

# Rethinking the QCD Axion

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Universitat de Barcelona

## - *Outline*

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✓ **Introduction:** *The strong CP problem*

✓ **Experimental Searches:**

*Axion Landscape couplings: model independent vs. model dependent*

✓ **Model Building:** *Re-opening the axion window*

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**LAPth, January 11<sup>th</sup> 2019, Annecy**

# Introduction: Strong CP problem

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❖ **Experimentally:**  $\eta'$  mass much larger than the  $\pi$  one

→  $U(1)_A$  is an anomalous symmetry of QCD

→ The QCD vacuum is not trivial

→ add a  $\theta$ -term to the usual QCD Lagrangian

$$\mathcal{L}_{eff}^{QCD} = \mathcal{L}^{QCD} + \theta \frac{\alpha_s}{16\pi} G_a^{\mu\nu} G_{a,\mu\nu}$$

Now, QCD violates T and P, namely CP!

**Strong CP Problem: the non-trivial QCD Vacuum**

# The strong CP problem

- QCD is defined in terms of two dimensionless parameters, which are not predicted by the theory.

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{q} (i\not{D} - m_q e^{i\theta_q}) q - \frac{1}{4} G_a^{\mu\nu} G_{\mu\nu}^a - \theta \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$

1

$$\alpha_s \sim O(0.1-1)$$

2

$$\bar{\theta}$$

$$\bar{\theta} = \theta - \sum_q \theta_q \text{ has physical meaning}$$

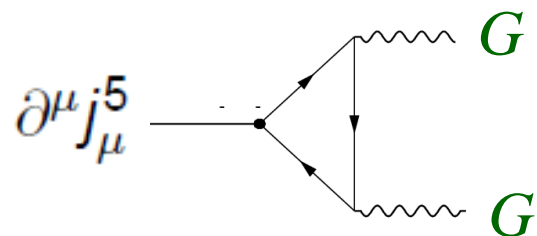
$$\theta_q \rightarrow \theta_q + 2\alpha$$

$$q \rightarrow e^{i\gamma_5 \alpha} q$$



$$\theta \rightarrow \theta + 2\alpha$$

because of chiral anomaly



# The strong CP problem

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- QCD is defined in terms of two dimensionless parameters, which are not predicted by the theory.

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{q} (i\not{D} - m_q) q - \frac{1}{4} G_a^{\mu\nu} G_{\mu\nu}^a - \bar{\theta} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$

1  $\alpha_s \sim O(0.1-1)$

2  $\bar{\theta}$

- **Experimentally:** no CP violation in the strong sector found!

Neutron EDM small

$$d \leq 610^{-26} \text{ e cm} \quad d \approx e\theta m_q / M_N^2$$



$$\bar{\theta} < 10^{-10}$$

Why so small?

# The strong CP problem

- QCD is defined in terms of two dimensionless parameters, which are not predicted by the theory.

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{q} (i\not{D} - m_q) q - \frac{1}{4} G_a^{\mu\nu} G_{\mu\nu}^a - \bar{\theta} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$

Why so small?

2

$\bar{\theta} < 10^{-10}$  from the exp. bound of the neutron EDM.

- Qualitatively different from other “small value” problems of the SM

-  $\bar{\theta}$  is radiatively stable (unlike  $m_H^2 \ll \Lambda_{\text{UV}}^2$ )

[Ellis, Gaillard (1979)]

- it evades explanations based on environmental selection

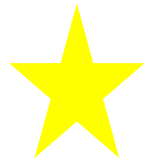
(unlike  $y_{e,u,d} \sim 10^{-6} \div 10^{-5}$ )

[Ubbaldi, 0811.1599]

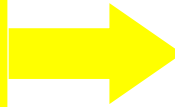
# The strong CP problem: Solution 1 $\rightarrow m_u=0$

$$\mathcal{L}_{\text{QCD}} = \sum_q \bar{q} (i\not{D} - m_q) q - \frac{1}{4} G_a^{\mu\nu} G_{\mu\nu}^a - \bar{\theta} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$

□ Again if we chiral transform a quark:



If  $m=0$ ,  
 $\theta \rightarrow \theta - 2\alpha$



$$q \rightarrow e^{i\gamma_5\alpha} q: \quad \int (-m\bar{q}q + \frac{\theta}{32\pi^2} G\tilde{G}) \\ \rightarrow \int (-m\bar{q}e^{2i\gamma_5\alpha}q + \frac{\theta-2\alpha}{32\pi^2} G\tilde{G})$$



Thus, setting  $\alpha = \theta/2$ ,  $\theta_{\text{total}} = \theta - 2\alpha = 0$ .

**$\bar{\theta}$  could be rotated away! There is no strong CP problem.**

- ❖ In the SM, no massless quarks  $\rightarrow m_u/m_d=0.5$  at  $20\sigma$  by Lattice QCD
- ❖ BSM, new family of quarks with  $m=0$  would imply new hadrons!

# The strong CP problem: Solution 2 → CP spontaneously broken

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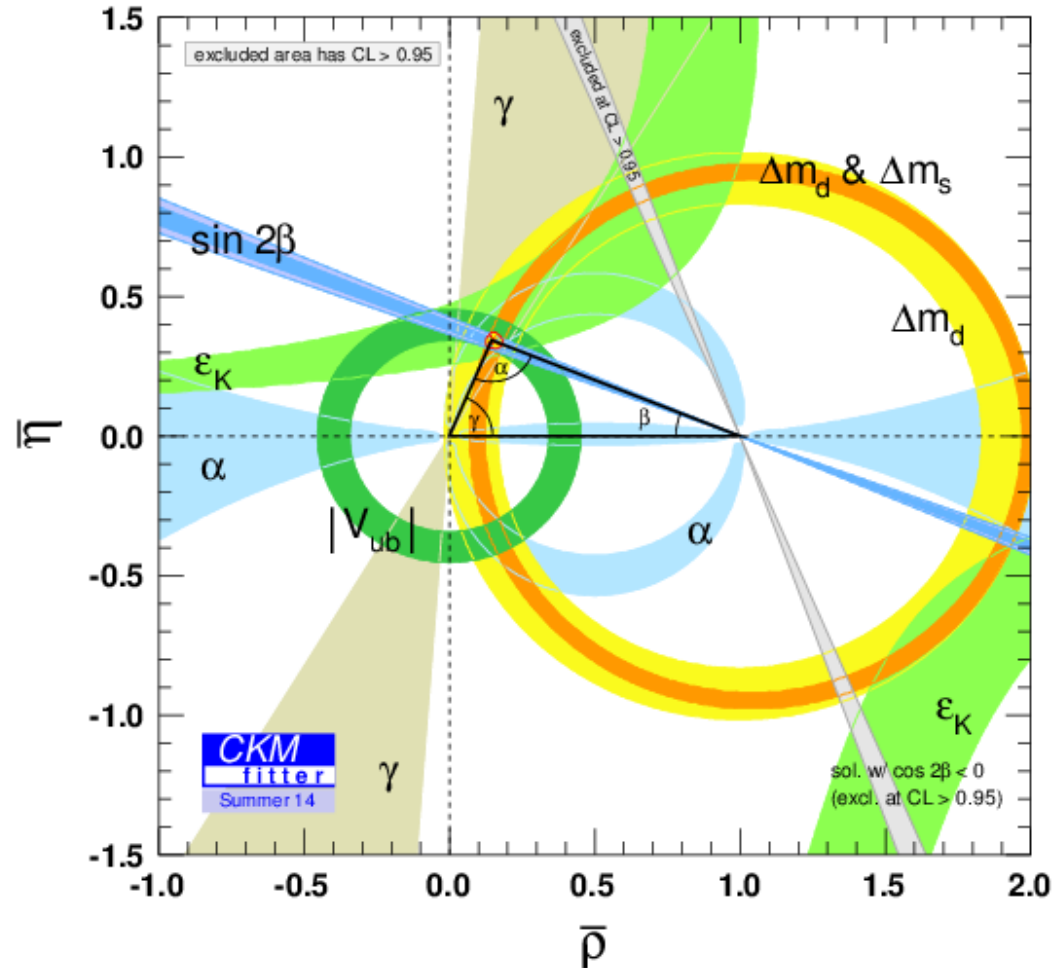
-  
If CP is a **symmetry** of nature (but **spontaneously broken**) then we can set  $\theta=0$  at the Lagrangian level

-  
*However....*



# The strong CP problem: Solution 2 $\rightarrow$ CP spontaneously broken

*Experimental data are in excellent agreement with the CKM Model – a model where CP is explicitly broken*



**In the CKM model, CP violated by an explicit weak phase  $\eta$  in the off diagonal phases of  $Y$**



# The strong CP problem: Solution 3 $\rightarrow$ $\mathbf{U(1)}_{PQ}$ and Axion

The third Solution: *an additional symmetry*

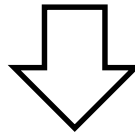
Peccei & Quinn '77  
Weinberg; Wilczek '78

$$\mathcal{L}_\theta = \bar{\theta} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} G_{a,\mu\nu} \quad \Rightarrow \quad \mathcal{L}_a^{\text{eff}} = \left( \bar{\theta} + \frac{a}{f_a} \right) \frac{\alpha_s}{8\pi} G_a^{\mu\nu} G_{a,\mu\nu} + \frac{(\partial_\mu a)^2}{2} + \mathcal{L}(\partial_\mu a, q)$$

**Shift Symmetry!**

$a(x)$  is GB

$$a(x) \rightarrow a(x) - \alpha f_a$$



$$\mathcal{L}_a^{\text{eff}} = \frac{(\partial_\mu a)^2}{2} + \frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} G_{a,\mu\nu} + \mathcal{L}(\partial_\mu a, q)$$

$$\alpha = \bar{\theta}$$

**$\theta$  rotated away!**  
**There is no strong CP problem.**

**New particle, Axion, to solve the Strong CP Problem**

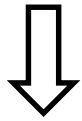
# The strong CP problem: Solution 3 $\rightarrow$ $U(1)_{PQ}$ and Axion!

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Peccei & Quinn '77; Weinberg; Wilczek '78

$$a(x) \rightarrow a(x) - \alpha f_a$$

$$\mathcal{L}_a^{\text{eff}} = \frac{(\partial_\mu a)^2}{2} + \frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} G_{a,\mu\nu} + \mathcal{L}(\partial_\mu a, q)$$



- ❖ a non-linearly realized  $U(1)$  symmetry:  
 $\rightarrow U(1)$ : *spontaneously broken!*

$$\varphi = f_a e^{ia/f_a} \Rightarrow \varphi' = e^{-i\alpha} \varphi$$



- ❖ No strong CP problem
- ❖  $U(1)$  broken by QCD anomaly (chiral rotation for fermions)!
- ❖  $H(0) < H(a) \Rightarrow a=0$  min. stable

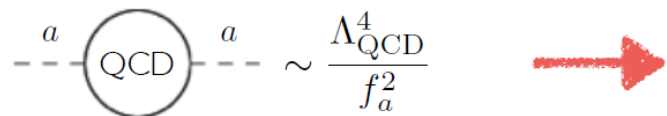
**$a(x)$  is PGB**

# Axion EFT: Model Independent Feature

## □ Consequences of

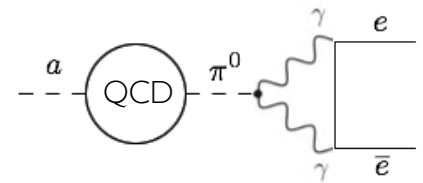
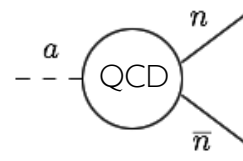
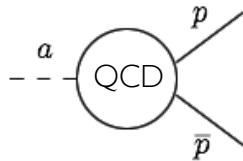
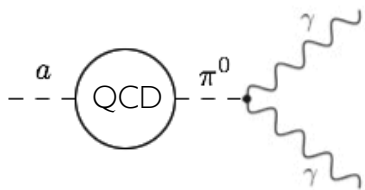
$$\frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$

- generate axion mass



$\sim \frac{\Lambda_{\text{QCD}}^4}{f_a^2} \rightarrow m_a \sim \Lambda_{\text{QCD}}^2 / f_a \simeq 0.1 \text{ eV} \left( \frac{10^8 \text{ GeV}}{f_a} \right)$

- generates axion couplings to photons, nucleons, electrons.



✓ All axion couplings:  $\sim 1/f_a$

# Axion EFT: Model Independent Feature

---

## □ Consequences of

$$\frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$$

### ✓ Axion mass:

$$m_a \approx 0.1 \text{ eV} \frac{10^8 \text{ GeV}}{f_a}$$

### ✓ All axion couplings:

$$\sim 1/f_a$$



*The lighter is the axion, the weaker are its interactions!*

*Invisible (light) particle (not yet measured)*

# Search Strategies and current limits

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- **Astrophysical bounds**

Ringwald, Rosenberg, Rybka, PDG (2016)

- Star evolution, RG lifetime  $g_{a\gamma\gamma} \lesssim 6.6 \times 10^{-11} \text{ GeV}^{-1}$
- White dwarf cooling  $g_{aee} \lesssim 1.3 \times 10^{-13} \text{ GeV}^{-1}$  **→ weak couplings**
- Supernova SN1987A  $g_{aNN} \lesssim 3 \times 10^{-7} \text{ GeV}^{-1}$   $f_a \gtrsim 2 \times 10^8 \text{ GeV}$ .

- Most laboratory search techniques are sensitive to  $g_{a\gamma\gamma}$

$$\mathcal{L}_{a\gamma\gamma} = -\frac{1}{4} g_{a\gamma\gamma} a F \cdot \tilde{F} = g_{a\gamma\gamma} a \mathbf{E} \cdot \mathbf{B}$$

- **Light Shining trough Walls**

Photon conversion into Axions, reconverted back into photons after passing a wall

- **Haloscopes**

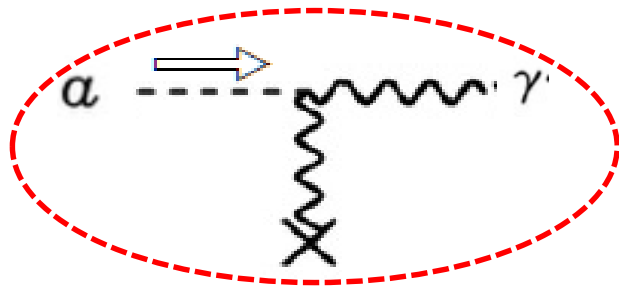
Search for Axion Dark Matter

- **Helioscopes**

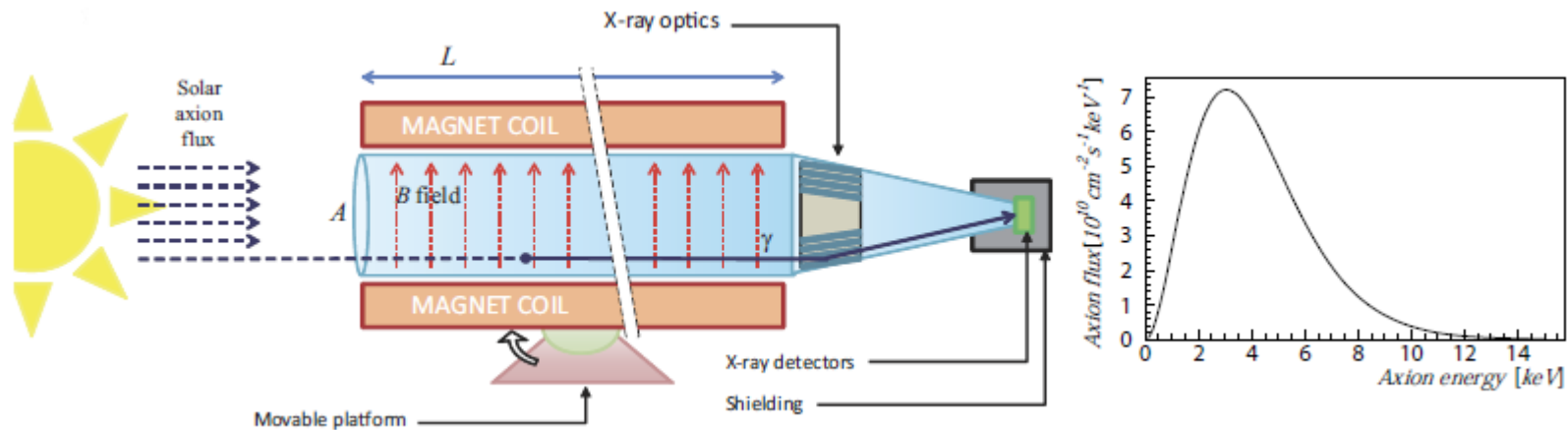
Search for Axions produced in the Sun

# Helioscopes: CAST (CERN), IAXO (DESY)

- The Sun is a potential source of a copious axion flux



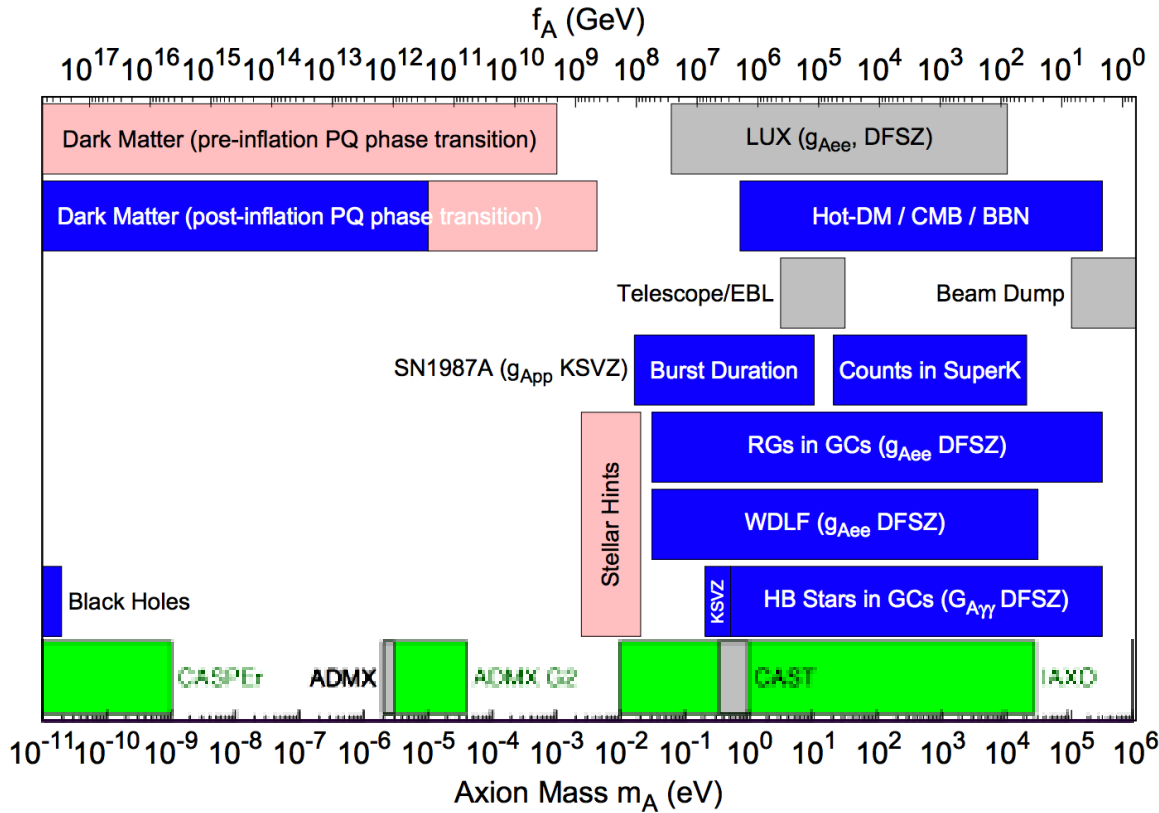
Primakoff effect: axion-photon transition in external static E or B field



- macroscopic transverse B-field over a large volume triggers axion to photon (x-ray) conversion

# Axion landscape

Ringwald, Rosenberg, Rybka, PDG (2016)



Lab exclusions

Astro/cosmo exclusions

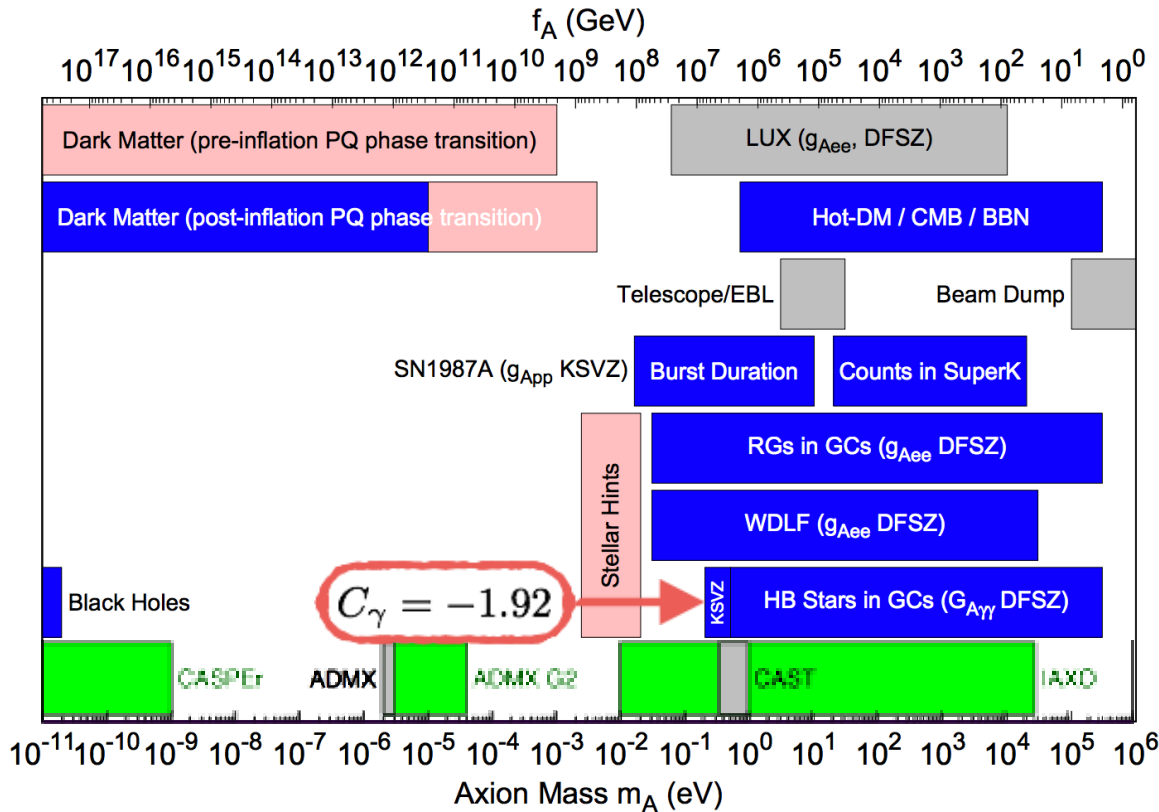
DM explained / Astro Hints

Exp. sensitivities

- Bound on axion mass is of practical convenience, but .....

# Axion landscape

Ringwald, Rosenberg, Rybka, PDG (2016)



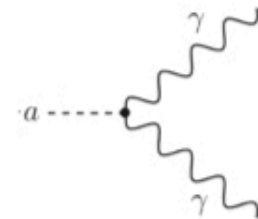
Lab exclusions

Astro/cosmo exclusions

DM explained / Astro Hints

Exp. sensitivities

- Horizontal branch star evolution in globular clusters

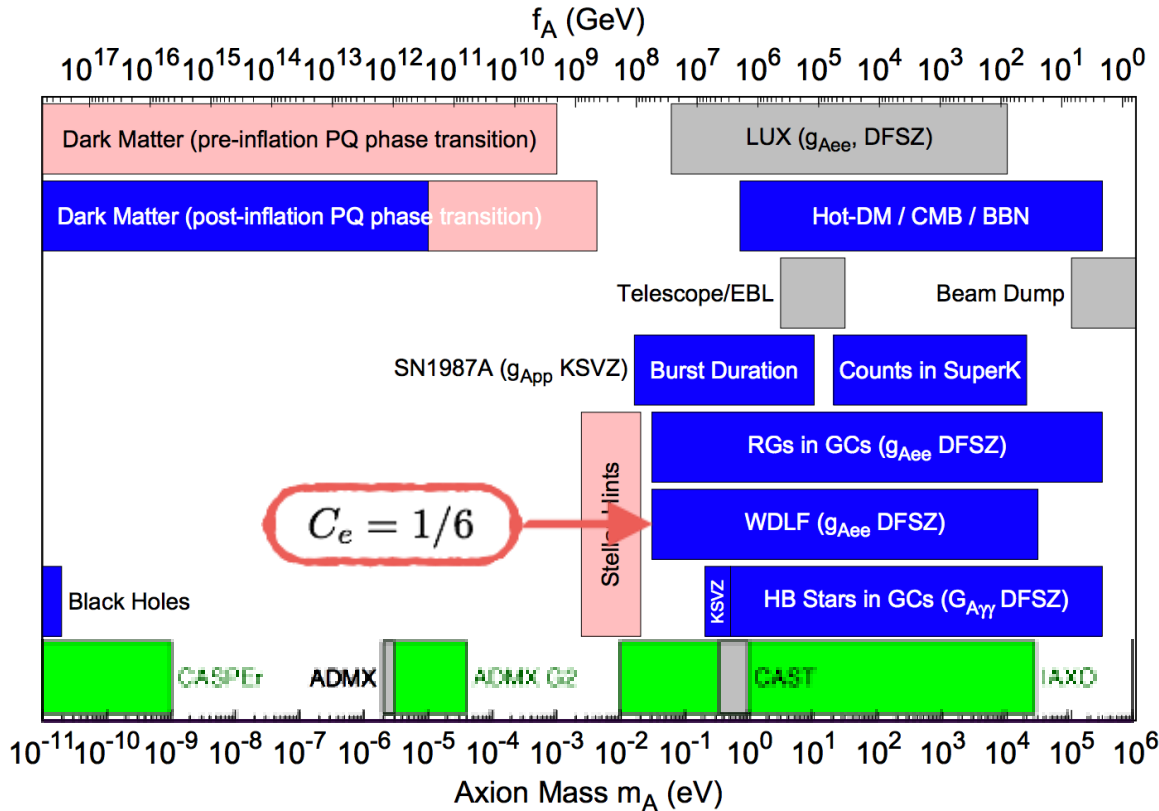


$$\frac{\alpha}{8\pi} \frac{C_\gamma}{f_a} a F_{\mu\nu} \tilde{F}^{\mu\nu}$$



# Axion landscape

Ringwald, Rosenberg, Rybka, PDG (2016)



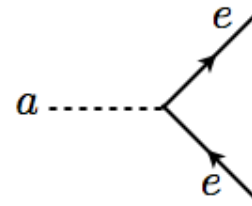
Lab exclusions

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Exp. sensitivities

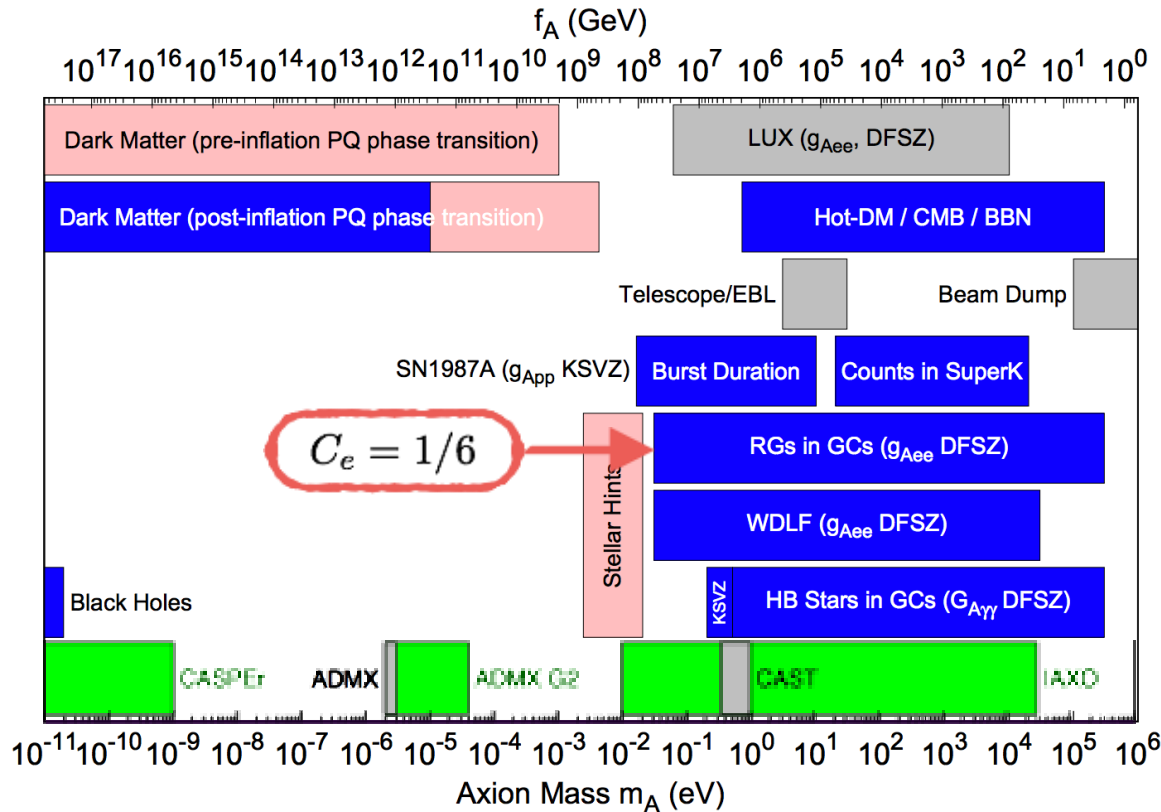
- White Dwarfs luminosity function (cooling)



$$C_e m_e \frac{a}{f_a} [i\bar{e}\gamma_5 e]$$

# Axion landscape

Ringwald, Rosenberg, Rybka, PDG (2016)



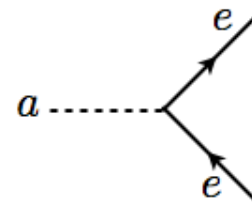
Lab exclusions

Astro/cosmo exclusions

DM explained / Astro Hints

Exp. sensitivities

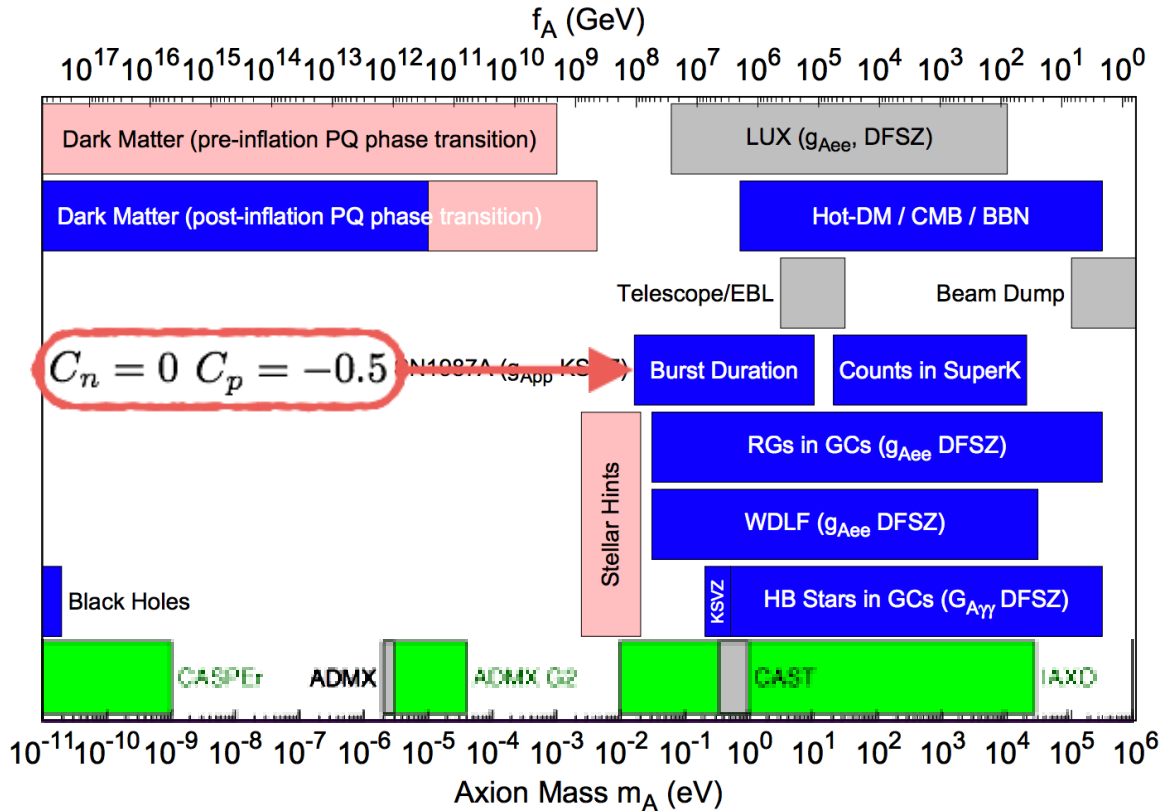
- Red Giants evolution in globular clusters



$$C_e m_e \frac{a}{f_a} [i\bar{e}\gamma_5 e]$$

# Axion landscape

Ringwald, Rosenberg, Rybka, PDG (2016)



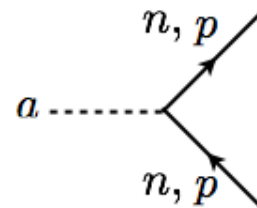
Lab exclusions

Astro/cosmo exclusions

DM explained / Astro Hints

Exp. sensitivities

- Burst duration of SN1987A nu signal

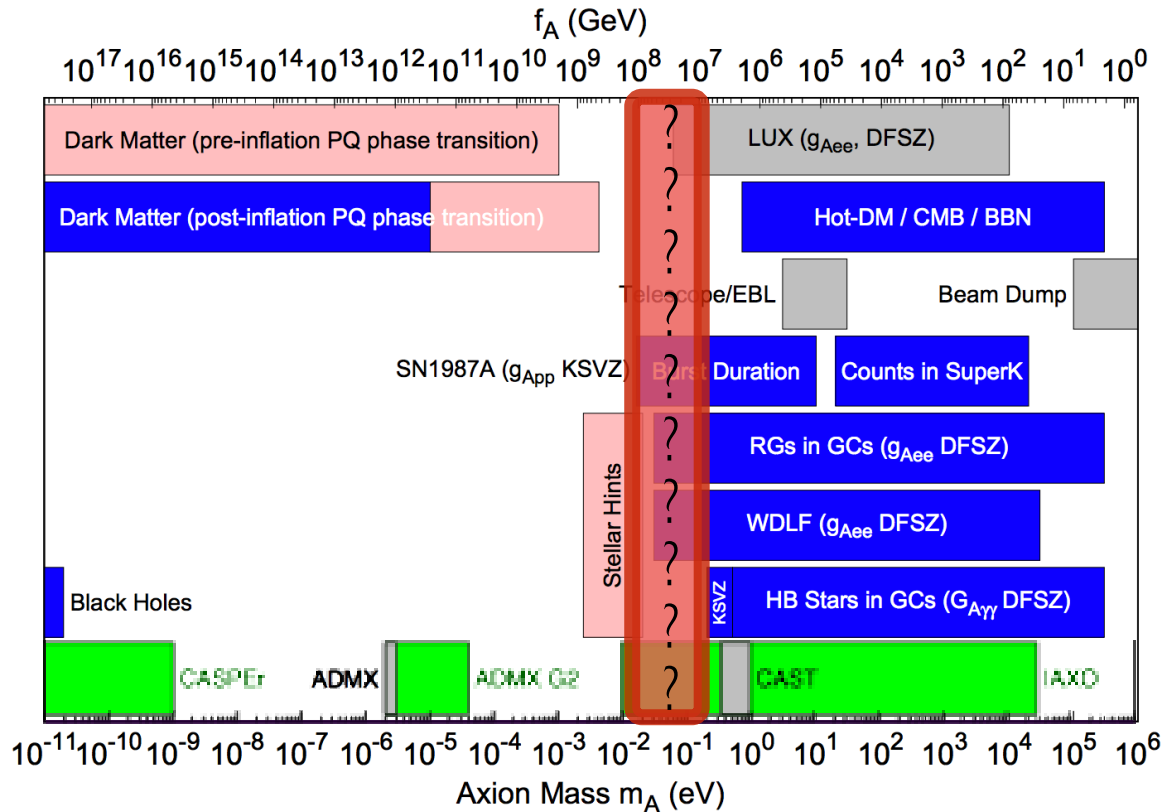


$$C_n m_n \frac{a}{f_a} [i\bar{n}\gamma_5 n]$$

$$C_p m_p \frac{a}{f_a} [i\bar{p}\gamma_5 p]$$

# Axion landscape

Ringwald, Rosenberg, Rybka, PDG (2016)



Lab exclusions

Astro/cosmo exclusions

DM explained / Astro Hints

Exp. sensitivities

- Bound on axion mass is of practical convenience, but **misses model dependence** !
- **since 2016 we start to critically revise this bound !**

# Axion EFT

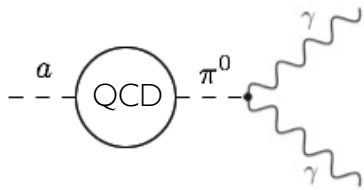
- All you need is (to solve the strong CP problem)

a new spin-0 boson with pseudo-shift symmetry  $a \rightarrow a + \alpha f_a$

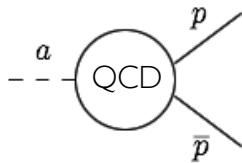
broken by  $\frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$

- generates “model independent” axion couplings to photons, nucleons, electrons.

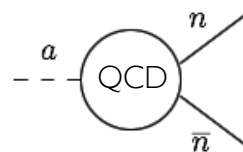
$$C_\gamma = -1.92(4)$$



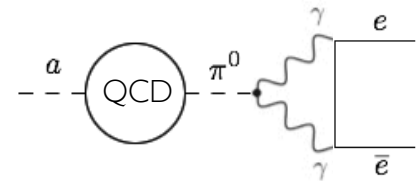
$$C_p = -0.47(3)$$



$$C_n = -0.02(3)$$



$$C_e \simeq 0$$



Theoretical errors from NLO Chiral Lagrangian,  
Grilli di Cortona et al., 1511.02867

# Axion EFT

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- All you need is (to solve the strong CP problem)

a new spin-0 boson with pseudo-shift symmetry  $a \rightarrow a + \alpha f_a$

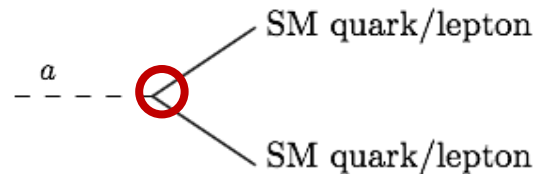
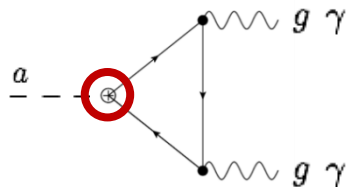
broken by  $\frac{a}{f_a} \frac{\alpha_s}{8\pi} G_a^{\mu\nu} \tilde{G}_{\mu\nu}^a$

- generates “model independent” axion couplings to photons, nucleons, electrons.

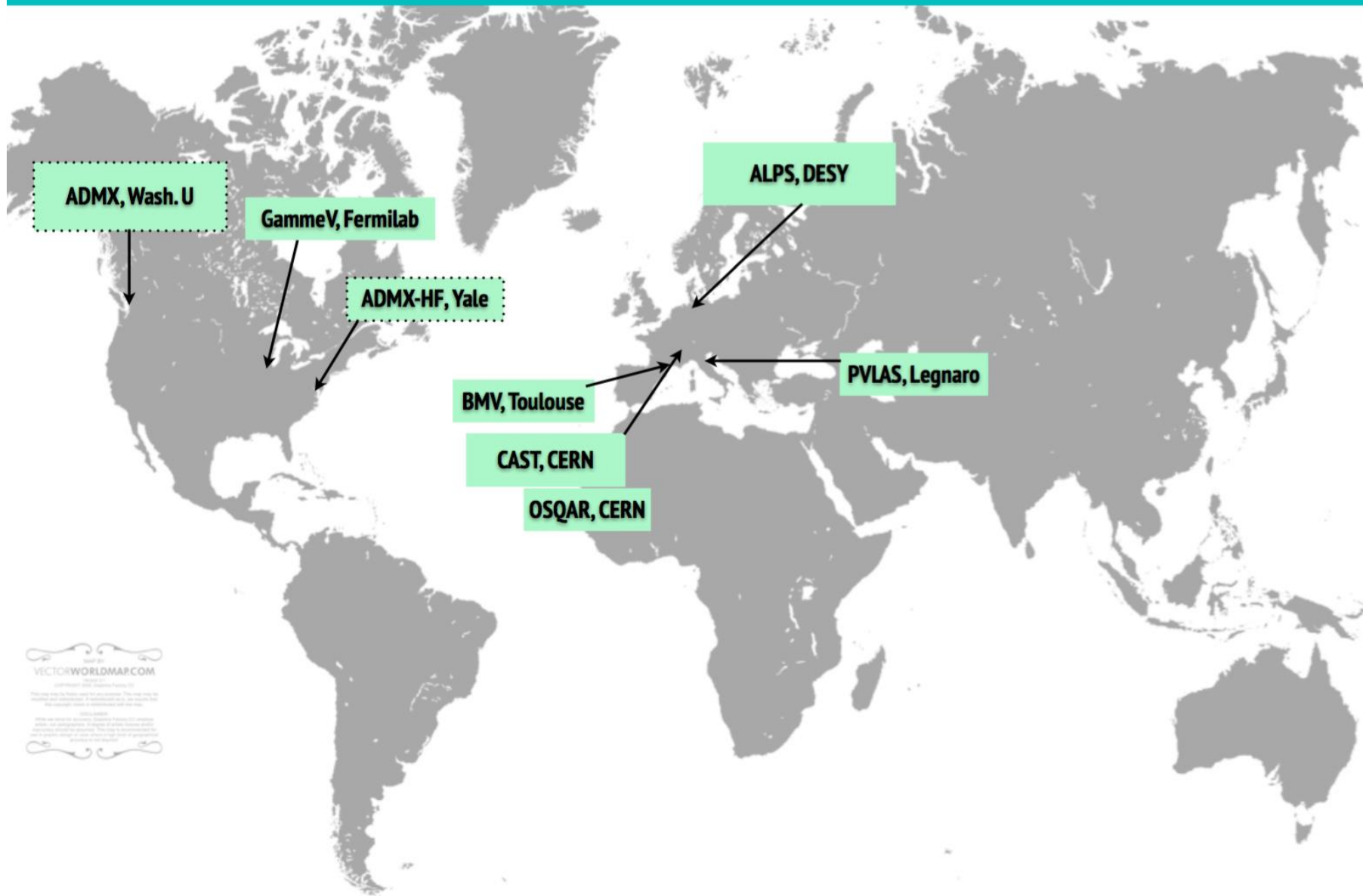
- EFT breaks down at energies of order  $f_a$



UV completion can still affect low-energy axion properties !

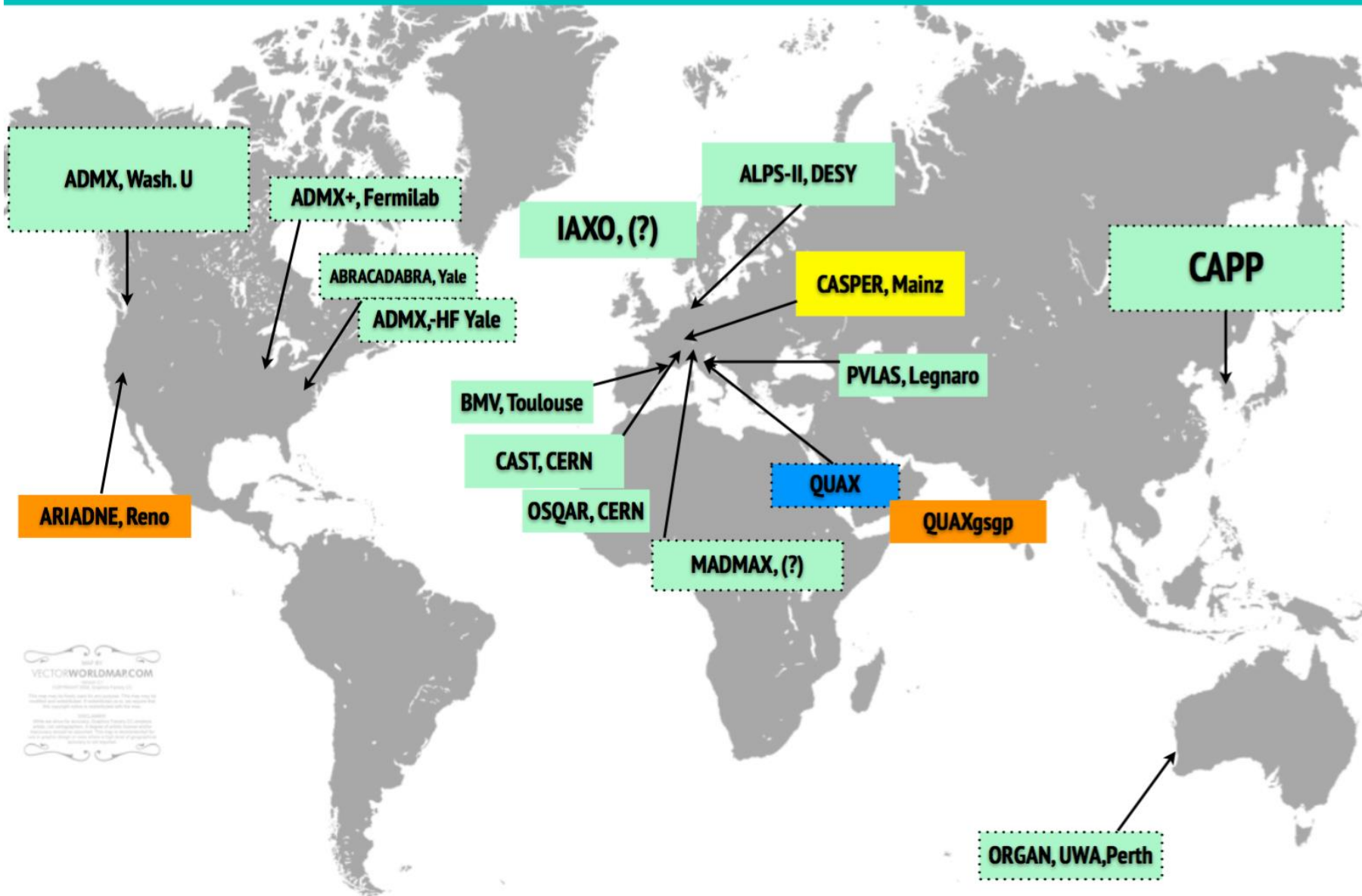


# Lab experiments 2011



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# Lab experiments 2017



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# Axion UV Models

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- Axion: PGB of QCD-anomalous global  $U(1)_{PQ}$

Anomalous breaking (quark) + Spontaneously breaking (scalar)

$$U(1)_{PQ} \times SU(3)_c^2$$

# Axion UV Models

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- Axion: PGB of QCD-anomalous global  $U(1)_{PQ}$

Anomalous breaking (quark) + Spontaneously breaking (scalar)

$$U(1)_{PQ} \times SU(3)_c^2$$



SM quark



Higgs

SM

**Impossible to endow directly the SM with the  $U(1)_{PQ}$ :**  
 $\Rightarrow$  no anomalous  $U(1)_{PQ} \in SU(2)_L \times U(1)_Y$

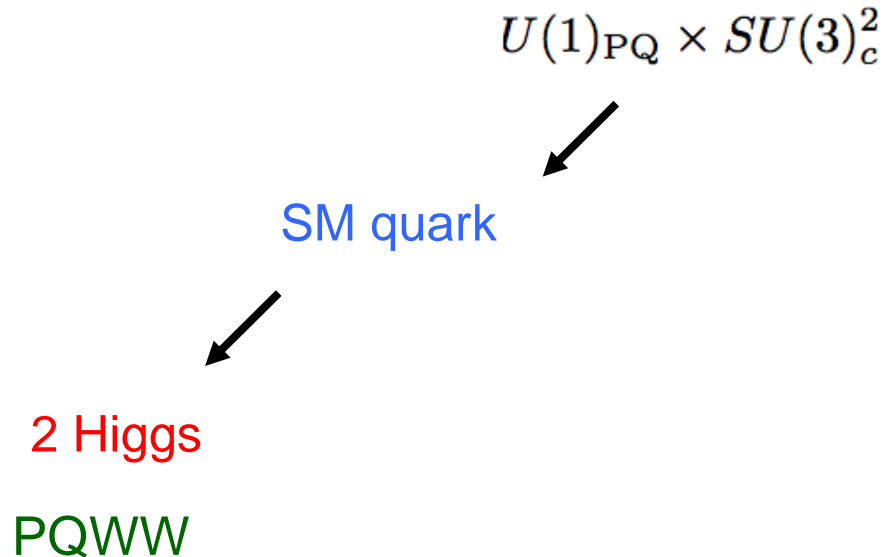
**Minimal Setup: SM with only axion excluded!**  
 $\Rightarrow$  Axion phase belongs to BSM fields!

# Axion UV Models

---

- Axion: PGB of QCD-anomalous global  $U(1)_{PQ}$

Anomalous breaking (quark) + Spontaneously breaking (scalar)



Peccei, Quinn '77,  
Weinberg '78, Wilczek '78

# Axion UV Models

- Axion: **PGB** of **QCD-anomalous** global  $U(1)_{PQ}$

Anomalous breaking (**quark**) + Spontaneously breaking (**scalar**)

$$U(1)_{PQ} \times SU(3)_c^2$$

SM quark

2 Higgs

PQWW

Possible to have in 2HDM an anomalous  
 $U(1)_{PQ} \perp U(1)_Y$

**PQWW** ruled out by experiment since  $f_a = v$  !

Peccei, Quinn '77,  
Weinberg '78, Wilczek '78

Ruled out

$$Br(K^+ \rightarrow \pi^+ + a) \sim 10^{-5} \left( \frac{v}{f_a} \right)^2$$

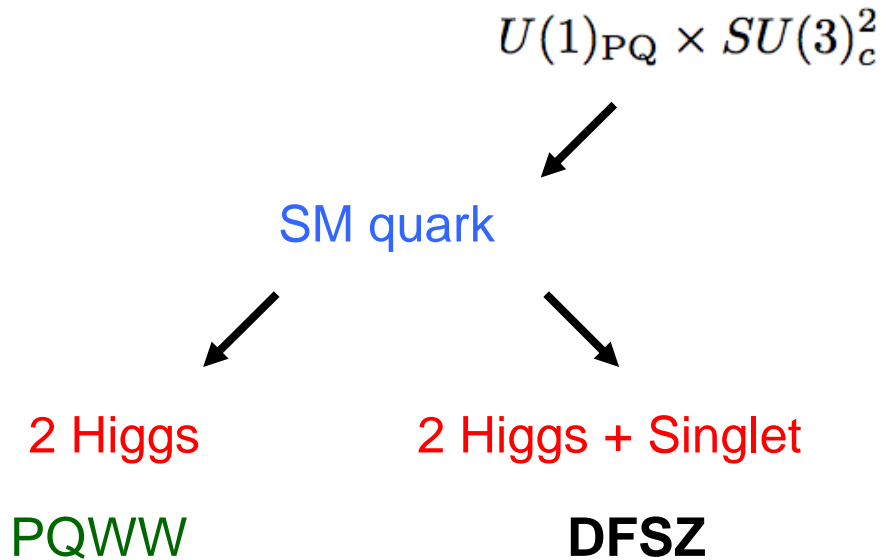
$$Br(K^+ \rightarrow \pi^+ + inv.) < 10^{-7}$$

# Axion UV Models

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- Axion: **PGB** of **QCD-anomalous** global  $U(1)_{PQ}$

Anomalous breaking (**quark**) + Spontaneously breaking (**scalar**)



Peccei, Quinn '77,  
Weinberg '78, Wilczek '78

Zhitnitsky '80,  
Dine, Fischler, Srednicki '81

Ruled out

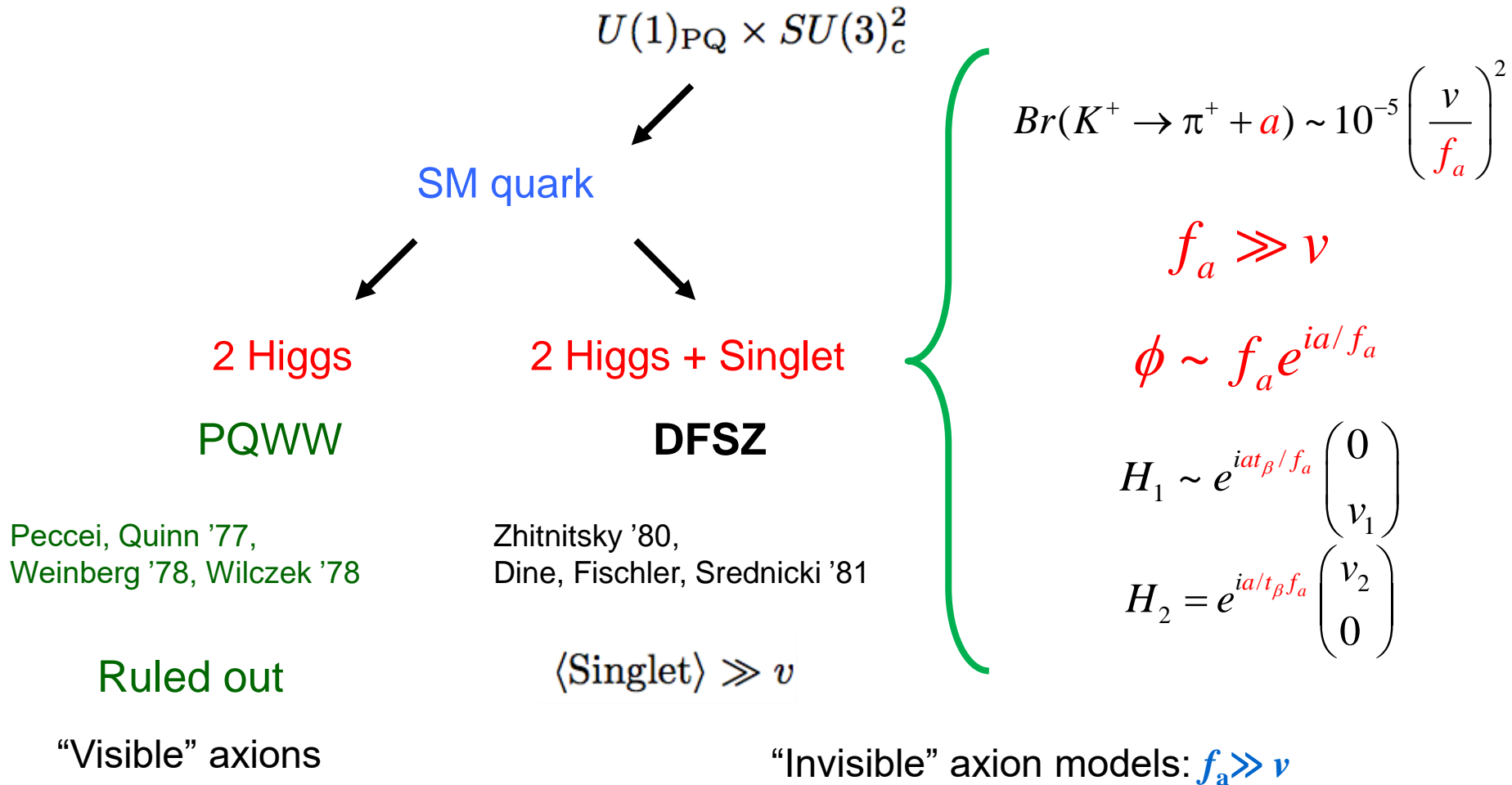
$$\langle \text{Singlet} \rangle \gg v$$

“Visible” axions

# Axion UV Models

- Axion: **PGB** of **QCD-anomalous** global  $U(1)_{PQ}$

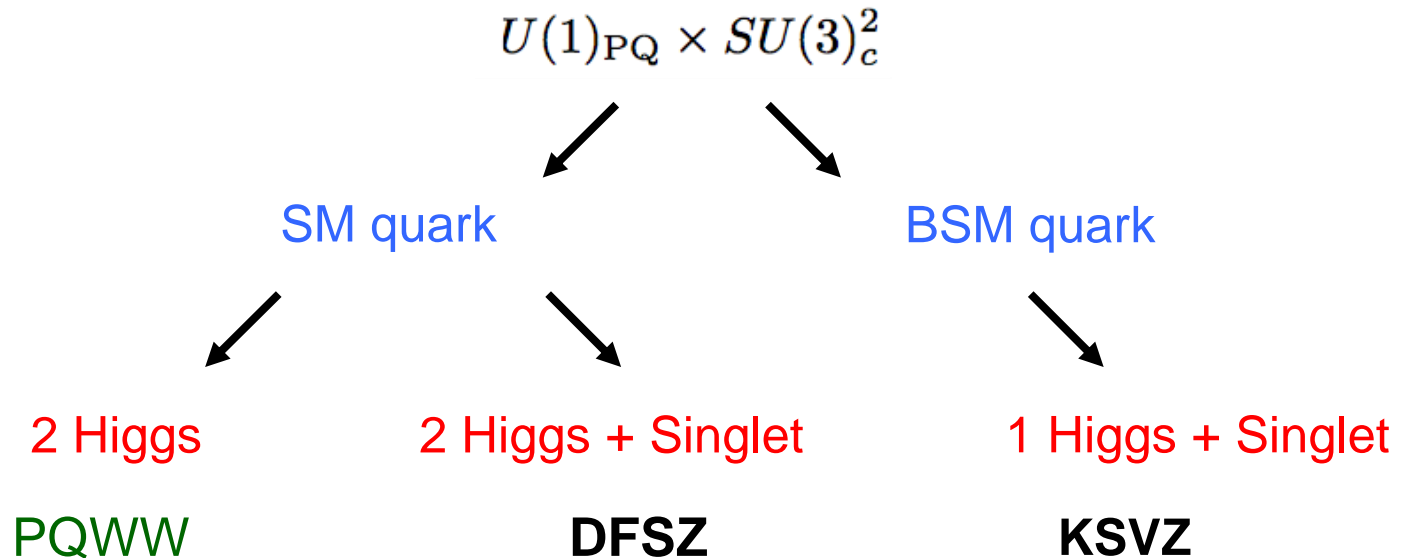
Anomalous breaking (**quark**) + Spontaneously breaking (**scalar**)



# Axion UV Models

- Axion: **PGB** of **QCD-anomalous** global  $U(1)_{PQ}$

Anomalous breaking (**quark**) + Spontaneously breaking (**scalar**)



Peccei, Quinn '77,  
Weinberg '78, Wilczek '78

Zhitnitsky '80,  
Dine, Fischler, Srednicki '81

Kim '79,  
Shifman, Vainshtein, Zakharov '80

Ruled out

$$\langle \text{Singlet} \rangle \gg v$$

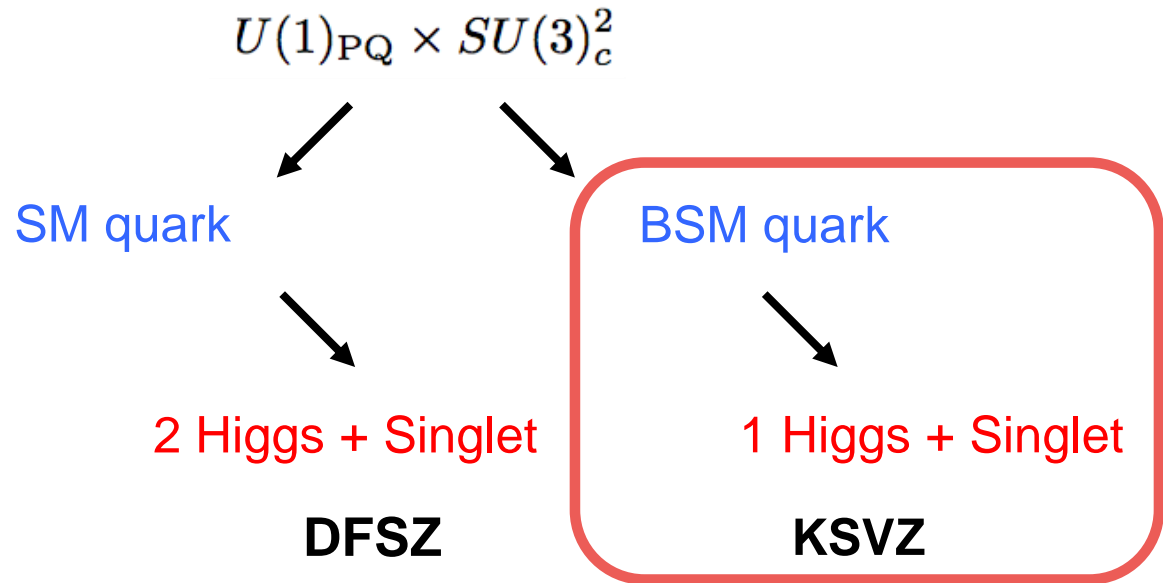
“Visible” axions

“Invisible” axion models:  $f_a \gg v$

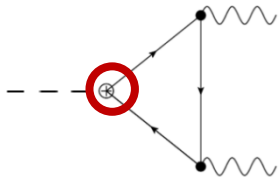
# Axion UV Models

- Axion: PGB of QCD-anomalous global  $U(1)_{PQ}$

Anomalous breaking (quark) + Spontaneously breaking (scalar)



$$C_\gamma = E/N - 1.92(4)$$



**Model dependence from UV completions**



# Hadronic Axions: KSVZ

## ❖ Field content KSVZ

Field	Spin	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$	$U(1)_{PQ}$
$Q_L$	1/2	$\mathcal{C}_Q$	$\mathcal{I}_Q$	$\mathcal{Y}_Q$	$\mathcal{X}_L$
$Q_R$	1/2	$\mathcal{C}_Q$	$\mathcal{I}_Q$	$\mathcal{Y}_Q$	$\mathcal{X}_R$
$\Phi$	0	1	1	0	1

[Kim (1979), Shifman, Vainshtein, Zakharov (1980)]

## ❖ PQ charges carried by SM-vectorlike quarks $Q = Q_L + Q_R$

- Original model assumes  $Q \sim (3, 1, 0)$

However in general:

$$\partial^\mu J_\mu^{PQ} = \frac{N\alpha_s}{4\pi} G \cdot \tilde{G} + \frac{E\alpha}{4\pi} F \cdot \tilde{F}$$

$$\left. \begin{aligned} N &= \sum_Q (\mathcal{X}_L - \mathcal{X}_R) T(\mathcal{C}_Q) \\ E &= \sum_Q (\mathcal{X}_L - \mathcal{X}_R) \mathcal{Q}_Q^2 \end{aligned} \right\} \text{anomaly coeff.}$$

## ❖ and by a SM singlet $\Phi$ containing the "invisible" axion ( $V_a \gg v_{EW}$ )

$$\Phi(x) = \frac{1}{\sqrt{2}} [\rho(x) + V_a] e^{ia(x)/V_a}$$

# Hadronic Axions: KSVZ

## ❖ Field content KSVZ

Field	Spin	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$	$U(1)_{PQ}$
$Q_L$	1/2	$\mathcal{C}_Q$	$\mathcal{I}_Q$	$\mathcal{Y}_Q$	$\mathcal{X}_L$
$Q_R$	1/2	$\mathcal{C}_Q$	$\mathcal{I}_Q$	$\mathcal{Y}_Q$	$\mathcal{X}_R$
$\Phi$	0	1	1	0	1

[Kim (1979), Shifman, Vainshtein, Zakharov (1980)]

## ❖ Generic QCD axion Lagrangian: $\mathcal{L}_a = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{PQ}} - V_{H\Phi} + \mathcal{L}_{Qq} \quad |\mathcal{X}_L - \mathcal{X}_R| = 1$

-  $\mathcal{L}_{\text{PQ}} = |\partial_\mu \Phi|^2 + \bar{Q} i \not{D} Q - (y_Q \bar{Q}_L Q_R \Phi + \text{H.c.}) \quad \longrightarrow \quad m_Q = y_Q V_a / \sqrt{2}$

-  $V_{H\Phi} = -\mu_\Phi^2 |\Phi|^2 + \lambda_\Phi |\Phi|^4 + \lambda_{H\Phi} |H|^2 |\Phi|^2 \quad \longrightarrow \quad m_\rho \sim V_a$

$\mathcal{L}_{Qq}$ :  $d \leq 4$  couplings to SM quarks, depend on Q-gauge quantum numbers,

# Accidental Symmetries in KSVZ: $Q$ stability issue!

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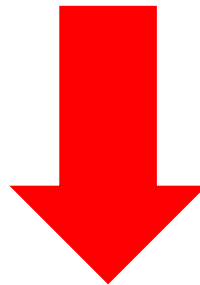
- **Symmetry of the BSM Quark kinetic term -> Accidental Symmetries**

$$U(1)_{Q_L} \times U(1)_{Q_R} \times U(1)_\Phi \xrightarrow{y_Q \neq 0} U(1)_{PQ} \times U(1)_Q$$

$$\mathcal{L}_{PQ} = |\partial_\mu \Phi|^2 + \bar{Q} i \not{D} Q - (y_Q \bar{Q}_L Q_R \Phi + \text{H.c.})$$

-  $U(1)_Q$  is  $Q$ -baryon number. Exact  $U(1)_Q \Rightarrow$   $Q$  stability. [E.g.  $Q \sim (3,1,0)$ ]

---



**Original  
KSVZ model, '79, '80**

**Colored stable/meta-stable particles are  
severely bounded by cosmology**

# Accidental Symmetries in KSVZ: $Q$ stability issue!


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## □ Symmetry of the BSM Quark kinetic term -> Accidental Symmetries

$$U(1)_{Q_L} \times U(1)_{Q_R} \times U(1)_\Phi \xrightarrow{y_Q \neq 0} U(1)_{PQ} \times U(1)_Q$$

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- $U(1)_Q$  is  $Q$ -baryon number. Exact  $U(1)_Q \Rightarrow$   $Q$  stability.
- if  $\mathcal{L}_{Qq} \neq 0$   $U(1)_Q$  is further broken and  $Q$ -decay is possible
- decay also possible via  $d > 4$  operators (e.g. Planck-induced)

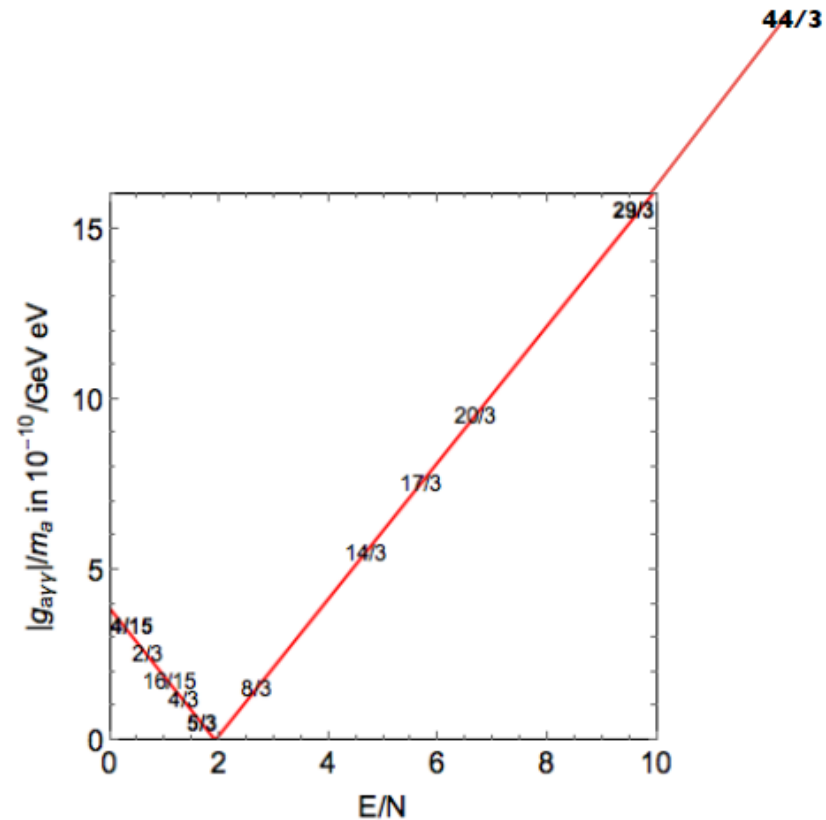
 stability depends on  $Q$  representations

# Phenomenologically preferred $Q$ 's

$R_Q$	$\mathcal{O}_{Qq}$	$\Lambda_{\text{Landau}}^{2\text{-loop}} [\text{GeV}]$	$E/N$
(3, 1, -1/3)	$\bar{Q}_L d_R$	$9.3 \cdot 10^{38} (g_1)$	2/3
(3, 1, 2/3)	$\bar{Q}_L u_R$	$5.4 \cdot 10^{34} (g_1)$	8/3
(3, 2, 1/6)	$\bar{Q}_R q_L$	$6.5 \cdot 10^{39} (g_1)$	5/3
(3, 2, -5/6)	$\bar{Q}_L d_R H^\dagger$	$4.3 \cdot 10^{27} (g_1)$	17/3
(3, 2, 7/6)	$\bar{Q}_L u_R H$	$5.6 \cdot 10^{22} (g_1)$	29/3
(3, 3, -1/3)	$\bar{Q}_R q_L H^\dagger$	$5.1 \cdot 10^{30} (g_2)$	14/3
(3, 3, 2/3)	$\bar{Q}_R q_L H$	$6.6 \cdot 10^{27} (g_2)$	20/3
(3, 3, -4/3)	$\bar{Q}_L d_R H^{\dagger 2}$	$3.5 \cdot 10^{18} (g_1)$	44/3
( $\bar{6}$ , 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$2.3 \cdot 10^{37} (g_1)$	4/15
( $\bar{6}$ , 1, 2/3)	$\bar{Q}_L \sigma_{\mu\nu} u_R G^{\mu\nu}$	$5.1 \cdot 10^{30} (g_1)$	16/15
( $\bar{6}$ , 2, 1/6)	$\bar{Q}_R \sigma_{\mu\nu} q_L G^{\mu\nu}$	$7.3 \cdot 10^{38} (g_1)$	2/3
(8, 1, -1)	$\bar{Q}_L \sigma_{\mu\nu} e_R G^{\mu\nu}$	$7.6 \cdot 10^{22} (g_1)$	8/3
(8, 2, -1/2)	$\bar{Q}_R \sigma_{\mu\nu} \ell_L G^{\mu\nu}$	$6.7 \cdot 10^{27} (g_1)$	4/3
(15, 1, -1/3)	$\bar{Q}_L \sigma_{\mu\nu} d_R G^{\mu\nu}$	$8.3 \cdot 10^{21} (g_3)$	1/6
(15, 1, 2/3)	$\bar{Q}_L \sigma_{\mu\nu} u_R G^{\mu\nu}$	$7.6 \cdot 10^{21} (g_3)$	2/3

$$g_{a\gamma\gamma} = \frac{m_a}{\text{eV}} \frac{2.0}{10^{10} \text{ GeV}} \left( \frac{E}{N} - 1.92(4) \right)$$

$$\frac{E}{N} = \frac{\sum_Q Q_Q^2}{\sum_Q T(C_Q)}$$



- $Q$  short lived + no Landau poles < Planck

# Phenomenologically preferred $Q$ s

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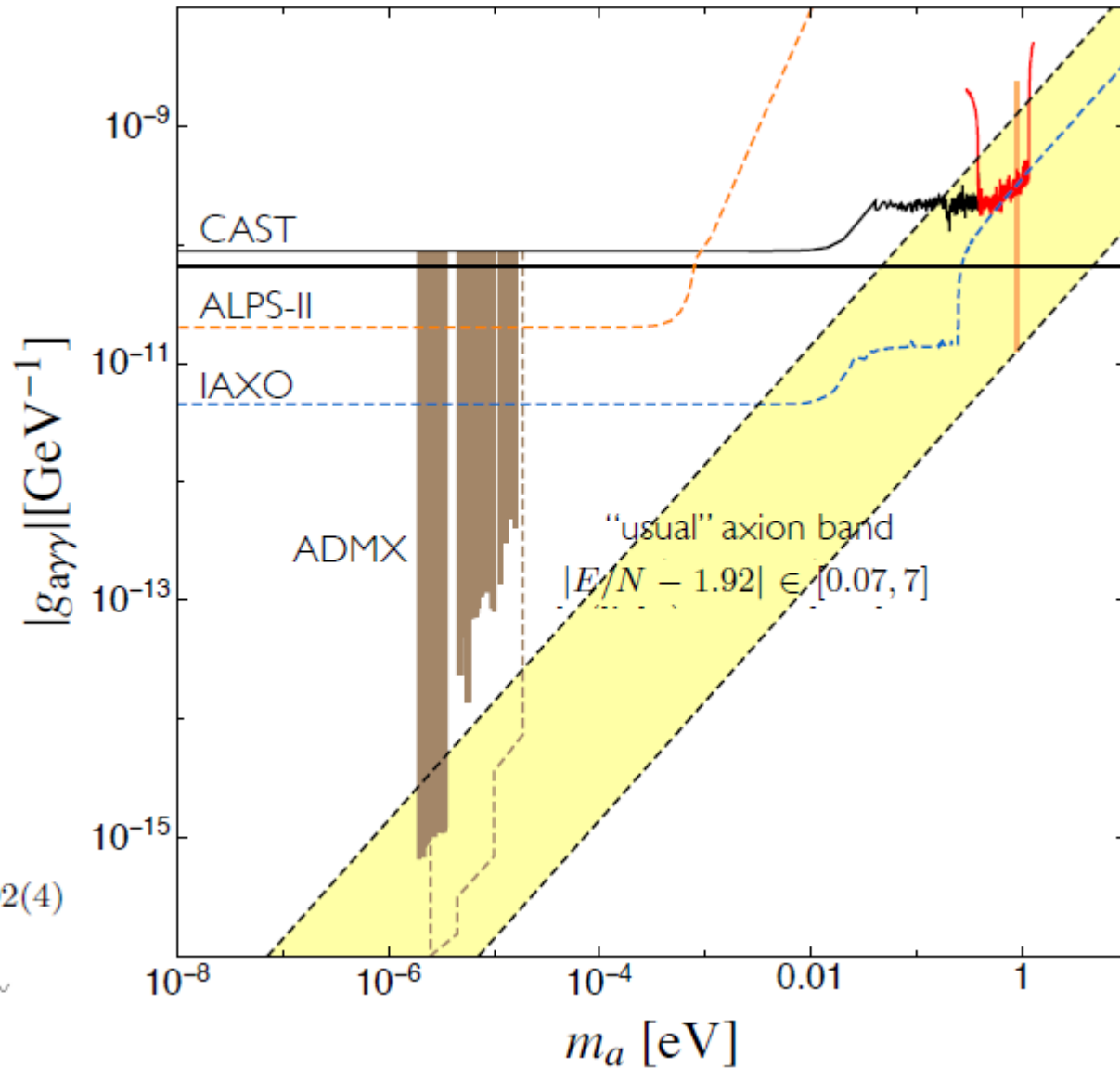
- The weakest coupling is obtained for  $R_Q^w = (3, 2, 1/6)$  for which  $E_w/N_w - 1.92 \sim -0.25$  is about 3.5 times larger than the usual lower value of 0.07.

- The strongest coupling is obtained for  $R_Q^s = (3, 3, -4/3)$  that gives  $E_s/N_s - 1.92 \sim 12.75$ , almost twice the usually adopted value of 7.0

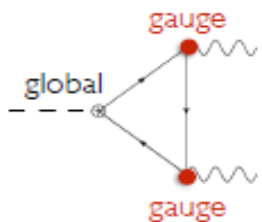
$$\frac{E}{N} = \frac{\sum_Q \mathcal{Q}_Q^2}{\sum_Q T(\mathcal{C}_Q)}$$

- $Q$  short lived + no Landau poles < Planck

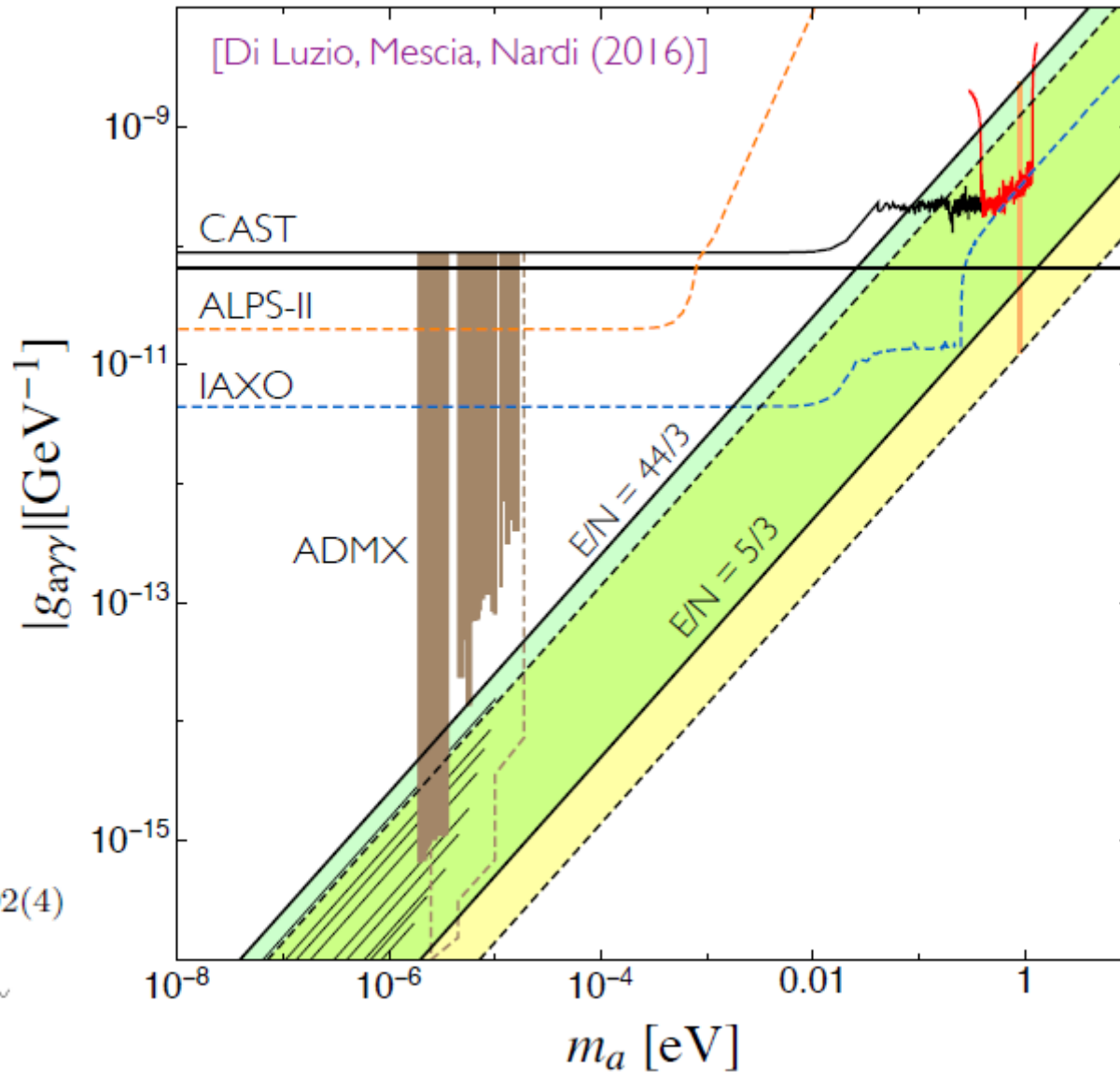
# Redefining Axion Windows for $a \rightarrow \gamma\gamma$ : KSVZ



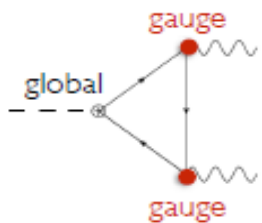
$$C_\gamma = E/N - 1.92(4)$$



# Redefining Axion Windows: KSVZ ( $N_Q=1$ )

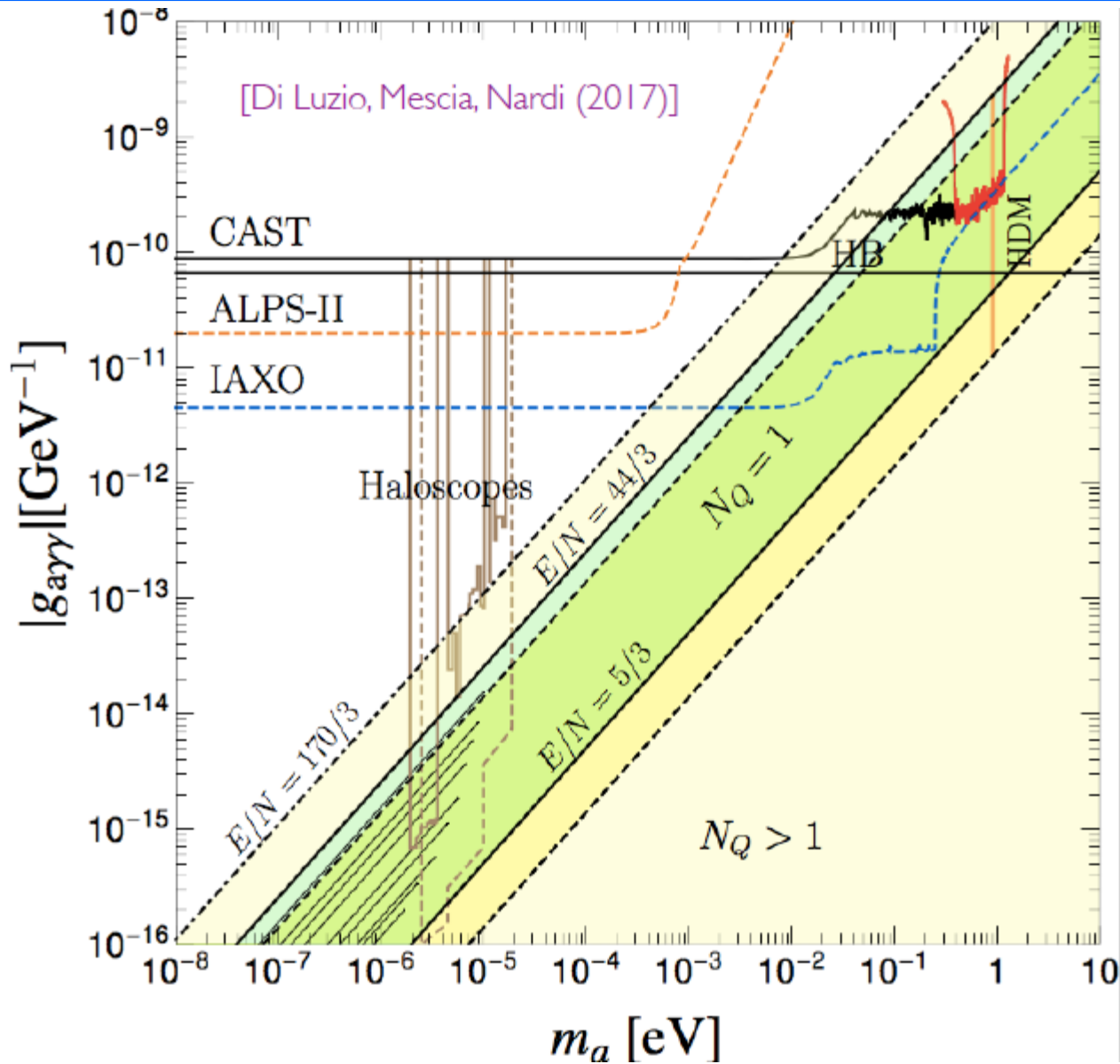


$$C_\gamma = E/N - 1.92(4)$$



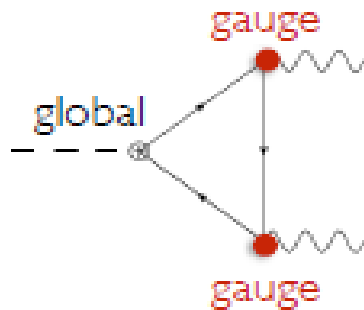


# Additional $Q$ representations: KSVZ + $N_Q > 1$



# Axion-Photon Summary (Revised)

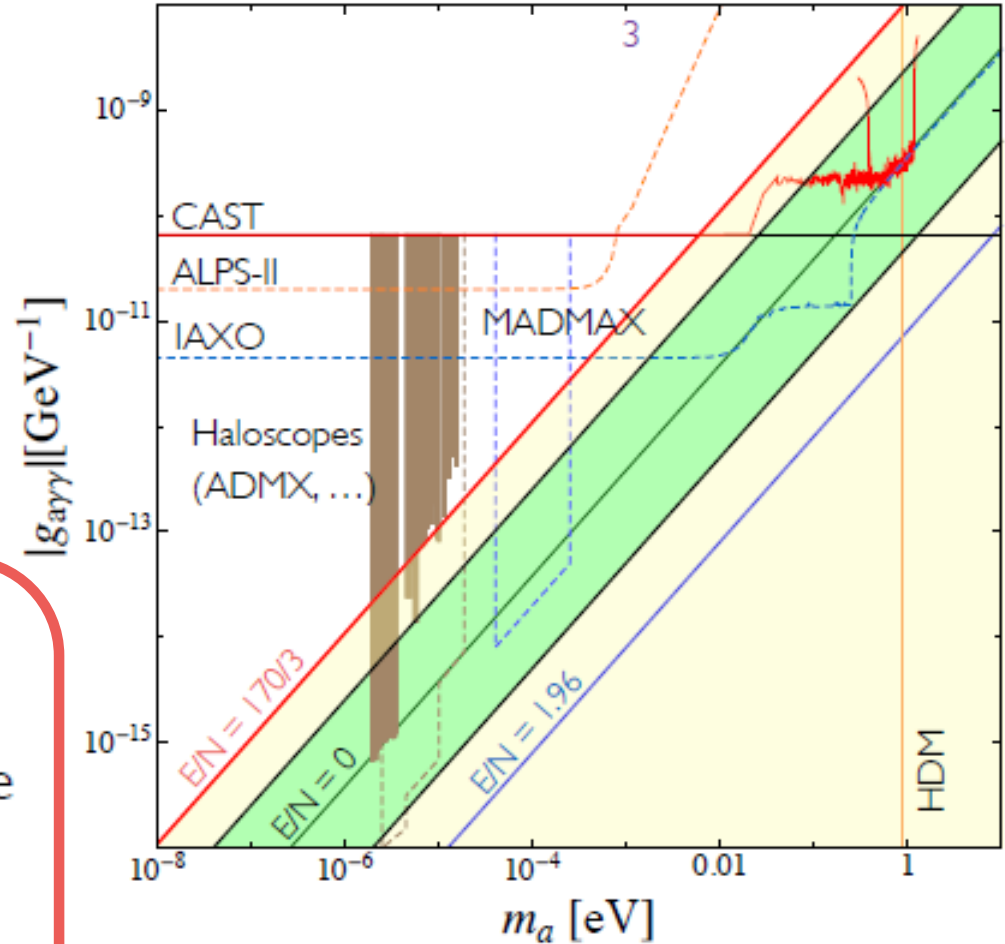
$$C_\gamma = E/N - 1.92(4)$$



- Messages for exp. colleagues:

1. The QCD axion might already be in the reach of your experiment !

2. Don't stop at  $E/N = 0$   
(go deeper if you can)



Di Luzio, F.M, Nardi 1610.07593 (PRL),  
1705.05370

# Model building and Pheno

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2

□ *Axion couplings to fermions*

Based on

Di Luzio, F.M. Nardi, Panci, Ziegler, 1712.04940 (PRL)

Björkeröth, Di Luzio, F.M. Nardi, 1811.09637 (JHEP).

# Axion Couplings to fermions

---

- Is it possible to decouple the axion both from nucleons and electrons ?



nucleophobia + electrophobia = astrophobia

- Why interested in such constructions ?
  1. is it possible at all ?
  2. It would allow to relax the upper bound on axion mass by  $\sim 1$  order of magnitude
  3. would improve visibility at IAXO (axion-photon)
  4. unexpected connection with flavour

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---

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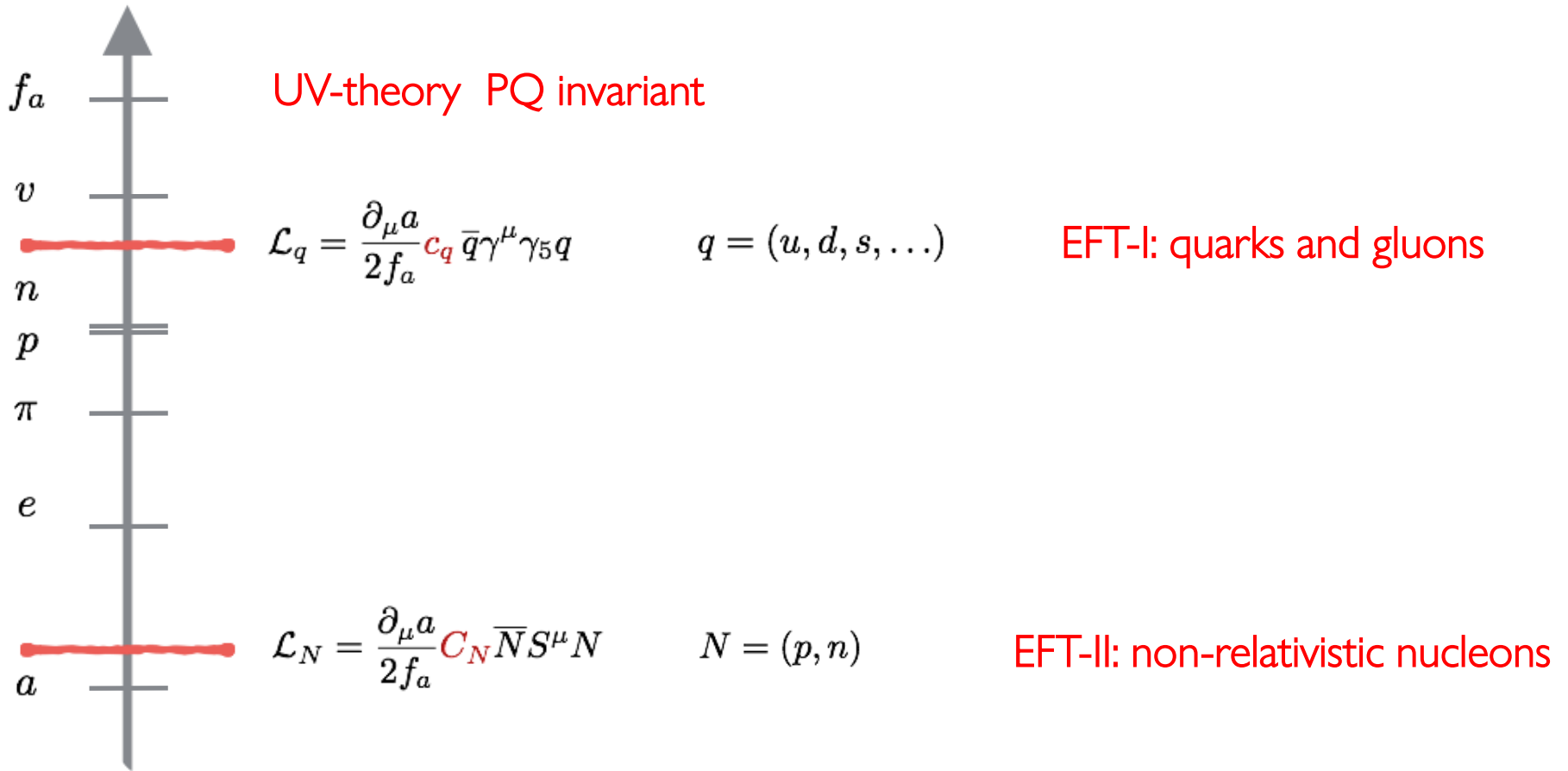
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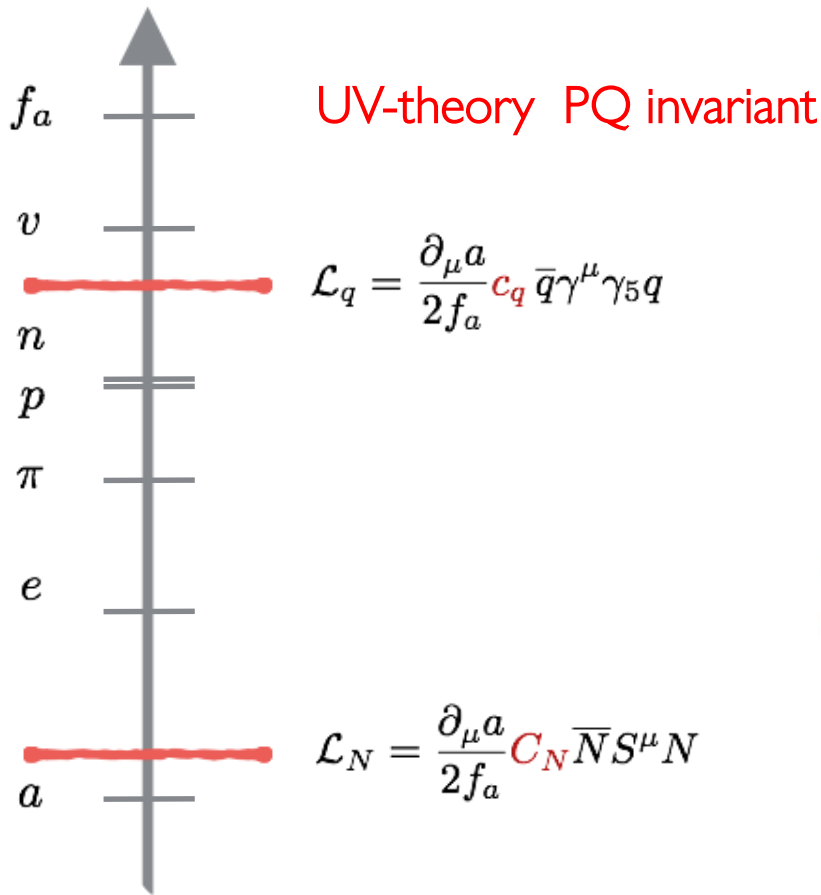
\*conceptually easy (e.g. couple the electron to 3rd Higgs uncharged under PQ)

# Axion-nucleon couplings

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# Axion-nucleon couplings



$$\langle p | \mathcal{L}_q | p \rangle = \langle p | \mathcal{L}_N | p \rangle$$



$$s^\mu \Delta q \equiv \langle p | \bar{q} \gamma_\mu \gamma_5 q | p \rangle$$

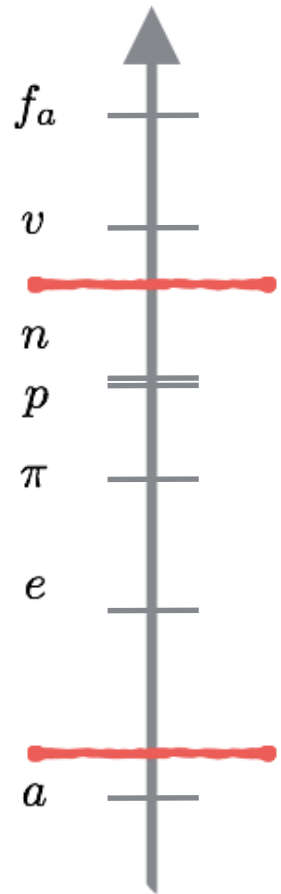
$$C_p + C_n = (c_u + c_d) (\Delta_u + \Delta_d) - 2\delta_s \quad [\delta_s \approx 5\%]$$

$$C_p - C_n = (c_u - c_d) (\Delta_u - \Delta_d)$$

independently of matrix elements:

- (1):  $C_p + C_n \approx 0$  if  $c_u + c_d = 0$
- (2):  $C_p - C_n = 0$  if  $c_u - c_d = 0$

# Axion-nucleon couplings: KSVZ/DFSZ no-go



$$\mathcal{L}_a \supset \frac{a}{f_a} \frac{\alpha_s}{8\pi} G\tilde{G} + \frac{\partial_\mu a}{v_{PQ}} [X_u \bar{u}\gamma^\mu\gamma_5 u + X_d \bar{d}\gamma^\mu\gamma_5 d]$$

$$\left(f_a = \frac{v_{PQ}}{2N}\right)$$

$$\frac{\partial_\mu a}{2f_a} \left[ \frac{X_u}{N} \bar{u}\gamma^\mu\gamma_5 u + \frac{X_d}{N} \bar{d}\gamma^\mu\gamma_5 d \right]$$



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$$\frac{X_u}{N} \rightarrow c_u = \frac{X_u}{N} - \frac{m_d}{m_d + m_u}$$

$$\frac{X_d}{N} \rightarrow c_d = \frac{X_d}{N} - \frac{m_u}{m_d + m_u}$$

$$\mathcal{L}_q = \frac{\partial_\mu a}{2f_a} c_q \bar{q}\gamma^\mu\gamma_5 q$$

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$$\frac{X_u}{N} \rightarrow c_u = \frac{X_u}{N} - \frac{m_d}{m_d + m_u} \quad \frac{X_d}{N} \rightarrow c_d = \frac{X_d}{N} - \frac{m_u}{m_d + m_u}$$

$$\mathcal{L}_q = \frac{\partial_\mu a}{2f_a} c_q \bar{q}\gamma^\mu\gamma_5 q$$

1st condition

$$0 = c_u + c_d = \frac{X_u + X_d}{N} - 1$$

X

2nd condition

$$0 = c_u - c_d = \frac{X_u - X_d}{N} - \underbrace{\frac{m_d - m_u}{m_d + m_u}}_{\simeq 1/3}$$

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1st condition

$$0 = c_u + c_d = \frac{X_u + X_d}{N} - 1$$

}	$\xrightarrow{\text{KSVZ}}$ $X_u = X_d = 0$	-1
	$\xrightarrow{\text{DFSZ}}$ $N = n_g(X_u + X_d)$	$\frac{1}{n_g} - 1$

# Implementing Nucleophobia



Nucleophobia can be obtained in DFSZ models **BUT** with non-universal (i.e. generation dependent) PQ charges, such that

$$N = N_1 \equiv X_u + X_d$$

1st condition

$$0 = c_u + c_d = \frac{X_u + X_d}{N} - 1$$



$$\xrightarrow{X_u = X_d = 0}$$

-1

$$\xrightarrow[\text{DFSZ}]{N = n_g(X_u + X_d)}$$

$\frac{1}{n_g} - 1$

# Implementing Nucleophobia

---

- Simplification: assume 2+1 structure  $X_{q_1} = X_{q_2} \neq X_{q_3}$

$$N \equiv N_1 + N_2 + N_3 = N_1 \quad \longrightarrow \quad N_1 = N_2 = -N_3$$

- $N_2 + N_3 = 0$  easy to implement with 2HDM

$$\begin{aligned} \mathcal{L}_Y \supset & \bar{q}_3 u_3 H_1 + \bar{q}_3 d_3 \tilde{H}_2 + (\bar{q}_3 u_2 \dots + \dots) \\ & + \bar{q}_2 u_2 H_2 + \bar{q}_2 d_2 \tilde{H}_1 + (\bar{q}_2 d_3 \dots + \dots) \end{aligned}$$

$$\begin{aligned} \Rightarrow \mathcal{N}_{3rd} &= 2X_{q_3} - X_{u_3} - X_{d_3} = X_1 - X_2 \\ \Rightarrow \mathcal{N}_{2nd} &= 2X_{q_2} - X_{u_2} - X_{d_2} = X_2 - X_1 \end{aligned}$$

- 1st condition automatically satisfied

# Implementing Nucleophobia

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$\mathcal{L}_Y \supset \bar{q}_3 u_3 H_1 + \bar{q}_3 d_3 \tilde{H}_2 + (\bar{q}_3 u_2 \dots + \dots)$ $+ \bar{q}_2 u_2 H_2 + \bar{q}_2 d_2 \tilde{H}_1 + (\bar{q}_2 d_3 \dots + \dots)$	$\Rightarrow \mathcal{N}_{3rd} = 2X_{q_3} - X_{u_3} - X_{d_3} = X_1 - X_2$ $\Rightarrow \mathcal{N}_{2nd} = 2X_{q_2} - X_{u_2} - X_{d_2} = X_2 - X_1$
---	---

- 2nd condition can be implemented via a 10% tuning

$$\tan \beta = v_2/v_1$$

$$X_1/X_2 = -\tan^2 \beta$$

$$c_u - c_d = \underbrace{\frac{X_u - X_d}{N}}_{c_\beta^2 - s_\beta^2} - \underbrace{\frac{m_d - m_u}{m_u + m_d}}_{\simeq \frac{1}{3}} = 0 \quad \longrightarrow \quad c_\beta^2 \simeq 2/3$$

# Flavour Connection

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- Nucleophobia implies flavour violating axion couplings !

$$[\text{PQ}_d, Y_d^\dagger Y_d] \neq 0 \quad \longrightarrow \quad C_{ad_i d_j} \propto (V_d^\dagger \text{PQ}_d V_d)_{i \neq j} \neq 0$$

e.g. RH down rotations become physical

- Plethora of low-energy flavour experiments probing  $\frac{\partial_\mu a}{2f_a} \bar{f}_i \gamma^\mu (C_{ij}^V + C_{ij}^A \gamma_5) f_j$

$$K \rightarrow \pi a \quad m_a < 1.0 \times 10^{-4} \frac{\text{eV}}{|C_{su}^V|} \quad - \quad [\text{E787, E949 @ BNL, 0709.1000}] \quad \longrightarrow \quad \text{NA62}$$

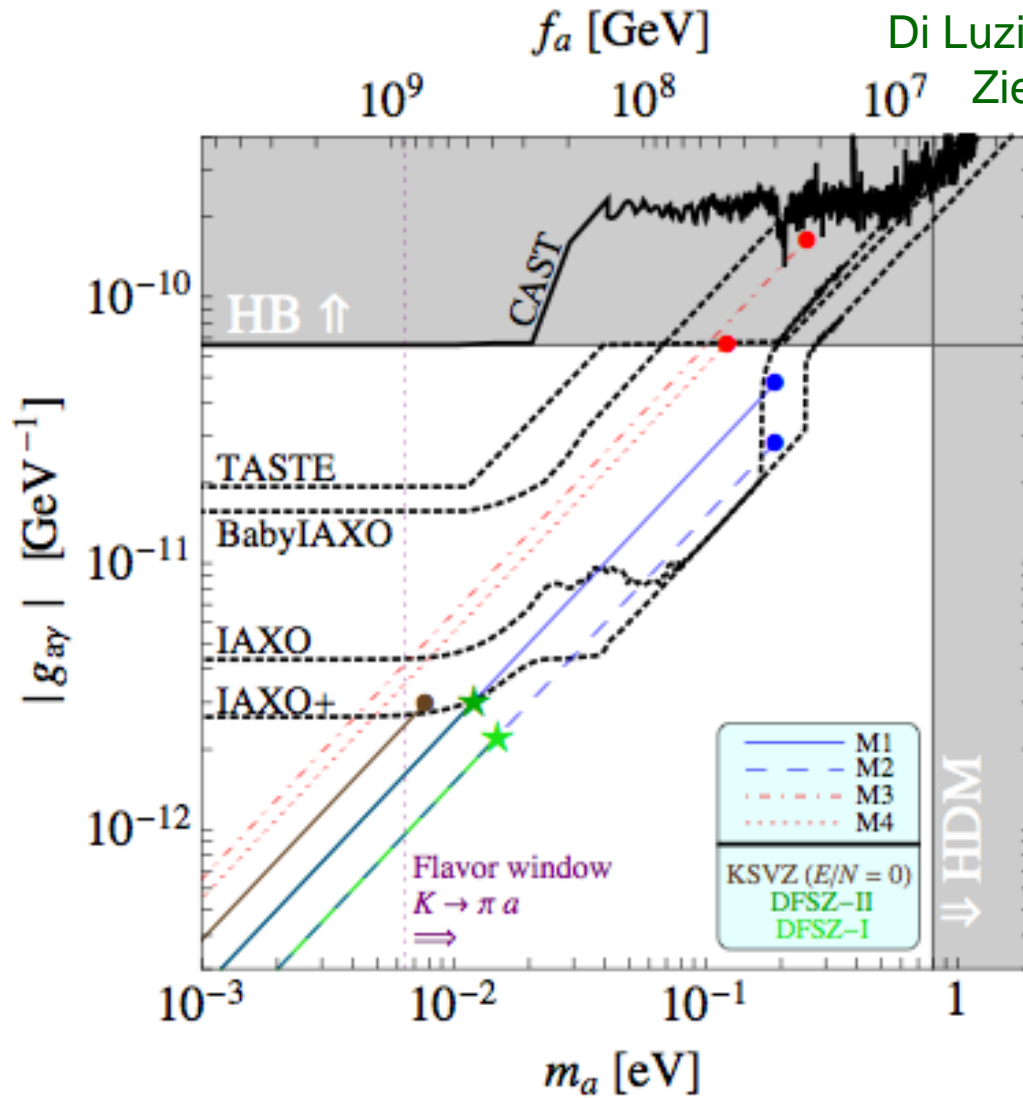
$$B \rightarrow K a \quad m_a < 3.7 \times 10^{-2} \frac{\text{eV}}{|C_{bs}^V|} \quad - \quad [\text{Babar, 1303.7465}] \quad \longrightarrow \quad \text{Belle-II}$$

$$\mu \rightarrow e a \quad m_a < 3.4 \times 10^{-3} \frac{\text{eV}}{\sqrt{|C_{bd}^V|^2 + |C_{bd}^A|^2}} \quad : \quad [\text{Crystal Box @ Los Alamos, Bolton et al PRD38 (1988)}] \quad \longrightarrow \quad \text{MEG II}$$



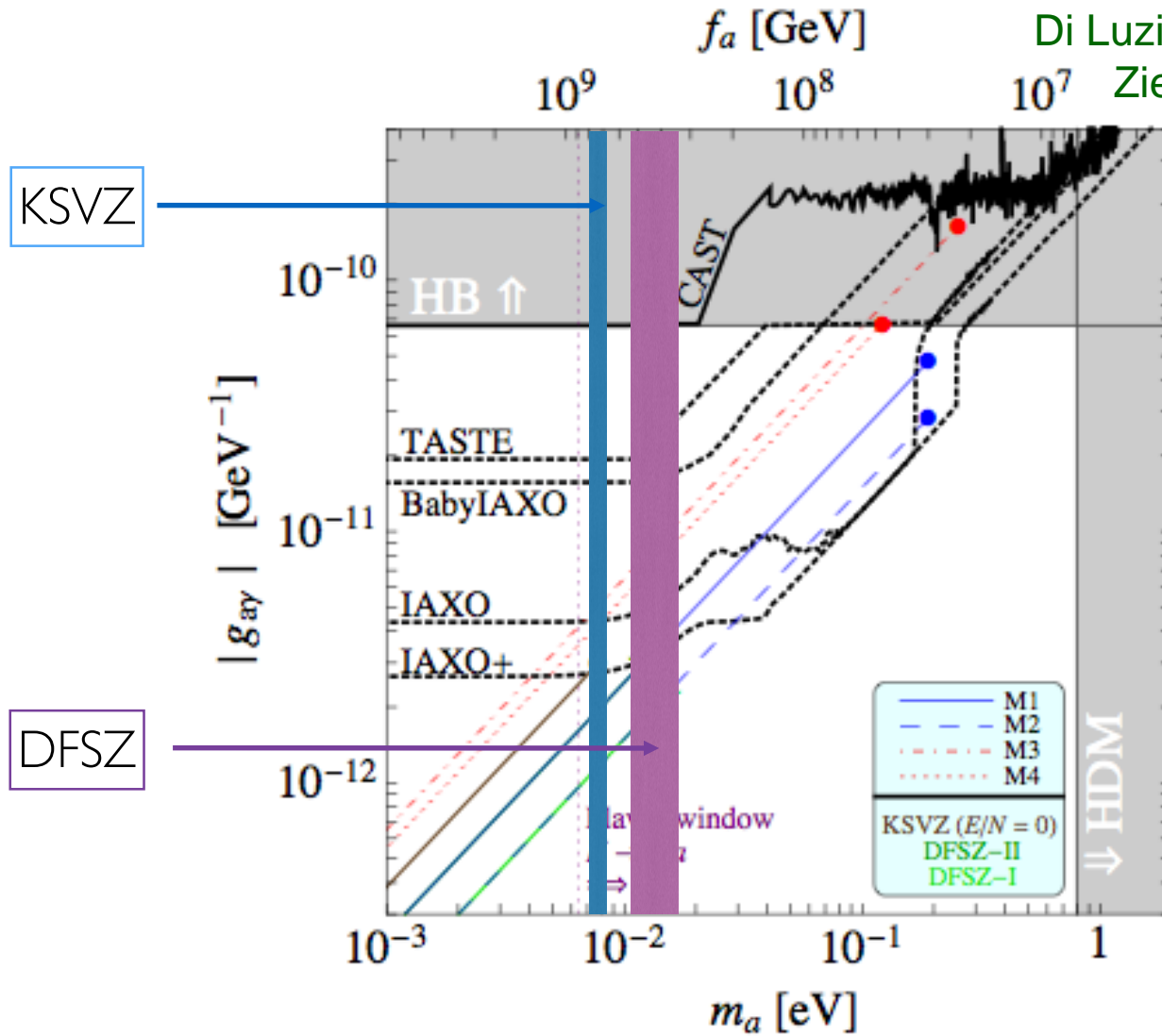
# Axion models

Di Luzio, F. M, Nardi, Panci,  
Ziegler 1712.04940



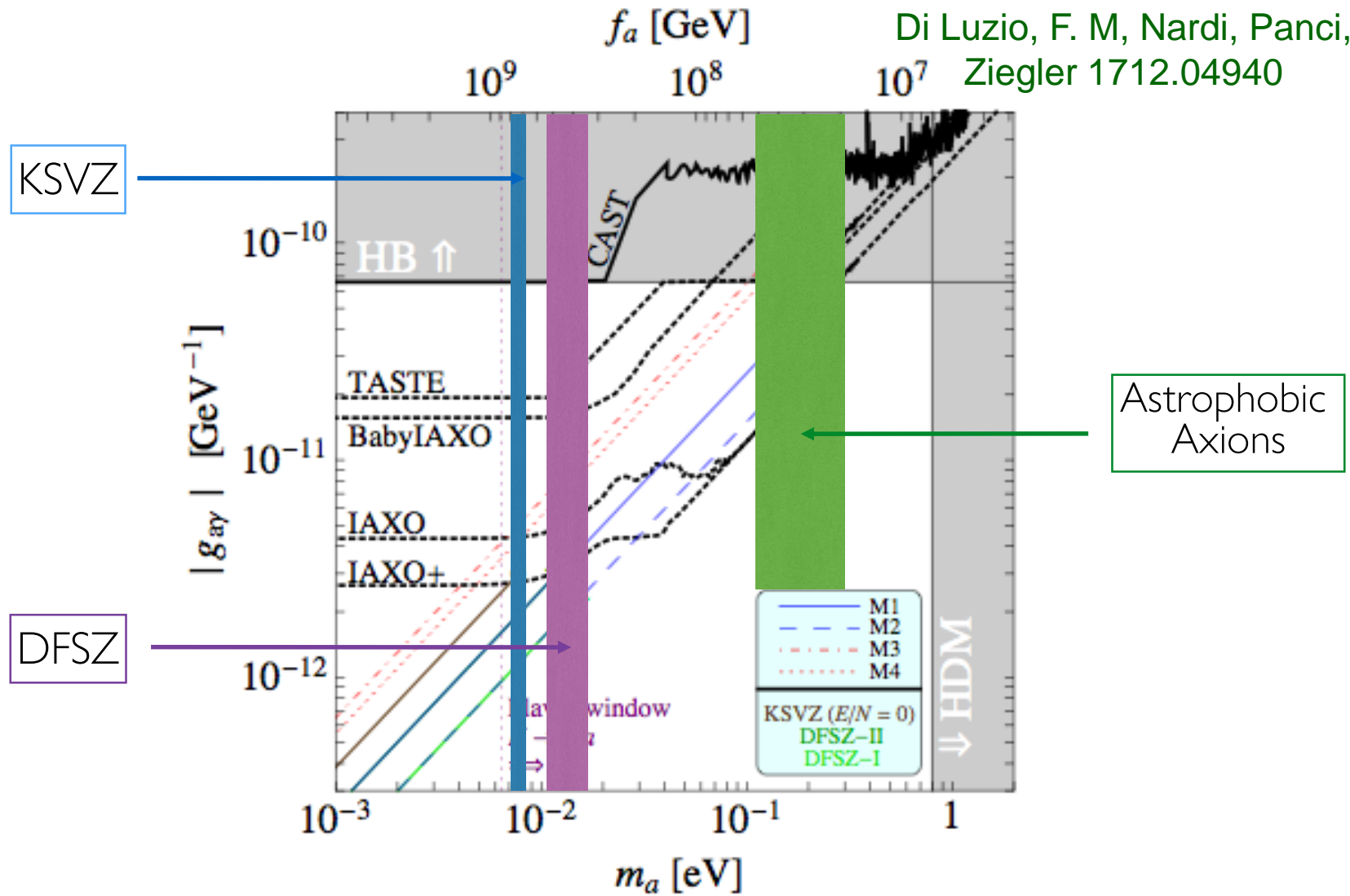
# Axion models

Di Luzio, F. M, Nardi, Panci,  
Ziegler 1712.04940



# Astrophobic axion models

Di Luzio, F. M, Nardi, Panci,  
Ziegler 1712.04940



# Conclusion

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## ❖ The axion hypothesis provides a well motivated BSM scenario

- solves the strong CP problem
- provides a DM candidate
- **is unambiguously testable by detecting the axion**

## ❖ Healthy and lively experimental program

- IAXO is entering now the preferred window for the QCD axion

## ❖ Theoretical developments are still ongoing

- reduce non-perturbative QCD uncertainties, especially on  $g_{a\gamma\gamma}$  and  $f_a$
- **define theoretical uncertainties due to “model dependence”**

Here: Axion window defined in terms of precise pheno requirements

Thanks

# What about Axion Windows: in DFSZ?

- In general each R-handed SM fermion can have a specific PQ charge  $X_{f_j}$

$$u_R^j \rightarrow \exp(iX_{uj}) u_R^j,$$

$$d_R^j \rightarrow \exp(iX_{dj}) d_R^j,$$

$$e_R^j \rightarrow \exp(iX_{ej}) e_R^j.$$

$$\frac{E}{N} = \frac{2}{3} + 2 \frac{\sum_j (X_{uj} + X_{ej})}{\sum_j (X_{uj} + X_{dj})}$$

- For generation independent charges DFSZ remains within KSVZ window:

$$\text{DFSZ-I: } X_e = X_d, \quad E/N = 8/3$$

$$\text{DFSZ-II: } X_e = -X_u, \quad E/N = 2/3$$

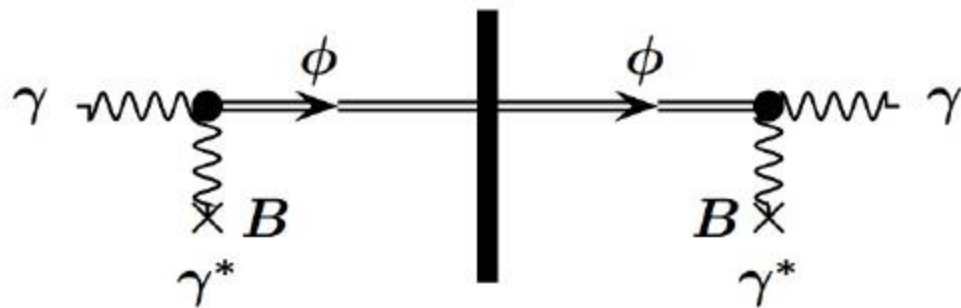
$$\text{DFSZ-III: } X_e \neq X_{u,d}, \quad E/N_{(max)} = -4/3$$

- For generation dependent charges with a max. of 9 Higgs doublets  $H_{f_j}$ :

$$\text{DFSZ}(X_{ej}, X_{dj}, X_{uj}): \quad E/N_{(max)} = 524/3 = 3 \cdot E/N_{(max)} (\text{KSVZ})$$

# Light Shining through Walls: ALP1-2 (Desy)

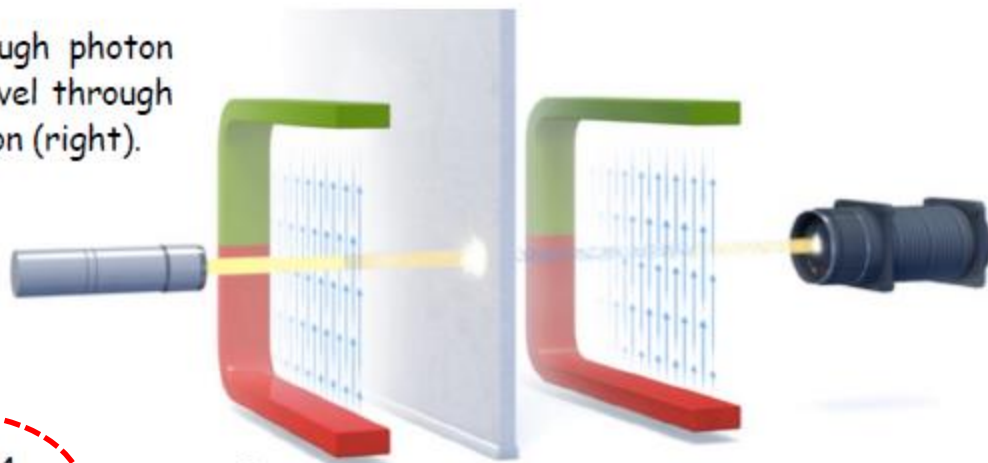
- Any Light Particle Search (DESY) **Alps 1** (2007-2010) **Alps 2** (2013-)



$$\mathcal{L}_{a\gamma\gamma} = -\frac{1}{4}g_{a\gamma\gamma} a F \cdot \tilde{F} = g_{a\gamma\gamma} a \mathbf{E} \cdot \mathbf{B}$$

Artist view of a light shining through a wall experiment

Schematic view of axion (or ALP) production through photon conversion in a magnetic field (left), subsequent travel through a wall, and final detection through photon regeneration (right).



→ LSW experiments pay a  $(g_{a\gamma\gamma})^4$  suppression

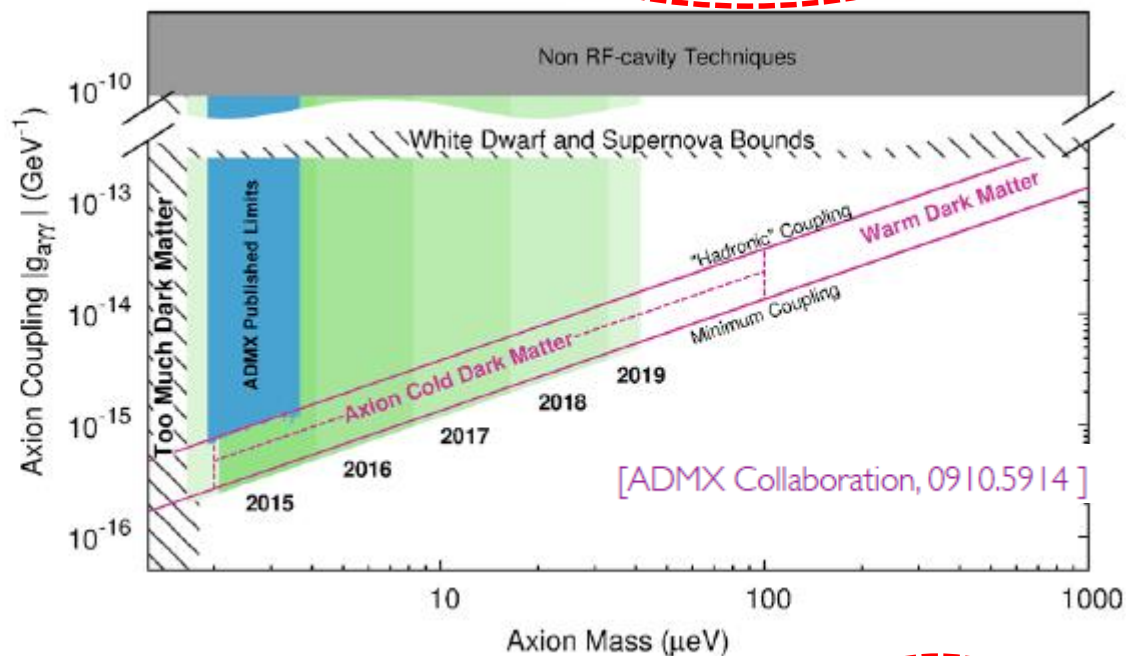
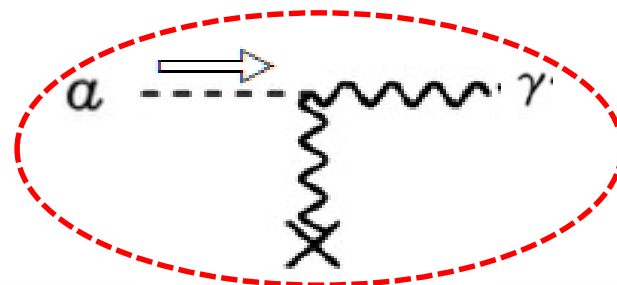
# Haloscopes: ADMX (Washington)

- Look for halo DM axions with a microwave resonant cavity

[Sikivie (1983)]

- exploits inverse Primakoff effect: axion-photon transition in external E or B field

$$\mathcal{L}_{a\gamma\gamma} = -\frac{1}{4}g_{a\gamma\gamma} a F \cdot \tilde{F} = g_{a\gamma\gamma} a \mathbf{E} \cdot \mathbf{B}$$



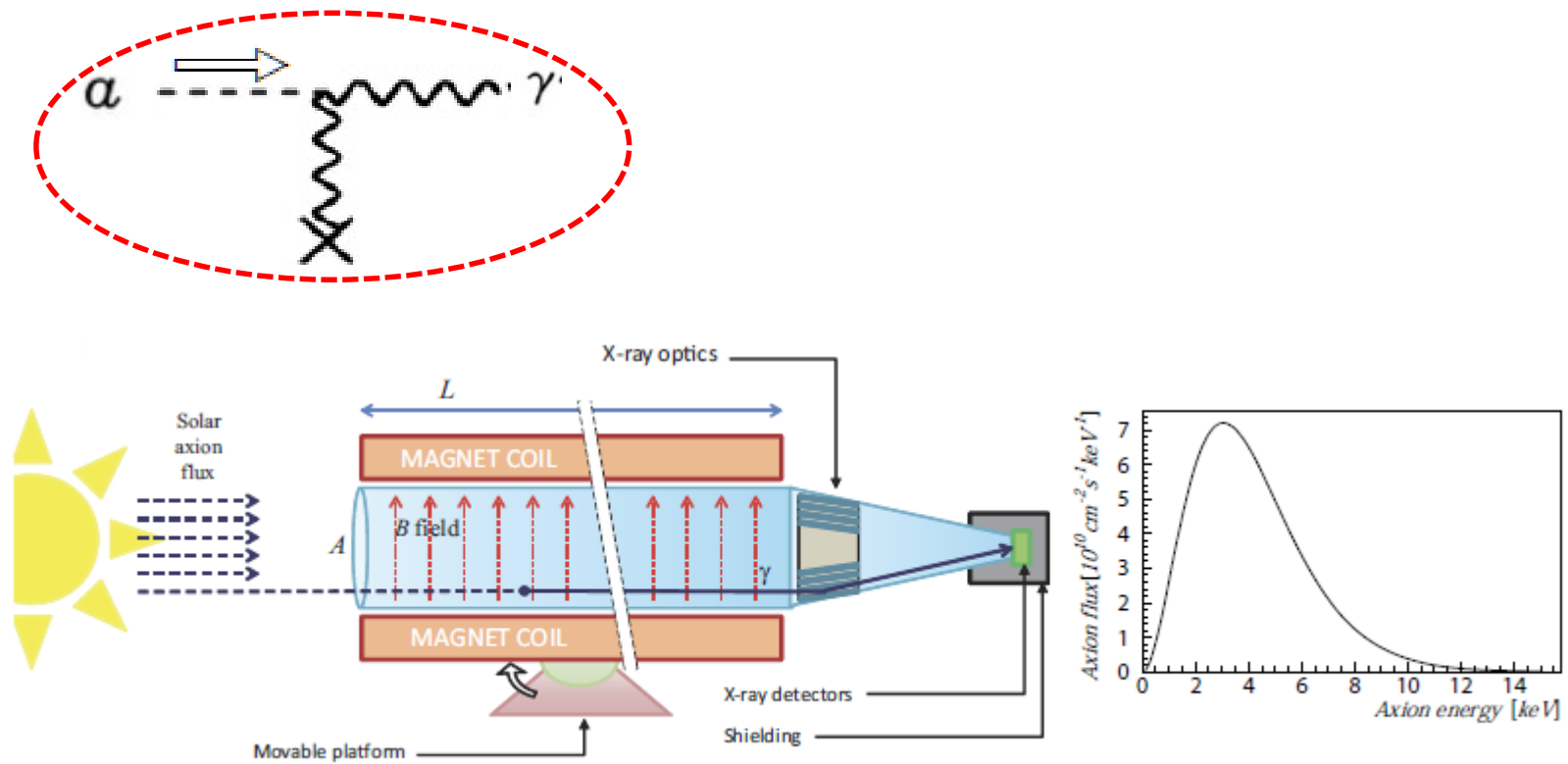
- power of axions converting into photons in an EM cavity

$$P_a = C g_{a\gamma\gamma}^2 V B_0^2 \frac{\rho_a}{m_a} Q_{\text{eff}}$$



# Helioscopes: CAST (CERN), IAXO (DESY)

- The Sun is a potential source of a copious axion flux



- macroscopic transverse B-field over a large volume triggers axion to photon (x-ray) conversion

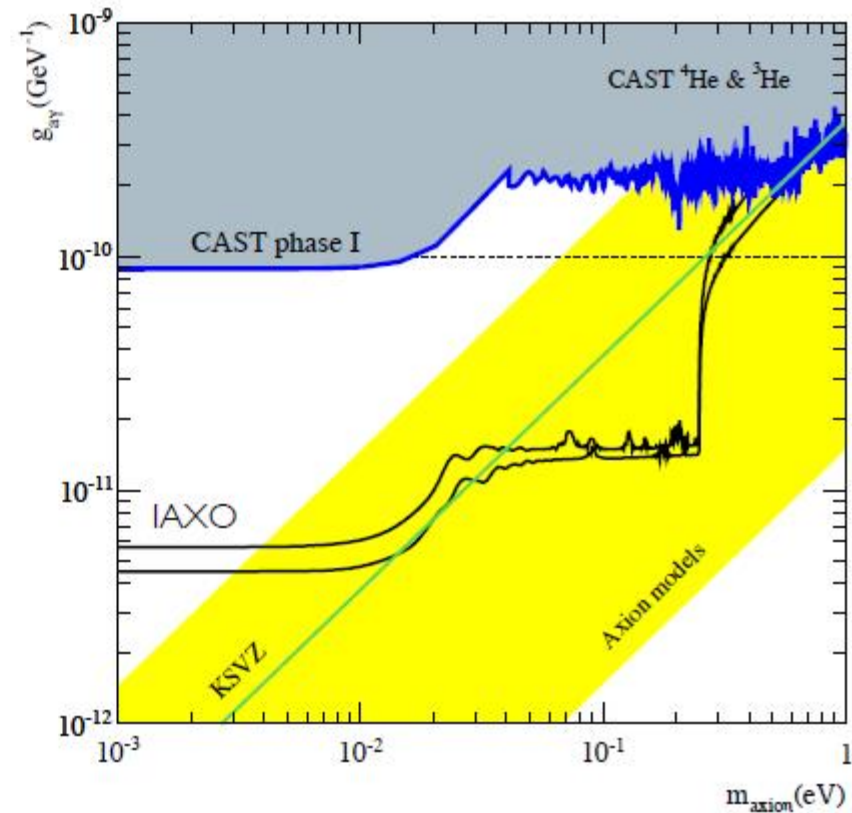
# Helioscopes: CAST (CERN), IAXO (DESY)

- The Sun is a potential axion source (3rd and 4th generation axion-Sun telescopes)

- CERN Axion Solar Telescope (CAST)



- International AXion Observatory (IAXO)



[IAXO "Letter of intent", CERN-SPSC-2013-022]