## Constraints on dS from Higher Dimensions

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De Sitter Constructions in String Theory IPhT, CEA/Saclay



- •Big Picture
- •Why 10-d?
- •Some Constraints on dS from Higher Dimensions
- •Some Examples of dS

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- "10-d Einstein Equations are identical to the 4-d effective potential minimization conditions Why not just work with 4-d effective potential?"  $\begin{cases} V \big|_{\min} = \Lambda \\ \partial_{\rho_i} V \big|_{\min} = 0 \end{cases}$
- <u>Backreaction</u>: 4-d EFT can often hide backreaction effects.

#### A short selection...

Bena, Grana, Halmagyi, '09; Dymarsky, '11; Bena, Giecold, Grana, Halmagyi, Massai, '11; Bena, Grana, Kuperstein, Massai, '14; Michel, Mintun, Polchinski, Puhm, Saad, '14; Cohen-Maldonado, Diaz, Van Riet, Vercnocke, '15; Armas, Nguyen, Niarchos, Obers, Van Riet, '18;

Moritz, Retolaza, Westphal, '17, '19 Hamada, Hebecker, Shiu, Soler, '18 Gautason, Van Hemelryck, Van Riet, '18 Bena, Dudas, Graña, Lüst, '18 Carta, Moritz, Westphal, '19; Gautason, Van Hemelryck, Van Riet, 19; Hamada, Hebecker, Shiu, Soler, '19 Bena, Graña, Kovensky, Retolaza, '19 Bena, Buchel, Lüst, '19

+ everyone at this conference...?

- "10-d Einstein Equations are identical to the 4-d effective potential minimization conditions Why not just work with 4-d effective potential?"  $\begin{cases} V \big|_{\min} = \Lambda \\ \partial_{\rho_i} V \big|_{\min} = 0 \end{cases}$
- <u>Backreaction</u>: 4-d EFT can often hide backreaction effects.

o <u>Moduli</u>:

 $\rightarrow$  Need to be sure 4d degrees of freedom are correct. <u>Ex</u>: Volume Modulus in Warped (GKP) Backgrounds

$$ds_{10}^2 = e^{2A_0(y)} \hat{\eta}_{\mu\nu} dx^{\mu} dx^{\nu} + e^{-2A_0(y)} \tilde{g}_{mn} dy^m dy^n$$
 GKP, '01

10-d EOM:  $G_{\mu m} - \kappa_{10}^2 T_{\mu m} = -2 \left( \partial_{\mu} L \right) \left( \partial_m e^{-4A_0} \right) = 0 \implies$  Either:  $\begin{cases} \text{modulus is trivial} & \partial_{\mu} L = 0 \\ \text{warp factor is trivial} & \partial_m e^{-4A_0} = 0 \end{cases}$ 

Correct form for the volume modulus is  $c(x) \sim L^4$ :  $ds_{10}^2 = e^{2\Omega(x)} \left[ e^{-4A_0(y)} + c(x) \right]^{-1/2} \hat{\eta}_{\mu\nu} dx^{\mu} dx^{\nu} + \left[ e^{-4A_0(y)} + c(x) \right]^{1/2} \tilde{g}_{mn} dy^m dy^n$   $- 2 \left[ e^{-4A_0(y)} + c(x) \right]^{-1/2} e^{2\Omega} \left( \partial_{\mu} c \right) \left( \partial_m K(y) \right) dx^{\mu} dy^m$  $e^{-2\Omega(x)} = c(x) + \frac{1}{\tilde{V}} \int \sqrt{\tilde{g}} e^{-4A_0} dx^{\mu} dx^{\mu}$ 

> Giddings, Maharana, '05 Frey, Torroba, Underwood, Douglas, '08 Koerber, Martucci, '07; Martucci, '09, '14

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o <u>Moduli</u>:

- $\rightarrow$  Need to be sure 4d degrees of freedom are correct.
- $\rightarrow$  4-d EFT must know dependence on correct 4d degrees of freedom.

For the correct metric:

$$ds_{10}^{2} = e^{2\Omega(x)} \left[ e^{-4A_{0}(y)} + c(x) \right]^{-1/2} \hat{\eta}_{\mu\nu} dx^{\mu} dx^{\nu} + \left[ e^{-4A_{0}(y)} + c(x) \right]^{1/2} \tilde{g}_{mn} dy^{m} dy^{n}$$
$$- 2 \left[ e^{-4A_{0}(y)} + c(x) \right]^{-1/2} e^{2\Omega} \left( \partial_{\mu} c \right) \left( \partial_{m} K(y) \right) dx^{\mu} dy^{m}$$
$$\overline{D3} \text{ tension} \sim 2 T_{3} e^{4A} e^{4\Omega} = \frac{T_{3}}{\left( c + \frac{\tilde{V}_{W}}{\tilde{V}} \right)^{2}} \frac{1}{e^{-4A_{0}+c}} \qquad e^{-2\Omega(x)} = c(x) + \frac{1}{\tilde{V}} \int \sqrt{\tilde{g}} e^{-4A_{0}}$$
$$\overset{\text{Giddings, Maharana, '06}}{\text{Giddings, Maharana, '06}}$$
$$\text{reproduces} \sim \begin{cases} \frac{T_{3}}{c^{3}} & \text{for weak warping} \\ \frac{T_{3}e^{-4A_{0}}}{c^{2}} & \text{for strong warping} \end{cases} \text{ for strong warping}$$

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- o <u>Backreaction</u>: 4-d EFT can often hide backreaction effects.
- o <u>Moduli</u>:
  - $\rightarrow$  Need to be sure 4d degrees of freedom are correct.
  - $\rightarrow$  4-d EFT must know dependence on correct 4d degrees of freedom.
  - $\rightarrow$  10-d analysis insensitive to precise moduli dependence  $\rightarrow$  "On-shell"

Gautason, Van Hemelryck, Van Riet, '18



"off-shell":

good if you know full moduli dependence could be misleading if you don't!

10-d Trace-Reversed  

$$R_{MN} = T_{MN} - \frac{1}{8}g_{MN}T_L^L$$

$$V_{eff} \Big|_{min}$$

"on-shell": gives value of cc at minimum can't tell you about stability doesn't need moduli dependence

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- $\rightarrow$  Need to be sure 4d degrees of freedom are correct.
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- $\rightarrow$  10-d analysis insensitive to precise moduli dependence  $\rightarrow$  "On-shell"

#### • Model-building:

10-d analysis can point towards new sources & mechanisms to getting dS.

#### $\rightarrow$ Negative Curvature

Douglas, Kallosh, '10

 $\rightarrow$  Gaugino Condensation (4-fermion)

Hamada, Hebecker, Shiu, Soler, '18; Kallosh, '19 Carta, Moritz, Westphal, '19; Gautason, Van Hemelryck, Van Riet, 19; Hamada, Hebecker, Shiu, Soler, '19

#### $\rightarrow$ Quantum Corrections

Dasgupta, Gwyn, McDonough, Mia, Tatar, '14 Dasgupta, Emelin, Faruk, Tatar, '18, '19 x 2,

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Gibbons/Maldacena-Nunez (GMN)

Gibbons '84 Malacena, Nunez '00

$$ds_D^2 = \mathbf{\Omega}^2(\mathbf{y}) \left( \hat{g}_{\mu\nu} \, dx^{\mu} dx^{\nu} + \tilde{g}_{mn} \, dy^m dy^n \right)$$

$$\widehat{R}_4 \, \widetilde{\mathcal{V}} = \frac{1}{D-2} \int \sqrt{\widetilde{g}} \, \widetilde{\nabla}^2 \Omega^{D-2} - \frac{1}{D-2} \int \sqrt{\widetilde{g}} \, \Omega^D \, \widetilde{T}^{\text{matt}}, \qquad \qquad \widetilde{T}^{\text{matt}} = 4 \, T_m^m - (D-6) \, T_\mu^\mu$$

#### **Takeaways**

Total derivative?

1. To get dS, matter must satisfy  $\sim \int \Omega^D \tilde{T}^{\text{matt}} < 0 \Rightarrow \int \Omega^D \left[ (D-6)T^{\mu}_{\mu} - 4T^m_m \right] > 0$ <u>Op-planes</u>  $\int \Omega^D \left[ T^{\mu}_{\mu} - T^m_m \right] > 0$ 

 $(T^{Op})^{\mu}_{\mu} - (T^{Op})^{m}_{m} \sim |T_{Op}| > 0$  <u>O3-planes can evade this constraint.</u> (GKP has O3)

2. Negative Curvature needed?

$$\hat{\mathcal{R}}_4 \tilde{\mathcal{V}} = -2\tilde{R}_p - \hat{T}_4 + ext{warping}$$

Douglas, Kallosh '10

#### Advantages:

- Not specific to a background
- Simple!

#### Disadvantages:

- Assumes Einstein Equations
- Integrated Constraint: no singularities or boundaries in internal dims

• GKP Tadpole Constraint

GKP, '01 de Alwis, '03

$$ds_D^2 = e^{2A(y)} \,\hat{g}_{\mu\nu}(x) \, dx^{\mu} dx^{\nu} + e^{-2A(y)} \tilde{g}_{mn} \, dy^m dy^n$$
$$\hat{R}_4 = -\int \sqrt{\tilde{g}} \left[ \frac{e^{2A}}{\mathrm{Im}\,\tau} |G_3^-|^2 + e^{-6} \, |d\Phi_-|^2 + \frac{e^{2A}}{2\pi} \,\Delta \right], \qquad \Delta = \frac{1}{4} \left( T_m^m - T_\mu^\mu - 4\mu_3 \rho_3 \right)$$
$$G_3^- = G_3 - i \stackrel{\sim}{\star} G_3, \qquad \Phi_- = e^{4A} - \alpha$$

#### **Takeaways**

1. To get dS, matter must satisfy  $\int e^{2A} \left[ T^{\mu}_{\mu} - T^{m}_{m} + 4 \mu_{3} \rho_{3} \right] > 0$ (Compare to (GMN)  $\int \Omega^{D} \left[ T^{\mu}_{\mu} - T^{m}_{m} \right] > 0$ )

O3-planes no longer evade this constraint.

Advantages:

- Also uses  $\tilde{F}_5$  Bianchi Identity
- Simple constraint on matter

**Disadvantages**:

- Assumes EEs & IIB SUGRA
- Integrated Constraint: no singularities or boundaries in internal dims

• Raychaudhuri Null Energy Condition Das, Haque, Underwood, '19  $ds_D^2 = \Omega^2(z) \left(-dt^2 + a^2(t) d\vec{x}^2 + \bar{g}_{mn} dz^m dz^n\right)$   $= \Omega^2(\chi, y^m) \left(-dt^2 + a^2(t) d\vec{x}^2 + d\chi^2 + f^2(\chi) \tilde{g}_{mn} dy^m dy^n\right)$ 

Similar to "warped throat" form

 $ds^{2} = e^{2A} \left( -dt^{2} + a^{2}(t) \, d\vec{x}^{2} \right) + e^{-2} \left( dr^{2} + f^{2}(r) \, \tilde{g}_{mn} dy^{m} dy^{n} \right)$ 

(We will relax this structure later)



Let's examine the consequences of this expression.

Raychaudhuri Null Energy Condition

Das, Haque, Underwood, '19

$$ds_{D}^{2} = \Omega^{2}(\chi, y^{m}) \left(-dt^{2} + a^{2}(t) d\vec{x}^{2} + \underline{d\chi^{2} + f^{2}(\chi) \tilde{g}_{mn} dy^{m} dy^{n}}\right)$$
Let's examine the consequences of this expression:  

$$3\left(\dot{\mu} + H^{2}\right) = \bar{R}_{\chi\chi} + (D-2)\left[\left(\partial_{\chi}\log\Omega\right)^{2} - \partial_{\chi}^{2}\log\Omega\right] - \Omega^{4} R_{MN} N^{M} N^{N}$$
Must be  
(+)  
Must also be (+) for dS

for dS

#### Takeaways

•  $\dot{H} = 0$  for dS: LHS is positive  $\Rightarrow$  RHS is positive

Raychaudhuri Null Energy Condition

Das, Haque, Underwood, '19

 $ds_{D}^{2} = \Omega^{2}(\chi, y^{m}) \left(-dt^{2} + a^{2}(t) d\vec{x}^{2} + \underbrace{d\chi^{2} + f^{2}(\chi) \tilde{g}_{mn} dy^{m} dy^{n}}_{\bar{g}_{mn}}\right)$ 

Let's examine the consequences of this expression:

$$3\left(\dot{\mu} + H^{2}\right) = \bar{R}_{\chi\chi} + (D - 2)\left[\left(\partial_{\chi}\log\Omega\right)^{2} - \partial_{\chi}^{2}\log\Omega\right] - \Omega^{4} R_{MN} N^{M} N^{N}$$

Negative curvature doesn't help

Trivial Warping?

Null Energy Condition  $R_{MN} N^M N^N = T_{MN} N^M N^N \ge 0$ 

#### Takeaways

- $\dot{H} = 0$  for dS: LHS is positive  $\Rightarrow$  RHS is positive
- No dS if
  - Curvature is non-positive  $\bar{R}_{\chi\chi} \leq 0$
  - Warping  $\Omega \sim \text{const}$
  - Null Convergence Condition/NEC satisfied  $R_{MN}N^MN^N \ge 0$

Must violate at least one of these conditions to get dS

Raychaudhuri Null Energy Condition

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Must also be independent of

 $\{\chi, \chi^m\}$ 

of { *x*, *y*<sup>m</sup> }

#### Takeaways

- $\dot{H} = 0$  for dS: LHS is positive  $\Rightarrow$  RHS is positive
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  - Curvature is non-positive  $\bar{R}_{\chi\chi} \leq 0$
  - Warping  $\Omega \sim \text{const}$
  - Null Convergence Condition/NEC satisfied  $R_{MN}N^MN^N \ge 0$
- LHS is independent of  $\{\chi, y^m\}$ , so RHS must be independent of  $\{\chi, y^m\}$

→ If source of dS is NEC violation, it must violate NEC

homogeneously pointwise throughout the extra dimensions. Douglas, Kallosh, '10

• Raychaudhuri Null Energy Condition - Generalized Das, Haque, Underwood, '19

$$ds_D^2 = \Omega^2(\chi, y^m) \left( -dt^2 + a^2(t) \, d\vec{x}^2 + \, \tilde{g}_{mn} \, dy^m dy^n \right)$$

Null, affine vector:

 $N^{M} = \Omega^{-2} \begin{pmatrix} 1, \vec{0}, \vec{n}^{m} \\ t, \vec{x}, \vec{y} \end{pmatrix}$   $\tilde{n}^{m}$  spacelike affine unit vector Pick your favorite direction!

 $3\left(\vec{A} + H^{2}\right) = \tilde{R}_{mn}\tilde{n}^{m}\tilde{n}^{n} + (D-2)\tilde{n}^{m}\tilde{n}^{n}\left[\partial_{n}\log\Omega\,\partial_{m}\log\Omega - \tilde{V}_{m}\partial_{n}\log\Omega\right] - \Omega^{4}\,R_{MN}\,N^{M}N^{N}$  $3\left(\dot{H} + H^{2}\right) = \bar{R}_{\chi\chi} + (D-2)\left[\left(\partial_{\chi}\log\Omega\right)^{2} - \partial_{\chi}^{2}\log\Omega\right] - \Omega^{4}\,R_{MN}\,N^{M}N^{N}$ 

Previous Case

#### Takeaways

- $\dot{H} = 0$  for dS: LHS is positive  $\Rightarrow$  RHS is positive
- No dS if
  - Curvature is non-positive  $\bar{R}_{\chi\chi} \leq 0$
  - Warping  $\Omega \sim \text{const}$
  - Null Convergence Condition/NEC satisfied  $R_{MN}N^MN^N \ge 0$
- LHS is independent of  $\{\chi, y^m\}$ , so RHS must be independent of  $\{\chi, y^m\}$

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homogeneously pointwise throughout the extra dimensions. Douglas, Kallosh, '10

Must violate at least one of these conditions to get dS

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Null, affine vector:

 $N^{M} = \Omega^{-2} (1, \vec{0}, \tilde{n}^{m}) \qquad \qquad \tilde{n}^{m} \text{ spacelike affine unit vector} \\ t, \vec{x}, \vec{y} \qquad \qquad \text{Pick your favorite direction!}$ 

 $3\left(\mathcal{A} + H^{2}\right) = \tilde{R}_{mn}\tilde{n}^{m}\tilde{n}^{n} + (D-2)\tilde{n}^{m}\tilde{n}^{n}\left[\partial_{n}\log\Omega\partial_{m}\log\Omega - \tilde{\nabla}_{m}\partial_{n}\log\Omega\right] - \Omega^{4}R_{MN}N^{M}N^{N}$ 

#### Advantages:

- Not specific to a particular background
- Does not assume Einstein Equations
- Multiple conditions: one for each choice of direction  $\tilde{n}^m$  in extra dimensions

#### Disadvantages:

• Not so simple anymore?

• Comparing to GMN & GKP Energy Conditions

Does this source evade the dS constraint?	$GMN \\ \tilde{T} \sim T_m^m - T_\mu^\mu < 0?$	$\begin{array}{c} GKP \\ \Delta \sim T_m^m \ - T_\mu^\mu - 4\mu_3\rho_3 < 0? \end{array}$	Raychaud. NEC $T_{MN}N^MN^N < 0?$
$p-form$ Fluxes $T_{MN}^{p} = 2 p F_{Ma_{2}a_{p}} F_{N}^{a_{2}a_{p}}$ $-g_{MN} F^{2}$	NO	NO	NO
<b>Op-planes</b> $T^{Op}_{\mu\nu} =  T_{Op}  g_{\mu\nu} \delta(\Sigma)$ $T^{Op}_{mn} =  T_{Op}  \Pi^{\Sigma}_{mn} \delta(\Sigma)$	YES	NO	NO (pointwise)
D-dim CC $T_{MN}^{\Lambda} = -\Lambda_{\rm D}  g_{\rm MN}$	YES $\tilde{T} \sim -8 \Lambda_D$	YES $\Delta \sim -8 \Lambda_D$	NO $T^{\Lambda}_{MN}N^{M}N^{N} = 0$

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#### Some Examples of dS Lesson: "Uplift" of bulk solutions to $dS_4$ Let's examine some existing dS solutions through this lens... requires global backreaction on warp factor. "Classical" dS Solutions \* in Massive IIA with $08_+$ $ds_{10}^2 = e^{2W(z)} \hat{g}_{\mu\nu} \, dx^{\mu} dx^{\nu} + e^{-2W(z)} \left( dz^2 + e^{2\lambda(z)} \, ds_{M_{\rm f}}^2 \right)$ $08_{\perp}$ Ingredients: $2\pi F_0 = +4$ $2\pi F_0 = -4$ Warping Negative curvature $M_{5}$ Localized branes $08_+$ Romans Mass $F_0$ $08_{-}$ Dilaton $\phi$

 $N^{M} = \Omega^{-2}(1, \vec{0}, \tilde{n}^{m})$   $\tilde{n}^{m}$  spacelike affine unit vector  $3 H^2 = \tilde{R}_{mn} \tilde{n}^m \tilde{n}^n + (D-2) \tilde{n}^m \tilde{n}^n \left[ \partial_n \log \Omega \partial_m \log \Omega - \tilde{V}_m \partial_n \log \Omega \right] - \Omega^4 T_{MN} N^M N^N$ Numerical Solutions (near  $08_+ @ z = 0$ ):

$$e^{-4W} = c_1 + \frac{F_0}{\sqrt{c_2}} z - 2 c_1^2 \Lambda_4 z^2 + \mathcal{O}(z^3)$$
  
Etc... for  $\phi(z), \lambda(z)$ 

- Warp factor gains explicit dependence on  $\Lambda_4$
- Dependence on  $\Lambda_4$  not necessarily localized

\*Still some uncertainty about boundary conditions of fields at location of 08\_ Cribiori, Junghans, '19

Cordova et al, '18 Cordova et al, '19



• Deep in throat (~AdS throat)

$$ds_{10}^{2} = \frac{r^{2}}{L^{2}} \hat{g}_{\mu\nu} dx^{\mu} dx^{\nu} + \frac{L^{2}}{r^{2}} (dr^{2} + r^{2} dS_{5}^{2})$$

$$= \frac{L^{2}}{\chi^{2}} (\hat{g}_{\mu\nu} dx^{\mu} dx^{\nu} + d\chi^{2} + \chi^{2} dS_{5}^{2}) \qquad (\partial_{\chi} \log \Omega)^{2} - \partial_{\chi}^{2} \log \Omega = 0$$

$$3H^{2} = \bar{R}_{\chi\chi} + (D-2) \left[ (\partial_{\chi} \log \Omega)^{2} - \partial_{\chi}^{2} \log \Omega \right] - \Omega^{4} T_{MN} N^{M} N^{N}$$
Ricci-flat No warp factor contribution in warped throat No warp factor contribution in warped throat  $(\lambda\lambda)$ : *localized* on/near D7-branes
$$= D_{3} \cdot localized \text{ in warped throat (NeC)}$$

#### **Questions:**

- Where will **homogeneous** (not localized) violation of NEC come from?
- If warp factor plays a role in this constraint, then expect **global backreaction** on warp factor  $e^A \sim e^{A_0 + Hr}$ ? (c.f. dS in RS)

Carta, Moritz, Westphal, '19 Gautason, Van Hemelryck, Van Riet, 19 Hamada, Hebecker, Shiu, Soler, '19



• More generally...

 $3 H^{2} = \tilde{R}_{mn} \tilde{n}^{m} \tilde{n}^{n} + 2 e^{4A} \tilde{\nabla}^{2} A - 8 \tilde{n}^{m} \tilde{n}^{n} (\partial_{n} A) (\partial_{m} A) - e^{4A} T_{MN} N^{M} N^{N}$ 

**Ricci-flat** 

Fixed (at high scale?) by fluxes and Oplanes, does not contribute to dS₄?

Homogeneous NEC Violation?

- $\langle \lambda \lambda \rangle$ : *localized* on/near D7-branes
- $\overline{D3}$ : *localized* in warped throat
- "Non-local" contributions from gauginos?

#### **Questions:**

- Where will homogeneous (not localized) violation of NEC come from?
- If warp factor plays a role in this constraint, then expect **global backreaction** on warp factor  $e^A \sim e^{A_0 + Hr}$ ? (c.f. dS in RS)

### <u>Summary</u>

- Several different constraints on dS from higher dimensions
  - GMN, GKP
  - $\circ$  Raychaudhuri NEC: Non-positive curvature + trivial warping + NEC = no dS

$$3H^{2} = \bar{R}_{\chi\chi} + (D-2) \left[ \left( \partial_{\chi} \log \Omega \right)^{2} - \partial_{\chi}^{2} \log \Omega \right] - \Omega^{4} T_{MN} N^{M} N^{N}$$

- Raychaudhuri NEC has advantages:
  - Not specific to particular background
  - Local, not integrated: Must satisfy at every point
  - Does not assume Einstein Equations
  - Multiple Conditions: one for each choice of internal null vector
  - Strong: Matter which "passes" other dS constraints doesn't pass Raychaud. NEC
- Application of Raychaudhuri NEC to dS solutions gives some
   Take-Home Lessons:
  - **Positive**, not negative, curvature is a way to get bulk solutions with  $dS_4$
  - "Uplift" of bulk solutions to  $dS_4$  with <u>local objects</u> (e.g. branes) requires **global backreaction** of warp factor.
- Would be interesting to see how this works for KKLT?
  - Where will **homogeneous** (not localized) violation of NEC come from?
  - If warp factor plays a role in the constraint, then expect global backreaction on warp factor.

### Extra Slides

### Apparent Horizons



### **Expansions in Compact Space**



• Simple Example: an S<sup>2</sup>

$$ds^{2} = -dt^{2} + R^{2}(d\chi^{2} + \sin^{2}\chi \ d\alpha^{2})$$
$$N_{\pm}^{M} = \left(1, \pm \frac{1}{R}, 0\right)_{t, \chi, \alpha}$$

Expansions  $\tilde{\theta}_{\pm} = \pm \frac{\cot \chi}{R}$ 

- Diverge at the poles  $\chi \to 0, \pi$
- $\tilde{\theta}_+$  positive for  $0 < \chi < \frac{\pi}{2}$ , negative for  $\frac{\pi}{2} < \chi < \pi$ (and visa-versa for  $\theta_-$ )
- $\tilde{ heta}_{\pm} = 0$  on equator

Integral of the expansion vanishes:

 $\int \sqrt{g_2} \tilde{\theta}_{\pm} d\chi \, d\alpha = 0$ Equal amounts of positive and negative expansion.  $\sqrt{g_2} \tilde{\theta}_{\pm} = \partial_m \left(\sqrt{g_2} N_{\pm}^m\right)$ Expansion is a **total derivative** on compact space

### **Expansions in Compact Space**

Das, Haque, Underwood, '19



Since  $H R \ll 1$ , expect anti-trapped region to be quite "thin"

the equator  $\pi/2 < \chi < \pi/2 + \cot^{-1}(3HR)$ 

- Similarly, both  $\theta_+ \& \theta_-$  are positive in a narrow ٠ band above the equator as well.
  - $\rightarrow$  Anti-trapped region?
- The boundary to these regions has  $\theta_+ = 0$  and •  $\theta_{-} > 0$  (and visa-versa)
  - $\rightarrow$  Apparent horizons?

These apparent horizons are also (inner past) trapping horizons when

$$\mathcal{L}_{+}\theta_{-} > 0 \implies 3\dot{H} + \frac{(1 + (3HR)^{2})}{R^{2}} > 0$$

### Horizons in Compact Space

•

Das, Haque, Underwood, '19



 True more generally – any direct product of FLRW with a compact space will develop an anti-trapped band

 $ds^2 = \Omega^2(y)(-dt^2 + a^2(t)\,d\vec{x}^2 + \tilde{g}_{mn}(y)\,dy^m dy^n$ 

- Anti-trapped region arises from *shear*   $\rightarrow$  null rays at fixed co-moving coordinates  $\vec{x}$  expand due to the expansion of the universe
  - → but expansion  $\theta_{\pm}$  is a scalar, cannot be decomposed into "parallel" and "shear" components.
- Null rays can traverse from one end of compact space to the other in finite (affine) time

   → What is the physical significance of the anti-trapped regions and apparent horizons?