

Axion hot dark matter bound, reliably

RJP 2021

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Based on L. Di Luzio, G. Martinelli, GP [arXiv: **2101.10330**]

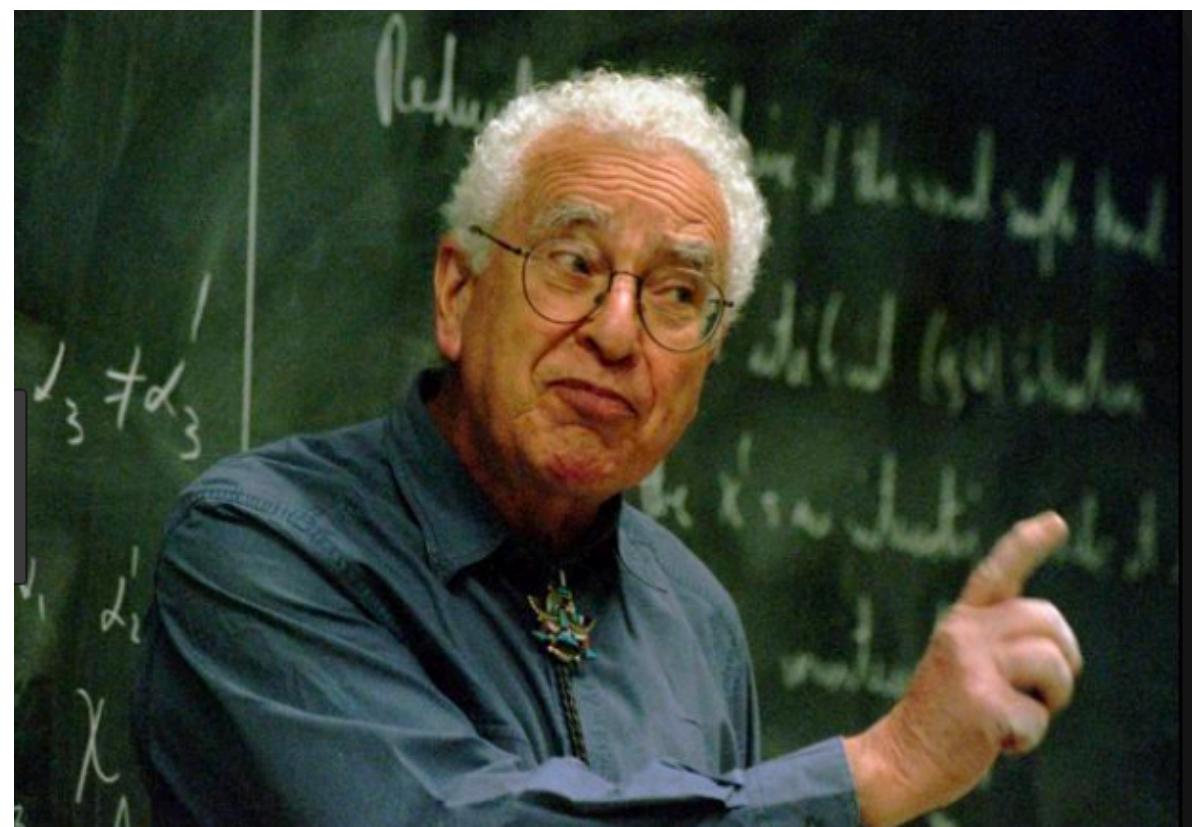


This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Curie grant No 860881-HIDDeN

Outline

1. Strong CP problem & The Axion
2. The HDM bound
3. Axion-Pion Effective Lagrangian: Leading Order
4. Why HDM bound is not reliable: $a\pi \leftrightarrow \pi\pi$ thermalization rate at NLO
5. Conclusions

Strong CP problem



“Any process which is not forbidden by a conservation law actually does take place with appreciable probability”

[M. Gell-Mann, 1956]

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{q} (i \not{D} - m_q e^{i\theta_q}) q - \frac{1}{4} G^a{}^{\mu\nu} G^a_{\mu\nu} + \boxed{\theta \frac{g_s^2}{32\pi^2} G^a{}^{\mu\nu} \tilde{G}^a_{\mu\nu}} + h.c.$$

QCD vacuum structure

CP-V: Expectation $\boxed{\bar{\theta} \equiv \theta + \theta_q \sim \mathcal{O}(1)}$, but $|\bar{\theta}| \lesssim 10^{-10}$ from **nEDM**

$$d_n = 2.4 (1.0) \cdot 10^{-16} \bar{\theta} \text{ e cm}$$

$$|d_n^{\text{exp}}| < 3.0 \cdot 10^{-26} \text{ e cm}$$

WHY?

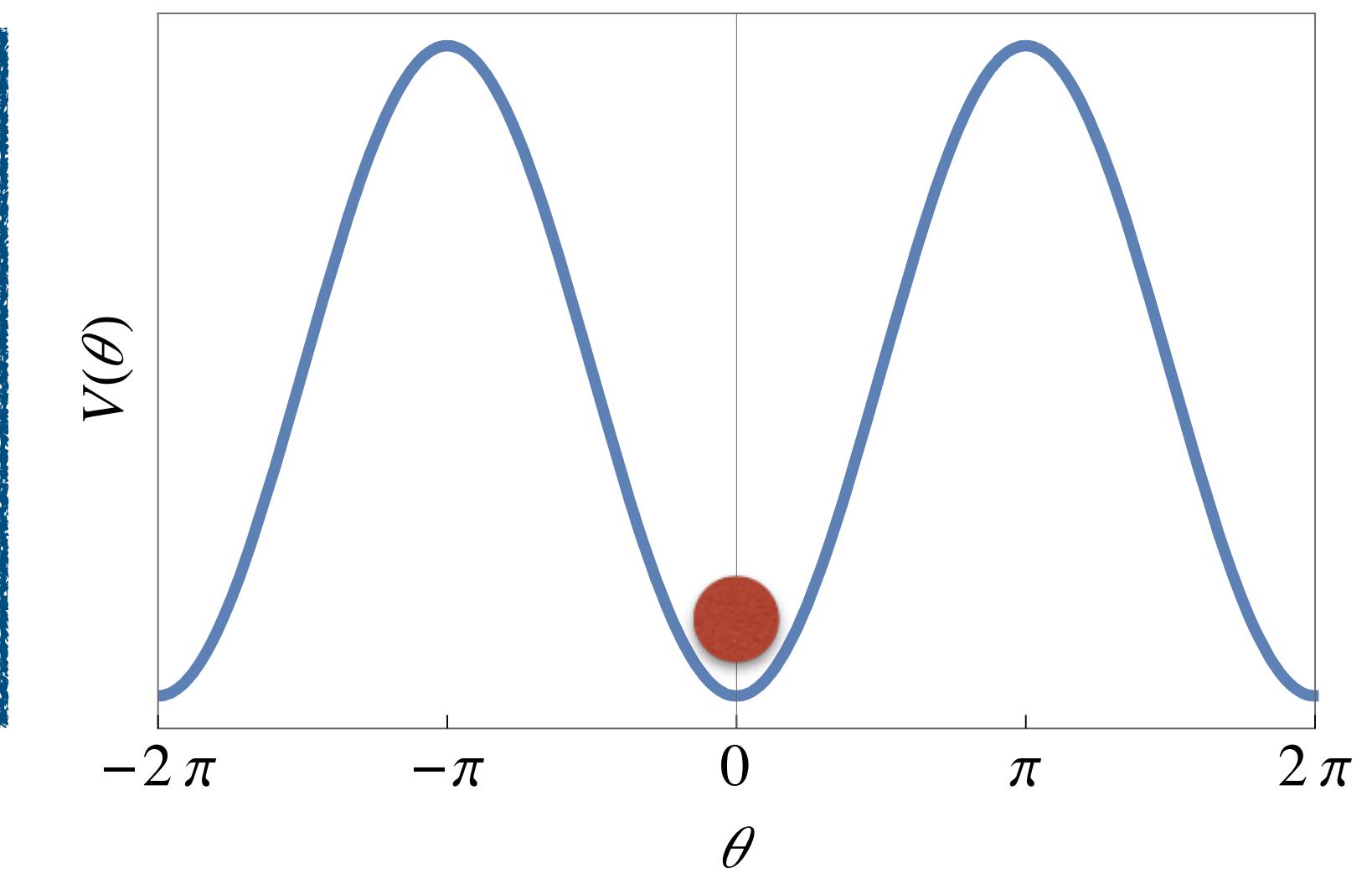
[Pendlebury, et al. arXiv:1509.04411]

The Axion

- Pseudo-Goldstone Boson of a spontaneously broken global symmetry, with QCD anomaly.
- Solves the Strong CP problem: θ -term dynamically set to zero

$$\mathcal{L}_a = \frac{1}{2}(\partial_\mu a)^2 + \mathcal{L}(\partial_\mu a, \psi) + \frac{g_s^2}{32\pi^2} \frac{a}{f_a} G \tilde{G} + \theta \frac{g_s^2}{32\pi^2} G^{a\mu\nu} \tilde{G}_{\mu\nu}^{a}$$

$a \rightarrow a - \theta f_a$ with $\langle a \rangle = 0$ [C. Vafa, E. Witten 1984]



$$m_a^2 = \frac{m_u m_d}{(m_u + m_d)^2} \frac{m_\pi^2 f_\pi^2}{f_a^2}$$

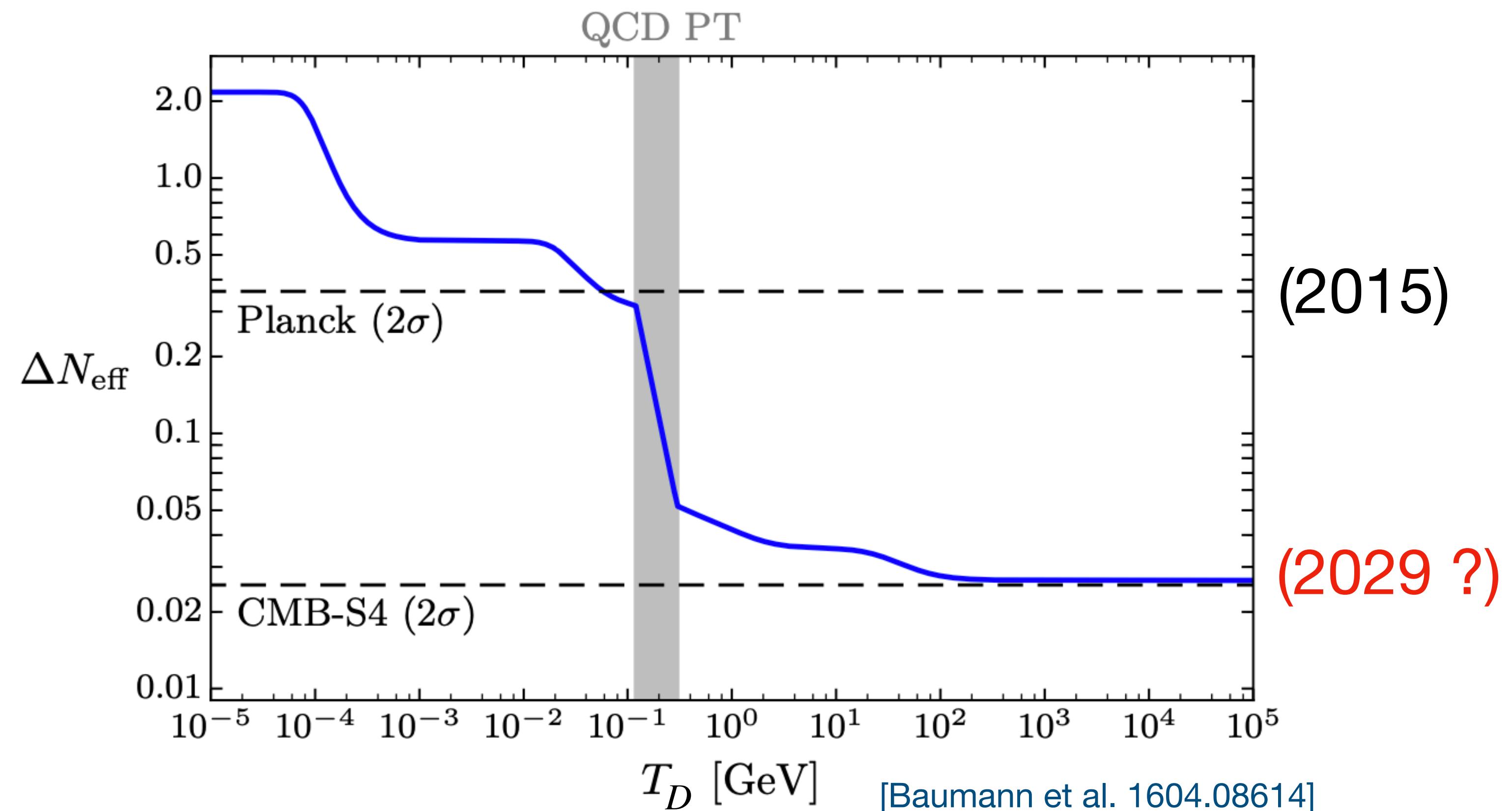
[Peccei, Quinn '77]
[Wilczek '78]
[Weinberg '78]

- Rich phenomenology (Dark Matter, Astrophysics, Cosmology)

A possible discovery channel for the axion!

Axion contributes to the **Number of extra relativistic species**

- Axions once in equilibrium with SM bath contribute to the **radiation density** of the Universe
- T_D depends on the strength of the axion interactions set by f_a
- Full range of allowed ΔN_{eff} will be covered by CMB-S4



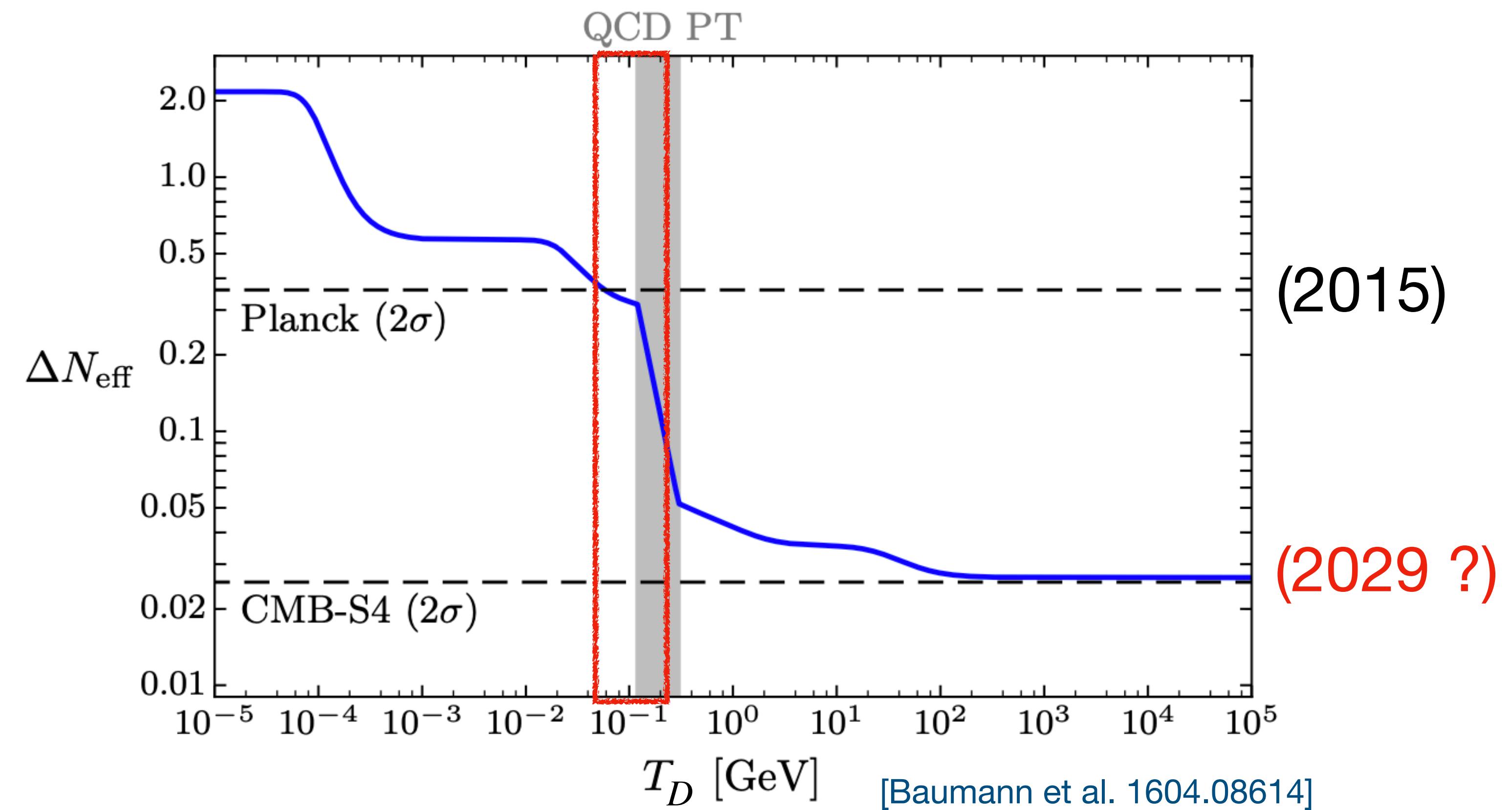
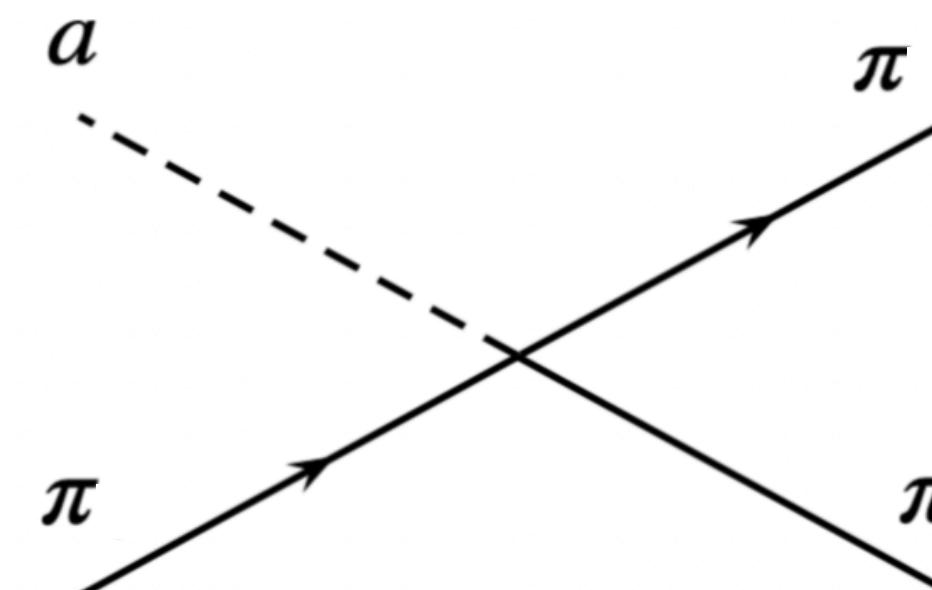
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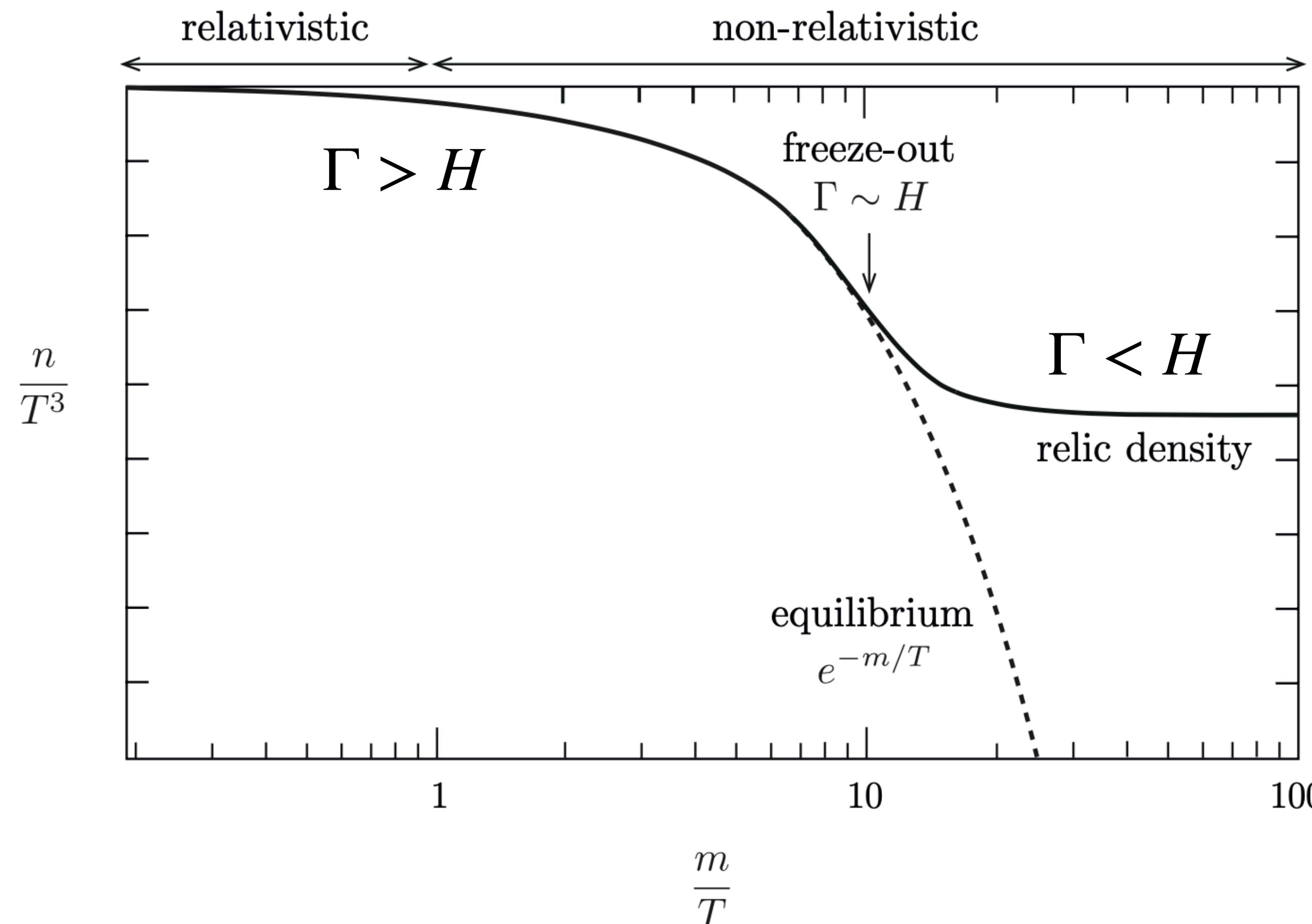
$$T_D \sim [50 \text{ MeV}, 200 \text{ MeV}]$$

- Below 200 MeV the main thermalization channel is



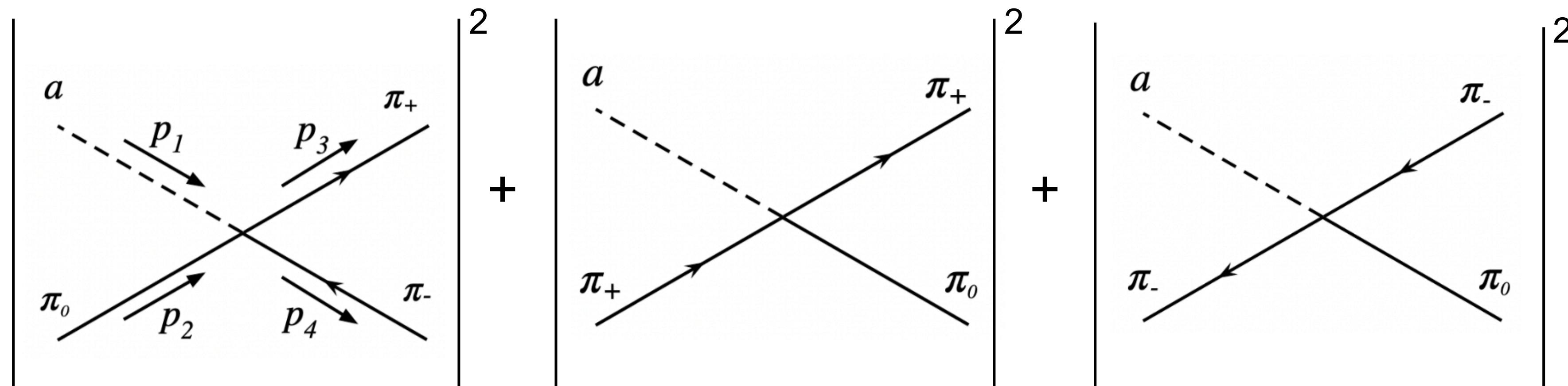
Axion thermal production in the Early Universe

- The evolution of the axions number density is controlled by the ratio Γ/H



Leading order scattering amplitude

$$\mathcal{L}_{a\pi}^{(\text{LO})} = \frac{C_{a\pi}}{f_a f_\pi} \partial_\mu a \left(2\partial_\mu \pi_0 \pi_+ \pi_- - \pi_0 \partial_\mu \pi_+ \pi_- - \pi_0 \pi_+ \partial_\mu \pi_- \right)$$



$$\sum |\mathcal{M}|_{\text{LO}}^2 = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 \frac{9}{4} [s^2 + t^2 + u^2 - 3m_\pi^4]$$

Thermal scattering rate

$$\Gamma = \frac{1}{n_a^{\text{eq}}} \int \frac{d^3\mathbf{p}_1}{(2\pi)^3 2E_1} \frac{d^3\mathbf{p}_2}{(2\pi)^3 2E_2} \frac{d^3\mathbf{p}_3}{(2\pi)^3 2E_3} \frac{d^3\mathbf{p}_4}{(2\pi)^3 2E_4} \boxed{\sum |\mathcal{M}|^2}$$
$$(2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4) f_1 f_2 (1 \pm f_3) (1 \pm f_4)$$

$$\sum |\mathcal{M}|_{\text{LO}}^2 = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 \frac{9}{4} [s^2 + t^2 + u^2 - 3m_\pi^4]$$

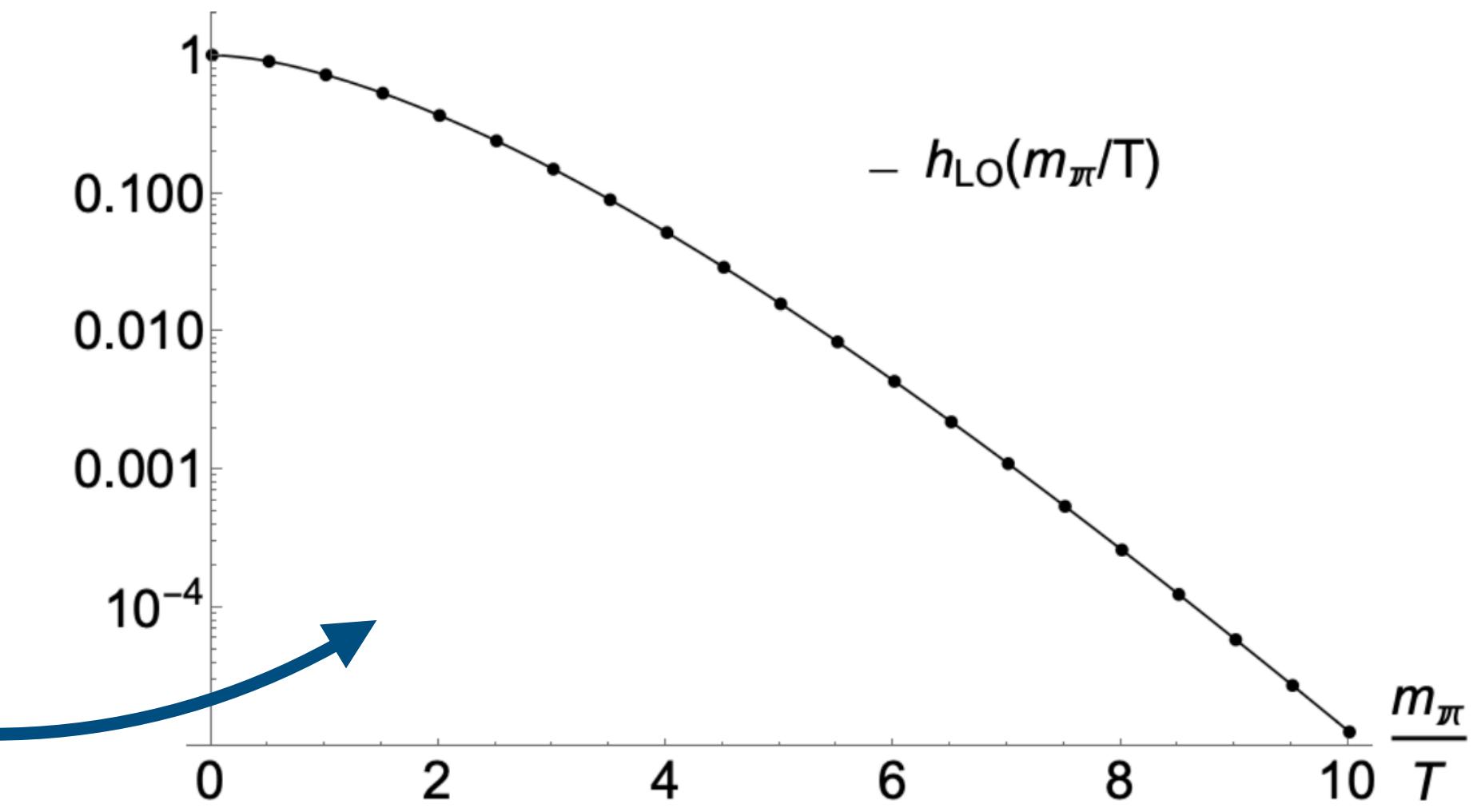
Numerically integrating:

$$\boxed{\Gamma(T) = 0.212 \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 T^5 h_{\text{LO}}(m_\pi/T)}$$

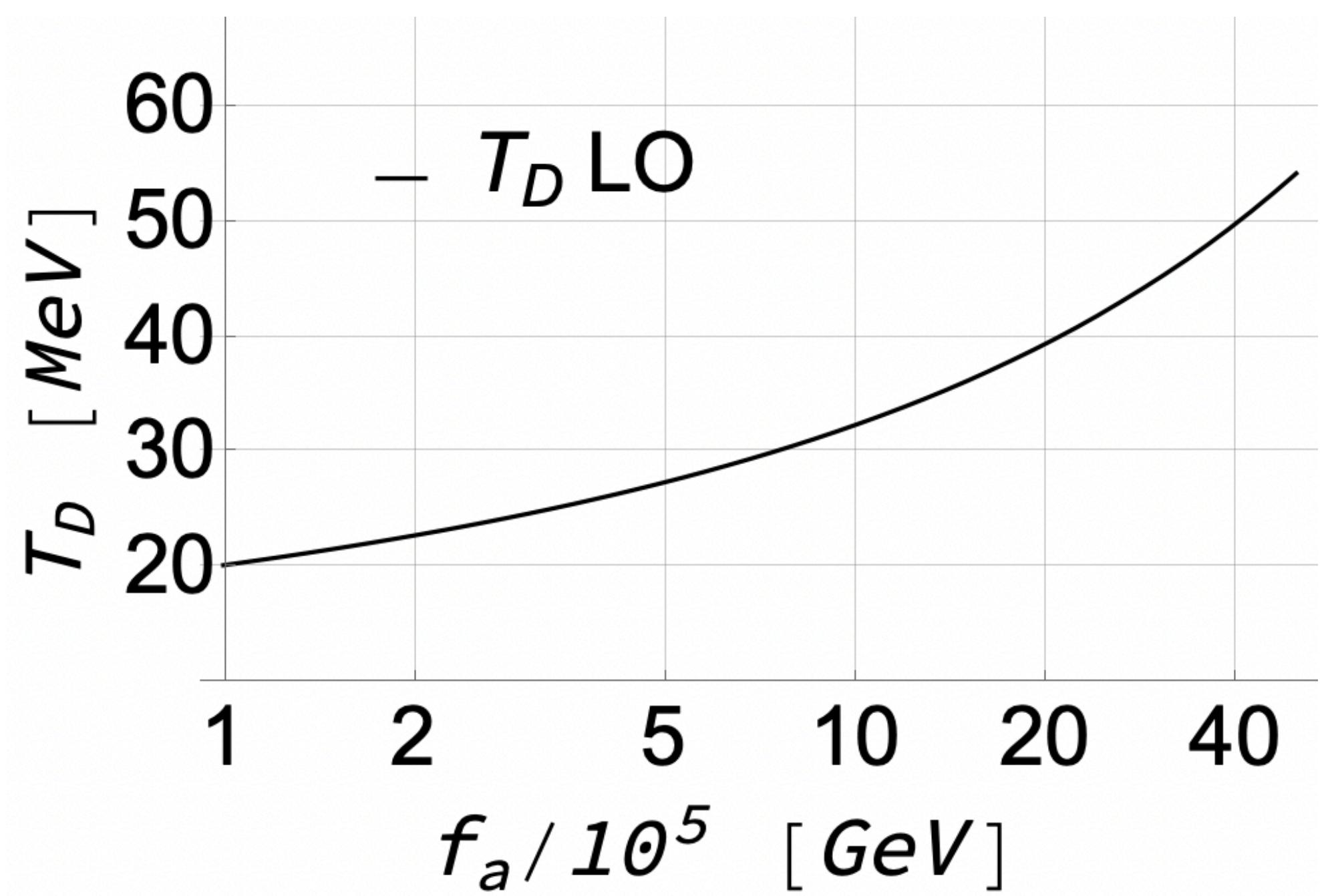
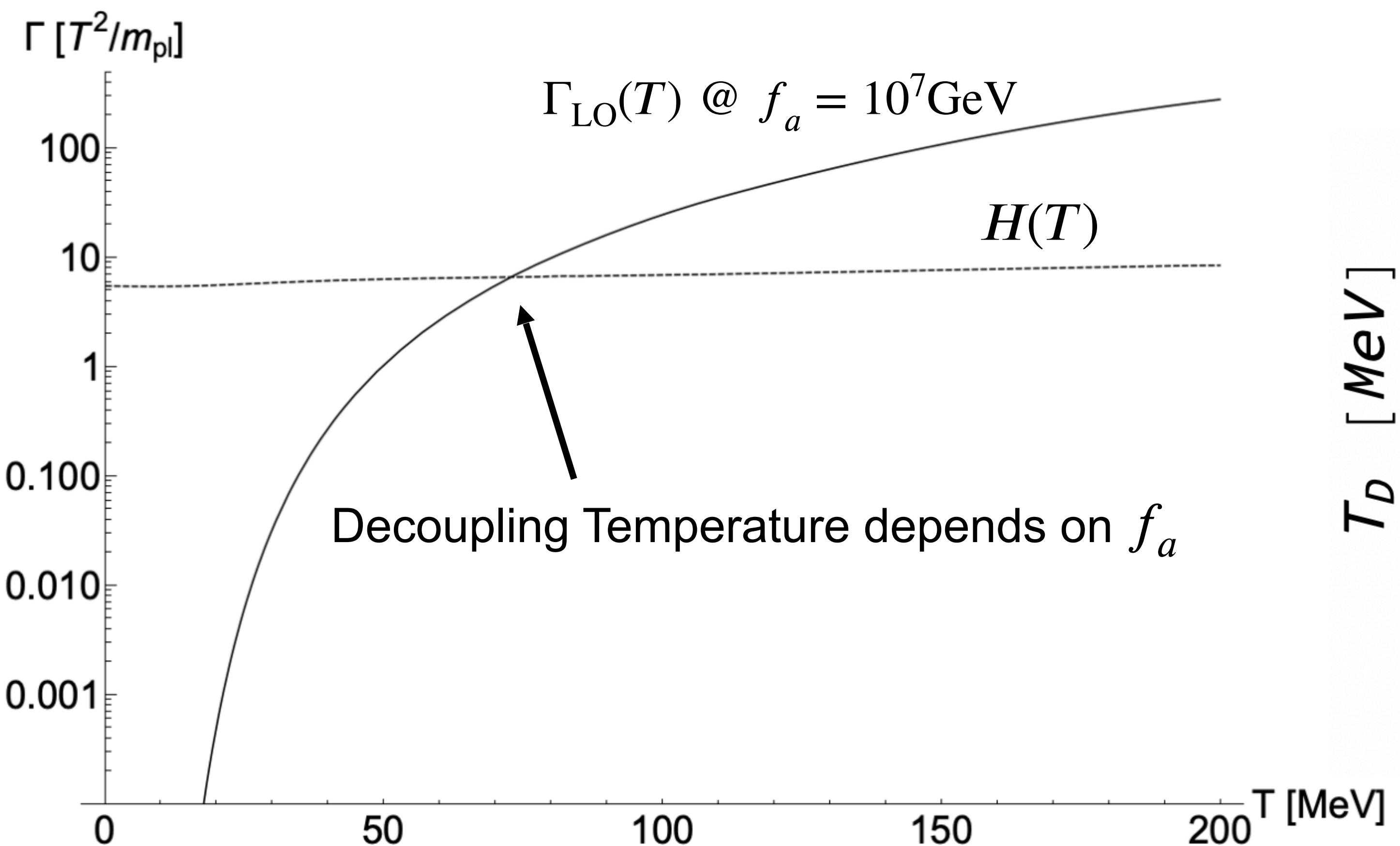
see also:

[Chang, Choi, hep-ph/9306216]

[Hannestad, Mirizzi, Raffelt, hep-ph/0504059]

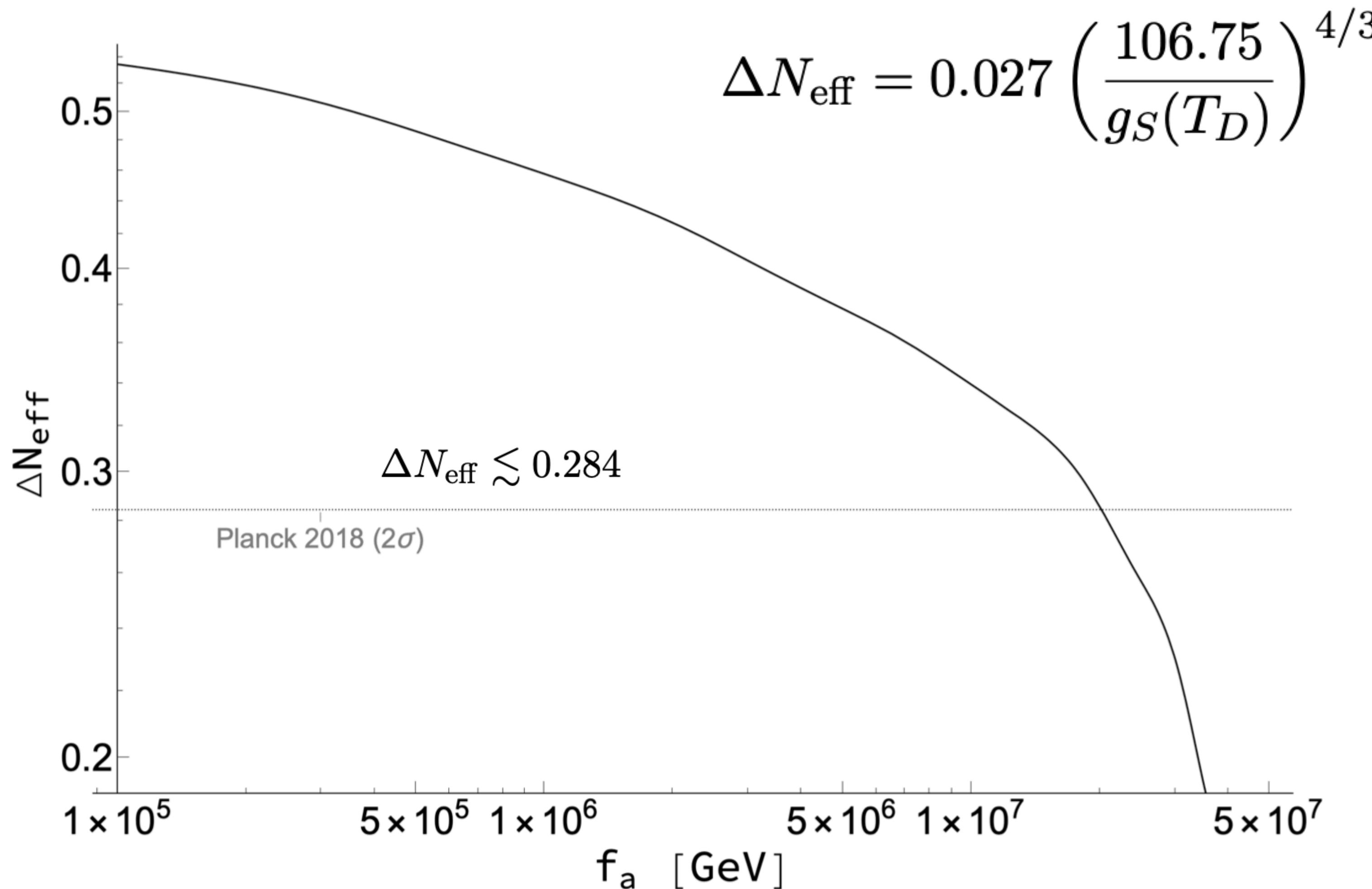


Γ vs H



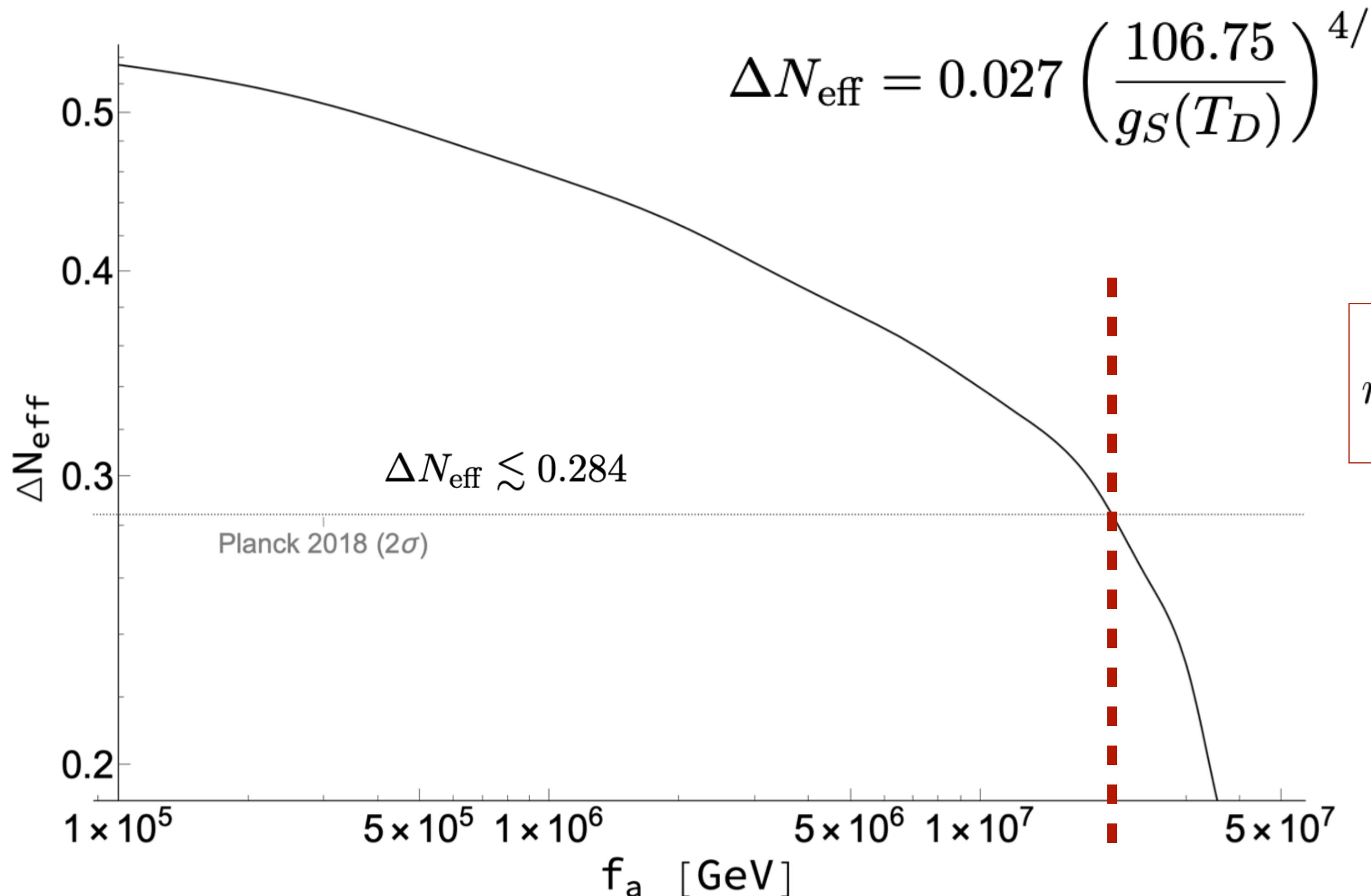
Hot Dark Matter bound on Axion mass, LO

Axion contribution to the Number of relativistic species



Hot Dark Matter bound on Axion mass, LO

Axion contribution to the Number of relativistic species



Naïve way to set the bound

See:

- [Melchiorri, Mena, Slosar, arXiv:0705.2695]
- [Hannestad, Mirizzi, Raffelt, Wong, arXiv:0803.1585]
- [Hannestad, Mirizzi, Raffelt, Wong, arXiv:1004.0695]
- [Di Valentino, Giusarma, Lattanzi, Mena, Melchiorri, Silk, arXiv:1507.08665]

But... is ChPT valid?

The mean energy of π, a at

$T \simeq 80$ MeV is

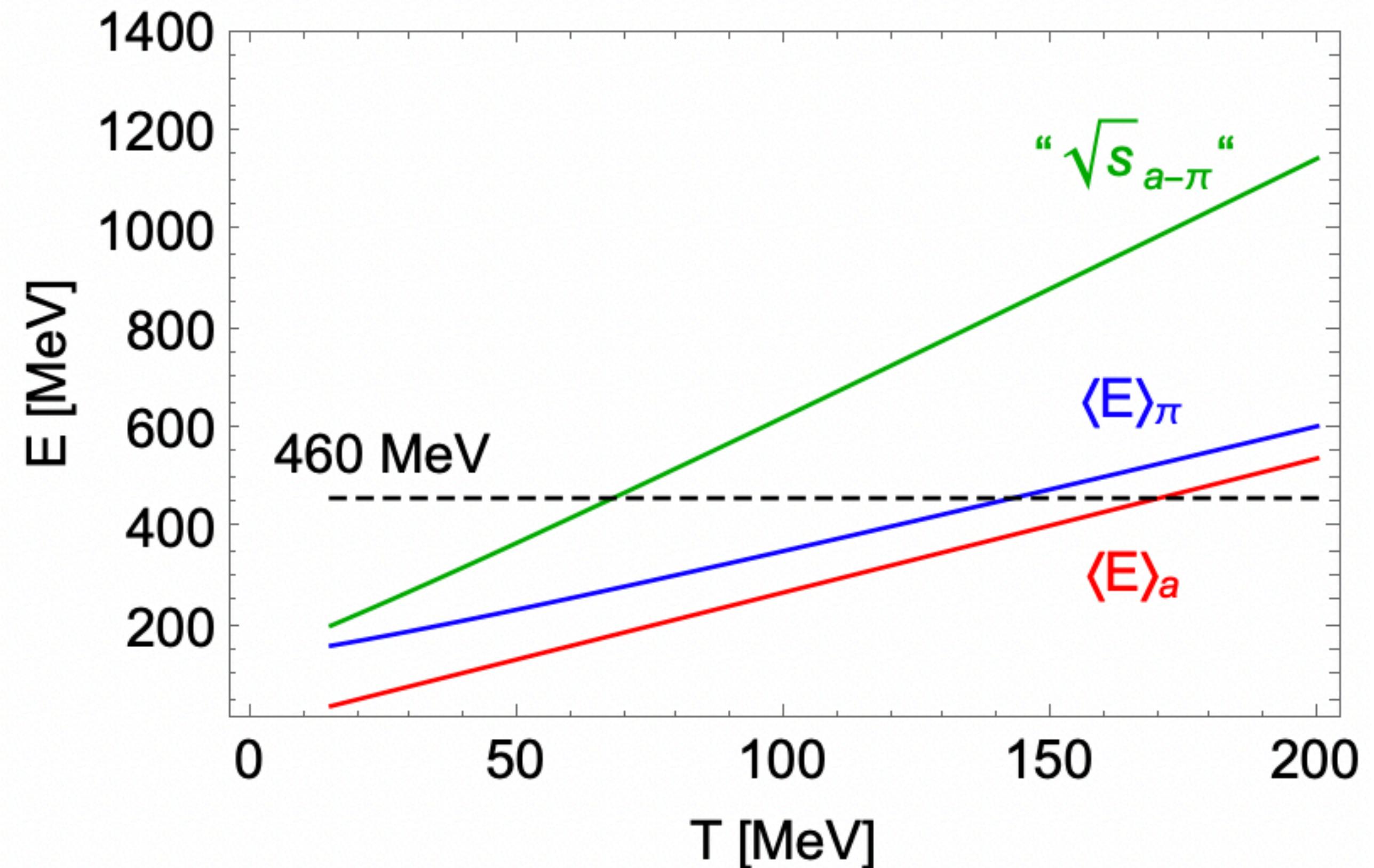
$$\langle E \rangle \equiv \rho/n \simeq 305 \text{ MeV}, 220 \text{ MeV}$$

BUT

ChPT is valid for $E \lesssim 460$ MeV

[Donoghue et al., PhysRevD.86.014025]

$$\langle E \rangle(T) \sim \frac{\rho(T)}{n(T)}$$



But... is ChPT valid?

The mean energy of π, a at

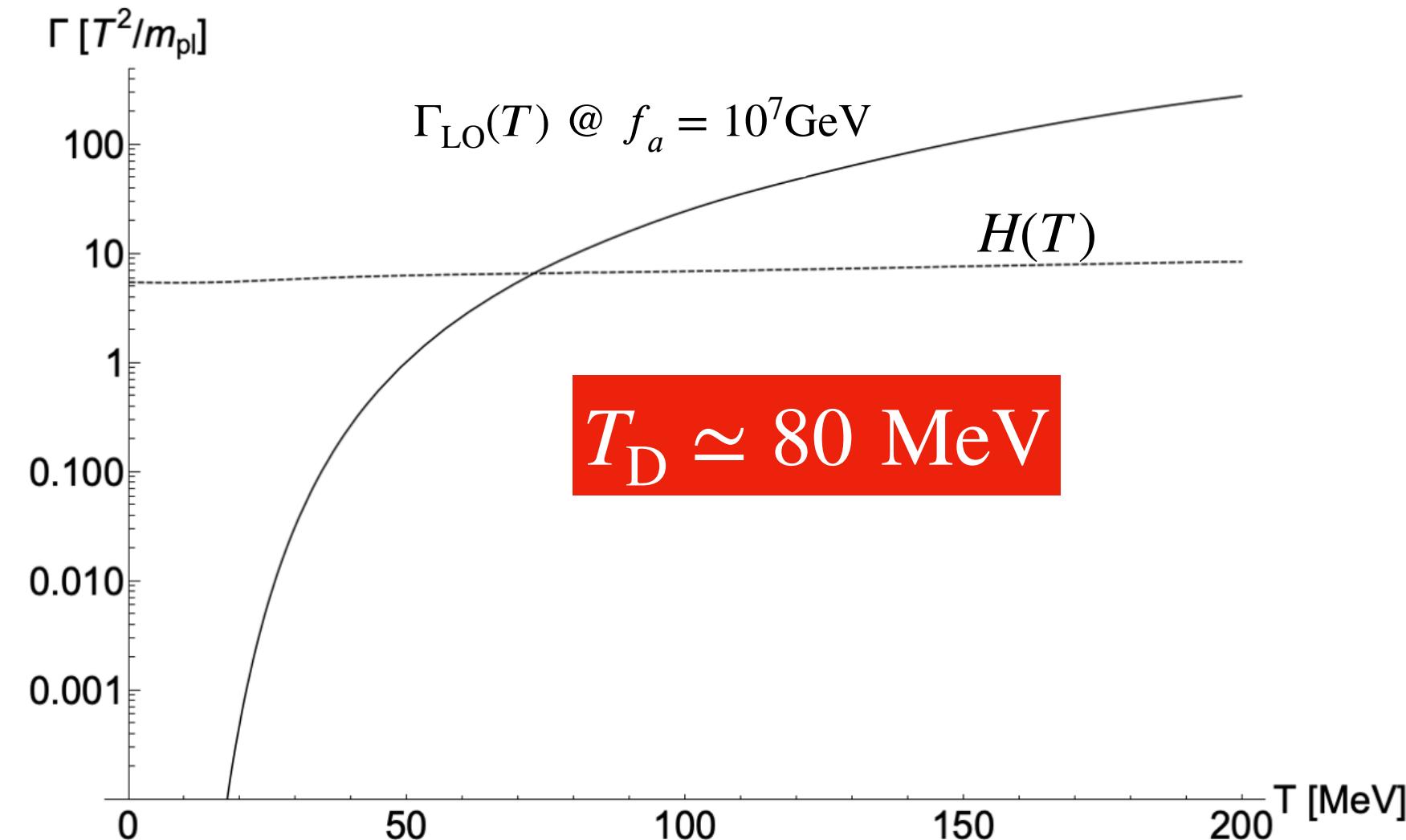
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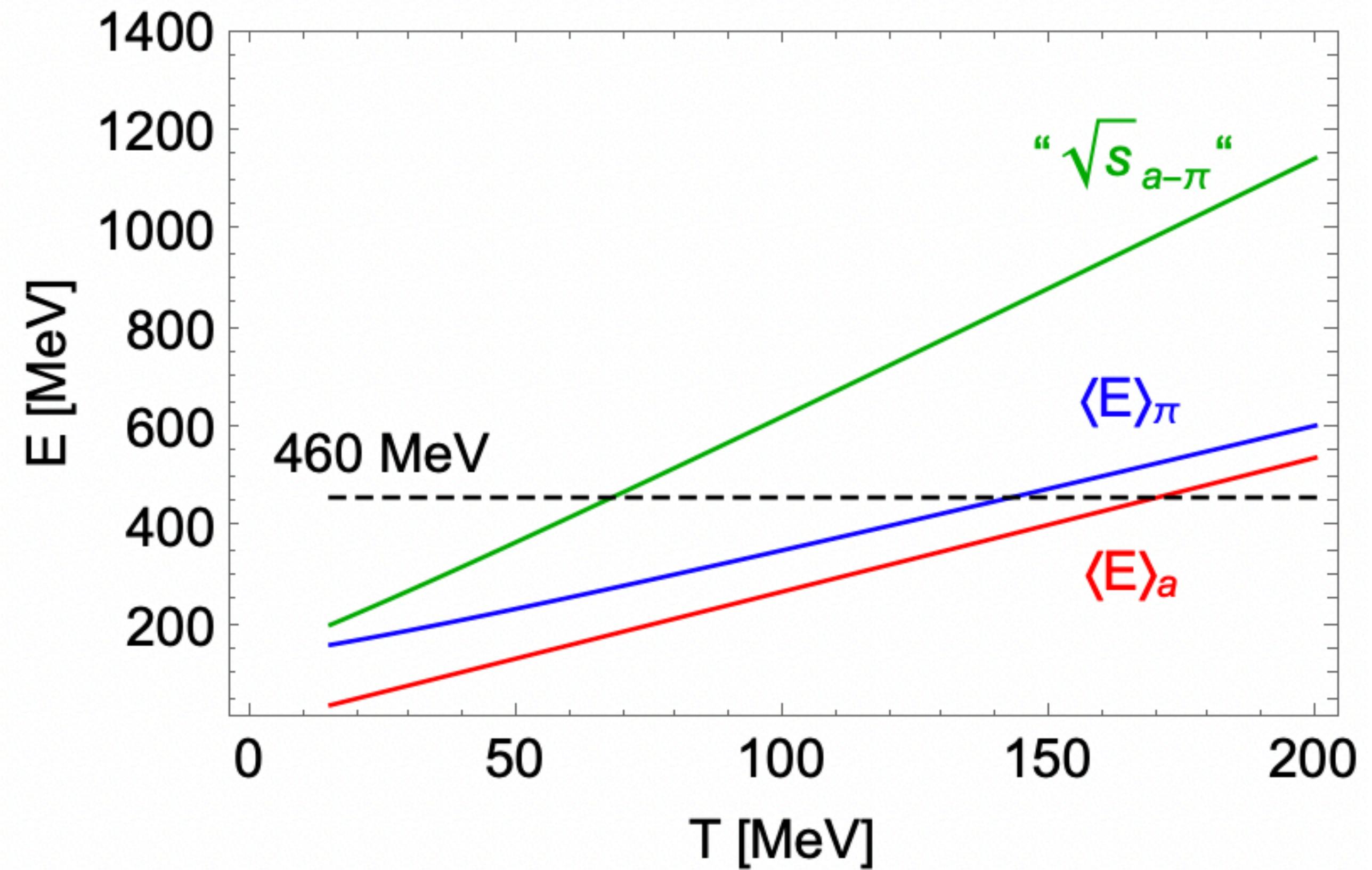
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ChPT is valid for $E \lesssim 460$ MeV

[Donoghue et al., PhysRevD.86.014025]



$$\langle E \rangle(T) \sim \frac{\rho(T)}{n(T)}$$



The bound extracted from ChPT is not reliable

NLO axion production rate

Axion-Pion scattering: Next-to-Leading Order

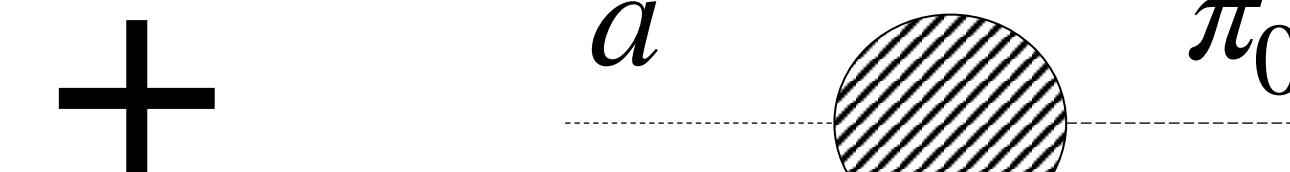
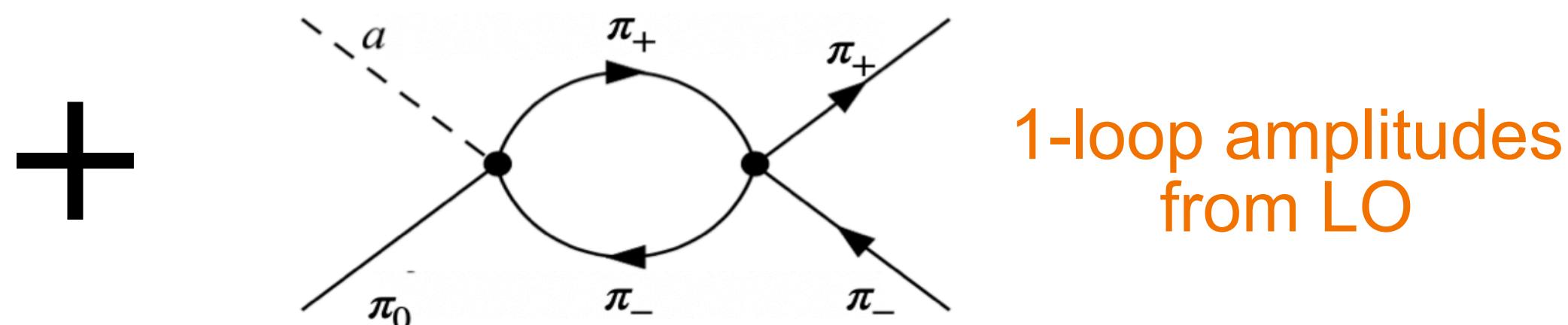
Ingredients

Tree-level graph from NLO Lagrangian and loop amplitudes from LO Lagrangian contributes to the same Order

$$\begin{aligned} \mathcal{L}_{\text{NLO}} = & \frac{l_1}{4} \left\{ \text{Tr} [D_\mu U (D^\mu U)^\dagger] \right\}^2 + \frac{l_2}{4} \text{Tr} [D_\mu U (D_\nu U)^\dagger] \text{Tr} [D^\mu U (D^\nu U)^\dagger] \\ & + \frac{l_3}{16} [\text{Tr} (\chi U^\dagger + U \chi^\dagger)]^2 + \frac{l_4}{4} \text{Tr} [D_\mu U (D^\mu \chi)^\dagger + D_\mu \chi (D^\mu U)^\dagger] \\ & + l_5 \left[\text{Tr} (f_{\mu\nu}^R U f_L^{\mu\nu} U^\dagger) - \frac{1}{2} \text{Tr} (f_{\mu\nu}^L f_L^{\mu\nu} + f_{\mu\nu}^R f_R^{\mu\nu}) \right] \quad \text{NLO Lagrangian} \\ & + i \frac{l_6}{2} \text{Tr} [f_{\mu\nu}^R D^\mu U (D^\nu U)^\dagger + f_{\mu\nu}^L (D^\mu U)^\dagger D^\nu U] \\ & - \frac{l_7}{16} [\text{Tr} (\chi U^\dagger - U \chi^\dagger)]^2 + \frac{h_1 + h_3}{4} \text{Tr} (\chi \chi^\dagger) + \frac{h_1 - h_3}{16} \left\{ [\text{Tr} (\chi U^\dagger + U \chi^\dagger)]^2 \right. \\ & \left. + [\text{Tr} (\chi U^\dagger - U \chi^\dagger)]^2 - 2 \text{Tr} (\chi U^\dagger \chi U^\dagger + U \chi^\dagger U \chi^\dagger) \right\} - 2h_2 \text{Tr} (f_{\mu\nu}^L f_L^{\mu\nu} + f_{\mu\nu}^R f_R^{\mu\nu}) \end{aligned}$$

+

$$\mathcal{L}_a^\chi \supset \frac{\partial^\mu a}{f_a} \text{Tr} \frac{1}{2} [c_q \sigma^a] J_\mu^a \quad \text{NLO chiral axial current } J_\mu^a$$



$a - \pi_0$
diagonalization

Amplitudes

- After renormalization & including NLO corrections to f_π

$$\begin{aligned} \mathcal{M}_{a\pi_0 \rightarrow \pi_+ \pi_-}^{\text{NLO}} = & \frac{C_{a\pi}}{192\pi^2 f_\pi^3 f_a} \left\{ 15m_\pi^2(u+t) - 11u^2 - 8ut - 11t^2 - 6\bar{\ell}_1(m_\pi^2 - s)(2m_\pi^2 - s) \right. \\ & - 6\bar{\ell}_2(-3m_\pi^2(u+t) + 4m_\pi^4 + u^2 + t^2) + 18\bar{\ell}_4 m_\pi^2(m_\pi^2 - s) \\ & + 3 \left[3\sqrt{1 - \frac{4m_\pi^2}{s}}s(m_\pi^2 - s) \ln \left(\frac{\sqrt{s(s - 4m_\pi^2)} + 2m_\pi^2 - s}{2m_\pi^2} \right) \right. \\ & + \sqrt{1 - \frac{4m_\pi^2}{t}}(m_\pi^2(t - 4u) + 3m_\pi^4 + t(u - t)) \ln \left(\frac{\sqrt{t(t - 4m_\pi^2)} + 2m_\pi^2 - t}{2m_\pi^2} \right) \\ & \left. \left. + \sqrt{1 - \frac{4m_\pi^2}{u}}(m_\pi^2(u - 4t) + 3m_\pi^4 + u(t - u)) \ln \left(\frac{\sqrt{u(u - 4m_\pi^2)} + 2m_\pi^2 - u}{2m_\pi^2} \right) \right] \right\} \\ & + \frac{4\ell_7 m_\pi^2 m_d (s - 2m_\pi^2) m_u (m_d - m_u)}{f_\pi^3 f_a (m_d + m_u)^3}, \end{aligned}$$

- Other pionic channels obtained via $s \rightarrow t, u$

NLO Thermalization rate

$$\sum |\mathcal{M}|^2 = |\mathcal{M}_{\text{LO}}|^2$$

$$\Gamma_a(T) = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 0.212 T^5 \left[h_{\text{LO}}(m_\pi/T) \right]$$

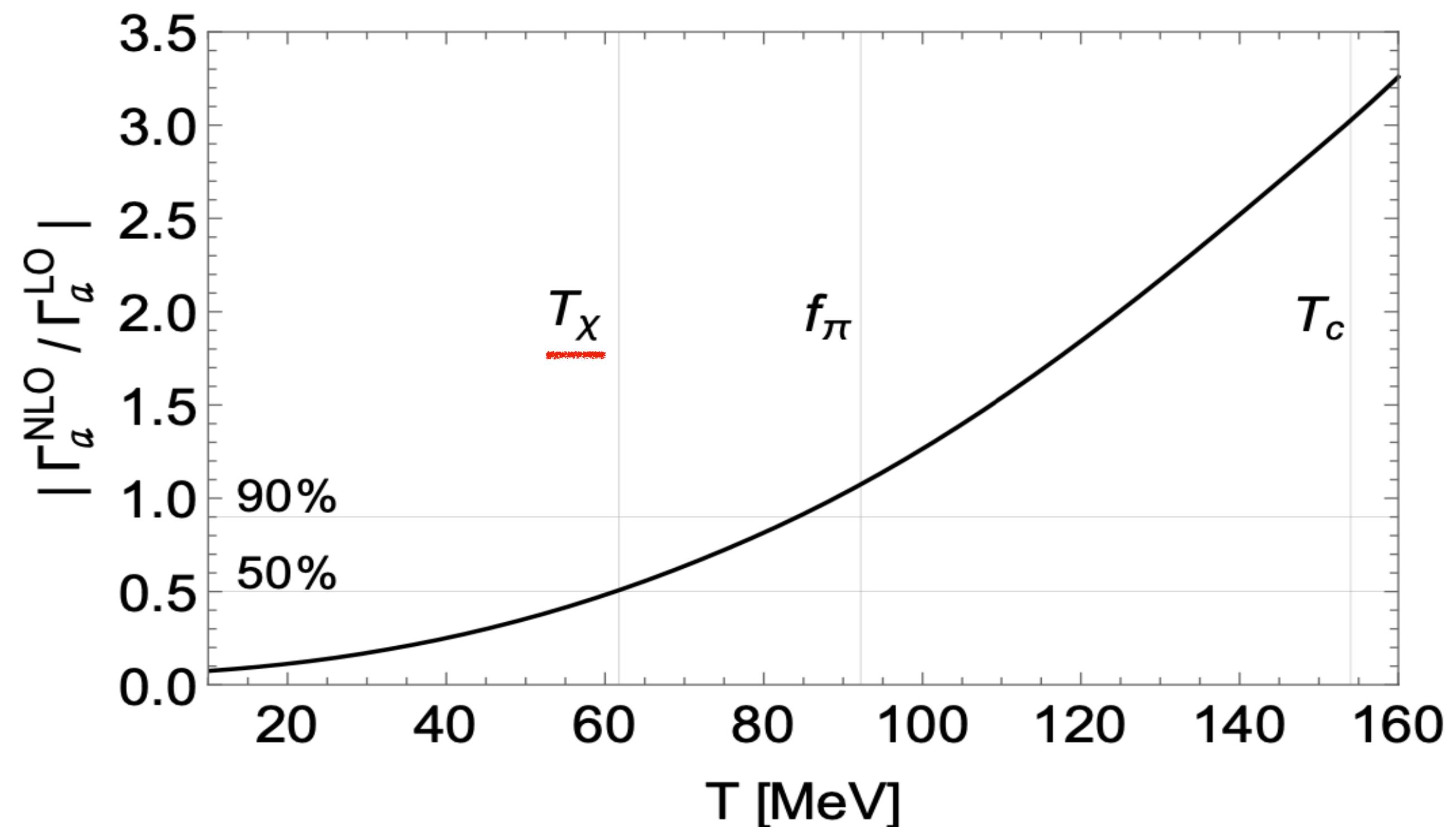
NLO Thermalization rate

$$\sum |\mathcal{M}|^2 = |\mathcal{M}_{\text{LO}}|^2 + 2\text{Re}[\mathcal{M}_{\text{LO}} \mathcal{M}_{\text{NLO}}^*]$$

$$\Gamma_a(T) = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 0.212 T^5 \left[h_{\text{LO}}(m_\pi/T) - 2.92 \frac{T^2}{f_\pi^2} h_{\text{NLO}}(m_\pi/T) \right]$$

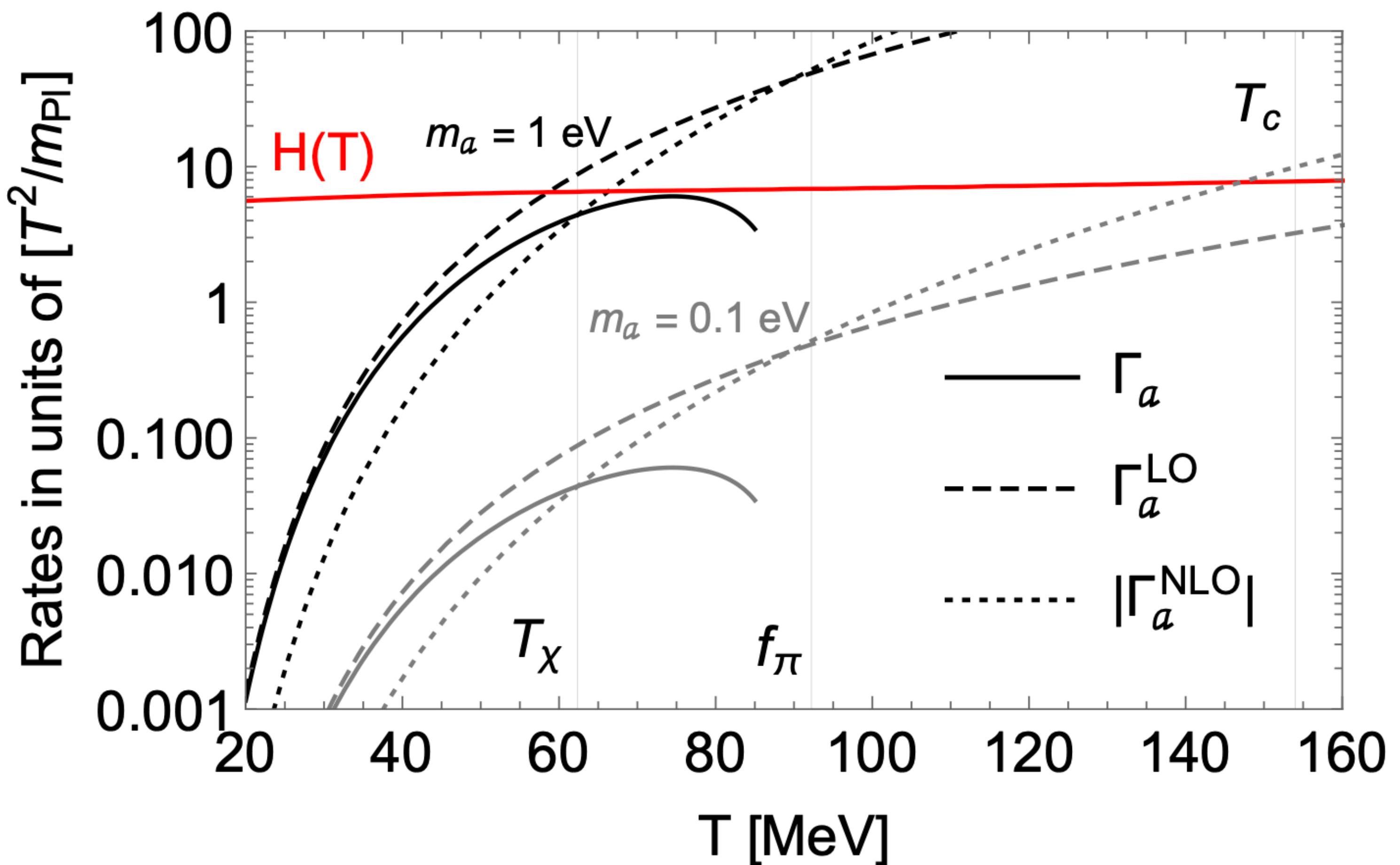
Different T-behavior between LO and NLO contributions

Correction of $\sim 50\%$ already at
 $T_\chi \equiv 62 \text{ MeV}$
Convergence problem!



Γ vs H , NLO

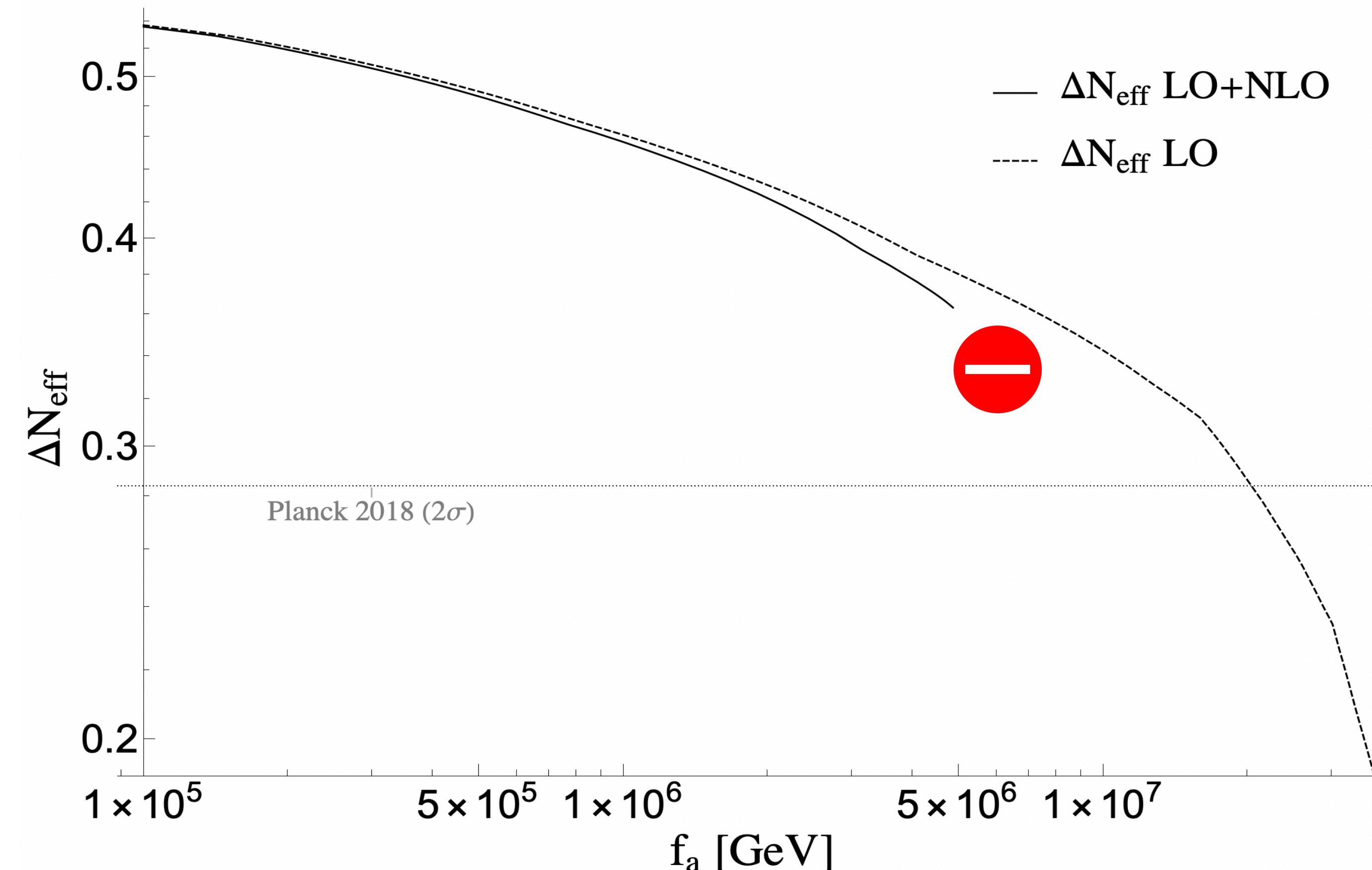
- $m_a = 1$ eV: the most conservative HDM bound
- $m_a = 0.1$ eV: typical reach of future CMB-S4 experiments
- $T_\chi \sim 62$ MeV: boundary of validity of the chiral expansion



ΔN_{eff} including NLO correction

T_D cannot be extracted in the region of interest since the *axion-pion EFT theory does not converge*

$$f_a \gtrsim 4.9 \times 10^6 \text{ GeV} \rightarrow T_D \gtrsim 60 \text{ MeV}$$



Conclusions

- The present HDM bound $m_a \lesssim 0.2$ eV is not reliable;
- In the mass range of interest, $m_a \in [0.1, 1]$ eV, the decoupling temperature and consequently the axion HDM bound cannot be extracted within the chiral Lagrangian, since thermal effects push energies above EFT scale;
- A reliable computation is needed to set targets for future CMB surveys (lattice QCD, ...?)



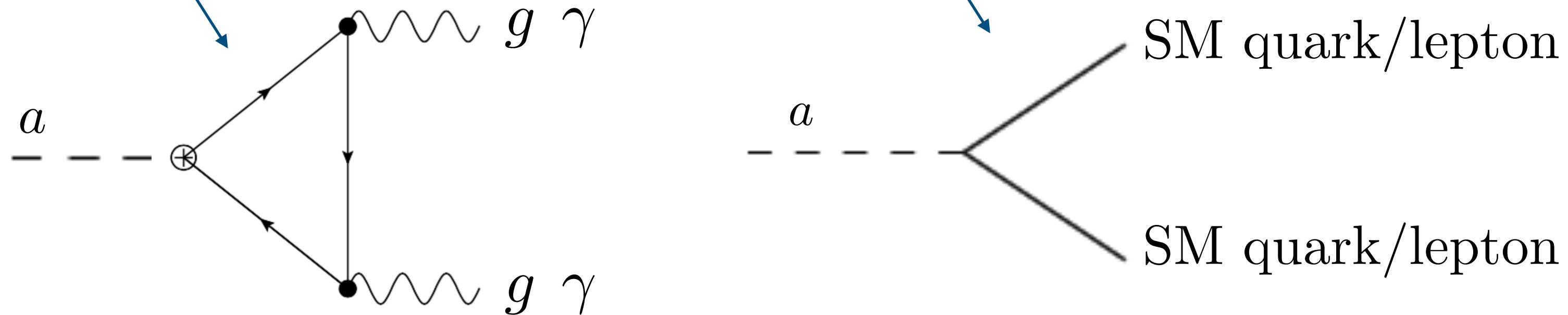
Thanks for the attention!

Backup

Axion EFT

$$E > \Lambda_{QCD}$$

$$\mathcal{L}_{\text{eff}} = \frac{1}{2}(\partial_\mu a)^2 + \boxed{\frac{g_s^2}{32\pi^2} \frac{a}{f_a} G\tilde{G} + \frac{1}{4} g_{a\gamma}^0 a F\tilde{F}} + \boxed{\frac{\partial_\mu a}{2f_a} \bar{q} c_q^0 \gamma^\mu \gamma_5 q} - \bar{q}_L M_q q_R + h.c.$$



Axion-Pion Effective Lagrangian: Leading Order

$E \gtrsim 1 \text{ GeV}$

$$\mathcal{L}_{\text{eff}} = \frac{1}{2}(\partial_\mu a)^2 + \frac{g_s^2}{32\pi^2} \frac{a}{f_a} G\tilde{G} + \frac{1}{4} g_{a\gamma}^0 a F\tilde{F} + \frac{\partial_\mu a}{2f_a} \bar{q} c_q^0 \gamma^\mu \gamma_5 q - \bar{q}_L M_q q_R + h.c.$$

1. Rotate away $aG\tilde{G}$ through $q \rightarrow e^{i\gamma_5 \frac{a}{2f_a} Q_a} q$,
2. Write an EFT with Pions and Axions as Goldstone Bosons

$E \lesssim 1 \text{ GeV}$

$$\mathcal{L}_a^\chi = \frac{f_\pi^2}{4} Tr \left[(D^\mu U)^\dagger D_\mu U + U \chi^\dagger + \chi U^\dagger \right] + \frac{\partial^\mu a}{f_a} \frac{1}{2} Tr [c_q \sigma^a] J_\mu^a$$

$$U = e^{i\pi^a \sigma^a / f_\pi}$$

$$\chi = 2B_0 e^{i\frac{a}{2f_a} Q_a} M_q e^{i\frac{a}{2f_a} Q_a}$$

$$J_\mu^a = \frac{i}{4} f_\pi^2 Tr \left[\sigma^a \{ U, (D^\mu U)^\dagger \} \right]$$

$$c_q = \begin{pmatrix} c_u^0 - \frac{m_d}{m_u+m_d} & 0 \\ 0 & c_d^0 - \frac{m_u}{m_u+m_d} \end{pmatrix}$$

Axion Chiral Lagrangian, NLO

$$\begin{aligned}\mathcal{L}_{\text{NLO}} = & \frac{l_1}{4} \left\{ \text{Tr} \left[D_\mu U (D^\mu U)^\dagger \right] \right\}^2 + \frac{l_2}{4} \text{Tr} \left[D_\mu U (D_\nu U)^\dagger \right] \text{Tr} \left[D^\mu U (D^\nu U)^\dagger \right] \\ & + \frac{l_3}{16} \left[\text{Tr} \left(\chi U^\dagger + U \chi^\dagger \right) \right]^2 + \frac{l_4}{4} \text{Tr} \left[D_\mu U (D^\mu \chi)^\dagger + D_\mu \chi (D^\mu U)^\dagger \right] \\ & + l_5 \left[\text{Tr} \left(f_{\mu\nu}^R U f_L^{\mu\nu} U^\dagger \right) - \frac{1}{2} \text{Tr} \left(f_{\mu\nu}^L f_L^{\mu\nu} + f_{\mu\nu}^R f_R^{\mu\nu} \right) \right] \\ & + i \frac{l_6}{2} \text{Tr} \left[f_{\mu\nu}^R D^\mu U (D^\nu U)^\dagger + f_{\mu\nu}^L (D^\mu U)^\dagger D^\nu U \right] \\ & - \frac{l_7}{16} \left[\text{Tr} \left(\chi U^\dagger - U \chi^\dagger \right) \right]^2 + \frac{h_1 + h_3}{4} \text{Tr} \left(\chi \chi^\dagger \right) + \frac{h_1 - h_3}{16} \left\{ \left[\text{Tr} \left(\chi U^\dagger + U \chi^\dagger \right) \right]^2 \right. \\ & \left. + \left[\text{Tr} \left(\chi U^\dagger - U \chi^\dagger \right) \right]^2 - 2 \text{Tr} \left(\chi U^\dagger \chi U^\dagger + U \chi^\dagger U \chi^\dagger \right) \right\} - 2h_2 \text{Tr} \left(f_{\mu\nu}^L f_L^{\mu\nu} + f_{\mu\nu}^R f_R^{\mu\nu} \right)\end{aligned}$$

[J. Gasser and H. Leutwyler, Annals Phys. **158** (1984)]
[S. Scherer, arXiv:hep-ph/0210398]

And, differentiating the Lagrangian with respect to the external fields...

Axial current to NLO

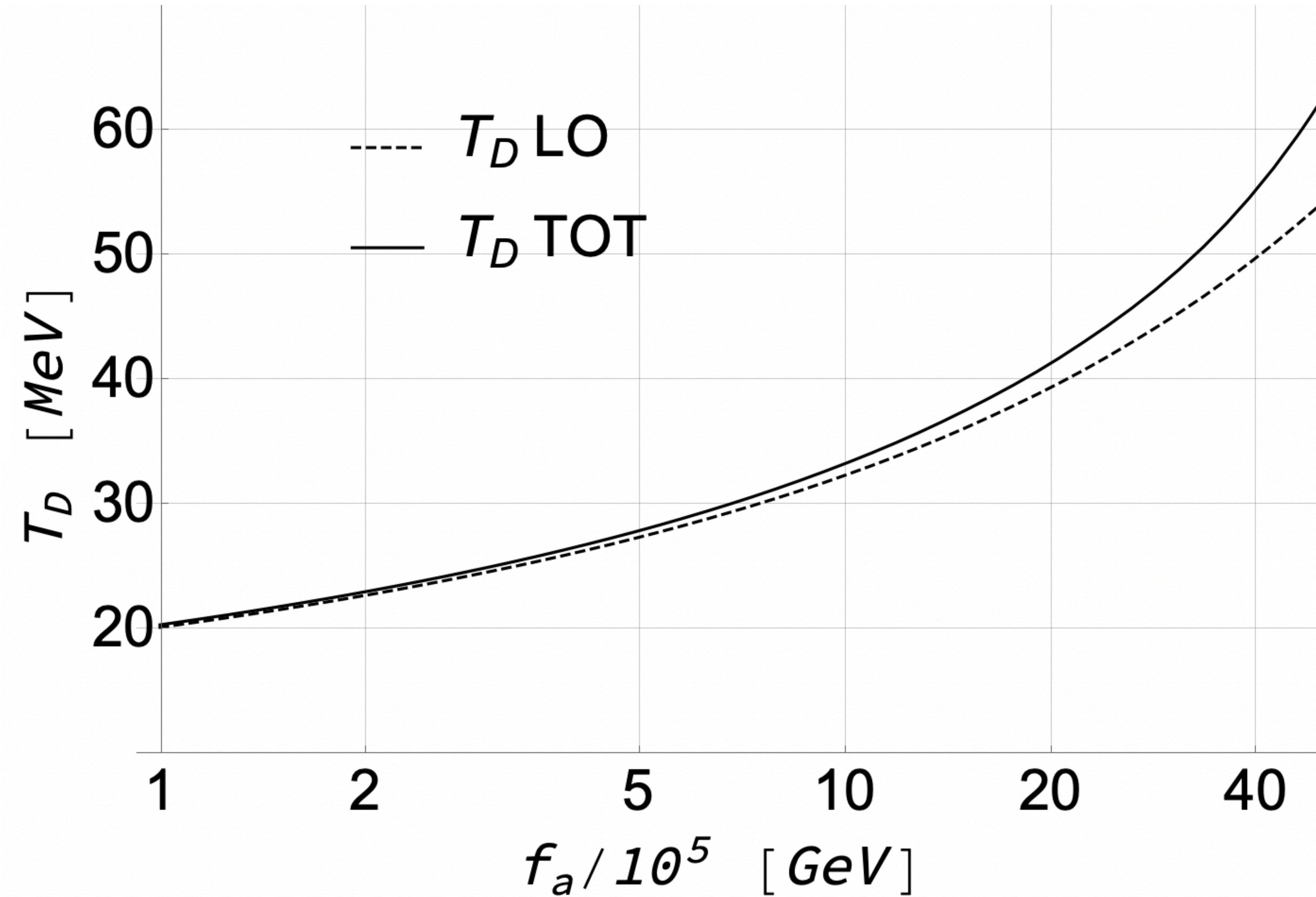
$$\frac{\partial^\mu a}{4f_a} \text{Tr} [c_q \sigma^a] \left(J_\mu^a \text{ (LO)} + J_\mu^a \text{ (NLO)} \right)$$



$$\begin{aligned} J_A^{\mu,a} \text{ (NLO)} = & +i \frac{l_1}{2} \left\langle \sigma^a \left\{ D^\mu U^\dagger, U \right\} \right\rangle \left\langle D_\nu U D^\nu U^\dagger \right\rangle \\ & + i \frac{l_2}{4} \left\langle \sigma^a \left\{ D^\nu U^\dagger, U \right\} \right\rangle \left\langle D^\mu U D_\nu U^\dagger + D_\nu U D^\mu U^\dagger \right\rangle \\ & - i \frac{l_4}{8} \left\langle \sigma^a \left\{ D^\mu U, \chi^\dagger \right\} - \sigma^a \left\{ U, D^\mu \chi^\dagger \right\} + \sigma^a \left\{ D^\mu \chi, U^\dagger \right\} - \sigma^a \left\{ \chi, D^\mu U^\dagger \right\} \right\rangle \\ & + \frac{l_6}{4} \left\langle f_{\mu\nu}^R [\sigma^a, D^\nu U] U^\dagger + f_{\mu\nu}^R U [D^\nu U^\dagger, \sigma^a] + f_{\mu\nu}^L U^\dagger [\sigma^a, D^\nu U] + f_{\mu\nu}^L [D^\nu U^\dagger, \sigma^a] U \right\rangle \end{aligned}$$

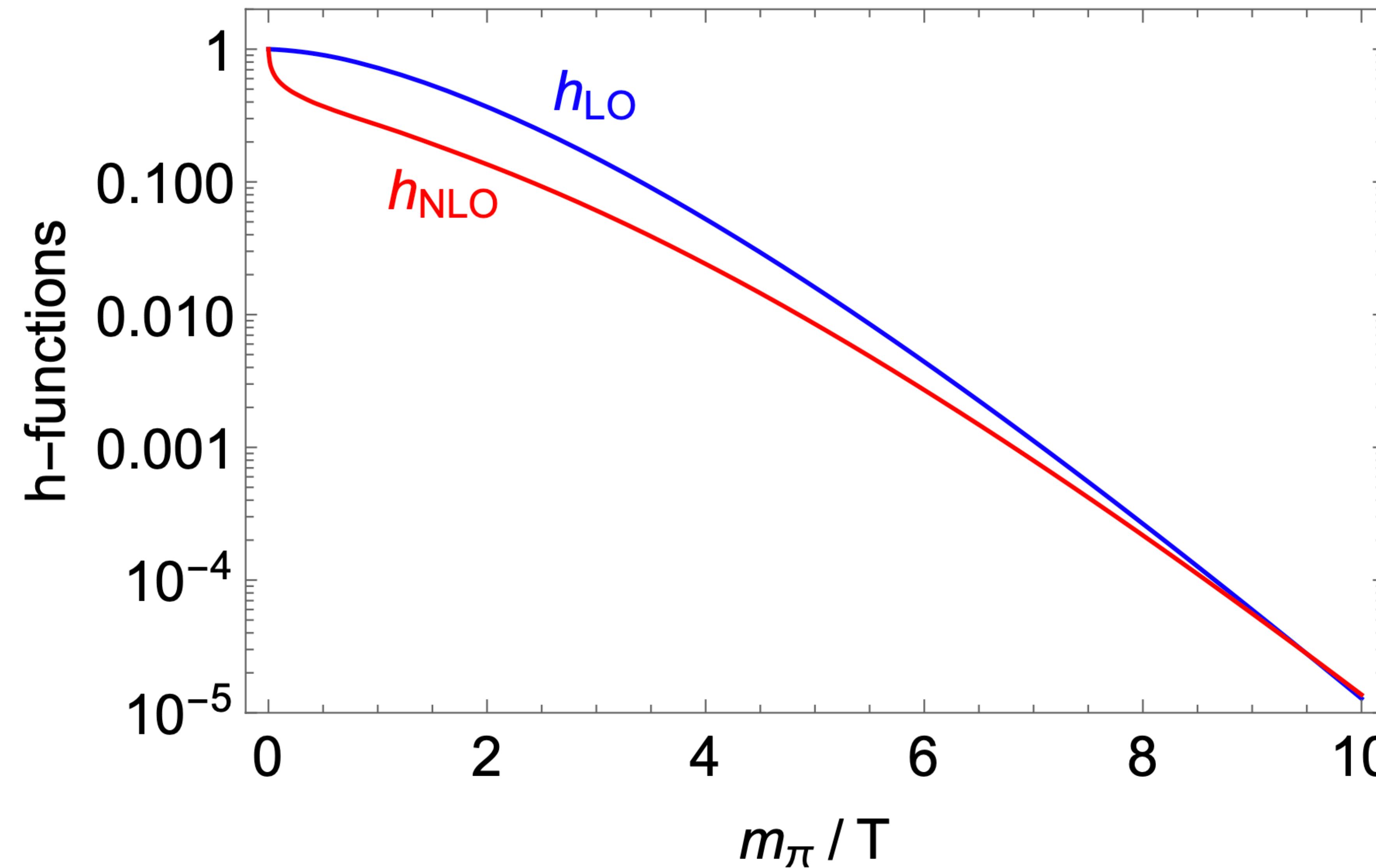
T_D vs f_a

Decoupling temperature for the LO and LO+NLO case, as a function of f_a



$$T_D < T_\chi \quad \text{if} \quad f_a \lesssim 4.9 \times 10^6 \text{ GeV} \quad m_a \gtrsim 1.16 \text{ eV}$$

h functions



ΔN_{eff} , the origins

$$\begin{aligned}\rho &= \rho_\gamma + \rho_\nu + \rho_a \\ &= \rho_\gamma \left[1 + \frac{7}{8} \left(\frac{T_\nu}{T_\gamma} \right)^4 N_{\text{eff}}^{\text{SM}} + \frac{1}{2} \left(\frac{T_a}{T_\gamma} \right)^4 \right] \\ &= \rho_\gamma \left[1 + \frac{7}{8} \left(\frac{T_\nu}{T_\gamma} \right)^4 N_{\text{eff}} \right]\end{aligned}$$

$$\frac{T_a}{T_\nu} = \left(\frac{43}{4g_S(T_D)} \right)^{1/3}$$

$$\Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}} = \frac{4}{7} \left(\frac{T_a}{T_\nu} \right)^4$$

$$\Delta N_{\text{eff}} = 0.027 \left(\frac{106.75}{g_S(T_D)} \right)^{4/3}$$

Effects of N_{eff} on the CMB

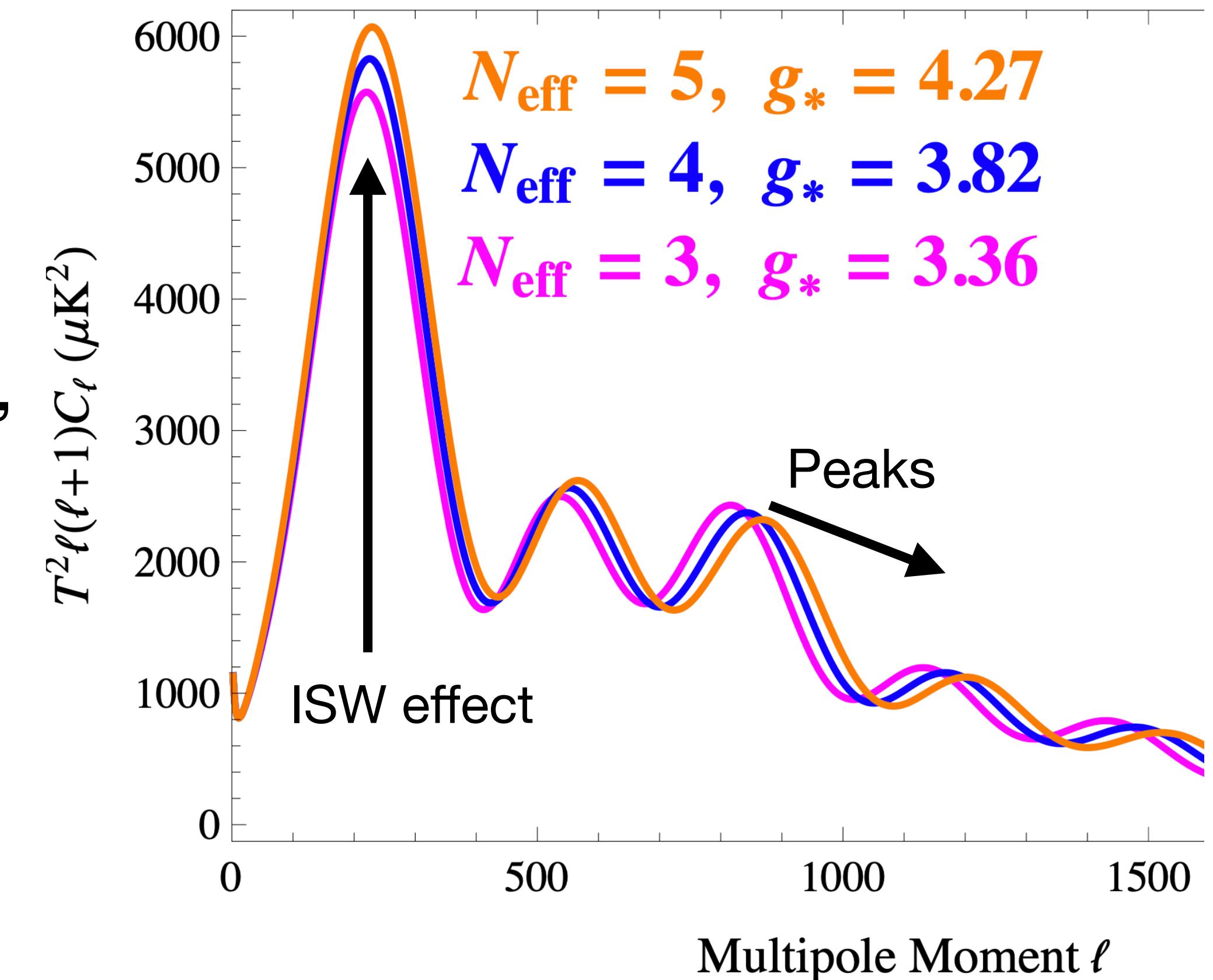
- $N_{\text{eff}} \uparrow \Rightarrow H \uparrow$, time for photons diffusion in the plasma decreases, reducing Silk damping and restricting it to higher ℓ . $\ell_{\text{dump}} \uparrow$
- $H \uparrow$ Acoustic oscillation length scale decreases, increasing the sound horizon. $\ell_{\text{sound}} \uparrow$
- Overall less damping but more peaks dumped.
 $H \uparrow \Rightarrow \ell_s / \ell_d \uparrow$
- Also, gravitational red/blue shift increased on 1st peak scales (ISW)

[Silk, *Astrophys.J.* 151 (1968)]

[Sachs, Wolfe, *Astrophys. J.* 147 (1967)]

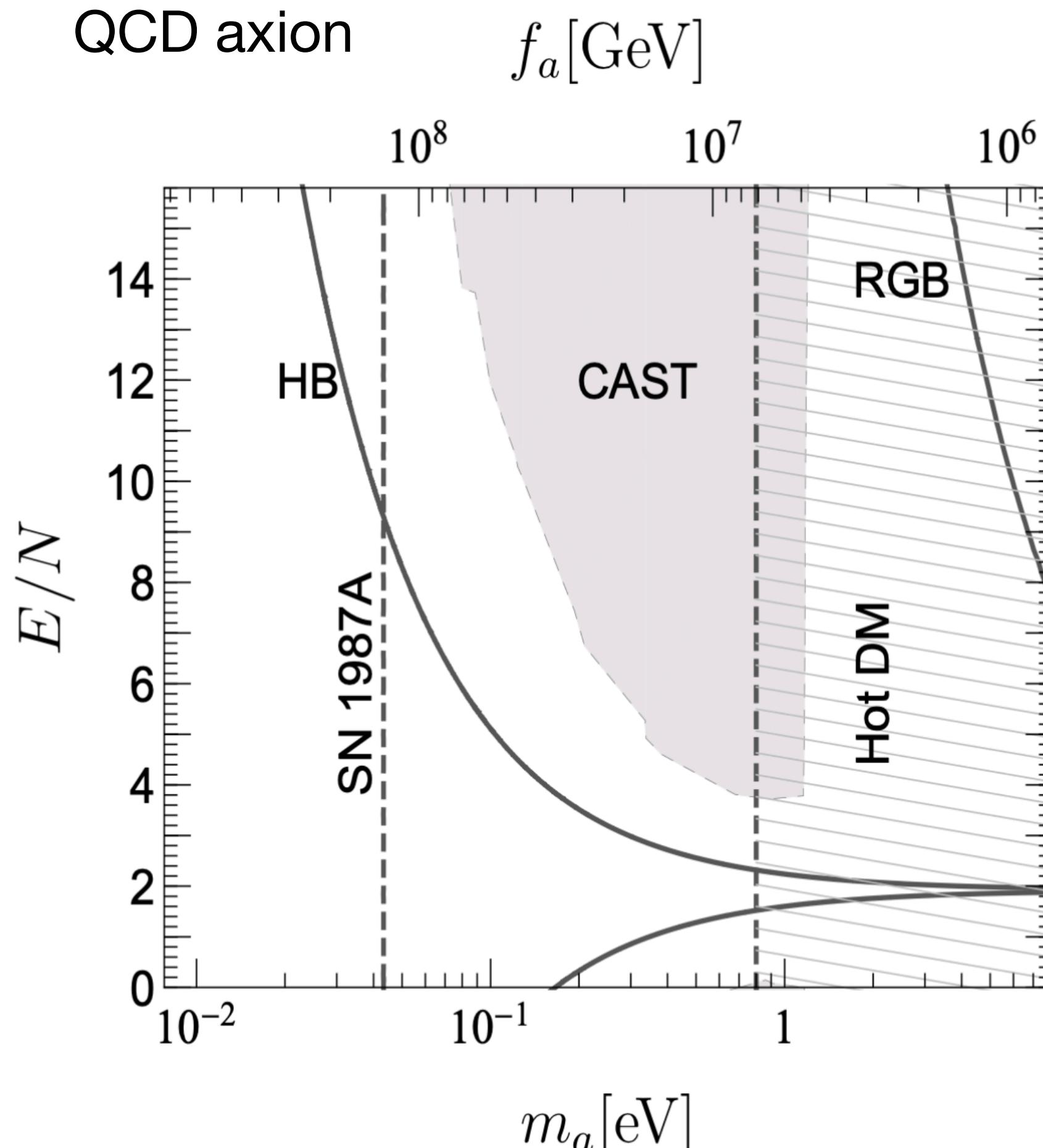
[Bowen, Hansen, Melchiorri, Silk, Trotta, arXiv: astro-ph/0110636]

[Brust, Kaplan, Walters, arXiv:1303.5379]

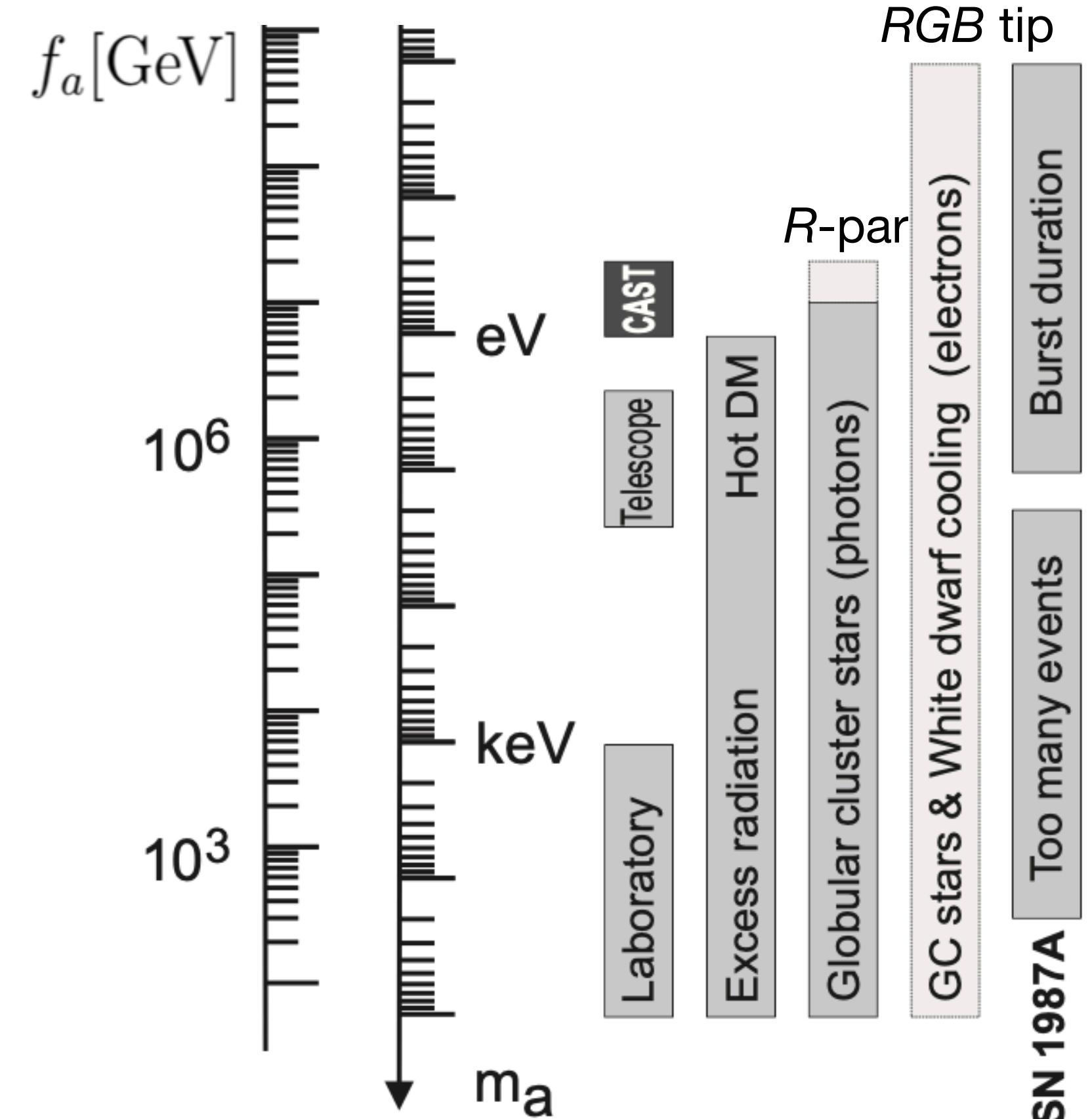


[Brust, Kaplan, Walters, arXiv:1303.5379]

ASTRO Bounds



[Di Luzio et al., Phys. Rept. **870** (2020)]



- $g_{ae}^0 = 0$ in KSVZ models
- SN bound not solid from astrophysics
[Bar, Blum, D'Amico 1907.05020]
- $g_{a\gamma}$ can be accidentally suppressed
[Di Luzio, Mescia, Nardi, arXiv:1705.05370]