

# Bootstrapping Inflationary Correlators

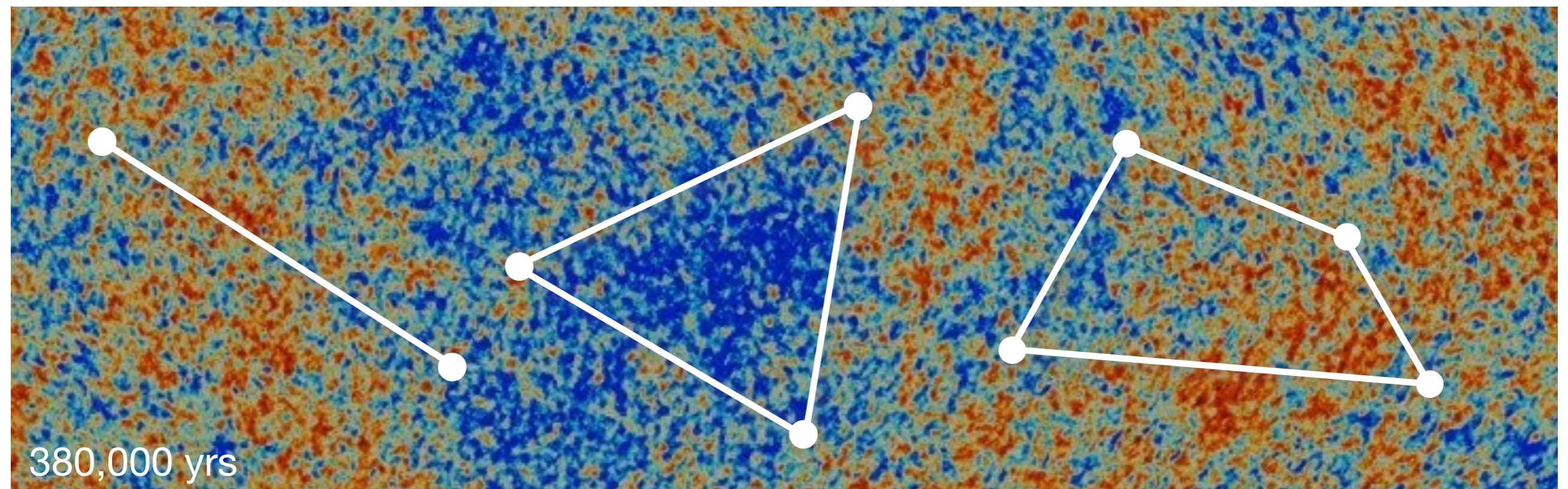
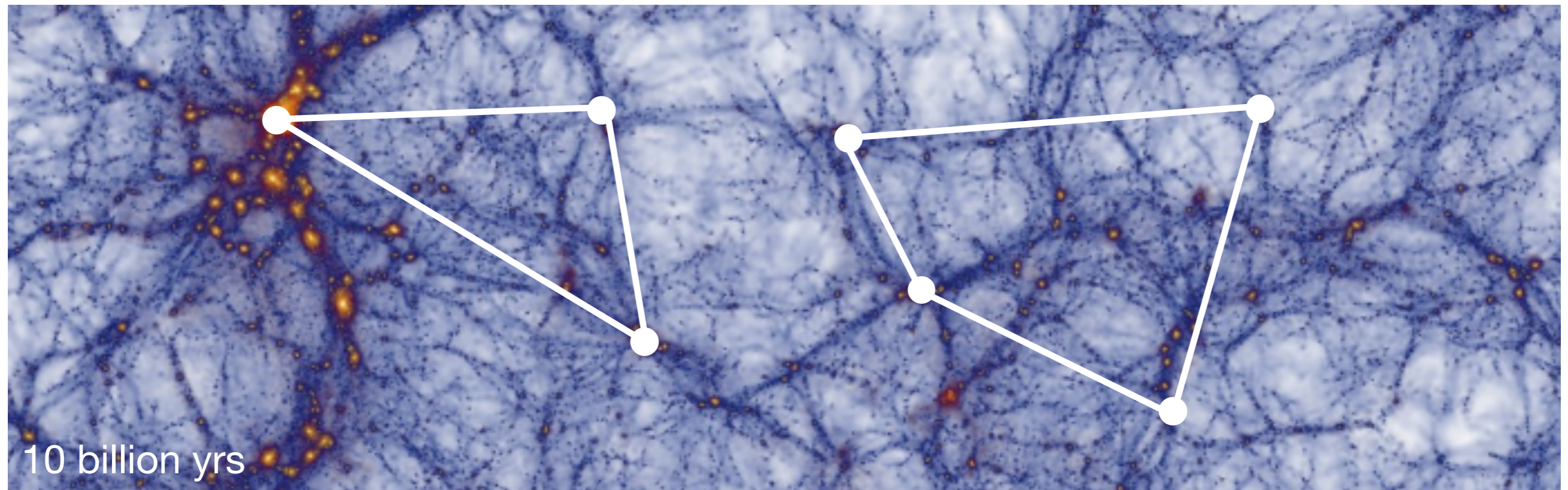
Daniel Baumann  
University of Amsterdam

Based on work with

Nima Arkani-Hamed, Hayden Lee and Guilherme Pimentel

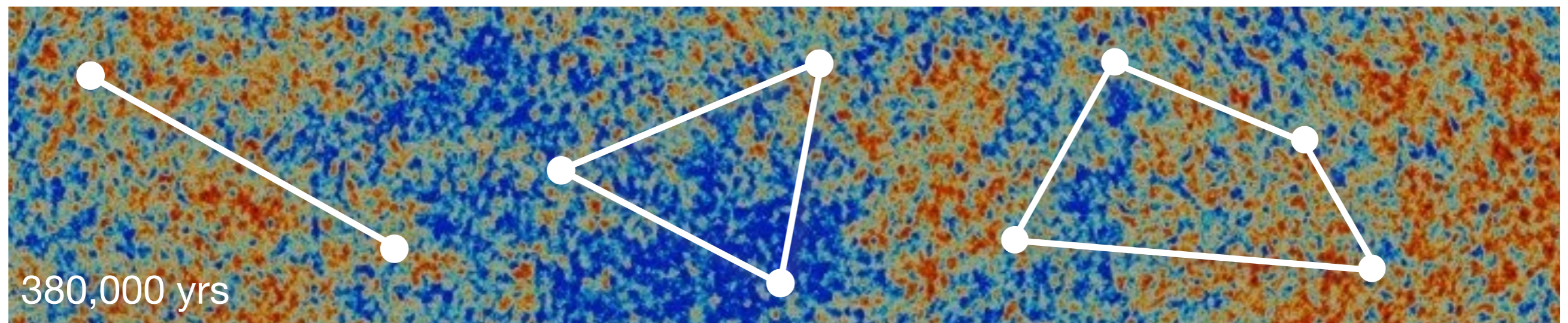
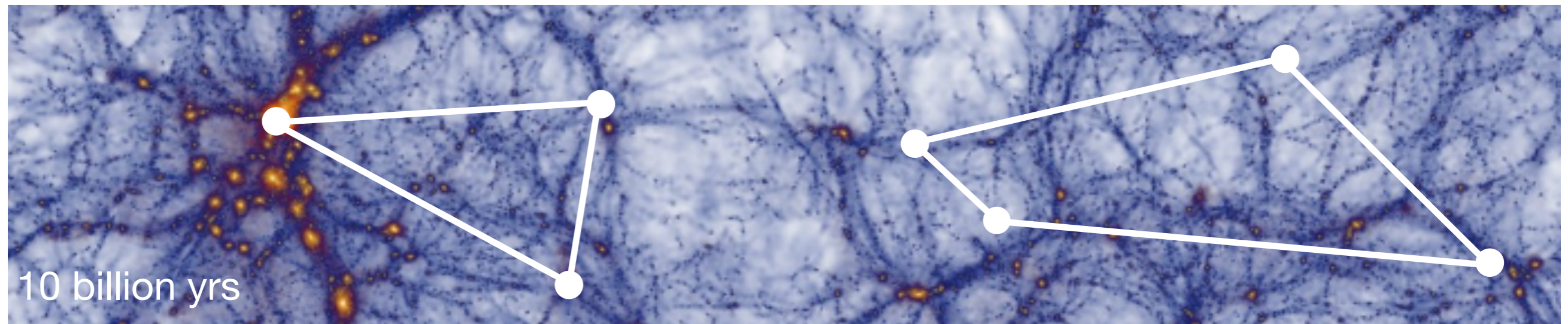


The physics of the early universe is encoded in the **spatial correlations** between cosmological structures at late times:





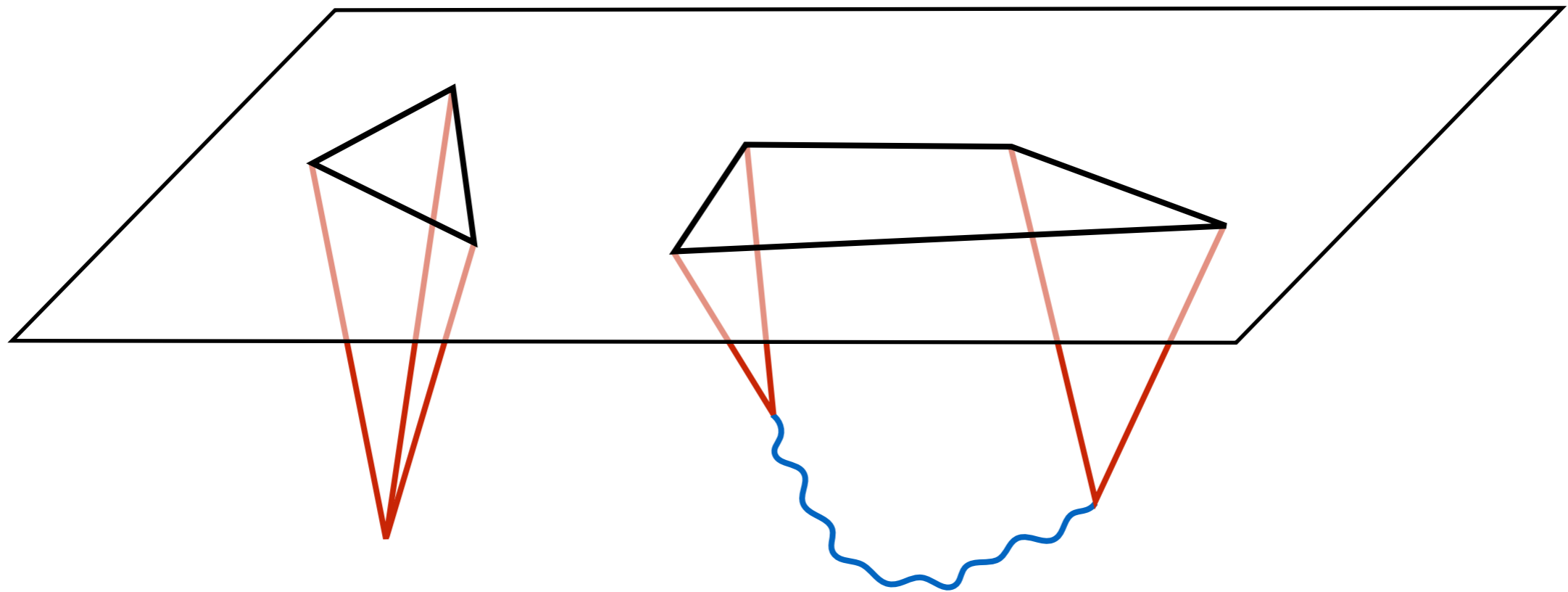
A central challenge of modern cosmology is to construct a **consistent history** of the universe that explains these correlations:



# Time Without Time

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All cosmological correlations can be traced back to the spacelike boundary of the inflationary quasi-de Sitter spacetime:



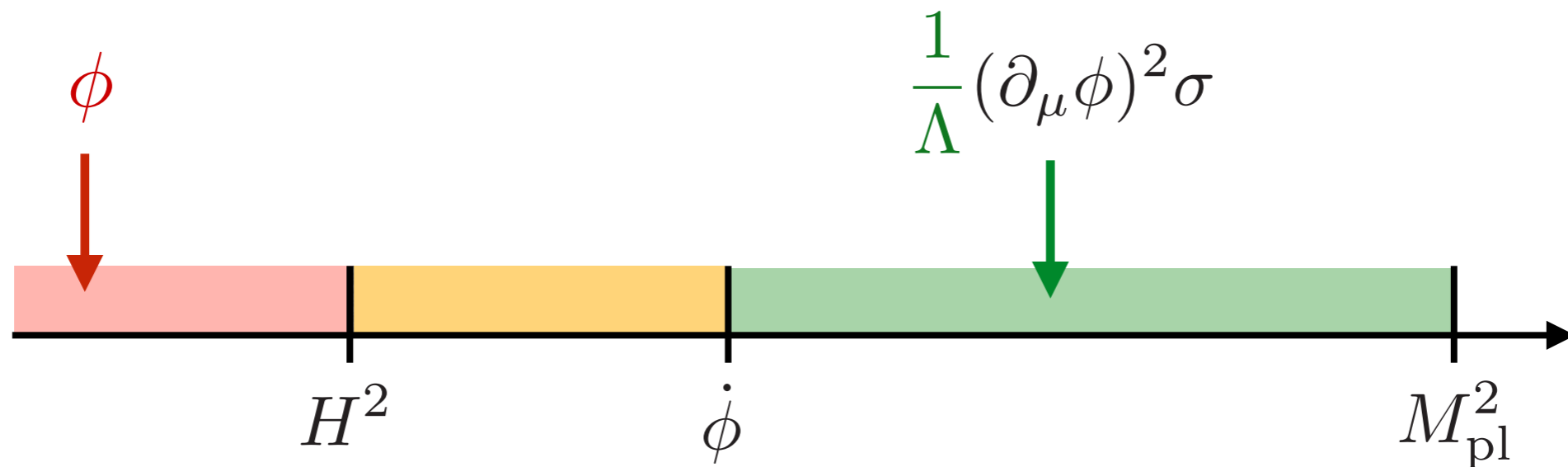
The time dependence of bulk interactions is encoded in the momentum dependence of these boundary correlators.

In this talk, I will describe a new approach to determine these correlations from consistency conditions alone = **bootstrap**.

# A Lamppost

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We will work under the lamppost of **single-field slow-roll inflation** with **weak couplings** to massive particles:



This provide a maximal degree of theoretical control, but limits the strength of the allowed interactions:

$$f_{\text{NL}} < 1$$

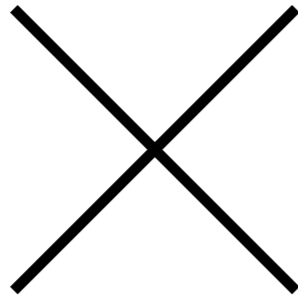


# The S-Matrix Bootstrap

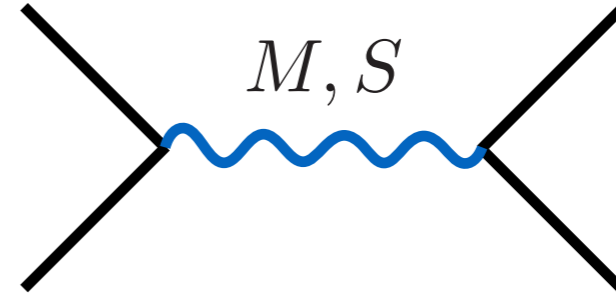
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We will take inspiration from the S-matrix bootstrap, where the structure of scattering amplitudes is fixed by **Lorentz invariance**, **locality** and **unitarity**:

$$A(s, t) = \sum a_{nm} s^n t^m + \frac{g^2}{s - M^2} P_S \left( 1 + \frac{2t}{M^2} \right)$$



*contact  
interactions*

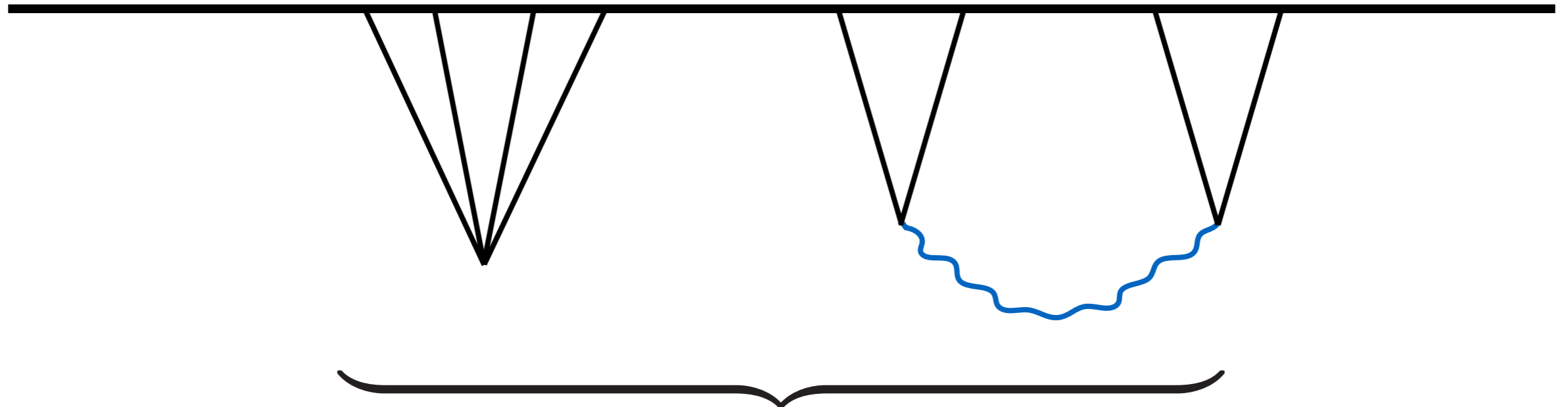


*exchange  
interactions*

- No Lagrangian or Feynman diagrams are needed to derive this.
- Basic principles allow only a small menu of possibilities.

# The Cosmological Bootstrap

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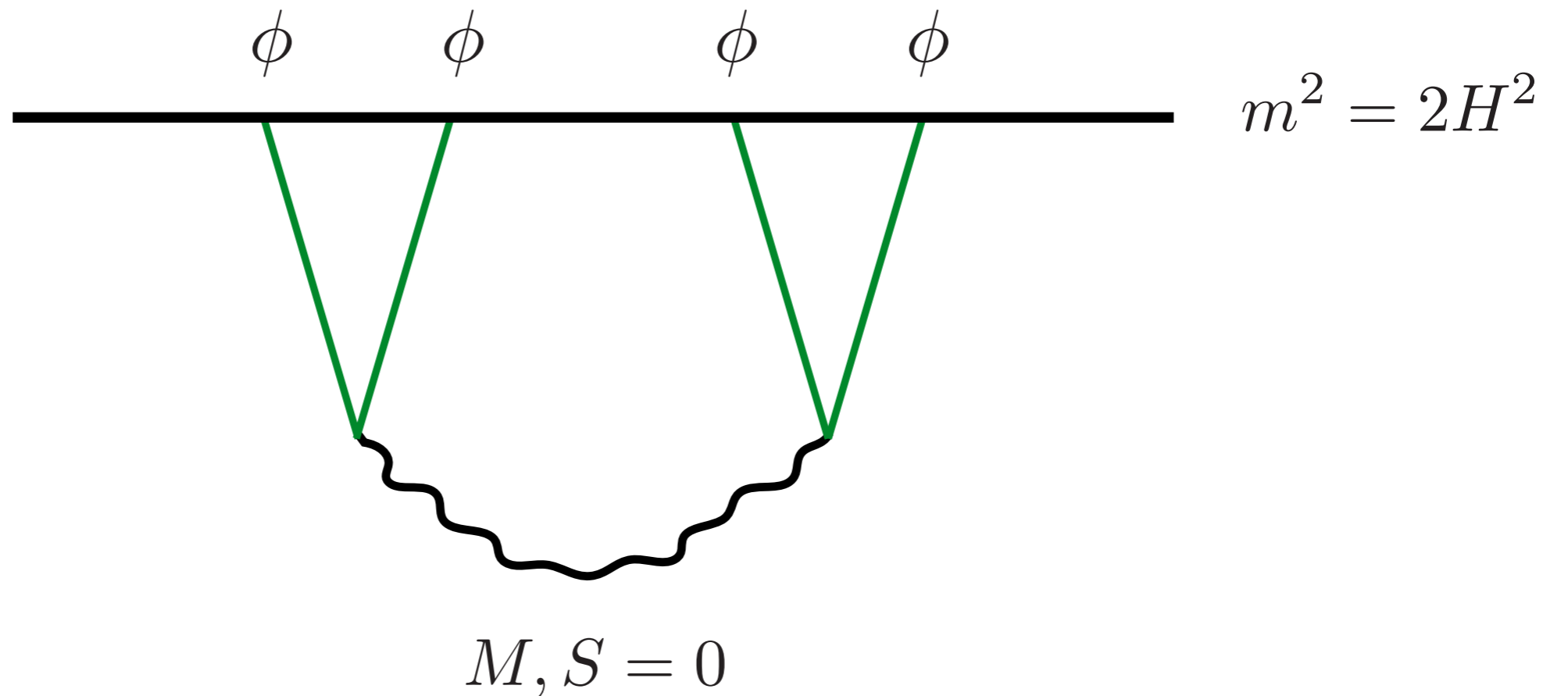
*fixed by  
symmetries and singularities*

- No Lagrangian or Feynman diagrams are needed to derive this.
- Basic principles allow only a small menu of possibilities.

# Outline

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The fundamental object will be the 4-pt function of **conformally-coupled scalars** in de Sitter space.

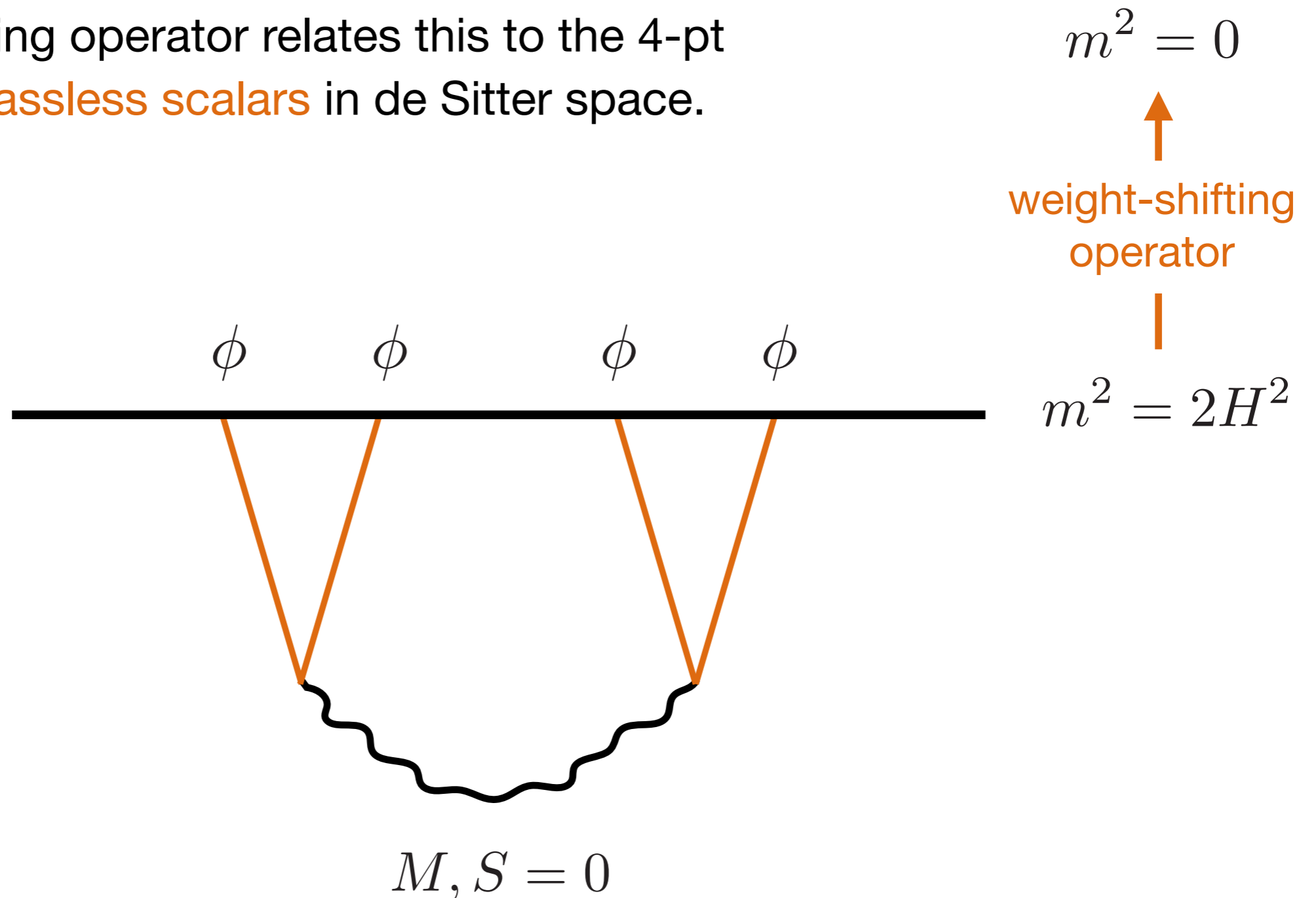




# Outline

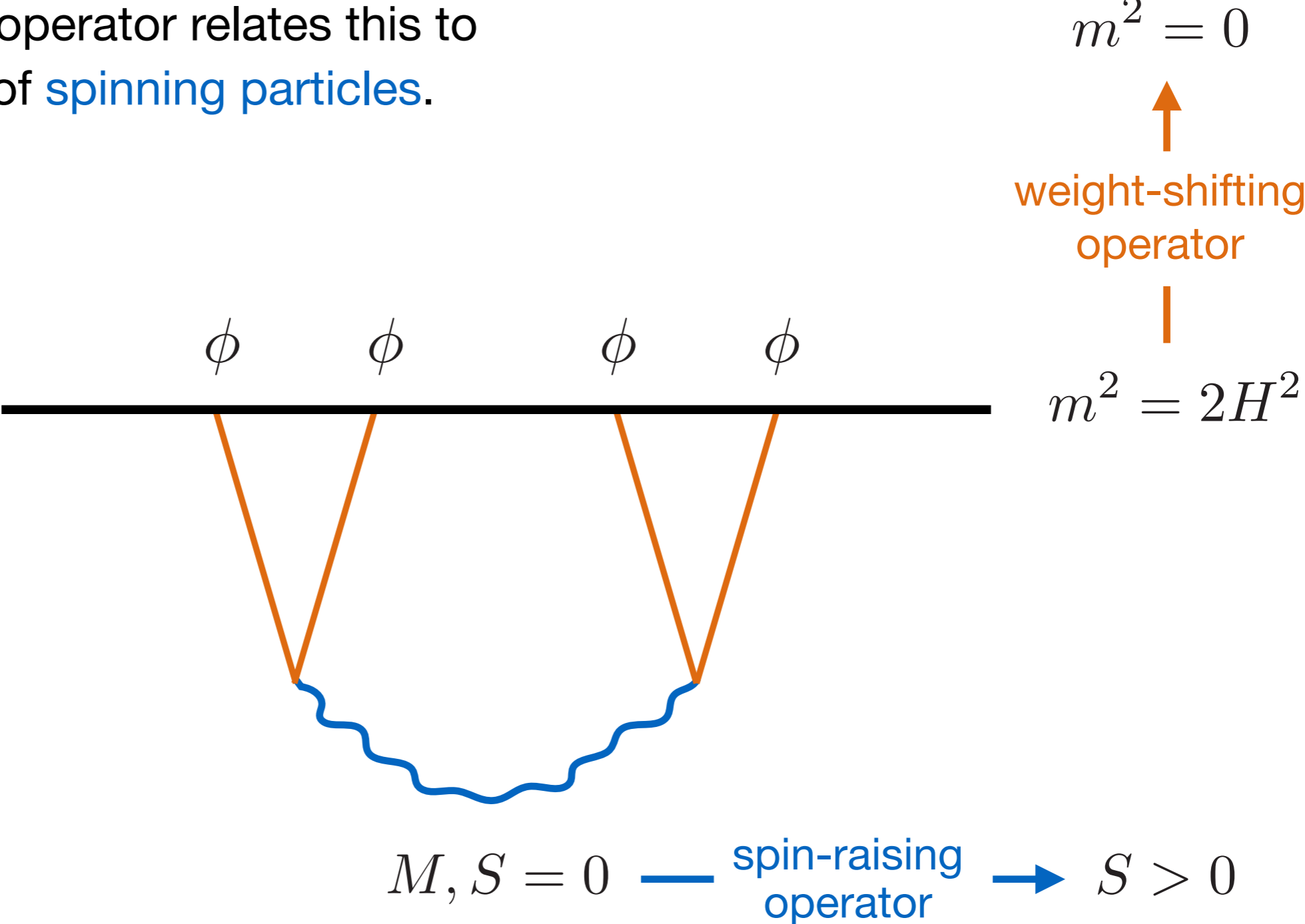
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A weight-shifting operator relates this to the 4-pt function of **massless scalars** in de Sitter space.



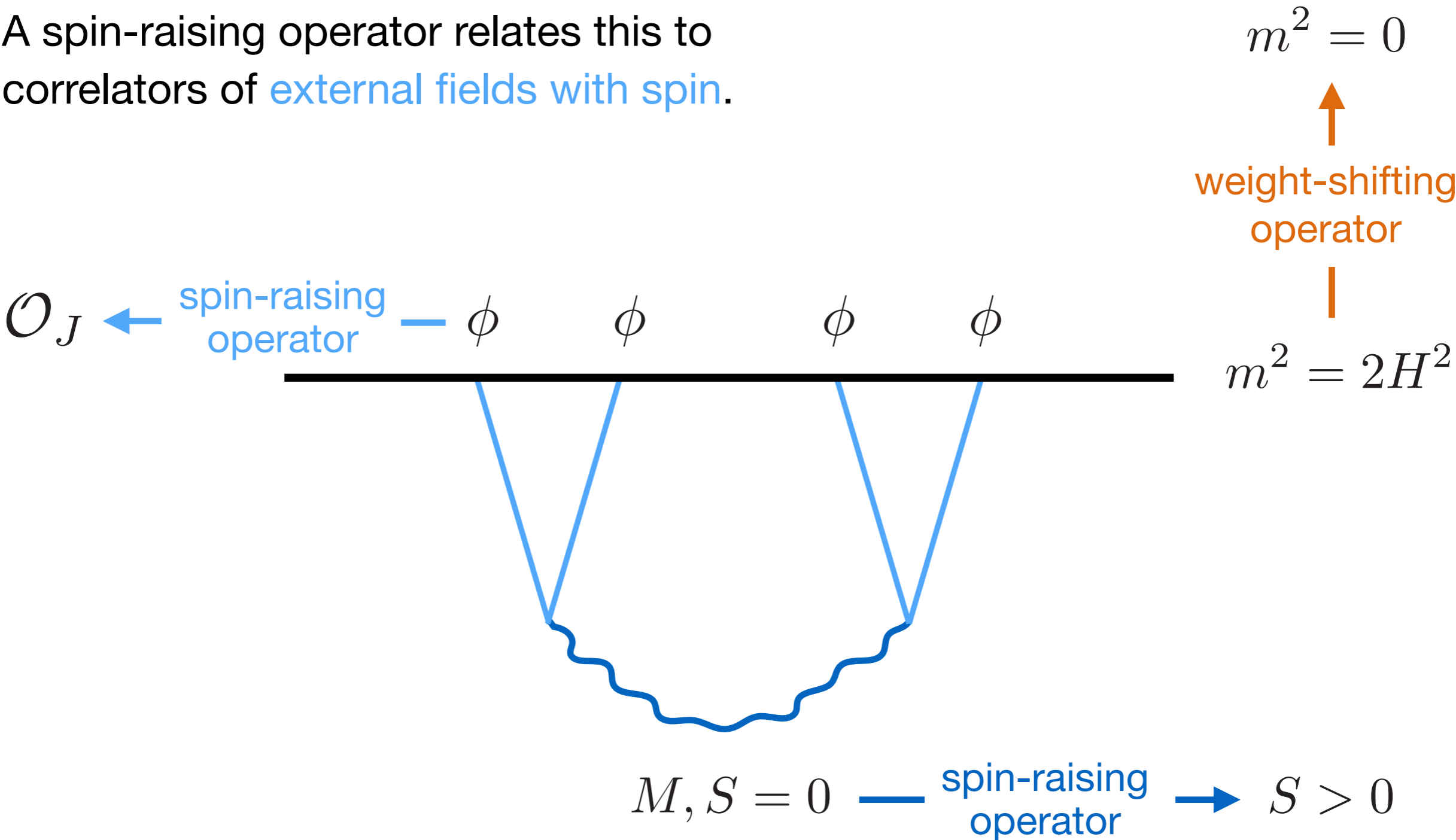
# Outline

A spin-raising operator relates this to the exchange of **spinning particles**.



# Outline

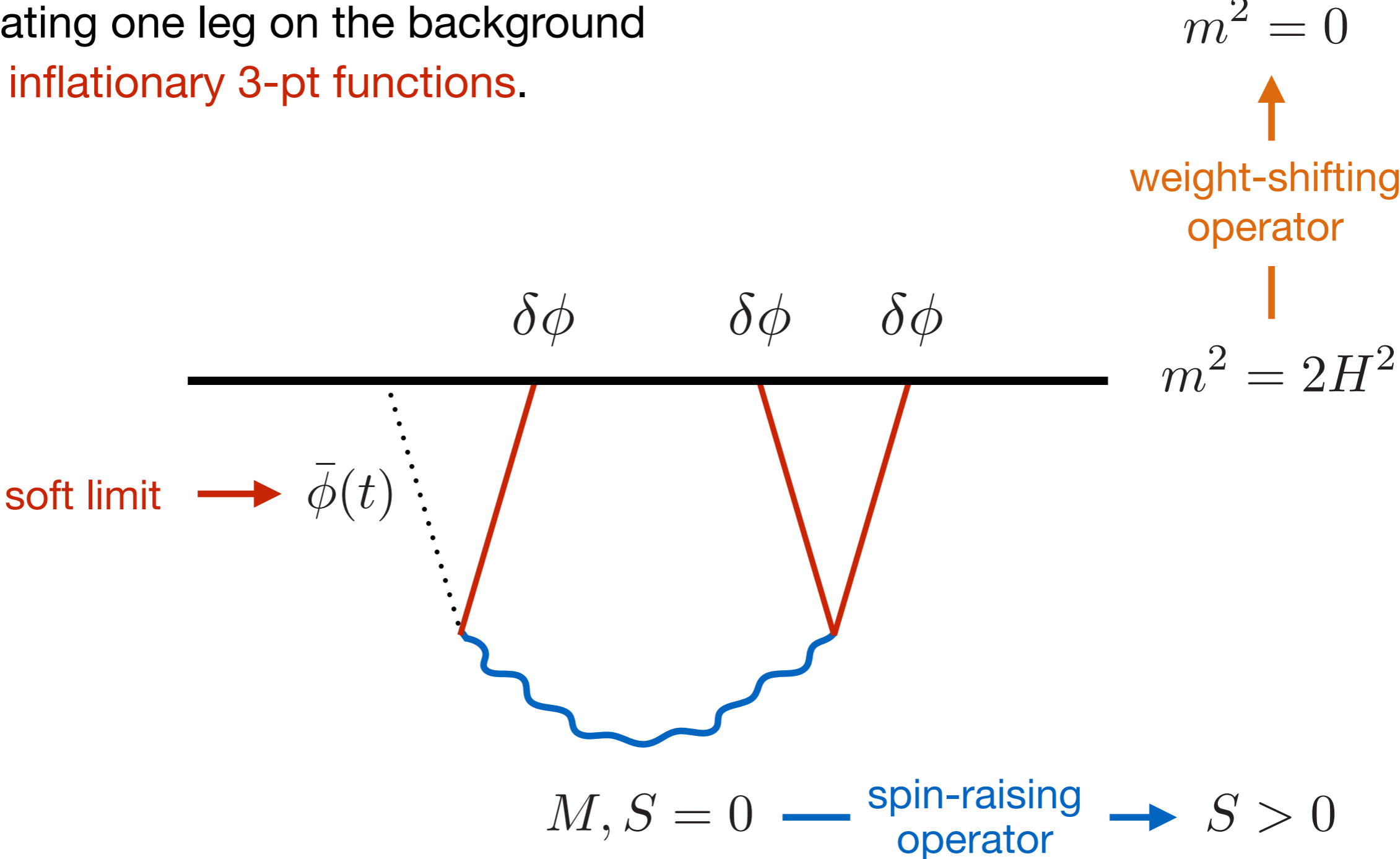
A spin-raising operator relates this to correlators of external fields with spin.





# Outline

Evaluating one leg on the background gives **inflationary 3-pt functions**.

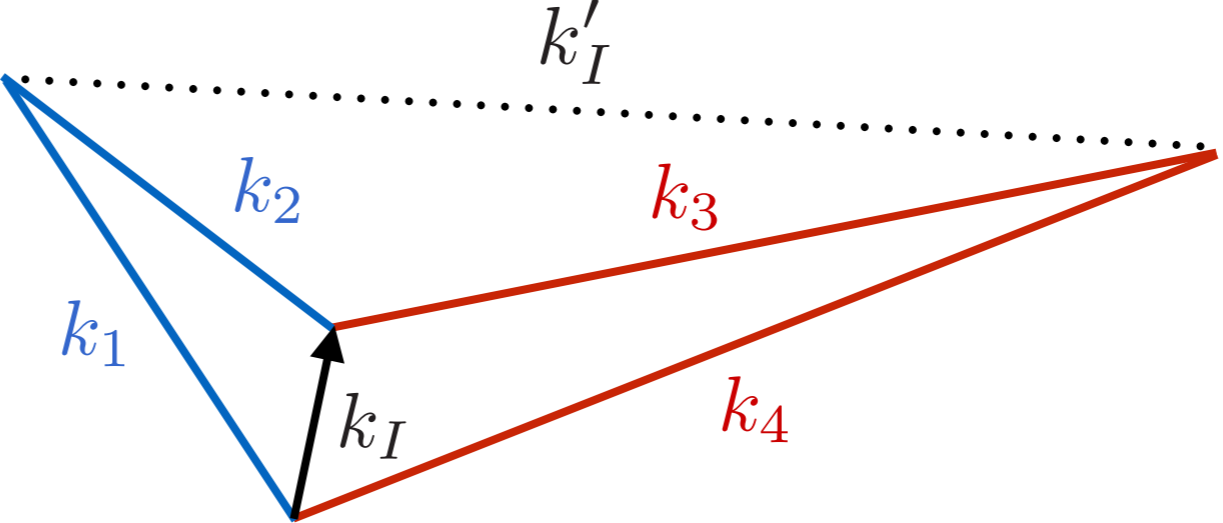


# De Sitter Four-Point Functions

# Symmetries

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Boundary correlators in de Sitter are constrained by conformal symmetry:

$$\langle \phi_{\vec{k}_1} \phi_{\vec{k}_2} \phi_{\vec{k}_3} \phi_{\vec{k}_4} \rangle =$$


rotations  
+  
translations

$$= \frac{1}{k_I} \hat{F}(u, v)$$

dilatations

where  $u^{-1} \equiv \frac{k_1 + k_2}{k_I}$  and  $v^{-1} \equiv \frac{k_3 + k_4}{k_I}$ .



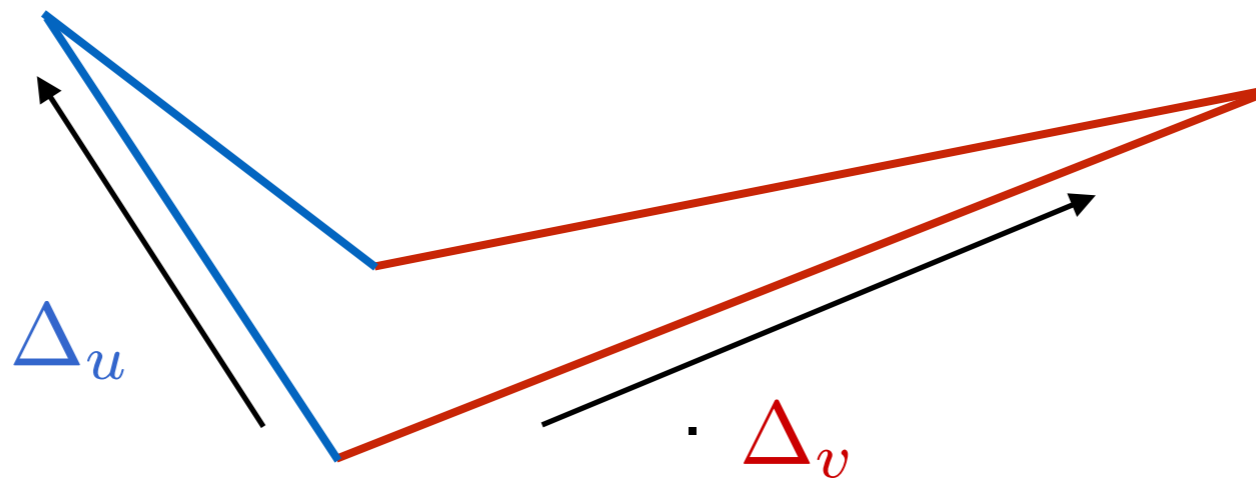
# Symmetries

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The Ward identity of special conformal transformations implies

$$(\Delta_u - \Delta_v)\hat{F} = 0$$

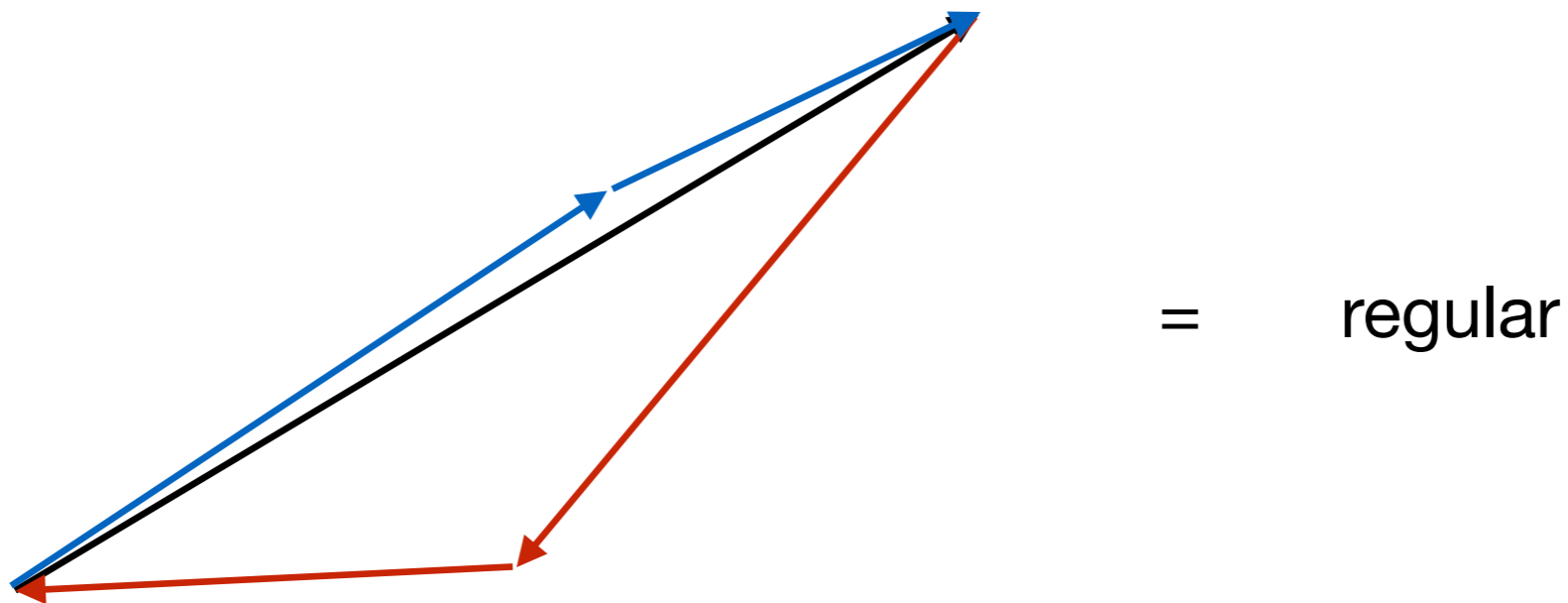
where  $\Delta_u \equiv u^2(1 - u^2)\partial_u^2 - 2u^3\partial_u$ .



# Singularities

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For the Bunch-Davies vacuum, the solutions should have no singularities in the folded limit:



Together with the correct normalization of a factorization channel, this provides the boundary conditions of the problem.

# Exchange Interactions

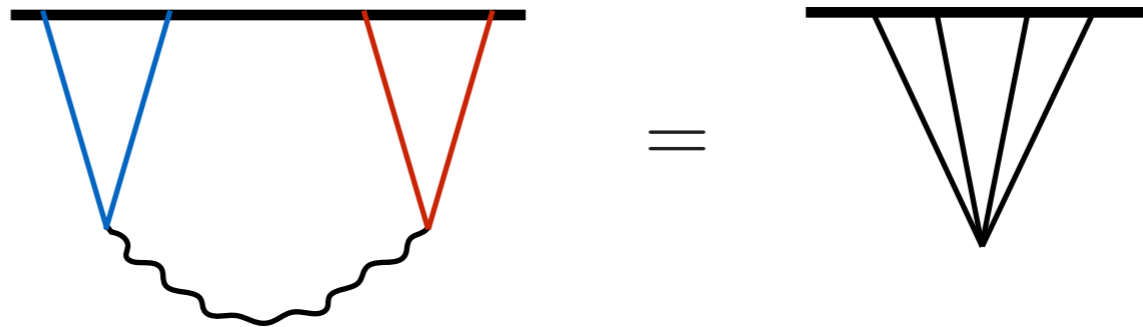
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For tree exchange, the conformal Ward identity reduces to:

$$\begin{aligned}(\Delta_u + M^2)\hat{F} &= \hat{F}_c \\(\Delta_v + M^2)\hat{F} &= \hat{F}_c\end{aligned}$$

where the sources are contact solutions:

$$\begin{aligned}(\Delta_u + M^2) \\(\Delta_v + M^2)\end{aligned}$$





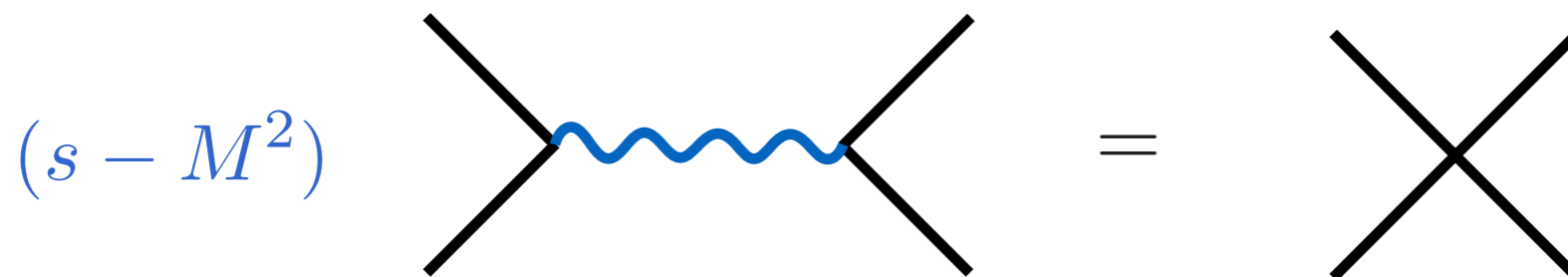
# Exchange Interactions

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For tree exchange, the conformal Ward identity reduces to:

$$\begin{aligned}(\Delta_u + M^2)\hat{F} &= \hat{F}_c \\(\Delta_v + M^2)\hat{F} &= \hat{F}_c\end{aligned}$$

This is analogous to the structure for scattering amplitudes.



# EFT Expansion

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A formal solution of the conformal Ward identity is

$$\hat{F} = \frac{\hat{F}_c^{(0)}}{\Delta_u + M^2} = \sum_n \frac{1}{n!} \left( -\frac{\Delta_u}{M^2} \right)^n \frac{\hat{F}_c^{(0)}}{M^2} \leftarrow \phi^4 + \frac{(\partial_\mu \phi)^4}{M^4} + \dots$$

EFT expansion

This misses the effect of particle production!

# Particle Production

The boundary conditions of the problem require adding homogeneous solutions that capture the effect of particle production:

For small  $u$ , the solution is

$$\hat{F} = \underbrace{\sum_n \frac{(-1)^n}{(n + \frac{1}{2})^2 + M^2} \left(\frac{u}{v}\right)^{n+1}}_{\text{EFT expansion}} + \underbrace{\frac{\pi}{\cosh(\pi M)} \frac{\sin(M \log(u/v))}{M}}_{\text{particle production}}$$

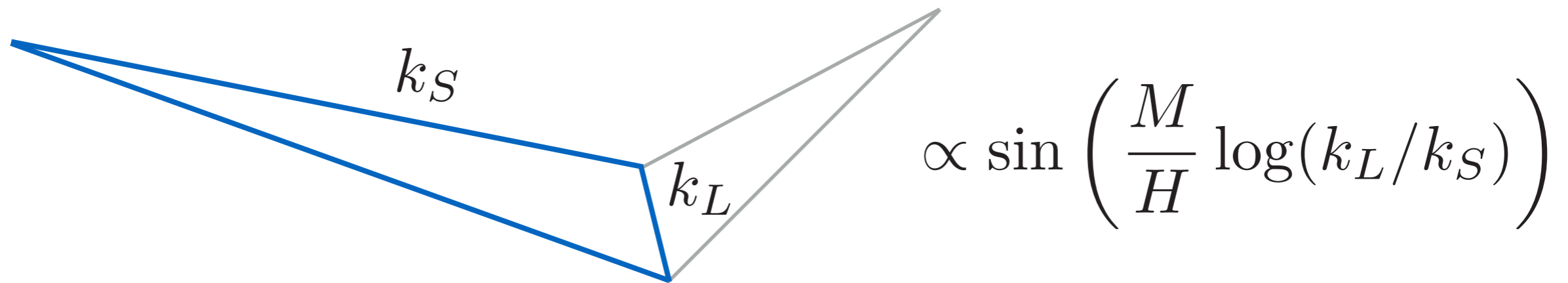
analytic non-analytic

The general solution has a similar form.

# Soft Limit

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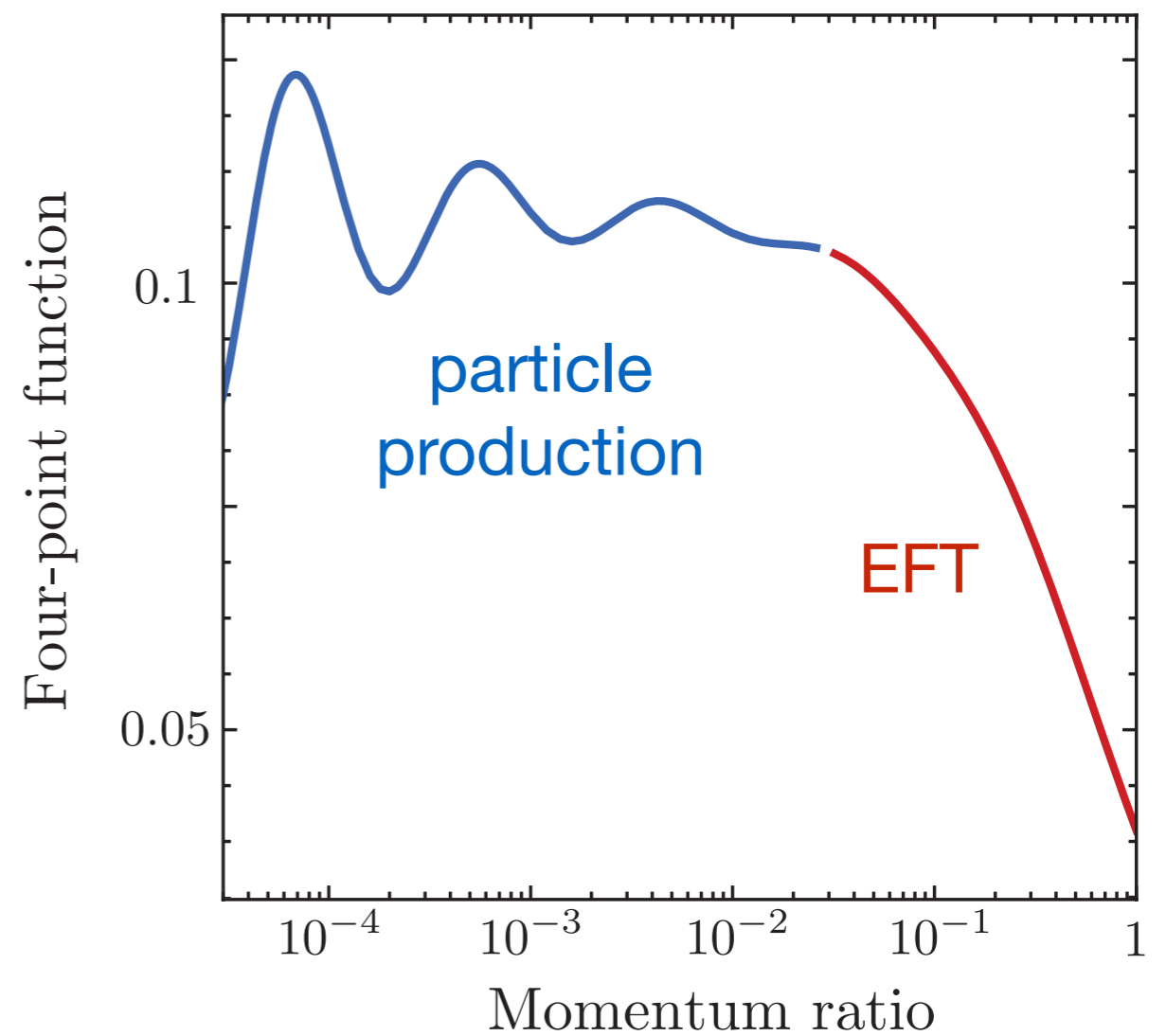
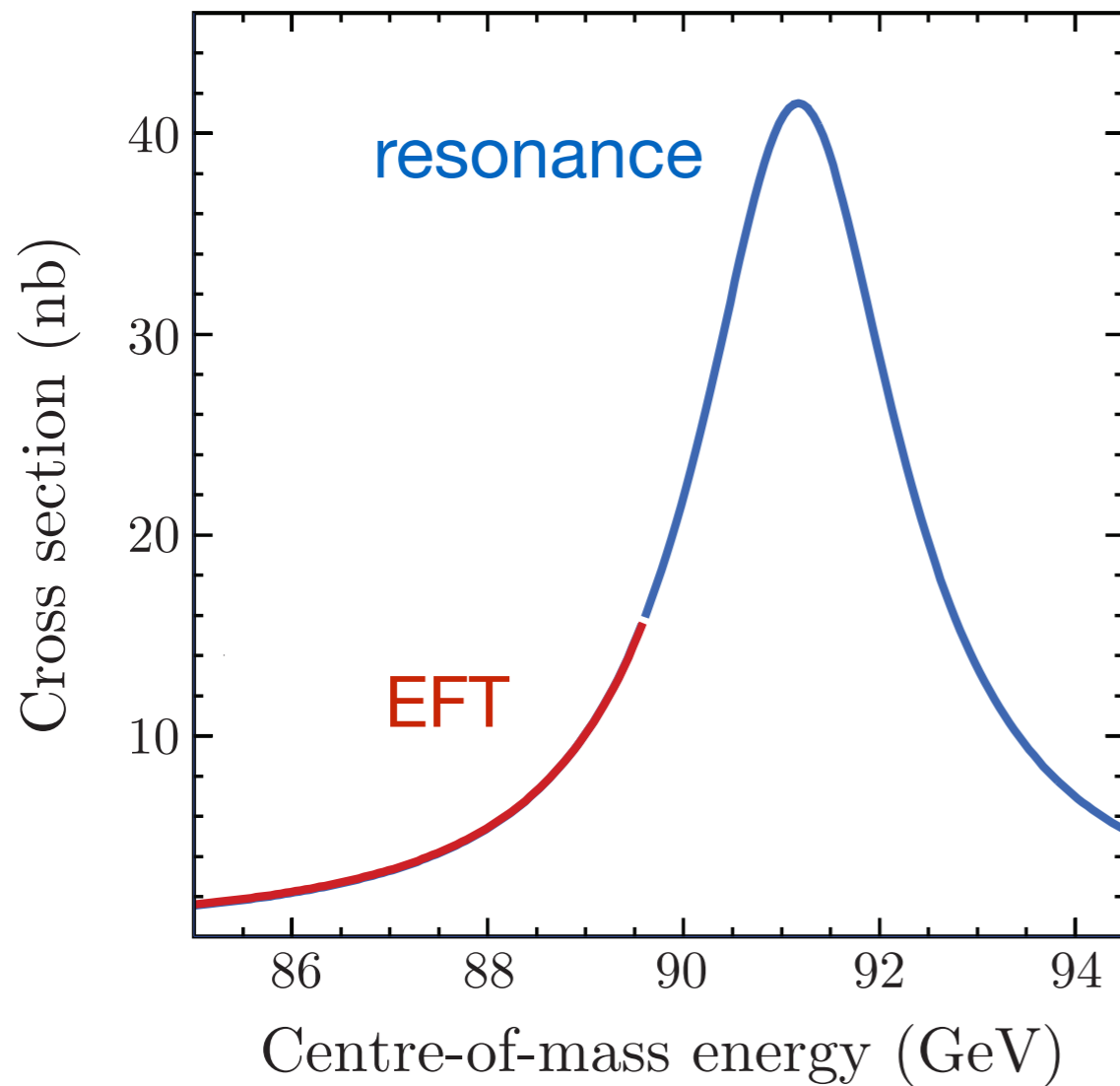
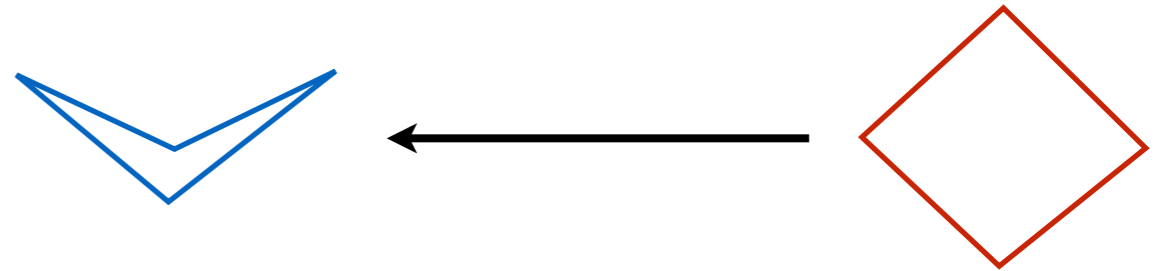
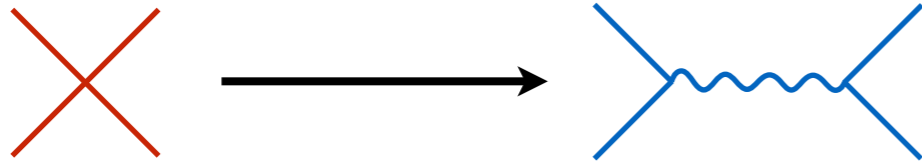
The particle production piece dominates in the collapsed limit of the four-point function (or the squeezed limit of the three-point function):



In this limit, the signal oscillates with a frequency given by the mass of the new particles.

This is the analog of resonances in collider physics.

# Particle Spectroscopy





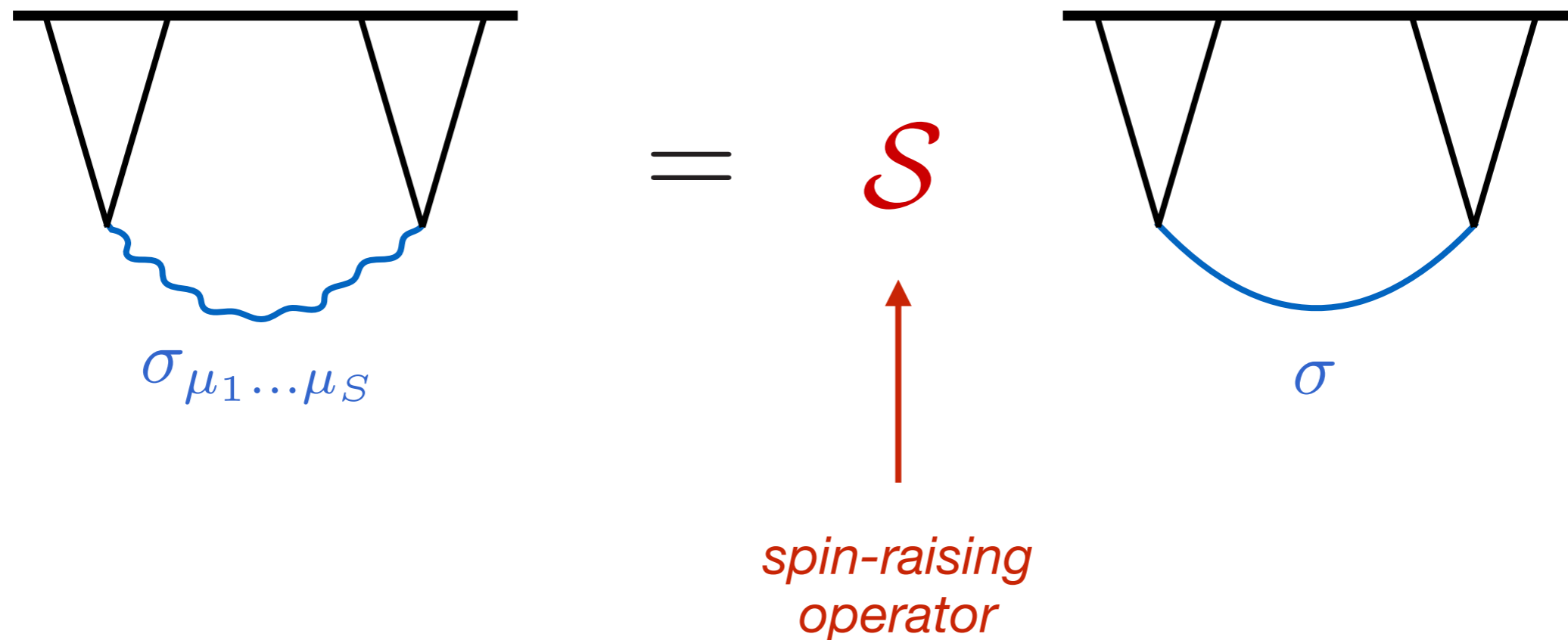
# Exchange of Spinning Particles

with Carlos Duaso and Austin Joyce

# Strategy

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We wish to find differential operators that relate scalar exchange to spin exchange:

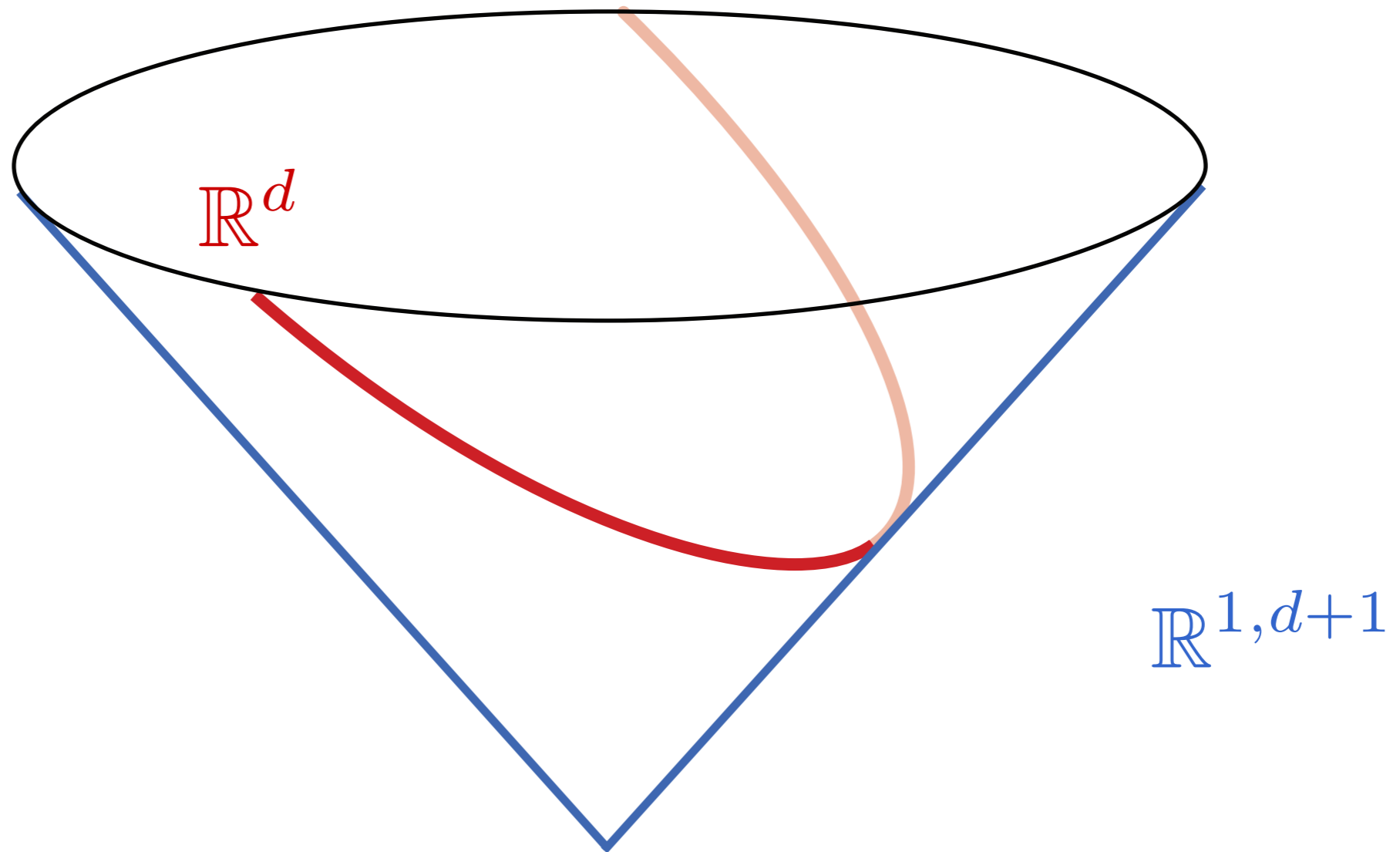


It turns out that the spin raising is best implemented in embedding space and then Fourier transformed.

# CFTs in Embedding Space

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Consider the following embedding of  $d$ -dimensional Euclidean space into  $(d+2)$ -dimensional Minkowski space:

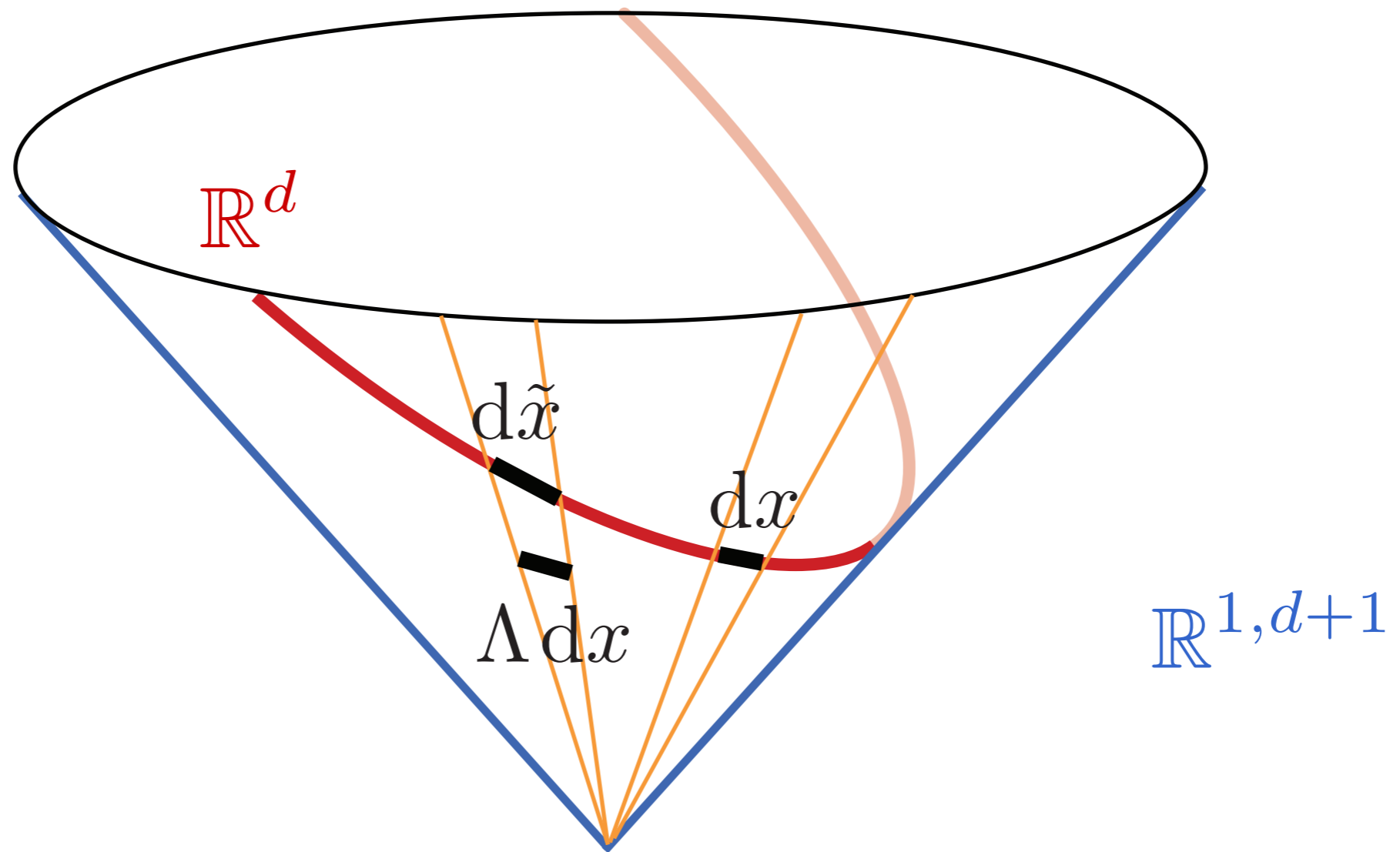


Dirac [1936]

Costa, Penedones, Poland and Rychkov [2011]

# CFTs in Embedding Space

Lorentz transformations in embedding space become conformal transformations on the Euclidean section:



Dirac [1936]

Costa, Penedones, Poland and Rychkov [2011]

# CFTs in Embedding Space

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Conformal correlators in embedding space are simply the most general Lorentz-invariant expressions with the correct scaling behavior:

$$\langle \phi_1 \phi_2 \rangle = \frac{1}{X_{12}^{\Delta_1}},$$

$$\langle \phi_1 \phi_2 \phi_3 \rangle = \frac{1}{X_{12}^{(\Delta_1 + \Delta_2 - \Delta_3)/2} X_{23}^{(\Delta_2 + \Delta_3 - \Delta_1)/2} X_{31}^{(\Delta_3 + \Delta_1 - \Delta_2)/2}},$$

$$\langle \phi_1 \phi_2 \phi_3 \phi_4 \rangle = f(u, v) \prod_{n < m}^4 \frac{1}{X_{nm}^{\Delta_n + \Delta_m - \Delta_t/3}},$$

where  $X_{mn} \equiv X_n \cdot X_m \rightarrow (x_n - x_m)^2$ .



# Spin-Raising Operator

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Correlators of spinning fields can be written in terms of scalar seeds.

For example:

$$\langle \phi \tilde{\phi} \Sigma^M \rangle = \frac{X_1^M X_{23} - X_2^M X_{13}}{(X_{12} X_{23} X_{31})^{1/2}} \langle \phi \tilde{\phi} \Sigma \rangle = \mathcal{S}^M \langle \phi \tilde{\phi} \Sigma \rangle ,$$

where

$$\mathcal{S}^M \equiv (X_3 \cdot X_2) \frac{\partial}{\partial X_3^M} - X_2^M X_3 \cdot \frac{\partial}{\partial X_3} .$$

In Fourier space, this becomes

$$\mathcal{S}^i \equiv (\partial_{k_3^i} - \partial_{k_2^i}) + \frac{k_3^i}{2} (\partial_{k_3^j} - \partial_{k_2^j}) (\partial_{k_3^j} - \partial_{k_2^j}) .$$

# Bootstrapping Spin Exchange

Using this spin-raising operator, we have

$$\hat{F}_S = \sum_{\lambda=0}^S P_{i_1 \dots i_S j_1 \dots j_S}^{(\lambda)} (\mathcal{S}_L^{i_1} \dots \mathcal{S}_L^{i_S}) (\mathcal{S}_R^{j_1} \dots \mathcal{S}_R^{j_S}) \hat{F}_0,$$

↑ *spin-exchange solution*     
 ↑ *polarization tensor*     
 ↑ *spin-raising operator*     
 ↑ *scalar-exchange solution*

which can be written as

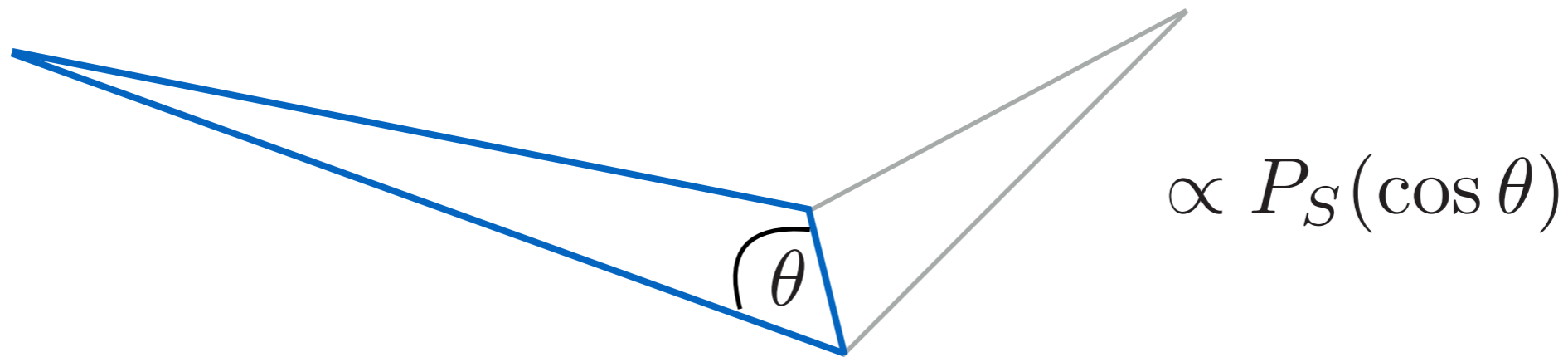
$$\hat{F}_S = \sum_{\lambda=0}^S \Pi_{S,\lambda}(\text{angles}) \mathcal{D}_{uv}^{(S,\lambda)} \hat{F}_0$$

e.g.  $\mathcal{D}_{uv}^{(S,S)} \equiv [(uv)^2 \partial_u \partial_v]^S$

# Soft Limit

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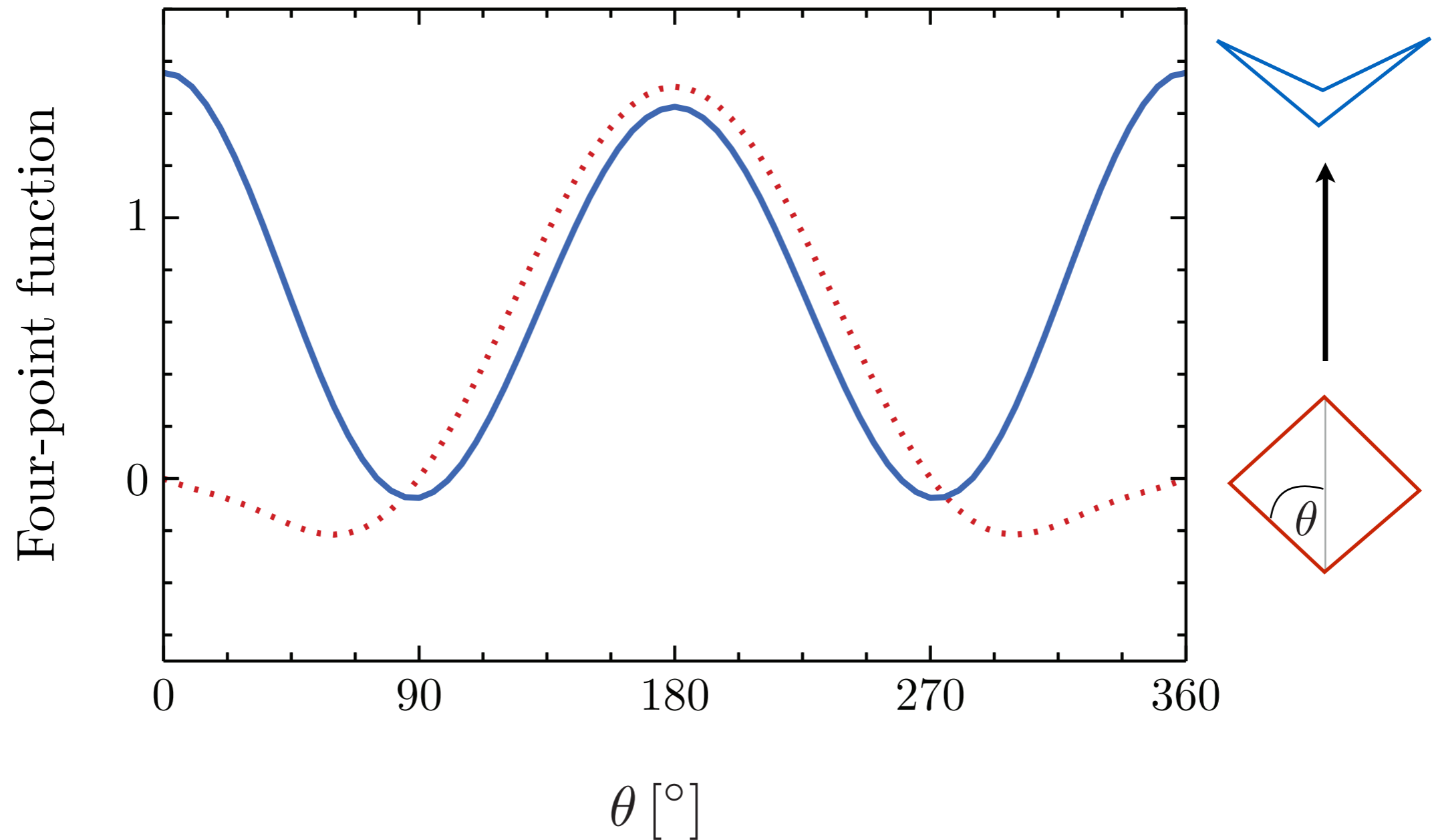
The spin of the new particles is encoded in the angular dependence of the collapsed limit:



This is the analog of the angular dependence of the final state particles in collider physics.

# Particle Spectroscopy

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Arkani-Hamed and Maldacena [2015]  
Arkani-Hamed, DB, Lee and Pimentel [2018]

# Inflationary Correlators

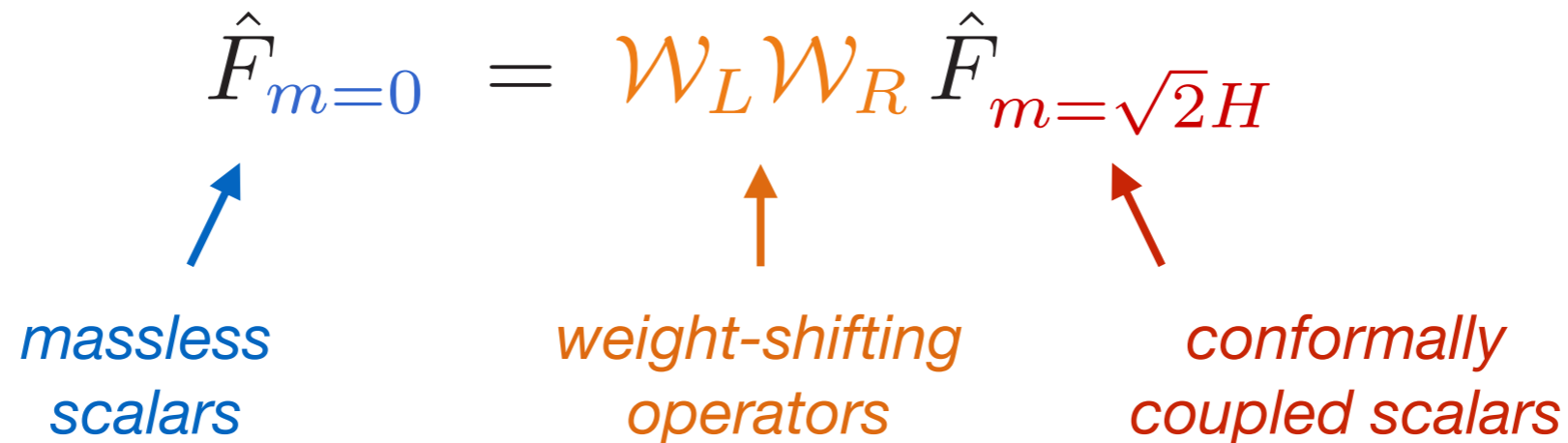


# Weight-Shifting Operators

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Four-point functions with massless external fields (= inflatons) can be obtained by acting with suitable weight-shifting operators:

$$\hat{F}_{m=0} = \mathcal{W}_L \mathcal{W}_R \hat{F}_{m=\sqrt{2}H}$$



*massless scalars*                      *weight-shifting operators*                      *conformally coupled scalars*

*e.g. acting on the scalar exchange solution*

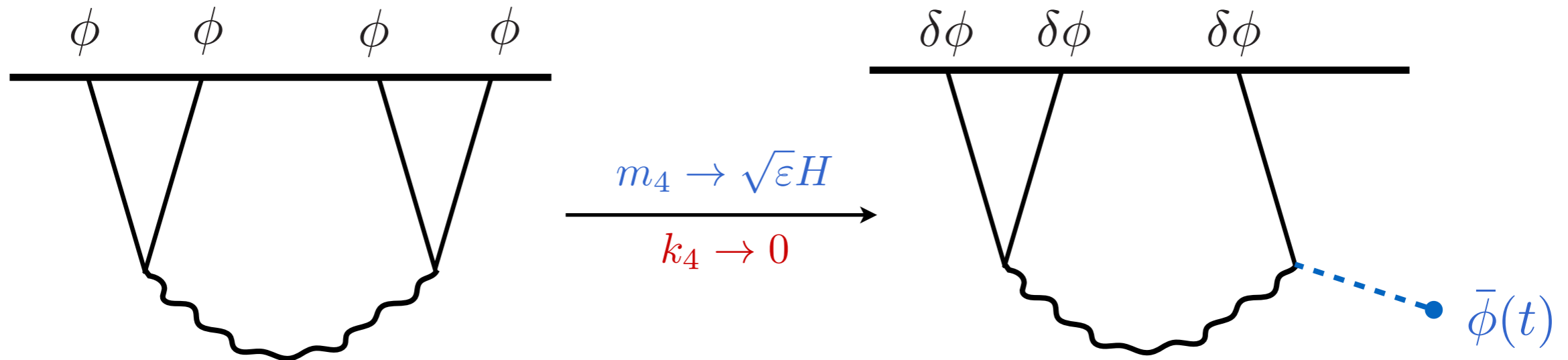
$$\mathcal{W}_L(\cdot) \equiv \frac{1}{2} \left( 1 - \frac{k_1 k_2}{k_{12}} \partial_{k_{12}} \right) \left[ \frac{1 - u^2}{u^2} \partial_u (u \cdot) \right]$$

For massless spin-2 exchange, this reproduces the four-point function of slow-roll inflation.

[Seery, Sloth and Vernizzi \[2008\]](#)  
[Kundu, Shukla and Trivedi \[2014\]](#)  
[Arkani-Hamed, DB, Lee and Pimentel \[2018\]](#)

# Inflationary Correlators

To obtain inflationary three-point functions, we evaluate one of the external legs on the time-dependent background:



$$= \mathcal{W}_L \mathcal{W}_R \hat{F}(u, v)$$

$$= \epsilon \mathcal{W}_L \lim_{v \rightarrow 1} \hat{F}(u, v)$$

For spin exchange, only the longitudinal mode contributes.

# Slow-Roll Inflation

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- For the simplest contact interaction,  $(\partial_\mu\phi)^4$ , this gives

$$B(k_1, k_2, k_3) = \frac{\varepsilon}{E^2} \left( \sum_n k_n^5 + \sum_{n \neq m} (2k_n^4 k_m - 3k_n^3 k_m^2) \right. \\ \left. + \sum_{n \neq m \neq l} (k_n^3 k_m k_l - 4k_n^2 k_m^2 k_l) \right)$$

Creminelli [2003]

- For graviton exchange, this reproduces the standard 3-point function of slow-roll inflation:

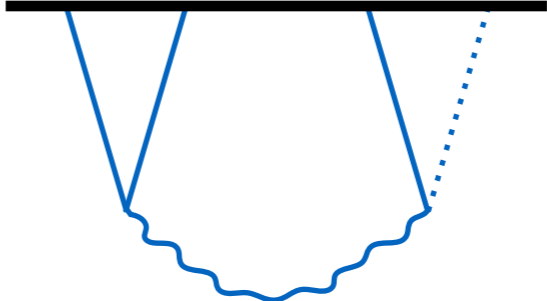
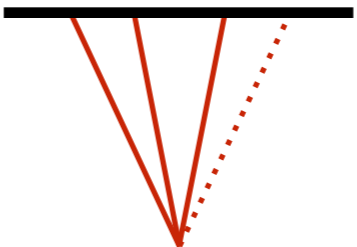
$$B(k_1, k_2, k_3) = \varepsilon \left[ \sum_{n \neq m} k_n k_m^2 + \frac{8}{E} \sum_{n > m} k_n^2 k_m^2 \right] + (n_s - 1) \sum_n k_n^3$$

Maldacena [2002]

# Massive Particles

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- The effects of massive particles during inflation are characterized in terms of just two basis functions:

$$B(k_1, k_2, k_3) = \mathcal{W}_L \left[ \sum_S a_S \mathcal{S}^{(S)} \right.    
 + \sum_n b_n \Delta_u^n  \left. \right] + \text{perms}$$

This result is valid for all momenta, not just soft limits.

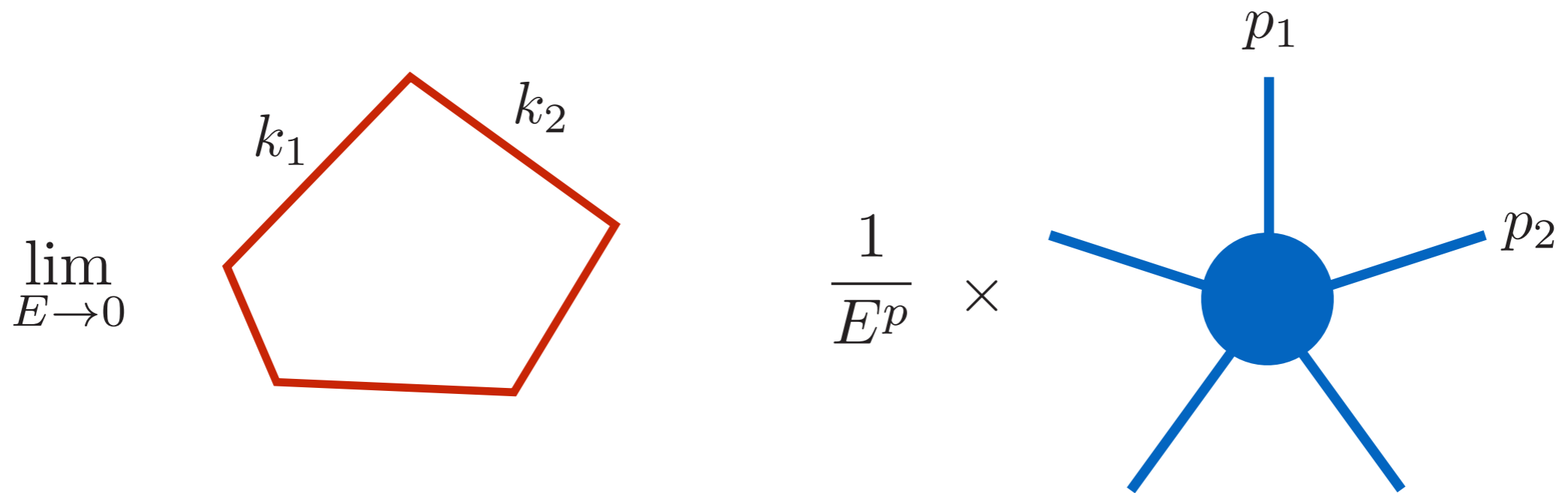
# Future Directions



# Amplitudes Meet Cosmology

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Remarkably, **correlation functions** contain **scattering amplitudes**:



where  $E \equiv \sum_n |\vec{k}_n|$ .

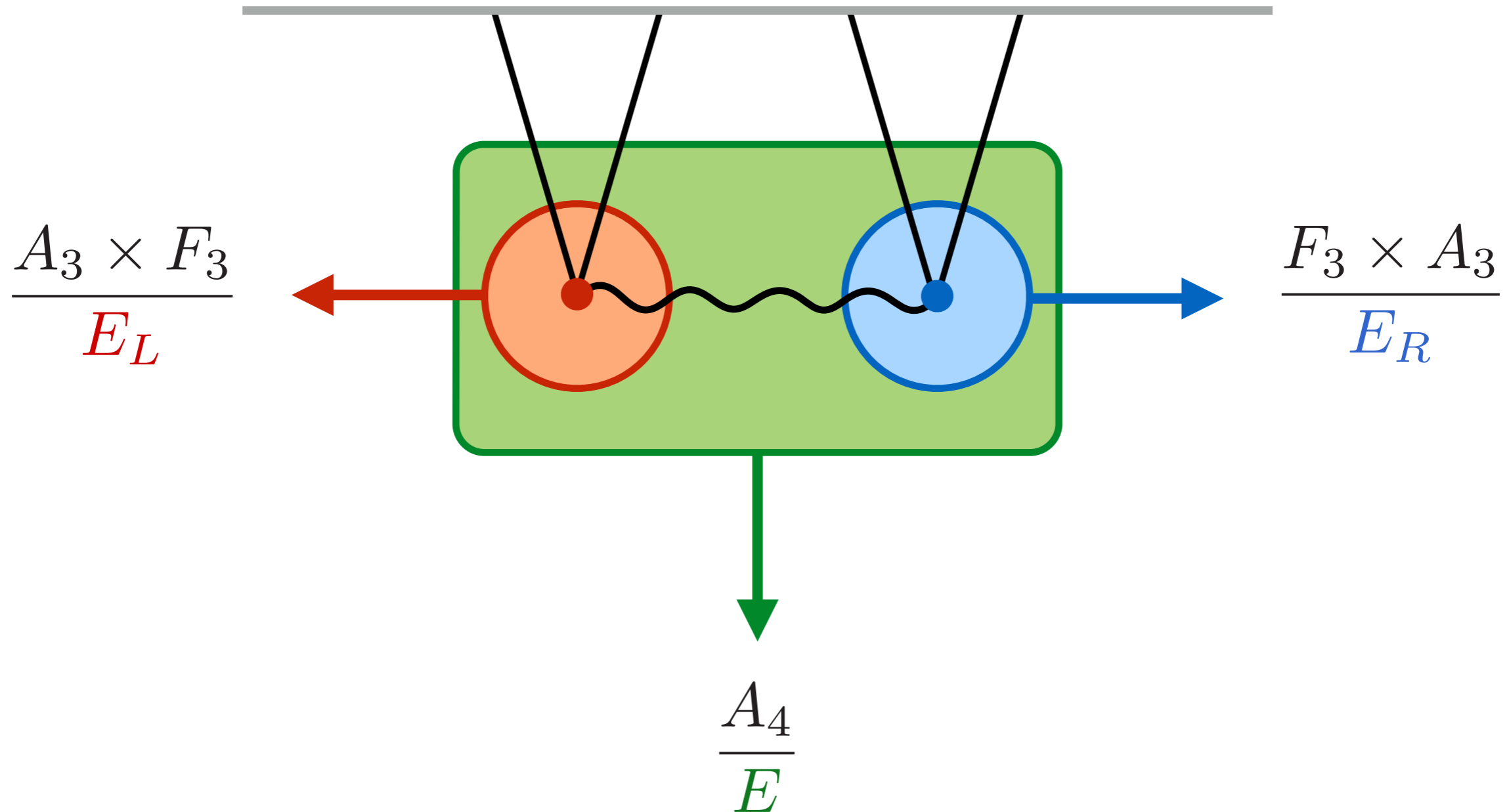
Raju [2012]  
Maldacena and Pimentel [2011]

Insights from modern scattering amplitudes methods should therefore translate to cosmology.

# Amplitudes Meet Cosmology

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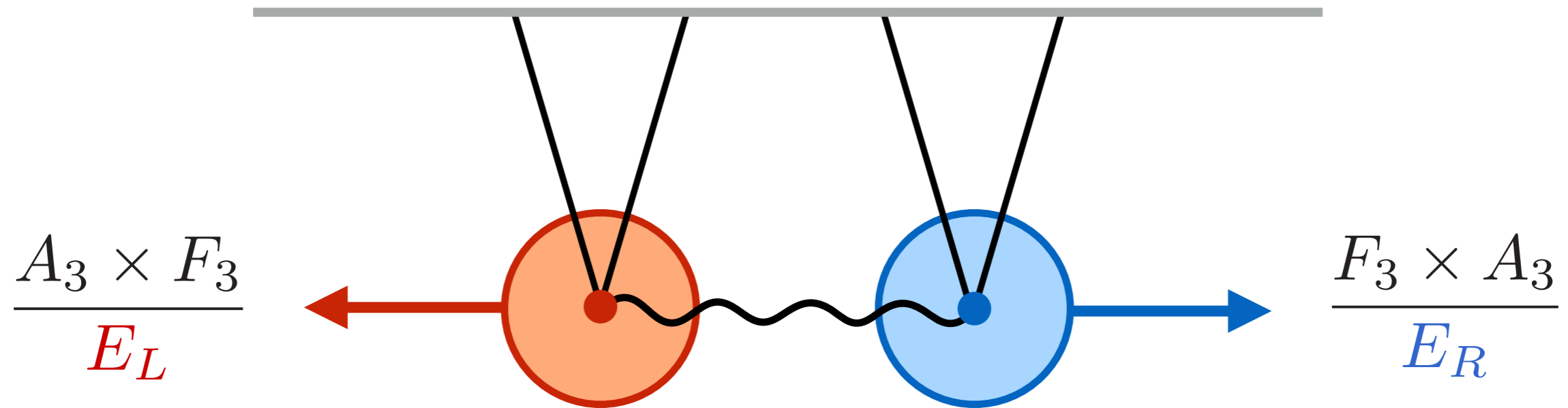
In special limits, correlation functions factorize into products of lower-point amplitudes (and lower-point correlators):



# Amplitudes Meet Cosmology

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In special limits, correlation functions factorize into products of lower-point amplitudes (and lower-point correlators):



- Correlators of massless spinning particles can be constructed by gluing together these factorization channels.

BCFW [2005]

- Not all theories will be consistent with locality.

Benincasa and Cachazo [2007]

McGady and Rodina [2014]



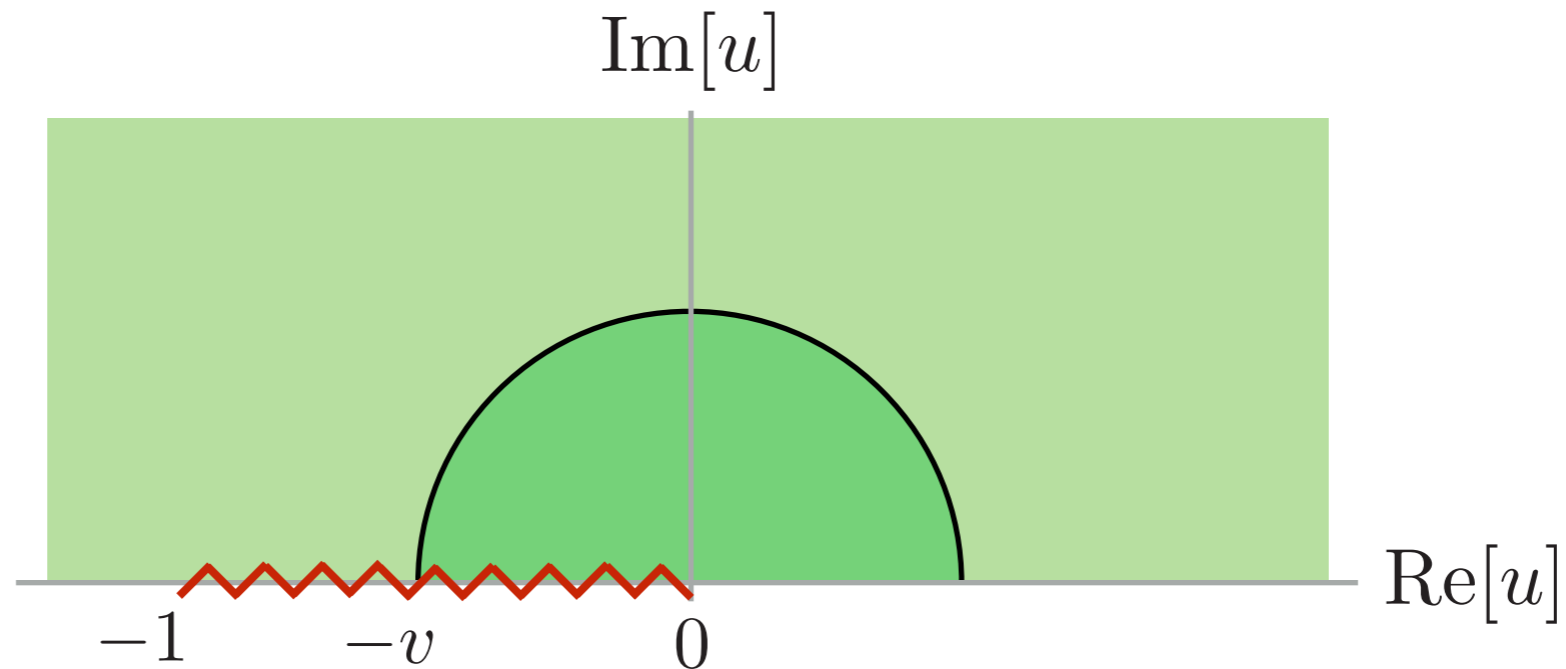


**Thank you for your attention!**



# Analytic Structure

The analytic structure of the solution is



- The branch cut arises from **particle production**.
- The discontinuity across the cut gives the **scattering amplitude**:

$$\lim_{u \rightarrow -v} \frac{\text{Disc}[\hat{F}']}{2\pi i} = \frac{1}{(k_1 + k_2)^2 - (\vec{k}_1 + \vec{k}_2)^2} = A_4$$

# Contact Interactions

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The simplest solutions correspond to **contact interactions**:

$$\hat{F}_c = \text{[Diagram of a triangle with a horizontal top line and a vertex at the bottom]} = \sum_n \frac{c_n(u, v)}{E^{2n+1}} \longleftarrow \phi^4, (\partial\phi)^4, \dots$$

which have poles at vanishing total energy:

$$\frac{E}{k_I} \equiv \frac{k_1 + k_2 + k_3 + k_4}{k_I} = \frac{u + v}{uv} .$$