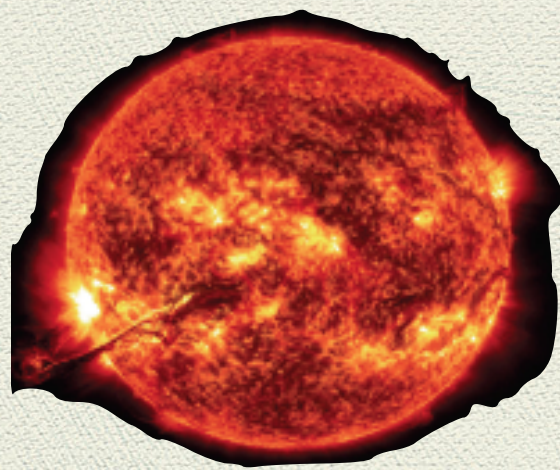


# Neutrinos from core-collapse supernovae and binary neutron star mergers

Maria Cristina Volpe

Astroparticules et Cosmologie (APC), Paris, France

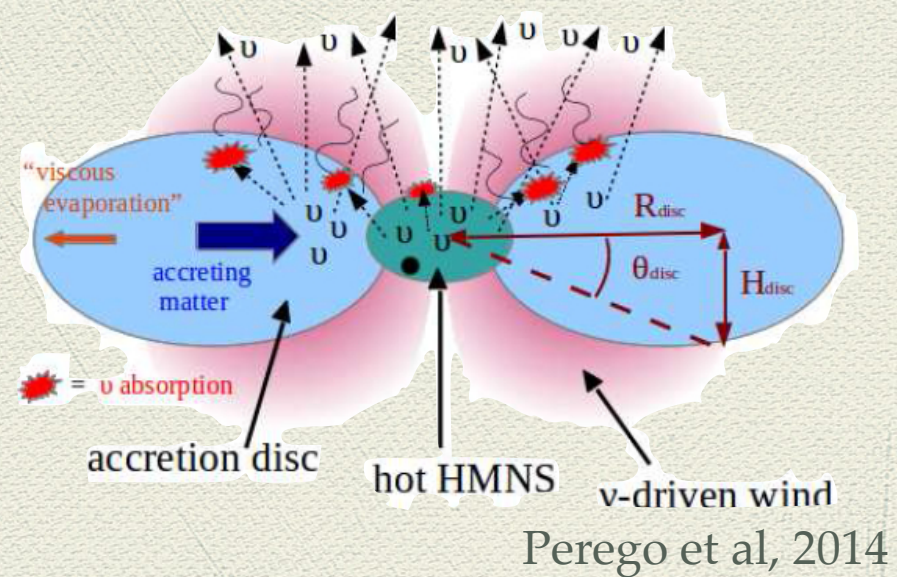
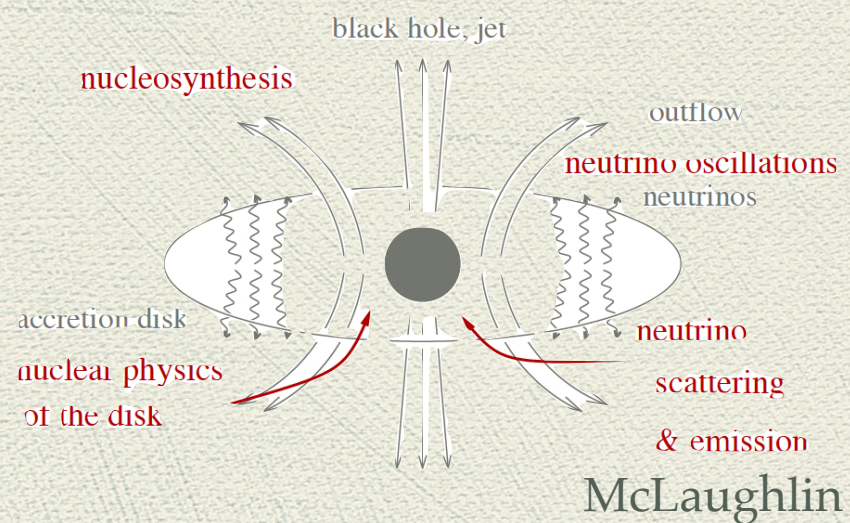




Sun



core-collapse Supernovae

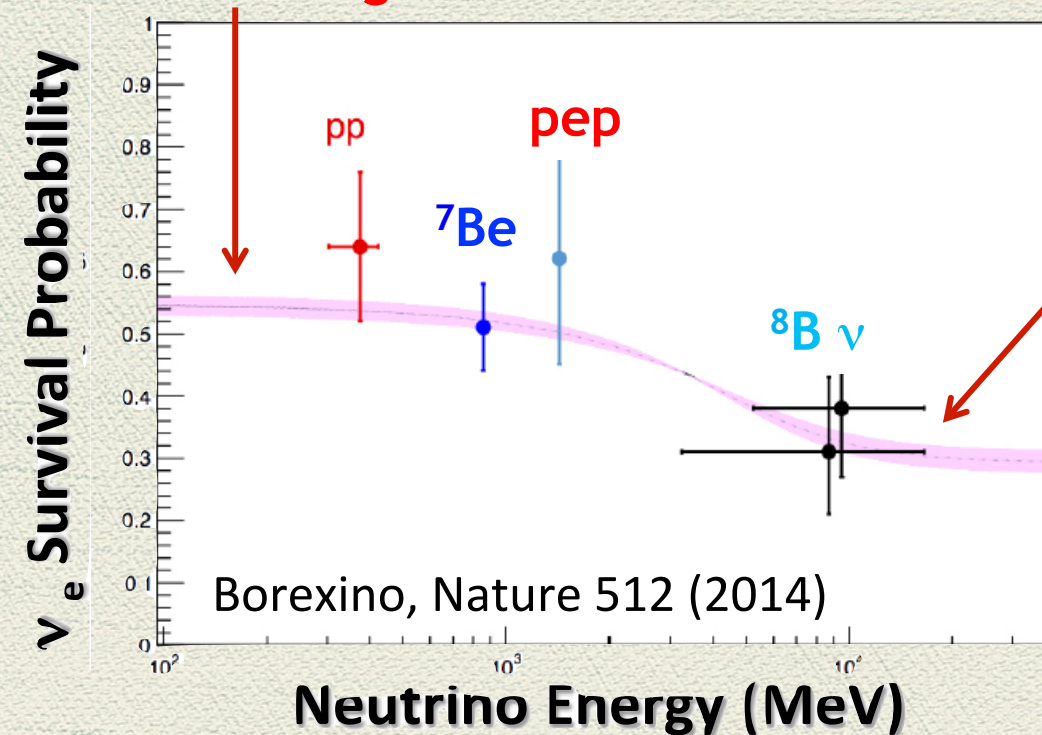


accretion disks around black holes or neutron star mergers remnants



# Solar neutrino observations

Vacuum averaged oscillations



MSW solution

Vacuum-averaged oscillations versus MSW suppression of high energy  $^8\text{B}$  neutrinos.

Energy production of low mass main sequence stars confirmed — pp reaction chain.

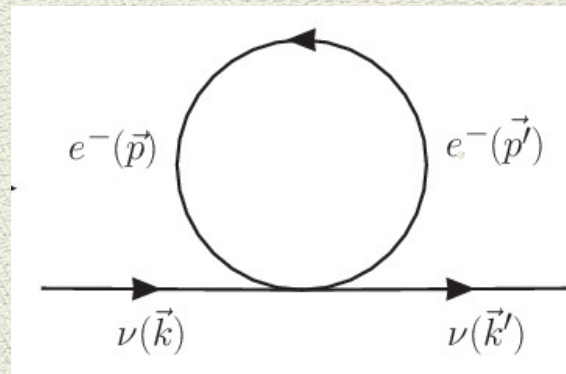
Future measurement of CNO neutrinos - main energy production in massive main sequence stars.



# The Mikheev-Smirnov-Wolfenstein effect

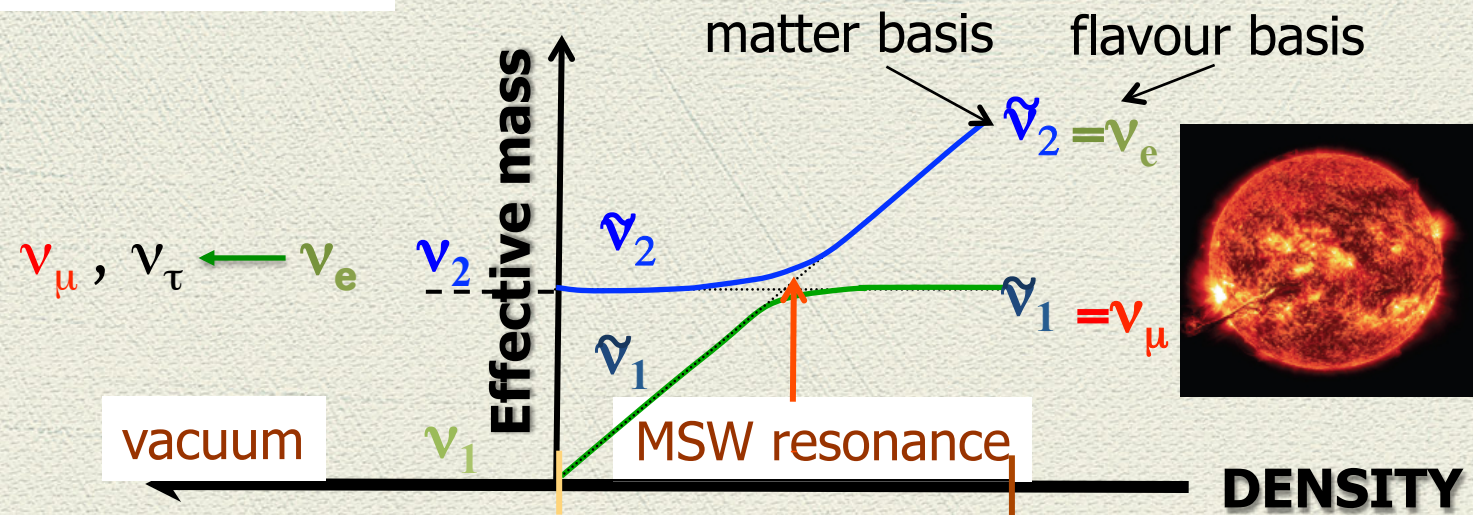
Neutrinos interact with matter and undergo **resonant adiabatic flavor conversion**.

Wolfenstein PRD (1978)  
Mikheev, Smirnov (1985)



$$h_{mat} = \sqrt{2}G_F\rho_e$$

mean-field approximation



$$h_\nu = \begin{pmatrix} -\Delta\tilde{m}^2/4E & -i\dot{\theta}_M \\ i\dot{\theta}_M & \Delta\tilde{m}^2/4E \end{pmatrix} \quad \text{neutrino hamiltonian in the matter basis}$$

Resonance condition :

$$h_{\nu,11} - h_{\nu,22} \approx 0$$

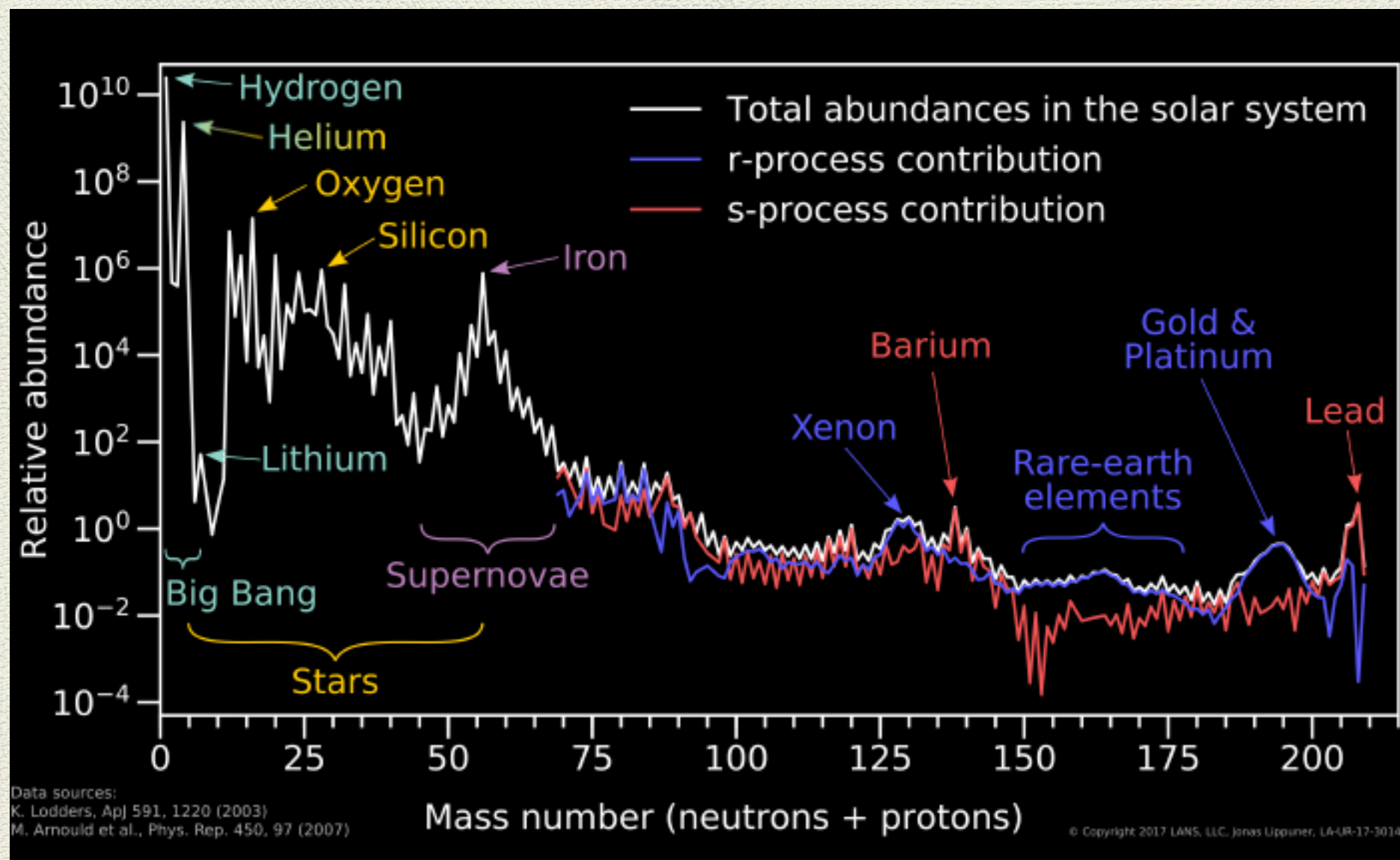
Adiabaticity :  $\gamma = \frac{|\dot{\theta}_M|}{\Delta\tilde{m}^2/4E} \ll 1$

Also in supernovae, in accretion disks around compact objects,  
in the Earth and in the Early Universe (BBN epoch)



# Heavy elements nucleosynthesis

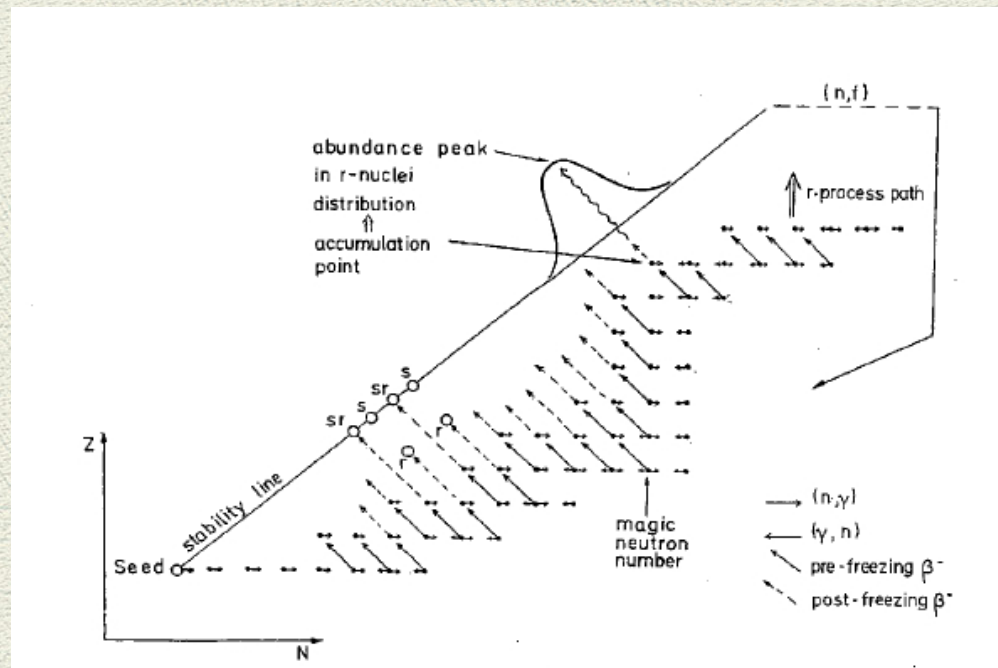
- Two main mechanisms at the origin of elements heavier than iron :  
**s-process (s-slow) and r-process (r-rapid).**
- Double peak structures at the first  $A=90$ , the second  $A=130$  and third  $A=190$  peaks due to both the s-process and the r-process.





# The r-process sites : a longstanding question

- The r-process : neutron-capture is fast compared to half-lives of neutron-rich unstable nuclei.



The nuclear flow goes far away from the stability line producing thousands of nuclei close to the neutron drip line.

- Candidate sites : **supernovae and accretion disks around compact objects** - black holes and binary neutron stars. Supernovae simulations show that the astrophysical conditions are not met (not enough neutrons). **Binary neutron star mergers do.**
- **Recent kilonova : direct evidence for r-process elements.**

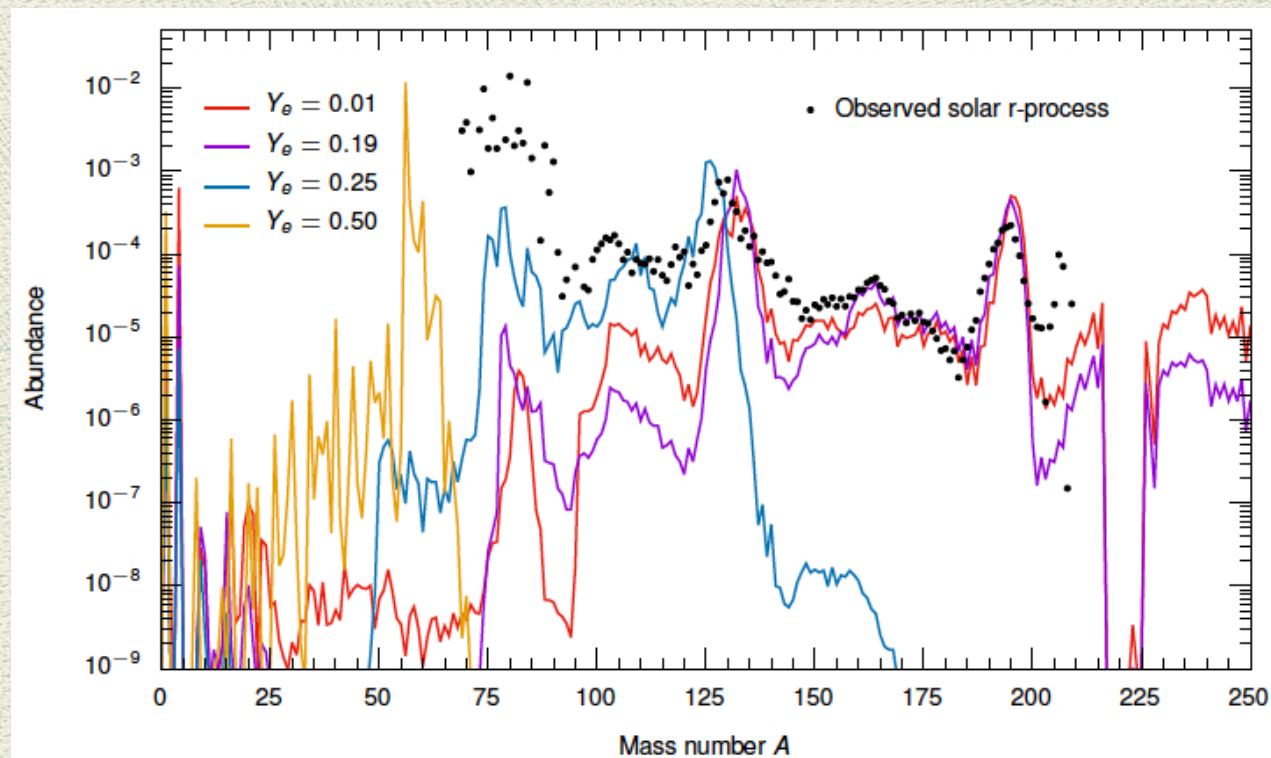


# Neutrinos and the electron fraction

- Neutrinos influence the neutron richness of the material through :



that sets the **electron fraction** -  $Y_e = \frac{p}{p + n}$



The electron fraction is a key parameter for the nucleosynthetic abundances.

Roberts 2015, arXiv :1508.03133



# The electron fraction and flavor transformation

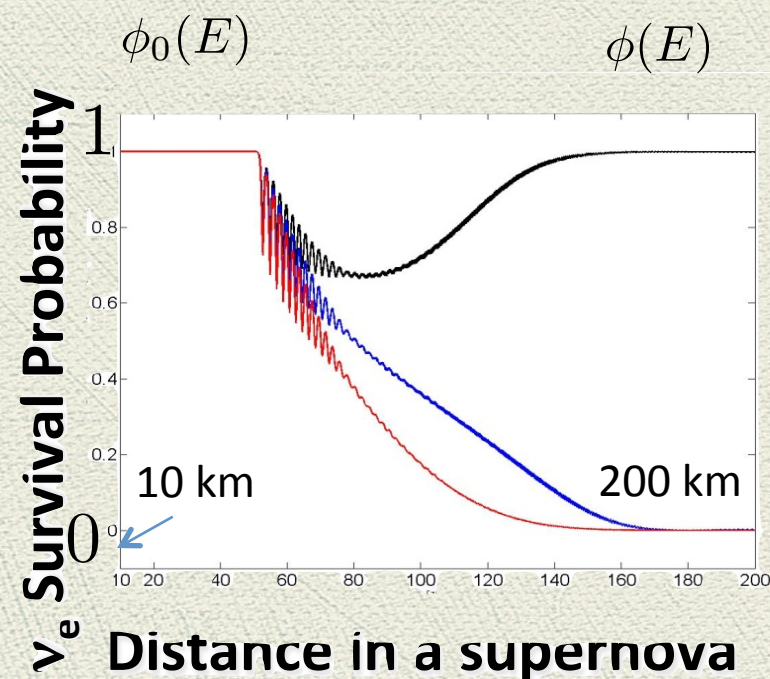
- Neutrino flavor transformation influences Ye because it modifies the neutrino fluxes - spectral swappings.

AN EXAMPLE : the neutrino fluxes during evolution

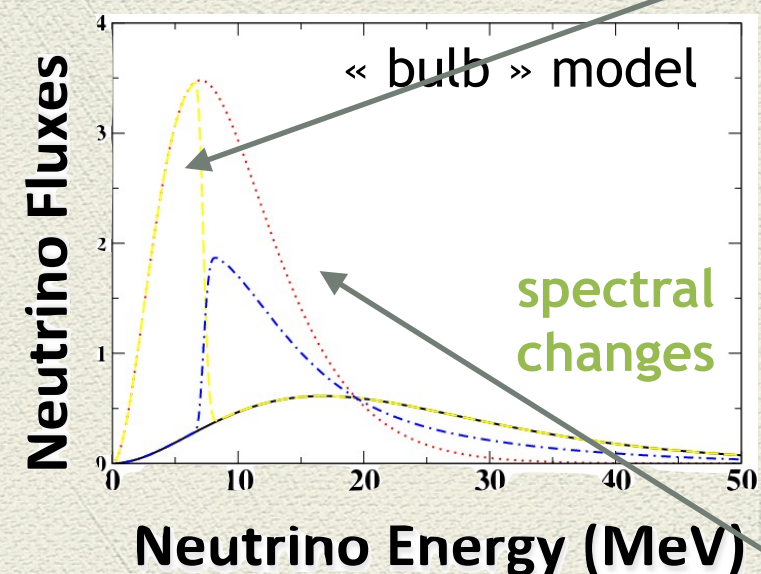
$$\phi_{\nu_e}(E) = P(\nu_e \rightarrow \nu_e)\phi_{\nu_e}^0(E) + [1 - P(\nu_e \rightarrow \nu_e)]\phi_{\nu_x}^0(E)$$

neutrino fluxes at  
the neutrino sphere

neutrino fluxes at  
200 km from it



If  $P(\nu_e \rightarrow \nu_e) \approx 1$   $\phi_{\nu_e}(E) = \phi_{\nu_e}^0(E)$

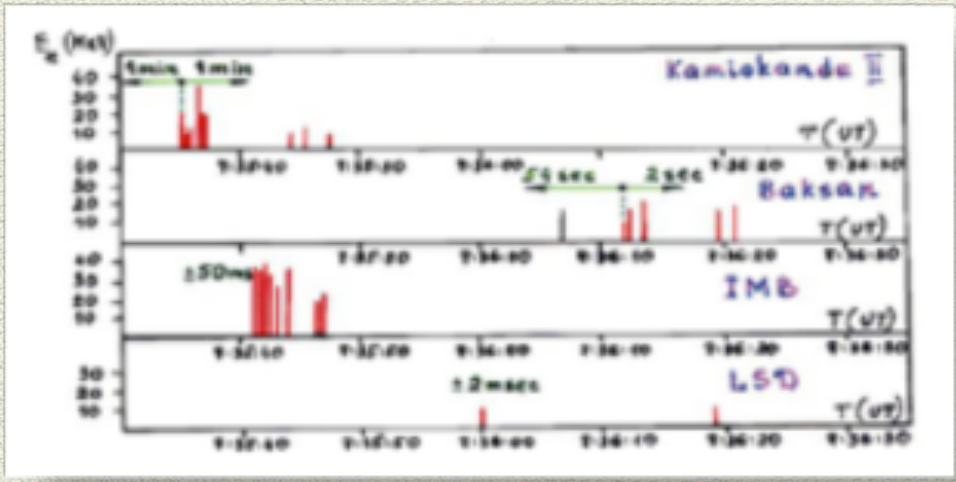


If  $P(\nu_e \rightarrow \nu_e) \approx 0$   $\phi_{\nu_e}(E) = \phi_{\nu_x}^0(E)$



# SN1987A

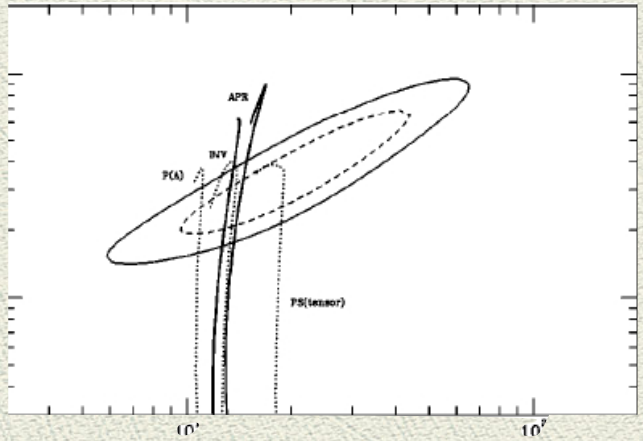
Suzuki, A. J. Conf.  
Phys. 120 (2008)



Sanduleak 69°202, a blue super-giant in Large Magellanic Cloud,  
at 50 kpc, no remnant found so far.

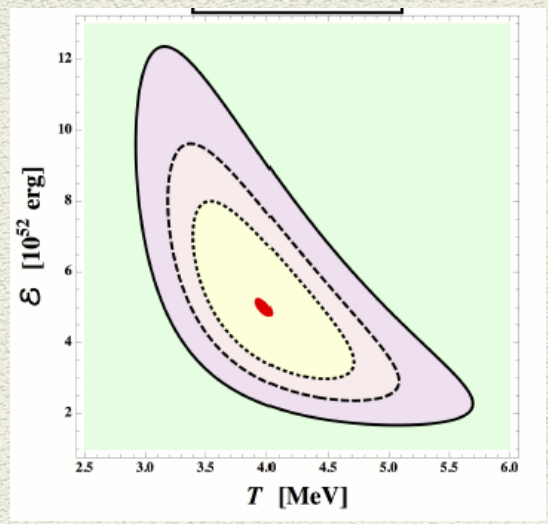
**SN1987A** : Delayed explosion mechanism favored over the prompt one.

**$E_b$  ( $10^{53}$  ergs)**



**$R_{NS}$  (km)**

Loredo, Lamb,  
PRD 2002



Vissani, JPG (2014)



# Neutrinos from core-collapse supernovae

Predictions of the neutrino fluxes for future observations :

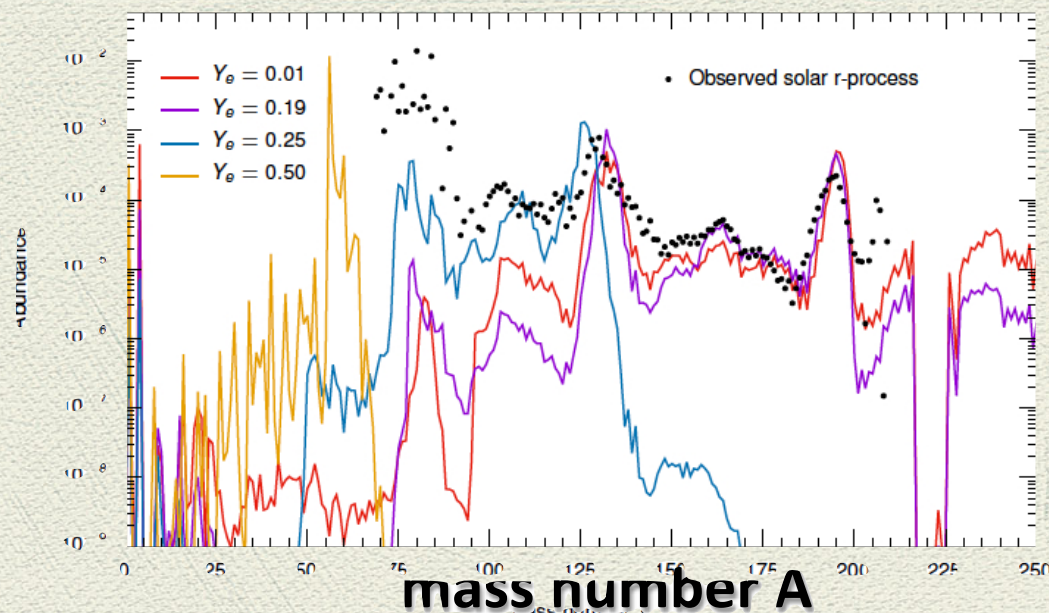
- an (extra)galactic supernova -  $10^4$ - $10^6$  events at 10 kpc
- diffuse supernova neutrino background - EGADS project (Super-K + Gd)

Understanding  
the role of neutrinos  
and flavor conversion

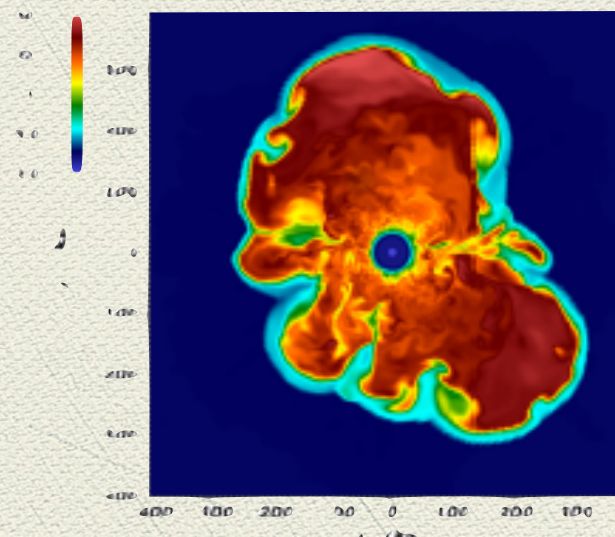
Simulations complex  
(multi-D, hydro-instabilities,  
convection, turbulence,  
realistic neutrino transport and  
nuclear networks).

A comparison of 1D models :

E. O' Connor et al., arXiv :1806.04175



Heavy elements nucleosynthesis



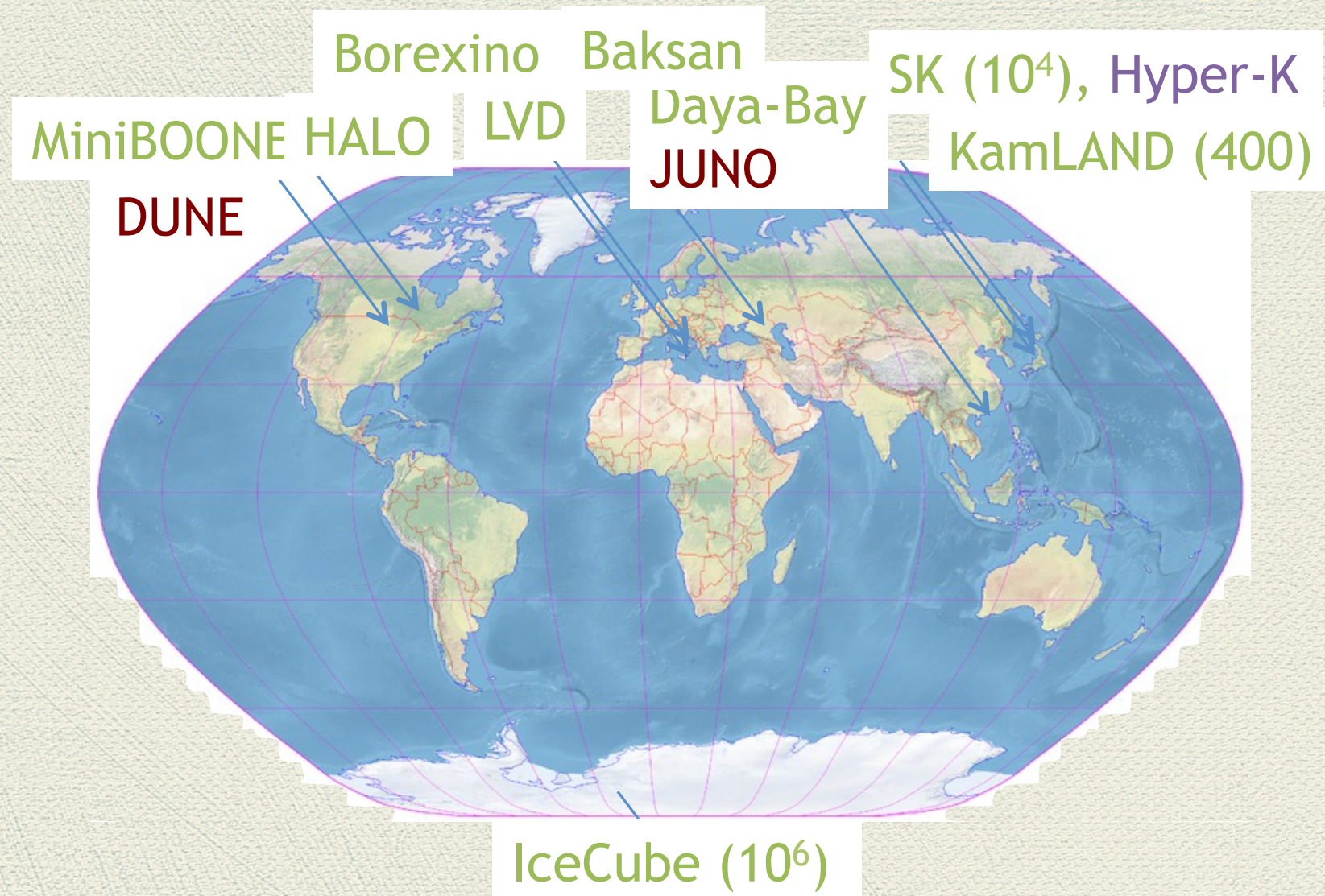
Iron core-collapse supernova explosions

Mueller et al,  
arXiv: 1705.00620



# Supernova Early Warning System and SNe observations

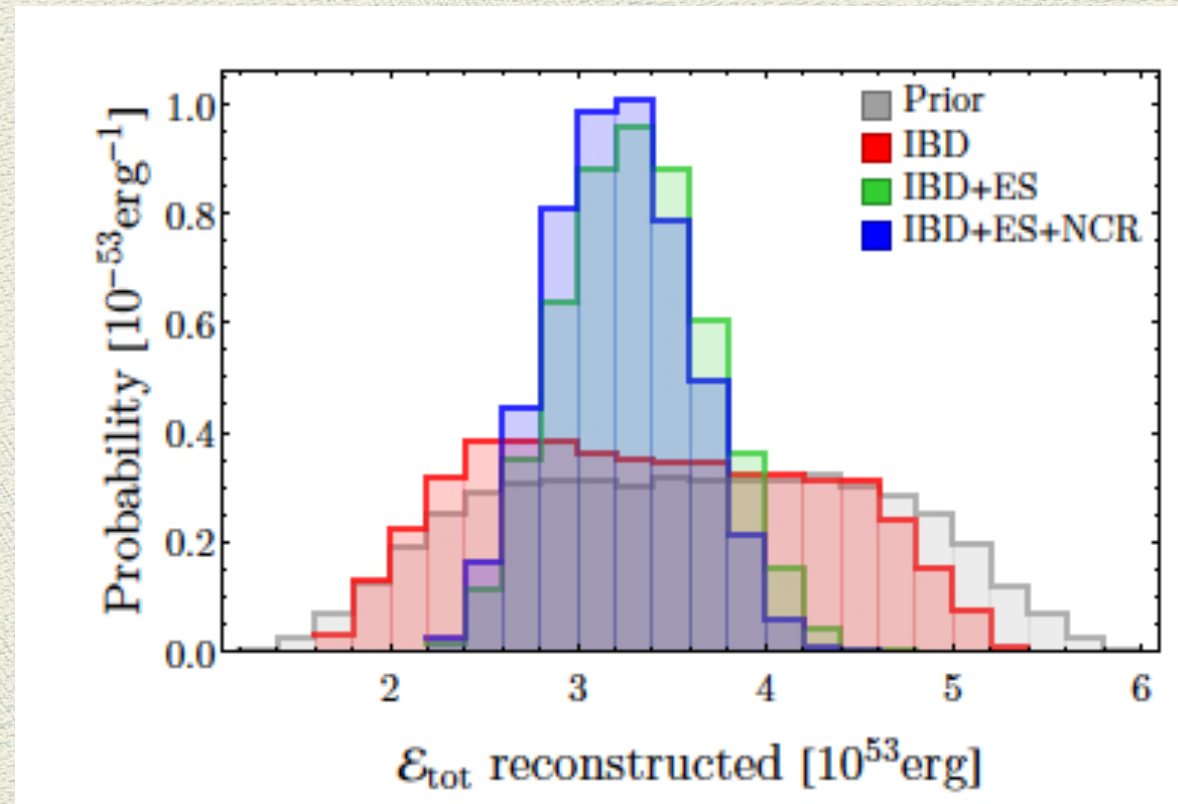
Expected events for a supernova in our galaxy (10 kpc) up to  $10^6$



Detection channels : scattering on protons, electrons, nuclei.  
Sensitivity to all flavors, time and energy signal will be measured.



# Reconstructing gravitational binding energy



Nine-degrees of freedom likelihood.

Fluences described by a power law,  
MSW included, normal ordering

Combining inverse beta-decay,  
elastic scattering and neutral current  
on oxygen

	$\nu_e$	$\bar{\nu}_e$	$\nu_x$
$\mathcal{E}_i^* [10^{53} \text{ erg}]$	$0.5 \in [0.2, 1]$	$0.5 \in [0.2, 1]$	$0.5 \in [0.2, 1]$
$\langle E_i^* \rangle [\text{MeV}]$	$9.5 \in [5, 30]$	$12 \in [5, 30]$	$15.6 \in [5, 30]$
$\alpha_i^*$	$2.5 \in [1.5, 3.5]$	$2.5 \in [1.5, 3.5]$	$2.5 \in [1.5, 3.5]$

Gravitational binding energy reconstructed with 3%, 11 % precision  
with Hyper-Kamiokande, Super-Kamiokande respectively.

Gallo Rosso, Vissani, Volpe, JCAP1711 (2017), 1708.00760 ; 1804 (2018) arXiv:1712.05584.



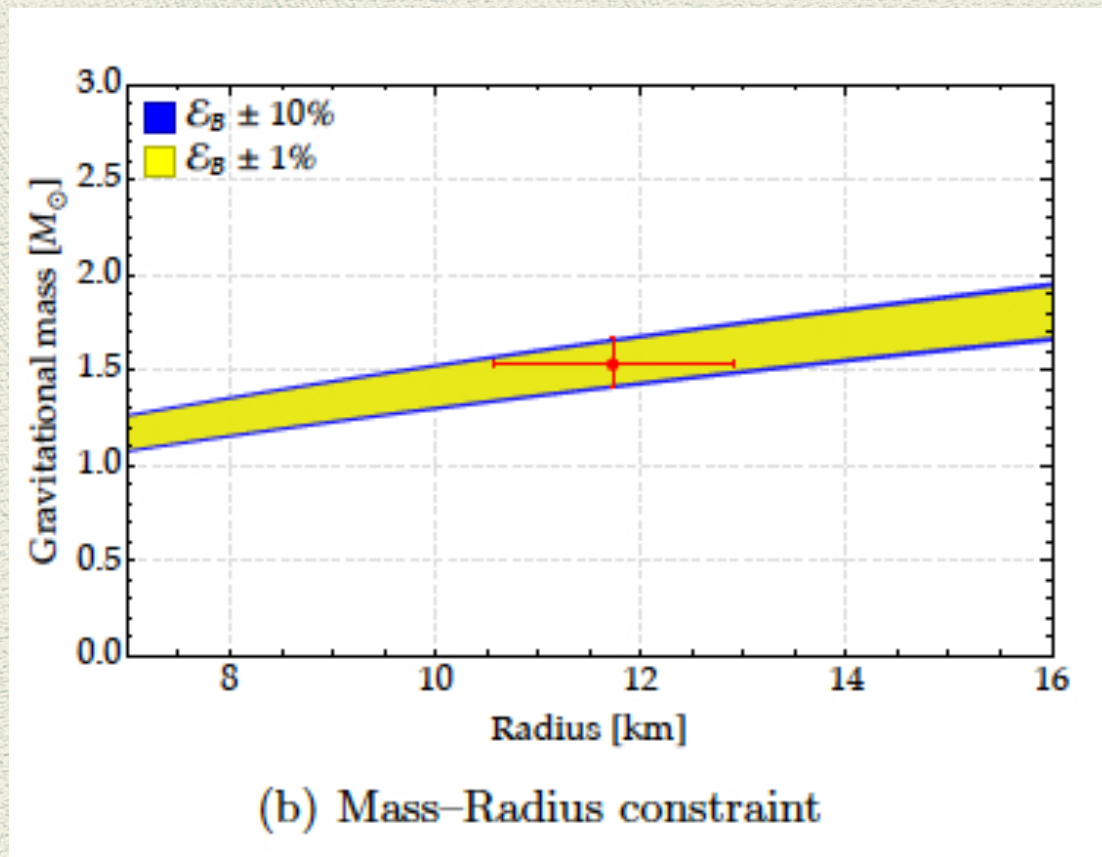
# Compactness and M-R of the newly born neutron star

Using the relation between the gravitational binding energy and the compactness from different equations of state

$$\frac{\mathcal{E}_B}{Mc^2} \approx \frac{(0.60 \pm 0.05) \beta}{1 - \beta/2},$$

$$\beta = \frac{GM}{Rc^2},$$

Lattimer & Prakash,  
Phys. Rep. 2007



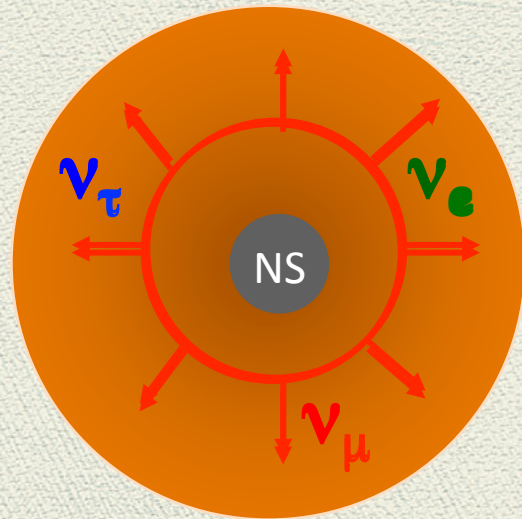
$$M = \sqrt{\frac{\mathcal{E}_B R}{0.6 G}} \left[ \sqrt{1 + \epsilon^2} - \epsilon \right]$$

$$\text{with } \epsilon = \frac{1}{4} \sqrt{\frac{\mathcal{E}_B G}{0.6 R c^4}}.$$

Gallo Rosso, Vissani, Volpe, JCAP1711 (2017), 1708.00760.



# Neutrino flavor evolution in dense environments



$\nu$  in stars or accretion disks

$10^{57}$

weak

unbound

$$\rho_{ji} = \langle a_i^+ a_j \rangle \text{ neutrinos}$$

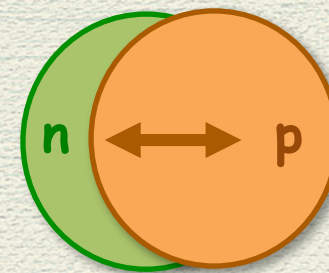
$$\bar{\rho}_{ji} = \langle b_i^+ b_j \rangle \text{ anti-neutrinos}$$

$N$

interaction

system

density



atomic nucleus

200

strong

bound

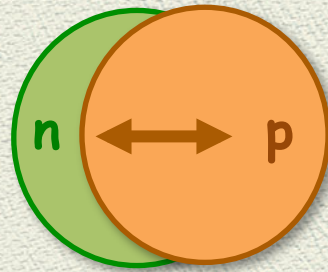
$$\rho = \langle a^+ a \rangle \text{ neutrons}$$

$$\text{protons}$$

A many-body problem



# Neutrino evolution equations in dense environments

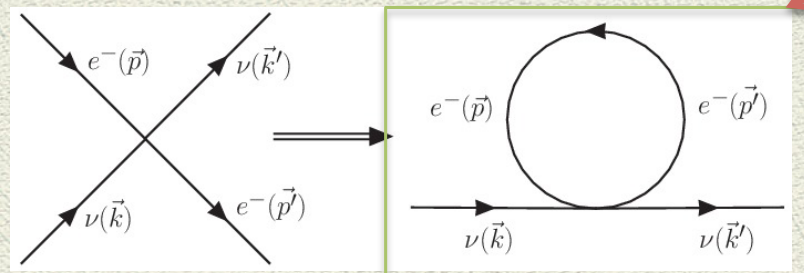
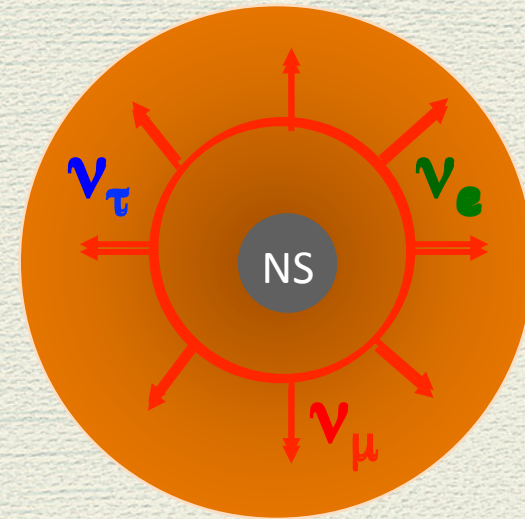


$$\rho = \langle a^\dagger a \rangle \quad \text{one-body density matrix}$$

$$\rho = \begin{pmatrix} \langle a_{\nu_e}^\dagger a_{\nu_e} \rangle & \langle a_{\nu_\mu}^\dagger a_{\nu_e} \rangle \\ \langle a_{\nu_e}^\dagger a_{\nu_\mu} \rangle & \langle a_{\nu_\mu}^\dagger a_{\nu_\mu} \rangle \end{pmatrix}$$

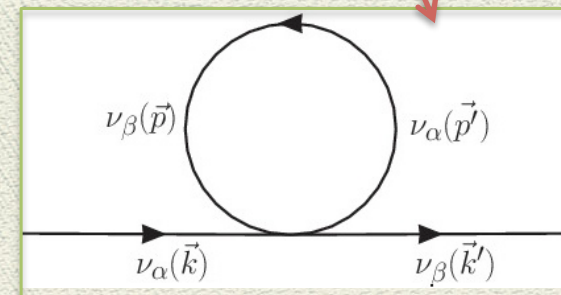
BBGKY hierarchy : mean-field and beyond

$$i\dot{\rho} = [h(\rho), \rho] \quad h = h_{vac} + h_{mat} + h_{\nu\nu}(\rho)$$



neutrino-matter

$$h_{mat} = \sqrt{2}G_F \rho_e$$



neutrino self-interactions

**non-linear term**

$$h_{\nu\nu} = \sqrt{2}G_F \sum_{\alpha} \left[ \int (1 - \hat{q} \cdot \hat{p}) \times [dn_{\nu_{\alpha}} \rho_{\nu_{\alpha}}(\vec{p}) - dn_{\bar{\nu}_{\alpha}} \bar{\rho}_{\bar{\nu}_{\alpha}}(\vec{p})] \right],$$

Volpe, Väänänen, Espinoza. PRD 87 (2013)

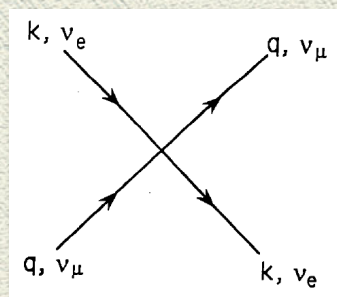
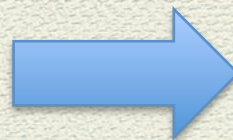
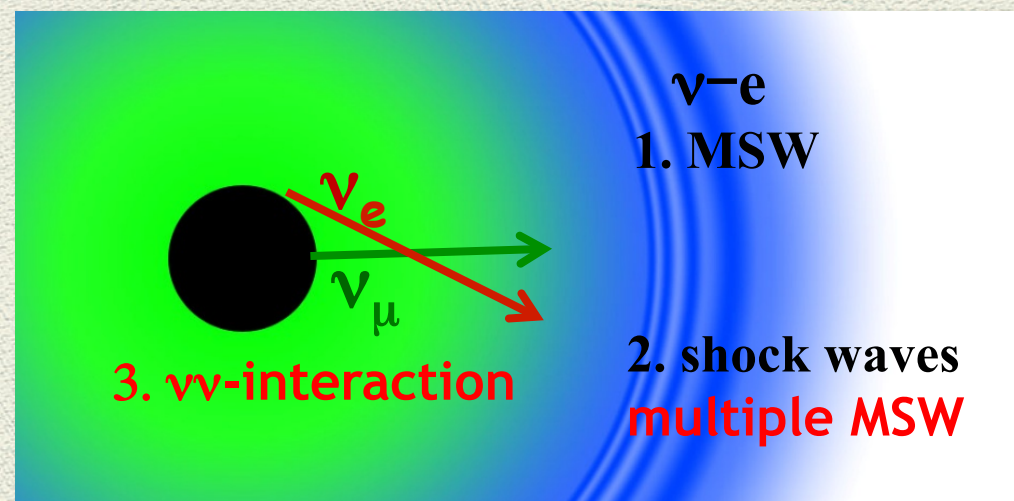
Volpe, «Neutrino quantum kinetic equations », Int. J. Mod. Phys.E24(2015)

MEAN-FIELD approximation

Extended equations derived - e.g. with corrections due to the neutrino mass.



# Neutrino flavor conversion in supernovae

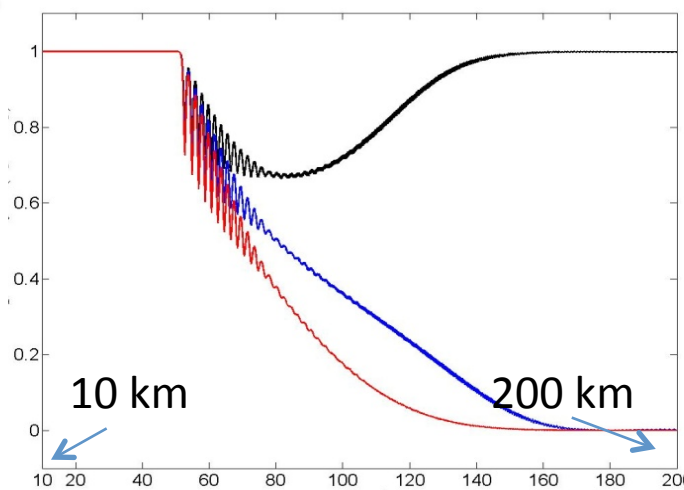


Pantaleone, PLB 1992  
Sigl and Raffelt, 1993

- Efficient conversion occurs close to the neutrinosphere
- Models of increasing complexity studied since a decade

Duan, Fuller, Qian, Ann. Rev. 60 (2010)

$\nu_e$  Survival Probability



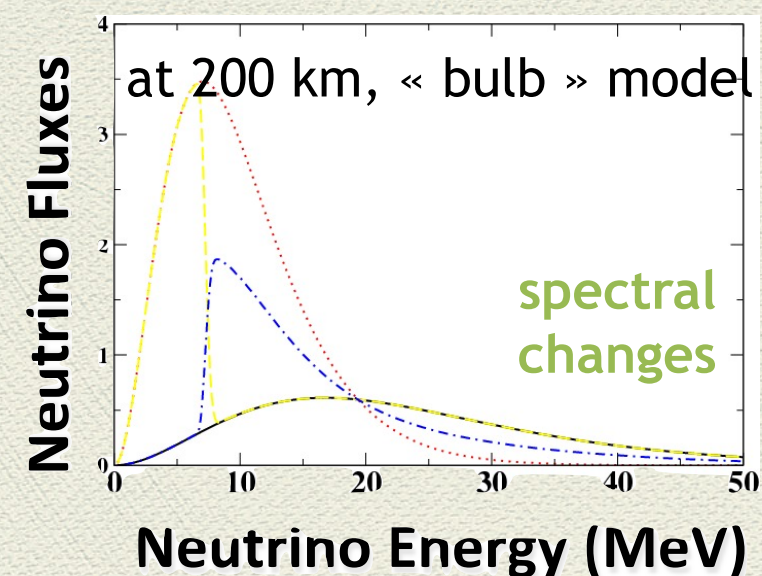
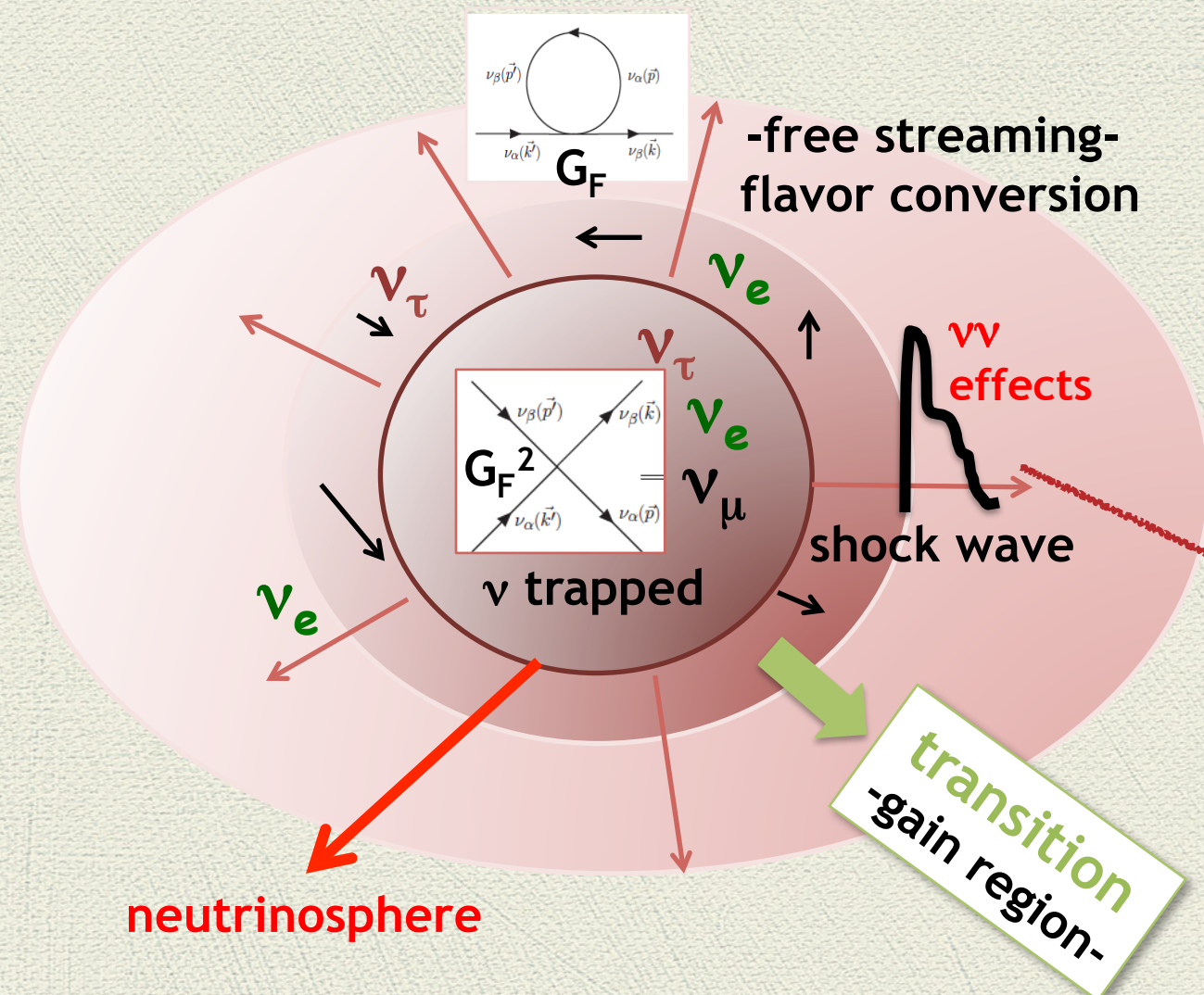
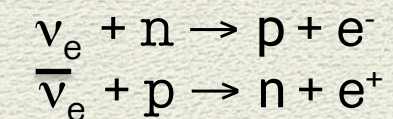
Distance in a supernova

Novel conversion phenomena due to the neutrino self-interaction



# Supernovae explosions and flavor conversion

The heating rate, behind the shock, could be enhanced by spectral changes of the neutrino fluxes.



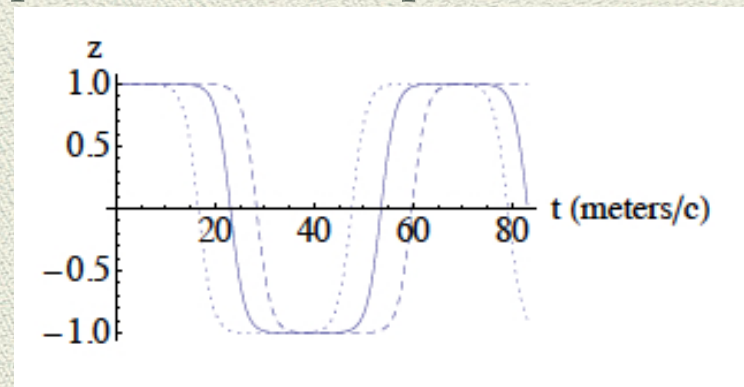
Sharp transition from the dense (Boltzmann) to the dilute (mean-field) region.



# Improved description of the « transition » region

- Appearance of « fast » conversion modes on short distance scales, if emission at the neutrino-sphere is anisotropic.

Sawyer, PRL108 (2016)



- Corrections to the evolution equations from correlators with helicity change, due to neutrino mass.

$$\xi = \langle a_+^\dagger a_- \rangle$$

$$\mathcal{R} = \begin{pmatrix} \rho & \xi \\ \xi^* & \bar{\rho} \end{pmatrix} \quad \mathcal{H} = \begin{pmatrix} h & \Phi \\ \Phi^* & \bar{h} \end{pmatrix}$$

$\mathcal{R}$  and  $\mathcal{H}$  have helicity  
and flavor structure ( $2\mathcal{N}_f \times 2\mathcal{N}_f$ ).

$\Phi$  couples  $\nu$  with  $\bar{\nu}$   
helicity (or spin) coherence

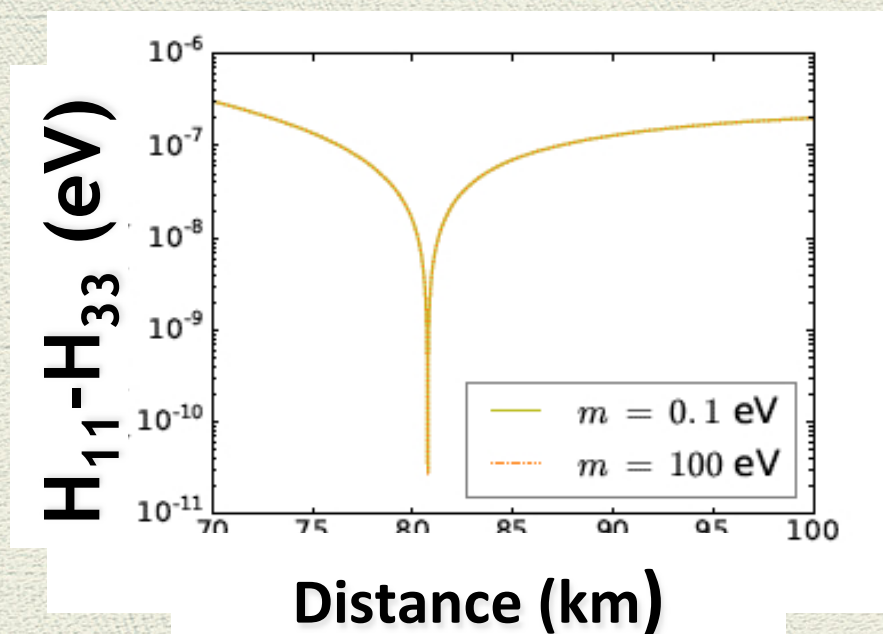
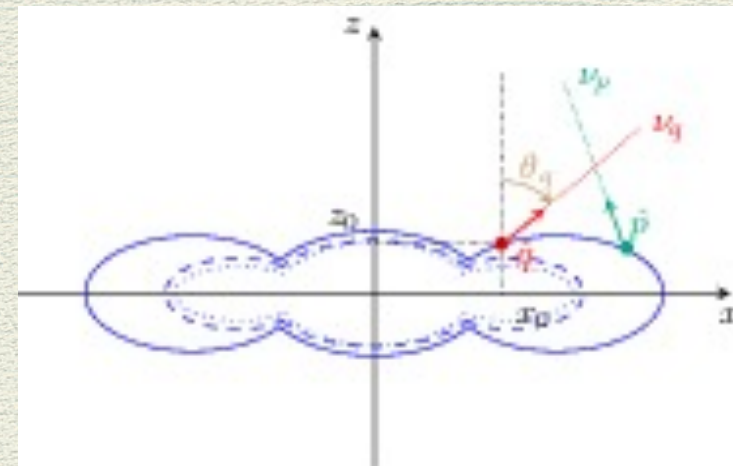
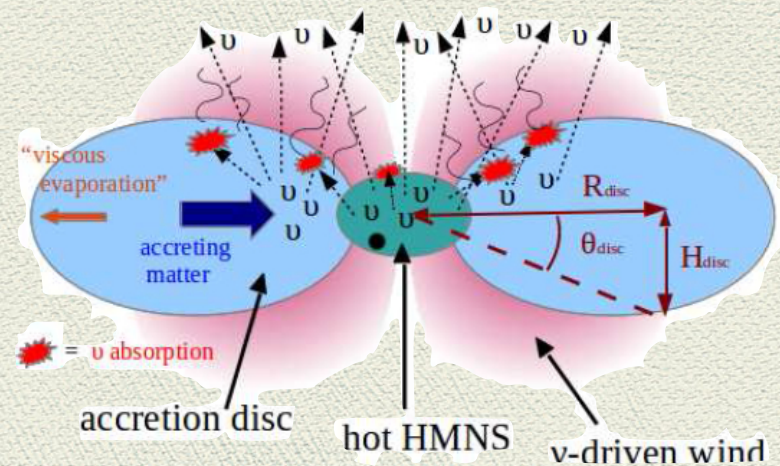
$$\Phi \sim (h_{\text{mat}}^{\text{perp}} + h_{\nu\nu}^{\text{perp}}) \times m/2E$$

Vlasenko, Fuller, Cirigliano,  
PRD89 (2014)

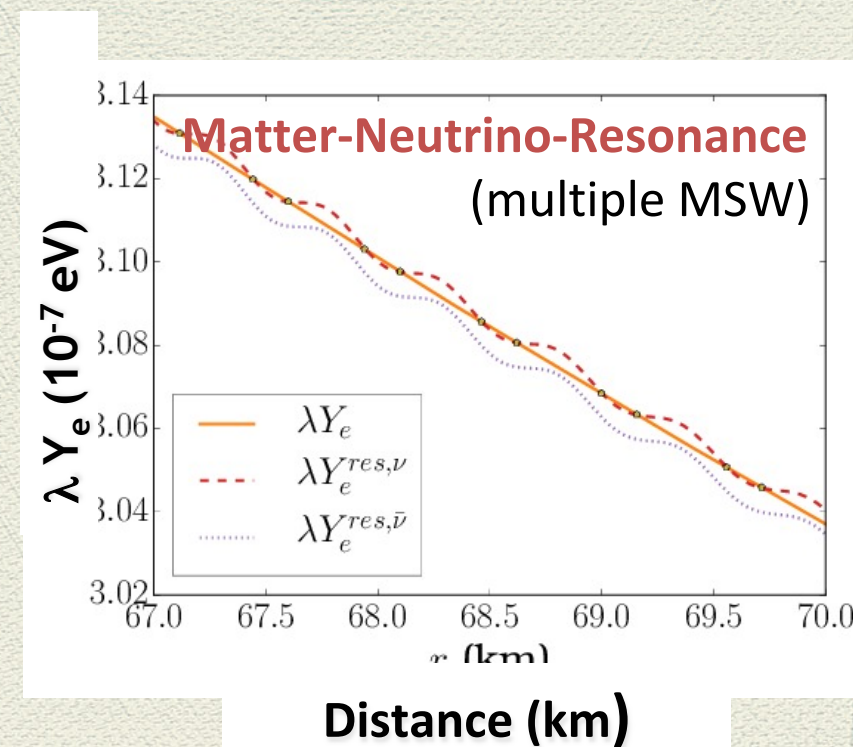
Serreau, Volpe, PRD90 (2014)



# Helicity coherence



Resonance conditions fulfilled in detailed simulations but adiabaticity not enough, contrary to previous findings.



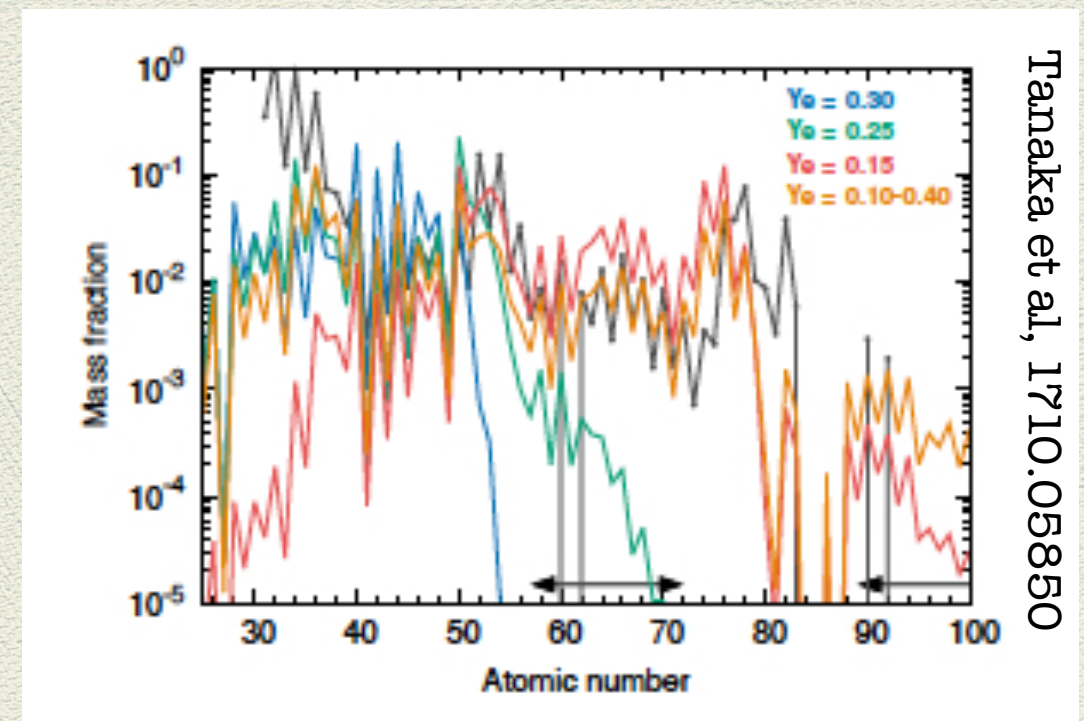
Conditions for MSW resonances not met. Conclusions also stand for supernova neutrinos.

Chatelain, Volpe, PRD 95 (2017)



# GW170817 and the kilonova

- The recent observation of gravitational waves from binary neutron star mergers, in coincidence with a short gamma-ray-burst and a kilonova.
- The electromagnetic signal : a red and a blue component, presence of r-process elements and in particular lanthanides in the ejecta.
- Lanthanides elements are extremely sensitive to the electron fraction  $Y_e$ . If  $Y_e > 0.25$  lanthanides are not synthesized. Neutrinos drive  $Y_e$  to large values.
- Observations and comparisons with mergers models : dynamical ejecta (merging phase) with  $Y_e < 0.25$ , ejecta from neutrino-driven winds (post-merger phase) with  $Y_e > 0.25$ .



Flavor evolution in  $\nu$ -driven winds in BNS mergers?



sonance.  
06 (2016)

96 (2016)

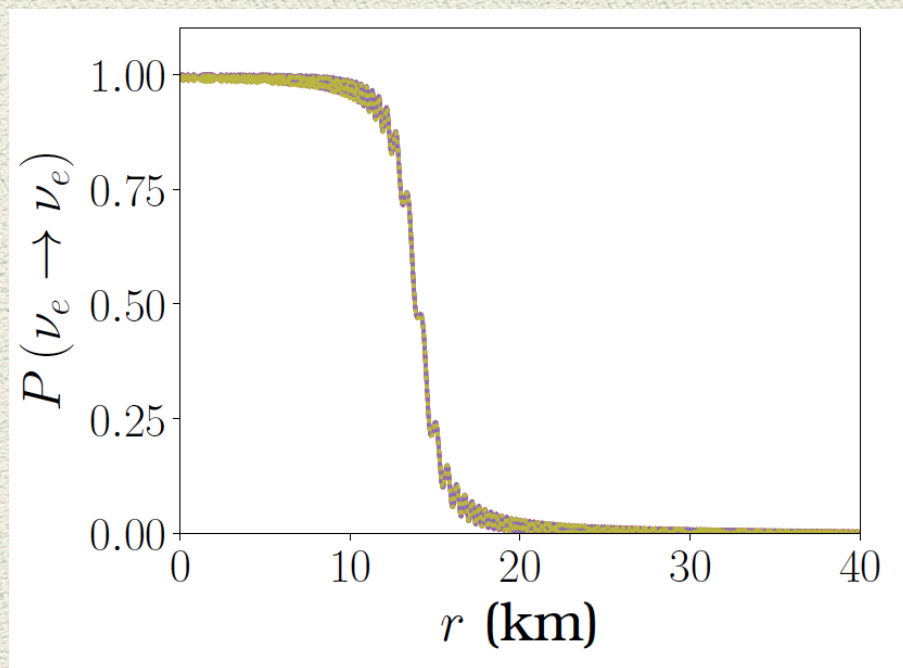


# Flavor evolution and non-standard interactions

$$\left( \begin{array}{l} |\epsilon_{ee}| < 2.5 \\ |\epsilon_{e\tau}| < 1.7 \\ |\epsilon_{\tau\tau}| < 9.0 \end{array} \right).$$

$$h_{\text{NSI}} = \lambda \left( \begin{array}{cc} (\frac{Y_{\odot} - Y_e}{Y_{\odot}}) \delta \epsilon^n & (3 + Y_e) \epsilon_0 \\ (3 + Y_e) \epsilon_0^* & 0 \end{array} \right).$$

The **I-resonance** is due to a cancellation between the standard and non-standard matter terms.



It can be seen also as a **synchronized MSW** resonance, where all effective spins in flavor space undergo the resonance coherently.

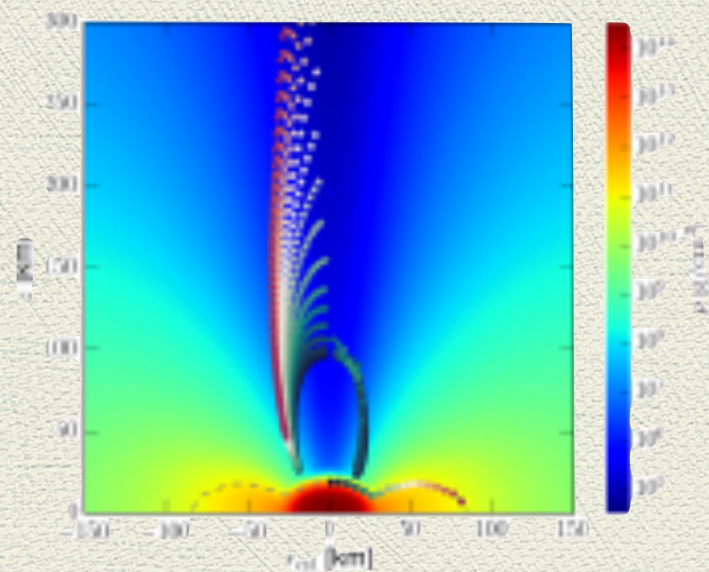
Chatelain, Volpe, PRD98 (2018)

I-resonance produces flavor modification nearby the neutrino sphere.



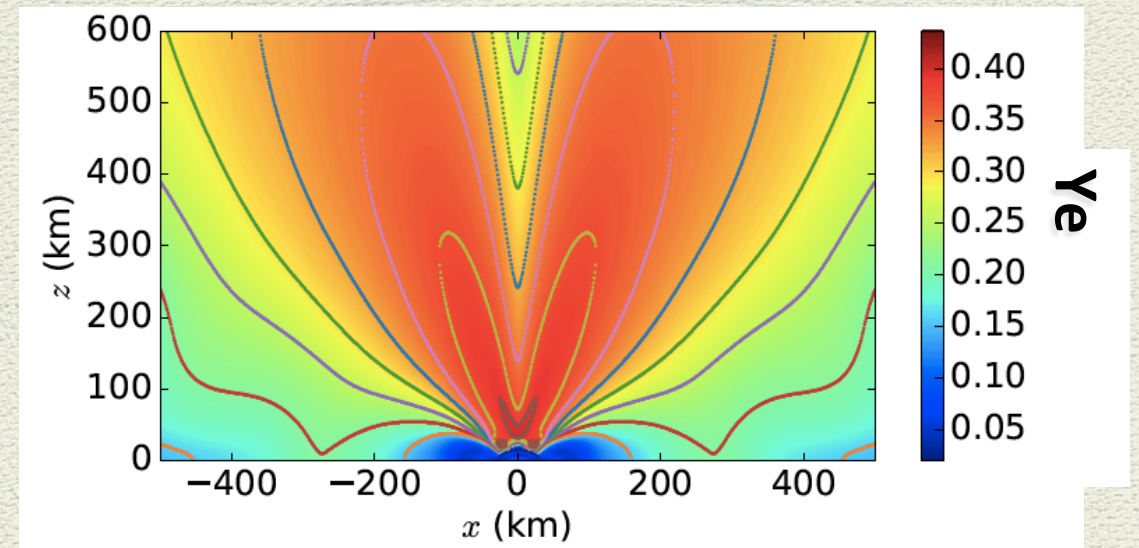
# Nucleosynthesis in neutrino driven winds and kilonovae

Neutrinos influence the neutron richness and determine  $Y_e$  in neutrino driven winds.

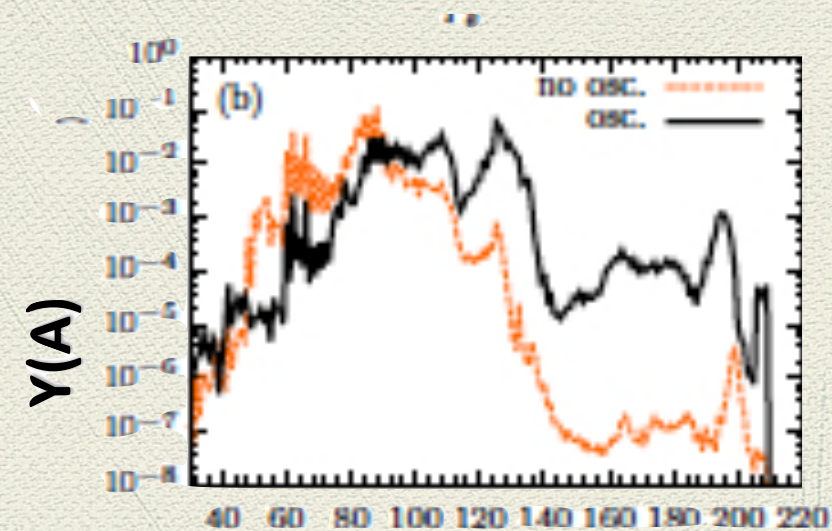


Distance (km)

Matter-Neutrino resonance location  
Frensel et al., PRD95 (2017)



I-resonance location  
Chatelain, Volpe, PRD98 (2018)



mass number  $A$

« Fast » modes, Wu et al., PRD96 (2017)



# Perspectives



Intense activity to unravel the conditions and nature of flavor conversion mechanisms. MSW-like resonances, multiple MSW, synchronized MSW, but also magnetic resonance like phenomena. Investigations necessary for future observations and maybe supernova dynamics.

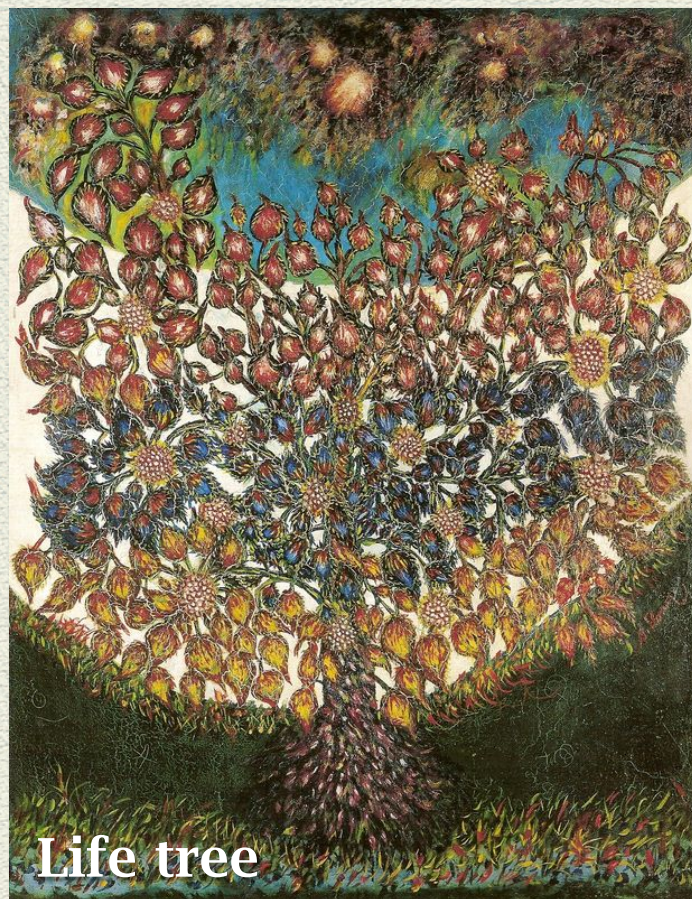


More work needed to fully assess, i.e. the role of decoherence, the influence of gravity nearby compact objects, the role of symmetry breaking, the impact of « fast » modes and of collisions.



Neutrino flavor conversion can influence Ye and r-process nucleosynthesis. Full multi-angle and non-linear simulations needed, in relation with kilonova observations.





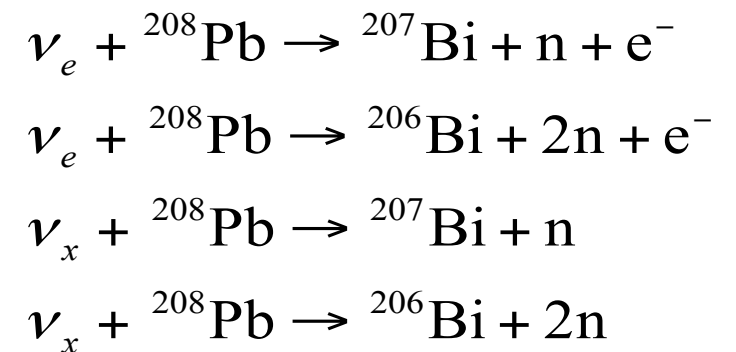
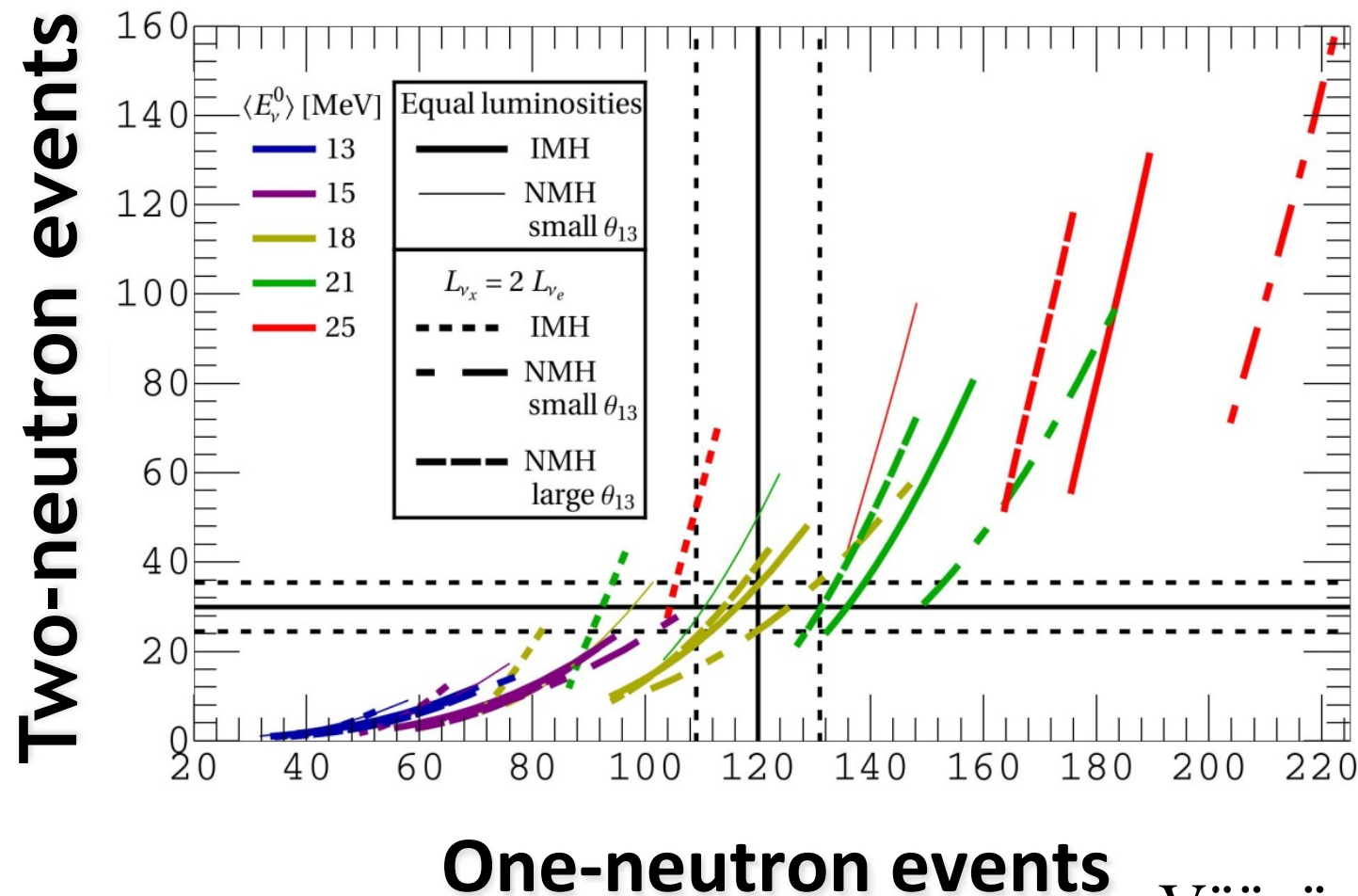
Life tree

*Seraphine de Senlis*



# Supernovae neutrino spectra

(CC+NC) events in HALO-2 (1 kton lead) for a SN at 10 kpc



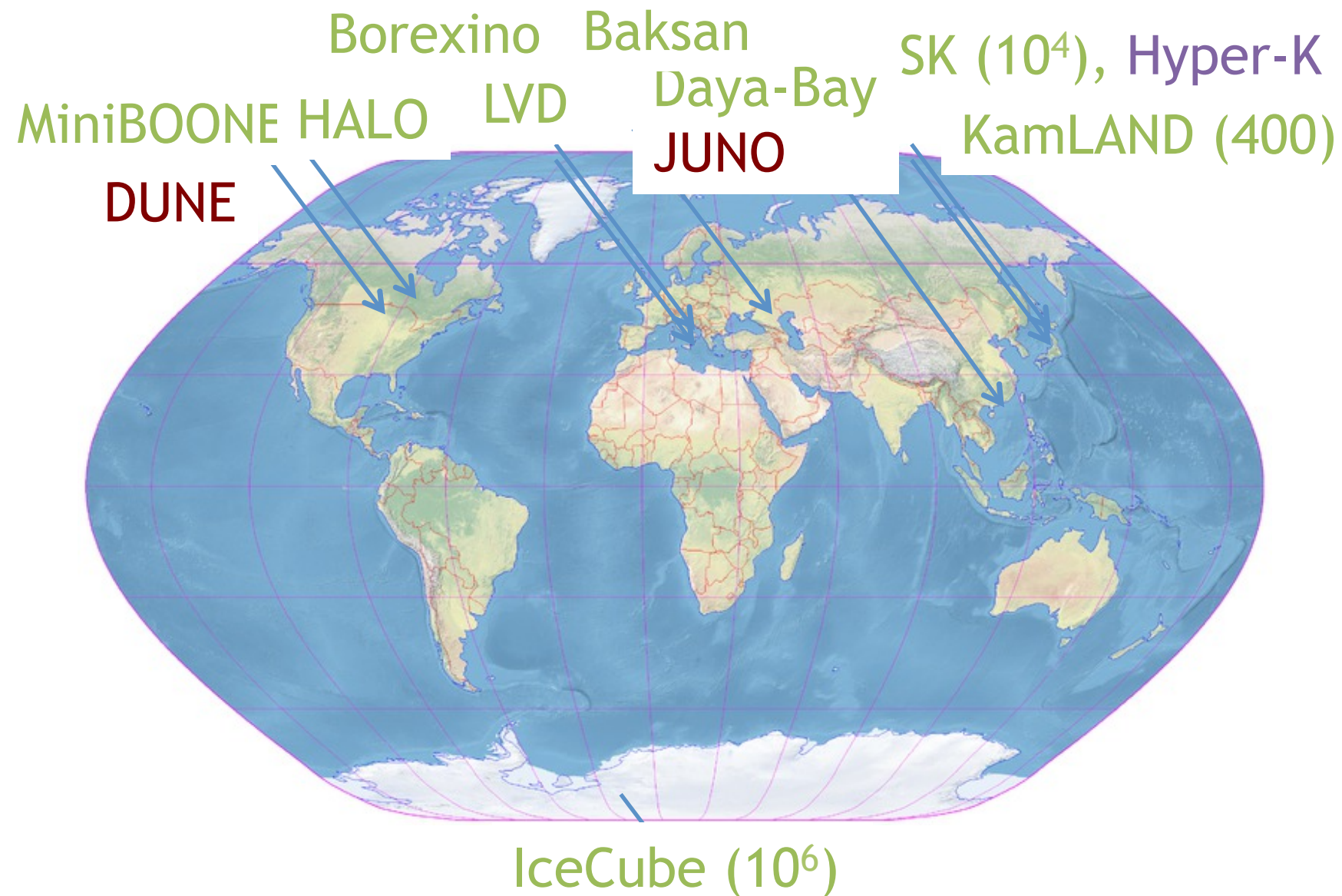
Väänänen, Volpe, JCAP 1110 (2011)

Different energy thresholds should help pinning down the pinching parameter.



# Supernova Early Warning System and SNe observatories

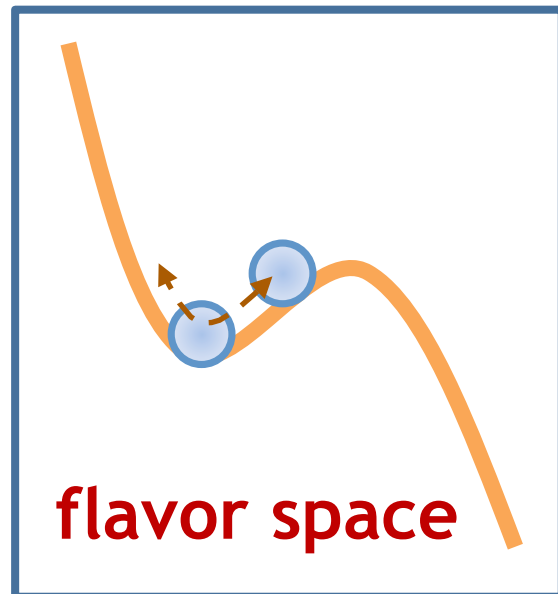
Events for a supernova explodes in our galaxy (10 kpc), up to  $10^6$  events



Different detection channels (time, energy, flavor):  
scattering of anti- $\nu_e$  with  $p$ ,  $\nu_e$  with nuclei,  $\nu_x$  with  $e$ ,  $p$



# Collective neutrino modes and linearization



## Small amplitude motion

Collective modes and instabilities can be studied with the linearization.

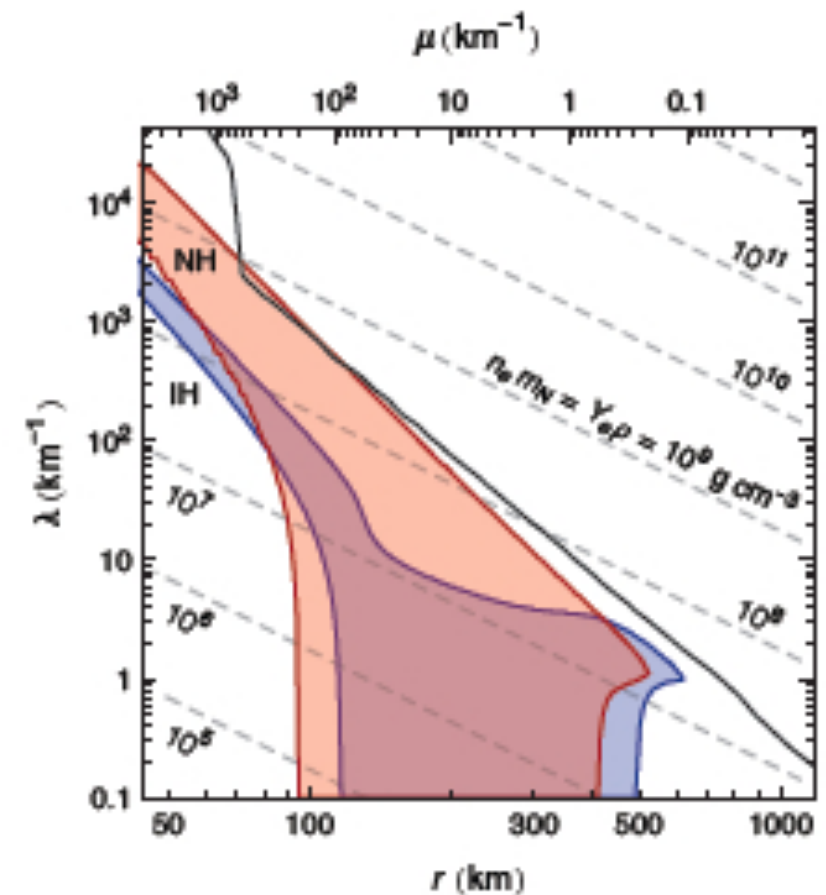
Banerjee, Dighe, Raffelt, PRD84 (2011)

Stability matrix

$$\begin{pmatrix} A & B \\ \bar{B} & \bar{A} \end{pmatrix} \begin{pmatrix} \rho' \\ \bar{\rho}' \end{pmatrix} = \omega \begin{pmatrix} \rho' \\ \bar{\rho}' \end{pmatrix}$$

*connection to collective modes in other many-body systems (nuclei, clusters, ...)*

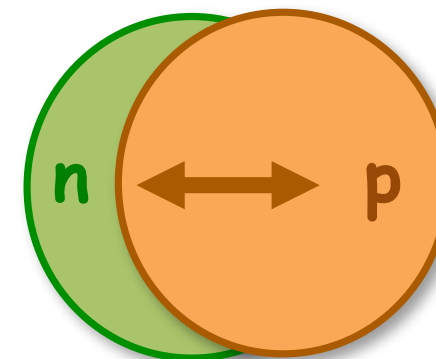
Väänänen and Volpe, PRD88 (2013)



Raffelt et al, PRL(2013)

**S eigenvalues :**

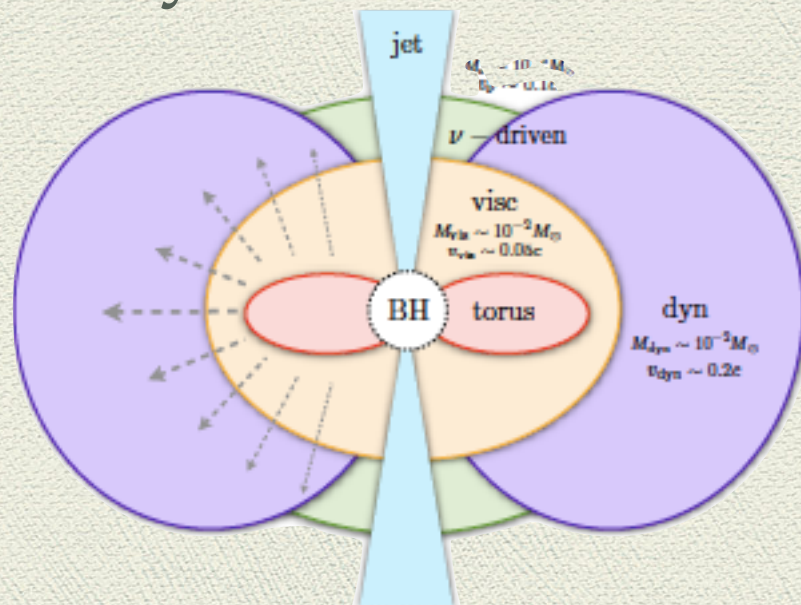
- > real : **stable collective**
- > imaginary : **instabilities**



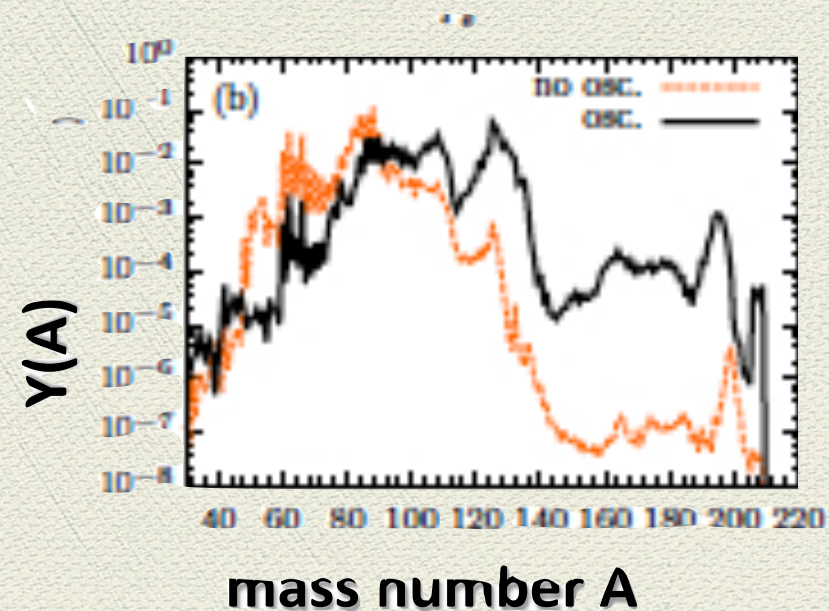
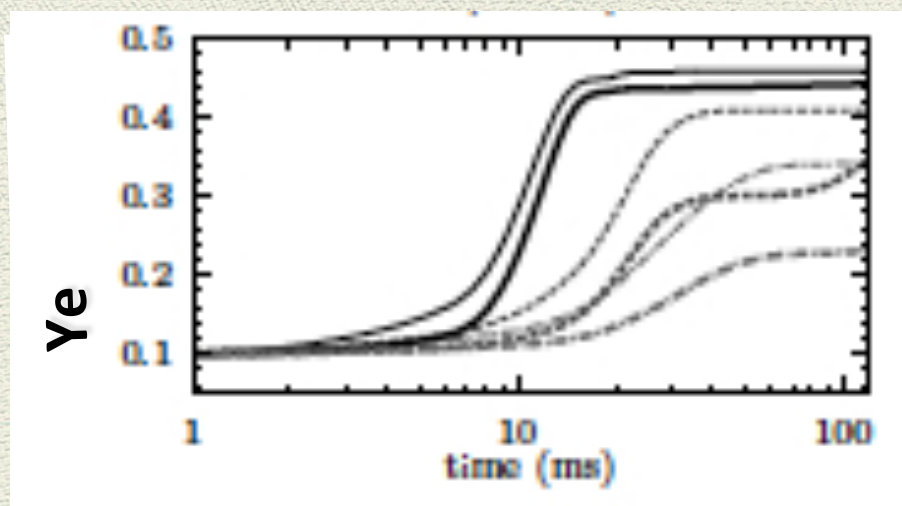
**nuclear resonances**



# Nucleosynthesis in neutrino-driven winds and fast modes



« Fast modes » might bring an equilibration of the neutrino fluxes. An example of the impact on nucleosynthesis, in a schematic model.



Wu et al., PRD96 (2017)

Flavor evolution here tends to decrease  $Y_e$  and favors a strong r-process.



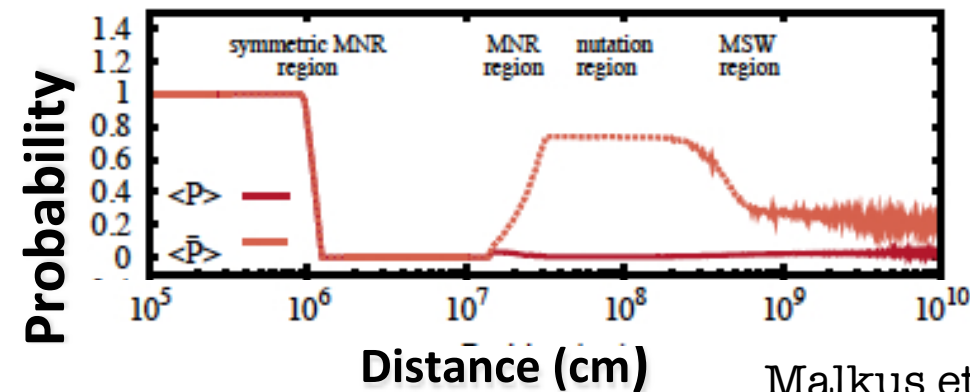
To determine the neutrino dynamics

$$\rho_1 = \langle a^\dagger a \rangle \quad \rho_{12} = \langle a^\dagger a^\dagger a a \rangle \quad \rho_{123} = \langle a^\dagger a^\dagger a^\dagger a a a \rangle \quad \dots$$

one-body density      two-body      three-body      N-body



## Flavor phenomena and nucleosynthesis in accretion disks



### Matter-Neutrino Resonance

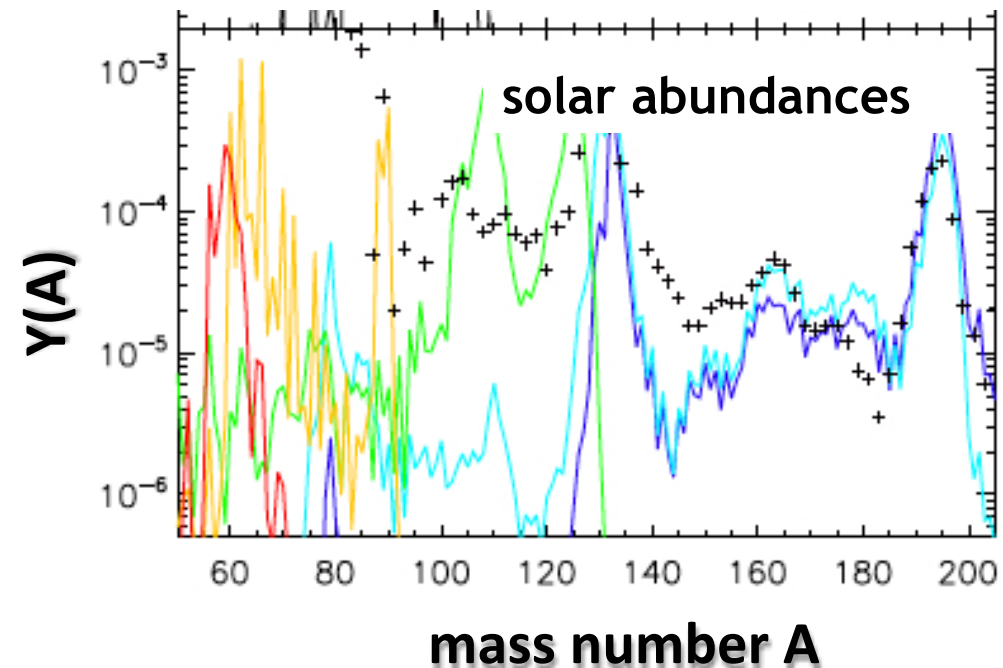
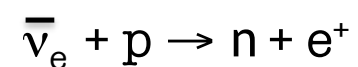
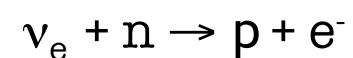
Excess of  $\bar{\nu}_e$  over  $\nu_e$

The self-interaction and matter potentials cancel.

Malkus et al, PRD86 (2012), 96 (2016)

The site(s) where nucleosynthesis produces heavy elements, by rapid neutron capture searched.

Neutrinos influence the neutron richness



GW from BNS, as the recent observation, crucial.