

The DUNE experiment and its Far Detectors

Jaime Dawson
Laboratoire AstroParticule et Cosmologie, Paris

Contents

- Neutrino Oscillation
 - A brief Reminder
- Long Baseline Oscillation Experiments
 - The Signal
 - The State of the Art
- DUNE experiment
- DUNE detectors
 - ProtoDUNE Single-Phase
 - ProtoDUNE Dual-Phase
 - Vertical Drift



Illustration by Sandbox Studio/Symmetry Magazine

Neutrino Oscillation

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

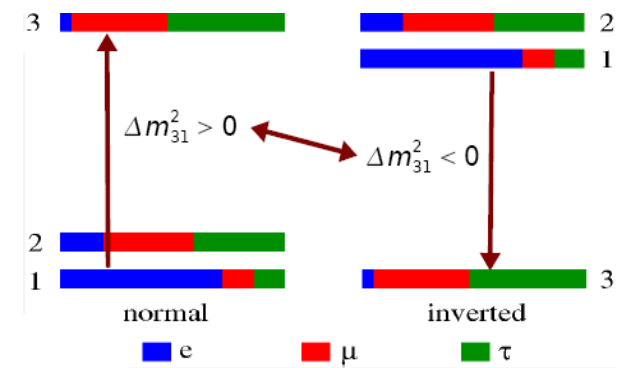
Pontecorvo – Maki – Nakagawa – Sakata (PMNS) matrix

- 3 mixing angles
- 1 CP phase

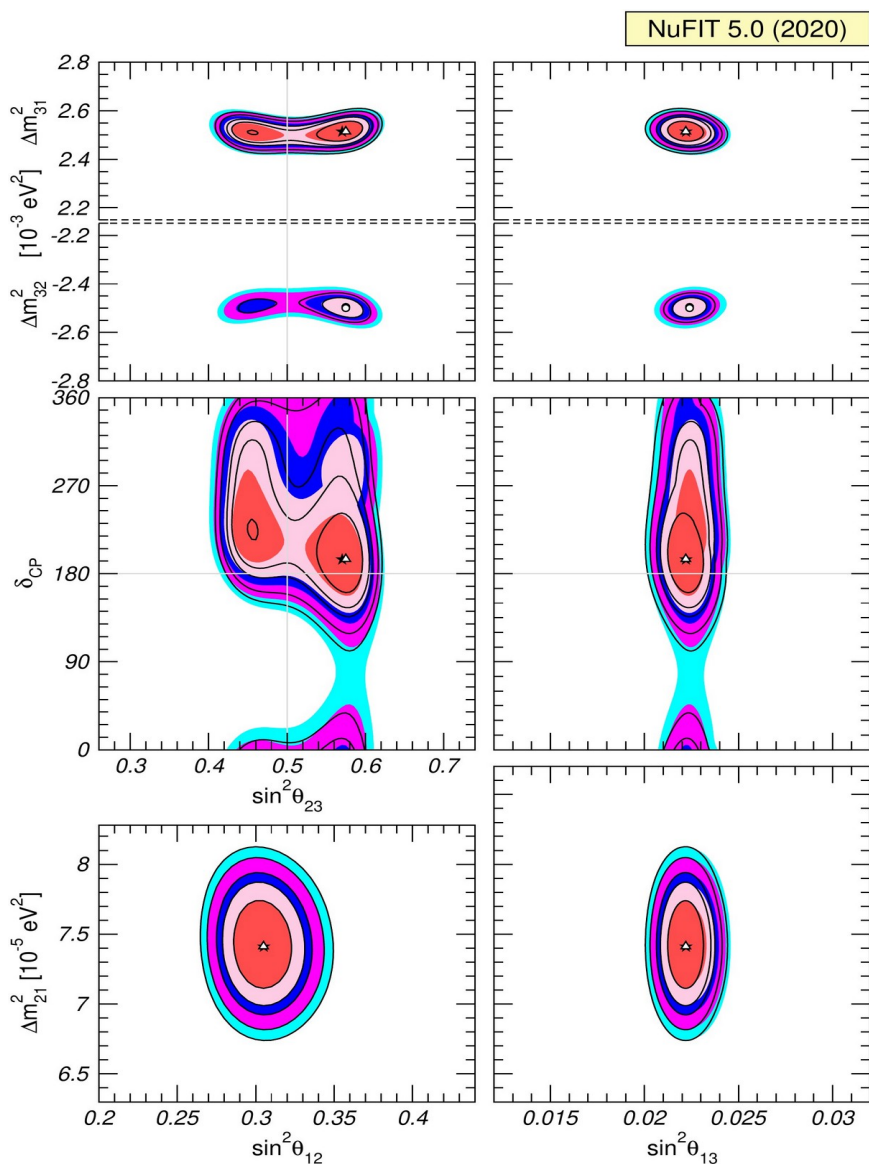
$$U = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

solar atmospheric

- 2 mass splittings Δm^2_{ij}
- $\theta_{13} \rightarrow \delta_{cp}$ and sign of Δm^2_{31}



Global Fits



		Normal Ordering (best fit)		Inverted Ordering ($\Delta\chi^2 = 7.1$)	
		bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range
with SK atmospheric data	$\sin^2 \theta_{12}$	$0.304^{+0.012}_{-0.012}$	$0.269 \rightarrow 0.343$	$0.304^{+0.013}_{-0.012}$	$0.269 \rightarrow 0.343$
	$\theta_{12}/^\circ$	$33.44^{+0.77}_{-0.74}$	$31.27 \rightarrow 35.86$	$33.45^{+0.78}_{-0.75}$	$31.27 \rightarrow 35.87$
	$\sin^2 \theta_{23}$	$0.573^{+0.016}_{-0.020}$	$0.415 \rightarrow 0.616$	$0.575^{+0.016}_{-0.019}$	$0.419 \rightarrow 0.617$
	$\theta_{23}/^\circ$	$49.2^{+0.9}_{-1.2}$	$40.1 \rightarrow 51.7$	$49.3^{+0.9}_{-1.1}$	$40.3 \rightarrow 51.8$
	$\sin^2 \theta_{13}$	$0.02219^{+0.00062}_{-0.00063}$	$0.02032 \rightarrow 0.02410$	$0.02238^{+0.00063}_{-0.00062}$	$0.02052 \rightarrow 0.02428$
	$\theta_{13}/^\circ$	$8.57^{+0.12}_{-0.12}$	$8.20 \rightarrow 8.93$	$8.60^{+0.12}_{-0.12}$	$8.24 \rightarrow 8.96$
	$\delta_{CP}/^\circ$	197^{+27}_{-24}	$120 \rightarrow 369$	282^{+26}_{-30}	$193 \rightarrow 352$
	$\frac{\Delta m^2_{21}}{10^{-5} \text{ eV}^2}$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$
	$\frac{\Delta m^2_{3\ell}}{10^{-3} \text{ eV}^2}$	$+2.517^{+0.026}_{-0.028}$	$+2.435 \rightarrow +2.598$	$-2.498^{+0.028}_{-0.028}$	$-2.581 \rightarrow -2.414$

JHEP 09 (2020) 178 [arXiv:2007.14792] NuFIT 5.0 (2020), www.nu-fit.org.

$$|U_{\text{PMNS}}| \sim \begin{pmatrix} 0.8 & 0.5 & 0.1 \\ 0.5 & 0.6 & 0.7 \\ 0.3 & 0.6 & 0.7 \end{pmatrix}$$

Long Baseline Experiments

Beam of ν_μ
or $\bar{\nu}_\mu$



hundreds of km through
the Earth

Detect ν_μ ($\bar{\nu}_\mu$)
 ν_e ($\bar{\nu}_e$)
and if you can
 ν_τ ($\bar{\nu}_\tau$)

ν_e appearance

$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2(A-1)\Delta}{(A-1)^2} \\ + 2\alpha \sin \theta_{13} \cos \delta_{CP} \sin 2\theta_{12} \sin 2\theta_{23} \frac{\sin A\Delta}{A} \frac{\sin(A-1)\Delta}{(A-1)} \cos \Delta \\ - 2\alpha \sin \theta_{13} \sin \delta_{CP} \sin 2\theta_{12} \sin 2\theta_{23} \frac{\sin A\Delta}{A} \frac{\sin(A-1)\Delta}{(A-1)} \sin \Delta$$

$$\alpha = \frac{\Delta m_{21}^2}{\Delta m_{31}^2}$$

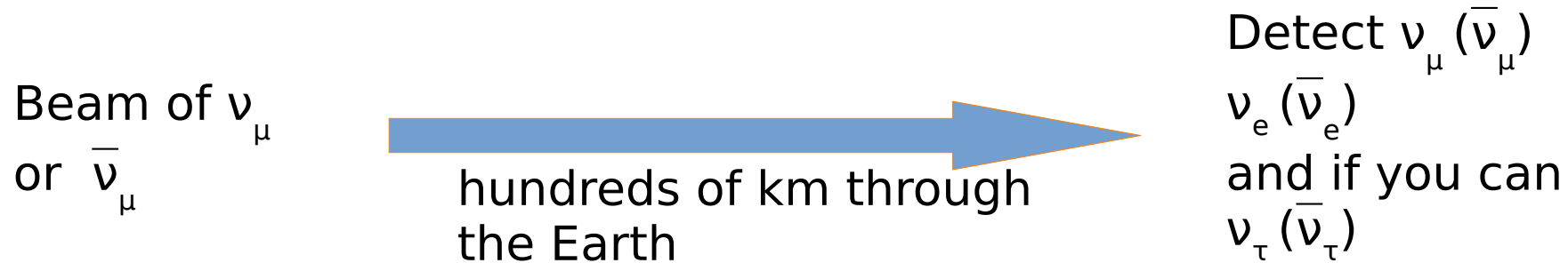
$$\Delta = \frac{\Delta m_{31}^2 L}{4E}$$

$$A = +G_f N_e \frac{L}{\sqrt{2}\Delta}$$

- ν_e appearance: mass hierarchy, δ_{CP} and octant of θ_{23}
- ν_μ disappearance: high precision $|\Delta m_{32}|$ and $\sin^2 2\theta_{23}$, constrain octant

From T. Patzak

Long Baseline Experiments



Compare oscillation probabilities $P(\nu_\mu \rightarrow \nu_e)$ to $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

They are not the same due to:

- 1) $\delta_{CP} \neq 0$ or π (CP violation!!)
- 2) asymmetry due to matter effects (the Earth is made of matter)

- ν_e appearance: mass hierarchy, δ_{CP} and octant of θ_{23}
- ν_μ disappearance: high precision $|\Delta m_{32}|$ and $\sin^2 2\theta_{23}$, constrain octant

Long Baseline Experiments

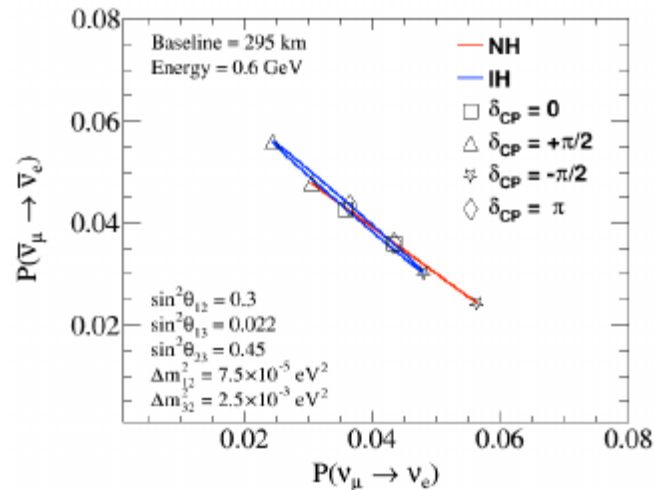
Beam of ν_μ
or $\bar{\nu}_\mu$

hundreds of km through
the Earth

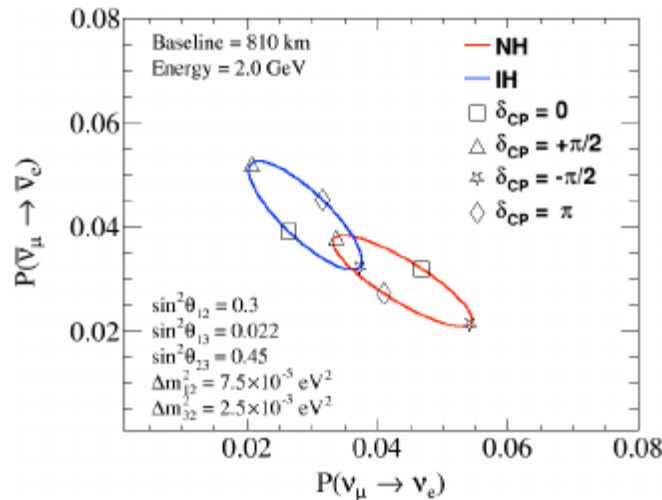
Detect ν_μ ($\bar{\nu}_\mu$)
 ν_e ($\bar{\nu}_e$)
and if you can
 ν_τ ($\bar{\nu}_\tau$)

If the baseline is long enough, the matter effect dominates, and δCP and neutrino mass ordering disentangle.

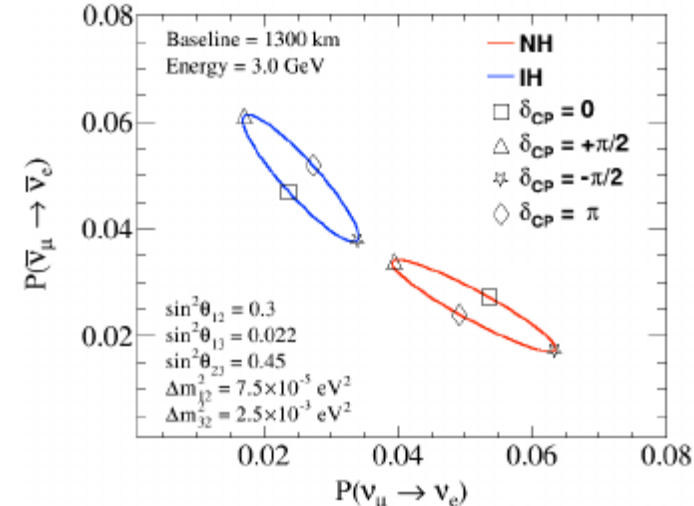
Baseline 295 km
Energy 0.6 GeV



Baseline 810 km
Energy 2.0 GeV



Baseline 1300 km
Energy 3.0 GeV



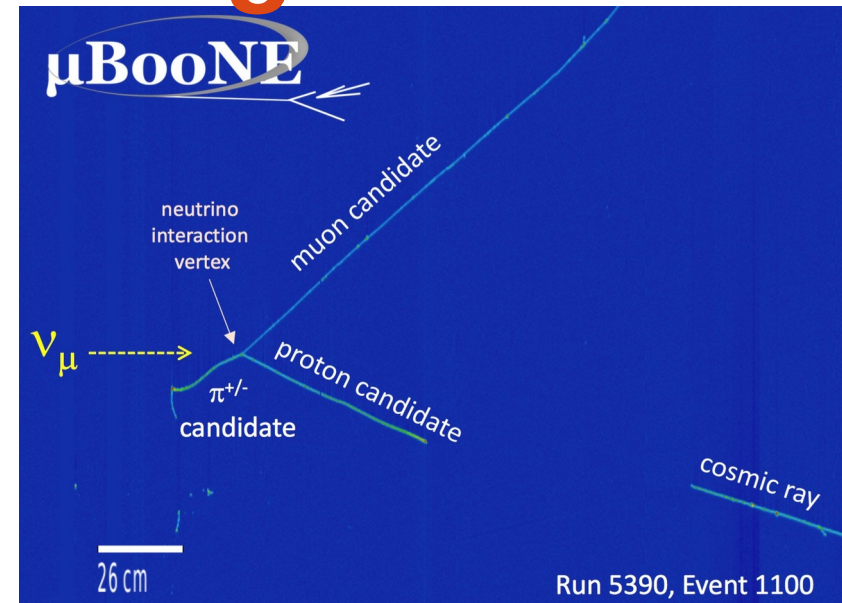
Long Baseline Experiments

– Neutrino Signal

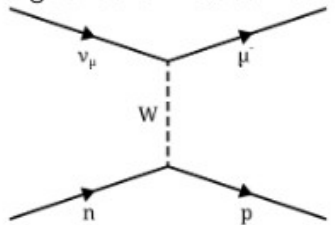
Real ν_μ as seen by μ BooNE

Neutrino flavour determined by outgoing lepton

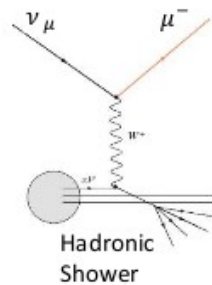
Depending on neutrino energy, interactions can be quite complex (multiple products and showers)



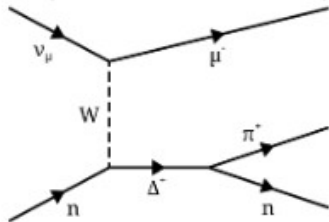
CCQE (1p1h)
(Charged-Current Quasi-Elastic)



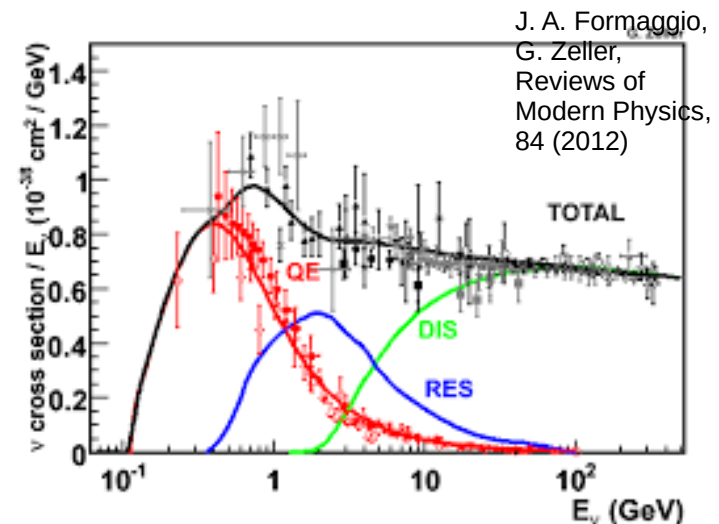
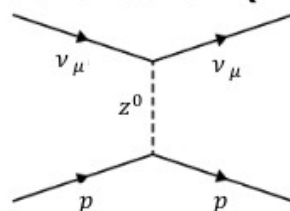
CCDIS
(Deep Inelastic Scattering)



CCRES
(Charged-Current Resonant)



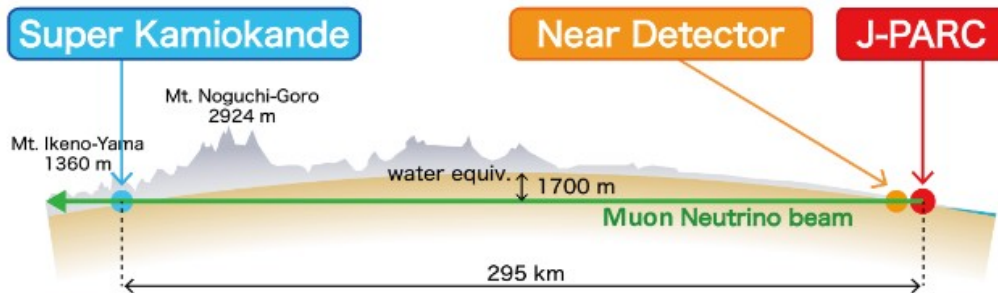
Neutral Current (NC)



Long Baseline Experiments

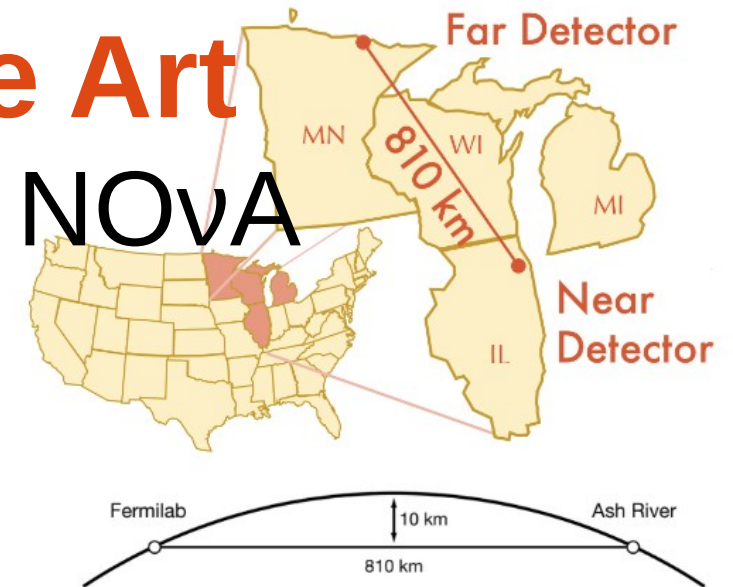
– State of the Art

T2K

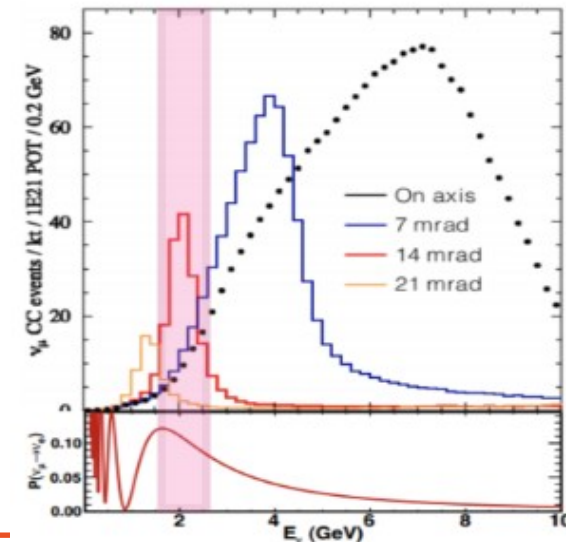


- T2K Far Detector is Water Cherenkov (SuperK 50 ktons)
- Baseline is 295 km
- Both have narrow-band beams (off-axis) peaked at 0.6 GeV (T2K) and 1.9 GeV (NOVA)
- Most events are CCQE

NOvA



- NOvA has functionally identical Near and Far detectors (finely grained liquid scintillator; 14kton far)
- Baseline is 810km
- Higher neutrino energy
 - DIS occurs



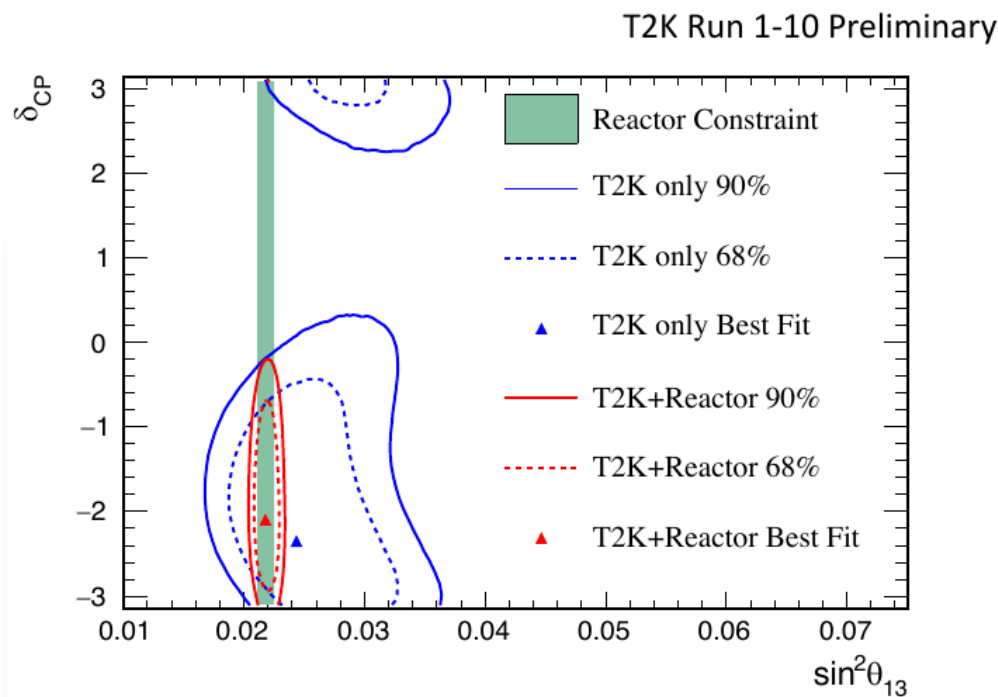
Long Baseline Experiments

– State of the Art

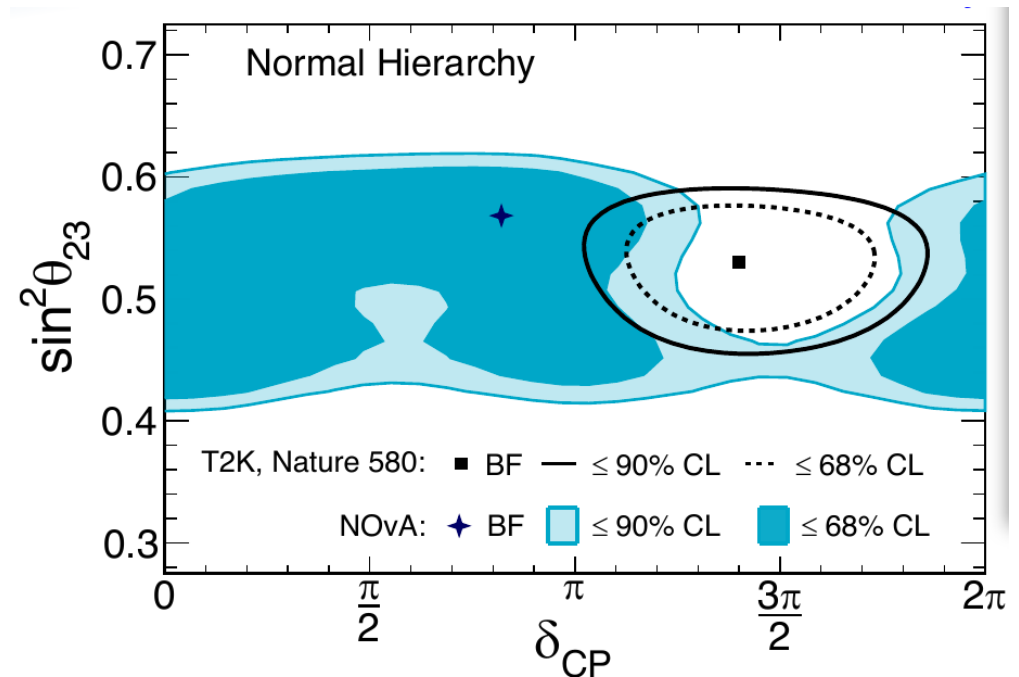
T2K

NOvA

- Preference for Normal Ordering



- Also prefers Normal Ordering
- Clear tension with T2K on δ_{CP} !



P. Dunne Neutrino2020 -
<https://zenodo.org/record/4154355#.YHA6zxKxVH4>

A. Himmel Neutrino2020

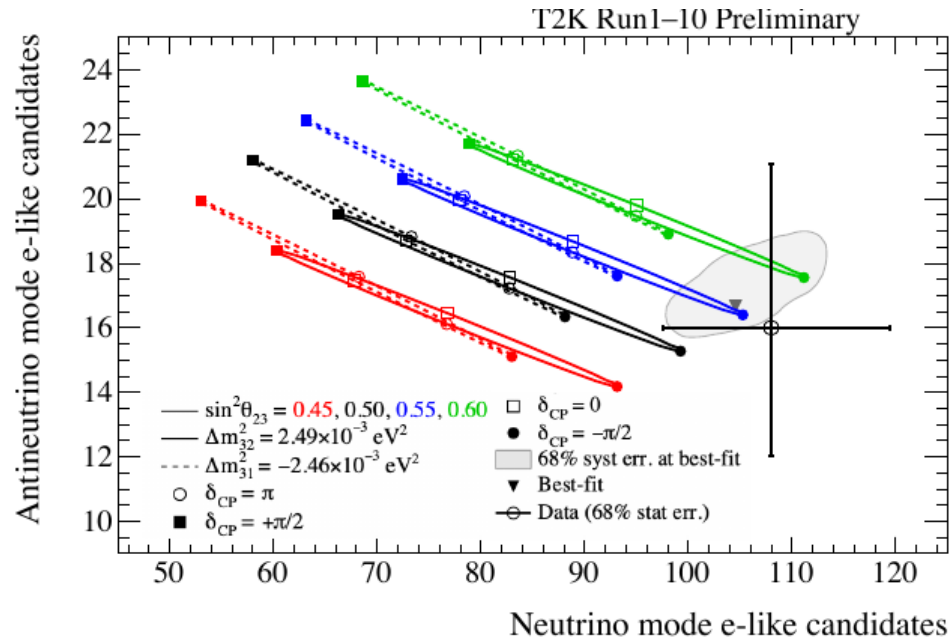
Collaborations are working towards a joint analysis

Long Baseline Experiments

– State of the Art

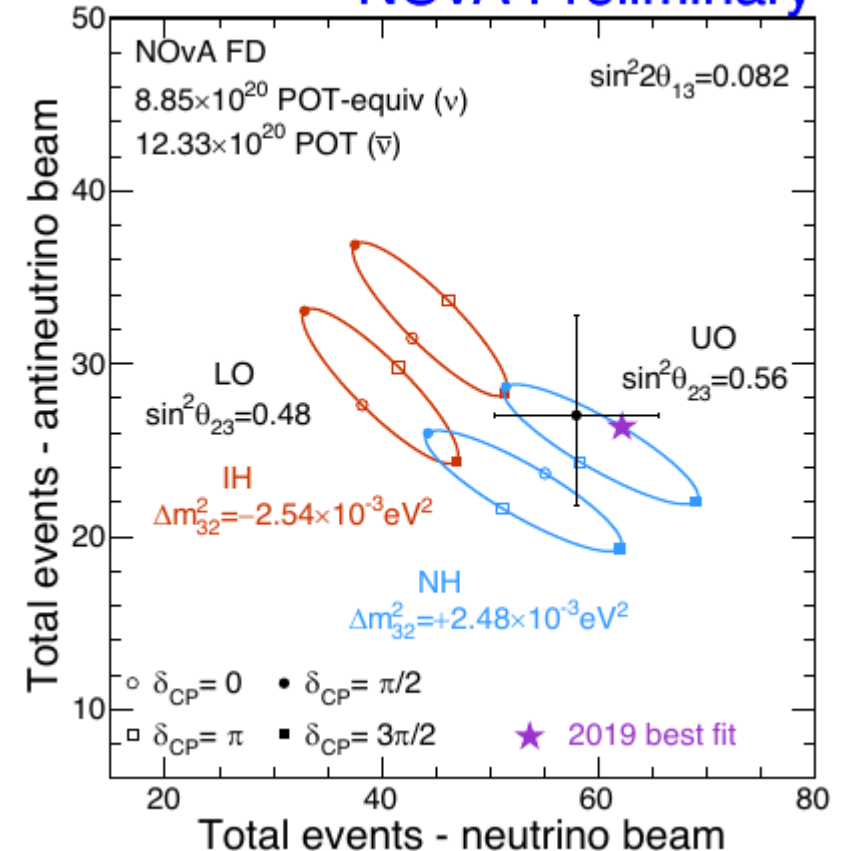
T2K

NOvA



FNAL Users Meeting2019

NOvA Preliminary



P. Dunne Neutrino2020 -
<https://zenodo.org/record/4154355#.YHA6zxKxVH4>

Collaborations are working towards a joint analysis

Long Baseline Experiments

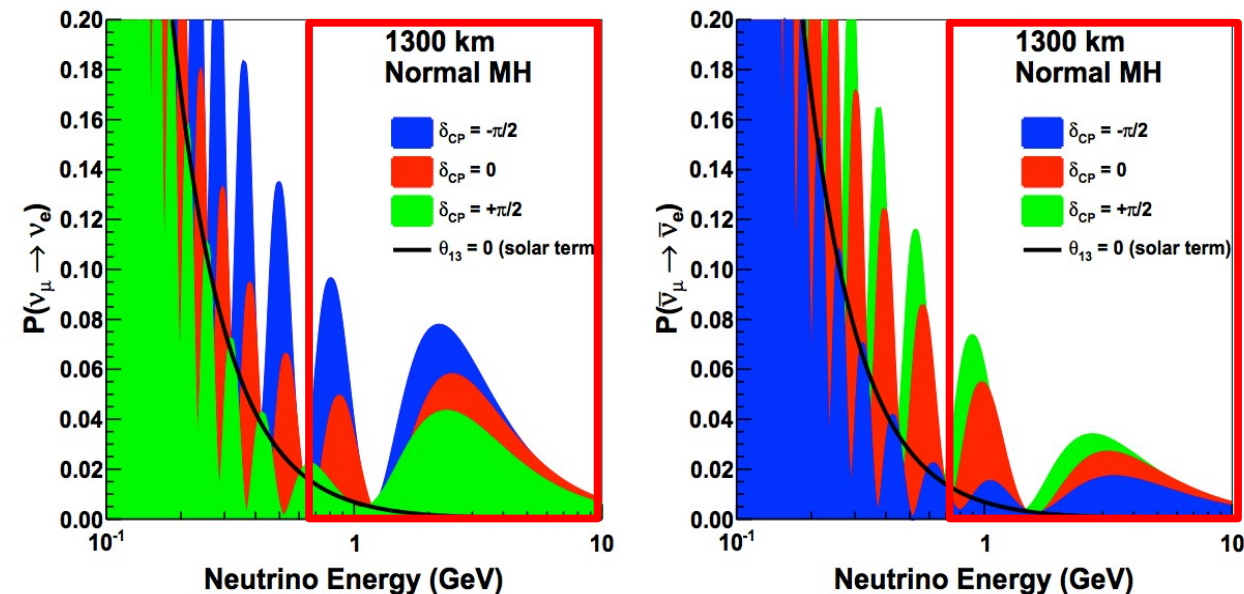
– Future

Higher power beam (more stats)

Make a spectral measurement – use a wide band beam

And a longer baseline (completely disentangle mass ordering and CP violation)

Make a spectral measurement
use a wide band beam
highly performing detectors



Measurement range spans
2 oscillation peaks
Gain additional power on
deltaCP

Downside – hit all forms of
neutrino interactions.
Need highly performing
Near Detectors...

DUNE: international collaboration

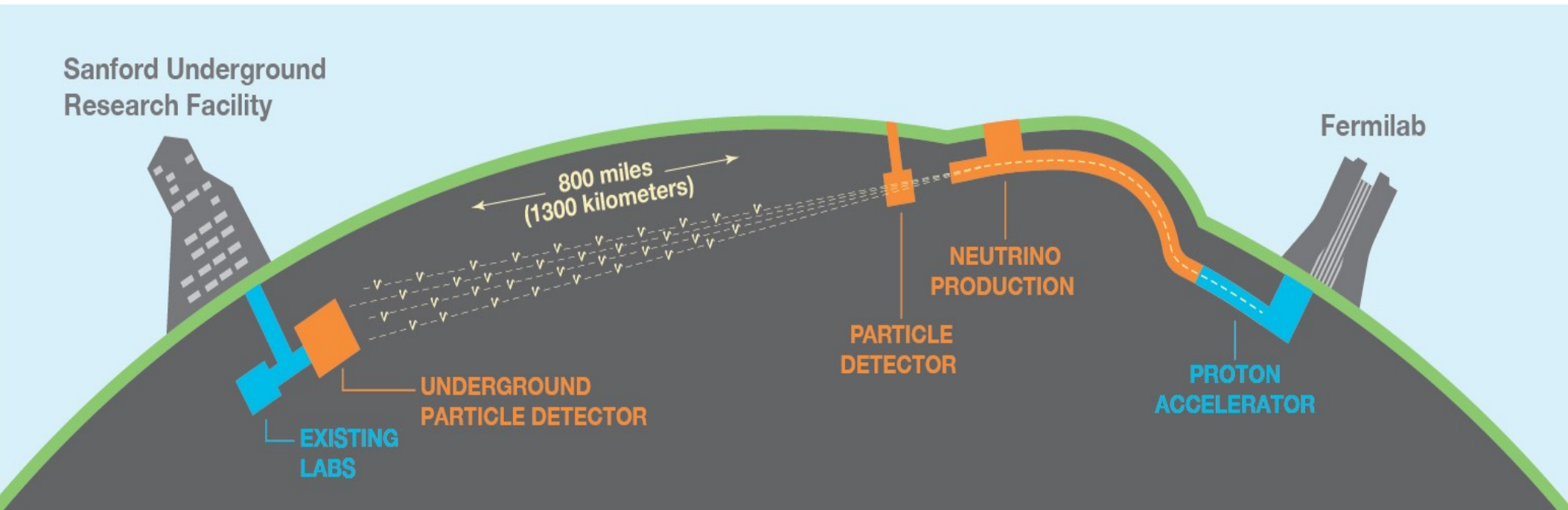


DUNE Virtual Collaboration Meeting
January 2021



- 1298 collaborators
- 205 institutions
- 32 countries (plus CERN)

DUNE is next generation neutrino oscillation experiment



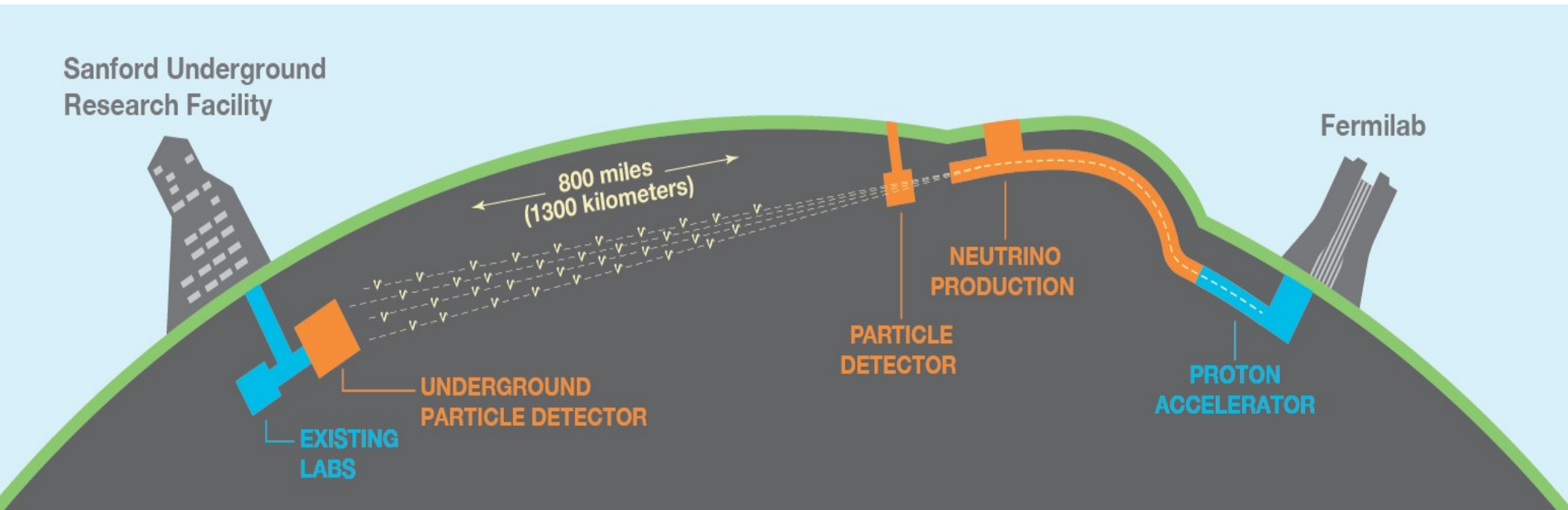
Physics goals :

Neutrino oscillations : measure ν_μ disappearance + ν_e and ν_τ appearance (both neutrino and anti-neutrino modes)

Mass Ordering, leptonic CP Violation discovery, θ_{23} octant and more in a single experiment + physics beyond the Standard Model

Large underground detectors : Nucleon Decay searches, SuperNovae core collapse etc

DUNE is next generation neutrino oscillation experiment

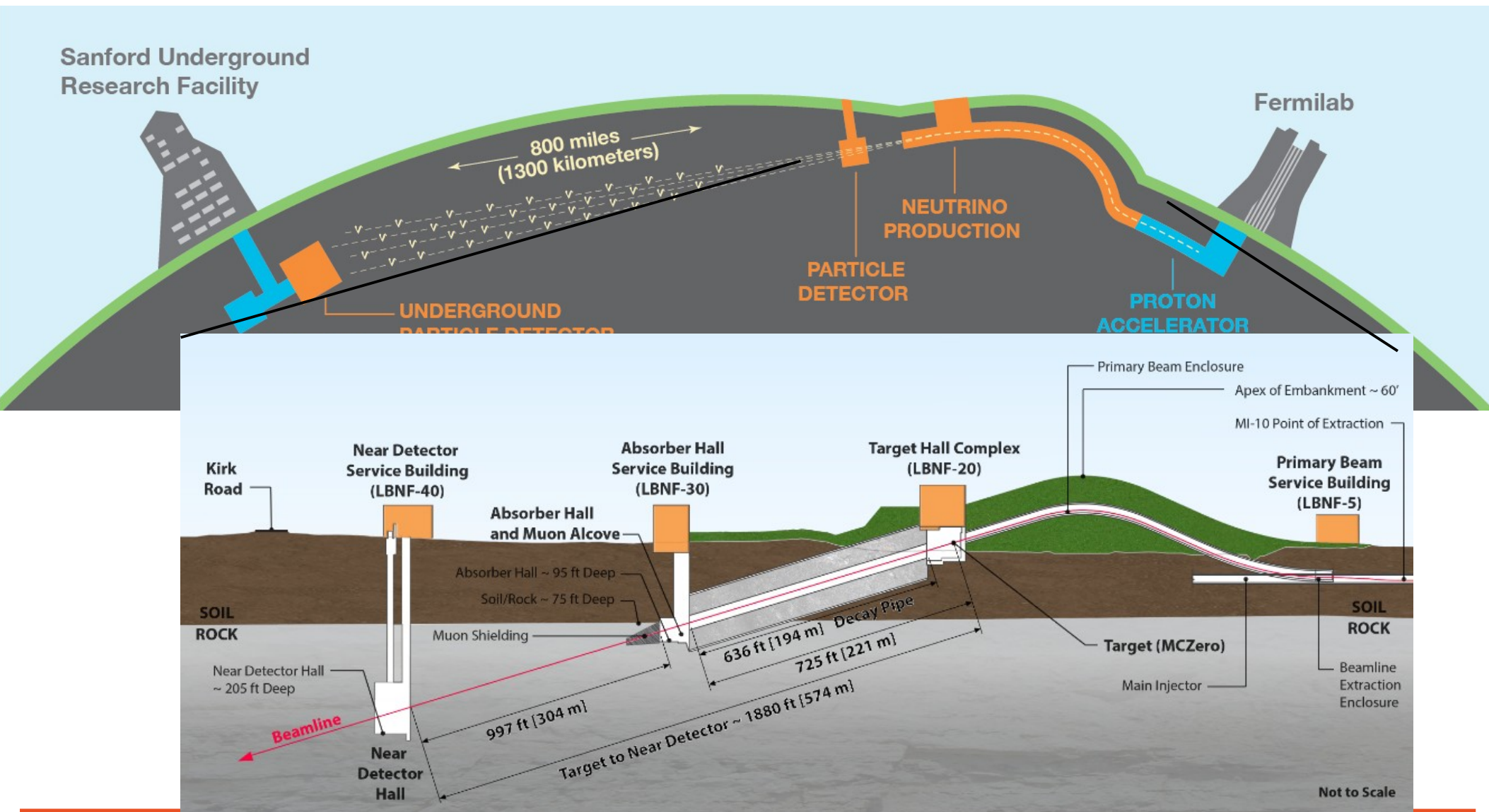


Far detectors at SURF:
4 x 17 kt Liquid Argon TPCs
1.5 km underground

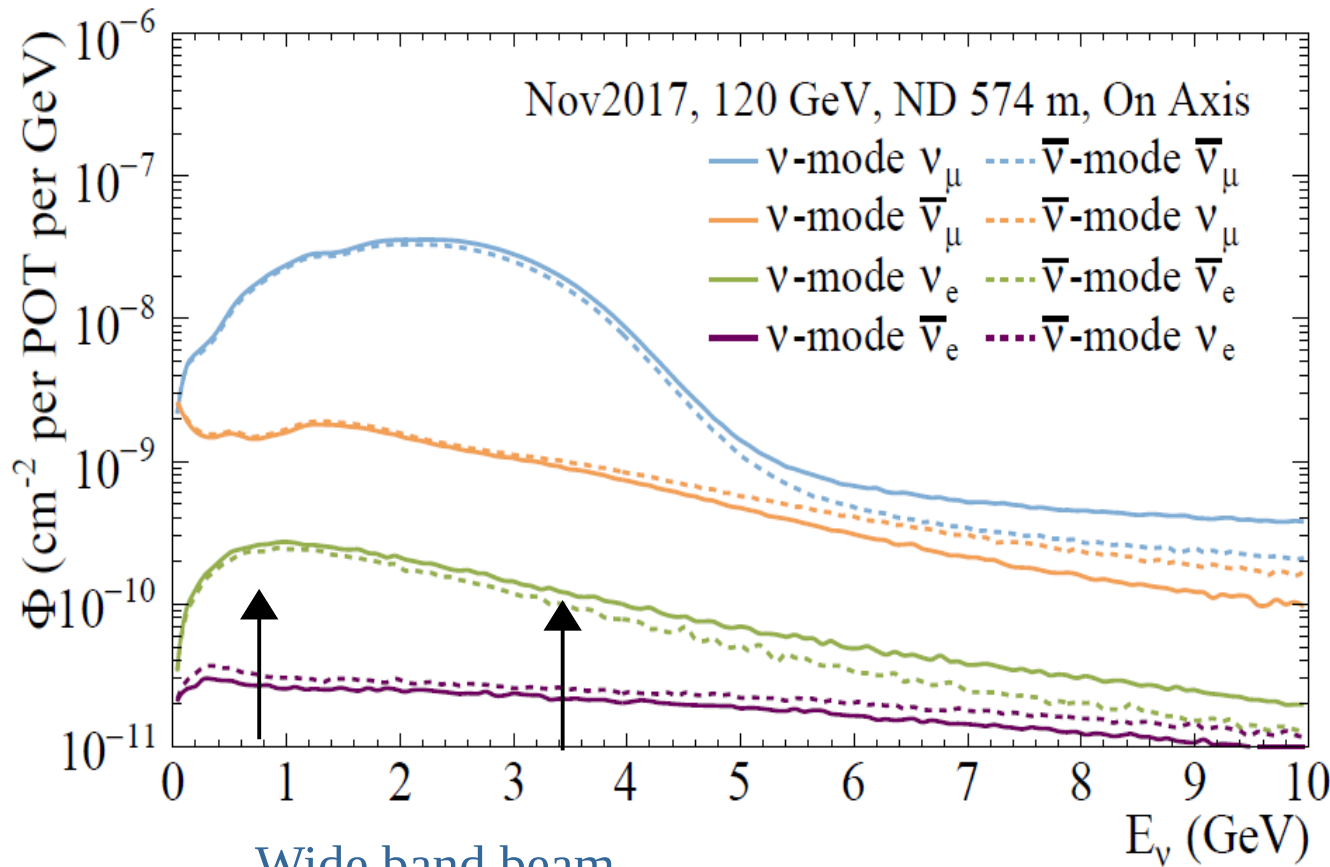
1.2 MW wide-band beam from
Fermilab (upgradable to 2.4 MW)

Near Detector to measure initial
composition

Long Baseline Neutrino Facility



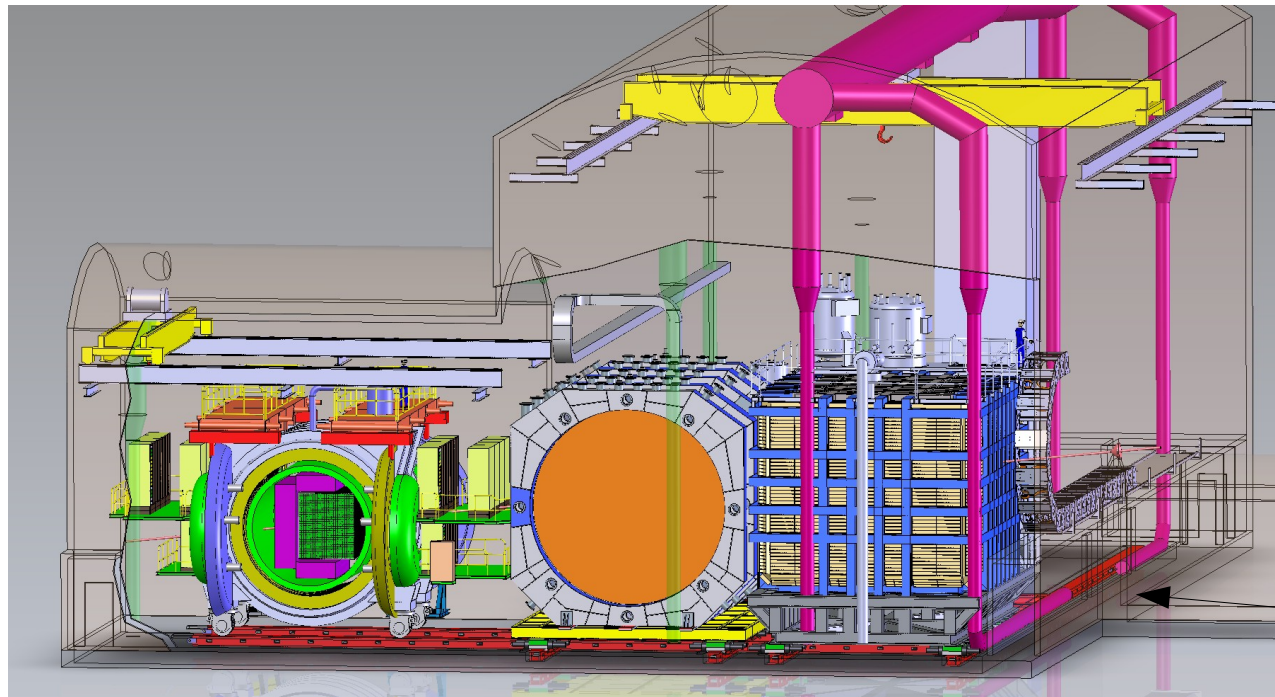
LBNF beam



Wide band beam
2 oscillation maxima

- 120 GeV Main Injector proton beam
- 1.2 MW initial beam power, upgradeable to 2.4 MW
- Beamline and focusing system optimized for CP violation sensitivity

Near detector system at Fermilab



SAND

MPD

LAr

Predict the neutrino spectrum at the FD

Measure interactions on Ar

Measure neutrino energy

Constrain x-section model

Measure neutrino flux

Obtain data with different fluxes

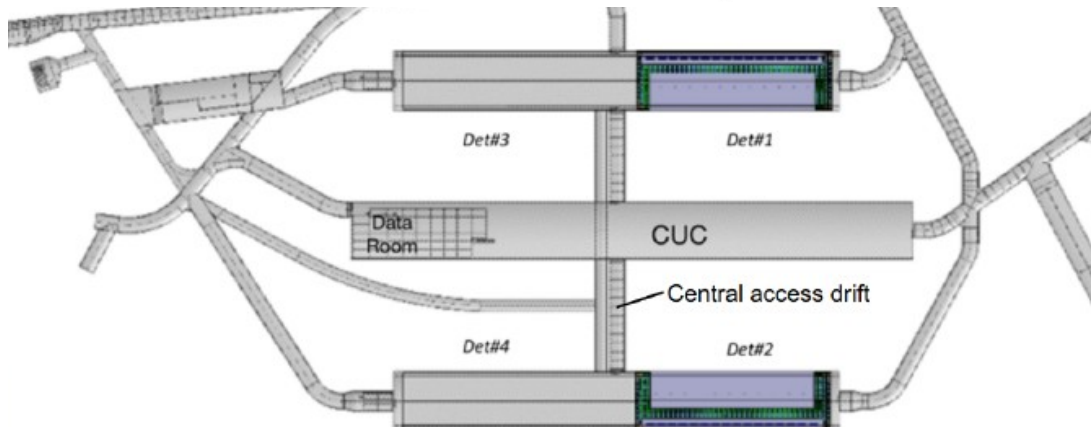
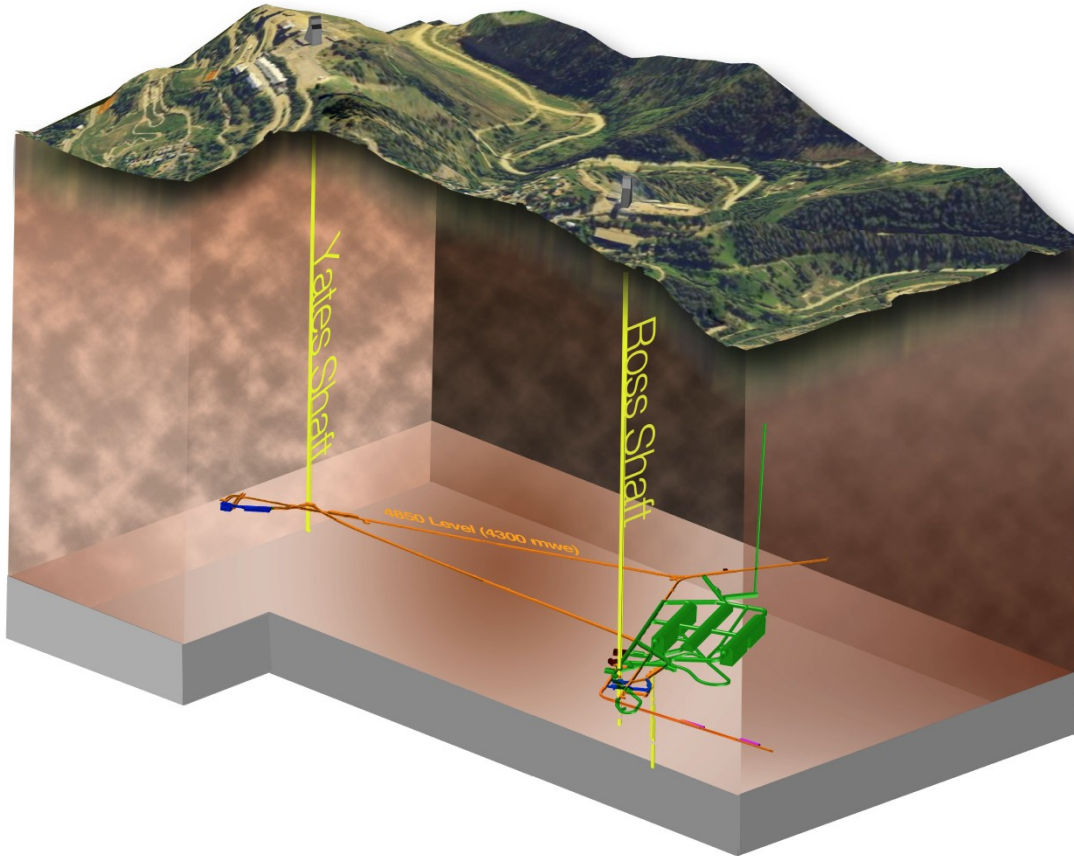
Monitor the neutrino beam

Liquid Argon TPC

Multi-Purpose Detector - HP gaseous Argon TPC + ECAL + Magnet

3D Scintillating Tracking Spectrometer

Far detector at SURF

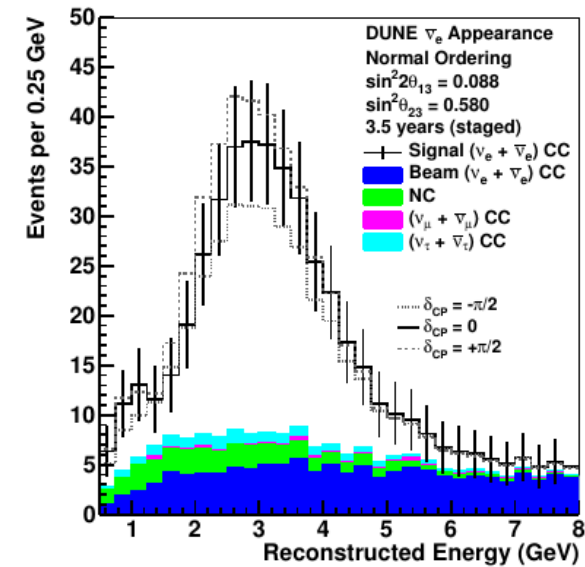
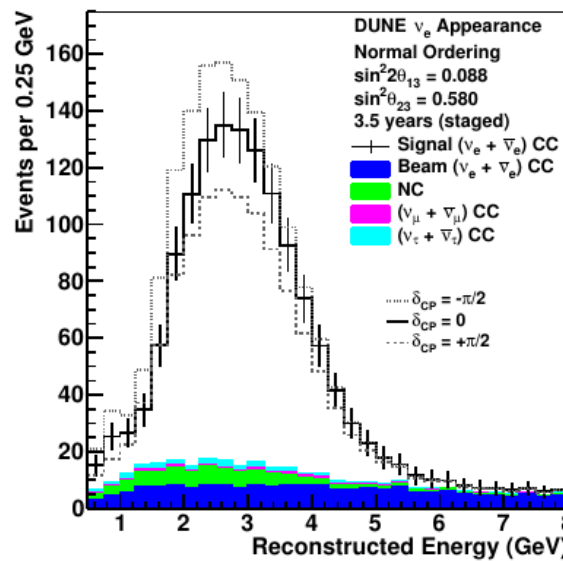
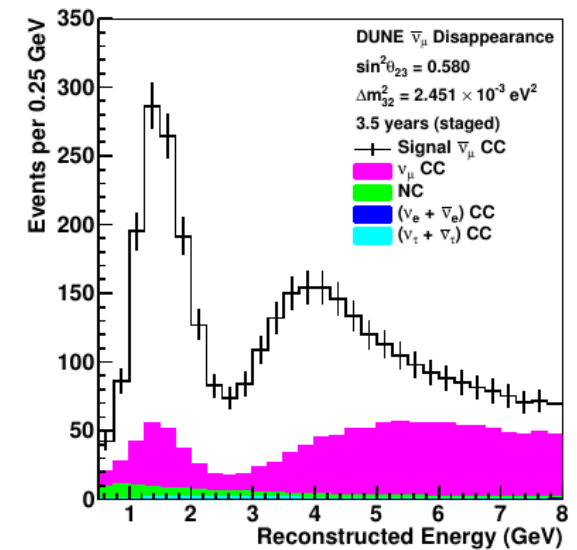
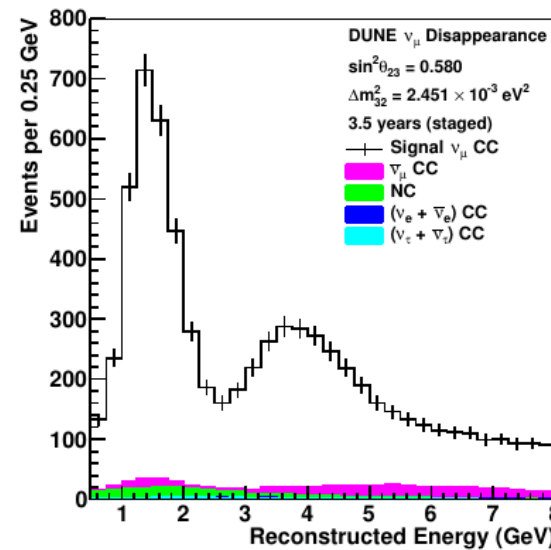


- Sanford Underground Research Facility in Lead, South Dakota
- Four 17-kt LAr TPC modules, located 1.48 km underground
- Excavation
- first module operational in 2024
- Start of run: 2 FD modules, 1.2 MW beam power, with ND
- +1 year: 3 FD modules
- +3 years: 4 FD modules
- +6 years: upgrade to 2.4 MW beam

Neutrino Oscillations

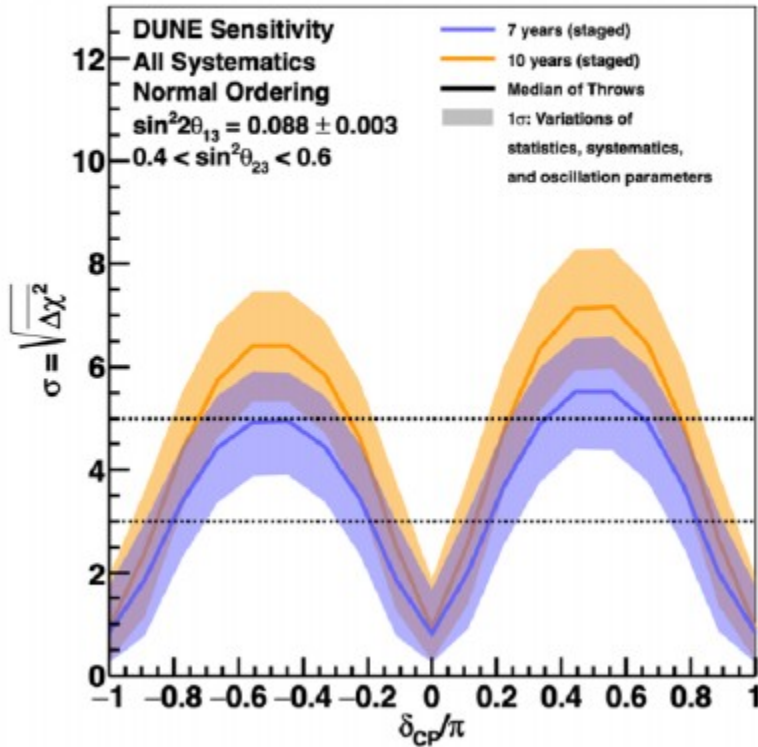
Measure appearance and disappearance for both neutrino and anti-neutrinos

disentangle Mass Ordering and CP effects
Spectral measurement - 1st and 2nd maxima

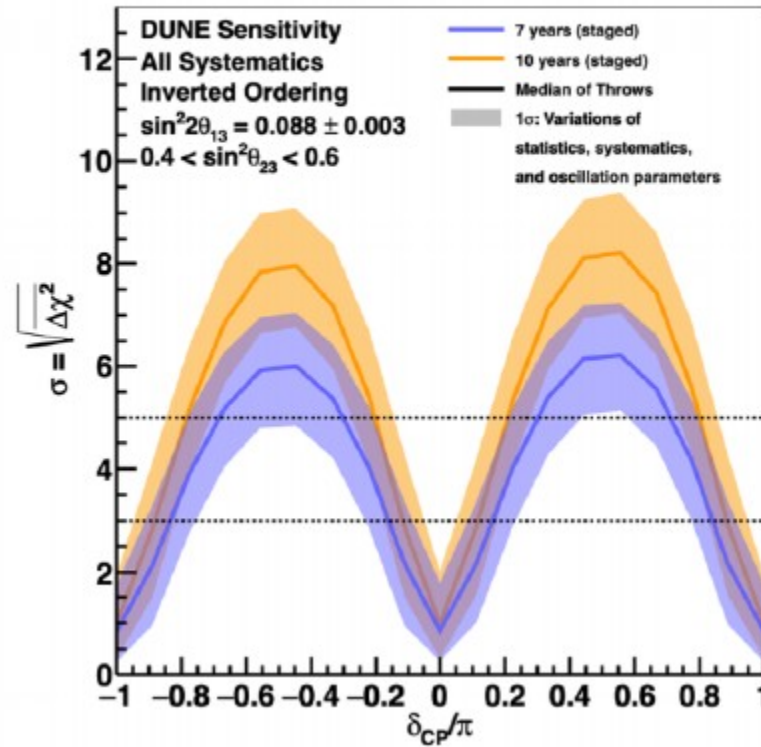


Sensitivity to CPV

True Normal Ordering

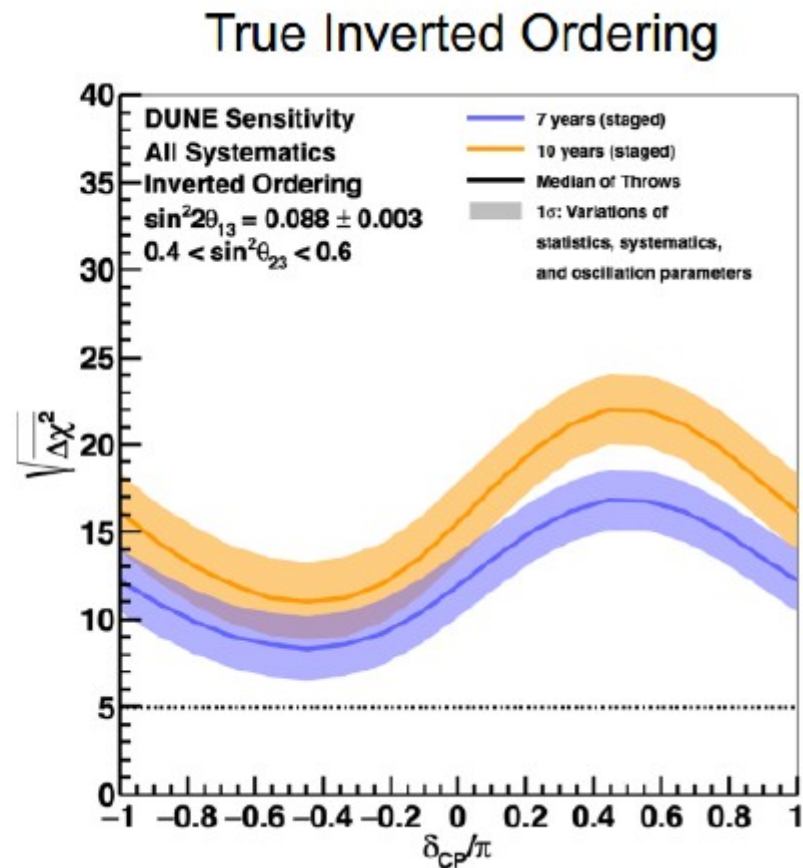
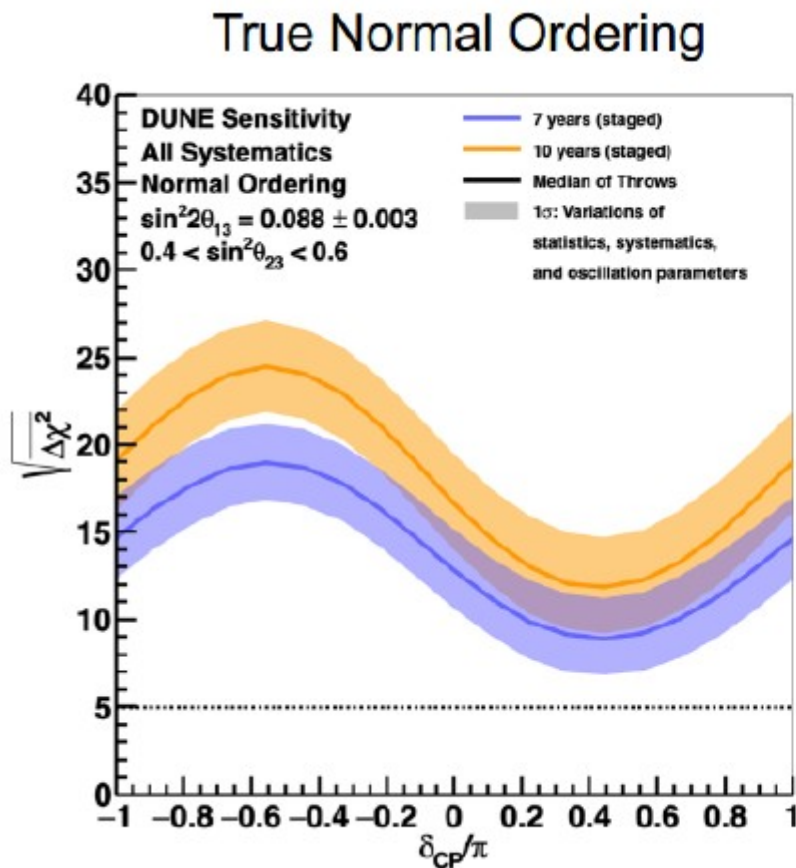


True Inverted Ordering



Significant discovery potential over a large range of possible true δ_{CP} values in 7-10 years of (staged) running

Sensitivity to Mass Ordering

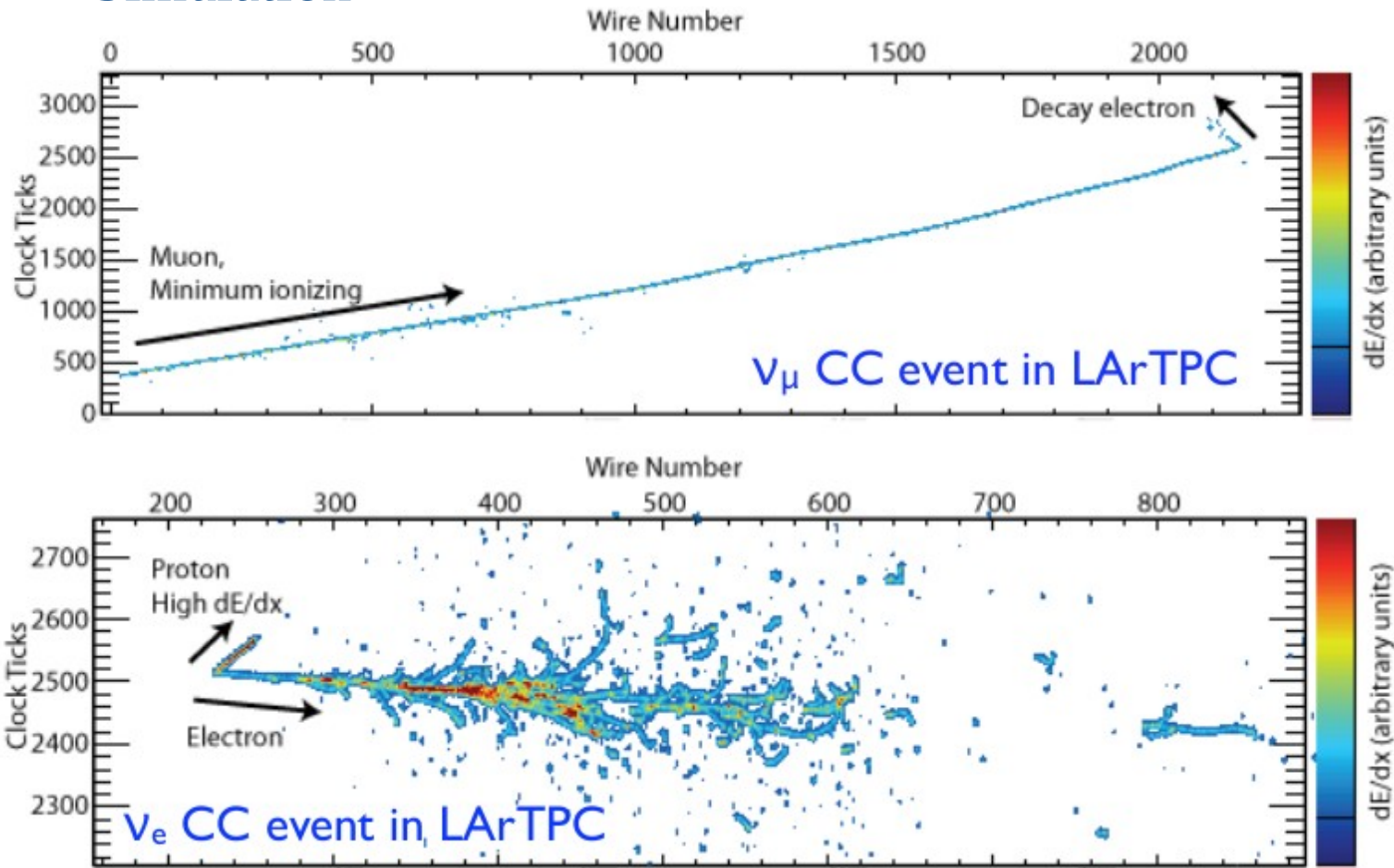


Definitive determination of neutrino mass ordering (normal or inverted) for all possible parameters

LAr TPCs

- Gigantic detectors deep underground with excellent calorimetric and spatial resolution
- Wide off-beam physics program

Simulation

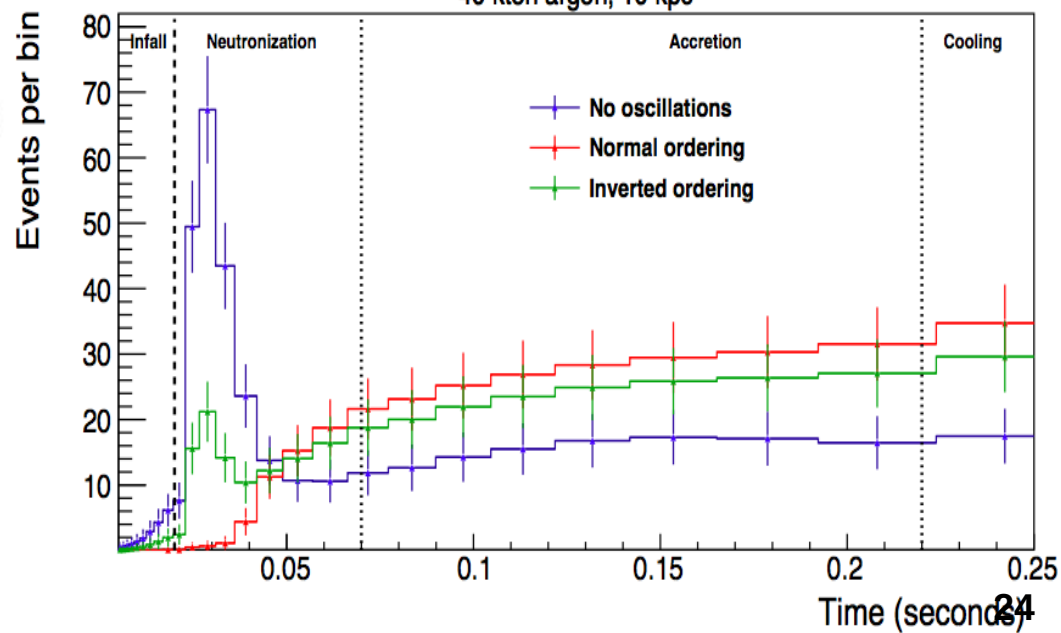
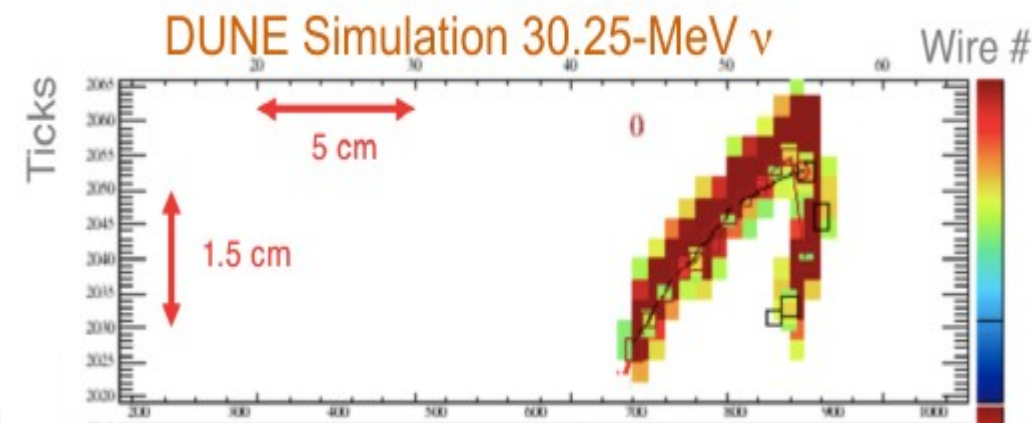
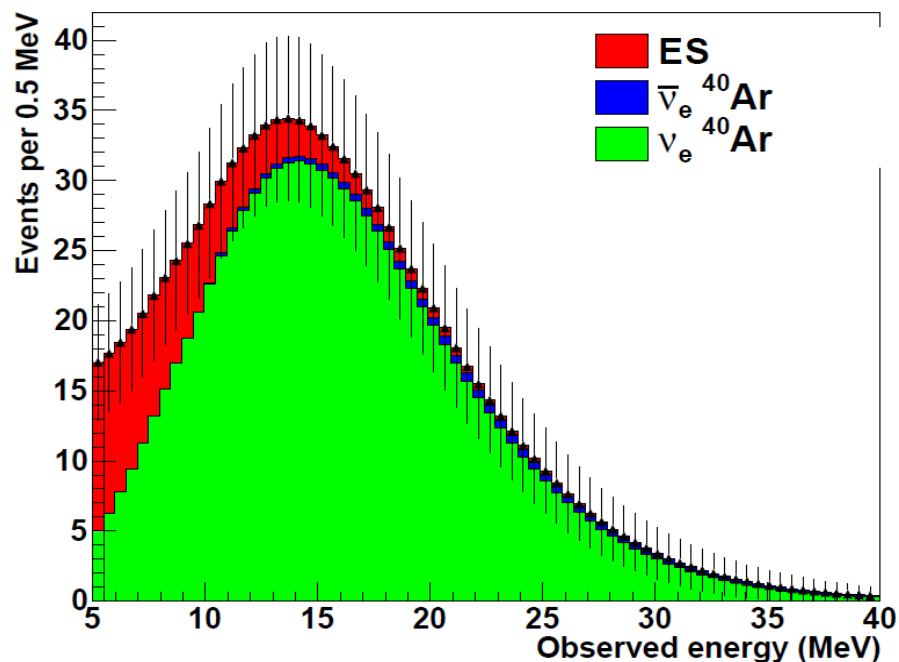


non-beam physics: SuperNova burst

Uniquely DUNE is sensitive to ν_e



5-50 MeV signals: efficient triggering + continuous data collection



Nucleon decay

- DUNE sensitive to:

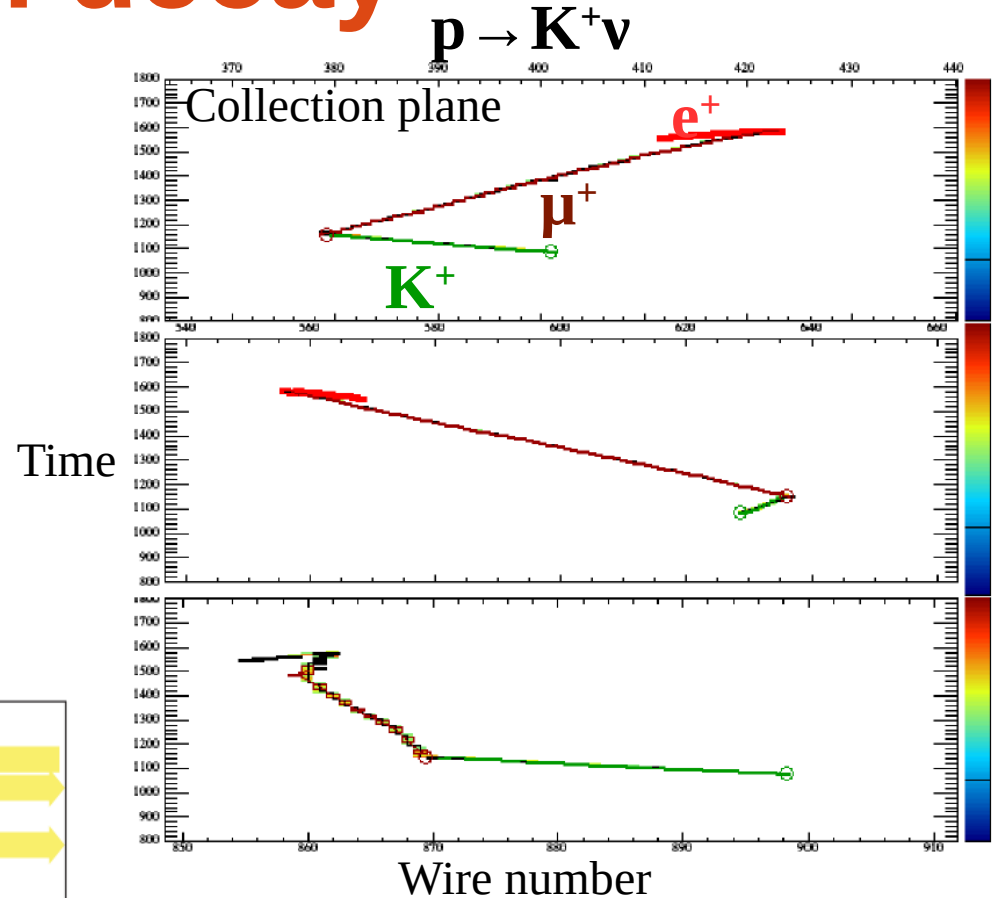
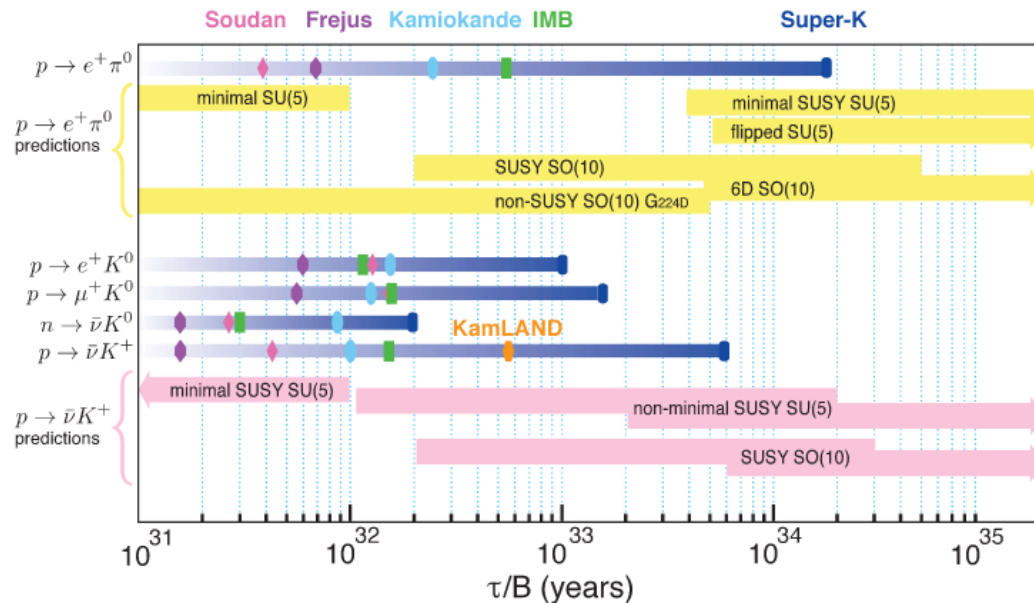
$$p \rightarrow K^+ \nu$$

- and other channels:

$$n \rightarrow K^+ e^-$$

$$p \rightarrow l^+ K^0$$

$$p \rightarrow \pi^0 e^+$$

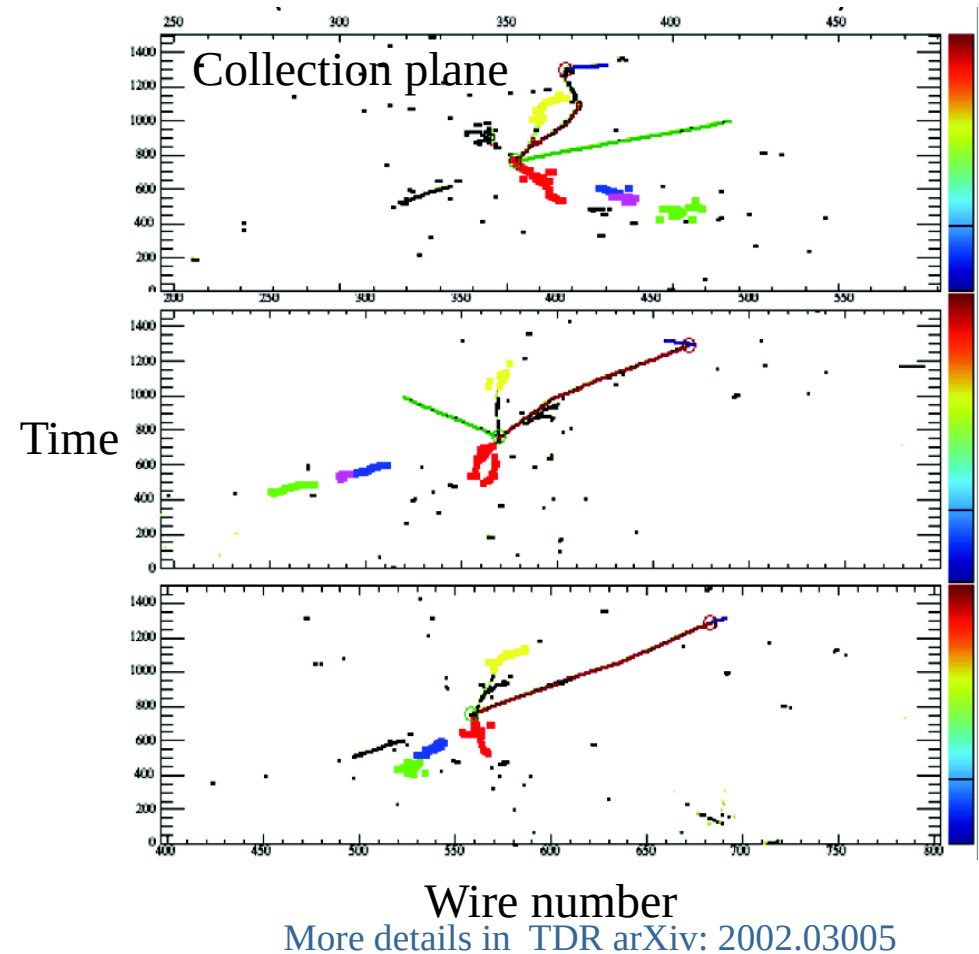
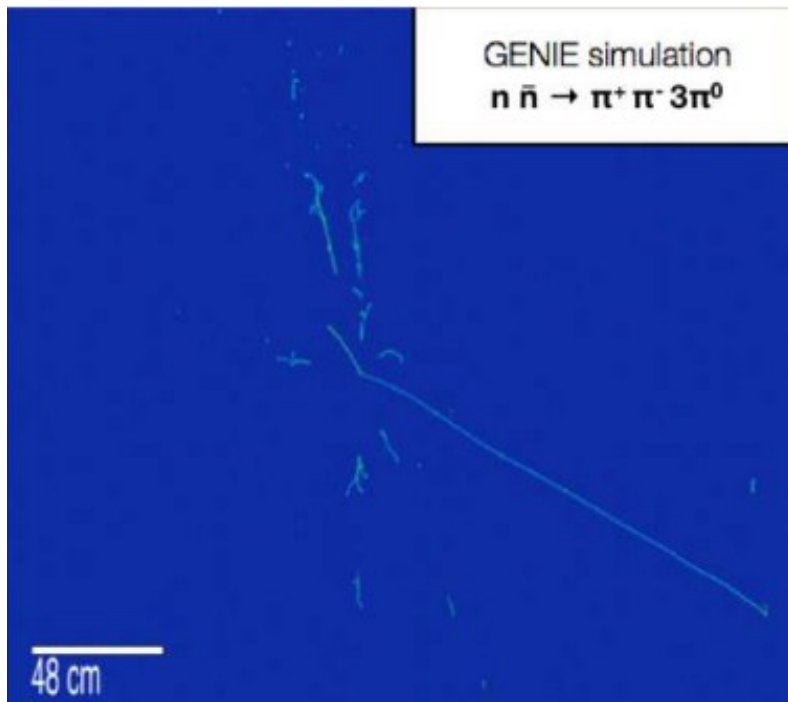


More details in TDR arXiv: 2002.03005

neutron-antineutron oscillations

Baryon number violating BSM process

Signature is a 'star' of charged and neutral pions



and more..

Atmospheric Neutrinos

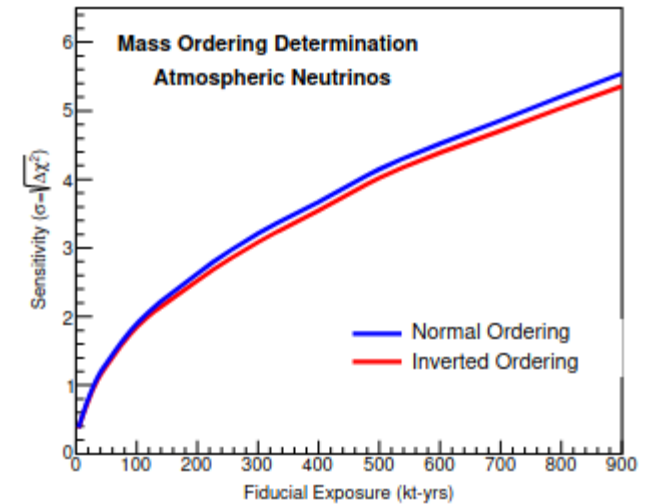
Other **BSM** physics

New particles:

light dark matter, boosted dark matter,
heavy neutral leptons

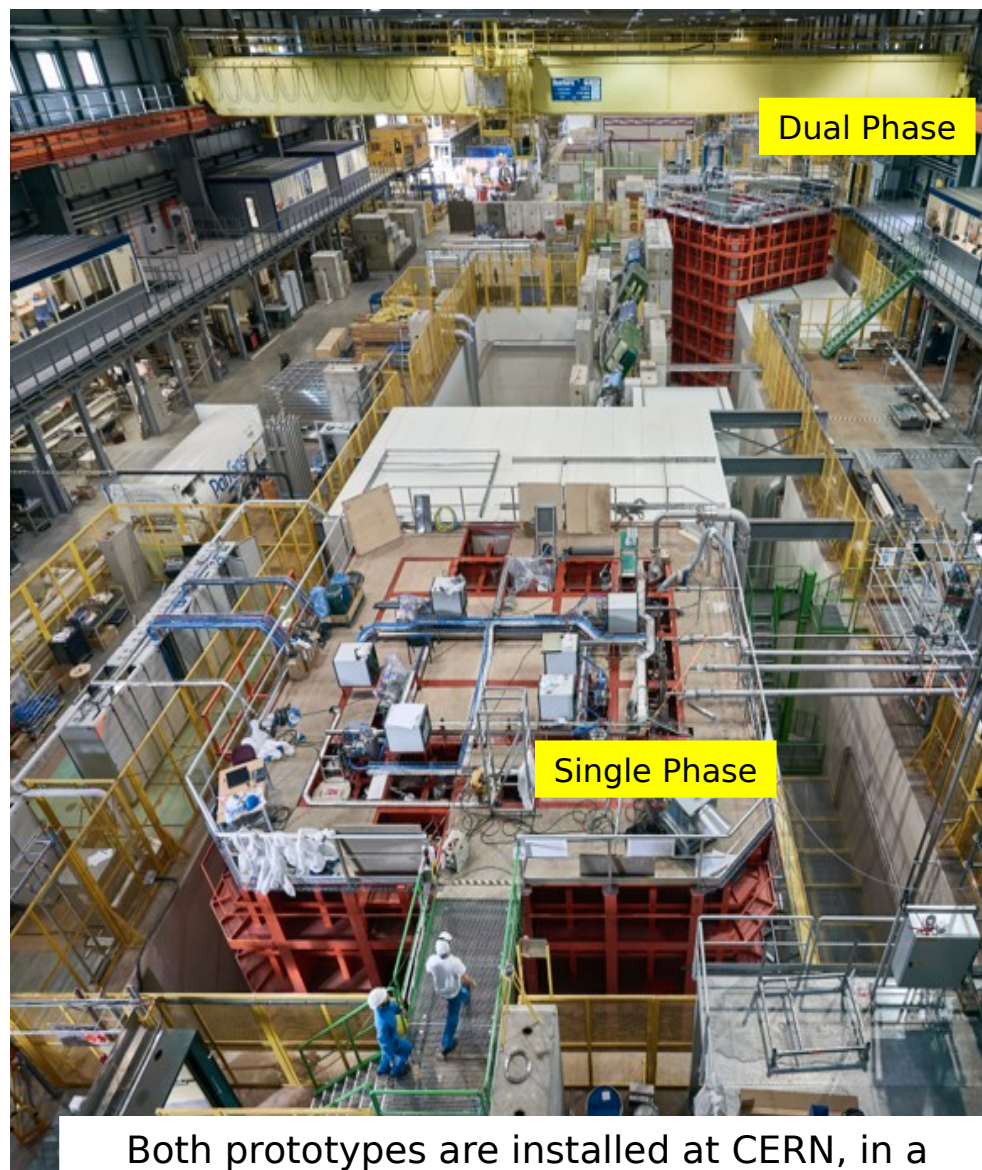
Deviations from PNMS ν mixing paradigm:

non-standard ν interactions,
non-unitarity, CPT or Lorentz violation
... etc...



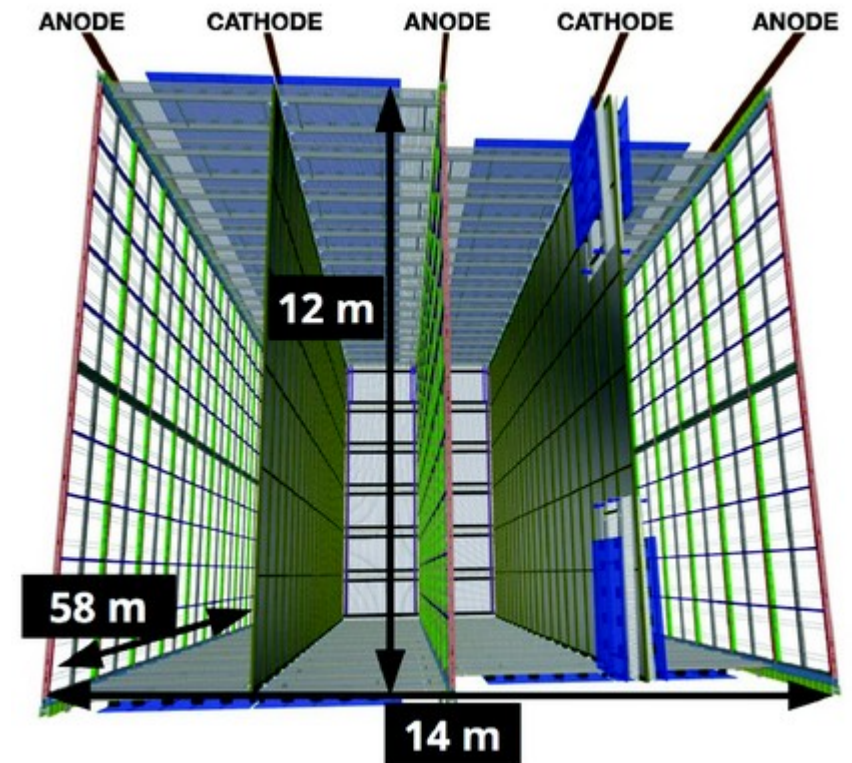
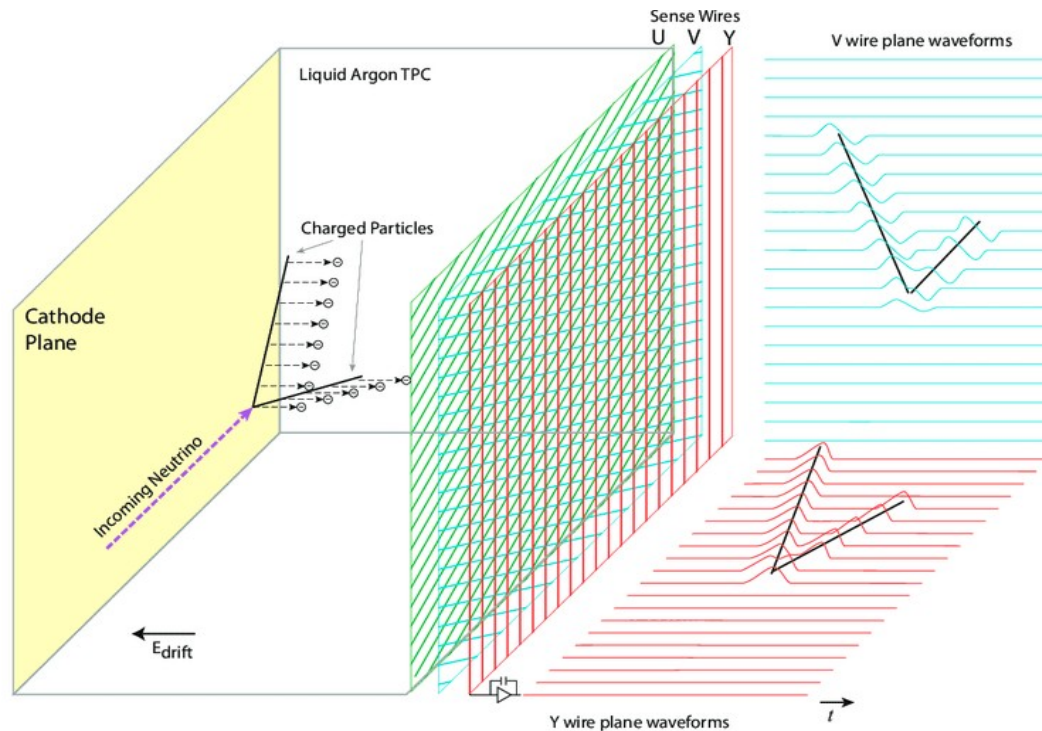
protoDUNEs

- Giant Liquid Argon TPCs (LArTPC) – one Single-Phase, one Dual-Phase hosted at the CERN neutrino platform
- Necessary R&D step towards the DUNE Far Detectors
 - Tests of all engineering solutions and installation procedures
 - Use full-size components identical to those planned for DUNE FD
- 300t fiducial mass of LAr
 - Technology demonstrators
 - Demonstrate long term performance and stability
- Charged particle test beams to characterise detector response over the energy range of interest for DUNE (~ 0.5 GeV to 8 GeV)



Both prototypes are installed at CERN, in a dedicated extension of the North Area

Single Phase



Horizontal drift, distance 3.6 m
 Anode wires immersed in LAr
 Vertical Anode and Cathode Plane Assemblies (APA, CPA)
 1 collection, 2 induction wires at 37.7° , wire pitch 5 mm
 Photon detectors: light guides + SiPM, embedded in APAs

- Single Phase is modular
- Shorter drift lengths (and distance to photodetectors)
- Immersed cold electronics (inaccessible)

A history of Single Phase LAr TPCs

ICARUS T-600 @ CNGS (2010-2012, 760 tons LAr)



Successfully reconstructed neutrino events from CNGS beam (~ 17 GeV)

Argoneut @ FNAL (2009-2010, 240 kg LAr)



Small TPC, precise measurements of cross-sections and neutrino interactions

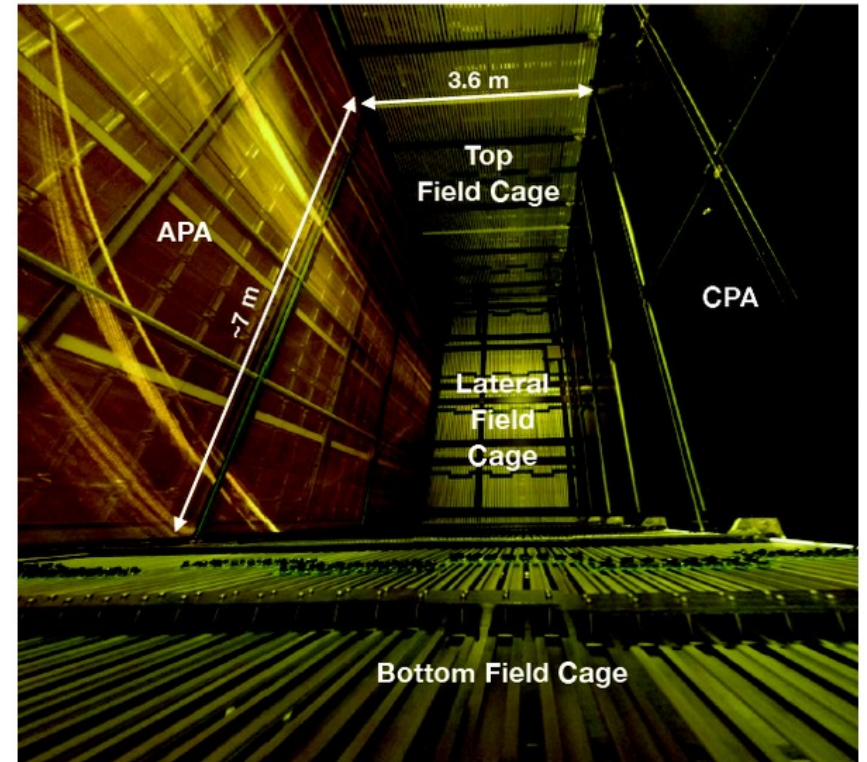
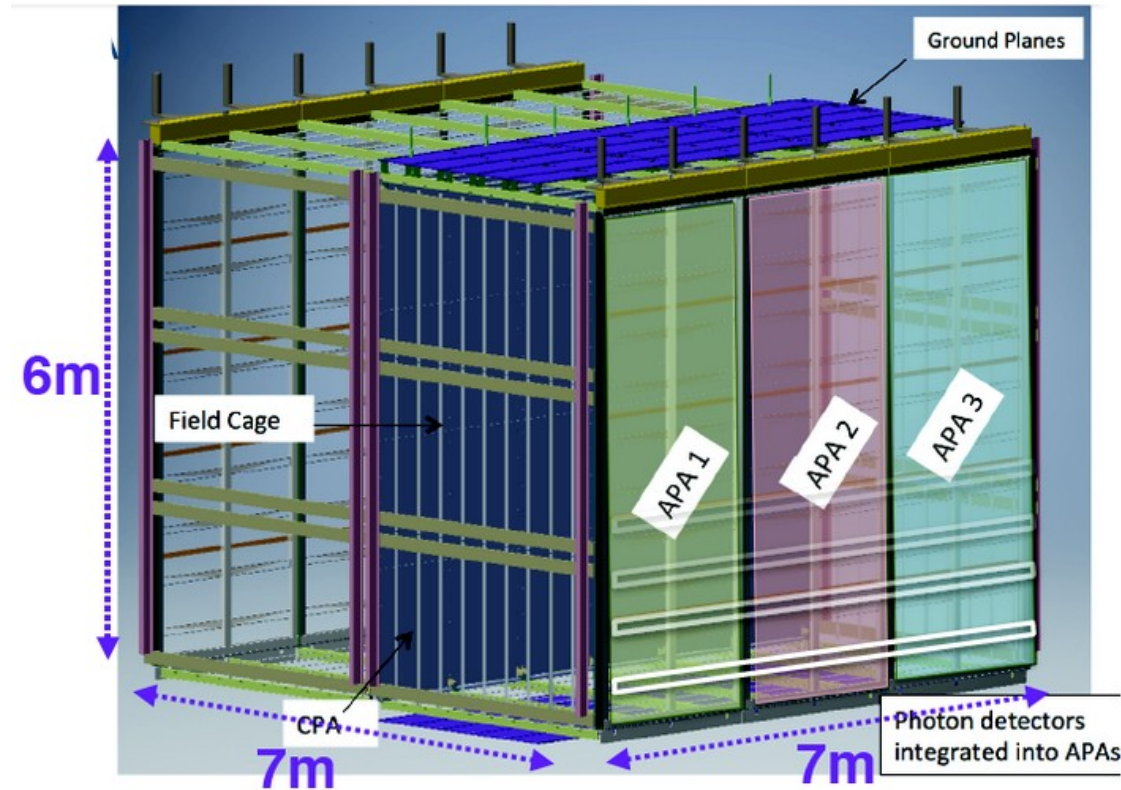
MicroBooNE @ FNAL (2015-ongoing , 170 tons LAr)



Sterile neutrino search. Neutrino event selection and reconstruction. Leads to protoDUNE Single Phase

taken from A. Chatterjee

Single Phase protoDUNE



Successful Beam test in 2018 (Sept-Nov) – pions, protons, kaons from 0.3-7GeV (~4M triggers)

Achieved stable running, >5ms electron lifetime, $S/N > 20$

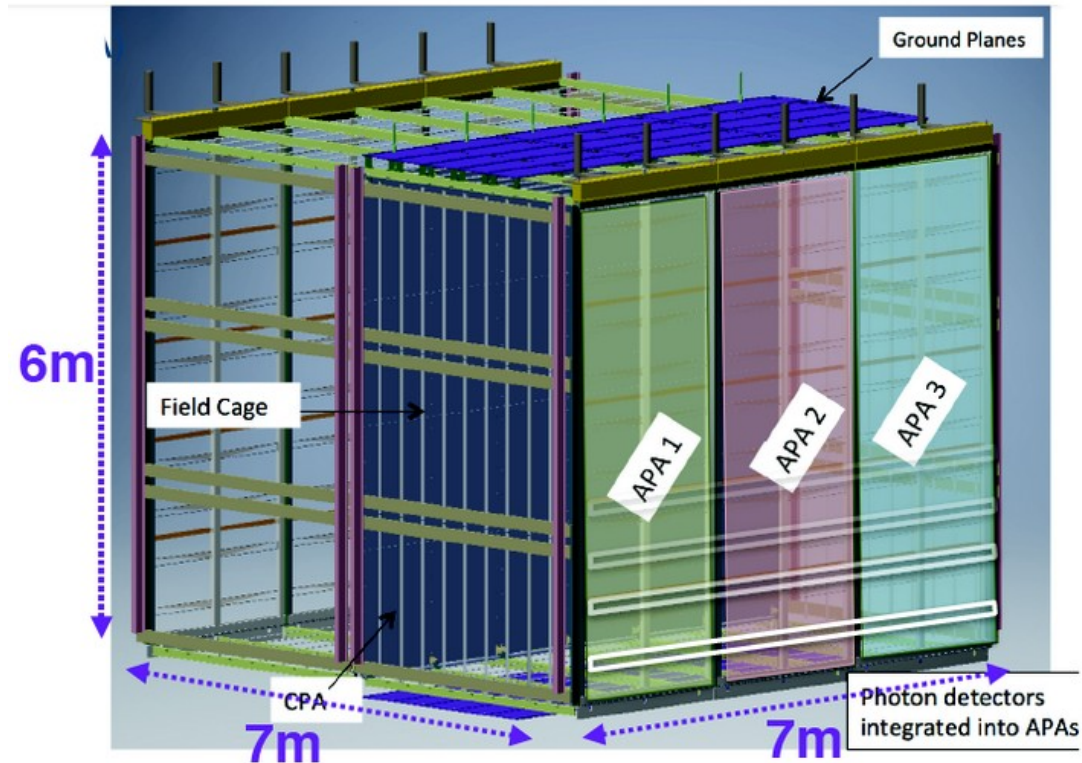
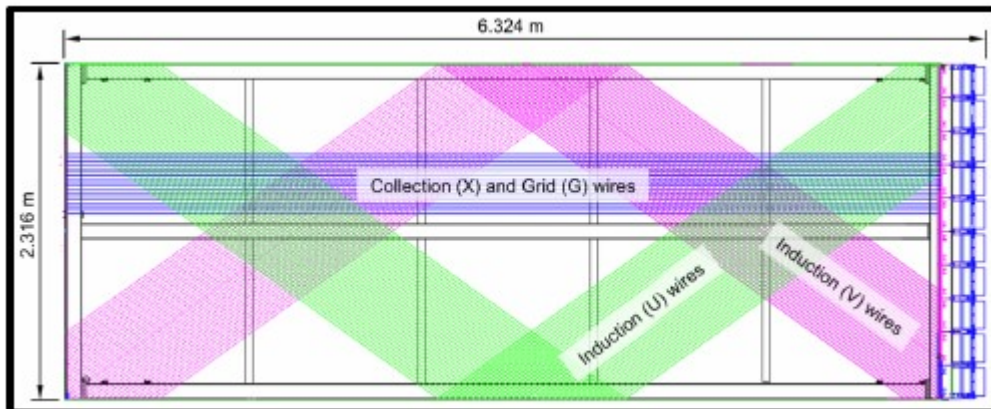
Single Phase protoDUNE

2 drift volumes,
each with a 3.6 m drift distance

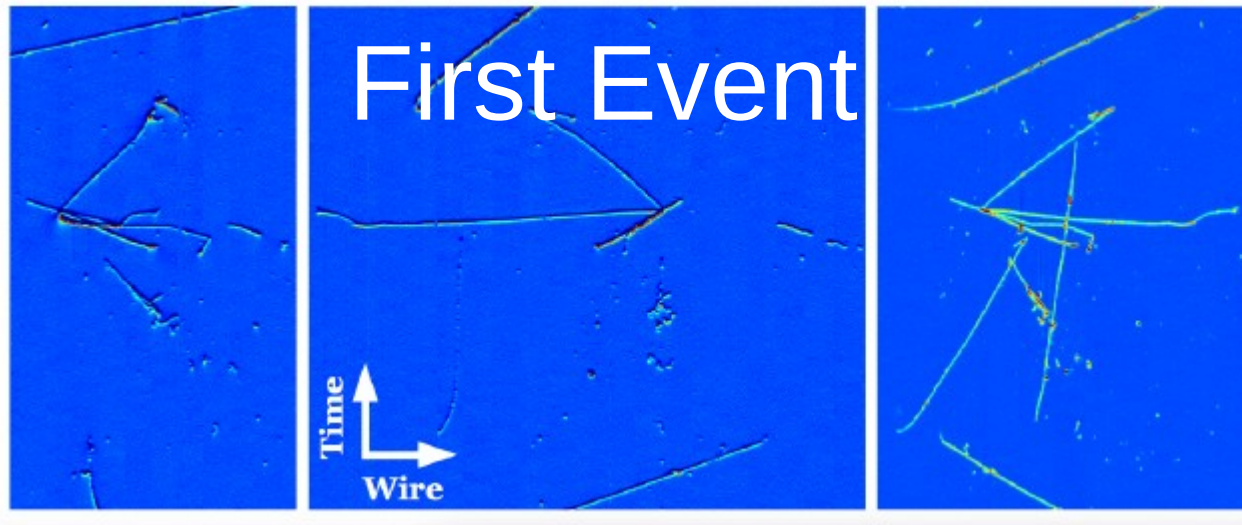
6 anode plane assemblies (APAs)
(one far detector module has 150 APAs)

Cold electronics (preamplifier +
digitizer) with 15360 channels.

HV at 180 kV,
nominal E-field 500 V/cm

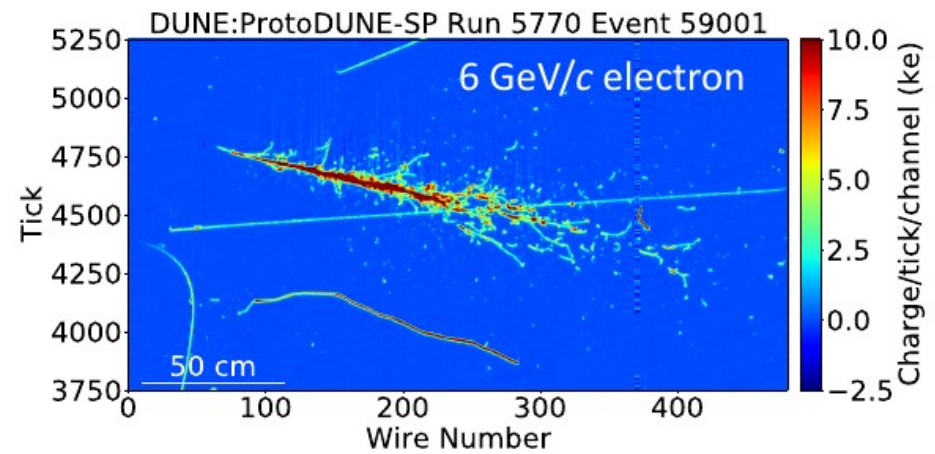
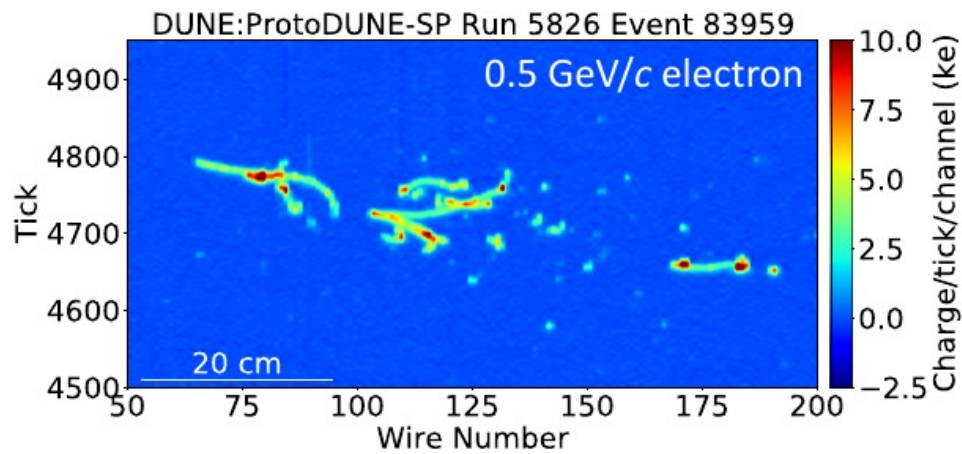


Single Phase protoDUNE

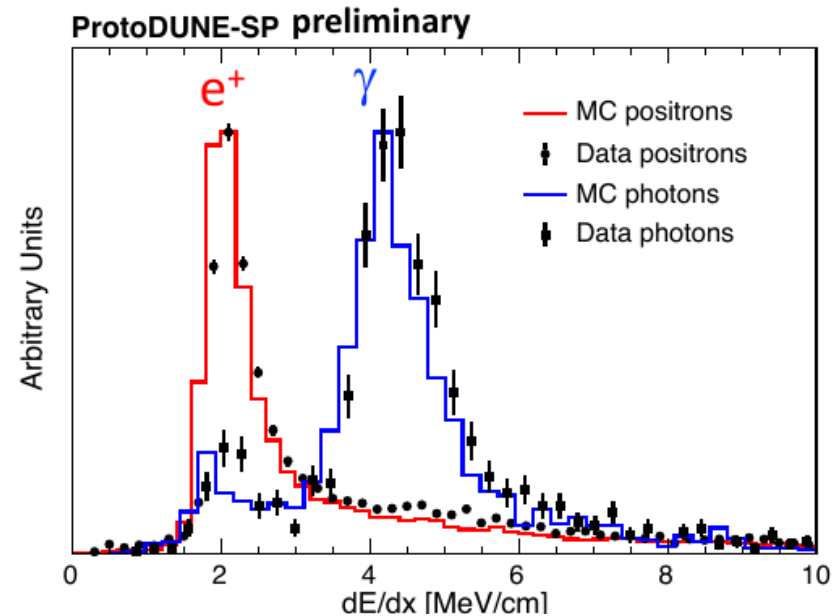
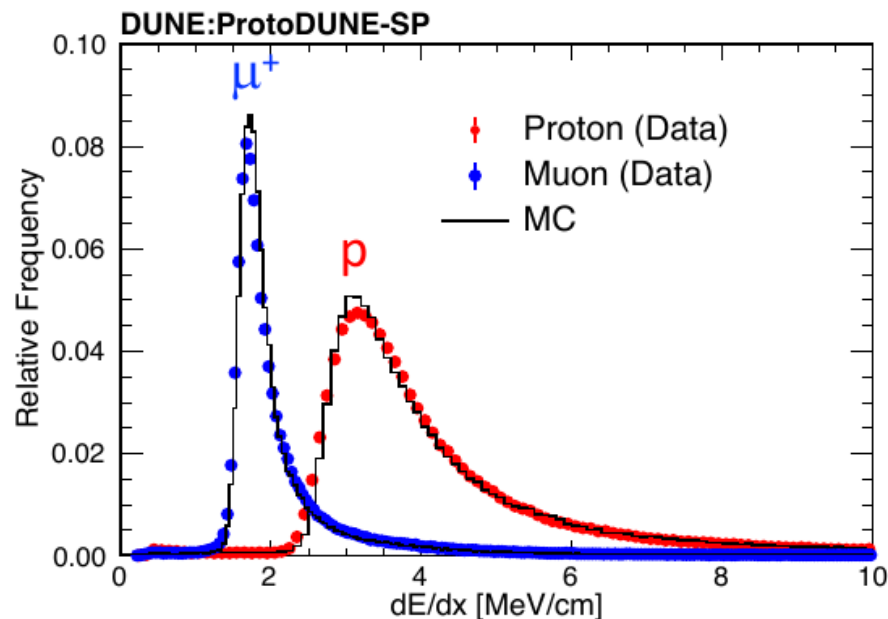
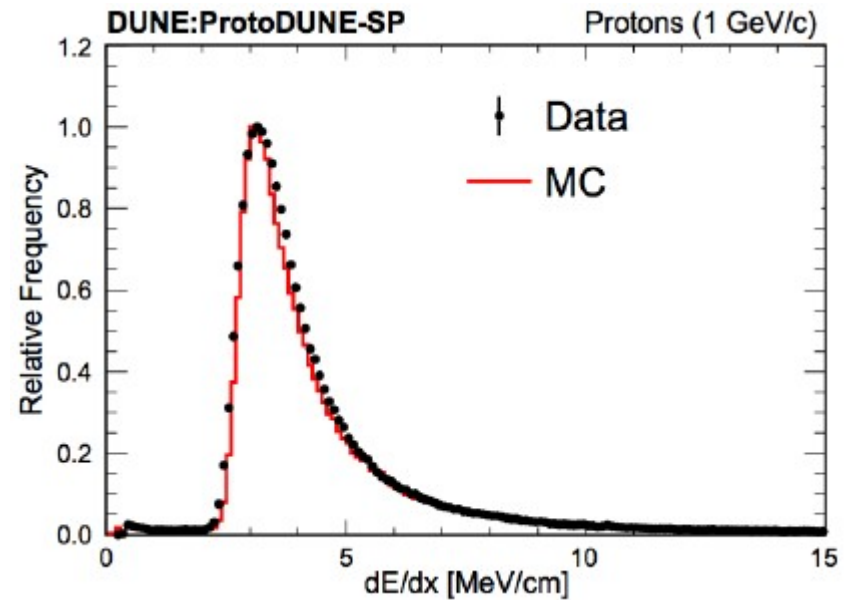
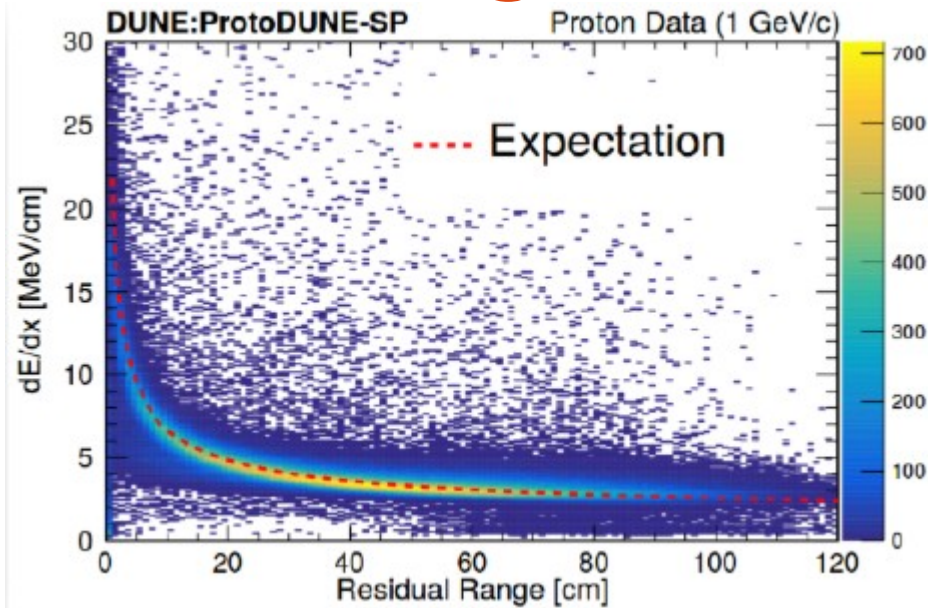


S/N ratio > 10 in all cases
(> 40 for collection plane)

First results in Performance paper:
JINST 15 (2020) 12, P12004

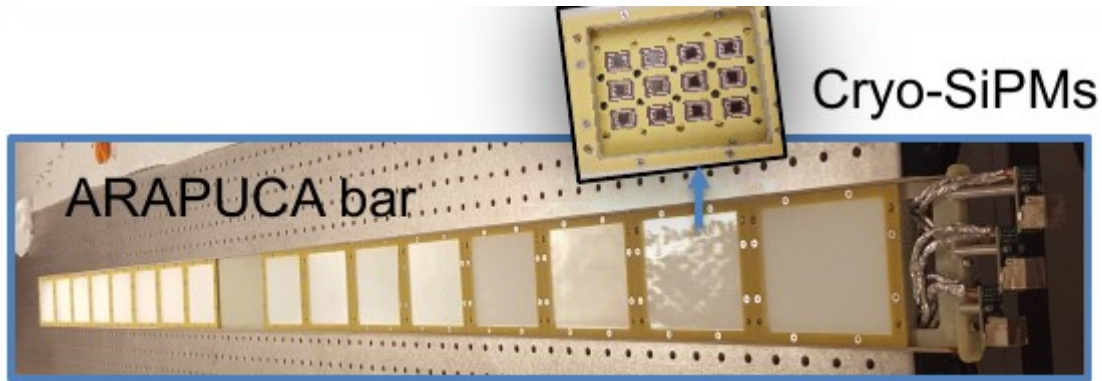


Single Phase protoDUNE

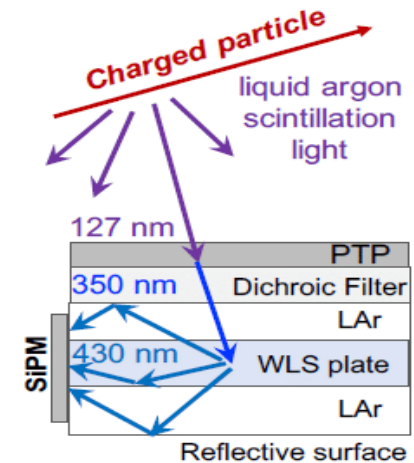
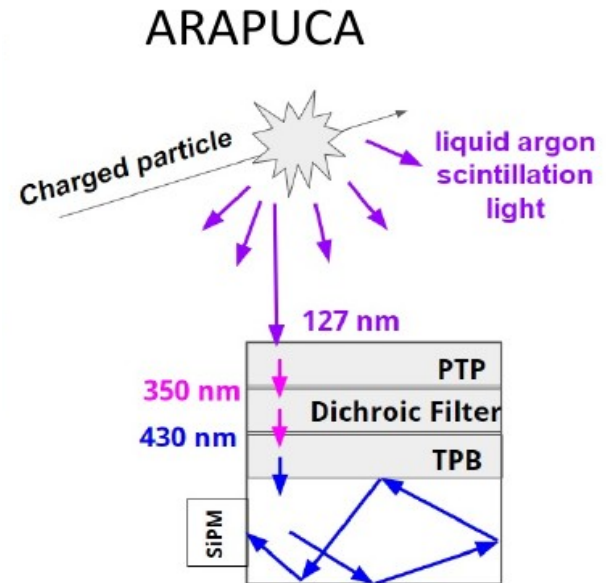


Photon Detectors

- 60 light collecting bars (10 per APA)
- 3 photodetector technologies tested – one is ARAPUCA



- Improved X-ARAPUCA

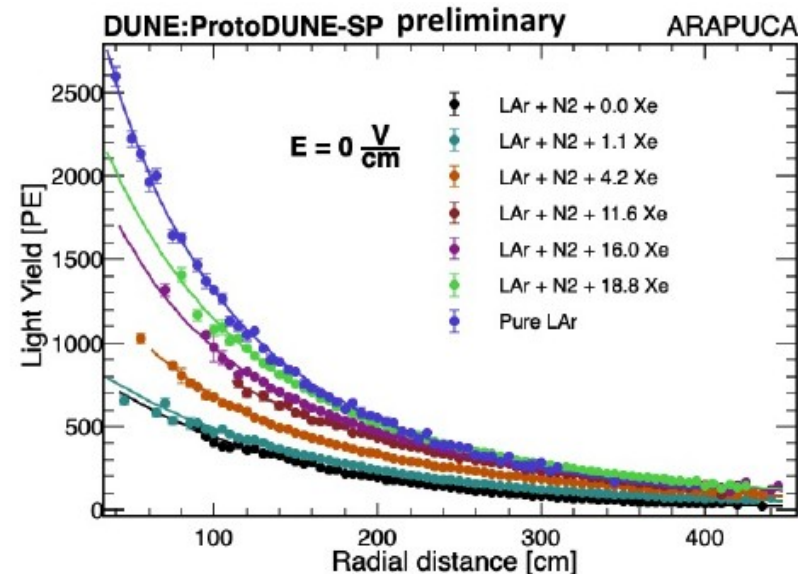
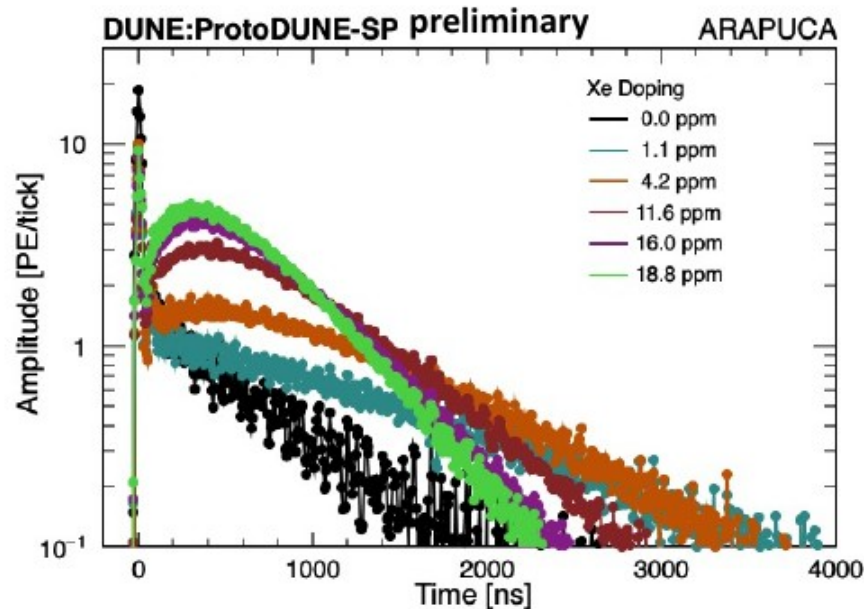
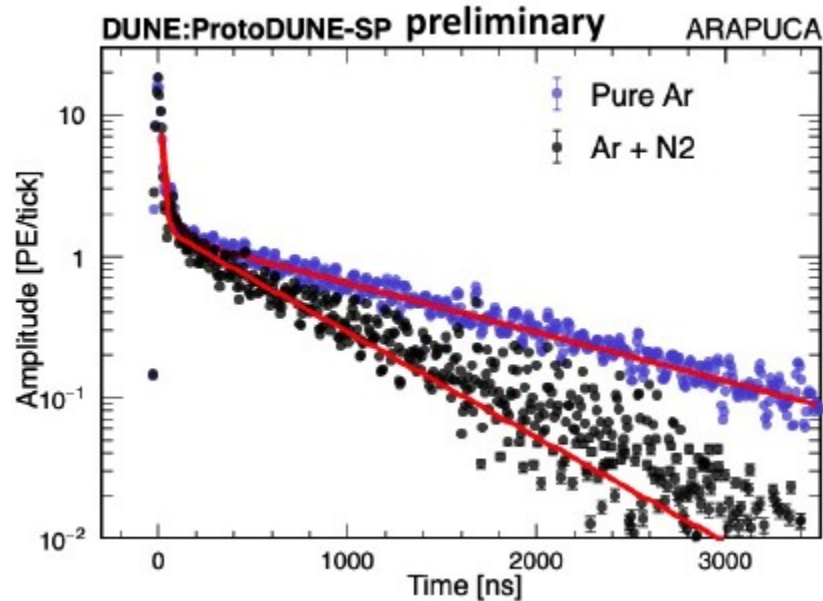


Xe-doping

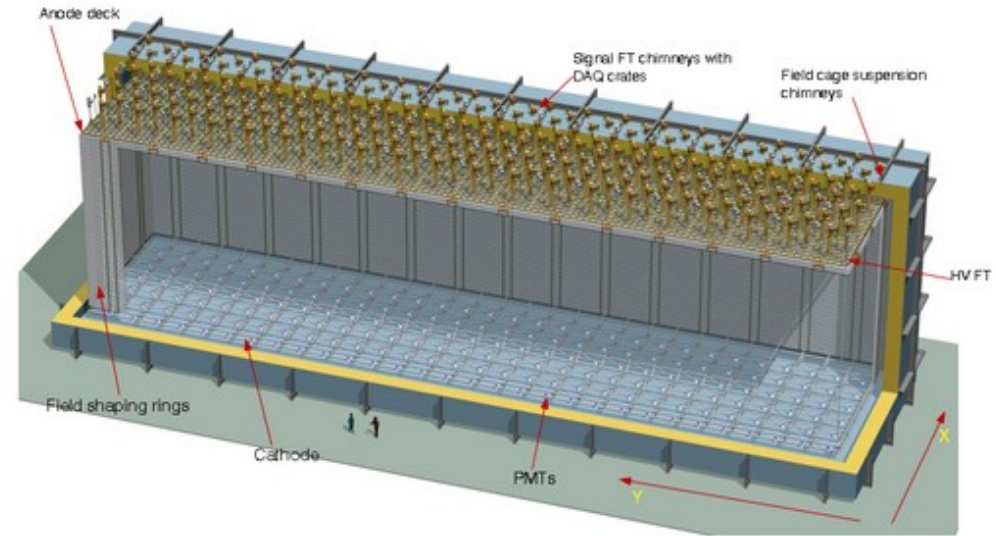
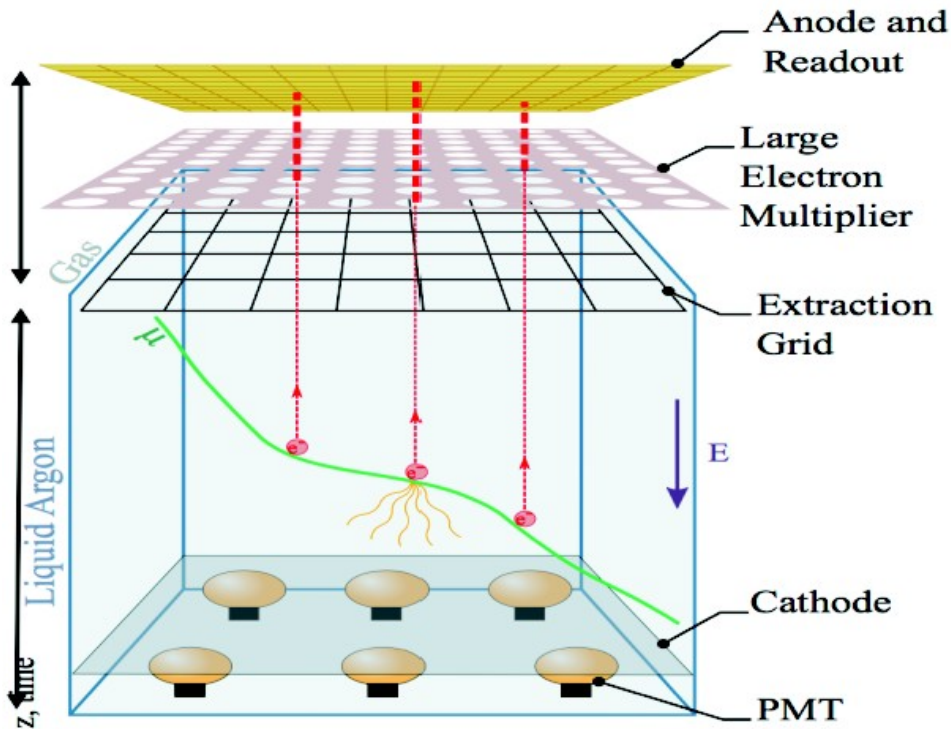
Gas recirculation pump failure in July 2019

- N₂ concentration increased from 0.2 ppm to 5.4 ppm and cannot be removed.
- Scintillation light yield reduced by 70%.
- Slow light component largely quenched.

18.9 ppm Xe was injected into LAr over 5 months
95% of light recovered



Dual Phase

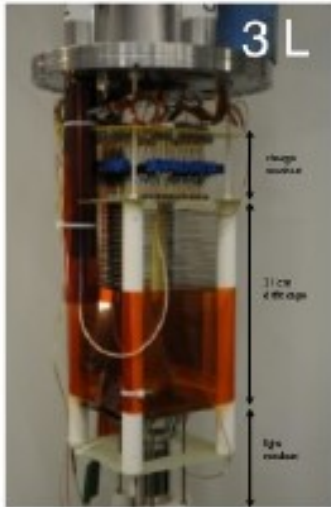


Vertical drift, distance 12 m
 Ionization electrons extracted from LAr to gas
 Signal amplified in GAr by Large Electron Multiplier (LEM)
 Charge collected on 2 orthogonal views, 3mm pitch
 Photon detectors: PMTs below the cathode

- Dual Phase is homogeneous
- Drift is long (12m) (and distance to photodetectors)
- Cold Electronics at the top of the detector (accessible)

A history of Dual Phase LAr TPCs

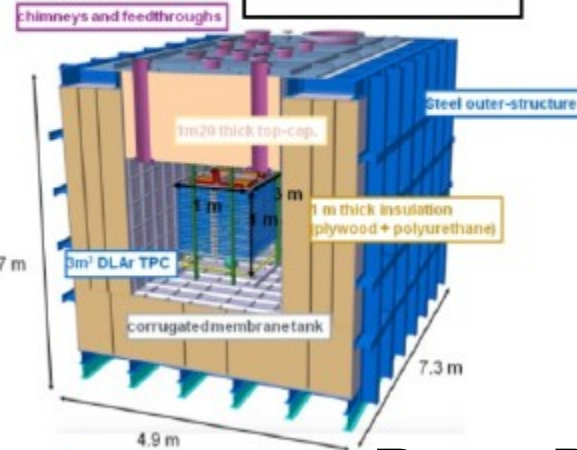
Small R&D TPCs



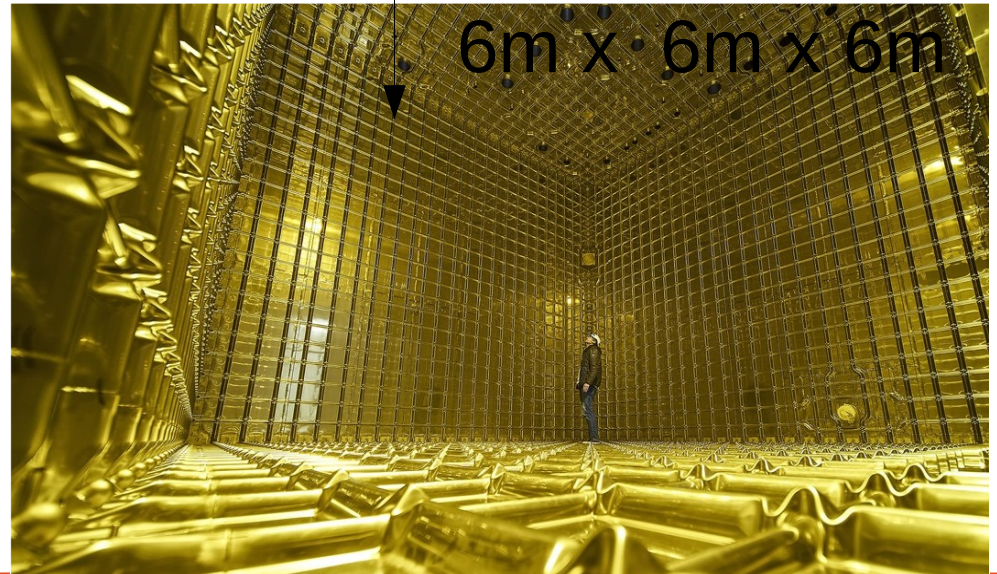
2007 ~ 2014

2014 ~ 2017

3x1x1 m³
demonstrator



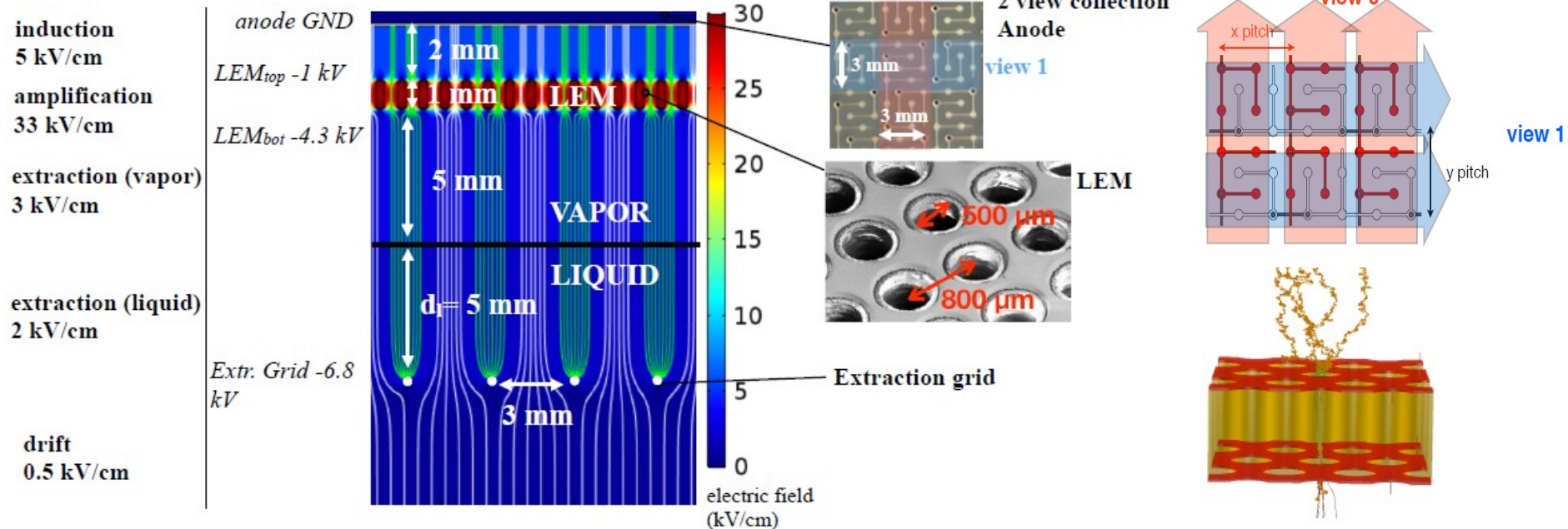
ProtoDUNE DP
6m x 6m x 6m



Charge Read Out Planes

Each CRP is 3m x 3m and contains:

- 1 x Extraction Grid
- 36 x Large Electron Multipliers (0.5m x 0.5m)
- 36 x Anodes



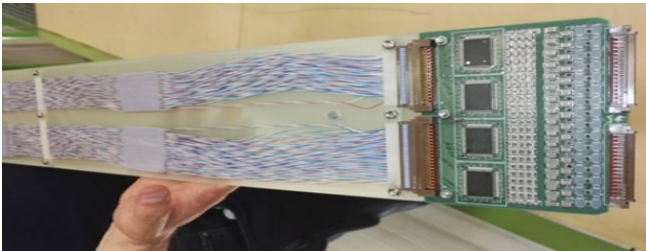
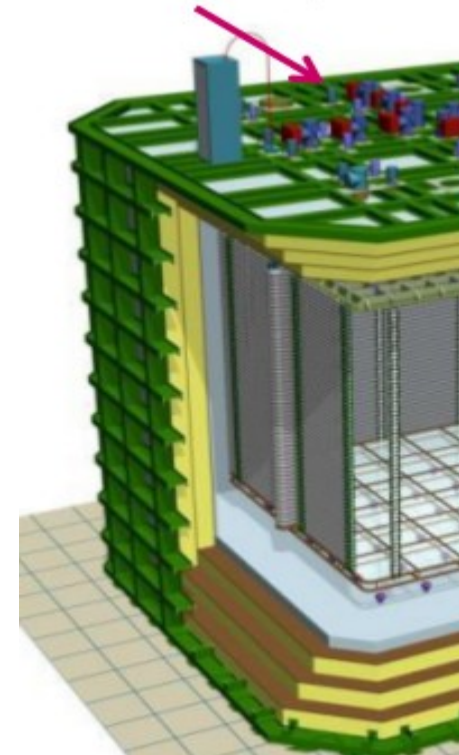
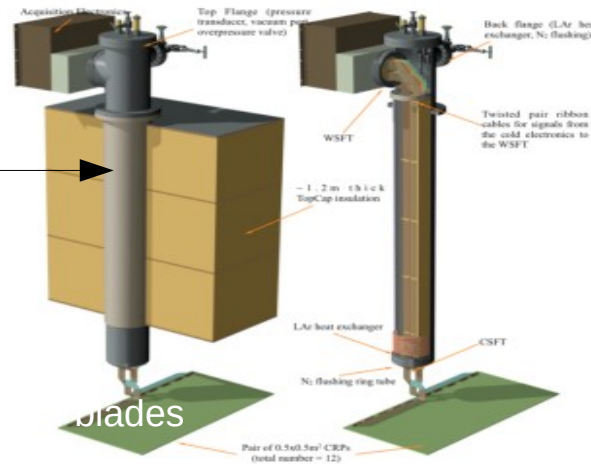
Accessible Cold Electronics

Specially developed signal chimneys

- 16-channel Cryogenic ASIC amplifiers close to the anodes but are also externally accessible!

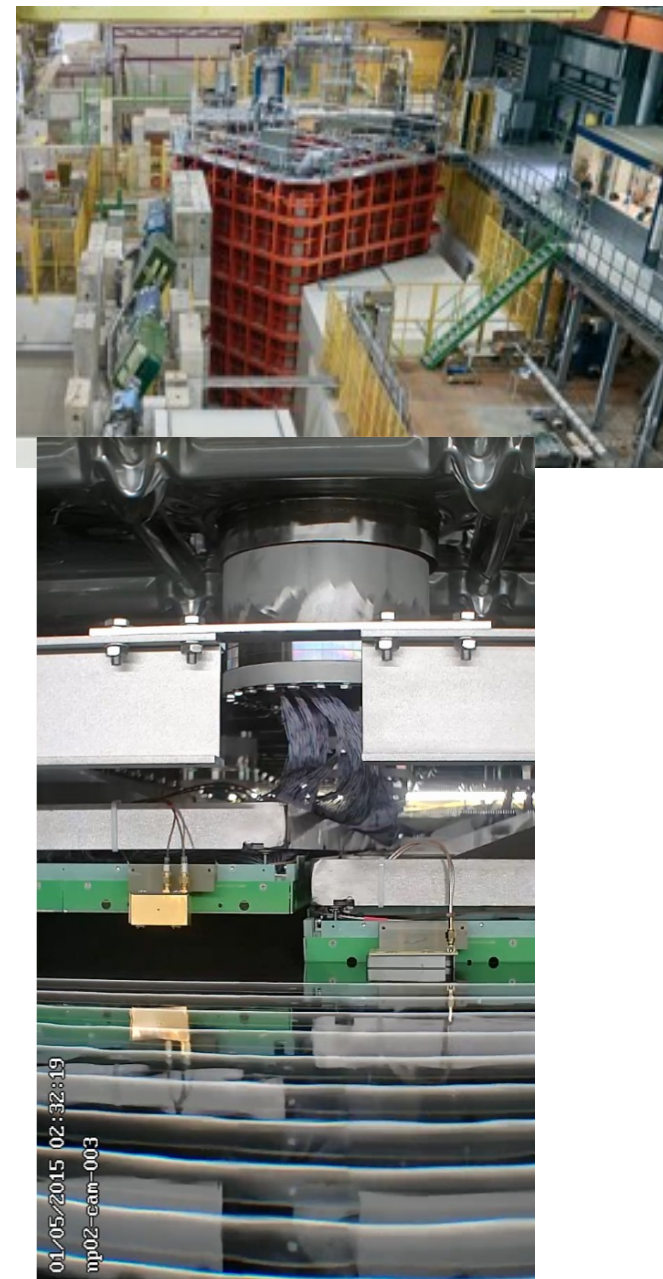
On the tank deck (warm):
digital electronics

- Based on uTCA standard
- 1 uTCA crate/ signal chimney
- AMC card – 64 channels, 12 bit ADC, 2.5 MHz
- 10 AMC cards (64 channels/card)
- Total of 12 uTCA crates (7,680 channels)



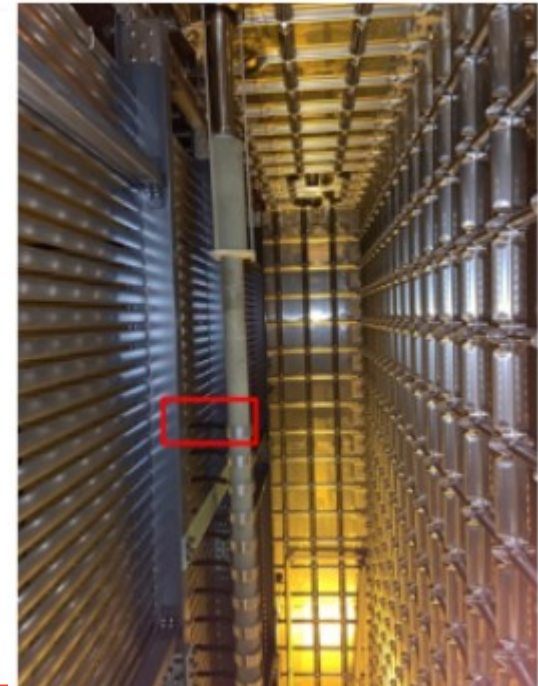
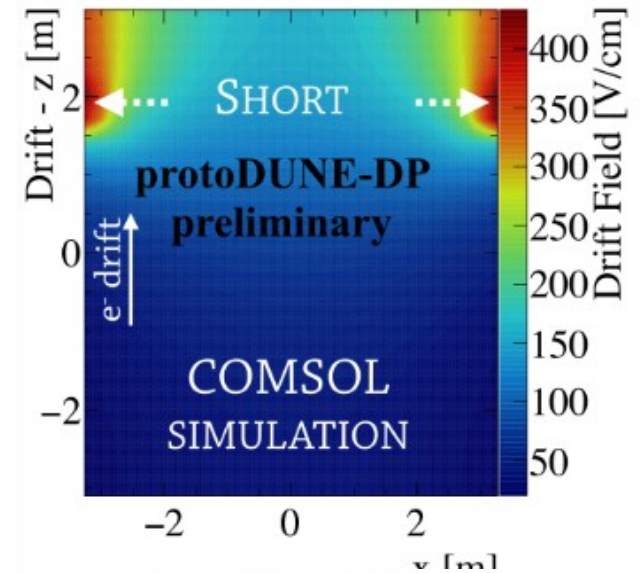
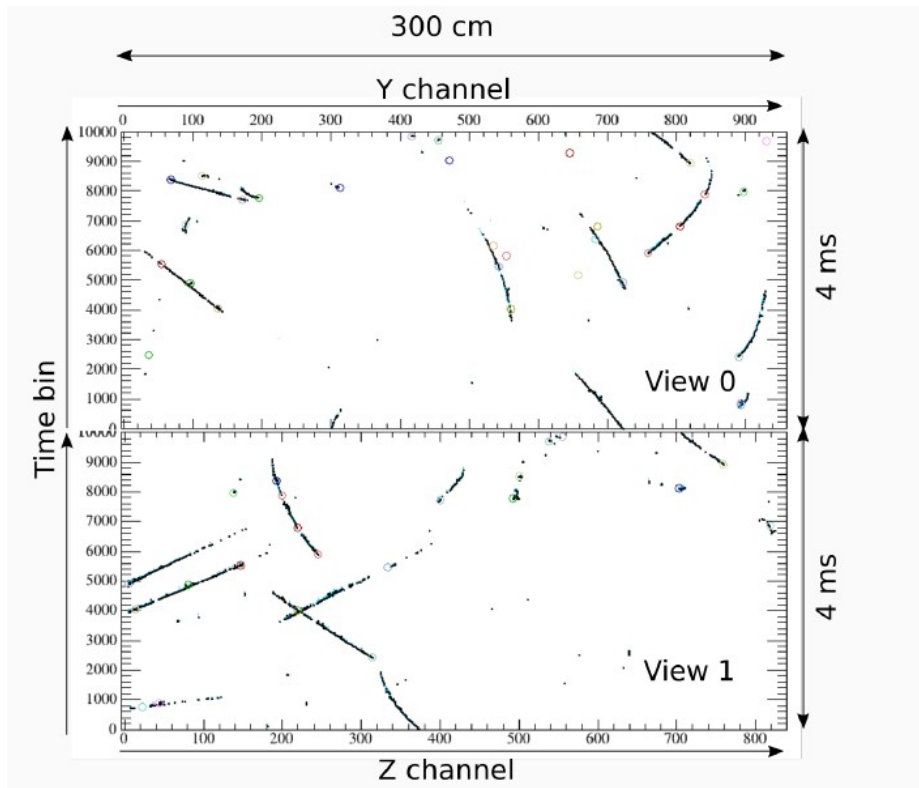
Dual Phase Status

- Two runs of operation of ProtoDUNE Dual Phase (September 2019-spring 2020, and a short run during August 2020)
 - Experienced some technical issues
 - Short on Field cage (inhomogenous field)
 - Cryogenic issues – bubbling
 - Reduced gain on charge readout
- Runs of Cosmic Ray muon data (~7 kHz muon rate)
- With CR muons
 - We can explore 3D response of detector
 - Determine operational parameters such as gain, purity etc
 - Demonstrate track reconstruction



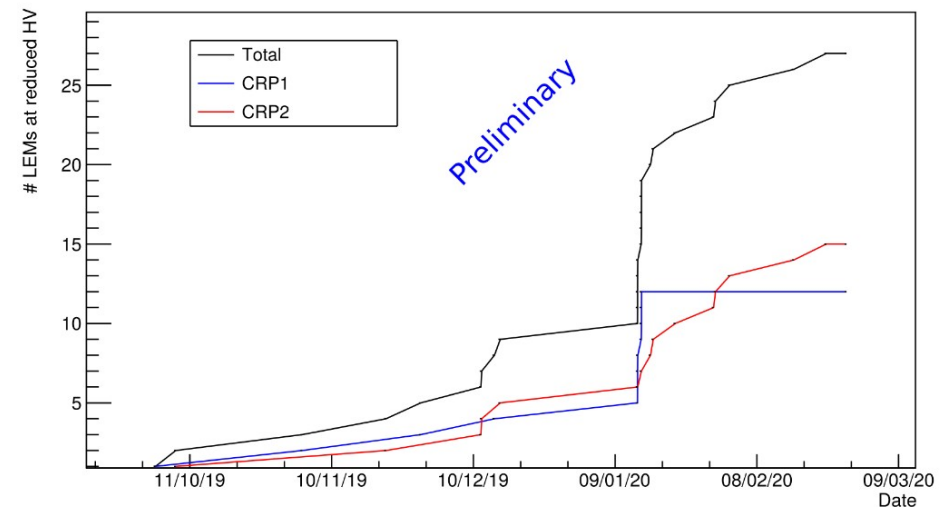
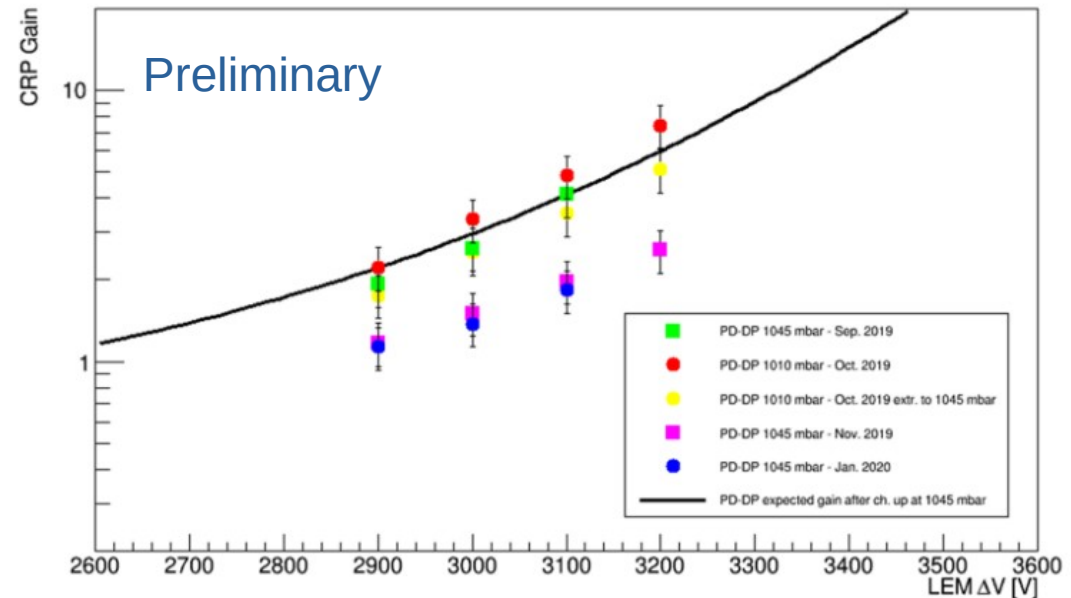
Dual Phase - HV

- Short between field cage and HV extender (08/19)
- Attempt to repair June 2020
- However situation not improved
- Cosmic Muon tracks appear curved due to inhomogeneous E-field



Dual Phase - CRP

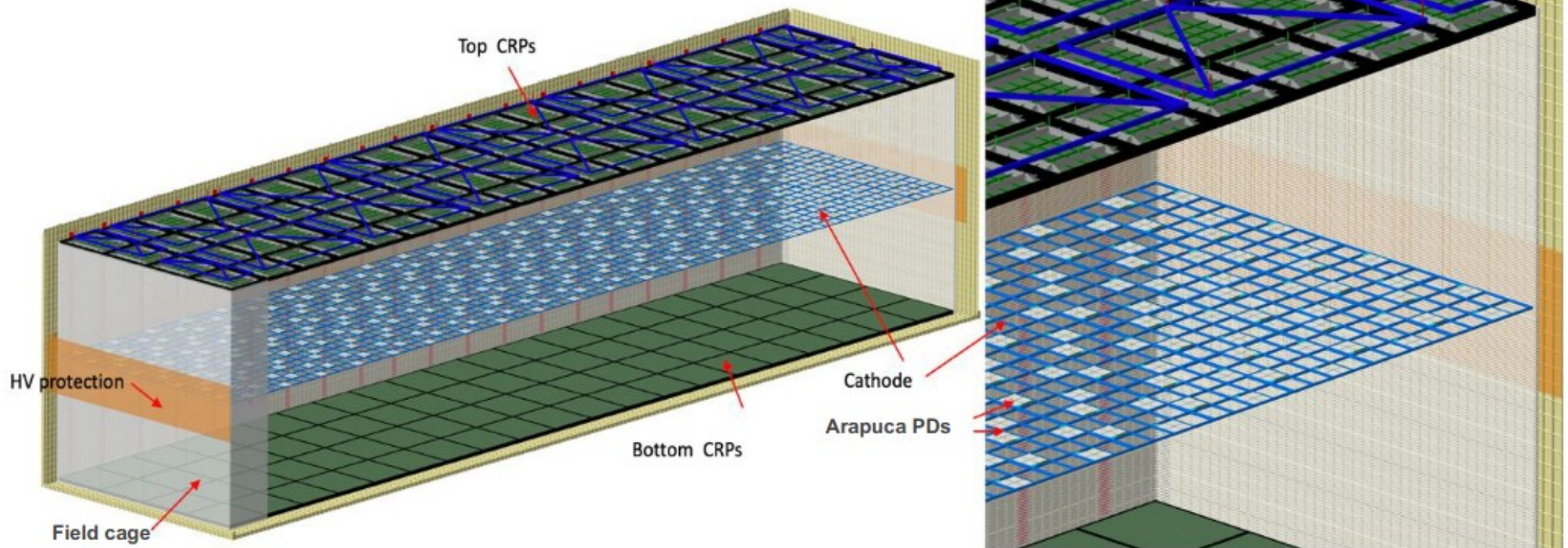
- Data taken between September 2019 and January 2020
- LEM 'charging up' effect reduces gain
- However, gain is factor 2 lower than expected
- LEMs appear to 'age' during the run
- Voltage was reduced on many of them to reduce sparking rate



Vertical Drift

New 'Single Phase' concept - Synergy of Single and Dual Phase

Replace Wire Planes for Charge Readout with perforated PCB
Photodetectors embedded in Cathode

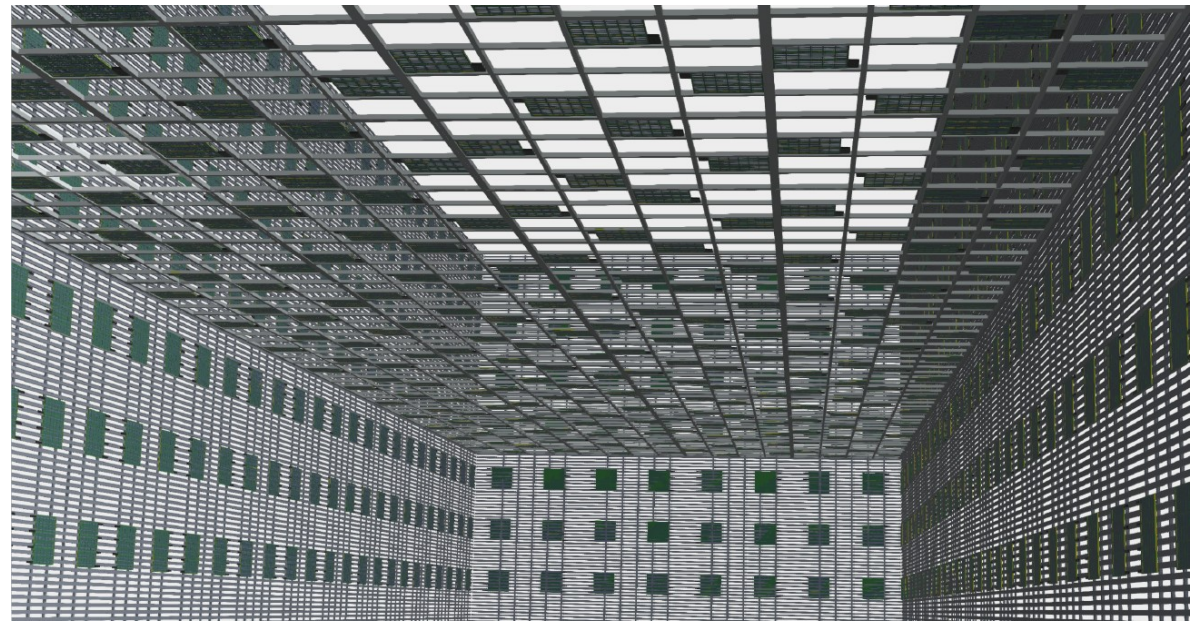
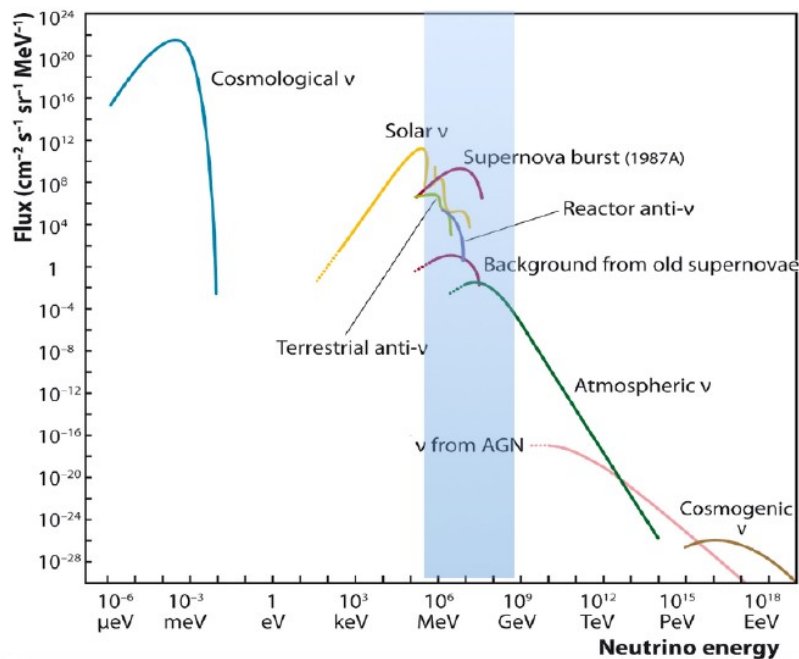
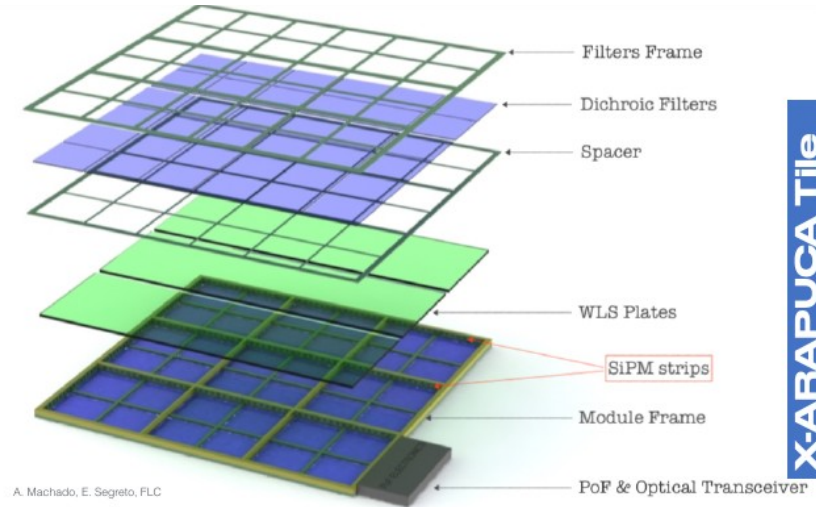


Vertical Drift - PhotoDetectors

Square Arapuca Tiles embedded in Cathode

But ... why not ...
Cover field cage and cathode...
..and..
Increase Light Output by Xe-doping

Lowers **SN** threshold and **solar** neutrinos?



Vertical Drift

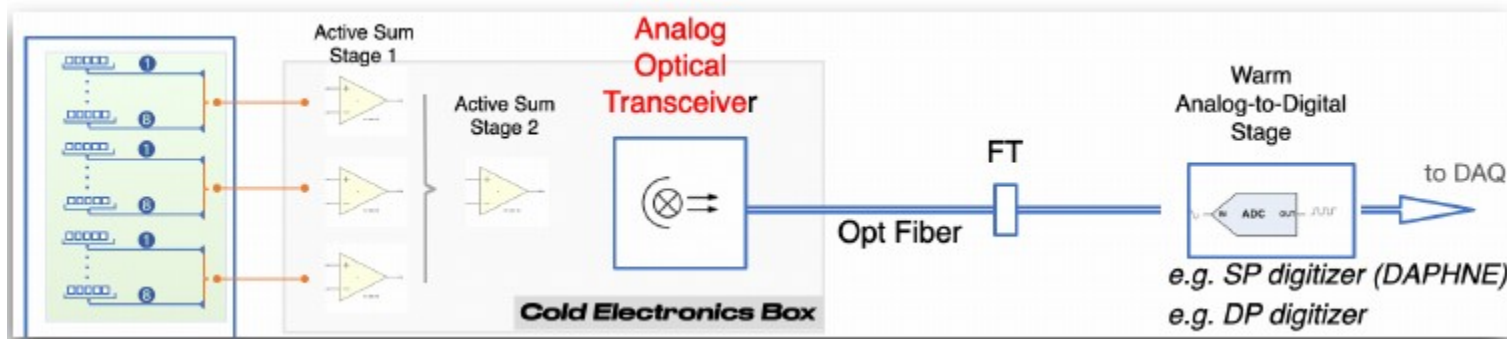
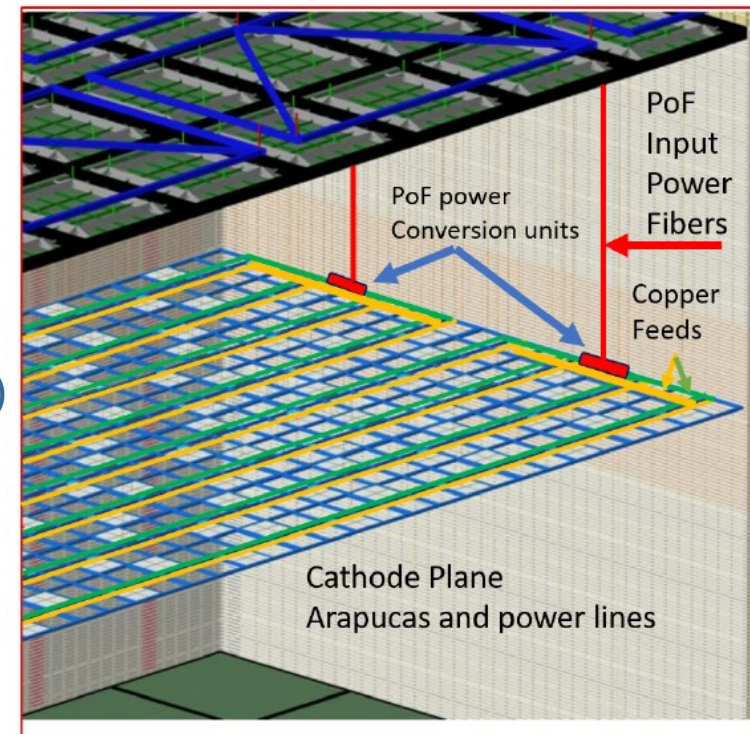
W. Pellico - FNAL

Challenge – SiPMs embedded in cathode (at -300 kV)

Power and Signal Read-out via optical fiber

Two solutions being explored:

- Complete Digital solution (ADCs, FPGA, digital transmission)
- **Analog Optical Transceiver**



Summary

- DUNE will measure neutrino and anti-neutrino oscillations over a 1300 km baseline with four 17kt LAr TPCs deployed in stages
- Two far detector designs – **Horizontal** and **Vertical Drift**
- First detector module will be **Horizontal Drift**
- Large underground detectors can do other interesting physics searches (BSM such as Nucleon decay, detect galactic Core-Collapse SN etc)

