

1 Performances of a resistive Micromegas module for the
2 Time Projection Chambers of the T2K Near Detector
3 upgrade

4 **Abstract**

5 *Keywords:*

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1. Introduction

T2K [1] is a long-baseline neutrino oscillation experiment exploiting a muon neutrino beam produced by the JPARC accelerator complex in Japan. The T2K experiment includes the beamline, a set of near detectors (INGRID and ND280) and the far detector SuperKamiokande.

T2K provided the first evidence of non-zero mixing angle θ_{13} [2] and discovered the appearance of electron neutrinos in a muon neutrino beam [2, 3, 4]. Combining T2K data with precise θ_{13} measurement from reactor experiments, T2K has recently reported hints of large Charge-Parity (CP) violation in the leptonic sector [5], excluding CP-conservation at about 2σ Confidence Level.

The T2K collaboration is now preparing the second phase of the experiment (T2K-II), starting in Fall 2022, which will exploit the upgrade of the beam from 500 kW to 750 kW. T2K-II will collect in total more than 10^{22} Protons-On-Target (POT), including the 3.6×10^{21} POT already collected, thus enabling 3σ sensitivity on CP-Violation, in case of maximally violated CP. In order to cope with such increased statistics, an improved control of the systematic uncertainty is needed. To this aim, an upgrade of ND280 is being constructed.

ND280 is a magnetized multi-purpose detector that measures the neutrino differential rate before the oscillation, constraining neutrino flux and neutrino-nucleus interaction cross-section. The present ND280 consists of two main parts: an upstream π^0 detector (P0D) and a downstream tracker including two Fine Grained Scintillators (FGD) interleaved with three vertical Time Projection Chambers (TPCs). The P0D and the tracker are surrounded by an electromagnetic calorimeter (ECAL) and by the UA1 magnet providing a 0.2 T magnetic field. The magnet yoke is further instrumented with a Side Muon Range Detector (SMRD).

The role of ND280 in the T2K oscillation analysis is crucial, allowing to constrain the uncertainty on the expected number of events at the far detector down to 4-5%. ND280 measurements are performed on different targets (Carbon and Oxygen) and rely on the precise measurement of the muon momentum measured by the TPCs, with a momentum resolution of 10% at 1 GeV [6]. Better resolution is not needed since the determination of the neutrino energy from the muon momentum is limited by the smearing induced by the Fermi momentum of the nucleus.

Another important result of ND280 is the measurement of the ν_e con-

62 tamination in the beam [?], that constitutes the main background to the ν_e
 63 appearance in the electron-like sample selected at Super-Kamiokande. This
 64 analysis, based on the Particle Identification (PID) capabilities of the TPCs
 65 and of ECAL, is possible thanks to the deposited energy resolution of 8%
 66 in the TPCs that allow sufficient e- μ separation between few hundreds MeV
 67 and ~ 2 GeV.

68 The upgrade of ND280 consists in substituting the POD with a new
 69 tracker, similar to the existent one but with an horizontal geometry. The new
 70 tracker includes a 3-dimensional scintillator (Super-FGD) made of about 2
 71 million of 1 cm^3 cubes, read out by wavelength shifting fibers in the 3 direc-
 72 tions. On the top and the bottom of the Super-FGD, two TPCs (High-Angle
 73 TPCs) will be installed. The new tracker system will be surrounded by
 74 six Time-of-Flight modules. This new detector configuration will allow to
 75 improve the angular acceptance of ND280, being close to the full 4π phase-
 76 space accessible at SuperKamiokande. In addition, the better tracking per-
 77 formances of the super-FGD will allow to improve the reconstruction of the
 78 hadronic part of the neutrino interactions, that will be exploited in combi-
 79 nation with the muon kinematics.

80 Each endplate of the new TPCs will be instrumented with eight Mi-
 81 cromegas type charge readout modules. The Micromegas detector charge
 82 amplification structure uses a resistive anode to spread the charges over sev-
 83 eral pads to improve the space point resolution. This innovative technique
 84 is combined with the bulk-Micromegas technology [6] to compose the "En-
 85 capsulated Resistive Anode Micromegas" (ERAM) detector. Performances
 86 of a prototype of an ERAM detector exposed to a test beam at CERN were
 87 shown in [7]. This paper describes the performance of one ERAM module,
 88 built with the same design that will be used for the HA-TPCs, exposed to an
 89 electron beam at DESY. With respect to [7], in this paper we characterize
 90 both, spatial and dE/dx resolution as a function of the angle of the track
 91 with respect to the ERAM plane.

92 The HA-TPC should fulfill similar performances of existing TPCs in
 93 terms of momentum and dE/dx resolution. In addition, the super-FGD will
 94 enable the reconstruction of low momentum protons and neutrons [8], thus
 95 allowing to reconstruct the neutrino energy more precisely and *effectively*
 96 correct for the Fermi momentum: an improved track momentum resolution
 97 in HA-TPC, even beyond the previous specifications, is therefore useful to
 98 improve the neutrino energy resolution and, ultimately, the precision on neu-
 99 trino oscillation measurements.

2. Resistive Micromegas

The ERAM detector uses the bulk-Micromegas technology invented in 2004 by a collaboration between CERN/EP-DT-EF PCB workshop and CEA-IRFU [9]. It was developed and used for the construction of the 72 bulk-Micromegas modules (9 m² total area) which equip the three T2K/ND280 vertical TPCs. The woven micromesh of the Micromegas detector is sandwiched between two layers of the same insulating material (pyralux) and exposed to UV radiation at the location where the pyralux is kept on top of the pad-segmented anode PCB after chemical development.

The ERAM detector is a 128 μm amplification gap bulk-Micromegas using the standard SD45/18 304L woven micromesh built on top of a resistive anode PCB. When a track crosses the gas volume of a TPC, it generates a cloud of ionized electrons. These electrons are drifted to the anode readout plane of the TPC under a uniform electric field. On the readout plane an avalanche is generated by an high electric field in the Micromegas amplification region. The 3D position of the track is then reconstructed from the arrival time and the position of the avalanche on the readout plane. In the case of the bulk-Micromegas, the electron avalanche in the amplification gap is quite narrow w.r.t the pad size and therefore the position resolution is often limited by the pad size. The resistive anode Micromegas, first introduced and extensively studied by the ILC-TPC collaboration [10], provides a way to induce a signal on a larger number of pads allowing for a better reconstruction of the track position. The sketch of the bulk and the resistive Micromegas concepts are presented in Figure 1.

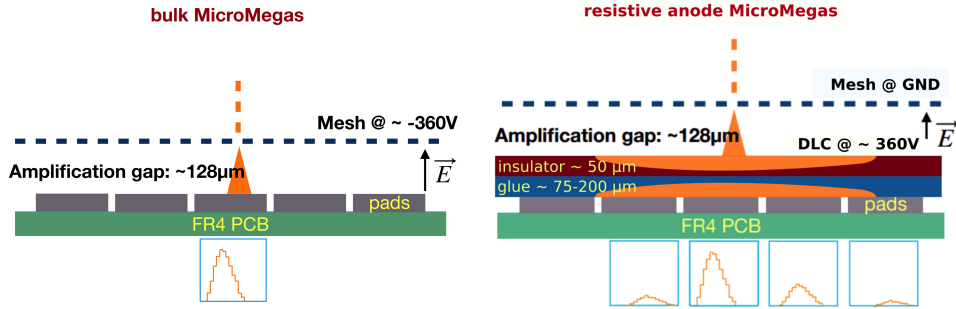


Figure 1: Sketch of Standard Bulk Micromegas layout (left) and Resistive anode Micromegas layout (right).

124 The anode, segmented in pads, is covered by a foil of insulating material,
 125 which has a thin resistive layer on its top side. The ERAM detector uses a
 126 Diamond-Like Carbon (DLC) thin layer sputtered on a 50 μm thick APICAL
 127 (Kapton) insulator sheet. The avalanche is then naturally quenched because
 128 the potential difference locally drops in presence of a high charge density.
 129 The resistive layer acts like a 2-D RC network and the charge deposited by
 130 the avalanche spreads naturally with time with a Gaussian behaviour. For a
 131 point charge deposited at $r = 0$ and $t = 0$, the charge density as a function
 132 of radius r and time t is given by:

$$\rho(r, t) = \frac{RC}{4\pi t} e^{-\frac{r^2 RC}{4t}} \quad (1)$$

133 where R is the resistivity per unit area and C the capacitance per unit area.
 134 For this structure, the capacitance C is defined by the distance between the
 135 resistive layer and the grounded pads (glue thickness plus APICAL foil). The
 136 insulating layer thickness determines the amount of spreading and the charge
 137 spread σ_t of the charge collected and integrated by a front-end electronics of
 138 shaping time t is given by $\sigma_t = \sqrt{\frac{2t}{RC}}$.

139 The resistive anode provides mainly two advantages: by spreading the
 140 charge between neighbouring pads, it improves greatly the resolution with
 141 respect to the pitch/ $\sqrt{12}$ provided by a mere hodoscope, and it suppresses
 142 the formation of sparks and limits their intensity. A further and novel im-
 143 provement of this technique is a new High Voltage powering scheme, where
 144 the mesh is set to ground and the anode to a positive amplification volt-
 145 age. The insulation of the resistive anode from the pads, hence from the
 146 electronics, ensures a safe operation by a capacitive coupling readout and
 147 thus allows us to get rid of the cumbersome anti-spark protection circuitry
 148 necessary in the case of the standard bulk readout.

149 At the end of 2017, a first series of prototypes were produced and tested
 150 to assess the feasibility of large charge spreading by a low resistivity an-
 151 ode. The vertical TPCs PCB, with an active area of $36 \times 34 \text{ cm}^2$ covered by
 152 $0.97 \times 0.69 \text{ cm}^2$ pads, was adapted to build an ERAM structure with "on-
 153 shelf" 2.5 MOhm/ \square DLC foils. This first prototype was tested with particle
 154 beam at CERN in 2018 and its performance are summarized in [7].

155 In fall 2018, the global design of the ND280 upgrade detector was fixed.
 156 The sub-detector envelops were defined and the size of the ERAM modules
 157 fixed to be $420 \times 340 \text{ mm}^2$ with 32×36 rectangular pads of size 10.09×11.18

158 mm². The ERAM module studied in this paper has a resistivity close to
 159 the required one of 200 kOhm/□ using DLC foils stack on a 75 μm glue
 160 layer. The resistivity maps measured at critical steps of the manufacturing
 161 of the DLC foil shows a non uniformity of 20%. The detector is readout with
 162 two analog 576 channels AFTER based Front-End Cards (eight 72 channels
 163 AFTER ASIC per card). This prototype was tested in a beam test at DESY
 164 in June 2019.

165 3. Experimental setup

166 The prototype has been exposed to an electron beam at the DESY II test
 167 beam facility [11]. DESY II provides electron beams of 1 – 6 GeV/c at a
 168 rate of up to several kHz, depending on the chosen momentum. In the test
 169 beam area TB24/1, a large-bore superconducting solenoid, called PCMAG,
 170 provides a magnetic field of up to 1.25 tesla. The magnet is mounted on
 171 a movable platform, which allows the setup to be moved horizontally and
 172 vertically, perpendicular to the beam line, as well as rotated by $\pm 45^\circ$ in the
 173 horizontal plane. The platform can position the device under test with a
 174 precision of about 0.2 mm horizontally, 0.1 mm vertically, and within 0.1° in
 175 angle.

176 Inside the bore of the magnet, a rail system is installed on which test
 177 devices can be mounted at different positions within the magnet. The TPC
 178 prototype is supported on a sled, which can move in and out of the magnet
 179 and can be used to rotate the chamber around the magnetic field axis as
 180 illustrated in Figure 2.

181 Usually the magnet is positioned perpendicular to the beam. The walls
 182 of the magnet present about 20 % of a radiation length, so that an electron
 183 beam of 6 GeV/c easily penetrates the magnet and the device under test.
 184 A set of four consecutive scintillation counters, of which each has an area of
 185 approximately 2.5 cm × 2.5 cm, is mounted about 1.5 m in front of the mag-
 186 net. The coincidence between them is used as a beam trigger. In addition,
 187 a second set of scintillation counters above and below the magnet provides a
 188 cosmic trigger for tests without beam.

189 The prototype has been placed inside the 0.2 T PCMAG magnet and has
 190 been operated for these measurements with a gas mixture of 95% argon, 3%
 191 tetrafluoromethane (CF₄), and 2% isobutane (iC₄H₁₀). The gas quality was
 192 constantly monitored during the measurement. For the results reported in
 193 this paper, the oxygen contamination was around 30 ppm at a gas flow rate

194 of 30 ℓ/h . The chamber was operated at atmospheric pressure. Ambient
 195 temperature and pressure were constantly monitored.

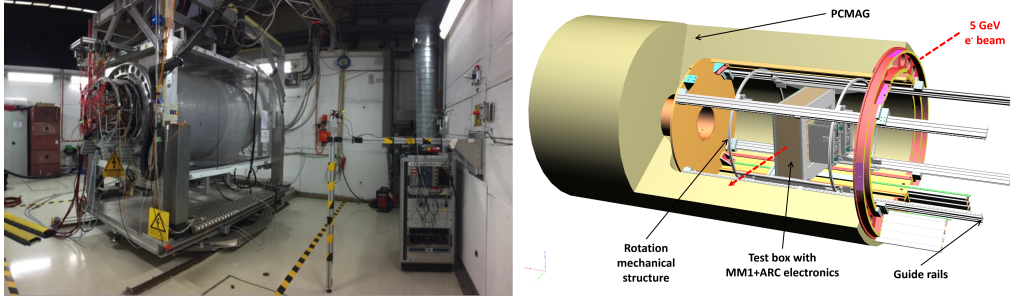


Figure 2: View of the setup.

196 4. Collected data

197 The collected data is used to analyze in detail the role of all the relevant
 198 parameters (peaking time, DLC voltage, drift distance), to fully characterize
 199 the charge spreading, the resistive foil uniformity and to ensure a perfor-
 200 mance satisfying the ND280 upgrade requirements. The tests at DESY have
 201 been done in a short chamber of 15 cm drift distance. The prototype was
 202 operated at a voltage of 360 V. The settings chosen for the AFTER chip were
 203 a sampling time of 40 ns, a peaking time of 412 ns or 200 ns and a gain of
 204 120 fC.

205 The results presented in this paper were obtained with electrons with
 206 momenta varying from 0.5 to 5 GeV/c. We have carried out drift distance
 207 scans with seven points, spaced by 2 cm, at $B = 0T$ and $B = 0.2 T$, peaking
 208 time of 200 ns or 412 ns and high voltage of 370 V and 360 V. In addition a
 209 scan of the DLC voltage, varied from 330 V to 400 V, was performed.

210 We have calibrated the $T0$, the moving table position, and the drift ve-
 211 locities at different drift fields with a 4 GeV/c electron beam and a short
 212 peaking time of 116 ns. At the standard T2K field of 275 V/cm, we obtained
 213 $V_{\text{drift}} = 7.68 \text{ cm}/\mu\text{s}$ by drift distance scans with the accelerator beam. A Gas
 214 Monitoring Chamber (GMC), identical to the ones deployed at T2K's ND280
 215 detector [6], monitored the exhaust gas for the duration of the beam-time.
 216 The GMC measured a drift velocity of $7.81 \pm 0.02 \text{ cm}/\mu\text{s}$ at the T2K field, in
 217 agreement with the beam scans, see Figure 3. Under the electric field of 140
 218 V/cm, which is associated with the minimum transverse diffusion, we found

219 a drift velocity of $5.84\text{cm}/\mu\text{s}$. Despite the impact of the gas bottle changes,
 220 the drift velocity V_{drift} under the electric field of $E = 275\text{V}/\text{cm}$ varies less
 221 than 6% .

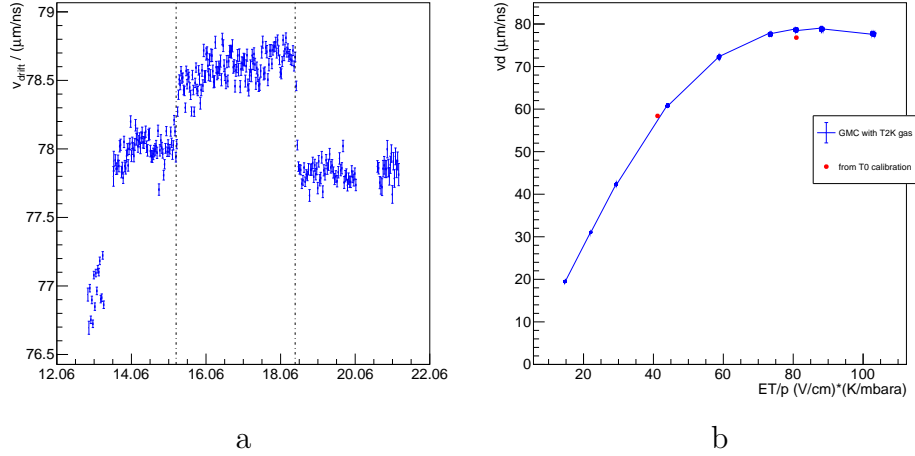


Figure 3: The drift velocity under a constant field of 275 V/cm (a) and as a function of electric field (b) with density corrections applied. The vertical lines on (a) correspond where the bottles of premixed gas were swapped. Transition regions at ramp-up and between bottle changes can clearly be seen. The measurements at different fields in (b) correspond to a full day during the first bottle (14.06).

222 5. Characterisation of the charge spreading

223 As explained before, the resistive Micromegas technology produces a
 224 spreading of the collected charge into neighboring pads. The charge spread-
 225 ing phenomenon, which drives the waveform shape, is described in [10]. The
 226 signal induced by resistive layer is smaller in amplitude and longer in time
 227 comparing to the direct charge deposition from the track in the leading pad
 228 as illustrated in Figure 5. Hence, the charge spreading is significant in the
 229 transverse direction w.r.t. the track while in the longitudinal direction, it's
 230 masked by the direct charge. To study the phenomenon of interest, we define
 231 a “cluster” as a group of pads in the perpendicular direction to the track.
 232 The schematic view of such a cluster for horizontal track and a waveforms in
 233 adjacent pads are shown in Figure 4.

234 In Figure 5, the pad multiplicity per cluster and the fraction of charge
 235 in the pad with largest signal ($q_{\text{max}}/q_{\text{cluster}}$) are shown. Most of the clusters

236 are formed by more than two pads and the pad with largest signal contains
 237 typically 80% of the total collected charge. The effect of the high voltage
 238 on the multiplicity is also clearly seen in Figure 5. The cluster multiplicity
 increases with the high voltage.

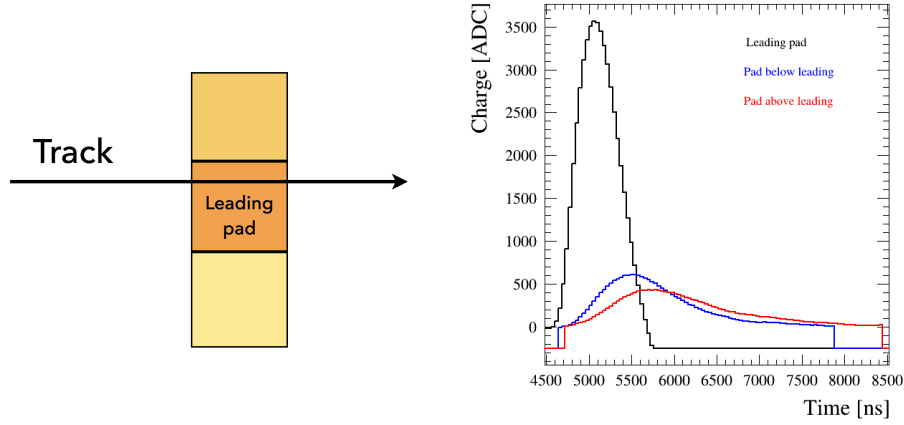


Figure 4: A schematic view of a column cluster (left) and the waveforms of each pad composing this cluster (right) for 412 ns shaping time.

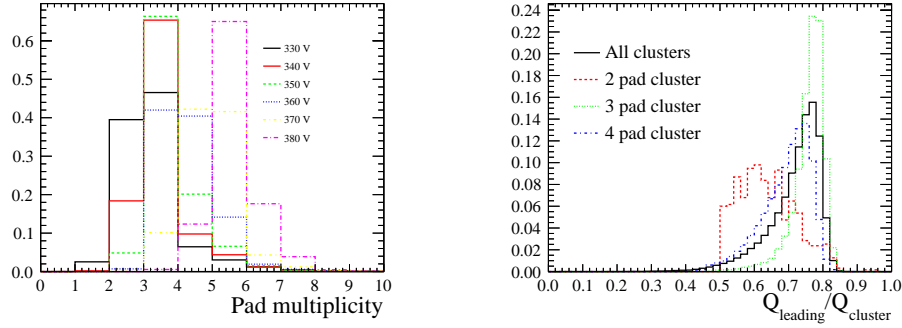


Figure 5: The number of pads in a cluster versus the high voltage (left) and the fraction of the cluster charge which is collected in the pad with largest signal with 360 V (right).

239 For tracks parallel to the pad plane, transverse spreading is defined pre-
 240 cisely within the given column. While for oblique tracks and large square
 241 pads, the separation between longitudinal and transverse spreading is more
 242

243 complicated. In order to distinguish these two spreading topologies, we de-
 244 fine more sophisticated cluster patterns shown on Figure 6. These clusters
 245 are repeated to pave the whole ERAM. In the case of square pads, these
 246 patterns are optimized for angles with tangents 0, 1, 0.5, 0.3 respectively. In
 247 the case of rectangular pads, the optimal angles are slightly different.

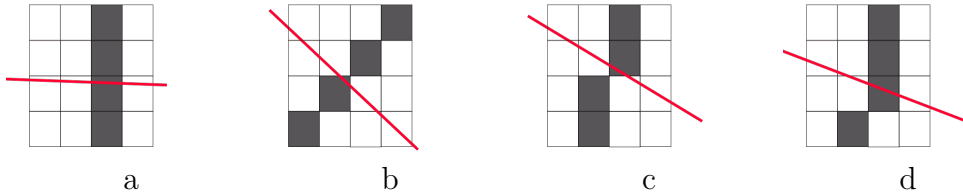


Figure 6: The different cluster patterns that can be used depending on the track angle (red line): (a) column, (b) diagonal, (c) 2 by 1, (d) 3 by 1.

248 6. Track reconstruction

249 In the beam test analysis, we focus on the studies of the through-going
 250 tracks as more complicated typologies (e.g. showering, curved low-energy
 251 tracks) can bias the results. Hence simple reconstruction algorithms DB-
 252 SCAN [12] are sufficient in our case.

253 We select a track if it is crossing the whole detector without breaks or
 254 splits. A split is defined as the case where there is more than one cluster in
 255 a given column. An event containing a split is thus a multiple track event
 256 which is dismissed. However, with the resistive spreading, two parallel tracks
 257 may not be separated with a gap and thus misreconstructed as one single
 258 track. To reject such a topology, a cut on the pad multiplicity in each cluster
 259 was implemented. The cut value was optimised for each MicroMegas voltage
 260 and electronics shaping time. Examples of the accepted and dismissed events
 261 are shown in Figure 7 (a) and (b) respectively.

262 7. Spatial resolution

263 As described in section 1, the momentum resolution has to be better
 264 than 10% at 1 GeV/c but, to fully exploit the ND280 upgrade capabilities,
 265 an even better momentum resolution would be suitable. The momentum res-
 266 olution is directly connected to the spatial resolution through the Gluckstern

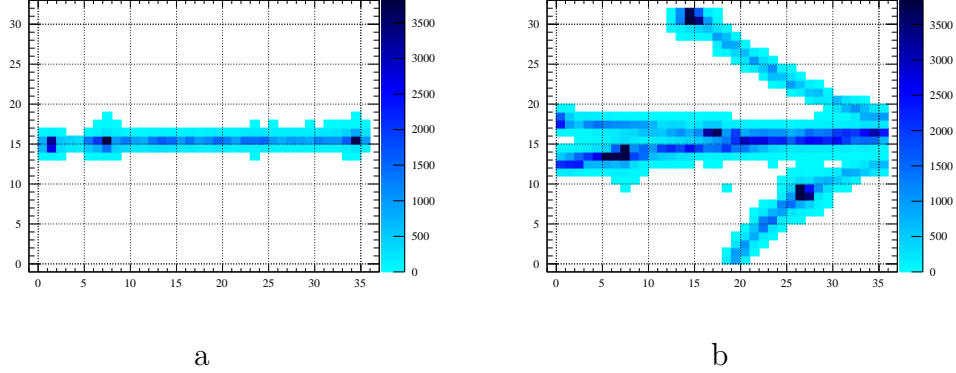


Figure 7: Event displays of the (a) single track and (b) multi-track in the prototype.

267 formula [13]. For tracks with 70 point measurements, a maximum drift dis-
 268 tance of 1 m, and a magnetic field of 0.2 T, a spatial resolution of $\sim 800\mu\text{m}$
 269 would be sufficient to reach a momentum resolution of 10% at 1 GeV/c.

270 As we will show in this section, the resistive Micromegas technology al-
 271 lows to sensibly improve the spatial resolution with respect to the bulk Mi-
 272 cromegas, even in presence of slightly larger pads thus allowing to reduce by
 273 $\sim 30\%$ the total number of channels for the same active surface. The test
 274 beam data have been used to characterize the ERAM module performances
 275 for electrons with different angles.

276 7.1. The Pad Response Function method

277 The charge spread described in the section 5 results in charge detection
 278 in a few pads around the avalanche arrival point. The charge measurements
 279 are discrete with the finite pad size, while the spreading in the RC layer
 280 is continuous. Thus the barycentric method (Center of Charge, weighted
 281 mean) that assigns all the collected charge to the pad center doesn't provide a
 282 precise position reconstruction. Instead, we used the so-called Pad Response
 283 Function (PRF) which characterizes the relation between observed charge
 284 ratios and track position w.r.t. the pad (Equation 2). This method improves
 285 the spatial resolution compared to the barycentric method for TPCs with
 286 the resistive anode [14]. The PRF is defined as:

$$PRF(x_{track} - x_{pad}) = Q_{pad}/Q_{cluster} \quad (2)$$

where x_{track} is the reconstructed position of the track, x_{pad} is the center of the pad, Q_{pad} is the charge collected on a given pad and $Q_{cluster}$ is the charge collected on the whole cluster. The definition of the cluster is the same as described in section 5. It's a group of pads where one receives a charge from the initial avalanche and the others detect the charge spread in the resistive foil.

To parametrize the PRF we used the ratio of two symmetric 4th order polynomials proposed in [15]:

$$PRF(x, \Gamma, \Delta, a, b) = A \times \frac{1 + a_2 x^2 + a_4 x^4}{1 + b_2 x^2 + b_4 x^4} \quad (3)$$

where the parameters a_i and b_i can be related to the more physical parameters: the full width half maximum Γ , the base width Δ , and two scaling parameters a and b .

7.2. Spatial resolution estimation

To determine the PRF parameters, we initially use the track position reconstruction obtained from the barycentric method. After having defined the position of all the clusters, the global track is fit with a parabola. The fit is based on many measurements (≥ 34) thus it's considered as a true track position and the PRF scattered plot is filled (Figure 8 (a)). The scattered plot is profiled along Y axis to form a graph that is further fit with analytical function from Equation 3 (Figure 8 (b)).

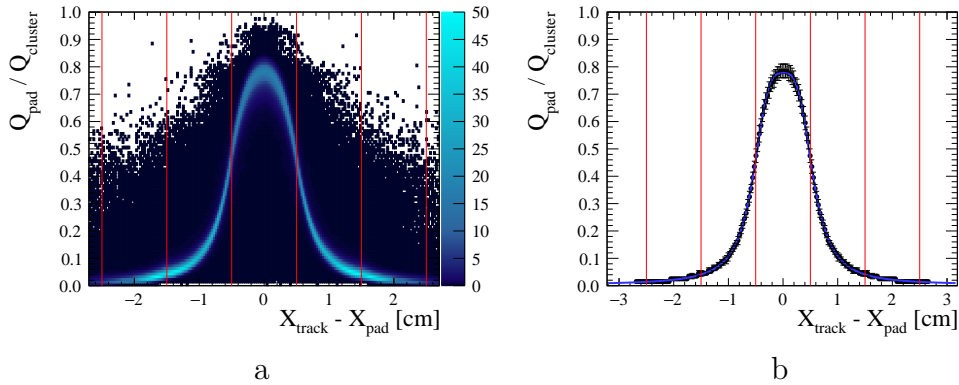


Figure 8: The Pad Response Function (PRF) obtained with (a) scattered plot and (b) results of the profile and fit with analytical function. The pad borders are represented with vertical lines.

306 After having determined the PRF, the track position in each cluster is
 307 obtained with the following χ^2 minimization:

$$\chi^2 = \sum_{pads} \frac{Q_{pad}/Q_{cluster} - PRF(x_{track} - x_{pad})}{\sigma_{Q_{pad}/Q_{cluster}}} \quad (4)$$

308 where σ_Q is the uncertainty on the charge measurements. In our analysis, we
 309 assume that charge measurement probability follows a Poisson distribution,
 310 hence: $\sigma_{Q_{pad}/Q_{cluster}} = \sqrt{Q_{pad}/Q_{cluster}}$. We proceed through the iterative
 311 process of the PRF estimation until the track fit quality is not improving
 312 anymore. Typically this procedure converges after X iterations.

313 The spatial resolution is defined as the difference between the recon-
 314 structed position in a given cluster and the global fit (residual). The par-
 315 ticular cluster where the resolution is studied is excluded from the fit to
 316 prevent biases. The residuals distribution is fit with a Gaussian function
 317 whose standard deviation defines the spatial resolution.

318 7.3. Dependence on the drift distance, momentum, high voltage

319 The spatial resolution was studied over different samples. The beam
 320 position was varied within the drift distance of the field cage keeping the
 321 tracks parallel to the MicroMegas plane. The spatial resolution is expected
 322 to degrade slightly for a larger drift distance affected by the transverse and
 323 longitudinal diffusion. The observed dependence is shown in Figure 9 (a).

324 In addition, the high voltage applied to the MicroMegas mesh was varied
 325 to study the detector performance in different regimes. Higher voltage is
 326 expected to enhance the initial avalanche, thus increase the charge spread-
 327 ing signal. Signals in the neighbours pads are then more likely to pass the
 328 threshold and are less affected by statistical fluctuations. As track position
 329 reconstruction relies on the charge spreading measurements we expect a bet-
 330 ter performance with higher voltage. The results are shown in Figure 9 (b).

331 DESY beamline allow to tune the momentum of the electrons track to be
 332 delivered to the testbeam area. In this way we studied spatial resolution over
 333 the track kinematics. We find no significant changes for the position accuracy
 334 reconstruction for the tracks in range between 1 and 5 GeV/c (Figure 10).

335 7.4. Dependence on the track inclination

336 Inclined tracks are expected to be reconstructed less precisely compared to
 337 horizontal ones. As an example, in the current ND280 TPCs, the resolution

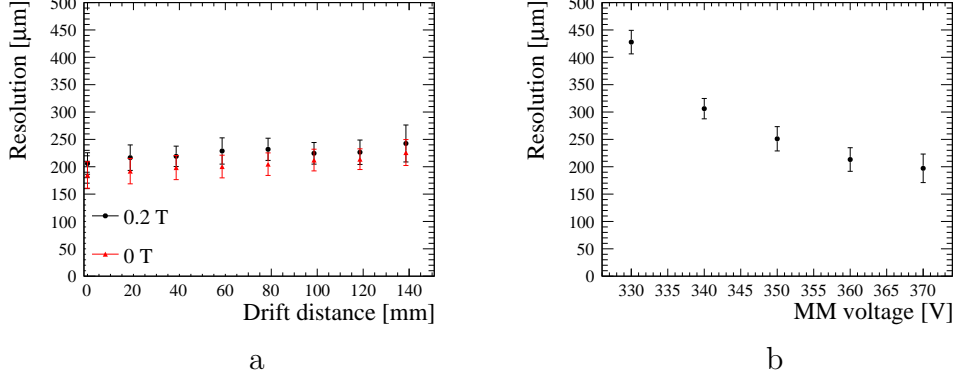


Figure 9: Spatial resolution w.r.t. (a) beam injection position and (b) MicroMegas voltage for horizontal tracks parallel to the MM plane. Points represent the mean value over detector columns and errors represent the fluctuations (RMS).

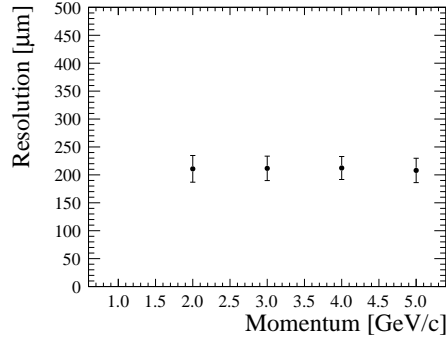


Figure 10: Spatial resolution over the momentum of the electron beam.

degrades as a function of the track angle from $600\mu\text{m}$ to $\sim 1\text{ mm}$ [6]. It is then particularly interesting to investigate the behavior of the spatial resolution in the ERAM detector as a function of the track angle with respect to the ERAM plane.

In order to do this, the different cluster patterns described in section 5 were used for different track inclination. The χ^2 fit (Equation 4) is applied to each cluster to extract the track position. As for horizontal tracks, the positions in given clusters are fit together to form a global fit and the iterative analysis is applied: the barycentric estimation is used as a prior following with PRF calibration. The results of the inclined track spatial resolution

estimations are shown in Figure 11.

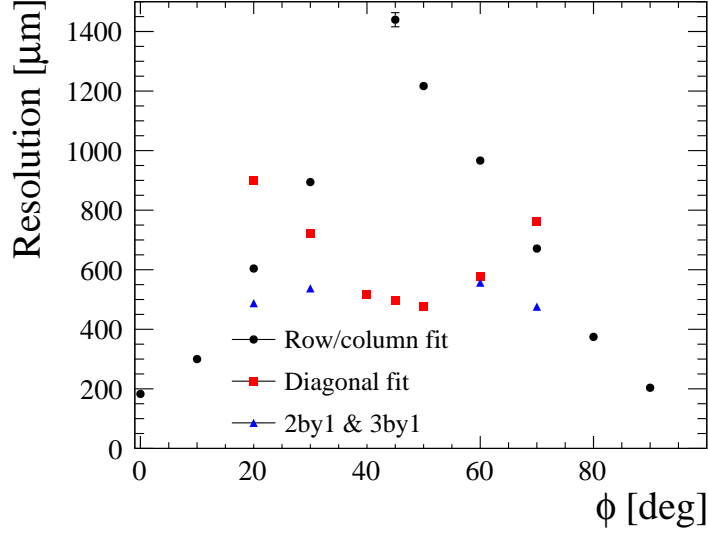


Figure 11: Spatial resolution w.r.t. track angle within the MM plane obtained with different cluster definitions.

As expected, the column clustering method leads to a severe spatial resolution degradation with the track slope, reaching a maximum of 1.4 *mm* for 45° tracks. For these angles, the diagonal pattern provides significant performance improvement. In the intermediate regions (20°, 30°, 60°, 70°), the best result is achieved with the more complex patterns: “2 by 1” and “3 by 1”. The asymmetry w.r.t. 45° is caused by the rectangular pad shape 11.3×10.2 mm. Thus, the diagonal pattern is considered as a better choice for 48° tracks than for 45° tracks. Hence, tracks inclined with 50° are reconstructed more accurately compared to 40° tracks. Similar behaviour is observed for all the other patterns.

By taking the best clustering algorithm we observe a spatial resolution better than 600 μm for all the angles. We understand the difference between horizontal tracks and inclined tracks as due to the larger effective pad size for diagonal clustering and to the rectangular shape of the pads, while diagonal clustering would work better for square pads. For a spatial resolution of 600 μm , for 70 point measurements and a magnetic field of 0.2 T we expect a momentum resolution of 6% at 1 GeV/c that scales linearly with the spatial

366 resolution.

367 7.5. Bias measurements

368 As described above, we define the spatial resolution as a standard deviation of the difference between the reconstructed position in a given cluster and a global track fit. Meanwhile, the mean value of the residuals is also an important characteristic that shows the bias of our measurements. In particular, it's interesting to study the biases with respect to the track position in the pad. For that, we use the natural beam spread. The electron beam profile is nearly Gaussian with a standard deviation ≈ 1 cm. We sample the residuals with the reconstructed track position in the pad. Thus, we can study the resolution and biases in the different pad regions. In Figure 12, we show both the spatial resolution and bias per columns. Individual PRF were used for each column to analyse the behaviour in the different regions of the detector independently. The resolution undergoes some oscillations because if a track is close to one of the leading pad borders, the neighbouring pads see a larger signal and we thus have a more reliable input for the position reconstruction.

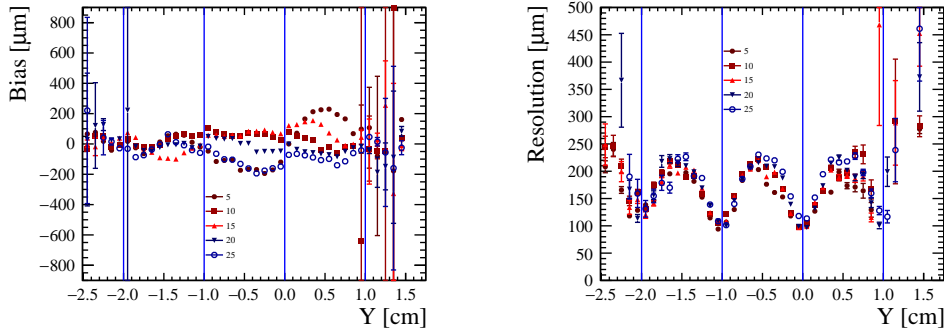


Figure 12: The resolution and the bias of the track reconstruction over the position in the pad for various columns. The pad borders are represented with vertical lines.

383 We generalise the bias study for the whole detector. Figure 13 represents
 384 the fluctuations of the resolution and biases in the given column. We con-
 385 clude that for most of the detector the biases are under control and smaller
 386 comparing to the spatial resolution. In the downstream part of the detector,
 387 we found larger biases that could be related to the non-uniformities in the
 388 resistivity of this ERAM detector that will be described in section 9.

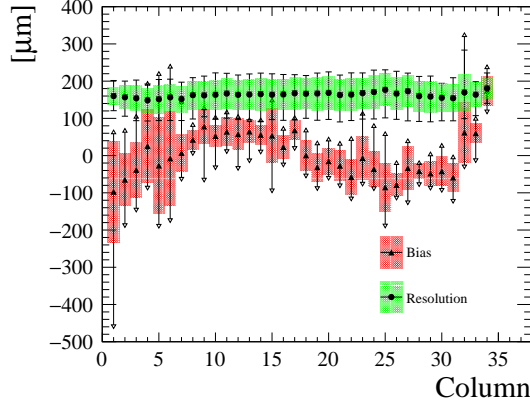


Figure 13: The spatial resolution and bias fluctuations observed for the different position of the track in the pad. Dots represent the mean value in a given column, filled areas correspond to the RMS, and error bars represent minimum and maximum values.

389 8. Deposited energy resolution

390 One of the main goals of a TPC is to perform particle identification (PID)
 391 based on the measurement of the ionization produced by charged particles
 392 crossing the gas volume. The PID capabilities depend on the resolution in
 393 the ionization measurements.

394 In case of T2K TPCs, the PID is mainly used to distinguish electrons
 395 (produced by ν_e) from muons (produced by ν_μ). In the momentum range
 396 studied by T2K, the amount of ionization between electrons and muons differs
 397 by $\sim 40\%$. Therefore, a resolution of less than 10% is needed to efficiently
 398 distinguish these two particles. In general, the resolution depends on the
 399 number of independent ionization measurements (i.e. the number of clusters)
 400 and on the amount of ionization in each cluster. For the existing TPCs, a
 401 resolution of 8% was obtained by combining measurements in 2 MicroMegas
 402 detectors (72 independent measurements of ionization).

403 In this section, we will describe the performances observed with one single
 404 ERAM detector (36×32 pads).

405 The method used to estimate the energy loss of a given track is called
 406 the truncated mean method: the charges contained in each cluster of the
 407 track are sorted by increasing order and only a fraction of lowest charges
 408 is kept to compute the mean deposited energy per track. Such a method
 409 allows to reject clusters with large amount of charge, due to fluctuations in

the ionization processes, that would degrades the resolution.

The dependence of the dE/dx resolution on the truncation fraction is shown in Figure 14. The best resolution is obtained for values of truncation fraction between 50% and 70%. Therefore, for all the results presented in this section, a truncation factor of 70% is used.

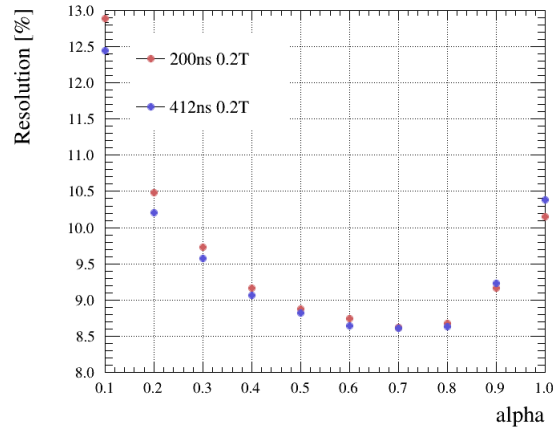


Figure 14: The dependence of the dE/dx resolution on the truncation factor α for the beam parallel to the pad plane with a magnetic field of 0.2 T and peaking times of either 200 ns and 412 ns

8.1. Definition of the cluster charge

As explained before, the basic ingredient of the dE/dx resolution is the amount of charge seen in each cluster. This quantity can be defined in different ways.

In the existing ND280 TPCs or in the results published in [7], the cluster charge is defined by summing the waveform maximum seen in each pad composing the cluster. This definition was shown to be a good estimator of the charge in the case of bulk MicroMegas, or when the drift distance is large enough to allow the predominance of transverse diffusion over the charge spreading induced in the ERAM module.

However, in the present configuration, the limited size of the TPC implies that the transverse diffusion is small and that charge spreading dominates. Therefore, by summing the maximum of the waveform seen in each pad of the cluster, we are double counting the leading pad charge: first it is seen

429 in the leading pad before the spread, and then seen by the neighboring pads
 430 after the spread.

431 To remove this double counting effect, the charge per cluster can be de-
 432 fined in a different way. For each cluster, we build a summed waveform
 433 defined as the sum of the waveform amplitude seen in each pad of the cluster
 434 at all times. We then take as a cluster charge estimator the maximum of this
 435 summed waveform. We refer to this method as WF_{sum} .

436 A comparison of the results of the two methods as a function of the drift
 437 distance is shown in Figure 15 for the two different peaking times and for data
 438 taken with 0.2 T magnetic field and with the beam parallel to the ERAM
 439 plane. It can be seen that with both methods, the resolution is well below
 440 10% for all the drift distances and, as expected, the WF_{sum} method gives a
 441 better resolution comprised between 8.5% and 9.0%.

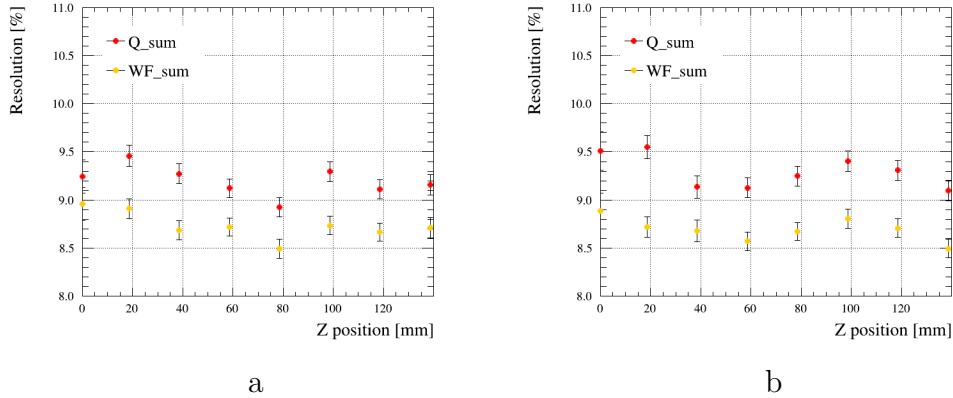


Figure 15: dE/dx resolution with respect to the drift distance for the beam parallel to the pad plane with a magnetic field of 0.2 T and peaking times of 200 ns (a) and 412 ns (b). Q_{sum} method consists in summing the maximum of the waveform in each pad of the cluster while WF_{sum} corresponds to maximum of the summed waveforms in a cluster.

442 8.2. Deposited energy resolution for inclined tracks

443 As explained in section 5, in order to reconstruct inclined tracks, different
 444 clustering algorithms are used. In the case of the deposited energy resolution,
 445 the usage of such algorithms has two impacts: a larger number of clusters
 446 per track are reconstructed but the track will have different paths in different
 447 clusters.

448 In the column or row clusters, tracks with same angle with respect to
 449 the pad plane have the same dX in each cluster (neglecting the curvature

induced by the magnetic field). This is not true for diagonal clusters in which the dX can vary between 0 and the diagonal of the pad ($\sim 1.5\text{ cm}$). The distribution of the charge as a function of dX for tracks inclined of 45 degrees with respect to the pad plane is shown in Figure 16. It is clear from this figure that there is a dependence, although this dependence is not linear as one would expect from the simple consideration that the deposited energy should be proportional to the path.

The non-linearity is due to the fact that each cluster sees not only the direct charge due to the primary ionization, but it also sees some charge due to the spread on the resistive plane and to the transverse diffusion in the gas.

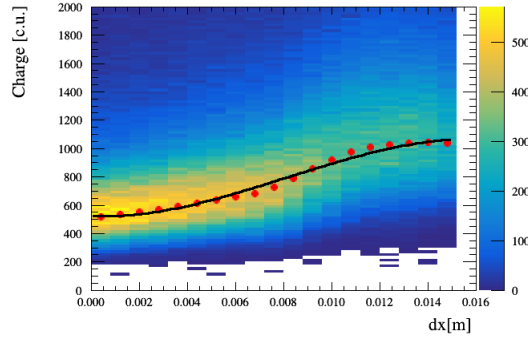
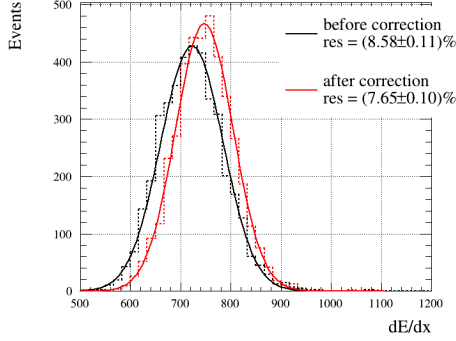


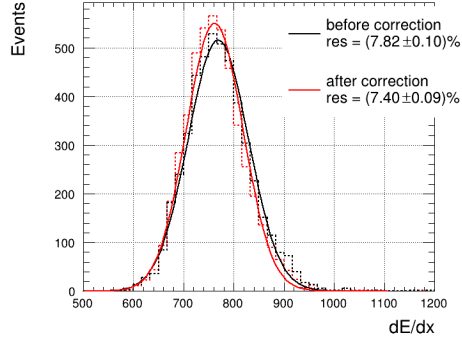
Figure 16: The distribution of the charge with respect to the dX of the track inclined of 45 degrees with respect to the pad plane

In order to correct the dX in each cluster, we fit the charge in each slice of dX with a Landau function and we take the Most Probable Value. The obtained distribution of MPV as a function of dX is then parametrized with a third degree polynomial. In each cluster, the charge is corrected to take into account the real path length dX and then the truncated mean is computed.

The dE/dx distributions for diagonal clustering with and without the dX correction are shown in Figure 17.



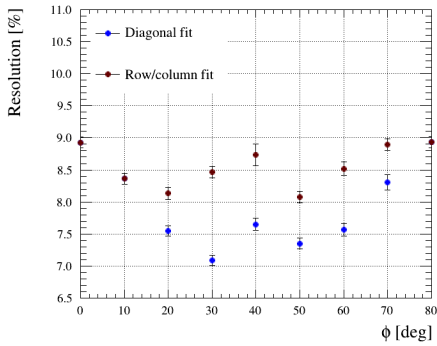
a



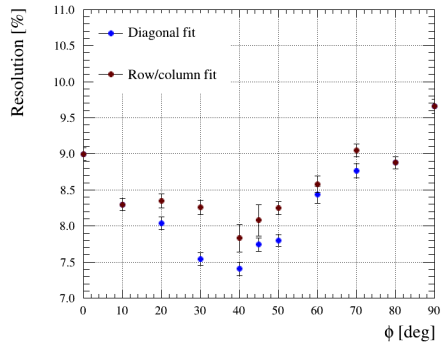
b

Figure 17: The dE/dx distribution for 40 deg inclined tracks at a peaking time of 200 ns (a) and 412 ns (b) with and without the correction for dX .

467 The deposited energy resolution as a function of the beam inclination
 468 w.r.t. the pad plane is shown in Figure 18. As expected, the diagonal
 469 clustering, after proper dX correction, provides the best resolution thanks to
 470 the larger amount of clusters in which the track is sampled.



a



b

Figure 18: dE/dx resolution versus the angle w.r.t pad plane using column/row clustering or diagonal clustering. Column clustering is used from 0 to 40 deg and after 40 deg row clustering is used. Runs at a peaking time of 200 ns (a) and 412 ns (b), with a magnetic field of 0.2T applied to the TPC prototype. Diagonal clustering are corrected for the dX as described in the text.

8.3. Dependence of the dE/dx resolution on the number of clusters

In this test beam, only one ERAM module was used. In the HA-TPC that will be installed at ND280, most of the tracks will cross two ERAM modules before exiting the TPC resulting in a larger number of clusters (72 for tracks parallel to the pad plane). The observed dependence of the deposited energy on the number of clusters can then be used to extrapolate the expected resolution in the HA-TPCs.

This can be done by computing the truncated mean using only a fraction of the available clusters. The dependence of the truncated mean on the number of clusters for different samples is shown in Figure 19.

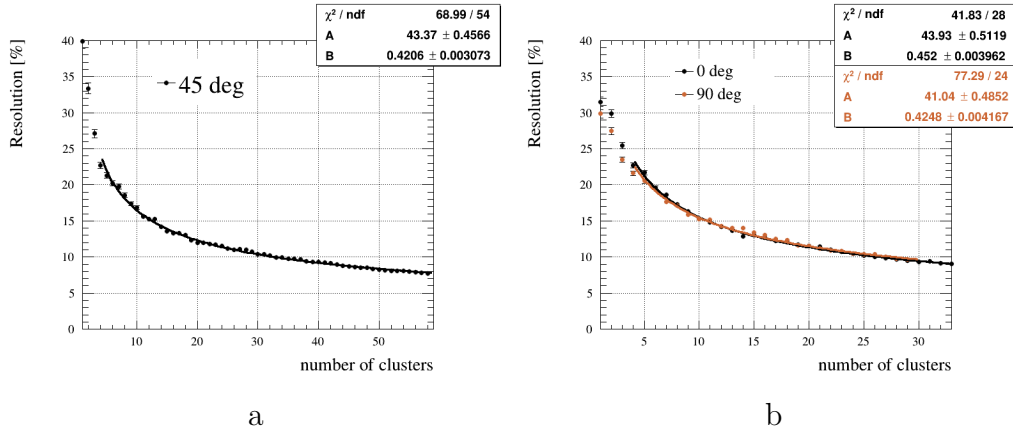


Figure 19: dE/dx resolution versus the number of clusters for runs at a peaking time of 412 ns with a magnetic field of 0.2T applied to the TPC prototype. Diagonal clustering (including dX correction) is used for 45 deg inclined tracks (a). In (b), column clustering is employed for 0 deg horizontal tracks and row clustering for 90 deg vertical tracks (b).

The resulting distribution of the deposited energy resolution as a function of the number of clusters N is then fit with the function: $f(N) = AN^{-B}$. B equal to 0.5 would correspond to a simple \sqrt{N} dependence.

In the case of horizontal or vertical tracks, we observe similar behavior: the horizontal tracks being slightly better because of the larger pad size in the horizontal direction. Extrapolated to two ERAM modules, we obtain a deposited energy resolution of 5.42% using diagonal clustering.

488 9. RC map calculation

489 The quantity controlling the charge spreading over time is the product
 490 RC : R is the surface resistivity of the layer and C the capacitance deter-
 491 mined by the spacing between the anode and readout planes. To have a
 492 better understanding of our detector, we reconstruct the map of RC using
 493 test beam data. This map is crucial to characterize our detector and its uni-
 494 formity, and is also needed in the simulation. To extract these RC values, we
 495 use an analytical model of the charge dispersion, adjusted to the waveforms
 496 measured in the pads. The induced charge on a rectangular pad below the
 497 resistive layer can be calculated by integrating the charge density function
 498 over the pad area [16] :

$$\mathcal{Q}(t) = \frac{q_e}{4} \left[\operatorname{erf}\left(\frac{x_{\text{high}} - x_0}{\sqrt{2}\sigma(t)}\right) - \operatorname{erf}\left(\frac{x_{\text{low}} - x_0}{\sqrt{2}\sigma(t)}\right) \right] \left[\operatorname{erf}\left(\frac{y_{\text{high}} - y_0}{\sqrt{2}\sigma(t)}\right) - \operatorname{erf}\left(\frac{y_{\text{low}} - y_0}{\sqrt{2}\sigma(t)}\right) \right] \quad (5)$$

499 with q_e is the initial charge, (x_0, y_0) the track position, x_{high} , x_{low} , y_{high} , y_{low}
 500 the pad boundaries. In the denominator $\sigma(t) = \sqrt{(2t/\tau) + \omega^2}$, the term
 501 $\tau = RC$ where R is the surface resistivity of the layer and C the capacitance
 502 determined by the spacing between the anode and readout planes. Finally,
 503 ω is associated to the transverse diffusion term.

504
 505 To compare to data, the characteristics of the front-end charge pream-
 506 plifiers need also to be included. Longitudinal diffusion increases the size of
 507 electron charge clusters in the drift direction. The longitudinal diffusion is
 508 neglected here since we have only 15 cm drift distance. The parameterization
 509 of the electronics shaping time effects $\mathcal{I}(t)$ is obtained from the simulation.
 510 The convolution of $\mathcal{I}(t)$ and $\mathcal{Q}(t)$ results in the full theoretical model, which
 511 is compared to the data. This convolution is handled numerically. The fit is
 512 based on clusters of pads perpendicular to the track. Each cluster consists in
 513 a so-called leading pad collecting essentially the initial charge deposit, and
 514 in so-called neighbor pads sensitive mostly to the induced charge due to the
 515 resistive effect, as shown in Figure 4.

516
 517 The fit procedure is as follows: we first fit the leading pad waveform with
 518 the electronics response function $\mathcal{I}(t)$, then we fit simultaneously the two

519 neighboring pads waveform with a convolution of $\mathcal{I}(t)$ with $\mathcal{Q}(t)$ in order to
 520 extract RC .

521 Then simultaneous fit of two neighboring pads waveform use separate
 522 $\mathcal{Q}(t)$ functions, as the distance to the track can be different for the two pads,
 523 but we consider RC and the electronics response parameters as common in
 524 the fit. Since we are using horizontal tracks, we can only fit the y_0 -position
 525 of the track and we do not have any constraints on its x -position. The track
 526 position y_0 is obtained with the PRF χ^2 minimization method (see equation
 527 (4)). Figure 20 shows an example of waveform fit results for the leading pad
 528 and its neighbors.

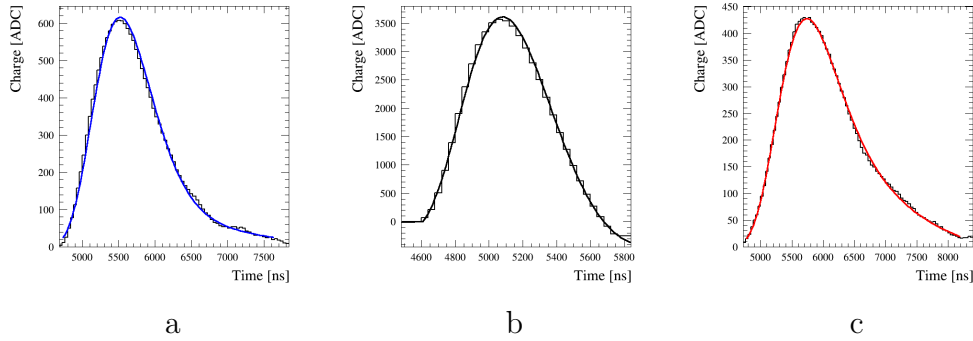


Figure 20: Example of waveform fit results for the leading pad (b) and its neighbors (a) and (c) in a given cluster.

529 Another method can be used to cross-check the RC values obtained with
 530 the analytical model. This alternative method studies two parameters related
 531 to the signal propagation: the time at which the signal in neighbour pads is
 532 maximal and the ratio of amplitudes of the neighbor pads and the leading
 533 pad. The time difference between the leading pad and one of its neighbor pad
 534 is found to be proportional to RC as can be seen in the following formula:
 535 $\Delta t_1 - \Delta t_2 = RC \times L \times y_0$, with L the pad length and y_0 the track position
 536 and $\Delta t_{1,2} = t_{\text{Leading Pad}} - t_{\text{Neighbor}}$ the time difference between the leading pad
 537 and the neighbor pad.

538
 539 The RC maps obtained using the analytical model and the time difference
 540 method described above are shown in Figure 21. Both methods give results
 541 of the same order of magnitude though the second method is less precise
 542 than the fit procedure. A non-uniformity of RC up to 30% is observed using

both methods. This non-uniformity is confirmed with the observation of the charge collected in the second to leading pad. The charge observed in the leading pad is uniform across the detector while the measurements in the neighbours $Q_{\text{second}}/Q_{\text{leading}}$ demonstrate fluctuations, especially in the downstream detector region (Figure 22). Lower charge fraction is consistent with the higher RC value.

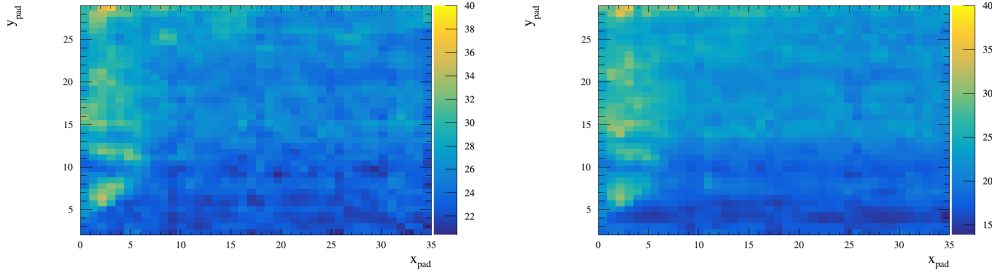


Figure 21: The RC map obtained using the fit from the analytical model (left) and the time difference method (right) described in the text.

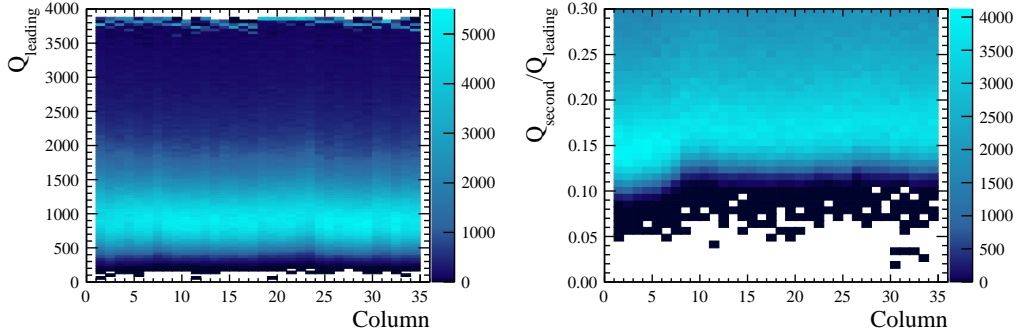


Figure 22: The distribution of the charge in the leading pad (left) and the charge fraction in the second to leading pad (right) versus the column for horizontal beam tracks.

10. Conclusions

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