

# Machine Learning Applications For EIC

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July 25, 2019

EIC User's Group Meeting

Paris, France



```
101 #-----
102 # DefineModel
103 #-----
104 # This is used to define the model. It is only called if no model
105 # file is found in the model_checkpoints directory.
106 def DefineModel():
107
108     # Build model
109     inputs = Input(shape=(height, width, 1), name='image_inputs')
110     x = Flatten()(inputs)
111     x = Dense(int(Nouts*5), activation='linear')(x)
112     x = Dense(Nouts, activation='relu')(x)
113     model = Model(inputs=inputs, outputs=[x])
114
115     # Compile the model and print a summary of it
116     opt = Adadelta(clipnorm=1.0)
117     model.compile(loss=customLoss, optimizer=opt)
118
119     return model
120
```

# Why ML Now?

## 1. Advances in Deep Learning Tools

Industry has driven the technology for many, many applications  
*(perhaps you've heard of some?)*

## 2. Era of heterogeneous HPC and HTC

Some current and most next generation HPC resources will have combinations of CPU + GPU + TPU + FPGA + ???

***“ML separates algorithm development from the specialized hardware it will run on”***

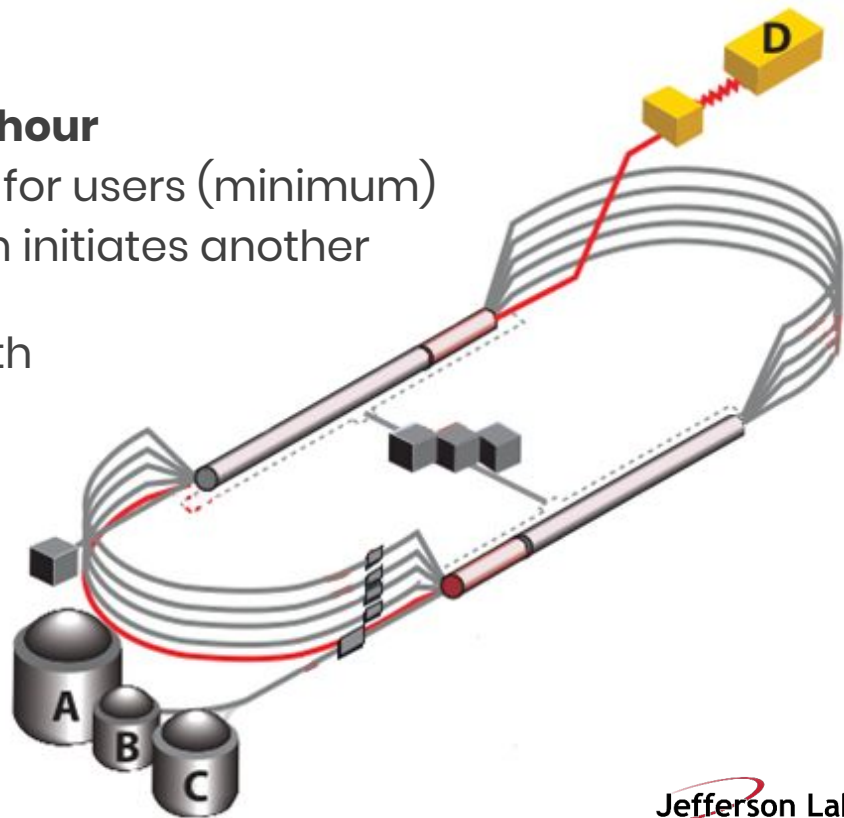
***- David Lawrence July 25, 2019***

# Accelerator Performance

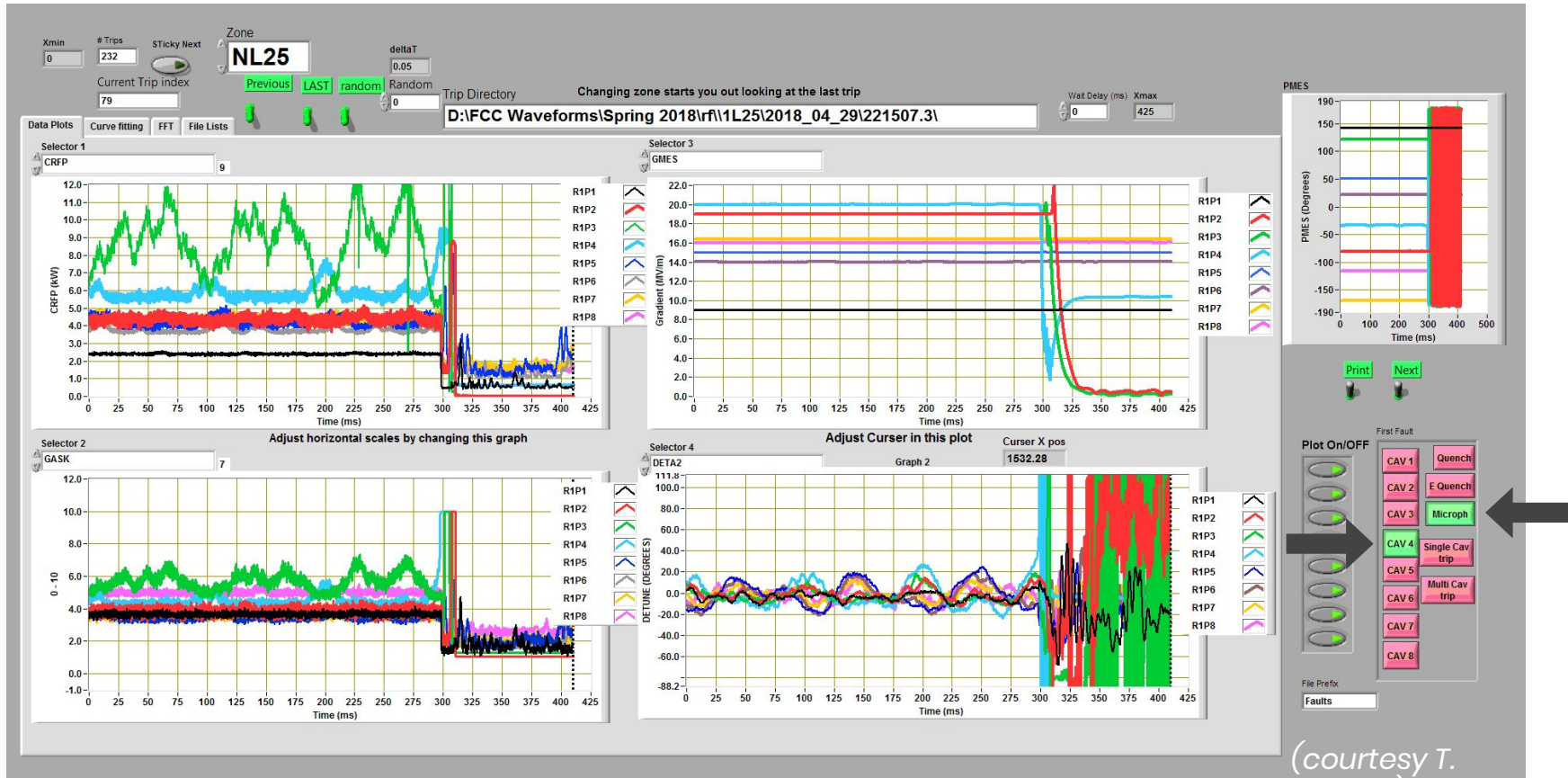
(based on work by Chris Tennant, Tom Powers, Yves Roblin, Anna Solopova)

# Continuous Electron Beam Accelerator Facility (CEBAF)

- CEBAF is a CW recirculating linac utilizing 418 SRF cavities to accelerate electrons up to 12 GeV through 5-passes
- **cavity faults occur multiple (7-12) times per hour**
- **each trip represents 90 seconds of lost data** for users (minimum)
- cavities are strongly coupled so one trip often initiates another
- 88 cavities (11 cryomodules) are designed with a digital low-level RF system (C100)
- the system has been configured so a **cavity fault triggers waveform recordings** of 17 RF signals for each of the 8 cavities within the cryomodule (**136 waveforms**)
- the data allows subject matter experts to classify the type of cavity fault



# Waveform Data

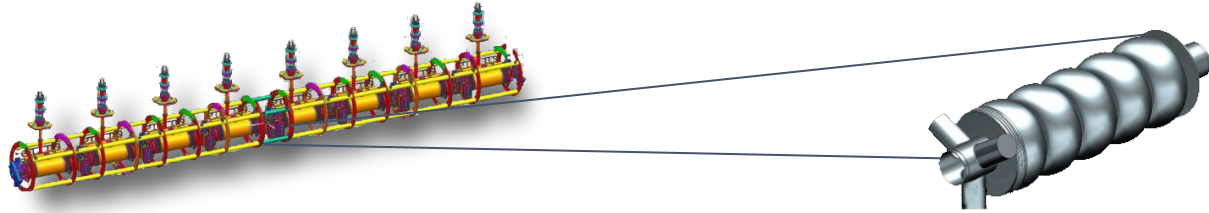


(courtesy T.

17 signals/cavity  $\times$  8 cavities = 136 traces

# Defining the Problem

- accurate information about which cavity in the string is responsible allows operators to retain gradient in other cavities



- have data with 500+ labeled examples  
✓ {microphonics, quench, electronic quench, single cavity, multi-cavity, controls trip}

train a model to correctly classify the type of RF fault given waveform data

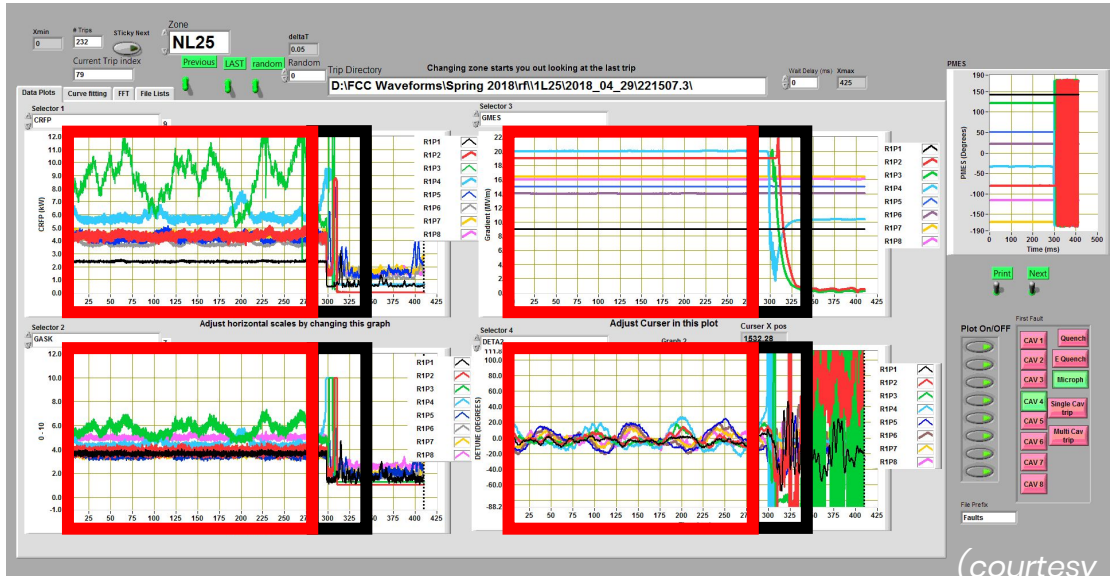
(shallow) machine learning

multi-class classification

time-series data

# Next Steps

- can we anticipate trips before they occur?



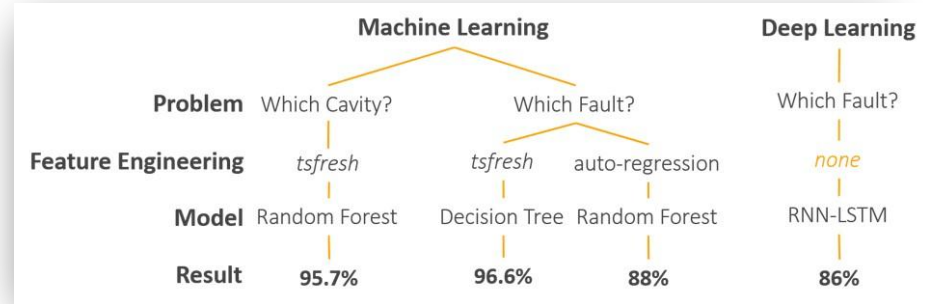
- this problem is **not**:

- ✓ time-series forecasting
- ✓ an anomaly detection system



# Machine Learning for Accelerator Modeling and Control

In the last 6 months we have made significant progress applying machine learning to the problem of classifying C100 cavity faults. Though still in the early stages, the work has been presented at workshops/conferences, has spawned LDRD proposals, has been the catalyst for forming several collaborations and sparked interest in the wider accelerator community (including DOE).



- Workshop/Conference Talks

- ✓ “SRF Cavity Fault Classification Using Machine Learning at CEBAF”\*

*2019 International Particle Accelerator Conference, Melbourne, Australia (2019)*

- ✓ “Recent Results of SRF Cavity Fault Classification Using Machine Learning at Jefferson Laboratory”

*2<sup>nd</sup> ICFA Workshop on Machine Learning for Particle Accelerators”, PSI, Switzerland (2019)*

- FY2020 LDRD Proposals

- ✓ “Applying Knowledge Discovery in Databases to Archived CEBAF Data” C. Tennant (PI)

- ✓ “Machine Learning Based Cavity Fault Classification and Prediction” A. Solopova (PI)

- Collaborations

- Old Dominion University (K. Iftekharuddin)
- SLAC (through their LDRD, informal conversations)

\* generated significant interest from DOE representative in audience



# Data Quality Monitoring

(based on work by Thomas Britton)

## A better way?

- Data Quality Monitoring (DQM) of experimental data tends to rely on people continuously scanning plots
- This is labor intensive, limited in frequency and does not hold the attention of shift takers  
*(nor is it the best use of their time!)*



# LHC is already beginning

## Towards automation of data quality system for CERN CMS experiment

Maxim Borisyak, Fedor Ratnikov, Denis Derkach, Andrey Ustyuzhanin

(Submitted on 25 Sep 2017)

CHEP  
IOP Conf. Series: Journal of Physics: Conf. Series **898** (2017) 092027 doi:10.1088/1742-6596/898/9/092027

IOP Publishing

CERN openlab Summer Student Report

2016

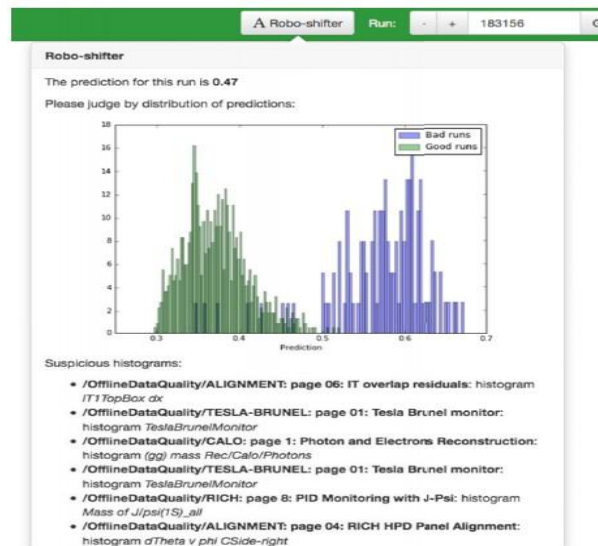
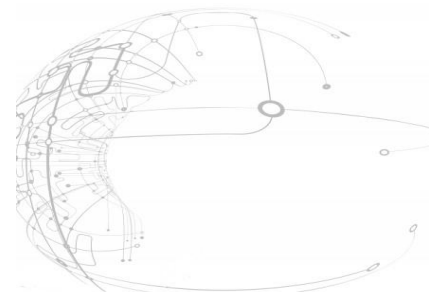
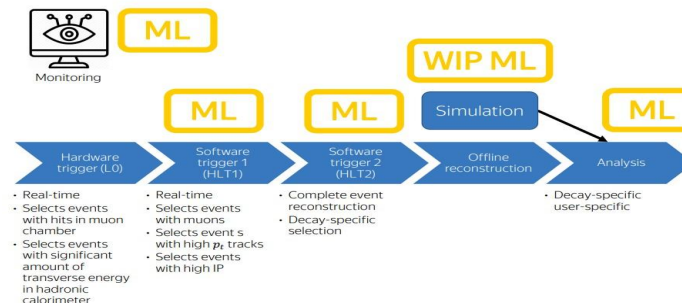


Figure 3. Robo-shifter interface



## LHCb Run II data flow



[Real-time physics, alignment, and reconstruction in the LHCb trigger] 3

## Data Quality Monitoring at CMS with Machine Learning

July-August 2016

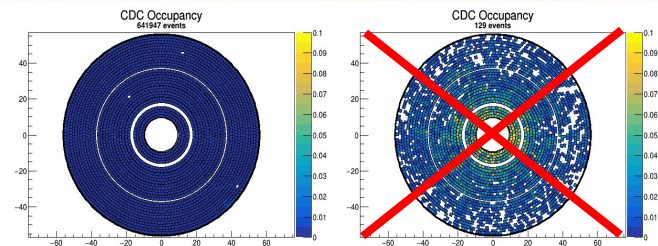
Author:  
Aytaj Aghabayli

Supervisors:  
Jean-Roch Vlimant  
Maurizio Pierini

CERN openlab Summer Student Report 2016

# Preliminary work at JLab

- My own: achieved **~96%** accuracy.
  - Needed to use a more sophisticated network
- Introducing **inceptionV3**
  - Think of it like a network trying various convolutions and figuring out which is best



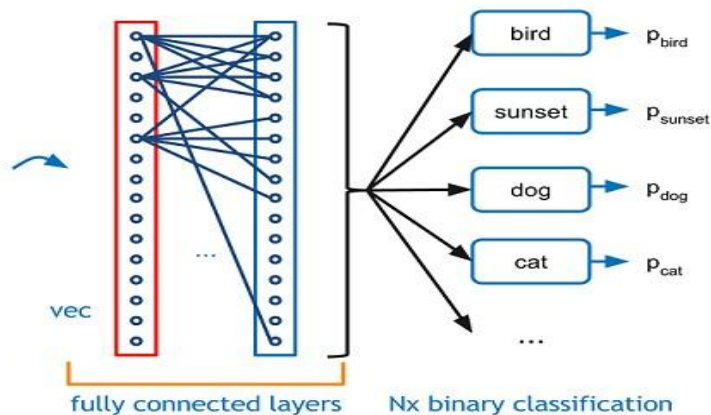
*GlueX Central Drift Chamber*



convolution +  
nonlinearity

max pooling

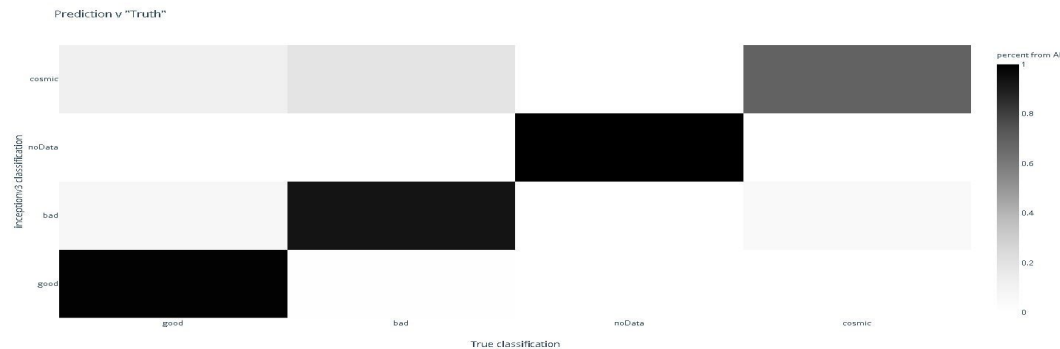
convolution + pooling layers



# BCAL Results (Thomas labeling)

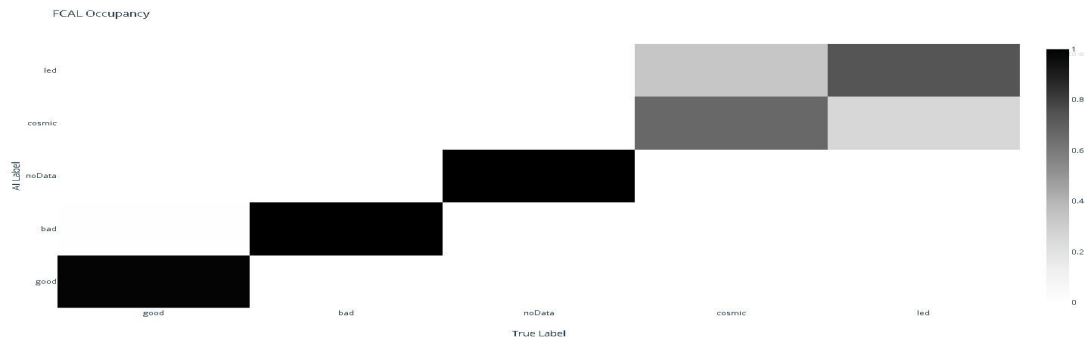


- “Good” accuracy of **99.4%**
  - False positive rate of **1.8%**
- “NoData” accuracy of **100.0%**
  - No false positives/negatives
- “Bad” accuracy of **93.3%**

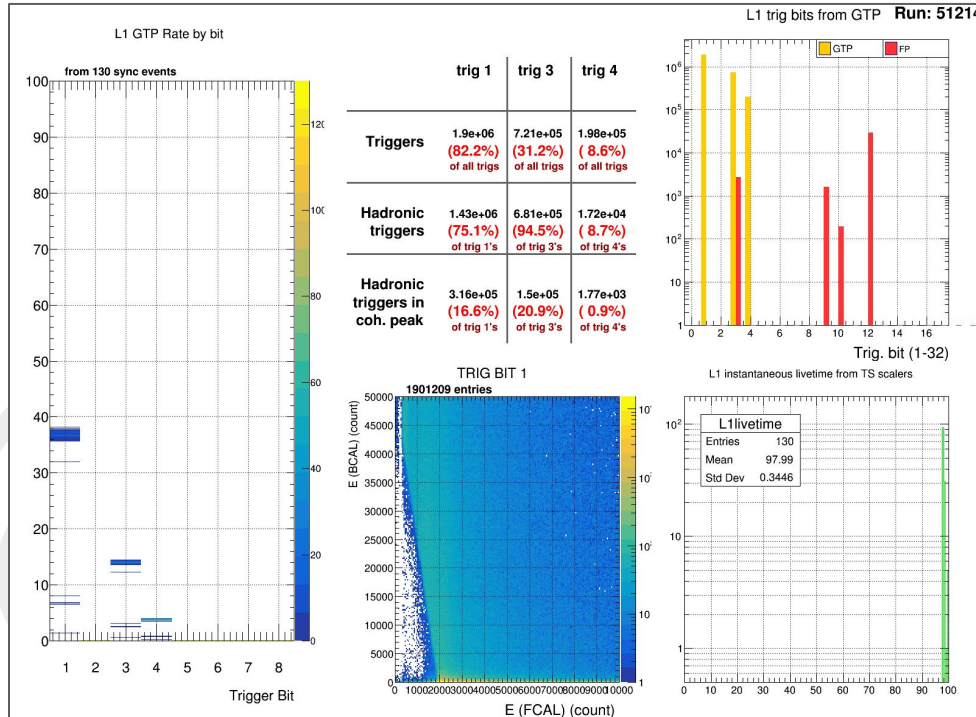


# FCAL Results (Collin's labeling)

- “Good” accuracy of **98.8%**
  - False positive rate of **0%**
- “NoData” accuracy of **100.0%**
  - No false positives/negatives
- “Bad” accuracy of **100.0%**
  - False positive rate **0.5%**



# Leveraging Visual Classification Techniques



Monitoring system pages are already designed to give shift workers a visual of the data quality

Recent boon in image classification fits well with this task

**Biggest challenge is “labeling” the training set.**

*Need system for shift workers to continuously contribute to training*



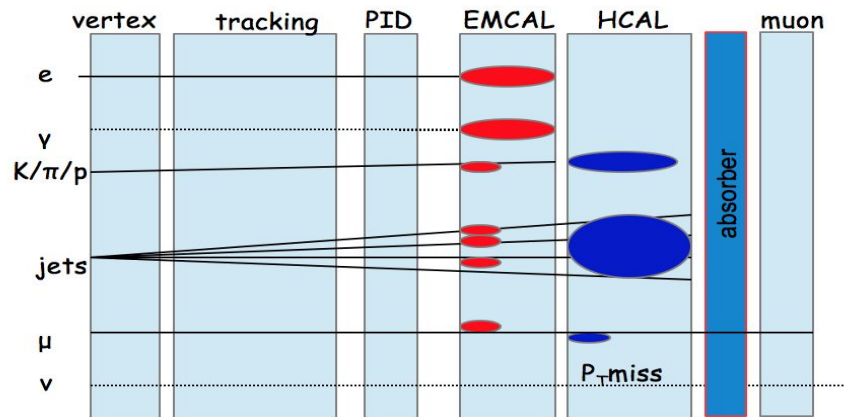
# Particle Identification

(based on work by Yulia Furletova, Dmitry Romanov)

# Particle identification

Limited number of "stable" final state particles:

- Scattered and secondary electrons
- Gammas
- Individual hadrons ( $\pi^\pm$ ,  $K^\pm$ , p)
- Jet/Jets
- Muons (absorber and muon chamber)
- Neutrinos (missing  $P_T$  in EM+HCAL)
- Neutral hadrons (n,  $K_L^0$ ) (HCAL)



## Looking at topology

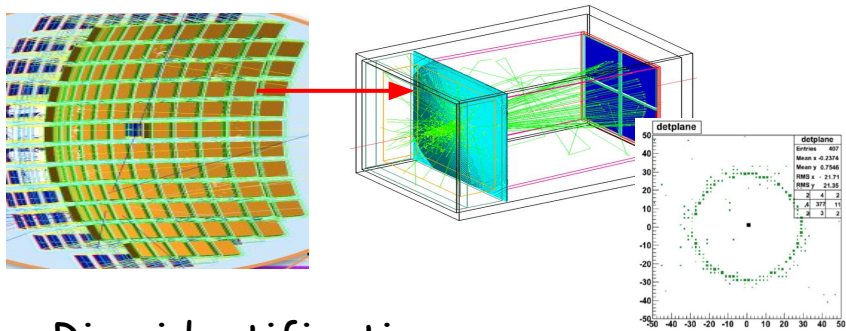
- Electrons: EMCAL cluster + track pointing to cluster
- Gammas ( $\gamma$ ): EMCAL cluster, no track pointing to cluster
- Neutrinos ( $\nu$ ): missing  $P_T$
- Muons: track, min. energy in EMCAL, min. energy in HCAL, track in muon det.

## Other Methods for PID (mass difference):

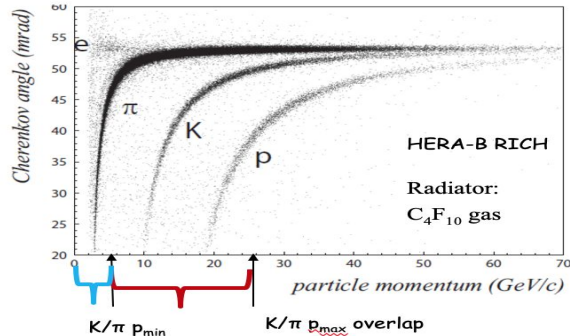
- dE/dx: ( $p < 1\text{GeV}$ )
- Time-of-Flight: ( $p < 3-6\text{GeV}$ )
- Cherenkov radiation:  $p < 5 (50) \text{ GeV}$
- Transition radiation: (e/h separation)  $1 < p < 100\text{GeV}$

# ML for Cherenkov, TOF, tracking detectors

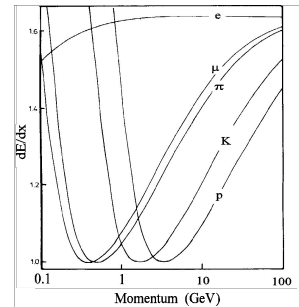
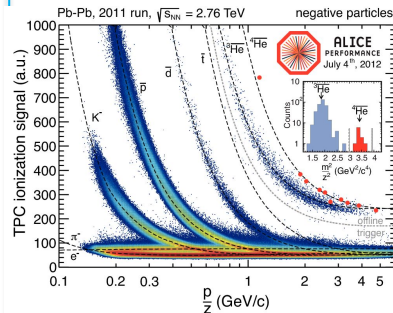
## Example, Modular RICH for EIC



- Ring identification  
Capsule (pixelated) ML algorithms
- Particle IDs  
Multivariate classification

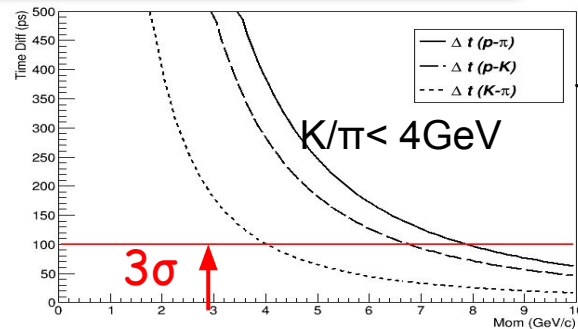


## dE/dx in tracking detectors



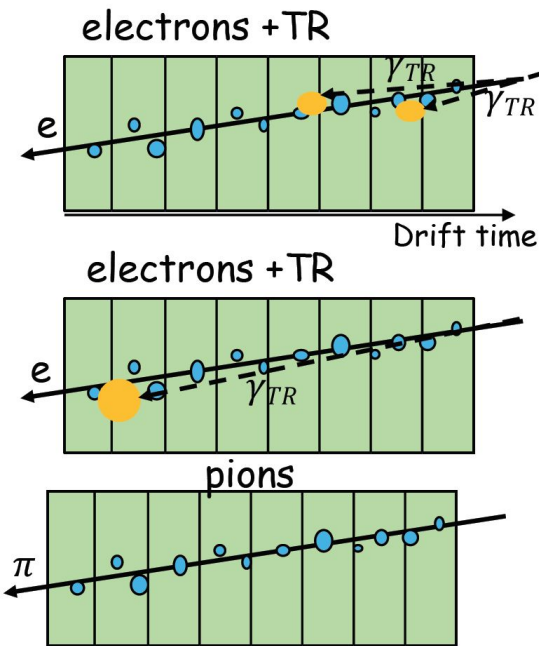
## TOF

### EIC TOF Ion-side 435 cm

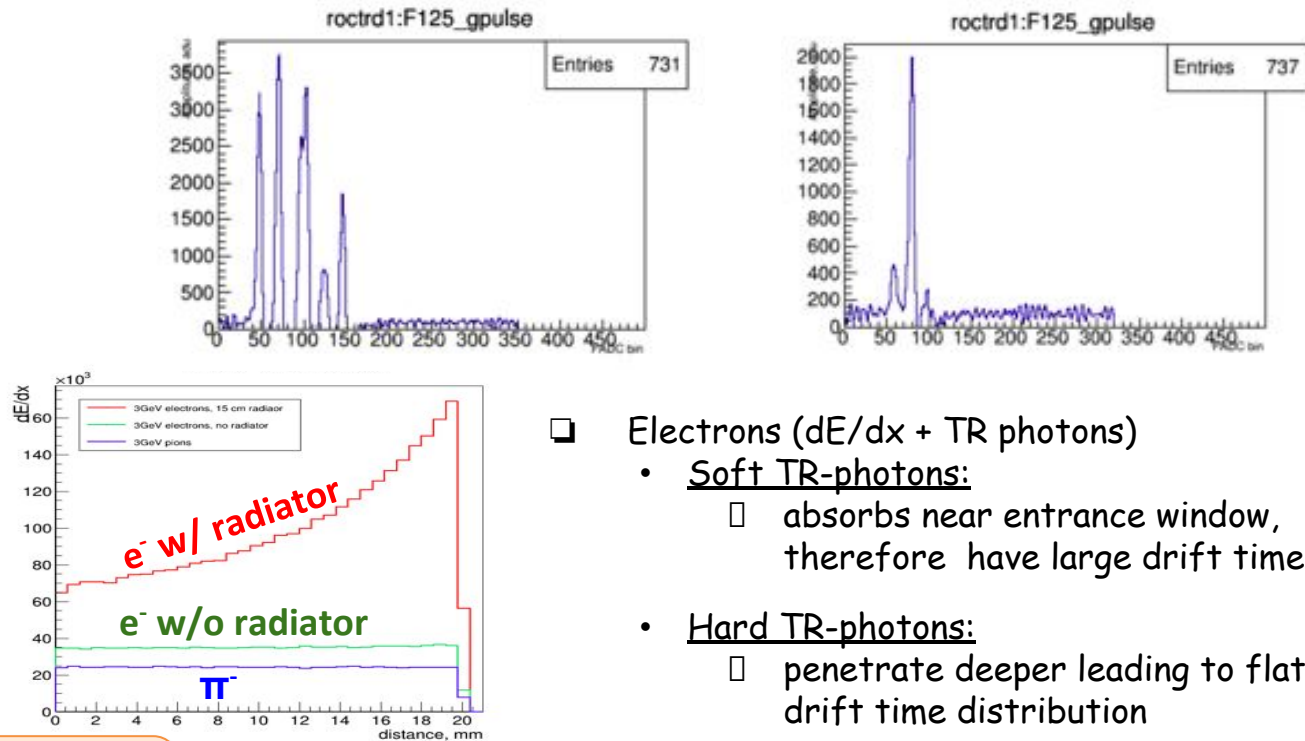


Mickey Chiu

# Electron and pion identification (TR photons)



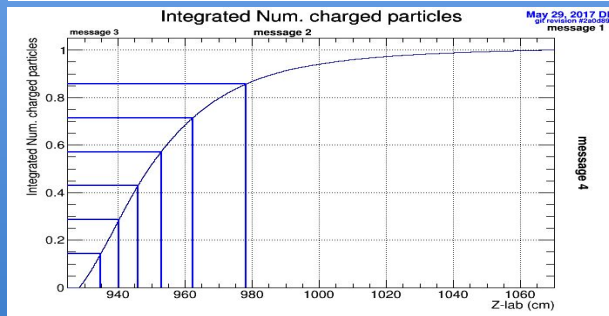
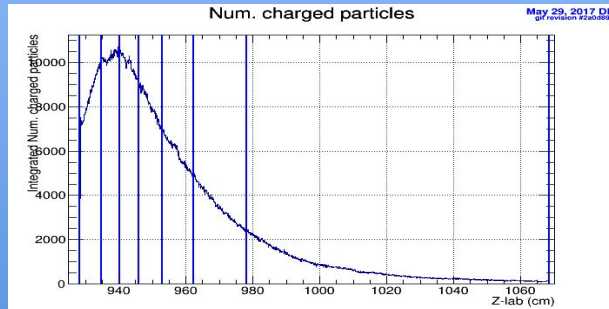
Separation/ Identification of  
TR-clusters and dE/dx clusters



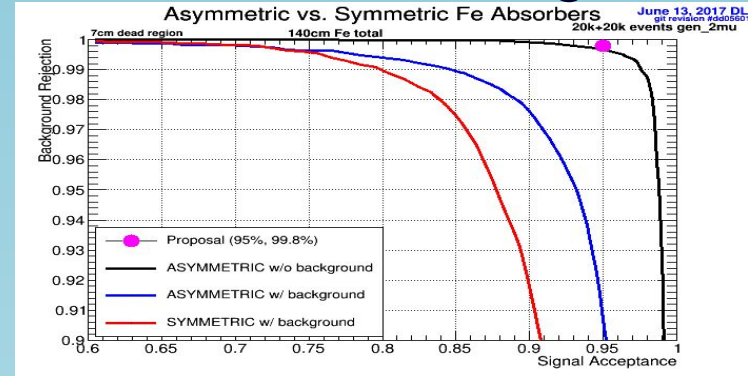
- Electrons (dE/dx + TR photons)
  - Soft TR-photons:
    - absorbs near entrance window, therefore have large drift time
  - Hard TR-photons:
    - penetrate deeper leading to flat drift time distribution
- Pions: dE/dx only

# UNIFORMITY OF IRON ABSORBER THICKNESS

- Human Derived Concept
  - Integrate number of particles as function of depth in Iron for  $\pi^\pm$  showers
  - Split Iron so sections contain equal number of particles



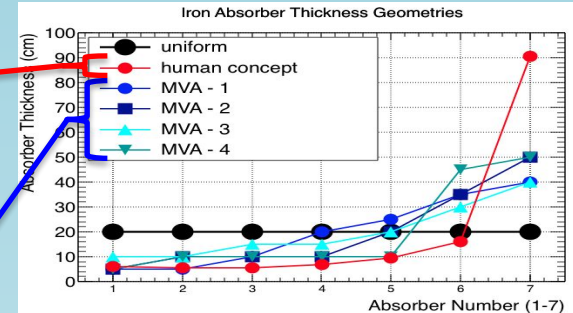
- Machine Learning



(human)



Top 4 MVA  
results of 741  
geometries  
tested



# Software Trigger (*streaming readout*)

(based on work by a lot of folks ...)



# ML Already implemented for Trigger\* or Studied for many Experiments

**BELLE II<sup>1</sup>, LHCb<sup>2</sup>, PANDA<sup>3</sup>, ATLAS<sup>4</sup>**

<sup>1</sup>doi:10.1051/epjconf/201715000009

<sup>2</sup>ACAT2019

[https://indico.cern.ch/event/708041/contributions/3309523/attachments/1810605/2956864/ConorFitzpatrick\\_ACAT2019.pdf](https://indico.cern.ch/event/708041/contributions/3309523/attachments/1810605/2956864/ConorFitzpatrick_ACAT2019.pdf)

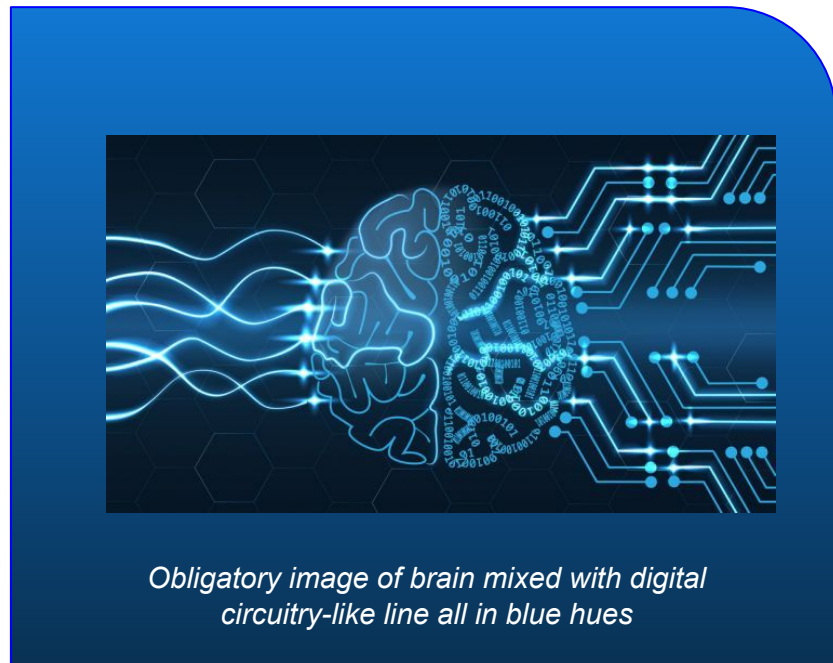
<sup>3</sup>GlueX-PANDA Workshop 2019

<https://www.jlab.org/indico/event/306/session/5/contribution/10/material/slides/0.pdf>

<sup>4</sup>Workshop on GPU CC-IN2P3

<https://indico.in2p3.fr/event/18772/contributions/70486/attachments/52899/68602/GPU-Workshop-ATLAS-04042019.pdf>

*\*Trigger = any event filter applied to reduce data volume prior to long term storage*

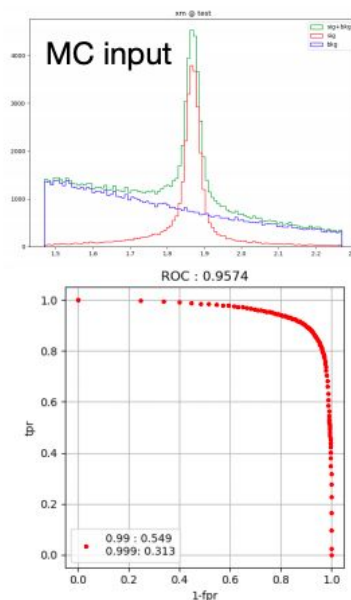




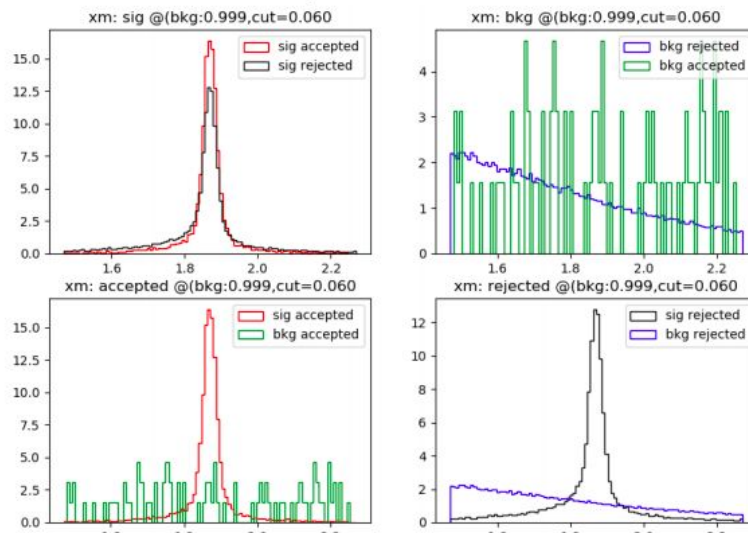
# Software Trigger on GPU

FullSim - Artificial Neural Network - training on GTX1080Ti

Shown at GlueX-PANDA  
Workshop May 2019  
Washington, DC



All mass spectra normalised to 1



Reaction:  $p\bar{p} \rightarrow D^+ D^- \rightarrow K^- \pi^+ \pi^+ D^- (incl.)$  (& cc.)



# ML in FPGA

10x10cm module (GEM based tracking device), high granularity!

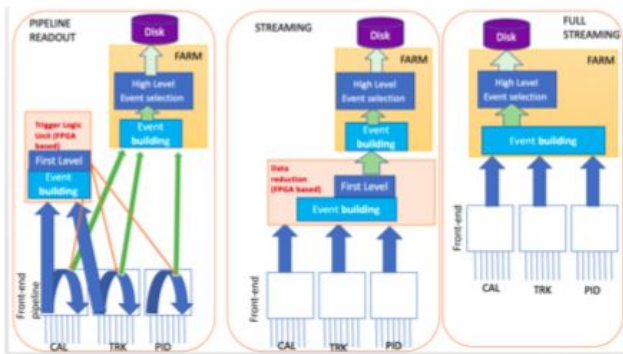
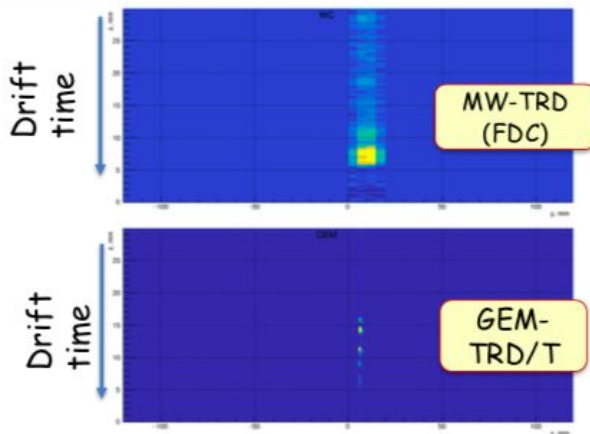
Raw-mode (trigger-less): 125MHz x 2 bytes x 1024 channels ~ **250 GBytes/s** (99.9 % is just noise/pedestals)

Difficult for streaming directly to farm, need data reduction at early stage (during online processing on FPGA)

**Move data processing into FPGA**  
-> Zero-suppression and **Cluster finding**  
-> **particle identification**

That would allow to include such types of detectors into a high-level event selection.

**Ongoing development for GEMTRD EIC detector R&D eRD22 (GEMTRD) project!**



Yulia Furletova

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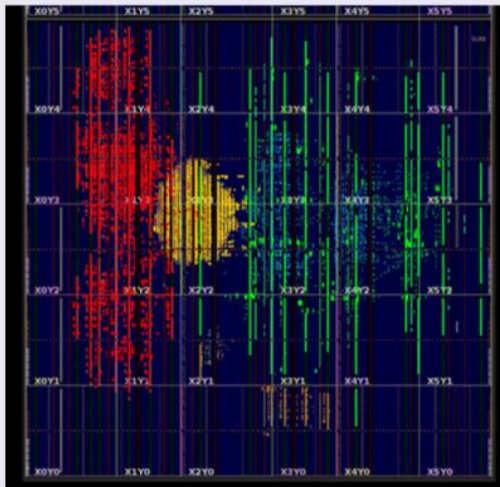
Transition Radiation Detector (TRD)

Data reduction implemented on FPGA

*Shown at JLab ML Workshop Mar 2019  
Newport News, Virginia*

### Example Network Results: Utilization

- Utilization dominated by 2D Convs (apart from BRAM from dense layers)
- $N_{\text{DSP}} \approx N_{\text{MAC}} \cdot \frac{f_{\text{D}}}{f_{\text{P}}}$
- LUT, FF util.  $\lesssim \frac{1}{6}$  of available per DSP used
- Acceptable BRAM util. by dense layers



(example network on device:  
~ 13k MACs, 400 MHz  
21k LUTs (< 2%),  
35k FFs (< 2%),  
1310 DSPs (~ 19%),  
166 BRAMs (~ 8%)

### From Conclusions Slide

- Networks consisting of Dense, 2D Conv/Pooling Layers implementable
- Keras network → VHDL files for inclusion into FPGA design (via Python script)

*Shown at ACAT19  
Workshop Mar 2019  
Saas Fee, Switzerland*

# Summary

- There are many more places that our software is likely to benefit from ML
- Some of our next generation scientists will become experts in model development just as previous generations became experts in algorithm development
- **Accelerator Performance**
- **Software Trigger** (streaming readout)
- **Data Quality Monitoring**
- **Reconstruction**
- Simulation
- Analysis

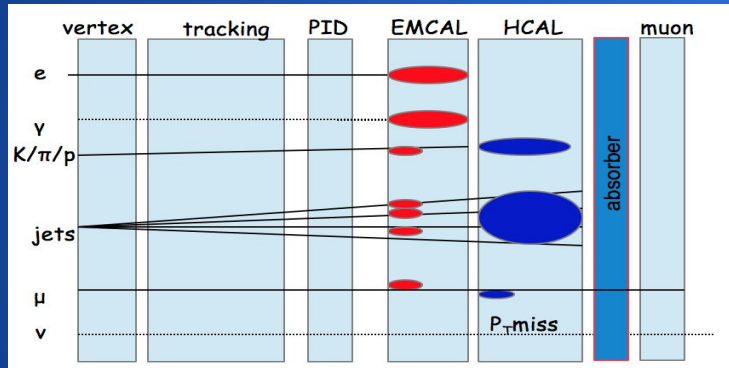
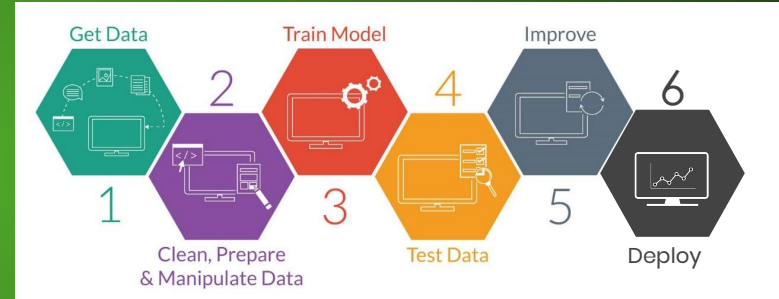
# Backups





# ML Applications for EIC

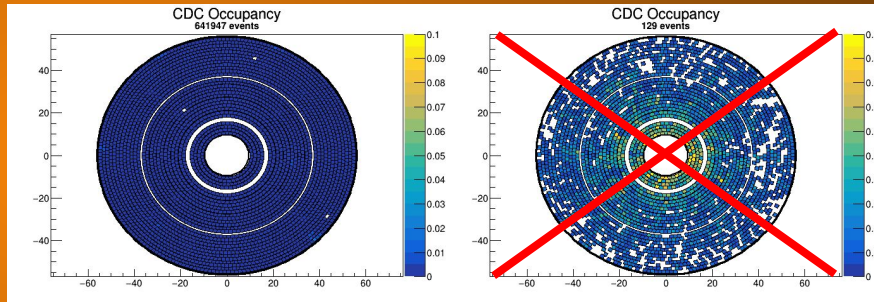
## Accelerator cavity fault prediction and identification



## Particle Type Identification in experimental data

# ML Applications for EIC

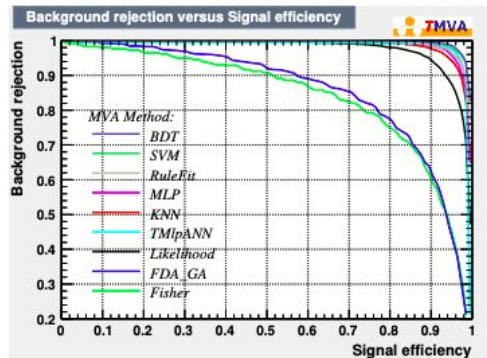
## Automated Experimental Data Quality Monitoring



## Software trigger in Streaming DAQ



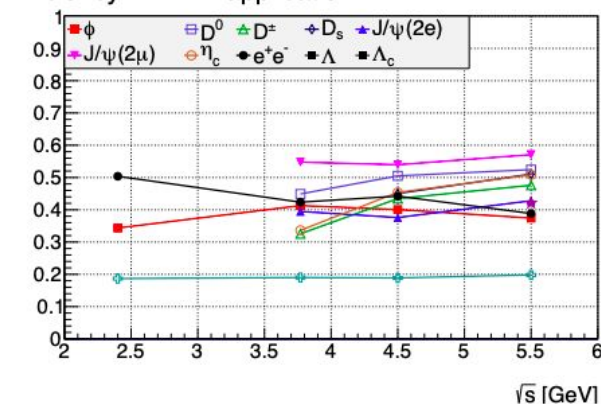
# Software Trigger - TMVA



- First studies with many algorithms
- Dependence on offered observables
  - output performance
  - calculation speed

Shown at GlueX-PANDA  
Workshop May 2019  
Washington, DC

Efficiency - TMVA application



Background fraction

