

Tau Analysis: Tau in 3 leptons & 3 prongs

Group Meeting

Belle

Search for Lepton Flavor Violating Tau Decays into Three Leptons with 719 Million Produced Tau+Tau- Pairs (2010)

<http://arxiv.org/abs/1001.3221>

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Updated search for Lepton Flavor Violating tau Decays into Three Leptons (Belle note)

Belle Method

Event selection

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- 3X1 Topology: **Signal** τ decay into 3 leptons, **Tag** τ into one charged track (**4 charged tracks with zero net charge**) and add any nb of photons and neutrinos.
- 6 signal modes: $\tau^- \rightarrow e^- e^+ e^-$, $\mu^- \mu^+ \mu^-$, $e^- \mu^+ \mu^-$, $\mu^- e^+ e^-$, $\mu^- e^+ \mu^-$, $e^- \mu^+ e^-$; **mode by mode selection optimization**.
- Events selections: fiducial volume $-0.866 < \cos\theta < 0.956$;
 $p_T > 0.1 \text{ GeV}/c$ for charged tracks;
 $E_\gamma > 0.1 \text{ GeV}$ for photons; $|dr| < 0.5 \text{ cm}$ and $|dz| < 3 \text{ cm}$.
- Event's particle separated in 2 hemispheres (plane perpendicular to the trust axis).
- Particle identification: Electrons $P(e) = \frac{L_e}{L_e + L_\pi} > 0.9$ for $p > 0.3 \text{ GeV}/c$ (eff 91%, pion misid 0.5%),
Muons $P(\mu) = \frac{L_\mu}{L_\mu + L_\pi + L_K} > 0.9$ for $p > 0.6 \text{ GeV}/c$ (eff 85%, pion misid 2%).
- Missing particles: Ensure to be neutrinos $\vec{p}_{miss} > 0.4 \text{ GeV}/c$ and is **in fiducial volume**, must be in tag side $0.0 < \cos\theta_{tag-miss}^{CM} < 0.98$ (reject Bhabha, $\mu\mu$ & γ conversion); $m_{tag} < 1.78 \text{ GeV}/c^2$.

Belle Method

Background rejection

- Reject $q\bar{q}$ bkg by: Thrust magnitude cuts **$0.90 < T < 0.96$ for eee, $0.90 < T < 0.97$ else,**
Total Visible energy **$5.29 < E_{\text{vis}}^{\text{CM}} < 9.5\text{GeV}$** (3 leptons, tag prong & photons).
- Reject γ conversion and Bhabhas: ee invariant mass **$M_{ee} > 0.2\text{GeV}/c^2$** for eee & μee ;
Tag side prong **$P(e) < 0.1$** for eee & $e\mu\mu$ (also suppress bhabha);
Reject events if tag track is in ECL barrel/endcap gaps.
- Reduce Bhabha & $\mu\mu$ bkg with material interaction: **$p_{\text{tag}}^{\text{CM}} < 4.5\text{Gev}/c$** for eee & μee .

- Reject generic $\tau\tau$ & $q\bar{q}$ bkg:

Mode	Hadronic tag	Leptonic tag
$\tau^- \rightarrow \mu^- \mu^+ \mu^-$	$p_{\text{miss}} > -3.0 m_{\text{miss}}^2 - 1.0$	$p_{\text{miss}} > -2.5 m_{\text{miss}}^2$
$\tau^- \rightarrow \mu^- e^+ e^-$	$p_{\text{miss}} > 3.0 m_{\text{miss}}^2 - 1.5$	$p_{\text{miss}} > 1.3 m_{\text{miss}}^2 - 1.0$
$\tau^- \rightarrow e^- \mu^+ \mu^-$		
$\tau^- \rightarrow e^- e^+ e^-$	$p_{\text{miss}} > -3.0 m_{\text{miss}}^2 - 1.0$ $p_{\text{miss}} > 4.2 m_{\text{miss}}^2 - 1.5$	$p_{\text{miss}} > -2.5 m_{\text{miss}}^2$ $p_{\text{miss}} > 2.0 m_{\text{miss}}^2 - 1.0$
$\tau^- \rightarrow e^+ \mu^- \mu^-$	not applied	not applied
$\tau^- \rightarrow \mu^+ e^- e^-$		

- Optimisation by looking in the number of events needed to obtain a 99% CL evidence (N_{obs}^{99}); the number of bkg (N_{BG}).

Belle Method

Signal Region & Background estimation

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Signal region define in $(M_{3l}, \Delta E)$ 2D plane:

M_{3l} & ΔE resolutions are extracted from **asymmetric gaussian fits** on signal MC samples; Then **elliptical SR** are optimised to have the **minimum area with 90% of signal** (after all selections).

Mode	$\sigma_{M_{3\ell}}^{\text{high}}$ (MeV/ c^2)	$\sigma_{M_{3\ell}}^{\text{low}}$ (MeV/ c^2)	$\sigma_{\Delta E}^{\text{high}}$ (MeV)	$\sigma_{\Delta E}^{\text{low}}$ (MeV)
$\tau^- \rightarrow \mu^- \mu^+ \mu^-$	5.0/5.2	5.1/5.4	13.5/13.9	16.1/16.7
$\tau^- \rightarrow e^- e^+ e^-$	5.3/5.3	7.2/7.6	12.9/15.6	26.6/24.9
$\tau^- \rightarrow e^- \mu^+ \mu^-$	6.0/5.2	5.0/6.2	12.5/14.3	19.6/20.9
$\tau^- \rightarrow \mu^- e^+ e^-$	5.0/5.3	6.9/7.0	13.2/13.5	22.8/23.4
$\tau^- \rightarrow e^+ \mu^- \mu^-$	5.1/5.0	6.6/6.6	12.8/14.0	20.7/20.9
$\tau^- \rightarrow \mu^+ e^- e^-$	5.5/5.8	6.4/6.9	13.8/16.5	24.0/23.7

Blind Analysis:

Signal efficiency evaluated outside SR.

Bkg number is done with loser PID cut, in M_{3l} sidebands (excluding SR) and then extrapolate to the SR assuming bkg is uniform.

Systematic Uncertainties:

- lepton identification 2.2% (e) & 2.0% (μ);
- Charged track finding 1.0%;
- Electron veto (eee, e $\mu\mu$) same as lepton ID;
- MC stat & Luminosity (0.5-0.9)% and 1.4%.

Branching fraction: $Br < \frac{s_{90}}{2\varepsilon N_{\tau\tau}};$

s_{90} the 90% CL upper limit of signal events (include sys uncertainties) obtained by the POLE program
 $N_{\tau\tau}$ the number of $\tau\tau$ pairs from 782fb⁻¹

Mode	ε (%)	N_{BG}	σ_{syst} (%)	N_{obs}	$\mathcal{B}(\times 10^{-8})$
$\tau^- \rightarrow e^- e^+ e^-$	6.0	0.21 \pm 0.15	9.8	0	<2.7
$\tau^- \rightarrow \mu^- \mu^+ \mu^-$	7.6	0.13 \pm 0.06	7.4	0	<2.1
$\tau^- \rightarrow e^- \mu^+ \mu^-$	6.1	0.10 \pm 0.04	9.5	0	<2.7
$\tau^- \rightarrow \mu^- e^+ e^-$	9.3	0.04 \pm 0.04	7.8	0	<1.8
$\tau^- \rightarrow e^+ \mu^- \mu^-$	10.1	0.02 \pm 0.02	7.6	0	<1.7
$\tau^- \rightarrow \mu^+ e^- e^-$	11.5	0.01 \pm 0.01	7.7	0	<1.5

Babar

Limits on τ Lepton-Flavor Violating Decays in Three Charged Leptons (2010)

<http://arxiv.org/abs/1002.4550>

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**Improved Limits on the Lepton-Flavor Violating Decays $\tau^- \rightarrow l^- l^+ l^-$
(2007)**

<https://arxiv.org/pdf/0708.3650.pdf>

Babar Method

Event selection

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- 3X1 Topology: **Signal** τ decay into 3 leptons, **Tag** τ into one charged track (**4 charged tracks & zero net charge**) and add any nb of photons and neutrinos.
- 6 signal modes: $\tau^- \rightarrow e^- e^+ e^-$, $\mu^- \mu^+ \mu^-$, $e^- \mu^+ \mu^-$, $\mu^- e^+ e^-$, $\mu^- e^+ \mu^-$, $e^- \mu^+ e^-$
- Particle identification: Particle's identified as **e** or **μ** with invariant mass & energy equal to **τ** parent;
Electrons: **Multivariate algo** $\rightarrow \mathbf{E/p}$, $\mathbf{dE/dx}$ & calorimeter shower's shape; (eff 91%, pion misid 2.4%),
Muons: **BDT algo** \rightarrow IFR hit's number, interaction lengths traversed's number, calorimeter energy deposition, inner trackers info (for low momentum $\mu < 500 \text{ MeV}/c$); (eff 77%, pion misid 2.1%).
- Events selections: pointing to a common region consistent with $\tau\tau$ production; polar angles in the calorimeter acceptance range.
- Event's particle separated in 2 hemispheres (plane perpendicular to the trust axis):
Signal side with exactly 3 tracks with $\mathbf{m} < \mathbf{3.5 \text{ GeV}/c^2}$,
Tag side with exactly 1 tracks and **neutral energy deposits**.
- γ conversion reduction: Two couples of oppositely charge required $\mathbf{m_{inv}} > \mathbf{30 \text{ MeV}/c^2}$ for eee & e $\mu\mu$,
 $\mathbf{m_{inv}} > \mathbf{20 \text{ MeV}/c^2}$ else.

Babar Method

Background rejection

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- Main bkg suppression performed by the particle identification.
- Tag selection: momentum $p < 4.8 \text{ GeV}/c$; τ mass $0.2 < m_{inv} < 3.0 \text{ GeV}/c^2$ for eee, μee , $e\mu\mu$ & $0.1 < \overline{m_{inv}} < 3.5 \text{ GeV}/c^2$ else.
- Reject Bhabha:
Reject events where two opposite sign tracks is consistent with γ conversion, $M_{ee} < 200 \text{ MeV}/c^2$ for all except $M_{ee} < 300 \text{ MeV}/c^2$ for $\mu e\mu$;
For eee & $e\mu\mu$, 1 prong track required energy deposit inconsistent with e, and not identified as e;
For $e\mu e$, μee & $\mu\mu\mu$, 1 prong track not identified as μ ;
Missing momentum, $p_{miss} > 300 \text{ MeV}/c$ for eee & $e\mu\mu$, $p_{miss} > 200 \text{ MeV}/c$ for μee & $\mu\mu\mu$ & $p_{miss} > 100 \text{ MeV}/c$ for $\mu e\mu$ & $e\mu e$;
Opening angle, $\cos(\theta_{13}) > -0.995$ for eee & $\cos(\theta_{13}) > -0.997$ for μee .
- For μee & $e\mu\mu$, both - tracks can satisfied e & μ PID, so both mass hypothesis 2D plane are computed but only one hypothesis fall into $\Delta M_{rec} \in] -600, 400[\text{ MeV}/c^2$ & $\Delta E \in] -700, 400[\text{ MeV}$
- Remaining Bkg are **low multiplicity $q\bar{q}$ events**, **QED events** (Bhabha or $\mu\mu$ depending on mode) & **generic $\tau\tau$** ;
With distinct distributions in the 2D plane ($\Delta M_{rec}; \Delta E$).

- Signal Region define in 2D plane ($\Delta M_{ec}; \Delta E$):

with $\Delta E \equiv E_{rec}^{CM} - E_{beam}^{CM}$ and $\Delta M_{ec} \equiv M_{ec} - m_{\tau}$ where $M_{ec}^2 \equiv \frac{E_{beam}^{CM 2}}{c^4} - \frac{|\vec{p}_{3l}^{CM}|^2}{c^2}$

Optimise by minimizing expected UL when no LFV signal is present, expected UL estimated with MC & data control samples.

- Blind analysis is performed.
- Expected Bkg rate determine by fitting in ($\Delta M_{ec}; \Delta E$) separately for each modes (crosschecks with sidebands method).

- **$q\bar{q}$ bkg:**

Rotated ($\Delta M_{ec}; \Delta E$) call ($\Delta M'; \Delta E'$), rotation parameters included in fit params;

$\Delta M'$ pdf = bifurcated Gaussian;

$\Delta E'$ pdf = $(1 - x/\sqrt{1+x^2})(1 + ax + bx^2 + cx^3)$, with $x = (\Delta E' - d)/e$.

- **generic $\tau\tau$ bkg:**

($\Delta M''; \Delta E''$), $\Delta M'' = \cos\beta_1 \Delta M_{ec} + \sin\beta_1 \Delta E$ & $\Delta E'' = \cos\beta_2 \Delta E + \sin\beta_2 \Delta M_{ec}$;

$\Delta M''$ pdf = 2 Gauss sum (common mean);

$\Delta E''$ pdf same as $q\bar{q}$.

Mode	ΔM_{rec} (MeV/c ²)	ΔE (MeV)
$\mu\mu$	-25, 30	-200, 100
$e\bar{e}$	-30, 30	-300, 100
$e\mu$	-30, 30	-300, 50
μe	-30, 30	-300, 50
$\mu\mu$	-30, 30	-350, 100
$e\mu$	-30, 30	-350, 100

Babar Method

Event selection

- **QED bkg:**

Rotated 2D Plane;

eee, $e\mu e$ & $e\mu\mu$ samples produced by passing all selection except lepton tag veto but identified as μ ($e\mu e$) or e ;

μee : tag tracks identified as μ and $0.5 < m_{1prong} < 2.5 \text{ GeV}/c^2$ and $p_{1prong} > 4.8 \text{ GeV}/c$;

$\Delta M'$ pdf = **3rd order polynomial**;

$\Delta E'$ pdf = **Crystal Ball**;

Systematics Uncertainties on SGN EFF:

- PID dominates error 1.8-7.8%;
- Tracking efficiency 1%;
- All other smaller than 0.1%.

Systematics Uncertainties on BKG ESTIMATION:

- Estimated from fits;
- «to varying PDF parameters by their uncertainties, alternative functional forms are used to determine the uncertainty on the expected background yield in the SR»

Mode	Eff. [%]	N_{bgd}	UL_{90}^{exp}	N_{obs}	UL_{90}^{obs}
$e^-e^+e^-$	8.6 ± 0.2	0.12 ± 0.02	3.4	0	2.9
$\mu^-e^+e^-$	8.8 ± 0.5	0.64 ± 0.19	3.7	0	2.2
$\mu^+e^-e^-$	12.7 ± 0.7	0.34 ± 0.12	2.2	0	1.8
$e^+\mu^-\mu^-$	10.2 ± 0.6	0.03 ± 0.02	2.8	0	2.6
$e^-\mu^+\mu^-$	6.4 ± 0.4	0.54 ± 0.14	4.6	0	3.2
$\mu^-\mu^+\mu^-$	6.6 ± 0.6	0.44 ± 0.17	4.0	0	3.3

$$UL_{90}^{\text{exp}} = N_{UL}^{90} / 2\varepsilon L \sigma_{\tau\tau}$$

by Cousins & Highland method

