

# To CCQE and Beyond

*Neutrino oscillations, neutrino-nucleus interactions, nuclear effects  
and the latest cross section measurements*

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# Overview

- Neutrino oscillations and long-baseline experiments
- Why care about neutrino interactions?
- Neutrino nucleus cross-section challenges and measurements
- Conclusions

# Neutrino Oscillations

PMNS mixing matrix

- Neutrinos are produced linear combinations of mass/energy eigenstates

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1}^* & U_{e2}^* & U_{e3}^* \\ U_{\mu 1}^* & U_{\mu 2}^* & U_{\mu 3}^* \\ U_{\tau 1}^* & U_{\tau 2}^* & U_{\tau 3}^* \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

- Time evolution: flavour content “oscillates” in  $L(\text{distance})/E(\text{neutrino})$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2 [1.27 \Delta m_{ij}^2 (L/E)] + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin [2.54 \Delta m_{ij}^2 (L/E)]$$

- Can parameterise neutrino oscillations as:

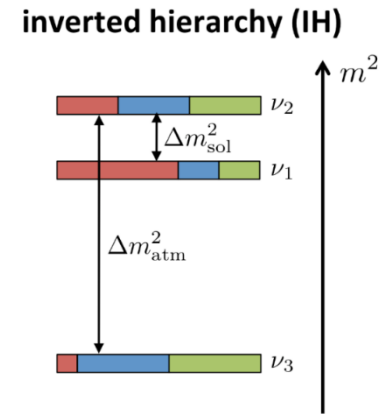
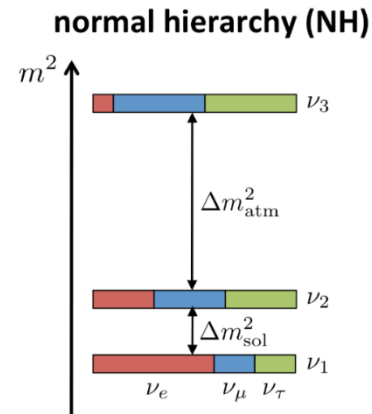
- Two mass differences ( $\Delta m_{21}^2, \Delta m_{32}^2$ )
- Three rotation angles ( $\theta_{12}, \theta_{13}, \theta_{23}$ )
- One CP-violating phase ( $\delta_{CP}$ )

Oscillations perturbed in matter  
→ sensitive to sign of mass splitting

$$U = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{+i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \quad \begin{aligned} s_{ij} &= \sin \theta_{ij} \\ c_{ij} &= \cos \theta_{ij} \end{aligned}$$

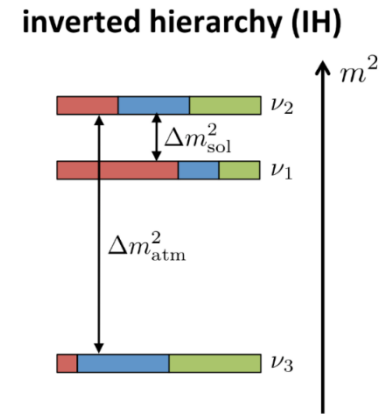
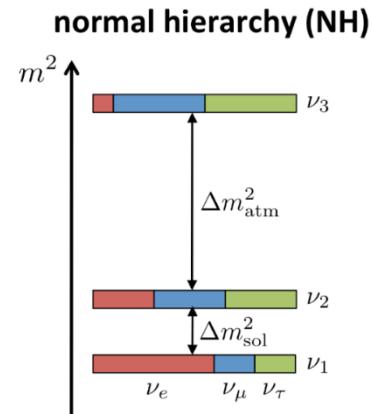
# Physics Goals

- What is the neutrino mass ordering?
- Is CP violated in the lepton sector?
- Is  $\theta_{23}$  mixing maximal ( $\theta_{23} = 45^\circ$ )?
- Are there more than three neutrinos?



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## Accelerator-based experiments

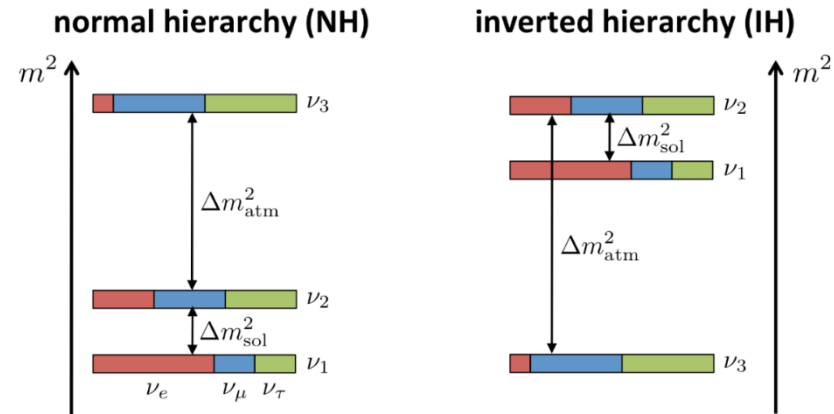
- Produce beam of  $\nu_\mu/\bar{\nu}_\mu$

Then after some distance ...

- Measure  $\nu_\mu/\bar{\nu}_\mu$  **disappearance**
  - $|\Delta m_{32}^2|, \sin^2(2\theta_{23})$
- Measure  $\nu_e/\bar{\nu}_e$  **appearance**
  - $\theta_{13}, \delta_{CP}$ , mass ordering

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- Current experiments:
  - T2K, NOvA
- The future:
  - T2HK, DUNE, ESSnuSB

# The T2K Experiment

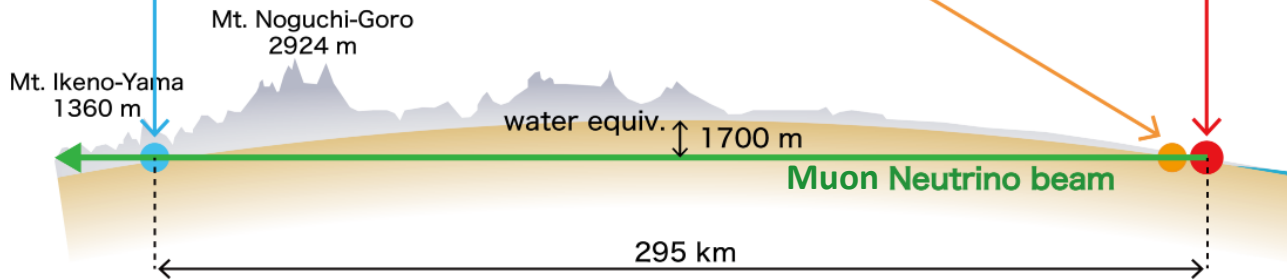


0.6 GeV  
peak  
energy

Super Kamiokande

Near Detector

J-PARC



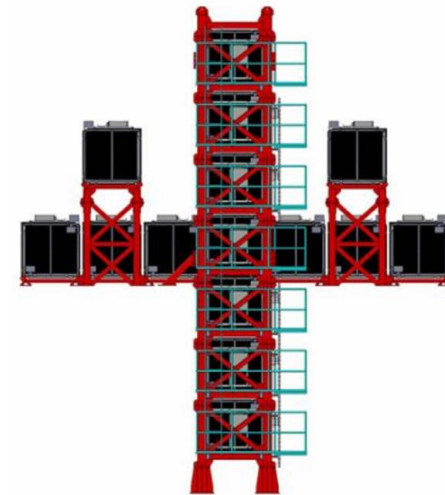
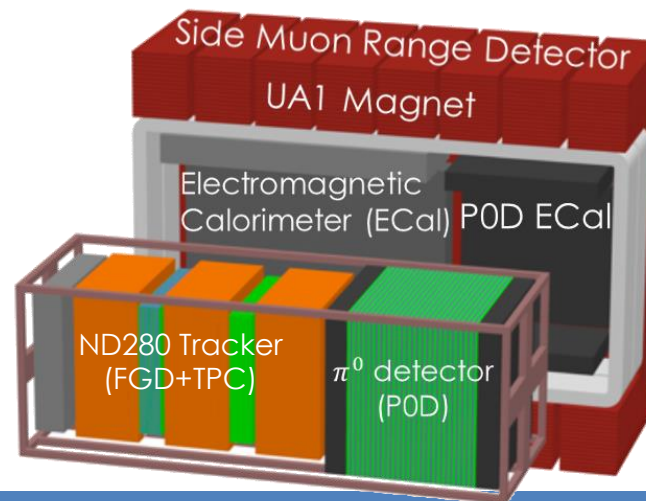
Use off-axis beam to give a  
narrow neutrino energy spread

Near Detectors

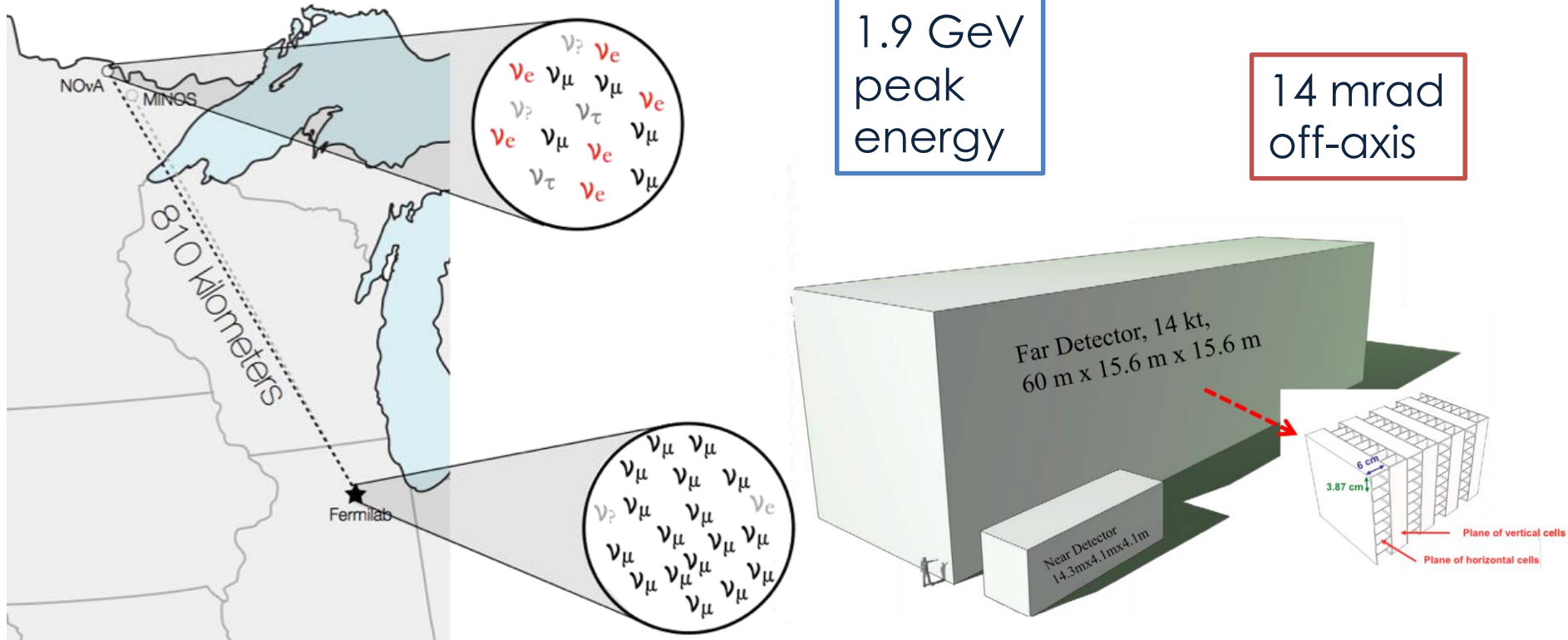
Off-Axis: ND280

On-Axis: INGRID

Far Detector (Off-Axis)  
Super-Kamiokande



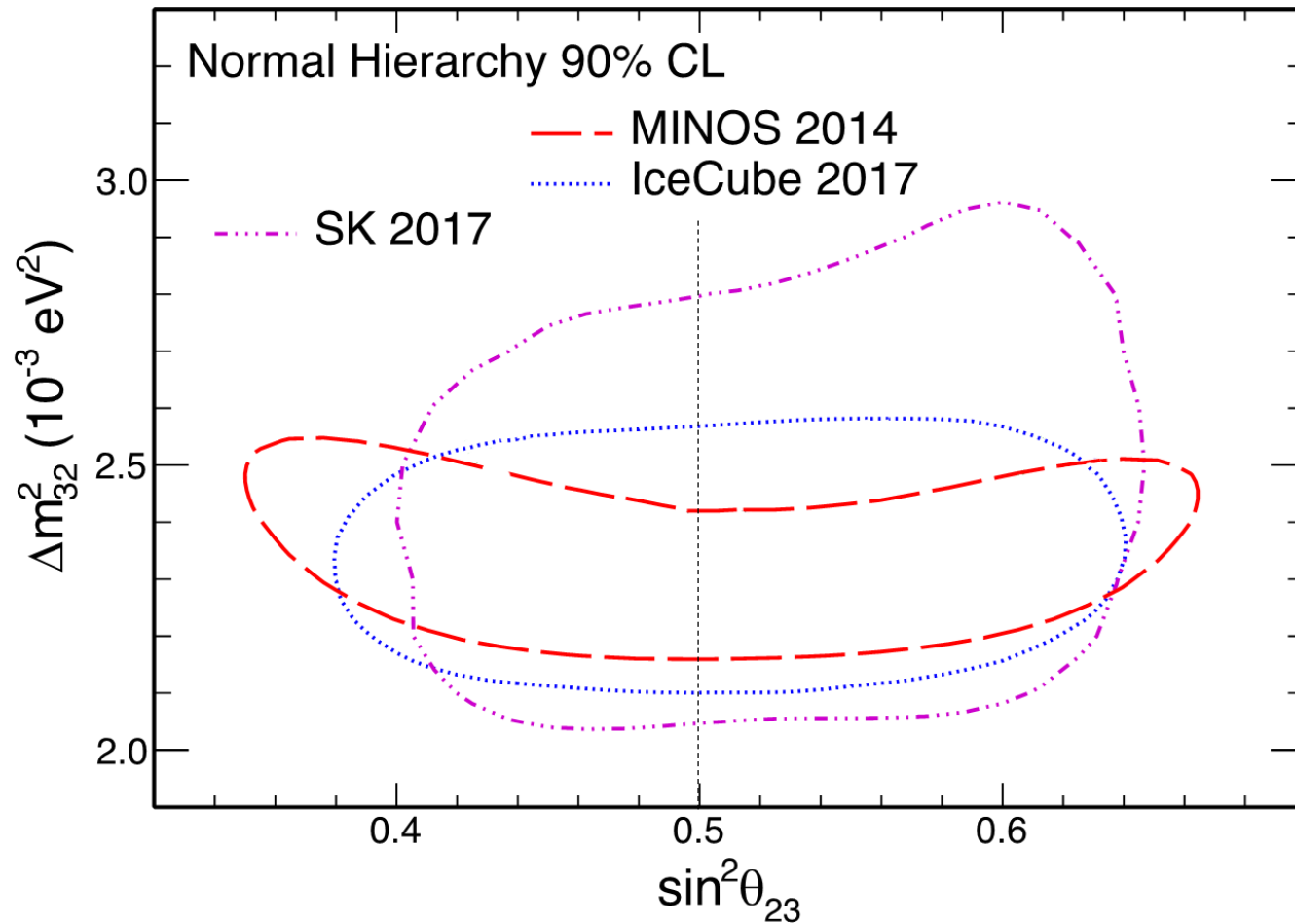
# The NOvA Experiment



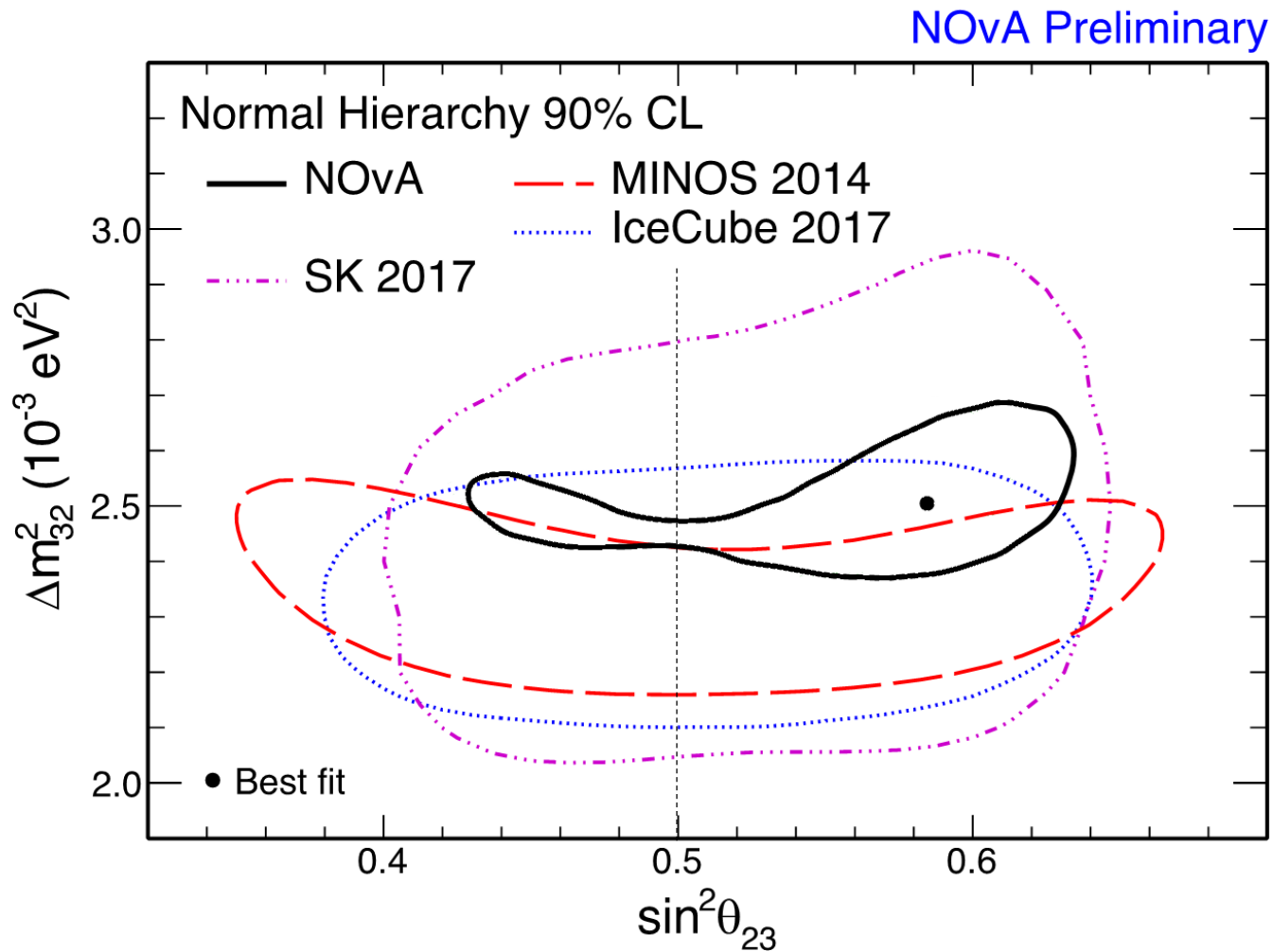
- Functionally identical near and far scintillator detectors
  - Cancellation of some systematic errors
- Very long baseline gives good sensitivity to mass hierarchy



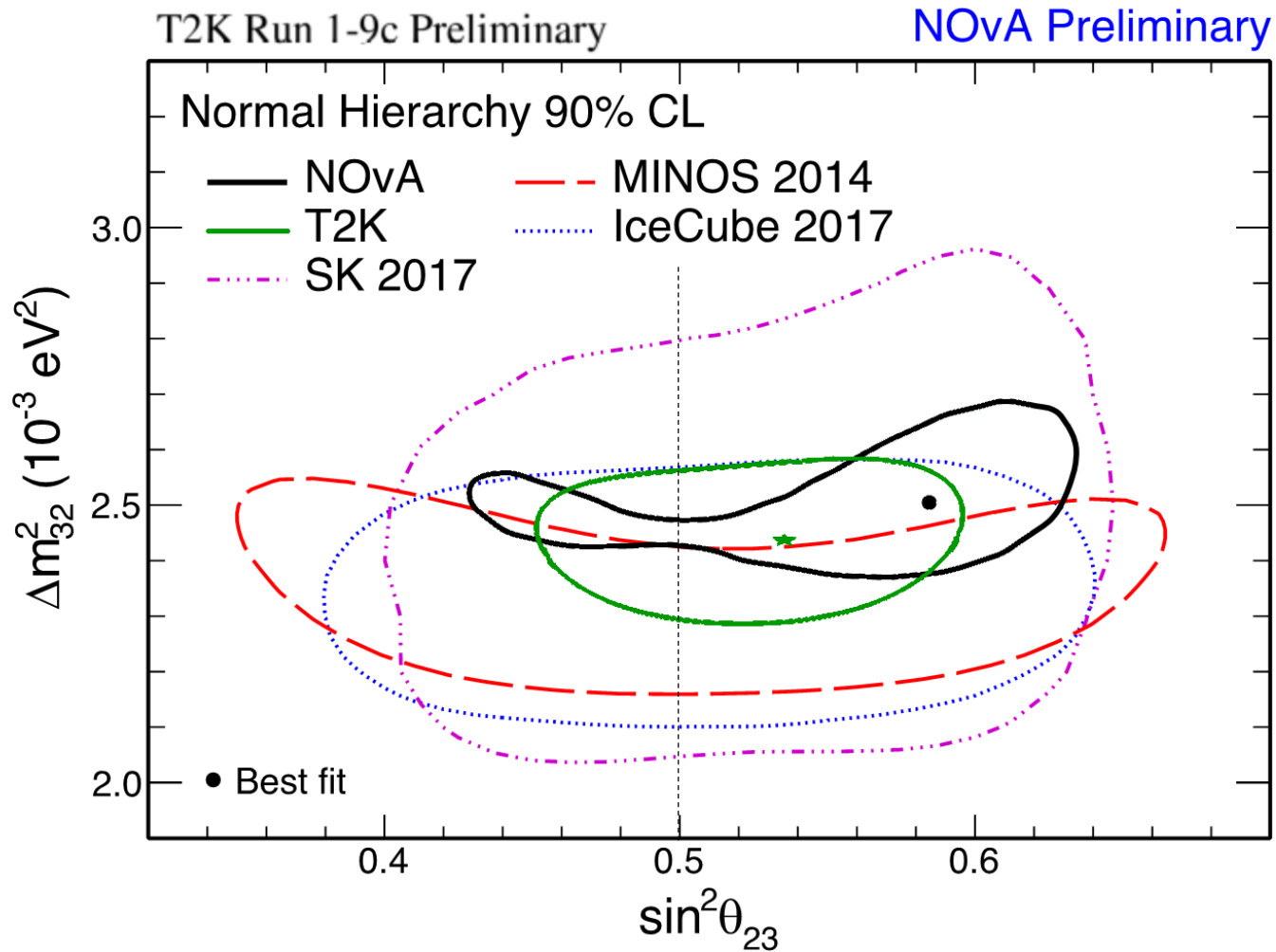
# The Latest Results



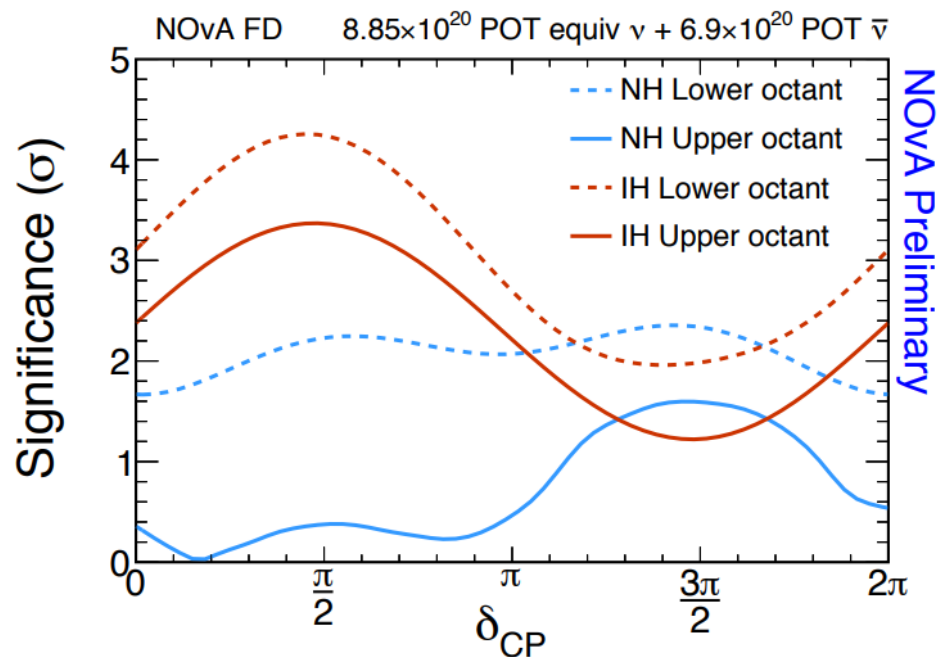
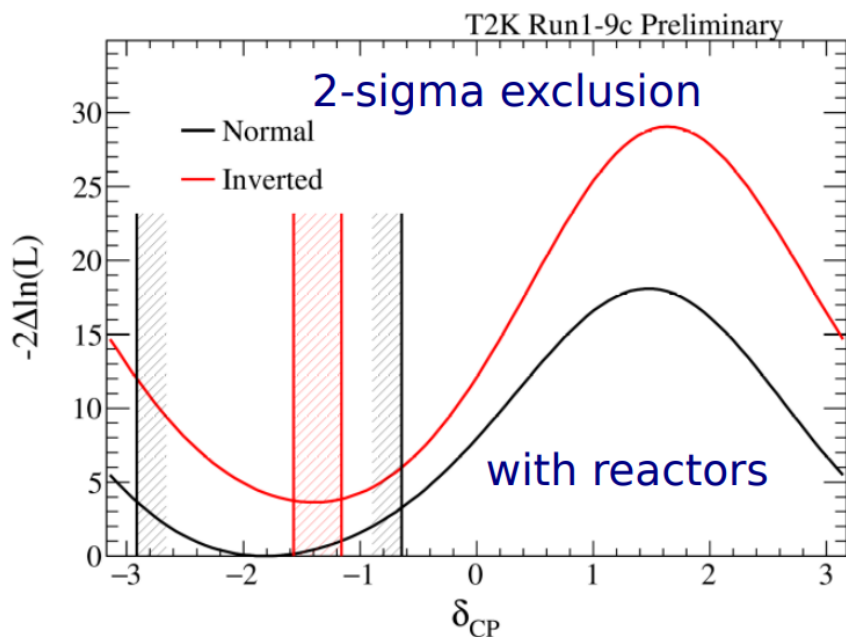
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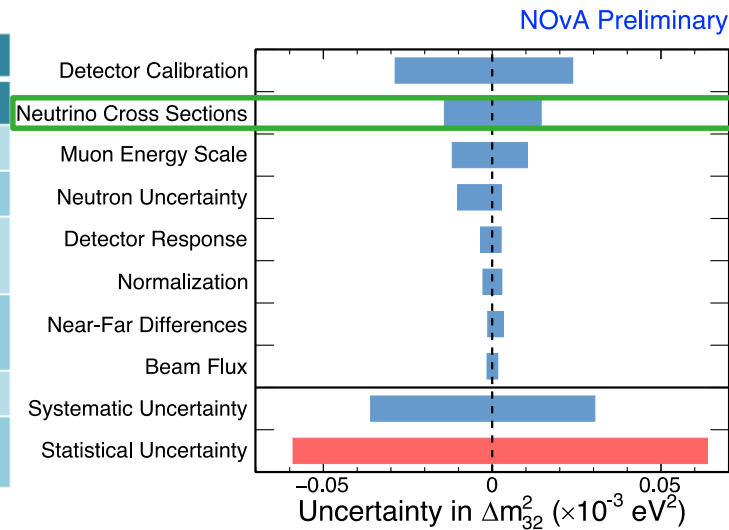
- Can begin to measure  $\delta_{CP}$  - CP-violating phase in neutrino mixing
- T2K and NOvA measurements are (currently) consistent
- T2K excludes  $\delta_{CP} = 0, \pi$  (CP-conserving) at  $2\sigma$  (for the normal mass hierarchy)
- Both prefer normal mass ordering (NH)

# Why can't we do better?

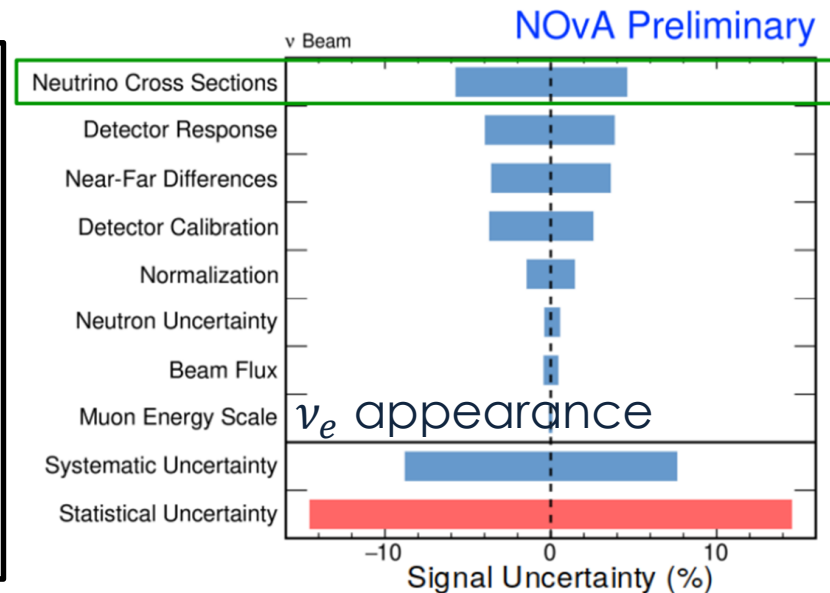
**T2K**

% Errors on Predicted Event Rates

Error Source	1Ring $\mu$ -like		1Ring $e$ -like		
	$\nu$ mode	$\bar{\nu}$ mode	$\nu$ mode	$\bar{\nu}$ mode	$\nu$ mode+ $1\pi$
SK detector	1.9	1.5	3.0	4.2	16.7
SK FSI-SI+PN	2.2	2.0	3.0	2.3	11.4
ND280 constrained flux & cross section	3.2	2.7	3.2	2.9	4.1
$\sigma(\nu_e)/\sigma(\nu_\mu), \sigma(\bar{\nu}_e)/\sigma(\bar{\nu}_\mu)$	< 0.05	< 0.05	2.6	1.5	2.6
Neutral Currents	0.3	0.3	1.1	2.6	1.0
Total	4.4	3.8	6.1	6.5	20.9



- Current measurements are statistics limited, but not for long ...
- Most worrying systematics related to **neutrino-nucleus interactions**
- Essential total systematic uncertainty <3% for DUNE/T2HK



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$$N_{pred}(E_v^{reco}) = \Phi(E_v^{true}) \sigma(E_v^{true}) P(\alpha \rightarrow \beta, E_v^{true}) \epsilon(E_v^{true}) S(E_v^{true}, E_v^{reco})$$

$N_{pred}(E_v^{reco})$  = Expected number of events

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$\sigma(E_v^{true})$  = Interaction cross sections

$P(\alpha \rightarrow \beta, E_v^{true})$  = Oscillation probability

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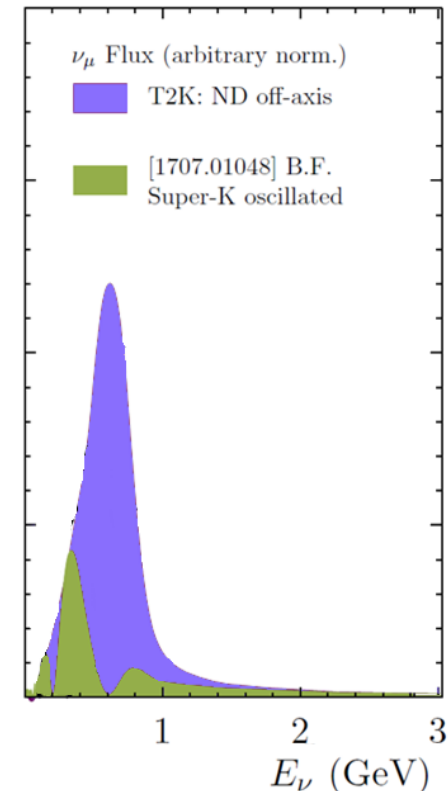
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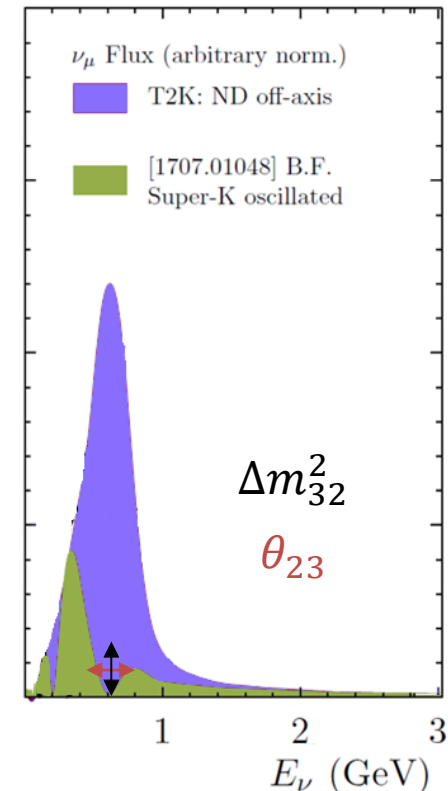
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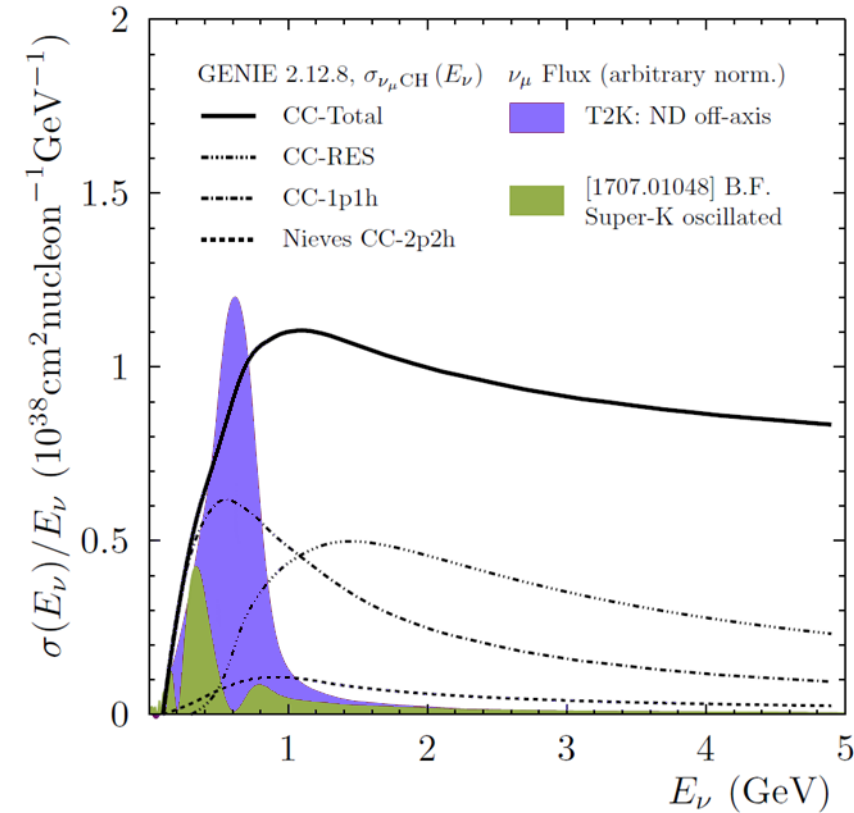
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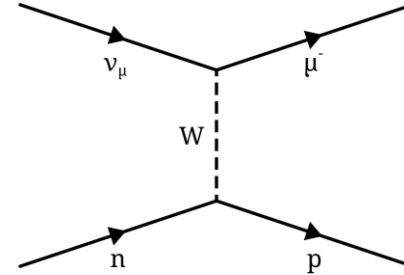
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- Near / far ratios don't fully cancel this:
  - Dramatic change in  $E_v$  distribution
  - Different ND/FD design, acceptance
- Not just counting experiments: Require a model to relate  $E_v^{reco}$  to  $E_v^{true}$



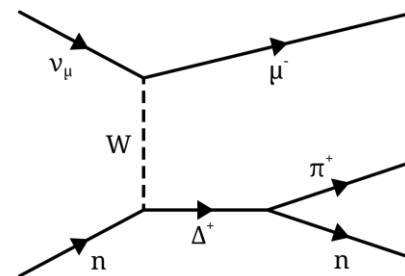
# Neutrino Interactions at T2K



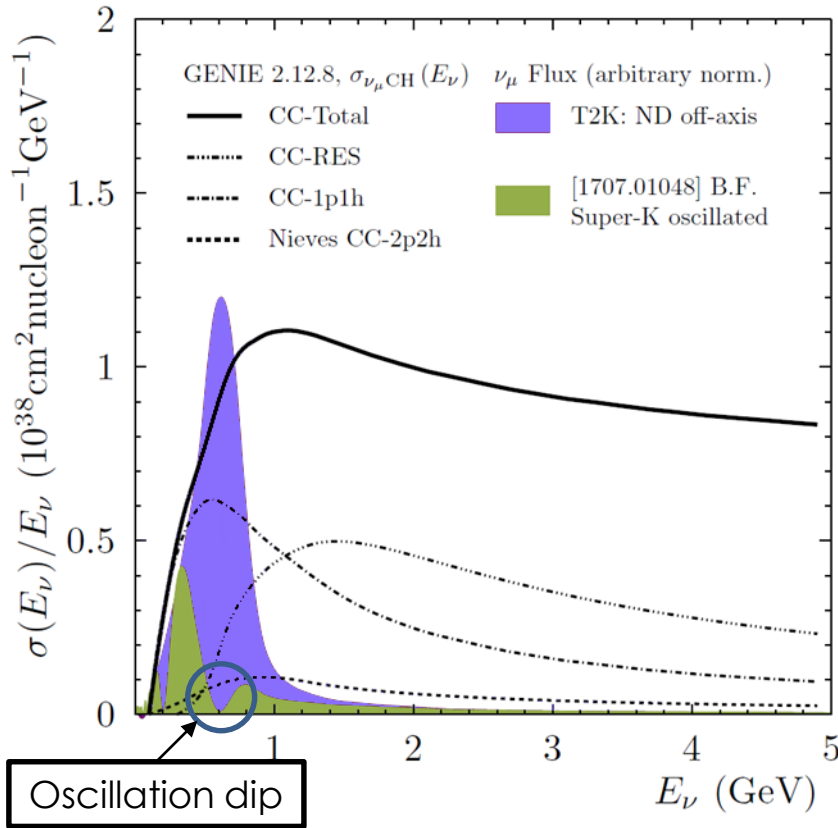
## CCQE (1p1h) (Charged-Current Quasi-Elastic)



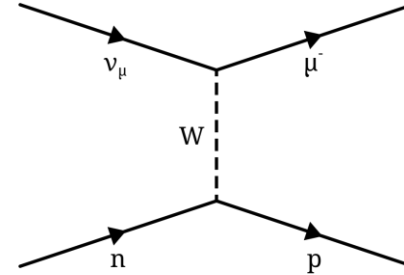
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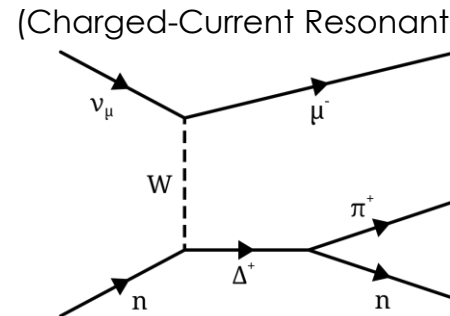
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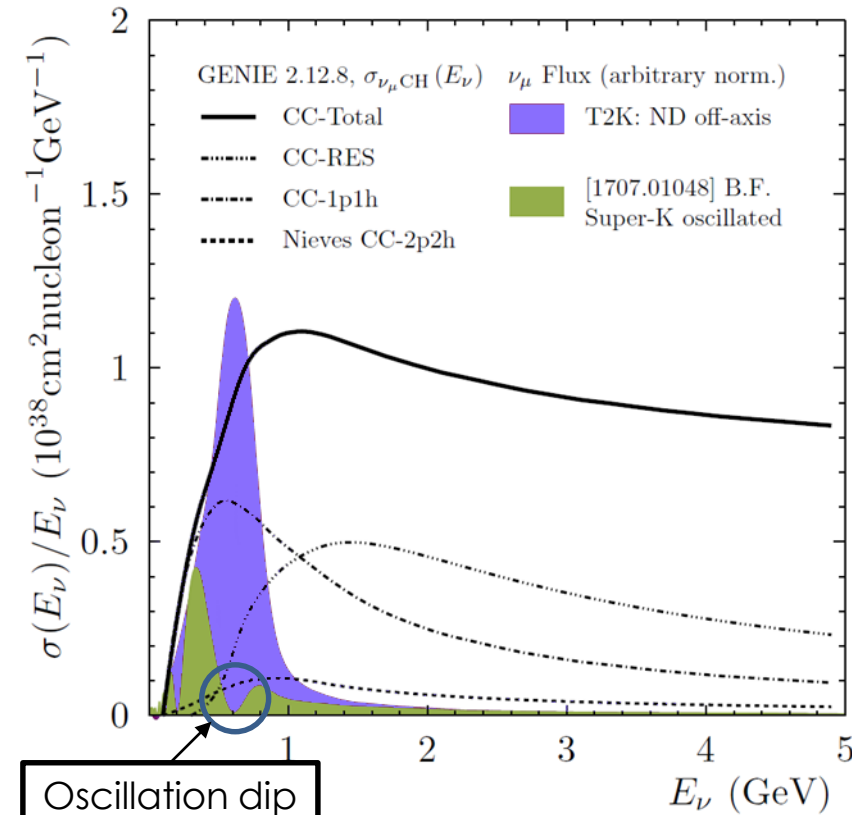


- Reconstruct neutrino energy from muon kinematics in CC pionless events at SK

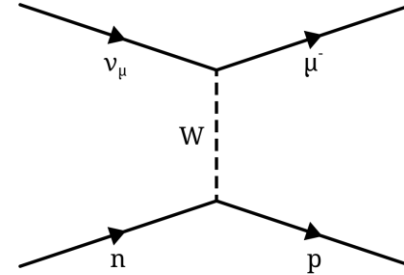
$$E_\nu^{\text{reco}} = \frac{m_p^2 - m_n^2 - m_\mu^2 + 2m_n E_\mu}{2(m_n - E_\mu + p_\mu \cos(\theta_\mu))}$$

- Assume **stationary target** and **CCQE scattering** ...

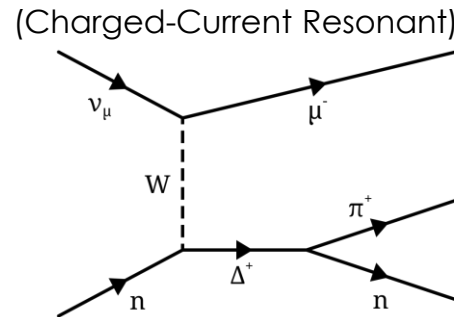
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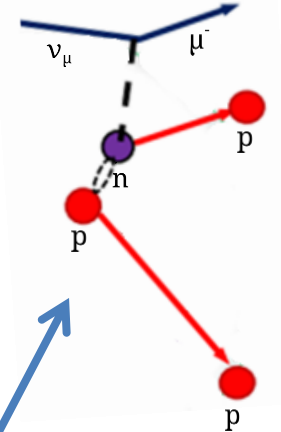
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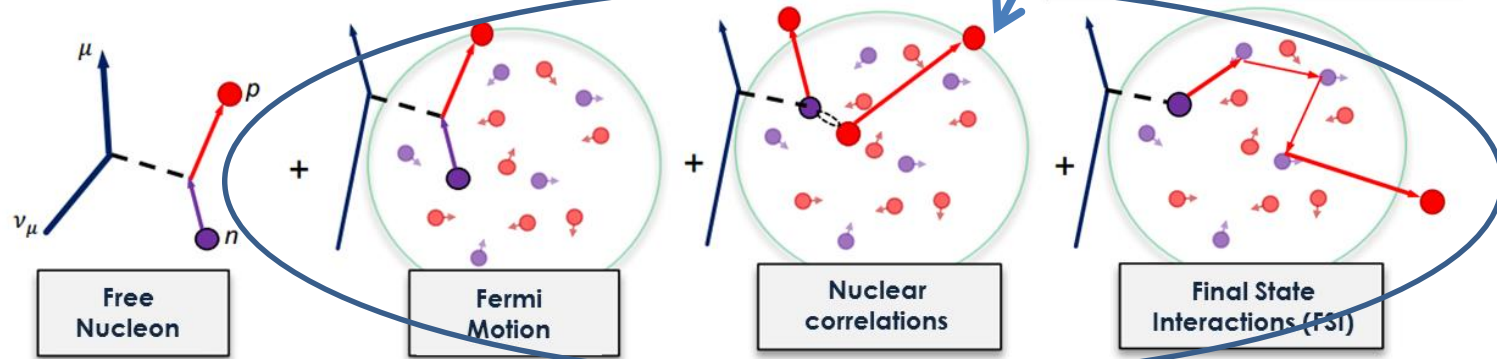
## CCRES (Charged-Current Resonant)



## 2p2h (2 particle - 2 hole)



## Nuclear Effects



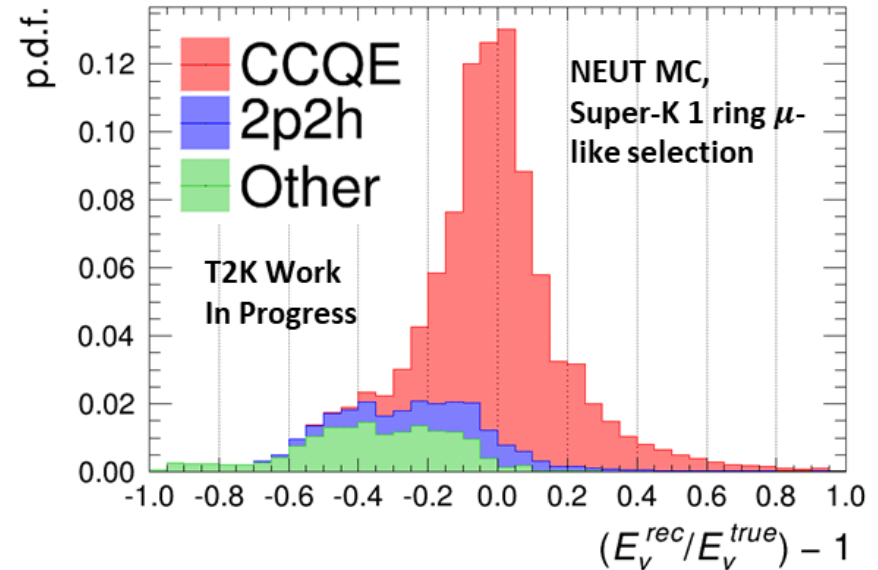
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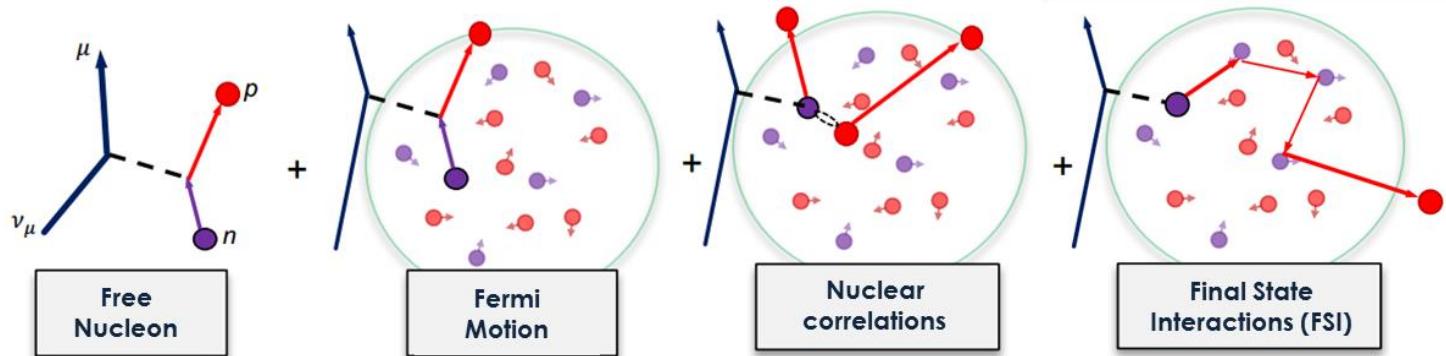
**Bias due to:**

- Fermi motion** in the initial nuclear state
- Nucleon-nucleon **correlations**
- Pion absorption **FSI** → CCnonQE events



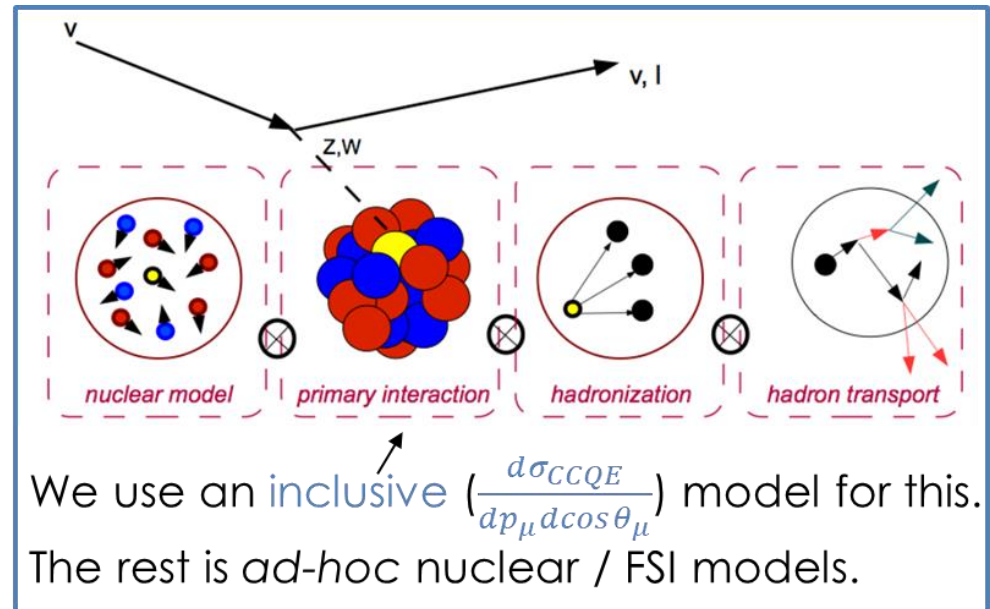
- Correct for bias using models ...

## Nuclear Effects

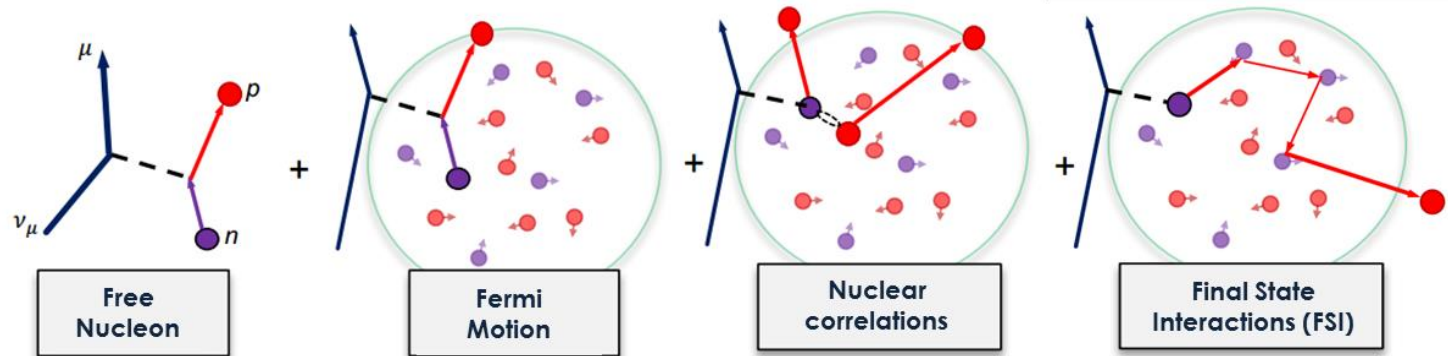


# Modelling neutrino interactions

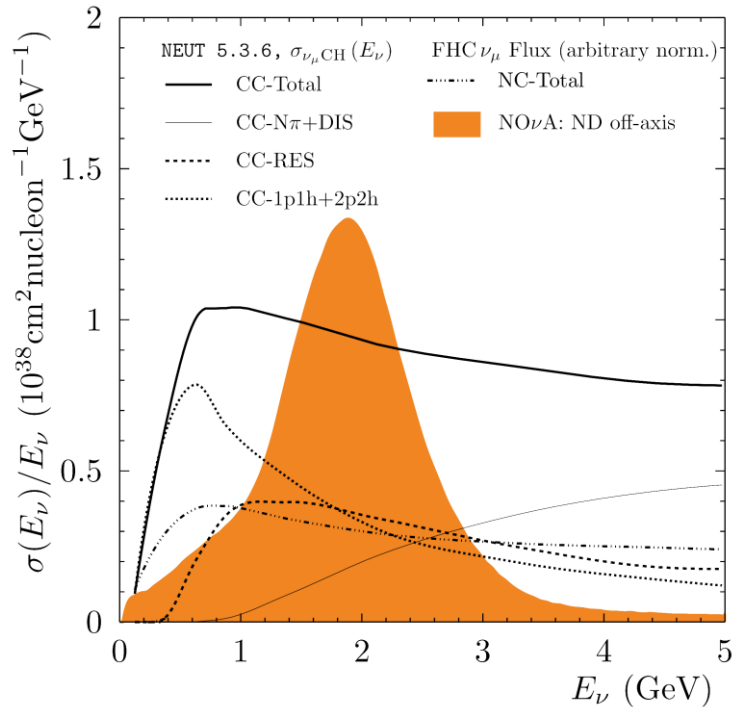
- Use **Monte-Carlo event generators** with **inclusive models** built from old bubble chamber and  $e^-$  scattering data.
- Predicting hadron kinematics *properly* requires **semi-inclusive** interaction models.
  - These don't really exist
  - Those that do are too slow
- Instead we introduce ad-hoc semi-classical models ...
- Even the inclusive models struggle beyond the QE region → **large systematics**



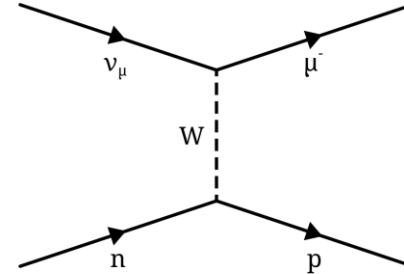
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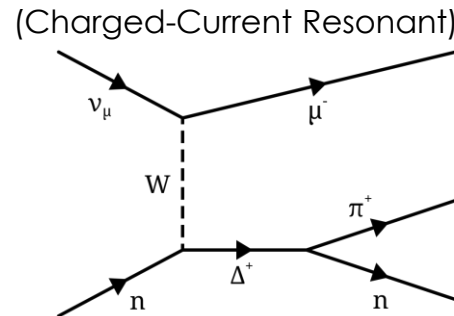
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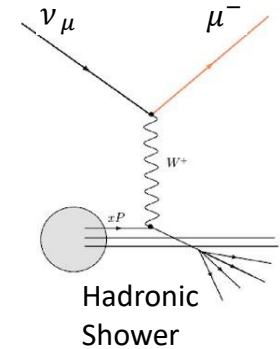
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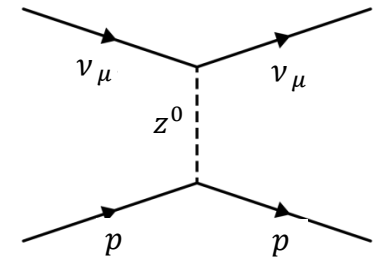
## CCRES (Charged-Current Resonant)



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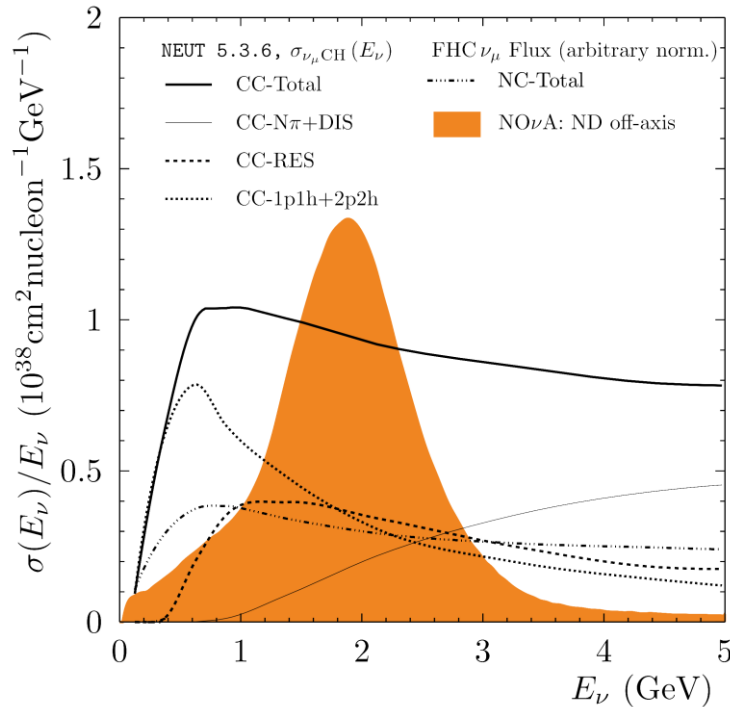
## Neutral Current (NC)



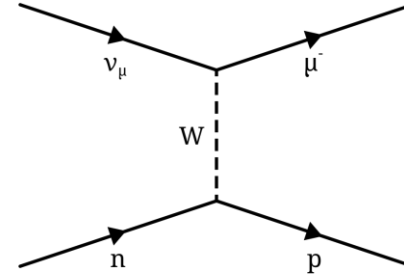
- NOvAs higher energy beam means most interactions are not CCQE
- DIS interactions become important
- T2K method of reconstructing neutrino energy will not work



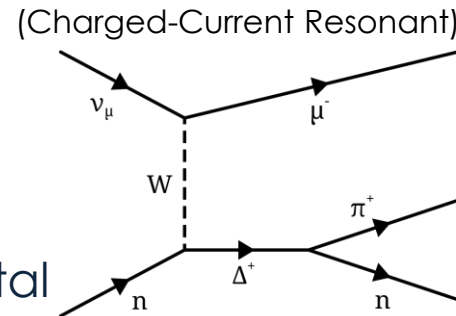
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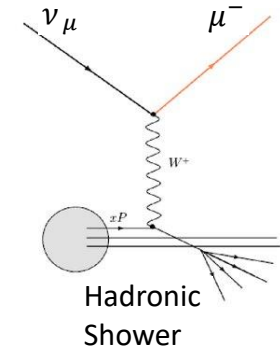
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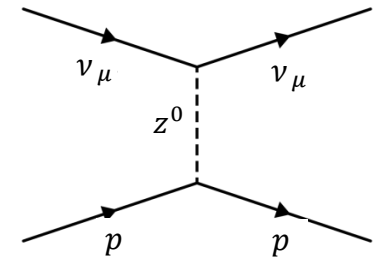
## CCRES (Charged-Current Resonant)



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## Neutral Current (NC)



- Reconstruct neutrino energy from total energy deposited in the detector
- Misses most **neutrons** ...

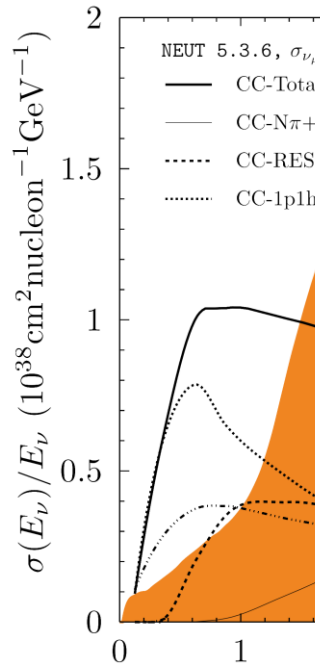
• **Rely on models** to tell us about neutrons:

- Number of np, nn, pp initial state pairs in 2p2h
- Neutrons produced through FSI
- Neutron energy fraction in RES or DIS events

• **Large systematics**

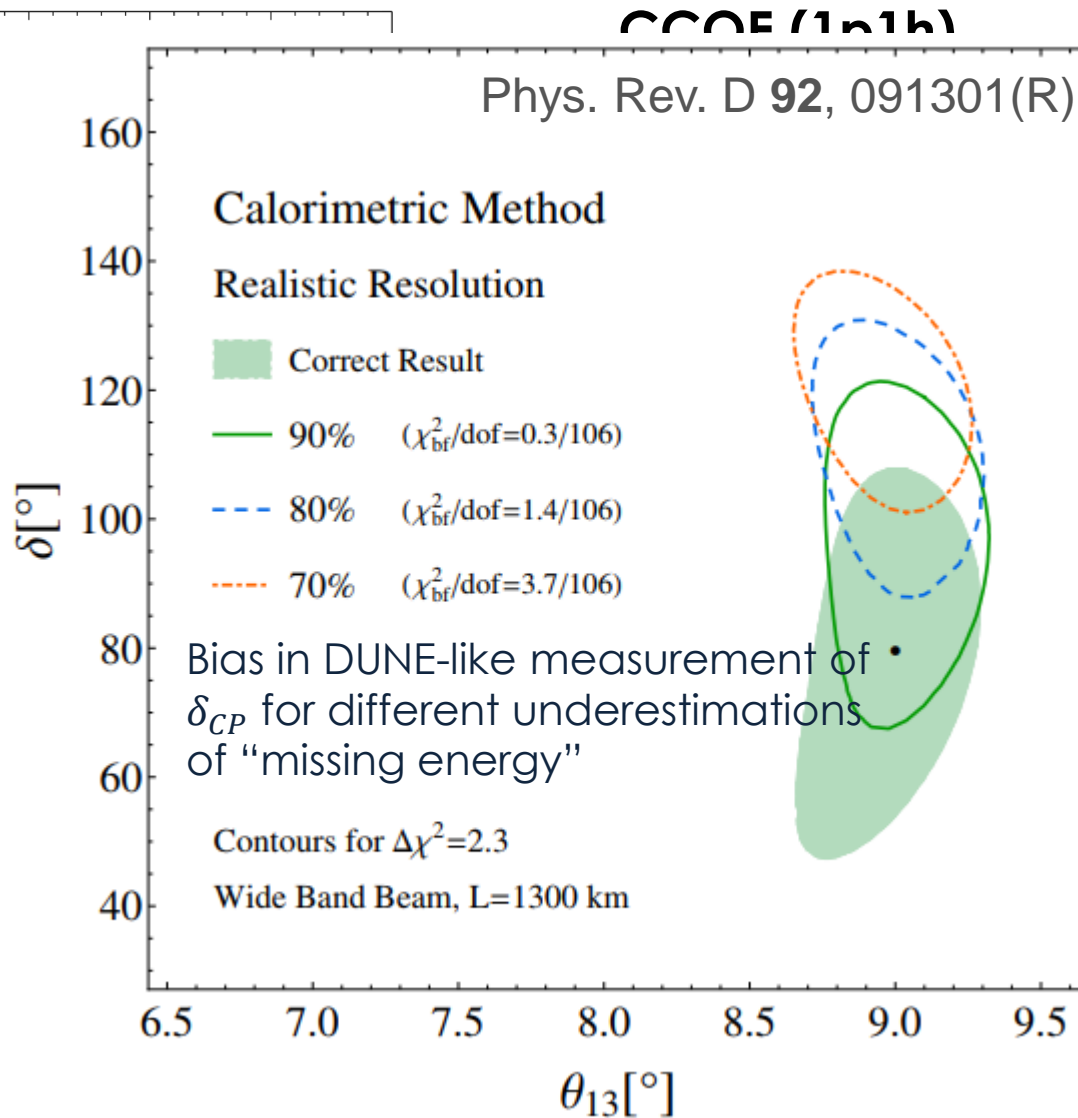


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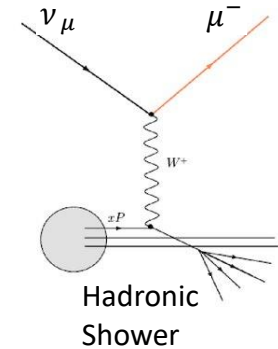


- Reconstruct energy dependent
- Misses most

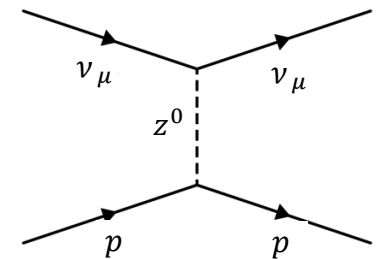
- Large systematic



**CCDIS**  
(Deep Inelastic Scattering)



**Neutral Current (NC)**



about neutrons:  
initial state pairs in 2p2h  
through FSI  
in RES or DIS events

# Neutrino interactions and OA

- Future precision measurements of neutrino oscillations require **few-% systematics**
- Need to understand neutrino interactions to interpret the **event rate at the FD** and to **reconstruct neutrino energy**
- We rely on **ad-hoc models** of poorly understood nuclear physics to do this → **dominant systematics** (too large)

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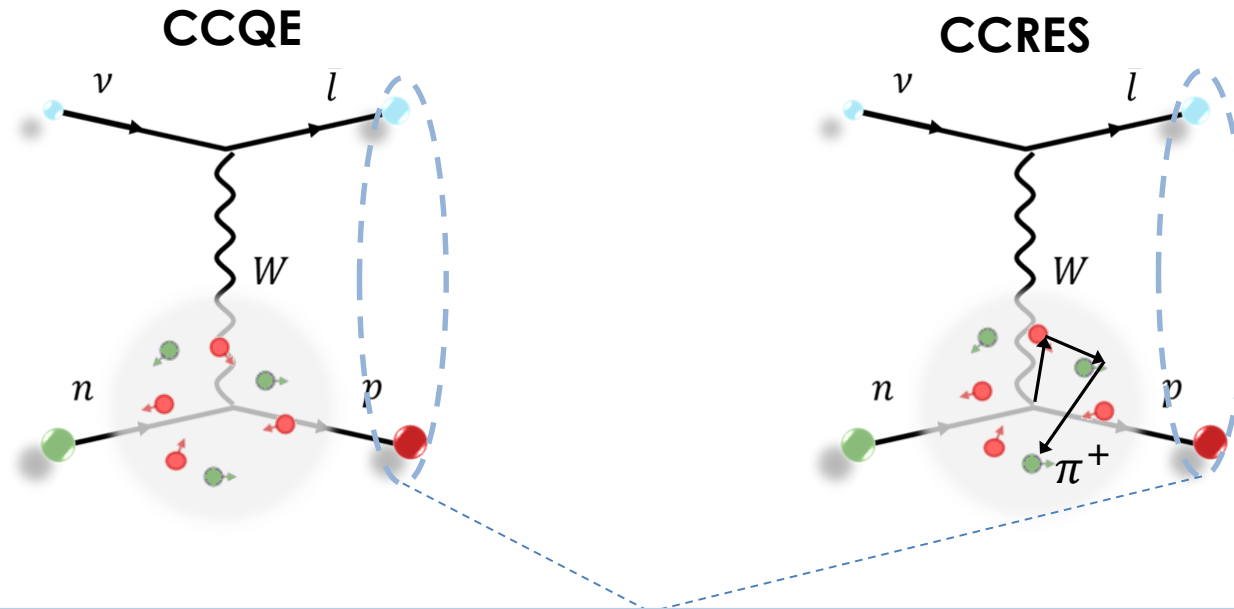


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# What can't we measure

- Naively it would be great to measure  $\sigma_{CCQE}(E_\nu)$ ,  $\sigma_{2p2h}(E_\nu)$ ,  $\sigma_{oth}(E_\nu)$
- Why not?

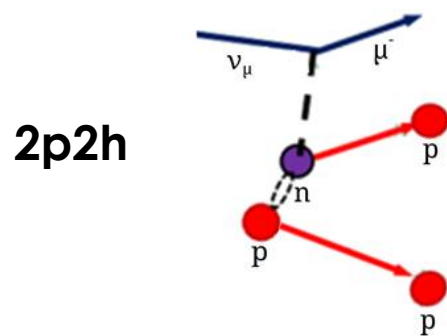
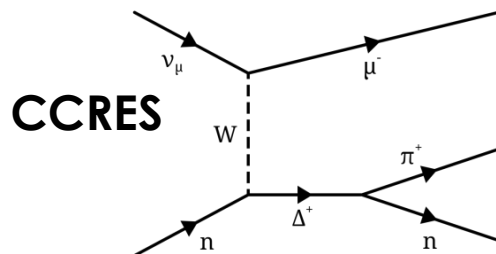
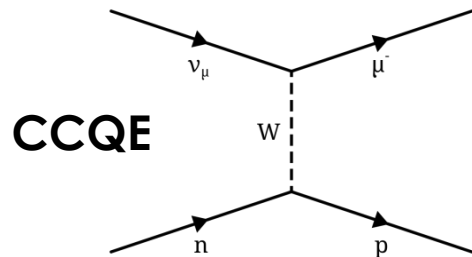


Final state interactions (FSI) can cause different interaction modes to have the same final state

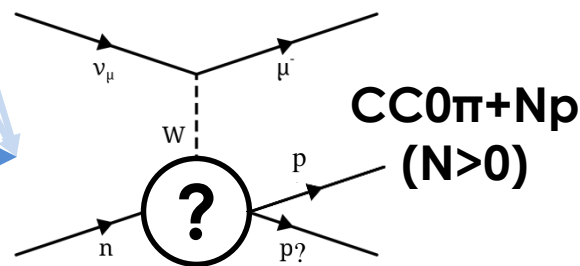
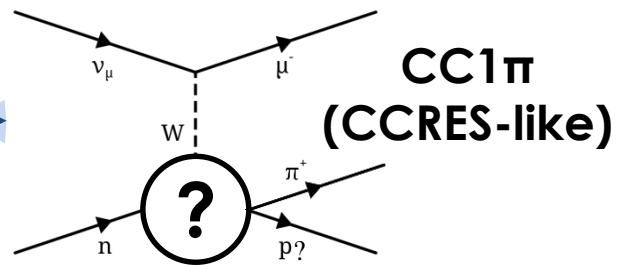
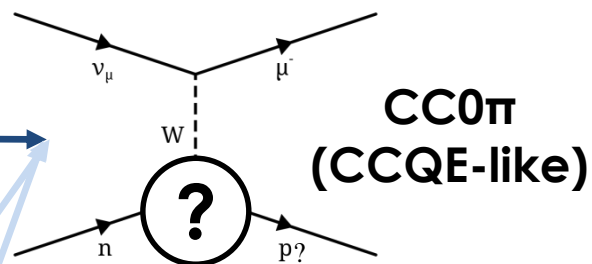
- Can't separate interaction modes on an event by event basis
- Entirely reliant on the input simulation to tell us contamination

# What can we measure

## Interaction Modes

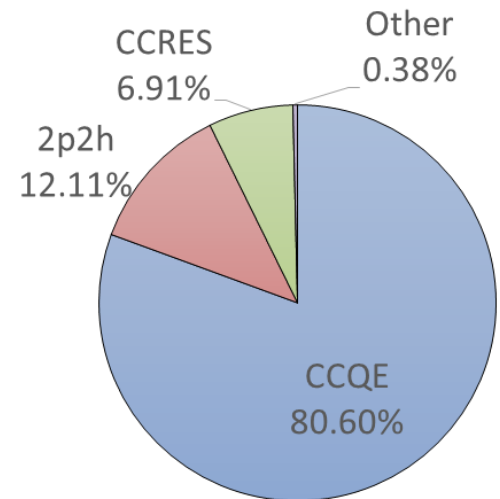


## Interaction Topologies



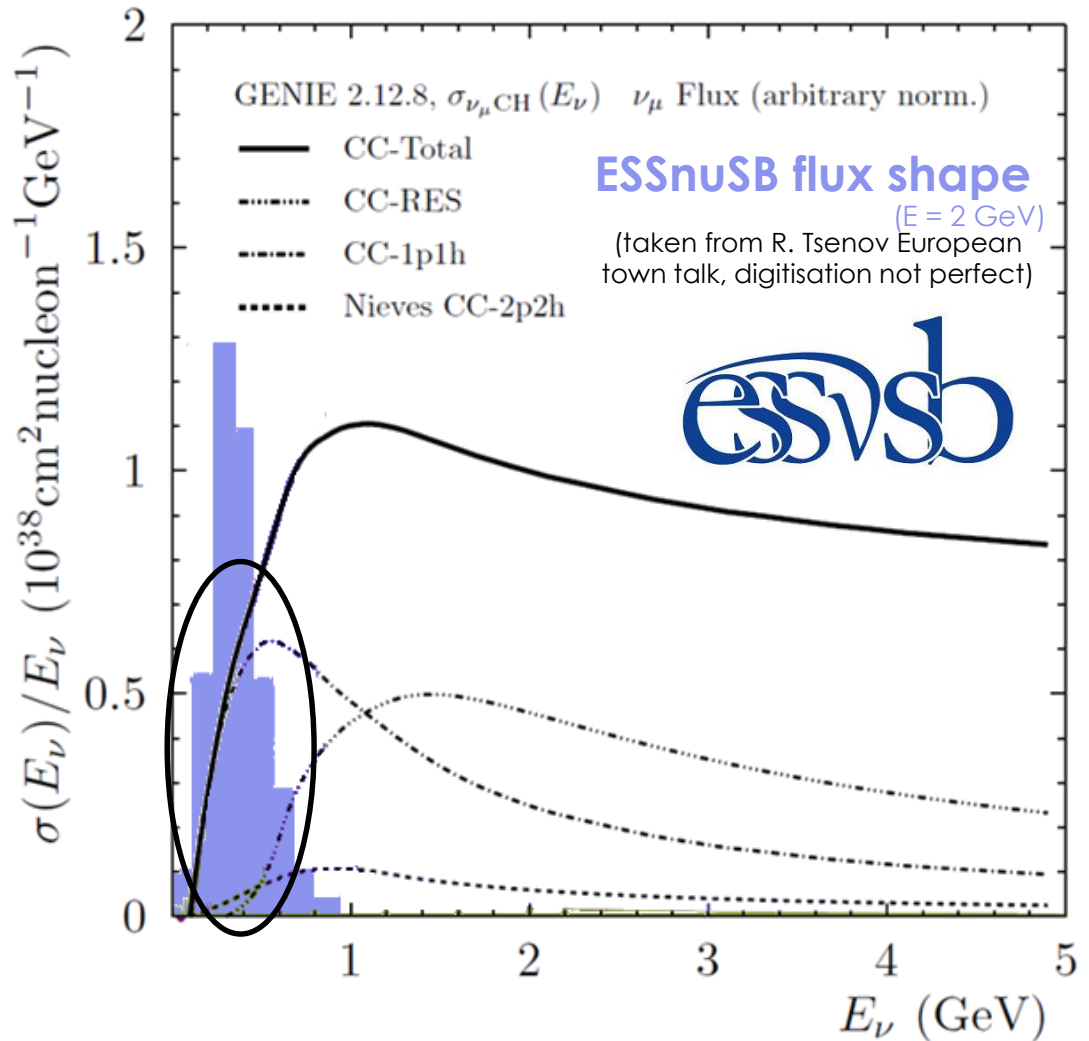
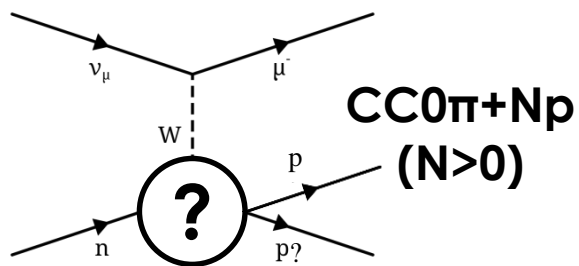
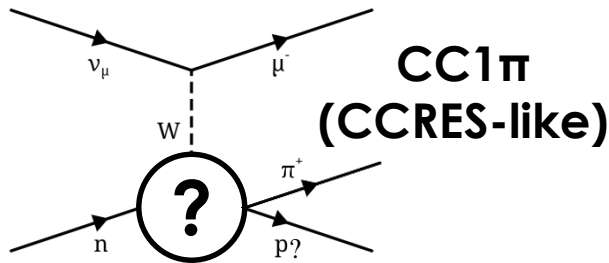
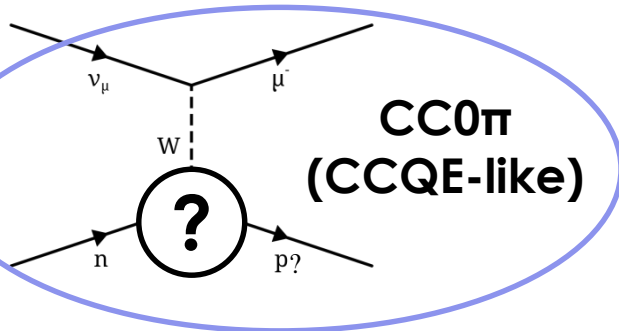
- Nuclear effects obfuscate interaction mode
- To minimise model dependence we measure interaction topologies

Interaction modes in CC0 $\pi$  topology at ND280: (NEUT MC)



# What can we measure

## Interaction Topologies

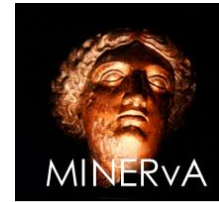
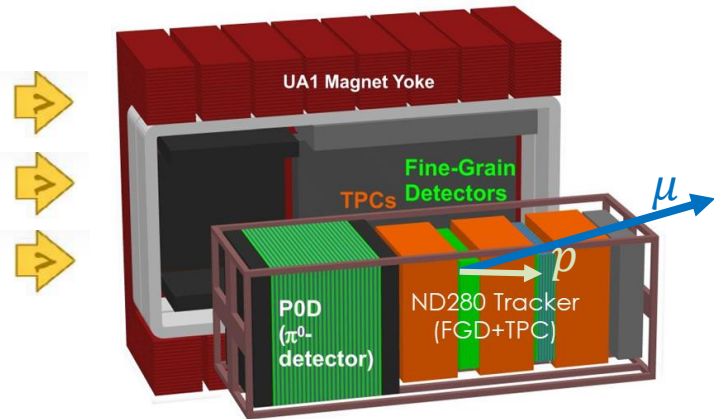


- Will focus on 0π / QE interactions
  - these are the most relevant to ESSnuSB

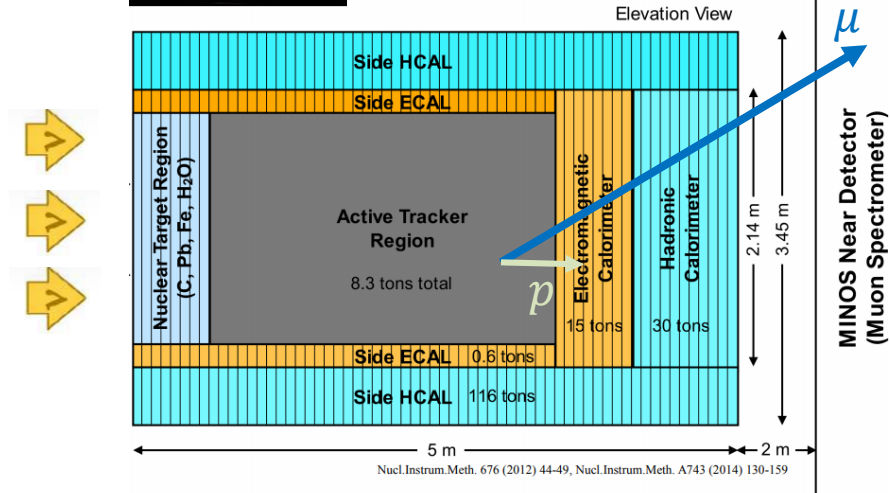
# Who can do the measuring



T2K Near detectors  
(ND280+INGRID)  
 $E_\nu \approx 1$  GeV

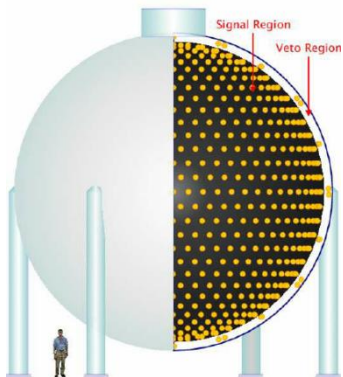


Purpose built neutrino  
interaction experiment  
 $E_\nu \approx 3$  GeV

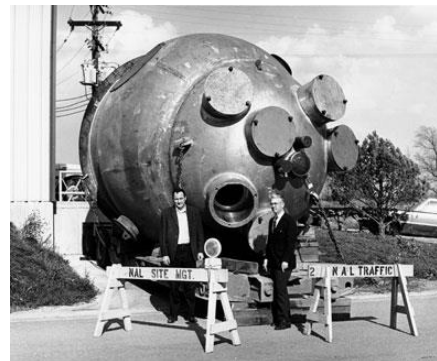


Previous experiments:

MiniBooNE Detector



MiniBooNE  
measured many  
neutrino cross  
sections  
 $E_\nu \approx 1$  GeV

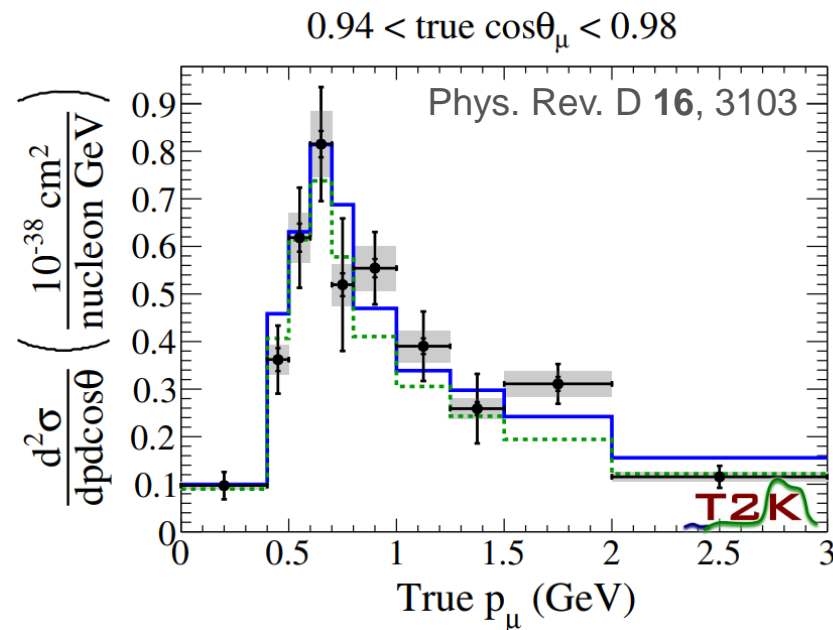


Old bubble chambers  
(ANL, BNL) provide the  
only light target ( $H_2, D_2$ )  
data: nuclear effect  
free!

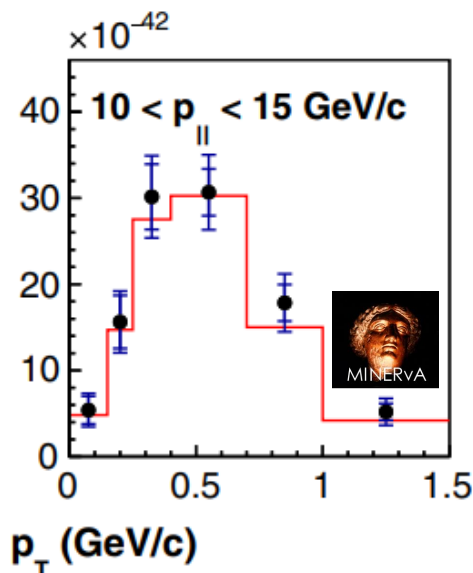
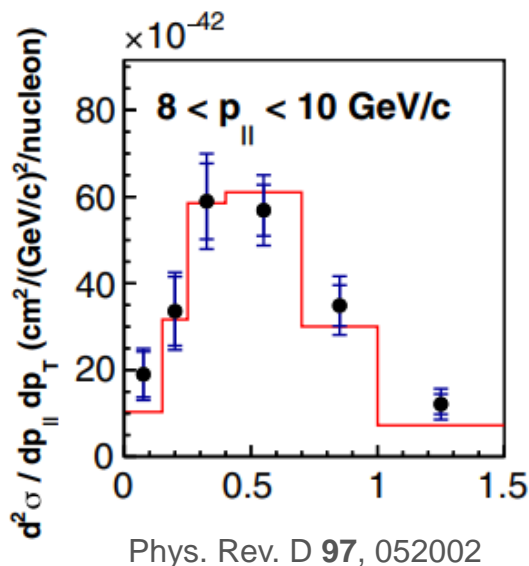


# CC0 $\pi$ measurements

- Available models are able to reasonably well describe most T2K and MINERvA CC0 $\pi$  measurements of **lepton kinematics** on **CH targets**

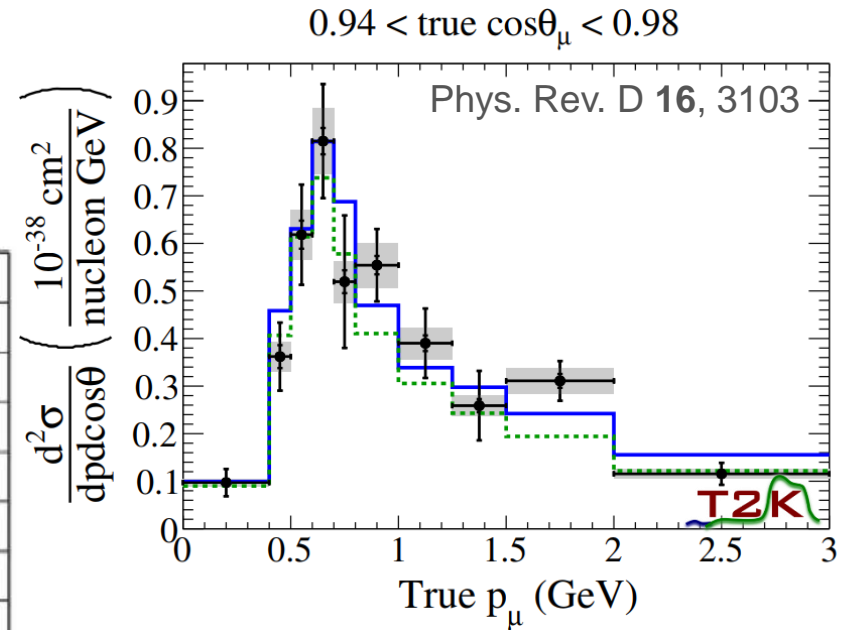
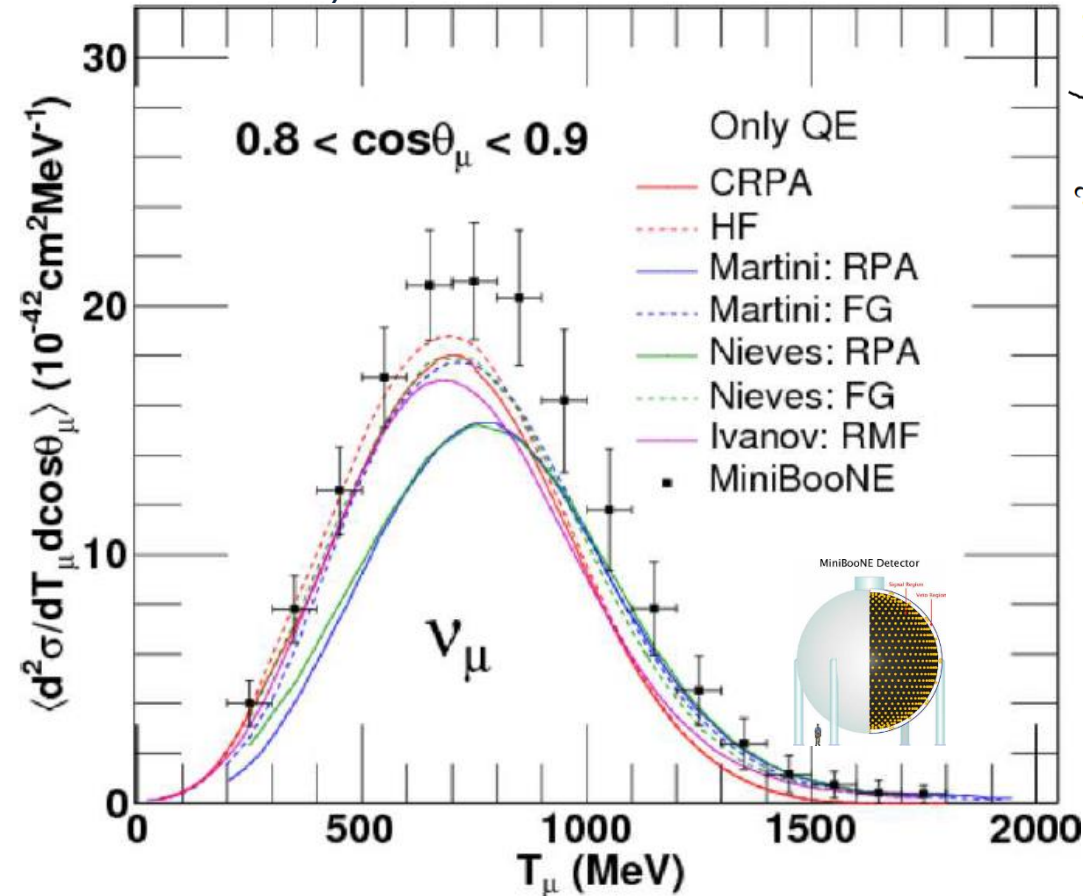


- However, **very different models** with different implications for oscillation analyses can **look very similar** in such measurements



# CC0 $\pi$ measurements

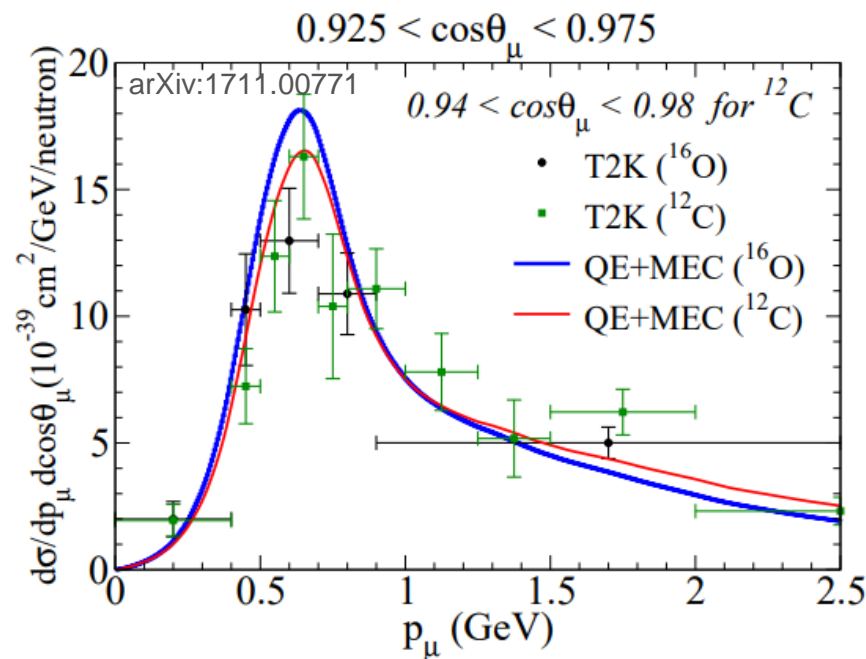
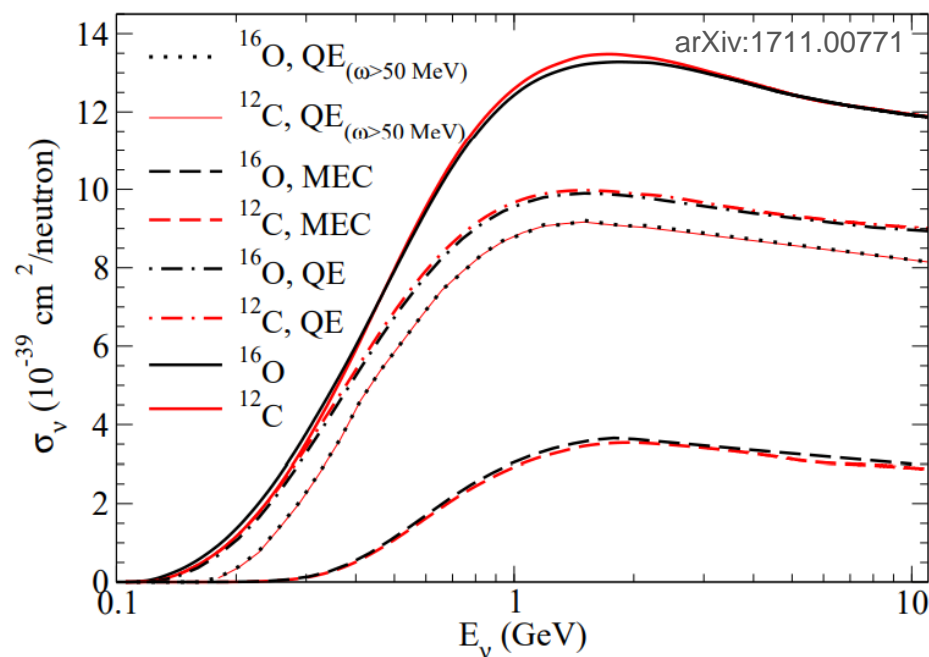
V. Pandey, NuInt18



- However, **very different models** with different implications for oscillation analyses can **look very similar** in such measurements

# C/O extrapolation

- Majority of our cross-section results come from CH scintillator targets
- T2K / ESS FD is water Cherenkov detector
- Latest theory predicts very little different between the targets, much smaller than experimental errors → small systematic
- Useful to continue to measure C/O to check theory, but not a major concern

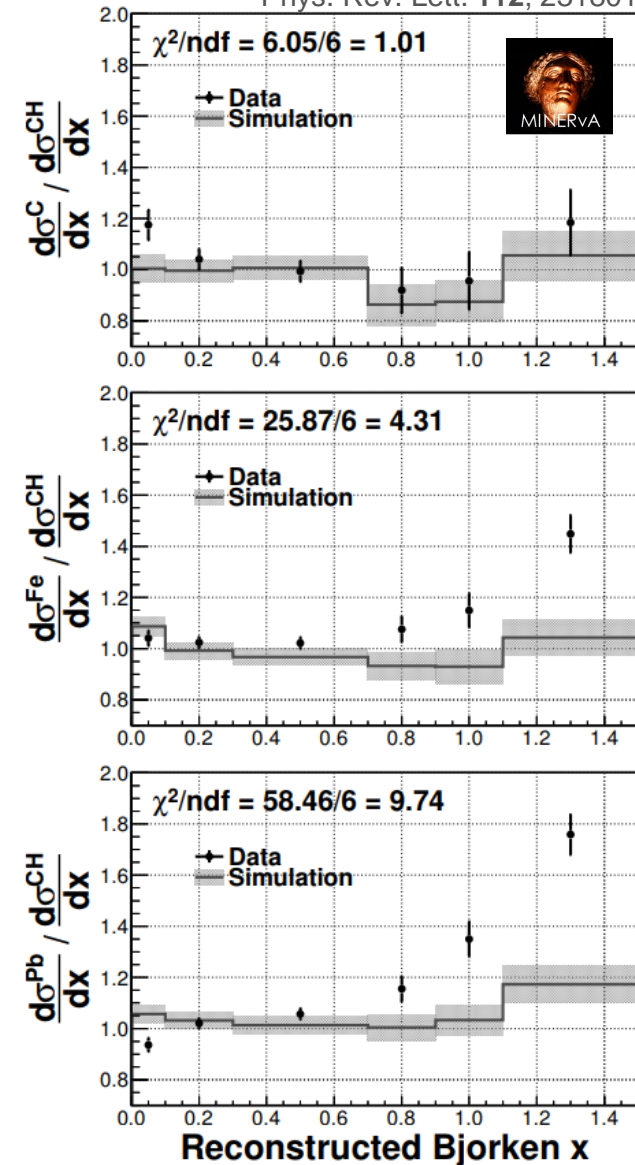


# Beyond Hydrocarbon ...

$$x = \frac{Q^2}{2M_N \nu}$$

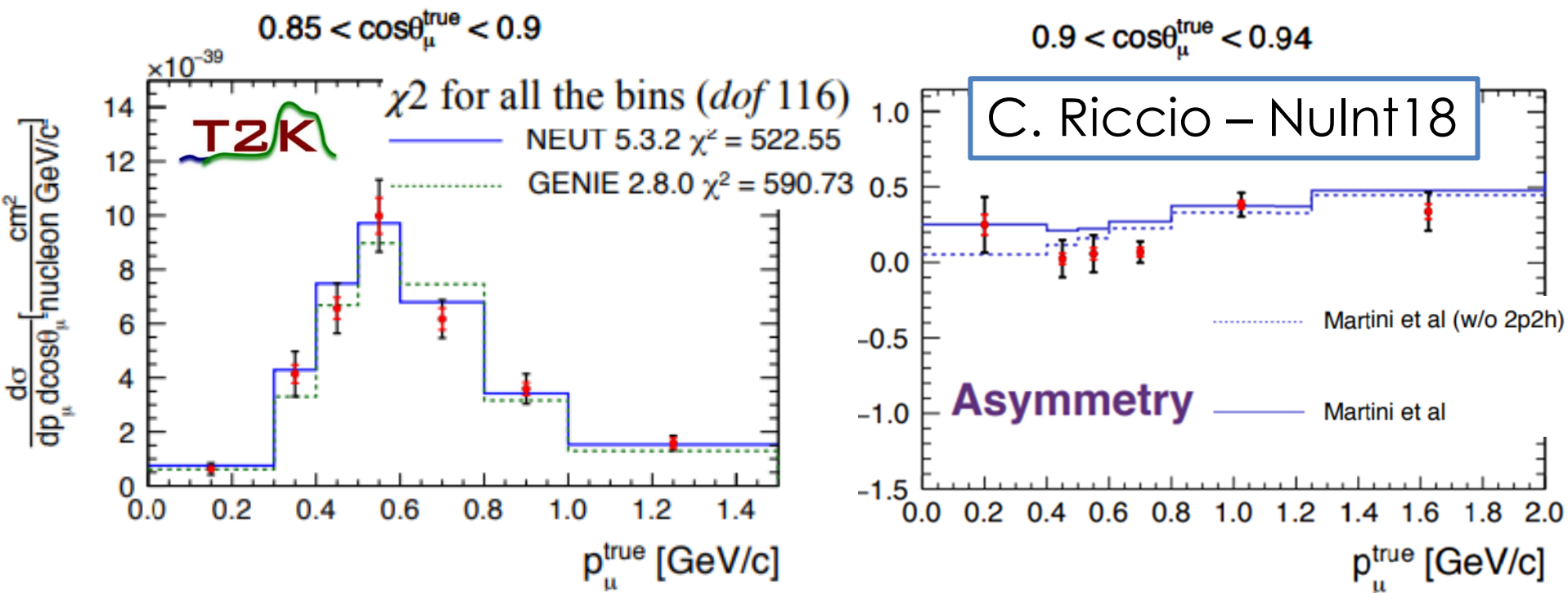
Phys. Rev. Lett. **112**, 231801

- MINERvA results show that our models do a poor job for much heavier targets
- It is critical for DUNE that we develop models to describe  $^{40}\text{Ar}$  ...
- MicroBooNE and SBN Fermilab program ( $^{40}\text{Ar}$  detectors) may help, but have a very different  $E_\nu$  to DUNE (<1GeV vs 1-4GeV)

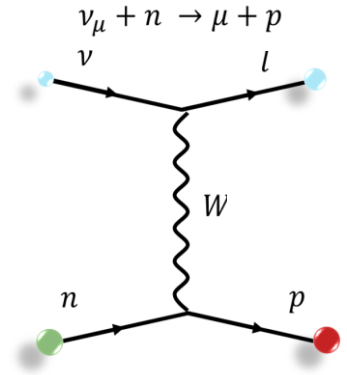
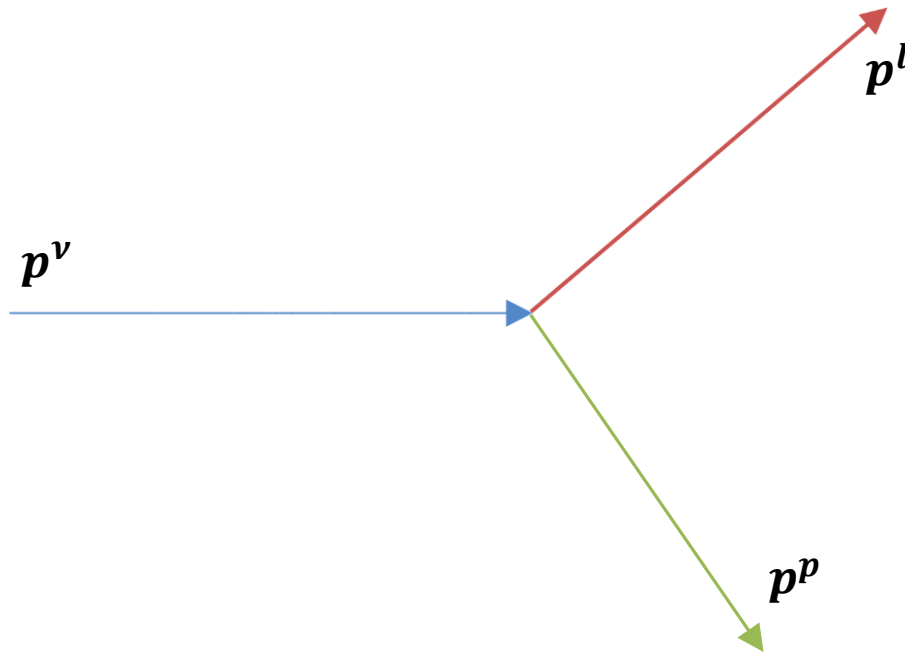


# $\nu/\bar{\nu}$ differences

- Measuring CP-asymmetry depends on understanding  $\nu/\bar{\nu}$  cross section ratio
- Few measurements of this exist, recent T2K measurement indicate issues with our models

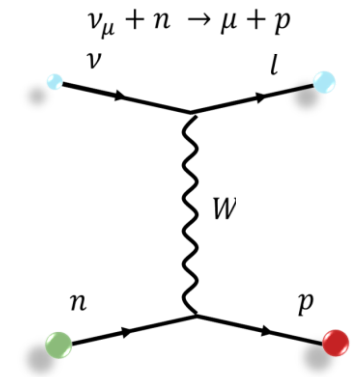
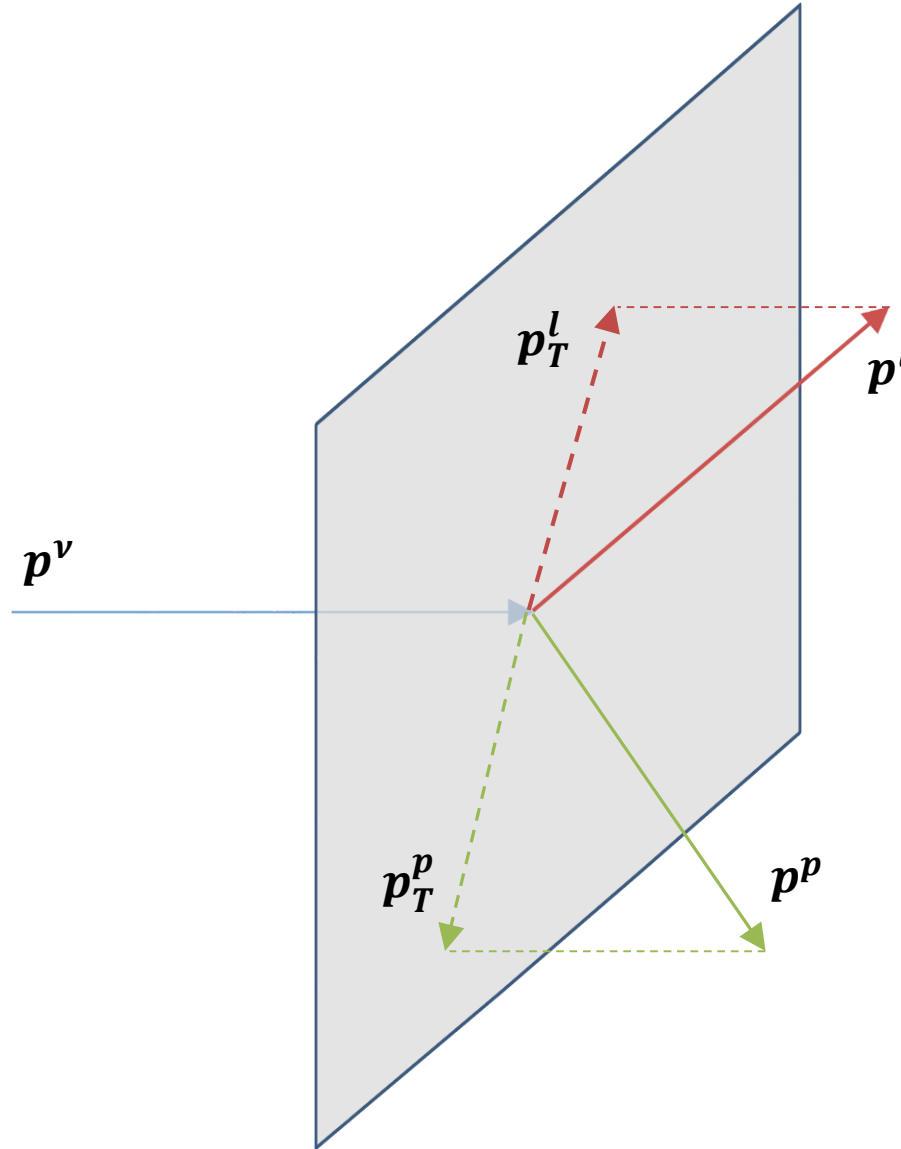


# Single Transverse Variables



No nuclear Effects

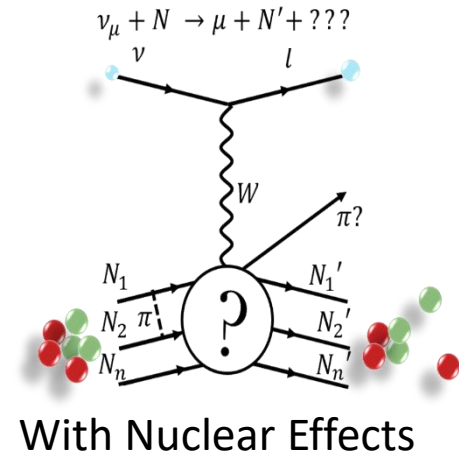
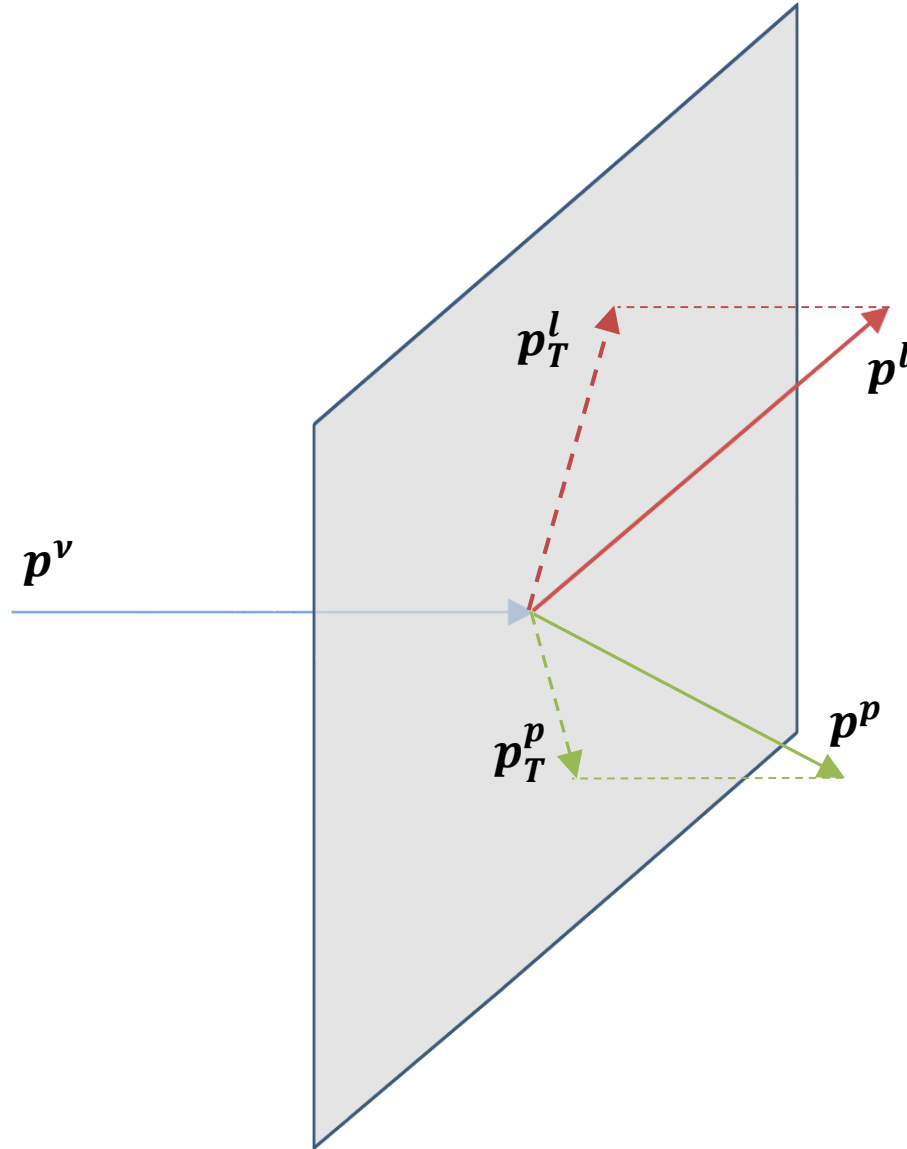
# Single Transverse Variables



No nuclear Effects

$$p_T^l = -p_T^p$$

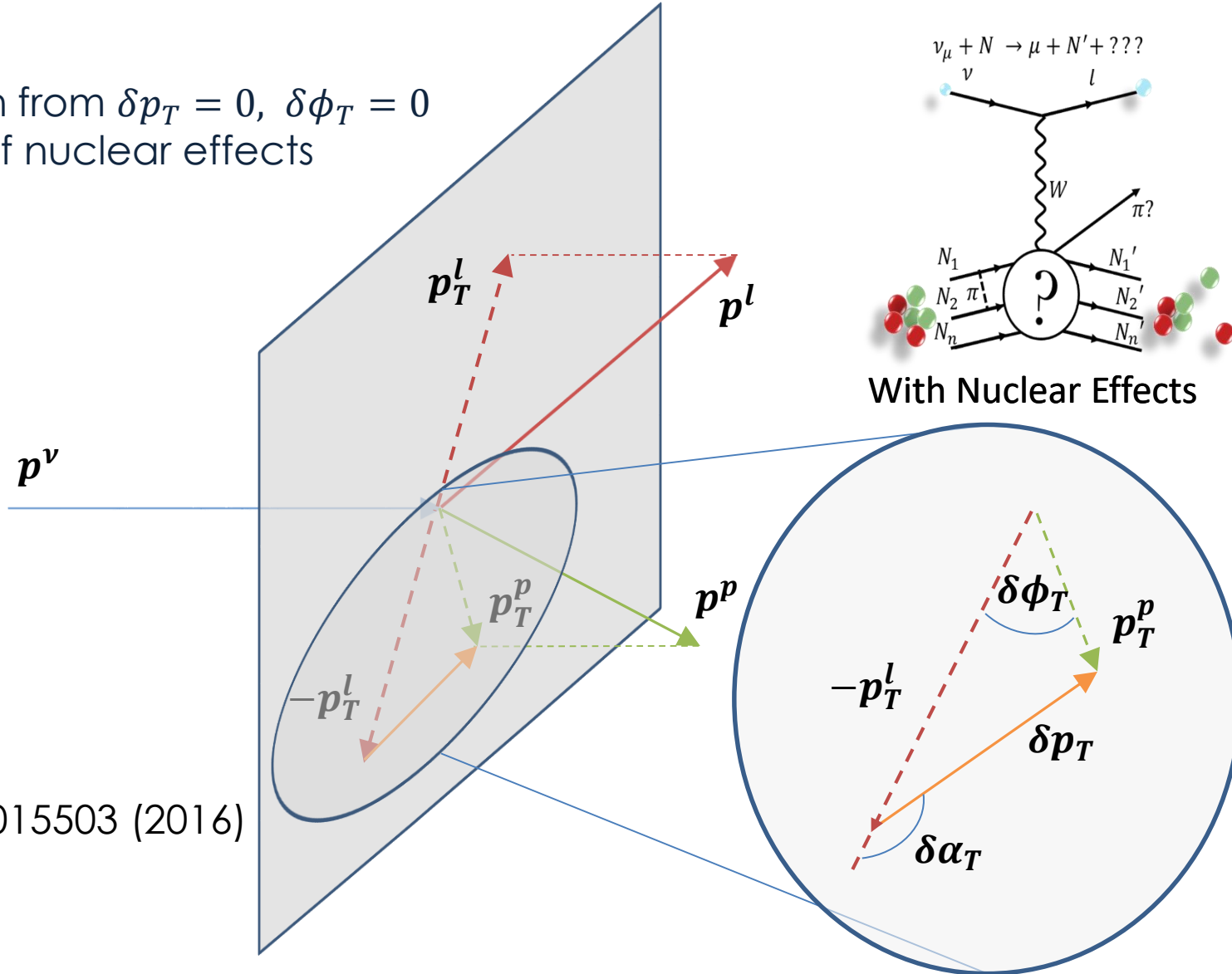
# Single Transverse Variables





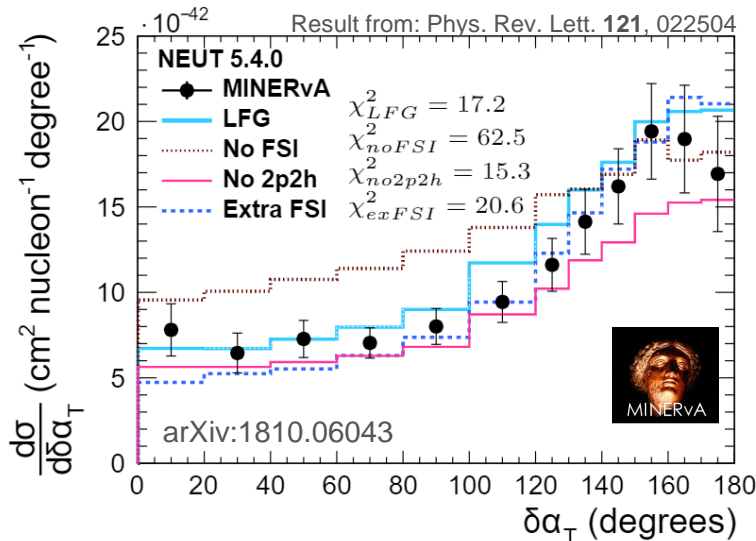
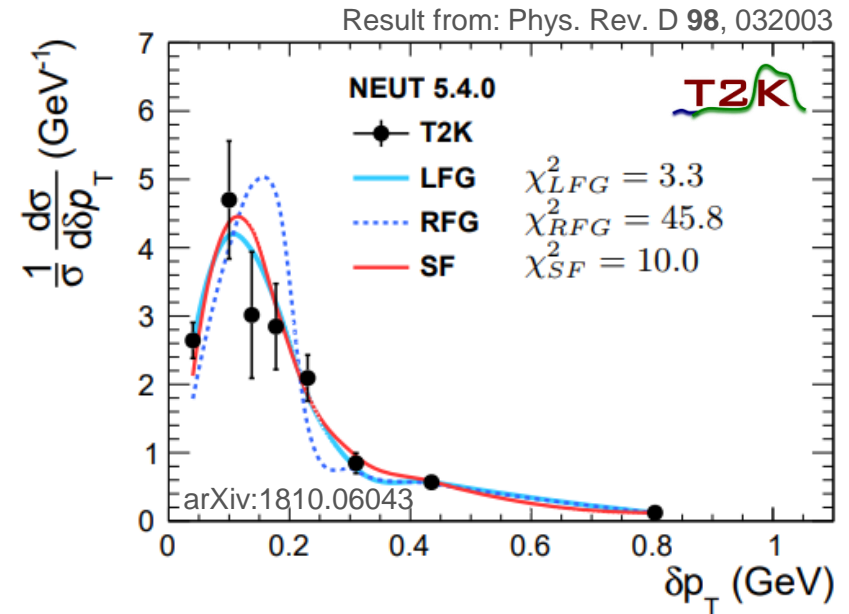
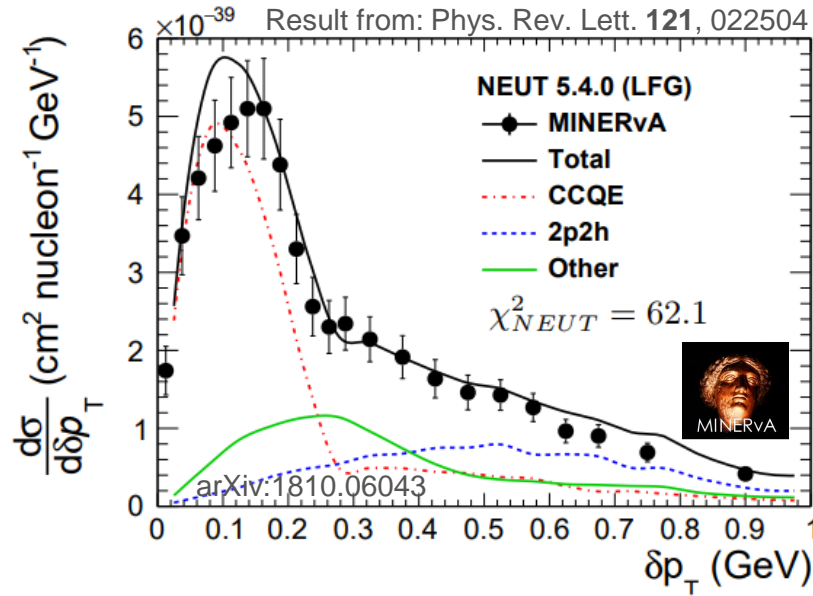
# Single Transverse Variables

- Any deviation from  $\delta p_T = 0$ ,  $\delta\phi_T = 0$  is indicative of nuclear effects



Phys. Rev. C **94**, 015503 (2016)

# Single Transverse Measurements

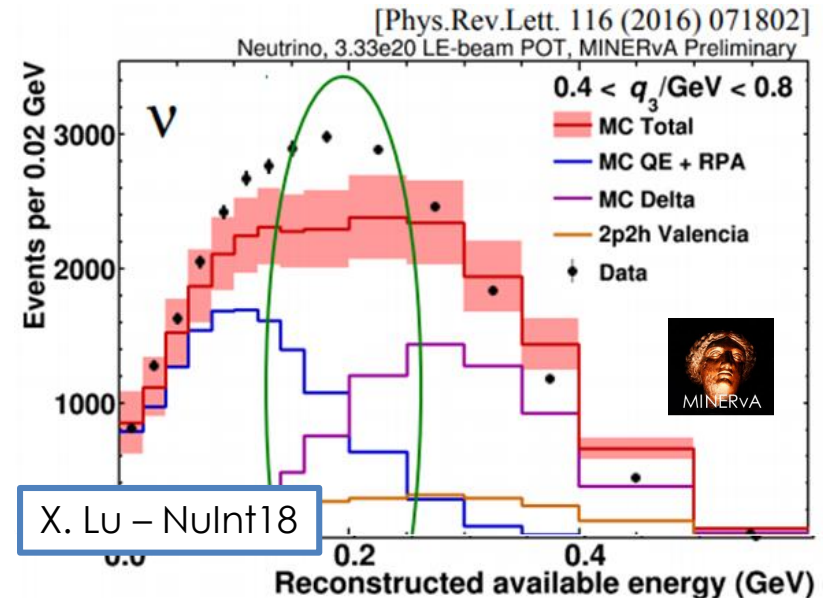
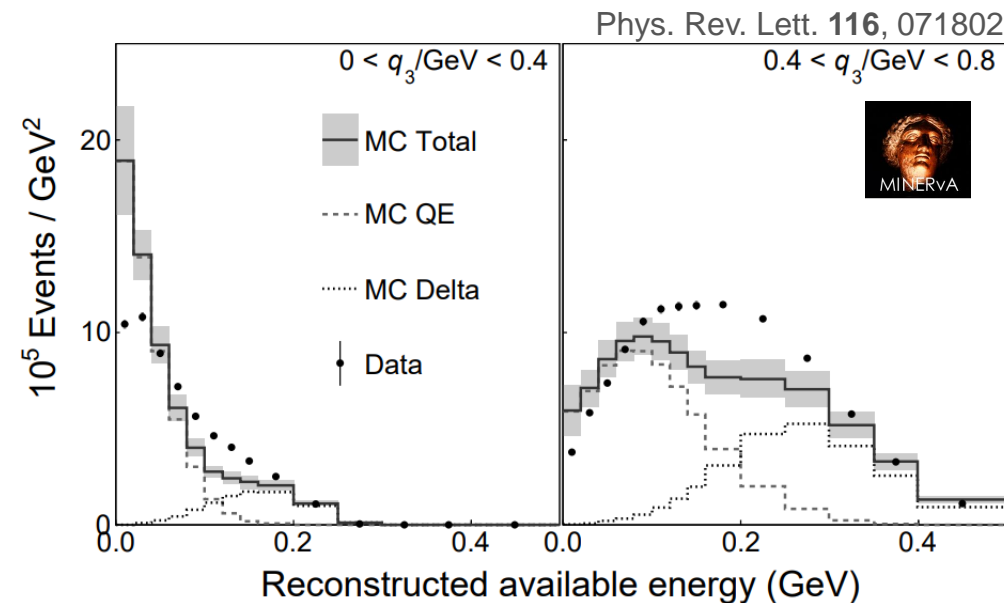


- Different parts of the STV are sensitive to different nuclear effects
- Can begin to see where our models do well and where they fail
- Clearly the models need work ...

Also see: Phys. Rev. C **98**, 045502

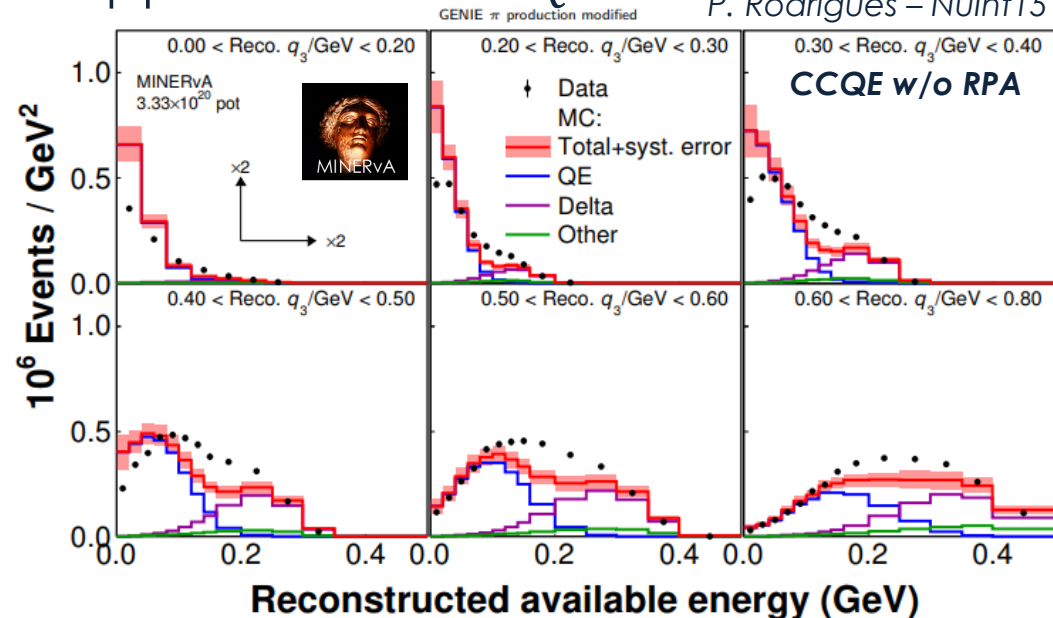
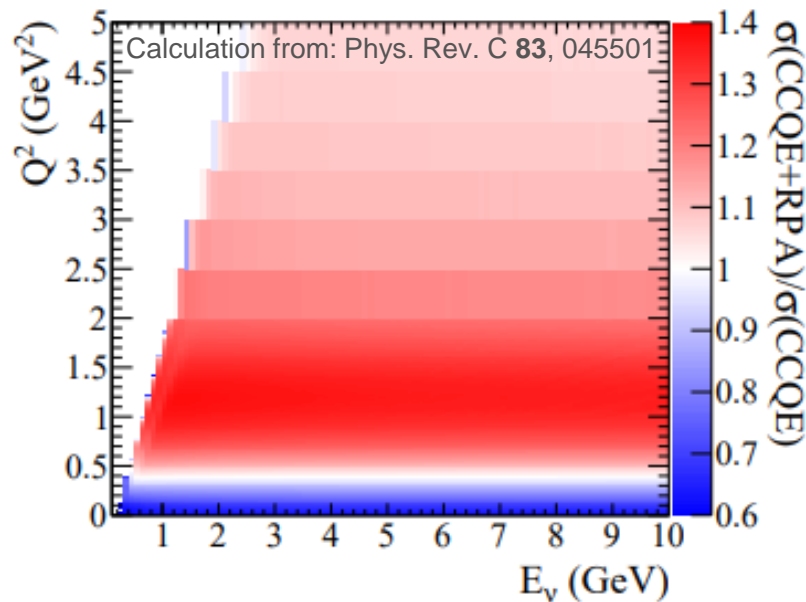
# Calorimetric measurements

- Use measurements of calorimetric energy to estimate energy and momentum transfer of an interaction (like we have in  $e^-$  scattering)
- Separates QE, 2p2h and RES (Delta) contributions
- Models show a clear deficit, particularly in 2p2h enhanced regions



# Low energy $CC0\pi$

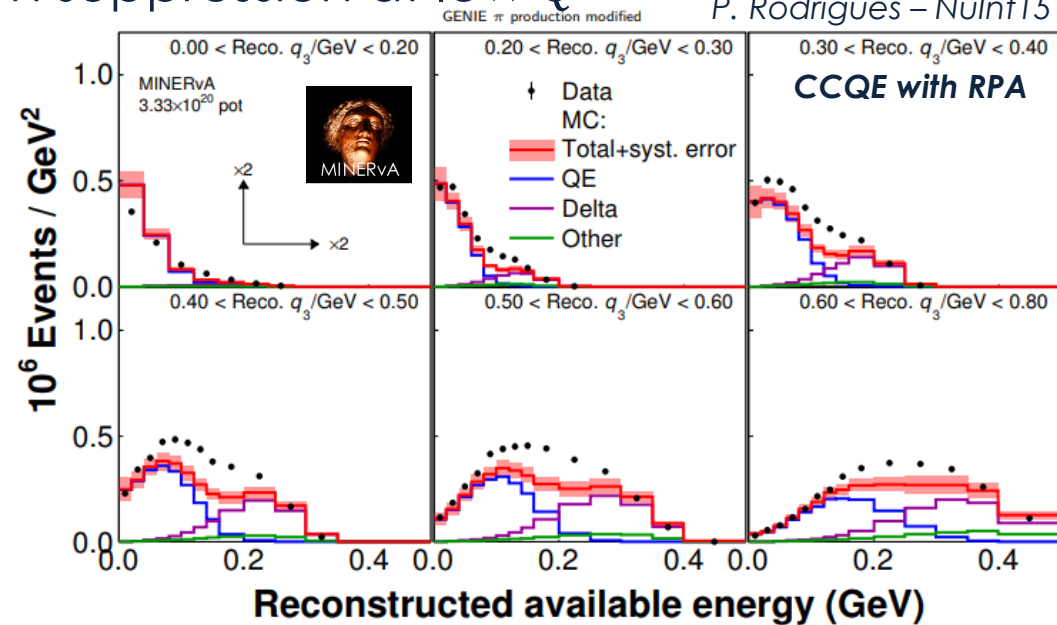
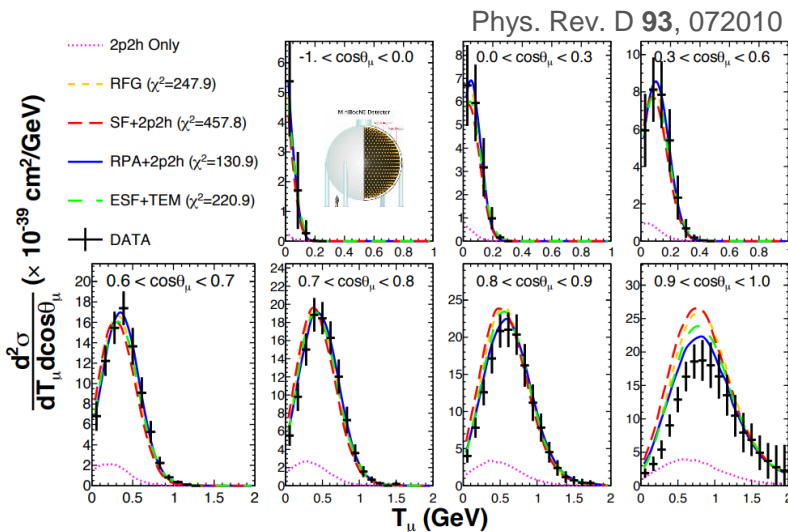
- At low energies, longer wavelength propagator means “long-range correlations” between nucleons become more important
  - **Potentially very important for ESSnuSB!**
- Whole nucleus becomes excited by interaction – energy transfer distributed across many nucleons
- Described by RPA – Random phase approximation
- Acts as a strong cross section suppression at low  $Q^2$



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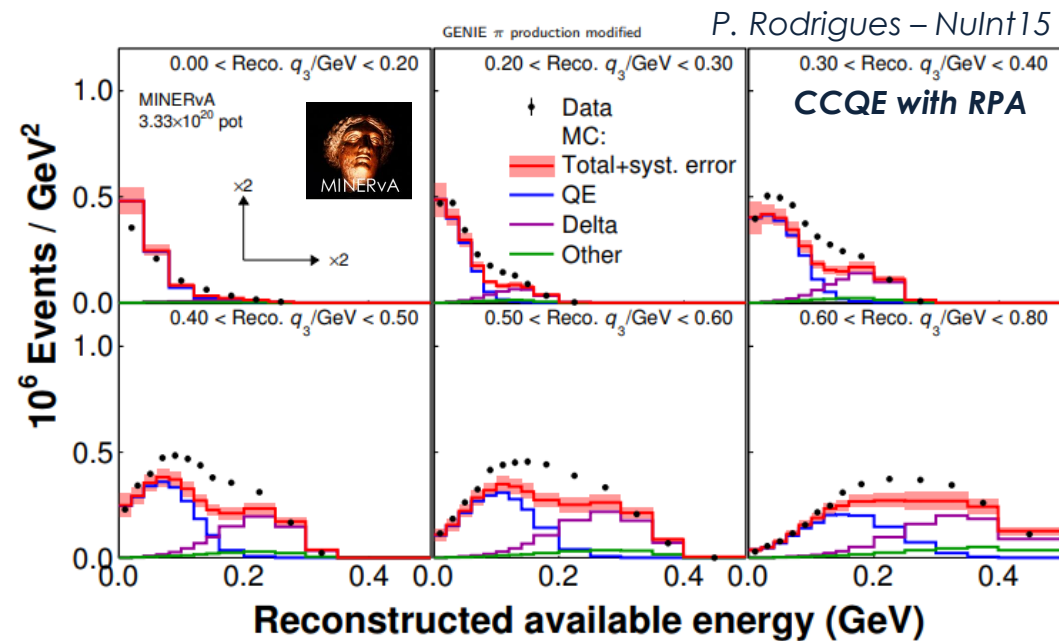
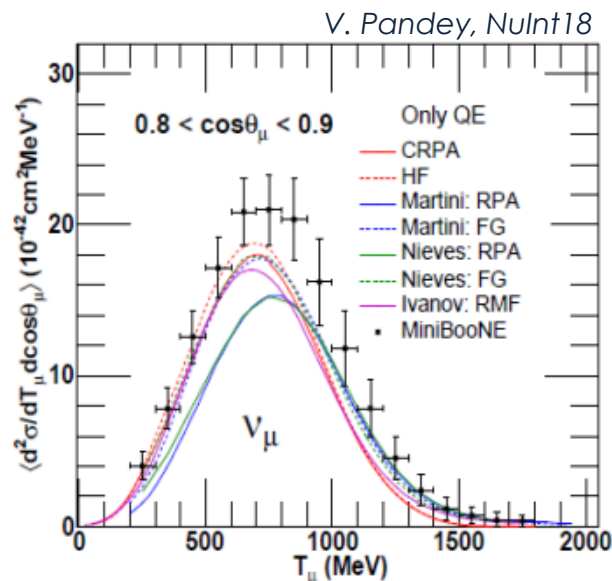
P. Rodrigues – NuInt15



# Low energy CC0 $\pi$

- Clearly required by MINERvA calorimetric results
  - But the exact theoretical description is still uncertain:
    - Alternative theoretical approaches (e.g. HF-CRPA model)
- Phys. Rev. C **83**, 045501, Phys. Rev. C **92**, 024606; Phys. Rev. C **65** 65025501; Phys. Rev. C **80**, 065501
- Role of RPA less dramatic if nucleons properly bound?
  - Degeneracy with the rest of the nuclear model?

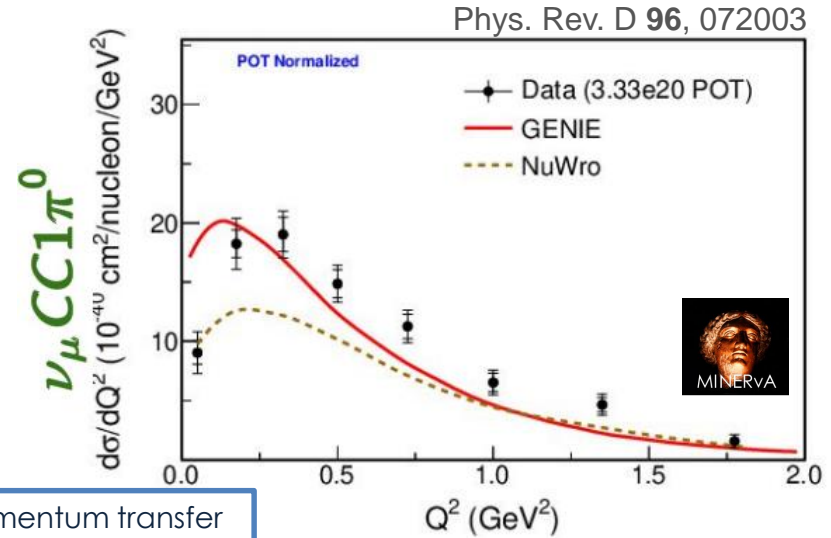
[U. Mosel ECT\\* workshop](#)





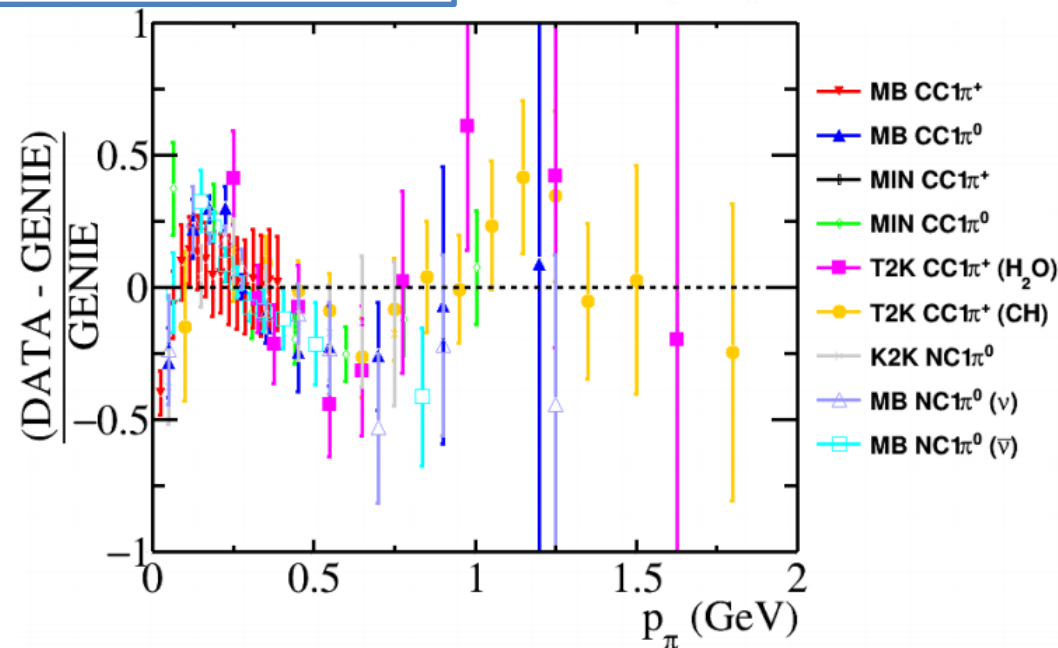
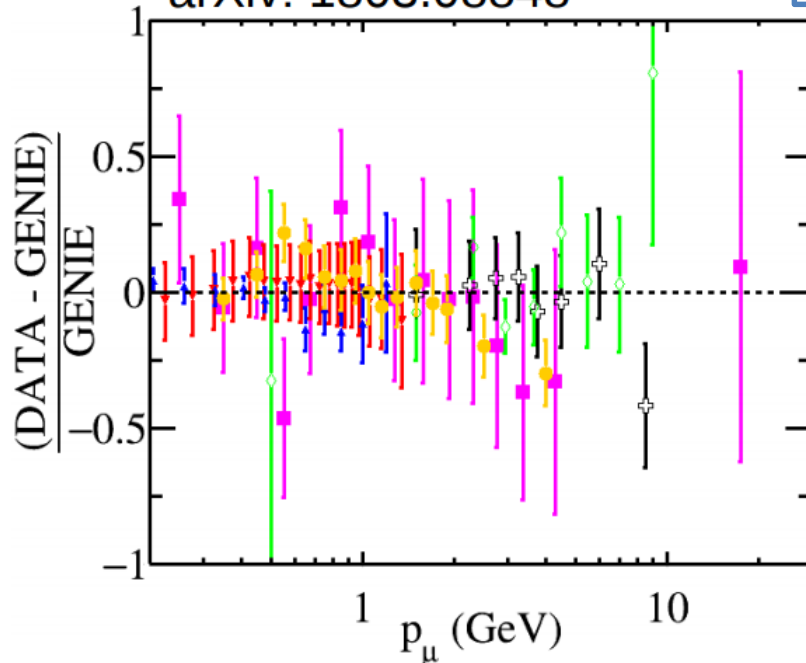
# CC1 $\pi$ measurements

- Use a very simplistic inclusive model, many missing ingredients (few theoretical alternatives, but WIP)
- Seems able to describe muon kinematics, but not the pion's
- Gross overestimate at low  $Q^2$



arXiv: 1803.08848

$Q^2$ : Energy-momentum transfer

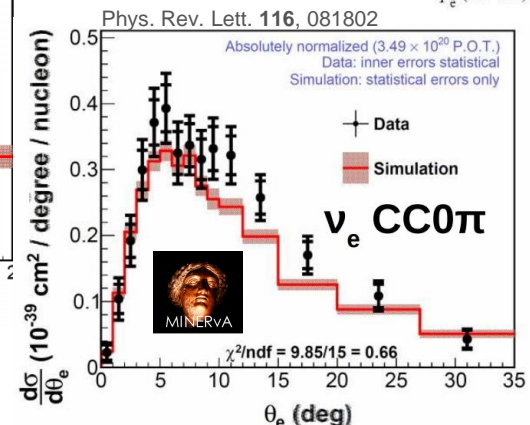
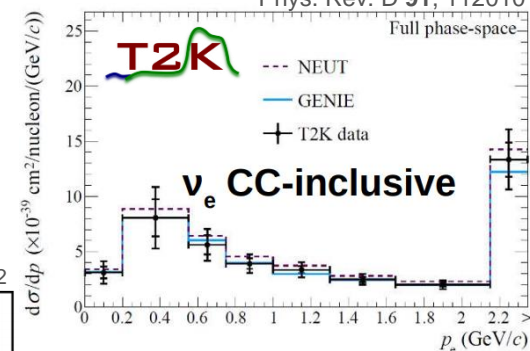
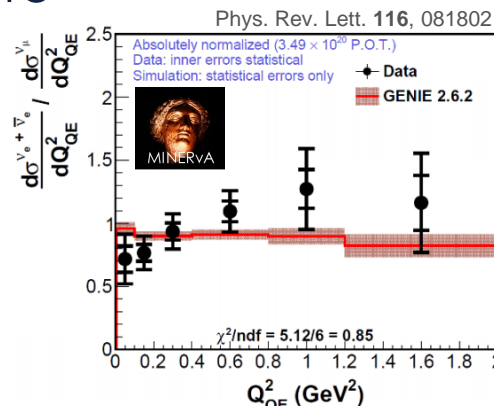


# Further challenges



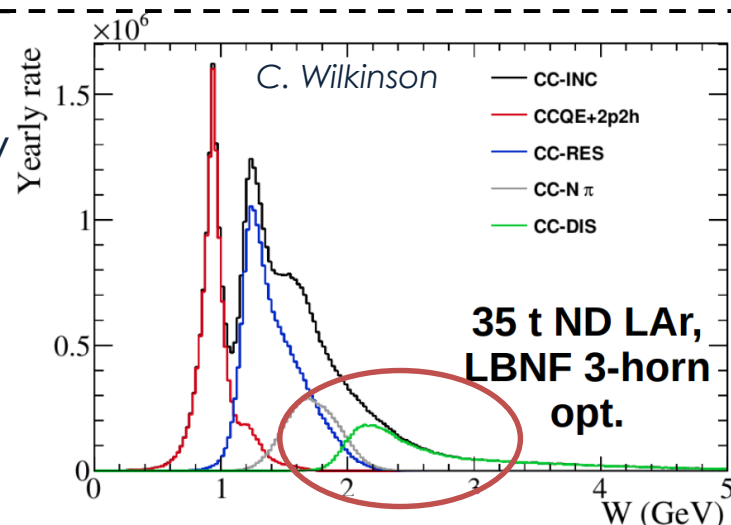
## $\nu_e$ cross sections

- Use measurements of  $\nu_\mu$  at ND to constrain  $\nu_e$  interactions at FD
- Important to understand the differences – T2K and MINERvA measure  $\nu_e$  cross sections
- Oscillated  $\nu_e$  flux is very small – challenging measurements




## DIS-RES region

- Transition region between DIS and RES poorly modelled (stitching together models)
- Information on particle multiplicity limited to old bubble chamber data
- Critical region for DUNE (also partially NOvA)





# Conclusions

- A precise understanding of neutrino-nucleus interactions is essential for precision measurements of neutrino oscillations
- Associated systematic uncertainties are either already dominant, or expected to become so soon
- T2K and MINERvA are making a variety of creative measurements of neutrino scattering cross sections ...
- But our models are unable to describe the new data!
-  will be dominated by **better** understood  $CC0\pi$  processes, but even these are not **well** understood
- New data from existing and future experiments may help, but model development is essential.

# The way out?

*Input from and collaboration with theorists is fundamental to overcoming these challenges*

- Experiments have outstripped the over simplified models in generators.

NuInt 18 Experimental summary talk – K. McFarland

With every topic we find that the challenges can be met only with the active support and collaboration among specialists in strong interactions and electroweak physics that include theorists and experimentalists from both the nuclear and high energy physics communities.

NuSTEC White Paper (Prog.Part.Nucl.Phys. 100 (2018) 1-68)

- Apart from rigorous work, inspiration (and whining abilities ☺) (especially young) theorists need institutional support!

NuInt 18 Theoretical summary talk – V. Pandey

NEUTRINO 2018  
cross-section talk  
- U. Mosel

- Precision era of neutrino physics requires more sophisticated generators and a dedicated joint effort in nuclear theory and generator development
- This joint effort has to be funded as integral part of experiments

Thank you for listening!