

Coordinate Bethe Ansatz in application to Hubbard-like integrable models

Victor Fomin

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8 december 2009

work with L.Frappat and E.Ragoucy

Plan of the talk

Seminar

Victor Fomin

Introduction to one-dimensional Hubbard model

On the origin of the Hubbard model
Integrability and R-matrix formalisme
Coordinate Bethe Ansatz

Generalized $gl(n) \oplus gl(m)$ 1d Hubbard-like models

generalized R-matrix and integrability
Coordinate Bethe Ansatz

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On the origin of the Hubbard model

- ▶ *Model named after John Hubbard describes electronic correlations in narrow energy band*

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- ▶ *Model named after John Hubbard describes electronic correlations in narrow energy band*
- ▶ Starting from the model: electrons in the static lattice with the Coulomb repulsion

$$H = \sum_{i=1}^N \left(\frac{\vec{p}_i^2}{2m} + V_I(\vec{x}_i) \right) + \sum_{1 \leq i < j \leq N} V_C(\vec{x}_i - \vec{x}_j)$$

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- ▶ Using the approximations:

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 - ▶ only 1 band nearest-neighbour electrons interact

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- ▶ Using the approximations:
 - ▶ only 1 band nearest-neighbour electrons interact
 - ▶ small range of Coulomb interaction = forbid double occupancy

On the origin of the Hubbard model

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- ▶ Second-quantized Hamiltonian in the basis of Wannier states is

$$H_{Hub} = -t \sum_{\langle i,j \rangle} \sum_{a=\uparrow,\downarrow} c_{i,a}^\dagger c_{j,a} + U \sum_i n_{i,\uparrow} n_{i,\downarrow}$$

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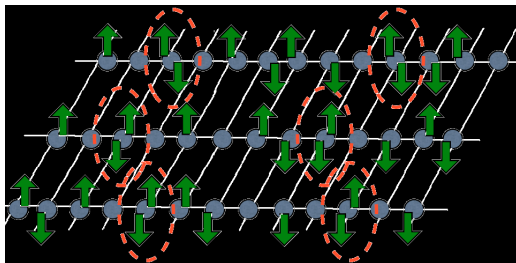
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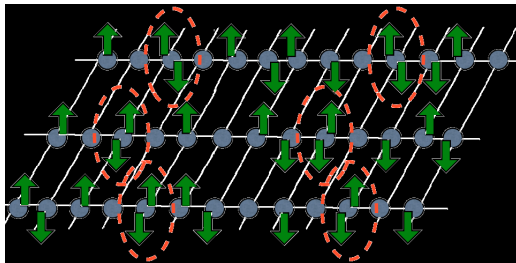
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- ▶ *Mott metal-insulator transition*

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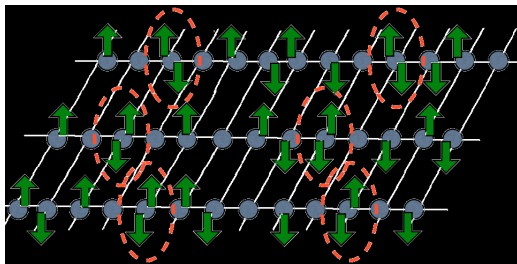
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- ▶ *Mott metal-insulator transition*
- ▶ *relevant to high- T_c super-conductivity*

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1D periodic Hubbard model is integrable!

$$H_{Hub} = \sum_{i=1}^L \sum_{a=\uparrow,\downarrow} \left(c_{i,a}^\dagger c_{i+1,a} + c_{i+1,a}^\dagger c_{i,a} \right) + U \sum_i n_{i,\uparrow} n_{i,\downarrow}$$

In general

- Integrability $\propto \exists$ independent Q_i such that $[Q_i, H] = 0$ for $i = 1, 2, \dots$

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 - ▶ If $\exists$ matrix $R_{12}(u_1, u_2)$ which satisfies some properties and Yang-Baxter equation: $R_{12}R_{13}R_{23} = R_{23}R_{13}R_{12}$

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 - ▶ $R_{12}(u_1, u_2) \Rightarrow T_0(u) = \prod_{i=1}^L R_{0i}(0, u) \Rightarrow \tau(u) = \text{Tr}_0(T_0(u))$ such that

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- ▶ Charges Q_i are also in $\tau(u)$: $\tau(u) = \sum_{i=0}^L u^i Q_i$

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The Hubbard model's R-matrix:

► 16×16 matrix

$$R_{12}^{\uparrow\downarrow}(\lambda_1, \lambda_2) = R_{12}^{\uparrow}(\lambda_{12}) R_{12}^{\downarrow}(\lambda_{12}) + f(\lambda_1, \lambda_2, U) R_{12}^{\uparrow}(\lambda'_{12}) C_{\uparrow 1} R_{12}^{\downarrow}(\lambda'_{12}) C_{\downarrow 1}$$

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- ▶ with $R_{12}^{\sigma}(\lambda)$ - free fermion model's R-matrix (XX spin chain)

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 - ▶ 4×4 matrix associated with $gl(2)$ algebra

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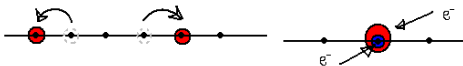
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 - ▶ 4×4 matrix associated with $gl(2)$ algebra
- ▶ and f - coupling function $\rightarrow$ Hubbard model constant U

Coordinate Bethe Ansatz I

We diagonalize directly the periodic Hamiltonian:

$$H_{Hub} = \sum_{i=1}^L \sum_{a=\uparrow,\downarrow} \left(c_{i,a}^\dagger c_{i+1,a} + c_{i+1,a}^\dagger c_{i,a} \right) + U \sum_i n_{i,\uparrow} n_{i,\downarrow}$$



Symmetry: $[H_{Hub}, \sum_{i=1}^L n_{i,\sigma}] = 0$ - number of particles is conserved

$N_\uparrow, N_\downarrow$ are quantum numbers of eigenfunction

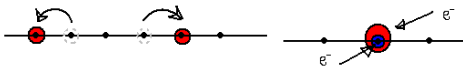
► I) we choose pseudo-vacuum:

ϕ_0 such that $c_{i,\sigma}\phi_0 = 0$, the energy $E = 0$

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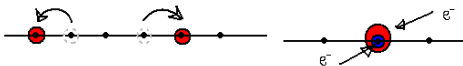
- II) N -particle eigenfunction

$\phi_{N,\vec{\sigma}} = \sum_{\vec{x} \in [1,L]} \psi_{\vec{\sigma}}(\vec{x}) \prod_{i=1}^N c_{x_i,\sigma_i}^\dagger \phi_0$ where $\vec{\sigma} = (\sigma_1, \dots, \sigma_N)$
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- ▶ $\psi_{\vec{\sigma}}(\vec{x})$ - ?

Coordinate Bethe Ansatz II

Schrodinger equation

The Hamiltonian applied on N -particle eigenfunction

$(H_{Hub}\phi_{N,\vec{\sigma}} = E\phi_{N,\vec{\sigma}})$:

$$\sum_{k=1}^N (\Psi_{\vec{\sigma}}(\vec{x} + \vec{e}_k) + \Psi_{\vec{\sigma}}(\vec{x} - \vec{e}_k)) + U \sum_{k < l} \delta(x_k = x_l) \delta(\sigma_k \neq \sigma_l) \Psi_{\vec{\sigma}}(\vec{x}) = E \Psi_{\vec{\sigma}}(\vec{x})$$

We have conditions on $\Psi_{\vec{\sigma}}(\vec{x})$:

► periodic boundary conditions: $\Psi_{\vec{\sigma}}(\vec{x} + e_k L) = \Psi_{\vec{\sigma}}(\vec{x})$

One-particle solution:

notation: e_k - vector $(0, \dots, 0, \overset{k}{\underset{\downarrow}{1}}, 0, \dots, 0)$

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- ▶ $\Psi_{\sigma}(x) = e^{ikx}$

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- ▶ Usual solid state physics result!

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Coordinate Bethe Ansatz III

Bethe hypothesis

N-particles solution:

- ▶ in the sector $D_Q: x_{Q(1)} \leq \dots \leq x_{Q(N)}$ with permutation $Q \in \mathfrak{S}_N$

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- ▶ in the sector D_Q : $x_{Q(1)} \leq \dots \leq x_{Q(N)}$ with permutation $Q \in \mathfrak{S}_N$
- ▶ Bethe Ansatz: for $k_1 < k_2 < \dots < k_N$ and $\vec{x} \in D_Q$

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- ▶ **Schrodinger** equation + **Pauli** exclusion principle $\rightarrow$ "S-matrix"
- ▶ for $N = 2$: a) **Identical particles** or $\sigma_1 = \sigma_2$, only 1 sector: $A_{\vec{\sigma}}(id, id)$ and $A_{\vec{\sigma}}(\Pi_{12}, \Pi_{12})$,
b) **Non-identical particles**, $\sigma_1 \neq \sigma_2$, 2 sectors: $A_{\vec{\sigma}}(id, id)$, $A_{\vec{\sigma}}(\Pi_{12}, \Pi_{12})$, $A_{\vec{\sigma}}(id, \Pi_{12})$ and $A_{\vec{\sigma}}(\Pi_{12}, id)$

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$$A_{\vec{\sigma}}(\Pi_{12}, id)$$

► Thus,
$$\begin{pmatrix} A_{\uparrow, \uparrow}(\Pi_{12}P, P^{-1}) \\ A_{\uparrow, \downarrow}(\Pi_{12}P, P^{-1}) \\ A_{\downarrow, \downarrow}(\Pi_{12}P, P^{-1}) \\ A_{\downarrow, \uparrow}(\Pi_{12}P, P^{-1}) \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & t_{12} & r_{12} & 0 \\ 0 & r_{12} & t_{12} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} A_{\uparrow, \uparrow}(P, P^{-1}) \\ A_{\uparrow, \downarrow}(P, P^{-1}) \\ A_{\downarrow, \downarrow}(P, \Pi_{12}P^{-1}) \\ A_{\downarrow, \uparrow}(P, P^{-1}) \end{pmatrix},$$

$$\text{where } t_{ab} = \frac{2i(\sin k_a - \sin k_b)}{u + 2i(\sin k_a - \sin k_b)}, \quad r_{ab} = \frac{-u}{u + 2i(\sin k_a - \sin k_b)}$$

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Bethe equations

N-particles solution: What are k 's and $A_{\vec{\sigma}}(P, QP^{-1})$?

► for any N : $\hat{A}(\Pi_{ab}P) = S_{ab}(\sin k_a, \sin k_b)\hat{A}(P)$

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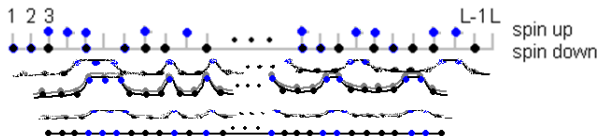
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- ▶ Periodic boundary conditions $\Rightarrow$ "Auxiliary problem"

$$S_{j+1j} \dots S_{Nj} S_{1j} \dots S_{j-1j} \hat{A}(\text{id}) = e^{ik_j L} \hat{A}(\text{id})$$

Physical chain $\rightarrow$ "small" chain



Coordinate Bethe Ansatz V

Bethe equations

N-particles solution: What are k 's and $A_{\vec{\sigma}}(P, QP^{-1})$?

- Using Coordinate Bethe Ansatz approach to "Auxiliary problem"

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- $\prod_{j=1}^N \frac{\sin k_j - a_{\alpha} + iU/4}{\sin k_j - a_{\alpha} - iU/4} = - \prod_{\beta=1}^M \frac{a_{\beta} - a_{\alpha} + iU/2}{a_{\beta} - a_{\alpha} - iU/2}$, for $\alpha = 1, \dots, M$

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We introduce the projectors: π and $\bar{\pi}$ such that $\pi + \bar{\pi} = Id$

- Generalized XX spin chain model: $R_{12}^{\sigma}(\lambda)$ depend on choice of π and $\bar{\pi}$

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(G. Feverati, L. Frappat and E. Ragoucy [arXiv:0903.0190](https://arxiv.org/abs/0903.0190) [math-ph])

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- ▶ with $R_{12}^{\uparrow}(\lambda)$ - generalized $gl(n)$ XX model's R-matrix
- ▶ with $R_{12}^{\downarrow}(\lambda)$ - generalized $gl(m)$ XX model's R-matrix
- ▶ the same coupling function $f(\lambda_1, \lambda_2, U)$

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- ▶ satisfies the Yang-Baxter Equation

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The generalized Hubbard-like model's R-matrix :

- ▶ satisfies the Yang-Baxter Equation
- ▶ generates Hubbard-like integrable Hamiltonians depending on the choice of C^σ :

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- ▶ generates Hubbard-like integrable Hamiltonians depending on the choice of C^σ :
 - ▶ $\bar{\pi}$ -particles = electron-like particles with spin $\uparrow, \downarrow$ and additional degree of freedom.
 - ▶ π -particles = heavy particles with spin $\uparrow, \downarrow$ and additional degree of freedom which only interact with $\bar{\pi}$ -particles.

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General case: $gl(n) \oplus gl(m)$ 1d Hubbard-like model

The periodic Hamiltonian:

$$H = \sum_{x=1}^L \left((\Sigma P)_{\uparrow x, x+1} + (\Sigma P)_{\downarrow x, x+1} + u C_{\uparrow x} C_{\downarrow x} \right), \quad \text{mod } L$$

- $n + m - 2$ types particles

Periodic boundary conditions $\rightarrow$ Auxiliary problem

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- ▶ p types of π $\uparrow$ -particles and $n - p - 1$ types of $\bar{\pi}$ $\uparrow$ -particles

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- ▶ $n + m - 2$ types particles
- ▶ p types of $\pi \uparrow$ -particles and $n - p - 1$ types of $\bar{\pi} \uparrow$ -particles
- ▶ q types of $\pi \downarrow$ -particles and $m - q - 1$ types of $\bar{\pi} \downarrow$ -particles

Periodic boundary conditions $\rightarrow$ Auxiliary problem

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Victor Fomin

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Coordinate Bethe Ansatz I

General case: $gl(n) \oplus gl(m)$ 1d Hubbard-like model

The periodic Hamiltonian:

$$H = \sum_{x=1}^L \left((\Sigma P)_{\uparrow x, x+1} + (\Sigma P)_{\downarrow x, x+1} + u C_{\uparrow x} C_{\downarrow x} \right), \quad \text{mod } L$$

- ▶ $n + m - 2$ types particles
- ▶ p types of $\pi \uparrow$ -particles and $n - p - 1$ types of $\bar{\pi} \uparrow$ -particles
- ▶ q types of $\pi \downarrow$ -particles and $m - q - 1$ types of $\bar{\pi} \downarrow$ -particles

Periodic boundary conditions $\rightarrow$ Auxiliary problem

- ▶ $n + m - 3$ types particles

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Periodic boundary conditions $\rightarrow$ Auxiliary problem

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- ▶ p types of $\pi \uparrow$ -particles and $n - p - 1$ types of $\bar{\pi} \uparrow$ -particles
- ▶ q types of $\pi \downarrow$ -particles and $m - q - 2$ types of $\bar{\pi} \downarrow$ -particles
- ▶ choice of the new vacuum brakes the symmetry between spin up and down particles

Coordinate Bethe Ansatz II

General case: $gl(n) \oplus gl(m)$ 1d Hubbard-like model

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Results:

$$\blacktriangleright e^{ik_j L} = e^{2\pi i \Phi} \prod_{\alpha=1}^M \frac{\sin k_j - a_{\alpha} + iU/4}{\sin k_j - a_{\alpha} - iU/4}, \text{ for } j = 1, \dots, N$$

(V.F., L. Frappat and E. Ragoucy [arXiv:0906.4512](https://arxiv.org/abs/0906.4512))

Coordinate Bethe Ansatz II

General case: $gl(n) \oplus gl(m)$ 1d Hubbard-like model

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(V.F., L. Frappat and E. Ragoucy [arXiv:0906.4512](https://arxiv.org/abs/0906.4512))

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- ▶ Application to $N = 4$ SYM theory

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Outlook

- ▶ Application to $N = 4$ SYM theory
- ▶ Application to condensed matter physics

Outlook

Thank you

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