



**SORBONNE
UNIVERSITÉ**



Comité de thèse 2021

NGUYEN Quoc Viet

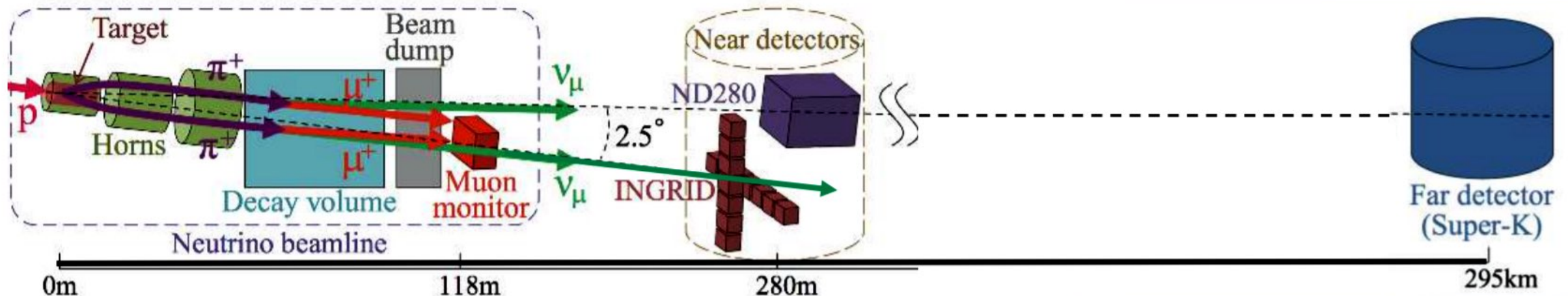
LPNHE, Paris

Supervisor: Boris Popov

Content

- T2K and Near Detector upgrade introduction.
- Quantitative sensitivities to neutrino-nuclei interaction mode
- Compare the cross section used by NEUT and the one from Martini model
- Summary

T2K experiment



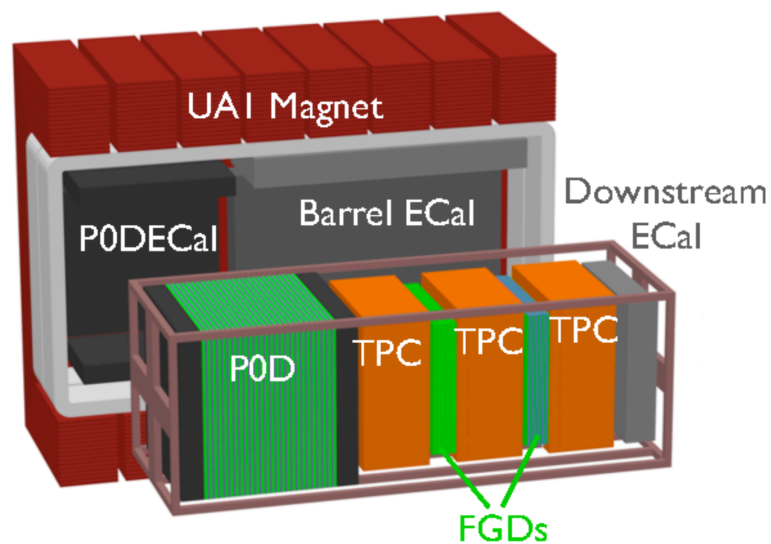
T2K (Tokai to Kamioka) is a long-baseline neutrino experiment in Japan, and is studying neutrino oscillations.

T2K goals:

- Precise measurements of oscillation parameters ($\theta_{23}, \theta_{13}, \Delta m_{32}^2$)
- Searching for the CP violation in the lepton sector by comparing the appearance probabilities of electron neutrino and electron antineutrinos.
- Neutrino mass hierarchy

T2K is under an upgrade program for the near detector ND280 (upgraded ND280)

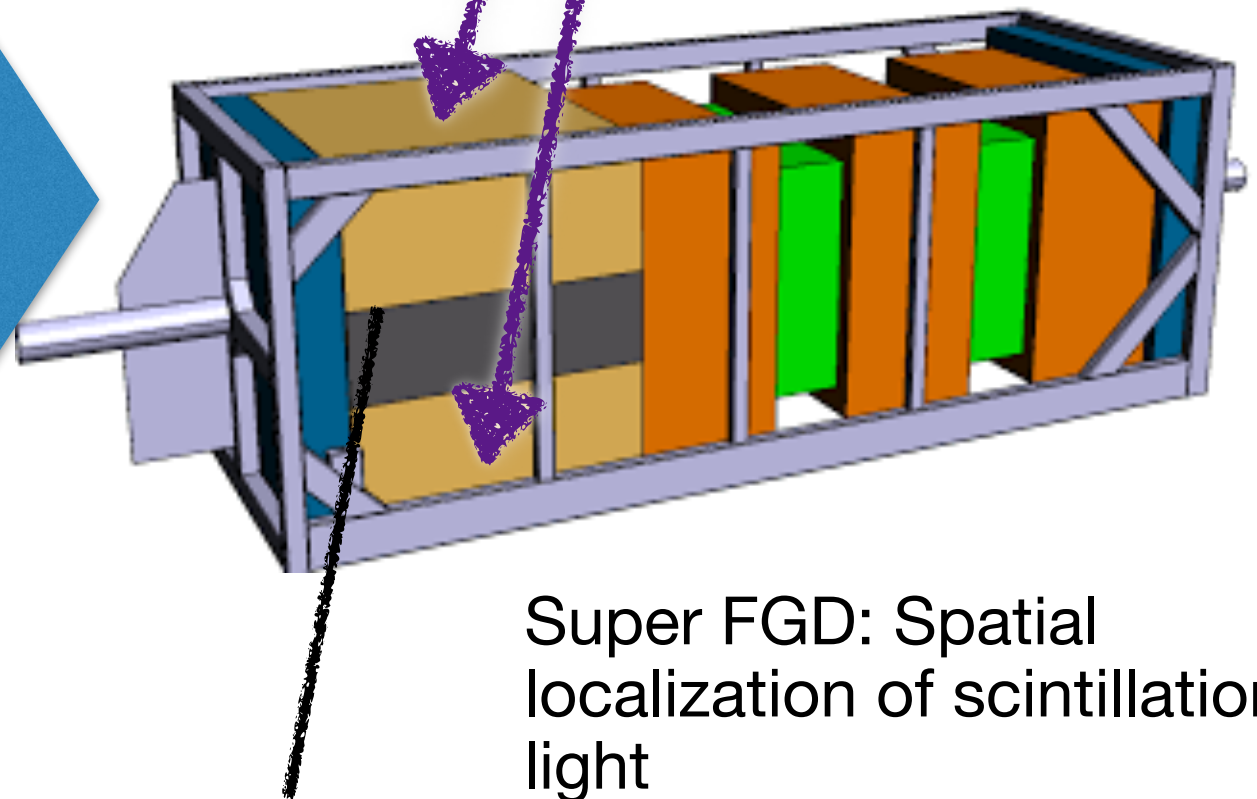
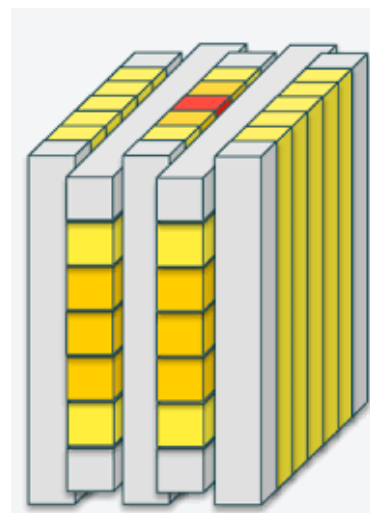
ND280 Upgrade



FGD: fine grained detector

Good acceptance only
for forward tracks

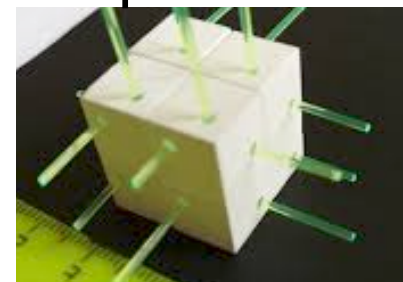
plastic scintillators
made by long bars
—> poor angular
acceptance



High Angle (HA) TPC:
Improve acceptance for
high angle tracks

Super FGD: Spatial
localization of scintillation
light
-> Improve reconstruction
of hadrons and low
momentum leptons

Super FGD



Plastic scintillator
1x1x1 cm³ cubes

FGD is the target of
ND280. Double the mass
of detector=> more
statistic

Physics Studies for ND280 upgrade

Quantitative sensitivities to neutrino-nuclei interaction mode

CP violation: precisely measure the oscillation probability of ν and $\bar{\nu}$

Larger statistic

Better systematic uncertainties

Beam upgrade

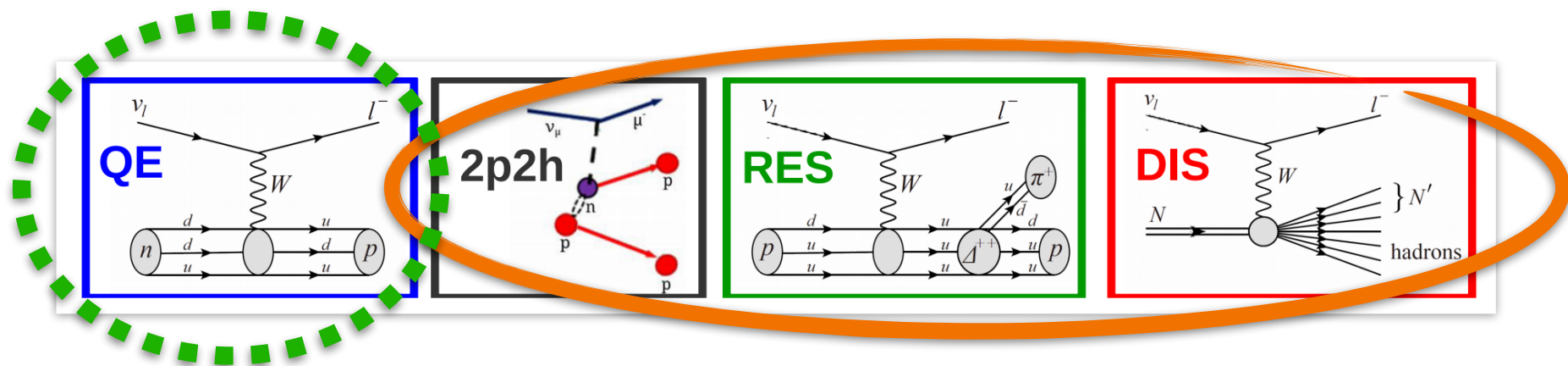
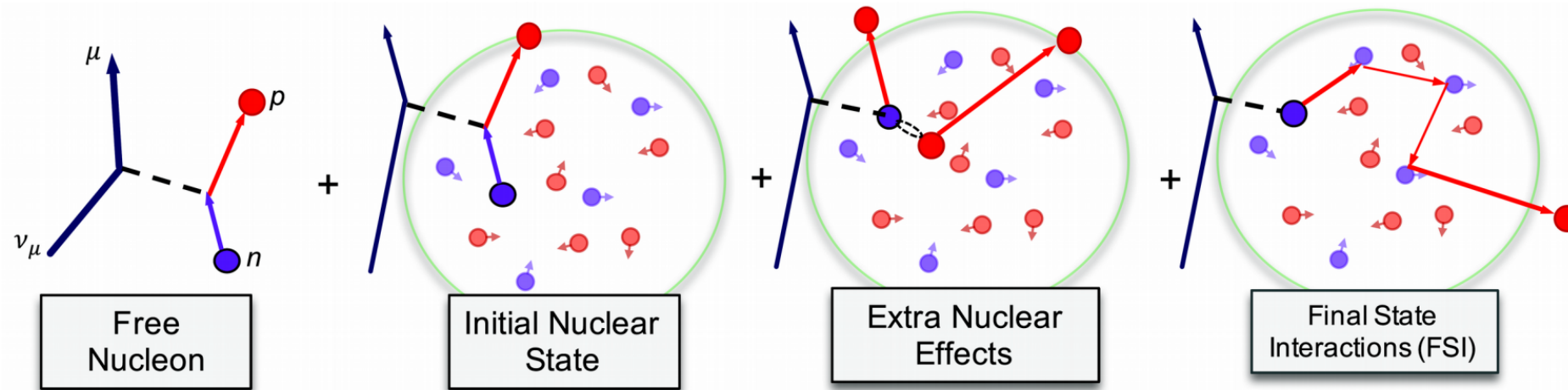
Hyper
Kamiokande

Neutrino flux

ν -nucleus
interaction
models

Biggest
systematic
uncertainties
comes from
here

Neutrino-nucleus interaction



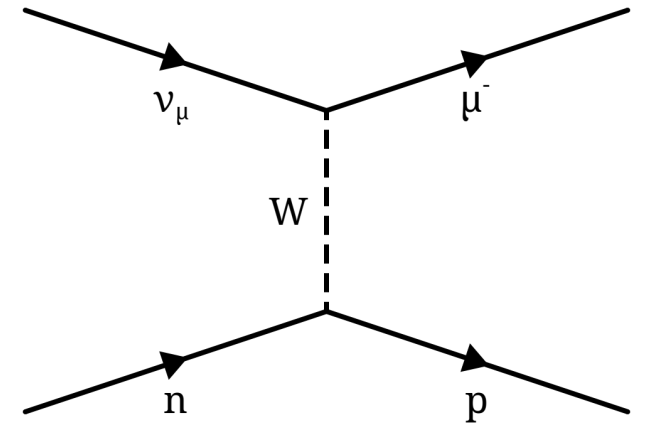
SuperK select single ring events, mostly populated by Charge Current Quasi-Elastic (CCQE) events. Neutrino energy reconstruction is based on the CCQE kinematics

$$E_\nu = \frac{m_p^2 - m_\mu^2 + 2E_\mu(m_n - E_b) - (m_n - E_b)^2}{2[(m_n - E_b) - E_\mu + p_\mu \cos \theta_\mu]}$$

Unfortunately, sometime the hadronic part is below Cherenkov threshold and hence not reconstructed. These modes could mimic CCQE events. In these cases the energy reconstruction will give biases.

near detector ND280 need to carefully model the QE and the non-QE interactions

Current difficulties

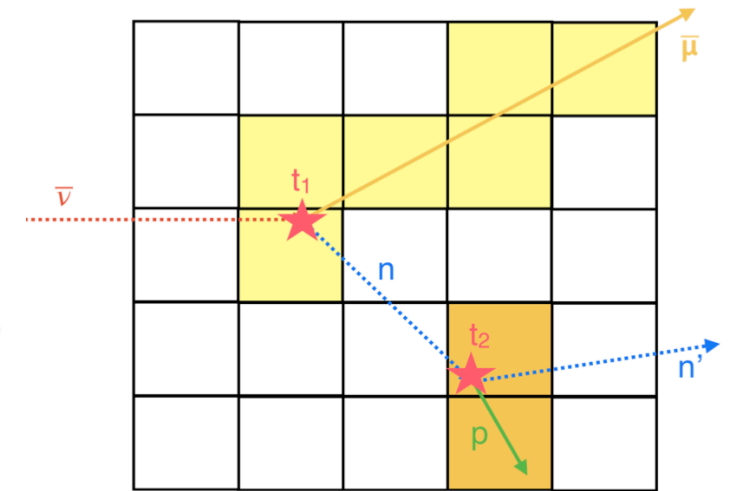
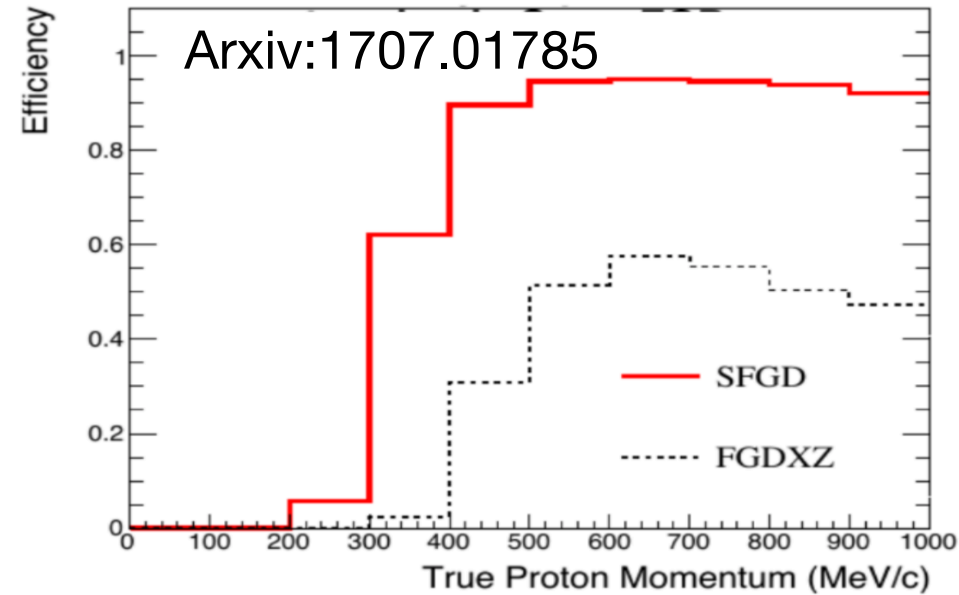
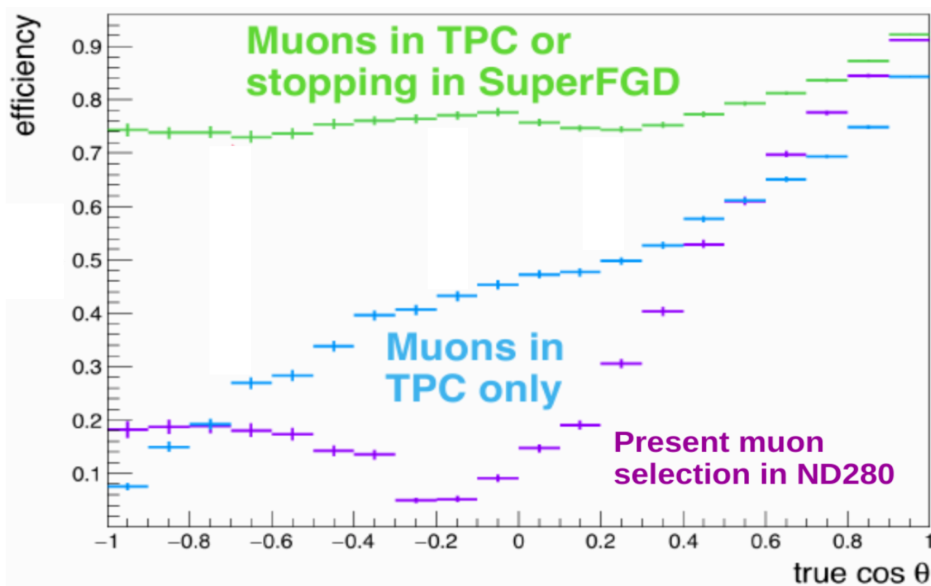


- Limitation: We only use the kinematic of outgoing lepton from ν interaction.
- Things affecting the oscillation measurements
 1. Fermi momentum of initial nucleus.
 2. Binding energy to extract nucleon from target nucleus.
 3. Component of non quasi-elastic events without pion in the final state such as 2p2h, Pion production.

The code to constrain the the QE and the non-QE model interactions

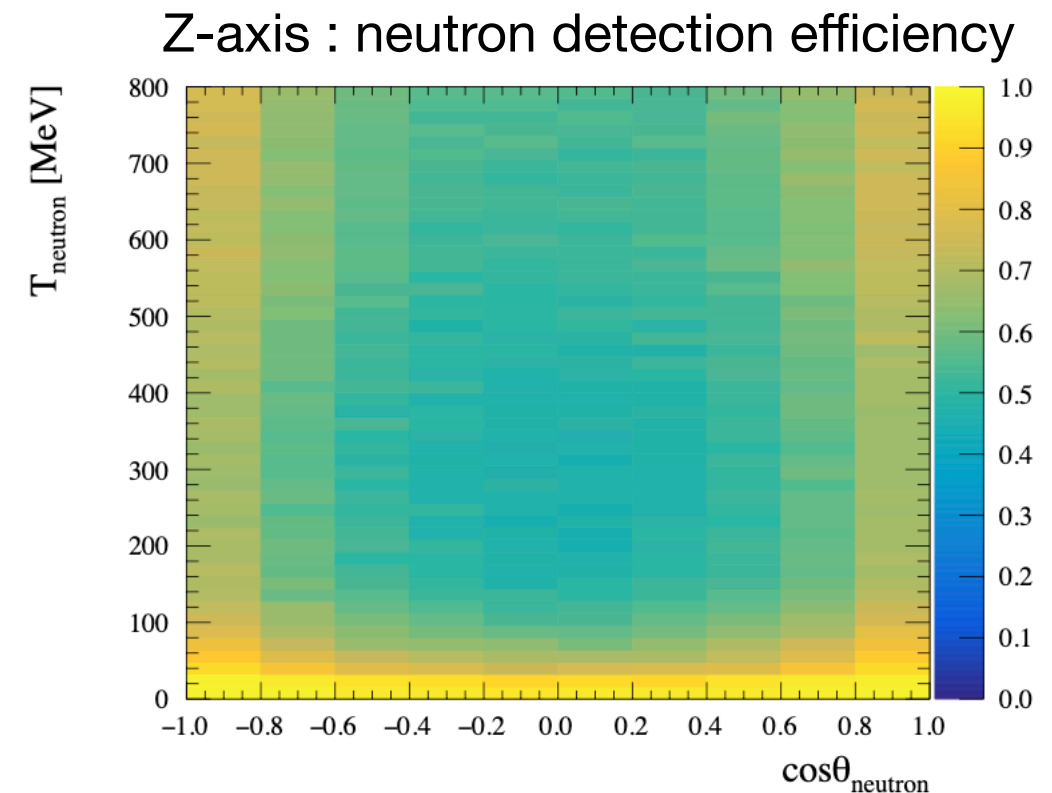
- We do a likelihood fit to evaluate sensitivity of the upgrade to flux and cross-section model by exploiting Single Traverse Variables (STVs)
- The fitter aims to find the quantitative sensitivities to key systematic uncertainties such as CCQE, 2p2h, Proton Final State Interaction (FSI), Hydrogen normalisation.
- This provides a broad estimate of the sensitivity of future near detector fits using ND280 Upgrade.
- We are using the fake data, the Monte Carlo is generated by NEUT generator. In this talk I will mention my studies with Spectral Function (SF) model. At this state we are looking at both neutrino and antineutrino as well.

Better efficiency



- Upgraded ND280 helps to better measure high angle tracks, lower momentum particles, and better reconstruction of the hadronic part.
=> It is thus more sensitive to important nuclear effects for our oscillation analyses.

- Events selection: CC0 π
Neutrino interaction: $\mu^- + p$ in the final state
Anti neutrino interaction: $\mu^+ + n$ (with TOF in SFGD) in the final state.
 1×10^{21} POT in nu mode => 51k events
 1×10^{21} POT in anti-nu mode => 11k events pass the selection



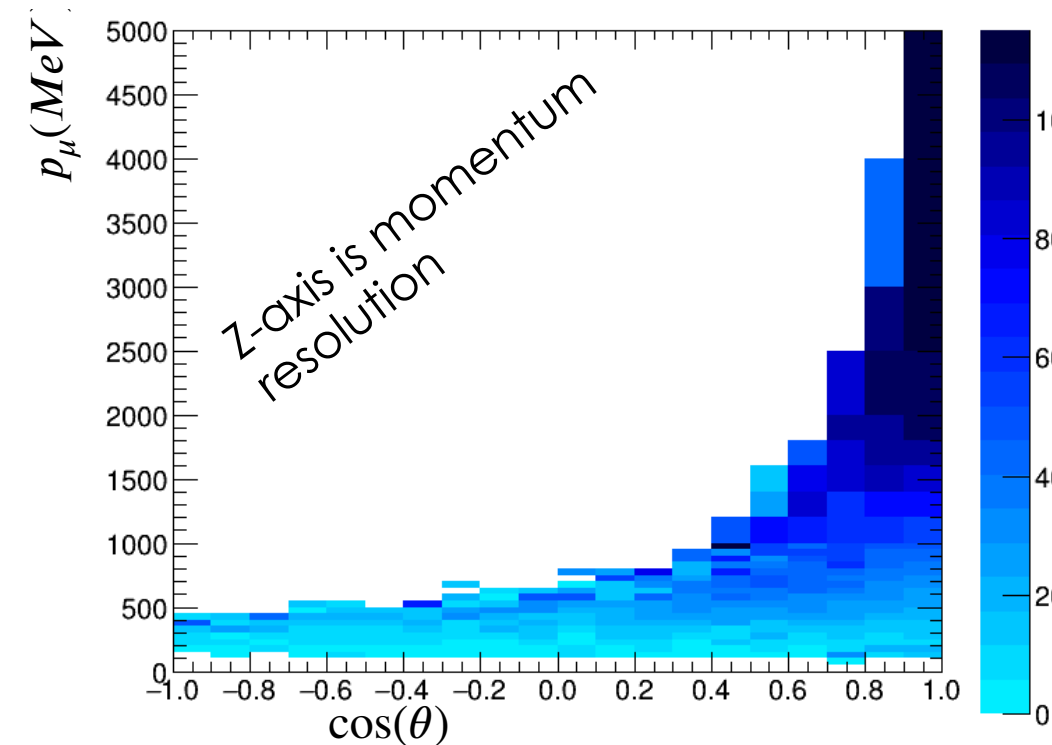
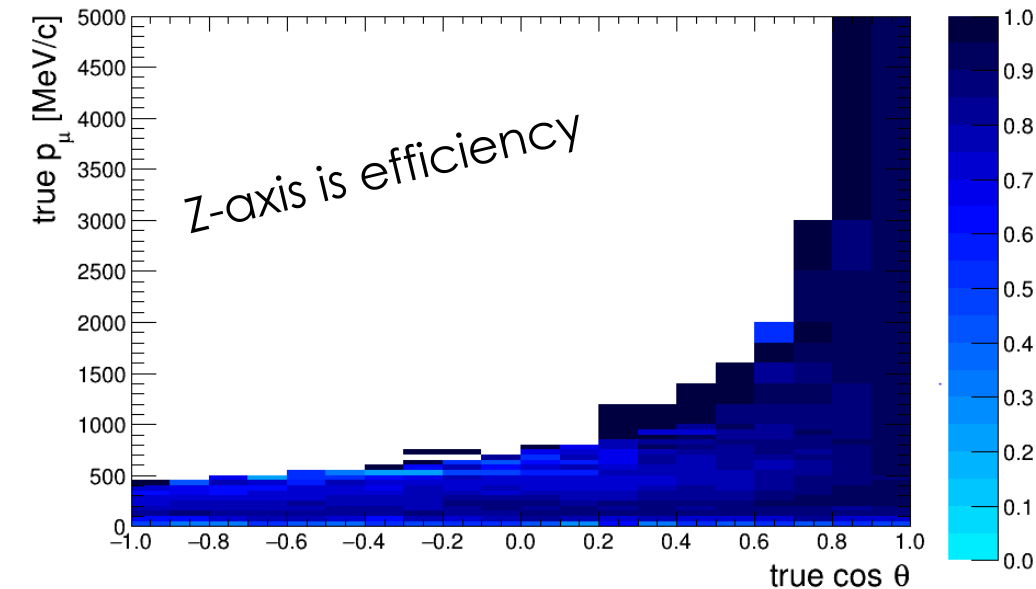
Detector performance Inputs

Event
Generators



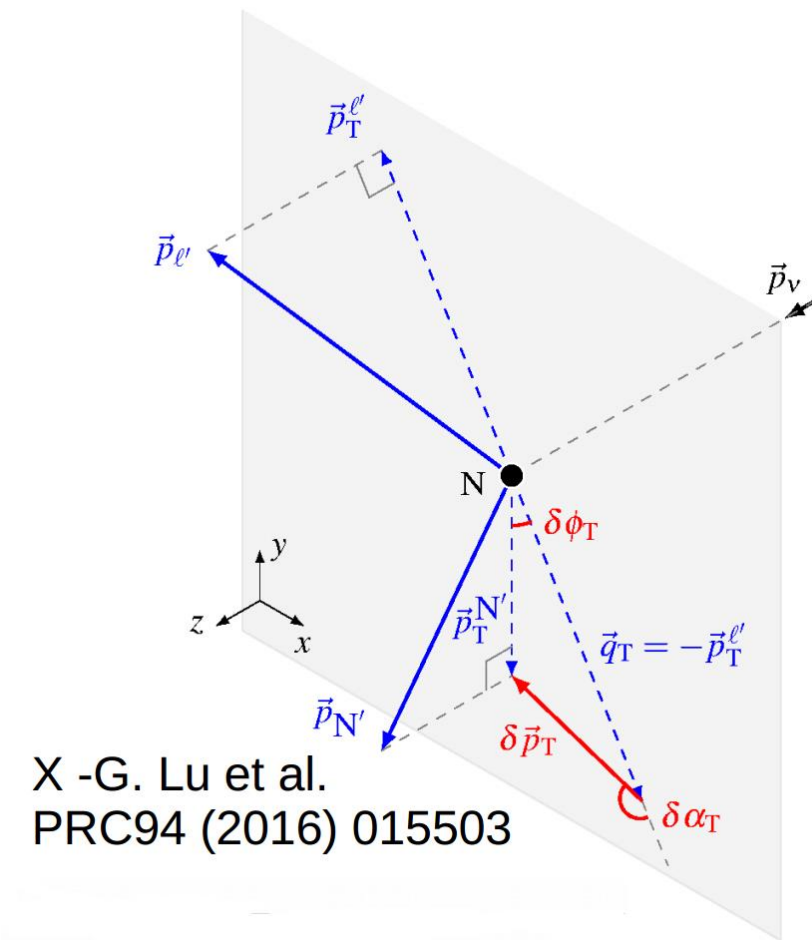
- We do not yet have a full detector simulation for ND280 Upgrade
- We take generator output and apply smearing + efficiencies based on expected detector performance
- Quasi-realistic detector performance
 - ✓ We have reasonable resolutions and thresholds
 - ✓ We simulate genuine backgrounds from untracked particles

Apply Smearing + Efficiencies



X Assumes perfect PID, some inputs are currently quite approximate (Work in Progress)

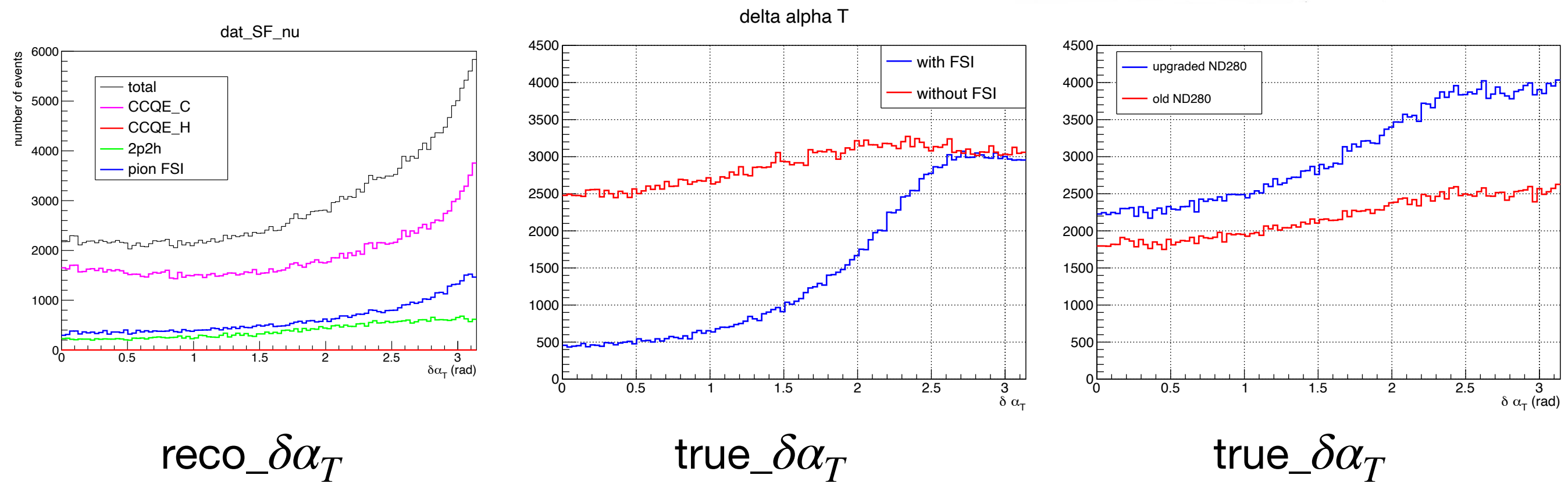
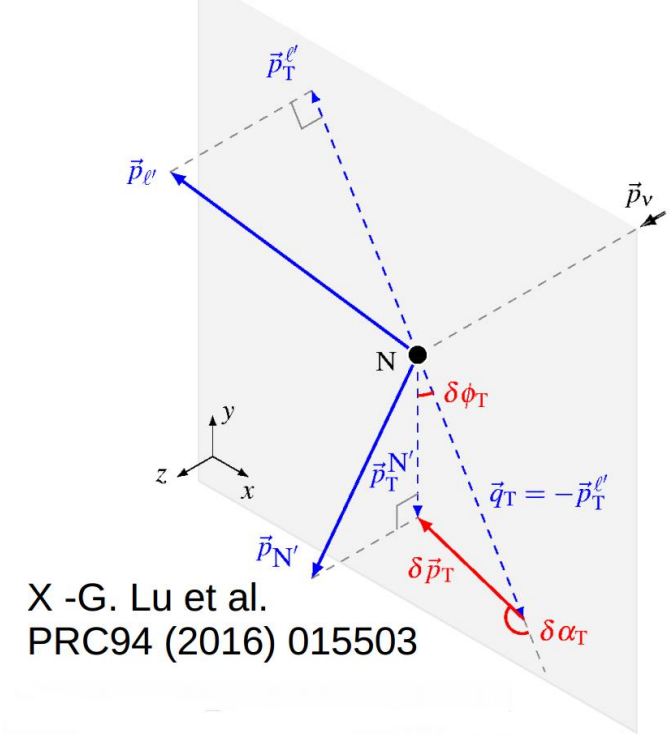
Input



- 2D histograms of Single Transverse Variables $\delta\alpha_T$, δp_T , nucleon fermi momentum (pn), visible energy (Evis).
- These are **reconstructed including detector effects (smearing and efficiency)**. The detector effects are simulated on the basis of TDR simulation.
- Focus on **CC0 π** events
CC0 π = CCQE+2p2h+RES Pion Absorption(FSI)+ RES Pion undetected.

Input for fitter ($\delta\alpha_T$)

$$\bullet \delta\alpha_T = \arccos \left(-\frac{\mathbf{p}_T^\mu \cdot \delta\mathbf{p}_T}{p_T^\mu \delta p_T} \right),$$

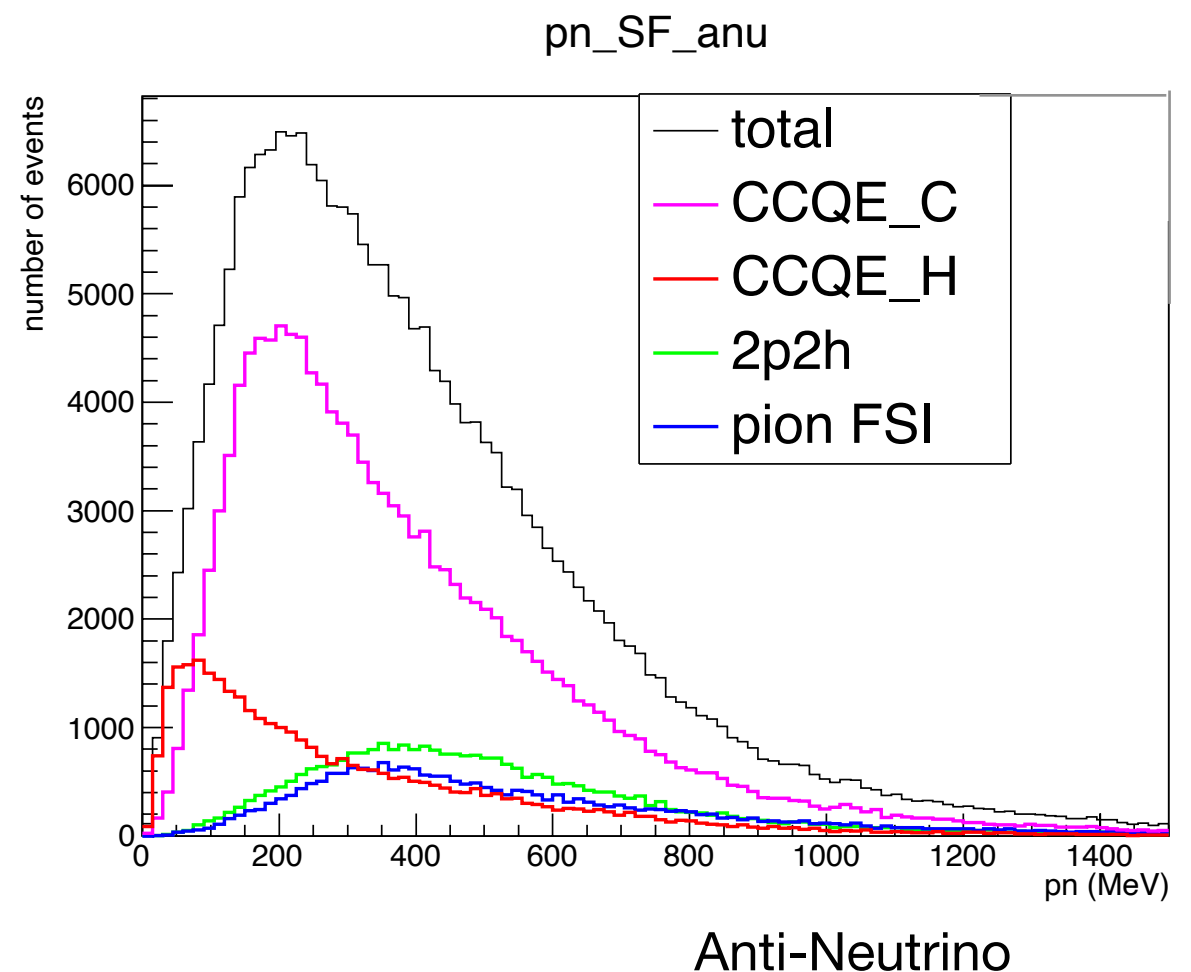
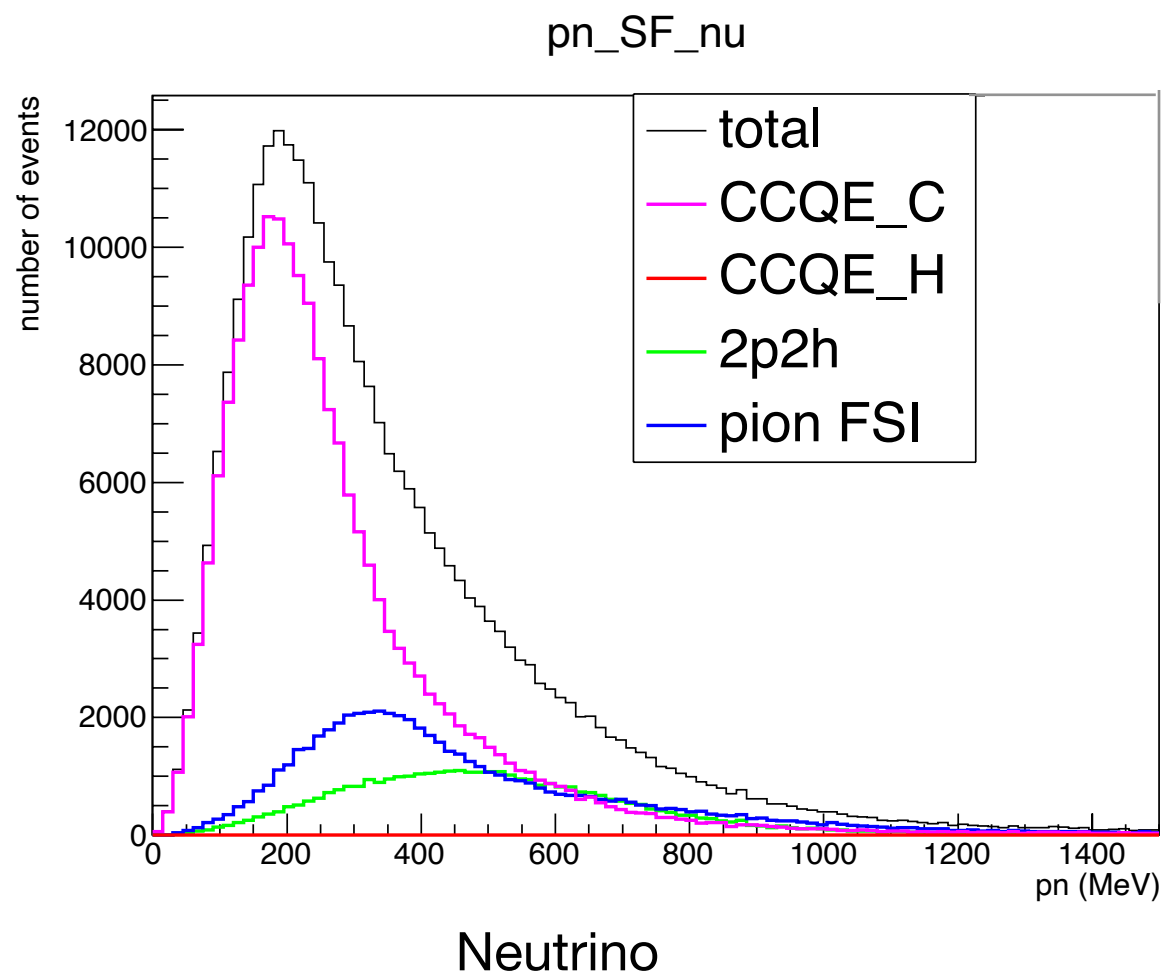


$\delta\alpha_T$ is the variable that is sensitive to the hadron FSI. Without the FSI, the $\delta\alpha_T$ distribution is expected to be flat because of the momentum conservation.

In reality, the FSI usually slows down the hadrons, which will result in a bigger $\delta\alpha_T$. Consequently, by looking at the shape of $\delta\alpha_T$ distribution, one can extract the strength of FSI.

Input for fitter (Reconstructed Nucleon momentum)

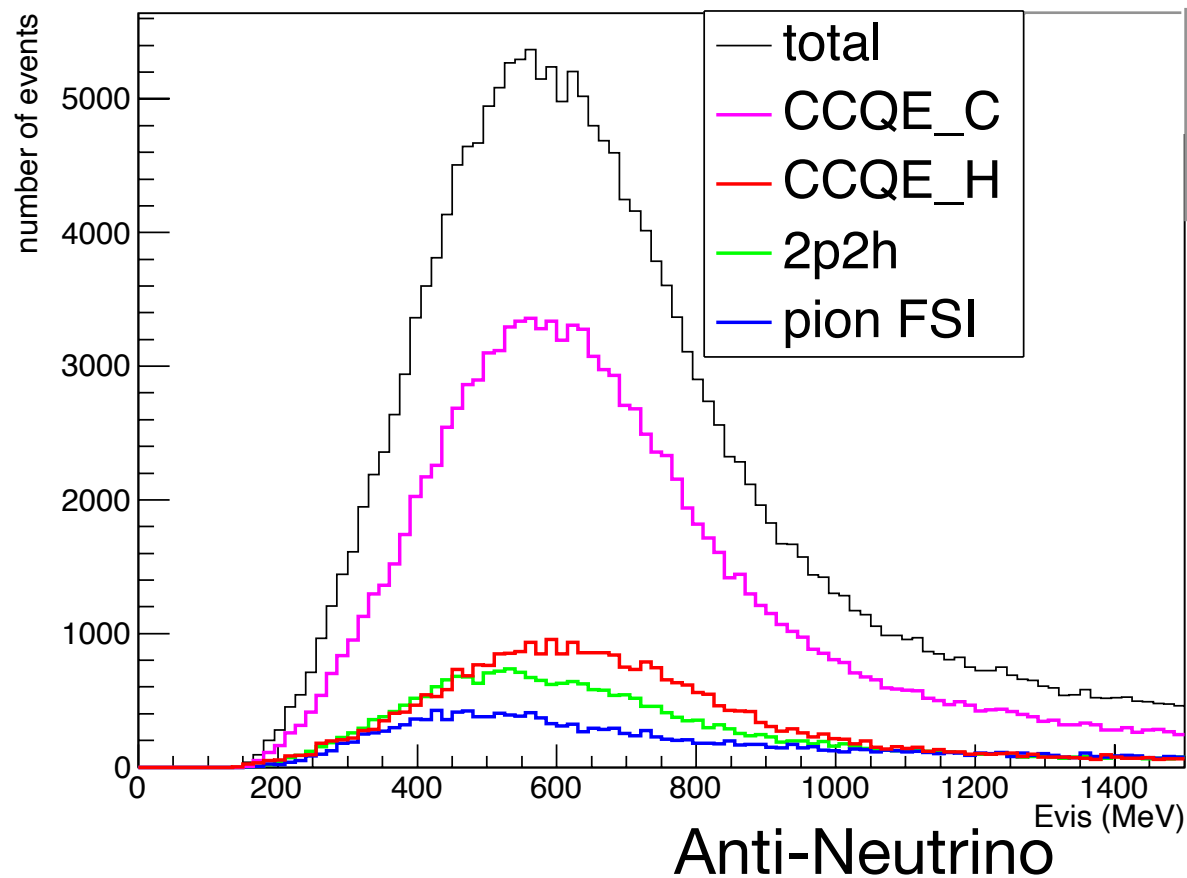
- The peaks are at around 200MeV (CCQE) which is the expectation
- In anti-neutrino we have a broader Pn distribution due to the better reconstruction of protons compared to that of neutrons in the final state.



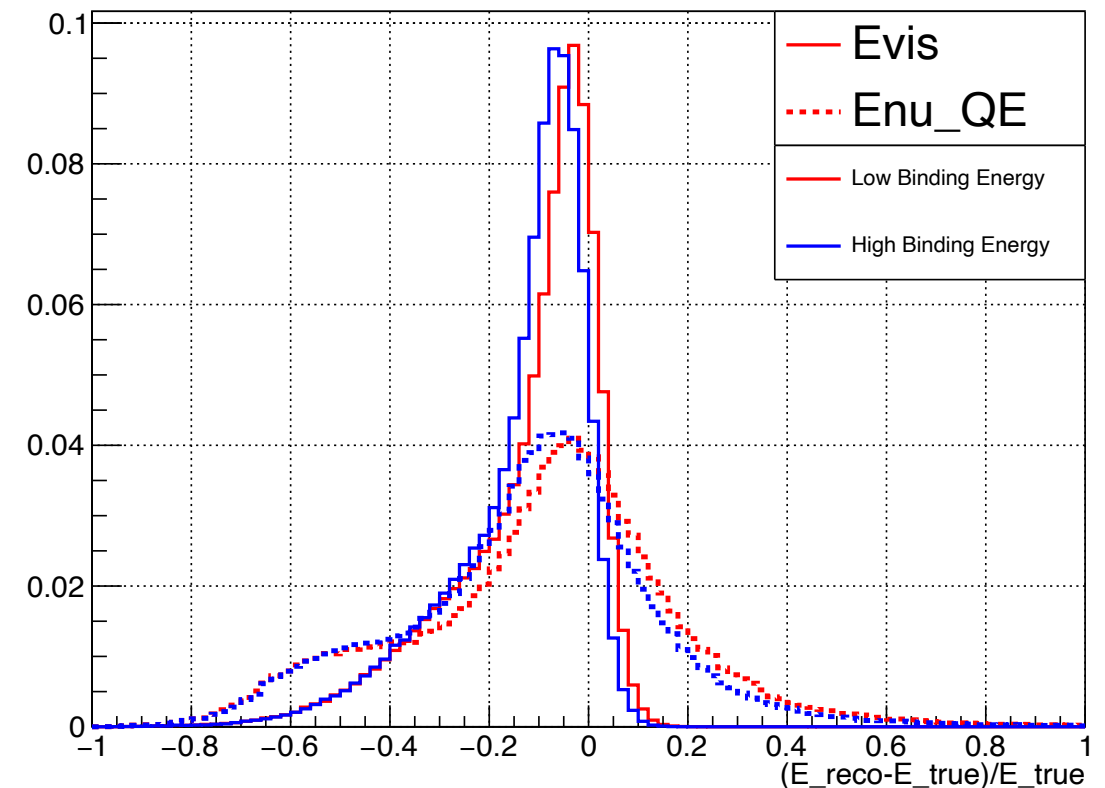
New input for fitter (Visible Energy)

- $E_{\text{vis}} = E_{\mu} + T_p$ (nu interaction)
 $E_{\text{vis}} = E_{\mu} + T_n$ (anti-nu interaction)
=> good estimator for neutrino energy
- Peak around 600 MeV, shape similar to the one of the T2K flux

$E_{\text{vis_SF_anu}}$



Normalisation of $(E_{\text{reco}} - E_{\text{true}})/E_{\text{true}}$



Evis is a better estimate of true Enu compared to Enu_QE

Systematic included in fitter

- 2p2h
+2p2h_c1 < 600MeV
+2p2h_c2 > 600MeV
- CCQE (modelled in Spectral Function)
+P Shell normalisation
+S Shell normalisation
+Short Range Correlation (SRC)
- Pion Absorption normalisation
- Pion Background normalisation
- Strength of proton Final State Interaction (FSI)
- Eb: binding energy shift in SF model
- Hydrogen interaction normalisation
(in anti-neutrino mode)
- Flux covariance uncertainty

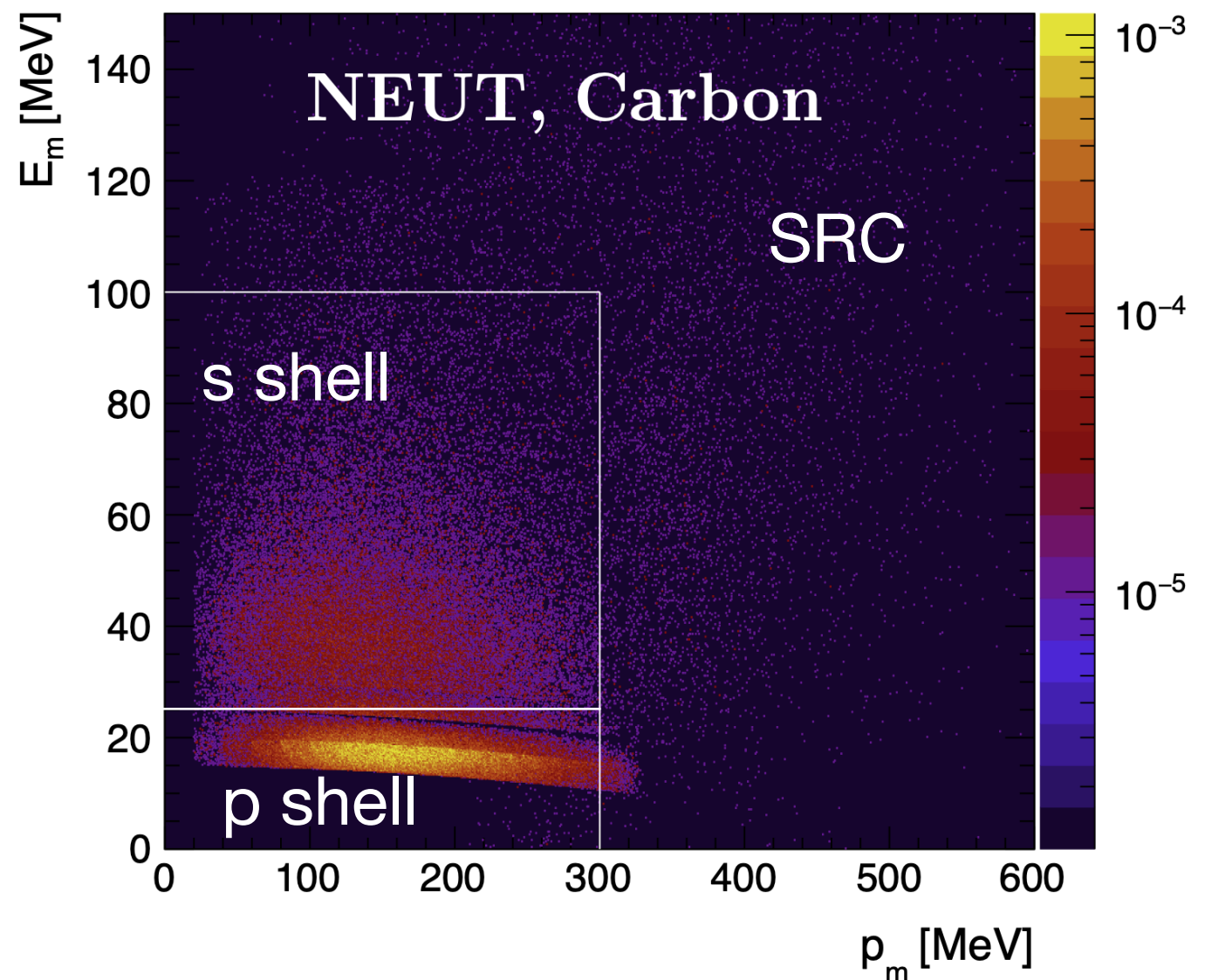
In red: new systematic

CCQE model systematic

Spectral Function

P shell and S shell: Independent nucleons, moving in a mean-field potential within the shell-model picture => **One outgoing nucleons from the primary vertex**

Short Range Correlations (SRC)
pairs of strongly-correlated nucleons (20%)
=> **Two outgoing nucleons from the primary vertex**



P Shell: $E_{\text{miss}} < 25 \text{ MeV}$ & $p_{\text{miss}} < 300 \text{ MeV}$
S Shell: $25 \text{ MeV} < E_{\text{miss}} < 100 \text{ MeV}$ & $p_{\text{miss}} < 300 \text{ MeV}$
SRC: $E_{\text{miss}} > 100 \text{ MeV}$ or $p_{\text{miss}} > 300 \text{ MeV}$

To evaluate the uncertainty on CCQE normalisation due to SF model, we throw toys from post-fit errors and covariance matrix and compute number of CCQE from each toy

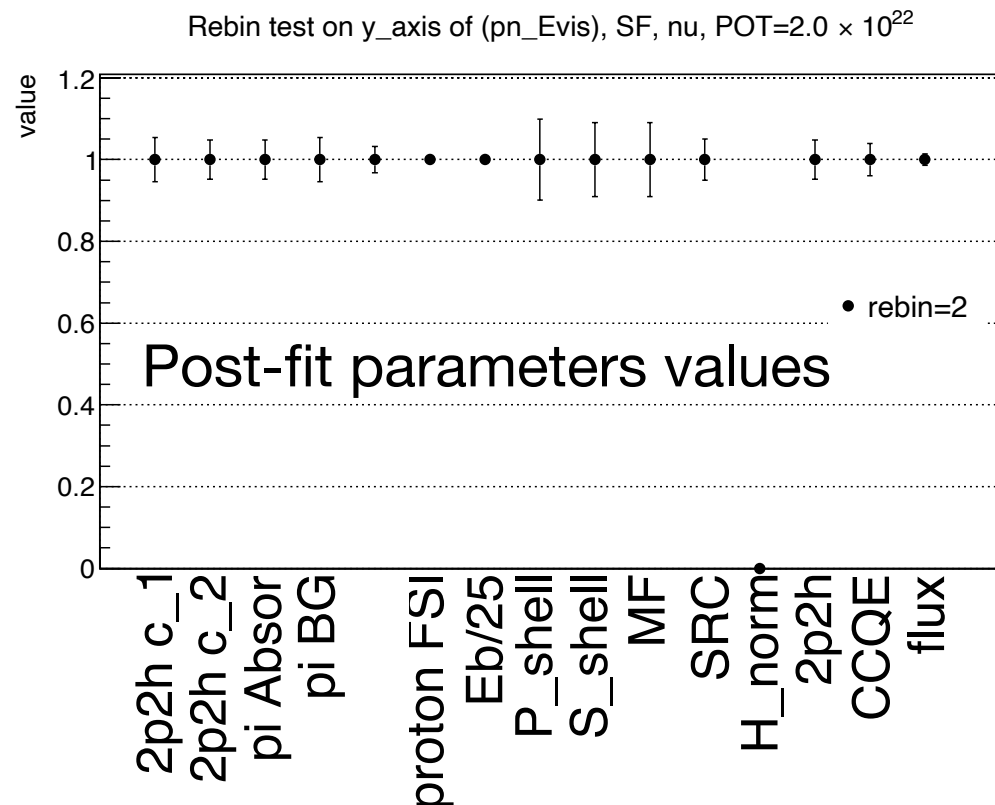
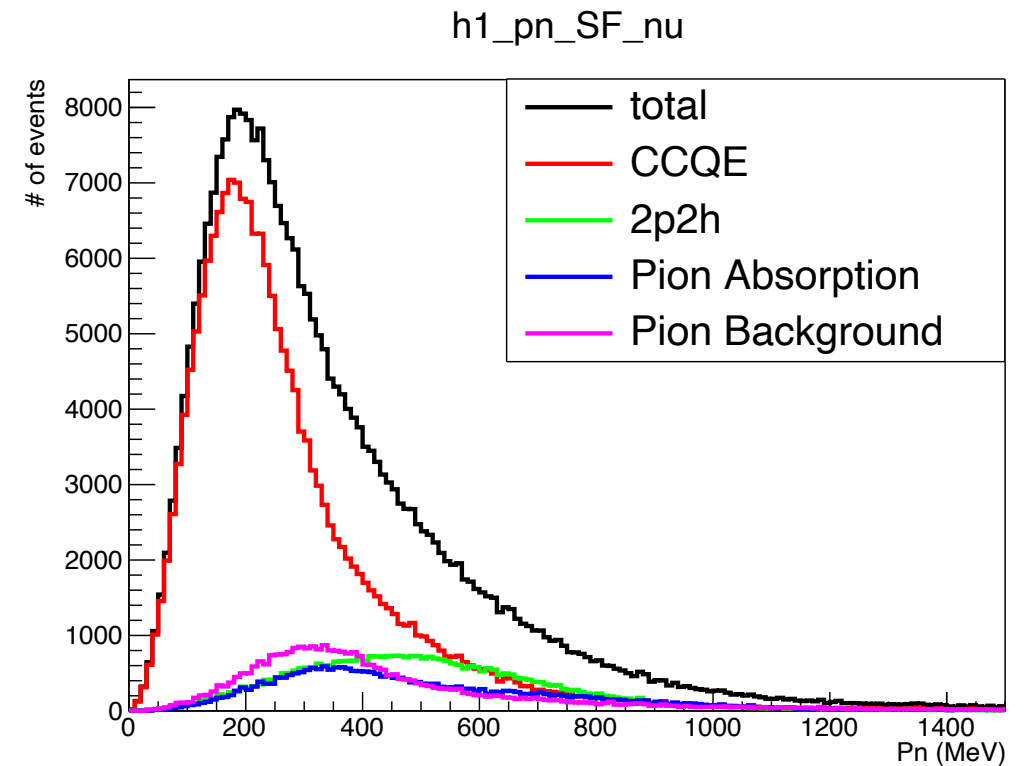
Each region (SRC, Pshell, Sshell) is treated as a normalisation systematics

Fit results

We are using the TMinuit.

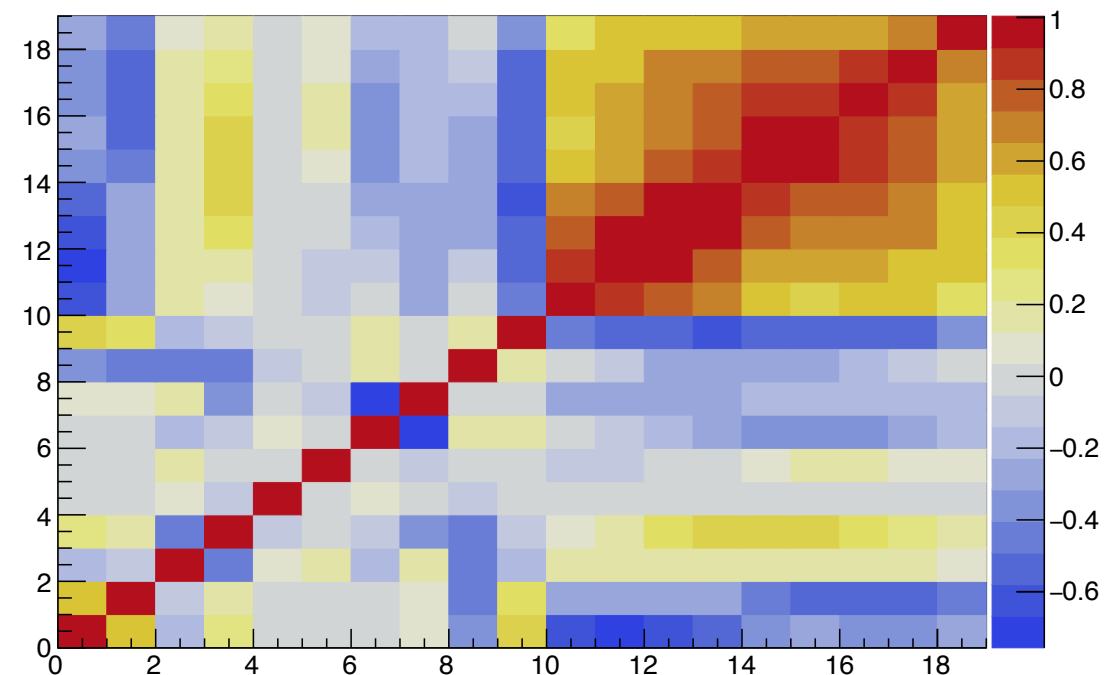
Each time we're just doing Asimov fit. We just fit the MC to itself in order to determine the sensitivity

All plots here are shown in neutrino fit. => No Hydrogen



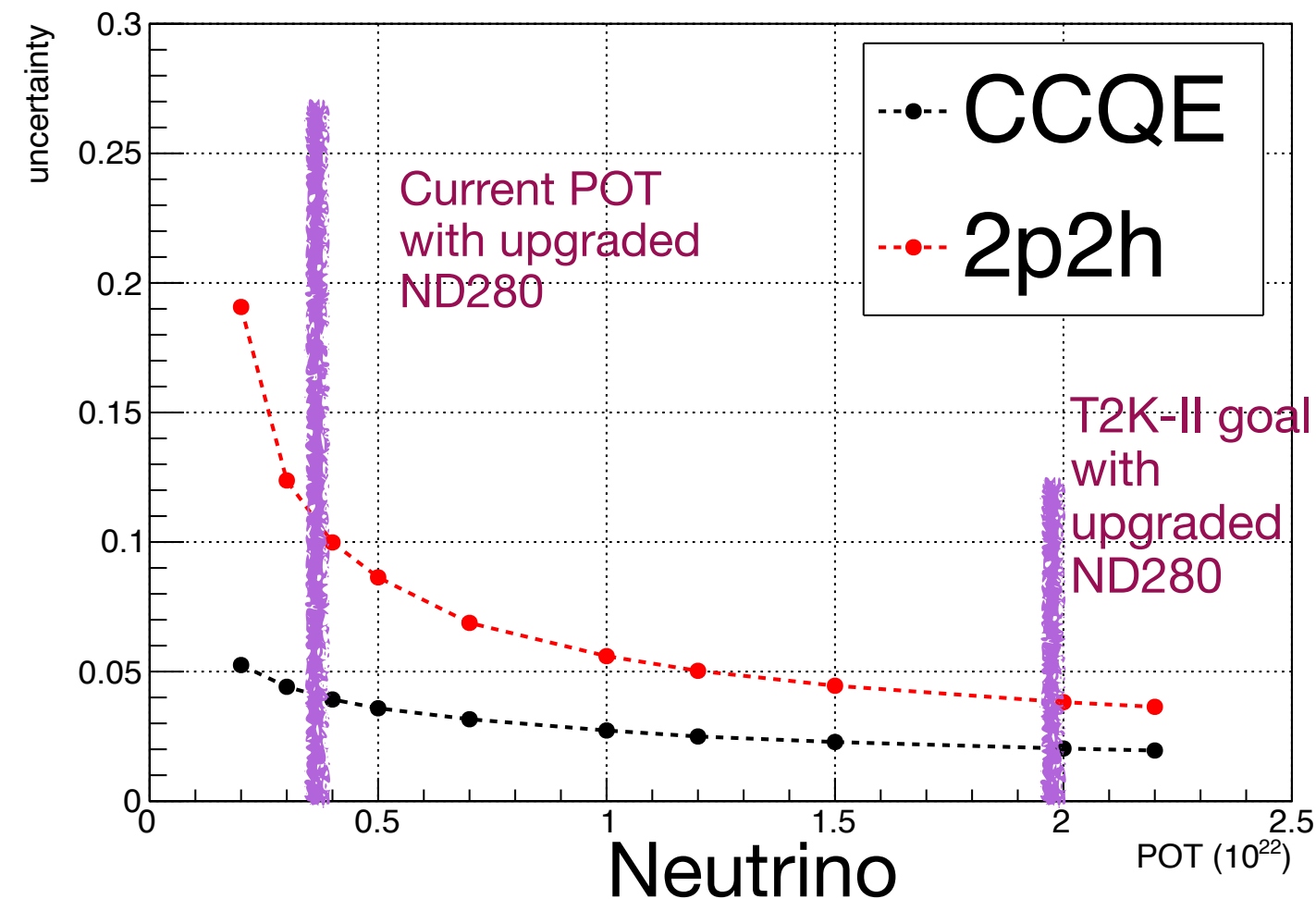
0->1: 2p2h
2: pion Absorp
3: pion Bg
4: proton FSI
5: Eb
6: P Shell
7: S Shell
8: MF
9: SRC
≥10: flux

Correlation matrix (pn_Evis, nu fit)



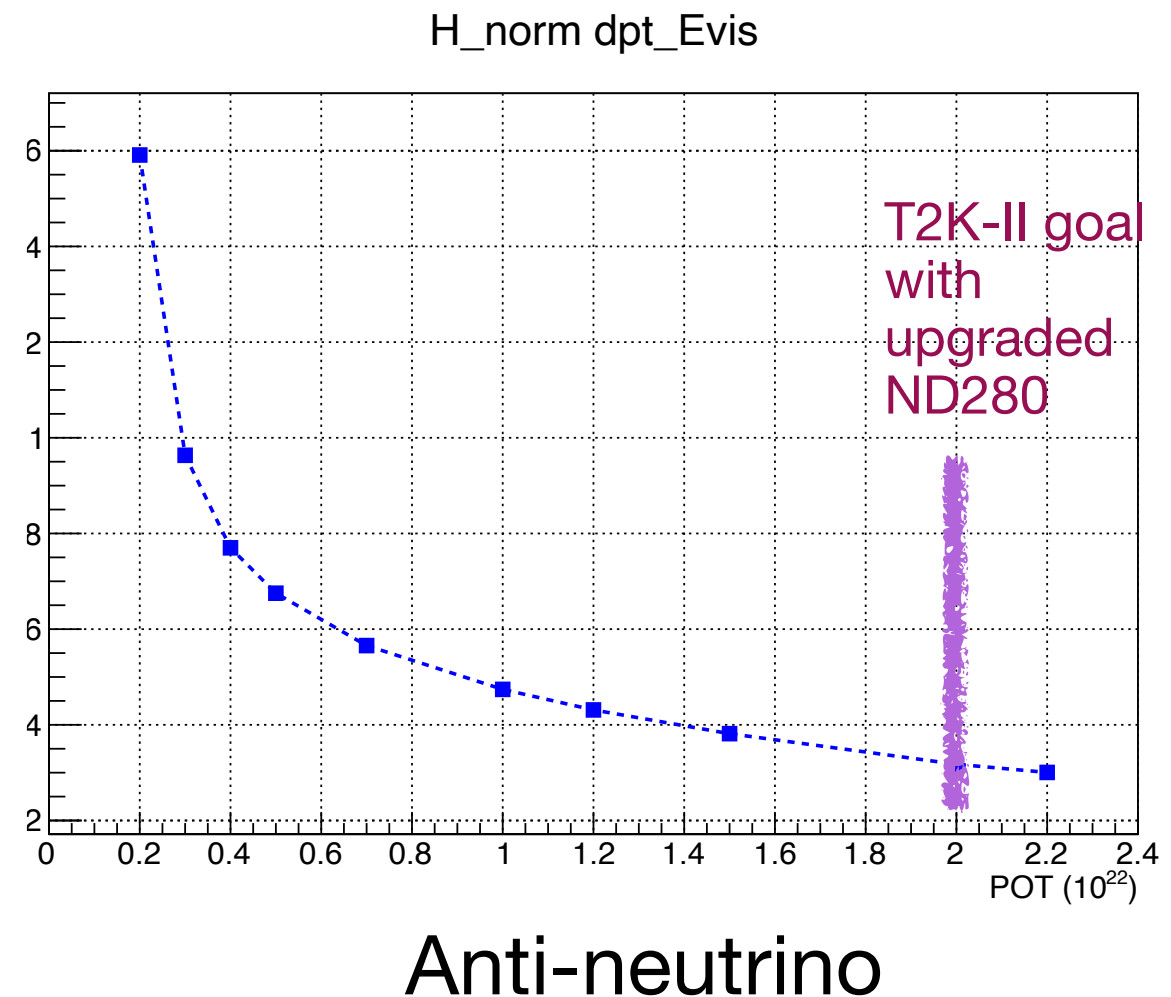
CCQE, 2p2h, Hydrogen uncertainty

dpt_Evis_SF_nu_rebin_2_2



At 1×10^{22} POT : 2p2h uncertainty 5.6%
CCQE uncertainty 2.7%

without ND we would have ~100%
uncertainty on the 2p2h normalisation



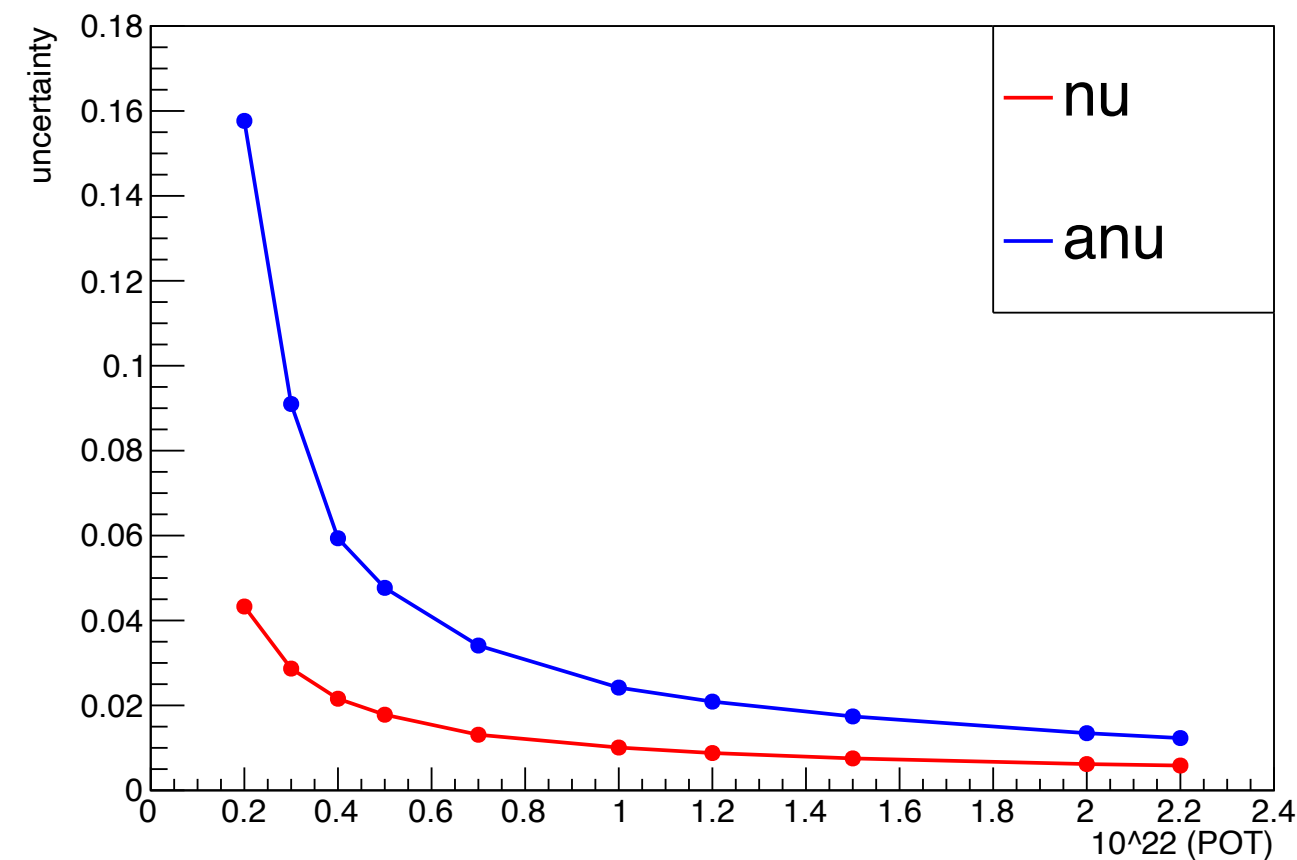
At 1×10^{22} POT : H_norm : 4.7%

The target of the neutrino beam contains Carbon and Hydrogen, while the Hydrogen does not have the nuclear effects. It's important to constrain the Hydrogen interaction component.

Constraint for hadron FSI and binding energy

- At 1×10^{22} POT, uncertainty of Hadron FSI is
1% for neutrino
less than 4% for anti-neutrino

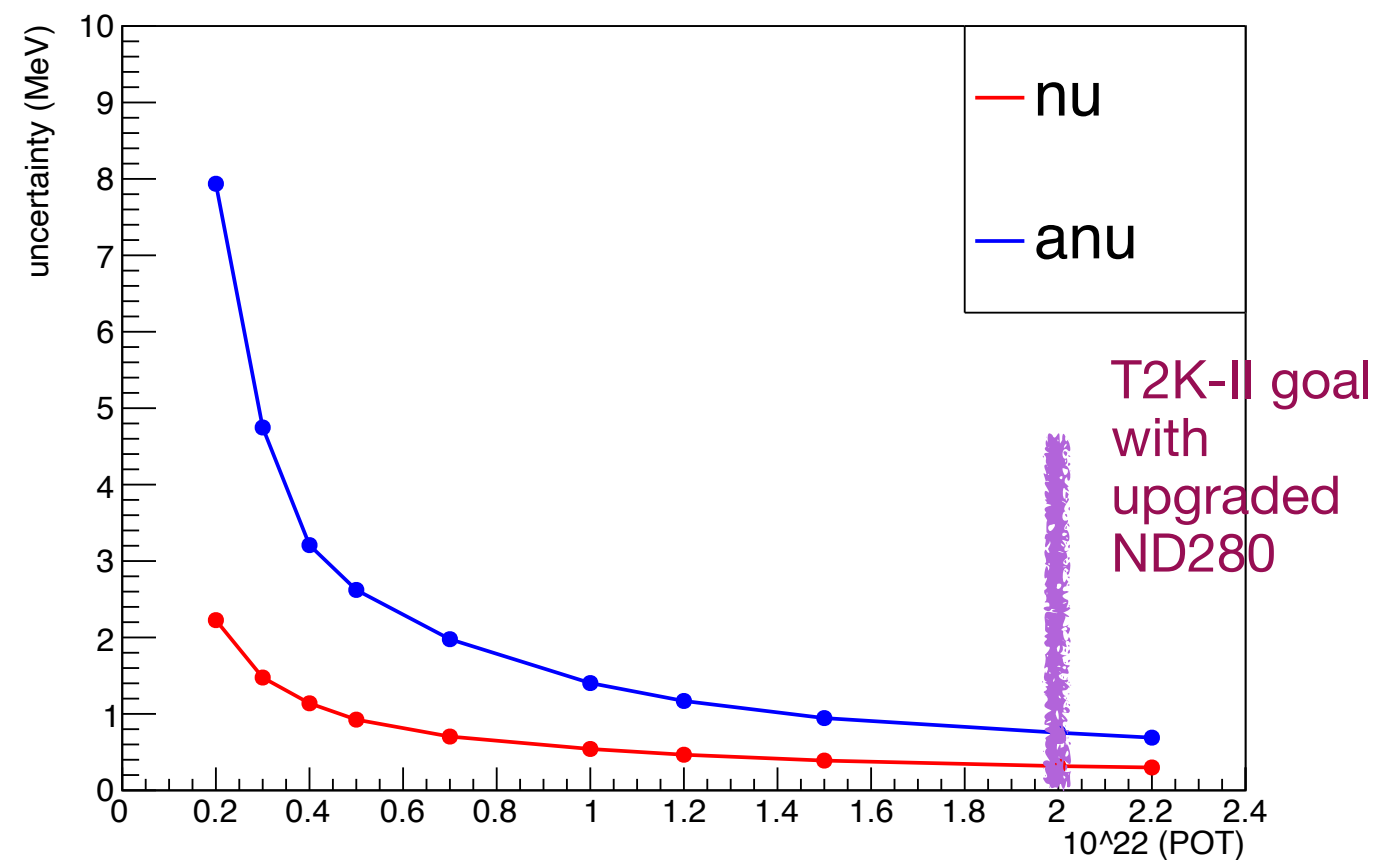
HadronFSI



For anti neutrino, the uncertainties are bigger because the anti neutrino cross section is smaller than that of neutrino, which leads to the lower statistic

- At 1×10^{22} POT, uncertainty of Eb is
0.54 MeV for neutrino
1.5 MeV for anti-neutrino

Eb



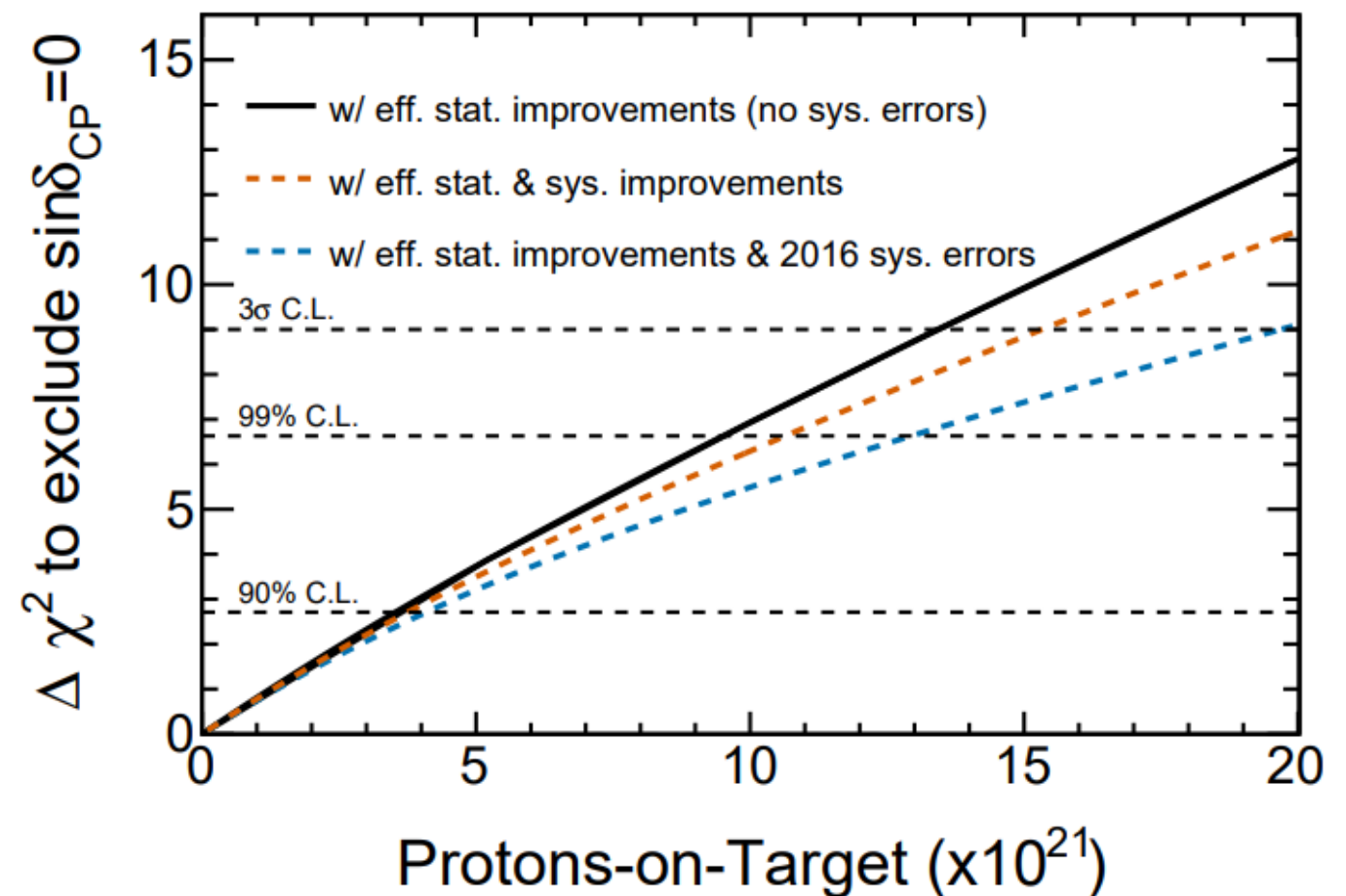
The Eb here is the model dependent binding energy. I am fitting the Eb shifted within the SF.

This isn't the single value of Eb, but the parameter said how much the Eb shifting from its nominal value

Quantitative contribution of ND280 upgrade physics

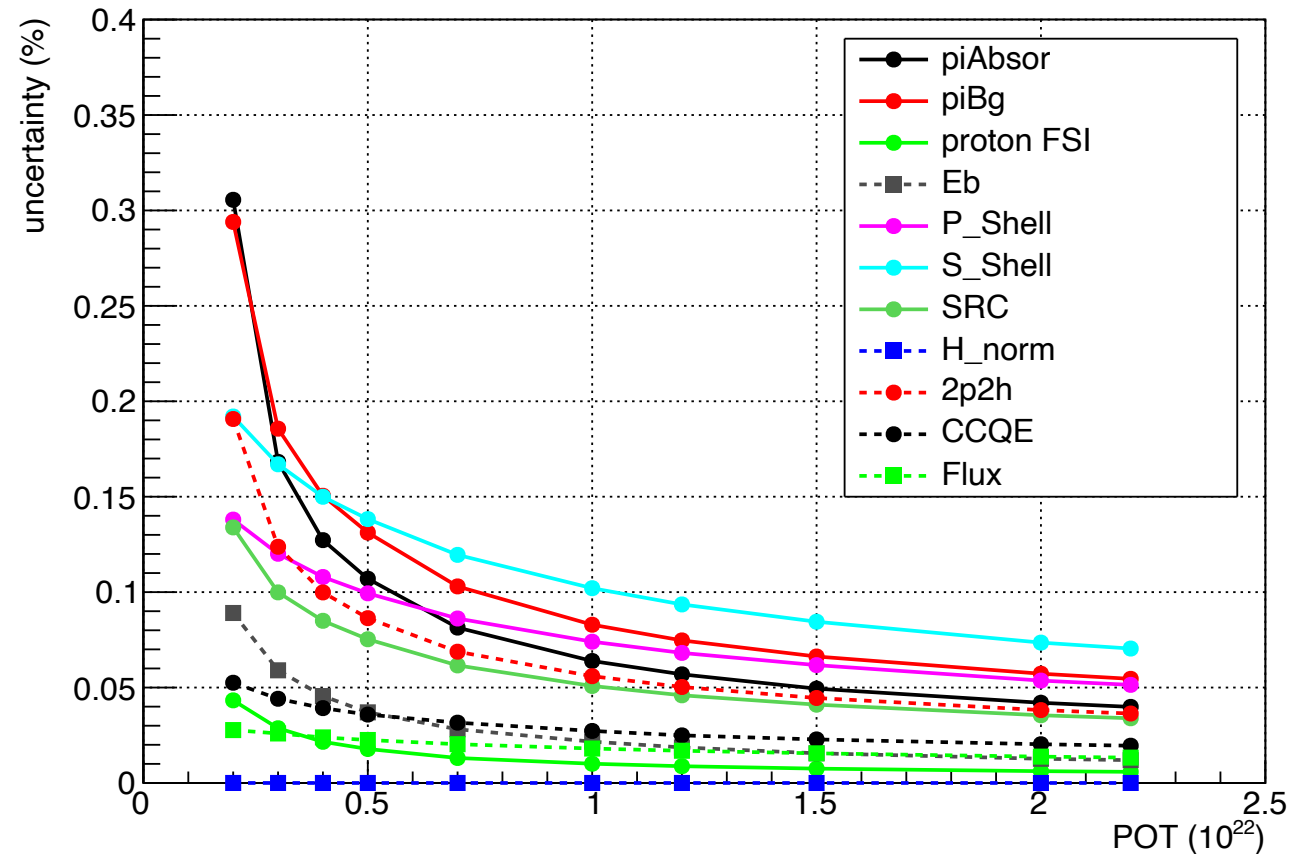
[arXiv:1609.04111](https://arxiv.org/abs/1609.04111)

- 5×10^{21} Protons on Target (POT) is the difference between with and without systematic improvements to reach 3σ exclusion.
- The current accumulated POT of T2K is about 3.7×10^{21}



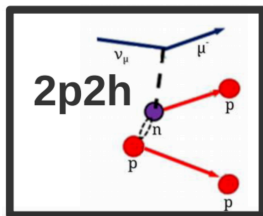
Summary

- This fitter is the first step to obtain the quick physics studies for ND280 Upgrade, before having a real complete fitter.
- The results show promising constraints on key parameters such as 2p2h component (5.6% for neutrino and 15% for anti neutrino with 1×10^{22}), Hydrogen interaction (6.5%). And all parameters uncertainties are below 10% for 1×10^{22} POT (in nu fit).
- I made the test with different smearing, binning and uncorrelated uncertainty. The results were meaningful and stable.
- We have a bi-weekly meeting for this physics study for near detector ND280 upgrade.



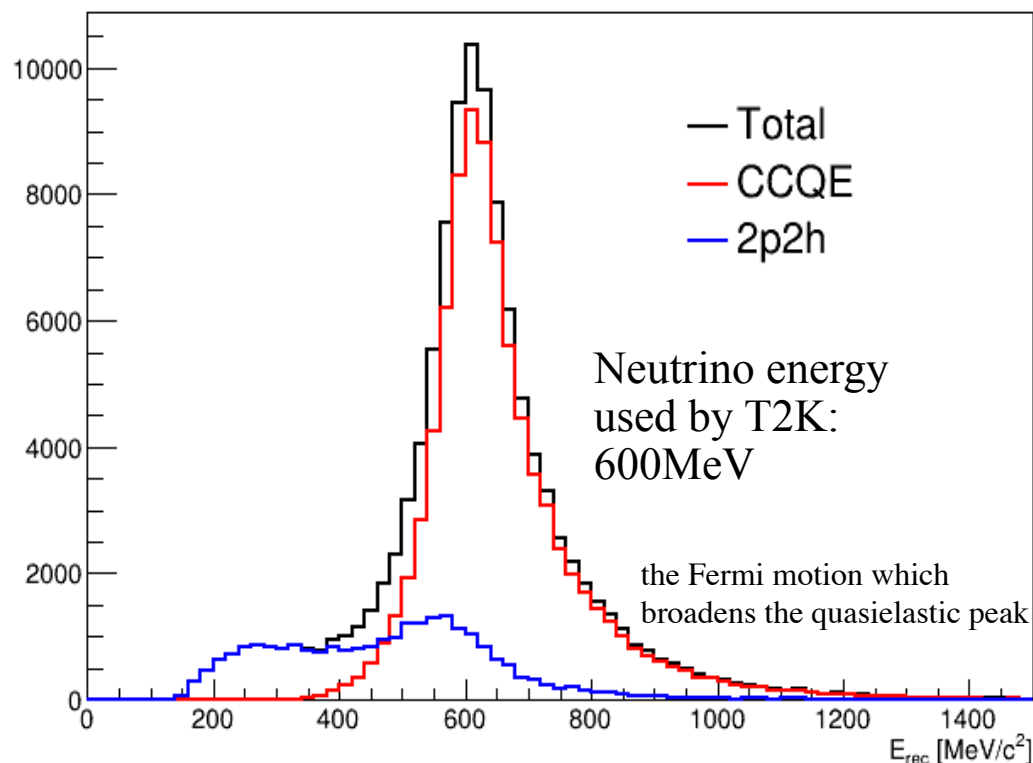
Cross section comparison between NEUT and Martini model

2p2h component will bias the reconstructed neutrino energy over lower value



2p2h = 20±20%

Reconstructed neutrino energy



Neutrino oscillation probability

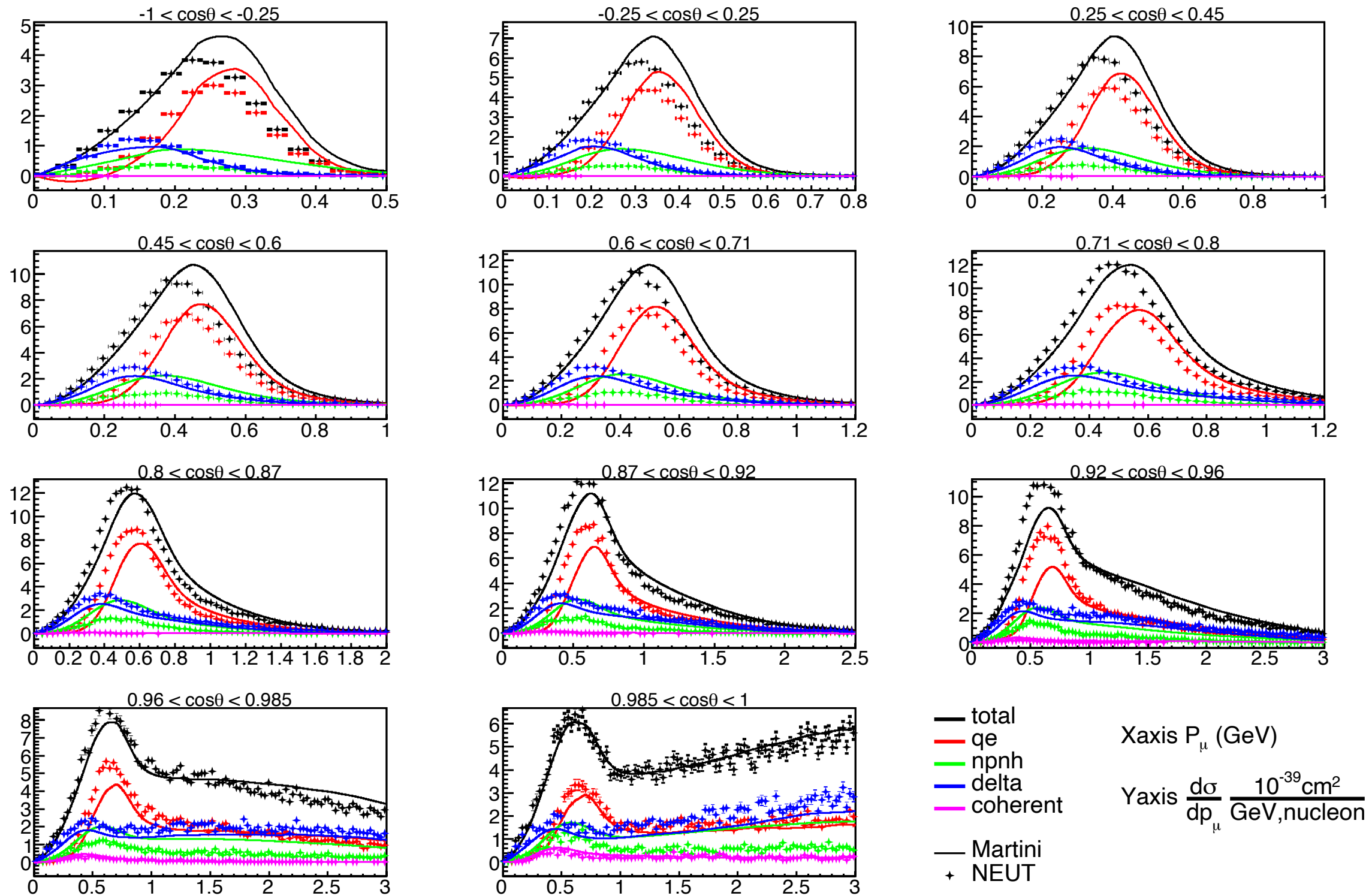
$$P(\nu_{\mu} \rightarrow \nu_e)$$

$$= \sin^2(2\theta_{13}) \sin^2 \left(\frac{1.27 \Delta m^2 L}{E_{\nu}} \right)$$

=> Bias in E_{ν} results in bias measurements of neutrino oscillation parameters

- There are several way to model the 2p2h mode in neutrino interaction. The NEUT generator used in T2K use the 2p2h model from Nieves.
- My goal is to extract cross-section from NEUT MC file, and then compare it with the cross section from Martini's model.

Cross section comparison between NEUT and Martini model



Plan for future

1. Finish the fitter project. There is a paper to be published soon for the Physics Study for ND280 Upgrade (within a month).
 2. Continue with Martini project
Build 3D histograms of Martini's cross sections
 - Build 3D histograms of NEUT's cross sections
 - Find the ratio
 - Apply the ratio as a weight to any NEUT cross sections to get Martini's cross sections.
=> Turn current T2K prediction to Martini's prediction
 3. Contribute to the next test beam analysis at DESY this summer.
 4. Prepare for the PhD manuscript starting with general knowledge chapters: Neutrino physics, T2K experiment, ND280 Upgrade, neutrino-nucleus interaction.
- I have satisfied the time and shift requirements and I am a co-author of T2K's publications now.

Courses

- Accumulated points up to now: 12/15
 - French course A1: 1 point
 - Elements of statistics: 3 points
 - How to make a poster: 1 point
 - CDD organisation: 1 point
 - Particle acceleration in astrophysics: 3 points
 - Ethics and scientific integrity: 1 point
 - French course from CNRS: 2 points

Back up

Sensitivity to key cross-section parameters

- Things affect the oscillation measurements
 1. Fermi momentum of initial nucleus.
 2. Binding energy to extract nucleon from target nucleus.
 3. Component of non quasi-elastic events without pion in the final state such as 2p2h, Pion production.

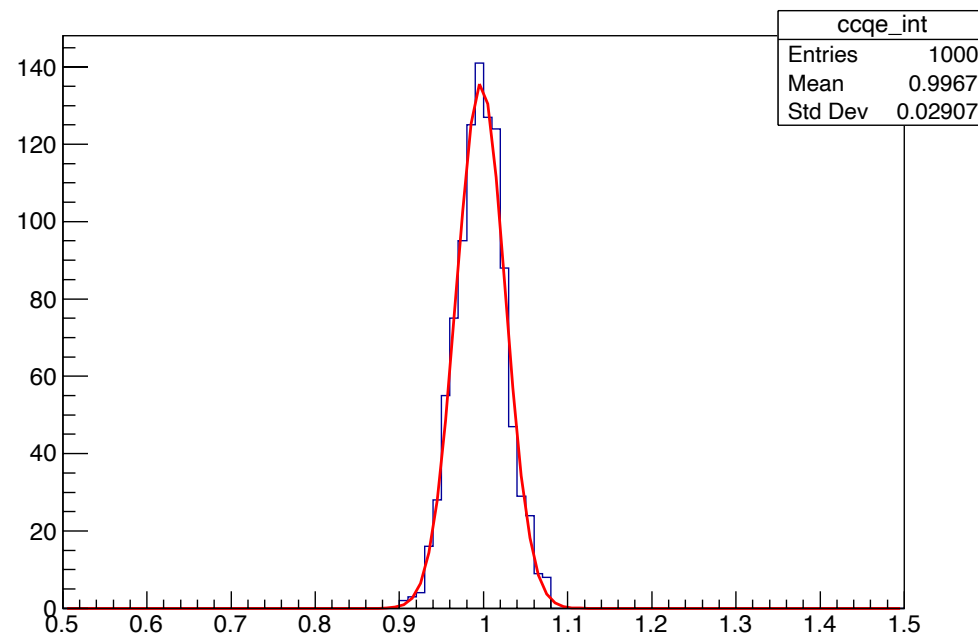
POT 1×10^{22} , observables: pn_Evis

	Nu	Anti-nu
2p2h normalisation	6.5%	15.4%
CCQE normalisation	3.7%	5.3%
E _b	0.46 MeV	1.33 MeV
Pion absorption	6.4%	25.3%
Pion Background	7.1%	22.4%
Strength of Proton FSI	0.8%	2.0%
Hydrogen normalisation		6.5%

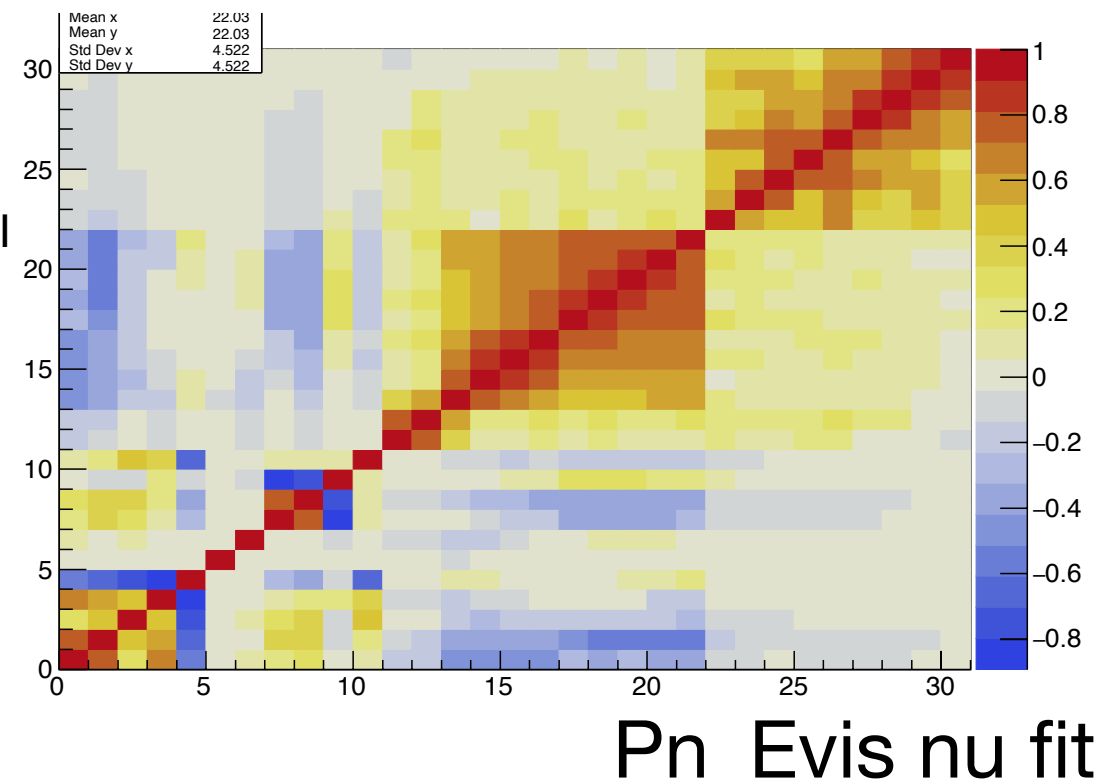
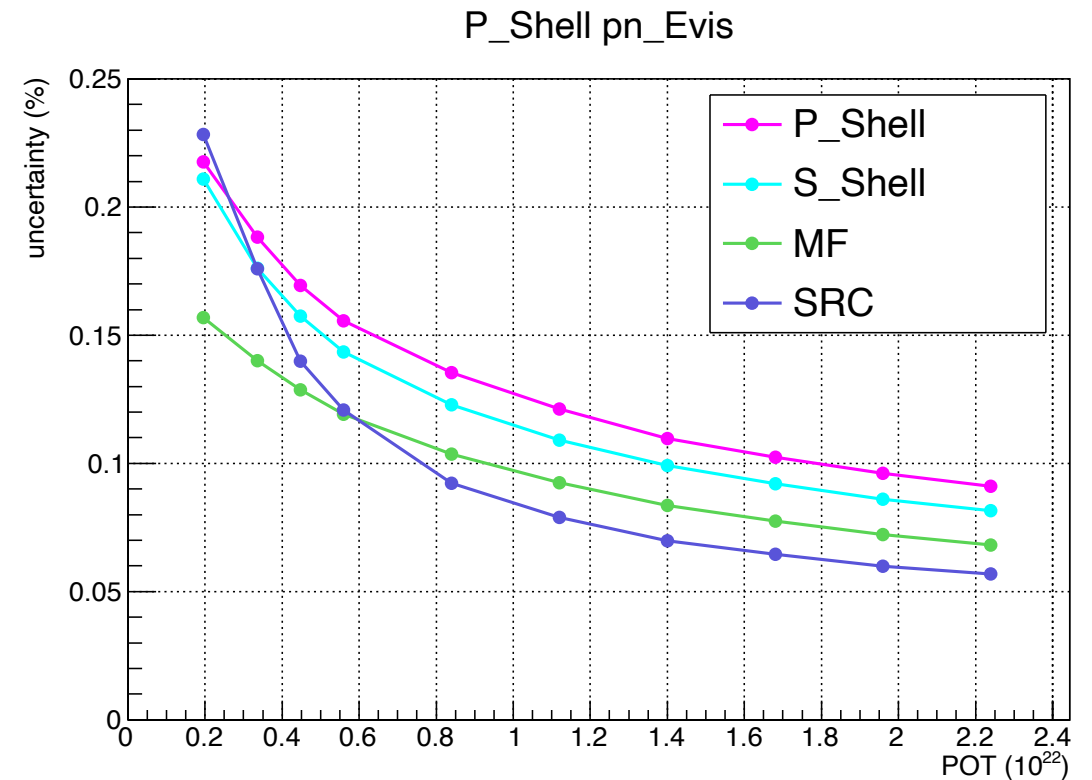
CCQE uncertainty propagation

To evaluate the uncertainty on CCQE normalization due to SF model, we throw toys from postfit errors and covariance matrix and compute number of CCQE from each toy

The uncertainty = std dev/ mean ~ 0.03 for CCQE

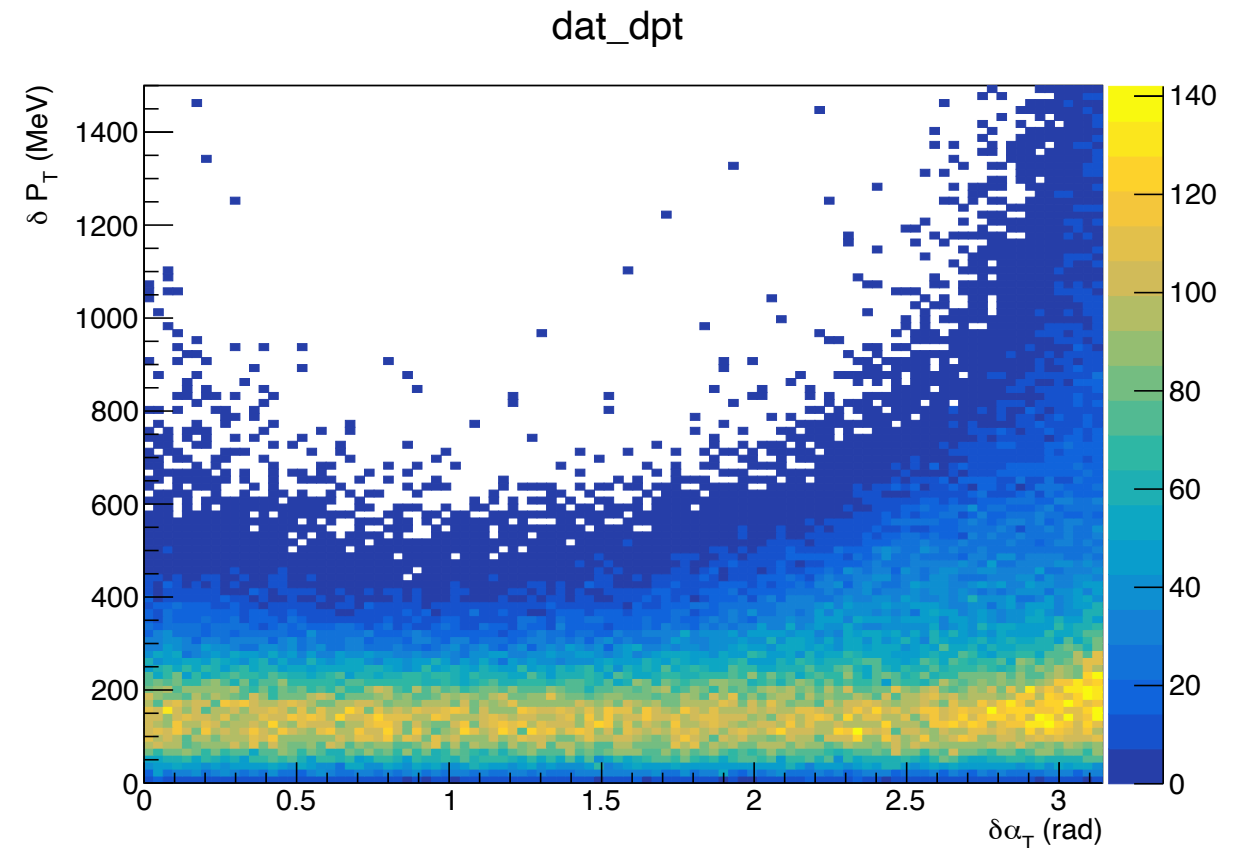
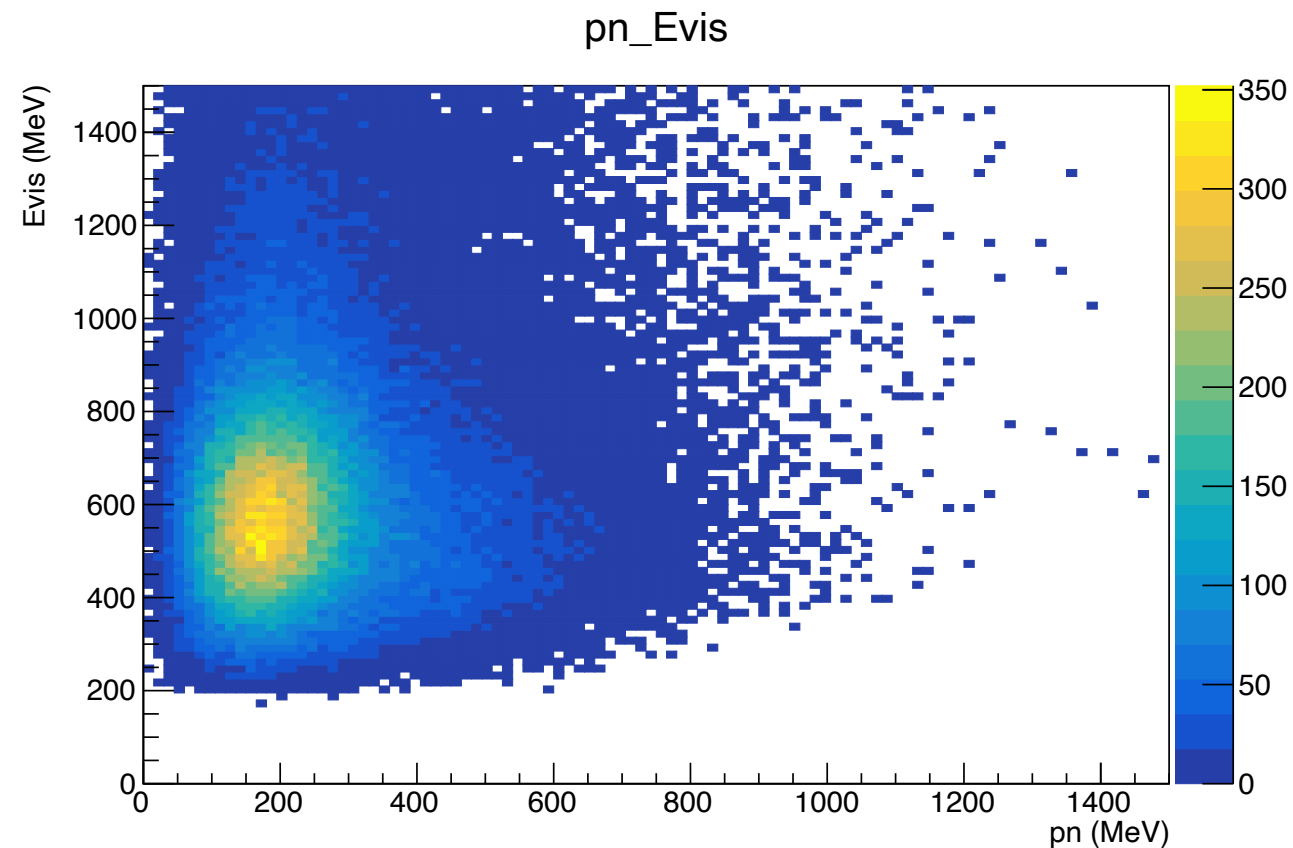


0->1: 2p2h
 2->3: pion FSI
 4: norm cyst
 5: proton FSI
 6: Eb
 7: P Shell
 8: S Shell
 9: MF
 10: SRC
 >=11: flux

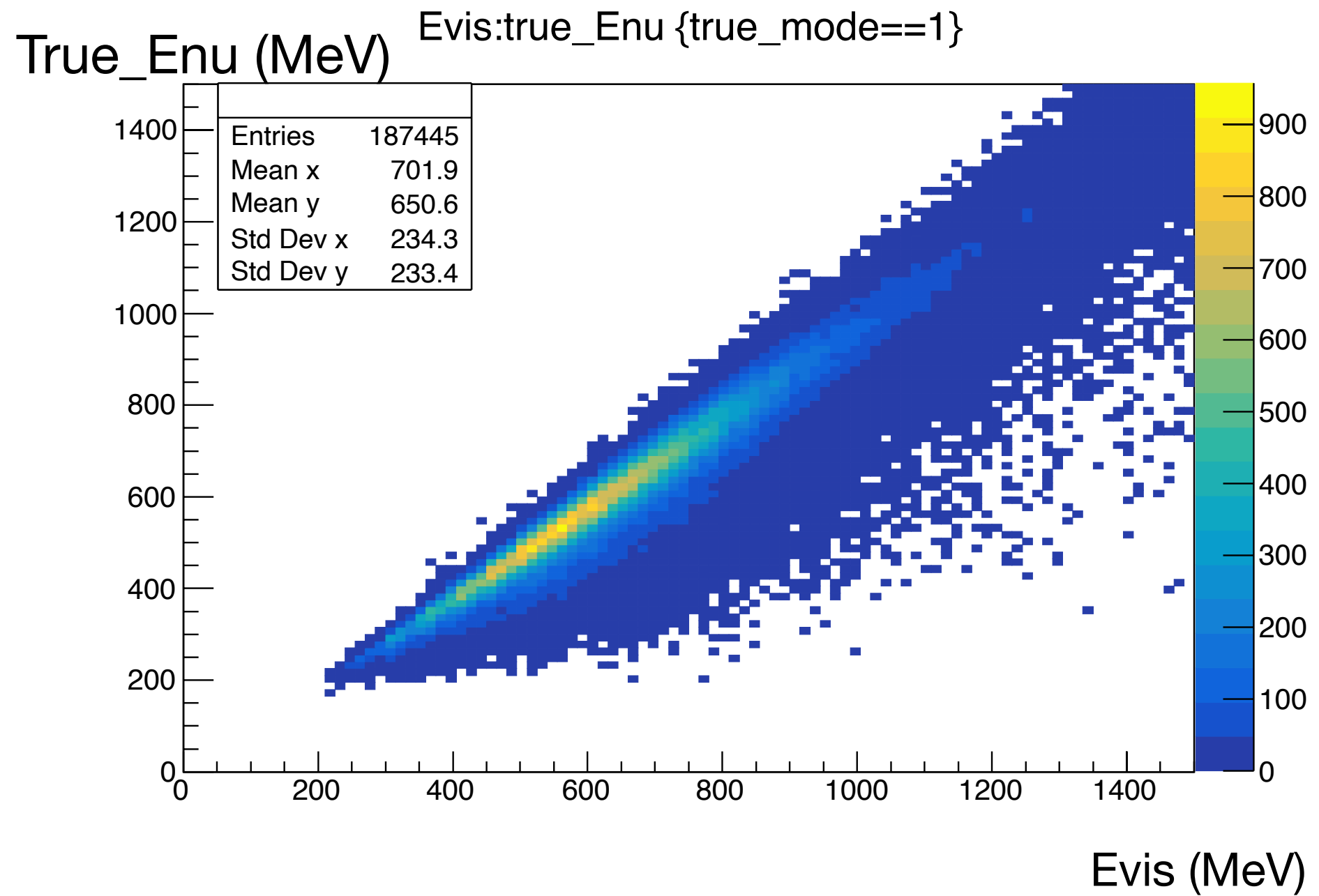


Input for fitter

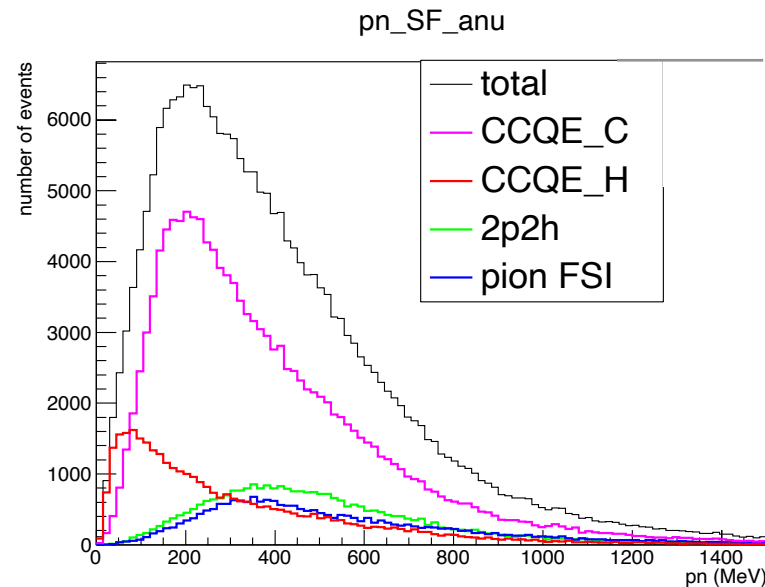
In form of 2D histograms



We do a binned likelihood fit with two variables pn and Evis



Hydrogen normalisation

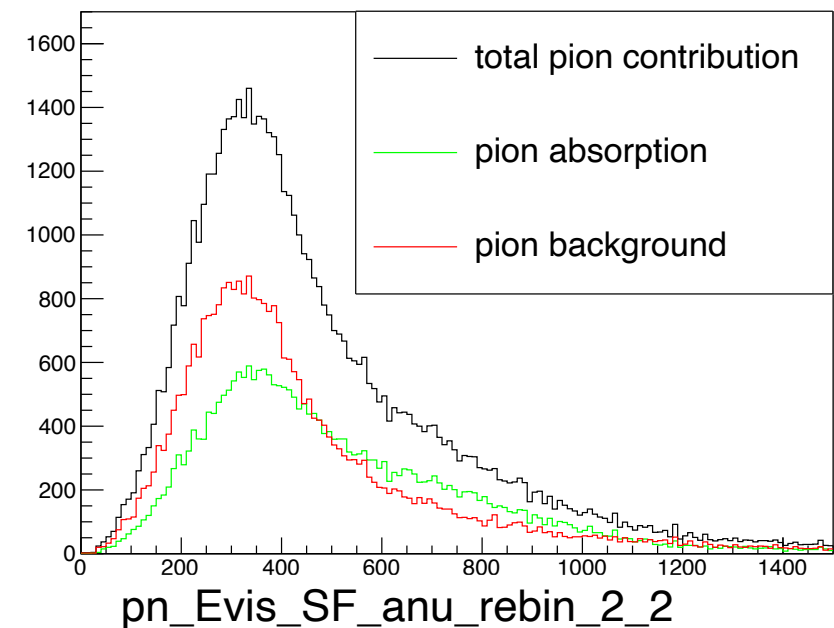


Further binning in Q^2 (square of the 4-momentum transfer) vector may allow the H contribution to be used to confront axial form factor and flux uncertainties.

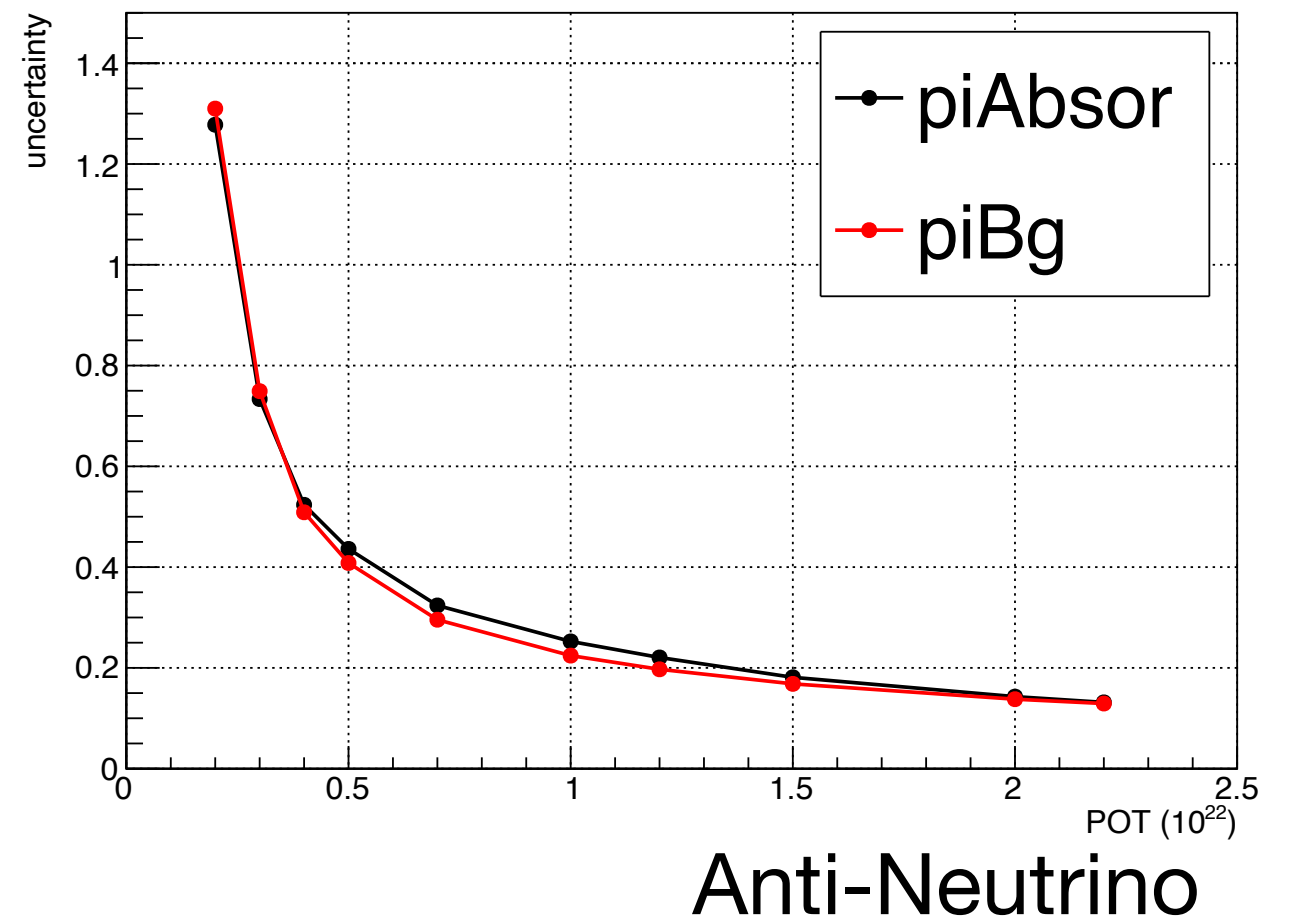
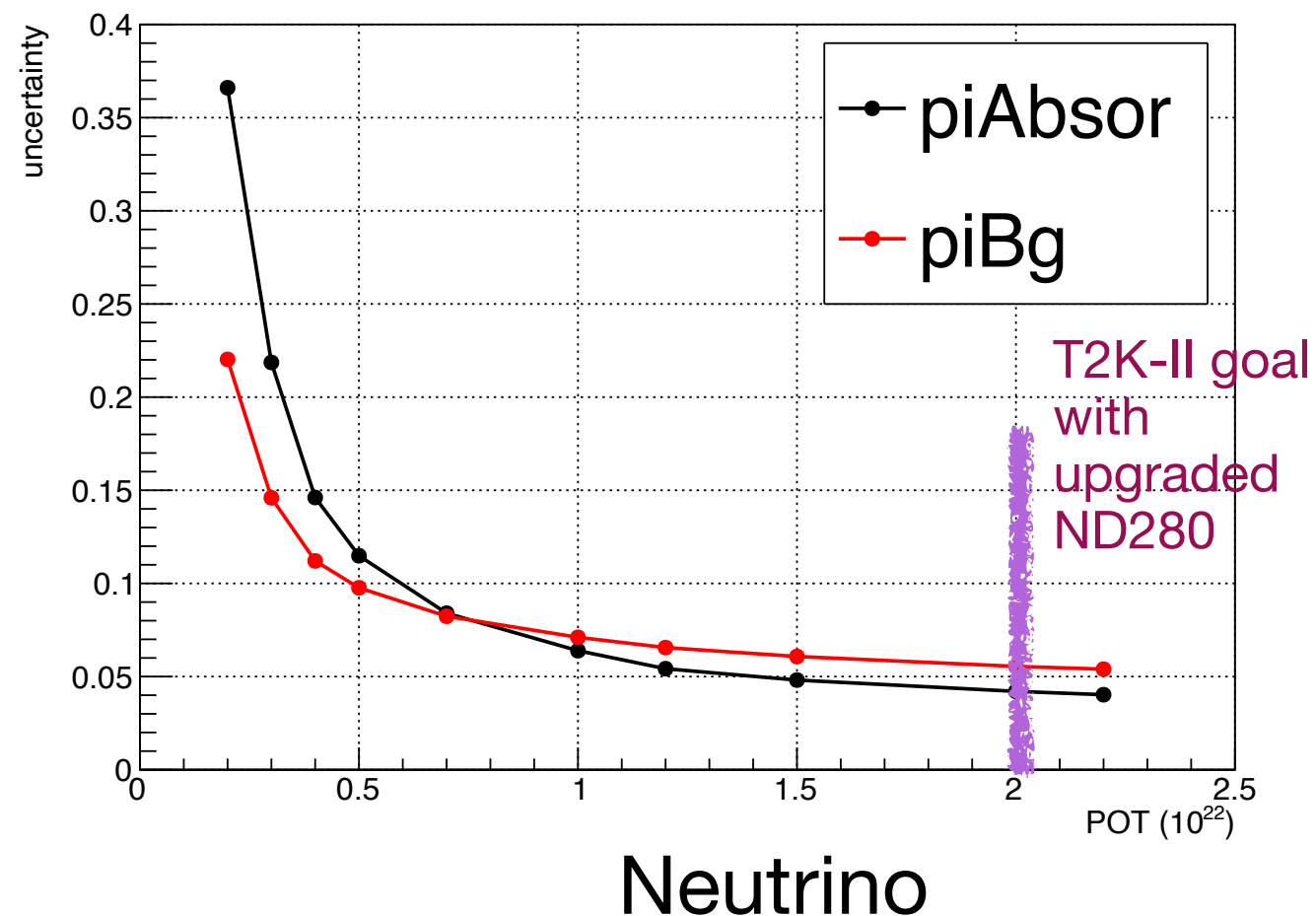
Pion production

- After: Pion uncertainty is divided into 2 new parameters:
 - **Pion Absorption**: (pion absorbed inside nucleus)
 - **Pion Background**: (pion was below detection threshold)
 Notice that all of them are CC0pi by reconstruction.

pn_pion_FSI_compare_SF_nu



pn_Evis_SF_nu_rebin_2_2

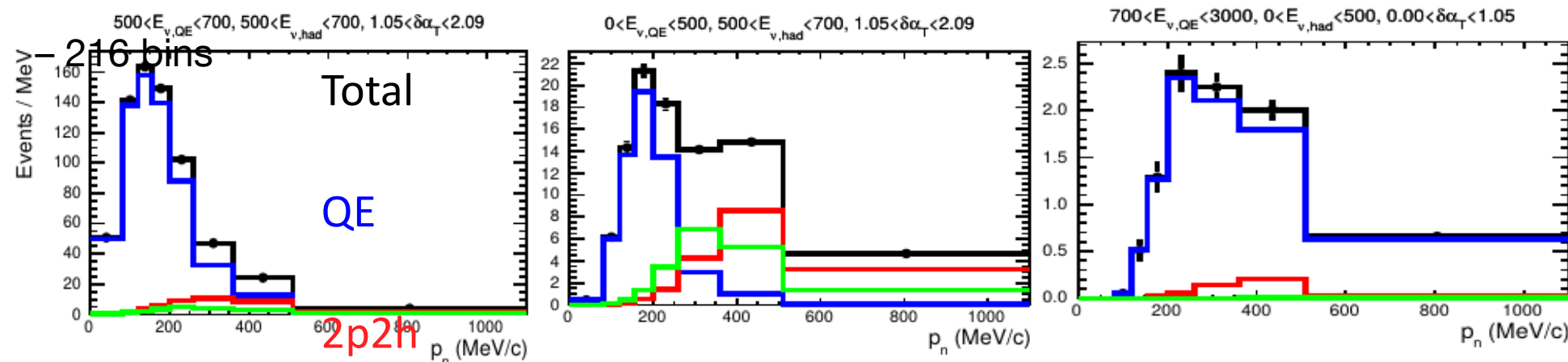


Extension: Multidimensional Analyses

- The results I showed today focus on fitting variables focussed on the hadronic part of neutrino interactions. ND280 current looks at just the muon.

- In the full upgrade fits: put these two together, the power of the upgrade will be more than just what I have shown here.

Example: measure p_n (8 bins) in bins of E_ν^{rec} , $E_\mu + T_p$ and $\delta\alpha_T$ (3 bins)



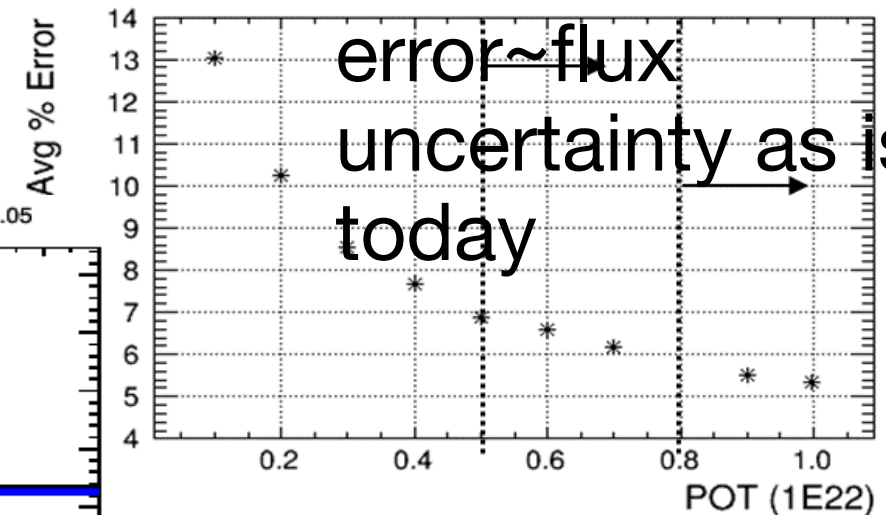
Very good measurement of Fermi momentum and E_b in the bulk **Pion abs**

Clear sensitivity to 2p2h, pionFSI

Special phase space regions where CCQE behavior is atypical

→ This is a step toward controlling systematics on the lepton to the precision needed for HK!

(→ avoid biases in oscillation analysis)



8x10²¹ POT: stat error~flux uncertainty from replica target NA61