

# Resolved contributions to the inclusive decay $\bar{B} \rightarrow X_{s,d} \ell^+ \ell^-$

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b>sl 2019: 7th workshop on rare semileptonic B decays

## Inclusive modes $B \rightarrow X_s \gamma$ and $B \rightarrow X_s \ell^+ \ell^-$

How to compute the hadronic matrix elements  $\mathcal{O}_i(\mu = m_b)$  ?

Heavy mass expansion for inclusive modes:

$$\Gamma(\bar{B} \rightarrow X_s \gamma) \xrightarrow{m_b \rightarrow \infty} \Gamma(b \rightarrow X_s^{\text{parton}} \gamma), \quad \Delta^{\text{nonpert.}} \sim \Lambda_{QCD}^2/m_b^2$$

No linear term  $\Lambda_{QCD}/m_b$  (perturbative contributions dominant)

### An old story:

- If one goes beyond the leading operator ( $\mathcal{O}_7$ ,  $\mathcal{O}_9$ ):  
breakdown of local expansion

### A new dedicated analysis:

naive estimate of non-local matrix elements leads to 5% uncertainty.

[Benzke, Lee, Neubert, Paz, arXiv:1003.5012](#)



Analysis in  $B \rightarrow X_s \ell \ell$  in this talk; [Benzke, Hurth, Turczyk, arXiv:1705.10366](#)

# Cuts in the dilepton and hadronic mass spectra

- On-shell- $c\bar{c}$ -resonances  $\Rightarrow$  cuts in dilepton mass spectrum necessary :  
 $1\text{GeV}^2 < q^2 < 6\text{GeV}^2$  and  $14.4\text{GeV}^2 < q^2 \Rightarrow$  perturbative contributions dominant
- Hadronic invariant-mass cut is imposed in order to eliminate the background like  $b \rightarrow c (\rightarrow se^+\nu)e^-\bar{\nu} = b \rightarrow se^+e^- + \text{missing energy}$ 
  - \* Babar,Belle:  $m_X < 1.8$  or  $2.0\text{GeV}$
  - \* high- $q^2$  region not affected by this cut
  - \* kinematics:  $X_s$  is jetlike and  $m_X^2 \leq m_b\Lambda_{QCD} \Rightarrow$  shape function region
  - \* SCET analysis: universality of jet and shape functions found:  
the 10-30% reduction of the dilepton mass spectrum can be accurately computed using the  $\bar{B} \rightarrow X_s\gamma$  shape function  
5% additional uncertainty for  $2.0\text{GeV}$  cut due to subleading shape functions

Lee,Stewart hep-ph/0511334

Lee,Ligeti,Stewart,Tackmann hep-ph/0512191

Lee,Tackmann arXiv:0812.0001 (effect of subleading shape functions)

Bell,Beneke,Huber,Li arXiv:1007.3758 (NNLO matching QCD  $\rightarrow$  SCET)

# Nonlocal subleading contributions

Benzke, Hurth, Turczyk JHEP10 (2017) 031 and work in progress

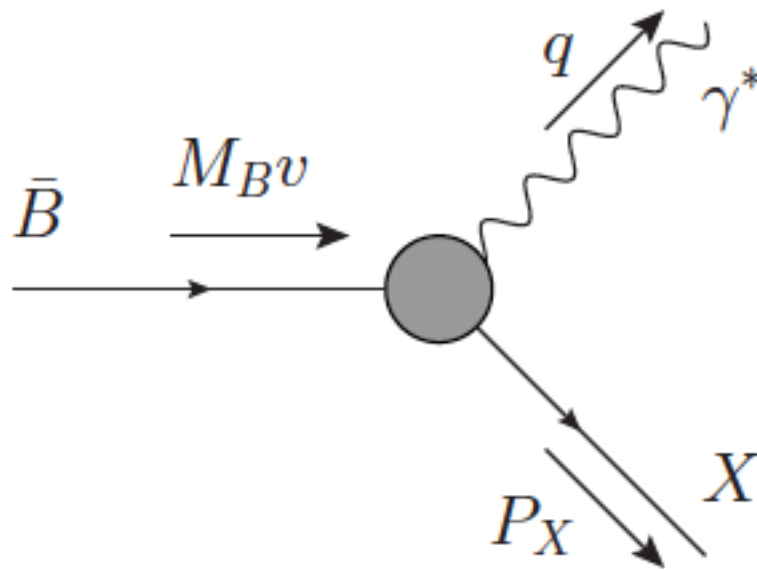
# Subleading power factorization in $B \rightarrow X_s \ell^+ \ell^-$

## Hadronic cut

Additional cut in  $X_s$  necessary to reduce background affects only low- $q^2$  region.

Hadronic invariant  $m_X^2 < 1.8(2.0) \text{GeV}^2$

Multiscale problem  $\rightarrow$  SCET



$$M_B^2 \sim m_b^2 \gg m_X^2 \sim \Lambda_{\text{QCD}} m_b \gg \Lambda_{\text{QCD}}^2$$

**Scaling**

$$\lambda = \Lambda_{\text{QCD}}/m_b$$

## Kinematics

$B$  meson rest frame

$$q = p_B - p_X \qquad 2 m_B E_X = m_B^2 + M_X^2 - q^2$$

$X_s$  system is jet-like with  $E_X \sim m_B$  and  $m_X^2 \ll E_X^2$

two light-cone components  $p_X^- p_X^+ = m_X^2$

$$\bar{n} p_X = p_X^- = E_X + |\vec{p}_X| \sim \mathcal{O}(m_B)$$

$$n p_X = p_X^+ = E_X - |\vec{p}_X| \sim \mathcal{O}(\Lambda_{\text{QCD}})$$

$$q^+ = n q = m_B - p_X^+, \qquad q^- = \bar{n} q = m_B - p_X^-$$

$$\bar{n} = (+1, 0, 0, -1); n = (+1, 0, 0, +1)$$



## Scaling

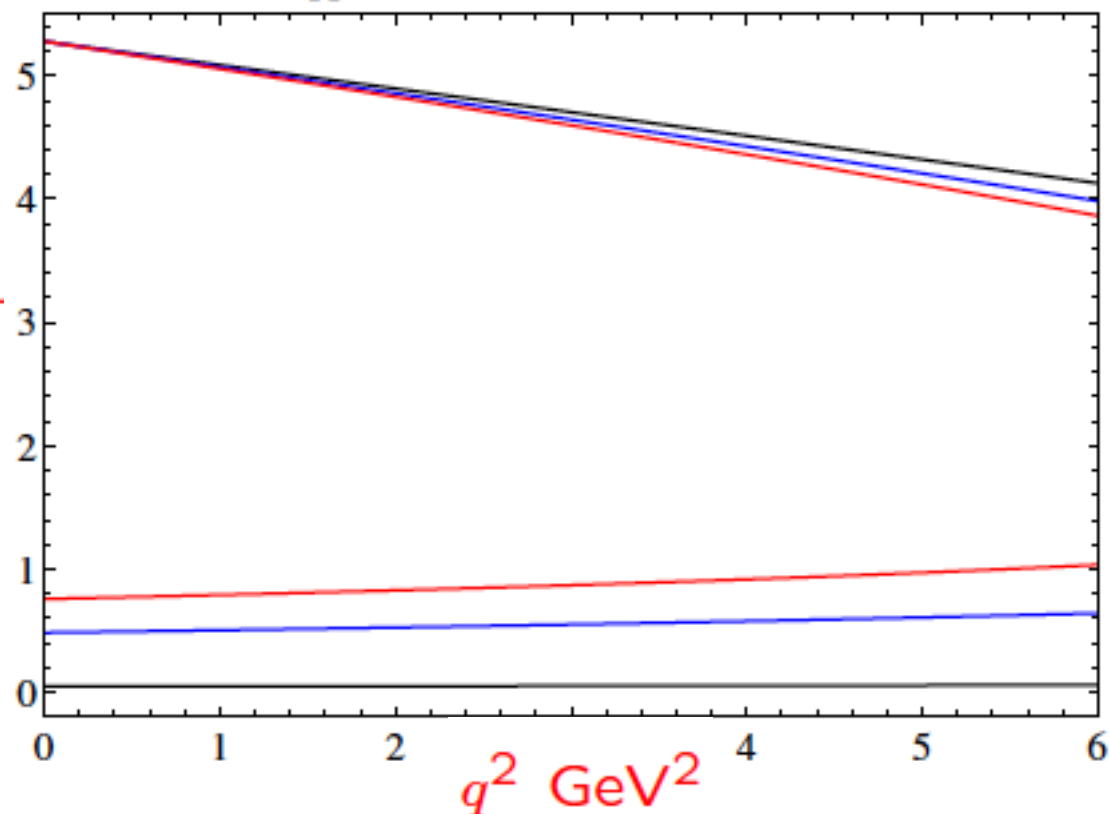
$$\lambda = \Lambda_{\text{QCD}}/m_b$$

$$m_X^2 \sim \lambda \Rightarrow m_b - n \cdot q \sim \lambda$$

$M_X = [0.5, 1.6, 2]$  GeV [Black, Blue, Red]

Upper lines :  $P_X^-$ , lower lines :  $P_X^+$

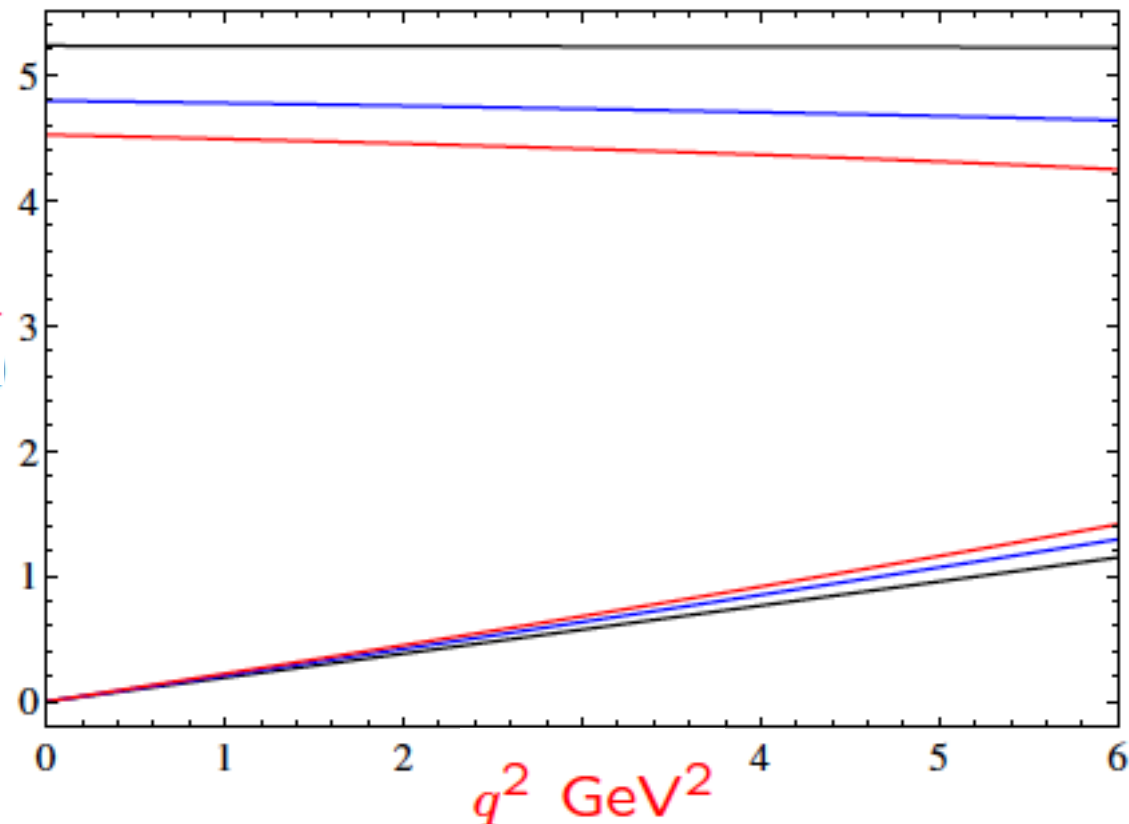
$p_X^-/+$   
GeV



$M_X = [0.5, 1.6, 2]$  GeV [Black, Blue, Red]

Upper lines :  $q^+$ , lower lines :  $q^-$

$q^+/-$   
GeV



For  $q^2 < 6 \text{ GeV}^2$  the scaling of  $np_X$  and  $\bar{n}p_X$  implies  $\bar{n}q$  is of order  $\lambda$ , means  $q$  anti-hard-collinear (just kinematics).

Stewart and Lee assume  $\bar{n}q$  to be order 1, means  $q$  is hard.

This problematic assumption implies a different matching of SCET/QCD.

## Matching

QCD *and* QED fields are included in SCET analysis !

$$\mathcal{O}_{7\gamma} = -\frac{e}{8\pi^2} m_b \bar{s} \sigma_{\mu\nu} (1 + \gamma_5) F^{\mu\nu} b \quad \rightarrow \quad \mathcal{O}_{7\gamma A}^{(0)} = \bar{\xi}_{hc} \frac{\not{n}}{2} [i n \cdot \partial \mathcal{A}_{\perp}^{\text{em}}] (1 + \gamma_5) h$$

$$\mathcal{O}_7 \text{ scales as } \lambda^{\frac{5}{2}} \quad -\frac{em_b}{4\pi^2} e^{-im_b v \cdot x}$$

$$\mathcal{O}_9 = \frac{\alpha}{2\pi} (\bar{s}b)_{V-A} (\bar{l}l)_V \quad \rightarrow \quad \mathcal{O}_9^{(1)} = \frac{\alpha}{2\pi} (\bar{\xi}_{hc}^s [1 + \gamma^5] h) (\bar{\xi}_{hc}^{\ell} \frac{\not{n}}{2} \xi_{hc}^{\ell})$$

$$\mathcal{O}_{10} = \frac{\alpha}{2\pi} (\bar{s}b)_{V-A} (\bar{l}l)_A \quad \rightarrow \quad \mathcal{O}_{10}^{(1)} = \frac{\alpha}{2\pi} (\bar{\xi}_{hc}^s [1 + \gamma^5] h) (\bar{\xi}_{hc}^{\ell} \frac{\not{n}}{2} \gamma^5 \xi_{hc}^{\ell})$$

$$\lambda^{\frac{1}{2} + \frac{3}{2} + 2\frac{1}{2}} = \lambda^3$$

But in high- $q^2$  region lepton fields and photon fields are hard and add no suppression

$$h, q \sim \lambda^{3/2} \quad \xi_{hc} \sim \sqrt{\lambda} \quad \mathcal{A}_{\perp}^{\text{em}} \sim \sqrt{\lambda}$$



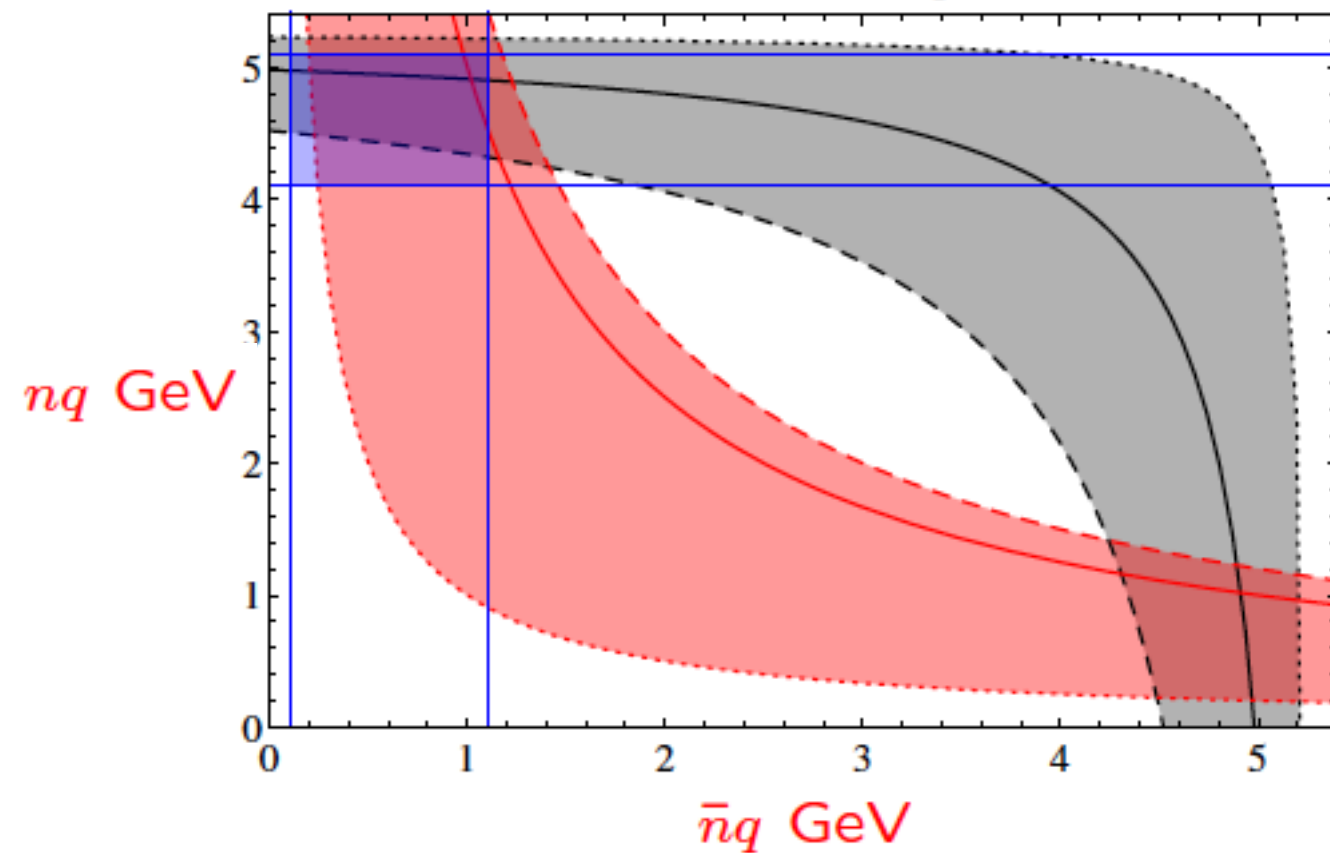
# Allowed regions

low- $q^2$

Red:  $q^2 = [1, 5, 6] \text{ GeV}^2$  [Dotted, Solid, Dashed]

Black:  $M_X = [0.495, 1.25, 2] \text{ GeV}$  [Dotted, Solid, Dashed]

Blue: anti-hard-collinear component scaling

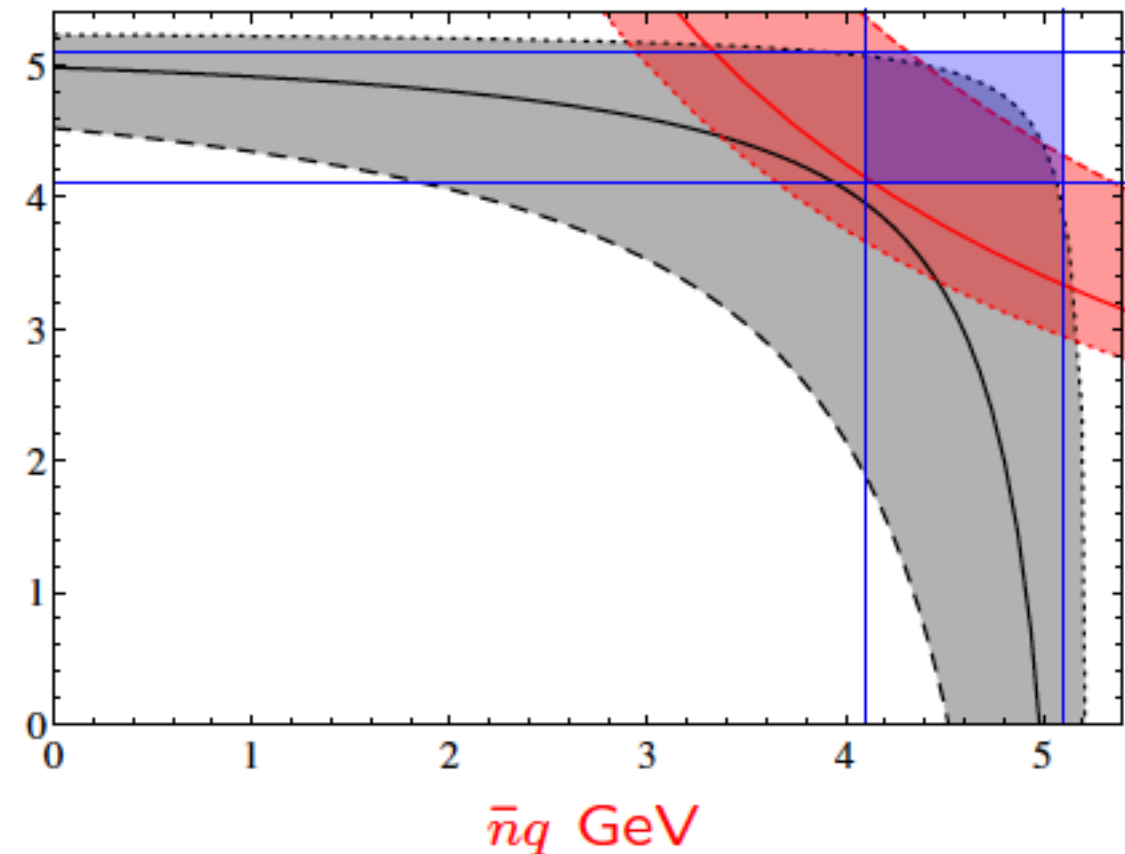


high- $q^2$

Red:  $q^2 = [15, 17, 22] \text{ GeV}^2$  [Dotted, Solid, Dashed]

Black:  $M_X = [0.495, 1.25, 2] \text{ GeV}$  [Dotted, Solid, Dashed]

Blue: hard component scaling



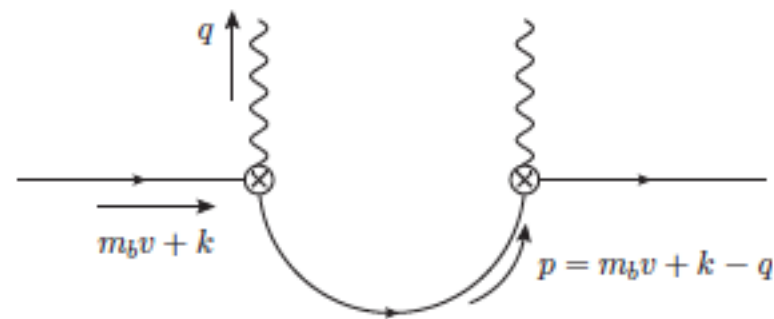
## Scaling

$$\lambda = \Lambda_{\text{QCD}}/m_b$$

$$m_X^2 \sim \lambda \Rightarrow m_b - n \cdot q \sim \lambda$$

## Shapefunction region

Local OPE breaks down for  $m_X^2 \sim \lambda$ :



$$\frac{1}{(m_b v + k - q)^2} = \frac{1}{m_b - n \cdot q} \left( 1 - \frac{n \cdot k}{m_b - n \cdot q} + \dots \right) \frac{1}{m_b - \bar{n} \cdot q}$$

Resummation of leading contributions into a shape function.

(scaling of  $\bar{n}q$  does not matter here; zero in case of  $B \rightarrow X_s \gamma$ )

**Factorization theorem**  $d\Gamma \sim H \cdot J \otimes S$

The hard function  $H$  and the jet function  $J$  are perturbative quantities.

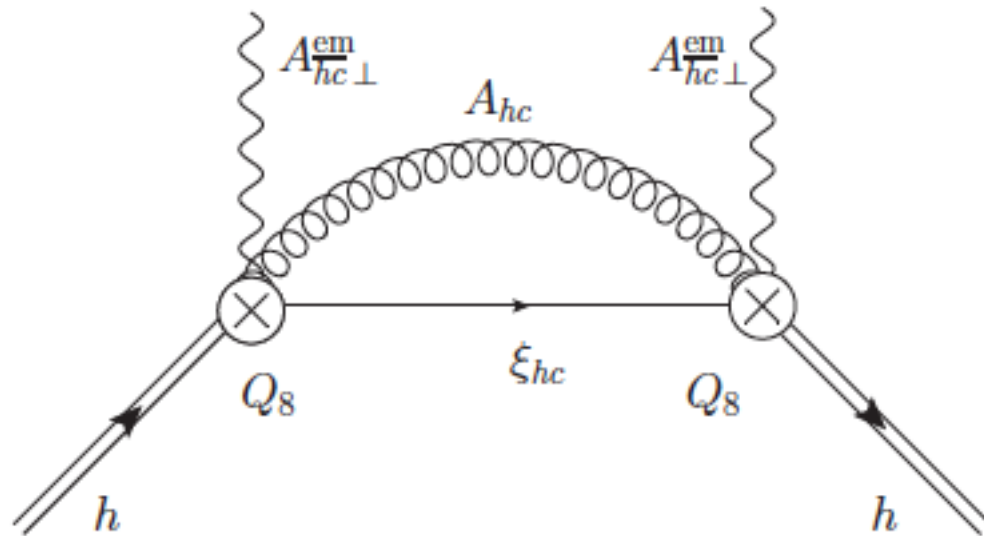
The shape function  $S$  is a non-perturbative non-local HQET matrix element.

(universality of the shape function, uncertainties due to subleading shape functions)

# Calculation at subleading power

Example of **direct** photon contribution which factorizes

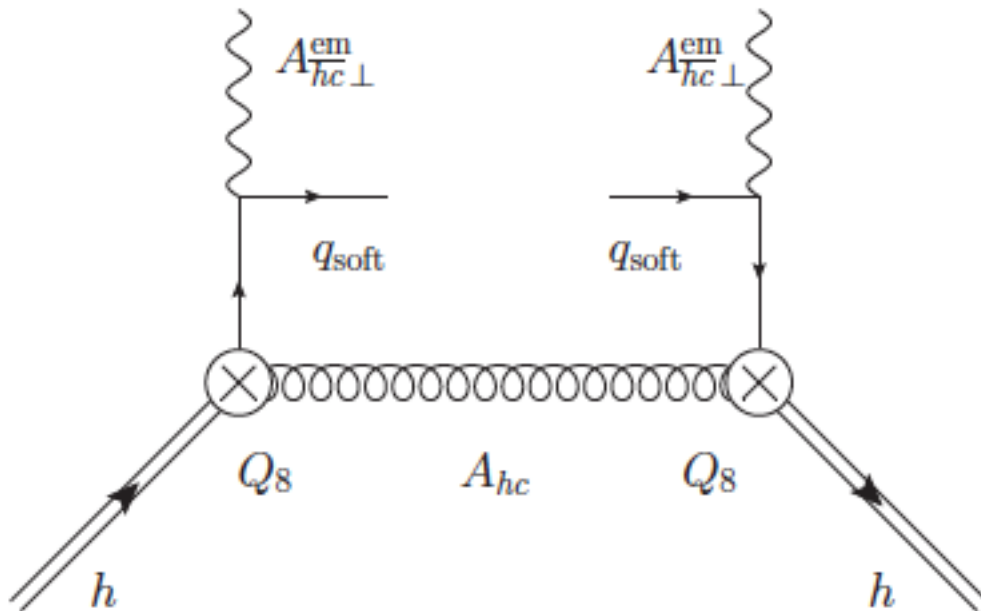
$$d\Gamma \sim H \cdot j \otimes S$$



$$\rightarrow \frac{\alpha_s}{m_b} \text{ in low } m_\chi^2 \text{ region}$$

Example of **resolved** photon contribution (double-resolved) which factorizes

$$d\Gamma \sim H \cdot J \otimes s \otimes \bar{J} \otimes \bar{J}$$



$$\rightarrow \frac{\Lambda}{m_b}$$

In the resolved contributions the photon couples to light partons instead of connecting directly to the effective weak-interaction vertex.

## Resolved contributions

- $1/m_b$   $\mathcal{O}_{7\gamma} - \mathcal{O}_{8g}$ ,  $\mathcal{O}_{8g} - \mathcal{O}_{8g}$ , and  $\mathcal{O}_1^c - \mathcal{O}_{7\gamma}$ , but also  $\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$   
 $\lambda^{12/2}$  (note additional suppression due to subleading insertion !)

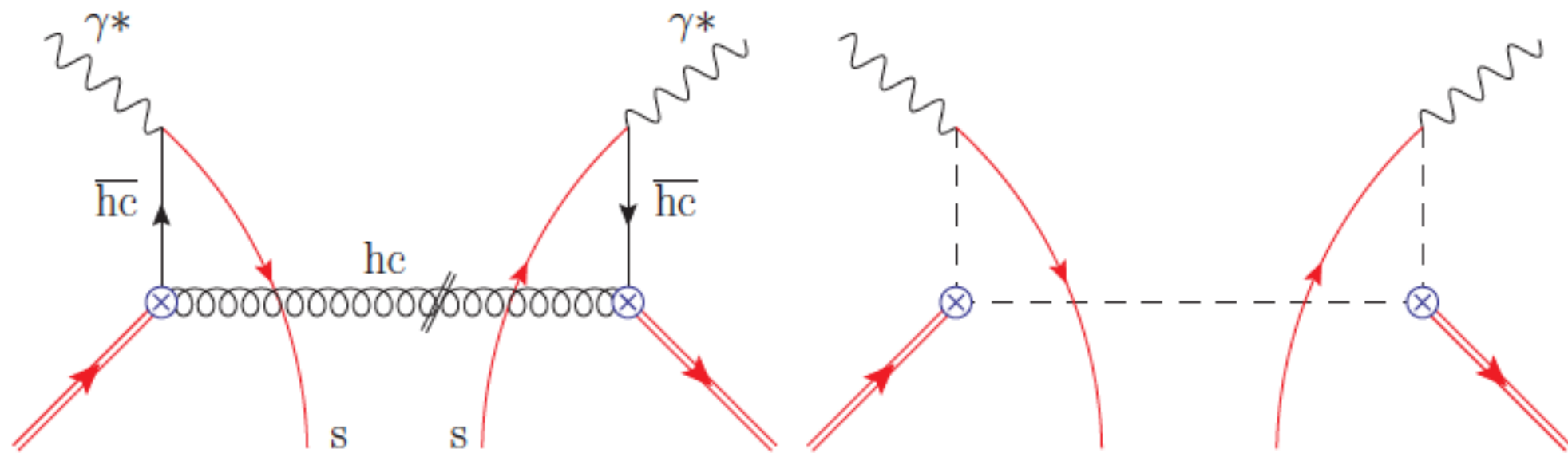
- $1/m_b^2$  for example  $\mathcal{O}_1^c - \mathcal{O}_9$ ,  $\mathcal{O}_1^c - \mathcal{O}_{10}$   
 $\lambda^{14/2}$

consequence of the fact that the virtual photon is hard-collinear

these  $1/m_b^2$  terms might be numerically relevant due  $|C_{9/10}| \sim 13|C_{7\gamma}|$

- Contributions to order  $\lambda^{13/2}$  vanish (no transversal components) !

## Interference of $Q_8$ and $Q_8$

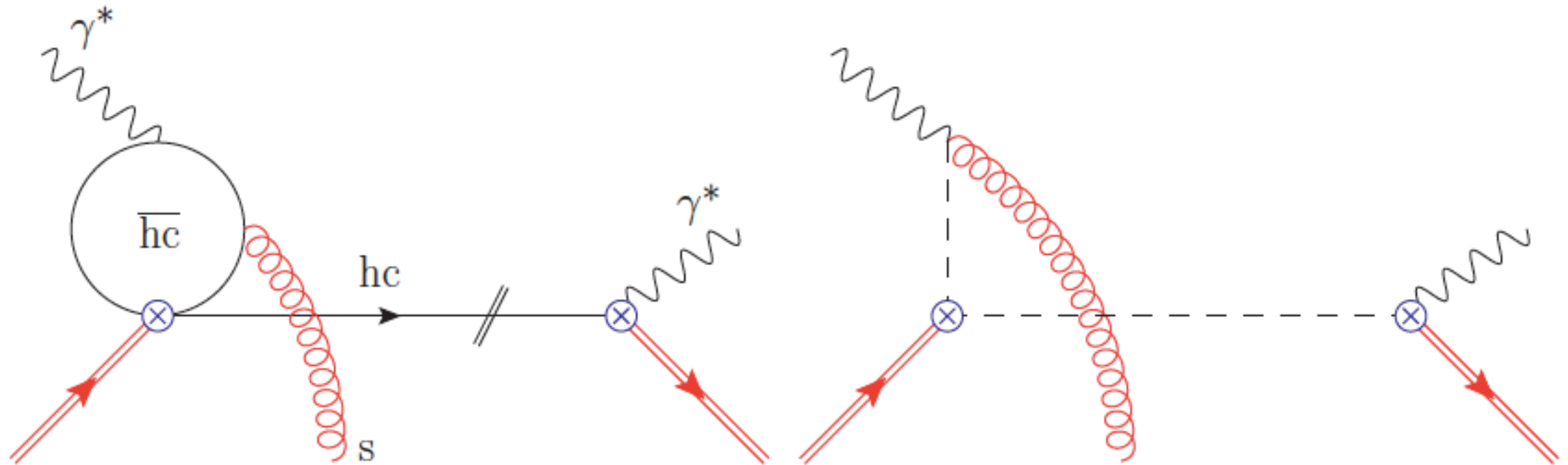


$$\frac{d\Gamma^{\text{res}}}{dn \cdot q d\bar{n} \cdot q} \sim \frac{e_s^2 \alpha_s}{m_b} \int d\omega \delta(\omega + p_+) \int \frac{d\omega_1}{\omega_1 + \bar{n} \cdot q + i\epsilon} \int \frac{d\omega_2}{\omega_2 + \bar{n} \cdot q - i\epsilon} g_{88}(\omega, \omega_1, \omega_2)$$

$$g_{88}(\omega, \omega_1, \omega_2) = \frac{1}{M_B} \langle \bar{B} | \bar{h}(\mathbf{tn}) \dots s(\mathbf{tn} + \mathbf{un}) \bar{s}(\mathbf{rn}) \dots h(\mathbf{0}) | \bar{B} \rangle_{\text{F.T.}}$$

- Convolution of jet function and shape function
- Scaling:  $\lambda^{5/2} \lambda^{5/2} \lambda^{1/2} \lambda^{1/2}$
- No resolved contribution if the photon is assumed to be hard !

## Interference of $Q_1$ and $Q_7$



$$\frac{d\Gamma^{\text{res}}}{dn \cdot q d\bar{n} \cdot q} \sim \frac{1}{m_b} \int d\omega \delta(\omega + p_+) \int \frac{d\omega_1}{\omega_1 + i\epsilon}$$

$$\frac{1}{\omega_1} \left[ \bar{n} \cdot q \left( F \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) - 1 \right) - (\bar{n} \cdot q + \omega_1) \left( F \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) - 1 \right) \right.$$

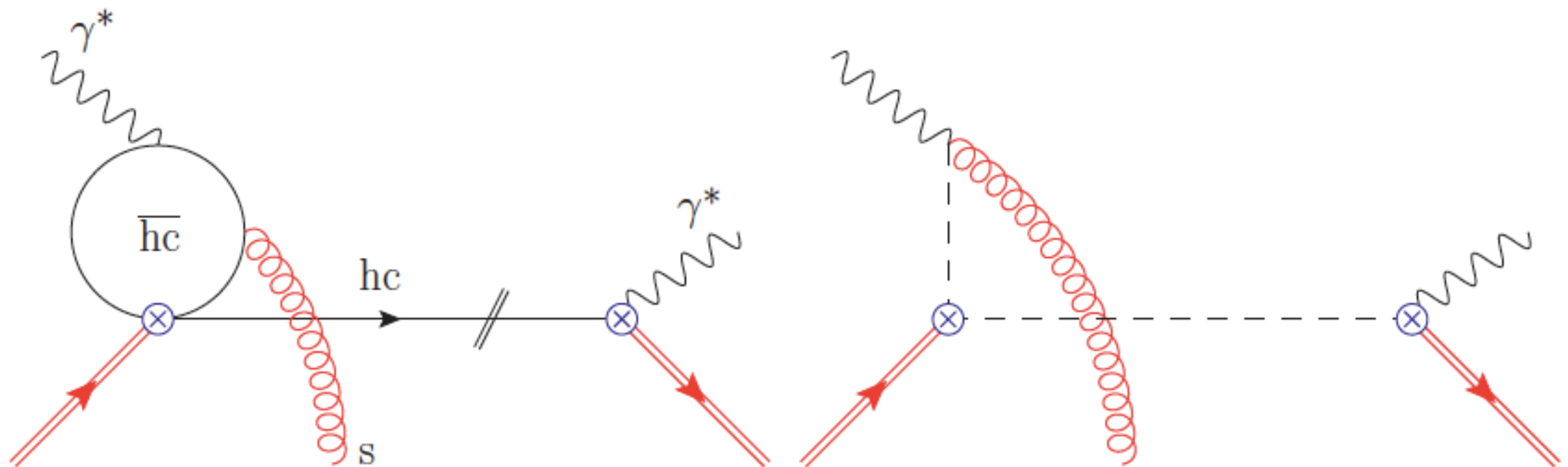
$$\left. + \bar{n} \cdot q \left( G \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) - G \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) \right) \right] g_{17}(\omega, \omega_1)$$

$$g_{17}(\omega, \omega_1) = \int \frac{dr}{2\pi} e^{-i\omega_1 r} \int \frac{dt}{2\pi} e^{-i\omega t} \frac{1}{M_B} \langle \bar{B} | \bar{h}(\text{tn}) \dots G_s^{\alpha\beta}(r\bar{n}) \dots h(0) | \bar{B} \rangle$$

- Scaling:  $\lambda^{6/2} \lambda^{5/2} \lambda^{1/2}$
- Connection to Voloshin term (see below)



## Interference of $Q_1$ and $Q_7$



$$\frac{d\Gamma^{\text{res}}}{dn \cdot q d\bar{n} \cdot q} \sim \frac{1}{m_b} \int d\omega \delta(\omega + p_+) \int \frac{d\omega_1}{\omega_1 + i\epsilon}$$

$$\frac{1}{\omega_1} \left[ \bar{n} \cdot q \left( F \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) - 1 \right) - (\bar{n} \cdot q + \omega_1) \left( F \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) - 1 \right) \right.$$

$$\left. + \bar{n} \cdot q \left( G \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) - G \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) \right) \right] g_{17}(\omega, \omega_1)$$

$$g_{17}(\omega, \omega_1) = \int \frac{dr}{2\pi} e^{-i\omega_1 r} \int \frac{dt}{2\pi} e^{-i\omega t} \frac{1}{M_B} \langle \bar{B} | \bar{h}(\text{tn}) \dots G_s^{\alpha\beta}(r\bar{n}) \dots h(0) | \bar{B} \rangle$$

- Shape function is nonlocal in both light cone directions
- It survives  $M_X \rightarrow 1$  limit (irreducible uncertainty)
- Due to support properties of the soft functions resolved contributions are almost cut-independent (besides  $8 - 8$ ).

## Angular observables

$$\frac{d^2\Gamma}{dq^2 dz} = \frac{3}{8} \left[ (1 + z^2) H_T(q^2) + 2(1 - z^2) H_L(q^2) + 2z H_A(q^2) \right]$$

$$\frac{d\Gamma}{dq^2} = H_T(q^2) + H_L(q^2) \qquad \frac{dA_{\text{FB}}}{dq^2} = 3/4 H_A(q^2)$$

$$d\Gamma(\bar{B} \rightarrow X_s \ell^+ \ell^-) \equiv d\Lambda_{\alpha\beta} W^{\alpha\beta}(v, q),$$

$$d\Lambda_{\alpha\beta; 1/m_b} = dn \cdot q \, d\bar{n} \cdot q \, dz \frac{\alpha}{128\pi^3} (1 + z^2) \frac{n \cdot q}{\bar{n} \cdot q} g_{\perp, \alpha\beta}.$$

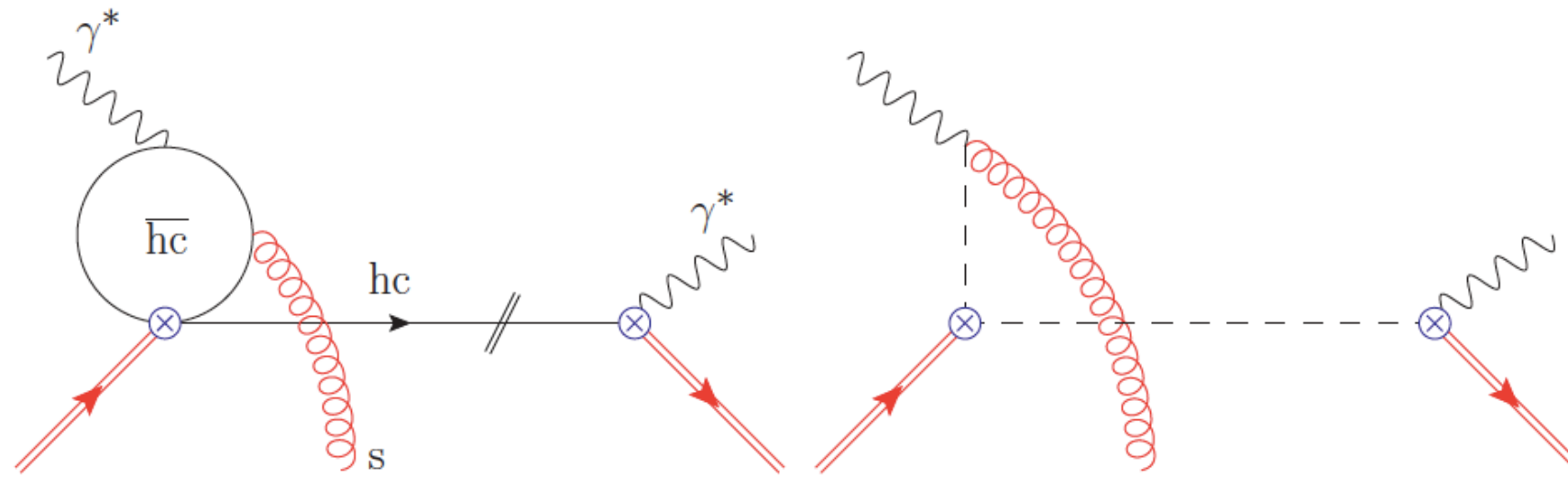
At  $O(1/m_b)$  nonlocal power corrections only to  $H_T(q^2)$ .

## Numerical evaluation

- Subleading shape functions of resolved contributions similar to  $b \rightarrow s\gamma$
- Use explicit definition to determine properties:
  - \* PT invariance: soft functions are real
  - \* Moments of  $g_{17}$  related to HQET parameters
  - \* Vacuum insertion approximation relates  $g_{78}$  to the B meson LCDA
- Perform convolution integrals with model functions

# Numerical evaluation

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$

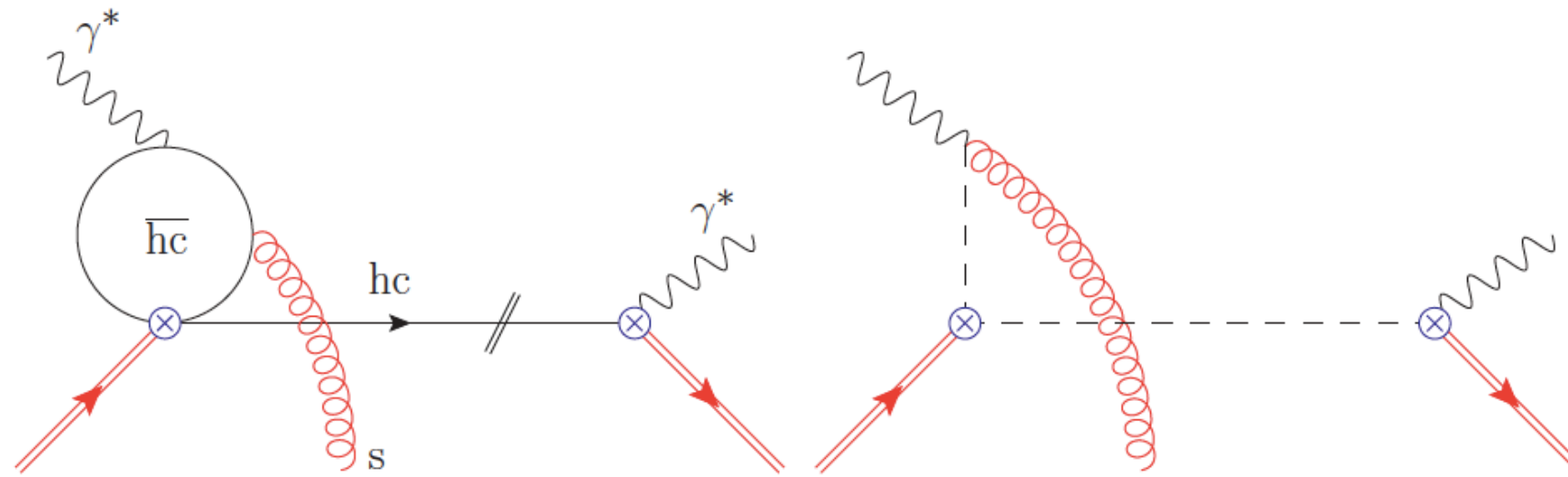


$$d\Gamma_{17} = \frac{1}{m_b} \text{Re} \left[ \hat{\Gamma}_{17} \frac{-(\lambda_t^q)^* \lambda_c^q}{|\lambda_t^q|^2} \right] \frac{\alpha}{24\pi^3} dn \cdot q d\bar{n} \cdot q \frac{(n \cdot q)^3}{\bar{n} \cdot q} \\ \times \text{Re} \int d\omega \delta(\omega + m_b - n \cdot q) \int d\omega_1 \frac{1}{\omega_1 + i\epsilon} \\ \times \frac{1}{\omega_1} \left[ (\bar{n} \cdot q + \omega_1) \left( 1 - F \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) \right) - \bar{n} \cdot q \left( 1 - F \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right. \\ \left. - \bar{n} \cdot q \left( G \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) - G \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right] g_{17}(\omega, \omega_1, \mu),$$

$$g_{17}(\omega, \omega_1, \mu) = \int \frac{dr}{2\pi} e^{-i\omega_1 r} \int \frac{dt}{2\pi} e^{-i\omega t} \\ \times \frac{\langle \bar{B} | (\bar{h} S_n)(tn) \not{n} (1 + \gamma_5) (S_n^\dagger S_{\bar{n}})(0) i\gamma_\alpha^\perp \bar{n}_\beta (S_{\bar{n}}^\dagger g G_s^{\alpha\beta} S_{\bar{n}})(r\bar{n}) (S_{\bar{n}}^\dagger h)(0) | \bar{B} \rangle}{2M_B}$$

# Numerical evaluation

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$



$$d\Gamma_{17} = \frac{1}{m_b} \text{Re} \left[ \hat{\Gamma}_{17} \frac{-(\lambda_t^q)^* \lambda_c^q}{|\lambda_t^q|^2} \right] \frac{\alpha}{24\pi^3} dn \cdot q d\bar{n} \cdot q \frac{(n \cdot q)^3}{\bar{n} \cdot q}$$

$$\times \text{Re} \int d\omega \delta(\omega + m_b - n \cdot q) \int d\omega_1 \frac{1}{\omega_1 + i\epsilon}$$

$$\times \frac{1}{\omega_1} \left[ (\bar{n} \cdot q + \omega_1) \left( 1 - F \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) \right) - \bar{n} \cdot q \left( 1 - F \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right. \\ \left. - \bar{n} \cdot q \left( G \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) - G \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right] g_{17}(\omega, \omega_1, \mu),$$

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$

• Limit  $m_c \rightarrow m_u = 0$

$$\times \frac{1}{\omega_1} [\omega_1] g_{17}(\omega, \omega_1, \mu)$$

$$g_{17}(\omega, \omega_1, \mu) = \int \frac{dr}{2\pi} e^{-i\omega_1 r} \int \frac{dt}{2\pi} e^{-i\omega t}$$

$$\times \frac{\langle \bar{B} | (\bar{h} S_n)(tn) \not{n} (1 + \gamma_5) (S_n^\dagger S_{\bar{n}})(0) i\gamma_\alpha^\perp \bar{n}_\beta (S_{\bar{n}}^\dagger g G_s^{\alpha\beta} S_{\bar{n}})(r\bar{n}) (S_{\bar{n}}^\dagger h)(0) | \bar{B} \rangle}{2M_B}$$

## Numerical evaluation

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$

• Trace formalism of HQET: 
$$\int_{-\infty}^{\bar{\Lambda}} d\omega g_{17}(\omega, \omega_1, \mu) = \int_{-\infty}^{\bar{\Lambda}} d\omega (g_{17}(\omega, -\omega_1, \mu))^*$$

$$d\Gamma_{17} = \frac{1}{m_b} \text{Re} \left[ \hat{\Gamma}_{17} \frac{-(\lambda_t^q)^* \lambda_c^q}{|\lambda_t^q|^2} \right] \frac{\alpha}{24\pi^3} dn \cdot q d\bar{n} \cdot q \frac{(n \cdot q)^3}{\bar{n} \cdot q}$$

$$\times \text{Re} \int d\omega \delta(\omega + m_b - n \cdot q) \int d\omega_1 \frac{1}{\omega_1 + i\epsilon}$$

$$\times \frac{1}{\omega_1} \left[ (\bar{n} \cdot q + \omega_1) \left( 1 - F \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) \right) - \bar{n} \cdot q \left( 1 - F \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right. \\ \left. - \bar{n} \cdot q \left( G \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) - G \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right] g_{17}(\omega, \omega_1, \mu),$$

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$

• Limit  $m_c \rightarrow m_u = 0$

$$\times \frac{1}{\omega_1} [ \omega_1 ] g_{17}(\omega, \omega_1, \mu)$$



## Numerical evaluation

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$

- Trace formalism of HQET: 
$$\int_{-\infty}^{\bar{\Lambda}} d\omega g_{17}(\omega, \omega_1, \mu) = \int_{-\infty}^{\bar{\Lambda}} d\omega (g_{17}(\omega, -\omega_1, \mu))^*$$

- PT invariance: 
$$g_{17} \text{ is real}$$

$$d\Gamma_{17} = \frac{1}{m_b} \text{Re} \left[ \hat{\Gamma}_{17} \frac{-(\lambda_t^q)^* \lambda_c^q}{|\lambda_t^q|^2} \right] \frac{\alpha}{24\pi^3} dn \cdot q d\bar{n} \cdot q \frac{(n \cdot q)^3}{\bar{n} \cdot q}$$

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$

$$\times \text{Re} \int d\omega \delta(\omega + m_b - n \cdot q) \int d\omega_1 \frac{1}{\omega_1 + i\epsilon}$$

- Limit  $m_c \rightarrow m_u = 0$

$$\times \frac{1}{\omega_1} \left[ (\bar{n} \cdot q + \omega_1) \left( 1 - F \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) \right) - \bar{n} \cdot q \left( 1 - F \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right. \\ \left. - \bar{n} \cdot q \left( G \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) - G \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right] g_{17}(\omega, \omega_1, \mu), \quad \times \frac{1}{\omega_1} [\omega_1] g_{17}(\omega, \omega_1, \mu)$$

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$$\int_{-\infty}^{\bar{\Lambda}} d\omega g_{17}(\omega, \omega_1, \mu) = \int_{-\infty}^{\bar{\Lambda}} d\omega (g_{17}(\omega, -\omega_1, \mu))^*$$

- PT invariance: 
$$g_{17} \text{ is real}$$

$$d\Gamma_{17} = \frac{1}{m_b} \text{Re} \left[ \hat{\Gamma}_{17} \frac{-(\lambda_t^q)^* \lambda_c^q}{|\lambda_t^q|^2} \right] \frac{\alpha}{24\pi^3} dn \cdot q d\bar{n} \cdot q \frac{(n \cdot q)^3}{\bar{n} \cdot q} \times \text{Re} \int d\omega \delta(\omega + m_b - n \cdot q) \int d\omega_1 \frac{1}{\omega_1 + i\epsilon}$$

$$\times \frac{1}{\omega_1} \left[ (\bar{n} \cdot q + \omega_1) \left( 1 - F \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) \right) - \bar{n} \cdot q \left( 1 - F \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right. \\ \left. - \bar{n} \cdot q \left( G \left( \frac{m_c^2}{n \cdot q (\bar{n} \cdot q + \omega_1)} \right) - G \left( \frac{m_c^2}{n \cdot q \bar{n} \cdot q} \right) \right) \right] g_{17}(\omega, \omega_1, \mu),$$

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$

- Limit  $m_c \rightarrow m_u = 0$

- Integration of  $\omega_1$ :

Interference term  $\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$  vanishes within the integrated rate

## Numerical evaluation

$$\mathcal{O}_1^u - \mathcal{O}_{7\gamma}$$

- Trace formalism of HQET: 
$$\int_{-\infty}^{\bar{\Lambda}} d\omega g_{17}(\omega, \omega_1, \mu) = \int_{-\infty}^{\bar{\Lambda}} d\omega (g_{17}(\omega, -\omega_1, \mu))^*$$

- PT invariance: 
$$g_{17} \text{ is real}$$

$$d\Gamma_{17} = \frac{1}{m_b} \text{Re} \left[ \hat{\Gamma}_{17} \frac{-(\lambda_t^q)^* \lambda_c^q}{|\lambda_t^q|^2} \right] \frac{\alpha}{24\pi^3} dn \cdot q d\bar{n} \cdot q \frac{(n \cdot q)^3}{\bar{n} \cdot q} \times \text{Re} \int d\omega \delta(\omega + m_b - n \cdot q) \int d\omega_1 \frac{1}{\omega_1 + i\epsilon}$$

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Crucial result for all CP averaged inclusive  $b \rightarrow d\ell^+\ell^-$  quantities

(previously no estimate for this up-quark loop of order  $\Lambda/m_b$  was available)

## Numerical evaluation

$$\mathcal{O}_1^c - \mathcal{O}_{7\gamma}$$

$$\mathcal{F}_{17}^q = \frac{1}{m_b} \frac{C_1(\mu) C_{7\gamma}(\mu)}{C_{\text{OPE}}} e_c \operatorname{Re} \left[ \frac{-(\lambda_t^q)^* \lambda_c^q}{|\lambda_t^q|^2} \right] \int_{-\infty}^{+\infty} d\omega_1 J_{17}(q_{\min}^2, q_{\max}^2, \omega_1) h_{17}(\omega_1, \mu)$$

## Numerical evaluation

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$$h(\omega_1, \mu) := \int_{-\infty}^{\bar{\Lambda}} d\omega g_{17}(\omega, \omega_1, \mu)$$

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$$J_{17}(q_{\min}^2, q_{\max}^2, \omega_1) = \operatorname{Re} \frac{1}{\omega_1 + i\epsilon} \int_{\frac{q_{\min}^2}{M_B^2}}^{\frac{q_{\max}^2}{M_B^2}} \frac{d\bar{n} \cdot q}{\bar{n} \cdot q} \frac{1}{\omega_1} \quad h(\omega_1, \mu) := \int_{-\infty}^{\bar{\Lambda}} d\omega g_{17}(\omega, \omega_1, \mu)$$

$$\left[ (\bar{n} \cdot q + \omega_1) \left( 1 - F \left( \frac{m_c^2}{m_b(\bar{n} \cdot q + \omega_1)} \right) \right) - \bar{n} \cdot q \left( 1 - F \left( \frac{m_c^2}{m_b \bar{n} \cdot q} \right) \right) \right. \\ \left. - \bar{n} \cdot q \left( G \left( \frac{m_c^2}{m_b(\bar{n} \cdot q + \omega_1)} \right) - G \left( \frac{m_c^2}{m_b \bar{n} \cdot q} \right) \right) \right] .$$



## Numerical evaluation

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- One derives normalization of soft function:  $\int_{-\infty}^{\infty} d\omega_1 h_{17}(\omega_1, \mu) = 2 \lambda_2$
- $h_{17}$  should not have any significant structure (maxima or zeros) outside the hadronic range
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## Numerical evaluation

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Further constraints from higher moments of soft function?

Paz et al. arXiv:1908.02812

$$\int d\omega \omega \int d\omega_1 g_{17}(\omega, \omega_1) = -\rho_2$$

- First trial for a model function for  $h_{17}$ , a Gaussian, fullfills all needed properties.

$$h_{17}(\omega_1) = \frac{2\lambda_2}{\sqrt{2\pi}\sigma} e^{-\frac{\omega_1^2}{2\sigma^2}}$$

$\sigma = 0.5 \text{ GeV}$  as typical hadronic scale:  $\mathcal{F}_{17\text{exp}}^s \approx +1.6 \%$

$\sigma = 0.1 \text{ GeV}$ :  $\mathcal{F}_{17\text{exp}}^s \approx +1.9 \%$

However, convolution leads only to positive percentages !

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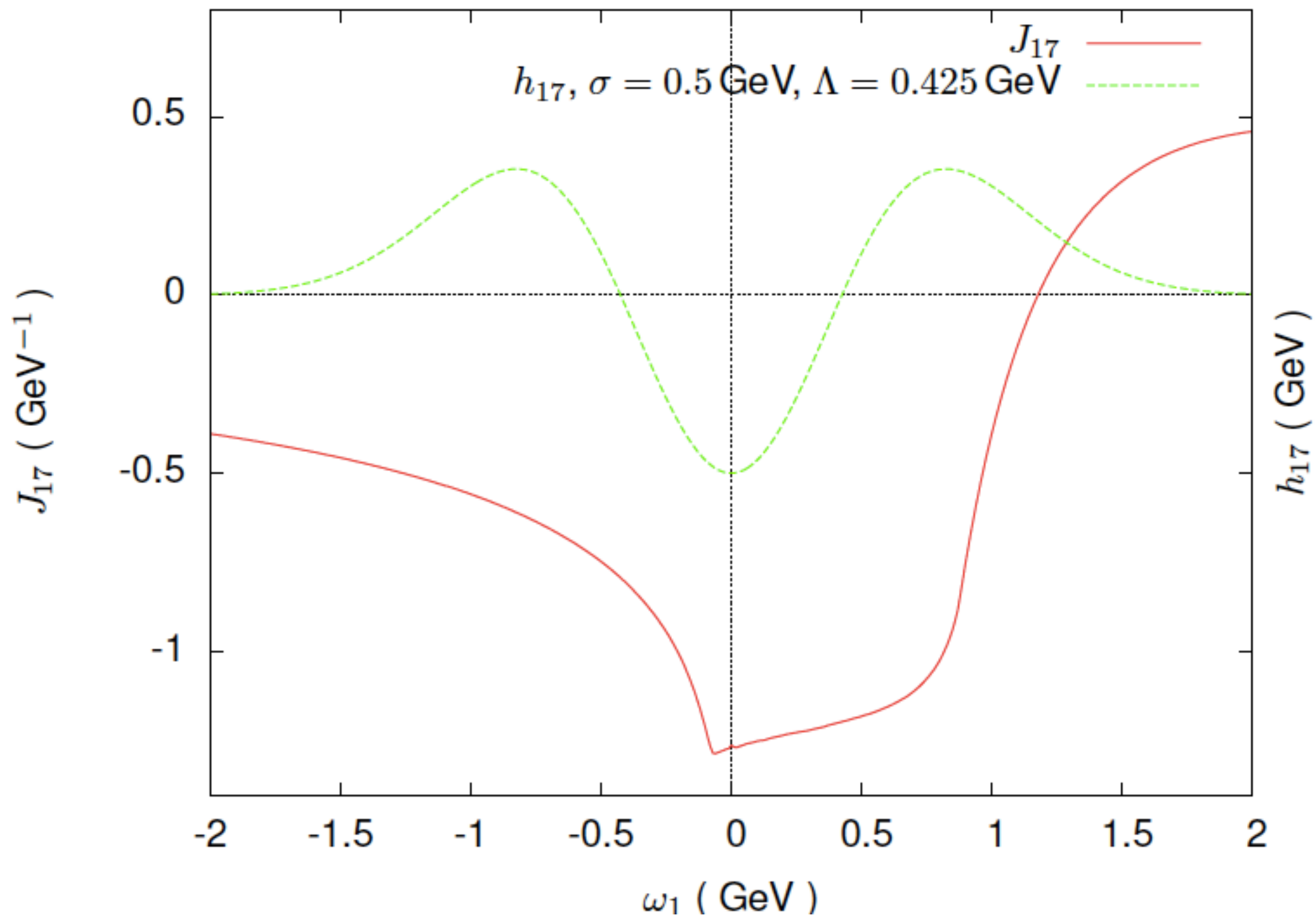
- More conservative estimate with  $h_{17}(\omega_1) = \frac{2\lambda_2}{\sqrt{2\pi}\sigma} \frac{\omega_1^2 - \Lambda^2}{\sigma^2 - \Lambda^2} e^{-\frac{\omega_1^2}{2\sigma^2}}$

With  $\Lambda$  and  $\sigma$  of order  $\Lambda_{QCD}$  all general properties of  $h_{17}$  are fulfilled.

$\sigma = 0.5 \text{ GeV}; \Lambda = 0.425 \text{ GeV}$ :  $\mathcal{F}_{17}^s = -0.5\%$

$\Lambda = 0.575 \text{ GeV}$ :  $\mathcal{F}_{17}^s = +3.4\%$

$$\mathcal{F}_{17}^s \in [-0.5, +3.4] \%, \quad \mathcal{F}_{17}^d \in [-0.6, +4.1] \%$$



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- Relation to the Voloshin term: Voloshin 1997, Buchalla,Isidori,Rey 1997

We can rederive the leading Voloshin term under the following assumptions:

One starts with a narrow enough Gaussian as shape function, so that one can expand the jet function around  $\omega_1 = 0$  assuming  $\Lambda_{\text{QCD}} m_b / m_c^2$  to be small ( $(m_b \omega_1) / m_c^2$  corresponds to  $t = k \cdot q / m_c^2$  in Buchalla et al.):

$$\begin{aligned} [\dots] &= \omega_1^2 \bar{n} \cdot q \left[ \frac{1}{2\bar{n} \cdot q^2} - \frac{2m_c^2}{\bar{n} \cdot q^2} \frac{1}{4m_c^2 - m_b \bar{n} \cdot q} \sqrt{\frac{4m_c^2 - m_b \bar{n} \cdot q}{m_b \bar{n} \cdot q}} \arctan \frac{1}{\sqrt{\frac{4m_c^2 - m_b \bar{n} \cdot q}{m_b \bar{n} \cdot q}}} \right] \\ &= -\frac{m_b \omega_1^2}{12m_c^2} F_V(r), \quad r = q^2 / (4m_c^2) \end{aligned}$$

However:

Voloshin term significantly underestimates the possible charm contributions.



## Final result to $O(1/m_b)$

Our final estimates of the resolved contributions to the leading order:  
(normalized to OPE result)

$$\mathcal{F}_{17}^s \in [-0.5, +3.4] \%, \quad \mathcal{F}_{17}^d \in [-0.6, +4.1] \%,$$

$$\mathcal{F}_{78}^{d,s} \in [-0.2, -0.1] \%, \quad \mathcal{F}_{88}^{d,s} \in [0, 0.5] \%$$

$$\mathcal{F}_{1/m_b}^d \in [-0.8, +4.5] \%, \quad \mathcal{F}_{1/m_b}^s \in [-0.7, +3.8] \%$$

## Numerical relevant contributions to $O(1/m_b^2)$

$$\mathcal{F}_{19}: O(1/m_b^2) \text{ but } |C_{9/10}| \sim 13|C_{7\gamma}|$$

- Interference of  $Q_1$  and  $Q_9$ : Subleading power correction to  $BR$

Indications that additional suppression in all terms are within the jet function!

→  $Q_1$  and  $Q_7$  and  $Q_1$  and  $Q_9$  terms could have the same shape function

- Interference of  $Q_1$  and  $Q_{10}$ : First contribution to  $A_{FB}$

$$\mathcal{F}_{1/m_b^2}$$

## Power corrections in the inclusive mode

- For  $q$  anti-hard-collinear we have a new type of subleading power corrections.
- In the resolved contributions the photon couples to light partons instead of connecting directly to the effective weak-interaction vertex.
- They constitute an irreducible uncertainty because they survive the  $M_X \rightarrow 1$  limit.
- If  $q$  was hard then these resolved contributions would not exist

**Nonlocal power corrections of  $O(1/m_b^2)$  numerically relevant**

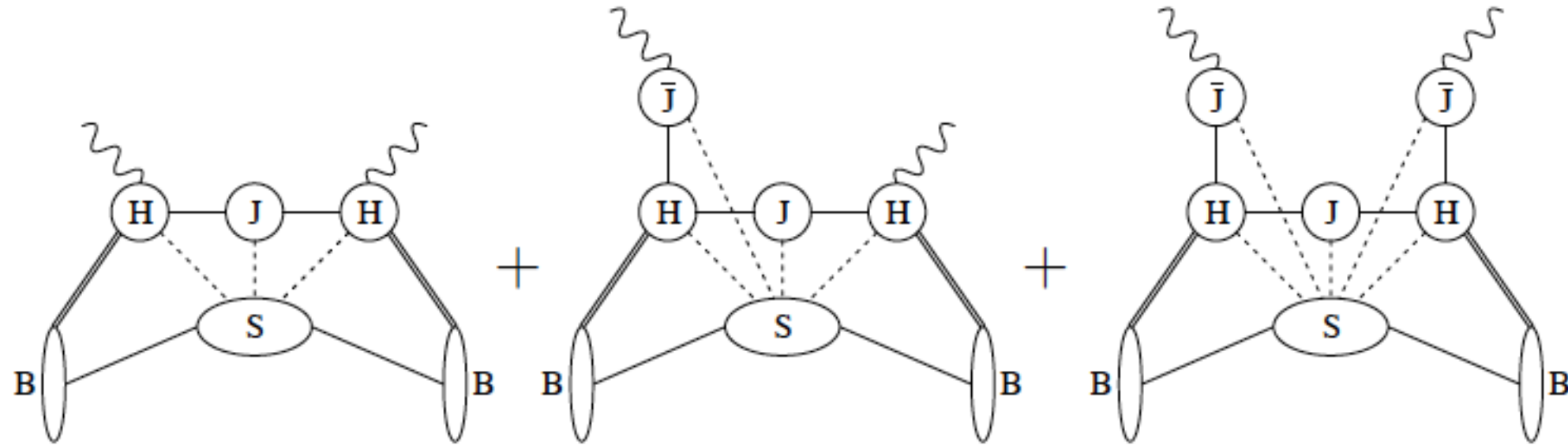
$M_X$  cut effects in the low- $q^2$  region with  $q^2$  anti-hard-collinear

# Extra

## Factorization formula

In the  $m_X^2 \sim \lambda$  and  $q^2 \sim \lambda$  region we have the following factorization formula

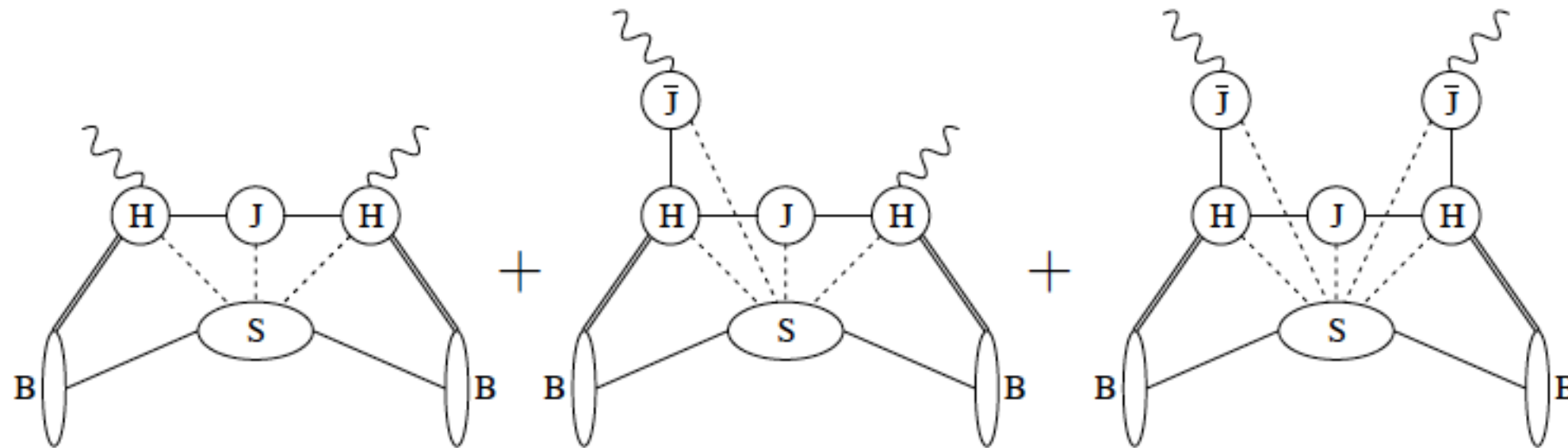
$$d\Gamma \sim H \cdot J \otimes S + \frac{1}{m_b} \sum H \cdot j_i \otimes S + \frac{1}{m_b} \sum H \cdot J \otimes s_i \\ + \frac{1}{m_b} \sum H \cdot J \otimes s_i \otimes \bar{J} + \frac{1}{m_b} \sum H \cdot J \otimes s_i \otimes \bar{J} \otimes \bar{J} + \mathcal{O}\left(\frac{1}{m_b^2}\right)$$



# Factorization formula

In the  $m_X^2 \sim \lambda$  and  $q^2 \sim \lambda$  region we have the following factorization formula

$$d\Gamma \sim H \cdot J \otimes S + \frac{1}{m_b} \sum H \cdot j_i \otimes S + \frac{1}{m_b} \sum H \cdot J \otimes s_i + \frac{1}{m_b} \sum H \cdot J \otimes s_i \otimes \bar{J} + \frac{1}{m_b} \sum H \cdot J \otimes s_i \otimes \bar{J} \otimes \bar{J} + \mathcal{O}\left(\frac{1}{m_b^2}\right)$$



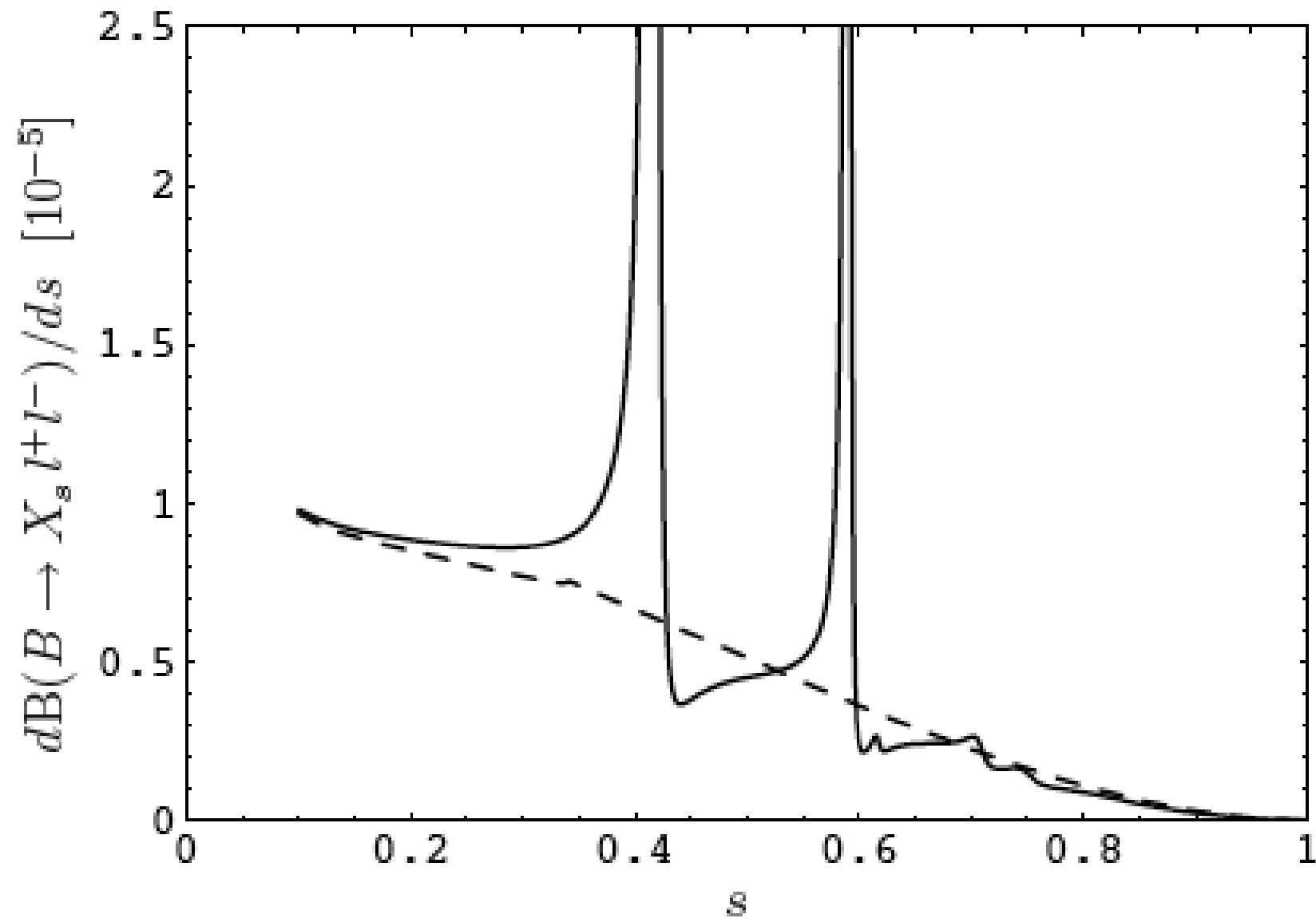
Subtlety in the  $Q_8$  and  $\bar{Q}_8$  contribution: convolution integral is UV divergent

- This subtlety implies that there is no complete proof of the factorization formula.
- Nevertheless one shows that scale dependence of direct and resolved contribution cancel.
- No direct analogy to the problem of IR divergent convolution integrals in power-suppressed contributions to exclusive B decays.



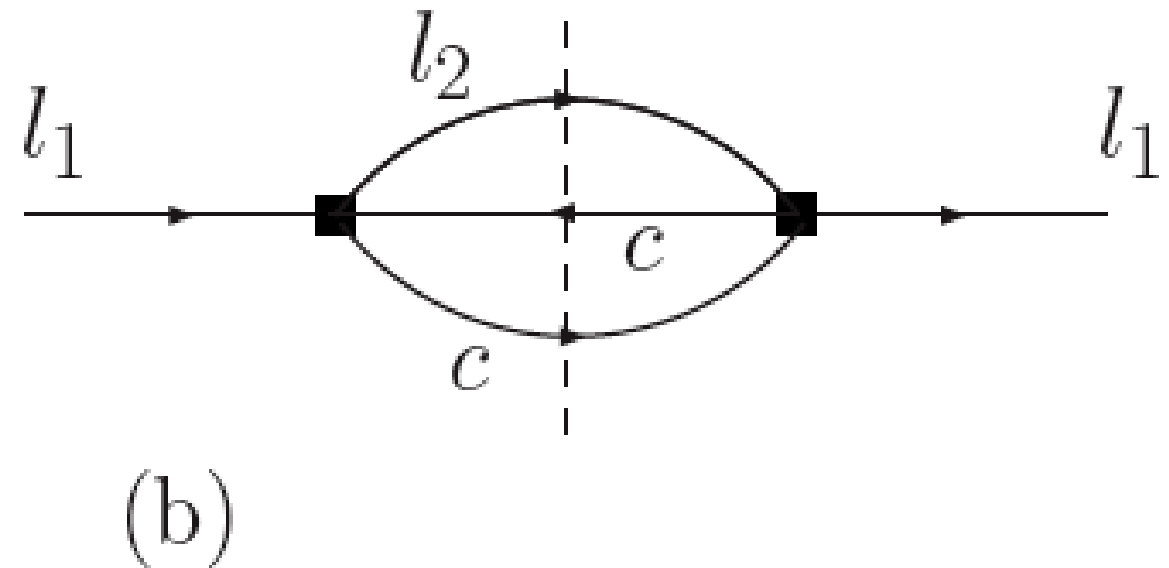
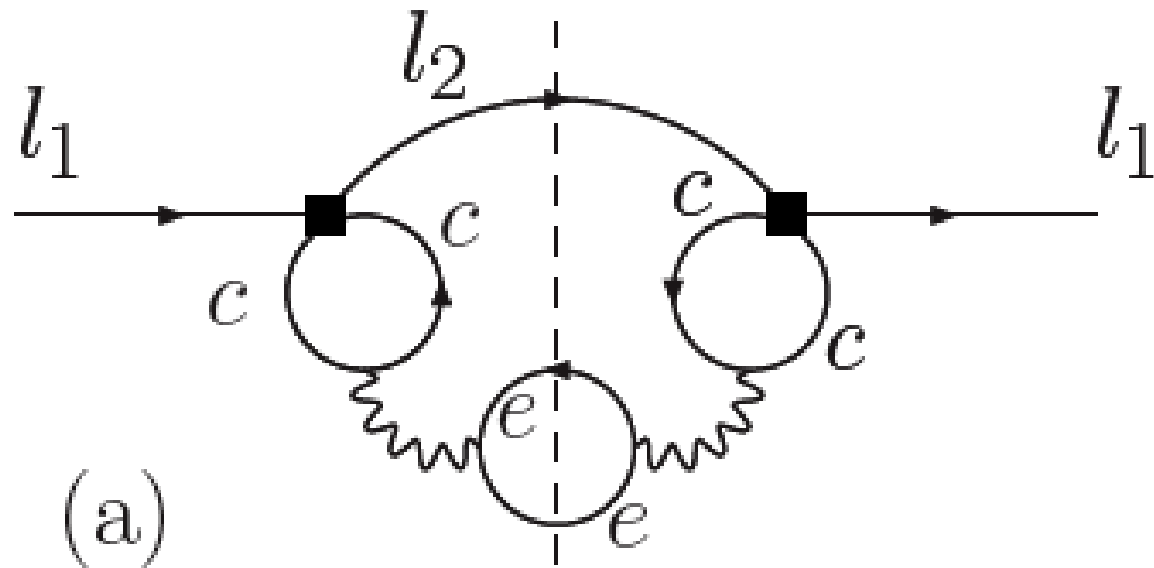
## Quark-hadron duality violated in $\bar{B} \rightarrow X_s \ell^+ \ell^-$ ? BBNS, arXiv:0902.4446

Within integrated branching ratio the resonances  $J/\psi$  and  $\psi'$  exceed the perturbative contributions **by two orders** of magnitude.



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Within integrated branching ratio the resonances  $J/\psi$  and  $\psi'$  exceed the perturbative contributions **by two orders** of magnitude.



The rate  $l_1 \rightarrow l_2 e^+ e^-$  (a) is connected to the integral over  $|\Pi(q^2)|^2$  for which global duality is **NOT** expected to hold.

In contrast the inclusive hadronic rate  $l_1 \rightarrow l_2 X$  (b) corresponds to the imaginary part of the correlator  $\Pi(q^2)$ .

## Soft-collinear effective theory (SCET) Bauer et al. 2001

Effective field theory for external states with a large energy ( $P_X^0 \sim m_b$ ) but small invariant mass ( $P_X^2 \sim m_b \Lambda_{\text{QCD}}$ )  $\rightarrow$  jets

### Concepts

Light cone vectors  $n, \bar{n}$

hard-collinear momentum  $\bar{n} \cdot p_{hc} \sim m_b, n \cdot p_{hc} \sim \Lambda_{\text{QCD}}, p^2 \sim m_b \Lambda_{\text{QCD}}$

scale parameter  $\lambda \sim \Lambda_{\text{QCD}}/m_b \rightarrow p \sim (n \cdot p, \bar{n} \cdot p, p_\perp) \sim (\lambda, 1, \lambda^{1/2})$

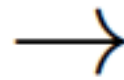
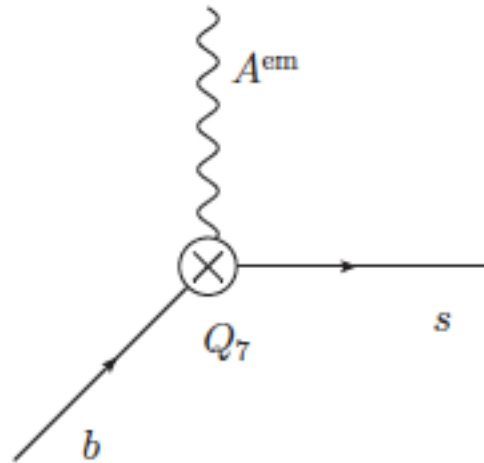
The SCET Lagrangian is generated by integrating out the hard modes, as well as fluctuations around the light cone. The theory still contains hard-collinear and soft fields.

Each field scales with a certain power of  $\lambda$

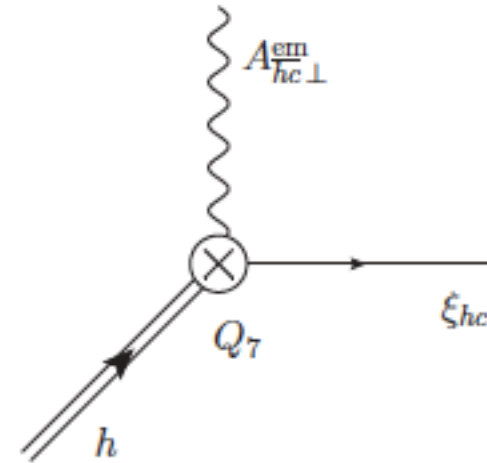
$\rightarrow$  **systematic expansion in  $\lambda$**

# Matching QCD $\rightarrow$ SCET $\rightarrow$ HQET

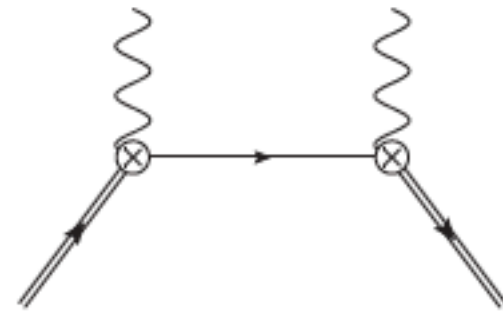
(QCD)



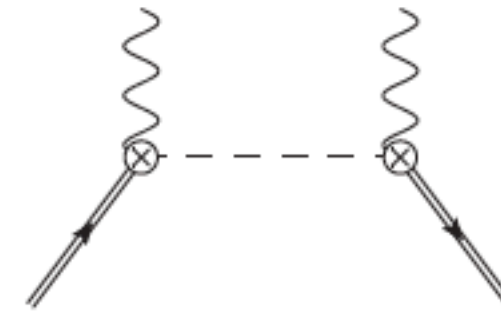
(SCET)



(SCET)



(HQET)



## Factorization in SCET

Two matching steps:  $\text{QCD} \rightarrow \text{SCET} \rightarrow \text{HQET}$

$\rightarrow$  Factorization at leading power:  $d\Gamma^{\text{LO}} \sim H J \otimes S$

Korchensky, Sterman 1994, Bauer et al 2001

The hard function  $H$  and the jet function  $J$  parameterize physics at the scales  $m_b$  and  $\sqrt{m_b \Lambda_{\text{QCD}}}$ , respectively and are perturbatively calculable

The shape function  $S$  is the fourier transform of a **non-local** HQET matrix element

$$S(\omega) = \int \frac{dt}{2\pi} e^{-i\omega t} \langle \bar{B}(v) | \bar{h}(\mathbf{tn}) \dots h(\mathbf{0}) | \bar{B}(v) \rangle$$

The leading shape function can be determined from the photon spectrum in  $\rightarrow \bar{B} \rightarrow X_s \gamma$