

A large mural of a woman's face, possibly a classical figure, is painted on the side of a building in Paris. The mural is rendered in a high-contrast, stencil-like style with black outlines and splatters. A red arrow points to the eye area. To the left of the mural is a Gothic building with a tall, ornate tower. To the right is another building with a colorful, abstract mural. The sky is blue with white clouds.

# High energy interactions of CRs: a view on the UHECR composition

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SINP MSU

CRs & Neutrinos in the Multi-Messenger Era  
Paris, December 07-11, 2020

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## Disclaimer

- The most of what follows has been discussed previously

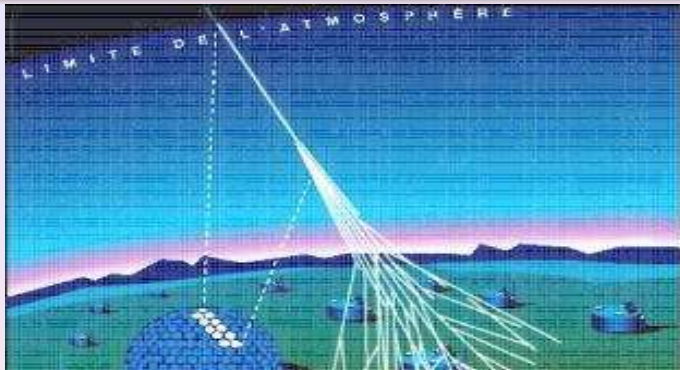
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- One can't prove that a phenomenological model is correct
- Therefore, I'll concentrate on things which are either obviously wrong or likely wrong...

# High energy CR studies: extensive air shower techniques



CR composition studies – most dependent on interaction models

- e.g. predictions for  $X_{\max}$ : **on the properties of the primary particle interaction** ( $\sigma_{p\text{-air}}^{\text{inel}}$ , forward particle spectra)
  - $\Rightarrow$  most relevant to LHC studies of  $pp$  collisions
- predictions for muon density: on secondary particle interactions (cascade multiplication); mostly on  $N_{\pi\text{-air}}^{\text{ch}}$ 
  - $\Rightarrow$  **small potential influence of 'new physics'**

# Cosmic ray interaction models

## 1 QGSJET-II-04 [SO, 2011]

- theoretically most advanced: e.g. microscopic treatment of nonlinear effects (Pomeron-Pomeron interaction diagrams)
- $\Rightarrow$  strong predictive power (minimal number of parameters)

## 2 EPOS-LHC [Pierog, Karpenko, Katzy, Yatsenko & Werner, 2015]

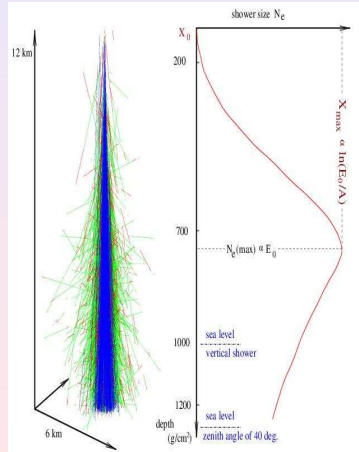
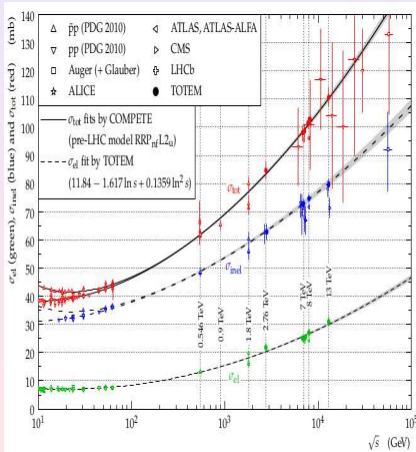
- more phenomenological (e.g. parametrized saturation effects)
  - $\Rightarrow$  larger parameter freedom
- additional theoretical mechanisms (e.g. energy-momentum sharing at the amplitude level, hydrodynamics for final states)
- generally better description of existing data (e.g.  $p_t$  spectra)

## 3 SIBYLL-2.3 [Riehn, Engel, Fedynitch, Gaisser & Stanev, 2015]

- relatively simple ('minijet' approach)
- differs from QGSJET-II & EPOS in many important aspects
- has similarities to models used at LHC (e.g. PYTHIA)

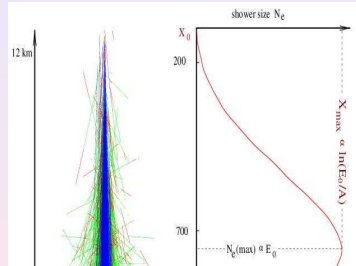
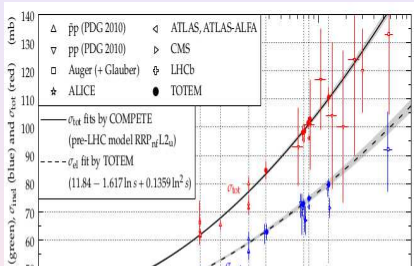
# Of highest importance: measurements of $\sigma_{pp}^{\text{tot/el}}$ at LHC

- allow one to calculate  $\sigma_{p/A-\text{air}}^{\text{inel}}$  (Glauber-Gribov approach)
- $\sigma_{p/A-\text{air}}^{\text{inel}} \Rightarrow$  position of 1st interaction of the primary particle
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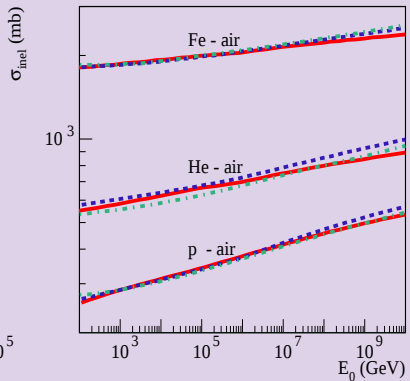
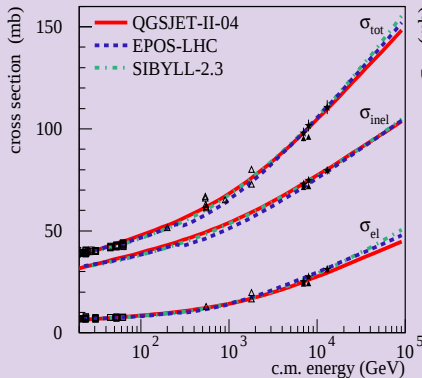
In principle, calculating  $\sigma_{p/A-\text{air}}^{\text{inel}}$  involves other inputs

- e.g. depends on the transverse profile of the proton
- it is also correlated with the treatment of diffraction ('inelastic screening' effect)
- yet **the decisive effect comes from  $\sigma_{pp}^{\text{inel}}$**

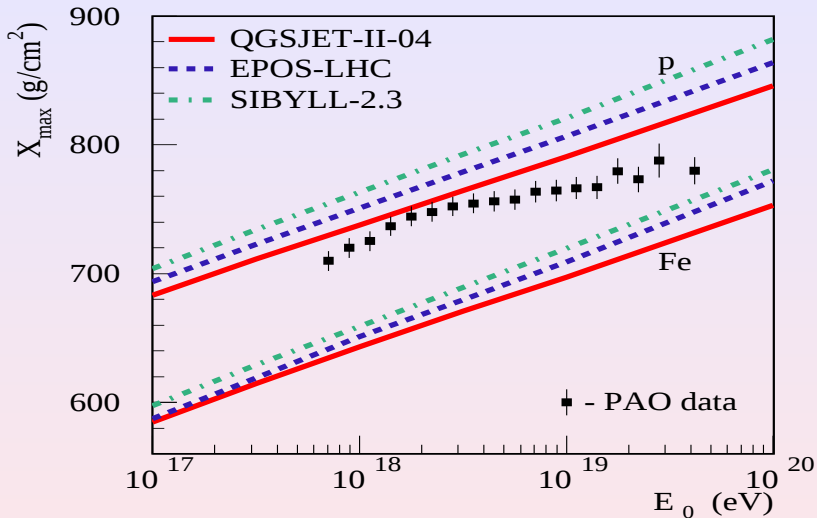
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Model calibration to LHC data  $\Rightarrow$  similar results for  $\sigma_{p/A-\text{air}}^{\text{inel}}$

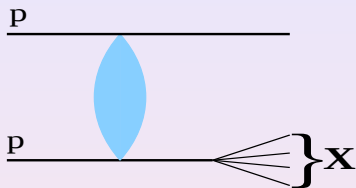
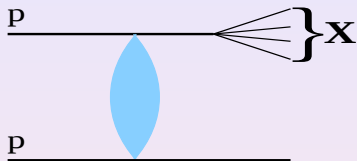


# Model predictions for $X_{\max}$ : huge differences



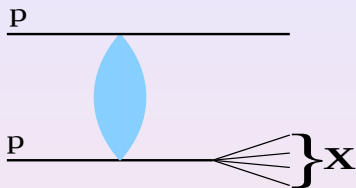
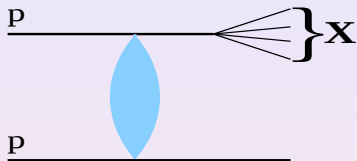
Predicted  $X_{\max}$  depends also on the rate of inelastic diffraction & the 'inelasticity'  $K_{p-\text{air}}^{\text{inel}}$ ; also on the treatment of  $\pi$  - air collisions

# Inelastic diffraction: LHC results & QGSJET-II-04



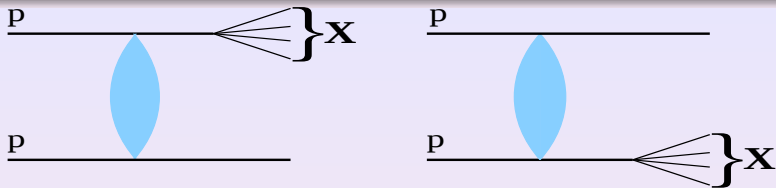
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CR proton loses a tiny portion of its energy

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So far: tension between CMS & TOTEM concerning  $\sigma_{pp}^{\text{SD}}$

|                                                         | TOTEM        | CMS           |
|---------------------------------------------------------|--------------|---------------|
| $M_X$ range, GeV                                        | 7 – 350      | 12 – 394      |
| $\sigma_{pp}^{\text{SD}}(\Delta M_X)$ , mb              | $\simeq 3.3$ | $4.3 \pm 0.6$ |
| $\frac{d\sigma_{pp}^{\text{SD}}}{dy_{\text{gap}}}$ , mb | 0.42         | 0.62          |

- CMS measured  $\simeq 50\%$  higher diffraction rate than TOTEM!

## QGSJET-II-04 vrs. TOTEM: agreement of $M_X$ -shape and SD-rate

| $M_X$ range, GeV | $< 3.4$         | $3.4 - 1100$  | $3.4 - 7$    | $7 - 350$    | $350 - 1100$ |
|------------------|-----------------|---------------|--------------|--------------|--------------|
| TOTEM            | $2.62 \pm 2.17$ | $6.5 \pm 1.3$ | $\simeq 1.8$ | $\simeq 3.3$ | $\simeq 1.4$ |
| QGSJET-II-04     | 3.9             | 7.2           | 1.9          | 3.9          | 1.5          |

- rates of SD in QGSJET-II-04: 10 – 20% above TOTEM

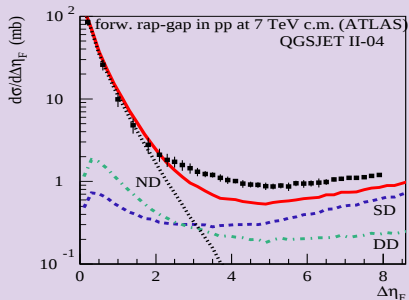
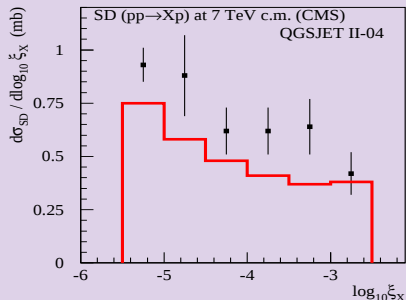
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## Predicted $M_X$ -shape agrees with SD (CMS) & rap-gaps (ATLAS)



- rates of SD & rap-gaps: 30 – 40% below CMS & ATLAS

## Two alternative model versions (tunes): SD+ & SD-

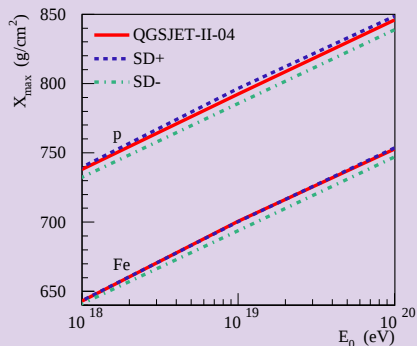
- SD+: higher diffraction rate – to approach CMS results
- SD-: **smaller low mass diffraction (by 30%)** – to fit TOTEM
- similar  $\sigma_{pp}^{\text{tot/el}}$  & central particle production in both cases

# Impact of uncertainties of $\sigma_{pp}^{SD}$ [SO, PRD 89 (2014) 074009]

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Impact of uncertainties of  $\sigma_{pp}^{diff}$  on  $X_{max}$



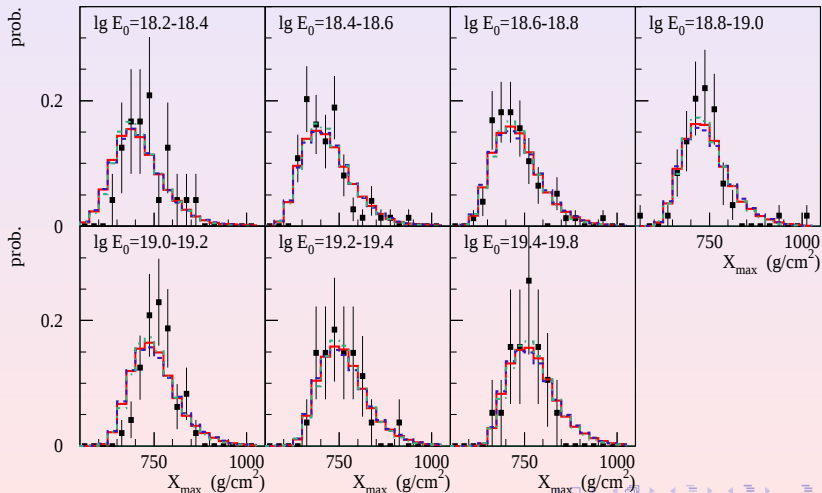
smaller diffraction rate:

- smaller inelastic screening  
⇒ larger  $\sigma_{p-air}^{inel}$
- smaller diffraction for  $p$ -air  
⇒ larger  $K_{p-air}^{inel}$ ,  $N_{p-air}^{ch}$
- ⇒ smaller  $X_{max}$  (all effects work in the same direction)

higher diffraction ⇒ opposite

# Impact on CR composition: interpretation of TA data

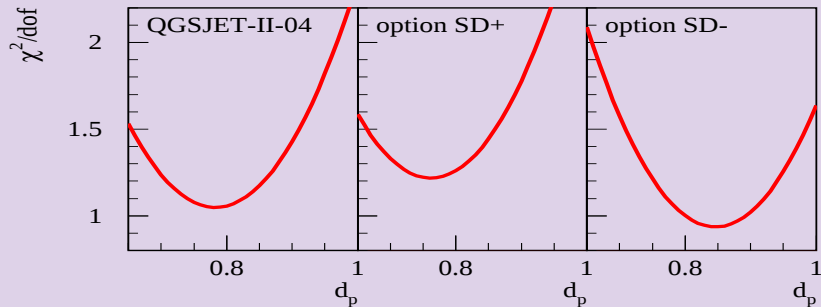
- fit TA data by  $p$ +Fe CR composition: SD+ & SD- tunes
  - good fit quality for both tunes (and for original QGSJET-II-04)
  - however: **for different CR compositions**



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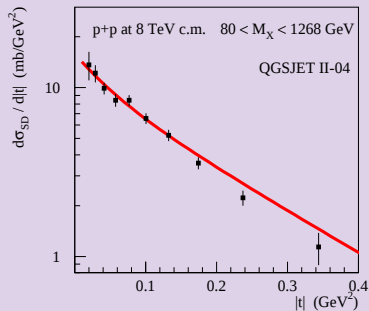
Fit quality for different proton abundances  $d_p$  ( $d_{\text{Fe}} = 1 - d_p$ )



- option SD-: **UHECRs are mostly protons** (NB: 'dip' model)
- option SD+: pure proton composition excluded

# Inelastic diffraction: moment of truth

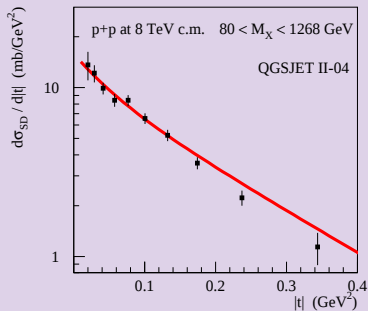
ATLAS measurement (Roman Pots) of  $d\sigma_{pp}^{SD}/dt$  vrs. QGSJET-II-04



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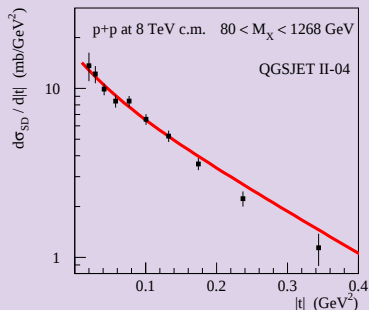
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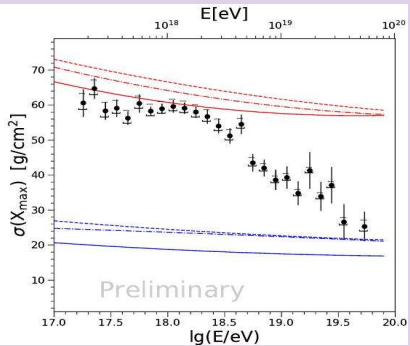
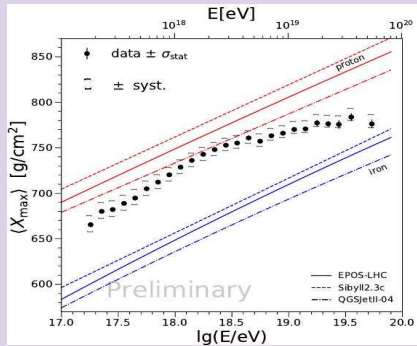
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## Other models overestimate high mass (large $M_X$ ) diffraction

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| EPOS-LHC         | 4.0             | 7.1           | 1.0          | 3.9          | 2.2          |
| SIBYLL 2.3       | 1.6             | 11.8          | 1.1          | 6.7          | 4.0          |

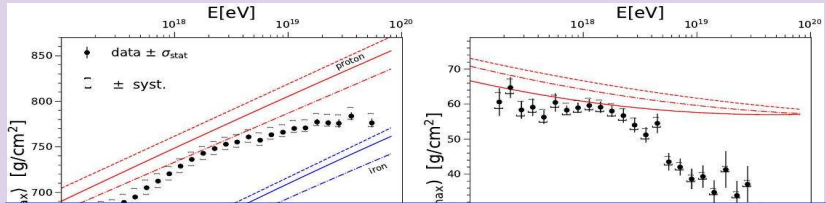
# Interpretation of PAO data on $X_{\max}$ & its fluctuations

## PAO data on $X_{\max}$ & $\sigma(X_{\max})$ : a self-consistent interpretation?

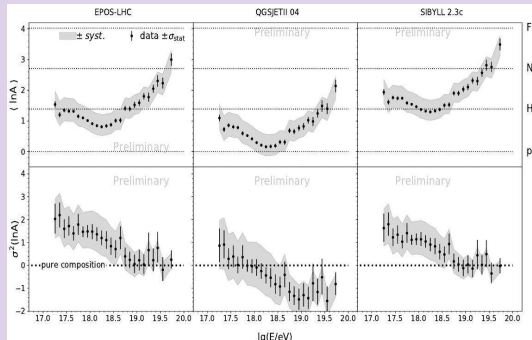


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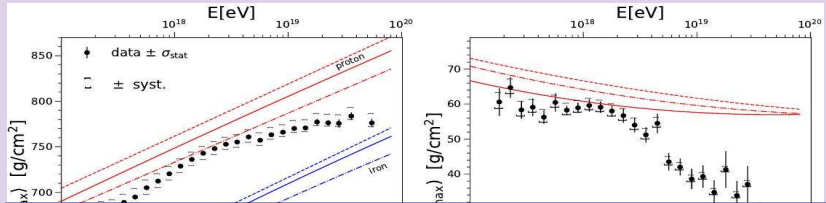
## Not the case for QGSJET-II-04: $X_{\max}$ -distributions too wide



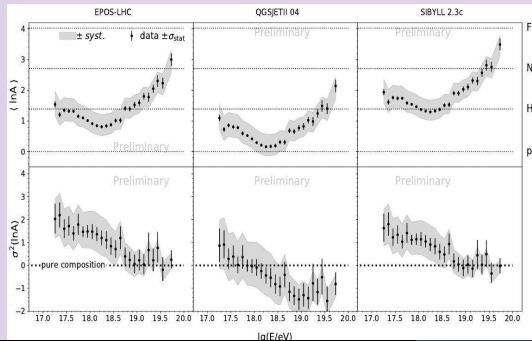
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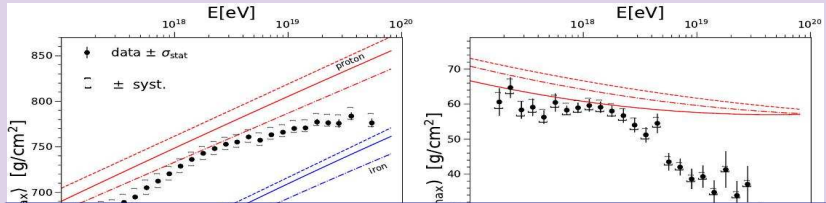
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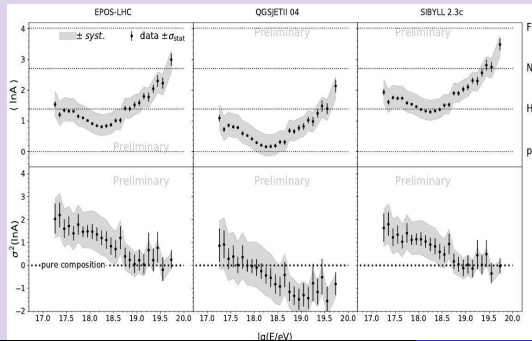
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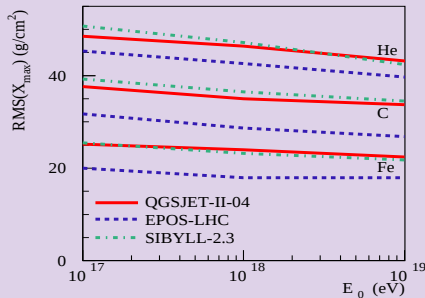


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- inconsistency of QGSJET-II-04...
- or a problem with PAO data?
- why other models agree better?

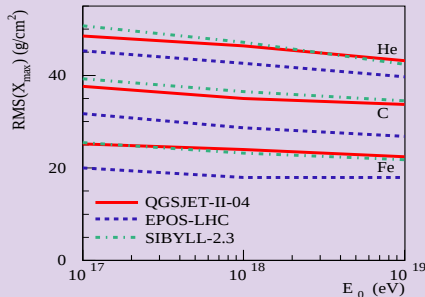
## EPOS-LHC: much smaller $\sigma(X_{\max})$ for primary nuclei



- = main reason for the better agreement with PAO data
- why  $\sigma(X_{\max})|_C$  in EPOS is almost as small as  $\sigma(X_{\max})|_{Fe}$  in QGSJET-II & SIBYLL?

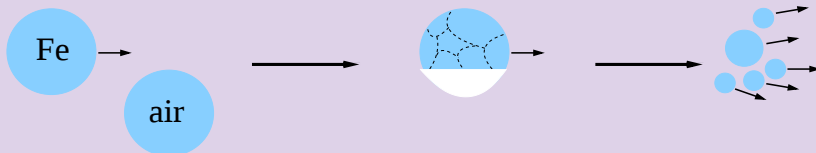
# Nuclear fragmentation & $\sigma(X_{\max})$ [SO, arXiv:1612.09461]

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$\sigma(X_{\max})$ : depends on the fragmentation of nuclear spectator part  
[Kalmykov & SO, Sov.J.Nucl.Phys. 50 (1989) 315; Phys.At.Nucl. 56 (1993) 346]

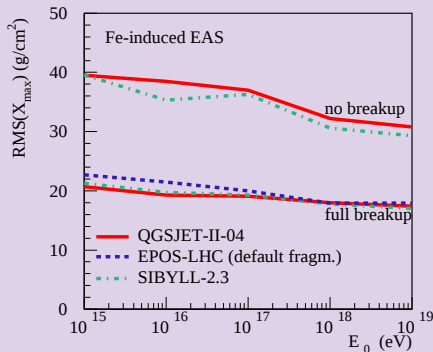


- e.g. factor 2 difference between two extreme options

# Nuclear fragmentation & $\sigma(X_{\max})$

Cross check with SIBYLL & QGSJET-II: two extreme scenarios

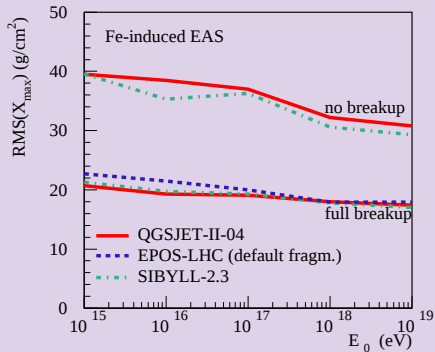
- 1 complete break up of nuclear spectator part (into separate nucleons)  
 $\Rightarrow$  **smallest  $\text{RMS}(X_{\max})$**
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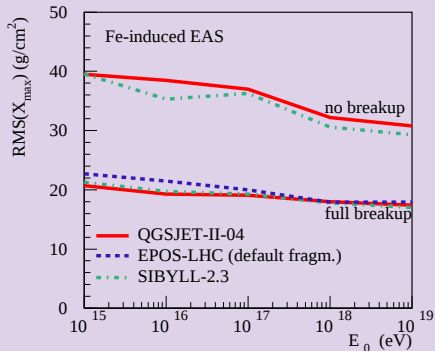
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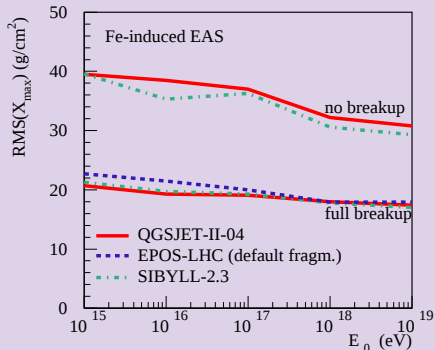
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# Nuclear fragmentation & $\sigma(X_{\max})$

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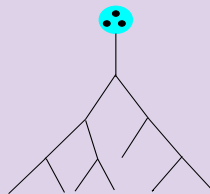
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    - $\Rightarrow$  small  $\sigma(X_{\max})$  of EPOS-LHC – purely artificial
  - $\Rightarrow$  consistent interpretation of PAO data on  $X_{\max}$  &  $\sigma(X_{\max})$  requires large predicted  $X_{\max}$  (like in SIBYLL 2.3)



# Treatment of multiple scattering & energy dependence of the inelasticity [SO et al., PRD 94 (2016) 114026]

SIBYLL (also PYTHIA & other models used at colliders):  
multiple scattering mostly affects central (low  $x$ ) production

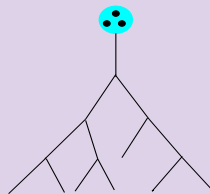
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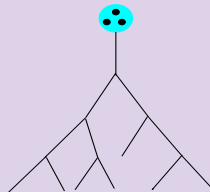
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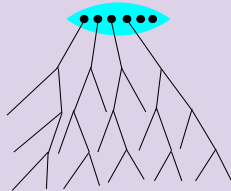
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EPOS & QGSJET(-II): multiple scattering starts already at large  $x$

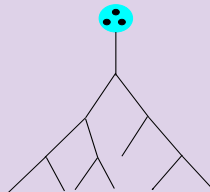
- $\Rightarrow$  **softer forward spectra** (energy sharing between constituent partons)



# Treatment of multiple scattering & energy dependence of the inelasticity [SO et al., PRD 94 (2016) 114026]

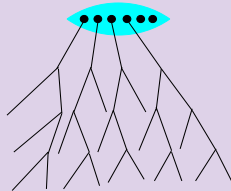
SIBYLL (also PYTHIA & other models used at colliders):  
multiple scattering mostly affects central (low  $x$ ) production

- multiple scattering has small impact on forward spectra
  - new sub-cascades emerge at small  $x$   
( $G(x, q^2) \propto 1/x$ )
- $\Rightarrow$  Feynman scaling for forward production
- forward & central production: decoupled from each other



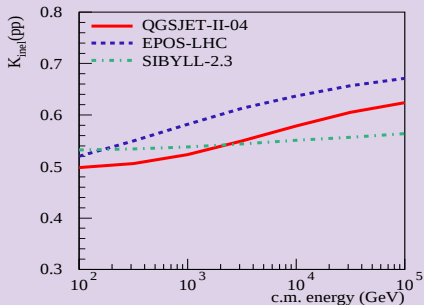
EPOS & QGSJET(-II): multiple scattering starts already at large  $x$

- $\Rightarrow$  softer forward spectra (energy sharing between constituent partons)
- forward & central particle production: **strongly correlated**
  - e.g. more activity in central detectors  
 $\Rightarrow$  softer forward spectra



# Treatment of multiple scattering & s-dependence of $K_{p\text{-air}}^{\text{inel}}$

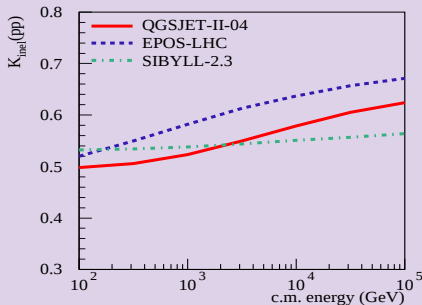
Of importance for cosmic ray studies:  $\sqrt{s}$ -dependence of  $K_{pp}^{\text{inel}}$



- **SIBYLL 2.3: weak energy dependence of  $K_{pp}^{\text{inel}}$**   
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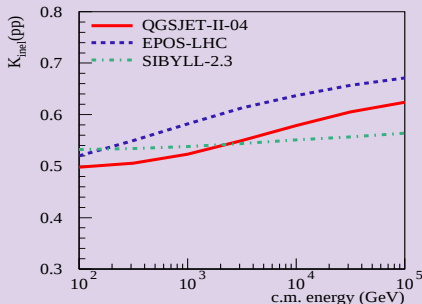
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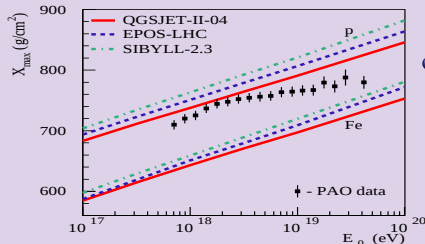
- **SIBYLL 2.3**: weak energy dependence of  $K_{pp}^{\text{inel}}$  (for increasing  $\sqrt{s}$ , mostly rise of central production)
- smaller  $K^{\text{inel}}$   $\Rightarrow$  **stronger 'leading particle' effect**
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# Treatment of multiple scattering & s-dependence of $K_{p-air}^{inel}$

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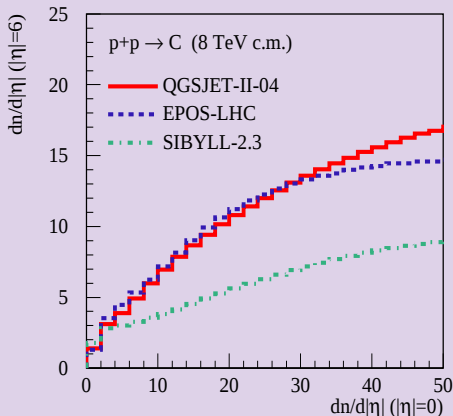


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- **SIBYLL 2.3:** slower energy rise of  $K_{p-air}^{inel}$ 
  - $\Rightarrow$  **larger elongation rate**
  - $\Rightarrow$  deeper  $X_{max}$  at the highest energies

Crucial test: cross-correlation of  $dN_{pp}^{\text{ch}}/d|\eta|$  at  $\eta = 0$  and  $\eta = 6$

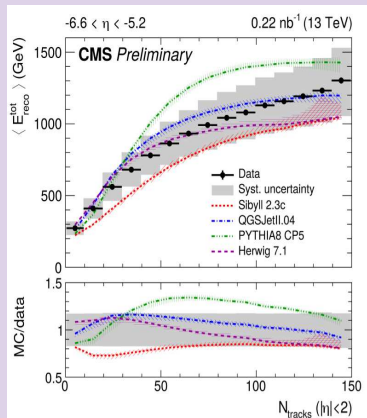


- QGSJET-II & EPOS:  
strong correlation  
(apart from the tails of the  $N^{\text{ch}}$  distributions)
- SIBYLL 2.3:  
twice weaker correlation

# Treatment of multiple scattering & s-dependence of $K_{p\text{-air}}^{\text{inel}}$

Now measured: correlation of forward energy (in CASTOR) with central activity ( $N$  of charged particle tracks) in CMS

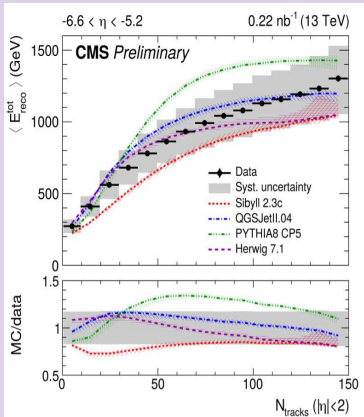
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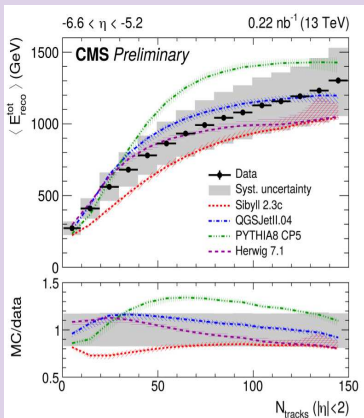


- $\Rightarrow$  only first 3 bins relevant (binning too crude)
- NB: 1st bin may be biased by experimental event selection
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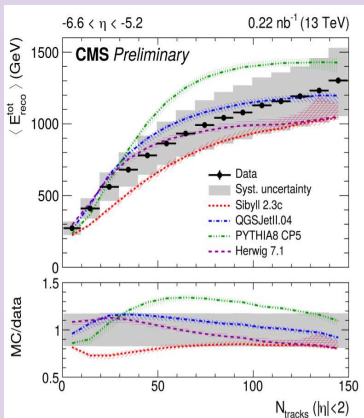


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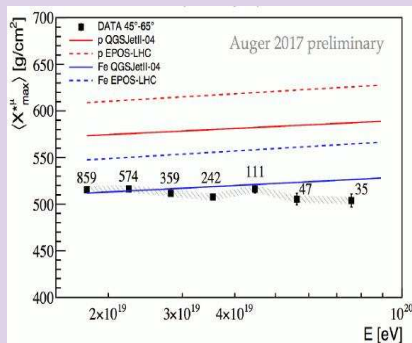
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- QGSJET-II-04: correlation may be too strong compared to data?
  - $X_{\text{max}}$  underestimated?

# UHECR composition: inference from PAO data

## PAO data on maximal muon production depth $X_{\max}^{\mu}$

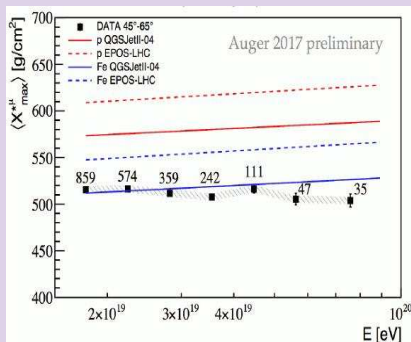


[R. Prado, ISVHECRI-2018]

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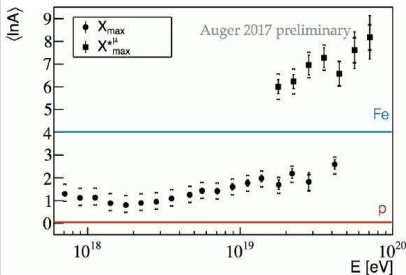
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- models predict deeper  $X_{\max}^{\mu}$  than observed
  - e.g. one needs primary iron for QGSJET-II-04
  - or primary gold for EPOS-LHC (& SIBYLL 2.3)

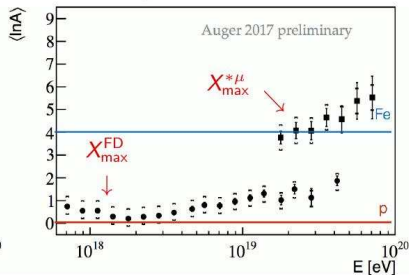
# UHECR composition: inference from PAO data

Change models to 'marry'  $X_{\max}$  &  $X_{\max}^{\mu}$  data composition-wise?

EPOS LHC



QGSJET II-04

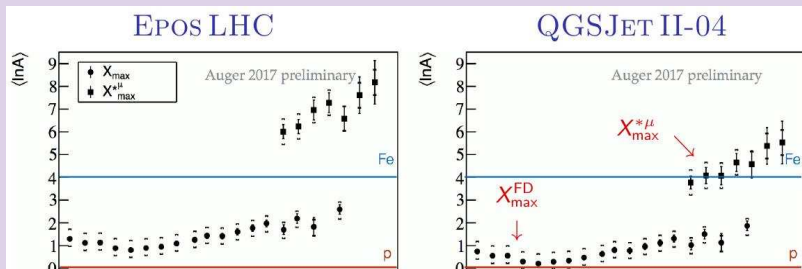


[R. Prado, ISVHECRI-2018]

- the two sets of data should overlap in terms of  $\langle \ln A \rangle$ 
  - for  $1 \leq A \leq 56!$

# UHECR composition: inference from PAO data

Change models to 'marry'  $X_{\max}$  &  $X_{\max}^{\mu}$  data composition-wise?



We are back to Zeno's paradox...



- change a model to modify  $X_{\max}$  prediction:
  - $X_{\max}^{\mu}$  will move in the same direction!
- or vice versa

# Modifying CR interaction models: which way to go?

- changing the treatment of  $p - \text{air}$  collisions?
  - $\sigma_{p-\text{air}}^{\text{inel}}$  – little freedom in view of LHC data
  - same for the rate of diffractive collisions
  - treatment of forward hadron production ( $\Rightarrow$  impact on  $K_{p-\text{air}}^{\text{inel}}$ )
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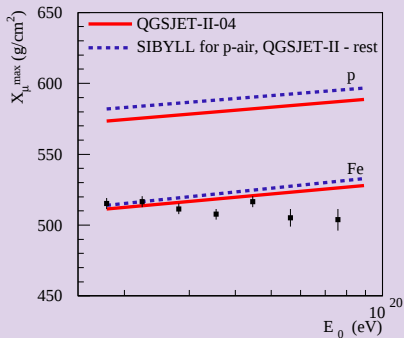
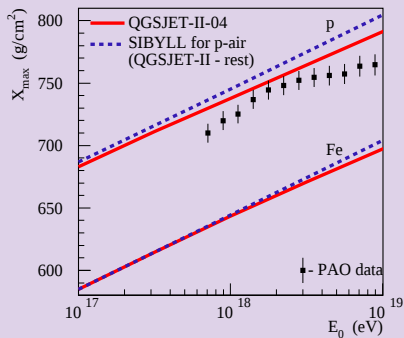
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SIBYLL-2.3 for  $p$  – air ( $\Rightarrow$  smaller  $K_{p\text{-air}}^{\text{inel}}$ ); QGSJET-II for the rest

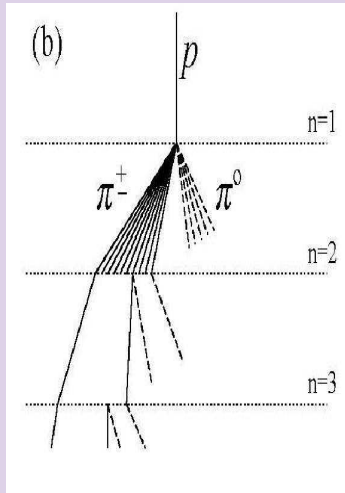


- $\Rightarrow$  larger  $\langle \ln A \rangle$  from  $X_{\max}$  but  $\langle A \rangle > 56$ , based on  $X_{\max}^{\mu}$ ?!

# Modifying CR interaction models: which way to go?

## Changing the treatment of $\pi$ – air collisions ('Achilles & Tortoise')

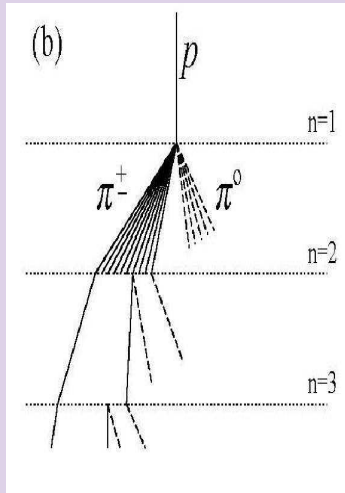
- e.g.,  $\sigma_{\pi\text{-air}}^{\text{inel}}$ ,  $\sigma_{\pi\text{-air}}^{\text{diffr}}$ ,  $K_{\pi\text{-air}}^{\text{inel}}$ 
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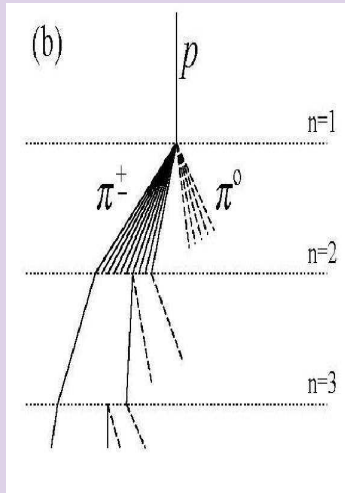
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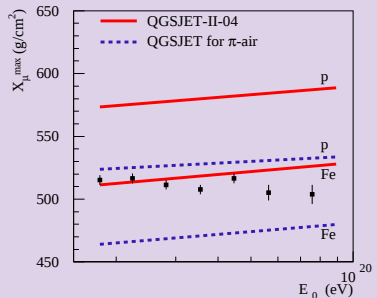
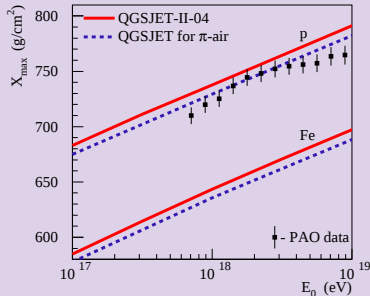
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  - $\equiv$  making special assumptions concerning the pion structure
- affects every step in the multi-step hadron cascade
  - $\Rightarrow$  cumulative effect on  $X_{\text{max}}^{\mu}$
- but: **only the first few steps in the cascade impact  $X_{\text{max}}$** 
  - after few steps, most of energy channelled into e/m cascades
  - $\Rightarrow$  **much weaker effect on  $X_{\text{max}}$**



# Modifying CR interaction models: which way to go?

E.g., employing the old QGSJET model for  $\pi$  – air collisions

•  $\Rightarrow$  higher  $\sigma_{\pi\text{-air}}^{\text{inel}}$ , larger  $N_{\pi\text{-air}}^{\text{ch}}$  &  $K_{\pi\text{-air}}^{\text{inel}}$

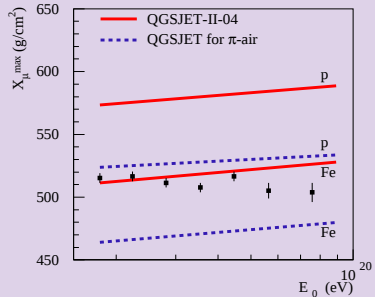
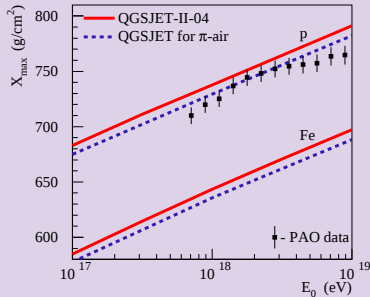


•  $\Rightarrow$  (almost) pure proton composition for UHECRs

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- $\Rightarrow$  (almost) pure proton composition for UHECRs

NB: rather an indication of the tendency, not a solution

- old QGSJET – outdated; known to overestimate particle production in  $\pi$  – air collisions at low energies

# Summary on $X_{\max}$ & $X_{\max}^{\mu}$

## Current situation

- data on  $X_{\max}$  favor a light UHECR composition (for QGSJET-II)
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## Changing the treatment of $p$ – air interactions?

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- but: **very light primary  
composition?!**



- 1 Interpretation of TA data on  $X_{\text{max}}$ -distributions, using QGSJET-II-04 (adjusted to LHC results on diffraction):
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# Outlook

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# Outlook

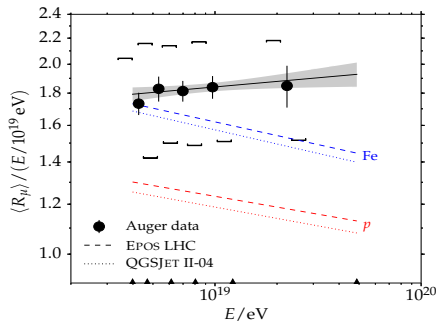
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comments on the PAO 'muon excess': backup slides

# Extra slides

# Few comments on the PAO 'muon excess'

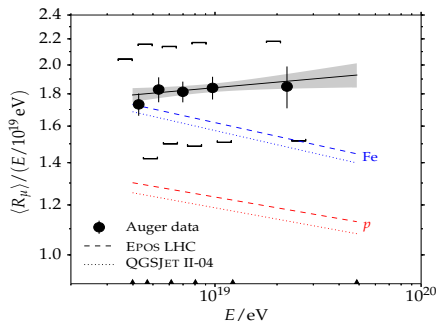
## PAO observed higher EAS muon content than predicted by models



- excess by a large factor:  
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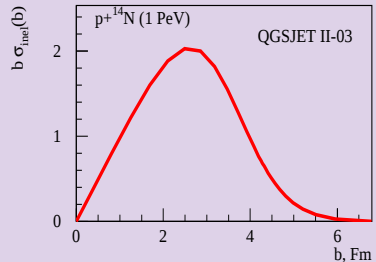
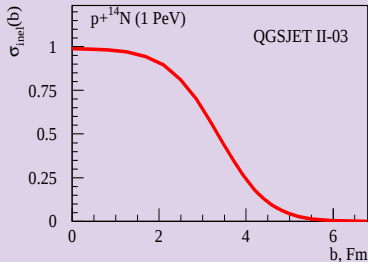
- excess by a large factor:  
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Can muon excess be produced by 1-2 cascade steps?

- e.g. if we double  $N^{\text{ch}}$  for the 1st interaction?
  - < 10% increase for  $N_{\mu}$ ! [SO, talk at C2CR-2005]
- to get, say, a factor 2 enhancement:  
 $N_{\text{ch}}$  should rise by an order of magnitude

# Potential 'new physics' can be discriminated by fluctuations of muon density [50, arXiv:1612.09461]

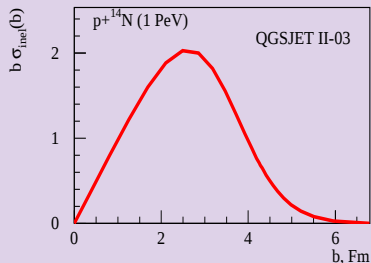
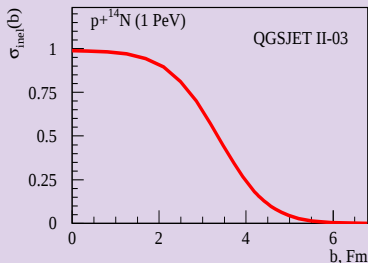
## $p$ -air: interaction profile & distribution of the impact parameter $b$



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## $p$ -air: interaction profile & distribution of the impact parameter $b$



- $\Rightarrow$  interactions dominated by peripheral ( $b \gtrsim 2$  fm) collisions
- at large  $b$ : low parton density
  - $\Rightarrow$  not suitable for new physics to emerge

# Potential 'new physics' can be discriminated by fluctuations of muon density [SO, arXiv:1612.09461]

Assume new physics to emerge in 10% of most central collisions

- and result in EAS with a factor of 10 higher muon density...
  - $\Rightarrow$  90% muon excess ( $\langle \rho_\mu \rangle = 0.1 * 10\rho_\mu^{(0)} + 0.9 * \rho_\mu^{(0)} = 1.9\rho_\mu^{(0)}$ )

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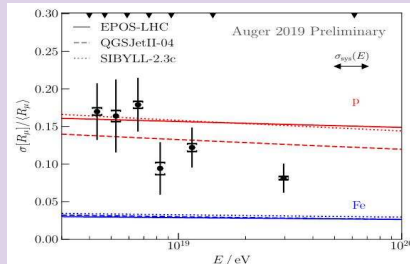
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- $\Rightarrow$  large fluctuations of muon density:  $\sigma_{\rho_\mu}/\rho_\mu \simeq 100\%$
- $\Rightarrow$  can be easily discriminated in PAO data  
(for usual EAS:  $\sigma_{\rho_\mu}/\rho_\mu \simeq 10 \div 15\%$ )

# Potential 'new physics' can be discriminated by fluctuations of muon density [SO, arXiv:1612.09461]

Assume new physics to emerge in 10% of most central collisions

- and result in EAS with a factor of 10 higher muon density...
  - $\Rightarrow$  90% muon excess ( $\langle \rho_\mu \rangle = 0.1 * 10\rho_\mu^{(0)} + 0.9 * \rho_\mu^{(0)} = 1.9\rho_\mu^{(0)}$ )
- $\Rightarrow$  large fluctuations of muon density:  $\sigma_{\rho_\mu}/\rho_\mu \simeq 100\%$
- $\Rightarrow$  can be easily discriminated in PAO data (for usual EAS:  $\sigma_{\rho_\mu}/\rho_\mu \simeq 10 \div 15\%$ )

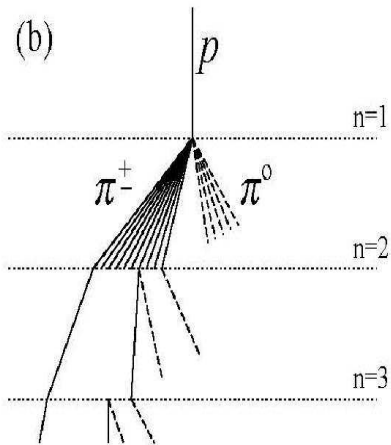
$\sigma_{\rho_\mu}/\rho_\mu$  – now obtained by PAO (ICRC-2019)



- $\Rightarrow$  no room for further speculations

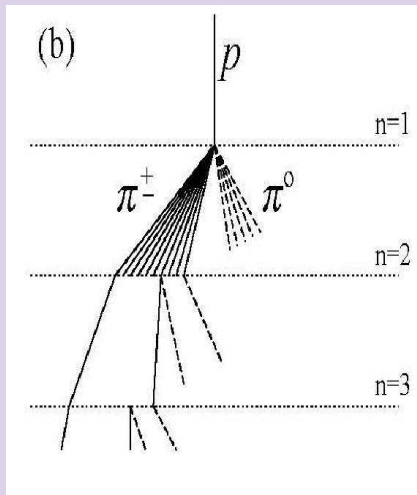
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  - $\sim 1$  cascade step per energy decade
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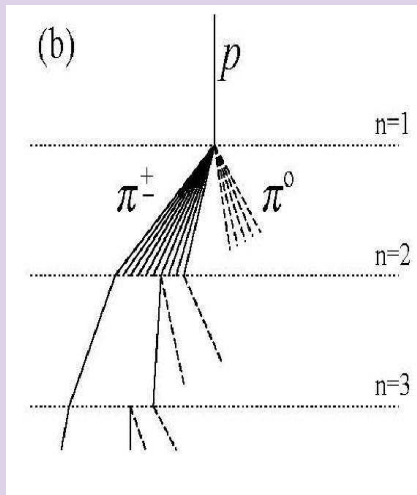
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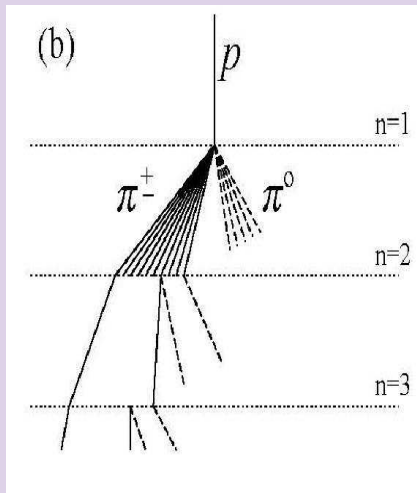
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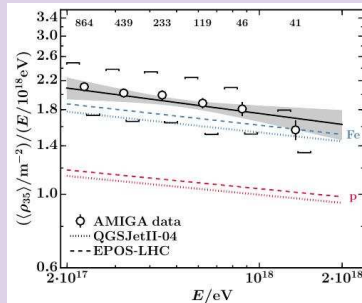


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$\rho_\mu$  – now measured by the AMIGA detector of PAO (ICRC-2019)



•  $\alpha_\mu = 0.89 \pm 0.04(\text{stat}) \pm 0.04(\text{sys})$