Kähler moduli stabilization from 10d

Nicolas Kovensky - Southampton U. & IPhT (CEA, Saclay)

dS constructions in String Theory - 10 Dec 2019

Based on ArXiv:1908.01785 (JHEP), in collaboration with I. Bena, M. Graña and A. Retolaza.

Plan for the talk

- 1 Introduction
- 2 GCG compactifications
- 3 NP effects
- 4 The \mathbb{P}^2 example
- 5 Outlook

Intro: the three-step KKLT¹. proposal for de Sitter in IIB

- **1** Start with a GKP $\mathcal{N}=1$ compactification:
 - Geometry: Mink₄× conf. CY₃.
 - 3-form fluxes fix the complex structure moduli.

Intro: the three-step KKLT¹, proposal for de Sitter in IIB

- **1** Start with a GKP $\mathcal{N}=1$ compactification:
 - Geometry: Mink₄ \times conf. CY₃.
 - 3-form fluxes fix the complex structure moduli.
- Include (NP) gaugino condensation on a stack of D7-branes wrapping internal 4-cycle.
 - In the 4d EFT, this leads to a SUSY AdS₄ solution.
 - All moduli fixed + small (< 0) cosmological constant.

Introduction

Intro: the three-step KKLT¹. proposal for de Sitter in IIB

- Start with a GKP $\mathcal{N}=1$ compactification:
 - Geometry: $Mink_4 \times conf. CY_3$.
 - 3-form fluxes fix the complex structure moduli.
- Include (NP) gaugino condensation on a stack of D7-branes wrapping internal 4-cycle.
 - In the 4d EFT, this leads to a SUSY AdS₄ solution.
 - All moduli fixed + small (< 0) cosmological constant.
- lacktriangle Lift this Λ to (small) positive values by some source of positive energy:
 - $\overline{D3}$ -branes at the bottom of some throat.



Main motivation

Step 2: non-perturbative effects

Fix Kähler moduli
$$(\rho = i\sigma) + \operatorname{get} \mathcal{N} = 1 \operatorname{AdS}_4$$

Usually, this is described in the 4d EFT...

$$W_{\text{total}} = W_0 + W_{\text{NP}} \approx W_0 + A \exp(ia\rho)$$
,

with W_0 from fluxes. Assuming $K=-3\log{[-i(\rho-\bar{\rho})]}$ one gets a susy ${\rm AdS}_4$ solution with

$$W_0 = -Ae^{-a\sigma_*} \left(1 + \frac{2}{3}a\sigma_* \right).$$

Main motivation

Step 2: non-perturbative effects

Fix Kähler moduli
$$(
ho=i\sigma)+$$
 get $\mathcal{N}=1$ AdS $_4$

Usually, this is described in the 4d EFT...

- Can we understand this from 10 dimensions?
- How does the internal geometry look like ?
- Is there a geometrization of the gaugino condensate?
- Is this a particular case of a more general procedure ?
- Can this help for describing the uplift to dS ? (*)

Other recent papers with related goals

- [Moritz, Retolaza & Westphal '17].
- [Hamada, Hebecker, Shiu & Soler '18 (x2) and '19].
- [Kallosh '19].
- [Gautason, Van Hemelryck, Van Riet & Venken '19].
- [Carta, Moritz & Westphal '19].
- [Kachru, Kim, McAllister & Zimet '19].

Consider a compactification with

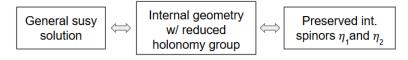
$$ds_{10}^2 = e^{2A(y)}g_{\mu\nu}(x)dx^{\mu}dx^{\nu} + h_{mn}(y)dy^mdy^n.$$

Then,

Consider a compactification with

$$ds_{10}^2 = e^{2A(y)}g_{\mu\nu}(x)dx^{\mu}dx^{\nu} + h_{mn}(y)dy^m dy^n.$$

Then,



such that the susy generators are

$$\epsilon_1 = \zeta_+ \otimes \eta_+^1 + \zeta_- \otimes \eta_-^1$$
, $\epsilon_2 = \zeta_+ \otimes \eta_\pm^2 + \zeta_- \otimes \eta_\pm^2$

where the upper (lower) sign is for type IIA (IIB), and

$$2\nabla_{\nu}\zeta_{-} = \pm \mu \gamma_{\nu}\zeta_{+} , \ \Lambda_{4d} = -3|\mu|^{2}.$$



In general: $SU(3)\times SU(3)$ structure, described by the polyforms²

$$\Psi_{\pm} \equiv -\frac{8i}{||\eta||^2} \sum_{p} \frac{1}{p!} \eta_{\pm}^{2\dagger} \Gamma_{m_1...m_p} \eta_{+}^1 dy^{m_1} \wedge \cdots \wedge dy^{m_p}.$$

In general: $SU(3)\times SU(3)$ structure, described by the polyforms²

$$\Psi_{\pm} \equiv -\frac{8i}{||\eta||^2} \sum_{n} \frac{1}{p!} \eta_{\pm}^{2\dagger} \Gamma_{m_1...m_p} \eta_{+}^1 \, dy^{m_1} \wedge \cdots \wedge dy^{m_p}.$$

The susy conditions (zero $\delta \psi_M$ and $\delta \lambda$) read (SF)

$$d_H \left(e^{3A-\phi} \Psi_2 \right) = 2i\mu e^{2A-\phi} \operatorname{Im} \Psi_1$$

$$d_H \left(e^{2A-\phi} \operatorname{Im} \Psi_1 \right) = 0$$

$$d_H \left(e^{4A-\phi} \operatorname{Re} \Psi_1 \right) = 3e^{3A-\phi} \operatorname{Re} \left(\bar{\mu} \Psi_2 \right) + e^{4A} *_6 F$$

Here

$$d_H = d - H \wedge , \ \Psi_1 = \Psi_{\pm} , \ \Psi_2 = \Psi_{+}.$$

GCG: the 10d superpotential

Introduction

$$\begin{split} d_H \left(e^{3A-\phi} \Psi_2 \right) &= 2i \mu e^{2A-\phi} \mathrm{Im} \, \Psi_1 \qquad (*) \\ d_H \left(e^{2A-\phi} \mathrm{Im} \, \Psi_1 \right) &= 0 \\ d_H \left(e^{4A-\phi} \mathrm{Re} \, \Psi_1 \right) &= 3e^{3A-\phi} \mathrm{Re} \left(\bar{\mu} \Psi_2 \right) + e^{4A} *_6 F \end{split}$$

These can be obtained as F- and D-flatness for³

$$W_{10d} = \int_{M_6} \langle Z, dT \rangle,$$

with $\mu \sim \langle W_{10\text{d}} \rangle$. The holomorphic fields are

$$Z = e^{3A - \phi} e^B \Psi_2$$
, $T = e^B (C + ie^{-\phi} \text{Re } \Psi_1)$.

Outlook

³[Koerber & Martucci '07-'08]

GCG: examples with SU(3) structure

Here
$$\eta_+^2 = -i e^{i\theta} \eta_+^1$$
 and in type IIB

$$\Psi_2 = \Psi_- = ie^{-i\theta}\Omega , \ \Psi_1 = \Psi_+ = e^{-i\theta} \exp(-iJ).$$

For D3/D7 susy: $\theta=0$, For D5 susy: $\theta=\pi/2$.

GCG: examples with SU(3) structure

Here $\eta_+^2 = -ie^{i\theta}\eta_+^1$ and in type IIB

$$\Psi_2 = \Psi_- = ie^{-i\theta}\Omega , \ \Psi_1 = \Psi_+ = e^{-i\theta} \exp(-iJ).$$

For D3/D7 susy:
$$\theta=0$$
 , For D5 susy: $\theta=\pi/2$.

while for type IIA (D6 susy) Ψ_1 and Ψ_2 exchange roles. Also,

$$W_{\mathrm{D3/D7}} = -\int_{M_{6}} \Omega \wedge G_{3},$$

$$W_{\mathrm{D5}} = -\int_{M_{6}} \Omega \wedge \left(F_{3} + ie^{-\phi}dJ\right)$$

$$W_{\mathrm{D6}} = \int_{M_{6}} (J - iB) \wedge \left(F_{4} + id[e^{-\phi}\operatorname{Re}\Omega]\right).$$

These describe the usual CY and conformal CY compactifications.



Including NP effects⁴

Let us add a D-brane wrapping an internal cycle Σ .

• Calibrated cycle \Rightarrow susy WV theory $\approx \mathcal{N}=1$ SYM \Rightarrow we assume $\langle \lambda \lambda \rangle \neq 0$ in the IR from strong coupling effects.

⁴[Koerber & Martucci '07, Dymarsky & Martucci '10] → ← □ → ← □ → ← □ → へ ○

Including NP effects⁴

Let us add a D-brane wrapping an internal cycle Σ .

• Calibrated cycle \Rightarrow susy WV theory $\approx \mathcal{N} = 1$ SYM \Rightarrow we assume $\langle \lambda \lambda \rangle \neq 0$ in the IR from strong coupling effects.

But

Introduction

$$S_{Dp}|_{\mathcal{F}^2} = -\frac{1}{8\pi} \int_{\Sigma} e^{-\phi} \operatorname{Re} \Psi_1 \int d^4x \sqrt{-g} \operatorname{Tr} \mathcal{F}^2 + \mathsf{WZ} \text{ term},$$

giving a holomorphic coupling

$$\tau = \int_{\Sigma} (C + ie^{-\phi} \operatorname{Re} \Psi_1)|_{\Sigma} = \int_{M_6} \langle T, \delta^{9-p}[\Sigma] \rangle.$$

⁴[Koerber & Martucci '07, Dymarsky & Martucci '10]> ← □ → ← □ → ← □ → へへ

Including NP effects⁴

Let us add a D-brane wrapping an internal cycle Σ .

• Calibrated cycle \Rightarrow susy WV theory $\approx \mathcal{N} = 1$ SYM \Rightarrow we assume $\langle \lambda \lambda \rangle \neq 0$ in the IR from strong coupling effects.

But

Introduction

$$S_{Dp}|_{\mathcal{F}^2} = -\frac{1}{8\pi} \int_{\Sigma} e^{-\phi} \operatorname{Re} \Psi_1 \int d^4x \sqrt{-g} \operatorname{Tr} \mathcal{F}^2 + \mathsf{WZ term},$$

giving a holomorphic coupling

$$\tau = \int_{\Sigma} (C + ie^{-\phi} \operatorname{Re} \Psi_1)|_{\Sigma} = \int_{M_6} \langle T, \delta^{9-p}[\Sigma] \rangle.$$

Take
$$W_{10\mathrm{d}} \to W_{10\mathrm{d}}^{(0)} + W_{\mathrm{NP}} \sim W_{10\mathrm{d}}^{(0)} + A \exp{[-a\tau(T)]}.$$
 \Rightarrow This will modify (at least) the 1st susy condition.

⁴[Koerber & Martucci '07, Dymarsky & Martucci '10] > ← □ > ← ■ > ← ■ > → へ ○

The new susy condition is

$$d_H\left(e^{3A-\phi}\Psi_2\right) = 2i\mu e^{2A-\phi}\operatorname{Im}\Psi_1 + 2i\langle S\rangle\delta^{9-p}[\Sigma].$$

The new susy condition is

Introduction

$$d_H\left(e^{3A-\phi}\Psi_2\right) = 2i\mu e^{2A-\phi}\operatorname{Im}\Psi_1 + 2i\langle S\rangle\delta^{9-p}[\Sigma].$$

Consequences for $g_{\mu\nu} \equiv \text{Mink}_4$

We see that $\delta^{9-p}(\Sigma)$ – the **Poincaré-dual** of the cycle Σ – becomes trivial in co-homology.

 $\Rightarrow \Sigma$ becomes trivial itself: it shrinks!^a





This is a **geometric transition**, where the localized source disappears, leaving only flux.

^a[García-Valdecasas Tenreiro & Uranga '17]

The new susy condition is

$$d_H \left(e^{3A - \phi} \Psi_2 \right) = 2i\mu e^{2A - \phi} \operatorname{Im} \Psi_1 + 2i\langle S \rangle \delta^{9-p} [\Sigma].$$

Consequences for $g_{\mu\nu} \equiv \mathsf{AdS}_4$

For $\mu \neq 0$,

Introduction

- **0-form comp:** Im $\Psi_1 = 0$ restricts the relative phase of $\eta_{1,2}$. For SU(3) structure this only leaves D3/D7 susy or "static SU(2)".
- (9-p)-form comp: Due to the CC term $\delta^{9-p}(\Sigma)$ is not trivial anymore
 - \Rightarrow this stops the cycle from shrinking!
- \Rightarrow we find a 10d **qualitative** manifestation of σ stabilization.

The new susy condition is

$$d_H \left(e^{3A - \phi} \Psi_2 \right) = 2i\mu e^{2A - \phi} \operatorname{Im} \Psi_1 + 2i\langle S \rangle \delta^{9-p} [\Sigma].$$

Consequences for $g_{\mu\nu} \equiv \mathsf{AdS}_4$

For D7-branes \Rightarrow 9-p=2 (and ${
m Im}\,\Psi_1$ not localized)

 \Rightarrow We need a 1-form in Ψ_2

 \Rightarrow SU(3) structure can not be enough (*). We need a more general structure (geometry) \subset SU(3) \times SU(3).

We propose a dynamical SU(2) structure in order to

- distinguish \parallel and \perp directions w.r.t to Σ_4 .
- re-obtain the conf. CY geometry at long distances.



Introduction

Including NP effects: an observation

By defining the generalized flux⁵

$$G = F + ie^{-4A}d_H \left(e^{4A - \phi} \operatorname{Re} \Psi_1\right)$$

the 3rd susy Eq. for $\mu=0$ can be recast as an ISD condition

$$\tilde{*}_6G = iG$$

• SU(3) str. and D3/D7 susy: the usual for $G_3 = F_3 + ie^{-\phi}H_3$.

Including NP effects: an observation

By defining the generalized flux⁵

$$G = F + ie^{-4A}d_H \left(e^{4A - \phi} \operatorname{Re} \Psi_1\right)$$

the 3rd susy Eq. for $\mu=0$ can be recast as an ISD condition

$$\tilde{*}_6G = iG$$

- SU(3) str. and D3/D7 susy: the usual for $G_3 = F_3 + ie^{-\phi}H_3$.
- the CC term and (probably) also the $\langle \lambda \lambda \rangle$ give new contributions

$$\Rightarrow$$
 IASD "flux"⁶.

⁵[Lüst, Marchesano, Martucci & Tsimpis '08]

Including NP effects: remarks and questions

- At least w.r.t. to this equation, the procedure is very general: it is not restricted to the KKLT scenario and D7-branes/E3-instantons. Can we find other examples?
- Can we say something at the quantitative level?
- For a KKLT-like situation, can we recover the critical value σ_* ?

Including NP effects: remarks and questions

- At least w.r.t. to this equation, the procedure is very general: it is not restricted to the KKLT scenario and D7-branes/E3-instantons. Can we find other examples?
- Can we say something at the quantitative level?
- For a KKLT-like situation, can we recover the critical value σ_* ?

We will try to answer some of these questions by studying a particular (somewhat simple) example.

Including NP effects: remarks and questions

Introduction

- At least w.r.t. to this equation, the procedure is very general: it is not restricted to the KKLT scenario and D7-branes/E3-instantons. Can we find other examples?
- Can we say something at the **quantitative** level?
- For a KKLT-like situation, can we recover the critical value σ_* ?

We will try to answer some of these questions by studying a particular (somewhat simple) example⁷.

Dynamic SU(2) structure...

One generalizes the set of inv. tensors

$${J,\Omega} \longrightarrow {\Theta,J_2,\Omega_2}$$

Dynamic SU(2) structure...

One generalizes the set of inv. tensors

$${J,\Omega} \longrightarrow {\Theta,J_2,\Omega_2}$$

Here

$$\eta_+^{2\dagger}\eta_+^1 = i\cos\varphi$$
, $\eta_-^{2\dagger}\gamma_m\eta_+^1 = i\sin\varphi\Theta_m$.

The angle φ is position-dependent and characterizes the angle between η_1 and η_2 : the deviation from SU(3).

Dynamic SU(2) structure...

One generalizes the set of inv. tensors

$${J,\Omega} \longrightarrow {\Theta,J_2,\Omega_2}$$

Here

$$\eta_+^{2\dagger}\eta_+^1 = i\cos\varphi$$
, $\eta_-^{2\dagger}\gamma_m\eta_+^1 = i\sin\varphi\Theta_m$.

The angle φ is position-dependent and characterizes the angle between η_1 and η_2 : the deviation from SU(3). Thus,

$$\Psi_{+} = e^{\frac{1}{2}\Theta\wedge\bar{\Theta}} \wedge \left[\cos\varphi\left(1 - \frac{1}{2}J_{2}^{2}\right) + \sin\varphi\operatorname{Im}\Omega_{2} - iJ_{2}\right],$$

$$\Psi_{-} = \Theta \wedge \left[\sin\varphi\left(1 - \frac{1}{2}J_{2}^{2}\right) - \cos\varphi\operatorname{Im}\Omega_{2} + i\operatorname{Re}\Omega_{2}\right].$$

. and \mathbb{P}^2 -cone geometry

We take a non-compact example: the Σ_4 at the bottom of the resolution of

$$\mathbb{C}_3/\mathbb{Z}_3: \ z^i \sim e^{2\pi i/3} z^i \ , \ u^{1,2} = \frac{z^{1,2}}{z^3} \ , \quad z = \frac{1}{3} (z^3)^2 ,$$

with radial coordinate

$$\rho^2 = (3|z|)^{2/3} \left(1 + |u_1|^2 + |u_2|^2 \right) , \ r = \rho^3/3 \to_{\mathsf{near} \ \Sigma_4} r_z.$$

The cycle is at z=0,

.. and \mathbb{P}^2 -cone geometry

We take a non-compact example: the Σ_4 at the bottom of the resolution of

$$\mathbb{C}_3/\mathbb{Z}_3: \ z^i \sim e^{2\pi i/3} z^i \ , \ u^{1,2} = \frac{z^{1,2}}{z^3} \ , \quad z = \frac{1}{3} (z^3)^2,$$

with radial coordinate

$$\rho^2 = (3|z|)^{2/3} \left(1 + |u_1|^2 + |u_2|^2\right) , \ r = \rho^3/3 \to_{\mathsf{near}\ \Sigma_4} r_z.$$

The cycle is at z=0, and we have the susy conditions

$$d\left[e^{3A-\phi}\sin\varphi\Theta\right] = 2i\mu e^{2A-\phi}\left(\cos\varphi J_1 + J_2\right) - \frac{2i\langle S\rangle\delta^2[z]}{d\left[e^{2A-\phi}\left(\cos\varphi J_1 + J_2\right)\right]} = 0.$$

[Loc. term missing before]



Solution: regimes and Matching

Near- Σ_4 region:

- everything depends on $r \approx |z|$.
- at first order the δ -term wins: we can approximate $\mu = 0$.

$$\Theta = F(r) \frac{dz}{z}$$
 and susy cond. $\Rightarrow F(r) \sin \varphi = c = \langle \lambda \lambda \rangle$

Solution: regimes and Matching

Near- Σ_4 region:

- everything depends on $r \approx |z|$.
- at first order the δ -term wins: we can approximate $\mu = 0$.

$$\Theta = F(r) \frac{dz}{z}$$
 and susy cond. $\Rightarrow F(r) \sin \varphi = c = \langle \lambda \lambda \rangle$

Now extend the definition far away:

$$\Theta = r \, \frac{dz}{z} \to \frac{\partial r^2}{r}.$$

Then $d [\sin \varphi \Theta] = 2i\mu (\cos \varphi J_1 + J_2)$ implies

$$F(r)\sin\varphi = \mu e^{2L_2(r)}$$

Near- Σ_4 region:

Introduction

- everything depends on $r \approx |z|$.
- at first order the δ -term wins: we can approximate $\mu = 0$.

$$\Theta = F(r) \frac{dz}{z}$$
 and susy cond. $\Rightarrow F(r) \sin \varphi = c = \langle \lambda \lambda \rangle$

Now extend the definition far away:

$$\Theta = r \, \frac{dz}{z} \to \frac{\partial r^2}{r}.$$

Then $d [\sin \varphi \Theta] = 2i\mu (\cos \varphi J_1 + J_2)$ implies

$$F(r)\sin\varphi = \mu e^{2L_2(r)}$$

Matching: $\langle \lambda \lambda \rangle = \mu e^{2l_2}$

Re-interpreting the matching condition

$$\langle \lambda \lambda \rangle = \mu e^{2l_2}$$

Now we can use

$$\mu = e^{K/2} W_{\text{KKLT}} = \frac{W_0 + W_{\text{NP}}}{(2\sigma)^{3/2}},$$

together with⁸

$$W_{\rm NP} \sim \langle \lambda \lambda \rangle \sim A e^{-a\sigma_*}$$
 and $e^{2l_2} \propto \sqrt{2\sigma}$.

Re-interpreting the matching condition

$$\langle \lambda \lambda \rangle = \mu e^{2l_2}$$

Now we can use

Introduction

$$\mu = e^{K/2} W_{\text{KKLT}} = \frac{W_0 + W_{\text{NP}}}{(2\sigma)^{3/2}},$$

together with⁸

$$W_{\rm NP} \sim \langle \lambda \lambda \rangle \sim A e^{-a\sigma_*}$$
 and $e^{2l_2} \propto \sqrt{2\sigma}$.

 \Rightarrow the matching condition implies

$$W_0 = -Ae^{-a\sigma_*} (1 + \# a \, \sigma_*)$$

Conclusions and outlook

By trying to include the $\langle\lambda\lambda\rangle$ superpotential in 10d and studying its back-reaction we argued that

- the presence of the CC term stops the shrinking of the cycle wrapped by the branes.
- for D7's SU(3) structure is not enough to describe the internal geometry.
- this 10d description of Kähler moduli stabilization can be made precise by matching solutions near and far away from the branes.
- Can we find a complete solution?
- Can we compute the constant #? Is it model dependent?
- Can we use this to describe other small susy AdS₄ solutions?
- Can we use this say something new about the uplift do dS?



Thank you for your attention! Any questions?