

Microlocal Analysis approach to Ruelle Pollicott Resonances

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Acknowledgments

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Acknowledg.

Plan

- Joint work with **F. Faure** and **J. Sjöstrand**
Published in *Open Math. Journal* (2008)
- After (**non-exhaustive** **alphabetically-ordered** list):
 - **Classical dynamical systems** : Baladi-Tsujii,
Baladi-Gouëzel, Blank-Keller-Liverani, Gouëzel-Liverani,
 - **Quantum resonances** : Aguilar, Balslev, Combes, Simon,
Helffer-Sjöstrand, ...

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- 1 Correlation functions and mixing
- 2 Anosov diffeomorphisms
- 3 Ruelle Resonances
- 4 Microlocal analysis & Anisotropic Sobolev spaces
- 5 Quasicompacity and escape function on T^*M

Correlation functions & mixing

Framework

- **Phase space** : (compact) C^∞ manifold M
- **Dynamical system** : C^∞ diffeomorphism

$$f : M \rightarrow M$$

- **Observables** (test functions): $C^\infty(M)$
- **Additional structures** on M :
 - Riemannian metric g
 - Lebesgue measure μ_{leb} (*usually **not** f -invariant*)
Nota $dx = d\mu_{leb}$

Correlation functions & mixing

- Explicit solutions vs statistical properties

Correlation functions

- Test functions : $\varphi, \psi \in C^\infty(M)$
- discrete time : $n \in \mathbb{Z}$

$$\forall \varphi, \psi \in C^\infty(M) \rightsquigarrow C_{\varphi, \psi}(n) = \int_M \varphi \cdot \psi \circ f^n dx$$



Asymptotic of $C_{\varphi, \psi}(n)$ for $n \rightarrow +\infty$

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Def : Lebesgue-Mixing

$\exists f$ -invariant measure μ_{srb} (*Sinai-Ruelle-Bowen*),
 $\forall \varphi, \psi \in C^\infty(M)$

$$C_{\varphi, \psi}(n) = \int \varphi \cdot \psi \circ f^n dx \xrightarrow{n \rightarrow \infty} \left(\int \varphi dx \right) \left(\int \psi d\mu_{srb} \right)$$



Speed of convergence, asymptotic of $C_{\varphi, \psi}(n)$



Statistical information available if f sufficiently chaotic

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Anosov diffeomorphisms

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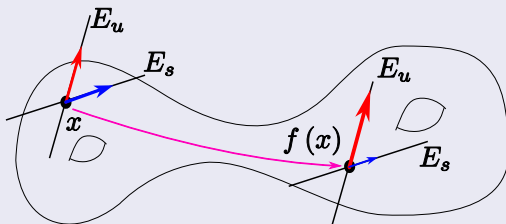
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Def : Anosov Diffeomorphism $f : M \rightarrow M$

$\exists$ decomposition $TM = E_s \oplus E_u$ “Stable $\oplus$ Unstable”,
 f -invariant, $\theta < 1$

$$\begin{cases} |df(v)| \leq \theta |v| & \forall v \in E_s \text{ contracting} \\ |df^{-1}(v)| \leq \theta |v| & \forall v \in E_u \text{ expanding} \end{cases}$$



Anosov diffeomorphisms

Examples

- **Hyperbolic torus automorphisms**

$$\begin{aligned} f : \mathbb{T}^d &\rightarrow \mathbb{T}^d \\ x &\mapsto A.x \bmod \mathbb{Z}^d \end{aligned}$$

with $A \in SL(n, \mathbb{Z})$ and $|\text{eigenvalues}| \neq 1$

- **Perturbations**, e.g.

$$\begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} \frac{\varepsilon}{2\pi} \sin(2\pi(2x + y)) \\ 0 \end{pmatrix}$$

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Examples

- Hyperbolic toral automorphisms
- Perturbations

Remarks

- μ_{leb} is preserved for toral automorphism but not for perturbations
- $\exists f$ Anosov $\Rightarrow$ strong restrictions on topology of M
Conjecture : M is infranil manifold
- Distributions E^u and E^s only Holder continuous w.r.t point $x \in M$

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Anosov diffeomorphisms

Conjecture

f Anosov on M compact $\Rightarrow$ Lebesgue-mixing

- Proved by Anosov [’67] in the case $\mu_{leb} = \text{preserved}$

Theorem [Liverani&al, Baladi&al]

Let f be Anosov.

Then $\text{mixing} \Rightarrow \text{exponential mixing}$



Understand asymptotic of $C_{\varphi, \psi}(n)$

..... Ruelle-Pollicott resonances

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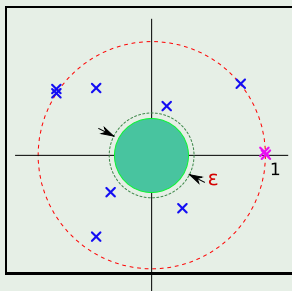


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Ruelle Resonances

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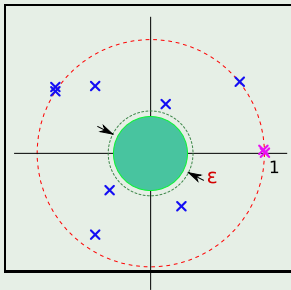


- Resonances : $\lambda_j \in \mathbb{C}$
- $1 = \lambda_0 \geq |\lambda_1| \geq |\lambda_2| \dots$
- finite number of λ_j outside disc $D(\epsilon)$
- P_j polynomial in n
- P_j depends on $\partial^k \varphi, \partial^k \psi$ for $k \leq c |\log \epsilon|$

$$C_{\varphi, \psi}(n) = \sum_{|\lambda_j| > \epsilon} \lambda_j^n \cdot P_j(n; \varphi, \psi) + O_{\epsilon, \varphi, \psi}(\epsilon^n)$$

Ruelle Resonances

Theorem

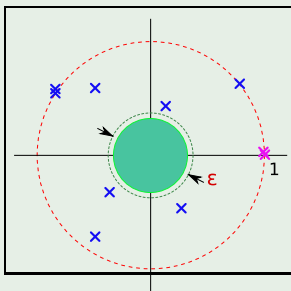


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$$C_{\varphi, \psi}(n) = \sum_{|\lambda_j| > \varepsilon} \lambda_j^n \cdot P_j(n; \varphi, \psi) + O_{\varepsilon, \varphi, \psi}(\varepsilon^n)$$

Remarks

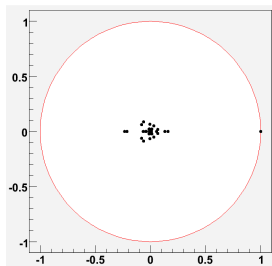
- If λ_0 simple and $|\lambda_{j \neq 0}| < 1 \Rightarrow$ **Mixing**

$$P_0(n; \varphi, \psi) = \langle \mu_{leb}, \varphi \rangle \langle \mu_{srb}, \psi \rangle$$

- $\lambda_j \leftarrow$ **spectral decomposition** of $\hat{F} : \varphi \mapsto \varphi \circ f$
but on a «complicated» space $\hat{F} : H \rightarrow H$

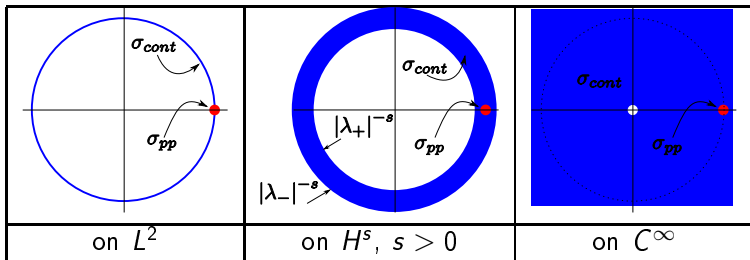
Ruelle Resonances

- $\hat{F} : \varphi \mapsto \varphi \circ f$
- Model $f : \begin{pmatrix} x \\ y \end{pmatrix} \mapsto \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \varepsilon \cdot \text{Perturb. on } \mathbb{T}^2$
- Resonances (numerics) for $\varepsilon = 0.16$



Ruelle Resonances

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- Exact computation of spectrum for $\varepsilon = 0$

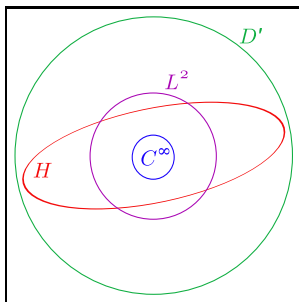


Ruelle Resonances

- Spectral decomposition of

$$\hat{F} : H \rightarrow H$$

$$\varphi \mapsto \varphi \circ f$$



[Faure-R-Sjöstrand '08]
Microlocal approach

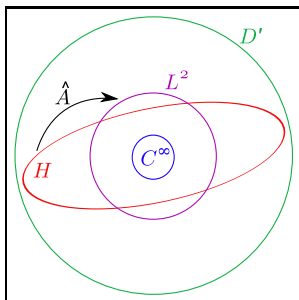
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- $\hat{A}$ pseudodifferential with *variable order*

Ruelle Resonances

- Spectral decomposition of

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[Faure-R-Sjöstrand '08]
Microlocal approach

- $H = \hat{A}^{-1}(L^2)$
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Microlocal Analysis with variable order

Pseudodifferential Operator with **variable order**

$$\hat{A}(\varphi)(x) = \int \int e^{i\xi(x-y)} A(x, \xi) \varphi(y) dx d\xi \quad (\text{local})$$

- The **symbol** $A \in C^\infty(T^*M)$ satisfies

$$\left| \partial_\xi^\alpha \partial_x^\beta A(x, \xi) \right| \leq C_{\alpha, \beta} |\xi|^{m(x, \xi) - \rho|\alpha| + (1-\rho)|\beta|}$$

- **Type** : $\frac{1}{2} < \rho < 1$
- **Order function** : $m \in C^\infty(T^*M)$ (in fact $m \in S_r^0$)

- **Symbols** : $A \in S_\rho^{m(x, \xi)} \iff$ **Operators** : $\hat{A} \in \Psi_\rho^{m(x, \xi)}$
- Symbol well-defined up to $S_\rho^{m-(2\rho-1)} \rightsquigarrow$ Notion of **principal symbol**

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"Theorem"

All standard results extend to the case with variable order.

- **Composition** : $\hat{A} \in \Psi_\rho^{m_1(x,\xi)}$ and $\hat{B} \in \Psi_\rho^{m_2(x,\xi)} \Rightarrow \hat{A}\hat{B} \in \Psi_\rho^{m_1(x,\xi)+m_2(x,\xi)}$
- **Continuity** :
 - $\hat{A} : C^\infty(M) \rightarrow C^\infty(M)$ (Smooth functions)
 - $\hat{A} : D'(M) \rightarrow D'(M)$ (Distributions)
- **Notion of ellipticity** : $|A(x, \xi)| \geq C \cdot |\xi|^{m(x,\xi)}$ for $|\xi| \gg 1$

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Microlocal Analysis with variable order

Anisotropic Sobolev spaces

- $\forall$ order function $m(x, \xi)$, define

$$A_m(x, \xi) := \langle \xi \rangle^{m(x, \xi)}, \quad \langle \xi \rangle = \sqrt{1 + |\xi|^2}.$$

- $A_m \in S_\rho^{m(x, \xi)}$ is elliptic
- Quantize $\rightsquigarrow \hat{A}_m \in \Psi_\rho^{m(x, \xi)}$, invertible on $C^\infty(M)$ and $D'(M)$ and $\hat{A}_m^{-1} \in \Psi_\rho^{-m(x, \xi)}$
- **Anisotropic Sobolev spaces :**

$$H^{m(x, \xi)} = \hat{A}_m^{-1}(L^2)$$

- **Continuity :** if $\hat{B} \in \Psi_\rho^{m(x, \xi)}$ then

$$\hat{B} : H^{s(x, \xi)} \rightarrow H^{s(x, \xi) - m(x, \xi)}$$

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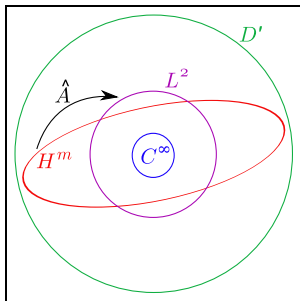
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Quasicompactity of $\hat{F}$

- Aim :

$$C_{\varphi,\psi}(n) = \sum_{|\lambda_j| > \varepsilon} \lambda_j^n \cdot P_j(n; \varphi, \psi) + O_{\varepsilon,\varphi,\psi}(\varepsilon^n)$$

- $\lambda_j \leftarrow$ spectral decomposition of $\hat{F} : \varphi \mapsto \varphi \circ f$ on $H^m(x,\xi)$



- $\hat{F}$ is **quasicompact** on $H^m(x,\xi)$
- $H^m(x,\xi) = \hat{A}_m^{-1}(L^2)$
- $\hat{A}_m \in \Psi_\rho^{m(x,\xi)}$
- Study $\hat{A}\hat{F}\hat{A}^{-1}$ on L^2

Quasicompacity of $\hat{F}$

Theorem (Open Math. J. 2008)

$$\forall \varepsilon > 0, \exists m(x, \xi), \exists \hat{A} \in \Psi_\rho^{m(x, \xi)},$$

$$\hat{Q} := \hat{A} \hat{F} \hat{A}^{-1} = \hat{r}_\varepsilon + \hat{k}_\varepsilon$$

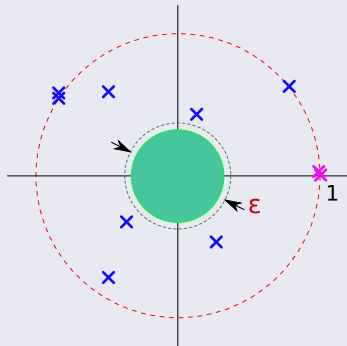
- $\|\hat{r}_\varepsilon\|_{L^2} \leq \varepsilon$

- $\hat{k}_\varepsilon$ compact

$\hat{Q}$ quasicompact on L^2

$\rightsquigarrow \hat{F}$ quasicompact on $H^{m(x, \xi)}$

$\rightsquigarrow$ Jordan dec. $\rightsquigarrow$ Resonances



$$C_{\varphi, \psi}(n) = \int \varphi \cdot \hat{F}^n(\psi) dx = \sum_{|\lambda_j| > \varepsilon} \lambda_j^n P_j(n; \varphi, \psi) + O(\varepsilon^n)$$

Quasicompacity of $\hat{F}$: idea of proof

- Wanted : $\hat{A} \in \Psi_\rho^{m(x,\xi)}$ with symbol $A(x, \xi) := \langle \xi \rangle^{m(x,\xi)}$
- Work with $\hat{P} := \hat{F}^{-1} \hat{Q} = (\hat{F}^{-1} \hat{A} \hat{F}) \hat{A}^{-1}$
- Egorov Theorem : $\hat{F}^{-1} \hat{A} \hat{F} \in \Psi_\rho^{m \circ F(x,\xi)}$ with symbol $A \circ F$ where $F : T^*M \rightarrow T^*M$ lifts $f^{-1} : M \rightarrow M$

$$F(x, \xi) = (f^{-1}(x), Df^t(\xi))$$

- The inverse $\hat{A}^{-1} \in \Psi_\rho^{-m(x,\xi)}$ has symbol $\frac{1}{A}$
- Thus : $\hat{P} \in \Psi_\rho^{m \circ F(x,\xi) - m}$ has symbol

$$P = \frac{A \circ F}{A}$$

Quasicompacity of $\hat{F}$: idea of proof

- $\hat{P} \in \Psi_\rho^{m \circ F(x, \xi) - m}$ has symbol $P = \frac{A \circ F}{A}$
- if $m \circ F(x, \xi) - m \leq 0 \implies \hat{P}$ bounded in L^2 and

$$\hat{P} = \hat{R} + \hat{K}$$

with

$$\|\hat{R}\|_{L^2} \leq \limsup_{(x, \xi) \in T^*M} |P(x, \xi)| + \delta$$

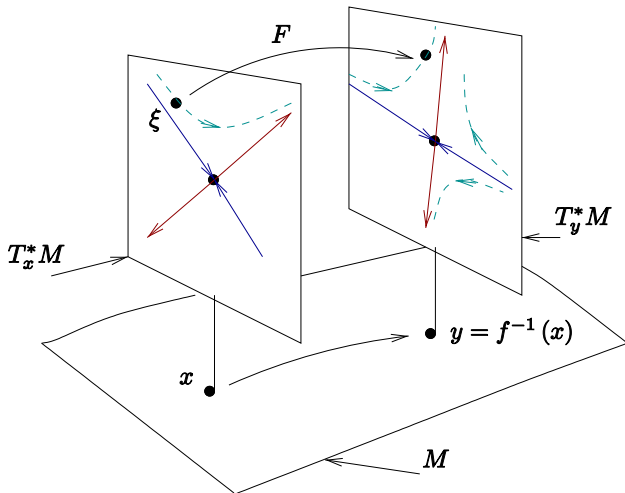
and $\hat{K}$ smoothing

- Need to construct an **escape function** (for F)

$$A \circ F < A$$

Quasicompactness of $\hat{F}$: idea of proof

Escape function : $A \circ F < A$



Microlocal
Analysis
approach
to
Ruelle
Pollicott
Resonances

Nicolas Roy
(H.U. Berlin)

Correlation
functions

Anosov
diffeomor-
phisms

Ruelle
Resonances

Microlocal
Analysis

Quasicompact
of $\hat{F}$