B-physics anomalies: *facts*, *hopes*, *dreams*, & *worries*

Gino Isidori [University of Zürich]





European Research Council Established by the European Commission Facts [a closer look to the data]



Since 2013 results in semi-leptonic B decays started to exhibit tensions with the SM predictions connected to a possible violation of Lepton Flavor Universality

More precisely, we seem to observe a <u>different behavior</u> (*beside pure* kinematical effects) of different lepton species in the following processes:

- b \rightarrow s l^+l^- (neutral currents): μvs. e
- b \rightarrow c *lv* (charged currents): τ vs. light leptons (μ , e)

A closer look to the data

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More precisely, we seem to observe a <u>different behavior</u> (*beside pure kinematical effects*) of different lepton species in the following processes:

• b \rightarrow s l^+l^- (neutral currents):	μ vs. e	NEW!
• $b \rightarrow c l v$ (charged currents):	τ vs. light	leptons (µ, e)

3.1 σ from single "clean" observable [R_K]

A closer look to the data

• b \rightarrow s l^+l^- (neutral currents)

List of the observables:

- P'_5 anomaly $[B \rightarrow K^* \mu \mu$ angular distribution]
- Smallness of all $B \rightarrow H_s \mu \mu$ rates $[H_s = K, K^*, \phi (\text{from } B_s)]$
- [⋆] LFU ratios (μ vs. e) in B → K^{*}ℓℓ & B → K ℓℓ
- Smallness of BR($B_s \rightarrow \mu \mu$)





th. error < 1%

th. error few %

A closer look to the data

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Some of these observables are affected by irreducible theory errors (*form factors + long-distance contributions*)

The new result strength the overall consistency of the picture: all data <u>coherently</u> point to well-defined non-SM contributions of <u>short-distance</u> origin.

To describe $b \rightarrow sll$ decays we

- build an EFT Lagrangian
- evolve it down to $\mu \sim m_b$
- evaluate hadronic matrix elements

$$\mathcal{L}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{tb}^* V_{ts} \sum_i \mathcal{C}_i \mathcal{O}_i$$



N.B.: long-distance effect cannot induce LFU breaking terms (\rightarrow LFU ratios "*clean*") and cannot induce axial-current contributions (\rightarrow B_s \rightarrow µµ "*clean*")





ATLAS+CMS+LHCb '21

A closer look to the data



<u>Conservative fit</u> using "clean obs." only [$\Delta C_i^{\ \mu} = C_i^{\ \mu} - C_i^{\ e}$]:





N.B.: the " $n\sigma$ " quoted by various theory groups holds for <u>specific NP hypotheses</u>, motivated, but made *a posteriori* (after looking at the data) \rightarrow local significance

The global significance of observing any form of heavy new physics in $b \rightarrow sll$ can be estimated via the following procedure

- > Employ the most general eff. Lagrangian for $b \rightarrow sll$ [full basis with 9 C_i^{NP}]
- > Consider all the observables O_i with good sensitivity to (at least some of) the C_i^{NP} [*taking into account conservative th. errors* \rightarrow *no charm loops*]
- > Generate pseudo-data to evaluate the O_i [assuming SM theory & exp. errors]
- > Fit the simulated O_i with generic $C_i^{NP} \rightarrow \Delta \chi^2$ distribution of the pseudo-data

> Evaluate probability $P(\Delta \chi^2 > \Delta \chi^2_{obs})$

Lancierini, GI, Owen, Serra, '21

probability that data randomly align to one of the possible NP directions

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 3.9σ global significance with respect to any form of heavy NP Lancierini, GI, Owen, Serra, '21
 <u>Remarkably high !</u>
 [despite being very conservative]



A closer look to the data

Coming back to the theory interpretation (\rightarrow *th. motivated fits are essential* !) Data point to (short-distance) NP effects in operators of the type



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• b \rightarrow c *lv* (charged currents): τ vs. light leptons (μ , e)

$$R(X) = \frac{\Gamma(B \to X \tau \bar{\nu})}{\Gamma(B \to X \ell \bar{\nu})} \quad X = D \text{ or } D^*$$





- Consistent results by three different exps. ~ 3.1σ excess over SM (*D* and *D** combined)
- SM predictions quite "clean": hadronic uncertainties cancel (*to large extent*) in the ratios

A closer look to the data

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A closer look to the data





Hopes I. [EFT-type considerations]



EFT considerations

- Anomalies are seen only in semi-leptonic (quark×lepton) operators
- We definitely need non-vanishing <u>left-handed</u> current-current operators although other contributions are also possible



Bhattacharya *et al.* '14 Alonso, Grinstein, Camalich '15 Greljo, GI, Marzocca '15 (+many others...)

- Large coupling [*competing with SM tree-level*] in $bc \rightarrow l_3 v_3$ [R_D, R_{D*}]
- Small coupling [*competing with SM loop-level*] in bs $\rightarrow l_2 \ l_2 \ [R_K, R_{K^*}, ...]$

$$T_{ij\alpha\beta} = (\delta_{i3} \times \delta_{3j}) \times (\delta_{\alpha3} \times \delta_{3\beta}) +$$

small terms for 2nd (& 1st) generations



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Hopes II. [From EFT to simplified models]



From EFT to simplified models

To move from the EFT toward more complete/ambitious models, we need to address two general aspects: the *flavor structure* of the underlying theory, and the nature of the possible *mediators*



Virtual Particle Physics in Paris – 27 Apr. 2021

From EFT to simplified models [the flavor structure]

So far, the vast majority of model-building attempts to extend the SM was based on the following two (*implicit*) hypotheses:

- Concentrate on the Higgs hierarchy problem
- Postpone (*ignore*) the flavor problem -

The 3 gen. as "identical" copies (*but for Yukawa-type interactions*)

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The recent flavor anomalies seem to suggest a <u>new avenue in BSM approaches:</u>

The <u>universality</u> of SM gauge interactions is only a <u>low-energy property</u>

• <u>We should not ignore the flavor problem</u> *New TeV-scale interactions distinguishing the different families*

From EFT to simplified models [the flavor structure]

The MFV paradigm:



From EFT to simplified models [the flavor structure]



From EFT to simplified models [the flavor structure]

From the EFT point fo view, the generic consequence of a construction of this type is that the nearby dynamics $(E \sim \Lambda_3)$ is characterized by a an approximate $U(2)^n$ flavor symmetry:

$$\Psi = \begin{bmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \end{pmatrix} \\ \Psi_3 \end{bmatrix} \longleftarrow \text{ light generations (flavor doublet)} \\ \mathbf{\Psi}_3 \end{bmatrix} \underbrace{\mathbf{\Psi}_3}^{\text{rd}} \text{ generation (flavor singlet)}$$
SM fermion (e.g. q_L)

with suitable (<u>small</u>) symmetry-breaking terms, related to the SM Yukawa couplings [*largest breaking*: $3_L \rightarrow 2_L$ controlled by $|V_{ts}| \sim 0.04$] Barbieri, G.I., Jones-Perez,

Lodone, Straub, '11

NB: In the 3-scale picture this flavor symmetry is an "accidental" symmetry, resulting from the (flavor) non-universal structure of BSM interactions

N.B.: this symmetry (& symmetry-breaking pattern) was proposed <u>well-before</u> the anomalies appeared...

Which mediators can generate the effective operators required for by the EFT fit? If we restrict the attention to tree-level mediators, not many possibilities...



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From EFT to simplified models [the possible mediators]

Which mediators can generate the effective operators required for by the EFT fit? If we restrict the attention to tree-level mediators, not many possibilities...



LQ (both scalar and vectors) have two general <u>strong advantages</u> with respect to the other mediators:

II. Direct 3^{rd} gen. LQ are also in better shape as far as direct searchessearches:are concerned (*contrary to Z'...*).

"Renaissance" of LQ models (to explain the anomalies, but not only...):

- Scalar LQ as PNG Gripaios, '10 Gripaios, Nardecchia, Renner, '14 Marzocca '18
- Vector LQ as techni-fermion resonances

Barbieri *et al.* '15; Buttazzo *et al.* '16, Barbieri, Murphy, Senia, '17 + ...

- Scalar LQ from GUTs & R SUSY Hiller & Schmaltz, '14; Becirevic *et al.* '16, Fajfer *et al.* '15-'17; Dorsner *et al.* '17; Crivellin *et al.* '17; Altmannshofer *et al.* '17 Trifinopoulos '18, Becirevic *et al.* '18 + ...
 - LQ as Kaluza-Klein excit. Megias, Quiros, Salas '17 Megias, Panico, Pujolas, Quiros '17

Blanke, Crivellin, '18 + ...

 Vector LQ in GUT gauge models

> Assad *et al.* '17 Di Luzio *et al.* '17 Bordone et *al.* '17 Heeck & Teresi '18 + ...

Which LQ explains which anomaly?



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Model	$R_{K^{(*)}}$	R _{D(*)}	$R_{K^{(*)}} \& R_{D^{(*)}}$
$S_1 = (3, 1)_{-1/3}$	×	~	×
$R_2 = (3, 2)_{7/6}$	×	√	×
$\widetilde{R}_2 = (3, 2)_{1/6}$	×	×	×
$S_3 = (3, 3)_{-1/3}$	✓	×	×
$U_1 = (3, 1)_{2/3}$	1	~	✓
∽ <i>U</i> ₃ = (3 , 3) _{2/3}	\checkmark	×	×





LQ of the Pati-Salam gauge group: $SU(4) \times SU(2)_L \times SU(2)_R$

Angelescu, Becirevic, DAF, Sumensari [1808.08179]

Considering the U_1 only

$$\mathcal{L} \supset \frac{g_U}{\sqrt{2}} U_1^{\mu} \left[\beta_{i\alpha}^L (\bar{q}_L^i \gamma_\mu \mathcal{E}_L^\alpha) - \beta_{i\alpha}^R (\bar{d}_R^i \gamma_\mu e_R^\alpha) \right] + \mathrm{h.c.}$$

and fitting <u>all low-energy data</u> leads to an excellent description of present data:



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and fitting <u>all low-energy data</u> leads to an excellent description of present data which is fully <u>consistent with high-pT searches</u> [*within the reach of HL-LHC*]:



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and fitting <u>all low-energy data</u> leads to an excellent description of present data which is fully <u>consistent with high-pT searches</u> & has interesting implications for future low-energy searches:



Dreams [speculations on UV completions]



First observation: the Pati & Salam group, proposed in the 70's to unify quarks & leptons predicts the <u>only massive LQ</u> that is a good mediator for <u>both</u> anomalies:

Heeck, Teresi, '18

Pati-Salam group: $SU(4) \times SU(2)_L \times SU(2)_R$



Main Pati-Salam idea: Lepton number as "the 4th color"

The massive LQ $[U_1]$ arise from the breaking SU(4) \rightarrow SU(3)_C×U(1)_{B-L}

The problem of the "original PS model" are the strong bounds on the LQ couplings to $1^{st} \& 2^{nd}$ generations [e.g. M > 200 TeV from $K_L \rightarrow \mu e$]

Attempts to solve this problem simply adding
extra fermions or scalarsCalibbi, Crivellin, Li, '17;
Fornal, Gadam, Grinstein, '18



Second observation: we can "protect" the light families charging under SU(4) only the 3rd gen. or, more generally, "separating" the universal SU(3) component

PS group:

$$SU(4) \times SU(2)_{L} \times SU(2)_{R} \quad \bullet \text{ flavor universality}$$

$$4321 \text{ models:} \quad SU(4) \times SU(3) \times G_{EW} = \begin{cases} SU(2)_{L} \times SU(2)_{R} \\ SU(2)_{L} \times U(1)_{Y} \end{cases}$$



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Fuentes-Martin *et al.* '20 + work in prog.

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Speculations on UV completions

The PS³ set-up...

Bordone, Cornella, Fuentes-Martin, GI, '17



- * Unification of quarks and leptons [*natural explanation for* $U(1)_Y$ *charges*]
- * **De-unification** (= *flavor deconstruction*) of the gauge symmetry
- * Breaking to the diagonal SM group occurs via appropriate "link" fields, responsible also for the generation of the hierarchies in the Yukawa couplings.

... and its 5D embedding [ambitious attempt to construct a *full theory of flavor* via Pati-Salam embedding in a warped 5D space-time]



Flavor ↔ special position (*topological defect*) in an extra (compact) space-like dimension Dvali & Shifman, '00

Higgs and SU(4)-breaking fields with oppositely-peaked profiles, leading to the desired flavor pattern for masses & anomalies

Bordone, Cornella, Fuentes-Martin, GI '17 Fuentes-Martin, GI, Pages, Stefanek '20

Possible to implement anarchic neutrino masses via an inverse see-saw mechanism

In most *PS-extended models* collider and low-energy pheno are controlled by the effective 4321 gauge group that rules TeV-scale dynamics Di Lu

Despite the apparent complexity, the construction is highly constrained

consistent

with

present

data !

- Positive features the EFT reproduced
- Calculability of $\Delta F=2$ processes
- Precise predictions for high-pT data

New striking collider signature: G' ("*coloron*" = *heavy color octet*)

 \rightarrow strongest constraint on the scale of the model from pp $\rightarrow t \bar{t}$





Cornella, Fuentes-Martin, Faroughi, GI, Neubert, '21 Fuentes-Martin, GI, Konig, Selimovic, '20



There are of course still several worries, and here the personal view becomes even more relevant.... So, let me mention a few of them:

The b → clv anomalies are those putting a serious "pressure" on the parameter-space of the model, and their significance is still relatively weak. Why insisting?



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• Not easy to reconcile the $(g-2)_{\mu}$ anomaly with both flavor anomalies and, more generally, with models with a "natural" flavor structure ($\leftrightarrow Y_{SM}$). Is $(g-2)_{\mu}$ suggesting something a different way?



Maybe.... examples of recent "attempts":

- → $a_{\mu} \oplus R_{K}$ with special role of muons [U(1)_{B-3Lµ} ⊂ G] Greljo, Stangl, Thomsen '21
- $a_{\mu} \oplus R_{K} \oplus R_{D}$ with 2 scalars $[S_1 + \phi^+]$ and peculiar flavor struct. Marzocca, Trifinopoulos '21

But... $(g-2)_{\mu}$ is more "flexible" (*no generation change, necessary loop-level*) → could come from light NP: no obvious connection to the flavor anomalies

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• The UV models explaining both anomalies seems to be rather baroque (*many new fields & parameters*...). Is this a problem?

I don't think this is a valid objection: the models are indeed non-trivial extensions of the SM, but they achieve several goals (beside the anomalies)

- ✓ Unification of quarks & leptons
- Explanation/justification of the flavor hierarchies
- Stabilization/amelioration of the Higgs hierarchy problem

And, beside a few exceptions, there are no serious tunings [most serious: ~ 10% down-alignment (flavor sect.)+ little hierarchy (Higgs)]

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Still, I must admit there is a growing number of observables which are "just around the corner" (*both at high-pT and at low-energies...*).
 This starts to be disturbing... [↔ *key connection with central value of* R_D]

Conclusions

- The statistical significance of the LFU anomalies is growing: in the $b \rightarrow sll$ system the chance this is a pure statistical fluctuation is marginal...
- <u>If combined</u>, the two sets of anomalies point to non-trivial flavor dynamics around the TeV scale, involving mainly the 3^{rd} family \rightarrow connection to the origin of flavor [multi-scale picture at the origin of flavor hierarchies]
- <u>No contradiction</u> with existing low- & high-energy data, <u>but new non-</u><u>standard effects should emerge soon</u> in both these areas

A lot of fun ahead of us...

(both on the exp., the pheno, and the model-building point of view)

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(already since quite some time...)



Other low-energy observables



Other low-energy observables



Symmetry breaking pattern in PS³



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