

Workshop on singly and doubly charmed baryons

LPNHE Paris

27<sup>th</sup> June 2018



# $\Lambda_c^+$ production measurement in p-Pb and Pb-Pb collisions with the ALICE experiment at the LHC

E. Meninno\* on behalf of the ALICE Collaboration

\* University and INFN, Salerno

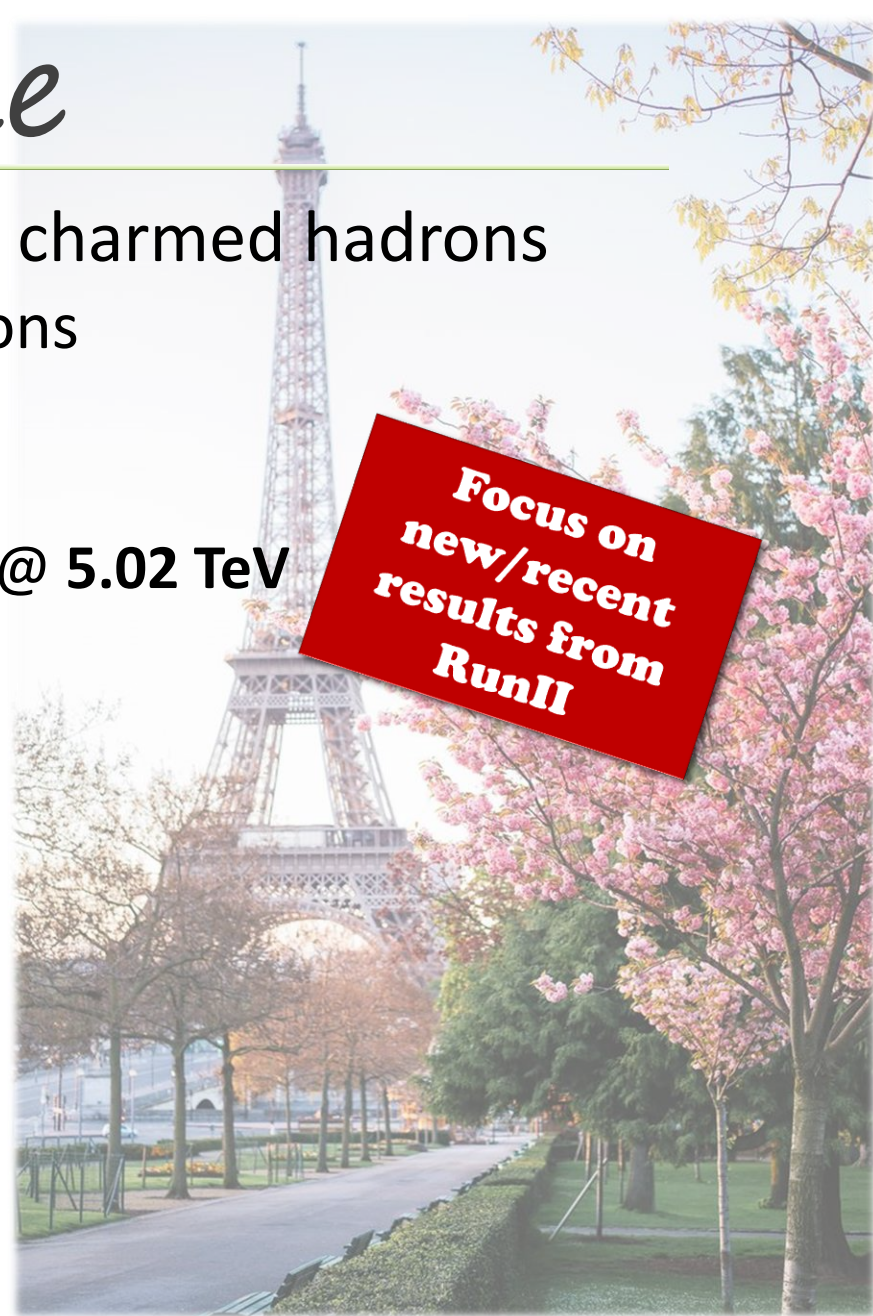


Istituto Nazionale di Fisica Nucleare



# Outline

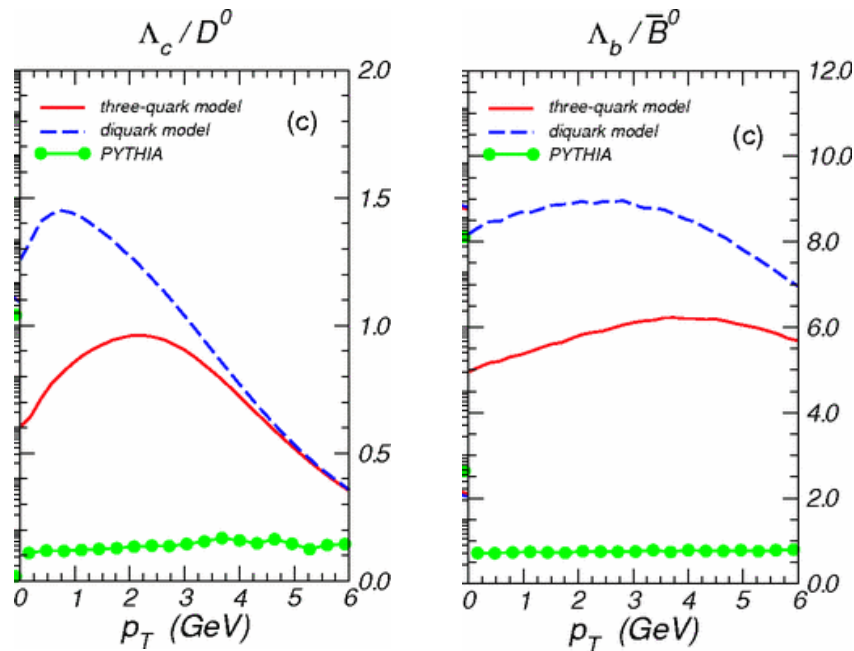
- Physics motivation for studying charmed hadrons
  - focus on p-Pb and Pb-Pb collisions
- The ALICE experiment
- Results in p-Pb and Pb-Pb collisions @ **5.02 TeV**
- ALICE upgrade
  - What we expect for  $\Lambda_c^+$ ?



**Focus on  
new/recent  
results from  
RunII**

# Physics motivations

- Charm is a very sensitive probe of the *Quark-Gluon-Plasma* (QGP), produced in heavy-ion collisions.
- Charmed-baryon production in Heavy Ion (HI) collisions could give an insight into the hadronisation processes in the QGP.

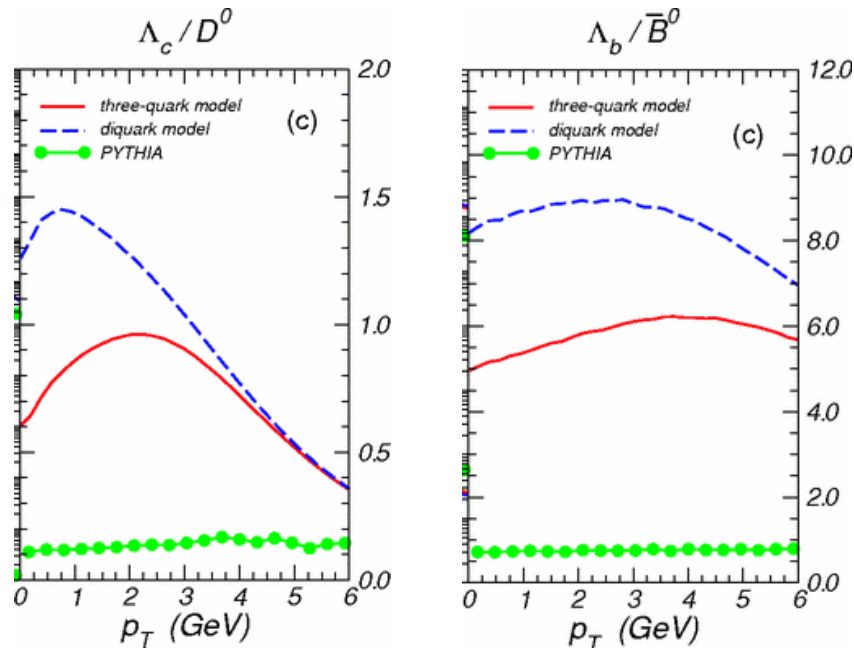


Y. Oh et al., PRC 79, 044905 (2009)

Enhancement of  $\Lambda_c^+ / D^0$  (and  $\Lambda_b^+ / \bar{B}^0$ ) ratio is predicted in coalescence models. Further enhancement is expected if light diquark states exist in the QGP.

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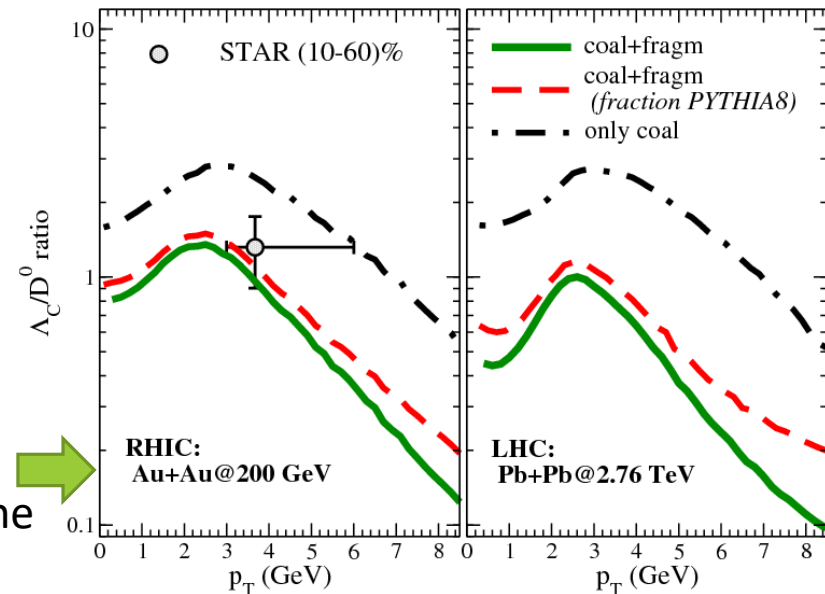


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Coalescence plus fragmentation modelling: in the peak region quite good agreement with the experimental data by STAR.

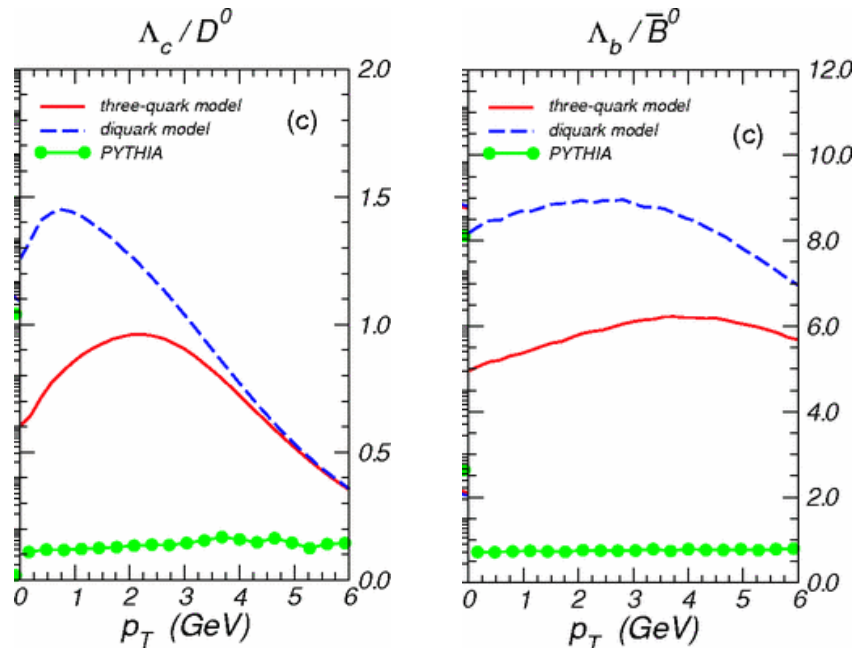
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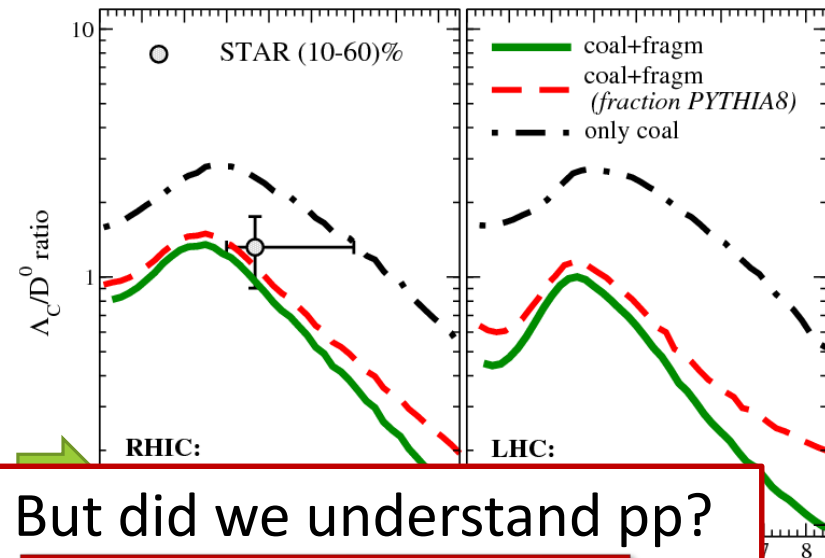
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Coalescence plus fragmentation modelling: in the peak region quite good agreement with experimental data by STAR.

.. But did we understand pp?

**See Jaime's Talk (Tue)**

# Physics motivations

---

- Measurement in pp collisions: **See Jaime's Talk (Tue)**
  - Important to test predictions from pQCD and the models of hadronisation in vacuum.
- Measurement in p-Pb collisions:
  - Important to distinguish cold-nuclear-matter (CNM) effects, that can affect the charm hadron production.

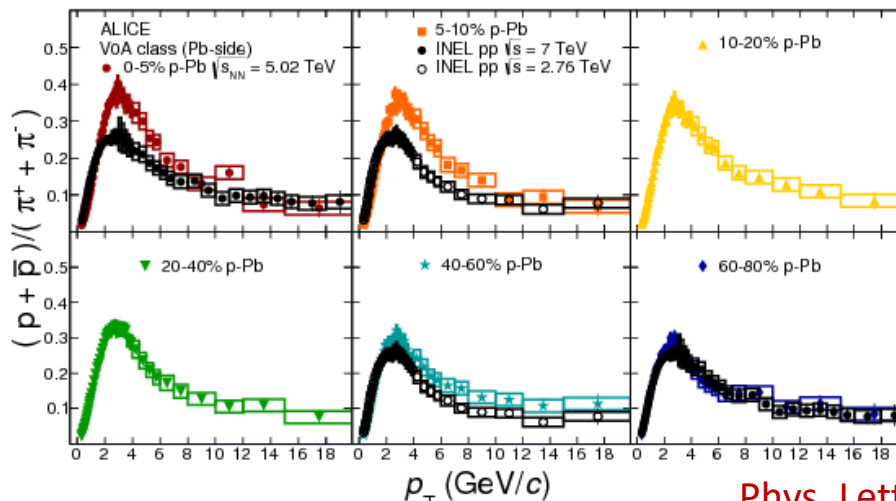


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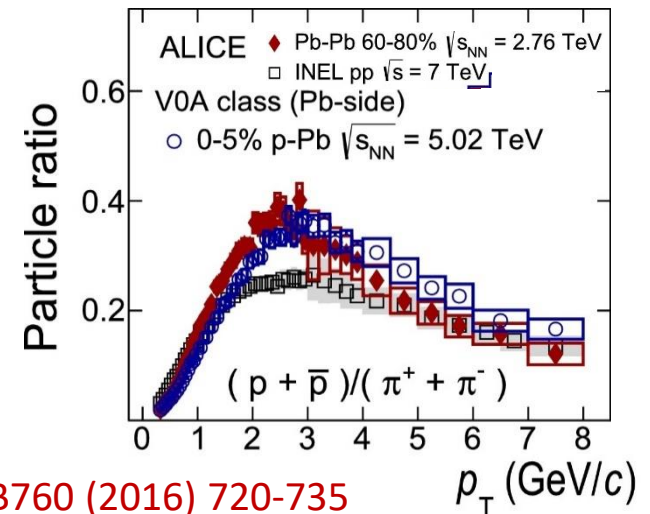
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- ALICE and CMS observed enhancement of baryon/meson ratio at intermediate  $p_T$  in High Multiplicity (HM) pp and p-Pb collisions.
  - Similar to what was observed in HI collisions

Possible explanations:

- mini-QGP, influence of colour reconnection on hadronisation?



Phys. Lett. B760 (2016) 720-735



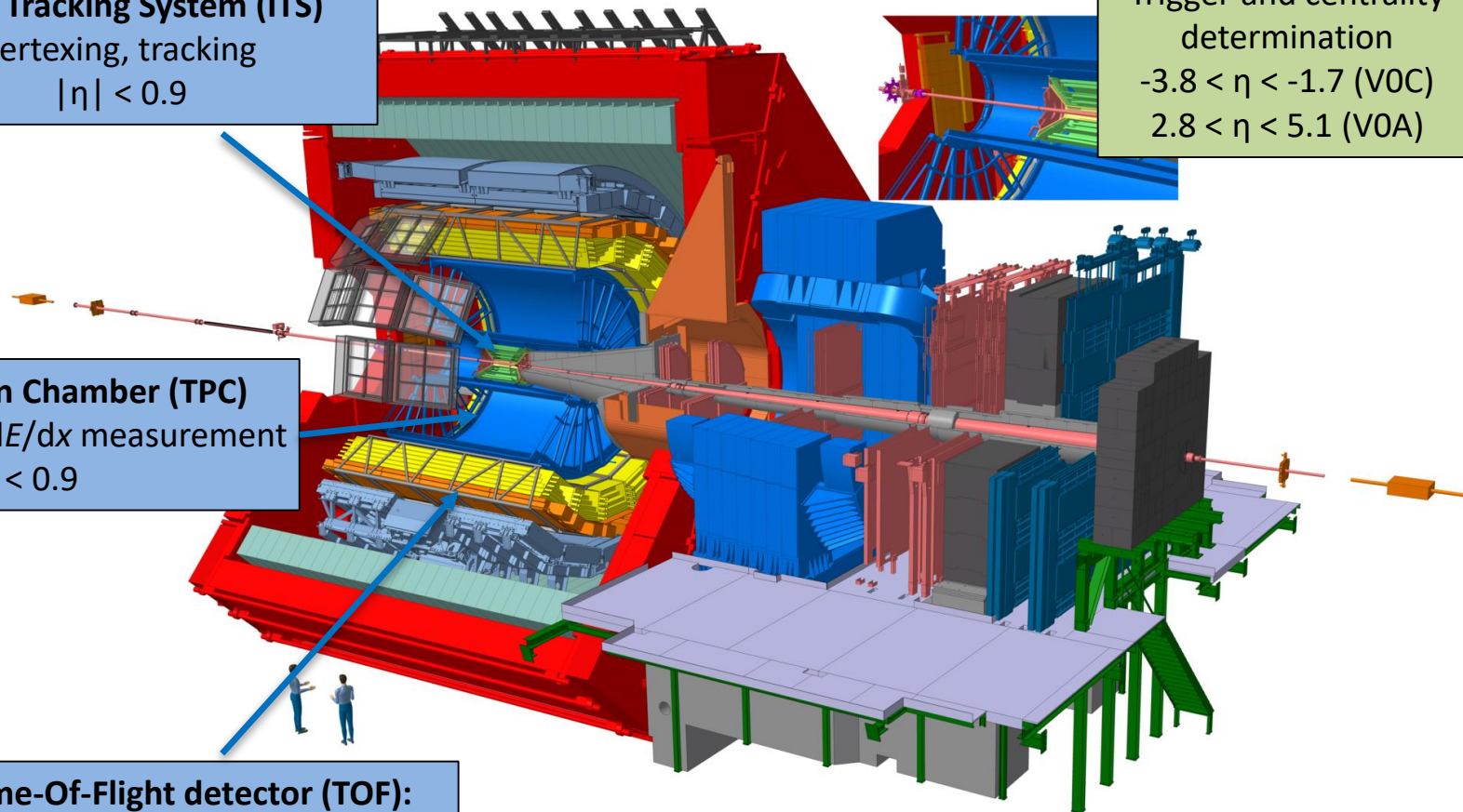
# The ALICE apparatus

**Inner Tracking System (ITS)**  
vertexing, tracking  
 $|\eta| < 0.9$

**Time Projection Chamber (TPC)**  
Tracking, PID via  $dE/dx$  measurement  
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**Time-Of-Flight detector (TOF):**  
PID via time-of-flight measurement  
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**V0**  
Trigger and centrality determination  
 $-3.8 < \eta < -1.7$  (V0C)  
 $2.8 < \eta < 5.1$  (V0A)





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Data samples:

**pp collisions: min. bias trigger using V0, SPD**

- $\sqrt{s} = 7$  TeV :  $\sim 3 \times 10^8$  min. bias events,  $L_{\text{int}} = 6.0 \text{ nb}^{-1}$

**p-Pb collisions: min. bias trigger using V0,  $\sqrt{s_{\text{NN}}} = 5.02$  TeV:**

- RUN I  $\sim 10^8$  Min. Bias events collected in 2013,  $L_{\text{int}} = 48.6 \mu\text{b}^{-1}$
- RUN II  $\sim 6 \times 10^8$  Min. Bias events collected in 2016,  $L_{\text{int}} = 292 \mu\text{b}^{-1}$

**Pb-Pb collisions @  $\sqrt{s_{\text{NN}}} = 5.02$  TeV**

- RUN II  $\sim 10^8$  Min. Bias events collected in 2015,  $L_{\text{int}} = 13.4 \mu\text{b}^{-1}$

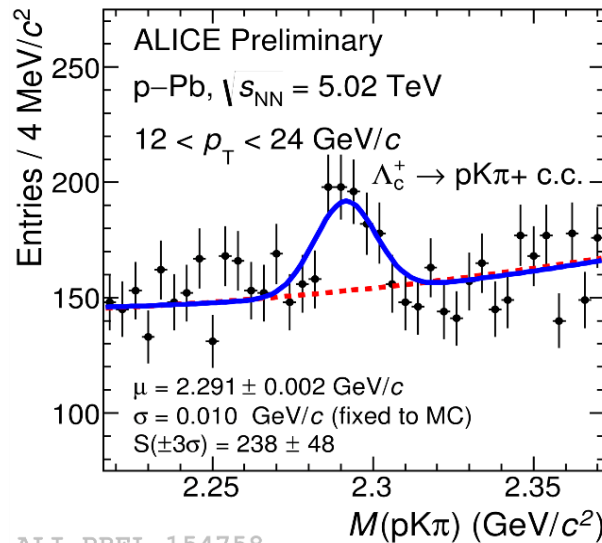
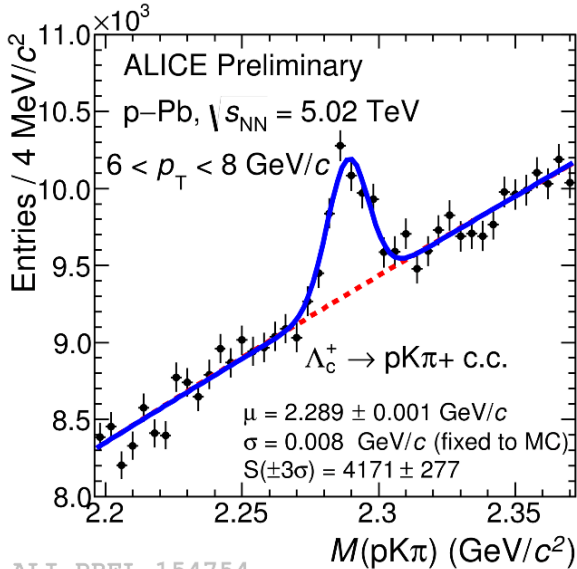
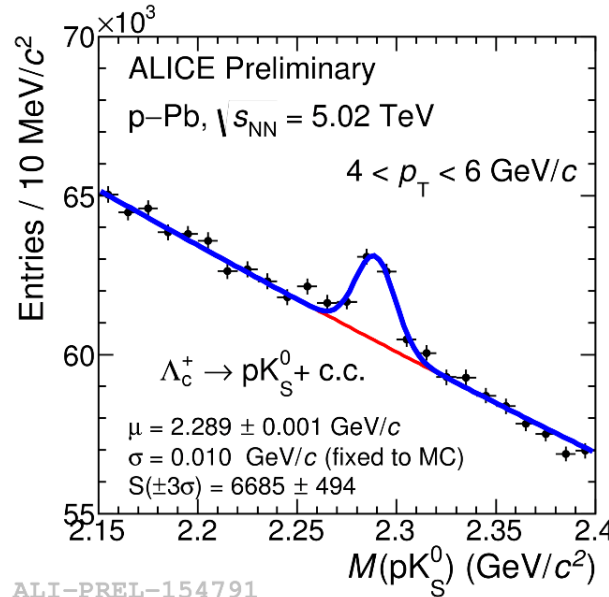
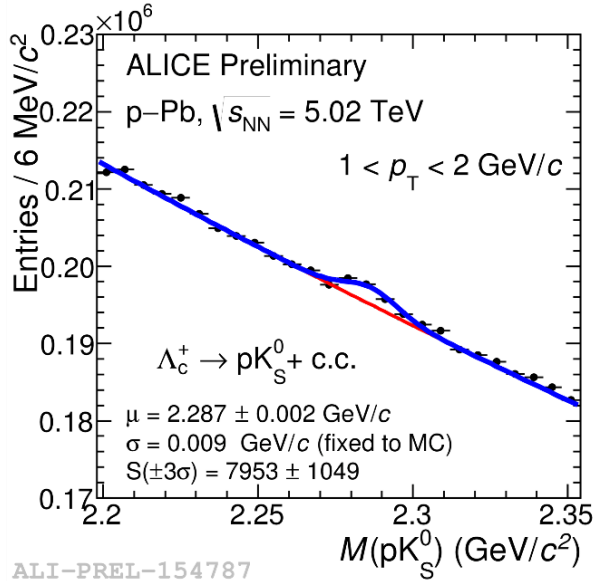
**See Jaime's Talk (Tue)**



# Results in p-Pb collisions

# $\Lambda_c^+ \rightarrow pK_S^0$ and $\Lambda_c^+ \rightarrow pK^+\pi^-$ signal extraction in p-Pb

Recent results from RunII



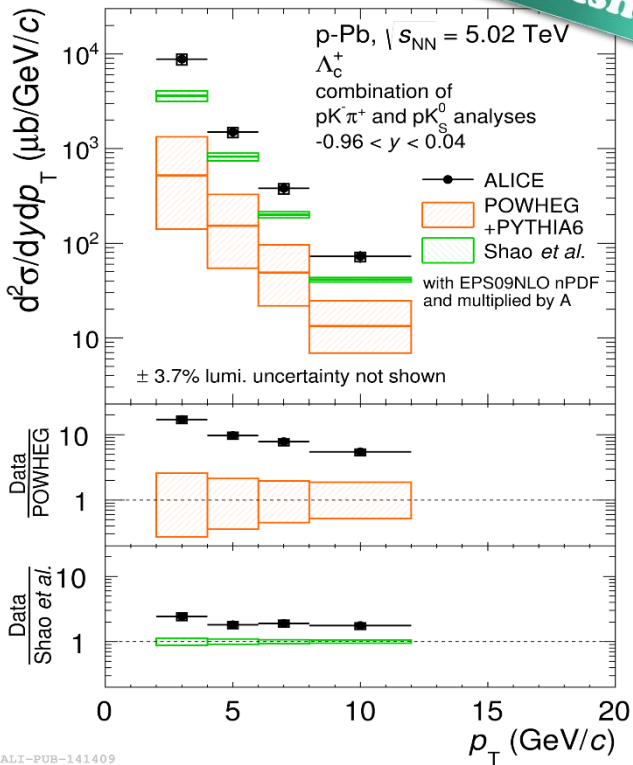
- Signal extracted via an invariant-mass analysis.
- Decay topology selection and Multivariate approach (Boosted Decision Tree) used.

- Signal extracted in 1-24 GeV/c
- Wider and finer binning with respect to Run I.

<https://arxiv.org/abs/1712.09581>

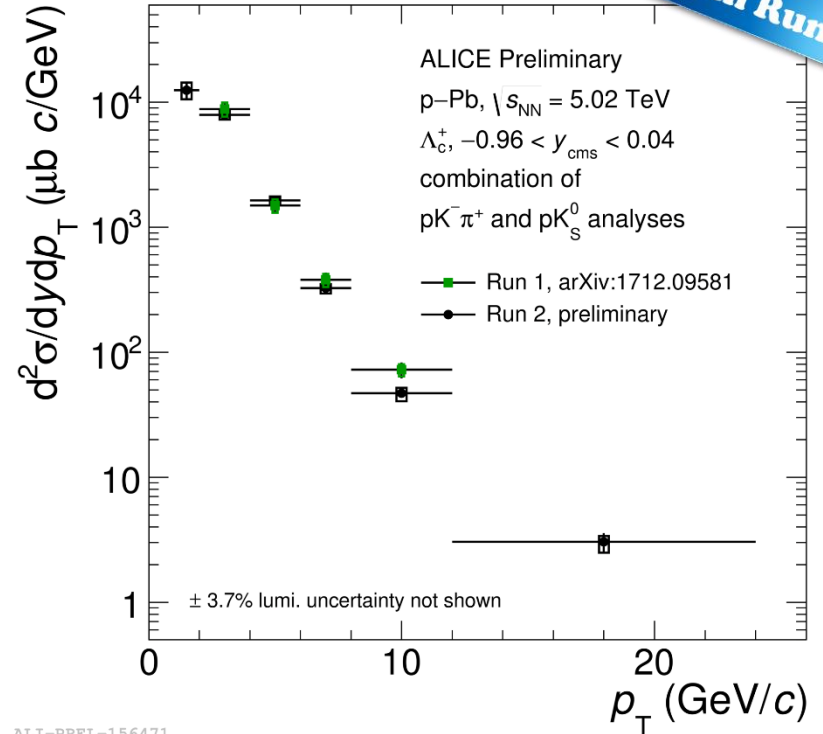
# $\Lambda_c^+$ cross section

Published



ALI-PUB-141409

Recent results from RunII



ALI-PREL-156471

- $\Lambda_c^+$   $p_T$ -differential cross section **underestimated** by theoretical models in p-Pb (and pp) collisions
- Improved precision and extended  $p_T$  range with Run II data.

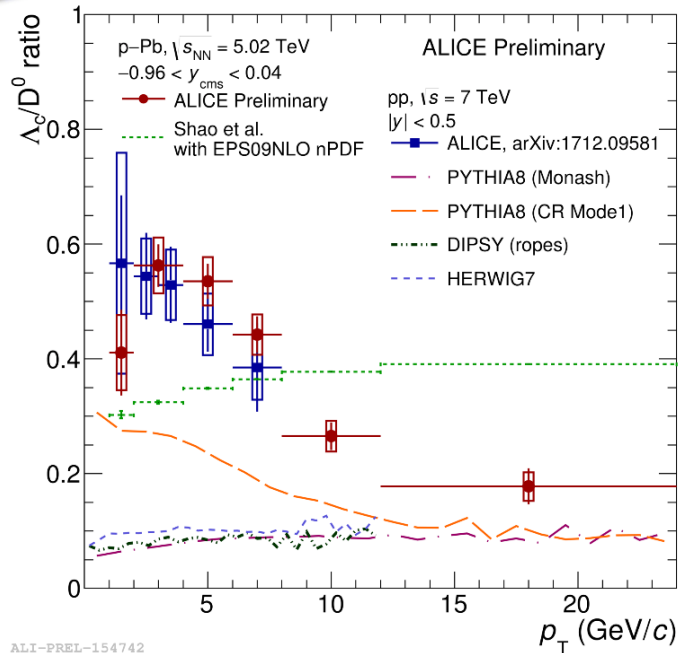
POWEG JHEP0709, 126 (2007)

Lansberg and Shao  
Eur. Phys. J. C77, no. 1, 1 (2017)



# $\Lambda_c^+ / D^0$ ratio

Recent results  
from RunII



**PYTHIA8 Monash:** P. Skands et al., Eur. Phys. J. C (2014) 74:3024

**Colour reconnection (CR):** J. R. Christiansen and P. Skands, JHEP 08 (2015) 003

**DIPSY:** JHEP 08 (2011) 103

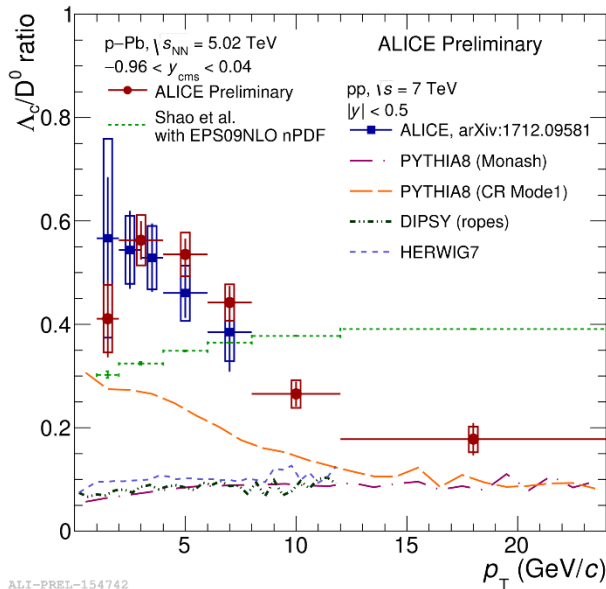
**HERWIG7:** Eur. Phys. J. C58 (2008) 639-707

- $\Lambda_c^+ / D^0$  ratio:
- pp result agrees with p-Pb one within the relatively large uncertainties.
- All the models underestimate our measurements.
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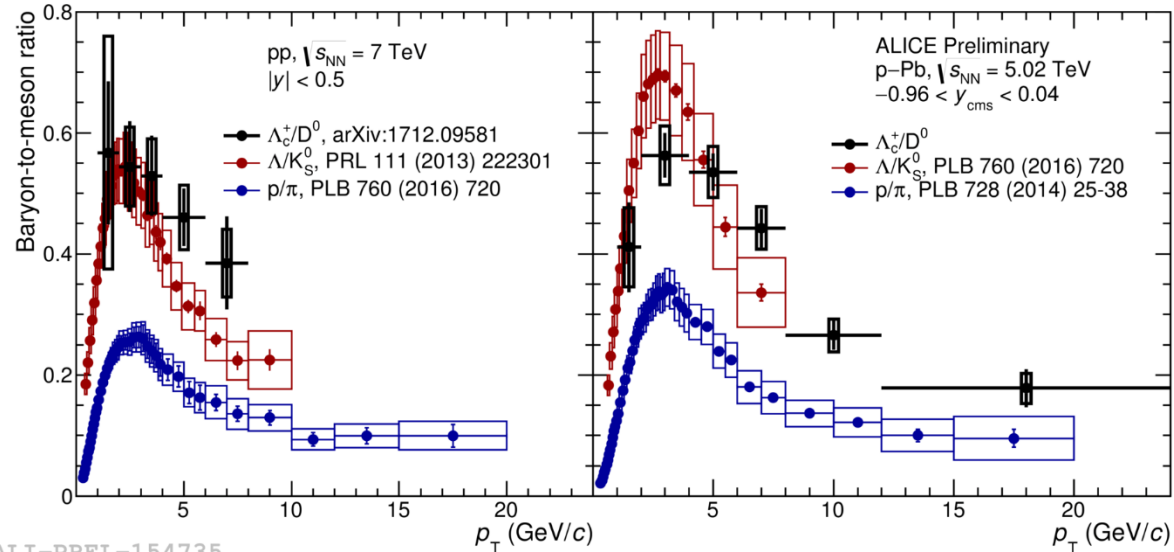


# $\Lambda_c^+ / D^0$ ratio

Recent results  
from RunII



ALI-PREL-154742



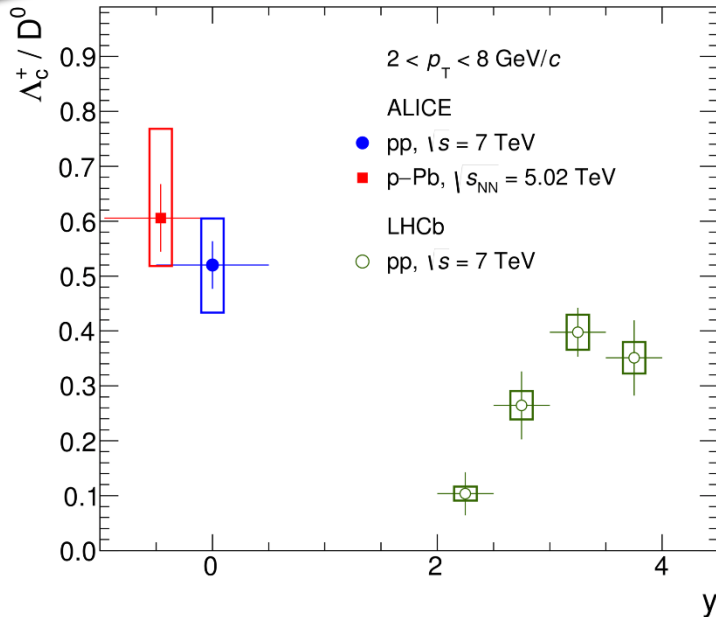
ALI-PREL-154735

- $\Lambda_c^+ / D^0$  ratio:
- pp result agrees with p-Pb one within the relatively large uncertainties.
- All the models underestimate our measurements.
  - PYTHIA8 with colour reconnection mode closer to data.
- Decreasing trend from  $p_T = 4$  GeV/c observed in the new results. Similar trend as baryon-to-meson ratio in the light-flavour sector.

# $\Lambda_c^+ / D^0$ ratio vs LHCb

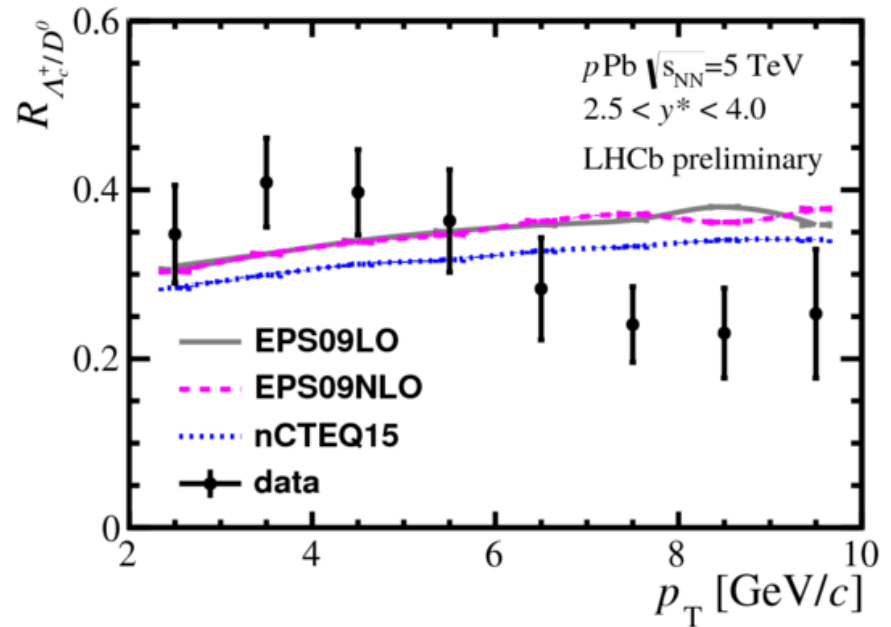
Published

arXiv:1712.09581v1



ALI-PUB-141417

CERN-LHCb-CONF-2017-005

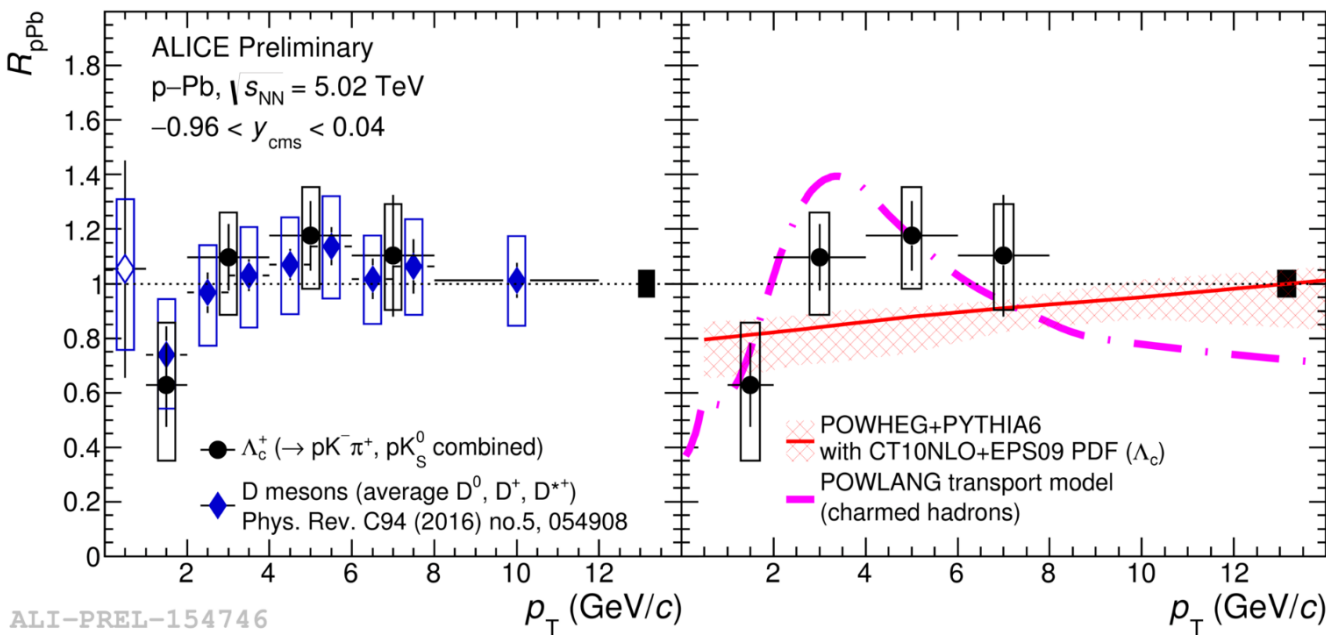


- $\Lambda_c^+ / D^0$  in p-Pb collisions recently measured by the LHCb experiment shows a flatter trend with rapidity, differently from pp results.
- Tendency for higher values at midrapidity (ALICE) than forward and backward rapidity (LHCb).

# $\Lambda_c^+$ nuclear modification factor $R_{pPb}$

Recent results from RunII

$$R_{pPb} = \frac{d\sigma_{pPb}/dp_T}{A \times d\sigma_{pp}/dp_T}$$



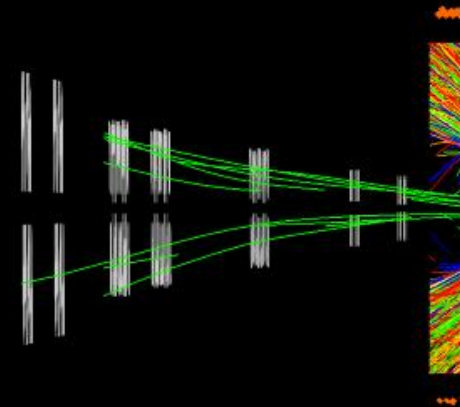
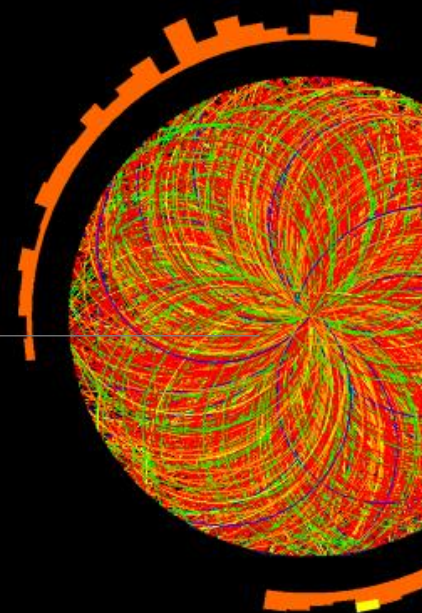
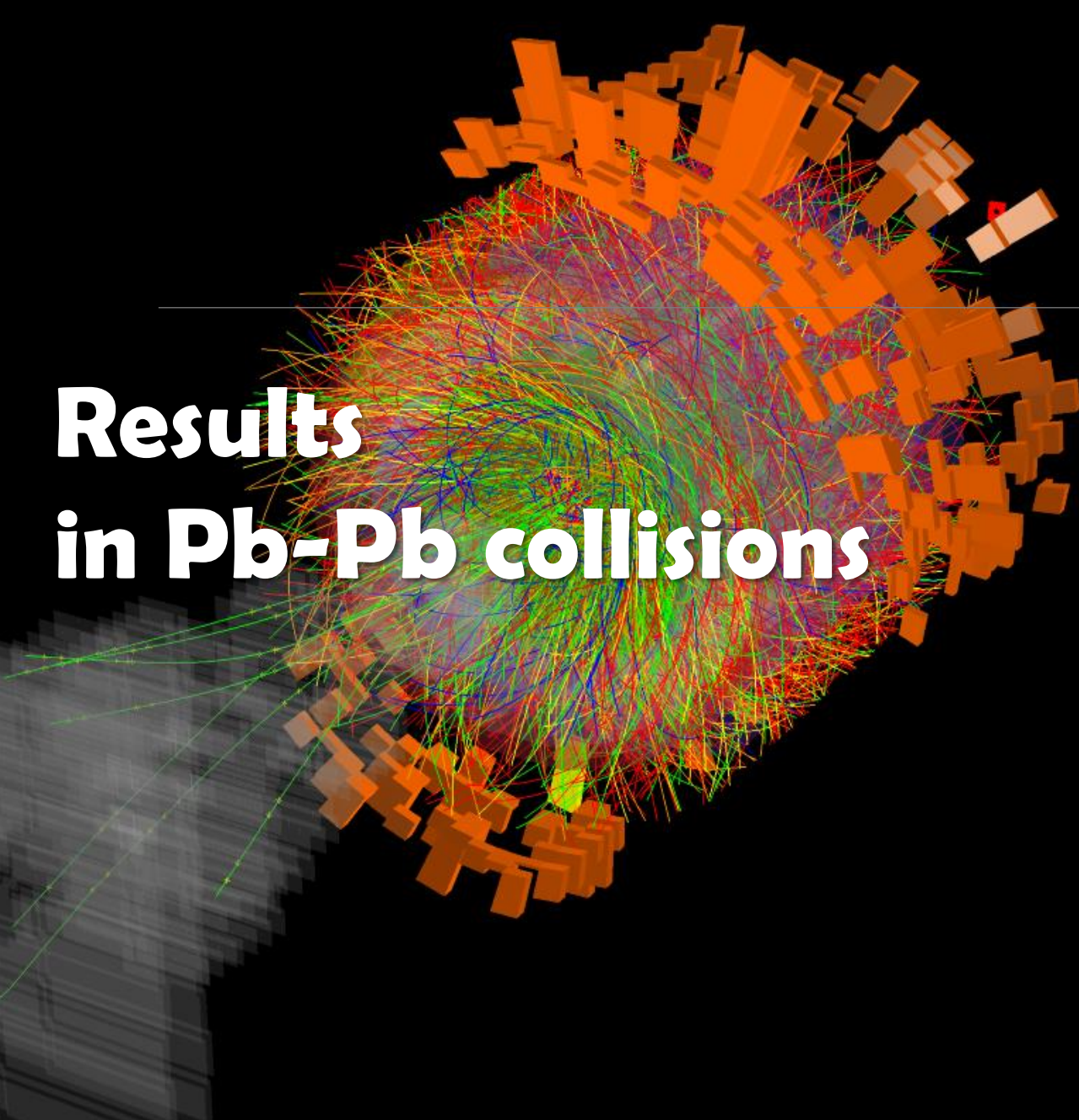
ALI-PREL-154746

- $\Lambda_c^+ R_{pPb}$  compatible with unity
- Compatible with D-meson  $R_{pPb}$

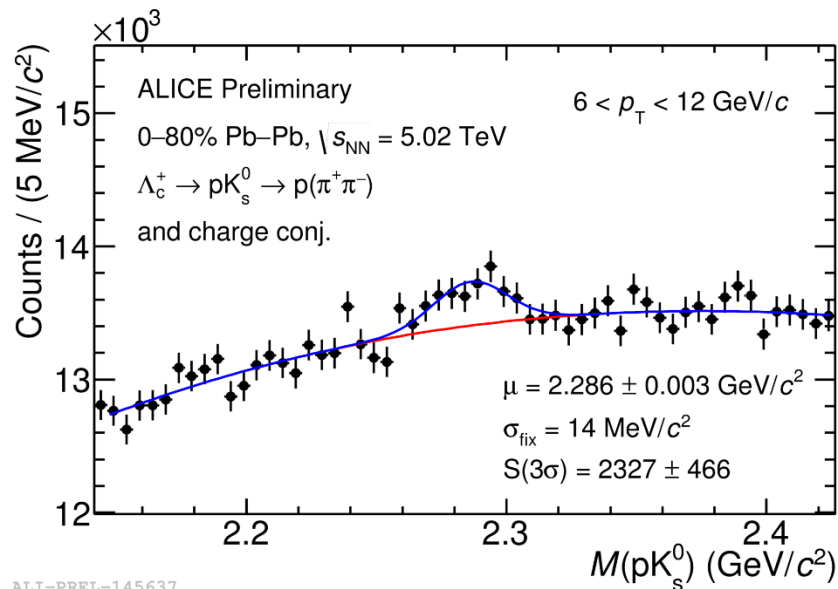
- Compatible with models within uncertainties:
  - POWHEG+PYTHIA6 with CT10NLO+EPS09 PDF - only CNM effects included
  - POWLANG – small QGP formation included

POWHEG +PYTHIA parton shower: JHEP 0709:126,2007  
POWLANG: JHEP03(2016)123

# Results in Pb-Pb collisions



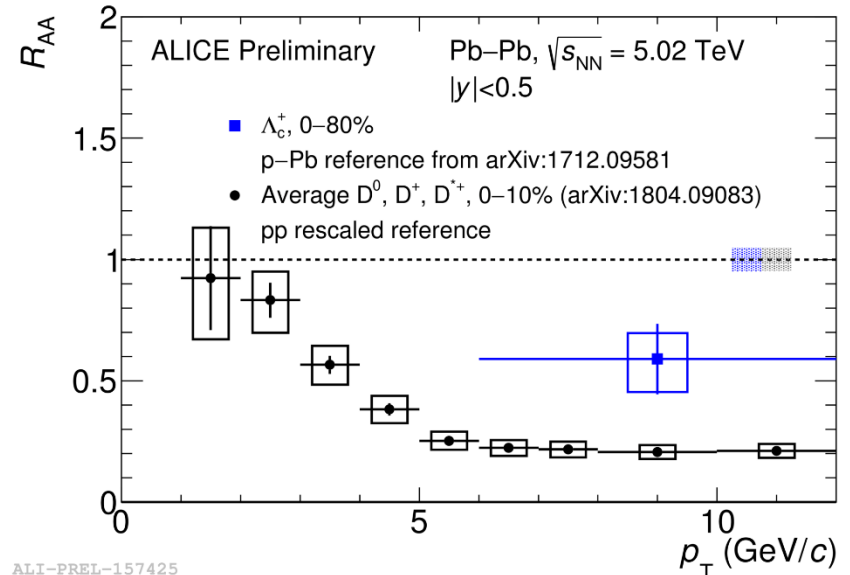
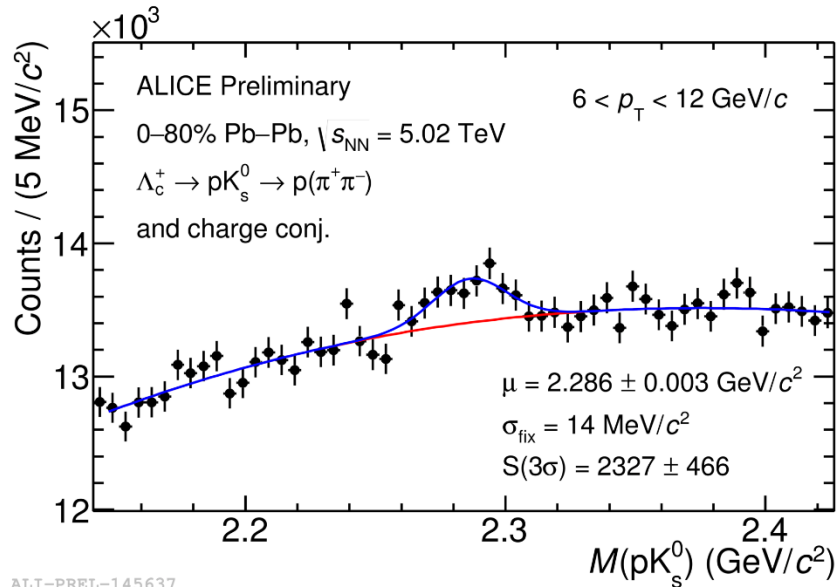
# The first LHC measurement of $\Lambda_c$ in HI collisions



- Analysis for 0-80 % centrality and for  $6 < p_T < 12$  GeV/c
  - Waiting for 2018 Pb-Pb run: extend  $p_T$  interval, more differential measurements in  $p_T$  and centrality.

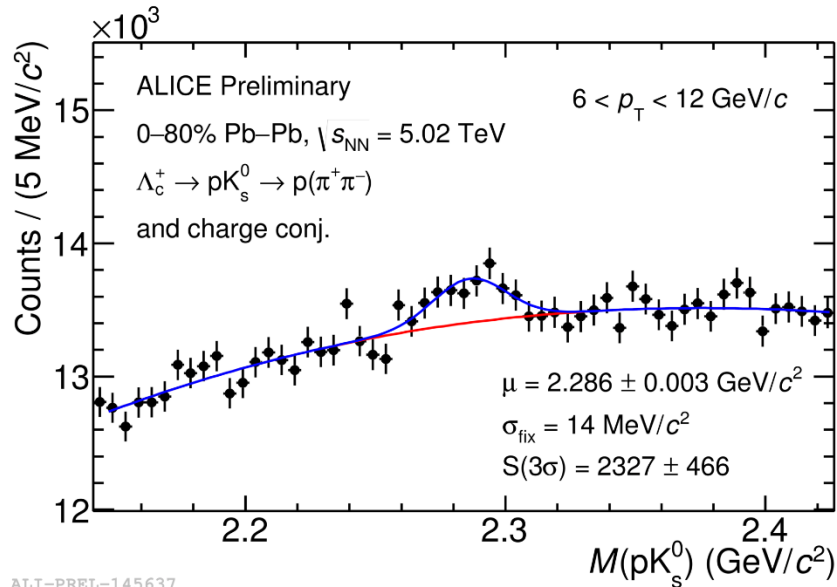


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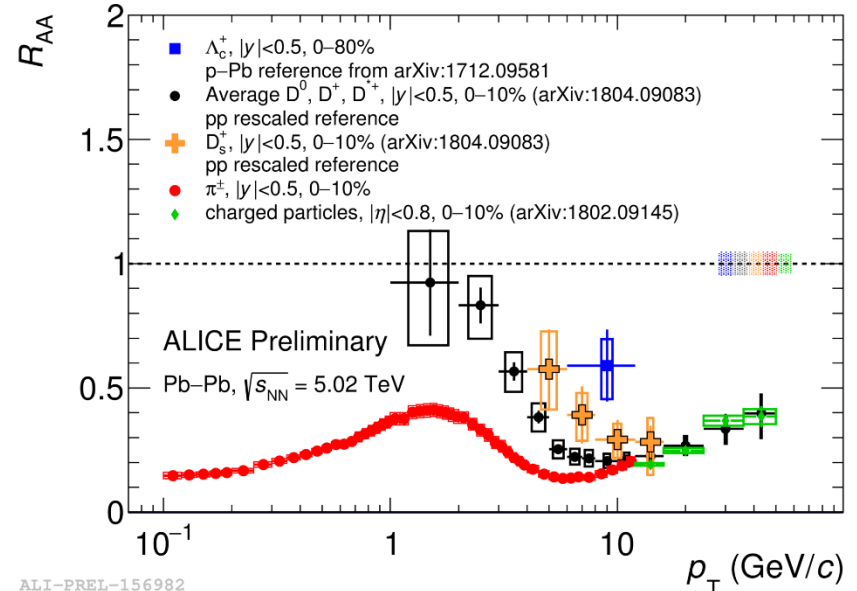


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- Hint for  $\Lambda_c^+$   $R_{AA}$  in 0-80% larger than D-meson  $R_{AA}$  in 0-10 % centrality class.

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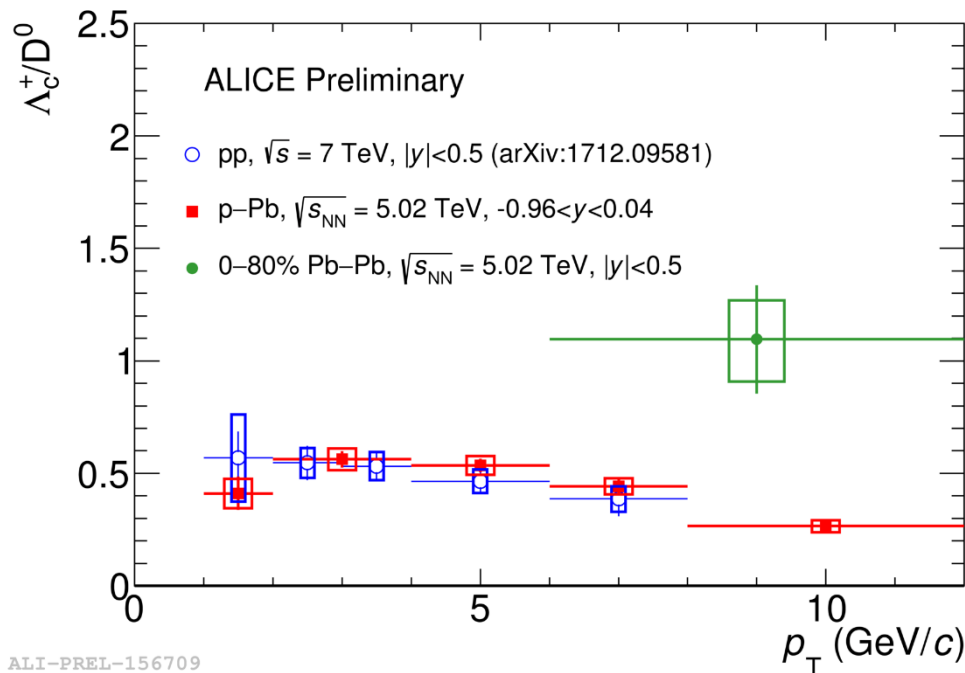
ALI-PREL-145637



ALI-PREL-156982

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- Hint for  $\Lambda_c^+ R_{AA}$  in 0-80% larger than D-meson  $R_{AA}$  in 0-10 % centrality class.
  - ➡ Suggested hierarchy  $\Lambda_c^+ R_{AA} > D_s^+ R_{AA} > \text{non-strange D-meson } R_{AA} > \text{pion } R_{AA}$  ?

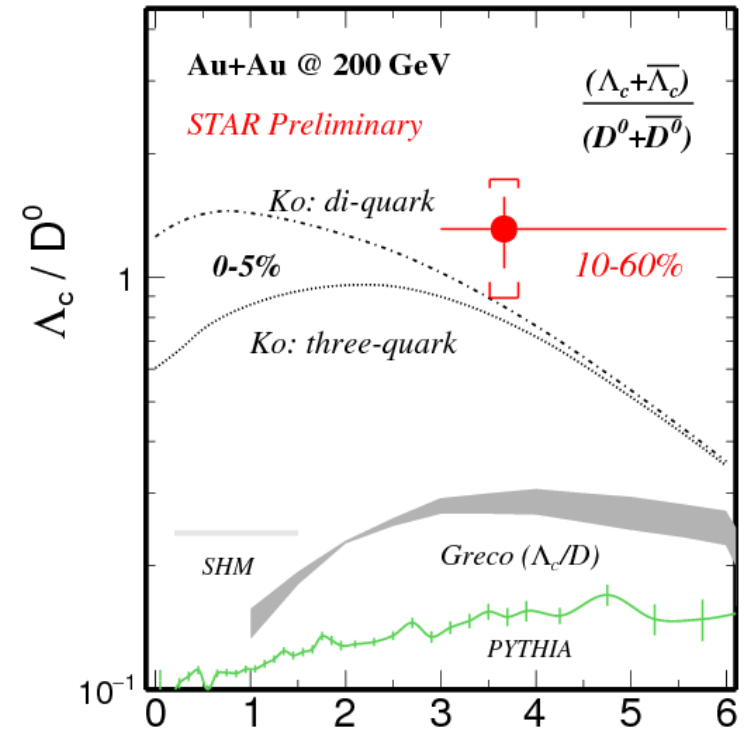
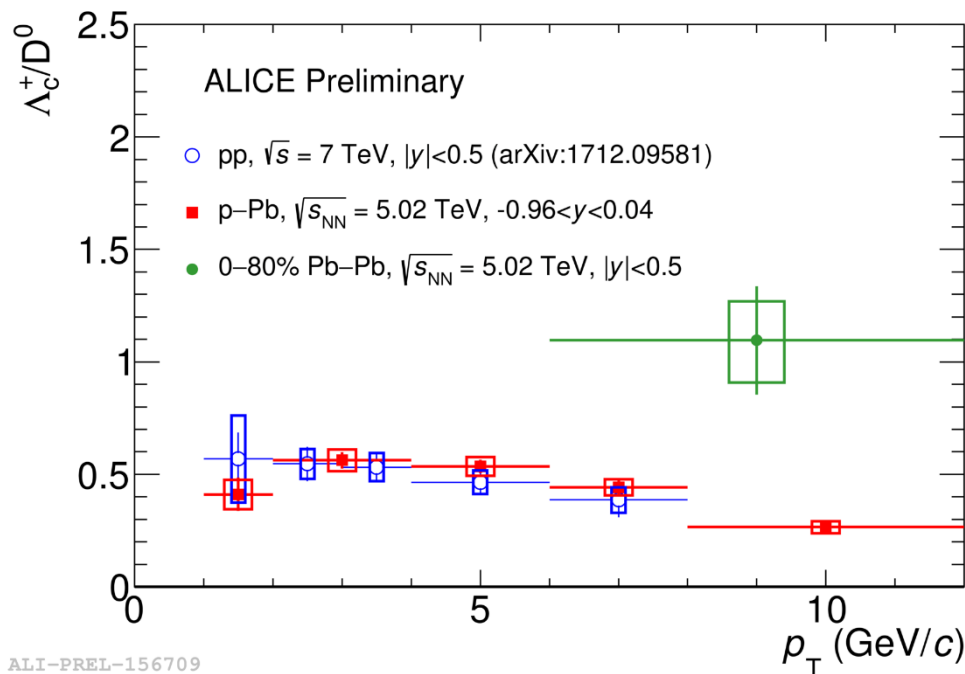
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# The first LHC measurement of $\Lambda_c$ in HI collisions

Nucl. Phys. A967, 928 (2017), 1704.04353



- $\Lambda_c^+ / D^0$  higher than in in pp and p-Pb collisions. Transverse Momentum  $p_T$  (GeV/c)
- Uncertainty is dominated by the statistical component.
- $\Lambda_c^+ / D^0$  in  $6 < p_T < 12$  GeV/c similar to STAR value in 3-6 GeV/c.

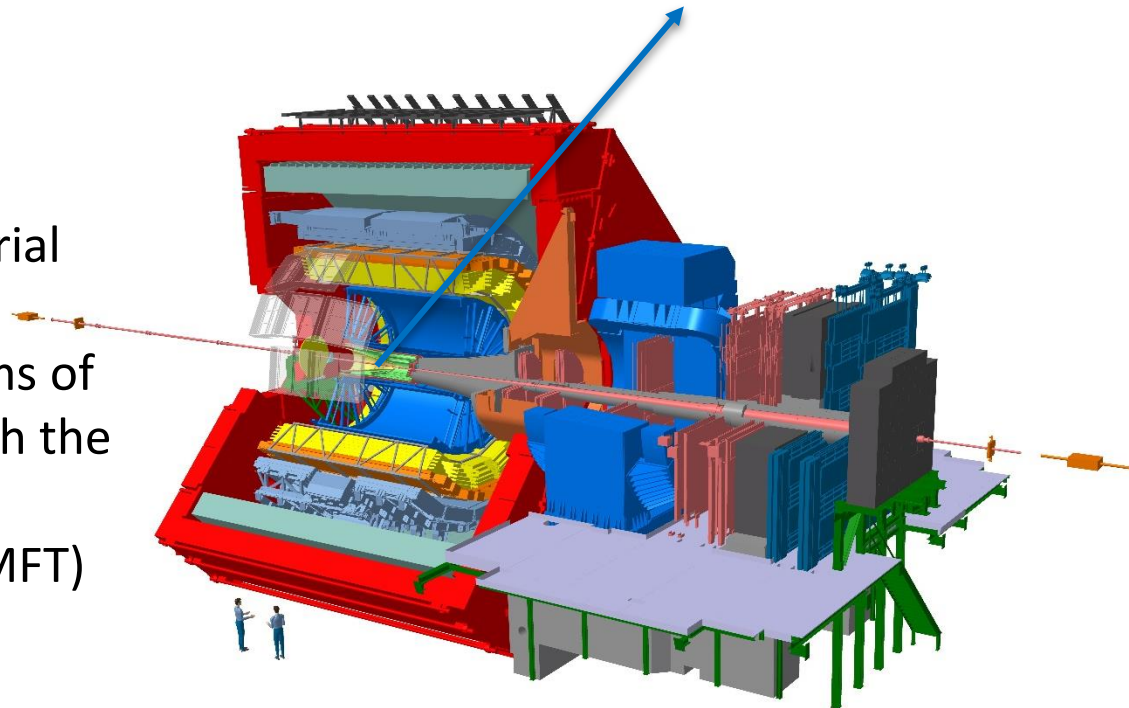
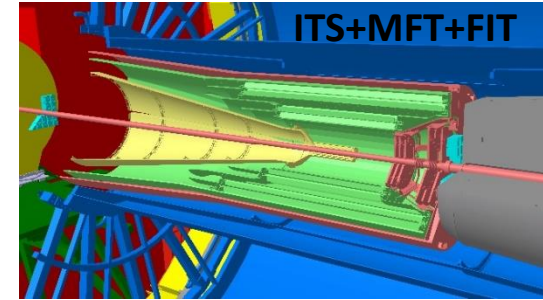
# ALICE upgrade

Data taking will start in 2021

- Significant upgrade foreseen, aiming at:
  - Improve impact parameter resolution by a factor 3
  - Improve vertexing and tracking at low  $p_T$
  - 50 kHz interaction rate in Pb-Pb (now < 10 kHz).

## How?

- New smaller radius beam pipe
- New inner tracking system:
  - high resolution, low material budget
- Upgrade of the readout systems of most subdetectors to copy with the high rate
- New Muon Forward Tracker (MFT)





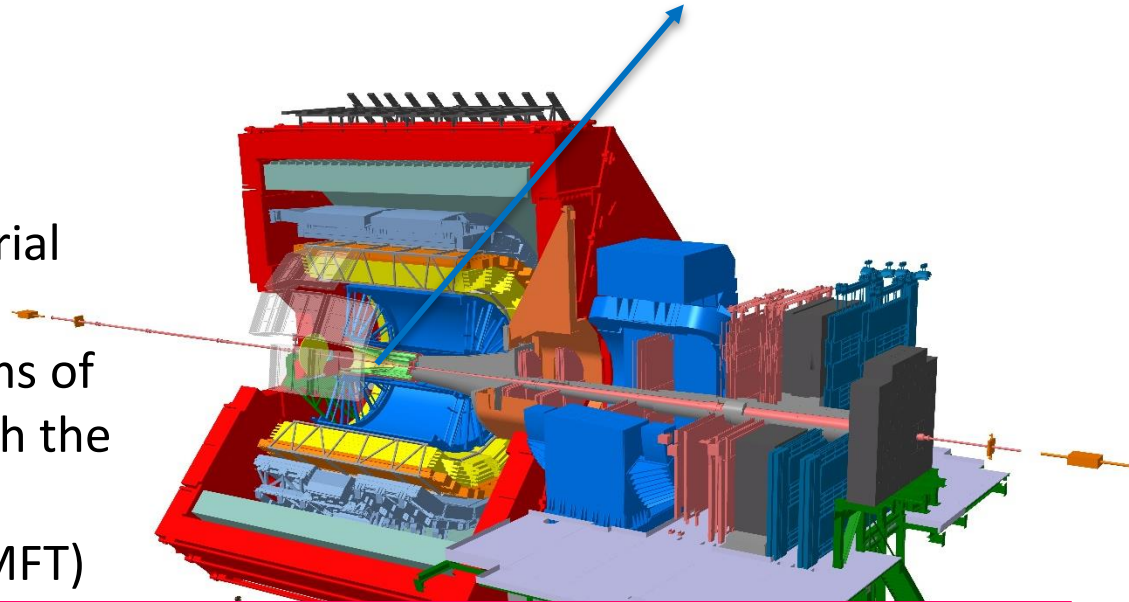
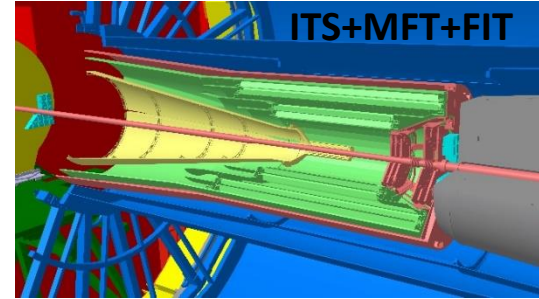
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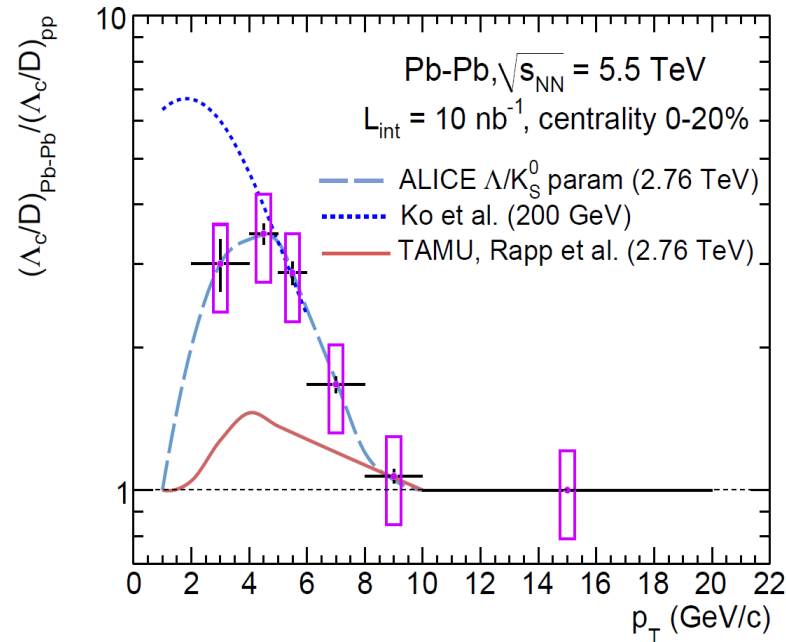
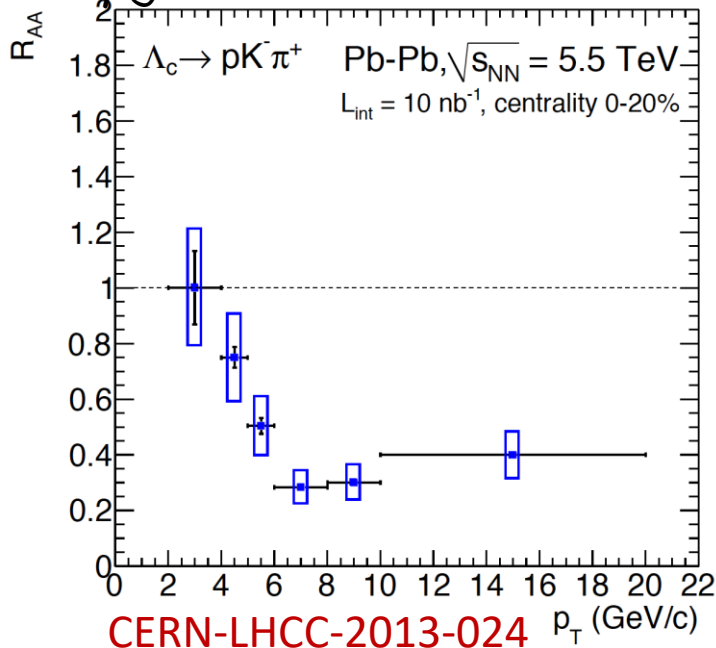


Main physics goal of the ALICE upgrade:

**Charm and beauty-hadron measurements down to very low  $p_T$**

# ALICE upgrade

- $\Lambda_c^+$  measurement in Pb-Pb collisions: one of the main goal of the ALICE upgrade



- $\Lambda_c^+/D^0$  baryon/meson ratio and  $\Lambda_c^+$  baryon  $R_{AA}$  will be measured in charm sector with the upgraded ITS.
- Improvement in spatial resolution allows for a cleaner vertex identification.
  - ➔  $\Lambda_c^+$  production measurable down to 2 GeV/c.

# Conclusions

## $\Lambda_c$ analysis in $pp$ collisions

See Jaime's Talk (Tue)

- Analysis started on larger data sets, collected at 5 TeV and 13 TeV

## $\Lambda_c$ analysis in $p$ -Pb collisions

- $\Lambda_c^+ / D^0$  higher than MC predictions (as already observed).
- $\Lambda_c^+ / D^0$ : similar  $p_T$  trend than baryon to meson ratio observed in light flavour sector.
- $\Lambda_c^+ R_{pPb}$  compatible with unity, with D mesons and with models including CNM effects or small QGP formation.

## $\Lambda_c$ analysis in Pb-Pb collisions

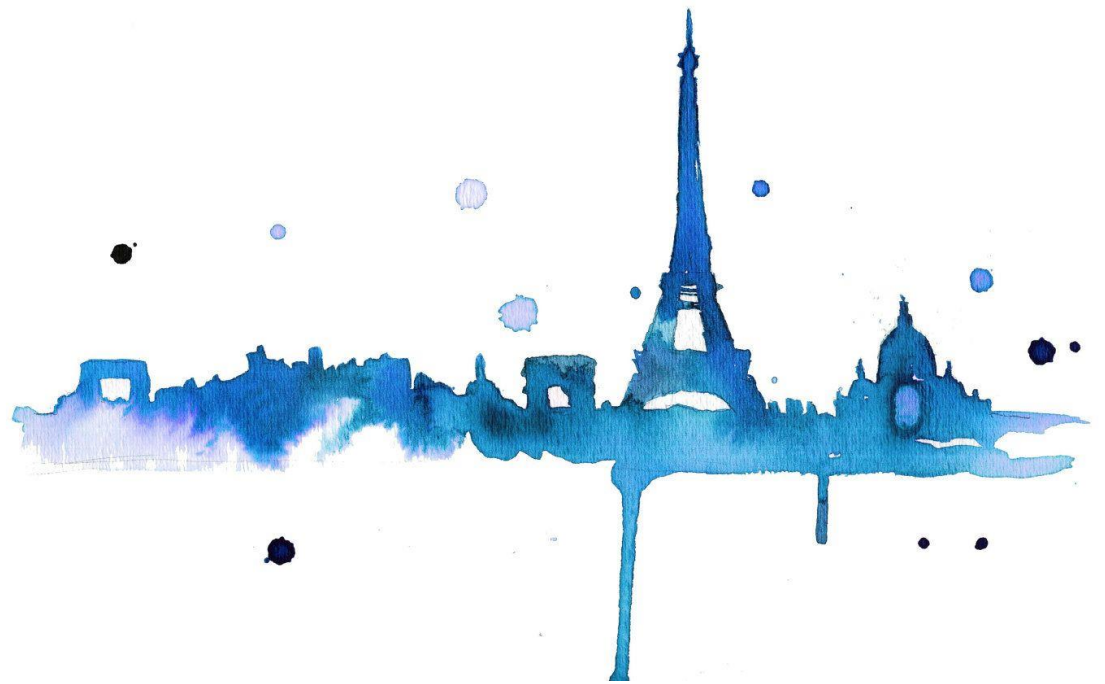
- Indication of enhancement with respect to  $pp$  and  $p$ -Pb collisions.
- $\Lambda_c^+ / D^0$ : large uncertainty, waiting for 2018 Pb-Pb data.
- $\Lambda_c^+ / D^0$  similar to STAR value in 3-6 GeV/c.

- $\Lambda_c$  measurement in Pb-Pb collisions down to low  $p_T$ : one of the main goal of the ALICE upgrade

*Thanks for your attention*



# *Backup*





# Physics motivations

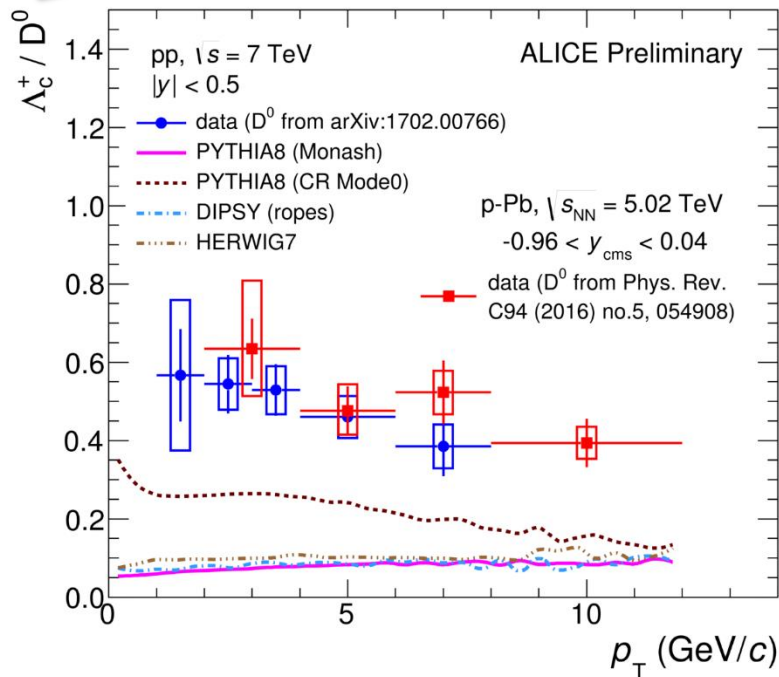
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  - Similar to what was observed in HI collisions
    - Possible explanations:
      - mini-QGP, influence of colour reconnection on hadronisation?
- Baryon/meson ratio particularly sensitive to the fragmentation process.
  - Differences observed in pp collisions (CDF+LHCb) with respect to  $e^+e^-$  collisions (LEP) in the beauty sector <http://pdg.lbl.gov/2015/reviews/rpp2015-rev-b-meson-prod-decay.pdf>
    - hint of non-universal fragmentation fractions for baryons in the beauty sector

**See Jaime's Talk (Tue)**

# $\Lambda_c^+ / D^0$ ratio

Results from Run I



$\Lambda_c^+ / D^0$  ratio:

- Agreement in pp and p-Pb collisions
- All the models underestimate our measurements
  - PYTHIA8 with colour reconnection mode closer to data
- Models however well describe the  $D^0$  cross section

PYTHIA8 Monash: P. Skands et al., Eur. Phys. J. C (2014) 74:3024

Colour reconnection (CR): J. R. Christiansen and P. Skands, JHEP 08 (2015) 003

DIPSY: JHEP 08 (2011) 103

HERWIG7: Eur. Phys. J. C58 (2008) 639-707

- $\Lambda_c / D^0$  also larger than previous measurements at lower centre of mass energies and with different collisions systems, on which all the theoretical predictions are based!

i.e. ZEUS: JHEP11 009, 2010

LHCb: Nucl Phys B871 (2013) 1-20

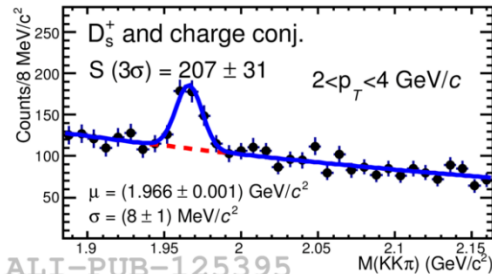
# Charmed-hadron reconstruction



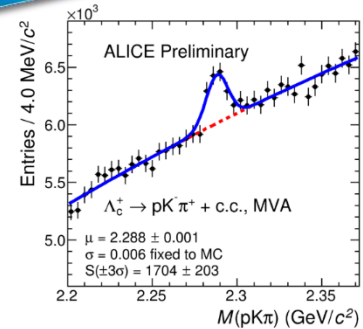
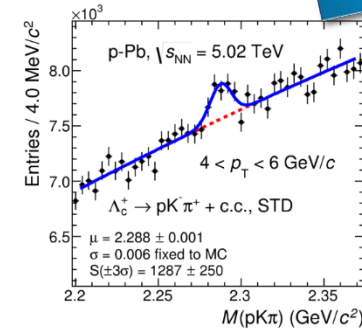
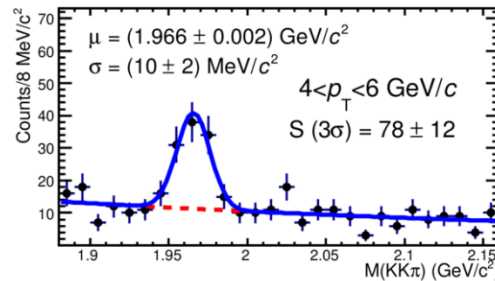
## Charm from hadronic decays

- Reconstruction of secondary vertex, displaced from the primary vertex by few hundred  $\mu\text{m}$
- Candidates selected applying **topological selections** and **PID** (using TPC and TOF)
  - Using of **MultiVariate Approach**

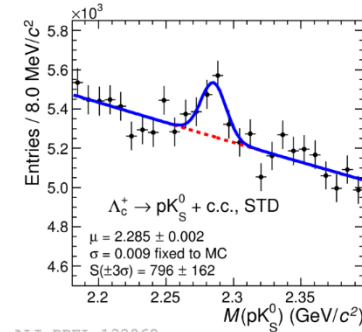
## • Signal extraction via invariant mass



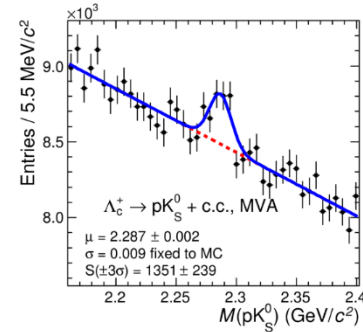
ALI-PUB-125395



Recent results from Run1



ALI-PREL-132069



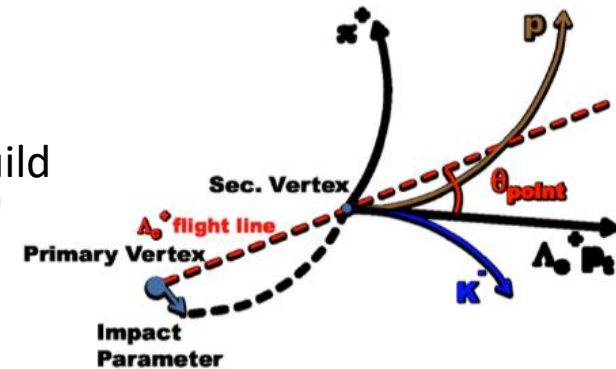
# $\Lambda_c \rightarrow pK\pi$ analysis strategy

$\Lambda_c \rightarrow pK\pi$  B.R =  $(6.35 \pm 0.33)\%$

- $pK\pi$  candidate building

Pairs of opposite charge tracks selected. Third track added to build a triplet and secondary vertex of the triplet estimated.

**Cuts applied:** high-quality single track cuts, cuts on  $p_T$  daughters, quality of reconstructed vertex, DCA, cosine of  $\Lambda_c$  pointing angle (angle between the  $\Lambda_c$  flight line and the momentum of the reconstructed  $\Lambda_c$  candidate), Bayesian PID.

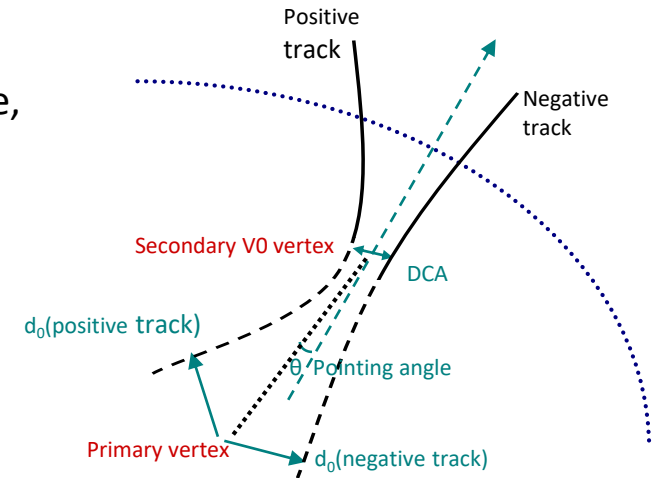


- Further selection to improve signal extraction, via two methods:
  - **Topological cuts on several variables (standard analysis - STD)**
  - **Cut on multivariate discriminator (TMVA)**
- Feed-down correction
- Efficiency and acceptance corrections
- Cross section estimate

# $\Lambda_c \rightarrow p K_S^0$ analysis strategy

- $K_S^0$  candidate reconstructed from pairs of opposite-sign tracks forming a vertex displaced from the interaction vertex, according to track selection and topological cuts:
  - Distance of closest approach (DCA), Cosine of pointing angle,  $p_T(K_S^0 \text{ daughters})$ ,  $d_0(K_S^0 \text{ daughters})$ ,  $m_{inv}(\pi^+\pi^-)$
- Proton candidates are selected, according to track quality selection and **PID** (the main selection, using TPC and TOF)
- **Built  $\Lambda_c$  candidate, combining  $K_S^0$  and proton candidates**
- Further selection to improve signal extraction, via two methods:
  - **Topological cuts on several variables (standard analysis - STD)**
  - **Cut on multivariate discriminator (TMVA)**
- Feed-down correction
- Efficiency and acceptance corrections
- Cross section estimate

$\Lambda_c \rightarrow p K_S^0$  B.R =  $(1.58 \pm 0.08)\%$   
and  $K_S^0 \rightarrow \pi^+\pi^-$  B.R =  $(69.20 \pm 0.05)\%$



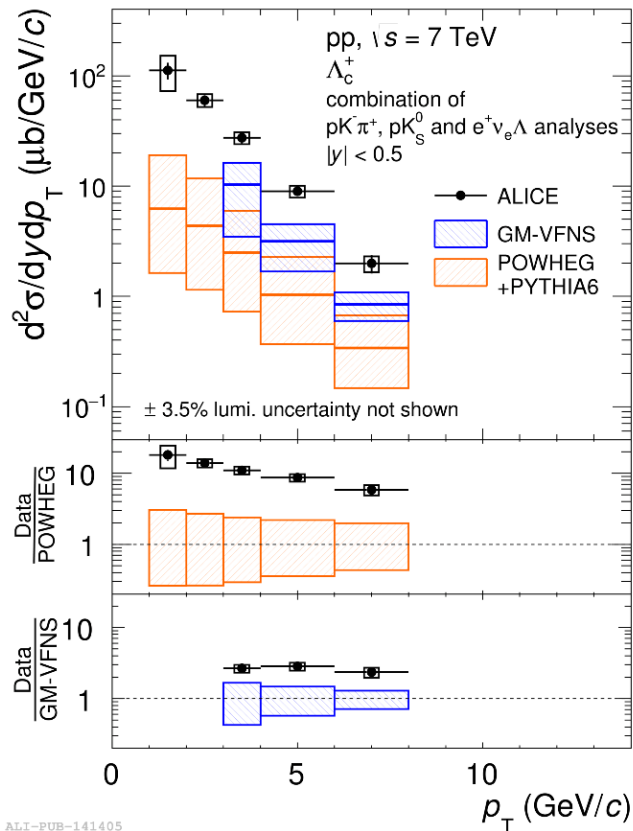
# Results at Run 1

$\Lambda_c^+$  production in pp collisions at  $\sqrt{s} = 7$  TeV and in p-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

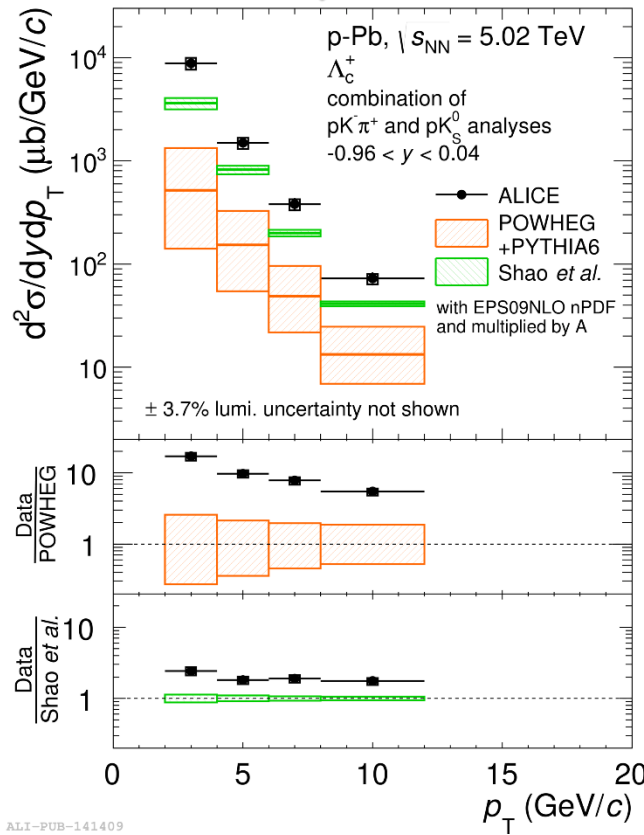
On arXiv since 29/12/2017!

<https://arxiv.org/abs/1712.09581>

pp



p-Pb



GM-VFNS, Lansberg and Shao predictions and POWEG calculations underestimate significantly the measured cross sections.

GM-VFNS Eur. Phys. J. C41, 199 (2005)

POWEG JHEP0709, 126 (2007)

Lansberg and Shao  
 Eur. Phys. J. C77, no. 1, 1 (2017)



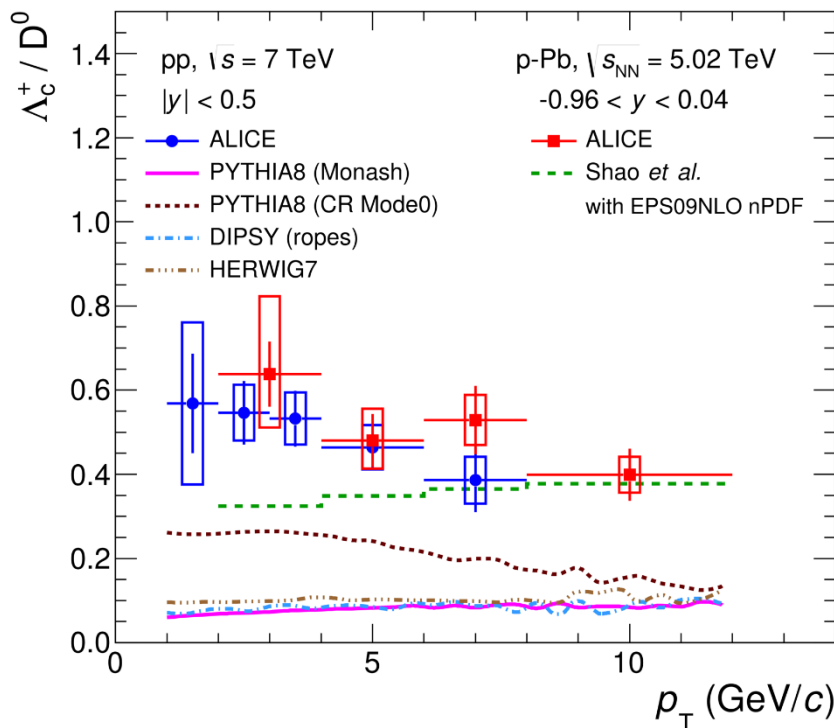
# Results from Run 1

$\Lambda_c^+$  production in pp collisions at  $\sqrt{s} = 7$  TeV and in p-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

On arXiv since 29/12/2017!

<https://arxiv.org/abs/1712.09581>

JHEP very positively replied last week with very few comments



- $\Lambda_c^+ / D^0$  ratio higher than previous measurements in  $e^+e^-$  and ep, and at lower centre-of-mass energies.
- Predictions from event generators **PYTHIA**, **DIPSY** and **HERWIG** underpredict the pp measurements
- Only **PYTHIA8** with enhanced colour reconnection mechanisms increases the ratio at about 0.3 and predicts a slightly decreasing trend versus  $p_T$ .

- p-Pb measurements compared with **Lansberg and Shao** model (tuned on LHCb pp data)

**Lansberg and Shao** Eur. Phys. J. C77, no. 1, 1 (2017)

ALI-PUB-141421

**PYTHIA8 (Monash)** Eur. Phys. J. C74, no. 8, 3024

**PYTHIA8 (CR Model)** JHEP 1508 (2015) 003

**DIPSY** Phys. Rev. D92, no. 9, 094010 (2015)

# Results from Run 1

○  $(\Lambda_c^+ / D^0)_{pp} = 0.543 \pm 0.061 \text{ (stat)} \pm 0.160 \text{ (syst)}$ .

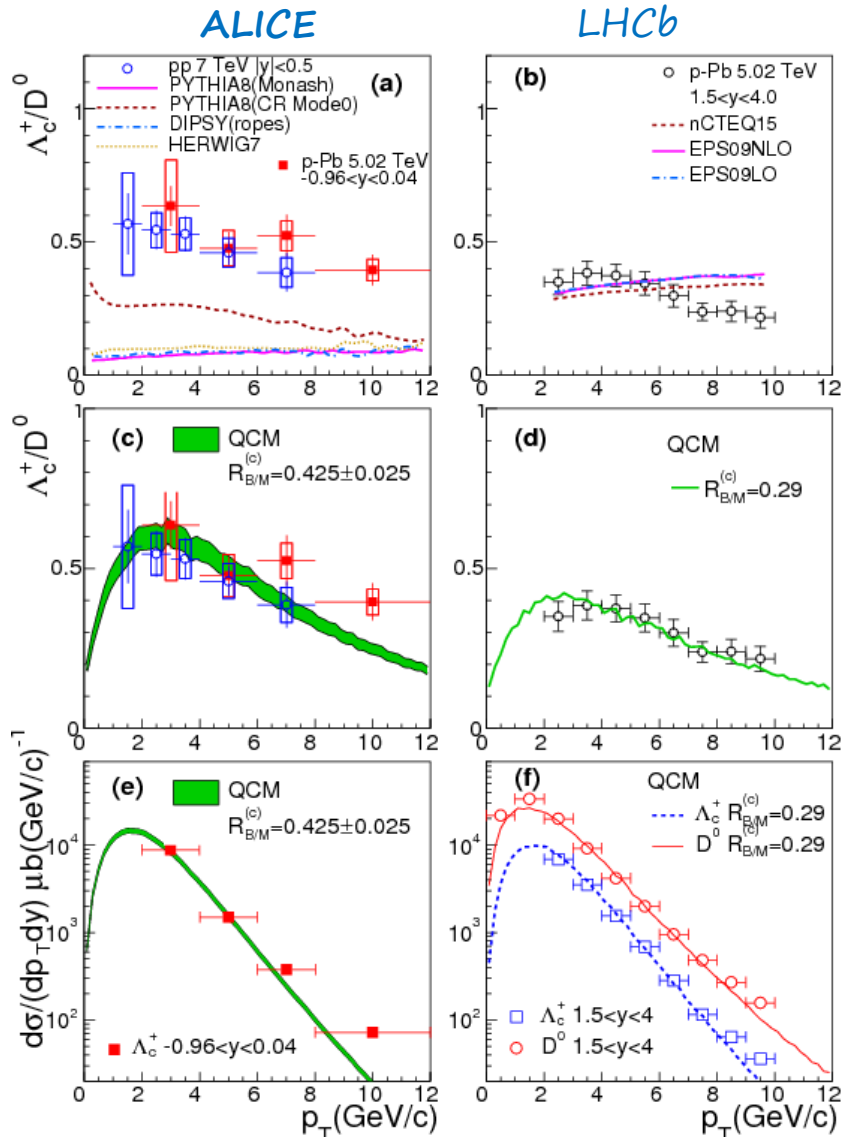
○  $(\Lambda_c^+ / D^0)_{p\text{-Pb}} = 0.603 \pm 0.060^{+0.159}_{-0.087} \text{ (syst)}$

*$\Lambda_c^+ / D^0$  ratio higher than previous measurements in  $e^+e^-$  and  $ep$ , and at lower centre-of-mass energies:*

	$\Lambda_c^+ / D^0 \pm \text{stat.} \pm \text{syst.}$	System	$\sqrt{s}$ (GeV)	Notes
CLEO	$0.119 \pm 0.021 \pm 0.019$	ee	10.55	
ARGUS	$0.127 \pm 0.031$	ee	10.55	
LEP average	$0.113 \pm 0.013 \pm 0.006$	ee	91.2	
ZEUS DIS	$0.124 \pm 0.034^{+0.025}_{-0.022}$	ep	320	$1 < Q^2 < 1000 \text{ GeV}^2$ , $0 < p_T < 10 \text{ GeV}/c$ , $0.02 < y < 0.7$
ZEUS $\gamma p$ , HERA I	$0.220 \pm 0.035^{+0.027}_{-0.037}$	ep	320	$130 < W < 300 \text{ GeV}$ , $Q^2 < 1 \text{ GeV}^2$ , $p_T > 3.8 \text{ GeV}/c$ , $ \eta  < 1.6$
ZEUS $\gamma p$ , HERA II	$0.107 \pm 0.018^{+0.009}_{-0.014}$	ep	320	$130 < W < 300 \text{ GeV}$ , $Q^2 < 1 \text{ GeV}^2$ , $p_T > 3.8 \text{ GeV}/c$ , $ \eta  < 1.6$

# Theorists at work after our paper

Hai-hong Li et al., arXiv:1712.08921



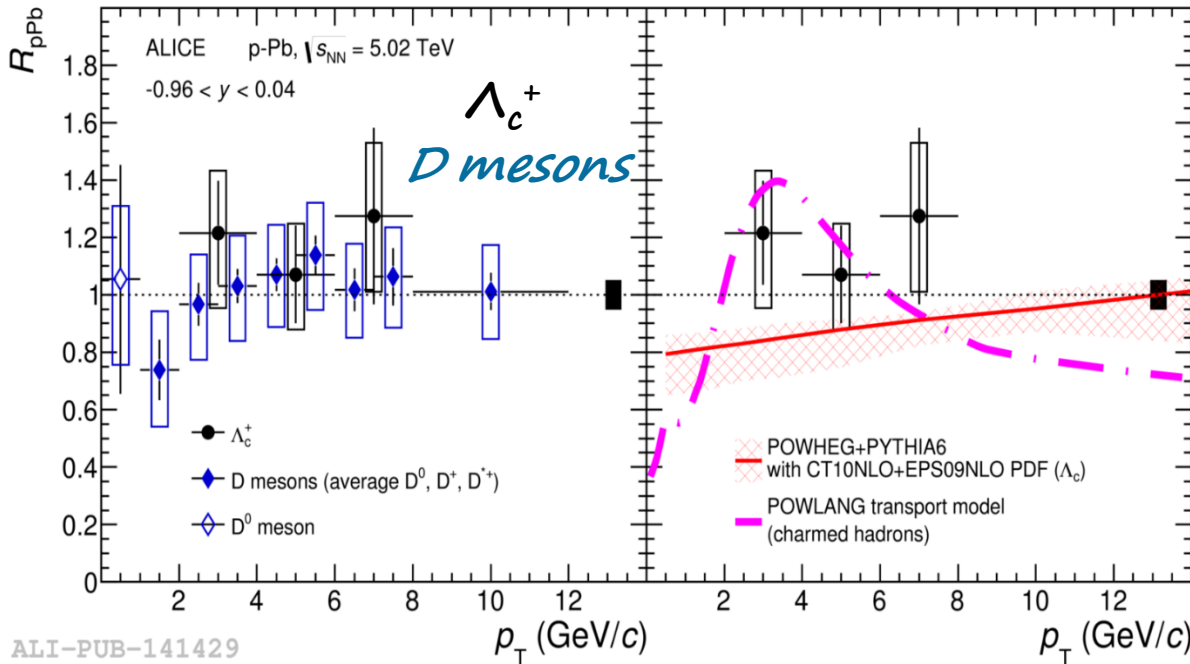
- Predictions using hadronization via recombination model reproduce ALICE results at central rapidity and the LHCb ones at forward rapidity in p-Pb collisions. [LHCb-CONF-2017-005](#)
- $R_{B/M}^{(c)}$  relative production of single-charm baryons to single-charm mesons, treated as parameter of the model.
- Initial  $p_T$  distributions of light and charm quarks are input of the models.

# Results at Run 1

$\Lambda_c^+$  production in pp collisions at  $\sqrt{s} = 7$  TeV and in p-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

On arXiv since 29/12/2017!

<https://arxiv.org/abs/1712.09581>



- $\Lambda_c^+$   $R_{pPb}$  compatible with unity
- Compatible with D-Meson  $R_{pPb}$ 
  - Phys. Rev. C 94, 054908

POWHEG +PYTHIA parton shower: JHEP 0709:126,2007

POWLANG: JHEP03(2016)123

- Comparison with theory:
  - POWHEG+PYTHIA6 with CT10NLO+EPS09 PDF - only CNM effects included
  - POWLANG – small QGP formation included

**Current precision of measurements not enough to constrain the models**

# The first LHC measurement of $\Lambda_c$ in HI collisions

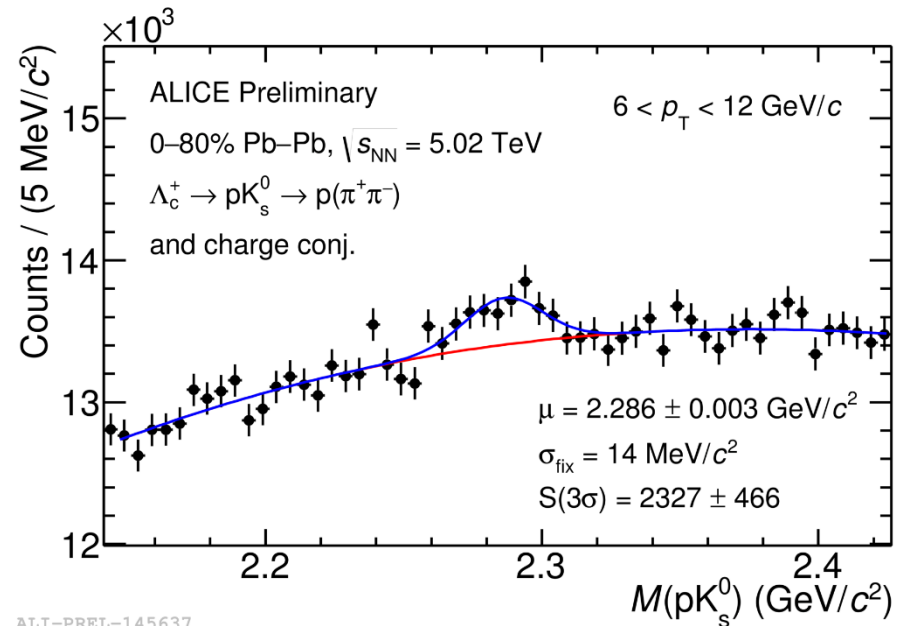
Pb-Pb

- Data set: **Pb-Pb@5.02TeV (2015)**  
LHC150  
110 M events in (0-100)% centrality interval

- $\Lambda_c \rightarrow pK_s^0$  STD analysis performed in 0-80% centrality interval and in  $6 < p_T(\Lambda_c) < 12$  GeV/c
- (82 M analyzed events)
  - For others  $p_T$  bins and centrality intervals better to wait for 2018 Pb-Pb data

- Cuts applied on:  
DCA( $K_s^0$  daughters), Armenteros variable,  
 $\cos\theta_{\text{CSM}}^{\text{proton}}$ ,  $\cos\theta_{\text{POINTING}}^{K_s^0}$ ,  $d_0(\text{proton})$ ,  
PID for proton (using TPC and TOF)

- Clear peak for  $6 < p_T < 12$  GeV/c with significance around 5, after cut optimization procedure



# ALICE in Run 3 and Run 4



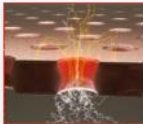
## New Inner Tracking System (ITS)

- Complementary Metal-Oxide-Semiconductor (CMOS) Monolithic Active Pixel Sensor (MAPS) technology
- Improved resolution, less material, faster readout

## New Muon Forward Tracker (MFT)

- CMOS Pixels, MAPS technology
- Vertex tracker at forward rapidity

S. Siddhanta, Tue. 9:40



## New TPC Readout Chambers (ROCs)

- Gas Electron Multiplier (GEM) technology
- New electronics (SAMPAs), continuous readout

## New Fast Interaction Trigger (FIT) Detector

- Centrality, event plane

I.G. Bearden, Poster 66

## FoCal proposal (Run 4)

N. Novitzky, Poster 771

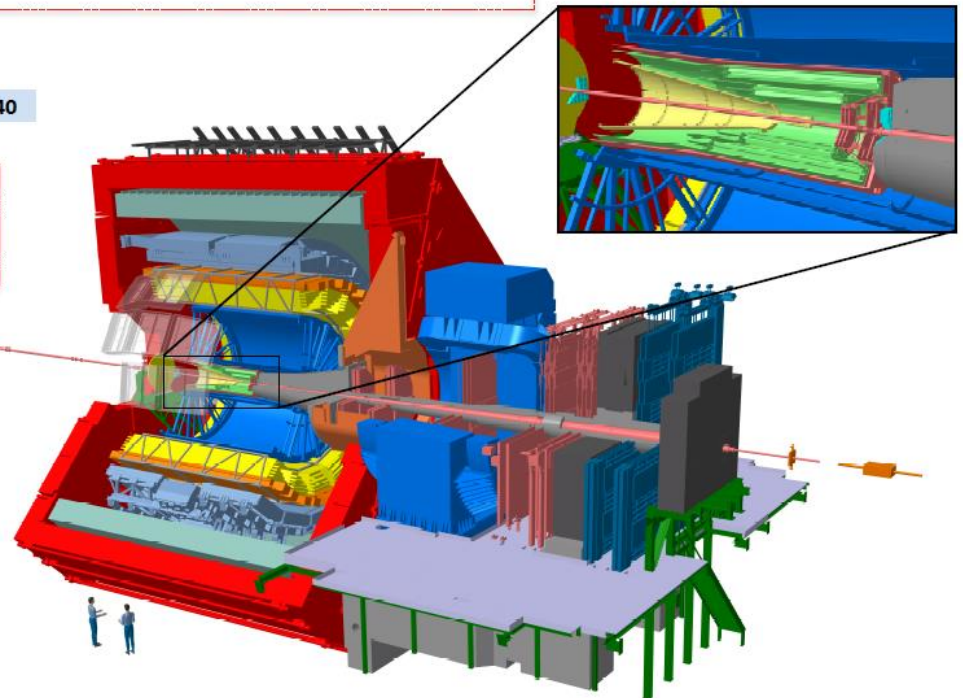
- Measure forward direct photons

## Readout upgrade

- TOF, TRD, MUON, ZDC, Calorimeters

## Integrated Online-Offline system (O<sup>2</sup>)

- Record MB Pb-Pb data at 50 kHz





## ALICE muon physics – current topics and upgrade motivations

**Current topics:** Low-mass dimuons, quarkonium states, heavy-flavor single muons, single muons and dimuons from W/Z bosons. Wealth of results published - 38 Muon Pub. / 193 ALICE Pub. ~20% (as of February 2018).

### Upgrade motivations:

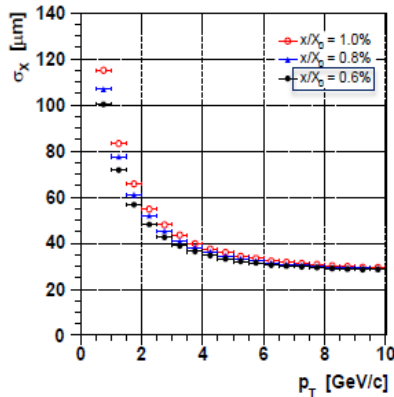
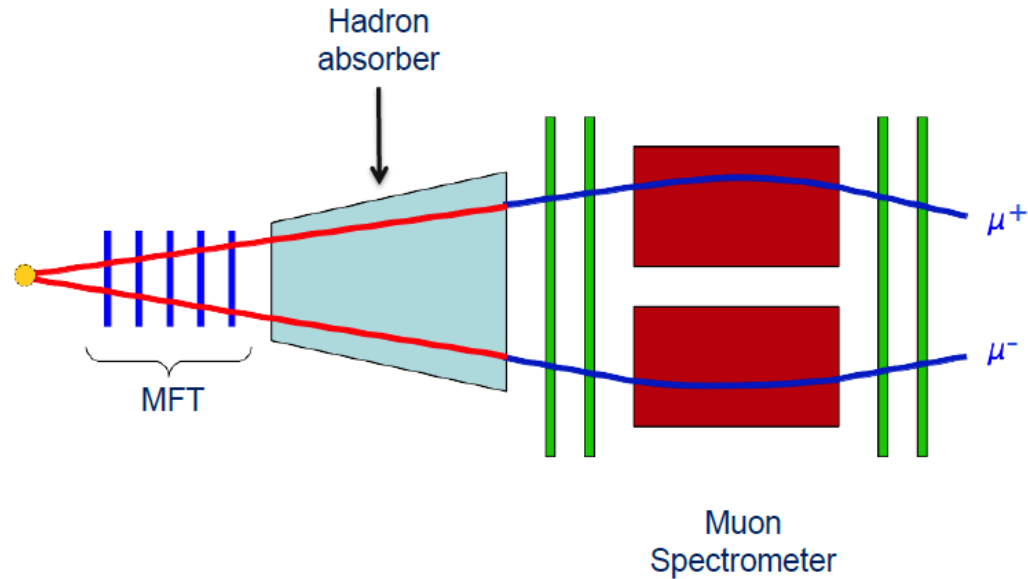
- **Reduce background from non-prompt sources**
  - Presently, there are large statistical uncertainties due to the large dimuon background from combinatorial  $\pi/K$ , charm and beauty semi leptonic decays . In particular,  $\psi(2S)$  measurements are limited by a poor significance of the  $\psi(2S)$  signal, namely in Pb-Pb collisions. Drell-Yan production is not accessible
- **Determine the muon production vertex**
  - No charm/beauty separation in single muons possible currently
  - No separation of prompt/non-prompt  $J/\psi$  in the present scenario: we miss an important source of information for the study of beauty
- **Improve mass resolution for light neutral resonances**
  - Limited resolution on the dimuon opening angle in the current scenario
- **Faster read-out to cope with the interaction rates expected after LS2**
  - Integrated luminosity during 1 month of Pb-Pb is limited to  $1 \text{ nb}^{-1}$  due to the present read-out electronics

Muon  
Forward  
Tracker

Readout  
upgrade

# The MFT Concept

Muon tracks are extrapolated and **matched to the MFT tracks** before the absorber



**High pointing accuracy** gained by the single muon tracks in the transverse direction after matching with the MFT tracks. Pseudo-rapidity window reduces to  $2.5 < \eta < 3.6$