

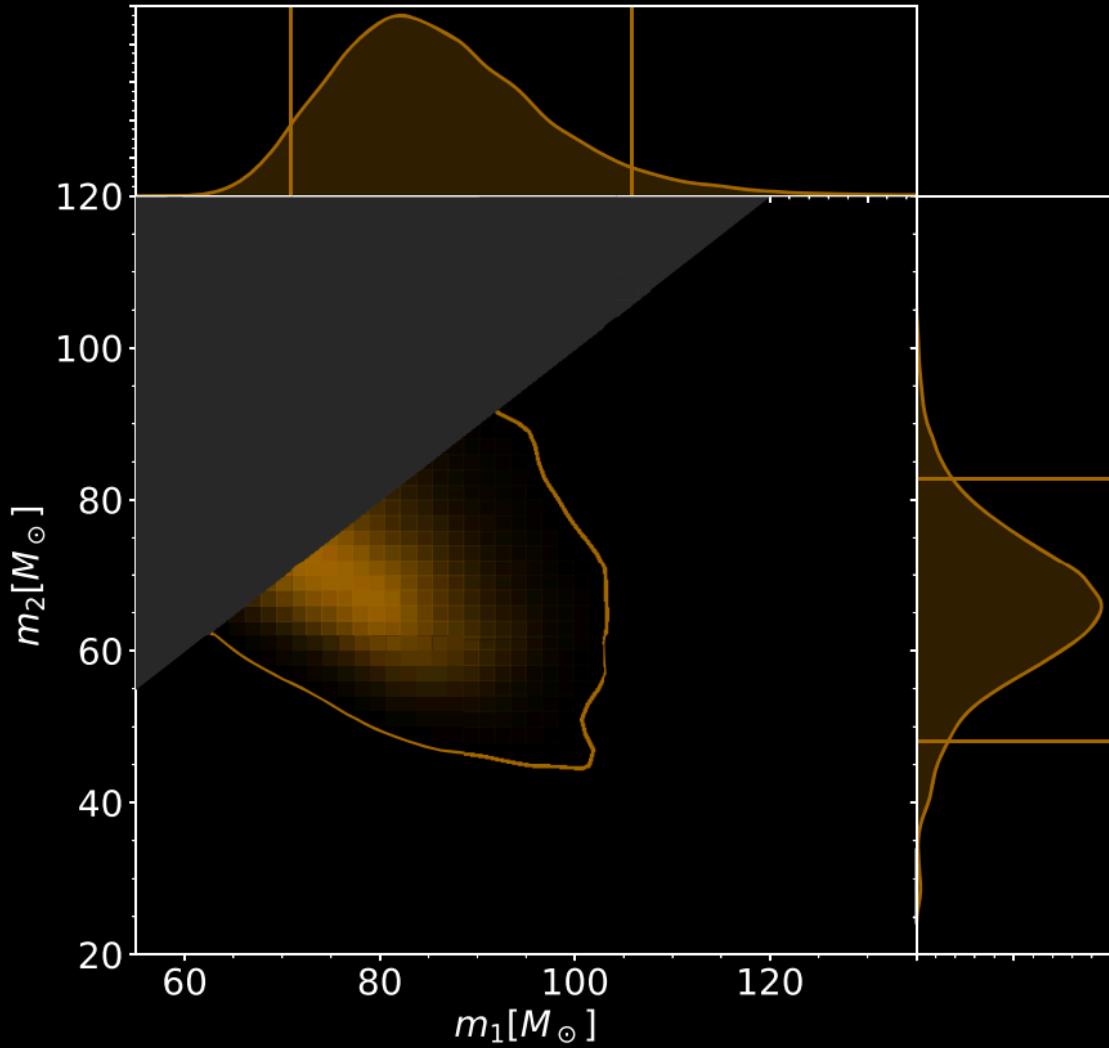
Beyond the Standard Model Explanations of GW190521



Jeremy Sakstein ([University of Hawai'i](#))
[Action Dark Energy](#), October 2020
sakstein@hawaii.edu | jeremysakstein.com



GW190521



LIGO/Virgo's biggest discovery yet: the *impossible* black holes

GW190521

The New York Times

These Black Holes Shouldn't Exist,
but There They Are



SCIENCE & VIE

Un trou noir d'une masse inédite a fait vibrer les détecteurs

LIGO and Virgo Capture Their
Most Massive Black Holes Yet

after 7 billion years

By Jonathan Amos
BBC Science Correspondent

LIGO/Virgo's biggest discovery yet: the *impossible* black holes



- What is the black hole mass gap?
- Why haven't I heard of it?
- Why should I care?

Jeremy Sakstein ([University of Hawai'i](#))

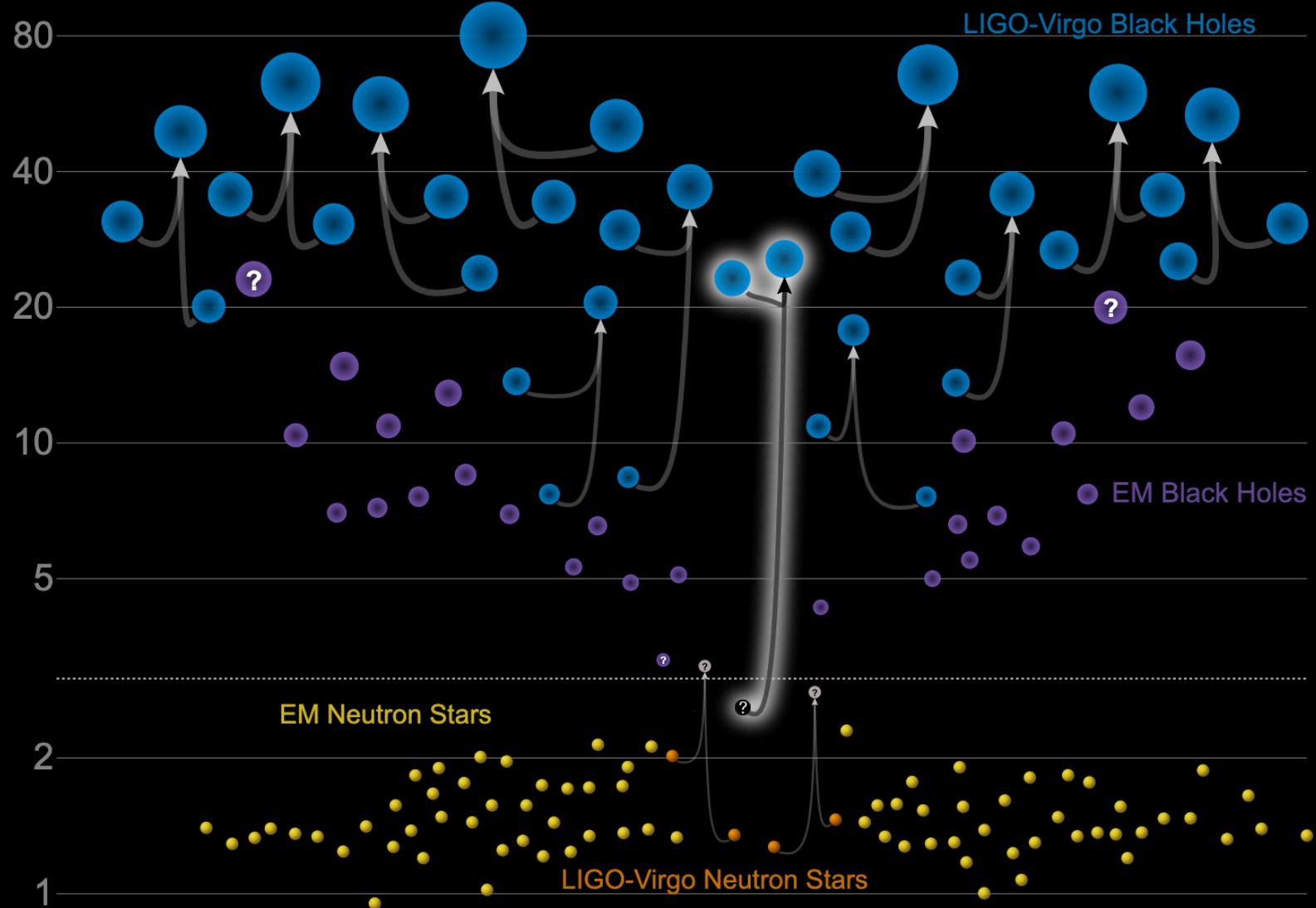
Action Dark Energy, October 2020

sakstein@hawaii.edu | jeremysakstein.com



Binary mergers in LIGO/Virgo 01+02

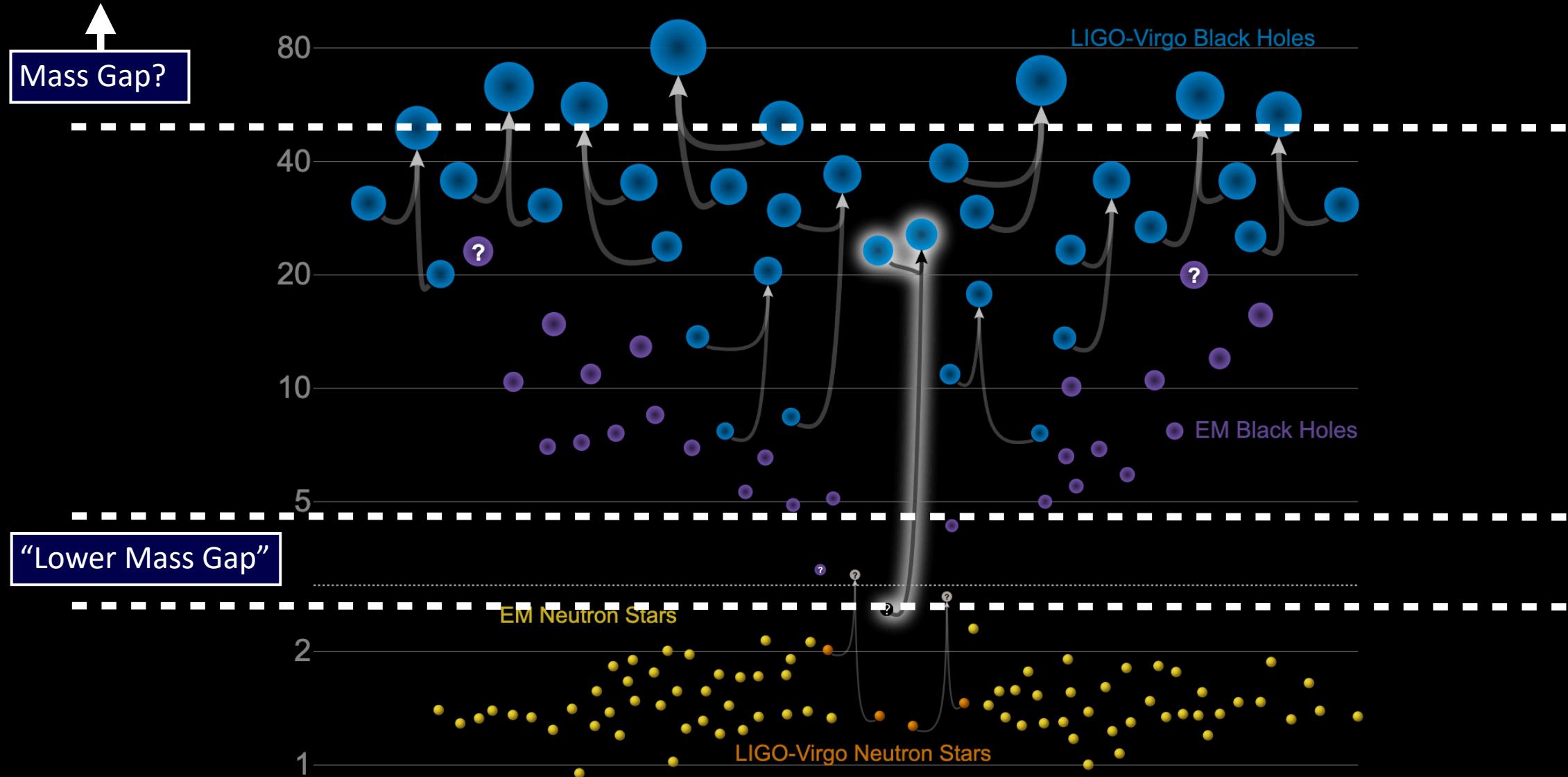
“The Stellar
Graveyard”



Adapted from *LIGO-Virgo, Frank Elavsky, Aaron Geller*

Binary mergers in LIGO/Virgo 01+02

“The Stellar
Graveyard”



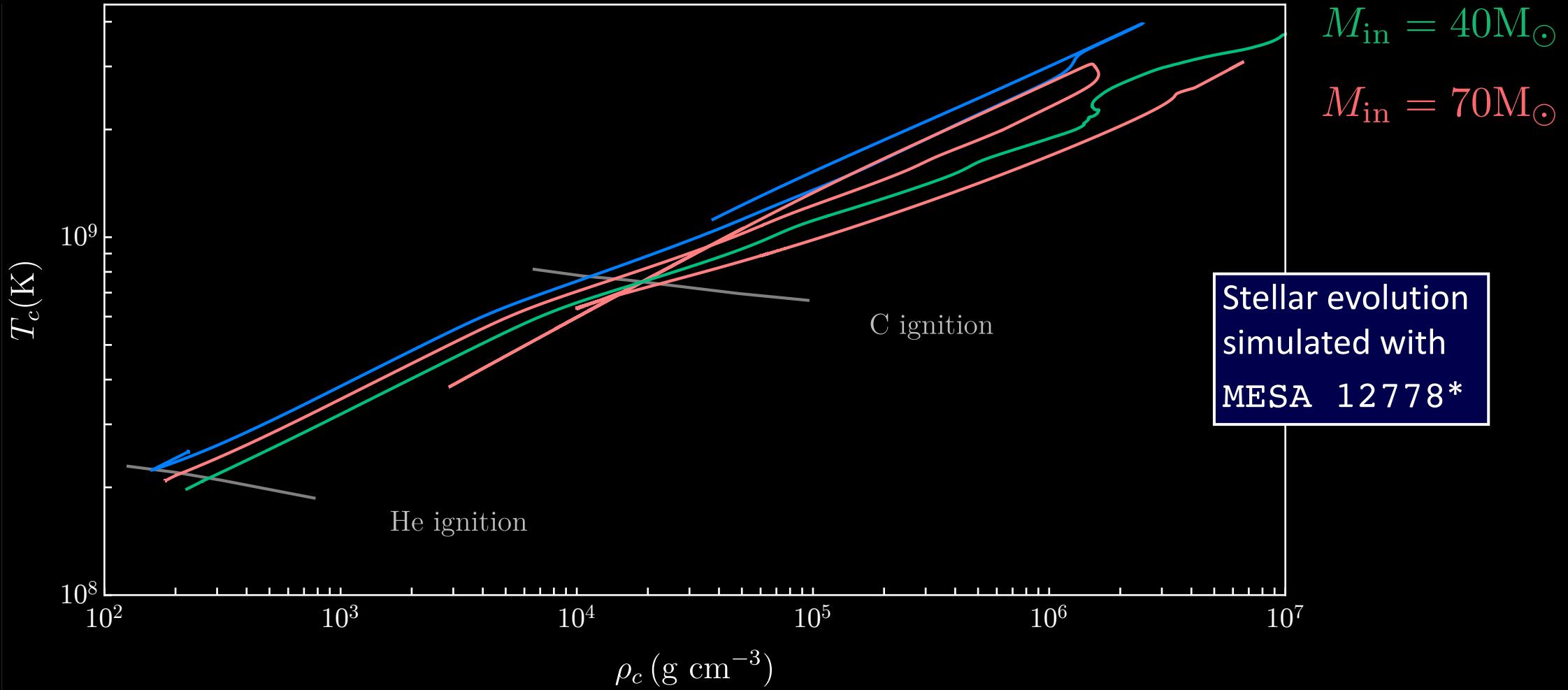
Adapted from *LIGO-Virgo, Frank Elavsky, Aaron Geller*

What populates the stellar graveyard?

- In the LIGO/Virgo mass range: remnants of heavy, low-metallicity population-III stars
 - Primarily made of hydrogen (H) and helium (He)
 - Would have existed for $z \gtrsim 6$, $M \sim 20 - 130 M_{\odot}$
 - Have not been directly observed yet (JWST target)
- Collapsed into black holes in core-collapse supernova explosions.
(Or did they?)
- We study their evolution from the Zero-Age Helium Branch (ZAHB)

Evolution of old population-III stars

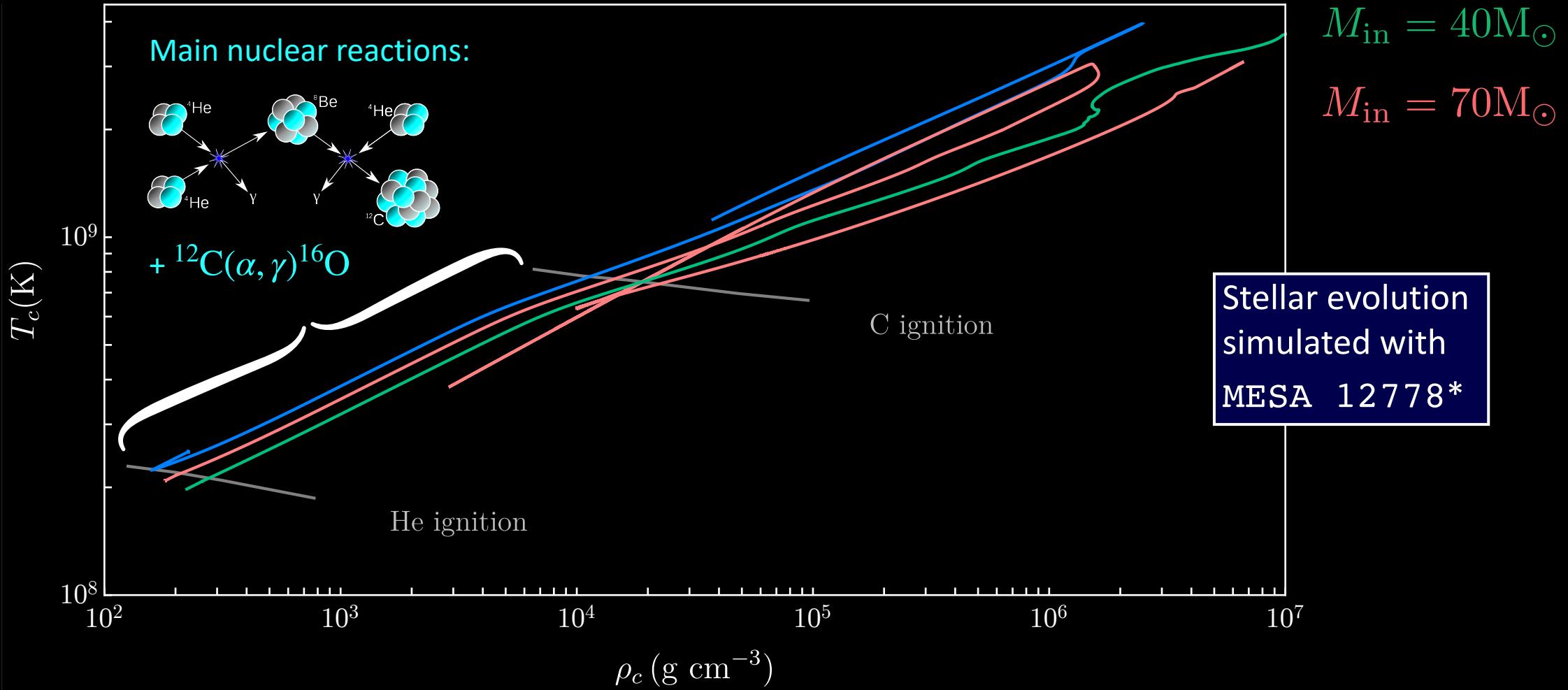
$M_{\text{in}} = 120M_{\odot}$



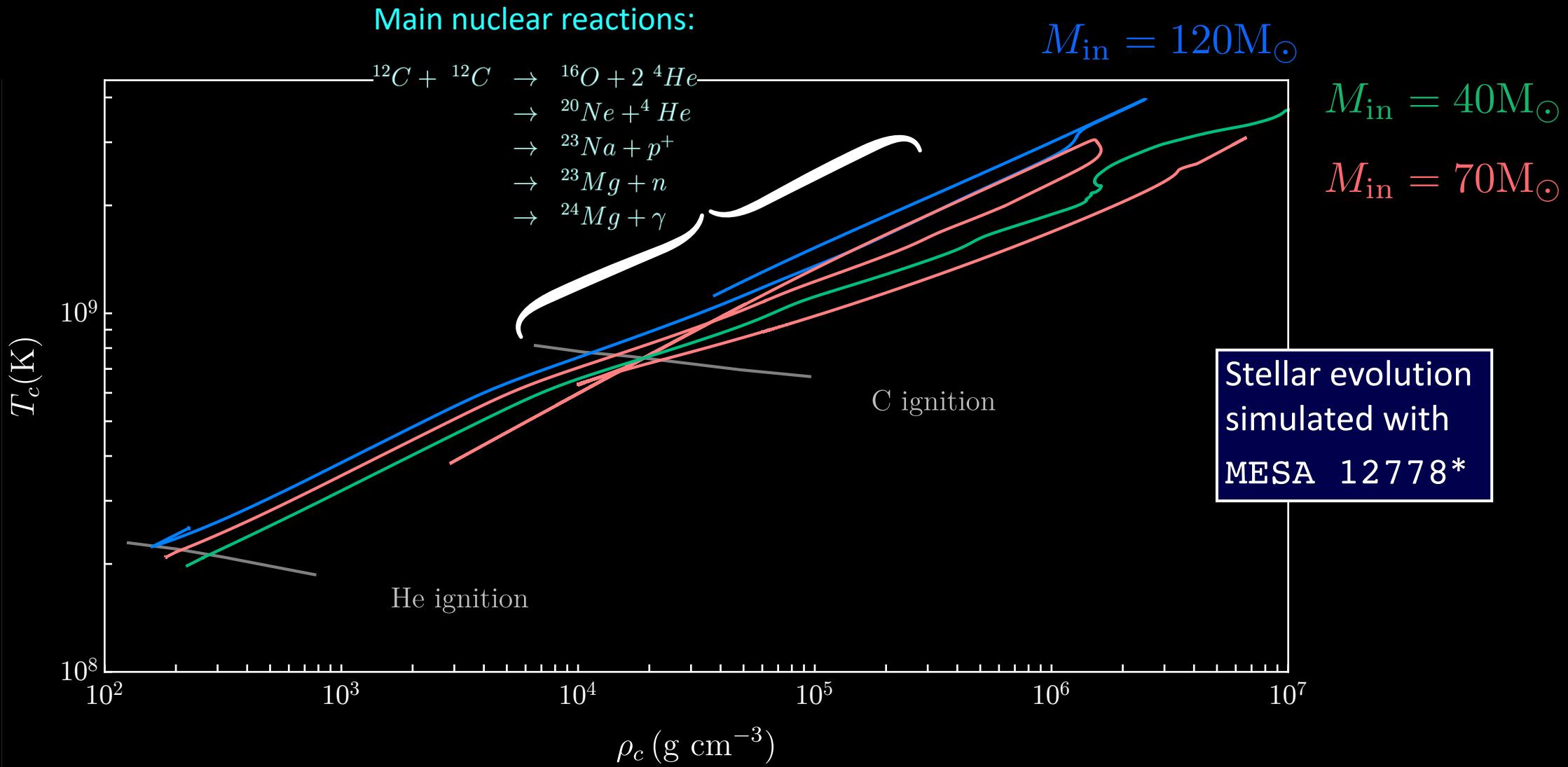
*Paxton et al, arXiv:1710.08424 [astro-ph.SR]

Evolution of old population-III stars

$M_{\text{in}} = 120M_{\odot}$

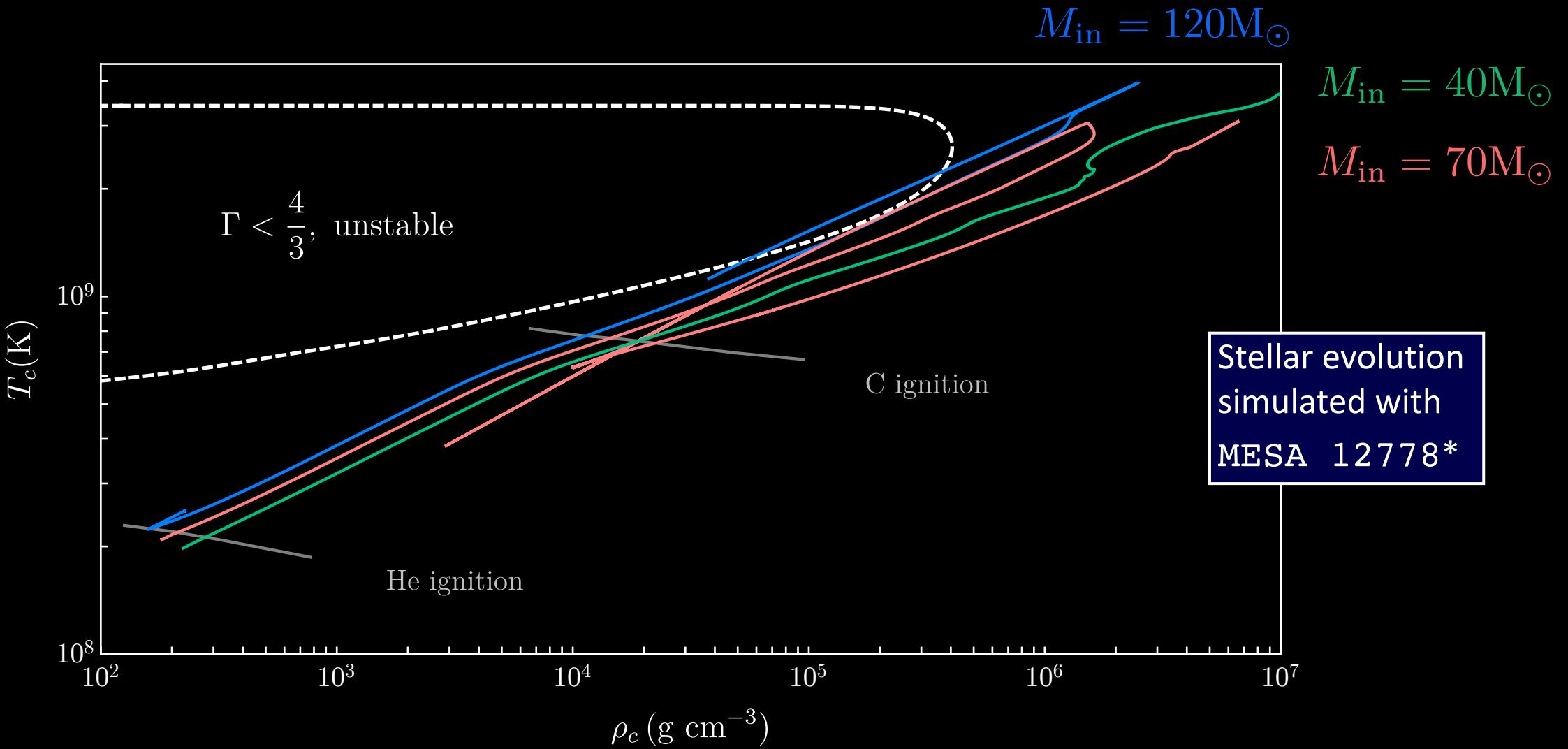


Evolution of old population-III stars



*Paxton et al, arXiv:1710.08424 [astro-ph.SR]

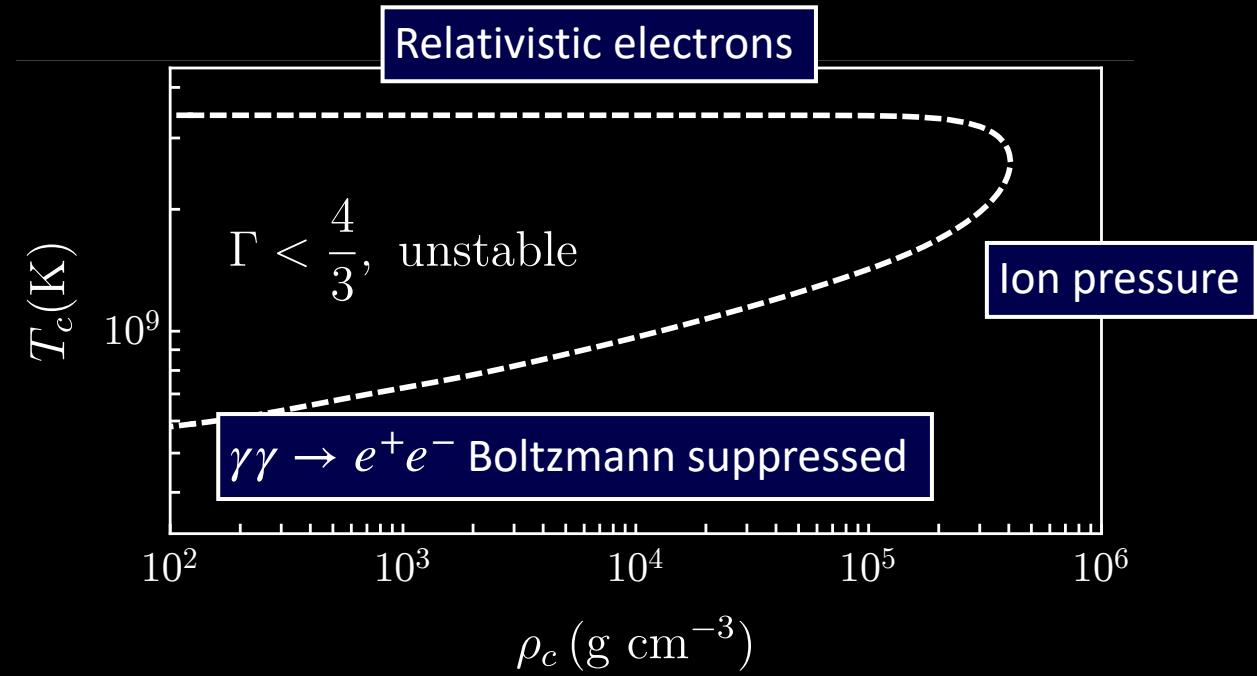
Evolution of old population-III stars



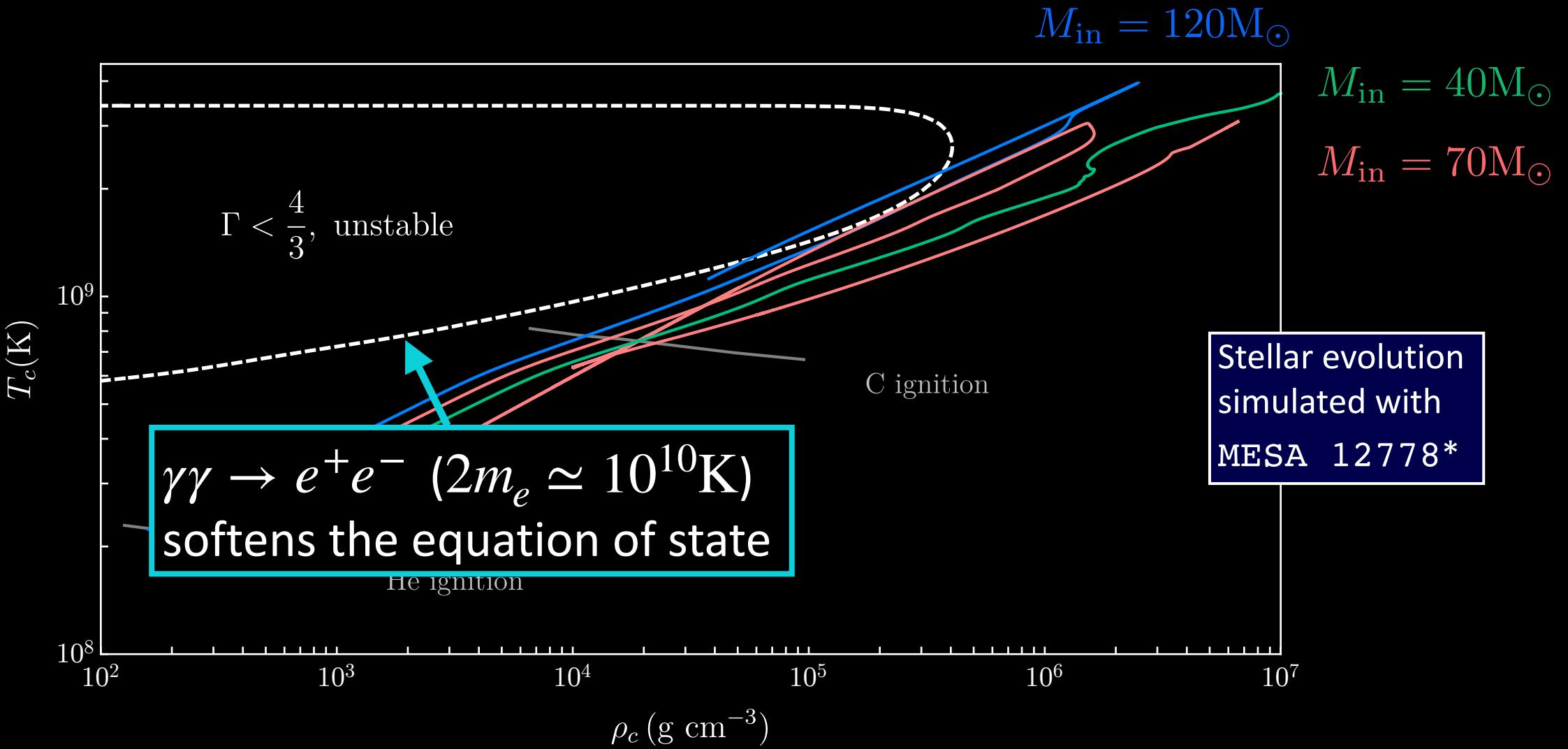
*Paxton et al, arXiv:1710.08424 [astro-ph.SR]

Pair-instability

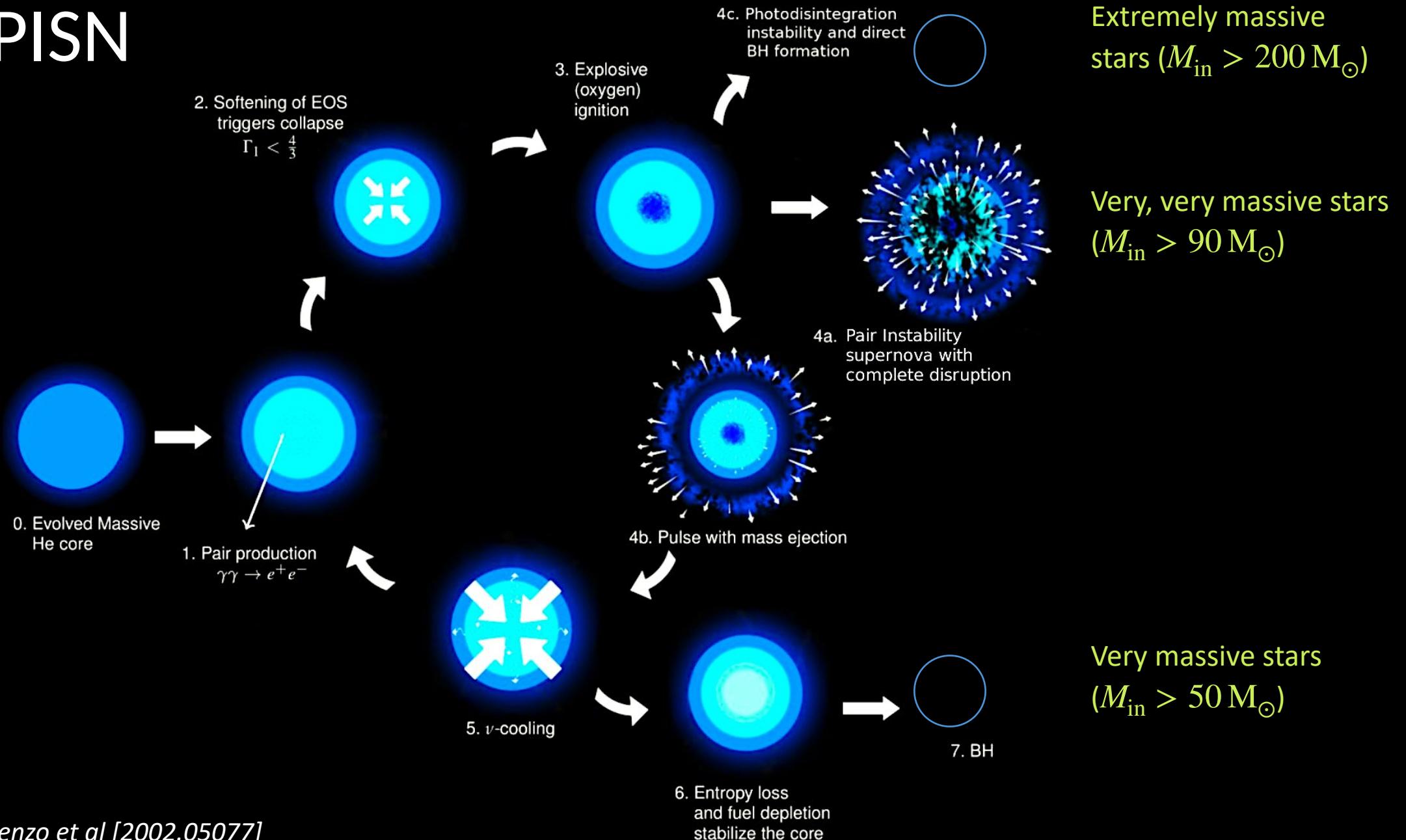
- The high temperatures of the pop-III stars lead to **electron-positron pair creation** in the thermal plasma via $\gamma\gamma \rightarrow e^+e^-$ ($2m_e \simeq 10^{10}$ K)
- Stars supported by radiation pressure $\Gamma = (\partial P / \partial \rho)_s \approx 4/3$
- Instability occurs for $\Gamma < 4/3$
 - ▶ Non-relativistic electrons destabilize the star
 - ▶ Rapid thermonuclear burning of ^{16}O follows



Evolution of old population-III stars

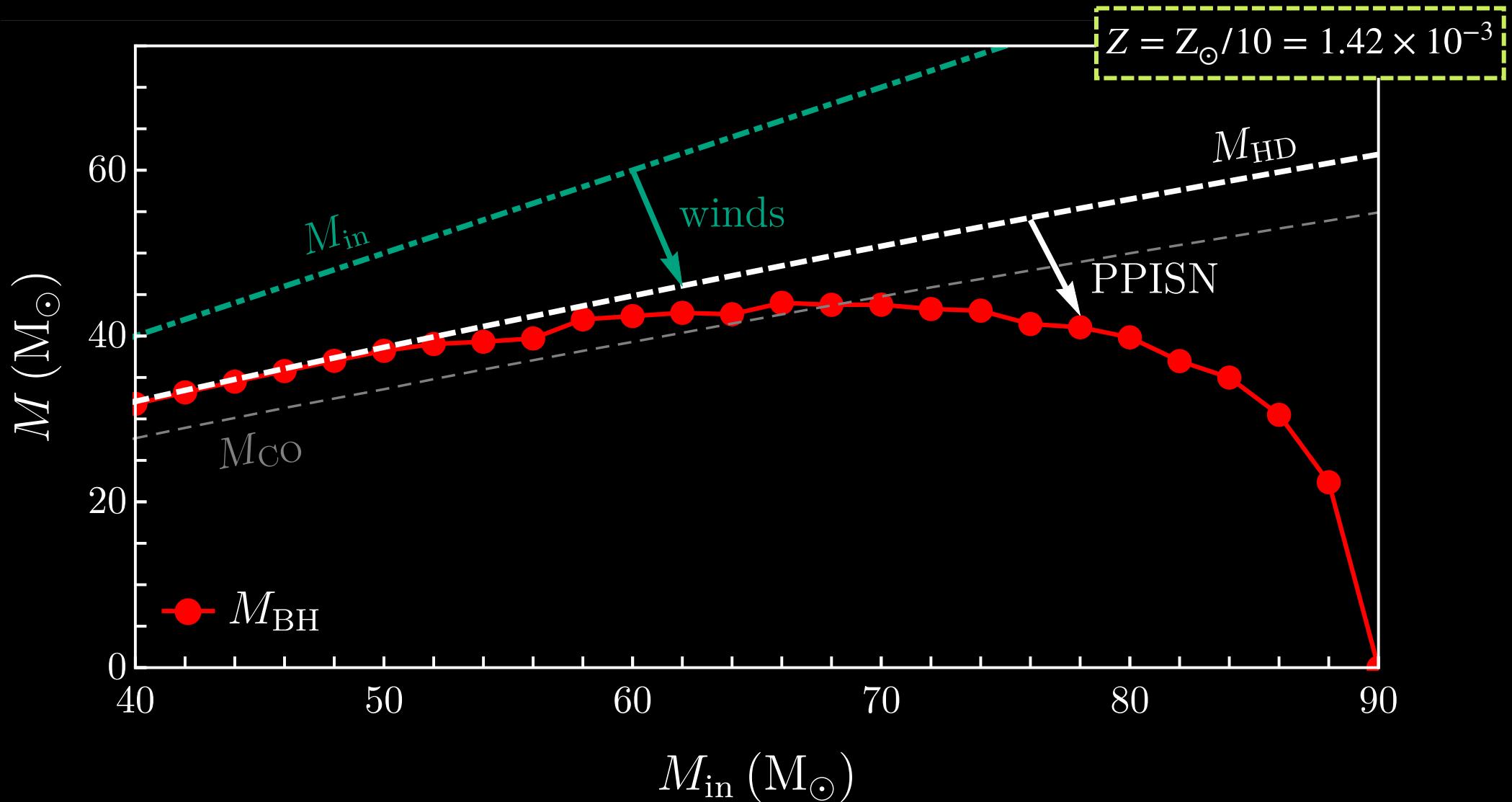


(P)PISN



Adapted from Renzo et al [2002.05077]

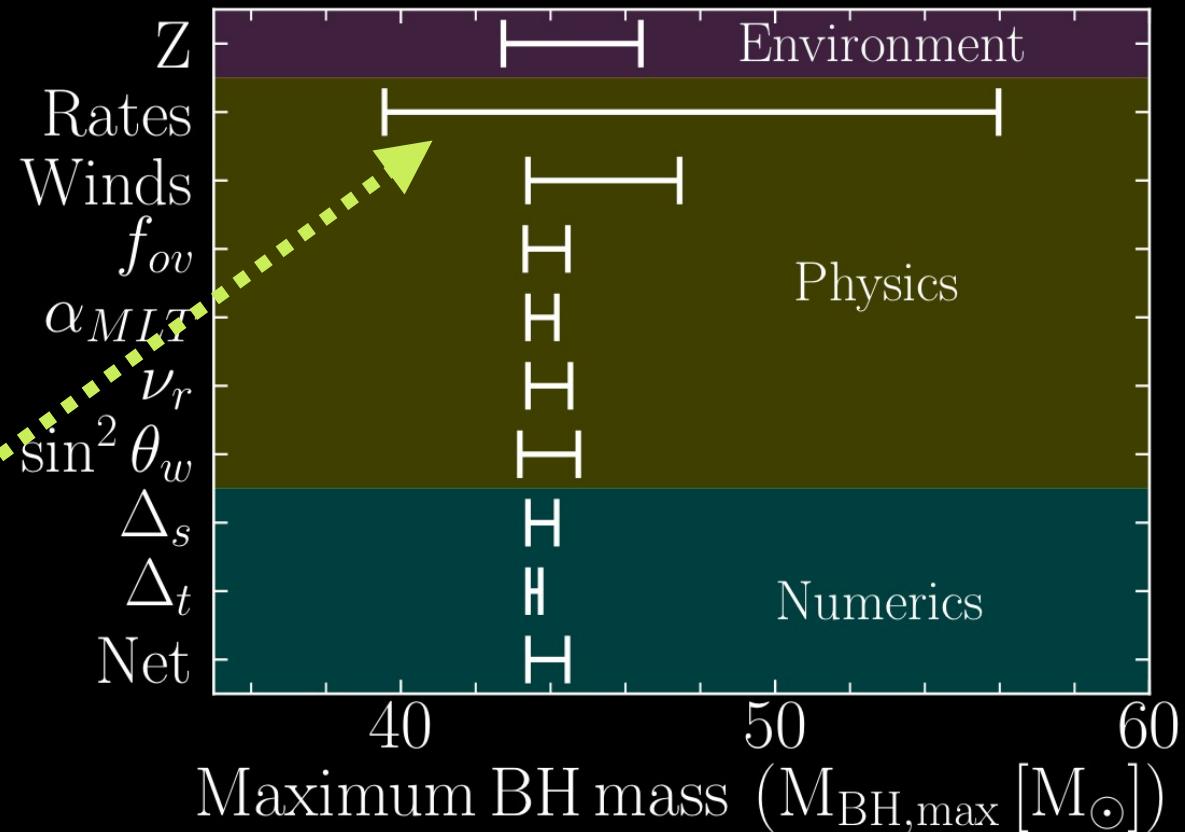
Pair-instability and the BHMG



Known physics dependence of the BHMG

- Astrophysical + nuclear + numerical uncertainties
- Most important uncertainty: $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ rate
- Using updated deBoer et al rate, BHMG found at $51^{+0}_{-4} M_{\odot}$

*deBoer et al arXiv:1709.03144 [hep-ex]
Farmer, Renzo, de Mink, Fishbach, Justham
arXiv:2006.06678 [astro-ph.SR]*



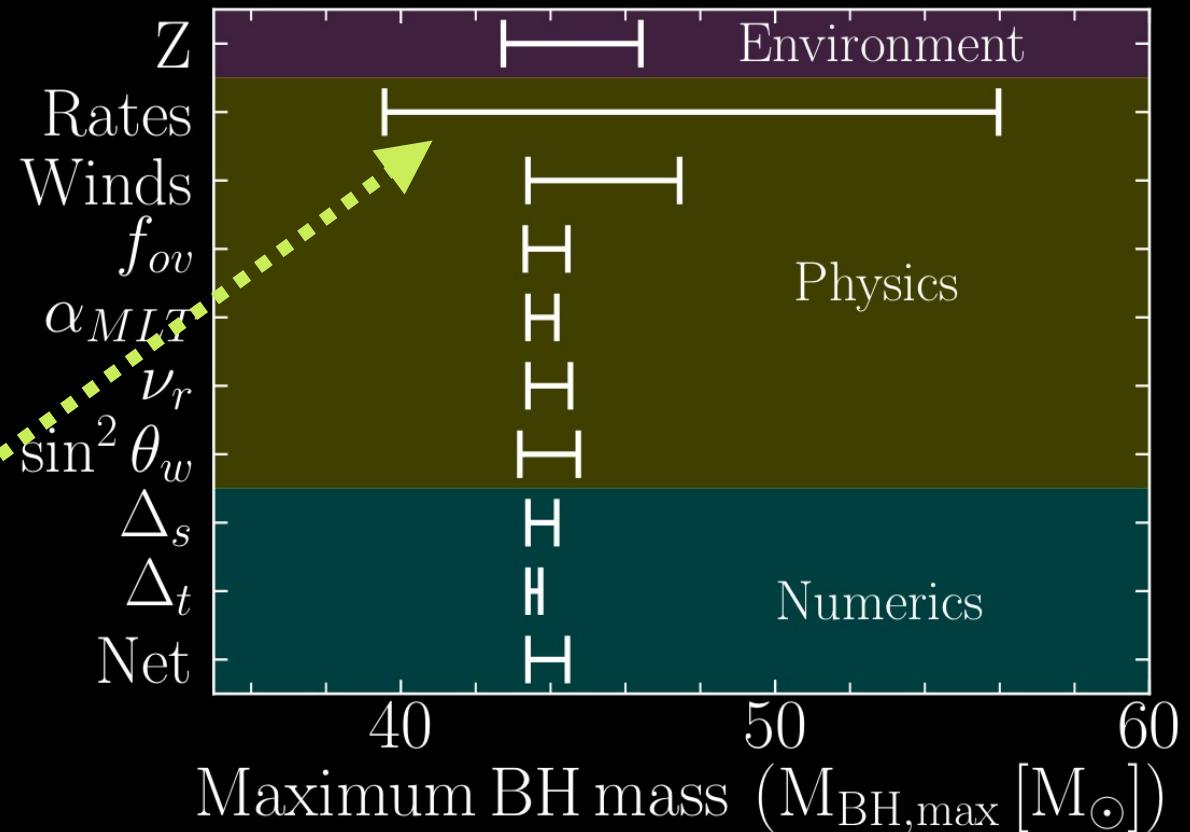
*Farmer, Renzo, de Mink, Marchant, Justham
arXiv:1910.12874 [astro-ph.SR]*

Known physics dependence of the BHMG

- Astrophysical + nuclear + numerical uncertainties
- Most important uncertainty: $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ rate
- Using updated deBoer et al rate, BHMG found at $51^{+0}_{-4} M_{\odot}$

*deBoer et al arXiv:1709.03144 [hep-ex]
Farmer, Renzo, de Mink, Fishbach, Justham
arXiv:2006.06678 [astro-ph.SR]*

But GW190521?!



*Farmer, Renzo, de Mink, Marchant, Justham
arXiv:1910.12874 [astro-ph.SR]*

What about new physics?

Croon, McDermott, JS arXiv:2007.00650 [hep-ph]

Croon, McDermott, Sakstein arXiv:2007.07889 [gr-qc]

JS, Croon, McDermott, JS, Baxter arXiv:2009.01213 [gr-qc]

Straight, JS, Baxter 2009.10716 [gr-qc]

Sam McDermott



Djuna Croon



Eric Baxter



Maria Straight



The BHMG and new physics

- Scenario 1: new, light particles coupled to material in the star introduce new loss channels
- Case studies:
 - the electrophilic axion $\mathcal{L}_{ae} = -ig_{ae}\bar{\psi}_e\gamma_5\psi_e a$ (will also work with $\alpha_{26} \equiv 10^{26}g_{ae}^2/4\pi$ for convenience)*
 - the photophilic axion $\mathcal{L}_{a\gamma} = -\frac{1}{4}g_{a\gamma}aF_{\mu\nu}\widetilde{F}^{\mu\nu}$ (will also define $g_{10} \equiv 10^{10}g_{a\gamma}$ GeV)
 - the hidden photon $\mathcal{L}_{A'\gamma} = -\frac{\epsilon}{2}F'_{\mu\nu}F^{\mu\nu} + \frac{m_{A'}^2}{2}A'_\mu A'^\mu$

*Interesting in light of the XENON1T excess, arXiv:2006.09721 [hep-ex]

The BHMG and new physics

- Scenario 1: new, light particles coupled to material in the star introduce new loss channels

- Case studies:

Extra scenarios: large extra dimensions ($d = 4 + 2$) and neutrino magnetic moment work through essentially the same mechanism

- the electrophilic axion $\mathcal{L}_{ae} = -ig_{ae}\psi_e\gamma_5\psi_e a$ (will also work with

$$\alpha_{26} \equiv 10^{26}g_{ae}^2/4\pi \text{ for convenience})^*$$

- the photophilic axion $\mathcal{L}_{a\gamma} = -\frac{1}{4}g_{a\gamma}aF_{\mu\nu}\widetilde{F}^{\mu\nu}$ (will also define $g_{10} \equiv 10^{10}g_{a\gamma}$ GeV)

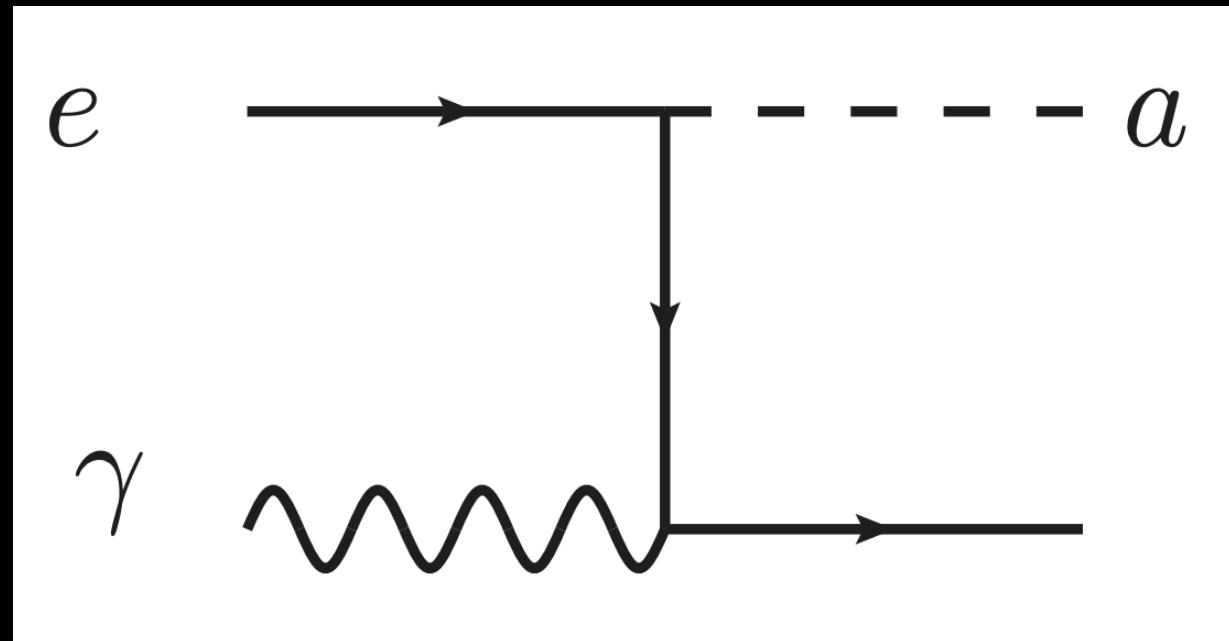
- the hidden photon $\mathcal{L}_{A'\gamma} = -\frac{\epsilon}{2}F'_{\mu\nu}F^{\mu\nu} + \frac{m_{A'}^2}{2}A'_\mu A'^\mu$

*Interesting in light of the XENON1T excess, arXiv:2006.09721 [hep-ex]

Energy loss due to **electrophilic** axions

- Semi-Compton scattering, $e + \gamma \rightarrow e + a$:

$$\mathcal{Q}_{\text{sC}} = \frac{40 \zeta_6 \alpha_{\text{EM}} g_{ae}^2}{\pi^2} \frac{Y_e T^6}{m_N m_e^4} \simeq 33 \alpha_{26} Y_e T_8^6 F_{\text{deg}} \frac{\text{erg}}{\text{g}\cdot\text{s}} \quad \left(T_8 \equiv \frac{T}{10^8 \text{K}} \right)$$



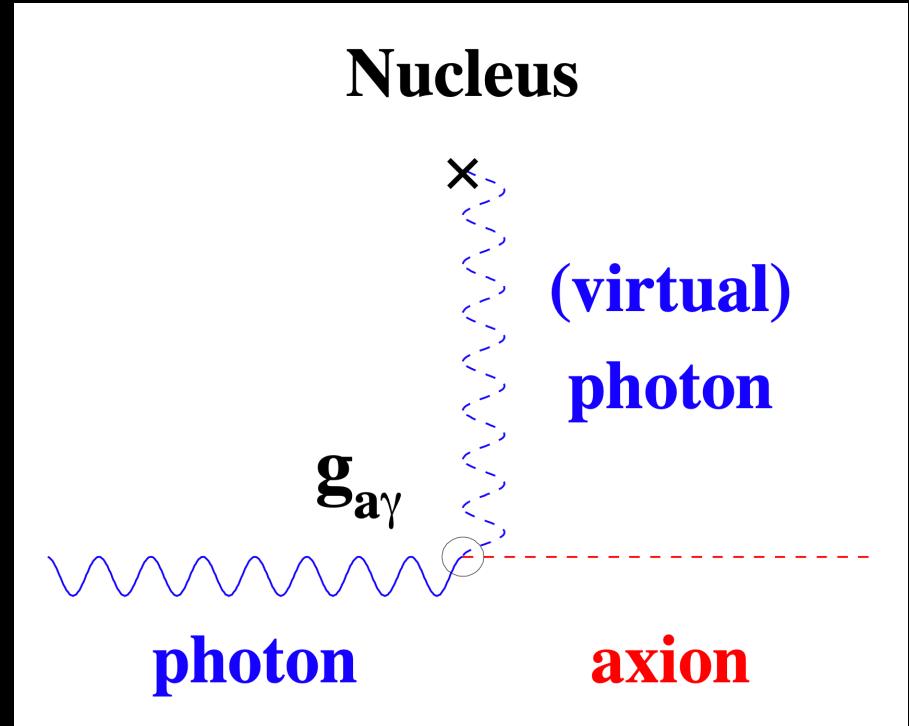
Energy loss due to photophilic axions

- Primakov effect $(Z, A) + \gamma \rightarrow (Z, A) + a$

$$Q_{a\gamma} = \frac{g_{a\gamma}^2 T^7}{4\pi^2 \rho} \left(\frac{k_S}{2T} \right)^2 f[(k_S/2T)^2] \simeq 283.16 \frac{\text{erg}}{\text{g} \cdot \text{s}} g_{10}^2 T_8^7 \rho_3^{-1} \left(\frac{k_S}{2T} \right)^2 f[(k_S/2T)^2]$$

- With Debye momentum

$$\left(\frac{k_S}{2T} \right)^2 = 0.166 \frac{\rho_3}{T_8^3} \sum_j Y_j Z_j^2$$



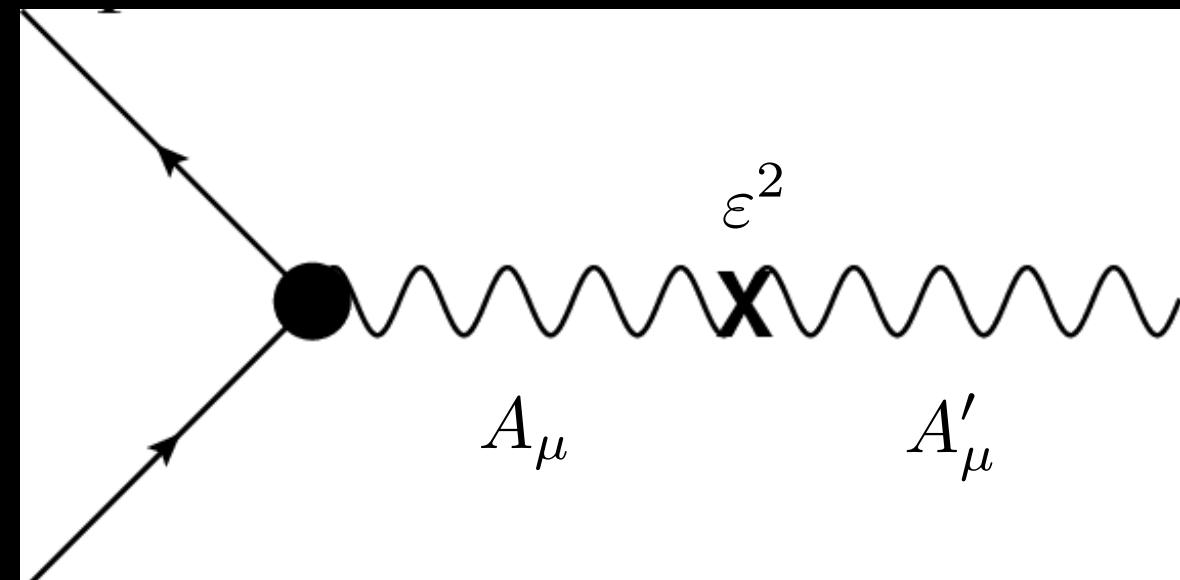
Energy loss due to hidden photons

- Plasma production, dominated by longitudinal modes (in a non-relativistic plasma)

$$Q_{A'} = \frac{\epsilon^2 m_{A'}^2}{4\pi\rho} \frac{\omega_p^3}{e^{\omega_p/T} - 1} \simeq \frac{\epsilon^2 m_{A'}^2}{4\pi} \frac{\omega_p^2 T}{\rho} \simeq 1.8 \times 10^3 \frac{\text{erg}}{\text{g} \cdot \text{s} A} T_8 \left(\frac{\epsilon}{10^{-7} \text{ meV}} \frac{m_{A'}}{m_e} \right)^2$$

Where photons have plasma mass

$$\omega_p \simeq \sqrt{\frac{4\pi\alpha_{\text{EM}} n_e}{m_e}} \simeq 654 \text{ eV} \sqrt{\frac{Z}{A}} \rho_3$$



LOSS rates

Central losses: Q_{ae} , $Q_{a\gamma}$, $Q_{A'}$ ($\text{erg g}^{-1}\text{s}^{-1}$)

Electrophilic axion: $Q_{ae} \propto T^6$

Photophilic axion: $Q_{a\gamma} \propto T^4$

Hidden photon: $Q_{A'} \propto T$

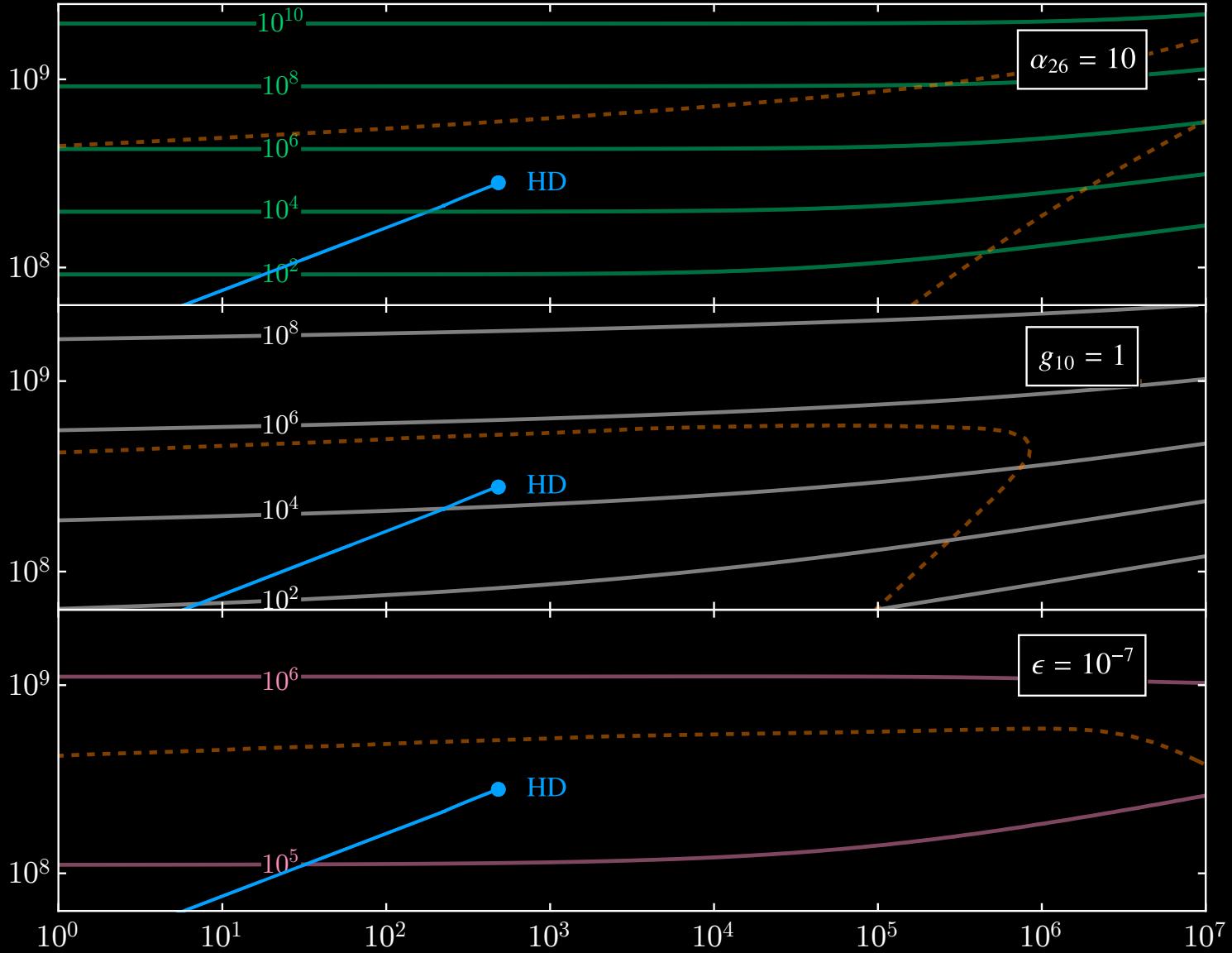
Example track of $M_{\text{in}} = 55 M_\odot$ progenitor

T_c (K)

T_c (K)

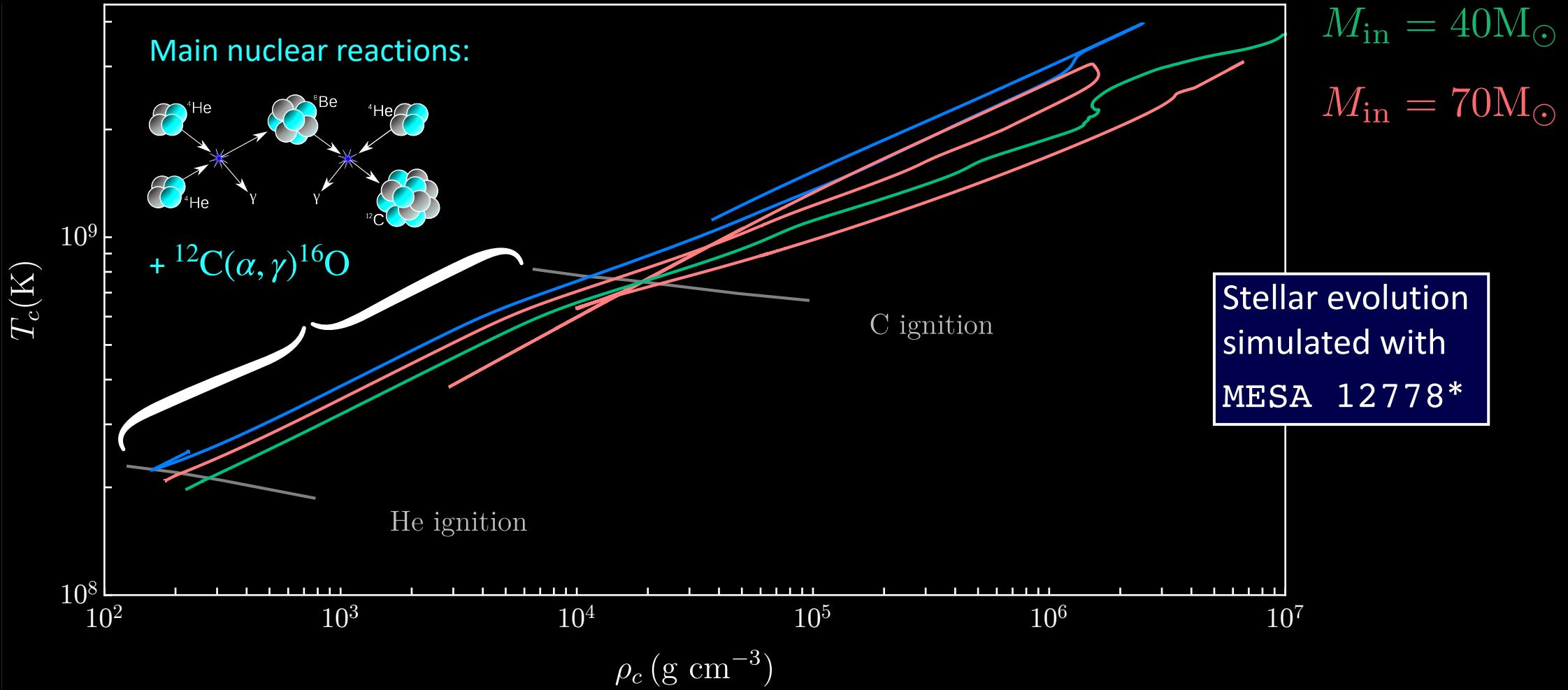
T_c (K)

ρ_c (g cm^{-3})

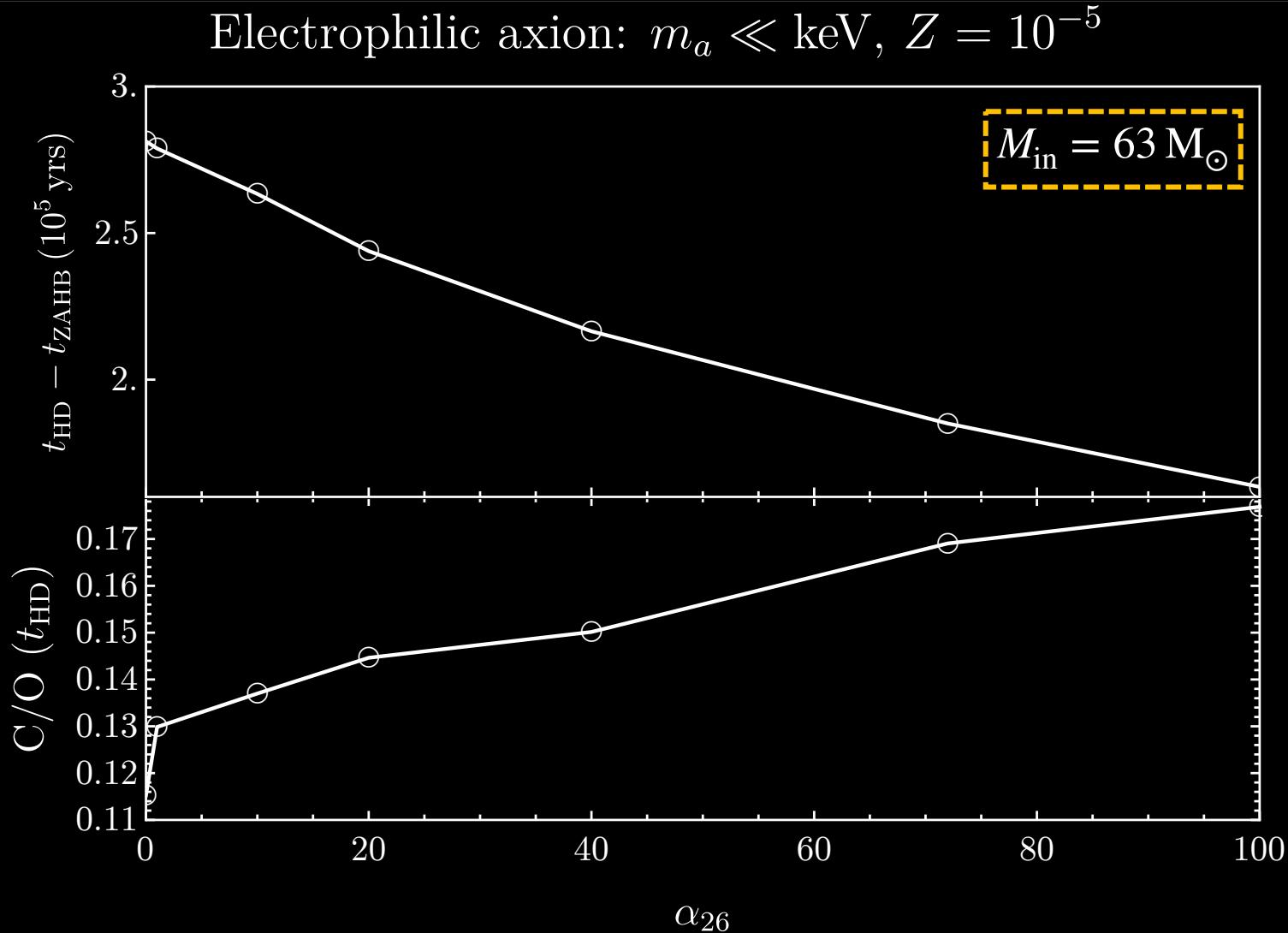


Evolution of old population-III stars

$M_{\text{in}} = 120M_{\odot}$



What does the extra energy loss do?



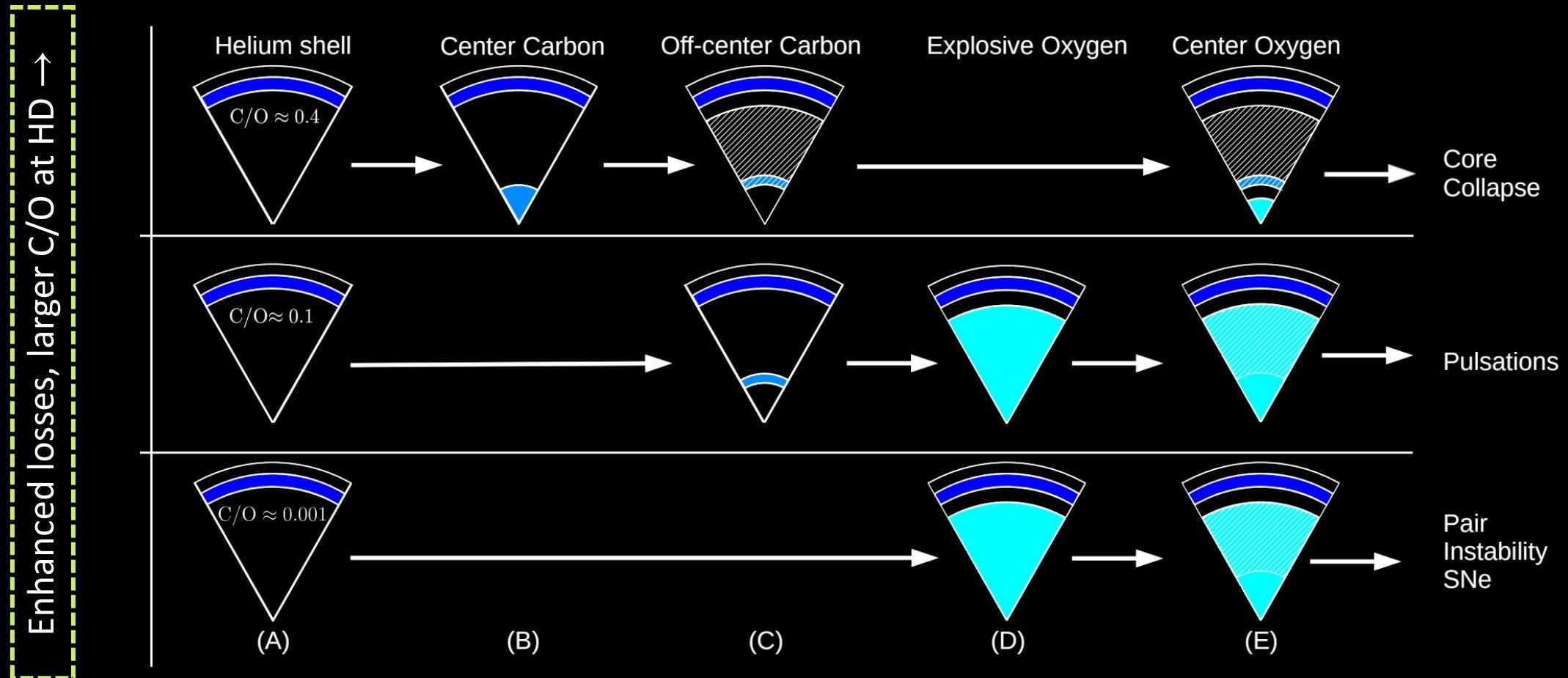
Greater energy losses lead to
shorter He-burning phases

Extra dissipation scales
linearly with α_{26}

Less time for $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$:
 C/O is larger at the time of
helium depletion (HD)

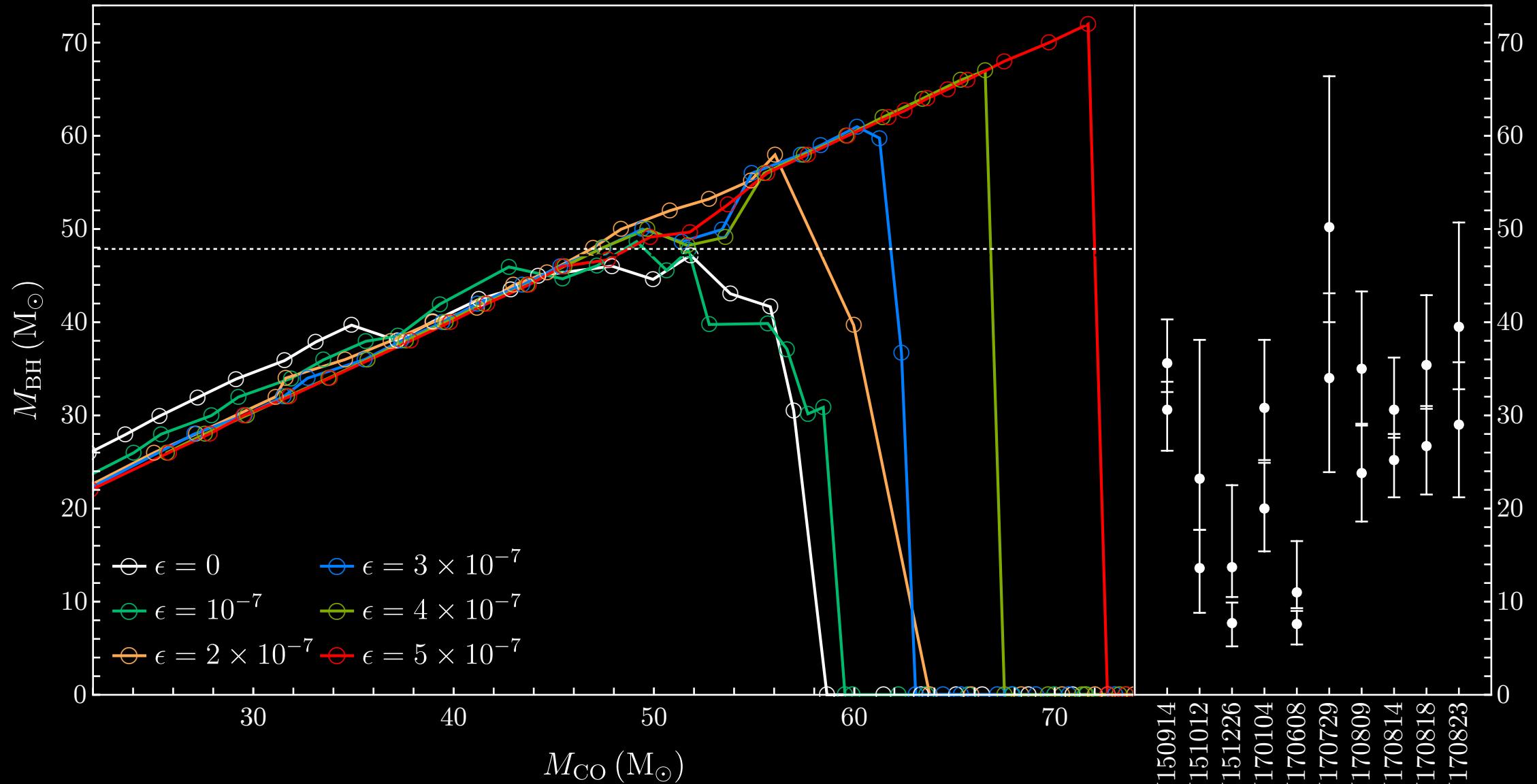
Helium burning
Carbon burning
Oxygen burning

The BHMG and new physics

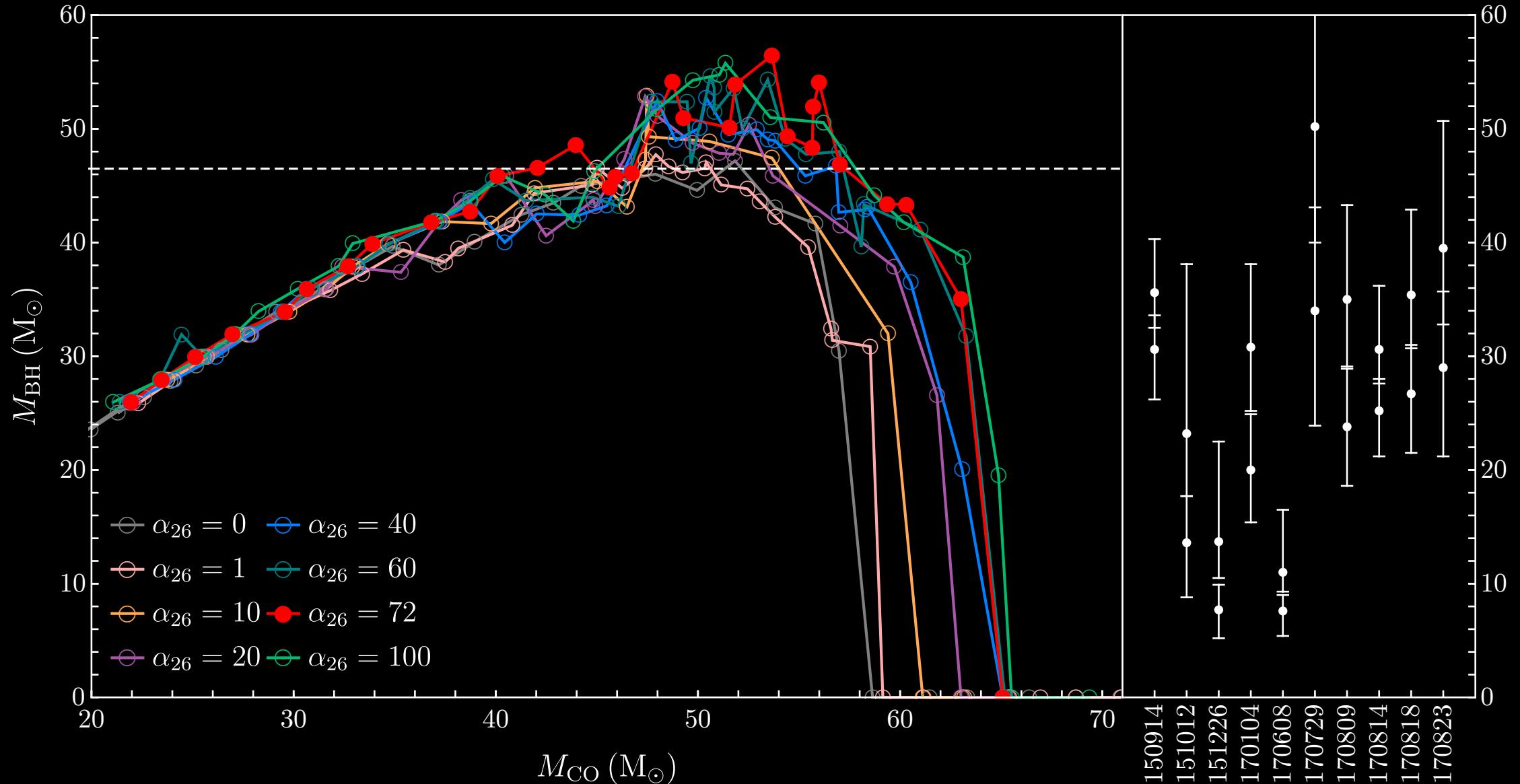


Enhanced losses → greater progenitors collapse → larger black holes

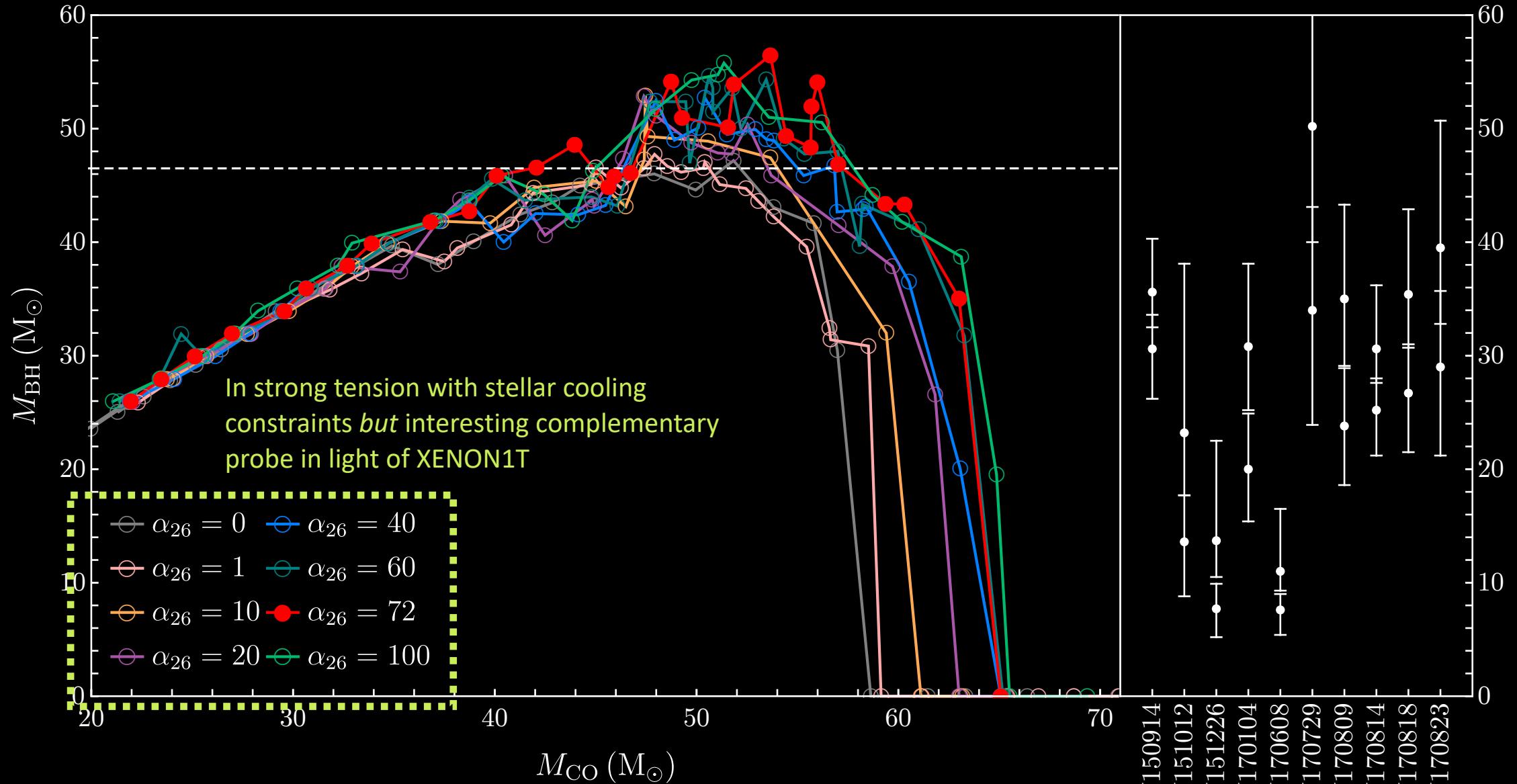
Hidden photon: $m_{A'} = 10^{-2}$ eV, $Z = 10^{-5}$



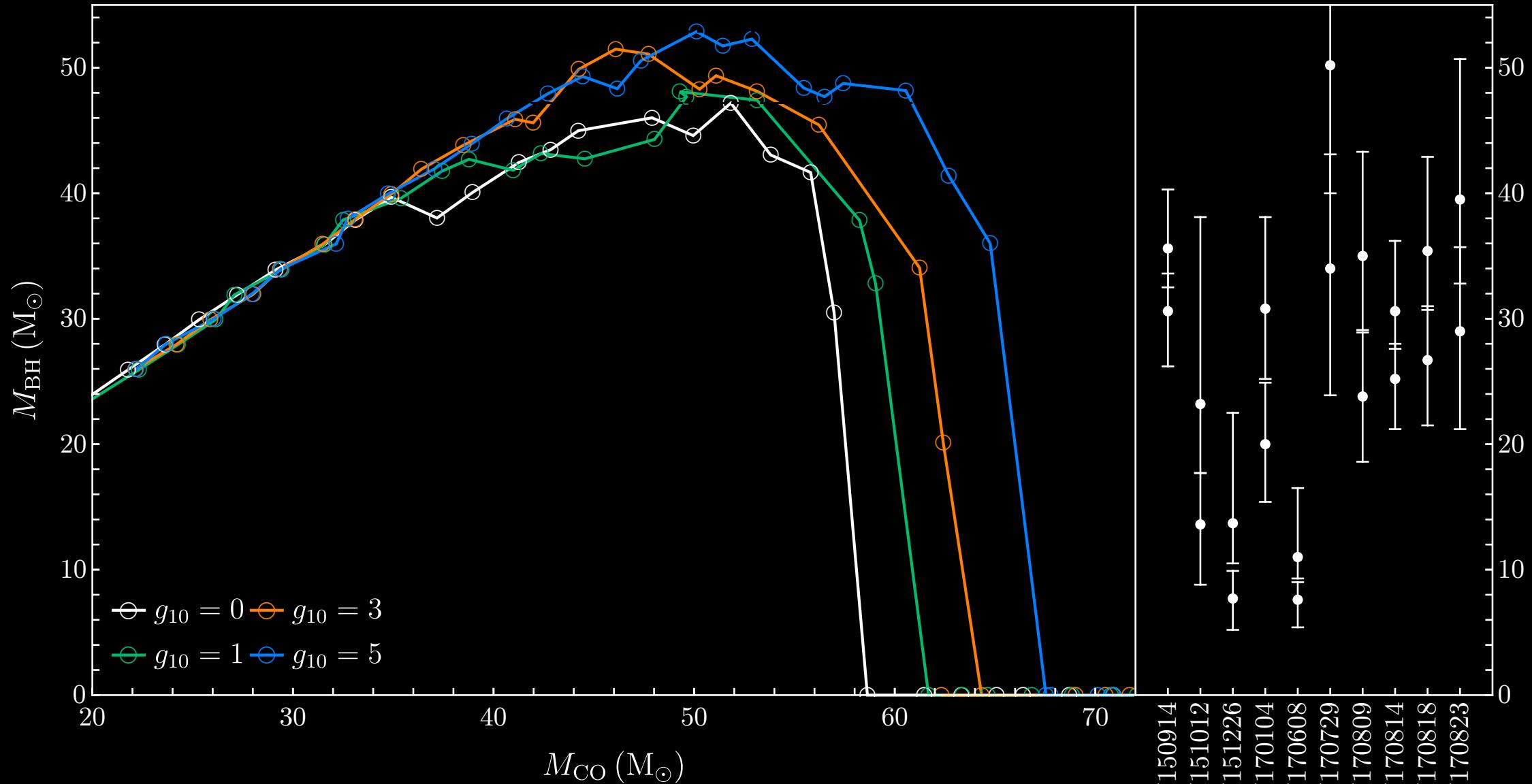
Electrophilic axion: $m_a \ll \text{keV}$, $Z = 10^{-5}$



Electrophilic axion: $m_a \ll \text{keV}$, $Z = 10^{-5}$



Photophilic axion: $m_a \ll \text{keV}, Z = 10^{-5}$



LOSS rates

Central losses: Q_{ae} , $Q_{a\gamma}$, $Q_{A'}$ ($\text{erg g}^{-1}\text{s}^{-1}$)

Electrophilic axion: $Q_{ae} \propto T^6$

Photophilic axion: $Q_{a\gamma} \propto T^4$

Hidden photon: $Q_{A'} \propto T$

Example track of $M_{\text{in}} = 55 M_\odot$ progenitor

T_c (K)

T_c (K)

T_c (K)

ρ_c (g cm^{-3})

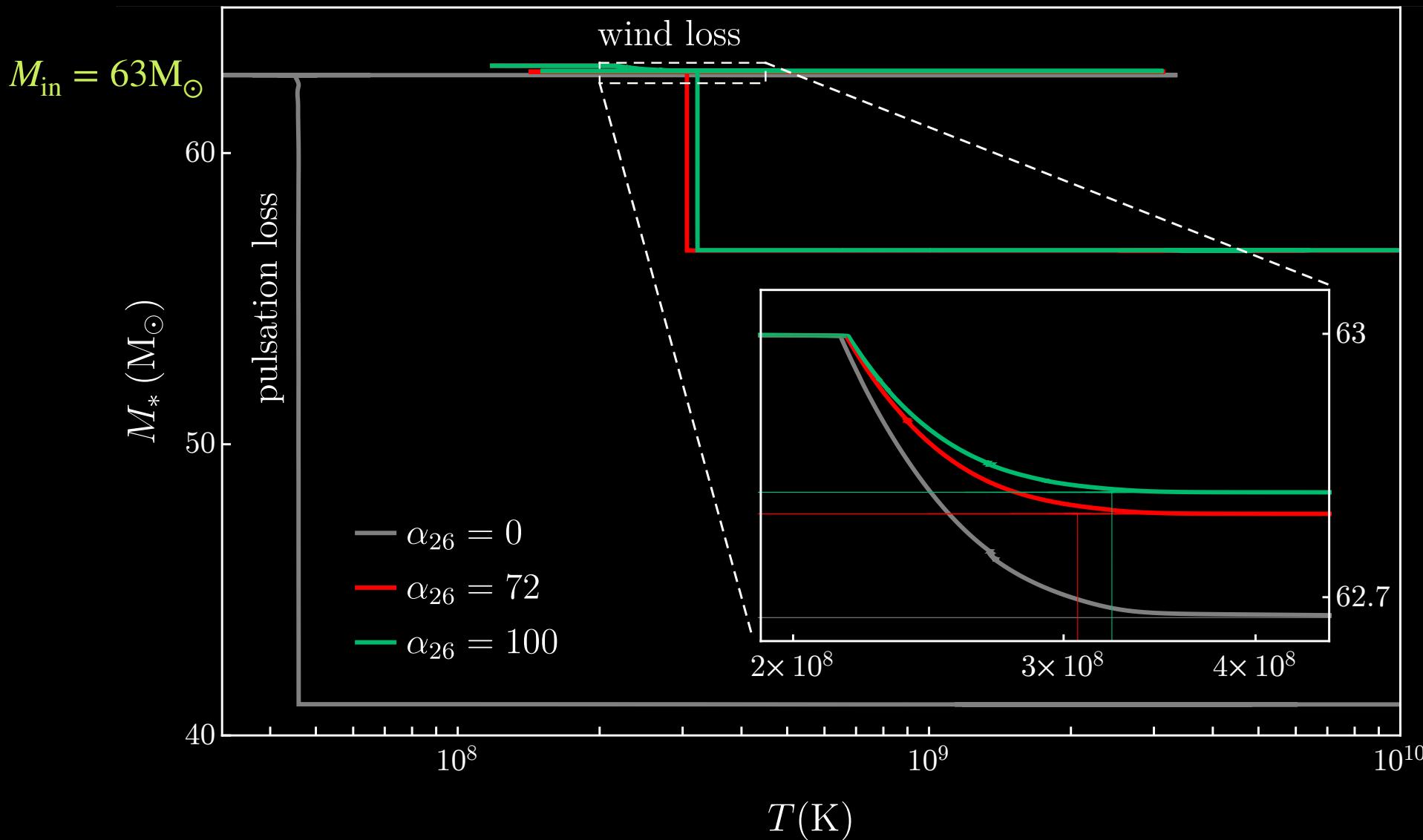
HD

$\alpha_{26} = 10$

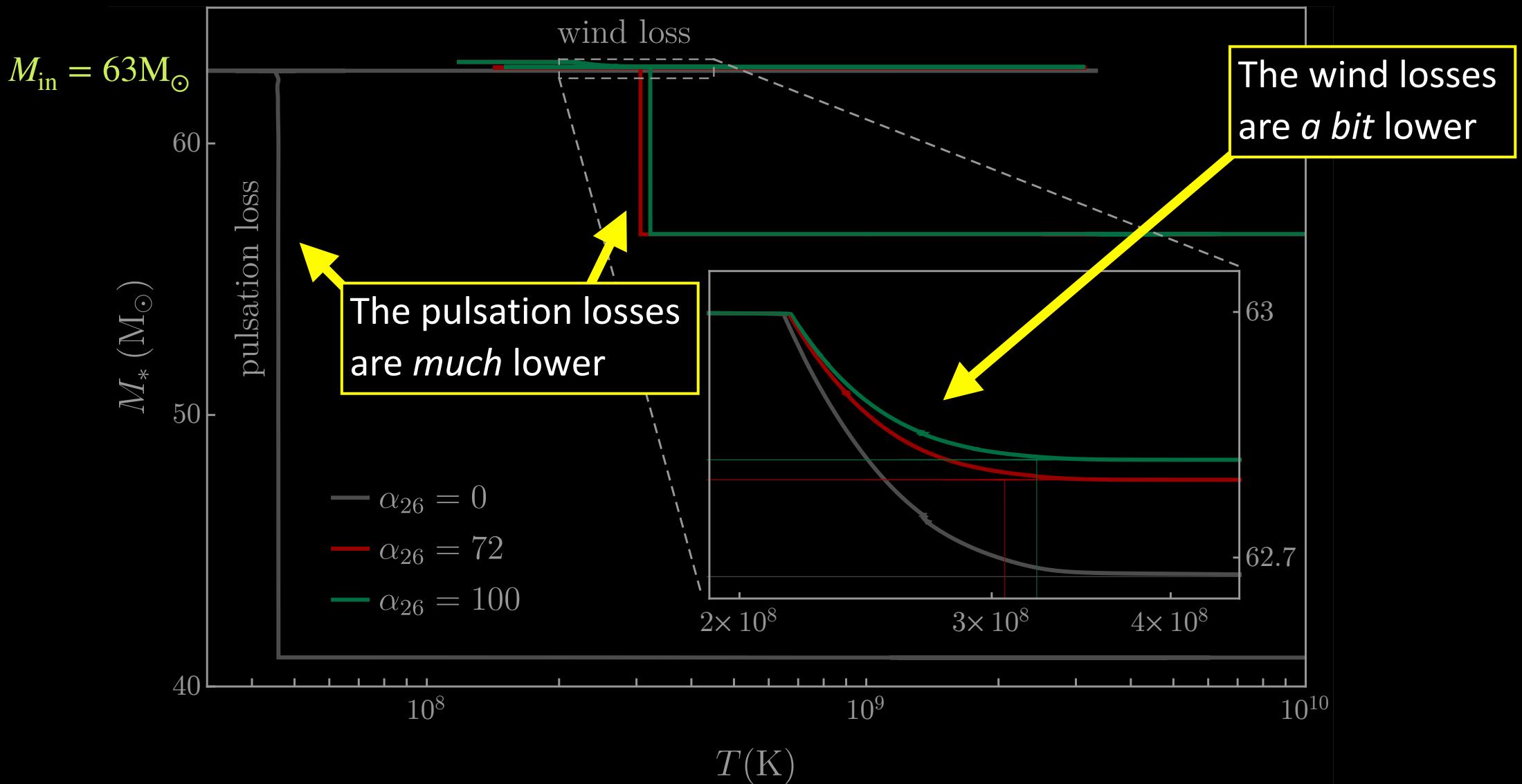
$g_{10} = 1$

$\epsilon = 10^{-7}$

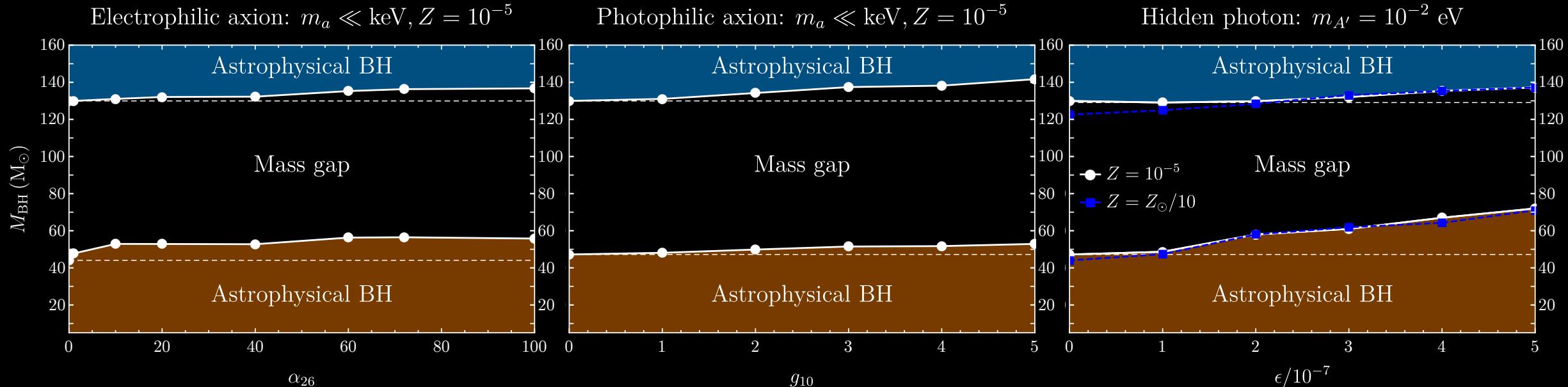
Implications of enhanced losses



Implications of enhanced losses



New physics and the black hole mass gap



$$\mathcal{Q}_{ae} \propto T^6$$

$$\mathcal{Q}_{a\gamma} \propto T^4$$

$$\mathcal{Q}_{A'} \propto T$$

Potentially large shifts of the mass gap!

Heavier degrees of freedom?

Heavier degrees of freedom may instead be thermalized in the core

Then, they may give rise to an instability in the same way that electron-positron pairs do

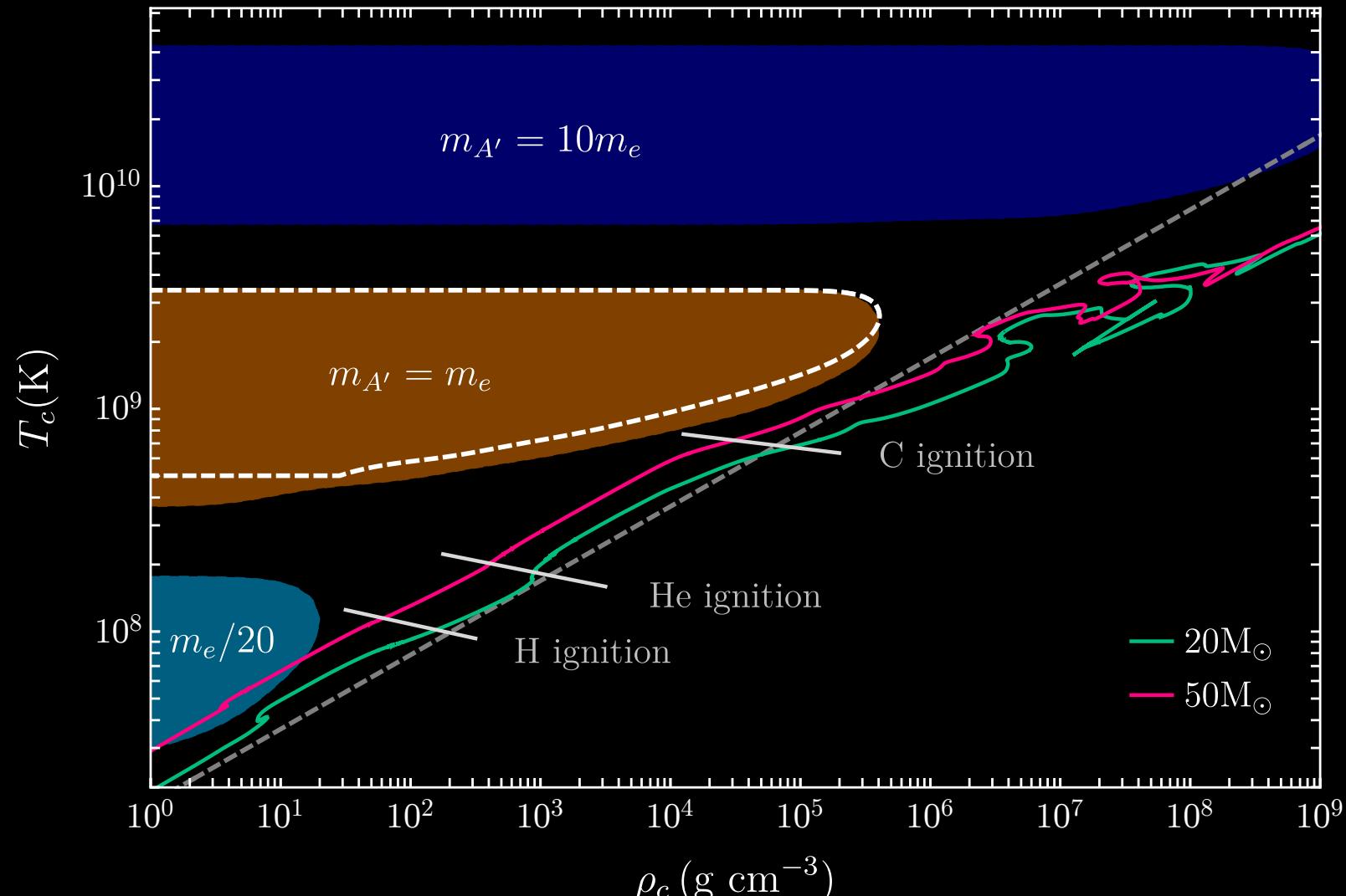
Equilibration time (vector):

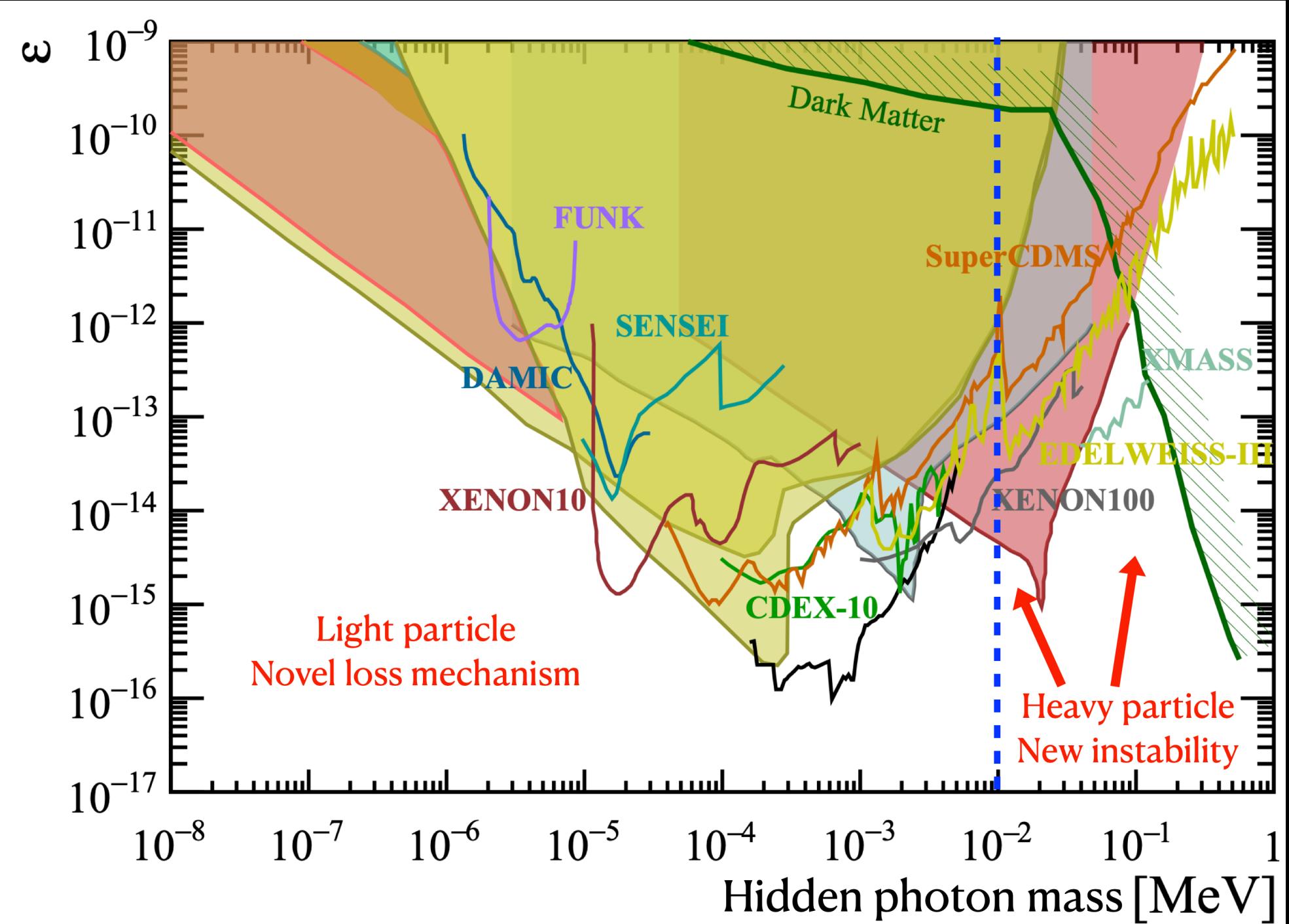
$$t_{A'} \simeq \Gamma_{A'}^{-1} \simeq (\epsilon^2 \sigma_T n_e e^{-m_{A'}/T_c})^{-1}$$

so for $\epsilon = 3 \times 10^{-12}$, we find

$t_{A'} \simeq 10^5$ years, a timescale similar to the lifetime of helium burning

Massive particles and instability





The BHMG and new physics

*Sakstein, DC, McDermott, Straight,
Baxter arXiv:2009.01213 [gr-qc]
Straight, JS, Baxter 2009.10716 [gr-qc]*

- Scenario 2: screened modified gravity (MG)
- Cosmic acceleration may be driven by large scale modifications of gravity
- Theories need to include screening mechanisms to satisfy solar system bounds
- Examples: chameleon, DHOST, dark matter—baryon interactions

The BHMG and new physics

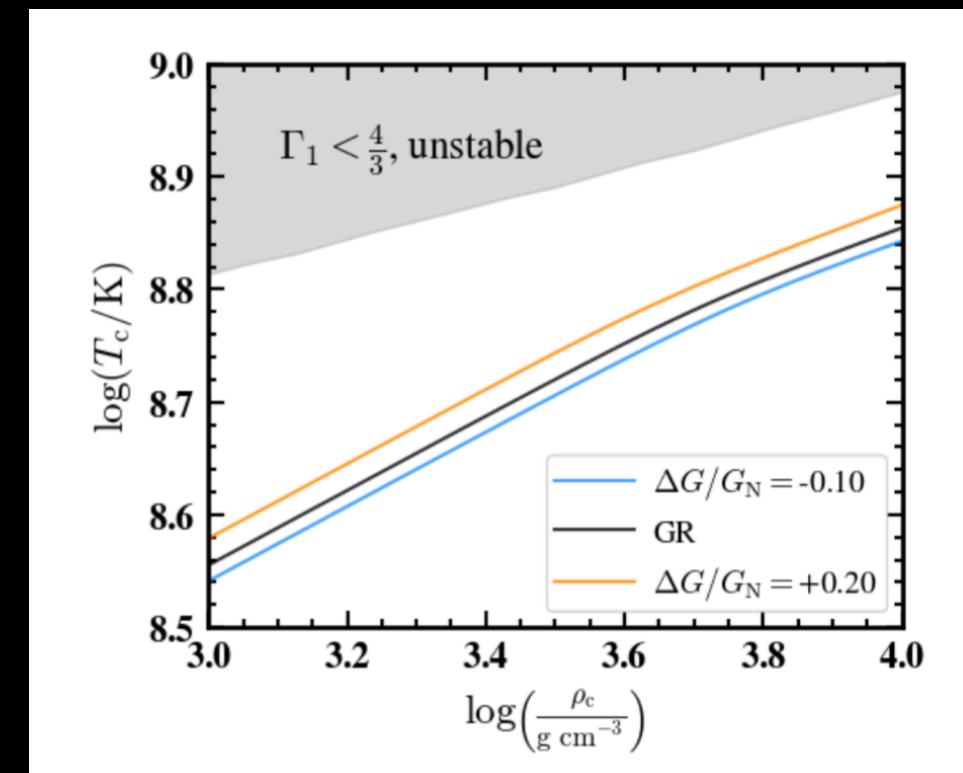
Sakstein, DC, McDermott, Straight,
Baxter arXiv:2009.01213 [gr-qc]
Straight, JS, Baxter 2009.10716 [gr-qc]

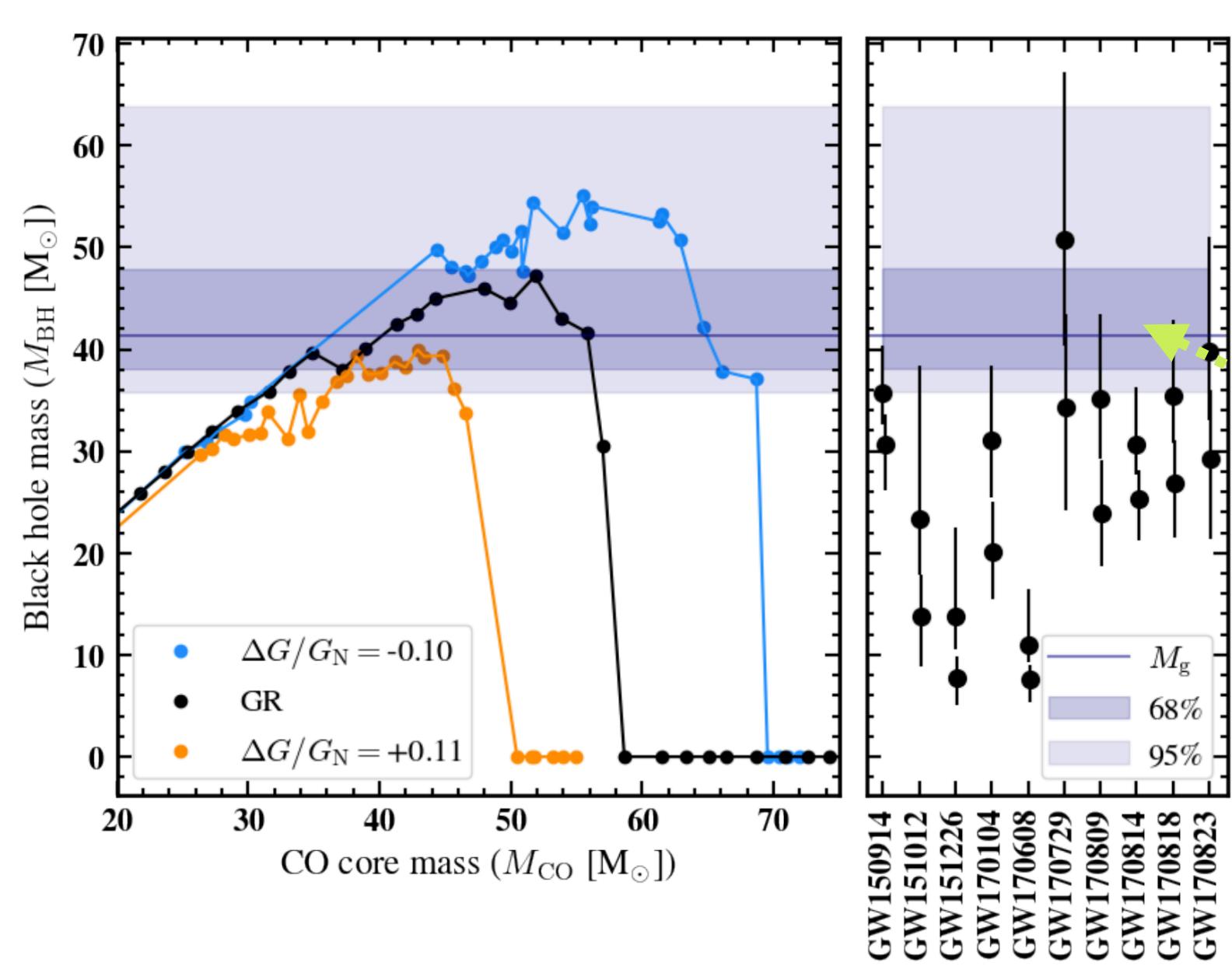
- Scenario 2: screened modified gravity (MG)
- Unscreened galaxies: $F = \left(1 + \frac{\Delta G}{G_N}\right) \frac{G_N M}{r^2}$
- Screening of galaxies depends on theory
- Need screening maps to determine screening level
- Extra complication that is the subject of ongoing work

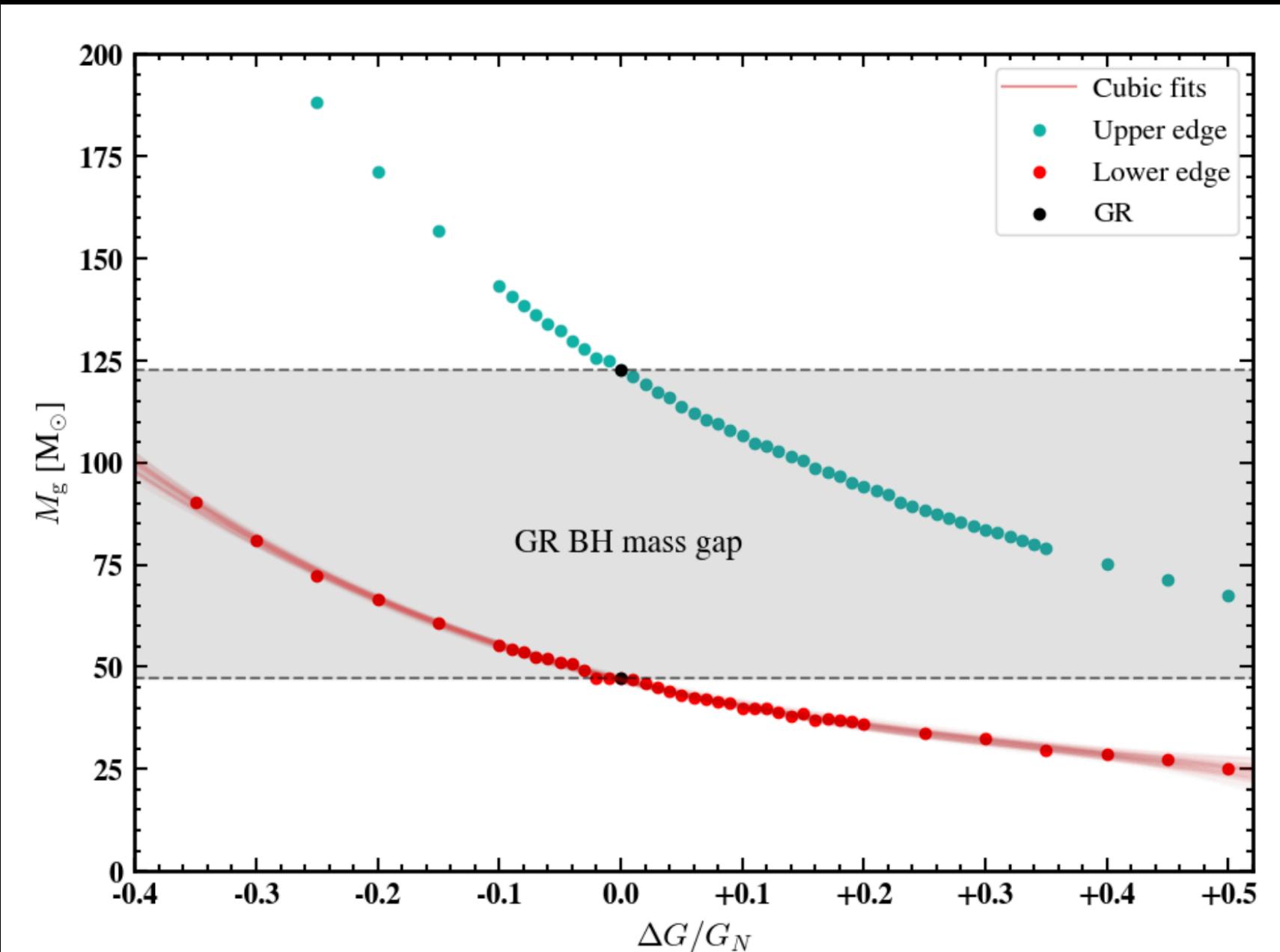
Environment-dependent
Value depends on galaxy, level of
screening, and theory
=0 in screened galaxies

The BHMG and new physics

- Scenario 2: screened modified gravity (MG)
- Increased local strength of gravity → need larger pressure gradient to maintain hydrostatic equilibrium → **larger core temperature at fixed density**
- Pair instability is exacerbated
→ **Lighter black holes**
- Decreased local strength of gravity works in reverse
→ **Heavier black holes**





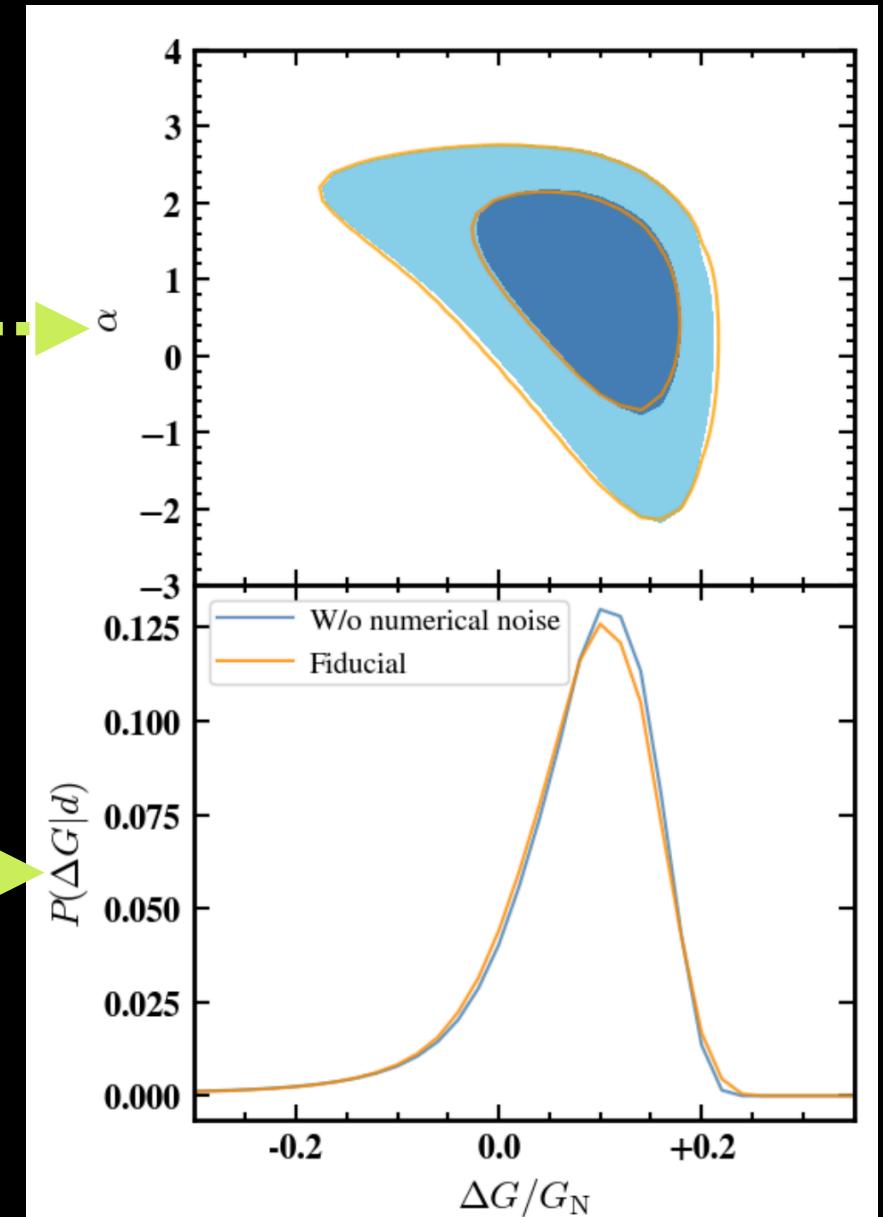


A new test of the strong equivalence principle

- Change G in stars but note black holes
(no-hair theorem)
- BHMG changes but waveform doesn't
- Compare mass gap prediction with LIGO/
Virgo data
- 7% bound: $\frac{\Delta G}{G_N} = 0.1^{+0.04}_{-0.1}$

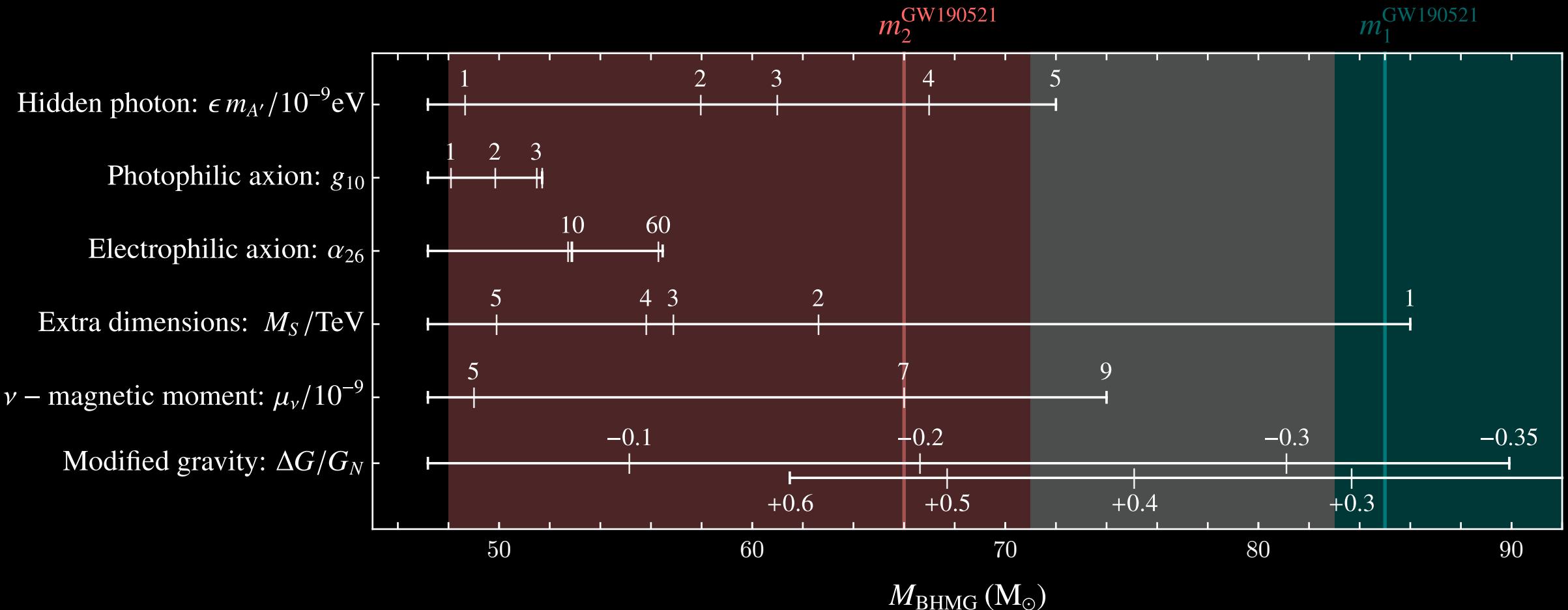
Slope of the IMF

Marginalized
results using first
10 detections



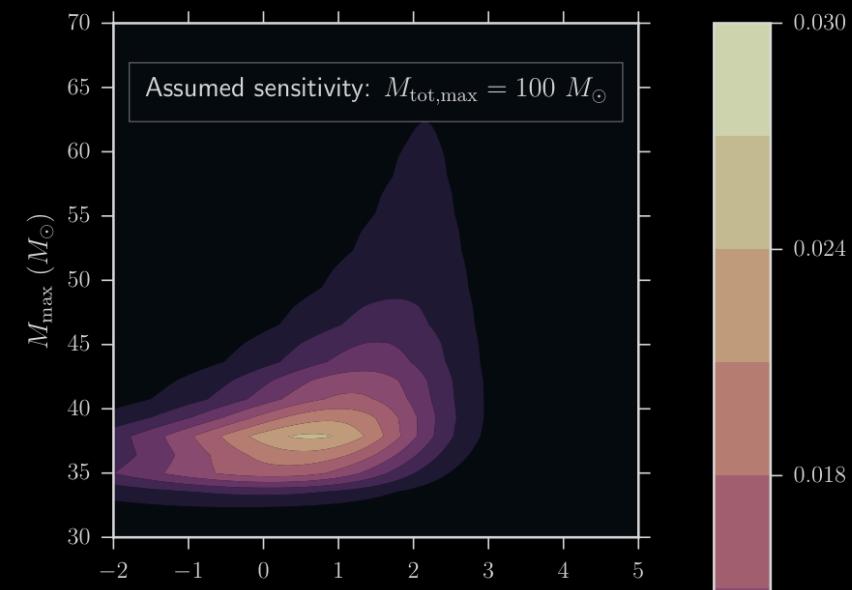
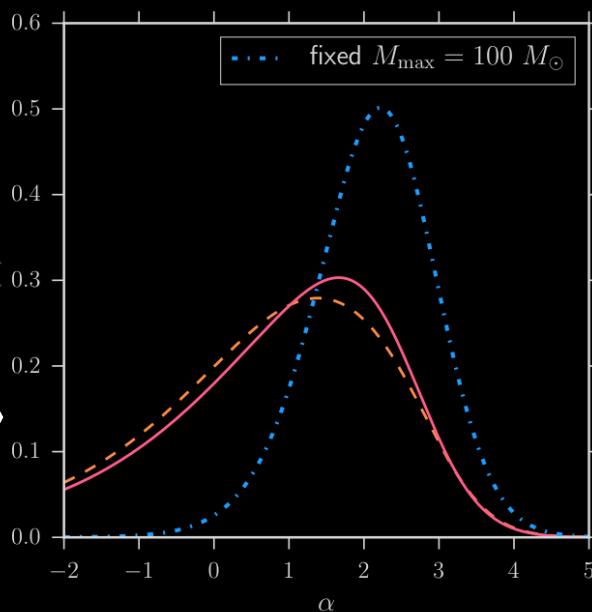
GW190521, the impossible black holes

... and Beyond the Standard Model physics

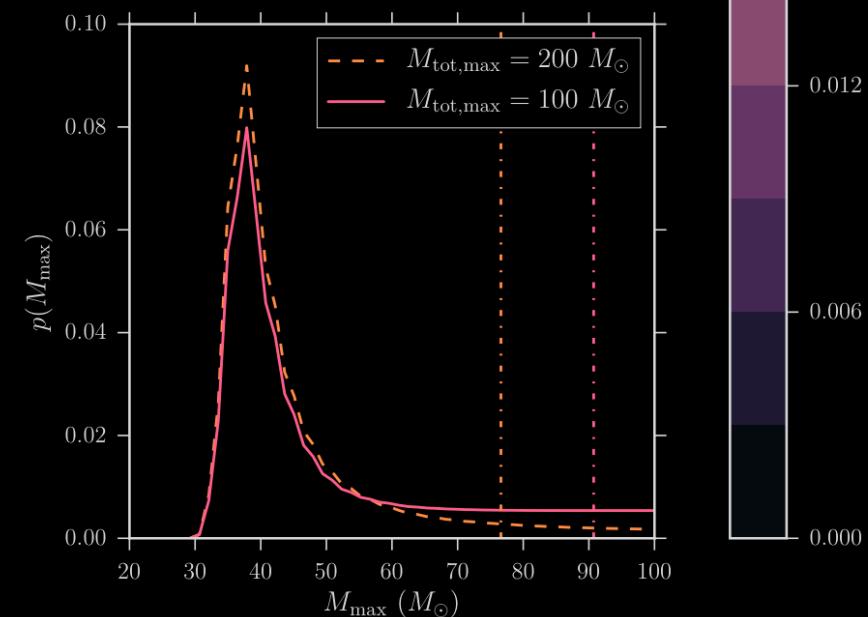
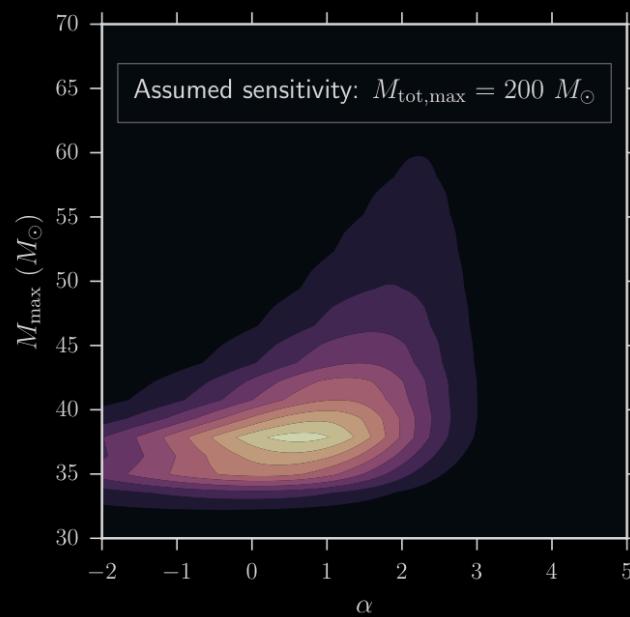


Looking ahead...

Posterior PDFs using the first 4 BBH mergers, and assuming a power law mass distribution,
 $p(m_1 | \alpha) \propto m_1^{-\alpha}$



With the complete O3 data set (and beyond), the field of *black hole population studies* will really take off!



from Fishbach & Holz, arXiv:1709.08584 [astro.ph]

To conclude,

- Gravitational waves offer an **exciting new opportunity** to study open questions in particle astrophysics and cosmology
- Binary mergers allow for black hole population studies
- The **black hole mass gap** is an exciting probe of new physics, which will come into focus in the next few years
- GW190521 constitutes an intriguing puzzle which could be (partially) explained by BSM physics

Thank you!

sakstein@hawaii.edu | jeremysakstein.com

Sam McDermott



Djuna Croon



Eric Baxter



Maria Straight



Large black hole in LB-1?

- Last year, a $70 M_{\odot}$ black hole was reported in a binary with a high-metallicity smaller star (from the radial velocity variability of the $H\alpha$ emission line, suggesting an accretion disk)
- It was suggested (1911.12357) that it was formed due to the core-collapse of a high metallicity progenitor with reduced stellar winds
- However, those simulations did not include pulsations (they were stopped at carbon burning)
- The observation has since also been disputed (1912.04185 and 1912.03599) - apparent shifts instead originate from shifts in the luminous star's $H\alpha$ absorption line