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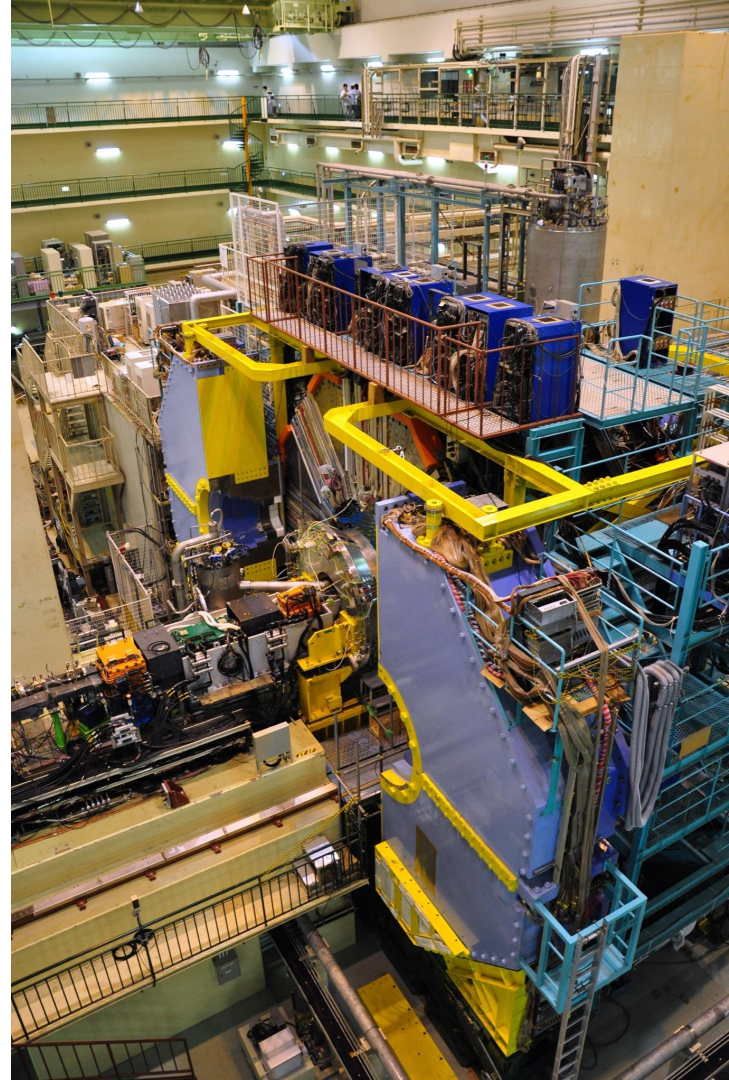
**Development of a new experimental method to  
simultaneously measure inclusive and exclusive  
values of the ckm matrix element  $|V_{ub}|$  in  
hadronically tagged events with Belle**

Supervised by Florian Bernlochner

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UNIVERSITÄT



# Outline

- Introduction
- Inclusive & Exclusive measurement idea
- Implementation and fitting procedure
- $|V_{ub}|$  calculation and toy generation
- Results
- Conclusion

# Scientific context

- The Standard Model is very successful but has limits (CP Violation, dark matter and energy, neutrino masses, matter/antimatter asymmetry, ...)

- CKM matrix:

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}_{\text{weak}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_{\text{mass}}$$

(Predicted unitary)

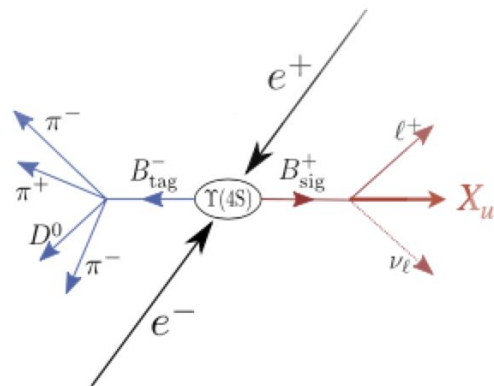
- Need to obtain a precise measurement: B factories

# Belle & Belle II experiment

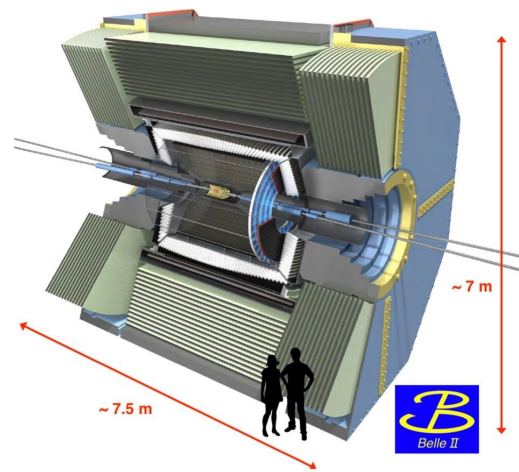
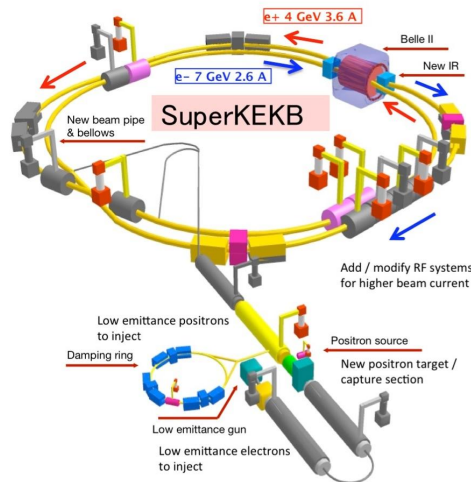
1999-2008: BaBar experiment

1999-2010: Belle experiment

2018- : Belle II experiment



$\Upsilon(4S)$  meson decay process



Super KEKB and Belle II

	KEKB/Belle	SuperKEKB/Belle II
Integrated Luminosity	$1\text{ab}^{-1}$	$50\text{ab}^{-1}$

# Measurement of $|V_{ub}|$ and $|V_{cb}|$

2 different methods to measure  $|V_{ub}|$  and  $|V_{cb}|$ :

- **Exclusive:**

- Focus on a single final state as:  $B \rightarrow \pi^0 l \bar{\nu}_l$  or  $B \rightarrow \pi^\pm l \bar{\nu}_l$
- Difficulties: low signal yield

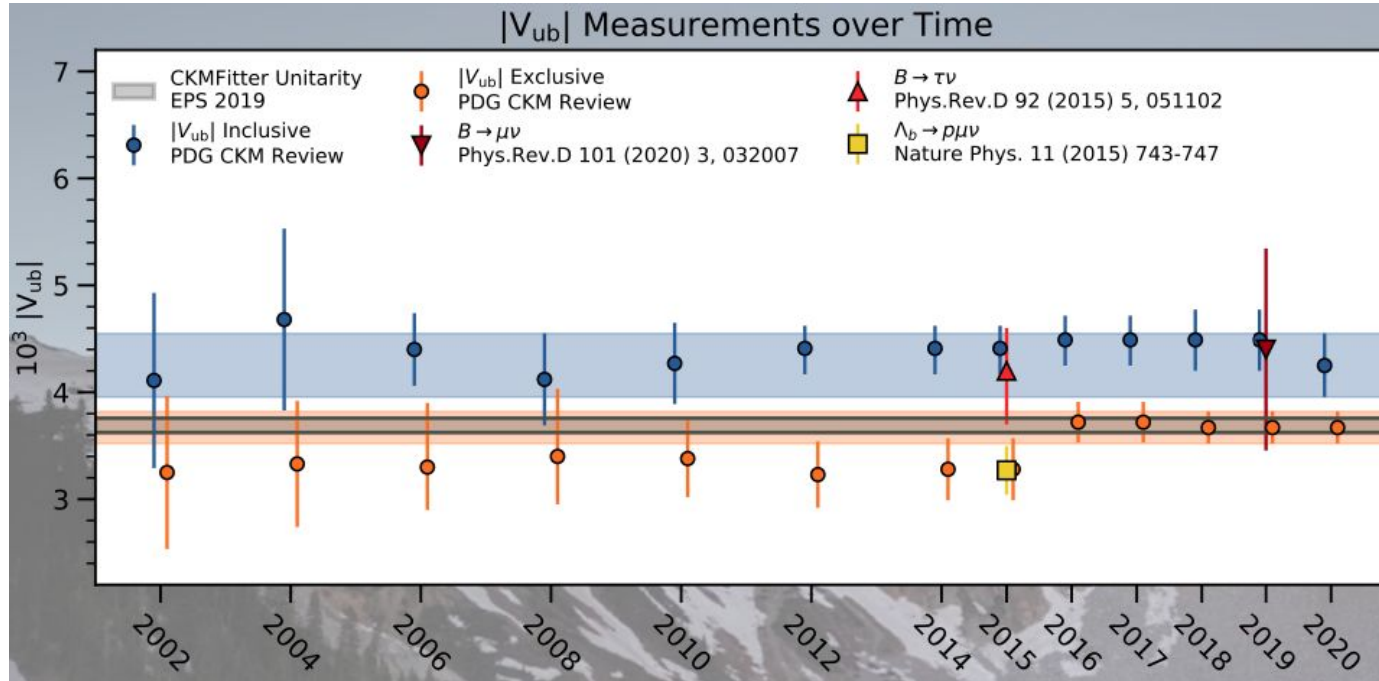
- **Inclusive:**

- Considering all final states containing an up quark:  $B \rightarrow X_u l \bar{\nu}_l$  (for  $|V_{ub}|$ ) or charm quarks  $B \rightarrow X_c l \bar{\nu}_l$  (for  $|V_{cb}|$ )
- Difficulties: Background contamination

	Belle/Belle II	LHCb
Exclusive measurement	$ V_{ub} / V_{cb} $	$ V_{ub} / V_{cb} $
Inclusive measurement	$ V_{ub} / V_{cb} $	Not performed yet

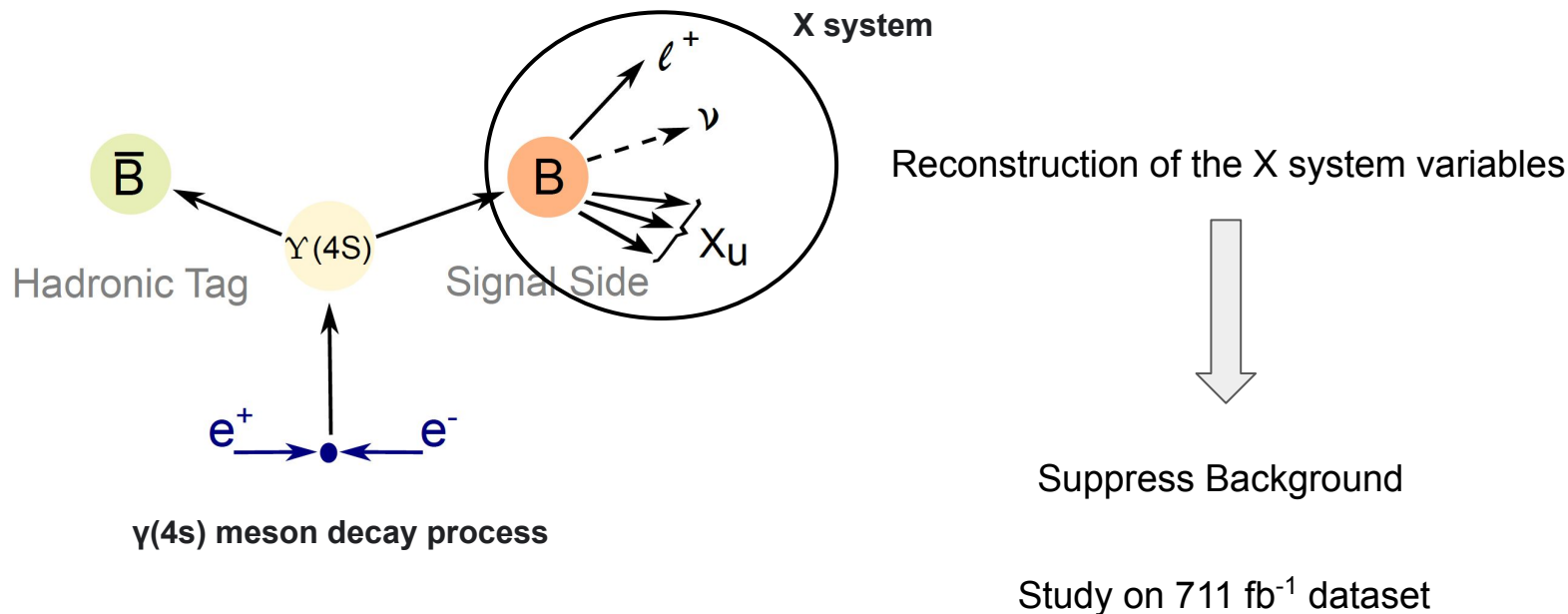
# Measurement of $|V_{ub}|$ and $|V_{cb}|$

These two methods exhibit a sizeable tension of respectively 3 and 3.5 standard deviations  $|V_{ub}|$  and  $|V_{cb}|$ :



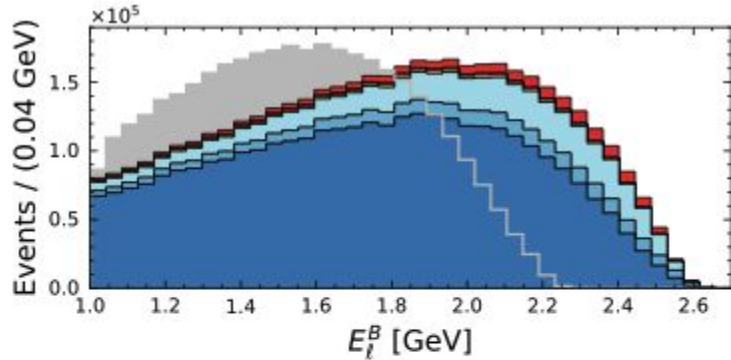
# Inclusive & Exclusive measurement idea

The idea is to measure both exclusive & inclusive  $|V_{ub}|$  at the same time:





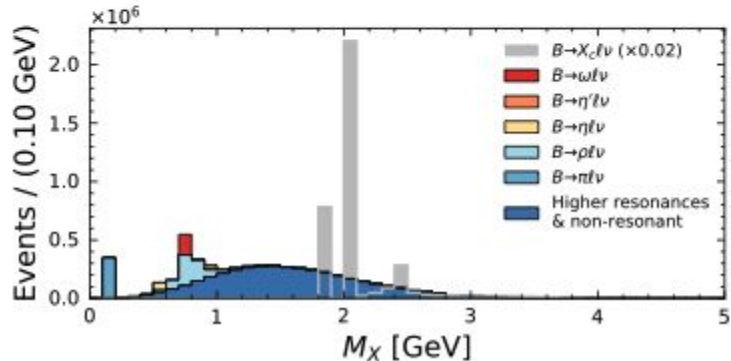
# Background suppression



Main sources of Background:

- $B \rightarrow X_c l \nu$  decays
- continuum processes

Background suppression performed by Neural Network



Generated  $M_X$  and lepton energy

Clear **separation** only **possible** in certain **kinematic regions**, e.g. **lepton endpoint** or **low  $M_X$**



# System reconstruction

Finally we can reconstruct the kinematics variables of the system:

- The momentum of the X system:

$$p_X = \sum_i (\sqrt{m_\pi^2 + |p_i|^2}, p_i) + \sum_i (E_i, k_i)$$

- We deduce the mass of the X system:

$$M_X = \sqrt{(p_X)^\mu * (p_X)_\mu}$$

- The four-momentum transfer squared:

$$q^2 = (p_{\text{sig}} - p_X)^2$$

# Implementation & fitting procedure

From the previous determined variables, we run a binned likelihood fit:

$$\mathcal{L} = \prod_i^{\text{bins}} \mathcal{P}(n_i; \nu_i) \times \left( \prod_k \mathcal{G}_k \right)$$

Observed events      Expected events

nuisance-parameters constraints

Divided into:

- $B \rightarrow \pi^0 \ell \nu$
- $B \rightarrow \pi^+ \ell \nu$
- $B \rightarrow X u \ell \nu$
- $B \rightarrow X c \ell \nu$  + others background

Where we have:  $\nu_i^{\pi^0} = \mu^{\pi^0} \times f_{\pi^0}^i$

# Implementation & fitting procedure

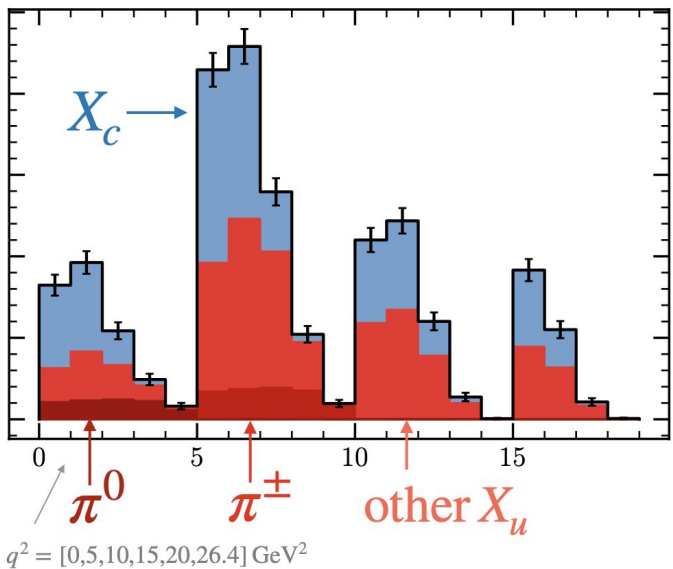
Different fit setup:

	mu size = 3	mu size = 4	mu size = 6	mu size = 9	mu size = 10
pi0	mu0	mu0	mu0	mu0	mu0
pip		mu1			mu1
Xu	mu1	mu2	mu1 ( $n_\pi = 0$ )	mu1 ( $n_\pi = 0$ )	mu2 ( $n_\pi = 0$ )
			mu2 ( $n_\pi = 1$ )	mu2 ( $n_\pi = 1$ )	mu3 ( $n_\pi = 1$ )
			mu3 ( $n_\pi = 2$ )	mu3 ( $n_\pi = 2$ )	mu4 ( $n_\pi = 2$ )
			mu4 ( $n_\pi = 3$ )	mu4 ( $n_\pi = 3$ )	mu5 ( $n_\pi = 3$ )
bkg	mu2	mu3	mu5	mu5 ( $n_\pi = 0$ )	mu6 ( $n_\pi = 0$ )
				mu6 ( $n_\pi = 1$ )	mu7 ( $n_\pi = 1$ )
				mu7 ( $n_\pi = 2$ )	mu8 ( $n_\pi = 2$ )
				mu8 ( $n_\pi = 3$ )	mu9 ( $n_\pi = 3$ )

# Implementation & fitting procedure

Proof of the concept:

$$N_{\pi^\pm} = 0 \quad N_{\pi^\pm} = 1 \quad N_{\pi^\pm} = 2 \quad N_{\pi^\pm} \geq 3$$



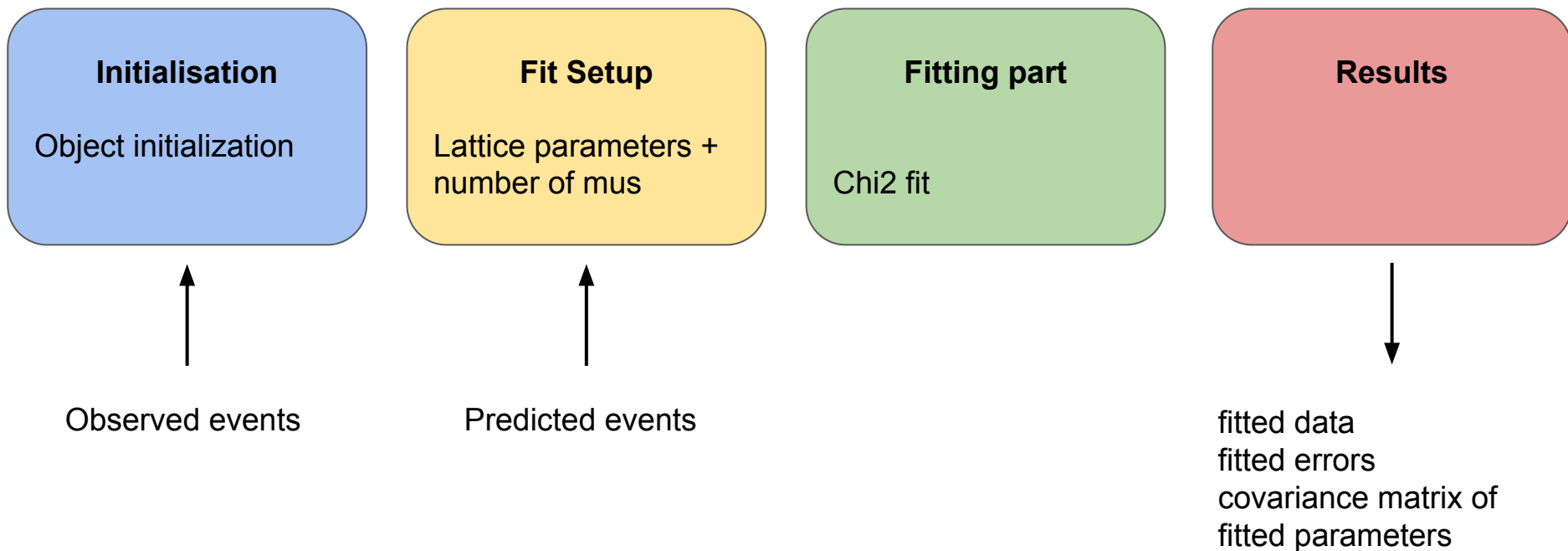
perfect agreement between  
predicted and observed data

good separation  
between variables

$q^2$  distribution resulting of an Asimov fit

# Implementation & fitting procedure

Fitting procedure:



# $|V_{ub}|$ exclusive calculation

We start from the formulas:

$$|V_{ub}|_{\text{exclusive}, \pi^0} = \sqrt{\frac{\mathcal{B}(B \rightarrow \pi^0 l^+ \bar{\nu}_l)}{\tau_B \Delta\Gamma(B \rightarrow \pi^0 l^+ \bar{\nu}_l)}}$$

$$|V_{ub}|_{\text{exclusive}, \pi^\pm} = \sqrt{\frac{\mathcal{B}(B \rightarrow \pi^\pm l^+ \bar{\nu}_l)}{\tau_B \Delta\Gamma(B \rightarrow \pi^\pm l^+ \bar{\nu}_l)}}$$

In case we have the same  $\mu$  for  $\pi^0$  and  $\pi^\pm$  we use:

$$|V_{ub}|_{\text{exclusive}, \pi^\pm} = \sqrt{\frac{\mathcal{B}(B \rightarrow \pi^\pm l^+ \bar{\nu}_l)}{\tau_B \Delta\Gamma(B \rightarrow \pi^\pm l^+ \bar{\nu}_l)}}$$

Where:

- $\mathcal{B}(B \rightarrow \pi^0 l^+ \bar{\nu}_l) = \mu_{\pi^0} \times \mathcal{B}_{\text{MC}}(B \rightarrow \pi^0 l^+ \bar{\nu}_l)$
- $\Delta\Gamma(B \rightarrow \pi^0 l^+ \bar{\nu}_l)$  is the integral of  $q^2$  distribution
- $\tau_B$  is the B meson lifetime

# $|V_{ub}|$ inclusive calculation

We start from the formula:

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow X_u l \bar{\nu}_l)}{\tau_B \Delta\Gamma(B \rightarrow X_u l \bar{\nu}_l)}}$$

$\Delta\mathcal{B}(B \rightarrow X_u l \bar{\nu}_l) \Big|_{E_l > 1\text{GeV}}$



With:

$$\Delta\mathcal{B}(B \rightarrow X_u l \bar{\nu}_l) = \epsilon_{\Delta\text{BF}} * (\mu_{\pi^0} \times \mathcal{B}_{\text{MC}}(B \rightarrow \pi^0 l \bar{\nu}_l) \\ + \mu_{\pi^\pm} \times \mathcal{B}_{\text{MC}}(B \rightarrow \pi^\pm l \bar{\nu}_l) \\ + \mu_{X_u} \times \mathcal{B}_{\text{MC}}(B \rightarrow X_u l \bar{\nu}_l)_{\text{correct}})$$

And:

$$\mathcal{B}_{\text{MC}}(B \rightarrow X_u l \bar{\nu}_l)_{\text{correct}} = \mathcal{B}_{\text{MC}}(B \rightarrow X_u l \bar{\nu}_l) \\ - \mathcal{B}_{\text{MC}}(B \rightarrow \pi^0 l \bar{\nu}_l) \\ - \mathcal{B}_{\text{MC}}(B \rightarrow \pi^\pm l \bar{\nu}_l)$$

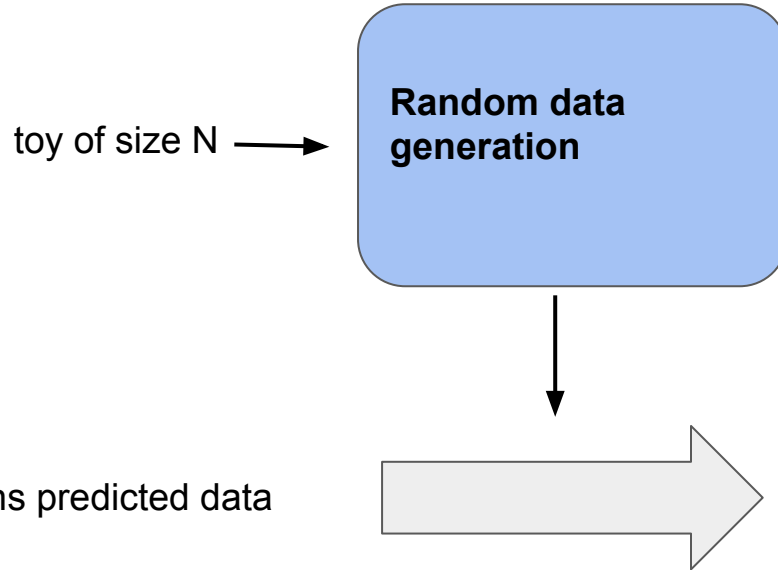


# Toy generation

Instead of using prediction, we generate random data:

We define:

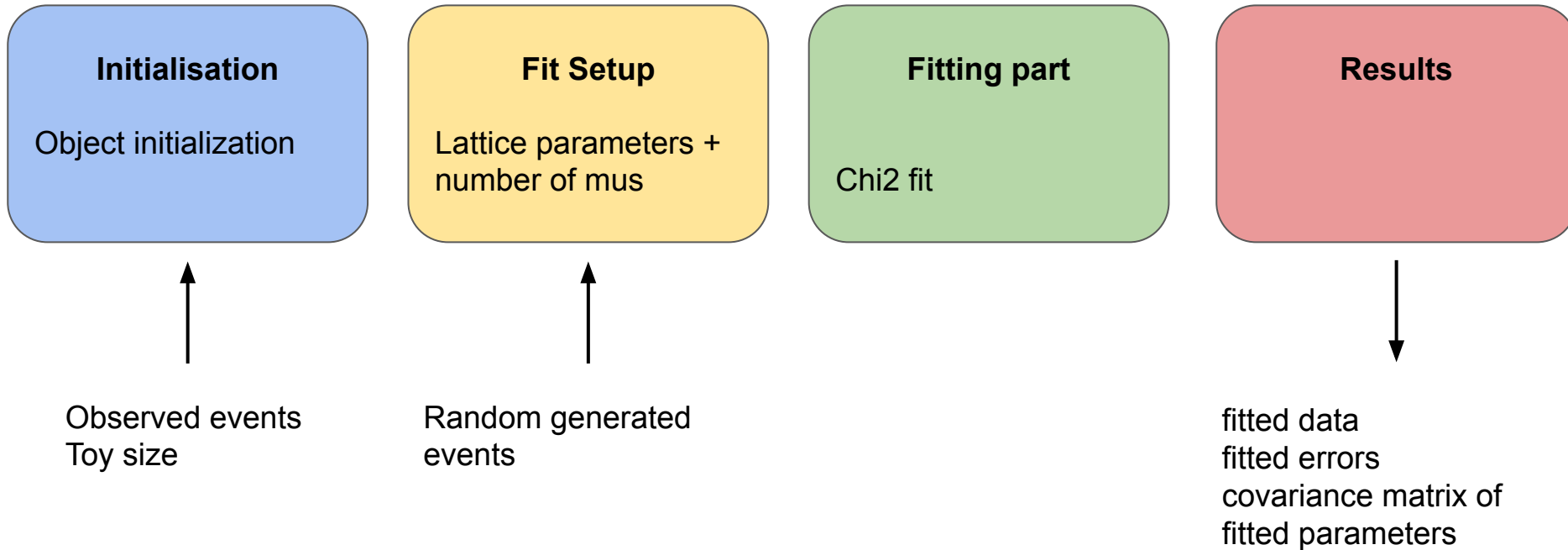
$$\text{pull} = \frac{\nu_i - n_i}{\sigma_i}$$



<b>Item<sub>1</sub></b>	bin_0	bin_1	...	bin_i
<b>Item<sub>2</sub></b>	bin_0	bin_1	...	bin_i
...	...	...	...	bin_i
<b>Item<sub>N</sub></b>	bin_0	bin_1	...	bin_i

# Toy generation

New Fitting procedure:



# $|V_{ub}|$ calculation results

Case of  $\text{len}(\mu) = 4$

Name	Value	Hesse Error
mu_sig_pi0	1.00	0.28
mu_sig_pip	1.00	0.25
mu_sig_Xu	1.00	0.12
mu_bkg	1.00	0.13
b0p	0.407	0.014
b1p	-0.65	0.09
b2p	-0.5	0.4
b3p	0.4	0.7
b00	0.507	0.020
b10	-1.77	0.10
b20	1.3	0.4
b30	4.2	1.0

$$|V_{ub}|_{\text{exclusive}, \pi^0} = (4.20 \pm 0.59) \times 10^{-3}$$

$$|V_{ub}|_{\text{exclusive}, \pi^\pm} = (4.24 \pm 0.52) \times 10^{-3}$$

$$|V_{ub}|_{\text{inclusive}} = (4.40 \pm 0.30) \times 10^{-3}$$

$$\frac{|V_{ub}|_{\text{exclusive}, \pi^0}}{|V_{ub}|_{\text{inclusive}}} = 0.96 \pm 0.14 \quad \frac{|V_{ub}|_{\text{exclusive}, \pi^\pm}}{|V_{ub}|_{\text{inclusive}}} = 0.97 \pm 0.14$$

$$\frac{|V_{ub}|_{\text{exclusive}, \pi^0} + |V_{ub}|_{\text{exclusive}, \pi^\pm}}{|V_{ub}|_{\text{inclusive}}} = 1.92 \pm 0.23$$

# $|V_{ub}|$ calculation results

Case of  $\text{len}(\mu) = 4$  (including high  $M_x$ )

Name	Value	Hesse Error
mu_sig_pi0	1.00	0.22
mu_sig_pip	1.00	0.23
mu_sig_Xu	1.00	0.06
mu_bkg	1.000	0.017
b0p	0.407	0.014
b1p	-0.65	0.09
b2p	-0.5	0.4
b3p	0.4	0.7
b00	0.507	0.019
b10	-1.77	0.10
b20	1.27	0.34
b30	4.2	0.9

$$|V_{ub}|_{\text{exclusive}, \pi^0} = (4.20 \pm 0.56) \times 10^{-3}$$

$$|V_{ub}|_{\text{exclusive}, \pi^\pm} = (4.24 \pm 0.51) \times 10^{-3}$$

$$|V_{ub}|_{\text{inclusive}} = (4.40 \pm 0.20) \times 10^{-3}$$

$$\frac{|V_{ub}|_{\text{exclusive}, \pi^0}}{|V_{ub}|_{\text{inclusive}}} = 0.96 \pm 0.13 \quad \frac{|V_{ub}|_{\text{exclusive}, \pi^\pm}}{|V_{ub}|_{\text{inclusive}}} = 0.97 \pm 0.13$$

$$\frac{|V_{ub}|_{\text{exclusive}, \pi^0} + |V_{ub}|_{\text{exclusive}, \pi^\pm}}{|V_{ub}|_{\text{inclusive}}} = 1.92 \pm 0.22$$

# $|V_{ub}|$ calculation results

Case of  $\text{len}(\mu) = 3$

Name	Value	Hesse Error
mu_sig_pi	1.00	0.21
mu_sig_Xu	1.00	0.11
mu_bkg	1.00	0.12
b0p	0.407	0.014
b1p	-0.65	0.09
b2p	-0.5	0.4
b3p	0.4	0.7
b00	0.507	0.020
b10	-1.77	0.10
b20	1.3	0.4
b30	4.2	1.0

$$|V_{ub}|_{\text{exclusive}} = (4.24 \pm 0.45) \times 10^{-3}$$

$$|V_{ub}|_{\text{inclusive}} = (4.28 \pm 0.29) \times 10^{-3}$$

$$\frac{|V_{ub}|_{\text{exclusive}}}{|V_{ub}|_{\text{inclusive}}} = 0.99 \pm 0.12$$

# $|V_{ub}|$ calculation results

Case of  $\text{len}(\mu) = 3$  (including high  $M_x$ )

Name	Value	Hesse Error
mu_sig_pi	1.00	0.17
mu_sig_Xu	1.00	0.06
mu_bkg	1.000	0.017
b0p	0.407	0.014
b1p	-0.65	0.09
b2p	-0.5	0.4
b3p	0.4	0.7
b00	0.507	0.019
b10	-1.77	0.10
b20	1.27	0.34
b30	4.2	0.9

$$|V_{ub}|_{\text{exclusive}} = (4.24 \pm 0.44) \times 10^{-3}$$

$$|V_{ub}|_{\text{inclusive}} = (4.28 \pm 0.20) \times 10^{-3}$$

$$\frac{|V_{ub}|_{\text{exclusive}}}{|V_{ub}|_{\text{inclusive}}} = 0.99 \pm 0.11$$

# Toy generation results

Case of  $\text{len}(\mu) = 3$

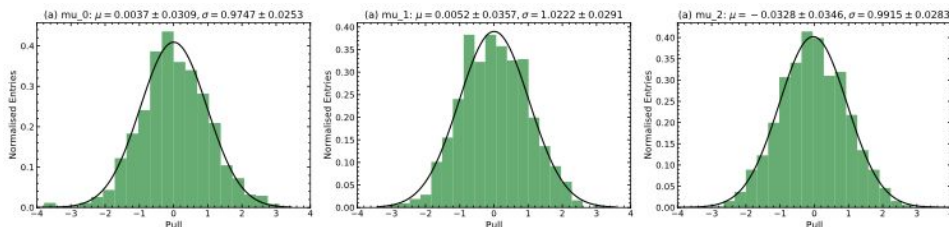


Figure 4.1: Mu of size 3 pull distribution  
*This toy has a number of events of 1000*

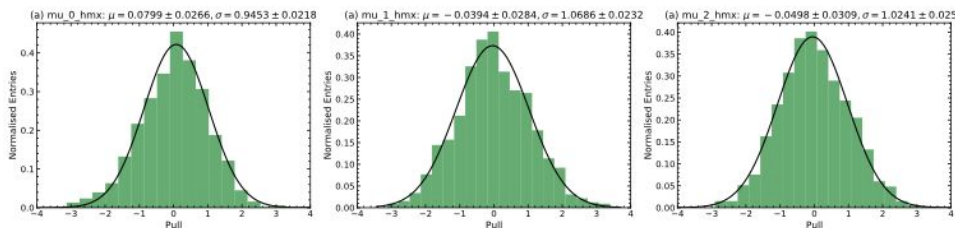


Figure 4.2: Mu of size 3 pull distribution (including high Mx)  
*This toy has a number of events of 1000*



# Toy generation results

Case of  $\text{len}(\mu) = 4$

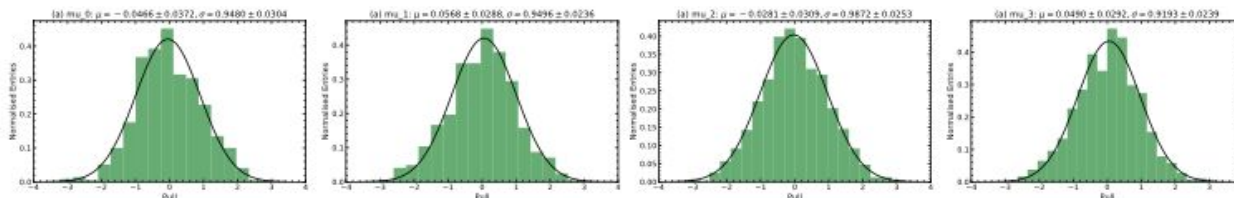


Figure 4.3: Mu of size 4 pull distribution  
*This toy has a number of events of 1000*

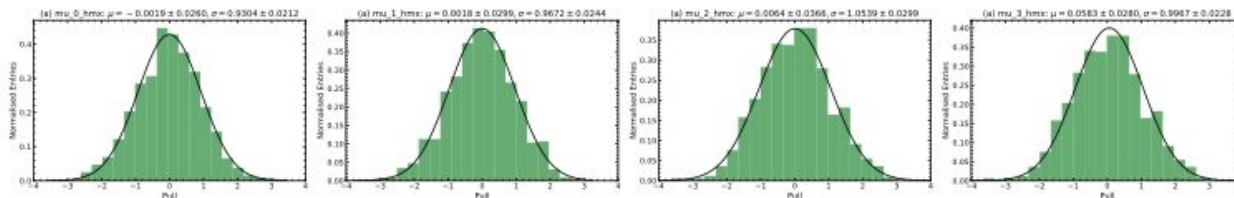


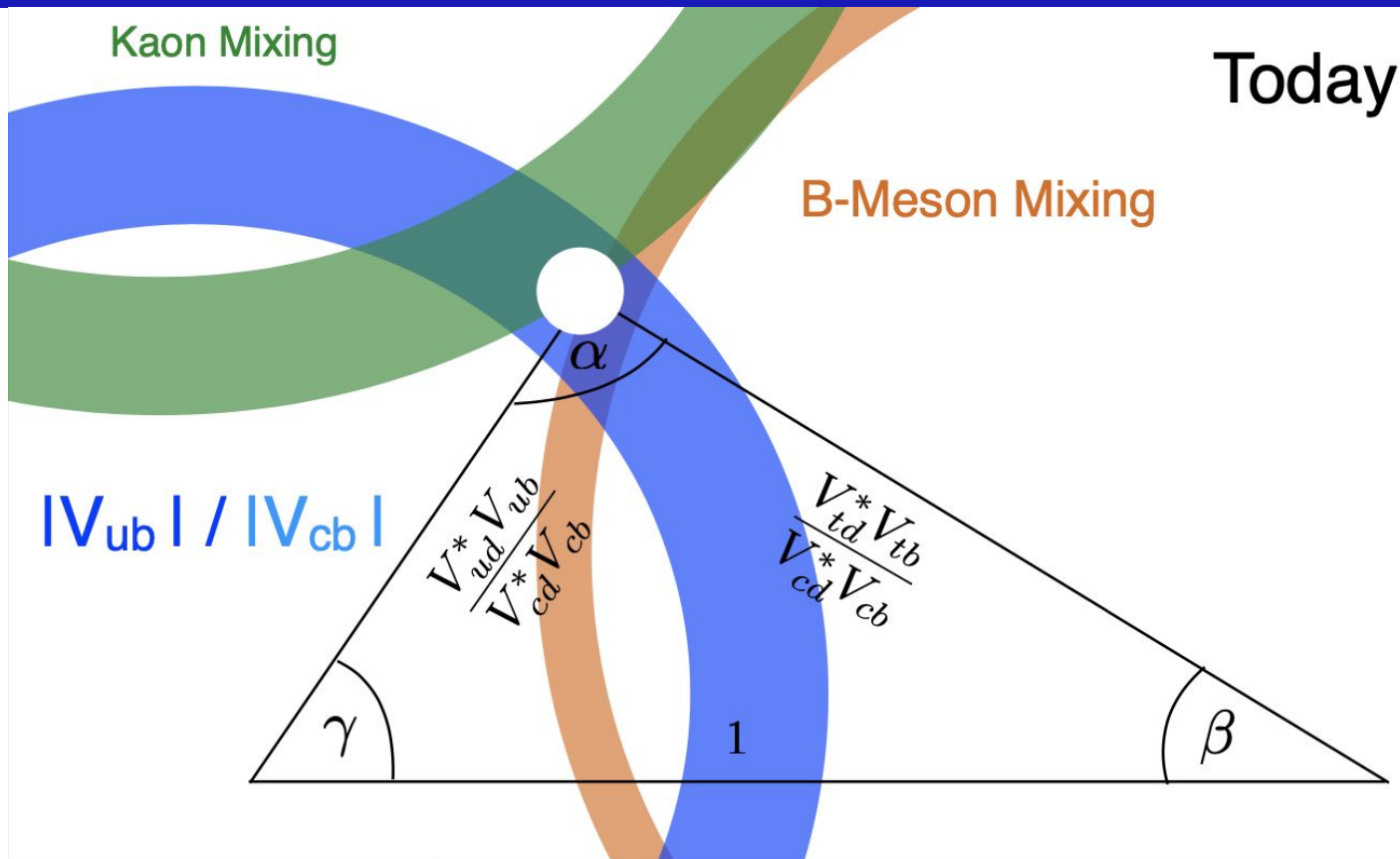
Figure 4.4: Mu of size 4 pull distribution (including high  $M_x$ )  
*This toy has a number of events of 1000*

# Conclusion

- $|V_{ub}|$  calculation:
  - Coherent with previous calculations
  - Need to be perform with other sizes of  $\mu$
- Toy generation:
  - Implementation of toys is working
  - Still biased

**Thank you for your attention**

# Backup slides



from  
Latest developments on  
 $|V_{ub}|$  and  $|V_{cb}|$  by Florian  
Bernlochner

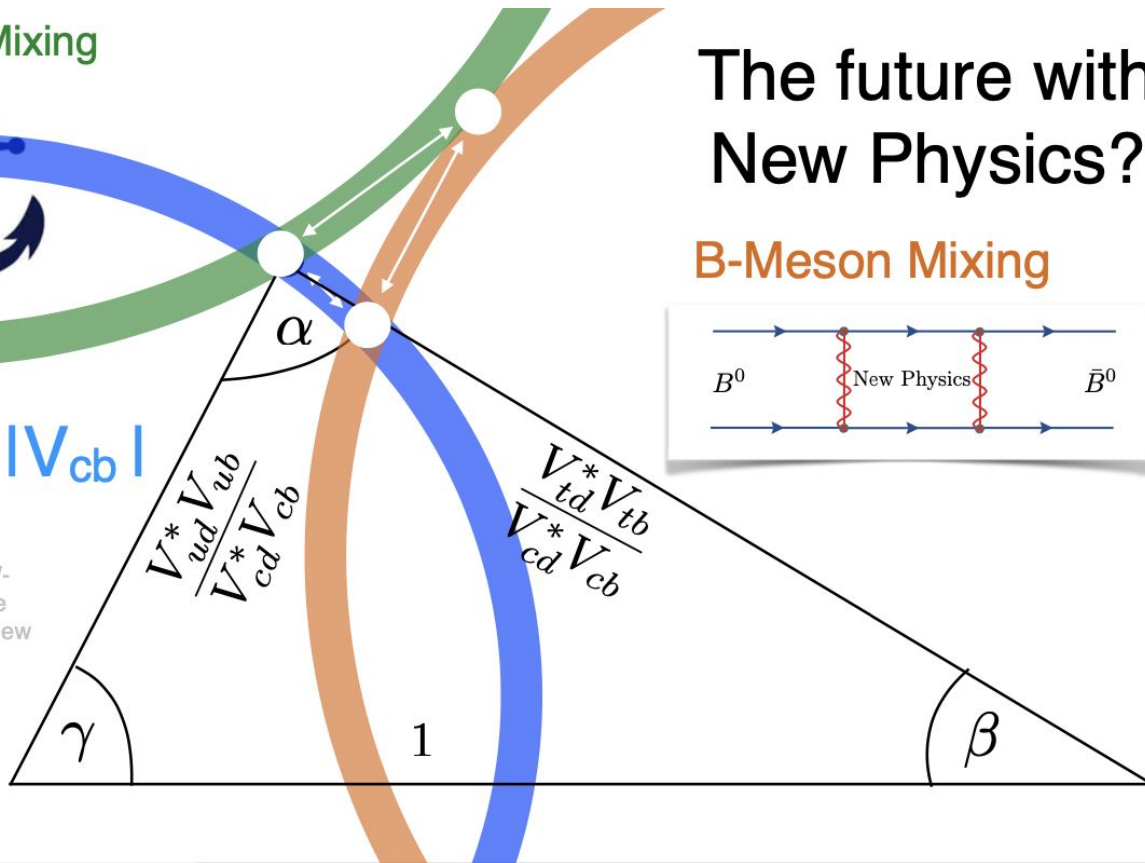
# Backup slides

Kaon Mixing



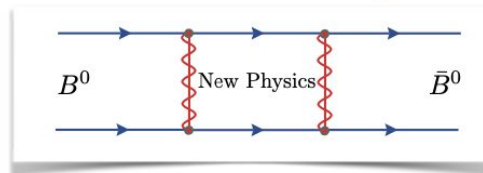
$$|V_{ub}| / |V_{cb}|$$

Dominated by W-Boson exchange  
a-priori free from new physics



The future with  
New Physics?

B-Meson Mixing



from  
Latest developments on  
 $|V_{ub}|$  and  $|V_{cb}|$  by Florian  
Bernlochner

# Backup slides

$B$	Value $B^+$	Value $B^0$
$B \rightarrow X_u \ell^+ \nu_\ell$		
$B \rightarrow \pi \ell^+ \nu_\ell$	$(7.8 \pm 0.3) \times 10^{-5}$	$(1.5 \pm 0.06) \times 10^{-4}$
$B \rightarrow \eta \ell^+ \nu_\ell$	$(3.9 \pm 0.5) \times 10^{-5}$	-
$B \rightarrow \eta' \ell^+ \nu_\ell$	$(2.3 \pm 0.8) \times 10^{-5}$	-
$B \rightarrow \omega \ell^+ \nu_\ell$	$(1.2 \pm 0.1) \times 10^{-4}$	-
$B \rightarrow \rho \ell^+ \nu_\ell$	$(1.6 \pm 0.1) \times 10^{-4}$	$(2.9 \pm 0.2) \times 10^{-4}$
$B \rightarrow X_u \ell^+ \nu_\ell$	$(2.2 \pm 0.3) \times 10^{-3}$	$(2.0 \pm 0.3) \times 10^{-3}$

# Backup slides

$$|V_{ub}| \text{ (BLNP)} = \left(4.01 \pm 0.08^{+0.15+0.18}_{-0.16-0.19}\right) \times 10^{-3},$$

$$|V_{ub}| \text{ (DGE)} = \left(4.12^{+0.08}_{-0.09} \pm 0.16^{+0.11}_{-0.12}\right) \times 10^{-3},$$

$$|V_{ub}| \text{ (GGOU)} = \left(4.11^{+0.08}_{-0.09} \pm 0.16^{+0.08}_{-0.09}\right) \times 10^{-3},$$

$$|V_{ub}| \text{ (ADFR)} = \left(4.01 \pm 0.08^{+0.15}_{-0.16} \pm 0.18\right) \times 10^{-3}.$$