



MAX-PLANCK-INSTITUT
FÜR KERNPHYSIK

LEGEND

Large Enriched
Germanium Experiment
for Neutrinoless $\beta\beta$ Decay



Current status of the ${}^{76}\text{Ge}$ $0\nu\beta\beta$ decay search and future prospects with Legend

Yoann KERMAÏDIC

Seminar

LPNHE Paris

Understanding the matter-antimatter asymmetry of the Universe

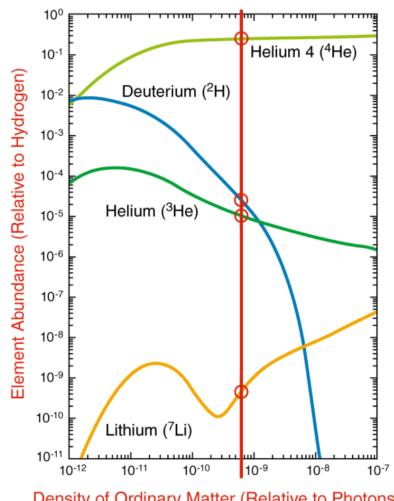
**Number of baryons / antibaryons
(matter) / (antimatter)**

$\eta = \frac{n_b - n_{\bar{b}}}{n_\gamma} = (6.05 \pm 0.07) \times 10^{-10}$

Baryonic asymmetry of the Universe

**Number of photons
(light)**

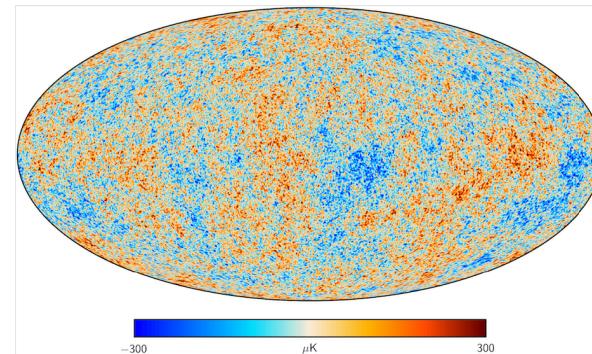
Measure of the element abundance probing the primordial nucleosynthesis



[Cooke, 2014]

Independant
estimates
agrees

Measure of the CMB



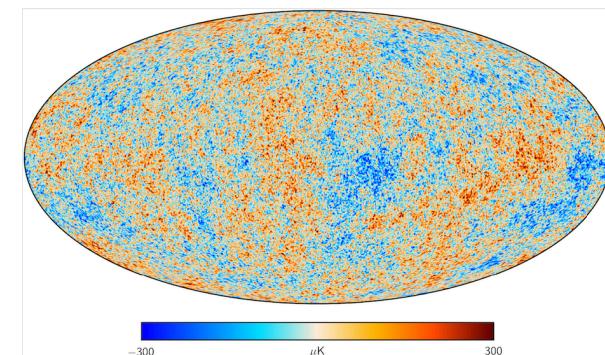
[Planck, 2015]

Understanding the matter-antimatter asymmetry of the Universe

Baryonic asymmetry of the Universe :

$$\eta_{\text{CMB}} = \frac{n_b - n_{\bar{b}}}{n_\gamma} = (6.05 \pm 0.07) \times 10^{-10}$$

- Sakharov criteria:
 $\mathcal{B}, \mathcal{C}, \mathcal{CP}$, int. out of equilibrium [Sakharov, 1967]
- Many theoretical scenarios including
High energy scale leptogenesis (electroweak baryogenesis ...)
- Leptogenesis popular because ν is a unique particle
Only left-handed, $\nu = \bar{\nu}$?, no electric charge



Standard Model scenario	Beyond SM scenario
<p>Baryogenesis Excluded</p> <ul style="list-style-type: none">- m_H too high / phase transition of 1st order- Too weak CPV $\eta \sim 10^{-26}$ <p>[Huet, 1994]</p>	<p>Leptogenesis Plausible - to be falsified</p> <ul style="list-style-type: none">- Enriched neutrino sector- CPV in the neutrino sector- Majorana ν- Lepton Number Violation <p>[Fukugita, 1986]</p>

The « Standard » neutrino sector

- **There are 3 left-handed ν** -> e.g. LEP / Planck satellite
where are the right-handed ones? is there a 4-th hidden neutrino? (SoLiD, ...)
- **ν flavours oscillate ($\nu_e \leftrightarrow \nu_\mu$)** -> Super K in 1998
neutrinos are not massless!

KATRIN at KIT has started in 2018!

ECHO at the Heidelberg university

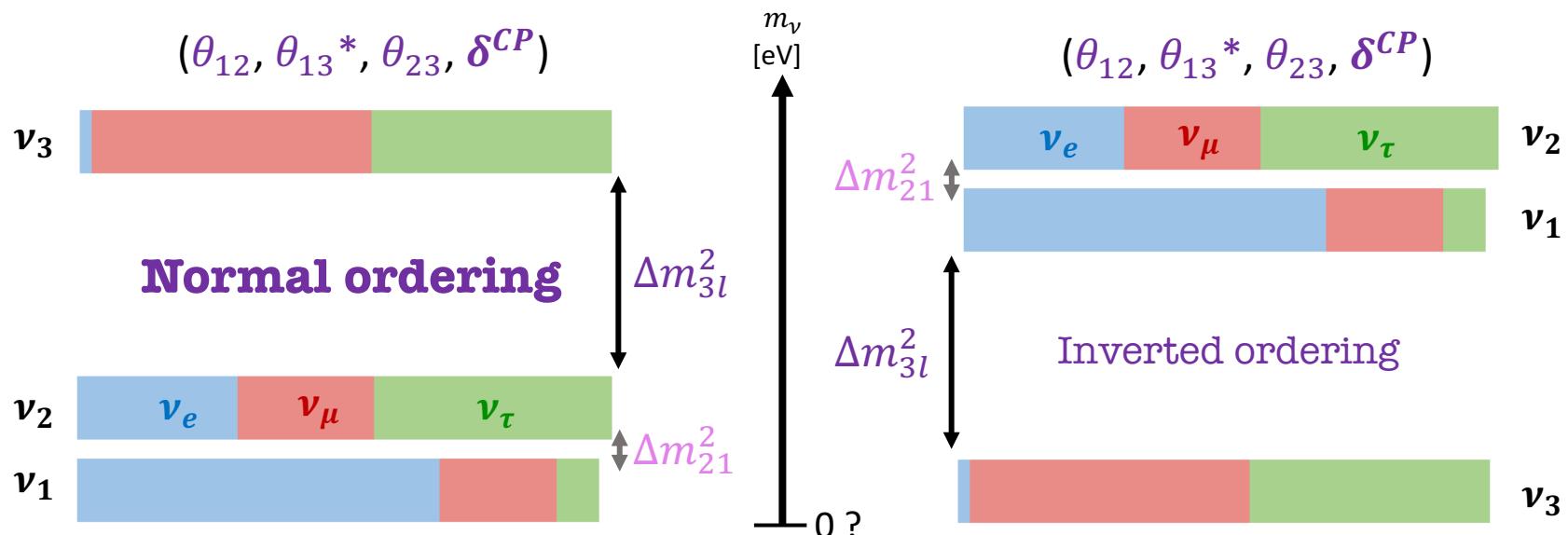
PROJECT8 at UW - Seattle

CMB (Planck satellite) : $\sum_{\nu=1}^3 m(\nu_i) < 120 - 660$ meV [Planck - PDG, 2018]

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coherent modelling of these oscillations -> PMNS framework (CKM-like for quarks)



[NuFit, 2018]

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coherent modelling of these oscillations -> PMNS framework (CKM-like for quarks)

why neutrino masses are so small?

1. **standard Higgs mechanism?**
 - successful for explaining electron, muon, quarks, ... masses
 - but for neutrinos: couplings to Higgs should be extremely small ($< 10^{-12}$)
2. **See-saw mechanism?**
 - requires neutrinos to be Majorana - Lepton Number is violated
 - new mass term in the Lagrangian explaining the smallness of masses
 - provides a mechanism for effective leptogenesis

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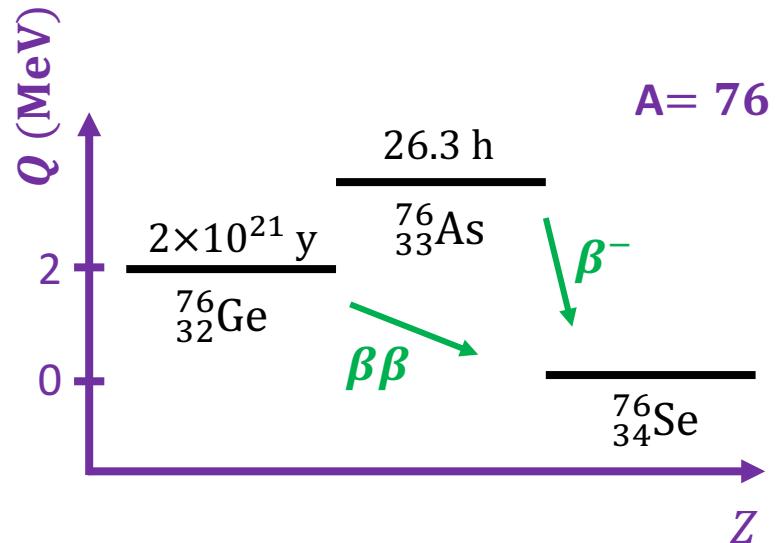
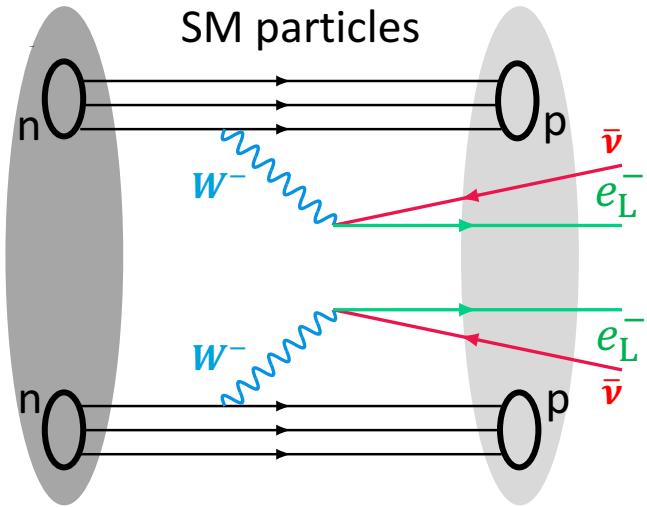
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HOW TO RELATE THIS TO ^{76}Ge ?

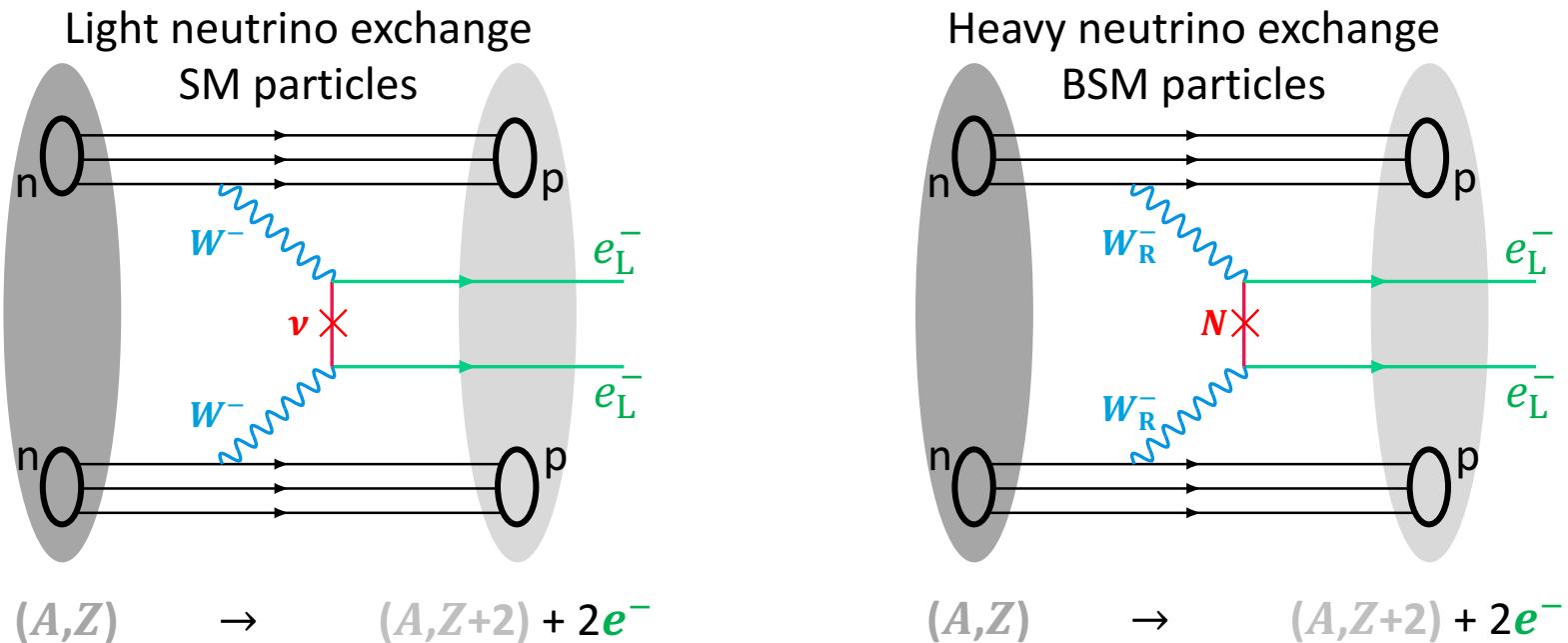
Two neutrinos double beta decay - $2\nu\beta\beta$



Such process:

- ✓ energetically favored in some isotopes (^{76}Ge , ^{82}Se , ^{130}Te , ^{136}Xe)
- ✓ is predicted by the SM
- ✓ is measured experimentally

Neutrinoless double beta decay - $0\nu\beta\beta$



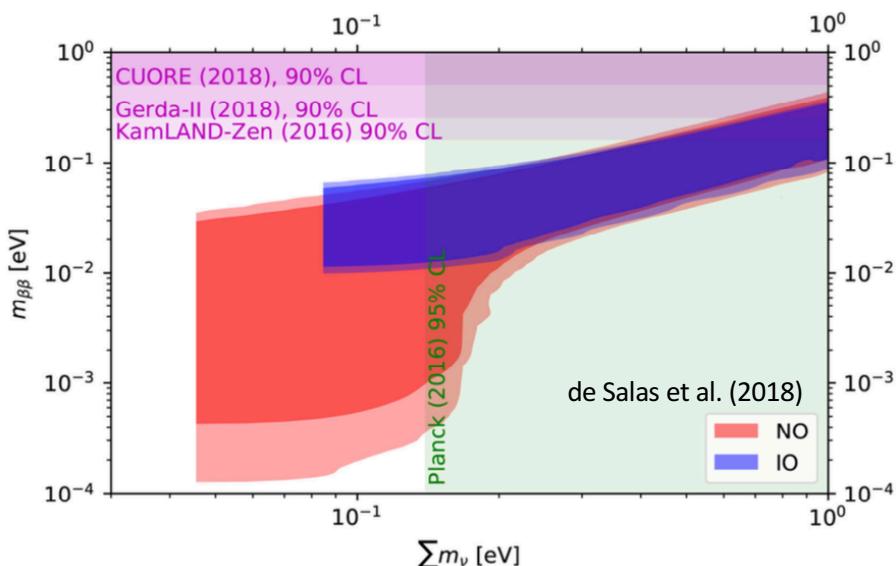
Such process:

- ✓ **violates the Lepton Number** by 2 units = New Physics!
- ✓ determines the nature of neutrinos: **Majorana particle** $\nu = \bar{\nu}$
- ✓ gives information on the ν mass via $m_{\beta\beta}$ (light neutrino exchange scenario)
- ✓ has never been observed so far

Experiments comparison

Light neutrino exchange model:

$$T_{1/2}^{0\nu} = g_A^4 G^{0\nu} |M^{0\nu}|^2 \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2}$$



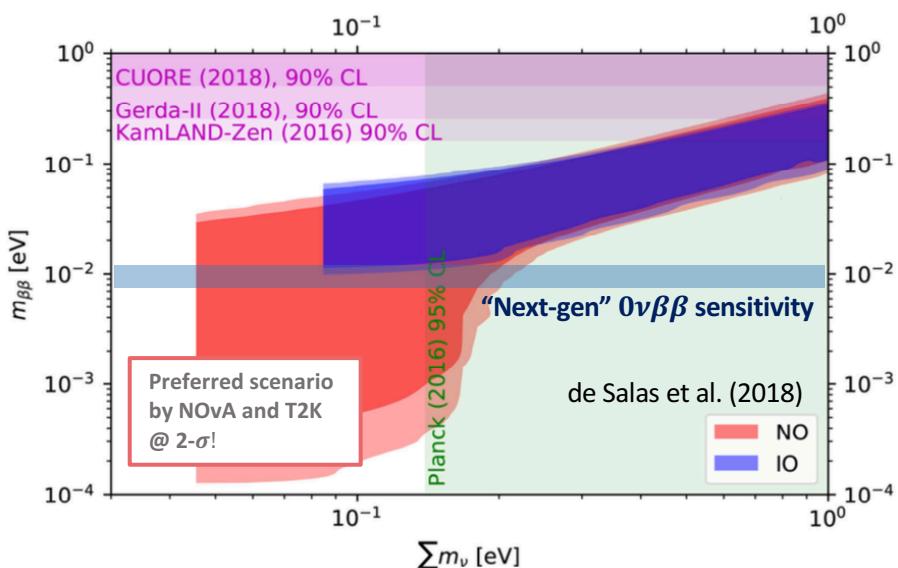
- $T_{1/2}^{0\nu}$ experimentally probed half-life
- g_A axial vector coupling cnst = 1.25(?)
- $M^{0\nu}$ nuclear matrix element (NME)
- $G^{0\nu}$ phase space factor
- m_e electron mass
- $m_{\beta\beta}$ coupling strength (function of lightest ν mass)
 $= \sum_{i=1}^3 m_i U_{ei}^2$ ($U = 3 \times 3$ PMNS matrix)

Challenges

Experiments comparison

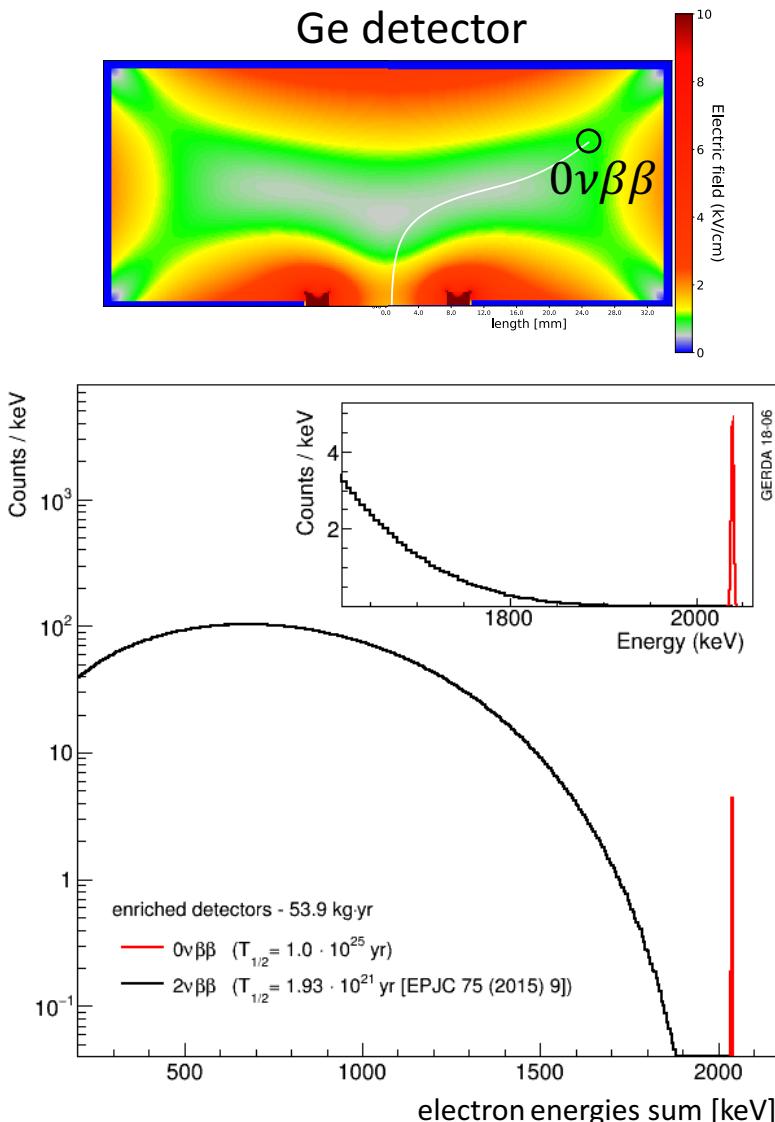
Light neutrino exchange model:

$$T_{1/2}^{0\nu} = g_A^4 G^{0\nu} |M^{0\nu}|^2 \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2}$$



Isotope	$G^{0\nu}$ [$\times 10^{-15}$ yr]	$M^{0\nu}$	Sensitivity to reach $m_{\beta\beta} = 0.01$ eV	
			$T_{1/2}^{0\nu}$ [$\times 10^{28}$ yr]	g_A
⁷⁶ Ge	2.3	[3 – 6]	2.3	1.25
⁸² Se	10	[2.5 – 5.5]	1.2	1.25
¹³⁰ Te	14	[1.5 – 5.5]	0.5	1.25
¹³⁶ Xe	15	[1.5 – 4.5]	0.6	1.25
		Stoica & Mirea (2013)	Engels & Menéndez (2017)	$g_A = 1.25$

^{76}Ge based $0\nu\beta\beta$ decay experiment



- $Q_{\beta\beta} = 2039 \text{ keV}$
relatively low value as compared to other isotopes
- **Calorimetry**
- **High detection efficiency**
 - 2β decay source = detector
- **Excellent energy resolution**
 - 3 keV FWHM @ $Q_{\beta\beta}$ (0.15%)
- **Enrichment up to 88% in ^{76}Ge**
 - current mass scale: 30 - 40 kg
- **“Background-free experiment” :**
 - $\text{Nbkg} < 1$ expected at full exposure ($\sim 100 \text{ kg}\cdot\text{yr}$)
$$\sigma T_{1/2}^{0\nu} \propto M \cdot t$$
- **Motivating larger mass ^{76}Ge based experiment for the future**

Current and planned experiments

running
30 kg
 $T_{1/2}^{0\nu} > 10^{26}$ yr



mid-term
200 kg
 $T_{1/2}^{0\nu} > 10^{27}$ yr



long-term
1 ton
 $T_{1/2}^{0\nu} > 10^{28}$ yr





MAJORANA DEMONSTRATOR

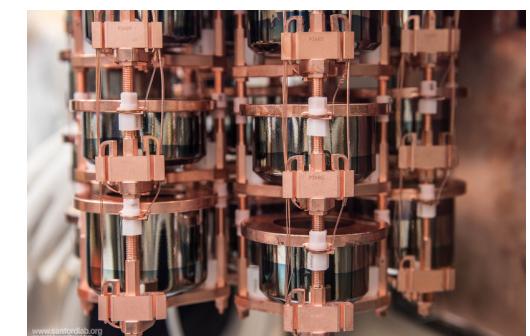
Courtesy: Vincente Guiseppe

Searching for neutrinoless double-beta decay of ^{76}Ge in HPGe detectors and additional physics beyond the standard model

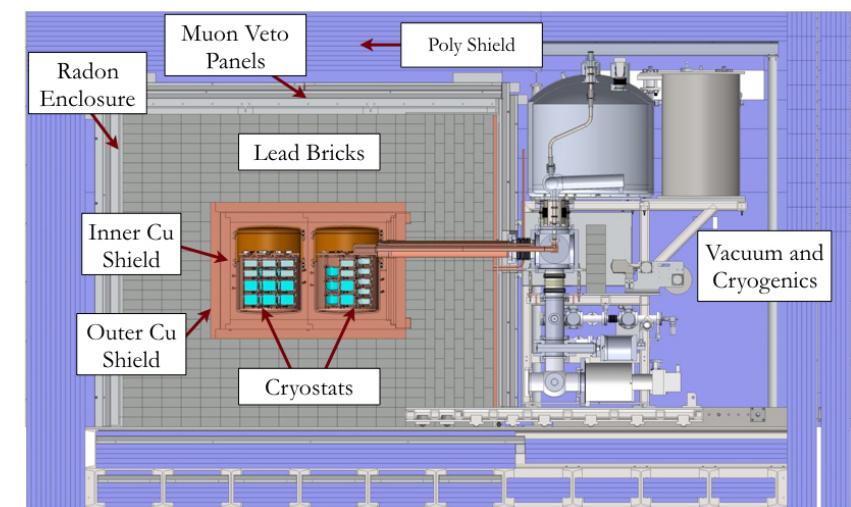
Source & Detector: Array of p-type, point contact detectors
29.7 kg of 88% enriched ^{76}Ge crystals

Excellent Energy resolution: 2.5 keV FWHM @ 2039 keV

Low Background: 2 modules within a compact graded shield and active muon veto using ultra-clean materials



Operating underground at the 4850' level of the Sanford Underground Research Facility





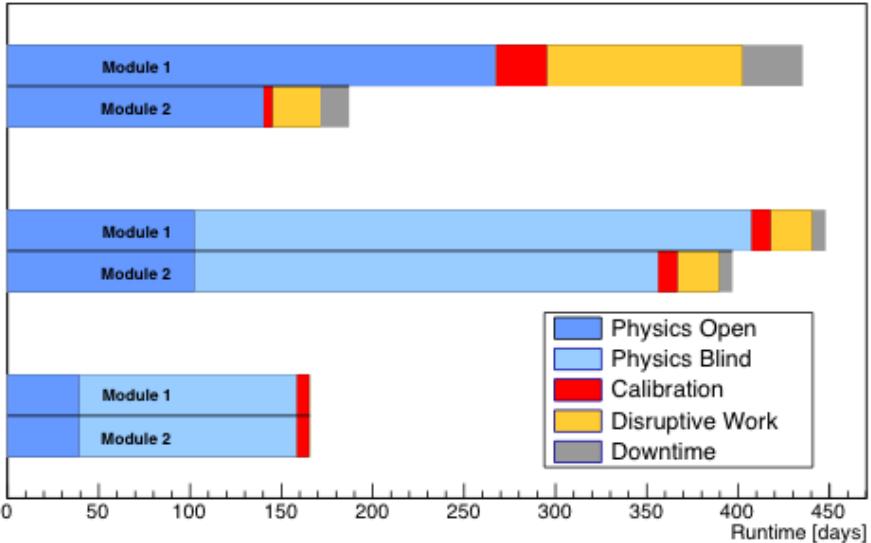
Runtime and Exposure

Courtesy: Vincente Guiseppe

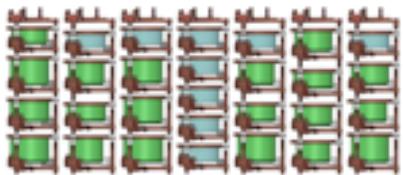
Open data: Jun. 2015 - Mar. 2017
9.95 kg-yr

All blind data: Jan. 2016 - Apr. 2018
New Open Data: Mar. 2017 - Apr. 2018
+16.1 kg-yr

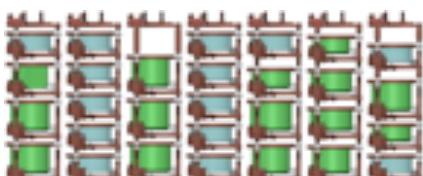
April 2018 - Present*



Jun. 2015 - Module 1: 16.9 kg (20) ^{enr}Ge
5.6 kg (9) ^{nat}Ge



Aug. 2016 - Module 2: 12.9 kg (15) ^{enr}Ge
8.8 kg (14) ^{nat}Ge



2017 Release

9.95 kg-yr open data

PRL 120 132502 (2018)

2018 Release

26 kg-yr open+blind

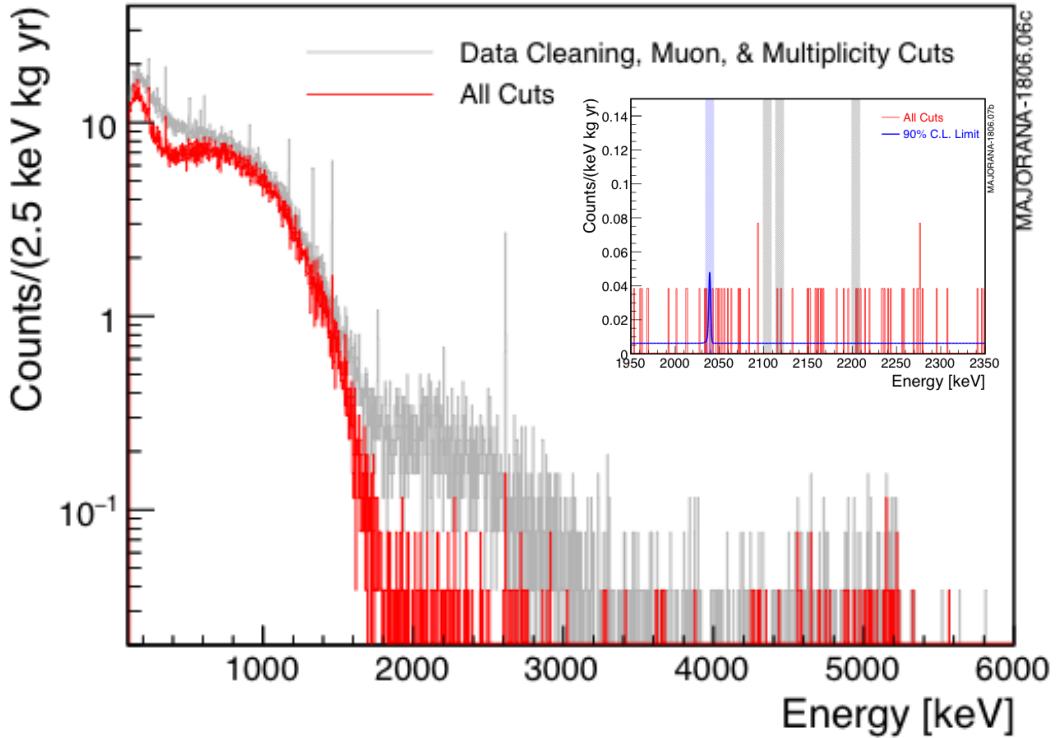
Neutrino 2018
DOI:10.5281/zenodo.1286900

*runtime as of Oct. 1, 2018, still collecting data



2018 $0\nu\beta\beta$ Result

Courtesy: Vincente Guiseppe



26 kg-yr active ^{76}Ge exposure

The low backgrounds, low threshold, high resolution spectra allows beyond the standard model searches:

Bosonic Dark Matter, Solar Axions, etc.
[Phys. Rev. Lett. **118** 161801 (2017)]

Lightly ionizing particles [Phys. Rev. Lett. **120** 211804 (2018)]
Tri-Nucleon Decay [arXiv:1812.01090]

Initial Release:

PRL 120 132502 (2018)

Latest Release:

First unblinding of data
26 kg-yr of exposure

Neutrino 2018

DOI:10.5281/zenodo.1286900

Median half-life sensitivity:

$$4.8 \times 10^{25} \text{ yr}$$

Full Exposure Limit:

$$T_{1/2}^{0\nu} > 2.7 \times 10^{25} \text{ yr (90\% CL)}$$

Background index at 2039 keV in the lowest background configuration:

$$11.9 \pm 2.0 \text{ cts}/(\text{FWHM t yr})$$

$$21.3 \text{ kg-yr active } ^{76}\text{Ge} \text{ exposure}$$



Background Model Development

Courtesy: Vincente Guiseppe

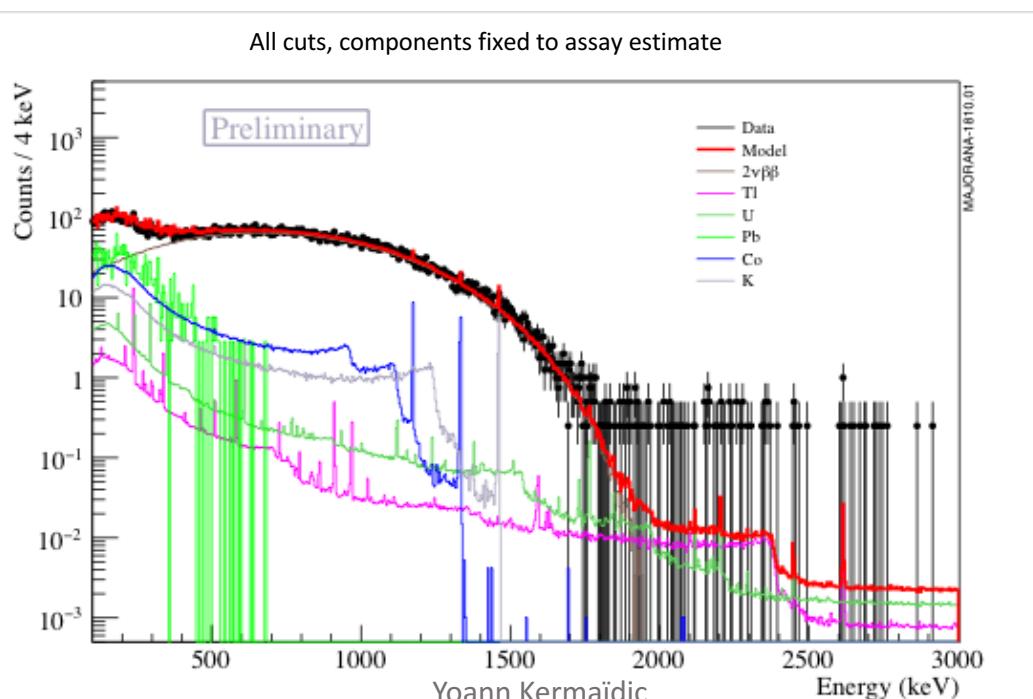
Observed background of 11.9 ± 2.0 c/(FWHM t y) based on the 1950-2350 keV window

Currently reviewing available assay information and updating the assay-based model with as-built simulations, detector configurations, and updated physics lists

Complete background model fits under development

Initial spectral fits suggest that the dominant source of background above assay estimates is not from nearby components

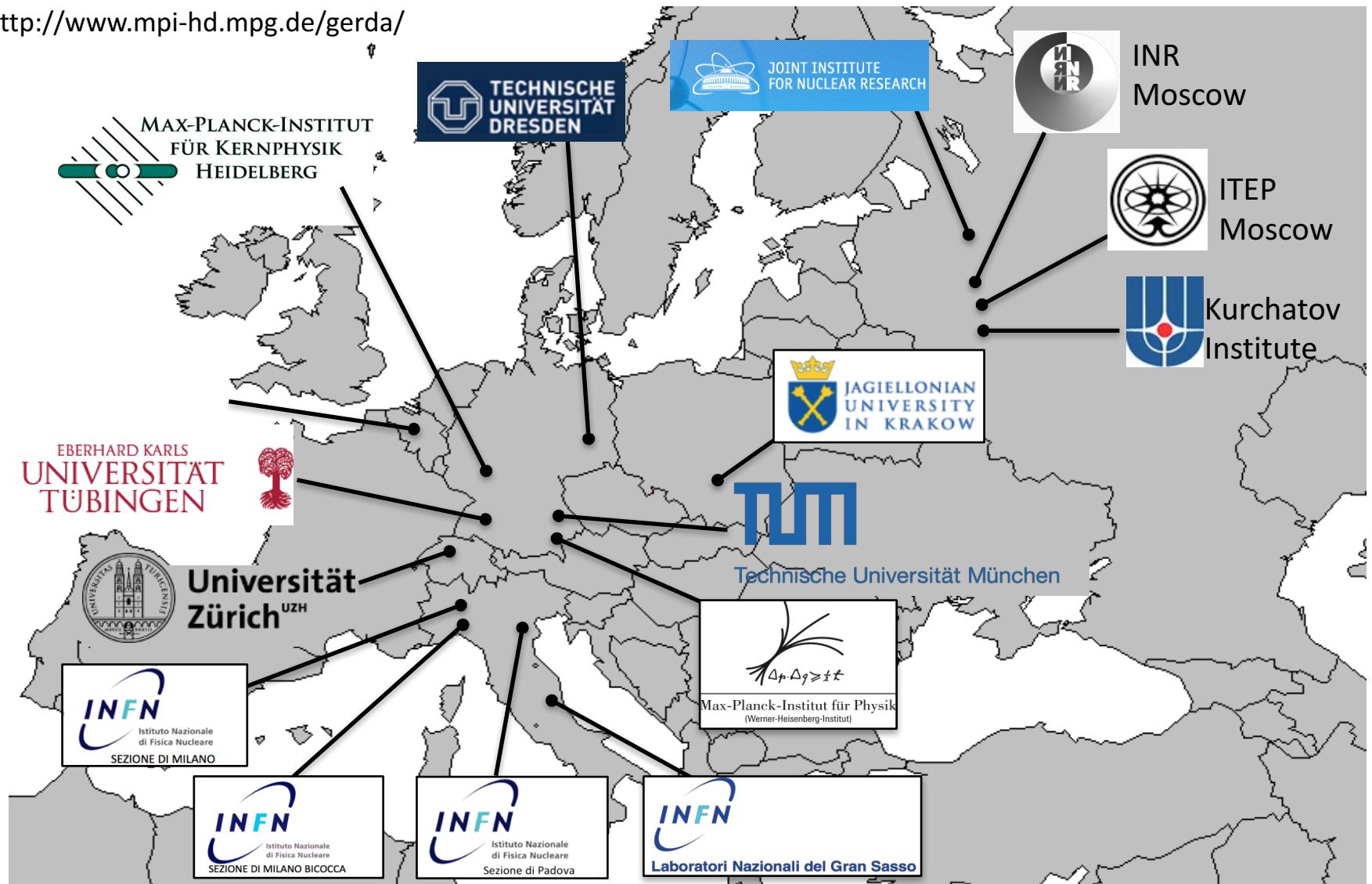
Developing a plan to implement a change in cables/connectors, components, and detector configuration to increase ultimate exposure and study backgrounds





GERDA collaboration

<http://www.mpi-hd.mpg.de/gerda/>

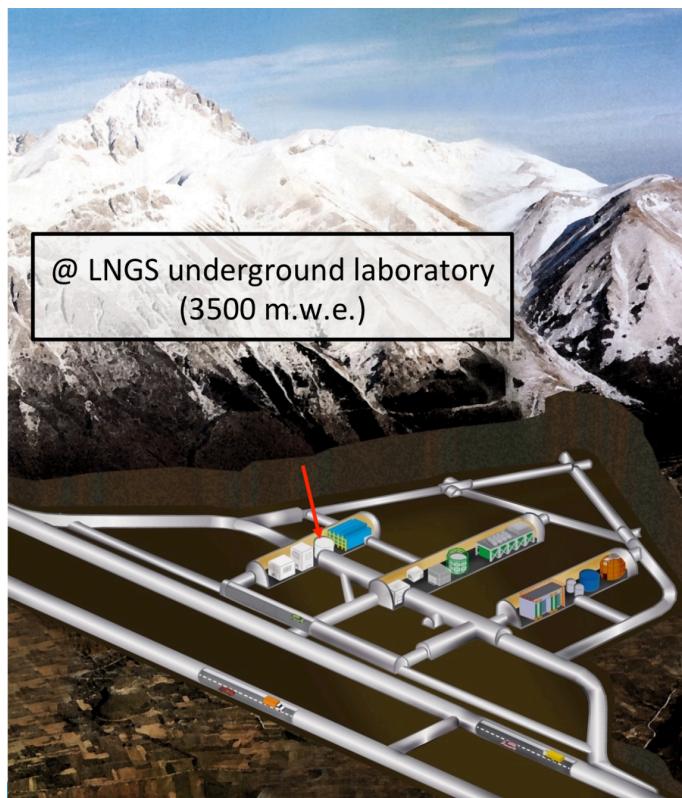


GERDA location @ LNGS

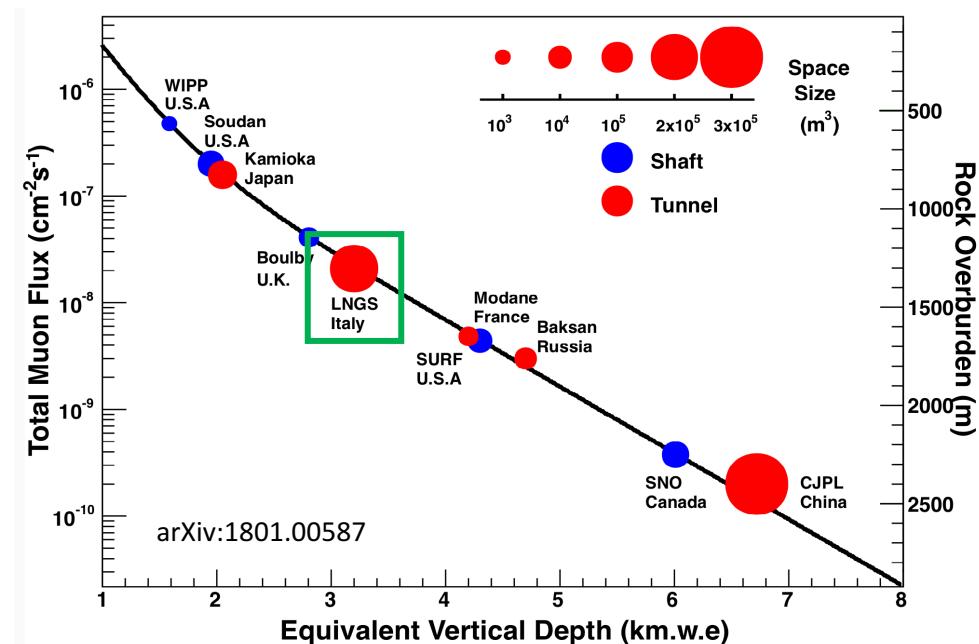
- Cosmic ray background mitigation

Deep underground lab

➤ Muon flux suppression



Large space available at LNGS
+ convenient access via highway

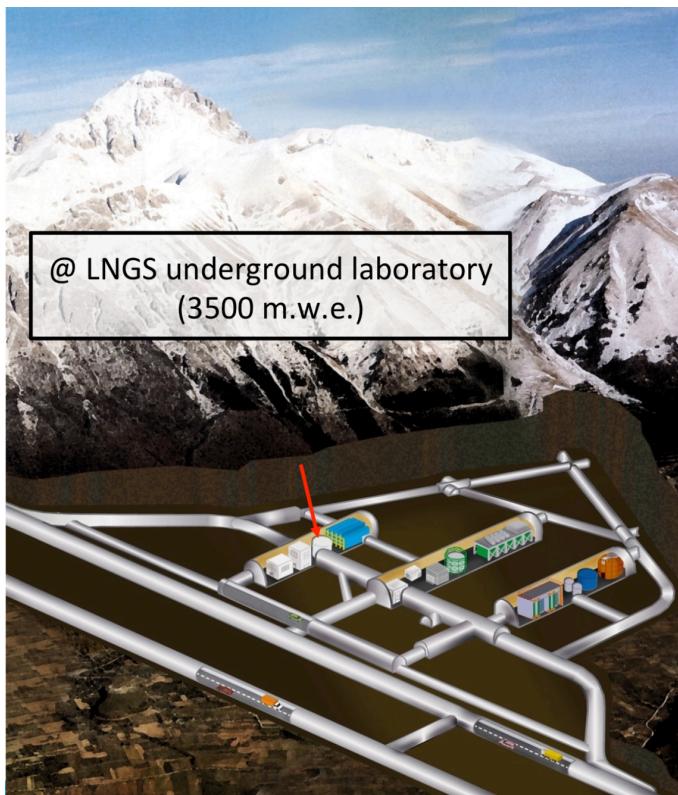


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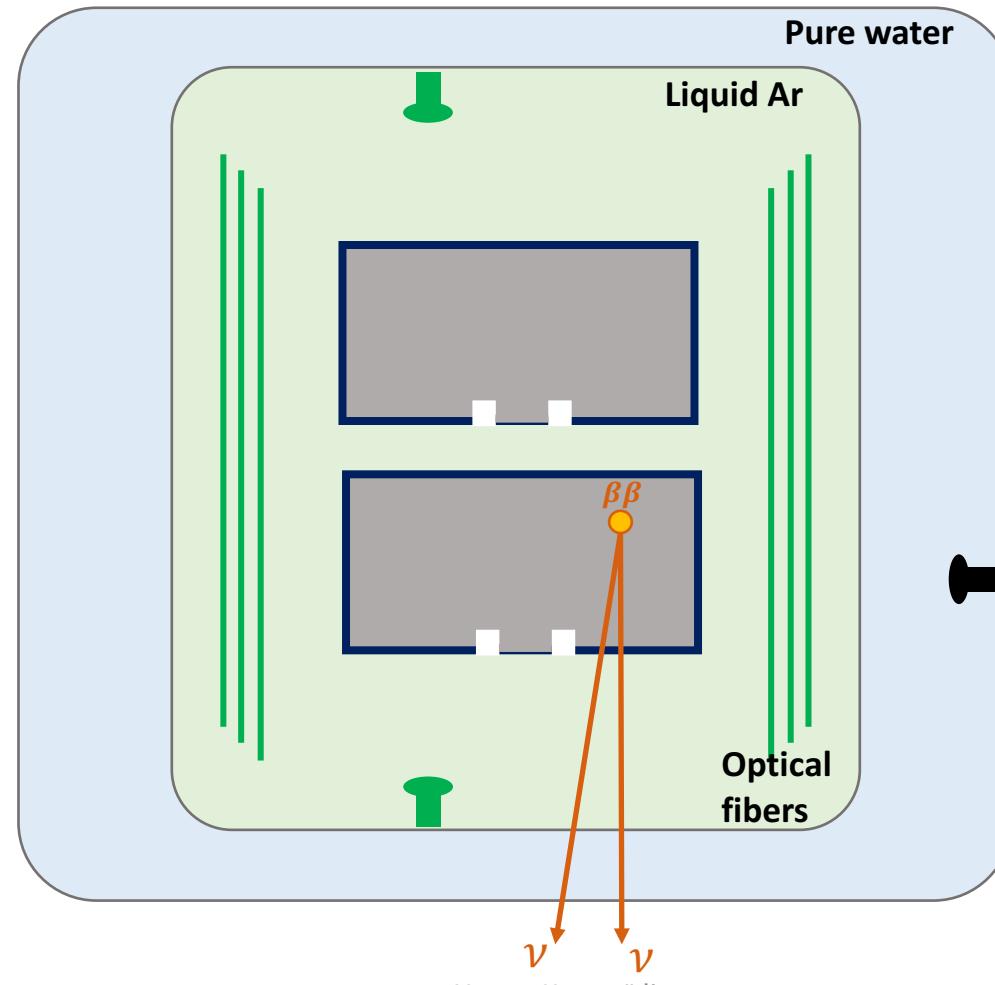
Pure water tank equipped with PMTs

- Muon and neutron induced mitigation



Toward the background-free regime

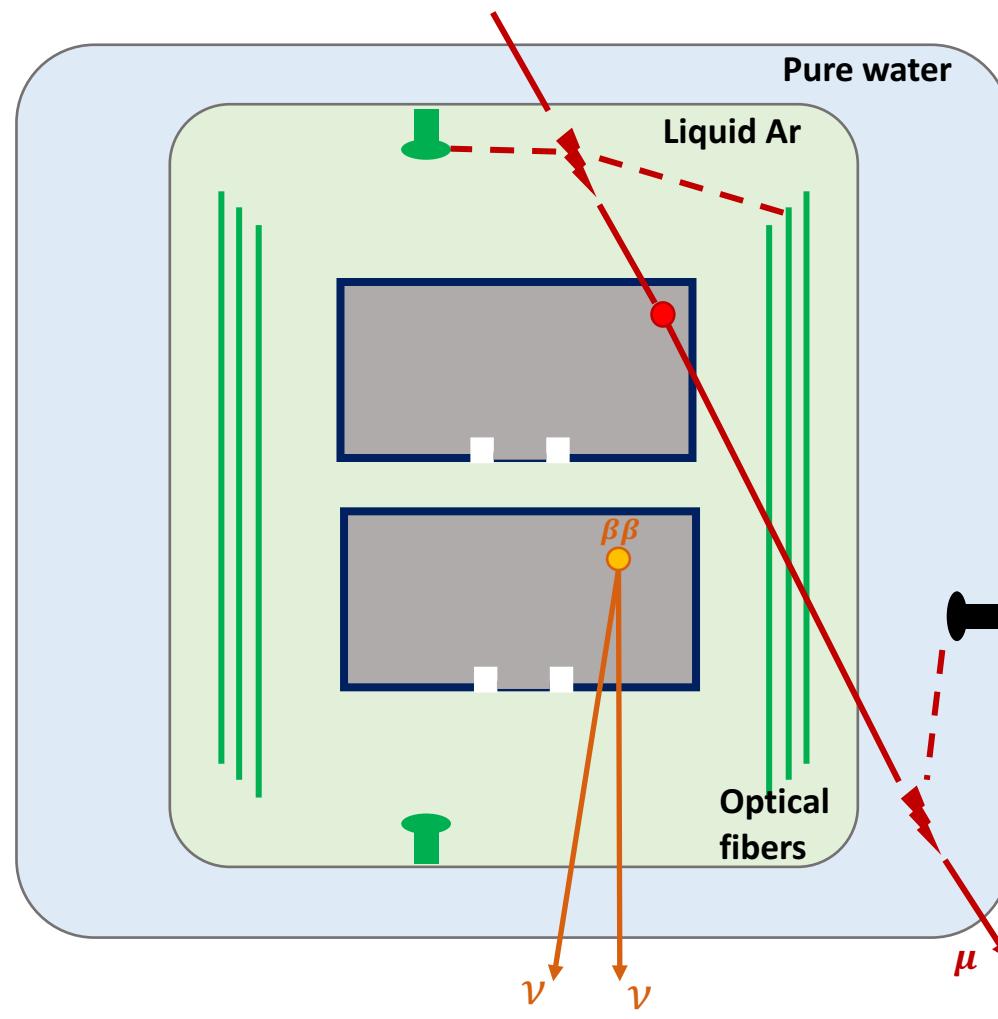
- signal signature



Toward the background-free regime

- background mitigation

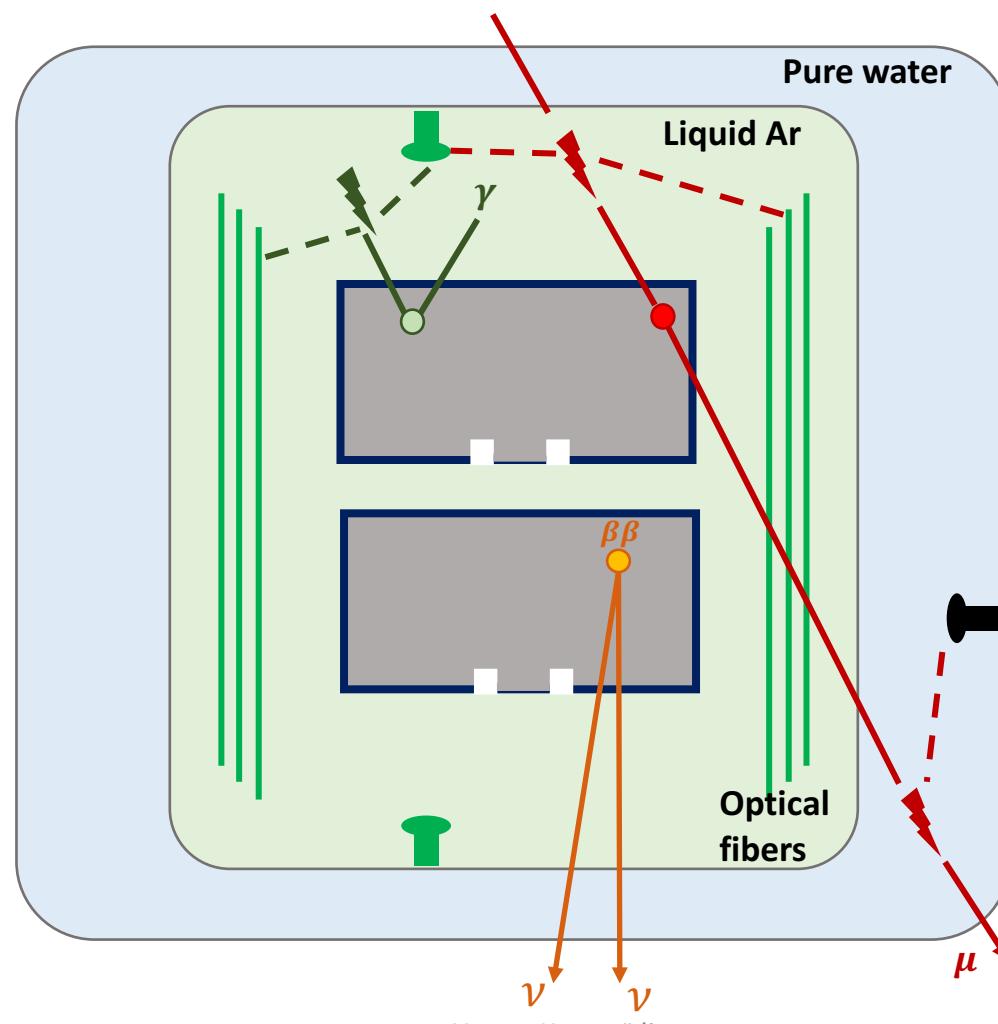
$\beta\beta$ decay signal:
single energy
deposition in
a 1 mm^3 volume



Muon veto based on
Cherenkov light and
plastic scintillator

Toward the background-free regime

- background mitigation



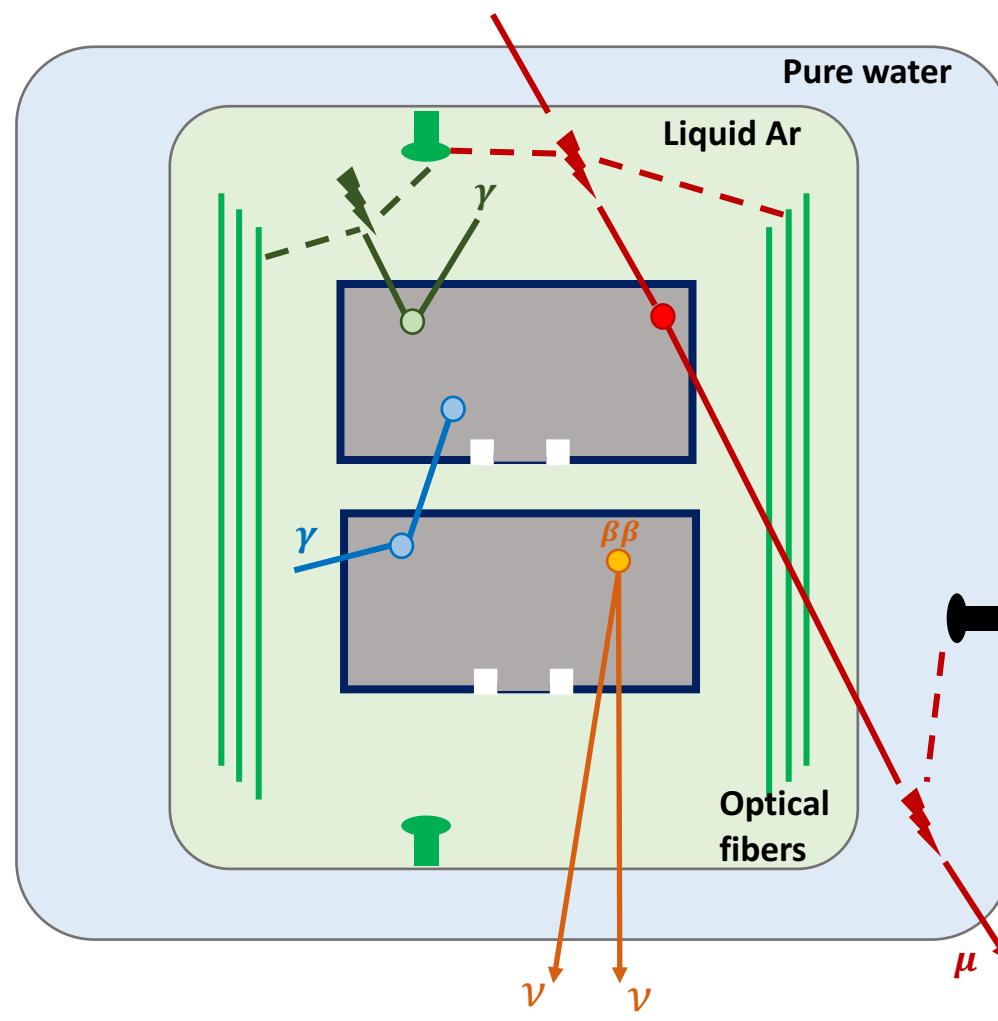
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LAr veto based on Ar
scintillation light read
by fibers and PMT

Muon veto based on
Cherenkov light and
plastic scintillator

Toward the background-free regime

- background mitigation



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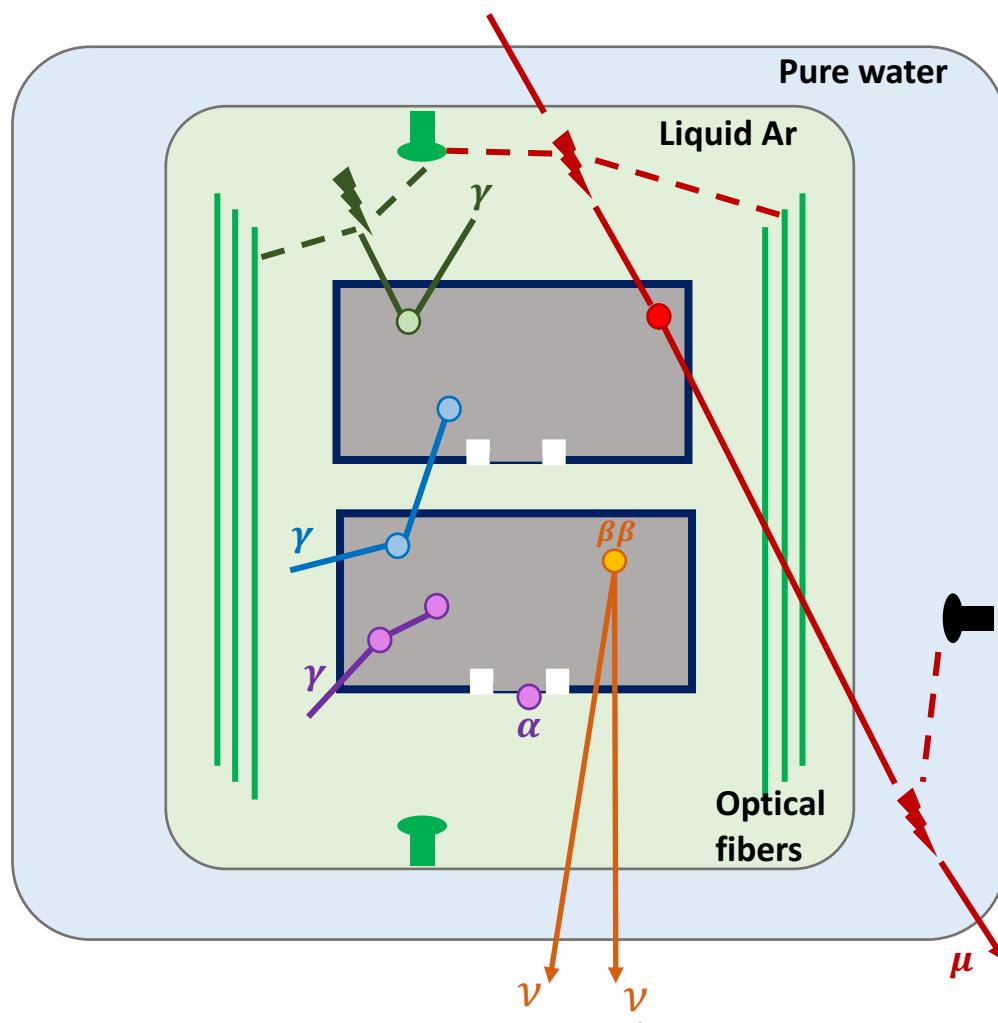
Ge detector
anti-coincidence

LAr veto based on Ar
scintillation light read
by fibers and PMT

Muon veto based on
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Toward the background-free regime

- background mitigation



Pulse shape
discrimination (PSD)
for multi-site and
surface α events

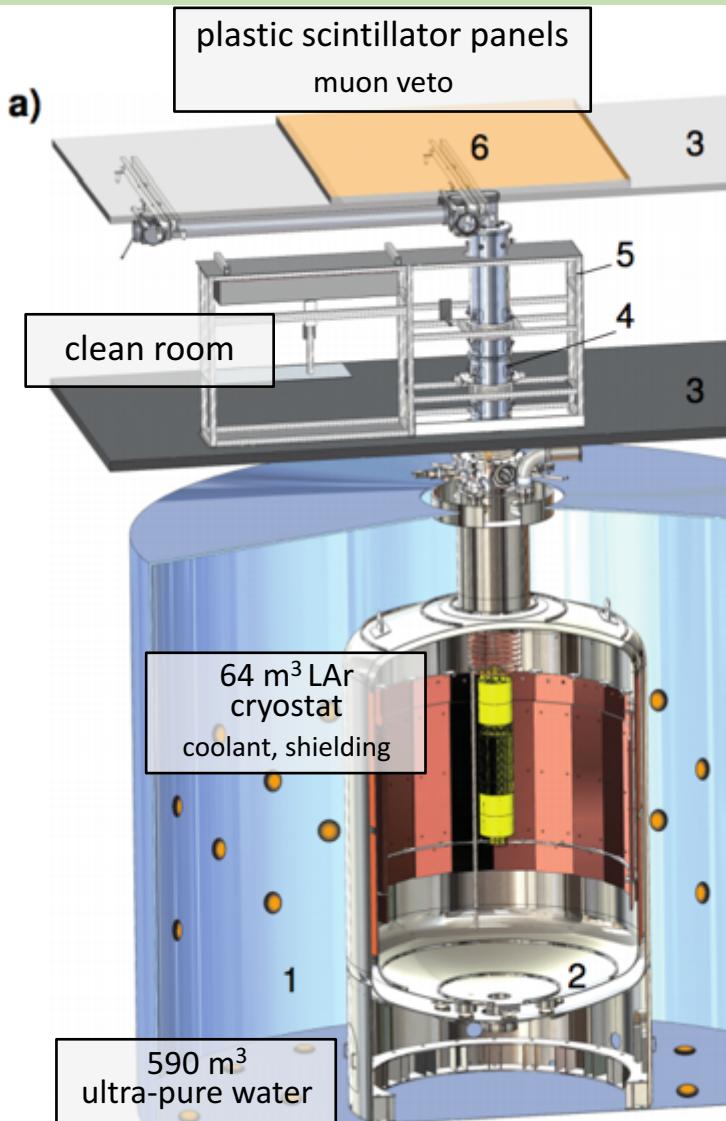
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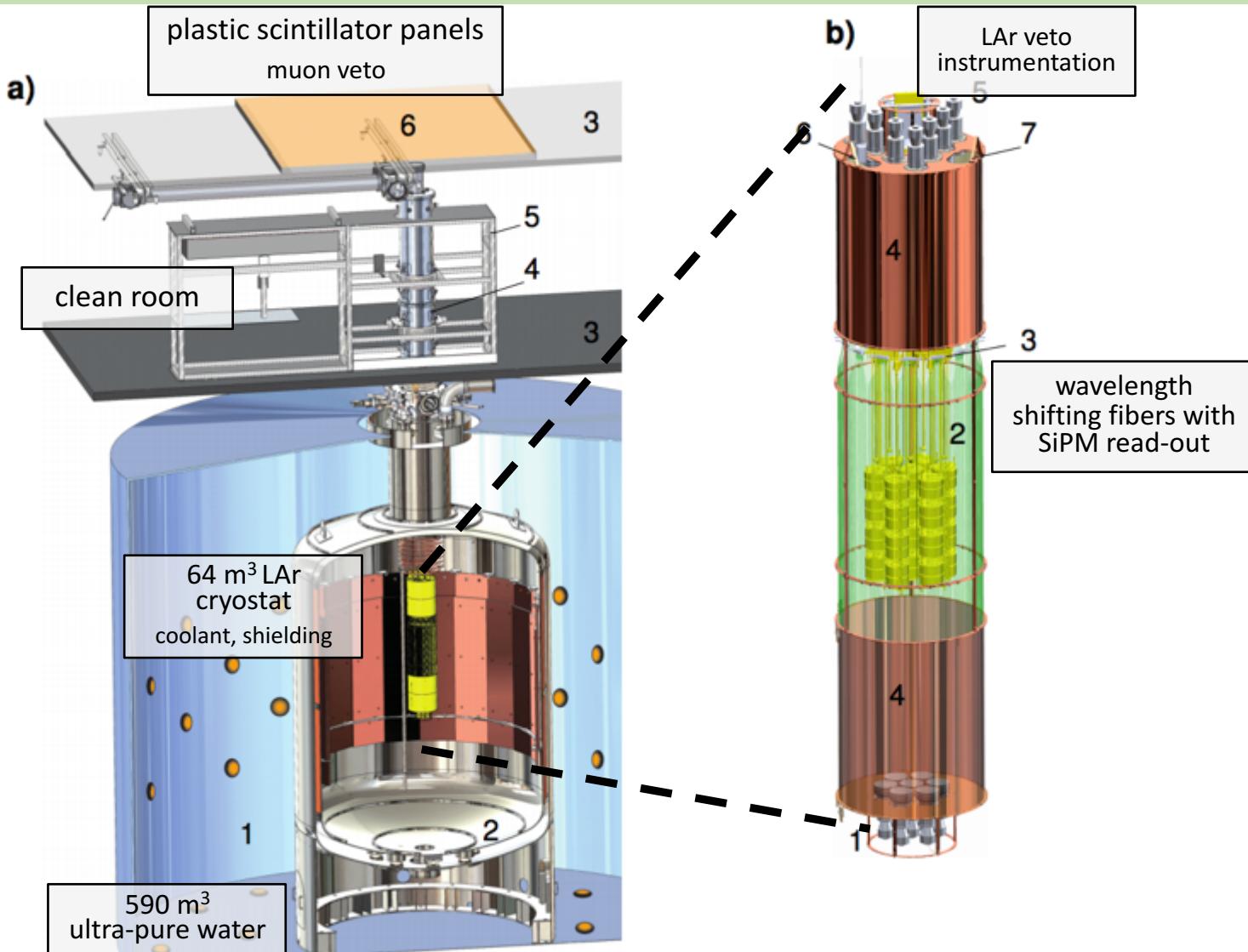
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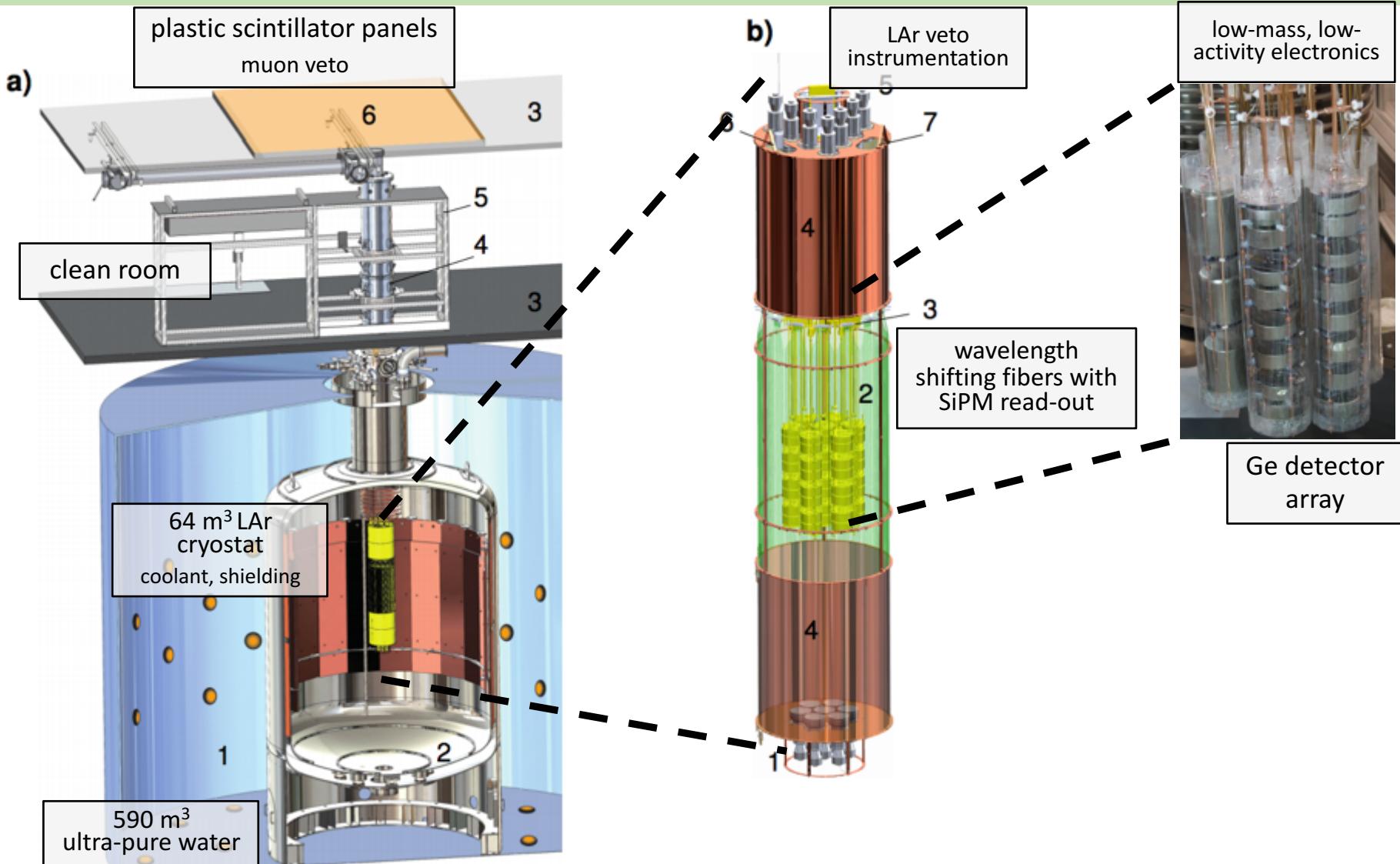
GERDA Phase II: From concept to design



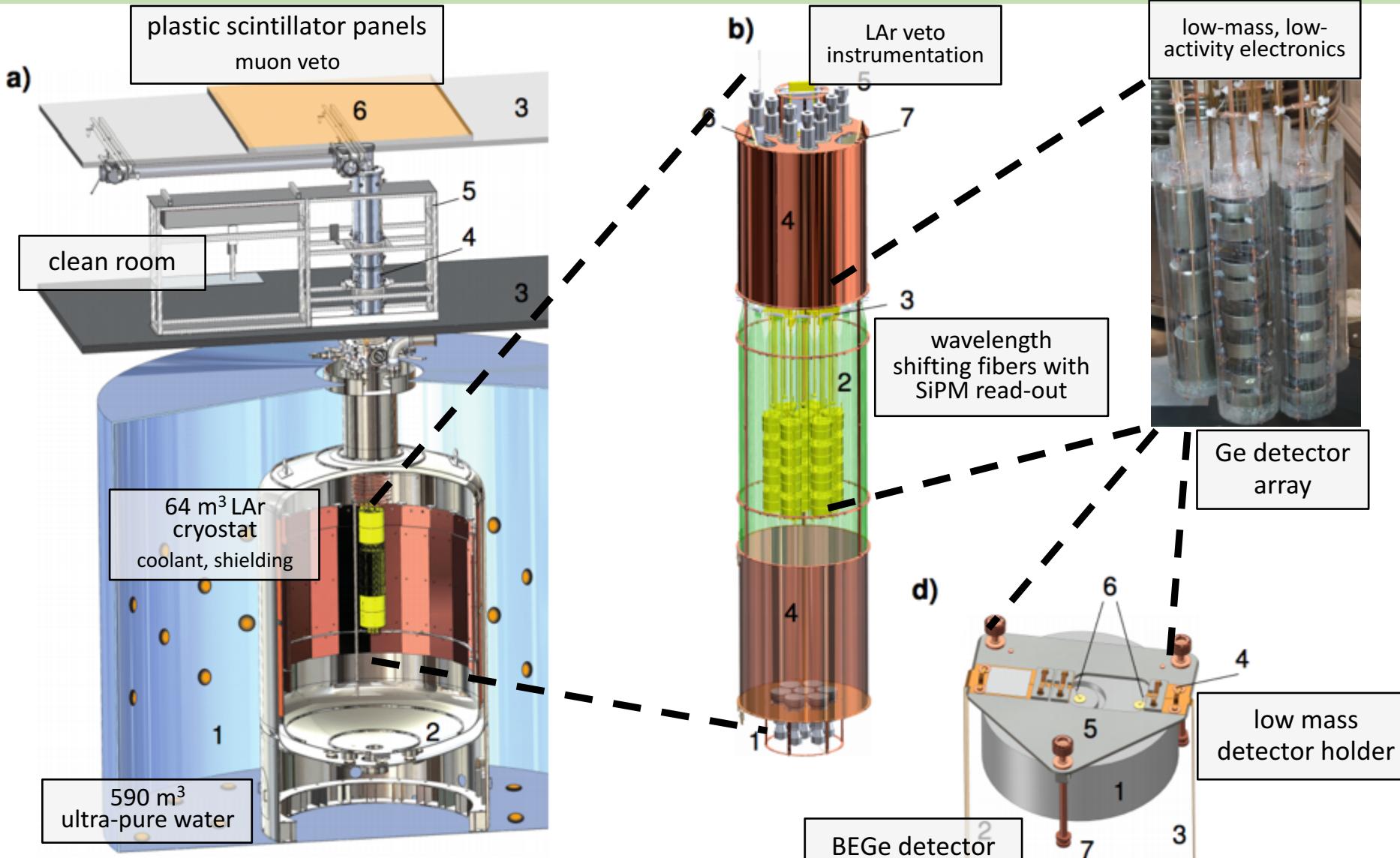
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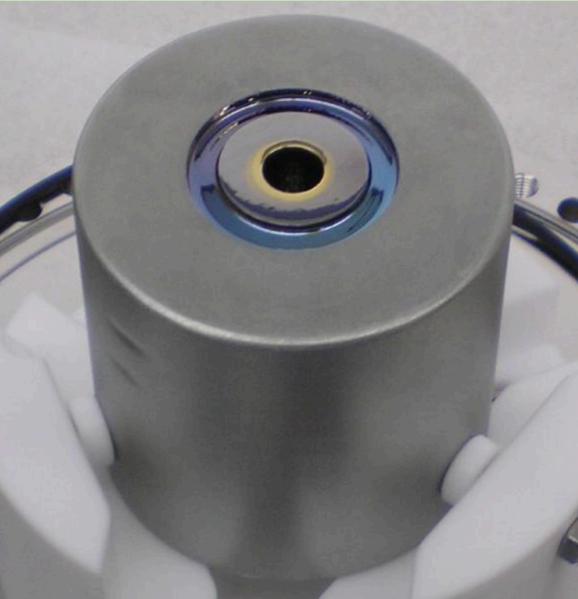
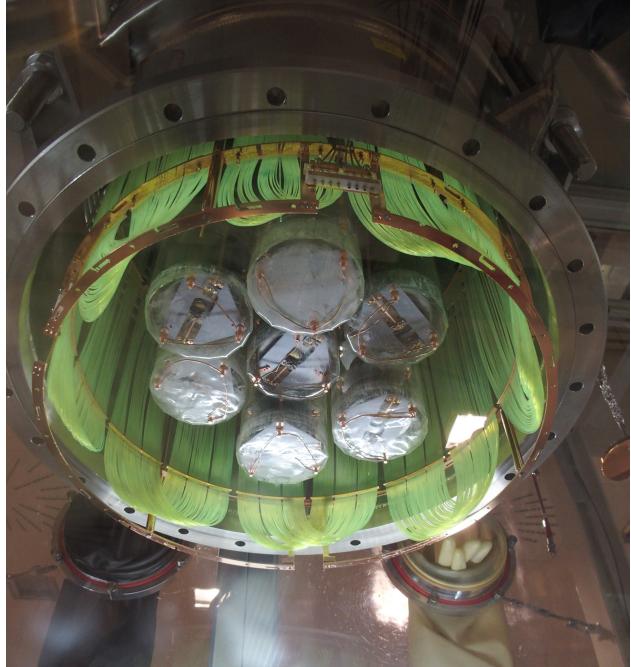
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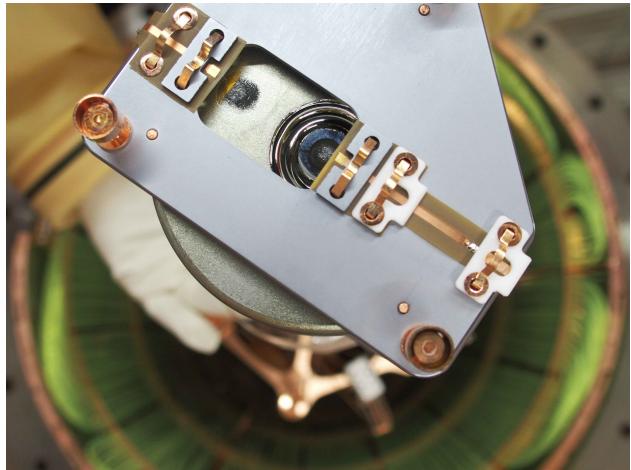
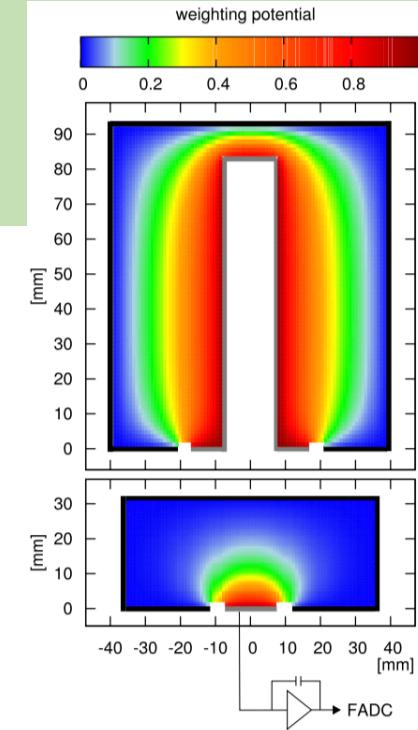
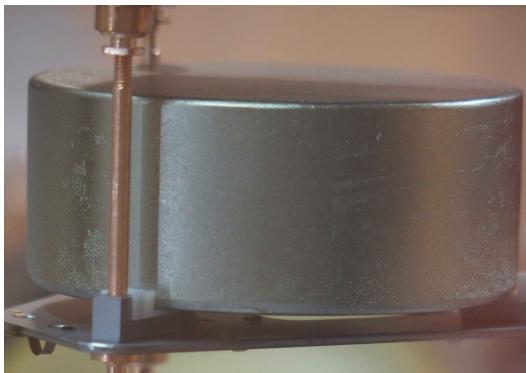


Ge detectors phase II



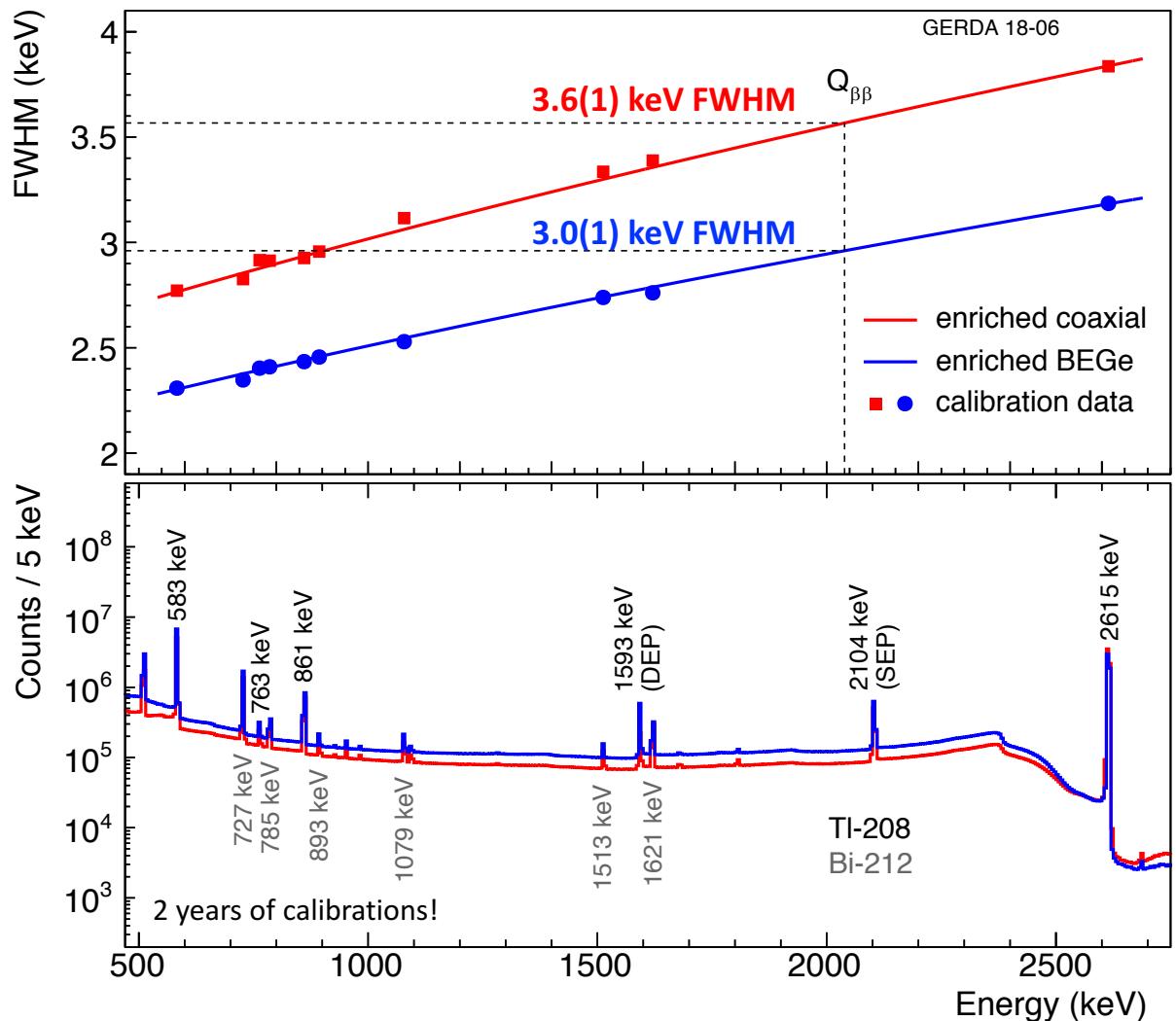
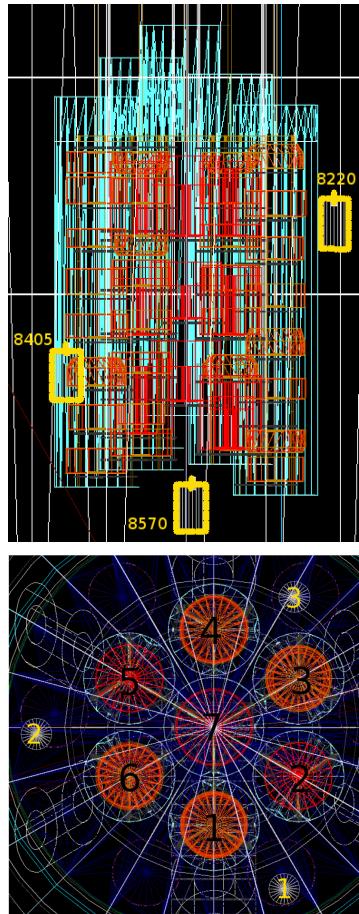
7 strings with 40 detectors:

- **3 natural semi-coaxial (7.6 kg)**
- **7 enriched semi-coaxial (15.6 kg)**
 - Large contact = large capacitance
 - Signal from all charge carriers
- **30 enriched BEGe (20.0 kg)**
 - Point-contact = small capacitance
 - Signal from holes only



Energy calibration

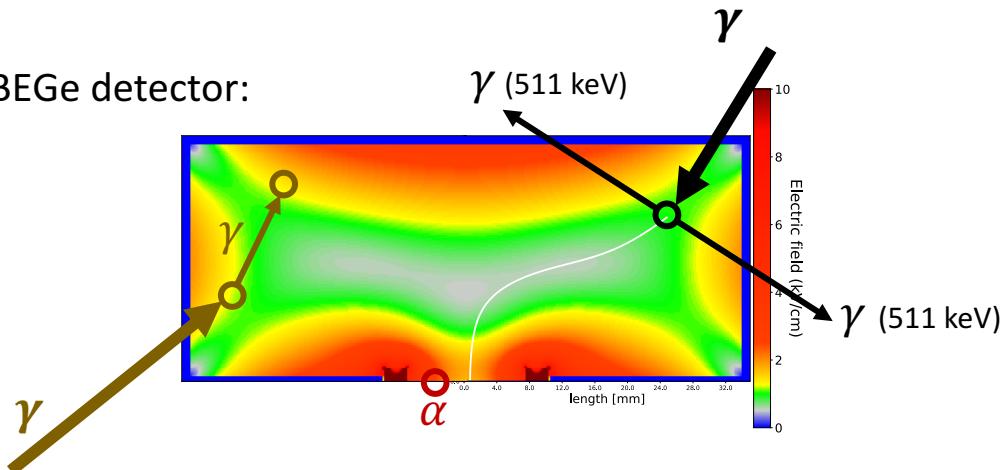
3 weak ^{228}Th sources
lowered every \sim week



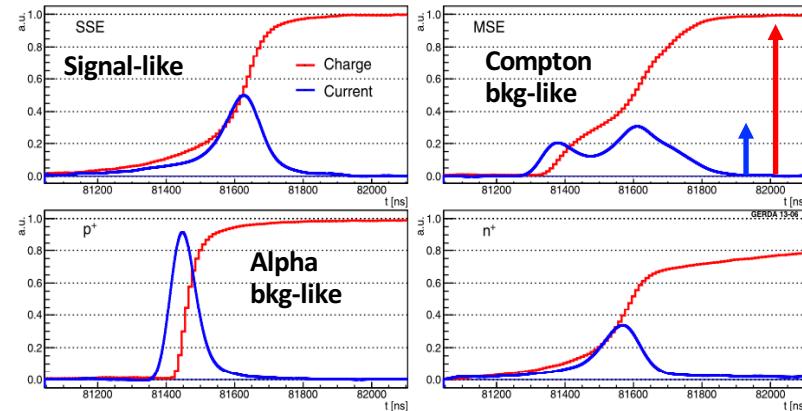
Pulse shape discrimination calibration

- **^{208}TI DEP** (1592 keV) used as a proxy for **Single-Site Events** (SSE)
- **Multi-Site Events** (MSE) cut set such that 90% of ^{208}TI DEP events survive
- **Alphas** cut due to specific signal time profile

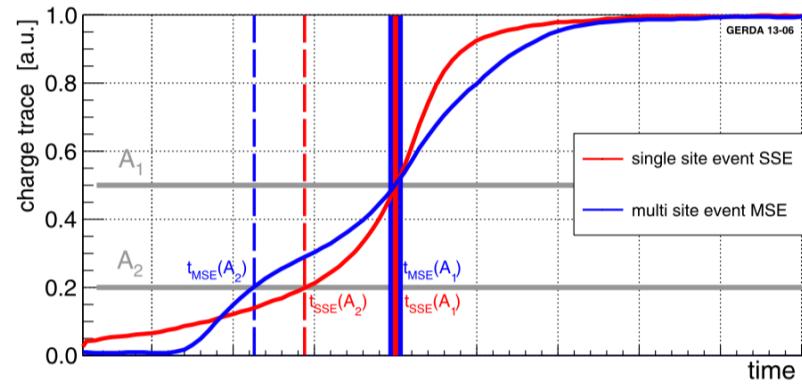
BEGe detector:



- **BEGe** cut parameter: **A/E**



- **Coax** cut parameter:
Artificial Neural network

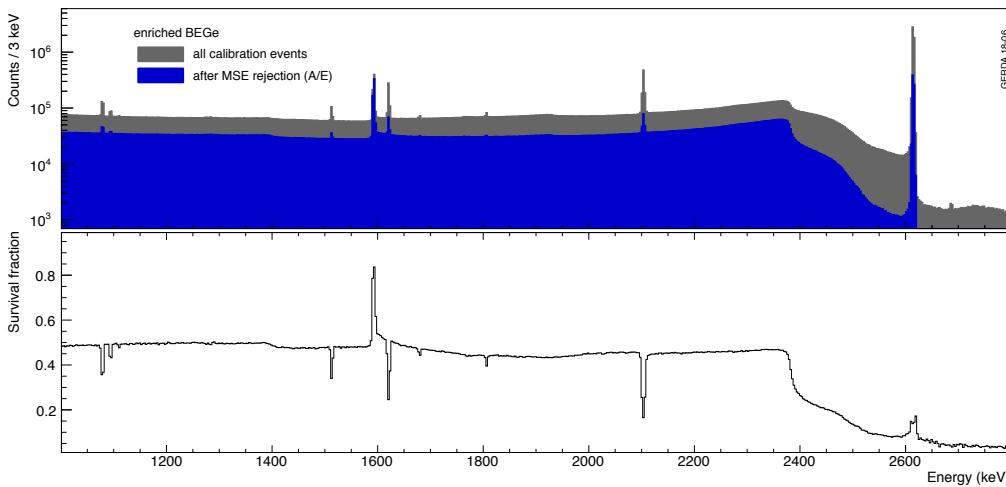


Pulse shape discrimination calibration

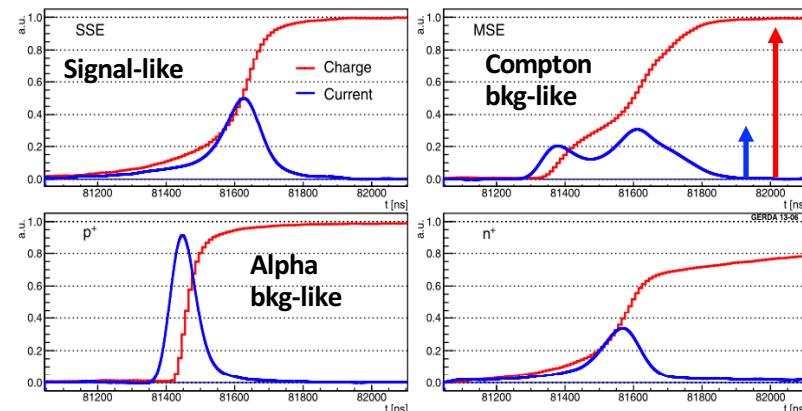
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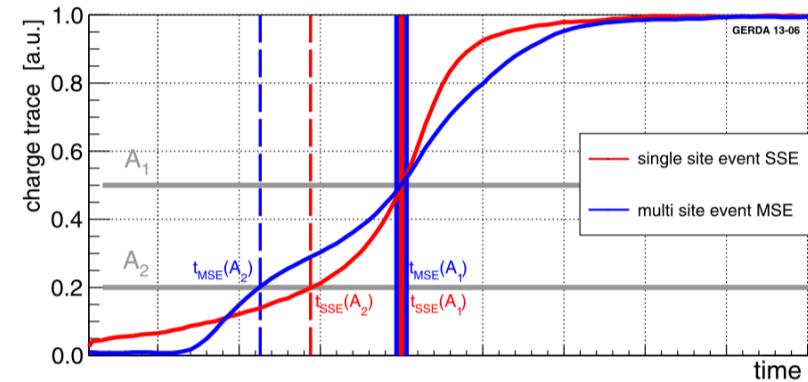
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- **BEGe** cut parameter: **A/E**

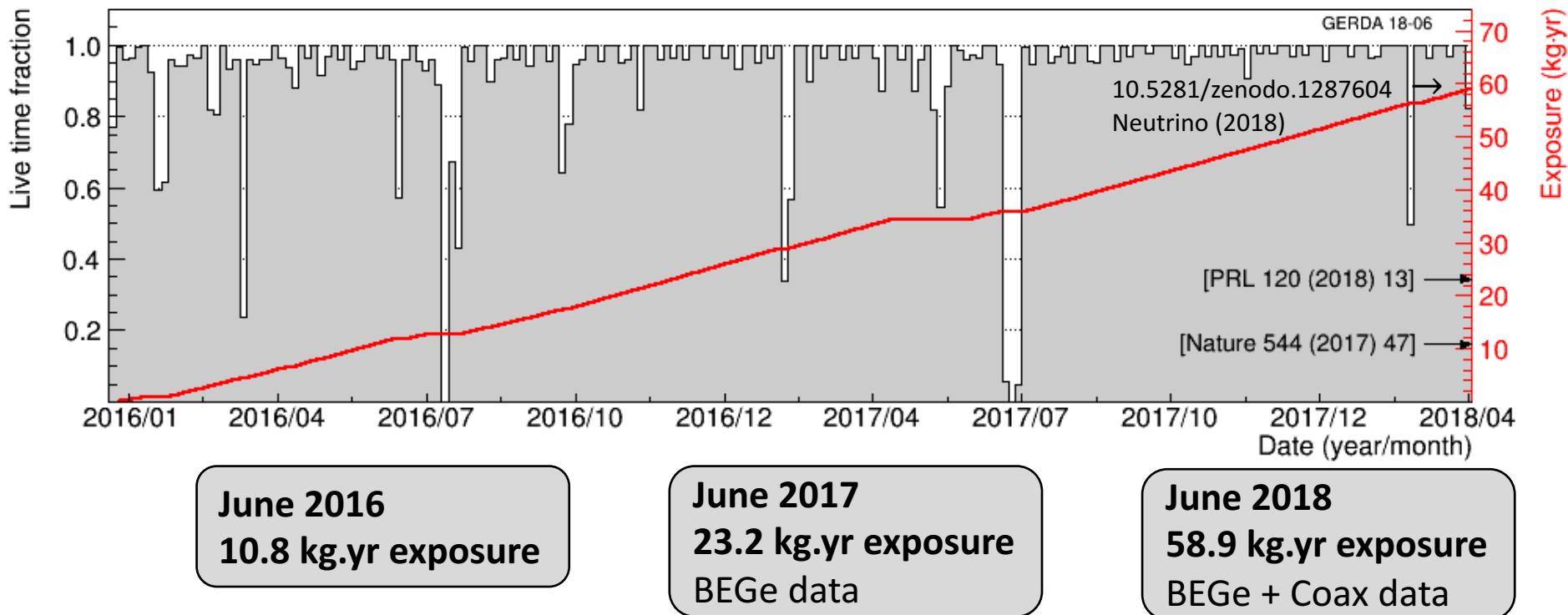


- **Coax** cut parameter:
Artificial Neural network



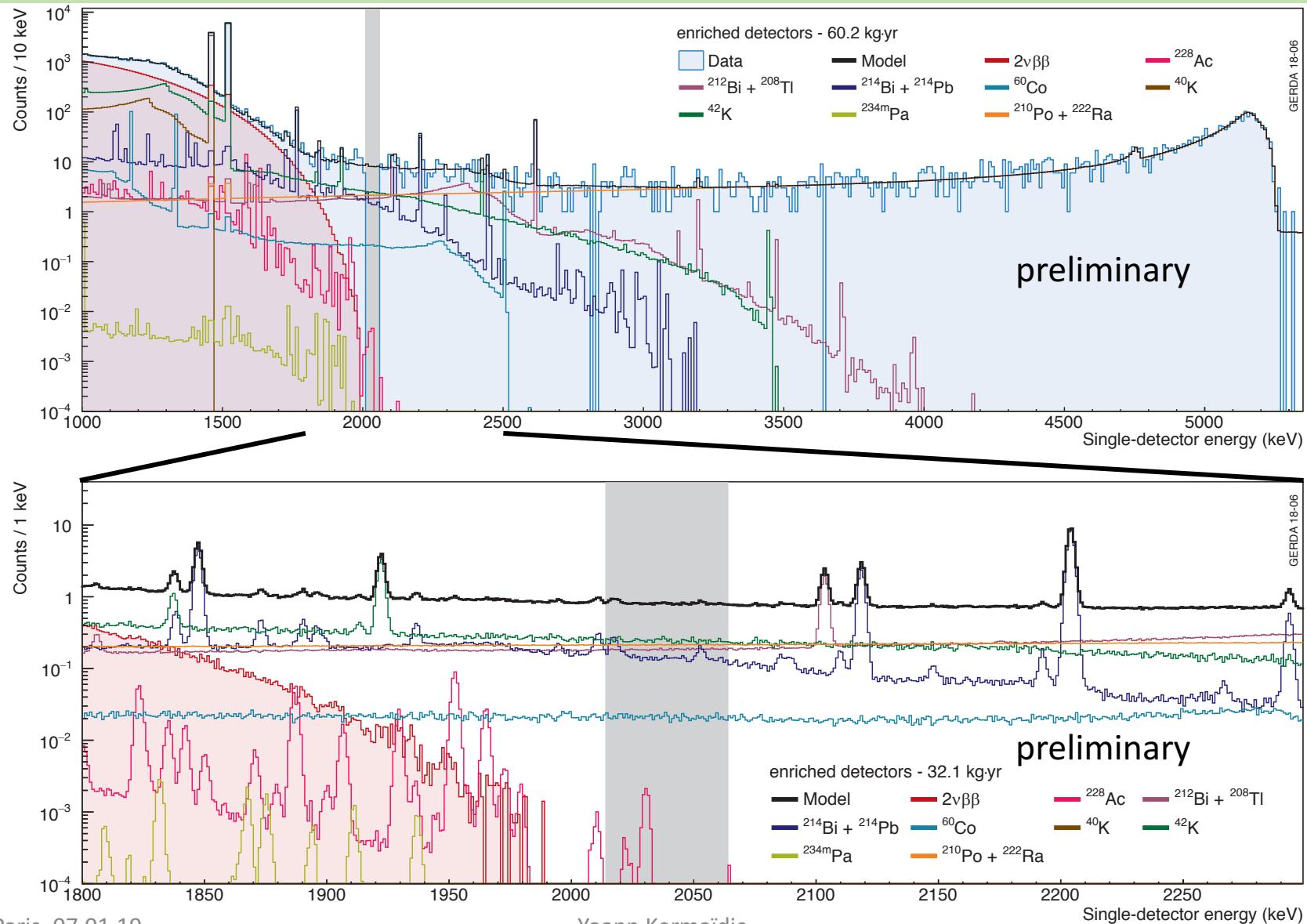
Phase II data taking overview

- Phase II started in Dec. 2015
- Online data blinding: store events at $Q_{\beta\beta} \pm 25$ keV in non-public repository
- Unblinding session ~once per year



- Very stable operation especially during the last 9 months of phase II

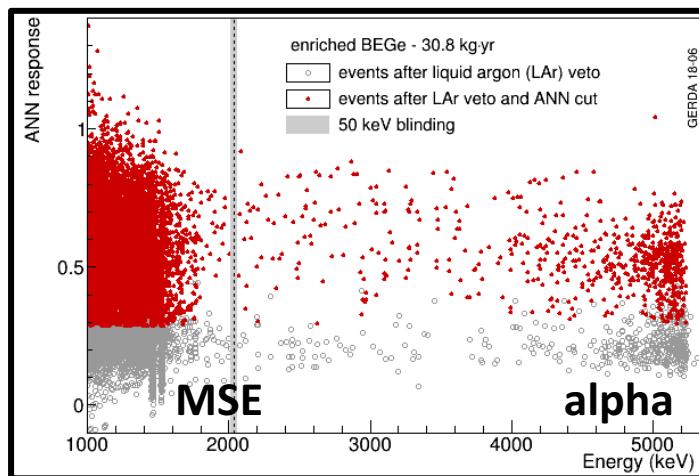
Phase II physics data modeling before cuts



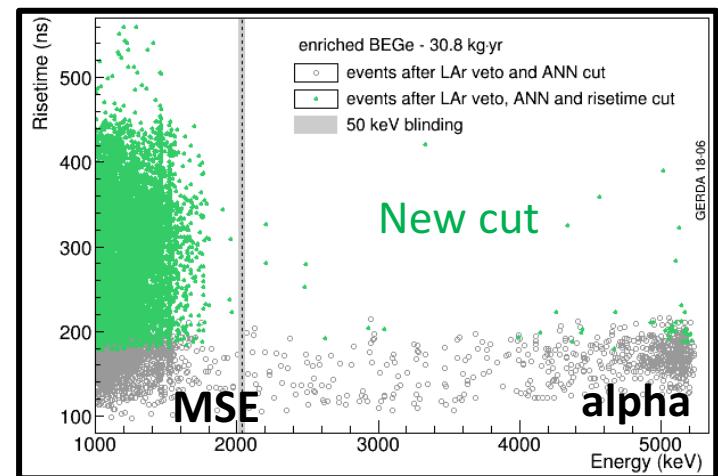
Phase II PSD cut topology

Coax

Neural network

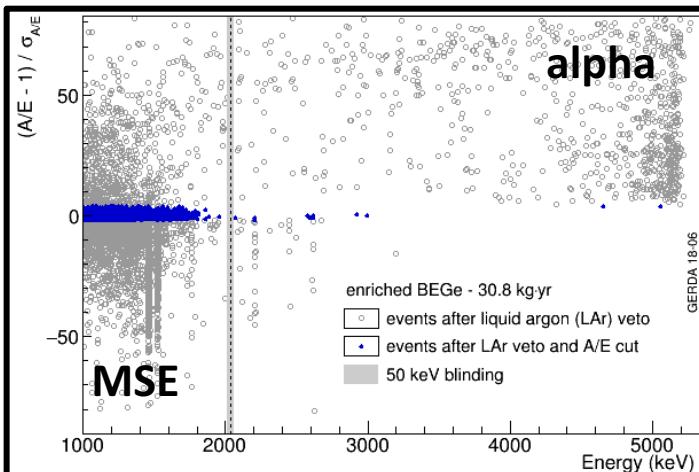


Signal rise time



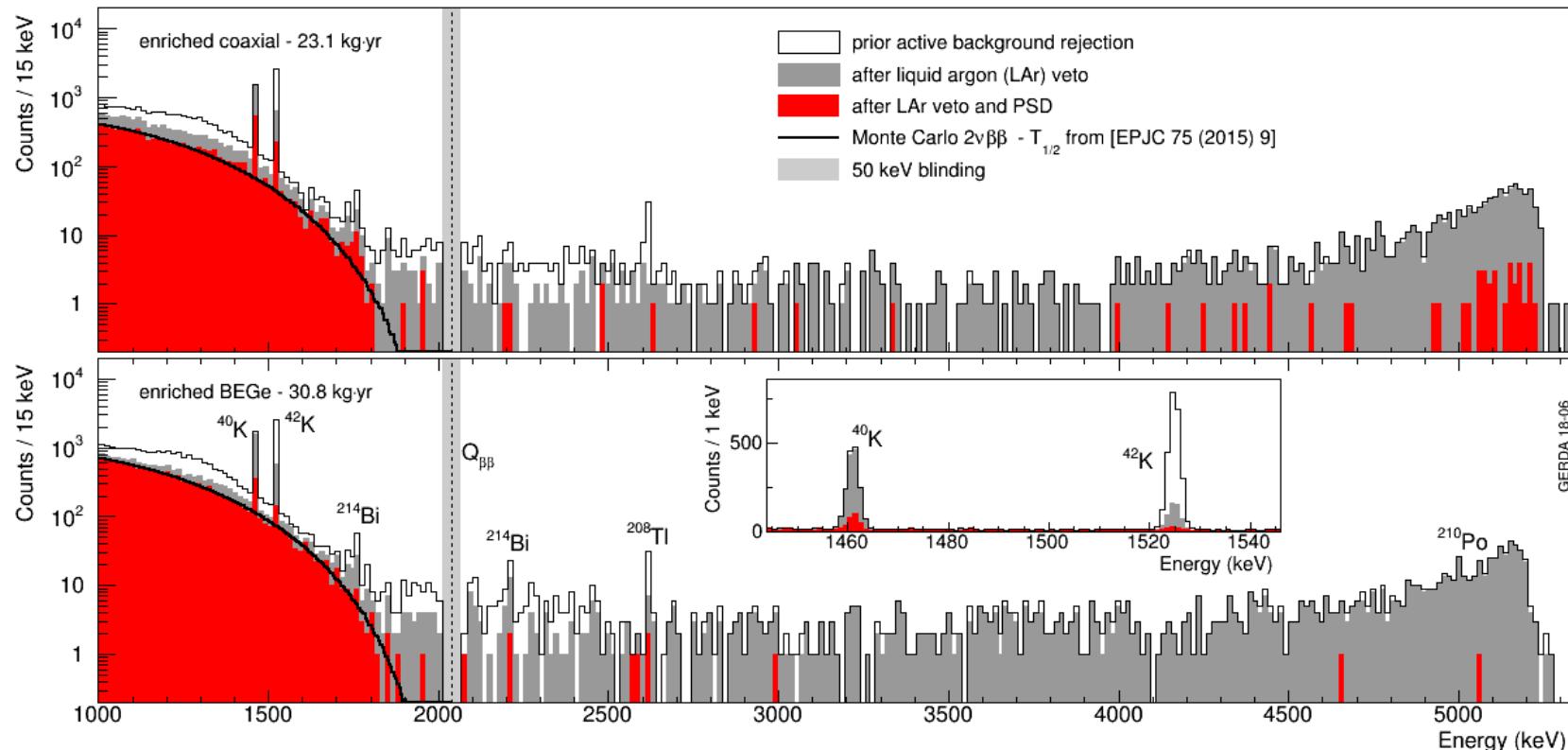
A/E

BEGe



- Strong suppression of ^{40}K and ^{42}K gamma lines (MSE) [1450-1530] keV
- Suppression of almost all α events (p+ contact) [> 3000] keV
Rise time cut for coax

Phase II physics data after PSD and LAr



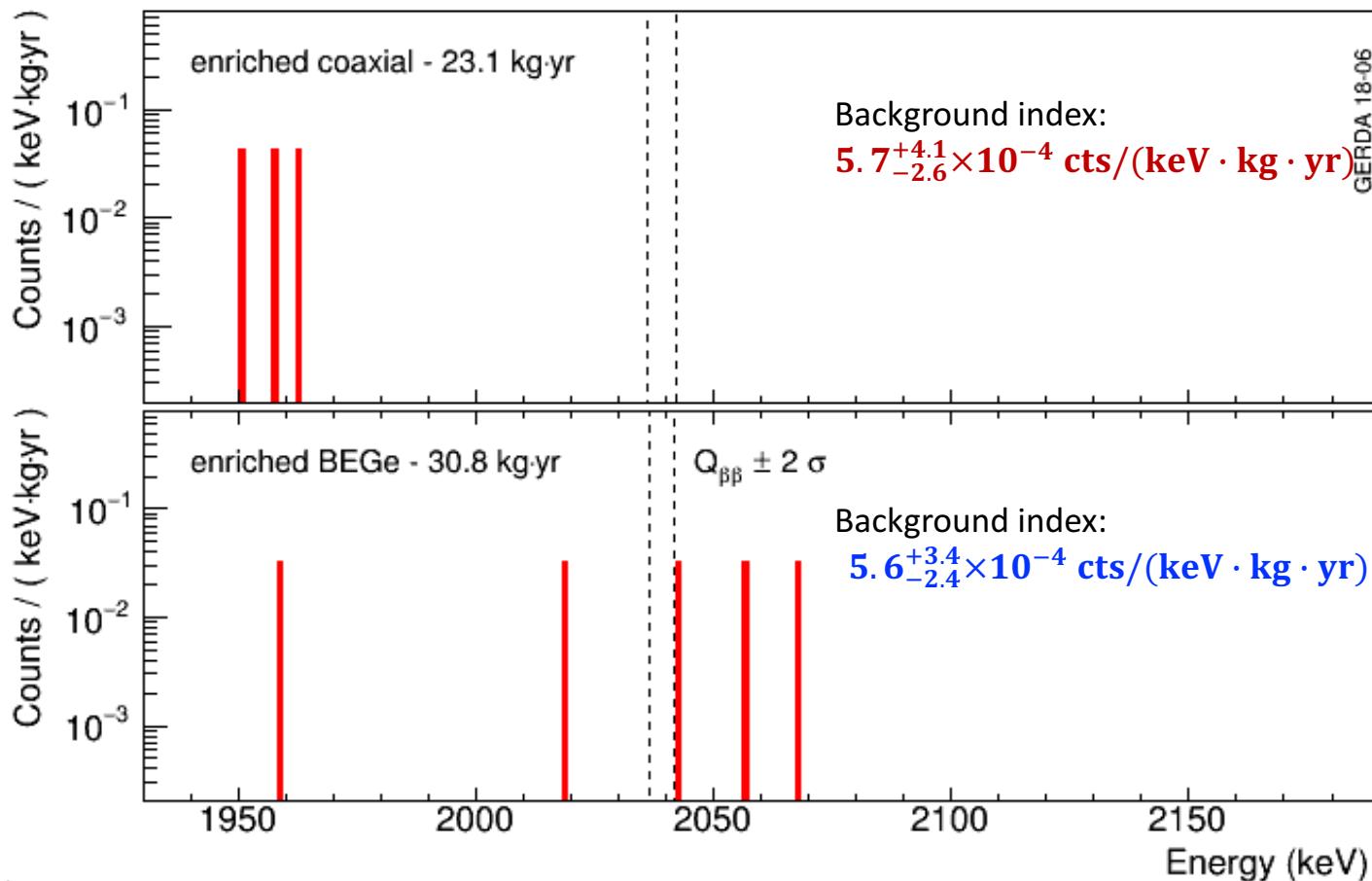
- [600-1300] keV - $2\nu\beta\beta$ decays produce single-site events -> No suppression
- [1450-1530] keV - Strong suppression of ^{40}K and ^{42}K gamma lines (MSE)
- [> 3000] keV - Suppression of almost all α events (p+ contact)

Energy spectrum after unblinding!

No new events in the **Coax** dataset

3 events in the former **BEGe** dataset

+ one new @ 2042 keV ($Q_{\beta\beta} = 2039$ keV / FWHM = 3 keV)



Since May 2018 #1

Gerda upgrade:

- Improved electronics noise
- Increased LAr light collection
(new fibers + new central module)
- Increased the enriched mass by 9.5 kg
(remove nat. detectors -> add inverted coax "IC")

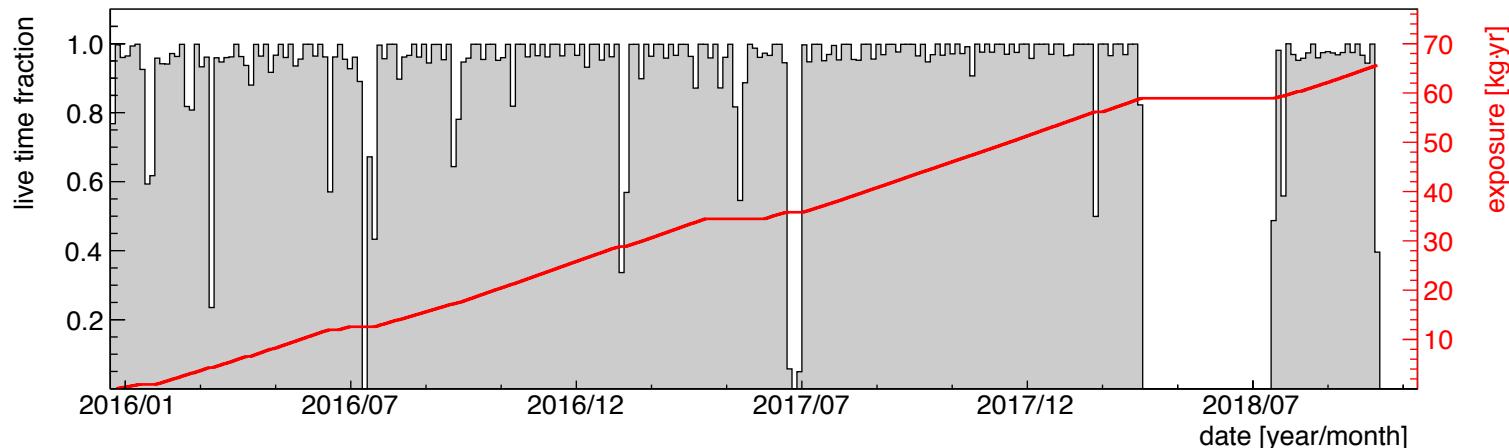
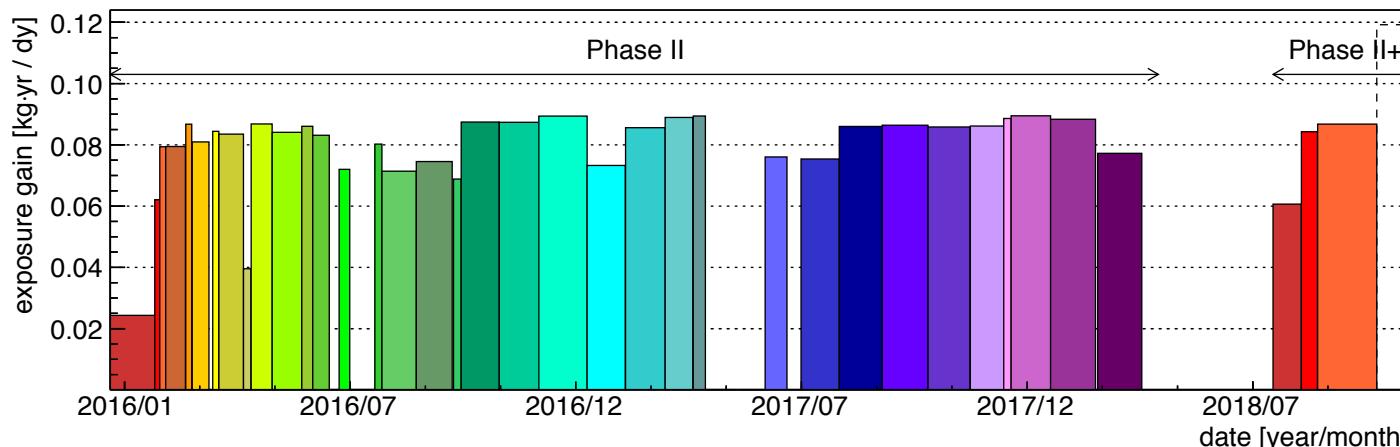


Since May 2018 #2

New

Restart of the data taking

- Already 6.6 kg.yr exposure validated



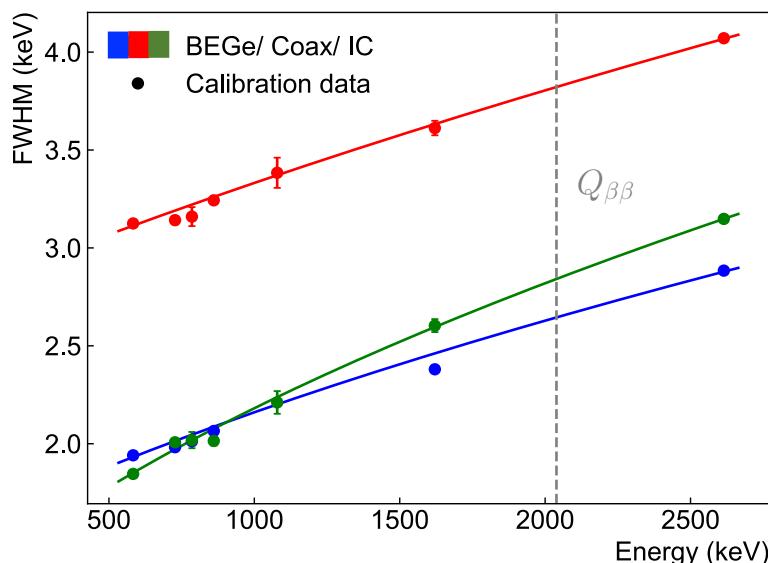
Since May 2018 #3

New

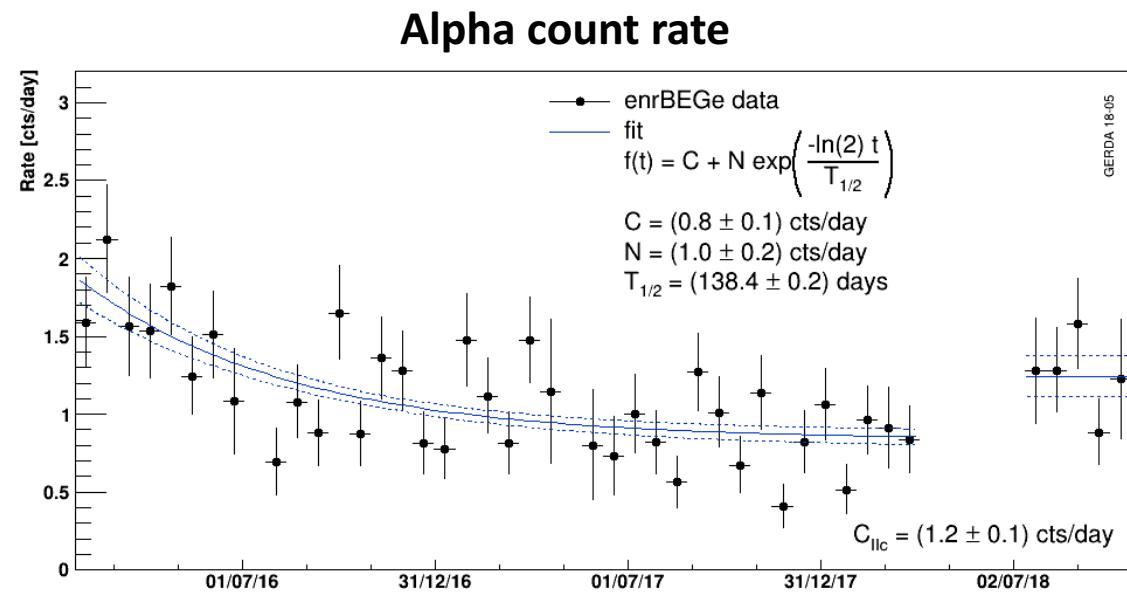
Restart of the data taking

- Already 6.6 kg.yr exposure validated
- Improved energy resolution in BEGe strings
- No sign of significant alpha re-contamination
- Run until we reach 100 kg.yr

Energy resolution



Alpha count rate



After GERDA and Majorana:



Legend collaboration:

- 52 institutions, ~250 members
- GERDA / Majorana / external contributors

Staged approach to reach 10^{28} yr sensitivity:

- LEGEND-200 → 10^{27} yr after 5 years
- LEGEND-1000 → 10^{28} yr (hosting lab under investigation)



LEGEND-200 phase:

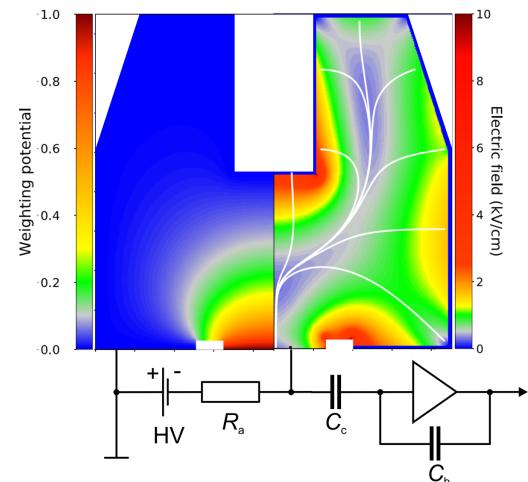
- Up to 200 kg of ^{76}Ge
- Modification of existing GERDA infrastructure at LNGS
- Improved background index
- Start in 2021
- **NEWS:**
 - Most of funding already secured
 - First detectors delivery expected early next year!



Hardware improvements #1

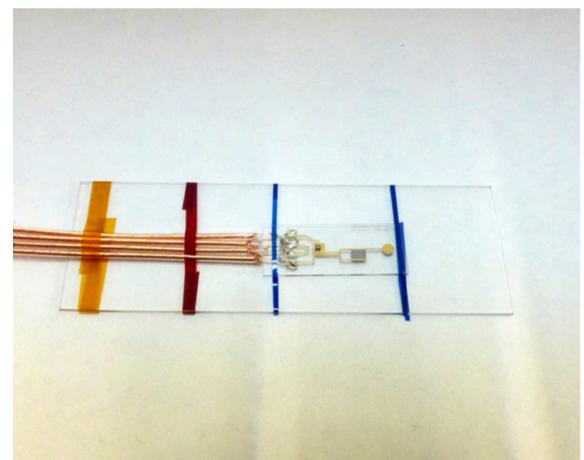
- **New Inverted Coaxial Point-Contact Ge detector technology**

- First design proposed in 2011 [R. Cooper et al, 11']
- **Large active mass up to 3 kg** (R&D for 6 kg!)
- Excellent Pulse Shape Discrimination (PSD) between signal and background events [YK et al 18']
- **Reduced background due to smaller number of channels**



- **Low Mass Front End (LMFE) electronics**

- Reduce the signal noise w.r.t. GERDA situation
- Experience from Majorana Demonstrator
- Ongoing test in LAr
- **Better energy resolution + pulse shape discrimination**



Hardware improvements #2

- **LAr veto**

- Take advantage of GERDA experience
- Design studies ongoing
- Optimization of light collection

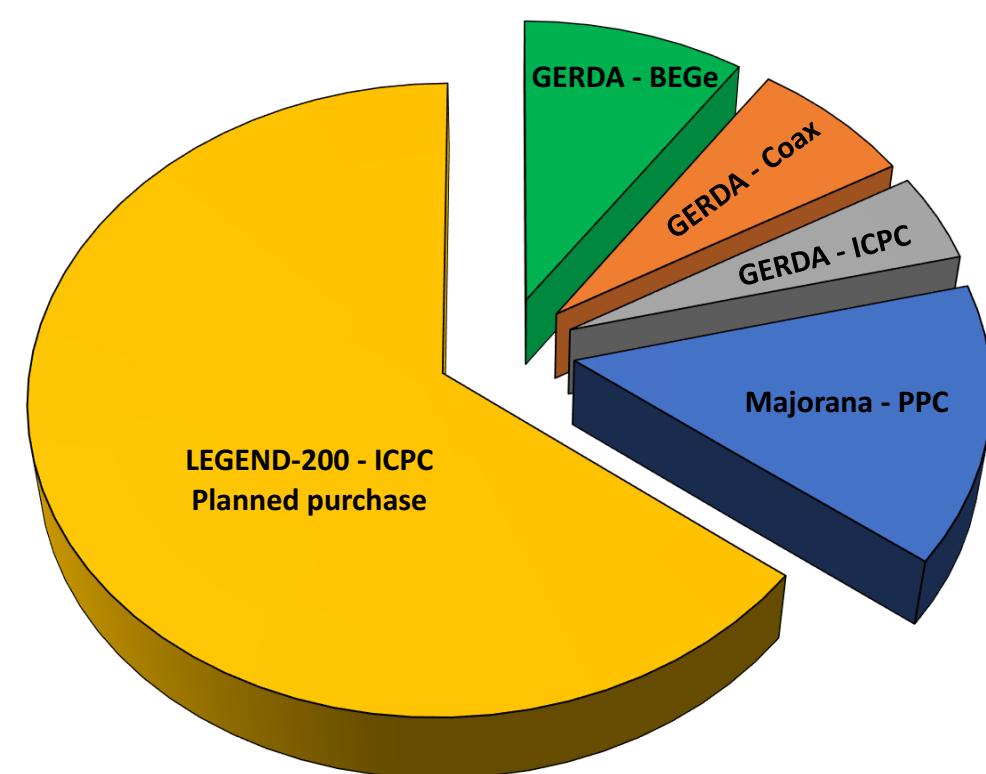


- **Ge detector strings positioning**

- Extensive Monte-Carlo simulations
- Compromise between background and cuts efficiency
- Statistics for weekly calibration



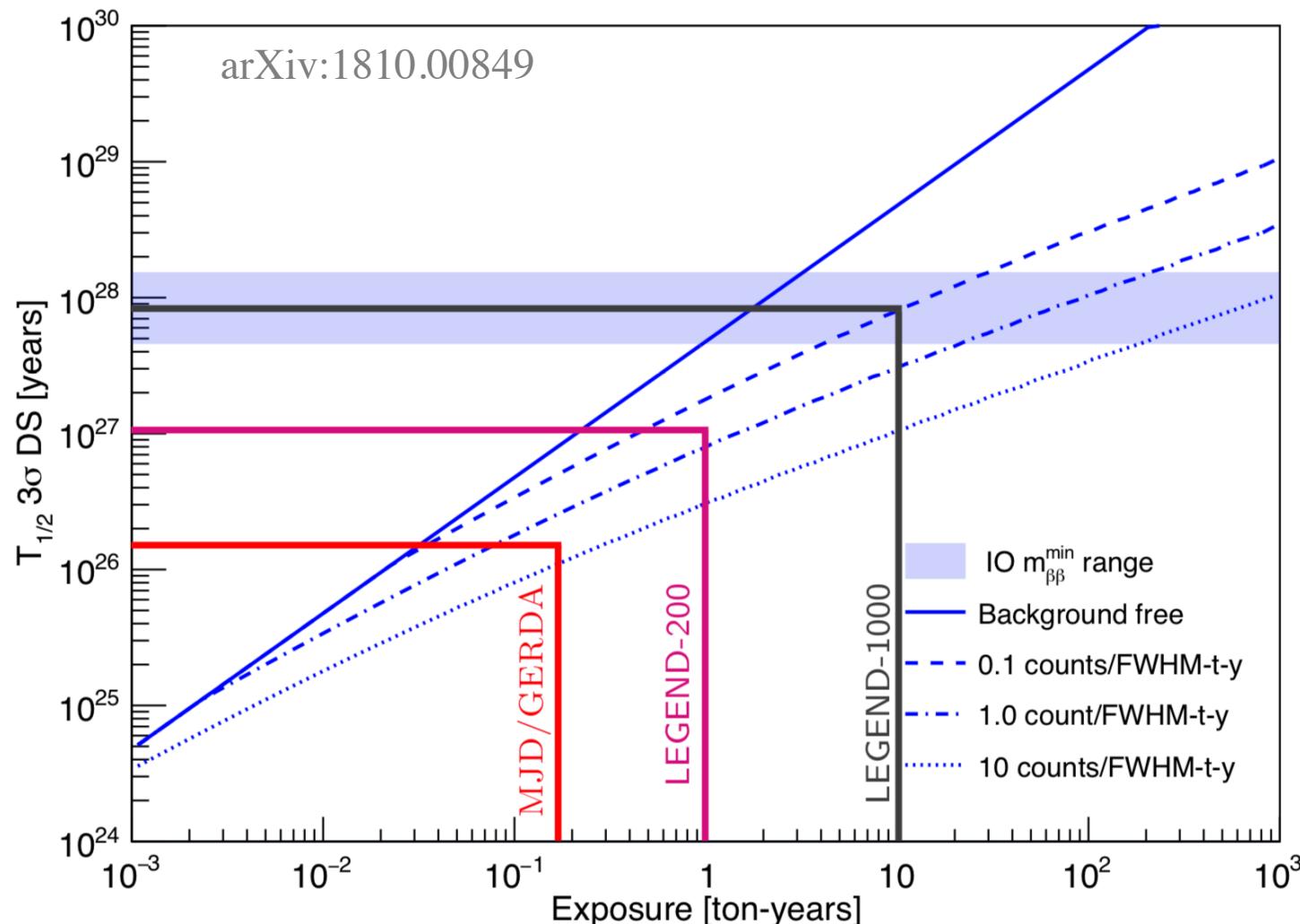
LEGEND Timescale



Anticipated schedule	
Jan 2018	First LEGEND-200 enriched material purchase
2019 / 2020 / 2021	LEGEND-200 Detectors production
Nov 2020	Start installation of detectors
July 2021	Data taking with LEGEND-200

3σ discovery sensitivity projection at full exposure

^{76}Ge (88% enr.)



Summary

- $0\nu\beta\beta$ decay, if discovered, has far reaching consequences in particle physics! $\nu = \bar{\nu}$ / LNV / **interplay with cosmology** (many isotopes needed!)
- ^{76}Ge isotope offers excellent properties especially for signal discovery
 - Energy resolution, background-free regime, high detection efficiency
 - Possibility to reach $T_{1/2}^{0\nu} = 10^{28}$ yr sensitivity
 - “the new physics is at **any** corner!” therefore we should continue measuring in all directions, regardless of physics models
- GERDA and Majorana Demonstrator best technologies provide the path to next generation experiment
 - First time **to surpass the 10^{26} yr sensitivity: 1.1×10^{26} yr (90% CL)**
 - LEGEND-200 phase has secured funding
Ongoing efforts to start in 2021!

