

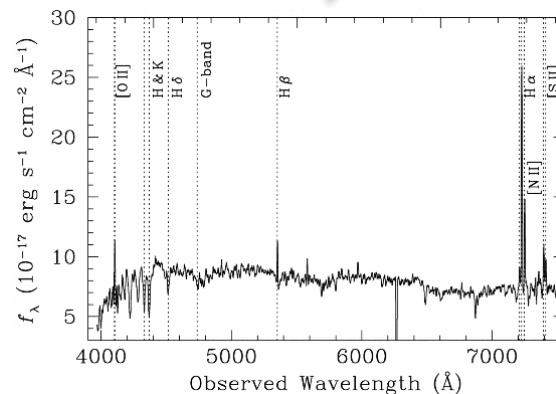
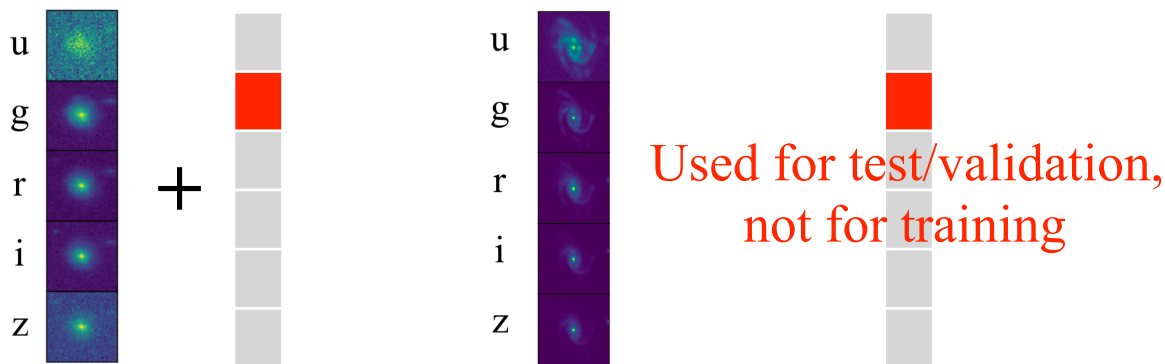
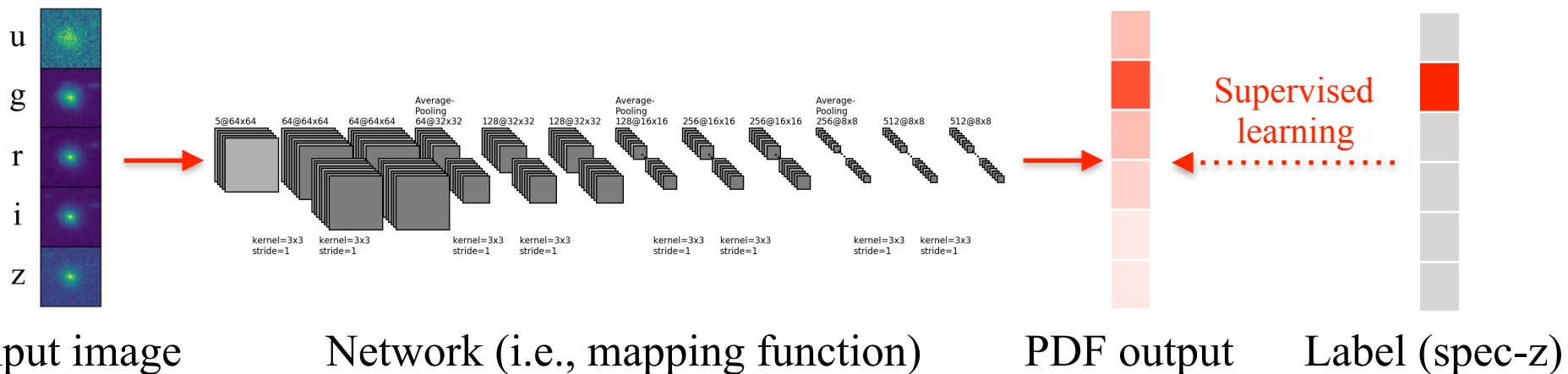
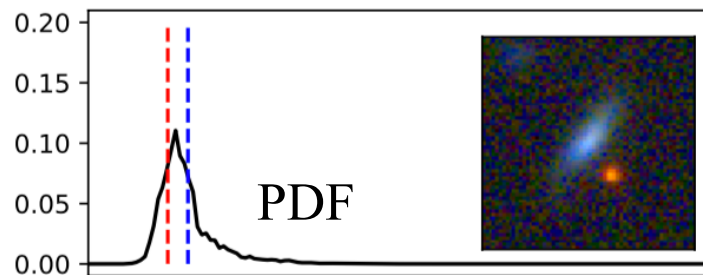
# Correcting galaxy photometric redshift estimation biases using Deep Learning neural networks

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# Galaxy photometric redshift (photo-z) prediction using Deep Learning neural networks



Spectroscopy

# Outline

- **Biases associated with neural networks (and other data-driven algorithms)**

1. Residual as a function of redshift
2. Mode collapse

- **Methods for correcting biases**

1. Balance the training distribution
2. Balance the training labels

- **Results**

# Outline

- **Biases associated with neural networks  
(and other data-driven algorithms)**

1. Residual as a function of redshift
2. Mode collapse

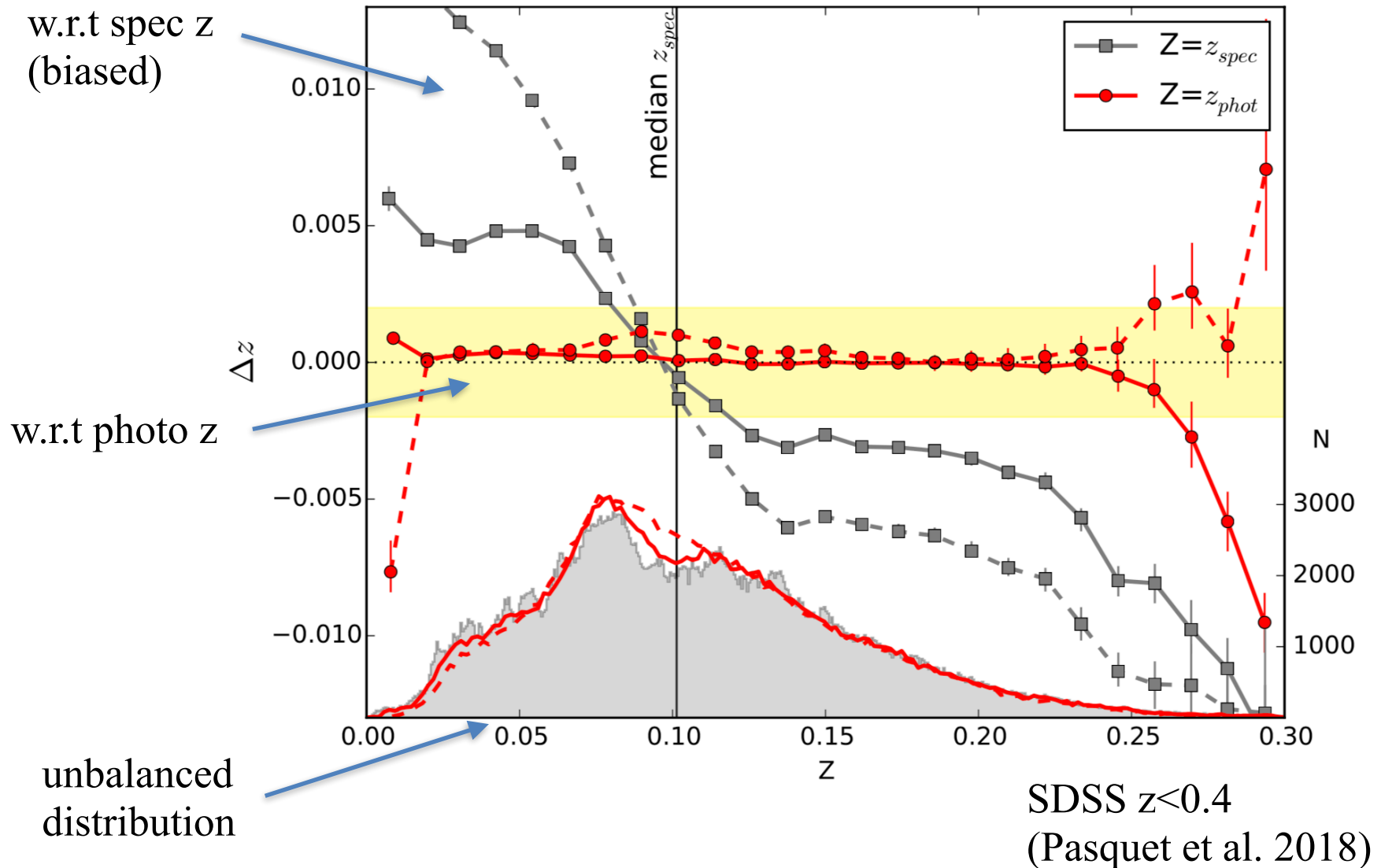
- **Methods for correcting biases**

1. Balance the training distribution
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- **Results**

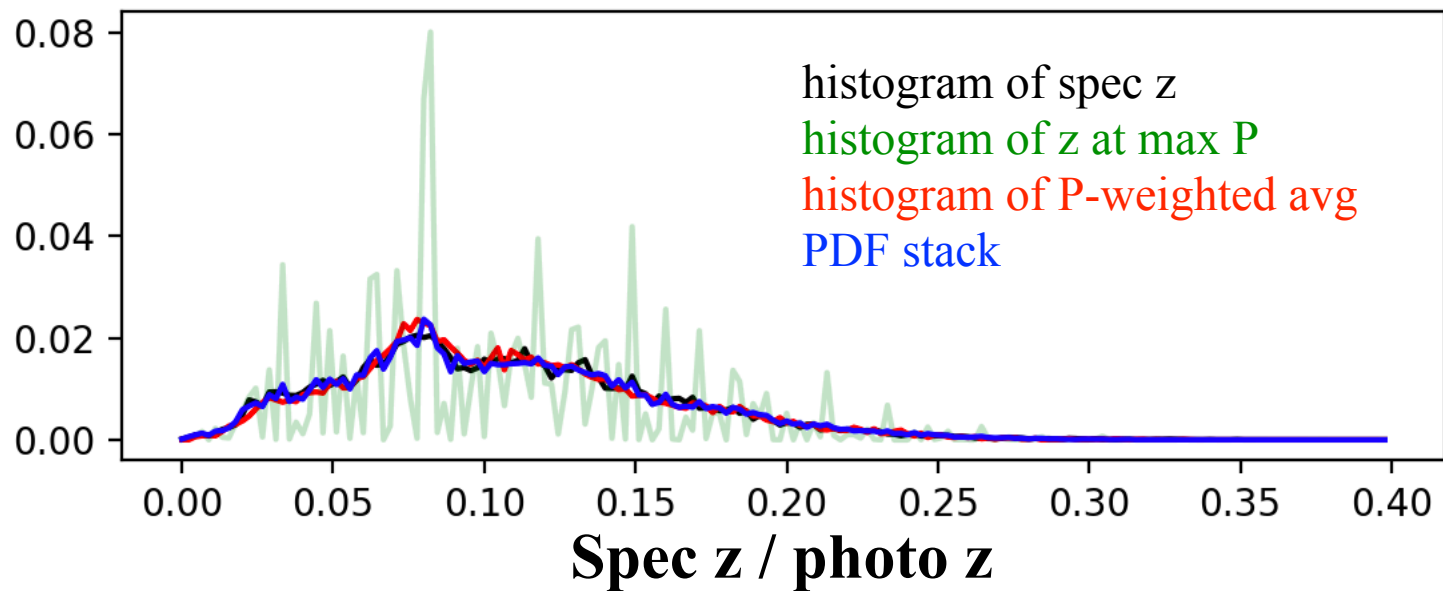
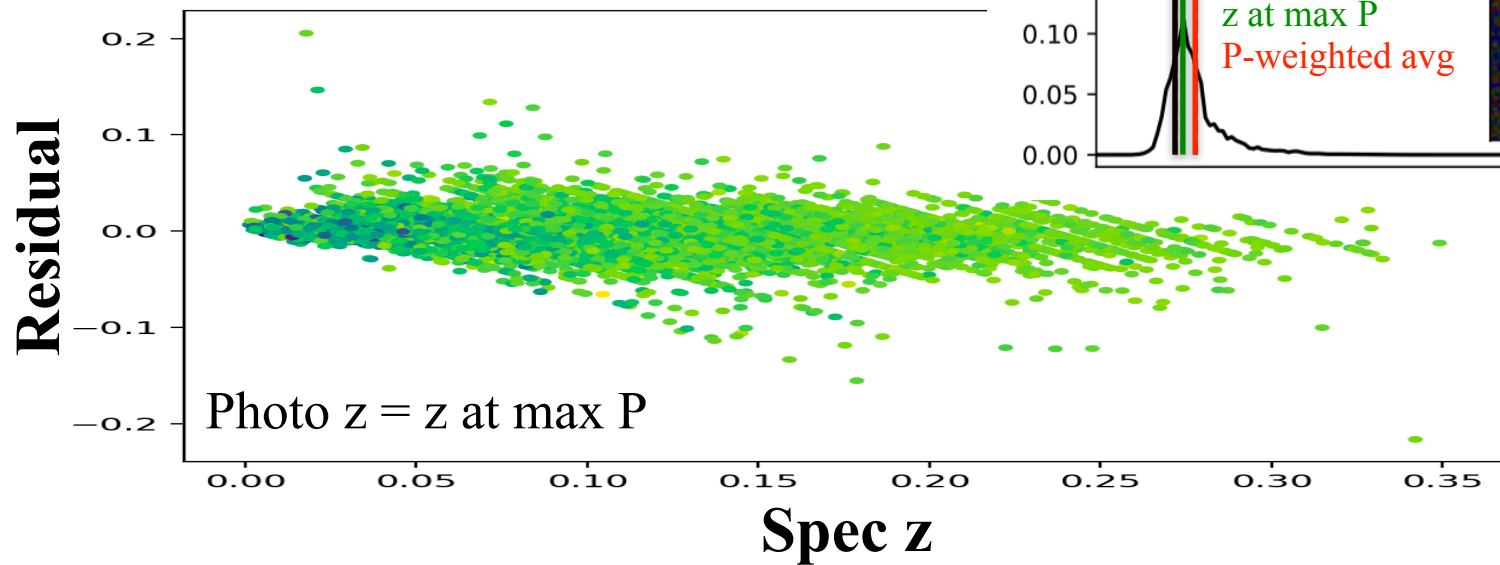
# Bias 1: Residual as a function of redshift (spec z / photo z)

$$\text{Residual} = (z_{\text{photo}} - z_{\text{spec}}) / (1 + z_{\text{spec}})$$

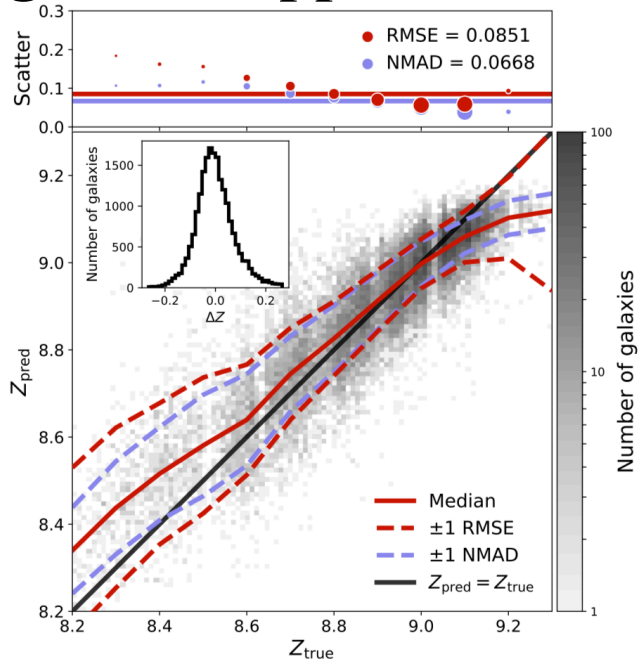


# Bias 2: Mode collapse

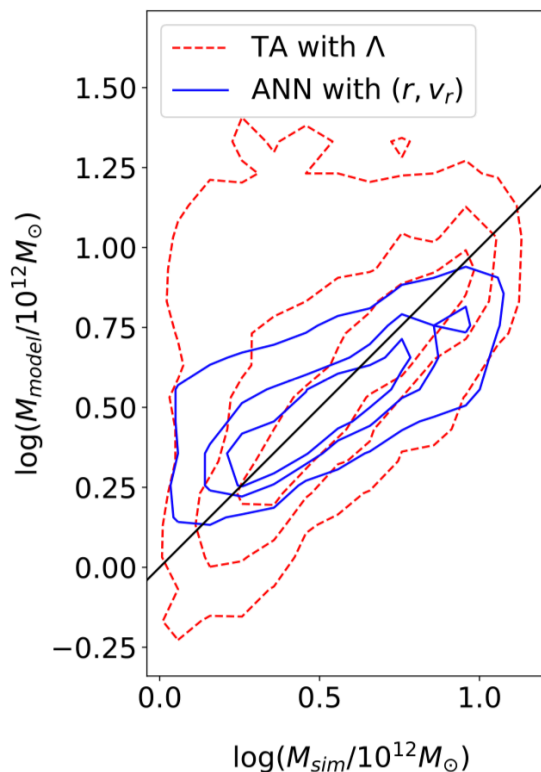
i.e., multiple  $z$ 's collapsed to a single estimate



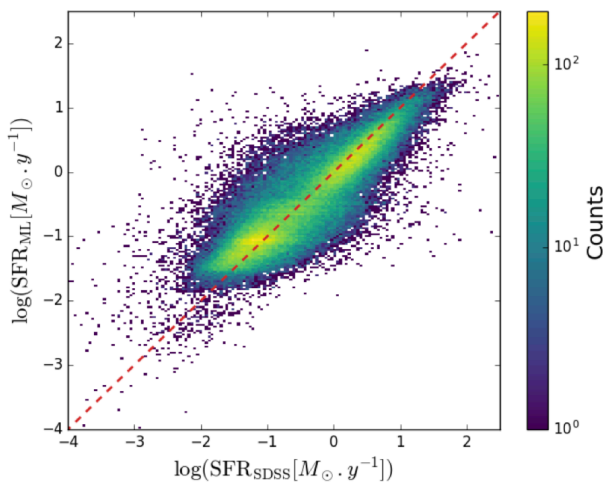
# Biases exist in various classification & regression applications



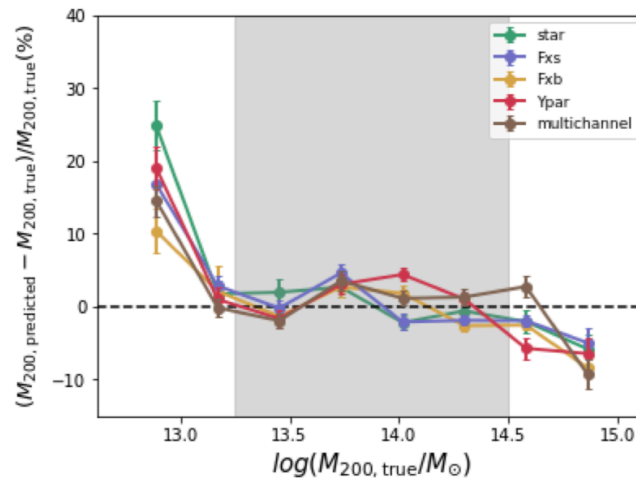
Metallicity (Wu et al. 2019)



Mass of the Local Group (McLeod et al. 2017)



Star Formation Rate (Bonjean et al. 2019)



Cluster Mass (Yan et al. 2020)

# Statistical interpretation of photo-z estimation

Over-confidence / overfit on systematics, spurious correlations, etc.

$$\hat{p}(z_{photo} | D) \sim \int q(z_{photo} | z_{spec}, D) p(z_{spec} | D) dz_{spec}$$

$$p(z_{spec} | D) \sim \int \tilde{q}(z_{spec} | z_{photo}, D) \hat{p}(z_{photo} | D) dz_{photo}$$

Dispersion / softening

**Cause:** unbalanced data distribution  
(uneven number density)

**Cause:**  $q(z_{photo} | z_{spec}, D)$   
not uniform over data

**Bias:** z-dependent residual

**Bias:** mode collapse

**Correction:** use a near-flat  
(balanced) data distribution

**Correction:** introduce  
dispersion to labels

$$\int \tilde{q}(z | z^*, D) \delta(z^* | D) dz^*$$



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# Separate the learning of representation and classification

**Original distribution (SDSS 393219)**

**Balanced distribution (SDSS 16301)**



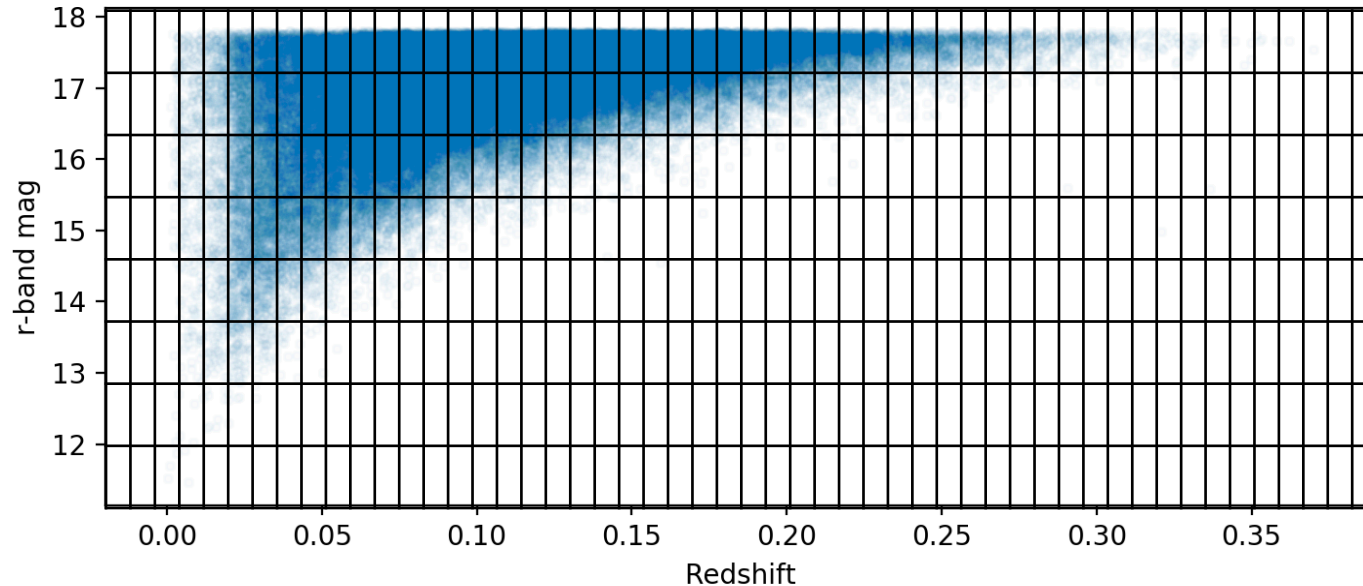
**(a) Representation Learning:** Train the Encoder and the Classifier using all data.

**(b) Classification:** Freeze the Encoder. Train the Classifier using data from a balanced (near-flat) distribution and dispersed labels (may also combined with the technique of multiple outputs).

Bias	Correction
z-dependent residual	Balanced distribution
Mode collapse	Dispersed labels (& Multiple outputs)

## Correction of z-dependent residual: construct a balanced (near-flat) distribution

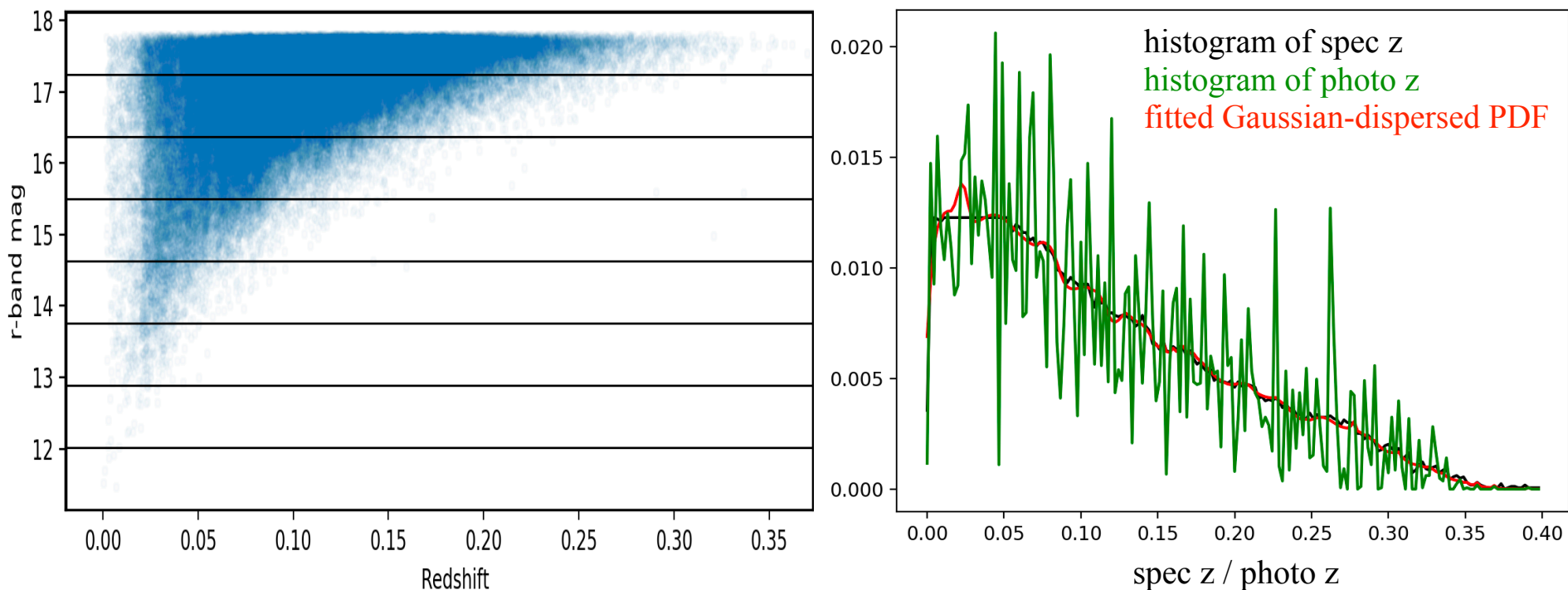
- Divide the whole training set into two-dimensional (z, r) subregions.
- Balanced set: randomly select N events in each subregion ( $N \leq N_{th}$ ).



# Correction of mode collapse: introduce dispersion to labels

- Model  $\tilde{q}(z|z^*, D)$  as Gaussians.  $\int \tilde{q}(z|z^*, D) \delta(z^*|D) dz^*$
- Fit with the histogram of spec z and the histogram of photo z (pre-estimated).

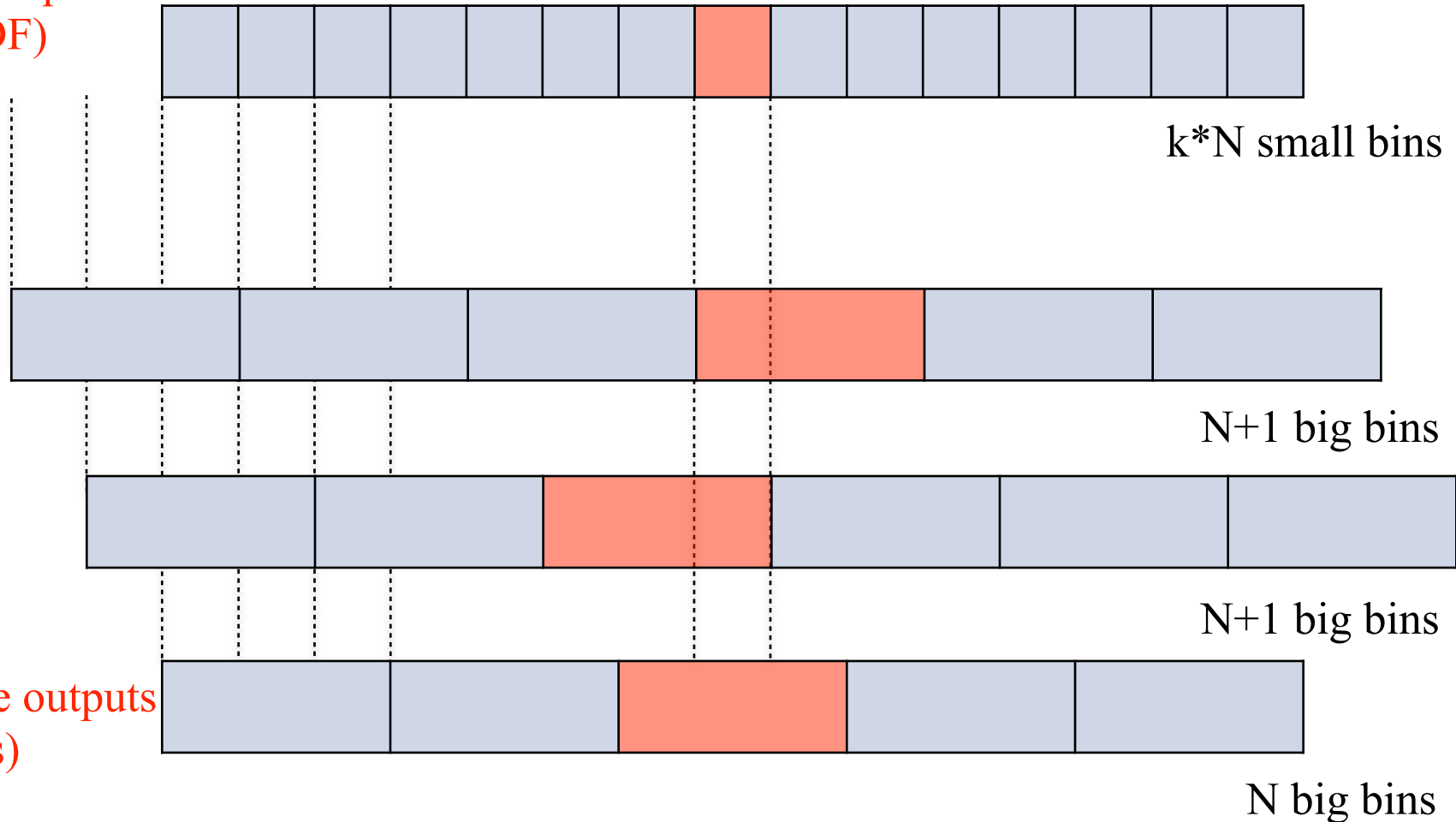
**Approximation:** same label dispersion along z for each r.



# Account for binning correlation: multiple outputs

Train with multiple outputs, then convert to single output by weighted average.

Single output  
(one PDF)



Multiple outputs  
(k PDFs)

# Outline

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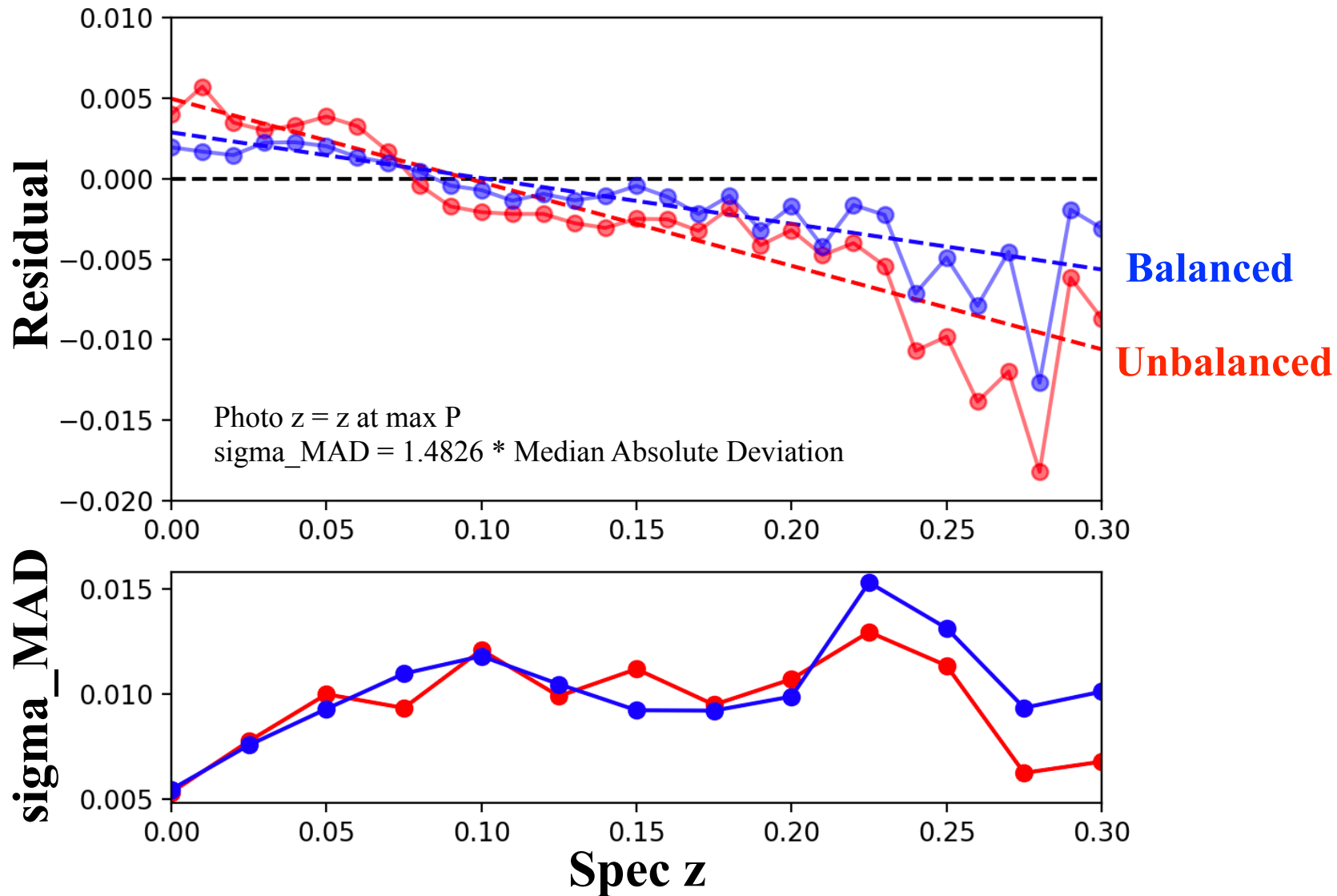
1. Residual as a function of redshift
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# Redshift-dependent residual reduced by balancing the training distribution in number density



# Mode collapse softened by label dispersion and multiple outputs

$$D_{KS} = \sup_z |CDF_{photo}(z) - CDF_{spec}(z)|$$

$$\text{Total Variation} = \frac{1}{2} \int_z |PDF_{photo}(z) - PDF_{spec}(z)| dz$$

$$\chi^2 = \sum_z \frac{[N_{photo}(z) - N_{spec}(z)]^2}{N_{spec}(z)}$$

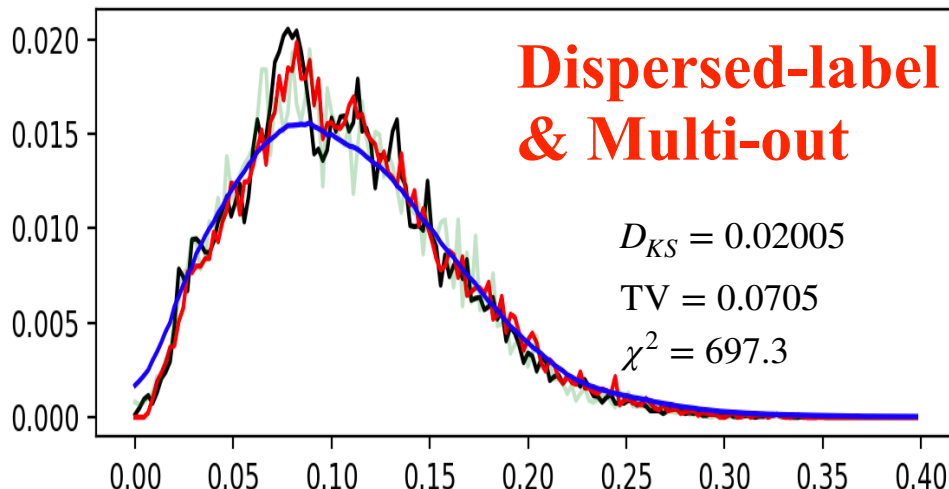
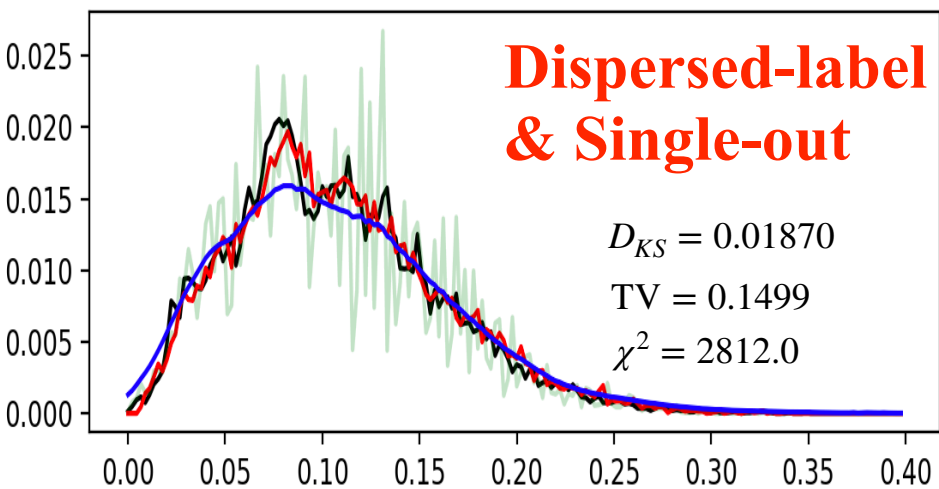
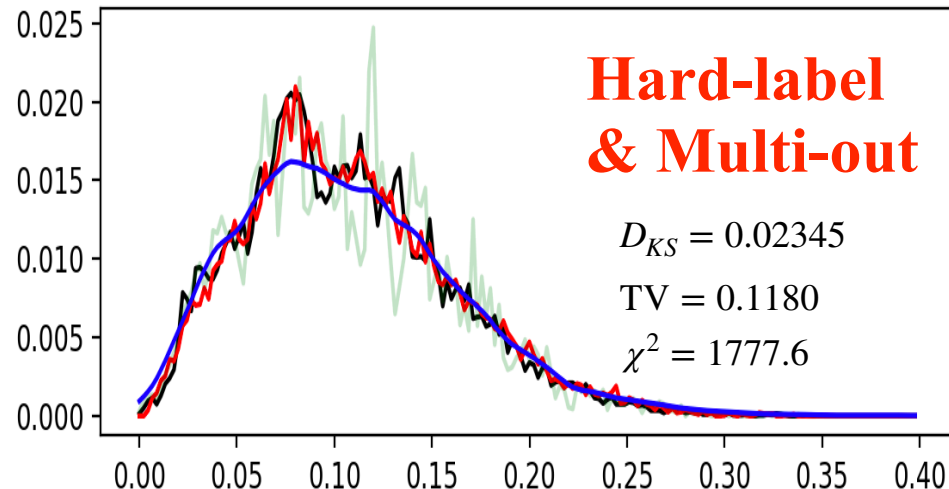
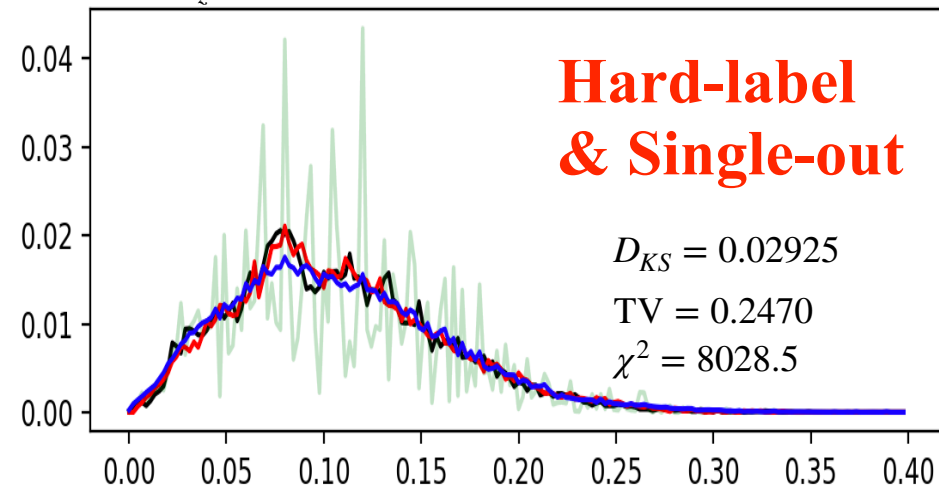
Photo  $z = z$  at max P  
# in test: 19974

histogram of spec  $z$

histogram of  $z$  at max P

histogram of P-weighted avg

PDF stack



Spec  $z$  / photo  $z$

Spec  $z$  / photo  $z$

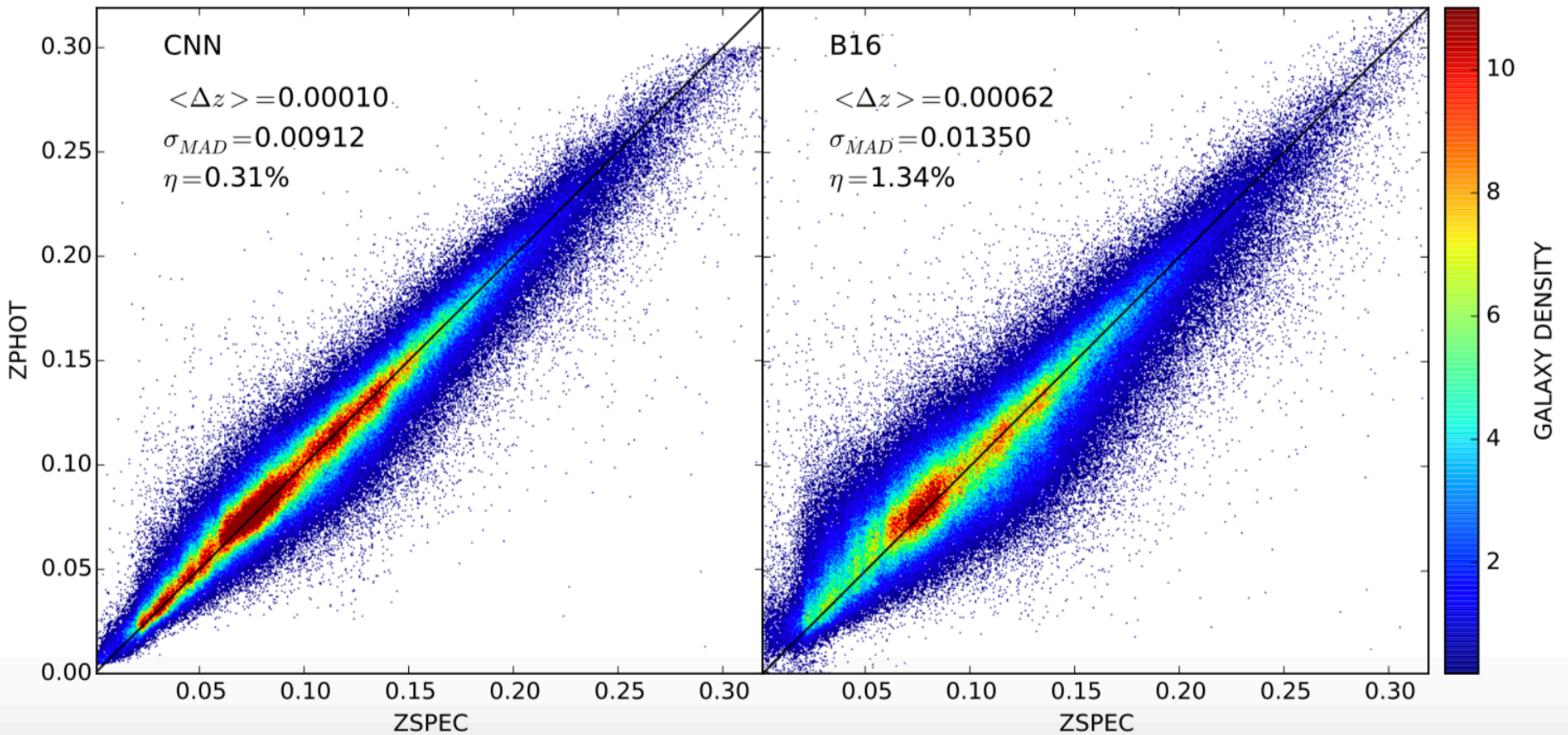


# Summary

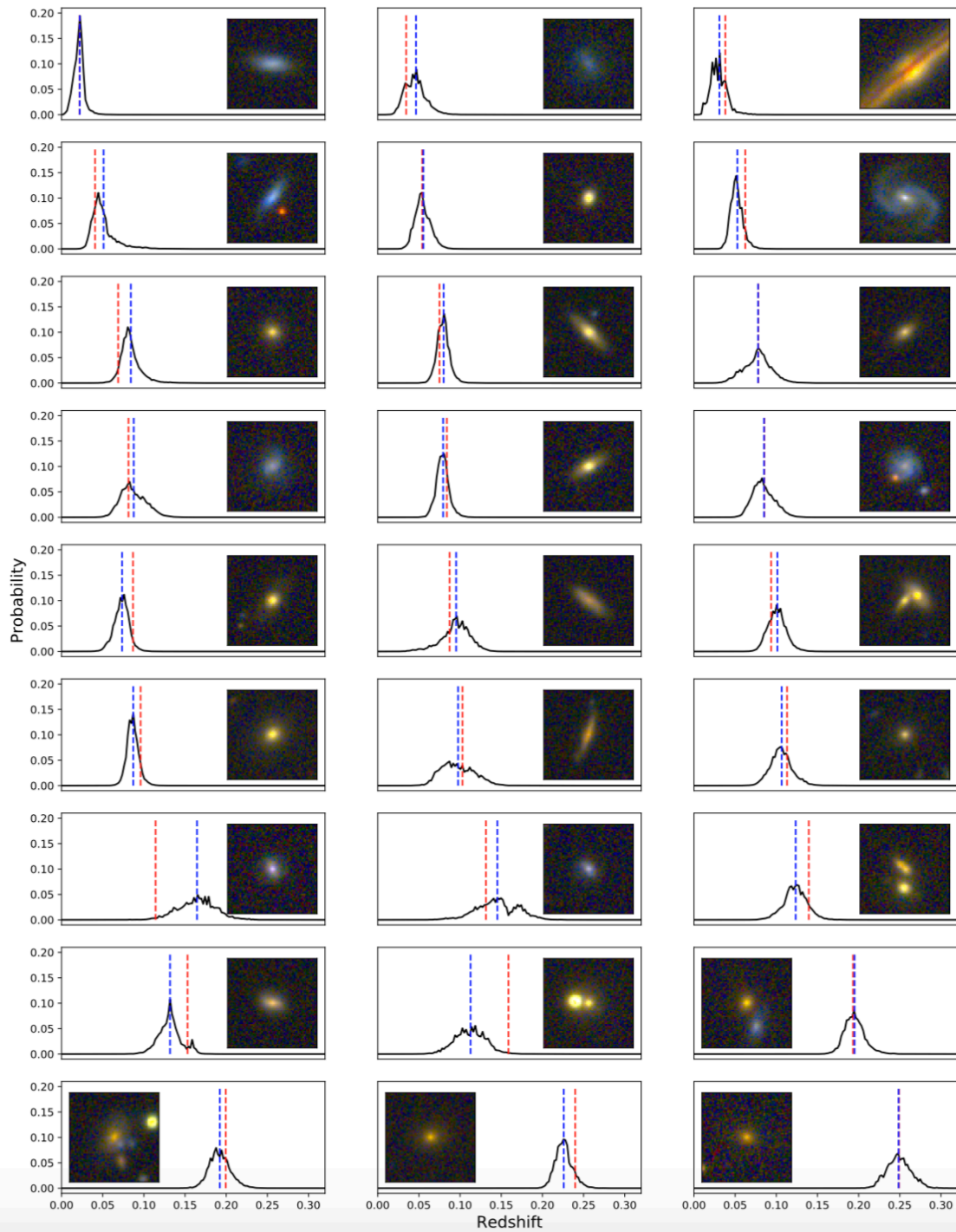
- **Two forms of biases with neural networks**
  - Redshift-dependent residual
  - Mode collapse
- **Biases corrected via balancing training data**
  - Number density
  - Labels
- **Next stage: evaluate/use this method in real photo-z analyses as well as other applications**

**Back-up slides**

# Photometric redshift estimation



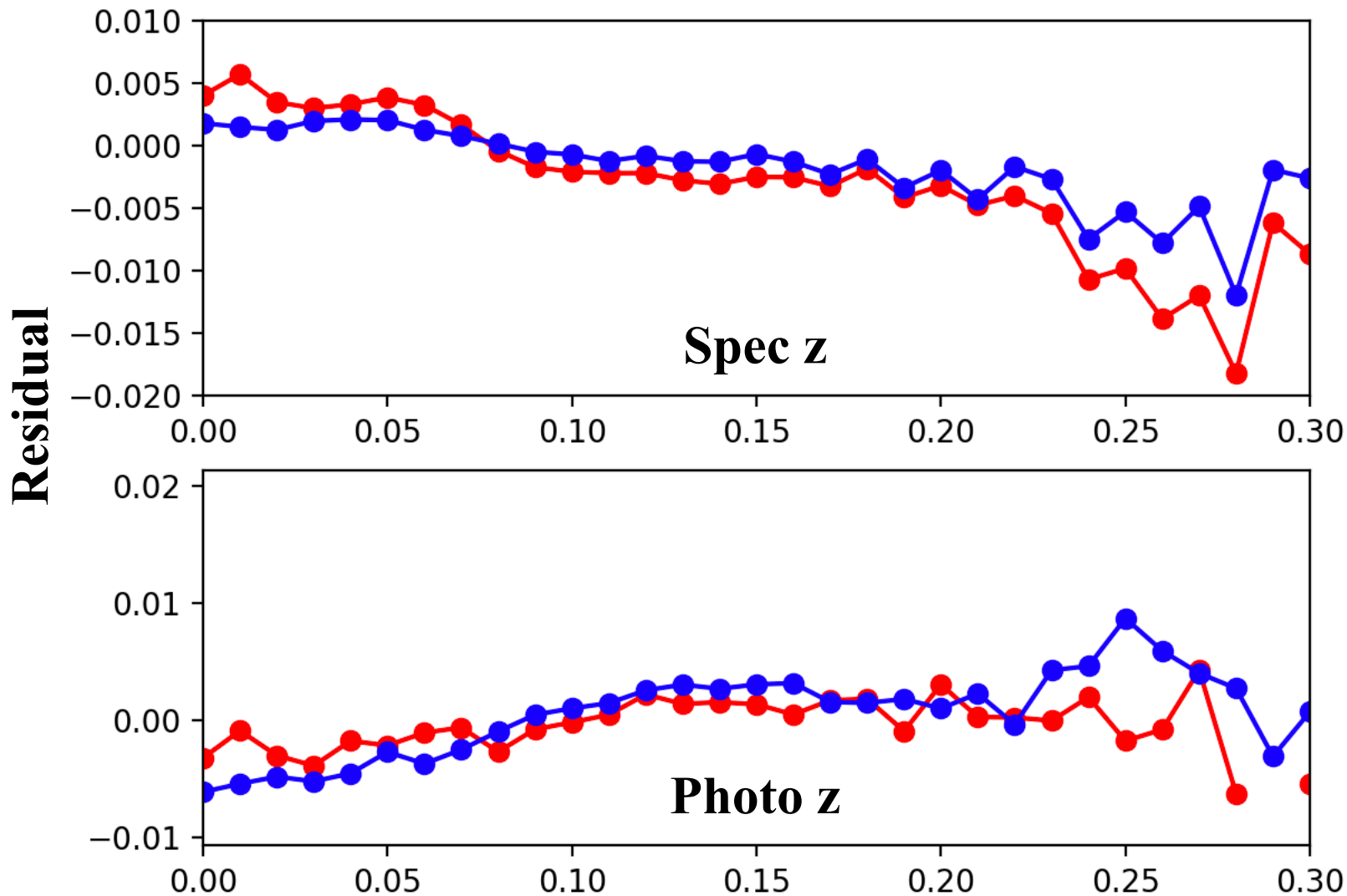
(Pasquet et al. 2018)



(Pasquet et al. 2018)

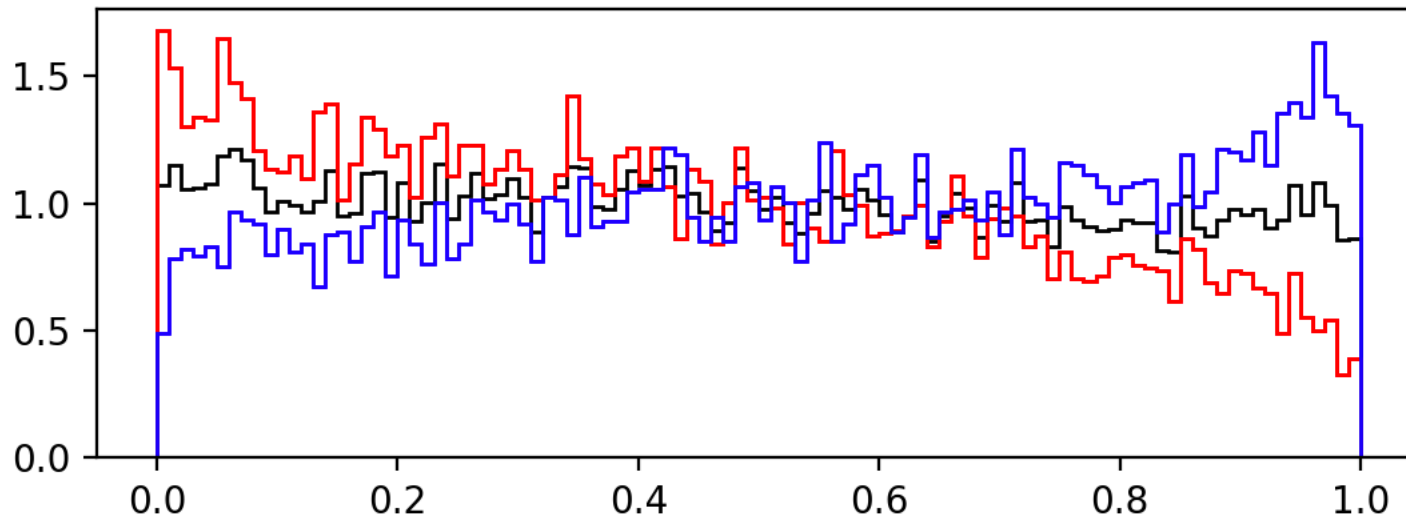
# Bias correction by balancing both the number density and labels

Red: unbalanced  
Blue: balanced  
Photo  $z = z$  at max P

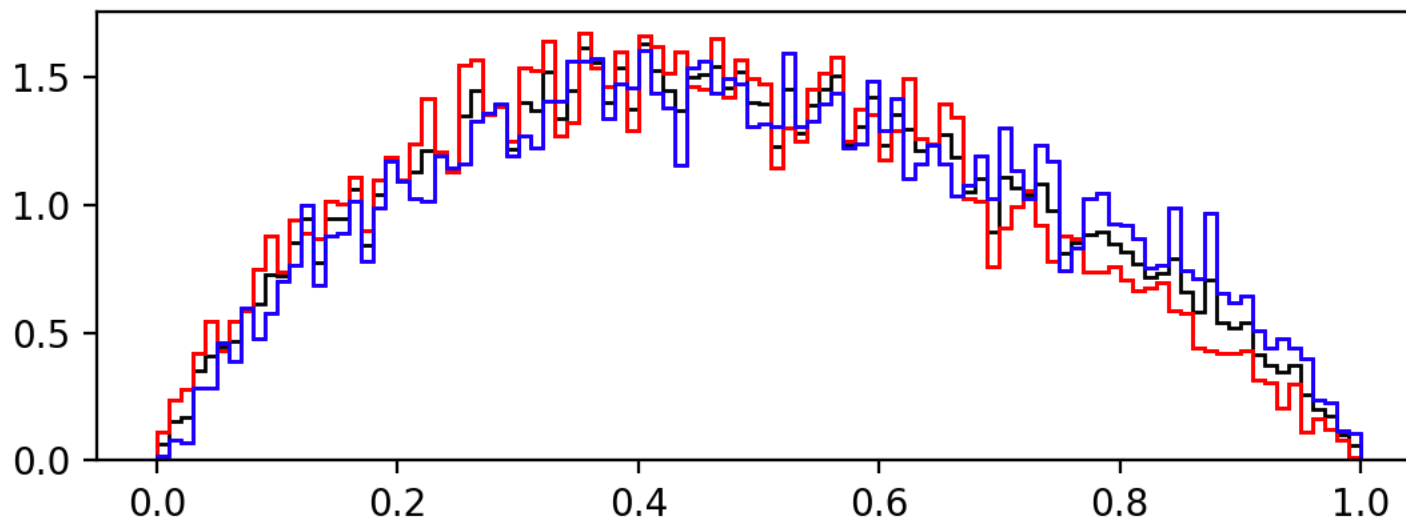


# Probability Integral Transforms (original)

Black: all  
Red:  $z < 0.1$   
Blue:  $z > 0.1$



**Unbalanced**

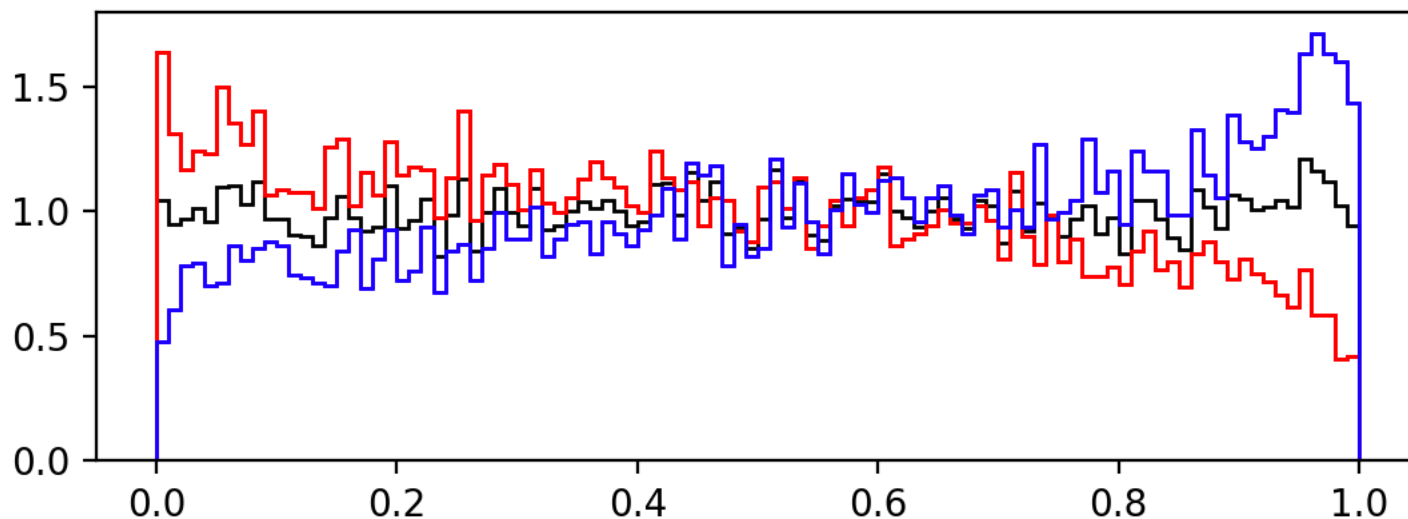


**Balanced**

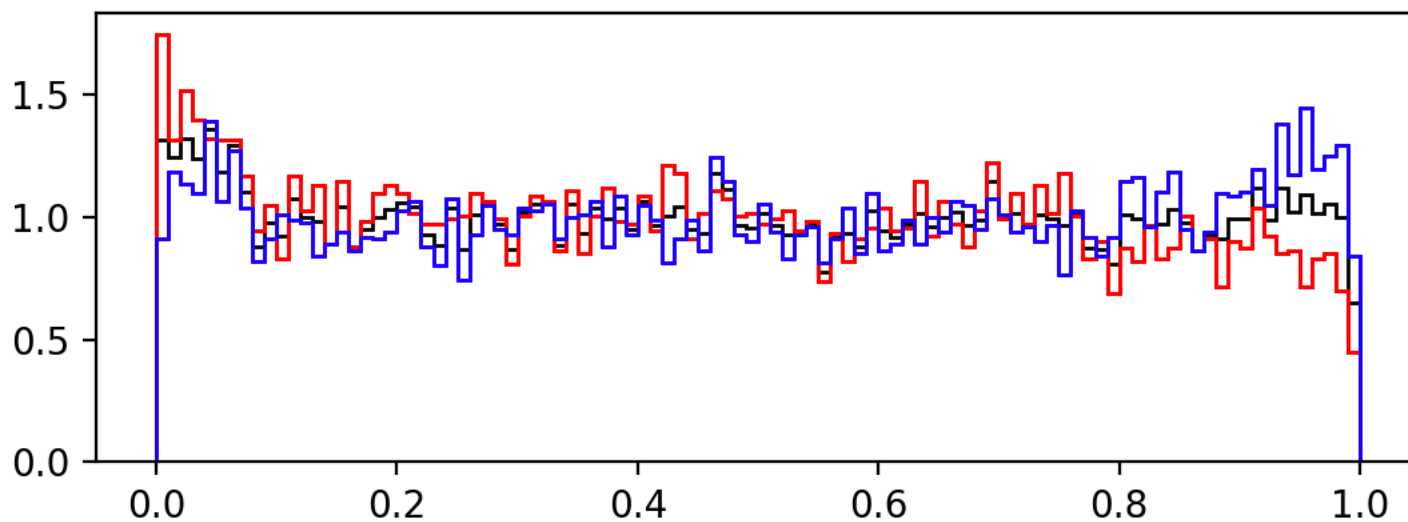
# PIT (calibrated)

PIT calibration: 0910.5735

Black: all  
Red:  $z < 0.1$   
Blue:  $z > 0.1$



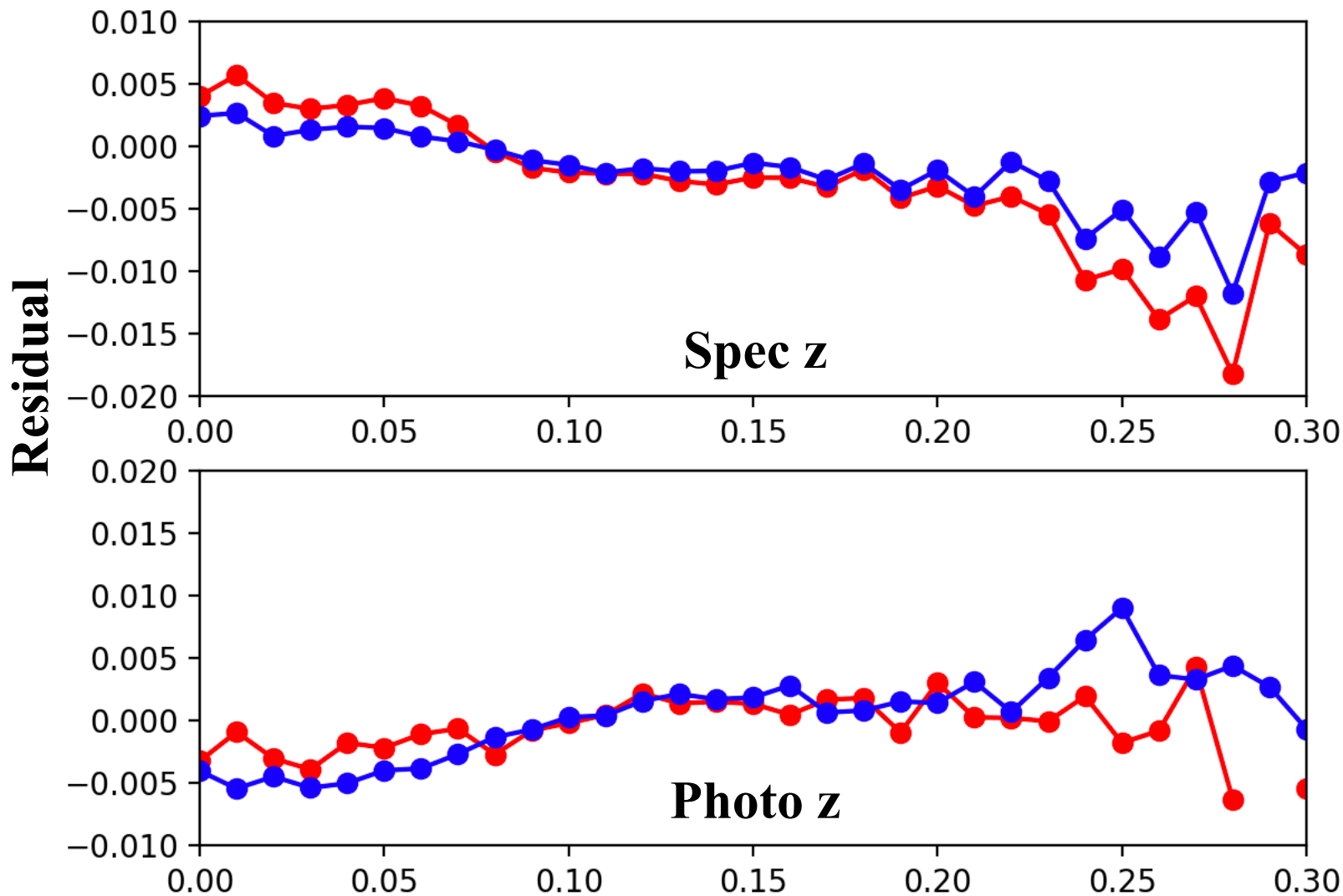
**Unbalanced**



**Balanced**

# Bias correction by balancing both the number density and labels and calibrating PIT

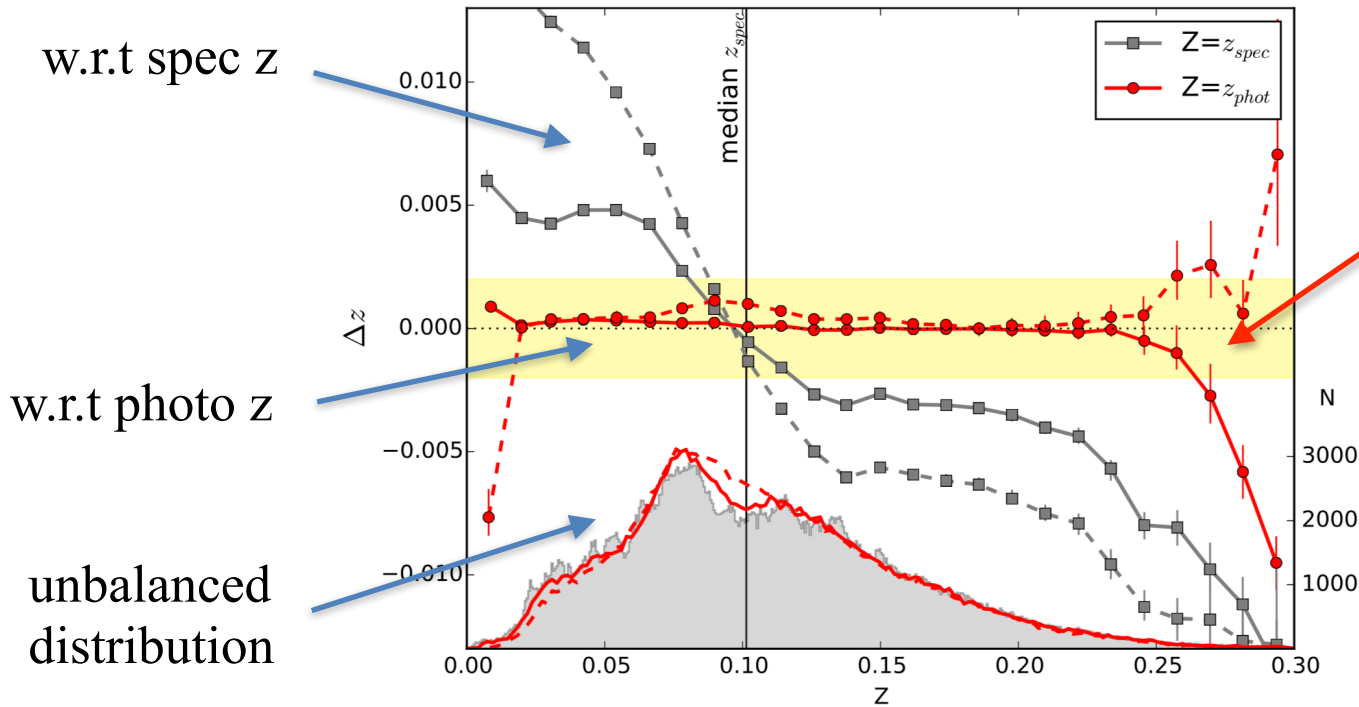
Red: unbalanced  
Blue: balanced  
Photo  $z = z$  at max P





# Bias 1: Residual as a function of redshift (spec z / photo z)

$$\text{Residual} = (z_{\text{photo}} - z_{\text{spec}}) / (1 + z_{\text{spec}})$$



1. Coincident to be flat?
2. What if with a different test set?

SDSS  $z < 0.4$   
(Pasquet et al. 2018)

	Training (if unbalanced)	Test (if != training)
w.r.t spec z	biased	biased
w.r.t photo z	may be unbiased	biased

## Two perspectives (dilemma: not compatible unless perfect)

- **Individual bias (w.r.t spec z):** bias for a single galaxy (centered at  $z_{\text{spec}}$ ); to be reduced for individual estimates (e.g. SN host galaxies)
- **Bulk bias (w.r.t photo z):** average bias at a photo-z bin (centered at  $z_{\text{photo}}$ ); to be reduced for average estimates (e.g., surface density)

$$\langle \Sigma_{\text{cr}} \rangle \propto \int_{z_{\text{Lens}}}^{\infty} dz p(z) \left( \frac{D_d(z_{\text{Lens}}) D_{\text{ds}}(z_{\text{Lens}}, z)}{D_s(z)} \right)$$

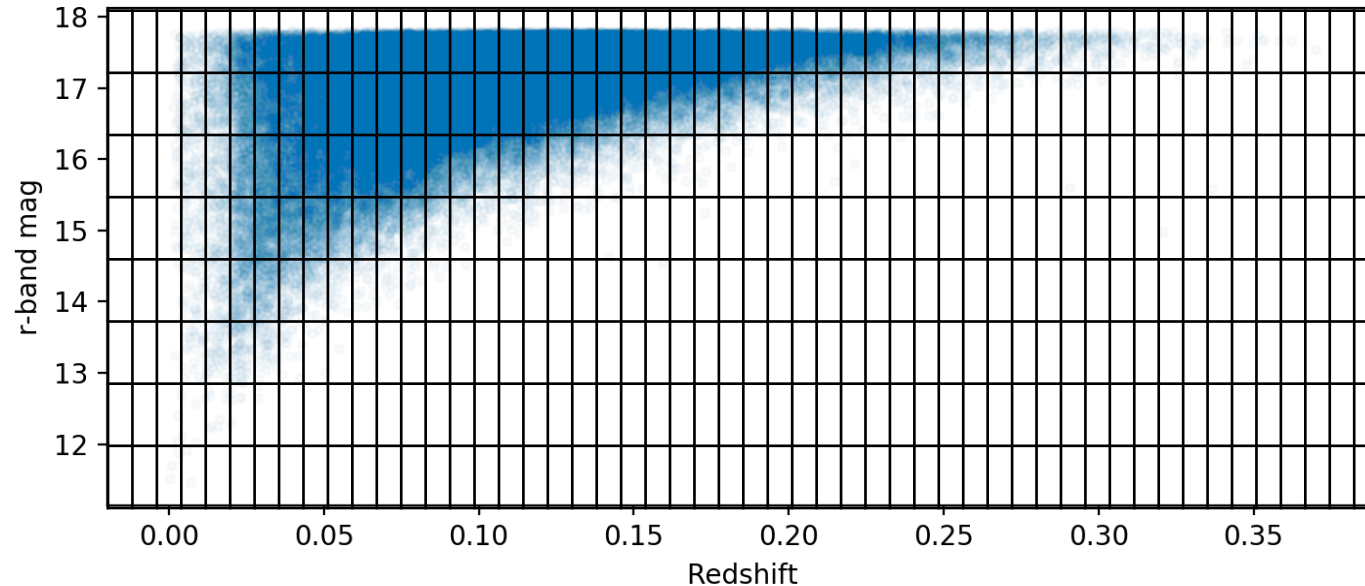
## Correction Procedures

- Correct individual bias (w.r.t spec z) with a two-step training scheme using a balanced training distribution
- Correct bulk bias (w.r.t photo z) with further calibration if needed

# Recalibration: correct bias w.r.t photo z

**Method:** all PDF\_photo's at each  $(z, r)$  subregion shifted by  $-1*b\_train*(1+z)$  so that  $(b\_train\_recalibrated = 0)$

**Assumption:** same  $p(z|r)$  in each  $r$  row for different samples.

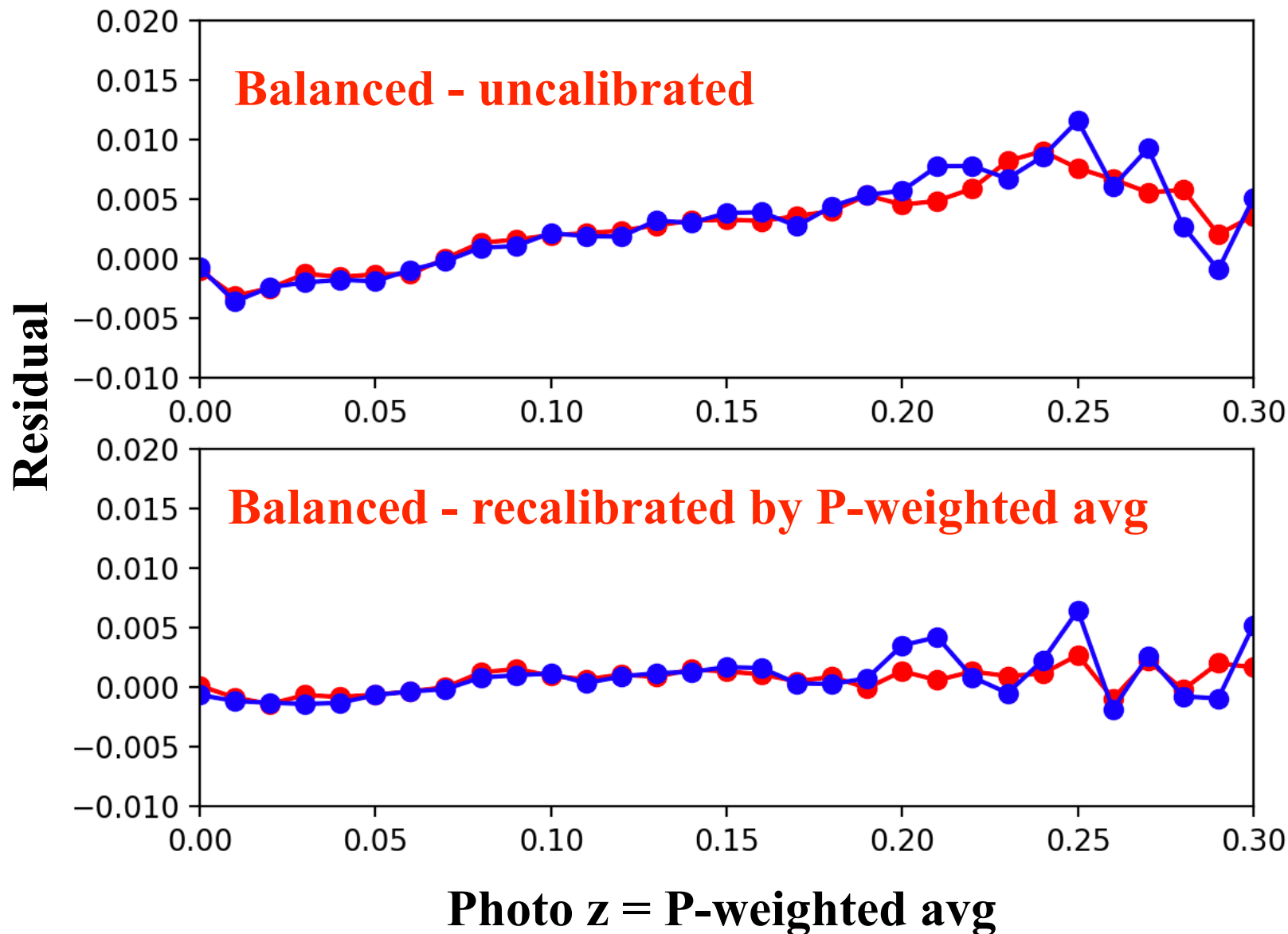


# Reduced bias for photo z after balancing + recalibration

Red: training

Blue: test

Photo z = P-weighted avg



# Reduced bias for photo z after balancing + recalibration

Red: training  
Blue: test  
Photo z = z at max P

