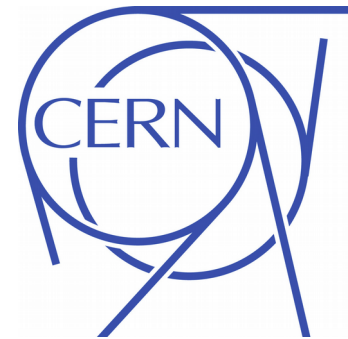


Leptogenesis & CP violation in the leptonic sector

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ESSnuSB Annual Meeting (H2020)

Institut Pluridisciplinaire Hubert Curien

Strasbourg

6 November 2018

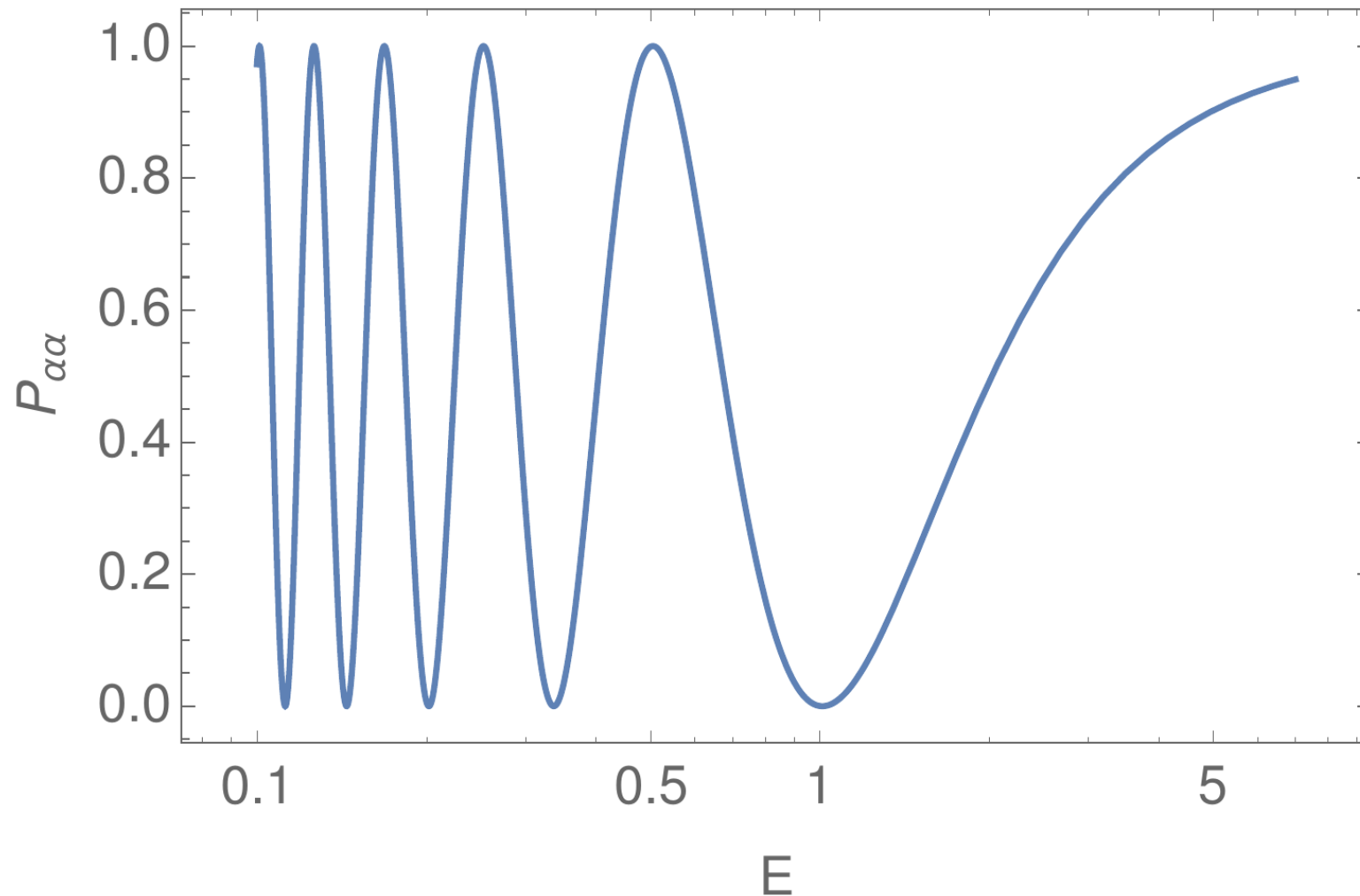
Outline

- What we know/don't know.
- Baryon asymmetry
- Leptogenesis
- Testable Leptogenesis.
Hernandez, Kekic, JLP, Racker, Rius 1508.03676;
Hernandez, Kekic, JLP, Racker, Salvado 1606.06719
- Conclusions

What do we know
from
neutrino oscillation
experiments?

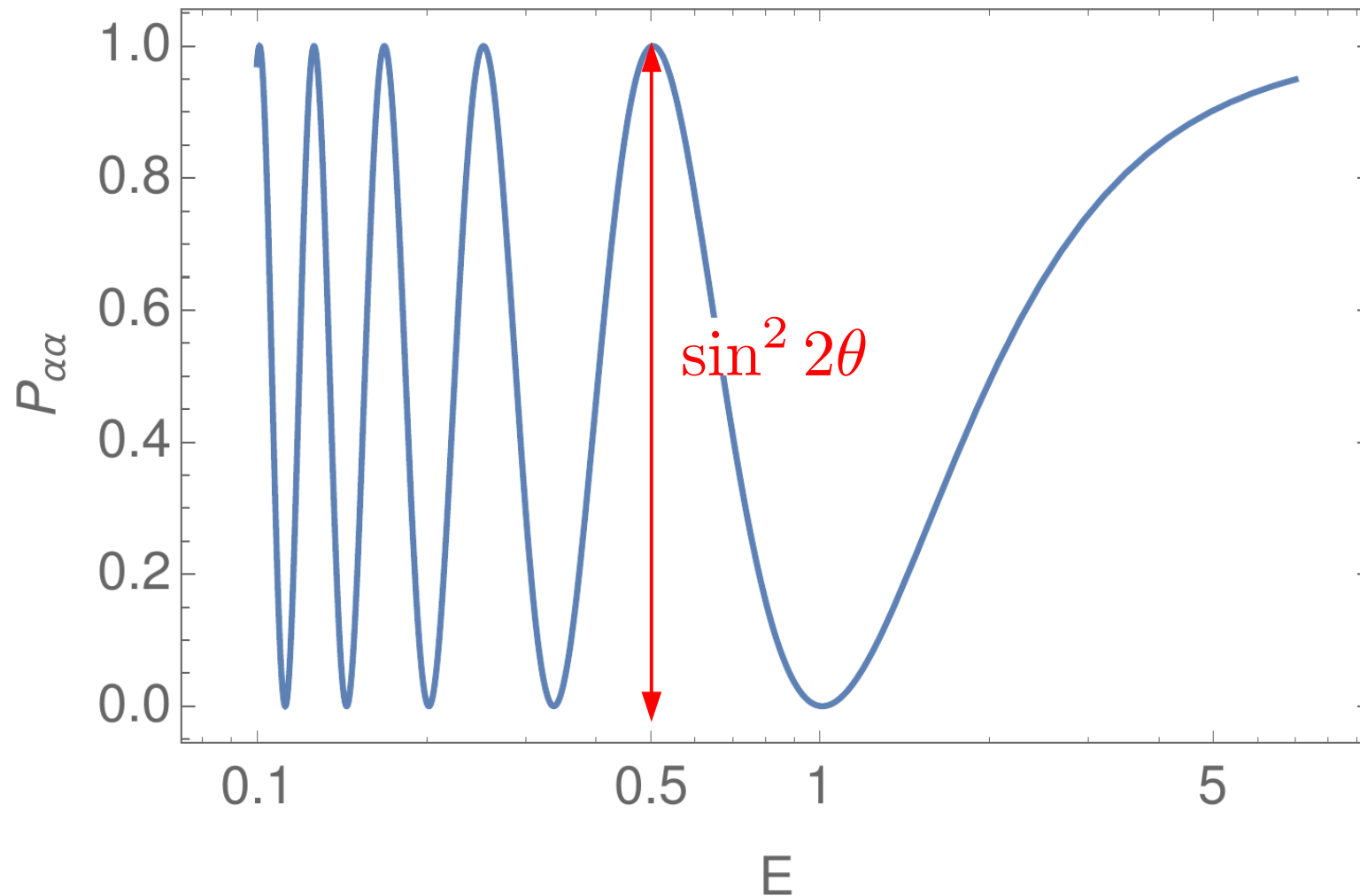
Two family approximation

$$P_{\alpha\alpha} = 1 - \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E}$$



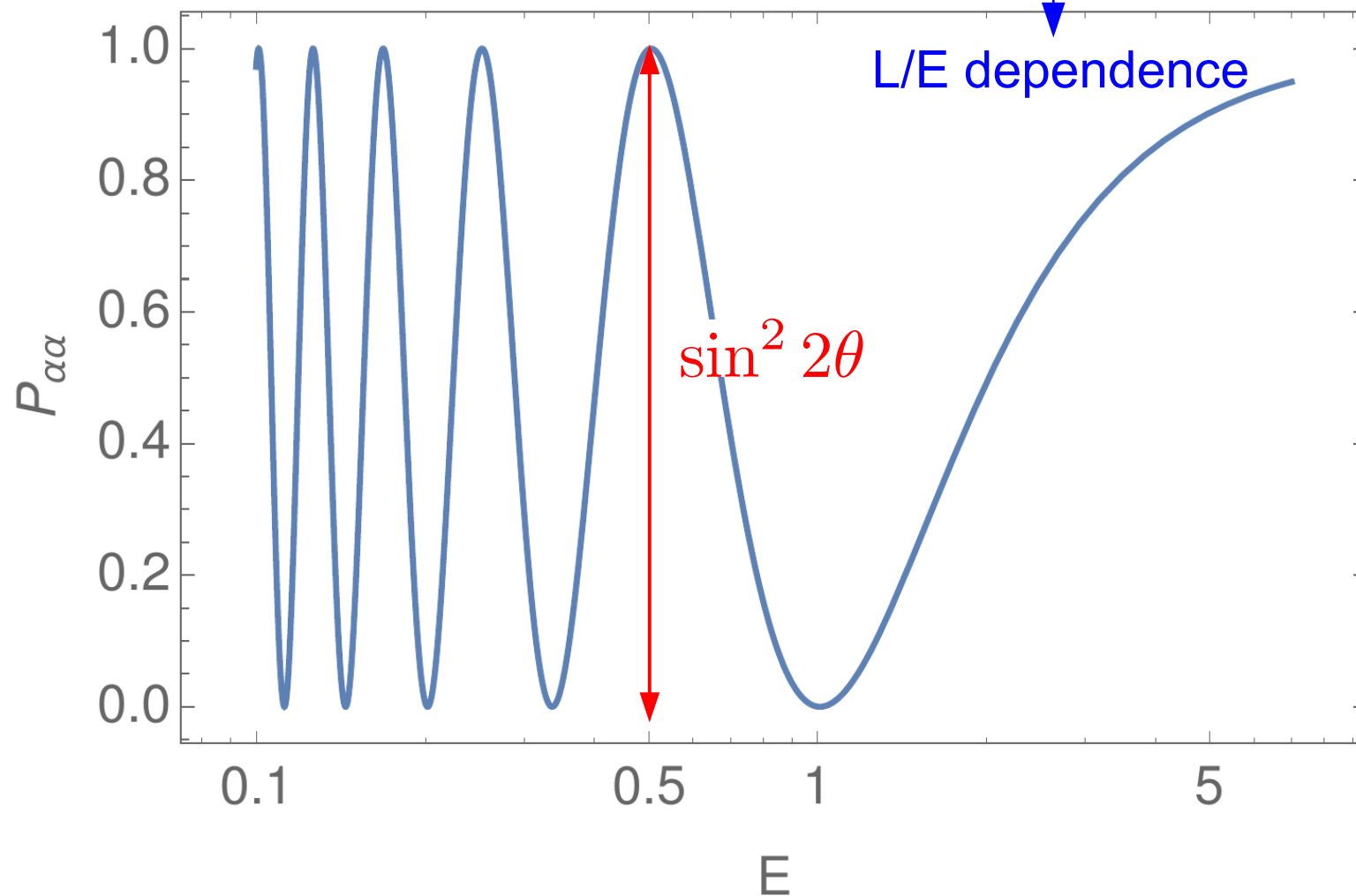
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Two family approximation

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What we know...

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Atmospheric sector

Interference/Reactor

Solar sector

$$\sin^2 \theta_{12} = 0.306 \pm 0.012$$

$$\Delta m_{21}^2 = (7.50^{+0.19}_{-0.17}) \times 10^{-5} \text{ eV}^2$$

(1 σ)

Esteban, Gonzalez-Garcia, Maltoni, Martinez-Soler, Schwetz 1611.01514

See also: Capozzi, Lisi, Marrone, Montanino, Palazzo 1601.07777; de Salas, Forero, Ternes, Tortola, Valle 1708.01186

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$$\sin^2 \theta_{23} = \begin{matrix} 0.440^{+0.027}_{-0.021} \\ 0.587^{+0.020}_{-0.024} \end{matrix}$$

$$\Delta m_{3l}^2 = \begin{matrix} 2.524^{+0.039}_{-0.040} \\ -2.514^{+0.038}_{-0.041} \end{matrix} \times 10^{-3} \text{eV}^2$$

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$$\sin^2 \theta_{13} = \begin{matrix} 0.02166 \pm 0.00075 \\ 0.0217 \pm 0.00076 \end{matrix}$$

(1 σ)

Esteban, Gonzalez-Garcia, Maltoni, Martinez-Soler, Schwetz 1611.01514

See also: Capozzi, Lisi, Marrone, Montanino, Palazzo 1601.07777; de Salas, Forero, Ternes, Tortola, Valle 1708.01186

What we still do not know in
the neutrino sector

What we don't know...

1

The Octant: $\theta_{23} \gtrless 45^\circ$.

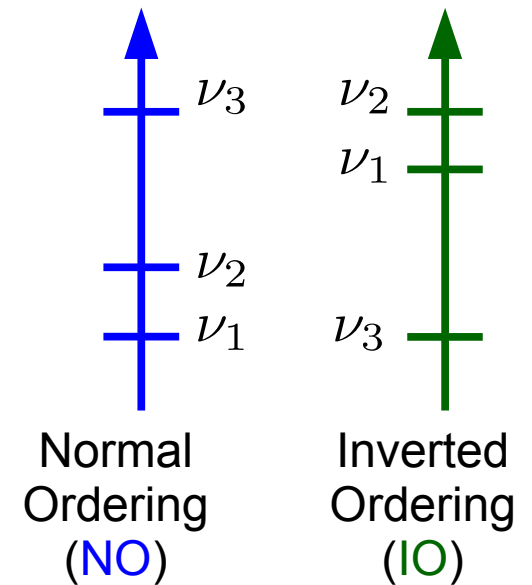
See for instance: King et al 1402.4271
Altarelli et al 1205.5133, 1002.0211
Very relevant for the flavour puzzle.

What we don't know...

① The Octant: $\theta_{23} \gtrless 45^\circ$. *Very relevant for the flavour puzzle.*

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② Neutrino ordering (sign of Δm_{31}^2)



What we don't know...

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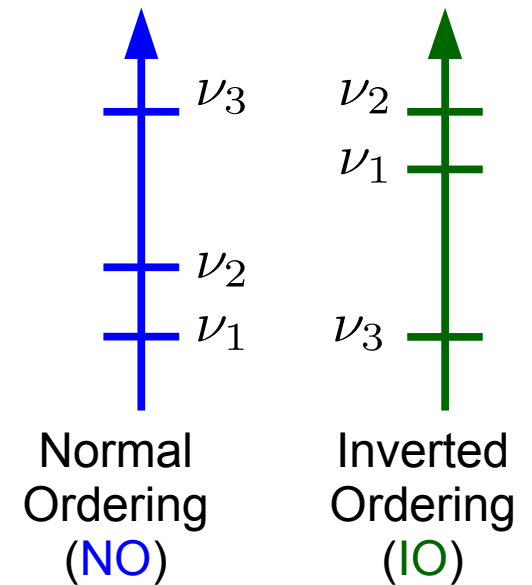
① The Octant: $\theta_{23} \gtrless 45^\circ$. *Very relevant for the flavour puzzle.*

② Neutrino ordering (sign of Δm_{31}^2)

Extremely relevant input for other observables as $0\nu\beta\beta$ decay

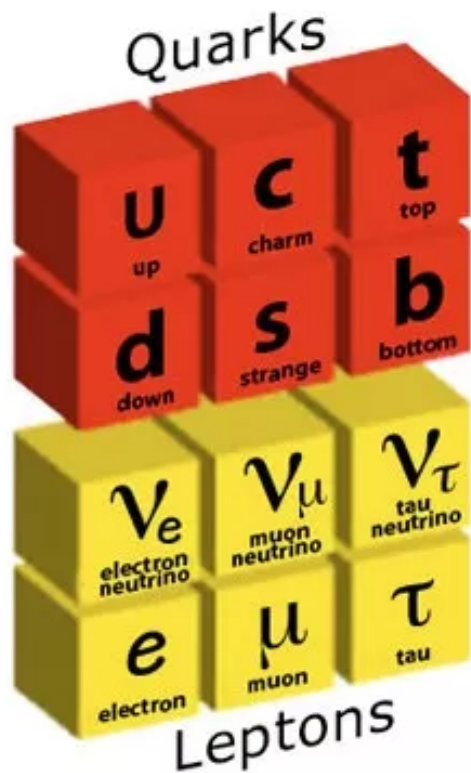
③ Absolute neutrino mass scale

Cosmological probes, Tritium beta decay (KATRIN)

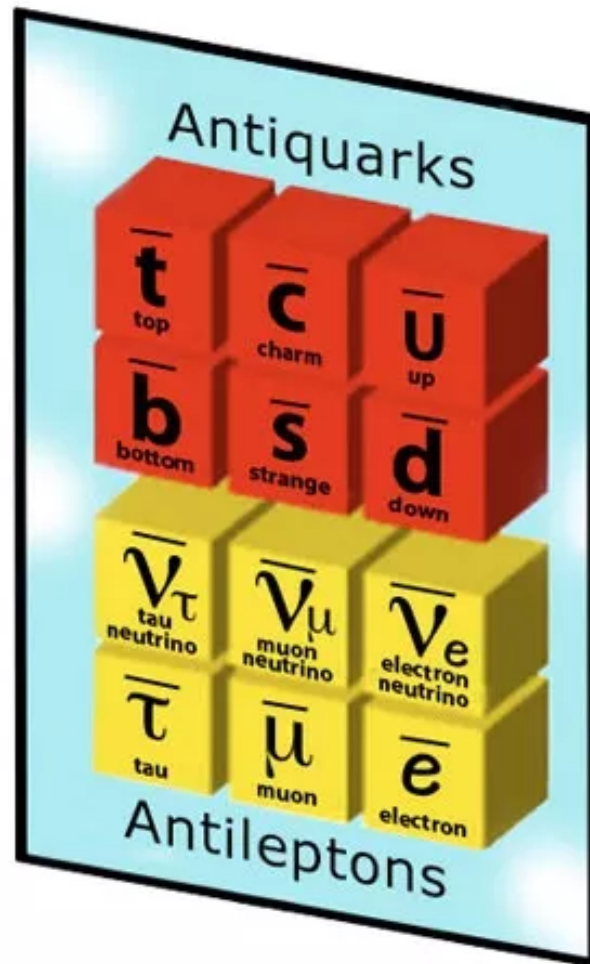


④ Dirac vs Majorana

Dirac vs Majorana



Particles



Antiparticles

- Neutrinos **can be their own antiparticles**

- *Majorana Neutrinos*

$$\text{neutrino} = \text{antineutrino}$$

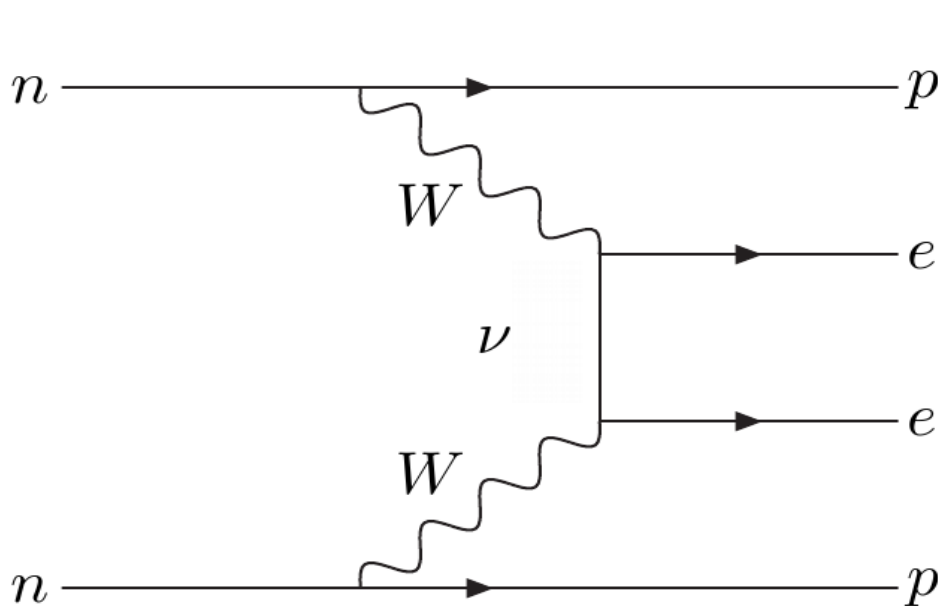
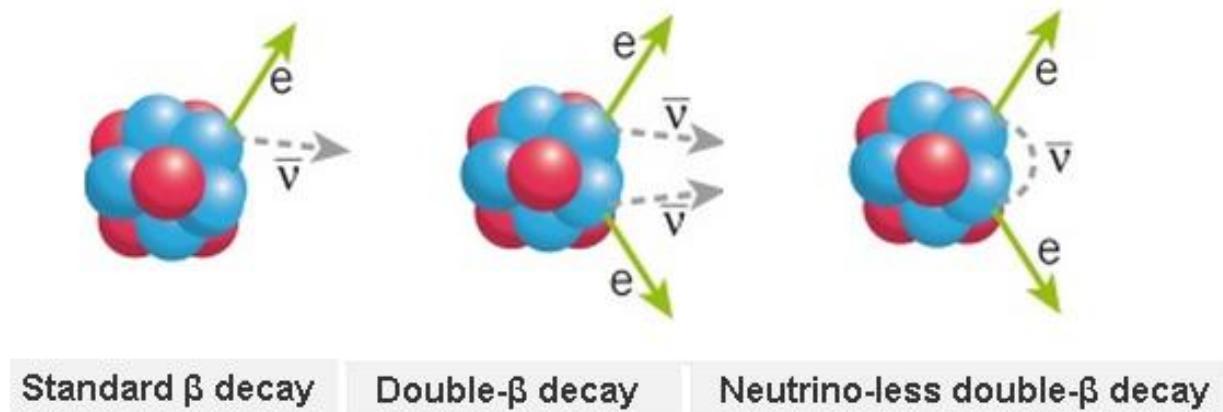
Fundamental ingredient in order to explain the matter-antimatter asymmetry in our universe via Leptogenesis

- *Dirac Neutrinos*

$$\text{neutrino} \neq \text{antineutrino}$$

(as the other SM fermions)

Neutrinoless double beta decay



$$(Z, A) \Rightarrow (Z \pm 2, A) + 2e^{\mp}$$

$$\sim m_{\beta\beta} = \sum_i \underbrace{m_i}_{\text{mass of propagating neutrino}} \overbrace{U_{ei}^2}^{\text{mixing}}$$

⑤ CP violation

CP violation in the lepton sector?

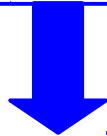
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Atmospheric sector Interference/Reactor Solar sector

Controls CP violation effects

The diagram illustrates the structure of the PMNS matrix U . It is composed of three sequential rotation matrices. The first matrix, labeled 'Atmospheric sector' in red, represents a rotation in the μ - τ plane. The second matrix, labeled 'Interference/Reactor' in black, represents a rotation in the e - μ plane, with the θ_{13} terms and the phase δ highlighted by green circles. The third matrix, labeled 'Solar sector' in blue, represents a rotation in the e - μ plane. Arrows from the green circles point to the text 'Controls CP violation effects'.

CP violation in the lepton sector?

$$\boxed{P_{\alpha\alpha}} = 1 - \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E}$$


- No sensitivity to CP violation
- ***A signal of CP violation can only come from appearance channels.***
 - *CP violation only in the quark sector?*
 - *Fundamental ingredient in order to explain the matter-antimatter asymmetry in our universe via Leptogenesis*

CP violation, Mass Ordering and Octant

$$P_{e\mu} - P_{\bar{e}\bar{\mu}} = \boxed{J} \cos\left(\pm\delta - \frac{\Delta_{31}L}{2}\right) \sin\left(\frac{\Delta_{21}L}{2}\right) \sin\left(\frac{\Delta_{31}L}{2}\right)$$



CP invariant

$$J \equiv c_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13}$$

• Present

NOvA (810 km)

T2K (295 km)

• Future

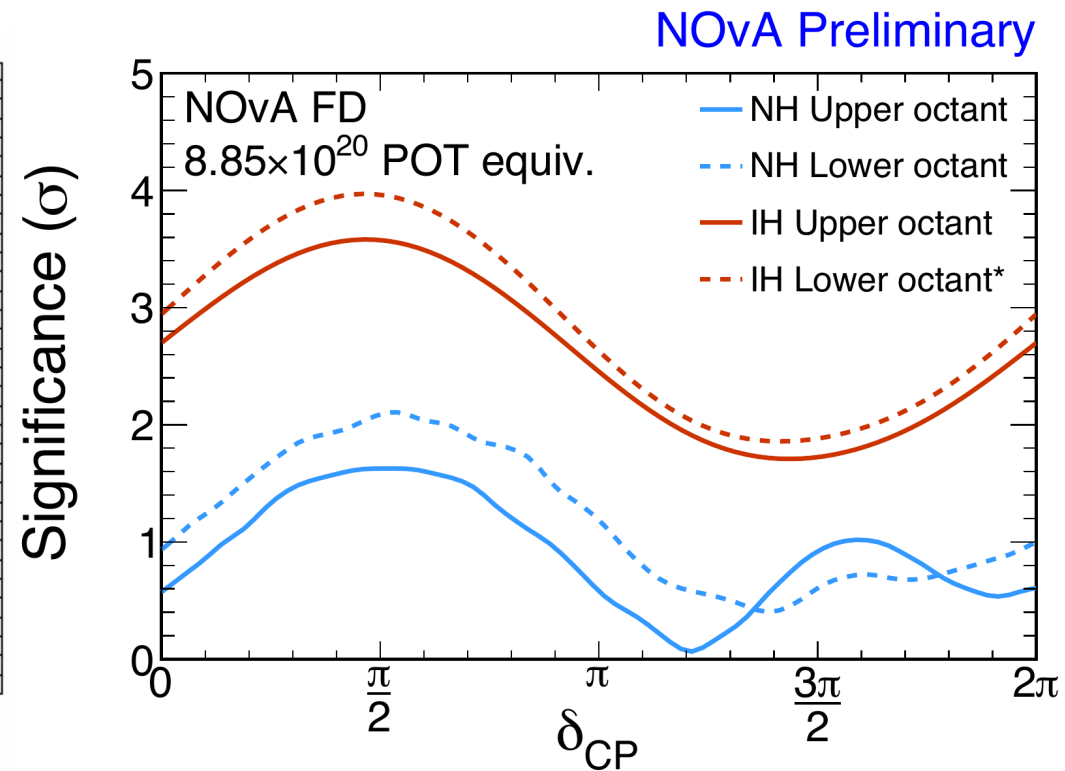
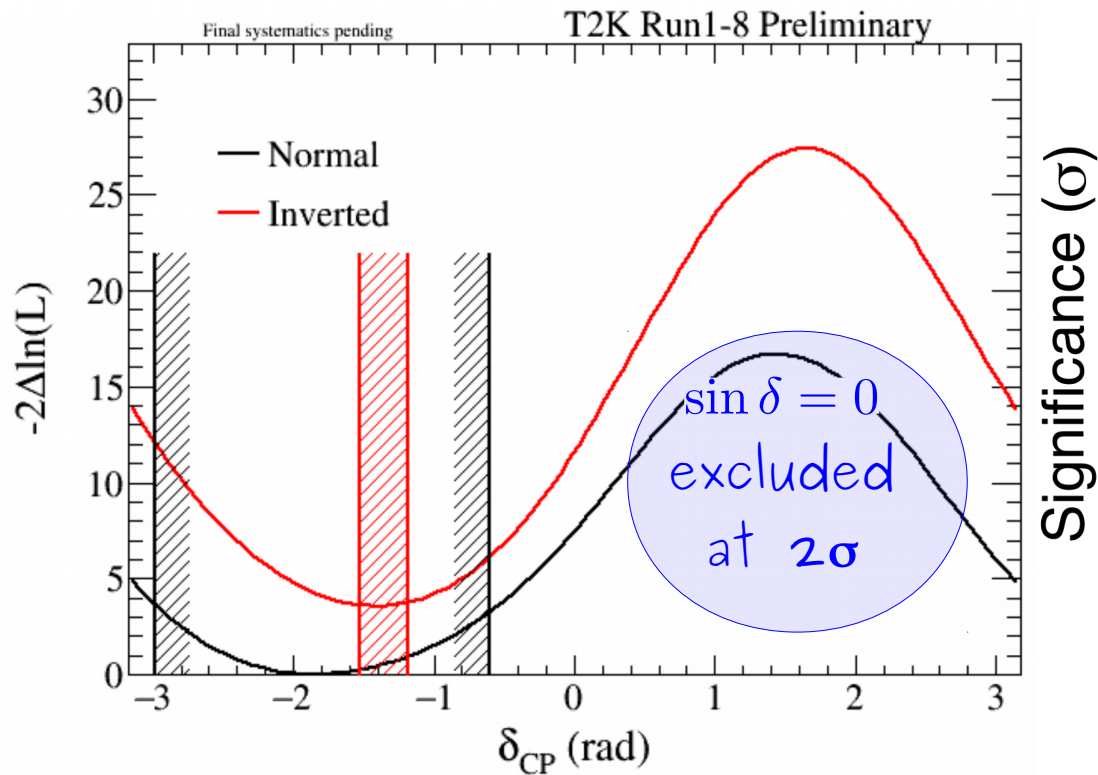
DUNE (1300 km)

T2HK (295 km)

ESSvSB (500 km)

T2HKK (295 km, 1100 km)

New results from T2K and NOvA



Baryon asymmetry



Baryon asymmetry

- **After the Big-Bang same amount of matter and antimatter generated, but observed universe mainly made out of matter only!**
- The observed baryon asymmetry is quoted in terms of the abundance (number-density asymmetry of baryons normalized to the entropy density):

$$Y_B^{exp} = 8.65(8) \times 10^{-11}$$

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How can this matter asymmetry of our Universe be generated?

Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

1

Baryon number violation

If baryon asymmetry is conserved, no baryon number can be generated



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OK in
the SM



Sphalerons
@ $T \approx 140 \text{ GeV}$

Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

2

C and CP violation

If C or CP are conserved:

$$\Gamma (A \rightarrow B + C) = \Gamma (\overline{A} \rightarrow \overline{B} + \overline{C})$$



Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

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Exist
in the
SM

*There is CP violation
in the quark sector*



Sakharov conditions

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Exist
in the
SM



Jarlskog
invariant
too small

*There is CP violation
in the quark sector*

*Not enough CP violation
in SM !!*

Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

3

Departure from thermal equilibrium

*Production/destruction rates of Baryons are equal
in thermal equilibrium: $\Gamma (A \rightarrow B + C) = \Gamma (B + C \rightarrow A)$*



Sakharov conditions

- In order to generate the baryon asymmetry the following conditions should be satisfied:

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Exist
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SM

*Due to Hubble expansion
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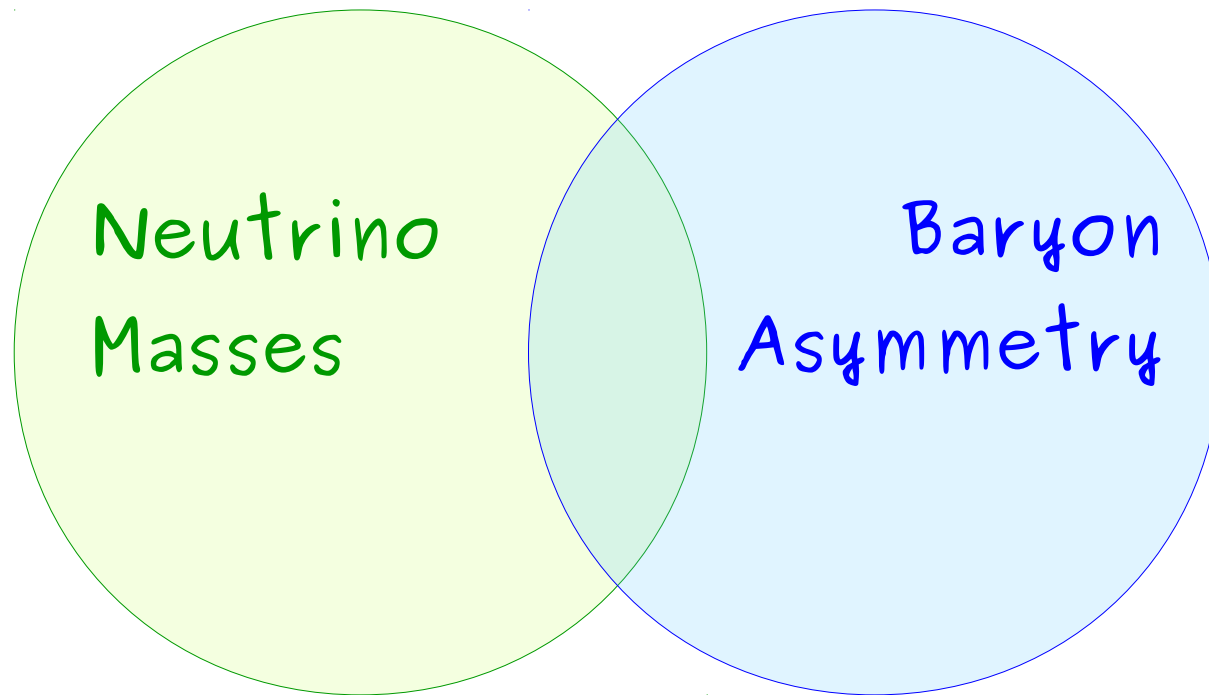


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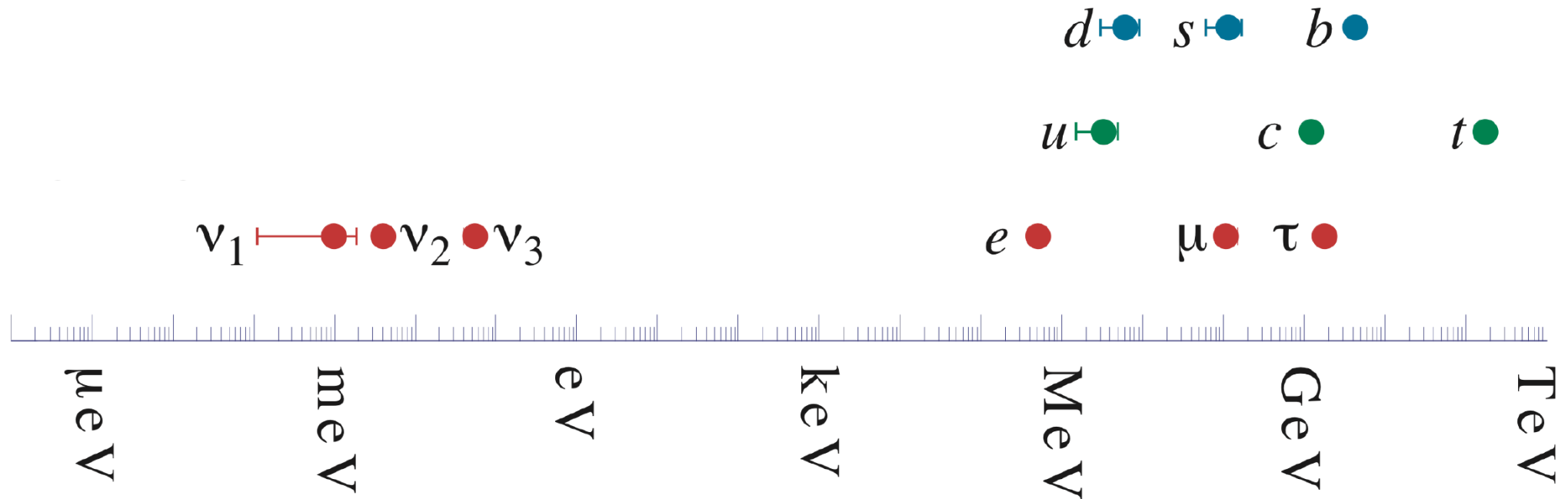
*Not enough deviation from
thermal equilibrium in the SM!!*

Observed
Baryon asymmetry
can not be generated
in the SM

Leptogenesis



Why are neutrinos so light?

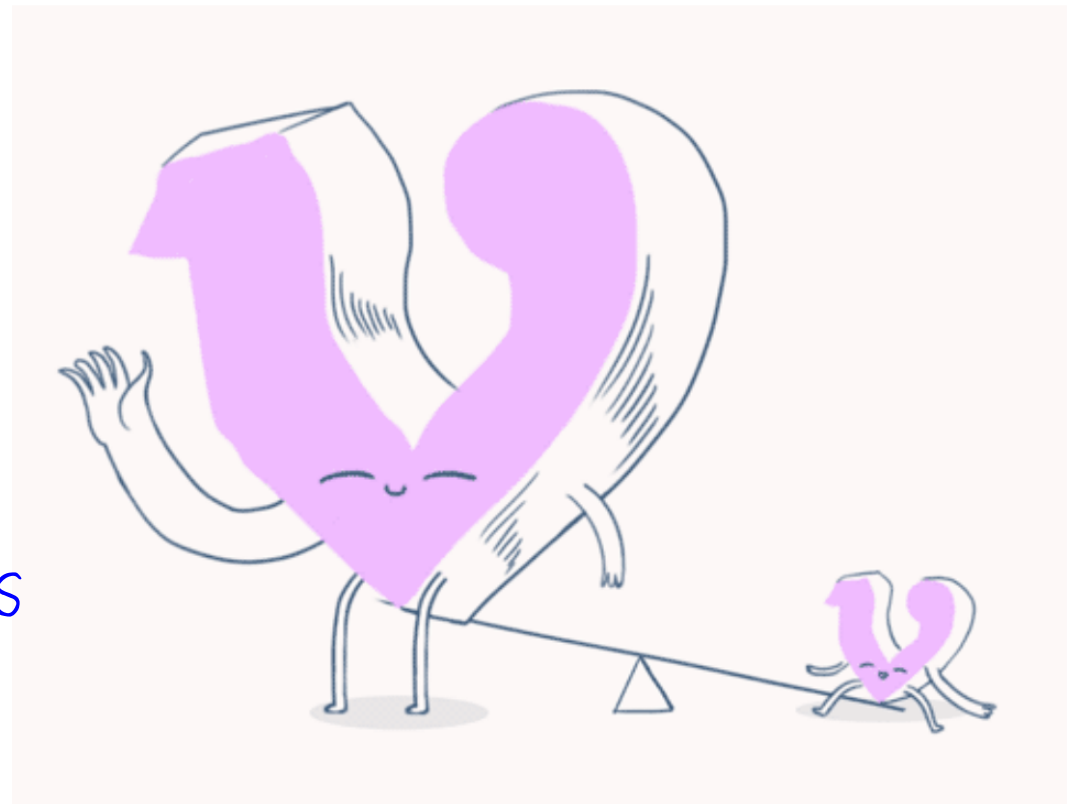


Seesaw Model

Simplest extension of SM able to account for neutrino masses. Consists in the addition of **heavy fermion singlets** (N_R) to the SM field content:

$$m_\nu \sim Y^2 v^2 / \textcircled{M}$$

↓
New Physics
Scale



$\textcircled{N_R}$

$\textcircled{\nu_L}$

Minkowski 77; Gell-Mann, Ramond, Slansky 79
Yanagida 79; Mohapatra, Senjanovic 80.

Seesaw Model

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$$\mathcal{L} = \mathcal{L}_{\mathcal{SM}} + \mathcal{L}_{\mathcal{K}} - \frac{1}{2} \overline{N_i^c} M_{ij} N_j - Y_{i\alpha} \overline{N_i} \tilde{H}^\dagger L_\alpha + h.c.$$

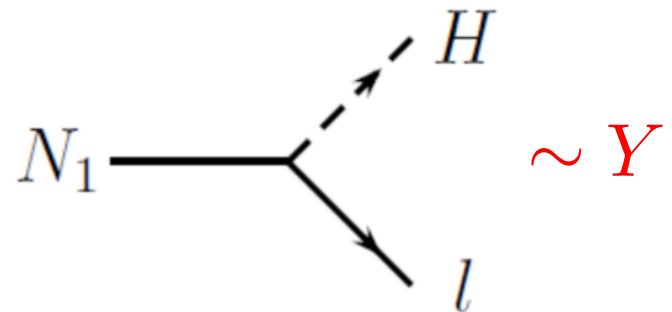
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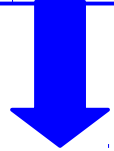
Interaction with SM particles
via Yukawa coupling



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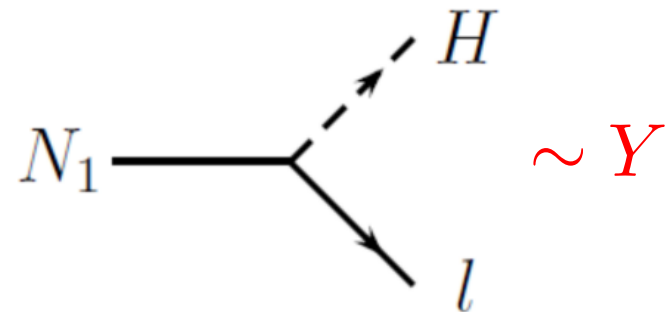


Majorana mass
term



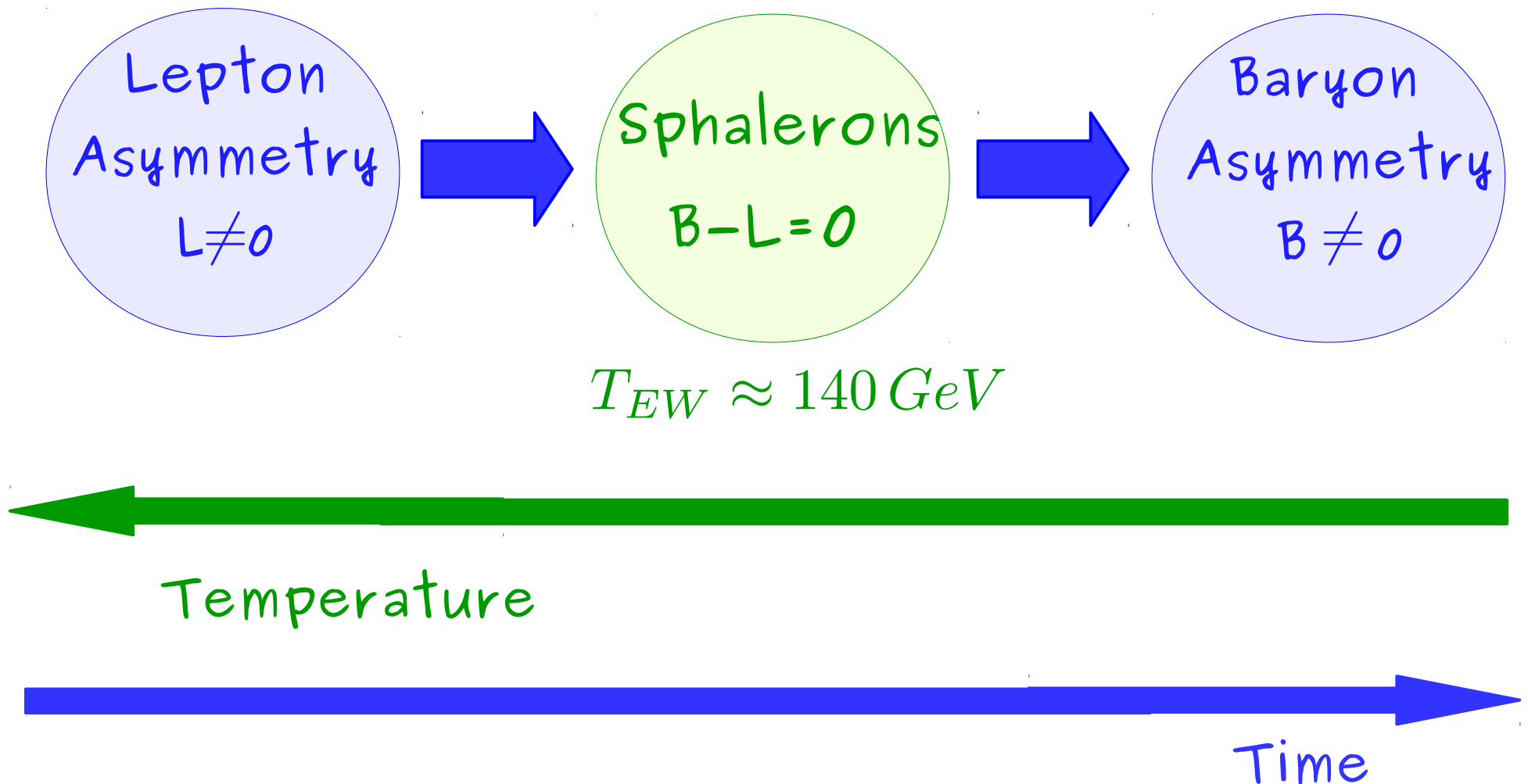
Interaction with SM particles
via Yukawa coupling

Lepton
Number
Violation



Standard Leptogenesis

Right-handed neutrino decays which violate CP and lepton number generate **lepton asymmetry** before $T_{EW} \approx 140 \text{ GeV}$



Sakharov conditions

1

Baryon number violation

If baryon asymmetry is conserved, no baryon number can be generated



OK in
the SM



Sphalerons
@ $T \approx 140 \text{ GeV}$

Sakharov conditions

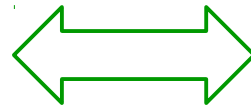
② C and CP violation



*There is CP violation
in the SM quark sector*

*Not enough CP violation
in SM !!*

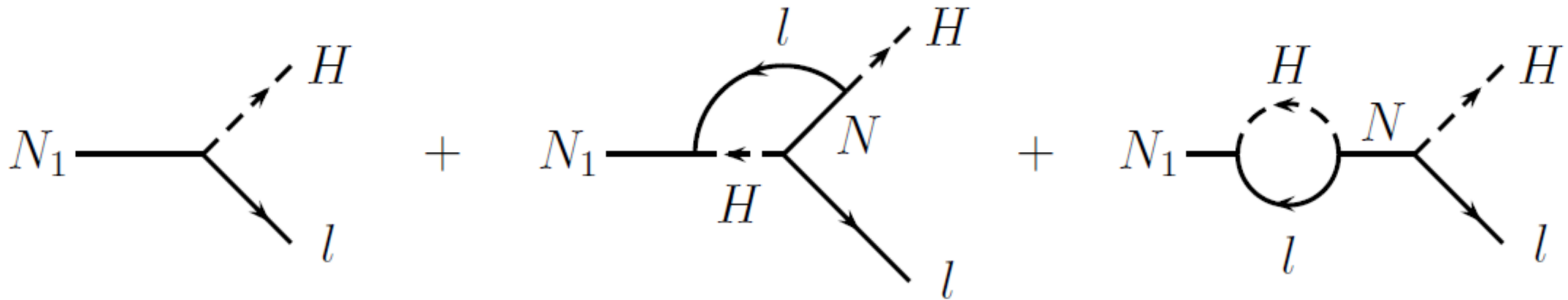
if it
also exists
in lepton
sector



enough
CP violation

Sakharov conditions

② C and CP violation

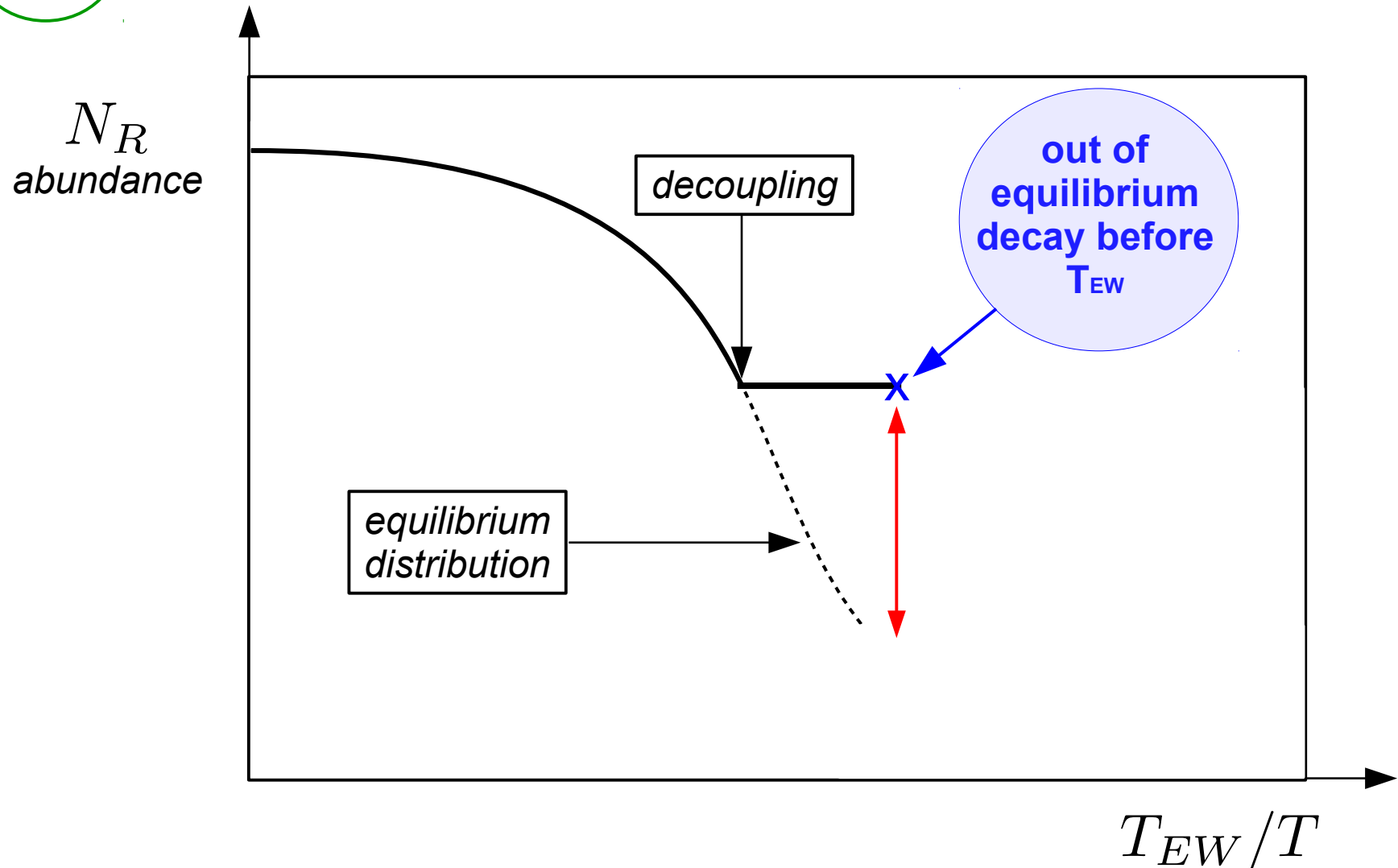


At one loop: CP asymmetry generated via interference effects

$$\epsilon = \frac{\Gamma(N \rightarrow lH) - \Gamma(N \rightarrow l^c H^c)}{\Gamma(N \rightarrow lH) + \Gamma(N \rightarrow l^c H^c)} \propto \text{Im}(Y^\dagger Y)_{ij}^2$$

Sakharov conditions

③ Departure from thermal equilibrium



Dependence on leptonic CP phases

- It is encoded in the Yukawa couplings:

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- Generation of light neutrino masses imposes constraints on Yukawa couplings from neutrino oscillation data

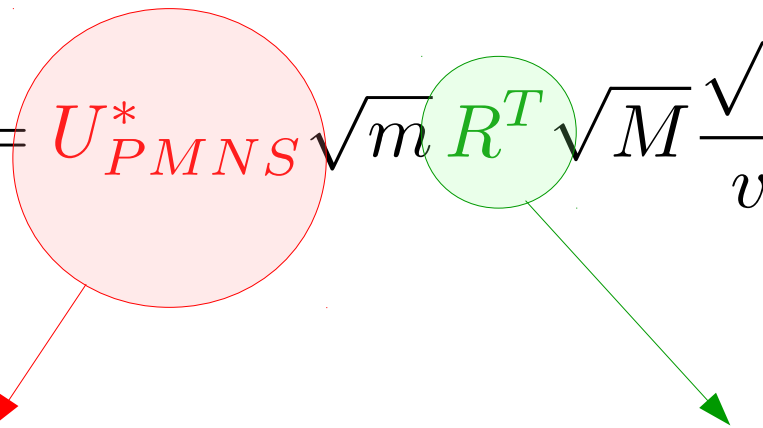
$$m_\nu = \frac{v^2}{2} Y M^{-1} Y^T = U_{PMNS} m U_{PMNS}^T$$

Dependence on leptonic CP phases

- It is encoded in the Yukawa couplings:

$$Y = U_{PMNS}^* \sqrt{m} R^T \sqrt{M} \frac{\sqrt{2}}{v}$$

Casas-Ibarra



Light Sector

- Majorana phases
(experimentally challenging)
- Dirac CP phase δ**
(accessible via neutrino oscillations)

Heavy Sector

- Complex $3 \times n_R$ orthogonal matrix

of extra CP phases

$n_R = 3$	3
$n_R = 2$	1

Dependence on leptonic CP phases

- The Baryon asymmetry generated depends on both light and heavy CP phases.

Light Sector Heavy Sector

$$Y = U_{PMNS}^* \sqrt{m} R^T \sqrt{M} \frac{\sqrt{2}}{v}$$

Casas-Ibarra

- The Dirac CP phase becomes particularly relevant mainly in two cases:

①

Flavor Models

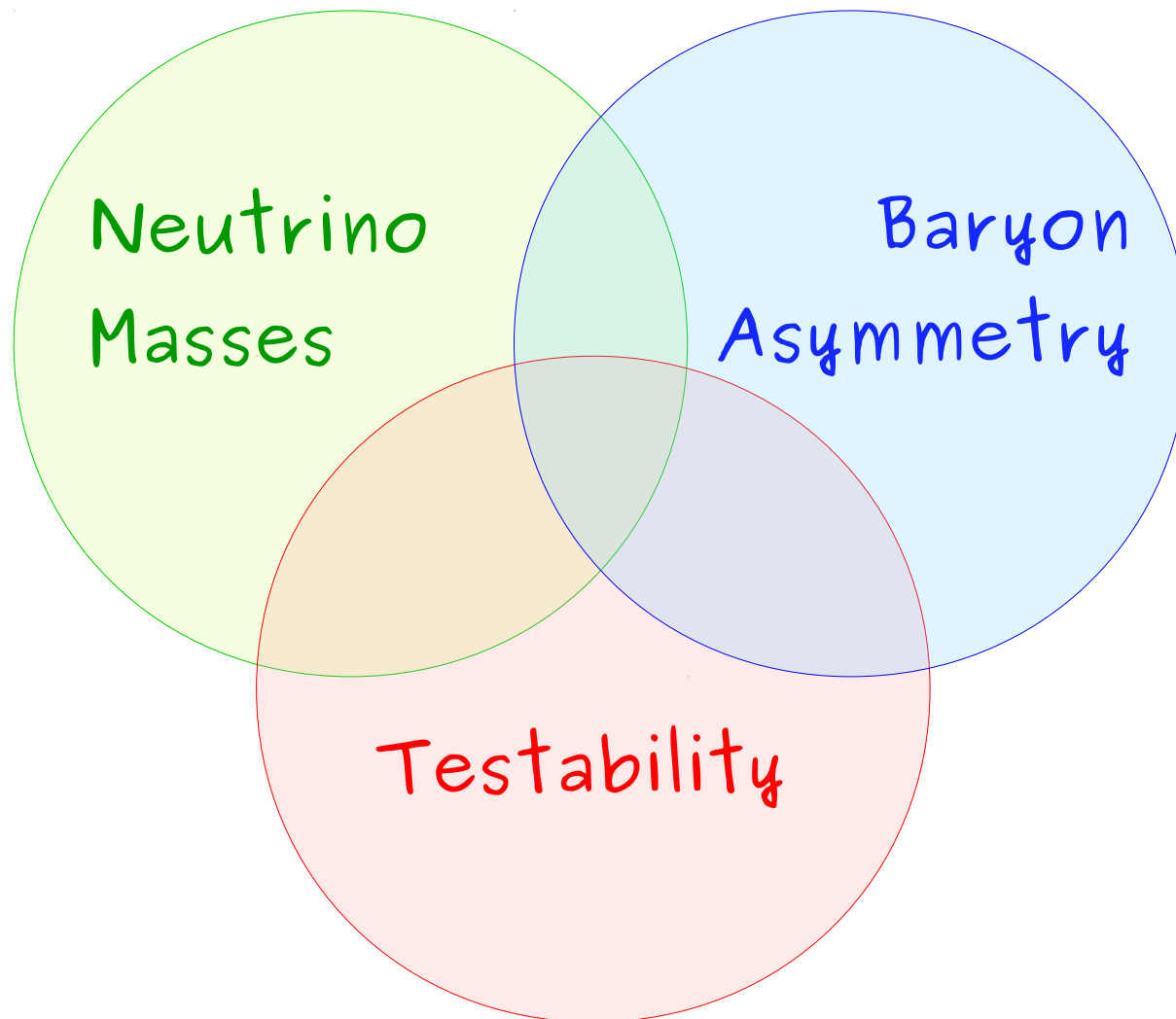
*Yukawa structure (R matrix) is constrained by *flavor symmetries**

②

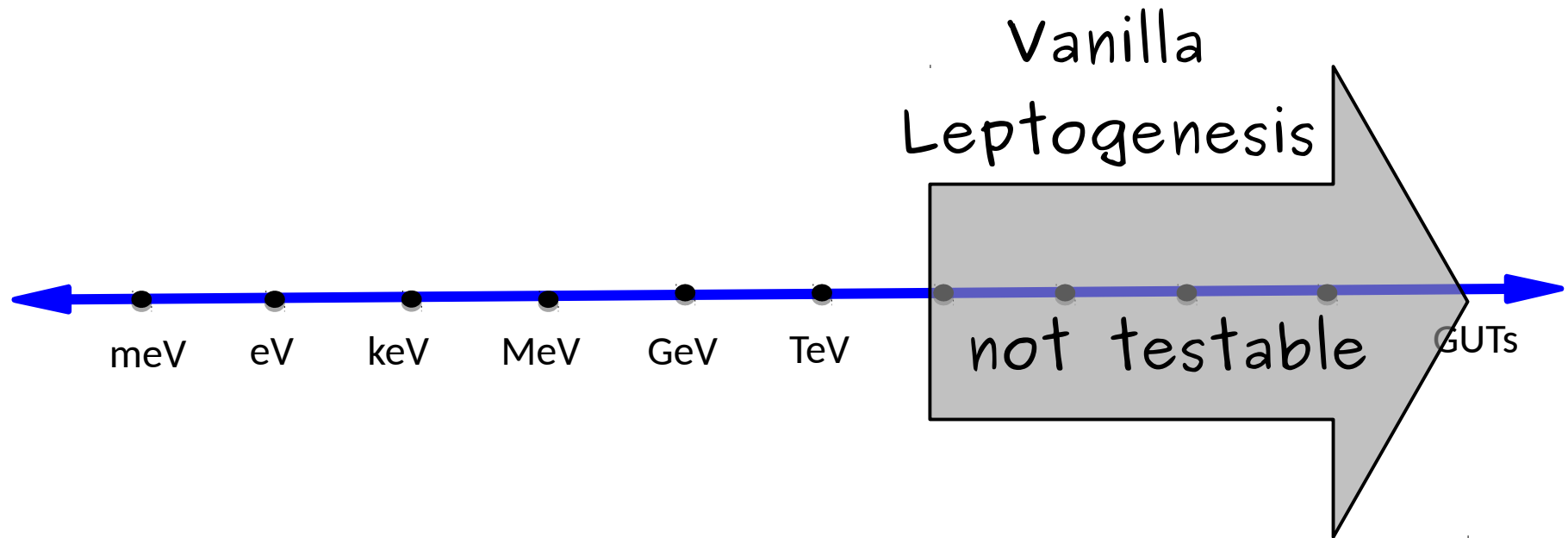
Minimal model with 2 N_R

Small number of phases: 1 Dirac + 1 Majorana + 1 Heavy

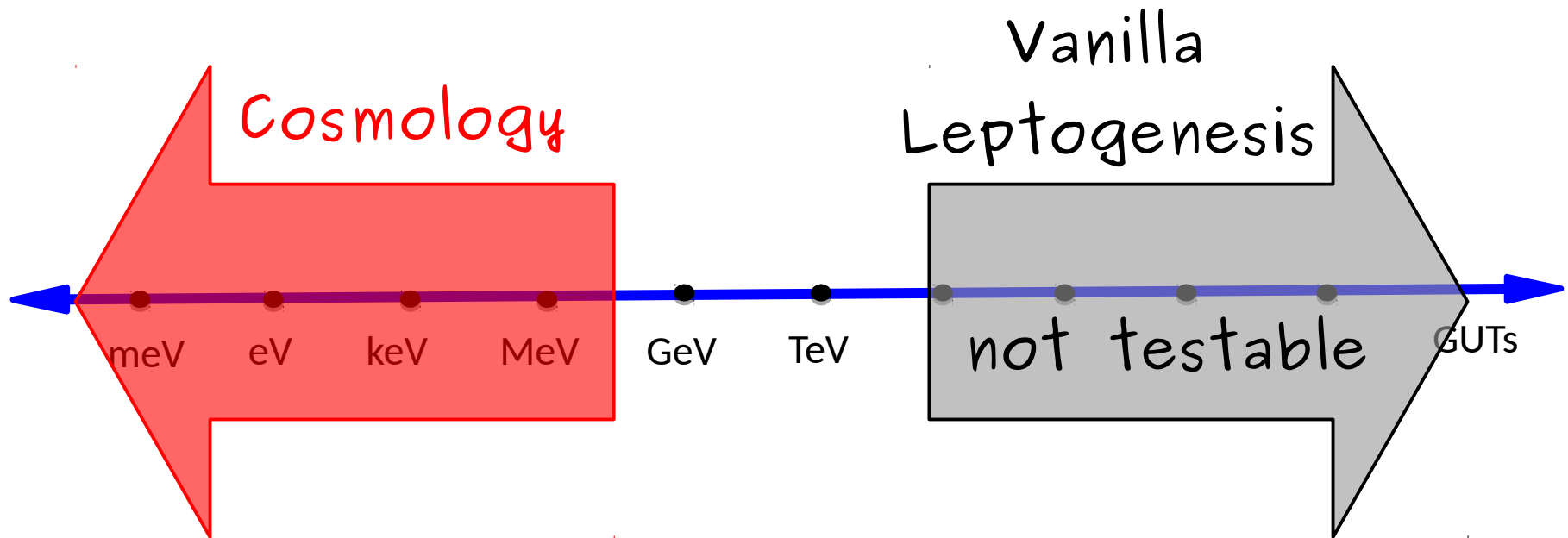
Testable Leptogenesis



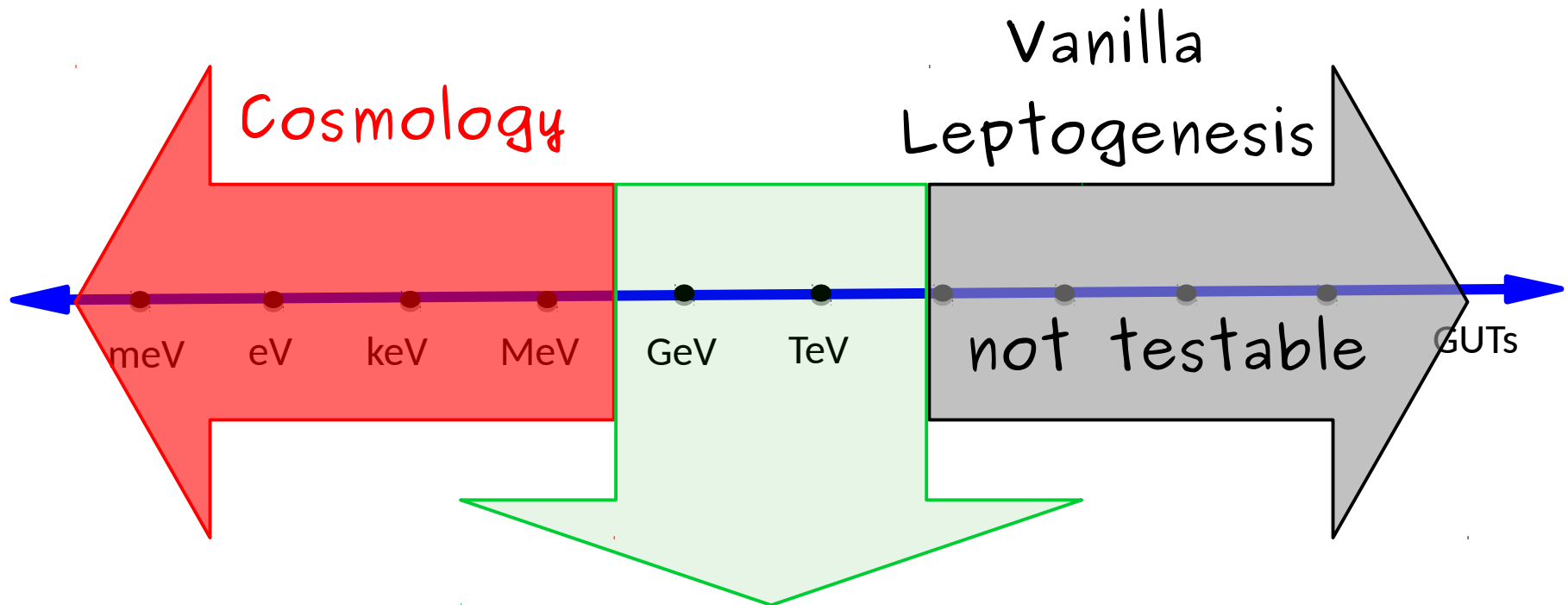
The New Physics Scale



The New Physics Scale



The New Physics Scale



- $0\nu\beta\beta$ decay
- LFV, SHiP, LHC
- FCC...

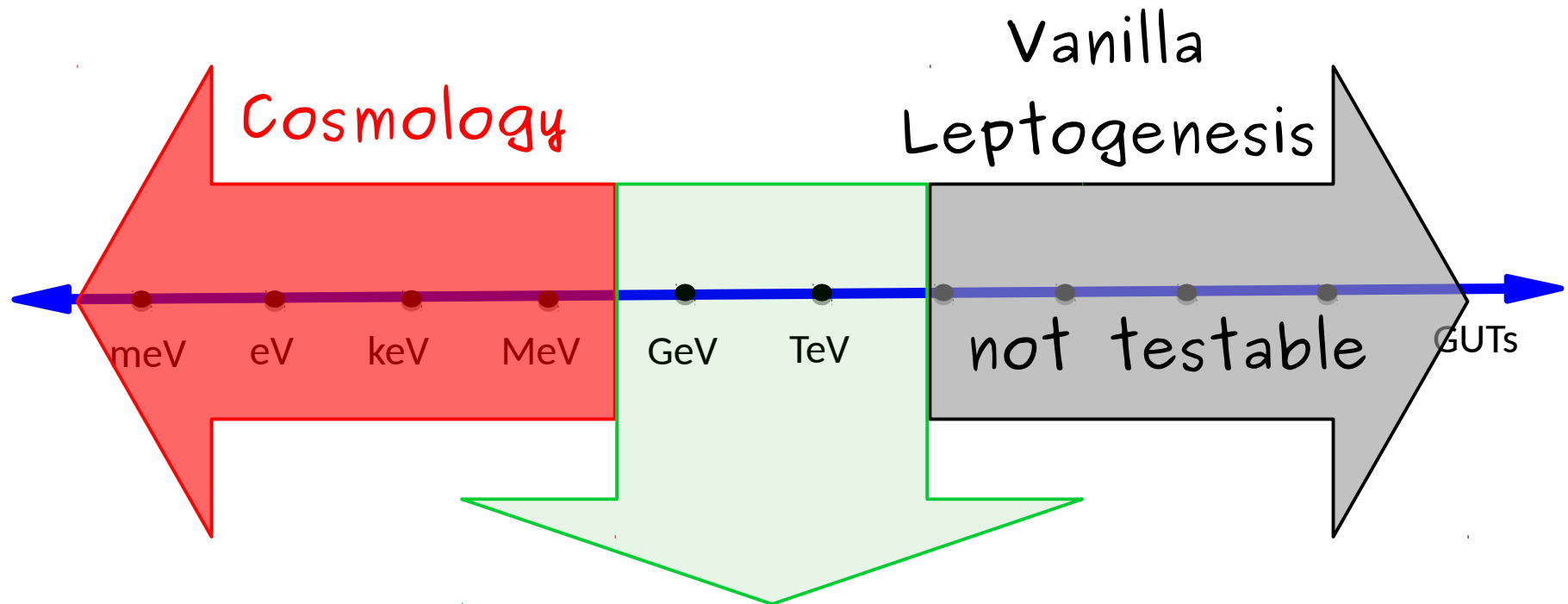
- Leptogenesis
via Oscillations
- $M=0.1-100\text{GeV}$

Akhmedov, Rubakov, Smirnov (ARS)
Asaka, Shaposhnikov (AS)

- Resonant
Leptogenesis
- $M>100\text{GeV}$

Pilaftsis

The New Physics Scale



- $0\nu\beta\beta$ decay

LFV, SHiP, LHC
FCC...

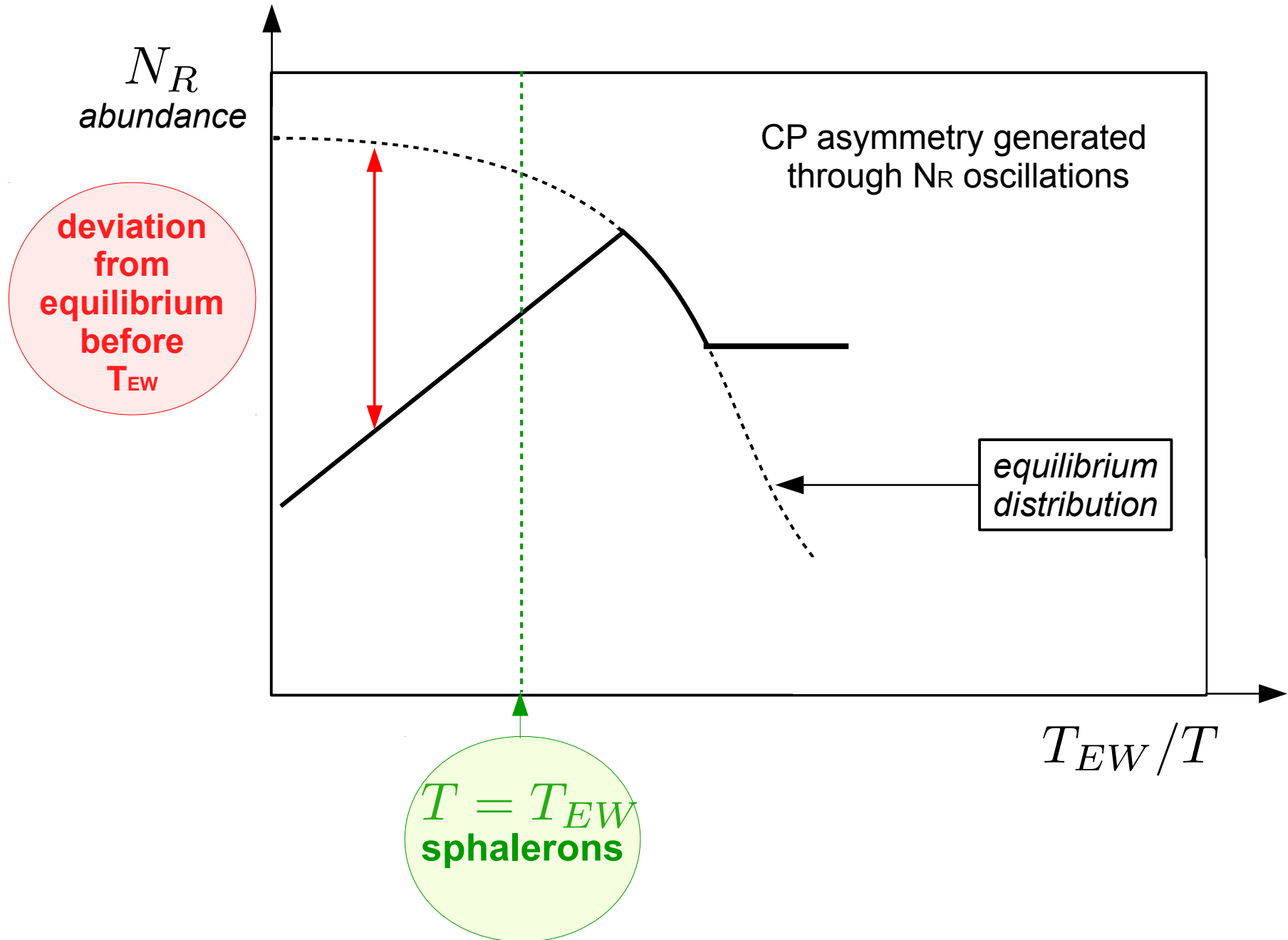
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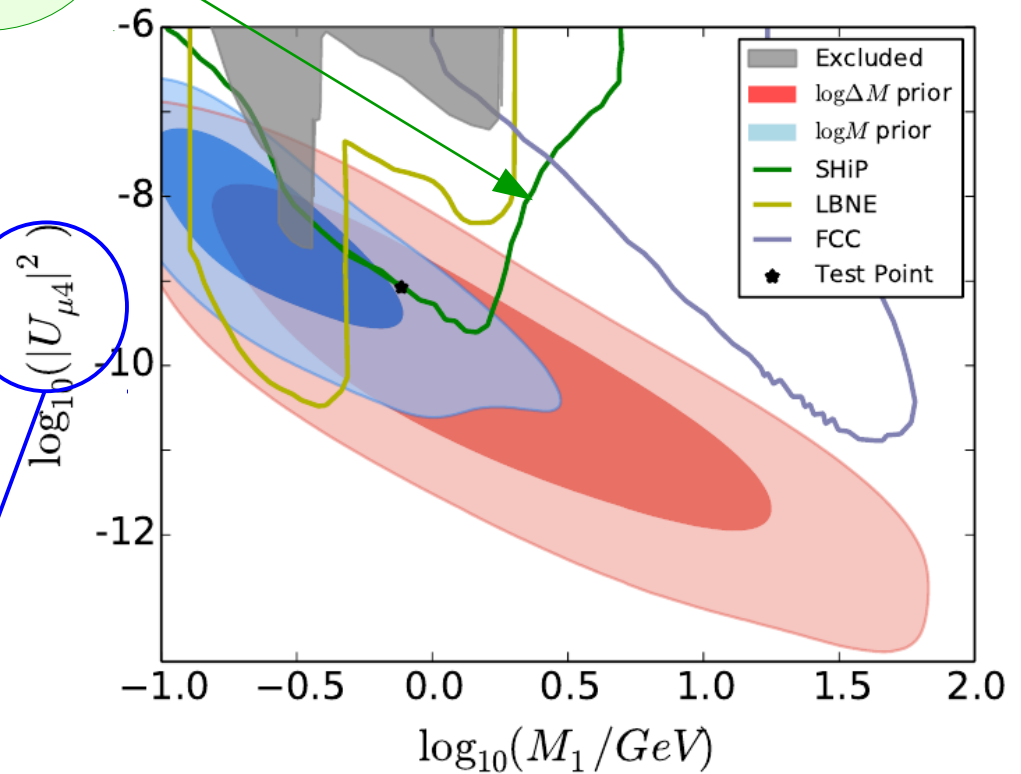
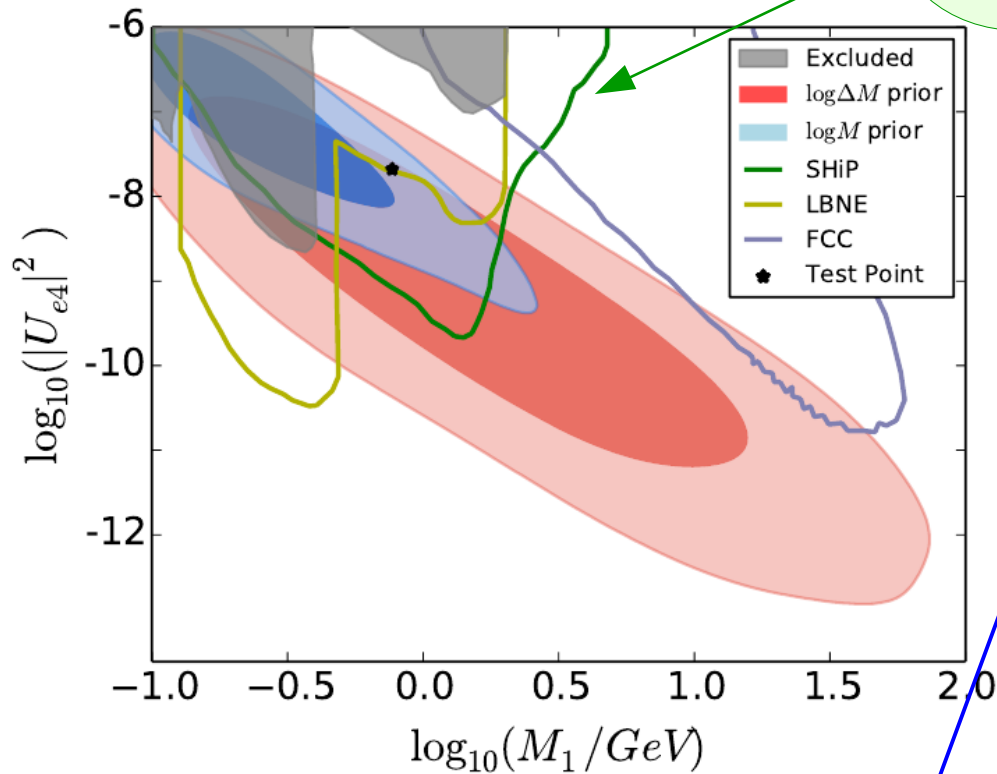
Pilaftsis

Low Scale Leptogenesis (ARS)



Leptogenesis in Minimal Model $n_R=2$

SHiP



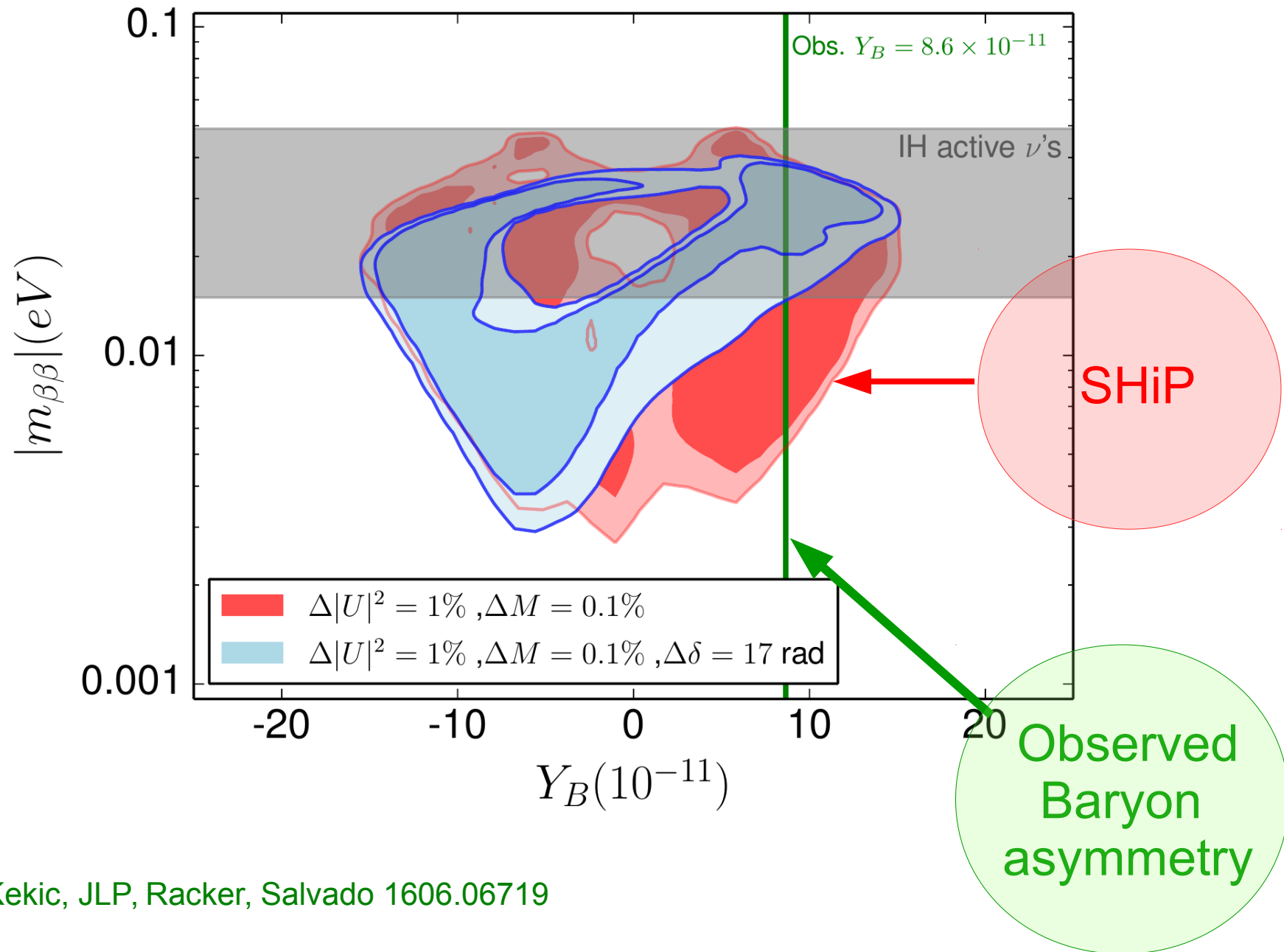
Inverted light neutrino ordering

Yv/M

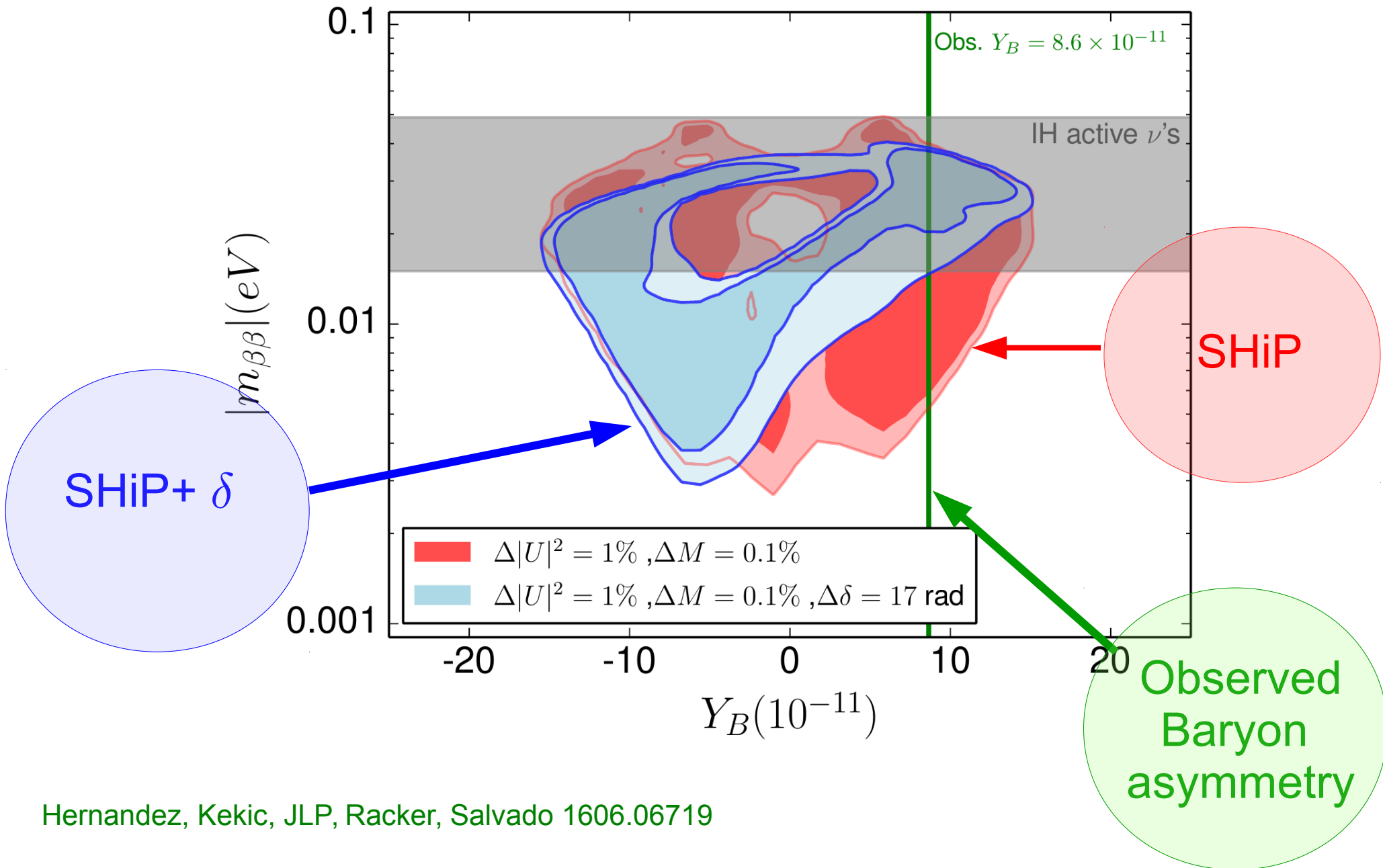
Can we experimentally
determine Baryon asymmetry
generated in minimal model



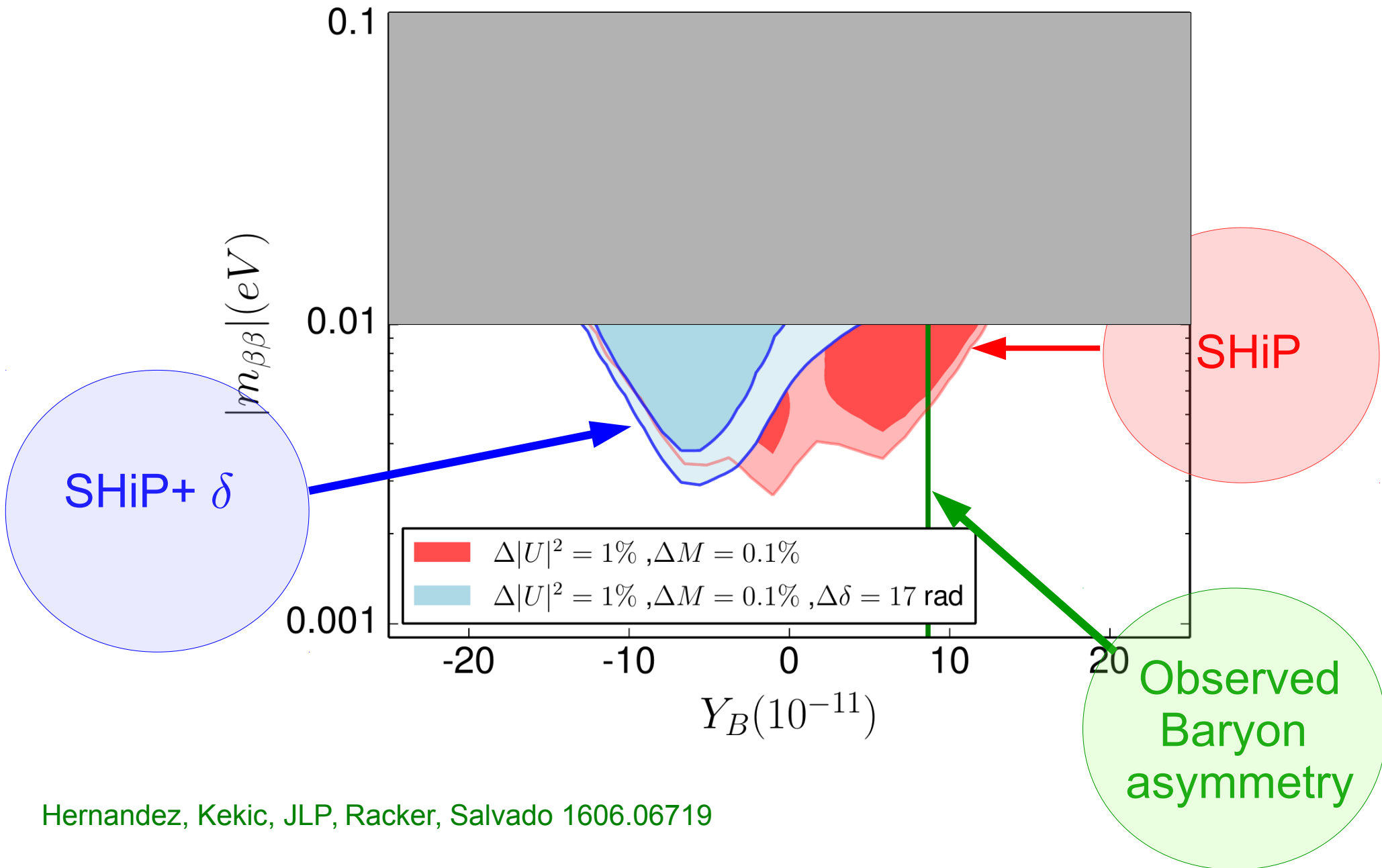
Determining Y_B in minimal model $n_R=2$



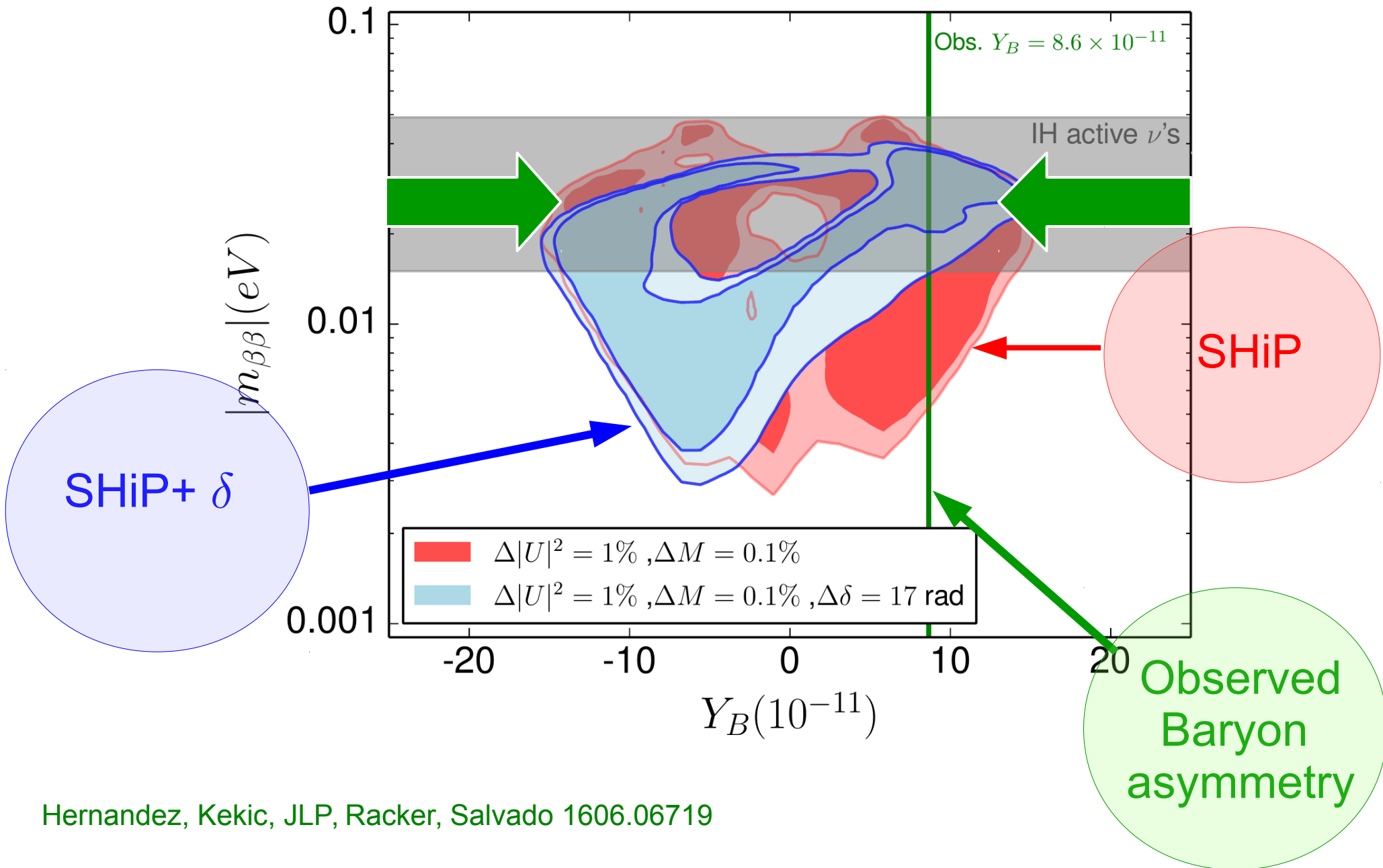
Determining Y_B in minimal model $n_R=2$



Determining Y_B in minimal model $n_R=2$



Determining Y_B in minimal model $n_R=2$



Conclusions

- Observed universe mainly made out of only matter!
- Simplest extension of SM able to account for neutrino masses
*can explain matter asymmetry of our universe
via Leptogenesis*
- **Leptonic CP violation required.**
- *Baryon asymmetry* generated generically *depends on CP phases from both light and heavy sector.*
- **Dirac CP phase particularly relevant in minimal model with two right-handed neutrinos and models including flavor symmetries.**
- Low Scale Minimal Seesaw Models are testable and highly predictive:
the mechanisms generating neutrino masses and Baryon asymmetry can be potentially tested.

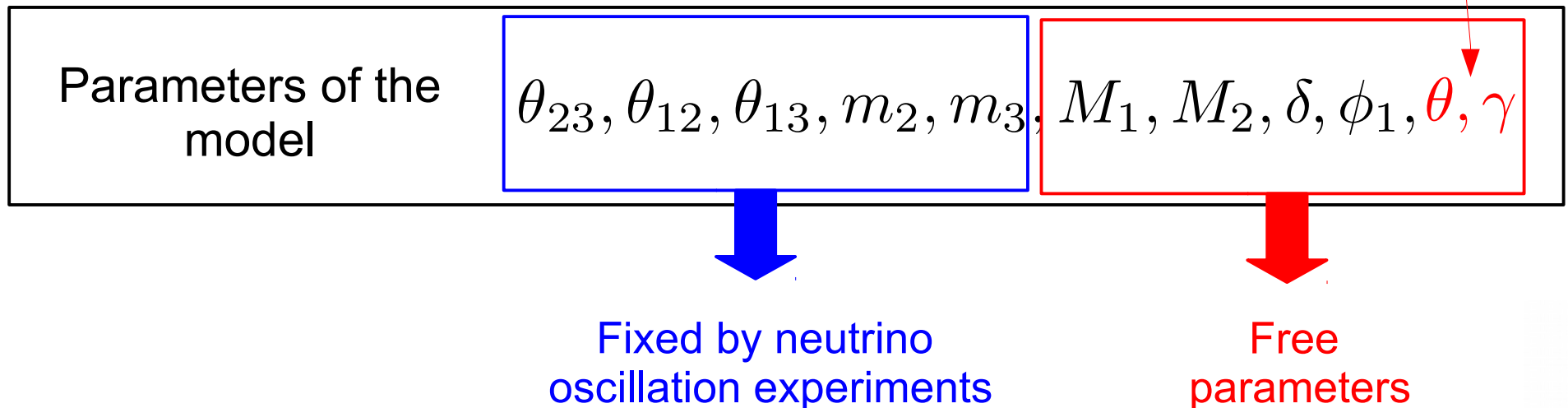
Leptogenesis in Minimal Model $n_R=2$

$$Y_B^{\text{exp}} \simeq 8.65(8) \times 10^{-11}$$

Bayesian posterior probabilities (using nested sampling Montecarlo MultiNest)

$$\log \mathcal{L} = -\frac{1}{2} \left(\frac{Y_B(t_{\text{EW}}) - Y_B^{\text{exp}}}{\sigma_{Y_B}} \right)^2.$$

Casas-Ibarra
 $R(\theta + i\gamma)$



Determining γ_B in minimal model $n_R=2$

- Baryon asymmetry depends on all the unknown parameters

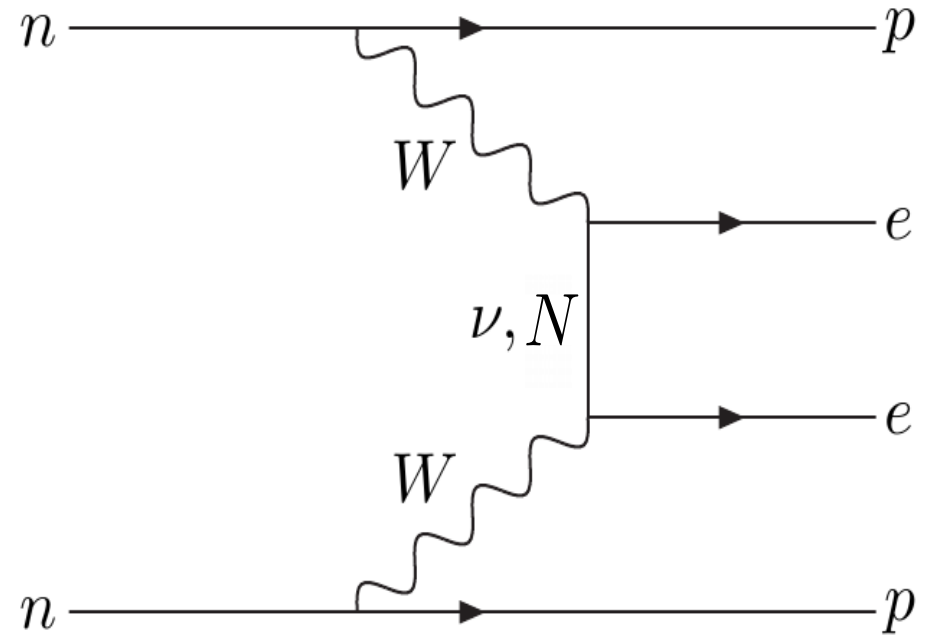
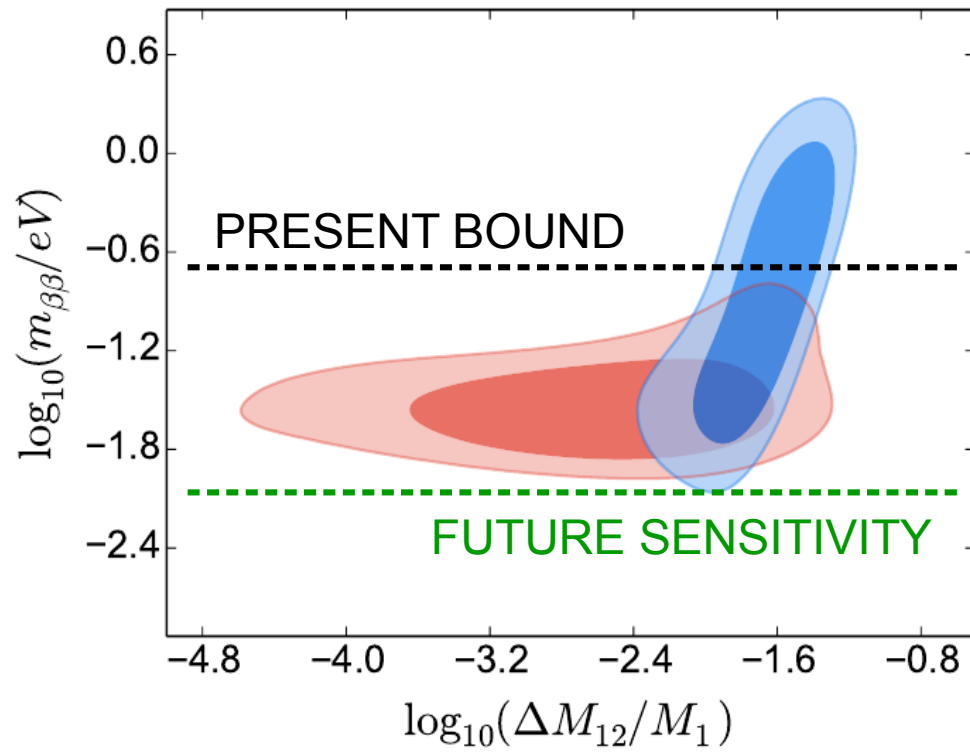
- SHiP sensitivity $\iff |U_{\alpha j}^2| \gg m_\nu/M$

SHiP sensitive to $|U_{\alpha j}|(\delta, \phi_1, \gamma), M_j$

$$(U_{\alpha j})^2 \propto \boxed{e^{-2\theta i}} \boxed{e^{2\gamma} f(\delta, \phi_1, M_j)}$$

Neutrinoless double beta decay sensitive to θ through interference between light and heavy contribution

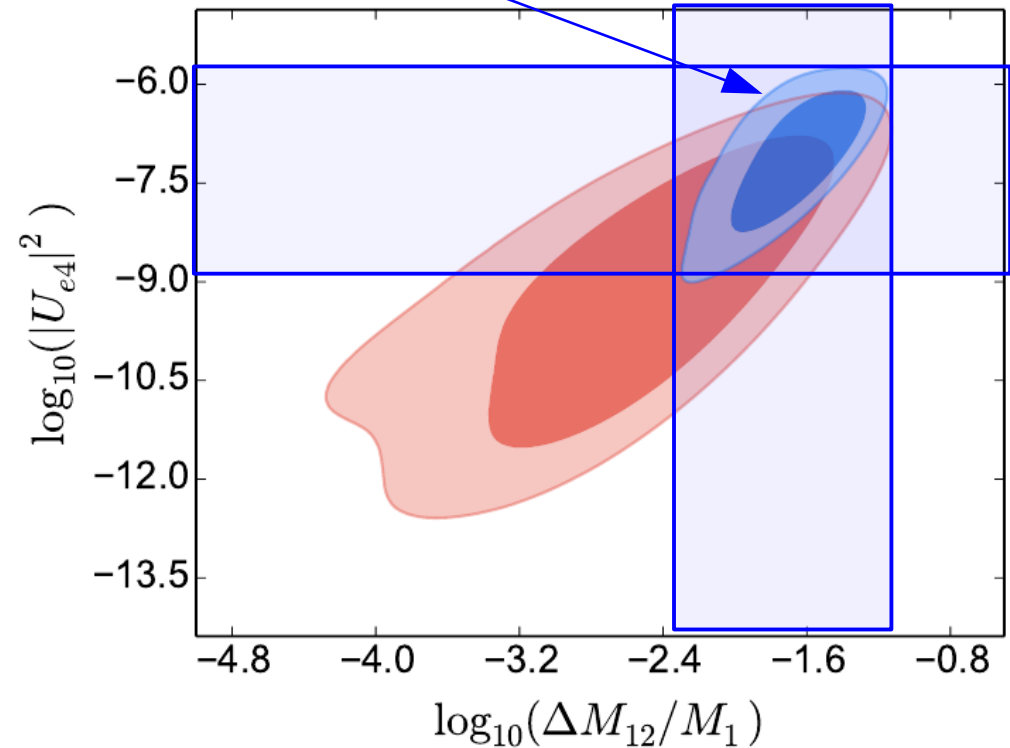
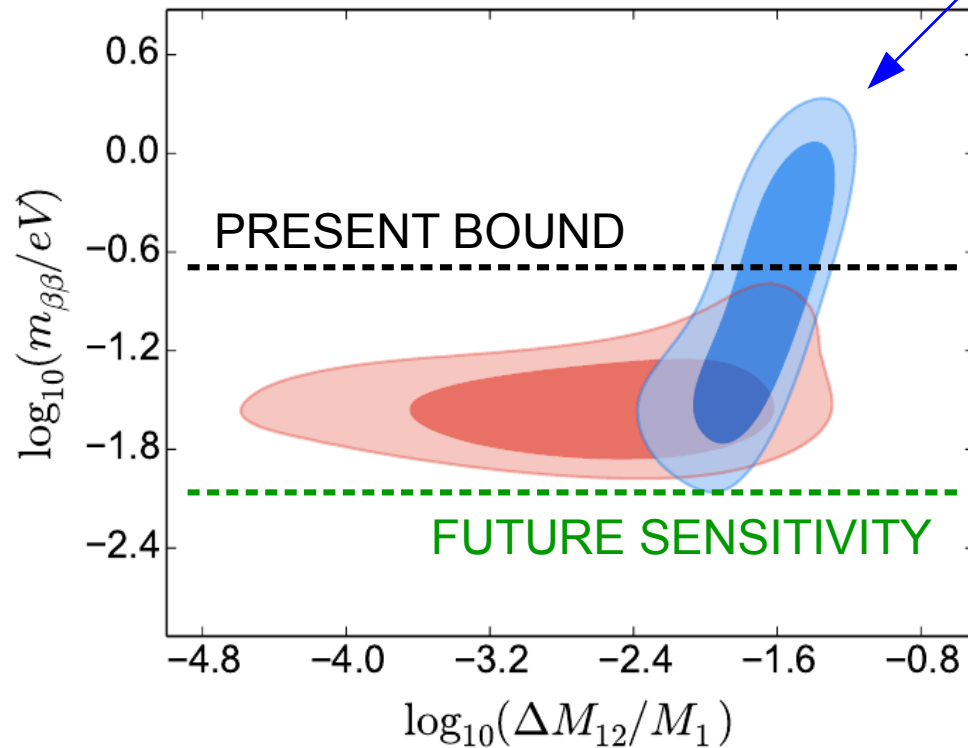
Determining γ_B in minimal model $n_R=2$



Inverted light neutrino ordering (IH)

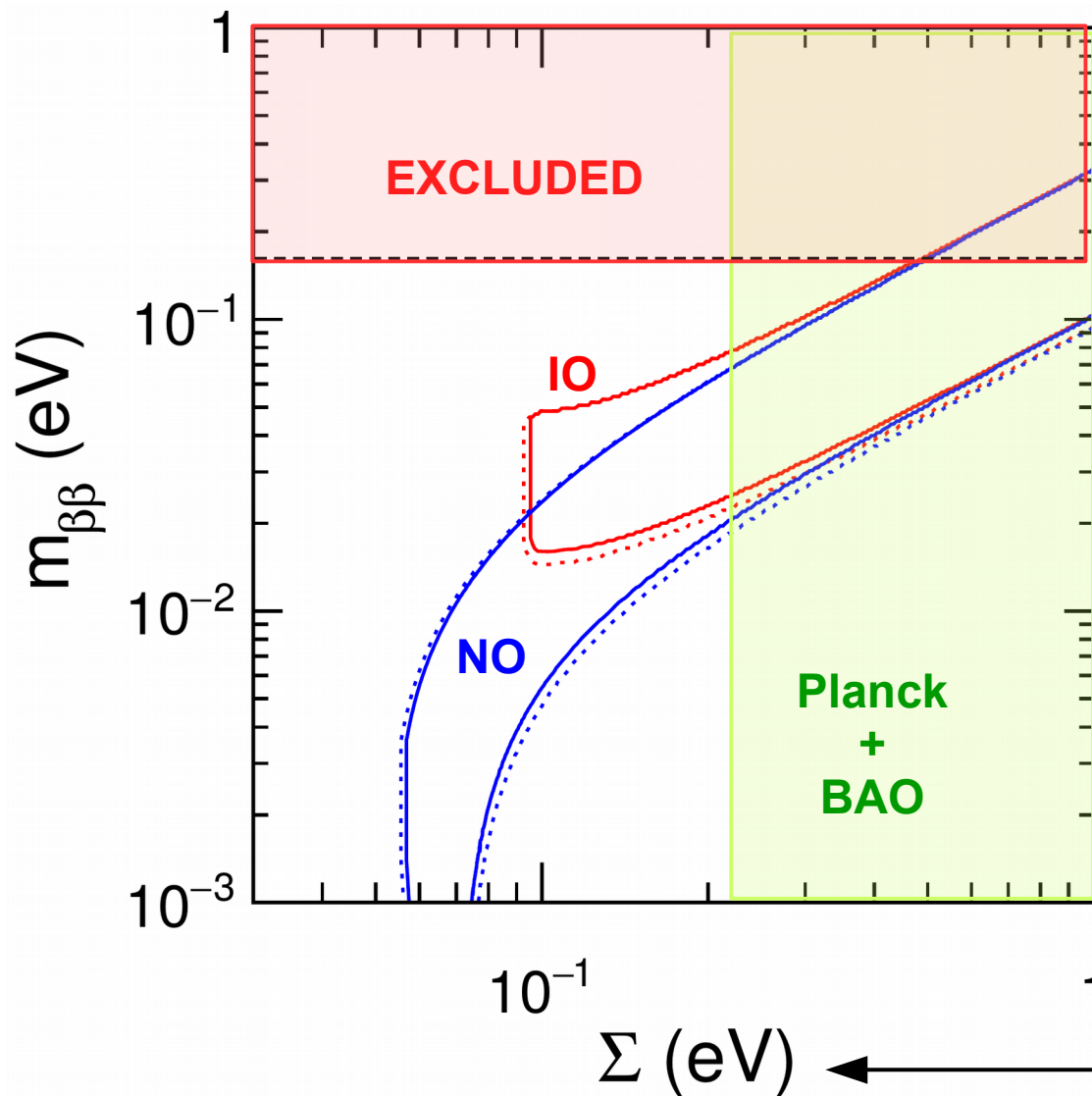
Leptogenesis in Minimal Model $n_R=2$

Non very degenerate solutions



Inverted light neutrino ordering (IH)

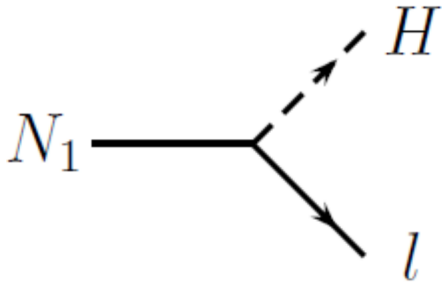
Neutrinoless double beta decay



- Outstanding complementarity with ν -oscillations, Katrin and cosmology.
- *Extremely relevant input in order to probe New Physics models responsible for ν mass generation.*

Sakharov conditions

② C and CP violation



At tree level the rates $\Gamma(N \rightarrow lH)$ and $\Gamma(N \rightarrow l^c H^c)$ are identical

No CP
Asymmetry

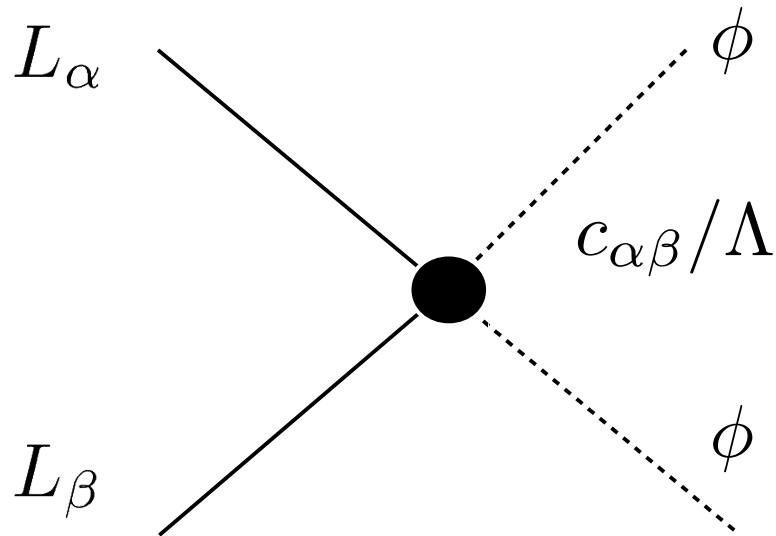
$$\epsilon = \frac{\Gamma(N \rightarrow lH) - \Gamma(N \rightarrow l^c H^c)}{\Gamma(N \rightarrow lH) + \Gamma(N \rightarrow l^c H^c)} = 0$$

The neutrino mass problem

- Consider SM as a low energy effective theory. With the SM field content, the lowest dimension effective operator is the following (d=5):

$$\frac{c_{\alpha\beta}}{\Lambda} \left(\overline{L^c}_\alpha \tilde{\phi}^* \right) \left(\tilde{\phi}^\dagger L_\beta \right) \xrightarrow{\text{SSB}} \frac{cv^2}{\Lambda} \overline{\nu}_\alpha^c \nu_\alpha$$

Weinberg 76

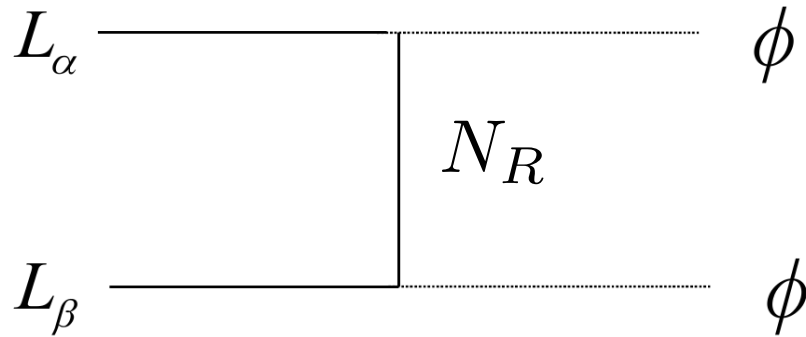


☺ Smallness of neutrino masses can be explained

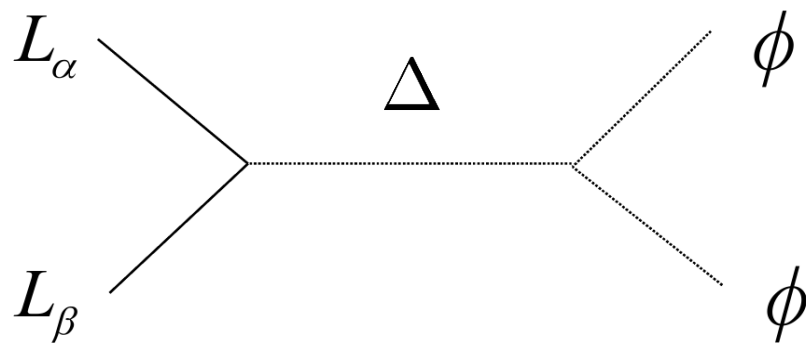
☺ Majorana masses
Neutrinos their own antiparticle

→ $0\nu\beta\beta$ decay

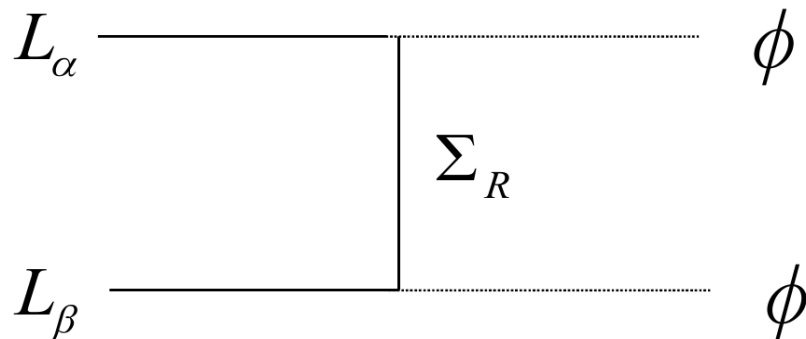
Seesaw Models



Heavy fermion singlet: N_R . **Type I seesaw.**
Minkowski 77; Gell-Mann, Ramond, Slansky 79; Yanagida 79; Mohapatra, Senjanovic 80.




Heavy scalar triplet: Δ . **Type II seesaw.**
Magg, Wetterich 80; Schechter, Valle 80; Lazarides, Shafi, Wetterich 81; Mohapatra, Senjanovic 81.



Heavy fermion triplet: Σ
Type III seesaw. Foot, Lew, Joshi 89

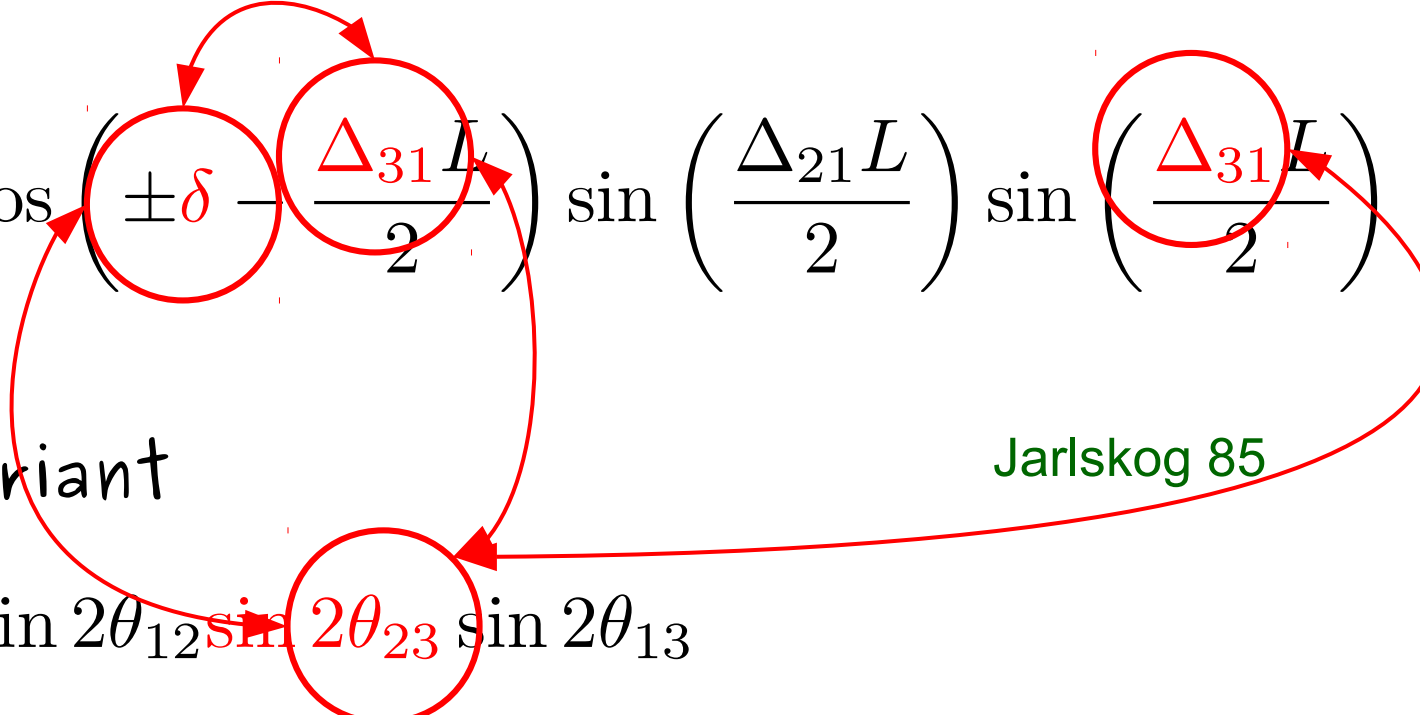
CP violation, Mass Ordering and Octant

$$P_{e\mu} - P_{\bar{e}\bar{\mu}} = \boxed{J} \cos\left(\pm\delta - \frac{\Delta_{31}L}{2}\right) \sin\left(\frac{\Delta_{21}L}{2}\right) \sin\left(\frac{\Delta_{31}L}{2}\right)$$


CP invariant

$$J \equiv c_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13}$$

Jarlskog 85



Bad News The neutrino ordering and the octant are still unknown
 Potential degeneracies