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# Latest Phase-II results and Prospects of CNO neutrino detection with **BOREXino**

**Xuefeng Ding**<sup>1,2</sup> on behalf of Borexino collaboration

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International symposium on neutrino frontiers 2018  
@ ICISE center, Quy Nhon, Vietnam 16–19 July 2018



# BOREXINO COLLABORATION



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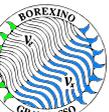
GRAN SASSO  
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Latest Phase-II results and Prospects of CNO, Xuefeng Ding  
ISoNF 2018 @ Quy Nhon, Vietnam 16–19 July 2018



# Outline



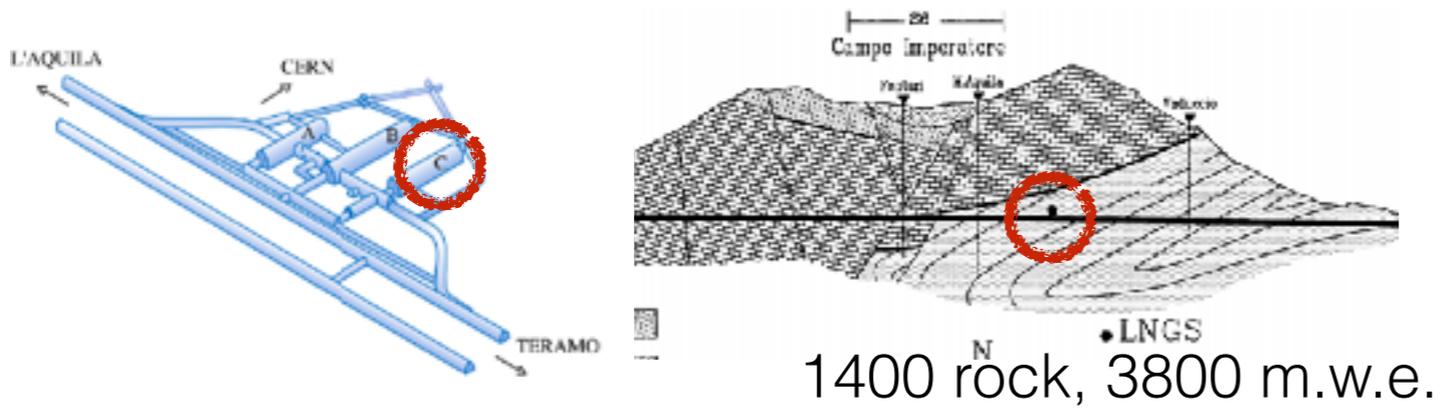
- Introduction
- **Full pp-chain** solar neutrino
- Prospects for **CNO** solar neutrino
- Geo-neutrinos
- Conclusion



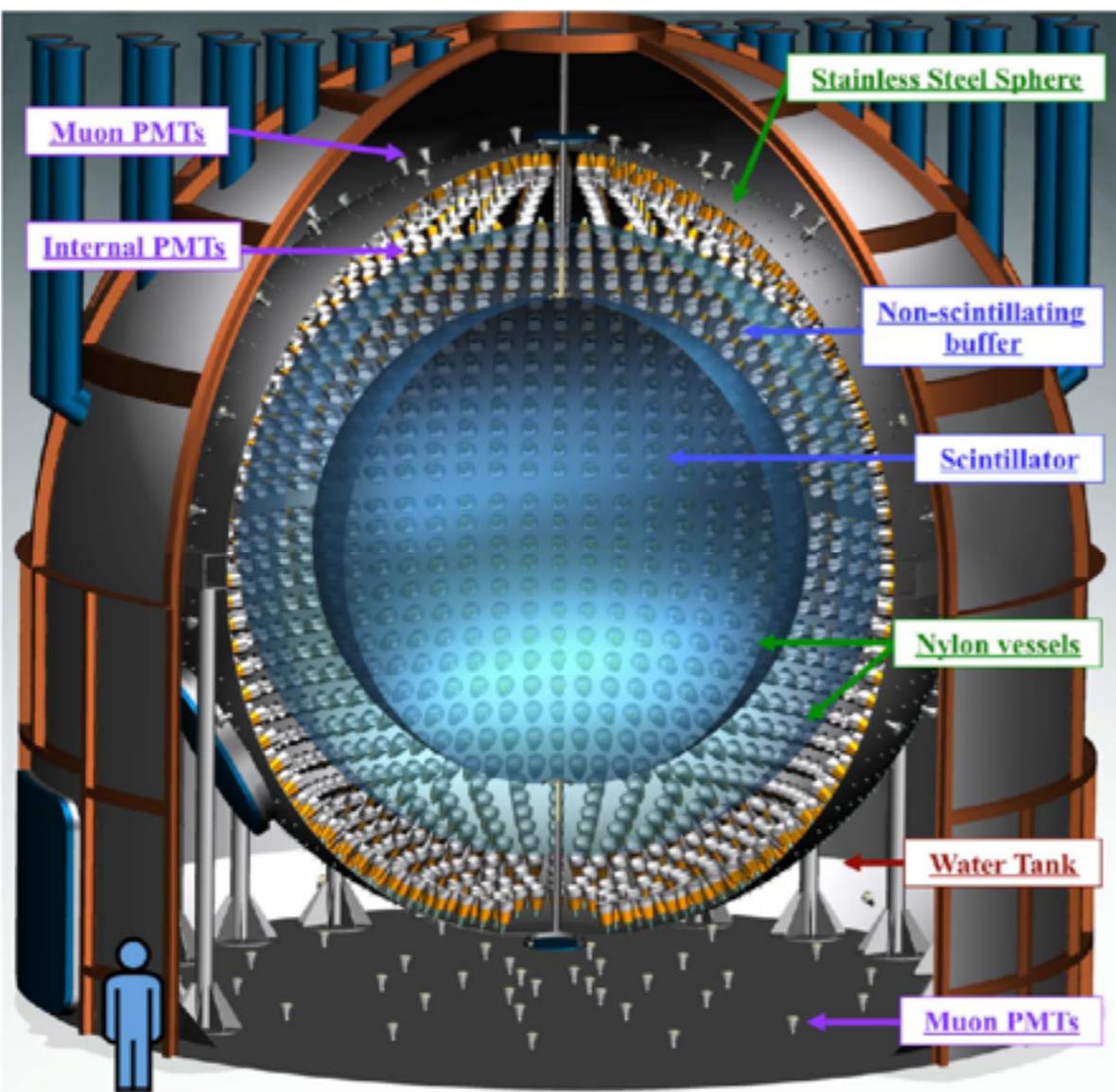
# Outline



- Introduction to Borexino experiment
- Full pp-chain solar neutrino
- Prospects for CNO solar neutrino
- Geo-neutrinos
- Conclusion



- @ LNGS, 3800 m.w.e.
- **Center detector:**
  - Liquid scintillator + PMTs
- **Important characteristics**
  - $\sigma_E$  5%,  $\sigma_v$  10 cm @ 1 MeV
  - IV ~300 ton, FV ~75 ton
  - LS  $^{238}\text{U}$ ,  $^{232}\text{Th}$  ~  $10^{-19}$  g/g





# Physics Program



2007 May-2010 May

## Phase-I

2010-2011

Purification + Calibration

2011 Dec-now

## Phase-II

- Be7** Phys. Rev. Lett. 107, 141302 (2011)
- pep** Phys. Rev. Lett. 108, 051302 (2012)
- pp** Nature 512, 383-386 (28 August 2014)
- 3 MeV B8** Phys.Rev.D82:033006 (2010)
- geo-neutrino** PLB 687, 299-340 (2010)
- Day-night symmetry** PLB 707-1,22-26, (2012)
- ...

**pp+Be7+pep+CNO** arxiv 1707.09279

**8B** arxiv 1709.00756

**neutrino magnetic moment** PRD 96, 091103 (2017)

**gravitational wave** ApJ 850-21 (2017)

**Be7 seasonal modulation** AP, 92, 21-29 (2017)

**gamma ray burst** AP, 86, 11-17, (2017)

**electric charge conservation** PRL 115,231802(2017)

**geo-neutrino** PRD 93, 031101 (2015)

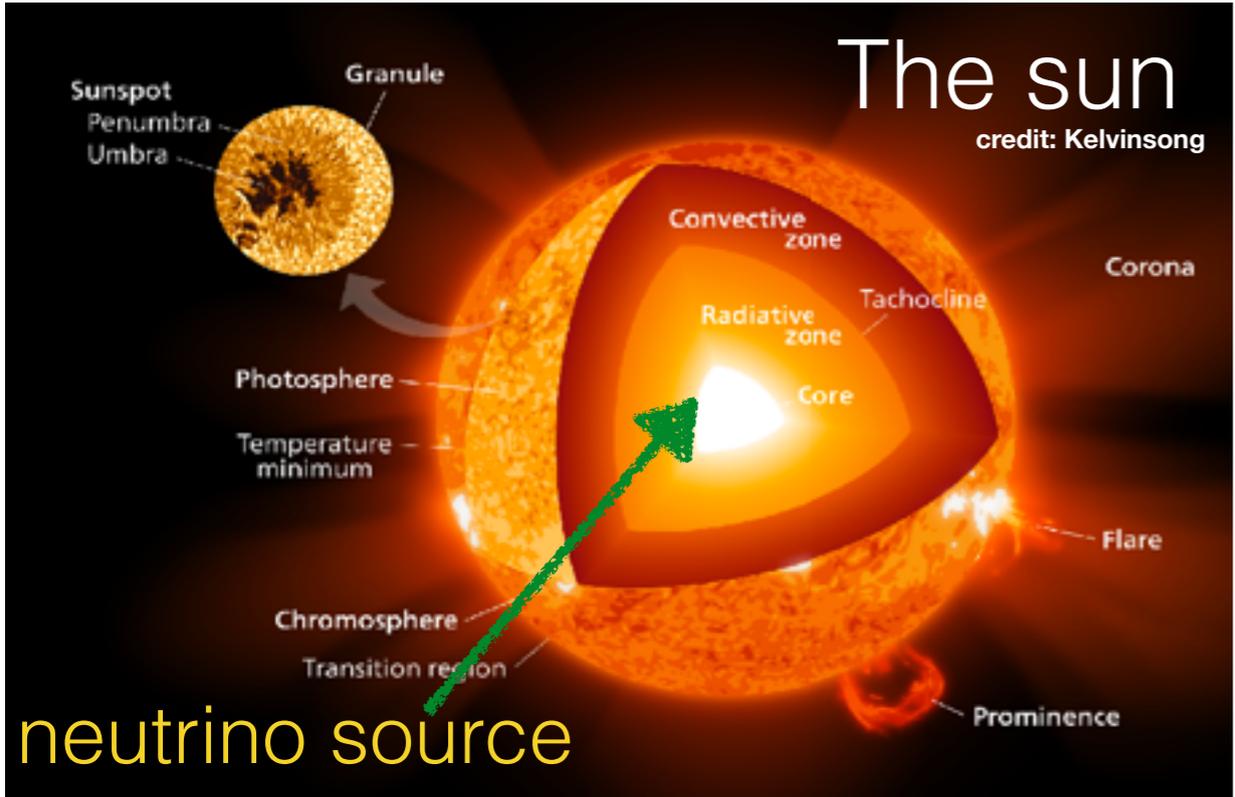
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# Outline

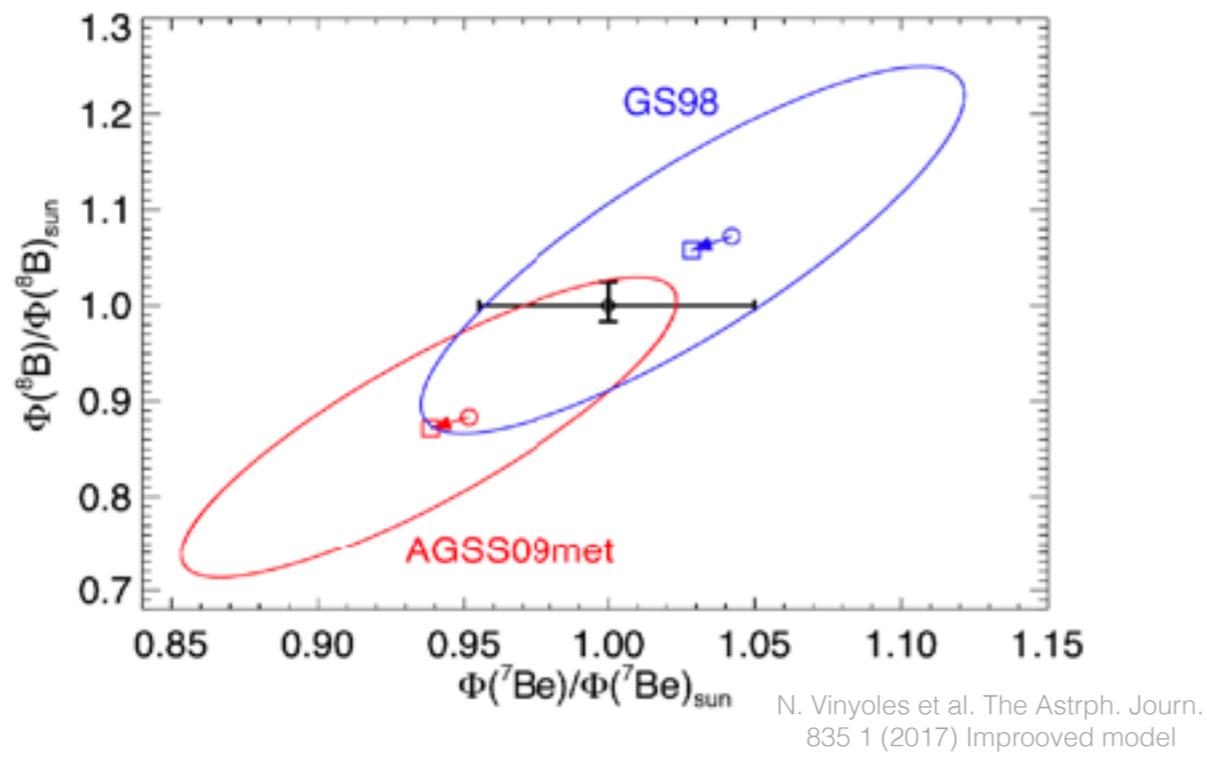


- Borexino experiment
- **Full** pp-chain solar neutrino
- Prospects for CNO solar neutrino
- Geo-neutrinos
- Conclusion

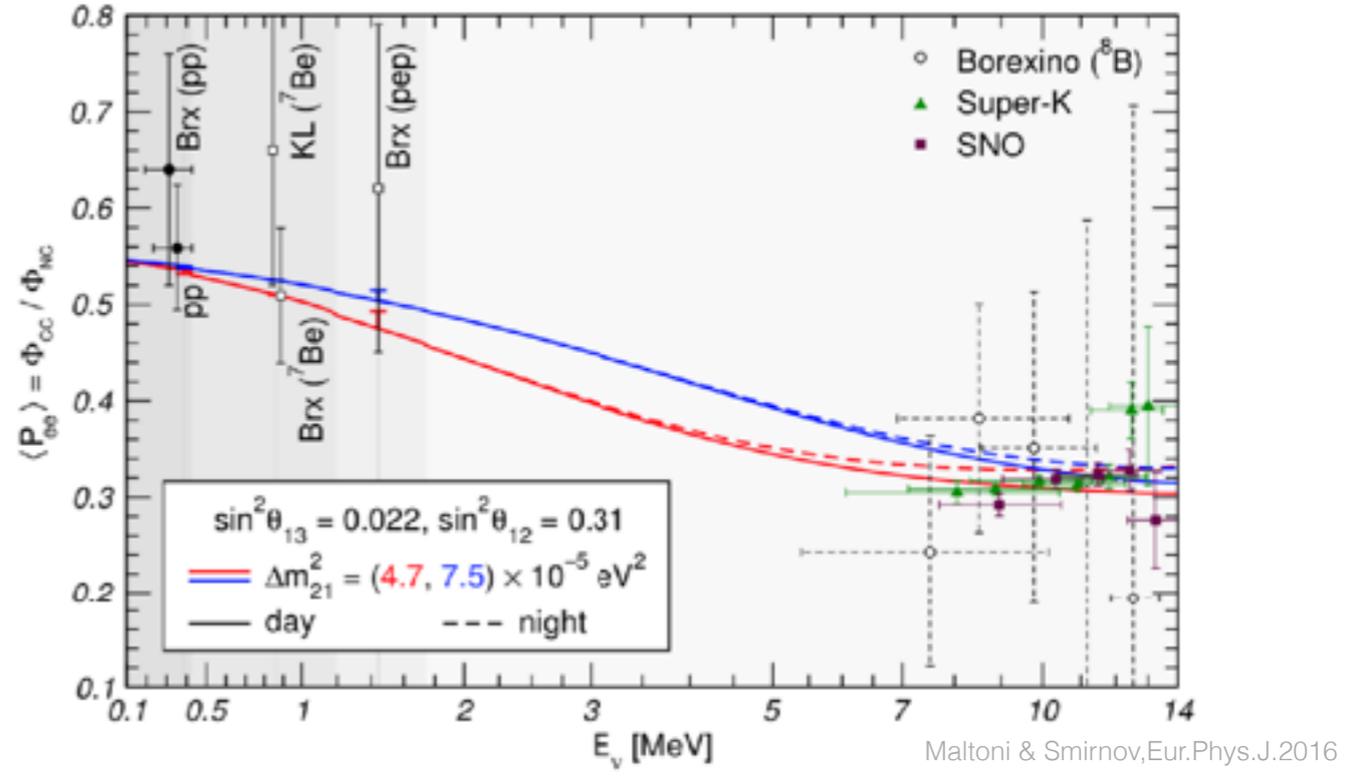


- Solar neutrino is produced in the **core region of the sun**. => study the core of the sun
- Solar neutrino propagate through **ultra-high-density region** and become **flavor-stable** => study MSW resonance

Two solar metallicity models



Solar vs global MSW-LMA survival prob.





# Full pp-chain solar neutrino



## Borexino experimental results

Solar $\nu$	Rate (cpd/100 t)	Flux ( $\text{cm}^{-2} \text{s}^{-1}$ )	Flux -SSM predictions ( $\text{cm}^{-2} \text{s}^{-1}$ )
$pp$	$134 \pm 10^{+6}_{-10}$	$(6.1 \pm 0.5^{+0.3}_{-0.5}) \times 10^{10}$	$5.98(1. \pm 0.006) \times 10^{10}$ (HZ) $6.03(1. \pm 0.005) \times 10^{10}$ (LZ)
${}^7\text{Be}$	$48.3 \pm 1.1^{+0.4}_{-0.7}$	$(4.99 \pm 0.11^{+0.06}_{-0.08}) \times 10^9$	$4.93(1. \pm 0.06) \times 10^9$ (HZ) $4.50(1. \pm 0.06) \times 10^9$ (LZ)
$pep$ (HZ)	$2.43 \pm 0.36^{+0.15}_{-0.22}$	$(1.27 \pm 0.19^{+0.08}_{-0.12}) \times 10^8$	$1.44(1. \pm 0.009) \times 10^8$ (HZ) $1.46(1. \pm 0.009) \times 10^8$ (LZ)
$pep$ (LZ)	$2.65 \pm 0.36^{+0.15}_{-0.24}$	$(1.39 \pm 0.19^{+0.08}_{-0.13}) \times 10^8$	$1.44(1. \pm 0.009) \times 10^8$ (HZ) $1.46(1. \pm 0.009) \times 10^8$ (LZ)
${}^8\text{B}_{\text{HER-I}}$	$0.136^{+0.013+0.003}_{-0.013-0.003}$	$(5.77^{+0.56+0.15}_{-0.56-0.15}) \times 10^6$	$5.46(1. \pm 0.12) \times 10^6$ (HZ) $4.50(1. \pm 0.12) \times 10^6$ (LZ)
${}^8\text{B}_{\text{HER-II}}$	$0.087^{+0.080+0.005}_{-0.010-0.005}$	$(5.56^{+0.52+0.33}_{-0.64-0.33}) \times 10^6$	$5.46(1. \pm 0.12) \times 10^6$ (HZ) $4.50(1. \pm 0.12) \times 10^6$ (LZ)
${}^8\text{B}_{\text{HF}}$	$0.223^{+0.015+0.006}_{-0.016-0.006}$	$(5.68^{+0.39+0.03}_{-0.41-0.03}) \times 10^6$	$5.46(1. \pm 0.12) \times 10^6$ (HZ) $4.50(1. \pm 0.12) \times 10^6$ (LZ)
CNO	$< 8.1$ (95 % C.L.)	$< 7.9 \times 10^8$ (95 % C.L.)	$4.92(1. \pm 0.11) \times 10^8$ (HZ) $3.52(1. \pm 0.10) \times 10^8$ (LZ)
$hep$	$< 0.002$ (90% C.L.)	$< 2.2 \times 10^5$ (90 % C.L.)	$7.98(1. \pm 0.30) \times 10^3$ (HZ) $8.25(1. \pm 0.12) \times 10^3$ (LZ)

One experiment,  
all solar pp-chain  $\nu$

- Covering 0.2 MeV to 17 MeV
- Main challenge:
  - **clean LS**
  - High precision **calibration**
  - Good **stability** of the det.
  - Genuine **MC** in large E range



# Unprecedented radio-purity level



## 2007-2010: **Phase-I**

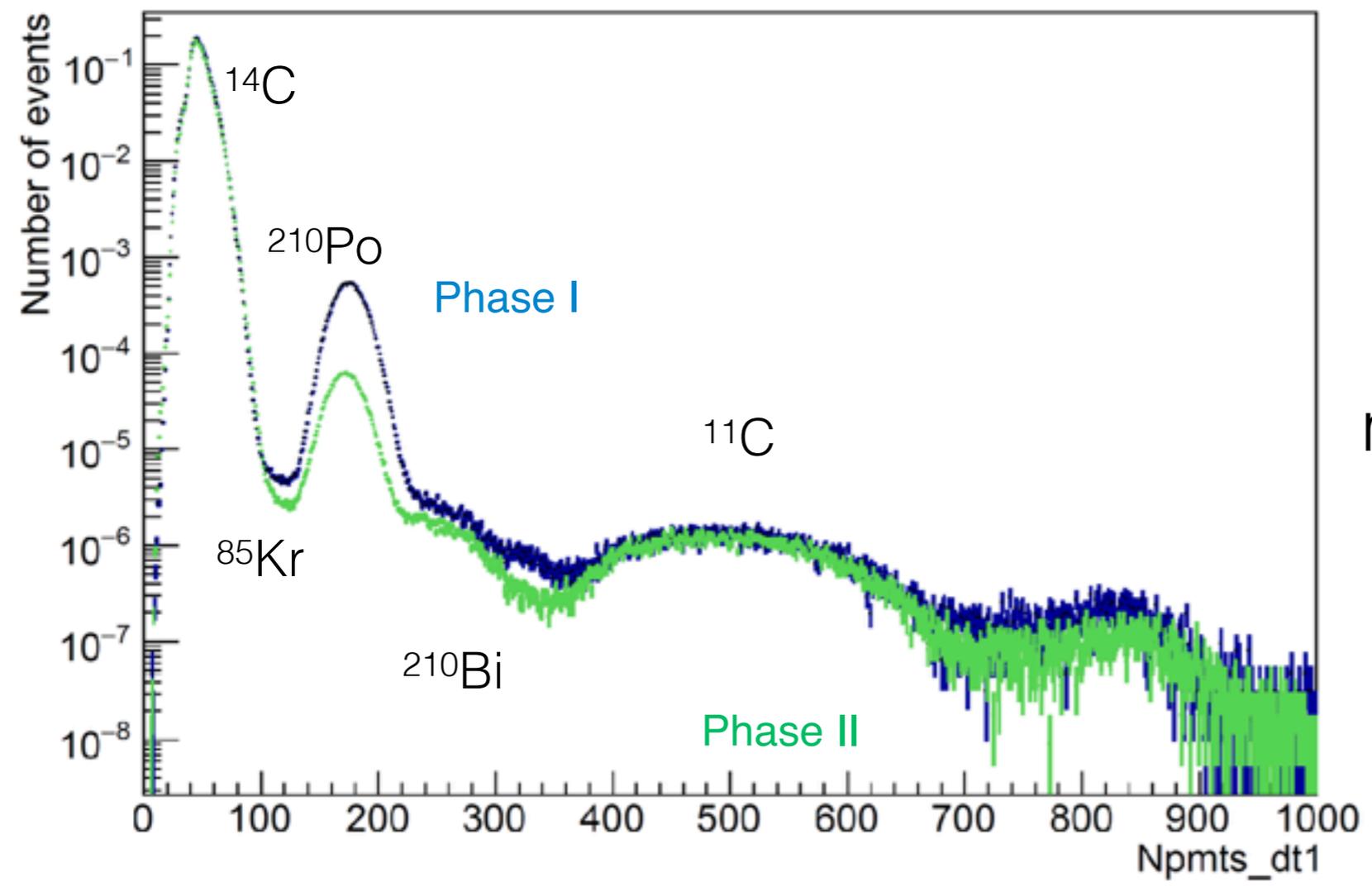
$^{238}\text{U}$   $5 \times 10^{-18}$  g/g  
 $^{232}\text{Th}$   $3 \times 10^{-18}$  g/g  
 $^{210}\text{Pb}$   $\sim 2 \times 10^{-24}$  g/g  
 $^{85}\text{Kr}$   $\sim 20$  cpd/100ton

2010-2012

Purification + Calibration

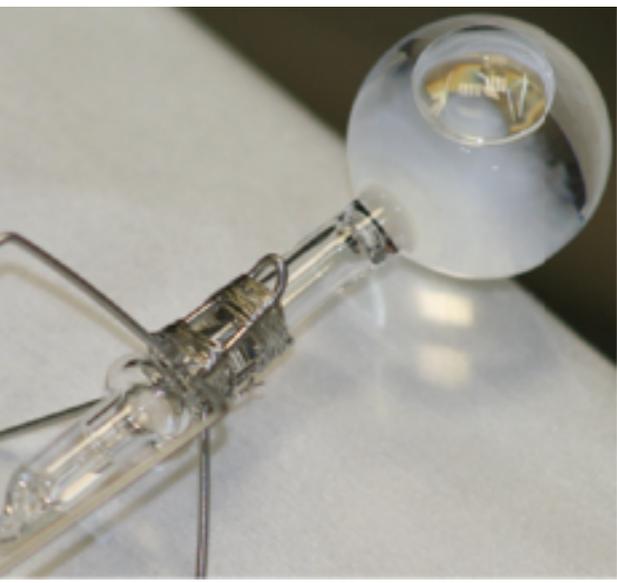
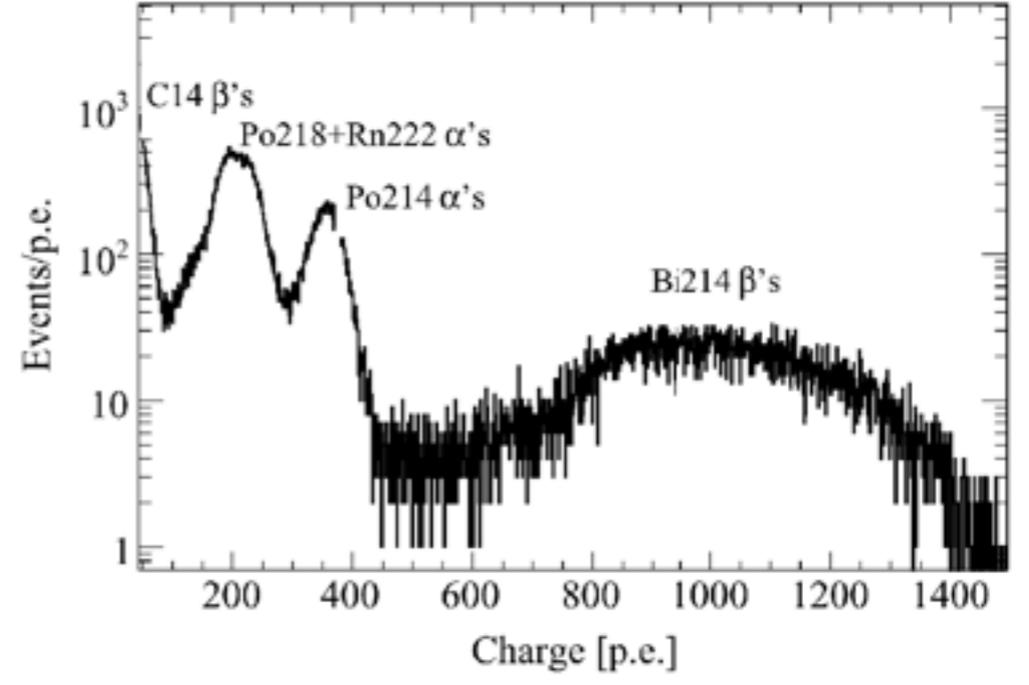
## 2012-now: **Phase-II**

$^{238}\text{U}$   $< 9.4 \times 10^{-20}$  g/g  
 $^{232}\text{Th}$   $< 5.7 \times 10^{-19}$  g/g  
 $^{210}\text{Pb}$   $\sim 9 \times 10^{-26}$  g/g  
 $^{85}\text{Kr}$   $\sim 5$  cpd/100ton



$^{238}\text{U} < 1.2 \times 10^{-12}$  Bq/kg  
 mineral water  $\sim 10$  Bq/kg  
 **$\Rightarrow 10^{-13}$  reduction**

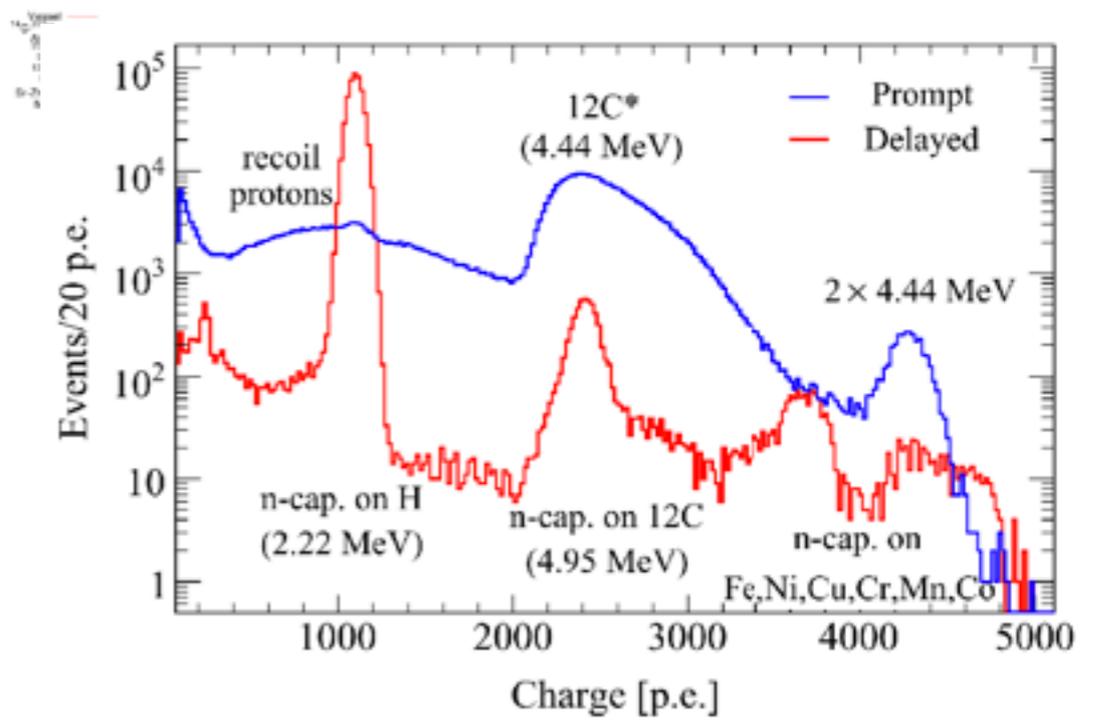
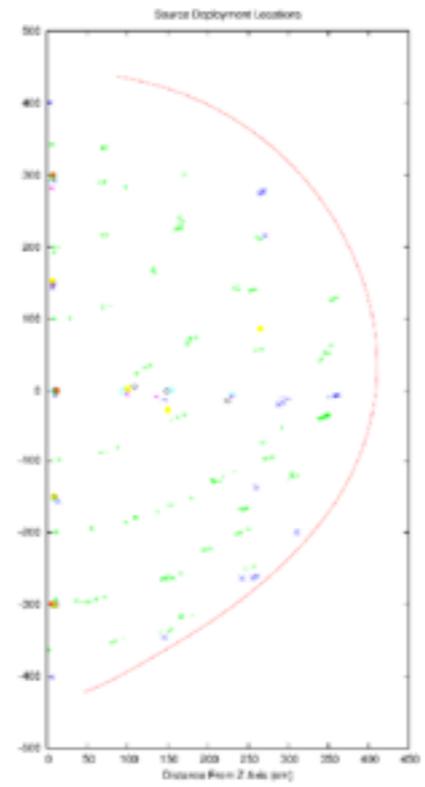
Source	Type	E [MeV]	Position	Motivations	Campaign
$^{57}\text{Co}$	$\gamma$	0.122	in IV volume	Energy scale	IV
$^{139}\text{Ce}$	$\gamma$	0.165	in IV volume	Energy scale	IV
$^{203}\text{Hg}$	$\gamma$	0.279	in IV volume	Energy scale	III
$^{85}\text{Sr}$	$\gamma$	0.514	z-axis + sphere R=3 m	Energy scale + FV	III,IV
$^{54}\text{Mn}$	$\gamma$	0.834	along z-axis	Energy scale	III
$^{65}\text{Zn}$	$\gamma$	1.115	along z-axis	Energy scale	III
$^{60}\text{Co}$	$\gamma$	1.173, 1.332	along z-axis	Energy scale	III
$^{40}\text{K}$	$\gamma$	1.460	along z-axis	Energy scale	III
$^{222}\text{Rn}+^{14}\text{C}$	$\beta, \gamma$	0-3.20	in IV volume	FV+uniformity	I-IV
	$\alpha$	5.5, 6.0, 7.4	in IV volume	FV+uniformity	
$^{241}\text{Am}^9\text{Be}$	n	0-9	sphere R=4 m	Energy scale + FV	II-IV
394 nm laser	light	-	center	PMT equalization	IV



$^{203}\text{Hg}$   $\gamma$  source



$^{241}\text{Am}^9\text{Be}$

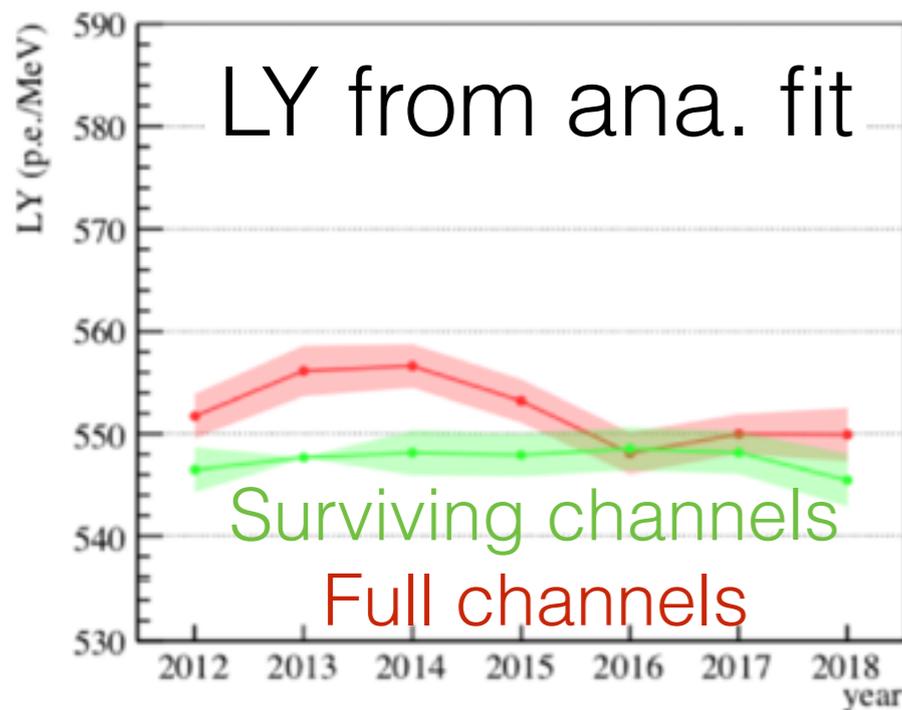
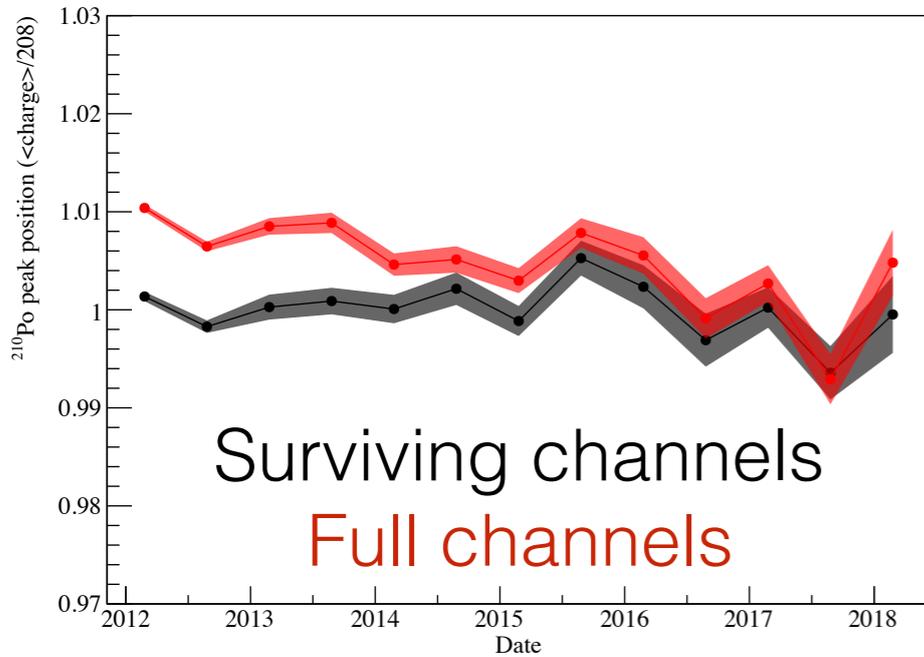




# Known stability of detector

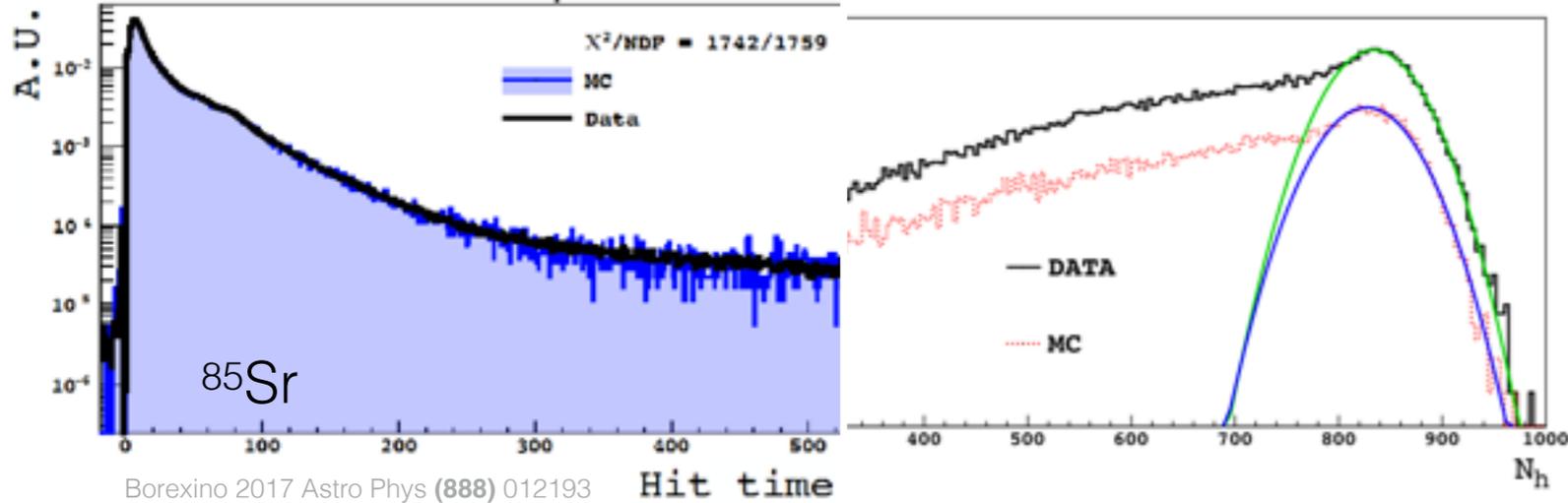


## LY from $^{210}\text{Po}$

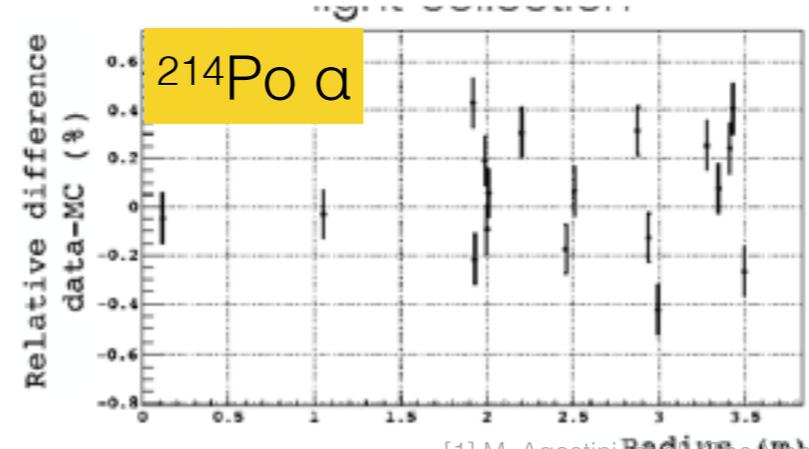
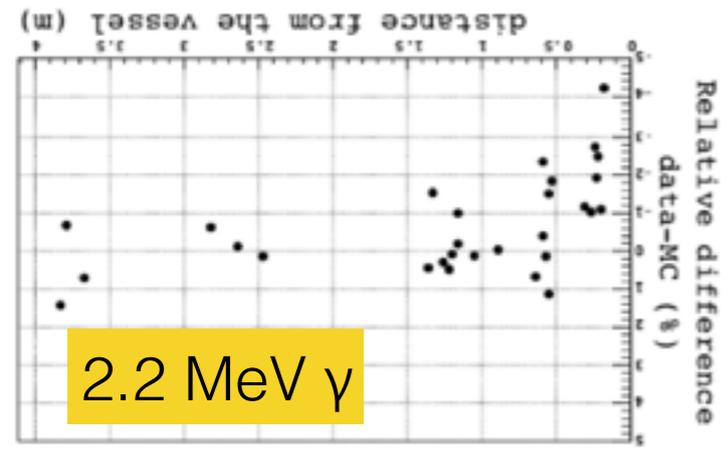
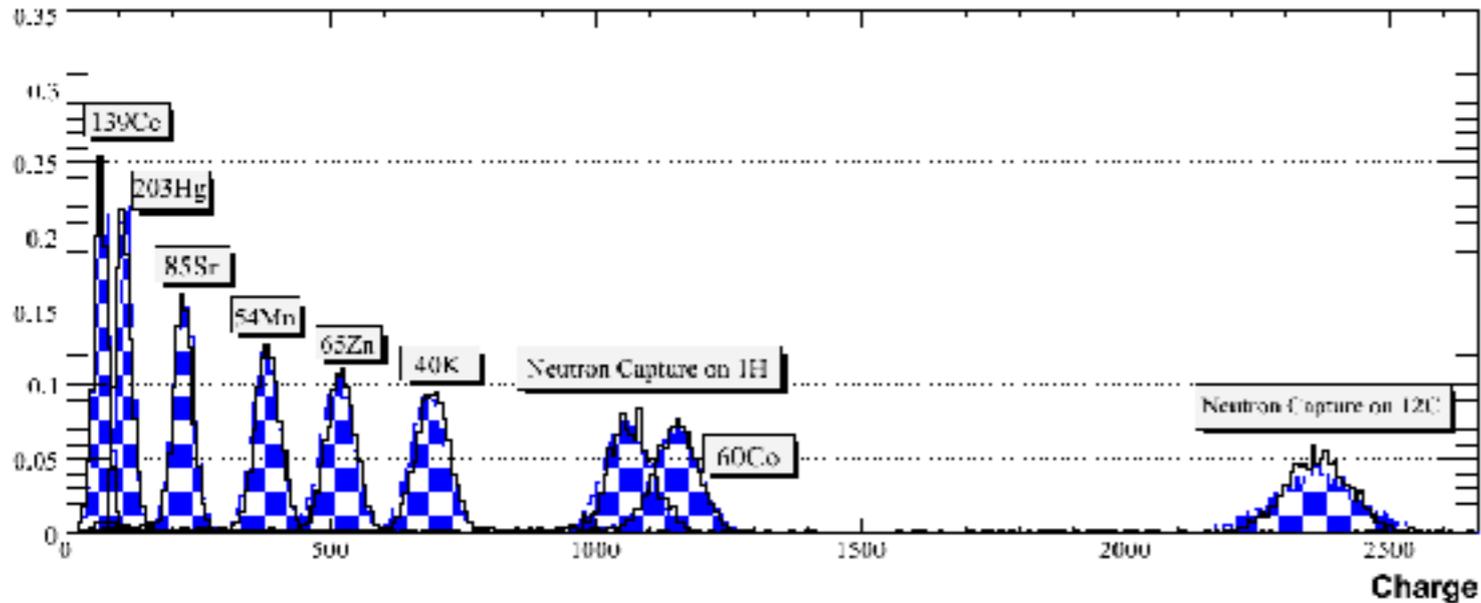


- No frequent calibration
- Contamination based monitoring:  **$^{14}\text{C}$ ,  $^{210}\text{Po}$  and  $^{11}\text{C}$ . Analytical fit** as a cross-check.
- **Liquid scintillator** is **stable**.
- **Good PMT** (top 1000) is **stable**.
- 3% / year PMT loss introduced nontrivial energy scale decrease
- Light yield is decreasing due to degrading of worse PMTs.

time response

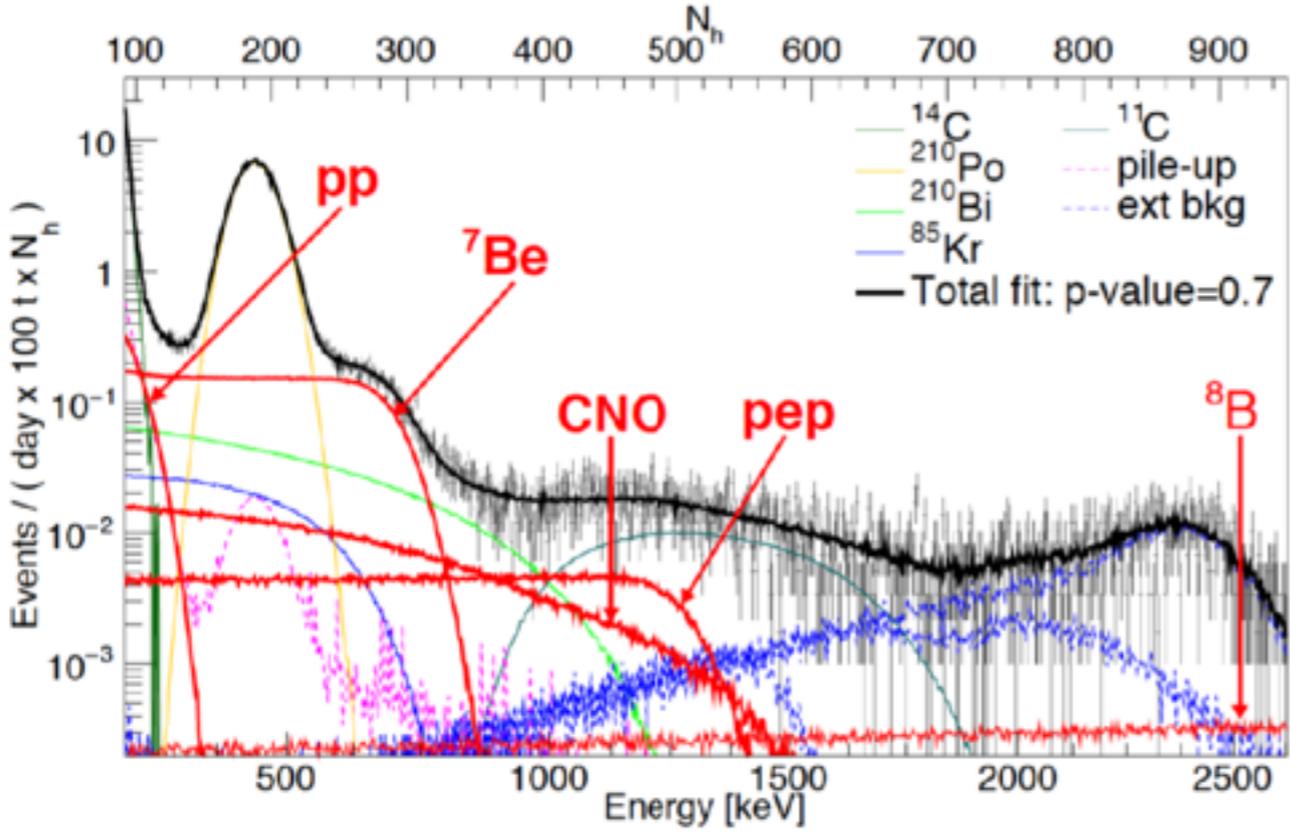


Borexino 2017 Astro Phys (888) 012193



- Tuned on **calibration**
- **Temporal stability** tracked according to  $^{14}\text{C}$  etc.
- **center region** E, dE/dr , V agreement within 1%
- **periphery** dE/dr 1.9%

[1] M. Agostini, et al. "The Monte Carlo simulation of the Borexino detector," Astropart. Phys., vol. 888, p. 012193, Oct. 2017.

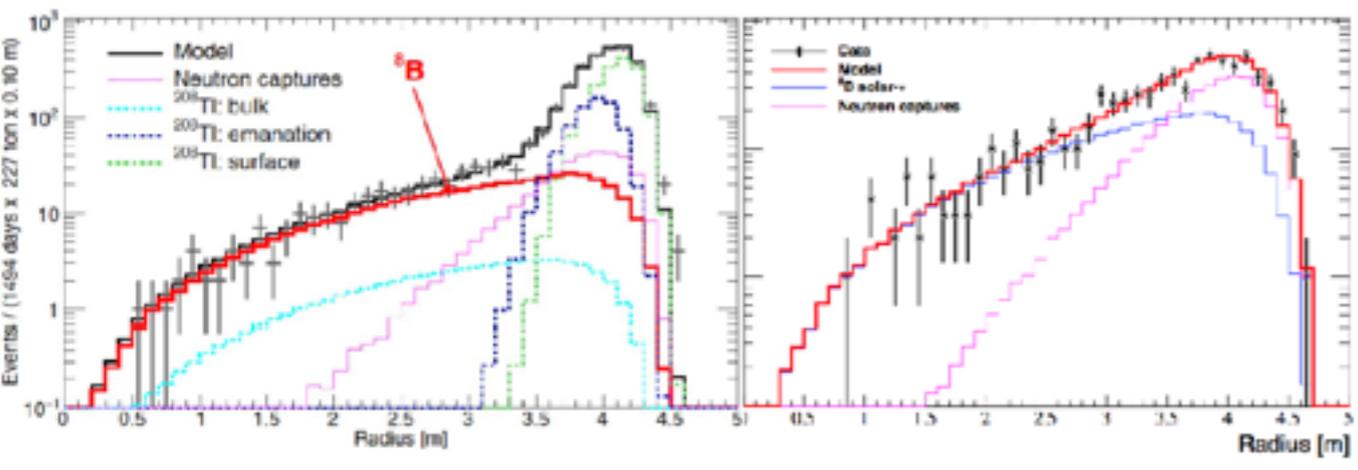


## Low Energy Region (LER)

- **MultiVariate fit**
  - **Energy + Radius + PS**
- **0.19 ~ 2.93 MeV**

## How Energy Region (HER)

- **Radial spectral fit**
- **HER-I 3.2~5.7 MeV**
- **HER-II 5.7~17 MeV**





# Background Summary



**LER:**  $^{11}\text{C}$  + natural decay

**HER-I:** n capture  $\gamma$  +  $^{208}\text{Tl}$   
**HER-II:** n capture  $\gamma$

Background (LER)	rate (Bq/100 t)
$^{14}\text{C}$ (0.156 MeV, $\beta^-$ )	[40.0 $\pm$ 2.0]
Background (LER)	rate (cpd/100 t)
$^{85}\text{Kr}$ (0.687 MeV, $\beta^-$ ) (internal)	6.8 $\pm$ 1.8
$^{210}\text{Bi}$ (1.16 MeV, $\beta^-$ ) (internal)	17.5 $\pm$ 1.9
$^{11}\text{C}$ (1.02-1.98 MeV, $\beta^+$ ) (internal)	26.8 $\pm$ 0.2
$^{210}\text{Po}$ (5.3 MeV, $\alpha$ ) (internal)	260.0 $\pm$ 3.0
$^{40}\text{K}$ (1.460 MeV, $\gamma$ ) (external)	1.0 $\pm$ 0.6
$^{214}\text{Bi}$ (<1.764 MeV, $\gamma$ ) (external)	1.9 $\pm$ 0.3
$^{208}\text{Tl}$ (2.614 MeV, $\gamma$ ) (external)	3.3 $\pm$ 0.1

Background (HER-I)	rate (cpd/227.8 t)
$\mu$ , cosmogenics, $^{214}\text{Bi}$ (internal)	[6.1 $^{+8.7}_{-3.1}$ $10^{-3}$ ]
( $\alpha$ , n) (external)	0.224 $\pm$ 0.078
$^{208}\text{Tl}$ (5.0 MeV, $\beta^-$ , $\gamma$ ) (internal)	[0.042 + 0.008]
$^{208}\text{Tl}$ (5.0 MeV, $\beta^-$ , $\gamma$ ) (emanated)	0.469 + 0.063
$^{208}\text{Tl}$ (5.0 MeV, $\beta^-$ , $\gamma$ ) (surface)	1.090 $\pm$ 0.046
Background (HER-II)	rate (cpd/266.0 t)
$\mu$ , cosmogenics (internal)	[3.8 $^{+14.6}_{-0.1}$ $10^{-3}$ ]
( $\alpha$ , n) (external)	0.239 $\pm$ 0.022

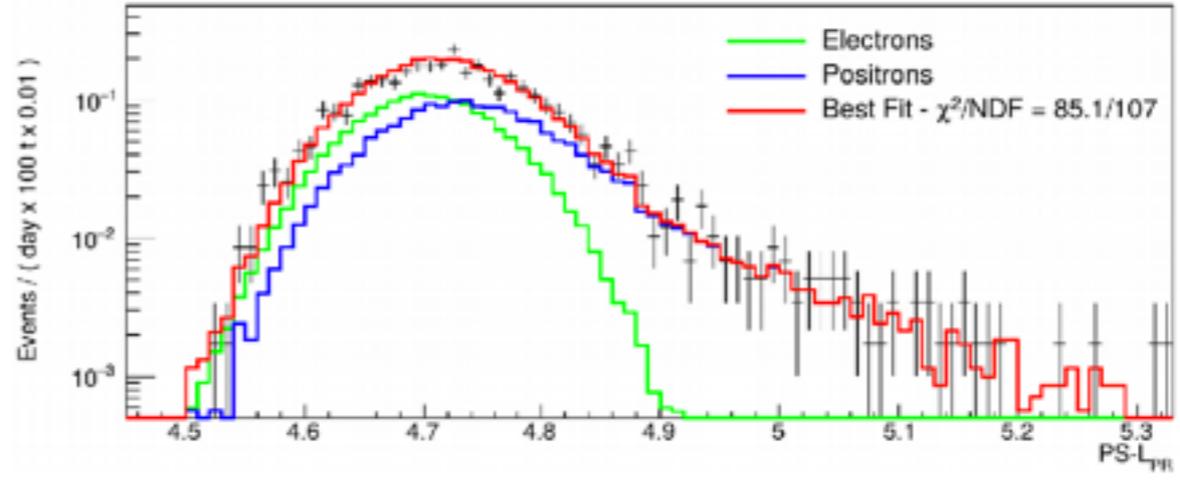
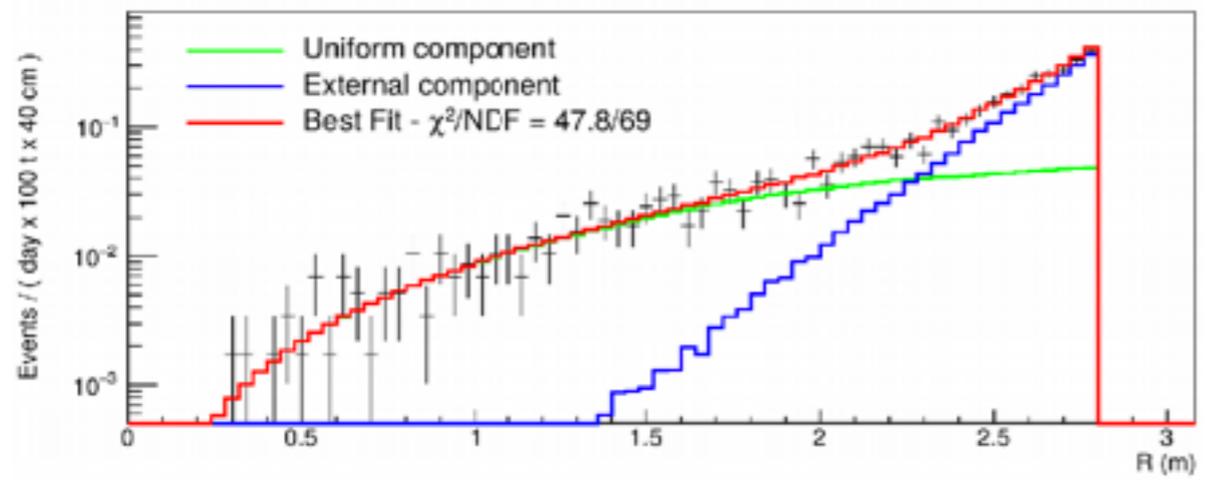
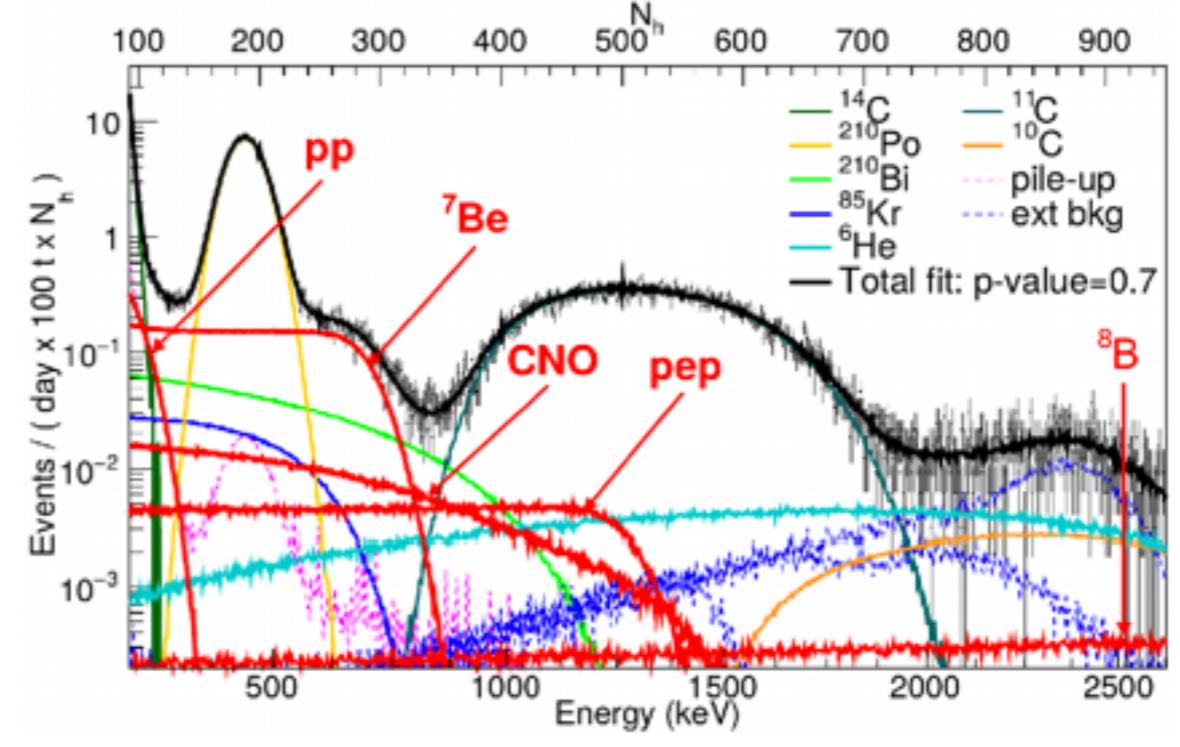
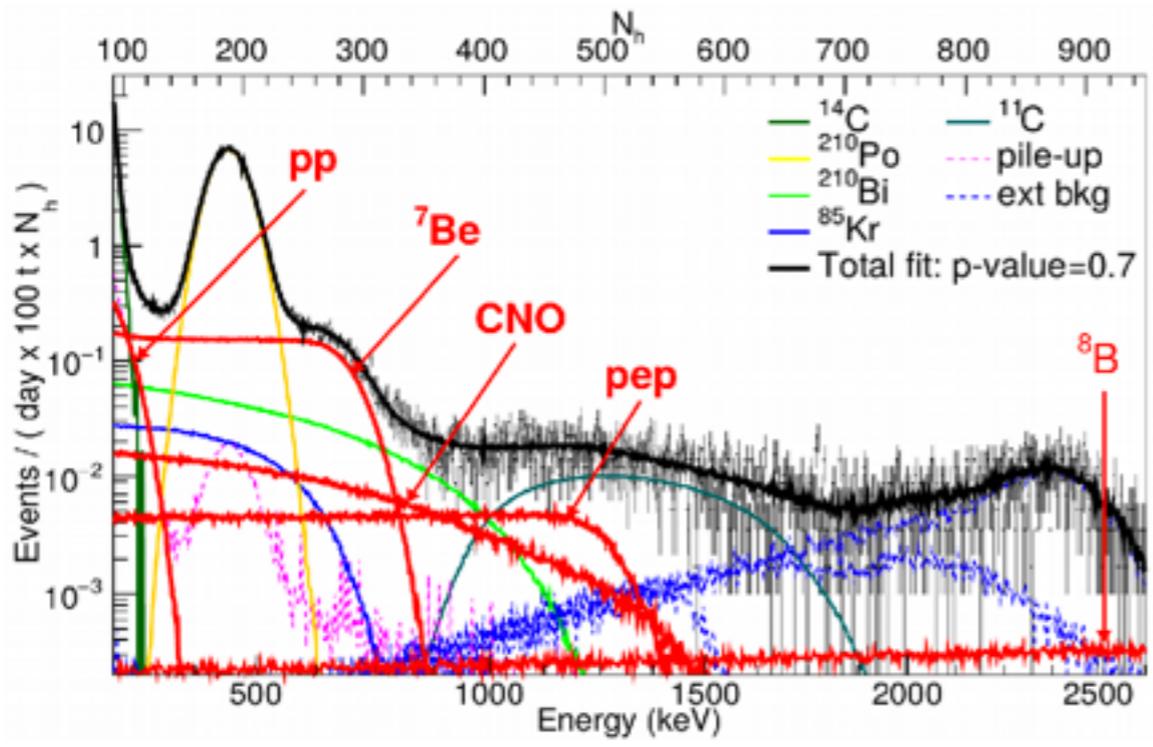


$$M : f(E) \mapsto g(\text{charge}) = \int_0^{E_{\text{end}}} dE \cdot f(E) \cdot \text{RPF} [\text{charge}; \mu(E), \text{var}(\mu)]$$

- Analytical shape of spectrum of mono-energetic events
  - **Momentum based approximation**
  - Match the average ( energy scale + non-linearity model )
  - Match the variance ( energy resolution model )
  - ... (—> simplified)
  - More: “Mask”, “pile-up” etc...
- We can simplify because
  - Borexino response is simple: small FV in center, low energies => no irregular tail
  - We are not sensitive.. => small systematics
  - **Fit full MC to get the bias introduced in simplification**



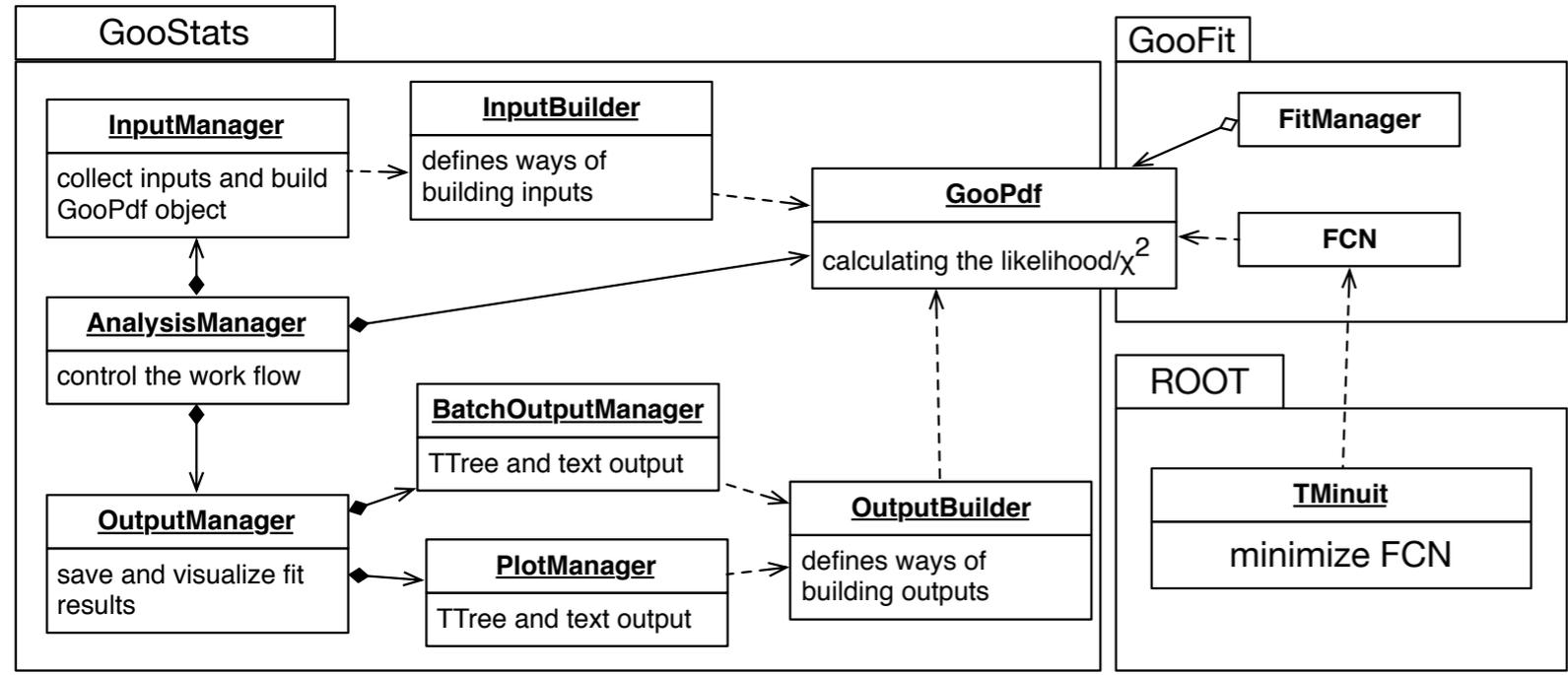
# LER Highlight: Multi-Variate analysis



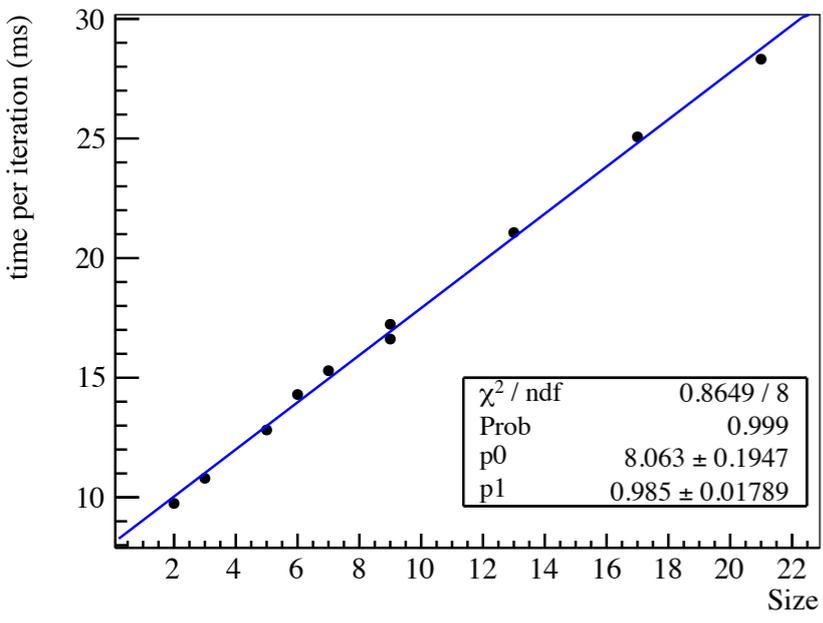
$$\mathcal{L}_{MV}(\vec{\theta}) = \mathcal{L}_{\text{TFC-sub}}(\vec{\theta}) \cdot \mathcal{L}_{\text{TFC-tagged}}(\vec{\theta}) \cdot \mathcal{L}_{\text{RD}}(\vec{\theta}) \cdot \mathcal{L}_{\text{PS}}(\vec{\theta})$$

- Scaling factor introduced to remove bias.

[1] S. Davini, "Measurement of the pep and CNO solar neutrino interaction rates in Borexino-I," Eur. Phys. J. Plus, vol. 128, no. 8, p. 89, Aug. 2013.

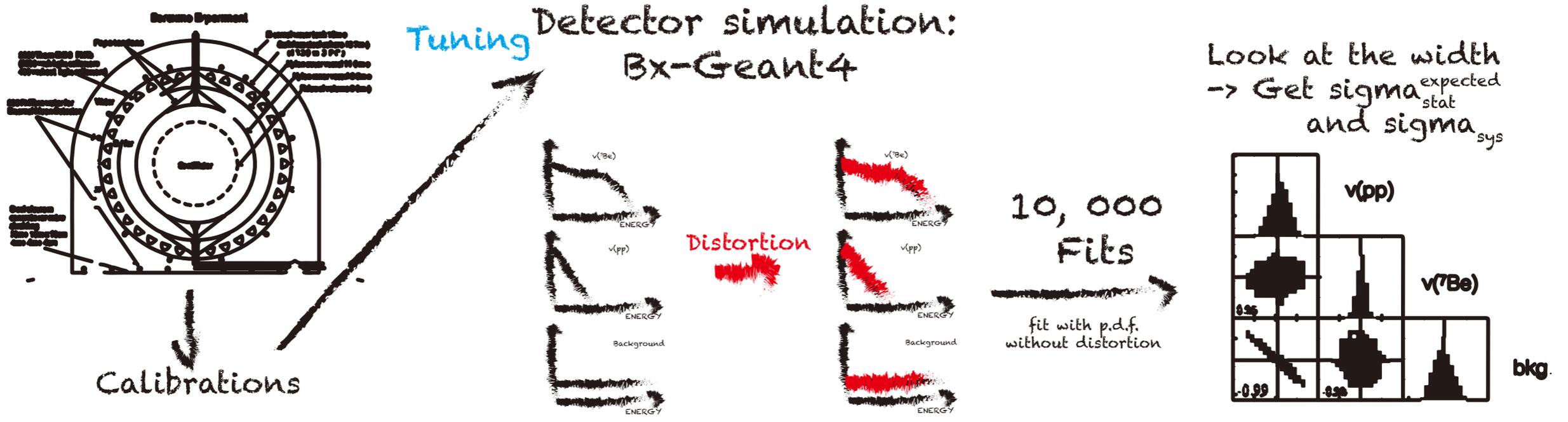


- **GooStats<sup>[1]</sup>: middle layer** between GooFit (GPU minimization engine) and (Borexino) analysis module



- **Parallelize** the computation of likelihood
- Borexino module: **Speed up more than 1000. Multivariate fit from days to minutes**
- Low overhead: Execution time linearly scales with problem size

[1] Ding, Xuefeng. (2018, May 19). GooStats, a multivariate spectrum fitting analysis package for particle physics accelerated by graphic processing units (Version v1.2.0). Zenodo. <http://doi.org/10.5281/zenodo.1217007>



- Fit spectrum **w/ and w/o** distortion => **width of best fit**
- Inject deformations according to **MC tuning precision**
- Consider: **Detector response** (energy scale, uniformity of the energy response, pulse-shape discrimination shape), and **theoretical shape**



# Systematic uncertainties



Systematic errors in the <i>LER</i> analysis						
Source of uncertainty	<i>pp</i> neutrinos		<i>7Be</i> neutrinos		<i>pep</i> neutrinos	
	-%	+%	-%	+%	-%	+%
Fit models (see text)	-4.5	+0.5	-1.0	+0.2	-6.8	+2.8
Fit method (analytical/MC)	-1.2	+1.2	-0.2	+0.2	-4.0	+4.0
Choice of the energy estimator	-2.5	+2.5	-0.1	+0.1	-2.4	+2.4
Pile-up modeling	-2.5	+0.5	0	0	0	0
Fit range and binning	-3.0	+3.0	-0.1	+0.1	-1.0	+1.0
Inclusion of the $^{85}\text{Kr}$ constraint	-2.2	+2.2	0	+0.4	-3.2	0
Live Time	-0.05	+0.05	-0.05	+0.05	-0.05	+0.05
Scintillator Density	-0.05	+0.05	-0.05	+0.05	-0.05	+0.05
Fiducial Volume	-1.1	+0.6	-1.1	+0.6	-1.1	+0.6
<b>Total systematics (%)</b>	<b>-7.1</b>	<b>+4.7</b>	<b>-1.5</b>	<b>+0.8</b>	<b>-9.0</b>	<b>+5.6</b>

**LER**

Systematic errors in the <i>HER</i> analysis (8B neutrinos)						
Source of uncertainty	<i>HER-I</i>		<i>HER-II</i>		<i>HER (tot)</i>	
	-%	+%	-%	+%	-%	+%
Target Mass	-2.0	+2.0	-2.0	+2.0	-2.0	+2.0
Energy scale	-0.5	+0.5	-4.9	+4.9	-1.7	+1.7
z-cut	-0.7	+0.7	0	0	-0.4	+0.4
Live time	-0.05	+0.05	-0.05	+0.05	-0.05	+0.05
Scintillator density	-0.05	+0.05	-0.05	+0.05	-0.05	+0.05
<b>Total systematics (%)</b>	<b>-2.2</b>	<b>+2.2</b>	<b>-5.3</b>	<b>+5.3</b>	<b>-2.7</b>	<b>+2.7</b>

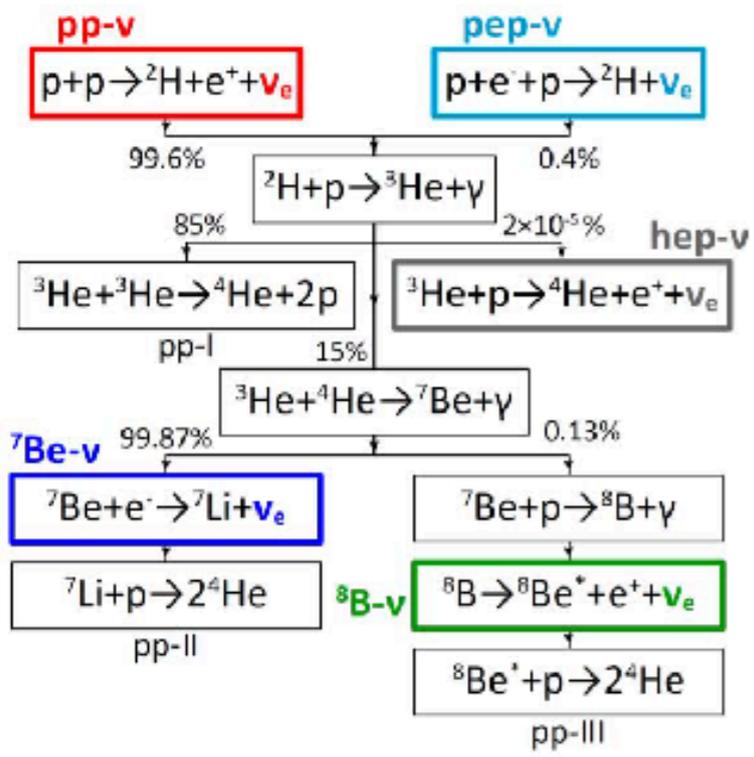
**HER**



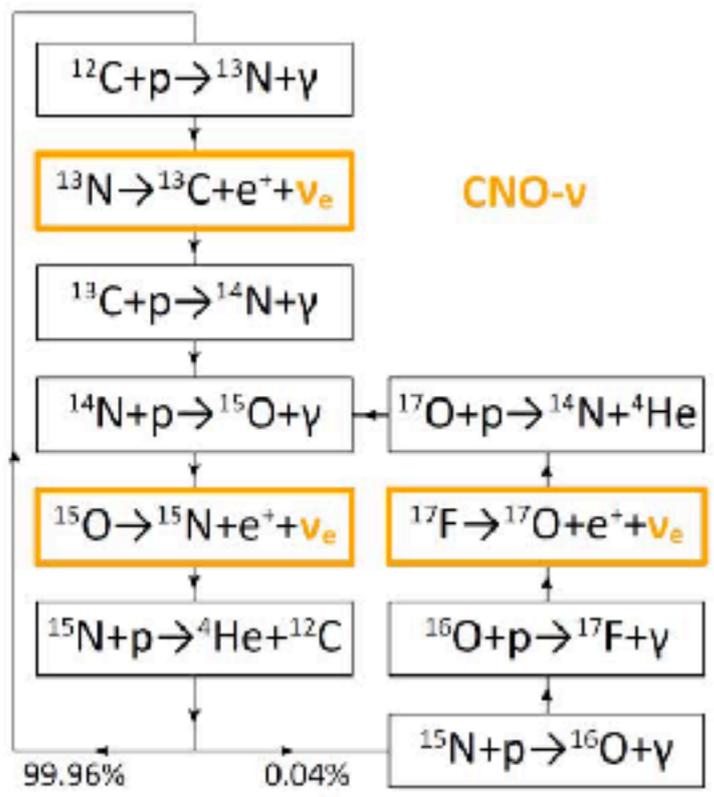
# Unique results on **solar physics**



pp chain



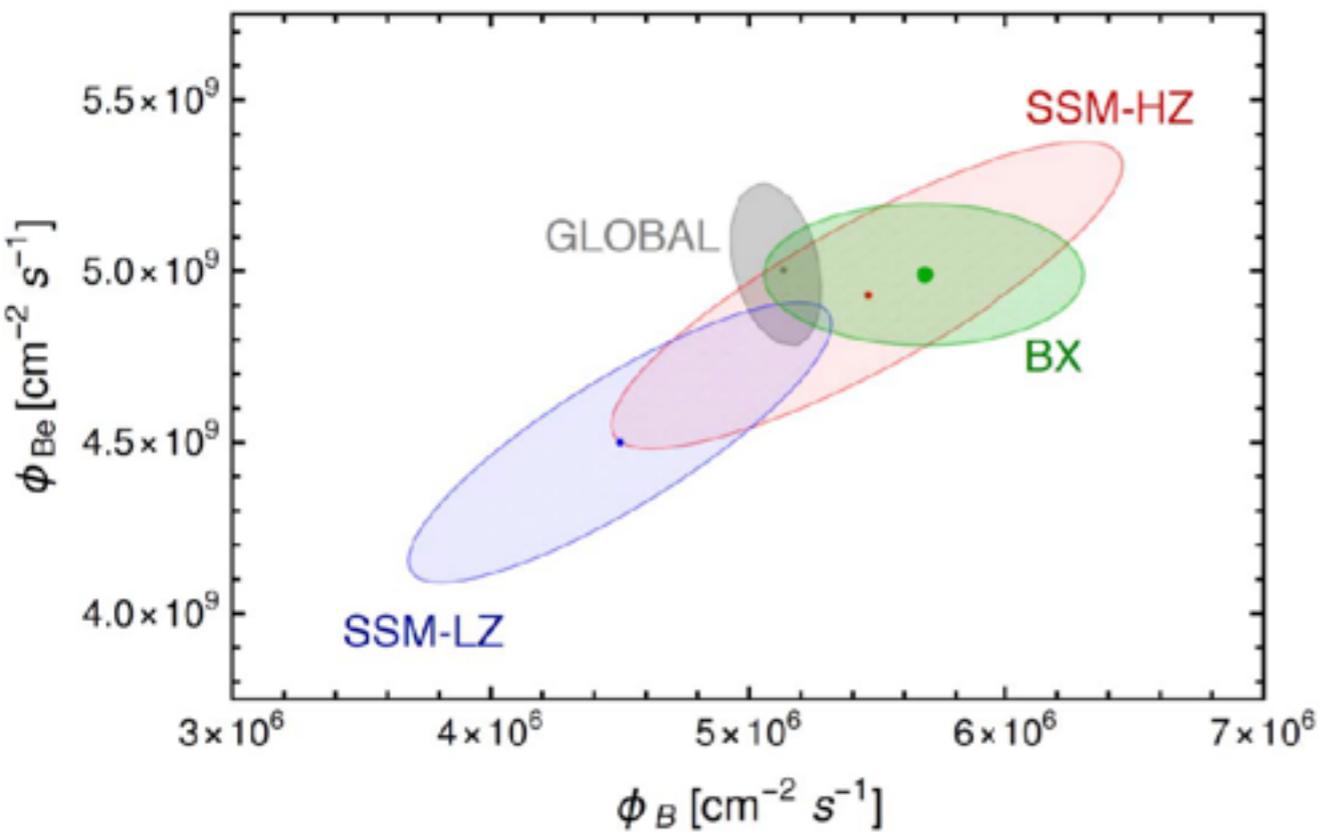
CNO cycle



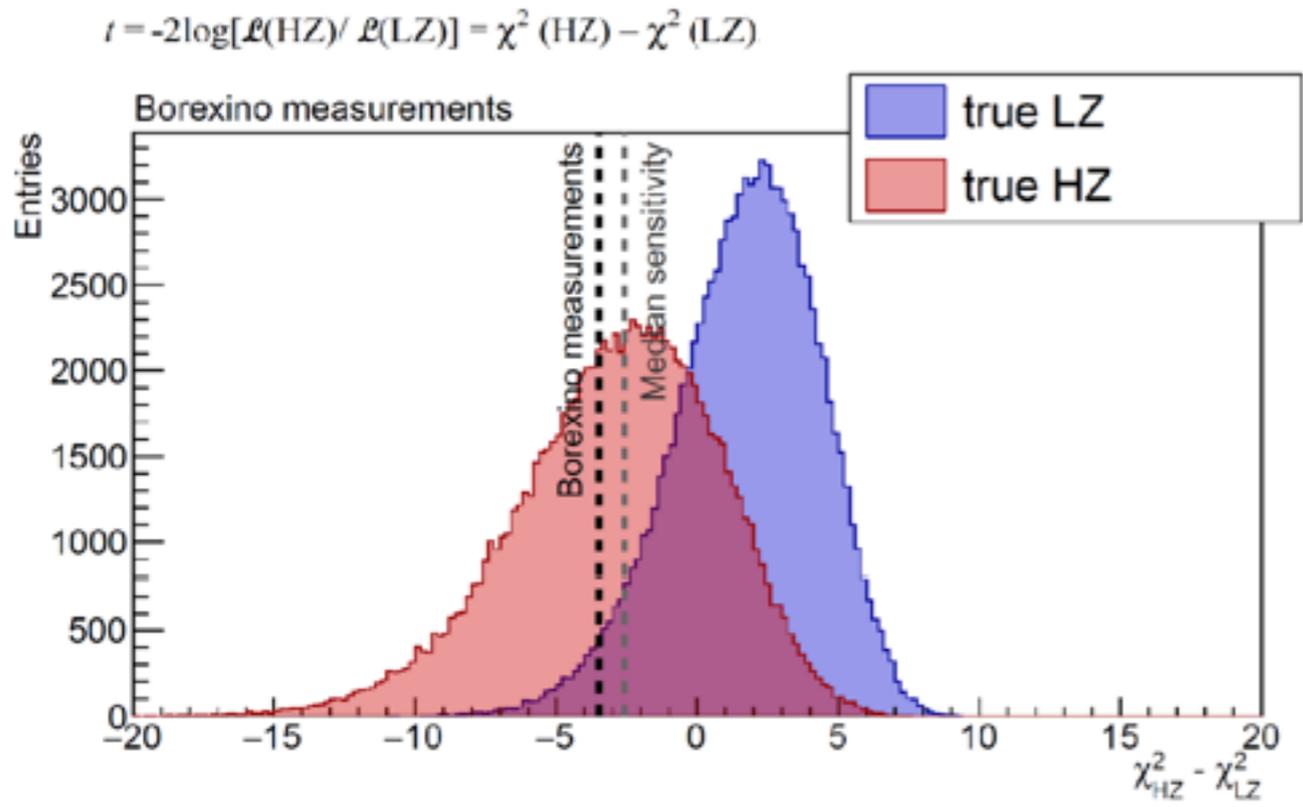
- We measured the luminosity from neutrino to be  **$(3.89^{+0.35}_{-0.42}) \times 10^{33} \text{ erg/s}$** ,
- **Consistent** with results from photons  $(3.846 \pm 0.015) \times 10^{33} \text{ erg/s}$

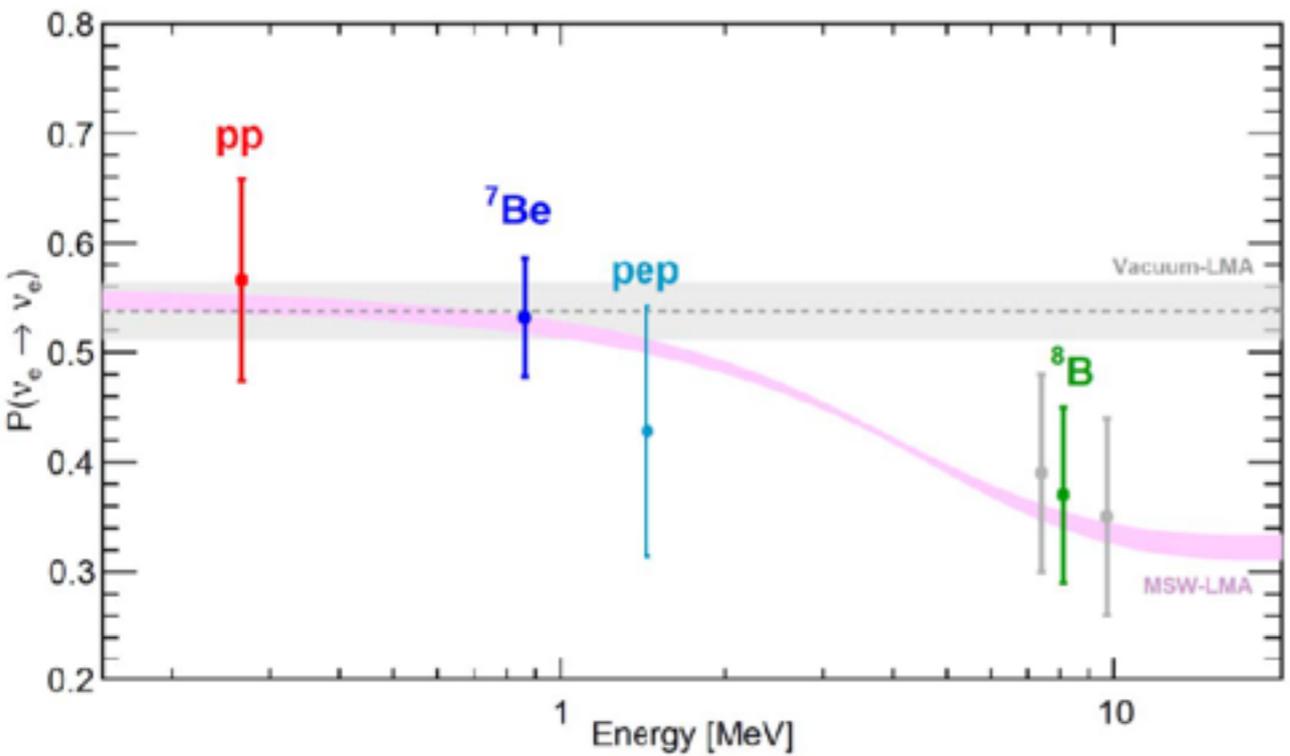
$$R = 2\Phi({}^7\text{Be}) / [\Phi(pp) - \Phi({}^7\text{Be})]$$

- pp-I vs pp-II B.R.  **$0.178^{+0.027}_{-0.023}$**
- **Consistent** with both HZ ( $0.180 \pm 0.011$ ) and LZ ( $0.161 \pm 0.010$ ) model

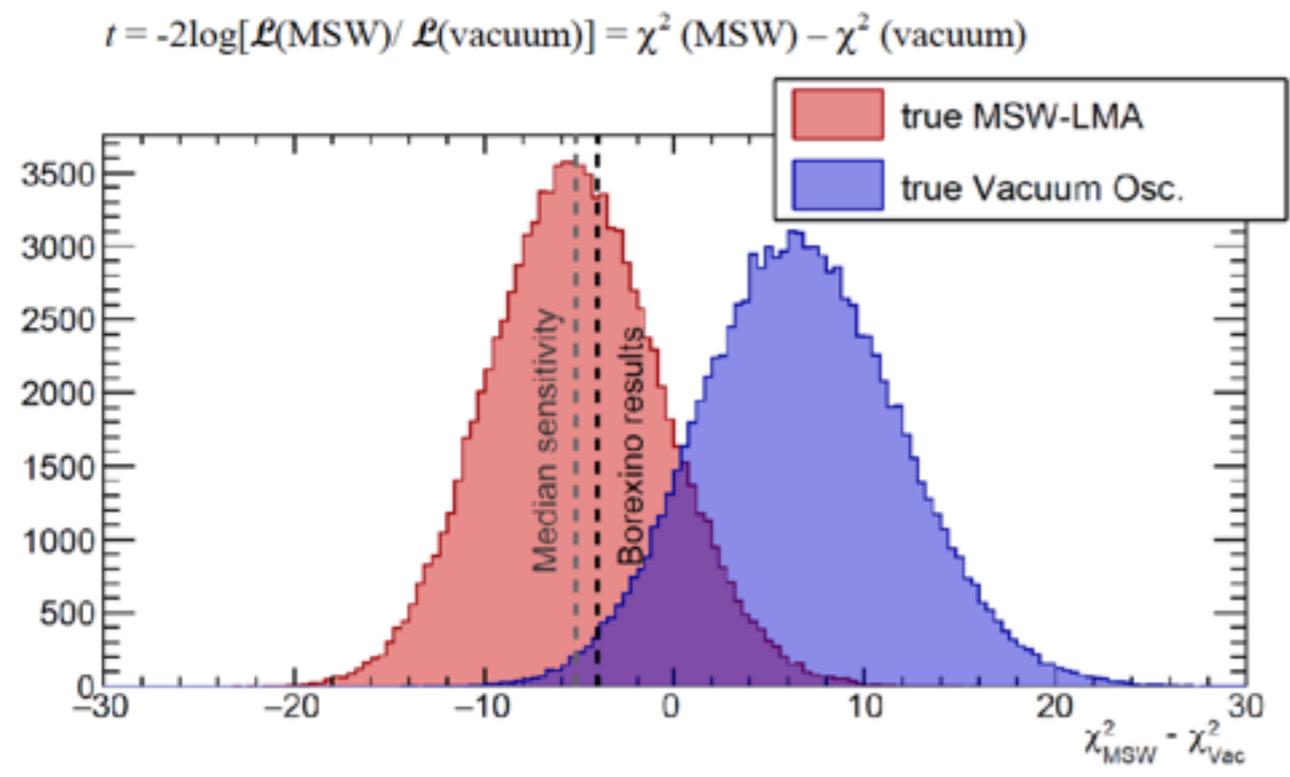


- Precision on  $\nu(^7\text{Be})$  3% is better than the model precision 7%
- With Borexino results alone we **reject LZ model at 96.6% C.L.**, slightly better than the expected median sensitivity 93.8%.
- Including superK etc. both models are compatible





- Including uncertainty from theoretical flux prediction
- With Borexino results alone we **reject Vacuum-LMA model at 98.2% C.L.**,

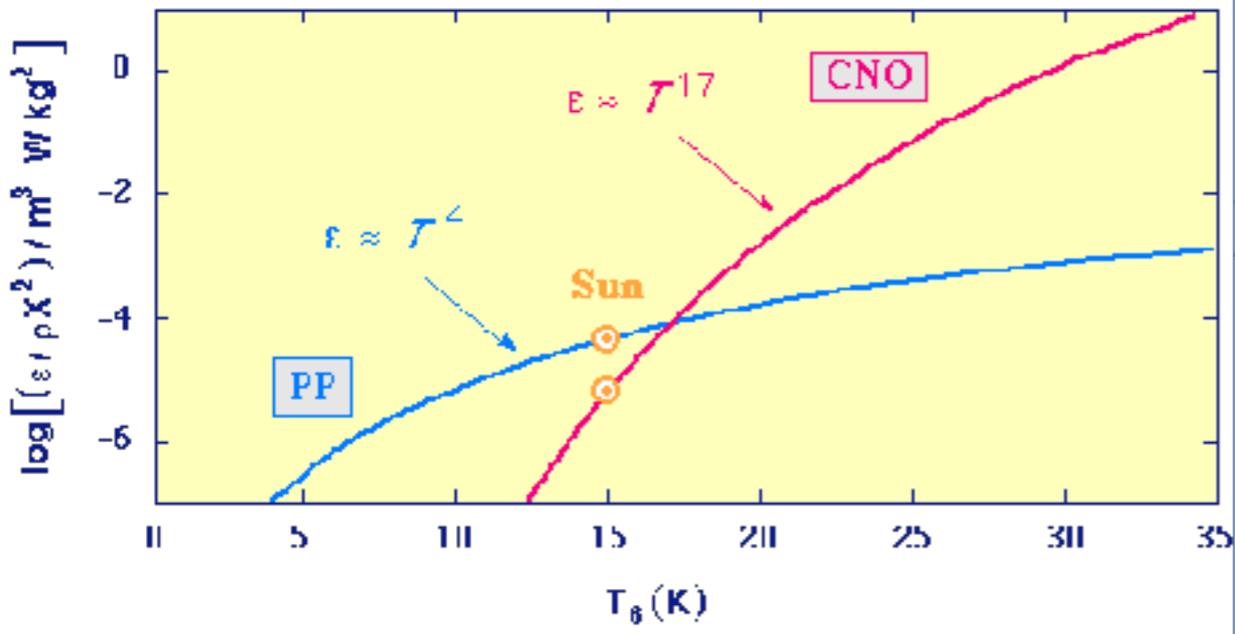




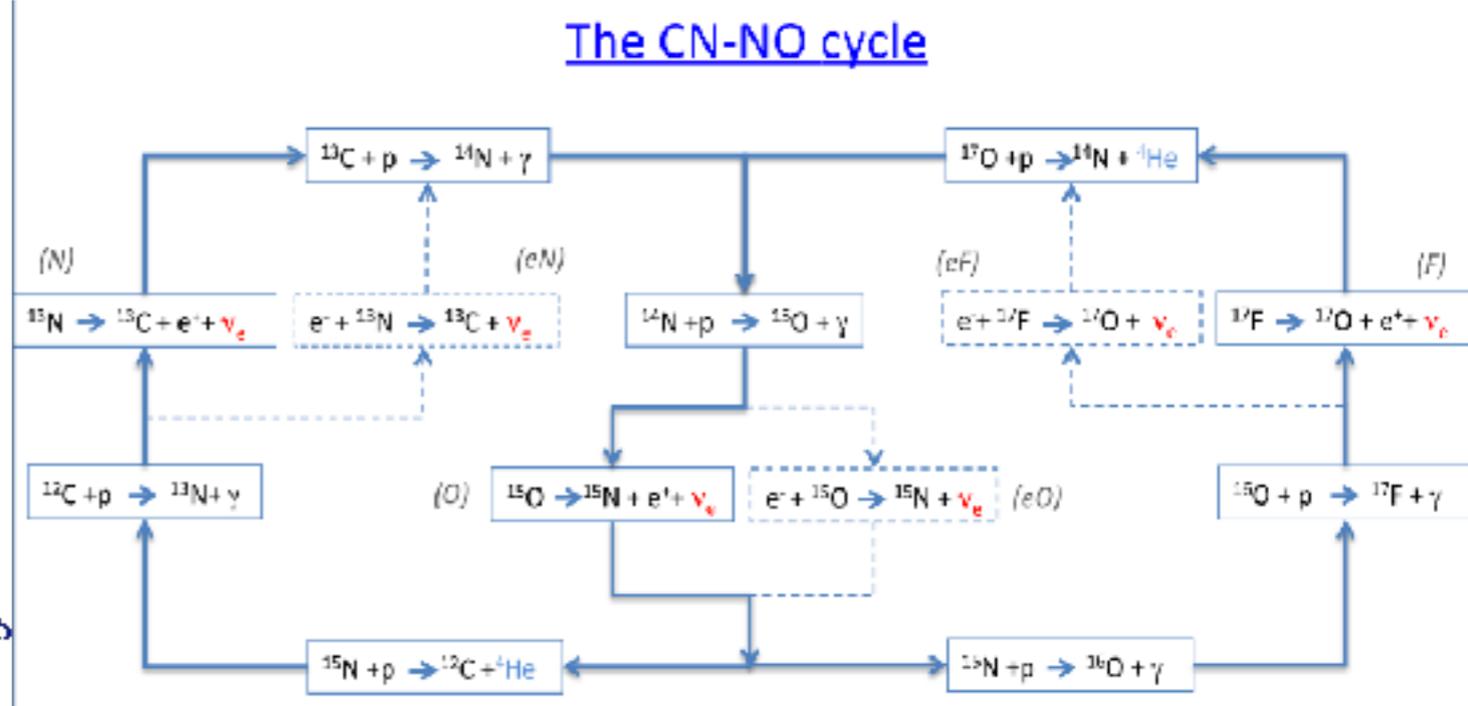
# Outline



- Borexino experiment
- Full pp-chain solar neutrino
- Prospects for CNO solar neutrino
- Geo-neutrinos
- Conclusion

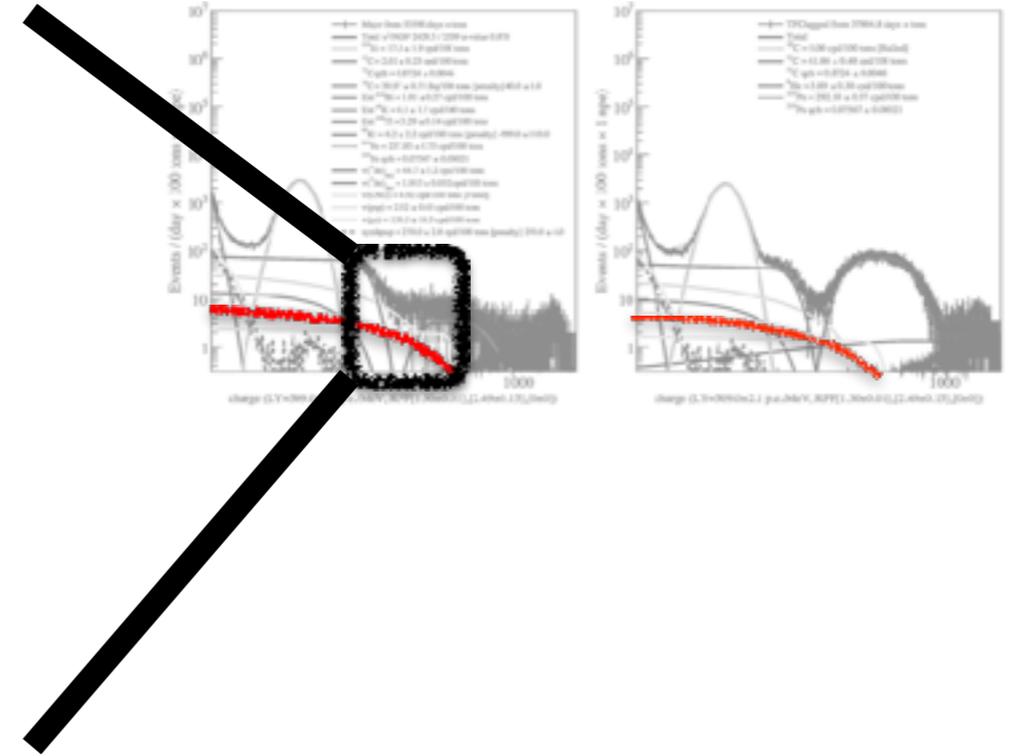
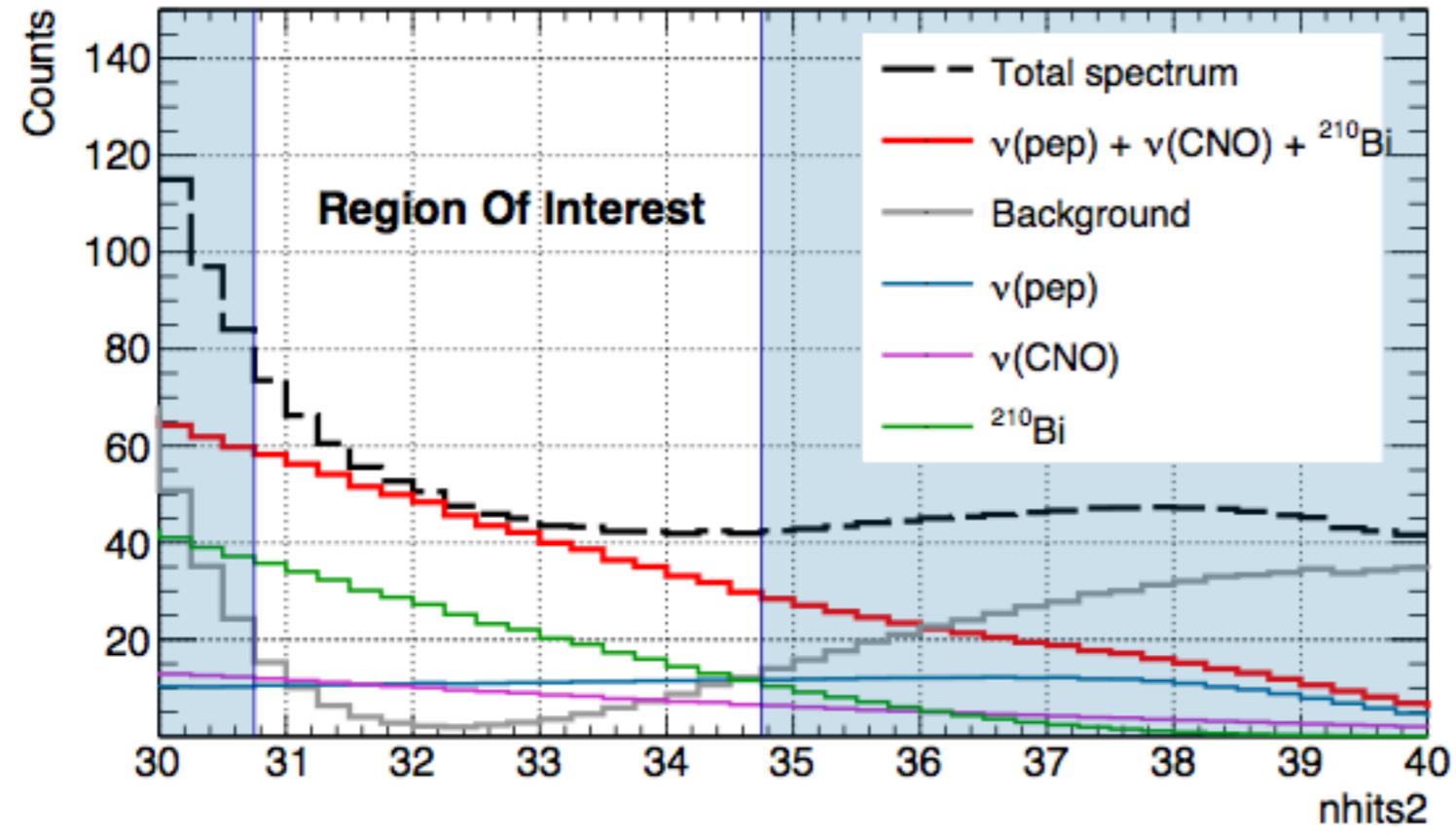


<http://csep10.phys.utk.edu/astr162/lect/energy/cno-pp.html>



The Sun and solar neutrinos, Neutrino 2016. F. L. Villante. U. L'Aquil & INFN-LNGS

- C, N, O as catalyst for  $4p \rightarrow ^4\text{He} + \dots$  fusion
- Major fusion energy source when temperature is high: more massive star or late stage of star
- **Only in theory**, CNO  $\nu$  never observed
- Also can **distinguish metallicity** (if one day we measure it to 5%)



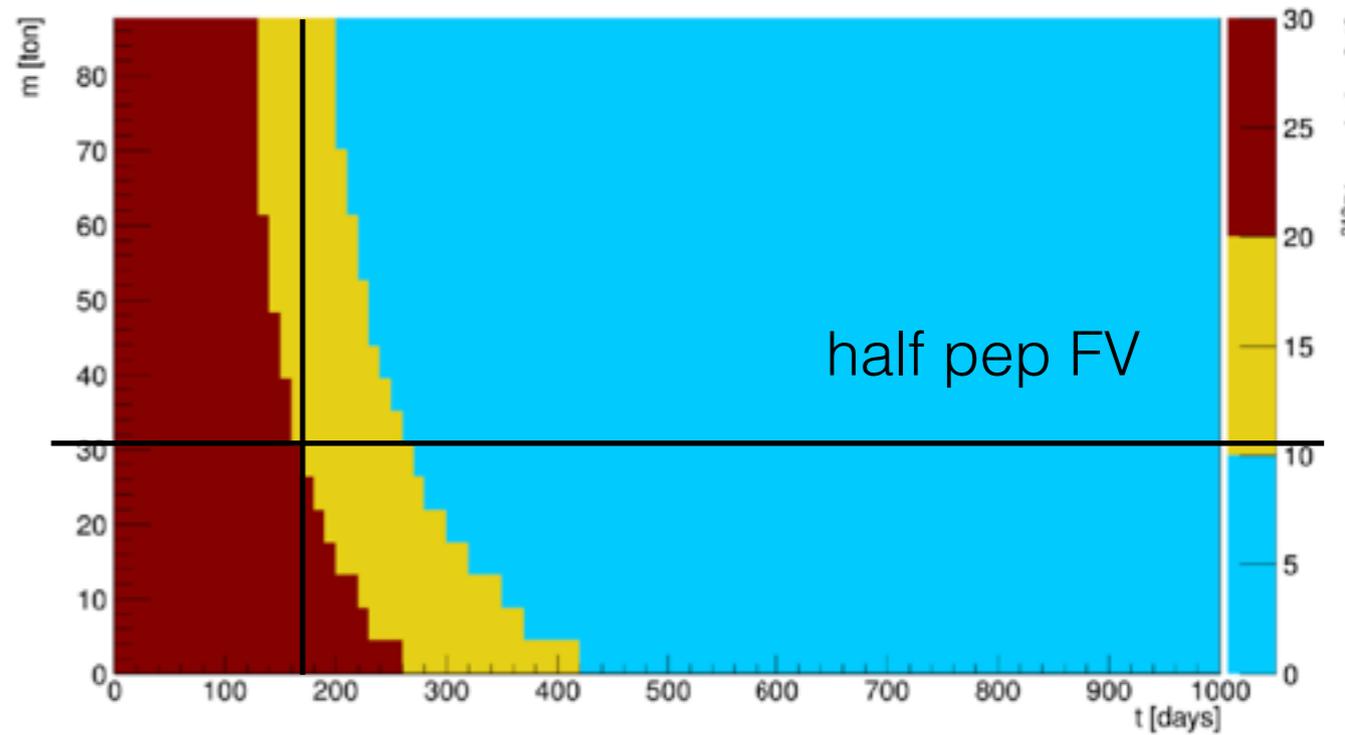
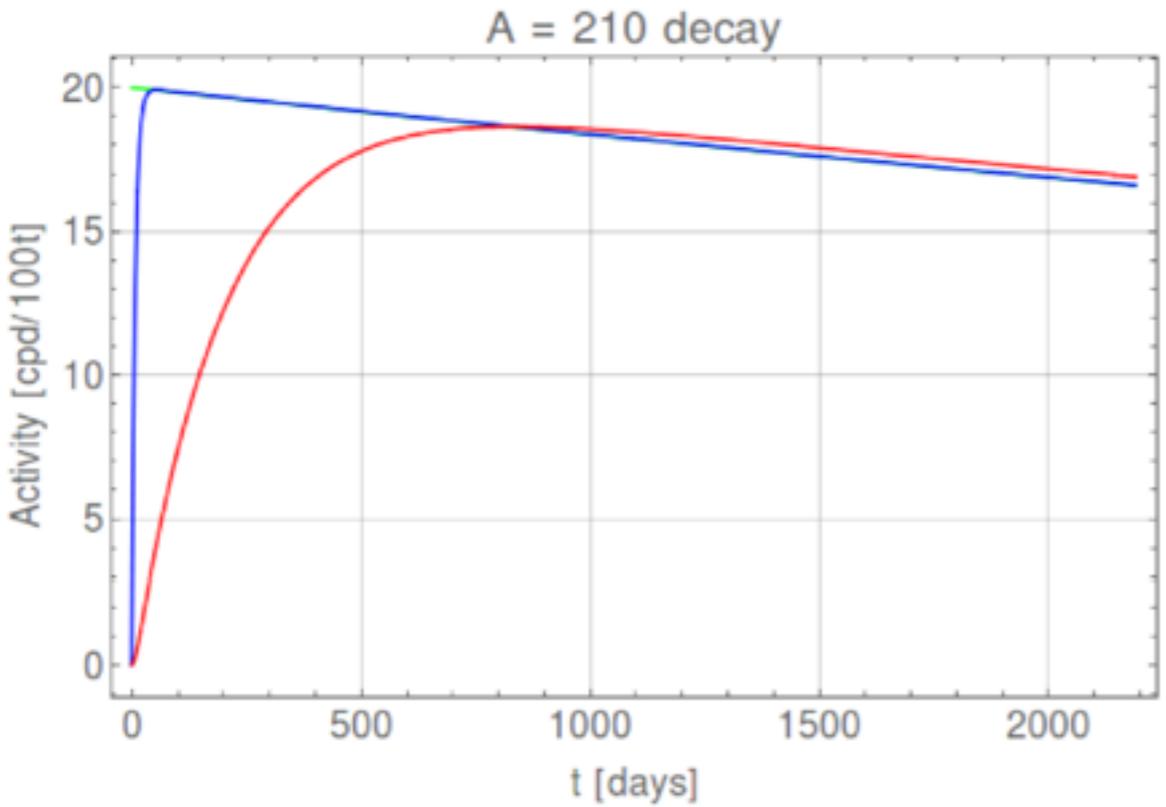
- Hardly distinguish pep, CNO and  $^{210}\text{Bi}$ : only know the sum
- Constrain pep and  $^{210}\text{Bi}$  to measure CNO

pp/pep ratio

$^{210}\text{Po}$  tagging ← Challenging!

$1.00 * \text{CNO} - 1.04 * \text{Bi210} - 0.15 * \text{pep} =$	$6.60 \pm 11.34$	<b>CNO vs <math>^{210}\text{Bi}</math></b>
$1.00 * \text{CNO} + 1.06 * \text{Bi210} - 0.65 * \text{pep} =$	$21.01 \pm 1.53$	<b>CNO vs pep</b>
$1.00 * \text{CNO} + 0.60 * \text{Bi210} + 2.51 * \text{pep} =$	$23.05 \pm 0.57$	<b>counting</b>

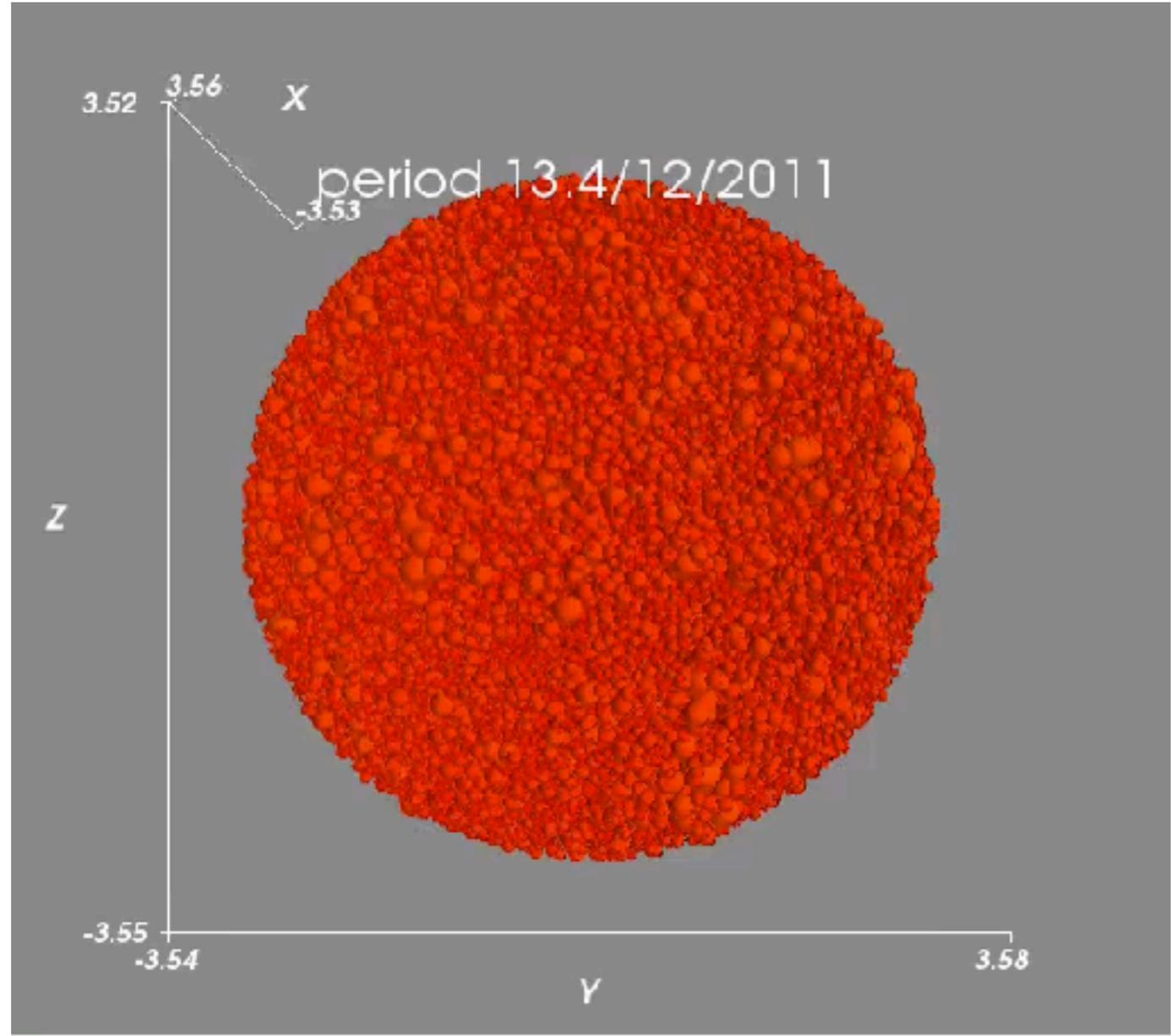
- Diagonalizing the cov. matrix => **get shape precision**
- **$\sigma(\text{CNO}-^{210}\text{Bi}) \sim 11 \text{ cpd}/100\text{t}$**
- **$R(\text{CNO}) \sim 5 \text{ cpd}/100\text{t}$**



- When  $^{210}\text{Pb} \rightarrow ^{210}\text{Bi} \rightarrow ^{210}\text{Po}$  reaches secular equilibrium,  $^{210}\text{Bi}$  can be measured with  $^{210}\text{Po}$  (a)
- With 30 ton FV  $\sim$  6 months  $^{210}\text{Bi}$  can reach 10% precision (statistical).

$$\frac{\partial X_{\text{Po}}}{\partial t} = X_{\text{Bi}} \cdot \lambda_{\text{Bi}} - X_{\text{Po}} \cdot \lambda_{\text{Po}} + \nabla \cdot (D_{\text{Po}} \cdot \nabla X_{\text{Po}} - \vec{v} X_{\text{Po}})$$

Diffusion **Convection**



- Temperature instability induces convective current
- Convection makes local  $^{210}\text{Po}$  concentration contaminated by extra component

**Before insulation**



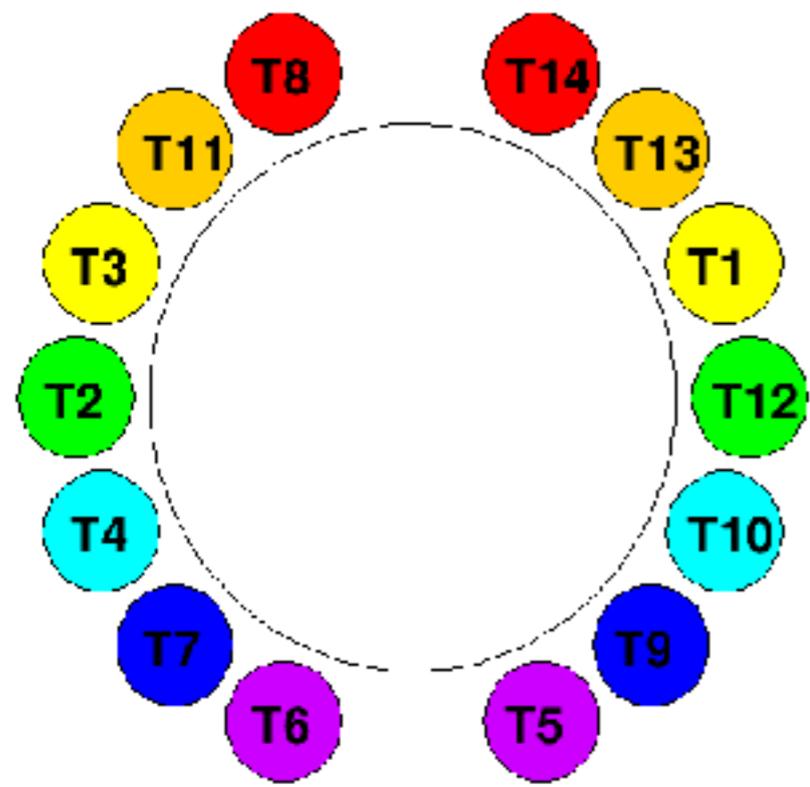
**During insulation**



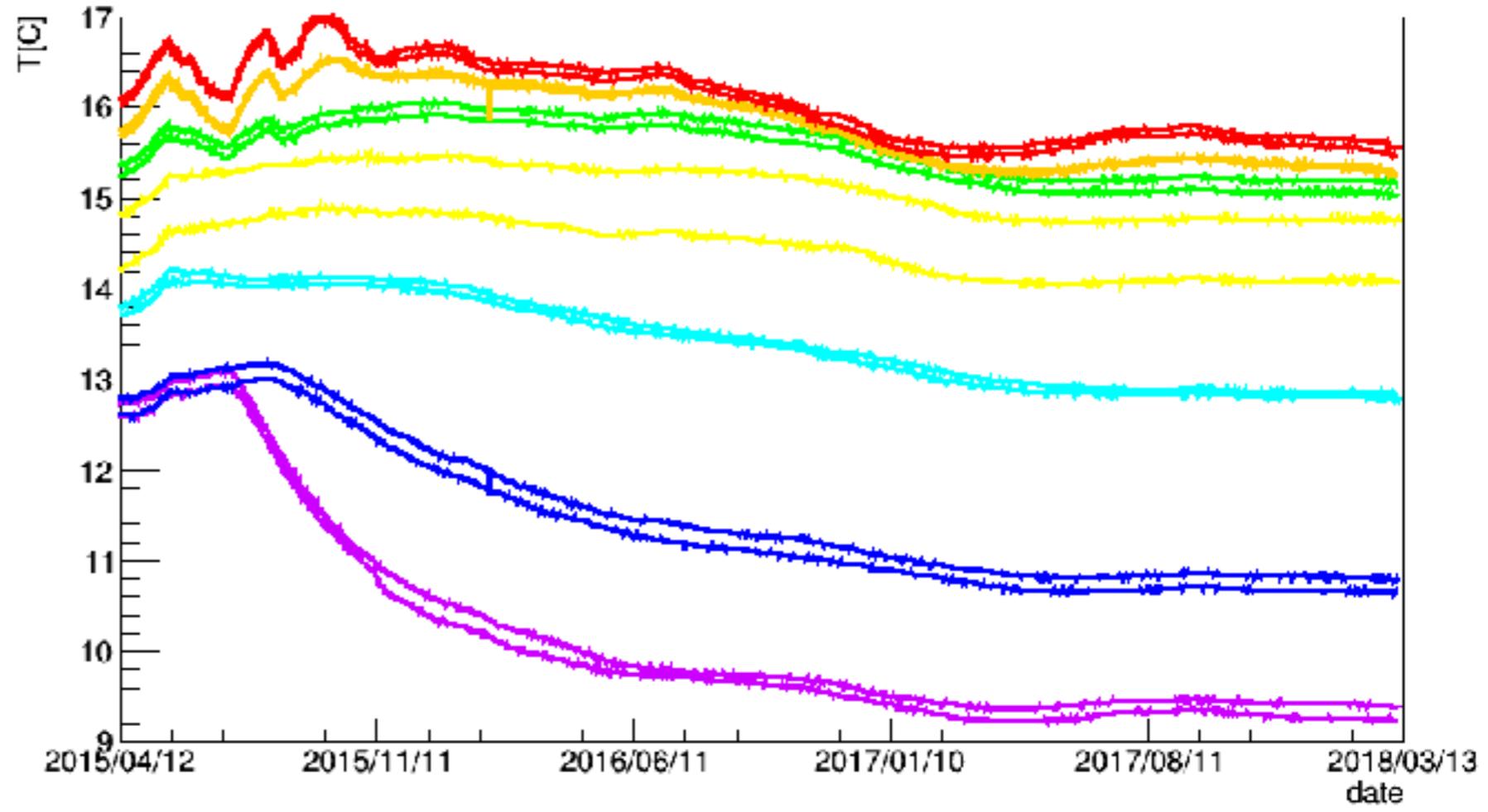
- 20 cm Rockwool dressed to maximize the temperature gradient and stabilize the detector's stratification in order to **reduce** convective transport of  $^{210}\text{Po}$  from the **periphery** to the **FV**
- **Detector** wide and **experiment hall** wide Heating system



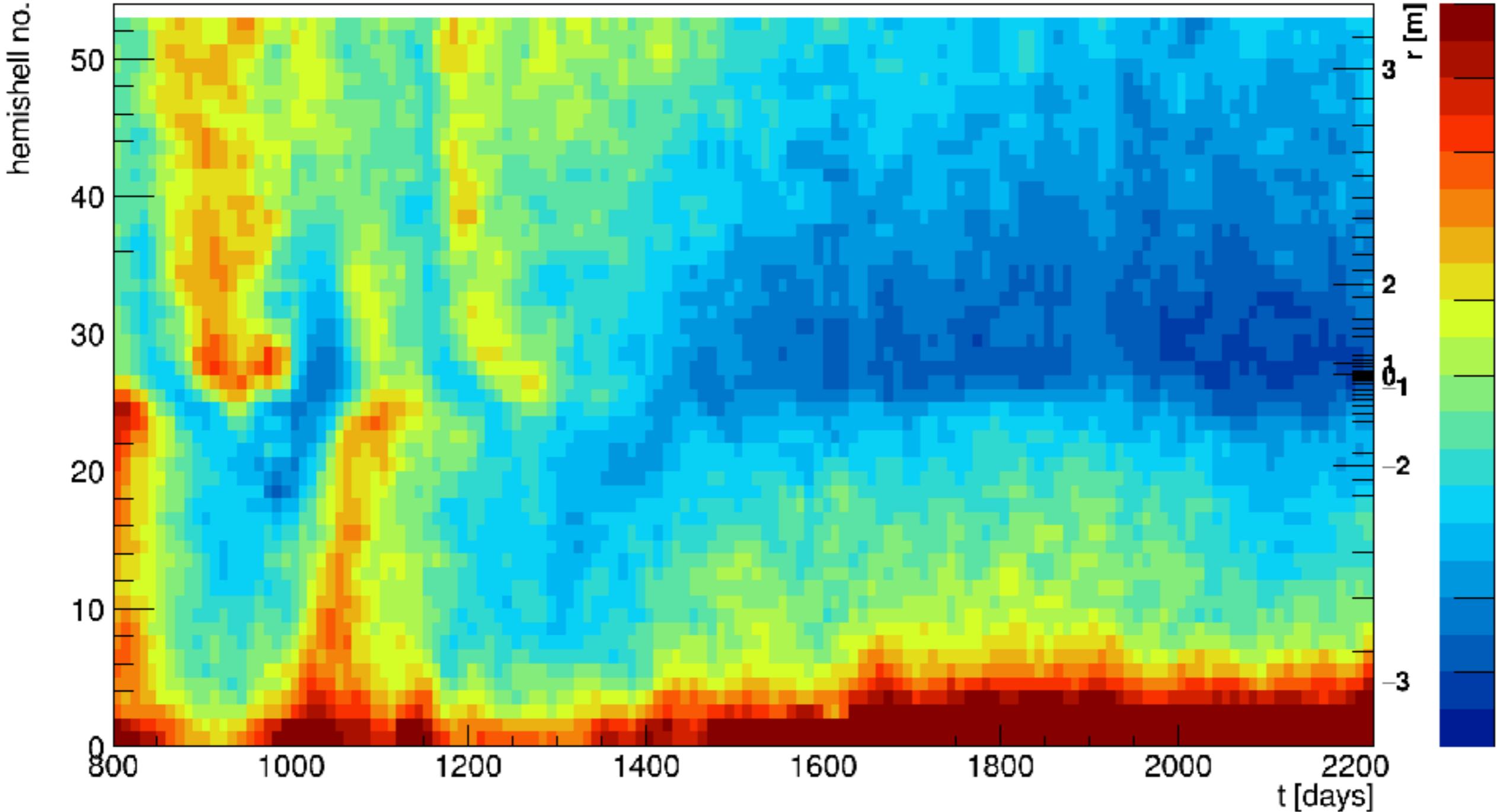
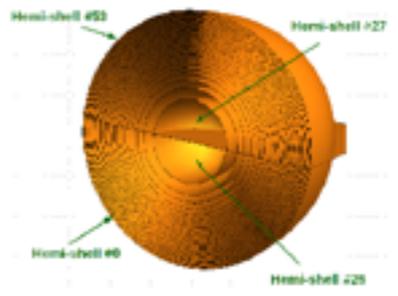
# Temperature profile history

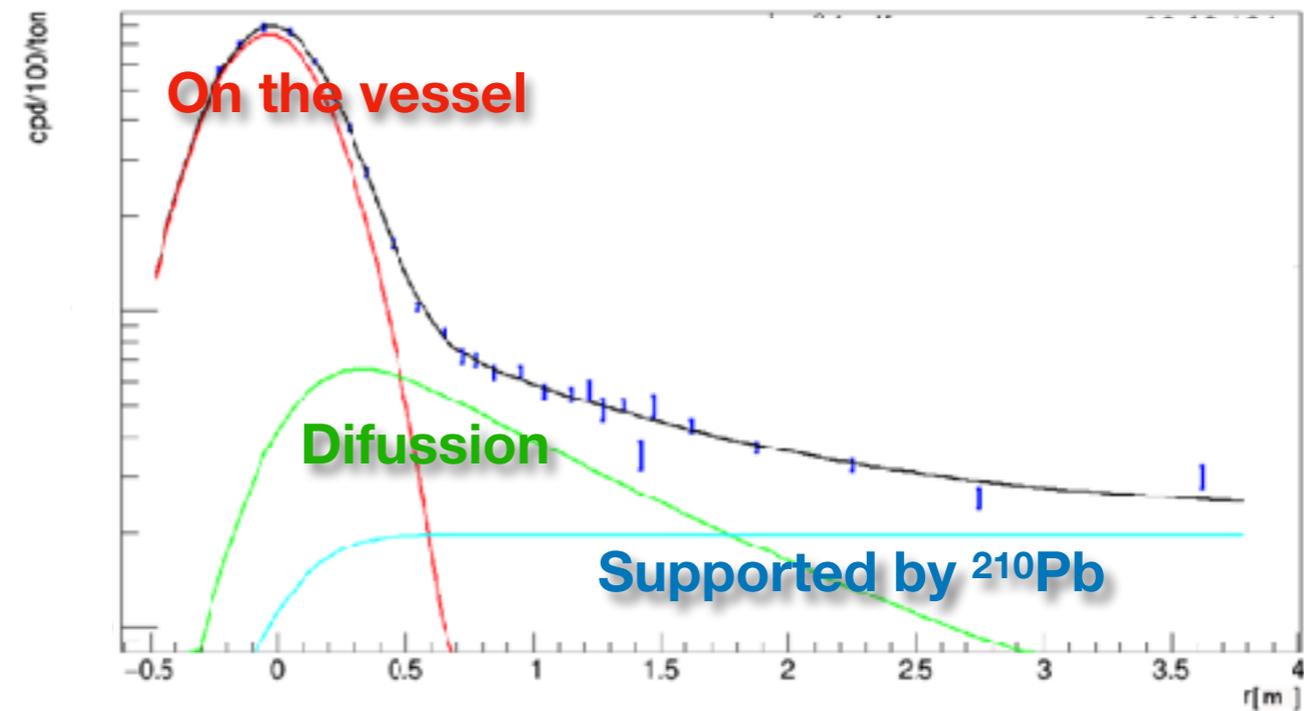
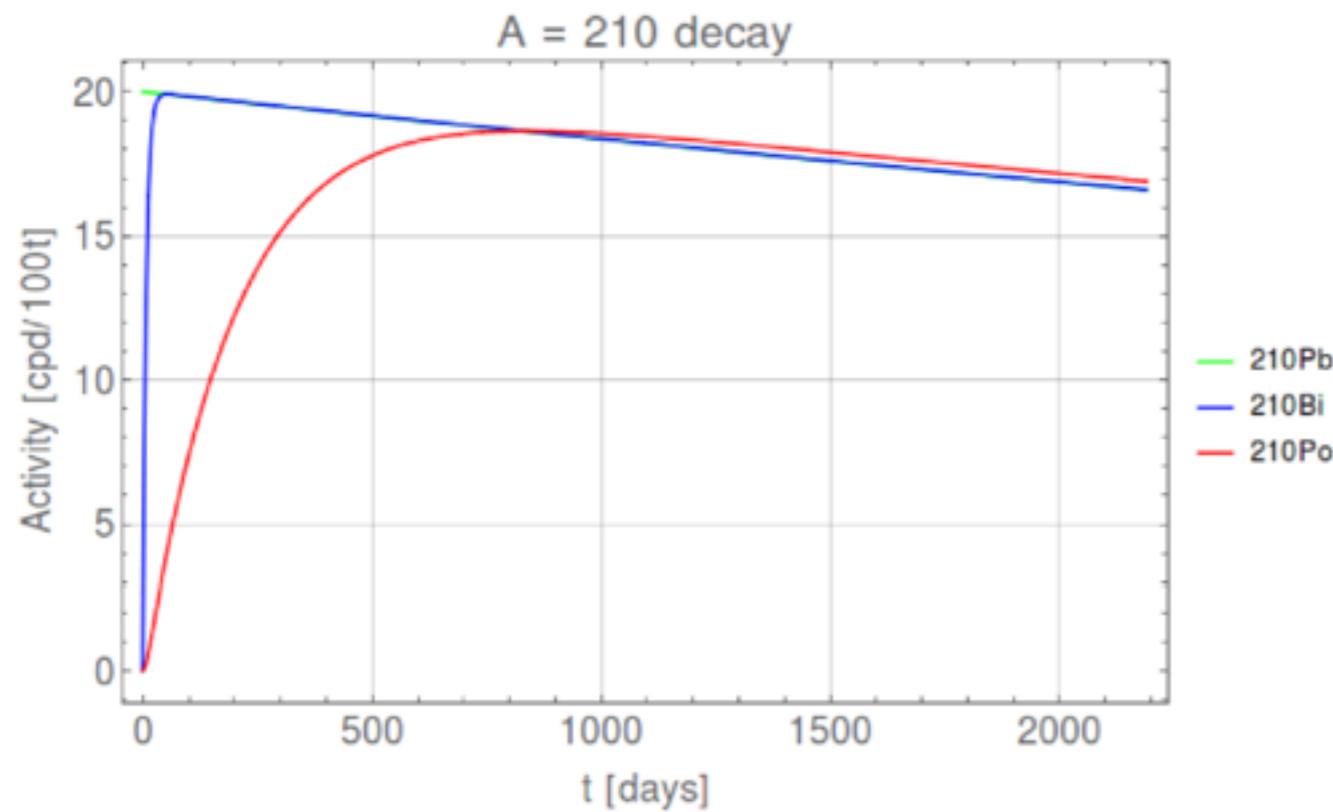


### Water Tank Re-entrant Sensors



## Hemishell Analysis





- Define **clean** region, then do temporal fit to extract  $^{210}\text{Bi}$
- **Residual convection component:** systematics. Study is still ongoing to evaluate its magnitude. Radial fit can provide cross-check.

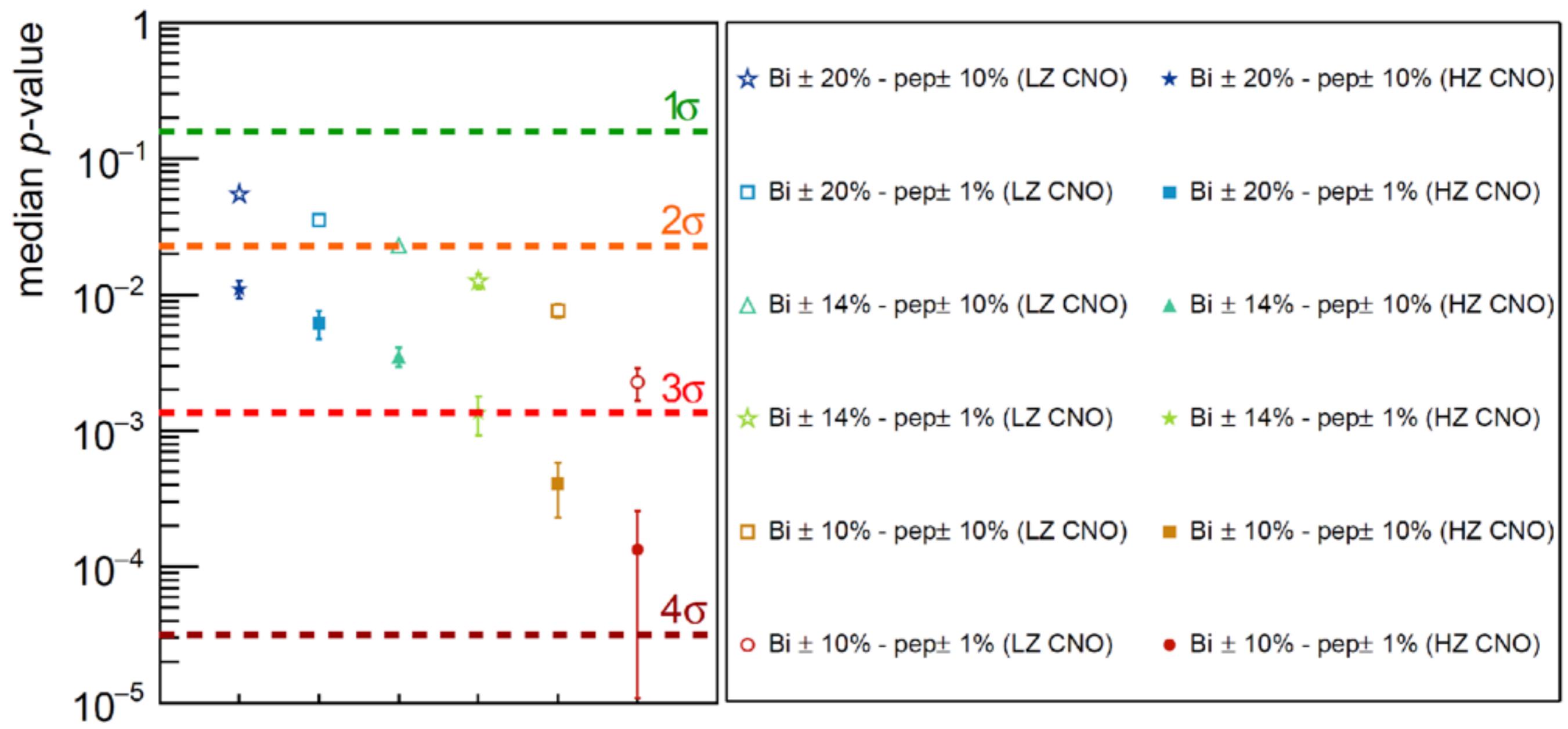


# Expected CNO sensitivity



- Assuming pep and  $^{210}\text{Bi}$  constraint of certain precision

$\nu(\text{CNO})$  median p-value (LZ/HZ hypothesis)

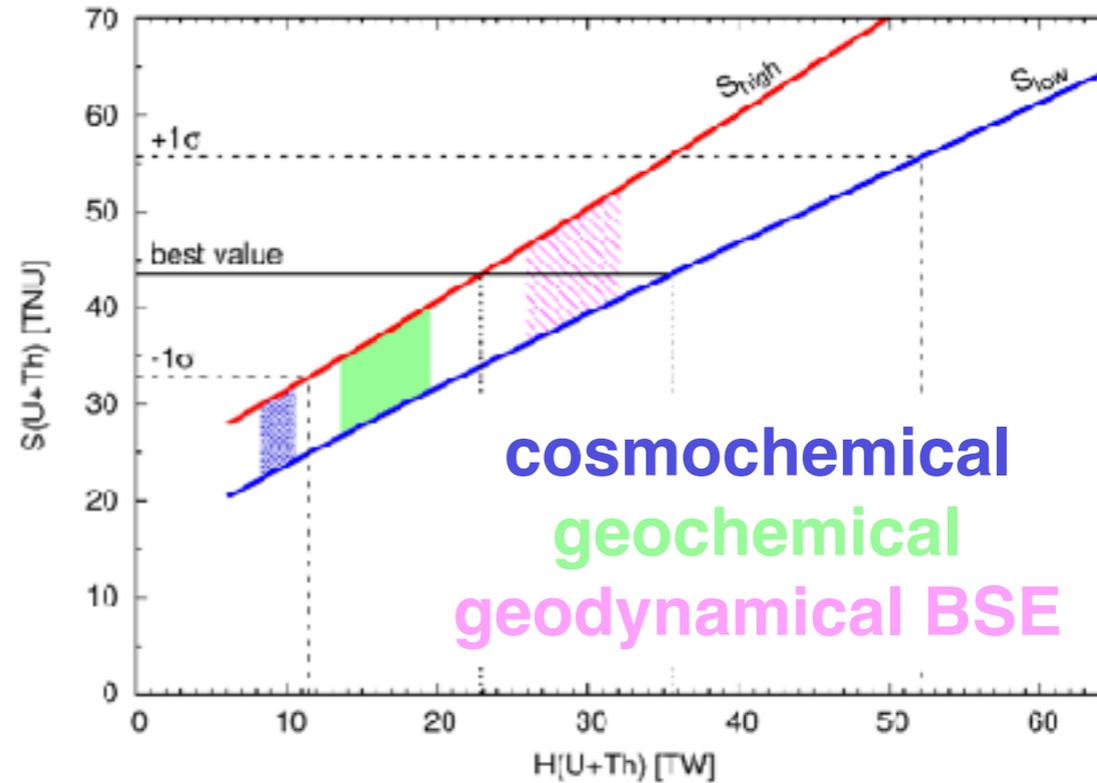
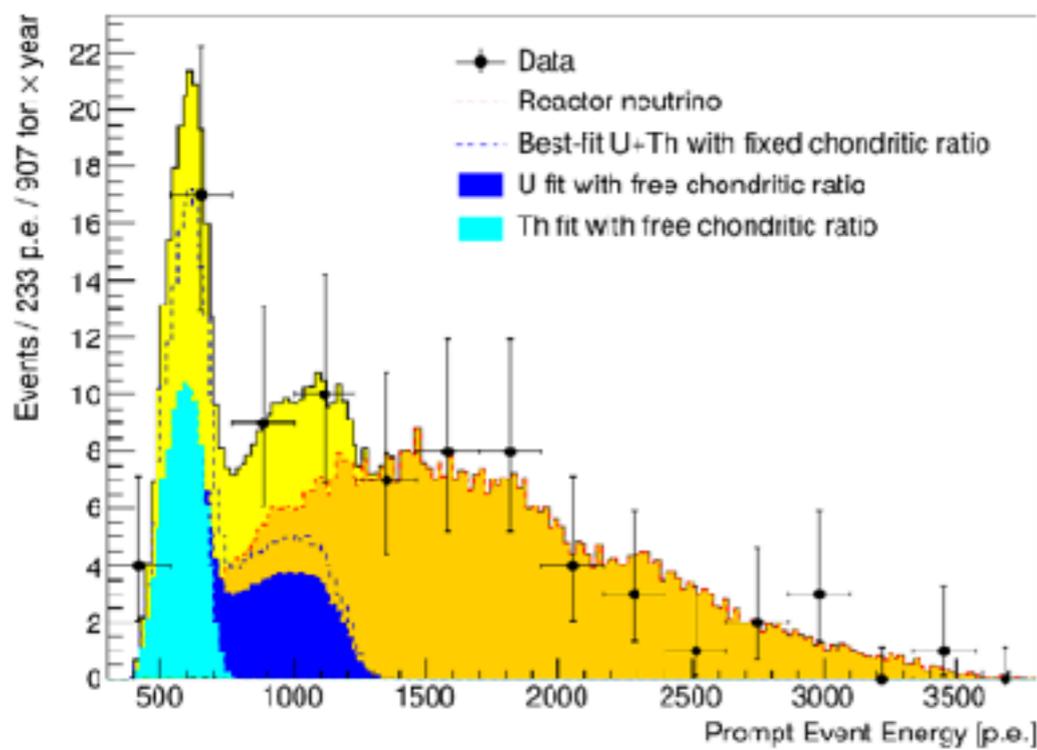




# Outline



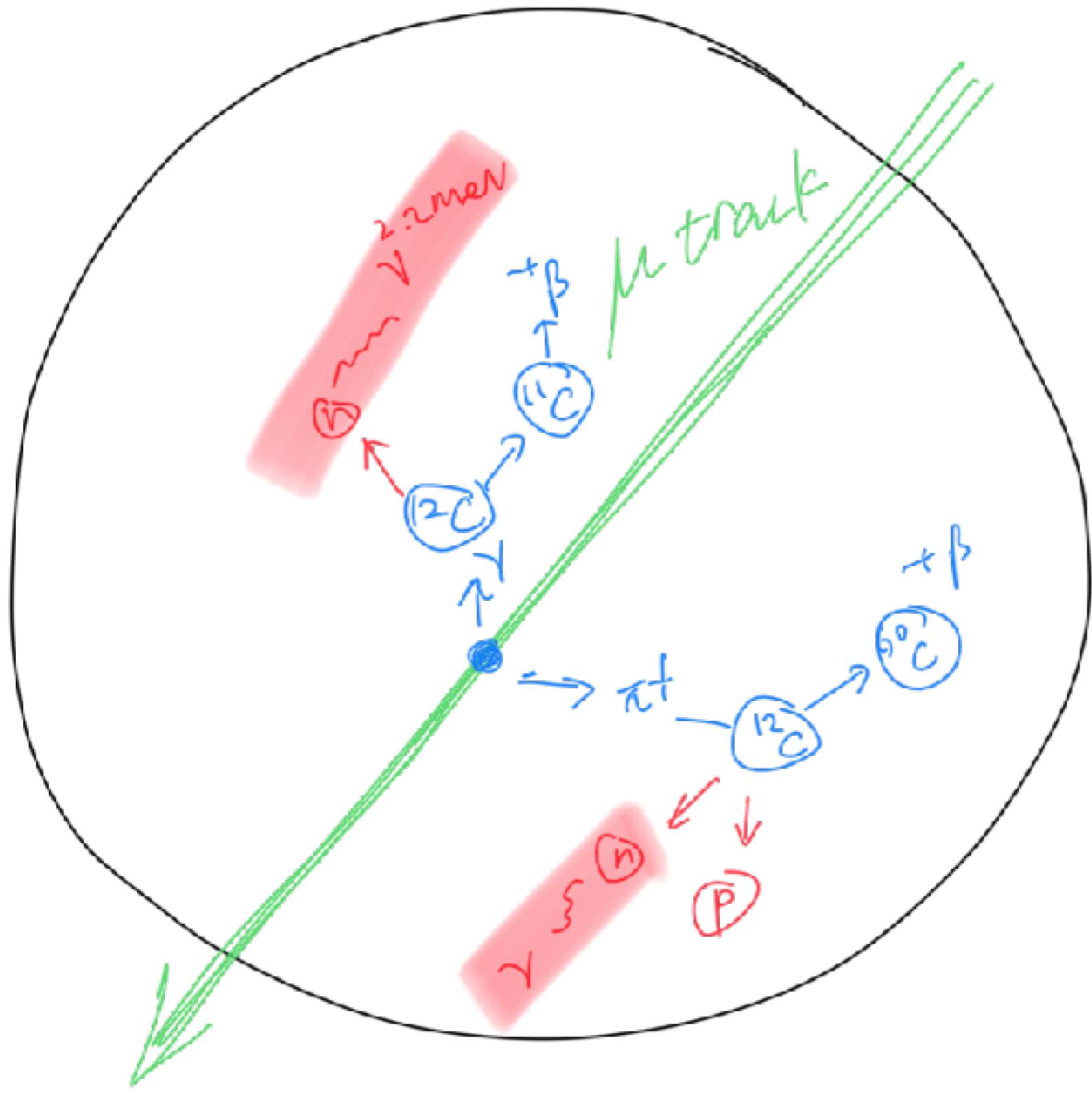
- Borexino experiment
- Full pp-chain solar neutrino
- Prospects for CNO solar neutrino
- **Geo-neutrinos**
- Conclusion



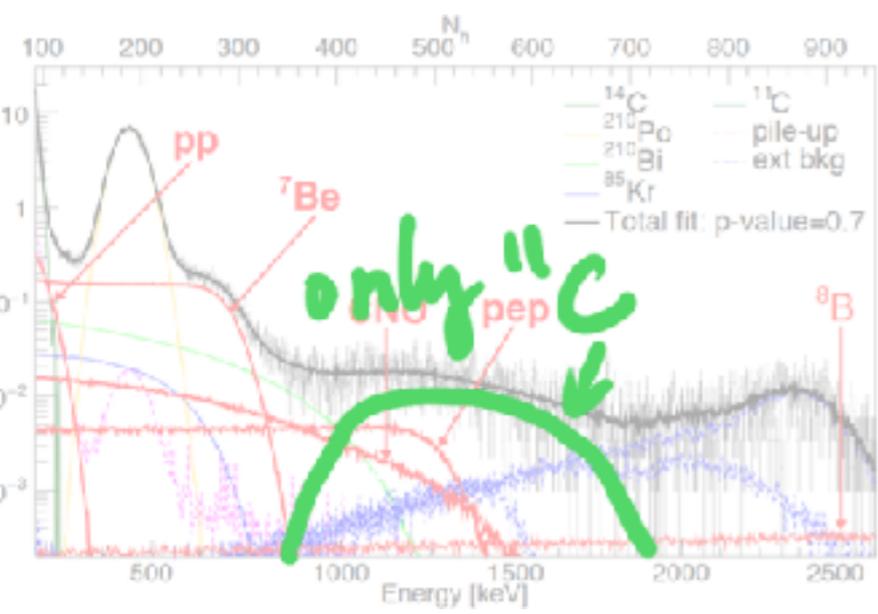
- 2056 days data (907 ton x year), 77 candidate
- $S_{\text{geo}} = 23.7^{+6.5}_{-5.7}(\text{stat})^{+0.9}_{-0.6}(\text{sys})$  TNU (**5.9  $\sigma$** ,  $m(\text{Th})/m(\text{U})=3.9$ )
- geochemical and geodynamical BSE **fully compatible**,  
cosmochemical rejected at **1  $\sigma$**  level
- Geo-neutrinos from mantle observed at **98% C.L.**

- Borexino reported simultaneous measurement of **full** pp-chain solar neutrinos.
- Significant improvement achieved in **stabilizing** detector stratification and **reducing** convection current.
- With 10%  $^{210}\text{Bi}$  measurement, the median sensitivity of CNO neutrinos is  **$\sim 3.7 \sigma$  (HZ) or  $\sim 2.8 \sigma$  (LZ)**
- With 2056 days of data, we observed geo-neutrino at  $5.9\sigma$  C.L. and observed mantle geo-neutrino at 98% C.L.

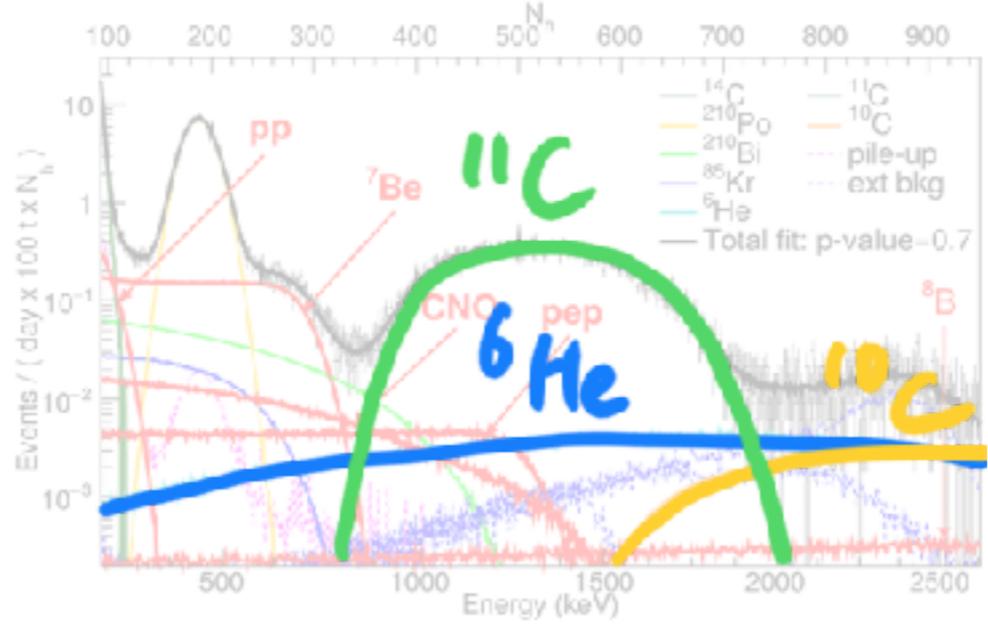
# Backup



- Production of  **$^{11}\text{C}/^{10}\text{C}$**  is associated with production of **neutron**



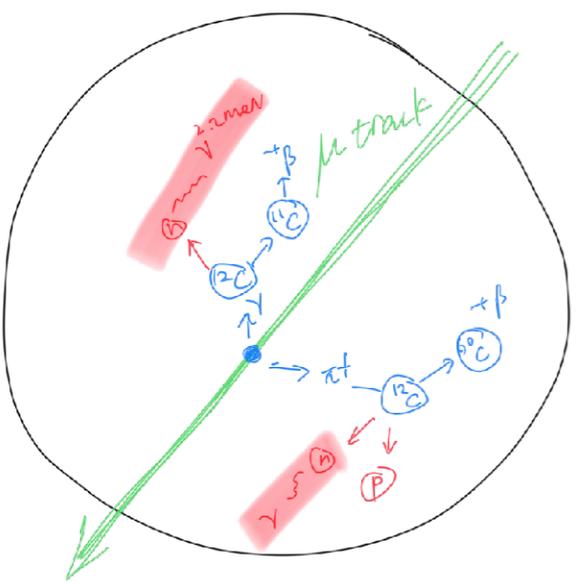
LER-A



LER-B

Background	HER-I rate [10 <sup>-4</sup> cpd/100 t]
Fast cosmogenics	13.6±0.6
Muons	1.2±0.1
Neutrons	0.72±0.02
<sup>10</sup> C	9.5±14.1
<sup>11</sup> Be	0 <sup>+36.3</sup> <sub>-0.0</sub>
<sup>214</sup> Bi	2.2±1.0
Total	27.2 <sup>+38.9</sup> <sub>-14.1</sub>

HER-II



- $\mu$  track + spallation neutron + cosmogenic
- LER: (remove  $92 \pm 4\%$  <sup>11</sup>C, energy fit)
  - <sup>6</sup>He (no neutron associated) also suppressed
- HER: (remove  $92.5^{+7}_{-20}\%$  <sup>10</sup>C, temporal fit)
  - <sup>11</sup>Be (no neutron associated) also suppressed