# A classification of Scalar-Tensor theories: Applications to Cosmology and Astrophysics

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### Plan of the talk

- 1. Higher-Order Scalar-Tensor Theories in a nutshell : a very brief "history"
- 2. The DHOST Classification
- 3. Cosmology, Astrophysics and Constraints

1. Higher-Order Scalar-Tensor Theories in a nutshell General Relativity is a beautiful theory...

Space-time is described in terms of Lorentz geometry in total agreements with today observations...

# ... But it has limits

- Planck scale : UV completion of General Relativity.
- Very large (cosmological) scales : dark energy?
  - Accelerated expansion of the universe leads to troubles
- ⇒ Going beyond General Relativity : Modifications of GR to test the gravitational interaction at these different scales and to propose deviations that we can constrain...

### Narrow window of tests of General Relativity



#### Uniqueness of General Relativity with a cosmological constant :

- Hypothesis 1 : Space-time is of dimension 4 (+ symmetries)
- Hypothesis 2 : Gravity is described by a metric (spin 2) only
- Hypothesis 3 : Euler-Lagrange equations are second order
- $\Rightarrow$  Lovelock theorem (1971) : Einstein gravity + Cosmological constant

$$S[g_{\mu
u}] = rac{c^4}{16\pi G_N}\int d^4x \sqrt{-g}\left(R-2\Lambda
ight)$$

#### No much room available for alternative theories...

# Scalar-Tensor theories : the gravitons and the scalar

### Relax some of the hypothesis of Lovelock Theorem

- Gravity comes with a scalar field  $\phi$ : a fifth force which is expected to be responsible for dark energy  $\implies$  Scalar-Tensor theories
- Equations of motion are not necessarily second order PDE

#### **Motivations**

- Adding a scalar is the simplest possibility, but there exist other interesting scenarii : massive gravity, bi-gravity, vectors, extra-dimensions...  $\rightarrow$  Most of them contain a scalar mode
- Higher order equations because the dynamics of gravity is governed by an action with second order derivatives  $(\partial_{\mu}\partial_{\nu}g_{\rho\sigma} \rightarrow \partial_{\mu}\partial_{\nu}\phi)$ : very rich phenomenology!
- \* The landscape of Scalar-Tensor theories has evolved a lot in the last 20 years : from Brans-Dicke to DHOST theories...

First order Scalar-Tensor theories : from Brans-Dicke to K-essence

The metric comes with a scalar field with kinetic energy  $X \equiv g^{\mu\nu}\phi_{\mu}\phi_{\nu}$ 

- Brans-Dicke like theories :  $S_{\rm BD}[g_{\mu\nu},\phi] = \int d^4x \sqrt{-g} \left[F(\phi)R Z(\phi)X U(\phi)\right]$
- K-essence theories :  $S_{\rm K}[g_{\mu
  u},\phi]=\int d^4x \sqrt{-g}\left[F(\phi)R+G_2(\phi,X)
  ight]$

Possibility of non-minimal coupling to external matter fields

$$S_{
m mat}[g_{\mu
u},\phi;\psi] = \int d^4x \sqrt{-g} \, A(\phi) \, g^{\mu
u} \partial_\mu \psi \, \partial_
u \psi$$

 $\implies$  Violation of the equivalence principle : severe constraints of non-minimal couplings

• Very interesting phenomenology for Inflation and Dark energy

Brans, Dicke (1961) - Armendariz-Picon, Damour, Mukhanov (1999) - Armendariz-Picon, Mukhanov, Steinhardt (2000) - Damour, Esposito-Farese (1992)

# Higher-order Scalar-Tensor theories : from DGP to Horndeski and beyond

The Dvali-Gabadadze-Porrati model : a breakthrough

• Decoupling limit of the 5D DGP model leads to the cubic Galileon

$$S_{ ext{cubic}}[g_{\mu
u},\phi] = \int d^4x \sqrt{-g} \left[F(\phi)R + G_2(\phi,X) + G_3(\phi,X)\Box\phi
ight]$$

 $\Rightarrow$  Novelty : higher order Lagrangians but still second order eom.

#### Very interesting (Late) cosmological phenomenolgy

- $\diamond$  Consider the example Lagrangian :  $L[g_{\mu\nu}, \phi] = F(\phi)R + X \frac{1}{2\Lambda^3}X\Box\phi$
- Self-accelerating solutions :  $\Lambda \sim ({\it M_{\rm P}} H_0^2)^{1/3}$  where  ${\it H_0}$  : Hubble constant
- Vainshtein screening :  $r_V \sim (\mathcal{M}/(M_{
  m P}\Lambda^3))^{1/3}$  (If  $\mathcal{M}=M_{\odot}$  then  $r_V \sim 100 pc$ )

# Horndeski theories : Second order equations of motion

Generalization of DGP model

$$S_{\rm H}[g_{\mu\nu},\phi] = \int d^4x \sqrt{-g} \left( G_2 + G_3 \Box \phi + G_4 R + 2G_{4X} \left[ \phi_{\mu\nu} \phi^{\mu\nu} - (\Box \phi)^2 \right] + \cdots \right)$$

• Each "coefficient" is a function  $G_A(\phi, X)$  with  $X \equiv g^{\mu
u} \phi_\mu \phi_
u$ 

#### Why Horndeski theories are so interesting?

- Self-accelerating solutions without cosmological constant, screening, etc.
- General belief : most general relevant Scalar-Tensor theories.
- $\implies$  It provides a parametrization of consistant modifications of GR (EFT) : a large and interesting framework to test GR at large scales but not only (Inflation, BH).
- $\rightarrow$  But this is not the end of the story as many "viable" theories do not belong to the Horndeski class : GLPV, mimetic, cuscuton etc.  $\implies$  Need of a classification...

Horndeski (1974) - Nicolis, Rattazzi, Trincherini (2008) - Deffayet, Esposito-Farese (2009) - Deffayet, Deser, Esposito-Farese (2009) -Deffayet, Gao, Steer, Zahariade (2011) - Kobayashi, Yamaguchi, Yokoyama (2011) - Chamseddine, Mukhanov (2013) - Gleyzes, Langlois, Piazza, Vernizzi (2015)

# 2. The DHOST Classification

### **Classification of Higher-Order Theories**

Most general "viable" Scalar-Tensor theories with Lagrangians that contains (up to) second order derivatives. The eom are not necessary second order but (at most) one scalar propagates in addition to the two gravitons.



# Toy-model : Higher order particle and the Ostrogradsky ghost

Dynamics of a higher order point like particle  $\phi(t)$ <u>Action</u>:  $S[\phi(t)] = \frac{1}{2} \int dt \left( \dot{\phi}^2 - \omega^2 \phi^2 + \alpha \ddot{\phi}^2 \right)$ , <u>EoM</u>:  $\ddot{\phi} + \omega^2 \phi - \alpha \dot{\phi} = 0$ .

### **Degrees of Freedom**

• Need of 4 initial conditions :  $\phi(0)$ ,  $\dot{\phi}(0)$ ,  $\ddot{\phi}(0)$  and  $\ddot{\phi}(0) \Longrightarrow 2$  particles propagate !

Unbounded (from above and below) energy

• An equivalent Lagrangian for the two degrees of freedom

$$\mathcal{L}[\phi,\psi] = \frac{1}{2} \left[ \dot{\phi}^2 - \omega^2 \phi^2 + \alpha (2\dot{\phi}\dot{\psi} - \psi^2) \right] = \frac{1}{2} \left[ (\dot{\phi} + \alpha\dot{\psi})^2 - \alpha^2\dot{\psi}^2 - \omega^2\phi^2 - \alpha\psi^2 \right]$$

 $\implies$  The extra DoF is a ghost : there is an instability. This is the Ostrogradsky ghost.

Ostrogradski (1850)

# Degenerate higher order theories - Evading the Ostrogradsky ghost

Coupling two particles  $\phi(t)$  and q(t) $S[\phi, q] = \frac{1}{2} \int dt \left( \dot{\phi}^2 - \omega^2 \phi^2 + \alpha \ddot{\phi}^2 + \dot{q}^2 - \omega^2 q^2 + 2\alpha \ddot{\phi} \dot{q} \right)$ EoM :  $\ddot{\phi} + \omega^2 \phi - \alpha \ddot{\phi} - \alpha \ddot{q} = 0$  and  $\ddot{q} + \omega^2 q + \alpha \ddot{\phi} = 0$ .

How many Degrees of Freedom?

Not easy to guess... In general, such a theory propagates 3 DOF :  $\phi$ , q and the ghost !

Evading Ostrogradski instability

• Here, the theory is DEGENERATE  $\implies$  NO GHOST !

$$S[\phi,q]=rac{1}{2}\int dt\left(\dot{Q}^2+\dot{\phi}^2-\omega^2\phi^2-\omega^2X^2
ight), \;\; Q=q+lpha\dot{\phi}$$

Langlois, Noui (2016) - Motohashi, Noui, Suyama, Yamaguchi, Langlois (2016) - de Rham, Matas (2016) - Crisostomi, Klein, Roest (2017)

# The (quadratic) DHOST Lagrangian

 $G_{2} + G_{3} \Box \phi + G_{4} R + A_{1} \phi_{\mu\nu} \phi^{\mu\nu} + A_{2} \Box \phi^{2} + A_{3} \Box \phi \phi^{\mu} \phi^{\nu} \phi_{\mu\nu} + A_{4} (\phi_{\mu\nu} \phi^{\nu})^{2} + A_{5} (\phi_{\mu\nu} \phi^{\mu} \phi^{\nu})^{2}$ 

• 3 relations between  $G_4$  and  $A_1 \implies$  3 free functions in the quadratic part of action



Disformal transformations of the metric

$$g_{\mu
u}\mapsto \widetilde{g}_{\mu
u}=\mathcal{C}(\phi,X)g_{\mu
u}+\mathcal{D}(\phi,X)\phi_{\mu}\phi_{
u}\,,\qquad \mathcal{S}[g_{\mu
u},\phi]=\widetilde{\mathcal{S}}[\widetilde{g}_{\mu
u},\phi]$$

Degeneracy is preserved by disformal transformations : one identifies disformal classes.

3. Cosmology, Astrophysics and Constraints

# **Cosmology and Linear Perturbations**

Quadratic action for the perturbations  $\zeta$  (scalar) and  $\gamma_{ij}$  (tensors)

• One considers a cosmological background a(t) with self-acceleration

$$S = \int dt \, d^3x \, a^3 \left\{ \frac{M^2}{2} A\left[ \dot{\zeta}^2 - c_s^2 \frac{(\partial \zeta)^2}{a^2} \right] + \frac{M^2}{8} \left[ \dot{\gamma}_{ij}^2 - c_T^2 \frac{(\partial \gamma_{ij})^2}{a^2} \right] \right\}$$

- Tensor modes do not propagate at speed of light in general :  $c_T^2 = \frac{G_4}{G_4 XA_1}$
- GW feel the fifth force and propagate in a medium

DHOST After GW170817 :  $|c_T - 1| < 3.10^{-15}$ 

- Severe constraints on DHOST actions if taken strictly :  $A_1 = 0$  etc.
- But rainbow argument : limit of validity of DHOST at GW scale

Langlois, Mancarella, Noui, Vernizzi (2017) - Creminelli, Vernizzi (2017) - Ezquiaga, Zumalacarregui (2017) - Sakstein, Jain (2017) - de Rham, Melville (2018) - Creminelli, Lewandowski, Tambalo, Vernizzi (2018)

### DHOST Theories as Effective Field Theories : $\Lambda \sim 300$ Hz



Gravitational Rainbow by de Rham and Melville (2018) GW170817 probes DHOST Theories at its limit of validity :  $\Lambda = (H_0^2 M_P)^{1/3} \sim 300 Hz$  Quasi-static approximation :  $Hr \ll 1$ 

$$ds^2 = -(1+2\Phi)dt^2 - (1-2\Psi)dx^2, \quad \phi = \phi_c(t) + \chi(r)$$

$$\begin{split} & \text{Gravitational laws} \\ & \frac{d\Phi}{dr} = \frac{G_{\text{N}}\,\mathcal{M}(r)}{r^2} + \Xi_1\,G_{\text{N}}\,\mathcal{M}''(r)\,, \\ & \frac{d\Psi}{dr} = \frac{G_{\text{N}}\,\mathcal{M}(r)}{r^2} + \Xi_2\frac{G_{\text{N}}\,\mathcal{M}'(r)}{r} + \Xi_3\,G_{\text{N}}\,\mathcal{M}''(r) \\ & \text{with} \quad (8\pi G_{\text{N}})^{-1} \equiv 2f\,(1 + \Xi_0) \end{split}$$

#### Modifications of Newton laws can be constrained

Theoretical bound :  $\Xi_1 > -1/6 \star$  With non-relativistic stars :  $-1/12 < \Xi_1 < 0.2$ 

Conclusion

### Systematic study of large class of modified gravity theories

- Full classification of DHOST theories with NO GHOST
- Very interesting applications to (late time) cosmology

Applications to Black Holes : very rich physics

- Parametrization of consistent deviations from GR
- Background solutions  $\implies$  Imaging of the solution (see Meudon)
- Perturbations about these solutions : subtle entanglement between the scalar and the polar gravitational mode
- New techniques for computation of QNM (H. Roussille, D. Langlois and KN)