

# Neutrino Physics

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1. *history of neutrinos, ancient and modern*
2. *oscillations in quantum mechanics*
  - ▶ (why can one use a Schrodinger Eqn ?)
3. fermions, spinors and Lorentz-invariant  $m_\nu$
4. the scale of neutrino masses
5. leptogenesis ?

## References (old)

other version of these lectures (2017 CERN school) :

[https://physicschool.web.cern.ch/ESHEP/previous\\_eshep.html](https://physicschool.web.cern.ch/ESHEP/previous_eshep.html)

Giunti website “neutrino unbound” : <http://www.nu.to.infn.it/>

fits : <http://www.nu-fit.org/>

Raffelt talks (astropart) : <http://wwwth.mpp.mpg.de/members/raffelt/>

Plots thanks to Strumia + Vissani : [hep-ph/0606054](http://hep-ph/0606054)

simple 3-gen probabilities for LBL : Cervera et al 0002108 (+ later versions)

current state of oscillation measurements : Gonzalez-Garcia @ CERN  $\nu$  platform kickoff : <https://indico.cern.ch/event/572831/>

neutrino cosmology : Lesgourgues at CERN  $\nu$  platform kickoff : <https://indico.cern.ch/event/572831/>

(hypothetical/ /known) **history of neutrinos** (shy in the lab, relevant in cosmo)

- ▶ ...
- ▶ inflation (gives large scale CMB fluctuations) (?driven by sneutrino?)
- ▶ baryogenesis (excess of matter over anti-matter) via leptogenesis?
- ▶ relic density of (cold) Dark Matter (?heavy neutrinos?) Shaposhnikov
- ▶ Big Bang Nucleosynthesis ( $H, D, {}^3\text{He}, {}^4\text{He}, {}^7\text{Li}$  at  $T \sim \text{MeV}$ )  
 $\Leftrightarrow$  3 species of relativistic  $\nu$  in the thermal soup
- ▶ decoupling of photons —  $e + p \rightarrow H$  (CMB spectrum today)  
cares about radiation density  $\leftrightarrow N_\nu, m_\nu$
- ▶ for  $10^{10}$  yrs — stars are born, radiate ( $\gamma, \nu$ ), and die
- ▶ supernovae explode (?thanks to  $\nu$ ?) spreading heavy elements
  
- ▶ 1930 : Pauli hypothesises the “neutrino”, to conserve  $E$  in  $n \rightarrow p + e(+\nu)$
- ▶ 1953 Reines and Cowan : neutrino CC interactions in detector near a reactor
- ▶ invention of the Standard Model (SM) : massless  $\nu$
- ▶
- ▶ **neutrinos have mass ! There is more in the Lagrangian than the SM...**

## Recent history of neutrinos and men

### ~ 1930 :predicting the neutrino :

observe  $\beta$ -decay :  $(A, Z) \rightarrow (A, Z + 1) + e^- (+\bar{\nu})$

$(A, Z)$  = nucleus of  $A-Z$  neutrons,  $Z$  protons

$e^+$  has a spectrum of momenta... ?

(if 2body decay in  $(A, Z)$  restframe :  $(A, Z+1)$  and  $e^-$  backtoback)

Pauli hypothesises wee neutral “neutrino” to conserve  $\vec{p}$

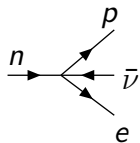
### ~ 1956 :confirming the neutrino

near a nuclear reactor (produces  $\bar{\nu}$  flux)

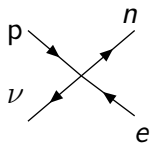
$(A, Z) \rightarrow (A, Z + 1) + e + \bar{\nu}$

Reines+Cowan detect  $\bar{\nu} + p \rightarrow n + e^+$ ,  $e^+ + e^- \rightarrow \gamma\gamma$

$\Rightarrow \nu$  exist, and have only weak interactions



( $t \longrightarrow$  in diagrams)



## antiparticles

$$E^2 - |\vec{p}|^2 = m^2 \Rightarrow E = \pm \sqrt{m^2 + |\vec{p}|^2}$$

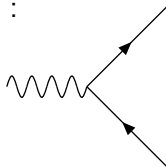
NR limit :  $E \simeq m + |\vec{p}|^2/2m + \dots$  ?where went -ve E solns?

They are *antiparticles*, and *travel backwards in time*

(  $-\vec{p}$  in opposite spatial direction from  $\vec{p}$  )

but NB, retain causality : create antipart at  $t = 0$  :

$t \longrightarrow$



travels backwards-in-time from future

costs  $+E$  in "my" frame

How to tell particle from antiparticle?

charges reversed : electron= $e = e^- \leftrightarrow e^+ = \bar{e}$ =positron

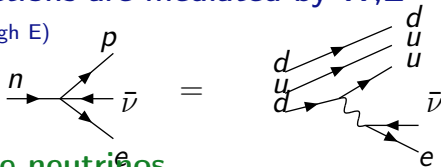
no conserved charge? Maybe part= $\overline{\text{part}}$  (like photon =  $\gamma$ )

Is neutrino its own antiparticle?

- “weak” interactions are weak (at low energy) :  
we stand on earth ; most  $\nu$  go through  
 $\sim 2$  second for  $\nu$  to escape from sun, vs  $\sim 10^3 \rightarrow 10^6$  years for  $\gamma$   
.

- weak interactions are mediated by W,Z

(on short distances/high E)



- at least three neutrinos

3 charged leptons =  $\{e, \mu, \tau\}$ . Observe each has own  $\nu$

(fermion wo strong int. ; (.5, 105, 1770 MeV)

$$\left\{ \begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix}, \begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix}, \begin{pmatrix} \nu_{\tau L} \\ \tau_L \end{pmatrix} \right\}$$

Its not just zoology...

**particle name**  $\leftrightarrow$  fn/operator of space-time pt, eg  $\hat{\nu}(\vec{x}, t)$   
called "field" (like Electromagnetism)

**dynamics**  $\leftrightarrow$  1. build Lagrangian  $\mathcal{L}(\vec{x}, t)$  with fields  
2. action  $S = \int d^3x dt \mathcal{L}(\vec{x}, t)$ , "dimensionless"  
3. field is quantum :calculate amplitude

$\mathcal{A}(\nu_1(x, t) \rightarrow \nu_2(x, t)) = \Sigma (\text{interpolating field configs}) e^{iS}$   
(consistent with double-slit expt...) Feynman, QM via Path Integral  
4. so : classical soln at min of  $S$  (constructive

interference)

Lagrange Eqns  $\Rightarrow$  EoM for field.

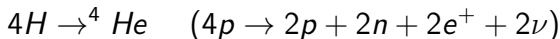
**(particle properties**  $\leftrightarrow$  symmetries of Lagrangian)

To calculate in a theory, evaluate PI :  $\sim$  perturb in cplg ctes.  
Can read particle properties/interactions from  $\mathcal{L}$ .

## Historical problems : neutrinos disappear...

**solar  $\nu$  prob.** ( $>50$  years, many expts)

sun ( $T_{core} \sim 2 \text{ keV}$ ,  $T_{surf} \sim .5 \text{ eV} \approx 6000 \text{ }^\circ\text{K}$ ,  $R \sim 6 \times 10^{10} \text{ cm}$ )  
produces energy by a network of nuclear reactions



$\nu$  escape,  $\gamma$  diffuse to surface ( $10^3 \rightarrow 10^6 \text{ yrs}$ )

$\nu_e$  flux  $\sim .3 \rightarrow .5$  expected from E output

Flux in  $\sum$  flavours  $\sim$  expected (SNO).



# Nobel-winning plot # 2 : SNO

solar  $\nu_e$  deficit, but expected  $\sum \nu_\alpha$  flux(PRL 89 (2002) 011301)

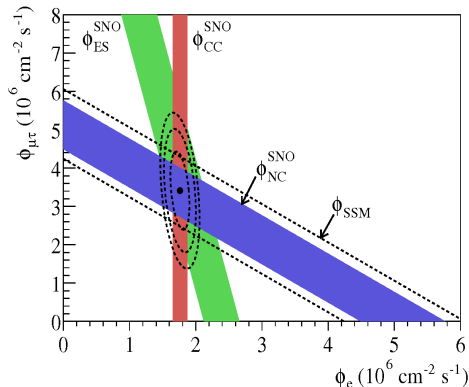


FIG. 3: Flux of  $^8\text{B}$  solar neutrinos which are  $\mu$  or  $\tau$  flavor vs flux of electron neutrinos deduced from the three neutrino reactions in SNO. The diagonal bands show the total  $^8\text{B}$  flux as predicted by the SSM [11] (dashed lines) and that measured

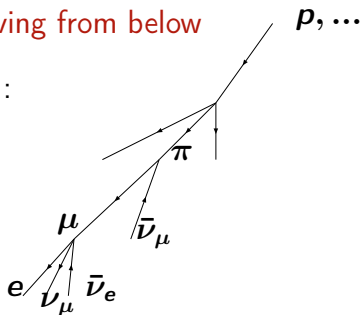
## Atmospheric $\nu$ problem : deficit of $\nu_\mu$ arriving from below

$\nu$  produced in cosmic ray interactions :

expect  $N(\nu_\mu + \bar{\nu}_\mu) \simeq 2N(\nu_e + \bar{\nu}_e)$

height atmosphere  $\sim 10\text{-}100\text{km}$ ,

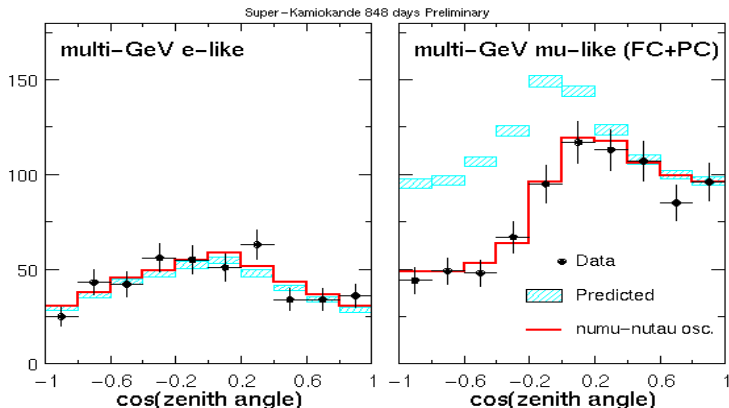
$R_{\text{earth}} \sim 6000\text{km}$



...see deficit of  $\nu_\mu, \bar{\nu}_\mu$  from below

# Nobel plot #1 : SK-98 :

$\nu_\mu + H_2O \rightarrow \mu + ..$ , deficit in  $\nu_\mu$  from below (PRL 81 (1998) 1562-1567)



upwards  $\leftrightarrow$   $\cos = -1$ ; down  $\leftrightarrow$   $\cos = +1$ .

$L$  : 20 km  $\leftrightarrow$  10 000 km.

## *Oscillations of massive $\nu$*

a relativistic muon decays at the top of the atmosphere, produces a  $\nu$ .

Suppose massive  $\nu_2, \nu_3$ , but not reconstruct  $(E_\nu, \vec{k}_\nu)$  well enough to identify if  $\nu$  is  $\nu_3$  or  $\nu_2$ ...

The  $\nu$  travels to the SK detector, where it produces another  $\mu$

$\Rightarrow$  must sum in *amplitude* possibility to travel as  $\nu_2$  or  $\nu_3$

$\Leftrightarrow$  neutrino propagation is a quantum process

neutrinos “oscillate”(QM version : easy to rederive)

A relativistic neutrino, with momentum  $\vec{k}$ , is produced in muon decay at  $t = 0$  (at Tokai/edge atmosphere). Describe as a quantum mechanical state :

$$|\nu(t=0)\rangle = |\nu_\mu\rangle$$

It travels a distance  $L$  in time  $t$  to the detector (SuperK)

$$|\nu(t)\rangle$$

where it produces an  $\mu$  in CC scattering. With what probability ?

$$\mathcal{P}_{\mu \rightarrow \mu}(t) = |\langle \nu_\mu | \nu(t) \rangle|^2 = ?$$

1. Suppose massive neutrinos (two generations for simplicity).  
Flavour and mass eigenstates related by :  $\nu_\alpha = U_{\alpha i} \nu_i$

$$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \cdot \begin{pmatrix} \nu_2 \\ \nu_3 \end{pmatrix}.$$

2. Suppose time evolution in the mass basis described by

$$i \frac{d}{dt} \begin{pmatrix} \nu_2 \\ \nu_3 \end{pmatrix} = \begin{bmatrix} E_2 & 0 \\ 0 & E_3 \end{bmatrix} \begin{pmatrix} \nu_2 \\ \nu_3 \end{pmatrix}, \quad E_i^2 = k^2 + m_i^2$$

3. If produce relativistic  $\nu_\mu$  at  $t = 0$ , then at  $t$  later :

$$|\nu(t)\rangle = \sum_j U_{\mu j} |\nu_j(t)\rangle = \sum_j U_{\mu j} e^{-iE_j t} |\nu_j\rangle$$

Amplitude for neutrino to produce charged lepton  $\alpha$  in CC scattering in detector after  $t$  :

$$|\langle \nu_\alpha | \nu(t) \rangle| = \left| \sum_j U_{\mu j} e^{-iE_j t} U_{\alpha j}^* \right|$$

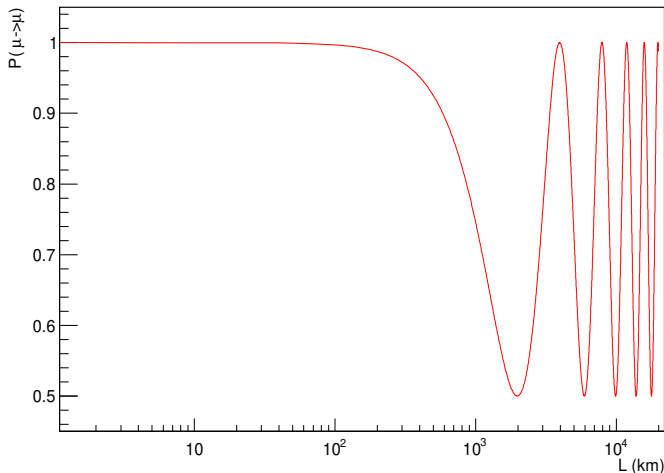
So in 2 generation case, using  $t = L$ ,  $E_3 - E_2 \simeq \frac{m_3^2 - m_2^2}{2E} \equiv \frac{\Delta_{32}^2}{2E}$  :

$$\begin{aligned} \mathcal{P}_{\mu \rightarrow \tau}(t) &= \left| \sin \theta \cos \theta \left( e^{i\Delta_{32}^2 L/4E} - e^{-i\Delta_{32}^2 L/4E} \right) \right|^2 \\ &= \sin^2(2\theta) \sin^2 \left( L \frac{\Delta_{32}^2}{4E} \right) \end{aligned}$$

$$\mathcal{P}_{\mu \rightarrow \mu}(t) = 1 - \sin^2(2\theta) \sin^2 \left( L \frac{\Delta_{32}^2}{4E} \right) = 1 - \sin^2(2\theta) \sin^2 \left( 1.27 \frac{L}{\text{km}} \frac{\Delta_{32}^2}{\text{eV}^2} \frac{\text{GeV}}{4E} \right)$$

$E = \nu$  energy,  $L$  source-detector distance,  $\Delta_{32}^2 \sim 10^{-3} \text{eV}^2$   
 $E \sim 10 \text{ GeV}$  for atmospheric  $\nu$ s;  $L : 20 \text{ km} \rightarrow 10000 \text{ km}$

2 generation survival probability  $P(\mu \rightarrow \mu)$ ,  $2\theta = 45$ ,  $\Delta m_{\text{atm}}^2$ ,  $E = \text{GeV}$



$$\mathcal{P}_{\mu \rightarrow \mu}(L) = 1 - \sin^2(2\theta) \sin^2 \left( 1.27 \frac{L}{\text{km}} \frac{\Delta^2}{\text{eV}^2} \frac{\text{GeV}}{4E} \right)$$

$$\begin{aligned} \Delta_{32}^2 &= 2.5 \times 10^{-3} \text{eV}^2 \\ E &\sim 0.6 \text{GeV (T2K)} \\ &\sim \text{MeV (reactors)} \\ &\sim 10 \text{GeV (atmosphere)} \end{aligned}$$



## Schrodinger Eqn for relativistic particles ?

is ok : have Eqn for the number operator  $\hat{n}_p \equiv \hat{a}_p^\dagger \hat{a}_p$  :

$$i \frac{\partial}{\partial t} \hat{n} = [\hat{H}, \hat{n}]$$

...take expectation values and get QM version.

### quantum coherence over km ?

- $m_\nu \ll$ , so  $\Delta_{\text{expt}} \sqrt{E_\nu^2 - |\vec{p}_\nu|^2} \gg m_\nu$  (decoherence slide)
- recall  $\nu$  only interact *weakly*, can cross earth without interaction (no “observations” to collapse wavefns)

**But...there is forward scattering**  $\Rightarrow$  effective contribution to  $m_\nu$  from matter in sun, earth and supernovae (more later, maybe)

## decoherence of neutrinos for large $L/E \gg 1/\Delta^2$

- at production, 2 superposed wavepackets of masses  $m_2, m_3$ .
- group velocity of packets

$$v_i = \frac{\partial E}{\partial p} = \frac{p}{E} \simeq 1 - \frac{m_i^2}{2E^2}$$

- after distance  $L$ , packets have separated by

$$(v_2 - v_3)L \simeq \frac{\Delta_{23}^2}{E^2}L \simeq \frac{L}{\ell_{osc}} \frac{1}{E}$$

- no interference if larger than size of packets  $\sim 1/(\delta E)$  where packet energy uncertain by  $\delta E$ . so no oscillations once

$$\frac{L}{\ell_{osc}} \gtrsim \frac{E}{\delta E}$$

can make similar estimate doing sum over paths, phases should sum coherently

## *Massive $\nu$ in the Standard Model*

From antique 2-flavour QM calculation and astro problems to  $\geq$  three light  $\nu$  in a lively exptal programme using reactors, accelerators and astro sources

## What masses?

oscillations say there are mass differences : (global fits of  
[www.nu-fit.org](http://www.nu-fit.org))

$$\begin{aligned} |\Delta_{atm}^2| = |\Delta_{3j}^2| &= |m_3^2 - m_j^2| \simeq 2.5 \times 10^{-3} \text{ eV}^2 \\ &\gg \Delta m_{21}^2 \simeq 7.50 \pm 0.2 \times 10^{-5} \text{ eV}^2 \\ \sqrt{\Delta m_{31}^2} &\simeq 0.05 \text{ eV} \qquad \sqrt{\Delta m_{21}^2} \simeq 0.008 \text{ eV} \end{aligned}$$

mass scale  $\lesssim$  eV from

- cosmology : massive  $\nu$  are DM today, and affect CMB.
- spectrum of e in  $\beta$  decay : Katrin expt
- $0\nu 2\beta$ ... if  $\nu$  own antiparticle

And there are mixing angles

In 2 flavour, wrote :

$$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \cdot \begin{pmatrix} \nu_2 \\ \nu_3 \end{pmatrix}.$$

but there are three lepton flavours in SM, should write

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{bmatrix} U \end{bmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}.$$

Can write as :

$$\begin{aligned}
 U_{\alpha i} &= \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} P \\
 &\quad \text{atm. + LBL disa.} \quad \text{reac.disa. + LBL app.} \quad \text{sol + reac.disa.} \\
 &= \begin{bmatrix} c_{12}c_{13} & c_{13}s_{12} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{23}s_{12} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - c_{23}s_{12}s_{13}e^{i\delta} & c_{13}c_{23} \end{bmatrix} P
 \end{aligned}$$

$$\theta_{23} \simeq \pi/4 \pm \pi/40 \quad \theta_{12} \simeq \pi/6 \quad \theta_{13} \simeq 8^\circ$$

(global fits of [www.nu-fit.org](http://www.nu-fit.org))

Where to put  $U$  in SM?

Previously wrote

$$\left\{ \begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix}, \begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix}, \begin{pmatrix} \nu_{\tau L} \\ \tau_L \end{pmatrix} \right\}$$

write  $\nu$  in mass eigenstates too (propagate eigenstates of Hamiltonian...)

$$\ell_L^e \equiv \begin{pmatrix} U_{ei} \nu_L^i \\ e_L \end{pmatrix}, \quad \ell_L^\mu \equiv \begin{pmatrix} U_{\mu j} \nu_L^j \\ \mu_L \end{pmatrix}, \quad \ell_L^\tau \equiv \begin{pmatrix} U_{\tau k} \nu_L^k \\ \tau_L \end{pmatrix}$$

$3 \times 3$  mixing matrix  $U_{\alpha,i}$  appears at  $W^\pm$  vertices (like CKM)

$$\rightarrow -i \frac{g U_{ej}^*}{\sqrt{2}} \bar{\nu}_L^j \gamma^\mu W_\mu^+ e_L + \dots$$

but flavour-diagonal  $Z$  vertex :

$$\propto \sum_\alpha -i \frac{g}{2} U_{\alpha j}^* \bar{\nu}_L^j \gamma^\mu Z_\mu^+ U_{\alpha k} \nu_L^k = \delta_{jk} \frac{g}{2} \bar{\nu}_L^j \gamma^\mu Z_\mu^+ \nu_L^k$$

## The drunken Unitarity triangle

Not hear much about “leptonic unitarity triangle”

1. not measure elements at tree in CC

2. Also, it drinks.

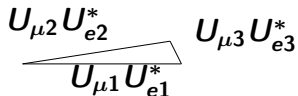
Amplitude to oscillate from flavour  $\alpha$  to  $\beta$  over distance  $L$  :

$$\mathcal{A}_{\alpha\beta}(L) = U_{\alpha 1} U_{\beta 1}^* + U_{\alpha 2} U_{\beta 2}^* e^{-i(m_2^2 - m_1^2)L/(2E)} + U_{\alpha 3} U_{\beta 3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

at  $L = 0$  unitarity :  $\Rightarrow \mathcal{A}_{\alpha\beta} = 1$  for  $\alpha = \beta$

$$\mathcal{A}_{\alpha\beta} = 0 \text{ for } \alpha \neq \beta$$

$\Leftrightarrow$  unitarity triangle(in complex plane)



At  $L = t \neq 0$ , two of the vectors rotate in the complex plane,  
with frequencies  $(m_j^2 - m_1^2)/2E$

oscillations  $\leftrightarrow$  time-dependent non-unitarity

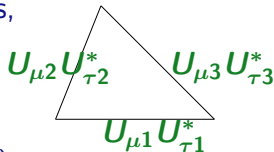


## About two- flavour analyses : atm/LBL $\nu_\mu$ disappearance

Amplitude to oscillate from flavour  $\mu$  to  $\tau$  over distance  $L$  :

$$\mathcal{A}_{\mu\tau}(L) = U_{\mu 1} U_{\tau 1}^* + U_{\mu 2} U_{\tau 2}^* e^{-i(m_2^2 - m_1^2)L/(2E)} + U_{\mu 3} U_{\tau 3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

At  $L \sim E/(m_3^2 - m_1^2)$ , vector “3” rotates,  
frequency  $(m_3^2 - m_1^2)/2E$



$\Rightarrow$  “Atmospheric” neutrinos, also LBL  
( $\nu_\mu$  disappearance via  $\Delta m_{31}^2$  oscillations) :

$$\mathcal{A}_{\mu\tau}(L) \simeq U_{\mu 1} U_{\tau 1}^* + U_{\mu 2} U_{\tau 2}^* + U_{\mu 3} U_{\tau 3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

$U_{\mu 3} U_{\tau 3}^*$  oscillates on timescale  $t = L \sim (m_3^2 - m_1^2)/E$

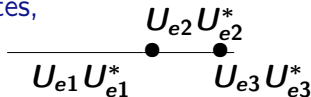
$U_{\mu 2} U_{\tau 2}^* \sim$  stationary, measure  $\theta_{23}$

## About two- flavour analyses : solar and Kamland

Amplitude to oscillate from flavour  $e$  to  $e$  over distance  $L$  :

$$\mathcal{A}_{ee}(L) = U_{e1}U_{e1}^* + U_{e2}U_{e2}^* e^{-i(m_2^2 - m_1^2)L/(2E)} + U_{e3}U_{e3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

At  $L \sim 2E/(m_2^2 - m_1^2)$ , vector 2 rotates,  
frequency  $(m_2^2 - m_1^2)/2E$   
vec. 3 spins rapidly



$\Rightarrow$  "Solar" + "KamLAND" (reactor  $\bar{\nu}_e$  for  $L \sim 100$  km)  
neutrinos

$\Leftrightarrow \nu_e$  disappearance over long baselines  $L \sim (m_2^2 - m_1^2)/2E$   
two- $\nu$  approx works because  $\theta_{13}$  is small ( $U_{e3} = \sin\theta_{13}$ ) :

$$\mathcal{A}_{ee} \simeq |U_{e1}|^2 + |U_{e2}|^2 e^{-i(m_2^2 - m_1^2)L/(2E)}$$

measure  $\theta_{12}$

## About two- flavour analyses : $\theta_{13}$ at reactors

Amplitude to oscillate from flavour  $e$  to  $e$  over distance  $L$  :

$$\mathcal{A}_{ee}(L) = U_{e1} U_{e1}^* + U_{e2} U_{e2}^* e^{-i(m_2^2 - m_1^2)L/(2E)} + U_{e3} U_{e3}^* e^{-i(m_3^2 - m_1^2)L/(2E)}$$

At short enough  $L$ , only third vector rotates,  
frequency  $(m_3^2 - m_1^2)/2E$

The diagram illustrates the evolution of neutrino flavor components over distance  $L$ . A horizontal line represents the path. Three points are marked on the line. The first point is labeled  $U_{e1} U_{e1}^*$ . The second point is labeled  $U_{e2} U_{e2}^*$ . The third point is labeled  $U_{e3} U_{e3}^*$ .

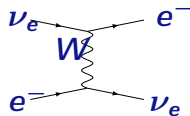
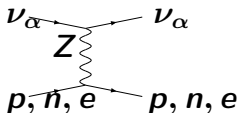
$\Rightarrow$  reactor  $\theta_{13}$  by  $\overline{\nu}_e$  disappearance ; select short baseline such that only  $|U_{e3}(t)|^2$  moves

$$\begin{aligned}\mathcal{A}_{ee} &\simeq (|U_{e1}|^2 + |U_{e2}|^2) + |U_{e3}|^2 e^{-i(m_3^2 - m_1^2)L/(2E)} \\ &= c_{13}^2 (c_{12}^2 + s_{12}^2) + s_{13}^2 e^{-i(m_3^2 - m_1^2)L/(2E)}\end{aligned}$$

*Flavour transition in matter*  
*oscillations and adiabatic*

## Flavour transitions in matter

Coherent forward scattering of  $\nu$  in matter give extra contribution to the Hamiltonian :



To see : use  $\mathcal{H}_{\text{mat}} = \mathcal{H}_0 + \mathcal{H}_{\text{int}}$  in QFT oscillation derivation,

$$\mathcal{H}_{\text{int}} \simeq 2\sqrt{2}G_F \int d^4x (\bar{\nu}_e(x) \gamma^\alpha P_L \hat{\nu}_e) (\bar{e} \gamma_\alpha P_L \hat{e}(x))$$

evaluated in a medium with electrons (NC irrelevant ; same for all  $\nu$  generations = add unit matrix to  $H$ . And no  $\mu$  or  $\tau$  in the matter.)

$$\langle \text{medium} | \bar{e} \gamma_\alpha P_L e(x) | \text{medium} \rangle \rightarrow \delta_{\alpha 0} \frac{n_e}{2}$$

$H_{\text{mat}}$  in flavour basis  $(\nu_e, (\nu_\tau - \nu_\mu)/\sqrt{2})$ ,  $V_e = \sqrt{2}G_F n_e$  :

$$H_{\text{mat}} = \dots + \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & \Delta^2/(2E) \end{bmatrix} \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} + \begin{bmatrix} V_e & 0 \\ 0 & 0 \end{bmatrix}$$

## Oscillations in matter — ctd

$H_{\text{mat}}$  in flavour basis  $(\nu_e, (\nu_\mu + \nu_\tau)/\sqrt{2})$  :

$$H_{\text{mat}} = \dots + \begin{bmatrix} -\frac{\Delta^2}{4E} \cos 2\theta + V_e & \frac{\Delta^2}{4E} \sin 2\theta \\ \frac{\Delta^2}{4E} \sin 2\theta & \frac{\Delta^2}{4E} \cos 2\theta \end{bmatrix}$$

With  $U_{\text{mat}}^T H_{\text{mat}} U_{\text{mat}}^* = \text{diagonal}$  :

$$\tan(2\theta_{\text{mat}}) = \frac{\Delta^2 \sin(2\theta_{21})}{2EV_e - \Delta^2 \cos(2\theta_{21})} \xrightarrow{2EV_e \rightarrow \Delta^2 \cos 2\theta} \text{large}$$
$$\Delta_{\text{mat}}^2 = \sqrt{(\Delta^2 \cos 2\theta - 2EV)^2 + (\Delta^2 \sin 2\theta)^2}$$

- ▶ for  $V_e \ll \frac{\Delta^2}{2E} \cos(2\theta_{21})$ , matter effects negligible
- ▶  $\theta_{\text{mat}} \rightarrow \pi/4$  ("resonance") at  $V_e = \frac{\Delta^2}{2E} \cos(2\theta_{21})$
- ▶  $V \gg \frac{\Delta^2}{2E} \cos(2\theta_{21})$  :  $\nu_e \sim$  mass eigenstate

What is  $V_e$  ?

$$H_{\text{mat}} = \dots + \begin{bmatrix} -\frac{\Delta^2}{4E} \cos 2\theta + V_e & \frac{\Delta^2}{4E} \sin 2\theta \\ \frac{\Delta^2}{4E} \sin 2\theta & \frac{\Delta^2}{4E} \cos 2\theta \end{bmatrix}$$

$$\tan(2\theta_{\text{mat}}) = \frac{\Delta^2 \sin(2\theta_{21})}{2EV_e - \Delta^2 \cos(2\theta_{21})}$$

$$\Delta m_{21}^2 \simeq 7.5 \pm \times 10^{-5} \text{ eV}^2$$

$$V_e = \sqrt{2} G_F n_e \simeq 8 \text{ eV} \frac{\rho Y_e}{10^{14} \text{ g/cm}^3}$$

$$Y_e = \frac{n_e}{n_n + n_p}, \quad \rho = \begin{cases} 10 \text{ g/cm}^3 & \text{earth} \\ 100 \text{ g/cm}^3 & \text{sun} \\ 10^{14} \text{ g/cm}^3 & \text{SN} \end{cases}$$

For  $\bar{\nu}$   $V_e$  of opposite sign ! (because

$$\langle \text{out} | \bar{\hat{\nu}} \hat{\nu} | \text{in} \rangle \sim \langle \text{out} | \hat{a}^\dagger \hat{a} + \hat{b} \hat{b}^\dagger | \text{in} \rangle$$

$\Rightarrow$  solar matter effect for  $\nu_e$ , not  $\bar{\nu}_e$ , fixes sign of  $m_2^2 - m_1^2 > 0$ .

*Mass scale*



## First of 3 probes of the mass scale :cosmology

- a late contribution to DM in cosmology :

relic  $\nu$  free-stream til they become non-rel. (after recomb. for  $\Sigma \lesssim \text{eV}$ ), then contribute to DM  $\propto \sum_i |m_i| \equiv \Sigma$ .

- $\Sigma$  has effects on CMB :

Relativistic  $\rightarrow$  non-rel transition affects CMB propagation...parameter in cosmological fits :

Lesgourgues book

$$\begin{aligned}\Sigma &\lesssim 0.1 \rightarrow .6 \text{ eV} && \text{now : PLANCK, +LSS/Ly}\alpha \text{ (in } \Lambda\text{CDM)} \\ &\lesssim 0.6 \text{ eV} && \text{now : PLANCK + BAO (in 12 param } \Lambda\text{CDM)} \\ \rightarrow &\lesssim 2m_{\text{atm}} && \text{cosmo.indep. (Planck + EUCLID...)} \\ &\sim m_{\text{atm}} && \Lambda\text{CDM}\end{aligned}$$

DiValentino etal  
1507.06646

## beta decay

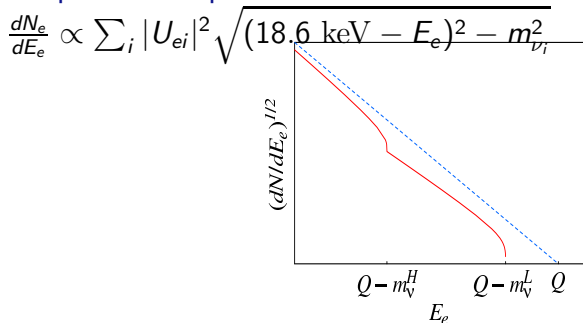
$m_\nu^2$  distorts e spectrum in  $n \rightarrow p + e + \bar{\nu} \Leftrightarrow \text{bound}$

Consider Tritium  $\beta$  decay :

$${}^3\text{H} \rightarrow {}^3\text{He} + e + \bar{\nu}_e, \quad Q = E_e + E_\nu = 18.6\text{eV}$$

where  $E_e = Q - E_\nu \leq Q - "m_{e\nu}"$

Endpoint of e spectrum :



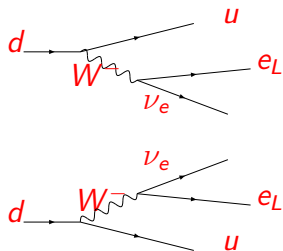
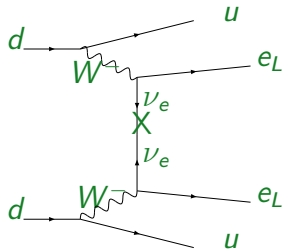
Current bound :  $m_{\nu_e} \lesssim 2 \text{ eV}$ , Katrin sensitivity  $\sim 0.3 \text{ eV}$ .



## Neutrinoless double beta decay : looking for lepton *number* violation

Single  $\beta$  decay kinematically forbidden for some nuclei

(eg  $^{76}_{32}\text{Ge}$  lighter than  $^{76}_{33}\text{As}$ , so  $^{76}_{32}\text{Ge} \rightarrow ^{76}_{34}\text{Se} + ee\bar{\nu}_e\bar{\nu}_e$  .  $\tau \sim 10^{21}$  yrs)



for majorana neutrinos, or other LNV, but not Dirac neutrinos.

Neutrino/less double beta decay :  $(Z, A) \rightarrow (Z + 2, A) + 2e$

