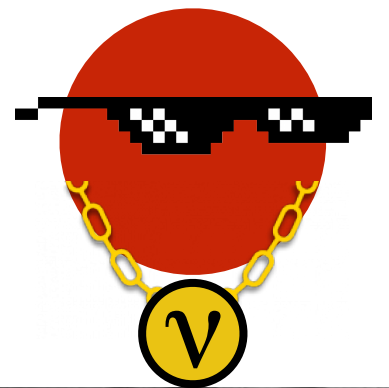


INTRODUCTION TO NEUTRINO PHYSICS

Laura Zambelli - LAPP
JRJC 2019

INTRODUCTION TO NEUTRINO PHYSICS

AKA "the particle who
gives 0 fuck" by Romain



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JRJC 2019

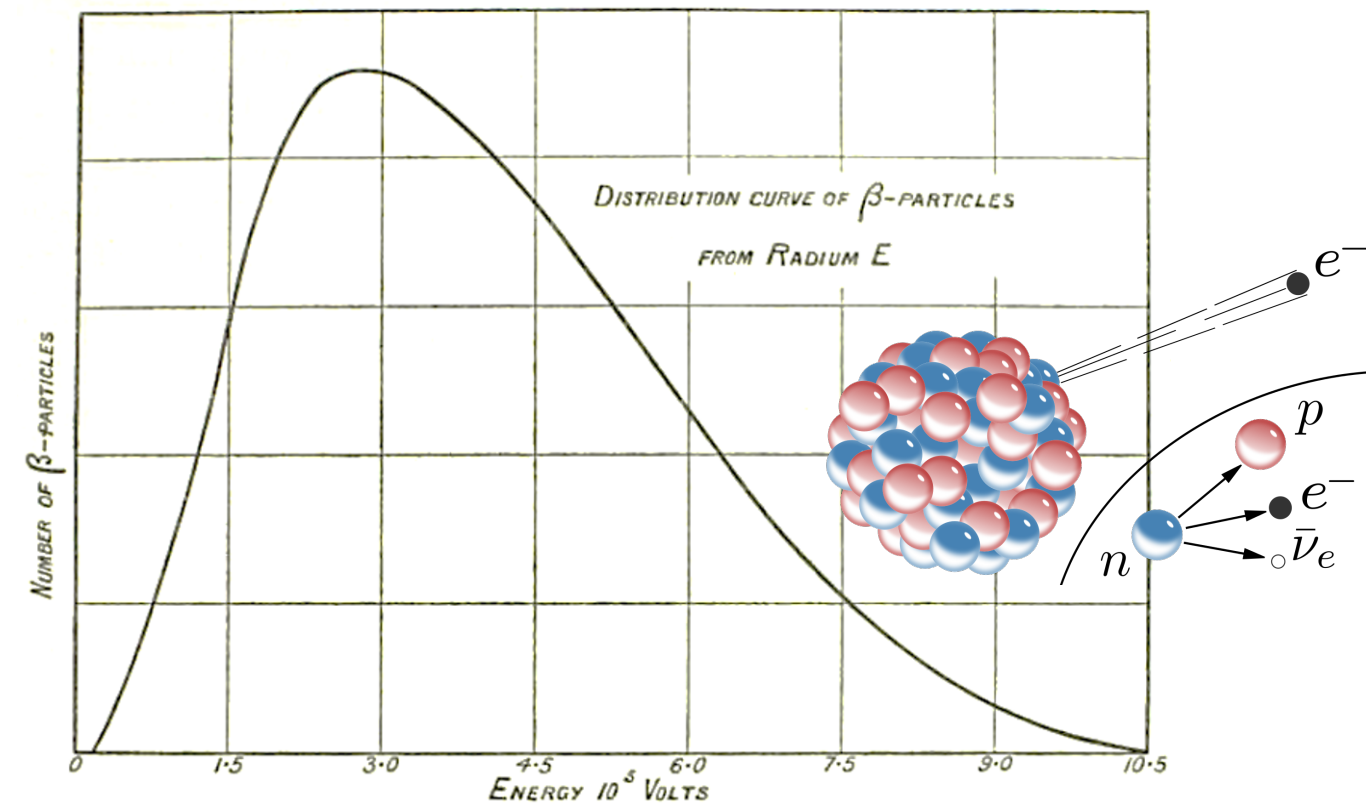
IF YOU EVER THOUGHT NEUTRINOS WERE USELESS

IF YOU EVER THOUGHT NEUTRINOS WERE USELESS

→ JRJC 1912 : No neutrino session to protect them from drowning



β decay and the idea of ν



- As opposed to discrete α and γ spectrum, Chadwick (1914) discovered that β emission is **continuous**
- In order to keep the principle of energy & spin conservation, **Pauli** suggested (1930) a "desperate solution" : β decays would also produce a neutral, spin 1/2 and nearly massless particle



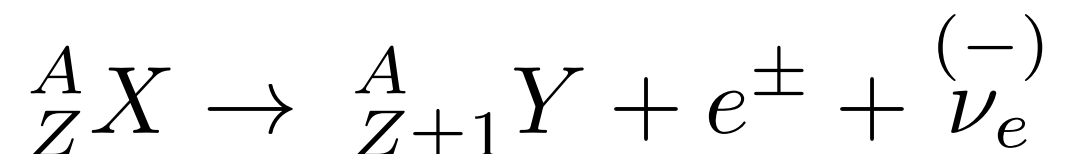
Physikalisches Institut
der Eidg. Technischen Hochschule
Zürich

Zürich, 4. Dez. 1930
Gloriastrasse

Liebe Radioaktive Damen und Herren,

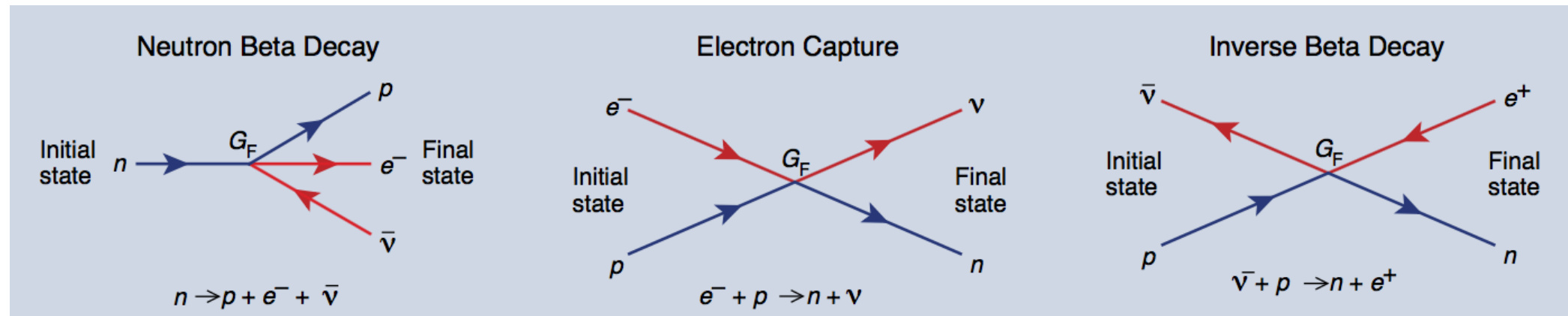
Wie der Ueberbringer dieser Zeilen, den ich huldvollst
anzuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich
angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie
des kontinuierlichen beta-Spektrums auf einen verzweifelten Ausweg
verfallen um den "Wechselatz" (1) der Statistik und den Energiesatz

- In 1934, **Fermi** named this particle the **neutrino** (little neutral) and includes it in his weak interaction theory

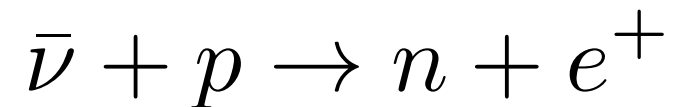


Inverse β and the $\bar{\nu}_e$ discovery

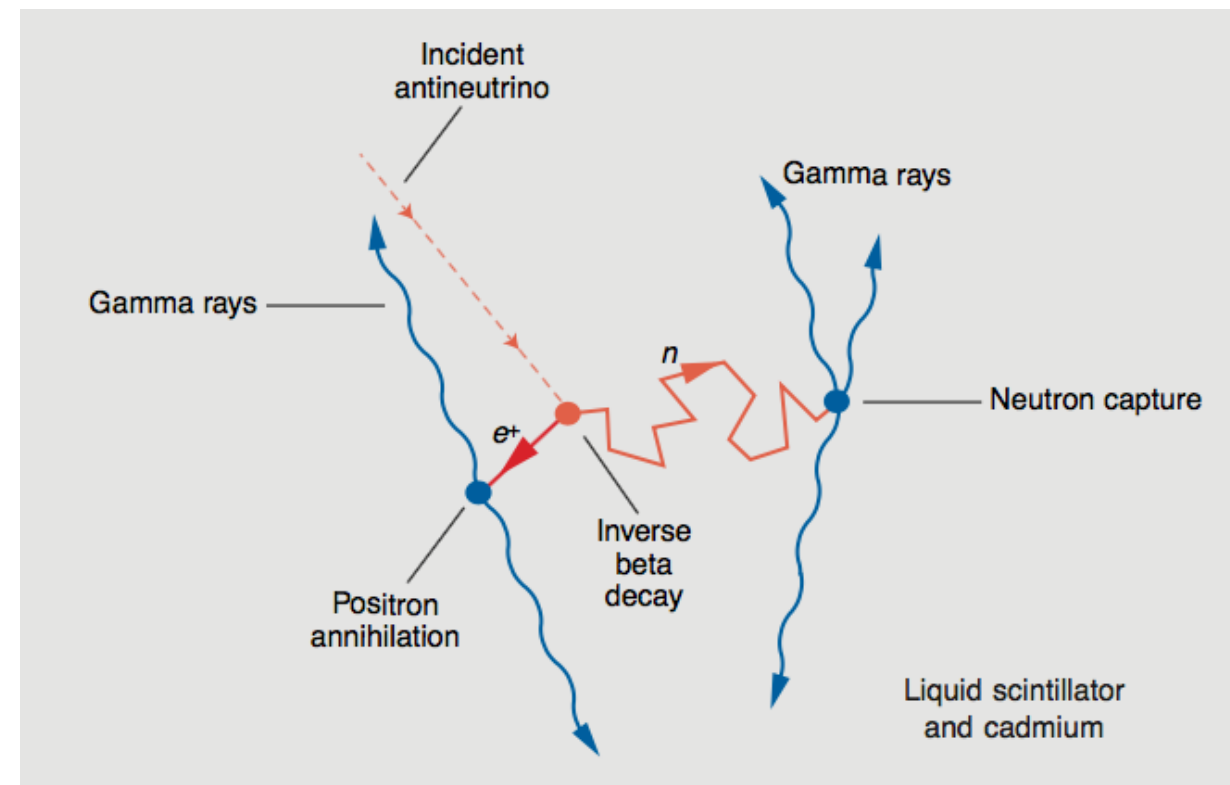
- According to Fermi on β processes, three kinds of reaction are possible:



- In Los Alamos in the 50s, Reines and Cowan aims at discovering the neutrino through **inverse β** reaction:

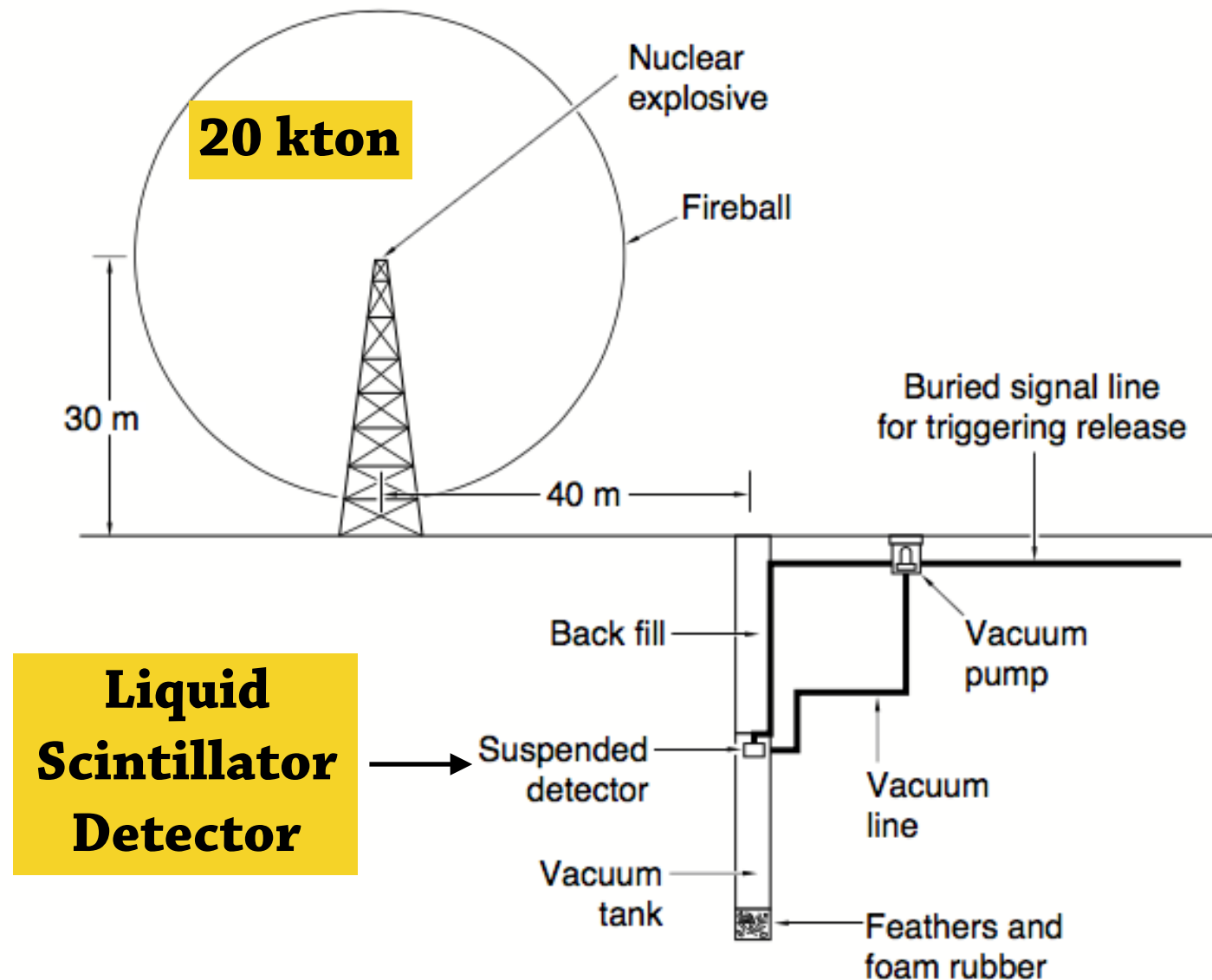


- In a liquid scintillator detector, the **positron annihilates** with an electron, producing 2 photons
- By doping the liquid with Cadmium, the **neutron will also be captured**, after having thermalized (few ms after the positron)
- They knew that neutrino was hard to catch, therefore they needed an **intense ν** source



Inverse β and the $\bar{\nu}_e$ discovery

Idea 1 : nuclear bomb



- Very intense source : $\sim 10^{40}$ $\nu/s/cm^2$
- Short ($\sim 2s$) : no cosmic background
- But, a lot of neutrons & gammas
- Single use detector

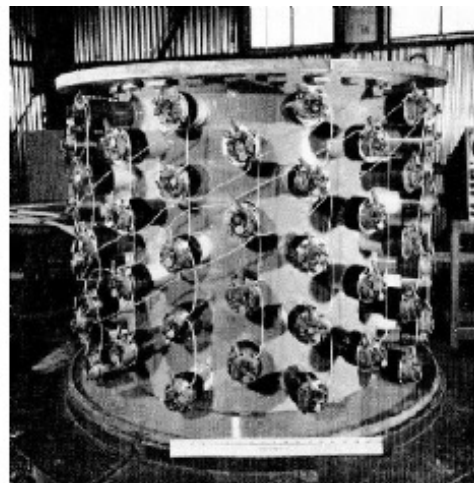
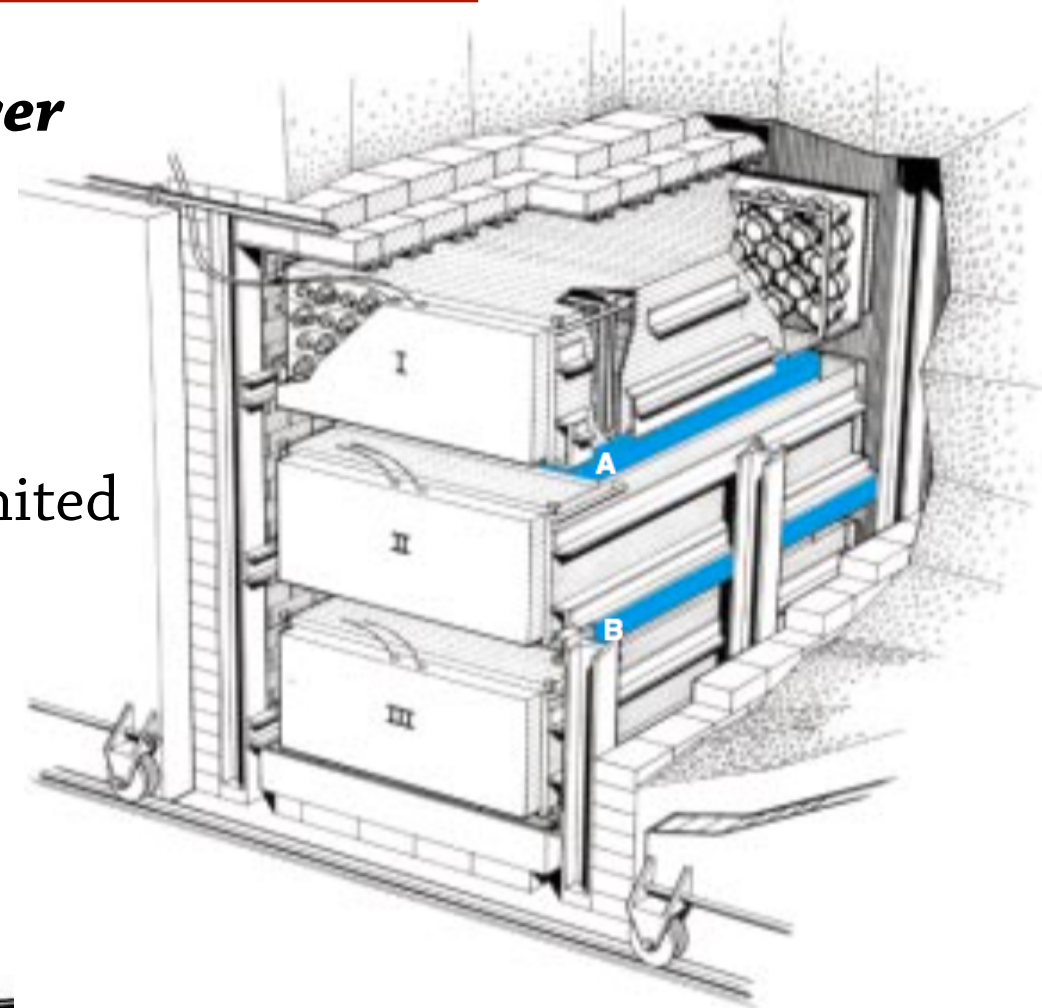
The 'El Monstro' project was **approved** !

When they had the idea of doping the detector with neutron catcher (giving a better signal discrimination) they **changed their mind**

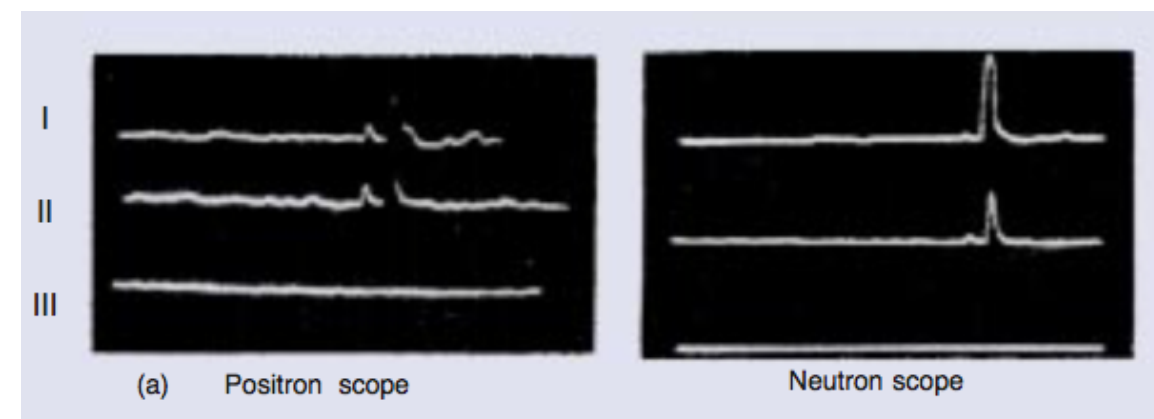
Inverse β and the $\bar{\nu}_e$ discovery

Idea 2 : nuclear power plant at Savannah River

- Intense source $\sim 10^{20}$ v/s/cm²
- Continuous emission
- Also a lot of n & γ background, but can be limited
Underground, lead shielding, ...
- More ethical ?



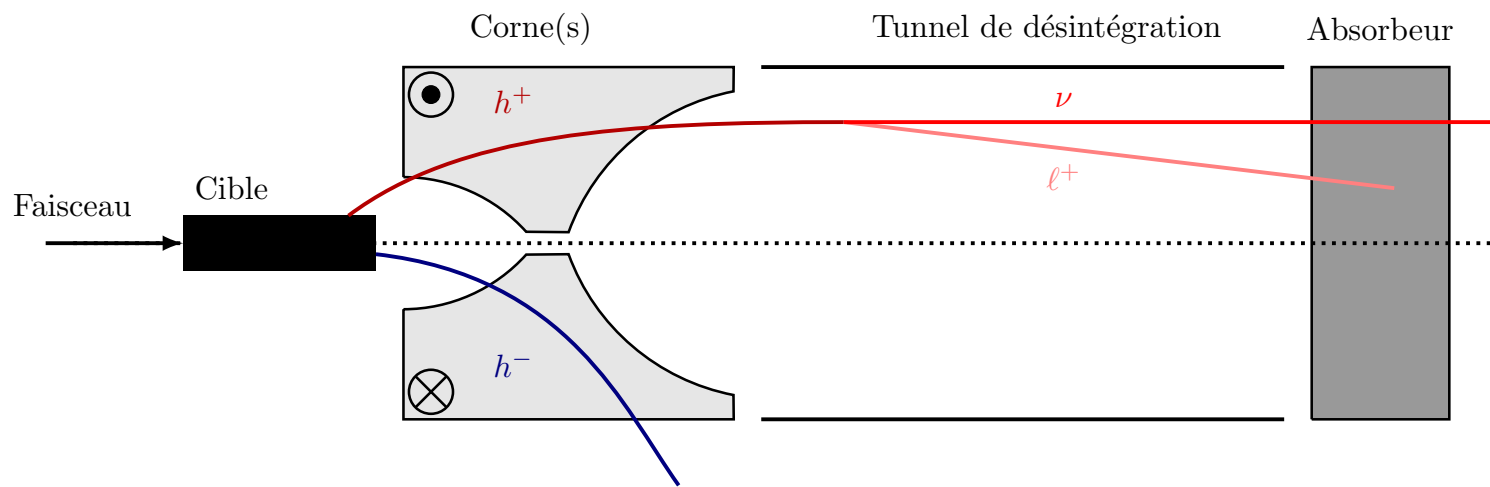
$\bar{\nu}_e$ discovery in 1956



- **1995 Nobel Prize**
- **Method still used today**

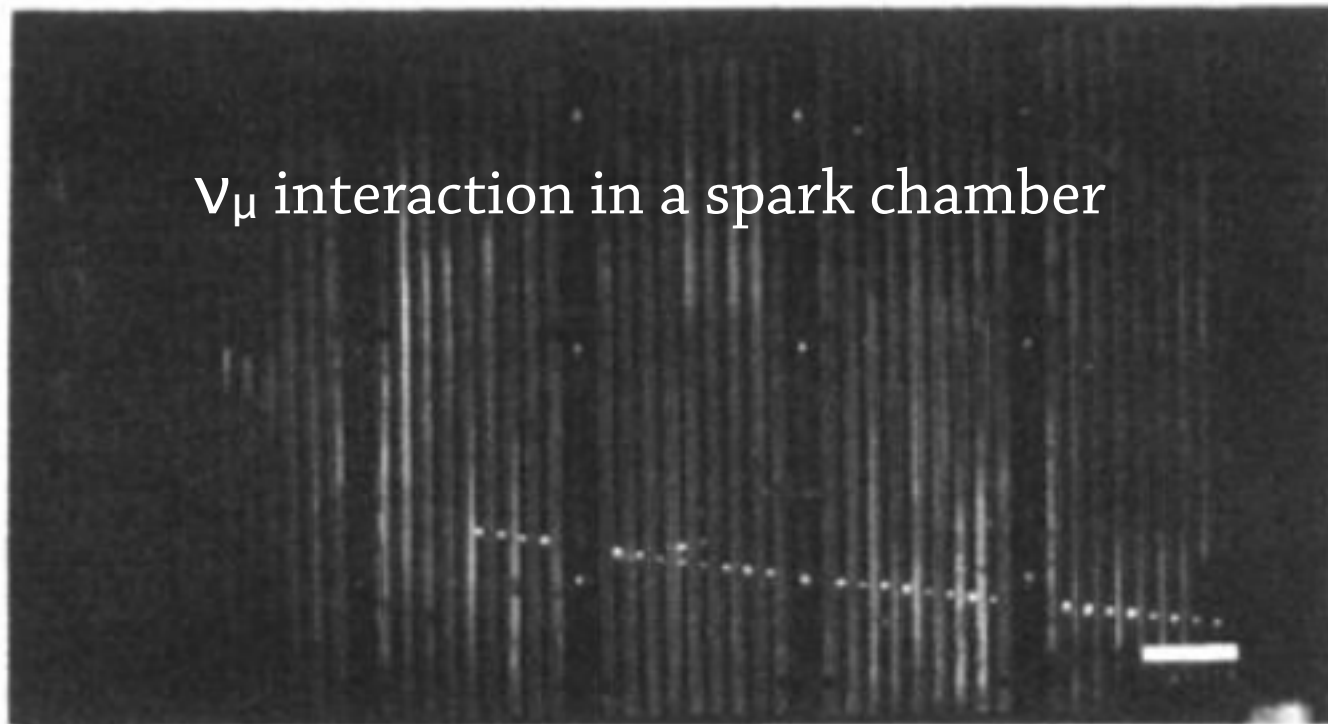
ν_μ and ν_τ discoveries

- In 1962, Lederman, Schwartz and Steinberger made the first **accelerator-based neutrino** source
 → Accelerated protons hits a target, π^\pm are created and decay into $\mu^\pm + \nu_\mu$

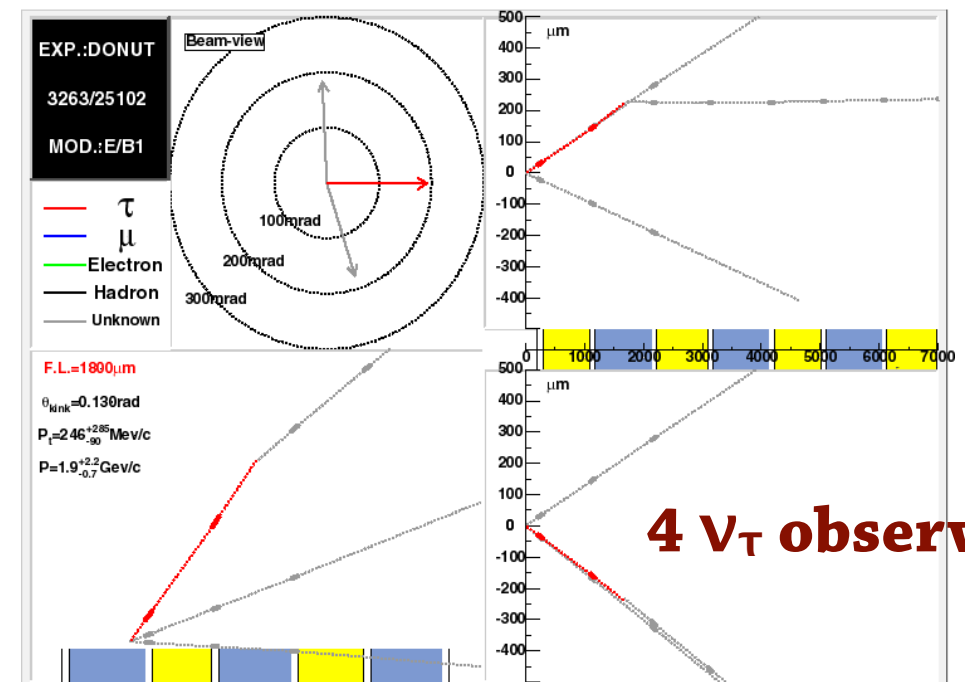


-1988 Nobel Prize
- Method still used today

- They found out that they had different kind of ν interactions : **ν_μ discovery** !



- In 2000, a ν_τ beam was created by producing Ds (same way as ν_μ beam)



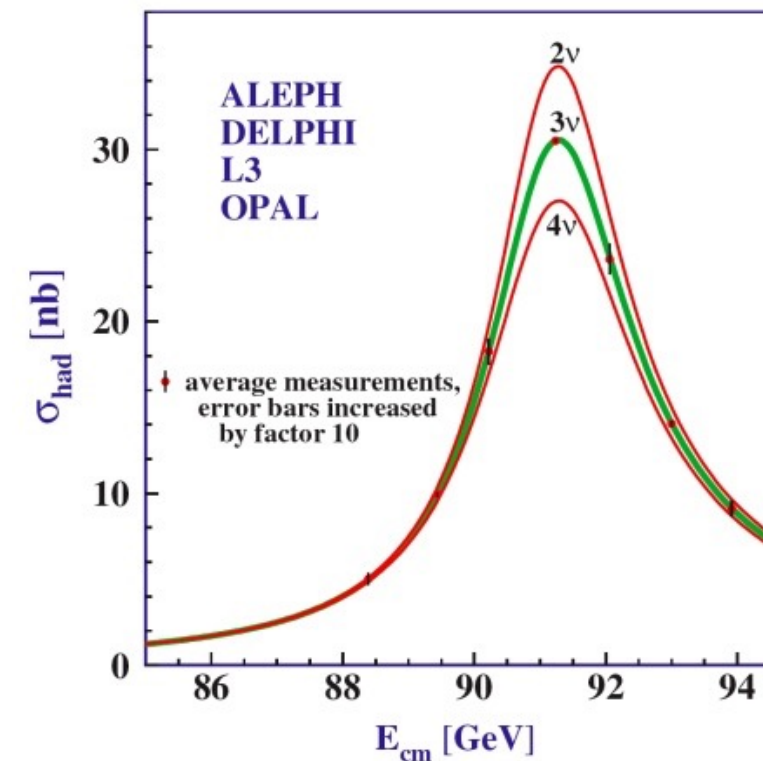
Neutrinos & Standard Model

○ **Three flavors** of neutrinos (light and active):

→ In 1989, LEP measures the Z invisible width

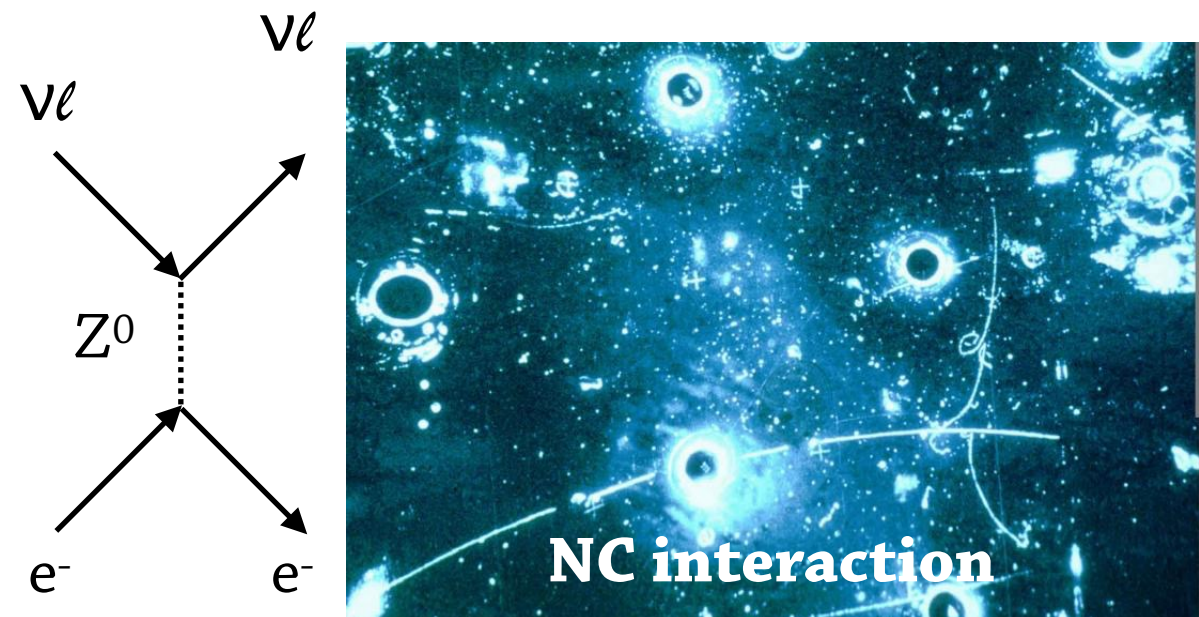
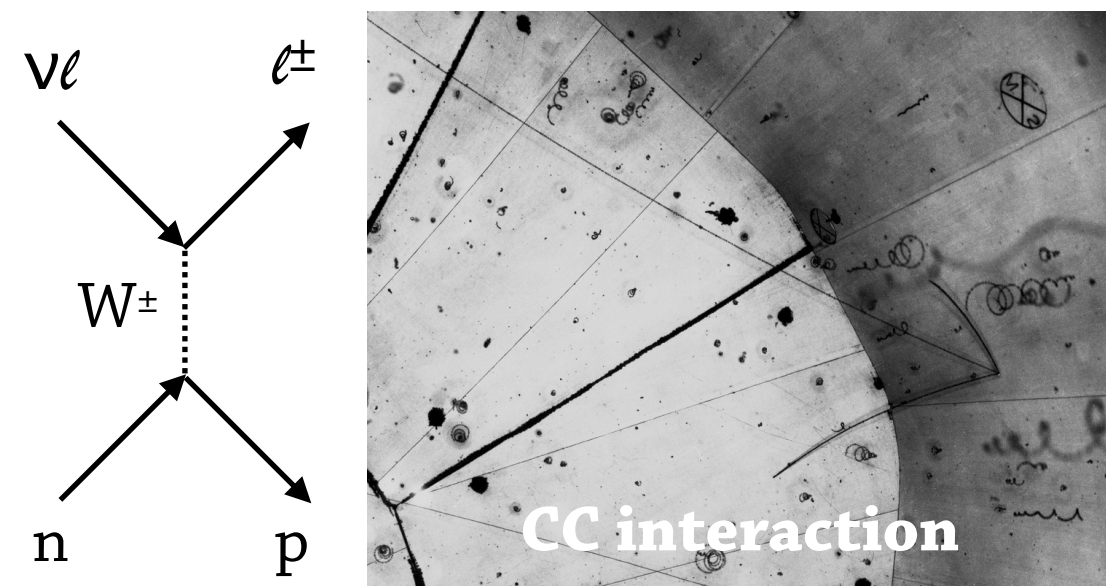
$$N_\nu = 2.984 \pm 0.008$$

named ν_e, ν_μ, ν_τ



○ Only interact through weak interactions

→ **Charged & Neutral current**



○ Only left handed

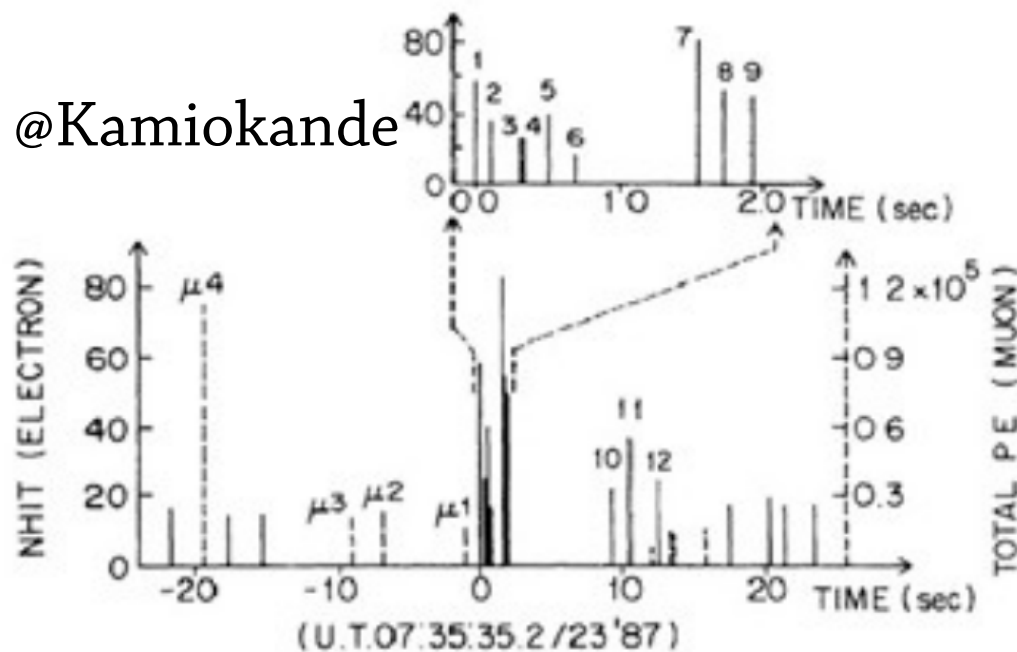
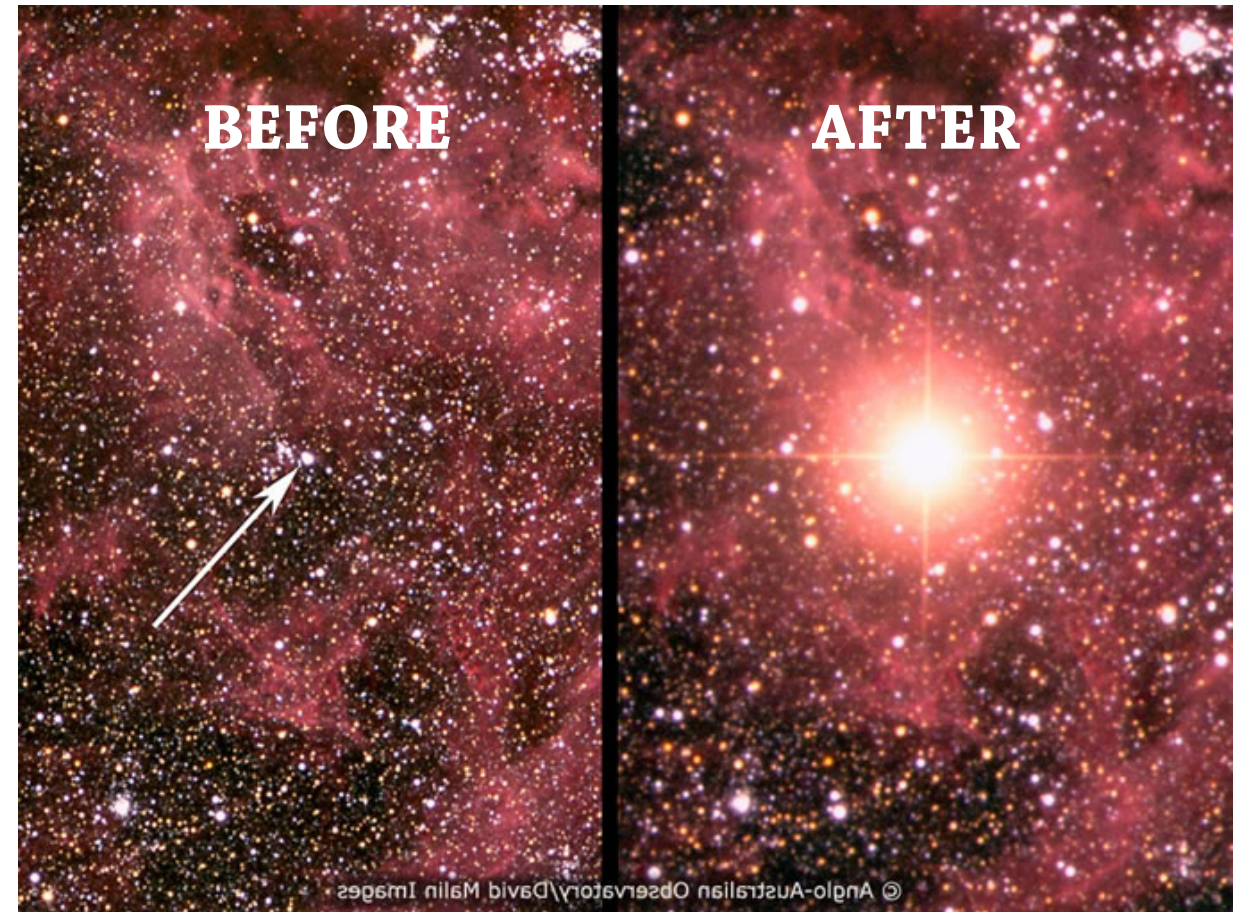
8 → Cannot couple to Higgs field therefore neutrinos are **massless**

Neutrino Astronomy : Beginning

On February 23rd 1987, a supernova exploded in the large magellan cloud (170 000 l.y.)

3h before the light signal, three neutrino detectors observed a large number of events in a very short time (**24 events in 13s**)

SN1987A



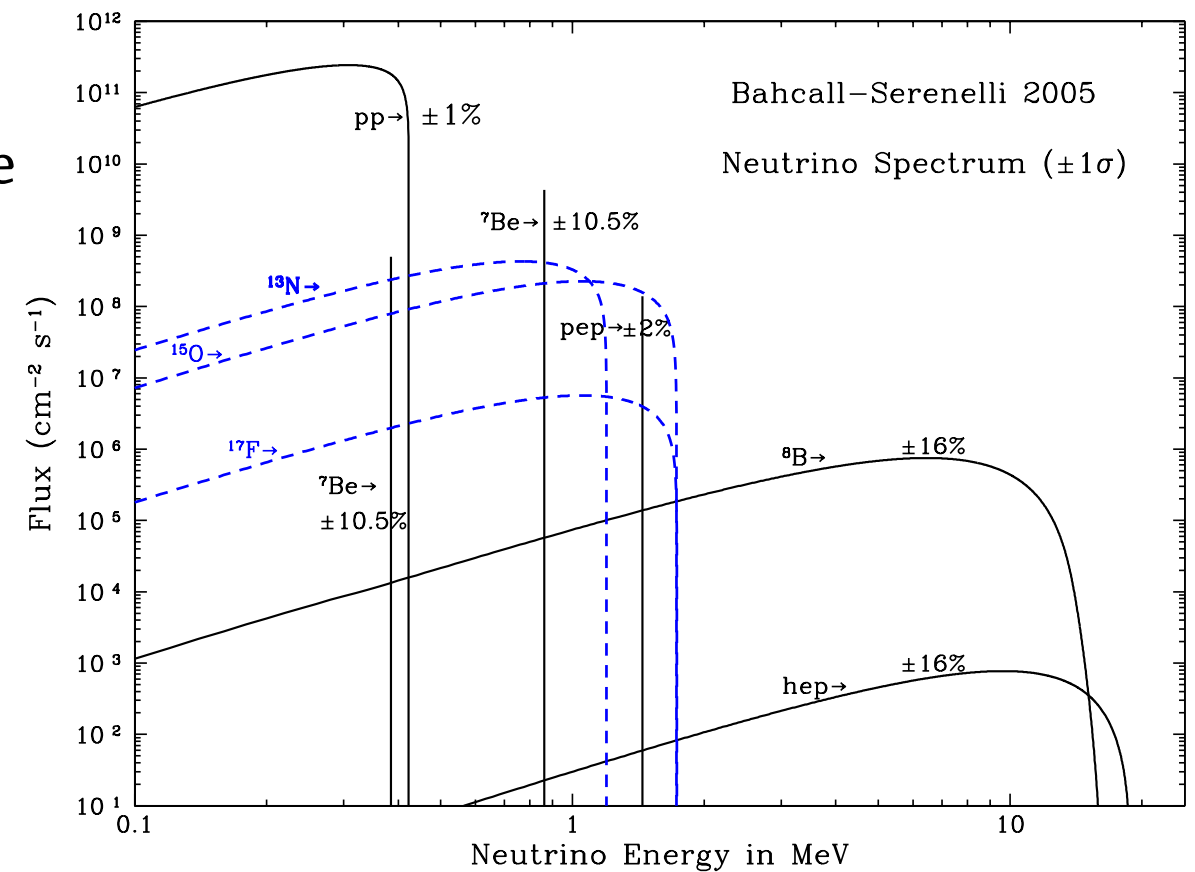
- 99% of the SN energy is released as neutrinos
- 1st case of neutrino astronomy and multi-messenger
- **Nobel Prize for Kamiokande in 2002**
- Never happened again, all ν experiments are still waiting for a new nearby SN



Neutrino Astronomy : Anomalies

Solar Neutrinos

- In order to study the nuclear fusion occurring in the sun, neutrinos are the ideal messenger as they leave the medium instantly
- ν_e flux : $\sim 7 \times 10^{10}$ $\nu/s/cm^2$



Atmospheric neutrinos



- When cosmic rays hit earth, they interact with the atmosphere and produce pions and muons
- In terms of flavors, at ground $N(\nu_\mu)/N(\nu_e) = 2$

$$p + atm \rightarrow \pi^+ + \dots$$

$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

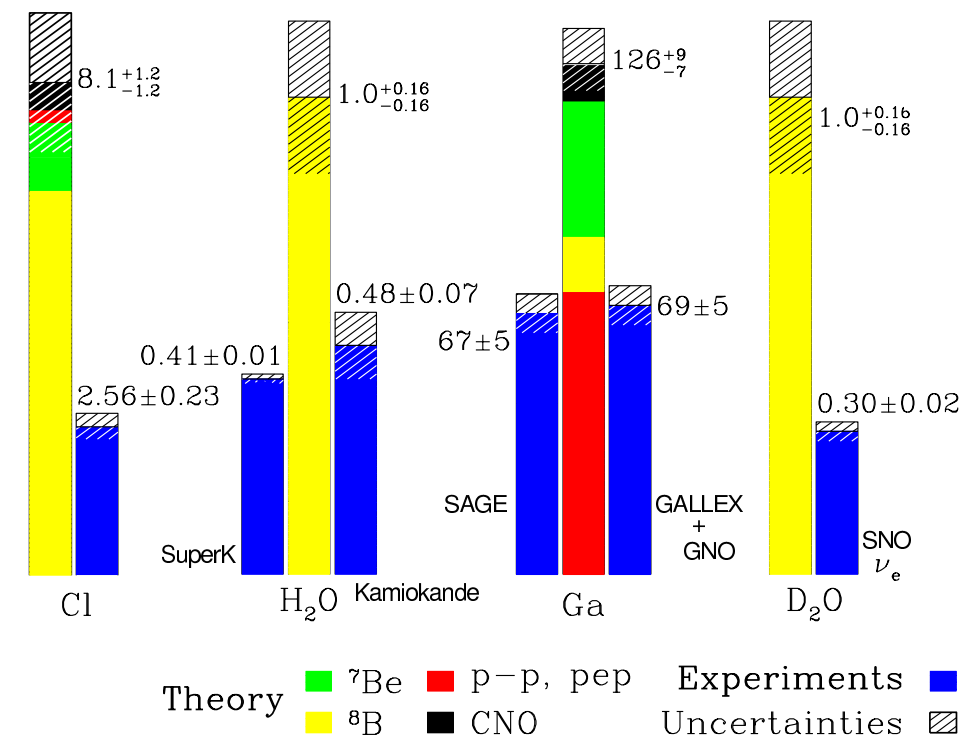
Neutrino Astronomy : Anomalies

Solar Neutrinos

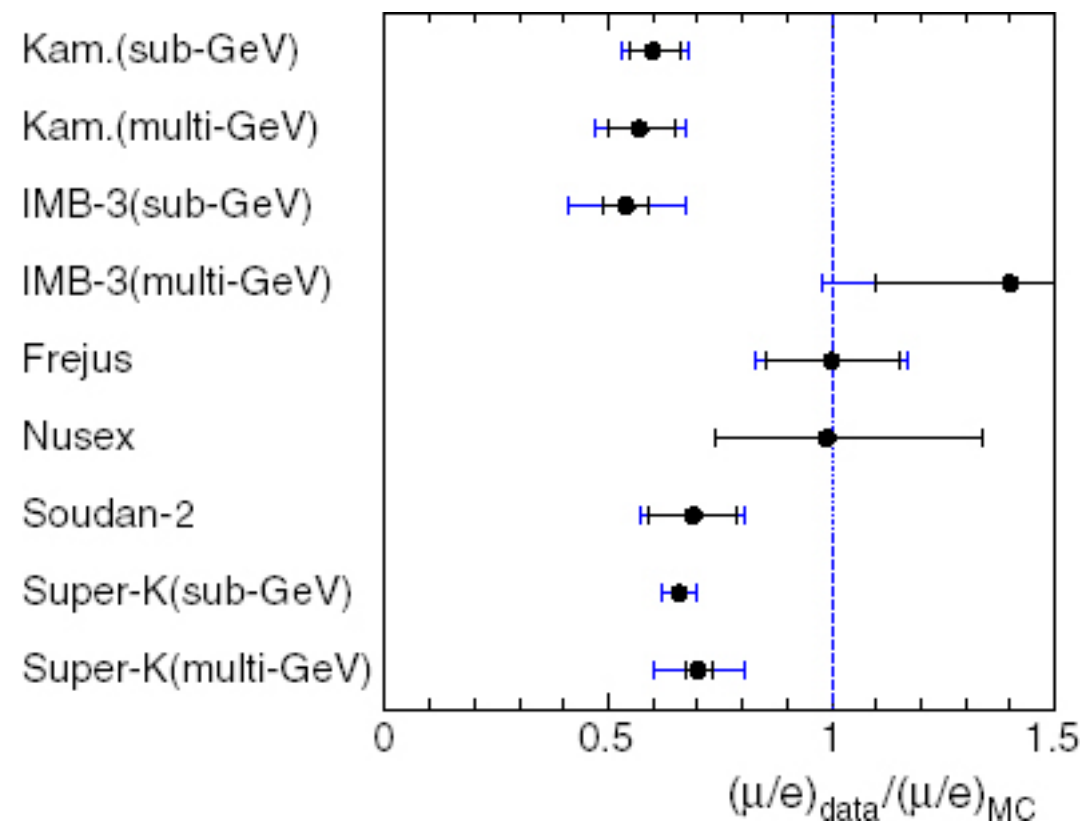
○ In order to study the nuclear fusion occurring in the sun, neutrinos are the ideal messenger as they leave the medium instantly

○ ν_e flux : $\sim 7 \times 10^{10}$ $\nu/s/cm^2$

~2/3 of expected ν_e are missing



Atmospheric neutrinos



○ When cosmic rays hit earth, they interact with the atmosphere and produce pions and muons

○ In terms of flavors, at ground $N(\nu_\mu)/N(\nu_e) = 2$

~1/2 of expected ν_μ are missing

The oscillations can help

- Several hypothesis : ν -decay, ν decoherence, flavor changing neutral currents, oscillations, ...
- In 1957, Pontecorvo suggested the $\nu \rightarrow \bar{\nu}$ oscillations
- Principle : Neutrino flavor and mass eigenstates are **not superimposed** but **linked** by a 3×3 unitary mixing matrix (the PMNS matrix)

$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle$$

$\alpha = (e, \mu, \tau) :=$ Flavor states
 $i = (1, 2, 3) :=$ Mass states
U = PMNS matrix

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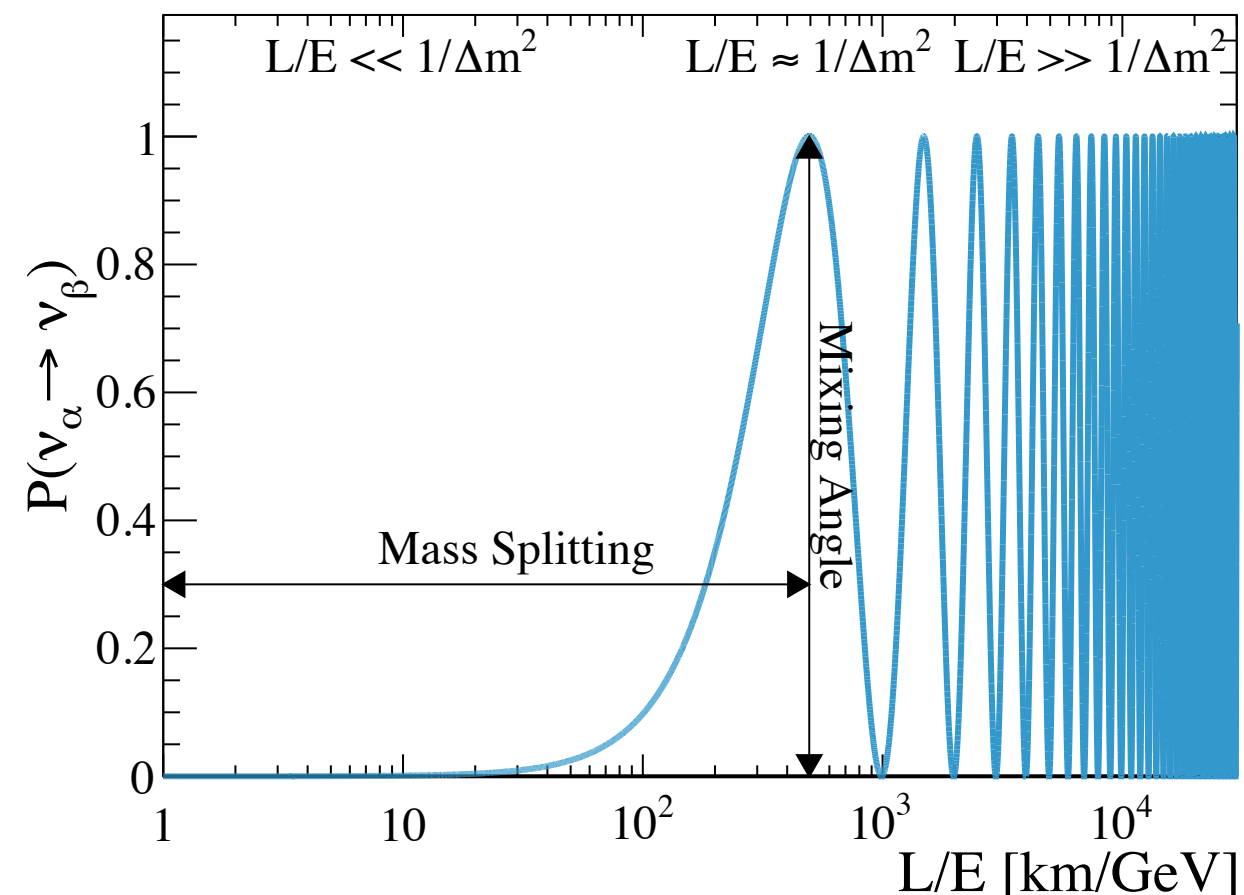
Simplified 2 flavors case

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

With a source ν_α at an energy E , the probability to detect a ν_β at a distance L is :

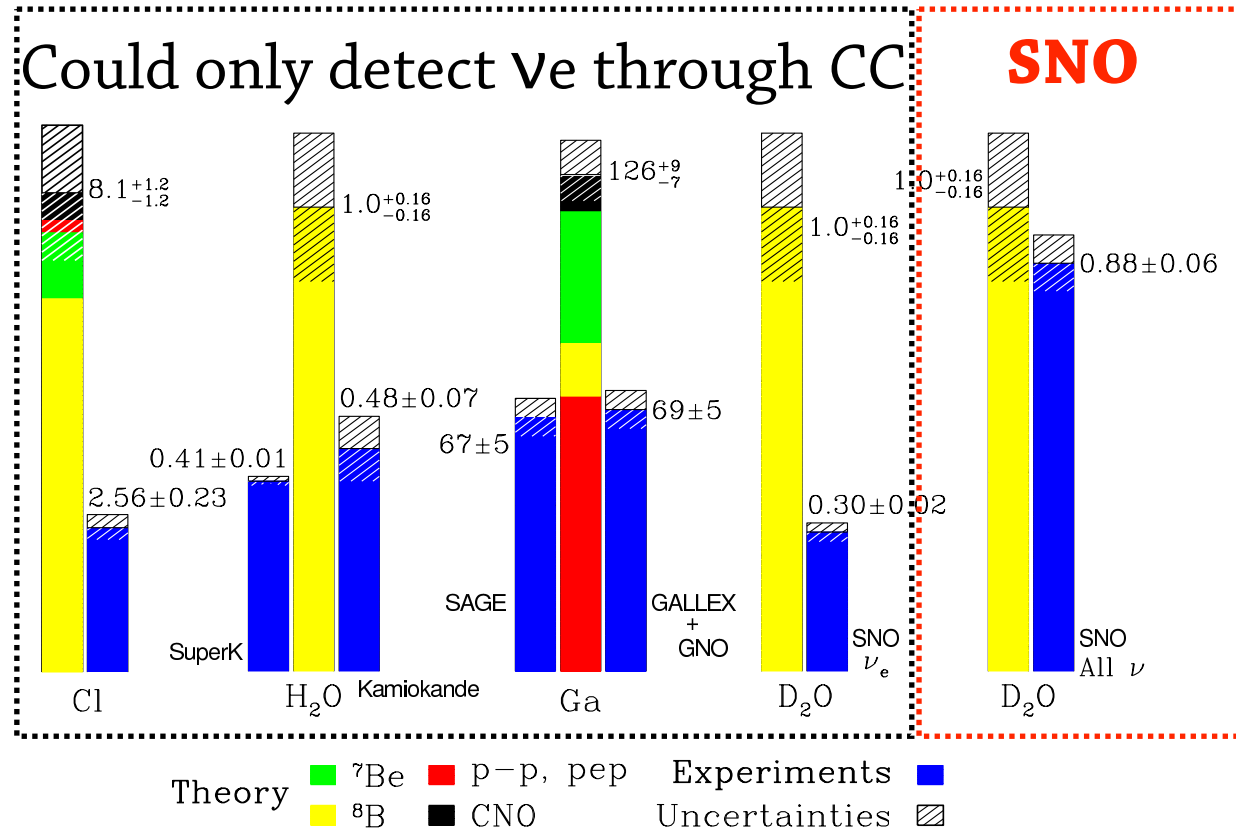
$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

$$\Delta m^2 = m_1^2 - m_2^2$$



ν oscillations : experimental proofs

Solar Neutrinos



SNO (1kton of heavy water) was designed to detect solar neutrinos through:

- **CC** interactions $\nu_e + d \rightarrow p + p + e^-$
 ν_e only (ν_μ and ν_τ don't have enough energy)
- **ES** interactions $\nu_x + e^- \rightarrow \nu_x + e^-$
all flavors
- **NC** interactions $\nu_x + d \rightarrow p + n + \nu_x$
all flavors

(NC & ES are not sensitive to flavor, and have no energy threshold)

SNO measured the ratio :

$$\frac{\Phi_{CC}}{\Phi_{NC}} = 0.34 \pm 0.023(\text{stat.})^{+0.029}_{-0.031}$$

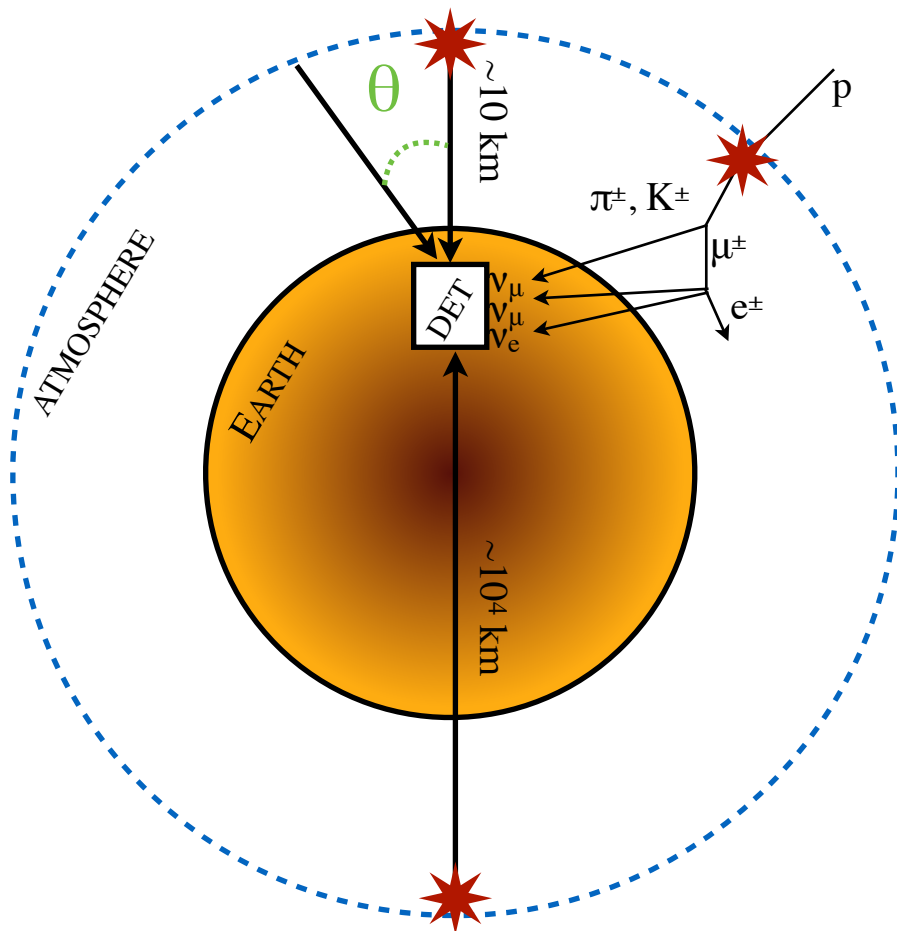
And showed that the **total** flux of solar neutrino is **compatible** with the solar standard model



Nobel Prize in 2015 !

ν oscillations : experimental proofs

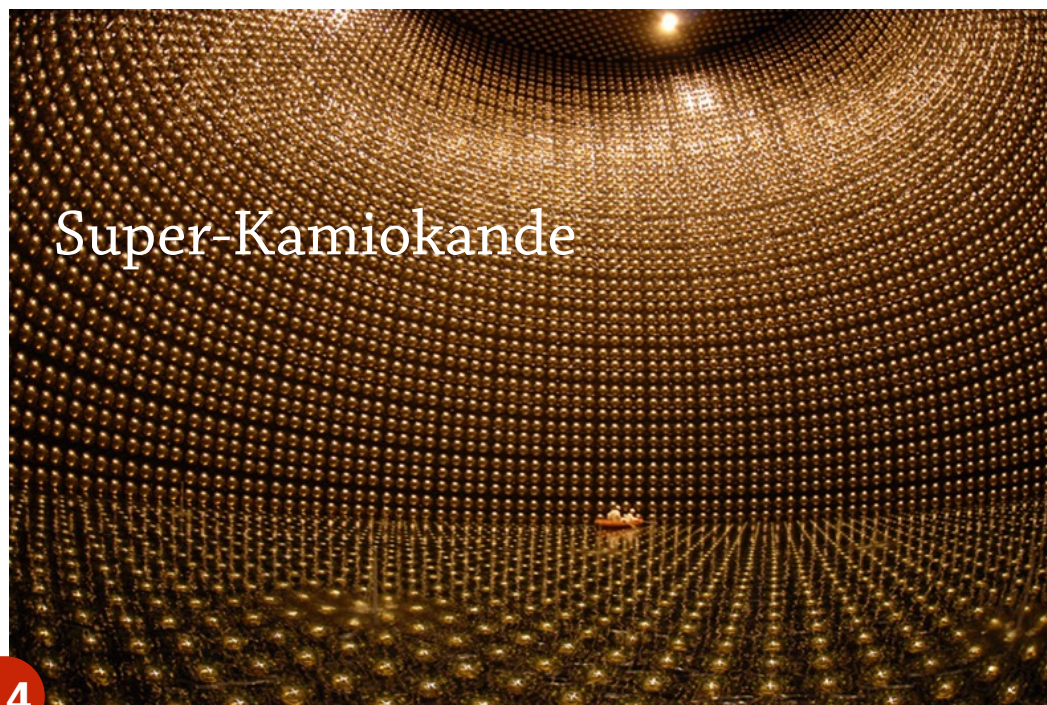
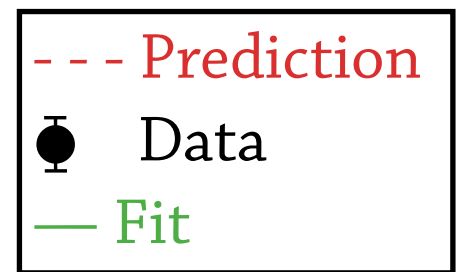
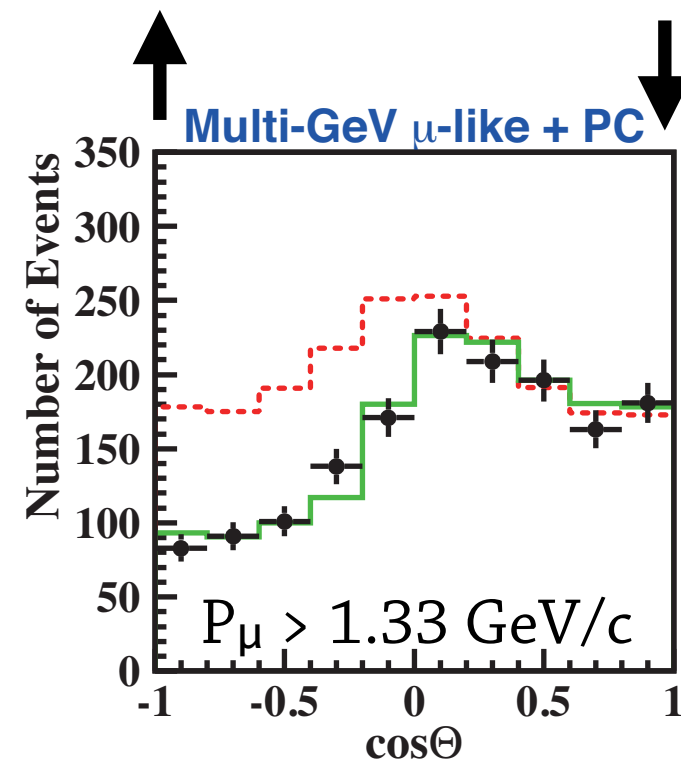
Atmospheric neutrinos



Kamiokande looked at the direction of the atmospheric neutrinos and found out that the ν_μ deficit was **direction dependent** :

$L \sim 10^4$ km
1/2 missing

$L \sim 10$ km
no deficit



↳ Atmospheric ν_μ oscillates into ν_τ



Nobel Prize in 2015 !

3 flavors oscillations

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \text{Atmospheric} \\ \text{Reactor/Accelerator} \\ \text{Solar} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

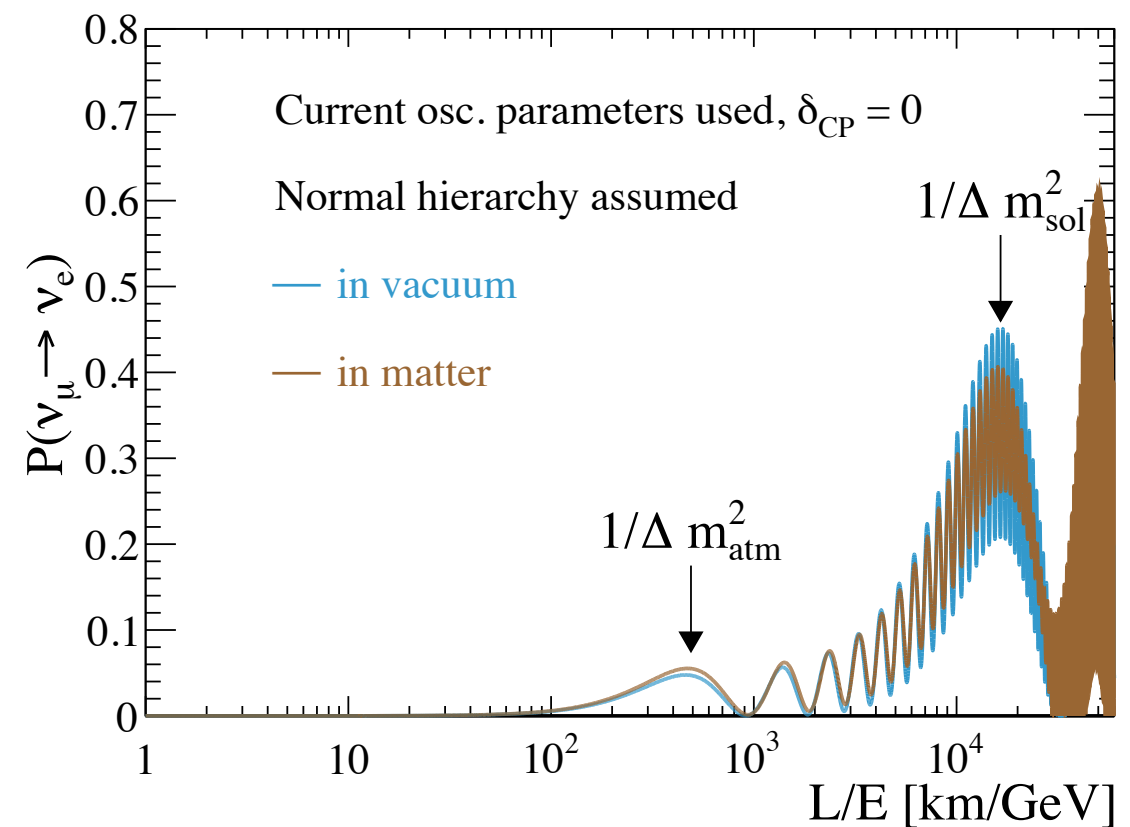
$$s_{ij} = \sin \theta_{ij}$$

$$c_{ij} = \cos \theta_{ij}$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

In the 3 ν flavor case, the oscillation phenomena is described by:

- **3** mixing angles: θ_{12} , θ_{23} and θ_{13}
- **2** mass splittings: Δm_{sol}^2 , Δm_{atm}^2
- **1** CP violation phase δ
- Oscillation probabilities are modified in matter



NB : ν oscillations proves that neutrinos are **massive**

Current knowledge of oscillation parameters

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$s_{ij} = \sin \theta_{ij}$$

$$c_{ij} = \cos \theta_{ij}$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

From a global fit of data available on Jul. 2019, at 1σ :

$$\theta_{12} = 33.82_{-0.76}^{+0.78}$$

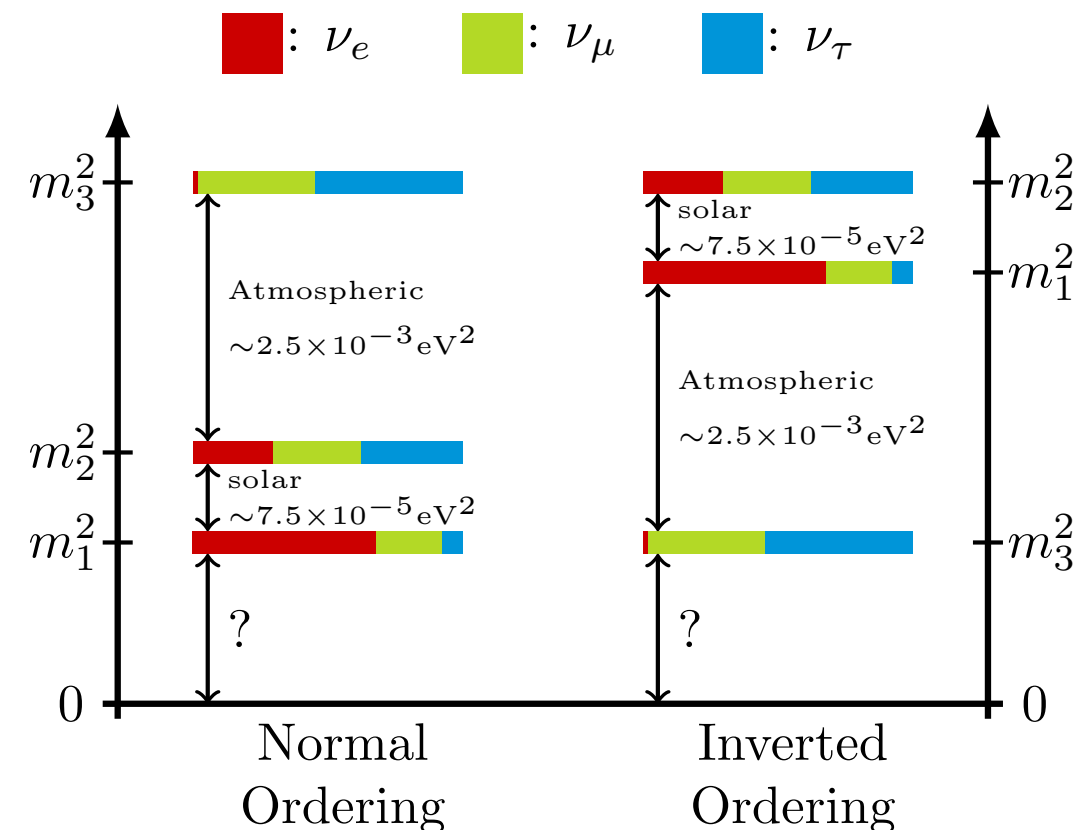
[nuFIT 4.1 \(2019\), nu-fit.org](http://nuFIT.4.1(2019).nu-fit.org)

$$\theta_{23} = 48.3_{-1.9}^{+1.1}$$

$$\theta_{13} = 8.61_{-0.13}^{+0.13}$$

$$\Delta m_{21}^2 = \Delta m_{\text{solar}}^2 = 7.39_{-0.20}^{+0.21} \times 10^{-5} \text{eV}^2$$

$$|\Delta m_{31}^2| \simeq |\Delta m_{32}^2| = |\Delta m_{\text{atm}}^2| = 2.523_{-0.030}^{+0.032} \times 10^{-3} \text{eV}^2$$



Open questions to be answered :

- Is θ_{23} maximal ?
- What is the neutrino mass hierarchy ?
- Is there CP violation in the leptonic sector ?

Reactor and Long Baseline experiments

Neutrino source



Near detector

At L/E before
oscillations

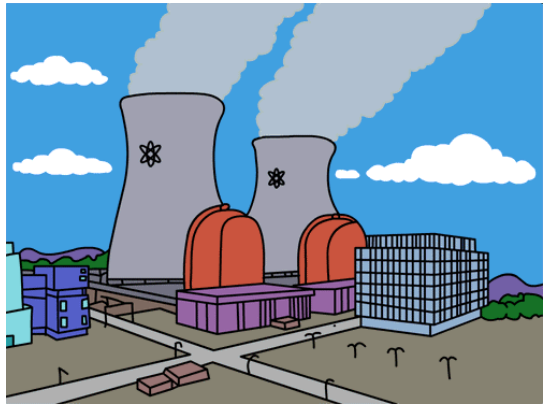


Far detector

At L/E for
oscillations

Reactor and Long Baseline experiments

Reactor



- Low energy
- $\bar{\nu}_e$ only
- Flux known at 2-3%

- Can use the 2 flavors probability formula
- Very **clean** measurement : best θ_{13} value
- Sensitivity to mass hierarchy
- But only **disappearance**

(Double)-Chooz, Daya-Bay, RENO, JUNO, ...

Neutrino source



Near detector

At L/E before
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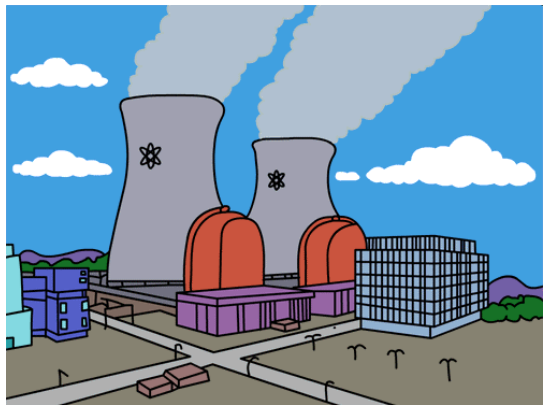


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Neutrino source



Near detector

At L/E before
oscillations



Far detector

At L/E for
oscillations

Accelerator



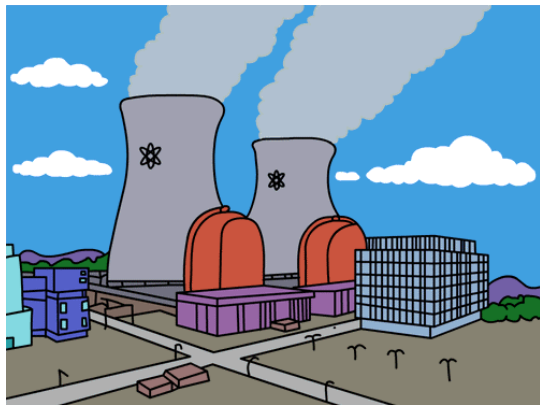
- Tunable energy
- ν_μ or $\bar{\nu}_\mu$ flux
- Flux known at ~10%

- Measure ν_μ disappearance and ν_e appearance
- Complicated measurement : a lot of **ambiguities**
- Can use matter effect to probe **mass hierarchy**
 - ↳ L longer = more effect = less neutrinos
- Can switch from ν beam to $\bar{\nu}$ beam
 - ↳ Probe **CP violation** phase

T2K, MINOS, NOVA, T2K, DUNE, T2KK

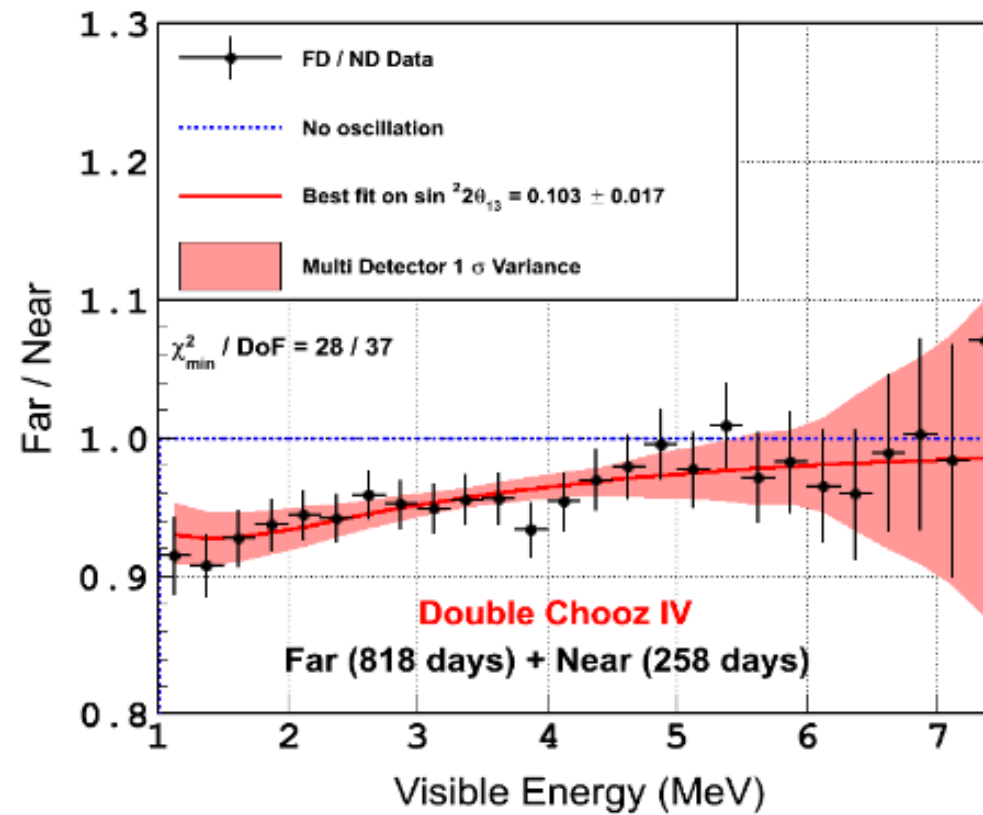
Reactor and Long Baseline experiments

Reactor



Neutrino source

Accelerator



Best measurement of θ_{13} from $\bar{\nu}_e$ disappearance



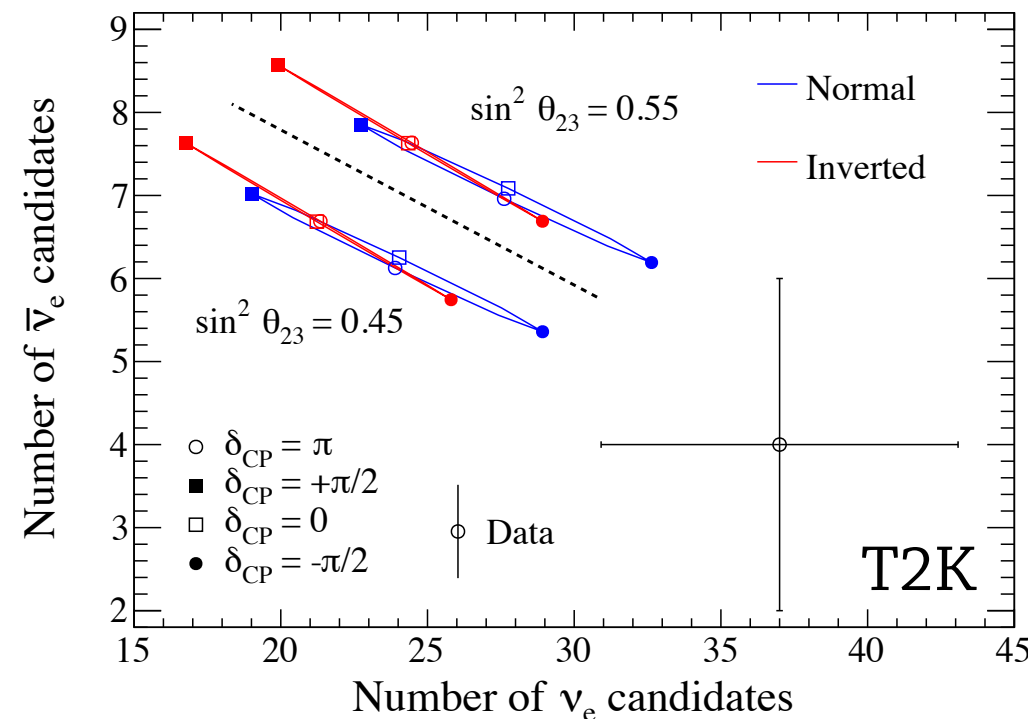
Near detector

At L/E before oscillations



Far detector

At L/E for oscillations



Weakly prefers Normal hierarchy and $\delta_{CP} = -\pi/2$

Solving the oscillation mystery

One need:

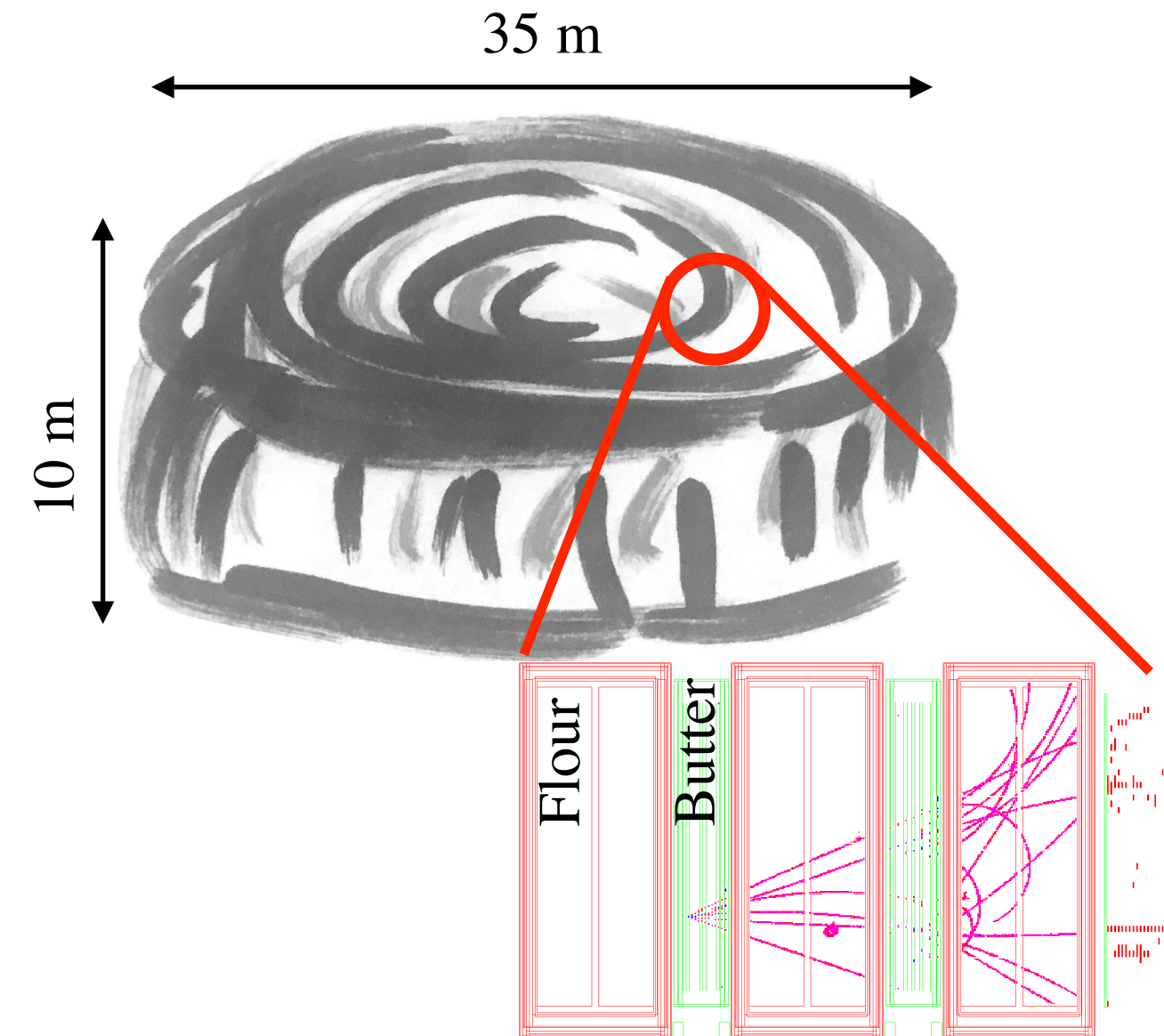
- Enhanced effects → detectors placed further away to benefit from matter effects
- More statistics → More intense source, bigger and denser detectors

Solving the oscillation mystery

One need:

- Enhanced effects → detectors placed further away to benefit from matter effects
- More statistics → More intense source, bigger and denser detectors

- **Non-funded project** -



KANOE

Kouign-Amman Neutrino Oscillation Experiment

- **Pros** -

- 12.5 kt of cooked flour/butter layers
- Excellent spatial & energy resolution

- **Cons** -

- Too much ν -interaction/s to be sustainable by current electronics
- So dense it could have distorted the space-time continuum
- Shifters could have eaten the detector

Fig. 2 Schematic view of the KANOE detector

Solving the oscillation mystery

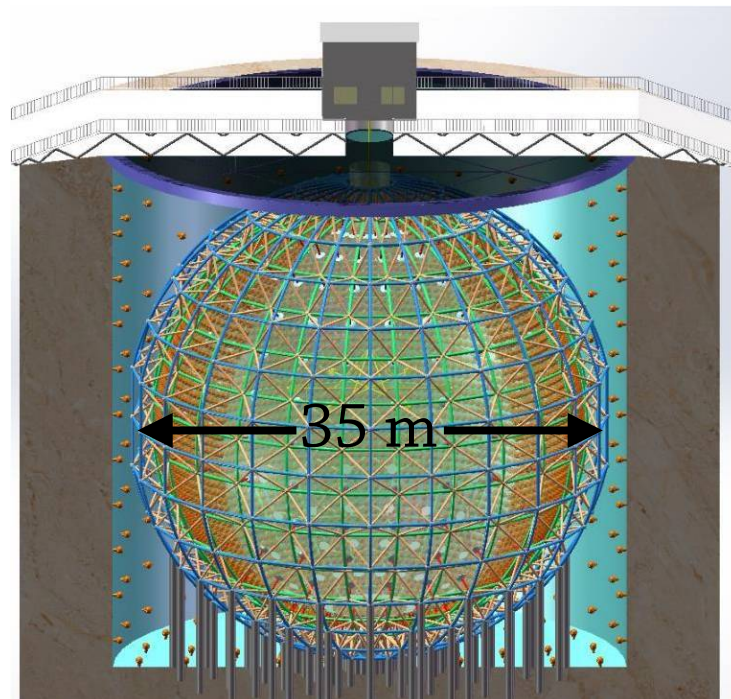
One need:

- Enhanced effects → detectors placed further away to benefit from matter effects
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- **Future projects** -

 **Etienne's Talk**

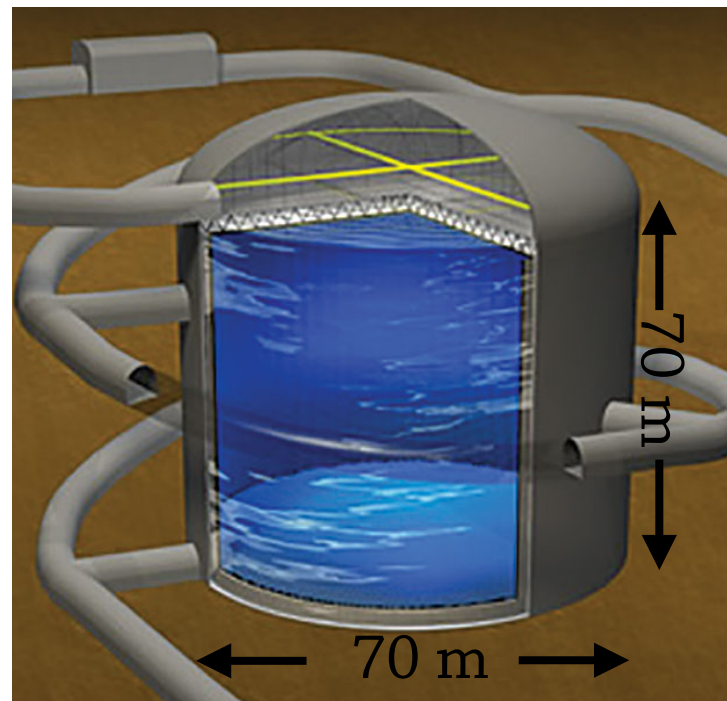
JUNO



- 20 kt liquid scintillator
- IBD technique
- $\bar{\nu}_e$ from reactors
- $L \sim 50$ km

Mass Hierarchy in 6 y

Hyper-Kamiokande

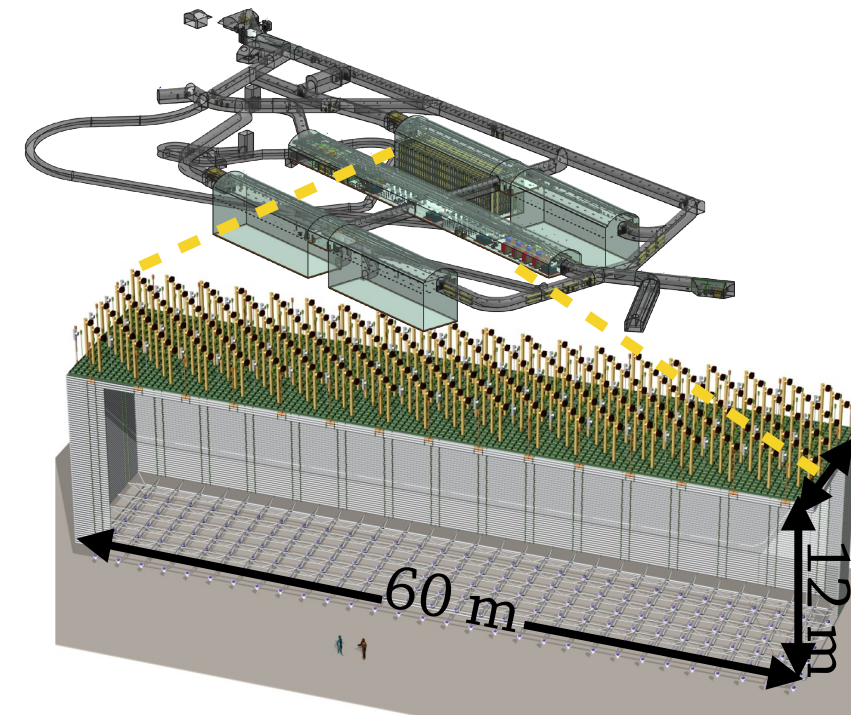


- 190 kt of pure water
- Cherenkov detector
- $\nu_\mu/\bar{\nu}_\mu$ from J-PARC
- $L \sim 300$ km (and 1100 km?)

Mass Hierarchy in 10 y

$\delta_{cp} \neq 0$ in 10~15 y

DUNE



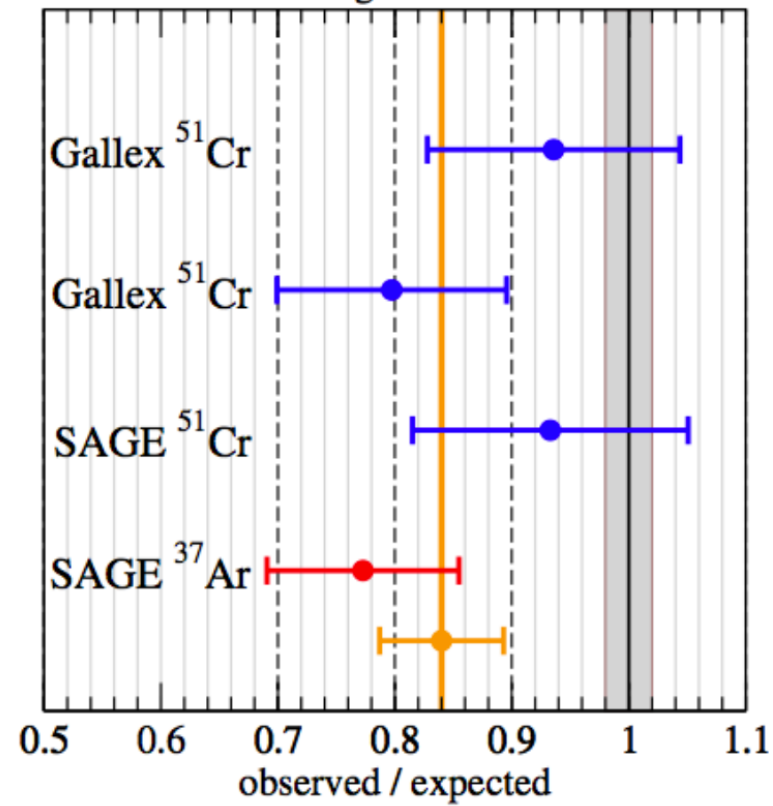
- 40 kt of liquid argon
- TPC detector
- $\nu_\mu/\bar{\nu}_\mu$ from FERMILAB
- $L \sim 1300$ km

Mass Hierarchy in 7 y

$\delta_{cp} \neq 0$ in 10~15 y

The return of the anomalies

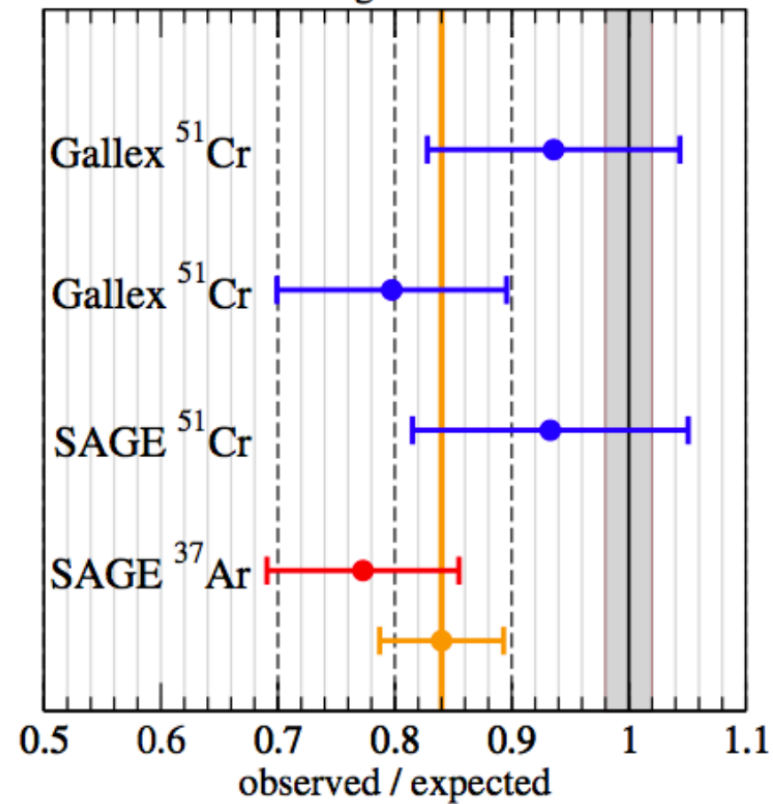
Gallium data using Frekers et al PLB11



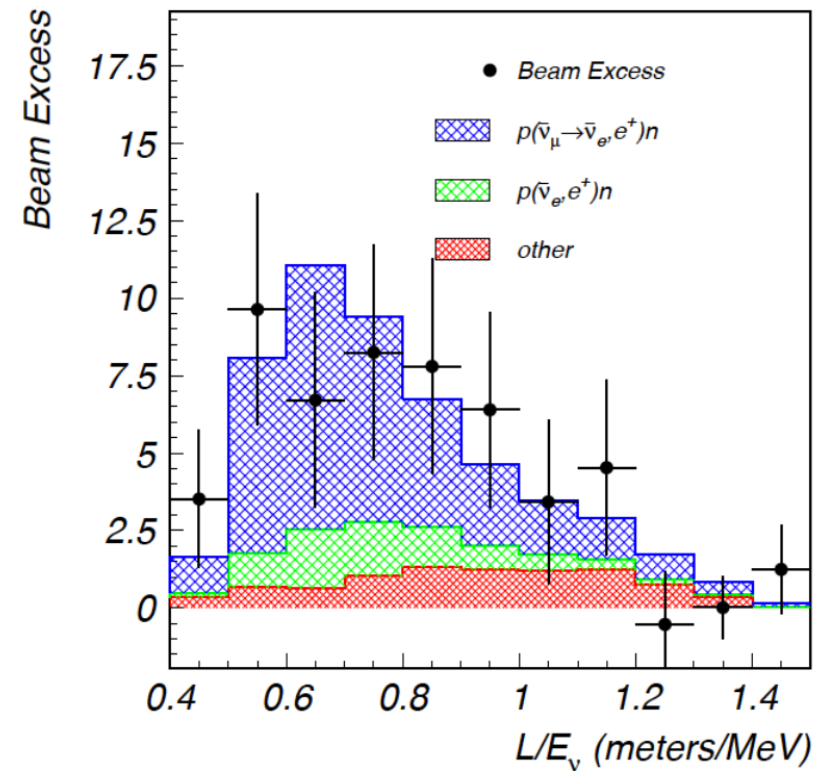
1. 'Gallium Anomaly' : Calibration of Gallium-based experiments with radioactive sources had **a 3σ deficit**

The return of the anomalies

Gallium data using Frekers et al PLB11



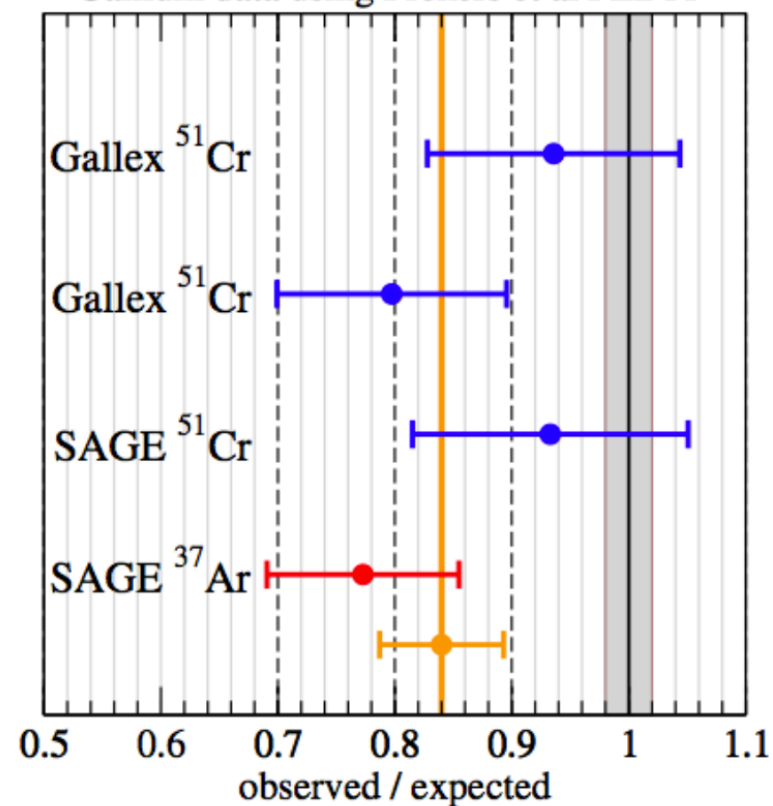
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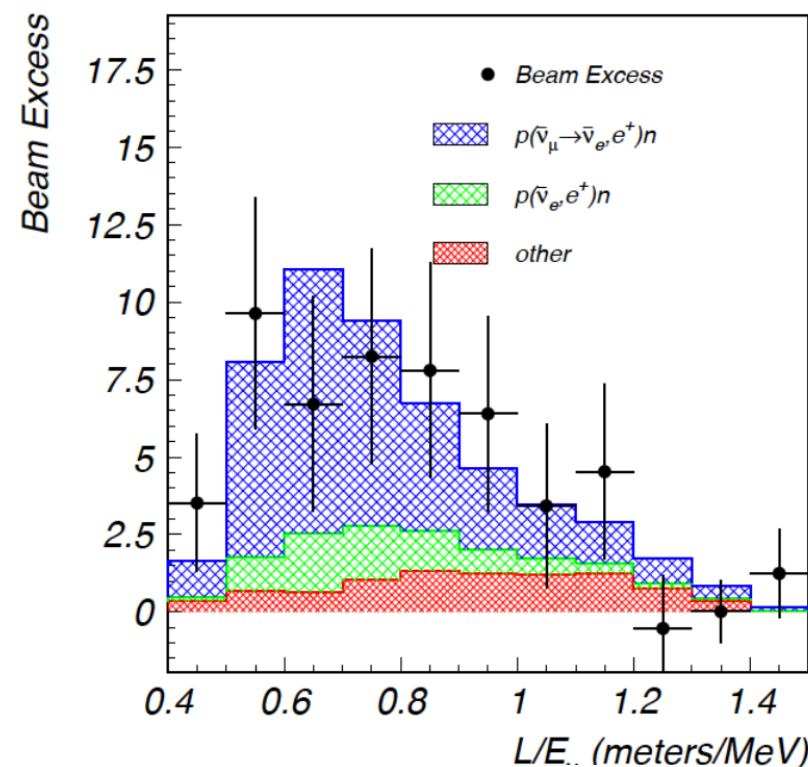
2. $\bar{\nu}_e$ excess seen at small distance in LSND, and confirmed by mini-BooNE (both with same technology). micro-BooNE constructed to resolve this anomaly

The return of the anomalies

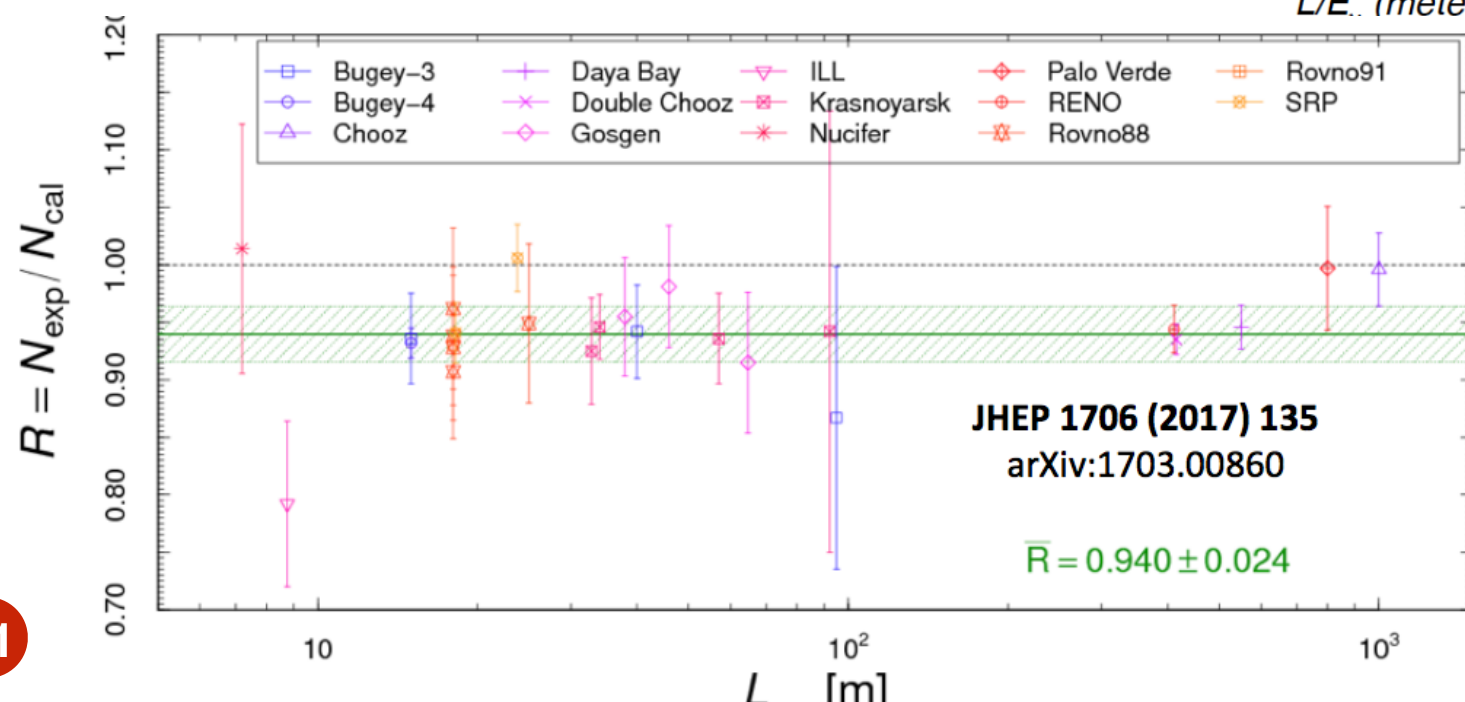
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Calibration of Gallium-based experiments with radioactive sources had **a 3σ deficit**



2. $\bar{\nu}_e$ excess seen at small distance in LSND, and confirmed by mini-BooNE (both with same technology). micro-BooNE constructed to resolve this anomaly



3. 'Reactor Anomaly' :
A revised reactor $\bar{\nu}_e$ flux analysis showed that all past ν experiments had a **$\sim 6\%$ deficit** at small distances (3σ)

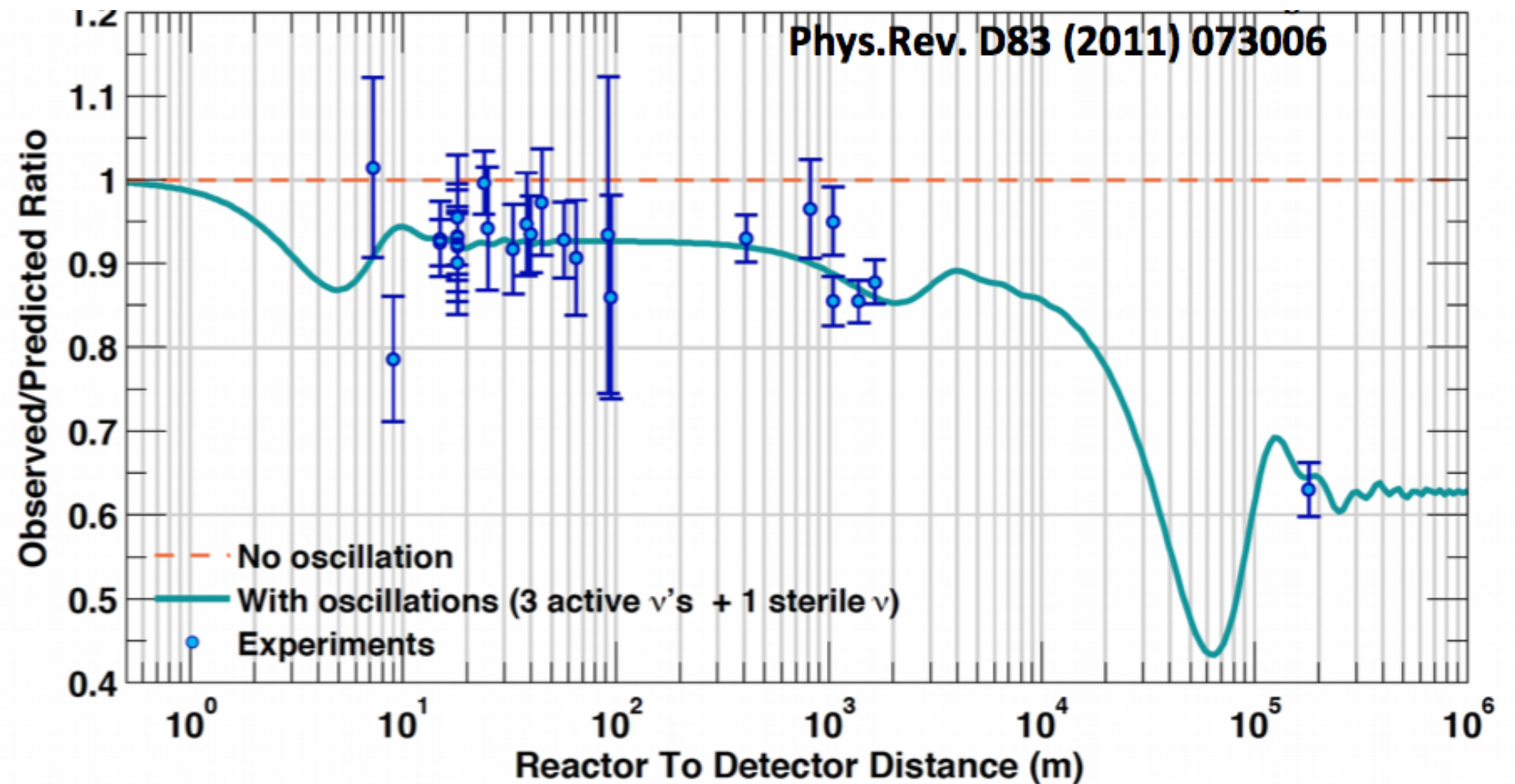
Solving the anomalies

All these anomalies could be explained by the presence of a **4th neutrino** which would be a **sterile**.

- ↳ Not even the weak interaction : this neutrino would be invisible
- ↳ But all 4 neutrinos could oscillate within each others
- ***New mass splitting and new mixing angle***

Best fit parameters of reactor anomaly:

$$\Delta m^2 \sim 2 \text{ eV}^2$$
$$\sin^2(2\theta) \sim 0.15$$
$$L_{\text{osc}} \sim \text{few m}$$



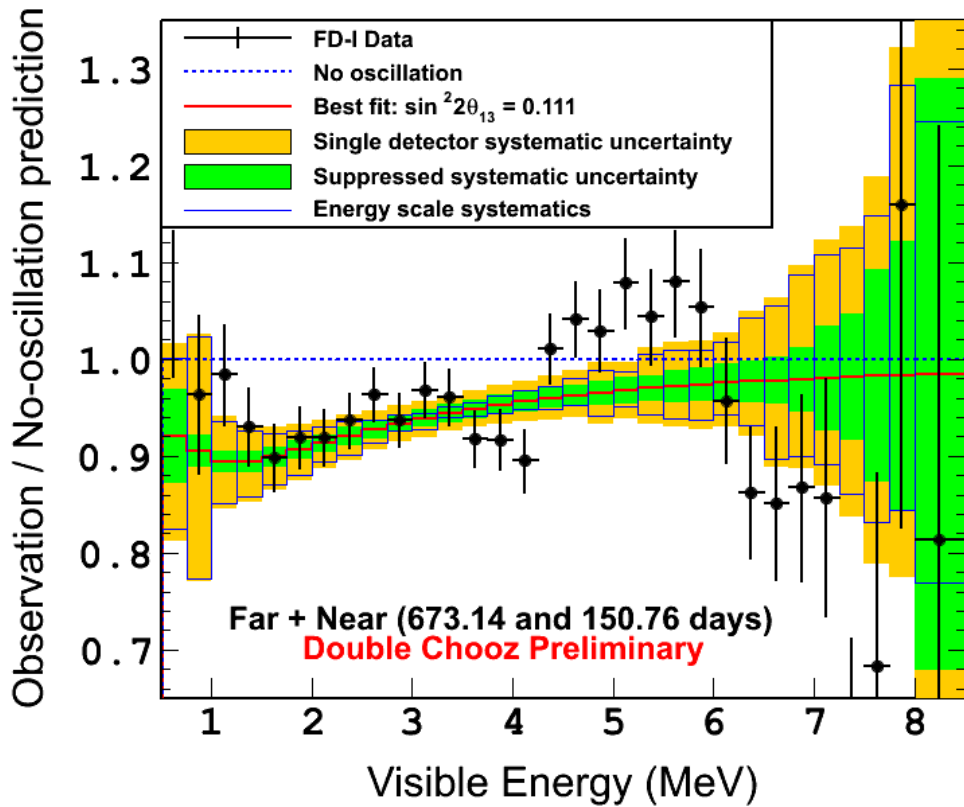
Many **oscillography** experiments ongoing, located few m from a nuclear core

... But is there an anomaly in the first place ?

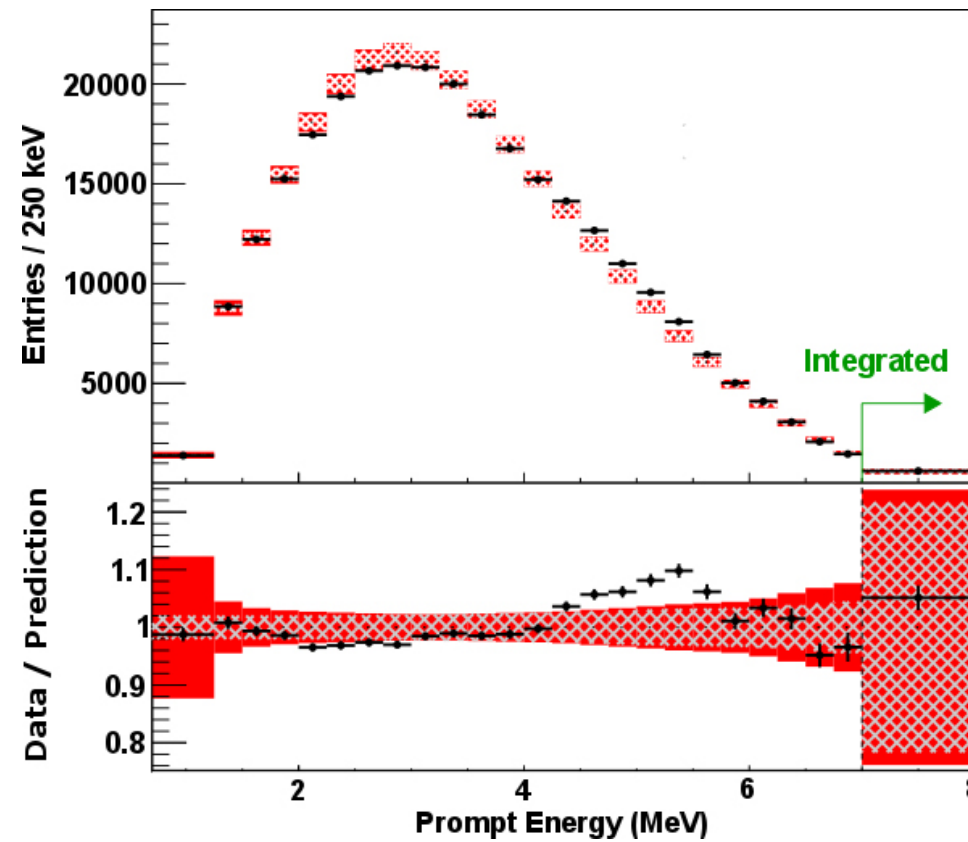
Do we really understand the reactor neutrino flux ?

Many reactor-based experiments have seen the "**5 MeV bump**"

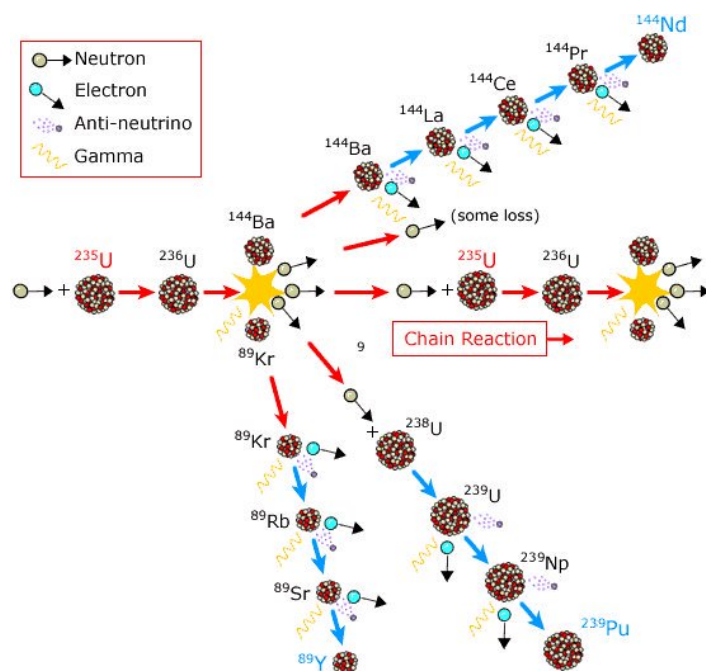
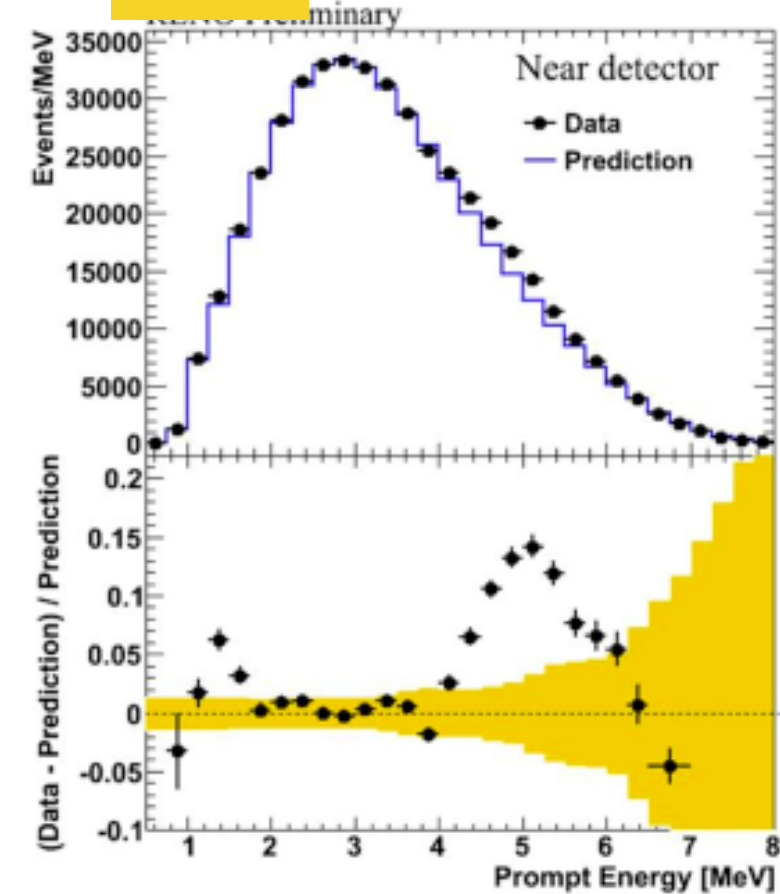
Double Chooz



Daya Bay



RENO



Prediction of reactor $\bar{\nu}_e$ flux is **complicated** : not all sub- β branches are well known

Lorenzo's Talk

Neutrino mass measurement

Indirect constraints

Cosmological
observations
(*Planck, CMB, SN, ...*)

→ SN1987a gave the 1st limit:
 $m_{\bar{\nu}_e} \leq 5.7 \text{ eV}$ at 95% CL

Current limits :
 $\Sigma m_j \approx (0.3 - 1.3) \text{ eV}$ 95% C.L.

Neutrino mass measurement

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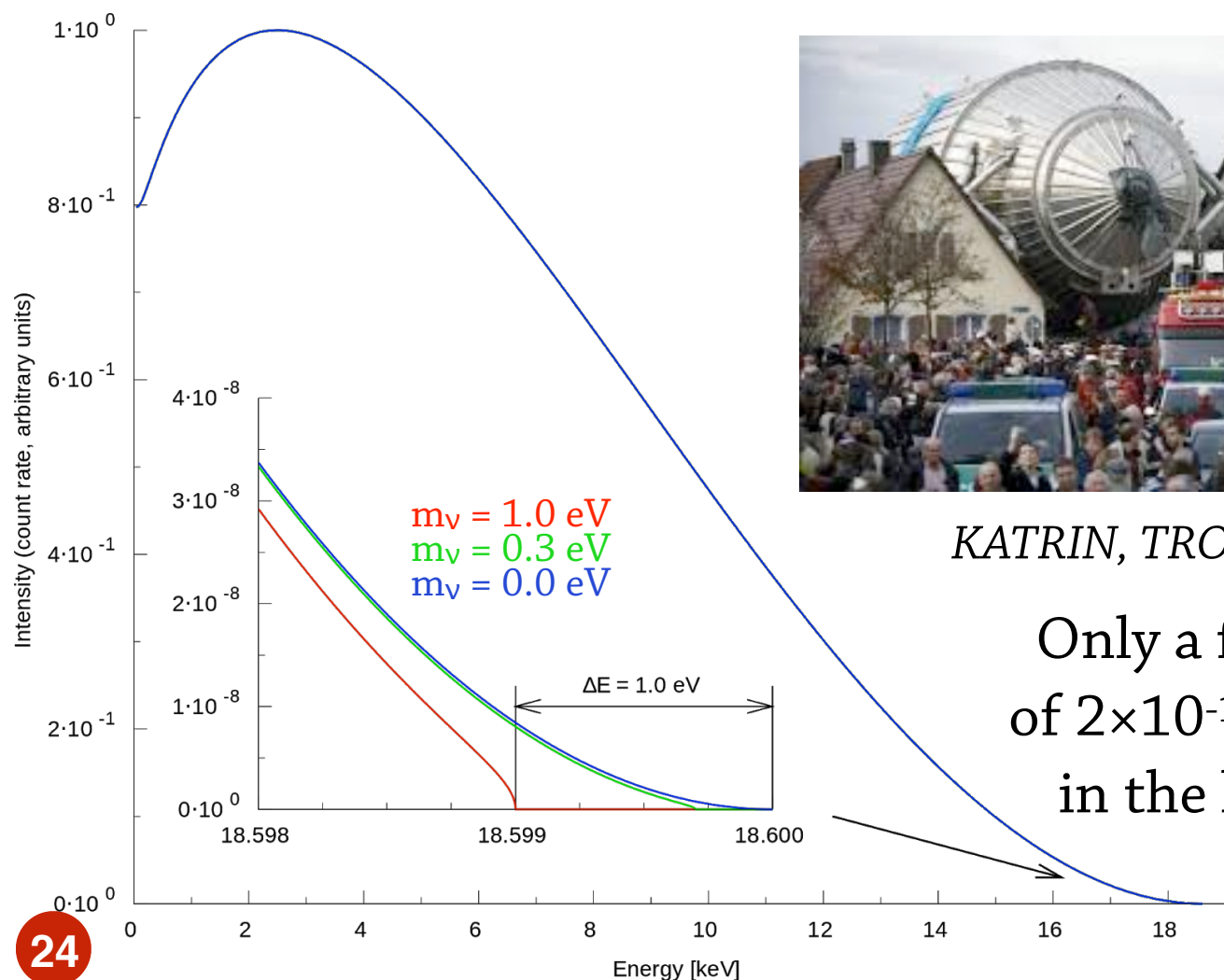
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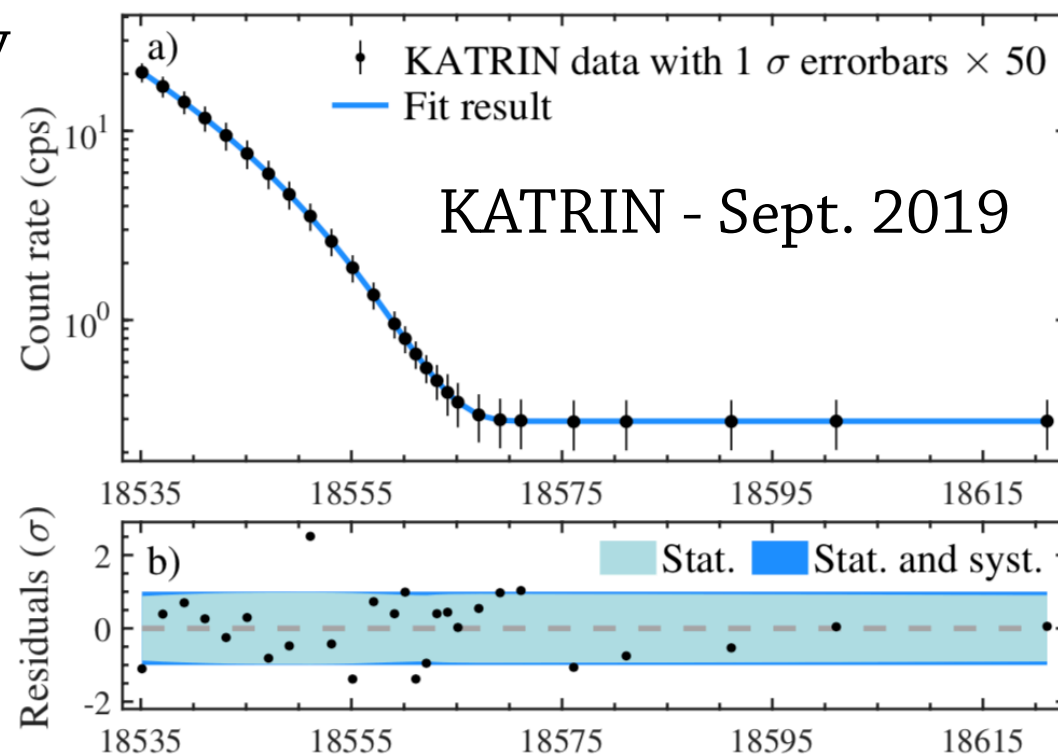
Look at the **end-point** of the β spectrum

↳ rare cases were the e- takes most of the available energy



KATRIN, TROITSK

Only a fraction
of 2×10^{-13} events
in the last eV



Current limit : $m_{\bar{\nu}_e} \leq 1.1 \text{ eV}$ at 90% CL
sensitivity at 0.2 eV

Neutrino mass : how do they get it ?

- The **Dirac** way

Through Higgs coupling

Need a sterile right handed neutrino

Why are neutrino so light ?

$$\mathcal{L}_{mass}^D = -m_D (\bar{\nu}_R \nu_L + \bar{\nu}_L \nu_R)$$
$$m_D = \frac{v}{\sqrt{2}} Y_\nu \leftarrow \sim 10^{-12}$$

- The **Majorana** way

No distinction between ν and $\bar{\nu}$

Mass given through seesaw mechanism

Need massive neutrinos

$$\nu_R = C \bar{\nu}_L^T = \nu_L^C$$
$$m = \frac{m_D^2}{m_R} \leftarrow \text{Dirac term}$$
$$m_R \leftarrow \text{Very big}$$

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→ **Only one way to prove that neutrino are Majorana particles :**

Double β decay with **no** neutrino emission

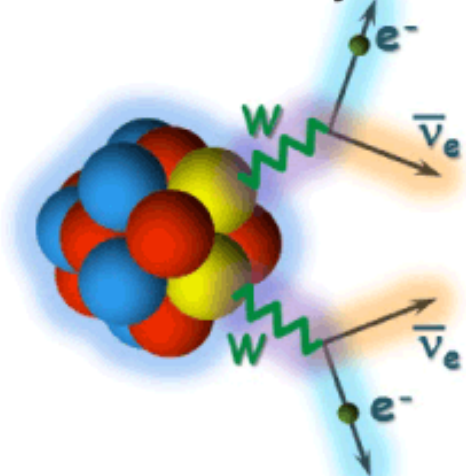
SuperNEMO, CUORE, SNO+

○ $\beta\beta 2\nu$ is very rare (half life $\sim 10^{18} - 10^{24}$ years)

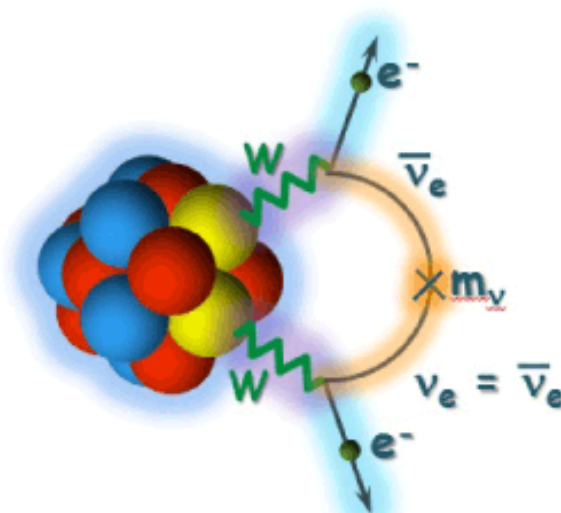
○ $\beta\beta 0\nu$ is **forbidden** in SM

↳ lepton number violated by 2 units

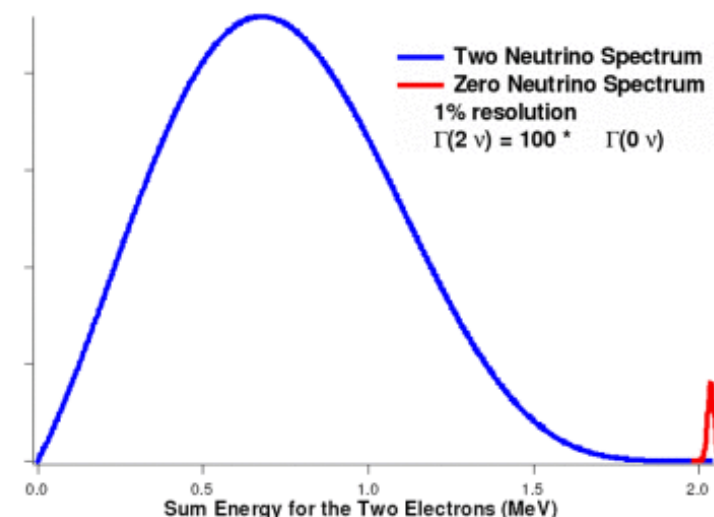
[Double beta decay]



Double beta decay which emits anti-neutrinos

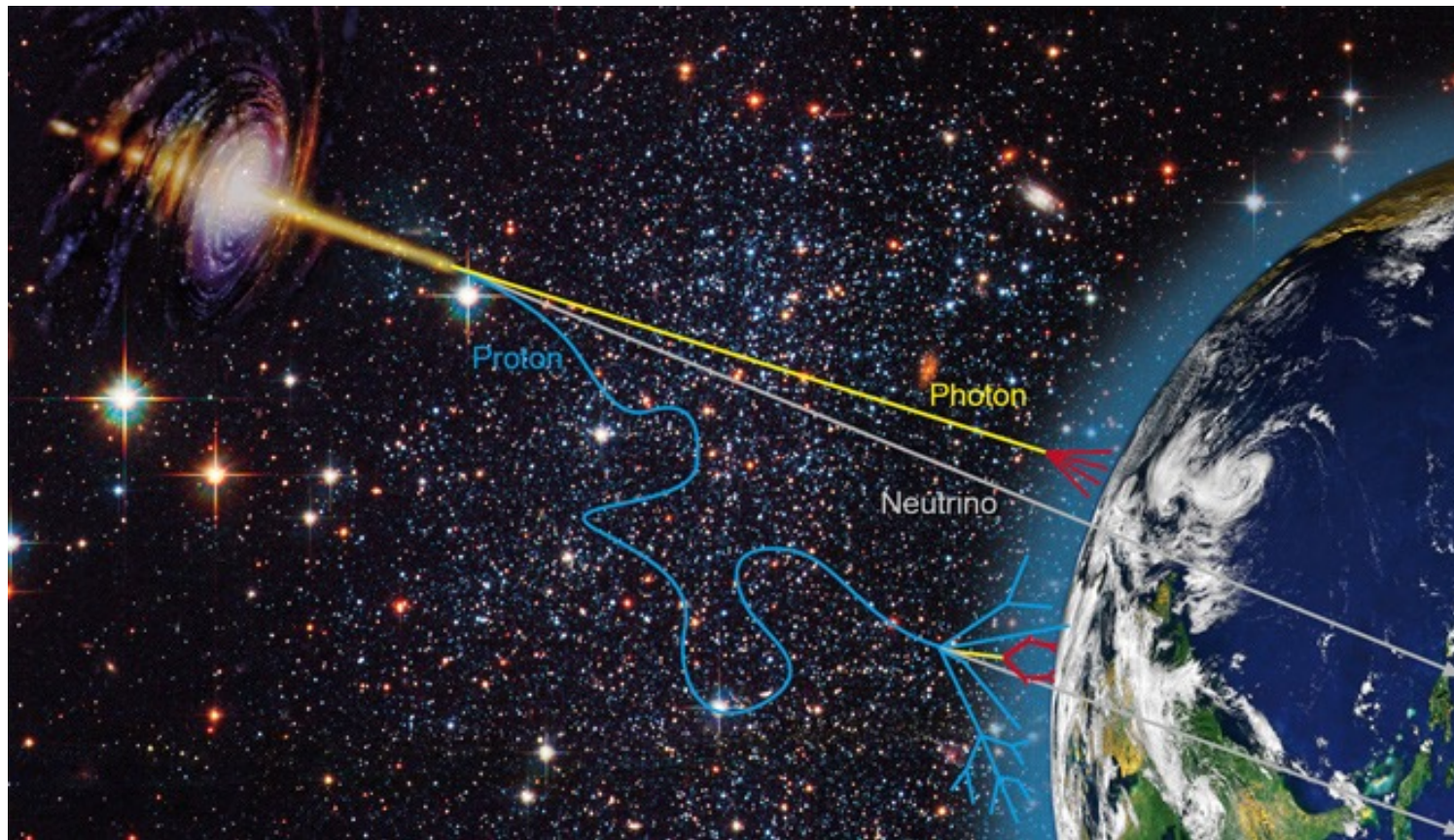


Neutrinoless double beta decay



Very clean experimental signature

Neutrino astronomy : today



Pros

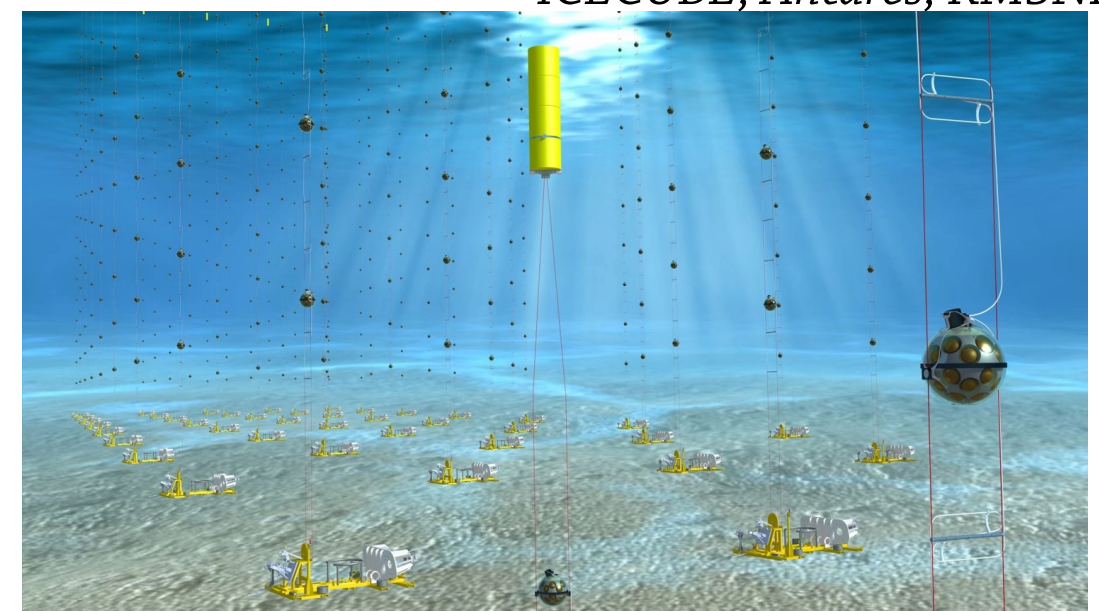
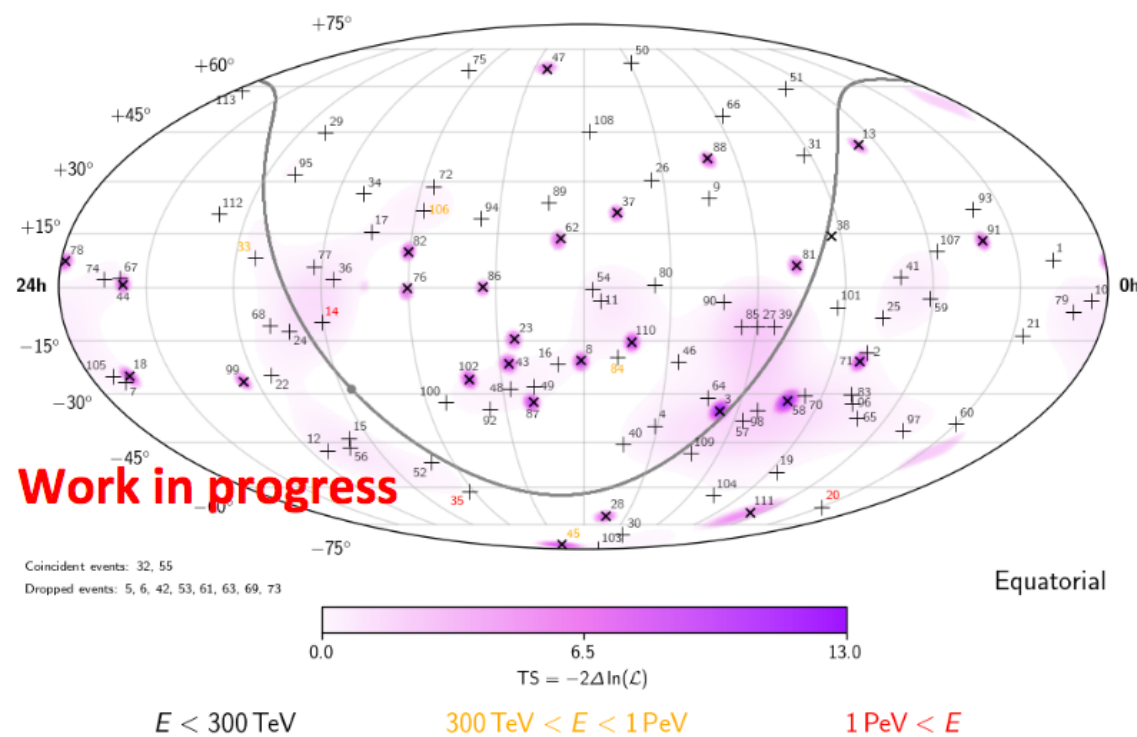
- Unlike protons & gammas, neutrinos **points to their sources**
- Can probe the inside of the structure
- **No GZK threshold** : can probe far away objects

Cons

- Low statistics

Large ($\sim \text{km}^3$) detector underground using sea or ice as target/detector

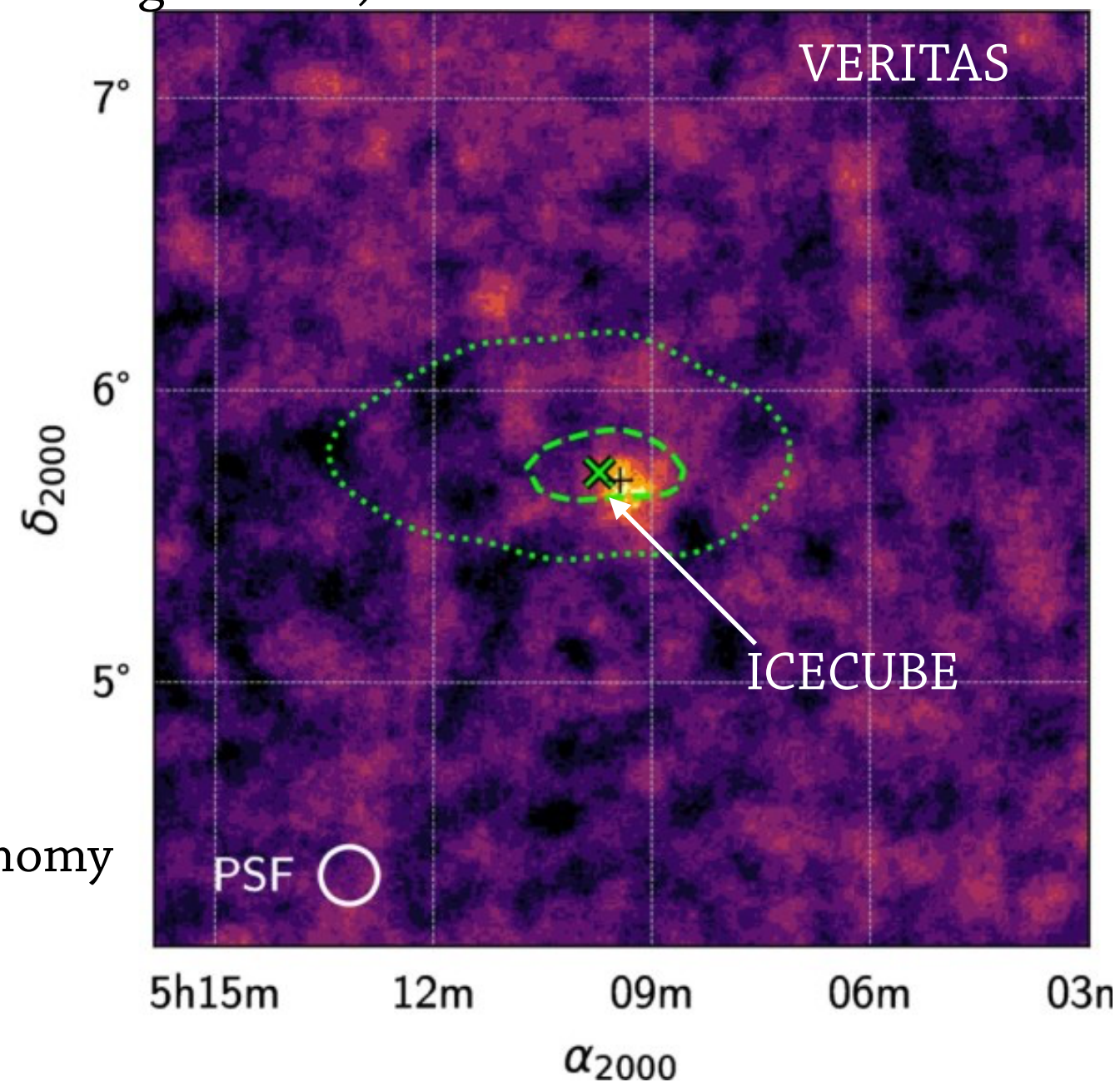
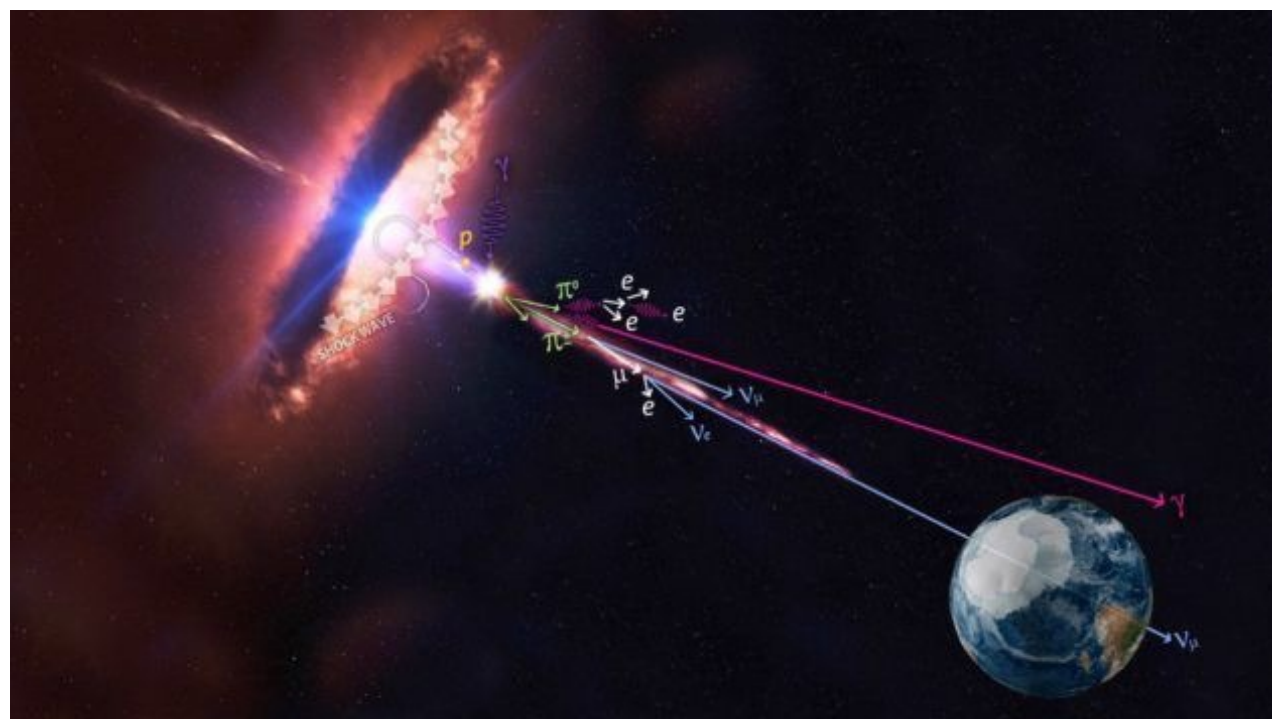
ICECUBE, Antares, KM3NET



Neutrino astronomy : tomorrow

On September 22nd 2017 : **Simultaneous** light & neutrino detection from the TXS 0506+056 blazar (3σ , $E_\nu = 290$ TeV)

(blazar = Active Galactic Nucleus with one jet pointing to earth)



- 1st case of planned **multi-messenger** astronomy
- Confirmed that blazar emits neutrinos

Summary - Things we are entirely sure about v

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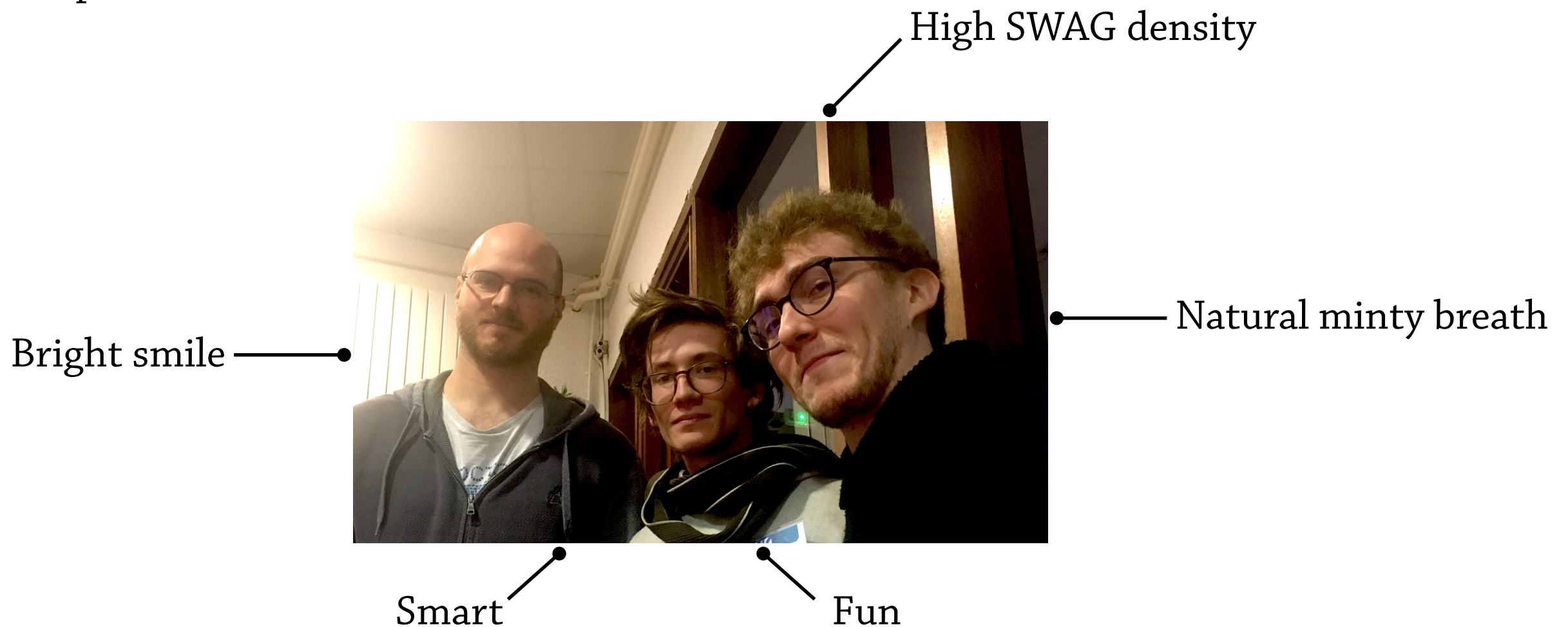
- They exist

Summary - Things we are entirely sure about ν

○ They exist

○ Neutrino physicist are super cool

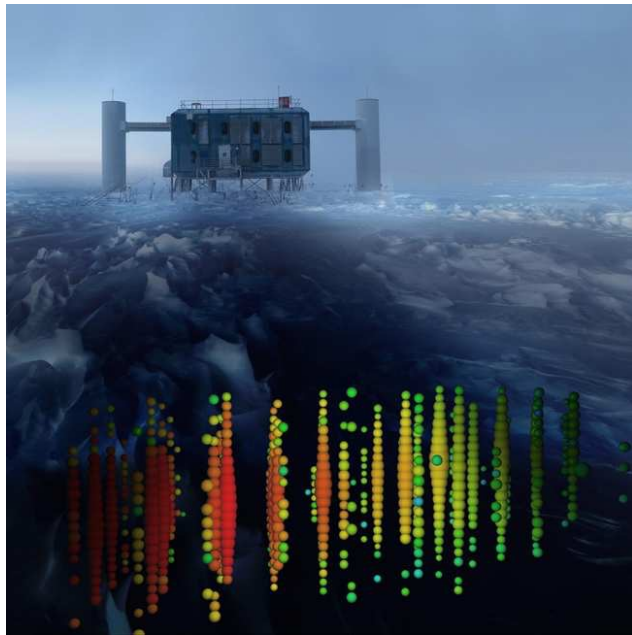
↳ Experimental evidences :



Summary - Things we are not yet sure about ν

- How many are they
- How 'heavy' they are
- Who's the heaviest
- Why they are so light
- How they acquired mass
- How precisely they oscillate from one flavor to another
- If neutrino and anti-neutrino behave the same way
- ...

Astro- ν



ν mass



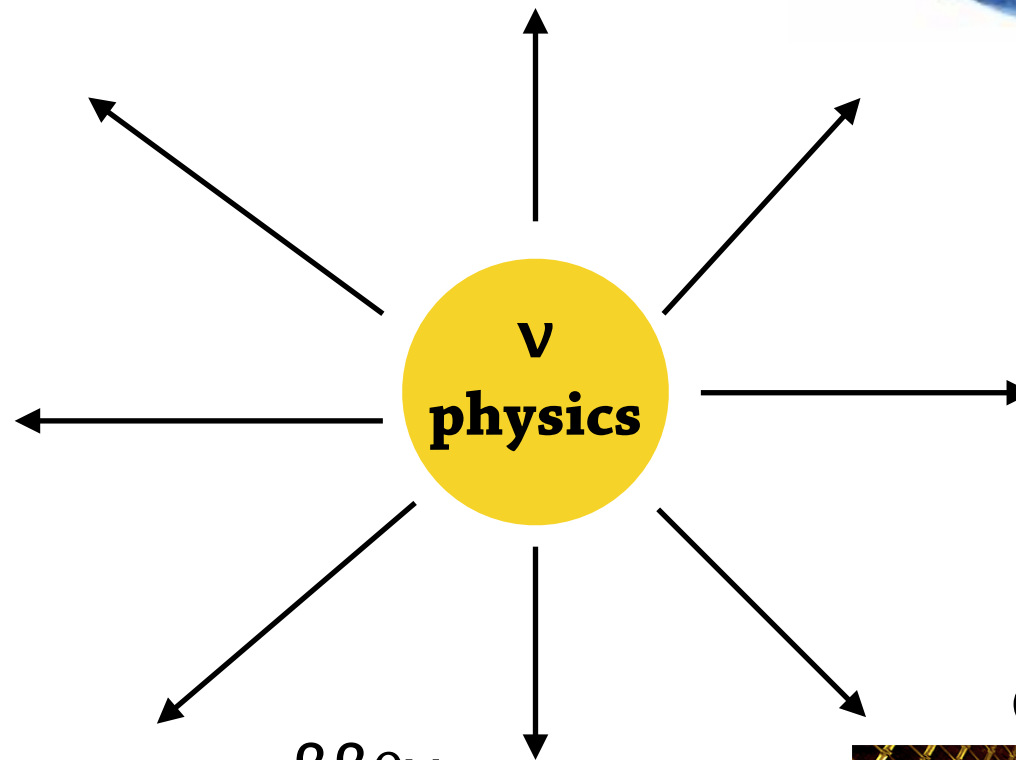
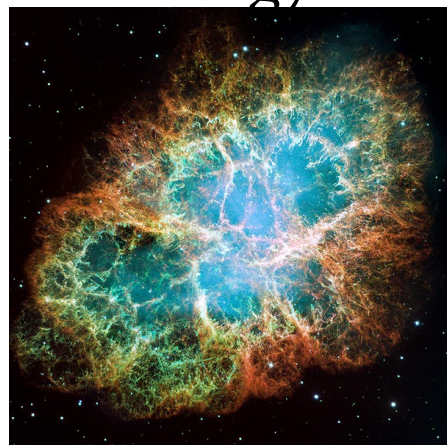
Geo- ν



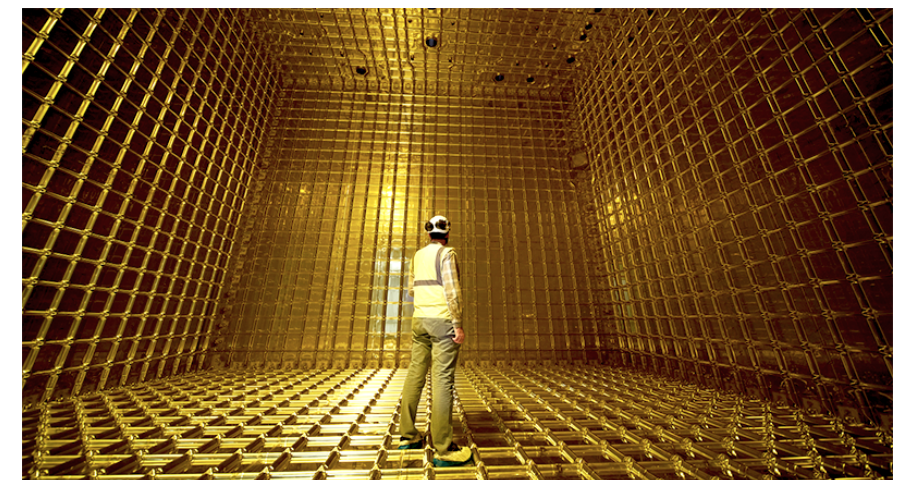
Multi-messenger



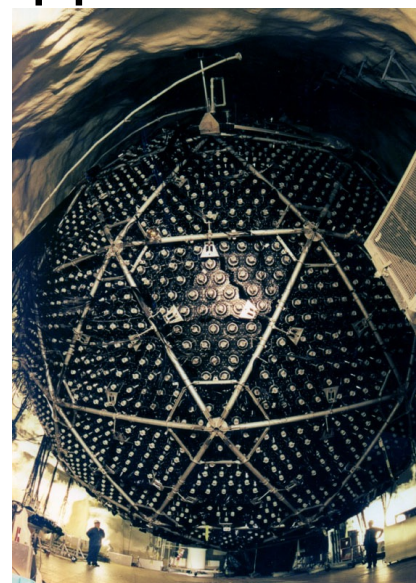
Cosmology



Oscillations



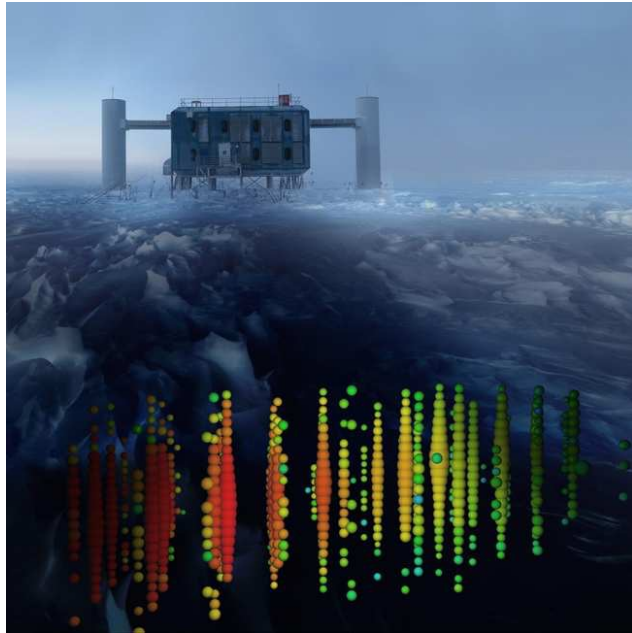
$\beta\beta 0\nu$



Sterile- ν



Astro-V



V mass



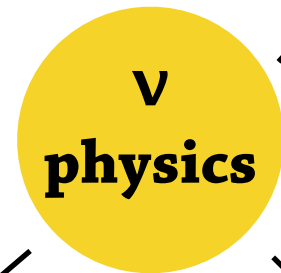
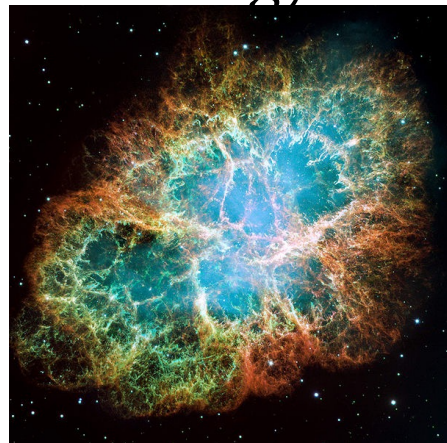
Geo-V



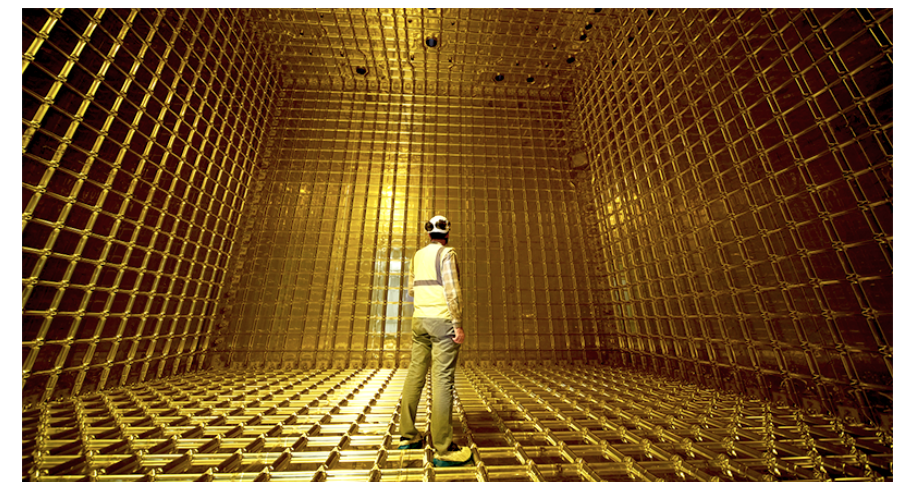
Multi-messenger



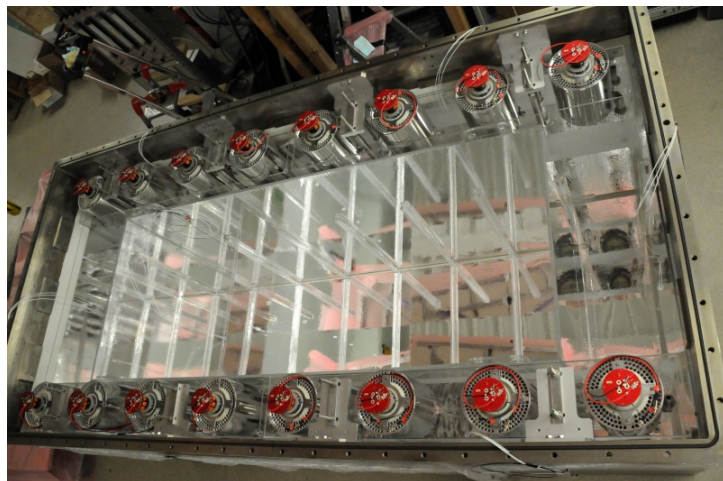
Cosmology



Oscillations



Sterile-v



$\beta\beta 0\nu$

