



MINISTÈRE  
DE L'ENSEIGNEMENT SUPÉRIEUR,  
DE LA RECHERCHE  
ET DE L'INNOVATION



PHAST  
PHYSIQUE  
ET ASTROPHYSIQUE  
UNIVERSITÉ DE LYON



Lyon 1

# Reconstruction of di- $\tau$ mass using ML based techniques

## IN2P3/IRFU Machine Learning Workshop

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IP2I (Lyon) & MSSM  $H \rightarrow \tau\tau$  analysis group

March 16<sup>th</sup> 2021



## 1 Few words on di- $\tau$ events

## 2 Building the ML model

- Lucas TORTEROTOT, Ece AŞILAR, Colin BERNET (IP2I Lyon), Sebastian WOZNIEWSKI (KIT)

## 3 Comparison against SVFIT

- Lucas TORTEROTOT & the  $H \rightarrow \tau\tau$  MSSM analysis group

## 1 Few words on di- $\tau$ events

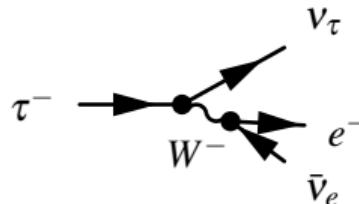
## 2 Building the ML model

- Lucas TORTEROTOT, Ece AŞILAR, Colin BERNET (IP2I Lyon), Sebastian WOZNIEWSKI (KIT)

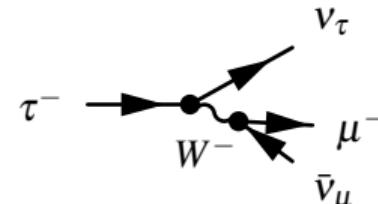
## 3 Comparison against SVFIT

- Lucas TORTEROTOT & the  $H \rightarrow \tau\tau$  MSSM analysis group

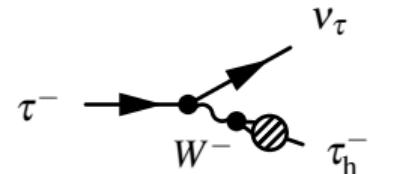
# $X \rightarrow \tau\tau$ events: 2 to 4 neutrinos in final state!



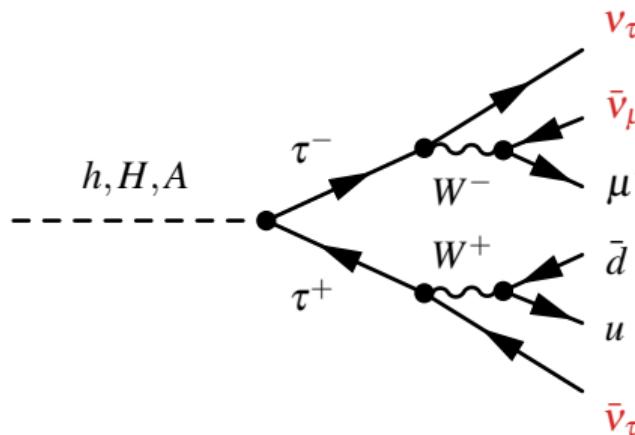
$$\tau \rightarrow e + \bar{\nu}_e + \nu_\tau \Rightarrow e \\ 17.8\%$$



$$\tau \rightarrow \mu + \bar{\nu}_\mu + \nu_\tau \Rightarrow \mu \\ 17.4\%$$



$$\tau \rightarrow \text{hadrons} + \nu_\tau \Rightarrow \tau_h^- \\ 64.8\%$$



- ▶ 6 different channels:
  - ▷  $\tau_h \tau_h$ : 2 neutrinos,
  - ▷  $\mu \tau_h, e \tau_h$ : 3 neutrinos,
  - ▷  $\mu \mu, e \mu, ee$ : 4 neutrinos.
- ▶ Neutrinos = invisible in CMS!
- ▶ Impossible to get the full invariant mass.

## 1 Few words on di- $\tau$ events

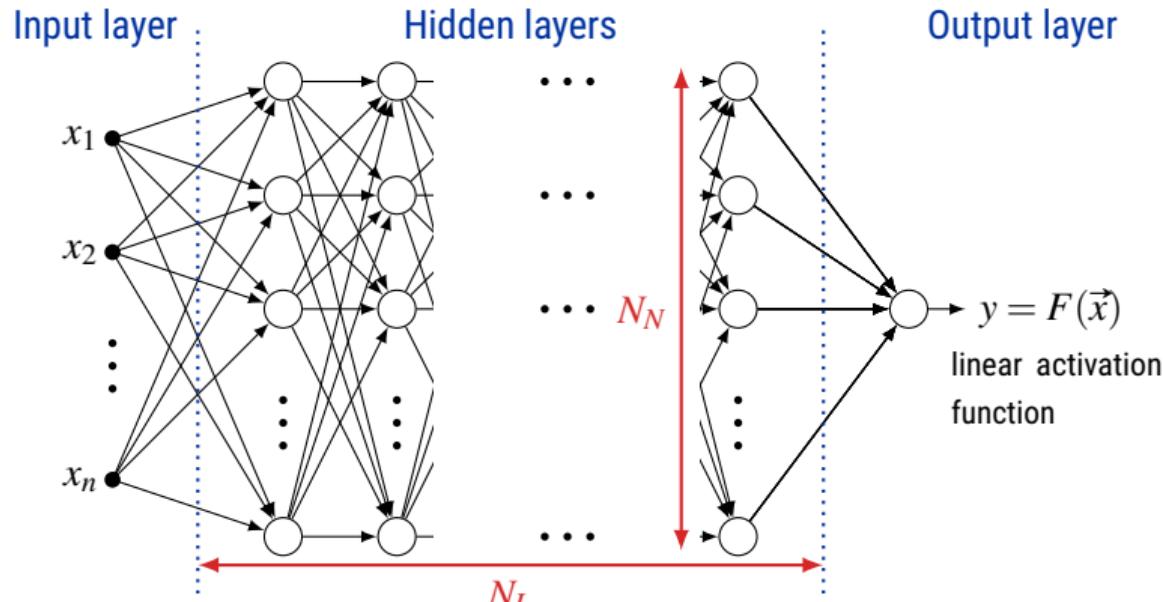
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# Build a neural network: deep but simple structure!



► Here  $N_L = 3$  and  $N_N = 1000$

# Build a neural network: choose hyperparameters

- ▶ NN hyperparameters (and tested values):
  - ▷ **Adam** optimizer (Adam, Adadelta, SGD),
  - ▷ Weight initialized with **Glorot uniform** (Glorot normal, Glorot uniform, normal, uniform),
  - ▷ **MAPE** loss (MAPE, MSE),

$$MAPE(y_{\text{true}}, y_{\text{pred}}) = \frac{100}{N} \sum_{i=1}^N \left| \frac{y_{\text{pred},i} - y_{\text{true},i}}{y_{\text{true},i}} \right|$$

- ▷ **Softplus** activation function (ReLU, ELU, SELU, Exponential, Softplus),

$$\text{softplus}(x) = \ln(1 + e^x)$$

- ▷ 3 hidden layers (2 to 5),
- ▷ 1000 neurons per hidden layer (200, 600, 1000, 1400 for all other hyperparameters + 200 to 2000 per steps of 100 after focus),

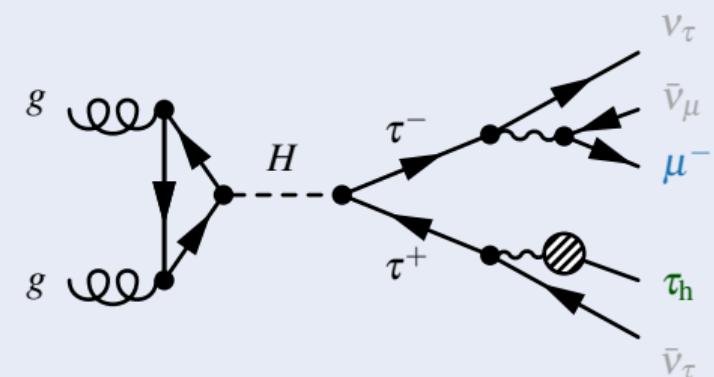
# Build a neural network: target and inputs?

- Model target: generated Higgs mass.

- Model inputs:

- ▷  $\tau_1$  (here =  $\mu^-$ ) and  $\tau_2$  (here =  $\tau_h$ )  $p_T, \eta, \phi$ ;
- ▷ PuppiMET  $p_T, \phi$ ;
- ▷ METcov xx, xy and yy;
- ▷  $m_T^{(1,MET)}, m_T^{(2,MET)}, m_T^{(1,2)}, m_T^{\text{tot}}$  (Puppi);
- ▷ jet 1, jet 2  $p_T, \eta, \phi$ ;
- ▷ remaining hadronic activity  $p_T, \eta, \phi$ ;
- ▷ npvsGood  $\rightarrow$  how much PU;
- ▷ Number of neutrinos from tau decays.

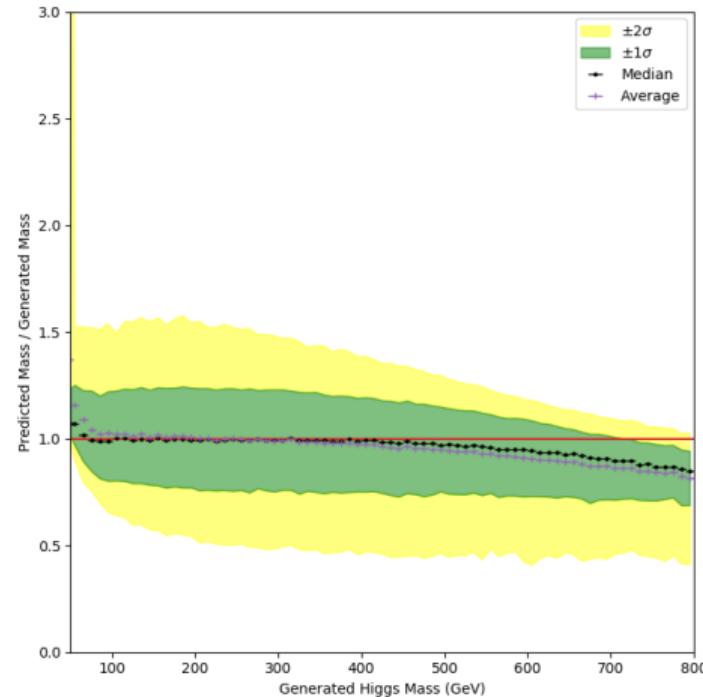
$$gg \rightarrow H \rightarrow \tau\tau \rightarrow \mu\tau_h$$



$$m_T^{\text{tot}} = \sqrt{m_T^2(\tau_1, E_T^{\text{miss}}) + m_T^2(\tau_2, E_T^{\text{miss}}) + m_T^2(\tau_1, \tau_2)} , \quad m_T(1,2) = \sqrt{2 p_T^{(1)} p_T^{(2)} (1 - \cos \Delta\phi)}$$

# Model trained on $ggH \rightarrow \tau\tau$ samples with floating $m_h$

Model response

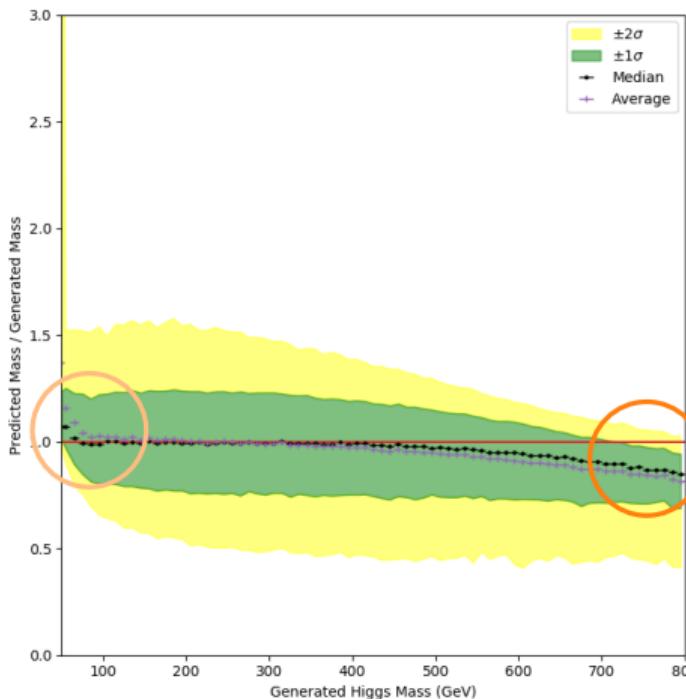


Model response as function of target →

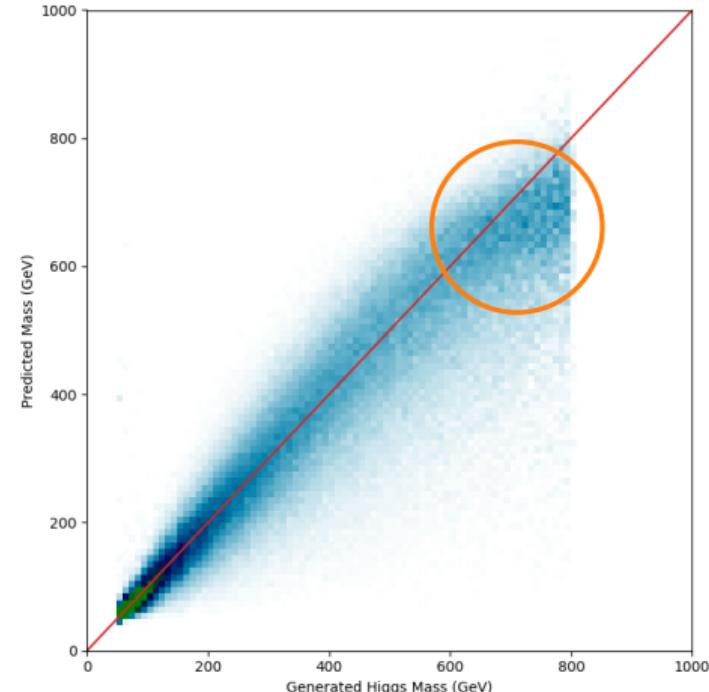
- ▶ Reconstruction achieved from 70 to  $\sim 500$  GeV!
  - ▷ Below 70 GeV: small overestimation.
  - ▷ Above 500 GeV: small underestimation.
  - ▷ Due to the dataset boundaries!

# Upgrade of the model: training sample boudaries effects

Model response

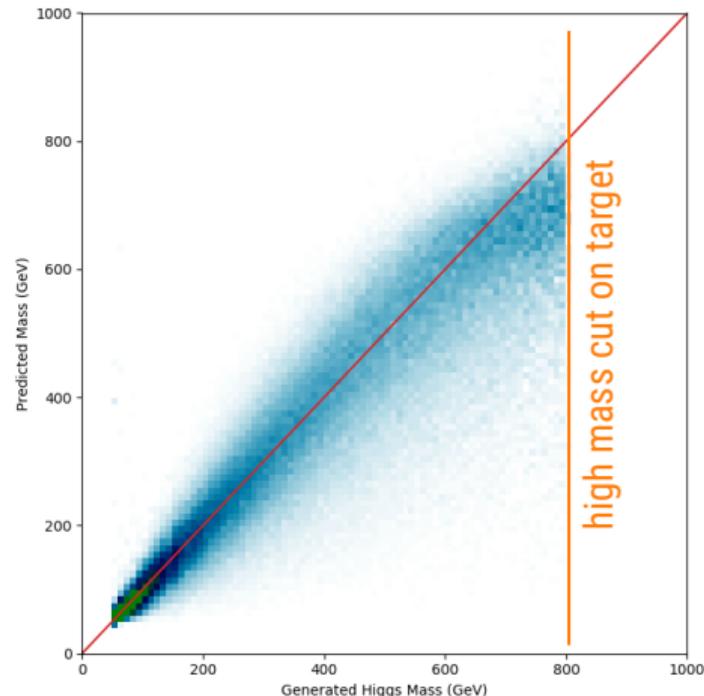


Predictions vs truth



# Upgrade of the model – origin of the over/under-estimations

- ▶ Due to 800 GeV cut on the target:
  - ▷ The NN never sees events above 800 GeV;
  - ▷ The NN is not likely to predict above 800 GeV.
- ▶ Same (reversed) effect at low mass!
- ▶ How to cope with the boundaries?
  - ▷ Bias to be balanced,
  - ▷ Extend the mass range?
    - ▷ Would be nice!
    - ▷ Not always feasible...

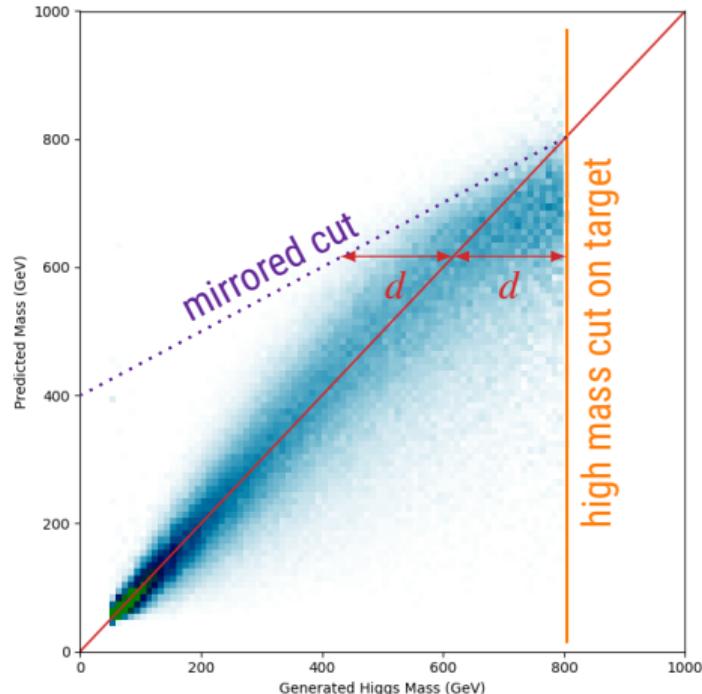


# Upgrade of the model – mirror the boundaries!

► The hard cut at 800 GeV is equivalent to having events but not counting them in the loss.

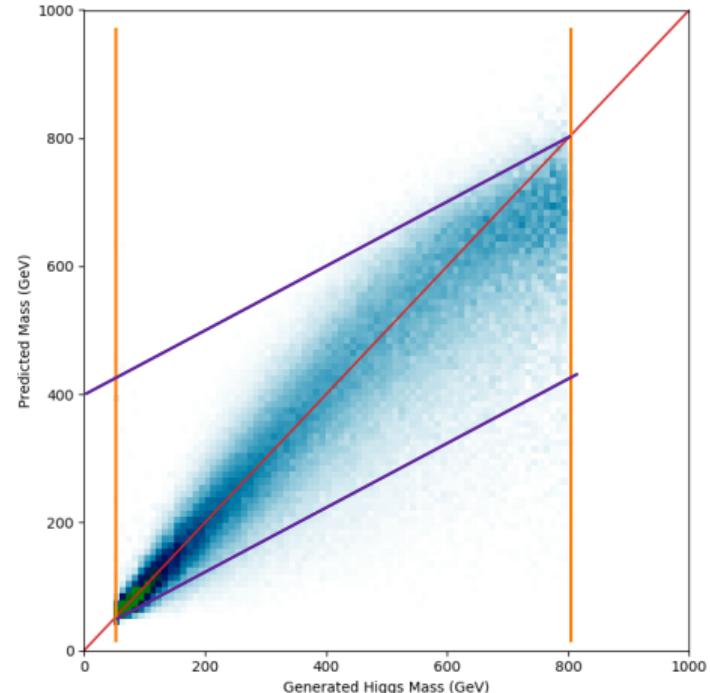
► We test the following:

Take events into account for the loss only if they are within the mirrored boundary *i.e.* if they are not further away from the red line than the red line to the boundary.



# Upgrade of the model – mirror the boundaries at low mass too

Take events into account for the loss only if they are within the mirrored boundary *i.e.* if they are not further away from the red line than the red line to the boundary.



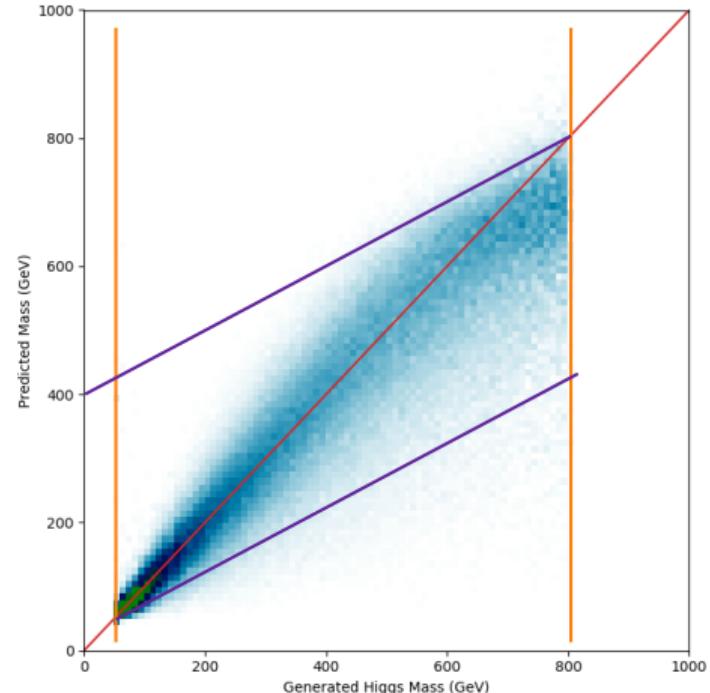
# Upgrade of the model – tuning the loss in the central region

- ▶ MAPE loss is

$$MAPE(y_{\text{true}}, y_{\text{pred}}) = \frac{100}{N} \sum_{i=1}^N \left| \frac{y_{\text{pred},i} - y_{\text{true},i}}{y_{\text{true},i}} \right|$$

- ▶ We found out that better constraints at high masses is obtained with  $MAPE \times \sqrt{y_{\text{true}}}$

$$MAPE_{\text{sqrt}}(y_{\text{true}}, y_{\text{pred}}) = \frac{1}{N} \sum_{i=1}^N \left| \frac{y_{\text{pred},i} - y_{\text{true},i}}{\sqrt{y_{\text{true},i}}} \right|$$



# Upgrade of the model – custom loss

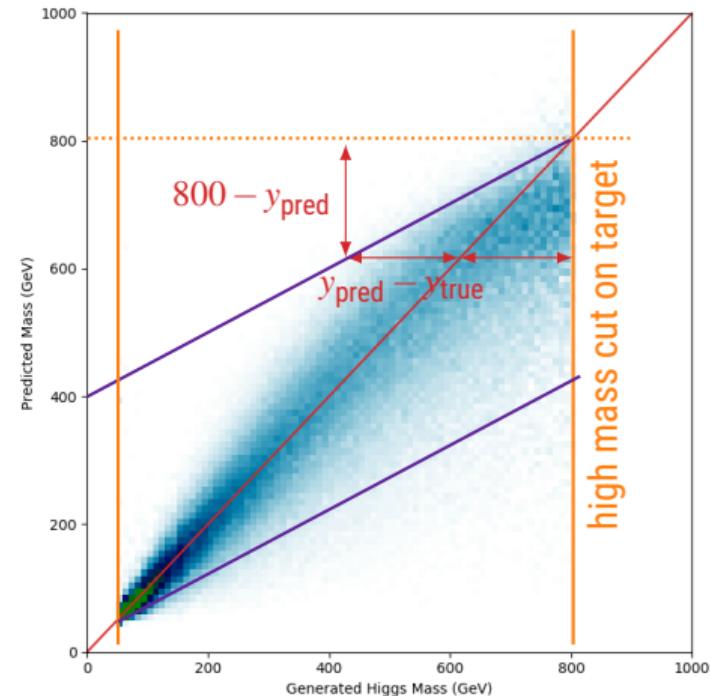
- ▶ custom loss =  $MAPE \times \sqrt{\text{boundary cuts}}$ :

```

1 def custom_loss(y_true, y_pred):
2     loss = tf.abs(
3         (y_true - y_pred)/(y_true**.5) * where(
4             kb.greater_equal(
5                 y_pred - y_true, 800 - y_pred
6             ),
7             0.0,
8             where(
9                 kb.greater_equal(
10                    y_true - y_pred, y_pred - 50
11                ),
12                0.1,
13                1.0,
14            )))
15     return tf.reduce_mean(loss, axis=-1)

```

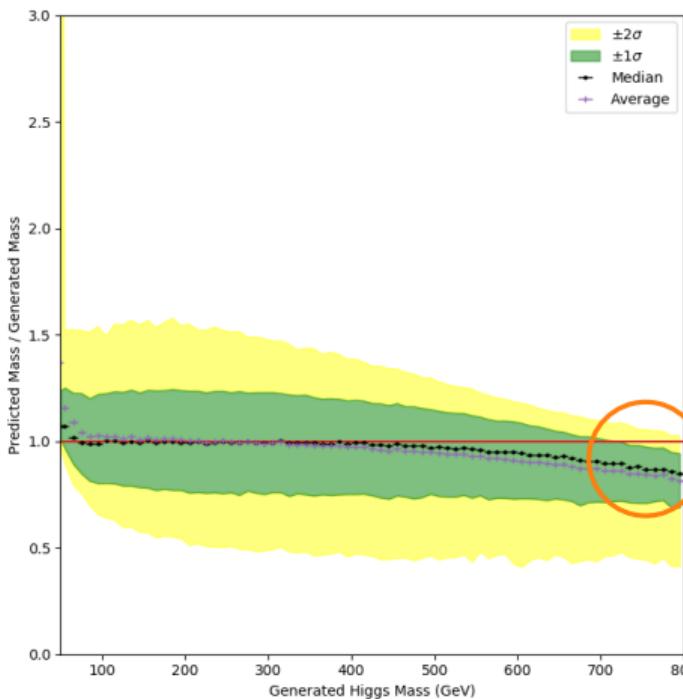
- ▶ line 5: upper boundary condition.
- ▶ line 10: lower boundary condition.



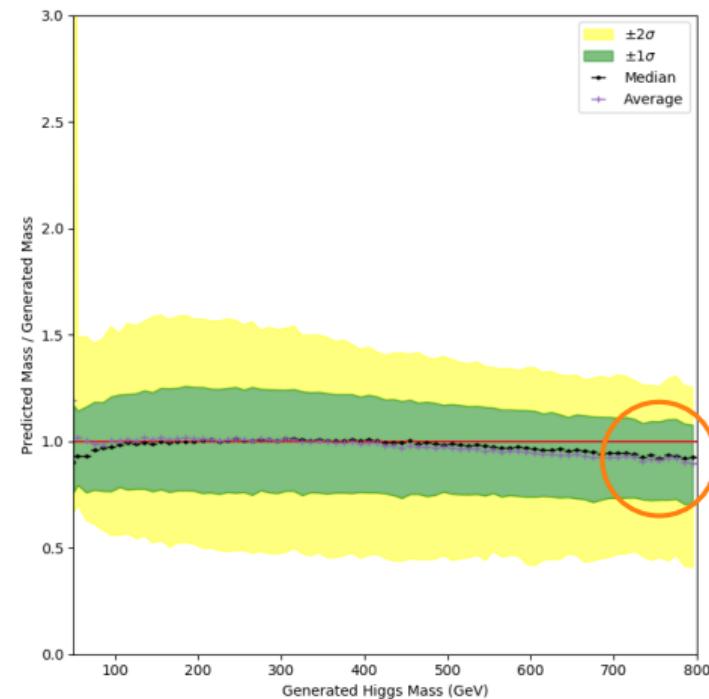
- ▶ Convergence problems can appear  $\Rightarrow$  the 0.1 factor for low mass is applied because of that (instead of 0.0).

# Custom loss reduces the boundaries effect by a factor of 2!

Native MAPE loss



Custom loss



## Notes on the loss

- ▶ The loss function obtained does **not** respect the condition:

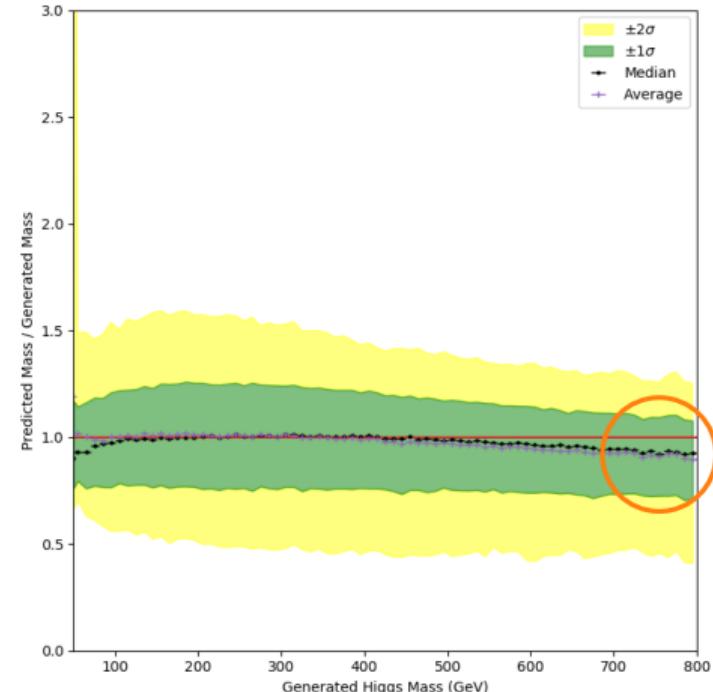
$$\text{Loss} = 0 \Leftrightarrow y_{\text{pred}} = y_{\text{true}}$$

i.e. loss can be 0 even with predictions different from truth ...

- ▶ Yet the boundary effect is reduced with this loss!
  - ▷ This confirms our interpretation.
- ▶ This loss also provides a good model.
- ▶ Other possibility:
  - ▷ For each  $y_{\text{pred}}$  value, instead of setting a mirrored boundary hard cut:
    - ▷ tune weights to have equal weighted-quantity of events above and below  $y_{\text{true}}$
- ▶ Did anyone encounter the same boundary issue?
  - ▷ Regardless of our case: what if generating other mass points (lower and higher) can't be done?

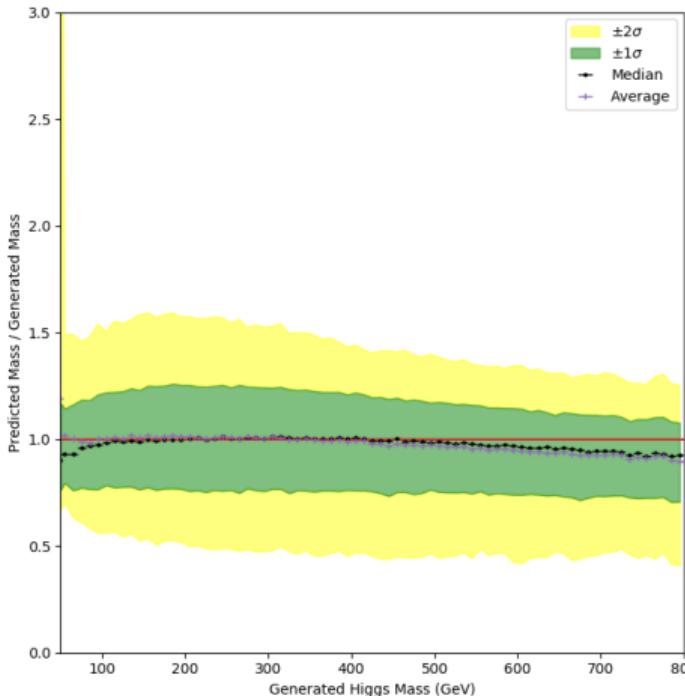
# Native MAPE vs custom loss: custom reduces the boundaries effect!

- ▶ We still have a small underestimation at high mass;
- ▶ Take advantage of the generated Higgs width:
  - ▷ Setting  $m_H = 800\text{ GeV}$  we end up with events at  $850\text{ GeV}, 1\text{ TeV}, \dots$

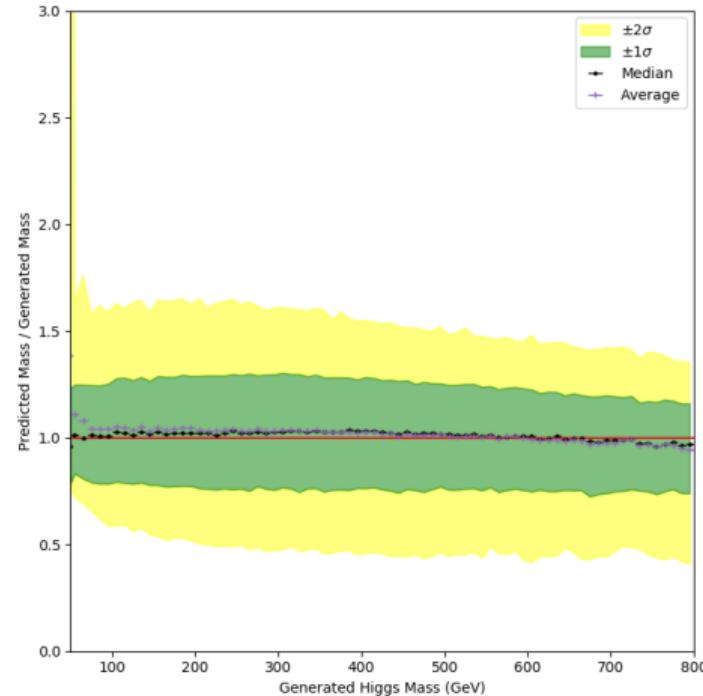


# Upgrade of the model – go even up to 1 TeV using the target distribution tails

Custom loss (up to 800 GeV)



Custom loss + up to 1 TeV



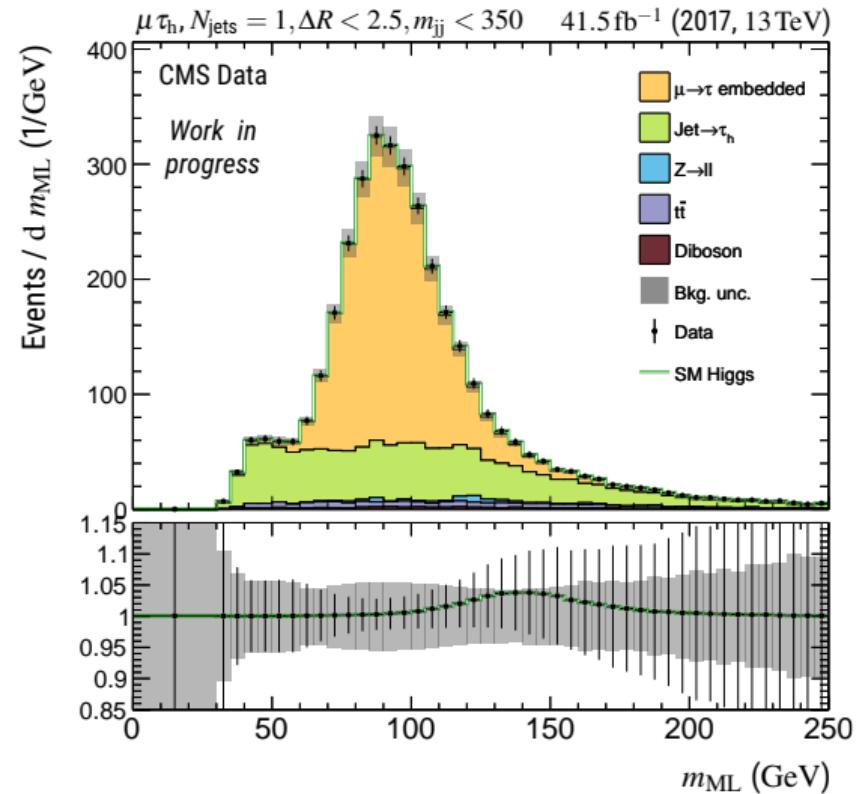
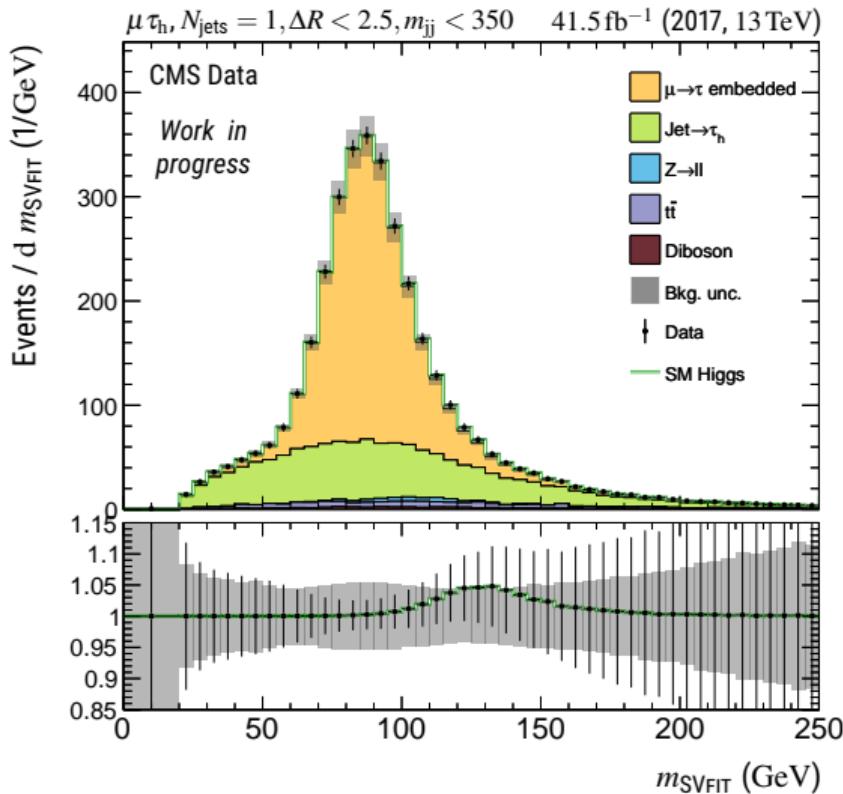
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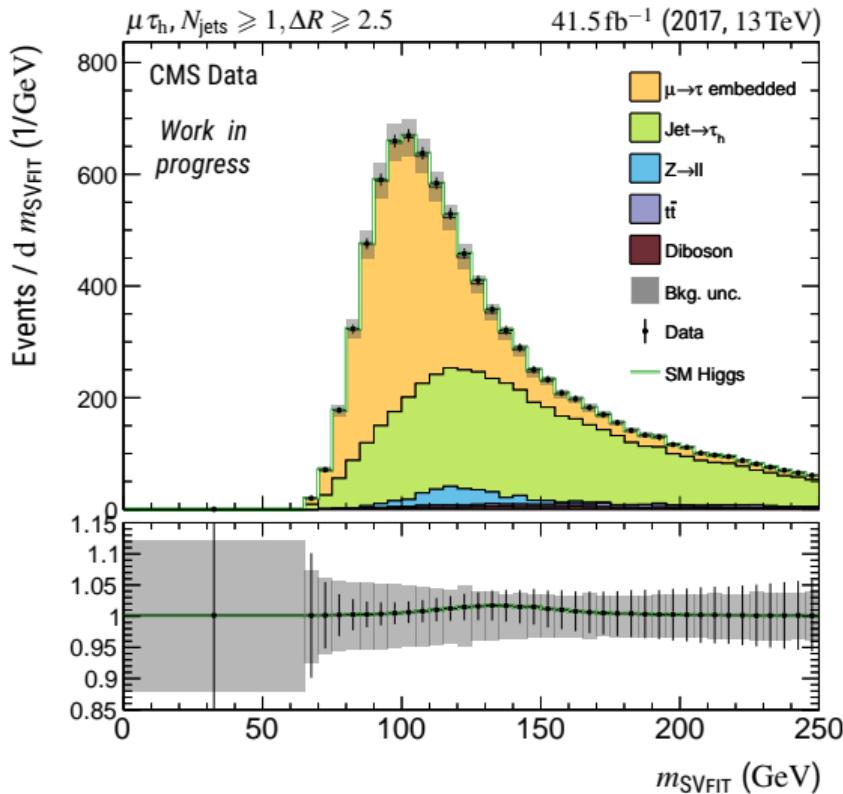
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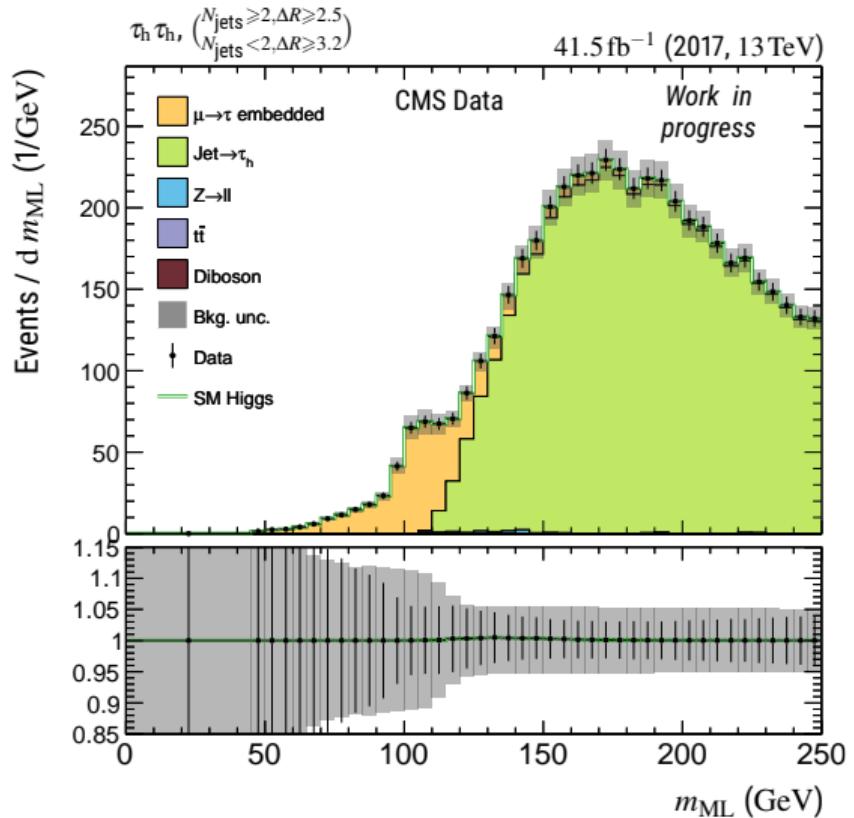
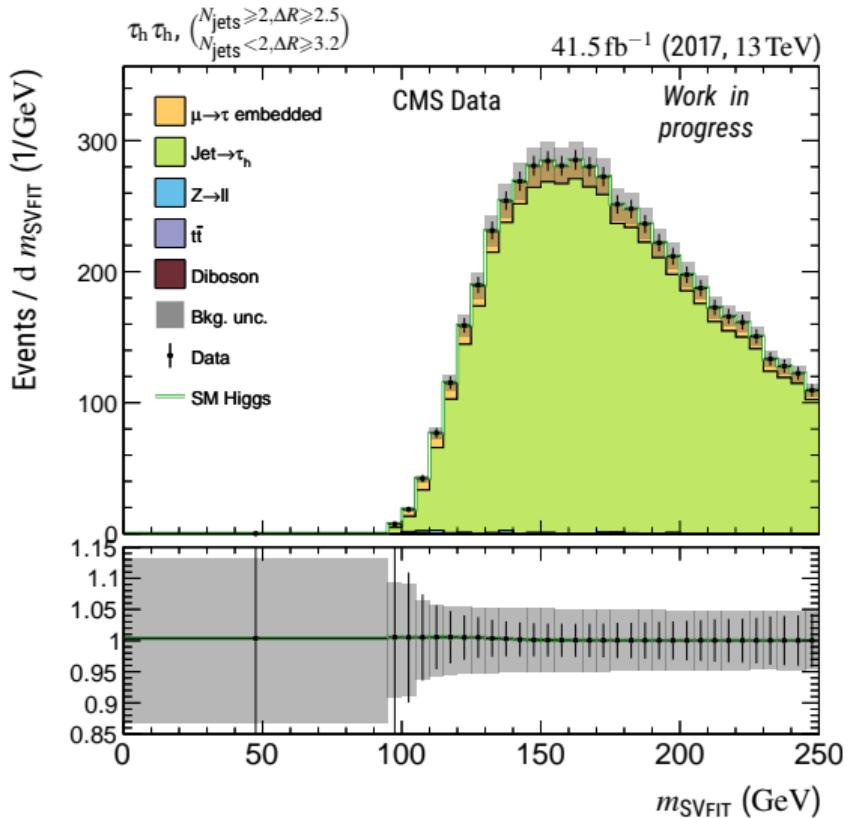
- Lucas TORTEROTOT & the  $H \rightarrow \tau\tau$  MSSM analysis group



► Similar SM Higgs signal sensitivity, small (expected) overestimation from our model.



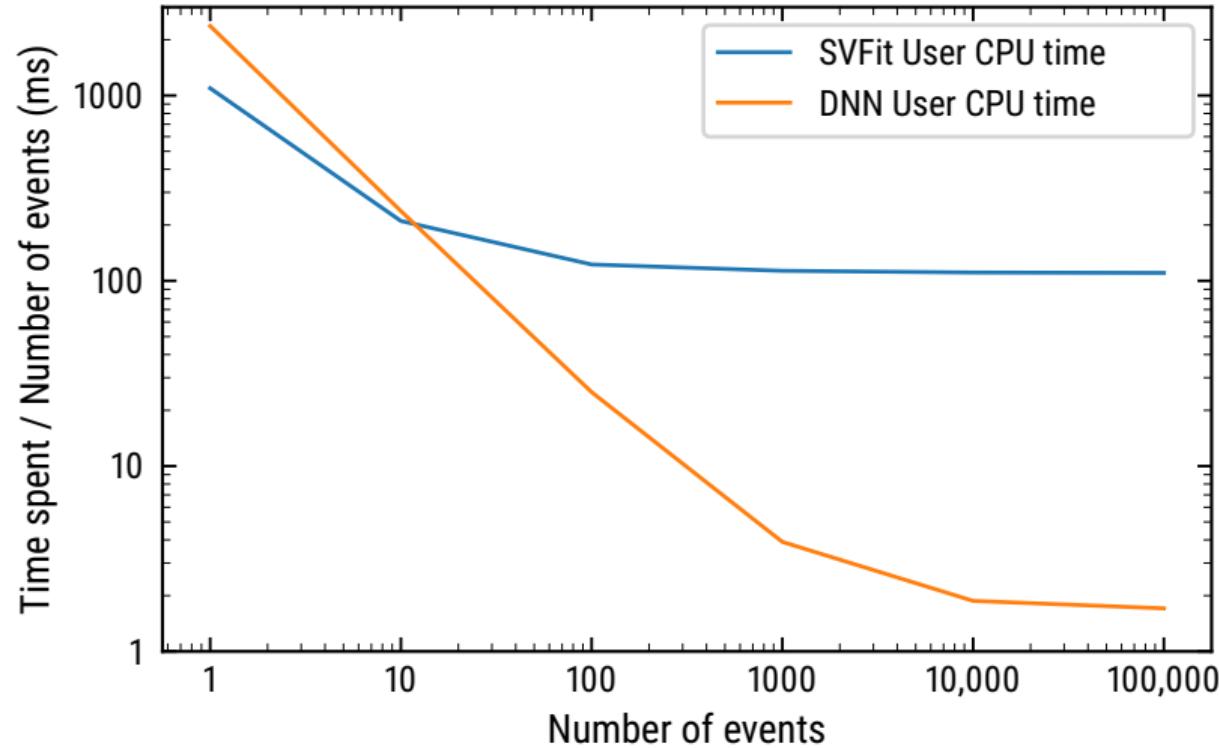
► Better DY estimations (peak at 100 GeV for  $m_{\text{SVFIT}}$ , 92 GeV for  $m_{\text{ML}}$ ) and fakes at higher masses!



► Our model finds  $Z \rightarrow \tau\tau$  events when SVFIT does not!

# Computing time: DNN (Python) is $\sim 60\times$ faster than SVFit (C++)!

- ▶ SVFit:
  - ▷ fit to find the best mass
  - ▷ for each event
- ▶ DNN:
  - ▷ fit done once (training)
  - ▷ apply the DNN formula



# Conclusion

- ▶ Successful  $m_H$  reconstruction in di- $\tau$  events!
  - ▷ Not only  $H \rightarrow \tau\tau$  but any  $X \rightarrow \tau\tau$  analysis could benefit!
- ▶ SVFIT comparison:
  - ▷ Similar Higgs sensitivity!
  - ▷ Better  $Z$  estimation observed (the model has been trained on  $h \rightarrow \tau\tau$  with various masses only);
  - ▷ Faster (about 60 times)!

# If you want to go deeper in:

- ▶ The DNN GitHub repository:

[https://github.com/lucastorterotot/DL\\_for\\_HTT\\_mass](https://github.com/lucastorterotot/DL_for_HTT_mass)

- ▶ The training events generation:

[https://github.com/easilar/cmssw/blob/from-CMSSW\\_10\\_2\\_22/README](https://github.com/easilar/cmssw/blob/from-CMSSW_10_2_22/README)

- ▶ The DNN/SVFIT derivation scripts used for the benchmark:

DNN: [shorturl.at/gmsN8](http://shorturl.at/gmsN8)

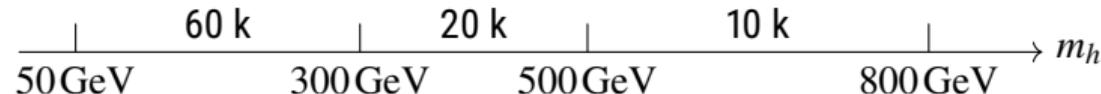
SVFIT: [shorturl.at/agyNO](http://shorturl.at/agyNO)

Thanks for your attention!

l.torterotot@ipnl.in2p3.fr

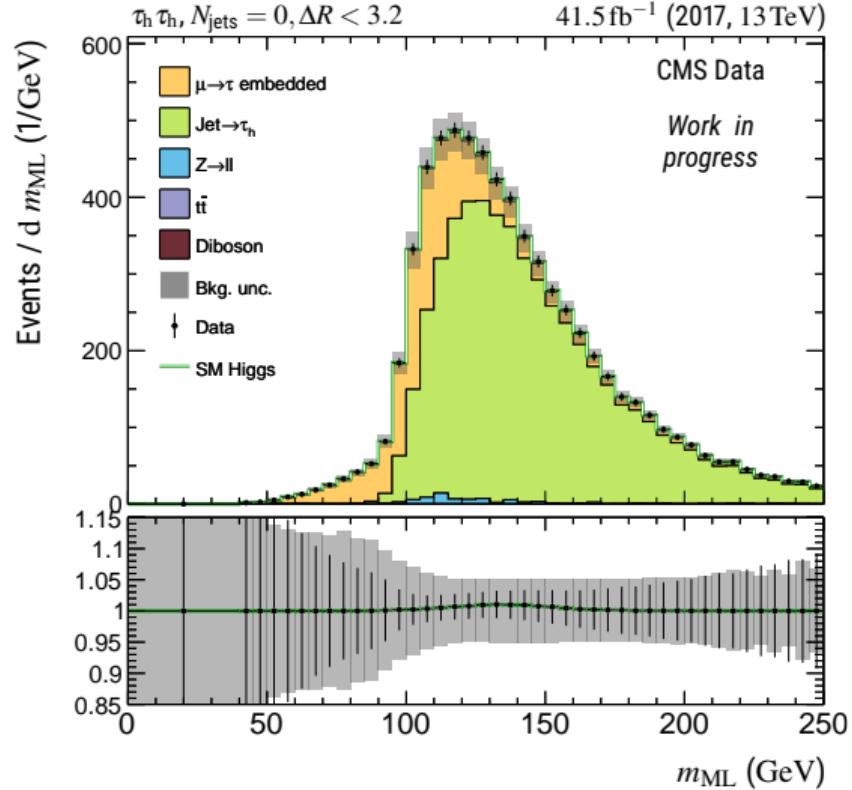
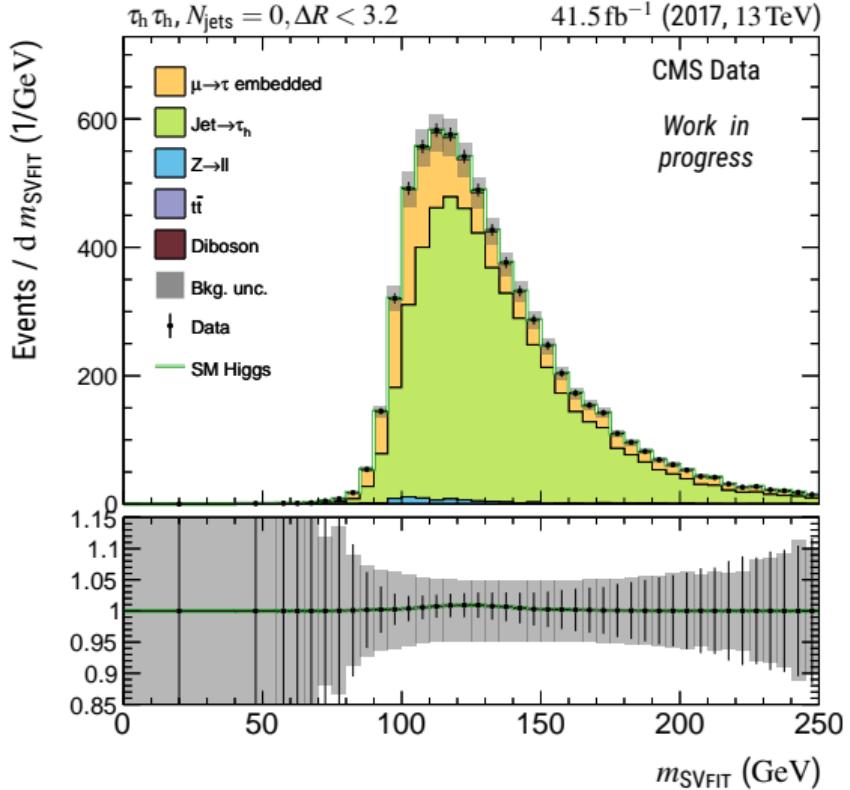
## Obtaining datasets

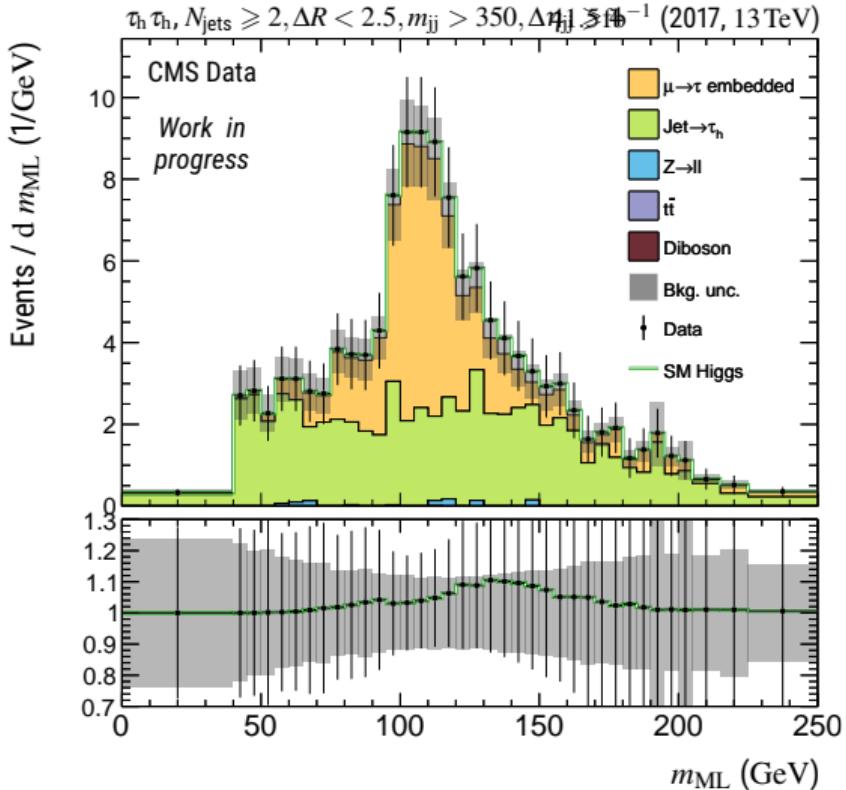
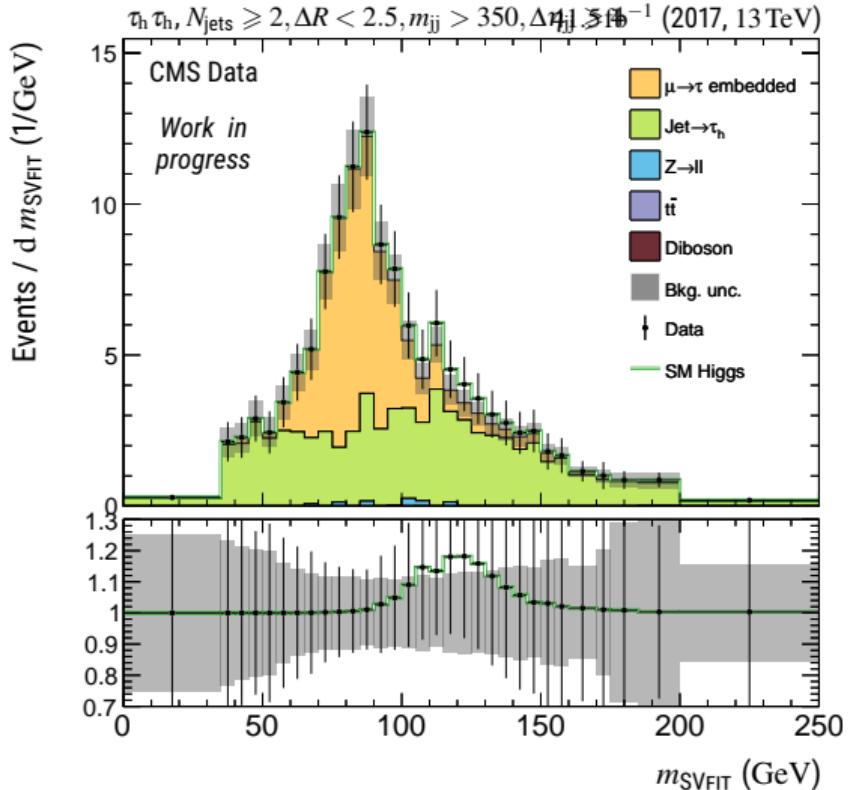
- ▶ Trained on SM  $h \rightarrow \tau\tau$  with  $m_h \in [50, 800]\text{GeV}$ .
  - ▷ We generated events with FASTSIM, see Github repository [here](#).
    - ▷ Pile-Up added with the 2017 PU profile with MinBias events.
  - ▷ Event selection similar to the current MSSM  $H \rightarrow \tau\tau$  analysis ( $p_T$  and  $\eta$  cuts, DEEPTAU, lepton vetoes, ...).
    - ▷ See [CMS AN 2020/218](#).
  - ▷ More events at low mass to account for the selection efficiency and have statistics:

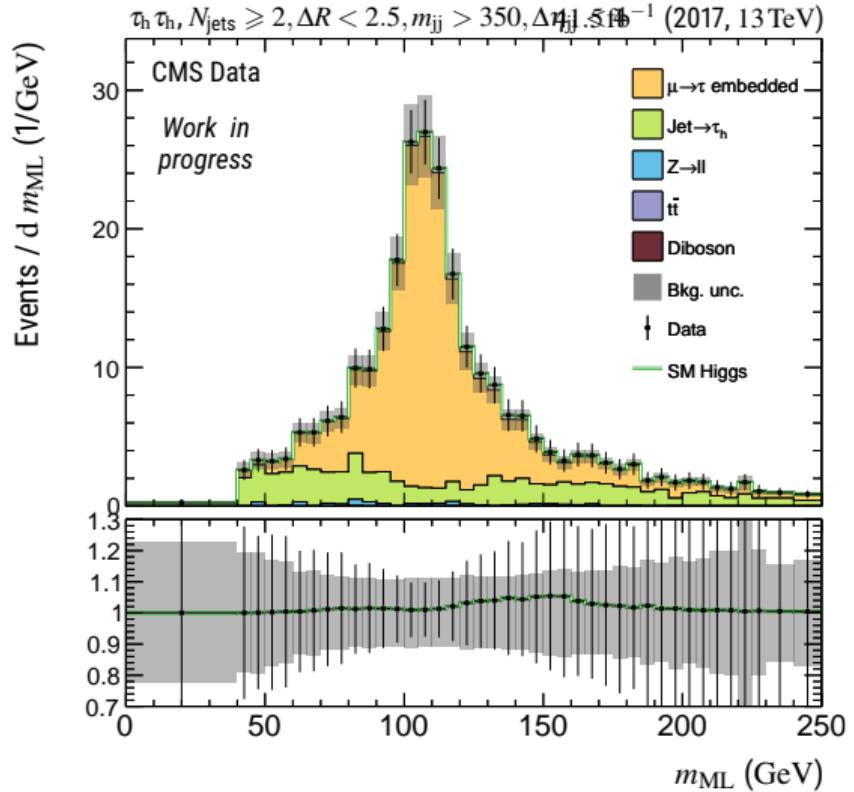
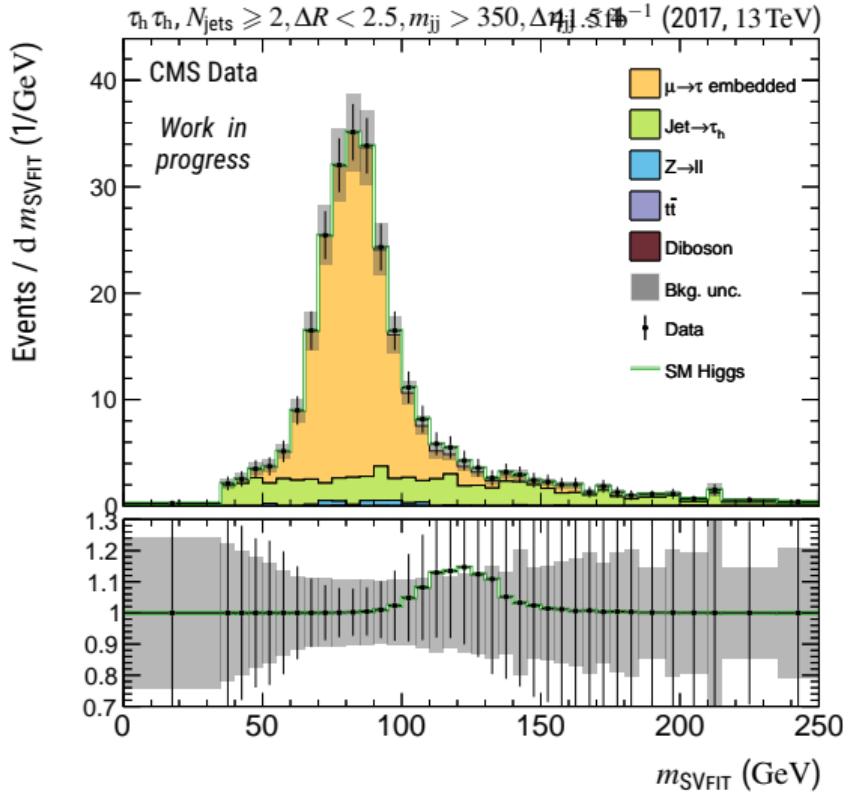


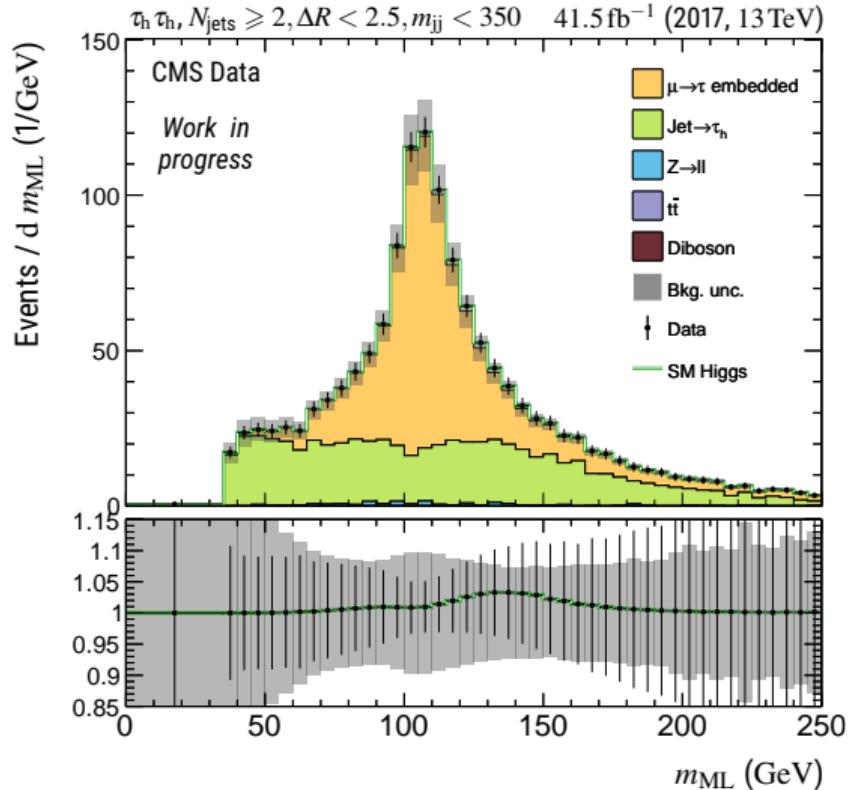
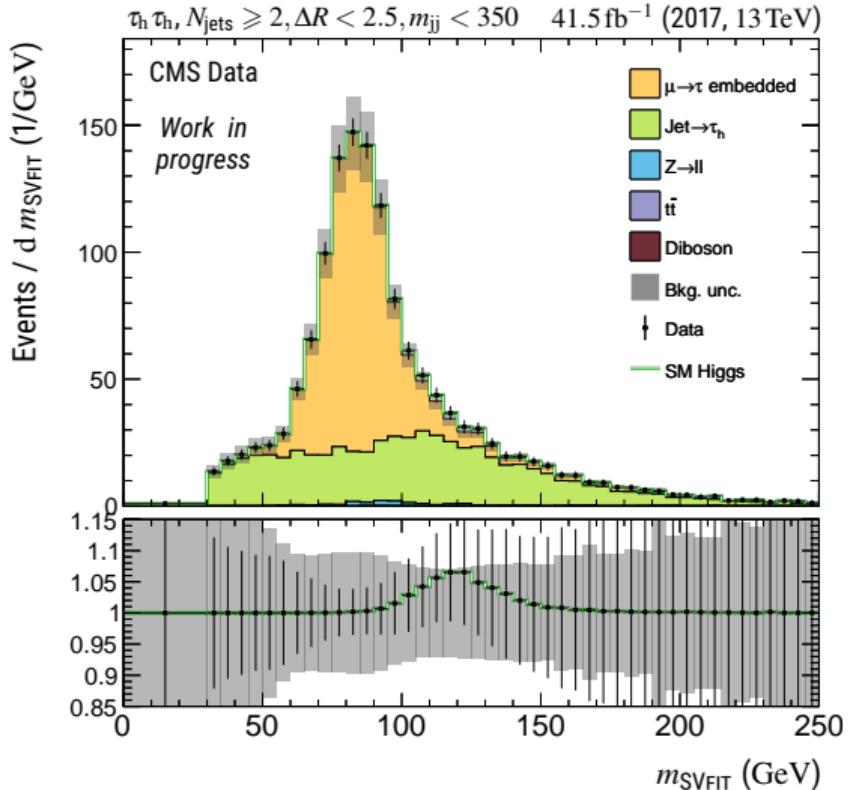
- ▶ 22 M events, 3 M (14 %) selected in the mass range (due to the mass width).
- ▶ Split into 3 subsamples, use weights to flatten the target distribution:

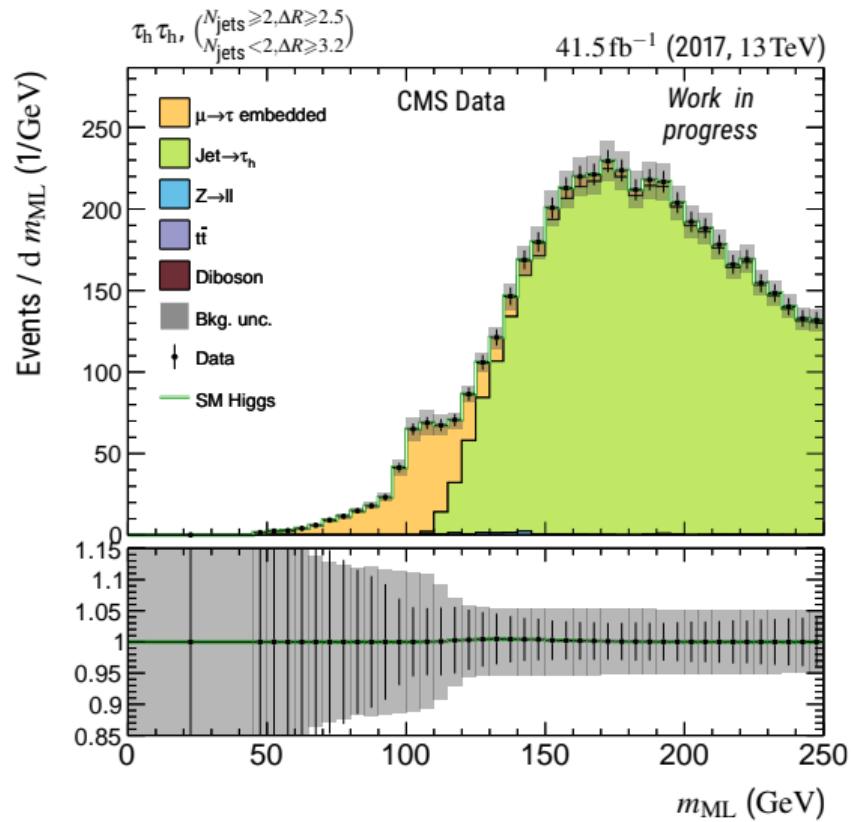
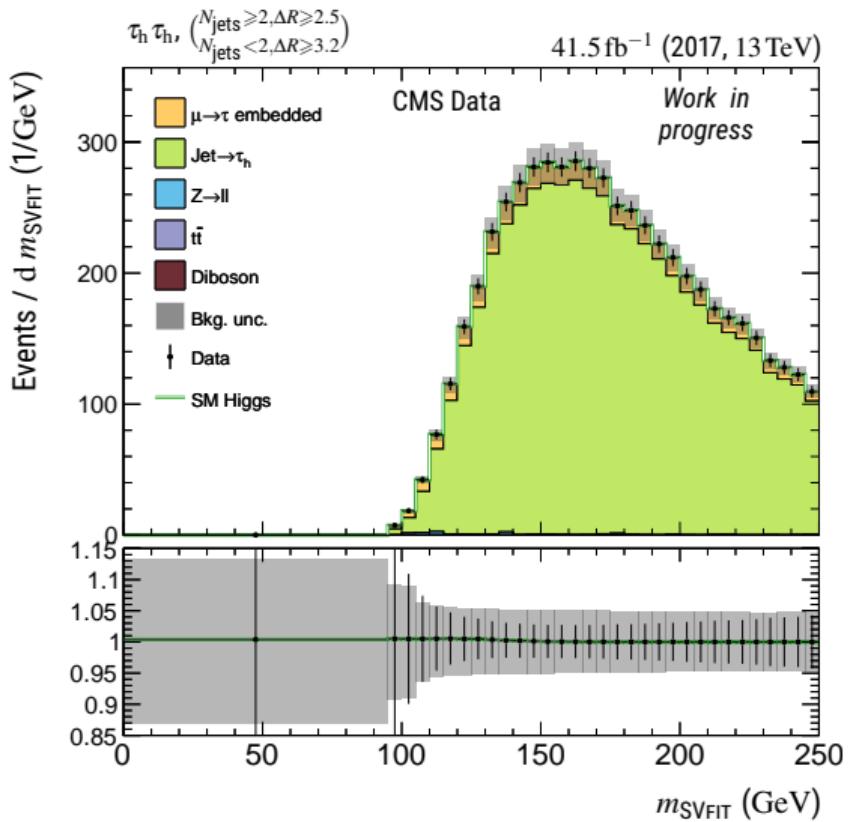
Purpose	training	validation	tests
Quantity	70 %	20 %	10 %

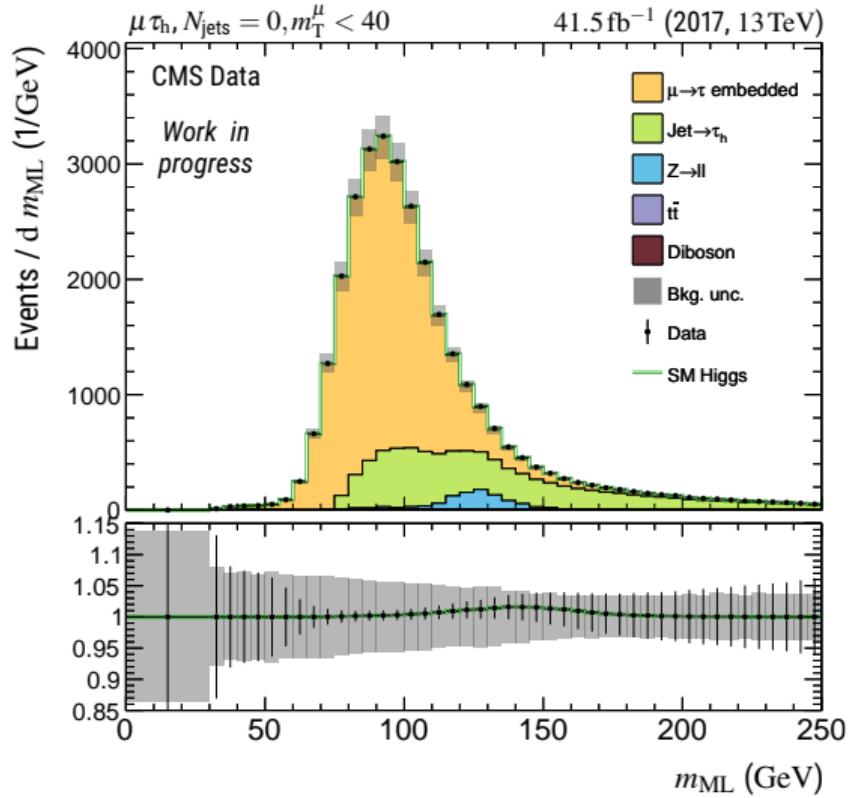
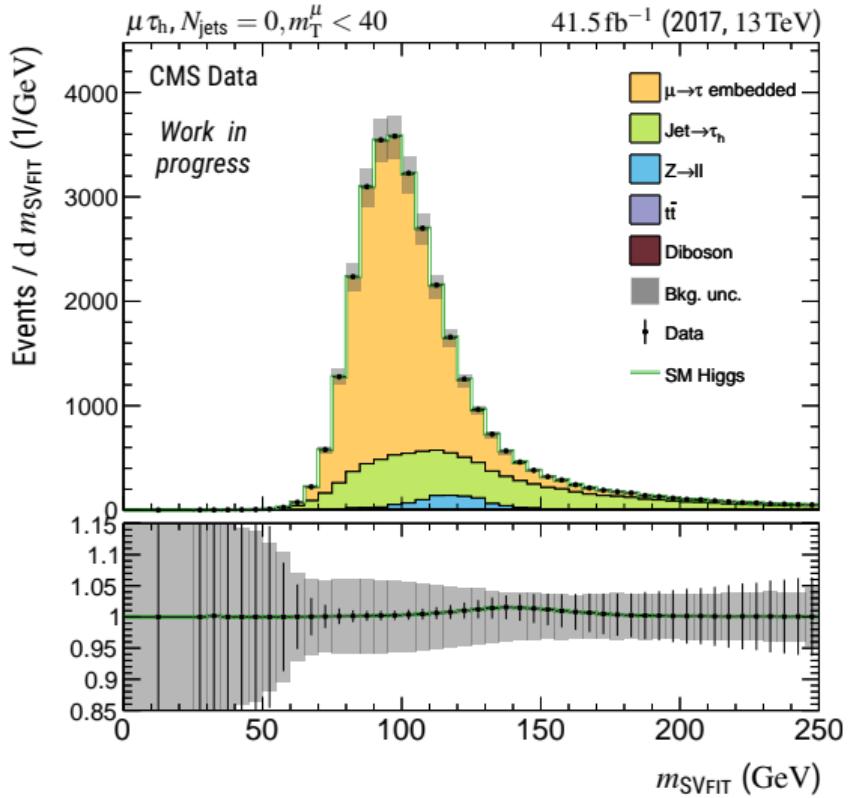


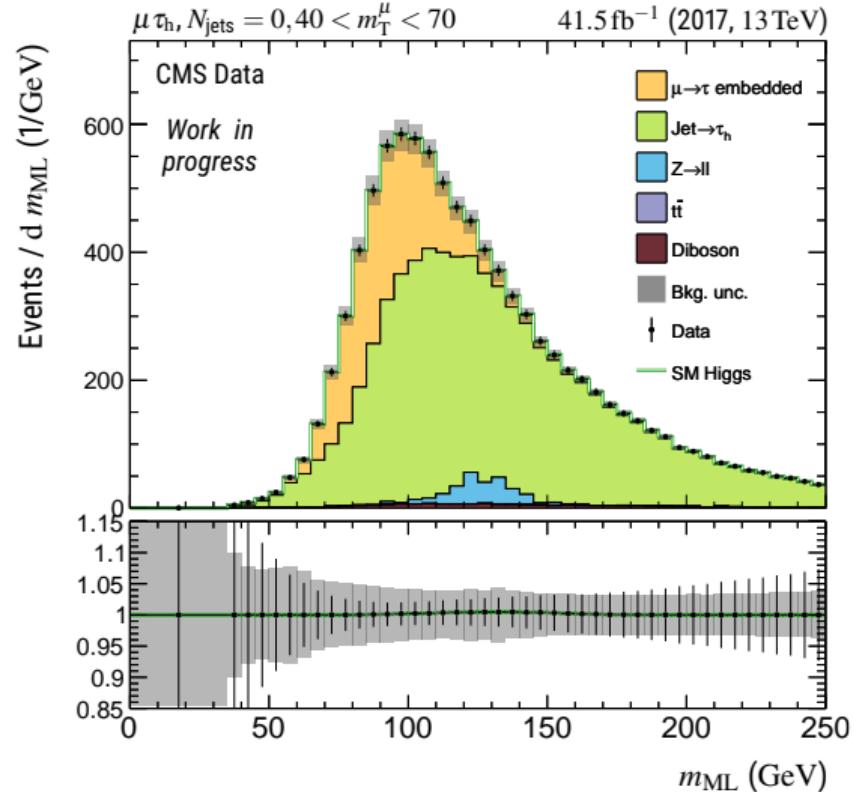
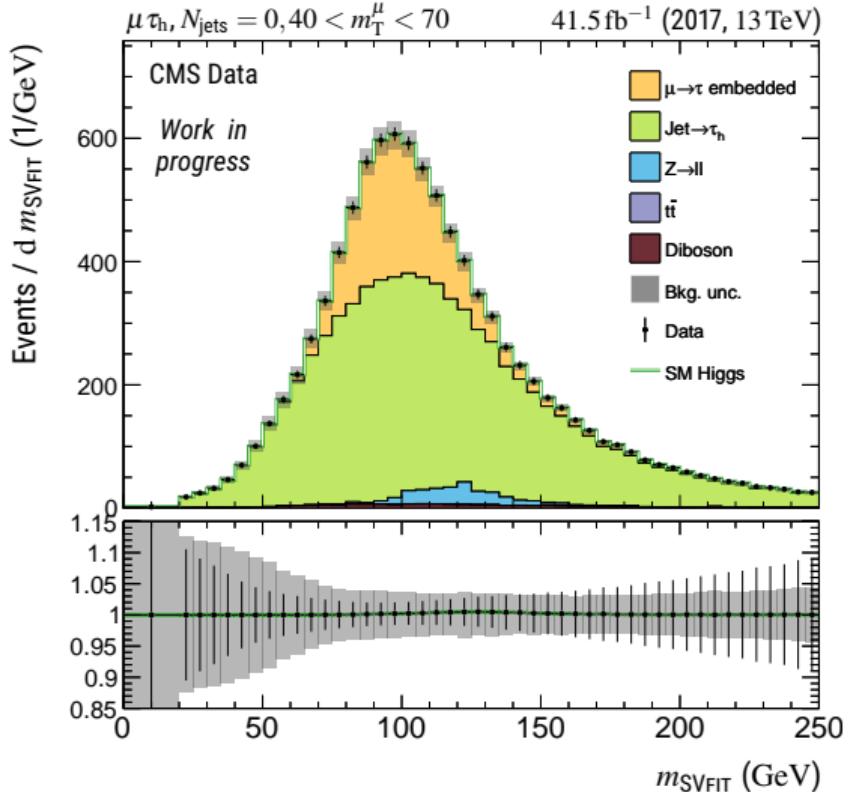


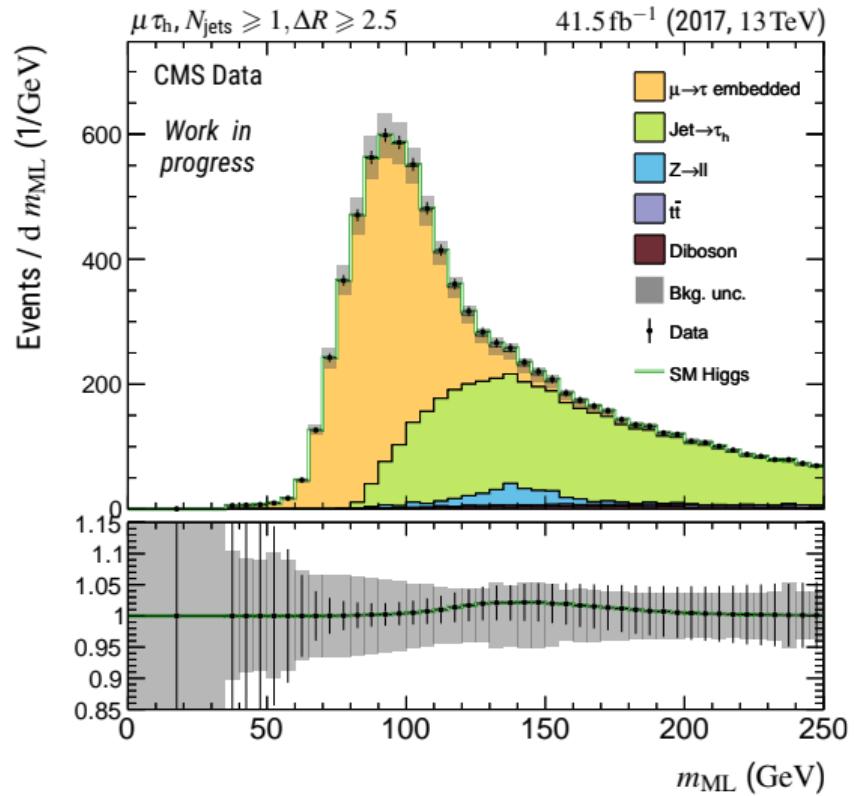
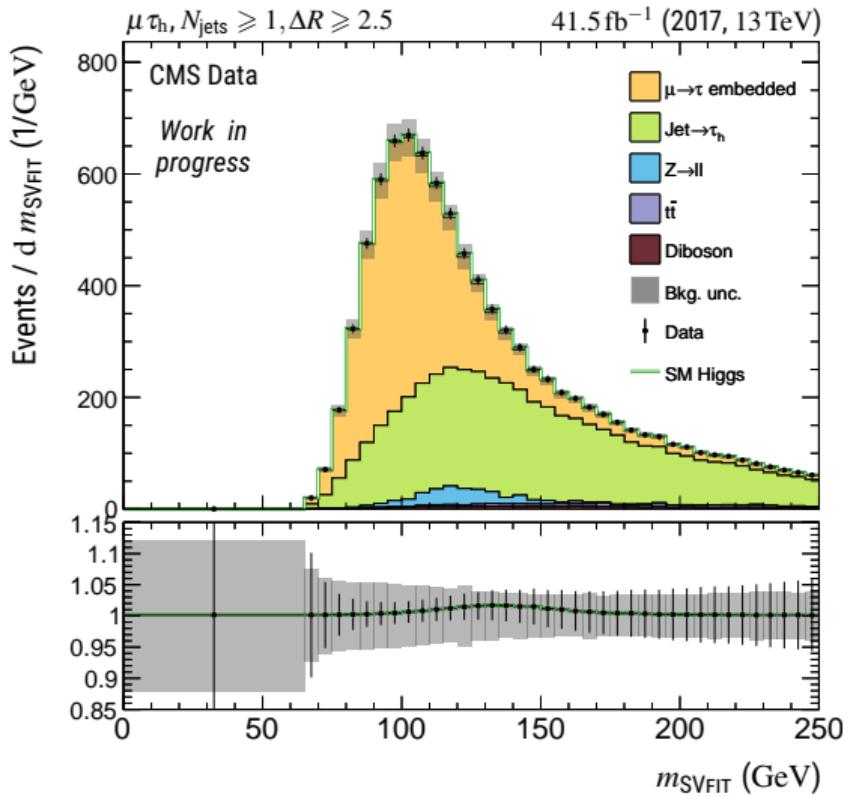


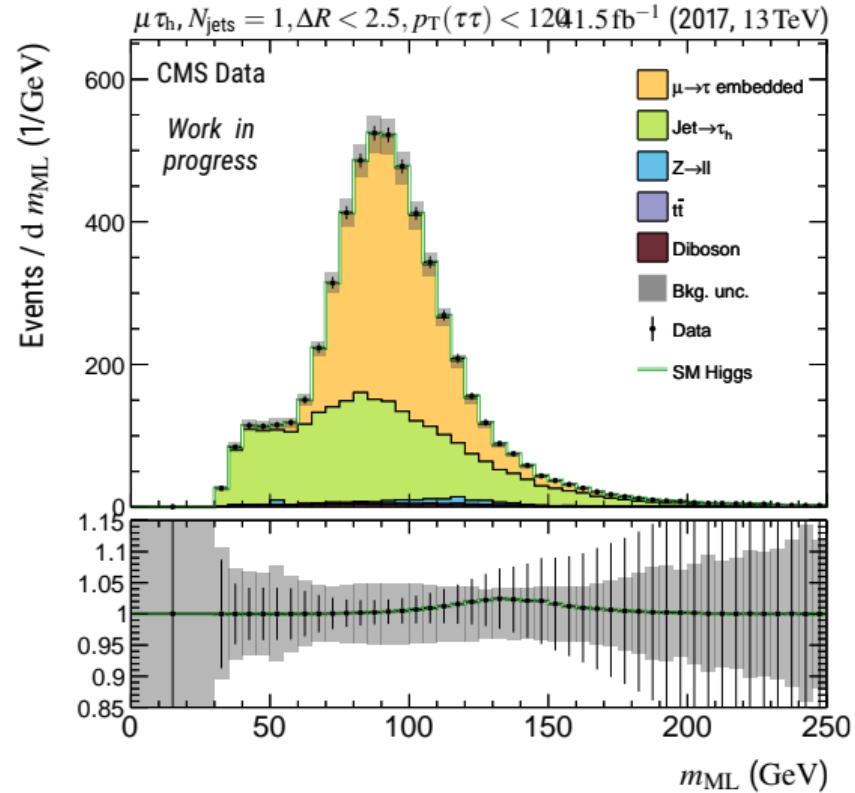
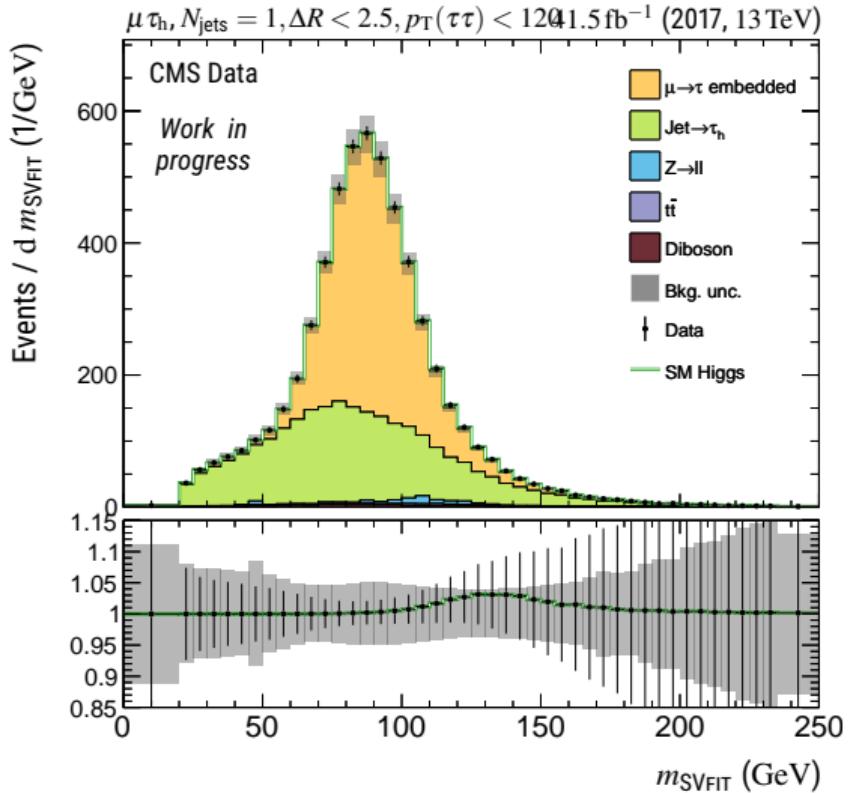


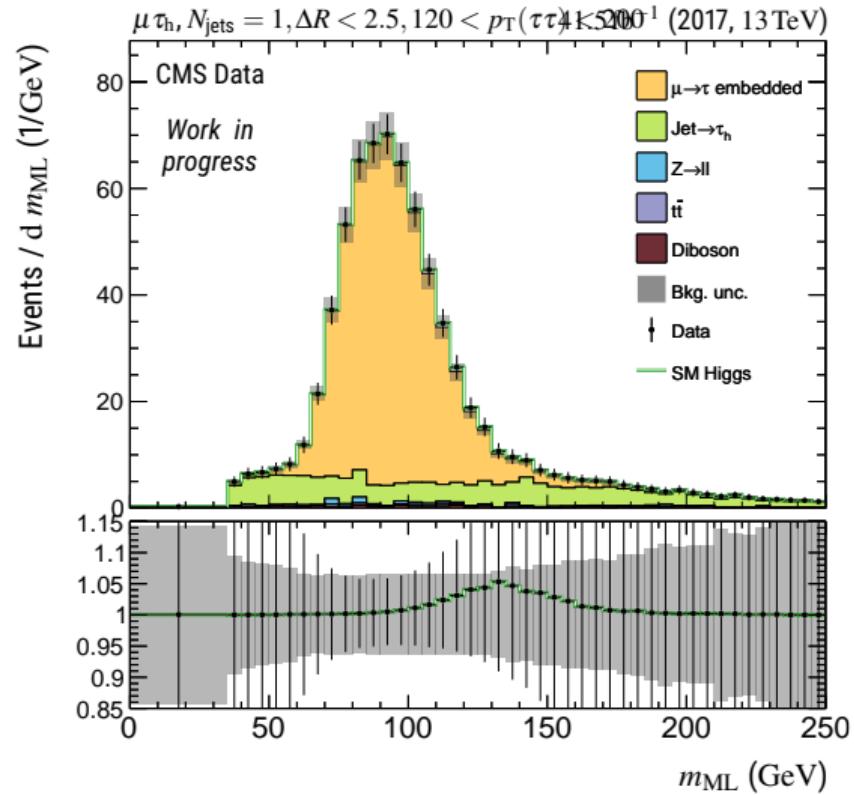
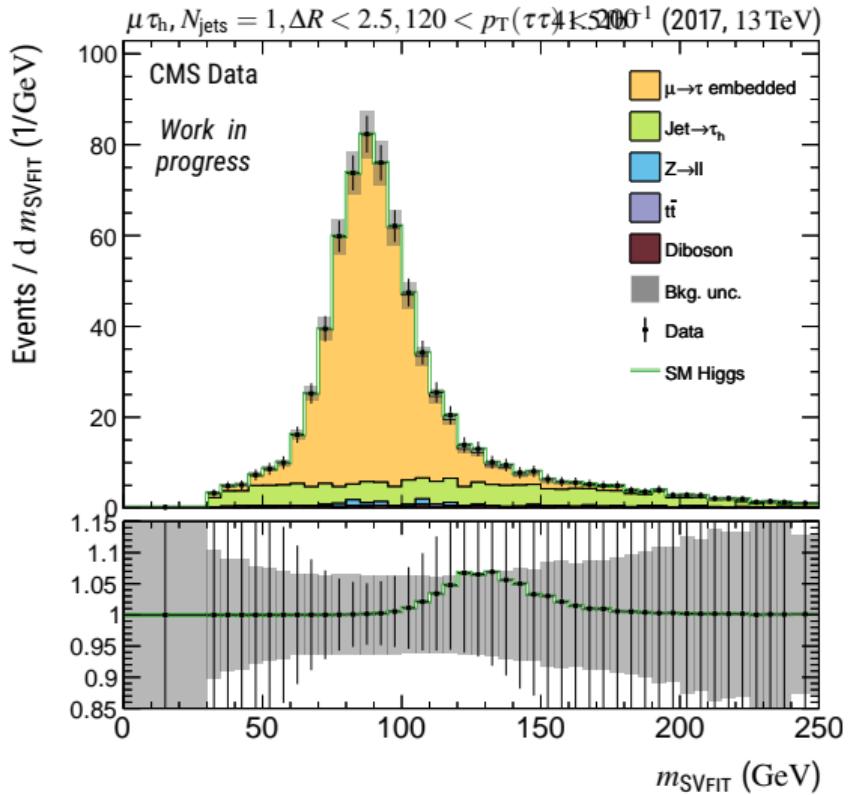


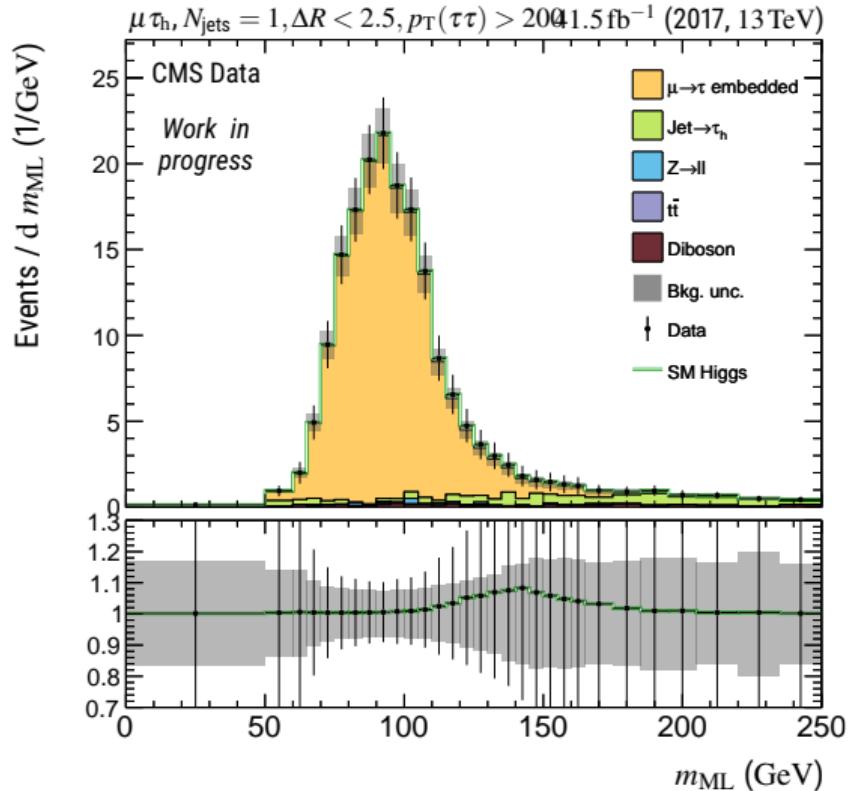
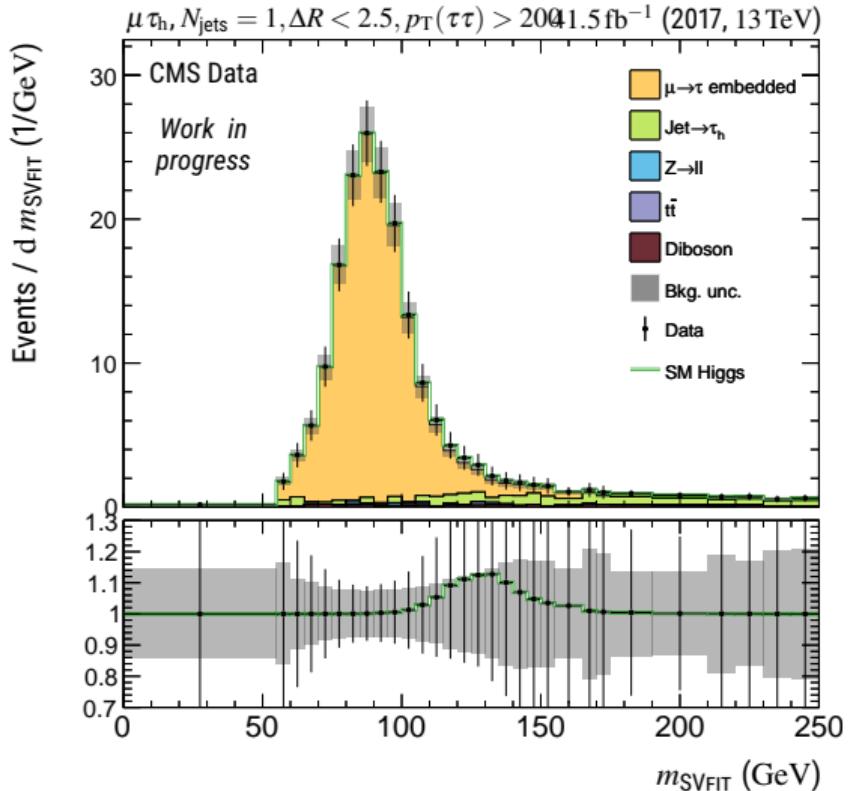


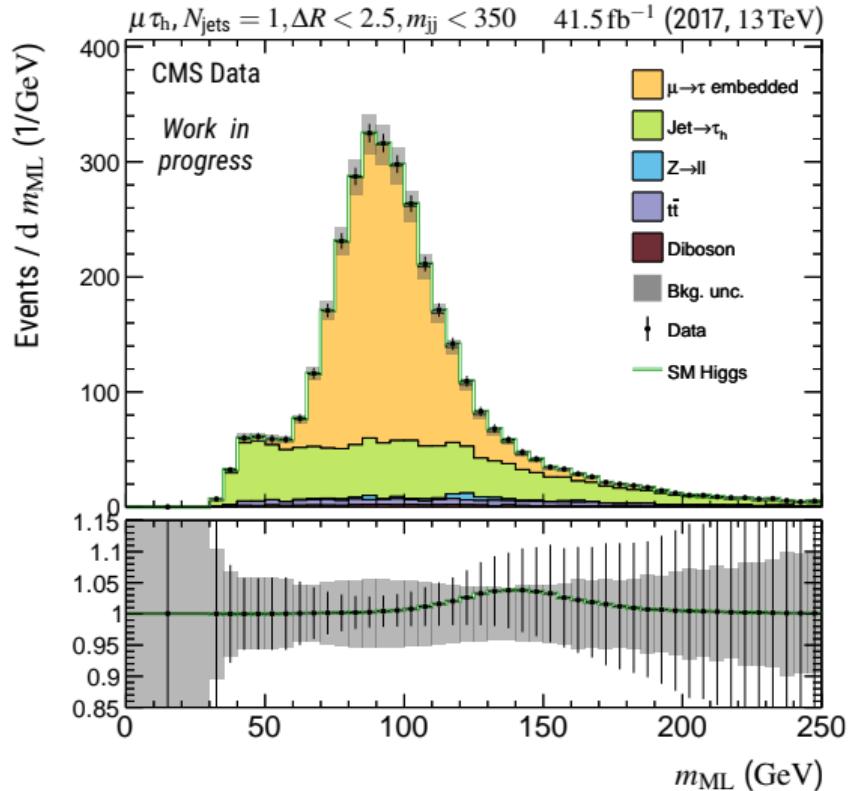
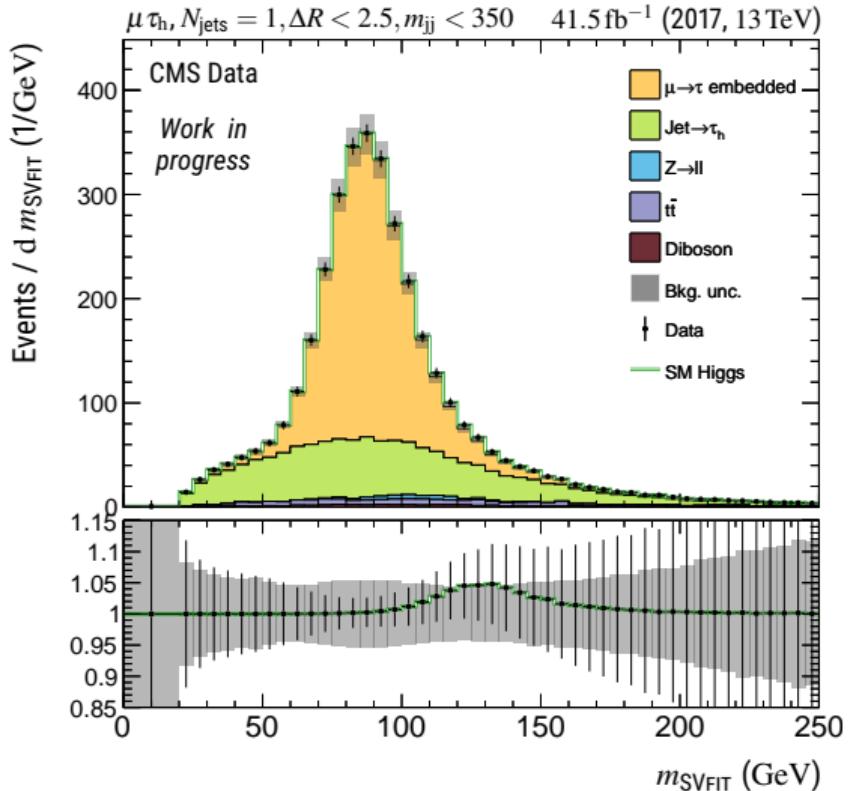


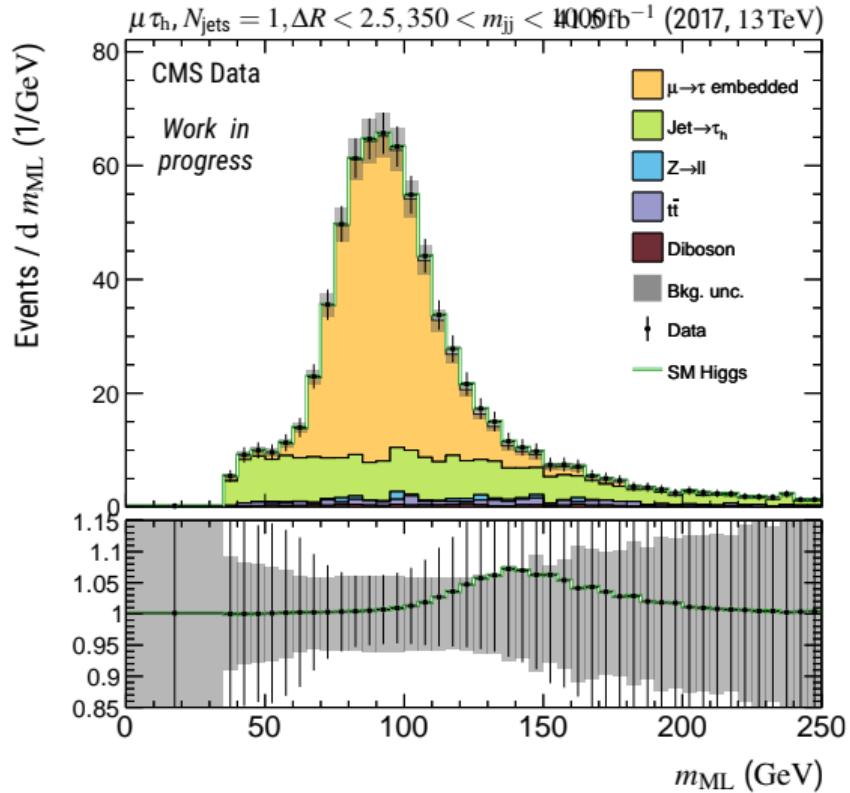
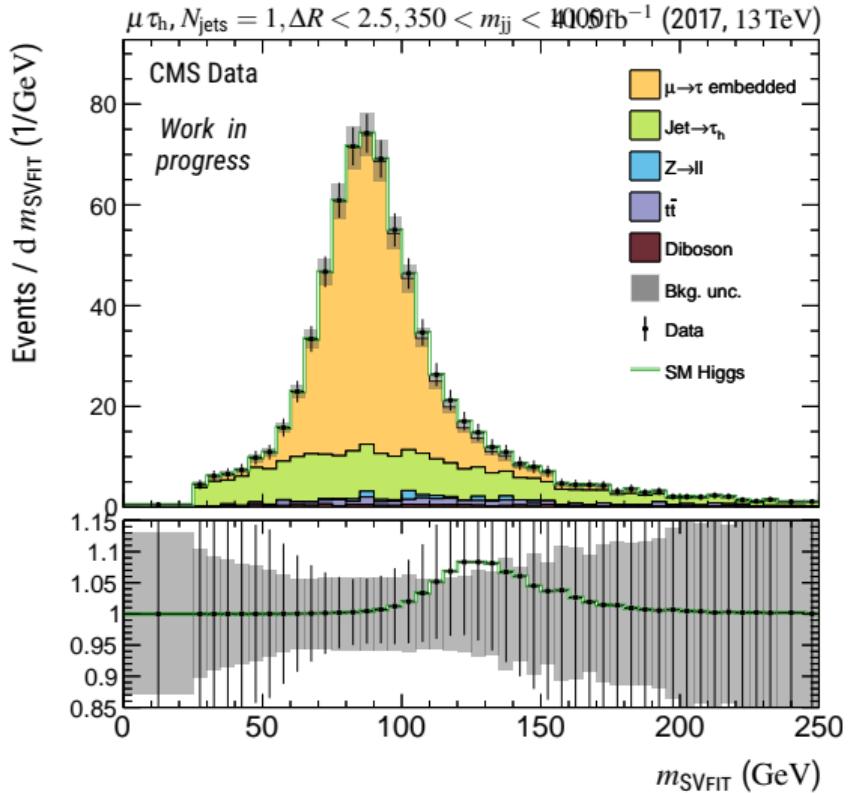


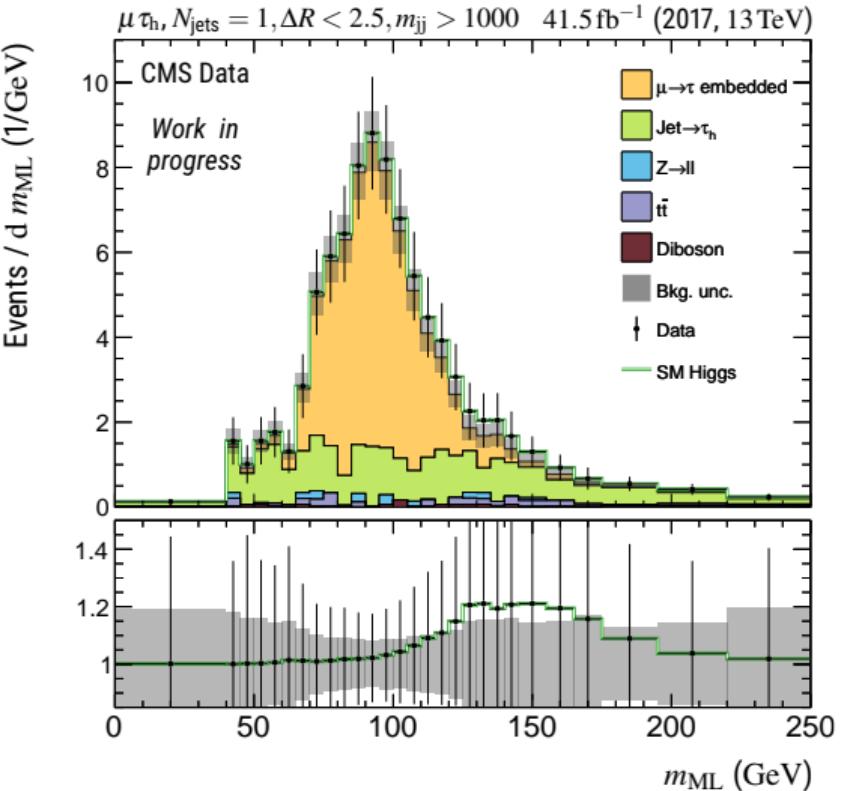
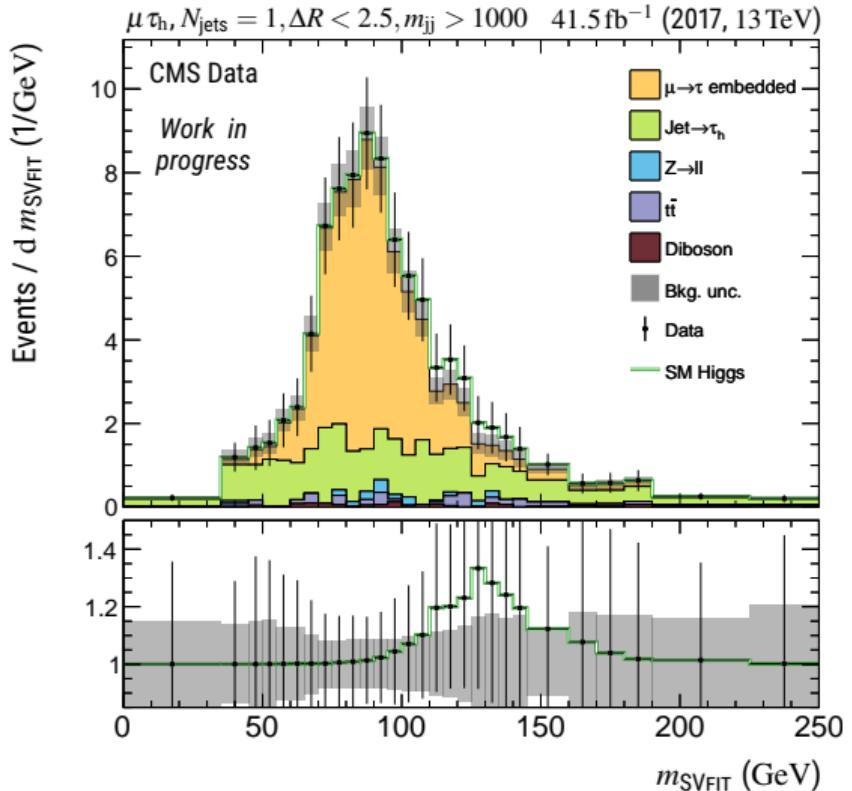


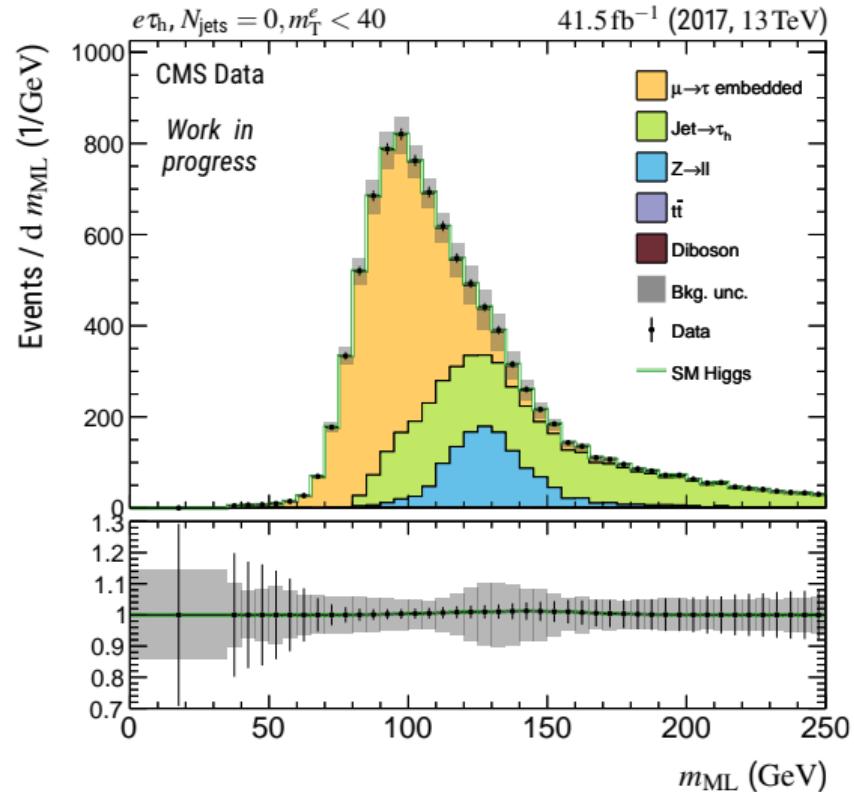
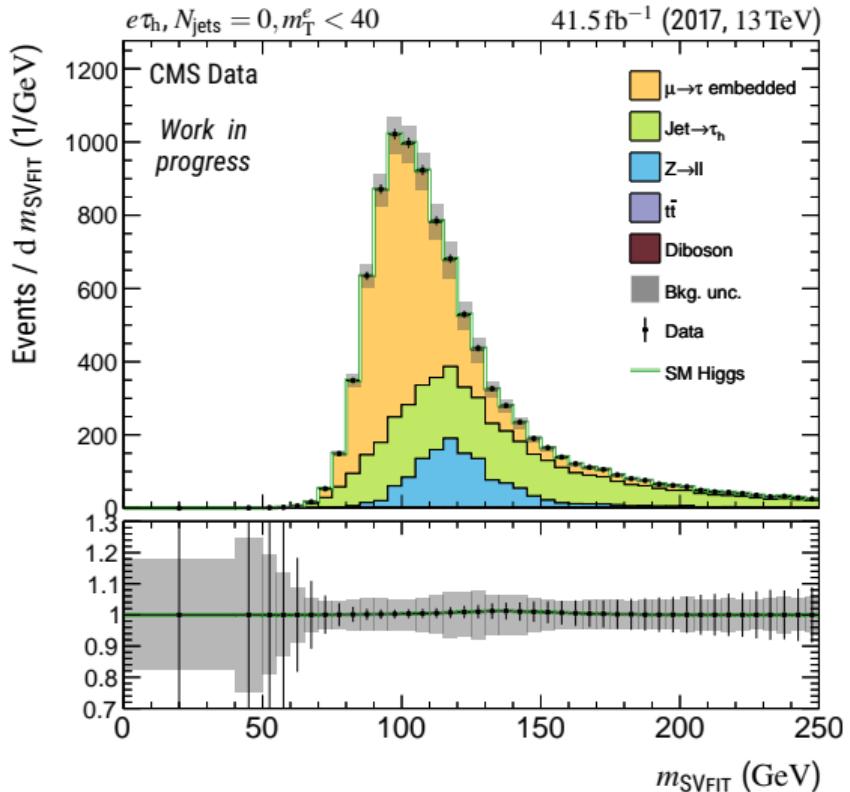


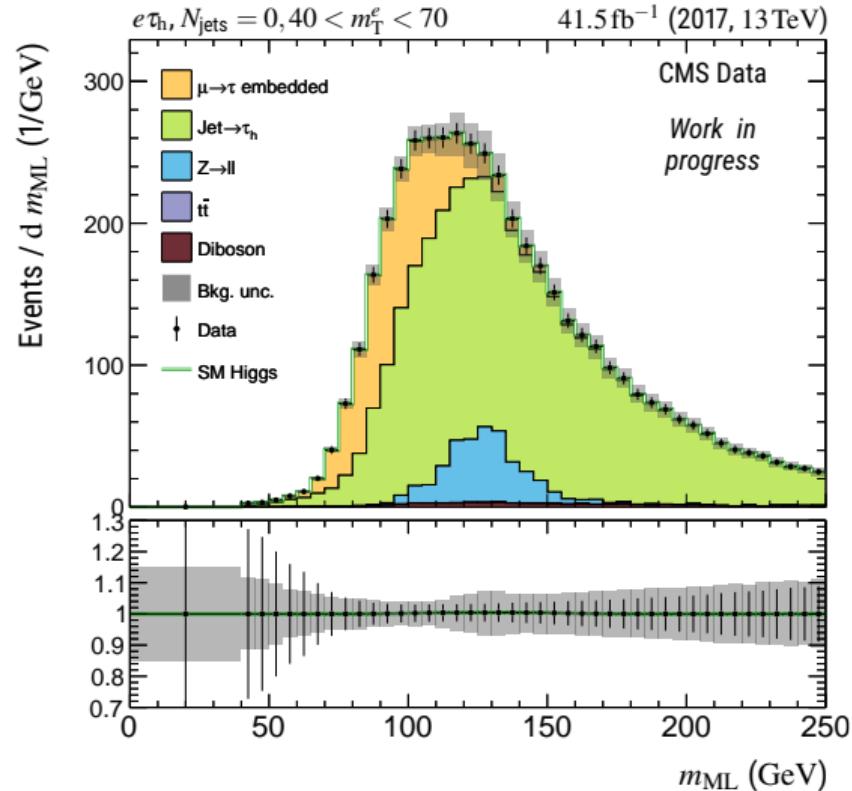
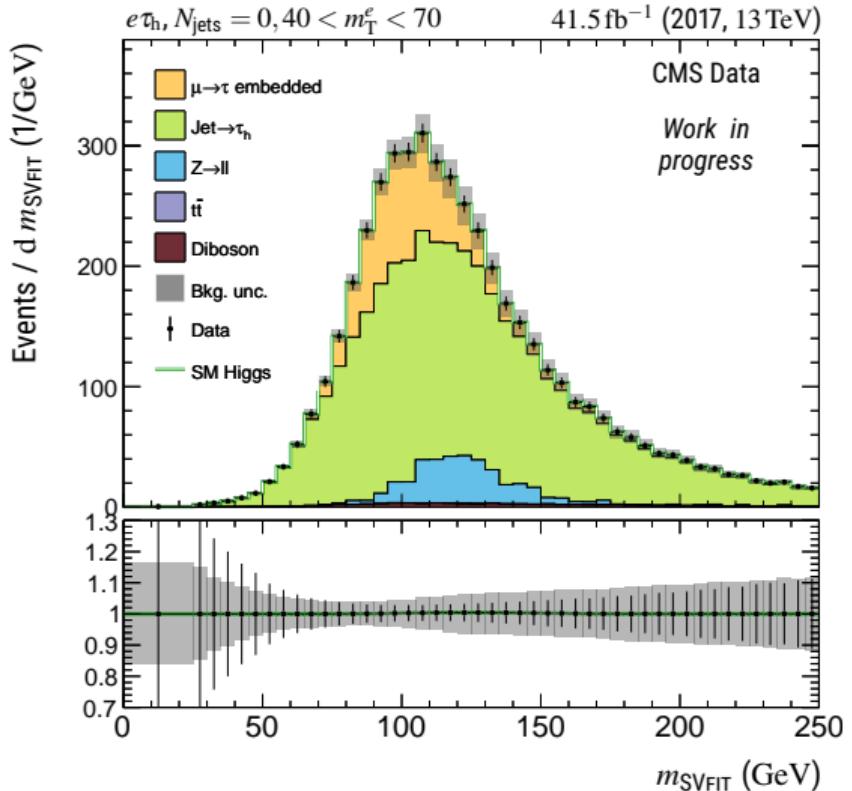


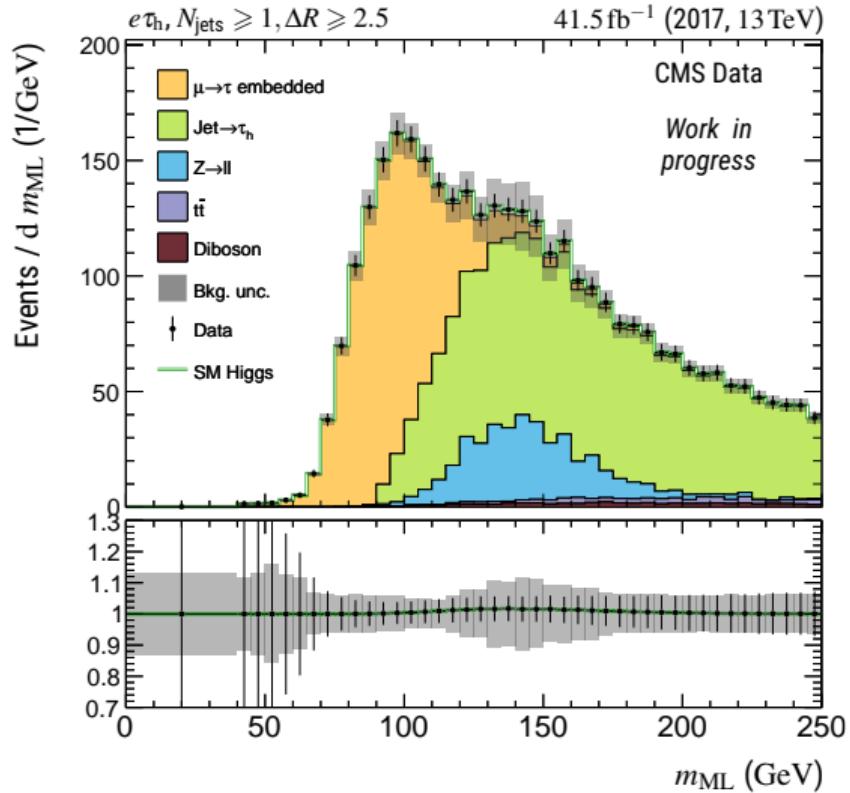
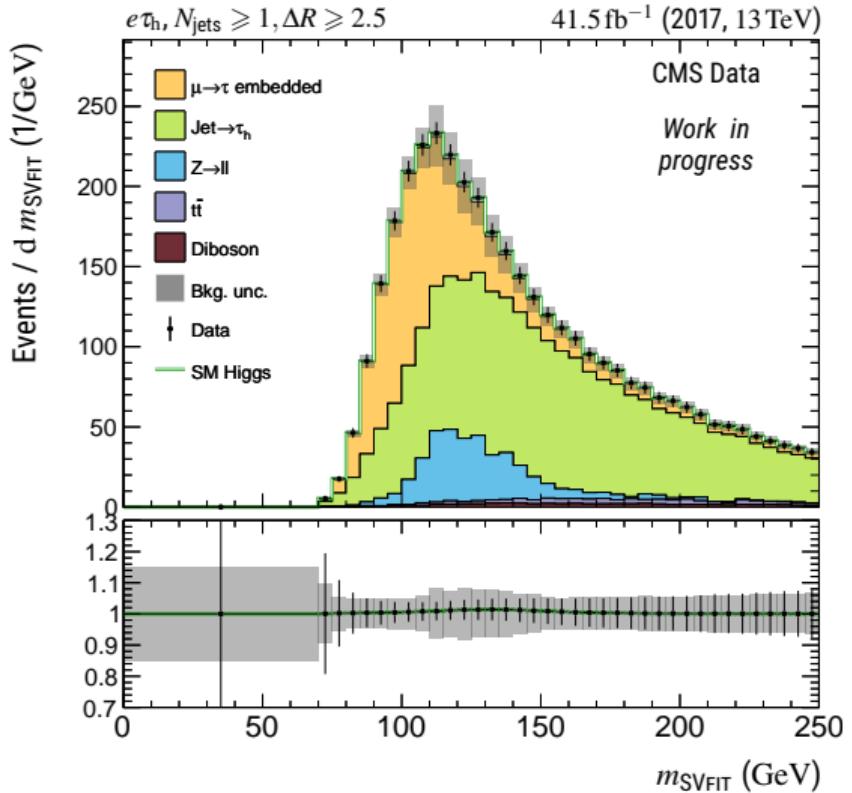


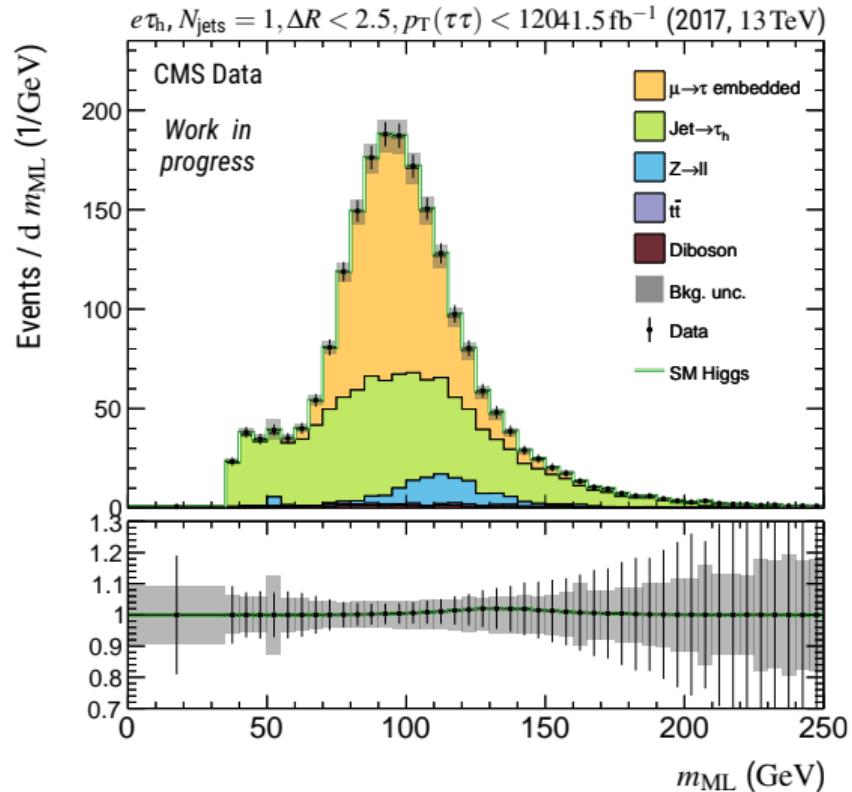
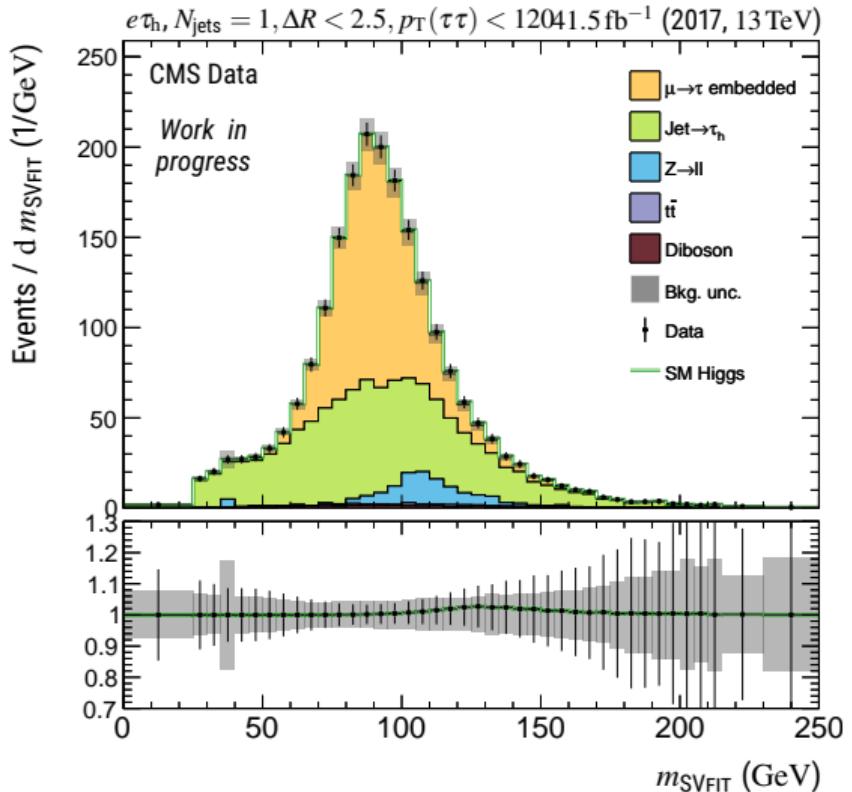


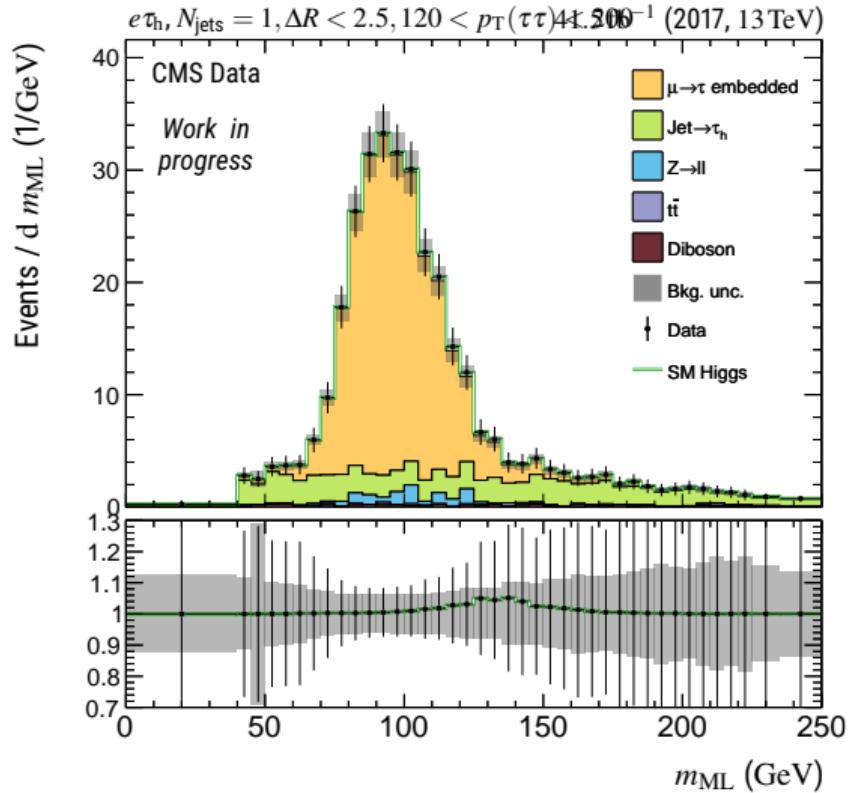
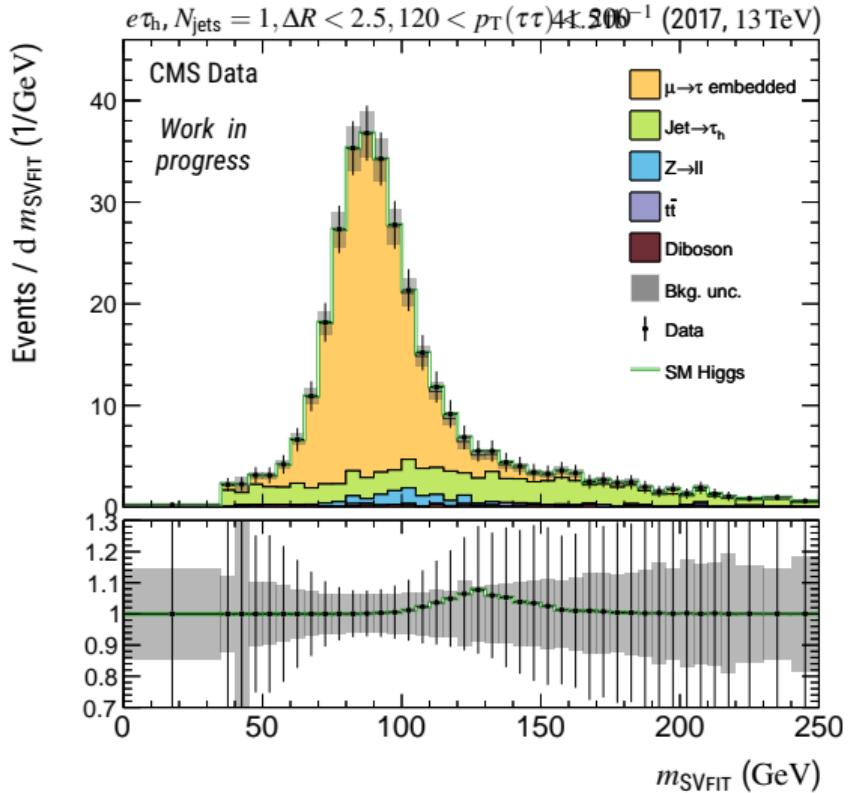


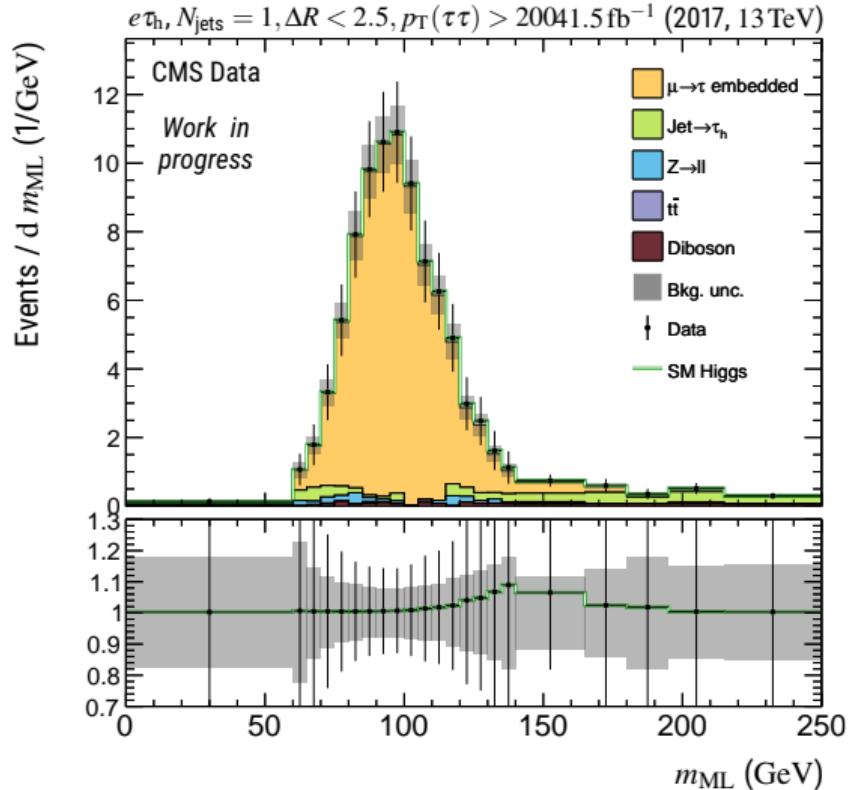
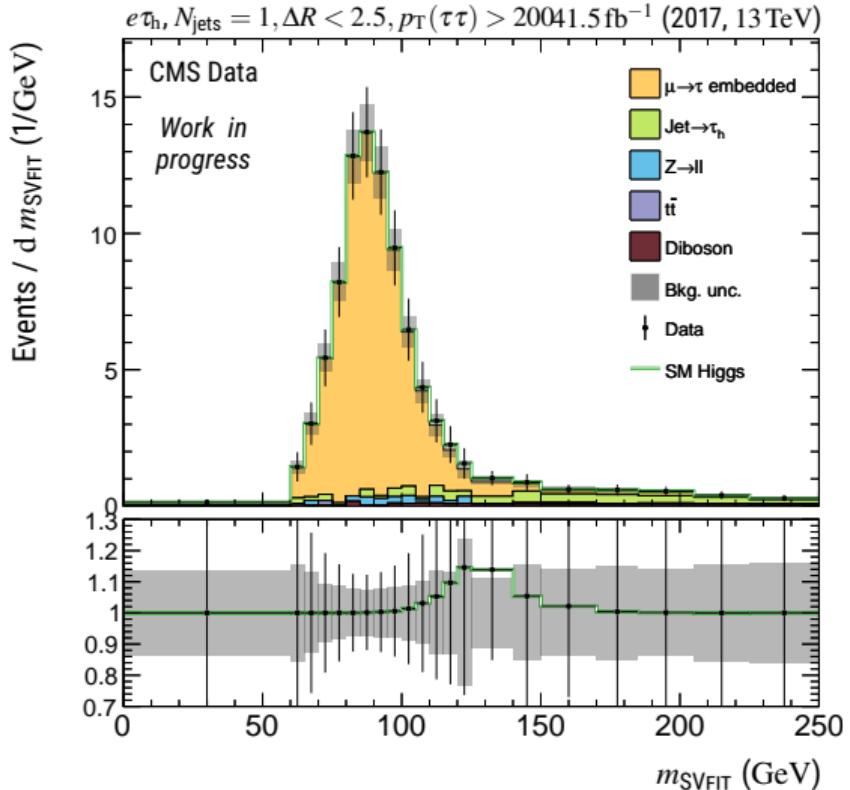


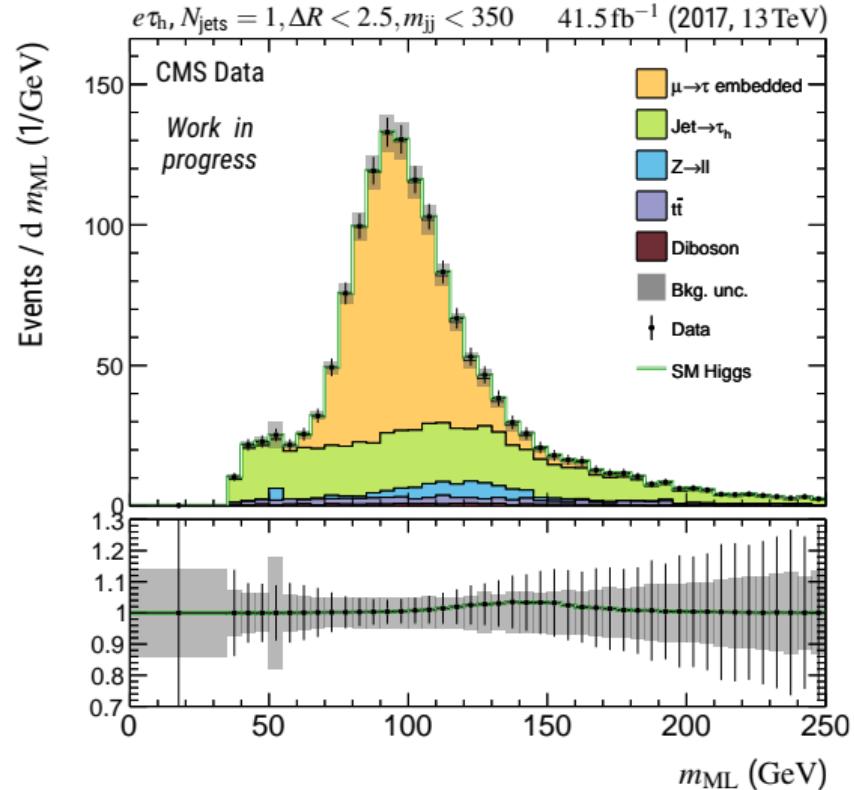
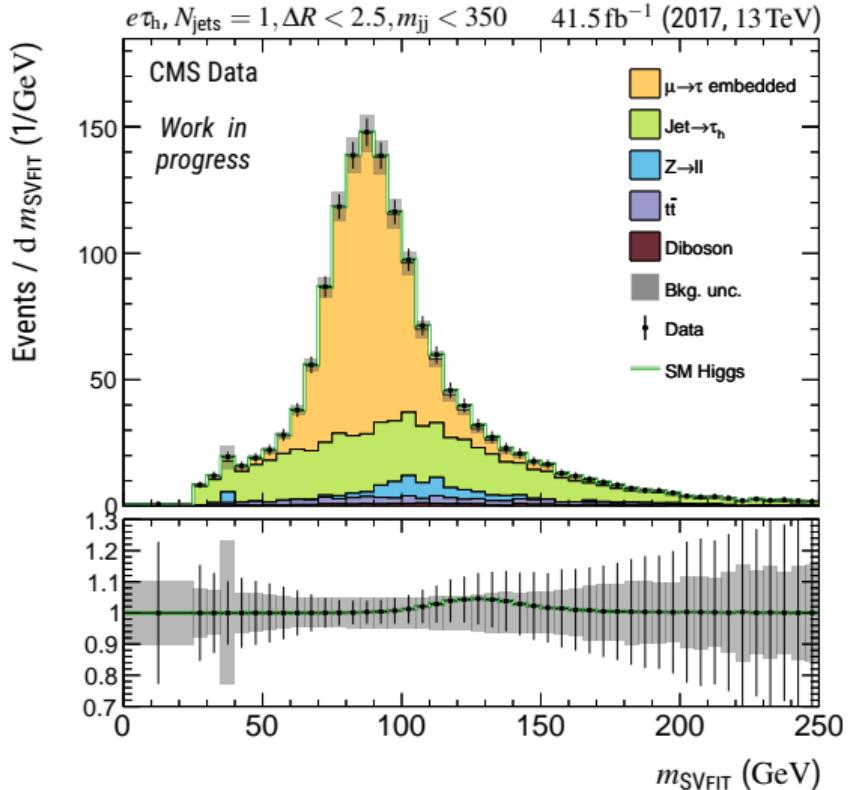


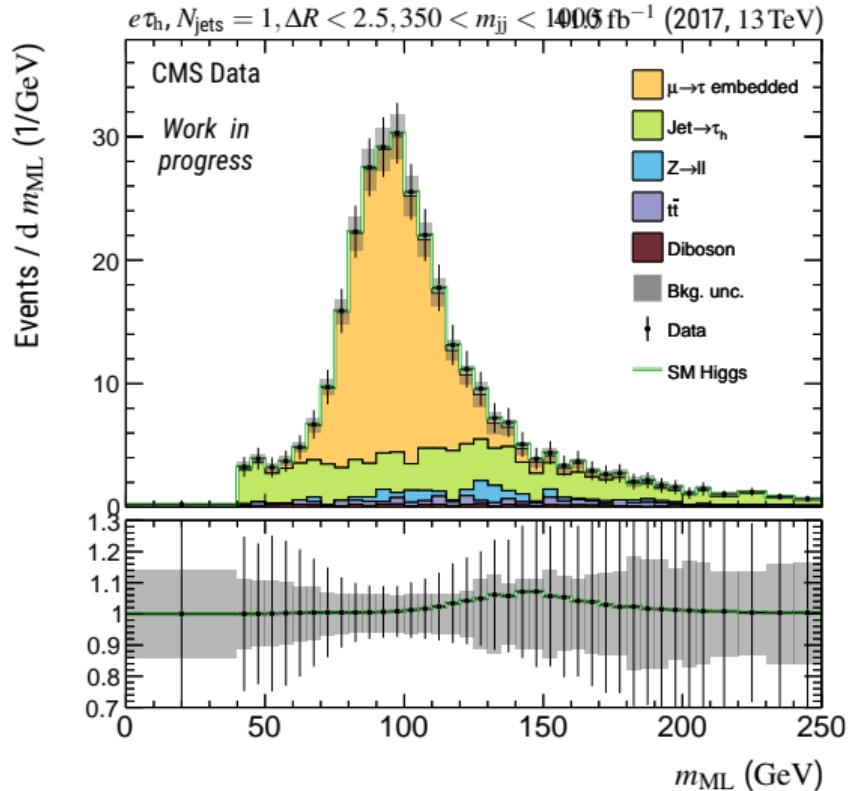
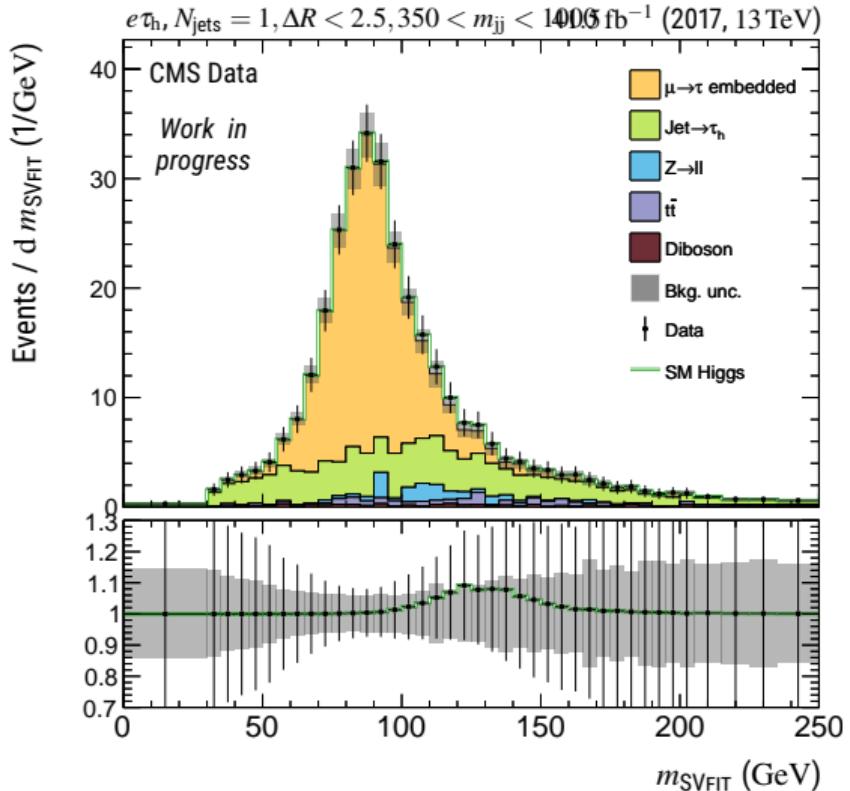


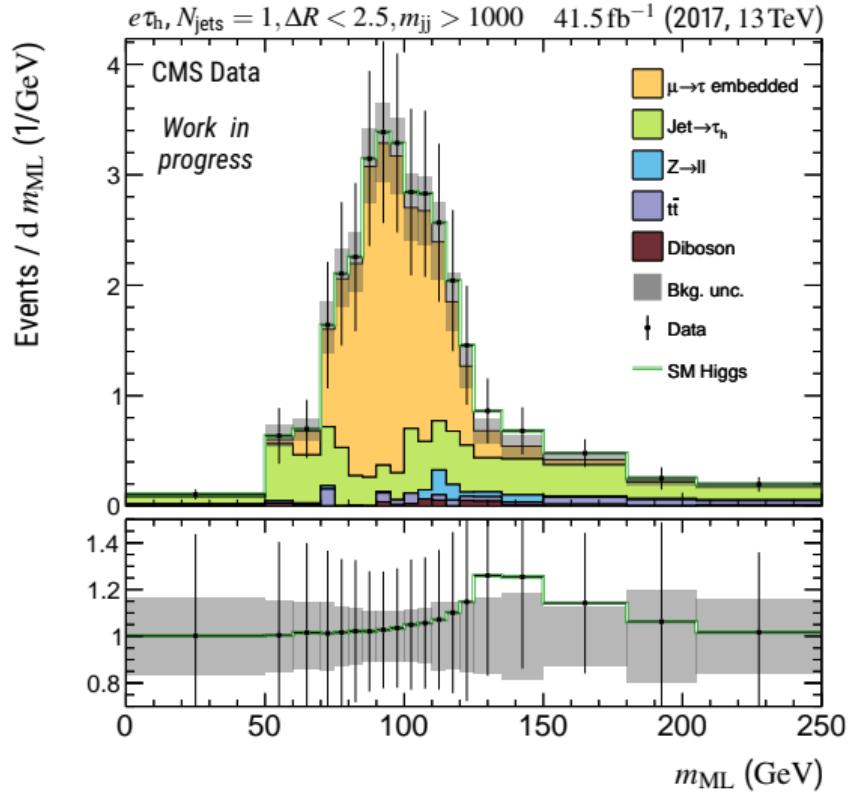
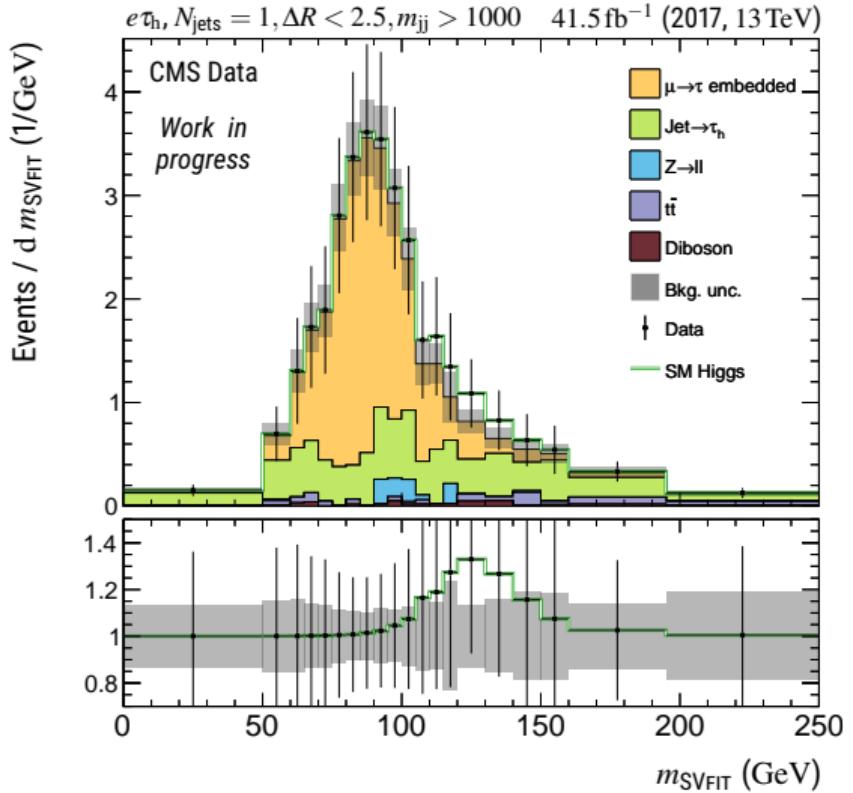


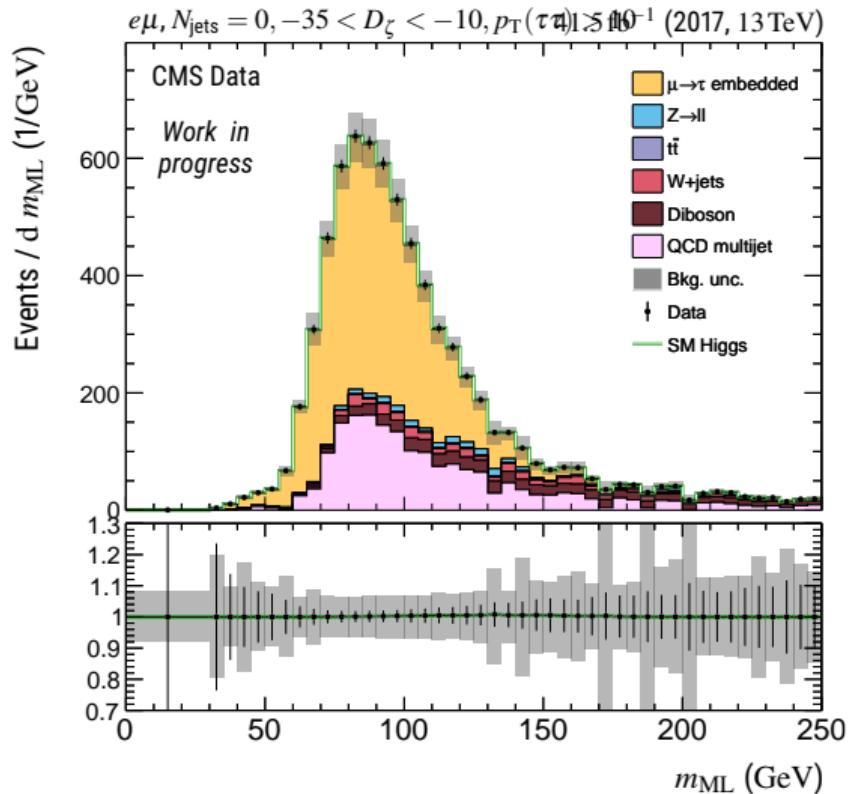
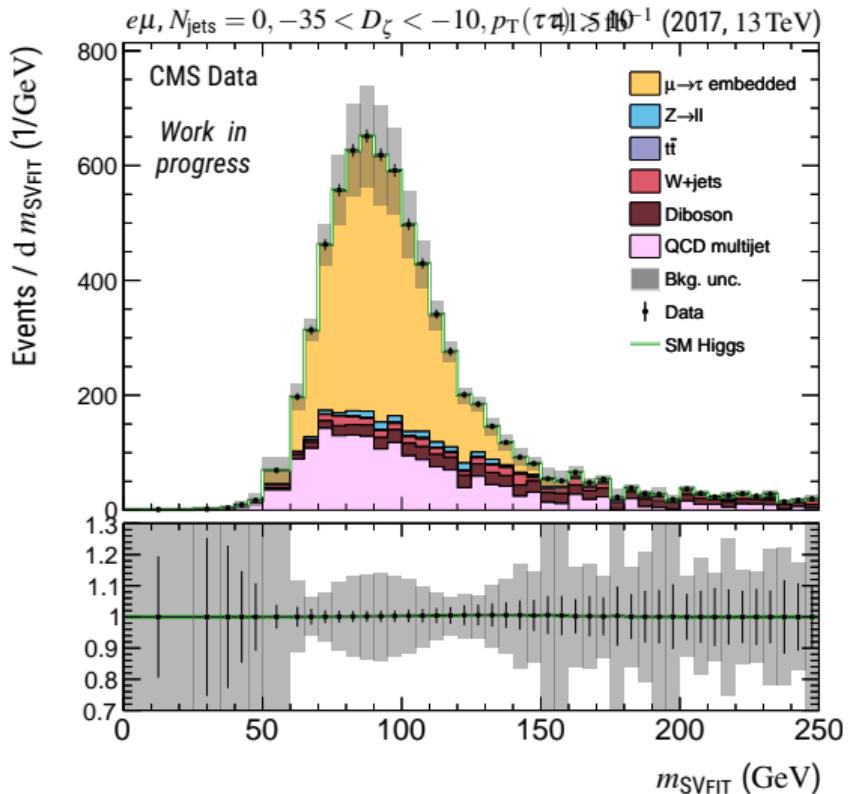


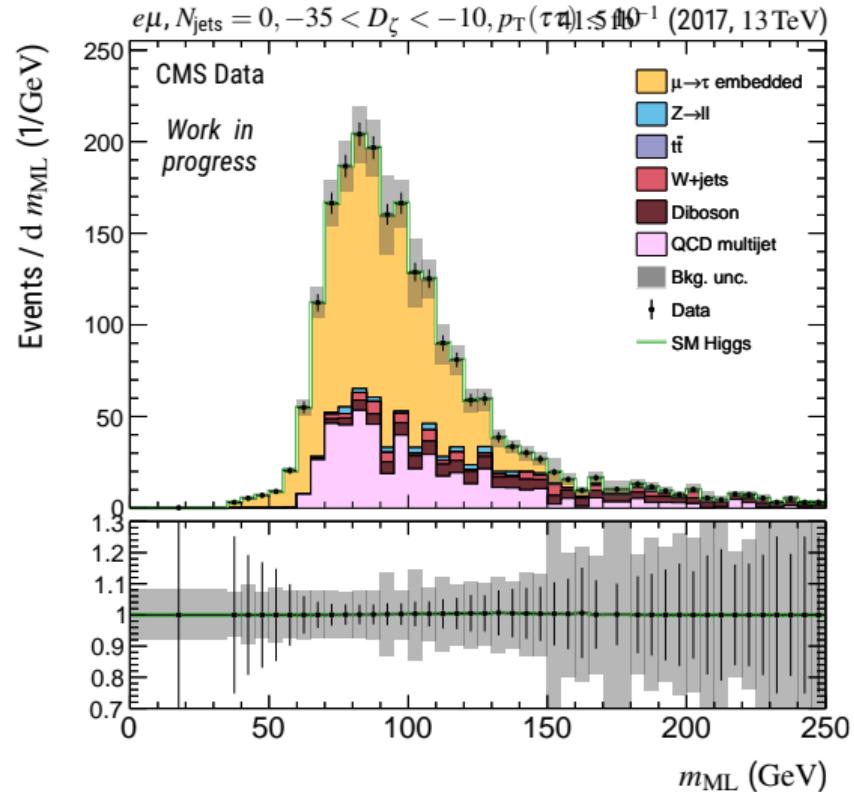
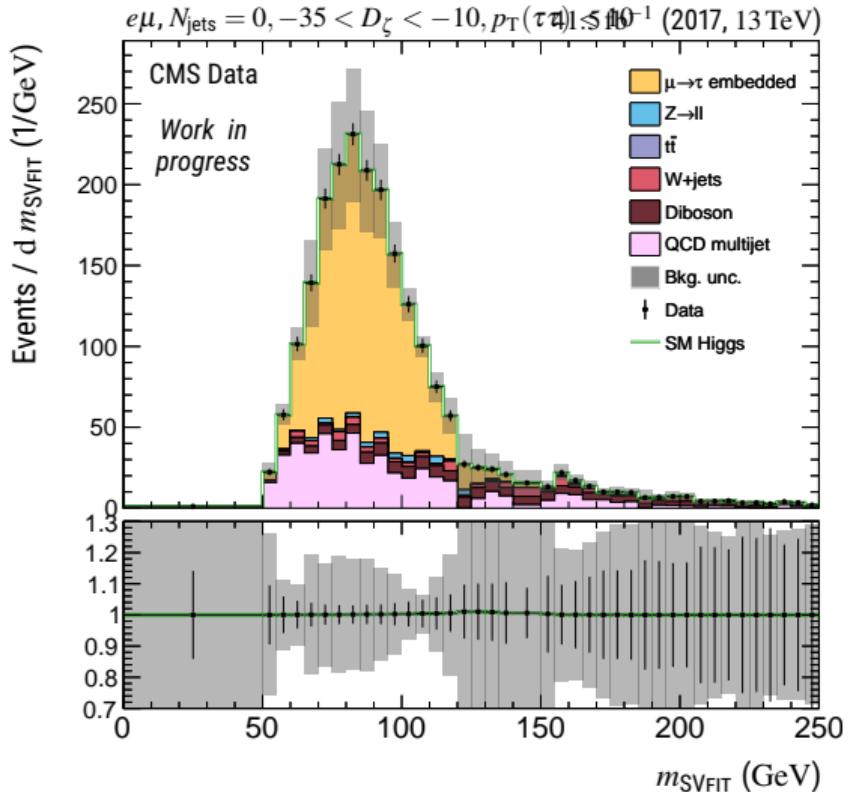


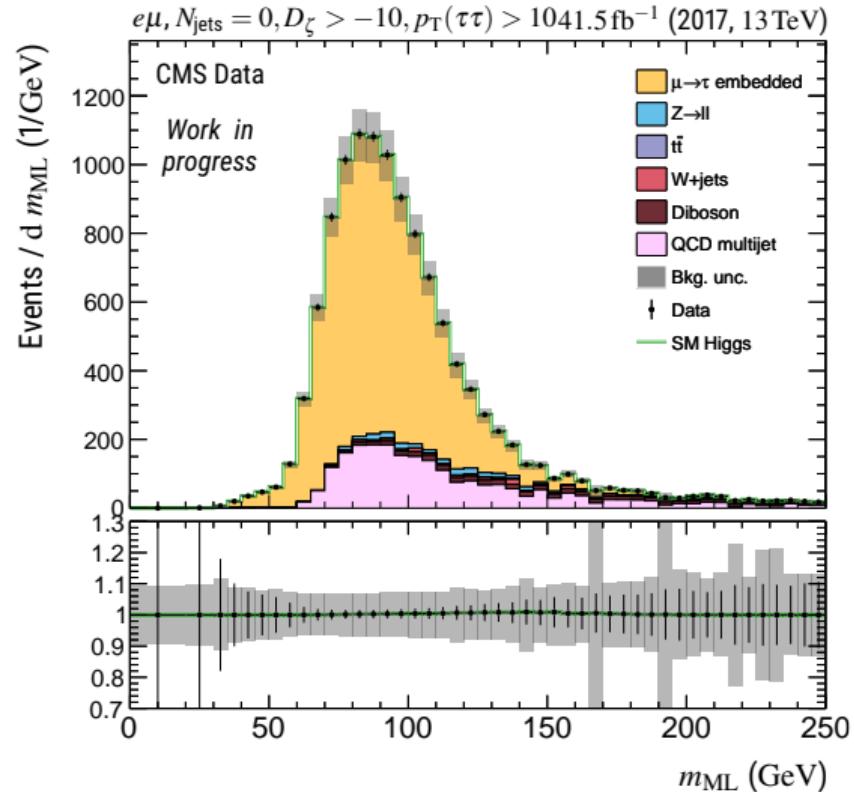
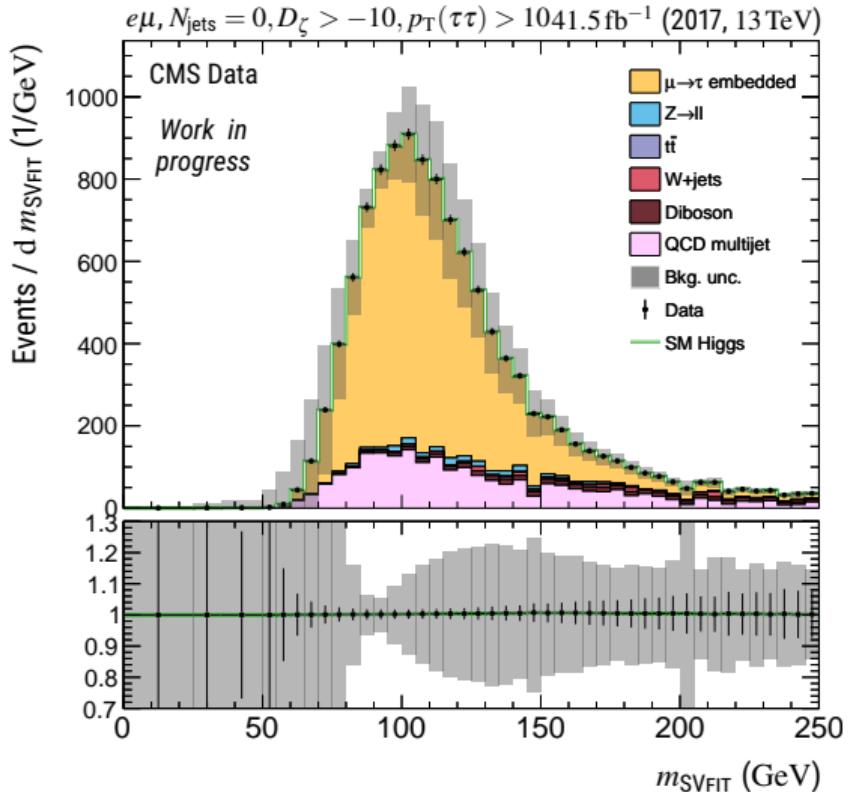


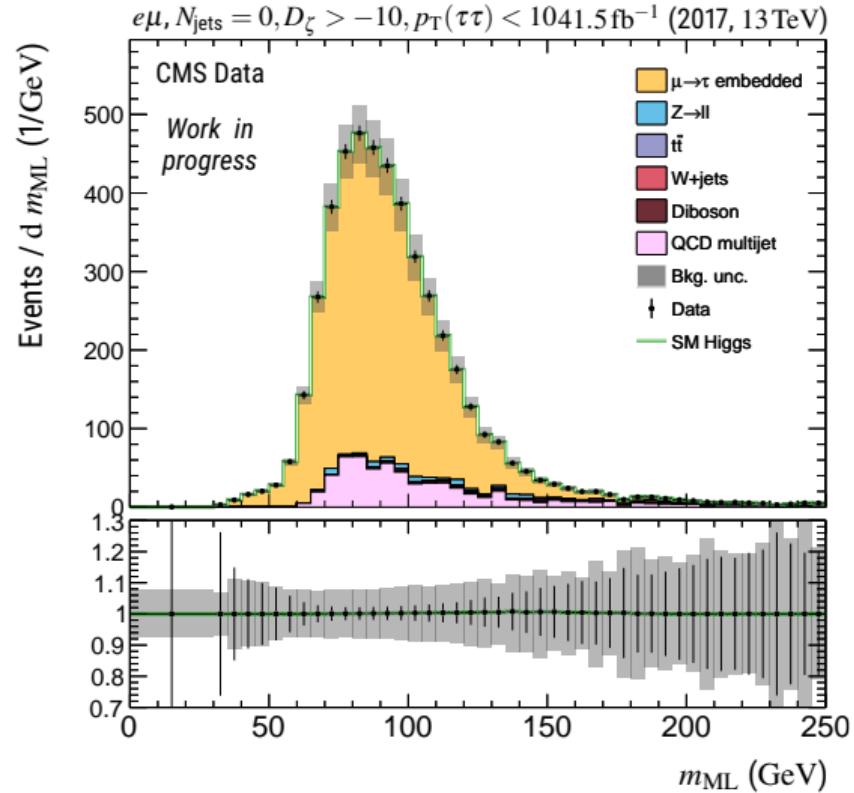
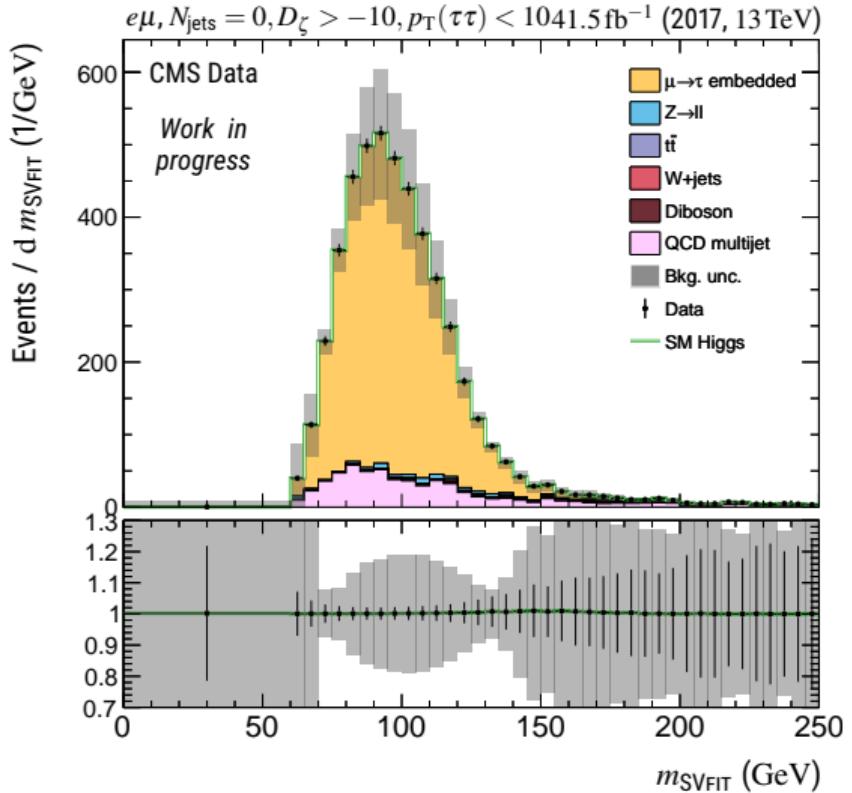


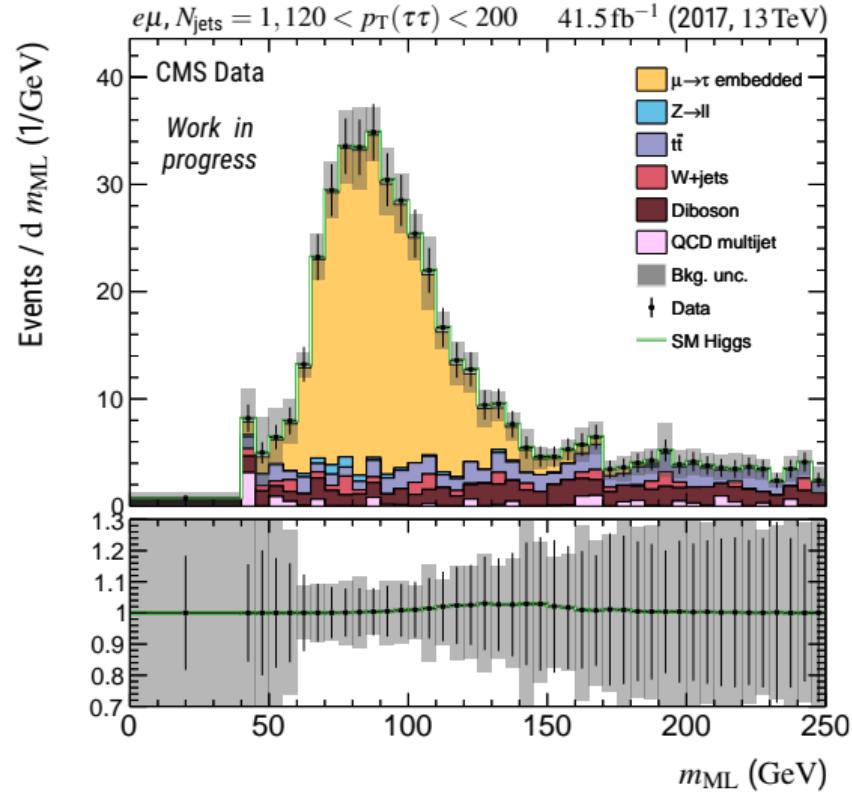
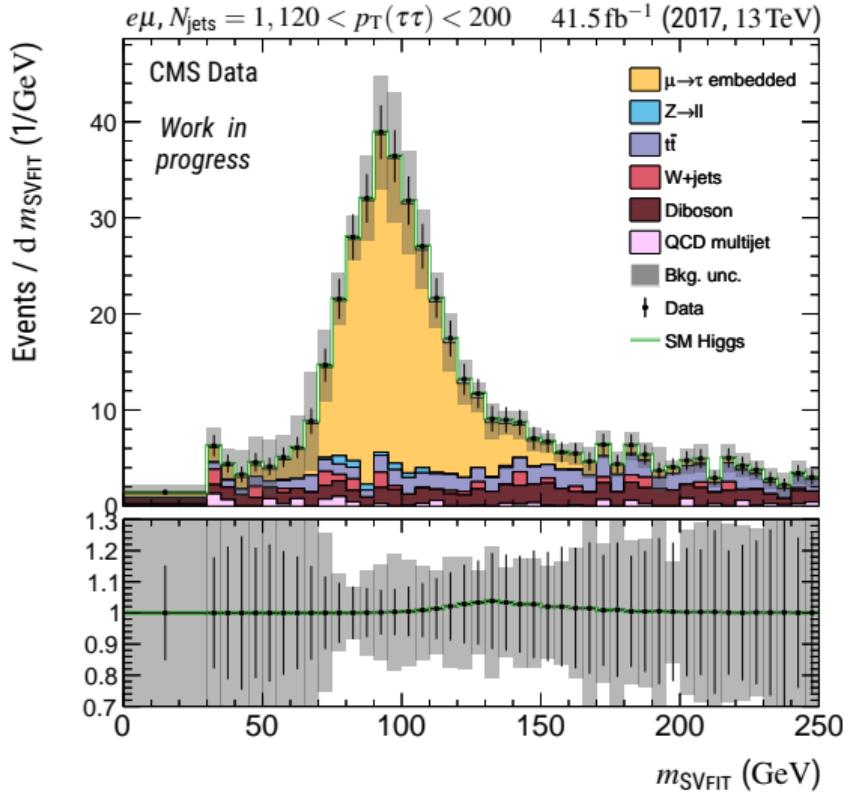


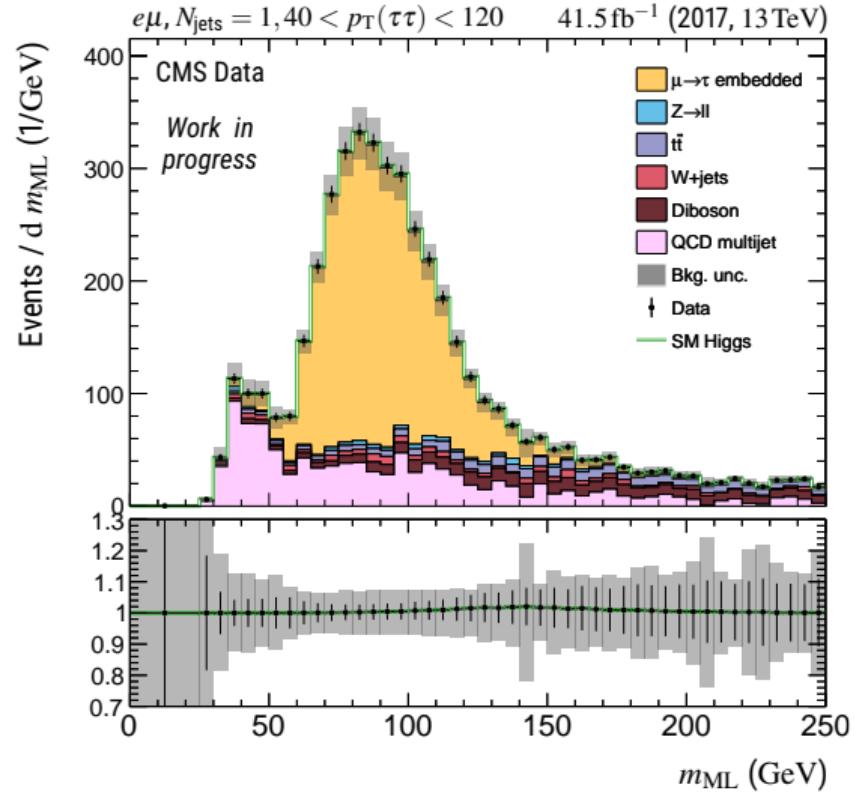
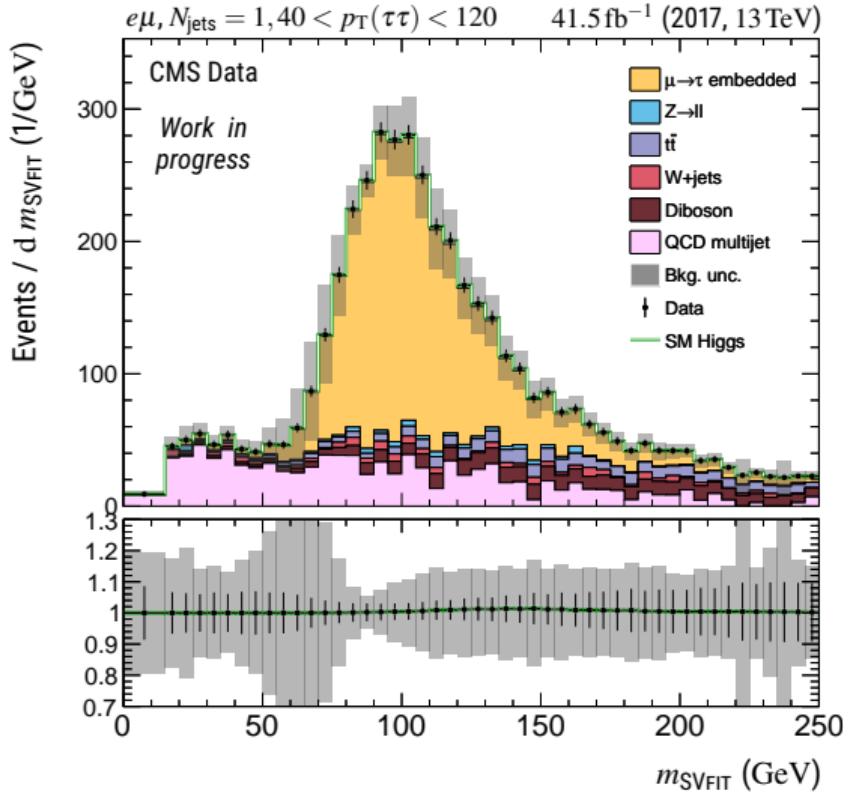


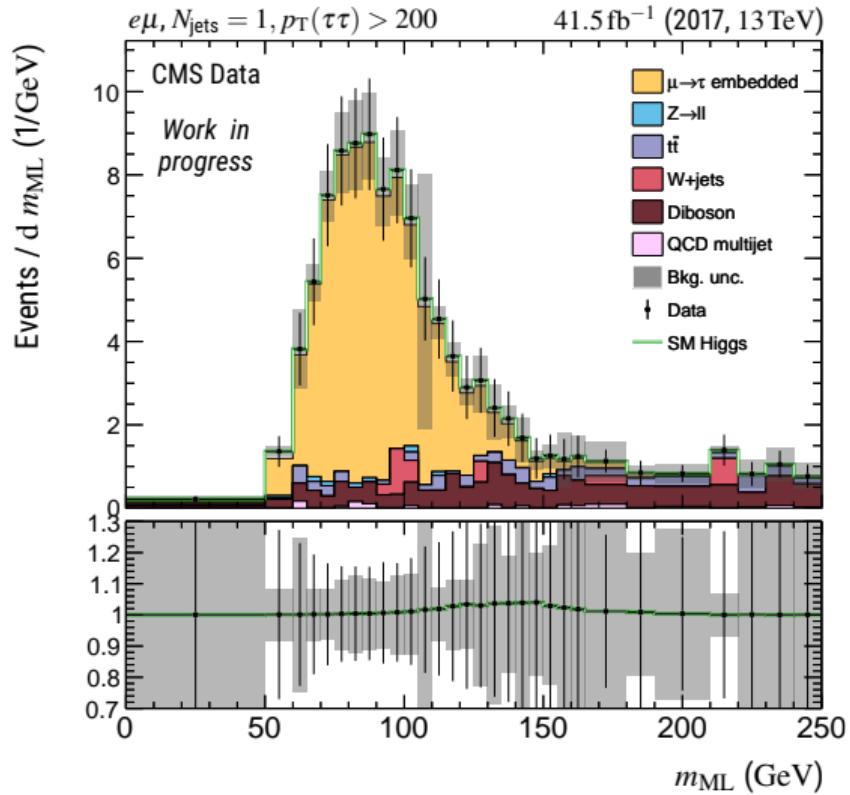
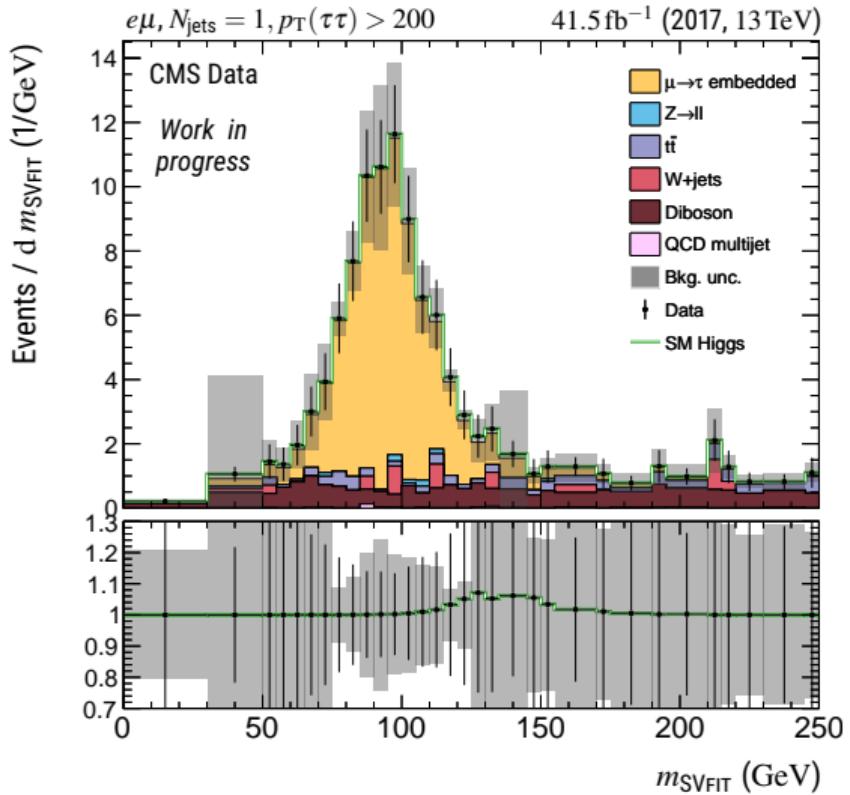


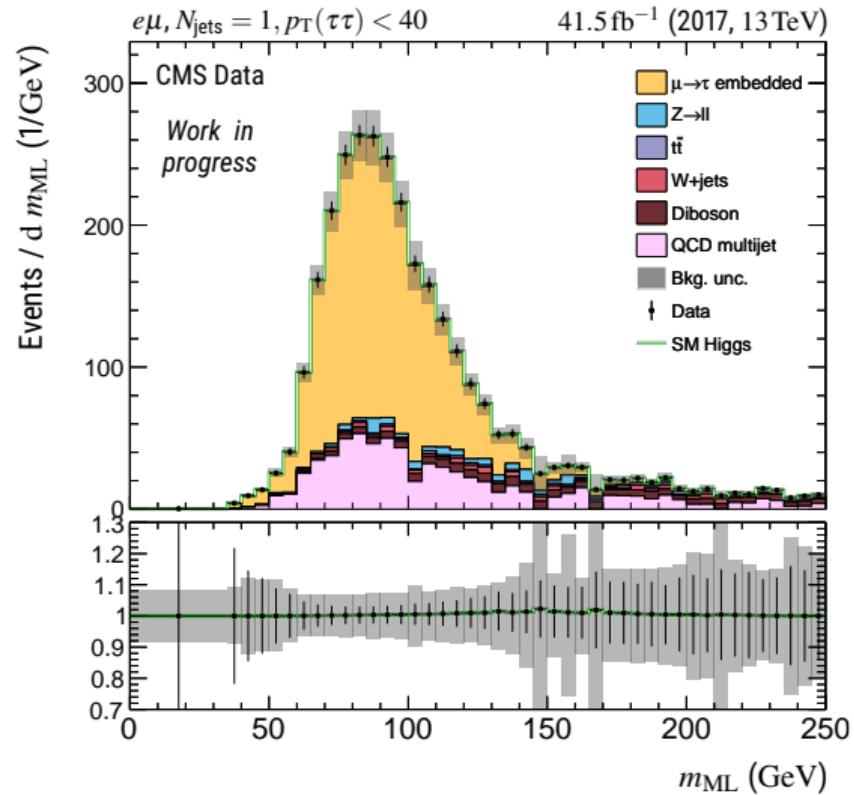
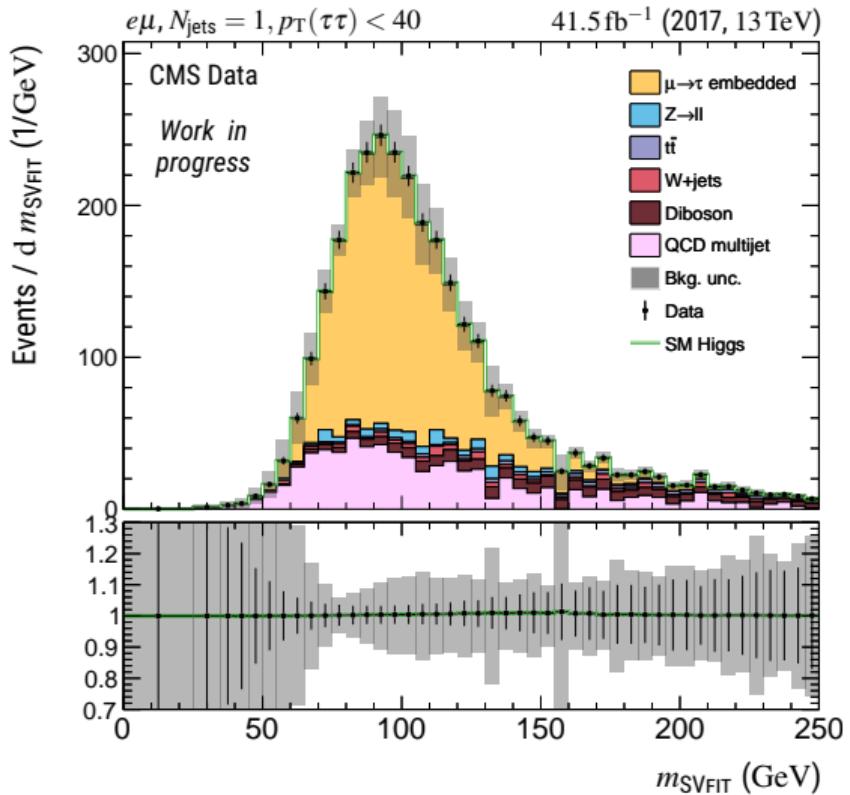


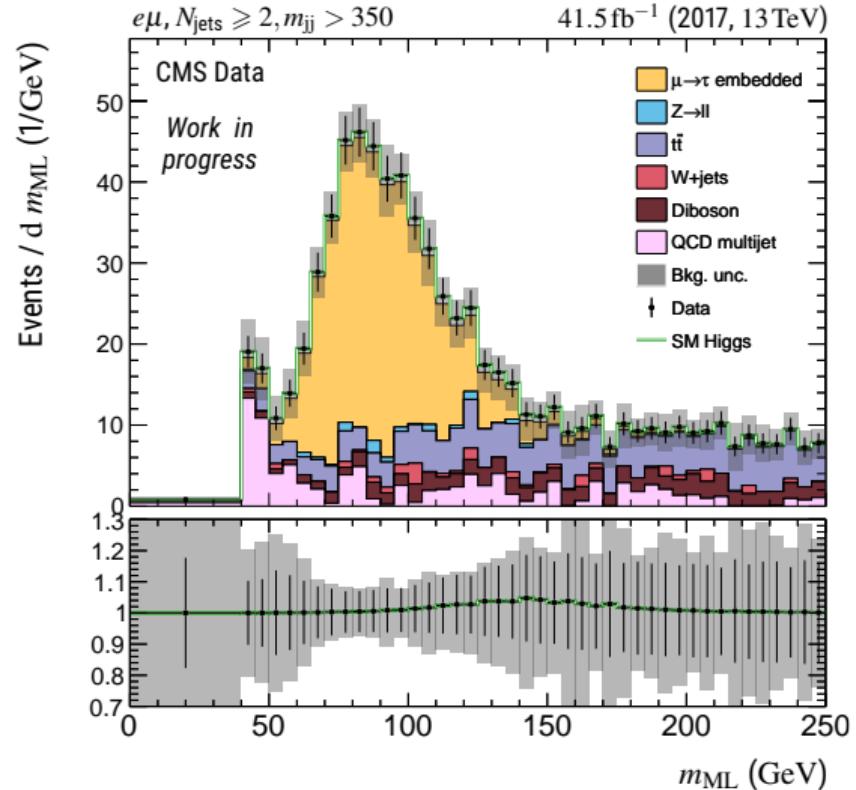
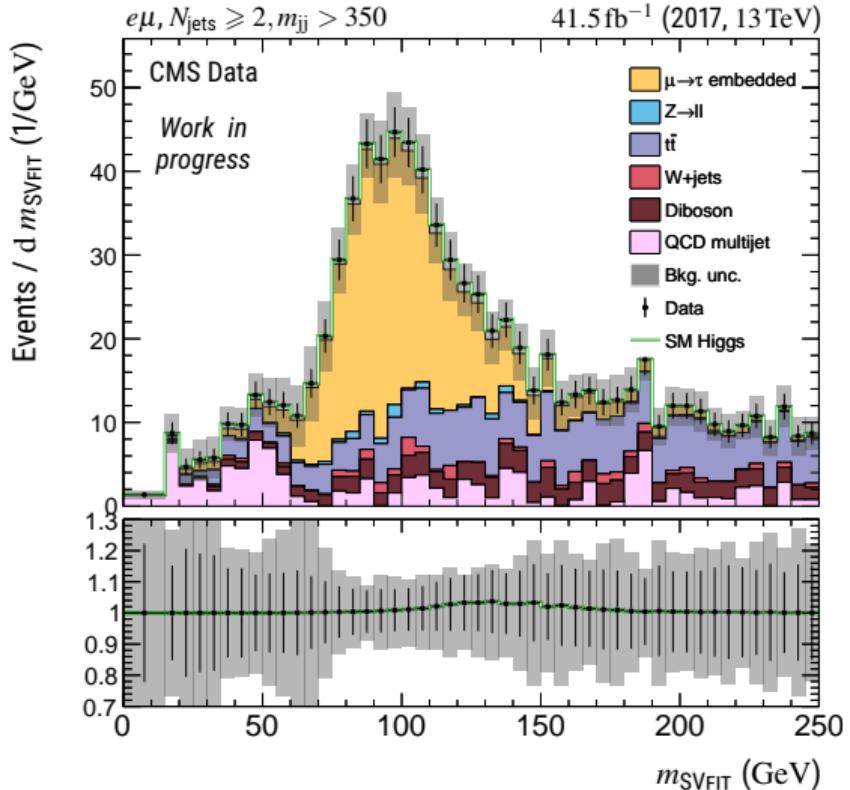


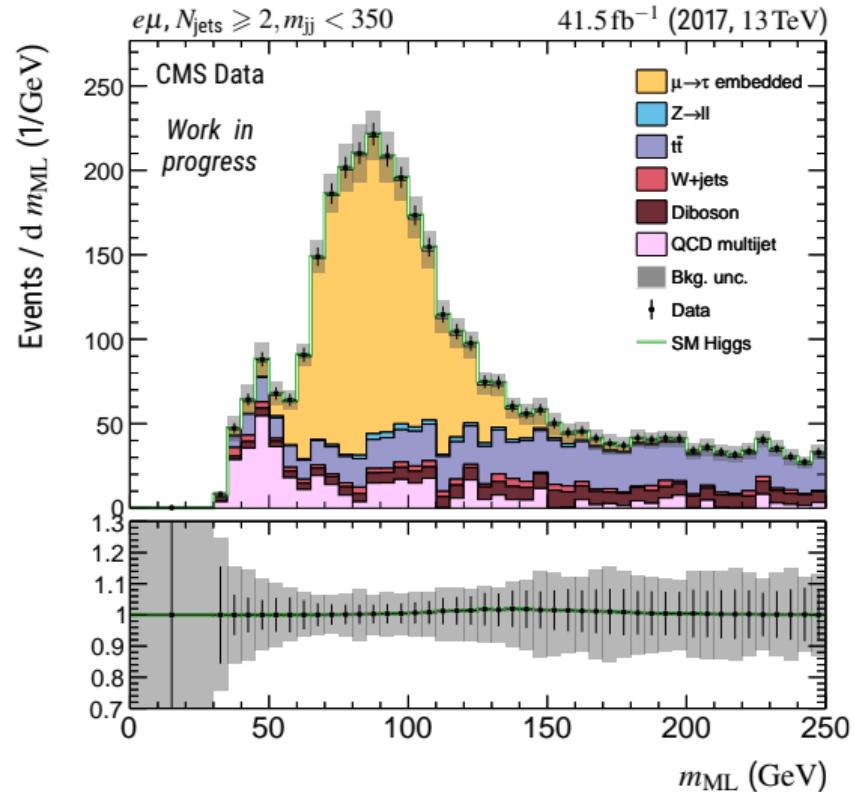
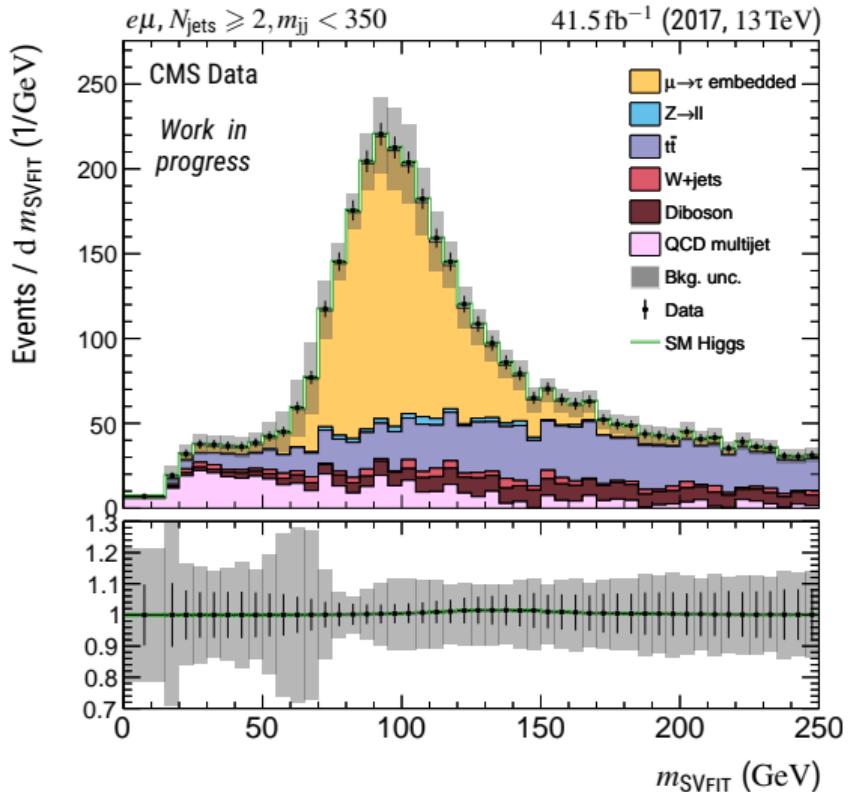


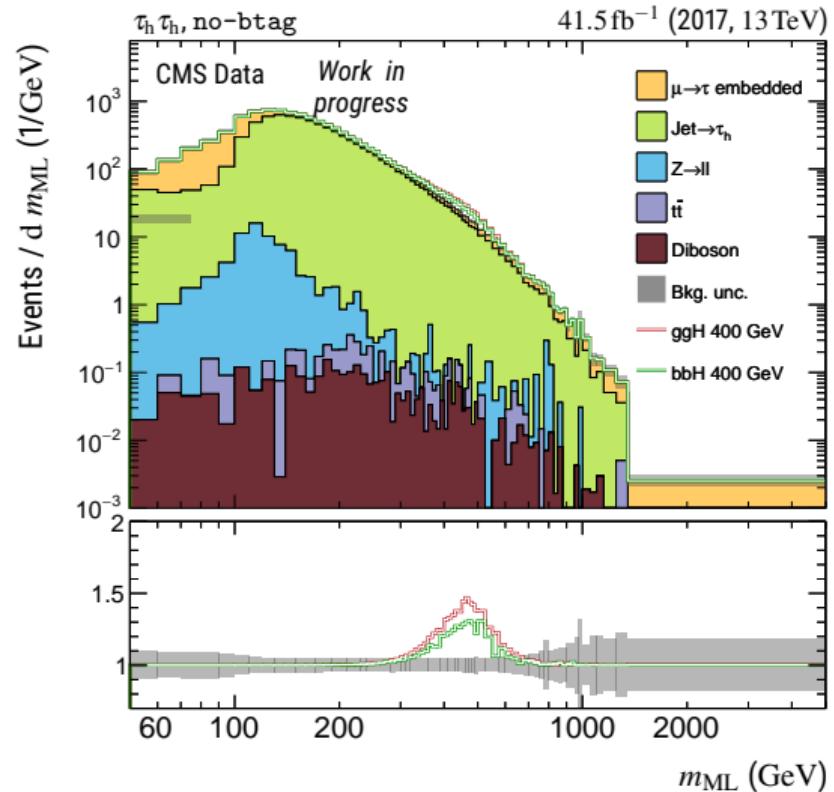
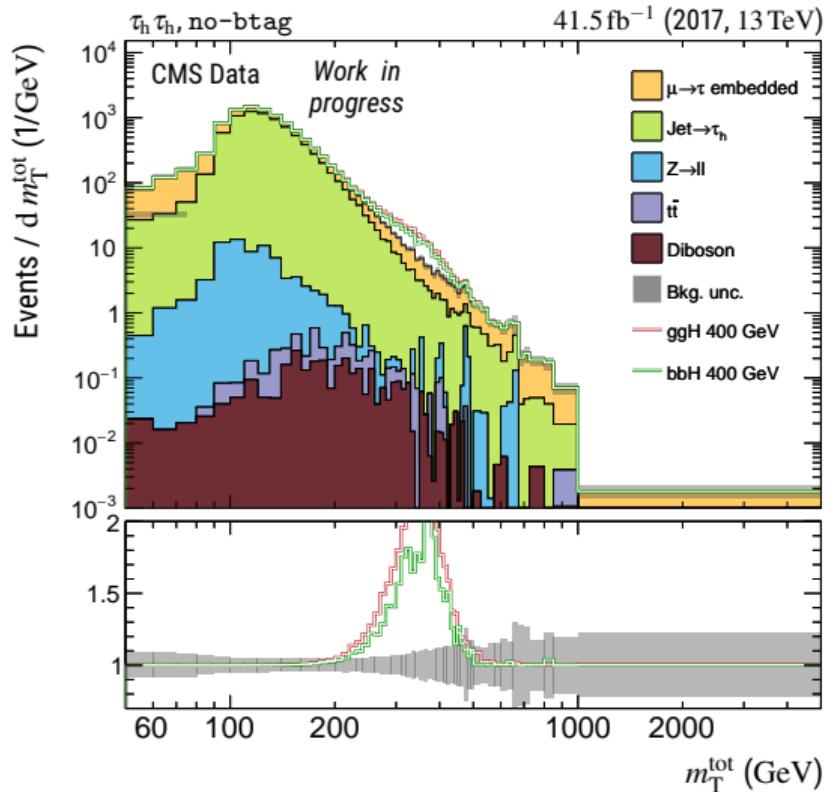


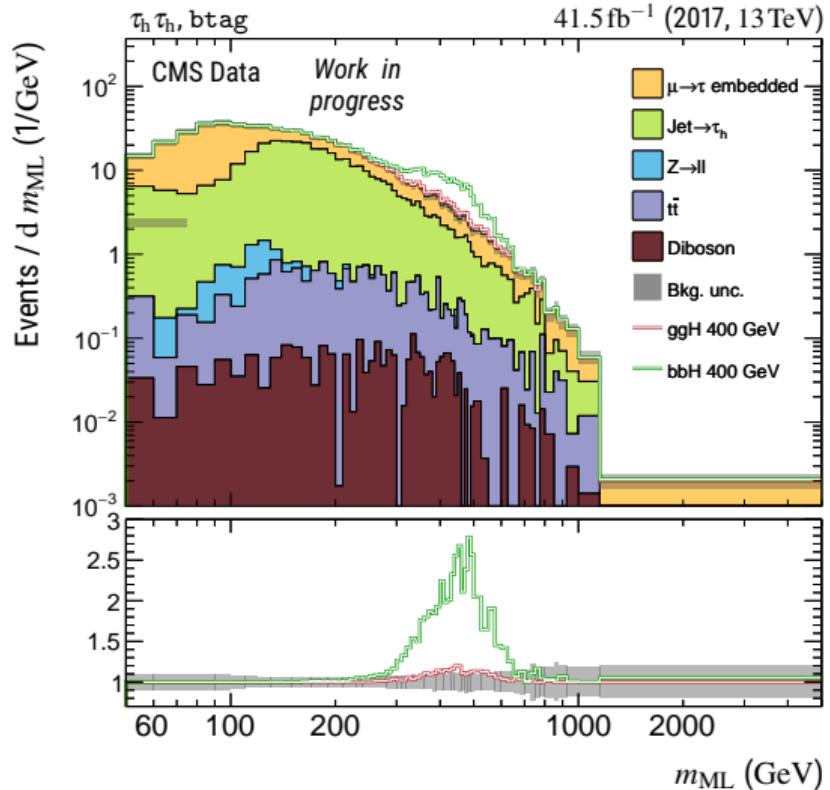
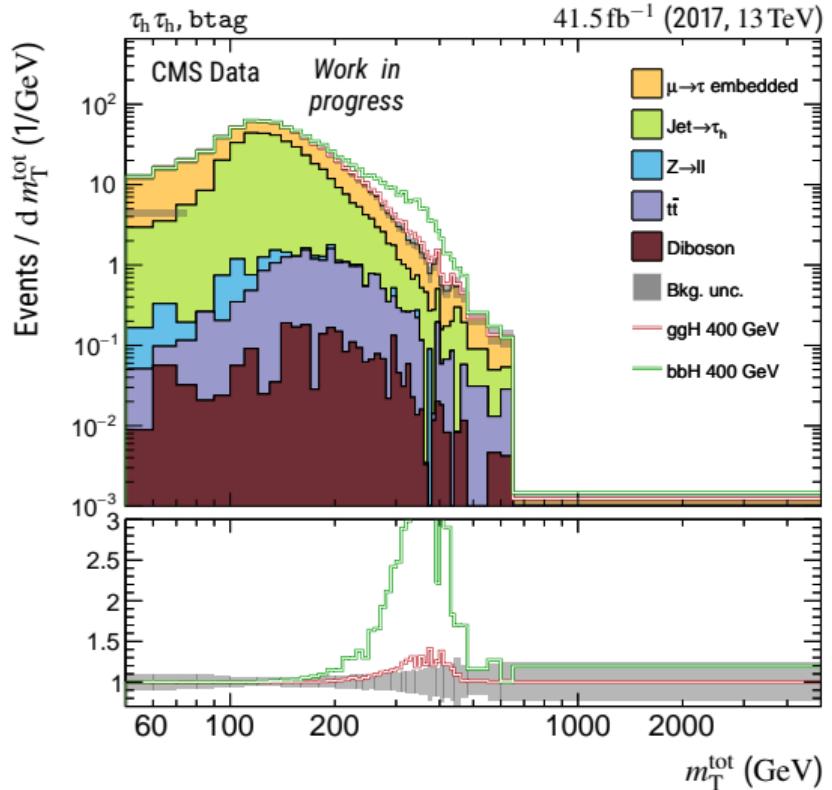


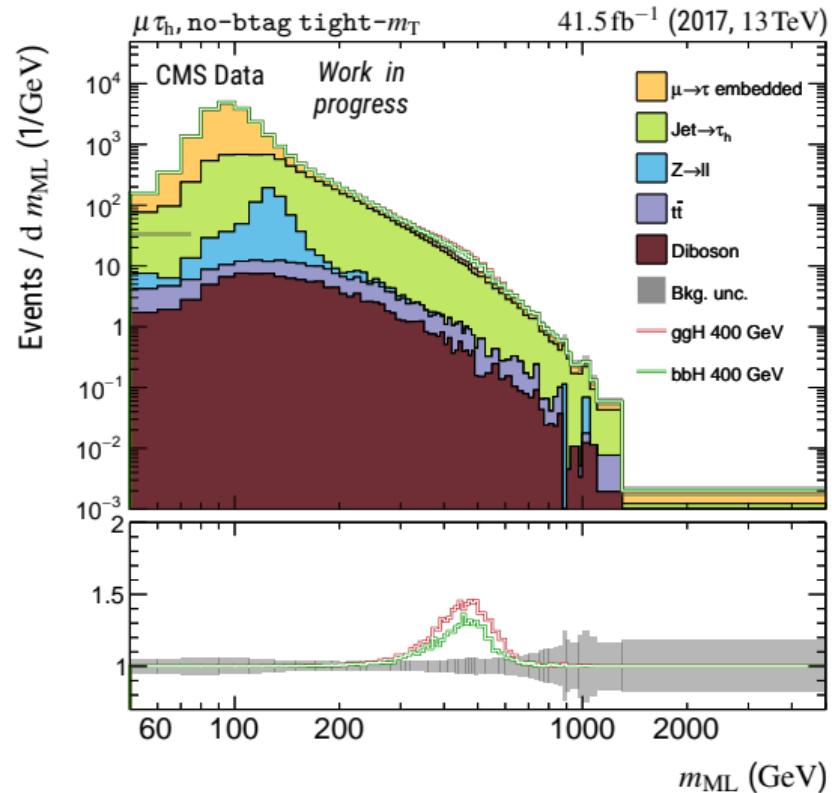
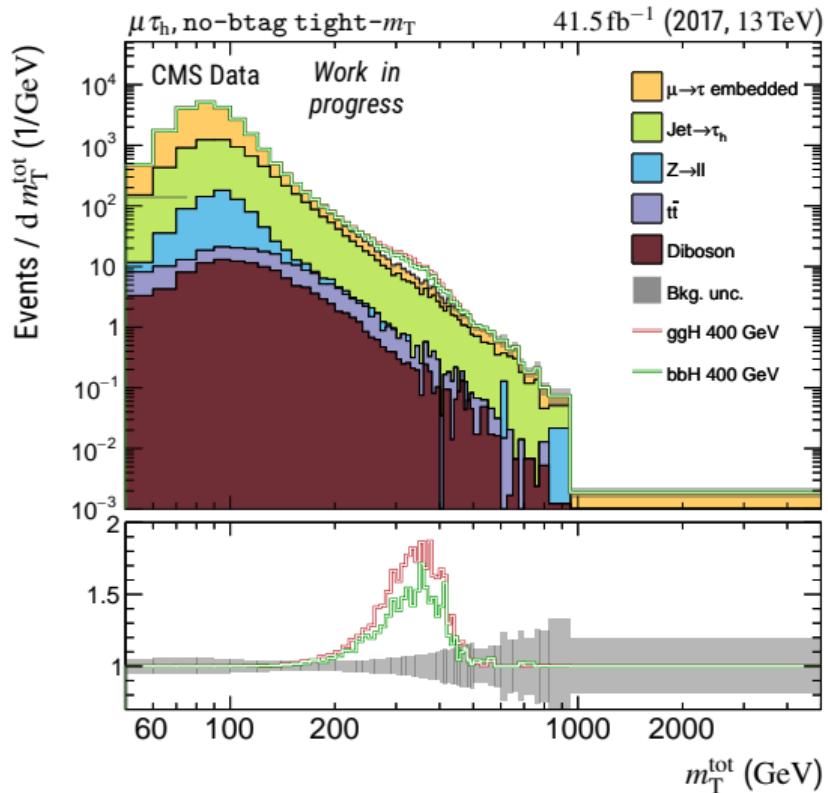


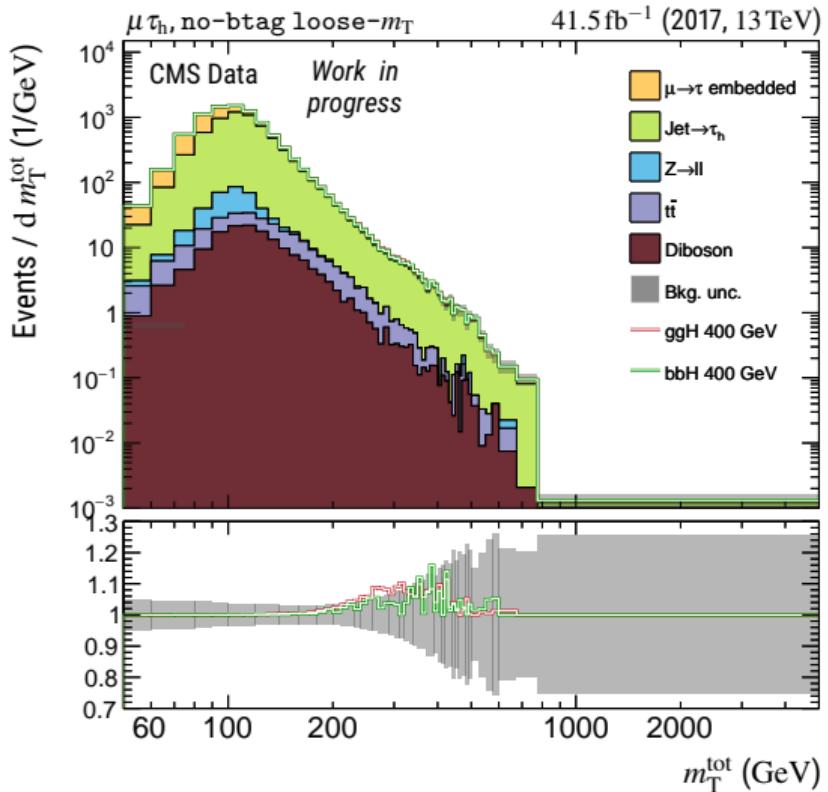


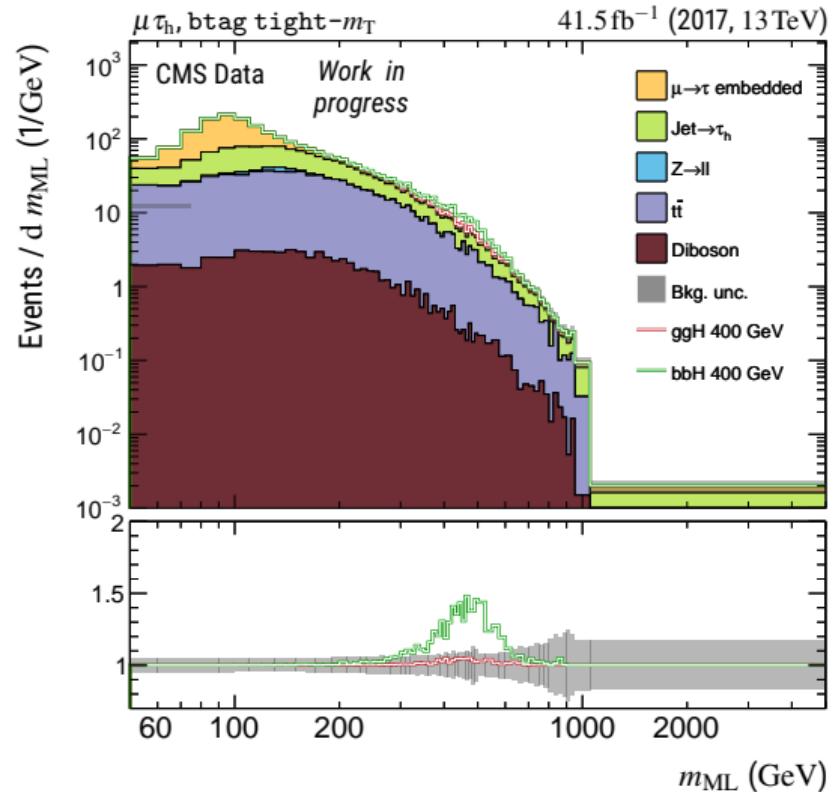
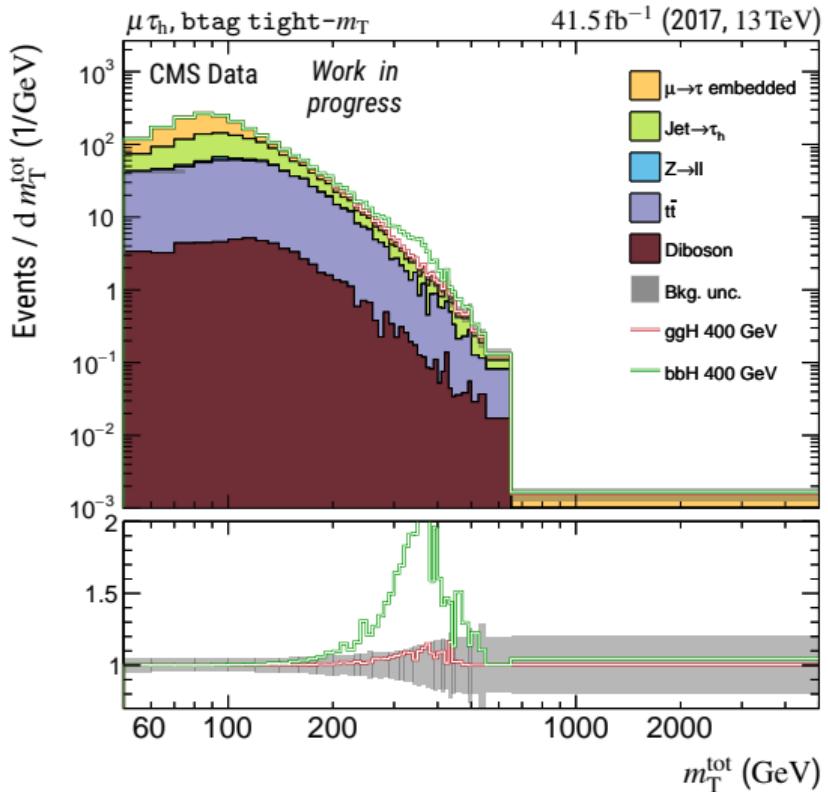


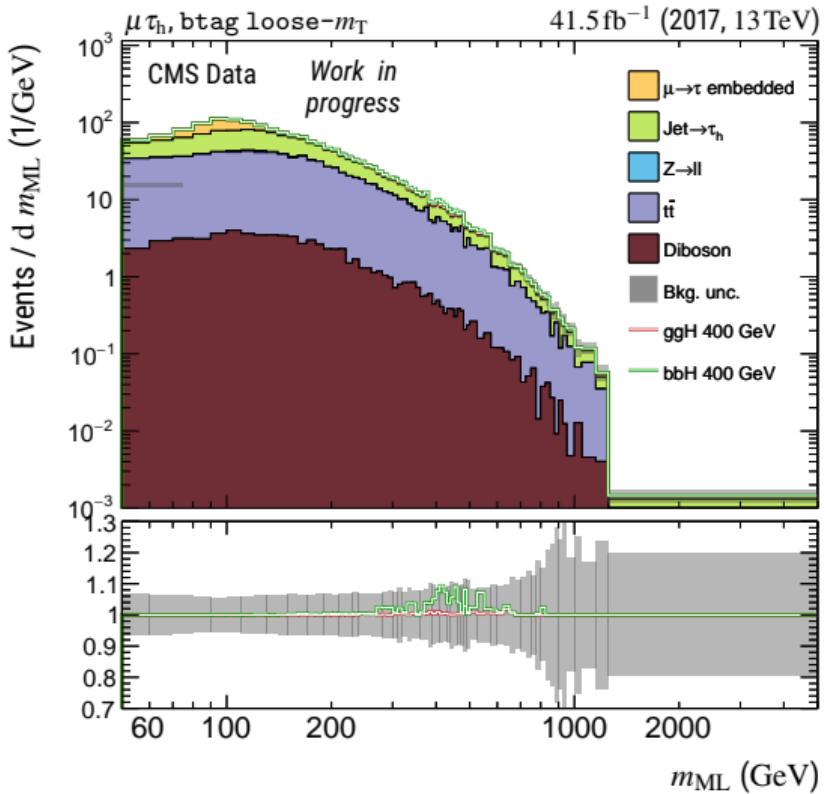
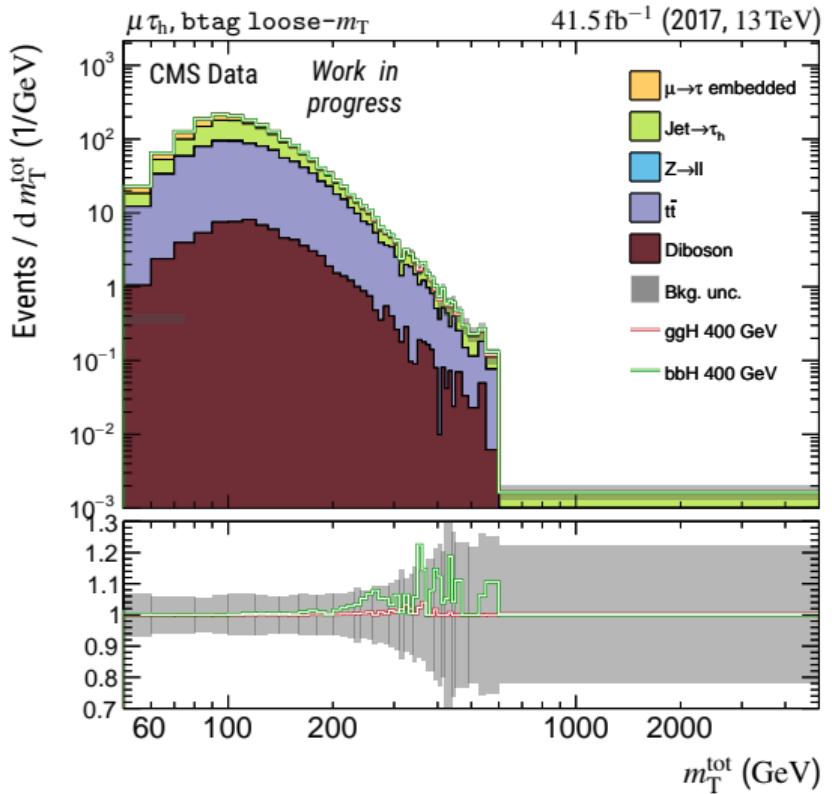


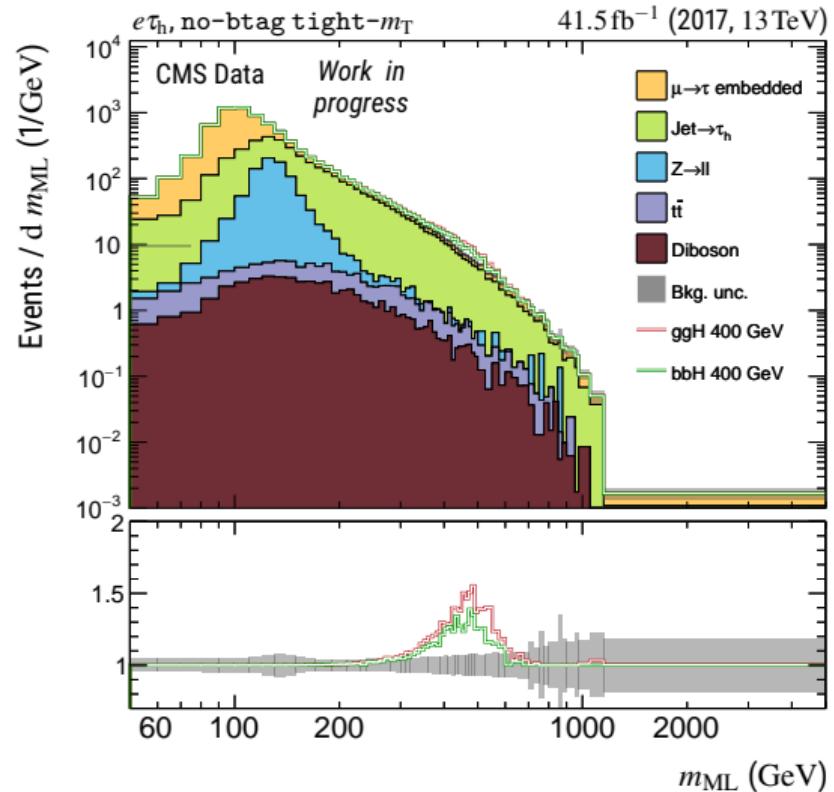
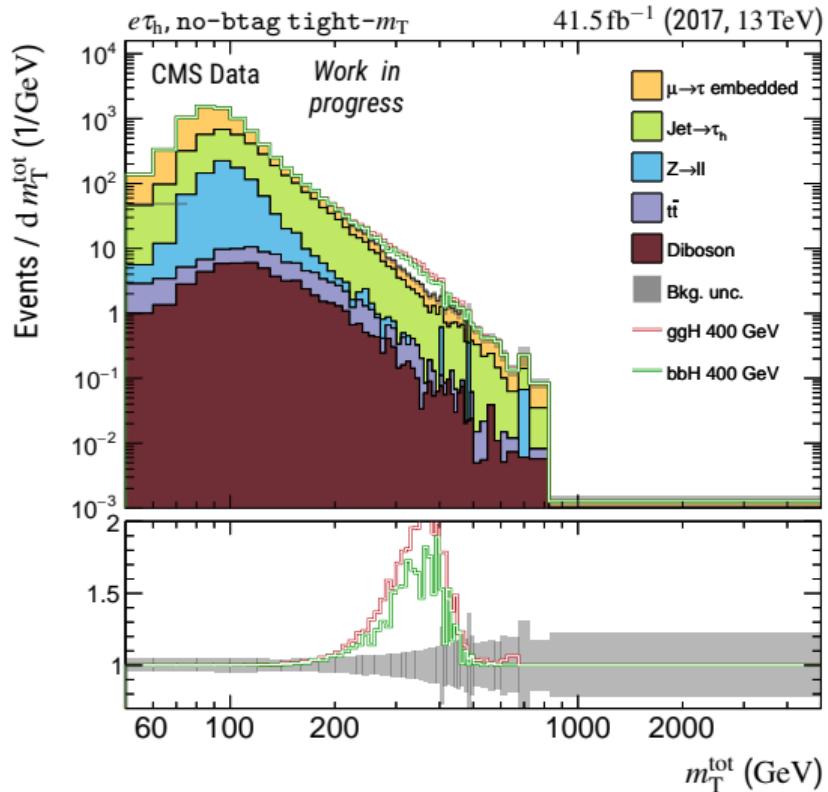


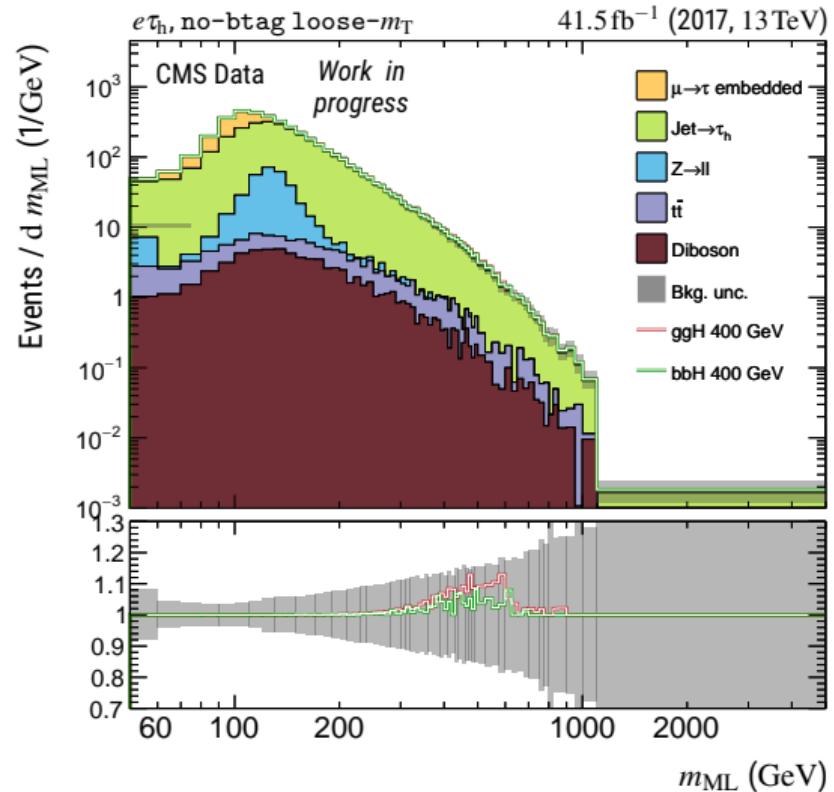
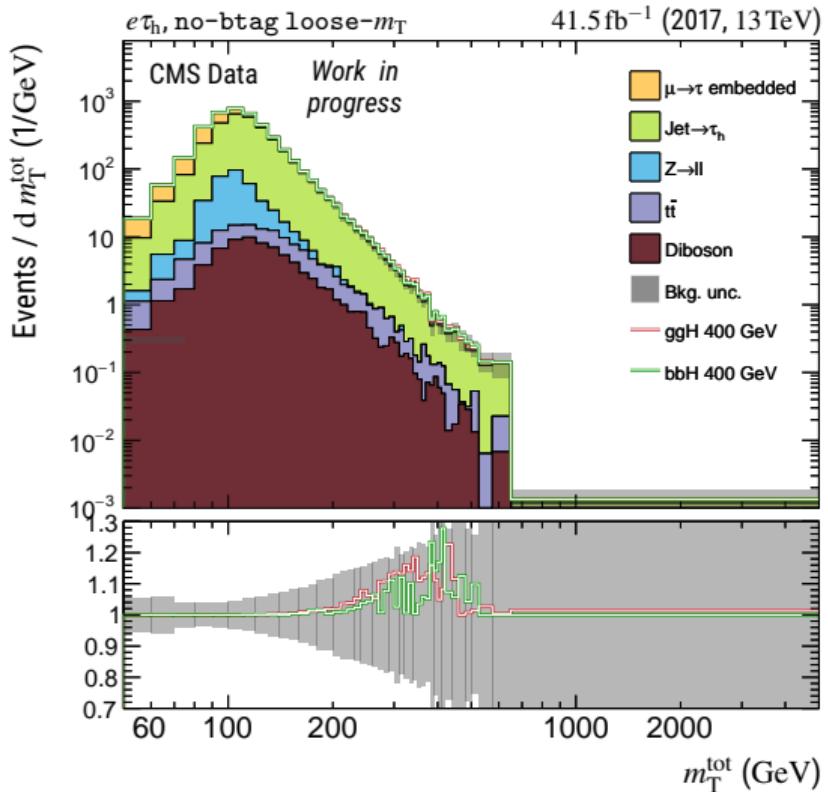


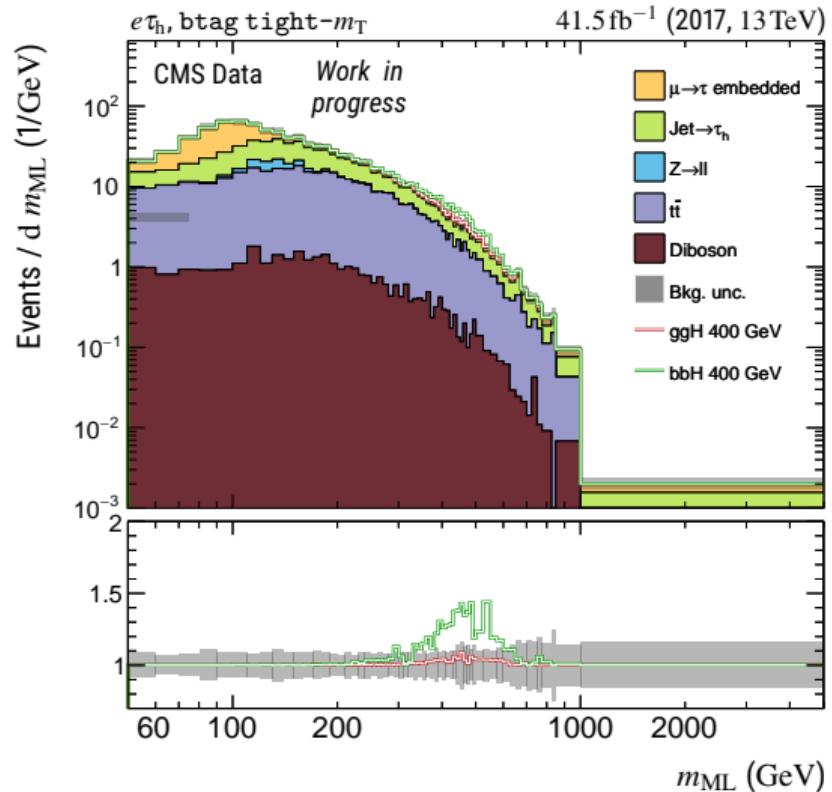
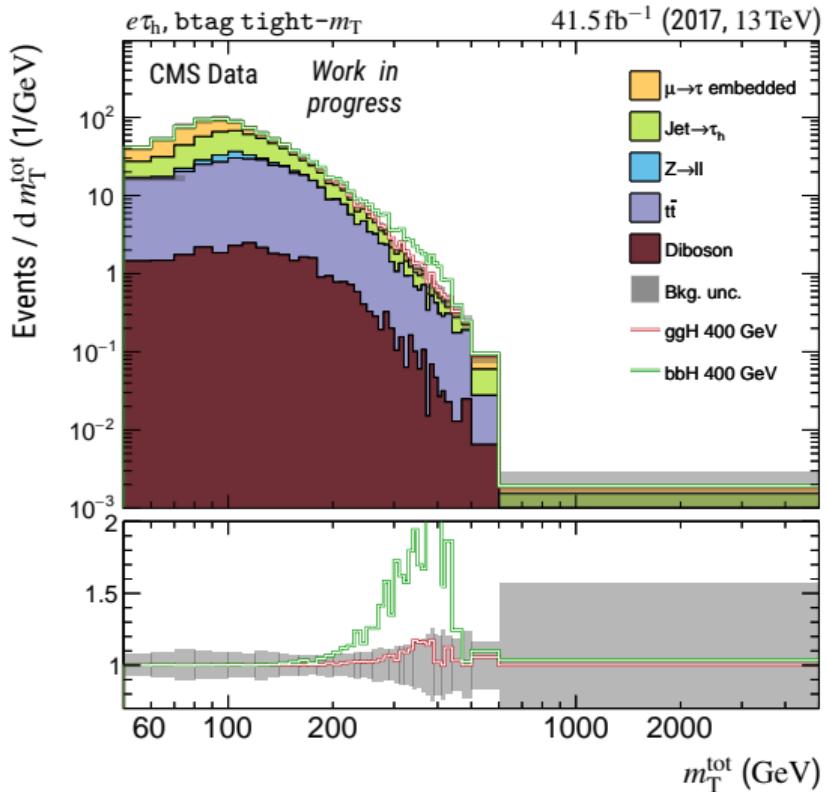


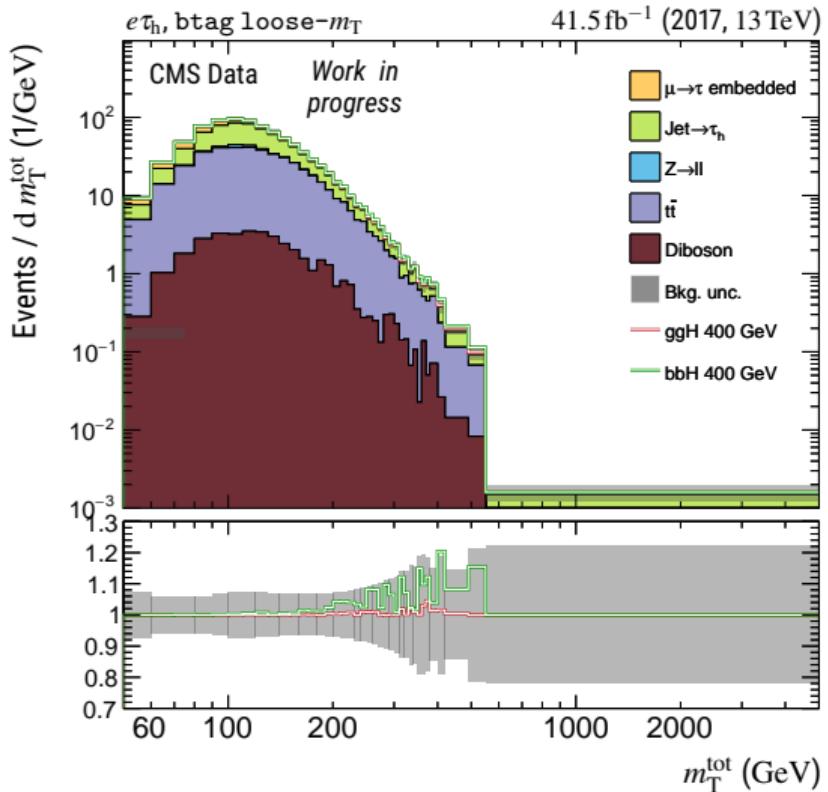


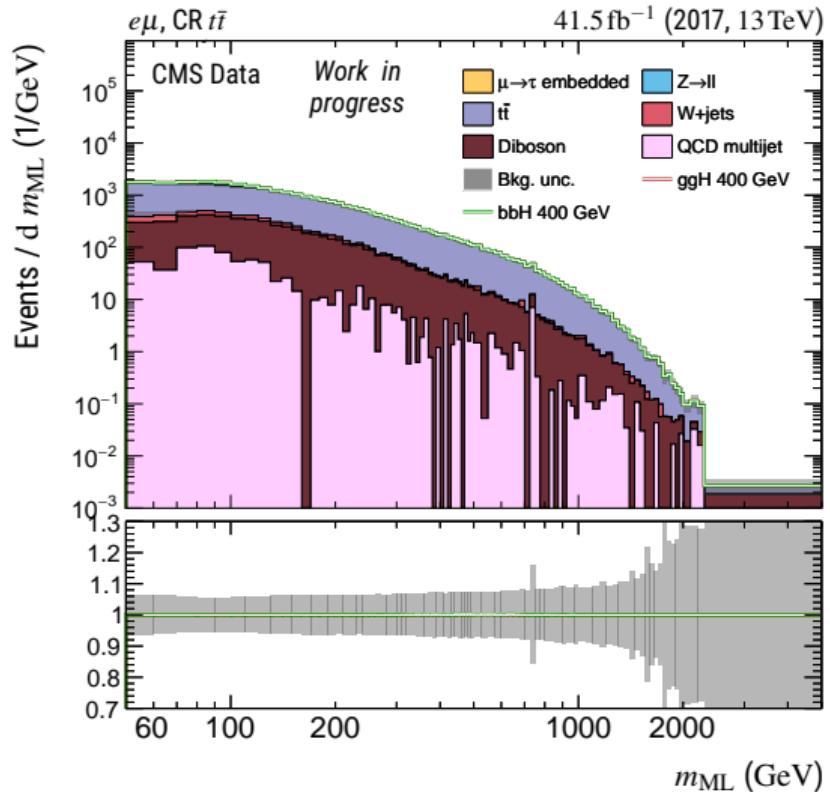
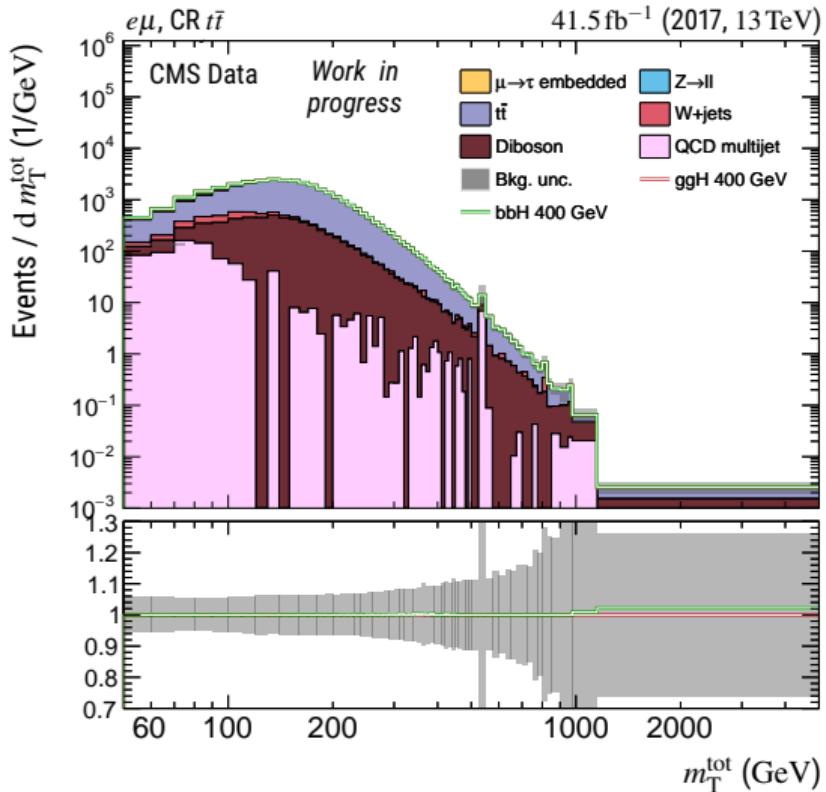


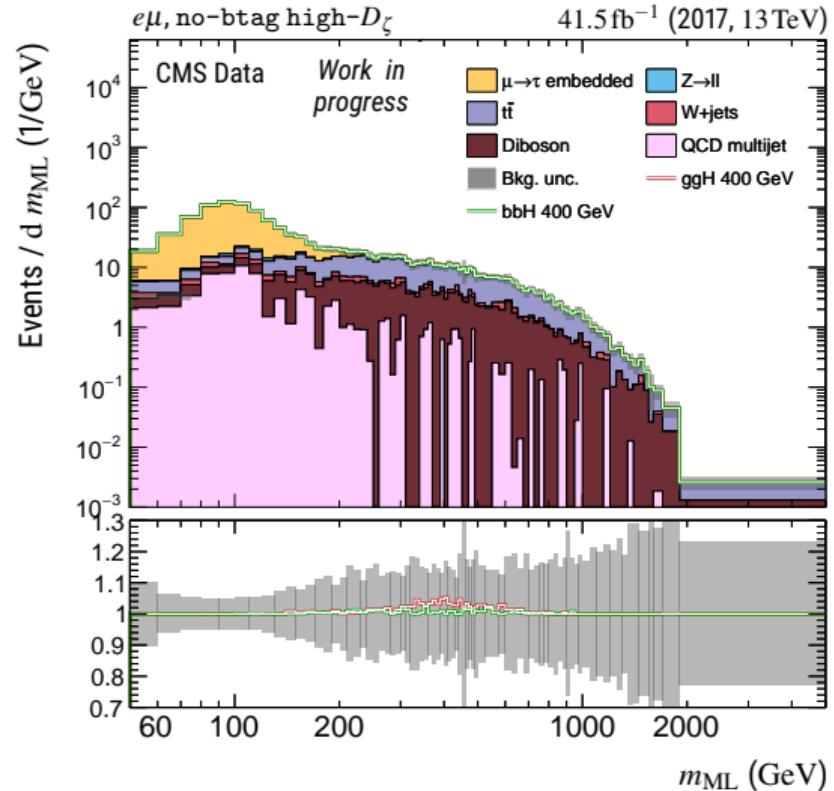
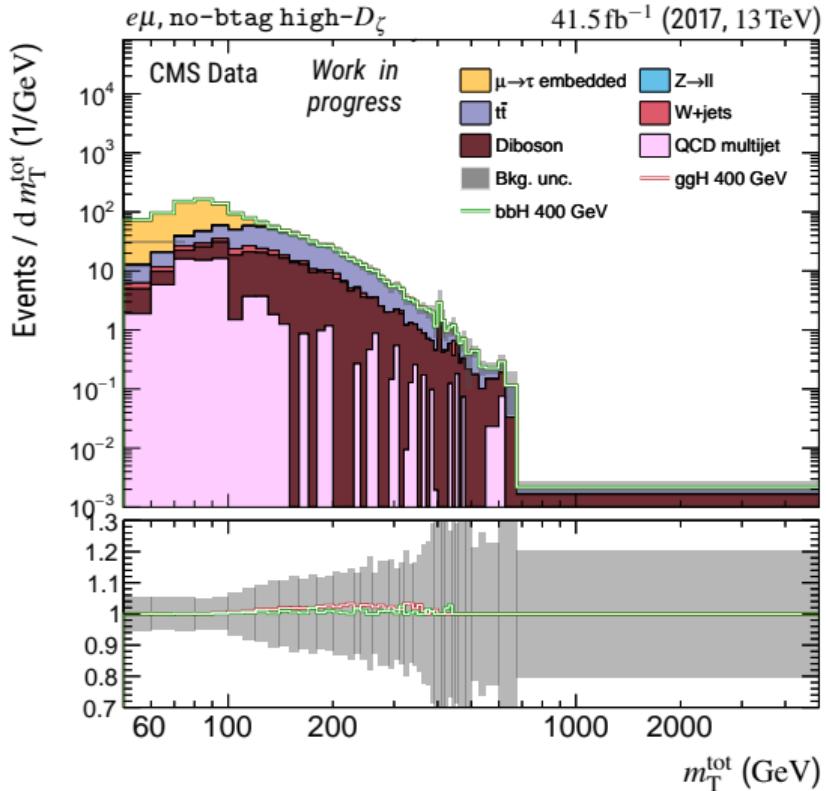


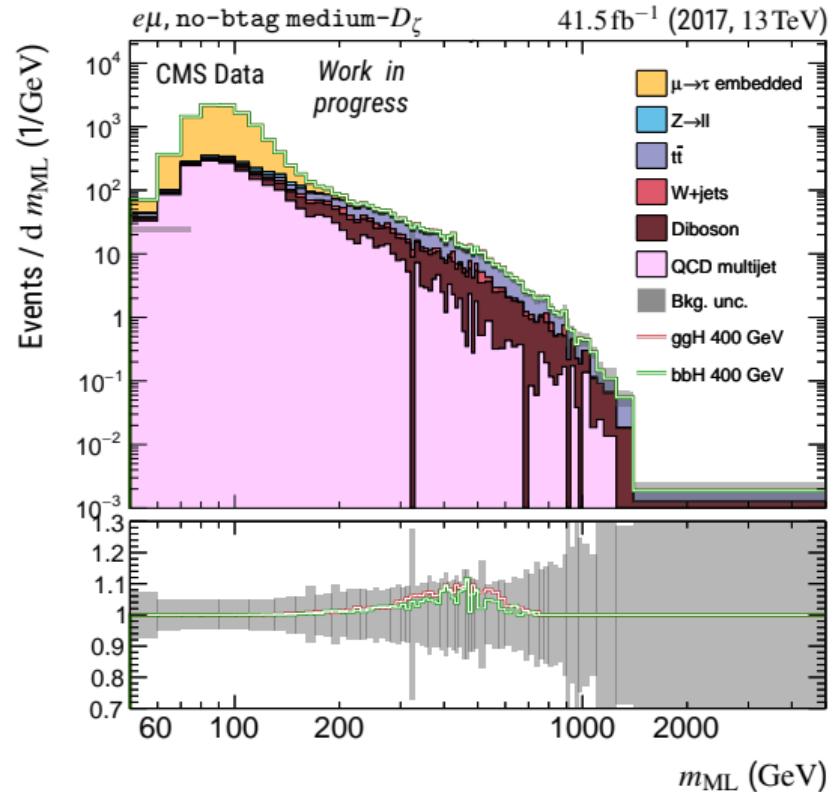
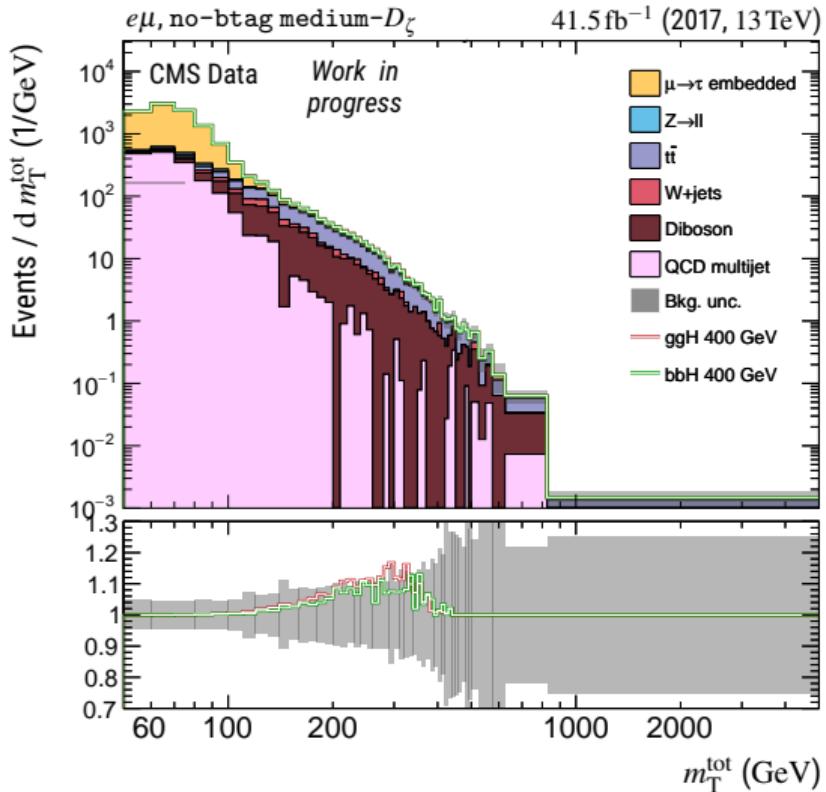


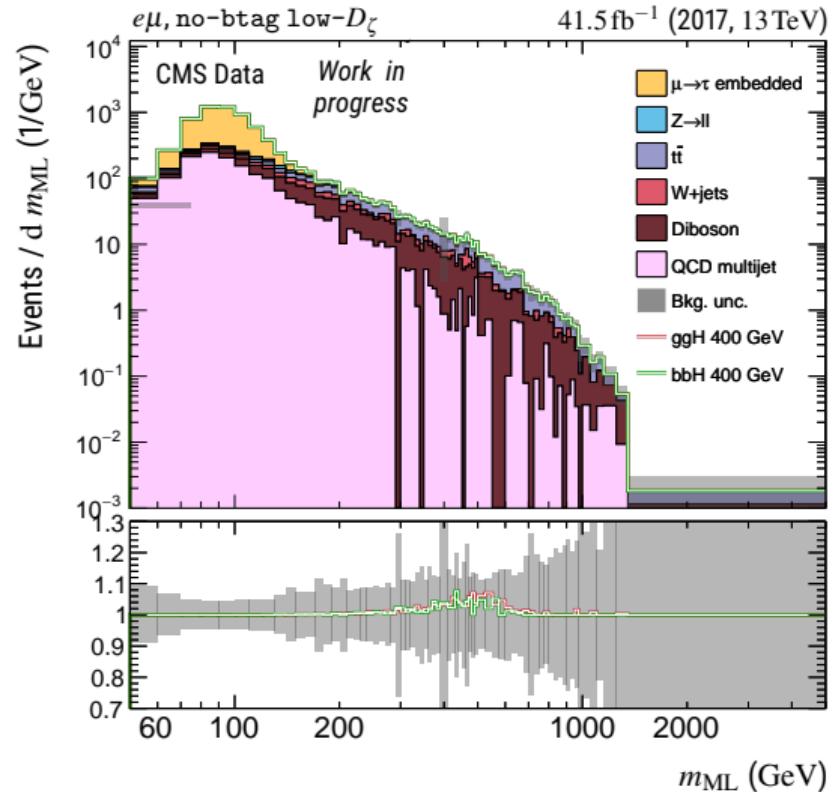
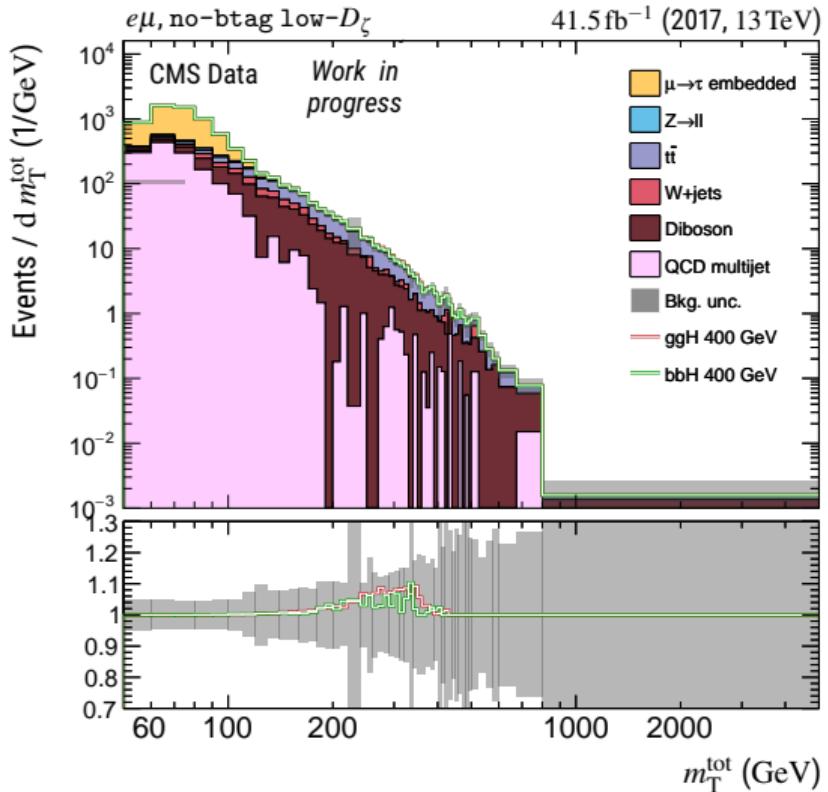


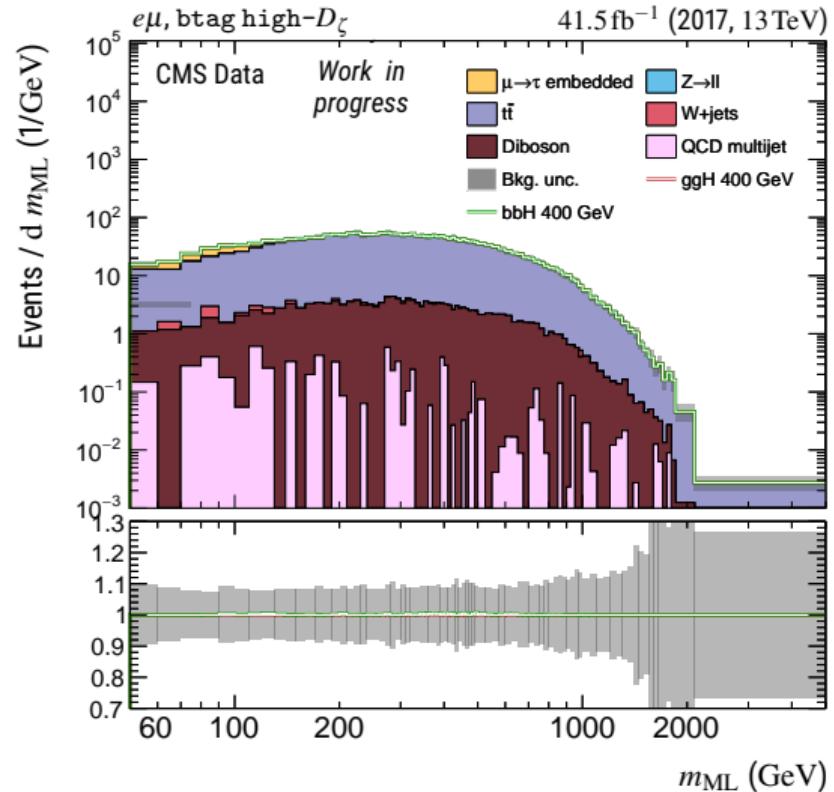
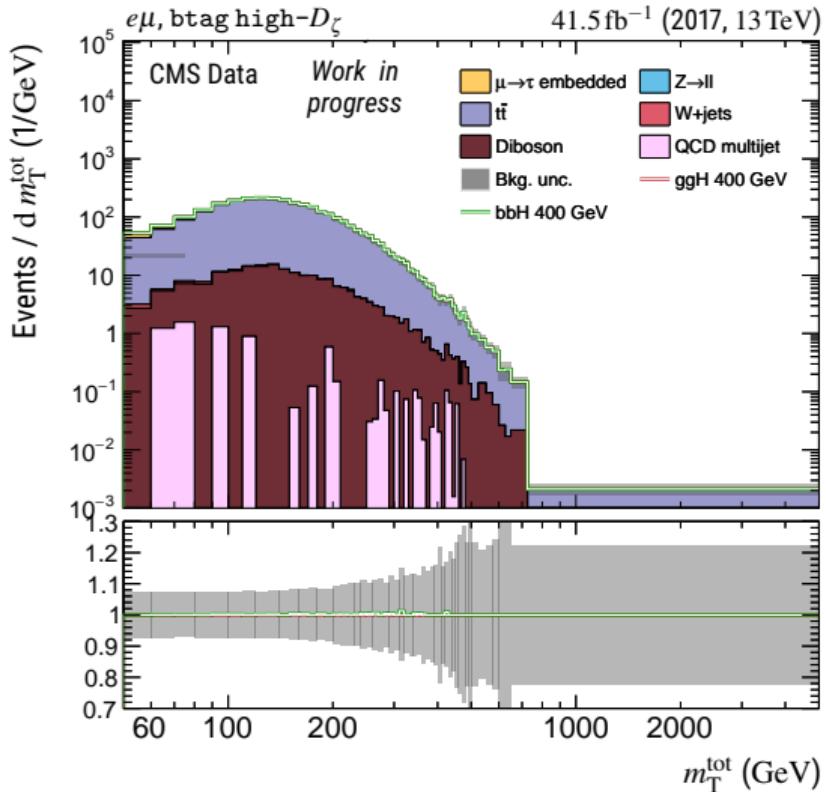


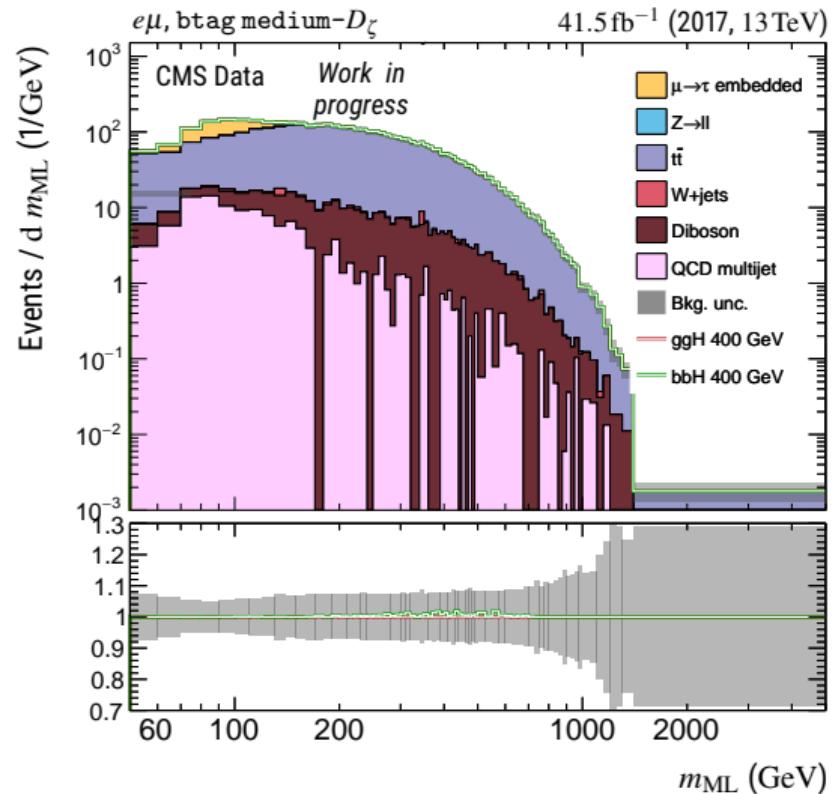
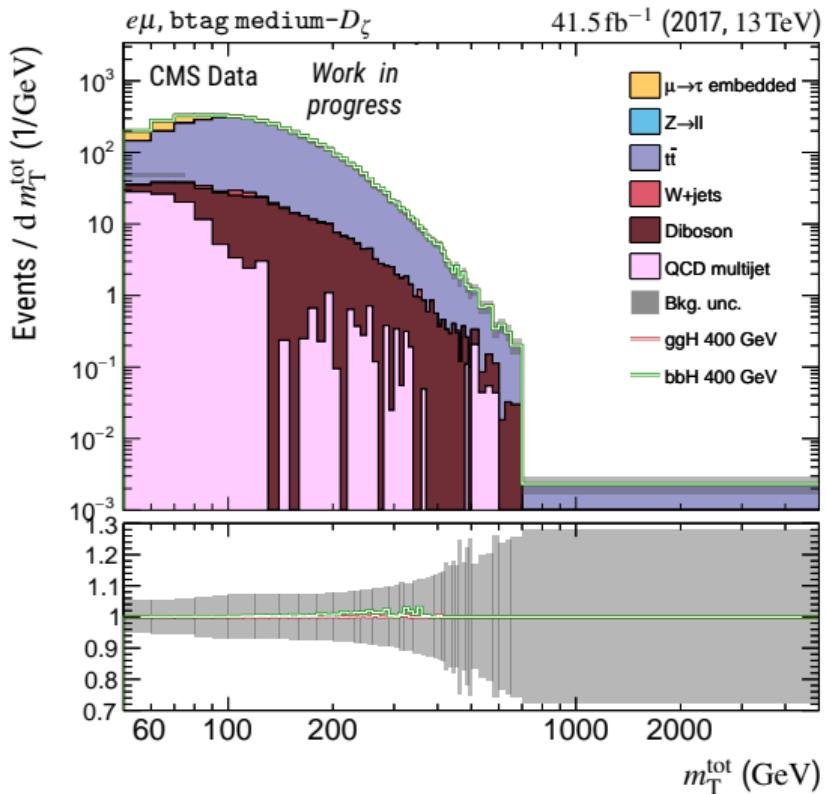


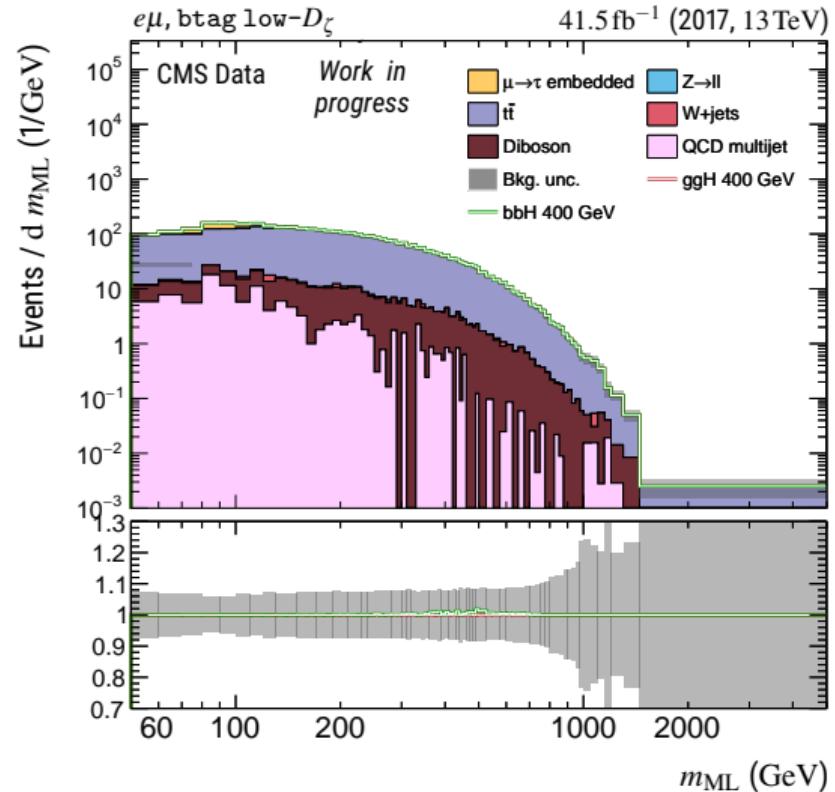
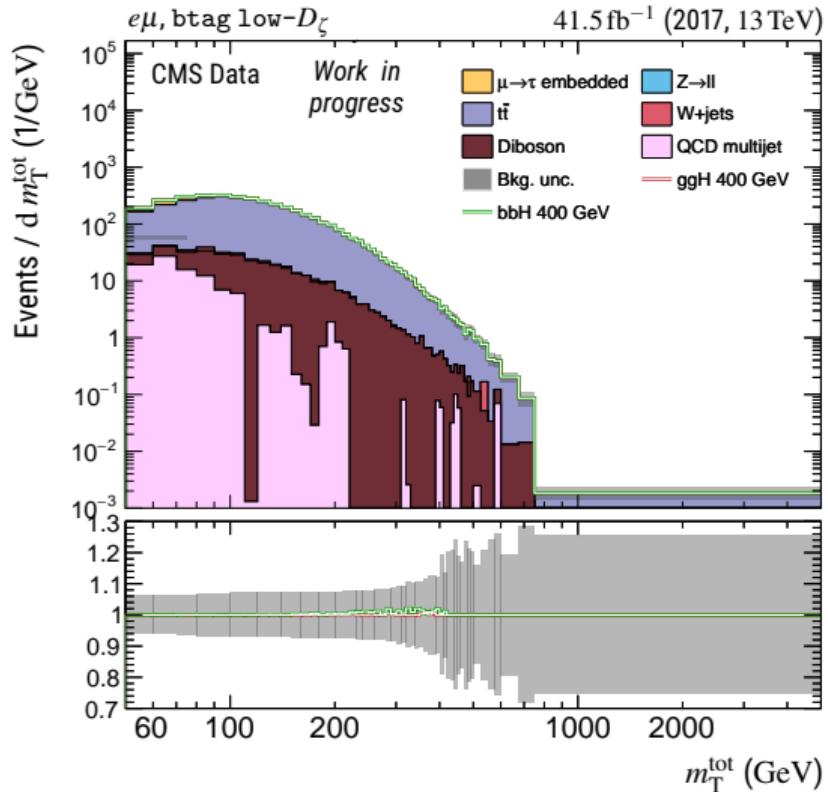


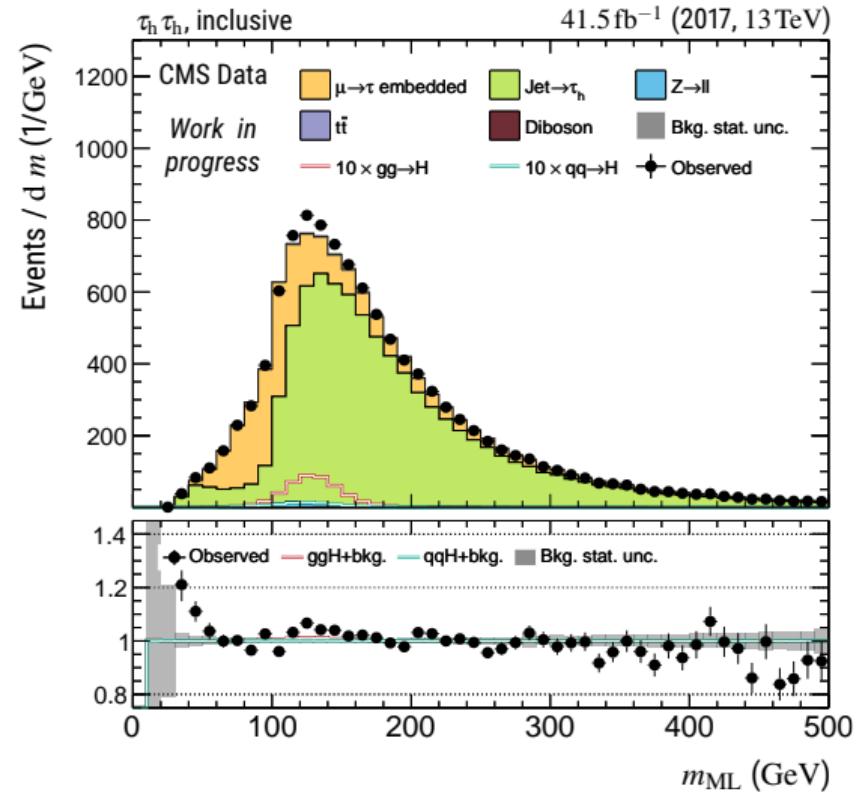
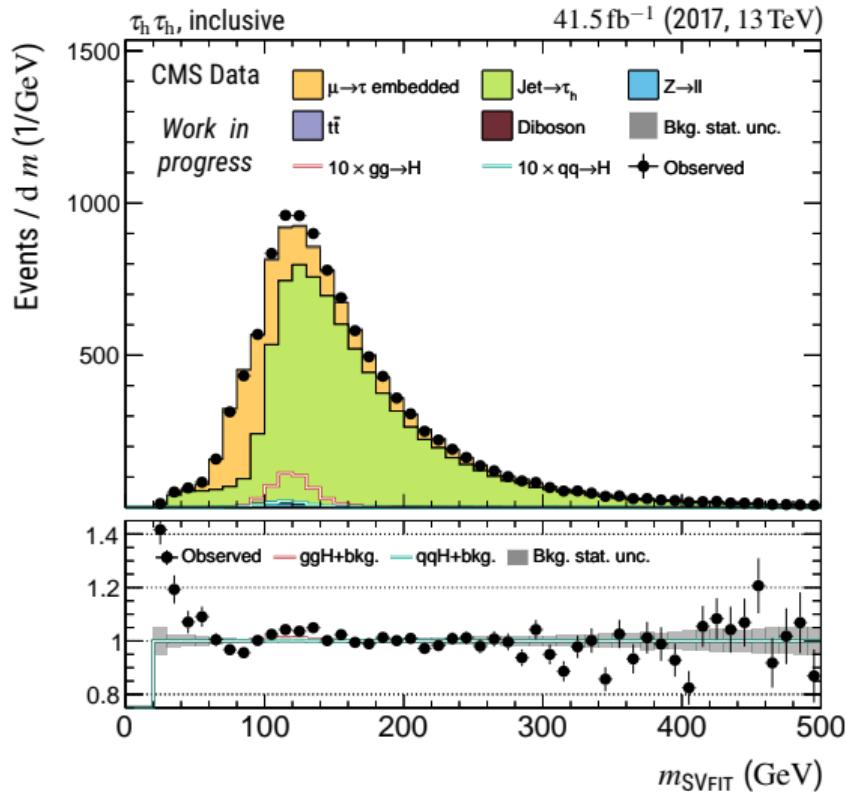




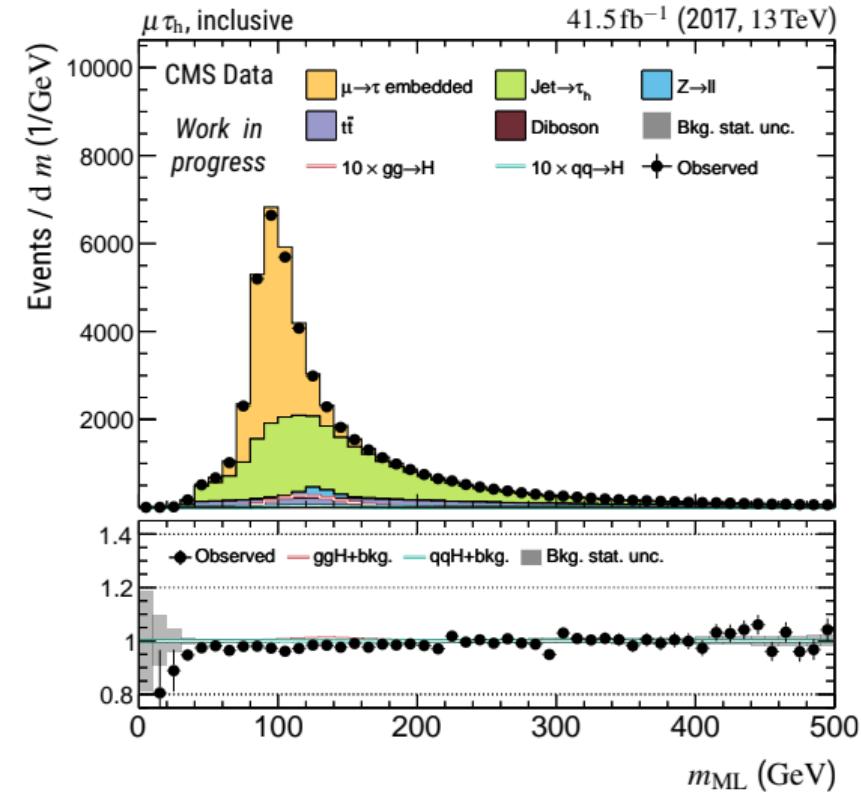
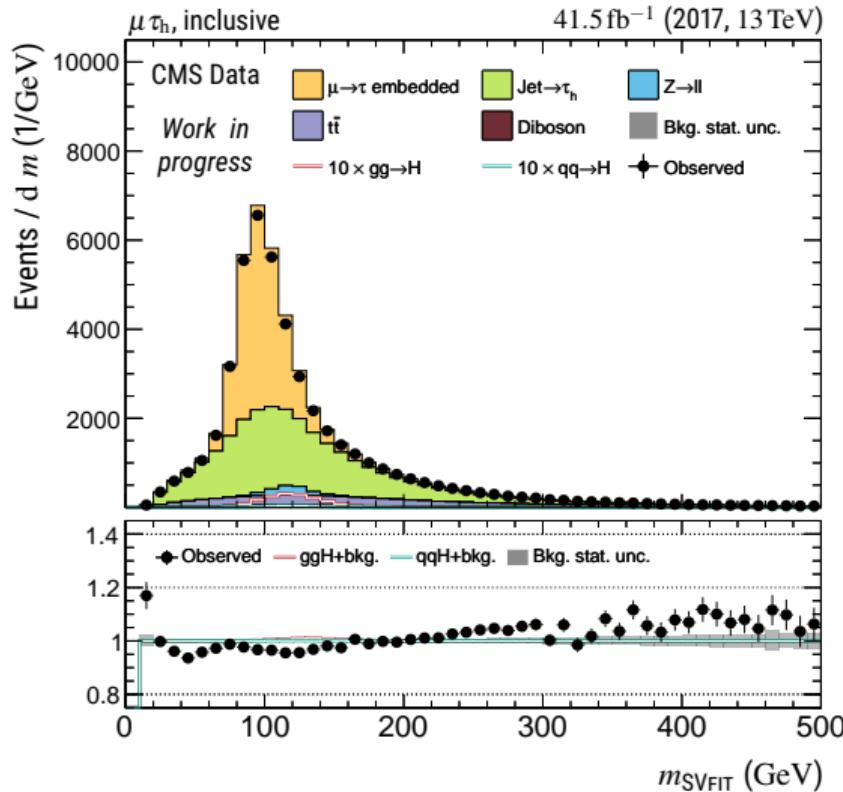




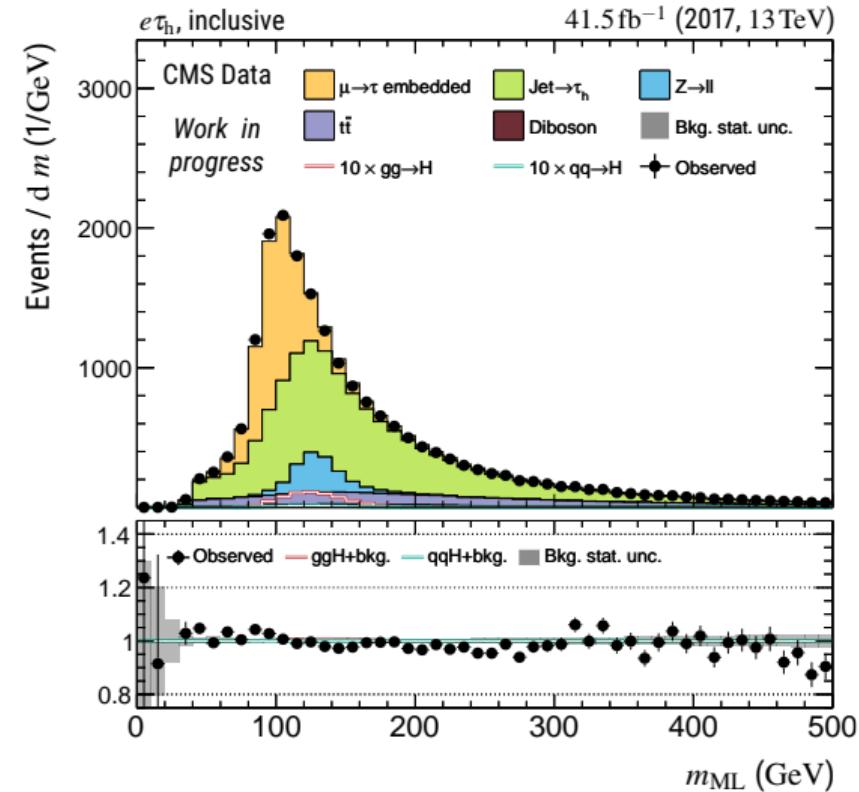
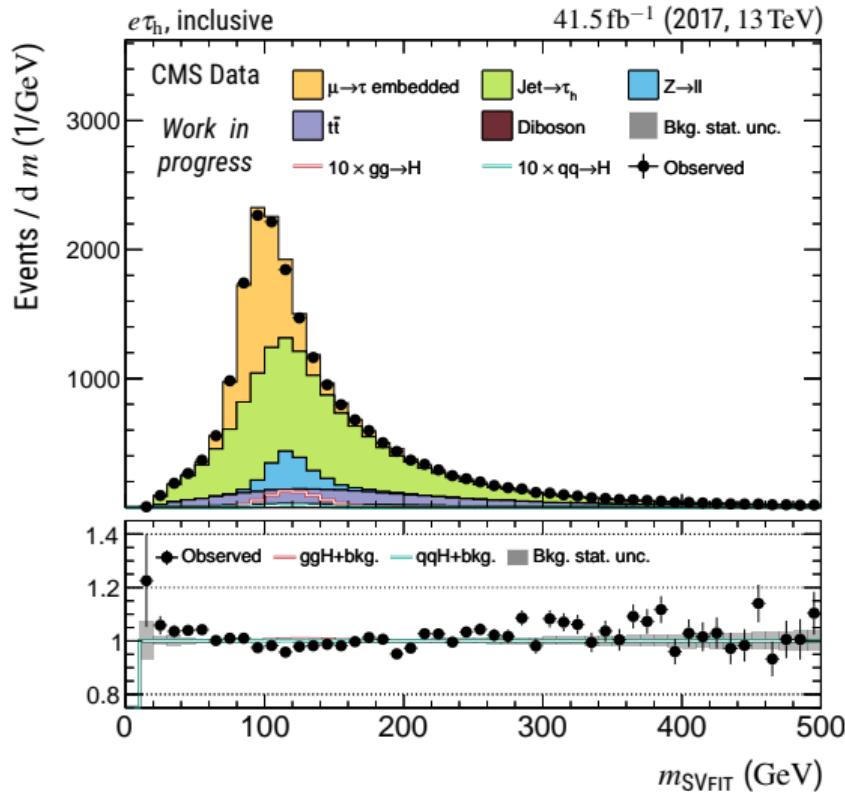




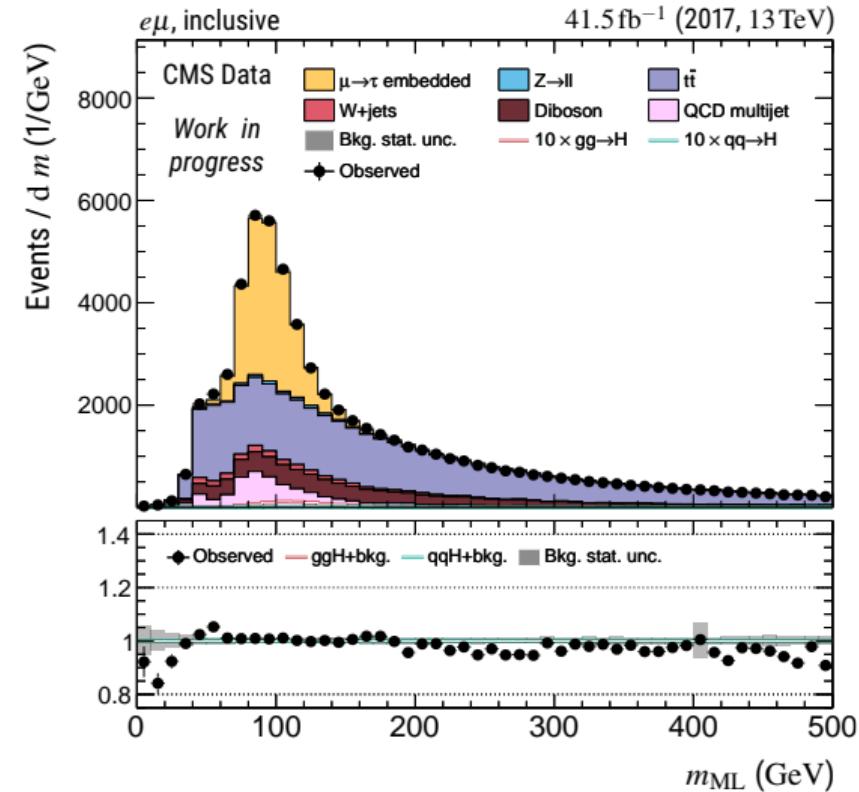
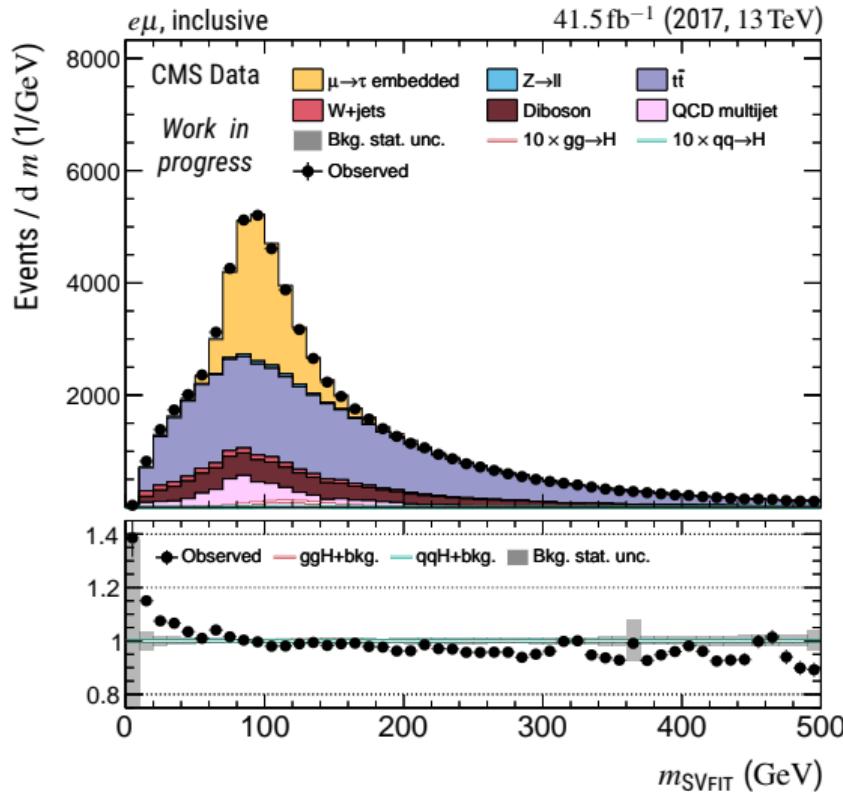
► **vs  $m_{\text{SVFIT}}$ :** Same looking shapes, expected.



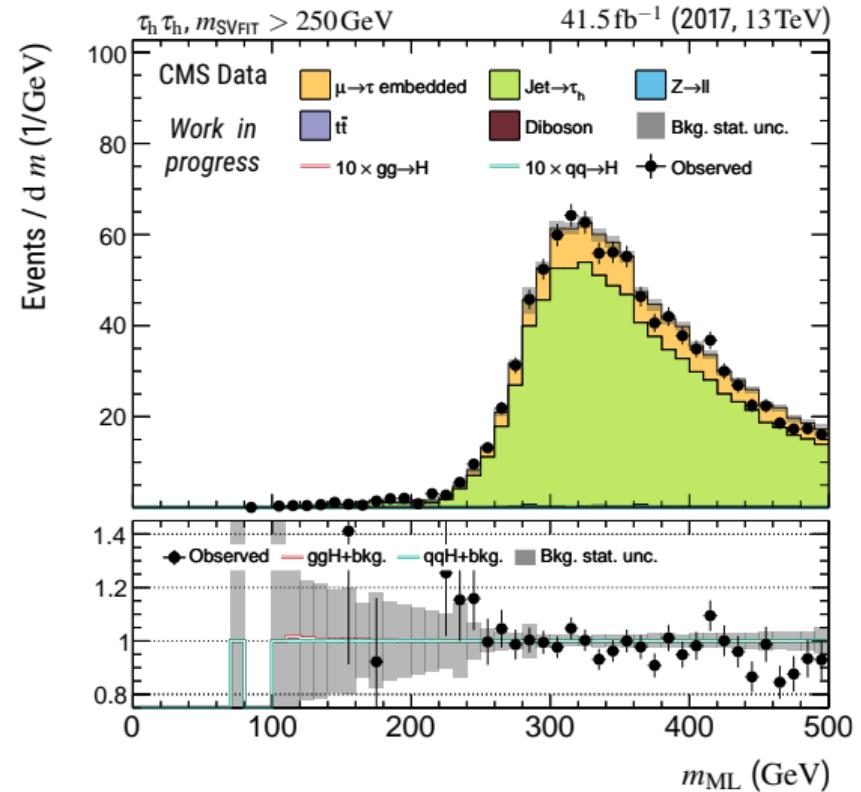
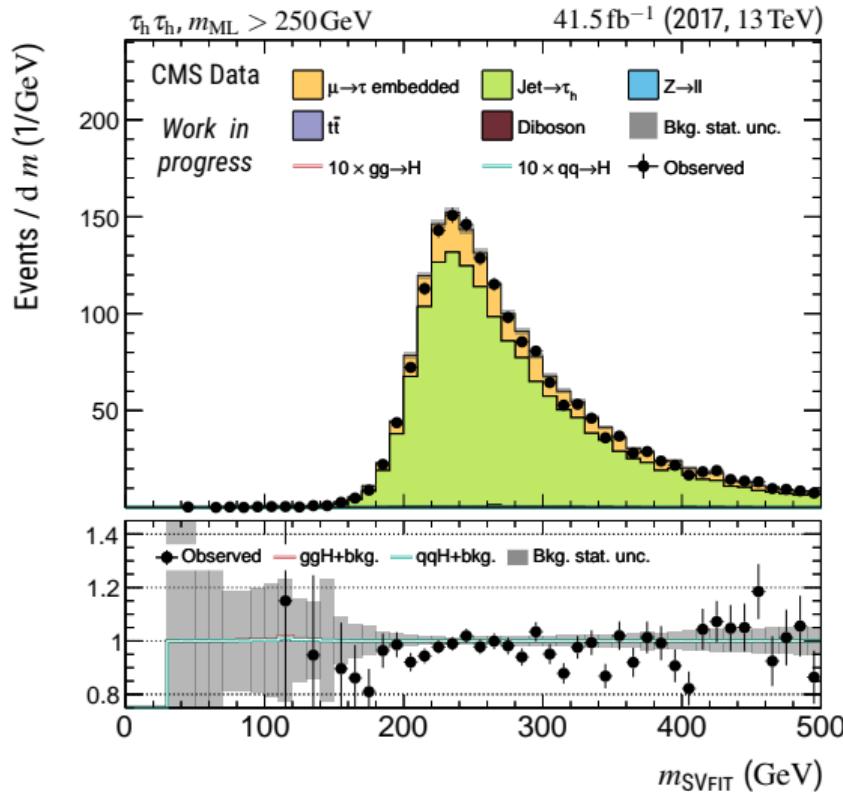
► **vs  $m_{\text{SVFIT}}$ :** Better Data-Bkg agreement with  $m_{\text{ML}}$ !



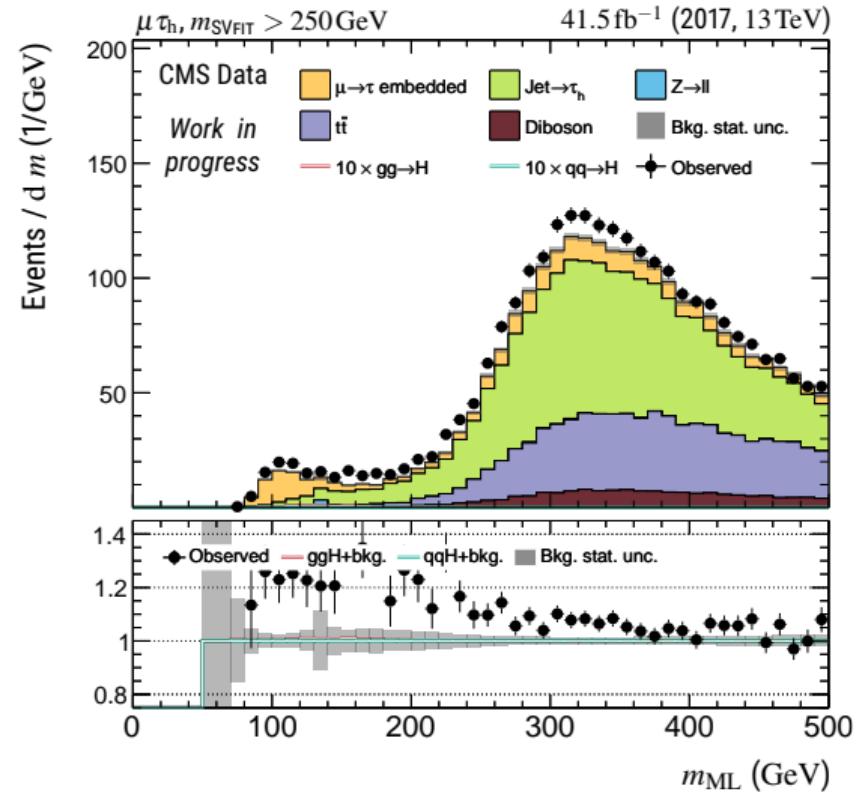
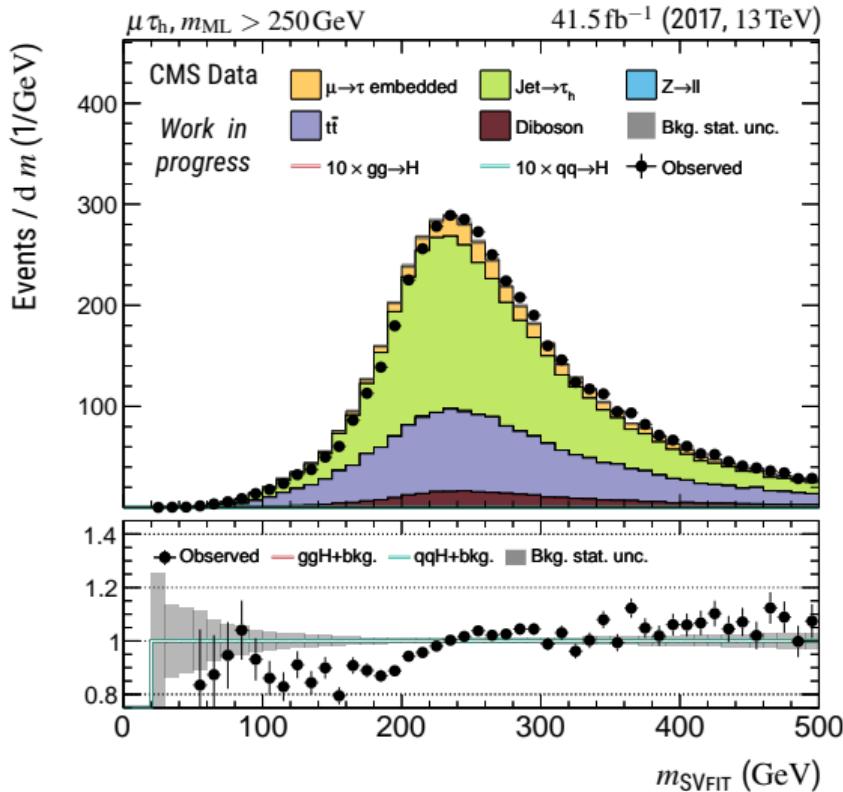
► **vs  $m_{\text{SVFIT}}$ :** Slightly better Data-Bkg agreement with  $m_{\text{ML}}$ .



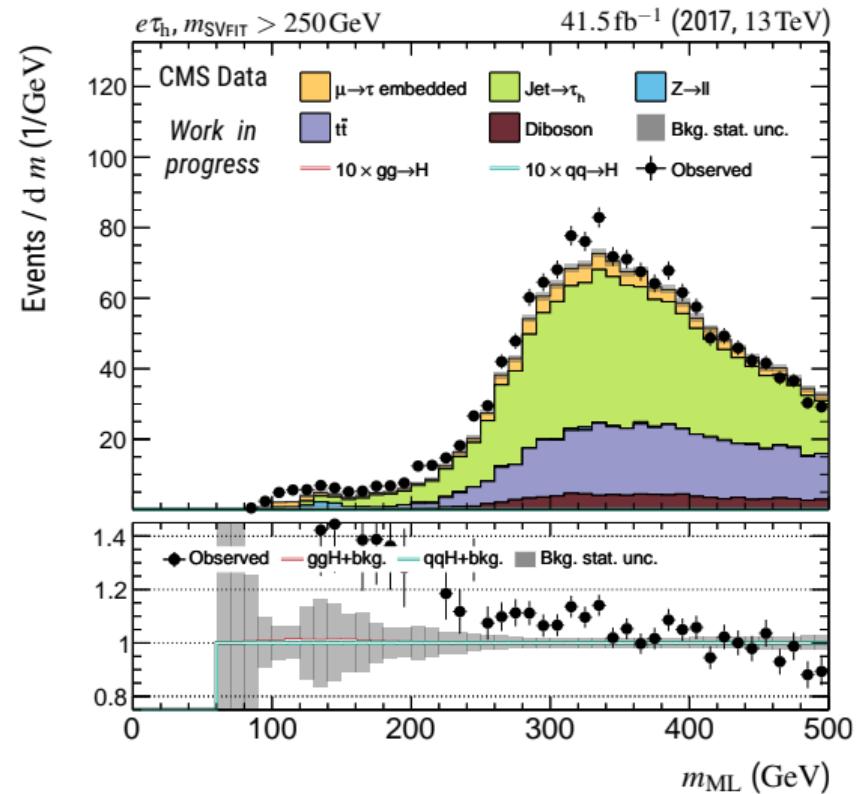
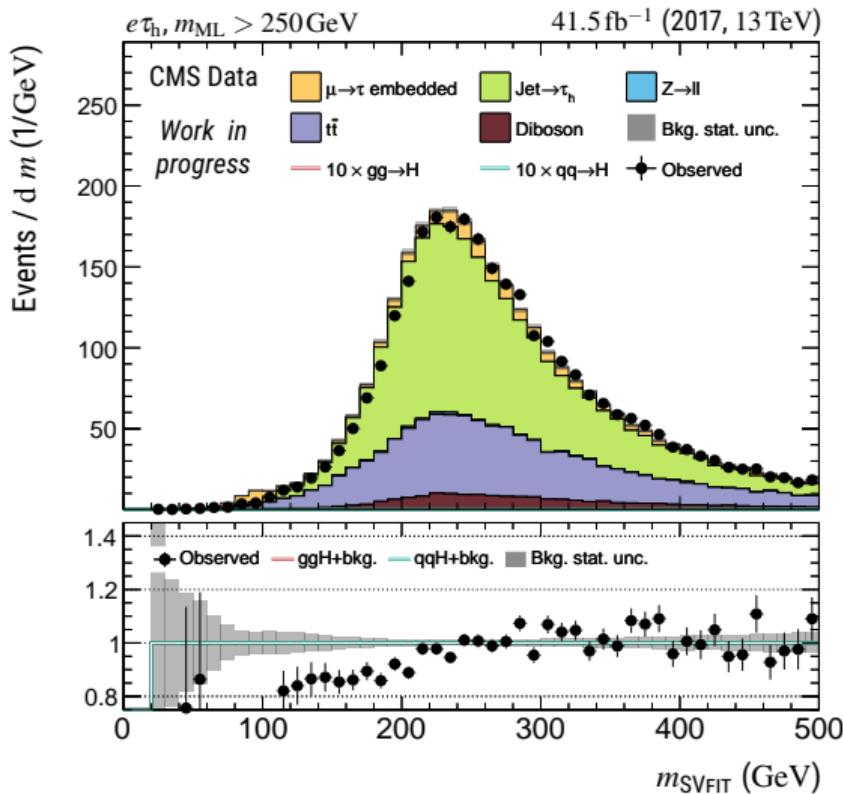
► **vs  $m_{SVFIT}$ :** Slightly better Data-Bkg agreement with  $m_{ML}$ .



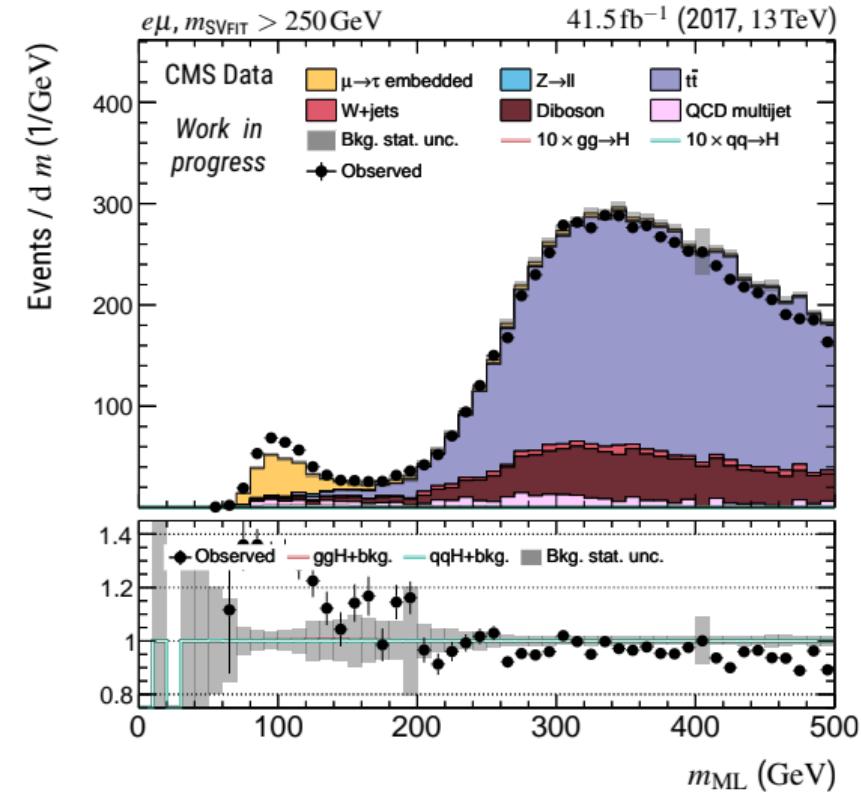
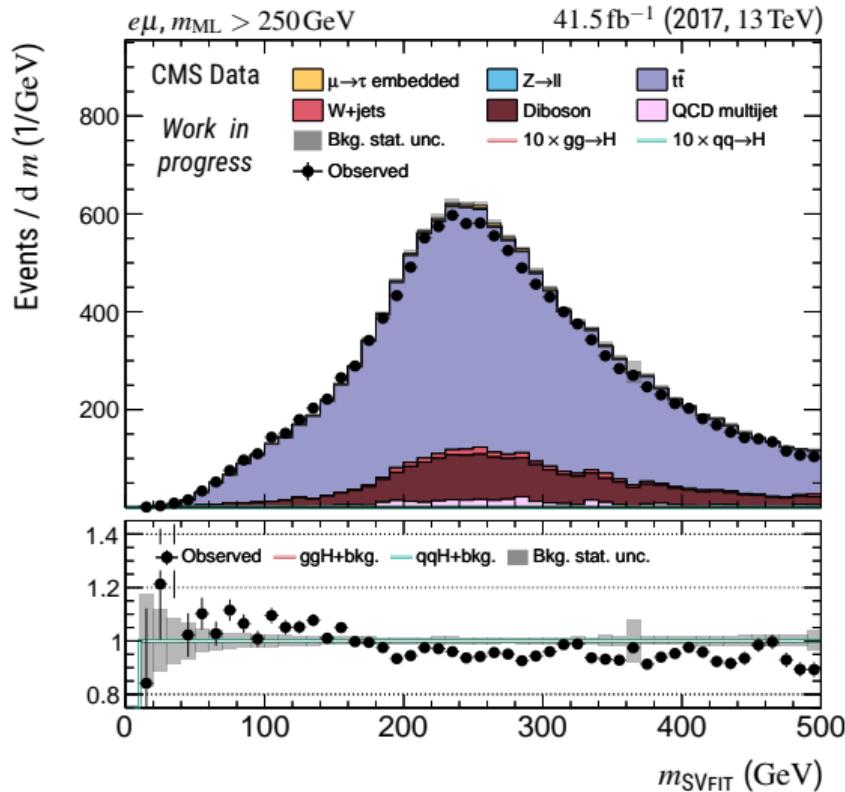
► Distributions start to populate at 200 or 300 GeV. Yield is different



► Our model finds  $Z \rightarrow \tau\tau$  events at  $\sim 100 \text{ GeV}$  in the  $m_{\text{SVFIT}} > 250 \text{ GeV}$  region!



► Same kind of effect as seen in  $\mu\tau_h$ .



► Same kind of effect as seem in  $\mu\tau_h$ .

