 \\ &\rightarrow 14|1111223\rangle && H^8 \\ &\rightarrow 0 && H^9 \end{aligned}$$

New ansatz for top state: $\alpha|2112113\rangle + \beta|1221113\rangle$.

$$L = 7, M = 3, K = 1$$

$$\begin{aligned} & \alpha |2112113\rangle + \beta |1221113\rangle \\ & \rightarrow \beta |2111213\rangle + (\alpha + \beta) |1212113\rangle \\ & \rightarrow \beta |2111123\rangle + (\alpha + 2\beta) |1211213\rangle + (\alpha + \beta) |1122113\rangle \\ & \rightarrow (\alpha + 3\beta) |1211123\rangle + (2\alpha + 3\beta) |1121213\rangle \\ & \rightarrow (2\alpha + 3\beta) |1112213\rangle + (3\alpha + 6\beta) |1121123\rangle \\ & \rightarrow (5\alpha + 9\beta) |1112123\rangle = 0 \end{aligned}$$

if $\alpha = -9, \beta = 5$. This determines a Jordan block of length 5.

$$L = 7, M = 3, K = 1$$

$$\begin{aligned} & \alpha |2112113\rangle + \beta |1221113\rangle \\ & \rightarrow \beta |2111213\rangle + (\alpha + \beta) |1212113\rangle \\ & \rightarrow \beta |2111123\rangle + (\alpha + 2\beta) |1211213\rangle + (\alpha + \beta) |1122113\rangle \\ & \rightarrow (\alpha + 3\beta) |1211123\rangle + (2\alpha + 3\beta) |1121213\rangle \\ & \rightarrow (2\alpha + 3\beta) |1112213\rangle + (3\alpha + 6\beta) |1121123\rangle \\ & \rightarrow (5\alpha + 9\beta) |1112123\rangle = 0 \end{aligned}$$

New ansatz for top state: $\alpha' |2111123\rangle + \beta' |1211213\rangle + \gamma' |1122113\rangle$. This is an eigenstate for $\alpha' = -\beta' = \gamma' = 1$, giving a Jordan block of length 1.

Jordan block structure in cyclic sector for $L = 7, M = 3, K = 1$ is $(9, 5, 1)$.

$$L=7, M=3, K=1$$

$$\begin{aligned} |\phi\rangle &\equiv |2211113\rangle & H^0 \\ &\rightarrow |2121113\rangle & H^1 \\ &\rightarrow |2112113\rangle + |1221113\rangle & H^2 \\ &\rightarrow |2111213\rangle + 2|1212113\rangle & H^3 \\ &\rightarrow |2111123\rangle + 3|1211213\rangle + 2|1122113\rangle & H^4 \\ &\rightarrow 4|1211123\rangle + 5|1121213\rangle & H^5 \\ &\rightarrow 5|1112213\rangle + 9|1121123\rangle & H^6 \\ &\rightarrow 14|1112123\rangle & H^7 \\ &\rightarrow 14|1111223\rangle & H^8 \\ &\rightarrow 0 & H^9 \end{aligned}$$

Full Jordan block structure can be deduced by computing $\dim(H^k |\phi\rangle)$.

q-Combinatorics

Encode this structure in a partition function

$$Z_{7,3}(q) = 1 + q + 2q^2 + 2q^3 + 3q^4 + 2q^5 + 2q^6 + q^7 + q^8.$$

Problem is solved if we can calculate $Z_{L,M}(q)$. It turns out that it is a **q-Binomial coefficient**

$$Z_{L,M}(q) = \binom{L-1}{M-1}_q = \prod_{k=1}^{M-1} \frac{1 - q^{L-k}}{1 - q^k},$$

which is always a polynomial.

The q^k coefficient of these polynomials have combinatorial interpretation as counting number partitions of the integer k subject to certain restrictions.

More Examples

For $M = 2$ the partition functions are very simple

$$Z_{7,2}(q) = 1 + q + q^2 + q^3 + q^4 + q^5.$$

This indicates a single block of size 6.

It neatly encodes the involved structures in the previous table:

$$\begin{aligned} Z_{9,5}(q) = & 1 + q + 2q^2 + 3q^3 + 5q^4 + 5q^5 + 7q^6 + 7q^7 + 8q^8 \\ & + 7q^9 + 7q^{10} + 5q^{11} + 5q^{12} + 3q^{13} + 2q^{14} + q^{15} + q^{16} \end{aligned}$$

leads to a Jordan block spectrum $(17, 13, 11, 9^2, 5^2, 1)$.

General Situation (To Appear)

Can rewrite

$$Z(q) = \text{tr } q^{\hat{S}},$$

for an appropriate state-counting operator \hat{S} . Generalises naturally to higher K .

Eclectic: [Universality hypothesis](#). Spectrum of hypereclectic matches that of the eclectic provided the filling conditions

$$L - M \geq M - K \geq K$$

are satisfied.

Conclusions and Outlook

- We devised a partition function which encodes Jordan block spectrum of the (hyper)eclectic spin chain.
- This in turn determines the logarithmic structure of certain correlation functions in strongly twisted $\mathcal{N} = 4$ SYM.
- Can we relate $Z(q)$ to objects in integrability?
- Prove rigorously universality hypothesis.
- Connect to general LCFT results.
- Higher loops?
- Other non-diagonalisable models: different strong twisting limits, different theories (chiral ABJM).