

INTRODUCTION TO NEUTRINO PHYSICS

Laura Zambelli - LAPP
JRJC 2018

THINGS YOU NEED TO KNOW ABOUT NEUTRINOS

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They're everywhere



$\sim 65 \times 10^9 \text{ } \nu / \text{cm}^2 / \text{s}$

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They're tiny (SPOILER)



At eV scale, or below

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At eV scale, or below

They interact weakly with matter

Quoting Sabrina, yesterday:

► **Neutrinos:** weak interaction
→ good luck with that

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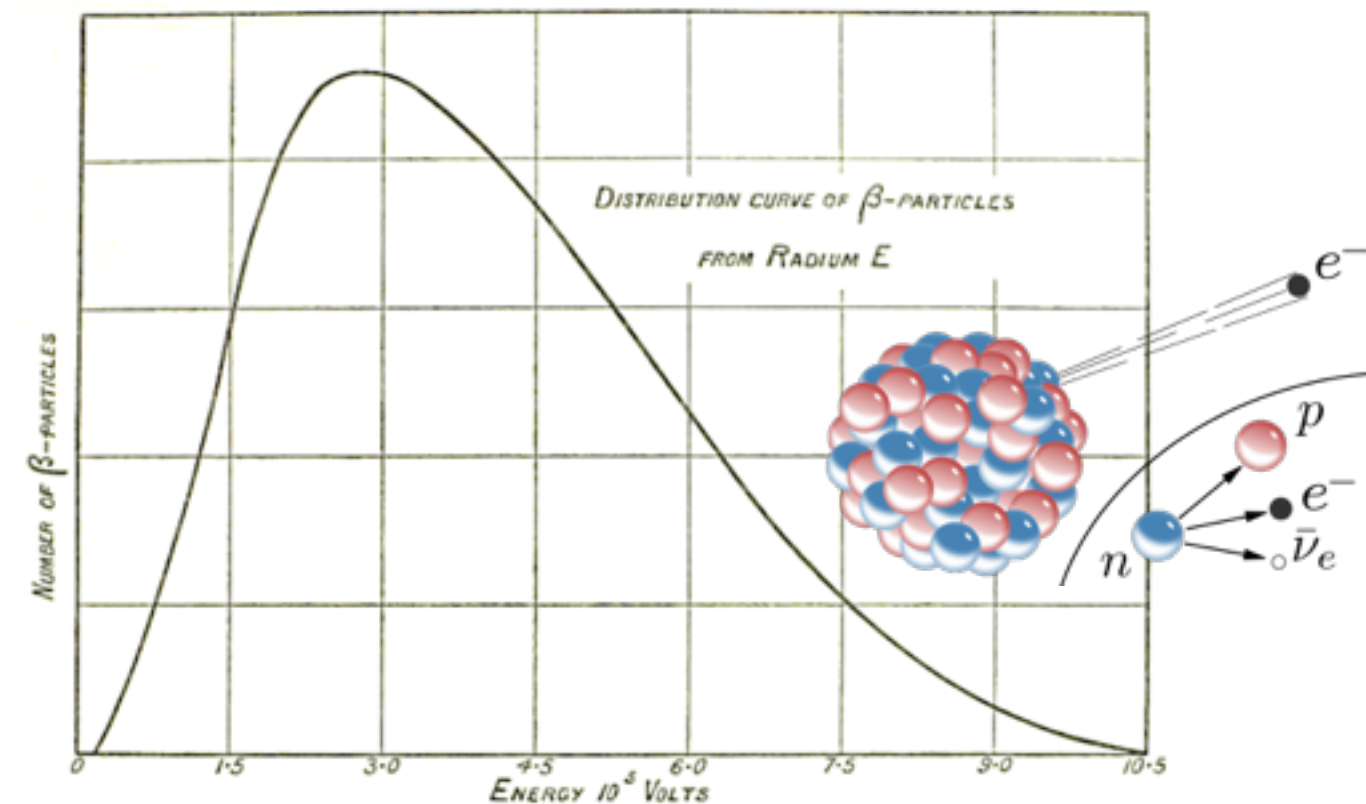
At eV scale, or below

They're cool



Only session
starting at
9:30 !

β decay and the idea of ν



- As opposed to discrete α and γ spectrum, Chadwick (1914) discovered that β emission is **continuous**
- In order to keep the principle of energy & spin conservation, **Pauli** suggested (1930) a "desperate solution" : β decays would also produce a neutral, spin 1/2 and nearly massless particle



Physikalisches Institut
der Eidg. Technischen Hochschule
Zürich

Zürich, 4. Dez. 1930
Gloriastrasse

Liebe Radioaktive Damen und Herren,

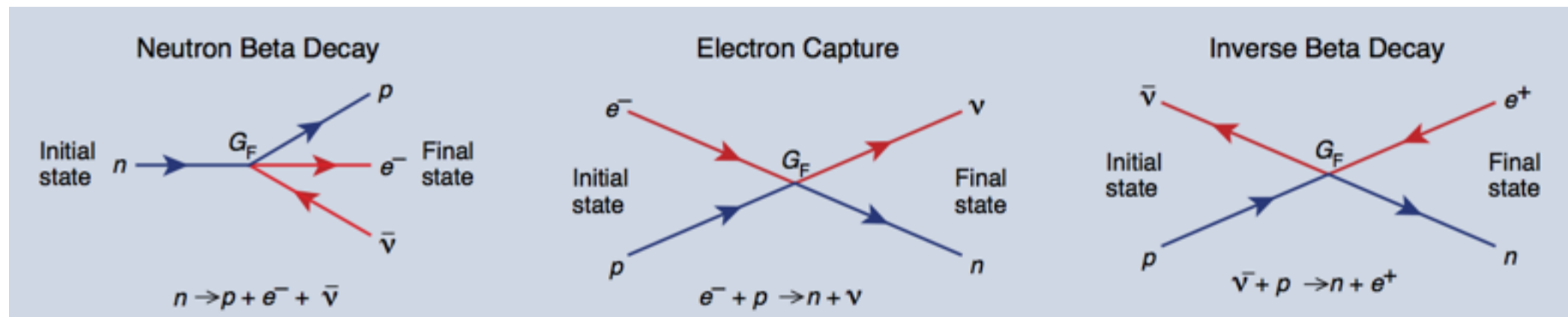
Wie der Ueberbringer dieser Zeilen, den ich kuldvollst
anzuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich
angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie
des kontinuierlichen beta-Spektrums auf einen verweifelten Ausweg
verfallen um den "Wechselatz" (1) der Statistik und den Energiesatz

- In 1934, **Fermi** named this particle the **neutrino** (little neutral) and includes it in his weak interaction theory

$${}^A_Z X \rightarrow {}^A_{Z\pm 1} Y + e^{\pm} + \begin{pmatrix} - \\ \nu_e \end{pmatrix}$$

Inverse β and the $\bar{\nu}_e$ discovery

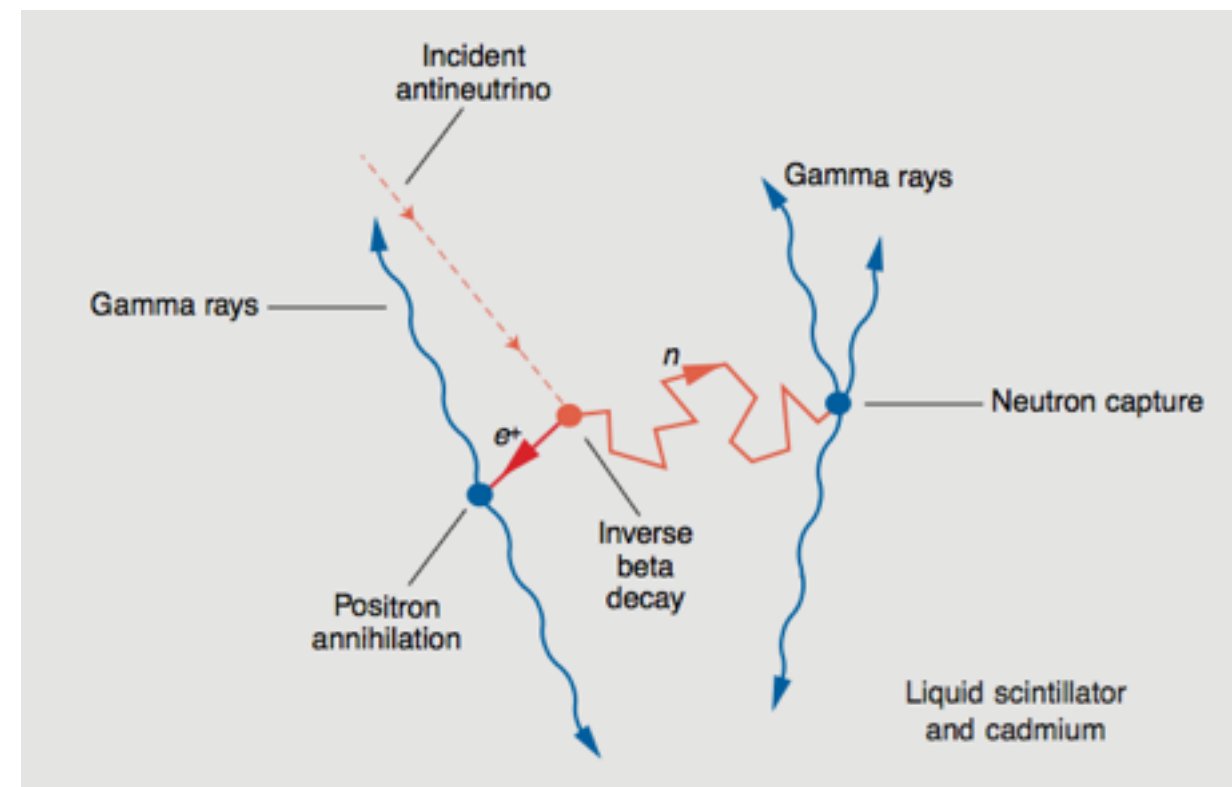
- According to Fermi on β processes, three kinds of reaction are possible:



- In Los Alamos in the 50s, Reines and Cowan aims at discovering the neutrino through **inverse β** reaction:

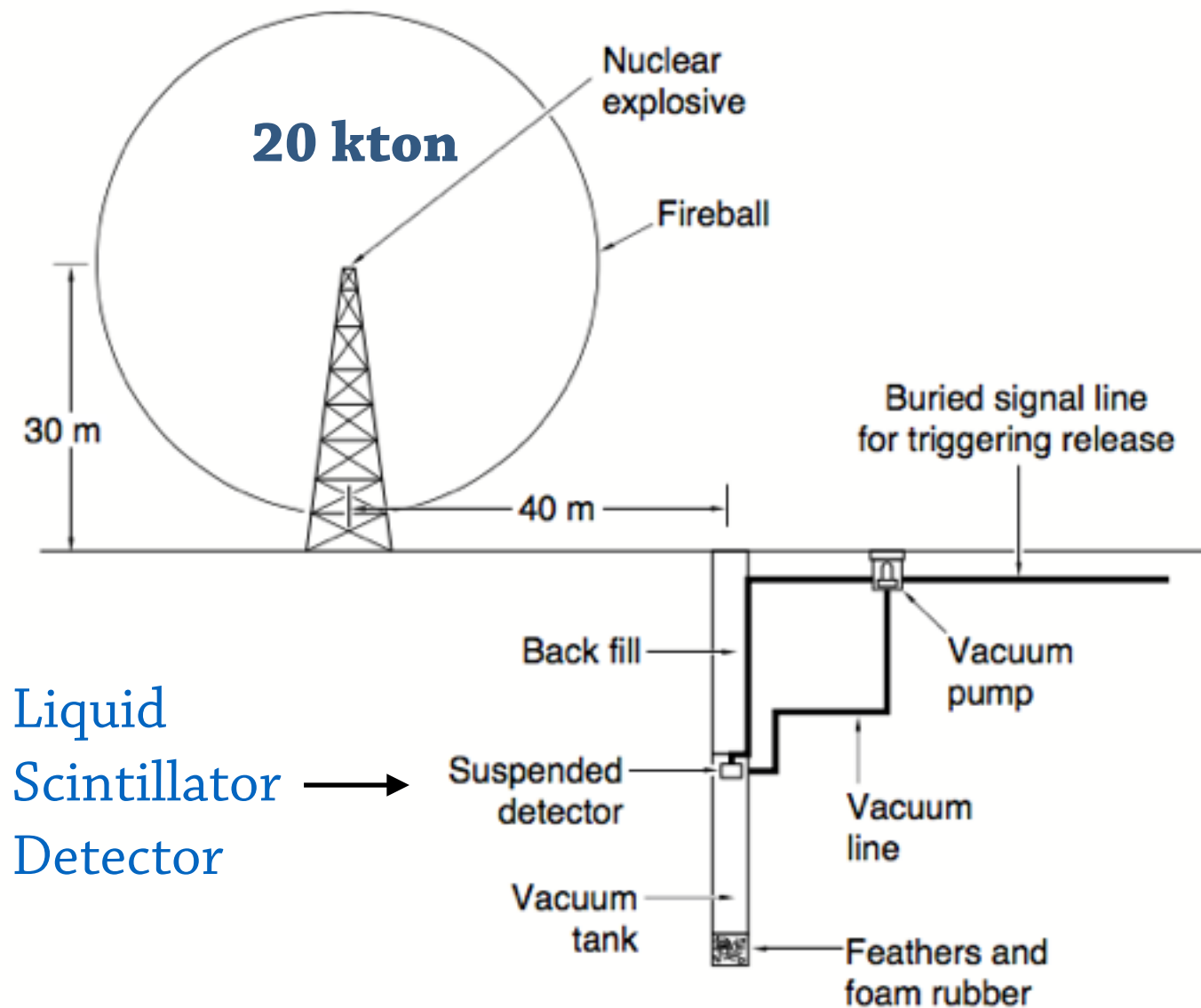
$$\bar{\nu} + p \rightarrow n + e^+$$

- In a liquid scintillator detector, the **positron annihilates** with an electron, producing 2 photons
- By doping the liquid with Cadmium, the **neutron will also be captured**, after having thermalized (few ms after the positron)
- They knew that neutrino was hard to catch, therefore they needed an **intense ν** source



Inverse β and the $\bar{\nu}_e$ discovery

Idea 1 : nuclear bomb



- Very intense source : $\sim 10^{40}$ $\nu/s/cm^2$
- Short ($\sim 2s$) : no cosmic background
- But, a lot of neutrons & gammas
- Single use detector

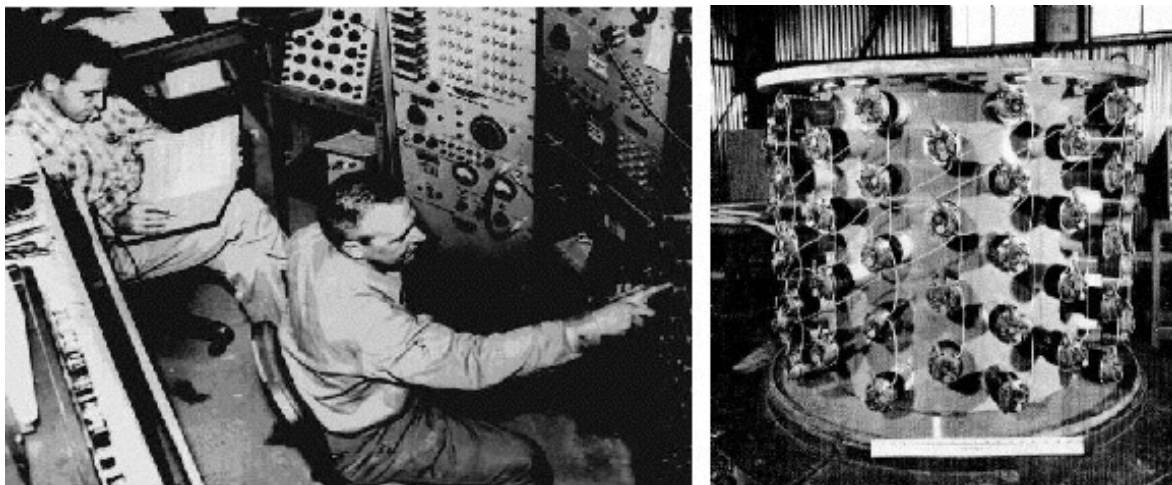
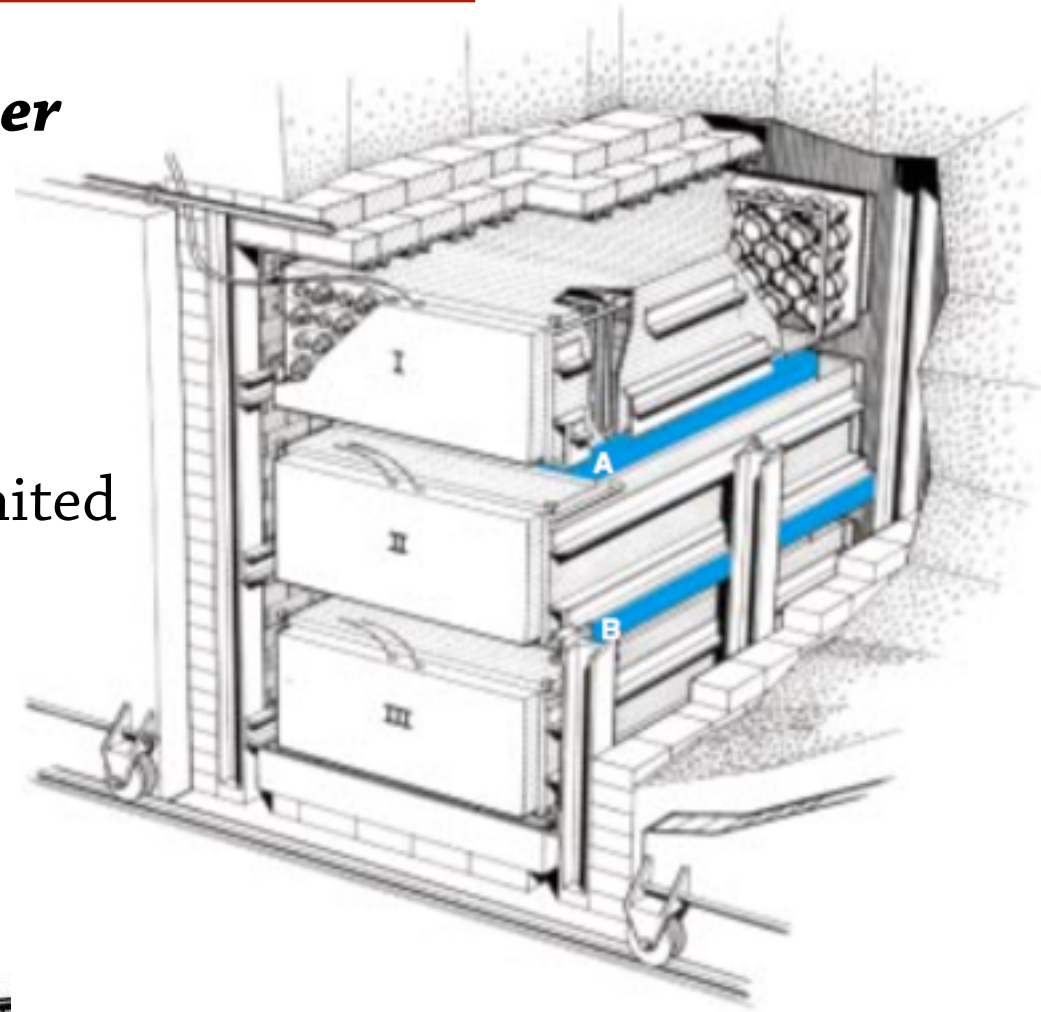
The project was **approved** !

When they had the idea of doping the detector with neutron catcher (giving a better signal discrimination) they **changed their mind**

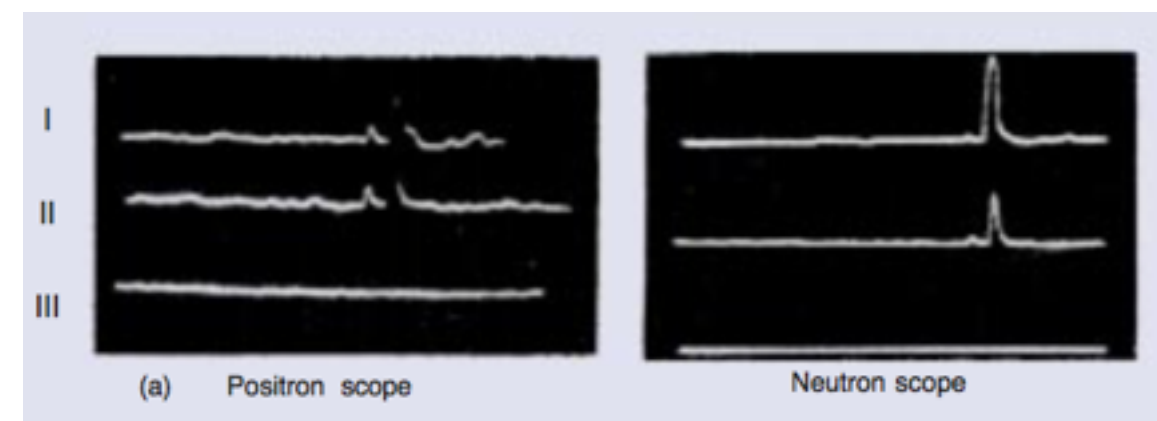
Inverse β and the $\bar{\nu}_e$ discovery

Idea 2 : nuclear power plant at Savannah River

- Intense source $\sim 10^{20}$ v/s/cm²
- Continuous emission
- Also a lot of n & γ background, but can be limited
Underground, lead shielding, ...
- More ethical ?



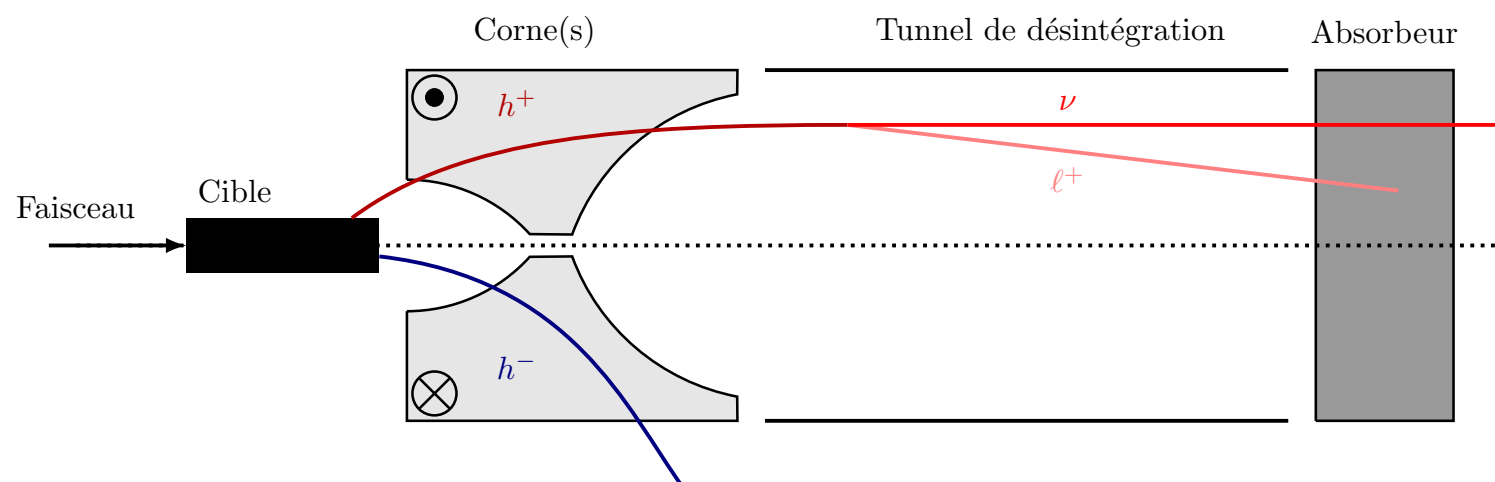
$\bar{\nu}_e$ discovery in 1956



- 1995 Nobel Prize
- Method still used today

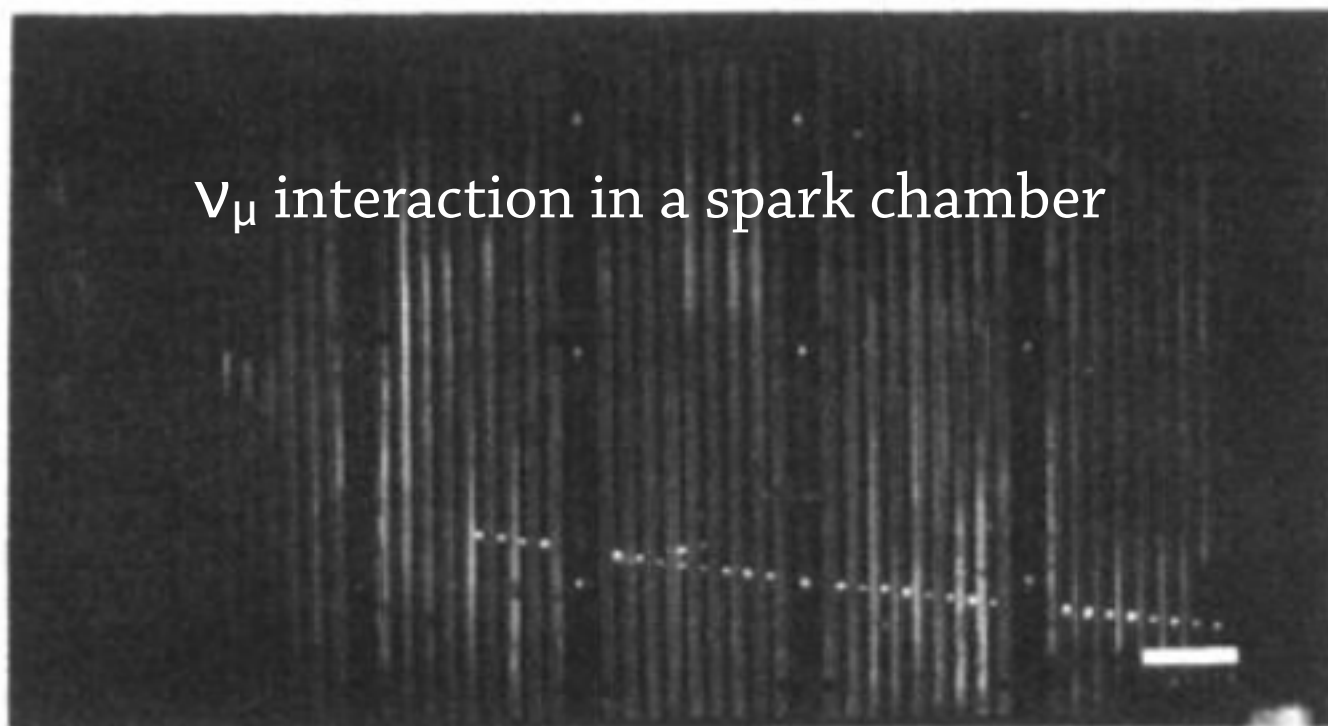
ν_μ and ν_τ discoveries

- In 1962, Lederman, Schwartz and Steinberger made the first **accelerator-based neutrino** source
→ Accelerated protons hits a target, π^\pm are created and decay into $\mu^\pm + \nu_\mu$

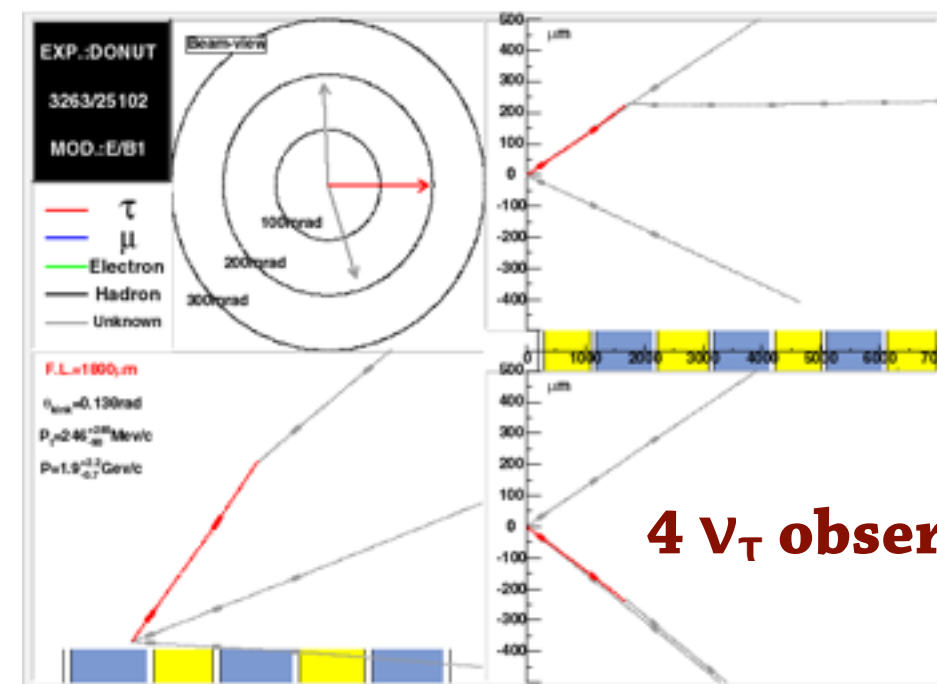


-1988 Nobel Prize
- Method still used today

- They found out that they had different kind of ν interactions : **ν_μ discovery** !



- In 2000, a ν_τ beam was created by producing Ds (same way as ν_μ beam)

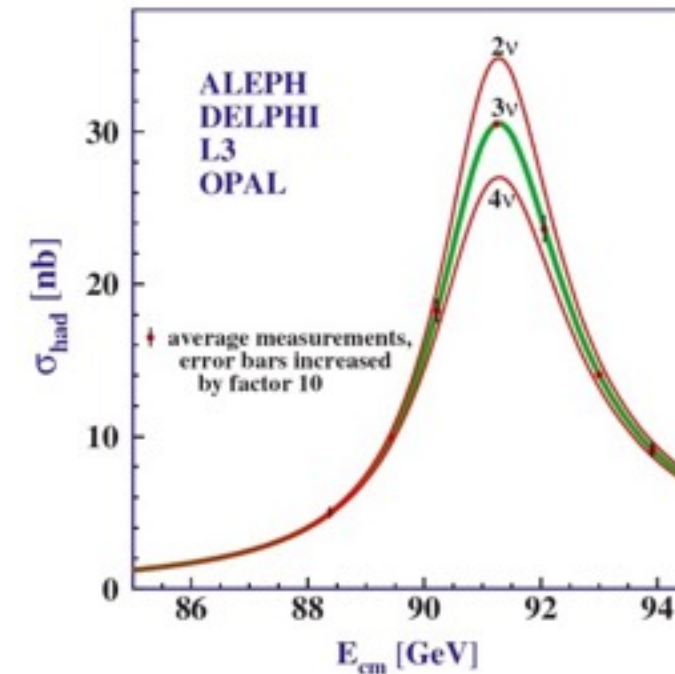
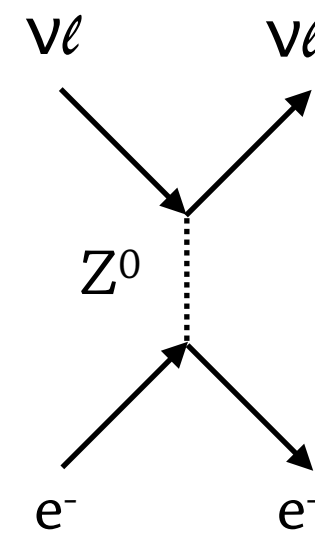
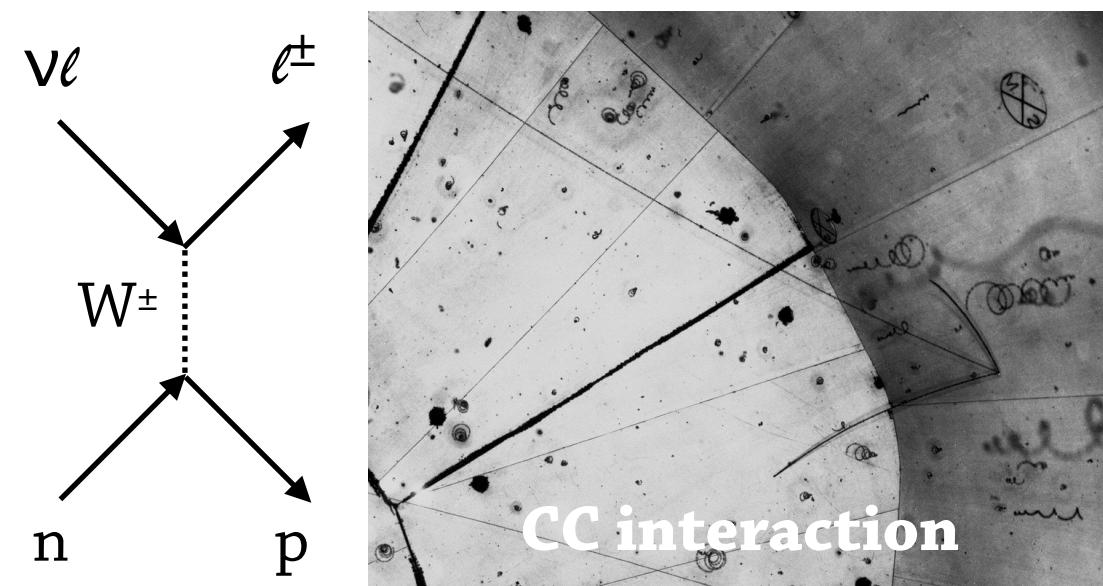


Neutrinos & Standard Model

- **Three flavors** of neutrinos (light and active):
 - In 1989, LEP measures the Z invisible width

$$N_\nu = 2.984 \pm 0.008$$

- Only interact through weak interactions
 - **Charged & Neutral current**



- Only left handed

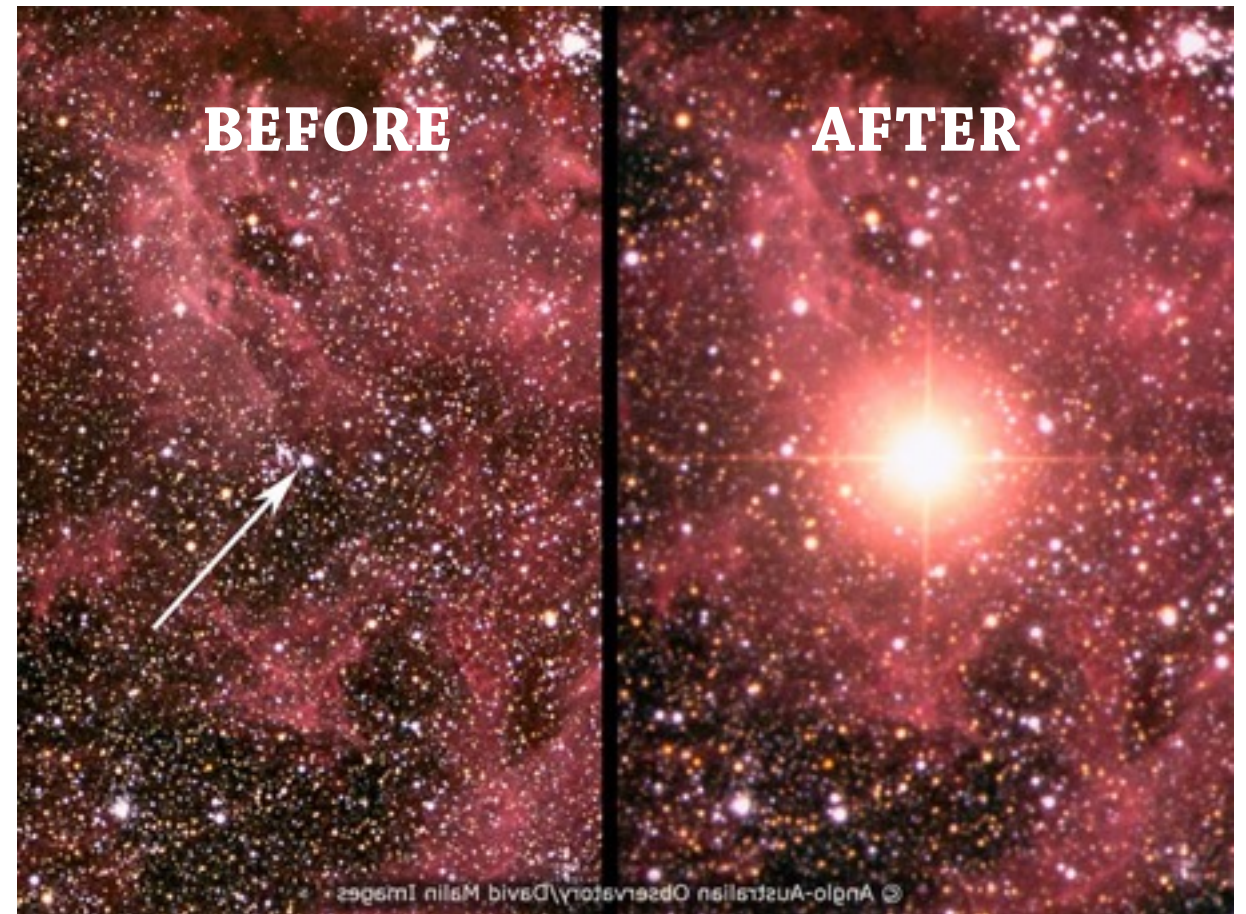
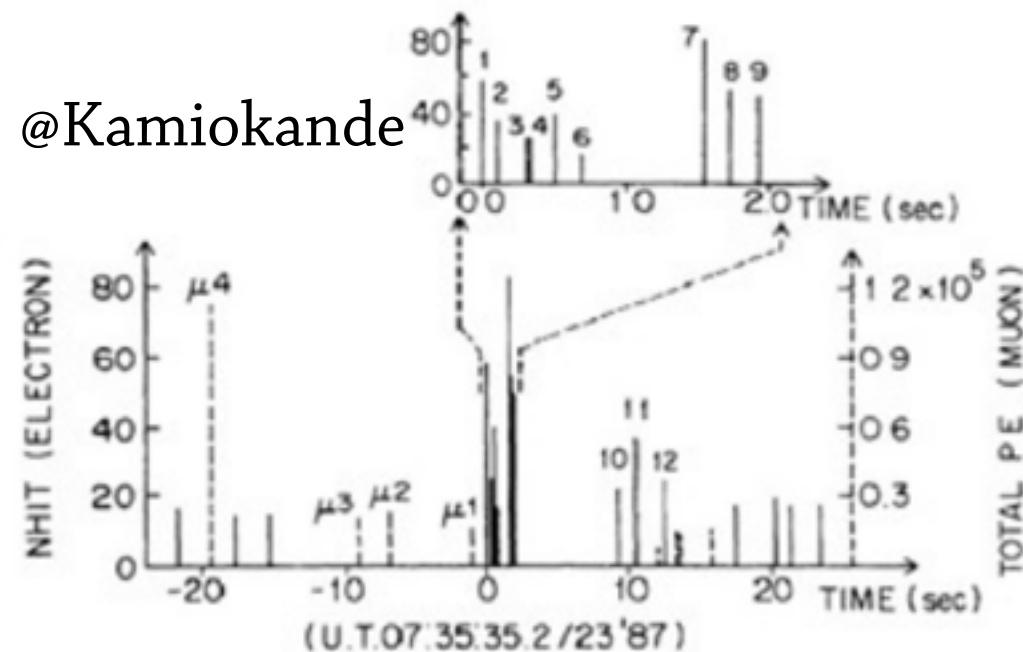
→ Cannot couple to Higgs field therefore neutrinos are **massless**

Neutrino Astronomy : beginning

On February 23rd 1987, a supernova exploded in the large magellan cloud (170 000 l.y.)

3h before the light signal, three neutrino detectors observed a large number of events in a very short time (**24 events in 13s**)

SN1987A



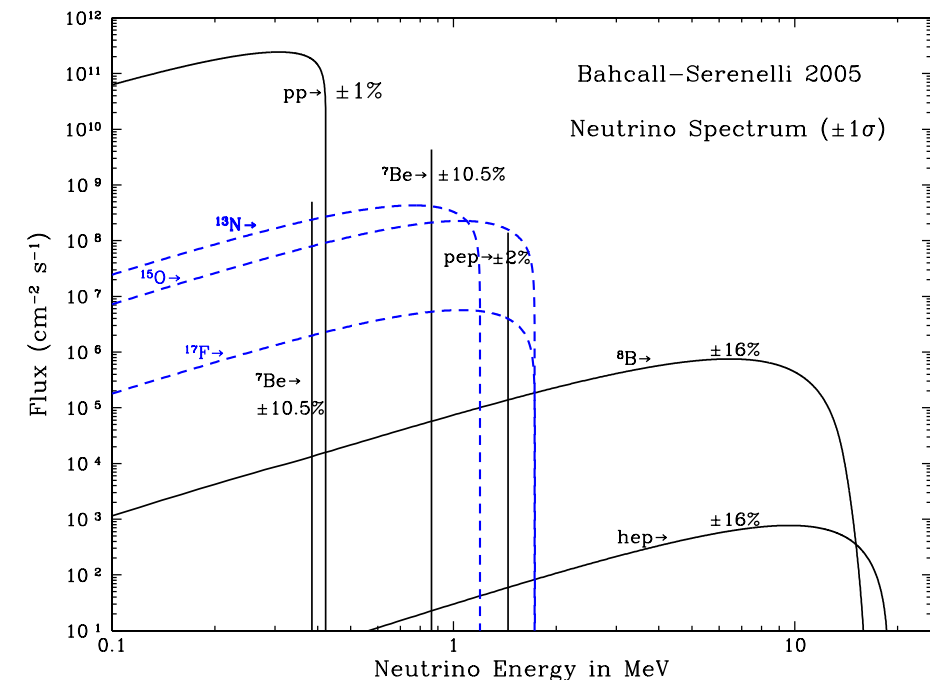
- 99% of the SN energy is released as neutrinos
- 1st case of neutrino astronomy neutrino and multi-messenger
- **Nobel Prize for Kamiokande in 2002**
- Never happened again, all ν experiments are still waiting for a new nearby SN



Neutrino Astronomy : Anomalies

Solar Neutrinos

- In order to study the nuclear fusion occurring in the sun, neutrinos are the ideal messenger as they leave the medium instantly
- ν_e flux : $\sim 7 \times 10^{10} \text{ v/s/cm}^2$



Atmospheric neutrinos



- When cosmic rays hit earth, they interact with the atmosphere and produce pions and muons
- In terms of flavors, at ground $N(\nu_\mu)/N(\nu_e) = 2$

$$p + atm \rightarrow \pi^+ + \dots$$

$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

$$\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

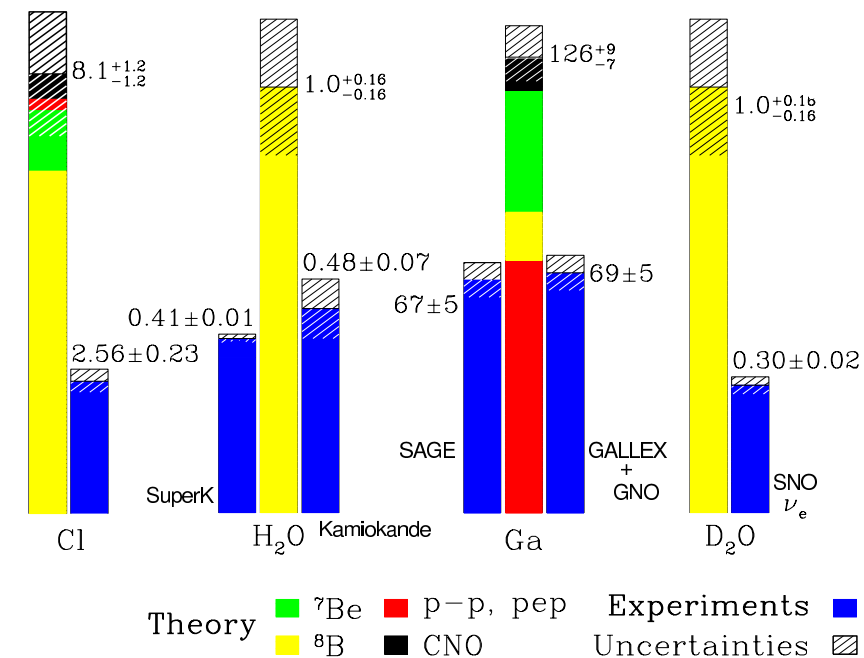
Neutrino Astronomy : Anomalies

Solar Neutrinos

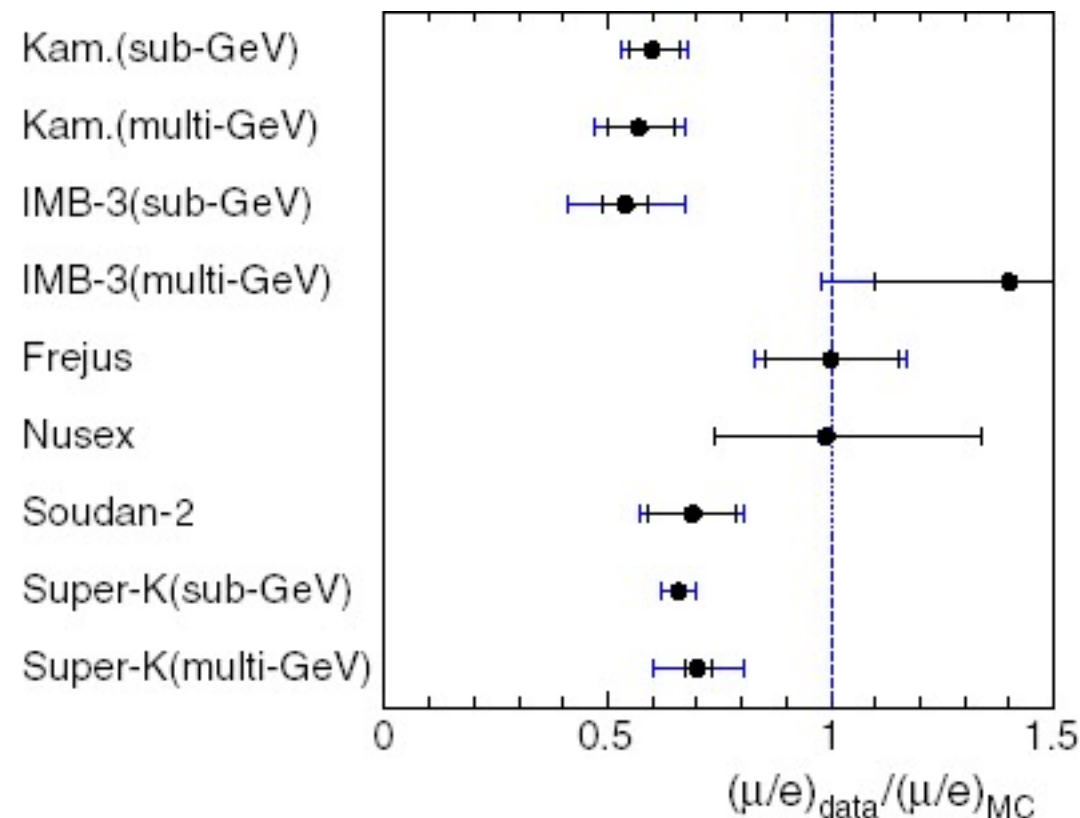
○ In order to study the nuclear processes occurring in the sun, neutrinos are the ideal messenger as they leave the medium instantly

○ ν_e flux : $\sim 7 \times 10^{10}$ $\nu/s/cm^2$

$\sim 2/3$ of expected ν_e are missing



Atmospheric neutrinos



○ When cosmic rays hit earth, they interact with the atmosphere and produce pions and muons

○ In terms of flavors, at ground $N(\nu_\mu)/N(\nu_e) = 2$

$\sim 1/2$ of expected ν_μ are missing

The oscillations can help

- Several hypothesis : ν -decay, ν decoherence, flavor changing neutral currents, oscillations, ...
- In 1957, Pontecorvo suggested the $\nu \rightarrow \bar{\nu}$ oscillations
- Principle : Neutrino flavor and mass eigenstate are **not superimposed** but **linked** by a 3×3 unitary mixing matrix (the PMNS matrix)

$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle$$

$\alpha = (e, \mu, \tau) :=$ Flavor states
 $i = (1, 2, 3) :=$ Mass states
 $U =$ PMNS matrix

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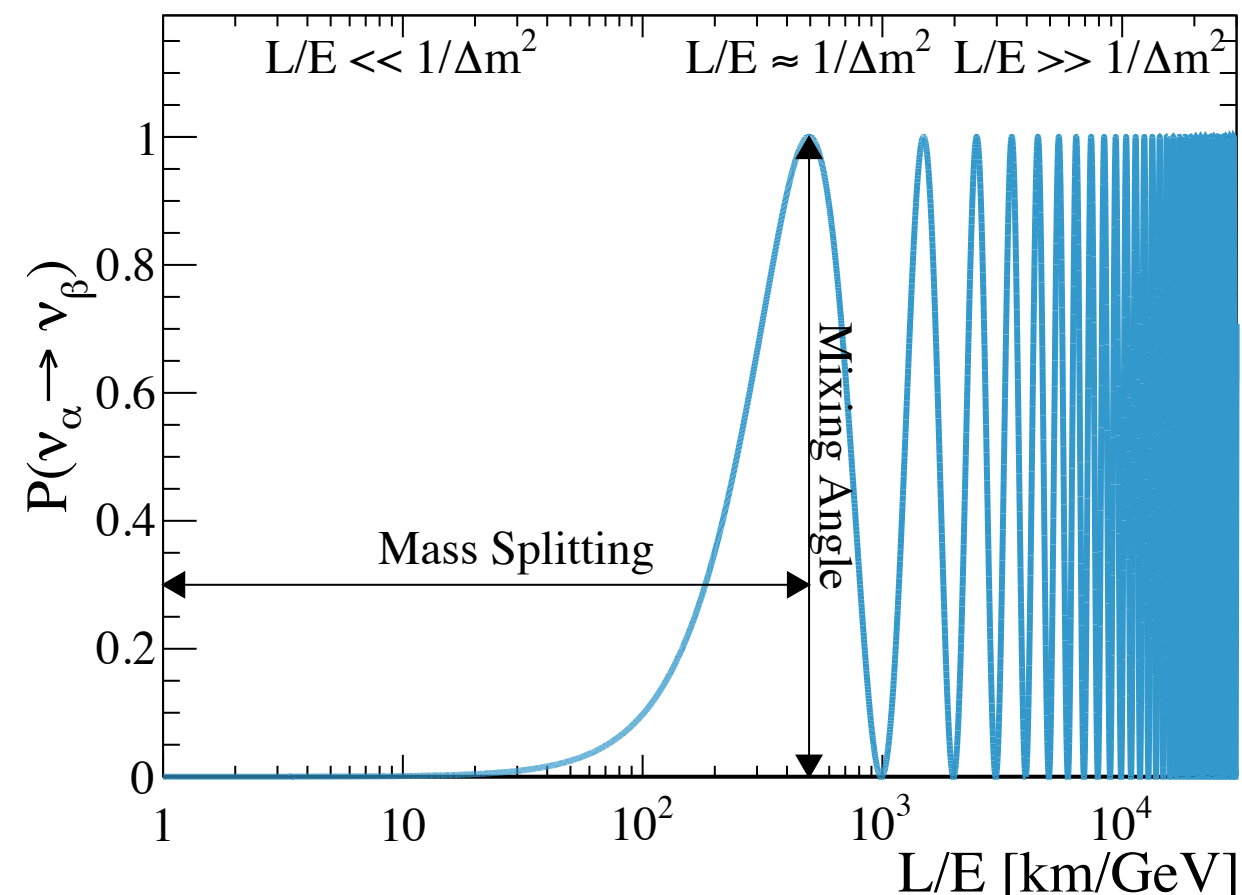
Simplified 2 flavors case

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

With a source ν_α at an energy E , the probability to detect a ν_β at a distance L is :

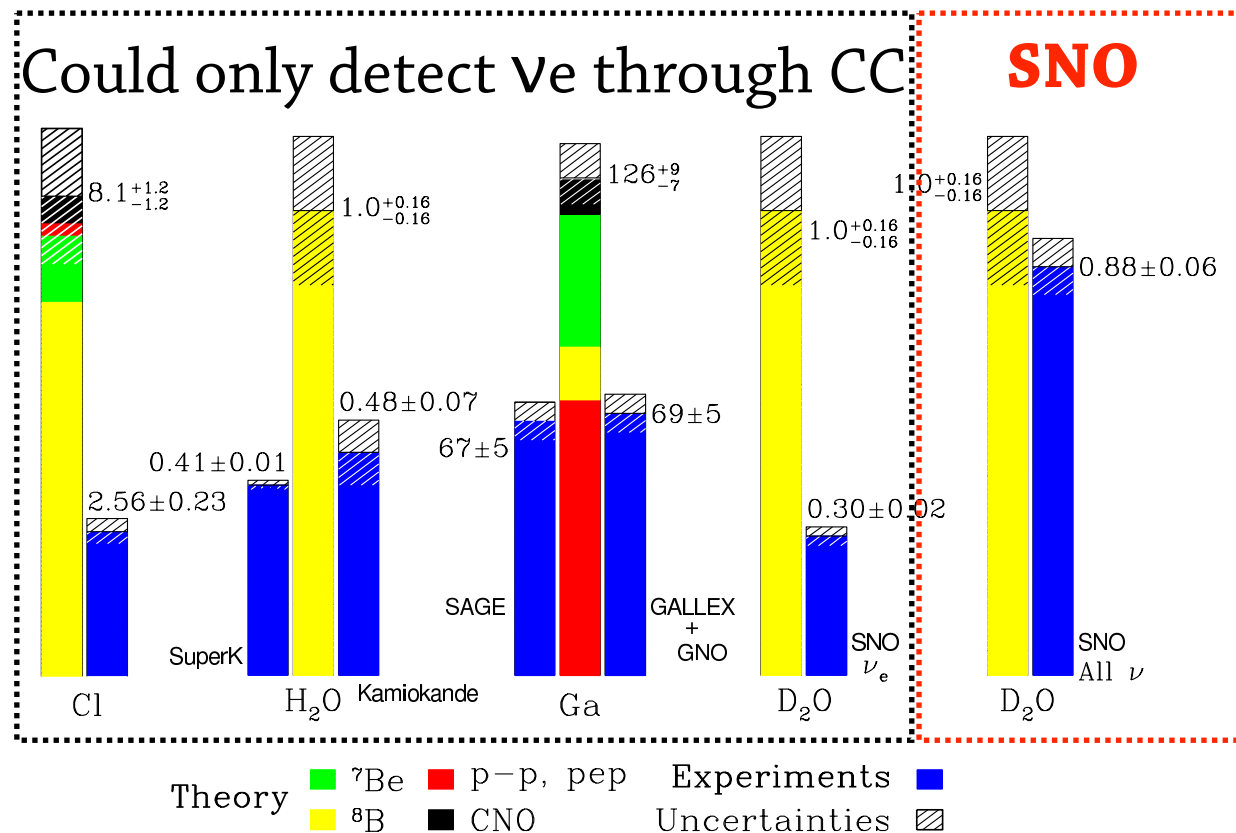
$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

$$\Delta m^2 = m_1^2 - m_2^2$$



ν oscillations : experimental proofs

Solar Neutrinos



SNO (1kton of heavy water) was designed to detect solar neutrinos through:

- **CC** interactions $\nu_e + d \rightarrow p + p + e^-$
 ν_e only (ν_μ and ν_τ don't have enough energy)
- **ES** interactions $\nu_x + e^- \rightarrow \nu_x + e^-$
all flavors
- **NC** interactions $\nu_x + d \rightarrow p + n + \nu_x$
all flavors

(NC & ES are not sensitive to flavor, and have no energy threshold)

SNO measured the ratio :

$$\frac{\Phi_{CC}}{\Phi_{NC}} = 0.34 \pm 0.023(\text{stat.})^{+0.029}_{-0.031}$$

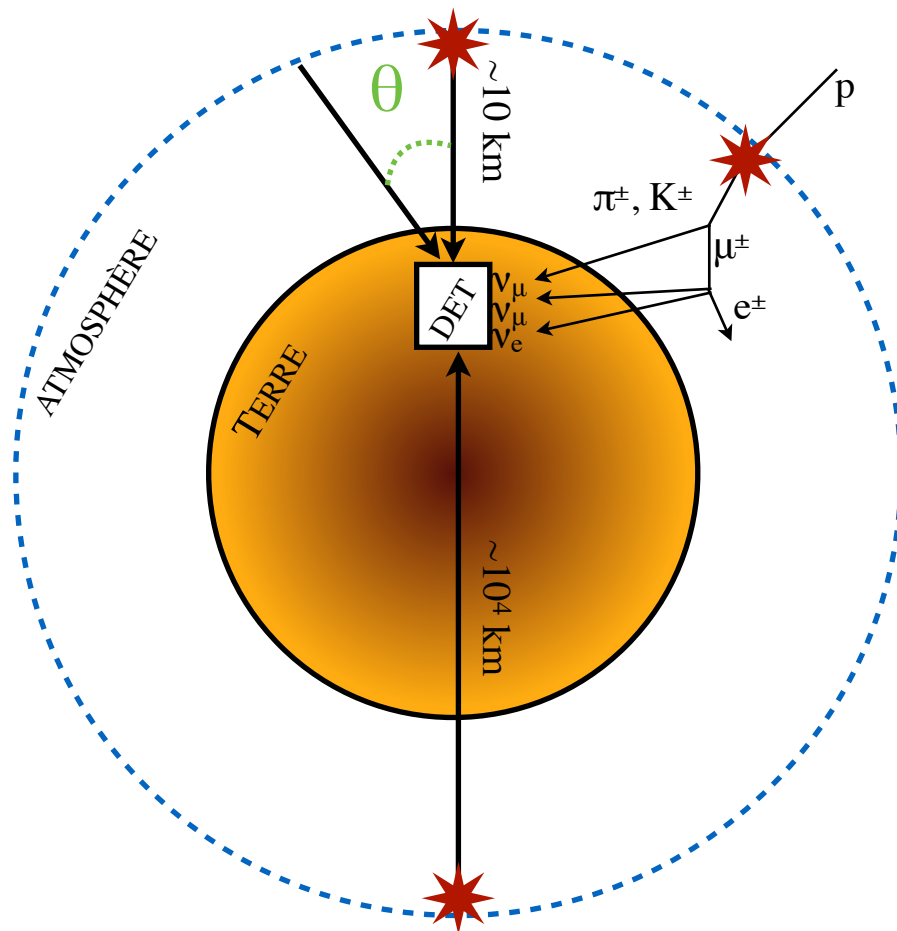
And showed that the **total** flux of solar neutrino is **compatible** with the solar standard model



Nobel Prize in 2015 !

ν oscillations : experimental proofs

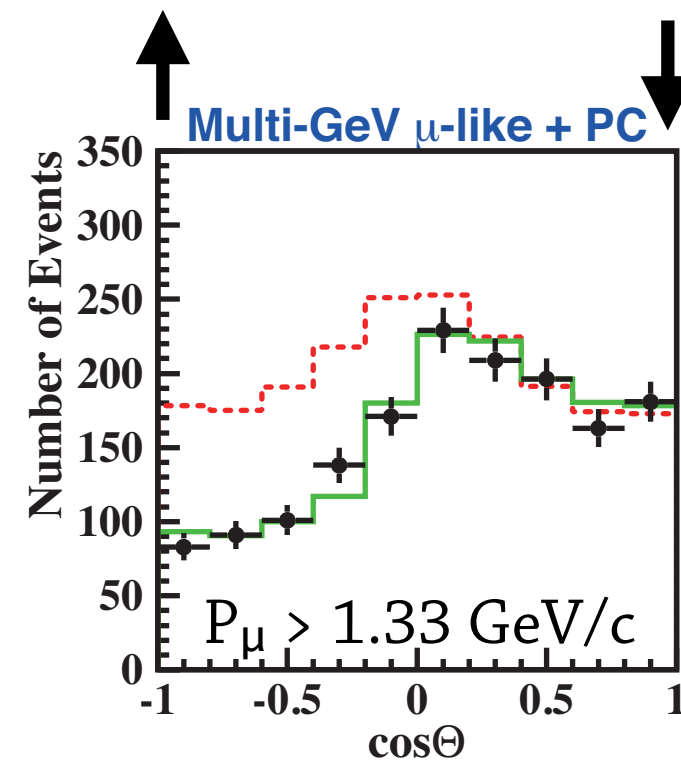
Atmospheric neutrinos



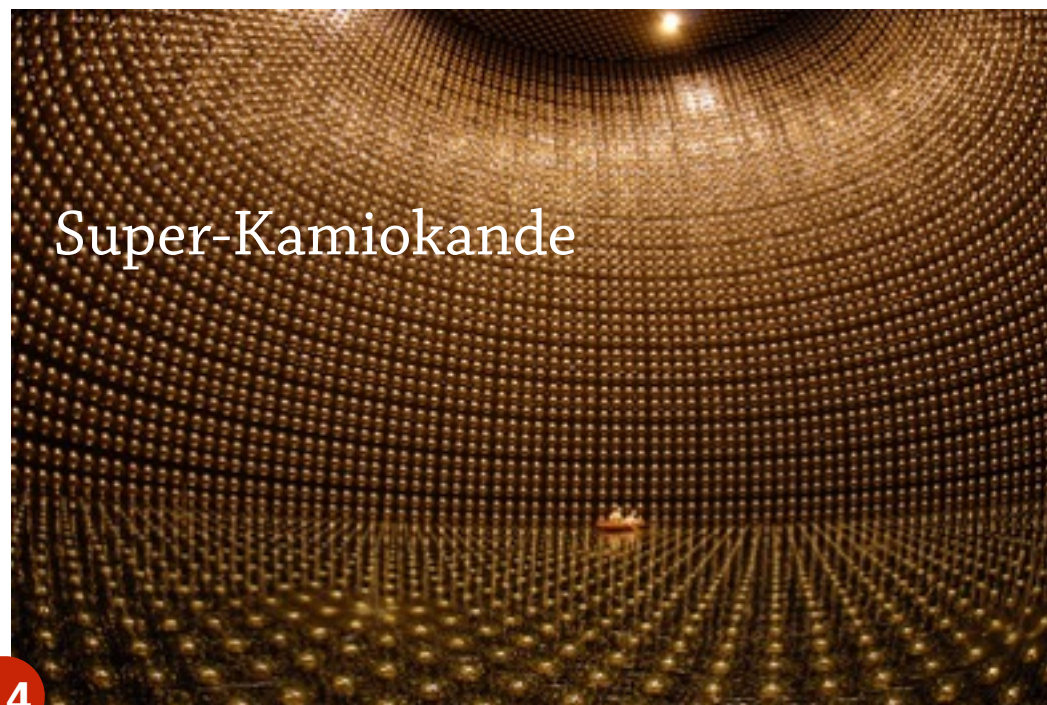
Kamiokande looked at the direction of the atmospheric neutrinos and found out that the ν_μ deficit was **direction dependent** :

$L \sim 10^4$ km
1/2 missing

$L \sim 10$ km
no deficit



↳ Atmospheric ν_μ oscillates into ν_τ



Super-Kamiokande



Nobel Prize in 2015 !

3 flavors oscillations

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} \text{Atmospheric} \\ \text{Reactor/Accelerator} \\ \text{Solar} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

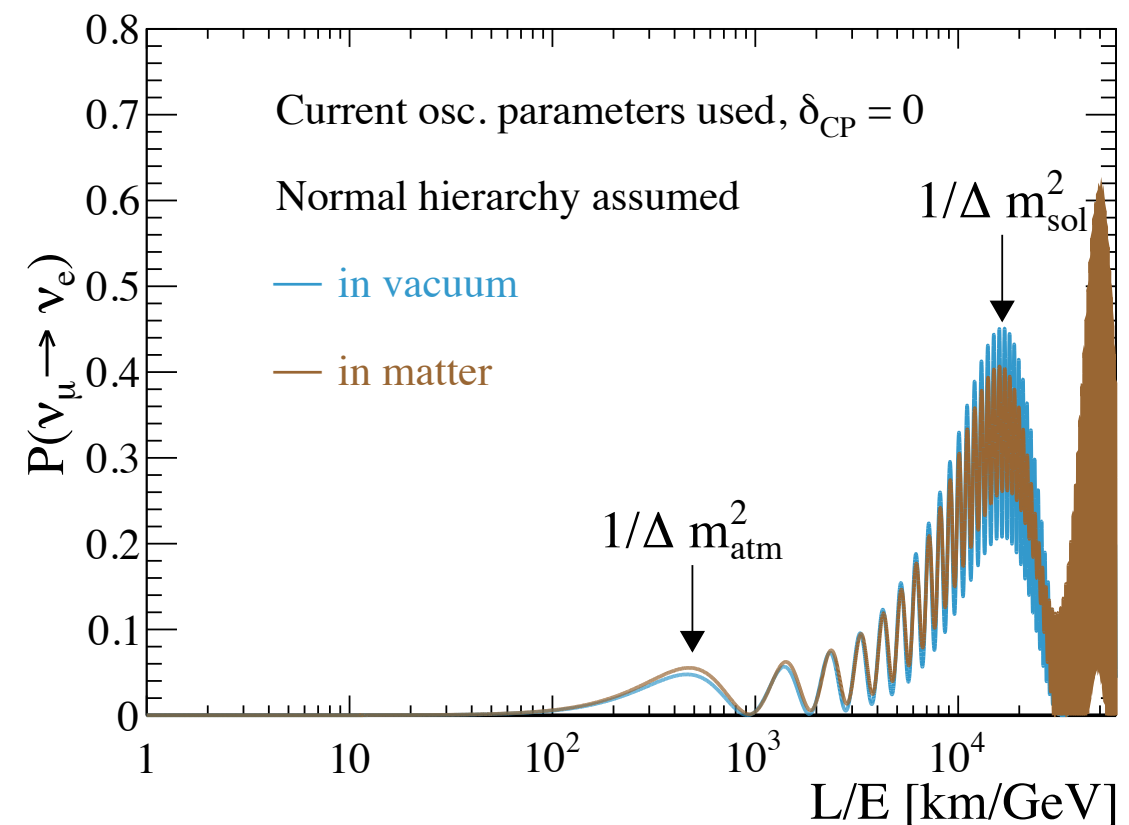
$$s_{ij} = \sin \theta_{ij}$$

$$c_{ij} = \cos \theta_{ij}$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

In the 3 ν flavor case, the oscillation phenomena is described by:

- **3** mixing angles: θ_{12} , θ_{23} and θ_{13}
- **2** mass splittings: Δm_{sol}^2 , Δm_{atm}^2
- **1** CP violation phase δ
- Oscillation probabilities are modified in matter



NB : ν oscillations proves that neutrinos are **massive**

Current knowledge of oscillation parameters

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$s_{ij} = \sin \theta_{ij}$$

$$c_{ij} = \cos \theta_{ij}$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

$$\theta_{12} = 33.62^{+0.78}_{-0.76}$$

[nuFIT 3.2 \(2018\), nu-fit.org](http://nuFIT-3.2.org)

$$\theta_{23} = 47.2^{+1.9}_{-3.9}$$

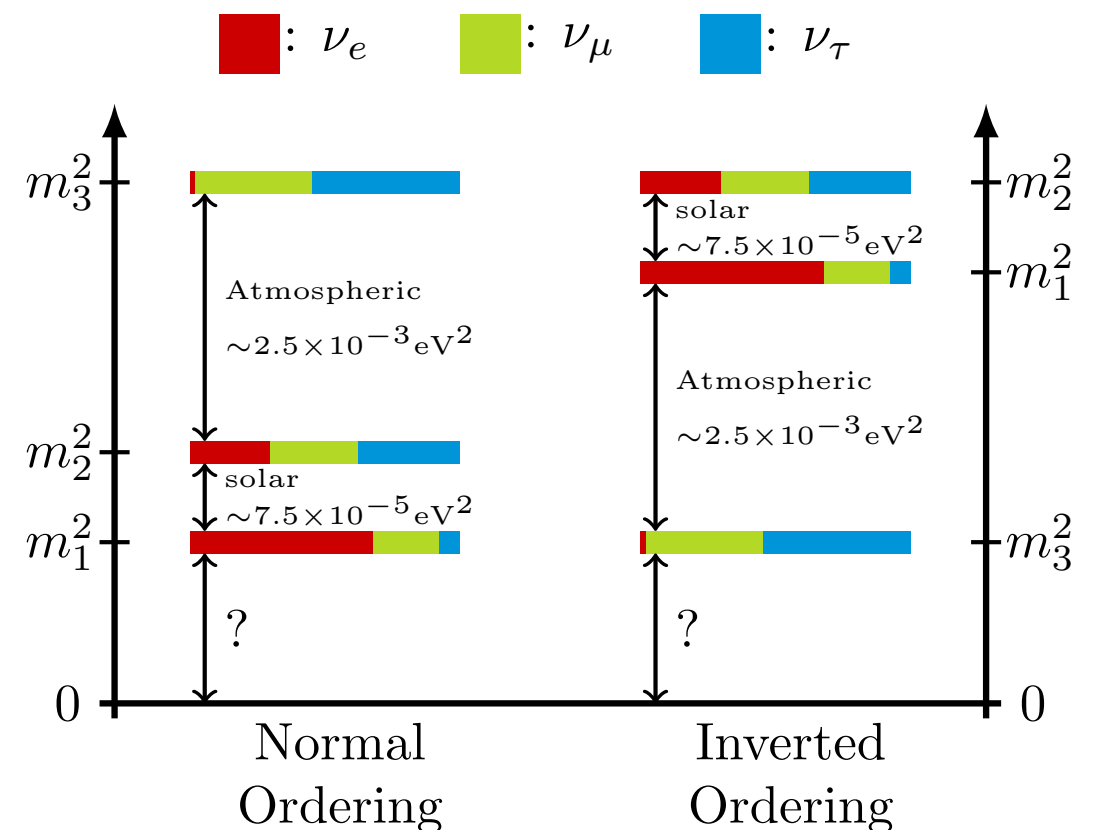
$$\theta_{13} = 8.54^{+0.15}_{-0.15}$$

$$\Delta m_{\text{sol}}^2 = \Delta m_{21}^2 = 7.40^{+0.21}_{-0.20} \times 10^{-5} \text{eV}^2$$

$$|\Delta m_{\text{atm}}^2| = |\Delta m_{3\ell}^2| = 2.494^{+0.033}_{-0.031} \times 10^{-3} \text{eV}^2$$

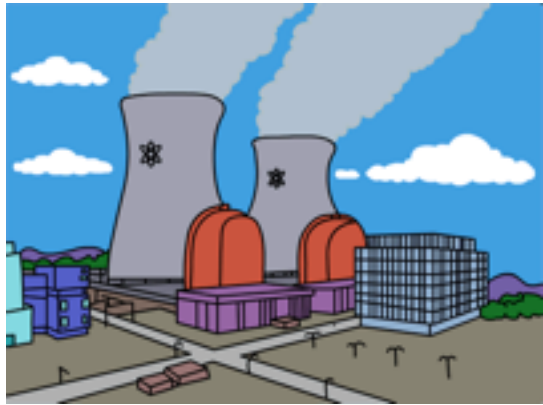
Open questions to be answered :

- Is θ_{23} maximal ?
- What is the neutrino mass hierarchy ?
- Is there CP violation in the leptonic sector ?



Reactor and Long Baseline experiments

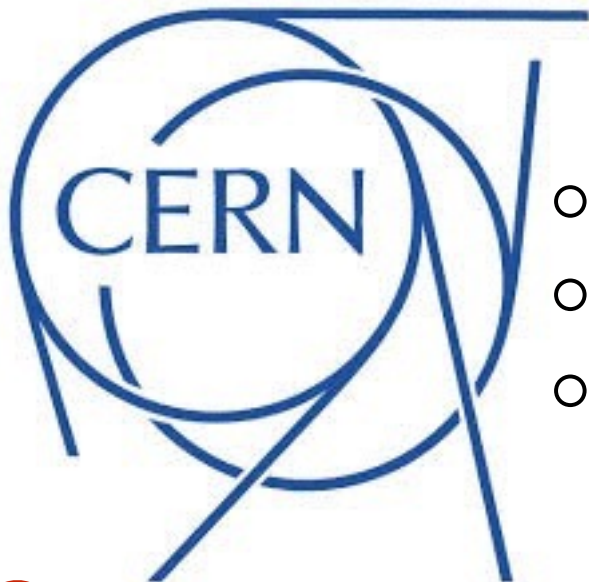
Reactor source:



- Low energy
- $\bar{\nu}_e$ only
- Flux known at 2-3%

(Double)-Chooz, Daya-Bay, RENO, JUNO, ...

Accelerator source:



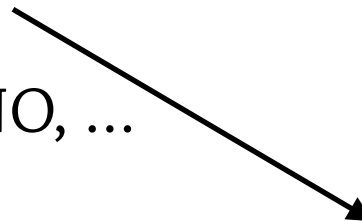
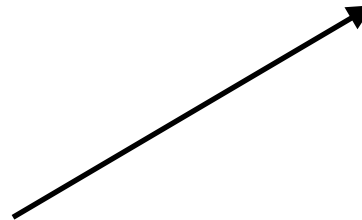
- Tunable energy
- ν_μ or $\bar{\nu}_\mu$ flux
- Flux known at ~10%

Near detector

At L/E before
oscillations

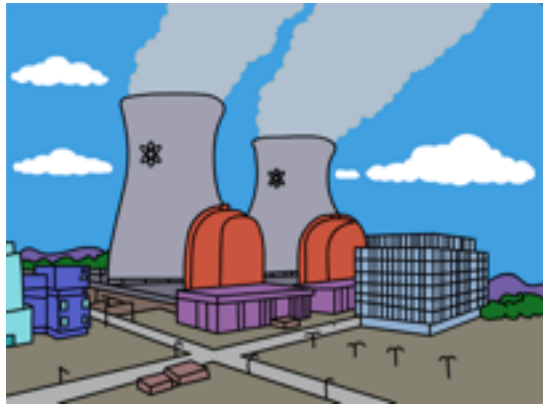
Far detector

At L/E for
oscillations



Reactor and Long Baseline experiments

Reactor source:



- Low energy
- $\bar{\nu}_e$ only
- Flux known at 2-3%

- Can use the 2 flavors probability formula
- Very **clean** measurement : best θ_{13} value
- Sensitivity to mass hierarchy
- But only **disappearance**

(Double)-Chooz, Daya-Bay, RENO, JUNO, ...

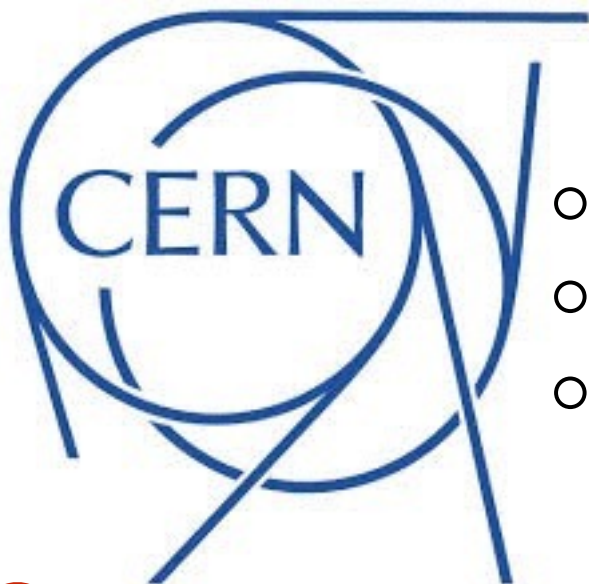
Near detector

At L/E before oscillations

Far detector

At L/E for oscillations

Accelerator source:



- Tunable energy
- ν_μ or $\bar{\nu}_\mu$ flux
- Flux known at ~10%

- Measure ν_μ disappearance and ν_e appearance
- Complicated measurement : a lot of **ambiguities**
- Can use matter effect to probe **mass hierarchy**
 - ↳ L longer = more effect = less neutrinos
- Can switch from ν beam to $\bar{\nu}$ beam
 - ↳ Probe **CP violation** phase

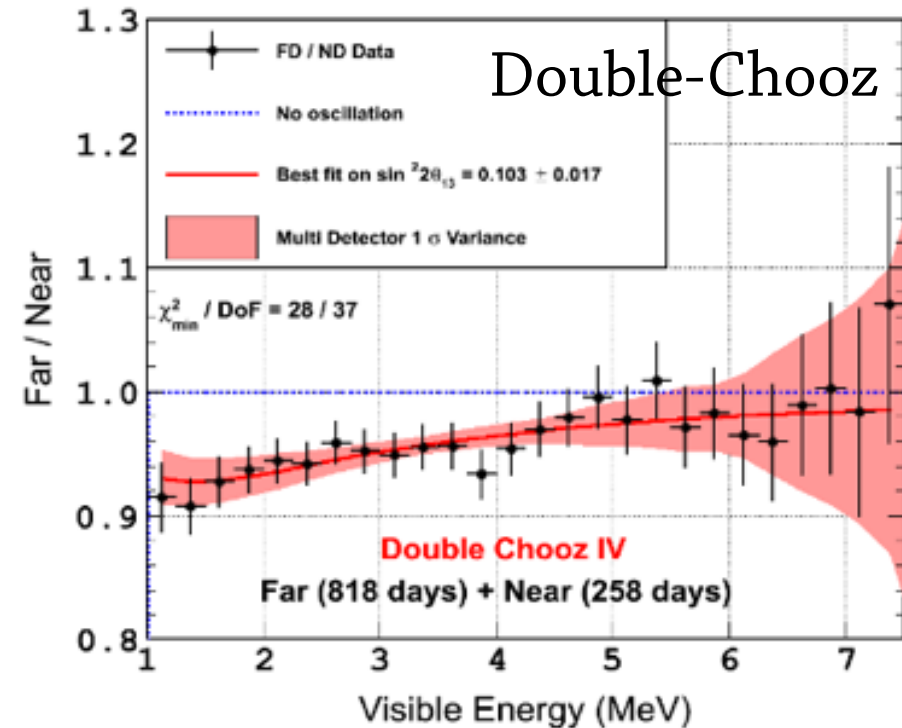
T2K, MINOS, NOVA, T2K, DUNE, T2KK

Reactor and Long Baseline experiments

Reactor source:



- Low energy
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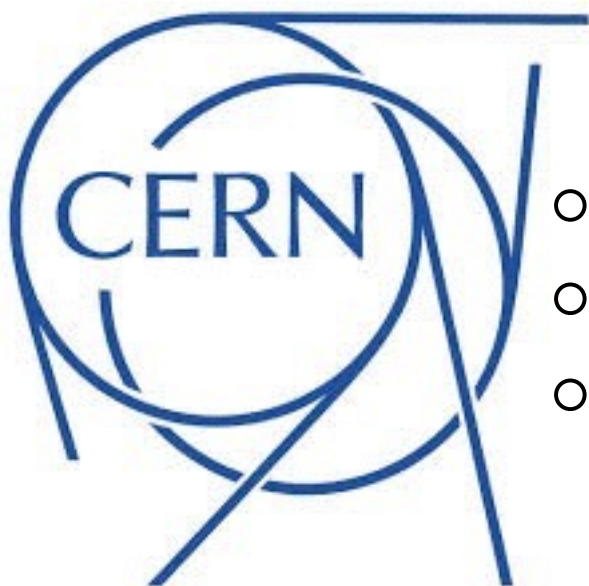
Near detector

At L/E before oscillations

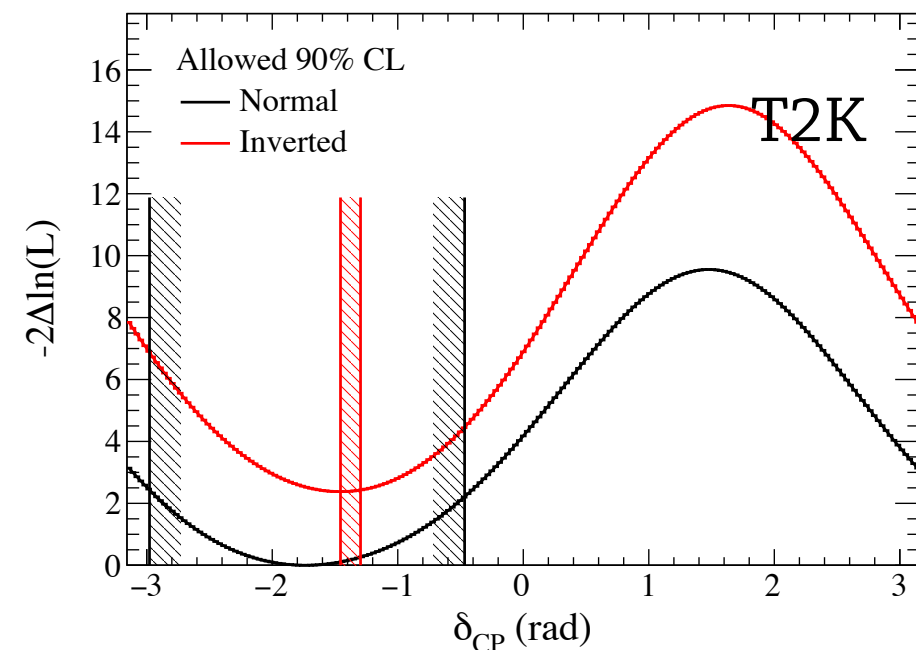
Far detector

At L/E for oscillations

Accelerator source:



- Tunable energy
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- Flux known at ~10%



Current LBL experiments weakly prefers NH and max δ_{cp}

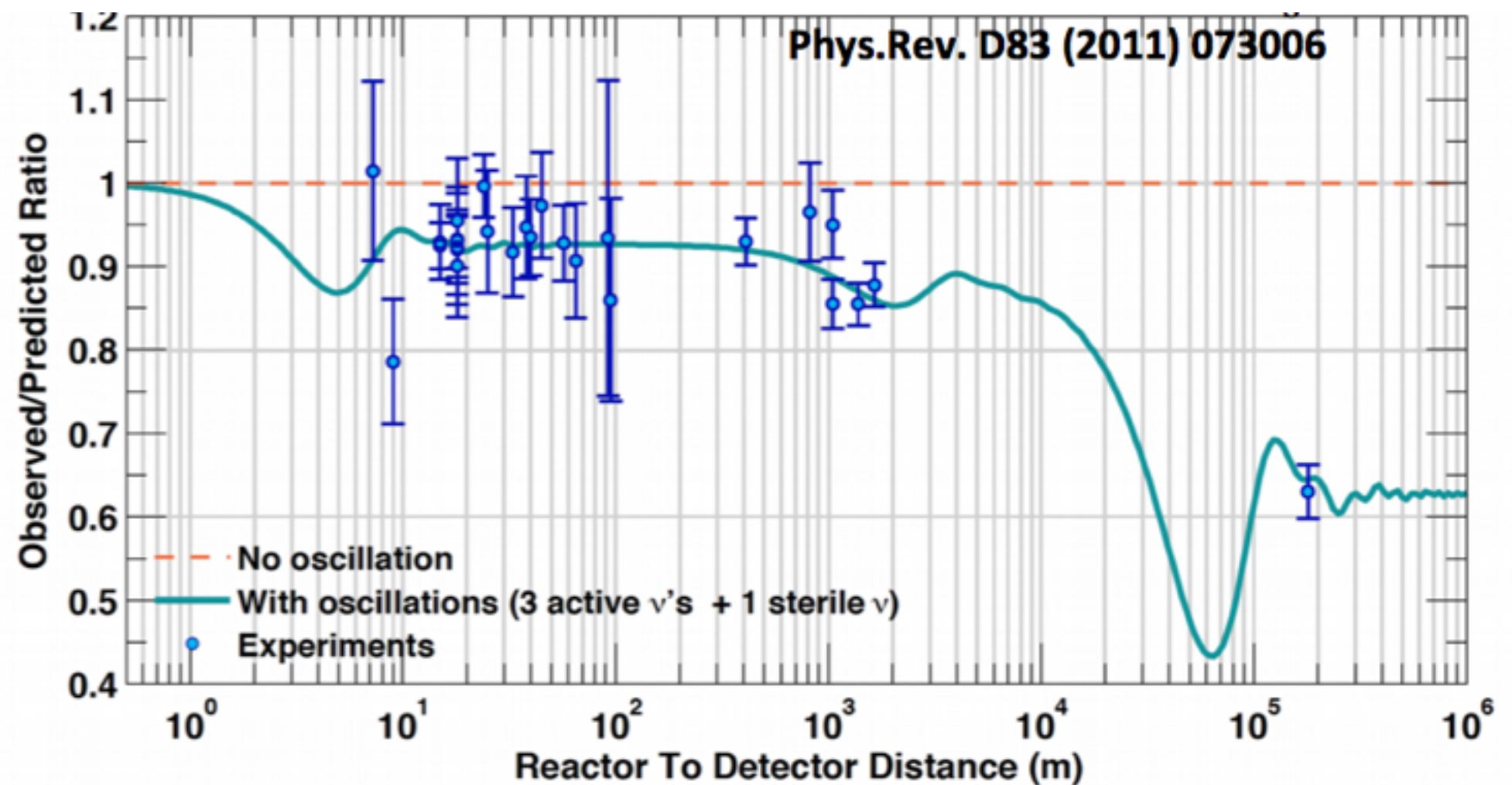
Sterile neutrinos : the return of the anomalies

A revised reactor $\bar{\nu}_e$ flux analysis showed that all past ν experiments had a **~6% deficit** at small distances (3σ)

Could be explained by the presence of a **4th neutrino** which would be a **sterile**.

Best fit parameters:

$$\begin{aligned}\Delta m^2 &\sim 2 \text{ eV}^2 \\ \sin^2(2\theta) &\sim 0.15 \\ L_{\text{osc}} &\sim \text{few m}\end{aligned}$$

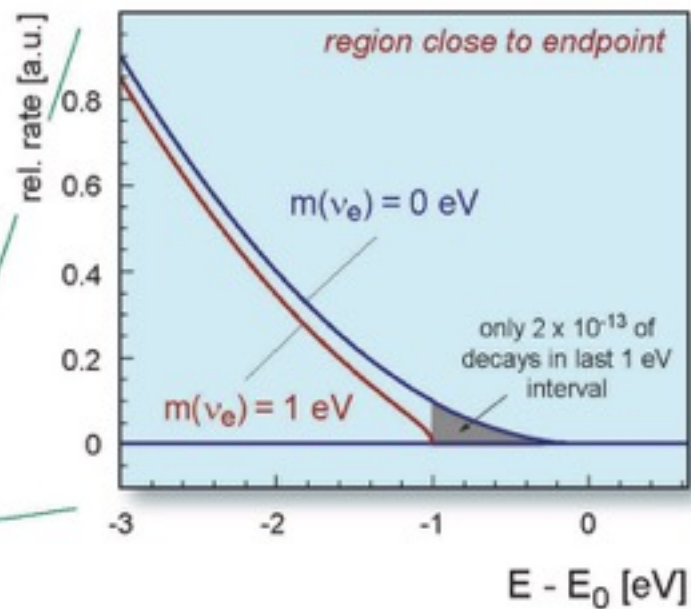


Many **oscillography** experiments ongoing, located few m from a nuclear core

STEREO, SOLID, BAKSAN, ...

Neutrino mass : what and how ?

Direct constraints



Look at the **end-point** of the β spectrum
↳ rare cases were the e-takes most of the available energy

KATRIN, TROITSK

*Current limit : $m_{\bar{\nu}_e} \leq 2.05$ eV at 95% CL
sensitivity at 0.2 eV*

Indirect constraints

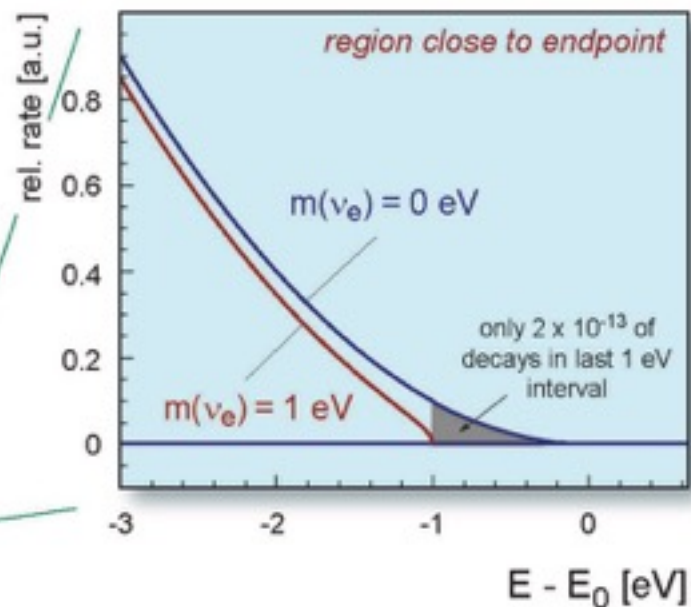
Cosmological
observations
(Plank, CMB, SN, ...)

SN1987a gave the 1st limit:
 $m_{\bar{\nu}_e} \leq 5.7$ eV at 95% CL

*Current limits :
 $\Sigma m_j \approx (0.3 - 1.3)$ eV 95% C.L.*

Neutrino mass : what and how ?

Direct constraints



Look at the **end-point** of the β spectrum
 \hookrightarrow rare cases were the e^- takes most of the available energy

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 sensitivity at 0.2 eV

Indirect constraints

Cosmological

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Current limits :
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How do neutrinos get their masses ?

○ The **Dirac** way

Through Higgs coupling

Need a sterile right handed neutrino

Why are neutrino so light ?

$$\mathcal{L}_{mass}^D = -m_D (\bar{\nu}_R \nu_L + \bar{\nu}_L \nu_R)$$

$$m_D = \frac{v}{\sqrt{2}} Y_\nu \leftarrow \sim 10^{-12}$$

○ The **Majorana** way

No distinction between ν and $\bar{\nu}$

Mass given through seesaw mechanism

Need massive neutrinos

$$\nu_R = C \bar{\nu}_L^T = \nu_L^C$$

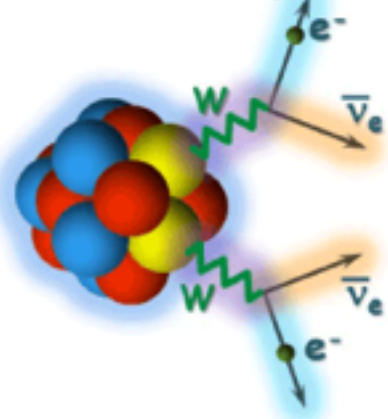
$$m = \frac{m_D^2}{m_R} \leftarrow \text{Dirac term}$$

$$\leftarrow \text{Very big}$$

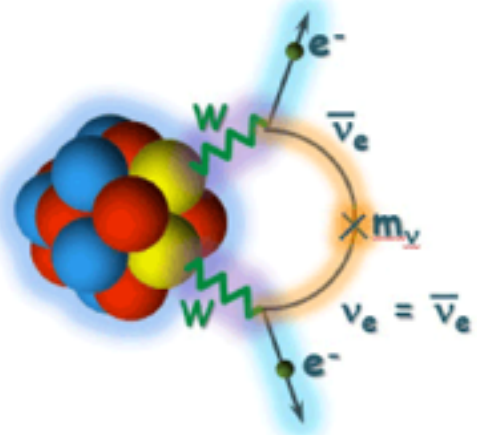
Are neutrinos Majorana neutrinos ?

Experimental way : double beta decay with **no** ν emission

[Double beta decay]



Double beta decay
which emits anti-neutrinos



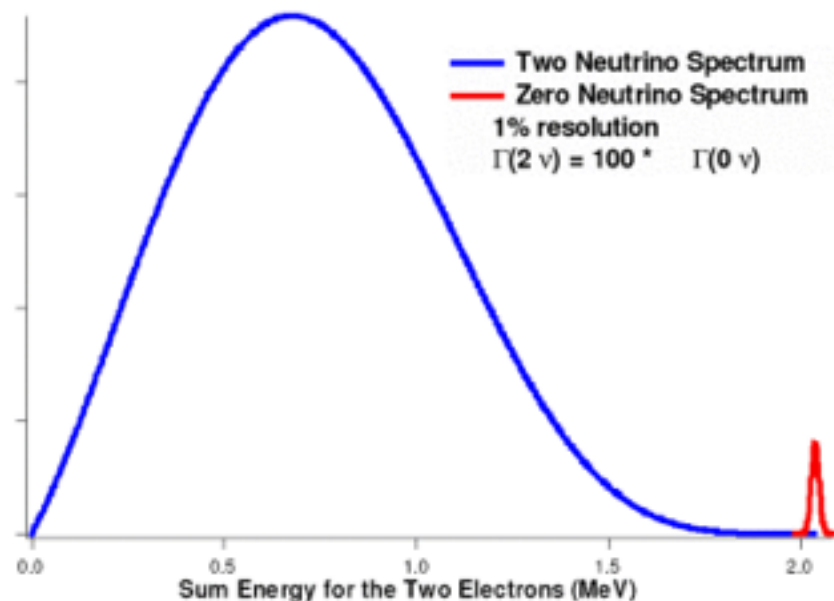
Neutrinoless
double beta decay

○ $\beta\beta 2\nu$ is very rare (half life $\sim 10^{18} - 10^{24}$ years)

○ $\beta\beta 0\nu$ is **forbidden** in SM

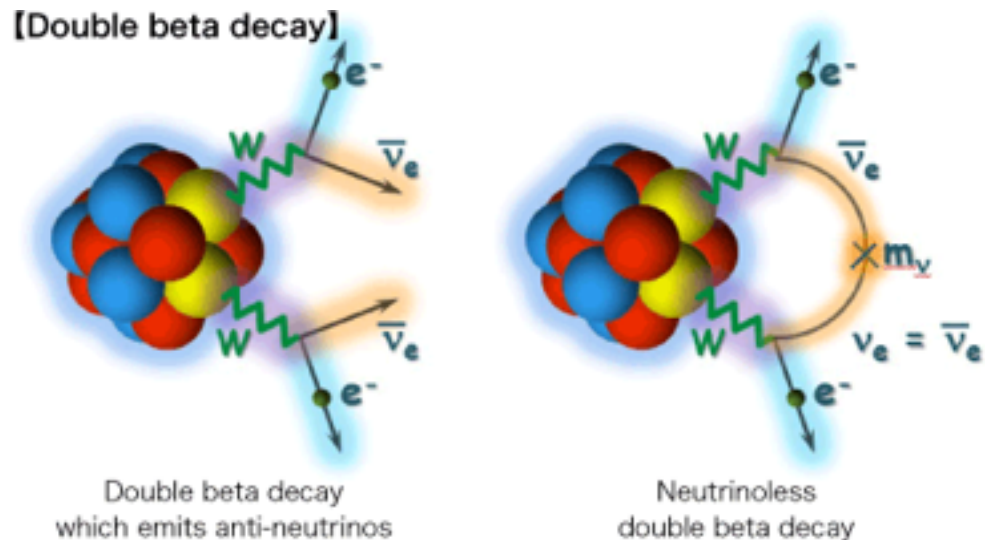
↳ lepton number violated by 2 units

Look at the 2 electrons energy



Are neutrinos Majorana neutrinos ?

Experimental way : double beta decay with **no** ν emission



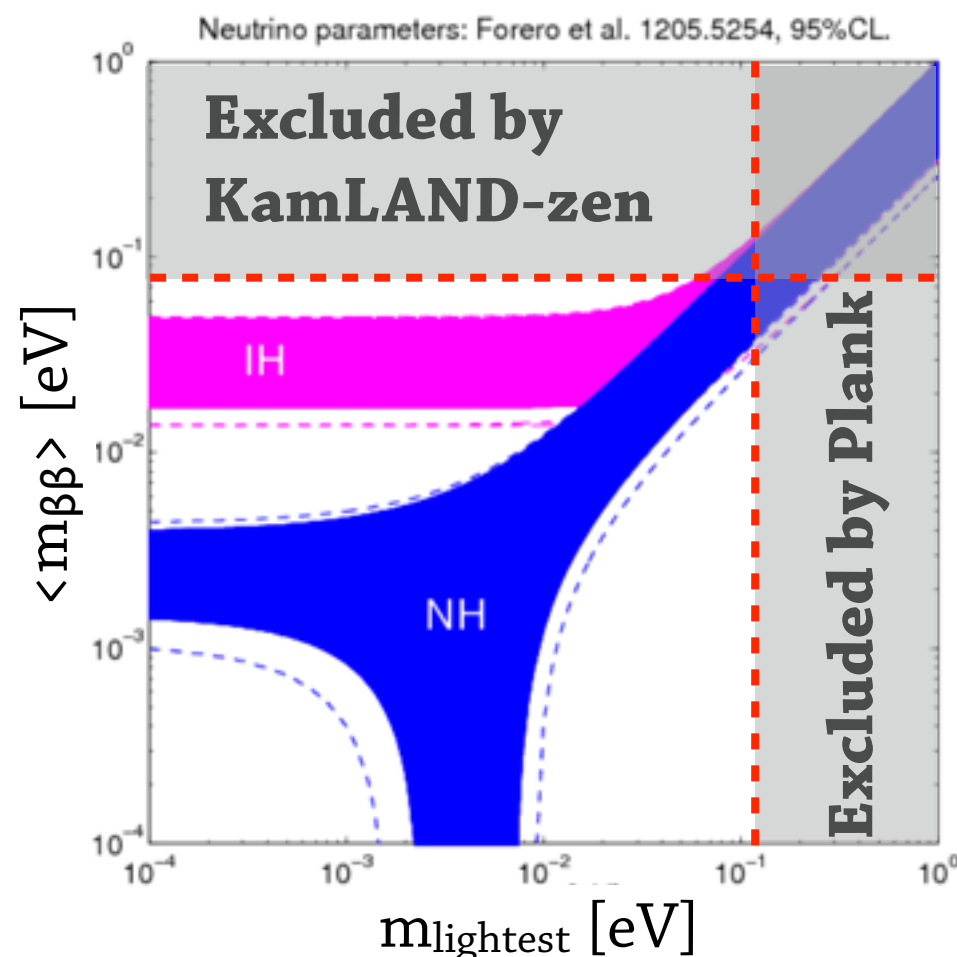
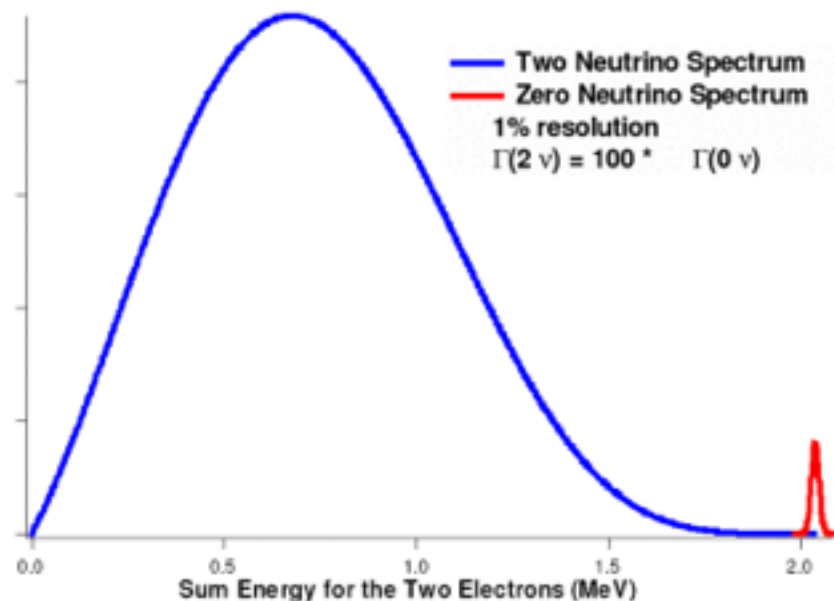
- $\beta\beta 2\nu$ is very rare (half life $\sim 10^{18} - 10^{24}$ years)
- $\beta\beta 0\nu$ is **forbidden** in SM
- ↳ lepton number violated by 2 units

Measured half life depends on:

$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu}(Q_{\beta\beta}, Z) |M_{0\nu}^2| \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2}$$

phase space
matrix element

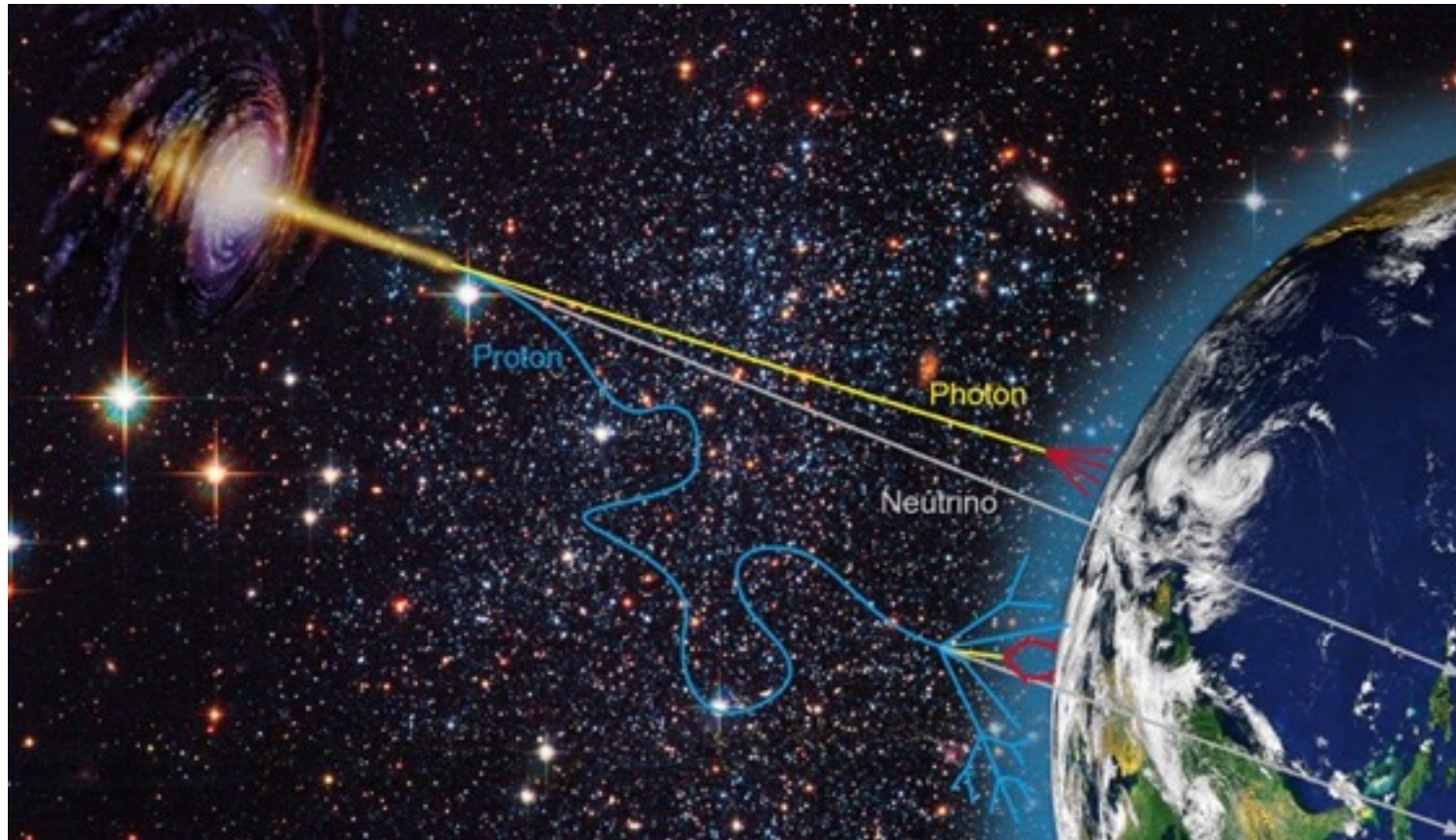
Look at the 2 electrons energy



$$\langle m_{\beta\beta} \rangle = \sum |U_{ei}|^2 m_i$$

- $m_{\beta\beta}$ sensitivity hard to lower
- Current and next generation can probe IH (which seemed disfavored)

Neutrino astronomy : today



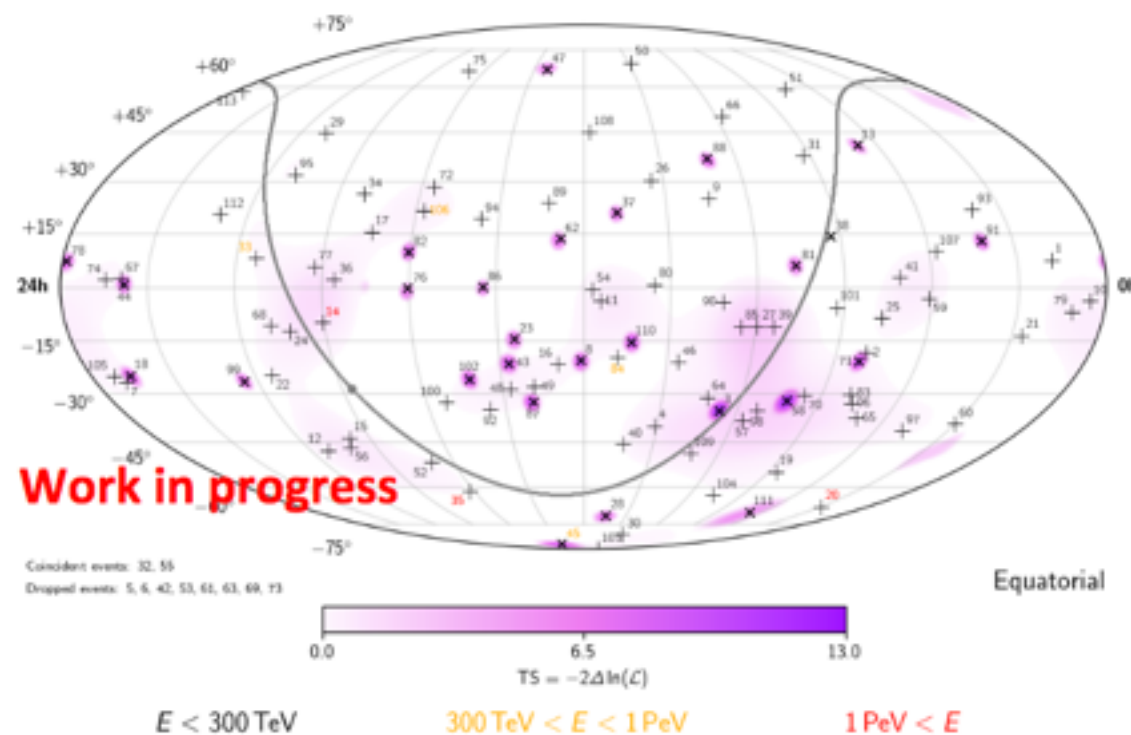
Pros

- Unlike protons & gammas, neutrinos **points to their sources**
- Can probe the inside of the structure
- **No GZK threshold** : can probe far away objects

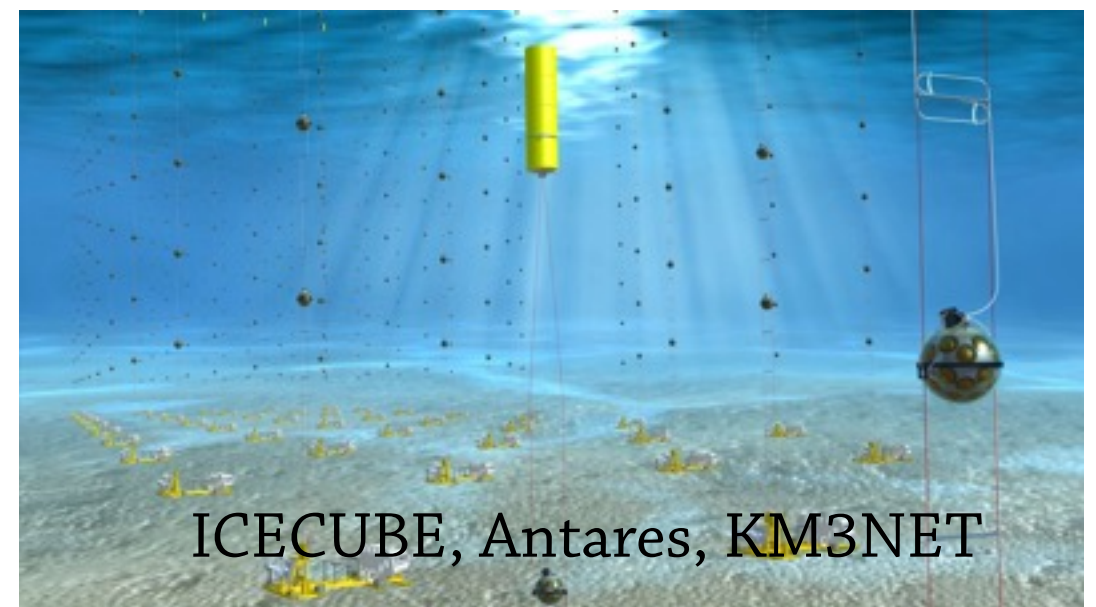
Cons

- Low statistics

Large ($\sim \text{km}^3$) detector underground using sea or ice as target/detector



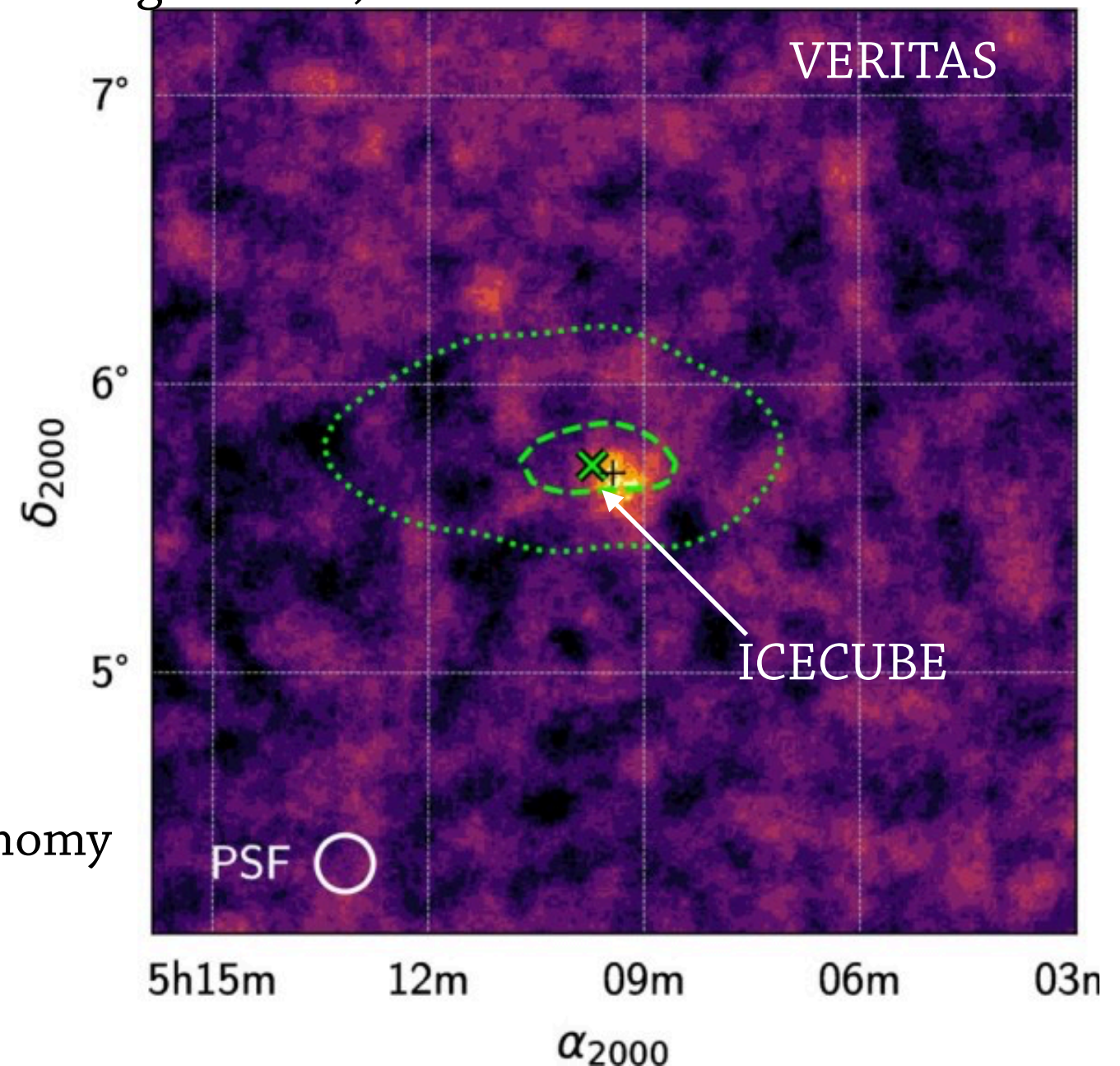
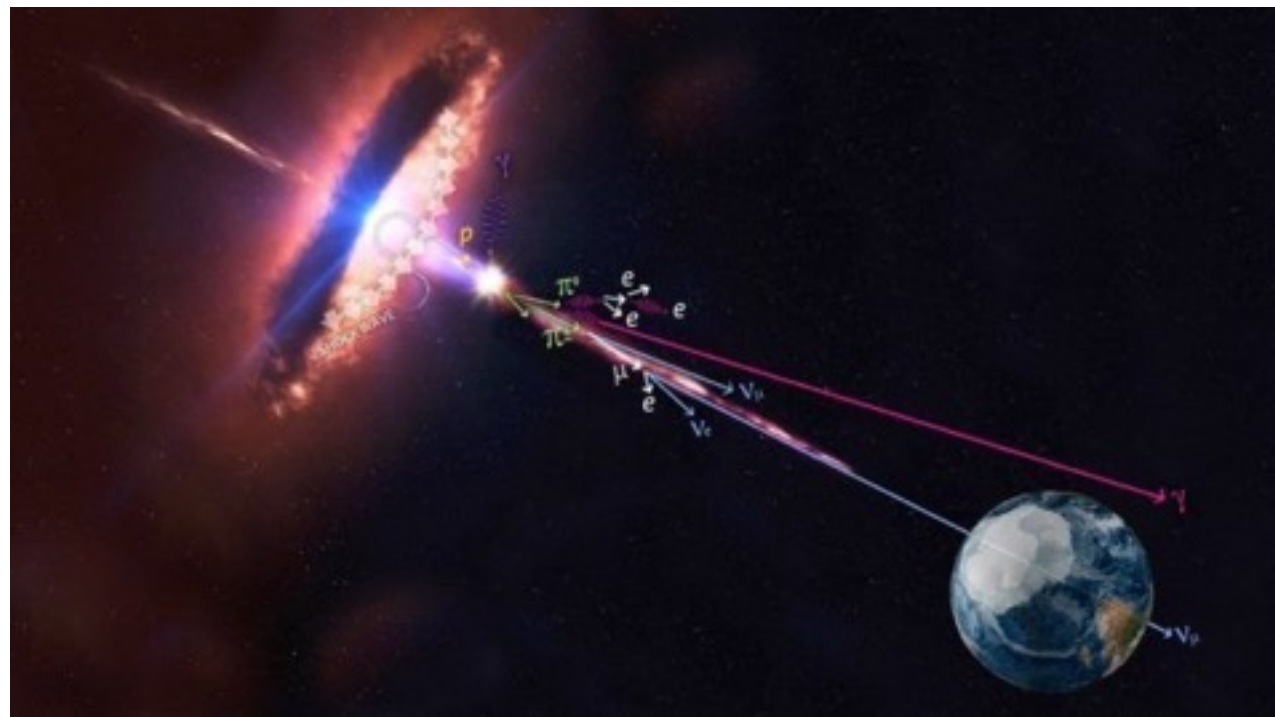
No specific source found yet



Neutrino astronomy : tomorrow

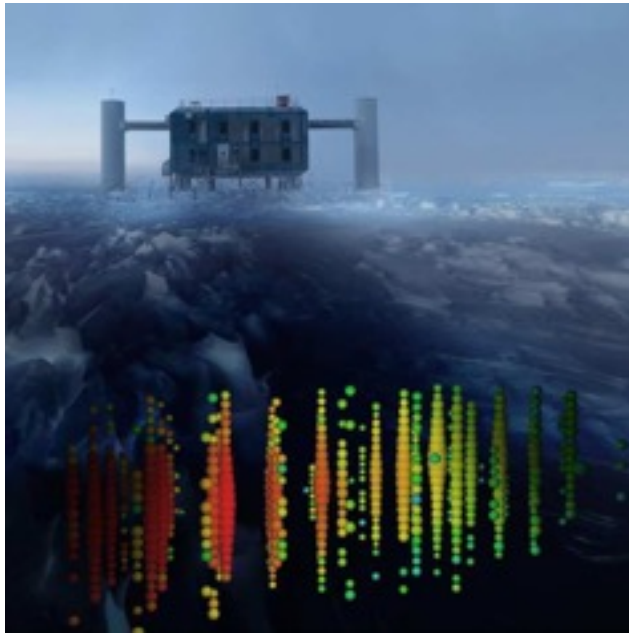
On September 22nd 2017 : **Simultaneous** light & neutrino detection from the TXS 0506+056 blazar

(blazar = Active Galactic Nucleus with one jet pointing to earth)



- 1st case of planned **multi-messenger** astronomy
- Confirmed that blazar emits neutrinos
- Next event with gravitational wave ?

Astro- ν



ν mass



Geo- ν



Multi-messenger



Cosmology



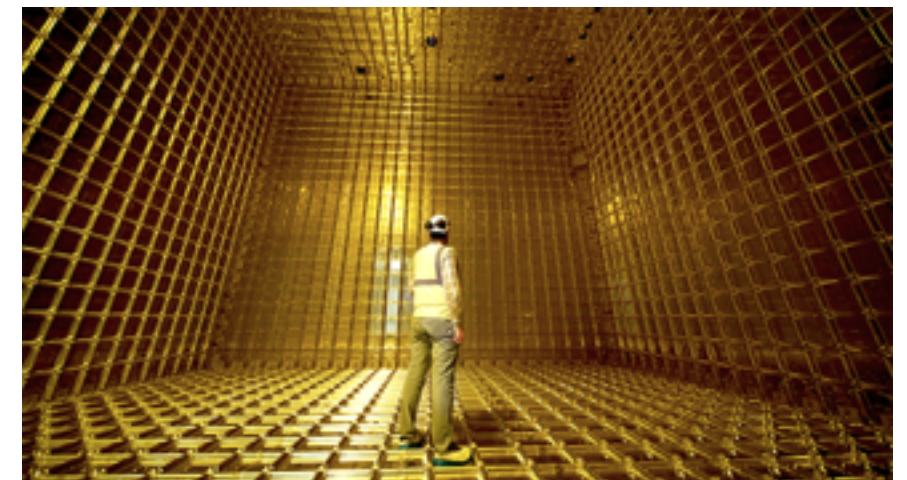
Sterile- ν



$\beta\beta 0\nu$

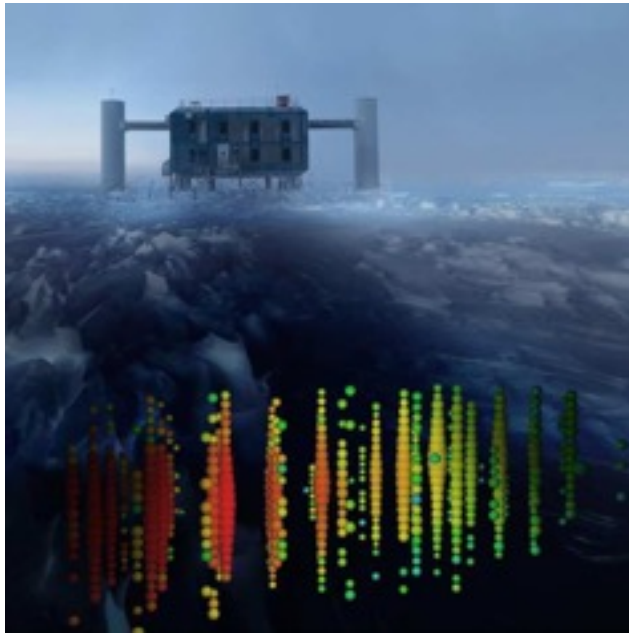


Oscillations



ν
physics

Astro- ν



ν mass



Geo- ν



Multi-messenger

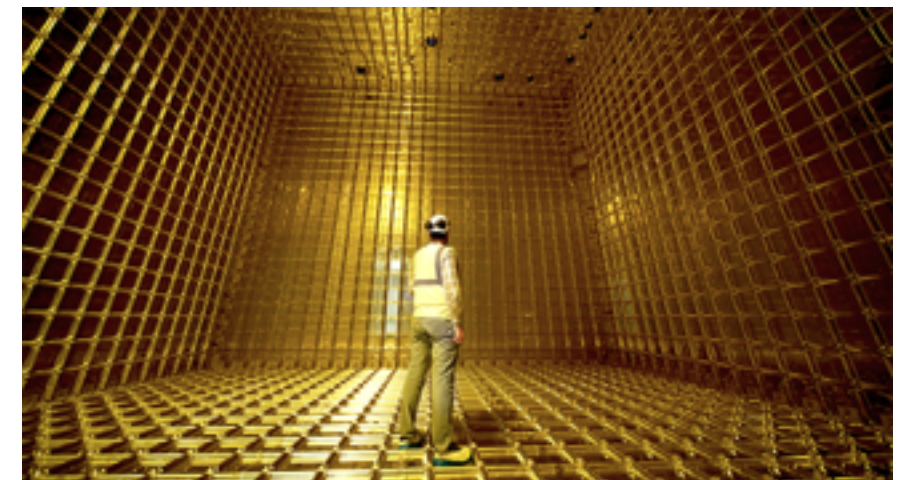


Cosmology



ν
physics

Oscillations



Sterile- ν



$\beta\beta 0\nu$

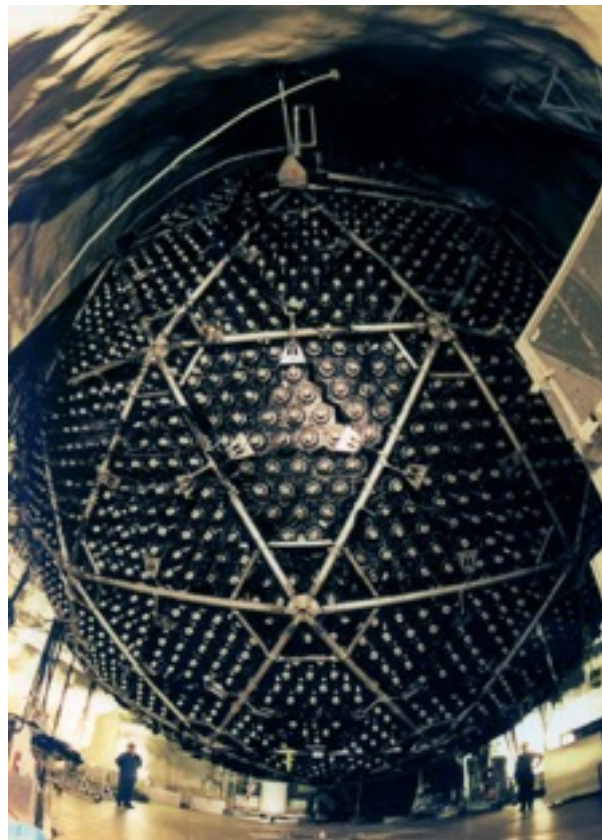
JRJC 2018



$\beta\beta 0\nu$ experiments

Different Techniques

Liquid Scintillator



SNO+
KamLAND-zen

Bolometers



CUORE
Cupid
Gerda

Tracko-Calo



NEMO-3
SuperNEMO

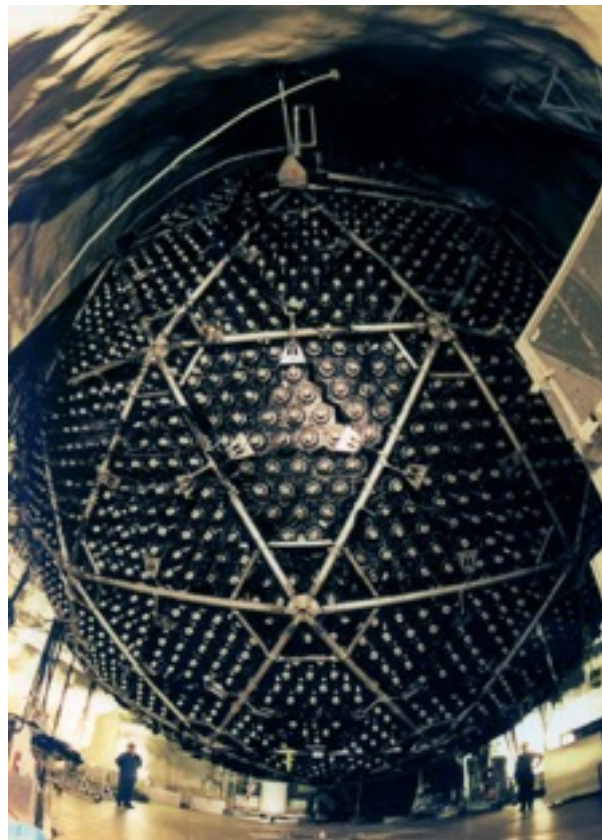
Next ?

NEXT
LiquidO

$\beta\beta 0\nu$ experiments

Different Techniques

Liquid Scintillator



SNO+
KamLAND-zen

Bolometers



CUORE
Cupid
Gerda

Tracko-Calo



NEMO-3
SuperNEMO

CLOÉ
HICHEM
AXEL

Next ?

NEXT
LiquidO

AXEL