

New Physics in Flavor Physics

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Flavor Physics

Quarks	u up	c charm	t top
	d down	s strange	b bottom
Leptons	ν_e e- Neutrino	ν_μ μ - Neutrino	ν_τ τ - Neutrino
	e electron	μ muon	τ tau
	I	II	III

The Generations of Matter

In the Standard Model

- ✗ Gauge sector entirely fixed by symmetry

$$i\bar{\psi}\not{D}\psi \quad D_{\mu} = \partial_{\mu} - ig_s t_a A_{\mu}^a - ig\mathbf{T} \cdot \mathbf{W}_{\mu} - ig'\frac{Y}{2}B_{\mu}$$

- ✗ Flavor sector loose (a bunch of parameters)
13 of 19 are fermion masses and q.mixing parameters

Quarks	u up	c charm	t top
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	e electron	μ muon	τ tau
	I	II	III
	The Generations of Matter		

We know

fermions come in 3 generations

$$\begin{pmatrix} \nu_e & u \\ e & d' \end{pmatrix} \quad \begin{pmatrix} \nu_\mu & c \\ \mu & s' \end{pmatrix} \quad \begin{pmatrix} \nu_\tau & t \\ \tau & b' \end{pmatrix}$$

$$\left\{ \begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, (\nu_e)_R, e_R^- \right\}, \left\{ \begin{pmatrix} u \\ d' \end{pmatrix}_L, u_R, d_R \right\}$$

✗ All generations interact equally with gauge bosons

✗ Neutral currents:

$$eQ_f \bar{f} \gamma_\mu f A^\mu, \quad \frac{e}{2s_W c_W} \bar{f} \gamma_\mu (v_f - a_f \gamma_5) f Z^\mu$$

✗ Charged currents:

$$\frac{g}{2\sqrt{2}} \bar{\nu}_\ell \gamma_\mu (1 - \gamma_5) \ell W^{\dagger\mu}, \quad \frac{g}{2\sqrt{2}} \bar{u} \gamma_\mu (1 - \gamma_5) d W^{\dagger\mu}$$

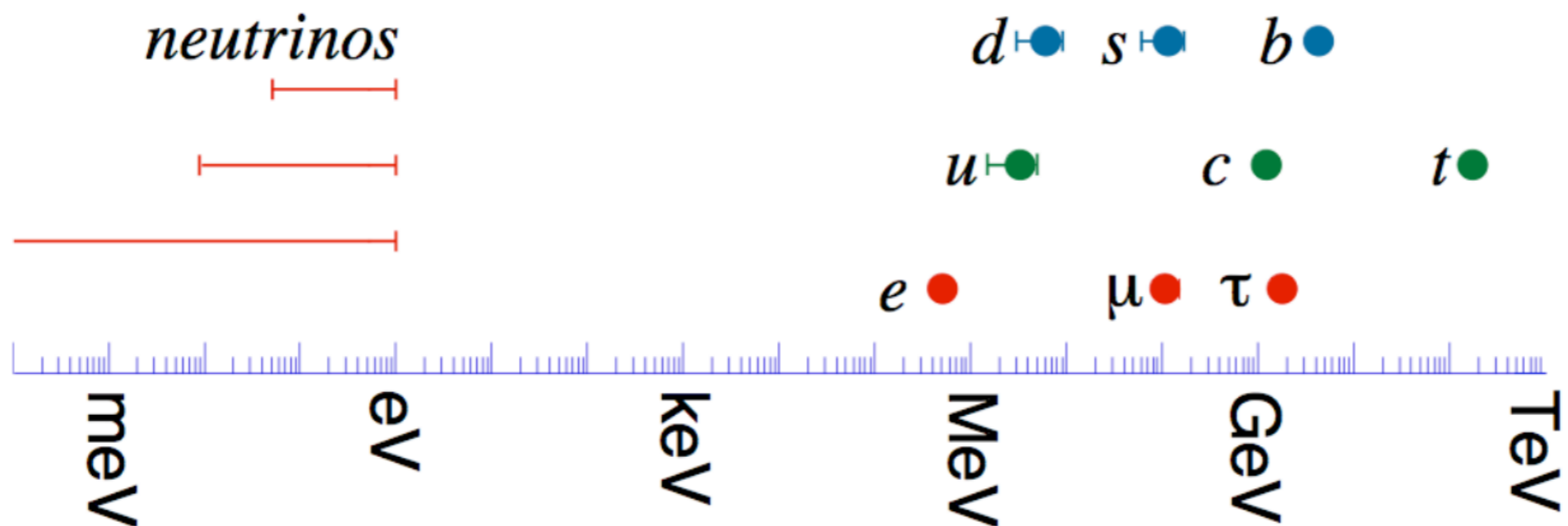
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I II III			
The Generations of Matter			

We know

- ✗ P and C broken by weak int. but CP is a symmetry (1 gen)
- ✗ Going from the gauge to mass basis

$$\mathcal{L}_Y^{\text{SM}} = -Y_d^{ij} \bar{Q}_L^i \phi D_R^j - Y_u^{ij} \bar{Q}_L^i \tilde{\phi} U_R^j + \text{h.c.}$$

$$\mathcal{L}_Y^{\text{SM}} = - \left(1 + \frac{h}{v} \right) [m_d \bar{d}d + m_u \bar{u}u + m_e \bar{e}e]$$



We know

- ✗ P and C broken by weak int. but CP is a symmetry (1 gen)
- ✗ Going from the gauge to mass basis

$$\mathcal{L}_Y^{\text{SM}} = -Y_d^{ij} \bar{Q}_L^i \phi D_R^j - Y_u^{ij} \bar{Q}_L^i \tilde{\phi} U_R^j + \text{h.c.}$$

$$\mathcal{L}_Y^{\text{SM}} = - \left(1 + \frac{h}{v} \right) [m_d \bar{d}d + m_u \bar{u}u + m_e \bar{e}e]$$

- ✗ With 3 gen trickier - cannot simultaneously diagonalize u and d — mixing: CKM matrix
- ✗ V_{CKM} unitary \Rightarrow 3 real parameters + 1 phase (CPV!)

λ A ρ η

CKM-ology

λ A ρ η

$$V_{CKM} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

$$\lambda = \sin \theta_C \approx 0.224 \quad A \simeq 0.82 \quad \sqrt{\rho^2 + \eta^2} \approx 0.45$$

- ✗ Fix CKM entries through tree level processes; over constrain by loop-induced ones
- ✗ V_{CKM} unitary \Rightarrow 3 real parameters + 1 phase (CPV!)

Example : Kaon physics

Tree level decays

hadronic uncertainty!

$$K \rightarrow \pi \ell \nu \longleftrightarrow \langle \pi | \bar{s} \gamma_\mu u | K \rangle \rightarrow f_{0,+}(q^2)$$

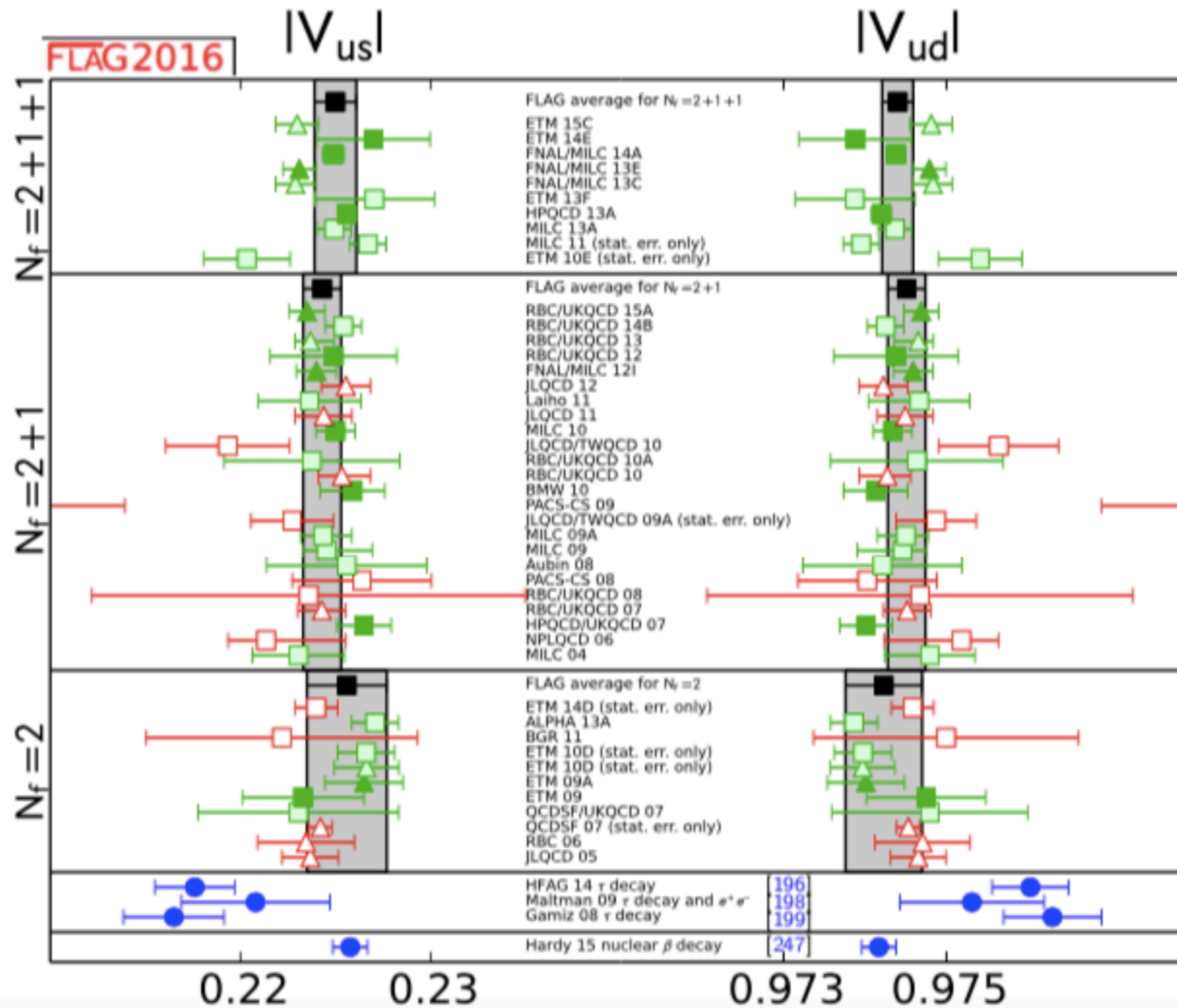
$$K \rightarrow \mu \nu \longleftrightarrow \langle 0 | \bar{s} \gamma_\mu \gamma_5 u | K \rangle \rightarrow f_K$$

f_K / f_π

Nonperturbative QCD - symmetries help (eg. Ademollo-Gatto) but ultimately needs LQCD

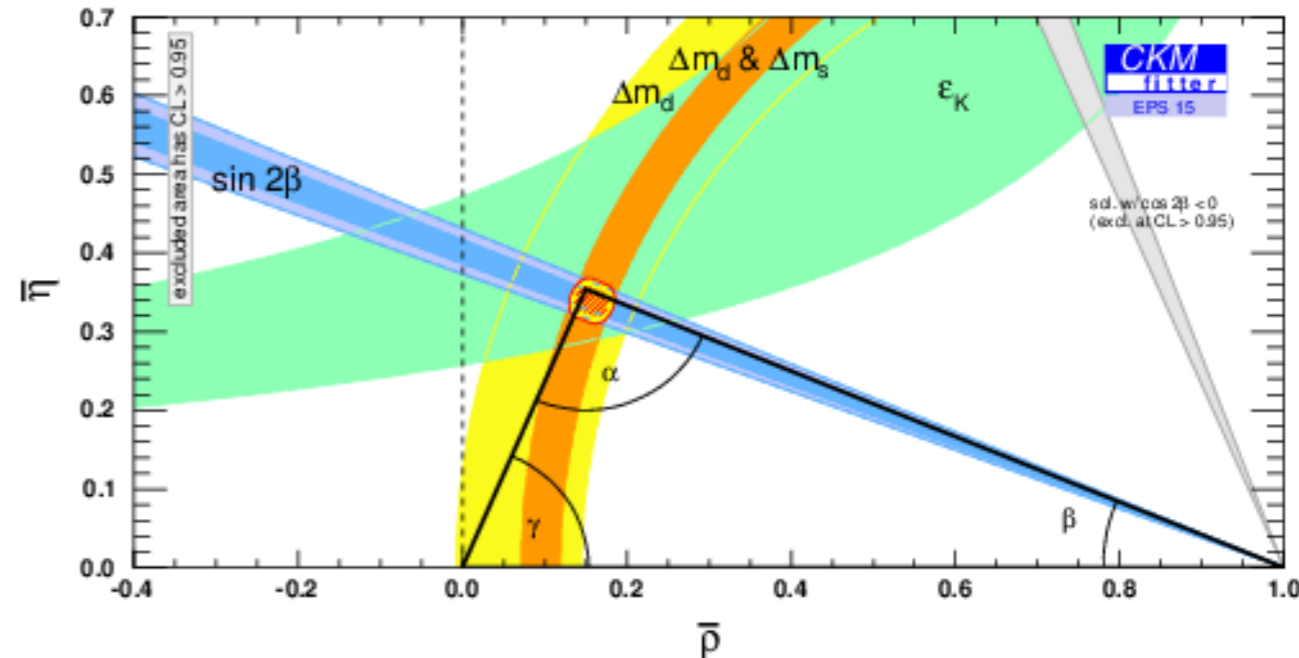
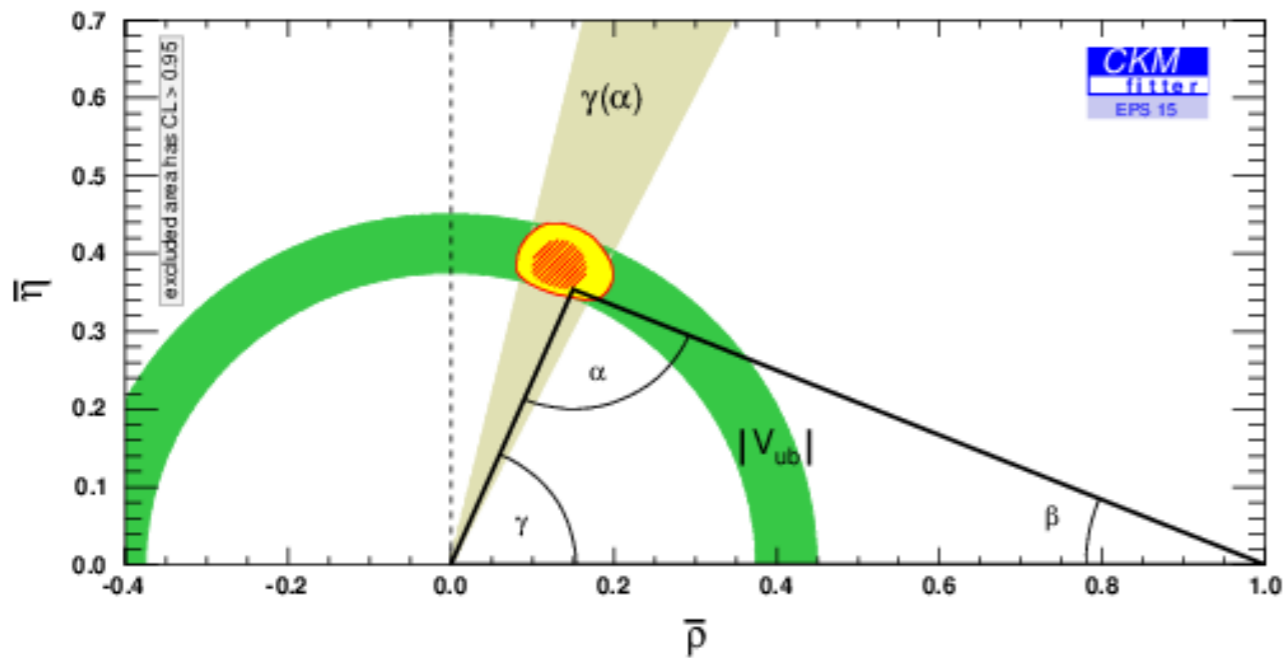
Huge coordinated effort! (cf. FLAG review - new to appear soon — towards the end of 2018)

LQCD

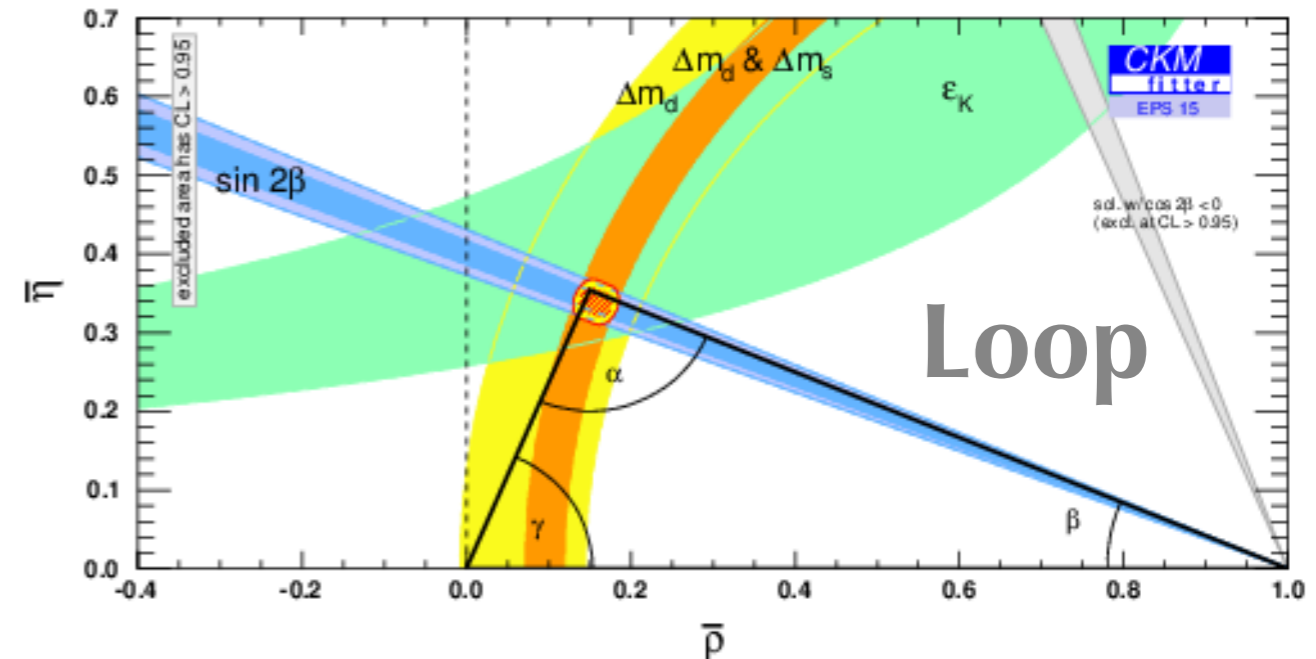
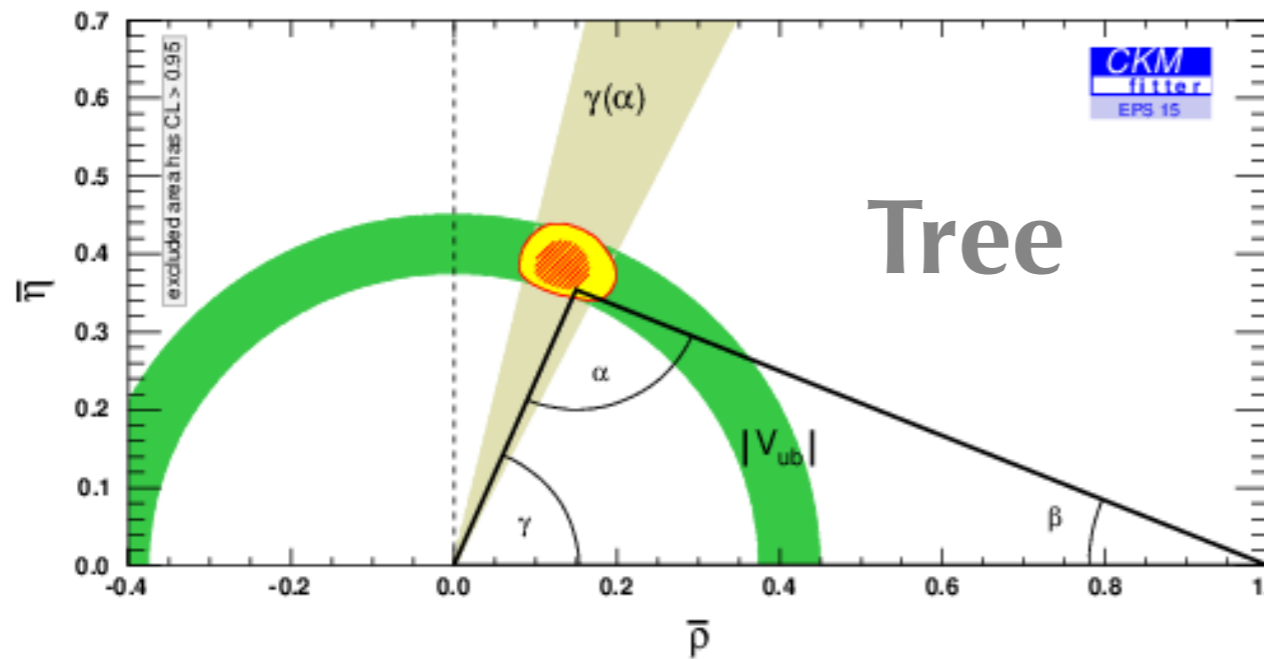


Experiments

- ✗ K-factories u,d,s [NA62, KOTO]
- ✗ Tau-charm τ, c [BES III]
- ✗ B-factory b,c, τ [Belle II]
- ✗ LHC
- ✗ LC
- ✗ νF



CKM



Impressively — TL UT and LP UT agree to less than 10%

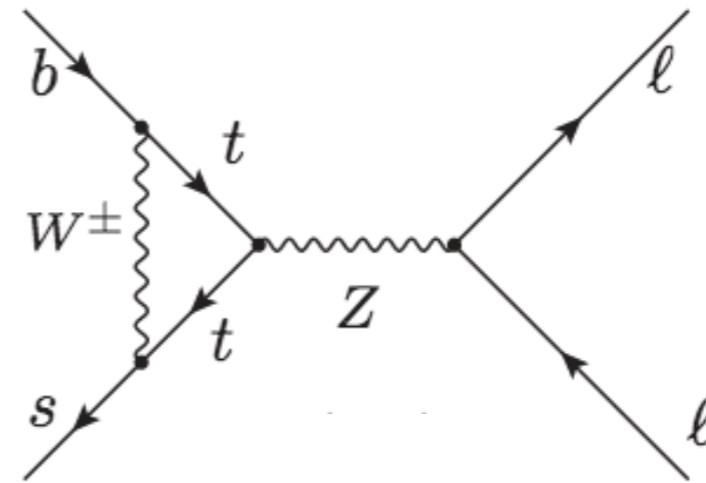
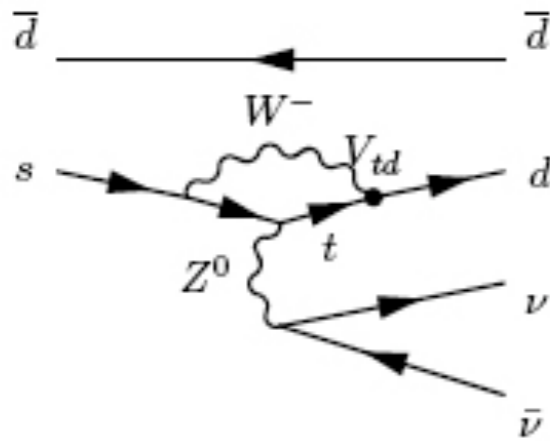
[Experiment will do better! Lattices will do better too!]

Only tensions in V_{ub} and V_{cb} (inclusive Vs. exclusive) but all in all, CKM is very unitary!

2008, Nobel Prize

Strategy:

fix V_{ij} by tree level processes, then look for NP in FCNC



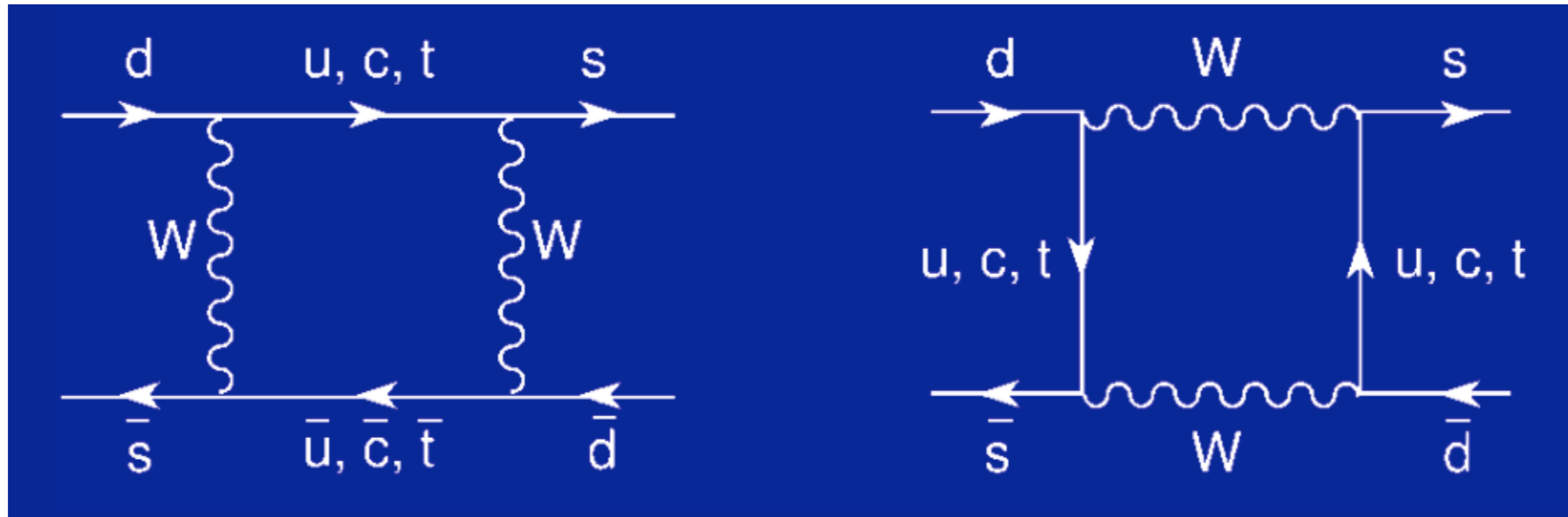
$$\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)_{\text{theo.}} = 3.34 \left(\begin{matrix} +13 \\ -25 \end{matrix} \right) \times 10^{-9} \quad \mathcal{B}(B_s \rightarrow \mu^+ \mu^-)_{\text{LHCb+CMS}} = 2.9(7) \times 10^{-9}$$

C_{ij}	1	$V_{ti} V_{tj}^*$
$B_s \rightarrow \mu^+ \mu^-$	$> 10 \text{ TeV}$	$> 2.5 \text{ TeV}$
$K \rightarrow \pi \nu \bar{\nu}$	$> 100 \text{ TeV}$	$> 1.8 \text{ TeV}$

$$O = \frac{1}{\Lambda^2} C_{ij} \bar{Q}_i \gamma^\mu Q_j H^\dagger D_\mu H$$

Strategy:

fix V_{ij} by tree level processes, then look for NP in FCNC



$$O = \frac{1}{\Lambda^2} C'_{ij} \bar{Q}_i \gamma^\mu Q_j \bar{Q}_i \gamma_\mu Q_j$$

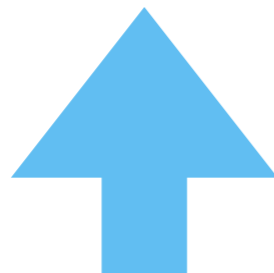
C'_{ij}	1	$ V_{ti} V_{tj}^* ^2$
$K^0 - \bar{K}^0$	$> 2 \times 10^4 \text{ TeV}$	$> 8 \text{ TeV}$
$B^0 - \bar{B}^0$	$> 0.5 \times 10^4 \text{ TeV}$	$> 5 \text{ TeV}$
$B_s^0 - \bar{B}_s^0$	$> 0.1 \times 10^4 \text{ TeV}$	$> 5 \text{ TeV}$

Flavor puzzle

C_{ij}	1	$V_{ti}V_{tj}^*$
$B_s \rightarrow \mu^+\mu^-$	$> 10 \text{ TeV}$	$> 2.5 \text{ TeV}$
$K \rightarrow \pi\nu\bar{\nu}$	$> 100 \text{ TeV}$	$> 1.8 \text{ TeV}$

- For natural $C \sim O(1)$, NP scale is huge
- Need lots of fine tuning to reduce NP scale to $O(1 \text{ TeV})$ as needed to mend the hierarchy problem
- Way out: NP is (almost) aligned with the SM
- MFV

C'_{ij}	1	$ V_{ti}V_{tj}^* ^2$
$K^0 - \bar{K}^0$	$> 2 \times 10^4 \text{ TeV}$	$> 8 \text{ TeV}$
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MFV

To protect quark flavor mixing BSM, assume flavor symmetry is the one present in the limit of vanishing Yukawa's, $U(3)^3$, and that two quark Yukawa, Y_u and Y_d , are the only symmetry breaking and CP violating terms

$$\mathcal{L}_Y^{\text{SM}} = -Y_d^{ij} \bar{Q}_L^i \phi D_R^j - Y_u^{ij} \bar{Q}_L^i \tilde{\phi} U_R^j + \text{h.c.}$$

Promote Y_u and Y_d to non-dynamical fields. Higher dim operators made of SM fields and Y_{ud} .

Eigenvalues of Y_{ud} small except for top, off-diagonal elements suppressed $\implies [Y_u (Y_u)^\dagger]_{i \neq j}^n \approx y_t^{2n} V_{ti}^* V_{tj}$

Questions and progress

- ✗ Why is there a flavor? Why families? Why 3?
- ✗ Why such a strong hierarchy?
- ✗ Why quark mixing is small (and lepton mixing is large)?
- ✗ Why is there quark alignment?
- ✗ How to solve strong CP-problem? [Peccei-Quinn elegant solution, but where are axions?]
- ✗ Need CPV in quark and lepton sector for BAU
- ✗ Does the scalar sector play a non-trivial role in the questions of flavor?
- ✗ Work to figure out a symmetry which imposed on SM+2HDM provides a structure of Yukawas such that there is no FCNC at tree-level and their strength controlled by CKM (!)

LHC era

Before LHC was switched on we expected

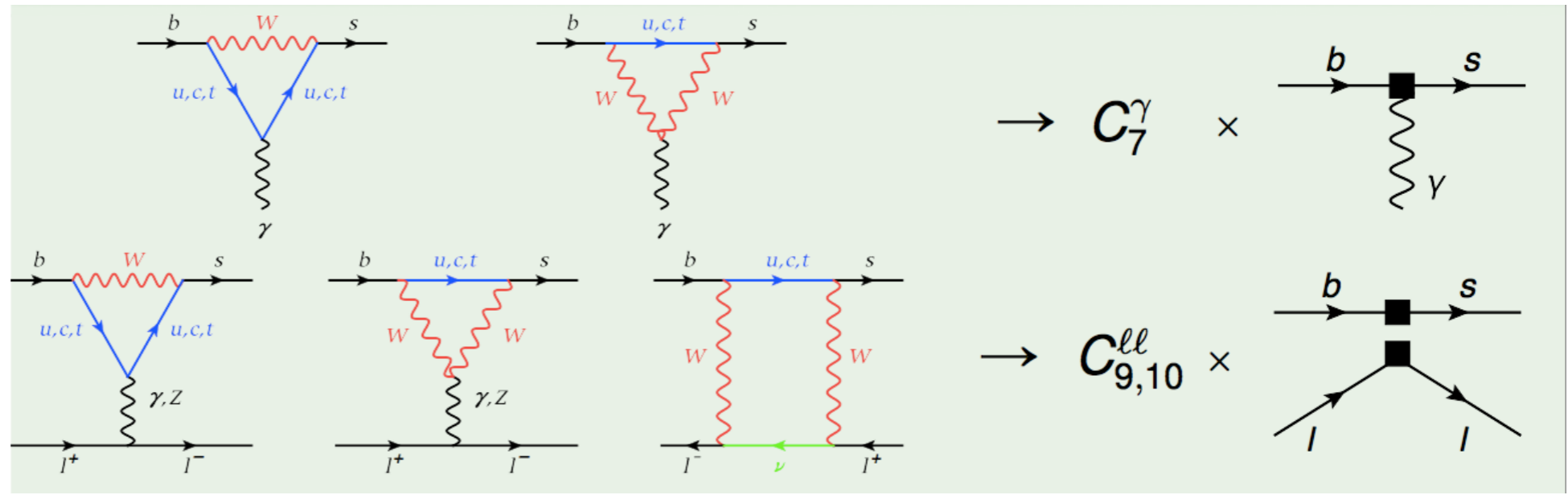
- (a) exciting physics in direct searches with many new resonances at TeV scale
- (b) boring but useful flavor physics

After the first two runs at LHC we got

- (a) slightly boring direct searches with no new resonance at TeV scale
- (b) exciting flavor physics

b → **s** anomalies

Basics



$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}}V_{tb}V_{ts}^* \left[C_1\mathcal{O}_1 + C_2\mathcal{O}_2 + \sum_{i=3}^6 C_i\mathcal{O}_i + \sum_{i=7,8,9,10,P,S} (C_i\mathcal{O}_i + C'_i\mathcal{O}'_i) \right]$$

$$\mathcal{O}_7 = \frac{e}{g^2}m_b(\bar{s}\sigma_{\mu\nu}P_R b)F^{\mu\nu},$$

$$\mathcal{O}'_7 = \frac{e}{g^2}m_b(\bar{s}\sigma_{\mu\nu}P_L b)F^{\mu\nu},$$

$$\mathcal{O}_8 = \frac{1}{g}m_b(\bar{s}\sigma_{\mu\nu}T^a P_R b)G^{\mu\nu a},$$

$$\mathcal{O}'_8 = \frac{1}{g}m_b(\bar{s}\sigma_{\mu\nu}T^a P_L b)G^{\mu\nu a},$$

$$\mathcal{O}_9 = \frac{e^2}{g^2}(\bar{s}\gamma_\mu P_L b)(\bar{\mu}\gamma^\mu \mu),$$

$$\mathcal{O}'_9 = \frac{e^2}{g^2}(\bar{s}\gamma_\mu P_R b)(\bar{\mu}\gamma^\mu \mu),$$

$$\mathcal{O}_{10} = \frac{e^2}{g^2}(\bar{s}\gamma_\mu P_L b)(\bar{\mu}\gamma^\mu \gamma_5 \mu),$$

$$\mathcal{O}'_{10} = \frac{e^2}{g^2}(\bar{s}\gamma_\mu P_R b)(\bar{\mu}\gamma^\mu \gamma_5 \mu),$$

$$C_7^{\text{eff}} = \frac{4\pi}{\alpha_s} C_7 - \frac{1}{3} C_3 - \frac{4}{9} C_4 - \frac{20}{3} C_5 - \frac{80}{9} C_6$$

$$C_8^{\text{eff}} = \frac{4\pi}{\alpha_s} C_8 + C_3 - \frac{1}{6} C_4 + 20C_5 - \frac{10}{3} C_6$$

$$C_9^{\text{eff}} = \frac{4\pi}{\alpha_s} C_9 + Y(q^2) \quad \leftarrow \text{!!!}$$

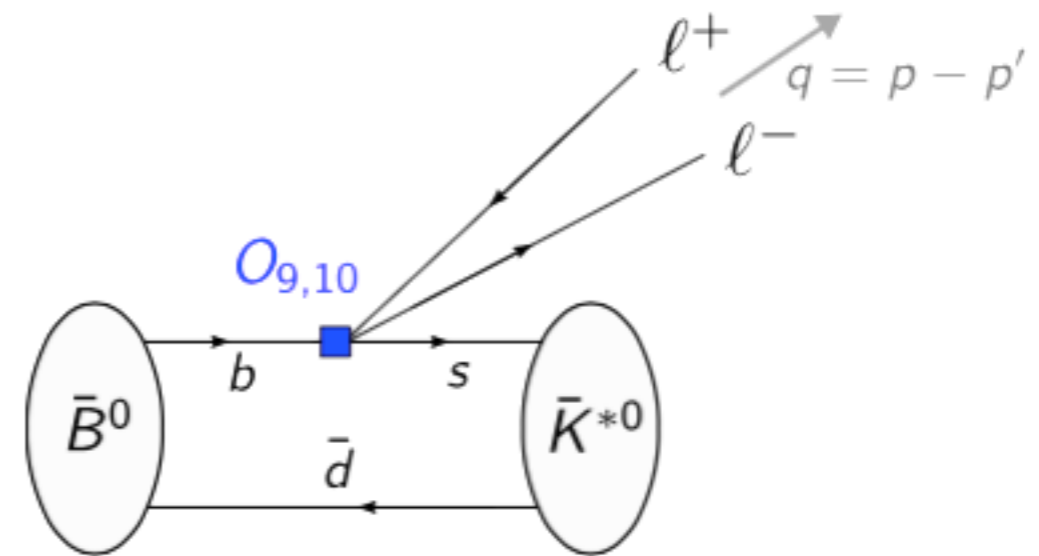
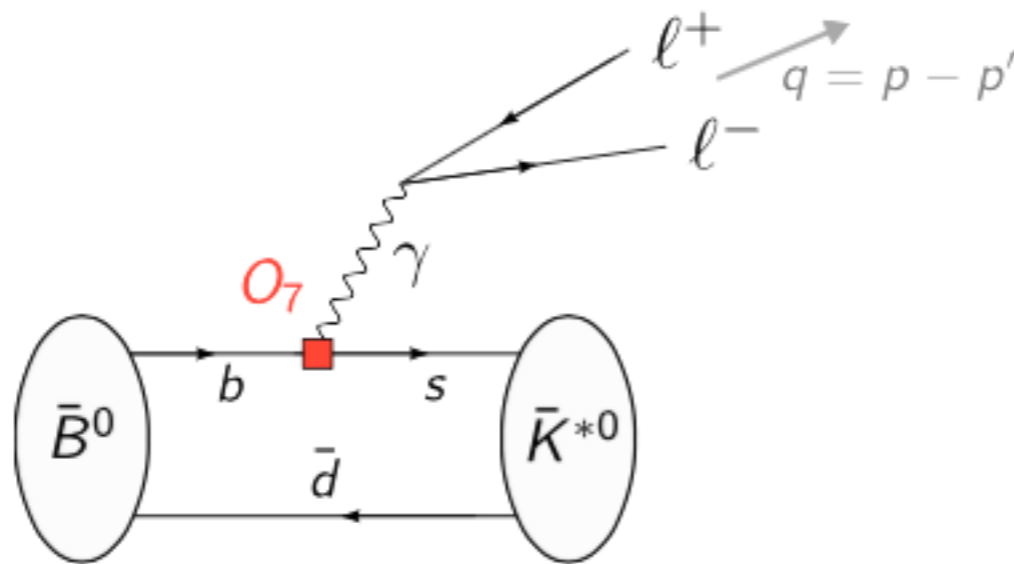
$$Y(q^2) = \frac{4}{3} C_3 + \frac{64}{9} C_5 + \frac{64}{27} C_6 - \frac{1}{2} h(q^2, 0) \left(C_3 + \frac{4}{3} C_4 + 16C_5 + \frac{64}{3} C_6 \right) \\ + h(q^2, m_c) \left(\frac{4}{3} C_1 + C_2 + 6C_3 + 60C_5 \right) - \frac{1}{2} h(q^2, m_b) \left(7C_3 + \frac{4}{3} C_4 + 76C_5 + \frac{64}{3} C_6 \right)$$

Very slowly varying functions of q^2

$$\mathcal{M} = \frac{G_F \alpha}{\sqrt{2}\pi} V_{tb} V_{ts}^* \left[(\mathcal{A}_\mu + \mathcal{T}_\mu) \bar{u}_\ell \gamma^\mu v_\ell + \mathcal{B}_\mu \bar{u}_\ell \gamma^\mu \gamma_5 v_\ell \right],$$

$$\mathcal{A}_\mu = -\frac{2m_b}{q^2} q^\nu C_7 \langle K^* | \bar{s} i\sigma_{\mu\nu} \frac{1+\gamma_5}{2} b | B \rangle + C_9 \langle K^* | \bar{s} \gamma_\mu \frac{1-\gamma_5}{2} b | B \rangle$$

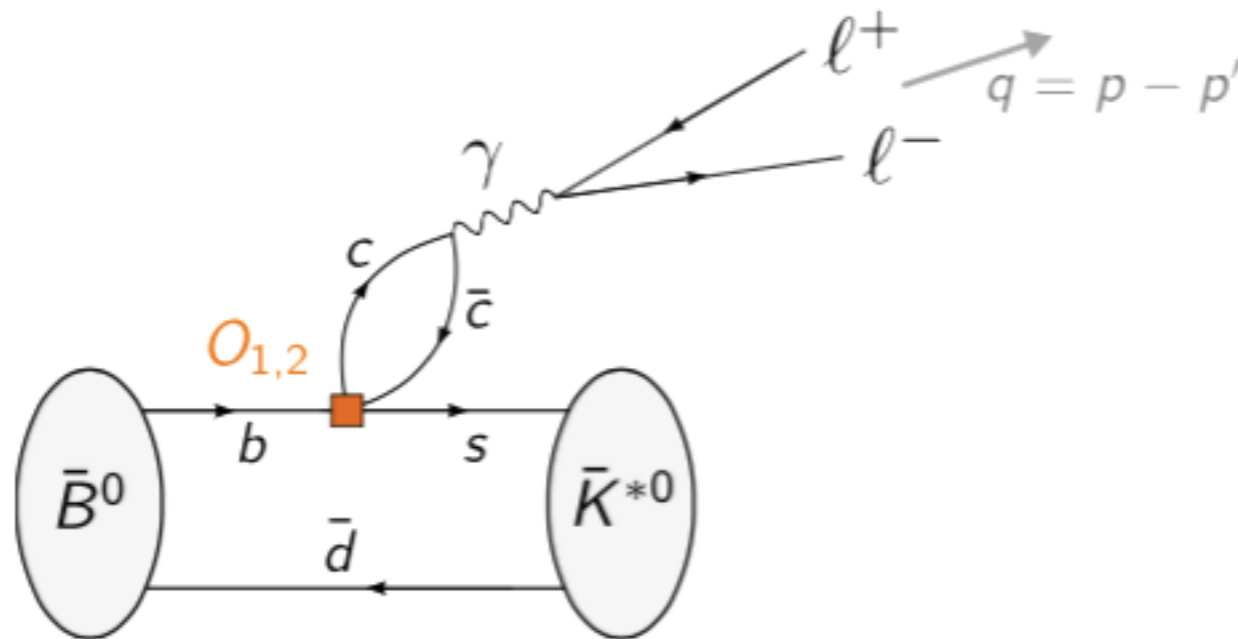
$$\mathcal{B}_\mu = C_{10} \langle K^* | \bar{s} \gamma_\mu \frac{1-\gamma_5}{2} b | B \rangle$$



Can be and are computed on the lattice

$$\mathcal{M} = \frac{G_F \alpha}{\sqrt{2}\pi} V_{tb} V_{ts}^* \left[(\mathcal{A}_\mu + \mathcal{T}_\mu) \bar{u}_e \gamma^\mu v_e + \mathcal{B}_\mu \bar{u}_e \gamma^\mu \gamma_5 v_e \right],$$

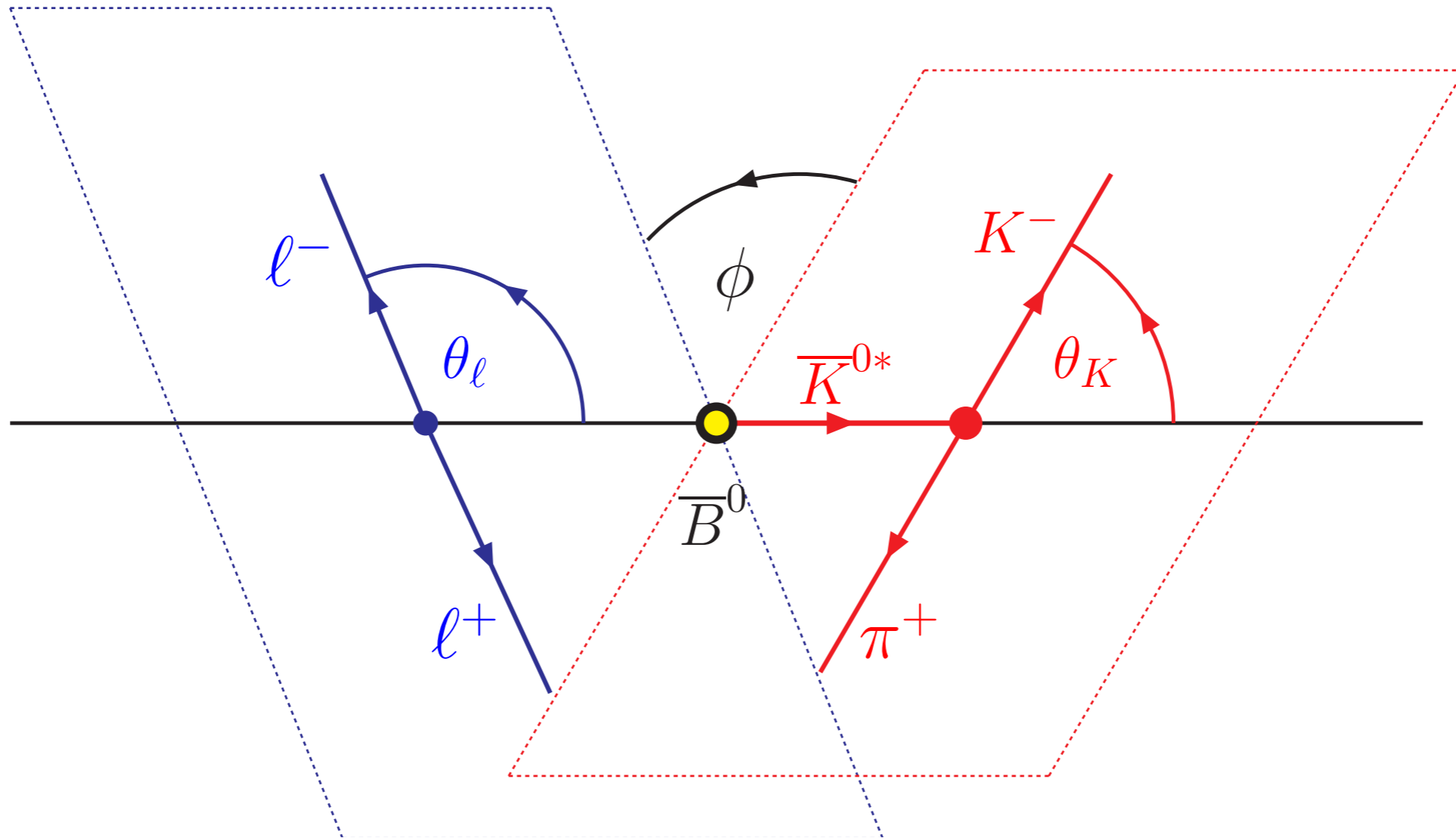
$$\mathcal{T}_\mu = \frac{-16i\pi^2}{q^2} \sum_{i=1\dots 6;8} C_i \int d^4x e^{iq \cdot x} \langle K^* | T O_i(0) j_\mu(x) | B \rangle$$



Cannot be computed on the lattice
 - work either at very low or very high q^2

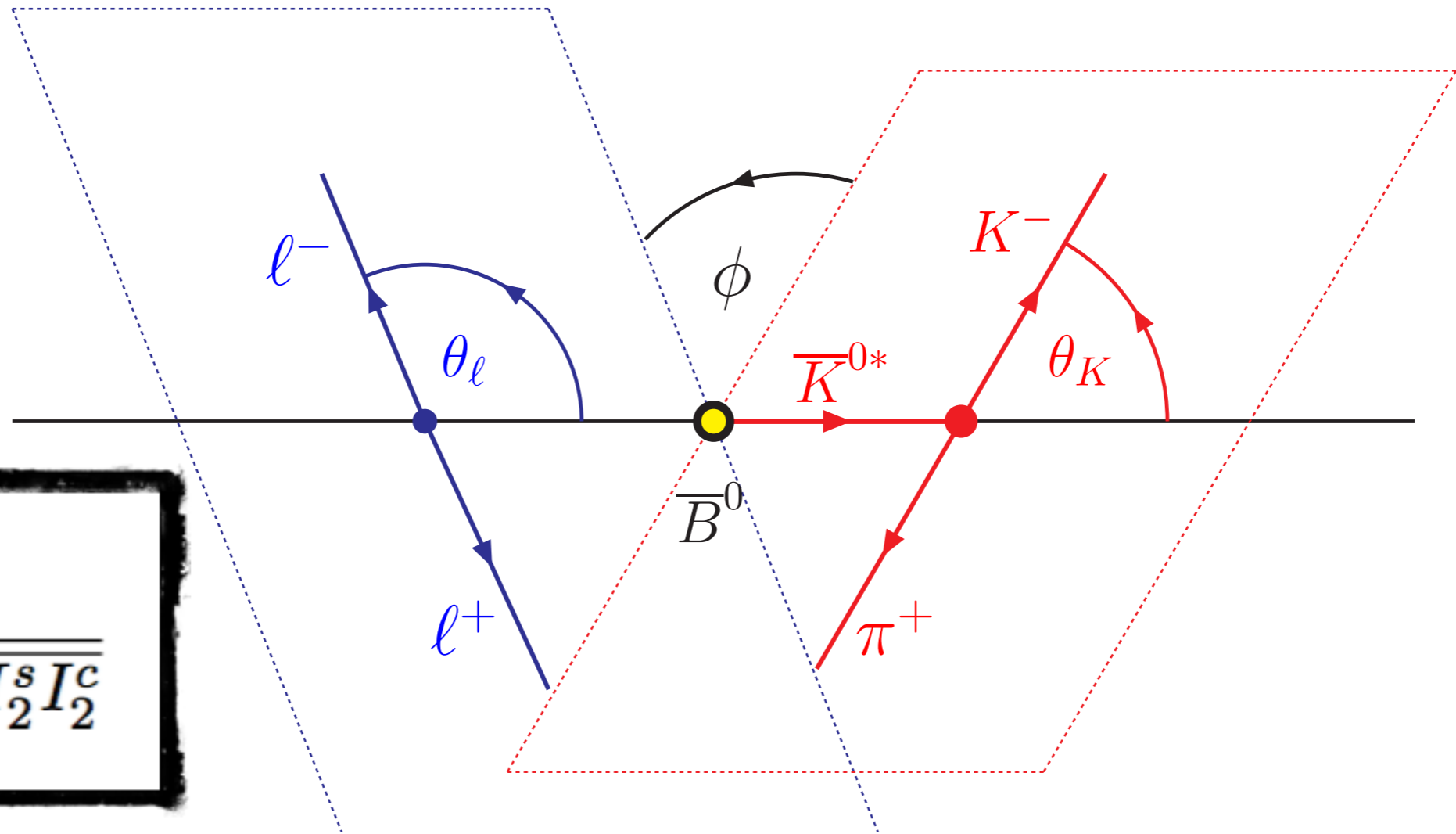
Better sensitivity to NP:

$$B \rightarrow K^* \ell^+ \ell^-$$



$$\frac{d^4\Gamma(\bar{B}^0 \rightarrow \bar{K}^{*0} \ell^+ \ell^-)}{dq^2 d\cos\theta_\ell d\cos\theta_K d\phi} = \frac{9}{32\pi} I(q^2, \theta_\ell, \theta_K, \phi)$$

Full decay distribution



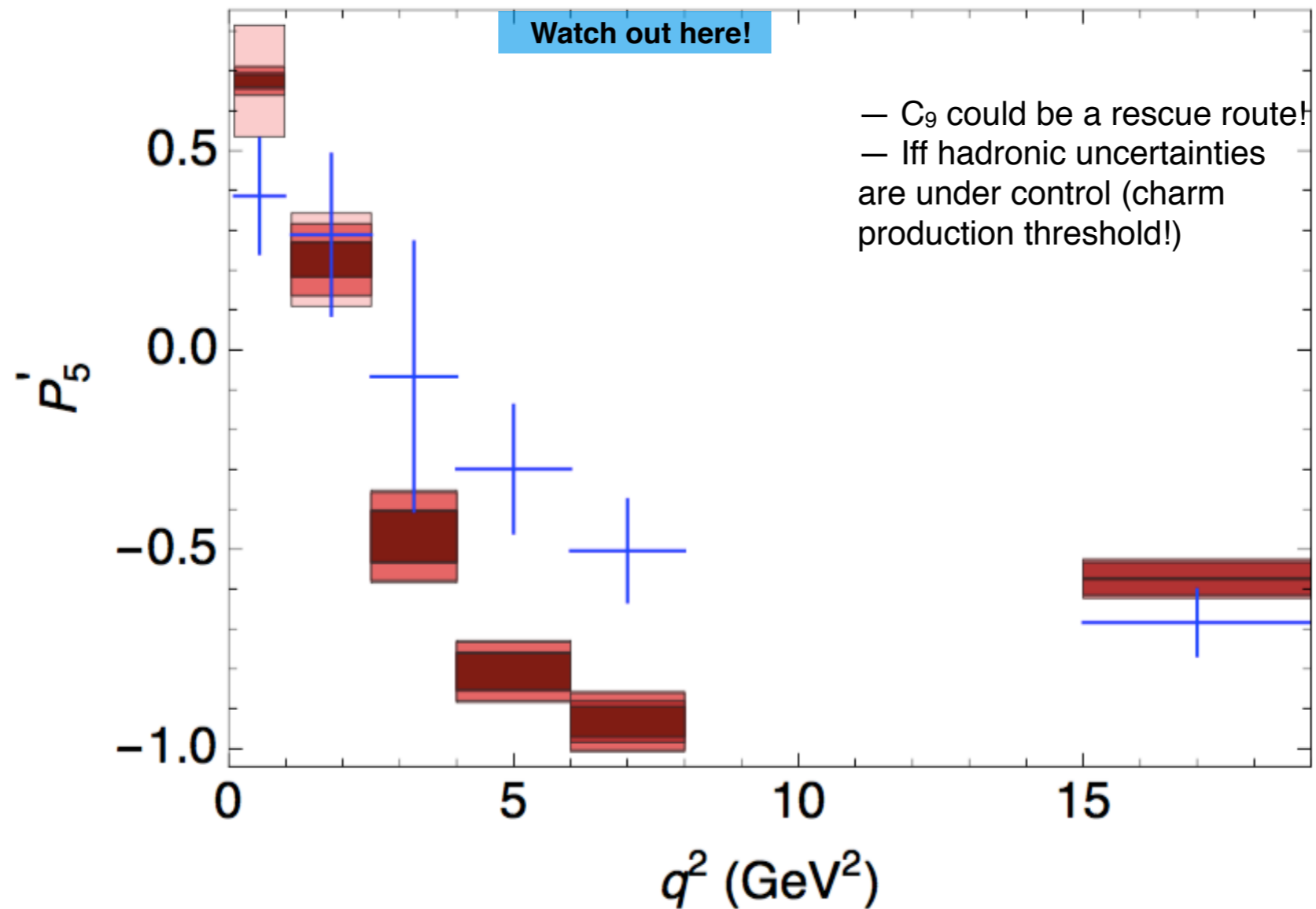
$$P'_5 = \frac{I_5}{\sqrt{-4I_2^s I_2^c}}$$



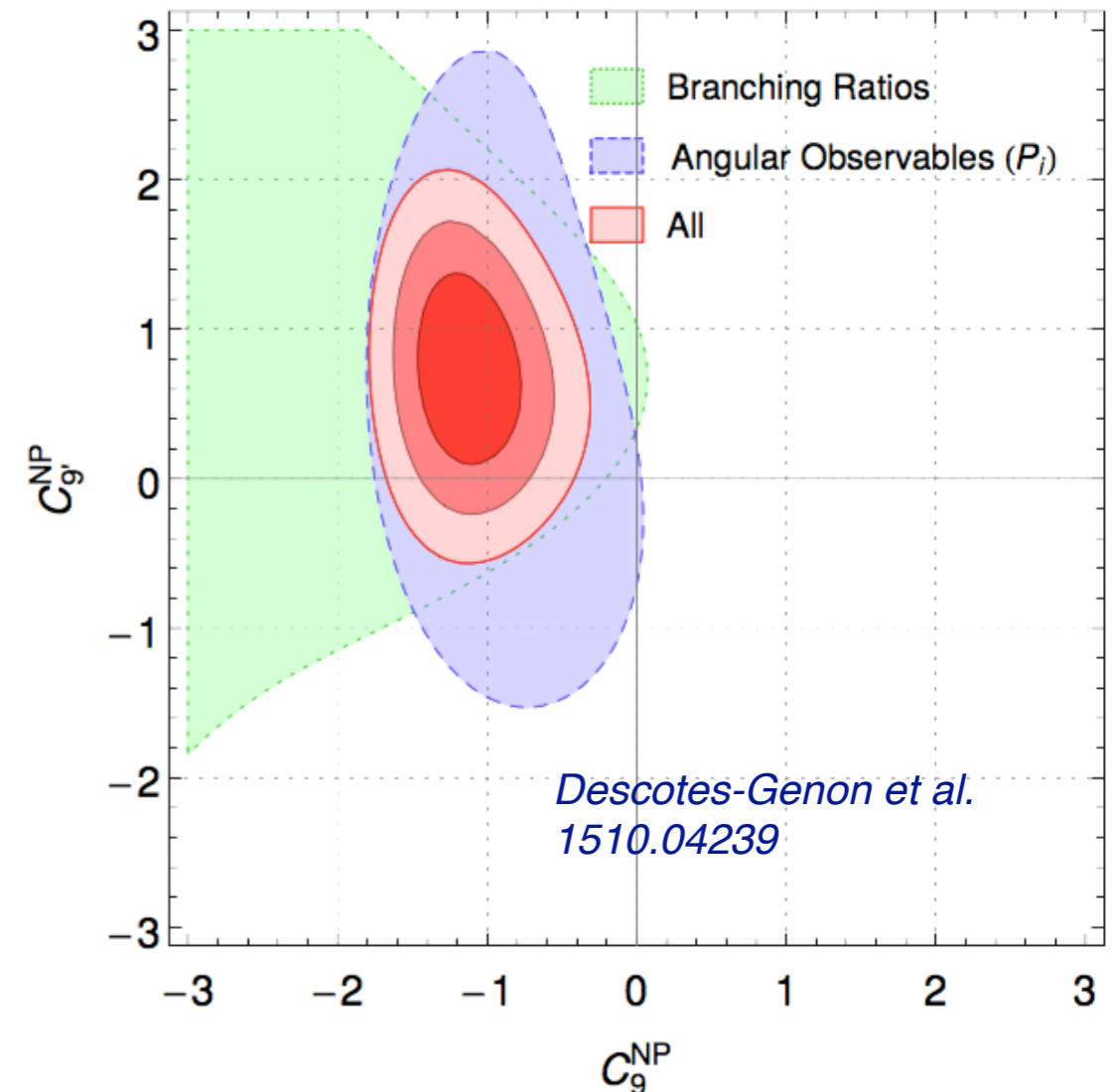
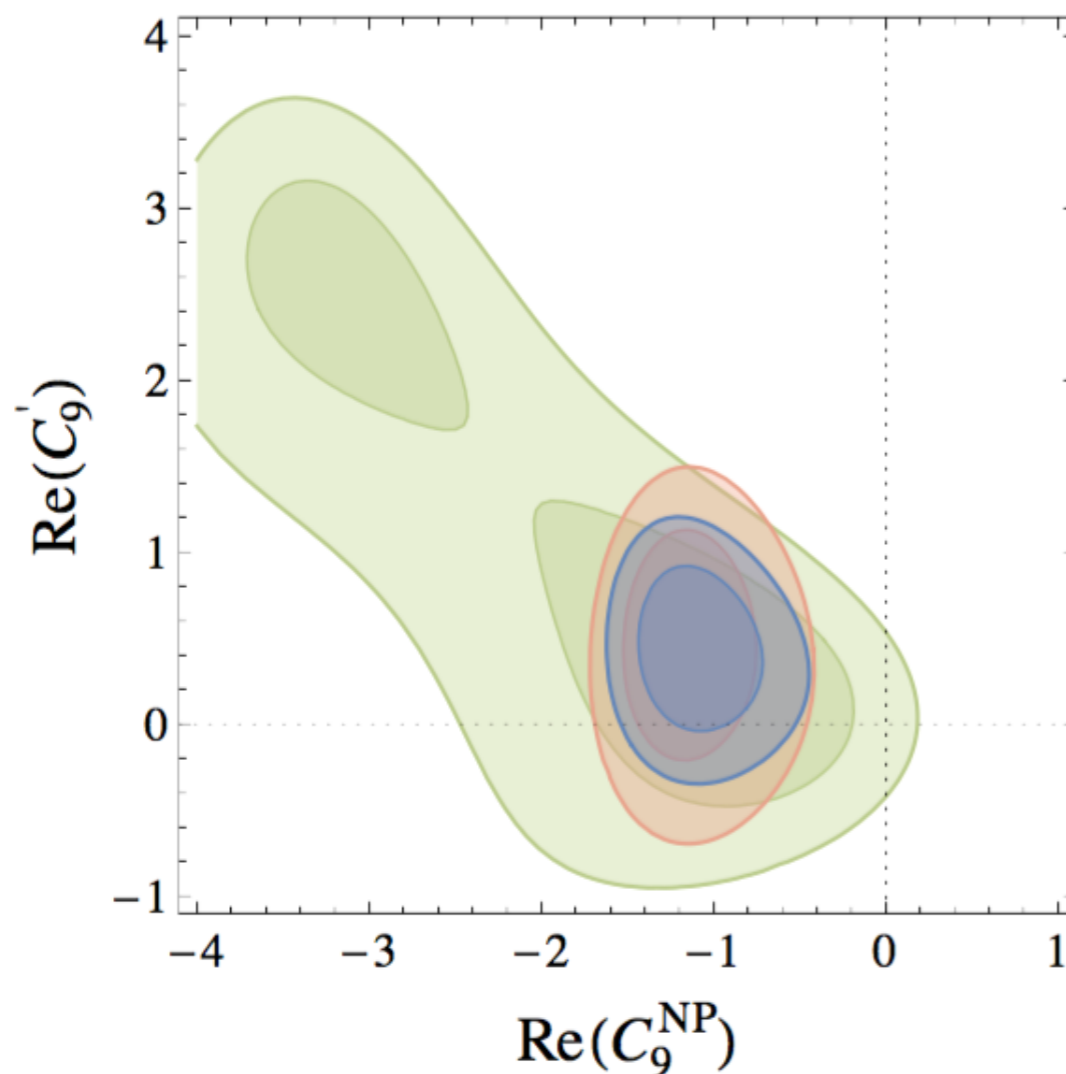
$$\begin{aligned}
 I(q^2, \theta_\ell, \theta_K, \phi) = & I_1^s(q^2) \sin^2 \theta_K + I_1^c(q^2) \cos^2 \theta_K + [I_2^s(q^2) \sin^2 \theta_K + I_2^c(q^2) \cos^2 \theta_K] \cos 2\theta_\ell \\
 & + I_3(q^2) \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi + I_4(q^2) \sin 2\theta_K \sin 2\theta_\ell \cos \phi \\
 & + I_5(q^2) \sin 2\theta_K \sin \theta_\ell \cos \phi \\
 & + [I_6^s(q^2) \sin^2 \theta_K + I_6^c(q^2) \cos^2 \theta_K] \cos \theta_\ell + I_7(q^2) \sin 2\theta_K \sin \theta_\ell \sin \phi \\
 & + I_8(q^2) \sin 2\theta_K \sin 2\theta_\ell \sin \phi + I_9(q^2) \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi
 \end{aligned}$$

$b \rightarrow s$ anomalies

2-3 σ deviation from SM [esp. P_5']

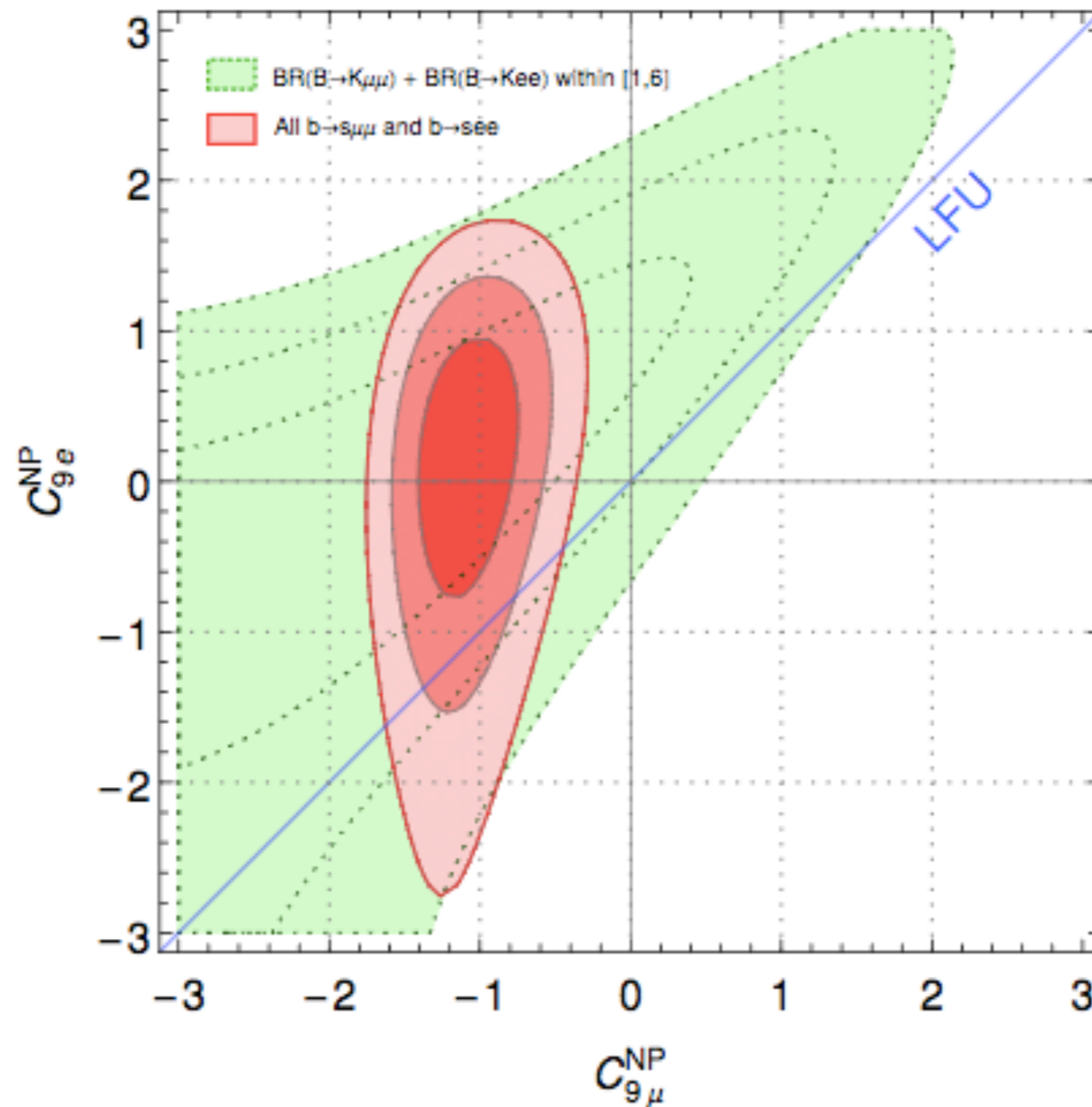


$b \rightarrow s$ anomalies



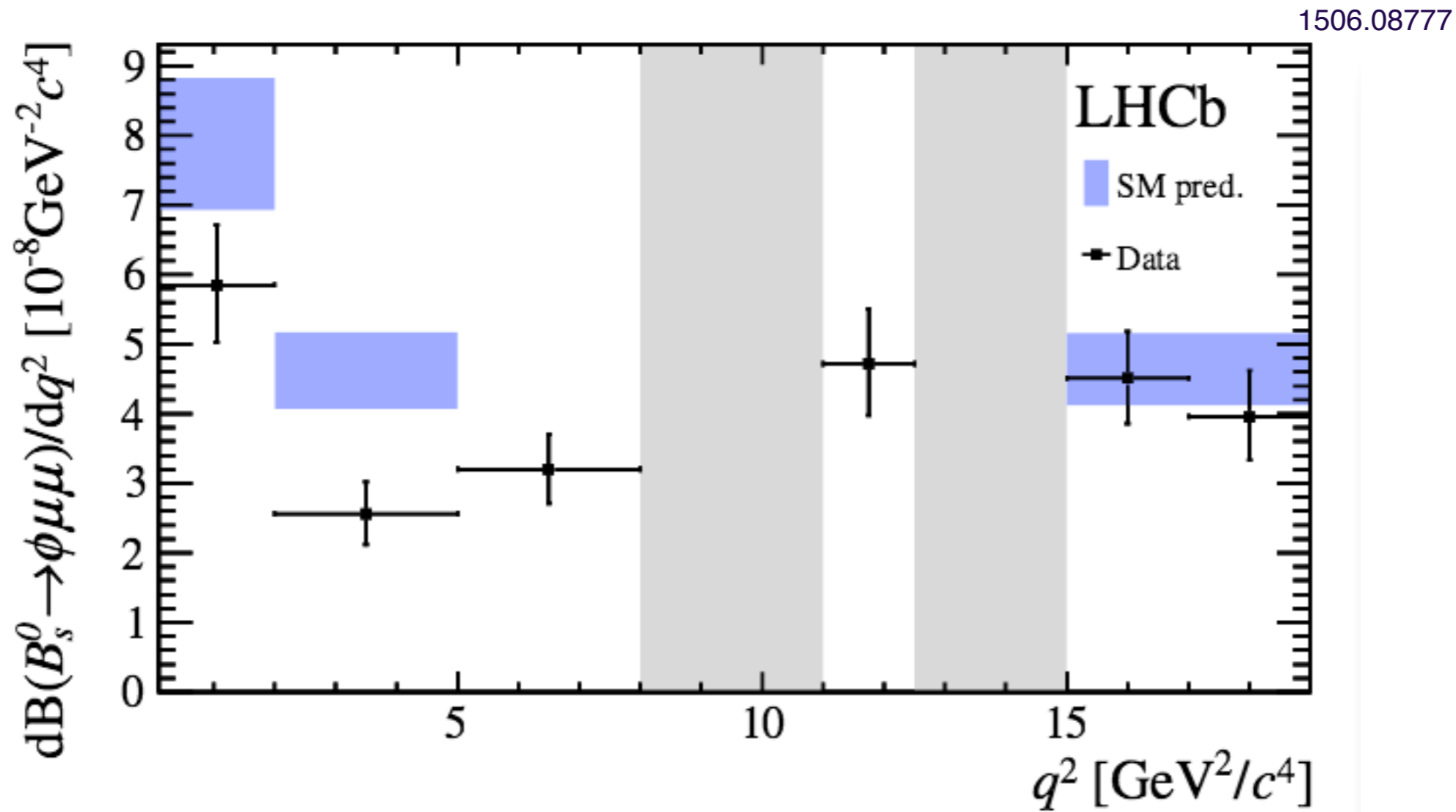
- Theory errors still subject to controversies.
- Some quantities are more sensitive to hadronic uncertainties than others (maybe sticking to the clean observables only?)
- Rome group claim the whole discrepancy can be absorbed into (unknown) power corrections due to charm loops.

Global analyses also suggest



$b \rightarrow s$ anomalies

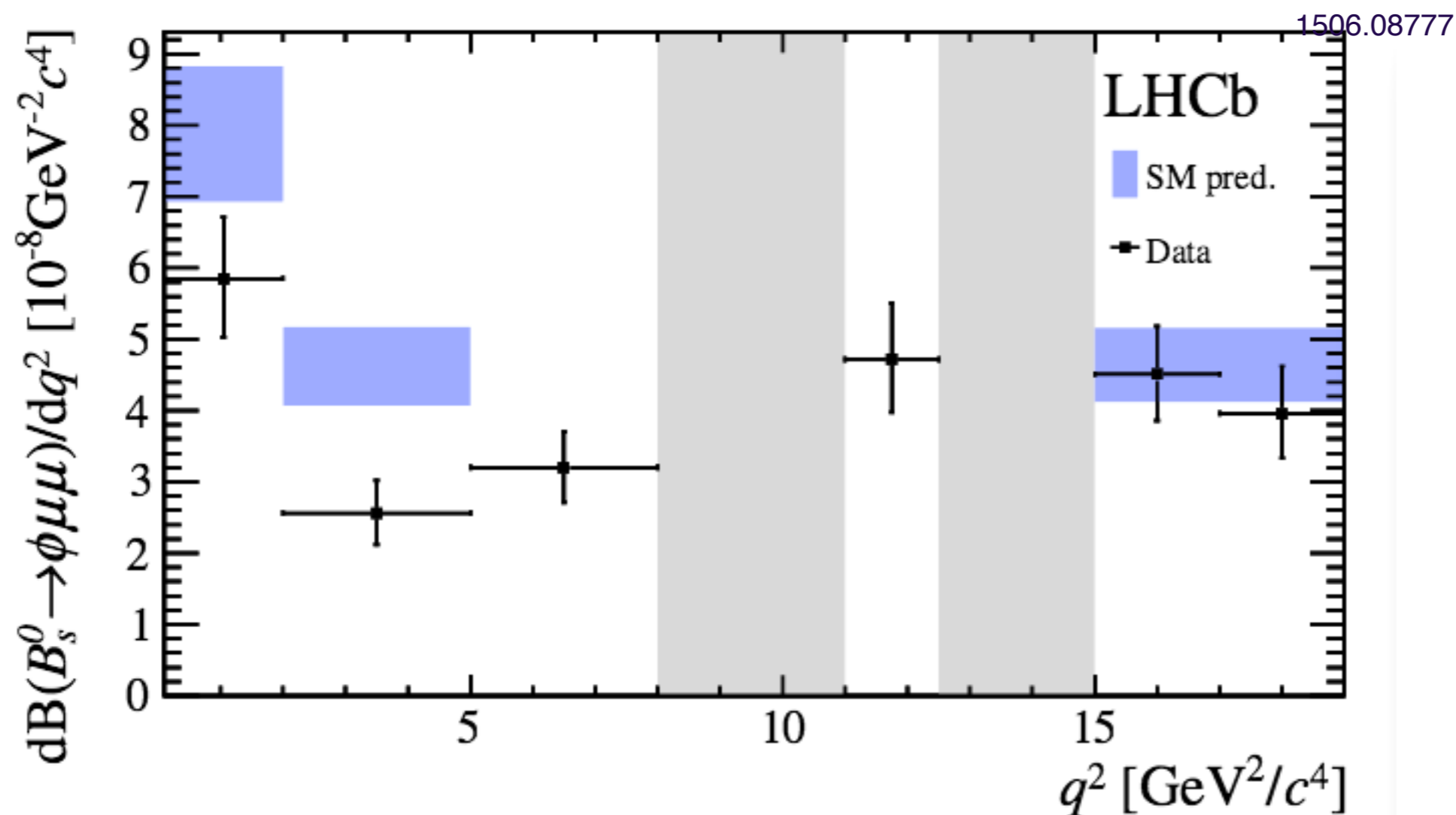
3.1σ in $B_s \rightarrow \phi \mu \mu$ below SM at low q^2



$$\mathcal{B}(B_s \rightarrow \phi \mu \mu)^{[1-6]} \rightarrow 0.26(4)_{\text{LHCb}} < 0.48(6)_{\text{SM}}$$

$b \rightarrow s$ anomalies

3.1σ in $B_s \rightarrow \phi \mu \mu$ below SM at low q^2



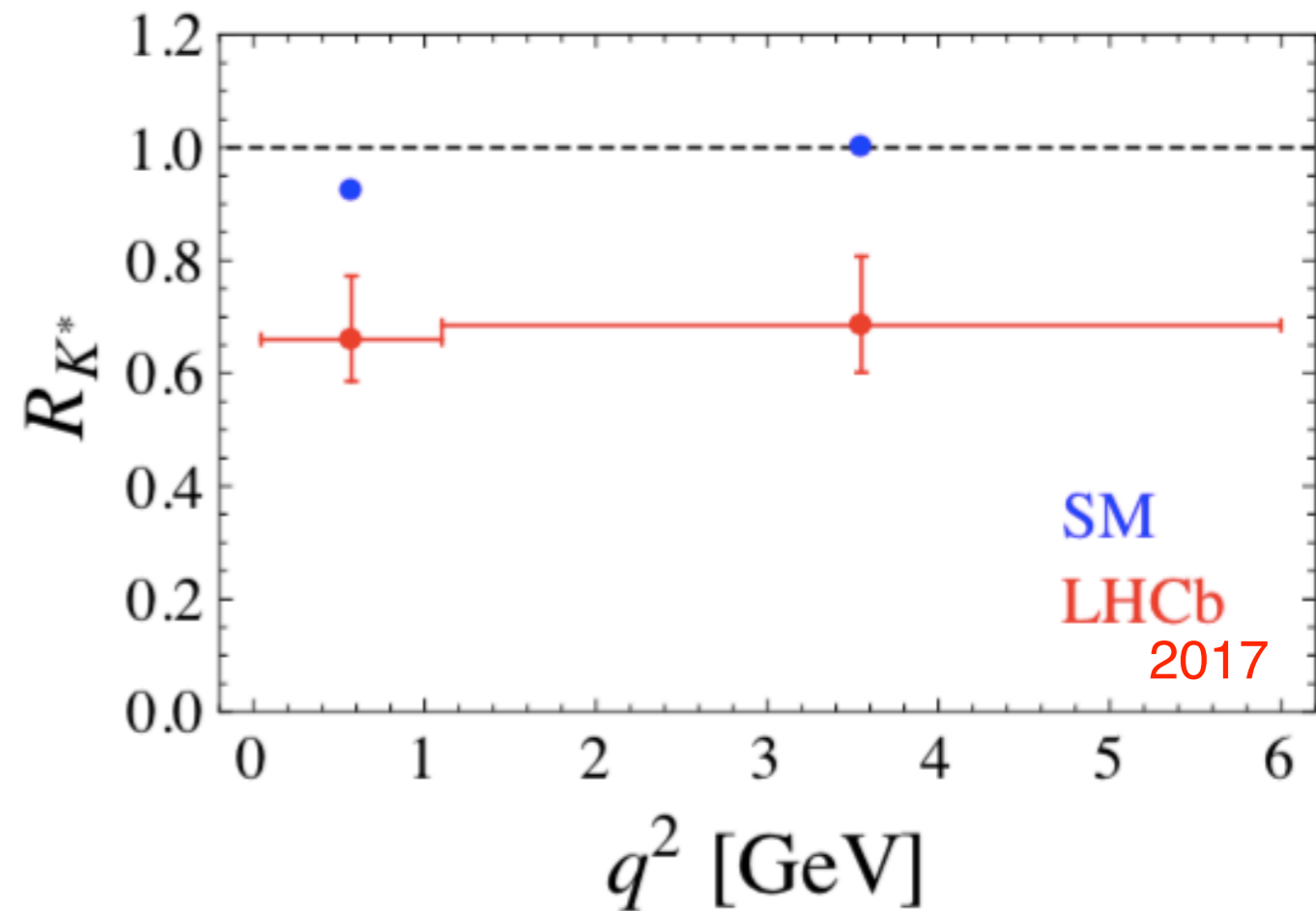
What is it? Statistical fluctuation? Hadronic uncertainties?
NP? Theory error - subject to controversies... If OK, then NP in C_9 could fill the gap between experiment and SM.

$R_K R_{K^*}$

$$R_{K^{(*)}} \equiv \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu \mu)}{\mathcal{B}(B \rightarrow K^{(*)} e e)} \Bigg|_{q^2 \in [1, 6] \text{ GeV}^2} \stackrel{\text{SM}}{=} 1.00(1)$$

$$R_K^{\text{exp}} = 0.745_{-0.074}^{+0.090}(\text{stat}) \pm 0.036(\text{syst})$$

2014



Fitting to clean observables

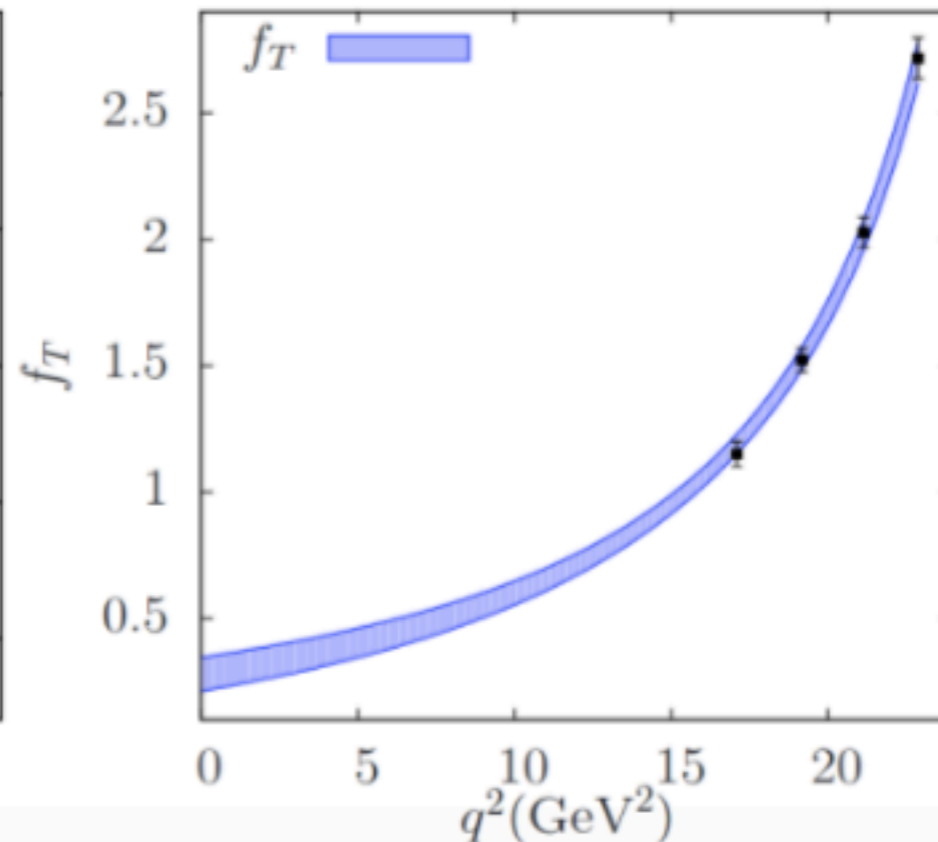
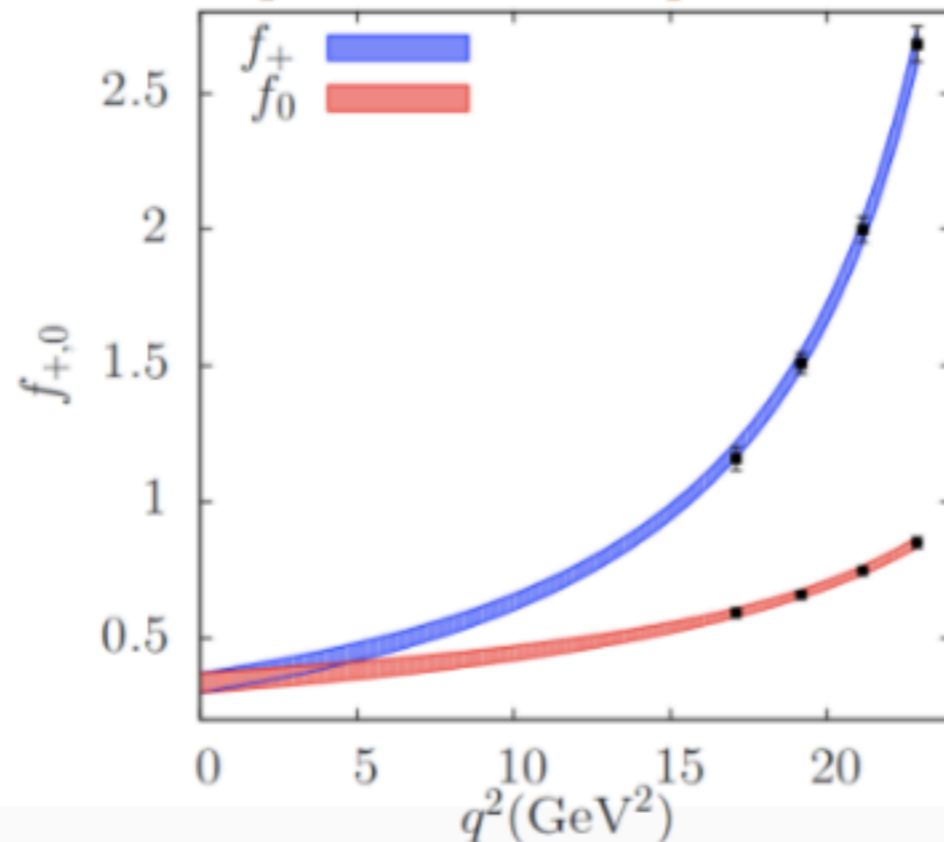
- Use $f_{B_s}^{Latt.} = 224(5)$ MeV and $\mathcal{B}(B_s \rightarrow \mu\mu) = 3.0(6) \left(\frac{3}{2}\right) \times 10^{-9}$. [LHCb, 2017]

$$\mathcal{B}(B_s \rightarrow \mu^+ \mu^-) = \mathcal{F}_{B_s} \left(f_{B_s}, C_{10} - C'_{10}, C_P - C'_P, C_S - C'_S \right)$$

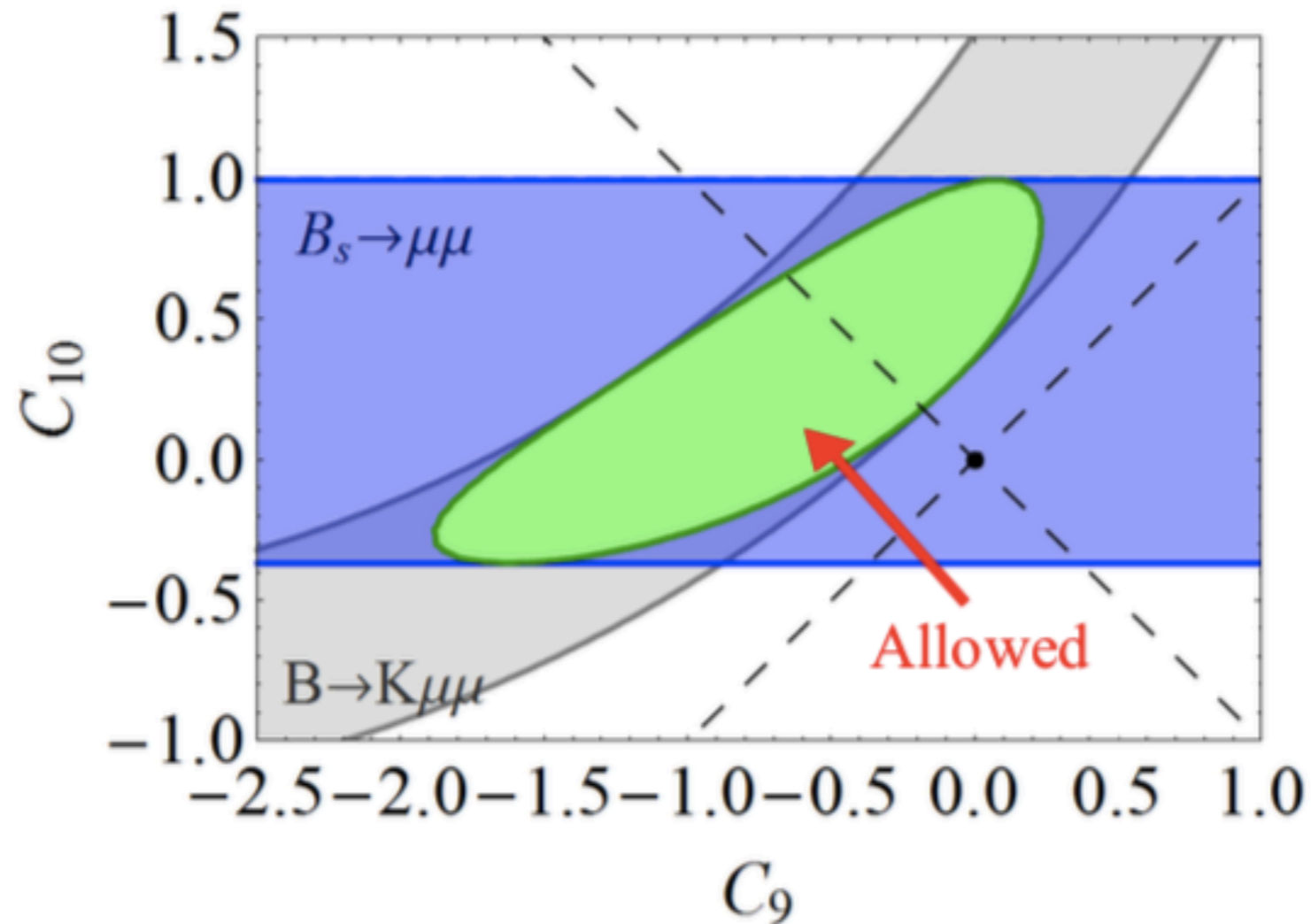
- Use $f_{+,0,T}^{B \rightarrow K}(q^2)^{Latt.}$ and $\mathcal{B}(B \rightarrow K \mu\mu)_{q^2 \in [15,22] \text{ GeV}^2} = 1.95(16) \times 10^{-7}$. [LHCb, 2016]

$$\frac{d\mathcal{B}}{dq^2}(B \rightarrow K \mu^+ \mu^-) = \mathcal{F}_{BK} \left(f_{+,0,T}(q^2), C_9 + C'_9, C_{10} + C'_{10}, C_{7,S,P} + C'_{7,S,P} \right)$$

MILC [1509.06235]



Fitting to clean observables



- We find $C_9 = -C_{10} \in (-0.76, -0.04)$ at 2σ .

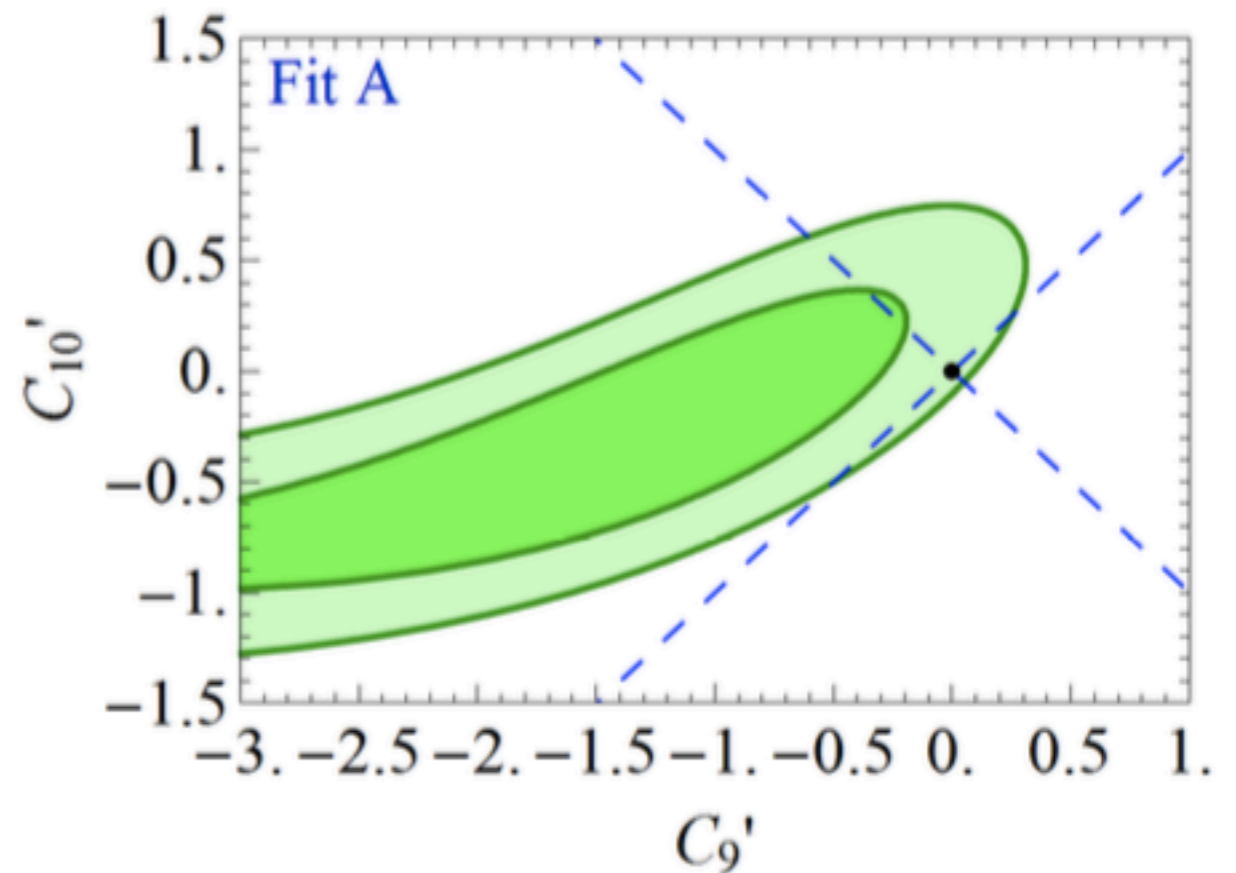
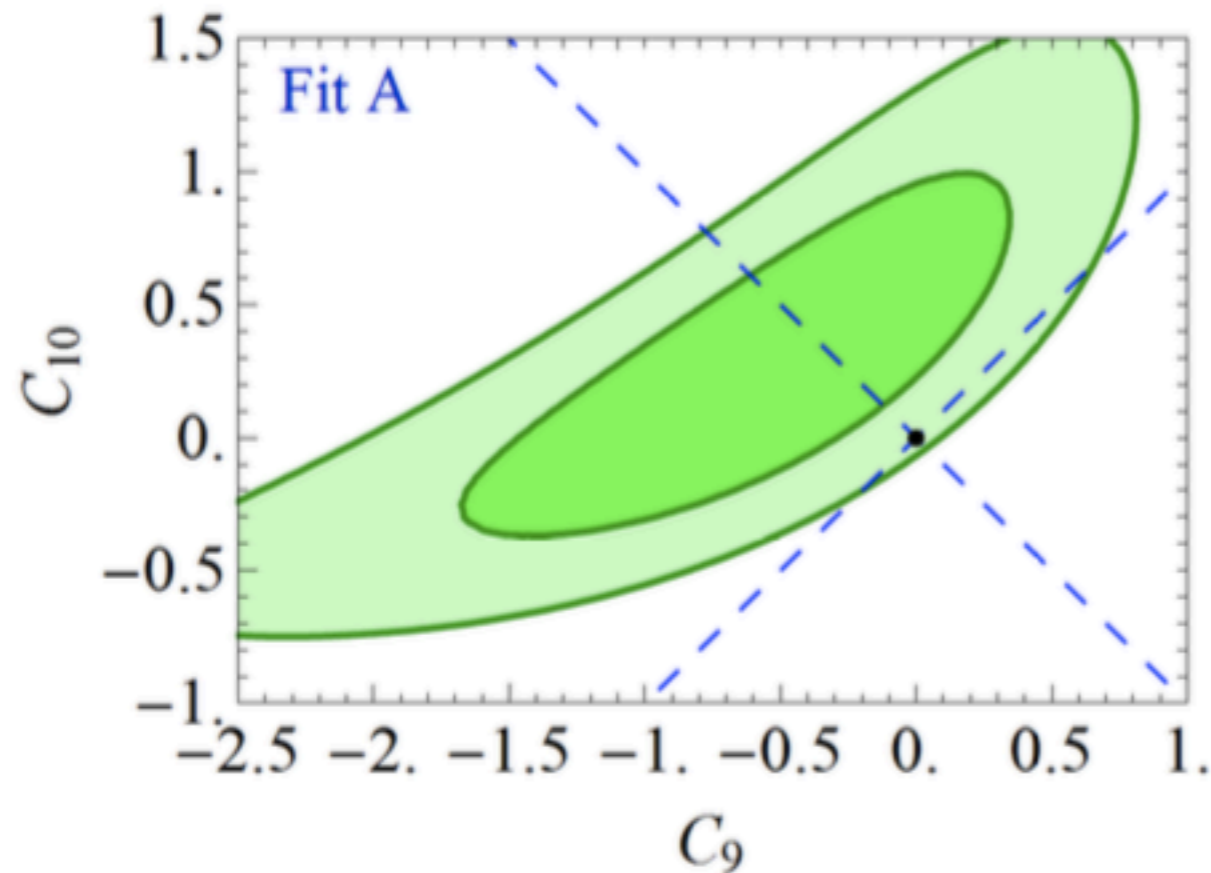
\Rightarrow This value can be used to give **model independent** predictions for $R_{K^{(*)}}$ in the central bin:

$$R_K = 0.82(16) \quad \text{and} \quad R_{K^*} = 0.83(15).$$

$$C_9^{\mu\mu} = -C_{10}^{\mu\mu} \in (-0.85, -0.50)$$

Interestingly...

Different choices of Wilson Coeffs: (C_9, C_{10}) or (C'_9, C'_{10})



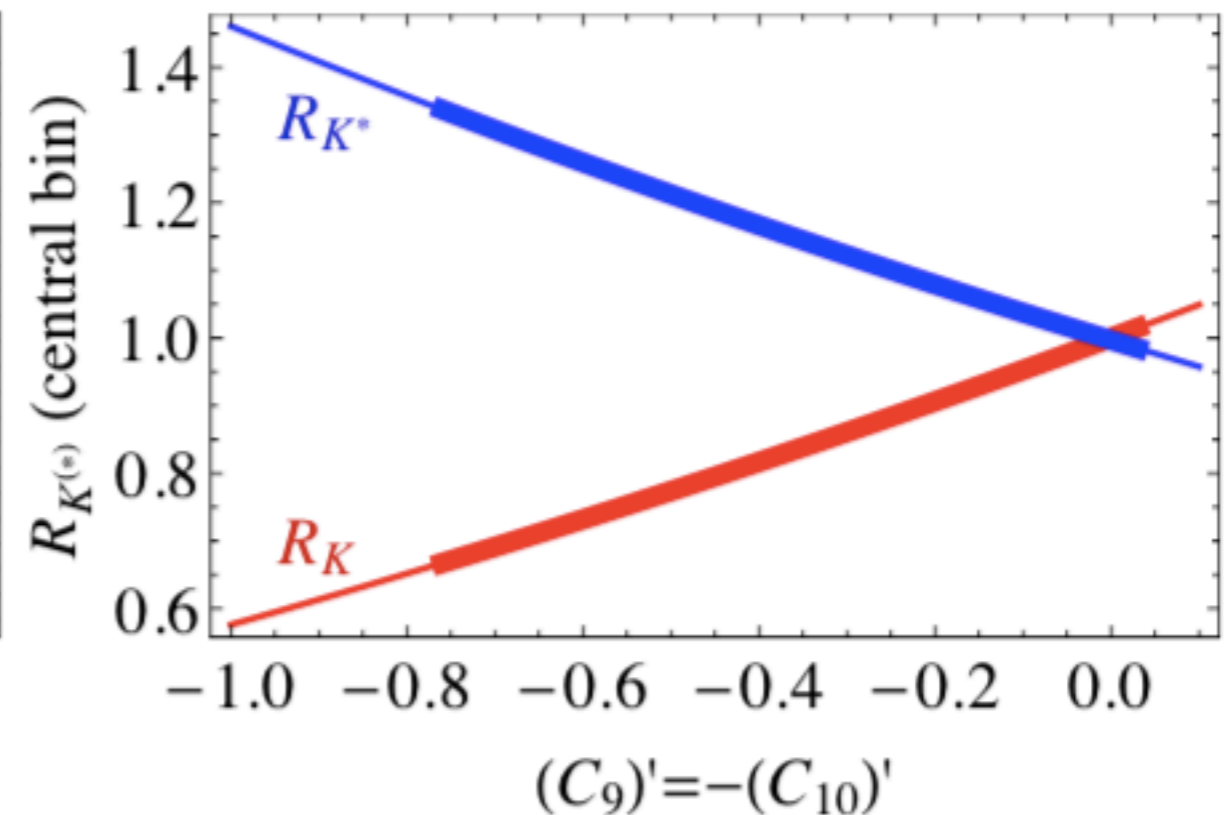
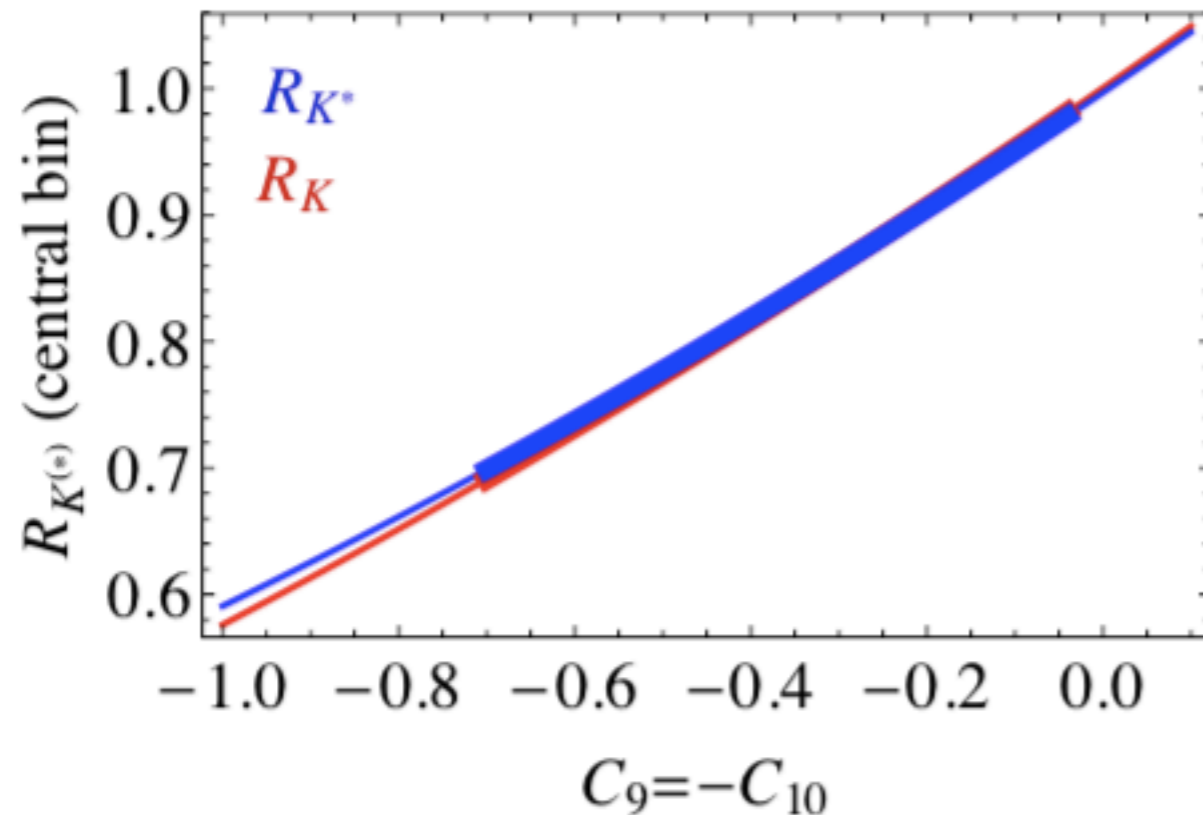
$$\mathcal{O}_9^{(l)} = (\bar{s}\gamma_\mu P_{L(R)} b)(\bar{\ell}\gamma^\mu \ell),$$

$$\mathcal{O}_{10}^{(l)} = (\bar{s}\gamma_\mu P_{L(R)} b)(\bar{\ell}\gamma^\mu \gamma^5 \ell),$$

$$C_9^{\mu\mu} = -C_{10}^{\mu\mu} \in (-0.85, -0.50)$$

Interestingly...

Model independent predictions for R_K and R_{K^*} :



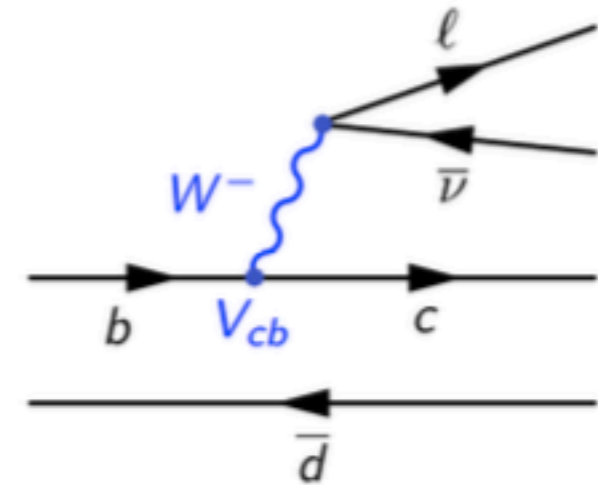
\Rightarrow The scenario $C_9 = -C_{10}$ predicts $R_{K^{(*)}} < 1$, as observed.

$$C_9^{\mu\mu} = -C_{10}^{\mu\mu} \in (-0.85, -0.50)$$

$R_D R_{D^*}$

- Tree-level process in the SM:

$$R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \bar{\nu})}{\mathcal{B}(B \rightarrow D^{(*)} \ell \bar{\nu})}, \quad \ell = e, \mu.$$

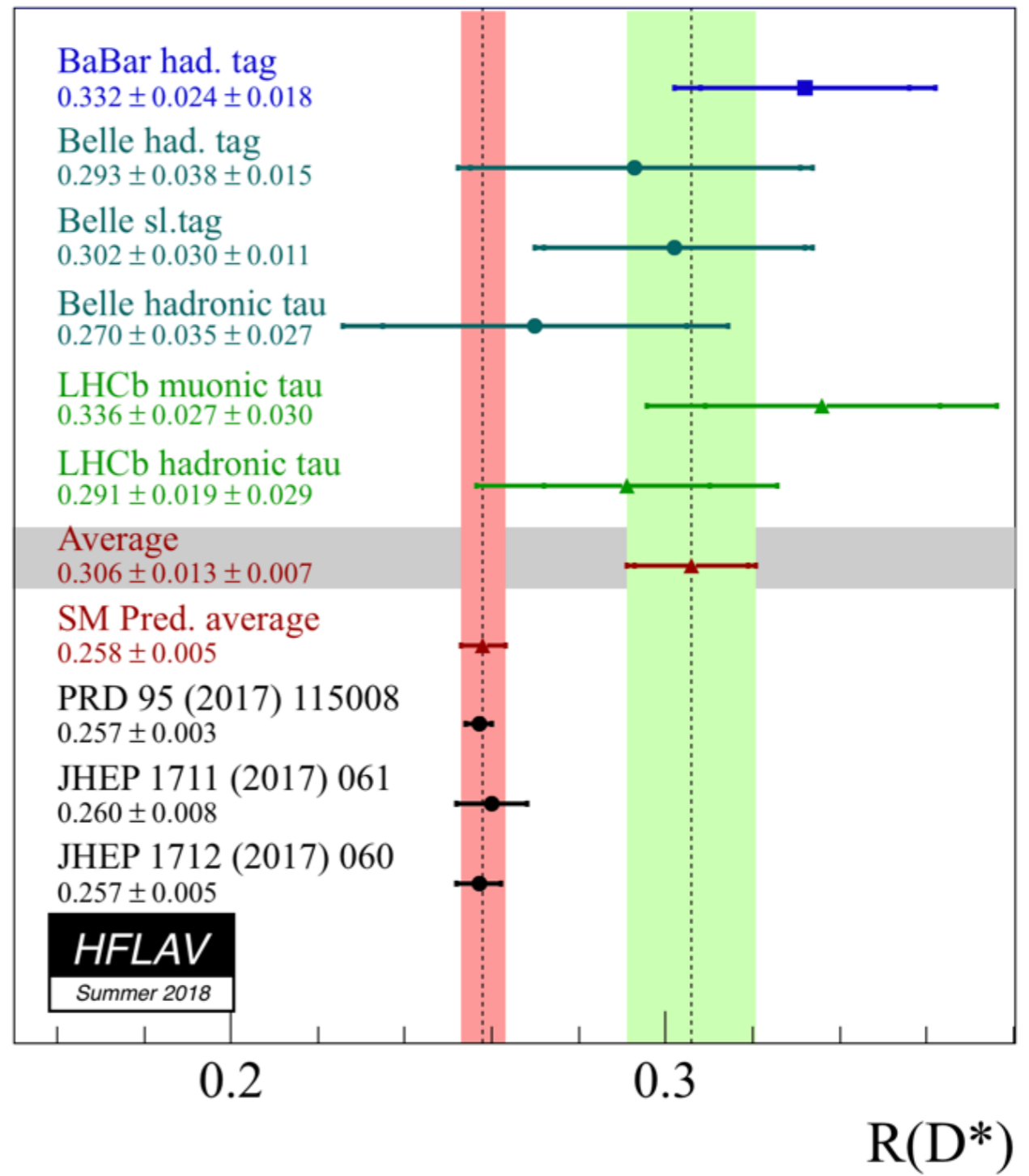
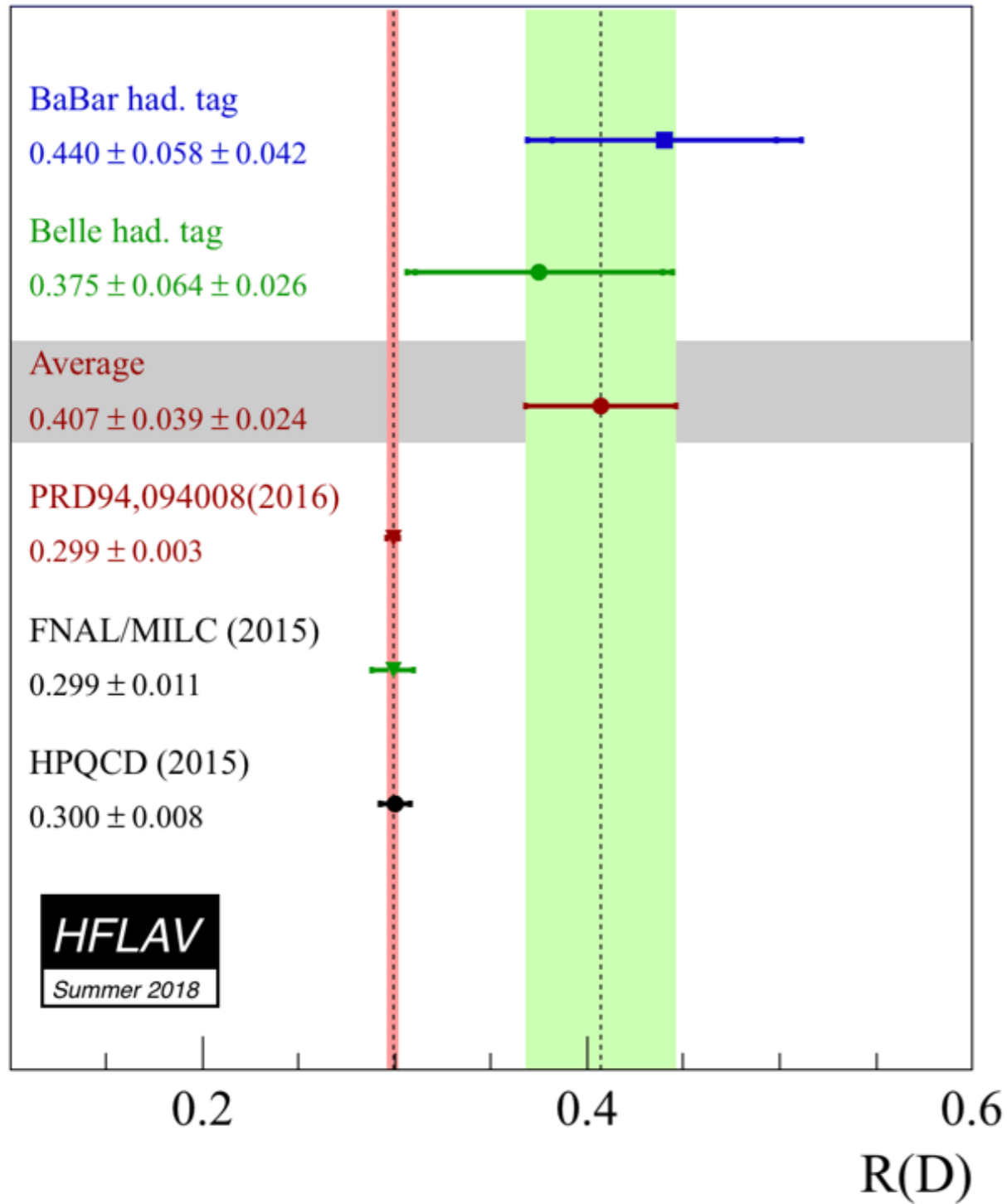


- Non-perturbative QCD \iff form-factors (Lattice QCD)

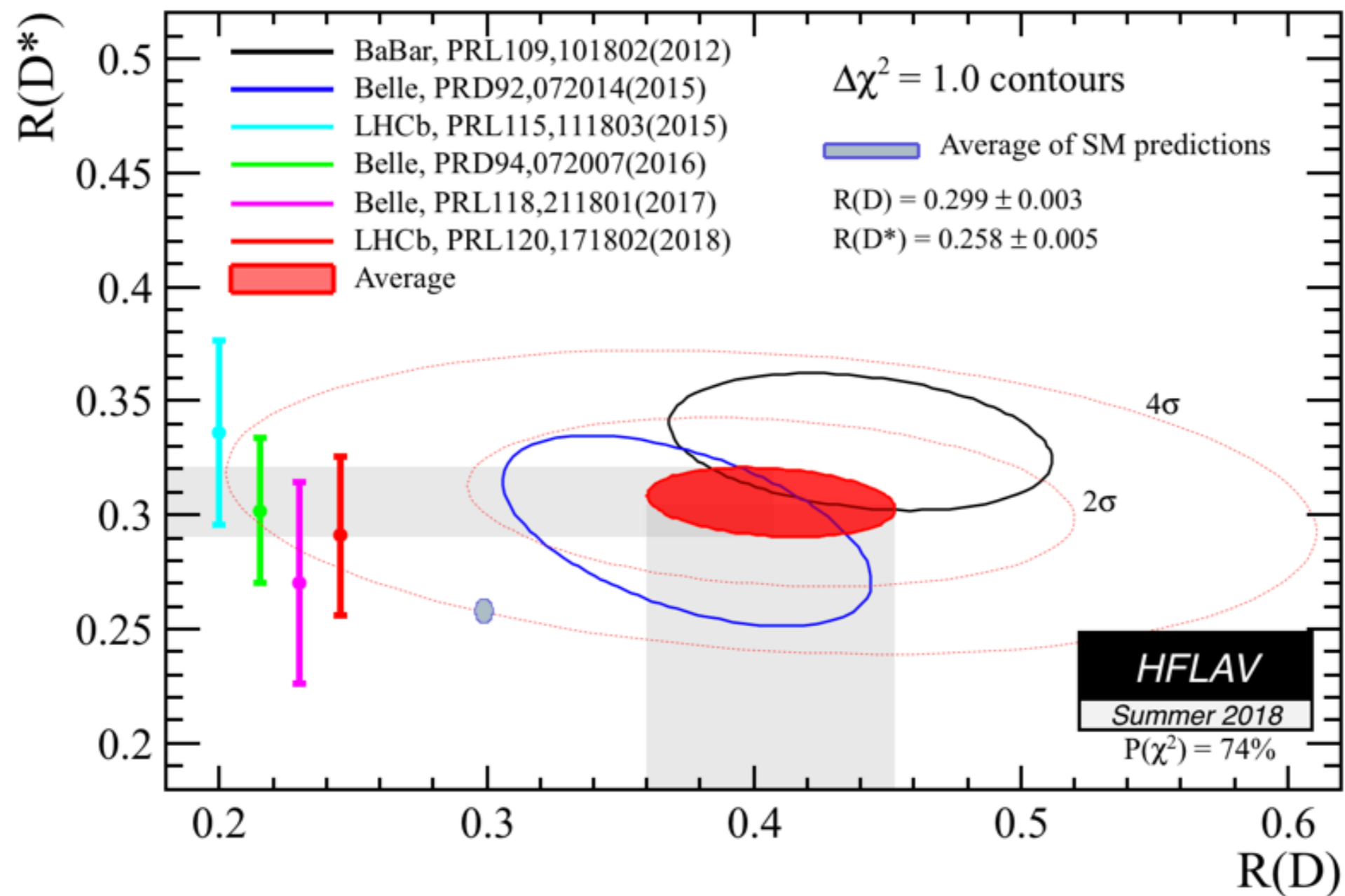
$$\text{e.g. for } B \rightarrow D, \quad \langle D | \bar{c} \gamma_\mu b | B \rangle \propto f_{0,+}(q^2)$$

- Situation less clear for $B \rightarrow D^* \Rightarrow$ (more FFs, less LQCD results)
[NP in τ – use angular distribution + HQET of Bernlochner et al 2017]

R_D R_{D^*}



R_D R_{D^*}

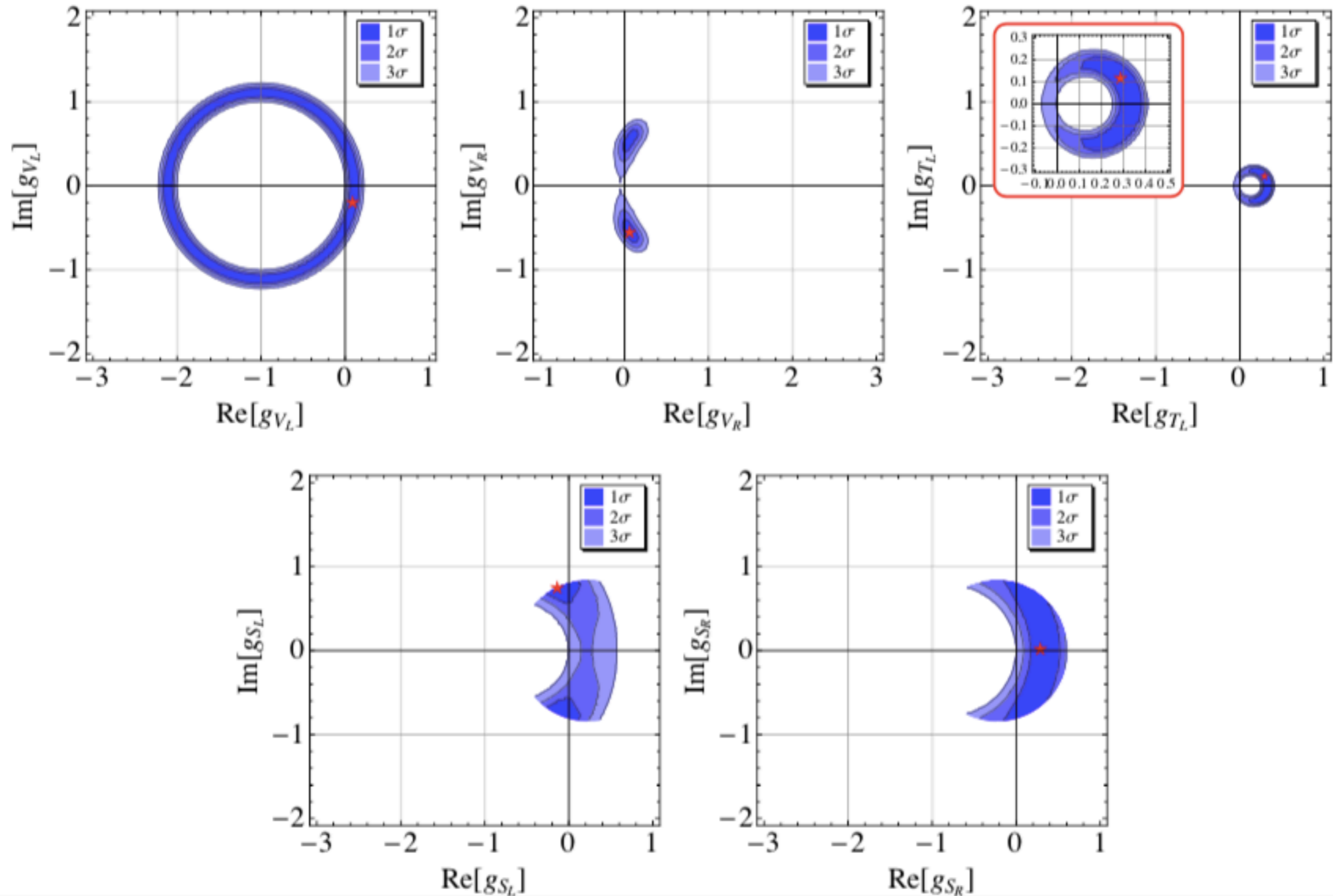


Effective theory

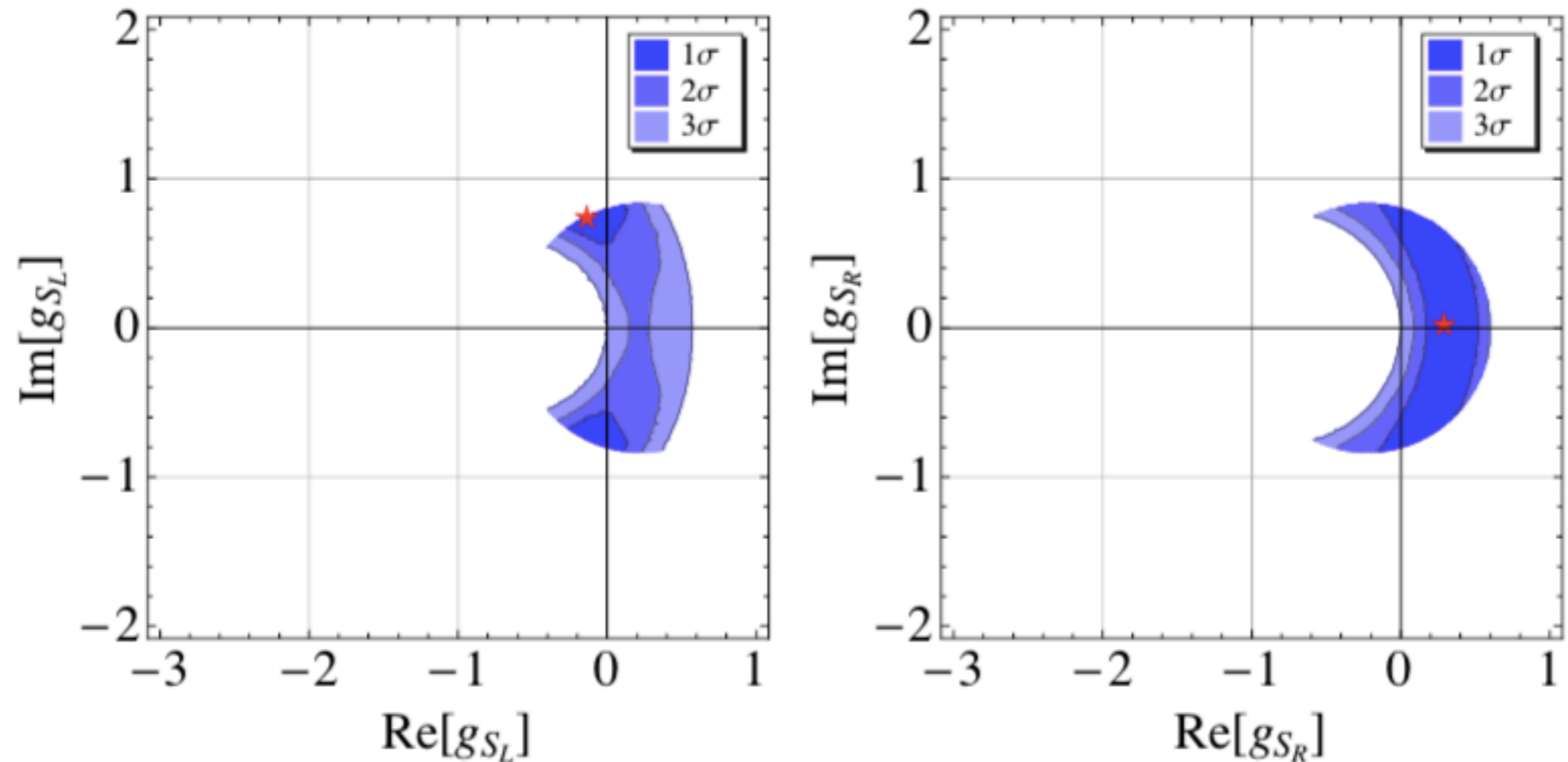
$$\begin{aligned}\mathcal{H}_{\text{eff}} = & \sqrt{2}G_F V_{cb} \left[(1 + g_V)(\bar{c}\gamma_\mu b)(\bar{\ell}_L\gamma^\mu\nu_L) + (-1 + g_A)(\bar{c}\gamma_\mu\gamma_5 b)(\bar{\ell}_L\gamma^\mu\nu_L) \right. \\ & + g_S(\bar{c}b)(\bar{\ell}_R\nu_L) + g_P(\bar{c}\gamma_5 b)(\bar{\ell}_R\nu_L) \\ & \left. + g_T(\bar{c}\sigma_{\mu\nu} b)(\bar{\ell}_R\sigma^{\mu\nu}\nu_L) + g_{T5}(\bar{c}\sigma_{\mu\nu}\gamma_5 b)(\bar{\ell}_R\sigma^{\mu\nu}\nu_L) \right] + \text{h.c.}\end{aligned}$$

$$\begin{aligned}\mathcal{H}_{\text{eff}} = & \frac{4G_F}{\sqrt{2}} V_{cb} \left[(1 + g_{V_L})(\bar{c}_L\gamma_\mu b_L)(\bar{\ell}_L\gamma^\mu\nu_L) + g_{V_R}(\bar{c}_R\gamma_\mu b_R)(\bar{\ell}_L\gamma^\mu\nu_L) \right. \\ & + g_{S_L}(\bar{c}_R b_L)(\bar{\ell}_R\nu_L) + g_{S_R}(\bar{c}_L b_R)(\bar{\ell}_R\nu_L) \\ & \left. + g_{T_L}(\bar{c}_R\sigma_{\mu\nu} b_L)(\bar{\ell}_R\sigma^{\mu\nu}\nu_L) \right] + \text{h.c.},\end{aligned}$$

Effective theory at work



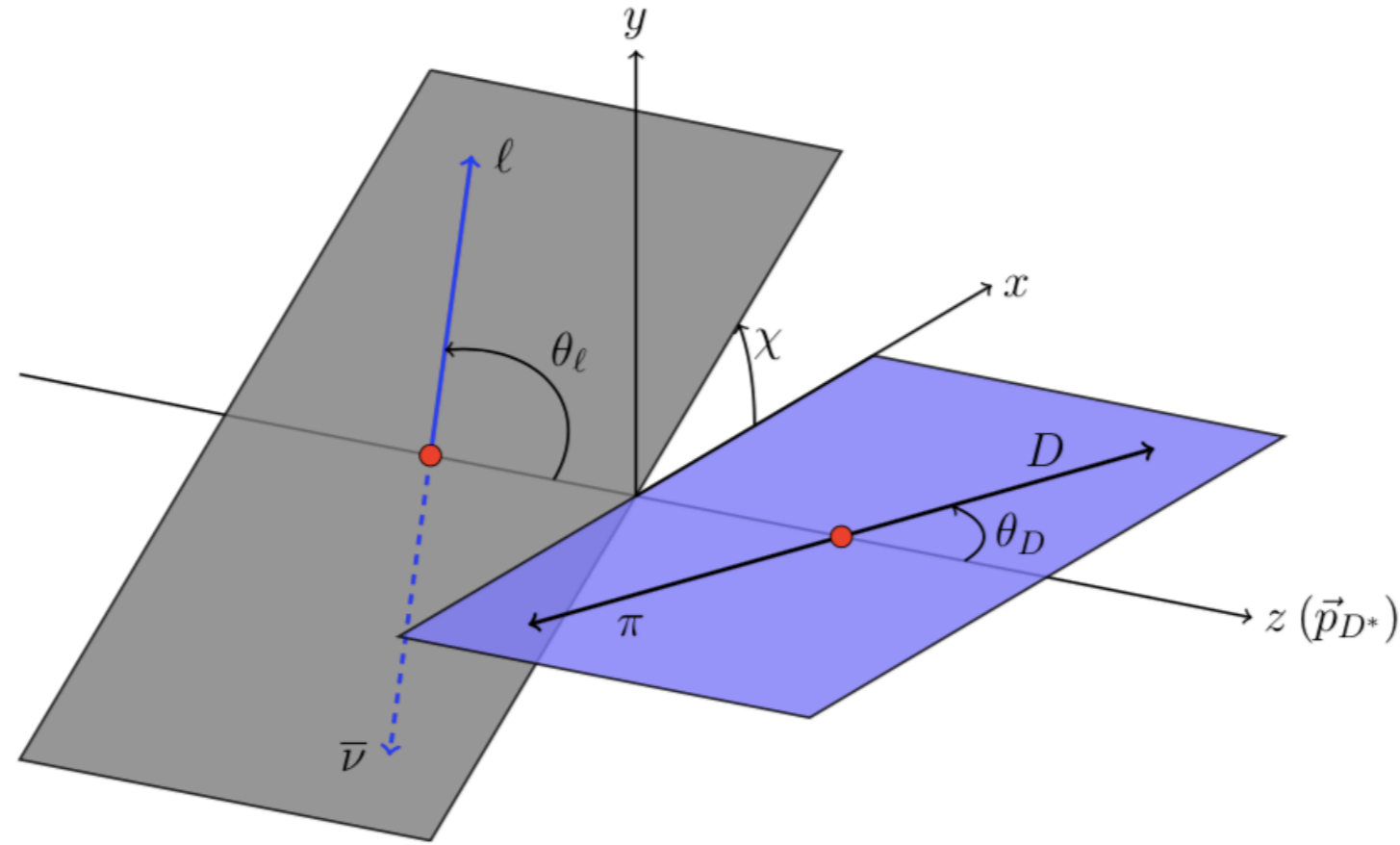
Effective theory at work



$$\mathcal{B}(B_c \rightarrow \tau \bar{\nu}) = \tau_{B_c} \frac{m_{B_c} f_{B_c}^2 G_F^2 |V_{cb}|^2 m_\tau^2}{8\pi} \left(1 - \frac{m_\tau^2}{m_{B_c}^2}\right)^2 \left| 1 + g_{VL} + \frac{(g_{SR} - g_{SL}) m_{B_c}^2}{m_\tau (m_b + m_c)} \right|^2$$

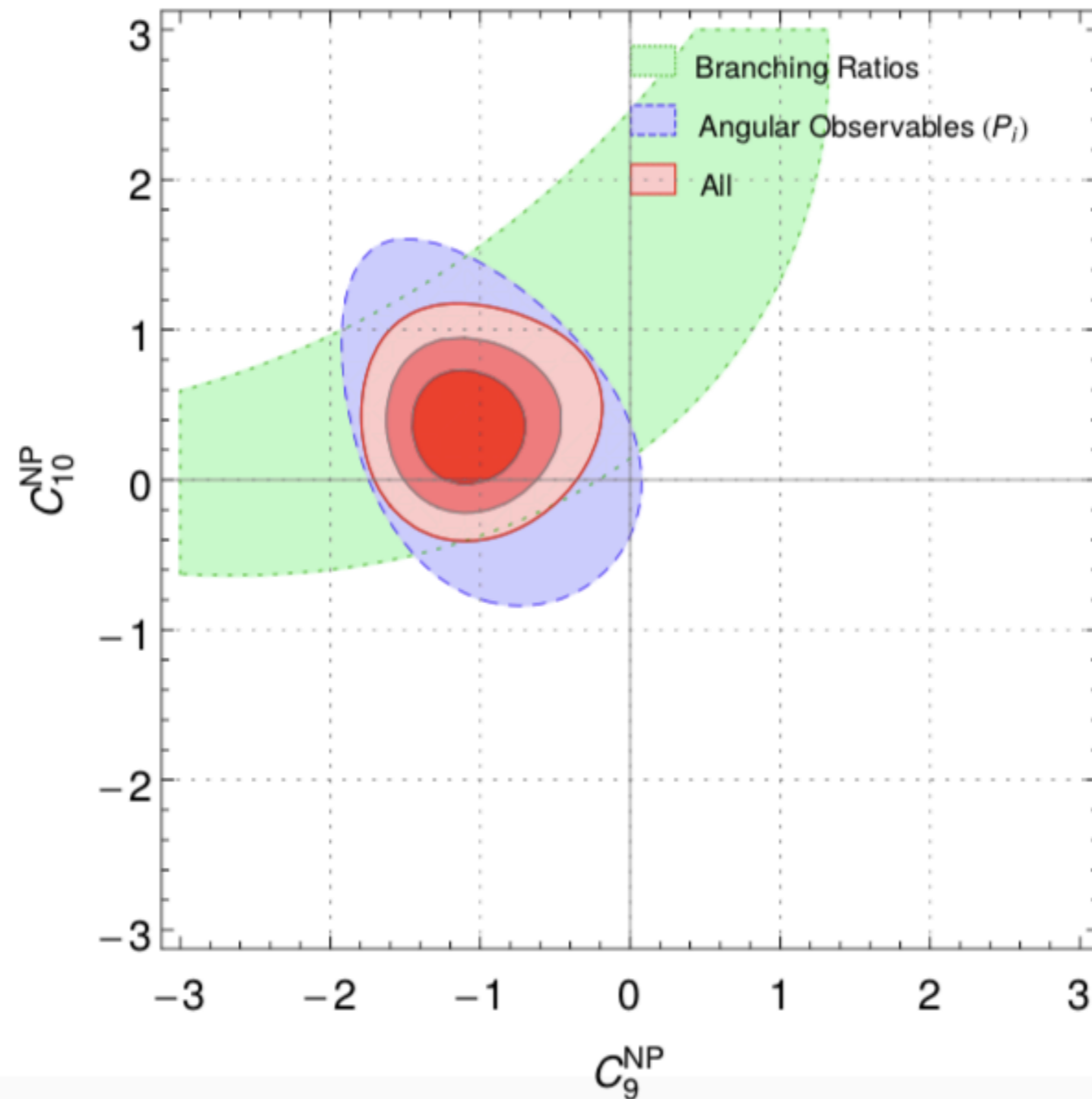
Must be less than 30%-ish in order not to upset τ_{B_c}

Angular analysis (Belle II - 202x)

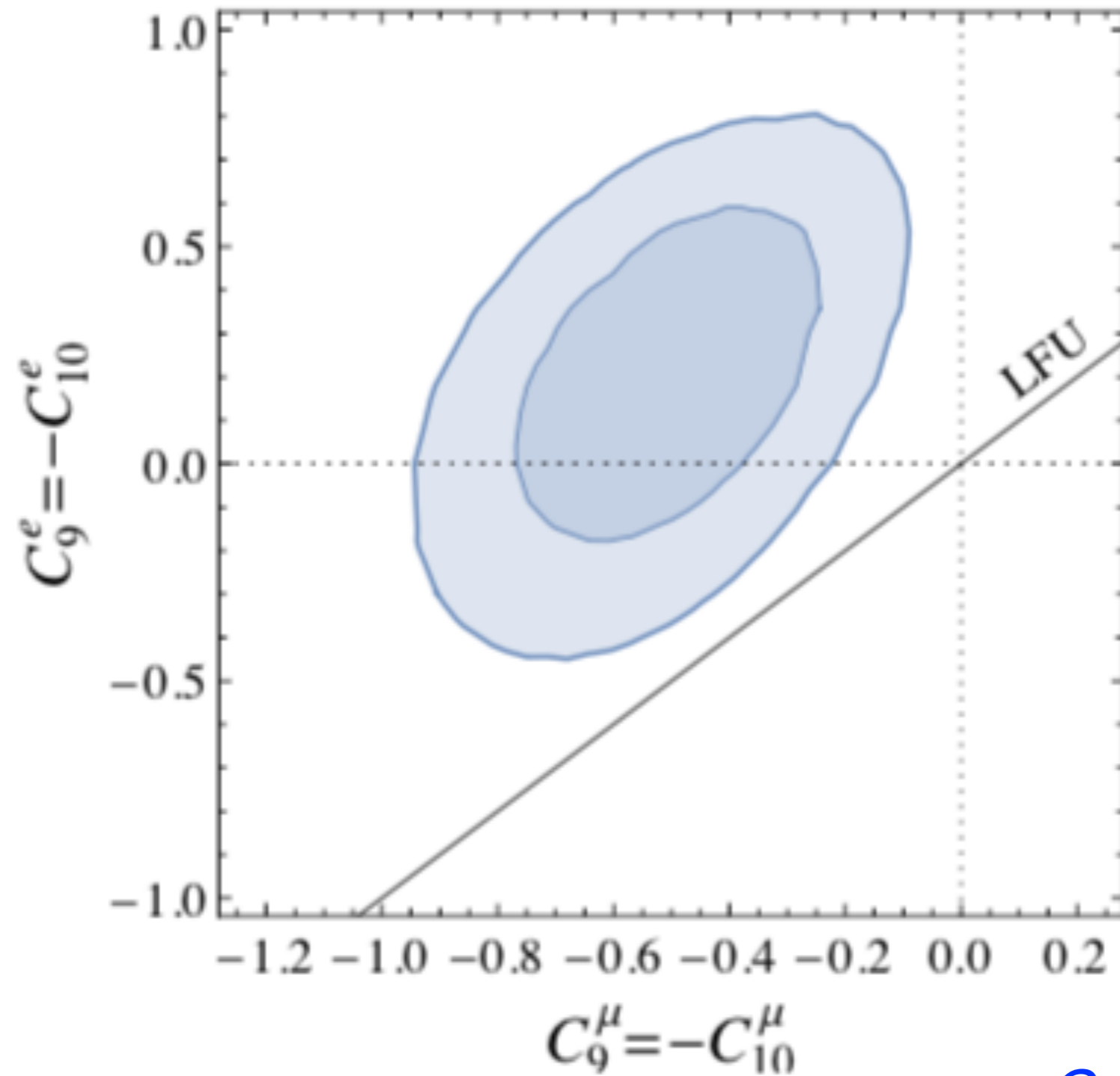


$$\frac{d^4\Gamma}{dq^2 d \cos \theta_D d \cos \theta_\ell d \chi} = \frac{9}{32\pi} \left\{ \begin{aligned} & I_{1c} \cos^2 \theta_D + I_{1s} \sin^2 \theta_D \\ & + [I_{2c} \cos^2 \theta_D + I_{2s} \sin^2 \theta_D] \cos 2\theta_\ell \\ & + [I_{6c} \cos^2 \theta_D + I_{6s} \sin^2 \theta_D] \cos \theta_\ell \\ & + [I_3 \cos 2\chi + I_9 \sin 2\chi] \sin^2 \theta_\ell \sin^2 \theta_D \\ & + [I_4 \cos \chi + I_8 \sin \chi] \sin 2\theta_\ell \sin 2\theta_D \\ & + [I_5 \cos \chi + I_7 \sin \chi] \sin \theta_\ell \sin 2\theta_D \end{aligned} \right\} .$$

$C_9 = -C_{10}$ for muonic channels

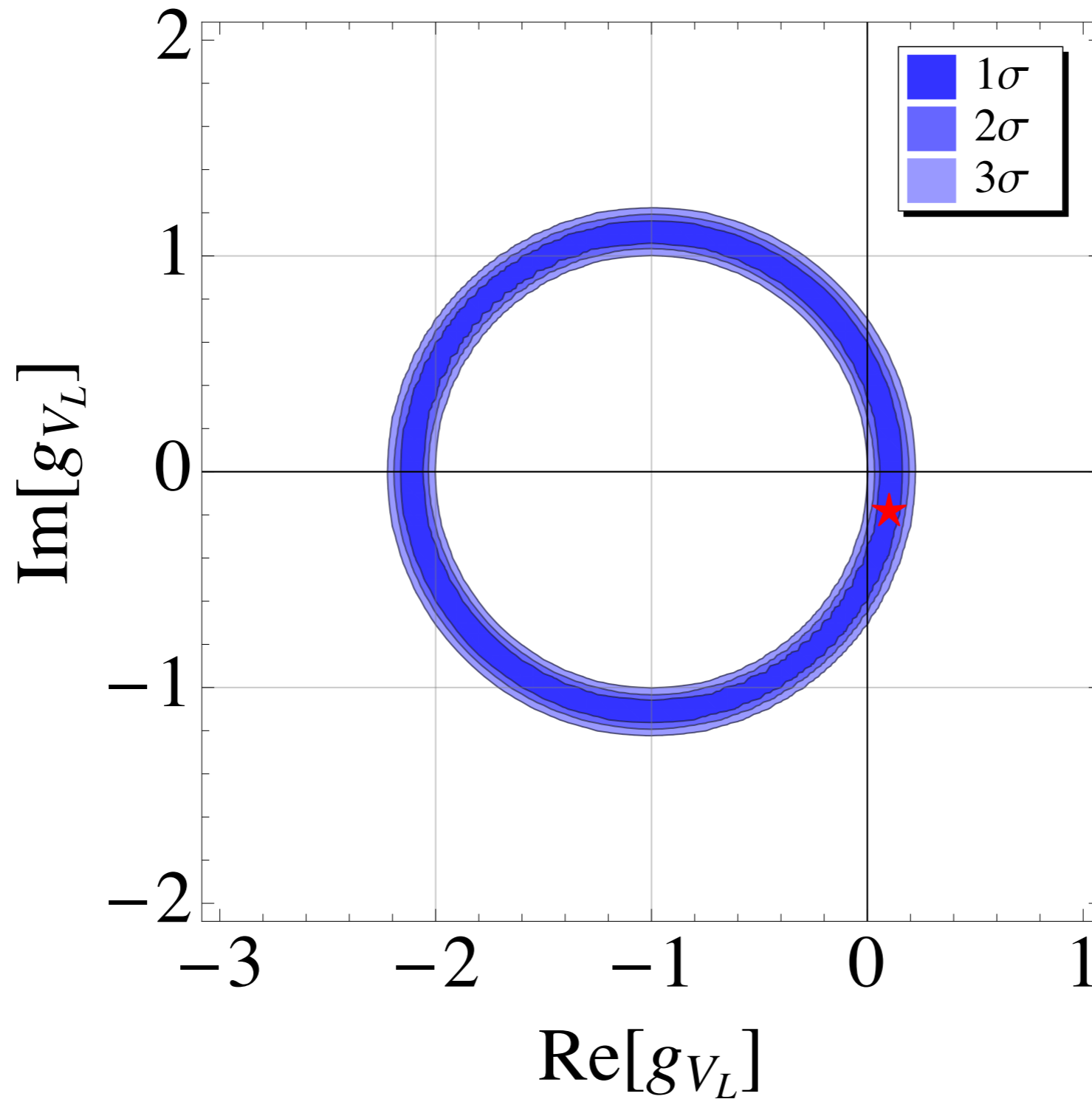


$C_9 = -C_{10}$ for muonic channels



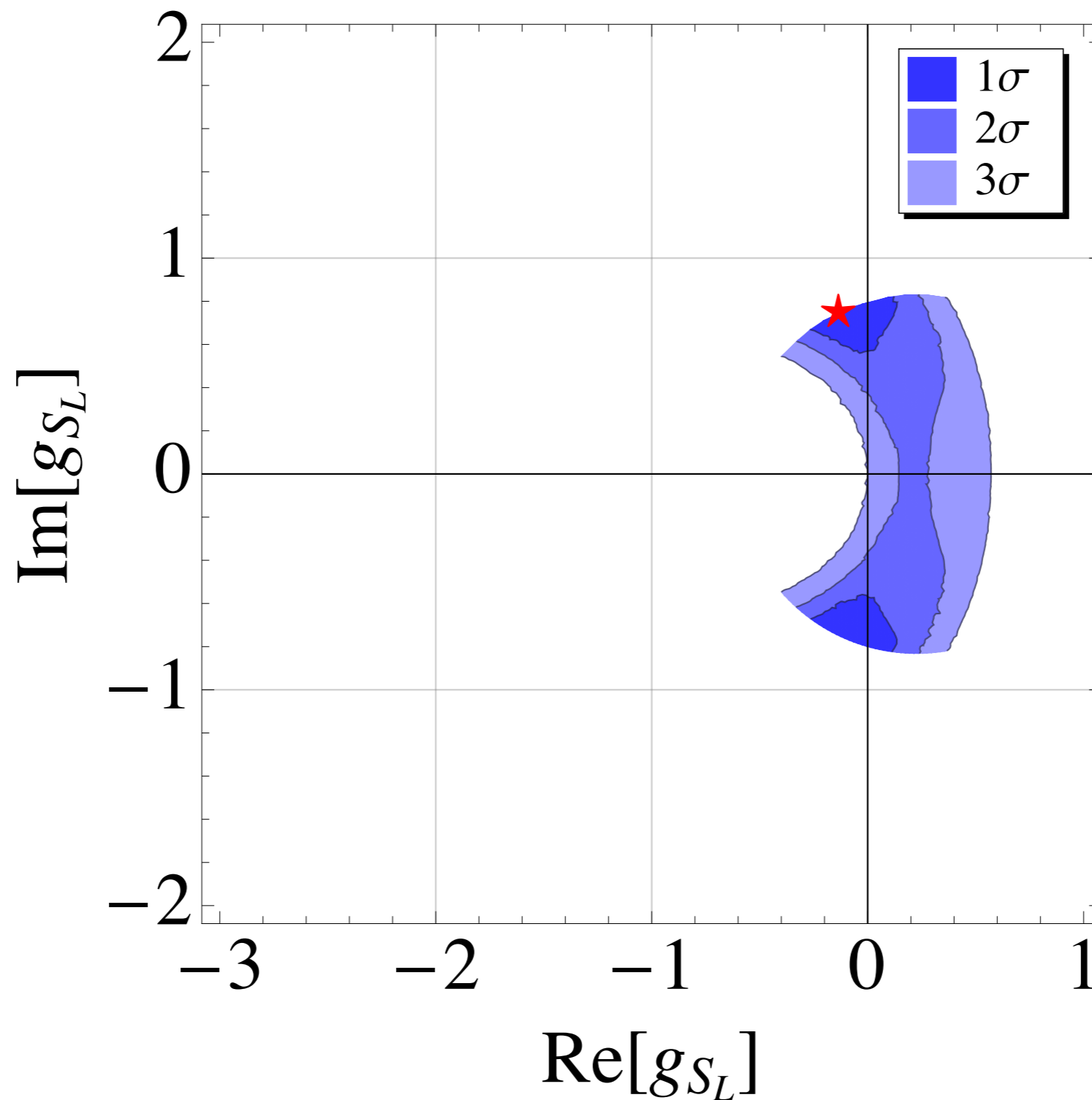
$$C_9 = (-0.85, -0.50)$$

g_{VL} or/and g_{SL} in tau modes

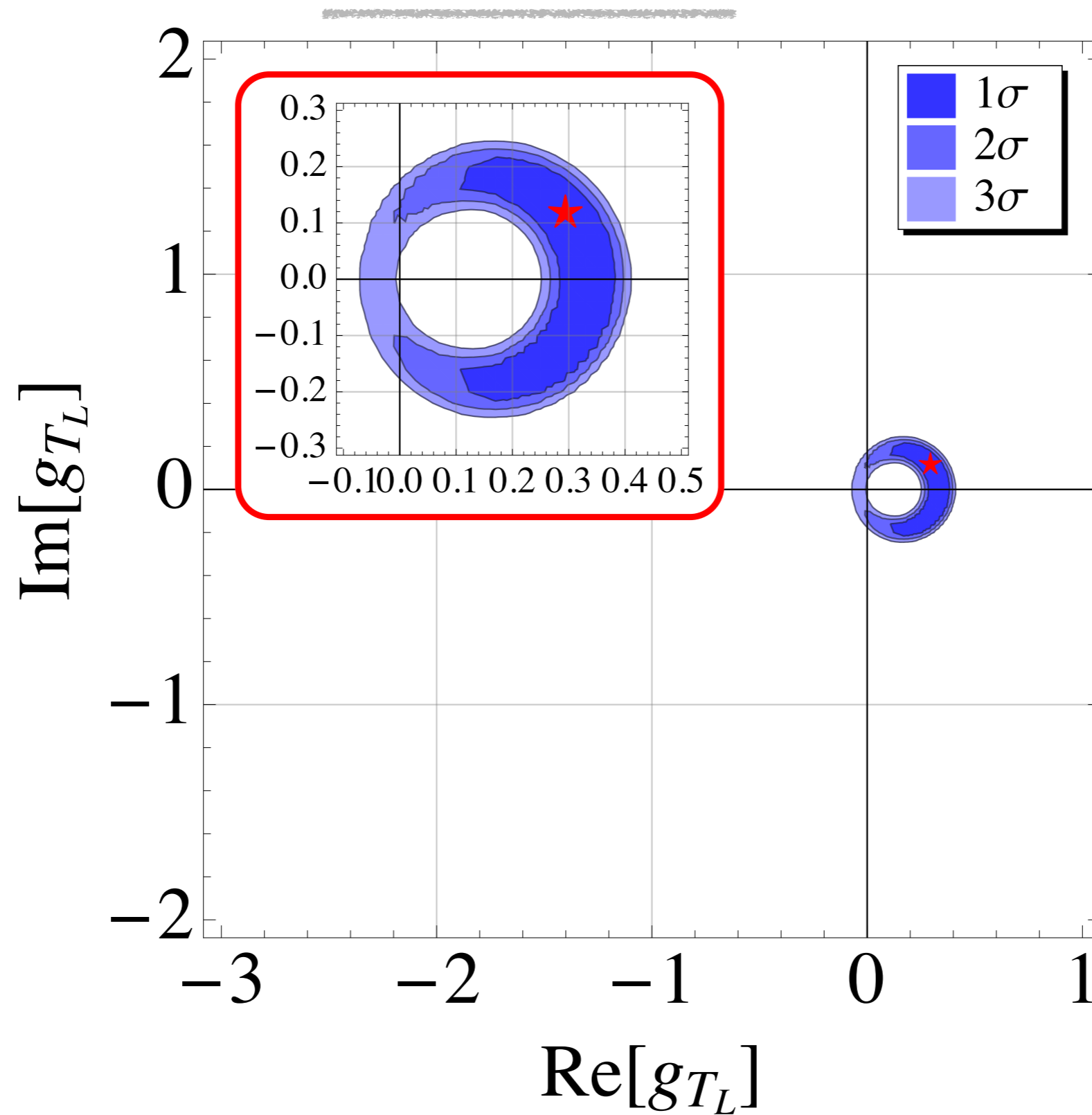


$$g_{VL} = (0.09, 0.13)$$

g_{VL} or g_{SL} or g_{TL} in tau modes



g_{VL} or g_{SL} or g_{TL} in tau modes



Common lore - Zurich Guide

$$\mathcal{L}_{\text{BSM}} = \frac{2c}{\Lambda^2} (\bar{c}_L \gamma_\mu b_L) (\bar{\tau}_L \gamma^\mu \nu_\tau) + h.c.$$

$$c_i = 1 \quad \rightarrow \quad \Lambda \sim 3.7 \text{ TeV}$$

$$c_i = V_{cb} \quad \rightarrow \quad \Lambda \sim 0.7 \text{ TeV}$$

$$c_i = V_{cb}/4\pi \quad \rightarrow \quad \Lambda \sim 0.2 \text{ TeV}$$

NP to CC processes

$$C_T (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j) (\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta)$$

$$Q_L^i = \begin{pmatrix} V_{ji}^* u_L^j \\ d_L^i \end{pmatrix}$$

NP in FCNC

$$\mathcal{L} \supset \frac{c_i}{\Lambda^2} (\bar{s}_L \gamma^\alpha b_L) (\bar{\mu}_L \gamma_\alpha \mu_L) + h.c.$$

$$c_i = 1 \quad \rightarrow \quad \Lambda \sim 31 \text{ TeV}$$

$$c_i = V_{ts} \quad \rightarrow \quad \Lambda \sim 6 \text{ TeV}$$

$$c_i = V_{ts}/4\pi \quad \rightarrow \quad \Lambda \sim 0.5 \text{ TeV}$$

$$C_T (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j) (\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) + C_S (\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{L}_L^\alpha \gamma^\mu L_L^\beta)$$

Zurich Guide (Models V-A)

Effective theory

$$\frac{1}{v^2} \lambda_{ij}^q \lambda_{\alpha\beta}^\ell \left[C_T (\bar{Q}_L^i \gamma_\mu \sigma^a Q_L^j) (\bar{L}_L^\alpha \gamma^\mu \sigma^a L_L^\beta) + C_S (\bar{Q}_L^i \gamma_\mu Q_L^j) (\bar{L}_L^\alpha \gamma^\mu L_L^\beta) \right]$$

CC and NC

NC

- Dominant effect in 3rd generation
- Small effects with lighter fermions
- Mixing CKMish

$$\lambda^\ell = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \lambda_{\mu\mu} & \lambda_{\tau\mu} \\ 0 & \lambda_{\tau\mu} & 1 \end{pmatrix} \quad \lambda^q = \begin{pmatrix} 0 & 0 & \lambda_{bs} \frac{V_{ub}}{V_{cb}} \\ 0 & \lambda_{ss} & \lambda_{bs} \\ \lambda_{bs} \frac{V_{ub}}{V_{cb}} & \lambda_{bs} & 1 \end{pmatrix}$$

Parameters : C_S C_T $\lambda_{bs} = \mathcal{O}(V_{ts})$ $\lambda_{ss} = \mathcal{O}(\lambda_{bs}^2)$ $\lambda_{\mu\mu} = \mathcal{O}(\lambda_{\mu\tau}^2)$

Zurich Guide (Models V-A)

Tricky part

$$\frac{R_{D^{(*)}}}{R_{D^{(*)}}^{\text{SM}}} \simeq 1 + 2C_T \left(1 - \lambda_{sb}^q \frac{V_{tb}}{V_{ts}} \right) \approx 1.24(5)$$

$$33 \text{ - term : } -\frac{C_T}{v^2} (\bar{Q}_L^3 \gamma_\mu \sigma^a Q_L^3) (\bar{L}_L^3 \gamma_\mu \sigma^a L_L^3)$$

$$32 \text{ - term : } -\frac{C_T}{v^2} \lambda_{bs}^q (\bar{Q}_L^3 \gamma_\mu \sigma^a Q_L^2) (\bar{L}_L^3 \gamma_\mu \sigma^a L_L^3)$$

$$Q_L^3 = \begin{pmatrix} V_{tb}^* t_L + V_{cb}^* c_L + V_{ub}^* u_L \\ b_L \end{pmatrix}$$

Zurich Guide (Models V-A)

Tricky part

$$\frac{R_{D^{(*)}}}{R_{D^{(*)}}^{\text{SM}}} \simeq 1 + 2C_T \left(1 - \lambda_{sb}^q \frac{V_{tb}}{V_{ts}} \right) \approx 1.24(5)$$

33 – term : $-\frac{C_T}{v^2} (\overline{Q}_L^3 \gamma_\mu \sigma^a Q_L^3) (\overline{L}_L^3 \gamma_\mu \sigma^a L_L^3)$

32 – term : $-\frac{C_T}{v^2} \lambda_{bs}^q (\overline{Q}_L^3 \gamma_\mu \sigma^a Q_L^2) (\overline{L}_L^3 \gamma_\mu \sigma^a L_L^3)$

Tiny

Needs 0.1

$$Q_L^3 = \begin{pmatrix} V_{tb}^* t_L + V_{cb}^* c_L + V_{ub}^* u_L \\ b_L \end{pmatrix}$$

too large NP at 700 GeV - Sic! (direct searches)

Zurich Guide (Models V-A)

Tricky part

$$\frac{R_{D^{(*)}}}{R_{D^{(*)}}^{\text{SM}}} \simeq 1 + 2C_T \left(1 - \lambda_{sb}^q \frac{V_{tb}}{V_{ts}} \right) \approx 1.24(5)$$

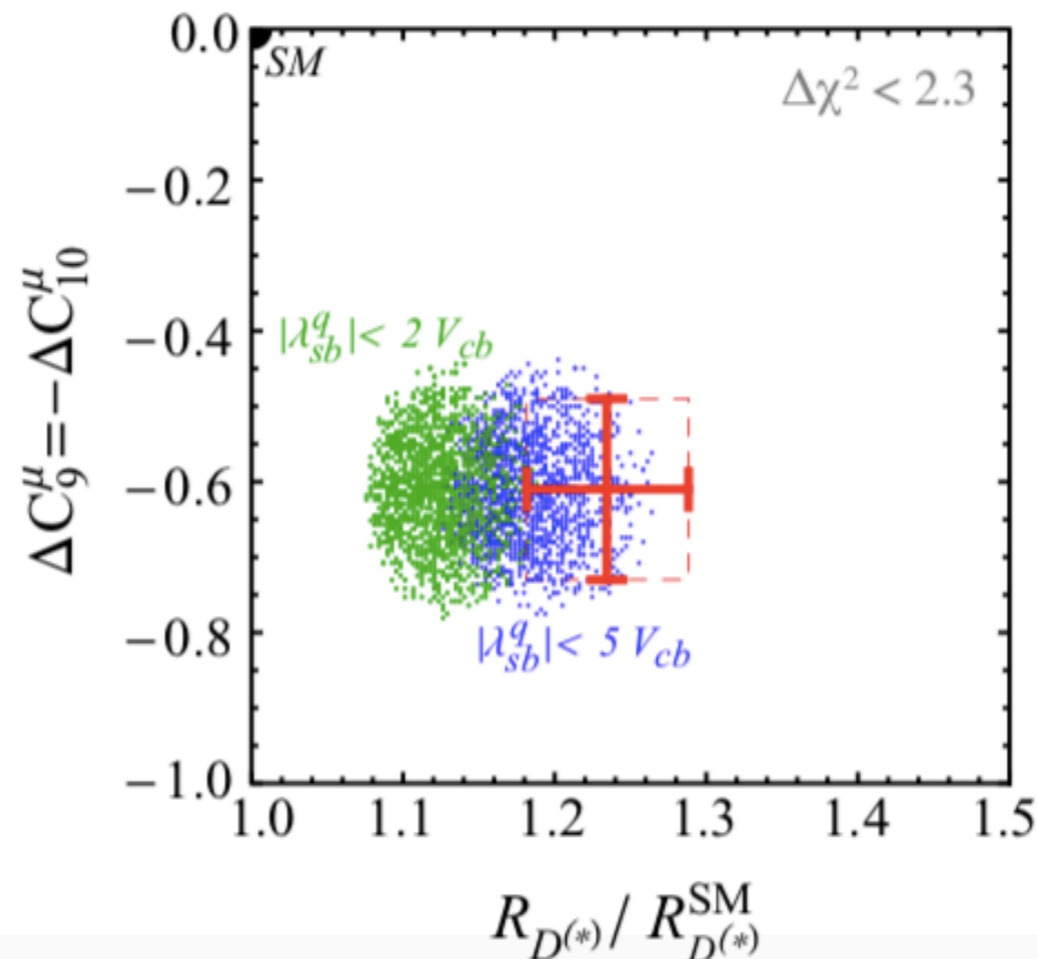
33 – term : $-\frac{C_T}{v^2} (\bar{Q}_L^3 \gamma_\mu \sigma^a Q_L^3) (\bar{L}_L^3 \gamma_\mu \sigma^a L_L^3)$

32 – term : $-\frac{C_T}{v^2} \lambda_{bs}^q (\bar{Q}_L^3 \gamma_\mu \sigma^a Q_L^2) (\bar{L}_L^3 \gamma_\mu \sigma^a L_L^3)$

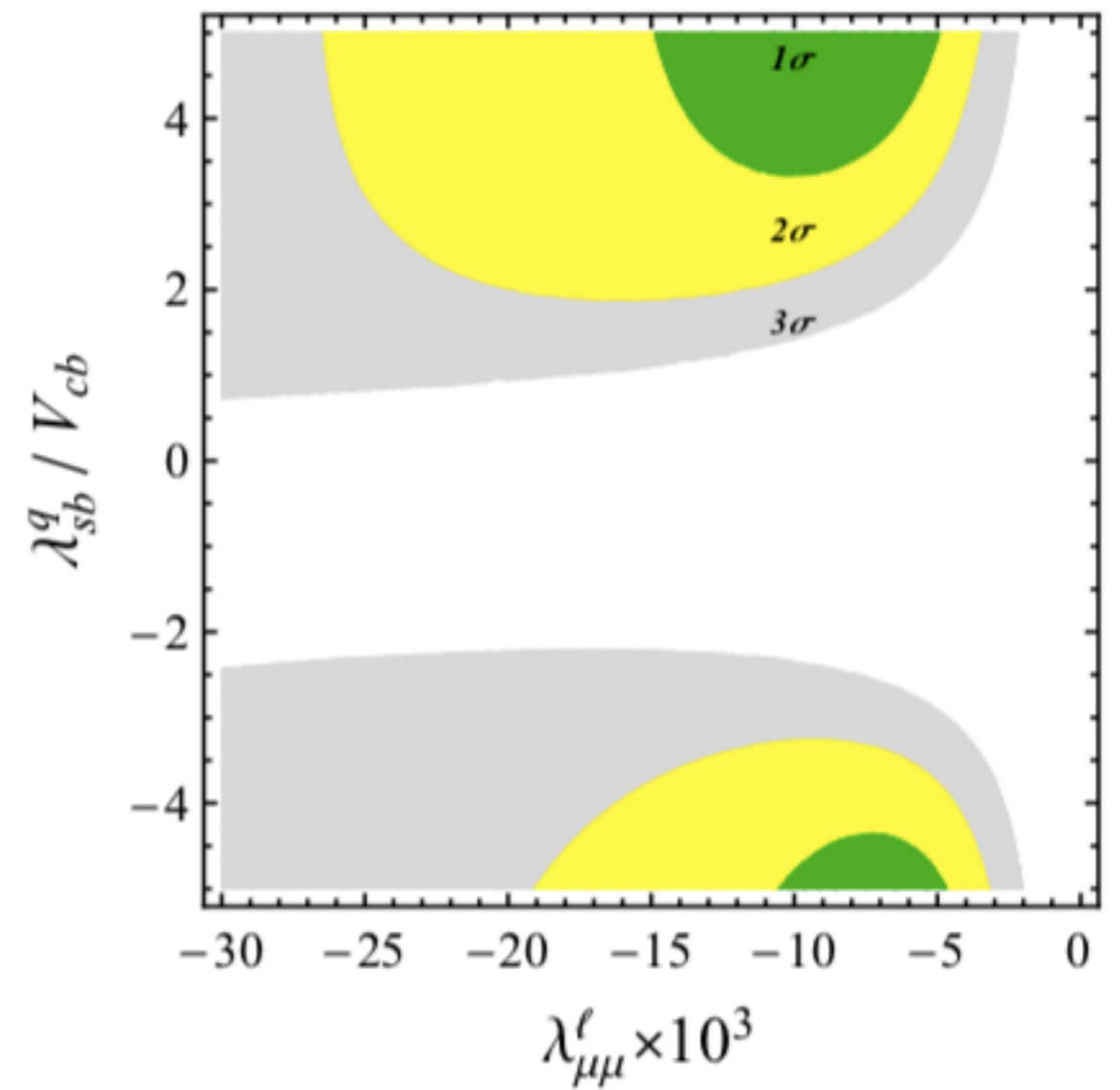
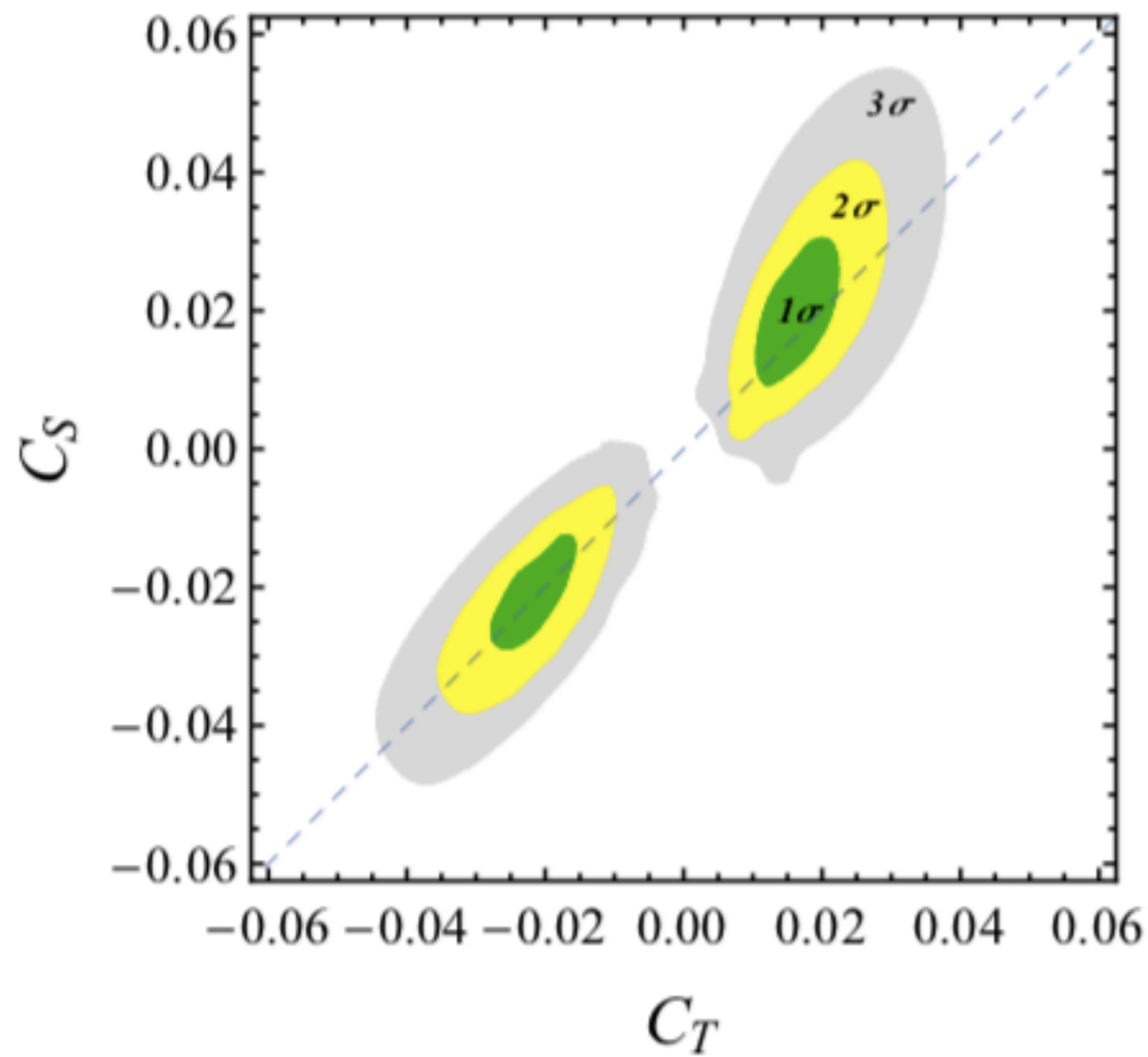
Large = few
 V_{cb}

Beware of $B \rightarrow K^{(*)} \nu \nu$!

$$(C_T - C_S) \lambda_{bs} (\bar{b}_L \gamma_\mu s_L) (\bar{\nu}_\tau \gamma^\mu \nu_\tau)$$



Zurich Guide (Models V-A)



A simple model for $R_K R_{K^}$*

$$\mathcal{L}_{Z'} = g_{bs} (\bar{s} \gamma^\mu P_L b) Z'_\mu + g_{\mu\mu} (\bar{\mu} \gamma^\mu P_L \mu) Z'_\mu$$

A simple model for $R_K R_K^*$

$$\mathcal{L}_{Z'} = g_{bs} (\bar{s} \gamma^\mu P_L b) Z'_\mu + g_{\mu\mu} (\bar{\mu} \gamma^\mu P_L \mu) Z'_\mu$$

What model can have this right?

- Eg. Add an extra gauge symmetry group $U(1)'$

$$\mathcal{L}_{U(1)'} = g' Q_q (\bar{q}_L \gamma^\mu q_L) Z'_\mu + g' Q_\ell (\bar{\ell}_L \gamma^\mu \ell_L) Z'_\mu$$

$$q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix} \quad \ell_L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$$

- Impose 3^{rd} -gen of quarks and 2^{nd} -gen of leptons to be charged under $U(1)'$

A simple model for $R_K R_K^*$

$$\mathcal{L}_{U(1)'} = g_q (\bar{q}_L^{(3)} \gamma^\mu q_L^{(3)}) Z'_\mu + g_\ell (\bar{\ell}_L^{(2)} \gamma^\mu \ell_L^{(2)}) Z'_\mu$$

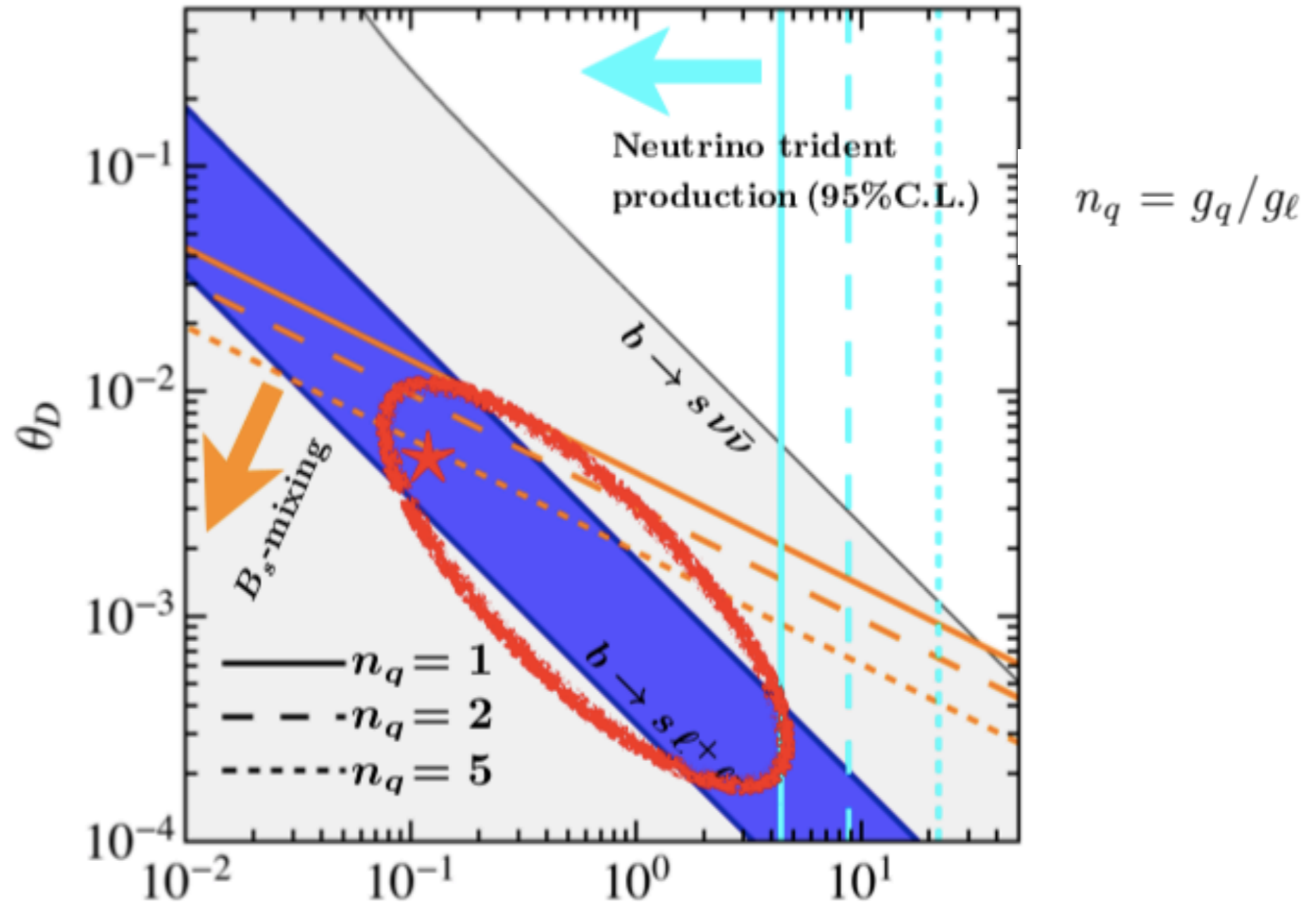
$$q_L^{(3)} = \begin{pmatrix} t_L \\ b_L \end{pmatrix} \quad \ell_L^{(2)} = \begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix} \quad g_f = Q_f g'$$

bs coupling arises through mixing in the mass eigenbasis

$$\begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix}_{\text{gauge}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix}_{\text{mass}}$$

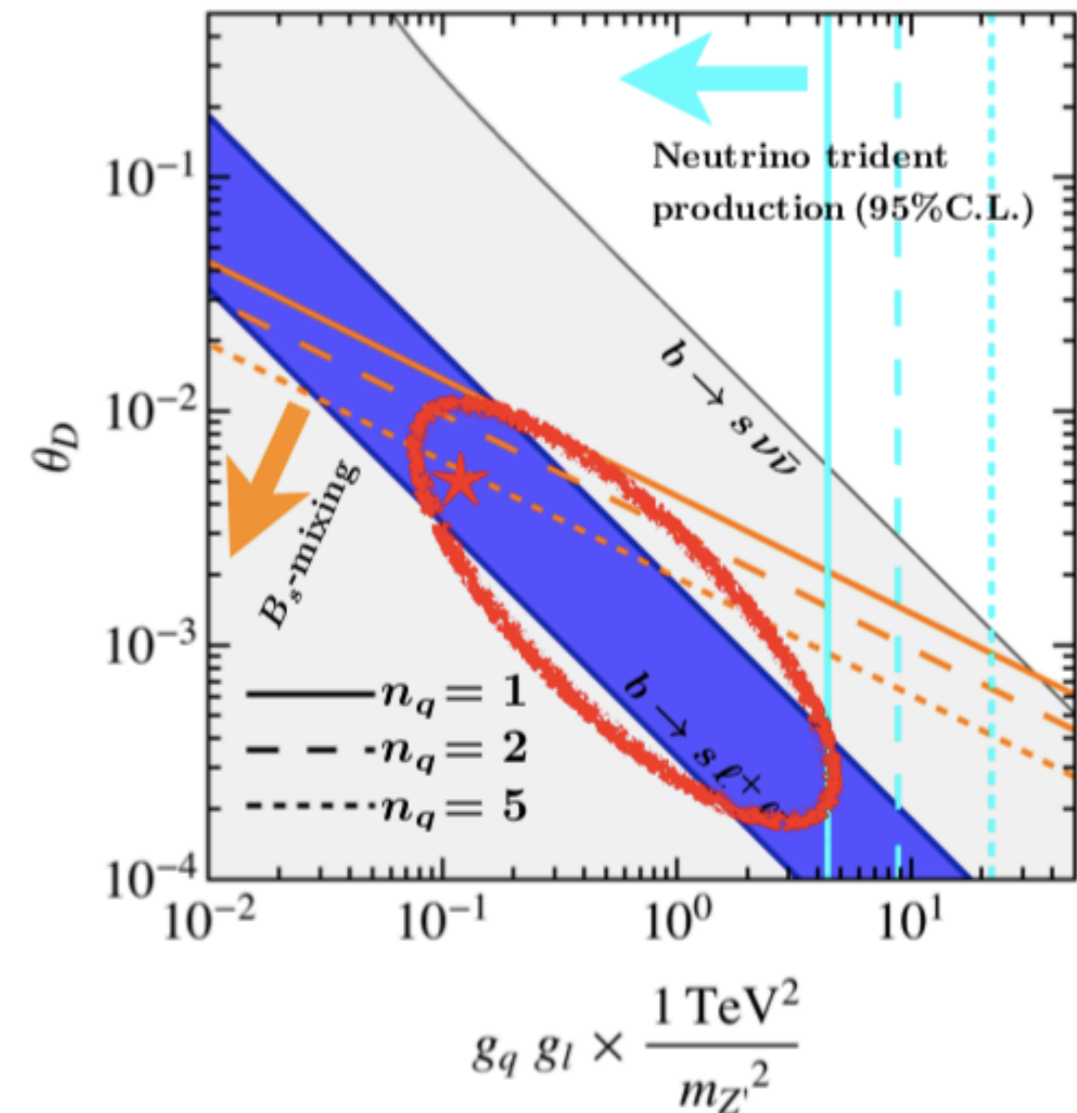
Other fields don't feel $U(1)'$

A simple model for $R_K R_K^*$



$$\frac{g_q g_l}{m_{Z'}^2} = 0.12 / \text{TeV}^2 \quad \theta = 0.005$$

A simple model for $R_K R_K^*$



$$\begin{aligned}
 \mathcal{L}_{U(1)'} = & \mathbf{g}_q (\bar{q}_L^3 \gamma^\mu q_L^3) Z'_\mu + \mathbf{g}_\ell (\bar{\ell}_L^2 \gamma^\mu \ell_L^2) Z'_\mu \\
 & + \mathbf{g}_\chi (\bar{\chi} \gamma^\mu \chi) Z'_\mu
 \end{aligned}$$

Leptoquarks

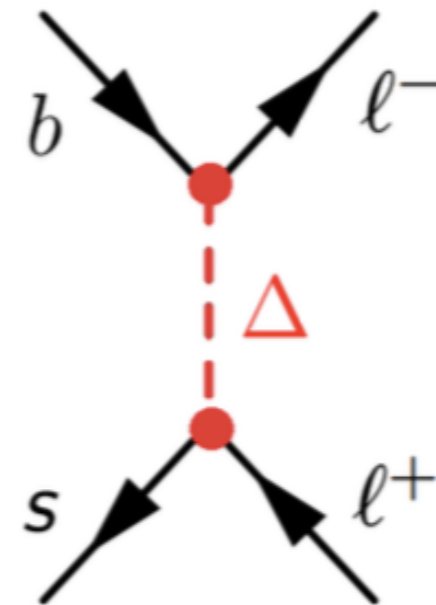
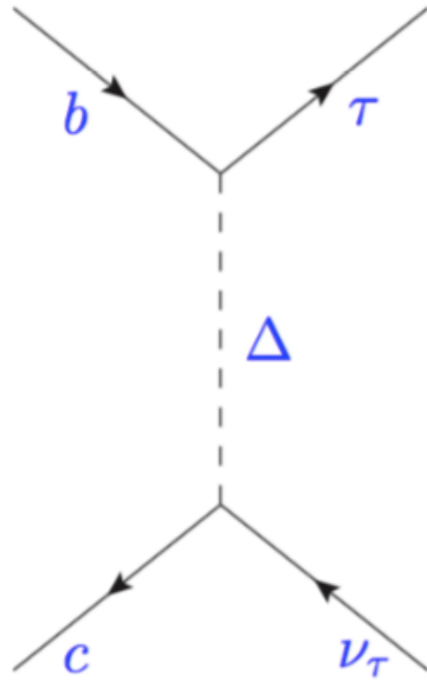
- Bosons which couple both to leptons and quarks
- Arise in GUT scenarios as gauge boson of \mathcal{G}_{GUT}
e.g. $\mathcal{G}_{\text{GUT}} : SU(5), SO(10), SU(4) \otimes SU(2)_L \otimes SU(2)_R$
- 6 scalar and 6 vector LQ's
- Generally very heavy, but some can be light, $m_{\text{LQ}} \simeq \mathcal{O}(1)$

Symbol	Spin	$(SU(3)_c, SU(2)_L)_{U(1)_Y}$
S_1	0	$(\bar{\mathbf{3}}, 1)_{1/3}$
S_3	0	$(\bar{\mathbf{3}}, \mathbf{3})_{1/3}$
R_2	0	$(\bar{\mathbf{3}}, \mathbf{2})_{7/6}$
\tilde{R}_2	0	$(\bar{\mathbf{3}}, \mathbf{2})_{1/6}$
U_1	1	$(\bar{\mathbf{3}}, 1)_{2/3}$
U_3	1	$(\bar{\mathbf{3}}, \mathbf{3})_{2/3}$

$S_3 \quad (3,3)_{1/3}$

$$\mathcal{L}_{S_3} = y_L^{ij} \overline{Q}_i^C i\tau_2 (\tau_k S_3^k) L_j + \text{h.c.}$$

$$\begin{aligned} \mathcal{L}_{S_3} = & - y_L^{ij} \overline{d}_{Li}^C \nu_{Lj} S_3^{(1/3)} - \sqrt{2} y_L^{ij} \overline{d}_{Li}^C \ell_{Lj} S_3^{(4/3)} \\ & + \sqrt{2} (V^* y_L)_{ij} \overline{u}_{Li}^C \nu_{Lj} S_3^{(-2/3)} - (V^* y_L)_{ij} \overline{u}_{Li}^C \ell_{Lj} S_3^{(1/3)} + \text{h.c.} \end{aligned}$$



S_3 $(3,3)_{1/3}$

$$\mathcal{L}_{S_3} = y_L^{ij} \overline{Q_i^C} i\tau_2 (\tau_k S_3^k) L_j + \text{h.c.}$$

Indeed

$$C_9^{kl} = -C_{10}^{kl} = \frac{\pi v^2}{V_{tb} V_{ts}^* \alpha_{\text{em}}} \frac{y_L^{bk} (y_L^{sl})^*}{m_{S_3}^2}$$



$$g_{V_L} = -\frac{v^2 y_L^{bl'} (V y_L^*)_{cl}}{4V_{cb} m_{S_3}^2} = -\frac{v^2}{4m_{S_3}^2} y_L^{bl'} \left[(y_L^{bl})^* + \frac{V_{cs}}{V_{cb}} (y_L^{sl})^* + \frac{V_{cd}}{V_{cb}} (y_L^{dl})^* \right]$$

SIC!



$R_2 \quad (3,2)_{7/6}$

$$\mathcal{L}_{R_2} = y_R^{ij} \bar{Q}_i \ell_{Rj} R_2 - y_L^{ij} \bar{u}_{Ri} R_2 i\tau_2 L_j + \text{h.c.}$$

$$\begin{aligned} \mathcal{L}_{R_2} = & (V y_R)_{ij} \bar{u}_{Li} \ell_{Rj} R_2^{(5/3)} + (y_R)_{ij} \bar{d}_{Li} \ell_{Rj} R_2^{(2/3)} \\ & + (y_L)_{ij} \bar{u}_{Ri} \nu_{Lj} R_2^{(2/3)} - (y_L)_{ij} \bar{u}_{Ri} \ell_{Lj} R_2^{(5/3)} + \text{h.c.} \end{aligned}$$

R_2 (3,2)₇₁₆

$$\mathcal{L}_{R_2} = y_R^{ij} \bar{Q}_i \ell_{Rj} R_2 - y_L^{ij} \bar{u}_{Ri} R_2 i\tau_2 L_j + \text{h.c.}$$

$$C_9^{kl} = C_{10}^{kl} \stackrel{\text{tree}}{=} - \frac{\pi v^2}{2V_{tb} V_{ts}^* \alpha_{\text{em}}} \frac{y_R^{sl} (y_R^{bk})^*}{m_{R_2}^2}, \quad \times$$

$$g_{S_L} = 4 g_T = \frac{v^2}{4V_{cb}} \frac{y_L^{cl'} (y_R^{bl})^*}{m_{R_2}^2}, \quad \checkmark$$

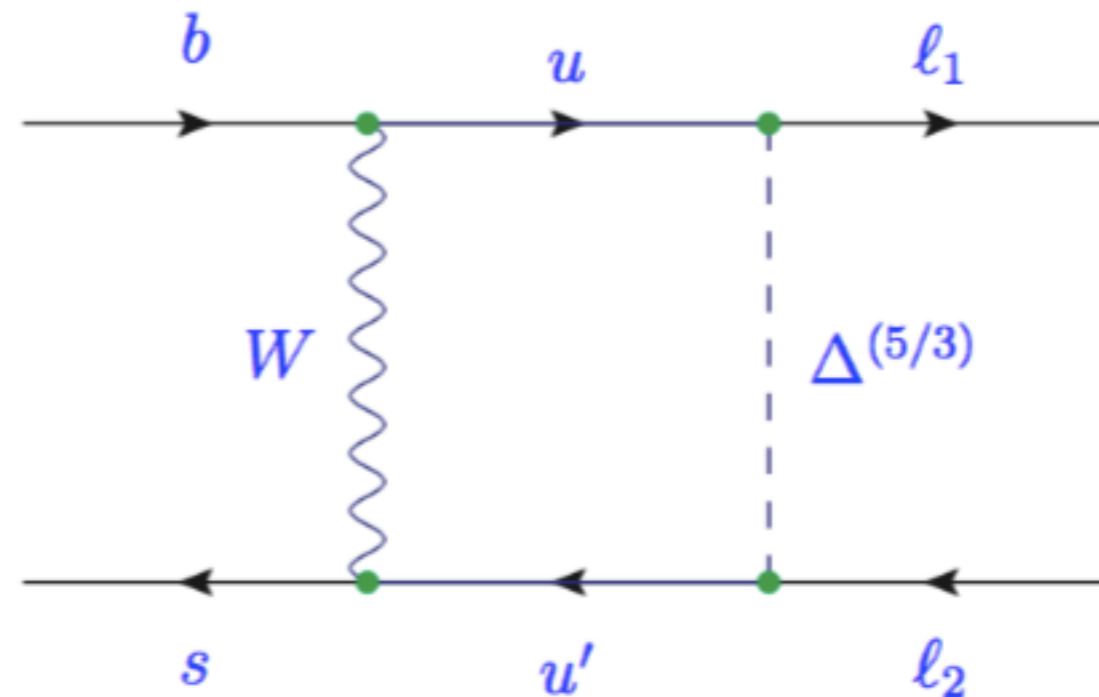
Not following the Zurich (V-A) guide!

NB: $g_{SL} = 4 g_T @ \mu = m_{R_2} \Rightarrow g_{SL} \approx 8.14 g_T @ \mu = m_b$

$R_2 \quad (3,2)_{7/6}$

$$\mathcal{L}_{R_2} = y_R^{ij} \bar{Q}_i \ell_{Rj} R_2 - y_L^{ij} \bar{u}_{Ri} R_2 i\tau_2 L_j + \text{h.c.}$$

If $y_R=0$, except $y_R^{b\tau}$



$$C_9^{kl} = -C_{10}^{kl} \stackrel{\text{loop}}{=} \sum_{u,u' \in \{u,c,t\}} \frac{V_{ub} V_{u's}^*}{V_{tb} V_{ts}^*} y_L^{u'k} (y_L^{ul})^* \mathcal{F}(x_u, x_{u'}) \quad \checkmark$$

charm important
< 0

$$\tilde{R}_2 \quad (3,2)_{1/6}$$

$$\begin{aligned} \mathcal{L}_{\tilde{R}_2} &= -y_L^{ij} \overline{d_{Ri}} \tilde{R}_2 i\tau_2 L_j + \text{h.c.}, \\ &= -y_L^{ij} \overline{d_{Ri}} \ell_{Lj} \tilde{R}_2^{(2/3)} + y_L^{ij} \overline{d_{Ri}} \nu_{Lj} \tilde{R}_2^{(-1/3)} + \text{h.c.} \end{aligned}$$

$$C_9'^{kl} = -C_{10}'^{kl} = -\frac{\pi v^2}{2V_{tb} V_{ts}^* \alpha_{\text{em}}} \frac{y_L^{sk} (y_L^{bl})^*}{m_{\tilde{R}_2}^2} \quad \times$$

$$\tilde{R}_2 \quad (3,2)_{1/6}$$

$$\begin{aligned} \mathcal{L}_{\tilde{R}_2} &= -y_L^{ij} \overline{d_{Ri}} \tilde{R}_2 i\tau_2 L_j + \text{h.c.} , \\ &= -y_L^{ij} \overline{d_{Ri}} \ell_{Lj} \tilde{R}_2^{(2/3)} + y_L^{ij} \overline{d_{Ri}} \nu_{Lj} \tilde{R}_2^{(-1/3)} + \text{h.c.} \end{aligned}$$

- Possible to add a gauge invariant $\overline{Q} \tilde{R}_2 \nu_R$ term
- No interference with SM amplitude
→ needs too large couplings to get $R_{D^{(*)}} / R_{D^{(*)}}^{\text{SM}}$ right!
- Idea of light ν_R explored in other models.

x

S₁ (3, 1)_{1/3}

$$\mathcal{L}_{S_1} = y_L^{ij} \overline{Q^C} i\tau_2 L_j S_1 + y_R^{ij} \overline{u_{Ri}^C} e_{Rj} S_1 + \text{h.c.}$$

$$= S_1 \left[(V^* y_L)_{ij} \overline{u_{Li}^C} \ell_{Lj} - y_L^{ij} \overline{d_{Li}^C} \nu_{Lj} + y_R^{ij} \overline{u_{Ri}^C} \ell_{Rj} \right] + \text{h.c.}$$

$$C_9^{kl} + C_{10}^{kl} = \frac{m_t^2}{8\pi\alpha_{\text{em}} m_{S_1}^2} (V^* y_L)_{tk} (V^* y_L)_{tl}^* - \frac{v^2}{32\pi\alpha_{\text{em}} m_{S_1}^2} \frac{(y_L \cdot y_L^\dagger)_{bs}}{V_{tb} V_{ts}^*} (y_L^\dagger \cdot y_L)_{kl},$$

$$C_9^{kl} - C_{10}^{kl} = \frac{m_t^2}{8\pi\alpha_{\text{em}} m_{S_1}^2} (y_R)_{tk} (y_R)_{tl}^* \left[\log \frac{m_{S_1}^2}{m_t^2} - f(x_t) \right] - \frac{v^2}{32\pi\alpha_{\text{em}} m_{S_1}^2} \frac{(y_L \cdot y_L^\dagger)_{bs}}{V_{tb} V_{ts}^*} (y_L^\dagger \cdot y_L)_{kl},$$

> 0

X

✓

$S_1 \quad (3, 1)_{1/3}$

$$\begin{aligned}\mathcal{L}_{S_1} &= y_L^{ij} \overline{Q^C} i\tau_2 L_j S_1 + y_R^{ij} \overline{u_{Ri}^C} e_{Rj} S_1 + \text{h.c.} \\ &= S_1 \left[(V^* y_L)_{ij} \overline{u_{Li}^C} \ell_{Lj} - y_L^{ij} \overline{d_{Li}^C} \nu_{Lj} + y_R^{ij} \overline{u_{Ri}^C} \ell_{Rj} \right] + \text{h.c.}\end{aligned}$$

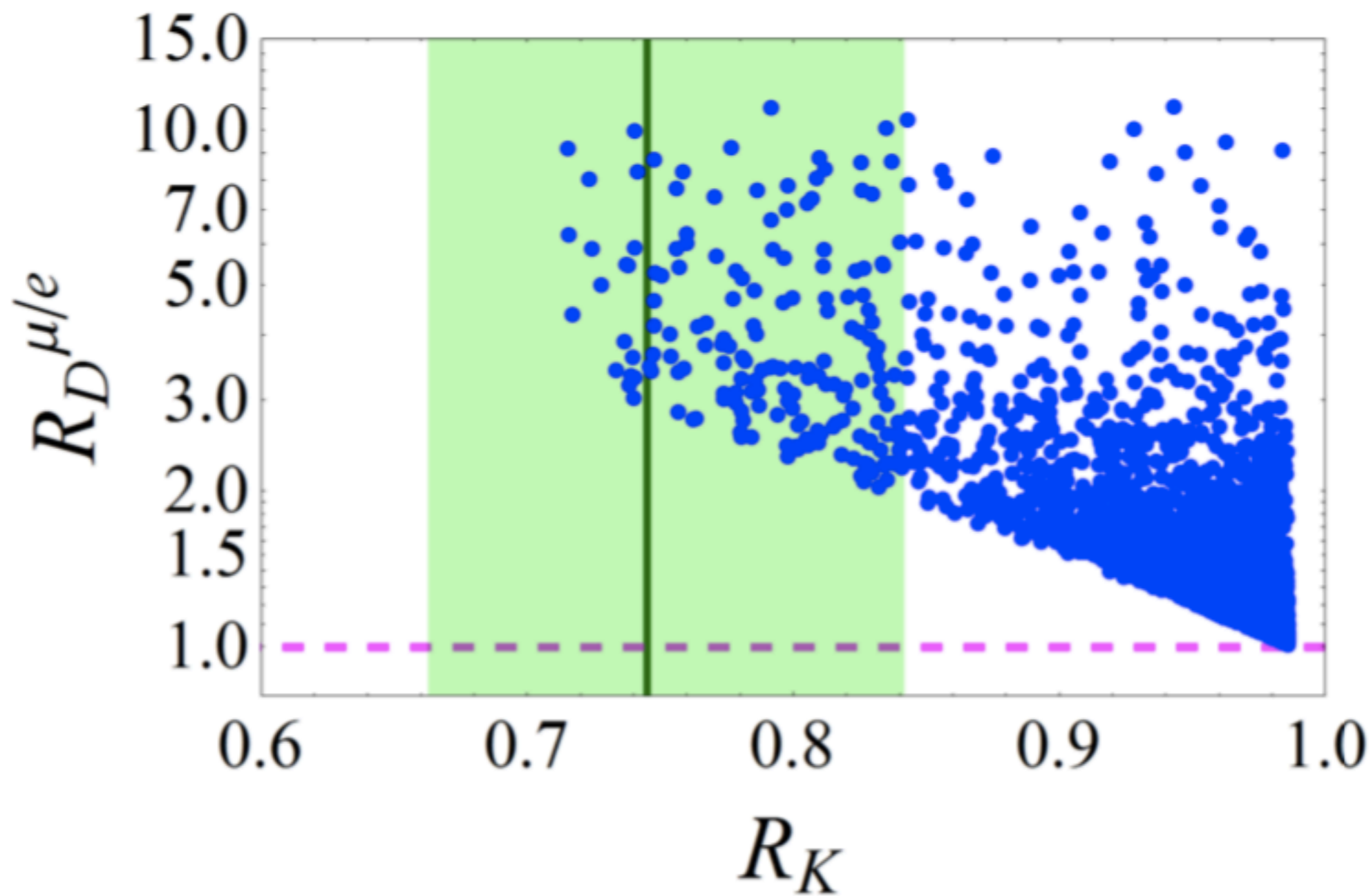
$$g_{V_L} = \frac{v^2}{4V_{cb}} \frac{y_L^{bl'} (V y_L^*)_{cl}}{m_{S_1}^2},$$

$$g_{S_L} = -4 g_T = -\frac{v^2}{4V_{cb}} \frac{y_L^{bl'} (y_R^{cl})^*}{m_{S_1}^2}$$



S_I

First attempt to explain R_D via tree LQ, and R_K with LQ in loops required large $y^{c\mu}$



$U_1 \quad (3, 1)_{2/3}$

$$\mathcal{L}_{U_1} = x_L^{ij} \bar{Q}_i \gamma_\mu U_1^\mu L_j + x_R^{ij} \bar{d}_{Ri} \gamma_\mu U_1^\mu \ell_{Rj} + \text{h.c.},$$

Minimal: $x_R=0$

$$C_9^{kl} = -C_{10}^{kl} = -\frac{\pi v^2}{V_{tb} V_{ts}^* \alpha_{\text{em}}} \frac{x_L^{sl} (x_L^{bk})^*}{m_{U_1}^2} \quad \checkmark$$

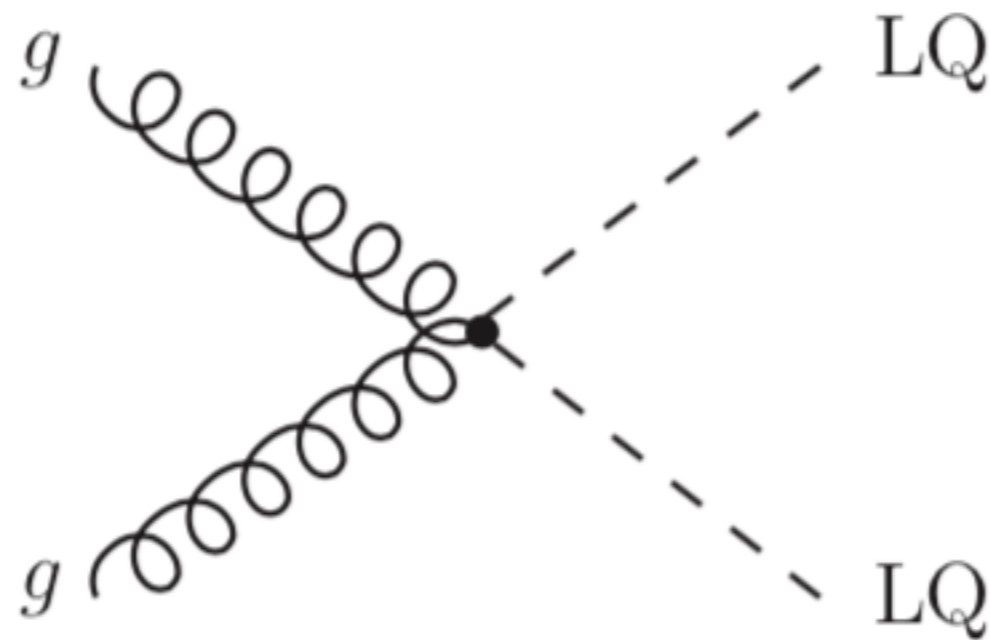
$$g_{V_L} = \frac{v^2 (V x_L)_{cl'} (x_L^{bl})^*}{2V_{cb} m_{U_1}^2}$$

$$= \frac{v^2}{2m_{U_1}^2} (x_L^{bl})^* \left[x_L^{bl'} + \frac{V_{cs}}{V_{cb}} x_L^{sl'} + \frac{V_{cd}}{V_{cb}} x_L^{dl'} \right] \quad \checkmark$$

Cannot compute loops unless specifying UV completion (bunch of new parameters)

Direct Searches

- LQ pair production



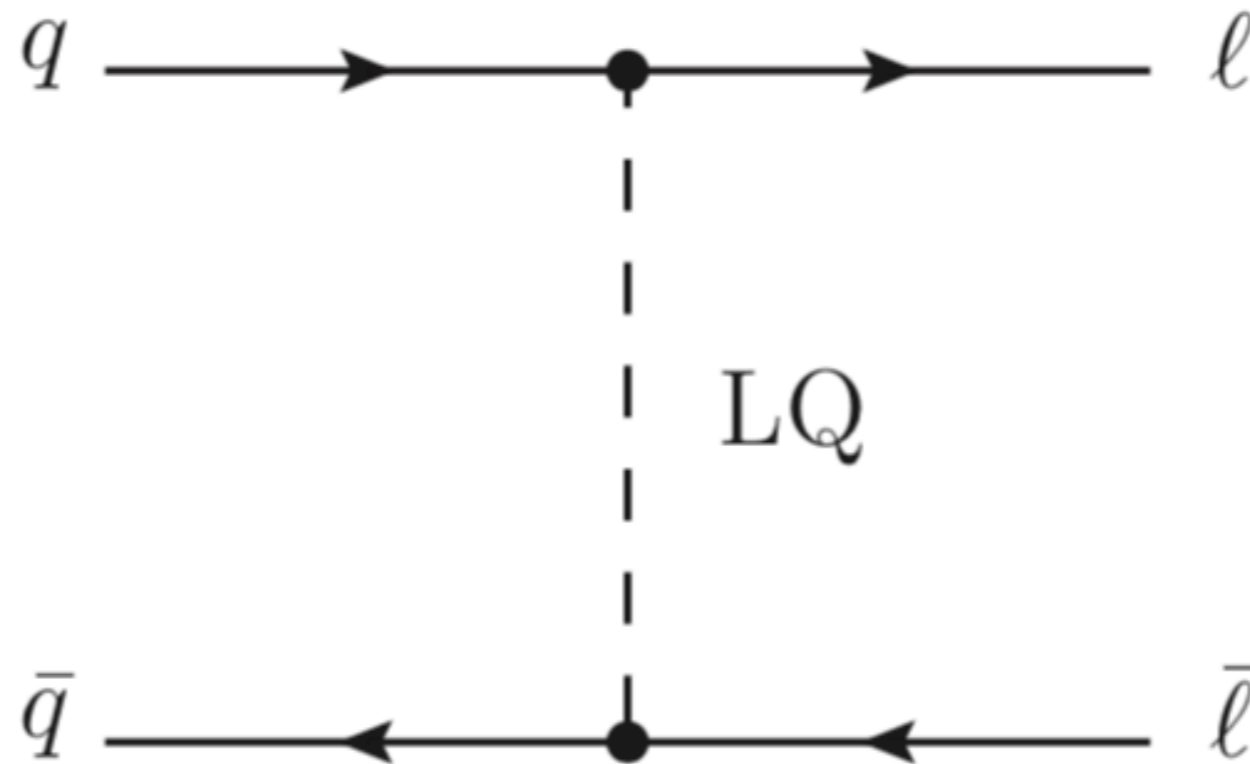
Direct Searches

- LQ pair production

Decays	LQs	Scalar LQ limits	Vector LQ limits
$jj \tau\bar{\tau}$	S_1, R_2, S_3, U_1, U_3	–	–
$b\bar{b} \tau\bar{\tau}$	R_2, S_3, U_1, U_3	850 (550) GeV	1550 (1290) GeV
$t\bar{t} \tau\bar{\tau}$	S_1, R_2, S_3, U_3	900 (560) GeV	1440 (1220) GeV
$jj \mu\bar{\mu}$	S_1, R_2, S_3, U_1, U_3	1530 (1275) GeV	2110 (1860) GeV
$b\bar{b} \mu\bar{\mu}$	R_2, U_1, U_3	1400 (–) GeV	1900 (1700) GeV
$t\bar{t} \mu\bar{\mu}$	S_1, R_2, S_3, U_3	1420 (950) GeV	1780 (1560) GeV
$jj \nu\bar{\nu}$	R_2, S_3, U_1, U_3	980 (640) GeV	1790 (1500) GeV
$b\bar{b} \nu\bar{\nu}$	S_1, R_2, S_3, U_3	1100 (800) GeV	1810 (1540) GeV
$t\bar{t} \nu\bar{\nu}$	R_2, S_3, U_1, U_3	1020 (820) GeV	1780 (1530) GeV

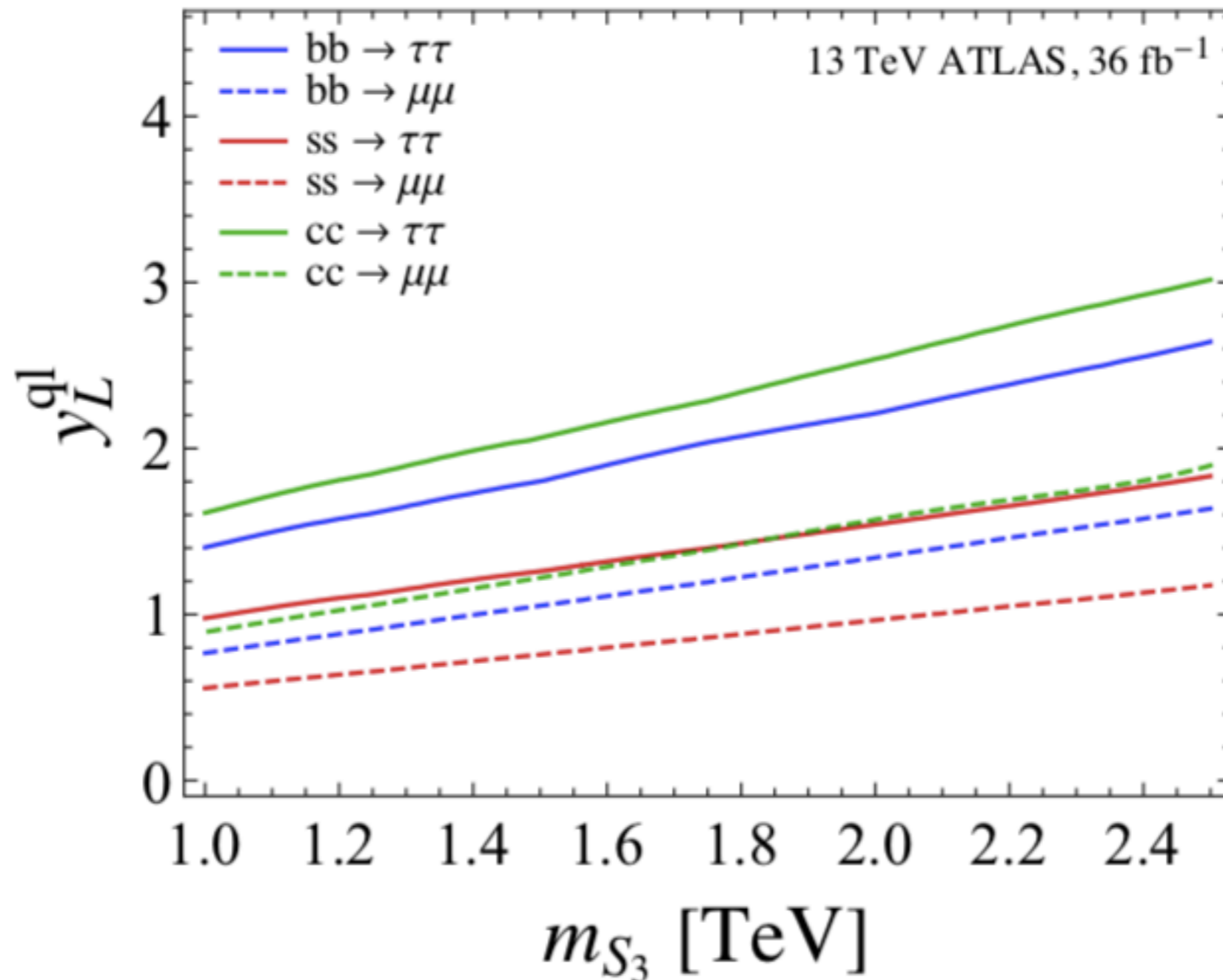
Direct Searches

- Monitor large p_T tails of $pp \rightarrow \ell\ell$



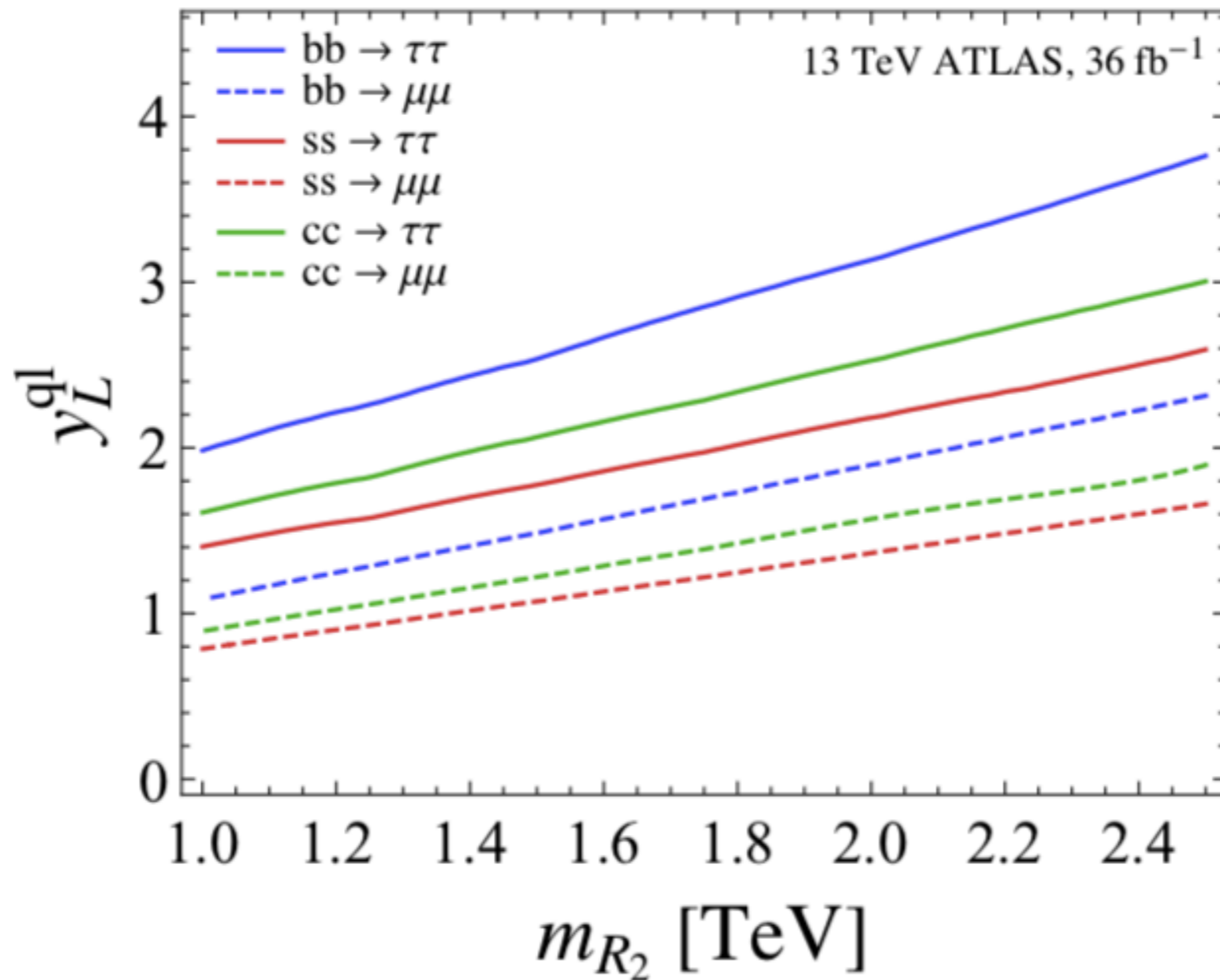
Direct Searches

- Monitor large p_T tails of $pp \rightarrow \ell\ell$



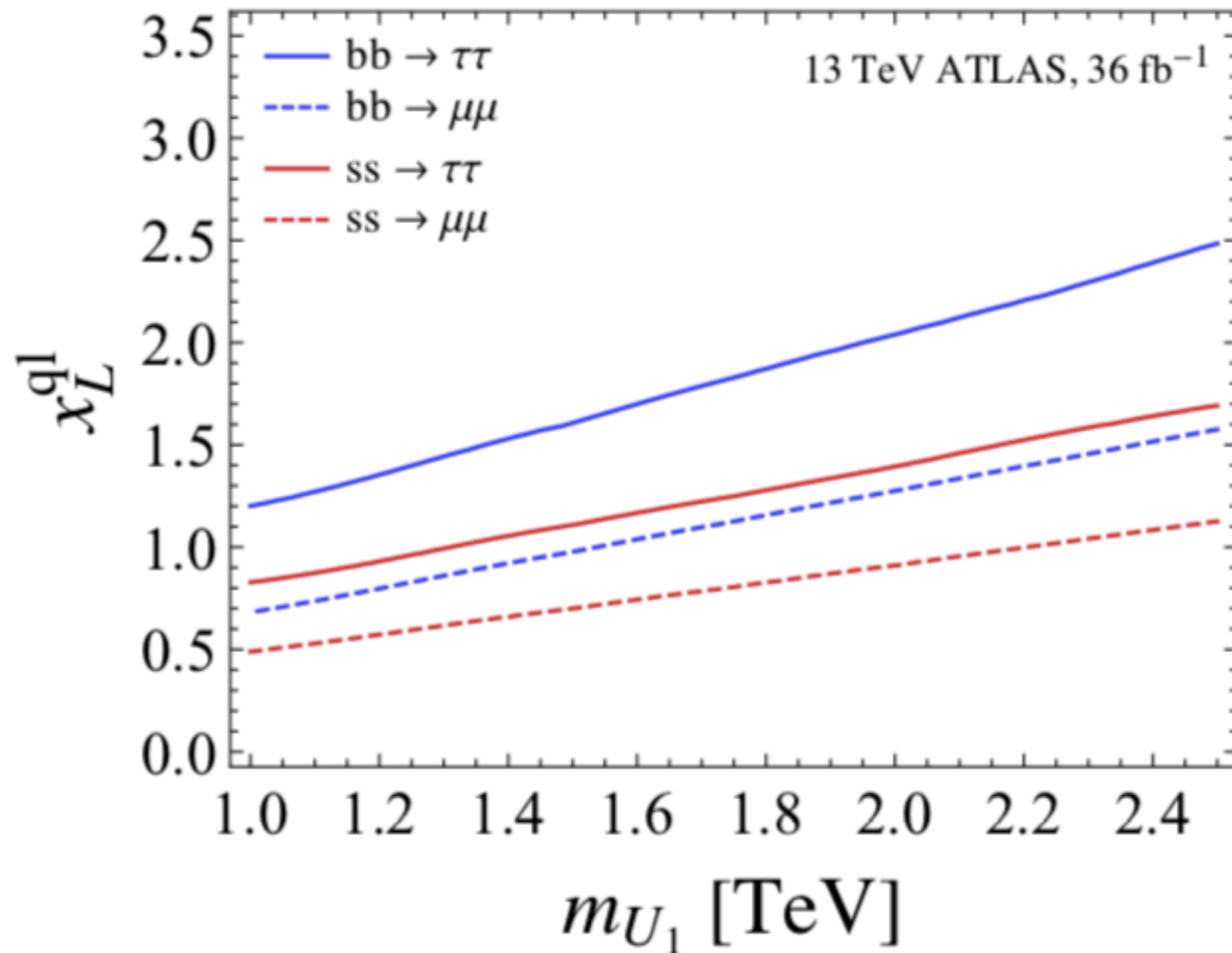
Direct Searches

- Monitor large p_T tails of $pp \rightarrow \ell\ell$



Direct Searches

- Monitor large p_T tails of $pp \rightarrow \ell\ell$



Revisiting R_2

$$\mathcal{L}_{R_2} = y_R^{ij} \bar{Q}_i \ell_{Rj} R_2 - y_L^{ij} \bar{u}_{Ri} R_2 i\tau_2 L_j + \text{h.c.}$$

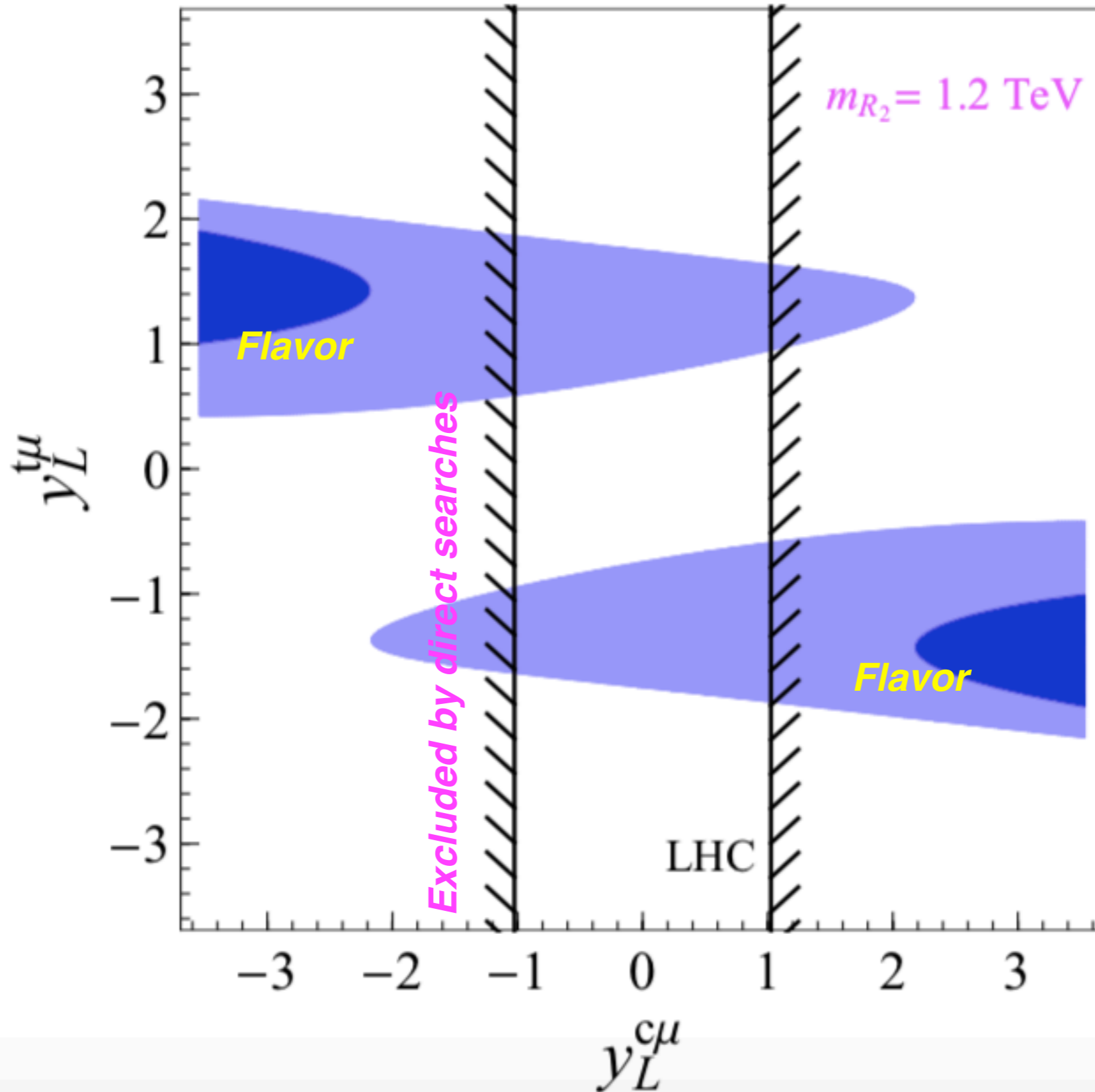
If $y_R=0$ and

$$y_L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_L^{c\mu} & 0 \\ 0 & y_L^{t\mu} & 0 \end{pmatrix}$$

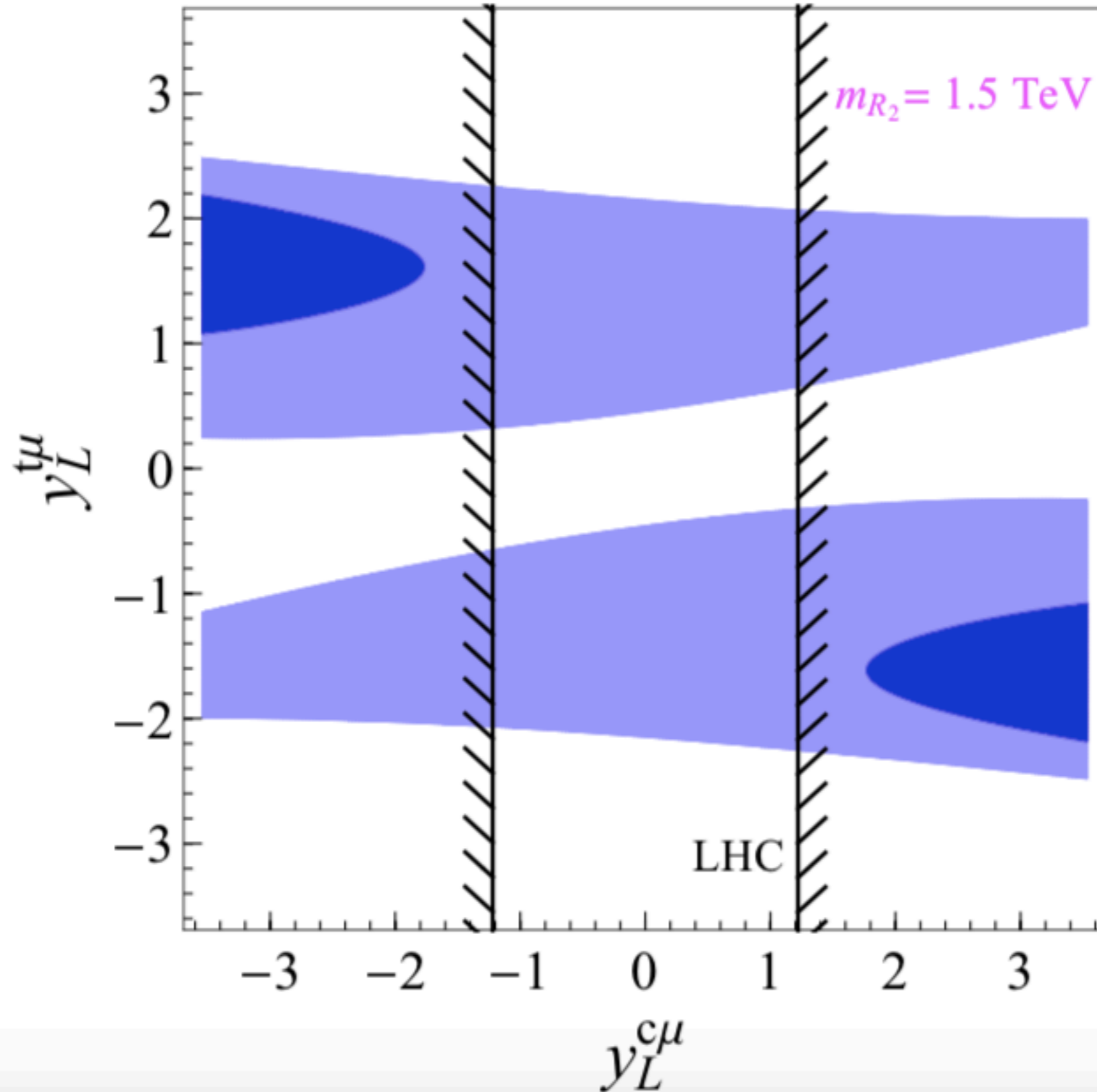
$$C_9^{kl} = -C_{10}^{kl} \stackrel{\text{loop}}{=} \sum_{u,u' \in \{u,c,t\}} \frac{V_{ub} V_{u's}^*}{V_{tb} V_{ts}^*} y_L^{u'k} (y_L^{ul})^* \mathcal{F}(x_u, x_{u'}) \quad \checkmark$$

↑ charm important
↑ < 0

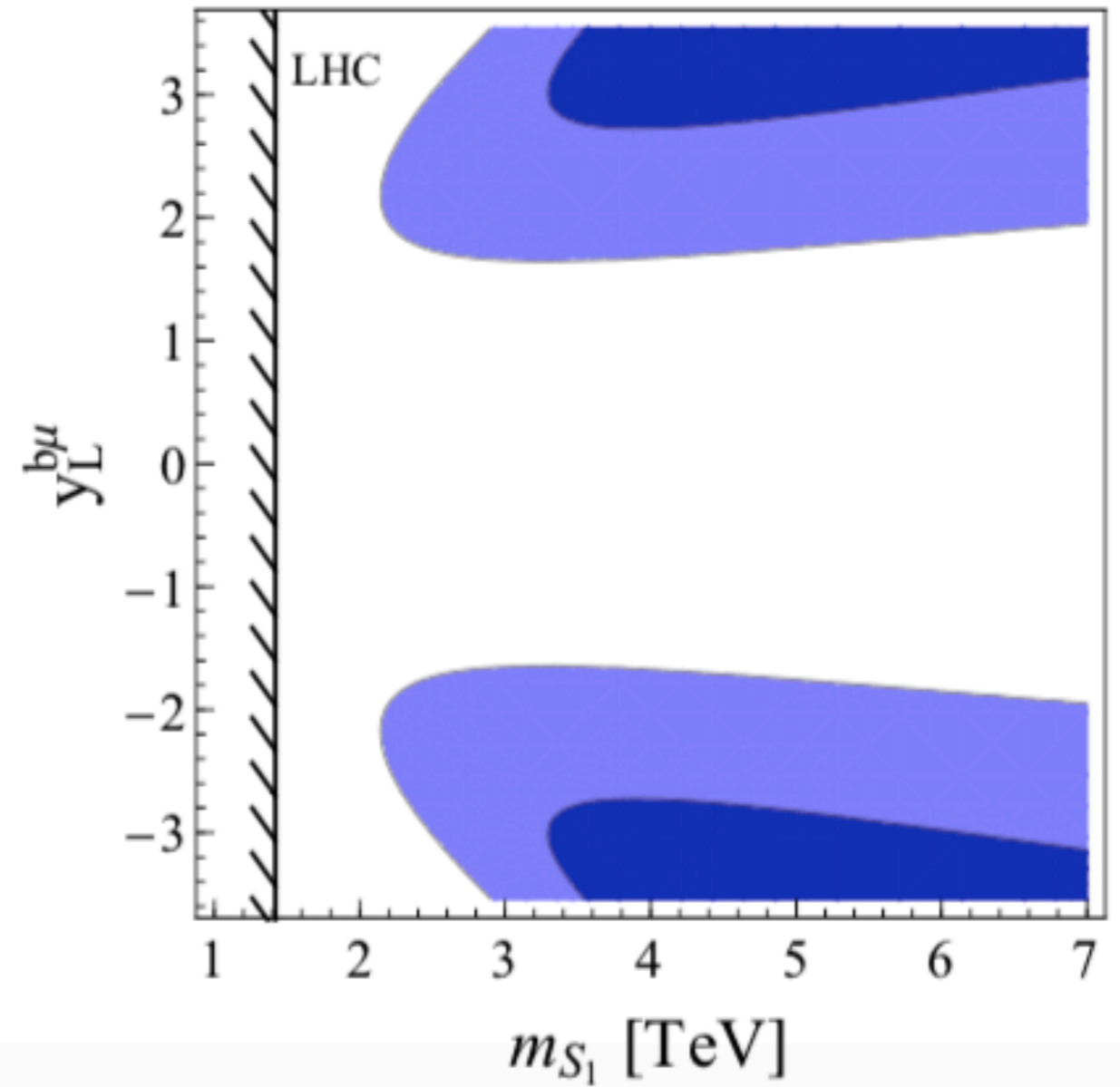
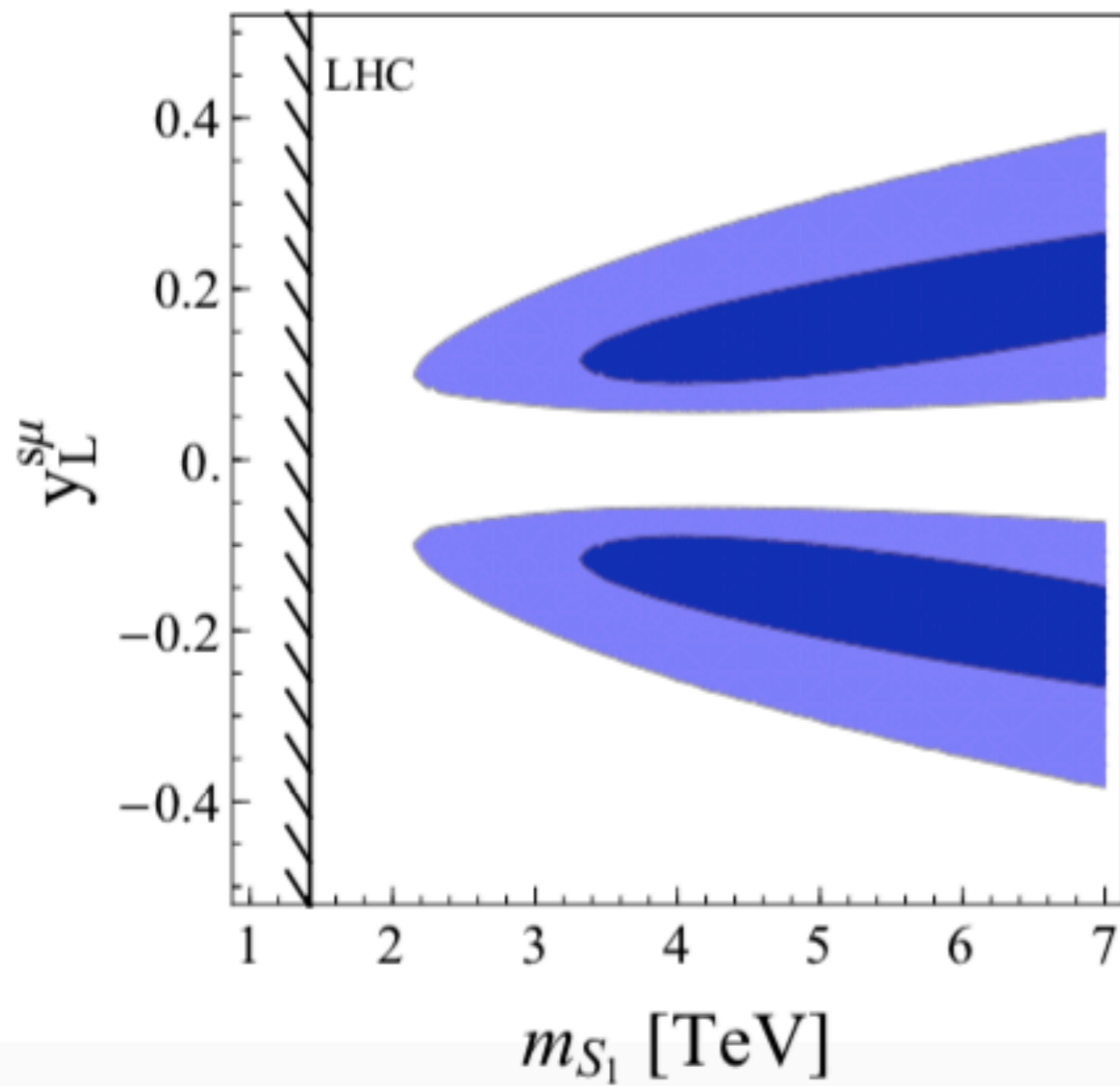
Revist R_2



Revist R_2



In S_1



Summarizing

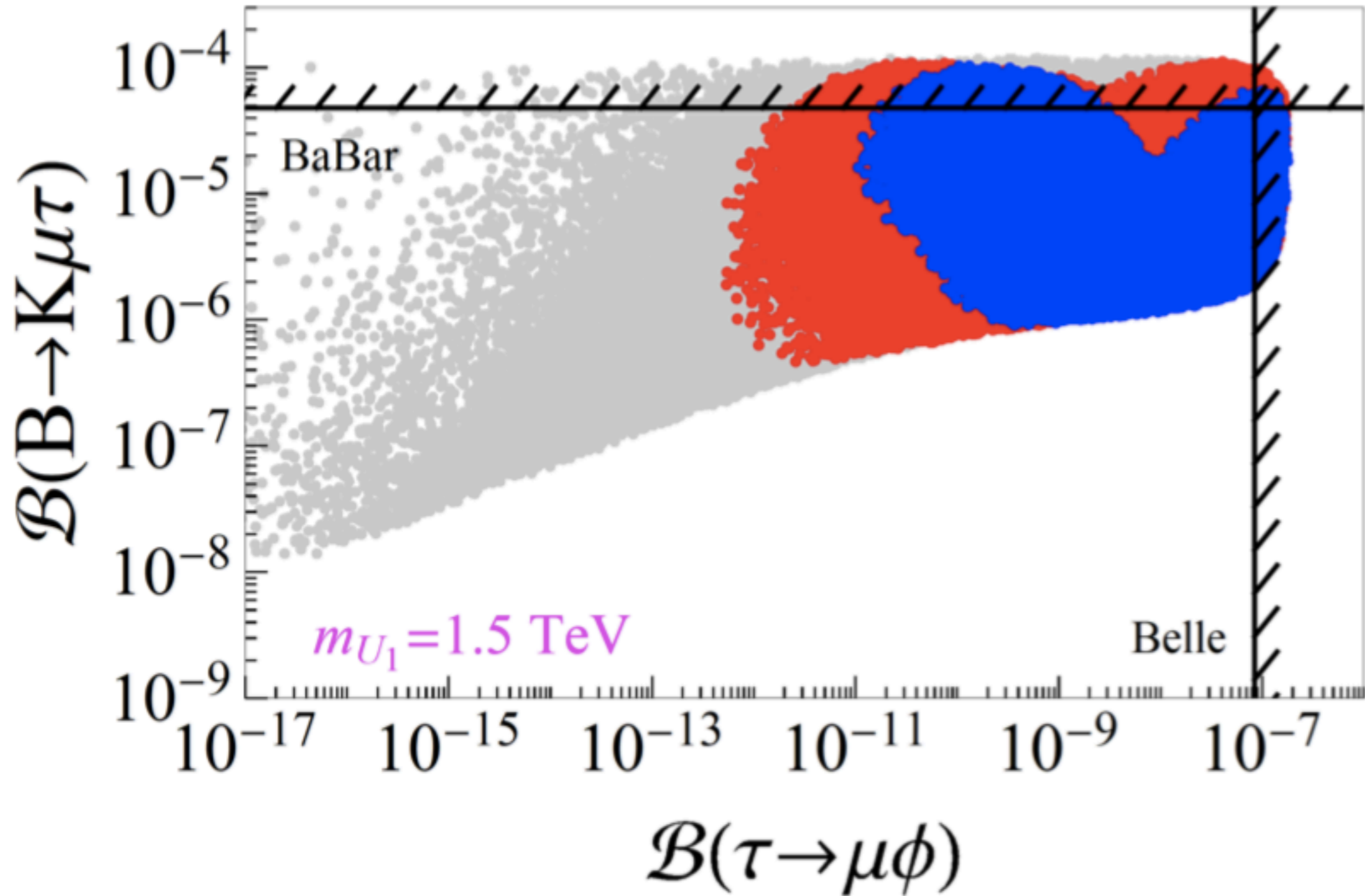
Single LQ solution to both kinds of anomalies

Model	$R_{K(*)}$	$R_{D(*)}$	$R_{K(*)}$ & $R_{D(*)}$
S_1	\times^*	\checkmark	\times^*
R_2	\times^*	\checkmark	\times
\widetilde{R}_2	\times	\times	\times
S_3	\checkmark	\times	\times
U_1	\checkmark	\checkmark	\checkmark
U_3	\checkmark	\times	\times

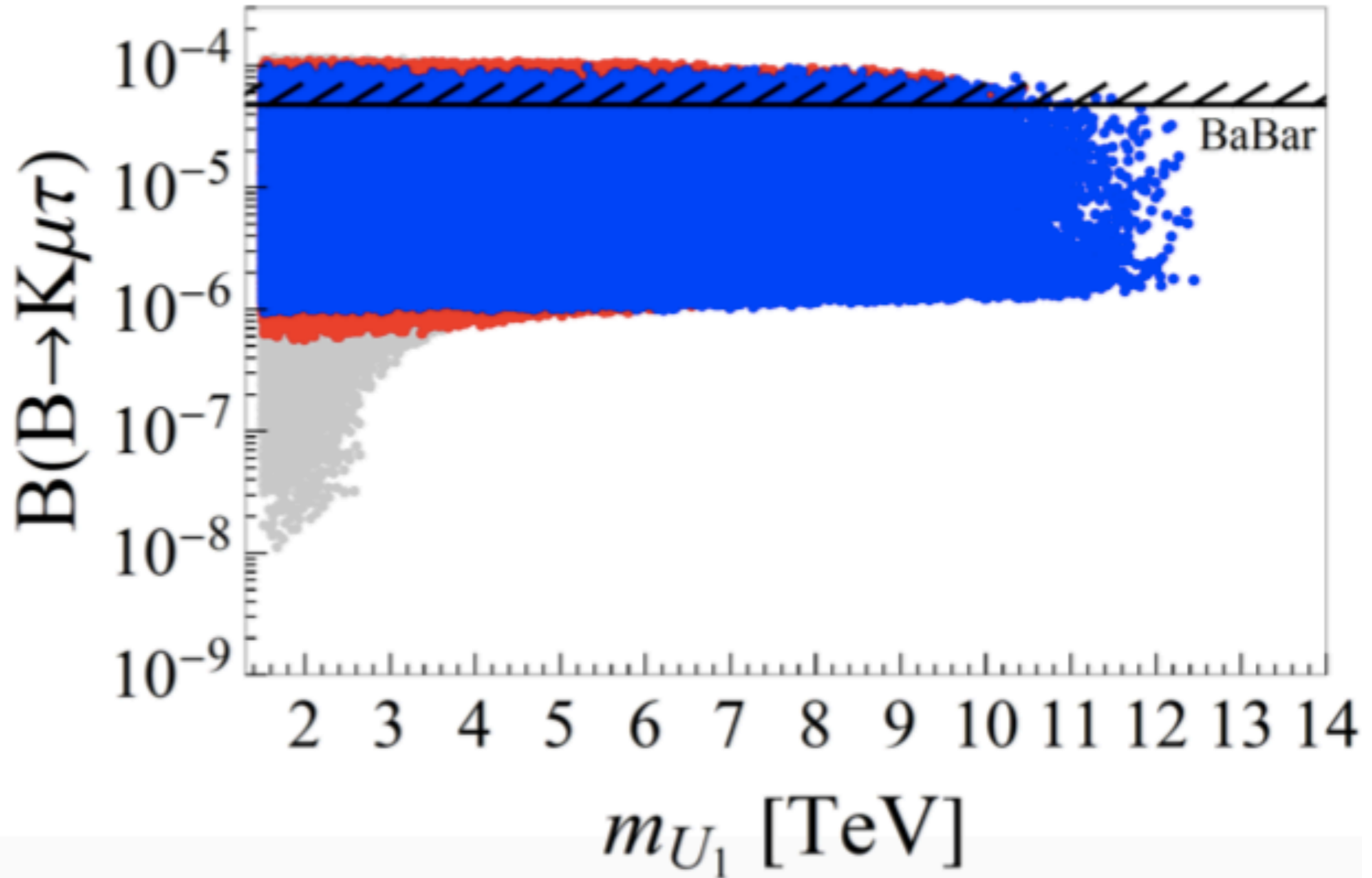


need UV completion

More on U_1



More on U_1



Plausible Model to accommodate all

Combine 2 SLQ

Model to accommodate both anomalies

In flavor basis

$$\mathcal{L} \supset y_R^{ij} \bar{Q}_i \ell_{Rj} R_2 + y_L^{ij} \bar{u}_{Ri} L_j \tilde{R}_2^\dagger + y^{ij} \bar{Q}_i^C i\tau_2 (\tau_k S_3^k) L_j + \text{h.c.}$$

$$R_2 = (3, 2, 7/6), \quad S_3 = (\bar{3}, 3, 1/3)$$

In mass eigenstates basis

$$\begin{aligned} \mathcal{L} \supset & (V_{\text{CKM}} y_R E_R^\dagger)^{ij} \bar{u}'_{Li} \ell'_{Rj} R_2^{(5/3)} + (y_R E_R^\dagger)^{ij} \bar{d}'_{Li} \ell'_{Rj} R_2^{(2/3)} \\ & + (U_R y_L U_{\text{PMNS}})^{ij} \bar{u}'_{Ri} \nu'_{Lj} R_2^{(2/3)} - (U_R y_L)^{ij} \bar{u}'_{Ri} \ell'_{Lj} R_2^{(5/3)} \\ & - (y U_{\text{PMNS}})^{ij} \bar{d}'_{Li} \nu'_{Lj} S_3^{(1/3)} - \sqrt{2} y^{ij} \bar{d}'_{Li} \ell'_{Lj} S_3^{(4/3)} \\ & + \sqrt{2} (V_{\text{CKM}}^* y U_{\text{PMNS}})_{ij} \bar{u}'_{Li} \nu'_{Lj} S_3^{(-2/3)} - (V_{\text{CKM}}^* y)_{ij} \bar{u}'_{Li} \ell'_{Lj} S_3^{(1/3)} + \text{h.c.} \end{aligned}$$

Model to accommodate both anomalies

$$R_2 = (3, 2, 7/6), S_3 = (\bar{3}, 3, 1/3)$$

$$\begin{aligned} \mathcal{L} \supset & (V_{\text{CKM}} y_R E_R^\dagger)^{ij} \bar{u}'_{Li} \ell'_{Rj} R_2^{(5/3)} + (y_R E_R^\dagger)^{ij} \bar{d}'_{Li} \ell'_{Rj} R_2^{(2/3)} \\ & + (U_R y_L U_{\text{PMNS}})^{ij} \bar{u}'_{Ri} \nu'_{Lj} R_2^{(2/3)} - (U_R y_L)^{ij} \bar{u}'_{Ri} \ell'_{Lj} R_2^{(5/3)} \\ & - (y U_{\text{PMNS}})^{ij} \bar{d}'_{Li} \nu'_{Lj} S_3^{(1/3)} - \sqrt{2} y^{ij} \bar{d}'_{Li} \ell'_{Lj} S_3^{(4/3)} \\ & + \sqrt{2} (V_{\text{CKM}}^* y U_{\text{PMNS}})_{ij} \bar{u}'_{Li} \nu'_{Lj} S_3^{(-2/3)} - (V_{\text{CKM}}^* y)_{ij} \bar{u}'_{Li} \ell'_{Lj} S_3^{(1/3)} + \text{h.c.} \end{aligned}$$

and assume

$$\underline{y_R = y_R^T \quad y = -y_L}$$

$$y_R E_R^\dagger = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & y_R^{b\tau} \end{pmatrix}, \quad U_R y_L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_L^{c\mu} & y_L^{c\tau} \\ 0 & 0 & 0 \end{pmatrix}, \quad U_R = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{pmatrix}$$

Parameters: m_{R_2} , m_{S_3} , $y_R^{b\tau}$, $y_L^{c\mu}$, $y_L^{c\tau}$ and θ

Phenomenology suggests $\theta \approx \pi/2$ and $y_R^{b\tau}$ complex

Model to accommodate both anomalies

$$\mathcal{L}_{\text{eff}} = -\frac{4 G_F}{\sqrt{2}} V_{cb} \left[(1 + g_V) (\bar{u}_L \gamma_\mu d_L) (\bar{\ell}_L \gamma^\mu \nu_L) + g_S(\mu) (\bar{u}_R d_L) (\bar{\ell}_R \nu_L) \right. \\ \left. + g_T(\mu) (\bar{u}_R \sigma_{\mu\nu} d_L) (\bar{\ell}_R \sigma^{\mu\nu} \nu_L) \right] + \text{h.c.}$$

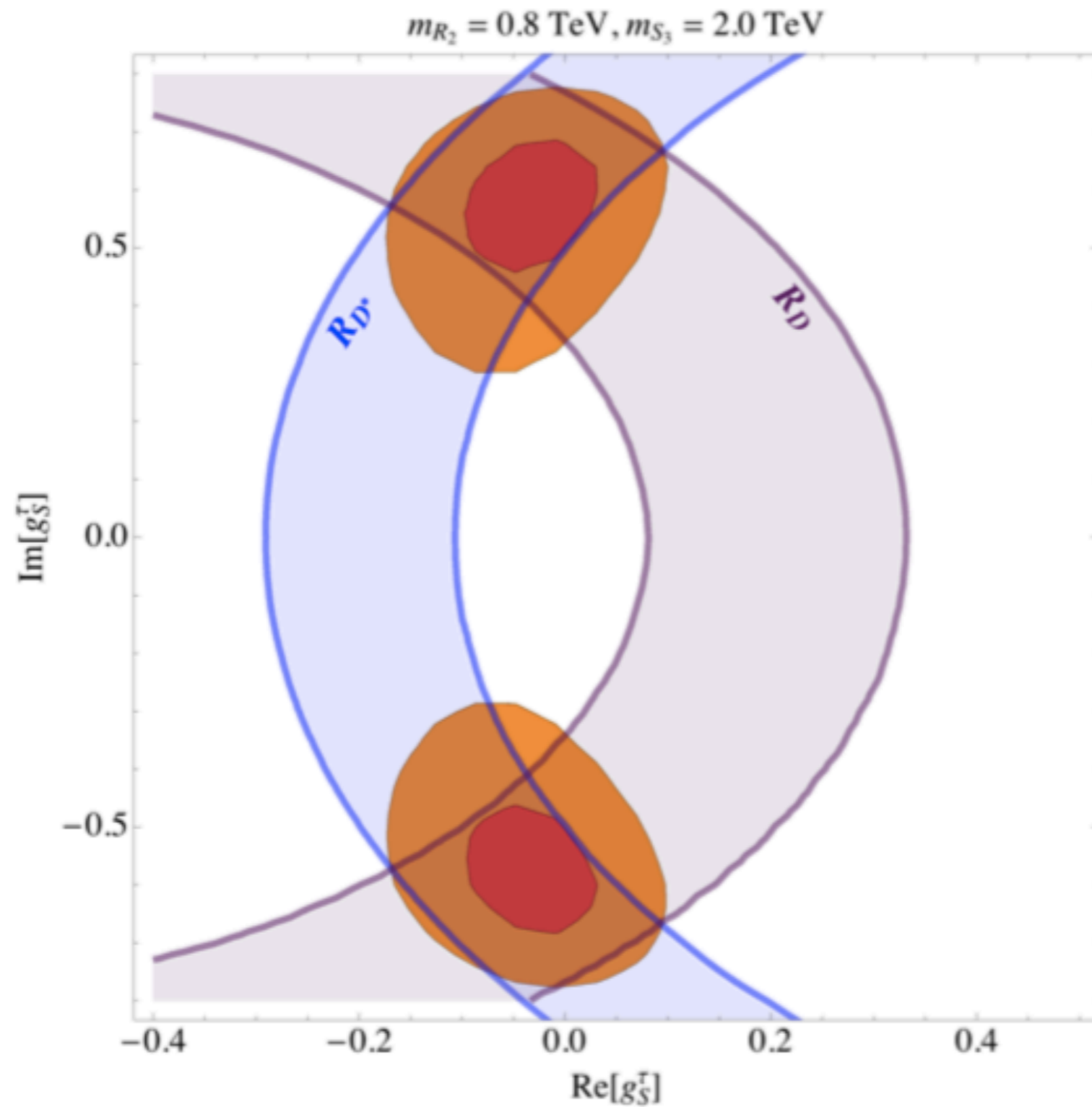
- Coefficients g_i in terms of y -couplings (up-type and down-type quarks):

$$g_S = 4 g_T = \frac{y_L^{u\ell'} (y_R^{d\ell})^*}{4\sqrt{2} m_{R_2}^2 G_F V_{ud}} \Big|_{\mu=m_{R_2}} \quad g_V = -\frac{y_{d\ell'} (V y^*)_{u\ell}}{4\sqrt{2} m_{S_3}^2 G_F V_{ud}}$$

$$u \in \{u, c\}, d \in \{s, b\}, \ell^{(\prime)} \in \{\mu, \tau\}.$$

NB g_V is tiny!

Model to accommodate both anomalies



Model to accommodate both anomalies

- $R_{e/\mu}^{K \text{ exp}} = 2.488(10) \times 10^{-5}$ [PDG], $R_{e/\mu}^{K \text{ SM}} = 2.477(1) \times 10^{-5}$ [Cirigliano 2007]

$$R_{e/\mu}^K = \frac{\Gamma(K^- \rightarrow e^- \bar{\nu})}{\Gamma(K^- \rightarrow \mu^- \bar{\nu})}$$

- $R_{\mu/e}^{D \text{ exp}} = 0.995(45)$ [Belle 2017], $R_{\mu/e}^{D^* \text{ exp}} = 1.04(5)$ [Belle 2016]

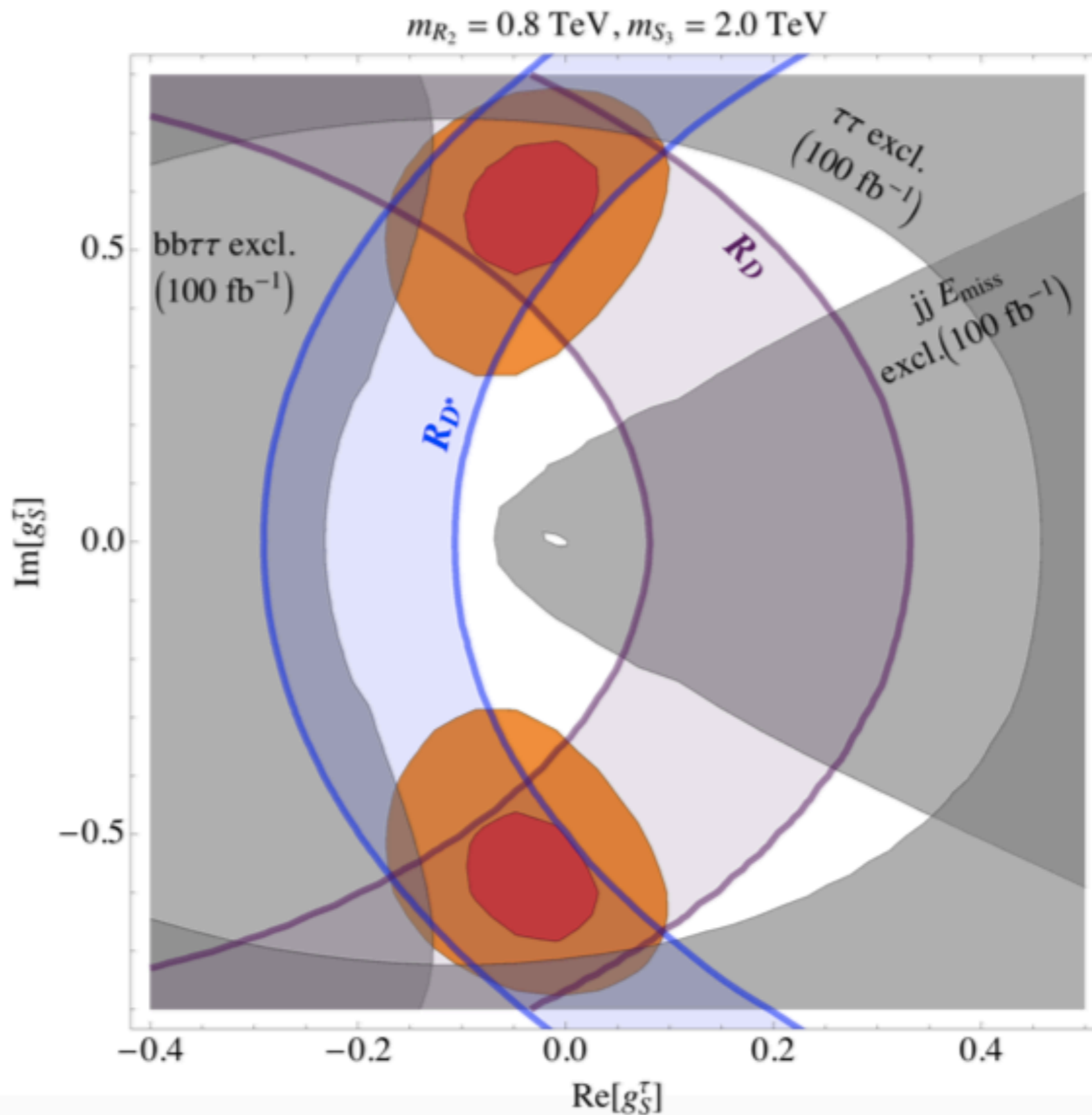
$$R_{\mu/e}^{D^{(*)}} = \frac{\Gamma(B \rightarrow D^{(*)} \mu \bar{\nu})}{\Gamma(B \rightarrow D^{(*)} e \bar{\nu})}$$

- $\mathcal{B}(\tau \rightarrow \mu \phi) < 8.4 \times 10^{-8}$ [PDG]
- Loops: $\Delta m_{B_s}^{\text{exp}} = 17.7(2) \text{ ps}^{-1}$ [PDG], $\Delta m_{B_s}^{\text{exp}} = (19.0 \pm 2.4) \text{ ps}^{-1}$ [FLAG 2016]
- Loops: $Z \rightarrow \mu\mu$, $Z \rightarrow \tau\tau$, $Z \rightarrow \nu\nu$ [PDG]

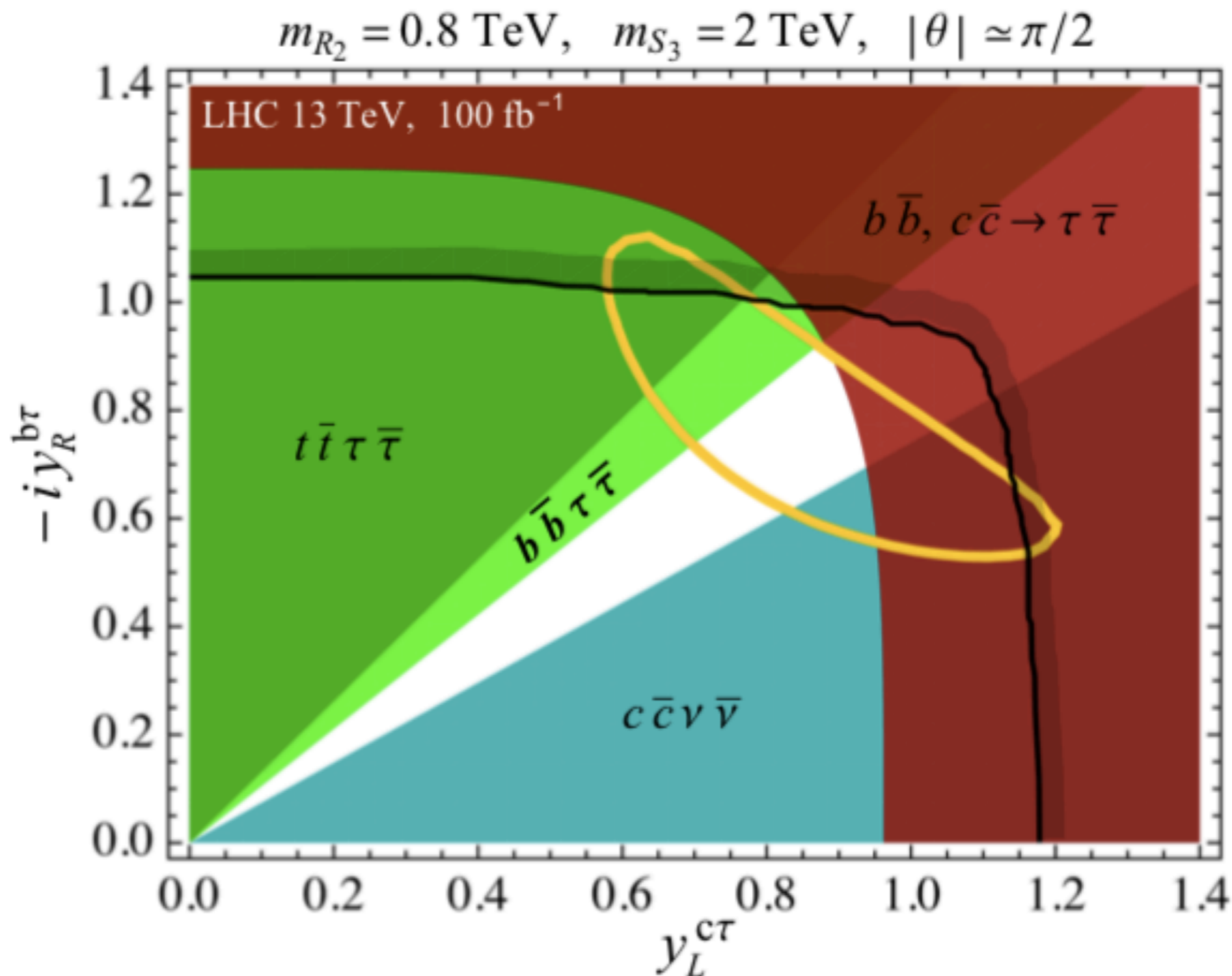
$$\frac{g_V^\tau}{g_V^e} = 0.959(29), \quad \frac{g_A^\tau}{g_A^e} = 1.0019(15) \quad \frac{g_V^\mu}{g_V^e} = 0.961(61), \quad \frac{g_A^\mu}{g_A^e} = 1.0001(13)$$

$$N_\nu^{\text{exp}} = 2.9840(82)$$

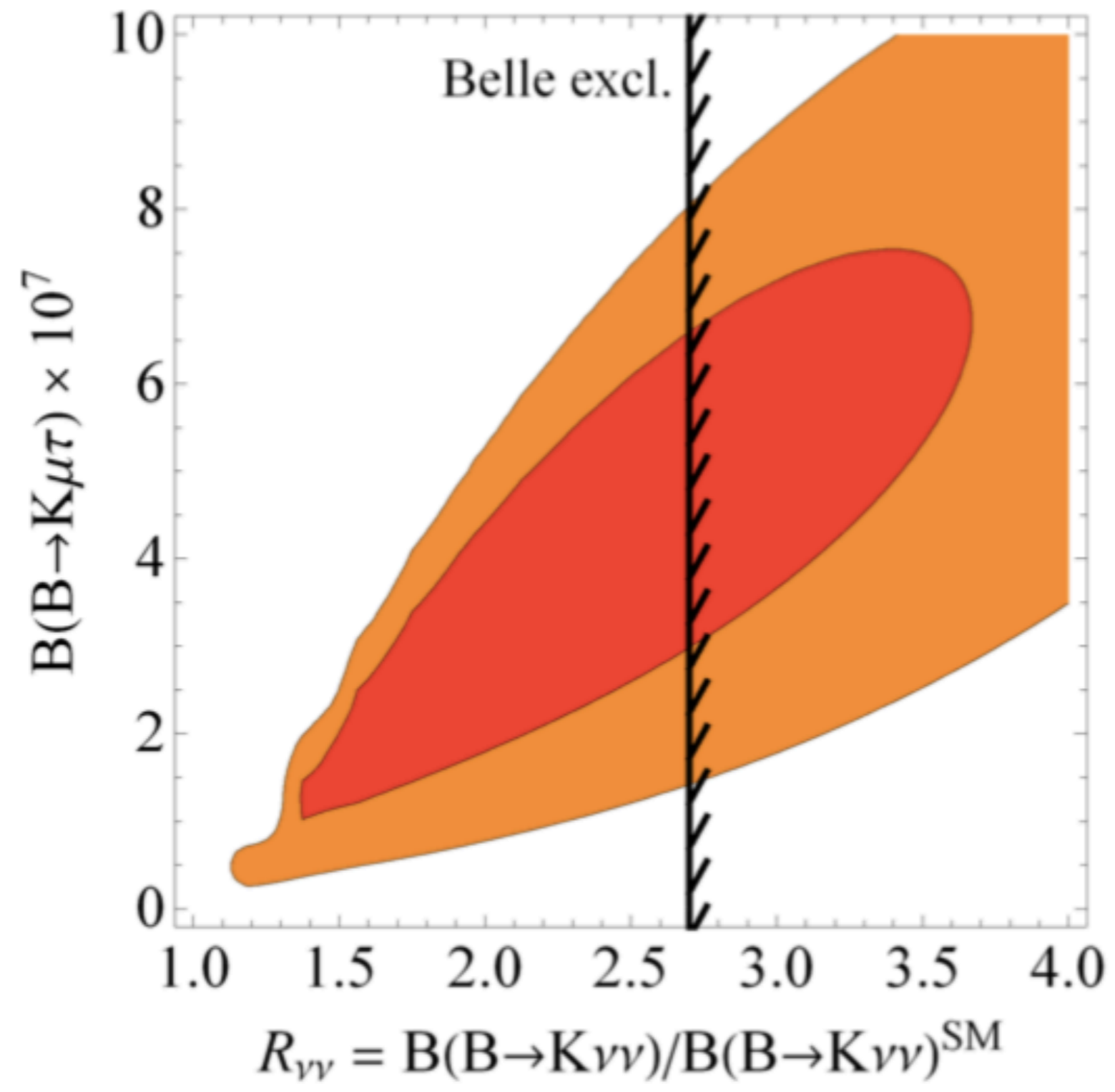
Model to accommodate both anomalies



Model to accommodate both anomalies



Interestingly...



Gravy: viable $SU(5)$ GUT

- Our choice of Yukawas biased by $SU(5)$ GUT aspirations
- Scalars: $R_2 \in \underline{45}, \underline{50}$, $S_3 \in \underline{45}$. SM matter fields in $\mathbf{5}_i$ and $\mathbf{10}_i$
- Operators $\mathbf{10}_i \mathbf{10}_j \underline{45}$ forbidden to prevent proton decay

- Available operators

$$\mathbf{10}_i \mathbf{5}_j \underline{45} : y_2^{RL}{}_{ij} \bar{u}_R^i R_2^a \varepsilon^{ab} L_L^{j,b}, \quad y_3^{LL}{}_{ij} \bar{Q}_L^{i,a} \varepsilon^{ab} (\tau^k S_3^k)^{bc} L_L^{j,c}$$

$$\mathbf{10}_i \mathbf{10}_j \underline{50} : y_2^{LR}{}_{ij} \bar{e}_R^i R_2^{a*} Q_L^{j,a}$$

- While breaking $SU(5)$ down to SM the two R_2 's mix – one can be light and the other (very) heavy. Thus our initial Lagrangian!
- Yukawas determined from flavor physics (low energies) remain perturbative ($\lesssim \sqrt{4\pi}$) up to $\Lambda_{\text{GUT}} = 5 \times 10^{15}$ GeV, if we use 1-loop running