# B-physics anomalies: *facts*, *hopes*, *dreams*, & *worries*

Gino Isidori [ University of Zürich ]





European Research Council Established by the European Commission Facts [a closer look to the data]



Since 2013 results in semi-leptonic B decays started to exhibit tensions with the SM predictions connected to a possible violation of Lepton Flavor Universality

More precisely, we seem to observe a <u>different behavior</u> (*beside pure* kinematical effects) of different lepton species in the following processes:

- b  $\rightarrow$  s  $l^+l^-$  (neutral currents): μvs. e
- b  $\rightarrow$  c *lv* (charged currents):  $\tau$  vs. light leptons ( $\mu$ , e)

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| • b $\rightarrow$ s $l^+l^-$ (neutral currents): | μ vs. e     | NEW!           |
|--|-------------|----------------|
| • $b \rightarrow c l v$ (charged currents):      | τ vs. light | leptons (µ, e) |

3.1 $\sigma$  from single "clean" observable [ $R_K$ ]

#### A closer look to the data

• b  $\rightarrow$  s  $l^+l^-$  (neutral currents)

List of the observables:

- $P'_5$  anomaly  $[B \rightarrow K^* \mu \mu$  angular distribution]
- Smallness of all  $B \rightarrow H_s \mu \mu$  rates  $[H_s = K, K^*, \phi (\text{from } B_s)]$
- <sup>⋆</sup> LFU ratios ( $\mu$  vs. e) in B → K<sup>\*</sup>ℓℓ & B → K ℓℓ
- Smallness of BR( $B_s \rightarrow \mu \mu$ )





th. error < 1%

th. error few %

# A closer look to the data

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Some of these observables are affected by irreducible theory errors (*form factors + long-distance contributions*)

The new result strength the overall consistency of the picture: all data <u>coherently</u> point to well-defined non-SM contributions of <u>short-distance</u> origin.

To describe  $b \rightarrow sll$  decays we

- build an EFT Lagrangian
- evolve it down to  $\mu \sim m_b$
- evaluate hadronic matrix elements

$$\mathcal{L}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{tb}^* V_{ts} \sum_i \mathcal{C}_i \mathcal{O}_i$$



N.B.: long-distance effect cannot induce LFU breaking terms ( $\rightarrow$  LFU ratios "*clean*") and cannot induce axial-current contributions ( $\rightarrow$  B<sub>s</sub>  $\rightarrow$  µµ "*clean*")





ATLAS+CMS+LHCb '21

# *A closer look to the data*



<u>Conservative fit</u> using "clean obs." only [  $\Delta C_i^{\ \mu} = C_i^{\ \mu} - C_i^{\ e}$ ]:





**N.B.**: the " $n\sigma$ " quoted by various theory groups holds for <u>specific NP hypotheses</u>, motivated, but made *a posteriori* (after looking at the data)  $\rightarrow$  local significance

The global significance of observing any form of heavy new physics in  $b \rightarrow sll$  can be estimated via the following procedure

- > Employ the most general eff. Lagrangian for  $b \rightarrow sll$  [full basis with 9  $C_i^{\text{NP}}$ ]
- > Consider all the observables  $O_i$  with good sensitivity to (at least some of) the  $C_i^{NP}$ [*taking into account conservative th. errors*  $\rightarrow$  *no charm loops*]
- > Generate pseudo-data to evaluate the  $O_i$  [assuming SM theory & exp. errors]
- > Fit the simulated  $O_i$  with generic  $C_i^{NP} \rightarrow \Delta \chi^2$  distribution of the pseudo-data

> Evaluate probability  $P(\Delta \chi^2 > \Delta \chi^2_{obs})$ 

Lancierini, GI, Owen, Serra, '21

probability that data randomly align to one of the possible NP directions

N.B.: the "no" quoted by various theory groups holds for <u>specific NP hypotheses</u>, motivated, but made *a posteriori* (*after looking at the data*)  $\rightarrow$  *local significance* 

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 3.9σ global significance with respect to any form of heavy NP Lancierini, GI, Owen, Serra, '21
 <u>Remarkably high !</u>
 [despite being very conservative]



#### A closer look to the data

Coming back to the theory interpretation ( $\rightarrow$  *th. motivated fits are essential* !) Data point to (short-distance) NP effects in operators of the type



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• b  $\rightarrow$  c *lv* (charged currents):  $\tau$  vs. light leptons ( $\mu$ , e)

$$R(X) = \frac{\Gamma(B \to X \tau \bar{\nu})}{\Gamma(B \to X \ell \bar{\nu})} \quad X = D \text{ or } D^*$$

![](_page_17_Figure_5.jpeg)

![](_page_17_Picture_6.jpeg)

- Consistent results by three different exps. ~ 3.1σ excess over SM (*D* and *D*\* combined)
- SM predictions quite "clean": hadronic uncertainties cancel (*to large extent*) in the ratios

#### A closer look to the data

• b  $\rightarrow$  c *lv* (charged currents):  $\tau$  vs. light leptons ( $\mu$ , e)

![](_page_18_Figure_4.jpeg)

#### A closer look to the data

![](_page_19_Figure_3.jpeg)

![](_page_19_Figure_4.jpeg)

Hopes I. [EFT-type considerations]

![](_page_20_Picture_3.jpeg)

# *EFT considerations*

- Anomalies are seen only in semi-leptonic (quark×lepton) operators
- We definitely need non-vanishing <u>left-handed</u> current-current operators although other contributions are also possible

![](_page_21_Figure_5.jpeg)

Bhattacharya *et al.* '14 Alonso, Grinstein, Camalich '15 Greljo, GI, Marzocca '15 (+many others...)

- Large coupling [*competing with SM tree-level*] in  $bc \rightarrow l_3 v_3$  [R<sub>D</sub>, R<sub>D\*</sub>]
- Small coupling [*competing with SM loop-level*] in bs  $\rightarrow l_2 \ l_2 \ [R_K, R_{K^*}, ...]$

$$T_{ij\alpha\beta} = (\delta_{i3} \times \delta_{3j}) \times (\delta_{\alpha3} \times \delta_{3\beta}) +$$

small terms for 2<sup>nd</sup> (& 1<sup>st</sup>) generations

![](_page_21_Picture_11.jpeg)

G. Isidori – B-physics anomalies: facts, hopes, dreams, & worries

![](_page_22_Figure_2.jpeg)

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![](_page_23_Figure_2.jpeg)

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![](_page_24_Figure_2.jpeg)

G. Isidori – B-physics anomalies: facts, hopes, dreams, & worries

![](_page_25_Figure_2.jpeg)

# Hopes II. [From EFT to simplified models]

![](_page_26_Picture_3.jpeg)

# *From EFT to simplified models*

To move from the EFT toward more complete/ambitious models, we need to address two general aspects: the *flavor structure* of the underlying theory, and the nature of the possible *mediators* 

![](_page_27_Figure_4.jpeg)

Virtual Particle Physics in Paris – 27 Apr. 2021

# *From EFT to simplified models* [the flavor structure]

So far, the vast majority of model-building attempts to extend the SM was based on the following two (*implicit*) hypotheses:

- Concentrate on the Higgs hierarchy problem
- Postpone (*ignore*) the flavor problem -

The 3 gen. as "identical" copies (*but for Yukawa-type interactions*)

# *From EFT to simplified models* [the flavor structure]

So far, the vast majority of model-building attempts to extend the SM was based on the following two (*implicit*) hypotheses:

- Concentrate on the Higgs hierarchy problem
- Postpone (*ignore*) the flavor problem -

![](_page_29_Picture_6.jpeg)

The recent flavor anomalies seem to suggest a <u>new avenue in BSM approaches:</u>

The <u>universality</u> of SM gauge interactions is only a <u>low-energy property</u>

• <u>We should not ignore the flavor problem</u> *New TeV-scale interactions distinguishing the different families* 

#### *From EFT to simplified models* [the flavor structure]

#### The MFV paradigm:

![](_page_30_Figure_4.jpeg)

# *From EFT to simplified models* [the flavor structure]

![](_page_31_Figure_3.jpeg)

# *From EFT to simplified models* [the flavor structure]

From the EFT point fo view, the generic consequence of a construction of this type is that the nearby dynamics  $(E \sim \Lambda_3)$  is characterized by a an approximate  $U(2)^n$  flavor symmetry:

$$\Psi = \begin{bmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \end{pmatrix} \\ \Psi_3 \end{bmatrix} \longleftarrow \text{ light generations (flavor doublet)} \\ \mathbf{\Psi}_3 \end{bmatrix} \underbrace{\mathbf{\Psi}_3}^{\text{rd}} \text{ generation (flavor singlet)}$$
SM fermion (e.g. q<sub>L</sub>)

with suitable (<u>small</u>) symmetry-breaking terms, related to the SM Yukawa couplings [*largest breaking*:  $3_L \rightarrow 2_L$  controlled by  $|V_{ts}| \sim 0.04$ ] Barbieri, G.I., Jones-Perez,

Lodone, Straub, '11

NB: In the 3-scale picture this flavor symmetry is an "accidental" symmetry, resulting from the (flavor) non-universal structure of BSM interactions

N.B.: this symmetry (& symmetry-breaking pattern) was proposed <u>well-before</u> the anomalies appeared...

Which mediators can generate the effective operators required for by the EFT fit? If we restrict the attention to tree-level mediators, not many possibilities...

![](_page_33_Picture_4.jpeg)

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# *From EFT to simplified models* [the possible mediators]

Which mediators can generate the effective operators required for by the EFT fit? If we restrict the attention to tree-level mediators, not many possibilities...

![](_page_34_Picture_4.jpeg)

LQ (both scalar and vectors) have two general <u>strong advantages</u> with respect to the other mediators:

II. Direct $3^{rd}$  gen. LQ are also in better shape as far as direct searchessearches:are concerned (*contrary to Z'...*).

"Renaissance" of LQ models (to explain the anomalies, but not only...):

- Scalar LQ as PNG Gripaios, '10 Gripaios, Nardecchia, Renner, '14 Marzocca '18
- Vector LQ as techni-fermion resonances

Barbieri *et al.* '15; Buttazzo *et al.* '16, Barbieri, Murphy, Senia, '17 + ...

- Scalar LQ from GUTs & R SUSY Hiller & Schmaltz, '14; Becirevic *et al.* '16, Fajfer *et al.* '15-'17; Dorsner *et al.* '17; Crivellin *et al.* '17; Altmannshofer *et al.* '17 Trifinopoulos '18, Becirevic *et al.* '18 + ...
  - LQ as Kaluza-Klein excit. Megias, Quiros, Salas '17 Megias, Panico, Pujolas, Quiros '17

Blanke, Crivellin, '18 + ...

 Vector LQ in GUT gauge models

> Assad *et al.* '17 Di Luzio *et al.* '17 Bordone et *al.* '17 Heeck & Teresi '18 + ...

#### Which LQ explains which anomaly?

![](_page_35_Figure_12.jpeg)

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Assad *et al.* '17 Di Luzio *et al.* '17 Bordone et *al.* '17 Heeck & Teresi '18 + ...

| Model  | $R_{K^{(*)}}$ | R <sub>D(*)</sub> | $R_{K^{(*)}} \& R_{D^{(*)}}$ |
|--|---------------|-------------------|------------------------------|
| $S_1 = (3, 1)_{-1/3}$  | ×             | ~                 | ×                            |
| $R_2 = (3, 2)_{7/6}$   | ×             | <b>√</b>          | ×                            |
| $\widetilde{R}_2 = (3, 2)_{1/6}$                                 | ×             | ×                 | ×                            |
| $S_3 = (3, 3)_{-1/3}$  | ✓             | ×                 | ×                            |
| $U_1 = (3, 1)_{2/3}$   | 1             | ~                 | ✓                            |
| ∽ <i>U</i> <sub>3</sub> = ( <b>3</b> , <b>3</b> ) <sub>2/3</sub> | $\checkmark$  | ×                 | ×                            |

![](_page_36_Figure_13.jpeg)

![](_page_36_Figure_14.jpeg)

LQ of the Pati-Salam gauge group:  $SU(4) \times SU(2)_L \times SU(2)_R$ 

Angelescu, Becirevic, DAF, Sumensari [1808.08179]

Considering the  $U_1$  only

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^{\mu} \left[ \beta_{i\alpha}^L (\bar{q}_L^i \gamma_\mu \mathcal{E}_L^\alpha) - \beta_{i\alpha}^R (\bar{d}_R^i \gamma_\mu e_R^\alpha) \right] + \mathrm{h.c.}$$

and fitting <u>all low-energy data</u> leads to an excellent description of present data:

![](_page_37_Figure_6.jpeg)

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and fitting <u>all low-energy data</u> leads to an excellent description of present data which is fully <u>consistent with high-pT searches</u> [*within the reach of HL-LHC*]:

![](_page_38_Figure_6.jpeg)

Considering the  $U_1$  only

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^{\mu} \left[ \beta_{i\alpha}^L (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) - \beta_{i\alpha}^R (\bar{d}_R^i \gamma_\mu e_R^\alpha) \right] + \mathrm{h.c.}$$

and fitting <u>all low-energy data</u> leads to an excellent description of present data which is fully <u>consistent with high-pT searches</u> & has interesting implications for future low-energy searches:

![](_page_39_Figure_6.jpeg)

# Dreams [speculations on UV completions]

![](_page_40_Picture_3.jpeg)

**First observation:** the Pati & Salam group, proposed in the 70's to unify quarks & leptons predicts the <u>only massive LQ</u> that is a good mediator for <u>both</u> anomalies:

Heeck, Teresi, '18

Pati-Salam group:  $SU(4) \times SU(2)_L \times SU(2)_R$ 

![](_page_41_Figure_5.jpeg)

Main Pati-Salam idea: Lepton number as "the 4<sup>th</sup> color"

The massive LQ  $[U_1]$  arise from the breaking SU(4)  $\rightarrow$  SU(3)<sub>C</sub>×U(1)<sub>B-L</sub>

The problem of the "original PS model" are the strong bounds on the LQ couplings to  $1^{st} \& 2^{nd}$  generations [e.g. M > 200 TeV from  $K_L \rightarrow \mu e$ ]

Attempts to solve this problem simply adding<br/>extra fermions or scalarsCalibbi, Crivellin, Li, '17;<br/>Fornal, Gadam, Grinstein, '18

![](_page_41_Picture_10.jpeg)

Second observation: we can "protect" the light families charging under SU(4) only the 3rd gen. or, more generally, "separating" the universal SU(3) component

PS group:  

$$SU(4) \times SU(2)_{L} \times SU(2)_{R} \quad \bullet \text{ flavor universality}$$

$$4321 \text{ models:} \quad SU(4) \times SU(3) \times G_{EW} = \begin{cases} SU(2)_{L} \times SU(2)_{R} \\ SU(2)_{L} \times U(1)_{Y} \end{cases}$$

![](_page_42_Figure_5.jpeg)

Second observation: we can "protect" the light families charging under SU(4) only the 3rd gen. or, more generally, "separating" the universal SU(3) component

![](_page_43_Figure_4.jpeg)

Fuentes-Martin *et al.* '20 + work in prog.

*Virtual Particle Physics in Paris – 27 Apr. 2021* 

# Speculations on UV completions

The PS<sup>3</sup> set-up...

Bordone, Cornella, Fuentes-Martin, GI, '17

![](_page_44_Figure_5.jpeg)

- \* Unification of quarks and leptons [*natural explanation for*  $U(1)_Y$  *charges*]
- \* **De-unification** (= *flavor deconstruction*) of the gauge symmetry
- \* Breaking to the diagonal SM group occurs via appropriate "link" fields, responsible also for the generation of the hierarchies in the Yukawa couplings.

... and its 5D embedding [ambitious attempt to construct a *full theory of flavor* via Pati-Salam embedding in a warped 5D space-time]

![](_page_45_Figure_4.jpeg)

Flavor ↔ special position (*topological defect*) in an extra (compact) space-like dimension Dvali & Shifman, '00

Higgs and SU(4)-breaking fields with oppositely-peaked profiles, leading to the desired flavor pattern for masses & anomalies

Bordone, Cornella, Fuentes-Martin, GI '17 Fuentes-Martin, GI, Pages, Stefanek '20

Possible to implement anarchic neutrino masses via an inverse see-saw mechanism

In most *PS-extended models* collider and low-energy pheno are controlled by the effective 4321 gauge group that rules TeV-scale dynamics Di Lu

Despite the apparent complexity, the construction is highly constrained

consistent

with

present

data !

- Positive features the EFT reproduced
- Calculability of  $\Delta F=2$  processes
- Precise predictions for high-pT data

New striking collider signature: G' ("*coloron*" = *heavy color octet*)

 $\rightarrow$  strongest constraint on the scale of the model from pp  $\rightarrow t \bar{t}$ 

![](_page_46_Figure_10.jpeg)

![](_page_47_Figure_2.jpeg)

Cornella, Fuentes-Martin, Faroughi, GI, Neubert, '21 Fuentes-Martin, GI, Konig, Selimovic, '20

![](_page_48_Picture_2.jpeg)

There are of course still several worries, and here the personal view becomes even more relevant.... So, let me mention a few of them:

The b → clv anomalies are those putting a serious "pressure" on the parameter-space of the model, and their significance is still relatively weak. Why insisting?

![](_page_49_Figure_5.jpeg)

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![](_page_50_Figure_5.jpeg)

There are of course still several worries, and here the personal view becomes even more relevant.... So, let me mention a few of them:

• Not easy to reconcile the  $(g-2)_{\mu}$  anomaly with both flavor anomalies and, more generally, with models with a "natural" flavor structure ( $\leftrightarrow Y_{SM}$ ). Is  $(g-2)_{\mu}$  suggesting something a different way?

![](_page_51_Figure_5.jpeg)

Maybe.... examples of recent "attempts":

- →  $a_{\mu} \oplus R_{K}$  with special role of muons [ U(1)<sub>B-3Lµ</sub> ⊂ G ] Greljo, Stangl, Thomsen '21
- $a_{\mu} \oplus R_{K} \oplus R_{D}$  with 2 scalars  $[S_1 + \phi^+]$  and peculiar flavor struct. Marzocca, Trifinopoulos '21

But...  $(g-2)_{\mu}$  is more "flexible" (*no generation change, necessary loop-level*) → could come from light NP: no obvious connection to the flavor anomalies

There are of course still several worries, and here the personal view becomes even more relevant.... So, let me mention a few of them:

• The UV models explaining both anomalies seems to be rather baroque (*many new fields & parameters*...). Is this a problem?

I don't think this is a valid objection: the models are indeed non-trivial extensions of the SM, but they achieve several goals (beside the anomalies)

- ✓ Unification of quarks & leptons
- Explanation/justification of the flavor hierarchies
- Stabilization/amelioration of the Higgs hierarchy problem

And, beside a few exceptions, there are no serious tunings [most serious: ~ 10% down-alignment (flavor sect.)+ little hierarchy (Higgs)]

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And, beside a few exceptions, there are no serious tunings [*most serious:* ~ 10% *down-alignment (flavor sect.)*+ *little hierarchy (Higgs)*]

Still, I must admit there is a growing number of observables which are "just around the corner" (*both at high-pT and at low-energies...*).
 *This starts to be disturbing...* [ ↔ *key connection with central value of* R<sub>D</sub>]

# Conclusions

- The statistical significance of the LFU anomalies is growing: in the  $b \rightarrow sll$  system the chance this is a pure statistical fluctuation is marginal...
- <u>If combined</u>, the two sets of anomalies point to non-trivial flavor dynamics around the TeV scale, involving mainly the  $3^{rd}$  family  $\rightarrow$  connection to the origin of flavor [multi-scale picture at the origin of flavor hierarchies ]
- <u>No contradiction</u> with existing low- & high-energy data, <u>but new non-</u><u>standard effects should emerge soon</u> in both these areas

# A lot of fun ahead of us...

(both on the exp., the pheno, and the model-building point of view)

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(both on the exp., the pheno, and the model-building point of view)

![](_page_55_Picture_8.jpeg)

(already since quite some time...)

![](_page_56_Picture_2.jpeg)

# *Other low-energy observables*

![](_page_57_Figure_3.jpeg)

#### *Other low-energy observables*

![](_page_58_Figure_3.jpeg)

Symmetry breaking pattern in PS<sup>3</sup>

![](_page_59_Figure_3.jpeg)

Symmetry breaking pattern in PS<sup>3</sup>

![](_page_60_Figure_3.jpeg)