

Primordial Black Holes

Alexandre Arbey

IP2I & UCBL

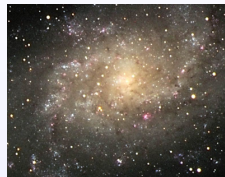
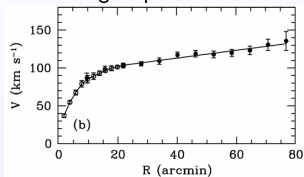
Many thanks to J. Auffinger, J.-F. Coupechoux and J. Silk!

JOGLy 2

Lyon – October 17th, 2019

Dark matter and Galaxy Rotation Curves

● Large Spiral Galaxies

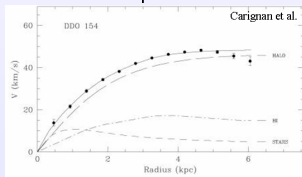


$$\rho_{\text{deduced}} \propto r^{-2}$$

 \gg

$$\rho_{\text{stars}} \propto e^{-r/r_0}$$

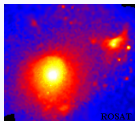
● Dwarf Spiral Galaxies



Well known baryonic contribution
Dark matter dominates those objects

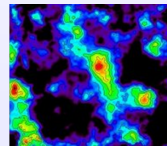
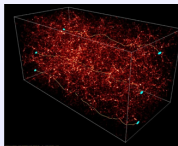
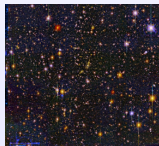
Dark Matter in Cluster

- X-ray Observations → presence of hot gas (P, ρ, T)



$$M_{\text{total}} \sim -\frac{kTr}{Gm} \left[\frac{d \ln n_e}{d \ln r} + \frac{d \ln T}{d \ln r} \right] \gg M_{\text{gas+stars}}$$

- Weak lensing



Confirms the X-ray results!

Bullet Cluster



Dark Matter is independent from baryonic matter!

Cosmological Standard Model

Friedmann-Lemaître Universe

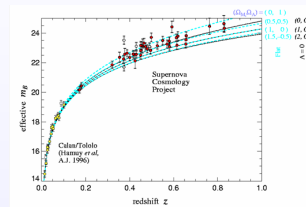
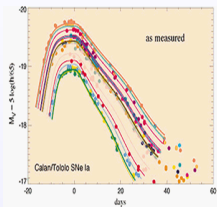
- Homogeneous and Isotropic Universe
- Robertson-Walker metric: $d\tau^2 = dt^2 - a(t)^2 \left\{ \frac{dr^2}{1 - kr^2} + r^2 d\theta^2 + r^2 \sin^2 \theta d\varphi^2 \right\}$
- Adiabatic cosmic fluids: matter, radiation, dark energy, ... (ρ, P)
- Einstein-Friedmann equations:
$$\begin{cases} H^2 = \left(\frac{\dot{a}}{a} \right)^2 = \frac{8\pi G}{3} \rho - \frac{k}{a^2} \\ \frac{\ddot{a}}{a} = -\frac{4\pi G}{3} (\rho + 3P) \end{cases}$$

Today (H_0 Hubble-Lemaître constant): $H_0^2 = \frac{8\pi G}{3} \rho^0 - \frac{k}{a_0^2} \equiv \frac{8\pi G}{3} \rho_C^0 \leftarrow \text{critical density}$

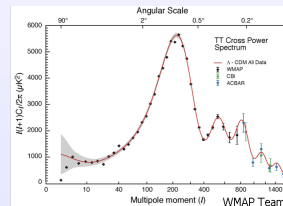
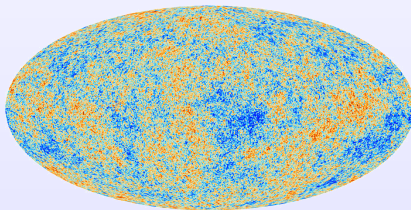
Cosmological parameters (for each component): $\Omega_{comp} = \frac{\rho_{comp}^0}{\rho_C^0}$

Cosmological Observations

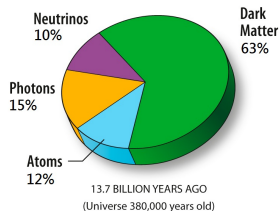
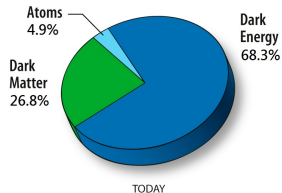
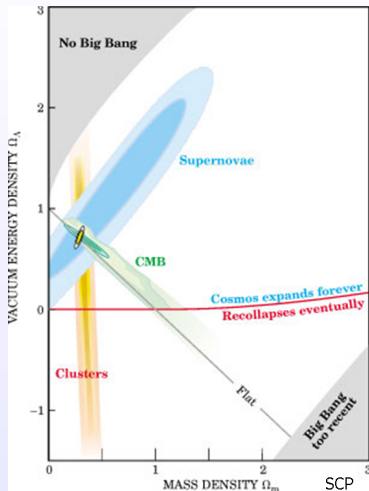
Supernovæ of Type Ia



Cosmic Microwave Background



Cosmological Parameters



+ Approximately FLAT

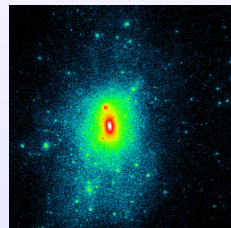
Dark Matter Candidates

- **Massive neutrinos**
- **Weakly Interacting Massive Particles (WIMPs)**
In particular, many particle physics models provide WIMP candidates!
- **Other particles/fields:** axions, dark fluids, ...
Exotic and non-baryonic particles
- **Black Holes**
Not possible with stellar and supermassive black holes
- **Modified Gravitation Laws**
MOND, TeVeS, Scalar-tensor theories, ...

Cold dark matter: WIMPs

Weakly Interacting Massive Particles

- Good cosmological behaviour and good galaxy formation
- Rotation curves at large radius for large galaxy OK
- Clusters OK
- No direct detection yet
- Clumpiness problems? (clumps formation, cuspy center, ...)



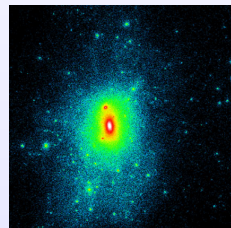
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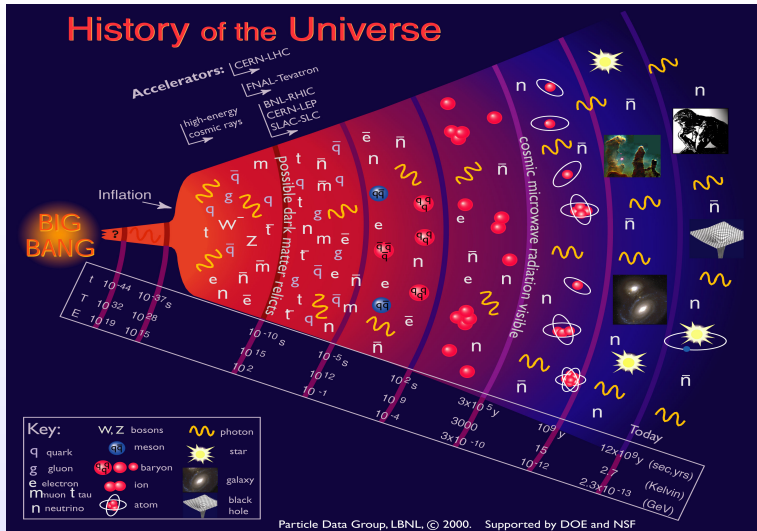
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Beyond the Standard Model

- No SM particle can constitute DM
- Many BSM theories predict the existence of WIMPs
- No new particle discovered yet...



History of the Universe



Recombination (and emission of cosmic microwave background) constitutes a limit between the dark times and the observable Universe

What happened during the dark times before recombination?

T

- How to describe the beginning of the Universe (\sim Planck energy)?
Quantum gravity? Brane theories? Other gravitation theories?
- What did drive **inflation** in the early Universe? When did it end?
- Do/did **topological defects** (magnetic monopoles, domain walls, ...) exist?
- What did happen during **leptogenesis**?
- What did happen during **baryogenesis**?
- Where does the **particle-antiparticle asymmetry** come from?
- Did the **relic dark matter particle freeze-out** happen, how and when?
- Do we fully understand the properties of the **QCD-dominated plasma**?
- Do we fully understand **Big-Bang nucleosynthesis**?

What about (Primordial) Black Holes??

Black holes

In the following we place ourselves in the natural unit system with $c = \hbar = k_B (= G) = 1$.

Schwarzschild metric for a static compact object of mass M

$$d\tau^2 = \left(1 - \frac{2GM}{r}\right) dt^2 - \frac{dr^2}{1 - \frac{2GM}{r}} - r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

One defines the Schwarzschild radius: $R_s = 2GM$.

If the mass M is completely within $r < R_s$, the radius $r = R_s$ constitutes a horizon.

→ Black Hole!

Kerr metric for a static compact object of mass M and angular momentum J

$$d\tau^2 = (dt - a \sin^2\theta d\phi)^2 \frac{\Delta}{\Sigma} - \left(\frac{dr^2}{\Delta} + d\theta^2\right) \Sigma - ((r^2 + a^2)d\phi - a dt)^2 \frac{\sin^2\theta}{\Sigma}$$

$$a = J/M, \Sigma = r^2 + a^2 \cos^2\theta, \Delta = r^2 - R_s r + a^2, R_s = 2GM$$

The horizon exists but is deformed and flattened → Kerr (Rotating) Black Hole!

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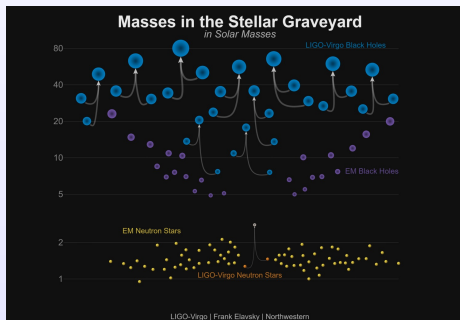
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Observed black holes

Three types of black holes have been discovered

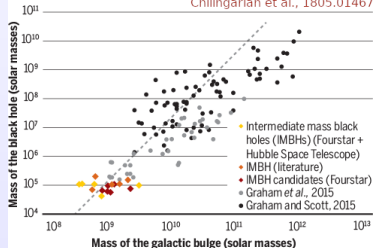
- Stellar black holes
BHs originated in the explosion of massive stars/supernovae, $\sim 3 - 100 M_{\odot}$
- Intermediate mass black holes (IMBH)
New class of recently discovered BHs, $\sim 10^3 - 10^6 M_{\odot}$
- supermassive black holes (SMBH)
BHs at the center of galaxies, $\sim 10^6 - 10^9 M_{\odot}$



Black hole growth chart

Black holes, including the newly discovered middleweights (color), have masses that correlate with the size of their host galaxy.

Chilingarian et al., 1805.01467



Origin of primordial black holes

Multiple inflationary origins

- collapse of large primordial overdensities
- phase transitions
- collapse of cosmic strings, domain walls

Mass predictions

Assuming that one PBH can be formed in a Hubble volume in the early Universe, one gets

$$M_{\text{PBH}} \sim M_{\text{Planck}} \times \frac{t_0}{t_{\text{Planck}}} \sim 10^{38} \text{ g} \times t_0(\text{s})$$

where t_0 is the creation time.

We get:

- $M \sim 10^{-5} \text{ g}$ for $t_0 \sim 10^{-43} \text{ s} \rightarrow$ Planck black holes
- $M \sim 10^{15} \text{ g}$ for $t_0 \sim 10^{-23} \text{ s} \rightarrow$ lightest black holes still (possibly) existing
- $M \sim 10^5 M_{\odot}$ for $t_0 \sim 1 \text{ s} \rightarrow$ IMHB? seeds for SMBH?

Angular momentum of primordial Black Holes

Angular momentum given by dimensionless parameter $a^* \equiv J/M^2$

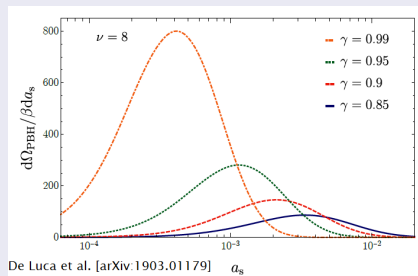
$$a^* \in [0, 1]$$

$a^* = 0$ for Schwarzschild BHs, $a^* = 1$ for extremal Kerr BHs

Spin predictions

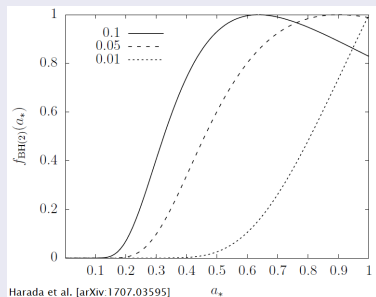
Standard inflationary model

\Rightarrow low spin



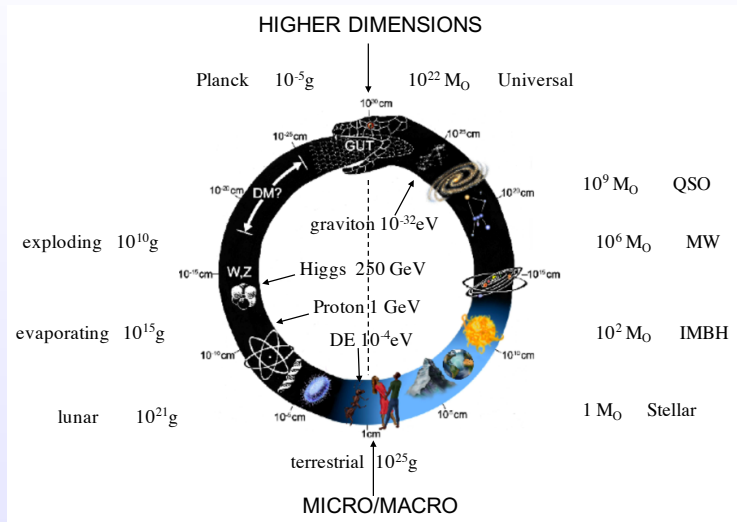
Transient matter domination

\Rightarrow high spin



The Cosmic Uroboros

A cosmic vision of PBHs by B. Carr (from arXiv:1703.08655)



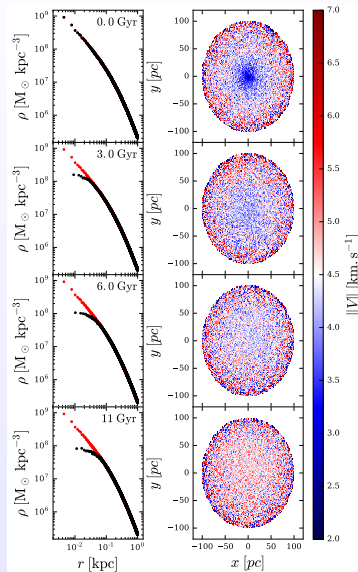
Solving the cusp-core problem with PBHs

In presence of heavy PBHs, possible transition from cusp to core

On the right: N-body simulation of dwarf galaxy with $10^7 M_\odot$ halo made of 50% of dark matter in the form of $100 M_\odot$ PBHs and 50% of $1 M_\odot$ DM particles. From Boldrini et al. [1909.07395].

Gravitational heating by heavy PBHs:

- Dynamical friction of DM particles on PBHs
- Two body relaxation between PBHs

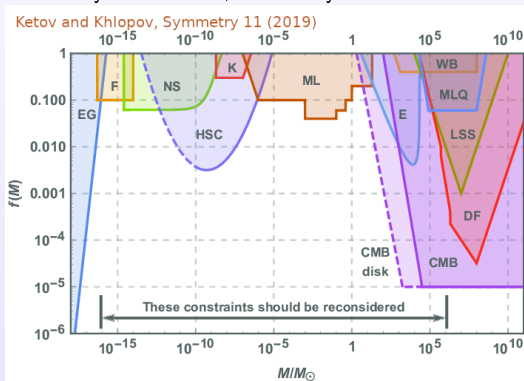


Primordial Black Holes

Plausible Dark Matter candidates

- no need for Standard Model or General Relativity extension
- dynamically cold
- no need to prove BH existence (maybe...)
- constrained, but mass ranges still available for BHs to represent all of dark matter

Many constraints, but many are not robust!

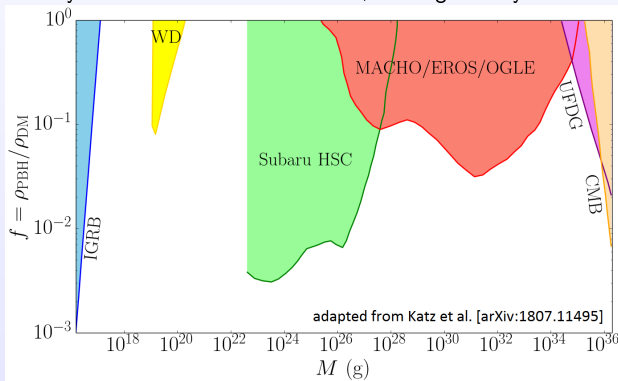


Primordial Black Holes

Plausible Dark Matter candidates

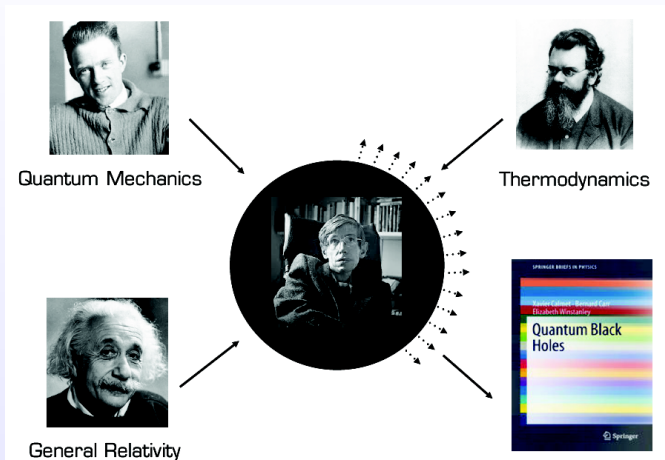
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More realistically: constraints from radiation, lensing and dynamics observations



Why are PBHs so special?

Light PBHs cannot be described only with General Relativity...



from B. Carr

... because they emit Hawking radiation!

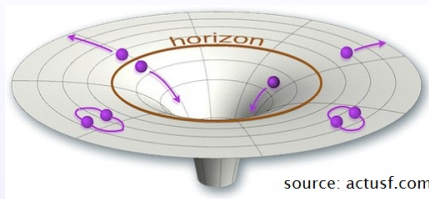
Different scales, different times...

What Hawking radiation tells us...

- $M \sim 10^{-5} \text{ g} \rightarrow$ Planck mass BHs \rightarrow probes of quantum gravity
- $M \sim 10^{15} \text{ g} \rightarrow$ PBHs emitting a lot of particles today \rightarrow cosmic rays, gamma rays, ...
- $M \gg 10^{15} \text{ g} \rightarrow$ PBHs with low Hawking emission \rightarrow BHs as dark matter
- $M \ll 10^{15} \text{ g} \rightarrow$ PBHs which evaporated (and disappeared?) long ago \rightarrow probes of inhomogeneities, phase transitions, ...

More details in the next slides...

Black hole Hawking radiation



Fundamental equation for Kerr BHs

Rate of emission of Standard Model particles i at energy E by a BH of mass M and spin parameter a^* :

$$Q_i = \frac{d^2 N_i}{dt dE} = \frac{1}{2\pi} \sum_{\text{dof.}} \frac{\Gamma_i(M, E, a^*)}{e^{E'/T(M, a^*)} \pm 1}$$

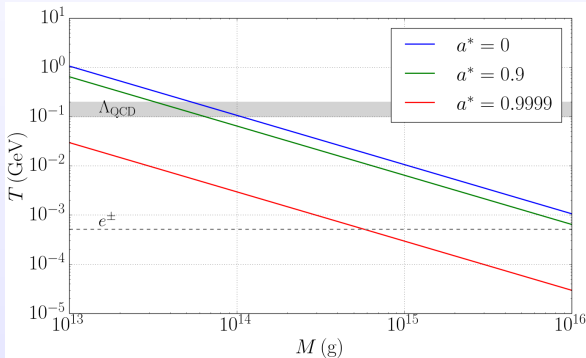
Γ_i is the greybody factor (\sim absorption coefficient in Planck's black-body law)

Hawking temperature

Hawking temperature for Kerr BHs

$$T(M, a^*) = \frac{1}{4\pi M} \left(\frac{\sqrt{1 - (a^*)^2}}{1 + \sqrt{1 - (a^*)^2}} \right) \xrightarrow[a^*=0]{\text{Schwarzschild}} \frac{1}{8\pi M}$$

Comparison with the e^\pm rest mass and QCD scale Λ_{QCD}



Kerr Hawking radiation equations

Kerr metric

$$ds^2 = \left(1 - \frac{2Mr}{\Sigma^2}\right) dt^2 + \frac{4a^* M^2 r \sin^2 \theta}{\Sigma^2} dt d\phi - \frac{\Sigma^2}{\Delta} dr^2 \\ - \Sigma^2 d\theta^2 - \left(r^2 + (a^*)^2 M^2 + \frac{2(a^*)^2 M^3 r \sin^2 \theta}{\Sigma^2}\right) \sin^2 \theta d\phi^2$$

$$\Sigma \equiv r^2 + (a^*)^2 M^2 \cos^2 \theta \text{ and } \Delta \equiv r^2 - 2Mr + (a^*)^2 M^2$$

Equations of motion in free space

$$\text{Dirac: } (i\cancel{\partial} - \mu)\psi = 0 \text{ (fermions)}$$

$$\text{Proca: } (\square + \mu^2)\phi = 0 \text{ (bosons)}$$

$$\mu = \text{rest mass}$$

Kerr Hawking radiation equations

Teukolsky radial equation

$$\frac{1}{\Delta^s} \frac{d}{dr} \left(\Delta^{s+1} \frac{dR}{dr} \right) + \left(\frac{K^2 + 2i s(r - M)K}{\Delta} - 4i sEr - \lambda_{slm} - \mu^2 r^2 \right) R = 0$$

R radial component of ψ/ϕ

$K \equiv (r^2 + a^2)E + a m$, s = spin, l = angular momentum and m = projection

Transformation into a Schrödinger equation

Change $\psi/\phi \rightarrow Z$ and $r \rightarrow r^*$ (generalized Eddington-Finkelstein coordinate system) (Chandrasekhar & Detweiler 1970s)

$$\frac{d^2 Z}{dr^{*2}} + (E^2 - V(r^*))Z = 0 \quad (1)$$

Solved with purely outgoing solution $Z \xrightarrow{r^* \rightarrow -\infty} e^{-iEr^*}$

Transmission coefficient $\Gamma \equiv |Z_{\text{out}}^{+\infty} / Z_{\text{out}}^{\text{horizon}}|^2$

Advertisement: BlackHawk

First public C code computing Hawking radiation:

- Schwarzschild & Kerr PBHs
- primary spectra of all Standard Model fundamental particles
- secondary spectra of stable particles (hadronization with PYTHIA or HERWIG)
- extended mass functions
- time evolution of the PBHs

Download: <http://blackhawk.hepforge.org>

Manual: [arXiv:1905.04268](https://arxiv.org/abs/1905.04268), Eur.Phys.J. C79 (2019) 693

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BlackHawk

By **Alexandre Arbey** and **Jérémy Auffinger**

Calculation of the Hawking evaporation spectra of any black hole distribution

BlackHawk is a public C program for calculating the Hawking evaporation spectra of any black hole distribution. This program enables the users to compute the primary and secondary spectra of stable or long-lived particles generated by Hawking radiation of the distribution of black holes, and to study their evolution in time.

If you use BlackHawk to publish a paper, please cite:

A. Arbey and J. Auffinger, [arXiv:1905.04268](https://arxiv.org/abs/1905.04268) [gr-qc]

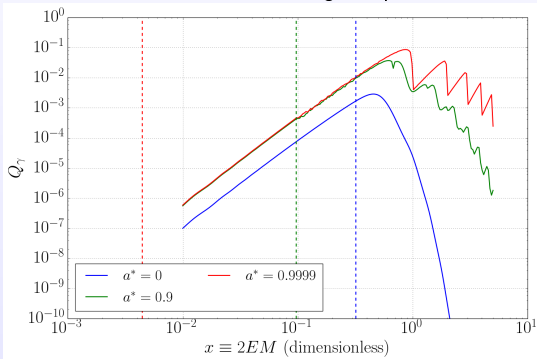
For any comment, question or bug report please contact us.

Enhanced emission for rotating BHs

BH-particle spin coupling \Rightarrow superradiance effects (see e.g. Chandrasekhar & Detweiler papers in the 1970s)

The Hawking radiation is enhanced for particles of spin 1 or 2.

Example of spin 1 massless emissivity (photon)
Dotted lines = Hawking temperature



Black hole lifetime

Evolution equations

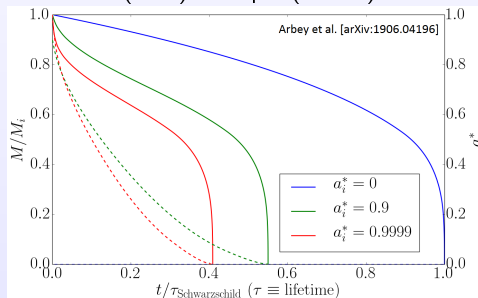
$$\frac{dM}{dt} = -\frac{f(M, a^*)}{M^2}$$

$$\frac{da^*}{dt} = \frac{a^*(2f(M, a^*) - g(M, a^*))}{M^3}$$

$$f \sim \int_E \text{ener.} \times \text{emiss.}$$

$$g \sim \int_E \text{ang. mom.} \times \text{emiss.}$$

BH mass (solid) and spin (dotted) evolution

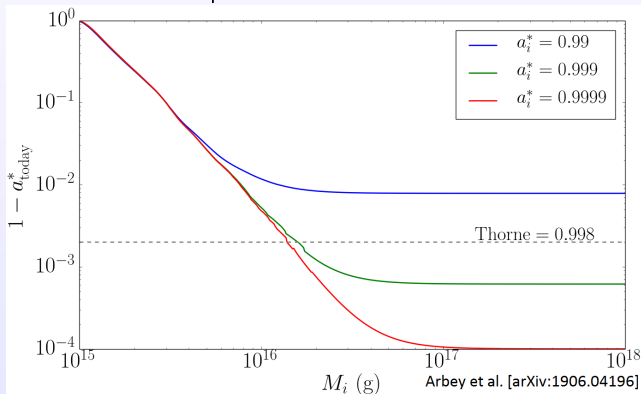


Extremal spin today?

Could high spin BHs exist today? Can we get over Thorne's limit on the spin of rotating BHs from disk accretion ($a^* < 0.998$) ?

→ Yes, with sufficiently massive and extremal PBHs

PBH final spin as a function of its initial mass

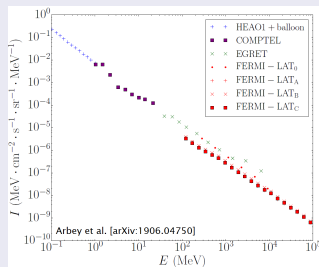


Isotropic gamma ray background (IGRB) constraints

Origin

Diffuse background +

- Active galactic nuclei
- Gamma ray bursts
- DM annihilation/decay?
- Hawking radiation?



Flux estimation for BHs

Arbey *et al.* [arXiv:1906.04750]

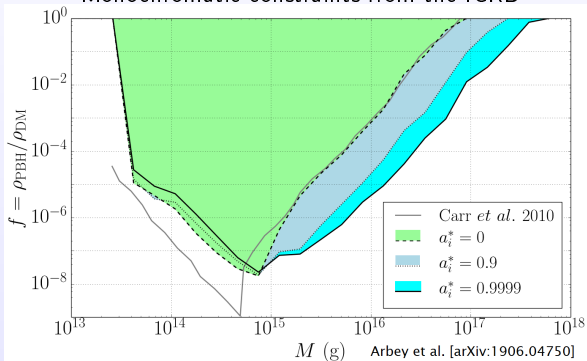
$$I \approx \frac{1}{4\pi} E \int_{t_{\text{CMB}}}^{t_{\text{today}}} (1 + z(t)) \times \int_M \left[\frac{dn}{dM} \frac{d^2 N}{dt dE} (M, (1 + z(t))E) dM \right] dt$$

IGRB and Kerr PBHs: monochromatic mass distributions

Main spin effects

- enhanced luminosity \Rightarrow stronger constraints
- reduced temperature \Rightarrow reduced emission energy \Rightarrow weaker constraints

Monochromatic constraints from the IGRB

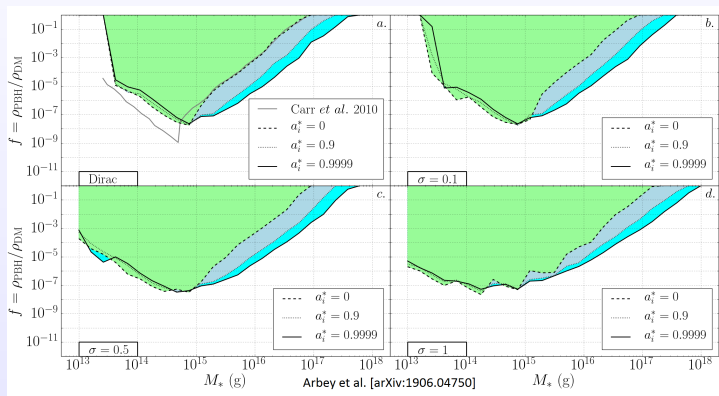


IGRB and Kerr PBHs: Extension to broad mass functions

Main width effects

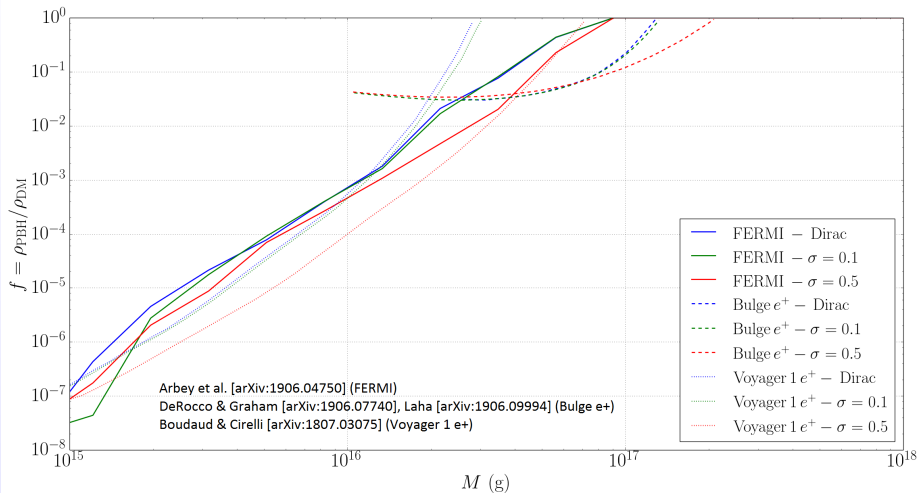
$$Md n/dM \propto \exp(-\ln(M/M_*)^2/2\sigma^2)$$

- broadening of the spectrum \Rightarrow stronger constraint
- broadening of the mass distribution \Rightarrow greater DM total density \Rightarrow weaker constraint



Constraints from electron/positron detection

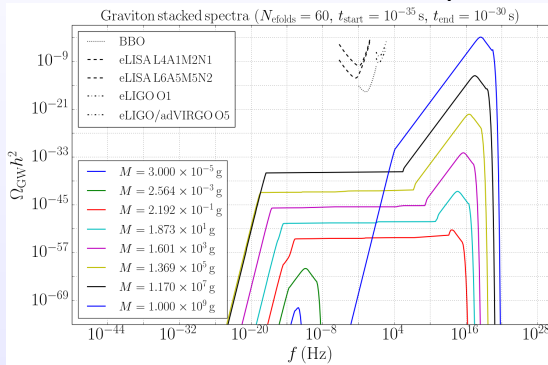
Comparison with recent e^\pm constraints



Ongoing work: Gravitational waves from Hawking radition

PBHs emits gravitons, which can be interpreted as gravitational waves.
Will the future GW experiments be able to see them?

Preliminary – J. Auffinger

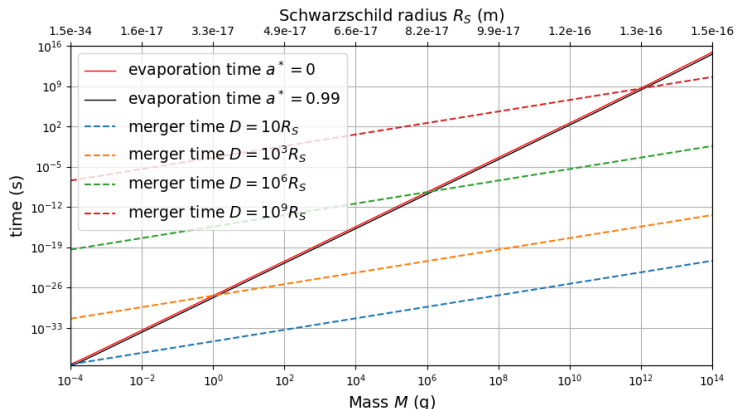


Discovering gravitational waves emitted via Hawking radiation would validate the existence of the graviton!

Ongoing work: Primordial black holes: possibility of merger (1)

Is lifetime of PBHs smaller than merger duration?

Preliminary – J.-F. Coupechoux



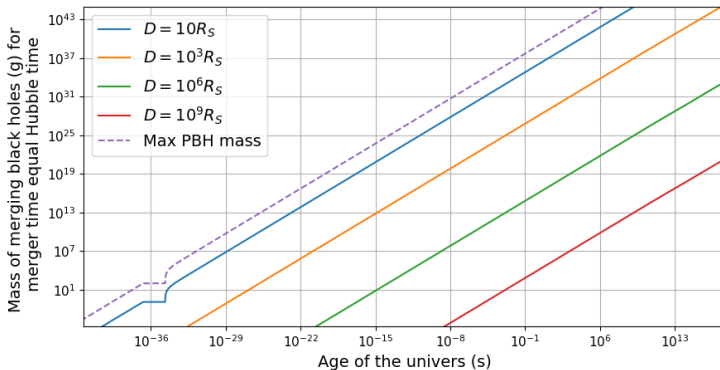
Plain lines: PBH evaporation time (=lifetime)

Dashed lines: merger time for two PBHs of same mass, for different initial distances D

Ongoing work: Primordial black holes: possibility of merger (2)

Is expansion too fast to allow for a merger?

Preliminary – J.-F. Coupechoux

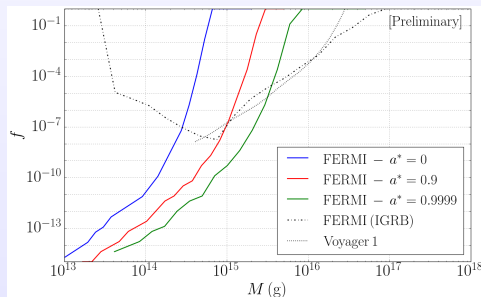


For a given distance D , two BHs with masses above the lines merge faster than they move away because of expansion.

PBH-related projects

- Big Bang Nucleosynthesis (see e.g. Sedel'nikov 1996, Kohri 2000)
- galactic gamma & X-rays (see e.g. Ballestros *et al.* [arXiv:1906.10113])
- galactic positrons (see e.g. Boudaud & Cirelli [arXiv:1807.03075], DeRocco & Graham [arXiv:1906.07740], Laha [arXiv:1906.09994])
- merger of PBHs and cosmological consequences (see e.g. Garriga & Triantafyllou [1907.01455])
- stability of extremal BHs
- ...

Dwarf spheroidal (dSph) gamma ray constraints from FERMI-LAT



Conclusions

Take-home messages

- Primordial black holes are good candidates for DM
- A broad range of masses is possible
- Light PBHs are quantum objects
- PBHs of $\sim 10^{15}g$ may still be present and emit a lot of Hawking radiation

Perspectives

- Closing the remaining PBH mass windows for all DM into PBHs?
- Primordial BH / Astrophysical BH discrimination using GW events?
- Graviton/gravitational wave duality tests?

References

- BlackHawk: <http://blackhawk.hepforge.org> [A. Arbey, J. Auffinger, 1905.04268]
- Any extremal black holes are primordial [A. Arbey, J. Auffinger, J. Silk, 1906.04196]
- Constraining primordial black hole masses with the isotropic gamma ray background [A. Arbey, J. Auffinger, J. Silk, 1906.04750]
- Primordial black holes as dark matter: cusp-to-core transition in low-mass dwarf galaxies [P. Boldrini, Y. Miki, A. Wagner, R. Mohayaee, J. Silk, A. Arbey, 1909.07395]

Backup

Kerr Hawking radiation equations

Chandrasekhar potentials

$$V_0(r) = \frac{\Delta}{\rho^4} \left(\lambda_{0lm} + \frac{\Delta + 2r(r-M)}{\rho^2} - \frac{3r^2\Delta}{\rho^4} \right)$$

$$V_{1/2,\pm}(r) = (\lambda_{1/2lm} + 1) \frac{\Delta}{\rho^4} \mp \frac{\sqrt{(\lambda_{1/2lm} + 1)\Delta}}{\rho^4} \left((r-M) - \frac{2r\Delta}{\rho^2} \right)$$

$$V_{1,\pm}(r) = \frac{\Delta}{\rho^4} \left((\lambda_{1lm} + 2) - \alpha^2 \frac{\Delta}{\rho^4} \mp i\alpha\rho^2 \frac{d}{dr} \left(\frac{\Delta}{\rho^4} \right) \right)$$

$$V_2(r) = \frac{\Delta}{\rho^8} \left(q - \frac{\rho^2}{(q - \beta\Delta)^2} \left((q - \beta\Delta) (\rho^2 \Delta q'' - 2\rho^2 q - 2r(q'\Delta - q\Delta')) \right) \right. \\ \left. + \rho^2 (\kappa\rho^2 - q' + \beta\Delta') (q'\Delta - q\Delta') \right)$$

$$\rho^2 \equiv r^2 + \alpha^2 \text{ and } \alpha^2 \equiv a^2 + am/E$$

$$q(r) = \nu\rho^4 + 3\rho^2(r^2 - a^2) - 3r^2\Delta$$

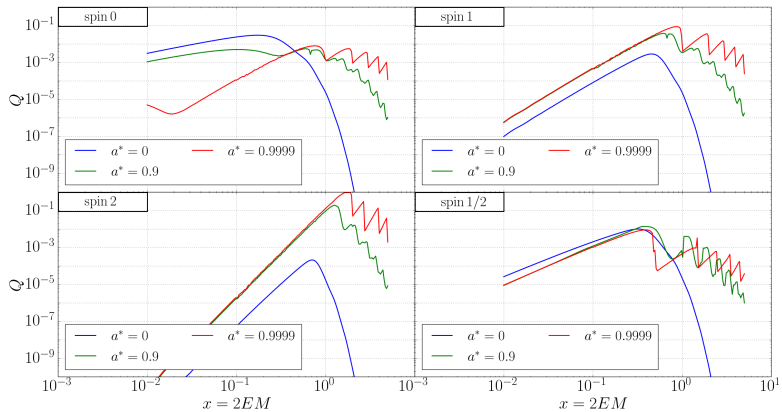
$$q'(r) = r \left((4\nu + 6)\rho^2 - 6(r^2 - 3Mr + 2a^2) \right)$$

$$q''(r) = (4\nu + 6)\rho^2 + 8\nu r^2 - 6r^2 + 36Mr - 12a^2$$

$$\beta_{\pm} = \pm 3\alpha^2$$

$$\kappa_{\pm} = \pm \sqrt{36M^2 - 2\nu(\alpha^2(5\nu + 6) - 12a^2) + 2\beta\nu(\nu + 2)}$$

Luminosities for all spins



Evolution parameters

Page parameters (Page 1976)

$$f(M, a^*) \equiv -M^2 \frac{dM}{dt} = M^2 \int_0^{+\infty} \sum_{\text{dof.}} \frac{E}{2\pi} \frac{\Gamma(E, M, a^*)}{e^{E'/T} \pm 1} dE$$

$$g(M, a^*) \equiv -\frac{M}{a^*} \frac{dJ}{dt} = \frac{M}{a^*} \int_0^{+\infty} \sum_{\text{dof.}} \frac{m}{2\pi} \frac{\Gamma(E, M, a^*)}{e^{E'/T} \pm 1} dE$$

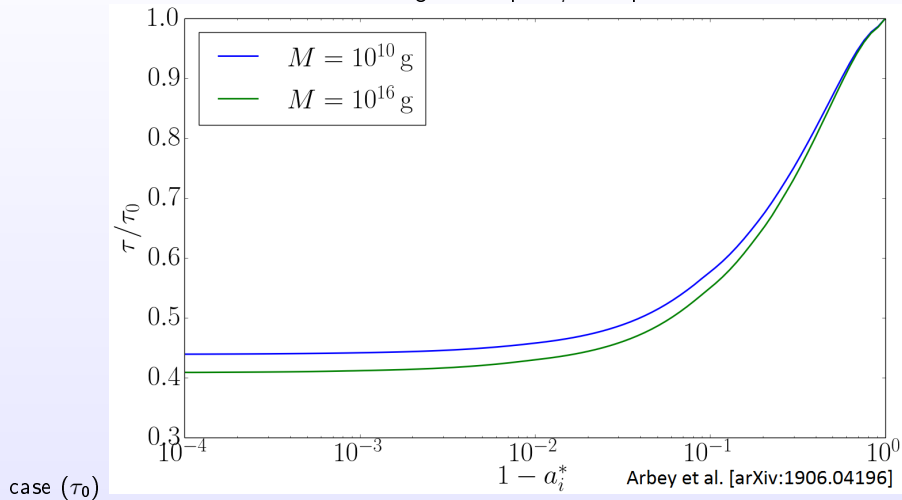
Evolution equations (Page 1976)

$$\frac{dM}{dt} = -\frac{f(M, a^*)}{M^2}$$

$$\frac{da^*}{dt} = \frac{a^* (2f(M, a^*) - g(M, a^*))}{M^3}$$

Reduced lifetime

Decrease of BH lifetime τ for increasing initial spin a_i^* , compared to the Schwarzschild



Log-normal distributions

Definition

$$\frac{dn}{dM} = \frac{A}{\sqrt{2\pi}\sigma M} \exp\left(-\frac{(\log(M/M_*))^2}{2\sigma^2}\right)$$

M^* = central mass, σ = width (dimensionless)

Log-normal distributions (normalized to unity, $M^* = 3 \times 10^{15}$ g)

