## Beyond the Standard Model Part I

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## Lectures on BSM

- Why BSM?
- Axionic Extension
- **o** Supersymmetric Extension

Lecture on SM by Cao Hoang Nam

# Standard Model Theoretical Description of Nature

## **Standard Model of Particle Physics**

- Successful at energy scales below TeV
  - 3 gauge symmetries: electromagnetic, weak, strong forces
  - 2 accidental global symmetries: B and L number stable proton, massless neutrinos
  - So far, all the collider measurements are in good agreement with SM predictions



- Quantum Field Theory
  - Framework to describe elementary particles and their interactions
  - Theory: Action + Regularization + Renormalization

divergence in loop diagrams

• Symmetry:  $\Delta S = (path-independent)$ 

 $\langle \text{final}|\text{initial} \rangle = \int DA_{\mu} D\phi \overline{\psi} \overline{\psi} \psi e^{iS[A_{\mu},\phi,\overline{\psi},\psi]}$ 

- Poincare symmetry: mass, spin
- Internal symmetry: charge

### Symmetry breaking

- Spontaneous breaking: the ground state is not symmetric
- Anomaly: Breaking by quantum effects



due to fermions with chiral symmetry coupled to gauge fields



total derivative  $\rightarrow$  relevant nonperturbatively e.g. B + L is anomalous under electroweak

gauge anomaly  $\not \rightarrow$  unitarity and renormalizability

## Physical objects from fields

Point-like particles elementary excitations

Small linear perturbations of fields around the ground state



Solitons

Solution of the classical field equation  $\delta S = 0$ 

- Lumps of fields of finite size
- Similar to particles, but without quantization
- Existence and stability due to non-linearity of the field eqs e.g. magnetic monopole, domain wall, cosmic string, ...

(size) 
$$\sim \frac{1}{m}$$
  
(energy)  $\sim \frac{m}{g}$ 

Instantons

Localized, finite-action solution of the Euclidean field eqs

• Wick rotation  $it \rightarrow \tau$ 

$$S = \int dt \left(\frac{1}{2}m\left(\frac{dq}{dt}\right)^2 - V(q)\right) \rightarrow iS_E = \int d\tau \left(\frac{1}{2}m\left(\frac{dq}{d\tau}\right)^2 + V(q)\right)$$

- Tunneling between quantum systems
- Decay of a false vacuum by bubble nucleation





Sphalerons

Static, unstable, and finite-energy solution of the classical field eqs

- Classical transition between vacua
- Possible at high energy scales



Non-Abelian gauge theory: topologically non-trivial vacuum structure with an infinite number of

ground states Chern-Simons number

Instantons  $\rightarrow$  anomaly has physical effects

## SM as Low Energy Effective Theory

UV theory with renormalizable local operators

$$L = L_H(\phi_H, \phi_L) + L(\phi_L)$$

where  $\phi_H(\phi_L)$  are fields describing heavy (light) particles above (below)  $\Lambda$ 

- Effective theory of light fields
  - Obtained by integrating out heavy fields:  $\int D\phi_H D\phi_L e^{iS[\phi_H,\phi_L]}$
  - Below the cutoff scale  $\Lambda$

$$L_{\rm eff} = L(\phi_L) + \sum_{n,i} \frac{c_i^{(n)}}{\Lambda^{n-4}} O_i^{(n)}(\phi_L)$$

Dimensionless Wilson coefficients  $c_i^{(n)}$ 

- Effects of heavy particles and high energy modes

- Effective theory of light fields
  - Contribution of the local operator  $O_i^{(n)}$  to a process at energy scale  $E \ll \Lambda$

 $C_{i}^{(n)} \left(\frac{E}{\Lambda}\right)^{n-4} \qquad \begin{array}{l} n < 4: \text{ relevant} \\ n = 4: \text{ marginal} \\ n > 4: \text{ irrelevant (non-renormalizable)} \end{array}$ 

• Matching adjustment of coefficients

Same physical predictions at low energy scales

 $\lambda_{\text{eff}}(\mu) = \lambda_{\text{full}}(\mu) + \text{(threshold corrections)}$ 

e.g.



- For a case of strongly coupled theory
  - Effective theory of relevant degrees of freedom e.g. hadrons in QCD

# Why Beyond the SM? Experimental Evidences

Physics beyond the Standard Model

## **Experimental evidences**

- Neutrino Masses and Mixing
  - Neutrino oscillation experiments
  - Weak eigenstate = mixture of mass eigenstates



$e_i$				
ι	parameter	best fit $\pm 1\sigma$	$2\sigma$	$3\sigma$
sign of from matter effects $\rightarrow$	$\Delta m_{21}^2 \left[ 10^{-5} \mathrm{eV}^2 \right]$	$7.59_{-0.18}^{+0.20}$	7.24 - 7.99	7.09-8.19
	$\Delta m_{31}^2  [10^{-3} {\rm eV}^2]$	$\begin{array}{c} 2.45 \pm 0.09 \\ -(2.34 \substack{+0.10 \\ -0.09}) \end{array}$	2.28 - 2.64 - (2.17 - 2.54)	2.18 - 2.73 - (2.08 - 2.64)
	$\sin^2 \theta_{12}$	$0.312\substack{+0.017\\-0.015}$	0.28 - 0.35	0.27 - 0.36
	$\sin^2 \theta_{23}$	$0.51 \pm 0.06$ $0.52 \pm 0.06$	0.41-0.61 0.42-0.61	0.39–0.64
	$\sin^2 \theta_{13}$	$\begin{array}{c} 0.010\substack{+0.009\\-0.006}\\ 0.013\substack{+0.009\\-0.007}\end{array}$	$ \leq 0.027 \\ \leq 0.031 $	$ \leq 0.035 \\ \leq 0.039 $



Cosmological observations

 $\sum m_{
u} < 0.1 \,\,\mathrm{eV}$ 

## Neutrinos are massless in the SM

• Neutrino Masses and Mixing

How to generate tiny neutrino masses?

- Majorana  $v = \overline{v} \ (\Delta L = 2)$ 
  - Seesaw mechanism:  $L = y \overline{\ell}_L \widetilde{H} \nu_R + \frac{1}{2} M_R \overline{\nu_R} \nu_R^c \rightarrow L_{eff} = \frac{y^2}{M_R} (\overline{\ell}_L \widetilde{H}) (\widetilde{H}^T \ell^c)$



• Dirac 
$$\nu \neq \bar{\nu} \ (\Delta L = 0)$$

- Tiny Yukawa coupling:  $L = y \ \overline{\ell}_L \widetilde{H} \nu_R \rightarrow m_\nu = y \langle H \rangle \sim 0.1 \text{eV}$ 

 $\beta\beta0\nu$  to test Majorana nature of neutrino:





- Lecture on DM by Kakizaki
- Dark Matter

Non-baryonic cold dark matter

- Observed motions of stars and galaxies
- Mass distribution measured with gravitational lensing



Rotation curve of galaxy





Bullet cluster: gravitional lensing + X-ray

No candidate for cold dark matter in the SM

- Dark Matter
  - Various models in a broad range of masses
    - Stability + Production mechanism
  - Active researches on dark matter detection strategy



#### Dwarf galaxies

- Bosonic: de Broglie wavelength < kpc  $\Rightarrow$  heavier than  $10^{-22}$ eV
- Fermionic: phase space density limit by Pauli exclusion principle ⇒ heavier than about keV
- If once thermalized: structure formation  $\Rightarrow$  heavier than keV



- Baryon Asymmetry
  - Non-observation of gamma ray burst from matter-antimatter annihilation



- Big Bang Nucleosynthesis: abundance of light elements
- Cosmic Microwave Background: sound speed of baryon-photon fluid



Sakharov conditions in CPT conserving background

 ◆ Baryogenesis requires B violation, C and CP violation, and B violating interactions out of thermal equilibrium ← Not enough in the SM

- Baryon Asymmetry
  - Electroweak phase transition: (free potential) = V +(thermal effects)



- Last period affecting baryon asymmetry

B + L violation by rapid EW sphaleron transition in symmetric phase



- Baryogenesis scenarios
  - B L generation above the weak scale: Leptogenesis, Affleck-Dine, ...
  - B + L generation at the weak scale and rapid sphaleron decoupling:

Electroweak baryogenesis c.f. electron EDM bound from ACME II

power spectrum of density perturbation  $\log_k P_{\rho}(k) \sim 1$ 

Cosmological Inflation

Exponential expansion & reheating in the early universe for the standard hot Big Bang model

- Homogeneous and isotropic universe on large scales
- Nearly scale-invariant, Gaussian density perturbations



## Difficult to implement inflation in the SM

- Cosmological Inflation
  - Slow-roll inflation



- Inflation during slow-roll era with  $\epsilon \ll 1$  and  $|\eta| \ll 1$ 

$$\epsilon \equiv \frac{1}{2} M_{Pl}^2 \left( \frac{V'}{V} \right)^2, \qquad \eta \equiv M_{Pl}^2 \frac{V''}{V}$$

 Coherent oscillations of inflaton to convert energy to SM particles → Reheating

• Planck constraints





- Content of the universe
  - Baryon acoustic oscillations: power spectrum of galaxy fluctuations
  - Large scale structures: 21cm, Lyα, CMB lensing, galaxy clustering, ...

Lyman  $\alpha$  transition of HI



hyperfine transition of HI

• Supernovae probe of the cosmological expansion











# Why Beyond the SM? Theoretical Puzzles

Standard Model Lagrangian

$$-\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \left|D_{\mu}H\right|^{2} - V(H) + i\overline{\psi}\sigma^{\mu}D_{\mu}\psi + y_{ij}H\psi_{i}\psi_{j}$$

Gauge sector

Higgs sector



### Fermion sector



#### Standard Model of Elementary Particles

Physics beyond the Standard Model

## **Theoretical Puzzles**

- Quantum Gravity
  - General relativity
    - gravity = spacetime curvature

quantized

$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi T_{\mu\nu}$$



- Gravitational force becomes comparable to other forces at

Planck scale:  $M_{Pl} = 2.4 \times 10^{18} \text{GeV}$ 

• Not renormalizable in QFT  $\rightarrow$  String theory (?)

- Gauge Sector
  - SU(3)×SU(2)×U(1) for QCD and EW force
  - Grand Unification
    - Hint from renormalization group flow
    - Charge quantization (magnetic monopole)

c.f. from anomaly cancellation? but scalars, Dirac fermions

• Models: SU(5), SO(10), E<sub>6</sub>, ...



- Proton decay by *B* and *L* violation mediated by heavy gauge bosons Unification scale:  $M_{GUT} \sim 10^{16} \text{GeV}$ 



- Higgs Sector
  - Scalar potential

$$V = -\mu^2 |H|^2 + \lambda |H|^4$$

the only mass parameter in the SM

where v = 246 GeV and  $m_h = 125 \text{GeV}$ 

⇒  $\mu \simeq 88$ GeV and  $\lambda \simeq 0.13$  at the weak scale c.f. QCD scale: natural due to log running of  $\alpha_3$ 



• Vacuum stability: new physics at  $M_{\lambda=0} \sim 10^{9-12} \text{GeV}$ ?



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Non-perturbativity

200

- Higgs Sector
  - Radiative correction to the Higgs mass squared parameter  $\mu^2$



- EW hierarchy problem: sensitive to unknown UV physics

How to stabilize the weak scale?



Higgs Sector

Particle zoo

- Unnatural without new physics around TeV
  - EW naturalness has been considered as a guiding principle to BSM

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- Interesting ideas:

Particles are divided into two families called bosons and fermions. Among them are groups known as leptons, quarks and force-carrying particles like the photon. Supersymmetry doubles the number of particles, giving each fermion a massive boson

## Supersymmetry, Extra dimensions, Strong EWSB, ...





#### Island Universes in Warped Space-Time



(often) WIMP as cold dark matter if realized around TeV

- Higgs Sector
  - Supersymmetry
    - Unique extension of the Poincare spacetime symmetry
    - Symmetry between boson and fermion
  - Weak scale SUSY superpartner particles around the weak scale
    - Natural solution to gauge hierarchy problem
    - Gauge coupling unification
    - WIMP dark matter: Lightest superparticle
    - Explanation of how EWSB occurs
    - Higgs quartic from gauge couplings
    - Local SUSY  $\rightarrow$  Graviton



- Higgs Sector
  - LHC results so far
    - No significant deviations from the SM
    - No clear signals for BSM
  - Direct & indirect dark matter searches so far
    - No evidence of WIMP
  - New approach

Cosmological relaxation of the Higgs boson mass

-  $\mu^2 = \mu^2(\phi)$ : selection by slow-rolling relaxion





- Fermion Sector
  - Quarks and leptons
    - Mass hierarchy



- Weak eigenstate = mixture of mass eigenstates
  - CKM matrix for quarks, PMNS matrix for leptons

Flavor mixing and CP violation in charged weak current interactions

Quark	Lepton	
$ \mathbf{U}_{CKM}  \simeq \begin{pmatrix} 1 & 0.2 & 0.005 \\ 0.2 & 1 & 0.04 \\ 0.005 & 0.04 & 1 \end{pmatrix}$	$ \mathbf{U}_{\rm PMNS}  \simeq \begin{pmatrix} 0.8 & 0.5 & 0.15 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix}$	
3 small angles	2 large angles and 1 small angle	
CP phase: $\delta_{CKM} \simeq 1$	CP phase: $\delta \simeq -\frac{\pi}{2}$ (?)	

- Fermion Sector
  - Quark sector

Why small mixing and hierarchical mass structure?

- Continuous family symmetry spontaneously broken by flavon  $\phi$ 

e.g. Froggatt-Nielson mechanism

$$\rightarrow$$
 Dynamical Yukawa couplings:  $y_{ij} = \lambda_{ij} \left(\frac{\phi}{\Lambda}\right)^{n_{ij}}$  with  $\lambda_{ij} = O(1)$ 

• Lepton sector

Why one small and two large mixing angles?

Different from the quark sector

- Fine-tuning? Non-Abelian discrete symmetries?
- Seesaw + Froggatt-Nielson?

- Fermion Sector
  - GIM mechanism

No flavor-changing neutral current at tree-level in the SM (GIM)

 $\rightarrow$  Strong constraints on flavor and CP violations in BSM models



- Fermion Sector
  - CP violating phases in the QCD sector
    - $\delta_{\text{CKM}} \sim \arg(\det[y_u y_u^+, y_d y_d^+]) \simeq 1.2 \pm 0.3$
    - $\bar{\theta} = \theta + \arg(\det[y_u y_d]) \leftarrow$  Physical if quarks are massive

topological QCD  $\theta$ -term:  $\Delta L = \frac{\theta}{32\pi^2} G_{\mu\nu} \tilde{G}^{\mu\nu}$ 

energy of  $\theta$ -vacuum due to instantons

c.f.  $\theta$ -term of SU(2)  $\rightarrow$  Rotated away by U(1)<sub>B+L</sub> transformation

- Smallness of electric dipole moments
  - Neutron EDM bound



- Fermion Sector
  - Strong CP problem: How to make QCD CP-conserving?
    - Peccei-Quinn solution

Promote to  $\bar{\theta}$  a field, axion, anomalous coupled to gluons

$$\frac{1}{32\pi^2}\frac{a}{f_a}G_{\mu\nu}\tilde{G}^{\mu\nu}$$

Properties of the axion determined by the decay constant  $f_a$ 

• Axion potential from QCD instantons after the QCD phase transition



- Fermion Sector
  - Axion properties





- Axion is cosmologically stable for large  $f_a \rightarrow$  Dark matter candidate

# Extension of the SM BSM Models

## 1-4. BSM

- Extension of the SM
  - SM
    - Not a complete description of nature
    - Experimental results: only small deviations from the SM below TeV
  - How to extend the SM? renormalizable interactions



## 1-4. BSM

- Extension of the SM
  - Heavy particles with sizable coupling to the SM
    - Supersymmetry, extra dimensions, hidden strong forces, ...
    - Constraints from collider searches for new particles, dark matter searches



- d = 5: only one operator without counting flavors
- d = 6: 64 independent operators

## 1-4. BSM

- Extension of the SM
  - Light particles feebly coupled to the SM
    - Portal framework: Interaction between SM and Dark sectors

Dark Higgs	Sterile Neutrino	Dark Photon	Axion
$\lambda S^2 H^{\dagger} H$	yLH <mark>N</mark>	$\epsilon F'_{\mu\nu}B^{\mu\nu}$	$rac{oldsymbol{\phi}}{f}F_{\mu u} ilde{F}^{\mu u}$

- Solution to SM puzzles
- Cosmological importance



## Part II and III BSM

## 1-5. BSM Models

- Two BSM scenarios
  - Axionic Extension
    - Light scalar particles feebly coupled to the SM
    - Light scalar from continuous shift symmetry
  - Supersymmetric Extension
    - Heavy particles above the weak scale with sizable coupling to the SM
    - Supersymmetry to remove the UV sensitivity of scalar fields

Let's discuss how to resolve the puzzles of the SM within those scenarios!