Theoretical aspects: Isospin Effects and EOS in Nuclear Reactions





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Empirical parabolic law of the EOS of cold, neutron-rich nucleonic matter



Making a few steps in a random walk through some progresses and issues regarding

- (1) The incompressibility, skewness and kurtosis of symmetric matter
- (2) Nucleon effective masses in neutron-rich matter and their effects on isospin transport in nuclear reactions
- (3) The curvature parameter K_{sym} and high-density nuclear symmetry energy from observables of nuclear reactions and neutron stars

Fundamental Microphysics Theories underlying each term in the EOS , what ..., why ..., where ...how

Experimental and Observational Macrophysics underlying each observable and phenomenon, what ..., why, where ...how

Empirical parameterizations

Transport model simulations of heavy-ion collisions, energy density functionals for nuclear structures, Bayesian inferences of EOS, properties of neutron stars, waveforms of gravitational waves,

$$E(\rho, \delta) = E_0(\rho) + E_{\text{sym}}(\rho) \cdot \delta^2 \quad \text{Assuming no hadron-quark phase transition}$$

$$E_0(\rho) = E_0(\rho_0) + \frac{K_0}{2} \left(\frac{\rho - \rho_0}{3\rho_0}\right)^2 + \frac{J_0}{6} \left(\frac{\rho - \rho_0}{3\rho_0}\right)^3 + \frac{Z_0}{24} \left(\frac{\rho - \rho_0}{3\rho_0}\right)^4,$$

$$E_{\text{sym}}(\rho) = E_{\text{sym}}(\rho_0) + \frac{L}{3} \left(\frac{\rho}{\rho_0} - 1\right) + \frac{K_{\text{sym}}}{18} \left(\frac{\rho}{\rho_0} - 1\right)^2 + \frac{J_{\text{sym}}}{162} \left(\frac{\rho}{\rho_0} - 1\right)^3 + \mathcal{O}\left[\left(\frac{\rho}{\rho_0} - 1\right)^4\right]$$

Near the saturation density $\rho_{0,}$ they are Taylor expansions, appropriate for structure studies. Just parameterizations when applied to heavy-ion collisions and the core of neutron stars

"Current" status of the restricted EOS parameter space:

Low density: $K_0 = 240 \pm 20$, $E_{\text{sym}}(\rho_0) = 31.7 \pm 3.2$ and $L = 58.7 \pm 28.1$ MeV High density: $-400 \le K_{\text{sym}} \le 100$, $-200 \le J_{\text{sym}} \le 800$, and $-800 \le J_0 \le 400$ MeV Bayesian inference of high-density SNM EOS parameters from heavy-ion reaction data

	K ₀	J_0	Z_0
Av	235	-200	-146
σ	30	200	1728
Min (O)	145	-800	-5330
Max (3σ)	325	400	5038

Prior ranges of SNM EOS parameters based on theories and data available Margueron J, Hoffmann C R and Gulminelli F

2018 PRC97, 025805 and 025806

Antic S, Chatterjee D, Carreau T and Gulminelli F 2019 J. Phys. G: Nucl. Part Phys. 46 065109

The pressure in symmetric nuclear matter

$$P(\rho) = \rho^2 \frac{\mathrm{d}E_0(\rho)}{\mathrm{d}\rho} = \frac{\rho^2}{\rho - \rho_0} \left[K_0 \left(\frac{\rho - \rho_0}{3\rho_0}\right)^2 + \frac{J_0}{2} \left(\frac{\rho - \rho_0}{3\rho_0}\right)^3 + \frac{Z_0}{6} \left(\frac{\rho - \rho_0}{3\rho_0}\right)^4 \right]$$



Constraints on the EOS of symmetric nuclear matter from heavy-ion collisions

Danielewicz P. I., Lacey R and Lynch W G 2002 Science 298 1592

Fuchs C 2006 Prog. Part. Nucl. Phys. 56 1 Lynch W G et al 2009 Prog. Part. Nucl. Phys. 62 427



Bayesian inference of K_0 from combined data of centroid energy and electrical Polarizability of IVGDR, n-skin, and centroid energy of ISGMR using SHF+RPA

	E_{-1} (MeV)	$\alpha_D ~({ m fm}^3)$	Δr_{np} (fm)	E_{ISGMR} (MeV)	$E_b \; ({\rm MeV})$	R_c (fm)
²⁰⁸ Pb-TAMU	13.46 ± 0.10	19.6 ± 0.6	0.170 ± 0.023	14.17 ± 0.28	$-7.867452 \pm 3\%$	$5.5010\pm3\%$
²⁰⁸ Pb-RCNP	13.46 ± 0.10	19.6 ± 0.6	0.170 ± 0.023	13.9 ± 0.1	$-7.867452 \pm 3\%$	$5.5010\pm3\%$
²⁰⁸ Pb-RCNP-PREXII	13.46 ± 0.10	19.6 ± 0.6	0.283 ± 0.071	13.9 ± 0.1	$-7.867452 \pm 3\%$	$5.5010\pm3\%$
120 Sn	15.38 ± 0.10	8.59 ± 0.37	0.150 ± 0.017	15.7 ± 0.1	$-8.504548 \pm 3\%$	$4.6543\pm3\%$



The soft-tin ``puzzle'': both relativistic and non-relativistic models that well describe the ISGMR of ²⁰⁸Pb can NOT reproduce the data of Sn isotopes



The main source of the remaining uncertainty of K_0 is due to its correlation with the poorly known isospin dependence of K_A , i.e., the K_τ term

J. Colo, N. Van Giai, J. Meyer, K. Bennaceur, and P. Bonche, PRC 70, 024307 (2004).



the K_{τ} term

Differential analysis of incompressibility in neutron-rich nuclei Bao-An Li and Wen-Jie Xie, Phys. Rev. C 104, 034610 (2021)

 $K_A \approx K_\infty (1 + cA^{-1/3}) + K_\tau \delta^2 + K_{\text{Cou}} Z^2 A^{-4/3}$

$$K_{\tau} = \left[\frac{K_{A_1}}{S_1} - \frac{K_{A_2}}{S_2} - K_{Cou} \left(\frac{Z_1^2 A_1^{-4/3}}{S_1} - \frac{Z_2^2 A_2^{-4/3}}{S_2}\right)\right] / \left(\frac{\delta_1^2}{S_1} - \frac{\delta_2^2}{S_2}\right)$$
$$K_{\infty} = \left[\frac{K_{A_1}}{\delta_1^2} - \frac{K_{A_2}}{\delta_2^2} - K_{Cou} \left(\frac{Z_1^2 A_1^{-4/3}}{\delta_1^2} - \frac{Z_2^2 A_2^{-4/3}}{\delta_2^2}\right)\right] / \left(\frac{S_1}{\delta_1^2} - \frac{S_2}{\delta_2^2}\right)$$

J. P. Blaizot, Phys. Rep. 64, 171 (1980).

$$K_{\rm A} = \left(\frac{E_{\rm ISGMR}}{\hbar c}\right)^2 M c^2 < r^2 >$$

U. Garg and G. Colo, PPNP 101, 55 (2018)





Bao-An Li and Wen-Jie Xie, Phys. Rev. C 104, 034610 (2021)

Fundamental physics underlying nuclear symmetry energy

Single-nucleon (Lane) potential in isospin-asymmetric matter: A. M. Lane, Nucl. Phys. 35, 676 (1962). $U_{n/p}(k,\rho,\delta) = U_0(k,\rho) \pm U_{sym1}(k,\rho) \cdot \delta + U_{sym2}(k,\rho) \cdot \delta^2 + o(\delta^3)$

Hugenholtz-Van Hove (HVH) theorem: N.M. Hugenholtz, L. Van Hove, Physica 24 (1958) 363.

$$E_{\text{sym}}(\rho) = \frac{1}{3} \frac{k_{\text{F}}^2}{2M} + \frac{1}{2} U_{\text{sym},1}(\rho, k_{\text{F}}) + \frac{k_{\text{F}}}{6} \left(\frac{\partial U_0}{\partial k}\right)_{k_{\text{F}}} - \frac{1}{6} \frac{k_{\text{F}}^4}{2M^3}$$

Using the K-matrix theory:

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$$E_{\rm F} = \frac{d\xi}{d\rho} = \frac{d(\rho E)}{d\rho} = E + \rho \frac{dE}{d\rho} = E + P/\rho$$

S. Fritsch, N. Kaiser, W. Weise, Nuclear Phys. A 750 (2005) 259.

K.A. Brueckner, J. Dabrowski, Phys. Rev. B 134 (1964) 722. J. Dabrowski, P. Haensel, Phys. Lett. B 42 (1972) 163;

$$E_{sym}(\rho) = \frac{1}{3} \frac{\hbar^2 k^2}{2m_0^*} |_{k_F} + \frac{1}{2} U_{sym,1}(\rho, k_F), \quad m_0^*(\rho, k) = \frac{m}{1 + \frac{m}{\hbar^2 k} \frac{\partial U_0(\rho, k)}{\partial k}},$$

 $L(\rho) = \frac{2}{3} \frac{\hbar^2 k^2}{2m_0^*} |_{k_F} - \frac{1}{6} \left(\frac{\hbar^2 k^3}{m_0^*} \frac{\partial m_0^*}{\partial k} \right) |_{k_F} + \frac{3}{2} U_{sym,1}(\rho, k_F) + \frac{\partial U_{sym,1}}{\partial k} |_{k_F} \cdot k_F + 3 U_{sym,2}(\rho, k_F),$ C. Xu, B.A. Li, L.W. Chen and C.M. Ko, NPA 865, 1 (2011)

$$m_{n-p}^* \approx 2\delta \frac{m}{\hbar^2 k_F} \left[-\frac{dU_{sym,1}}{dk} - \frac{k_F}{3} \frac{d^2 U_0}{dk^2} + \frac{1}{3} \frac{dU_0}{dk} \right]_{k_F} \left(\frac{m_0^*}{m} \right)^2$$

Bao-An Li, Bao-Jun Cai, Lie-Wen Chen and Jun Xu, Progress in Particle and Nuclear Physics 99 (2018) 29–119

Density and momentum dependence of Isoscalar and Isovector potentials Gogny Hartree-Fock predictions using 11 popular Gogny (finite-range) forces

PHYSICAL REVIEW C 90, 054327 (2014)

Isovector properties of the Gogny interaction

Roshan Sellahewa and Arnau Rios



Momentum dependence of the nucleon optical potential at normal density X.H. Li, W.J. Guo, B.A. Li, L.W. Chen, F.J. Fattoyev and W.G. Newton PLB 743 (2015) 408



Definitions of nucleon effective masses

J.P. Jeukenne, A. Lejeune and C. Mahaux Physics Reports, 25, 83 (1976)

The nucleon k-mass: $\frac{M_J^{*,k}}{M} = \left[1 + \frac{M}{k} \frac{\partial U_J}{\partial k}\right]^{-1}$

The nucleon E-mass: ^M

$$\frac{M}{M_{J}^{*,E}} = 1 - \frac{\partial U_{J}}{\partial E}$$

The total effective mass is given equivalently in any of the following 2 definitions given the on-mass shell dispersion relation: $E_l = k^2/2M + U_l(\rho, \delta, k, E)$

$$\frac{M_J^*}{M} = 1 - \frac{dU_J(\rho, \delta, k(E), E)}{dE} \bigg|_{E(k_F^J)} = \left[1 + \frac{M}{k_F^J} \frac{dU_J(\rho, \delta, k, E(k))}{dk} \bigg|_{k_F^J} \right]^{-1}$$

The total effective mass = E-mass × k-mass:

$$\frac{M_j^*}{M} = \frac{M_j^{*,E}}{M} \cdot \frac{M_j^{*,k}}{M}$$

Brueckner Hartree-Fock and Relativistic Hartree-Fock predictions A. Li, J.N. Hu, X.L. Shang, W. Zuo, Phys. Rev. C 93 (2016) 015803.



They have different and model-dependent neutron-proton effective mass splitings

Neutron-proton total effective mass splitting from optical potentials

In primordial nucleosynthesis: $(n/p)_{eq} = e^{-m^*_{n-p}/T}$

$$\begin{split} m_{n-p}^{*}(\rho_{0},\delta) &\equiv \frac{m_{n}^{*} - m_{p}^{*}}{m} = \frac{\frac{m}{\hbar^{2}k_{F}}(dU_{p}/dk - dU_{n}/dk)}{(1 + \frac{m}{\hbar^{2}k_{F}}dU_{p}/dk)(1 + \frac{m}{\hbar^{2}k_{F}}dU_{n}/dk)} \bigg|_{k_{F}} \frac{\text{Bao-An Li}}{PLB727 (2013) 276} \\ m_{n-p}^{*}(\rho_{0},\delta) &\approx \delta \cdot \left[3E_{\text{sym}}(\rho_{0}) - L(\rho_{0}) - \frac{1}{3}\frac{m}{m_{0}^{*}}E_{F}(\rho_{0}) \right] / \left[E_{F}(\rho_{0}) \cdot (m/m_{0}^{*})^{2} \right] \end{split}$$

a positive value for the $m_{n-p}^*(\rho_0, \delta)$ requires that $L(\rho_0) \leq 76$



The proton-neutron effective mass splitting for ²⁰⁸Pb is <u>+0.054</u> at its center from dispersive optical model analysis of n+Pb scatterings Charity, Dickhoff, Sobotka and Waldecker EPJA 50, 3 (2014) 64

What we know about the neutron-proton total effective mass splitting



(4) n/p ratio in Sn+Sn reactions at 120 MeV/A P. Morfouace et al., PLB 799 (2019) 135045

 $\Delta m_{np}^* = (m_n^* - m_p^*)/m_N = -0.05^{+0.09}_{-0.09}\delta.$

Extracting the $m_n^* - m_p^*$ from experiments

(1) Optical model analysis C. Xu et al. 2010, $(m_n^* - m_p^*)/m = (0.32 \pm 0.15)\delta$

B. Charity 2010 (m^{*}_n - m^{*}_{p)}/m=0.26δ

X. H. Li, 2014 $m^*_{n-p} = (0.41 \pm 0.15)\delta$

(2) Systematics of symmetry energy B.A. Li and X. Han, 2013 $m^*_{n-p} = (0.27 \pm 0.25)\delta$

(3) Dipole polarizability of Pb Z. Zhang and L.W. Chen, 2016 $(m_n^* - m_p^*)/m = (0.27 \pm 0.15)\delta$ Density dependence of the neutron-proton effective mass splitting from chiral EFT T.R. Whitehead et al. (2021)



Constraining the nucleon effective E-mass



Using the Migdal (1957)-Luttinger (1960) Theorem: (occupation renormalization function) A.B. Migdal,

 $Z_{\rm F}^J = n_{k_{\rm F}^J-0}^J - n_{k_{\rm F}^J+0}^J = M/M_{\rm E}^{J,*}$



A.B. Migdal, Sov. Phys. JEPT. 5 (1957) 333.

J.M. Luttinger, Phys. Rev. 119 (1960) 1153.

C. MAHAUX and R. SARTOR

Physics Reports 211, 53 (1992).

Example: (1) get the total effective mass from optical potentials, (2) get the E-mass using the Migdal-Luttinger theorem from the n(k) constrained by SRC data of e-A reaction (3) then get the k-mass from the relation $\frac{M_J^*}{M} = \frac{M_J^{*,k}}{M} \cdot \frac{M_J^{*,k}}{M}$

Protons are more energetic and more correlated in neutron-rich matter

Short-Range Correlation in asymmetric matter



Average depletion of the Fermi sea For neutrons and protons based on the available SRC-data



B.J. Cai, B.A. Li, Phys. Lett. B 757 (2016) 79.

The Jlab finding is consistent with earlier findings from the spectroscopic factors of direction reactions and the dispersive optical model analysis of p+nucleus scattering **The minority component is more correlated!**

Example I: proton occupation from p+⁴⁰Ca, p+⁴⁸Ca, and p+⁶⁰Ca (prediction)



PRL 97, 162503 (2006)

Asymmetry dependence of proton correlations.

R. J. Charity¹, L. G. Sobotka^{1,2}, W. H. Dickhoff²

Constraining the nucleon effective E-mass

$$\frac{M_J^{*,\mathrm{E}}}{M} = 1 - \frac{\partial U_J}{\partial E}$$

$$Z_{\rm F}^J = n_{k_{\rm F}^J - 0}^J - n_{k_{\rm F}^J + 0}^J = M/M_{\rm E}^{J,*}$$



In symmetric nuclear matter based on available SRC data



Bao-Jun Cai and Bao-An Li PLB 757, 79 (2016)

Isospin fractionation during heavy-ion reactions



Bao-An Li, Andrew T. Sustich, Bin Zhang, PRC 64, 054604 (2001)

Effects of symmetry energy on isospin fractionation



effective mass

splitting

$$E(\rho,\delta) = E(\rho,0) + E_{sym}(\rho)\delta^2$$

high density region is more neutron-rich with soft symmetry energy

The density dependence of isospin asymmetry in neutron stars and heavy-ion reactions are similar

 π^{-}/π^{+} ratio at freeze-out and neutron-proton differential flow probing high-density E_{svm}

Bao-An Li, Phys. Rev. Lett. 88 (2002) 192701

Evolution of nucleon total effective masses and in-medium NN Xsections



Bao-An Li and Lie-Wen Chen, Phys. Rev. C72, 064611 (2005).



Isospin dependence of nucleon mean free path $\lambda_n^{-1} = \rho_p \sigma_{pp}^* + \rho_n \sigma_{pn}^*$ and $\lambda_n^{-1} = \rho_n \sigma_{nn}^* + \rho_p \sigma_{np}^*$ $\lambda_{J} = \frac{k_{R}^{J} \leftarrow}{2M_{I}^{*,k} |W_{J}|}$ Real part of the nucleon momentum J.W. Negele and K. Yazaki, PRL 47, 71 (1981) Imaginary part of the single-nucleon potential K-mass $E = k^2/2m + \Sigma(k, E).$ (1) The self-energy is in general complex, and it will be useful to write $\Sigma(k, E) \equiv U(k, E) + i W(k, E),$ (2)(3) $k \equiv k_R + ik_I$ and the imaginary part yields the mean free path +1 for neutrons $k_I = 1/2\lambda$. (4) -1 for protons $\lambda_I \approx \lambda_0 + \lambda_{\rm sym} \tau_3^J \delta$ Nucleon mean free path in neutron-rich matter: Isoscalar isovector

Microscopic optical potential for exotic isotopes from chiral effective field theory

J. W. Holt,^{1,2} N. Kaiser,³ and G. A. Miller¹

(their optical potentials = minus the optical potentials by others in this talk)



FIG. 1. Energy dependence of the real and imaginary parts of the microscopic optical potential from chiral two- and threebody forces for symmetric nuclear matter at saturation density ρ_0 . Shown for comparison are the global phenomenological potentials of Refs. [19–21].



FIG. 6. Energy dependence of the isovector imaginary optical potential at saturation density from chiral two- and three-body forces. Also shown are the subleading δ_{np}^2 contributions to both the real and imaginary potentials.

Isospin dependence of nucleon mean free path in neutron-rich matter

PHYSICAL REVIEW C 90, 064602 (2014)

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In-medium effects for nuclear matter in the Fermi-energy domain





(1) the calculated isoscalar MFP is too long w.r.t. the INDRA finding, opposite trends at low E(2) neutrons have much longer MFP than protons at low energies

B.-A. Li et al. / Progress in Particle and Nuclear Physics 99 (2018) 29–119

Constraints on L as of 2013 based on 29 analyses of data



Bao-An Li and Xiao Han, Phys. Lett. B727 (2013) 276

Progress in Constraining Nuclear Symmetry Energy Using Neutron Star Observables Since GW170817 by the community

Curvature \mathbf{K}_{sym} of the symmetry energy at saturation density

B.A. Li, P.G. Krastev, D.H. Wen and N.B. Zhang, Eur. Phys. J. A (2019) 55: 117

Impact of NICER's Radius Measurement of PSR J0740+6620 on Nuclear Symmetry Energy at Suprasaturation Densities

Radius: $13.7^{+2.6}_{-1.5}$ km (68%) (Miller et al. 2021) or $12.39^{+1.30}_{-0.98}$ km (Riley

Effects of K_{sym} on isospin fractionation in heavy-ion collisions at intermediate energies Bao-An Li, PRL 85, 4221 (2000)

Neutron-proton differential flow

$$F_{n-p}(y) = \frac{1}{N(y)} \sum_{i=1}^{N(y)} p_{x_i} \tau_i,$$

 τ_i =1 for neutrons and -1 for protons

Bao-An Li, PRL 85, 4221 (2000)

Ratios of neutron to light charged particle ratios and elliptical flows from GSI Dan Cozma, EPJA 54 (2018) 3

From Earth to Heaven: multi-messengers of nuclear EOS

- (1) Significant progresses in understanding the role of isospin degree of freedom
- (2) Many interesting issues to be resolved, correlations of SNM EOS and E_{sym}
- (3) Truly multi-messenger approach to probe the EOS of dense neutron-rich matter
 - = astrophysical observations + terrestrial experiments + theories + your money and efforts +....

