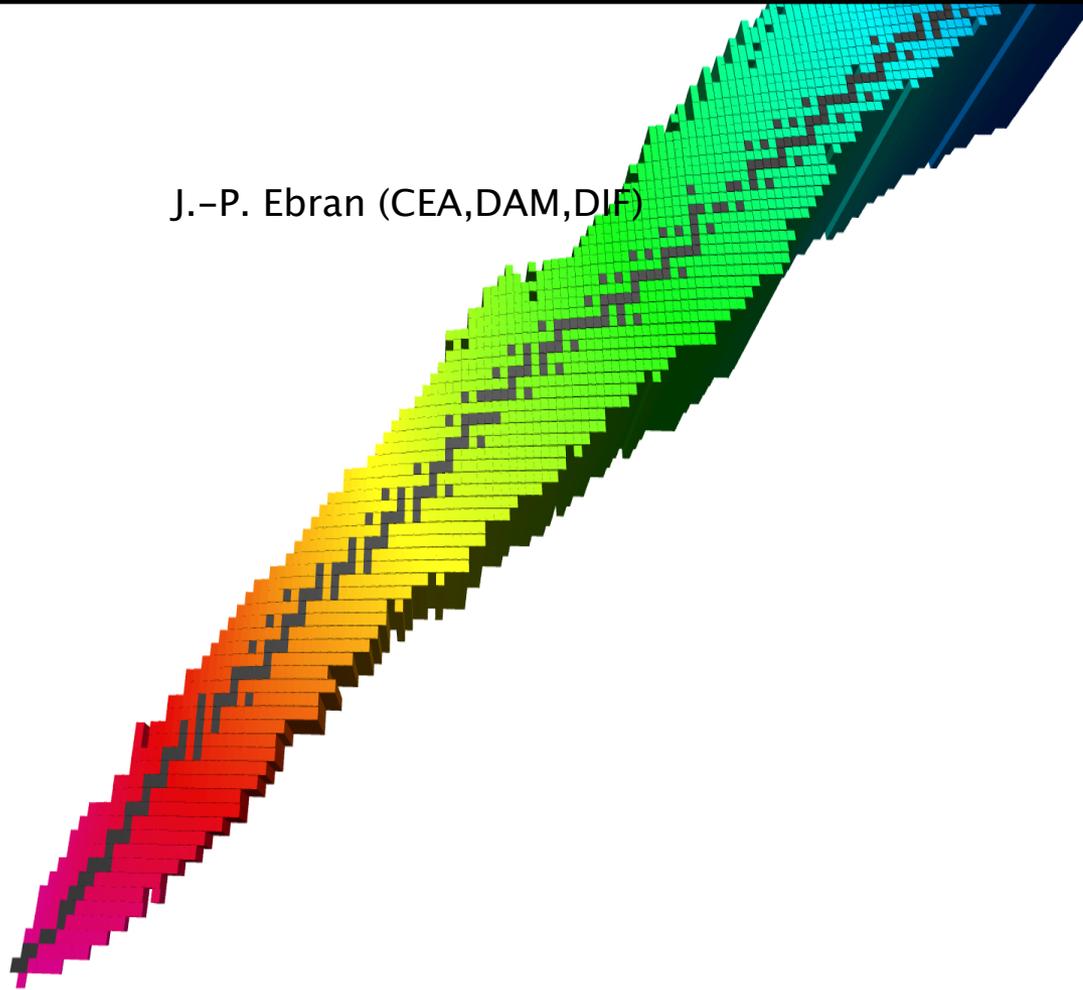


GT3: Quelles sont les nouvelles frontières dans la description microscopique des noyaux ?

## -Status of the Nuclear Many-Body Problem & Associated Challenges -

J.-P. Ebran (CEA,DAM,DIF)



GT3: Quelles sont les nouvelles frontières dans la description microscopique des noyaux ?

## -Status of the Nuclear Many-Body Problem & Associated Challenges -

✦ *Axes of reflection of the WG3*

---

➔ Theoretical foundations for the description of nuclear systems

↳ **Specificity** of nuclear systems : “How nuclear physics comes to be from QCD ?”

➔ Developing a web of relations with other fields

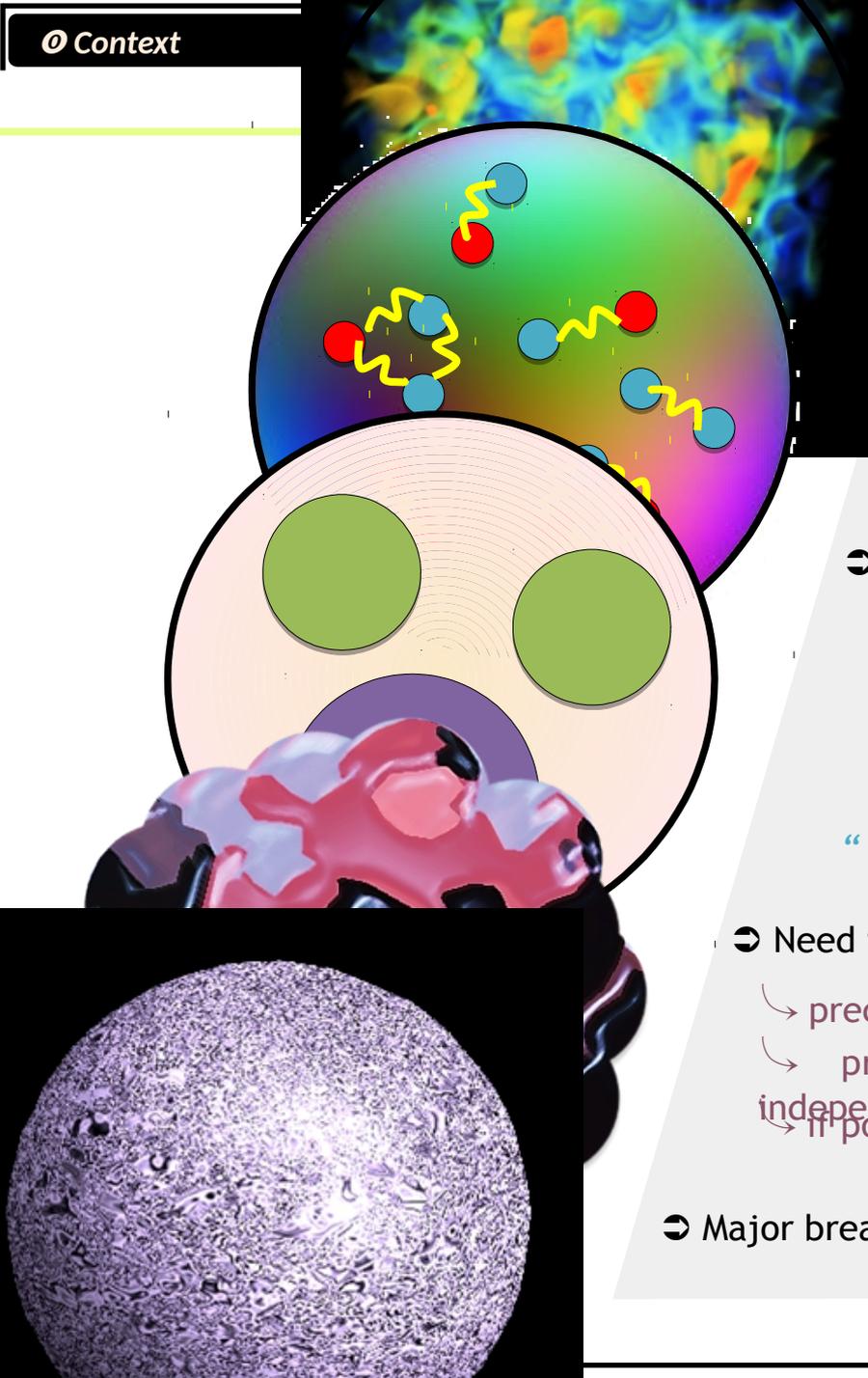
↳ **Universality** transcending nuclear physics : *Many-Body Problem + Emergent Phenomena*

0 Context

1 QCD in a nutshell

2 Inter-Nucleon Interactions

3 Many-Body treatment



⇒ Stunning number of ways to apprehend nuclei

↳ mirror of the richness/complexity of nuclear physics

⇒ In general, significant phenomenological component

↳ reachability / accuracy (near experimentally known regions)

↳ invaluable accumulation of knowledge

↳ mysterious success of some approaches / How far can we trust them ?

*“The truth is that we don’t know what we are doing !”*

D. L., GT1

⇒ Need for anchoring these approaches in sound physics

↳ precise domain of validity

↳ predictive, systematically improvable & model-independent

↳ if possible, without spoiling the reachability

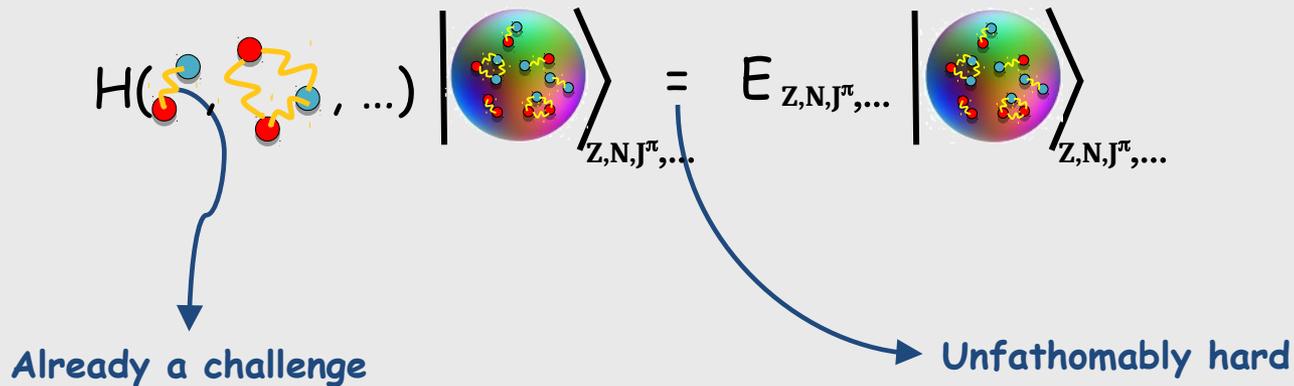
⇒ Major breakthrough : introduction of a RG & EFT-based language

# ★ Microscopic approach to nuclear systems

⇒ Microscopic viewpoint : nucleus = collection of interacting nucleons whose dynamics is encoded in

$$H(\text{[nucleon diagram]}, \dots)$$

⇒ Challenge : extract the observables of interest from the nuclear Hamiltonian



① Context

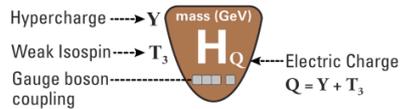
① QCD in a nutshell

② Inter-Nucleon Interactions

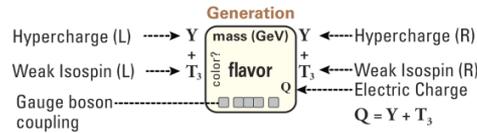
③ Many-Body treatment

# The Standard Model of Particle Physics

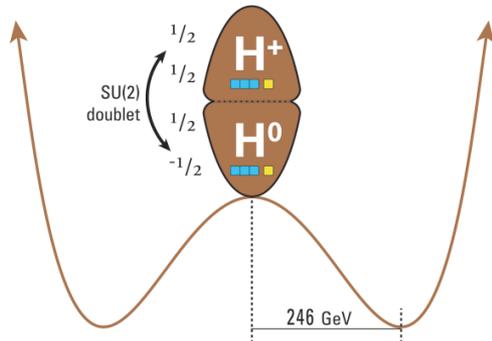
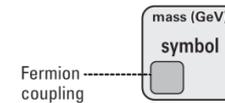
## Spin 0 (Higgs Boson)



## Spin 1/2 (Fermions)

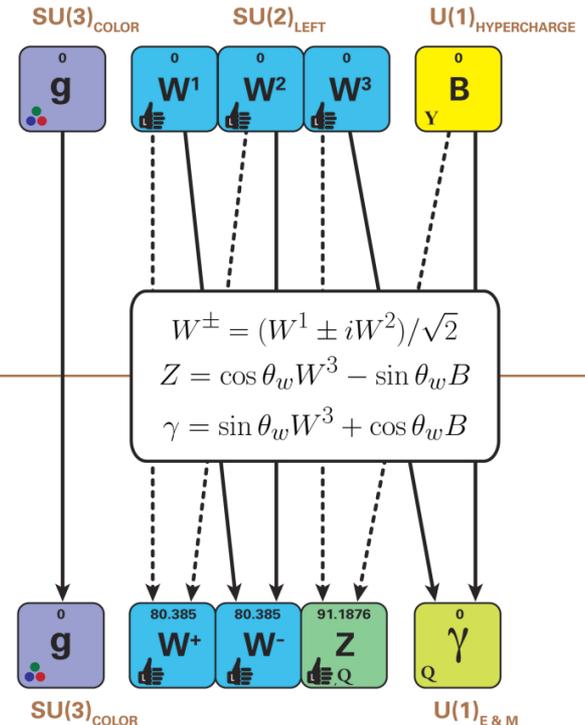


## Spin 1 (Gauge Bosons)

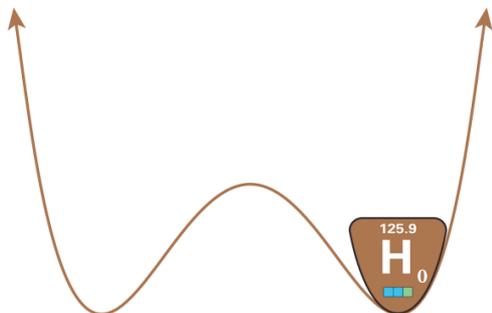


	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>		
Left handed SU(2) doublet	$1/6$	$0$	$0$	$2/3$	
	$1/2$	$u$	$c$	$t$	
	$1/6$	$d$	$s$	$b$	
	$-1/2$				
	Left handed SU(2) doublet	$-1/2$	$0$	$0$	$0$
		$1/2$	$\nu_e$	$\nu_\mu$	$\nu_\tau$
$-1/2$		$e$	$\mu$	$\tau$	
$-1/2$					
$-1/2$					
$0$				$0$	

Quarks  
 Leptons

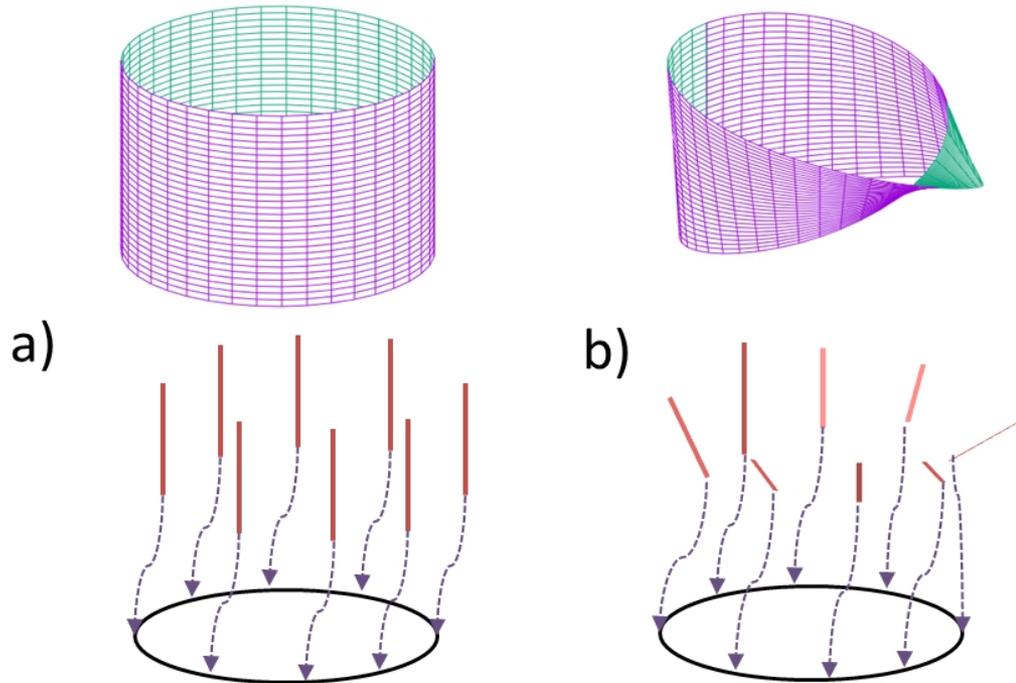


## Unbroken Symmetry Broken Symmetry

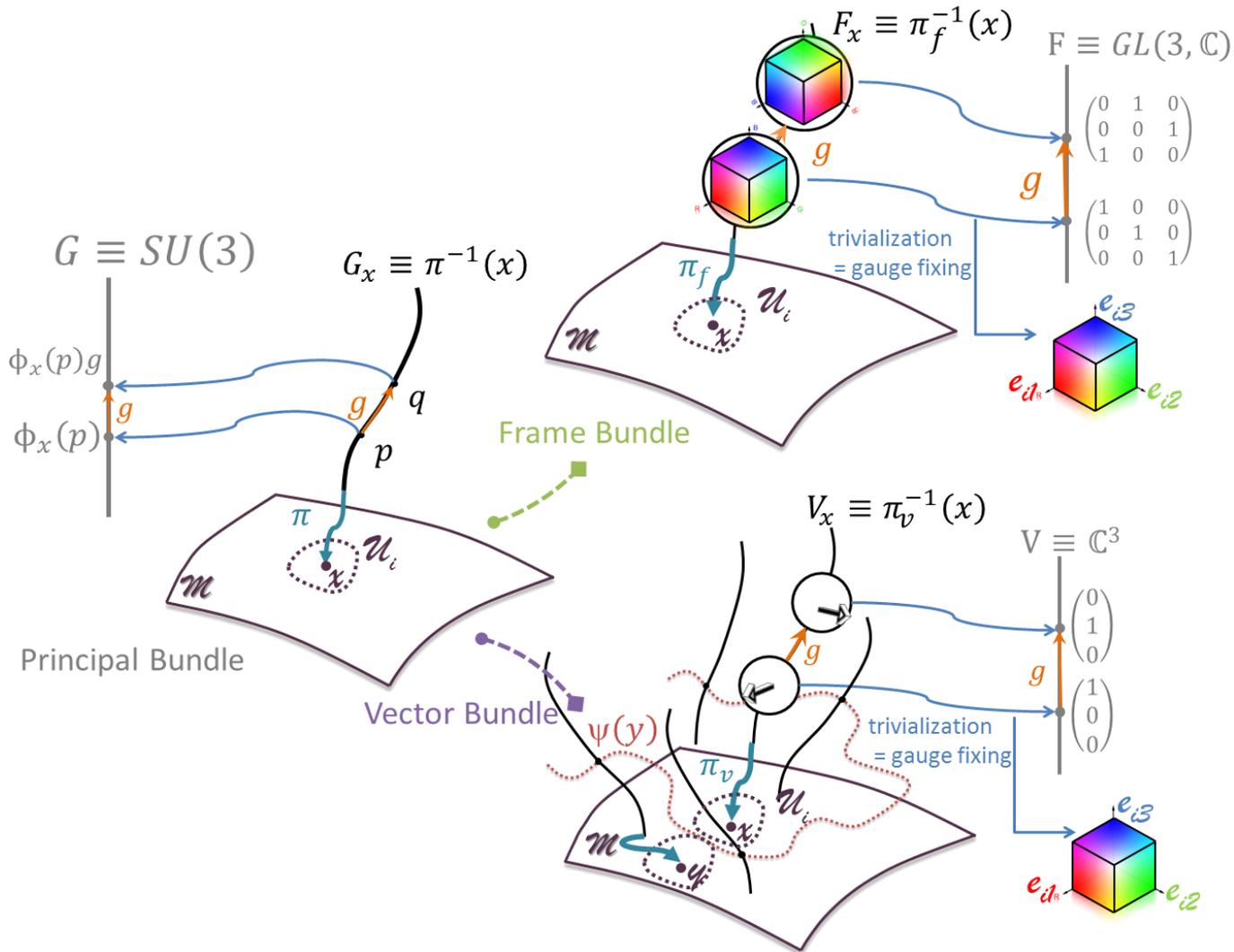


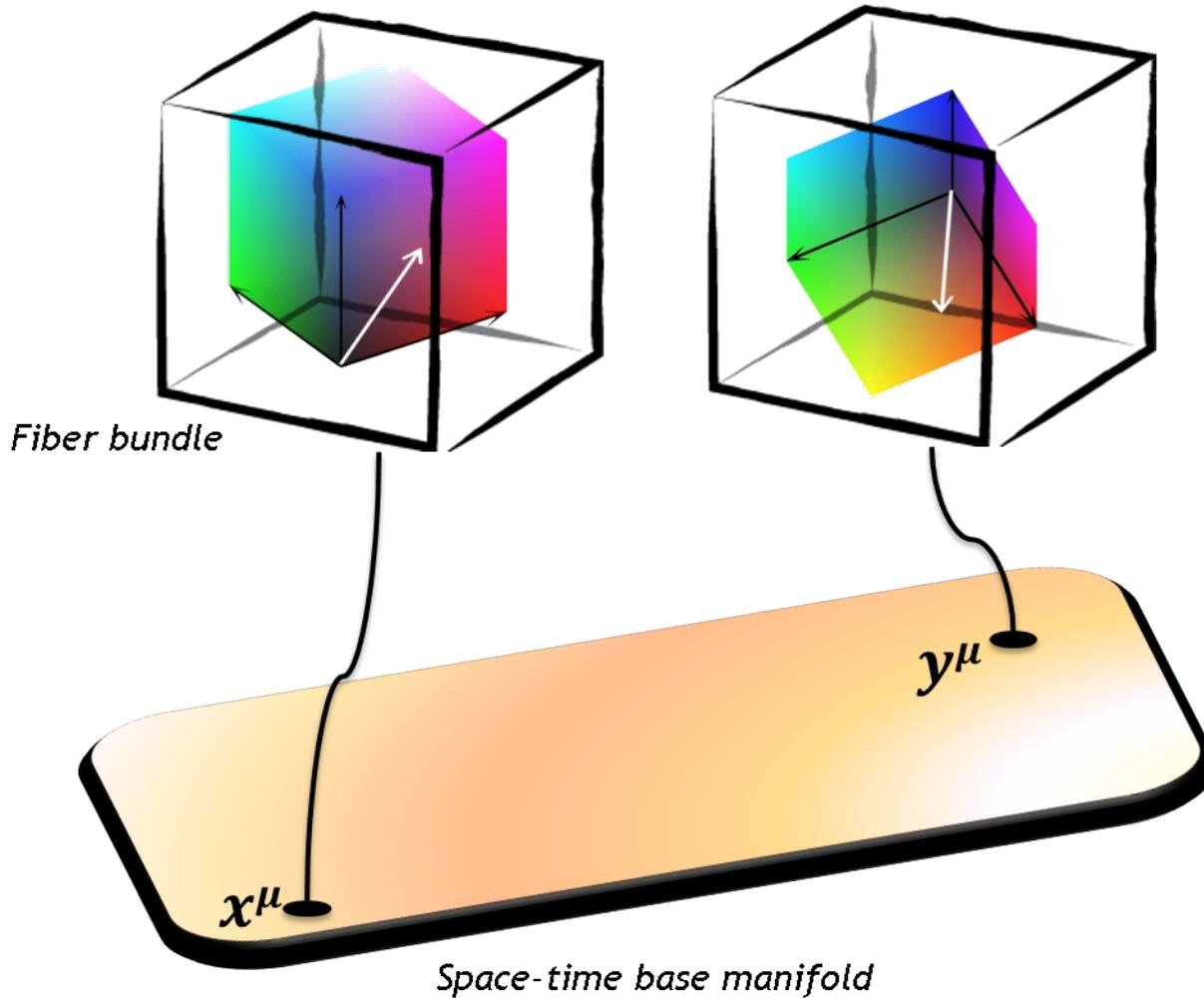
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$0.0023$	$1.275$	$173.07$	
$u$	$c$	$t$	
$2/3$	$2/3$	$2/3$	
$0.0048$	$0.095$	$4.18$	
$d$	$s$	$b$	
$-1/3$	$-1/3$	$-1/3$	
$m_1$	$M_1$	$m_2$	$M_2$
$\nu_e$	$0$	$\nu_\mu$	$0$
$0$	$0$	$\nu_\tau$	$0$
$0.000511$	$0.105658$	$1.77682$	
$e$	$\mu$	$\tau$	
$-1$	$-1$	$-1$	

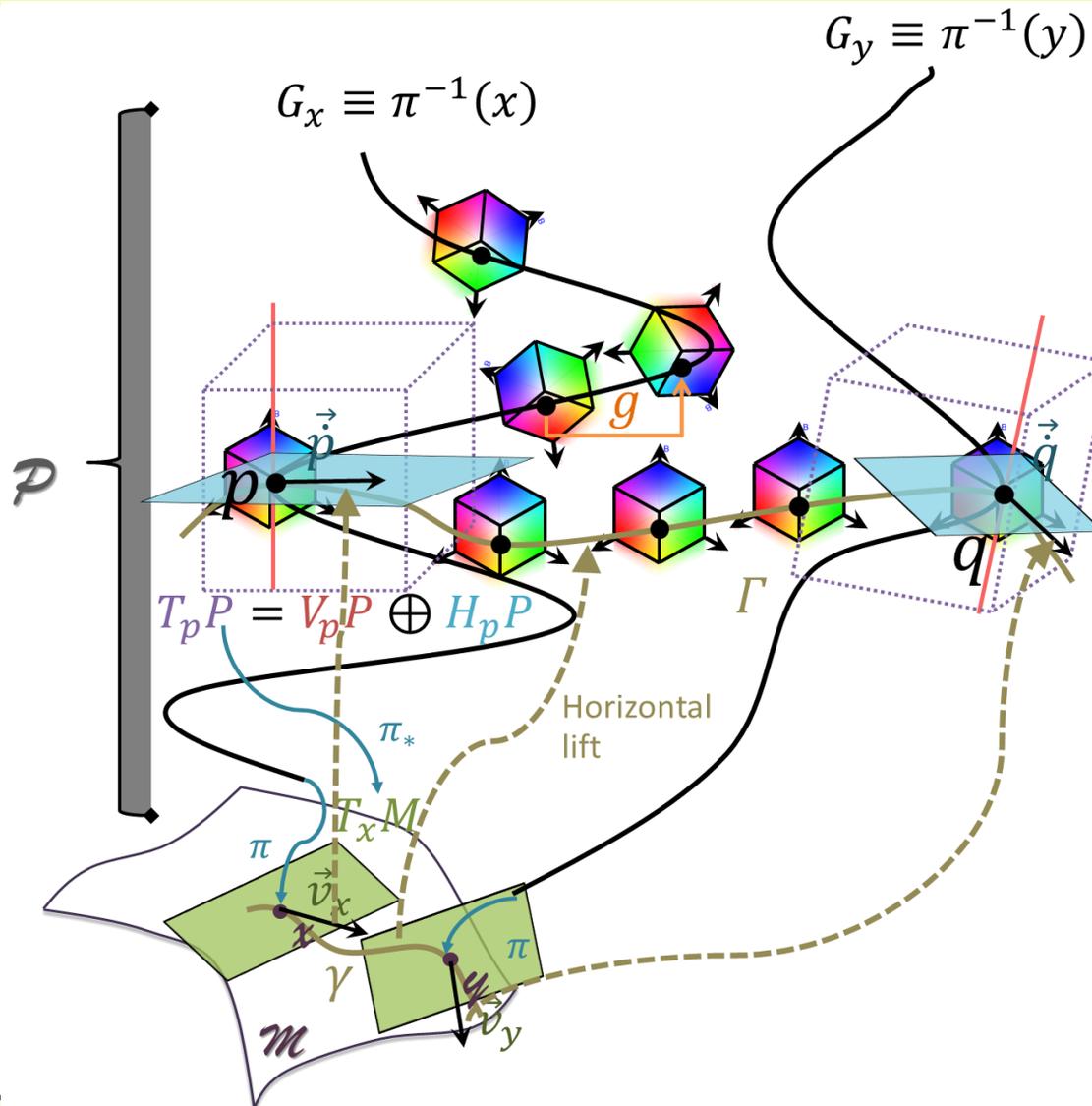




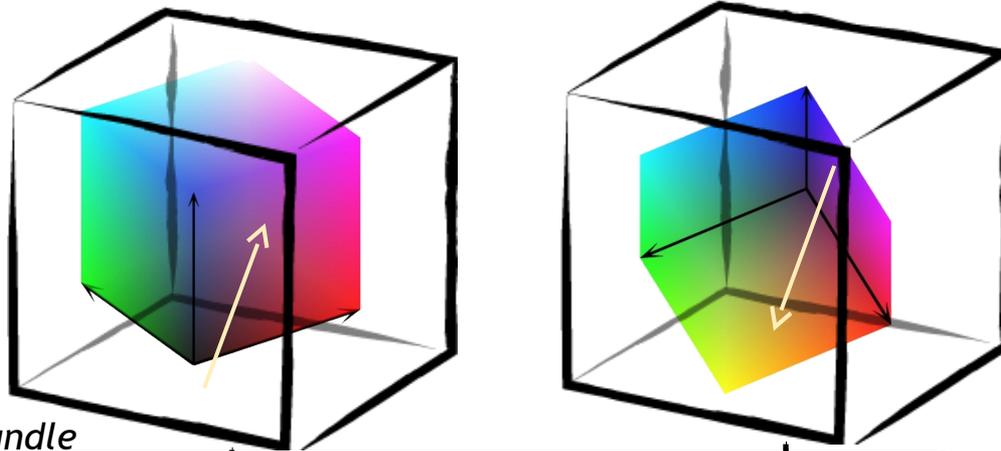
⇒ Fiber bundle: generalization of the concept of direct product of two manifolds



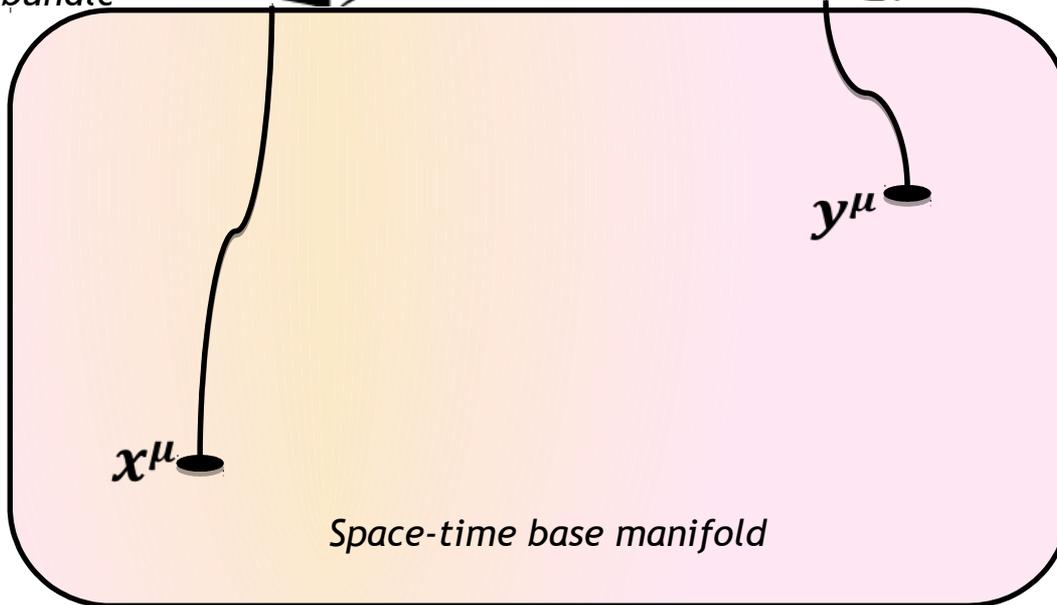




➔ Connection : how to move horizontally in the bundle space = gauge field (its local and perturbative version)



Fiber bundle



Space-time base manifold

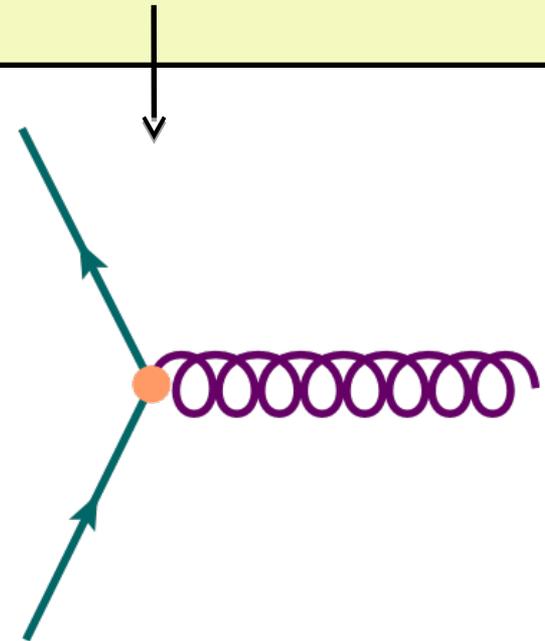
⇒ Gauge field (connection) : gives a mean to compare internal frames at different space-time points (parallel transport)

⇒ Covariant derivative : measures deviation from parallel transport

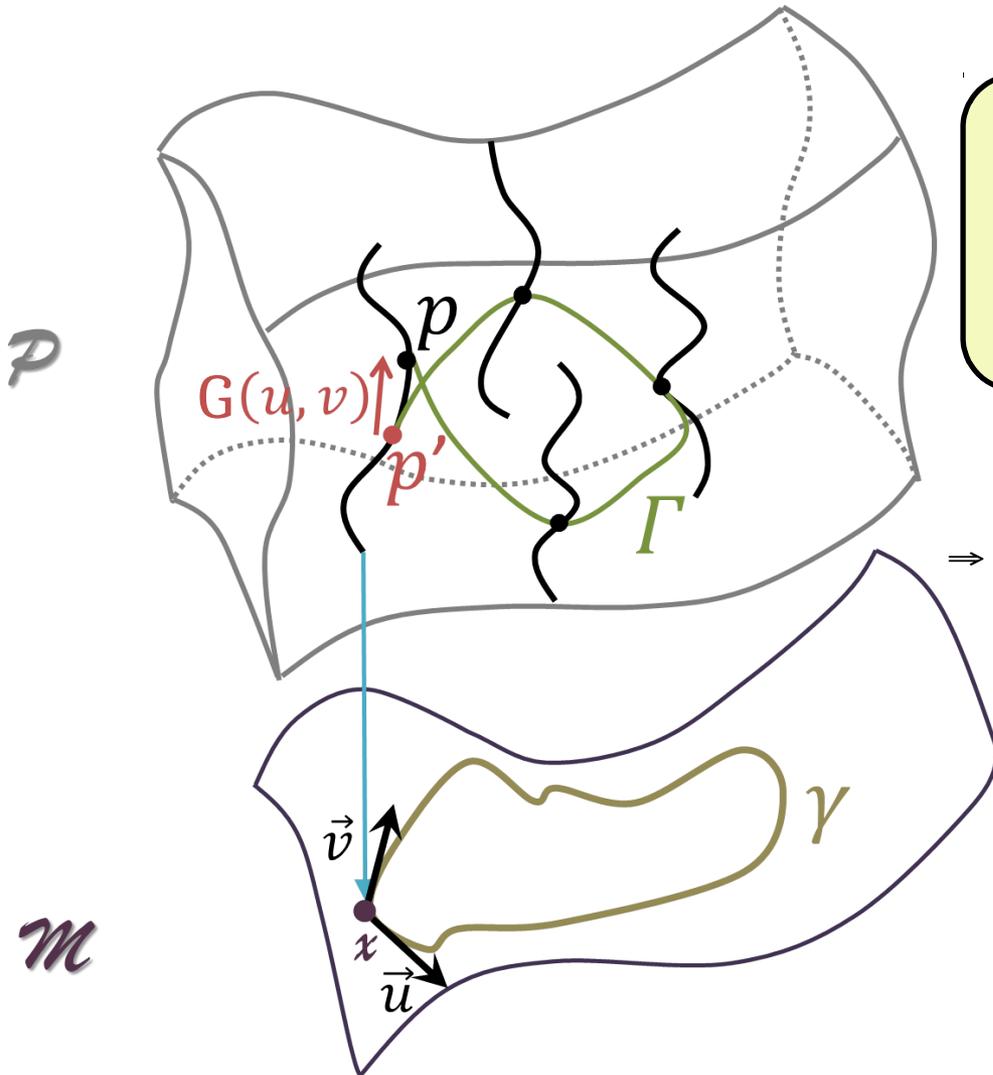
$$\partial_\mu \rightarrow D_\mu = \partial_\mu - iA_\mu$$

⇒ Local symmetry requirement ⇒ interaction :

$$\mathcal{L} = \sum_{j=1}^{N_f} \bar{\psi}_j (i\not{D}) \psi_j = \sum_{j=1}^{N_f} \bar{\psi}_j (i\not{\partial} + \gamma_\mu A^\mu) \psi_j$$



$$A_\mu^{here} = g A_\mu^{usual}$$



Connection curvature : obstruction to the closure in the fiber bundle  $\Rightarrow$  chromo - electric and magnetic fields

$$G_{\mu\nu} \equiv i [D^\mu, D^\nu] = \partial_\mu A_\nu - \partial_\nu A_\mu + i [A_\mu, A_\nu]$$

$$\Rightarrow \mathcal{L} = \sum_{j=1}^{N_f} \bar{\psi}_j (i\not{D}) \psi_j - \frac{1}{4g^2} \text{Tr} G^{\mu\nu} G_{\mu\nu}$$



Cubic and quartic gluon self-interaction : makes life interesting

$$G_{\text{class}} \equiv SU(3)_c \times SU(N_f)_L \times SU(N_f)_R \times U(1)_B \times U(1)_A \times R^+_{\text{scale}}$$

No obvious trace in the low-energy sector because of color confinement

$V(r)$

$V_{\text{QCD}} = -\frac{4}{3} \frac{\alpha_s}{r} + \lambda r$

$V_{\text{QED}} = -\frac{\alpha}{r}$

1 fm

$r$

$\Rightarrow$  Hadrons = relevant low-energy dofs

Nambu-Goldstone realization : chiral condensate

Goldstone physics governs low-energy sector

anomalies

$$V(r) = \frac{\mathcal{V}(r)}{\varepsilon(r)} \quad \varepsilon(r) \mu(r) = 1$$

$m(r) = \mu(r) \mu_0$

$\mu > 1 \quad \varepsilon < 1$

$\Rightarrow$  Asymptotic freedom

Data	Theory
Deep Inelastic Scattering	NLO
$e^+e^-$ Annihilation	NNLO
Hadron Collisions	NNLO
Heavy Quarkonia	NNLO

$Q$ [GeV]	$\alpha_s(Q)$
245 MeV	0.1209
210 MeV	0.1182
180 MeV	0.1155

$\rightarrow SU(N_f)_V \times U(1)_B$  Symmetry subgroup of QCD matter-free G.S.

**0** Context

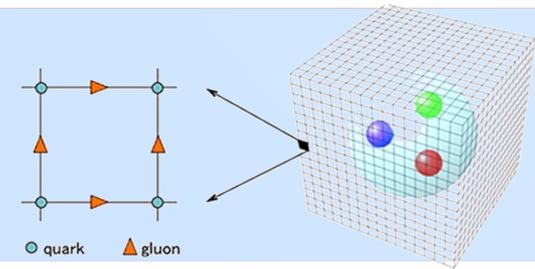
**1** QCD in a nutshell

**2** Inter-Nucleon Interactions

**3** Many-Body treatment

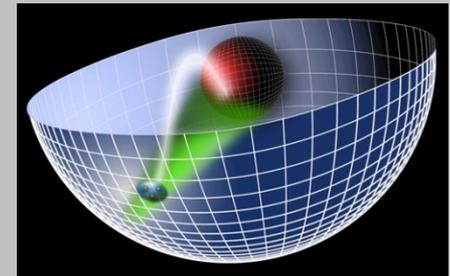
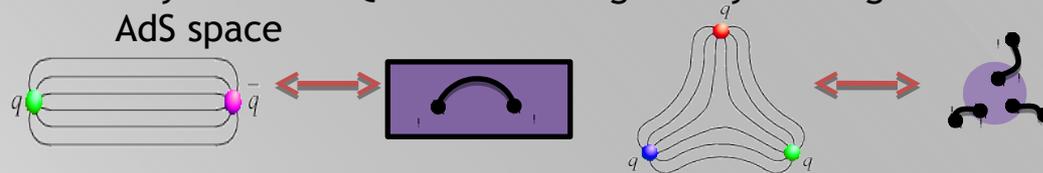
⇒ Lattice QCD

- ❖ Wick rotation  $\Rightarrow$  QFT  $\rightarrow$  SFT
- ❖ Discretized Euclidian space-time
- ❖ QCD fields expanded on the lattice, correlation functions evaluated with Monte Carlo techniques



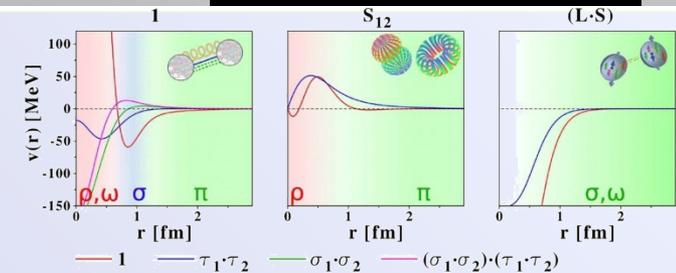
⇒ Holographic QCD

- ❖ Duality between QCD and a string theory in a higher dimensional AdS space

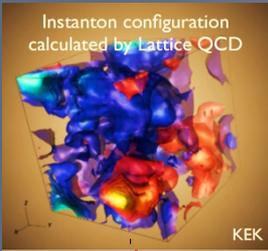


⇒ Realistic interactions

- ❖ Phenomenological ansatz compatible with symmetry of the 2 (3) nucleons system
- ❖ Parameters fitted to accurately reproduce phase shifts



# QCD Realm



$4\pi f_\pi$

Realistic interactions (Argone, CD-Bonn, Paris, ...)

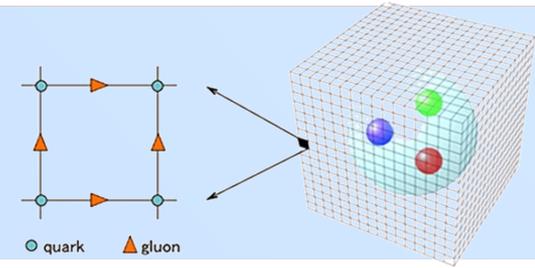
# Hadron Realm

$\Delta(\text{MeV})$

NN  
scattering  
data

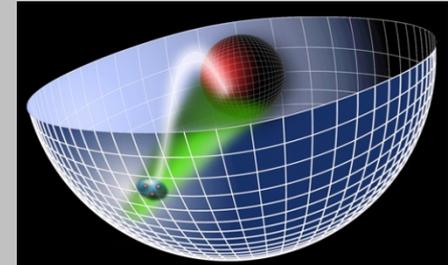
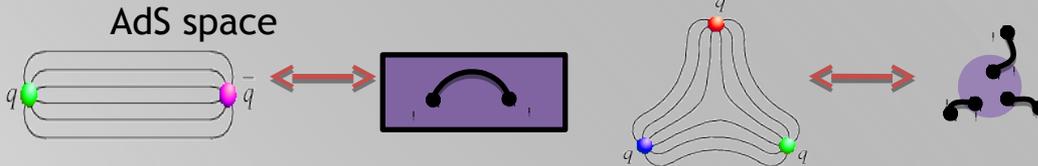
➡ Lattice QCD

- ❖ Wick rotation  $\Rightarrow$  QFT  $\rightarrow$  SFT
- ❖ Discretized Euclidian space-time
- ❖ QCD fields expanded on the lattice, correlation functions evaluated with Monte Carlo techniques



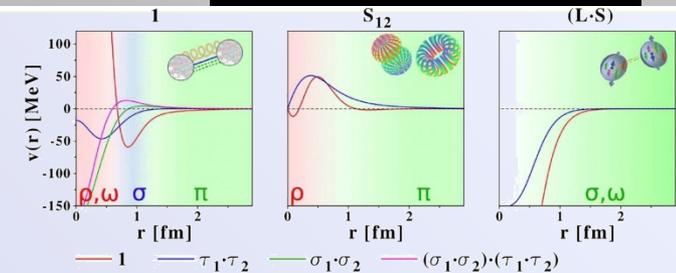
➡ Holographic QCD

- ❖ Duality between QCD and a string theory in a higher dimensional AdS space



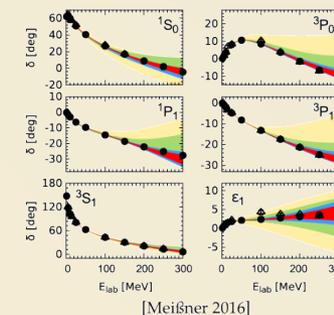
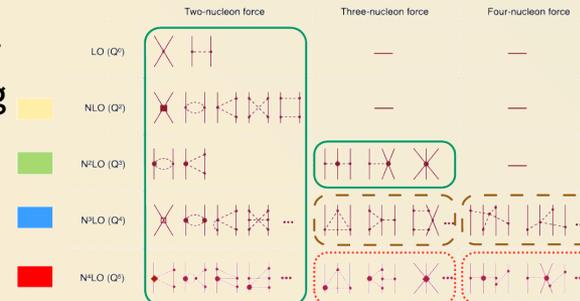
➡ Phenomenological interactions (in free space)

- ❖ Ansatz compatible with symmetry of the 2 (3) nucleons system
- ❖ Parameters fitted to accurately reproduce phase shifts

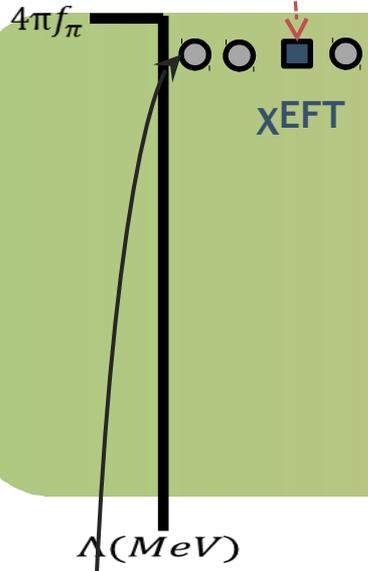
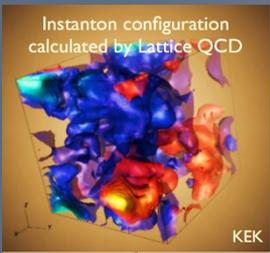


➡ Chiral effective field theory

- ❖ Based on effective low-energy d.o.f. + constrained by symmetries and symmetry breaking pattern of underlying theory
- ❖ High energy dynamics generically parameterized by contact terms
- ❖ Hierarchy of contributions to inter-nucleonic interactions



[Meißner 2016]



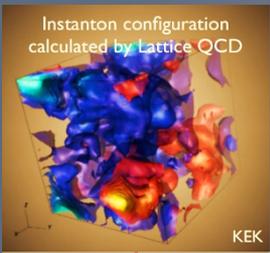
⇒ Bottleneck : LECs start becoming underconstrained at high order of the chiral expansion

**0** Context

**1** QCD in a nutshell

**2** Inter-Nucleon Interactions

**3** Many-Body treatment



$\rho/\rho_0$

0

1

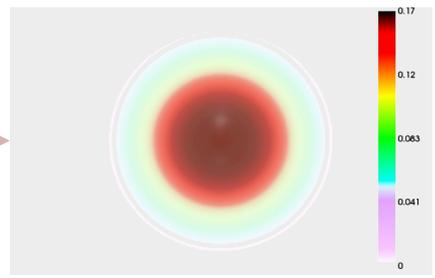
$4\pi f_\pi$



$\Delta(\text{MeV})$

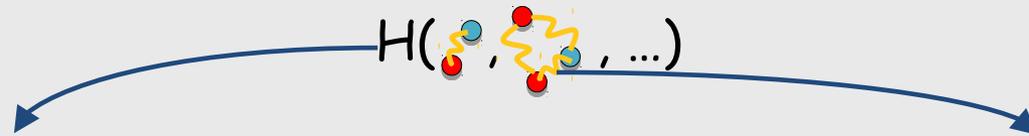
We want to start from here to go there

NN  
scattering  
data



# ⊛ Nuclear many-body problem : strategies

⇒ Microscopic viewpoint : nucleus = collection of interacting nucleons whose dynamics is encoded in



Extraction of the observables from  $H$  is unfathomably hard

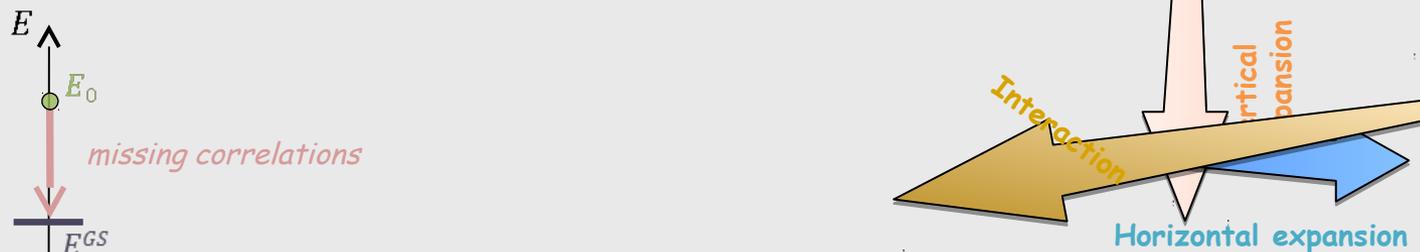
Description of inter-nucleon interactions is already a challenge

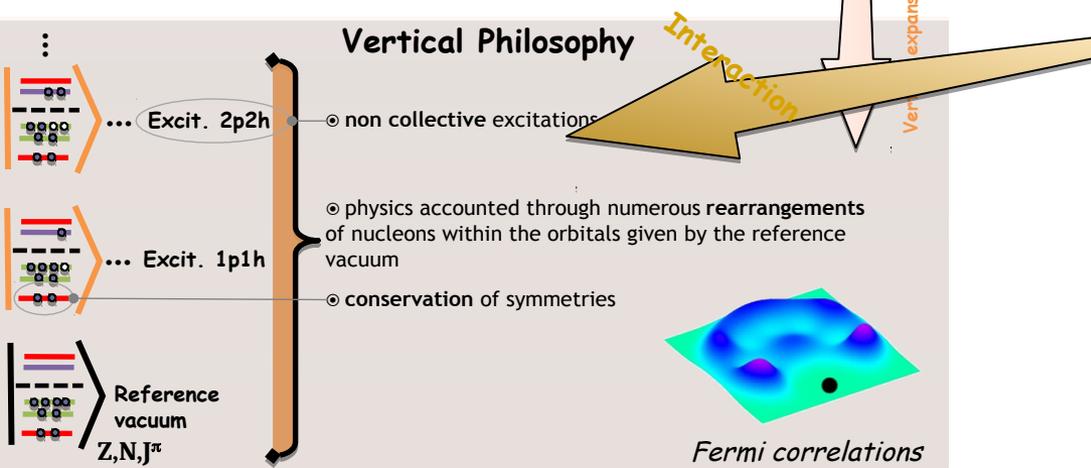
⇒ Many-body approaches as implementation of different strategies to apprehend the many-body problem

⇒ Traditional starting point: split the total Hamiltonian into unperturbed and residual parts



⇒ How to incorporate missing correlations on top of a product state ?



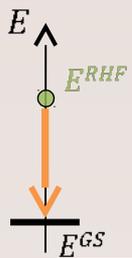
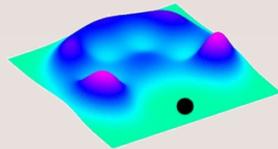


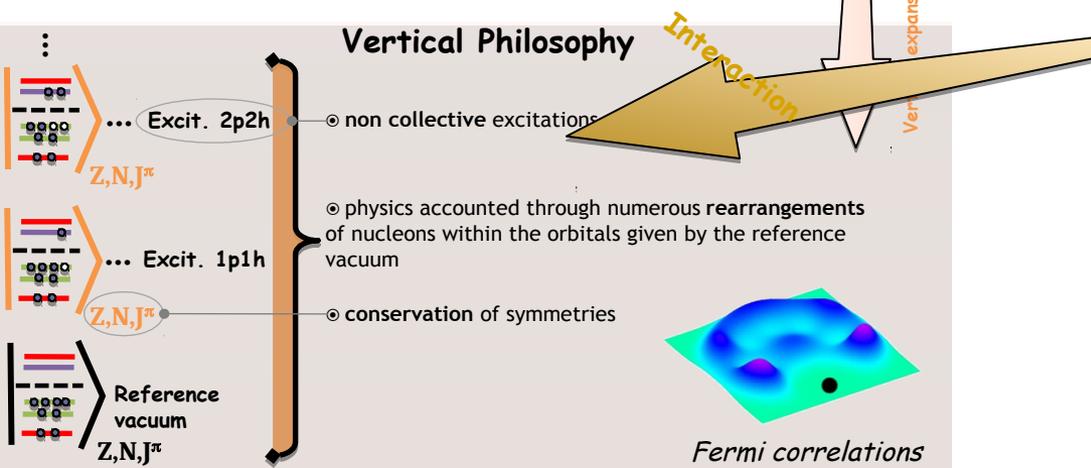
$$|Z, N, J^\pi\rangle = e_0 |Z, N, J^\pi\rangle + e_i^{1p-1h} |Z, N, J^\pi\rangle + e_i^{2p-2h} |Z, N, J^\pi\rangle + \dots$$

Major contribution

☑ Dynamical correlations

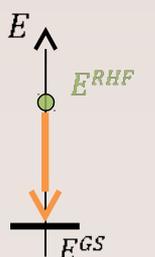
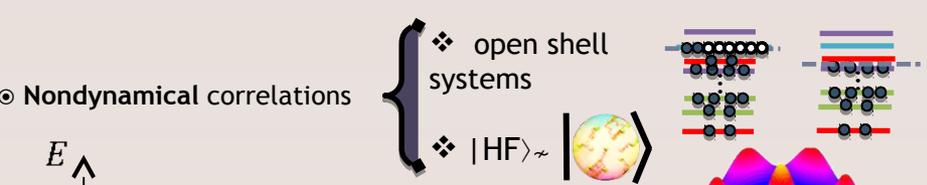
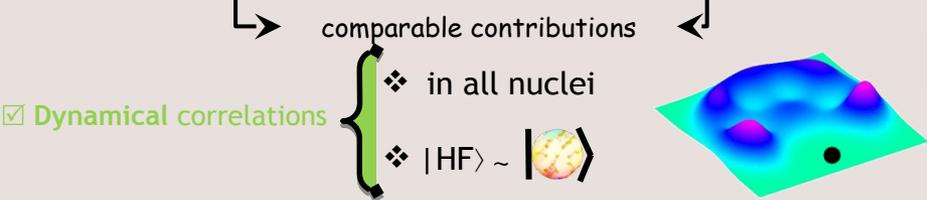
- ❖ in all nuclei
- ❖  $|HF\rangle \sim | \text{shell model} \rangle$

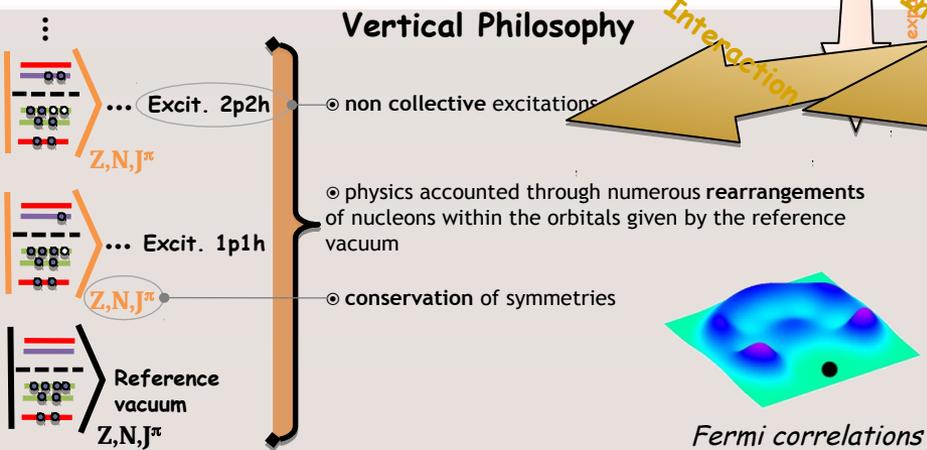




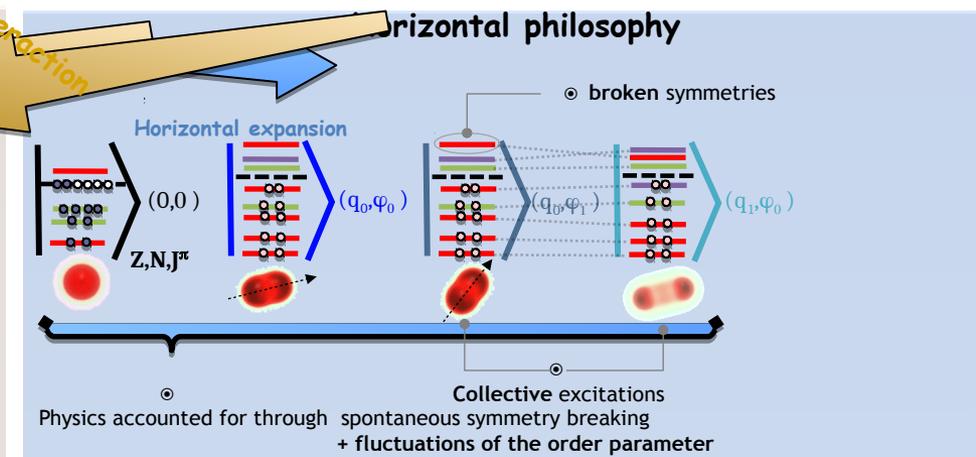
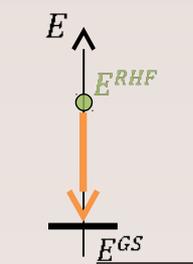
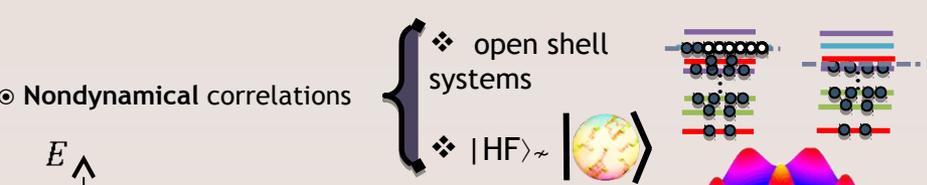
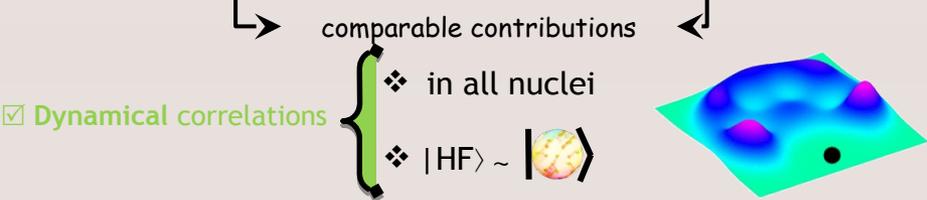
$$|Z, N, J^\pi\rangle = e_0 |Z, N, J^\pi\rangle + e_i^{1p-1h} |Z, N, J^\pi\rangle + e_j^{2p-2h} |Z, N, J^\pi\rangle + \dots$$

The equation shows the ground state  $|Z, N, J^\pi\rangle$  as a sum of components: a reference vacuum  $e_0$ , a 1p-1h excitation  $e_i^{1p-1h}$ , and a 2p-2h excitation  $e_j^{2p-2h}$ , with ellipses indicating higher-order terms. Each component is represented by a shell model diagram.



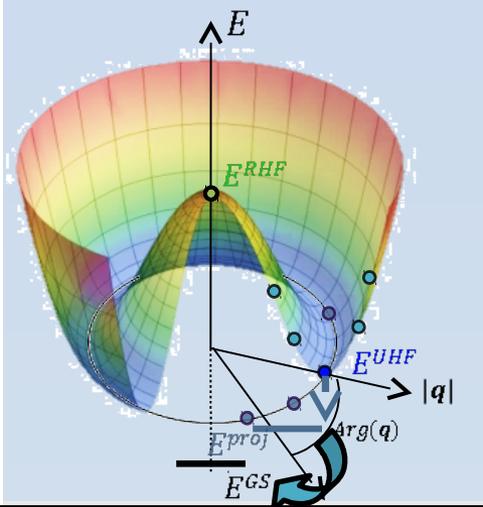


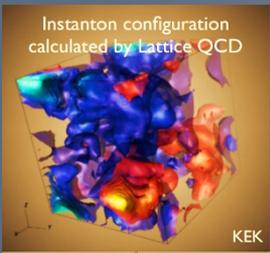
$$| \text{Nucleus} \rangle_{Z, N, J^\pi} = e_0 | \text{vacuum} \rangle_{Z, N, J^\pi} + e_i^{1p-1h} | \text{excit. } i \rangle_{Z, N, J^\pi} + e_j^{2p-2h} | \text{excit. } j \rangle_{Z, N, J^\pi} + \dots$$



$$| \text{Nucleus} \rangle = \int dq f(q) | (|q|, \arg(q)) \rangle$$

Remaining correlations grasped via vertical expansion or transferred in  $H_{eff}$





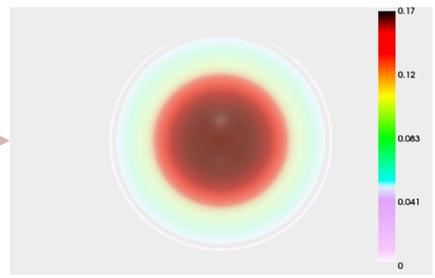
$\rho/\rho_0$



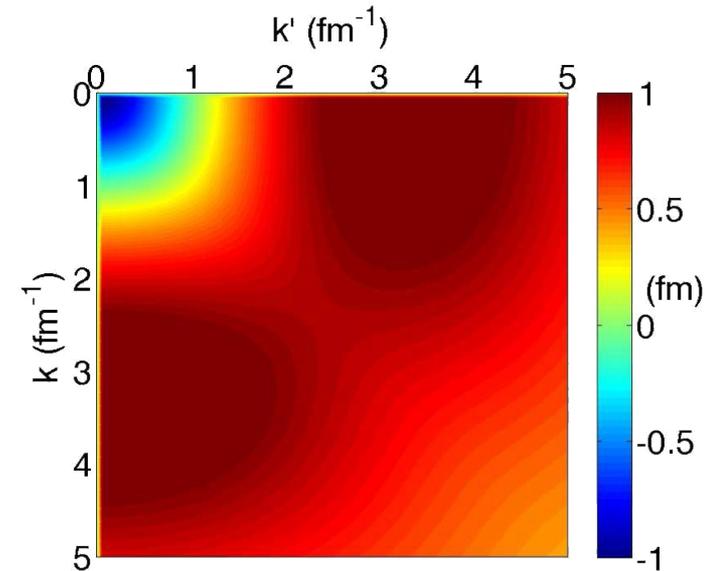
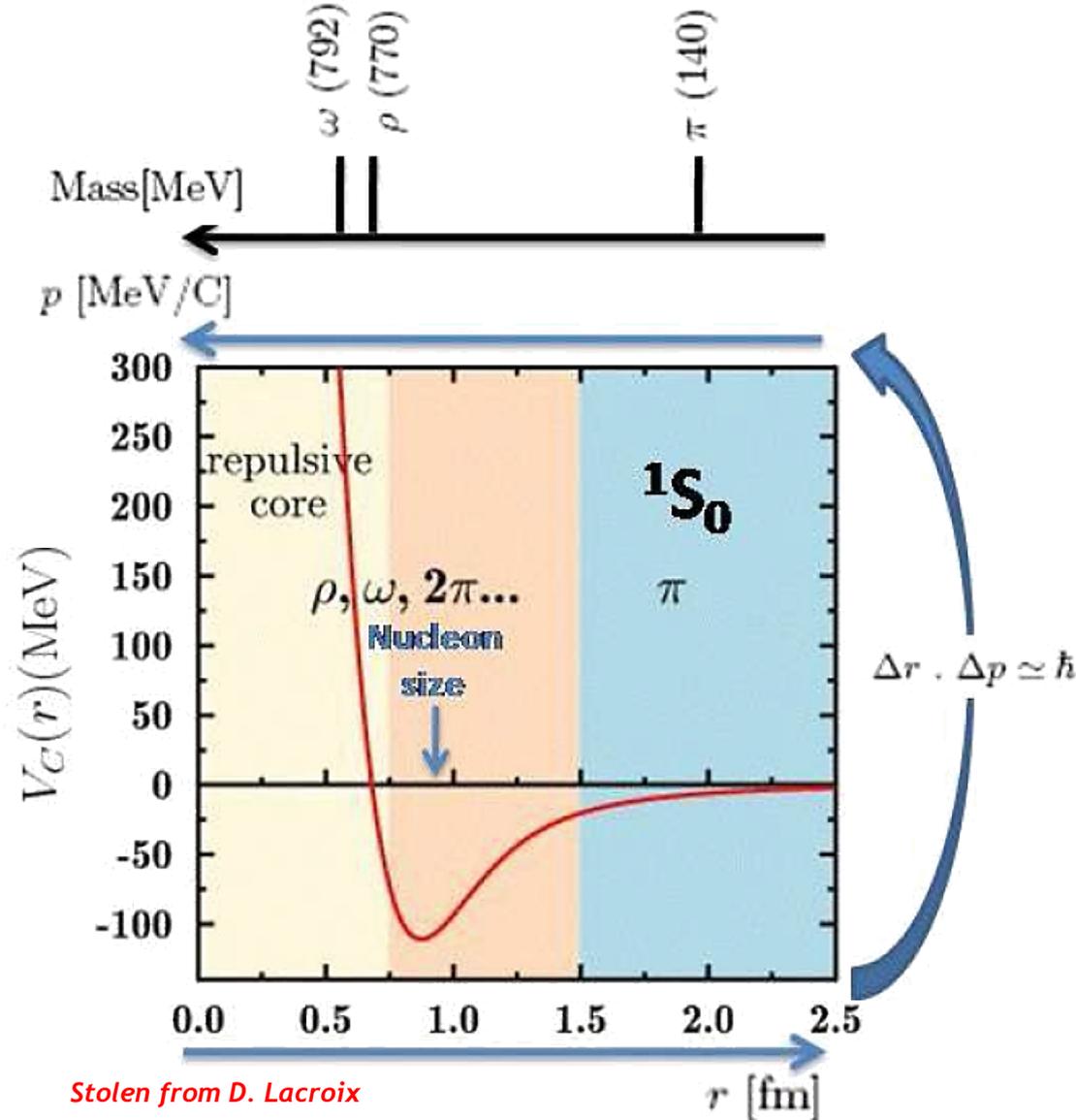
$\Delta(MeV)$

Hartree-Fock based on leads nowhere near

NN  
scattering  
data

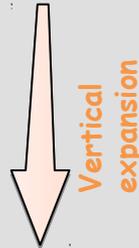
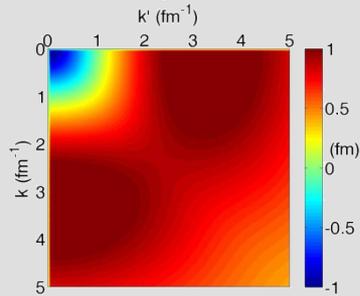


⇒ UV divergence (hard core), bound & resonant state ⇒ nonperturbative nuclear many-body problem

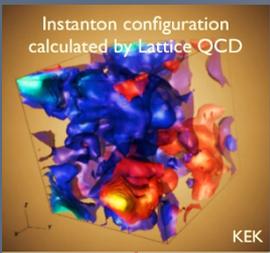


Bogner et al.

There's a hard core  
Let's cope with it !



➡ Nonperturbative many-body treatment that scales awfully with the nucleon number



$\rho/\rho_0$

0

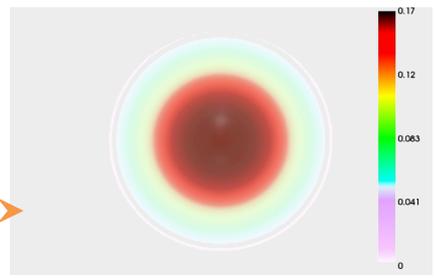
1

$4\pi f_\pi$



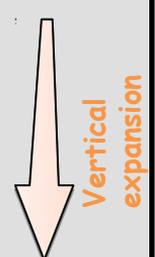
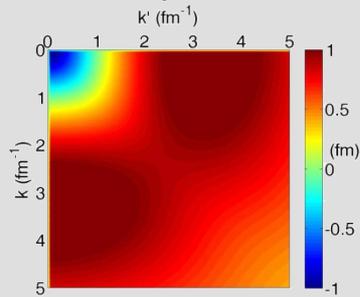
$\Delta(\text{MeV})$

NN  
scattering  
data





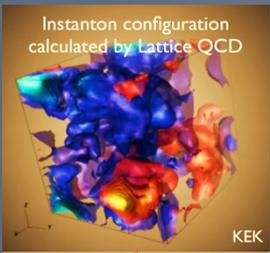
There's a hard core  
Let's cope with it !



⇒ Nonperturbative many-body treatment that scales awfully with the nucleon number

⇒ Brueckner resummation of ladder diagrams (no high/low - momentum decoupling though)

$$\begin{array}{c} a \\ \diagdown \\ \text{---} \mathbf{G} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \\ \diagdown \\ d \end{array} = \begin{array}{c} a \\ \diagdown \\ \text{---} \mathbf{V} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \\ \diagdown \\ d \end{array} + \begin{array}{c} a \\ \diagdown \\ \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \\ \diagdown \\ d \end{array} + \begin{array}{c} a \\ \diagdown \\ \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \\ \diagdown \\ d \end{array} + \dots$$



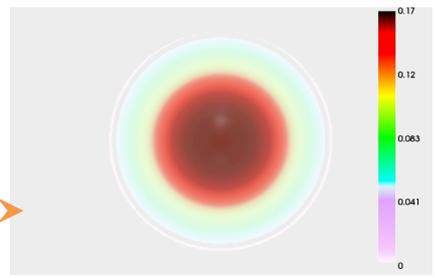
$\rho/\rho_0$



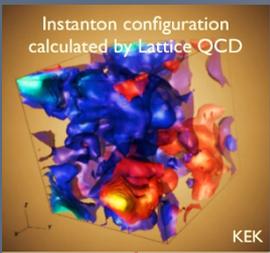
(Dirac) Brueckner-Hartree-Fock

In-medium effective interaction

NN scattering data







$\rho/\rho_0$

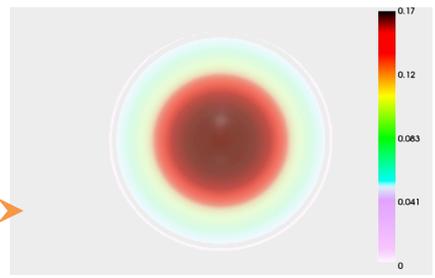


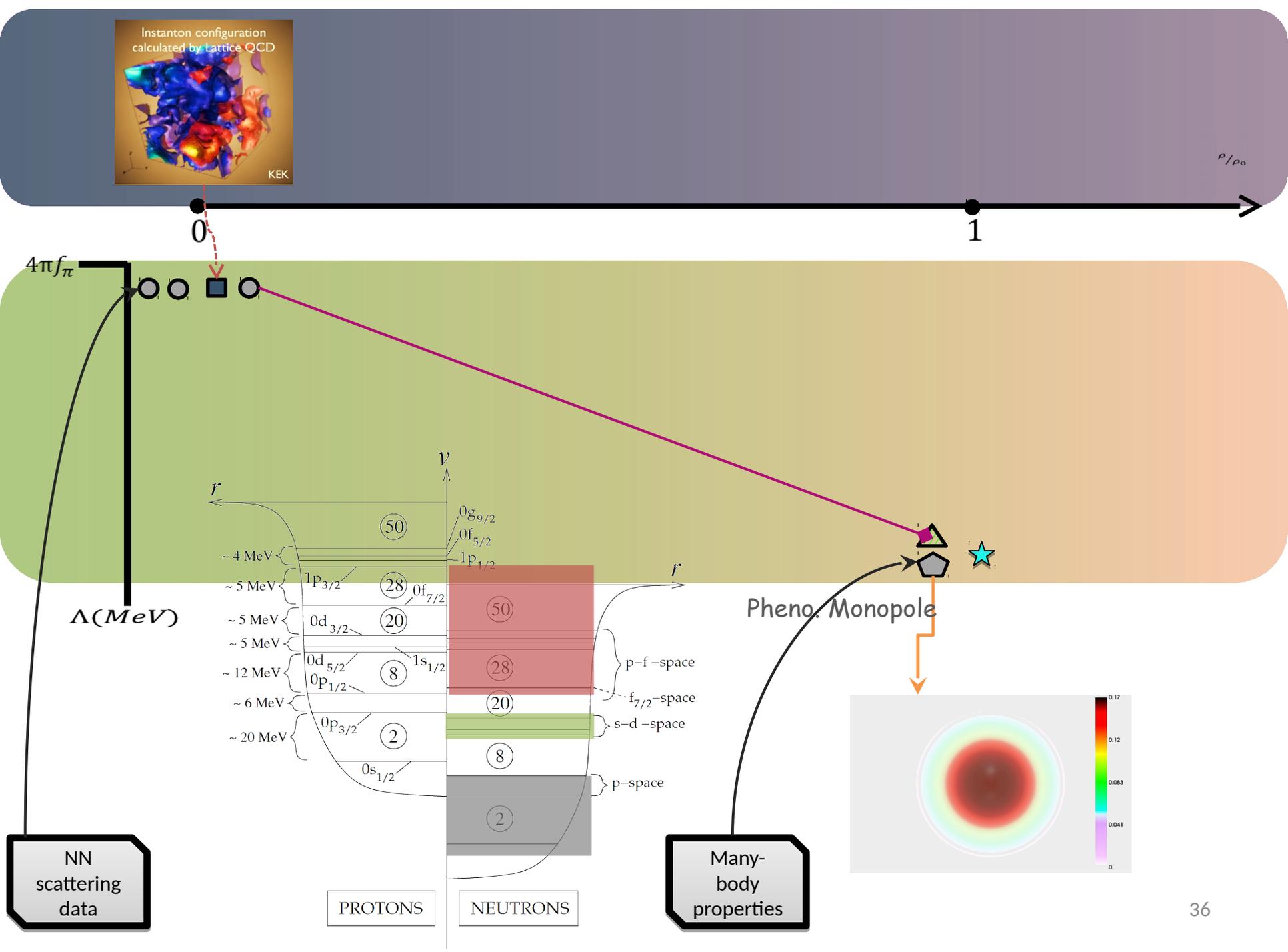
(Dirac) Brueckner-Hartree-Fock

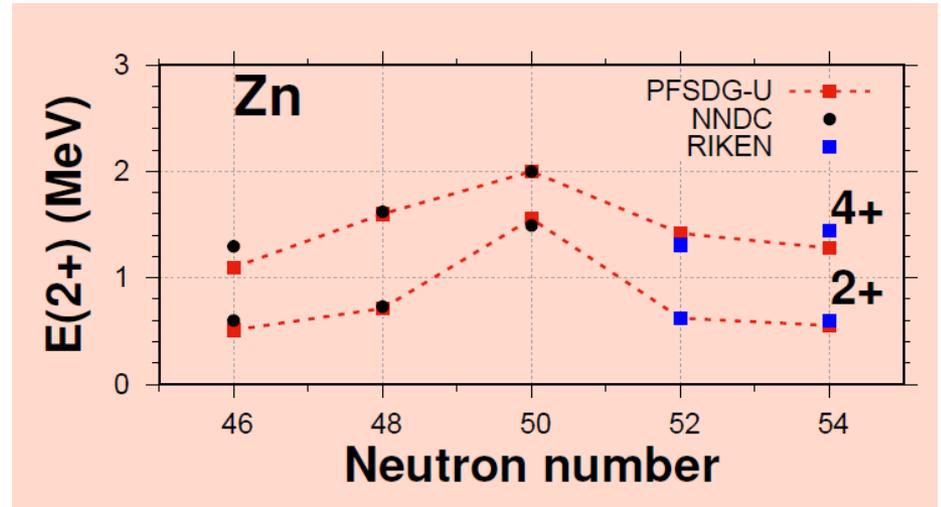
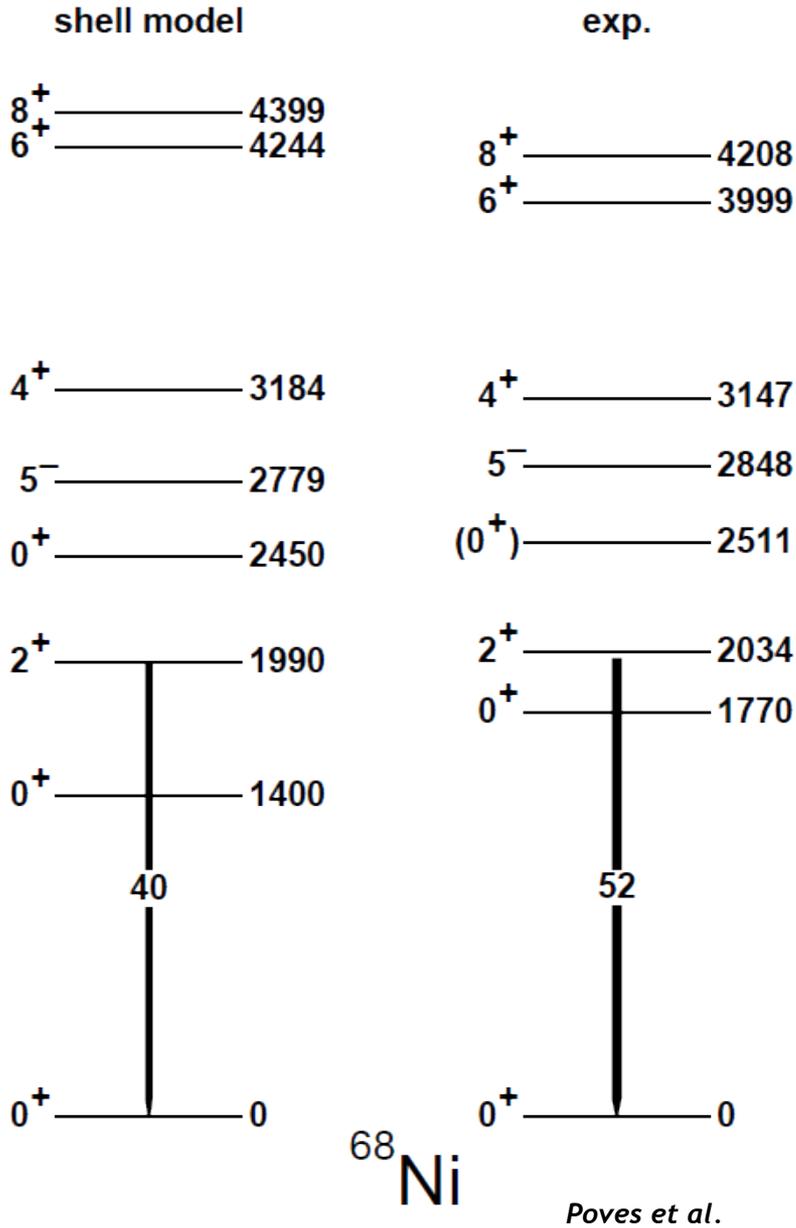
Fermi liquid fixed point



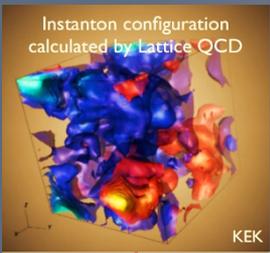
NN scattering data







Nowacki et al.



$\rho/\rho_0$

0

1

$4\pi f_\pi$

(Dirac) Brueckner-Hartree-Fock

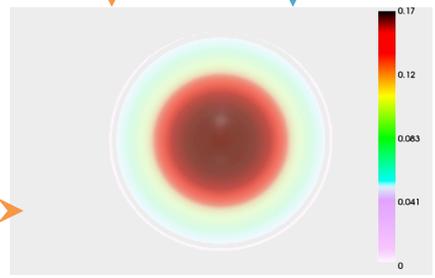
$\Delta(\text{MeV})$

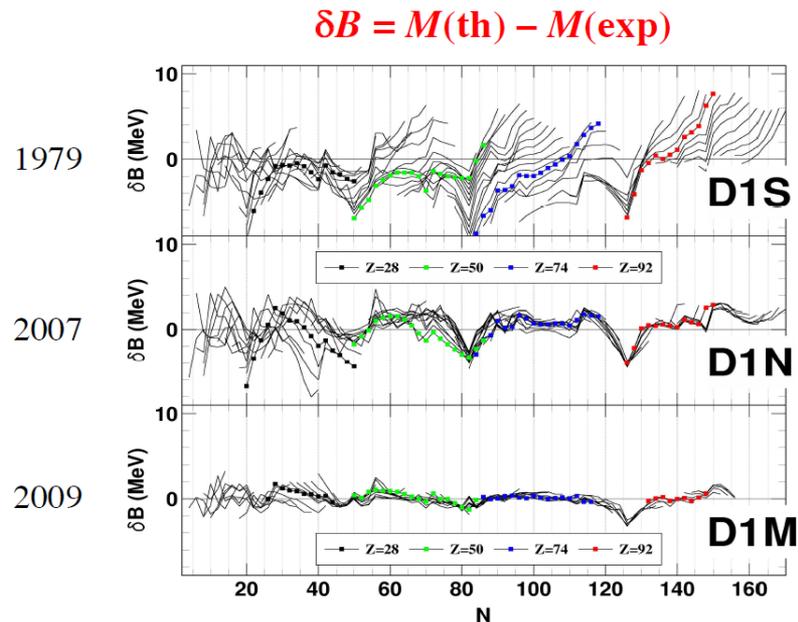
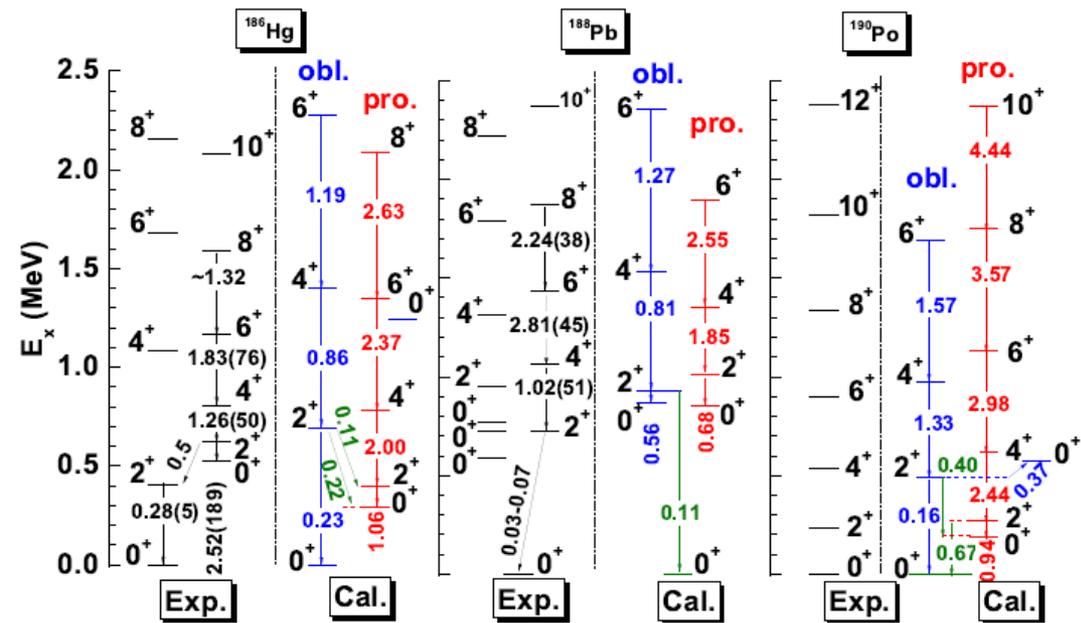
Pheno. Monopole

Pheno. Effective interaction

NN scattering data

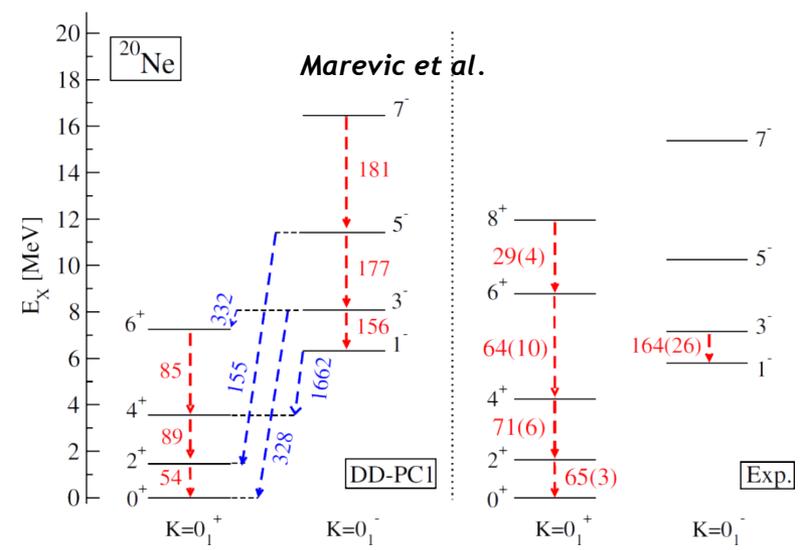
Many-body properties





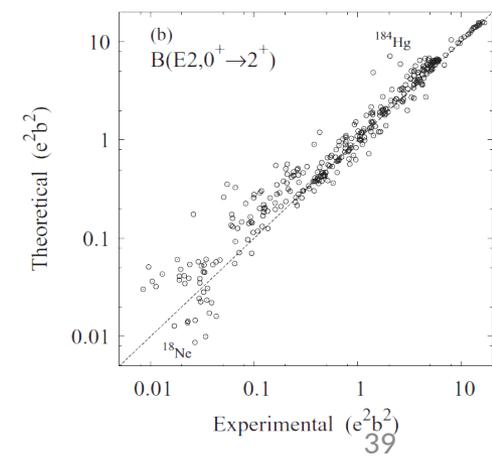
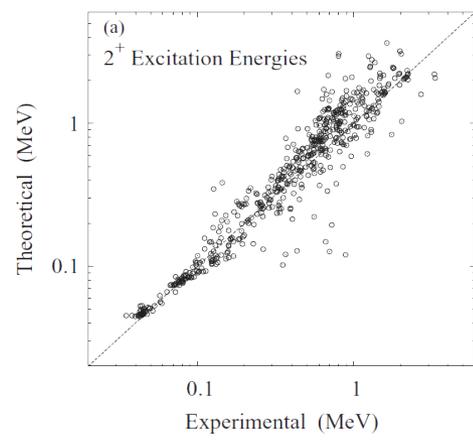
Hilaire & Goriely

J. Yao, M. Bender, P.-H. Heenen, PRC 87 (2013) 034322



Marevic et al.

DD-PC1

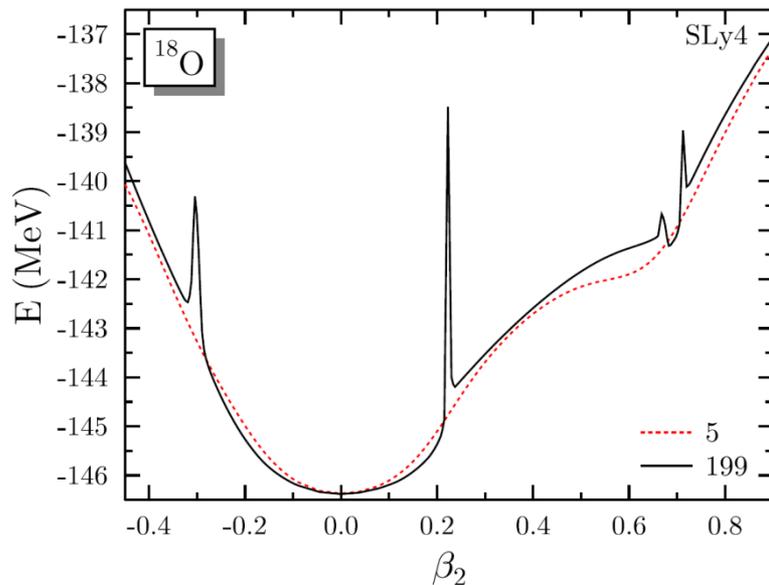


Delaroche et al.

## ★ Energy Density Functional and density dependence

⇒ Importance of density dependent terms in EDFs for quantitative description of both volume & surface properties : very deep origin

⇒ But source of Pauli Violating Contribution that spoils EDF results



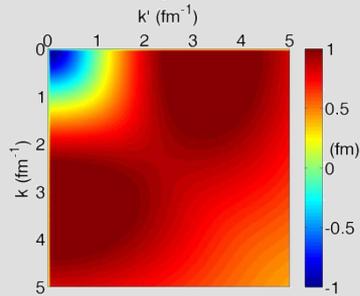
*Bender, Duguet, Lacroix, ...*

⇒ Need for a new generation of nuclear EDFs !

⇒ EDF  $\equiv$  DFT ? Not so simple ...

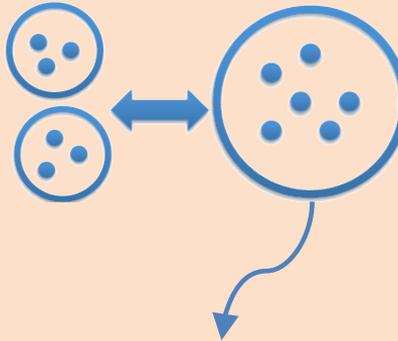


There's a hard core  
Let's cope with it !



Vertical expansion

There's no hard core  
Just missing dofs !



Feshbach state (6-quark cluster)  
whose energy/form factor comes  
from NN phase-shift

⇒ Composite Bose-Fermi system

⇒ Nonperturbative many-body  
treatment that scales awfully  
with the nucleon number

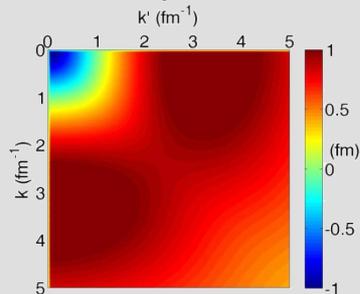
⇒ Brueckner resummation of ladder  
diagrams (no high/low - momentum  
decoupling though)

$$\begin{array}{c} a \\ \diagdown \\ \text{---} \text{G} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \\ \diagdown \\ d \end{array} = \begin{array}{c} a \\ \diagdown \\ \text{---} \text{V} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \\ \diagdown \\ d \end{array} + \begin{array}{c} a \\ \diagdown \\ \text{---} \text{---} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \text{---} \text{---} \\ \diagdown \\ d \end{array} + \begin{array}{c} a \\ \diagdown \\ \text{---} \text{---} \text{---} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \text{---} \text{---} \text{---} \\ \diagdown \\ d \end{array} + \dots$$

⇒ Phenomenological effective in-  
medium interaction

★ Taming down the UV non-perturbativeness

There's a hard core  
Let's cope with it !



Vertical expansion

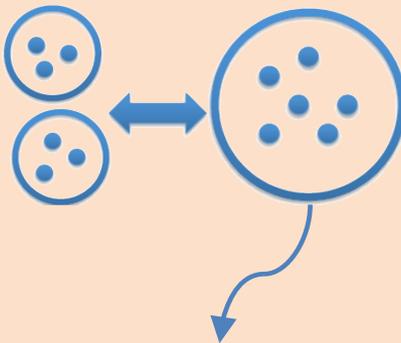
⇒ Nonperturbative many-body treatment that scales awfully with the nucleon number

⇒ Brueckner resummation of ladder diagrams (no high/low - momentum decoupling though)

$$\begin{array}{c} a \\ \diagdown \\ \text{---} \text{G} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \\ \diagdown \\ d \end{array} = \begin{array}{c} a \\ \diagdown \\ \text{---} \text{V} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \\ \diagdown \\ d \end{array} + \begin{array}{c} a \\ \diagdown \\ \text{---} \text{m} \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \text{n} \text{---} \\ \diagdown \\ d \end{array} + \begin{array}{c} a \\ \diagdown \\ \text{---} \text{m}' \text{---} \\ \diagup \\ c \end{array} \begin{array}{c} b \\ \diagup \\ \text{---} \text{n}' \text{---} \\ \diagdown \\ d \end{array} + \dots$$

⇒ Phenomenological effective in-medium interaction

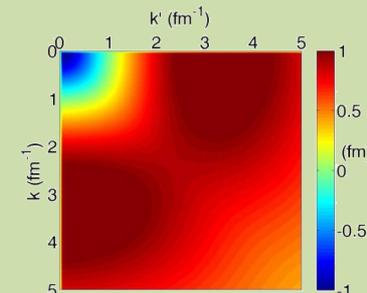
There's no hard core  
Just missing dofs !



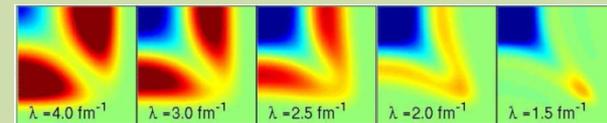
Feshbach state (6-quark cluster) whose energy/form factor comes from NN phase-shift

⇒ Composite Bose-Fermi system

Hard/soft core ...  
It's not an observable anyway !



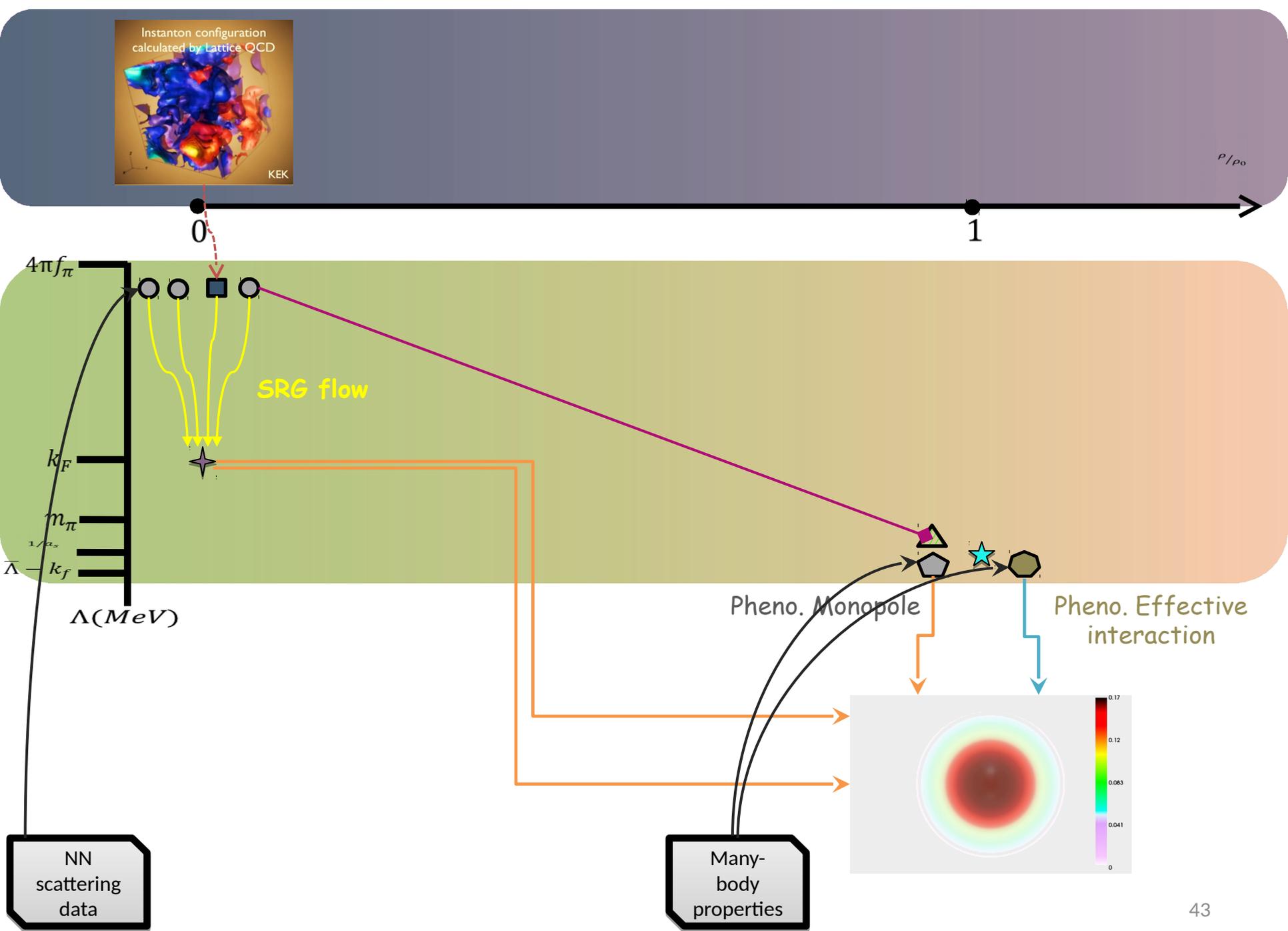
↓ SRG

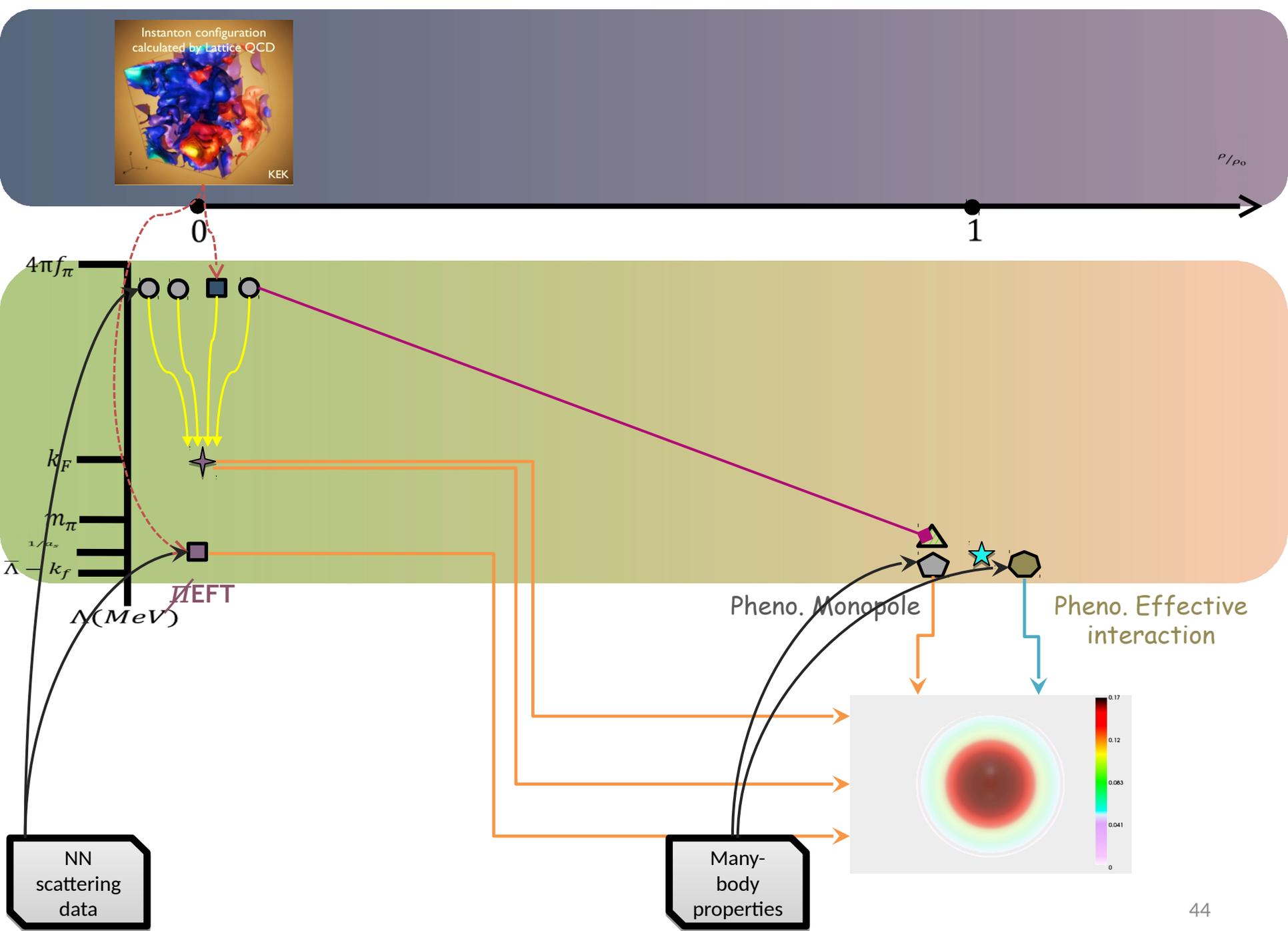


Bogner et al.

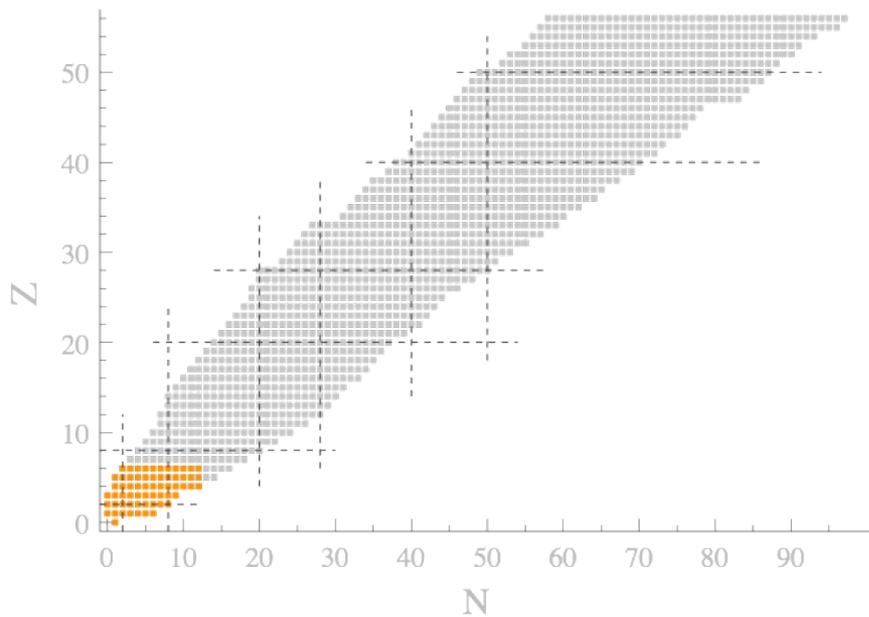
⇒ Decoupling of high-low momentum modes

⇒ Induced xN interactions (x>2)



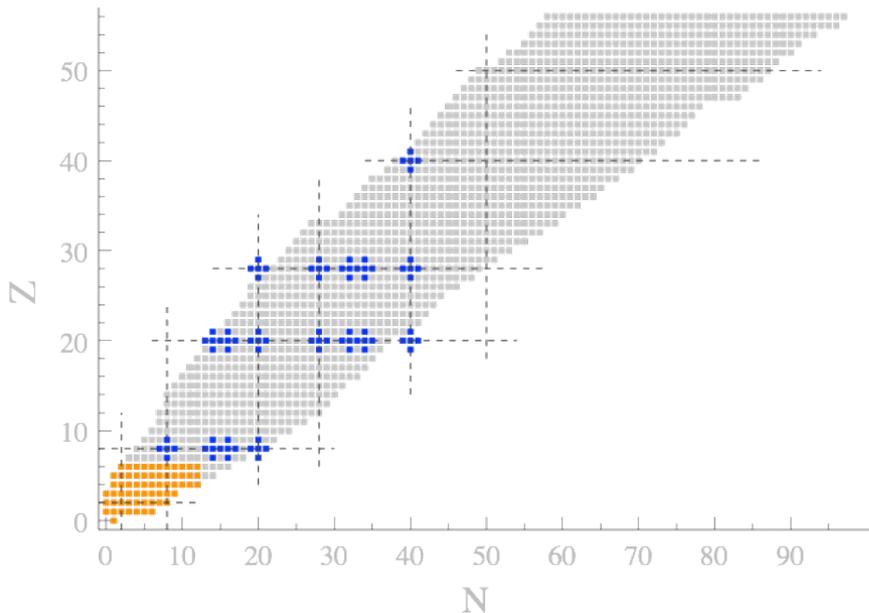


⇒ 'Exact' solution (FY, NCSM, GFMC)



⇒ 'Exact' solution (FY, NCSM, GFMC)

⇒ 2005-now: Closed-shell nuclei ( $A < 100$ ) : MBPT, CC, SCGF, IMSRG, ...

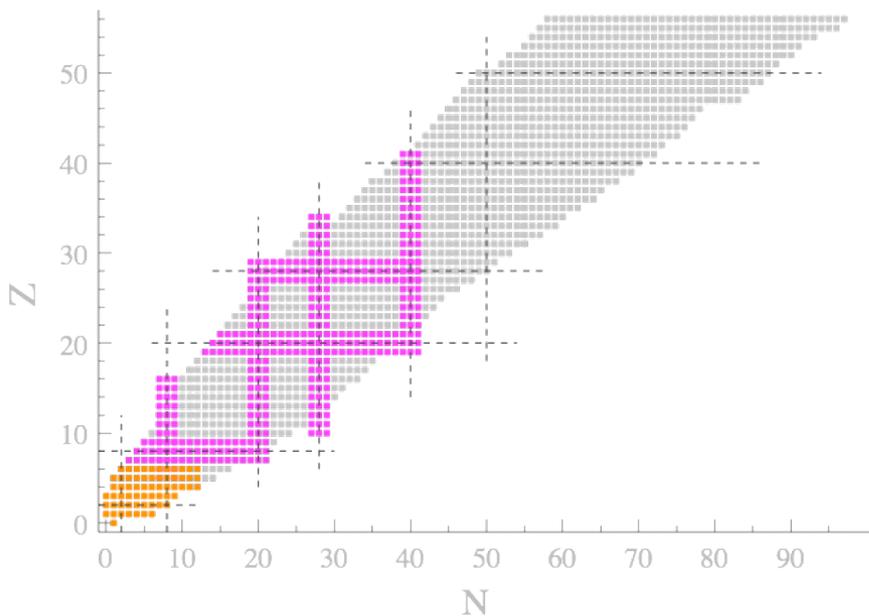


✧ Evolution of the *ab initio* reach

⇒ 'Exact' solution (FY, NCSM, GFMC)

⇒ 2005-now: Closed-shell nuclei ( $A < 100$ ) : MBPT, CC, SCGF, IMSRG, ...

⇒ 2011-now: Open-shell nuclei ( $A < 100$ ) : multi-reference or symmetry-breaking (MR-IMSRG, IM-NCSM, MCPT / GGF, BCC, BMBPT, ...)



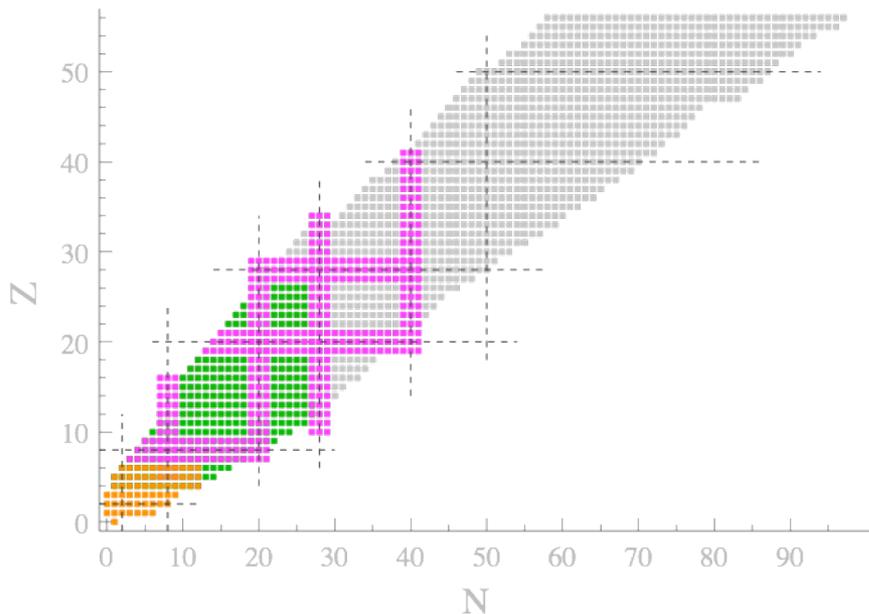
✦ Evolution of the *ab initio* reach

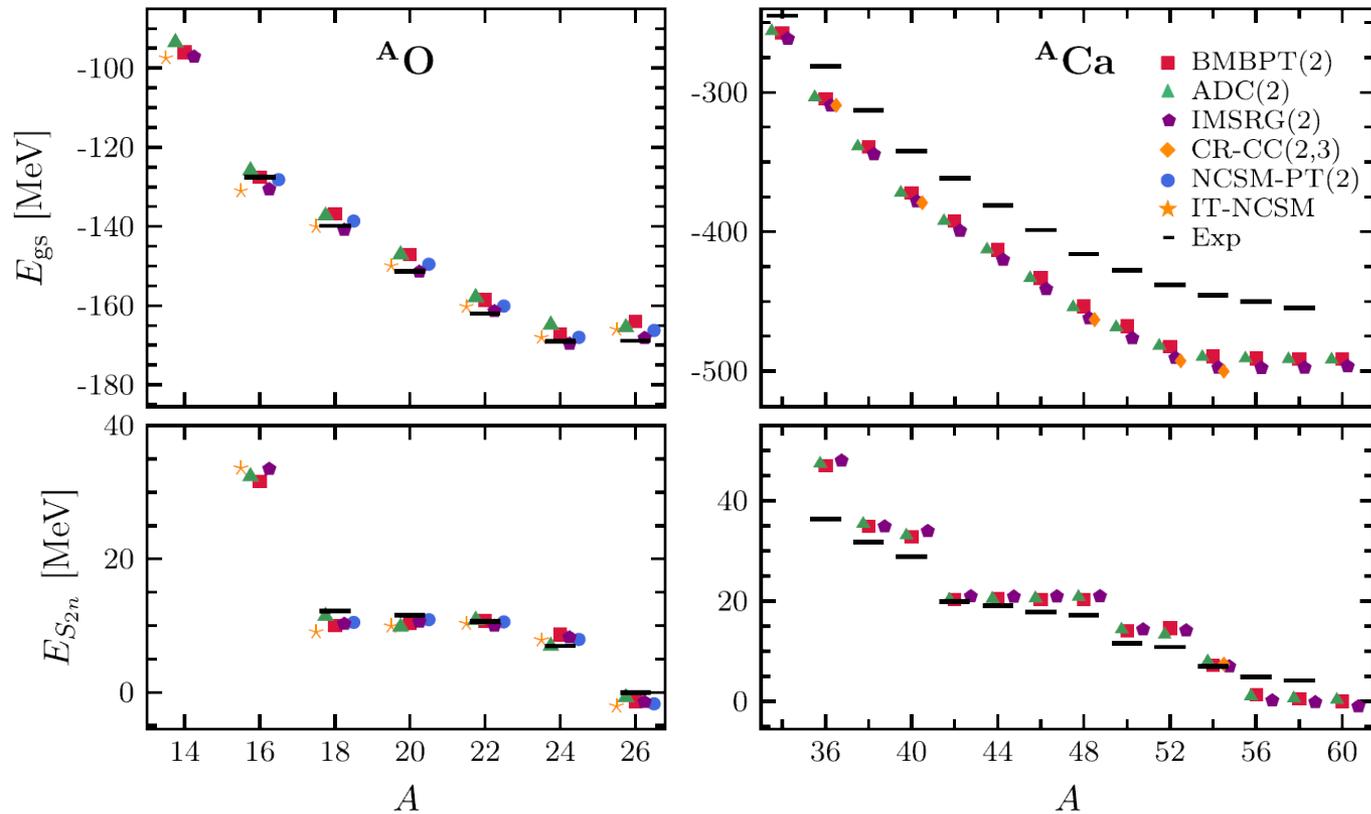
⇒ ‘Exact’ solution (FY, NCSM, GFMC)

⇒ 2005-now: Closed-shell nuclei ( $A < 100$ ) : MBPT, CC, SCGF, IMSRG, ...

⇒ 2011-now: Open-shell nuclei ( $A < 80$ ) : multi-reference or symmetry-breaking (MR-IMSRG, IM-NCSM, MCPT / GGF, BCC, BMBPT, ...)

⇒ 2014-now: Ab initio Shell-Model Open-shell (valence-space methods VS-IMSRG, ...)





Chiral NN+3N Hamiltonian  
 $\alpha = 0.08 \text{ fm}^4$   
 1820 basis functions  
 canonical HFB reference

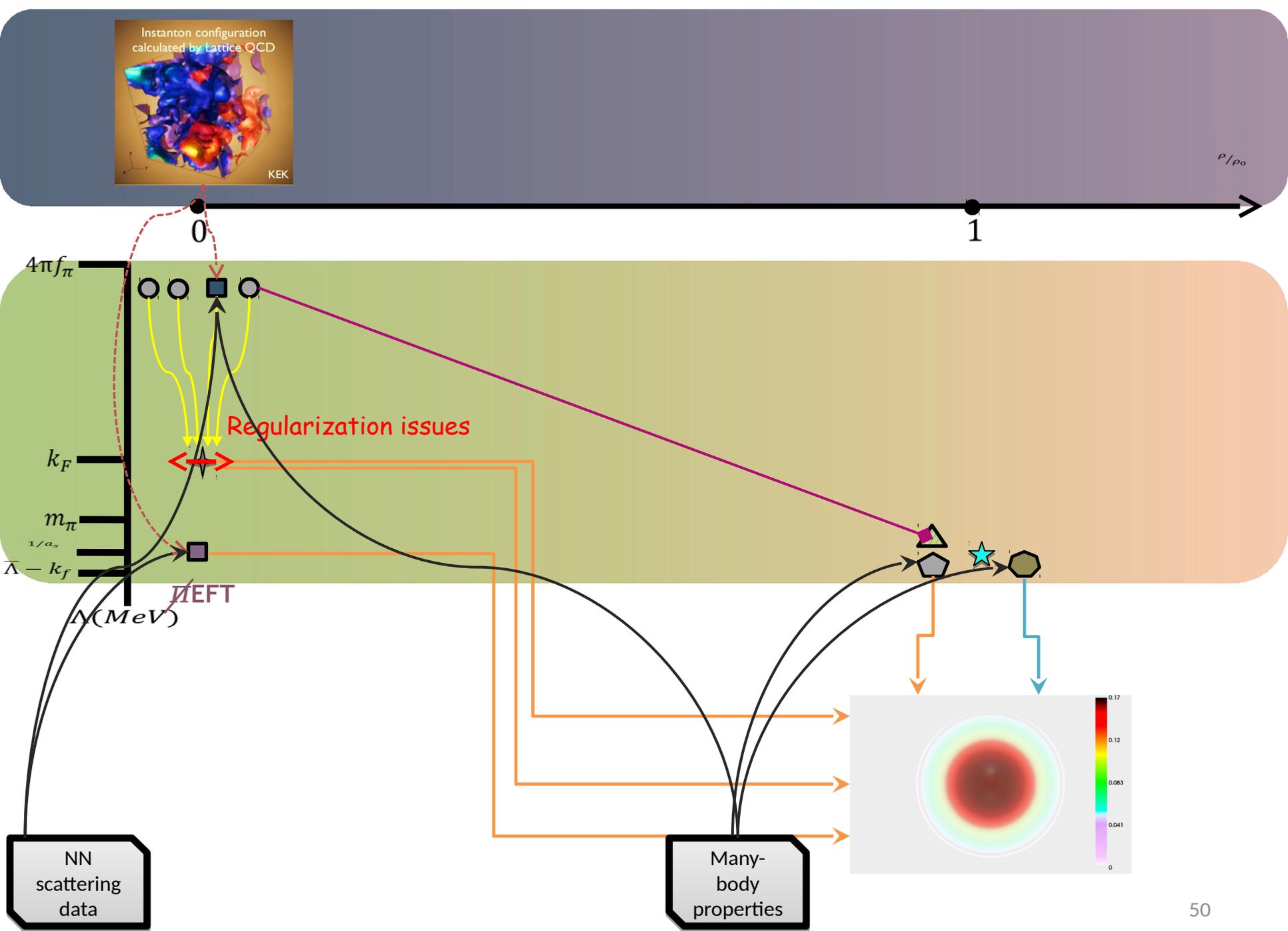
**Runtime**

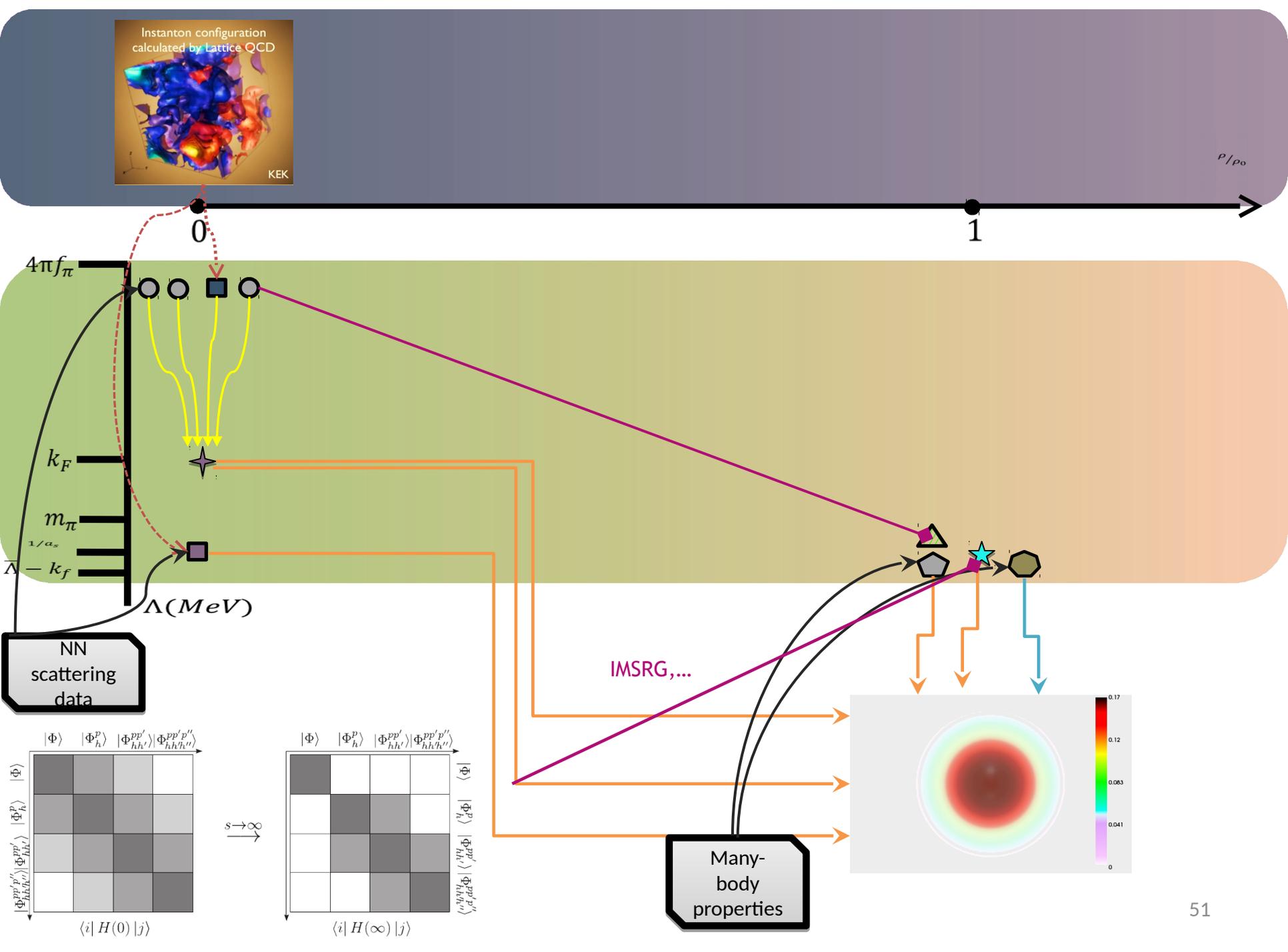
NCSM:	20.000 hours
MCPT:	2.000 hours
IMSRG:	1.500 hours
ADC:	400 hours
<b>BMBPT:</b>	<b>1 hour</b>

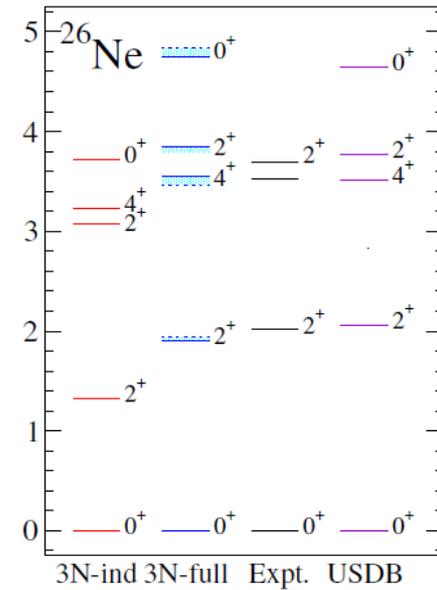
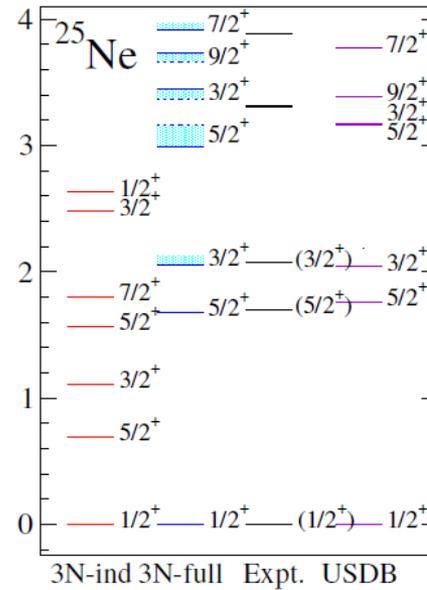
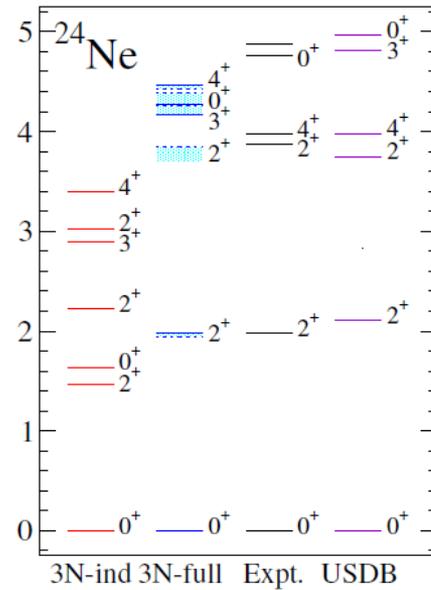
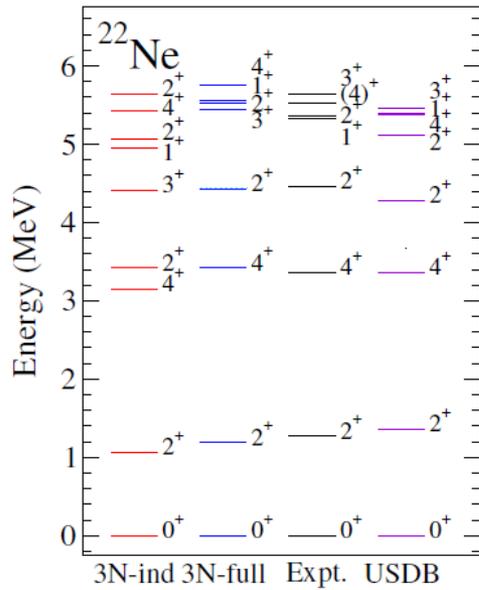
Tichai et al.

⇒ Consistency of various ab initio schemes fed by the same input

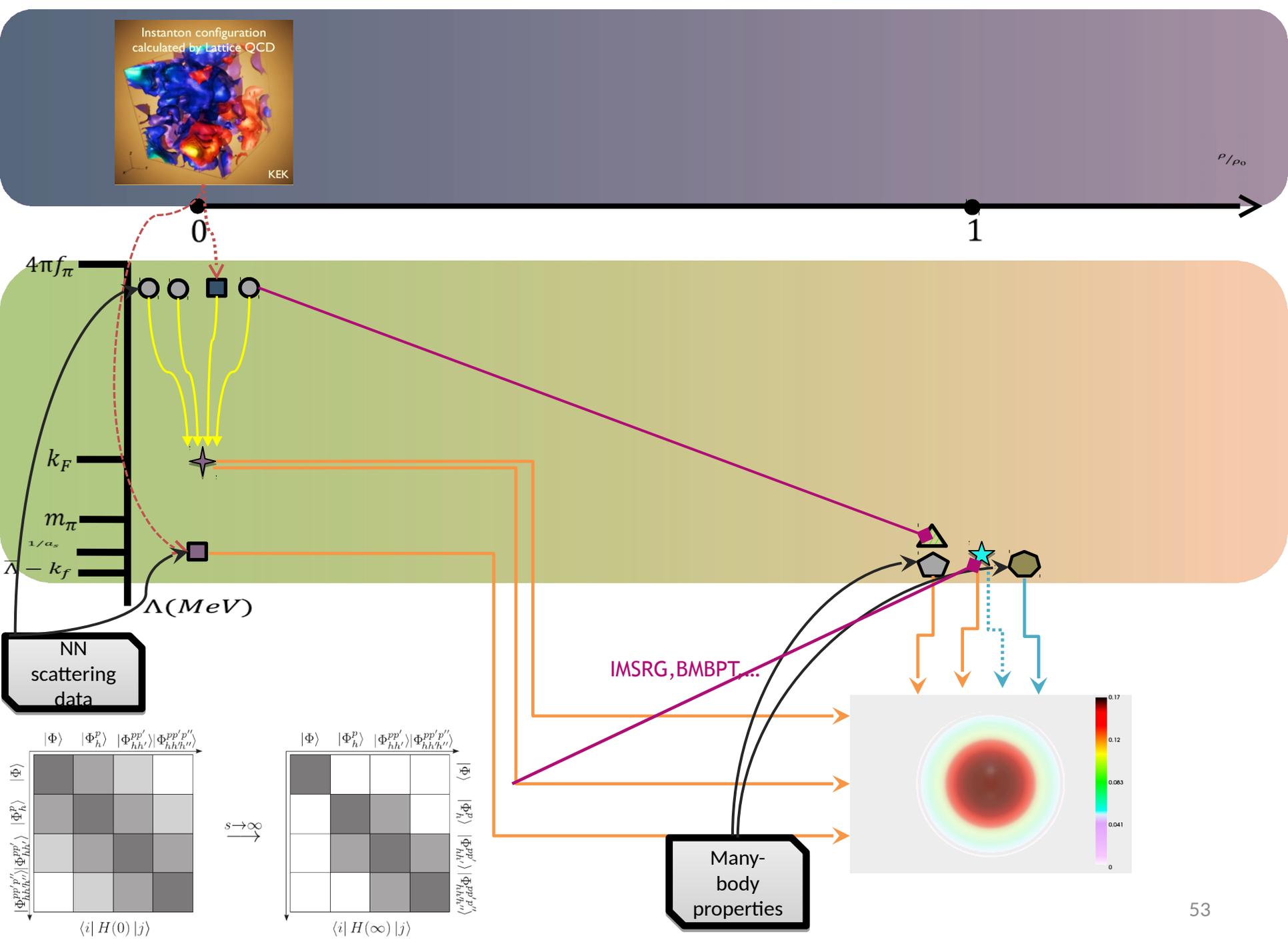
⇒ Many-body method more accurate than the input Hamiltonian  
 ⇒ Use also A-body prop. for the fit ( $\text{NNLO}_{\text{sat}}$ )

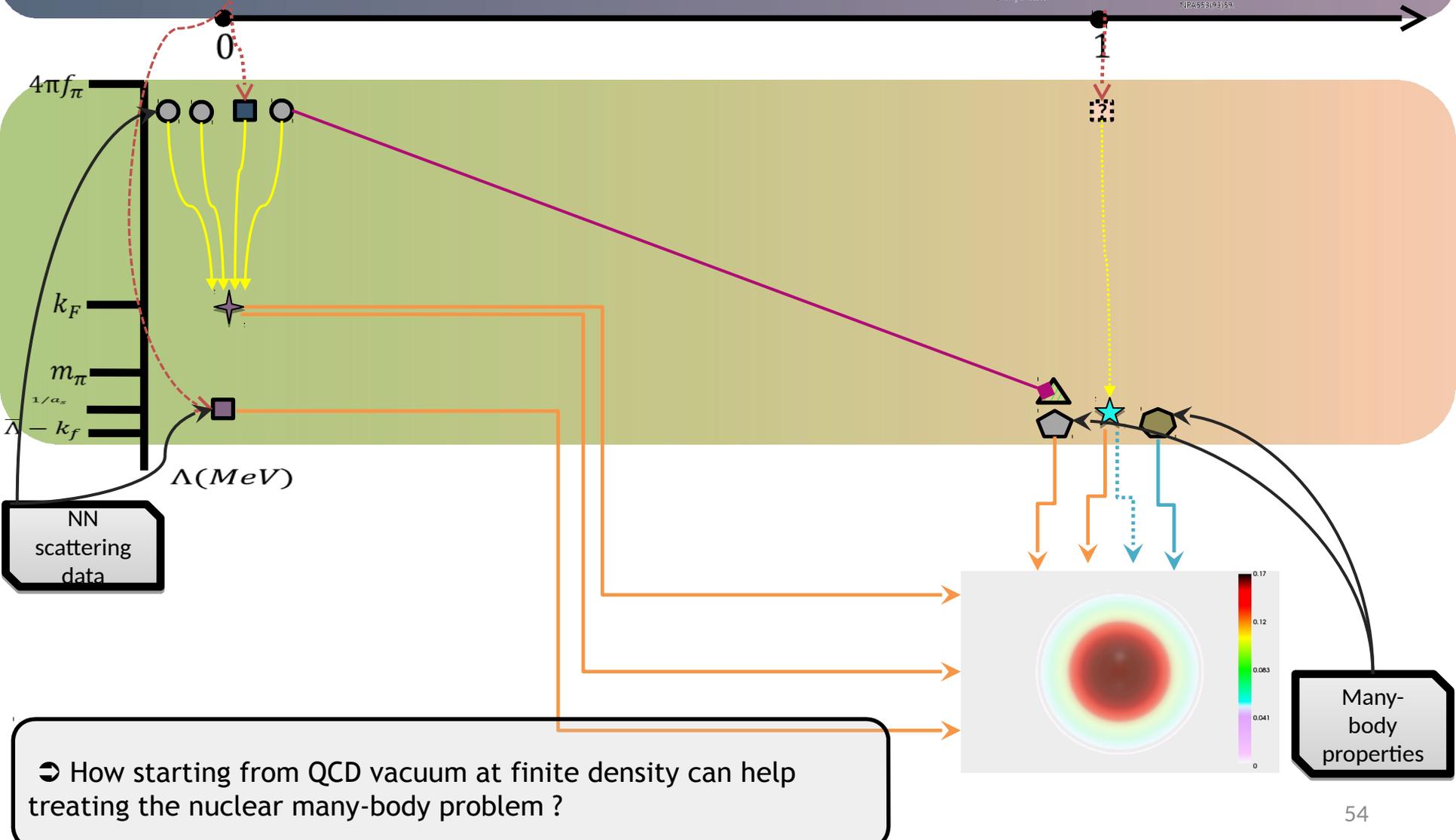
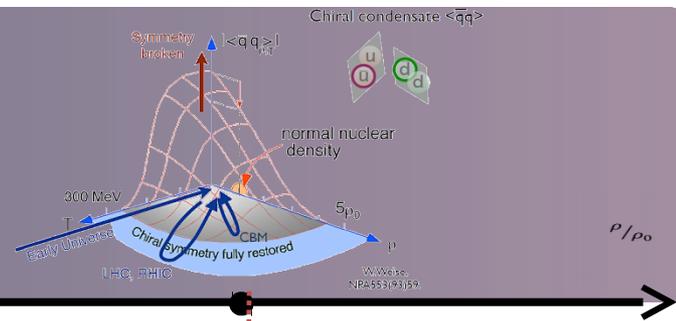
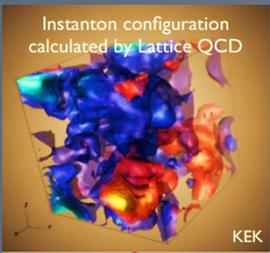






*Stroberg et al.*





⇒ Foundations of microscopic approaches to the nuclear many-body problem : link with QCD

⇒ Inter-Nucleon Interactions : chiral EFT

⇒ Ab initio methods : huge progress. Limitations from the input

⇒ Shell Model : connection with chiral potentials ok

⇒ EDF : How to be as good without density dependences ?

Link with QCD ?

⇒ Many topics not covered here, e.g. nuclear lattice EFT, scattering & reaction processes, ...

⇒ Global vs. Local symmetries : Good criterion is to compute the associated Noether current and check whether the corresponding Noether charge is trivial or not.

⇒ Global exact symmetries : incompatible with the fundamental theory of Nature at high energy (yet to be formulated). Rather, emerge as accidental symmetries at low energies

- *Approximate symmetries because explicitly violated by higher order operators (irrelevant in the RG sense)*
- *Non-conservation of  $L_e - L_\mu$  and  $L_\mu - L_\tau$ , approximate nature of parity & time-reversal symmetries,...*

⇒ Gauge symmetries : misnomer. Not symmetries in the first place, and therefore cannot be broken. Rather, property of the description of the system (redundancy that proves very convenient)

- *Exact emergent symmetries useful to make the theory explicitly Lorentz invariant, local, unitary and therefore causal*
- *Also appear in condensed matter physics and nuclear physics*