



**FWF**

Der Wissenschaftsfonds.

# ***Scalar-field dark matter versus standard CDM: looking for deviance***

**Tanja Rindler-Daller**

Elise Richter Fellow

Institut f. Astrophysik, Univ.Sternwarte Wien, Univ. of Vienna

with **Paul R. Shapiro** (U Texas, Austin) and **Bohua Li** (Tsinghua U, Beijing)

CoSyne 2019, Institut d'astrophysique de Paris

Li, Shapiro, Rindler-Daller 2017 PRD, 96, 063505 (arXiv: 1611.07961)  
Li, Rindler-Daller, Shapiro 2014 PRD, 89, 083536 (arXiv: 1310.6061)

# Scalar-field dark matter (SFDM)

“a zoo of (similar) animals”

Ultralight axions (**ALPs**)  
(axion-like potential)

Bose-Einstein-condensed DM  
(**BECDM**)

**fuzzy / quantum wave** DM, **free** SFDM

(no self-interaction)

**repulsive / fluid** DM

(strong, positive self-interaction)

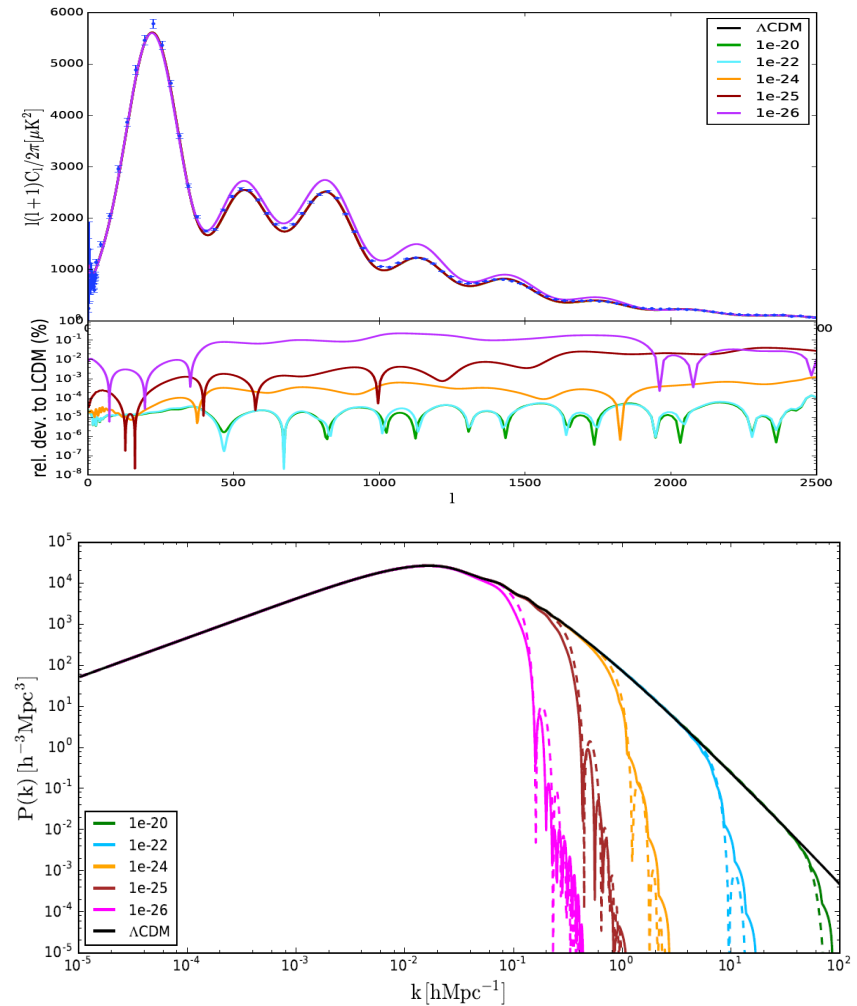
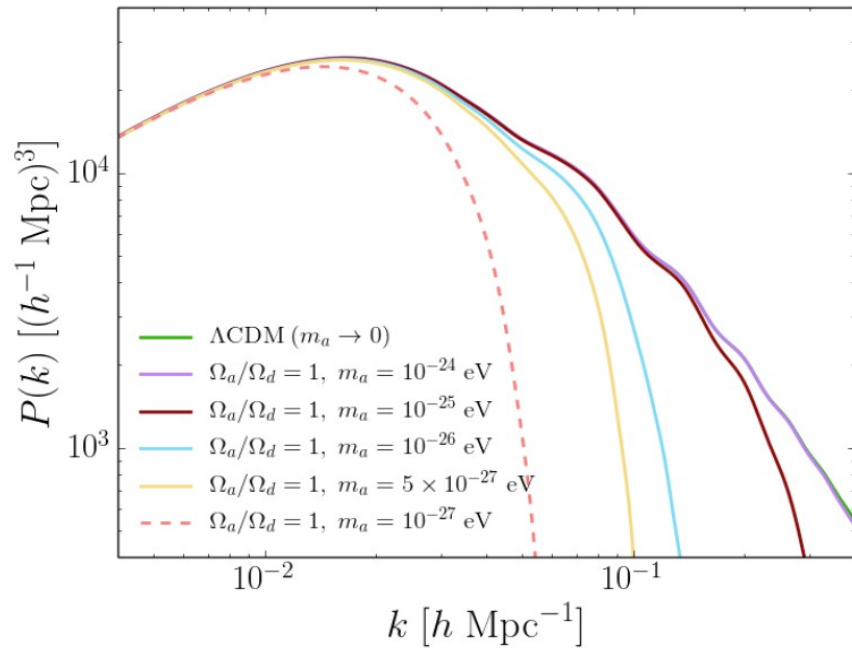
They all obey a similar EoM, if an effective classical field description is adopted; its physics gives rise to a minimum clustering scale. To “resolve” galactic small-scale problems, need ultralight particles

$$10^{-23} \text{ eV} \lesssim m \lesssim 10^{-20} \text{ eV}$$

# SFDM and power spectra

Ureña-Lopez & Gonzalez-Morales (2016)

Hlozek et al (2015)



**Note:** *my talk focuses on deviations from CDM on a grand scale, in fact wrt the evolution of the background universe !!*

*How much “deviance” is allowed ?*

- Neff and GWs help to find out*
- constrain SFDM particle parameters*

# Scalar Field Dark Matter (SFDM)

*real or complex scalar field  $\psi$  (model-dependent)*

$$\mathcal{L} = \frac{\hbar^2}{2m} g^{\mu\nu} \partial_\mu \psi^* \partial_\nu \psi - V(\psi)$$

units:  $[\mathcal{L}] = [\text{eV}/\text{cm}^3]$ ,  $[\psi] = \text{cm}^{-3/2}$ , (+, -, -, -)

*$V(\psi)$  is model-dependent*

QCD axion, ALPs:  $V_a = f_a^2 m_a^2(t) [1 - \cos(a/f_a)]$

→ upon expansion: quadratic (+ quartic)

**$\psi^4$  - potential:**  $V(\psi) = \frac{1}{2} m c^2 |\psi|^2 + \frac{\lambda}{2} |\psi|^4$   $\lambda = \hat{\lambda} \frac{\hbar^3}{m^2 c}$

*Quartic term:*  $\lambda$  is an energy-independent coupling constant,  $\lambda > 0$ : repulsive,  $\lambda < 0$ : attractive

→ ***fundamental SFDM parameters:  $m$  and  $\lambda$***

# Scalar Field Dark Matter (SFDM)

*if  $\psi$  is complex* → U(1)-symmetry, particle number conserved  
→ no self-annihilation !

$$\rho_{SFDM,0} = n_{SFDM,0} mc^2 = \Omega_{DM} \rho_{crit,0}$$

*if  $\psi$  is real* → no U(1) symmetry, self-annihilation, but particle number *approximately* conserved in the non-relativistic limit

Equation of states (EOS) encountered:

„oscillation“	$0 < w < 1/3$
„slow-roll“	$w = -1$
„fast-roll“	$w = 1$

Eventually, in order to behave „**CDM-like**“ ( $w_{\text{average}} = 0$ ) : need quadratic term  
(all models require  $w_{\text{average}} = 0$  after  $z_{\text{eq}}$  → imposes important constraint !)

# Equations of motion (EoM)

Klein-Gordon equation for the SFDM field  $\psi$

$$g^{\mu\nu} \partial_\mu \partial_\nu \psi - g^{\mu\nu} \Gamma^\sigma_{\mu\nu} \partial_\sigma \psi + \frac{m^2 c^2}{\hbar^2} \psi + \frac{2\lambda m}{\hbar^2} |\psi|^2 \psi = 0$$

...which is **minimally coupled to GR**  $R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = \frac{8\pi G}{c^4} T_{\mu\nu}$

A standard flat FLRW background Universe is usually assumed.

(side remark: In the „CDM-like“ SFDM-dominated epoch, well within the horizon, the non-relativistic limits yield a nonlinear **Gross-Pitaevskii („Schrödinger“)** equation:)

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \Delta \psi + \lambda |\psi|^2 \psi + m\Phi \psi$$

$$\Delta \Phi = 4\pi G m |\psi|^2$$

# Evolution of background SFDM in an FLRW Universe

Compare size of SF oscillation freq  $\omega$  to Hubble expansion rate  $H$

- Fast oscillation regime („oscillation“):

$$\omega / H \gg 1$$

disp.relation:  $\omega = \omega(V)$ ,

e.g.

$$\omega = \frac{mc^2}{\hbar} \sqrt{1 + \frac{2\lambda}{mc^2} |\psi|^2}$$

“easy”

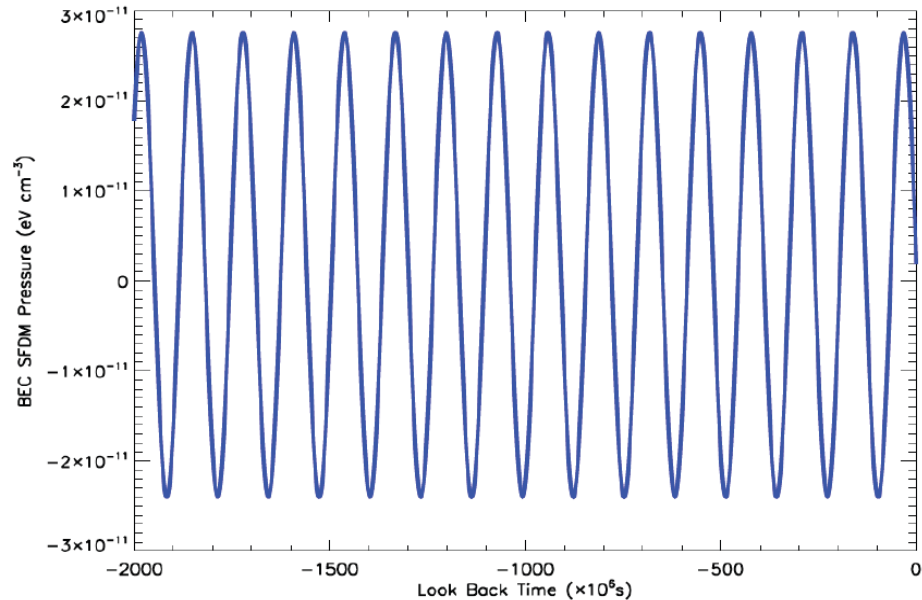
- Slow oscillation regime:

$$\omega / H \ll 1$$

“hard”

kinetic energy  $\equiv 0$ :  $w = -1$  CC EOS (“constant energy density”)

kinetic energy  $\neq 0$ :  $w = 1$  stiff EOS (“kination”, „stiff phase“)



***Non-standard expansion histories and consequences for structure formation !***



## real vs. complex SFDM

- **Real** e.g. QCD axion, axion-like particles:  
first  $w = -1$  (*CC phase*), later  $w = 0$  (*CDM-like phase*)
- **Complex** e.g. our model, Arbey et al.(2002), Boyle et al.(2003):  
first  $w = 1$  (*stiff phase*), later  $w = 0$  (*CDM-like phase*)  
if  $\lambda > 0 \rightarrow w = 1/3$  (*intermediate rad.-like phase*)

**Real:** whether EOS stiffer than  $w = 0$  depends on choice of potential and initial condition. That choice is usually set the same than for axions  $\rightarrow$  CC

**Complex:** the requirement of setting the (conserved) charge density to the present-day DM abundance leads naturally to the stiff phase !

- SFDM with  $w \gtrsim 1/3$  affects  $\Delta N_{\text{eff}} = N_{\text{eff}} - 3.046$  !

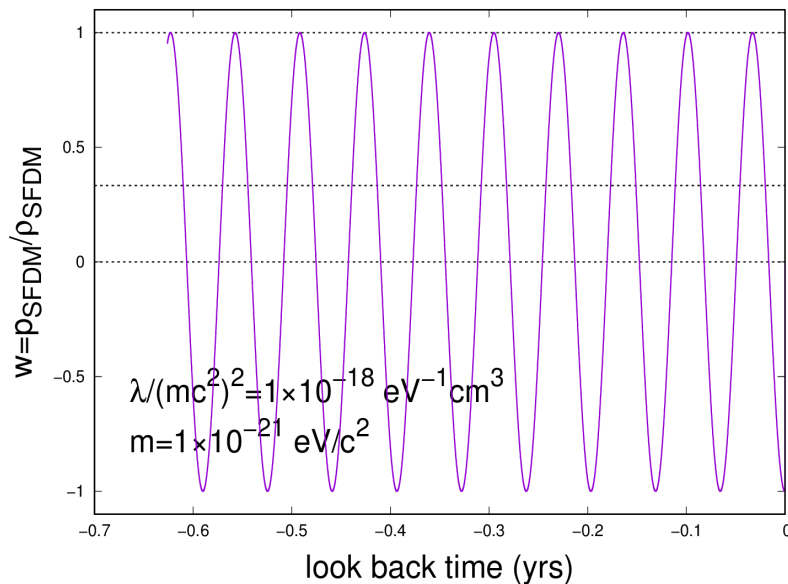
While  $w = 0$  required not later than  $z_{\text{eq}}$ , deviations are allowed before BBN, but lead to constraints on the SFDM model !

# Field oscillations of SFDM: real vs. complex

e.g. in the “CDM-like” SFDM-dominated epoch (“matter domination”):  
*the average  $w$  oscillates around zero, however:*

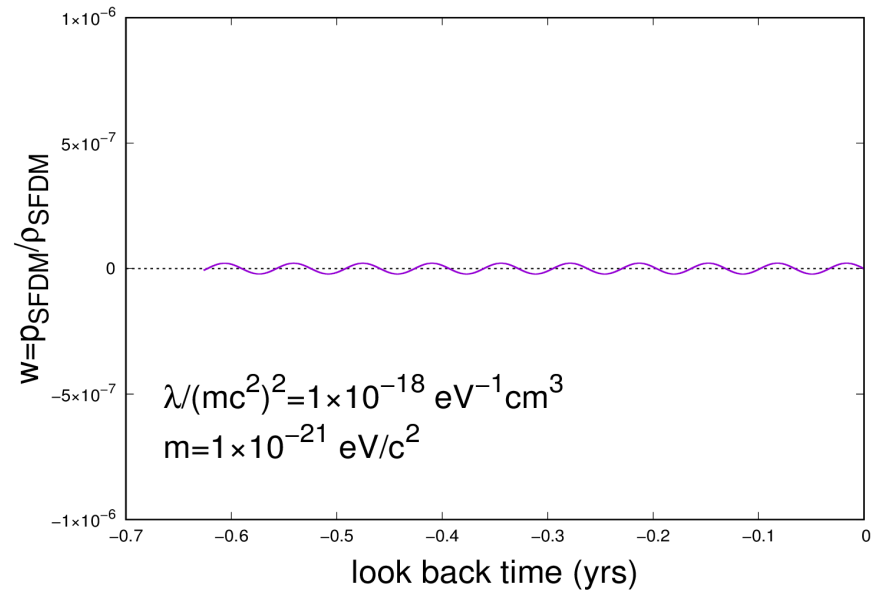
**Real field:**

$w$  oscillates between -1 and 1



**Complex field (large-charge limit):**

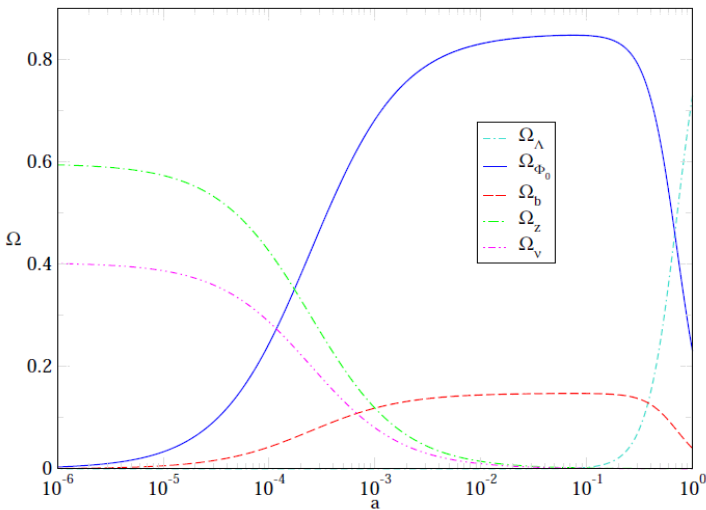
$w$  oscillates between - $c$  and + $c$  where  $c \lll 1$



***impacts structure formation, down to pulsar-timing signals within the Milky Way!***

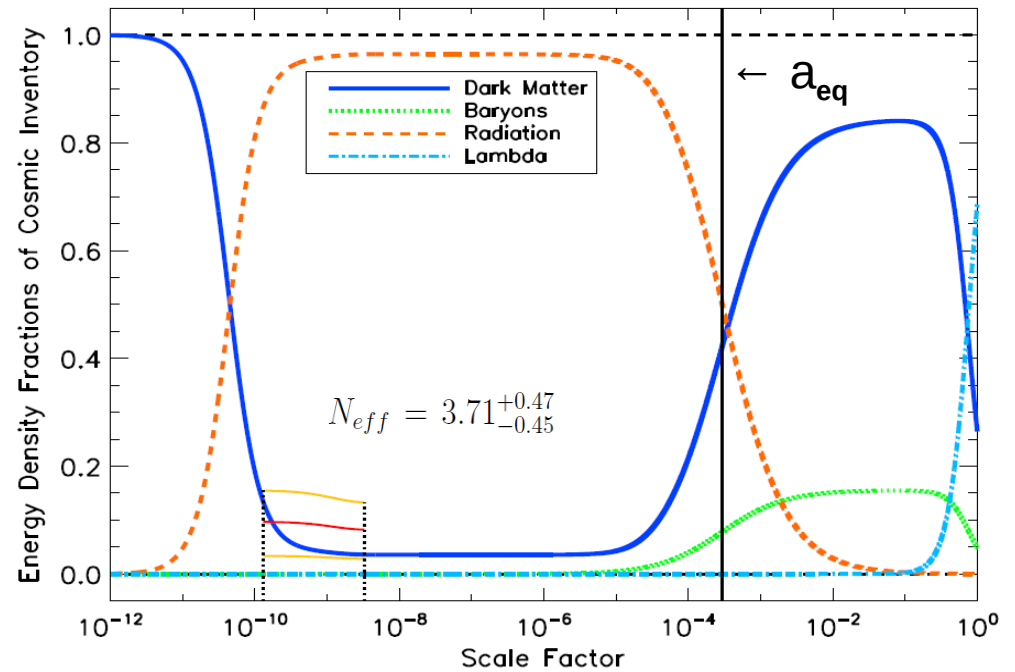
# real vs. complex SFDM: evolution of $\Omega$ 's

Magaña, Matos (2012)



$m = 10^{-22}$  eV

Li, TRD, Shapiro (1310.6061)



$$(m, \lambda)_{\text{fiducial}} = (3 \times 10^{-21} \text{ eV}/c^2, 1.8 \times 10^{-59} \text{ eV cm}^3)$$

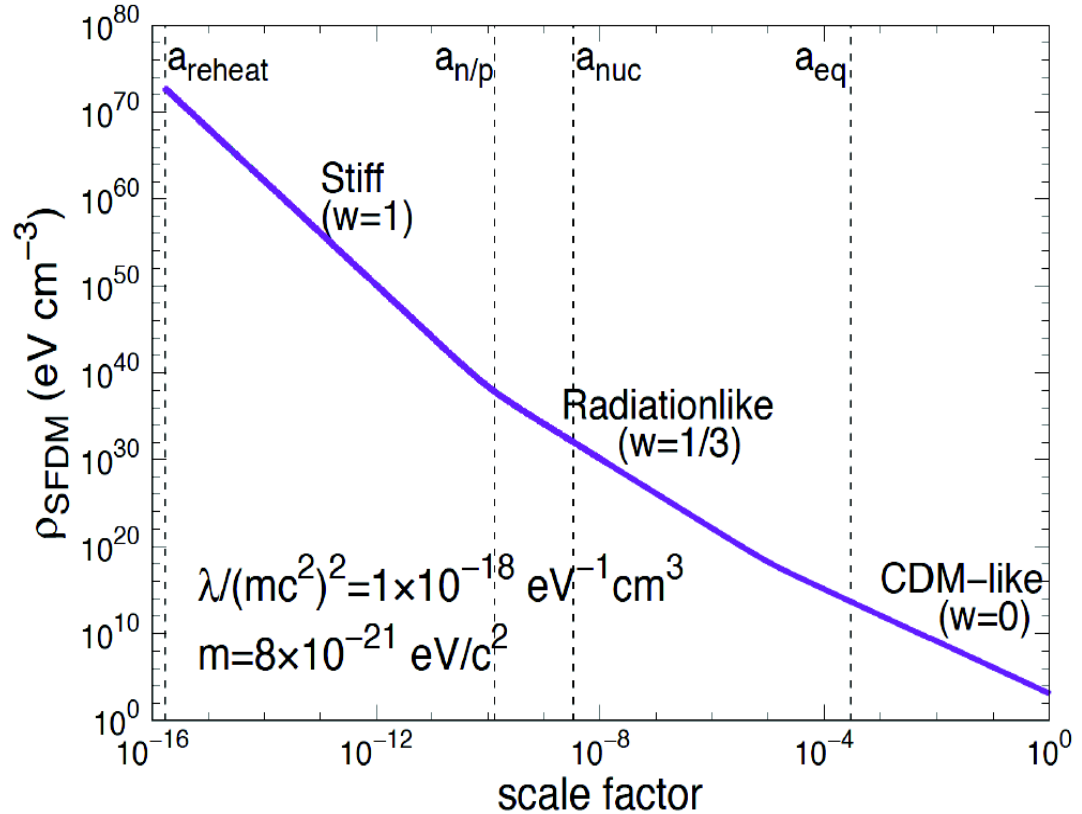
# cSFDM with repulsive SI has 3 phases:

*EOS:  $(p/\rho)_{SFDM} = w(t)$*

- (1) Early:  $w = 1$**   
(stiff EOS)
- (2) Intermediate:  $w = 1/3$**   
(radiationlike, if positive SI)
- (3) Late:  $w = 0$**   
(non-relativistic matter)

*→ change of standard expansion history !*

$\Omega_{SFDM} \rightarrow 1$  at early times



***Early Universe dominated by stiff cSFDM !***

***→ implies additional  $N_{eff}$  during (1) and (2) !***

***→ amplifies primordial GWs from inflation during (1) !***

# $\Lambda$ SFDM Model (2014) + GW (2017)

**2014:** take the same cosmic inventory as the basic  $\Lambda$ CDM model, except that

CDM is replaced by SFDM  $\rightarrow$   $\Lambda$ SFDM

**2017:** add stochastic GW background (**SGWB**) from inflation self-consistently to it

$$\Omega_m = \Omega_b + \Omega_c$$

Cosmological parameters from **Planck 2013/2015**

(assume massless SM neutrinos)

$$\Omega_\Lambda = 1 - \Omega_m - \Omega_r \quad (2014)$$

$$\Omega_\Lambda = 1 - \Omega_m - \Omega_r - \Omega_{\text{GW}} \quad (2017)$$

- SFDM particle parameters:  $m, \lambda/(mc^2)^2$

$$\lambda/(mc^2)^2 = 1 \times 10^{-18} \text{ eV}^{-1} \text{ cm}^3 \Rightarrow l_{SI} \approx 0.8 \text{ kpc}$$

$$\mathcal{L} = \frac{\hbar^2}{2m} g^{\mu\nu} \partial_\mu \psi^* \partial_\nu \psi - \frac{1}{2} mc^2 |\psi|^2 - \frac{\lambda}{2} |\psi|^4,$$

- Global U(1) symmetry  $\Rightarrow$  Charge (particle number density) conservation

$$Q \equiv n - \bar{n} = \rho_{\text{SFDM},0} / (mc^2)$$

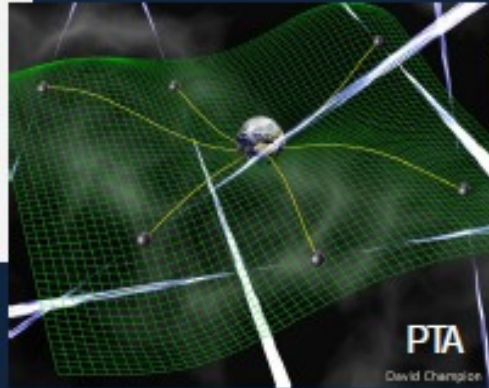
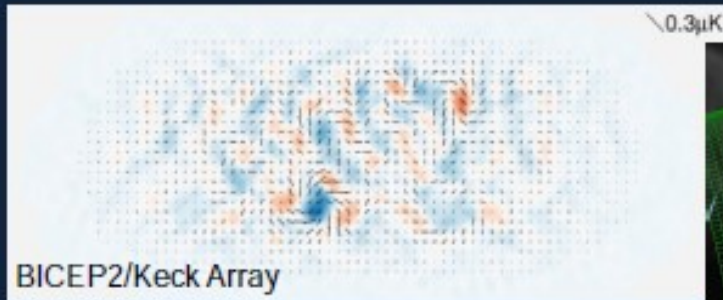
- Tensor-to-scalar ratio:  $r = A_T/A_S$

- Reheating temperature:  $T_{\text{reheat}}$

$$H_{\text{inf}} = \frac{\pi M_{\text{pl}}}{\hbar} \sqrt{r A_s}$$

*inflationary  
paradigm*

# Stochastic Gravitational-Wave Background from *Inflation*



## Single-field slow-roll inflation

- $r > 0.001$
- Consistency relation  $n_t = -r/8$

Subhorizon inflationary SGWB energy density spectrum:

$$\Omega_{GW}(k, a) = \frac{\Delta_{h,init}^2(k)}{12} \left(\frac{kc}{aH}\right)^2 T_h(k, a), \quad \Delta_{h,init}^2(k) = A_T(k/k_*)^{n_t}$$



# $\rho_{\text{GW}}(t)$ : Tensor Mode Perturbations in the $\Lambda$ SFDM Universe

Tensor mode equation of motion in Fourier space:

$$h_k''(\tau) + 2 \frac{a'(\tau)}{a(\tau)} h_k'(\tau) + k^2 h_k(\tau) = 0$$

GW spectrum vs.  $k$   
at scale factor  $a(t)$ :

$$\Omega_{\text{GW}}(k, a) \equiv \frac{d\Omega_{\text{GW}}(a)}{d \ln k} = \frac{1}{\rho_{\text{crit}}(a)} \frac{d\rho_{\text{GW}}(a)}{d \ln k}$$

$$= \frac{\Delta_h^2(k, a) c^2}{24 a^2 H^2(a)} \left( \left| \frac{h_k'(a(\tau))}{h_k(a(\tau))} \right|^2 + k^2 \right) \quad \text{conformal time: } d\tau \equiv dt / a(t)$$

- In subhorizon limit, different modes contribute to  $\rho_{\text{GW}}(t)$  according to the expansion phase during which they re-entered the horizon, how many e-foldings elapse in each phase since horizon crossing, and the initial power spectrum:  $\Delta_{h,\text{init}}^2(k) \simeq k^0$

$$w = 0 \text{ (reheating era)} \leftrightarrow \Omega_{\text{GW}}^m(k, \tau) \simeq \frac{\Delta_{h,\text{init}}^2(k)}{24} \cdot \frac{9}{4} \frac{1}{(k\tau)^2}, \quad \text{Red tilt}$$

$$w = 1 \text{ (stiff-SFDM-dominated) era} \leftrightarrow \Omega_{\text{GW}}^{\text{stiff}}(k, \tau) \simeq \frac{\Delta_{h,\text{init}}^2(k)}{24} \cdot \frac{8}{\pi} k\tau, \quad \text{Blue tilt}$$

$$w = 1/3 \text{ (radiation-dominated era)} \leftrightarrow \Omega_{\text{GW}}^{\text{rad}}(k, \tau) \simeq \frac{\Delta_{h,\text{init}}^2(k)}{24}.$$

# Holistic Evolution of the $\Lambda$ SFDM Universe

- Friedmann equation

$$H^2(t) \equiv \left(\frac{da/dt}{a}\right)^2 = \begin{cases} H_{\text{inf}}^2, & a < a_{\text{inf}}, \\ H_{\text{inf}}^2 \left(\frac{a_{\text{inf}}}{a(t)}\right)^3, & a_{\text{inf}} < a < a_{\text{reheat}}, \\ \frac{8\pi G}{3c^2} [\rho_r(t) + \rho_b(t) + \rho_\Lambda(t) + \rho_{\text{SFDM}}(t) + \rho_{\text{GW}}(t)], & a > a_{\text{reheat}}, \end{cases}$$

SGWB contribution to the expansion history *self-consistently* included

$$\begin{aligned} \Omega_{\text{GW}}(k, a) &\equiv \frac{d\Omega_{\text{GW}}(a)}{d \ln k} = \frac{1}{\rho_{\text{crit}}(a)} \frac{d\rho_{\text{GW}}(a)}{d \ln k} \\ &= \frac{\Delta_h^2(k, a) c^2}{24a^2 H^2(a)} \left( \left| \frac{h'_k(a(\tau))}{h_k(a(\tau))} \right|^2 + k^2 \right) \end{aligned}$$

conformal time:  $d\tau \equiv dt / a(t)$

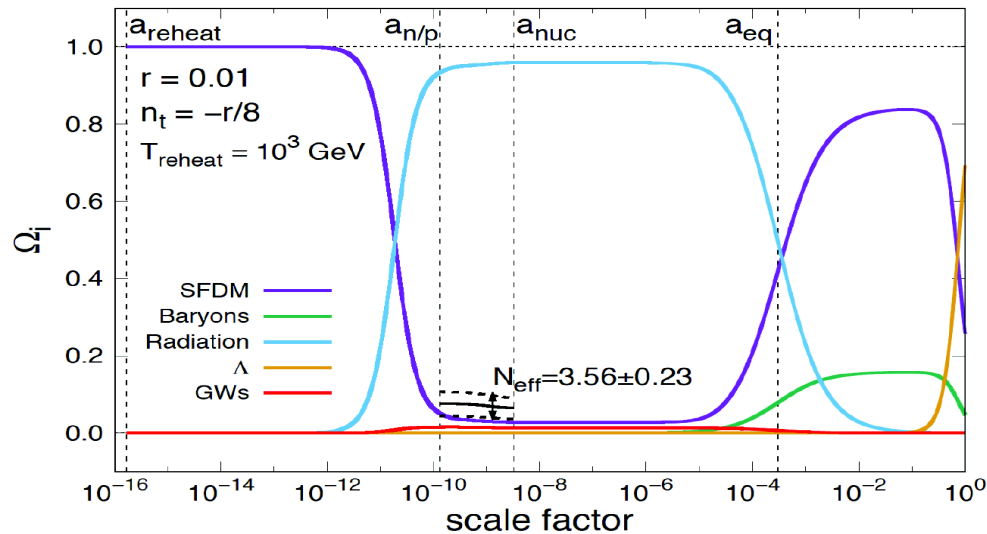
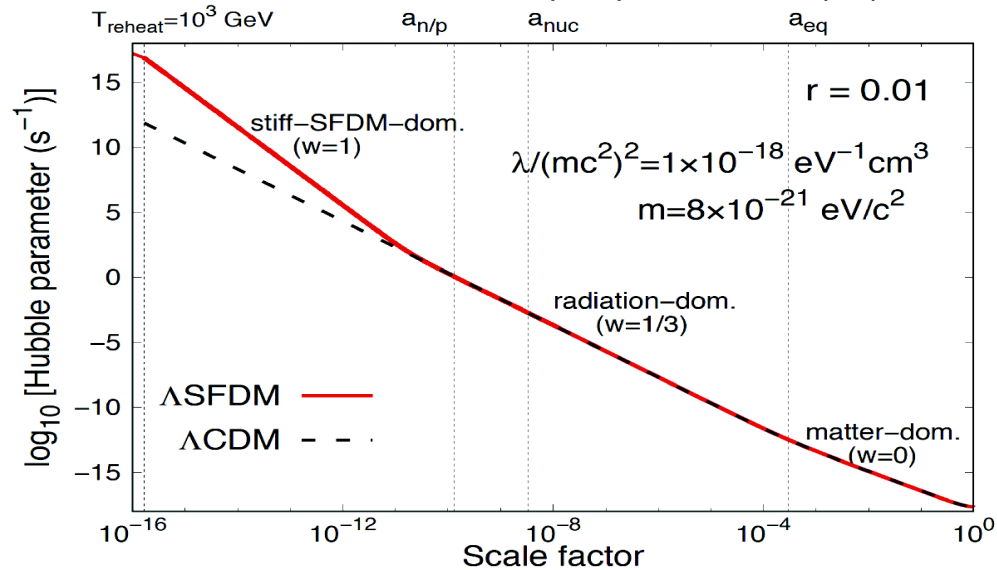
- Klein-Gordon Equation

$$\frac{\hbar^2}{2mc^2} \ddot{\psi} + 3 \frac{\hbar^2}{2mc^2} \frac{\dot{a}}{a} \dot{\psi} + \frac{1}{2} mc^2 \psi + \lambda |\psi|^2 \psi = 0,$$

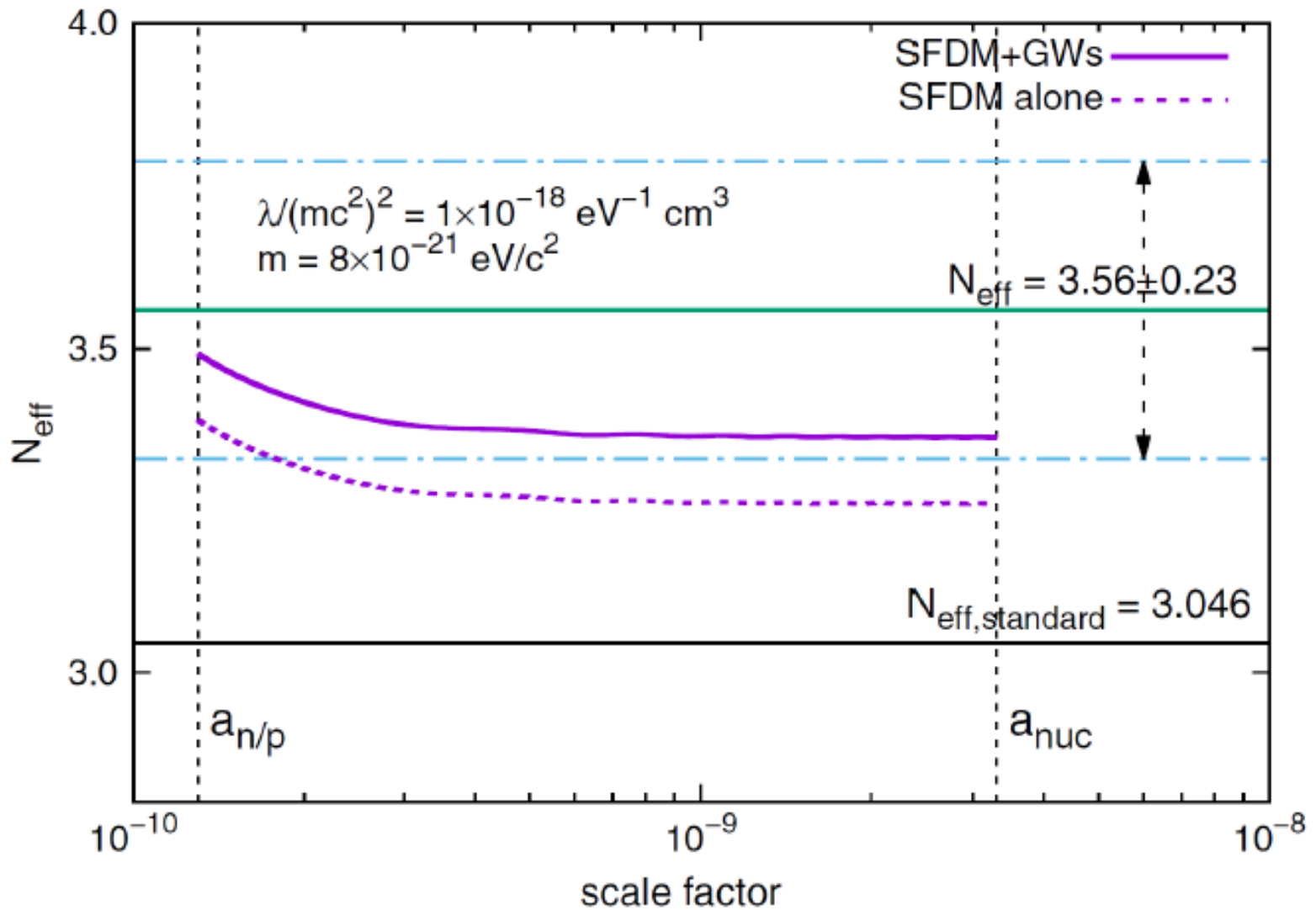


# $\Lambda$ SFDM+Inflation: the Universe has 6 eras

Inflation  $\rightarrow$  Reheating  $\rightarrow$  Stiff-SFDM-dom.  $\rightarrow$  Radiation-dom.  $\rightarrow$  Matter-dom.  $\rightarrow$   $\Lambda$ -dom.  
 ( $w=-1$ ) ( $w=0$ ) ( $w=1$ ) ( $w=1/3$ ) ( $w=0$ ) ( $w=-1$ )



# $N_{\text{eff}}$ during BBN



# $\Lambda$ SFDM + SGWB

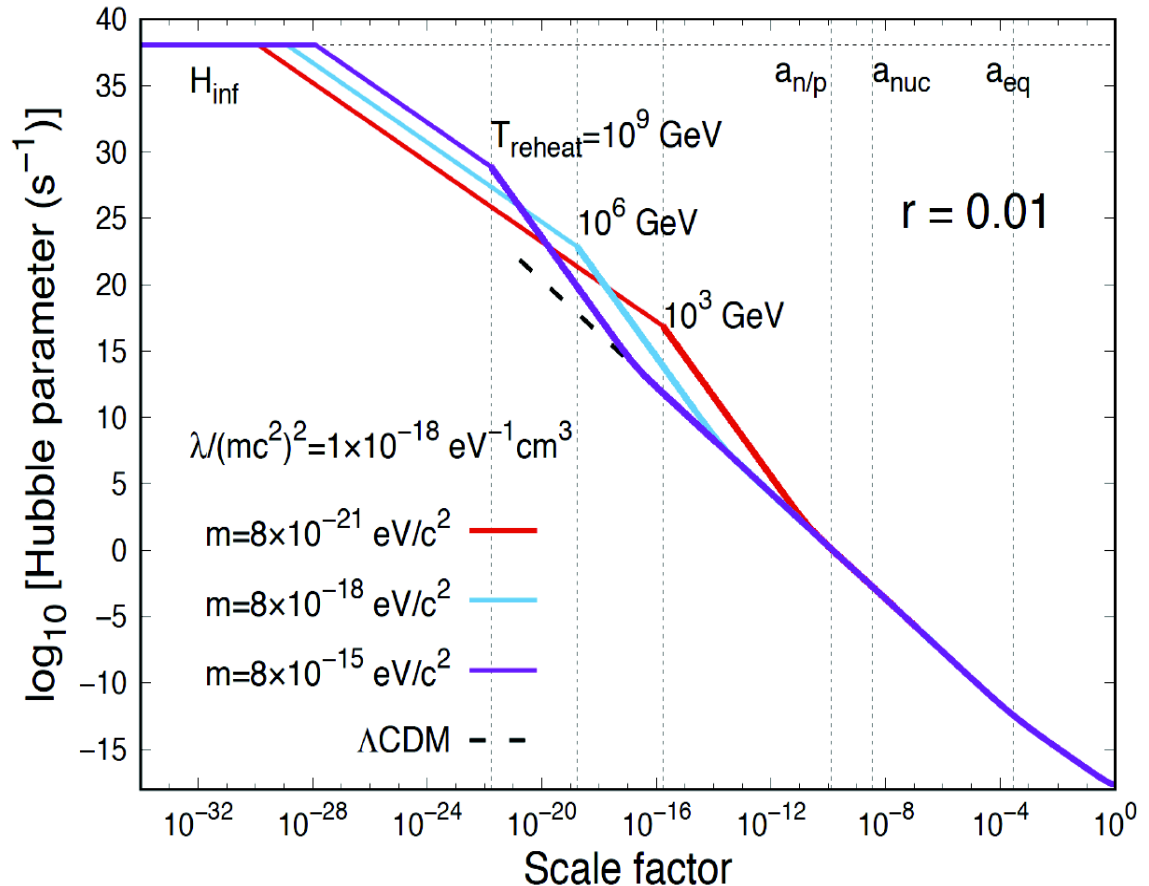
Limiting the duration of the stiff phase after reheating and before BBN  
constrains SFDM parameters via their contribution to  $N_{\text{eff}}$

- for given  $r$ :  
 the smaller the DM mass,  
 the later must  
 reheating occur
- Matter-radiation equality:

$$1 + z_{\text{eq}} \equiv \frac{1}{a_{\text{eq}}} = \frac{\Omega_b h^2 + \Omega_c h^2}{\Omega_r h^2 + \Omega_{\text{GW}} h^2}$$

- $N_{\text{eff}}$  during BBN:

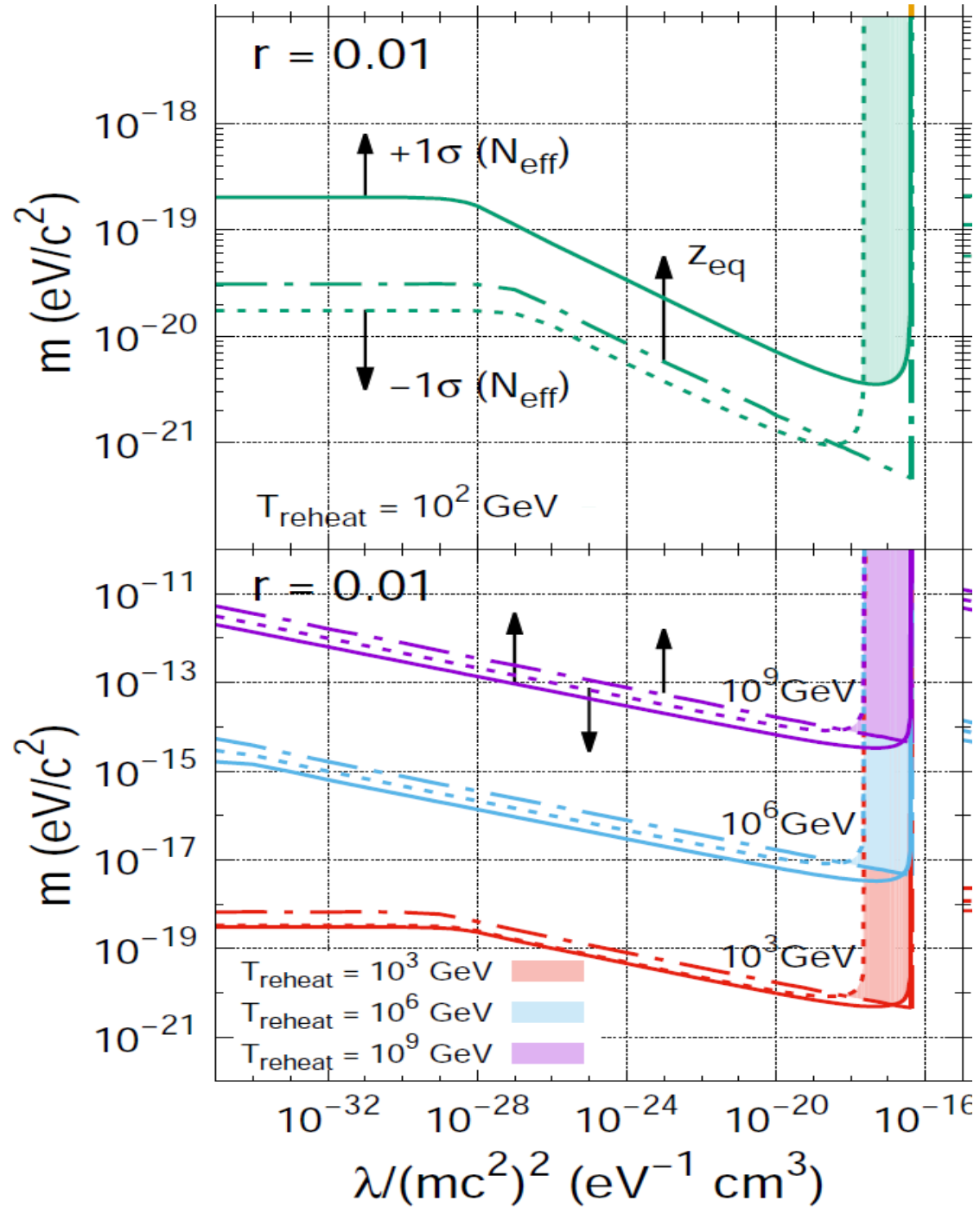
$$\frac{\Delta N_{\text{eff, BBN}}(a)}{N_{\text{eff, standard}}} = \frac{\Omega_{\text{SFDM}}(a) + \Omega_{\text{GW}}(a)}{\Omega_\nu(a)}$$



# Constraints from $z_{\text{eq}}$ and BBN on the SFDM parameters with GW background included

$Z_{\text{eq}} = 3365 \pm 44$   
(68% C.L.)

$N_{\text{eff}}, \text{BBN} = 3.56 \pm 0.23$   
(68% C.L.)



**Constraints from  
 $z_{\text{eq}}$  and BBN  
on the SFDM  
parameters with  
GW background  
included**

$$2.3 \times 10^{-18} \text{ eV}^{-1} \text{ cm}^3 \leq \frac{\lambda}{(\text{mc}^2)^2} \leq 4.1 \times 10^{-17} \text{ eV}^{-1} \text{ cm}^3,$$

$$m_{\text{min}} \simeq (5 \times 10^{-21} \text{ eV}/c^2) \times \begin{cases} \frac{T_{\text{reheat}}}{10^3 \text{ GeV}} \sqrt{\frac{r}{0.01}}, & T_{\text{reheat}} \gtrsim 10^3 \text{ GeV}, \\ 1, & T_{\text{reheat}} < 10^3 \text{ GeV}. \end{cases}$$

# Cosmological Constraints on the SFDM Particle Parameters

- Matter-radiation equality:  $z_{\text{eq}}$

$$1 + z_{\text{eq}} \equiv \frac{1}{a_{\text{eq}}} = \frac{\Omega_b h^2 + \Omega_c h^2}{\Omega_r h^2 + \Omega_{\text{GW}} h^2},$$

- Effective number of neutrino species at BBN:  $N_{\text{eff}}$

$$\frac{\Delta N_{\text{eff, BBN}}(a)}{N_{\text{eff, standard}}} = \frac{\Omega_{\text{SFDM}}(a) + \Omega_{\text{GW}}(a)}{\Omega_\nu(a)},$$

- ➔ SGWB measured by laser interferometers:

$$\Omega_{\text{GW}}(f) \text{ at } a=1$$

# $\rho_{\text{GW}}(t)$ : Tensor Mode Perturbations in the $\Lambda$ SFDM Universe

Tensor mode equation of motion in Fourier space:

$$h_k''(\tau) + 2 \frac{a'(\tau)}{a(\tau)} h_k'(\tau) + k^2 h_k(\tau) = 0$$

GW spectrum vs.  $k$   
at scale factor  $a(t)$ :

$$\Omega_{\text{GW}}(k, a) \equiv \frac{d\Omega_{\text{GW}}(a)}{d \ln k} = \frac{1}{\rho_{\text{crit}}(a)} \frac{d\rho_{\text{GW}}(a)}{d \ln k}$$

$$= \frac{\Delta_h^2(k, a) c^2}{24 a^2 H^2(a)} \left( \left| \frac{h_k'(a(\tau))}{h_k(a(\tau))} \right|^2 + k^2 \right) \quad \text{conformal time: } d\tau \equiv dt / a(t)$$

- In subhorizon limit, different modes contribute to  $\rho_{\text{GW}}(t)$  according to the expansion phase during which they re-entered the horizon, how many e-foldings elapse in each phase since horizon crossing, and the initial power spectrum:  $\Delta_{h,\text{init}}^2(k) \simeq k^0$

$$w = 0 \text{ (reheating era)} \leftrightarrow \Omega_{\text{GW}}^m(k, \tau) \simeq \frac{\Delta_{h,\text{init}}^2(k)}{24} \cdot \frac{9}{4} \frac{1}{(k\tau)^2}, \quad \text{Red tilt}$$

$$w = 1 \text{ (stiff-SFDM-dominated) era} \leftrightarrow \Omega_{\text{GW}}^{\text{stiff}}(k, \tau) \simeq \frac{\Delta_{h,\text{init}}^2(k)}{24} \cdot \frac{8}{\pi} k\tau, \quad \text{Blue tilt}$$

$$w = 1/3 \text{ (radiation-dominated era)} \leftrightarrow \Omega_{\text{GW}}^{\text{rad}}(k, \tau) \simeq \frac{\Delta_{h,\text{init}}^2(k)}{24}.$$

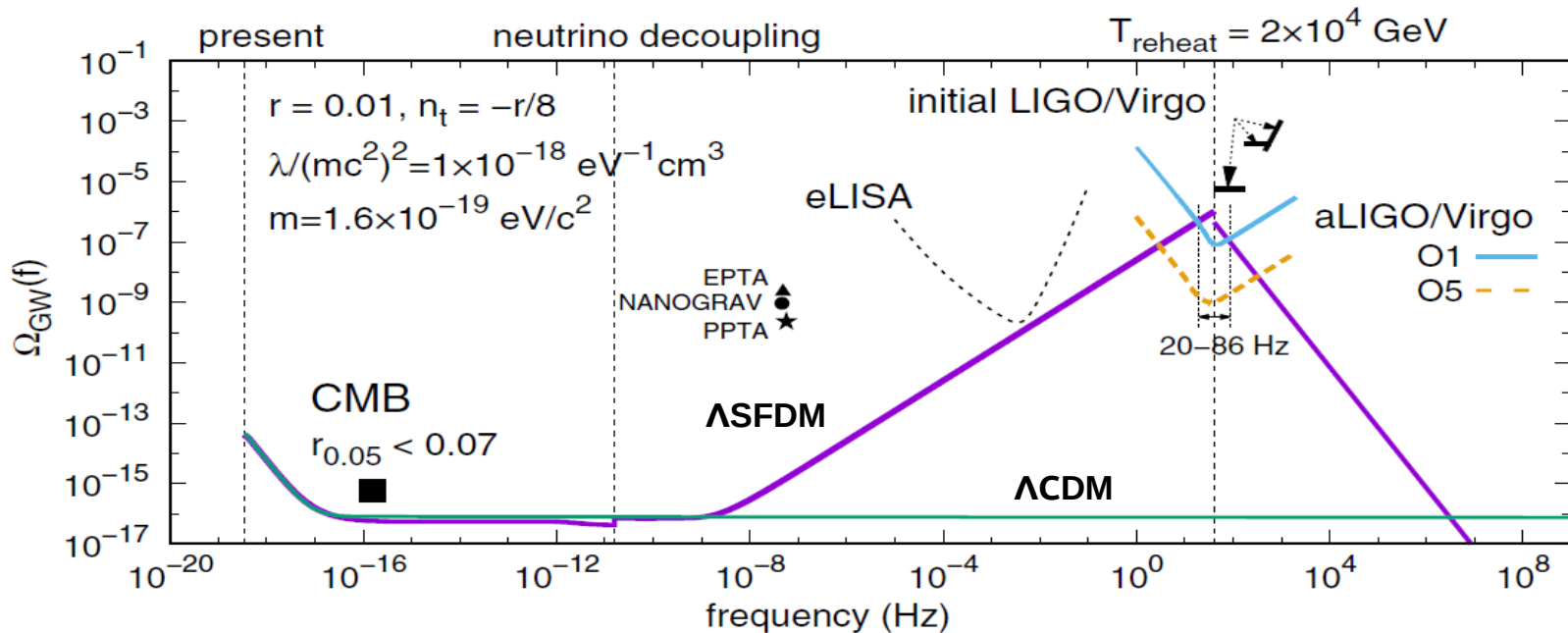


# ASFDM + SGWB:

enhanced signal of inflationary SGWB due to DM !

**Stiff-SFDM-dominated era amplifies SGWB from (standard) inflation:  
can be measured/constrained by GW laser interferometers !**

## Case 2



**ASFDM predicts 2-parameter broken power-law spectrum at high frequencies:**

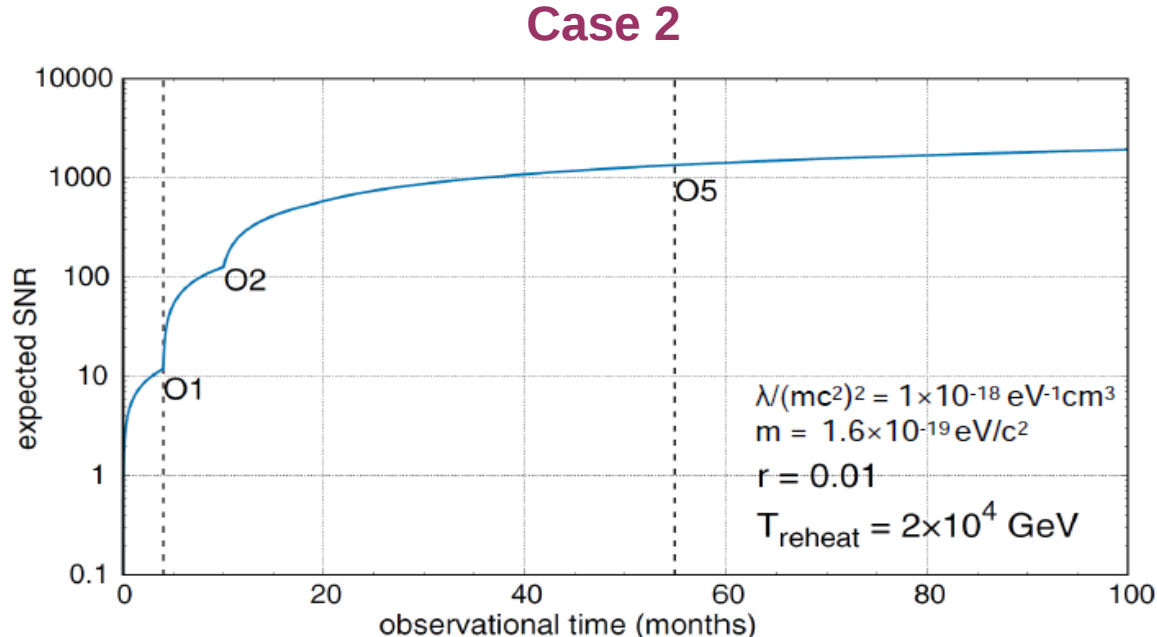
$$\Omega_{\text{GW}}(f) = \Omega_{\text{GW},\text{peak}} \times \begin{cases} f / f_{\text{peak}}, & f \leq f_{\text{peak}} \\ \frac{9\pi}{64} (f / f_{\text{peak}})^{-2}, & f > f_{\text{peak}} \end{cases}$$



## ΛSFDM + SGWB:

enhanced signal of inflationary SGWB due to DM !

Stiff-SFDM-dominated era amplifies SGWB from (standard) inflation:  
can be measured/constrained by GW laser interferometers !



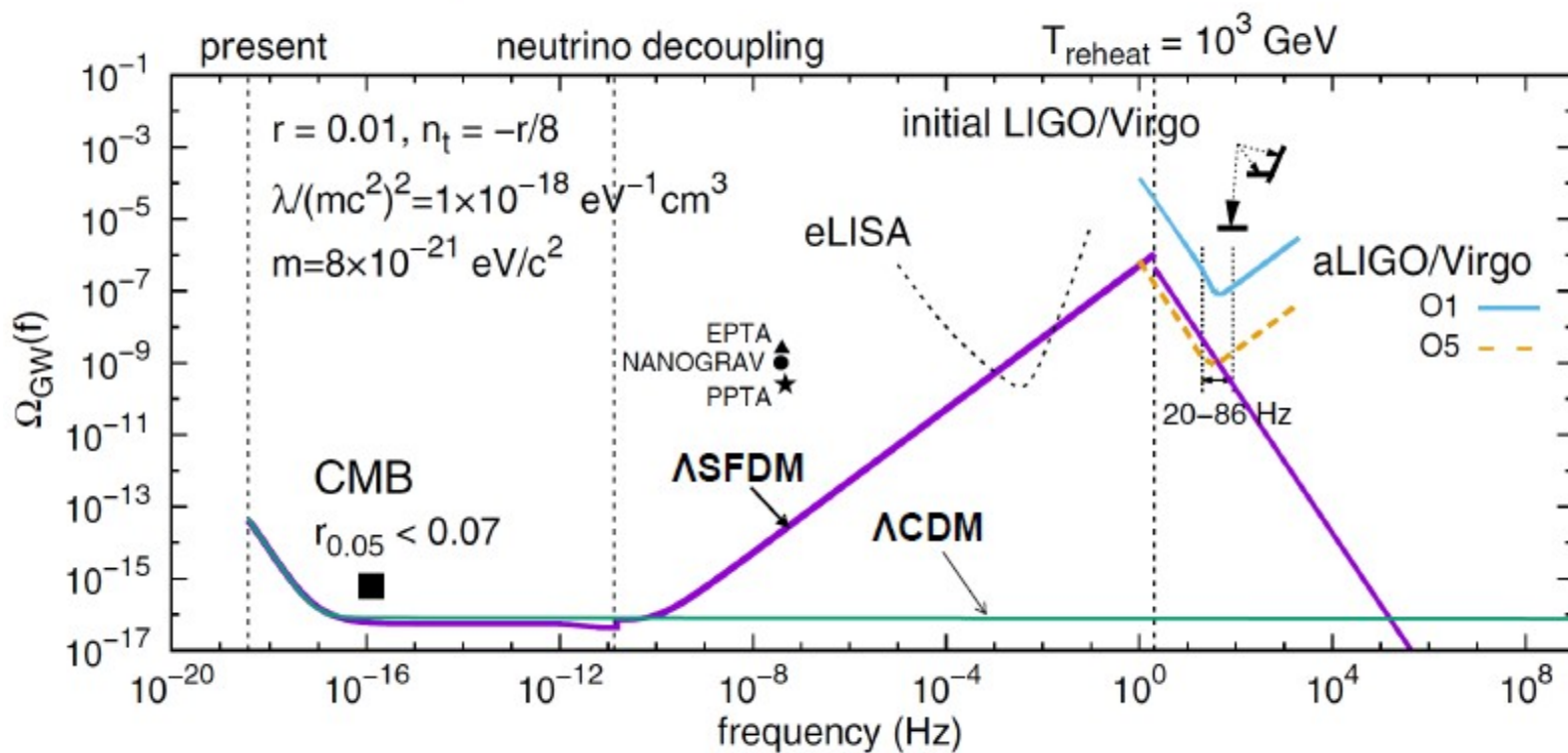
Upper limit from LIGO O1 data excludes case 2 at 95% CL

→ *The Age of DM Search/Constraints by GW Detection has begun !*

# $\Lambda$ SFDM + SGWB:

**Stiff-SFDM-dominated era amplifies SGWB from (standard) inflation:**  
**can be measured/constrained by GW laser interferometers !**

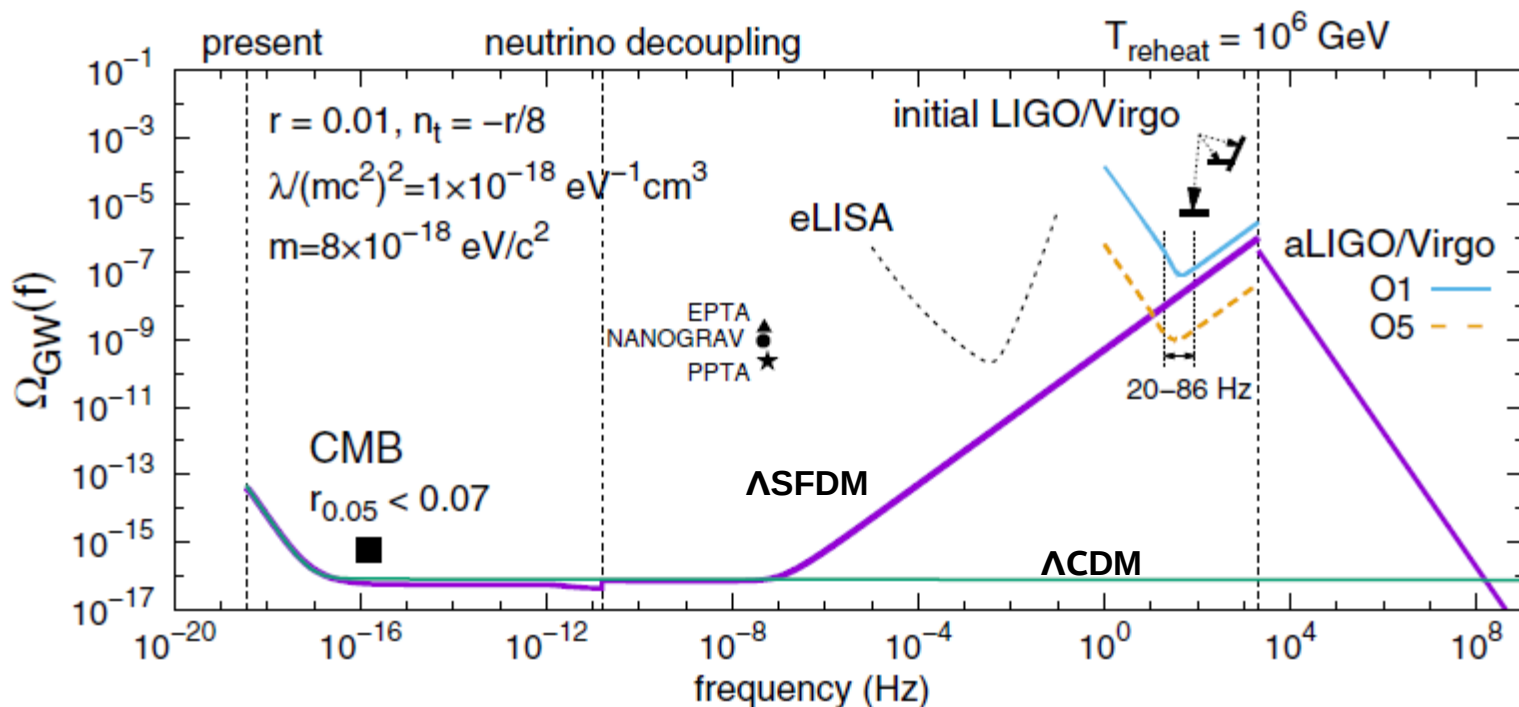
## Case 1



# $\Lambda$ SFDM + SGWB:

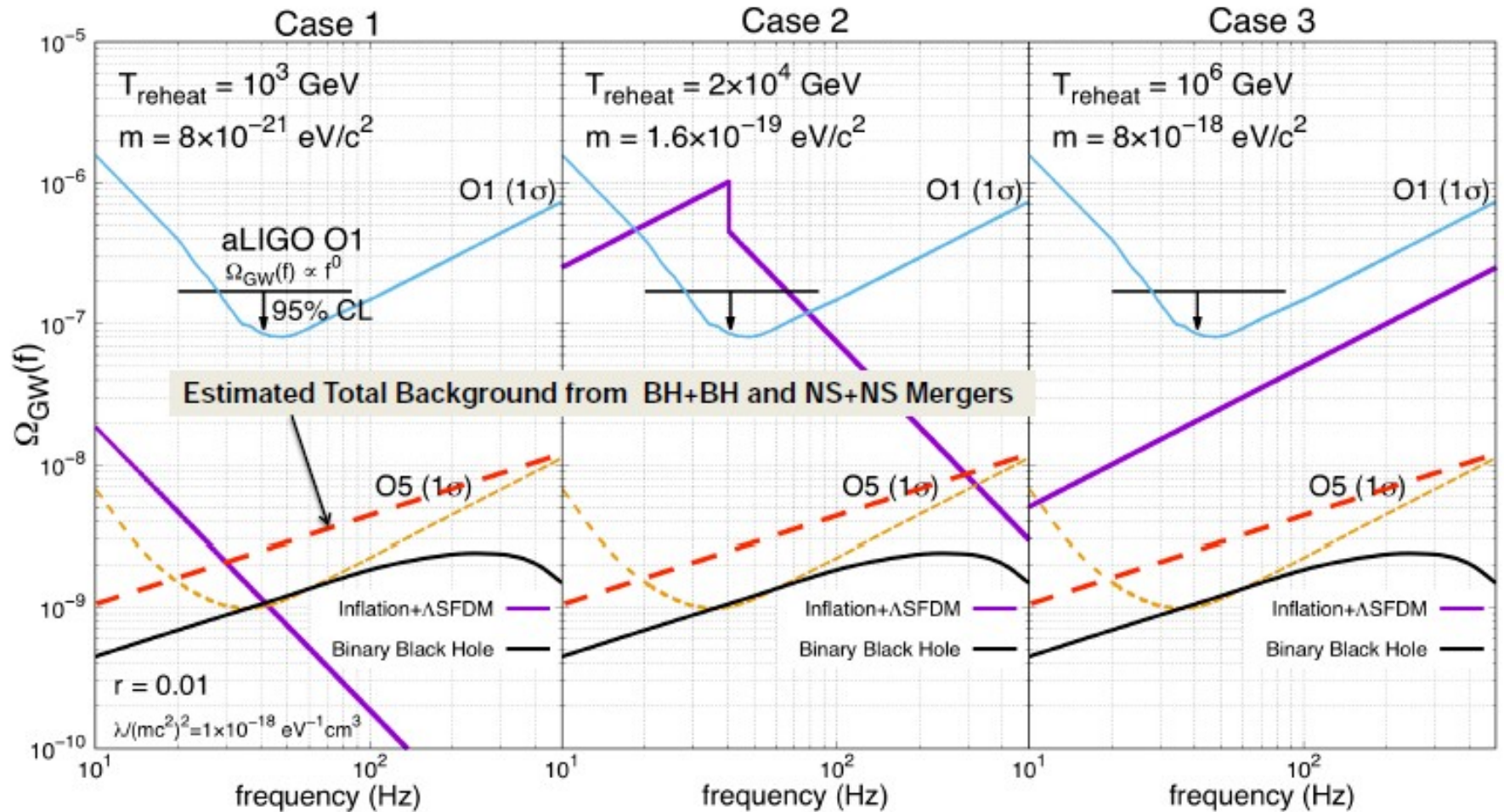
**Stiff-SFDM-dominated era amplifies SGWB from (standard) inflation:**  
**can be measured/constrained by GW laser interferometers !**

## Case 3



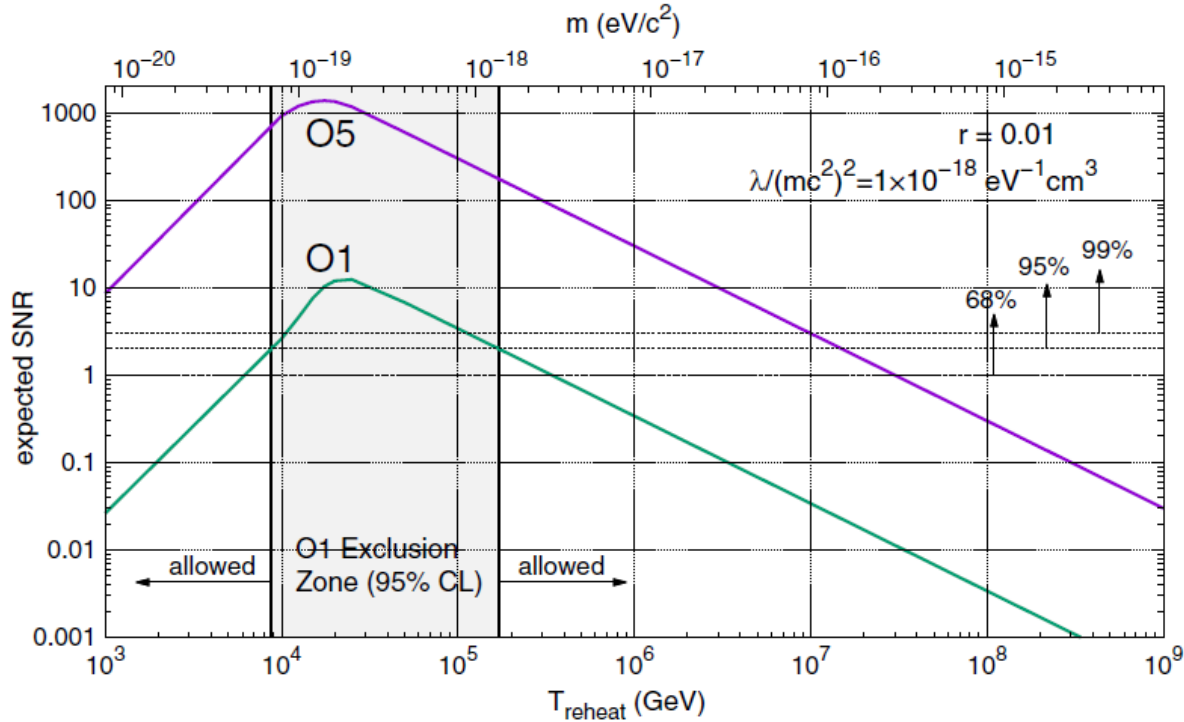
# Stiff-SFDM-dominated era amplifies SGWB from inflation

SGWB's from (SFDM + Inflation) vs. (Unresolved BH + BH and NS + NS Binary Mergers)



# ASFDM + SGWB:

## Limits from O1 of LIGO (1612.02029):



LIGO run	Epoch	$T_{\text{reheat}}/\text{GeV}$ (SNR > 2)	$m/(\text{eV}/c^2)$ (SNR > 2)
O1	2015–2016	$(8.75 \times 10^3, 1.7 \times 10^5)$	$(7 \times 10^{-20}, 1.36 \times 10^{-18})$
O5	2020–2022	$(5 \times 10^2, 1.5 \times 10^7)$	$(4 \times 10^{-21}, 10^{-16})$

*A detection of the inflationary SGWB is possible by looking for signals:*

a wide range of SFDM particle parameters and reheat temperatures can be already tested by **aLIGO/VIRGO limits on the SGWB**:

- some models (e.g. „Case 2“) are already ruled out from O1 limits
- the newest O2 limit does not exclude „Case 1“ and „Case 3“, but other models are ruled out, which may push beyond the allowed limit
- even more models will be tested by the time of O5

→ **current(!) GW laser interferometer experiments can already constrain DM models !**

Bohua Li, Tanja Rindler-Daller, Paul R. Shapiro 2014, PRD, 89, 083536  
(arXiv: 1310.6061)

Bohua Li, Paul R. Shapiro, Tanja Rindler-Daller 2017, PRD, 96,063505  
(arXiv: 1611.07961)



# Conclusions

- SFDM candidates may resolve small-scale problems of CDM *structure formation*
- However, deviations from CDM are also possible on large scales:
  - non-standard expansion histories before and after BBN
  - manifest field oscillations distinguish SFDM from CDM, and amplitudes differ between real and complex scalar-fields
- As a result: SFDM model parameters are constrained by the CMB, BBN, stochastic grav.wave background from inflation, large-scale structure, pulsar-timing, etc.
- Some of these constraints are already tighter than those inferred from small-scale structure