

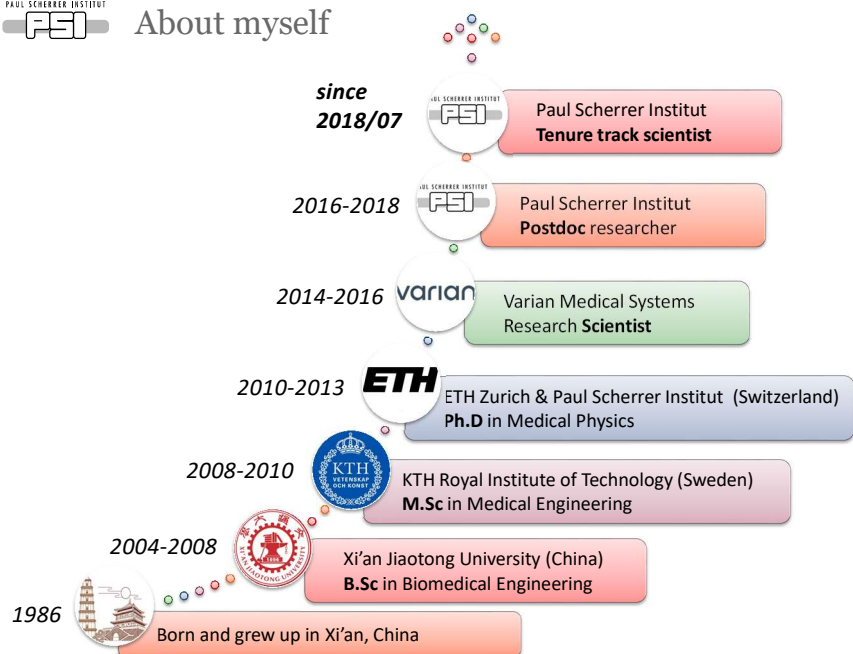


Ye Zhang, PhD :: tenure-track scientist :: Centre for Proton Therapy :: PSI

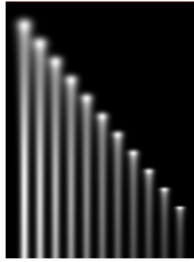
## Pencil Beam Scanned proton therapy: Challenges and Opportunities for the 4D treatments



### About myself



## Contents of this presentation



- The “beauty” of PBS and its current clinical status
- Challenges for PBS mobile tumour treatment
- Opportunity for Motion mitigation approaches
- Opportunity for Image guidance - offline/online
- Remaining uncertainties
- Outlooks and future research directions

## The principle of radiation therapy

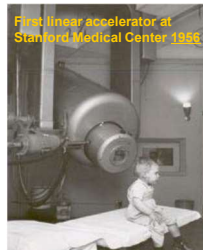
- Energy deposited by radiation (dose = J/kg or Gray) can sterilize cells through the production of free-radicals inside the cell.
- The higher the delivered dose to the whole tumour, the higher the probability of controlling it.



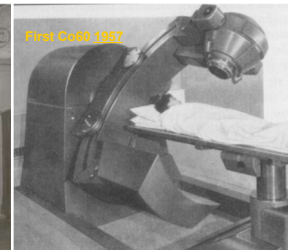
Cancer treatment with Radium bomb in 1917

BUT...

- Normal tissues will also be damaged and sterilized by irradiation in a similar way.



First linear accelerator at Stanford Medical Center 1955



First Co-60 1957

**The art of radiotherapy then, is to concentrate the dose in the tumour whilst sparing the surrounding normal tissues as much as possible.**

## Driven force for innovation in RT



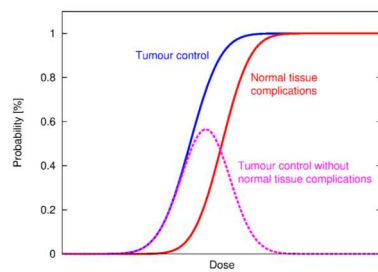
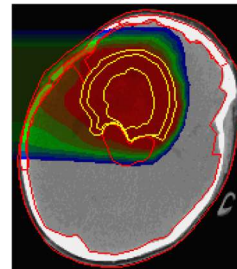
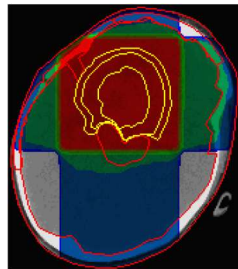
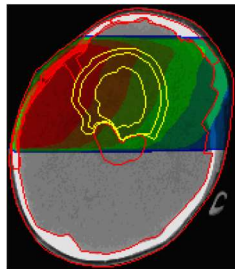
<dose is a surrogate for the biological effect>

Main goal of radiation therapy is to **Put the dose to where the target is**

This means

- the right = necessary amount of dose
- in optimized = most efficient and best tolerable fractionation
- to the correct location = large enough, but as small and conformal as possible

## TCP and NTCP



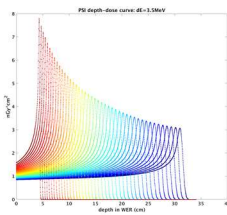
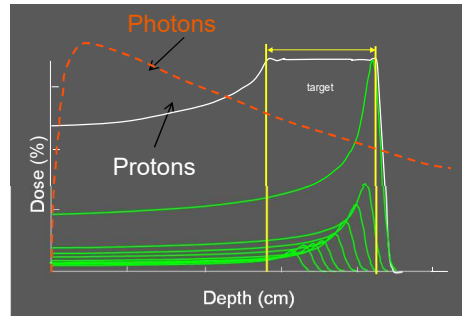
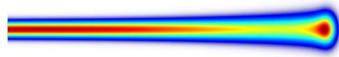
**TCP** Tumour control probability – generally a term used in modelling tumour radiation responses

**NTCP** Normal-tissue complication probability – generally a term used in modelling normal-tissue radiation responses

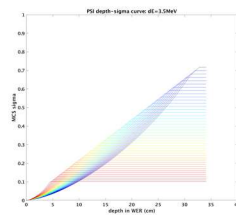
**Optimization:**  
Maximize **TCP** and minimize **NTCP**

## Physical advantages of proton therapy

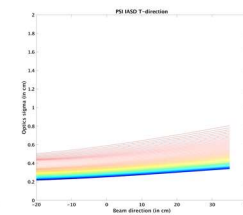
- dose conform to target
- dose reduced to OARs
- flexible for dose delivery
- less second neutrons



Proton depth-dose curve



Nuclear Coulomb scattering



Initial beam optics

## Beam delivery system for proton therapy



Cyclotron

- For therapy application
- Energy: 75-245 MeV
  - Range: 5-35 cm in water

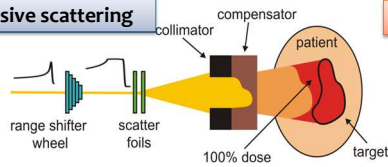
- Stable and continuous beam
- High beam current
- Intensity modulation
- Single particle (proton)
- Fixed energy



Synchrotron

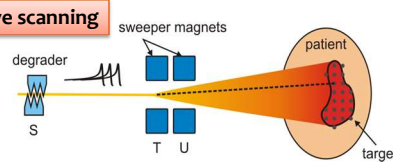
- High energy
- Any particle
- Low radioactivity
- Limited average intensity (ring filling)
- Spill structure – discontinued beam
- Noisy beam intensity

### Passive scattering



- Delivery dose to the whole target **simultaneously**

### Active scanning



- Delivery dose to the whole target **sequentially**

## Lateral spread: *Active scanning*

- Charged particles can be directed by electromagnetic fields
- Experimental set-up to demonstrate technical feasibility of scanning with protons (PSI, 1990)
- For conformal scanning
  - Scanner magnets *and / or*
  - Patient table motion
- Similar developments also at GSI (Germany) and Japan

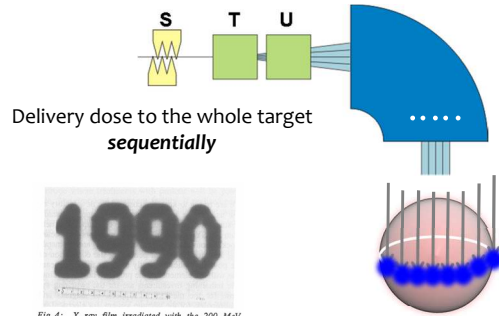
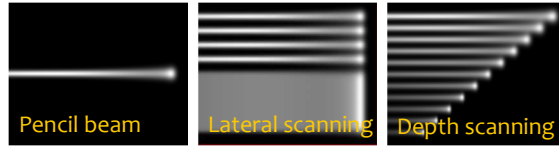
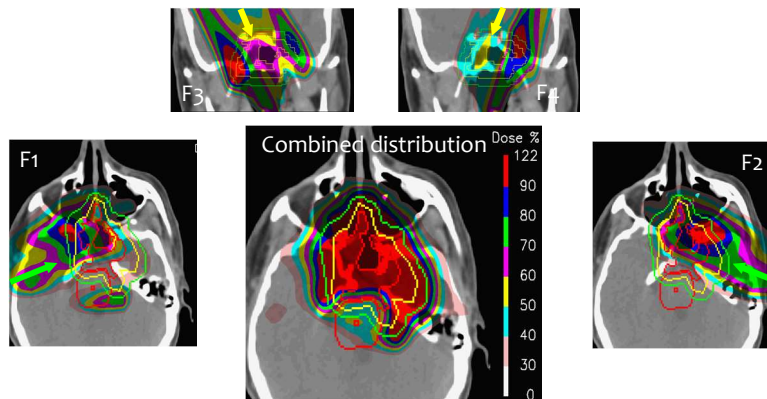


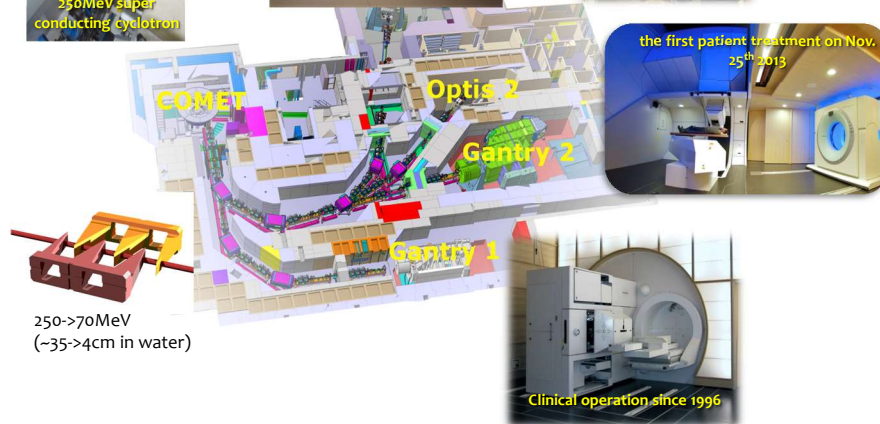
Fig. 4: X ray film irradiated with the 200 MeV proton beam using the spot scanning method.

## IMPT - Intensity Modulated Proton Therapy

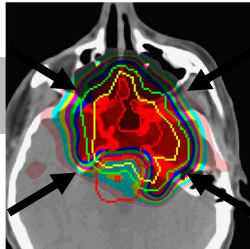


Each individual field is highly in-homogenous (in dose) across the target volume (c.f. SFUD plans)

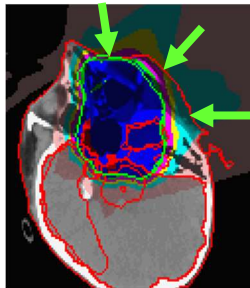
# Proton Therapy in Paul Scherrer Institut



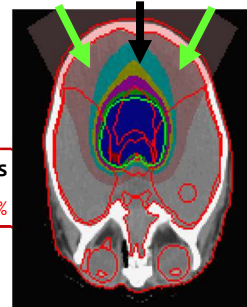
# Clinical outcomes



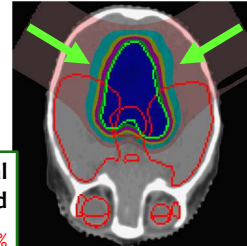
**Skull base chordomas**  
5y Local control: 80%



**Parameningeal Rhabdomyosarcomas**  
5y Local control: 73%



**Ependymomas**  
5y Local control: 78%

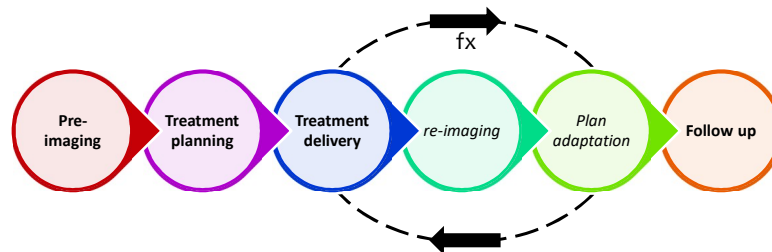


**Atypical Teratoid/Rhabdoid**  
2y Local control: 66%



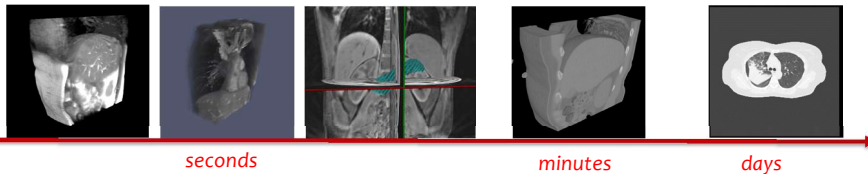
## Challenges for PBS PT for mobile tumour treatment

## Organ motion and 4D treatment

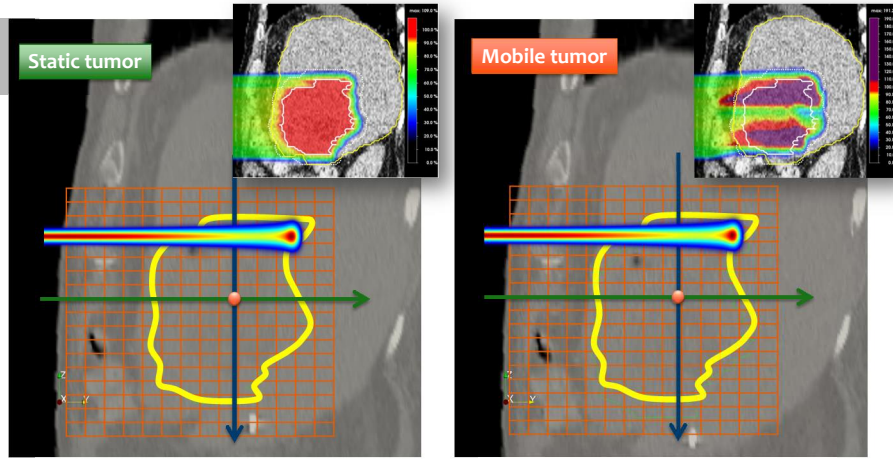


Generally, “motion” can be interpreted as any geometric *difference* occurring between the phase of planning and the phase of dose delivery

- Intra-fractional: respiration, heart beating (deformable movement)
- *in between*: digesting movement, muscle relaxation (deformation, drift)
- Inter-fractional: anatomy variation (grows, shrink, shift)



## Uncertainties for *intra*-fractional motion

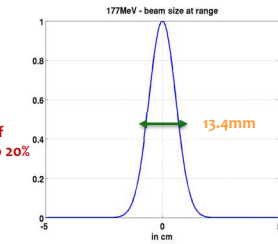
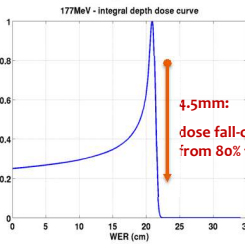
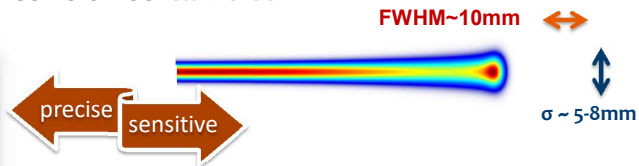
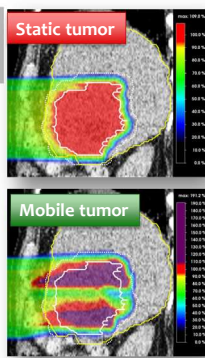


Effects of respiratory motion on dose uniformity with a charged particle scanning method

Mark H. Phillips, Eros Pedroni, Hans Blattmann, Terence Boehringer, Adolf Coray and Sietas Schibli  
Paul Scherrer Institute, CH-5234 Villigen-PSI, Switzerland

Received 21 December 1996, in final form 25 July 1991 PMB 1992

## Characteristics of the motion-induced dosimetric uncertainties



- lateral dose conformity
- dose homogeneity
- distal dose conformity

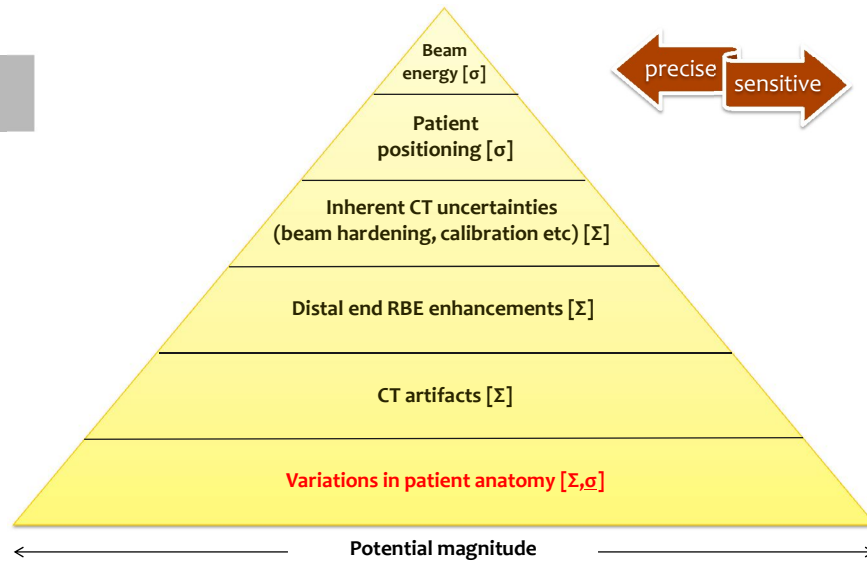
Universal for any external RT

only for scanning delivery due to lateral narrow beam profiles <sup>id</sup>

for particle therapy due to the sharp bragg peak fall-off



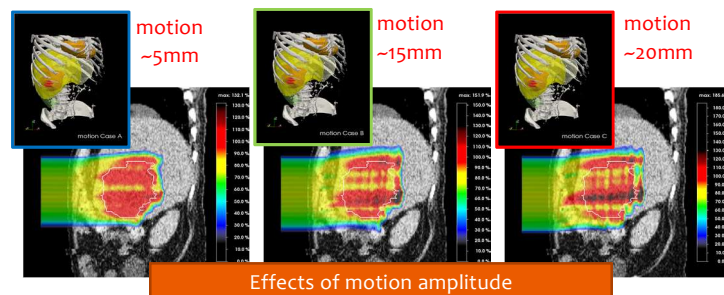
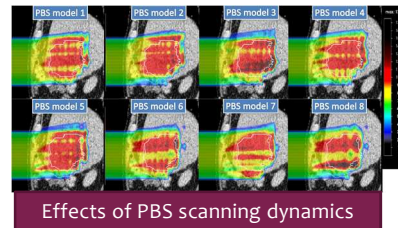
## Challenges in PT – dealing with uncertainties



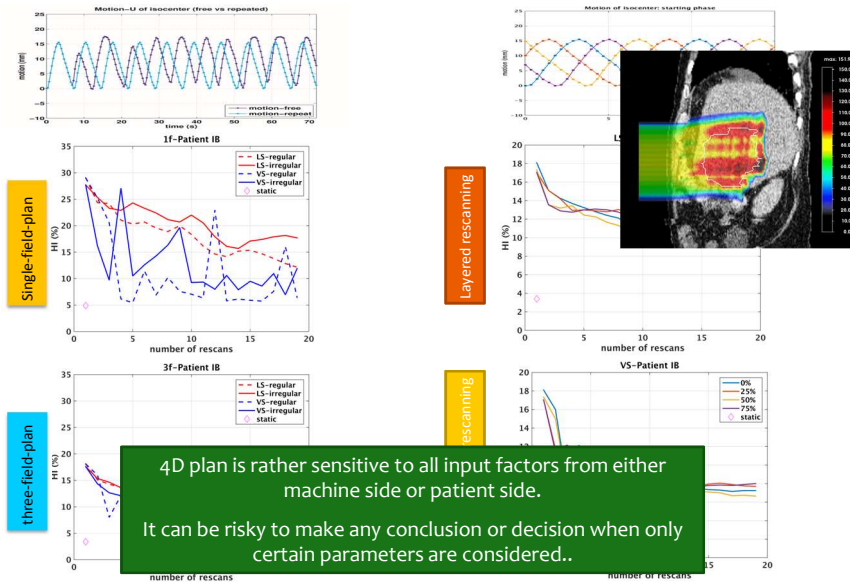
Courtesy of Prof. Dr. Tony Lomax

## Interplay effects for 4D PBS proton treatments

- The **interplay effect** are significant, individualized and can be influenced by many 4D parameters
- **4D dose distribution** patterns is very difficult to predict without appropriate modelling the dynamics from both *moving organ* and *PBS-based dose delivery*



## Sensitivity due to 4D input parameters



## Overview of the 4D parameters

1. Patient geometry
2. Field direction
3. Field arrangement
4. PBS beam data
5. Spot distance
6. Energy layer distance
7. Prescribed dose
8. Fractionation scheme
9. 3D plan – density
10. 3D plan – geometry: CTV/gITV/rITV
11. Scanning path/direction
12. Amplitude
13. Irregularity
14. Deformation
15. Lateral position: Raster or spot
16. Dose rate: varied or constant
17. Energy switching time
18. Starting phase and combination for multi-fields
19. Rescan type and number
20. Combined with gating: GWs, surrogate
21. Combined with Tracking
22. 4D optimization



It is really a high dimension problem ...

Anything would change timeline is matter for 4D result

### Motion pattern and variation

11. Period
12. Amplitude
13. Irregularity
14. Deformation

### Beam delivery dynamics

15. Lateral position: Raster or spot
16. Dose rate: varied or constant
17. Energy switching time

18. Starting phase and combination for multi-fields

### Motion mitigation approach

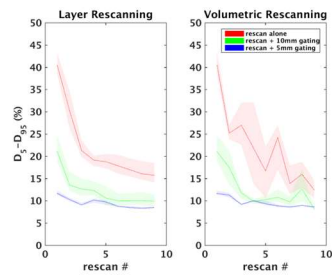
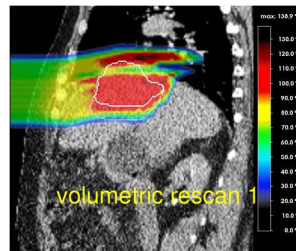
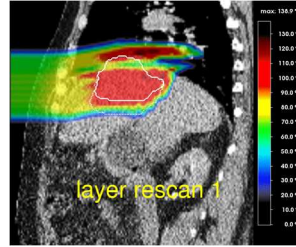
19. Rescan type and number
20. Combined with gating: GWs, surrogate
21. Combined with Tracking
22. 4D optimization

## 4D dose calculation – the approach for assessing motion effects and uncertainties

4DDC consists of **two** components:

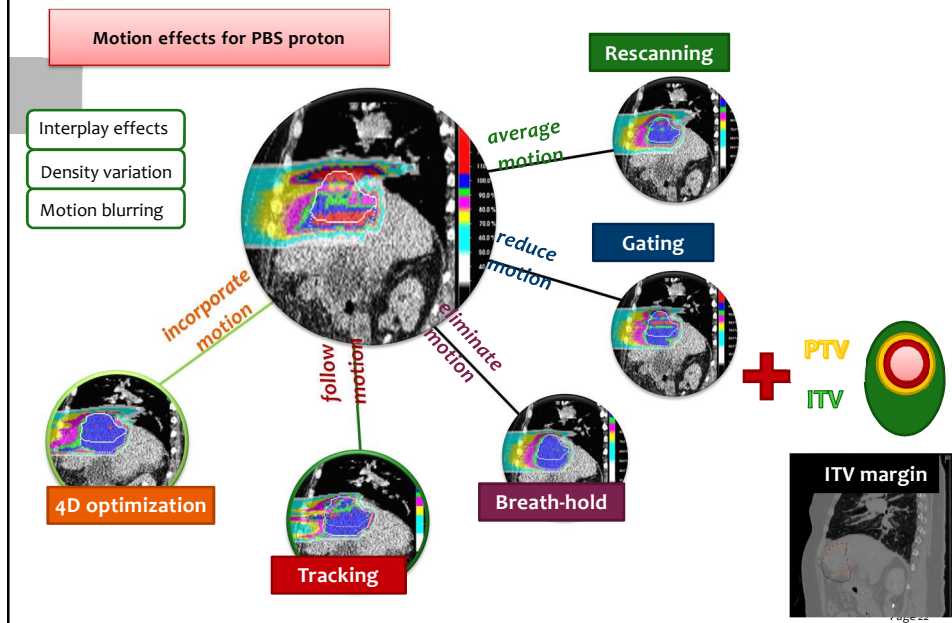
- time resolved PBS dose calculation
- 4D patient model – quantified by deformable motion fields

It can provide a systematical evaluation for understanding the 4D problems for individual patient under specific 4D scenarios



Zhang et al 2016 RO

## Portfolio for motion mitigation strategies



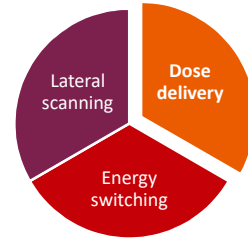
## Breath-hold: request fast dose delivery

### Technical challenges

- Max. beam current for Cyclotron
- Energy dependent beamline transmission
- Max. acceptable beam current for beam diagnostic
- Achieve the minimal spot duration

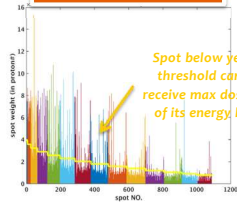
### Plan requirements

- high spot weight dynamics with each energy layer varies up to 10 times
- More low weight spots due to rescanning
- Capability to irradiate small weight spot

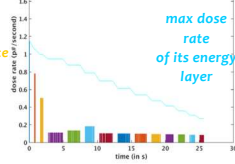


Reducing field delivery time by increasing proton beam current?

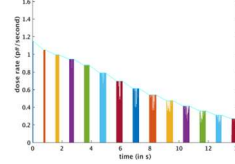
### Example clinical plan



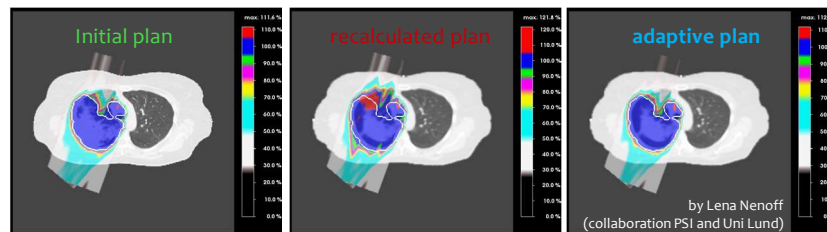
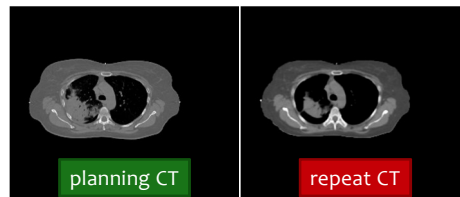
### layer-wise adjustment



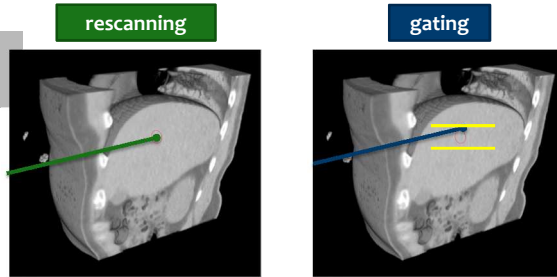
### spot-wise adjustment



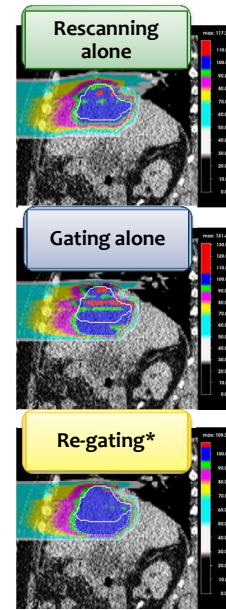
## Then... Handling the problem to inter-fractional motion -> online plan adaptation



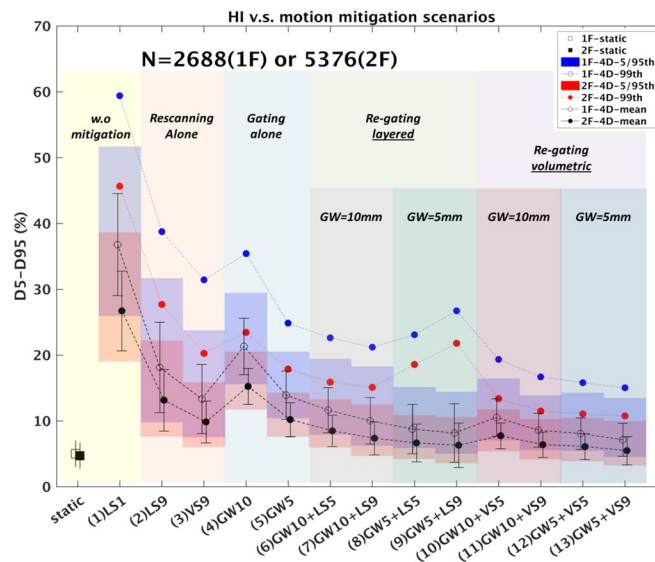
## Re-gating: a practical approach



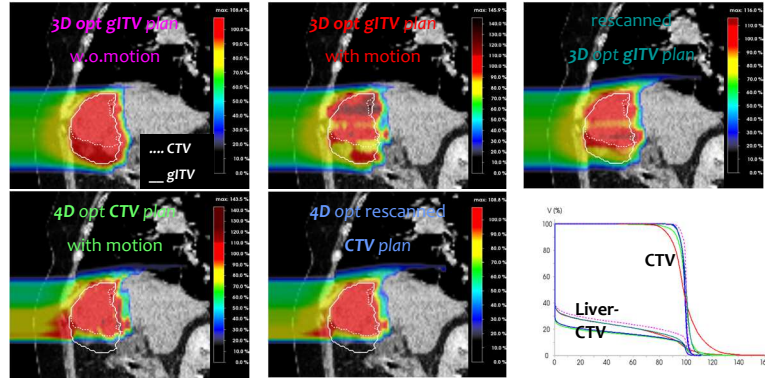
- easy to implement
- prolonged treatment duration
- extended irradiation volume
- appropriate ITV predefined
- scanning dynamic dependent
- need sufficient rescan number
- Statistical averaging is insufficient for **large** motion
- not difficult to implement
- prolonged treatment duration
- Restricted target volume expansion
- need to tackle the residual motion (with rescanning)
- need online motion monitoring and correlation
- need to update gating window (baseline shift)



## A comprehensive evaluation of re-gating



## 4D optimization: making 4D plan motion robust by itself



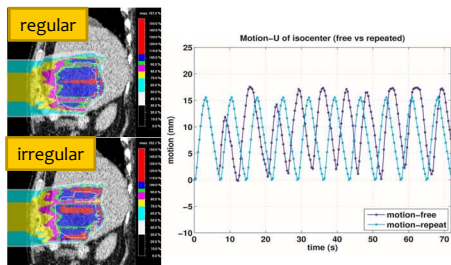
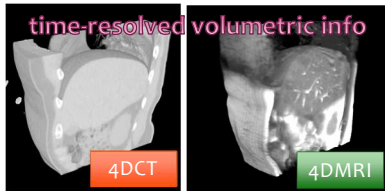
**Advantage**  
No prolonged delivery time  
No extended ITV margin

**Disadvantage**  
Sensitive to difference in motion for optimization and for delivery  
(if motion used by 4D optimization was not reproducible for validation, optimized dose homogeneity would collapsed)

Graeff et al 2014 PMB  
Bernatowicz et al 2017 PMB  
Zhang et al ESTRO2018, AAPM2018  
Engwall et al MP 2018



## How to obtain accurate and reliable motion?

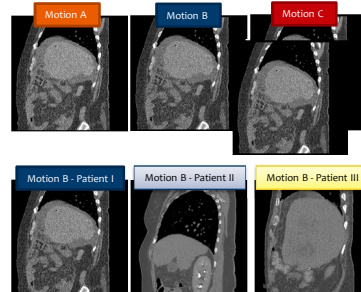


4D patient is "less reproducible" than a PBS proton machine. The caught motion is only a snapshot ...

=> online image guidance is important for QA

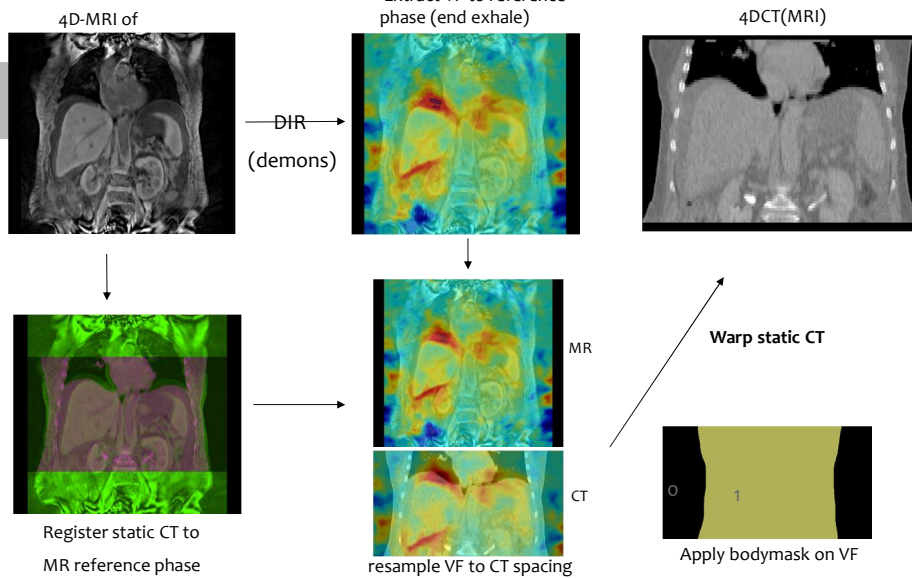
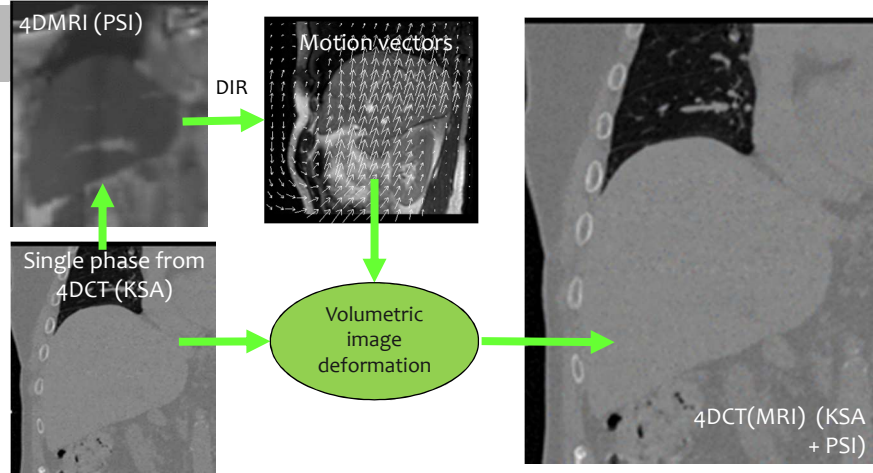
### Synthetic 4DCT-MRI

- Single patient geometry modulated by different deformable motions
- Different patient geometries modulated by the "same" motion
- Single patient geometry modulated by regular or irregular motion patterns





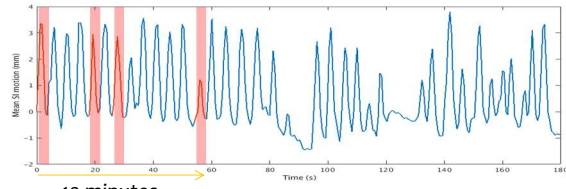
# The synthetic 4DCT-MR approach



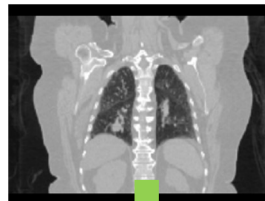
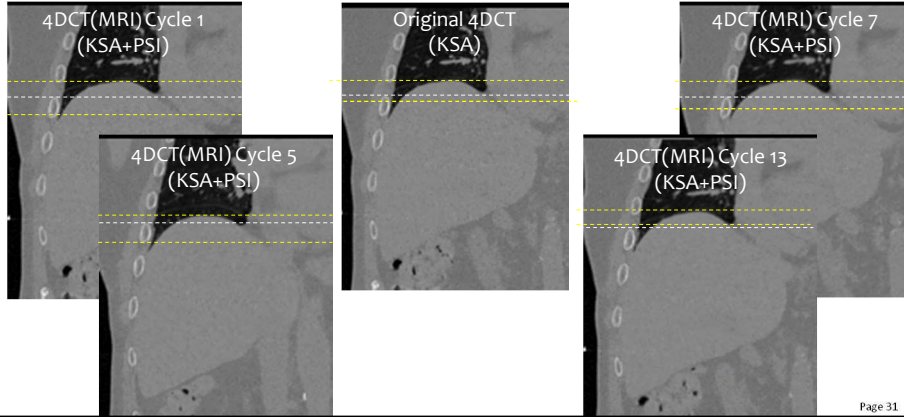
→ 5 4DCT(MRI) for this patient

K. Dolde et al 2018 PMB

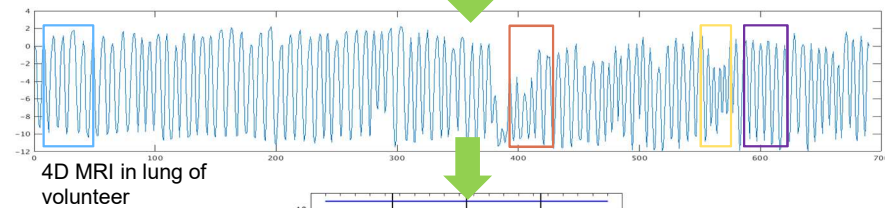
## The usually neglected motion variability



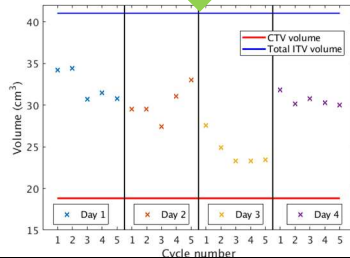
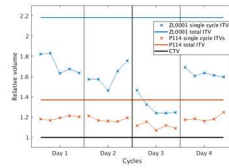
36 Breathing cycles for 1<sup>st</sup> KSA patient extracted from 4DMRI acquired at PSI



Single phase of lung cancer 4D CT from open source database

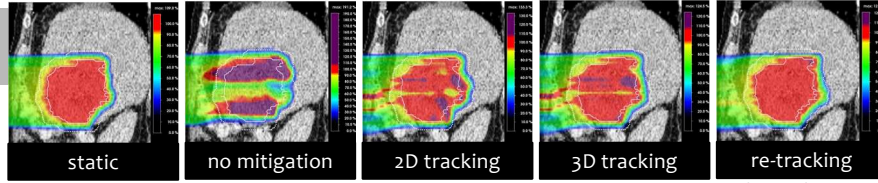


4D MRI in lung of volunteer



ITV volumes calculated from different cycles

## Beam tracking: following tumour as its current situation



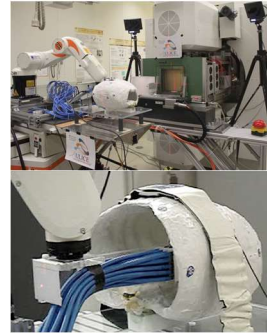
Zhang et al 2014 PMB

clinical beneficial

technical feasible

clinical applicable?

- Real-time motion monitoring without “too much additional” imaging dose
- Low latency (~50ms) measurements with high accuracy (<1mm)
- Fast energy adaptation with good beam quality
- Capable to adapt treatment field according to real-time updates



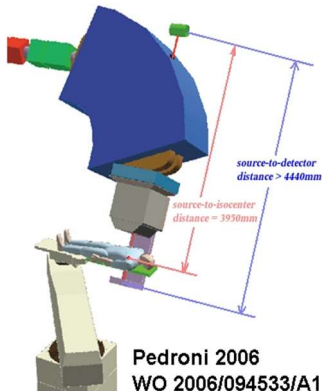
Steidl et al 2012 PMB (project from GSI)



by Dr. Giovanni Fattori

## Importance to have online image guidance

### PSI-G2-Beams' Eye View system



### Why IGPT is needed?

scanned proton is sensitive to small motion and density variation

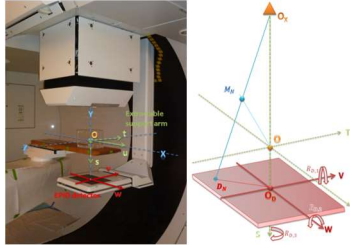
respiratory motion is varied and irregular

### How to use IGPT?

Know where is the dose delivered to (4D dose reconstruction)

Online motion compensation (image guided beam gating or tracking)

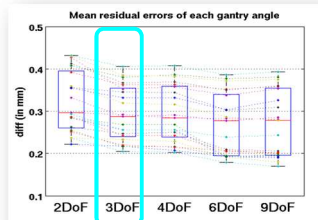
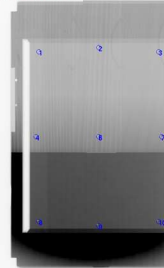
## Geometry calibration of on-board BEV imaging system



- To derive geometry parameter in reality
  - To calculate exact DRR images
  - To derive the magnification factor

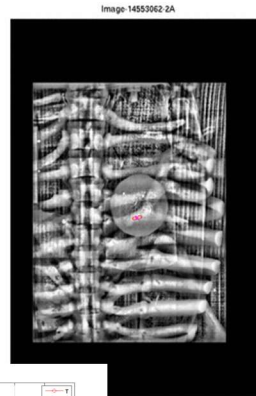
### GEOMETRY CALIBRATION WITH A FUNCTION OF GANTRY ANGLES

- Physical phantom
- Mathematical model: projected marker
- Minimize difference: detected w.r.t expected positions
- Deduce the geometry correction vector using extrinsic 2D/3D registration

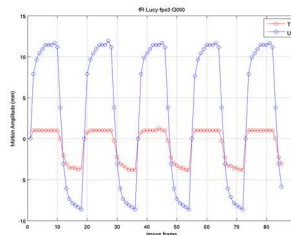


- 3DOF translation correction of the imager is sufficient
- max. mean error can be reduced within 0.4mm for all gantry angles, due to the large SAD/SID ratio.

## On-line 2D motion tracking using the BEV imaging system

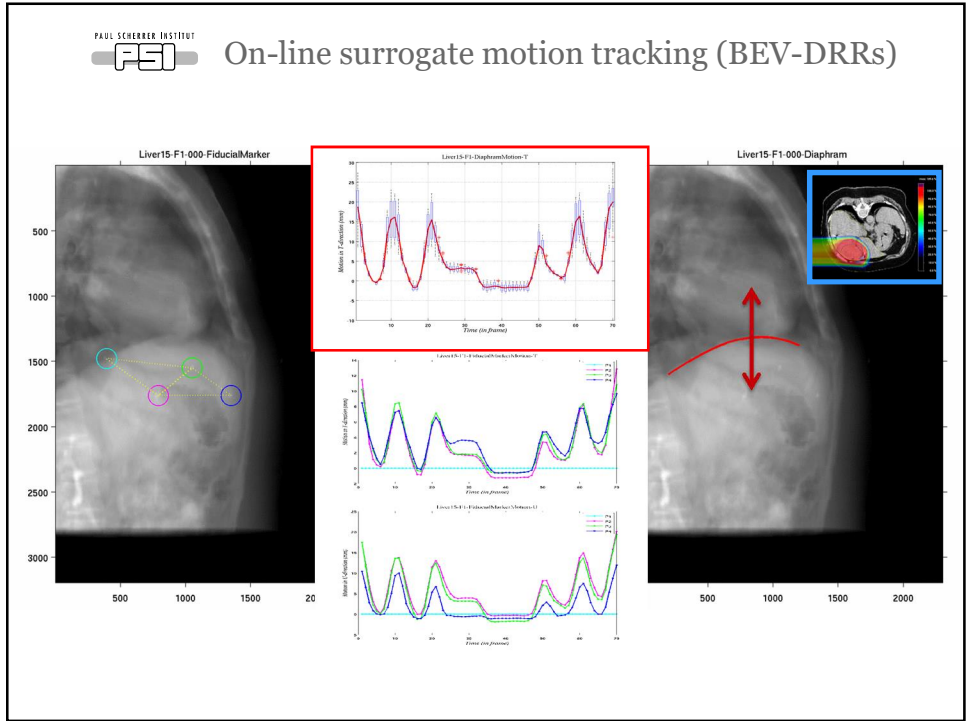


BEV imaging system is capable to online track the internal 2D motion from the same direction of therapeutic beam (maximally resolve lateral motion)

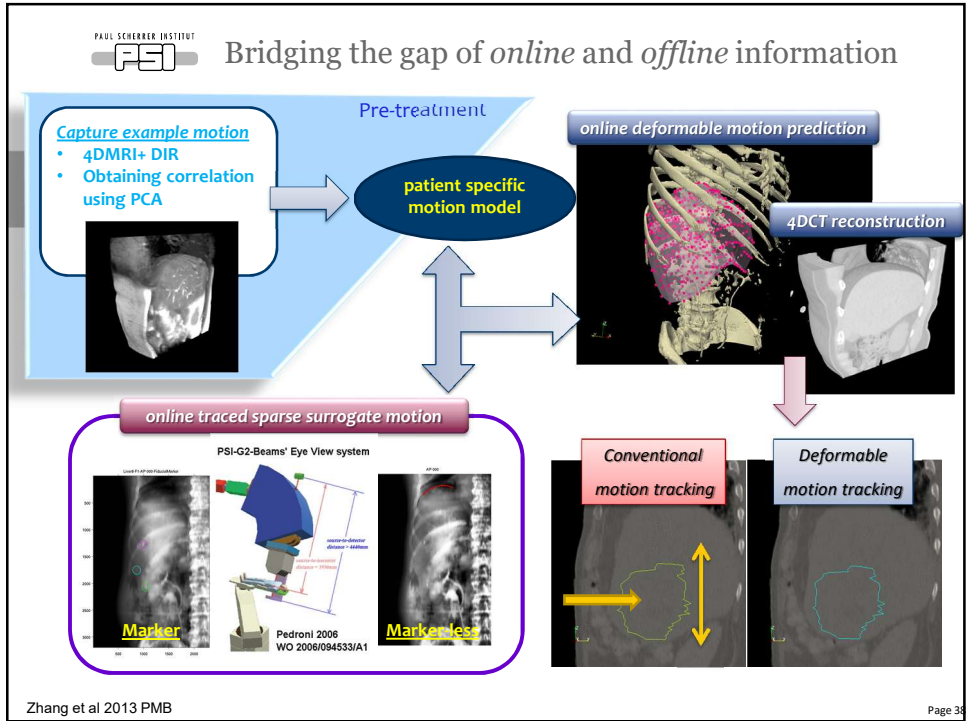


- Imaging Frequency: 3 Hz
- Breathing Cycle: 6s
- Fiducial Marker
  - type: Visicoil Gold
  - length: 1cm
  - diameter: 1.1mm
- Detection error < 0.5mm
- Detection efficiency > 95%

## On-line surrogate motion tracking (BEV-DRRs)

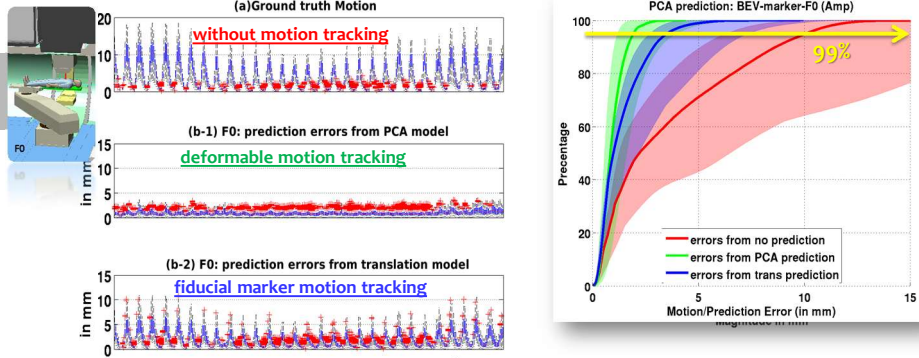


## Bridging the gap of *online* and *offline* information



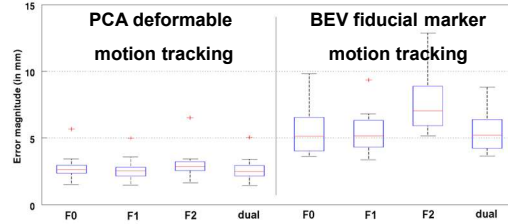


## Online deformable motion reconstruction – spatial accuracy



Enable the possibility of online deriving

- spatial motion differences
- lost motion component in imaging direction
- density variation
- Tackle the trade-off between real time and volumetric imaging



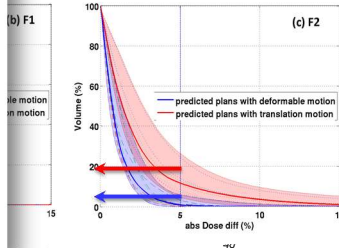
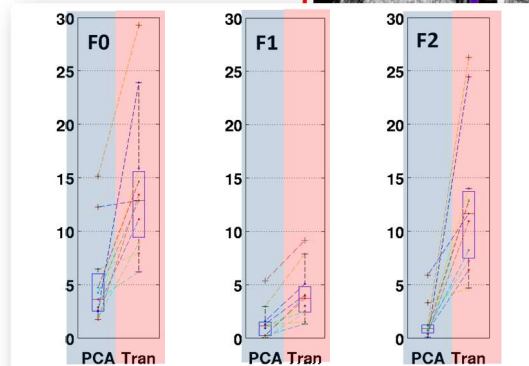
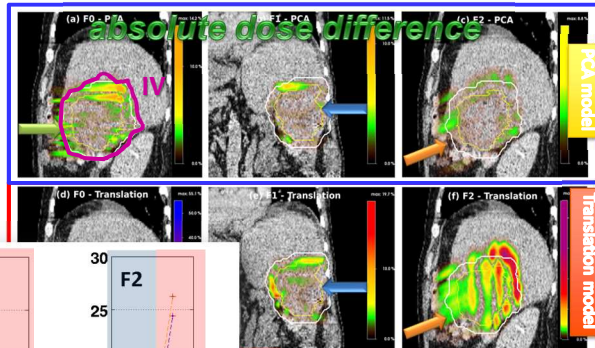
• Zhang Y et al 2013 Phys.Med.Biol. 58 8612-45

## Retrospective 4D dose reconstruction

### Accuracy of estimated motions

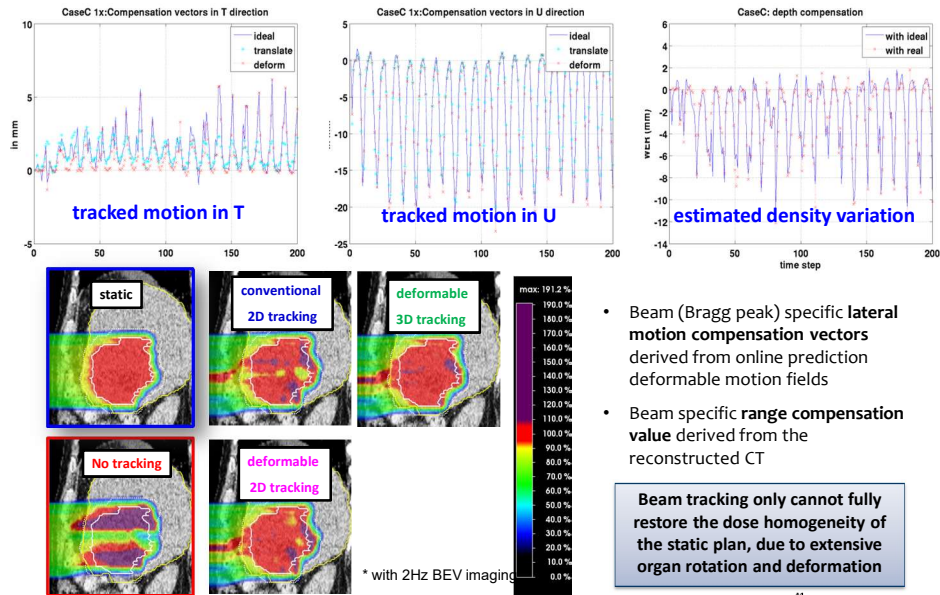
~ = similarity of their 4DDC

- 4D plans considering either ground truth motions or predicted motions
- absolute dose difference
- Dose Different Volume Histograms (DDVH) in Irradiation Volume (IV)
- $V_{\text{dosediff}}=5\%$

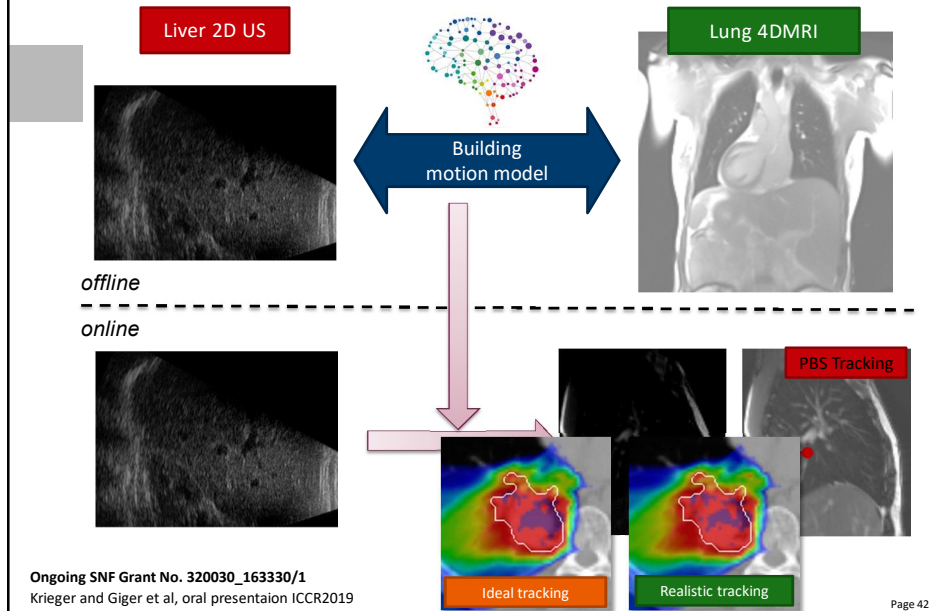




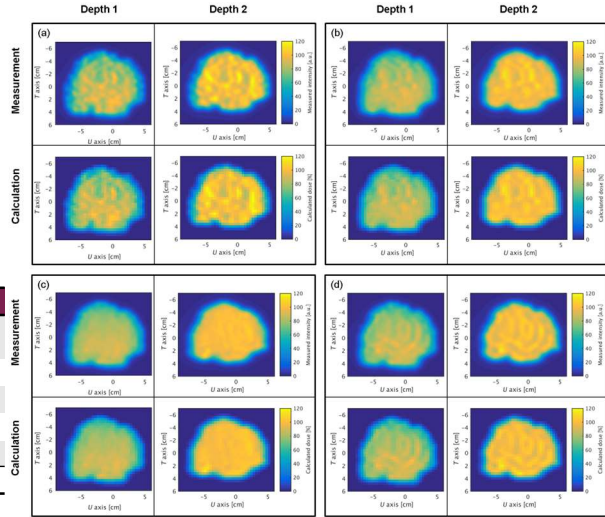
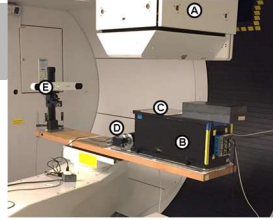
## Online image guided beam tracking



## Model-based IGPT to lung scenarios ...



# Uncertainties – experimental validation for 4DDC



	Depth 1	Depth 2	Mean
NoMotion	99.91%	97.20%	98.56%
NR, 3.7s	98.23%	97.87%	98.05%
LR, 3.7s	99.98%	99.32%	99.65%
VR, 3.7s	99.98%	99.52%	99.75%
NR, 4.4s	99.98%	98.81%	99.40%
Mean	99.62%	98.54%	<b>99.08%</b>

Krieger et al PMB 2018

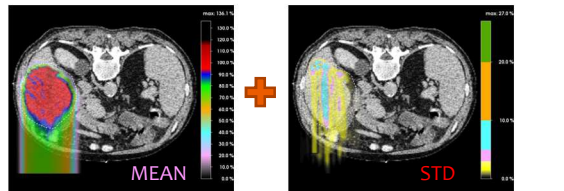
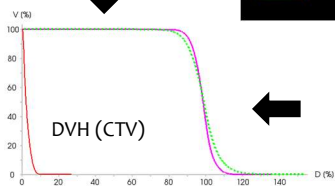
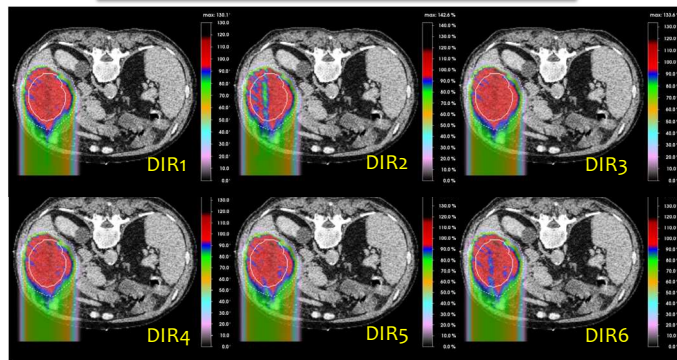
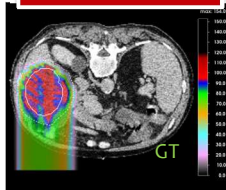
# Uncertainties – deformable registration



4DCT/MRI dataset

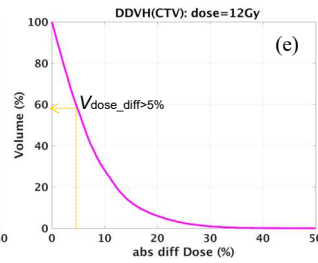
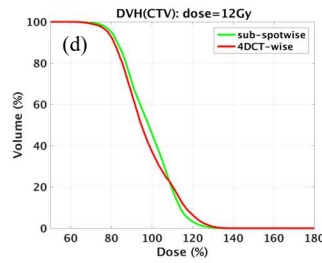
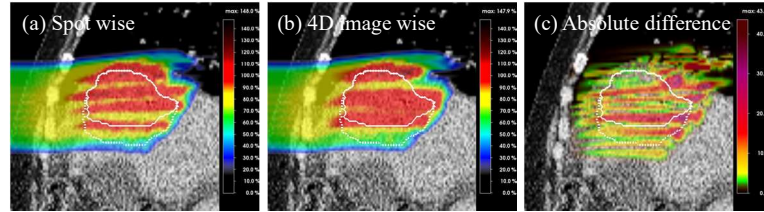
Estimated 4D plans using 6 different DIR methods

Ground truth plan



Ribeiro et al RO 2018 (PSI and UMCG)

## Uncertainties – temporal resolution for 4DDC

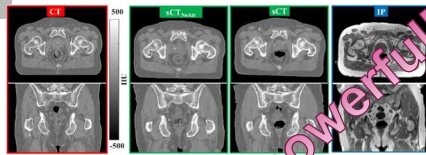


Zhang et al PMB 2019

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## Outlooks

### Machine learning in PT?



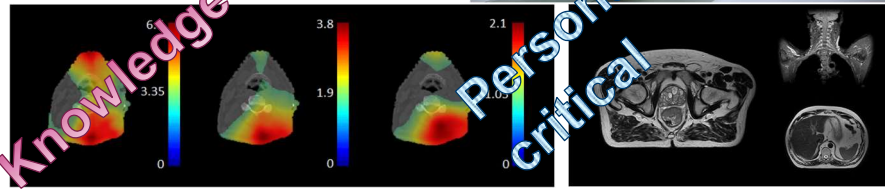
Matteo Maspero et al 2018 Phys. Med. Biol. 63 185001

### Online MR guided PT?



Knowledge is powerful!

Personalization is critical



Vasant Kearney et al 2018 Phys. Med. Biol. 63 185017

FLASH

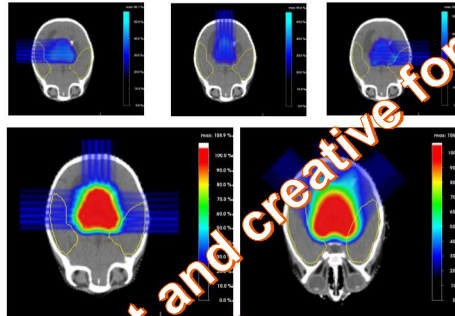


34 Gy  
31 Gy  
28 Gy

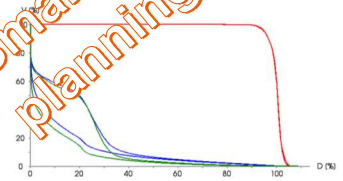
HYPIC Flash-PT induces a transient radiation-induced hypoxia that protects only the normoxic tissues

Explore and exploit biology

Grid/micro beam therapy



Smart and creative for planning



Summary for PBS 4D treatments

- ✚ **4DDC** is an important risk analysis tool for patient specific motion mitigation selection
- ✚ Motion is a problem, but could be partially dealt with using simple (gating, breath-hold, re-scanning) or more challenging techniques (tracking, re-tracking, 4D optimization)
- ✚ **Online image guidance** is important for treatment QA, and **Motion model** is useful for combining online and offline information
- ✚ **Beam tracking** and **4D optimization** will ameliorate 4D PBS treatments in future
- ✚ There are many upcoming opportunities/trends for improving the current solutions





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