

# Update on GRAND simulations

Olivier and Anne

GRAND White Paper workshop  
Aug 22-24 2018

# OUTLINE

Summary of what was presented in the last calls since February and partly new things

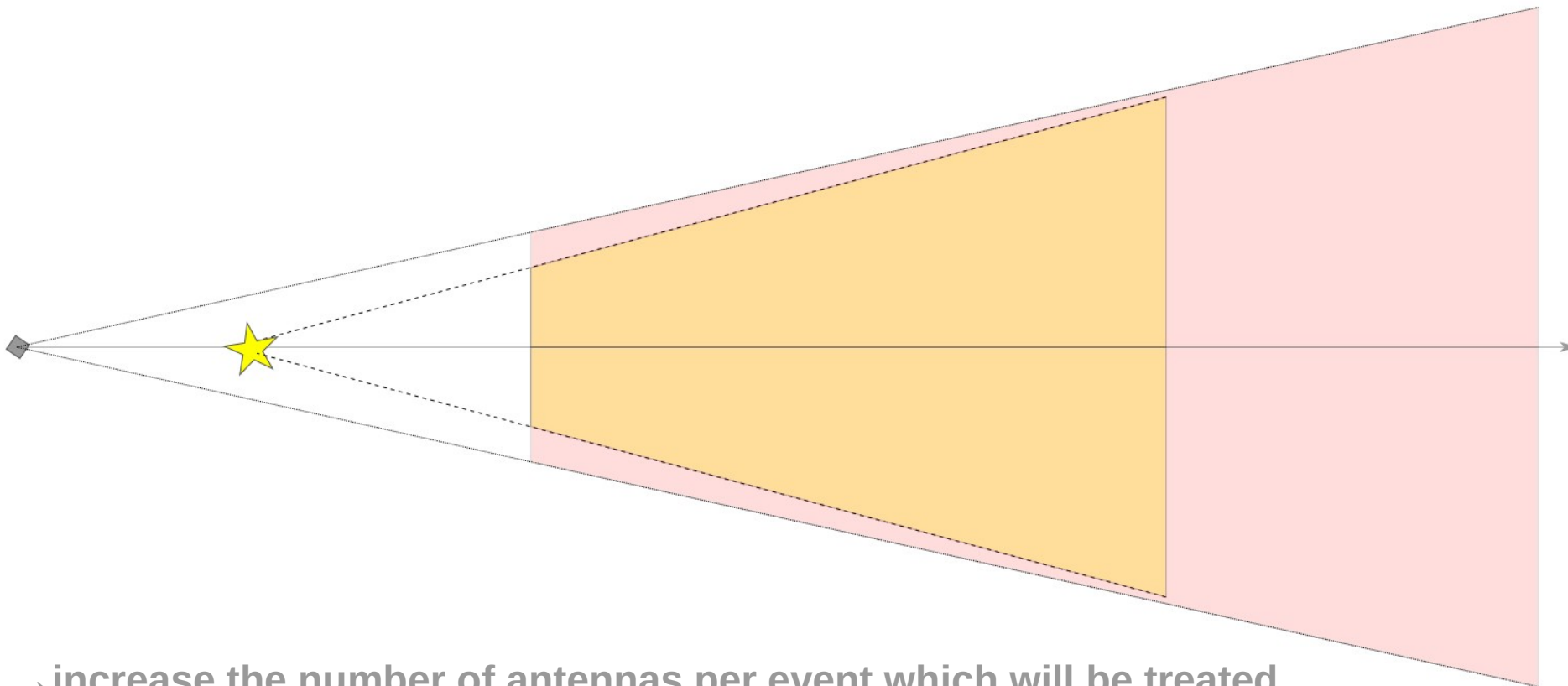
- Updates on the sensitivity study
- Radio morphing vs Zhaire
- Frequency band
- Machine learning

# What's new in the sensitivity study

- Energy correction if pion is primary in radio morphing (minor)
- Extended star shape pattern since for high-energetic events also antennas outside the Cherenkov cone see a detectable signal, now fits to cone selection cut
- Bug fix in treatment of refraction index in the propagation fixed by Matias (done recently):
  - Added planes at larger distances (max. 99km from Xmax) added
  - has to be still checked in detail, results for sensitivity in good agreement with former ones
- Calculation of the mountain slope used in the application of the antenna response
- Antenna response cross-check with free-space propagation
- Clustering in new analysis:
  - new: trigger for 4 neighbouring antennas out of 8 surrounding antennas, arranged in a SQUARED box, for test antenna
  - initial: 4 out of 8, but arranged in ANY shape, as long as the 8 antennas were separated less than 3 steps

# Enlargement of the reference shower

- For the planes closer to  $X_{\max}$ : adopt to the cone selection,
  - antenna cone does not start any more at  $X_{\max}$ , now at the tau decay
  - we observed that also antennas outside the Cherenkov cone see a detectable signal
- Recent fix in Zhares' treatment of the refractive index:
  - planes in far distance to  $X_{\max}$  (up to 99km instead of 79km from  $X_{\max}$ ) included



- increase the number of antennas per event which will be treated
- expected to increase also the number of detected events ...

# Checks done

- RadioMorphing
  - Larger reference shower  $\Leftrightarrow$  larger volume with Efield computation
    - Wider cone opening
    - More distant planes after Zhaire's bug fixed
    - Slides to be added
- **Small effect on sensitivity in the end (<10%)** but much more antennas in events.

## July:

Cones: 19948

Radio sim: 13211

Triggered events: 8721

Clustered events: 6208 ( $\langle N_{ants} \rangle = 30$ )

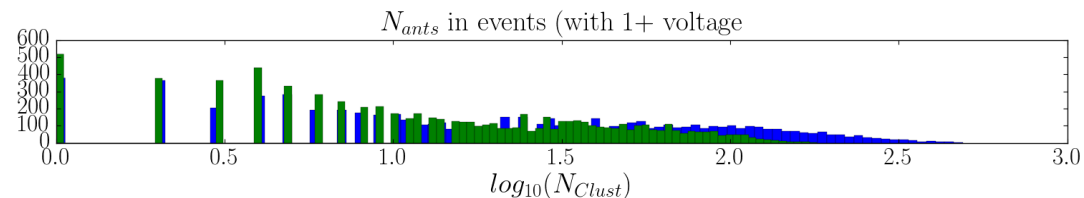
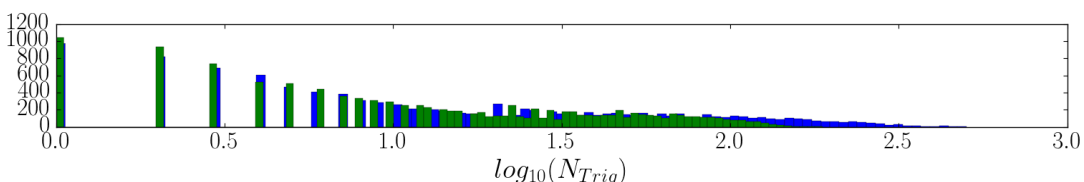
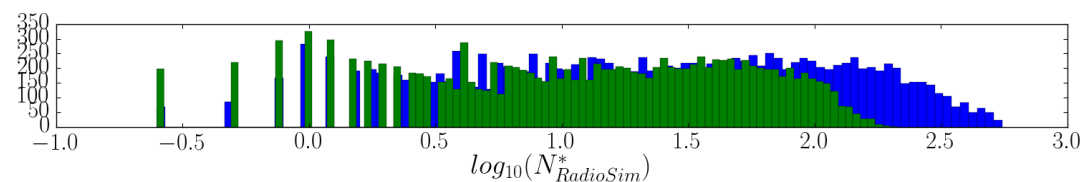
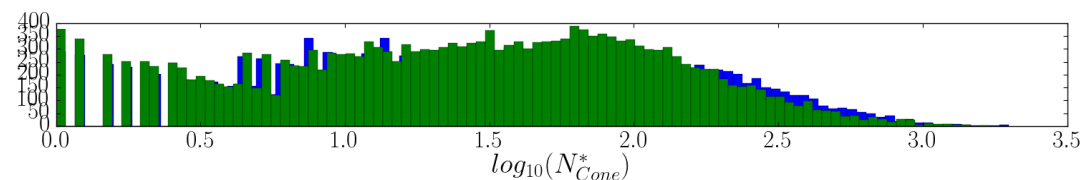
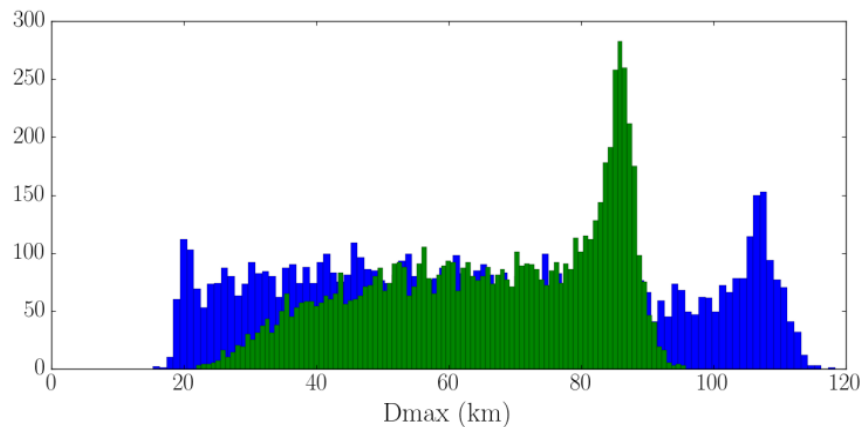
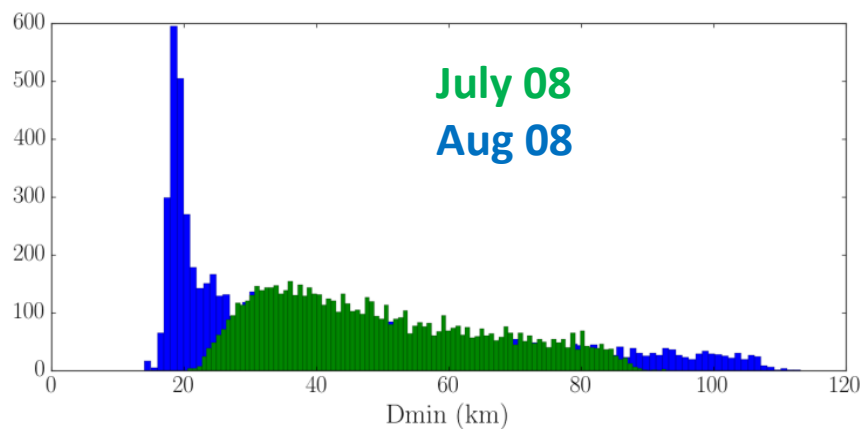
## August:

Cones: 17853

RadioSim: 14489

Triggered events (5+ ants): 9068

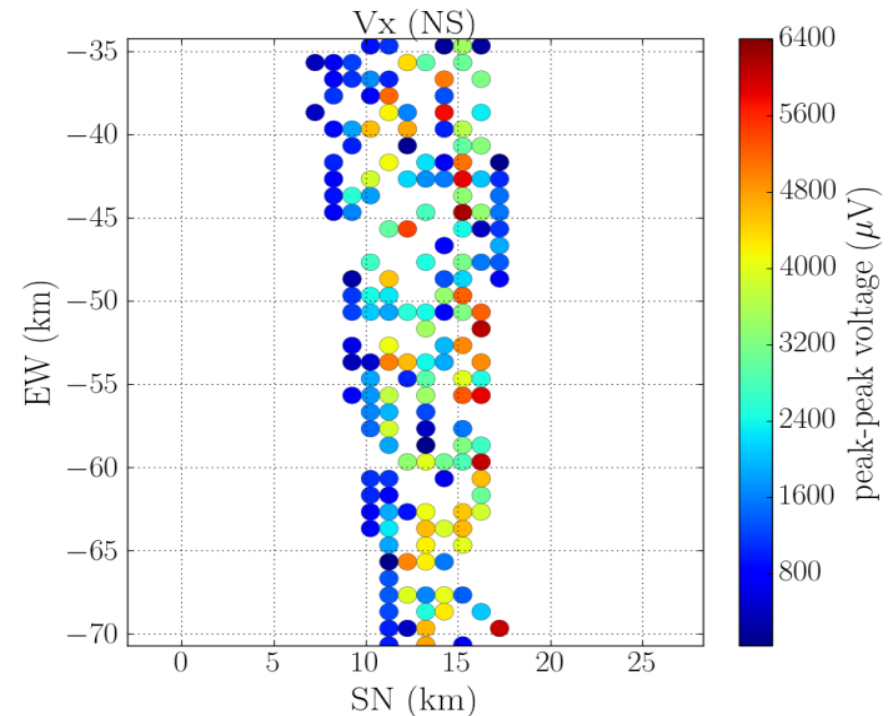
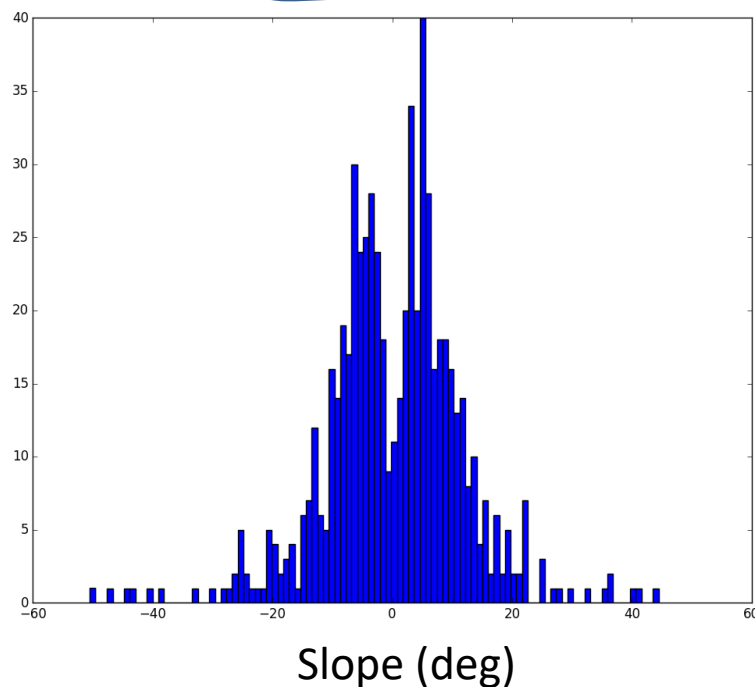
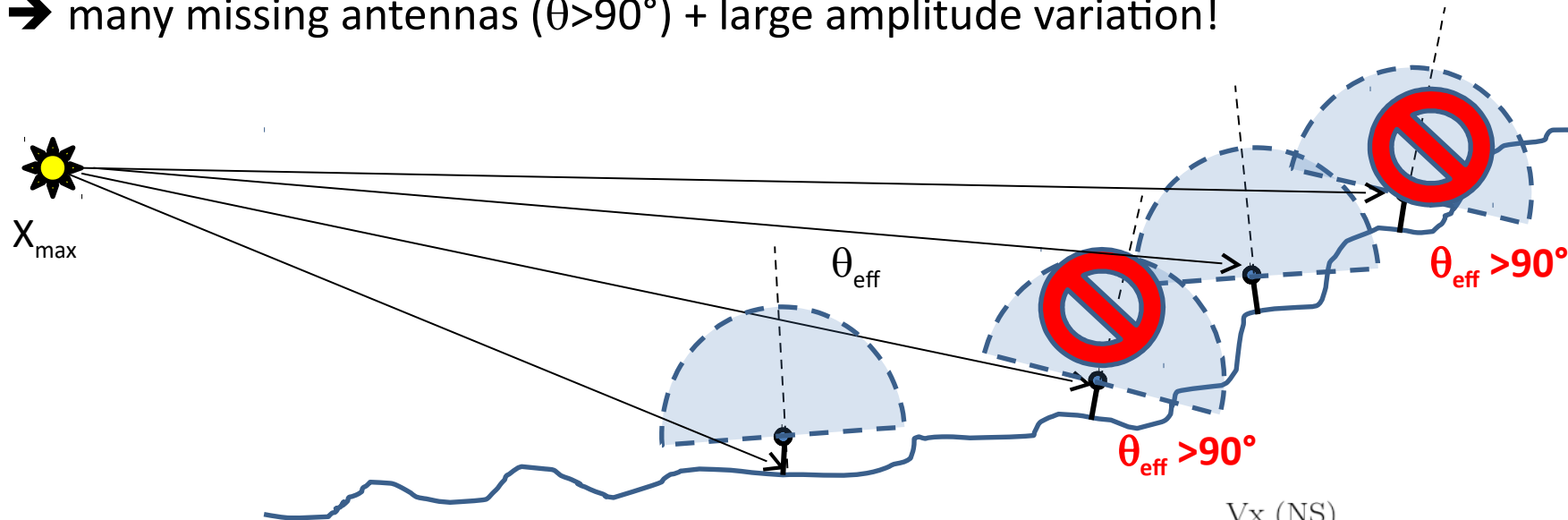
Clustered events: 6215 ( $\langle N_{ants} \rangle = 115$ )



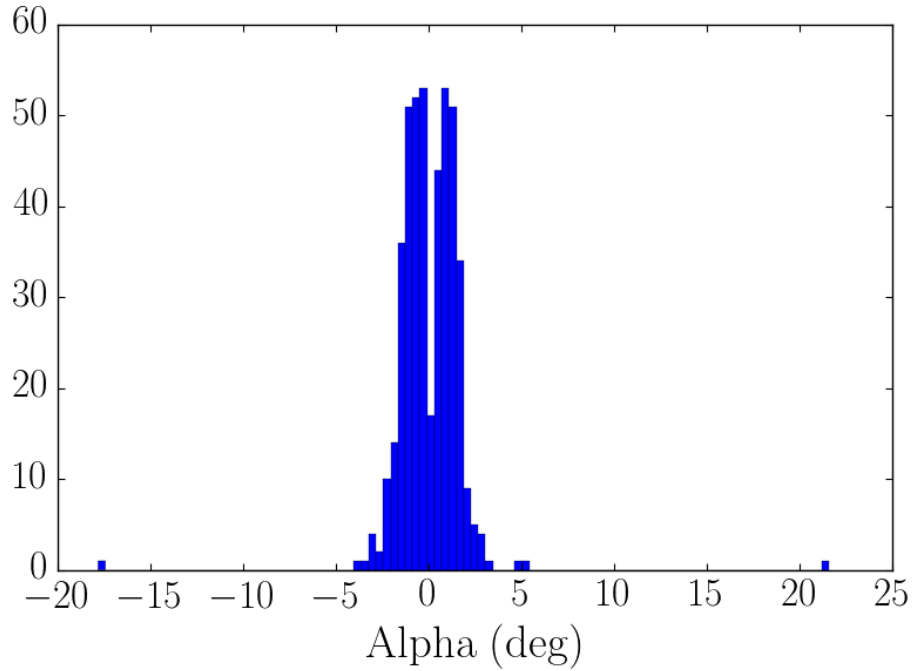
# Effect of mountain slope @antenna

Bumpy ground inducing a large variation of slopes because slope is computed on the very local area surrounding the antenna (~30m radius)

→ many missing antennas ( $\theta > 90^\circ$ ) + large amplitude variation!



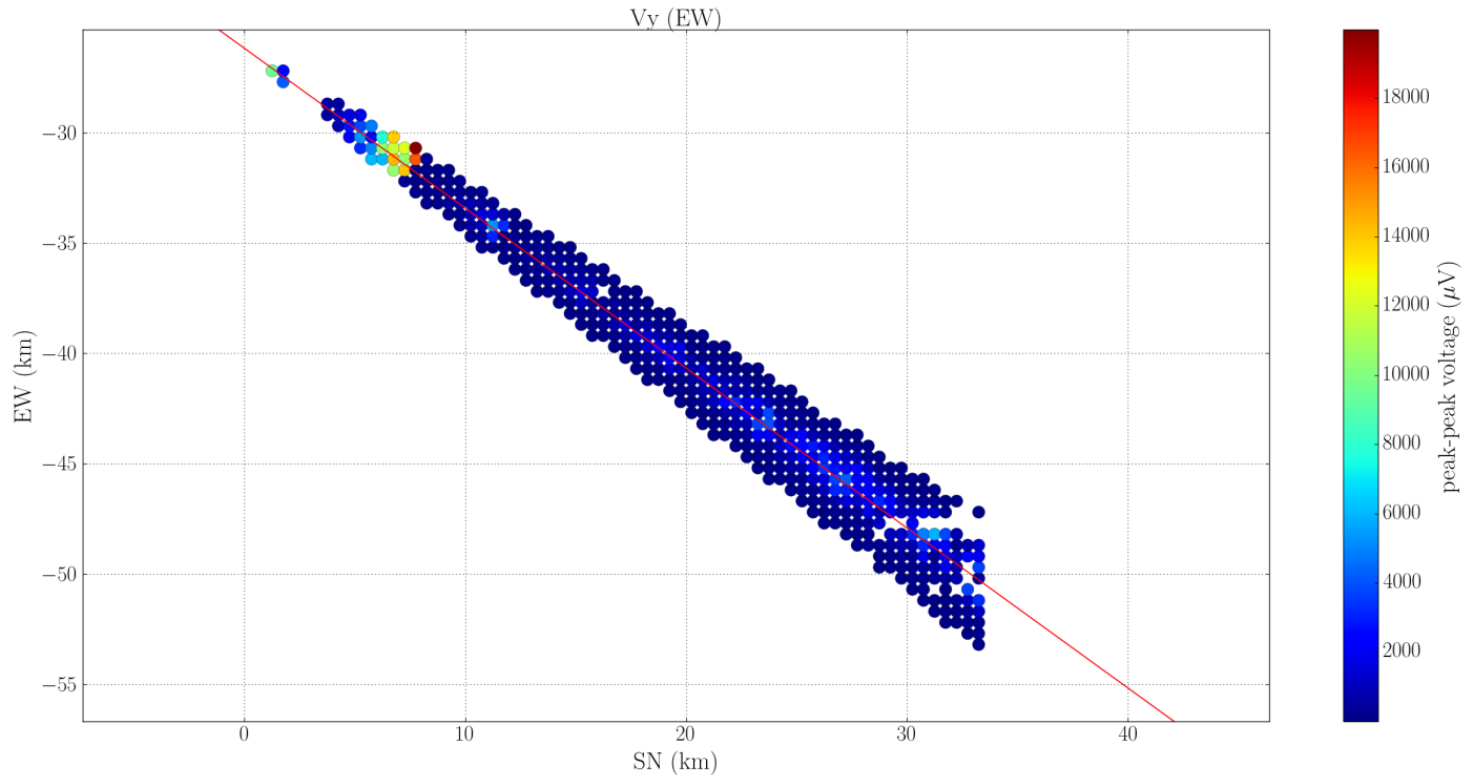
# Effect of mountain slope @antenna



- Ground simulation: **now slope computed on 200m radius**. Slope values closer to 0.  
➔ Less holes!

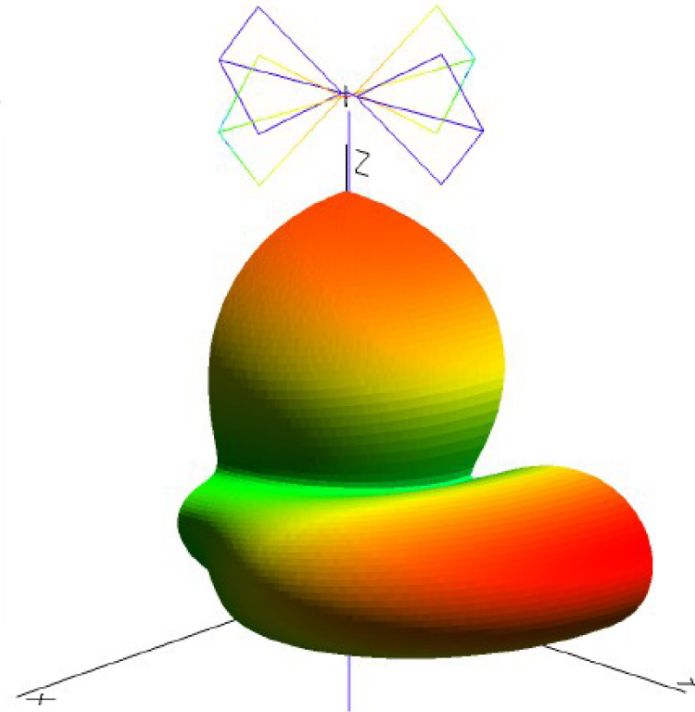
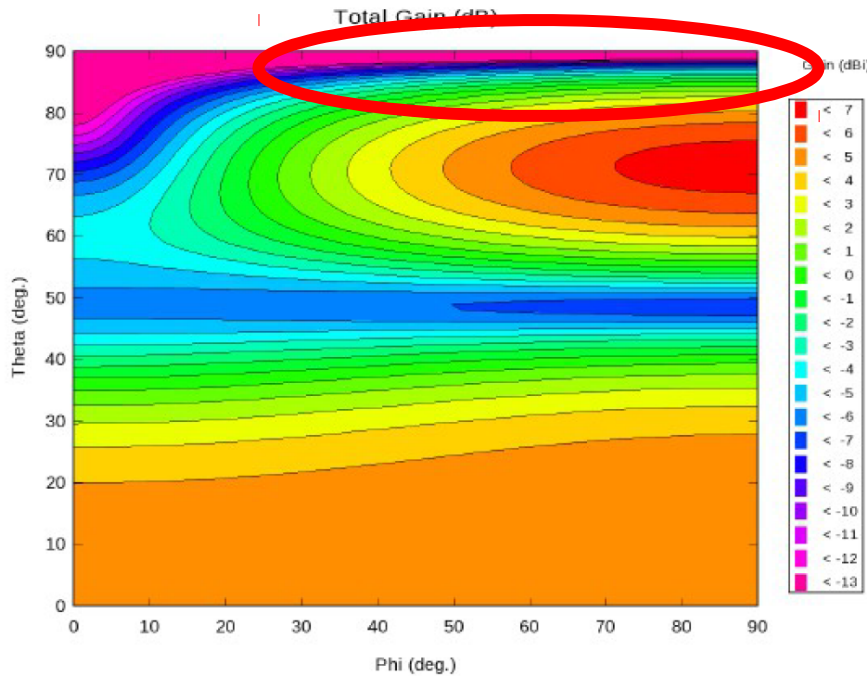
Ex:

E.2e19\_Z.89\_A.324\_La.42\_Lo.87\_H.1477\_  
D.3582049546



# Effect of the antenna response

## Antenna gain pattern



*Symmetrical pattern for  $\phi > 90^\circ$*

$G(\theta, \phi)$ : unloaded butterfly antenna X-arm response to  $\theta, \phi$  wave @ 50 MHz

NEC4 simulation with infinite ground ("sandy dry") hypothesis

$\rightarrow G = 0$  @  $\theta \geq 90^\circ$ , huge gradient ( $< -18$ dB between  $80^\circ$  &  $90^\circ$ ), effect of infinite ground simulation



## Effect of the antenna response

- For details see: wiki - GRANDSimStatus\_May2018
- 2 alternative approaches:
  - ground effect included in antenna response (only if signal coming from above antenna horizon → conservative)
  - Alternative antenna response computation  
Free space simulation + analytical computation for ground effect (complex topographies discarded → conservative)
    - 1) Compute attenuation during propagation analytically
    - 2) Use free-space antenna model to compute response
      - Free propagation if Fresnel ellipsoid above ground.
      - Analytical formulas for diffraction computation otherwise
      - Several topographies considered in the doc, only spherical Earth implemented so far for GRAND.
      - compute (frequency-dependant) attenuation for these events, assuming «flat-Earth-like» topography within Fresnel range

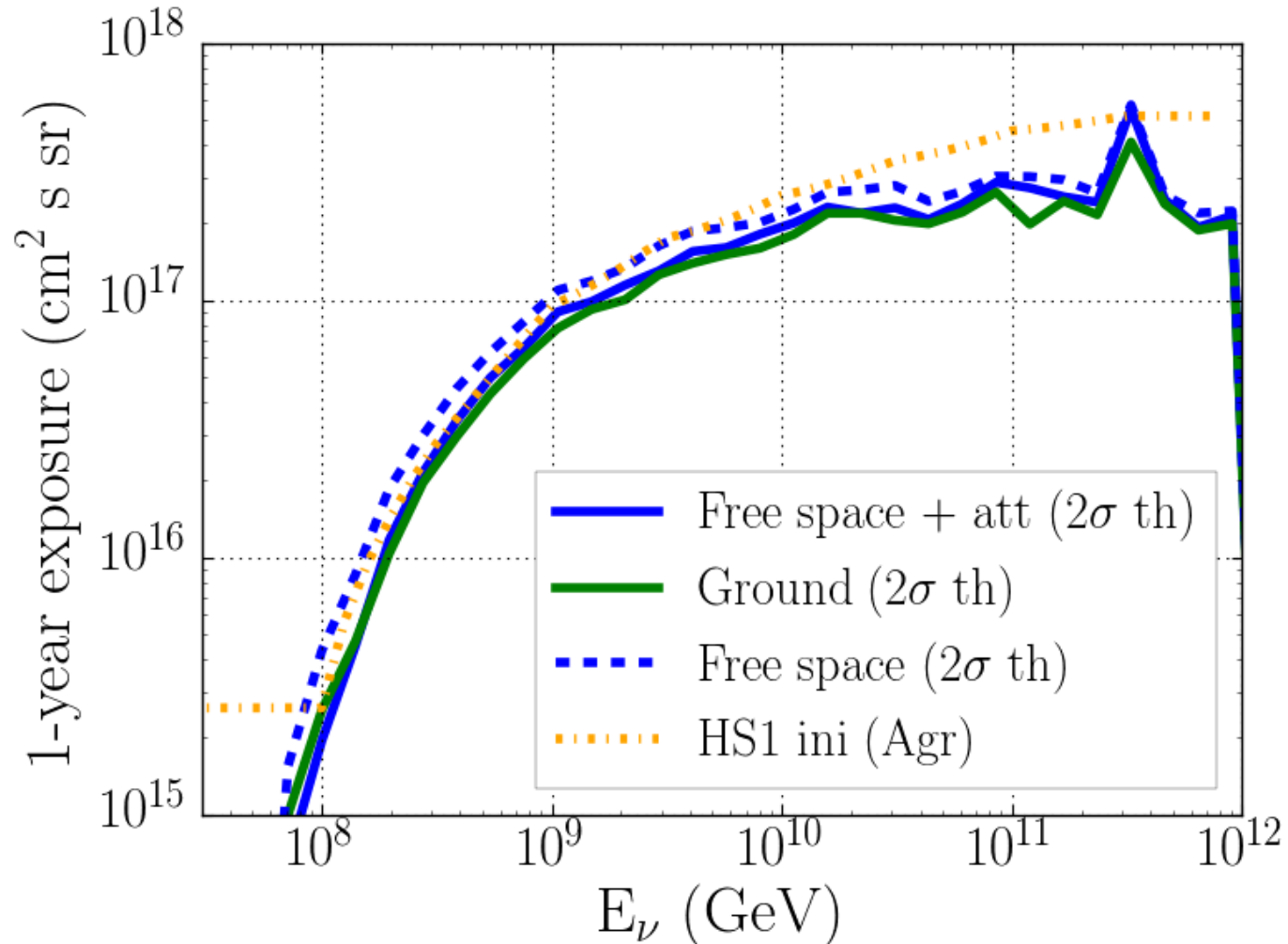
## Results: ground vs free space

→ Checking «aggressive» scenario (2 $\sigma$  threshold)

- **Very similar results between ground & free space (<+10%)**
- But significantly more antennas in FreeSpace events ( $\langle N \rangle$ : 47 vs 30)

→ **A lot of work for a very similar result ☹ but gives a nice « robustness check » of our exposure computation ☺.**

→ A 3rd way being explored in Argentina (thx to Matias): point source simulation + ground



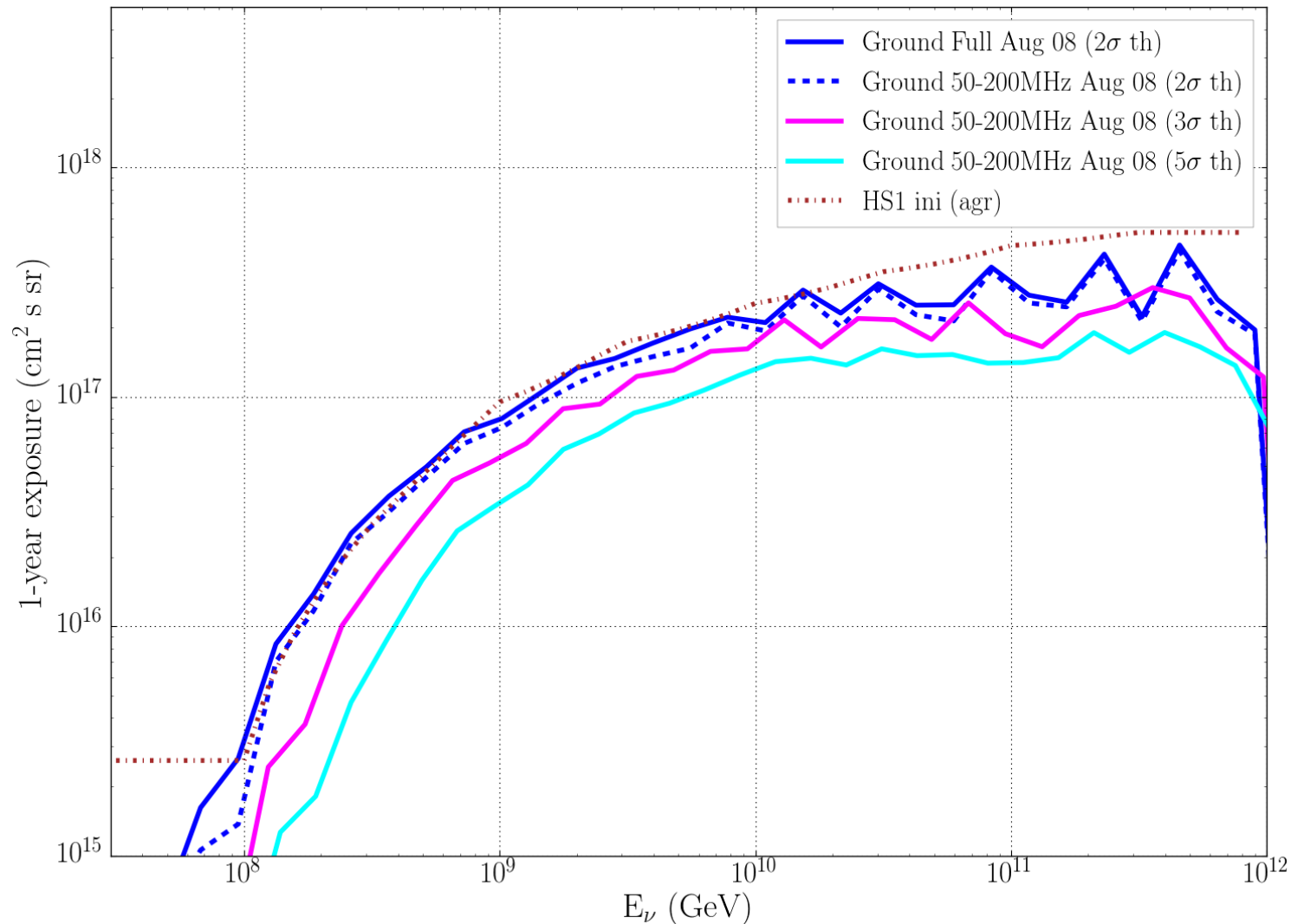
# HS1 limit

- Final result for a 3-years all-flavor exposure on HS1 (10000km<sup>2</sup>+1km step) in 50-200MHz, with 5+ antenna cluster above 2sigma threshold
- **Flux limit =  $7.9 \cdot 10^{-9}$  GeV/cm<sup>2</sup>/s/sr**



**$\sim 4 \cdot 10^{-10}$  GeV/cm<sup>2</sup>/s/sr  
when extrapolated to  
GRAND200k**

- Initial limit:  
for HS1:  $7.2 \cdot 10^{-9}$  GeV/cm<sup>2</sup>/s/sr (7500km<sup>2</sup>+800m step)  
For GRAND200k  $2.2 \cdot 10^{-10}$  GeV/cm<sup>2</sup>/s/sr (200'000km<sup>2</sup>+800m step)



**=> Limits presented so far (Nijmegen) seems to be robust!**

## Sensitivity study - summary

- All elements of sensitivity computation chain now tested.
  - recent fix in Zhaire: test still ongoing
  - next step: error on trigger rate for radio morphing with statistics
- New limit now seems robust & reliable
- Aggressive limit is  $\sim 2x$  worst than initial, mostly because of clustering strategy + different array/step size.

## Outlook

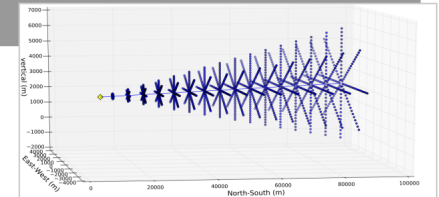
- Look for other hotspots (Tian-Shan cosmic ray station (Kazakhstan)
  - contact to D. Kostunin – KIT)
- Include 'athmosphere' events
- Impact of frequency optimisation
- Layout optimisation (step size, real grid != square)

# The Radio Morphing recipe

Not GRAND specific!  
→ universal method

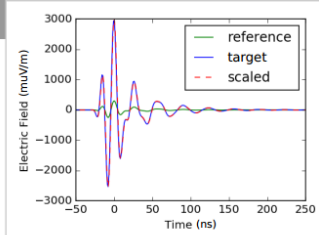
1

**Preparing the reference shower:**  
simulated electric field traces for antennas positions arranged in star-shape patterns in fixed distances to  $X_{\max}$



**Scaling of the electric field amplitude**  
according target shower parameters:

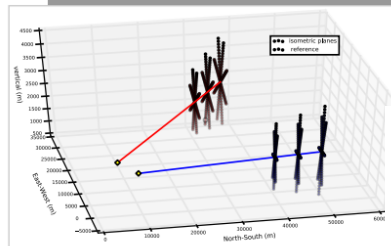
$$E_A(t) = k_{AB} E_B(t)$$



**Desired shower parameters**

2

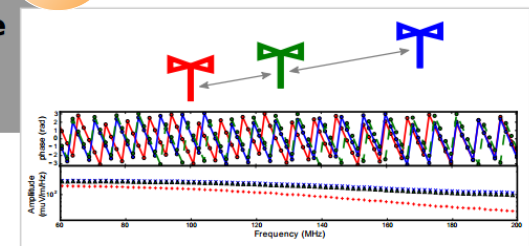
**Isometry of reference positions:**  
translation and rotation according target shower direction



**Desired antenna positions**

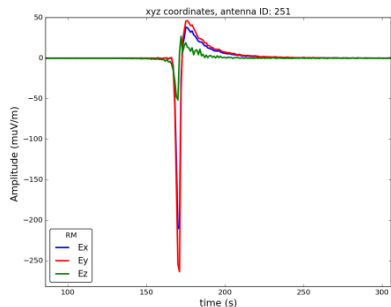
3

**Interpolation of pulse shape**  
at desired antenna position:  
 $E_A(x_i, t) \rightarrow E_A(x_j, t)$



4

**Electric field traces**  
**at desired antenna positions**

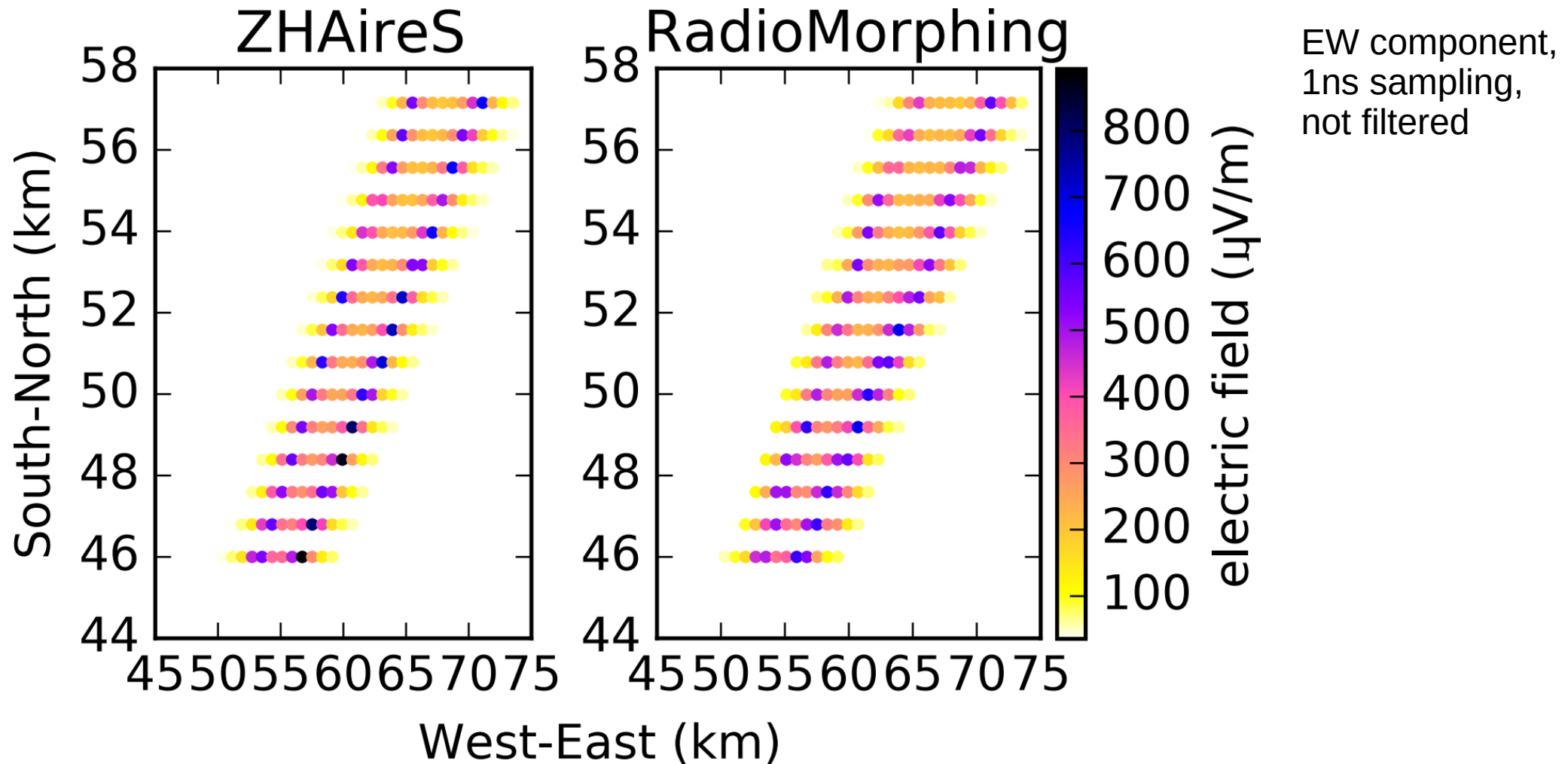


# Cross-check radio morphing

Example shower: electron, 1.05 EeV, zen=89.5°, az=50° (GRAND conv), h=2200m

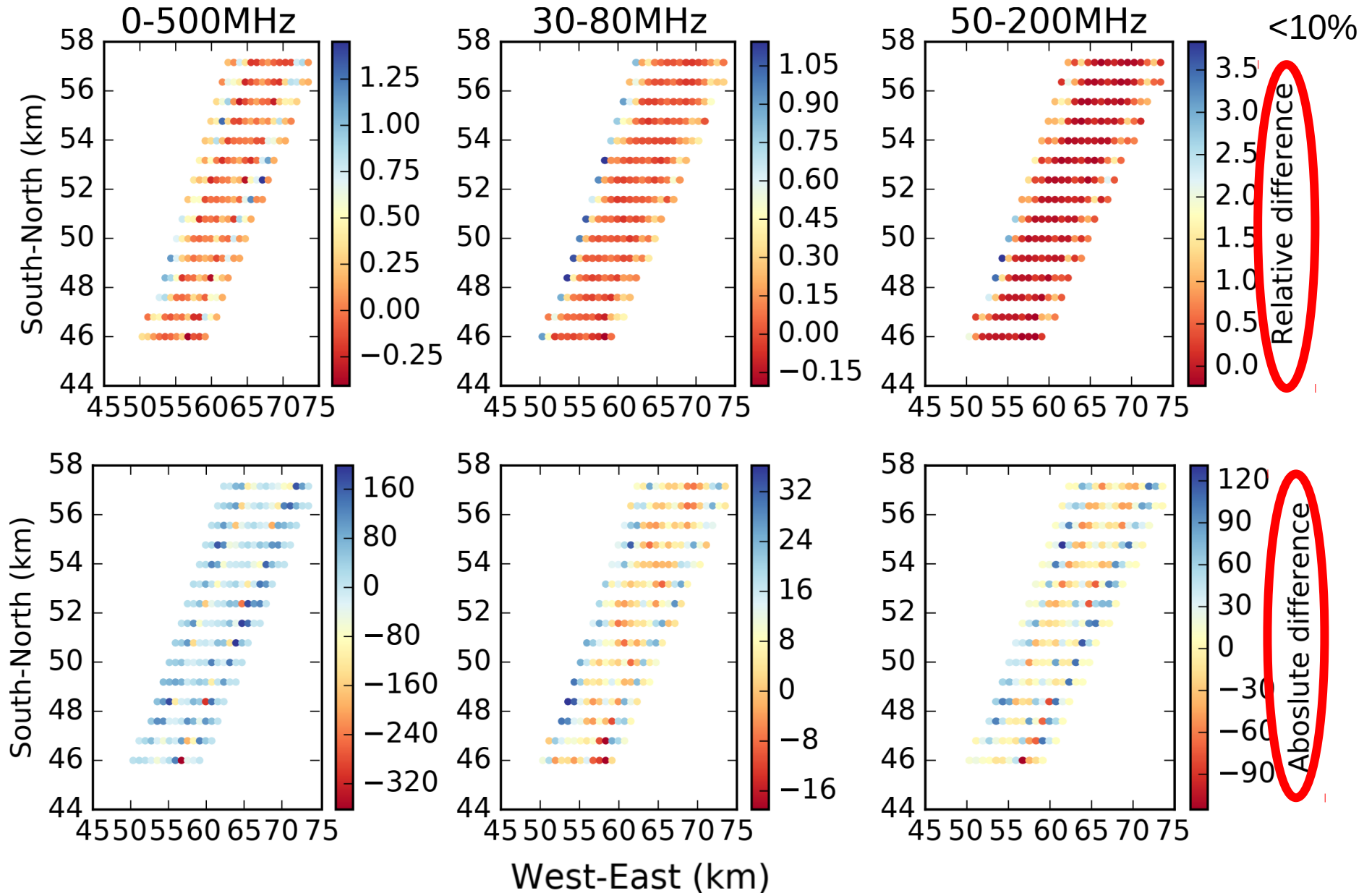
Toymodel array, slope of 5deg

Reference shower: electron, 0.1 EeV, zen=88.5°, az=220° (GRAND conv), h=1700m



→ Radio morphing can nicely reproduce features as the Cherenkov ring and strength of signal

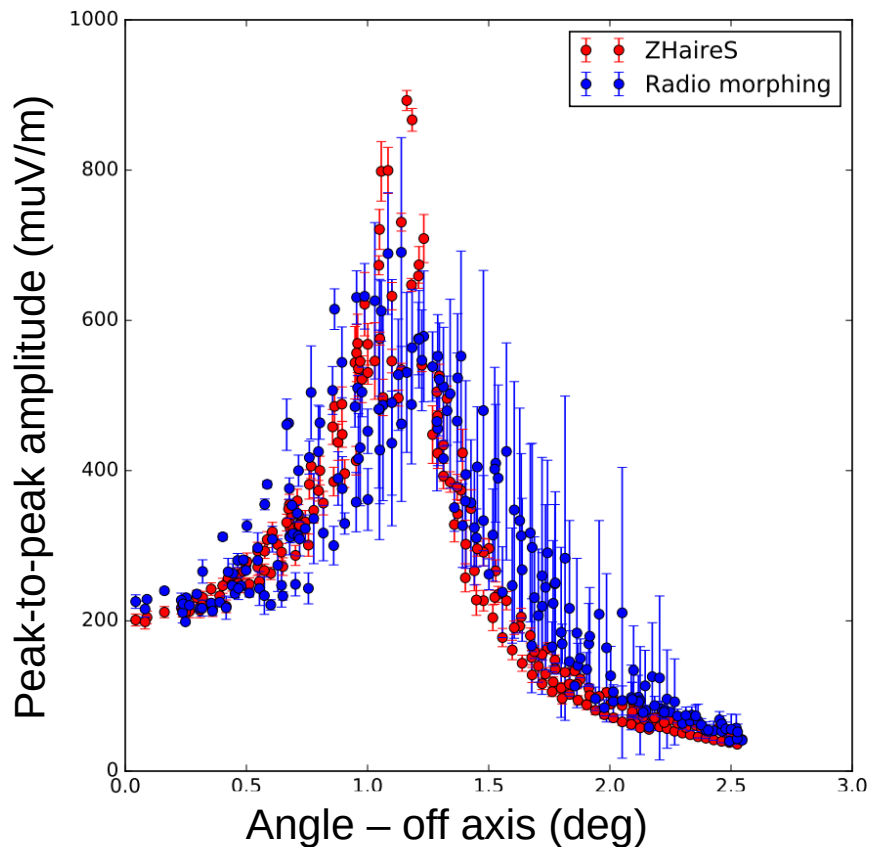
# Cross-check radio morphing



Highest differences at the edges of the Cherenkov cone

→ signal drops exponentially, sensitive to the smallest offset in the positions of the ring

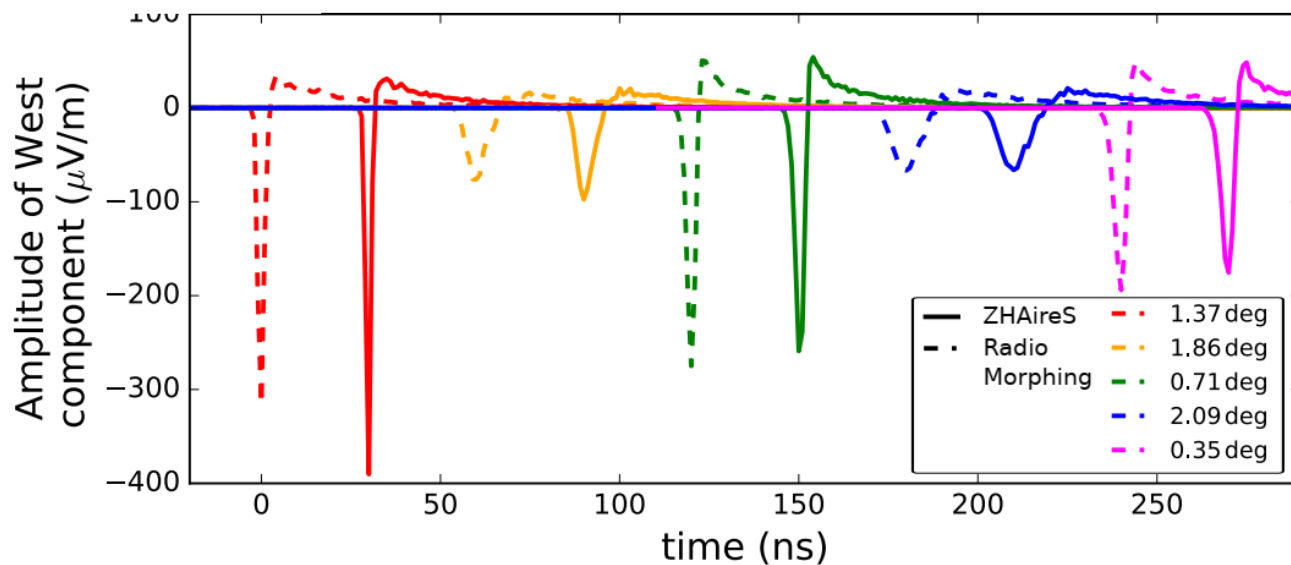
# Cross-check radio morphing



‘LDF’ of EW component  
10 Zhaires simulations vs radio morphing run  
with 10 different reference shower

- reference showers had energy of 0.1EeV
- “flatter LDF gets scaled up”
- => better for low-energetic shower (don’t get missed)
- => for high-energetic shower: more antennas trigger, but for events which should nevertheless be detected)

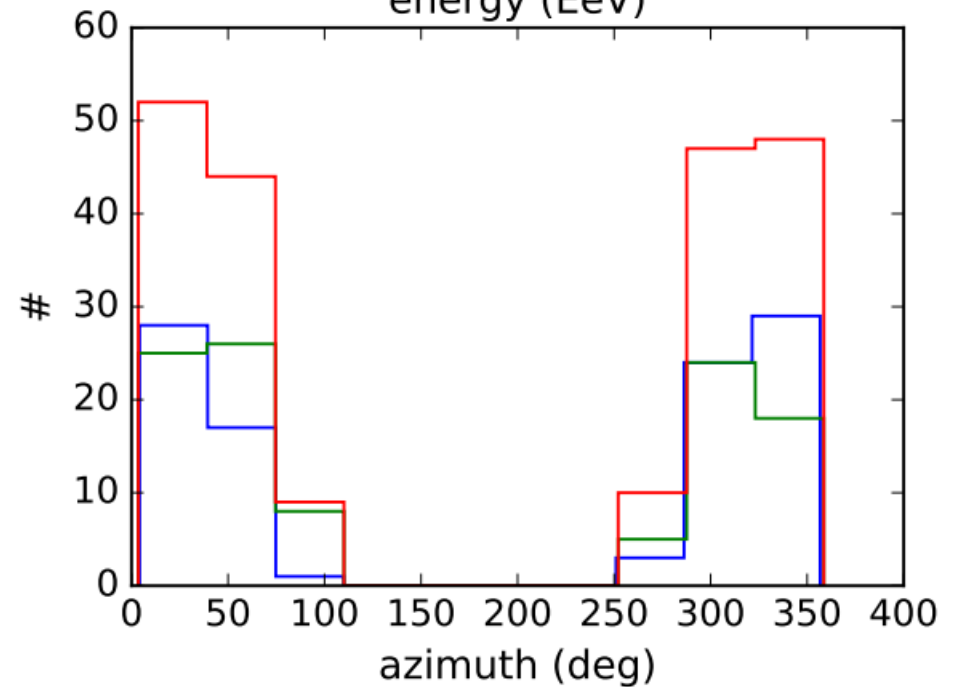
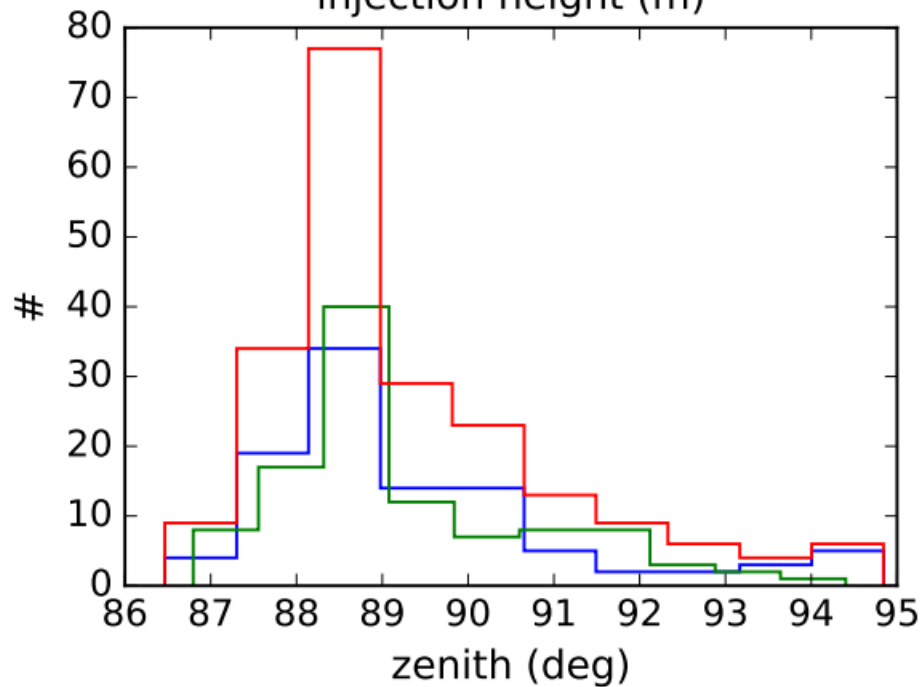
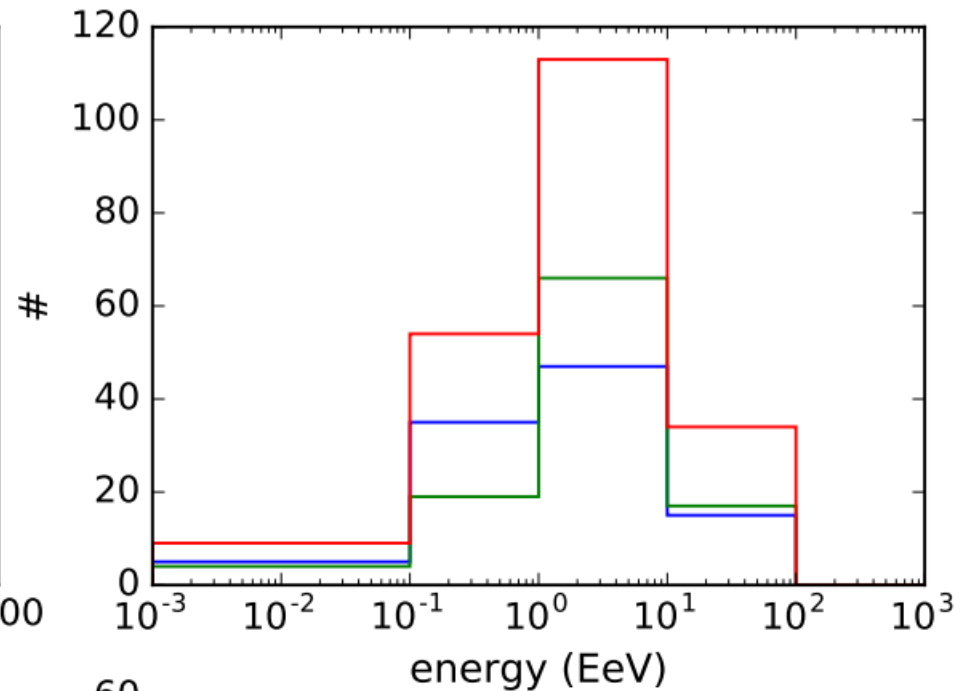
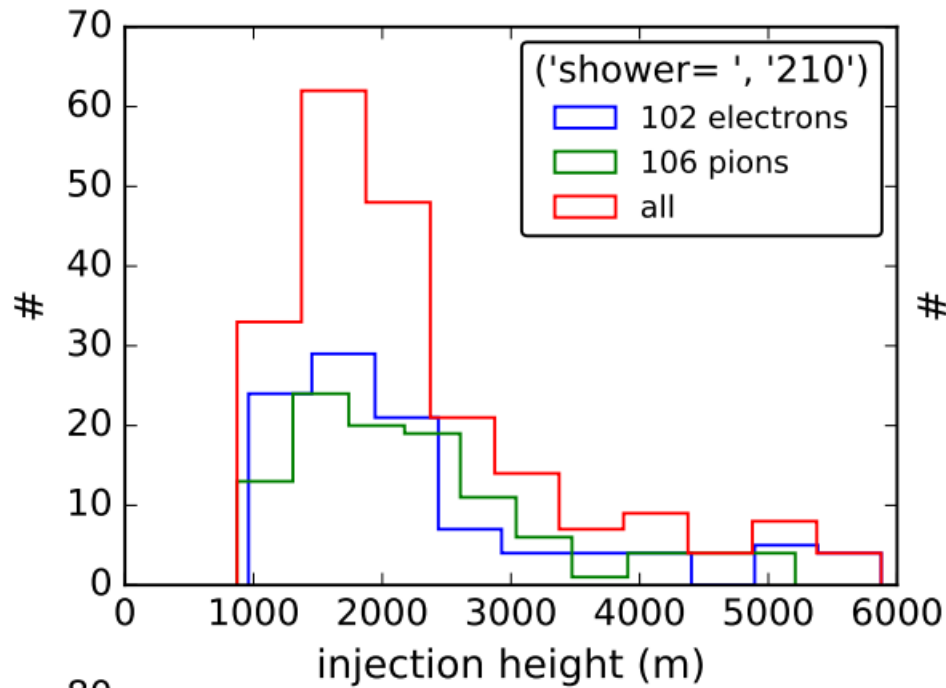
Antenna positions for one shower at several angles to the shower axis



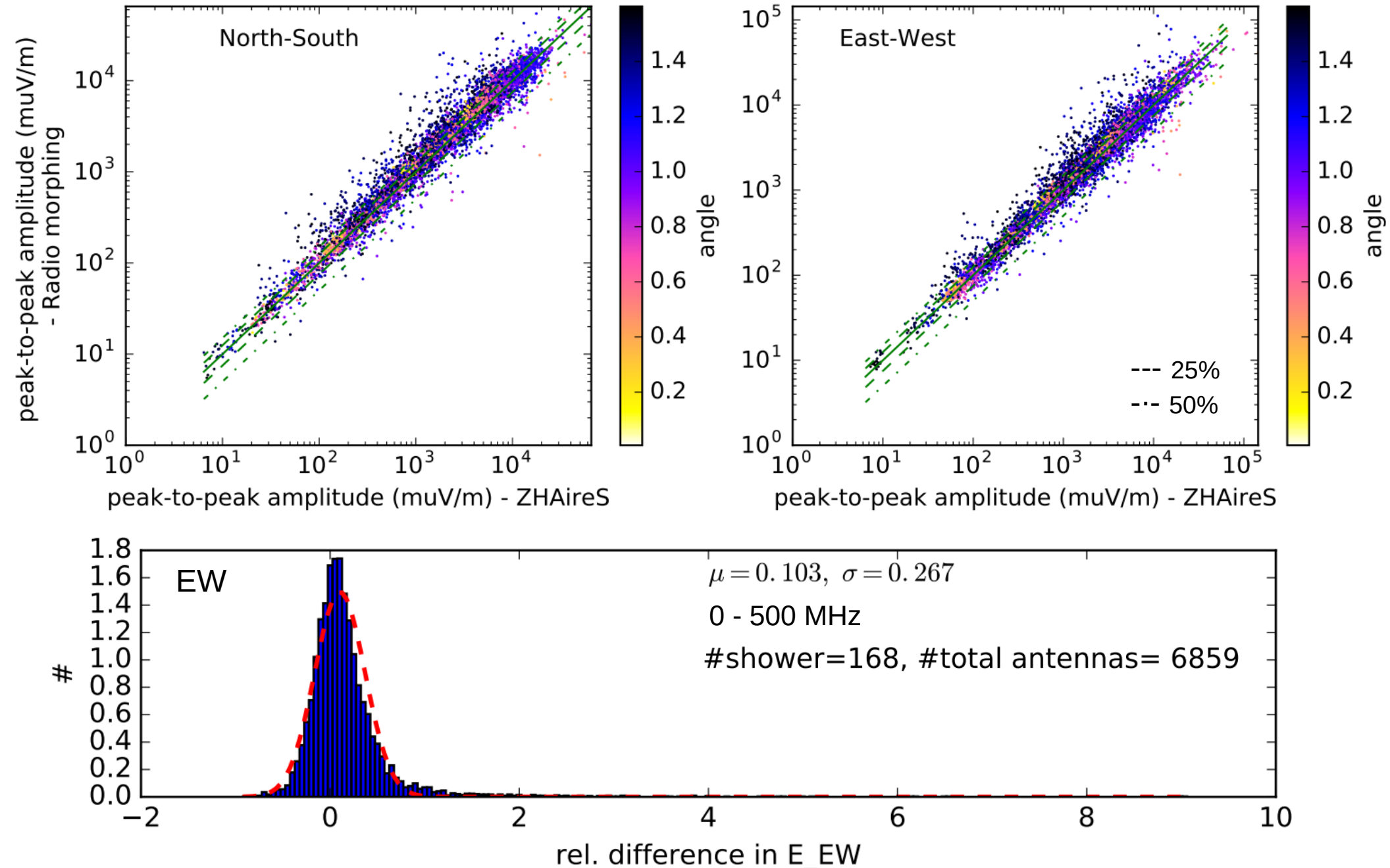


# Radio morphing – comparison to a set of showers

Example events from HS1 neutrino set



# Radio morphing – comparison to a set of showers

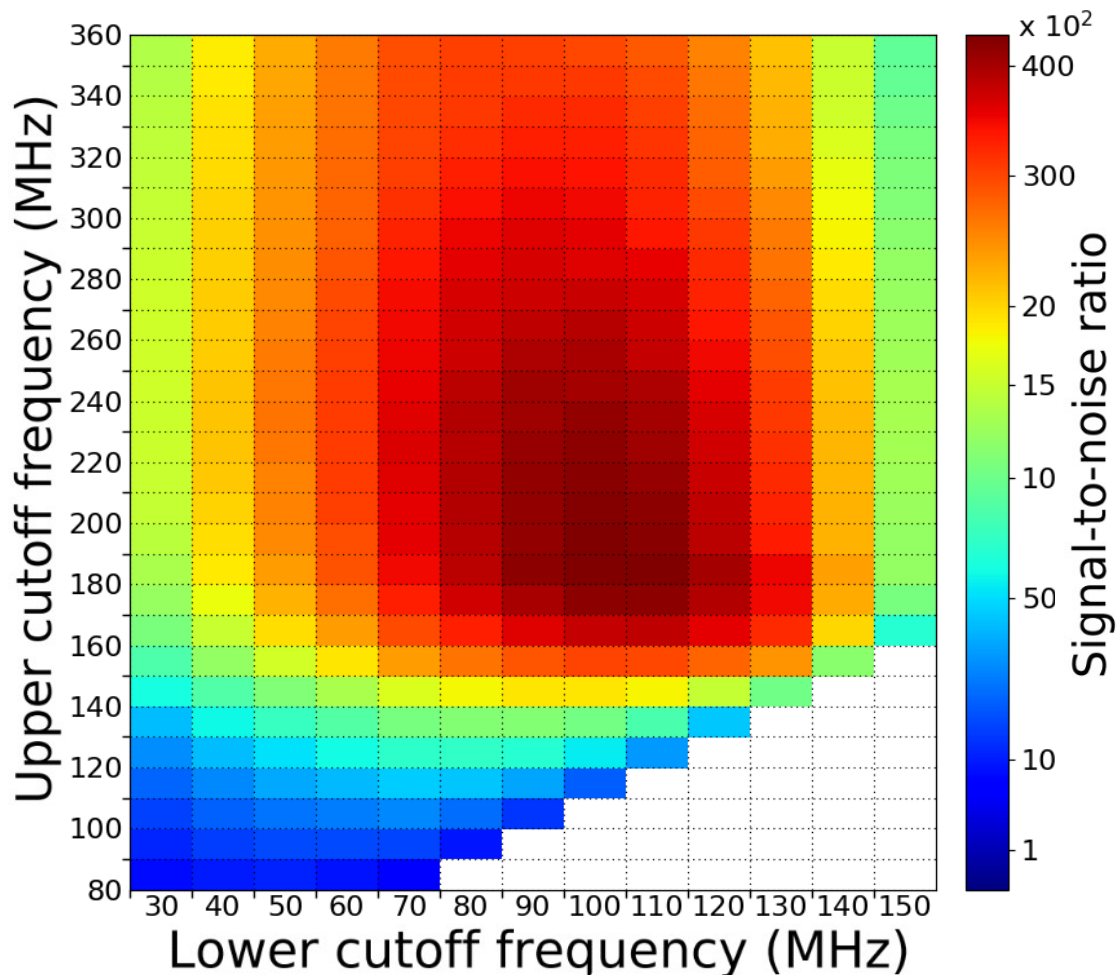


As expected: electric field from radio morphing tends to be slightly to higher due to the choice of the reference shower (difference decreasing slightly after filtering)

## Cross-check frequency range (by Aswathi Balagopal - KIT)

- Same study as performed for IceTop ( arXiv:1712.09042)
- Antenna response of a dipole antenna used
- ZHAireS simulation with 1ns binning, Crs and neutrinos

Bug in sampling rate while applying antenna response led first to 70-150MHz band



antenna@cone

neutrino

$5 \times 10^{17}$  eV,  $\text{zen}=87^\circ$  (GRAND conv)

$h=2800\text{m}$

**Best SNR for 100-180MHz band**  
(same as for IceTop, AERA, TRex)

Aswathi wrote a paragraph for the  
White Paper

→ include Aswathi Balagopal (and  
Andreas Haungs?) to WP author list<sup>19</sup>

# Triggering and reconstruction of air shower using neural networks

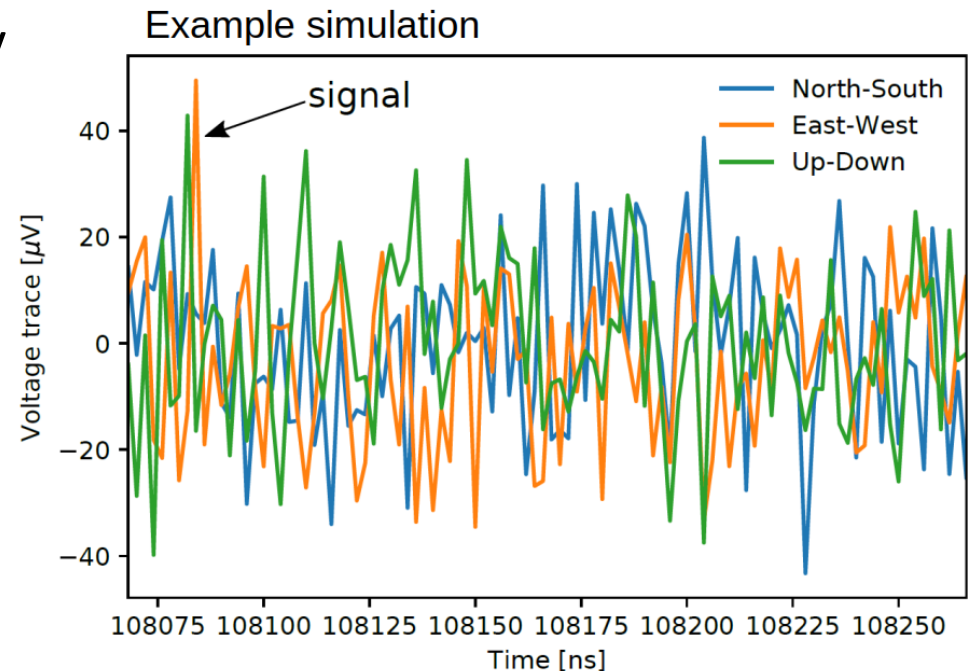
(by Florian Führer and Tom Charnock – IAP )

## Training set

Supervised training with simulated data (ZHAireS)

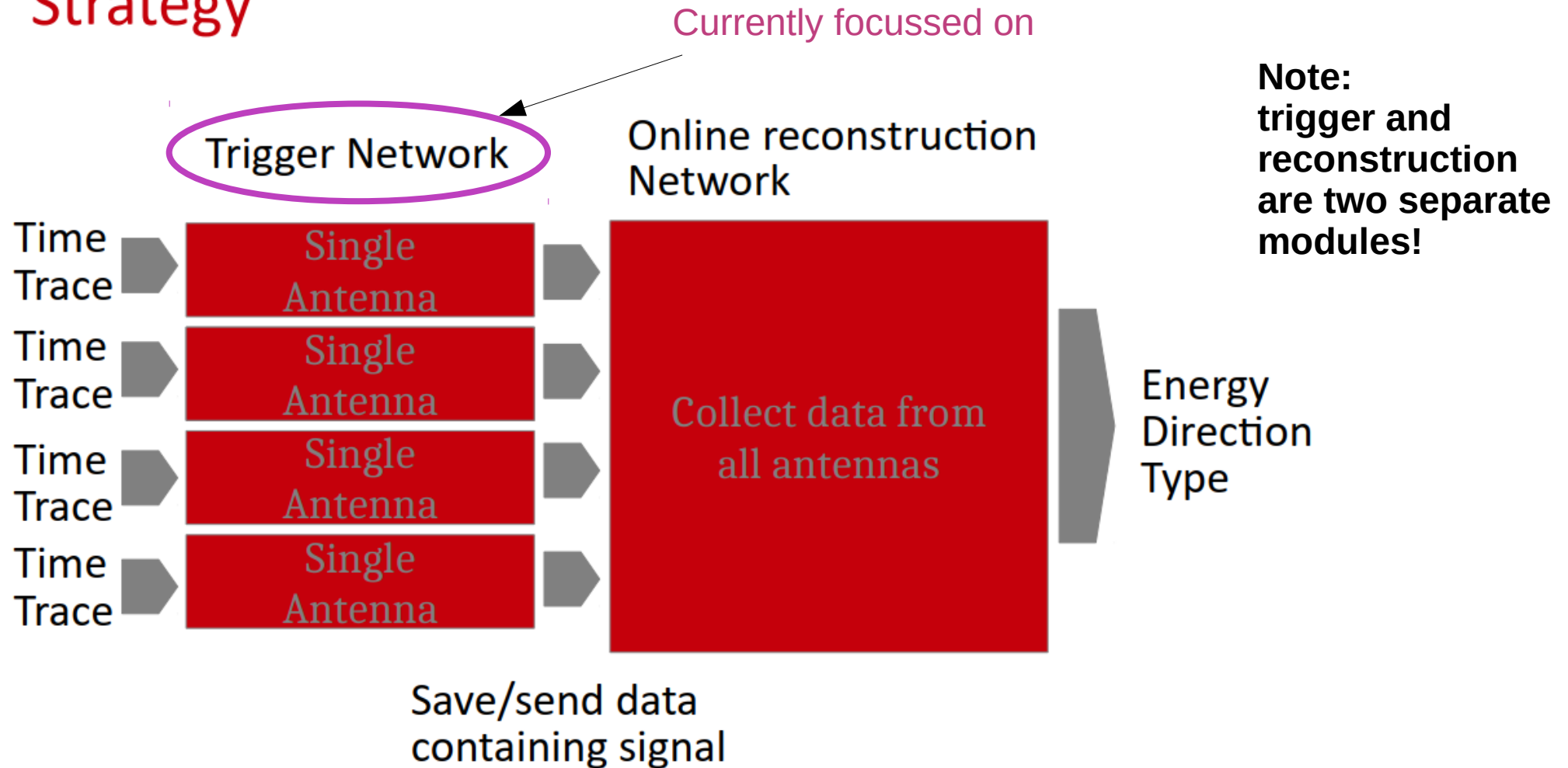
>150k samples, 50% with signal and 50% only with noise

- Toy model antenna array:
  - rectangular array of 35 x 35 antennas
  - slope of 5°
- Cosmic rays (p):  $E=1-100\text{EeV}$ ,  
zenith=65-85deg
- Expected neutrino distribution in energy  
and arrival direction for GRAND
- Simulations include:
  - antenna response
  - white noise  $V_{\text{rms}}=15\mu\text{V}$
  - filtered to 50-200MHz



# Triggering and reconstruction of air shower using neural networks

## Strategy



## Preliminary results

Comparison of the NN-based trigger to a conventional one  
Total accuracy increases from 0.69 to 0.72

	Simulation		
		Signal	Noise
Network Threshold 60 $\mu$ V	Signal	0.43 0.42	<2E-3 0.04
	Noise	0.57 0.58	~1 0.96

Accuracy = number  
of correctly classified  
time traces

Reduces data stream  
from 100kHz to < 5kHz

Note: Tested on 50% Signal and 50% Noise

Threshold applied to all 3 Voltage components separately

Value of 60 $\mu$ V chosen to maximize the classification accuracy

### OUTLOOK:

- Open questions:
  - Improvement from coincidence
  - Computational performance/energy consumption → How to put on antenna?
- Currently we are producing more data, needed to
  - Evaluate whether SN or data limited
  - Do statistics on full events, i.e. how well are events recovered
  - Train reconstruction network

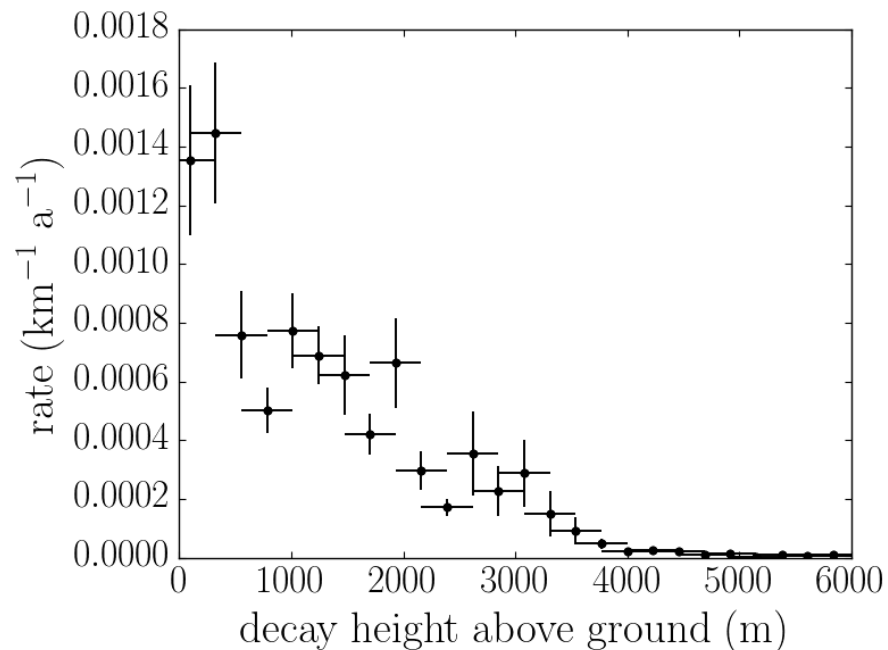
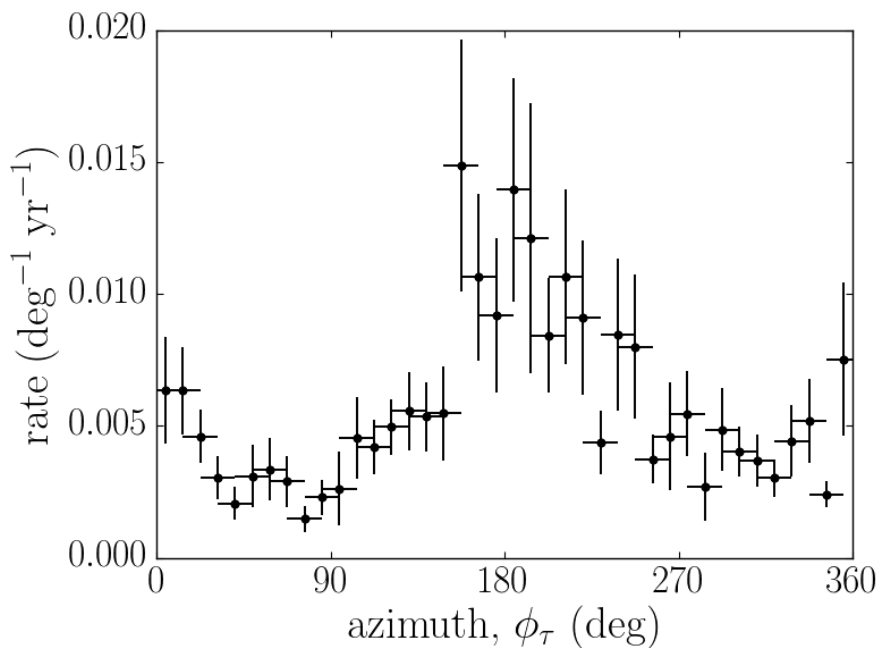
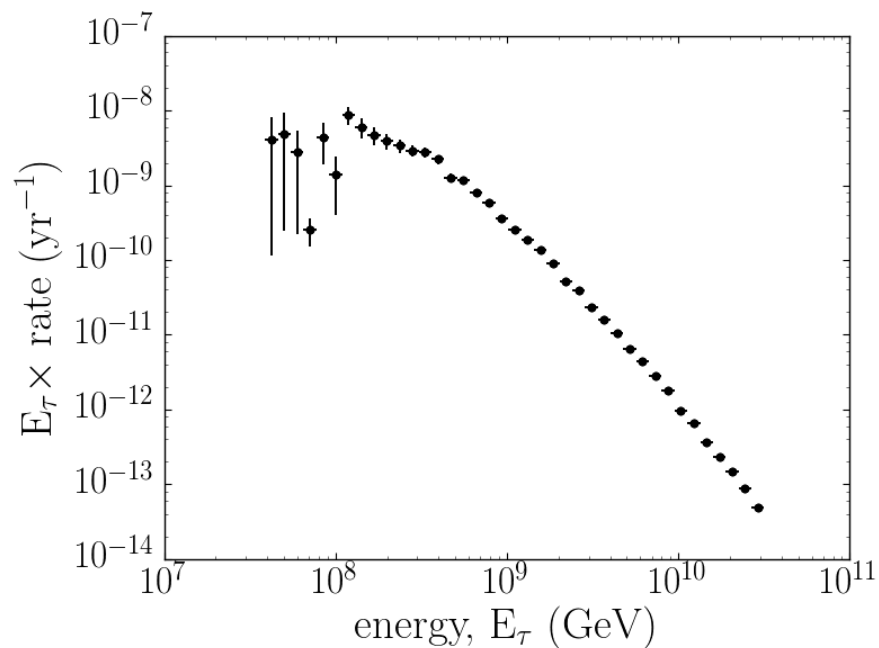
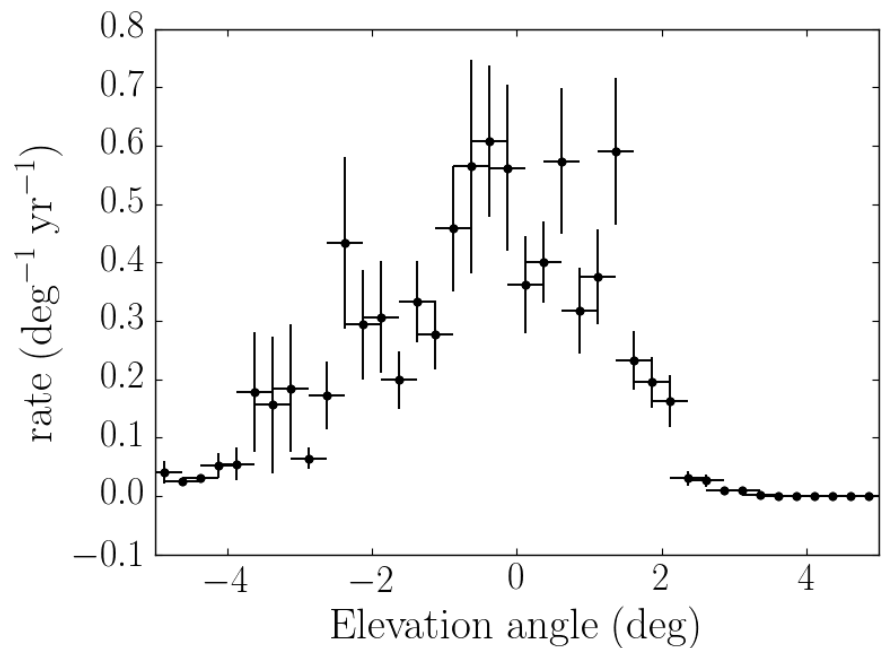
# Summary

- Radio morphing – ready for publication
  - calculation of the arrival time still to be fixed (but not urgent)
- Frequency band study – done
  - best best 100-180 MHz for neutrinos and Crs
- Machine Learning – ongoing
  - production of simulation for training data ongoing, run now with fixed version of Zhaires
  - current effort focussed on trigger network
    - at the moment slightly better than threshold trigger

# Appendix

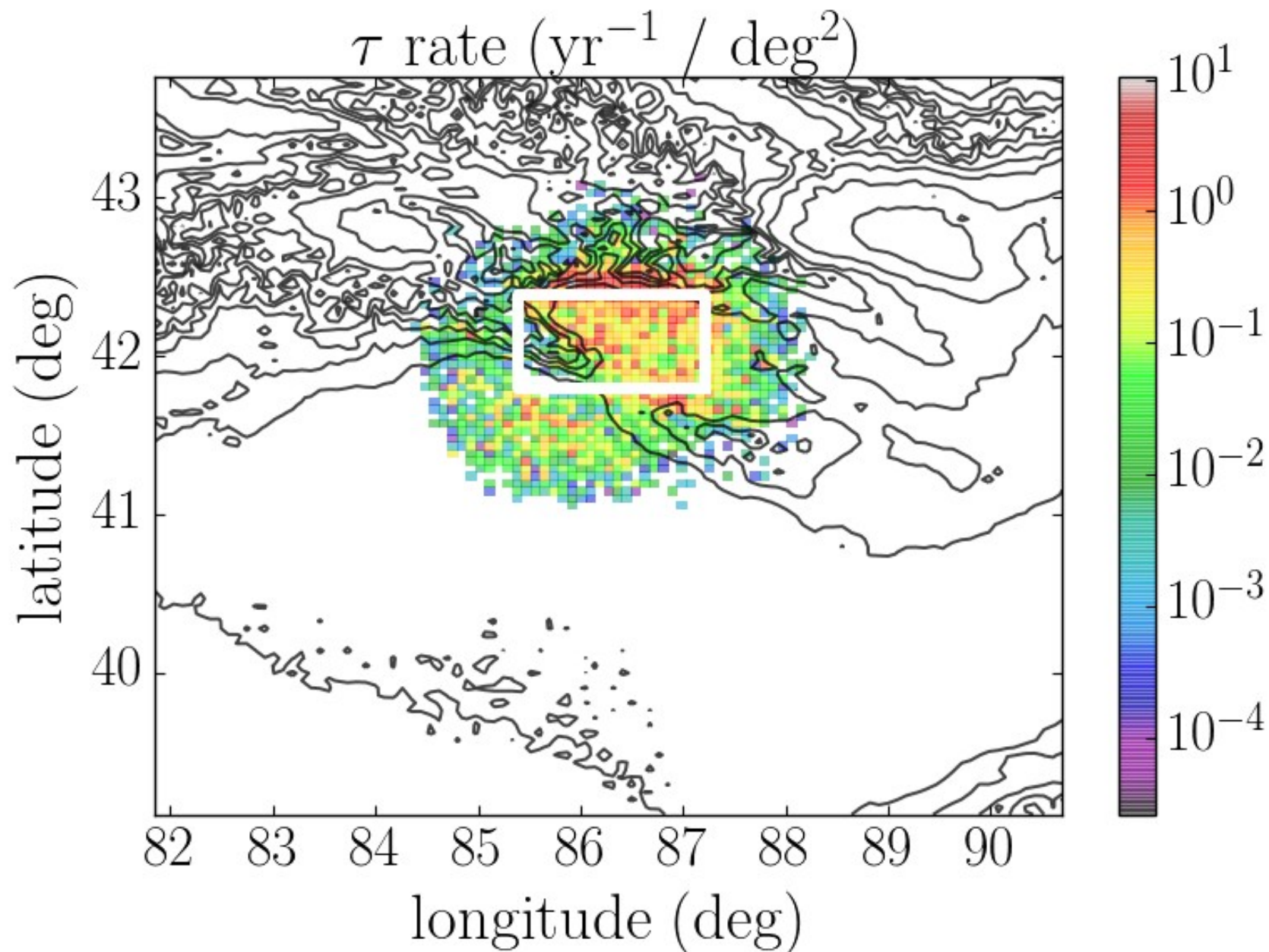


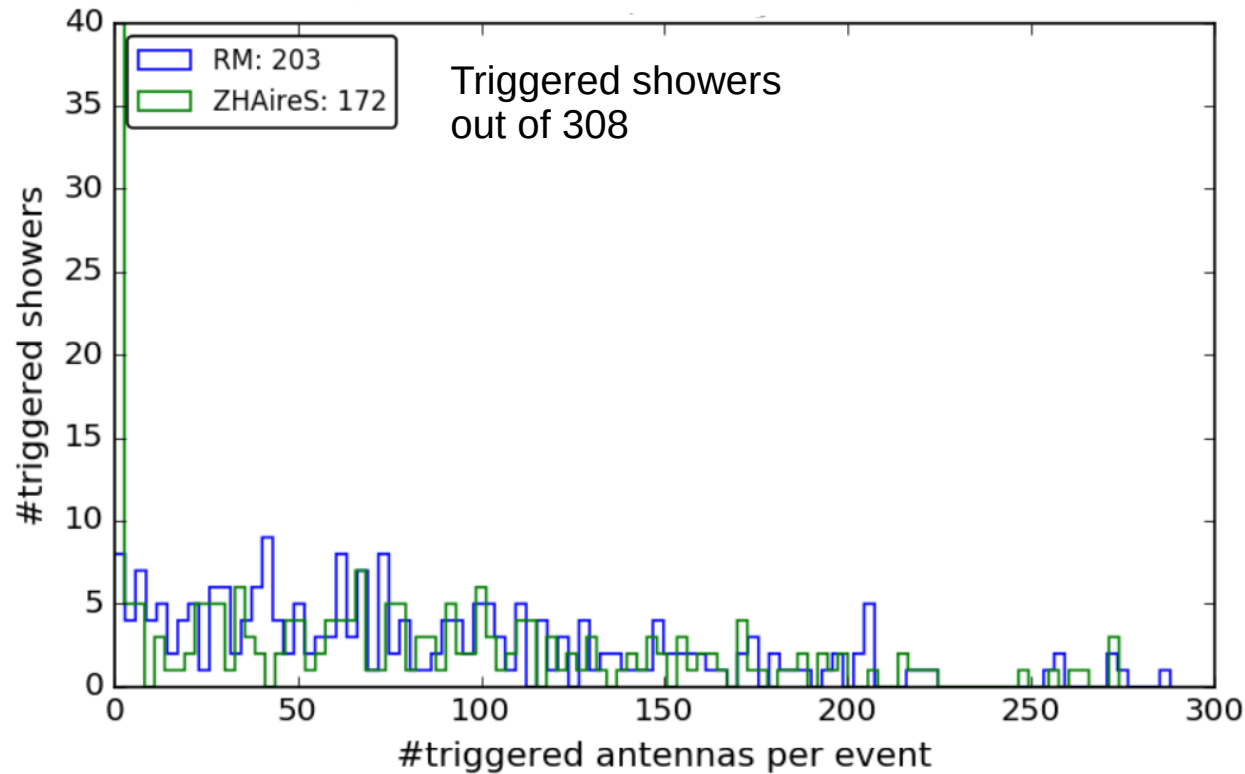
# Characterisation of HS1



# Characterisation of HS1

10 000 km<sup>2</sup> area

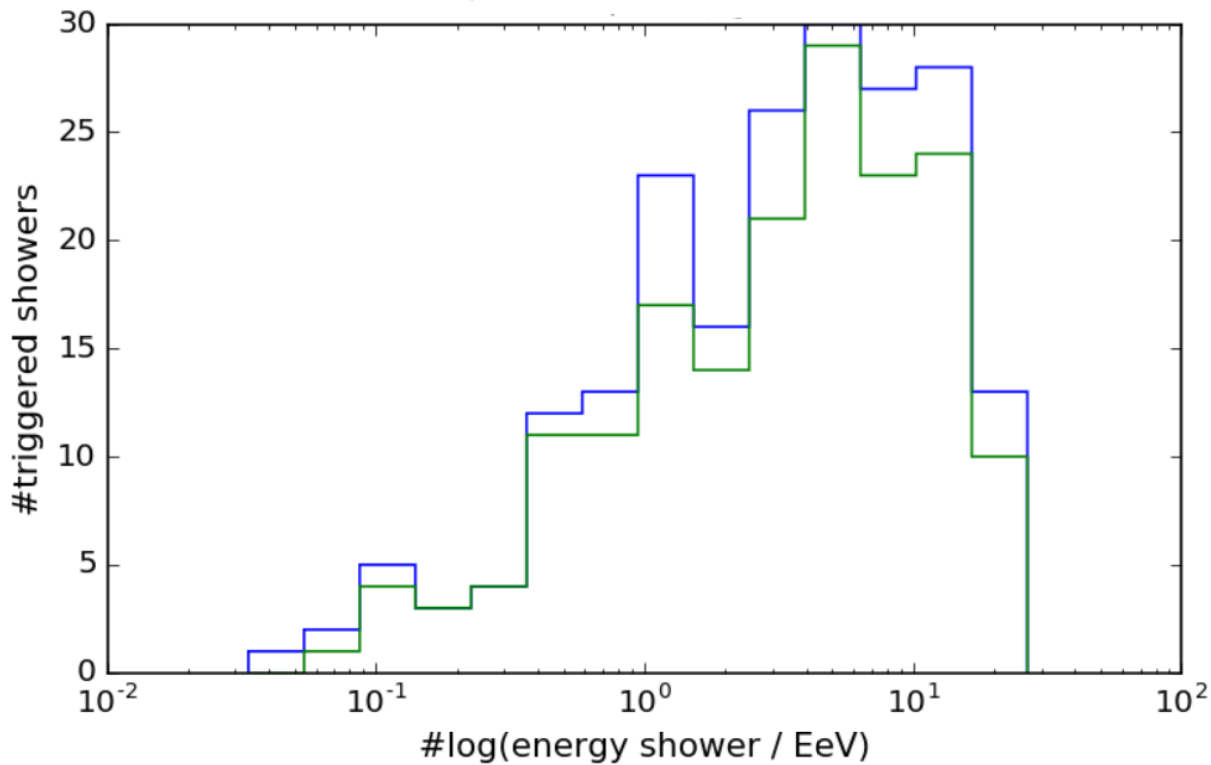


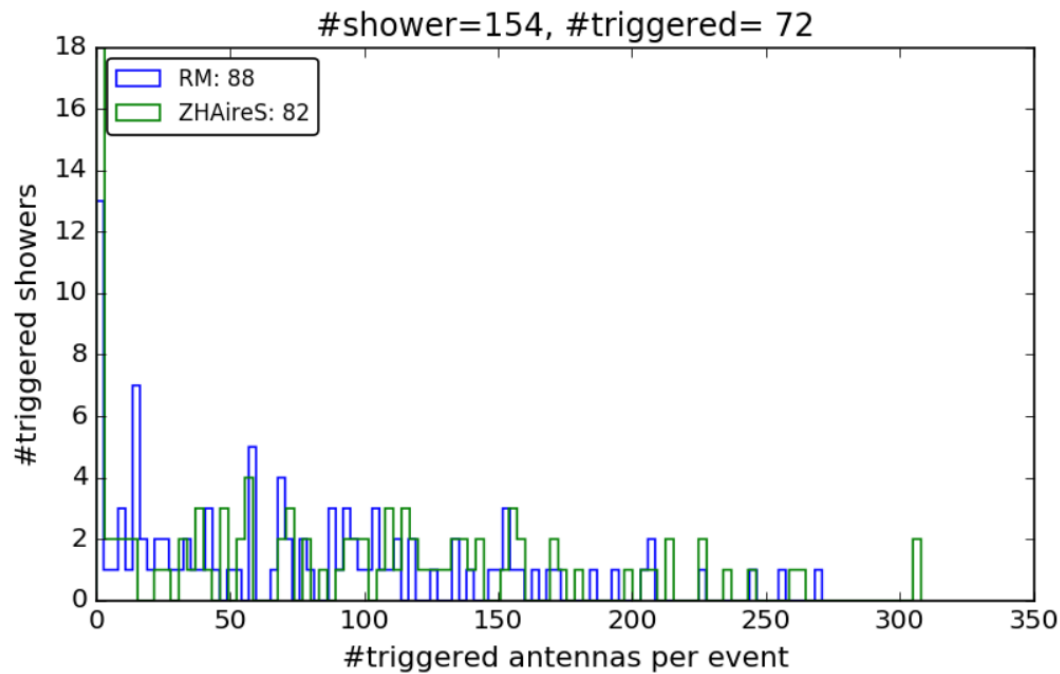


## Leading particle study

leading particle gets all the energy  
And a toymodel array

- trigger for radio mophing and zhaireS simulations
- 8 antennas triggered in one component, threshold: 2sigma





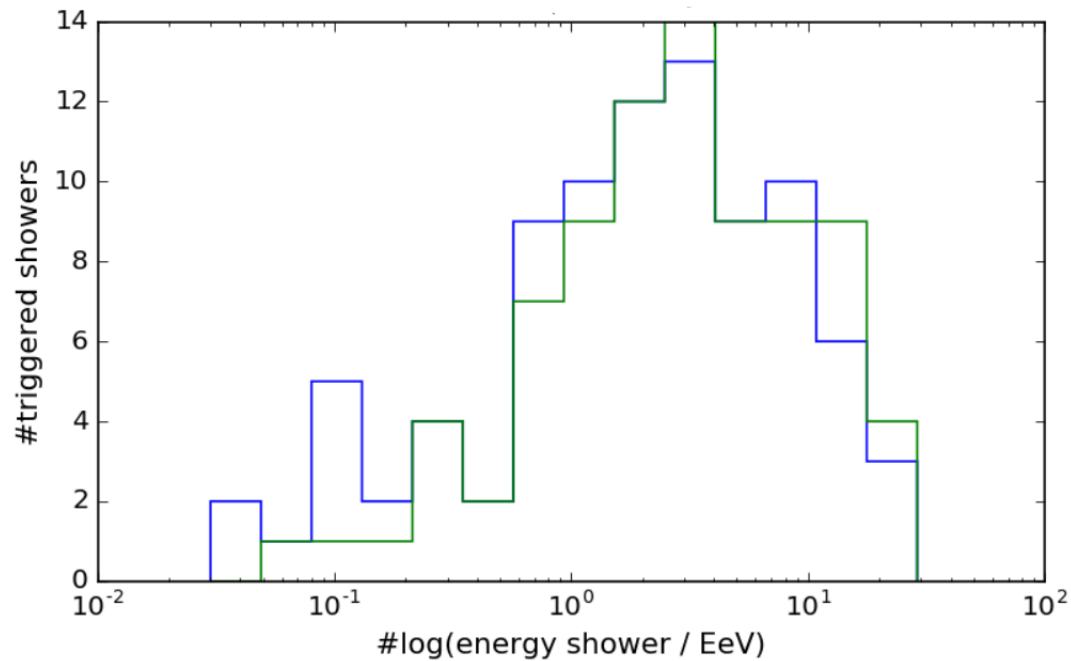
## Subshower study

Several possible primaries for ZhaireS simulation (but most event have one or one dominant particle)

And a toymodel array

→ trigger for radio mophing and zhaireS simulations

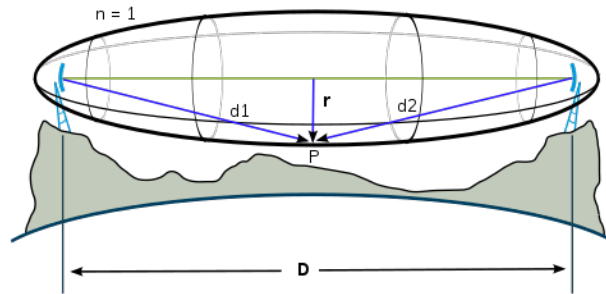
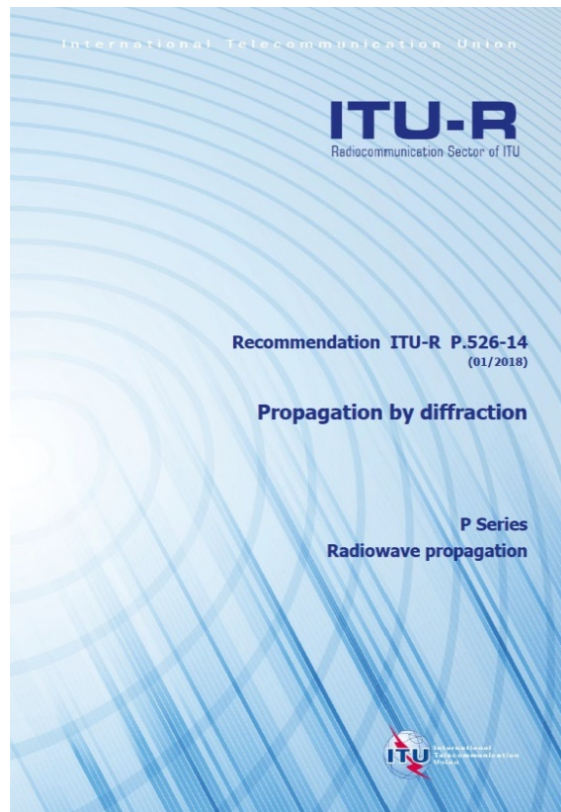
→ 8 antennas triggered in one component, threshold: 2sigma



# Alternative antenna response computation

- 1) Compute attenuation during propagation analytically
- 2) Use free-space antenna model to compute response

International Telecommunication Union



- Free propagation if Fresnel ellipsoid above ground.
- Analytical formulas for diffraction computation otherwise
- Several topographies considered in the doc, only spherical Earth implemented so far for GRAND.

Rec. ITU-R P.526-14 35

FIGURE 16  
Geometry for application of UTD wedge diffraction

The UTD formulation for the electric field at the field point, specializing to two dimensions, is:

$$E_{UTD} = E_0 \frac{\exp(-jkz_2)}{s_1} D^{\dagger} \cdot \sqrt{\frac{s_1}{s_1(s_1 + z_1)}} \cdot \exp(-jkz_2) \quad (86)$$

where:

- $E_{UTD}$ : electric field at the field point
- $E_0$ : relative source amplitude
- $s_1$ : distance from source point to diffracting edge
- $s_2$ : distance from diffracting edge to field point
- $k$ : wave number  $2\pi/\lambda$
- $D^{\dagger}$ : diffraction coefficient depending on the polarization (parallel or perpendicular to the plane of incidence) of the incident field on the edge

and  $s_1$ ,  $s_2$  and  $\lambda$  are in self-consistent units.

The diffraction coefficient for a finitely conducting wedge is given as:

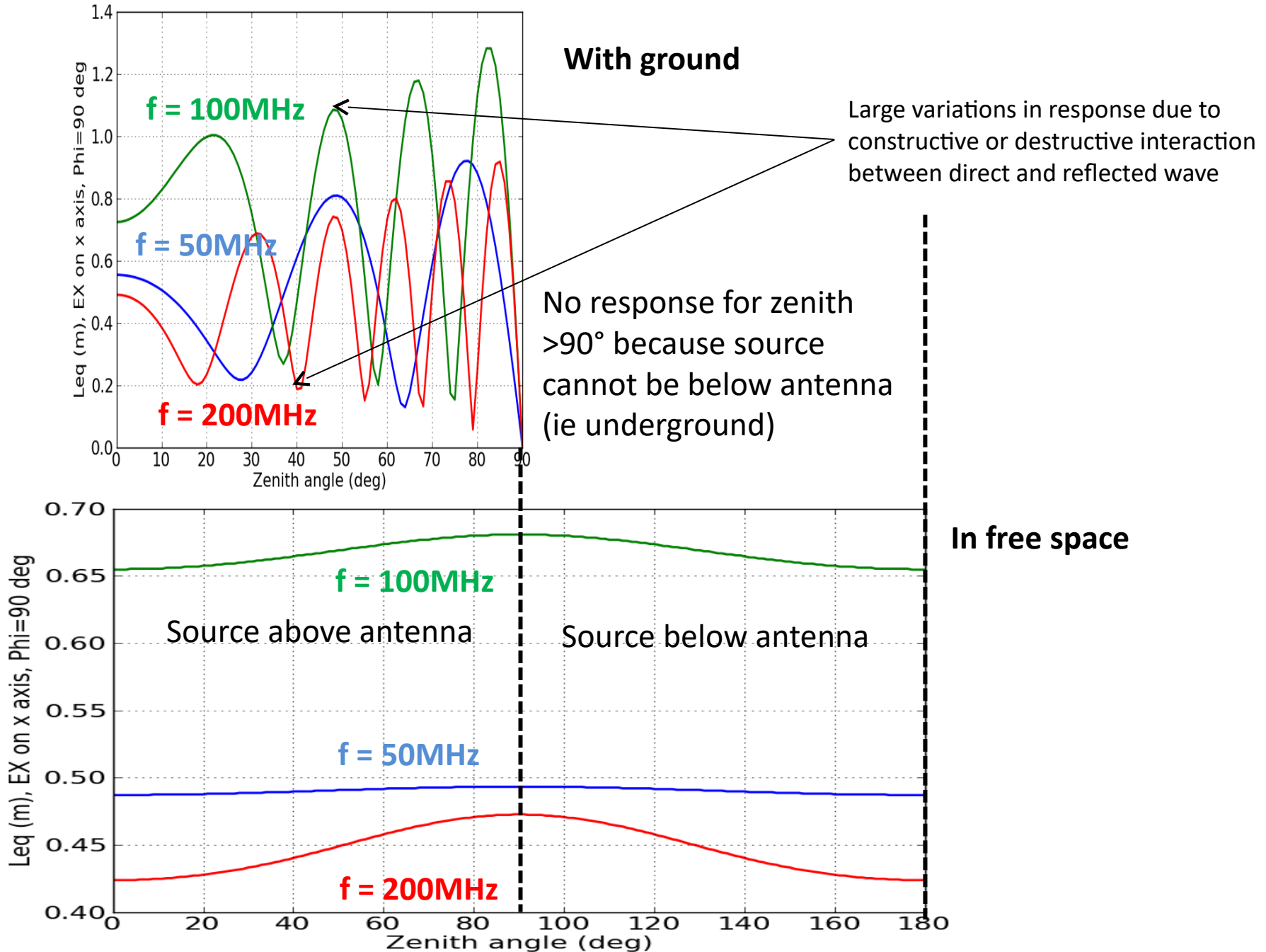
$$D^{\dagger} = \frac{-\exp(-jn/4)}{2n\sqrt{2\pi k}} \left[ \begin{aligned} & \cot\left(\frac{\pi + (\Phi_1 - \Phi_2)}{2n}\right) \cdot F(kL\alpha(\Phi_1 - \Phi_2)) \\ & + \cot\left(\frac{\pi - (\Phi_1 - \Phi_2)}{2n}\right) \cdot F(kL\alpha(\Phi_1 - \Phi_2)) \\ & + R_1^{\dagger} \cdot \cot\left(\frac{\pi - (\Phi_1 + \Phi_2)}{2n}\right) \cdot F(kL\alpha(\Phi_1 + \Phi_2)) \\ & + R_1^{\dagger} \cdot \cot\left(\frac{\pi + (\Phi_1 + \Phi_2)}{2n}\right) \cdot F(kL\alpha(\Phi_1 + \Phi_2)) \end{aligned} \right] \quad (87)$$

where:

- $\Phi_1$ : incidence angle, measured from incidence face (0 face)
- $\Phi_2$ : diffraction angle, measured from incidence face (0 face)

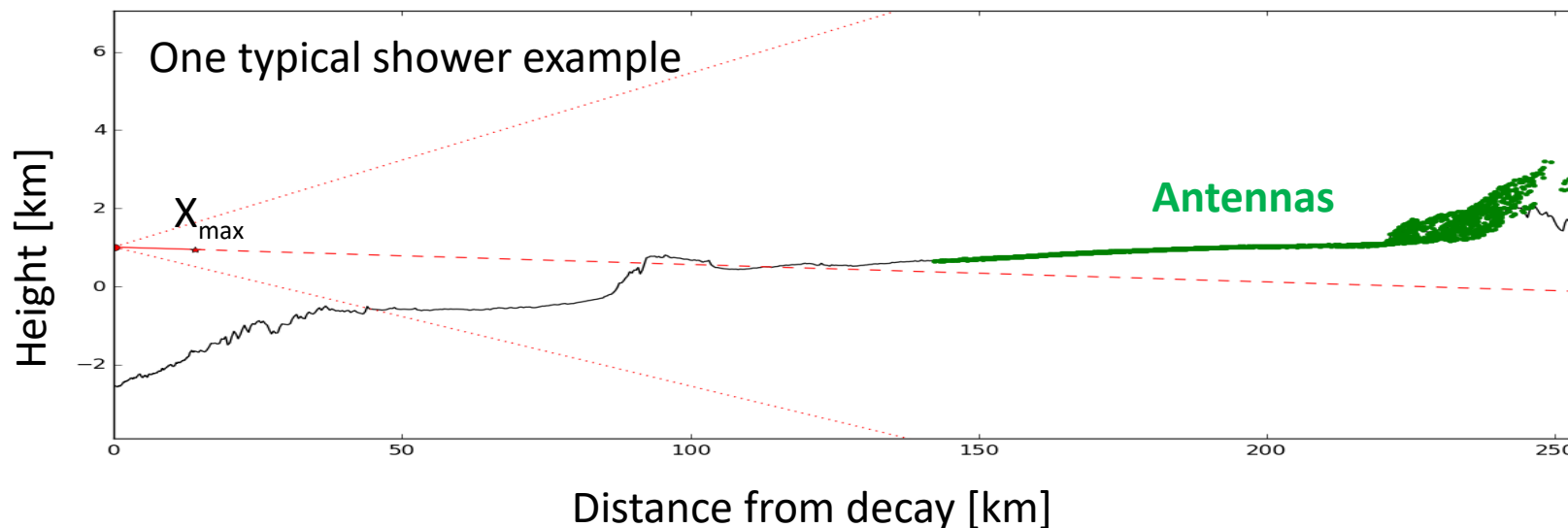
<https://www.itu.int/rec/R-REC-P.526-14-201801-I/fr>

# Antenna effective length to incoming wave with polarization // to antenna arm



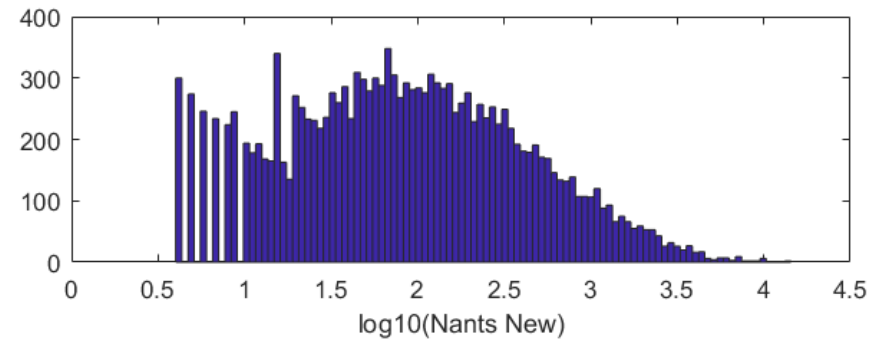
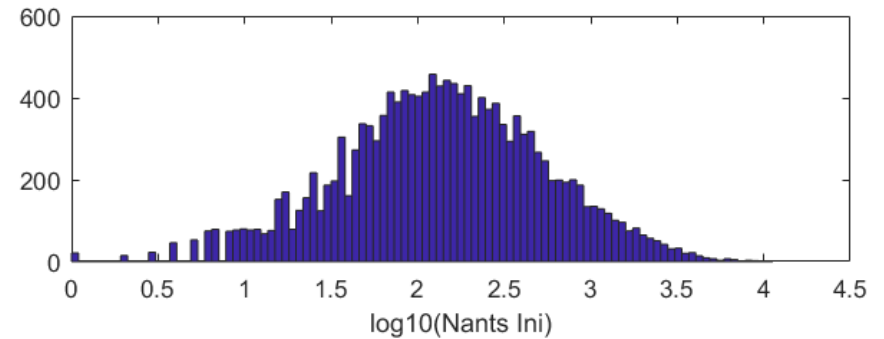
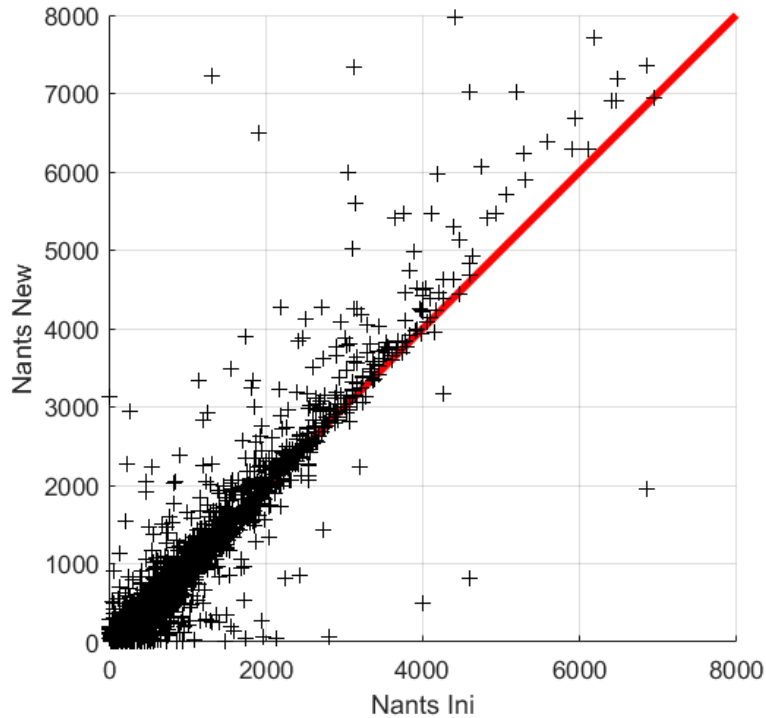
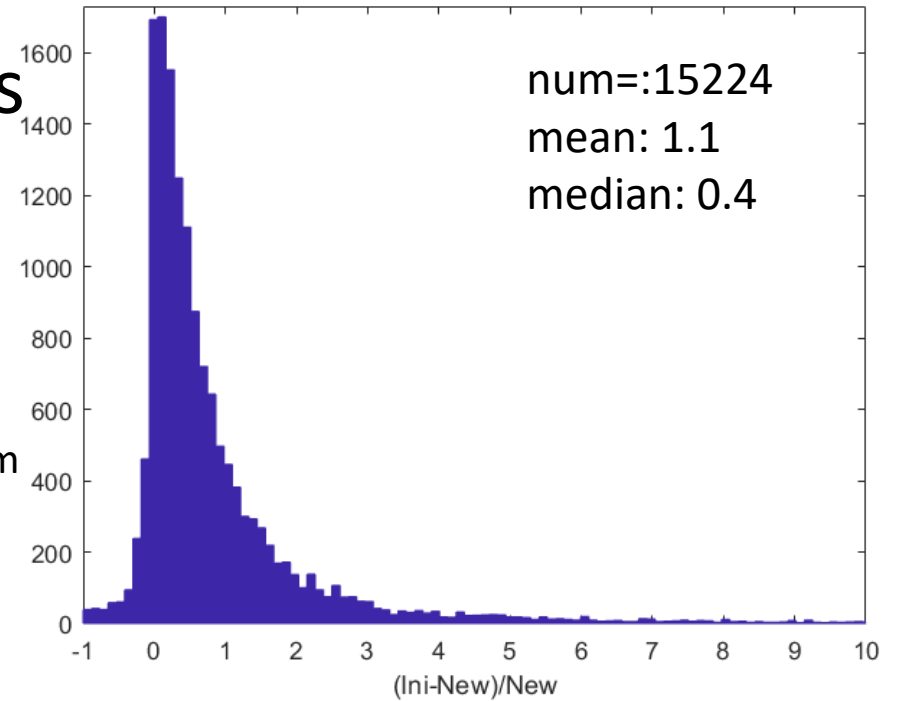
# HS1 topography

- Large fraction of events ( $\sim 80\%^*$ ) with 5+ antennas with short Fresnel range (<5km before antenna) + plane ground ( $\sigma_{\Delta}=1.5\text{m}$ ) in this Fresnel range (\*: not weighed)  
➔ Possible to compute (frequency-dependant) attenuation for these events, assuming «flat-Earth-like» topography within Fresnel range.



# Stat study on 20000 showers from v2

- 15642 showers kept (rest is beyond 200X200km<sup>2</sup> square, no topography for initial study)
  - Initial study: 13980 trigged (20+ antennas @ 400m step) +418 showers discarded.  $\langle \text{Nants} \rangle = 318$
  - New study: 12447 trigged.  $\langle \text{Nants} \rangle = 284$





# Effect of threshold

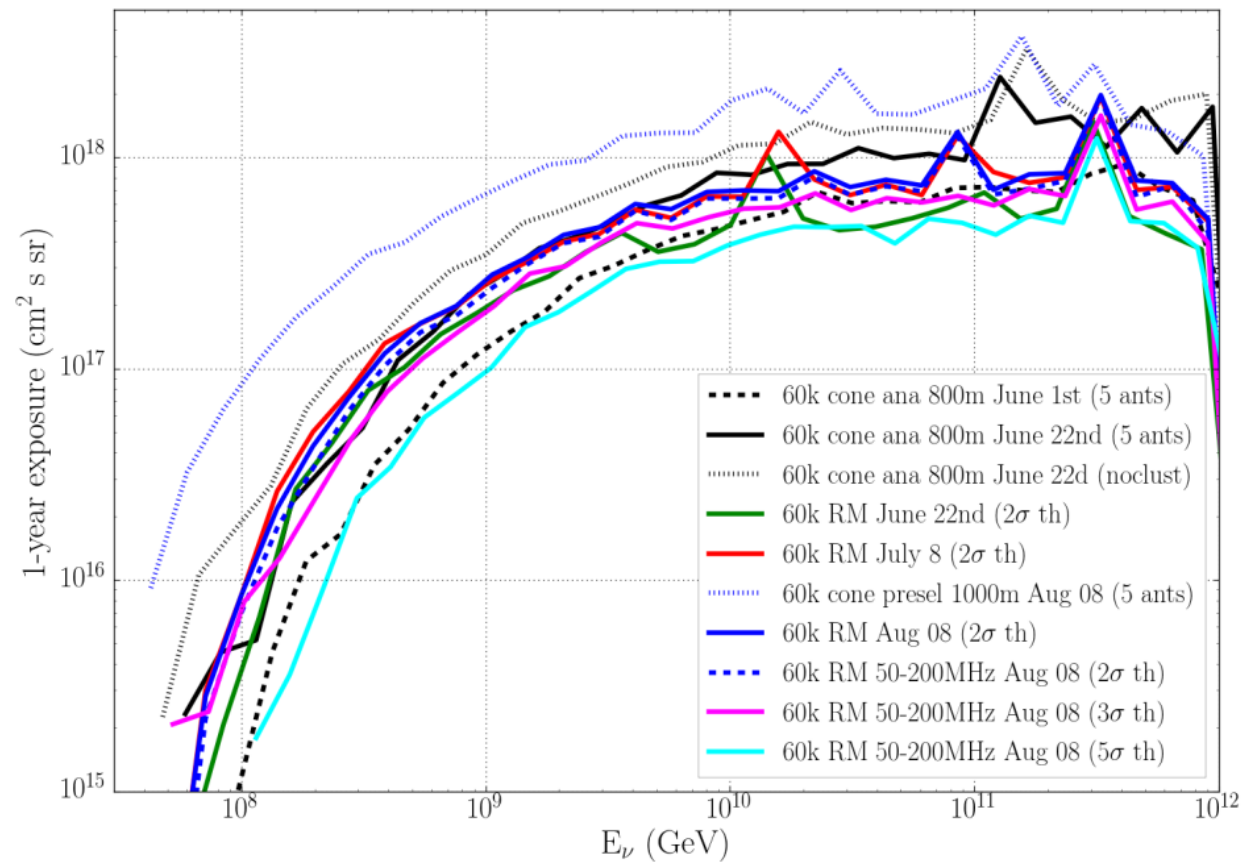
- Large effect of antenna trigger threshold on limit.

–On 60k array:

- 30 $\mu$ V:  
2.7  $10^{-9}$  GeV/cm<sup>2</sup>/s/sr
- 45 $\mu$ V:  
3.3  $10^{-9}$  GeV/cm<sup>2</sup>/s/sr (x1.2)
- 75 $\mu$ V:  
6.6  $10^{-9}$  GeV/cm<sup>2</sup>/s/sr (x2.5)

–On HS1:

- 30 $\mu$ V:  
7.9  $10^{-9}$  GeV/cm<sup>2</sup>/s/sr
- 45 $\mu$ V:  
1.2  $10^{-9}$  GeV/cm<sup>2</sup>/s/sr (x1.5)
- 75 $\mu$ V:  
2.0  $10^{-9}$  GeV/cm<sup>2</sup>/s/sr (x2.5)



# Comparison/changes in initial analysis

Footnote: bug found in initial analysis on cluster selection:

original limit  $2 \cdot 10^{-9}$  for 60k sim  $\rightarrow$  now  $2.2 \cdot 10^{-9}$  GeV/cm<sup>2</sup>/s/sr

- Result: initial analysis slightly more optimistic: 3-years limit to E<sup>-2</sup> flux:
  - $2.6 \cdot 10^{-9}$  GeV/cm<sup>2</sup>/s/sr (new) vs  $2 \cdot 10^{-9}$  GeV/cm<sup>2</sup>/s/sr (initial)
- Possible cause for remaining difference: new clustering selection more selective (4 out of 8 closest neighbours in new analysis vs 8 antennas chain in initial) : when no cluster, limit =  $1.6 \cdot 10^{-9}$  in new vs  $1.9 \cdot 10^{-9}$  in initial
- RadioMorphing ( $2\sigma$  threshold) consistent with cone:  $2.7 \cdot 10^{-9}$  GeV/cm<sup>2</sup>/s/sr

Update numbers :)

## Preliminary results

Positive = Signal  
Negative = No signal

### Comparison of the NN-based trigger to a conventional one

	Neural Network	Threshold (60 $\mu$ V)
Classification accuracy	0.72	0.69
True trigger rate	0.43	0.42
False trigger rate	<2E-3	0.04
True negative rate	~1.0	0.96
False negative rate	0.57	0.58

Reduces data stream from 100kHz to < 5kHz

Note: Threshold applied to all 3 Voltage components separately  
Value of 60 $\mu$ V chosen to maximize the classification accuracy