

# First physics results at Belle II: search for dark matter portals

Laura Zani

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# Outline

- Part I → physics motivation and experimental context
- Part II → the analysis strategy
- Part III → data validation and systematic uncertainties
- Part IV → results and conclusions

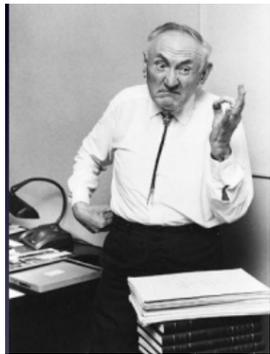
# Part I

- Dark matter theoretical frameworks and searches
- The B-factory concept and the second generation:
  - SuperKEKB collider and Belle II experiment.

# Introduction to dark matter

- Dark Matter (DM) is one of the most compelling issue for physics beyond the Standard Model. Many **astrophysics** and **cosmological observations** provide evidences for its existence:

F. Zwicky, 1933



Virial Theorem:

$$2E_{\text{kin}} = -U$$

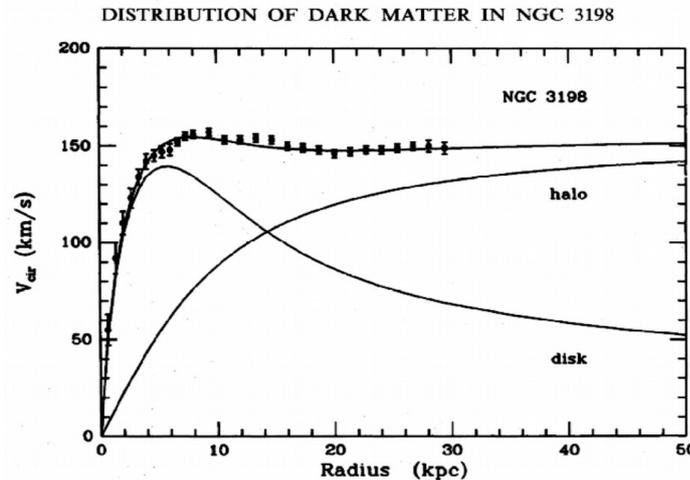
$$\langle v(r)^2 \rangle = GM(r)/r$$

V. Rubin, 1970s

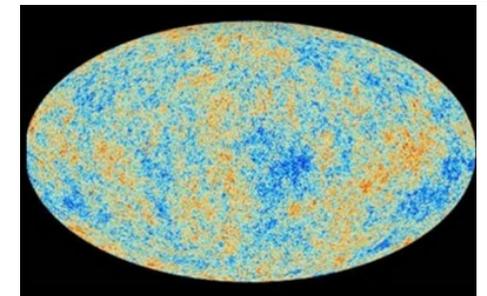
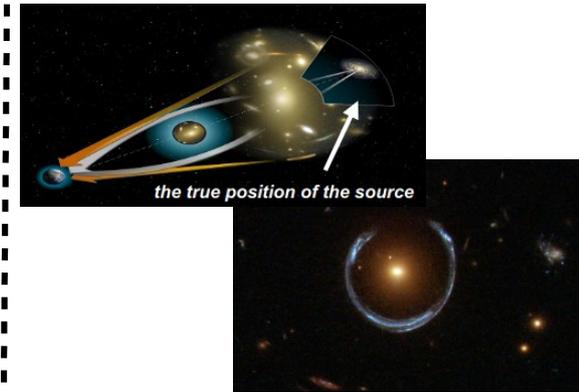


Flat rotational curves:  $v(r) = \text{const}$

→ mass distribution linearly growing with  $r$  (assuming  $\rho(r)=1/r^2$ )



Gravitational Lensing



CMB Fluctuations

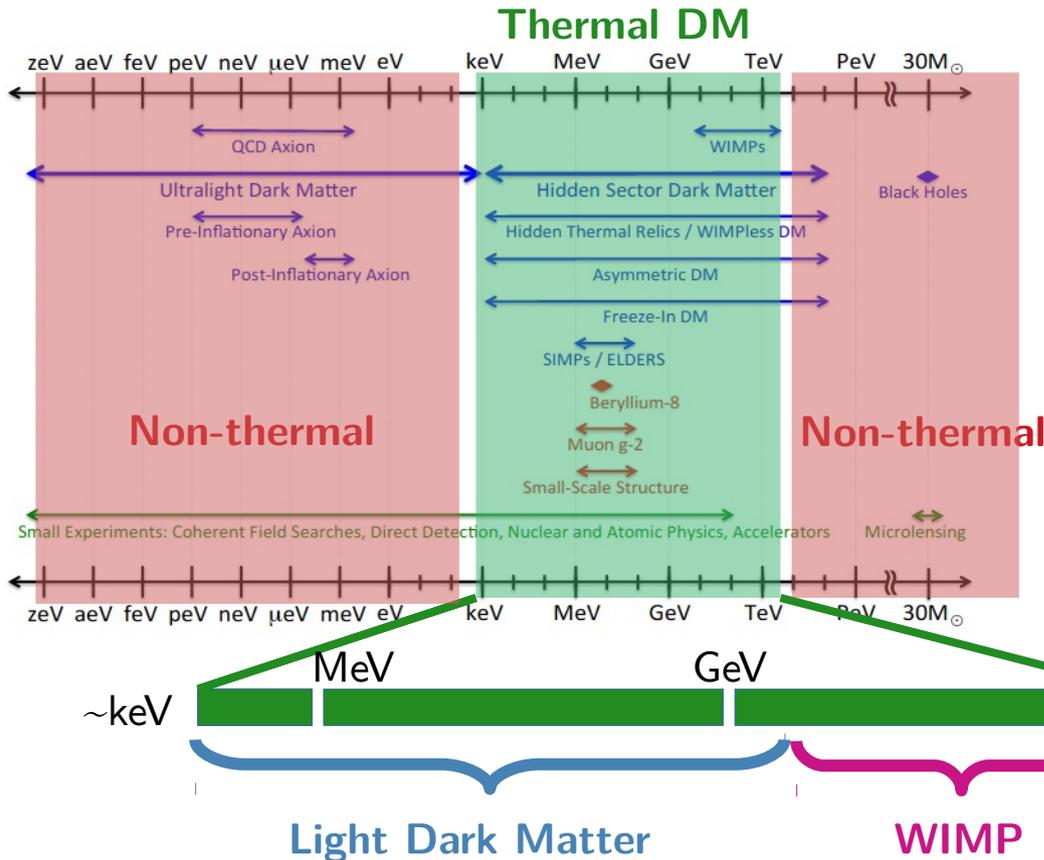
A large amount of not-luminous matter must populate galaxy bulks.

# Dark matter candidates

• DM is an unsolved puzzle → Unknown origin and nature!

→ Modified Newtonian Gravity...  
→ Non-particle candidates: MACHOs

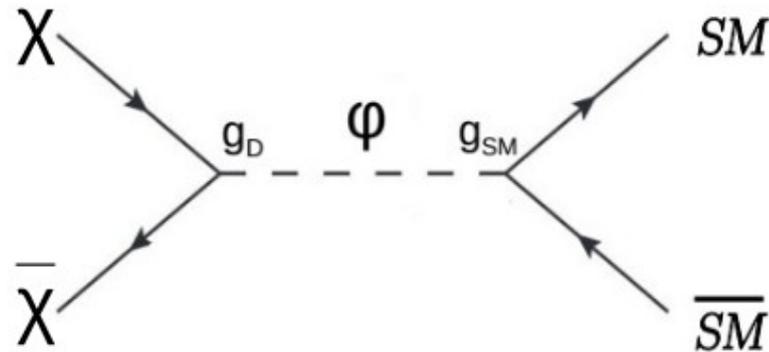
→ Particle candidates



- **Neutrinos** ✗ hot, relativistic candidates
  - **QCD Axions** ✗ constrained by stellar cooling processes and supernovae dynamics, disfavoring thermal production
  - **Sterile Neutrinos** ✓ observed DM abundance ✓ neutrino masses
  - **Weakly Interacting Massive Particles (WIMPs)** ✓ match supersymmetric candidates (neutralino, *WIMP miracle*)
- ✗ null results from direct searches

# Light dark matter scenarios

- No evidences for WIMP favor light DM hypotheses
- Possibility of *light dark sectors* motivates the search for a **DM mediator ( $\varphi$ )**:



Measured from cosmological observations

$$\langle \sigma v \rangle_{\text{relic}} \sim \frac{g_D g_{SM} m_\chi^2}{m_\phi^4} < 1$$

Experimentally constrained by current searches

$$m_\phi^4 \leq \frac{m_\chi^2}{\langle \sigma v \rangle} \text{ since } g < O(1)$$

May be too small to be consistent with the mass of any known SM mediator

→ NEW PORTALS

# Light dark sectors: portals

→ According to the spin and parity of new mediator, **3 renormalizable portals** with dimensionless couplings are allowed by Standard Model (SM) symmetries:

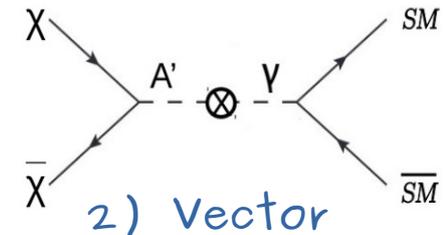
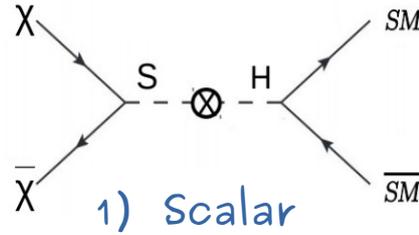
mass → +2.3 MeV/c <sup>2</sup>	+1.275 GeV/c <sup>2</sup>	+173.07 GeV/c <sup>2</sup>	0	+126 GeV/c <sup>2</sup>
charge → 2/3	2/3	2/3	0	0
spin → 1/2	1/2	1/2	0	0
<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> Higgs boson
<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b>γ</b> photon	
<b>e</b> electron	<b>μ</b> muon	<b>τ</b> tau	<b>Z</b> Z boson	
<b>ν<sub>e</sub></b> electron neutrino	<b>ν<sub>μ</sub></b> muon neutrino	<b>ν<sub>τ</sub></b> tau neutrino	<b>W</b> W boson	

Standard Model



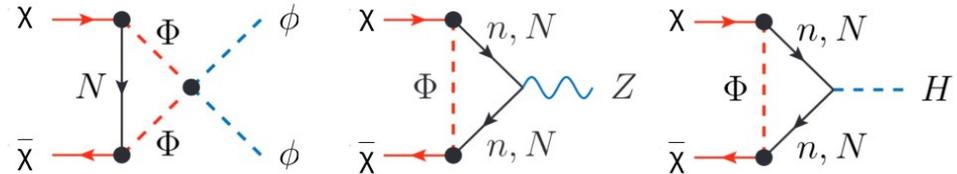
Portal X

Hidden Sector



Naturally included in the context of light *dark sectors* → *much more generic*

### 3) Neutrino portal



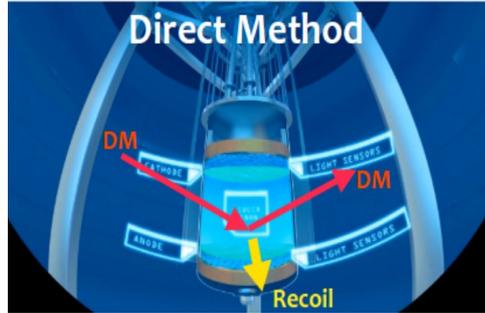
+ a not-renormalizable **pseudo-scalar** portal assuming Axion-Like Particles (ALPs) as mediators:

$$\mathcal{L}_a = \frac{1}{f_a} a F^{\mu\nu} \tilde{F}_{\mu\nu}$$

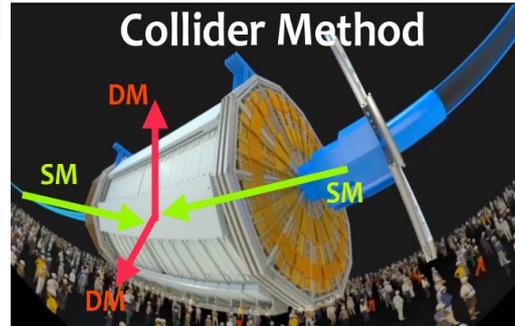
# Dark matter detection

## How to search for it?

1) Detect the energy of nuclear(electron) recoil

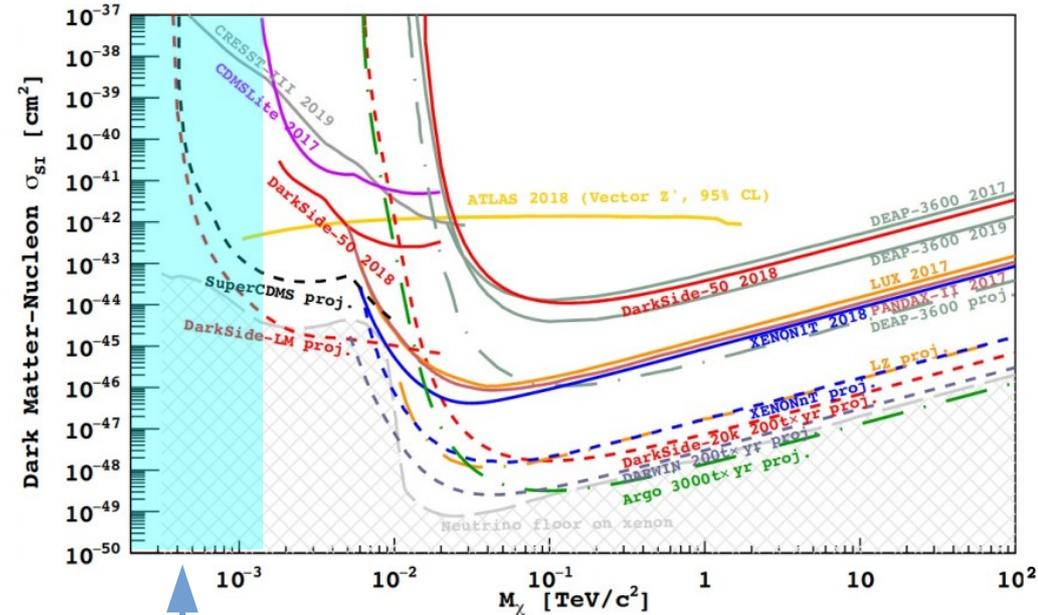


2) Detect the flux of visible particles produced by DM annihilation, decays or conversions



This presentation will focus on DM searches at B-factories

- Sensitivities and upper limits on WIMP-nucleon spin-independent scattering cross section (*European Strategy, Granada 2019*)

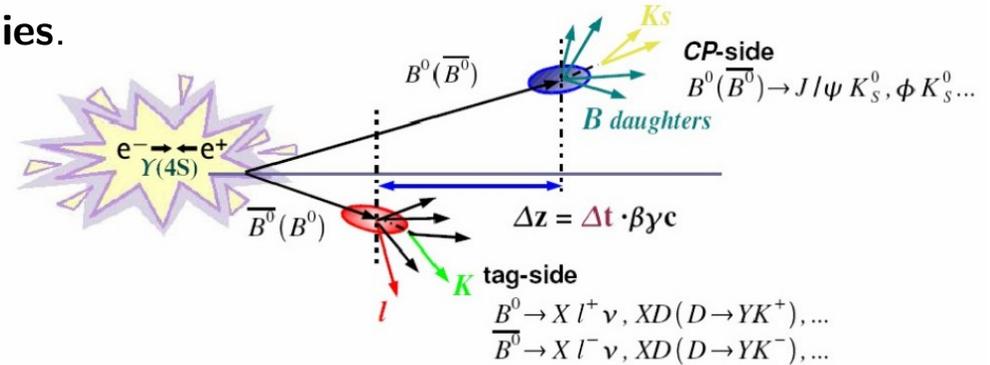


# Experiments at B-factories

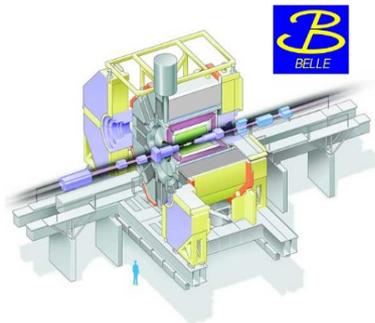
**B-factories:** dedicated experiments at  $e^+e^-$  *asymmetric-energy colliders* for the production of quantum coherent  $B\bar{B}$  pairs  $\rightarrow$  time dependent **CP violation studies**.

$$e^+e^- \rightarrow \Upsilon(4S) [10.58 \text{ GeV}] \rightarrow B\bar{B}$$

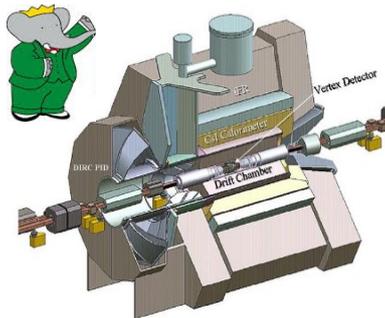
$\Upsilon(nS) =$  bound state of  $b$  quark and  $b$  anti-quark



## First generation of B-factories



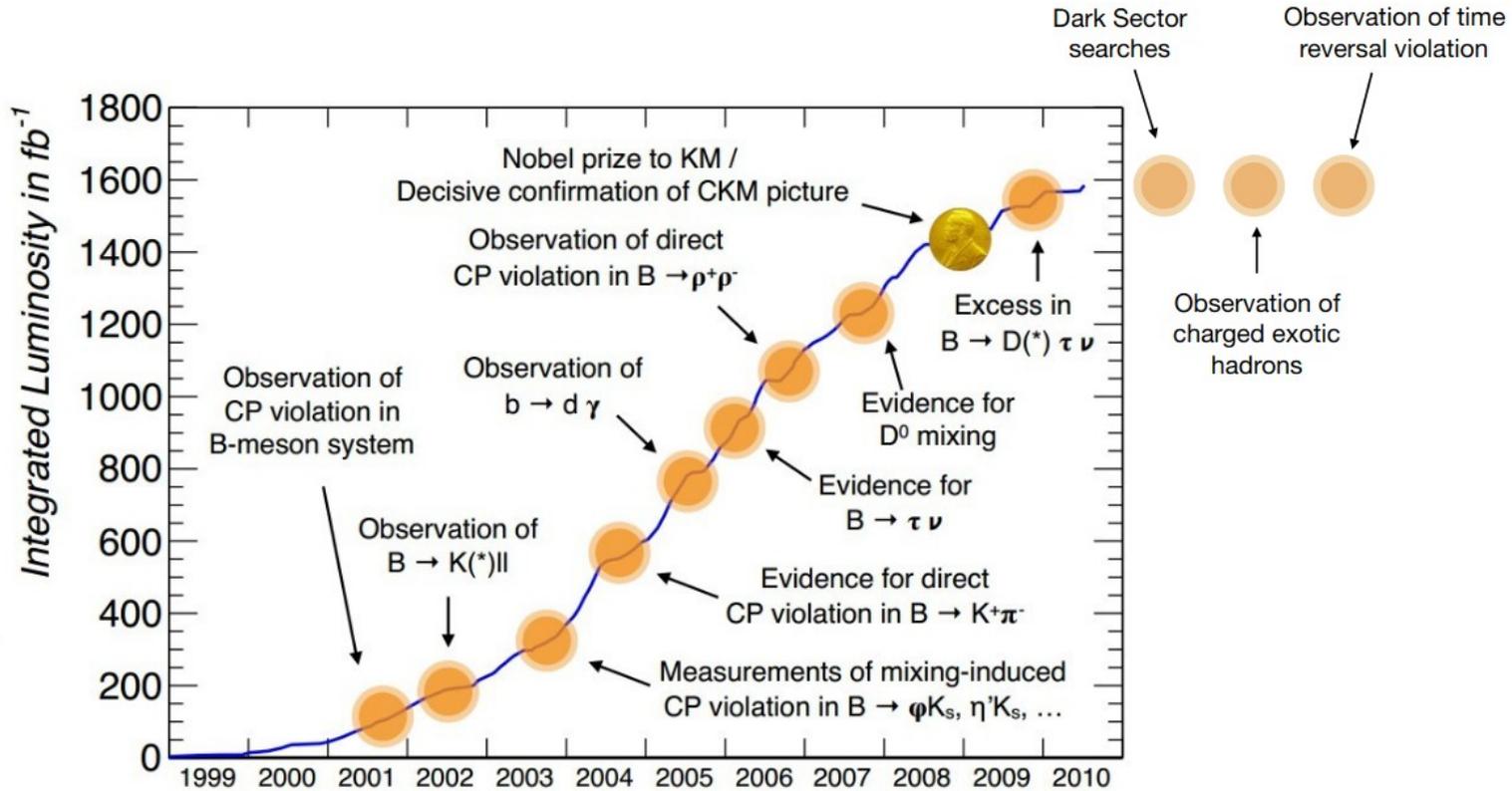
at the KEKB collider  
(KEK, Japan)



at the PEP II collider  
(SLAC, California)

- **Clean environment**  $\rightarrow$  lower background, high resolution
- **Hermetic detector** with excellent PID capability
- Efficient reconstruction of **neutrals** ( $\pi^0, \eta, \dots$ ):
  - closed kinematics  $\rightarrow$  study recoiling system and *missing energy* final states

# Physics at B-factories



BaBar: PEP-II  $e^+e^-$  collider, SLAC, USA, 1999–2008

Belle: KEKB  $e^+e^-$  collider, KEK, Tsukuba, Japan, 1999–2010

# SuperKEKB accelerator

- World highest luminosity, applying the large crossing angle (83 mrad) *nano-beam scheme* [arXiv:0709.0451].

KEKB



$I$  (A):  $\sim 1.6/1.2$

$\beta_y^*$  (mm):  $\sim 5.9/5.9$

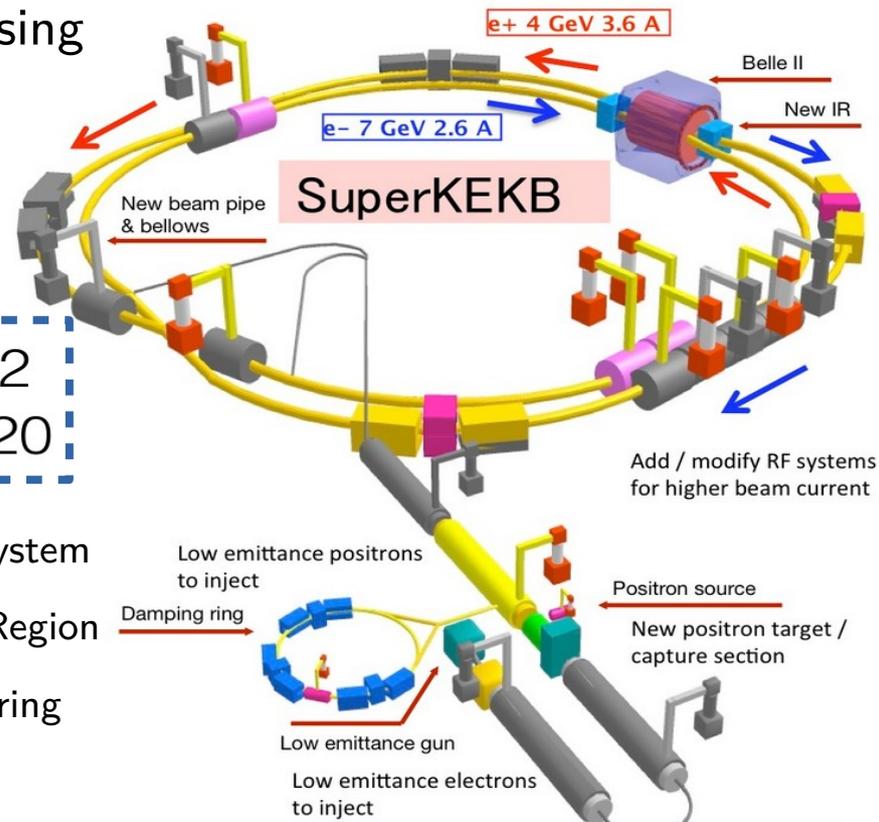
SuperKEKB



$I$  (A):  $\sim 3.6/2.6$

$\beta_y^*$  (mm):  $\sim 0.27/0.3$

$$\begin{matrix} I & \uparrow & \times & 2 \\ \beta_y^* & \downarrow & \times & 1/20 \end{matrix}$$



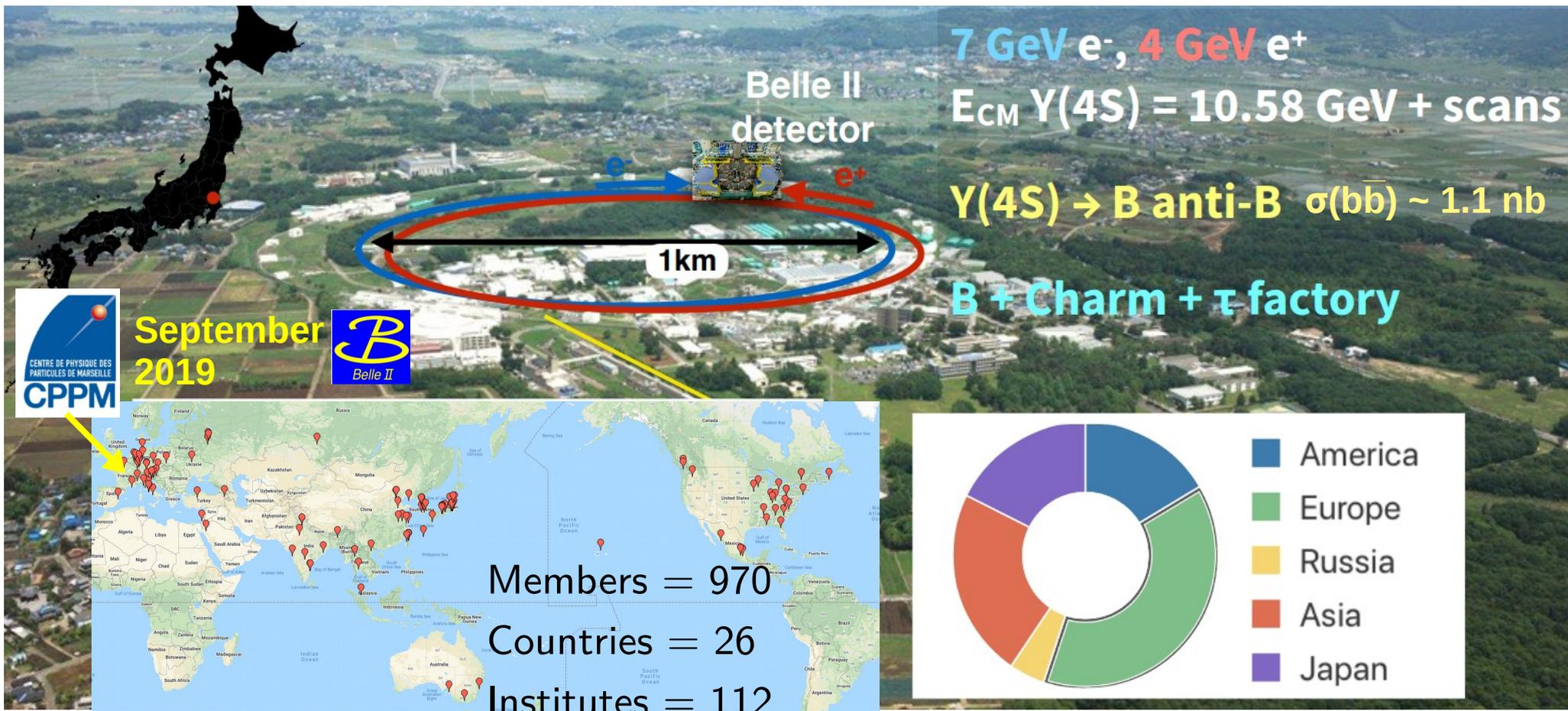
- New final focus system
- New Interaction Region
- New  $e^+$  damping ring

$$L = \frac{\gamma_{\pm}}{2er_e} \left( 1 + \frac{\sigma_y^*}{\sigma_x^*} \right) \frac{I_{\pm} \xi_{y\pm}}{\beta_{y\pm}^*} \left( \frac{R_L}{R_{\xi_y}} \right)$$

Lorentz factor  $\gamma_{\pm}$   
 beam current  $I_{\pm}$   
 beam-beam parameter  $\xi_{y\pm}$   
 beam aspect ratio at the IP  $\frac{\sigma_y^*}{\sigma_x^*}$   
 vertical beta-function at the IP  $\beta_{y\pm}^*$   
 geometrical reduction factors  $\left( \frac{R_L}{R_{\xi_y}} \right)$

40x KEKB peak luminosity:  $\mathcal{L} = 8 \cdot 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$

# Belle II collaboration



# Belle II detector

- The Belle II detector has better resolution, PID and capability to cope with higher background

## Electromagnetic calorimeter (ECL):

CsI(Tl) crystals  
waveform sampling (energy, time, pulse-shape)

## K<sub>L</sub> and muon detector (KLM):

Resistive Plate Counters (RPC) (outer barrel)  
Scintillator + WLSF + MPPC (endcaps, inner barrel)

## Magnet:

1.5 T superconducting

## Trigger:

Hardware: < 30 kHz  
Software: < 10 kHz

## Vertex detectors (VXD):

2 layer DEPFET pixel detectors (PXD, partially installed)  
4 layer double-sided silicon strip detectors (SVD)

## Central drift chamber (CDC):

He(50%):C<sub>2</sub>H<sub>6</sub> (50%), small cells,  
fast electronics

## Particle Identification (PID):

Time-Of-Propagation counter (TOP) (barrel)  
Aerogel Ring-Imaging Cherenkov Counter (ARICH) (FWD)

$\beta\gamma=0.28$

$e^-$  (7 GeV)

$e^+$  (4 GeV)

***Belle II → x50 the data set of its predecessor!***

DEPFET: depleted p-channel field-effect transistor  
WLSF: wavelength-shifting fiber  
MPPC: multi-pixel photon counter

# Belle II data taking

## Phase 2: April – July 2018

- Partial VXD installed (one ladder per each layer)
- Verify nano-beam scheme, commission the detector and the machine



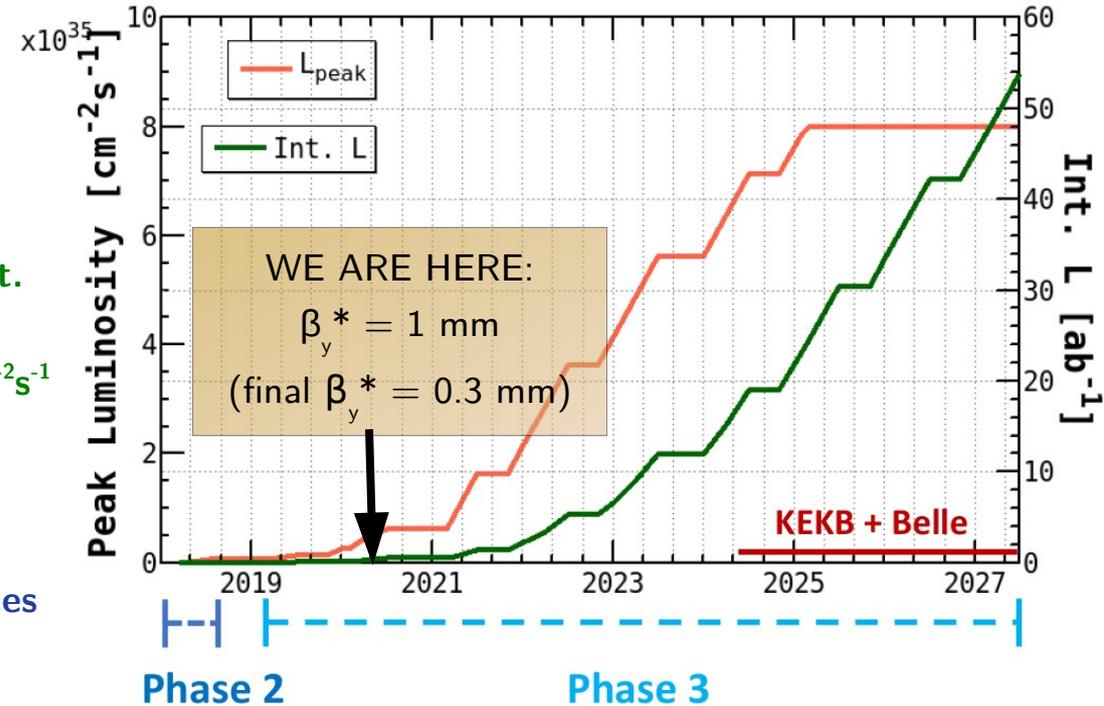
- Reached inst. Luminosity  $0.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- $0.5 \text{ fb}^{-1}$  collected  $\rightarrow$  suitable for Dark Searches

## Phase 3: March 2019 – ... $\sim 10 \text{ fb}^{-1}$ collected in 2019

VXD detector installed

$\rightarrow$  4 full layers of silicon strips

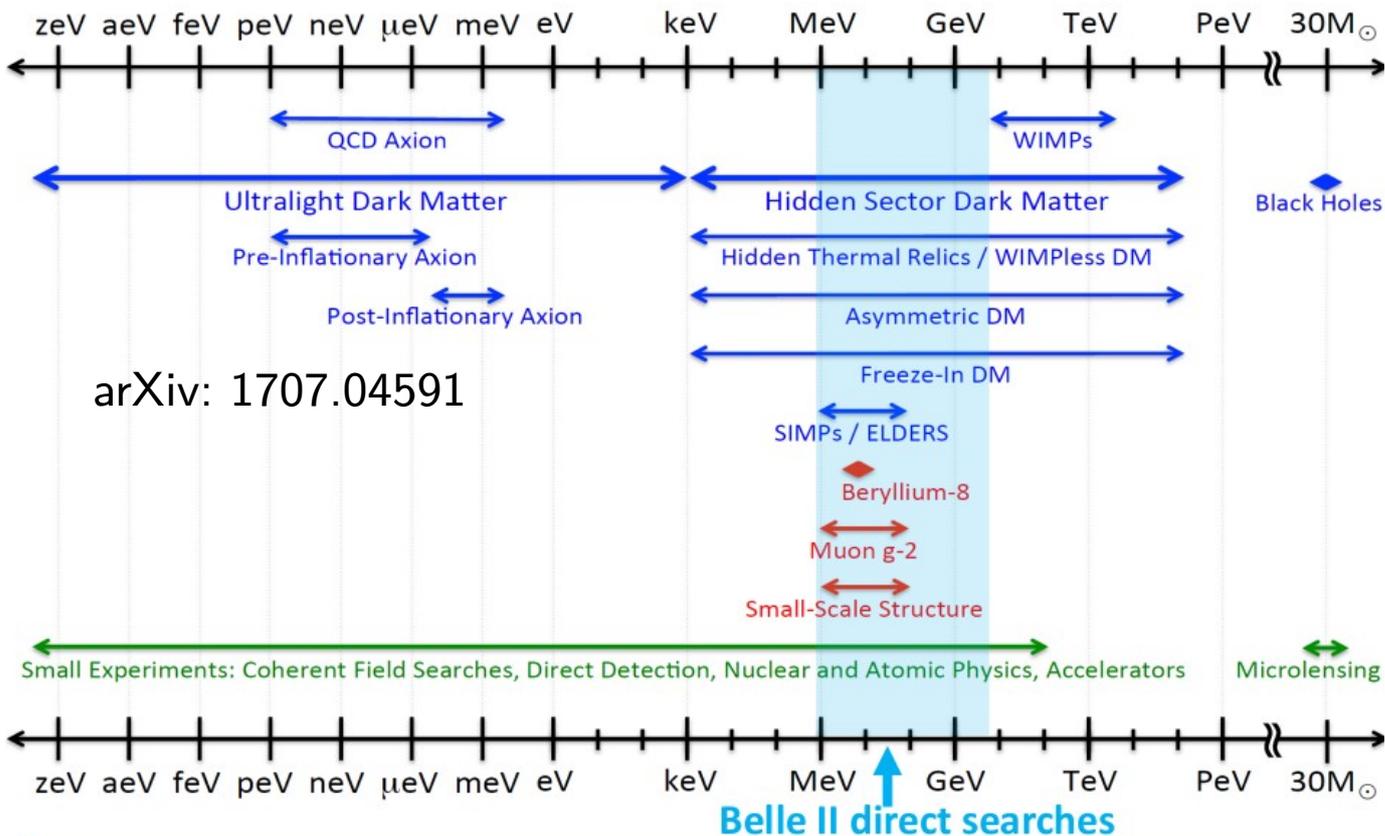
$\rightarrow$  1 full of pixels + 1/6 (installation finalized  $\sim$ 2021)



Phase 3 FINAL GOAL :  $50 \text{ ab}^{-1}$

# Overview of dark searches at Belle II

## Dark Sector Candidates, Anomalies, and Search Techniques



arXiv: 1707.04591

- Belle II can access the mass range naturally favored by *light dark sectors*

### Early luminosity benchmark:

- *Vector portal:*  
dark photon  $A'$ ,  $Z'$  boson
- *Pseudo-scalar portal:*  
ALPs

# Muonic dark forces: $L_\mu$ - $L_\tau$ model

- New gauge boson  $Z'$  coupling only to the **2<sup>nd</sup> and 3<sup>rd</sup>** generation of leptons ( $L_\mu$ - $L_\tau$  symmetry):

$$\mathcal{L} = \sum_\ell \theta g' \bar{\ell} \gamma^\mu Z'_\mu \ell$$

- *If lighter accessible DM exists,  $Z'$  could decay to DM*
- *May explain: DM abundance,  $(g-2)_\mu$  and flavor anomalies  $R(K^{(*)})$ ,  $R(D^{(*)})$*

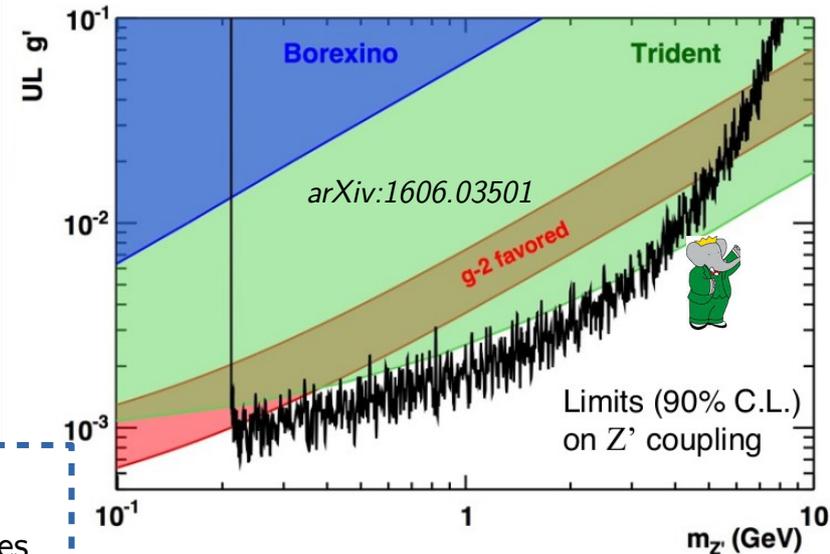
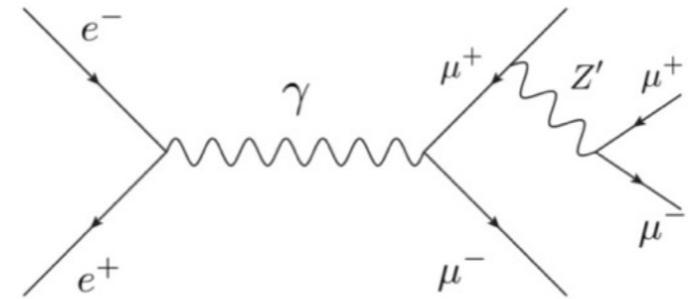
- Search for the process:

$$e^+ e^- \rightarrow \mu^+ \mu^- Z'$$

- Existing limits on the  $Z'$  coupling ( $g'$ ):

- searches for visible decays  $Z' \rightarrow \mu^+ \mu^-$  (BaBar *arXiv:1606.03501*, CMS *arXiv:1808.03684*)
- neutrino-nucleus scattering processes (*neutrino trident production*, CCFR experiment at Fermilab)

→ Muonic dark force at BaBar: search on  $514 \text{ fb}^{-1}$  for a peak in the dimuon invariant mass distribution in  $e^+ e^- \rightarrow \mu^+ \mu^- \mu^+ \mu^-$  processes



# Search for $Z'$ to invisible

- Invisible signature investigated for the first time:

$$e^+e^- \rightarrow \mu^+\mu^- Z', Z' \rightarrow \text{invisible}$$

- Search for a peak in the mass spectrum of the recoil against a  $\mu^+\mu^-$  pair in events where **NOTHING** else is detected.

*Shuve et al. [arXiv:1403.2727]*

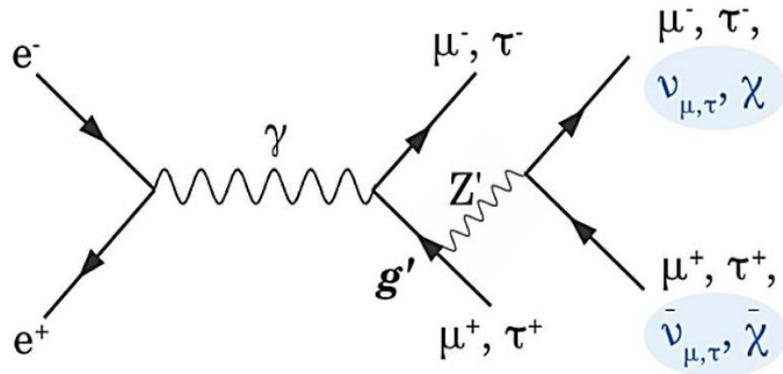
*Altmannshofer et al. [arXiv:1609.04026]*

### Branching ratios:

$$M_{Z'} < 2M_\mu \rightarrow \Gamma(Z' \rightarrow \text{inv.}) = 1$$

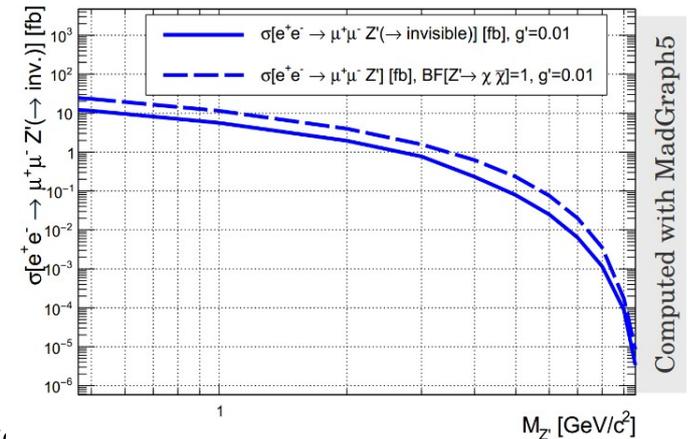
$$2M_\mu < M_{Z'} < 2M_\tau \rightarrow \Gamma(Z' \rightarrow \text{inv.}) \sim 1/2$$

$$M_{Z'} > 2M_\tau \rightarrow \Gamma(Z' \rightarrow \text{inv.}) \sim 1/3$$



$$e^+e^- \rightarrow \mu^+\mu^- + \text{missing energy}$$

- If lighter DM is accessible ( $m_\chi < m_{A'}/2$ ),  $\text{BR}(Z' \rightarrow \chi\bar{\chi}) = 1$  and SM final states are highly suppressed.



# Search for LFV $Z'$ to invisible

- Invisible signature investigated for the first time:

$$e^+e^- \rightarrow \cancel{\mu^+} \mu^- Z', Z' \rightarrow \text{invisible}$$

- Search for a peak in the mass spectrum of the recoil against a *electron-muon* pair in events where **NOTHING** else is detected.

*Shuve et al. [arXiv:1403.2727]*

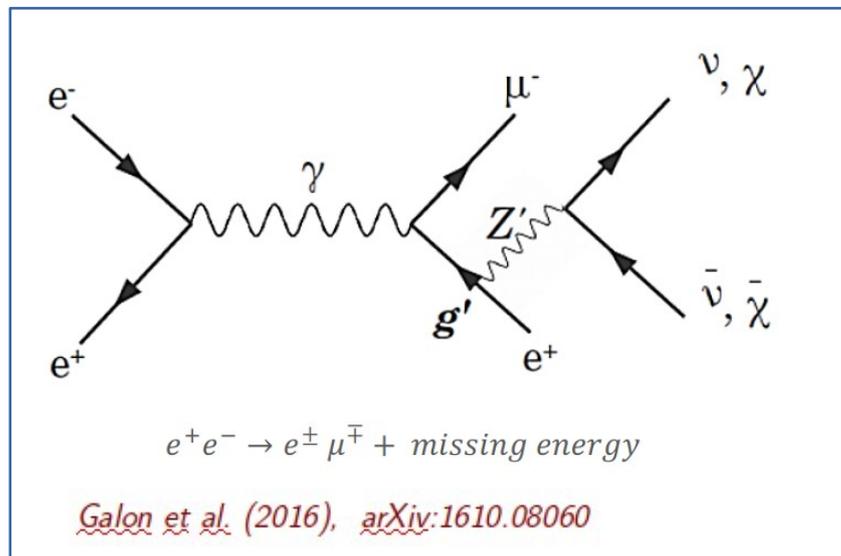
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### Branching ratios:

$$M_{Z'} < 2M_\mu \rightarrow \Gamma(Z' \rightarrow \text{inv.}) = 1$$

$$2M_\mu < M_{Z'} < 2M_\tau \rightarrow \Gamma(Z' \rightarrow \text{inv.}) \sim 1/2$$

$$M_{Z'} > 2M_\tau \rightarrow \Gamma(Z' \rightarrow \text{inv.}) \sim 1/3$$



- If lighter DM is accessible ( $m_\chi < m_{A'}/2$ ),  $\text{BR}(Z' \rightarrow \chi\bar{\chi}) = 1$  and SM final states are highly suppressed.

- $Z'$  could couple to leptons from different generation, allowing lepton flavor violation
- Byproduct of the flavor conserving (*standard*)  $Z'$  search
- Explore the invisible signature for the first time

# Part II

- Analysis overview
- Signal study
- Background suppression
- Final selection

Why performing this search on **Belle II 2018**  
data:



- No specific need of the vertex detector
- Looking for *dimuon*(*electron-muon*) events + *missing energy* is a model-independent, not yet explored signature
- Even with low statistics, new regions ( $< 212$  MeV/ $c^2$ ) of the  $Z'$  parameter space can be investigated

# Analysis overview

**BLIND ANALYSIS:** the **recoil mass** spectrum has been kept hidden until the finalization of the analysis procedure to prevent any bias in the optimization.

**recoil mass  $M_{rec}$ :**

$$M_{rec}^2 = s + M_{\mu^+\mu^-}^2 - 2\sqrt{s}(E_{\mu^+}^* + E_{\mu^-}^*)$$
$$M_{rec} = \sqrt{M_{rec}^2}, \quad \text{if } M_{rec}^2 > 0;$$
$$M_{rec} = -\sqrt{-M_{rec}^2}, \quad \text{if } M_{rec}^2 < 0.$$

**Analysis strategy:** reconstruct the *recoil against* two muon tracks in events where nothing else is detected and look for a peak in the **recoil mass** over the expected background.

- 1) Event selection and background suppression:** general selections against radiative QED processes + dedicated suppression procedure for  $e^+e^- \rightarrow \tau^+\tau^- (\gamma)$  events
- 2) Signal study:** extract the width of the simulated signal peak and compare to recoil mass resolution measured on data. Used to define the binning scheme.
- 3) Data validation:** data and simulation are compared, using signal-free control samples to avoid any unintentional *unblinding*
- 4) Detector performance studies:** compute efficiencies on data and assign systematic uncertainties
- 5) Signal yield extraction** by applying a Poisson counting experiment technique per each **recoil mass** bin and **upper limits computation** in a Bayesian approach

# Data sets

- **Simulation** (11<sup>th</sup> Monte Carlo production, **MC11**):

- optimize the analysis procedure
- compute signal efficiency and expected yields

Process	$N_{\text{evts}} [10^6]$	$\int Ldt [\text{fb}^{-1}]$	Reference
$e^+e^- \rightarrow \mu^+\mu^-(\gamma)$	65	56.621	KKMC [80]
$e^+e^- \rightarrow \tau^+\tau^-(\gamma)$	36.8	40.044	KKMC
$e^+e^- \rightarrow e^+e^-\mu^+\mu^-$	140	7.406	AAFH [83]
$e^+e^- \rightarrow \pi^+\pi^-(\gamma)$	210	1372.539	PHOKHARA [84]
$e^+e^- \rightarrow e^+e^-(\gamma)$	60	0.198	BabaYaga@NLO [82]
$e^+e^- \rightarrow e^+e^-e^+e^-$	260.6	6.562	AAFH [83]

→ **Standard Z'** signal simulated with **MadGraph5**: 20k events  $\times$  18 mass samples for  $m_{Z'}$  in the range [0.5 – 9] GeV/ $c^2$  with 0.5 mass step

LFV Z' signal simulation not available → analysis optimization inherited from standard Z', model independent study on the product of cross section and efficiency ( $\sigma \times \mathcal{E}$ )

- **Data**: 6<sup>th</sup> reprocessing of 2018 collision data → usable luminosity for this analysis **276 pb<sup>-1</sup>** due to trigger conditions

- validate the analysis procedure
- measure detector efficiencies and systematic uncertainties
- extract the final results

## Belle II detector during Phase 2



- Only 1/8 of VXD
- KLM firmware issues → no dedicated muon identification
- CDC Trigger firmware issues → reduce collection efficiency and data quality

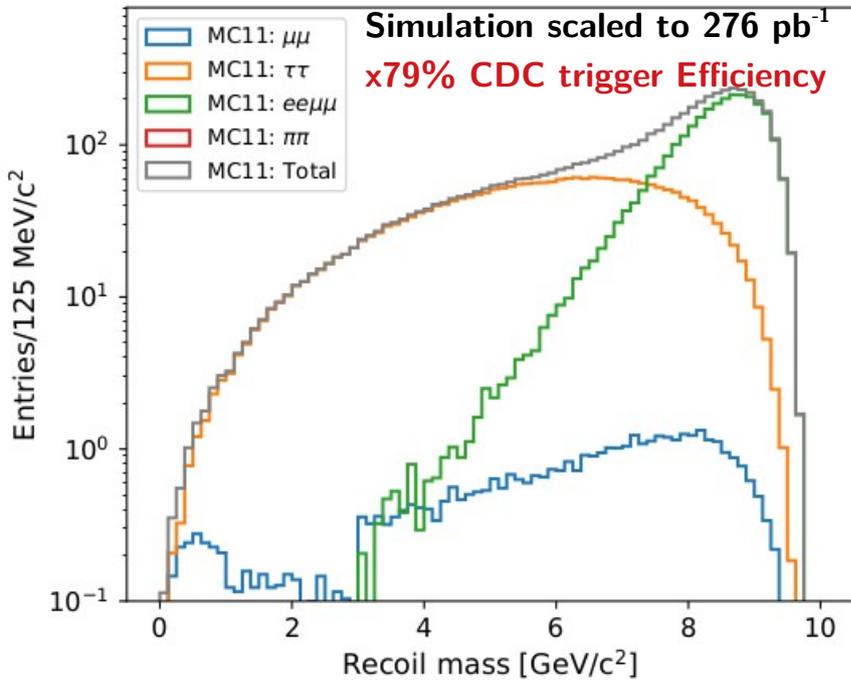
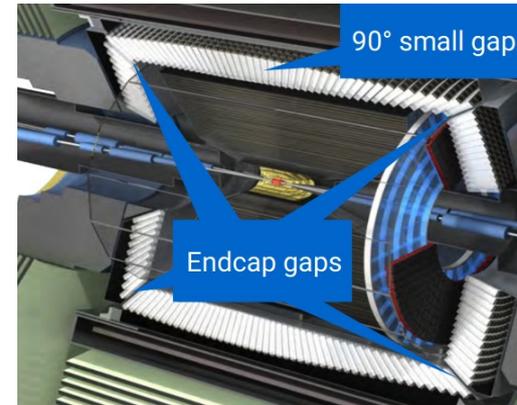
# Event selection

- Two good tracks coming from the interaction point and satisfying an ECL-based muon identification  
→ *dimuon candidate*
- Tracks pointing to a fiducial ECL barrel region,  $37^\circ < \theta_\mu < 120^\circ$ , and similarly the recoil momentum
- **For  $\mu\mu$  events CDC trigger fired in data and mimic the trigger effect in the selection: 2-track opening angle in the range  $[90^\circ, 172^\circ]$**

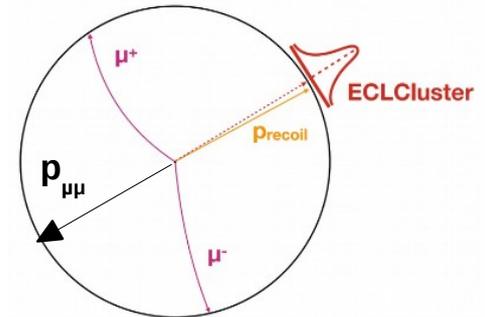
Muon ID:

$$0.15 < \text{clusterE} < 0.4 \text{ GeV}$$

$$\text{clusterE}/p < 0.4$$



- Clean the *Rest Of Event (ROE)*:
  - no ECL cluster ( $\text{clusterE} > 100 \text{ MeV}$ ) within  $15^\circ$  cone with respect to the reconstructed recoil momentum (*closest photon veto*)
  - no reconstructed  $\pi^0$  candidate ( $\pi^0$  veto)
  - no energy deposited in the ROE  $> 400 \text{ MeV}$  (*extra energy veto*)



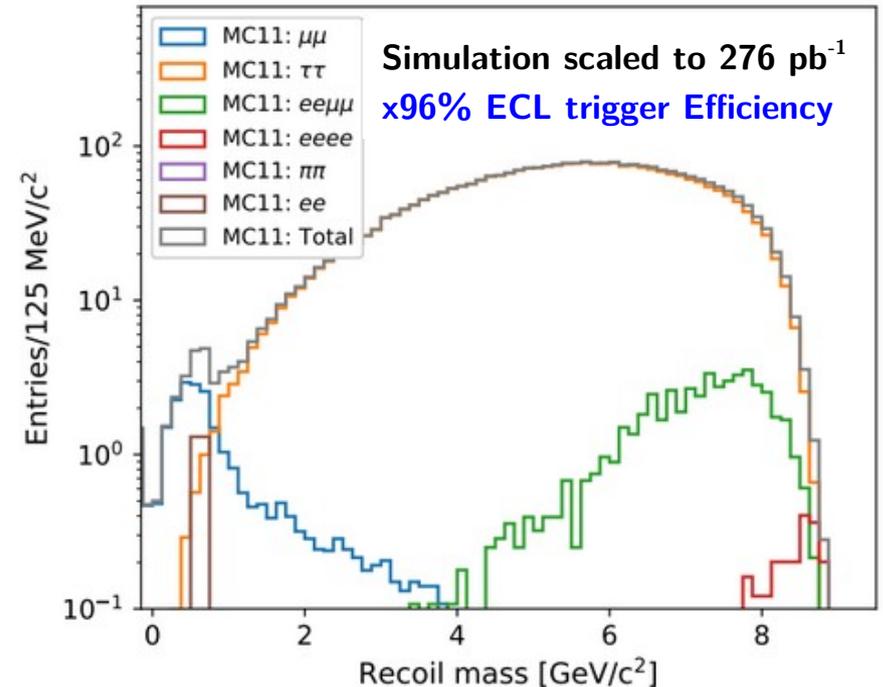
# Event selection: LFV Z'

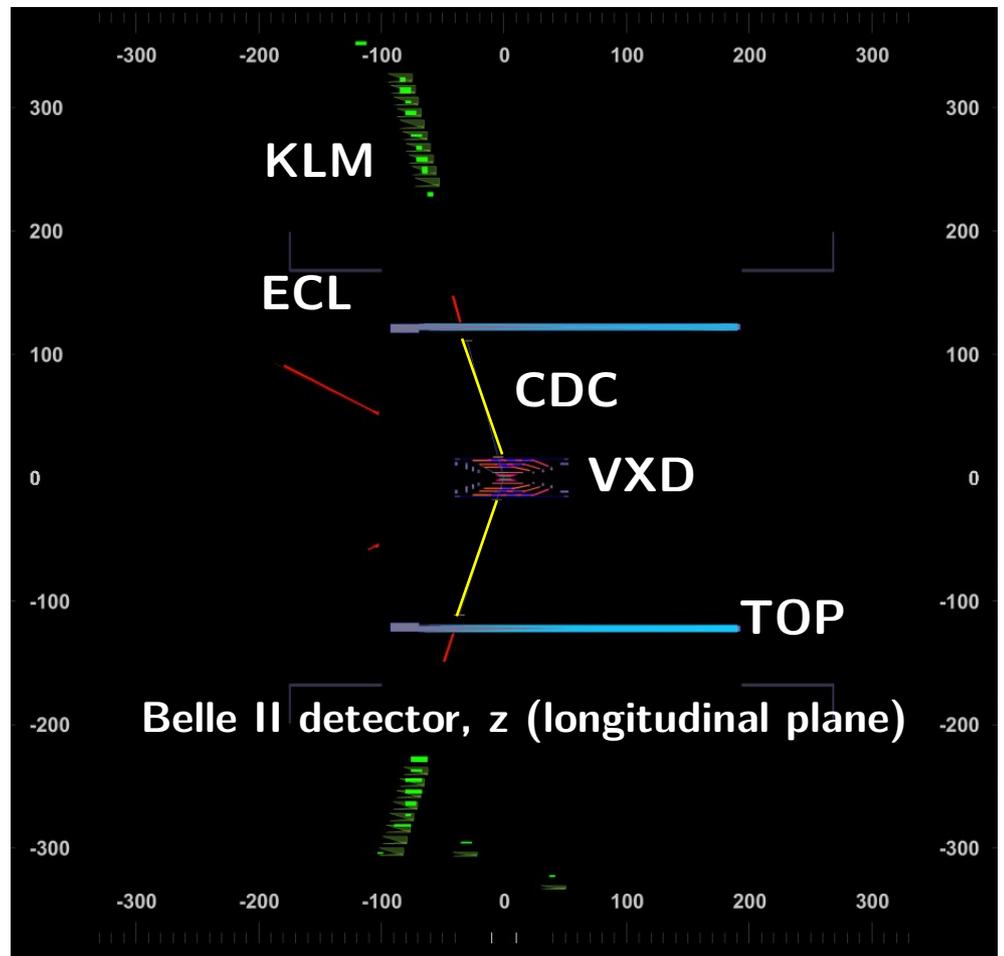
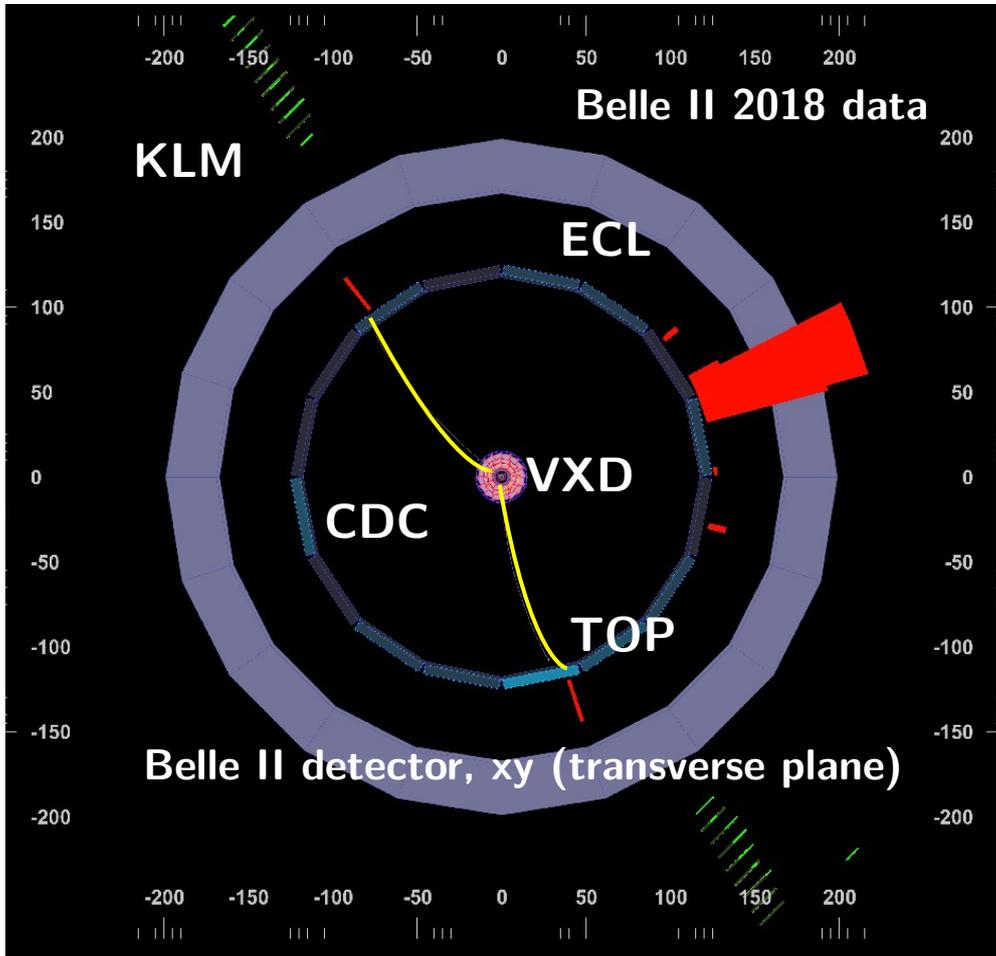
- LFV Z' inherits the same selections, replacing a muon with an electron
- For  $e\mu$  events ECL trigger fired in data and mimic the trigger effect in the selection: ECL cluster energy for electron track  $>1.5$  GeV
- Same vetos (*closest photon*,  $\pi^0$ , *extra energy veto*) applied to clean the **Rest Of Event (ROE)**

Muon ID:  
 $\text{clusterE}/p < 0.4$



Electron ID:  
 $0.8 < \text{clusterE}/p < 1.2$





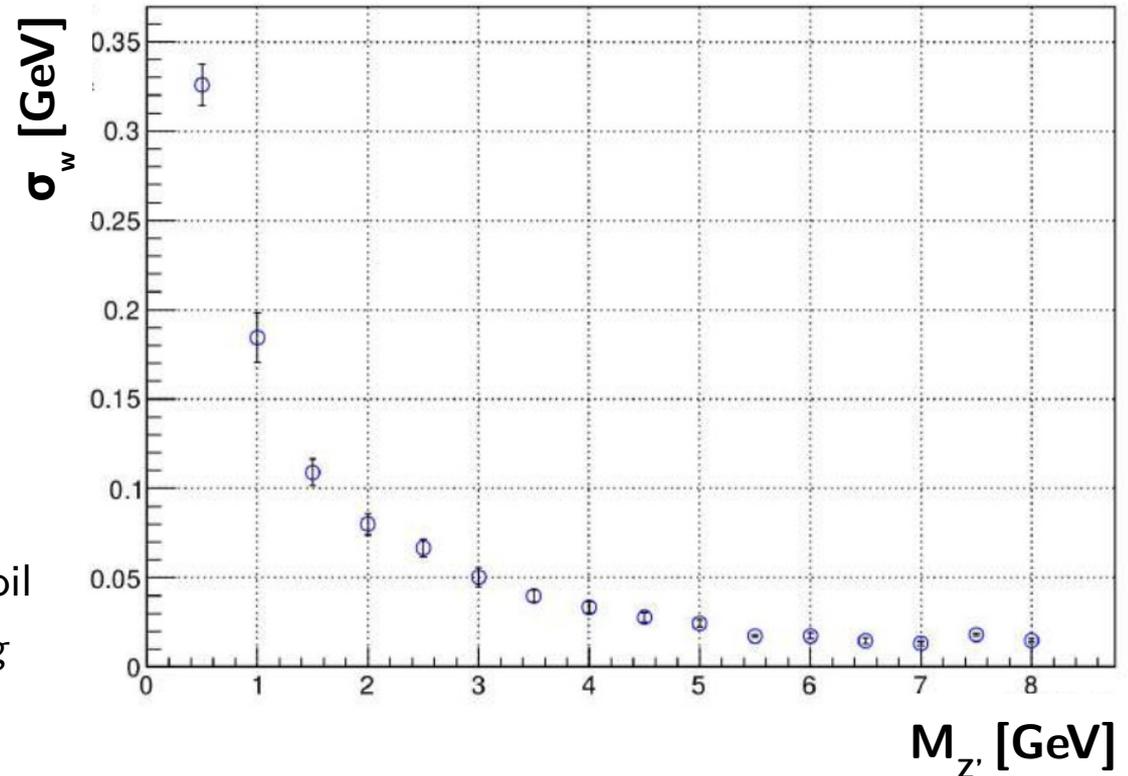
# Signal shape study

- Fit the signal recoil mass spectrum ( $Z'$  peak, per each generated mass point) on MC simulation after general selections:

**Fitting model: Crystal ball shape function (CB) + Gaussian function**  
**Extract the signal peak width:**

$$\sigma_w = \sqrt{\text{frac} \times \sigma_{\text{CB}}^2 + (1 - \text{frac}) \times \sigma_{\text{Gauss}}^2}$$

- Compare the recoil mass resolution between data and simulation on  $\mu\mu\gamma$  control samples  
→ MC simulation found consistent with data
- Recoil mass resolution used to define the recoil mass windows where to count events (*binning scheme*)



# Background rejection

Background from QED processes that can mimic the final state of 2 muons + missing mass because of acceptance or undetected particles:

- $e^+e^- \rightarrow \mu^+\mu^-(\gamma)$ ,
  - $e^+e^- \rightarrow \tau^+\tau^-(\gamma)$ ,  $\tau \rightarrow \mu\nu\nu$
  - $e^+e^- \rightarrow \mu^+\mu^-e^+e^-$
- affects the low mass range  $M_{\text{rec}} < 3 \text{ GeV}$ , rejected by general selections
- **Dominant contribution in the recoil mass range  $\sim 3\text{-}7 \text{ GeV} \rightarrow$  needs dedicated suppression**
- Affects high mass spectrum  $M_{\text{rec}} > 7 \text{ GeV}$  where sensitivity is also limited by the decreasing production cross section
- Selections optimization by maximizing the *Punzi figure of merit* in each recoil mass bin.

$$FOM_{\text{Punzi}} = \varepsilon / (a/2 + \sqrt{B}), \quad a=1.64 \text{ (90\% CL)}$$

- Number of surviving events and signal efficiencies computed for each recoil mass bin



Binning scheme:

- I. Contiguous bins have been defined interpolating the fitted  $\sigma_w$  to cover all the recoil mass spectrum
- II. Punzi-optimized bin-widths =  $\pm 2\sigma_w$

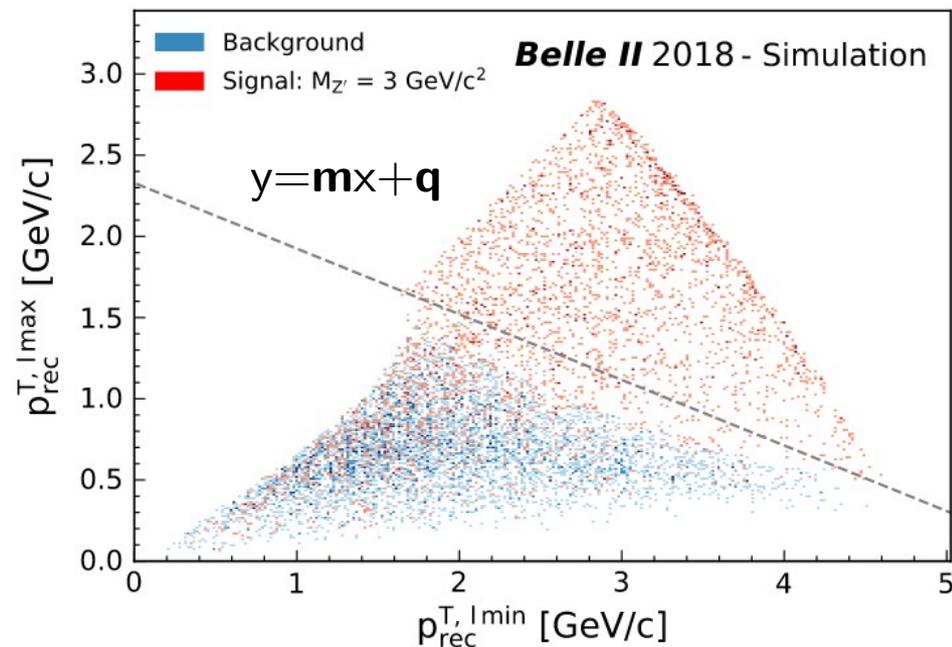
# $\tau$ -suppression procedure

- **Discriminant variables:**

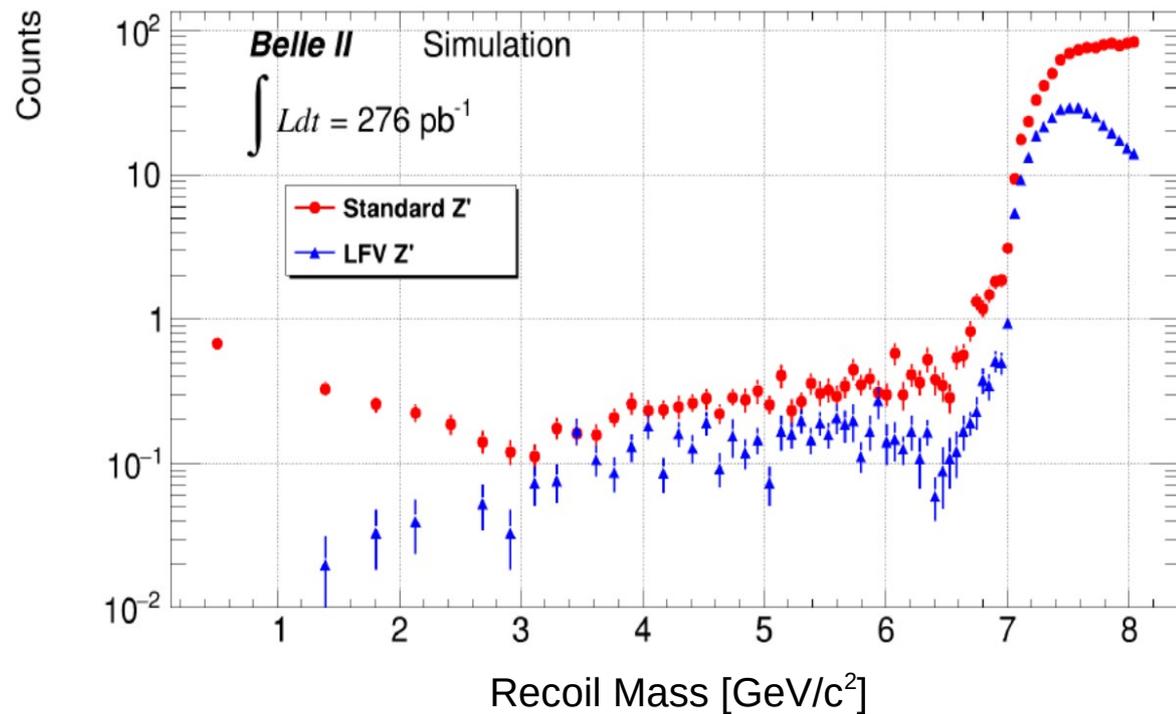
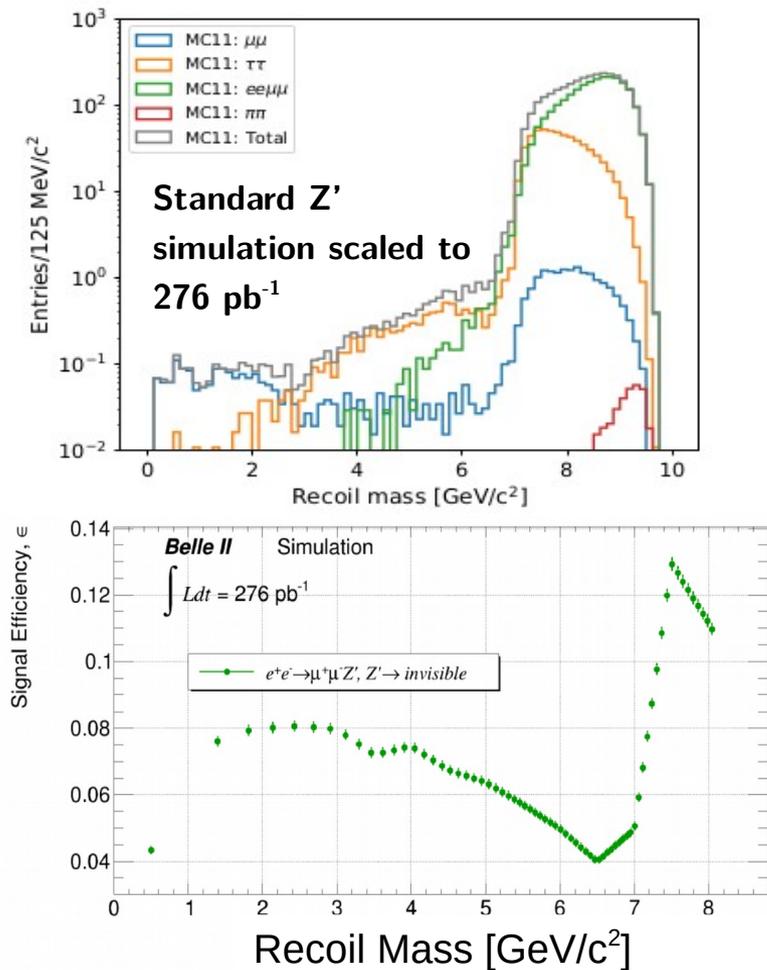
- $\mathbf{p}_{rec}^{T,max}$ ,  $\mathbf{p}_{rec}^{T,min}$ , transverse component of recoil momentum along the direction of the maximum/minimum lepton momentum
- $\mathbf{p}_{\mu\mu}^T$ , dimuon candidate transverse momentum

- Optimal selections found by simultaneously maximizing the Punzi FOM
- Interpolated as a function of  $M_{rec}$
- Achieved rejection factor ( $N_{bkg}^{before}/N_{bkg}^{after}$ ) up to 400; relative efficiencies  $\sim 40-70\%$

→  $Z'$  is *final state radiation* from one muon leg, missing momentum in  $\tau\tau$  events is due to neutrinos from both muons



# Final selection results



# Part III

- Data validation
- Systematic uncertainty evaluation

# Data validation: overview

- Impact of the selections studied on signal-free **control samples** in data and MC:

## 1) $ee$ sample: Bhabha events, $\tau\tau$ ( $\tau \rightarrow e$ ) pairs

- check  $\tau$  pair background ( $3 < M_{\text{rec}} < 7$  GeV)
- assign a systematic for the  $\tau$  suppression procedure

→ *unintentional unblinding avoided by complementary ECL PID for electrons*

## 2) $\mu\mu\gamma$ , $ee\gamma$ , $e\mu\gamma$ samples: radiative dilepton events

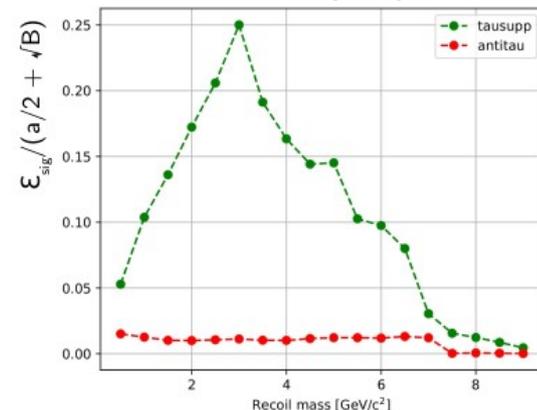
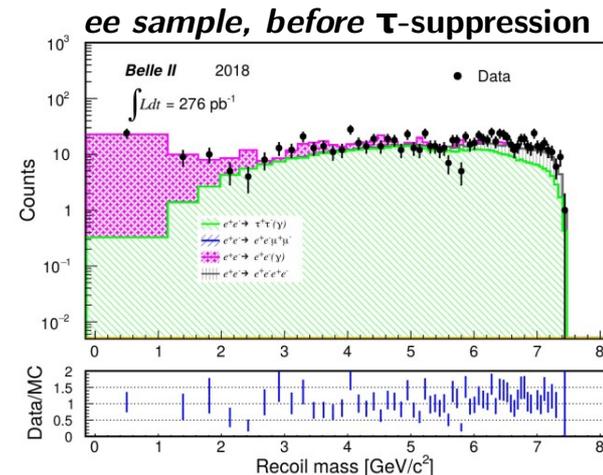
- check low recoil mass region,  $M_{\text{rec}} < 3$  GeV
- validate the trigger by using the complementary ECL line ( $> 1$  GeV energy deposit)

→ *unintentional unblinding avoided by explicitly asking for a reconstructed photon*

## 3) $\mu\mu$ , $e\mu$ samples with reversed $\tau$ -suppression procedure

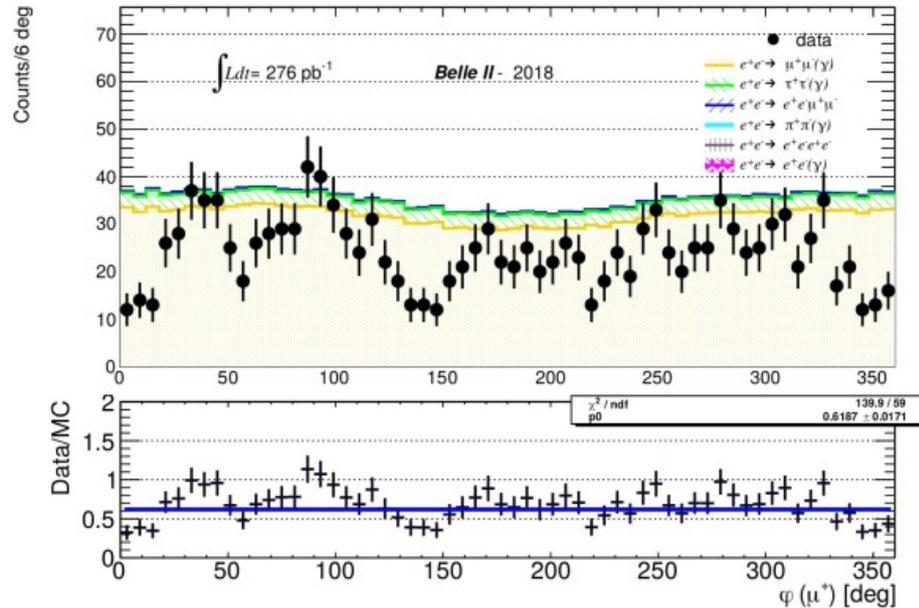
- analogous to the background expected for unblinded samples
- assign a systematic uncertainty on the expected background level

→ *signal efficiency negligible, select sideband*



# Data validation: results

- Observed data-MC discrepancy of **-35% in  $\mu\mu$  events** (*standard  $Z'$* ), **-10% in  $e\mu$  events** (*LFV  $Z'$* );
- 10% data-MC difference understood and assigned to track finding performances;
- For  $\mu\mu$  events, residual **-25% data-MC mismatch unexplained.**



→ Scale the MC simulations by **0.65 for  $\mu\mu$  events** and **0.90 for  $e\mu$  events**

# Detector performance studies

- Real detector  $\neq$  simulated detector
  - GOAL: Estimate the **discrepancy** in detector efficiencies and resolutions between data and simulation, and based on this measurement:
    - correct for additional inefficiencies observed in data or not simulated detector effects
    - assign a systematic uncertainty

...for the  $Z'$  analysis, three main contributions affect the selection efficiency:

- Trigger selection
- Track reconstruction efficiency
- Particle identification selection

→ Phase 2 data mainly exploited to understand a new detector at a new machine

→ Wide program of performance studies in parallel to the analysis effort

# Systematic uncertainties

- List of systematic uncertainties entering the cross section measurement for the  $\mu\mu$  and  $e\mu$  channels respectively:

Source	Affected quantity	$\mu\mu$	$e\mu$
Trigger efficiency	$\epsilon_{sig}$	6%	1%
Tracking efficiency	$\epsilon_{sig}$	4%	4%
PID	$\epsilon_{sig}$	4%	4%
Luminosity	$L$	0.7%	0.7%
$\tau$ suppression (background)	$B_{exp}$	22%	22%
Background before $\tau$ suppression	$B_{exp}$	2%	2%
Discrepancy in $\mu\mu$ yield (signal)	$\epsilon_{sig}$	12.5%	-

- Conservatively assign half of the measured data-MC discrepancy due to an unknown source ( $\rightarrow \pm 12.5\%$ ) as systematic uncertainty in the **signal efficiency**.

$$\sigma_{Z'} = \frac{N_{\text{obs}} - B_{\text{exp}}}{L \times \epsilon_{\text{sig}}}$$

- ✓ Trigger, Tracking and Particle ID: from performance studies
- ✓ from offline luminosity measurement [arXiv:1910.05365]
- ✓  $\tau$ -suppression: from validation on  $ee$  sample (statistically dominated)
- ✓ Background yields: from data-MC agreement in control samples with reversed  $\tau$ -suppression selection

# Part IV

- Upper limit computation
- Phase 2 results
- Summary and outlook

# Upper limit computation

- Signal yields extracted by applying a Poisson counting experiment technique, in each recoil mass bin, after the final selections
- Upper limits on the cross-section  $\sigma_{Z'}$  are computed in a Bayesian approach

→ after  $\tau$ -suppression, expected events scaled to data luminosity  $< 1$   
→ too low statistics to fit the recoil mass distribution

## Upper limit computation in the Bayesian approach

(BAT software framework: <https://doi.org/10.1016/j.cpc.2009.06.026>)

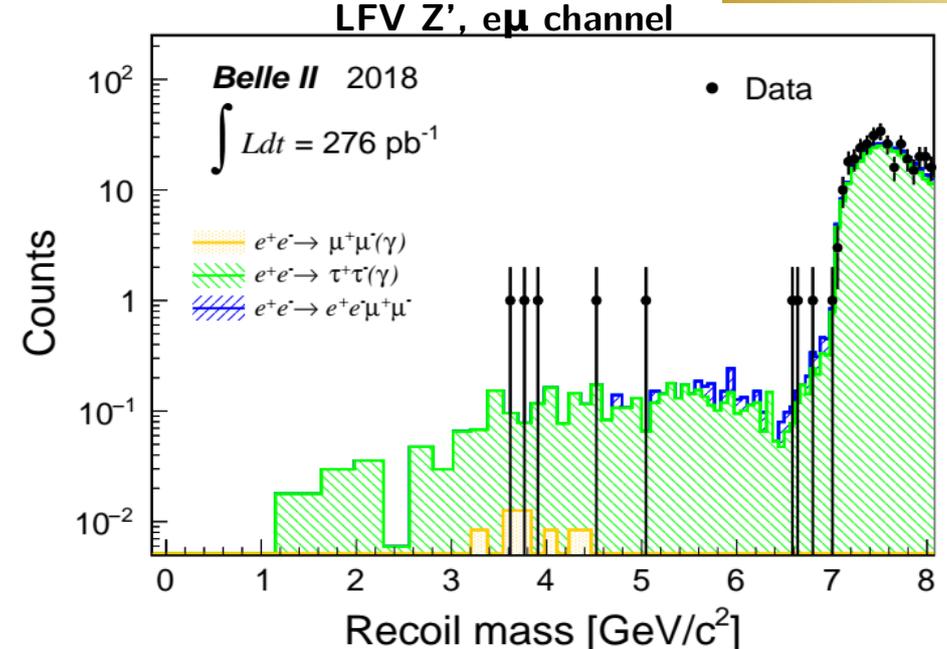
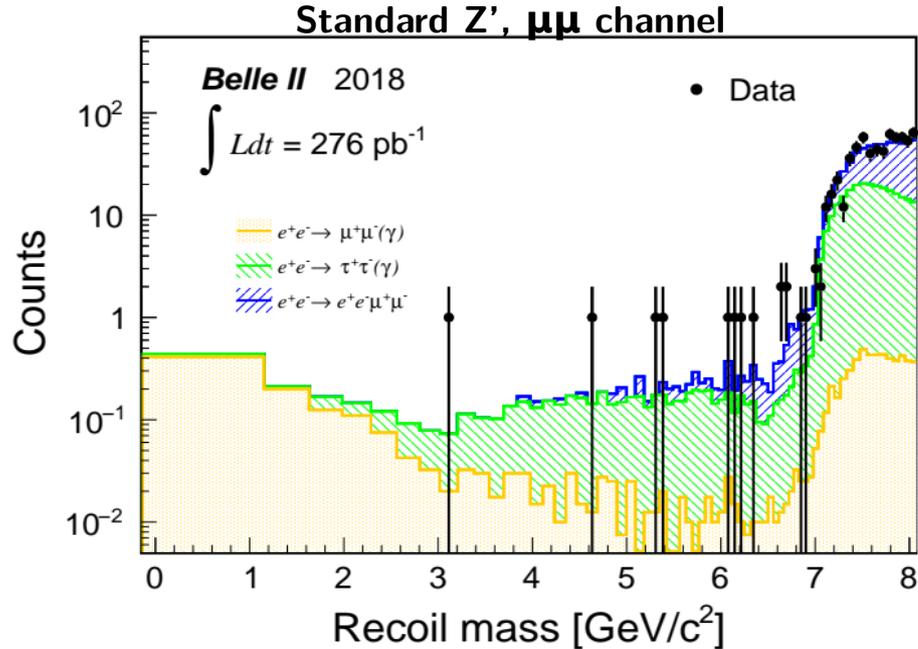
- $N_{\text{obs}}, B_{\text{exp}}$ : Poissonian likelihood
- Prior distribution for  $Z'$  cross section: positive, flatly distributed in  $0-10^5$  fb
- Systematic uncertainties: modeled with Gaussian functions with width equal to the size of the estimated effect
  - integrate over nuisance parameter priors (*marginalization*)
  - integrate the likelihood until the value of the integral reaches the wanted credibility level (0.90)

$$\sigma_{Z'} = \frac{N_{\text{obs}} - B_{\text{exp}}}{L \times \epsilon_{\text{sig}}}$$

# Results on Phase 2 data

- Recoil mass spectra after the final selections for Belle II 2018 data and MC:

BOX OPENING

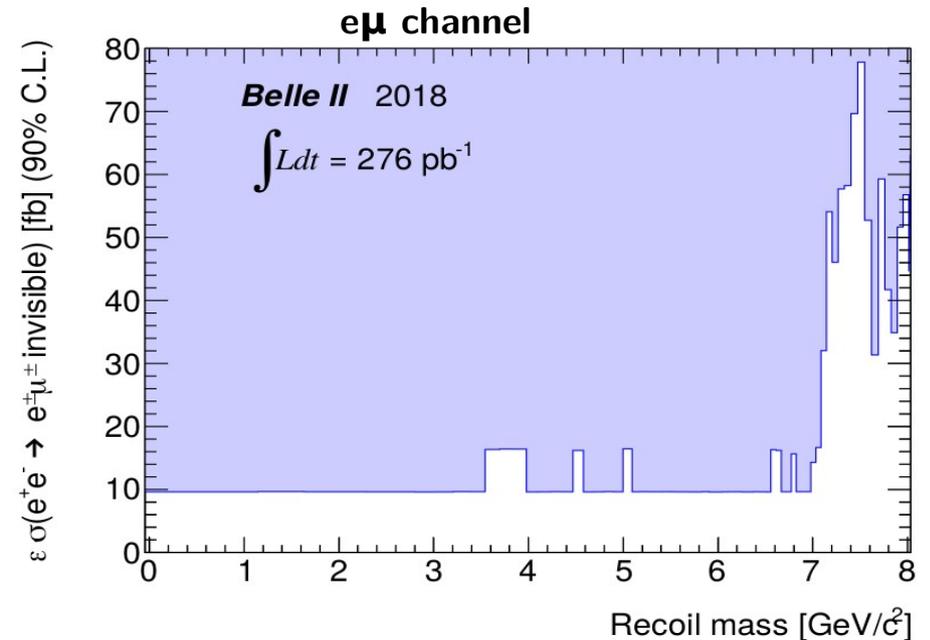
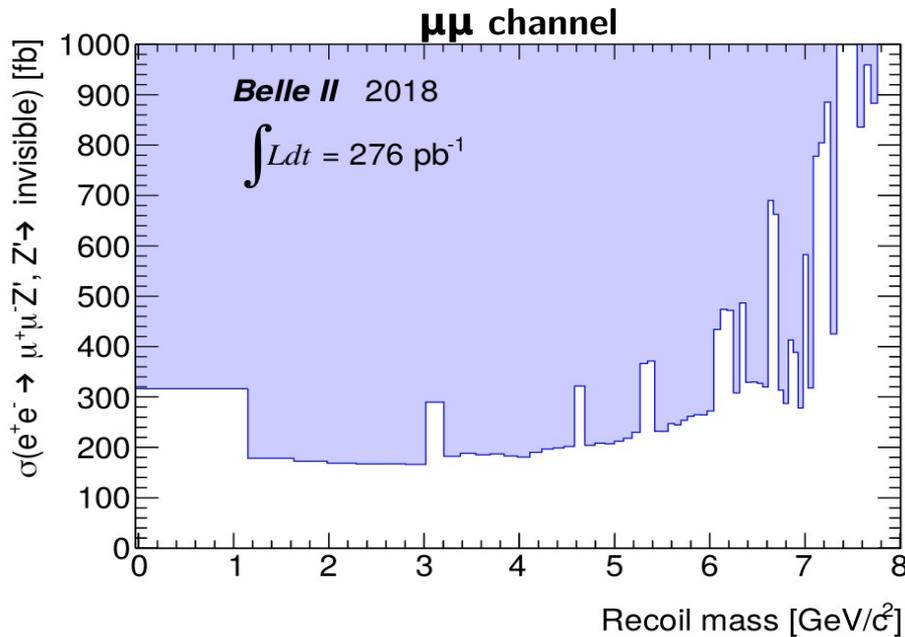


→  $\mu\mu$  ( $e\mu$ ) expected yields scaled for the measured trigger efficiency of 0.79(0.96) and data/MC ratio of 0.65(0.90) from validation studies

***Phys. Rev. Lett. 124, 141801 – Published 6 April 2020***

# Upper limits: results

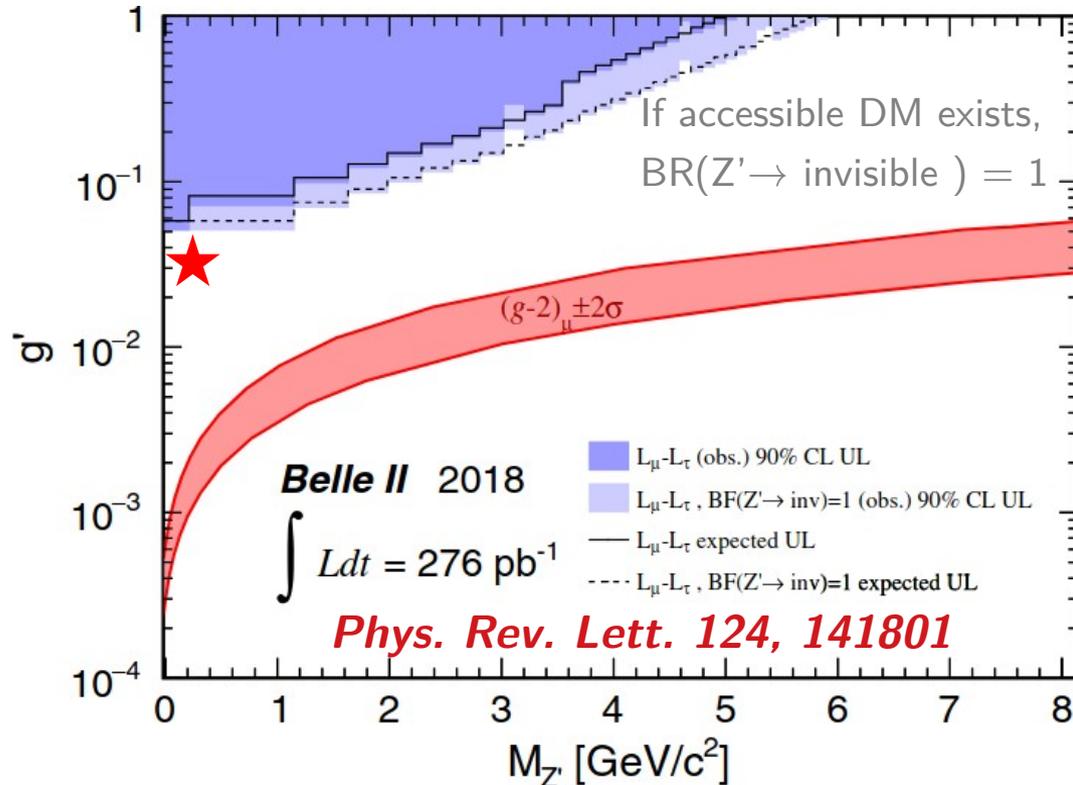
- First upper limits on the cross section for the processes  $e^+e^- \rightarrow \mu^+\mu^- + \text{missing energy}$  and first model-independent constrain on the product of (efficiency  $\times$  cross section) for the process  $e^+e^- \rightarrow e^+\mu^- + \text{missing energy}$



***Phys. Rev. Lett. 124, 141801 – Published 6 April 2020***

# First invisible $Z'$ upper limits

- Upper limits on the cross section for the processes  $e^+e^- \rightarrow \mu^+\mu^- + \text{missing energy}$  translated in terms of *invisible  $Z'$*  coupling constant  $g'$  (using MadGraph5 for numerical computation)



- ★ *Already with few statistics a new region of the  $Z'$  parameter space ( $L_\mu-L_\tau$  model) can be explored*  
*→ never reached before by visible searches ( $M_{Z'} < 212 \text{ MeV}$ )*

# Summary

- This search is the **first physics result from the Belle II experiment**

PHYSICAL REVIEW LETTERS **124**, 141801 (2020)

Editors' Suggestion    Featured in Physics

**Search for an Invisibly Decaying  $Z'$  Boson at Belle II in  $e^+e^- \rightarrow \mu^+\mu^-(e^\pm\mu^\mp)$   
Plus Missing Energy Final States**



→ First upper limits on the production cross section for processes  $e^+e^- \rightarrow \mu^+\mu^-(e^\pm\mu^\mp) + \text{missing energy}$  are measured

→ First upper limit on  $Z'$  coupling constant  $g'$  within the  $L_\mu$ - $L_\tau$  model below the dimoun invariant mass threshold

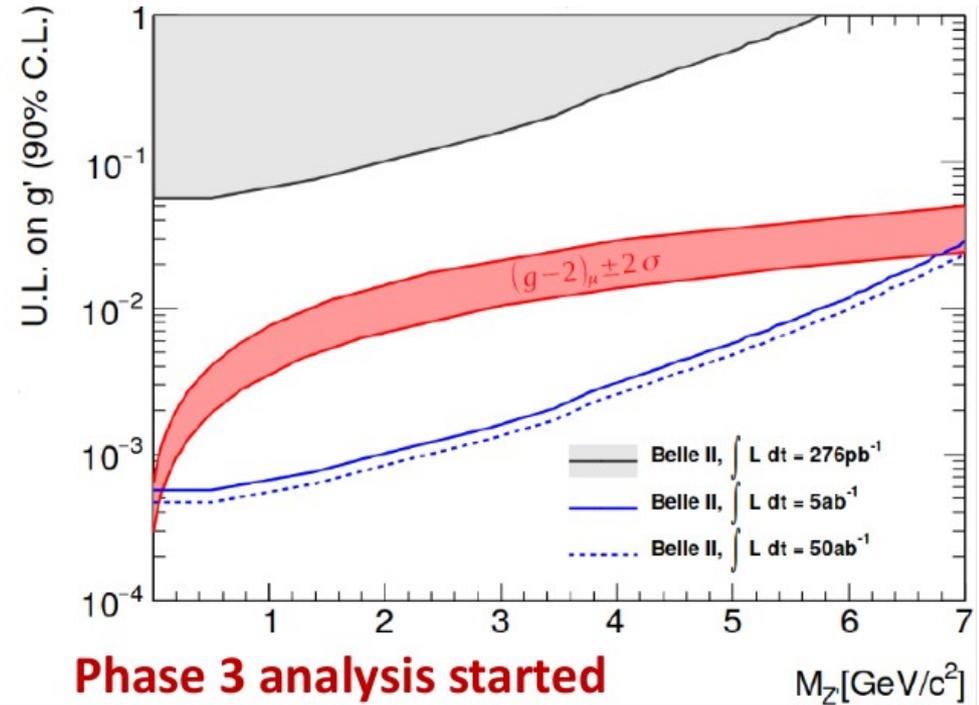
**Results on 2018 data are mostly limited by the low statistics and the performances of the Phase 2 detector.**

**Phase 3 has started → larger statistics and better data quality are coming...**

# Outlook

## Phase 3 prospects

- Luminosity increase (in 2020 collected  $> 13/\text{fb}$  ...  
x40 Phase 2 data set)
- Complete detector installed
- Solved firmware issues
  - *improved data quality*
  - *improved data-MC agreement, reduced systematic uncertainties*



The unprecedented statistics of the full Belle II data set will allow to pursue a rich program in flavor physics as well as in dark sector searches (  $Z'$  parameter space fully explored, dark photons, ALPs and much more on *The Belle II Physics Book, arXiv:1808.10567* )

LUMINOSITY  
IS  
COMING

*Thanks for your attention.*

*Backup*

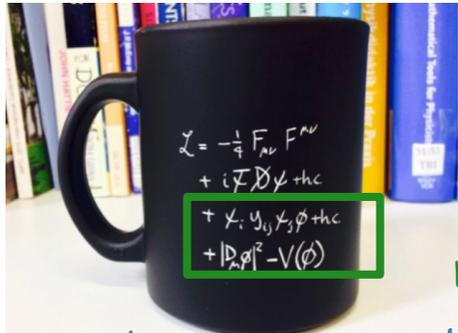
# My contributions

- The analysis effort has been shared with the  $Z'$  analysis group which includes master and PhD students and dark sector experts among the Belle II members.
  - Being one of the 2 PhD students that has developed this search as thesis project, I have been working on almost all the analysis steps:
    - 1) Event reconstruction, general selections and *ntuple* production
    - 2) Study of the discriminant variable (comparing signal and background distributions for different variables )
    - 3) Validation procedure: control sample selection, comparison between data and simulation, results
    - 4) Trigger validation on radiative dilepton samples
- For the detector performance studies, I have been the main author of the measurement of the track reconstruction efficiency discrepancy between data and simulation, on  $\tau$  pair events
- I am working at the Phase 3 analysis preparation, devising the new background yields estimation directly from data (*sideband extrapolation technique*)
- I am working at track reconstruction efficiency study also on early Phase 3 data

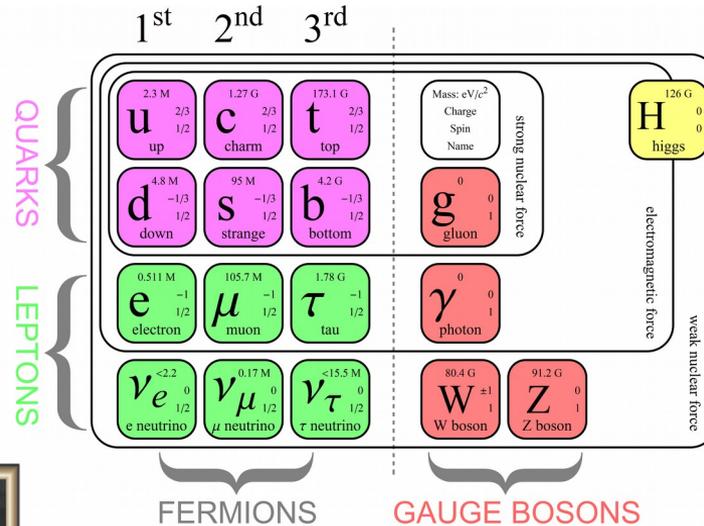
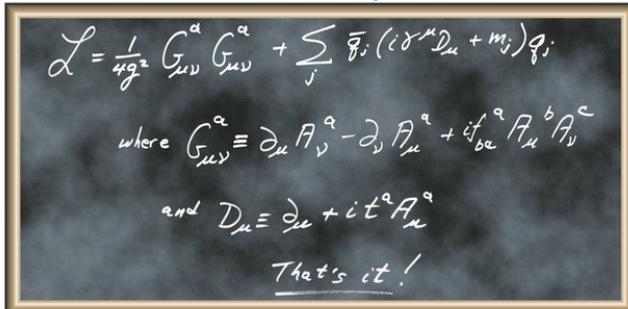
# Standard model and beyond

- The Standard Model (SM) of elementary particles successfully describes the matter content of our Universe and its interactions:

## Electro-weak unification



## Quantum Chromodynamics



- BUT it is still an incomplete theory, leaving many open issues unexplained:
  - Gravity not included
  - Neutrino masses
  - Baryon-antibaryon asymmetry
  - Mass hierarchy problem
  - 23% of Universe matter seems not to interact with SM particles if not gravitationally, hence being *dark*

Searching for physics beyond the SM is a well motivated effort.

# Dark matter puzzle

- DM origin and nature is still unknown:

I. Modified Newtonian Gravity

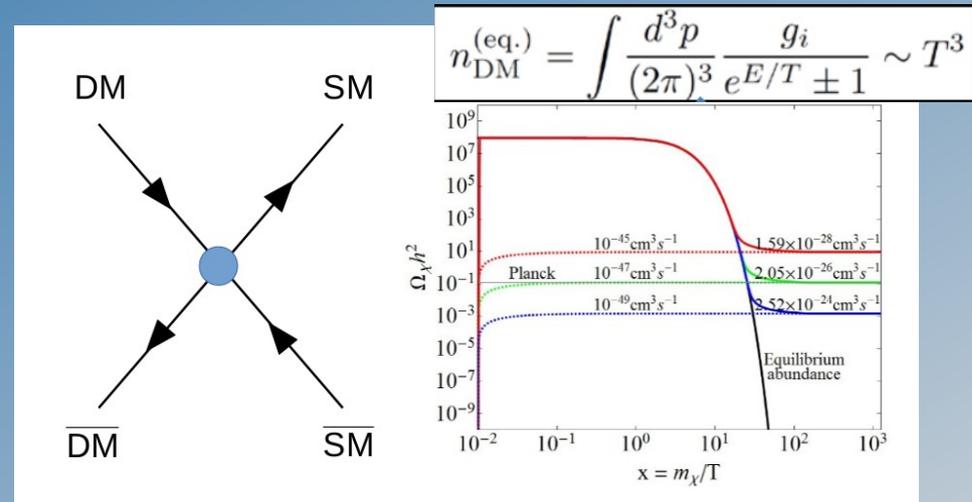
II. Something completely different and unexpected (not-particle DM candidates)

→ **Massive Astrophysical Compact Halo Objects (MACHOs)**: highly condensed object as neutron stars, brown and white dwarfs, **primordial black holes**  
[arXiv:1906.05950]

III. Exotic subatomic candidates: similarly to the SM, rich *dark sectors* with new particles content may exist.

Thermal relic density: *freeze out* mechanism

- In the early universe SM particles are in equilibrium with DM



- As the universe expands, the DM number density is exponentially suppressed → no more DM annihilations are possible
- DM abundance is frozen at the **relic density**:

$$\text{Thermal DM } \langle \sigma v \rangle = 10^{-26} \text{ cm}^3 \text{ s}^{-1}$$

# Dark matter candidates

## Prerequisites:

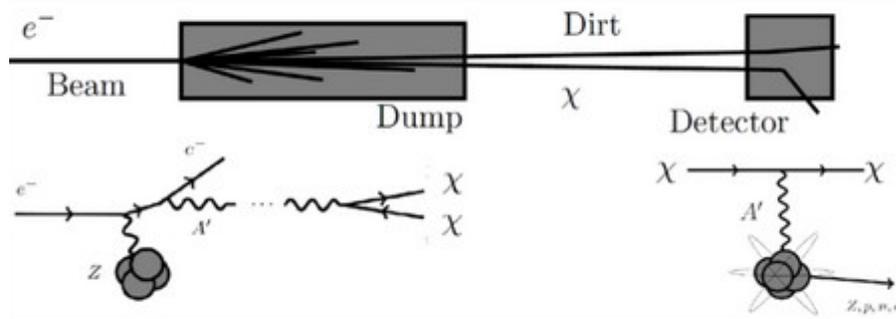
- ✓ Provide the right *relic density*
- ✓ Average velocity of a self-gravitating sphere  $\langle v \rangle \sim 235$  km/s (assumed Boltzmann distribution)
- ✓ Cold, non-relativistic candidate
- ✓ Stable on a cosmological time-scale
- ✓ Only very weakly interactions (*dark*)

- **Neutrinos:** relativistic (hot) candidates
- **Sterile Neutrinos:** cold DM that may explain the neutrino masses problem
- Weakly Interacting Massive Particles (**WIMPs**): match new particle candidates from supersymmetric models (*neutralino*)
- **QCD Axions:** Peccei-Quinn solution to QCD fine-tuning problem

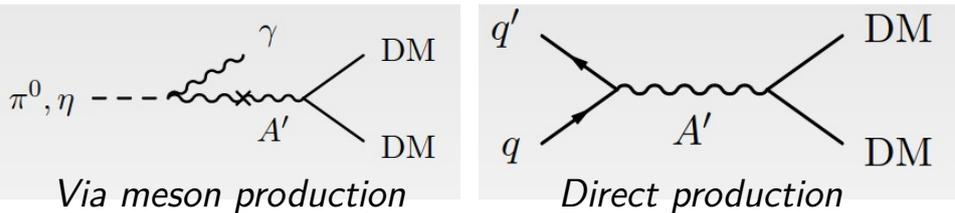
# Dark matter production at accelerators

- Fixed-target experiment

- Electron beam dump

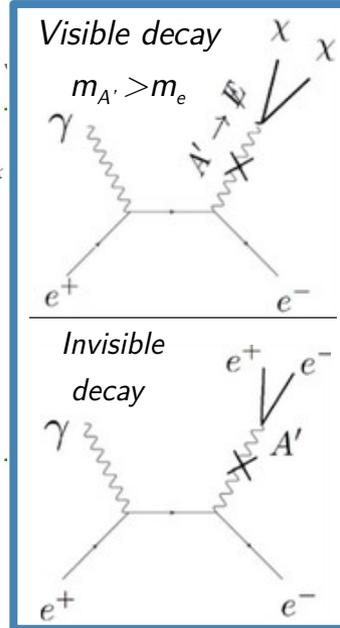
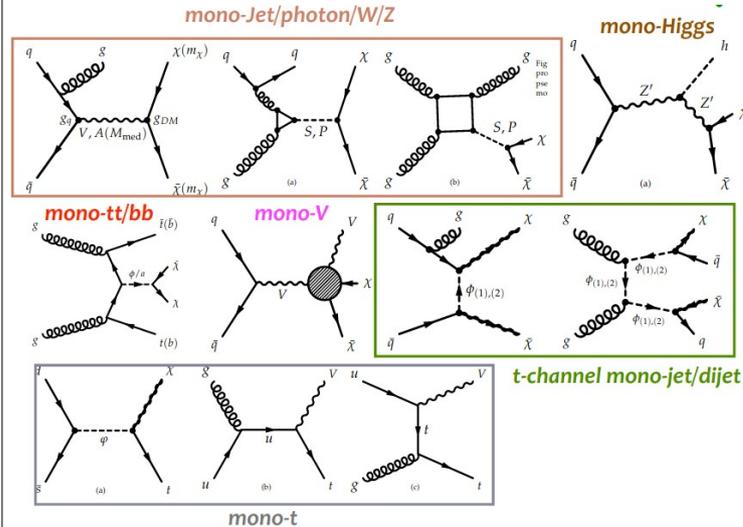
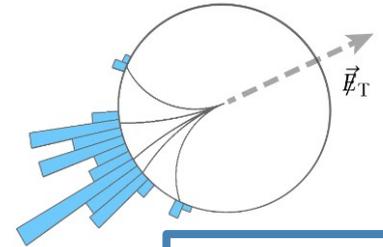


- Proton beam dump (DM at neutrino facilities)



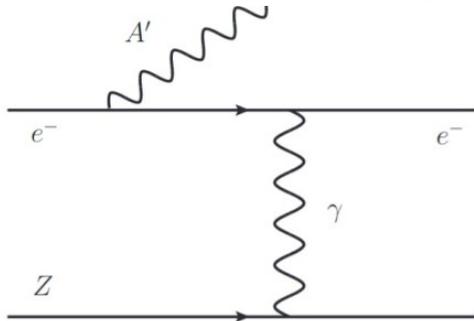
- Colliders

**Mono-X searches:** detect a well-reconstructed SM object (ISR photon, jets..) + missing energy



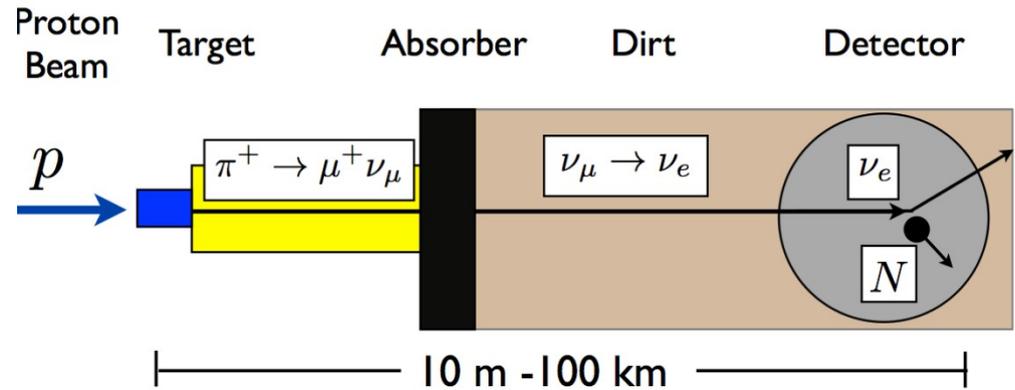
# Dark matter searches at fixed-target

- Electron beam dump



- Suitable to investigate *vector* portals for mediator masses  $2m_e < m_{A'} < \text{GeV}$
- Larger luminosity
- Scattering cross section enhanced by nuclear charge coherence
- Compact special-purpose detectors (dual-arms spectrometer @JLAB, MAMI, forward vertexing spectrometer @HPS)

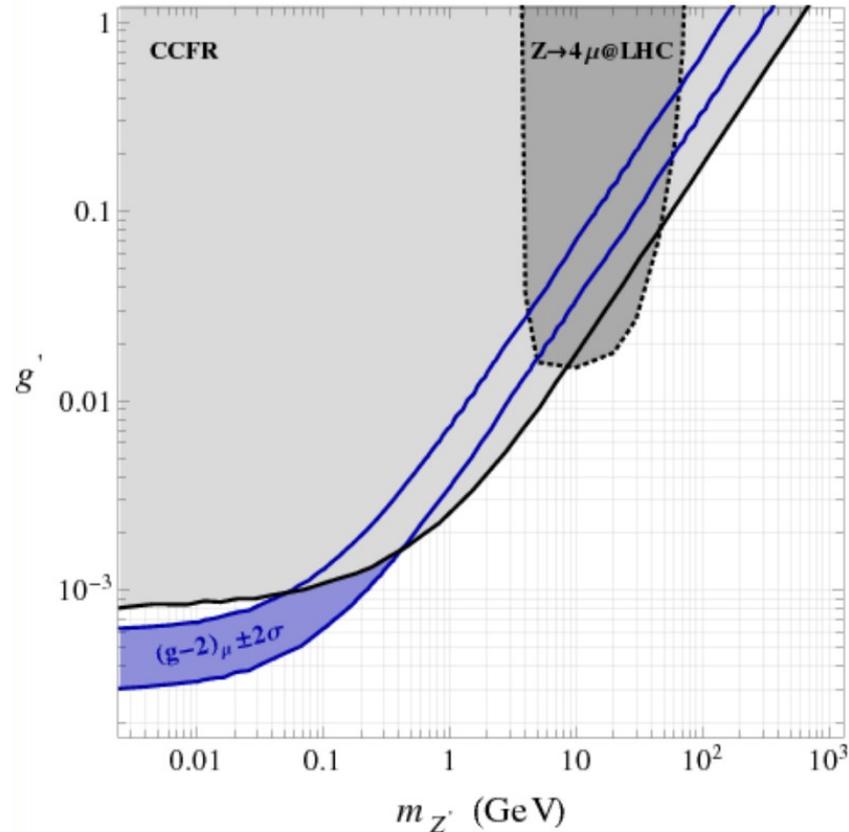
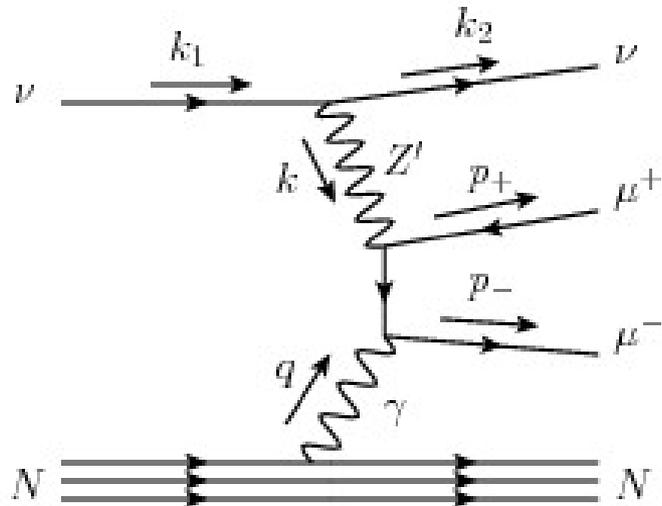
- Proton beam dump: exploiting neutrino facilities



- Exploit existing neutrino facilities
- Look for neutral pion conversions to photons that may kinetically mix with the dark photon
- Signal signature: dilepton resonances, long-lived particle, missing energy

# Neutrino trident production

- Neutrino trident production with a  $Z'$  boson



# Cross section in $e^+e^-$ collision at 10.58 GeV

Physics process	Cross section [nb]	Selection Criteria	Reference
$\Upsilon(4S)$	$1.110 \pm 0.008$	-	[2]
$u\bar{u}(\gamma)$	1.61	-	KKMC
$d\bar{d}(\gamma)$	0.40	-	KKMC
$s\bar{s}(\gamma)$	0.38	-	KKMC
$c\bar{c}(\gamma)$	1.30	-	KKMC
$e^+e^-(\gamma)$	$300 \pm 3$ (MC stat.)	$10^\circ < \theta_e^* < 170^\circ$ , $E_e^* > 0.15$ GeV	BABAYAGA.NLO
$e^+e^-(\gamma)$	74.4	$p_e > 0.5$ GeV/c and e in ECL	-
$\gamma\gamma(\gamma)$	$4.99 \pm 0.05$ (MC stat.)	$10^\circ < \theta_\gamma^* < 170^\circ$ , $E_\gamma^* > 0.15$ GeV	BABAYAGA.NLO
$\gamma\gamma(\gamma)$	3.30	$E_\gamma > 0.5$ GeV in ECL	-
$\mu^+\mu^-(\gamma)$	1.148	-	KKMC
$\mu^+\mu^-(\gamma)$	0.831	$p_\mu > 0.5$ GeV/c in CDC	-
$\mu^+\mu^-\gamma(\gamma)$	0.242	$p_\mu > 0.5$ GeV in CDC, $\geq 1 \gamma (E_\gamma > 0.5$ GeV) in ECL	-
$\tau^+\tau^-(\gamma)$	0.919	-	KKMC
$\nu\bar{\nu}(\gamma)$	$0.25 \times 10^{-3}$	-	KKMC
$e^+e^-e^+e^-$	$39.7 \pm 0.1$ (MC stat.)	$W_{\ell\ell} > 0.5$ GeV/c <sup>2</sup>	AAFH
$e^+e^-\mu^+\mu^-$	$18.9 \pm 0.1$ (MC stat.)	$W_{\ell\ell} > 0.5$ GeV/c <sup>2</sup>	AAFH

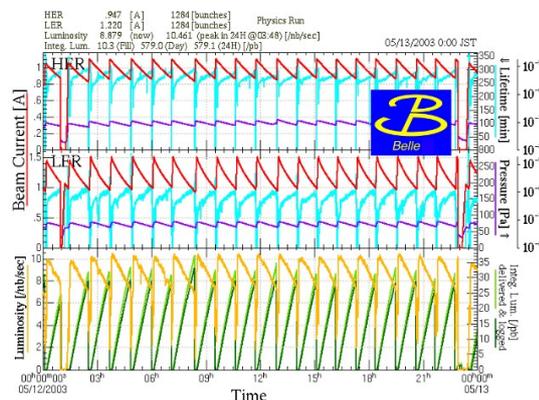
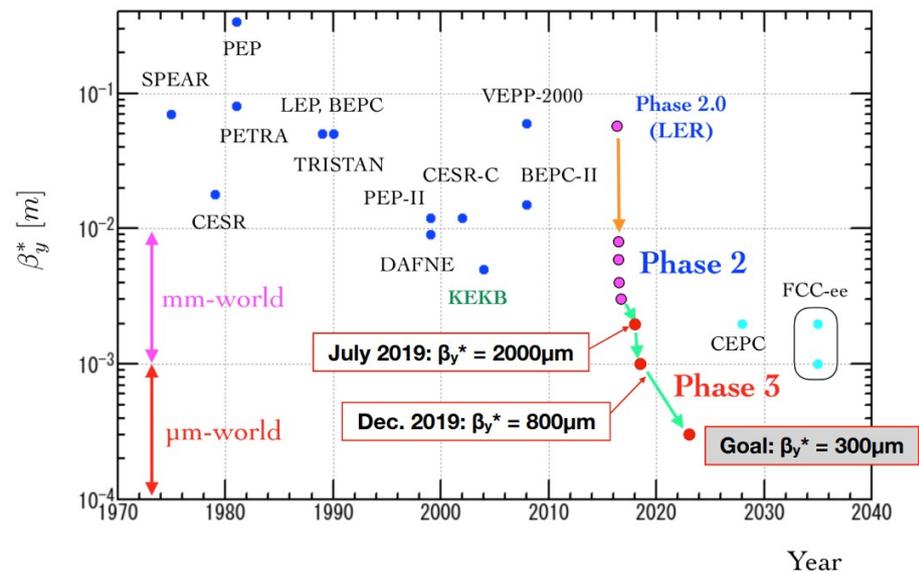
*The Belle II Physics Book*  
[arXiv:1808.10567]

- Low multiplicity event cross sections rapidly diverge compared to hadronic ones
- Selections applied at MC generator level to reduce the effective cross section (acceptance, particle momentum selections)
- $W_{\parallel}$  is the minimum invariant secondary fermion pair mass

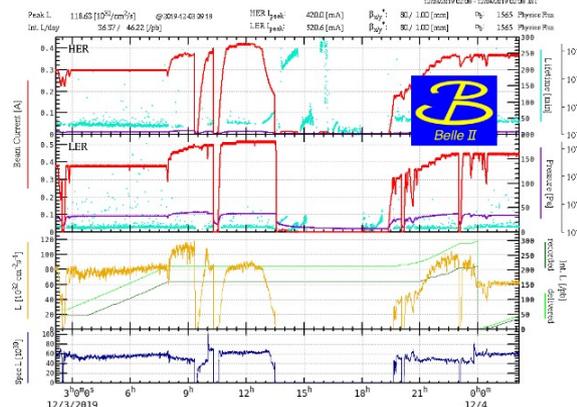
# SuperKEKB Numbers

2017/September/1	LER	HER	unit	
E	4.000	7.007	GeV	
I	3.6	2.6	A	
Number of bunches	2,500			
Bunch Current	1.44	1.04	mA	
Circumference	3,016.315		m	
$\epsilon_x/\epsilon_y$	3.2(1.9)/8.64(2.8)	4.6(4.4)/12.9(1.5)	nm/pm	():zero current
Coupling	0.27	0.28		includes beam-beam
$\beta_x^*/\beta_y^*$	32/0.27	25/0.30	mm	
Crossing angle	83		mrاد	
$\alpha_p$	$3.20 \times 10^{-4}$	$4.55 \times 10^{-4}$		
$\sigma_\delta$	$7.92(7.53) \times 10^{-4}$	$6.37(6.30) \times 10^{-4}$		():zero current
$V_c$	9.4	15.0	MV	
$\sigma_z$	6(4.7)	5(4.9)	mm	():zero current
$v_s$	-0.0245	-0.0280		
$v_x/v_y$	44.53/46.57	45.53/43.57		
$U_0$	1.76	2.43	MeV	
$\tau_{x,y}/\tau_s$	45.7/22.8	58.0/29.0	msec	
$\xi_x/\xi_y$	0.0028/0.0881	0.0012/0.0807		
Luminosity	$8 \times 10^{35}$		$\text{cm}^{-2}\text{s}^{-1}$	

# World highest luminosity with nano beams



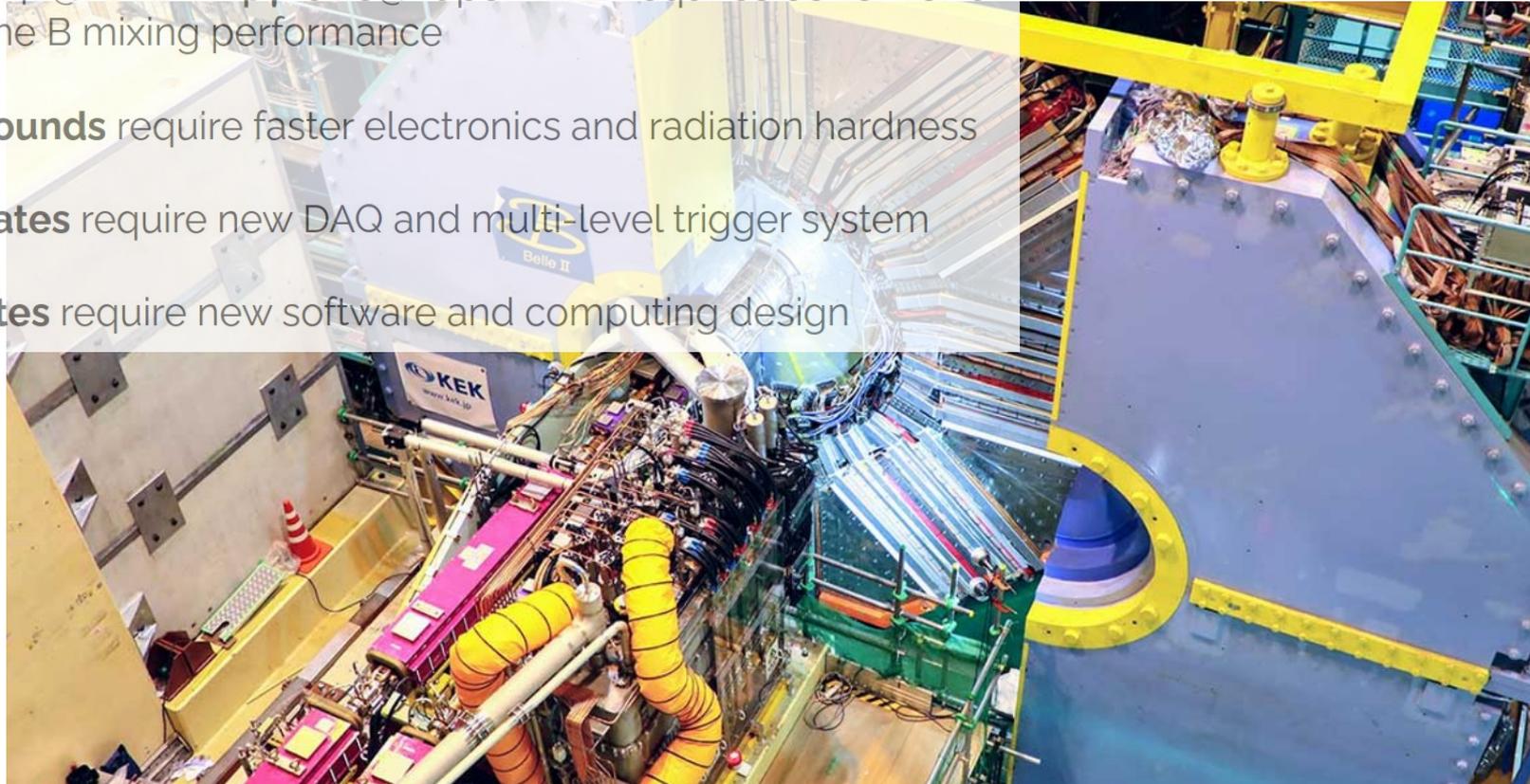
13.05.2003:  $\beta_y^* = 5.0 \text{ mm}$   
 $I_{\text{LER}} = 1.2\text{A}, I_{\text{HER}} = 0.95\text{A}$



03.12.2019:  $\beta_y^* = 0.8 \text{ mm}$   
 $I_{\text{LER}} = 0.52\text{A}, I_{\text{HER}} = 0.42\text{A}$

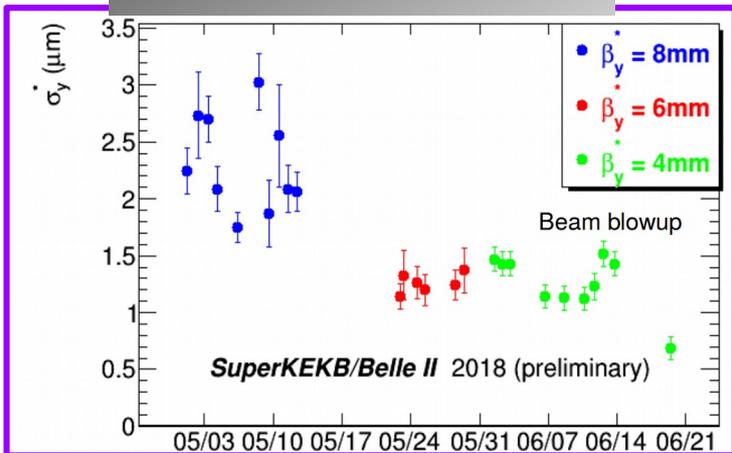
# Belle II Challenges

- **Reduced boost**  $\beta\gamma=0.42@KEKB \rightarrow \beta\gamma=0.28@SuperKEKB$  requires better vertex resolution for the same B mixing performance
- Much **higher backgrounds** require faster electronics and radiation hardness
- Much **higher event rates** require new DAQ and multi-level trigger system
- Much **higher data rates** require new software and computing design

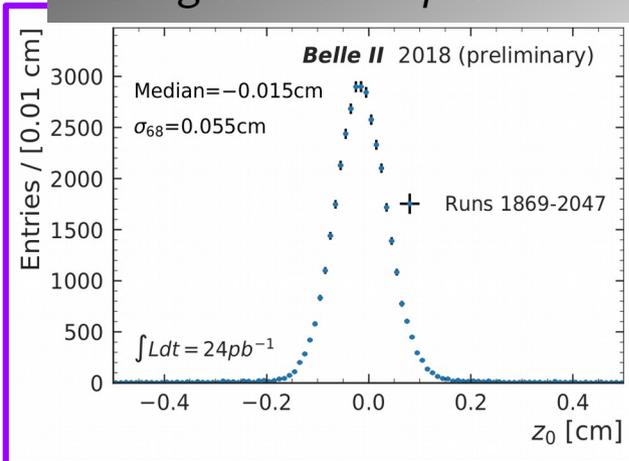


# Belle II Performances in Phase 2

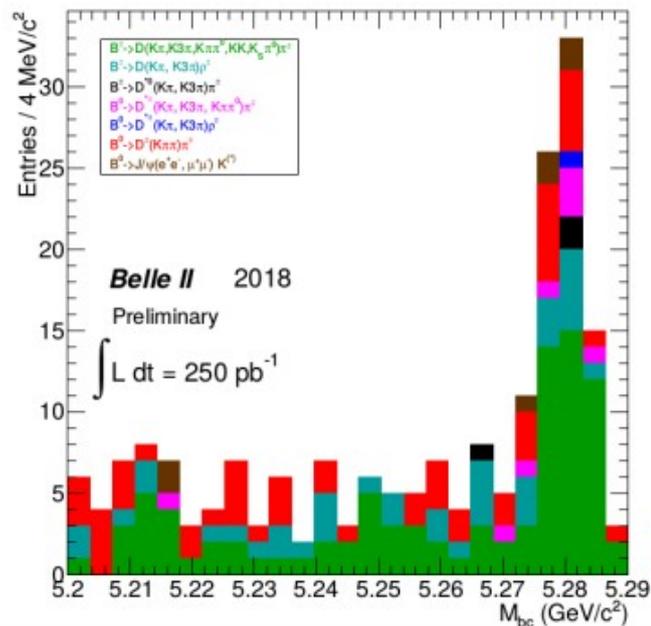
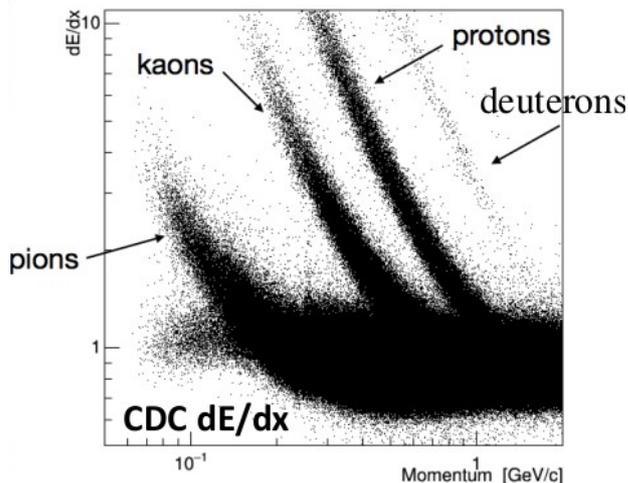
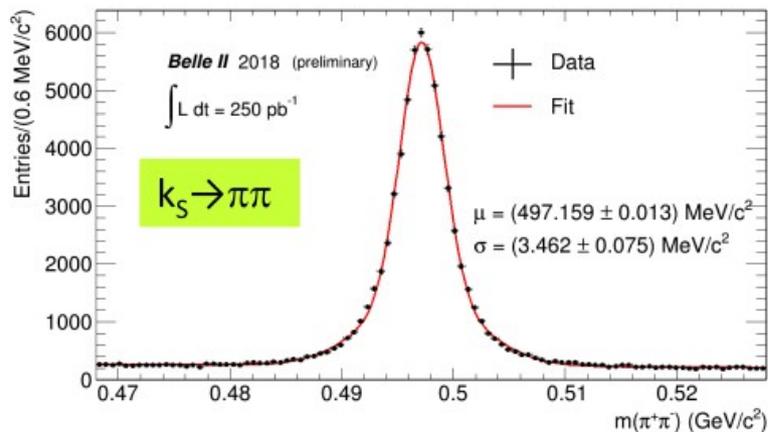
Vertical beam size



Longitudinal IP position

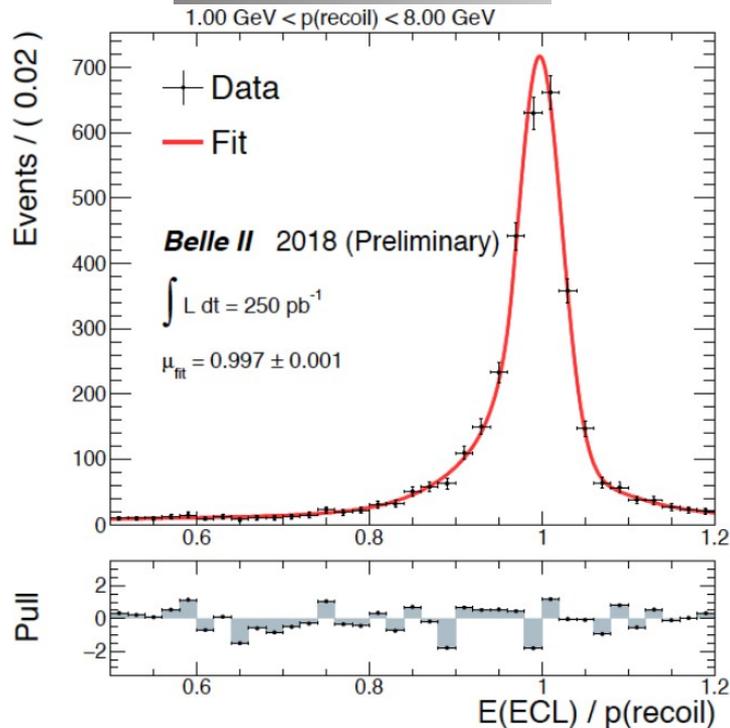


- ✓ Nano-beam scheme works
- ✓  $L = 5.5 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
- ✓ Collected  $0.472 \text{ fb}^{-1}$

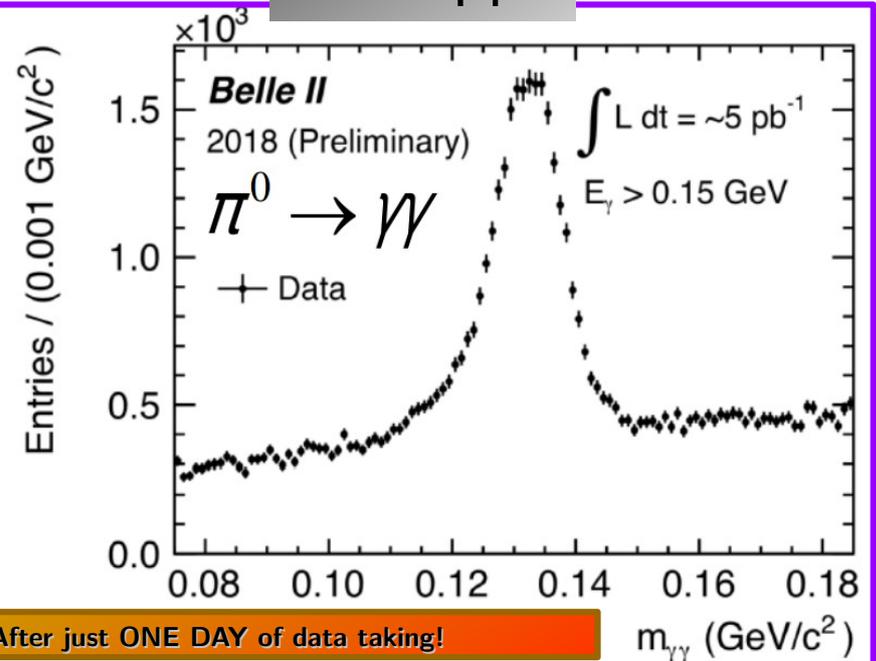


# Belle II Performances in Phase 2: photon reconstruction

$$e^+e^- \rightarrow \mu\mu\gamma$$



$$\pi^0 \rightarrow \gamma\gamma$$

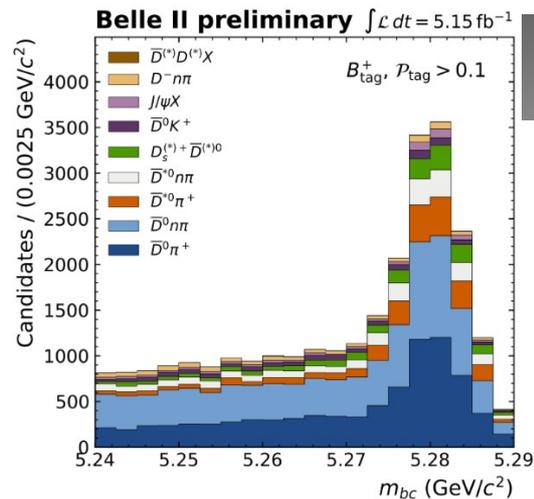
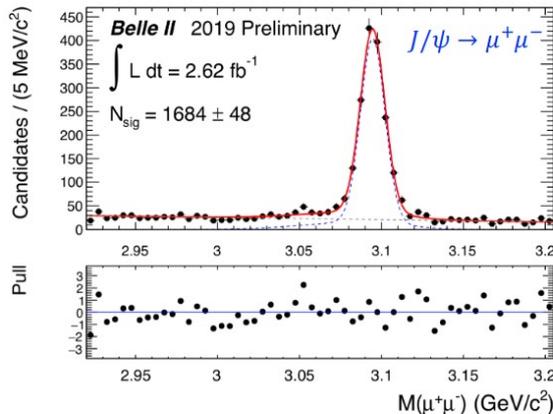
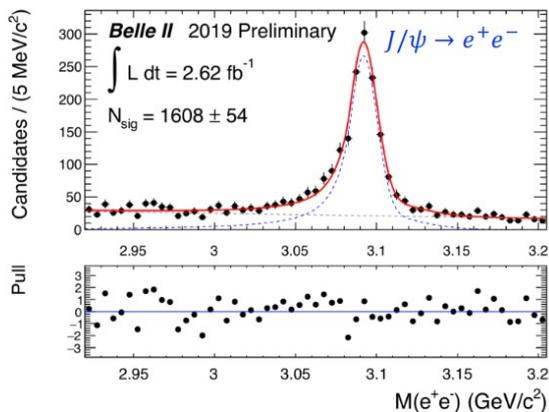
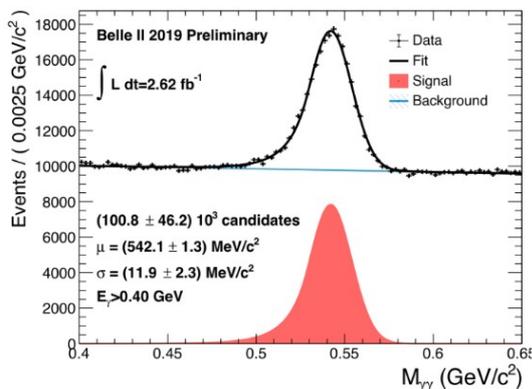
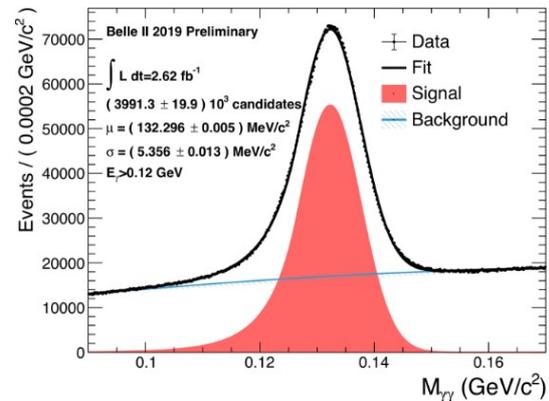


$$e^+e^- \rightarrow \gamma X$$

$$e^+e^- \rightarrow \gamma \text{ ALPS} \rightarrow \gamma(\gamma\gamma)$$

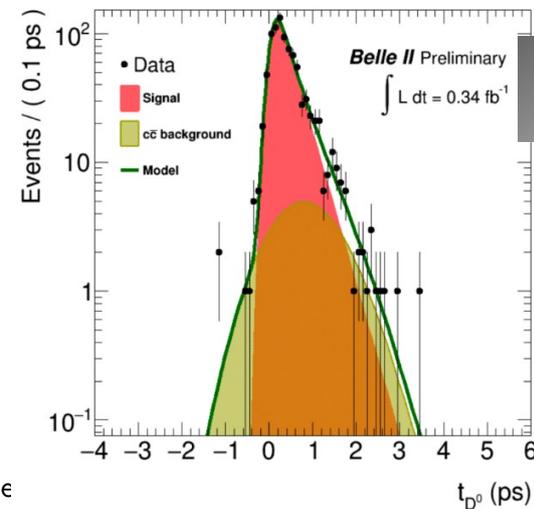
# Belle II Phase 3 snapshot

$\pi^0, \eta \rightarrow \gamma\gamma$



FEI, B tagging

- Important B factory techniques to reconstruct decay with neutrinos and do inclusive searches

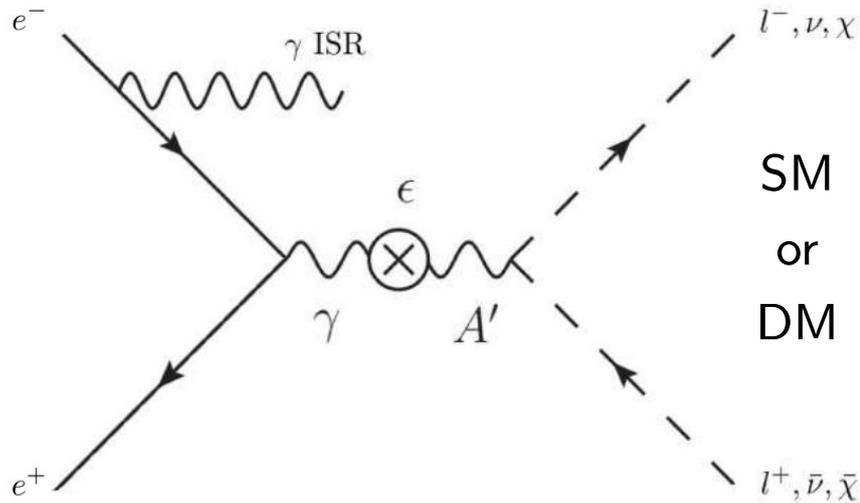


$D^0$  lifetime

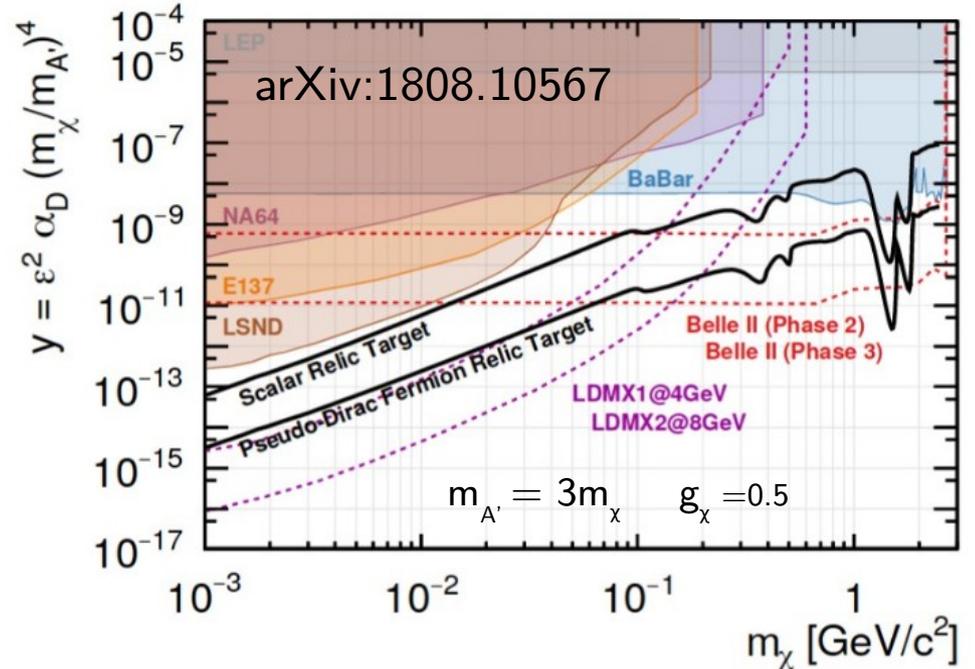
$\tau = (370 \pm 40) \text{ fs}$   
 PDG average:  
 $(410.1 \pm 1.5) \text{ fs}$

# Vector portal: dark photons

- Dark sectors are more generic than light DM and *a priori* unconstrained in their structure
- Common to assume U(1) gauge group with an associated spin-1 massive boson  $A' \rightarrow$  the **dark photon**
- Interaction with the SM particles are mediated by the *kinetic mixing* with the SM photon with a strength  $\epsilon$ :  $\mathcal{L}_{A',\gamma} = \frac{\epsilon}{2} B_{\mu\nu} F'^{\mu\nu}$

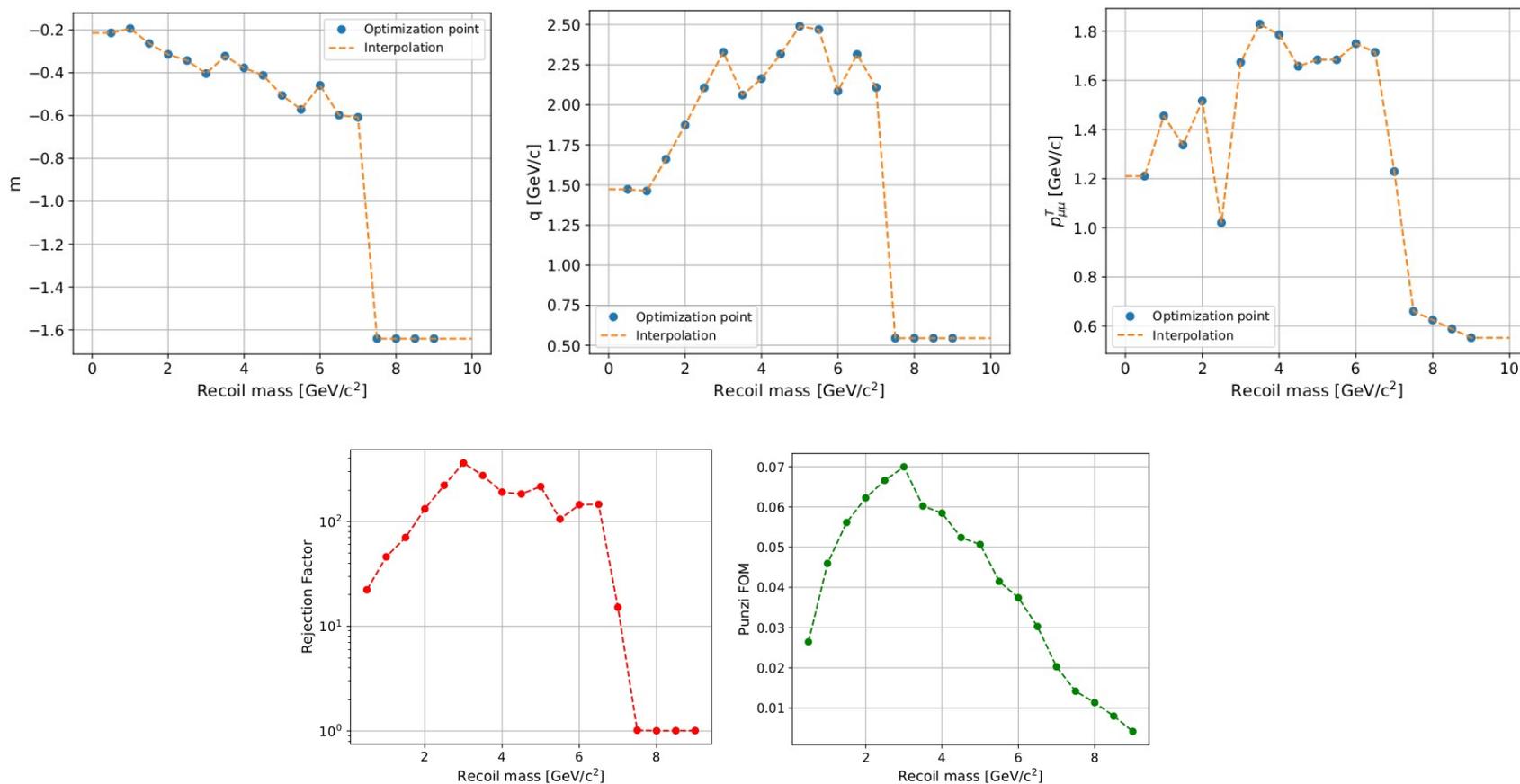


**Promising signature @B-factories: Initial State Radiation production of an invisibly decaying dark photon  $A'$**



# Analysis optimization

- Optimal selection values for the  $\tau$ -suppression parameters:  $m(M_{\text{rec}})$ ,  $q(M_{\text{rec}})$  and  $pT_{\mu\mu}(M_{\text{rec}})$  interpolated as a function of the recoil mass



**Figure 4.12:** Background rejection factor defined as the bin by bin ratio in the recoil mass spectrum of the number of events before and after the  $\tau$  suppression procedure only (left). Punzi FOM as a function of the recoil mass after the optimization process (right).

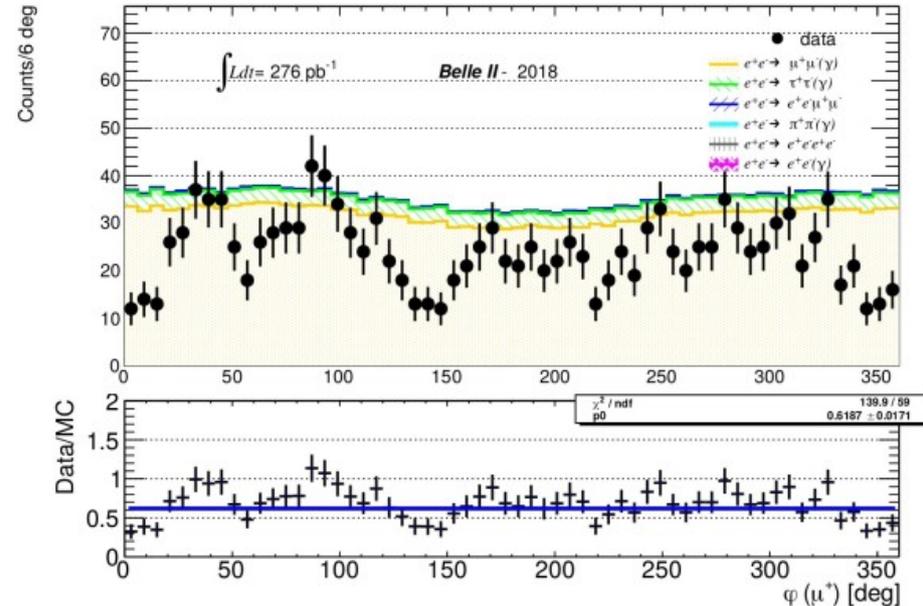
# Data validation: results

- Observed data-MC discrepancy of **-35% in  $\mu\mu$  events** (*standard Z'*), **-10% in  $e\mu$  events** (*LFV Z'*)
- 10% data-MC difference understood
- For  $\mu\mu$  events, residual **-25%** data-MC mismatch **unexplained**

Investigation effort on dimuon yield mismatch:

- ✓ All checked distributions show flat data/MC  $\sim 0.65$
- ✓ Shape distortion in azimuth angle ( $\phi$ ) distributions for  $\mu\mu$  events and ascribed to the effect of CDC trigger selection
- ✓ 35% discrepancy persists in  $\mu\mu\gamma$  sample selected with ECL trigger and with different MC generators (KKMC, BagaYaga@NLO)

**NO clear hint for a culprit**  $\rightarrow$  **CDC trigger seems to be the most suspicious candidate.**



$\rightarrow$  Scale the MC simulations by **0.90 for  $e\mu$  events** and **0.65 for  $\mu\mu$  events**

# Trigger efficiency

- Two orthogonal trigger lines:

- CDC two-track trigger for  $\mu\mu$  events (standard Z'): Bhabha veto and at least 2 tracks within CDC acceptance, with opening angle  $> 90^\circ$

$$\epsilon_{CDC} = \frac{N(\text{bit}_{CDC} \text{ AND } \text{bit}_{ECL})}{N(\text{bit}_{ECL})}$$

- ECL trigger for  $e\mu$  events (LFV Z'): Bhabha veto and minimum energy deposit of 1 GeV

$$\epsilon_{ECL} = \frac{N(\text{bit}_{CDC} \text{ AND } \text{bit}_{ECL})}{N(\text{bit}_{CDC})}$$

- Select good runs ( $\epsilon_{CDC} > 50\%$ ) and CDC fiducial regions in bins of polar angle and momentum and compute final trigger efficiency of 79% as:

$$\epsilon_{CDC} = \frac{\sum_i \epsilon_i^{\text{bit}_{CDC}} \times \mathcal{L}_i}{\sum_i \mathcal{L}_i}$$

- Systematic uncertainty is evaluated as the relative variation of average efficiency in bins of  $\theta$ ,  $p_T$ , #CDChits: 6%

$$\rightarrow \epsilon_{CDC} = (79 \pm 6)\%$$

Used to scale expected

bkg MC yields

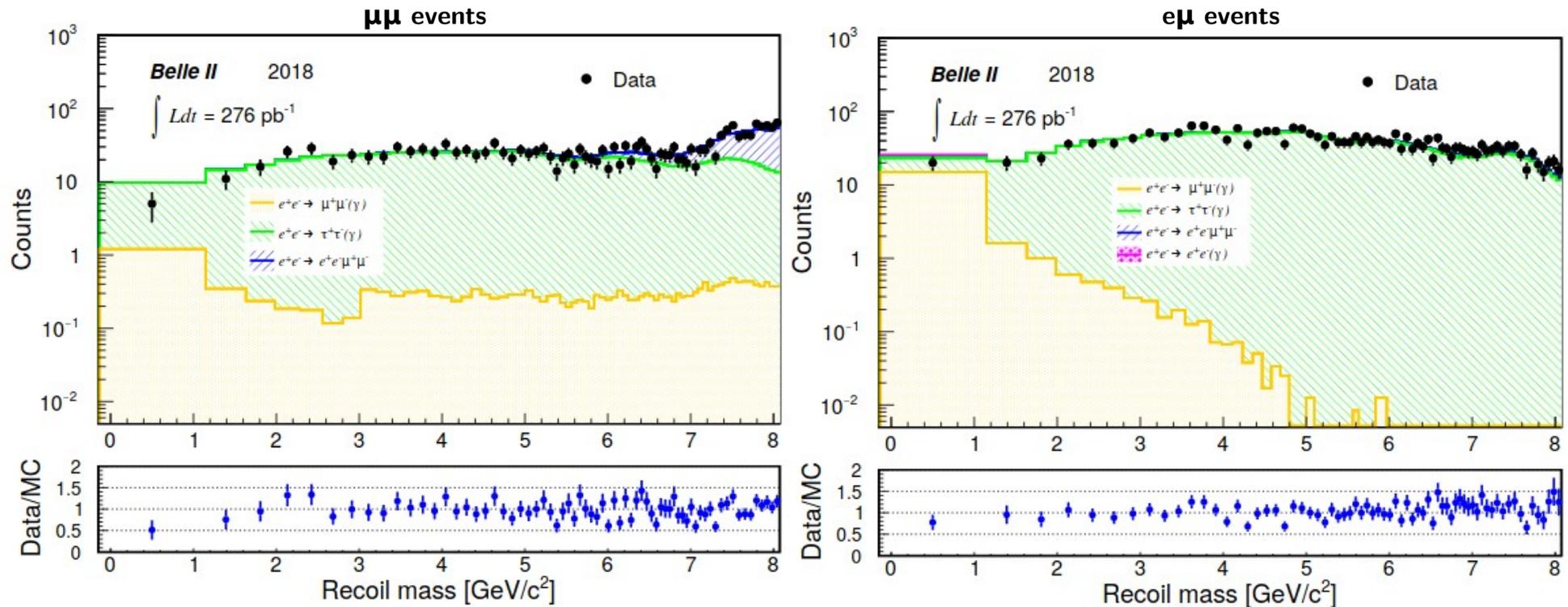
- Validated with the CDC trigger (assume same good runs luminosity of  $276 \text{ pb}^{-1}$ ) on  $\mu\mu\gamma$  events
- Found to be flat in the fiducial ECL barrel region

$$\rightarrow \epsilon_{ECL} = (96 \pm 1)\%$$



# Phase 2 results

- Recoil mass spectra before the  $\tau$ -suppression procedure, 0.75 scale factor applied to simulation yields in  $\mu\mu$  events to match the measured data/MC ratio:



# Phase 3 improvements

- The full VXD will improve the recoil mass resolution ( $\sim 40\%$ )  $\rightarrow$  reduce mass windows and surviving background
- Muon detection improved thanks to KLM performances

