



Impact of LHC data on UHECR physics

UHECR 2018

Paris, 8th–12th October 2018

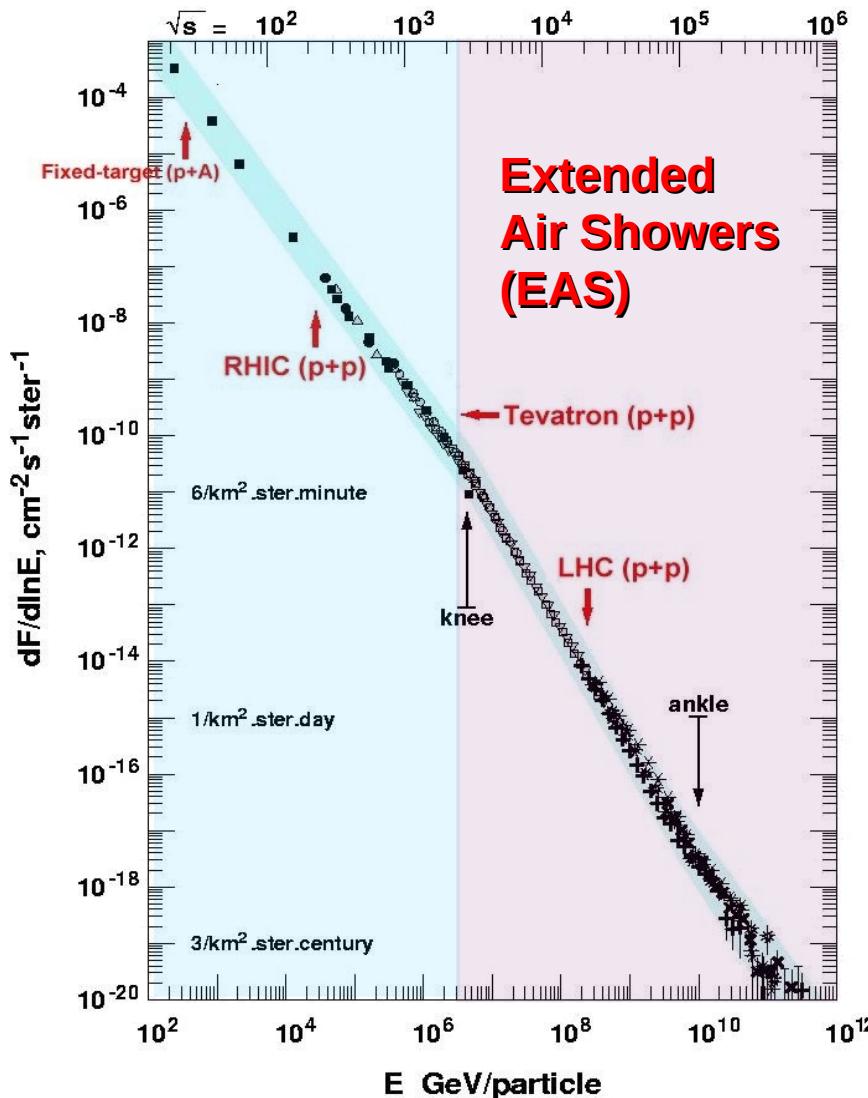


David d'Enterria
CERN

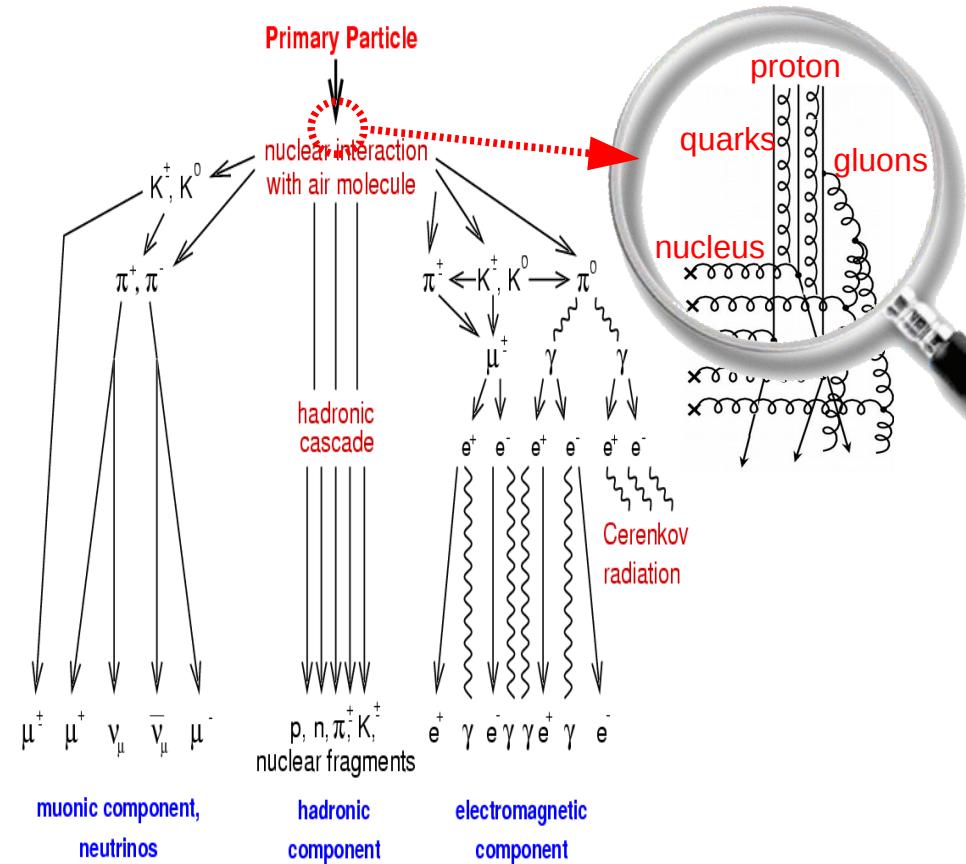
Mostly based on: D. d'E, Engel, Pierog, Ostapchenko, Werner, Astropart. Phys. 35 (2011) 98
D. d'E, T. Pierog, G. Sun, arXiv:1809.06406

Ultra High Energy Cosmic-Rays via EAS

- CR energy & identity for $E_{\text{CR}} = 10^{15} - 10^{21}$ eV determined using earth atmosphere as a "calorimeter" & comparing shower to hadronic MCs:

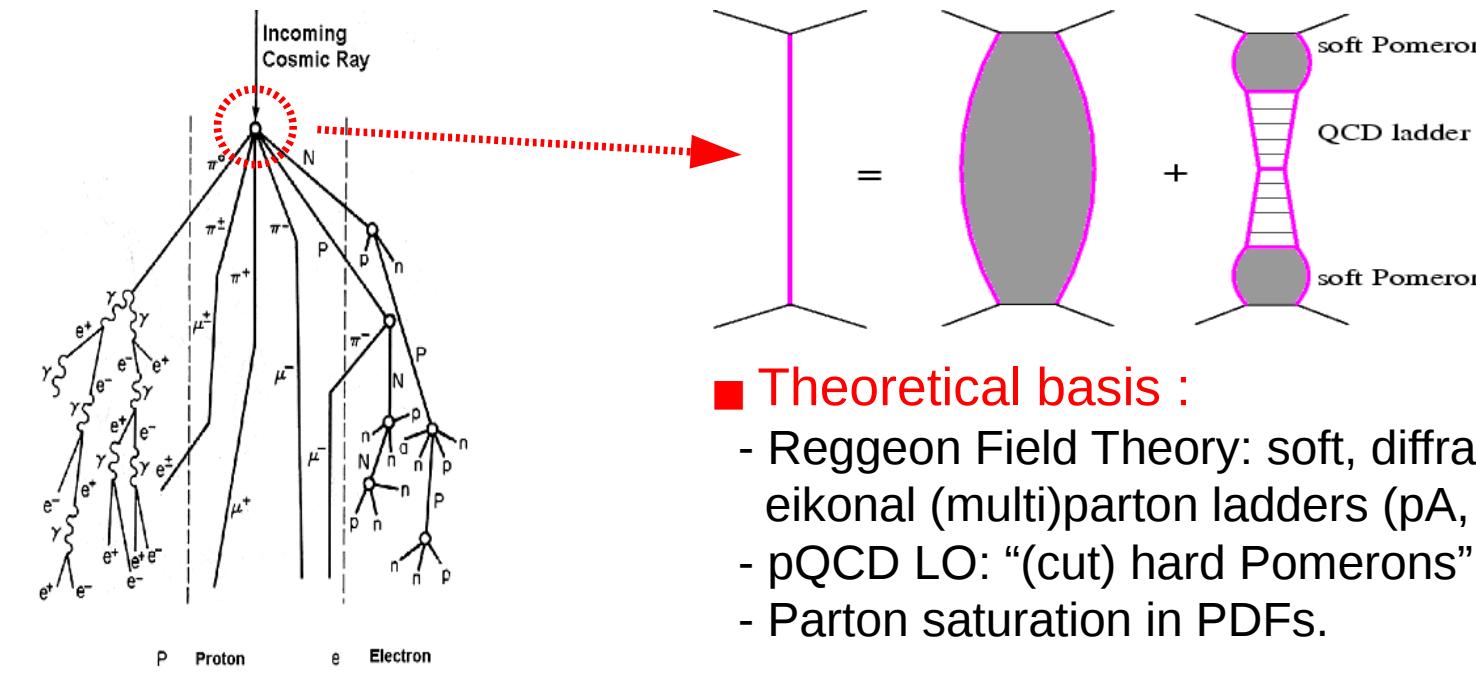


- CR+Air collisions: QCD interactions at c.m. energies up to $\sqrt{s}_{\text{GZK}} \sim 400$ TeV



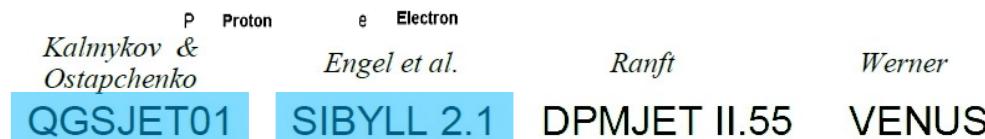
Hadronic Monte Carlos for UHECR

■ Primary hadronic collisions ($p-p$, $p-A$) = Complex QCD interactions:



■ Theoretical basis :

- Reggeon Field Theory: soft, diffraction, eikonal (multi)parton ladders (pA , AA)
- pQCD LO: "(cut) hard Pomerons"
- Parton saturation in PDFs.



■ Non-pQCD modeling:

- String hadronization.
- Beam-remnants.

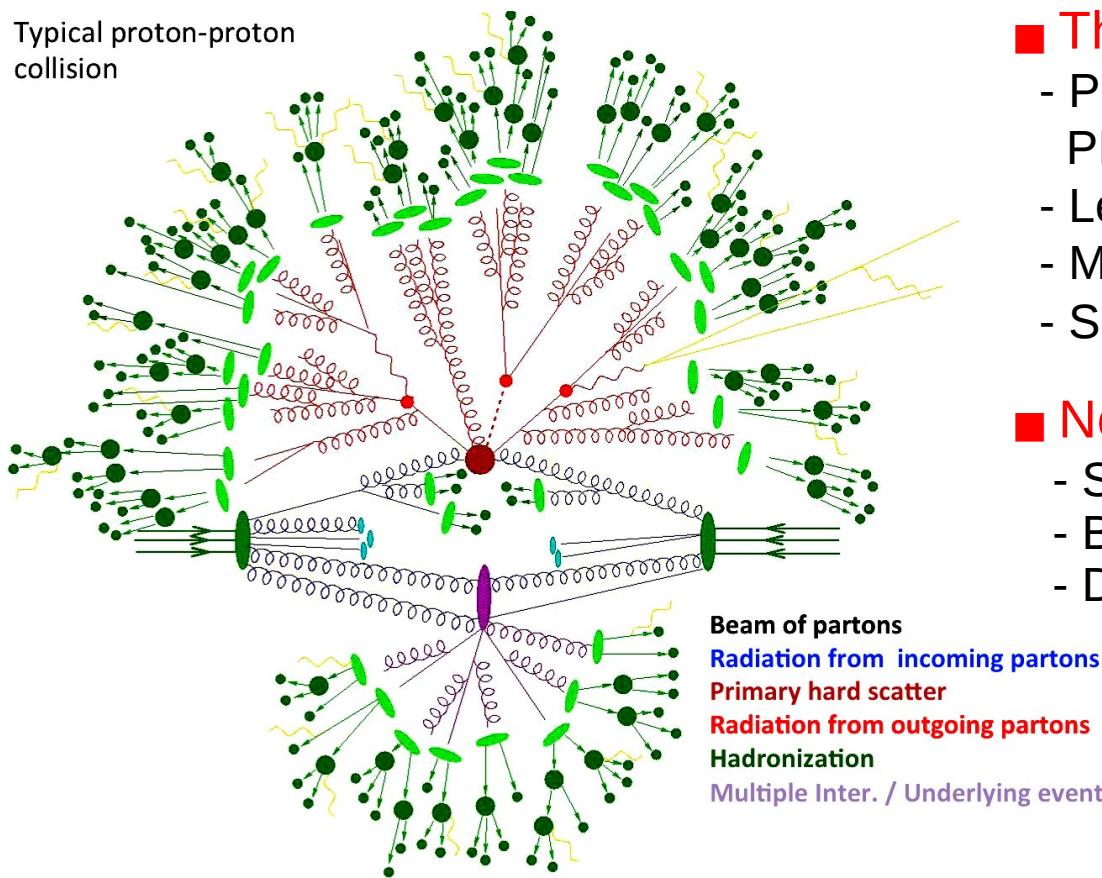
■ Model parameters:

- $O(20-100)$ parameters tuned to multiple accelerator/collider data.

Hadronic Monte Carlos for LHC collisions

■ Proton-proton collisions in PYTHIA, HERWIG,...

Typical proton-proton collision



■ Theoretical basis:

- Perturbative QCD (LO + K-factor): PDFs, matrix-elements.
- Leading-log parton shower.
- Multiparton interactions.
- Saturation-based infrared p_T cut-off

■ Non-pQCD modeling:

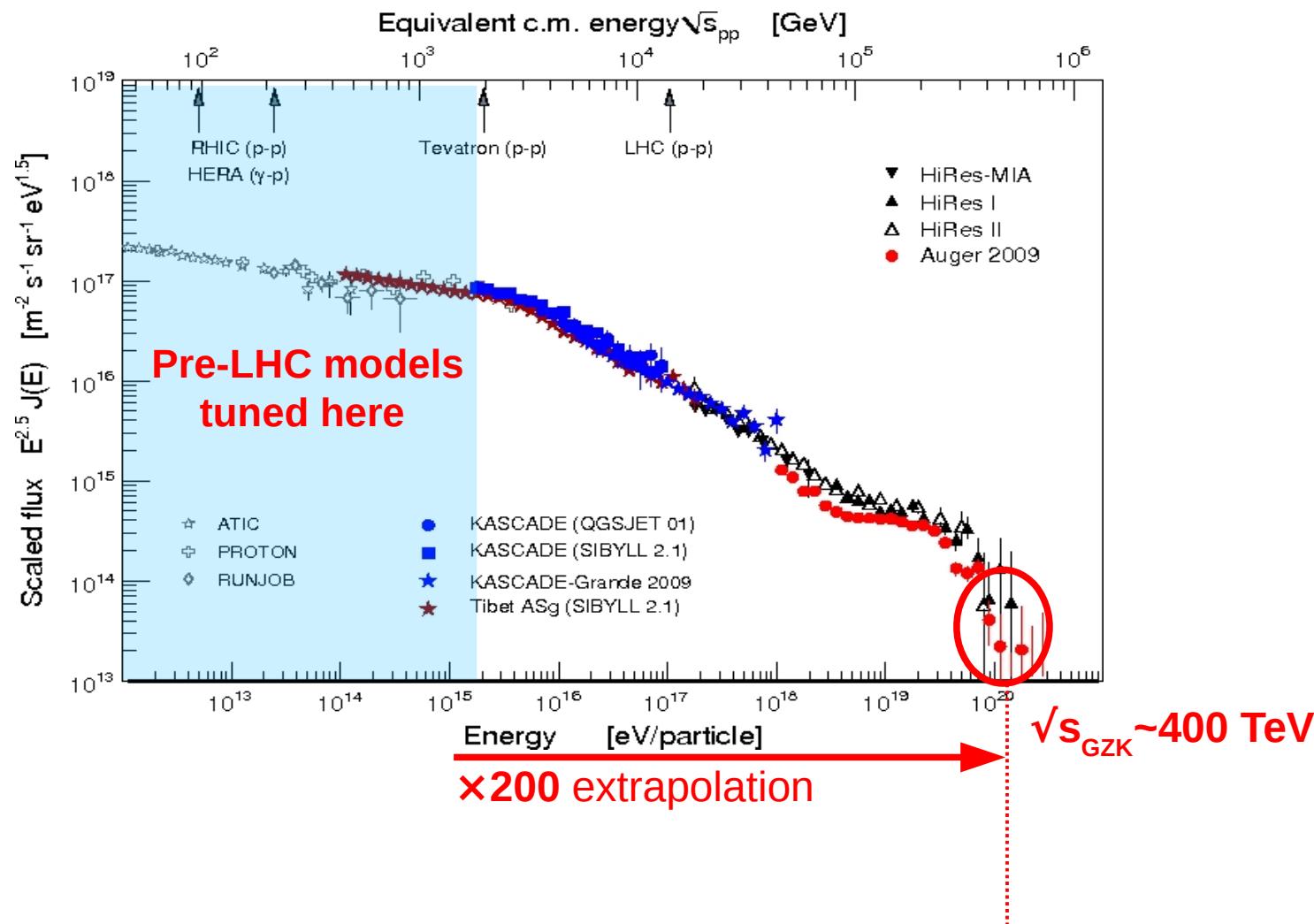
- String fragmentation (Lund model).
- Beam-remnants.
- Diffraction.

■ Model parameters:

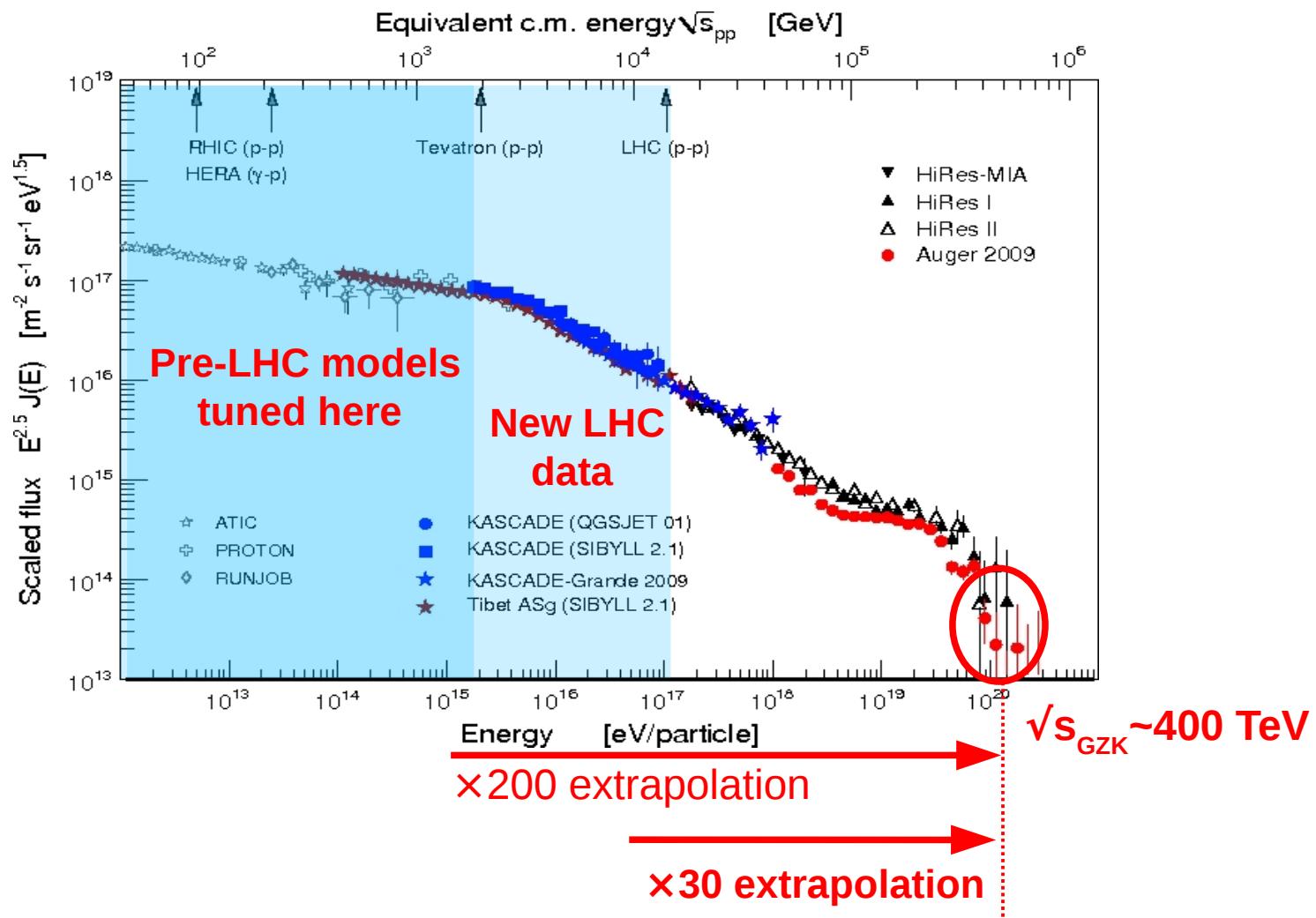
- $O(100)$ parameters
- Multiples **tunes** to many **collider measurements**.

- No p-A, A-A available (yet). But PYTHIA comparable to EPOS/QGSJET via:
 - Constructing a **CONEX hydrogen atmosphere** with same **density as air**.
 - Running **PYTHIA-6 proton-hydrogen** with varying MC tunes to LHC data.

Hadronic MCs tuning with (pre-LHC) collider data



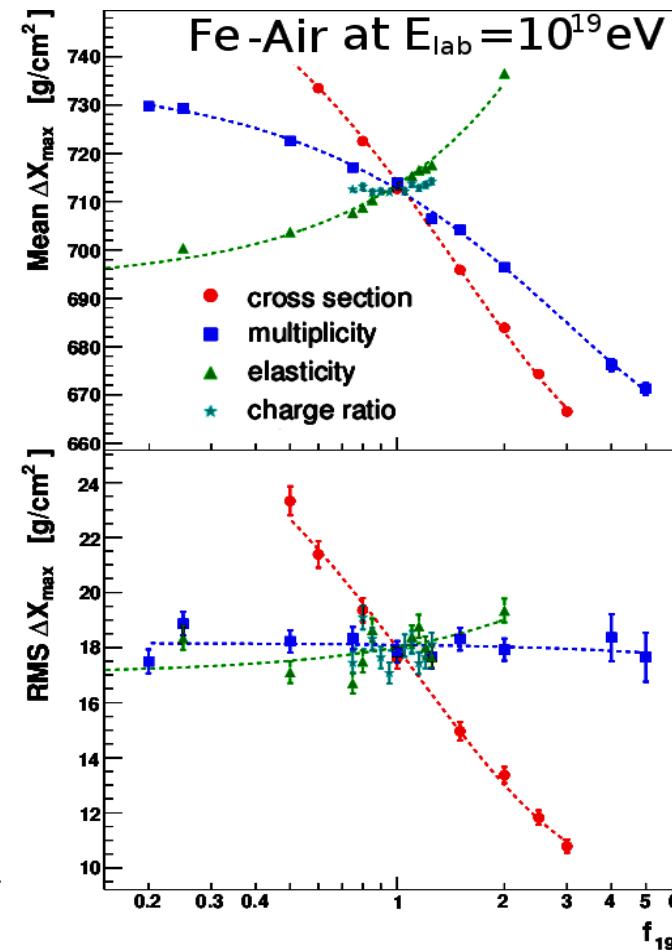
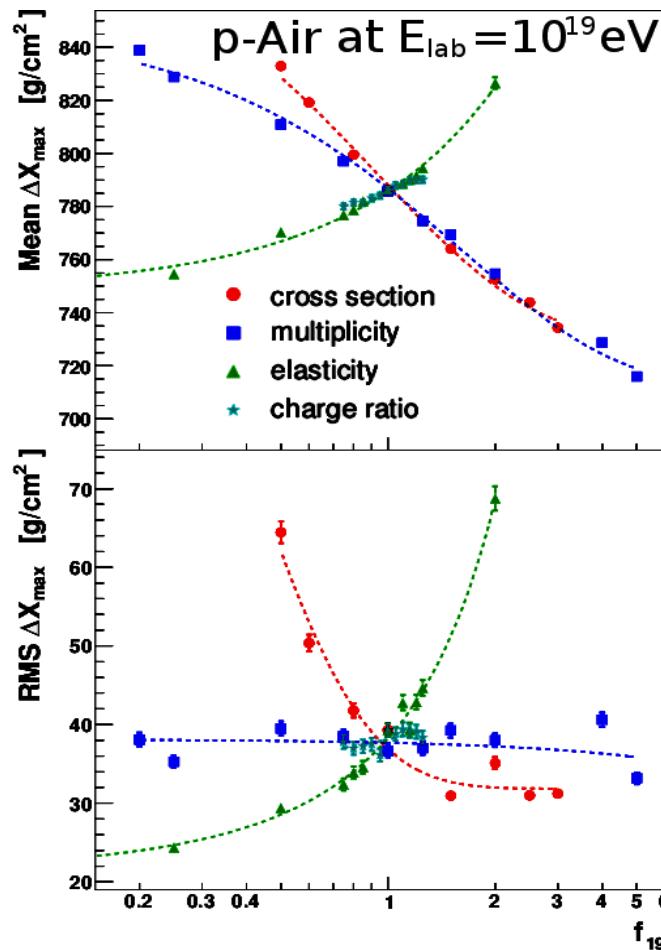
Hadronic MCs tuning with LHC data



- The LHC provides a significant lever-arm in providing constraints for hadronic Monte Carlos for UHECR

Key MC parameters for EAS development

- Average shower max. depth (X_{\max}) & its fluctuations (RMS- X_{\max}) are key observables to determine primary CR energy & identity (p, Fe)
- Chiefly depend on the p-p inel. cross section, multiplicity, elasticity, charge ratio in the MC:

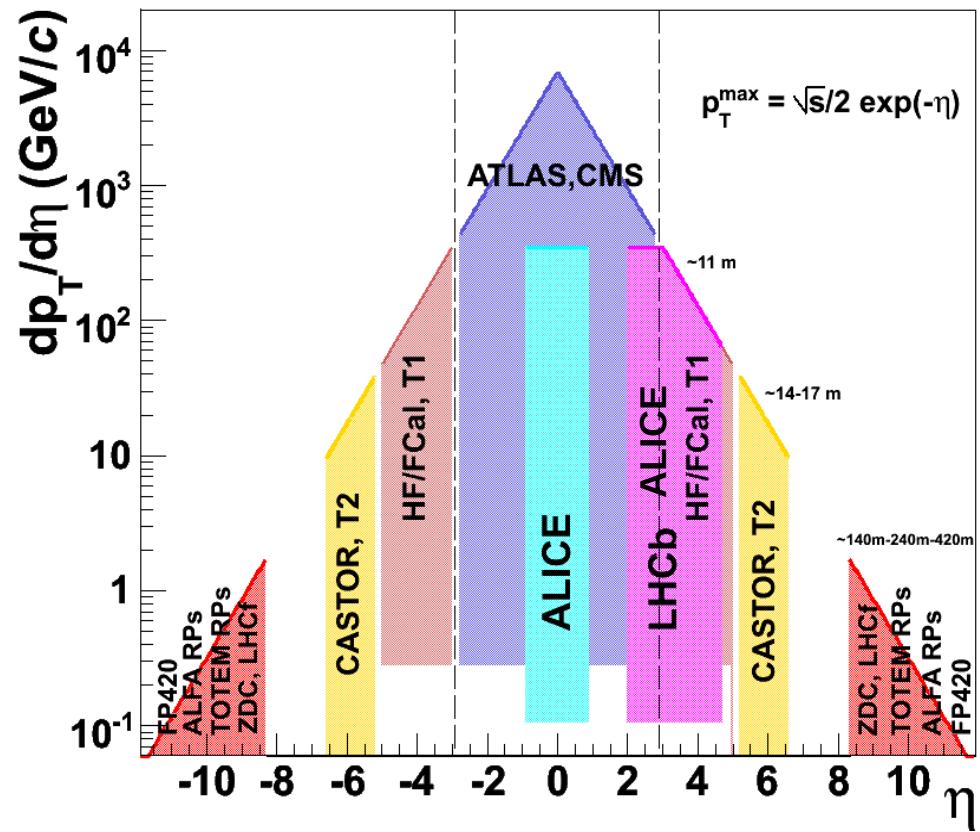
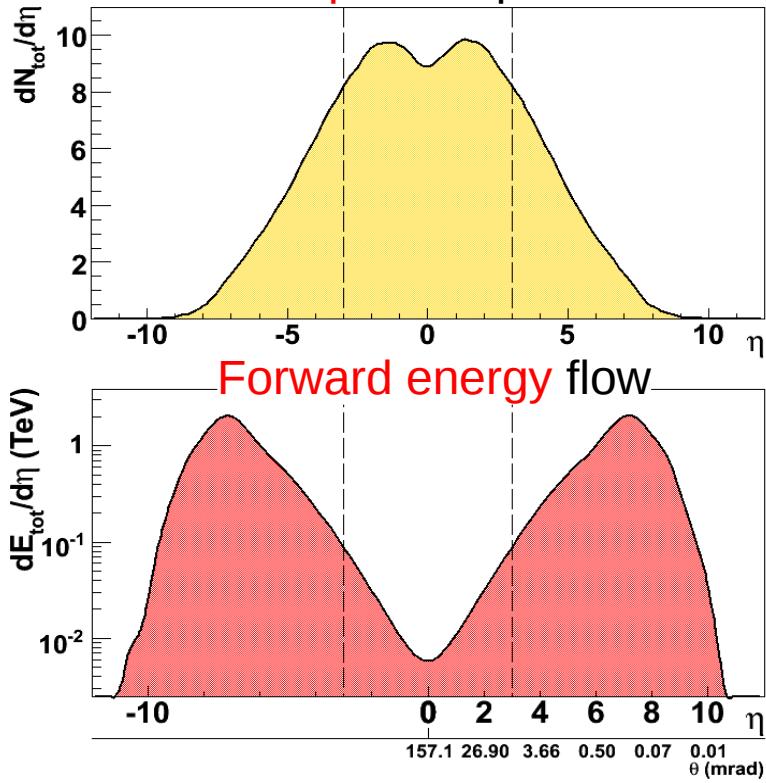


[Ulrich, Engel, Unger, Phys.Rev. D83 (2011) 054026]

LHC experiments: (p_T, η) acceptance

p-p @ 14 TeV

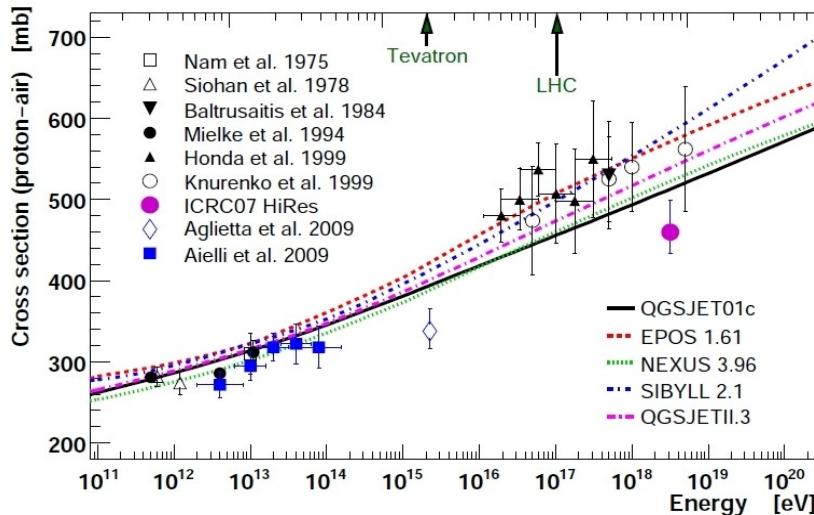
Central particle production



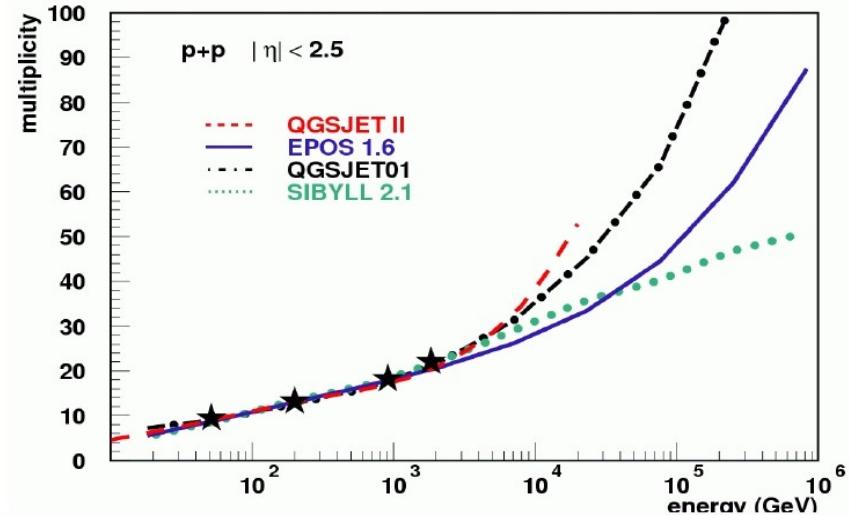
- Particle production in p-p, p-A, A-A up to $\Delta\eta \sim 2 \times \ln(\sqrt{s})/m_p \sim 20$ units
- Dedicated detectors at **forward** rapidities: TOTEM, LHCf, Alfa, CASTOR...
- All phase-space virtually covered: **1st time in a collider !**

Cosmic-ray MCs (pre-LHC) vs. LHC data

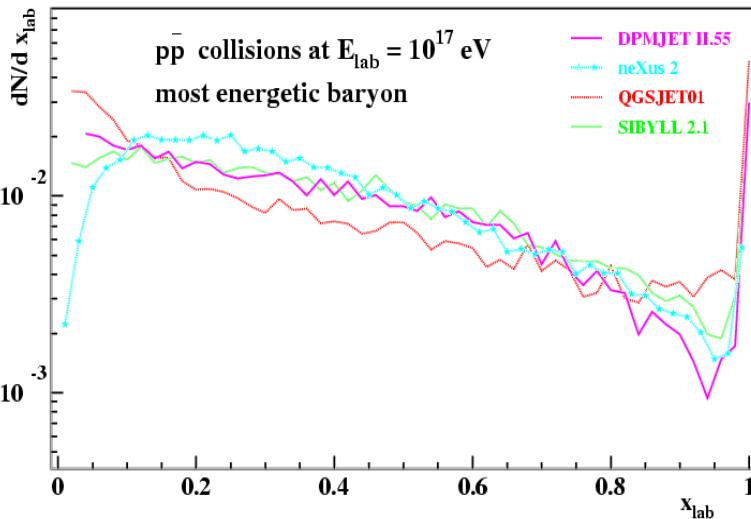
Hadronic inelastic cross-section



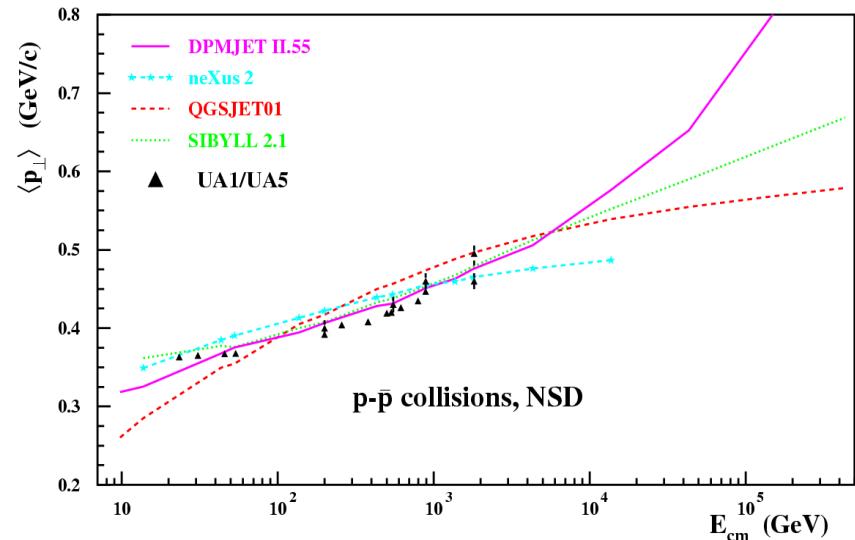
Hadron multiplicity



Forward particle production

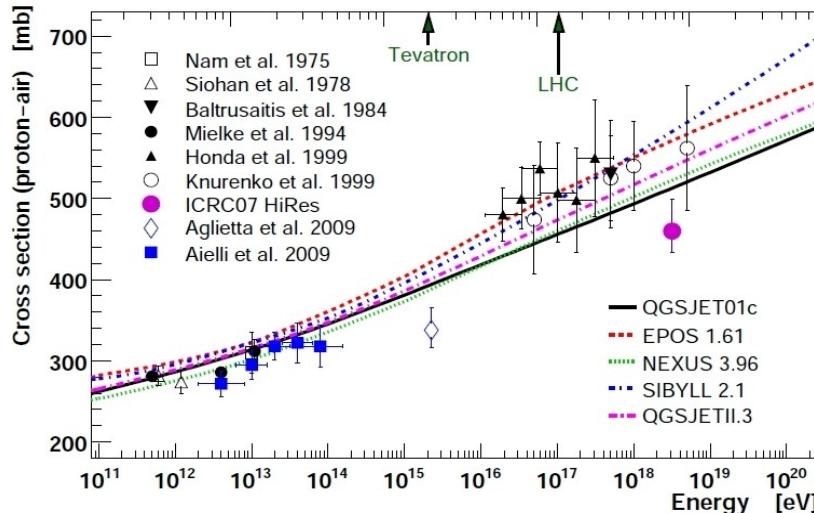


Average transverse momentum

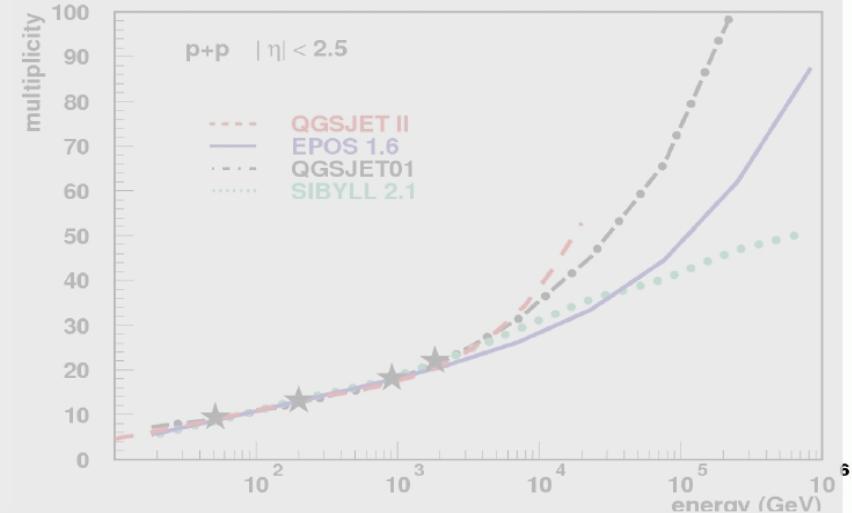


Cosmic-ray MCs vs. LHC data (I)

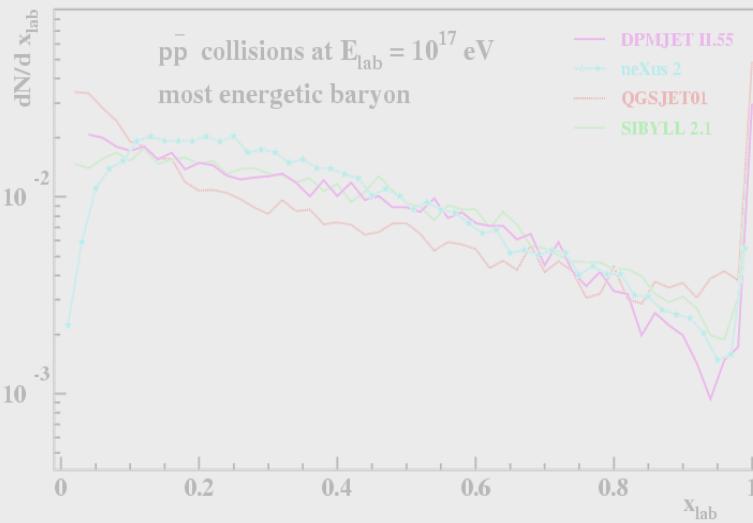
Hadronic inelastic cross-section



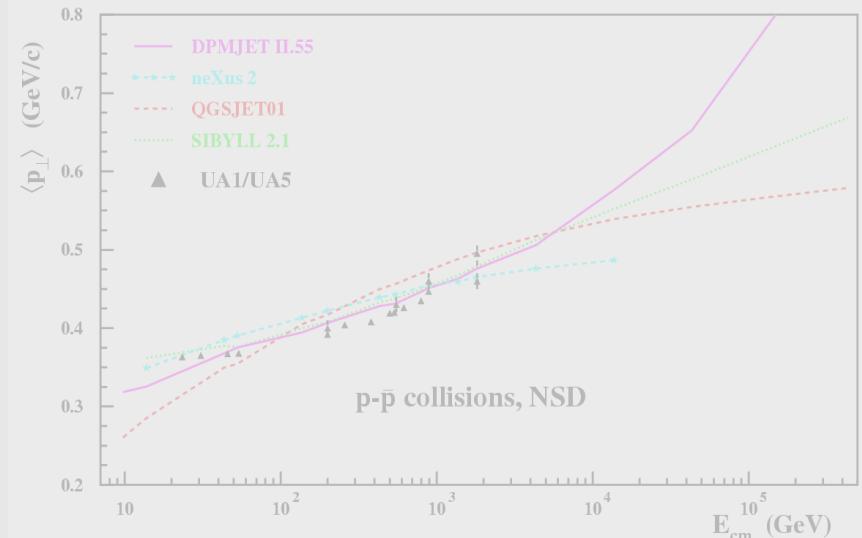
Hadron multiplicity



Forward particle production



Average transverse momentum

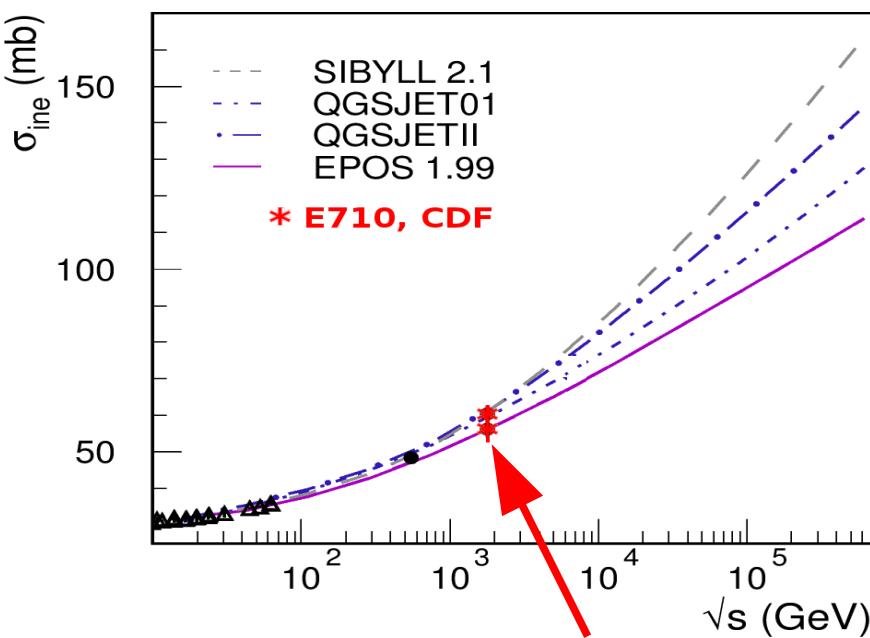


Total & (in)elastic p-p cross sections (pre-LHC)

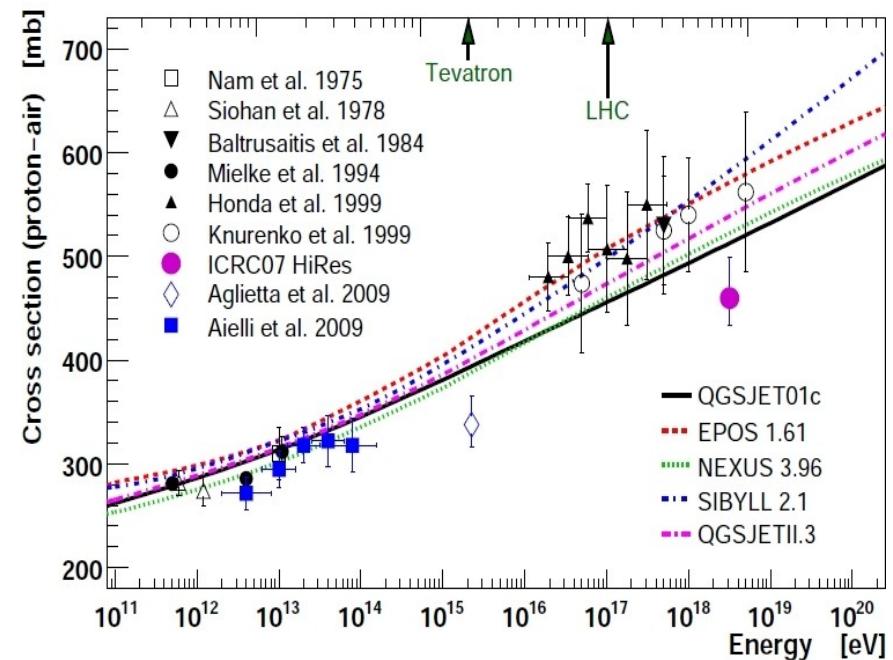
- Non-computable from QCD Lagrangian (maybe lattice?), but constrained by fundamental QM relations: **Froisart bound**, optical theorem, dispersion relations.

- LHC p-p total x-section predictions:

$$\sigma_{\text{tot}}(\text{LHC}) = 90\text{--}120 \text{ mb} \quad {}^{+10}_{-20} \%$$



- p-Air x-sections even more uncertain (Glauber model):

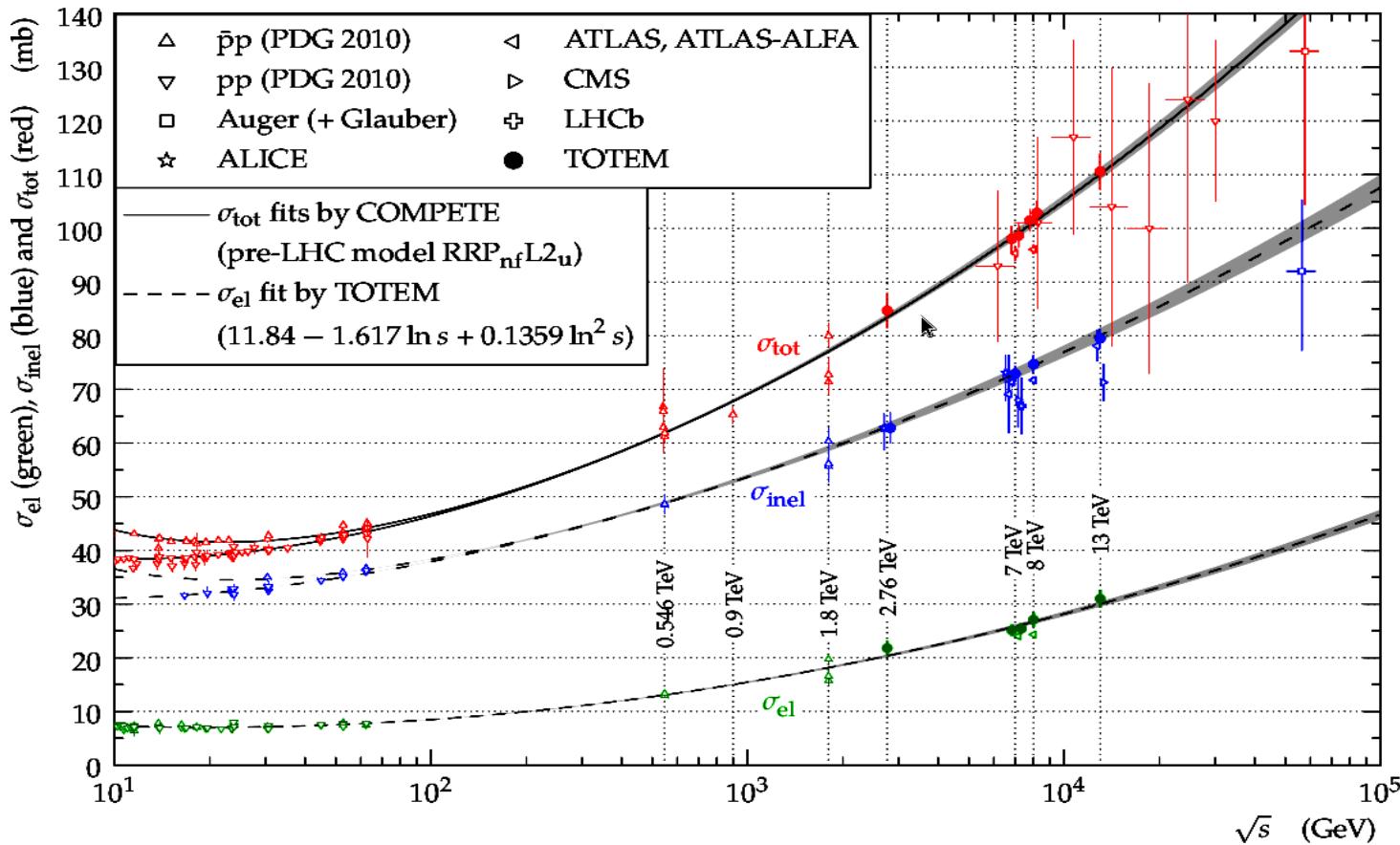


- Pre-LHC $\sigma(\text{inel})$ uncertainties driven by E710–CDF 2.6σ disagreement

R.Ulrich, eConf C0906083 (2009)

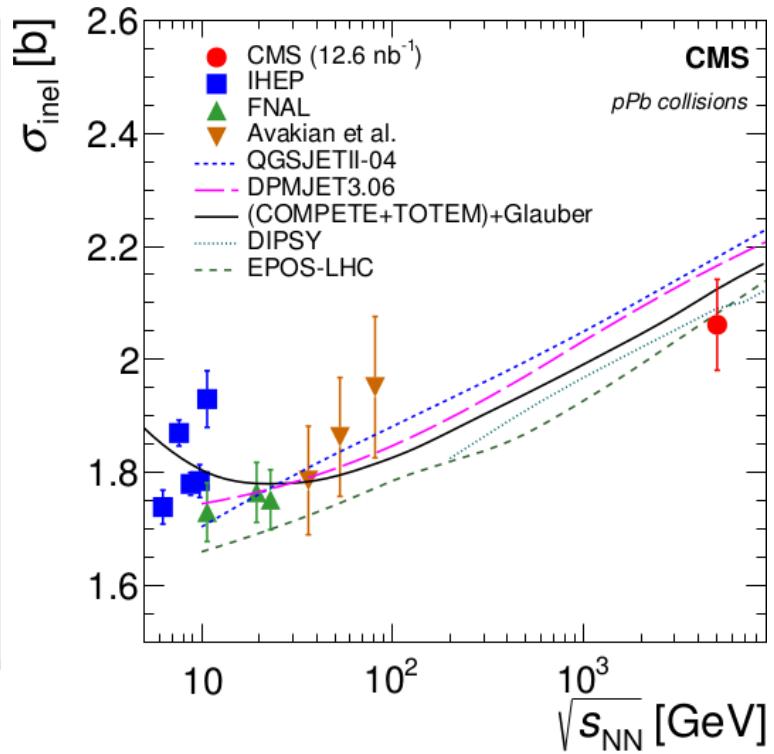
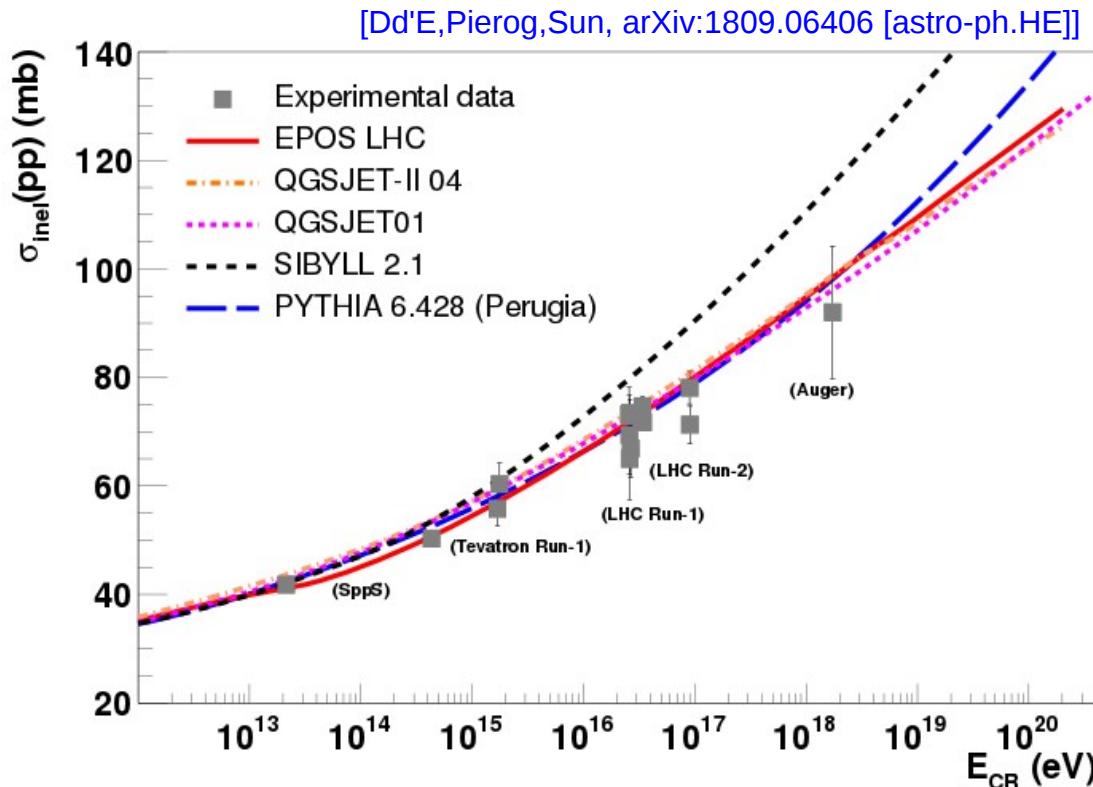
Total & (in)elastic p-p cross sections (LHC)

- Many measurements (TOTEM, ALFA, CMS, ATLAS, ALICE, LHCb):
At $\sqrt{s}=13$ TeV: $\sigma_{\text{tot}} = 110.6 \pm 3.4$ mb ($\sigma_{\text{inel}} \sim 72\%$, $\sigma_{\text{el}} \sim 28\%$).



- Inelastic cross section mostly overestimated by MCs.
- Most MCs over- (under)estimate high- (low-)mass diffraction.

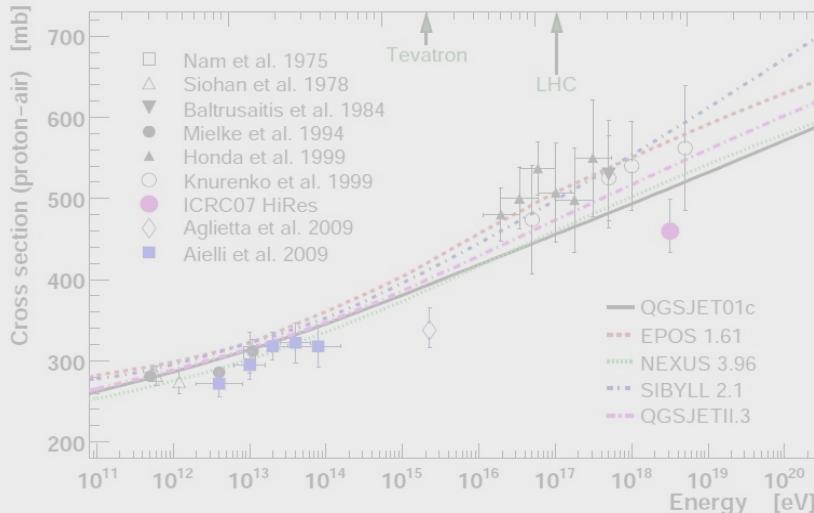
Inelastic p-p, p-Pb cross sections (LHC)



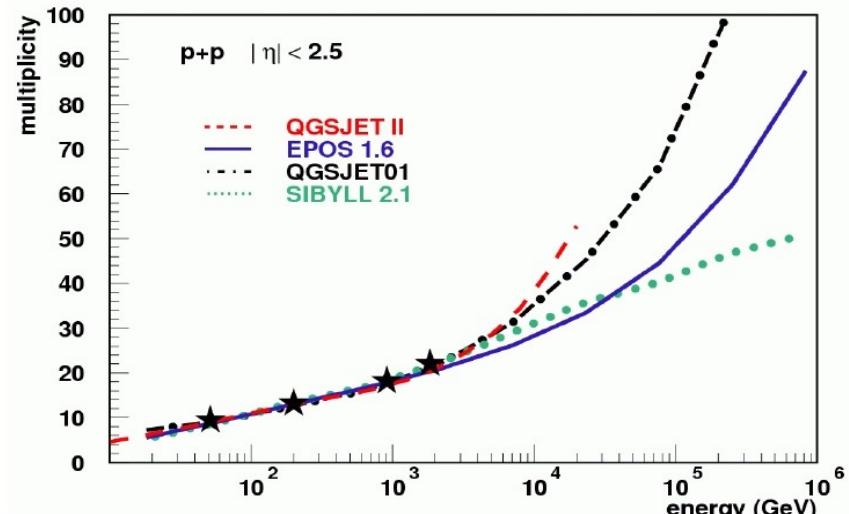
- All retuned MCs predictions are now \sim consistent up to GZK cutoff.
- Measured $\sigma(p\text{-Pb})$ at 5.16 TeV confirms Glauber-scaling of $\sigma(p\text{-p})$ to $\sigma(p\text{-Air})$
- Measured $\sigma(p\text{-p})$ at LHC, slightly below pre-LHC MC predictions, leads to reduced $\sigma(p\text{-Air})$: Deeper shower X_{max} position.

Cosmic-ray MCs vs. LHC data (II)

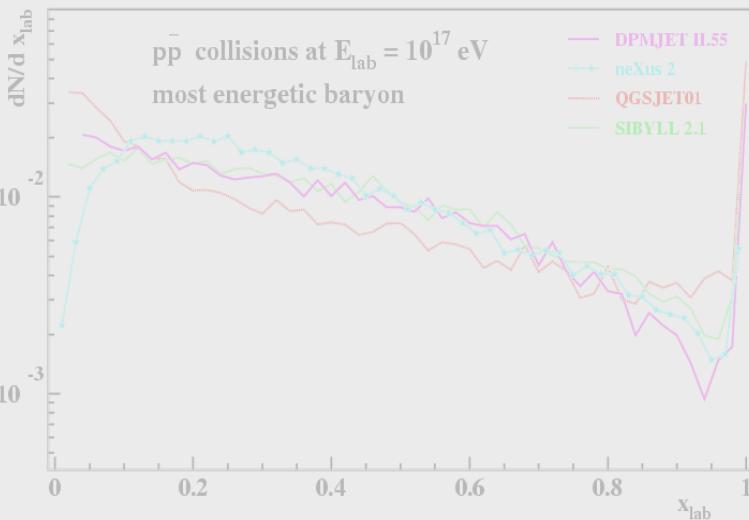
Hadronic inelastic cross-section



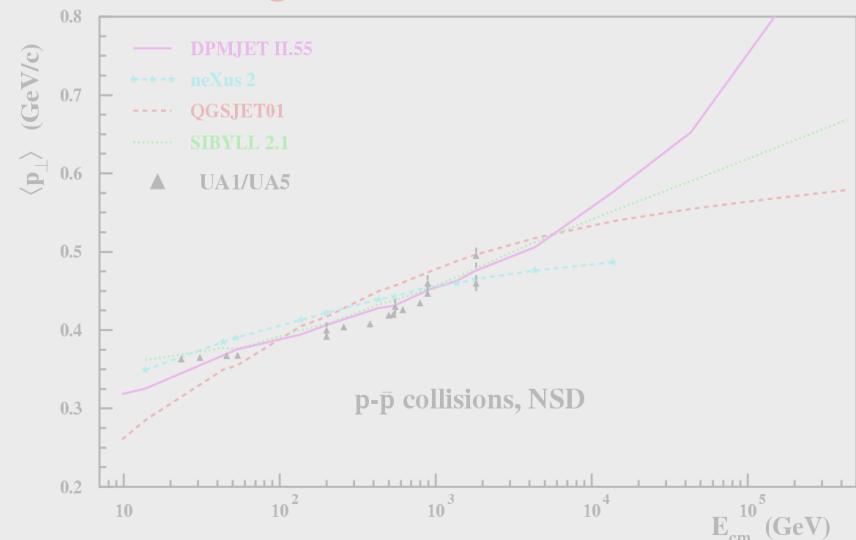
Hadron multiplicity



Forward particle production

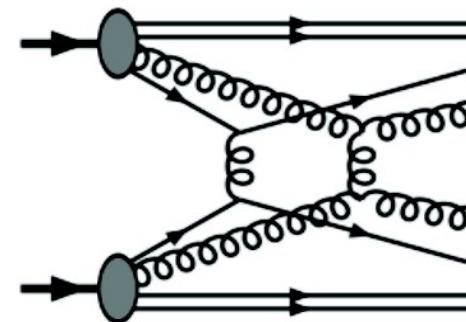
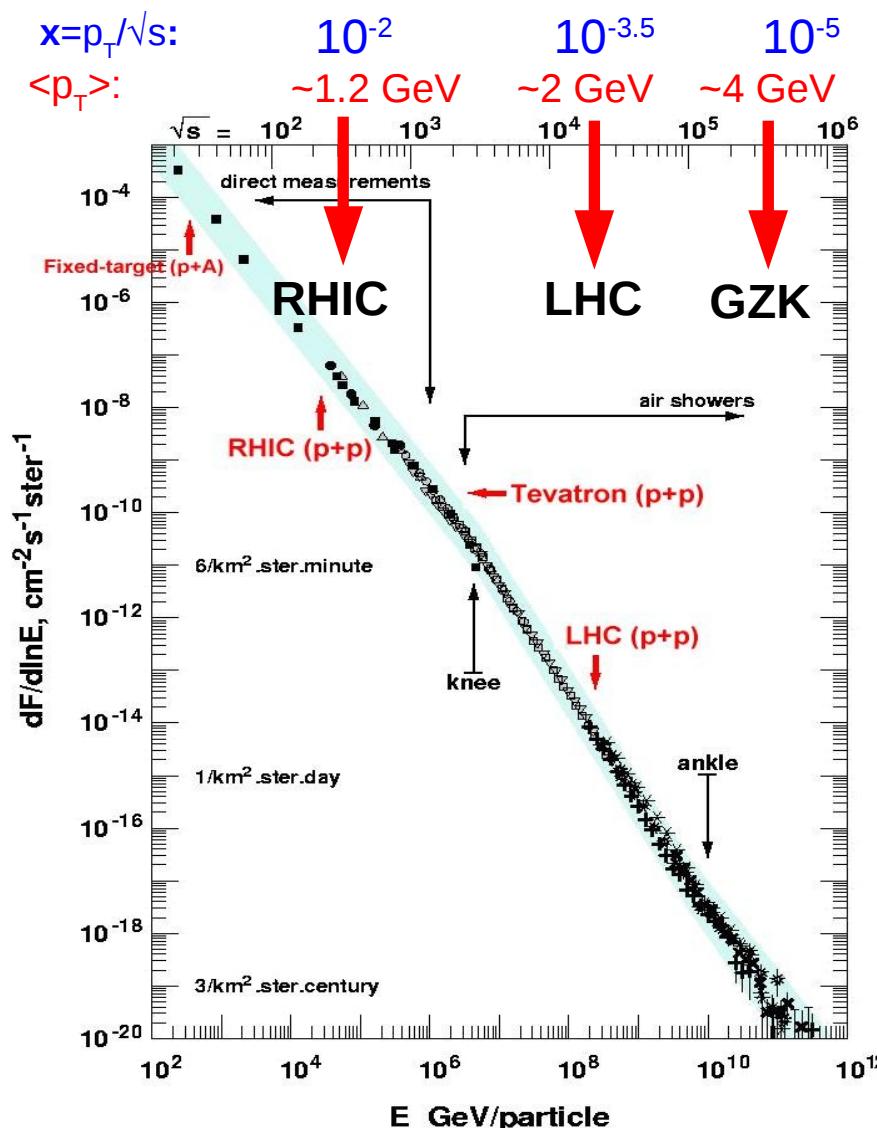


Average transverse momentum

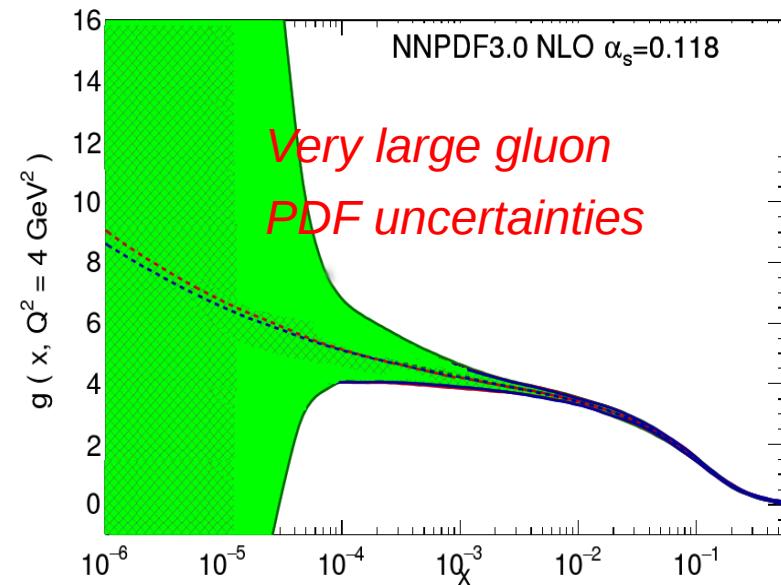


Particle production from multi-gluon collisions

- Most (~70%) of hadrons from gluon fragmentation in multiple low-x scatterings



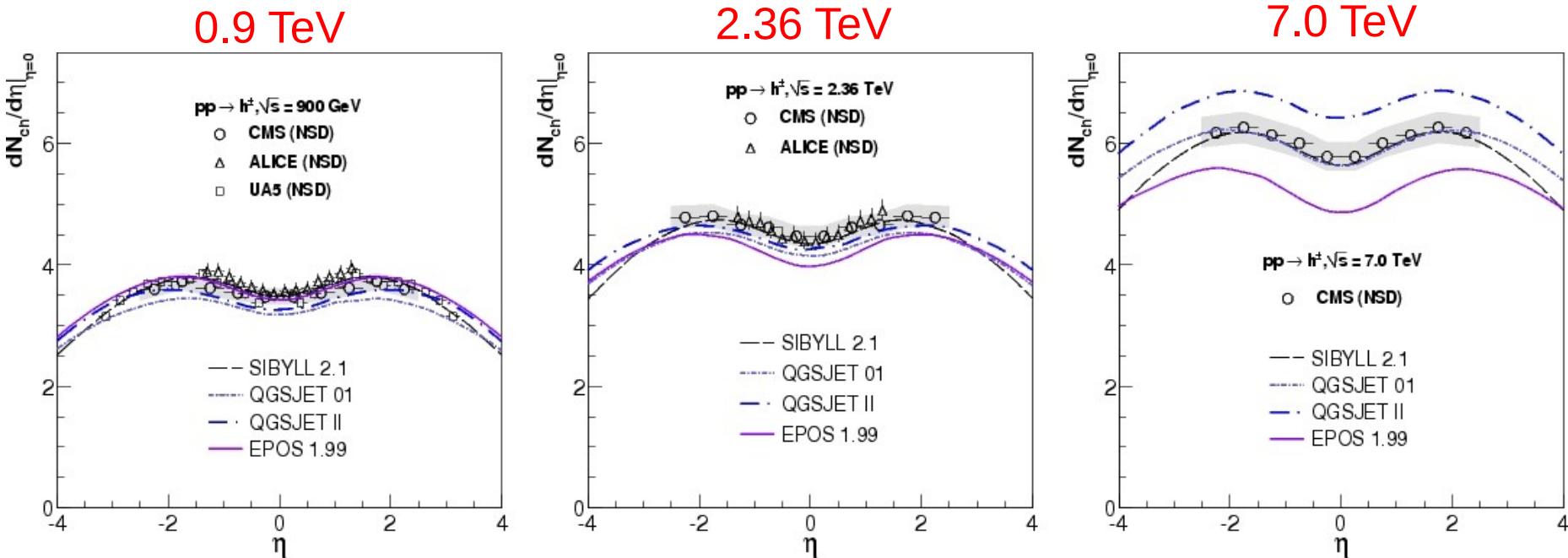
- Steeply rising ($x^{-0.3}$) gluon density:
At GZK multi g-g collisions at $x < 10^{-5}$



Central particle production: Data vs. pre-LHC MCs

[Dd'E et al., Astr.Phys. 35 (2011) 98]

- First LHC pseudorapidity distributions **data vs. CR models:**

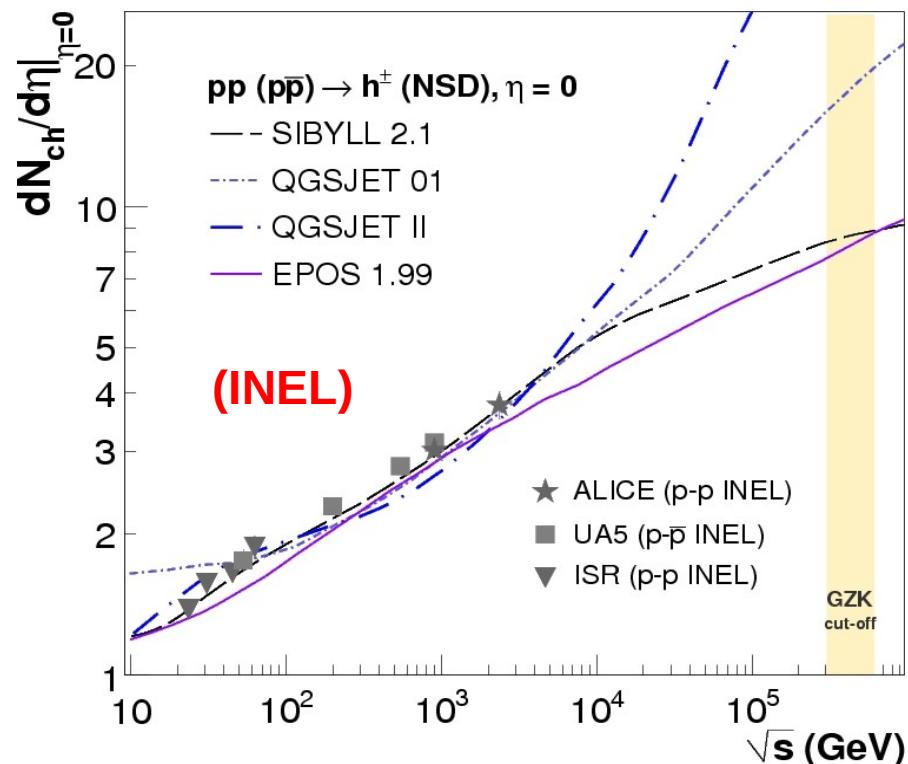
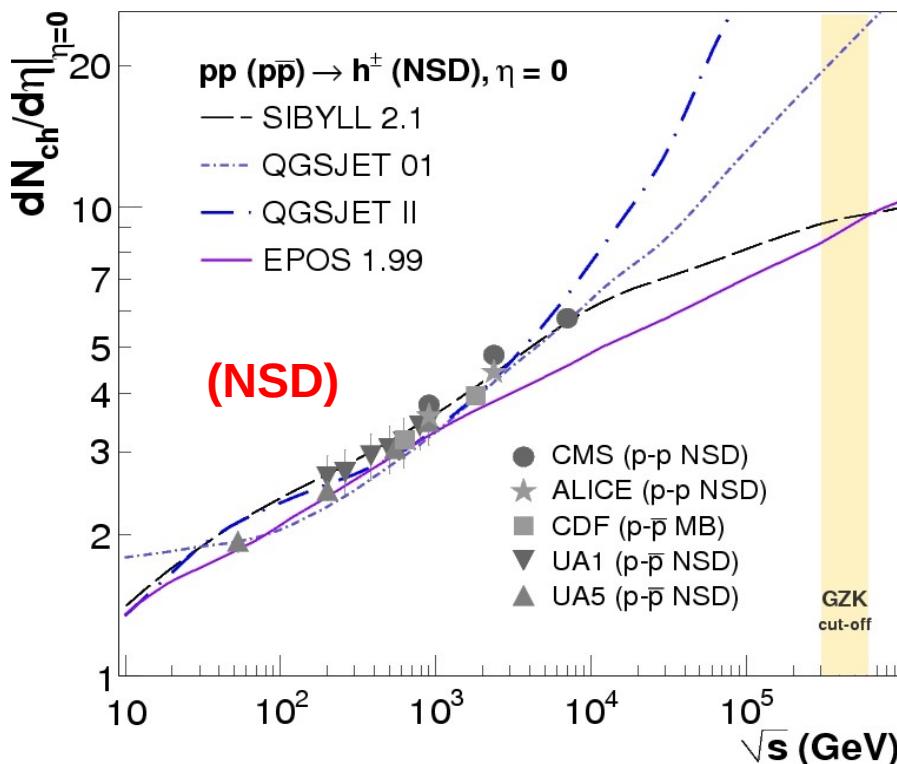


- 900-GeV data well reproduced (MCs were tuned to SppS, Tevatron).
- Particle multiplicity less well predicted at 7.0 TeV but **all CR models** “bracket” the **experimental** distributions.

Central particle production: Data vs. pre-LHC MCs

[Dd'E et al., Astr.Phys. 35 (2011) 98]

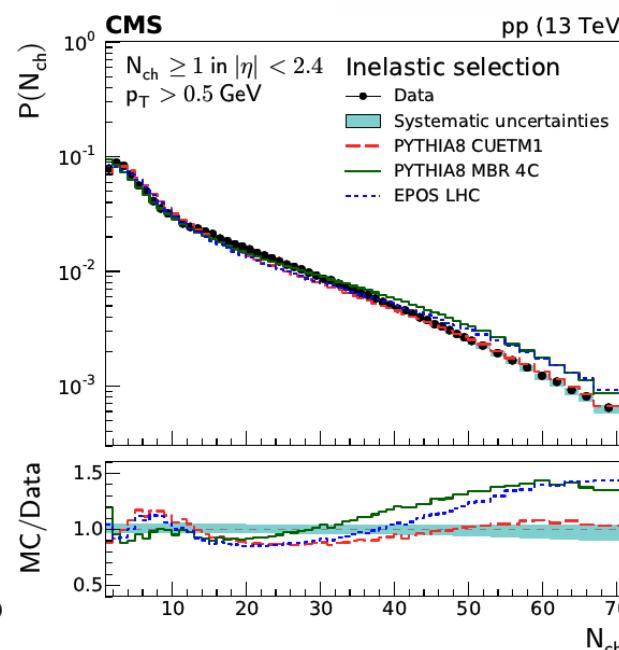
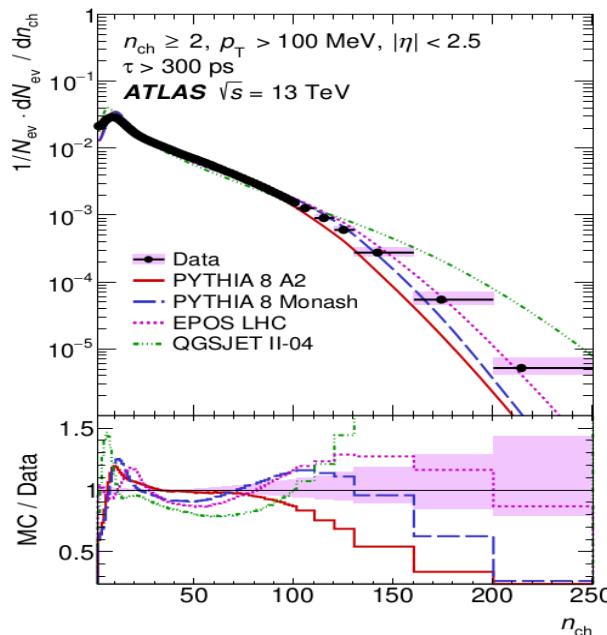
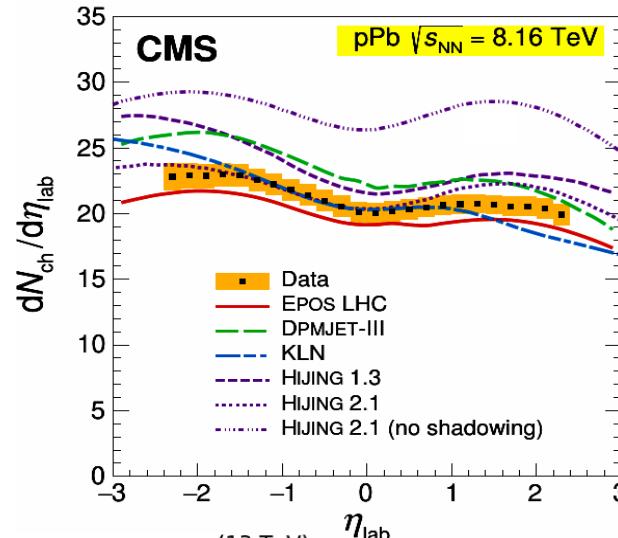
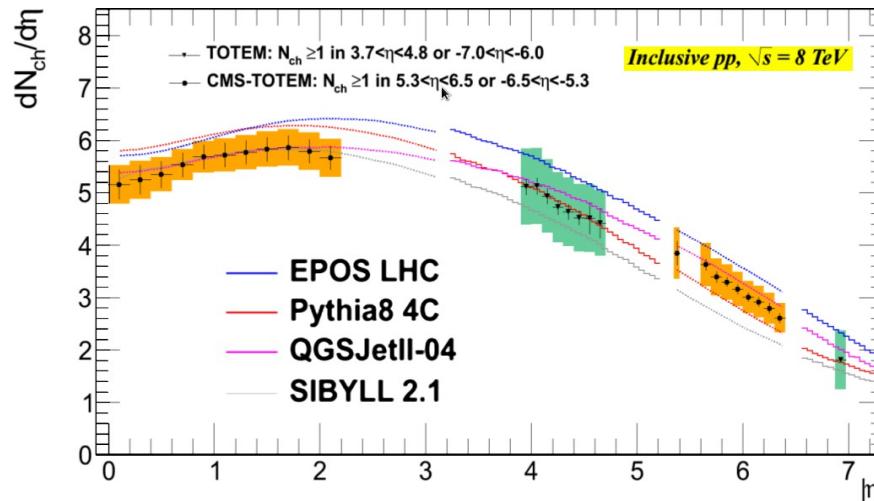
- Power-law s^ε , $\varepsilon \sim 0.1$ controlled by soft-hard p_T -cutoff (sat. scale) evolution
- Very large differences predicted at $\sqrt{s}_{\text{GZK}} \sim 400 \text{ TeV}$!
QGSJET-II (~40) > QGSJET01 (~20) > SIBYLL 2.1, EPOS 1.99 (~8)



- EPOS (1.99 → LHC), QGSJETII-04, SIBYLL (2.1 → 2.3) retuned based on these (and other) data. $dN_{ch}/d\eta \sim 15 \pm 5$ at GZK now.

Central particle production: Data vs. post-LHC MCs

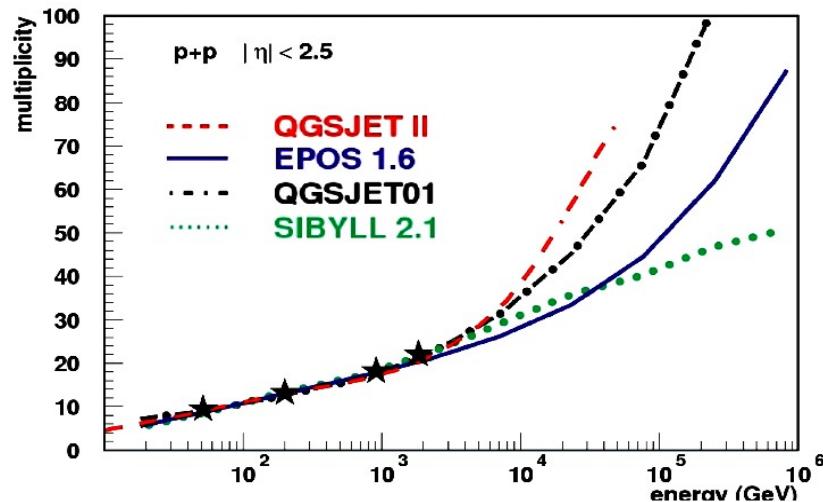
■ Charged particle pseudorapidity density & multiplicity distributions:



- Retuned UHECR hadronic MCs “bracket” well all p-p, p-A distributions up to 13 TeV.
- Improvements needed in tails of distributions (small impact on UHECR).

Central particle production: Data vs. MCs

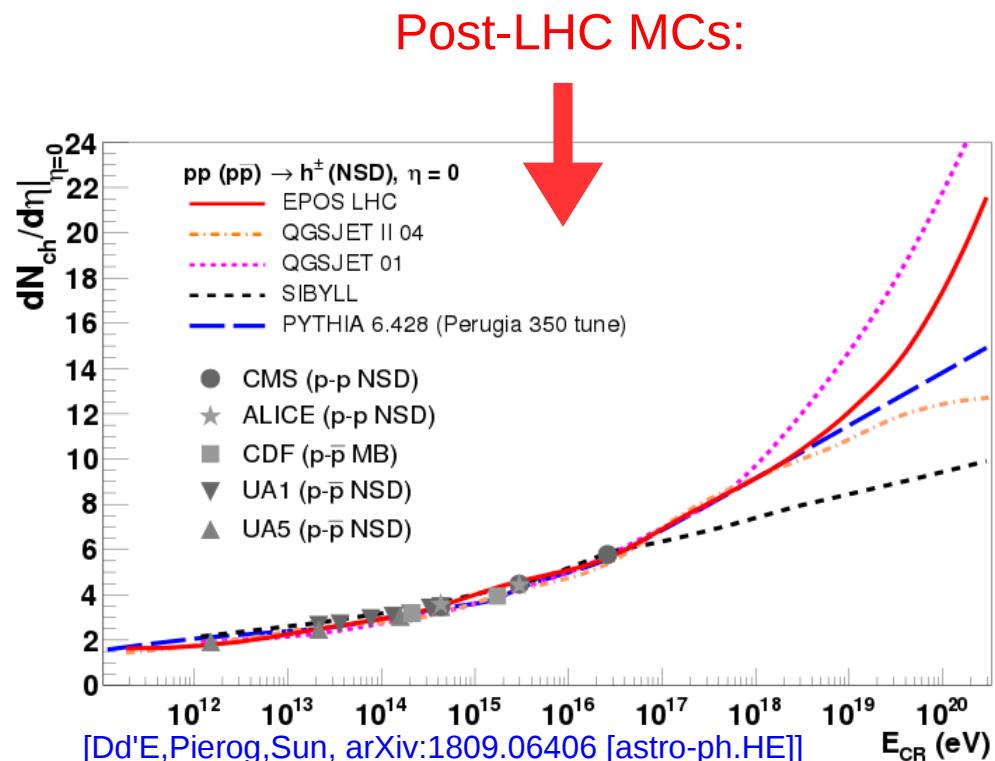
- Central charged particle multiplicity vs. CR energy:



Pre-LHC MCs:
Factors of ~ 2 differences
on predicted LHC particle
multiplicities.

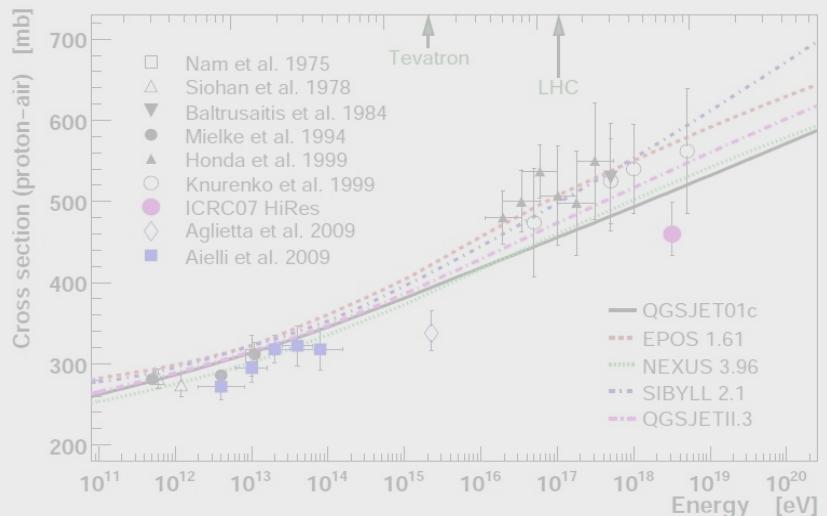
- Much better agreement among LHC-retuned models compared to pre-LHC versions up to $E_{CR} \sim 10^{18.5}$ eV.

- $dN_{ch}/d\eta \sim 15 \pm 5$ with $\sim 30\%$ differences at GZK-cutoff among EPOS, QGSJET-II, PYTHIA 6.

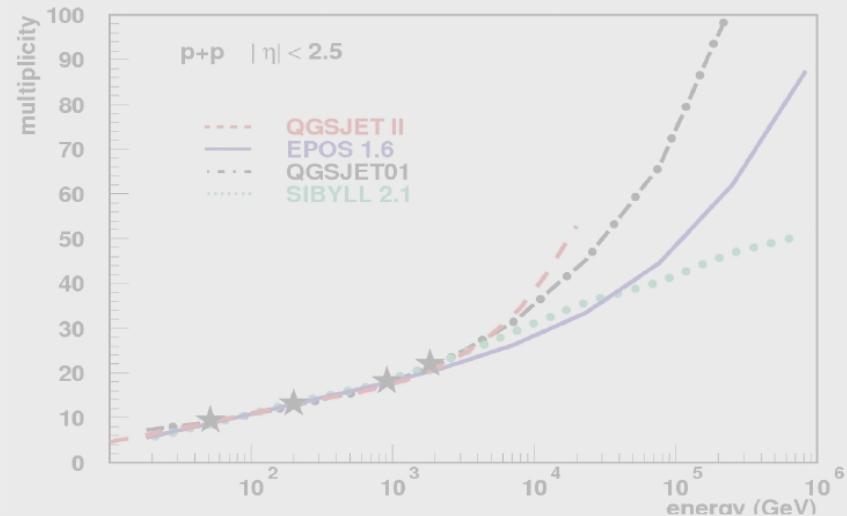


Cosmic-ray MCs vs. LHC data (III)

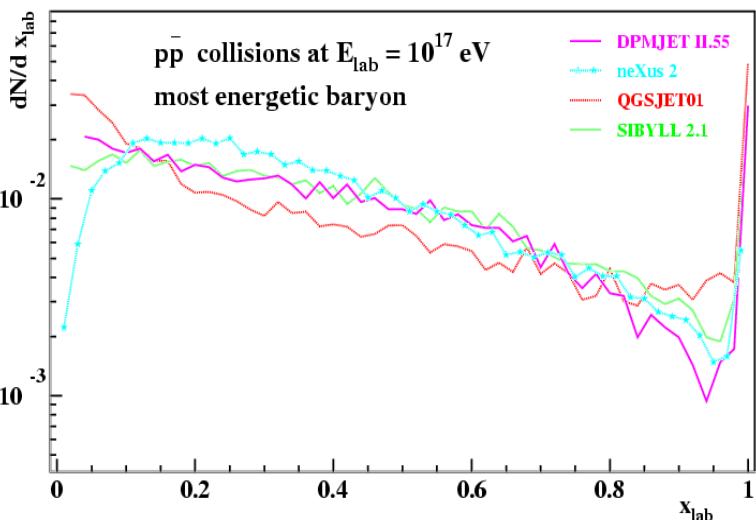
Hadronic inelastic cross-section



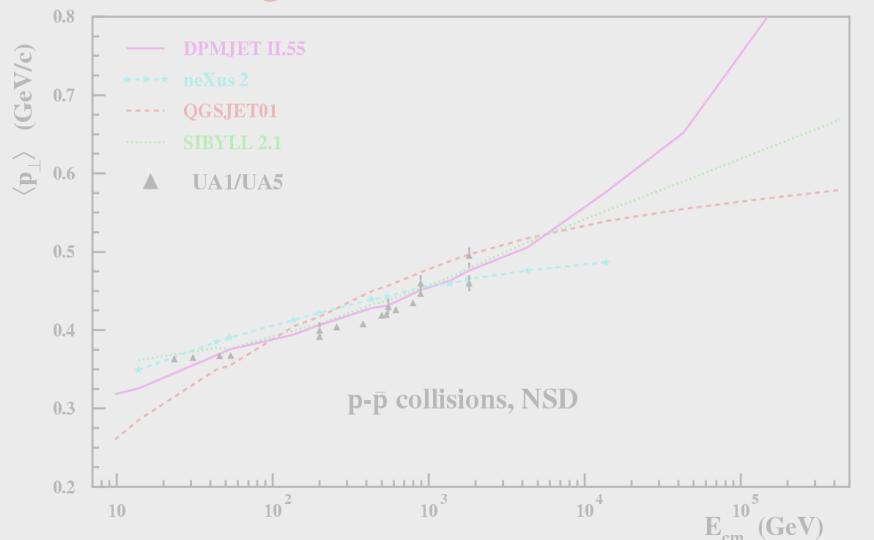
Hadron multiplicity



Forward particle production

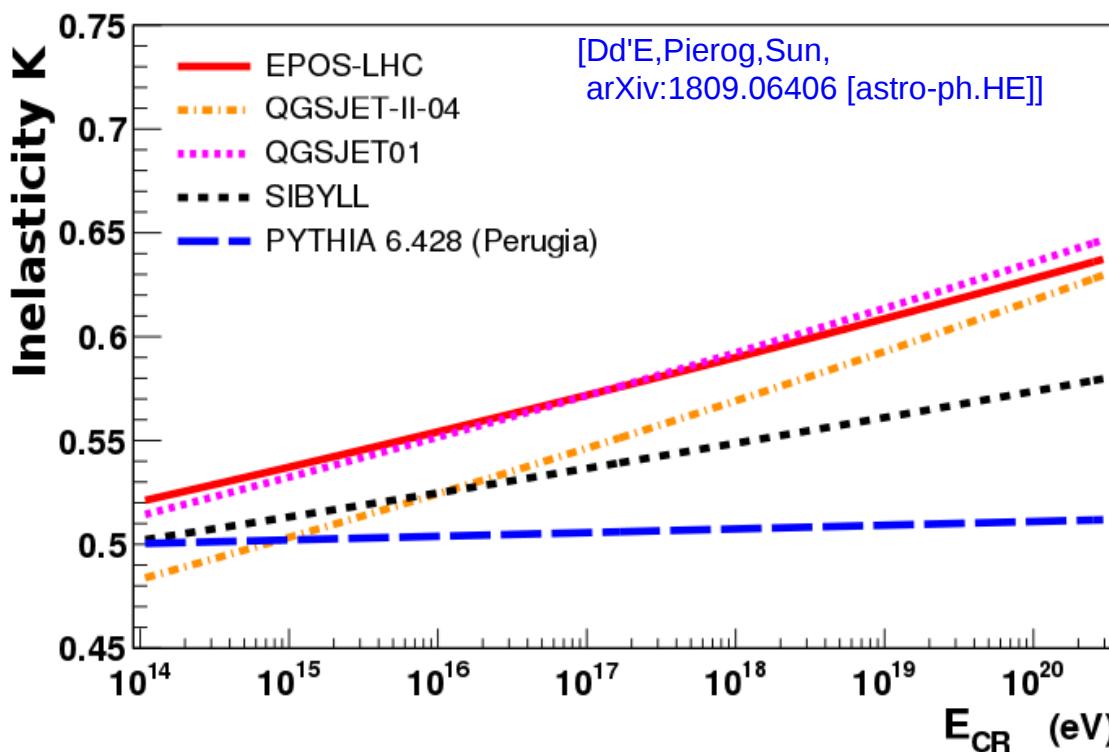


Average transverse momentum



Very forward particle production

- The inelasticity $K = 1 - E_{\text{lead}} / E_{\text{CR}}$ (fraction of primary particle energy transferred to secondary particles after removing the most energetic “leading” hadron emitted at very forward rapidities) has an important influence on cosmic-ray EAS development.
- EPOS, QGSJET have an increased inelasticity with increasing CR energy, but SIBYLL (and PYTHIA) show a flatter behaviour:

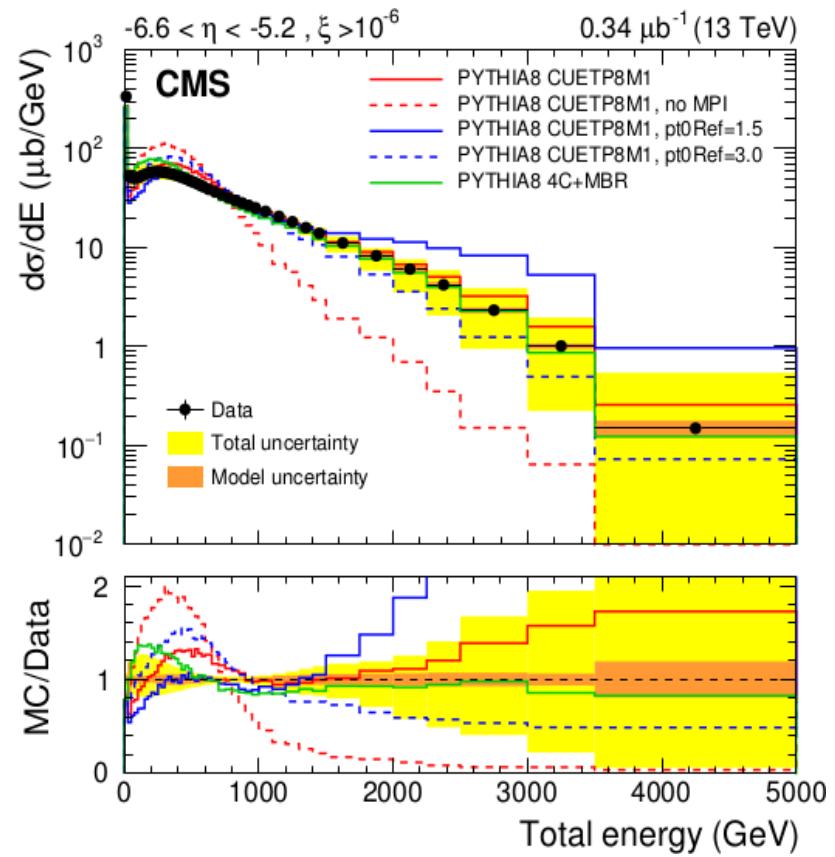
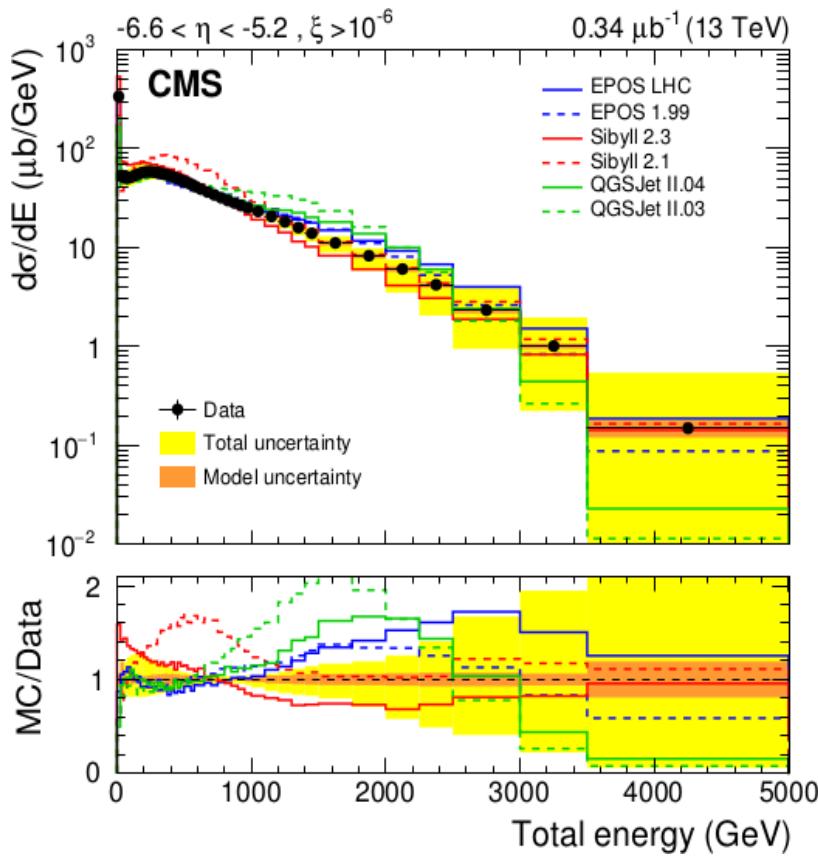


*Less energy goes to very forward particle production
(Faster shower development:
Smaller X_{\max})*

*More energy goes to very forward particle production.
(Slower shower development:
Larger X_{\max})*

Forward particle production: $|\eta| \sim 5.-7.$

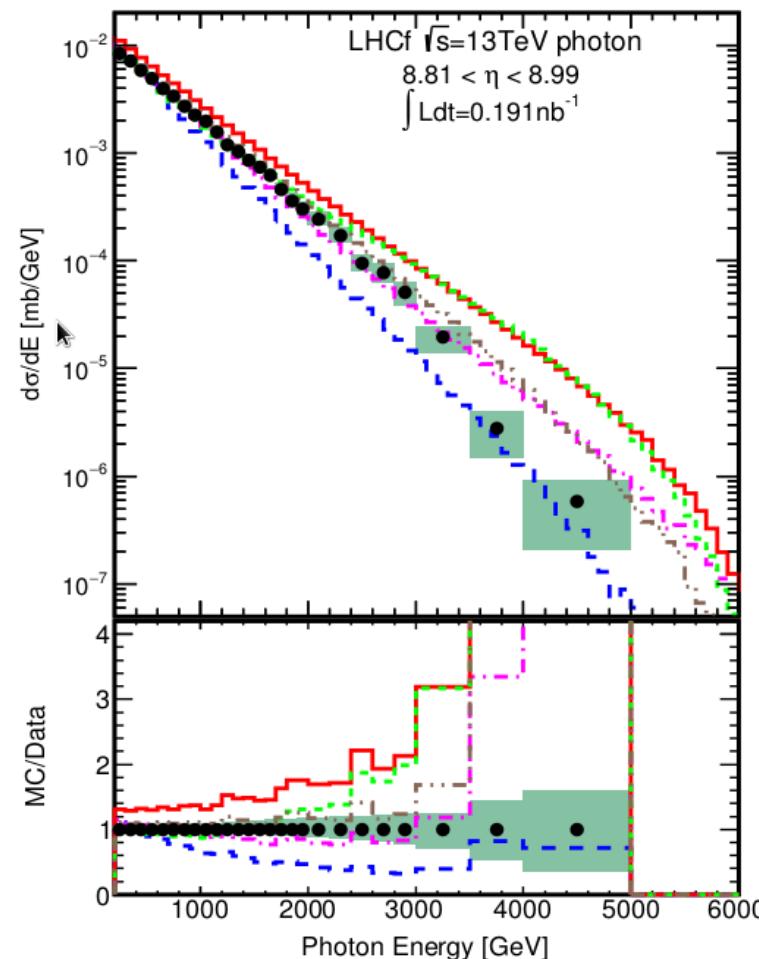
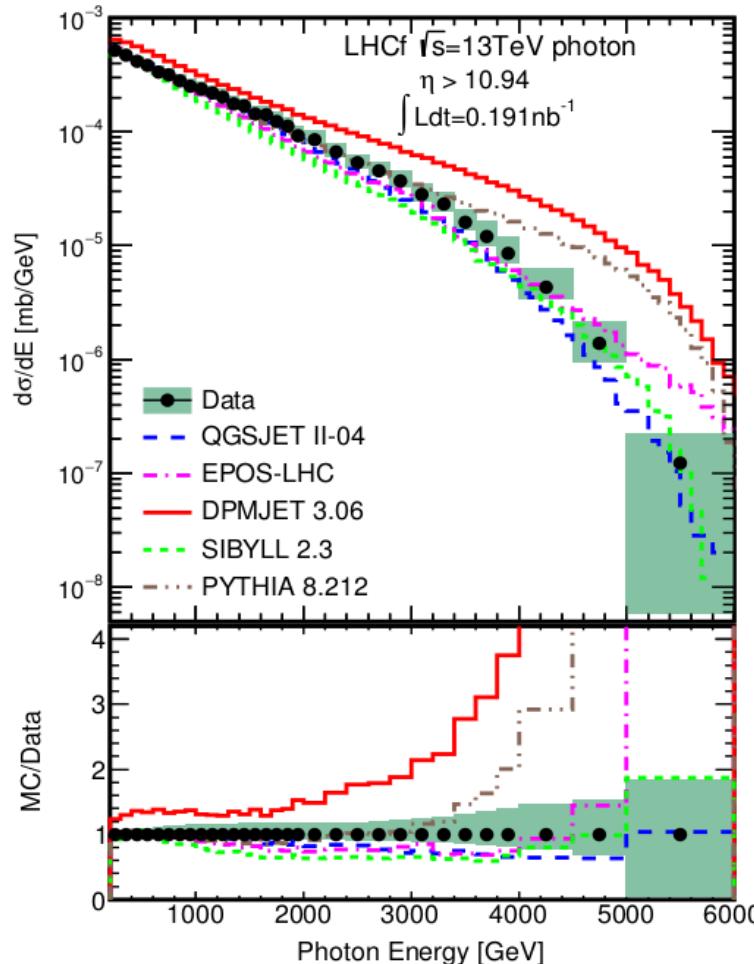
- Forward energy flow in pp at LHC moderately controlled theoretically (but CR MCs better than collider MCs).
Sensitive to multiparton interactions and beam-remnants.



- Some forward particle retuning needed by all MCs.

Very forward photons (LHCf): $|\eta| \sim 8.-11.$

- Leading baryon (inelasticity) & had-to-e.m. energy transfer ($\pi^0 \rightarrow \gamma\gamma$) moderately controlled theoretically (but CR MCs better than collider MCs).

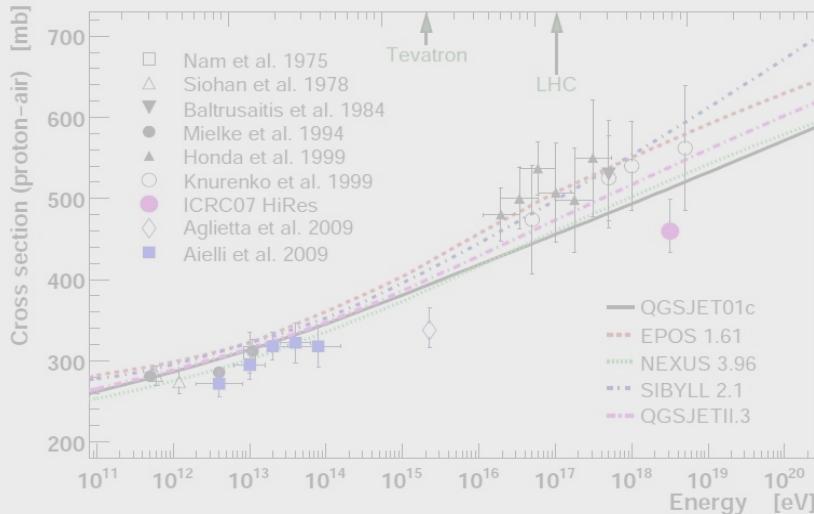


- Some forward particle retuning needed by all MCs.

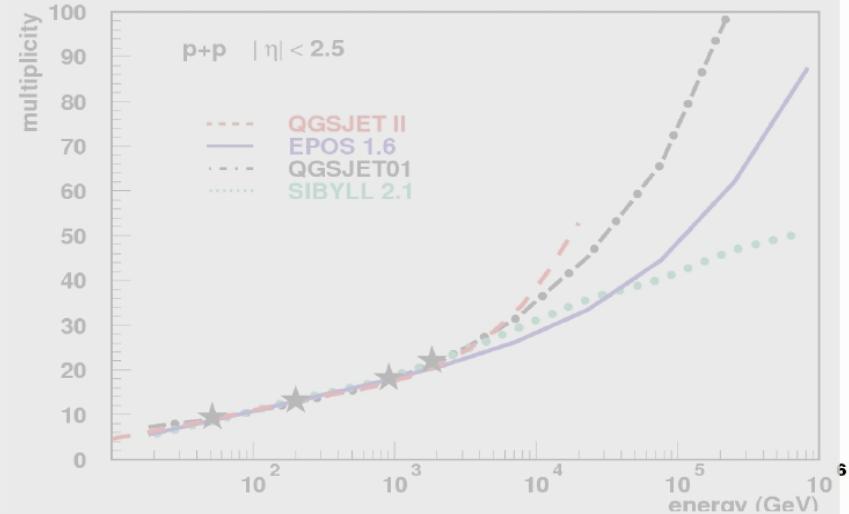
(See H. Menjo later)

Cosmic-ray MCs vs. LHC data (IV)

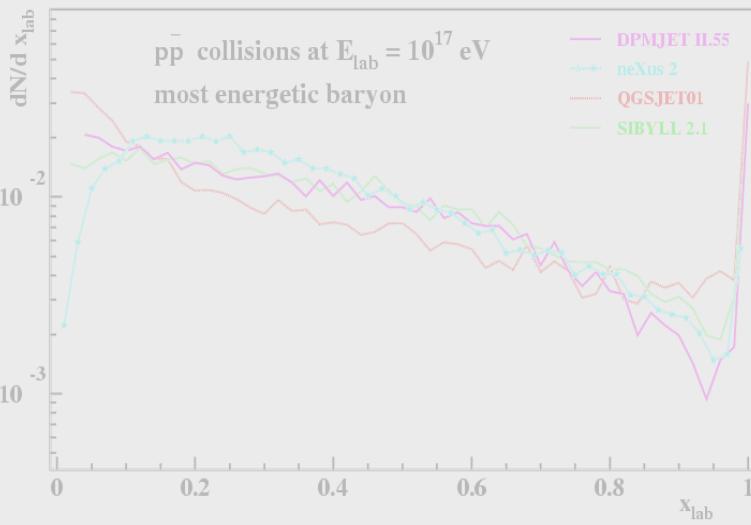
Hadronic inelastic cross-section



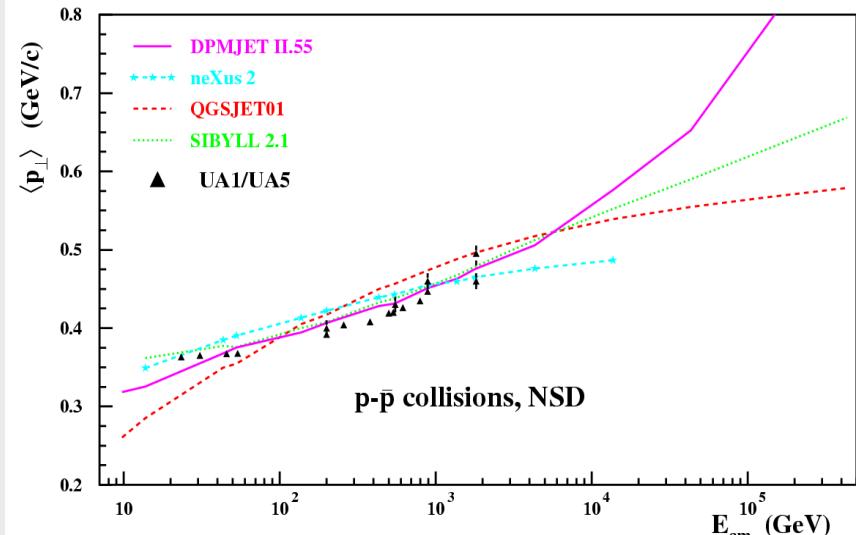
Hadron multiplicity



Forward particle production

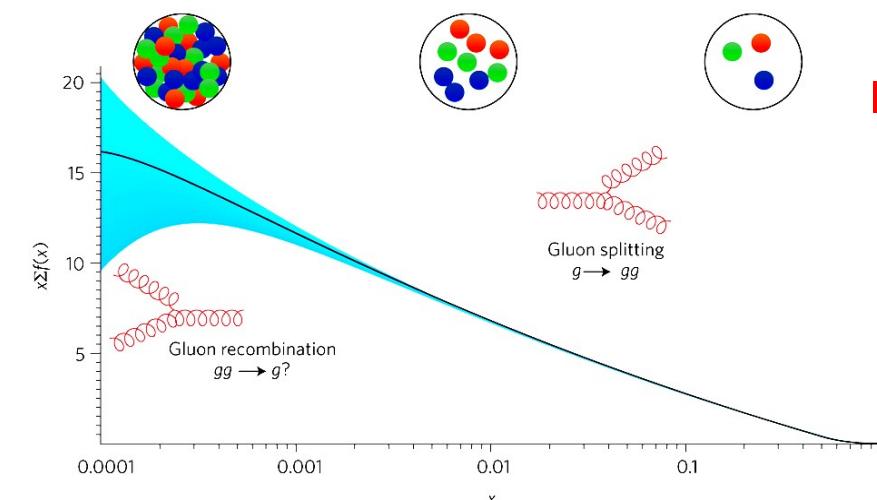
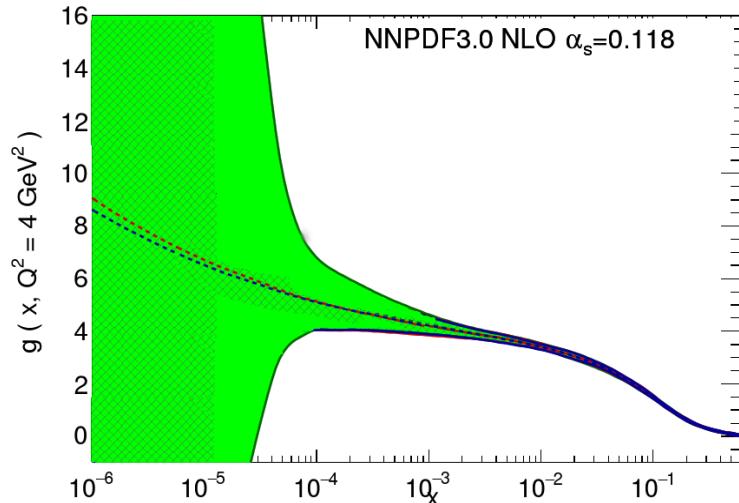


Average transverse momentum

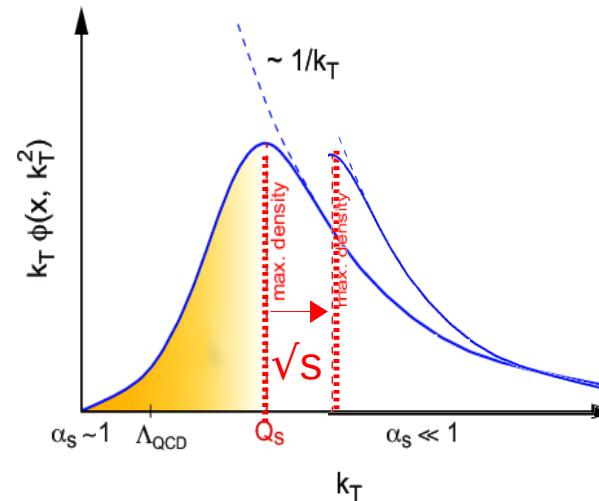


Mean p_T driven by minijet saturation dynamics

- Low- x gluons start to overlap at “saturation scale” Q_{sat}



- pQCD minijet x-section peaks at running $p_T \sim Q_{\text{sat}} \sim s^{0.15} \sim 1\text{--}4 \text{ GeV}$



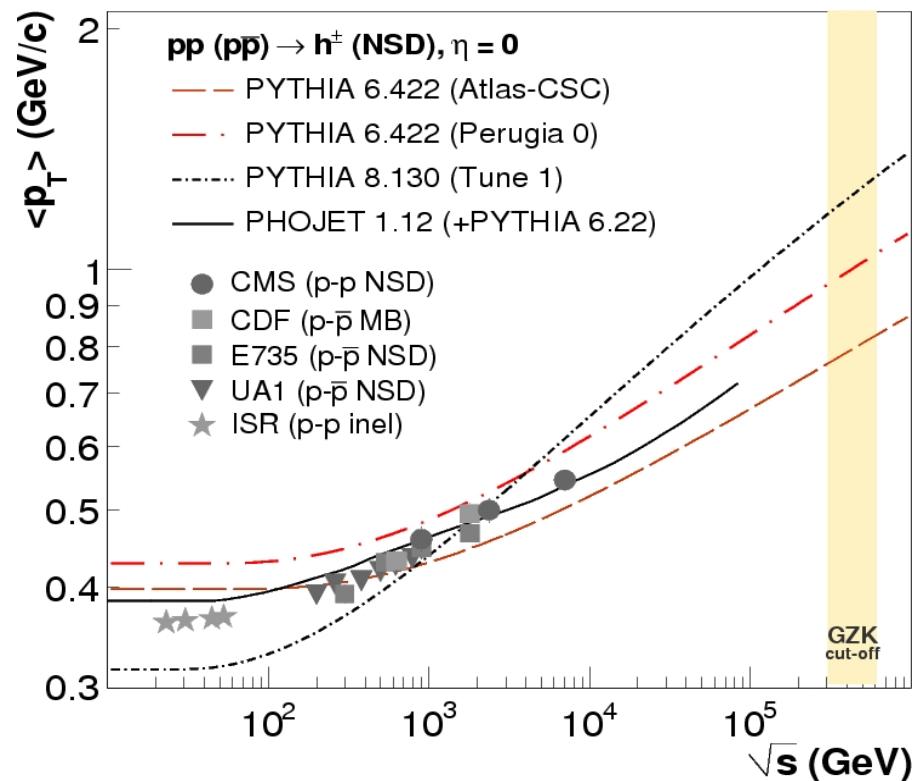
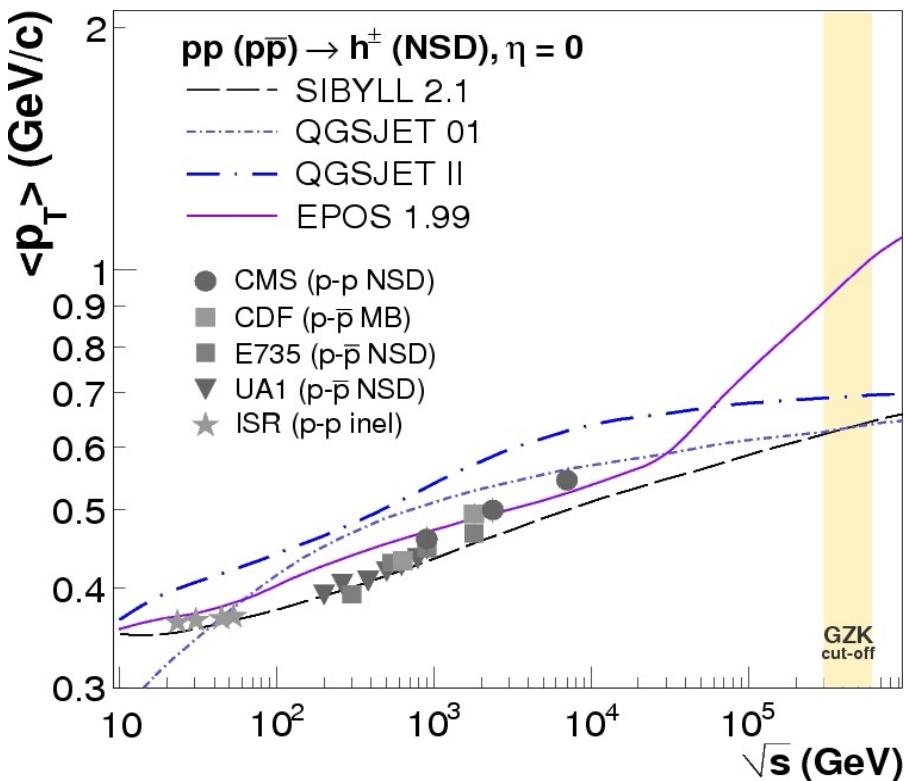
→ Less soft minijets. Harder pQCD activity.

- Saturation effects enhanced in nuclear interactions (p-Air): Larger number of partons per unit transverse area. $\langle p_T \rangle$ increase by $A^{1/6} \sim 1.5$ (for oxygen, nitrogen).

Mean p_T vs. energy: Data vs. pre-LHC MCs

[Dd'E et al., Astr.Phys. 35 (2011) 98]

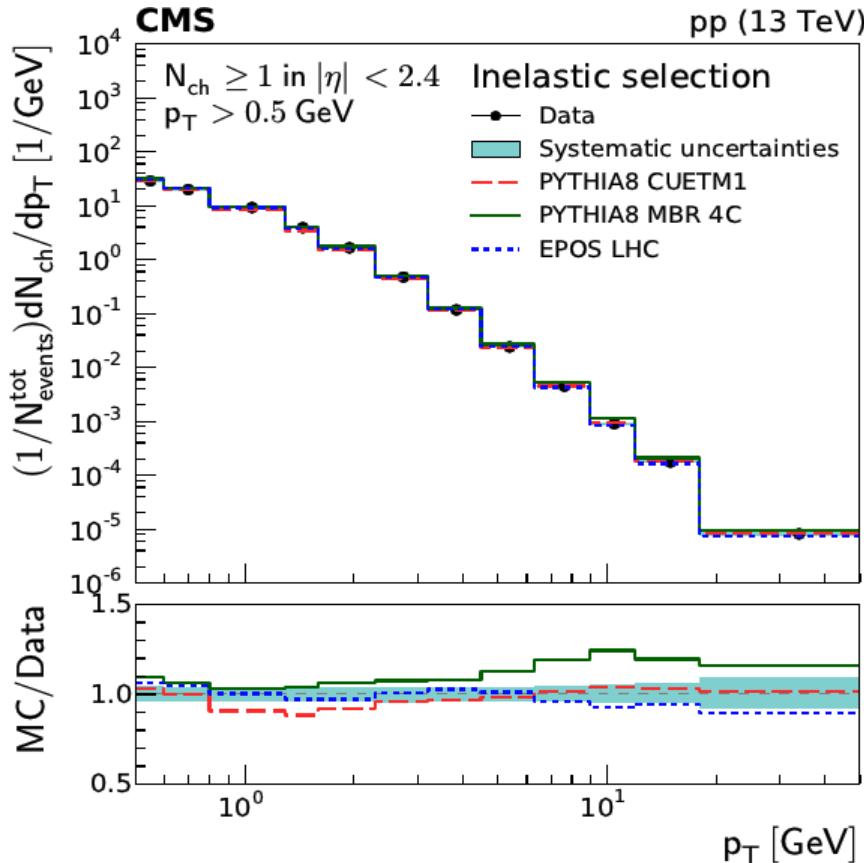
- $\langle p_T \rangle$ is sensitive to pQCD x-sections & gluon-saturation
- $\langle p_T \rangle$ should follow the saturation scale evolution: $Q_{\text{sat}} \sim s^{0.15}$



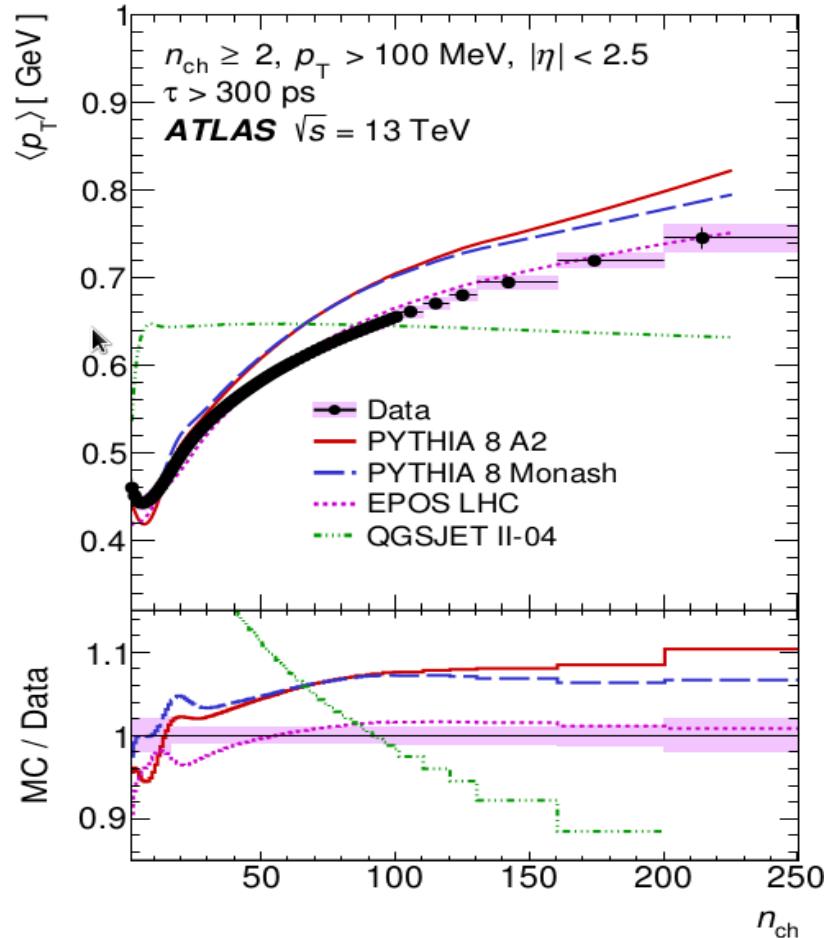
- CRs MCs predict very slow $\langle p_T \rangle$ increase (but EPOS, due to collective flow)
- At GZK: $\langle p_T \rangle \sim 0.6\text{--}1.0 \text{ GeV}$ (PYTHIA: $\langle p_T \rangle \sim 0.7\text{--}1.5 \text{ GeV}$)

Transverse momentum spectra: Data vs. MCs

- In general, CR MCs have softer tails than data & pQCD-based MCs (PYTHIA).



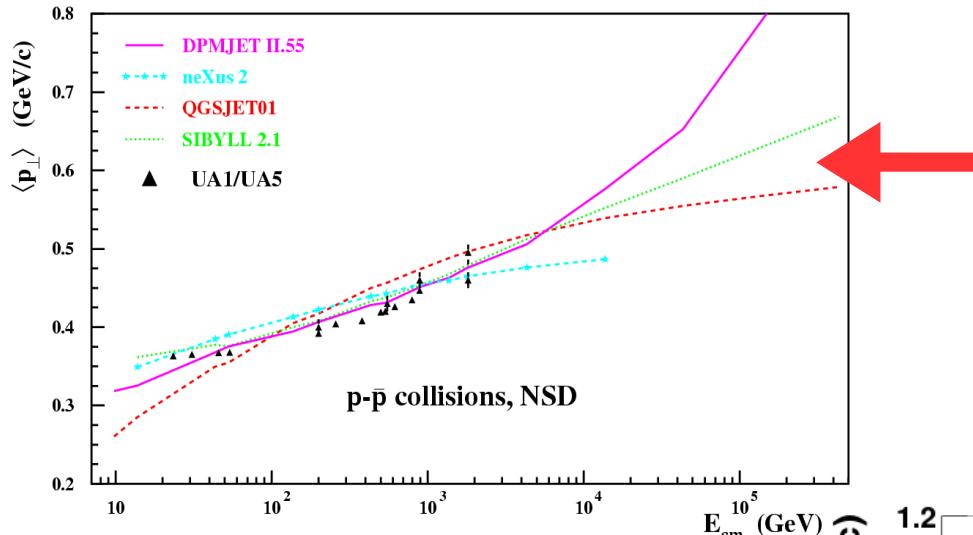
- EPOS with final-state collective flow reaches good agreement with density-dependent $\langle p_T \rangle$ activity.



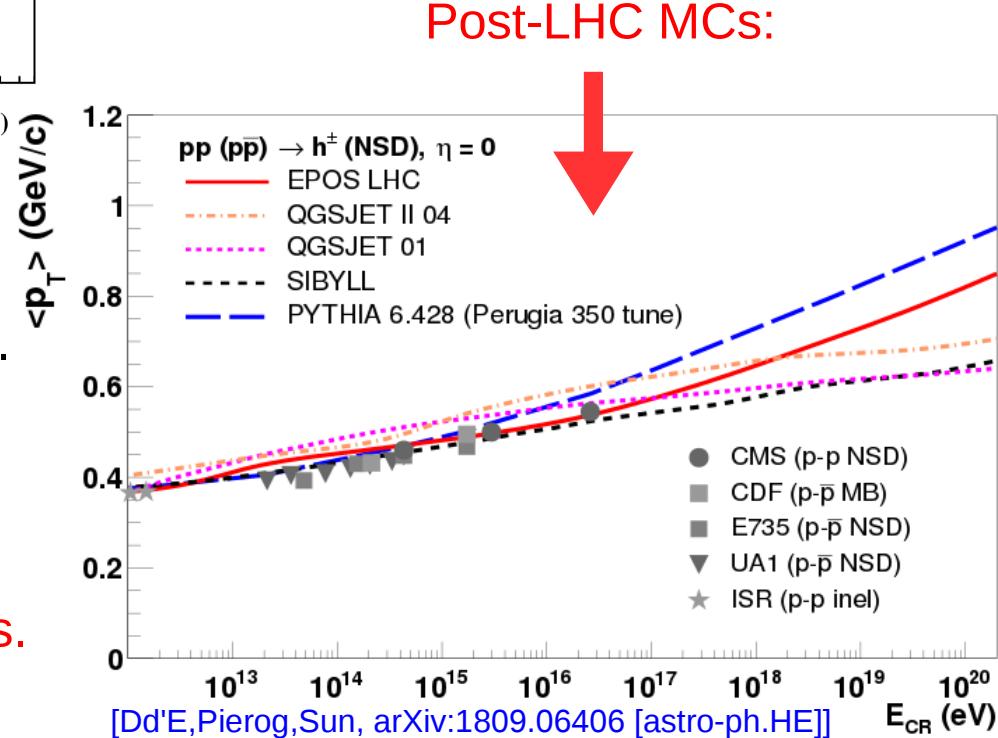
- CR MCs need to improve pQCD minijets evolution (also for muons, see later)

Mean p_T vs. energy: Data vs. MCs

- Average transverse momentum vs. collision energy:



Pre-LHC MCs:
Soft particle production.
 $\langle p_T \rangle$ at GZK only around 0.6 GeV

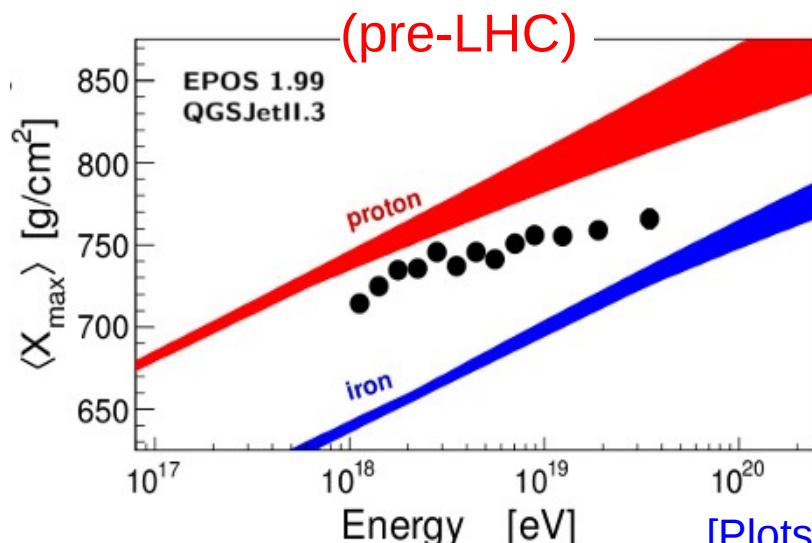


- EPOS with final-state collective flow leads to faster $\langle p_T \rangle$ evolution.

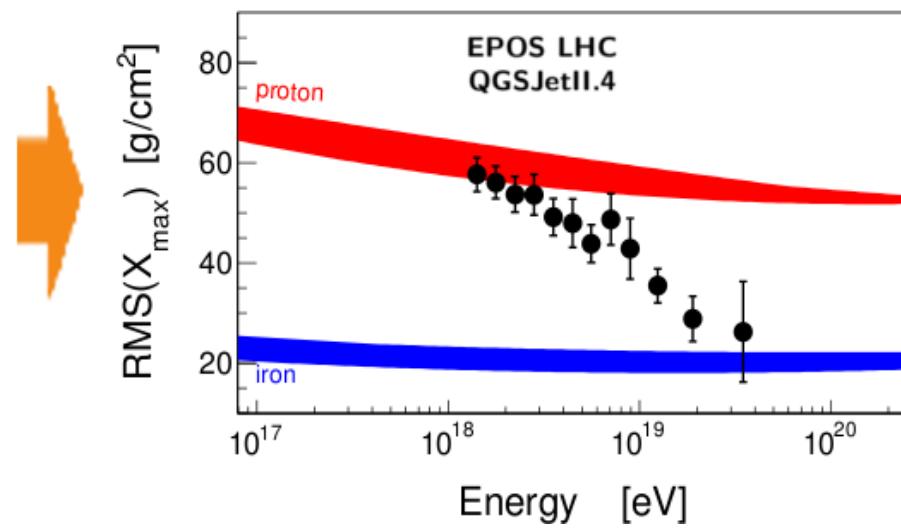
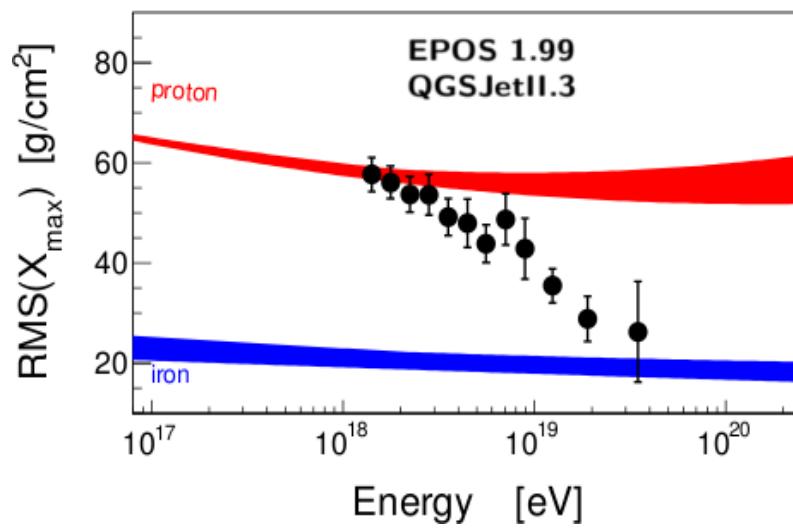
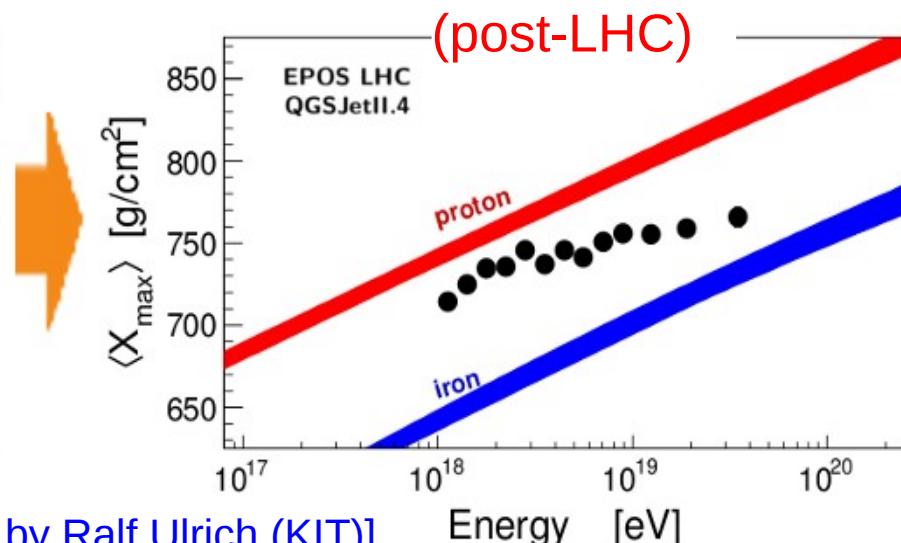
But still below PYTHIA 6 with
 $\langle p_T \rangle \sim 1$ GeV at GZK-cutoff.

- Harder activity needed in CR MCs.

Impact on UHECR after LHC MC retuning



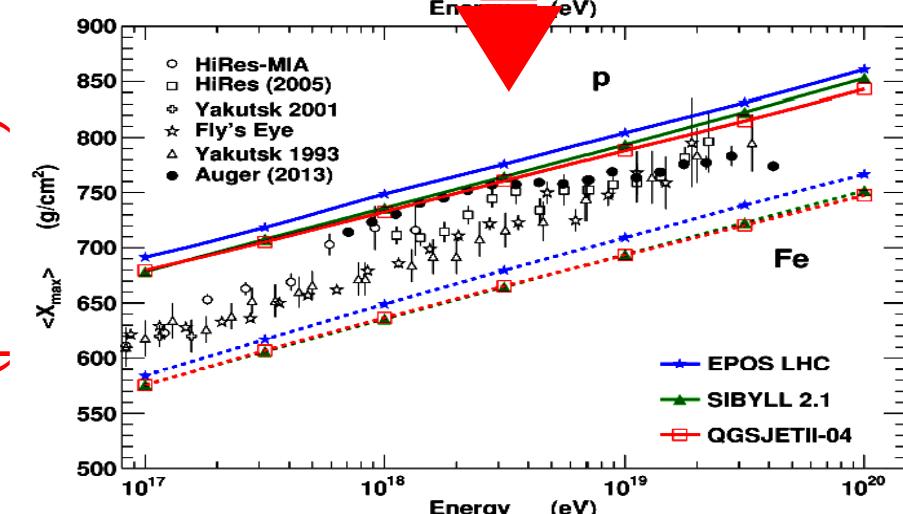
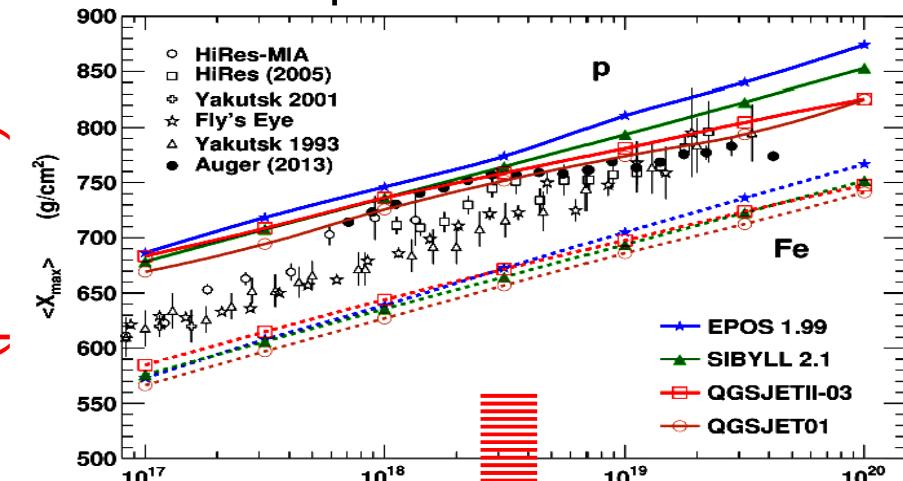
[Plots by Ralf Ulrich (KIT)]



- All models consistent now with increasing CR-mass approaching GZK.
- Reduced p-Air (less so for Fe-Air) uncertainties w/ LHC-retuned Mcs.

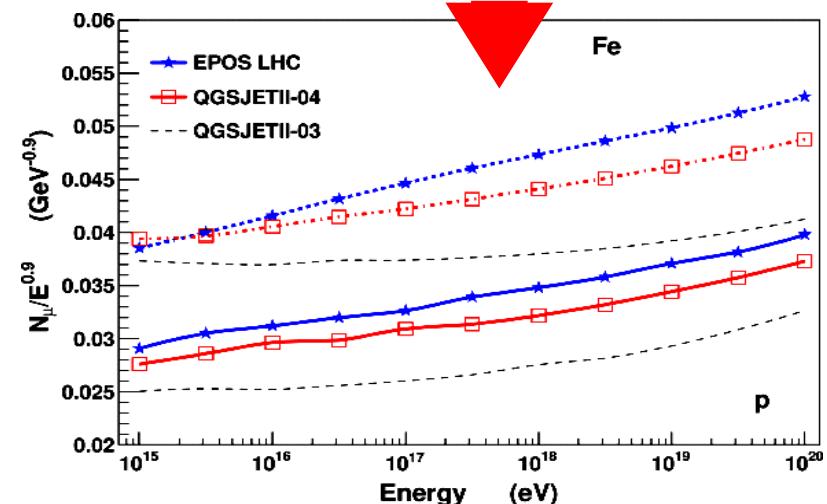
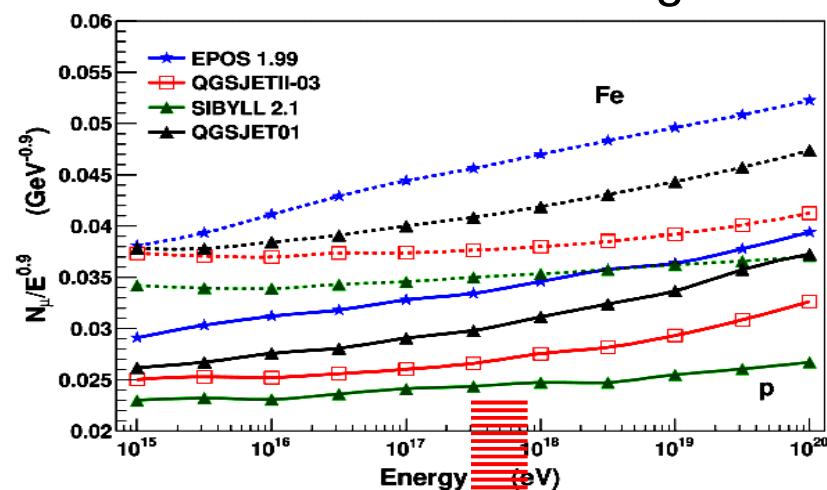
Impact on UHECR after LHC MC retuning

Mean depth of shower maximum:



- Overall X_{max} increase. Improved MC agreement (but still ~ 40 gcm $^{-2}$ inter-MC differences)

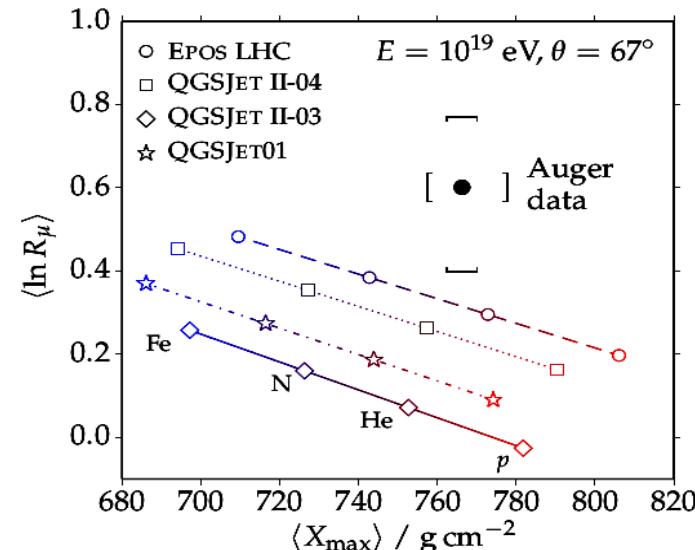
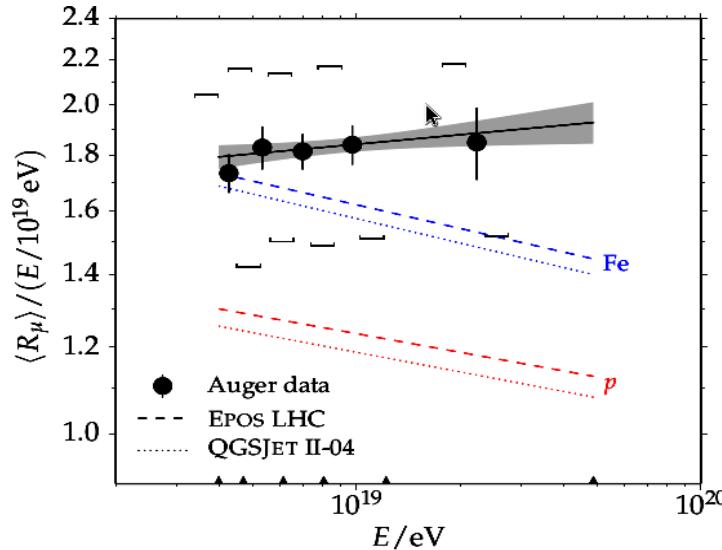
Number of muons on ground:



- N_μ increase but still $\sim 30\%$ deficit compared to data (heavy-quarks?)

Solving the “muon anomaly” with a collider MC?

- UHECR show μ excess (esp. at large axis distance) than predicted by MC:

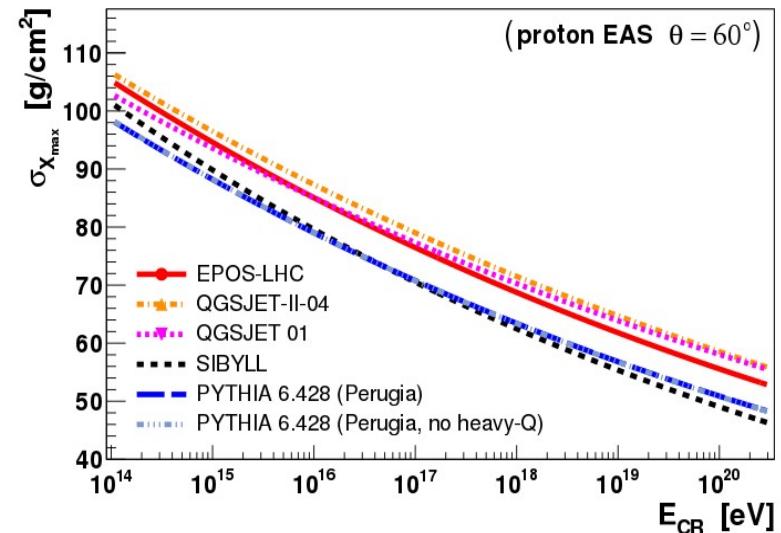
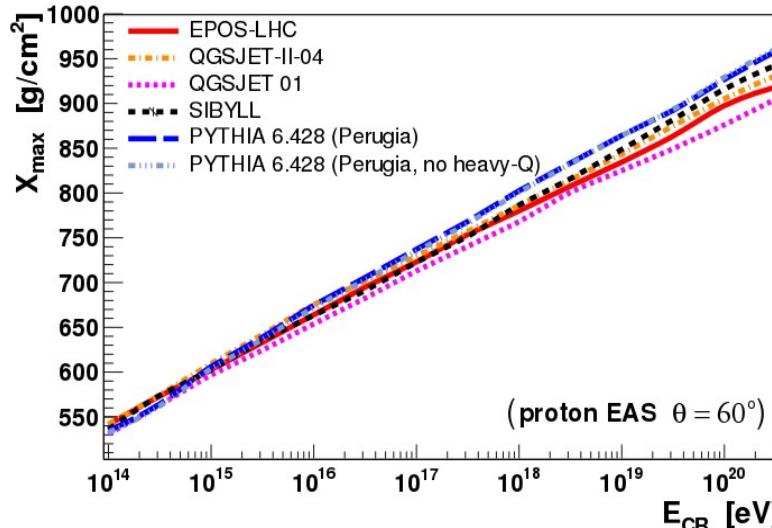


- Due to missing pQCD processes? Hard $\pi, k \rightarrow \mu$ or $D, B \rightarrow \mu$ decays?
- Impact of heavy-Q & pQCD minijet production on the μ excess studied with PYTHIA-6 (tuned to LHC data) in proton-H CONEX atmosphere.

PYTHIA 6.428 Perugia tune PYTUNES number (main features)	PDF	Q_0 cutoff at $\sqrt{s_0} = 7 \text{ TeV}$	Q_0 scaling power ϵ	ISR/FSR scale $\alpha_s(k \cdot p_T)$	Hadronization
350 (central tune 2011)	CTEQ5L1	2.93 GeV	0.265	$k = 1$	$s\bar{s}, \eta, \eta'$ suppr. = 95,63,12%
350, noHQ (central 2011; no c-,b-quarks)	CTEQ5L1	2.93 GeV	0.265	$k = 1$	$s\bar{s}, \eta, \eta'$ suppr. = 95,63,12%
371 (var. 2012, high rad.)	CTEQ6L1	2.72 GeV	0.25	$k = 1/2$	$s\bar{s}, \eta, \eta'$ suppr. = 92,70,13.5%; softer baryons
372 (var. 2012, low rad.)	CTEQ6L1	2.60 GeV	0.23	$k = 2$	$s\bar{s}, \eta, \eta'$ suppr. = 92,70,13.5%; softer baryons
380 (var. 2012, gg only at low- p_T)	CTEQ6L1	2.65 GeV	0.245	$k = 1$	$s\bar{s}, \eta, \eta'$ suppr. = 92,70,13.5%; softer baryons
381 (var. 2012, higher UE)	CTEQ6L1	2.46 GeV	0.23	$k = 1$	$s\bar{s}, \eta, \eta'$ suppr. = 92,70,13.5%; softer baryons
382 (var. 2012, lower UE)	CTEQ6L1	2.92 GeV	0.26	$k = 1$	$s\bar{s}, \eta, \eta'$ suppr. = 92,70,13.5%; softer baryons

Proton EAS properties: PYTHIA-6 vs. UHECR MCs

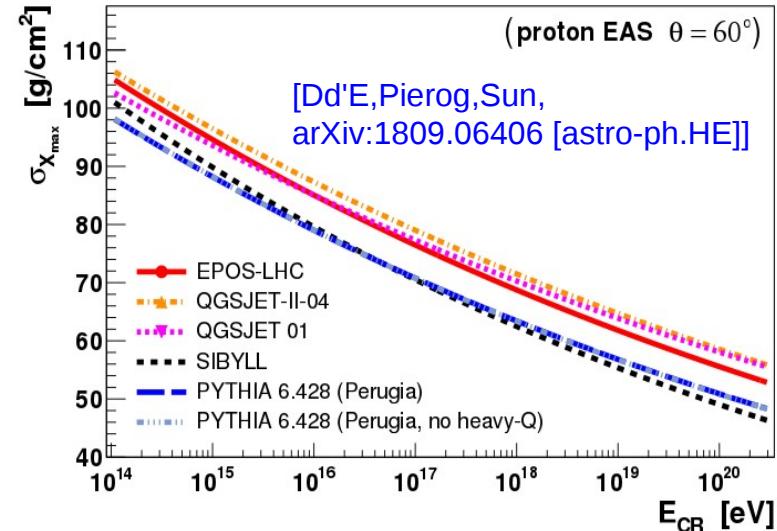
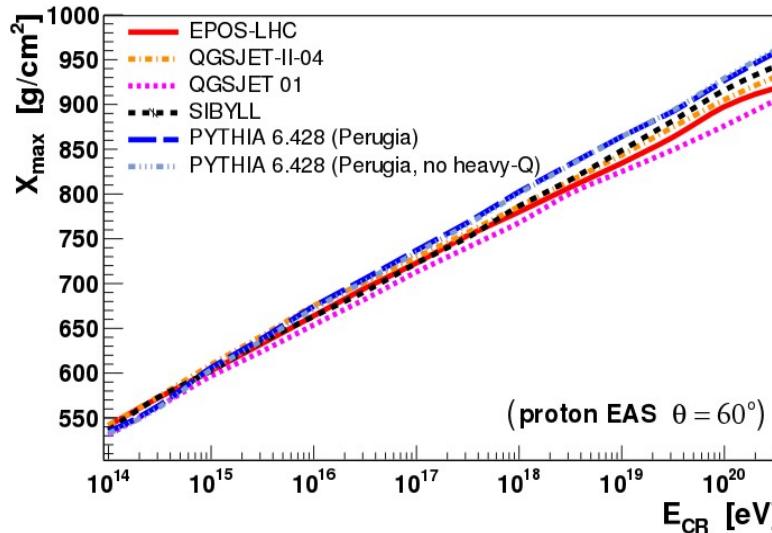
■ PYTHIA-6 tuned to LHC data shows similar EAS as std. UHECR MCs



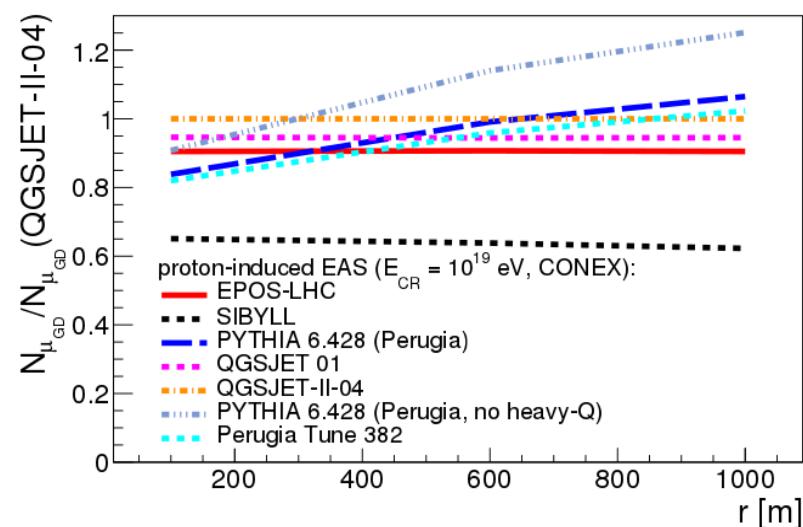
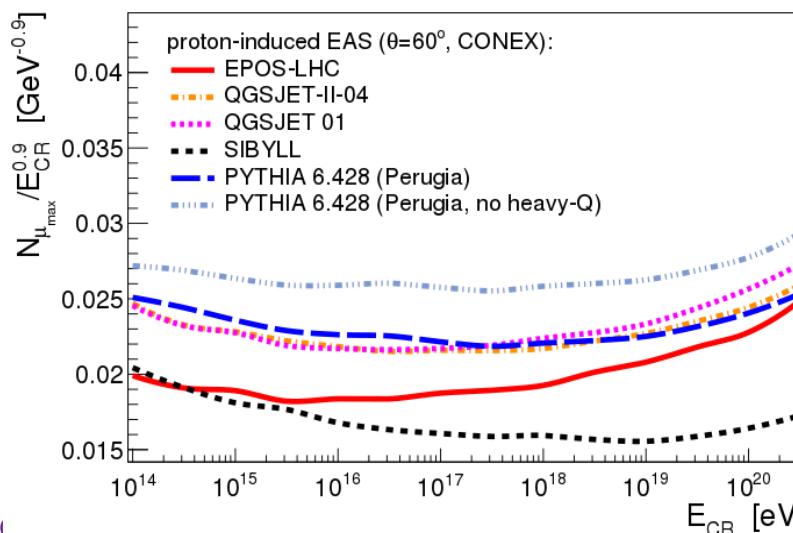
[Dd'E,Pierog,Sun, arXiv:1809.06406 [astro-ph.HE]]

Proton EAS properties: PYTHIA-6 vs. UHECR MCs

■ PYTHIA-6 tuned to LHC data shows similar EAS as std. UHECR MCs



■ PYTHIA-6 (esp. without heavy-Q) produces more μ 's (and at larger axis distances) than UHECR MCs. But EPOS–QGSJET p-H, p-Air diffs. point to nuclear effects



Summary: UHECR MCs vs. LHC data

- Reasonable agreement of all pre-LHC MCs and Run-1 LHC. They “bracket” data, though no model reproduced consistently all results:

Model \sqrt{s} (TeV)	SIBYLL 2.1			QGSJET01			QGSJETII			EPOS 1.99		
	0.9	2.36	7	0.9	2.36	7	0.9	2.36	7	0.9	2.36	7
σ_{inel}	✓	↑↑	↑↑	✓	✓	✓	✓	↑↑	↑↑	✓	✓	✓
$dN_{ch}/d\eta _{\eta=0}$	✓	✓	✓	✓	✓	✓	✓	✓	↑↑	✓	↓	↓
$P(N_{ch} < 5)$	↑↑	↑↑	↑↑	↑↑	↑↑	↓↓	↑↑	↑↑	↑↑	✓	✓	✓
$P(N_{ch} > 30)$	↑↑	✓	↑↑	✓	↓↓	↓↓	✓	✓	↑↑	↓↓	↓↓	↓↓
$\langle p_\perp \rangle$	✓	↓↓	↓↓	↑↑	↑↑	✓	↑↑	↑↑	↑↑	✓	✓	✓

- No significant change of multiparticle production at the LHC ($\sim 10^{16}$ eV): “CR knee” at $\sim 10^{15.5}$ eV not due to new (unobserved) particles.
- EPOS-LHC, QGSJET-II-4, SIBYLL2.3 updates: Retuning of diffraction, multiparton colls., saturation, proton-nucleus effects (based on p-Pb at 5 TeV, 2015). Improved reproduction of newest LHC data.
- Still further improvements needed in:
 - Very forward particle production.
 - Semi-hard MPIs. Perturbative QCD dynamics (harder minijets).
- Solution of UHECR μ deficit requires pQCD minijet + nuclear effects combined (not missing heavy-quark production). Enough or new physics?

Backup slides

High-energy proton-proton collisions

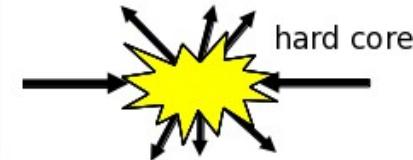
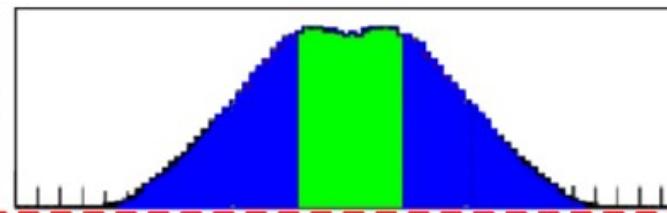
- Hadrons are extended composite objects: even at asymptotically large c.m. energies, **only ~60% of x-section is “computable” within pQCD**

MINI-JET
pQCD

(1) Perturbative parton-parton
collisions

~60%

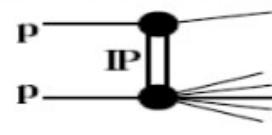
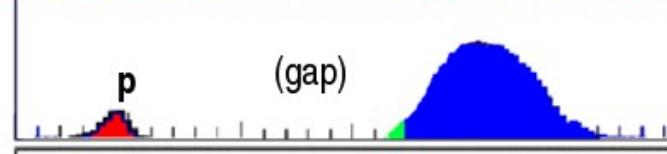
Non diff.
inelastic



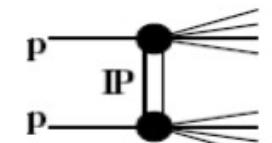
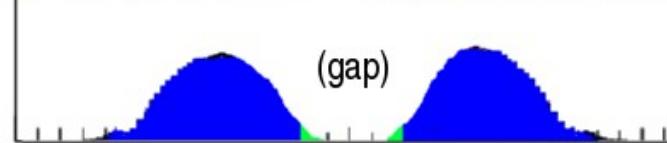
(2) Diffractive + elastic

~40%

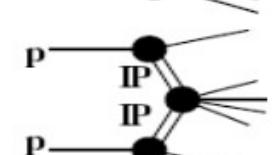
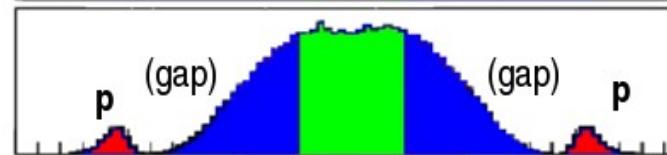
Single diff.



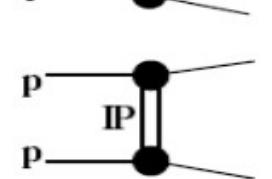
Double diff.



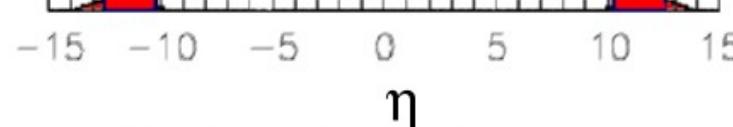
Central diff.



Elastic scatt.



- 1 or 2 protons intact.
+ 1 or 2 rapidity gaps:
- **No colour flux.**
- Colourless exchange with vacuum $J^{PC}=0^{++}$ quantum-numbers:
 $|Pomeron = 2\text{-gluons in colour-singlet state.}$

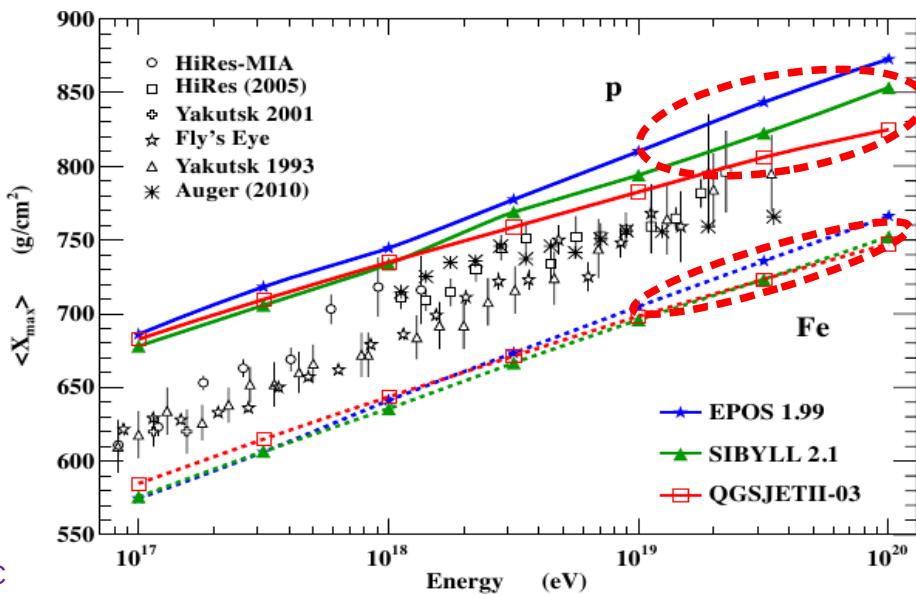
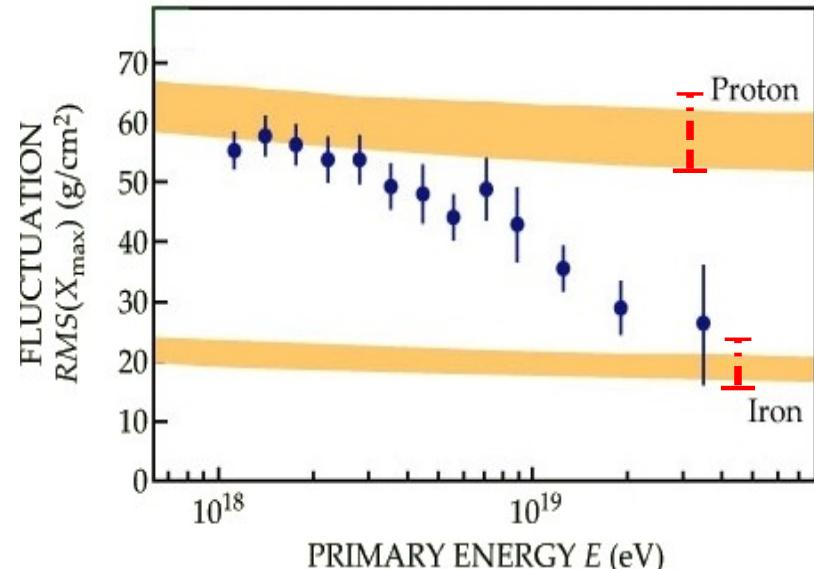
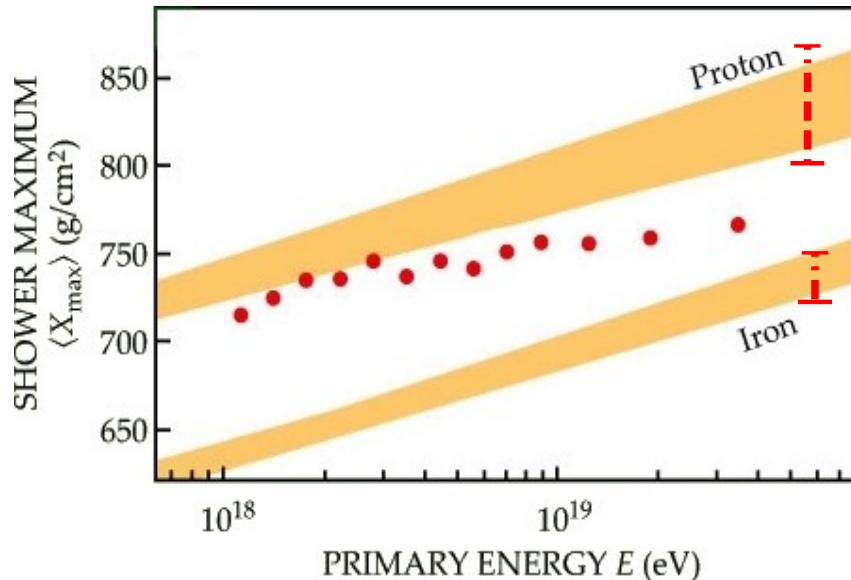


- pQCD (~60 mb) + diffractive (~15mb) + elastic (~25 mb) ~ 100 mb at the LHC.

UHECR at GZK-cutoff: p or Fe-ions ? (pre-LHC)

Auger: PRL 104 (2010) 091101

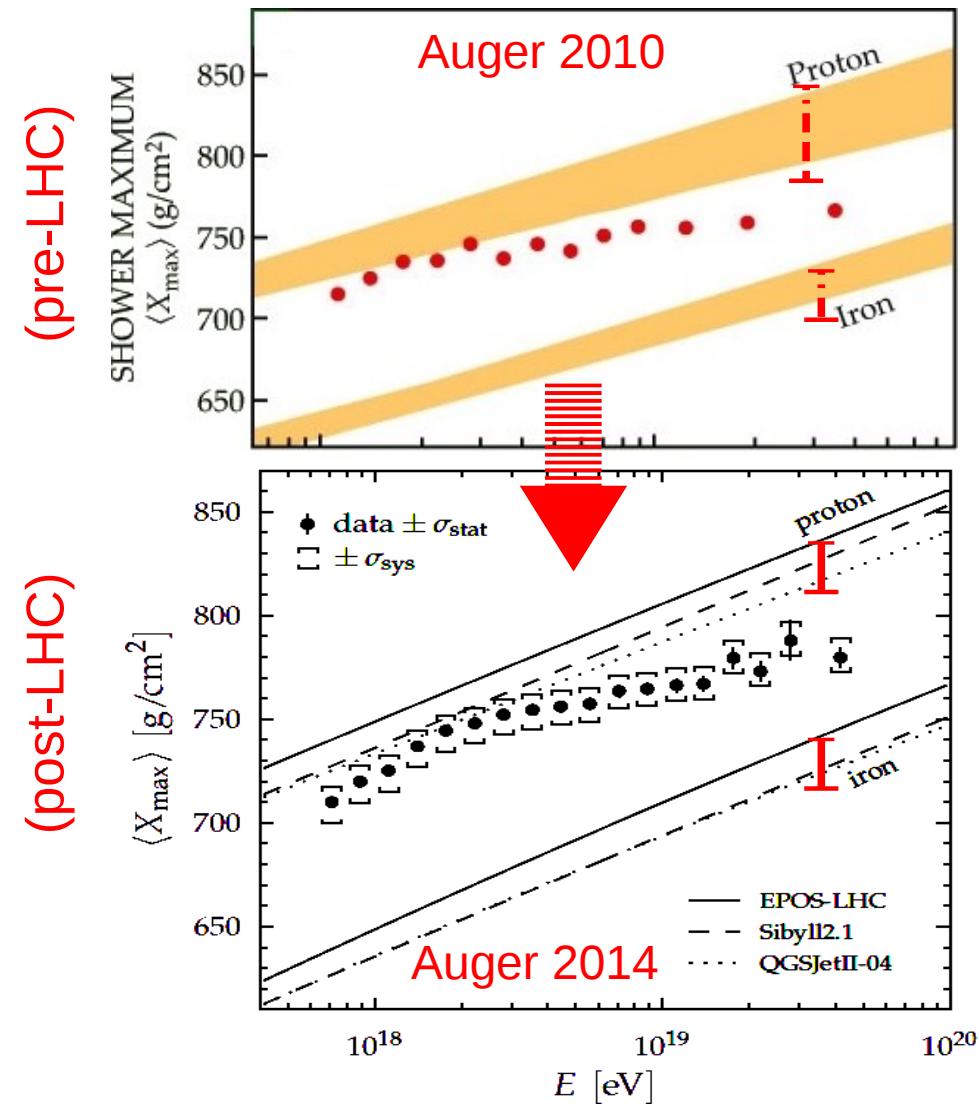
■ Auger shower-max position & fluctuations favour **heavy-ions** for $>10^{19}$ eV



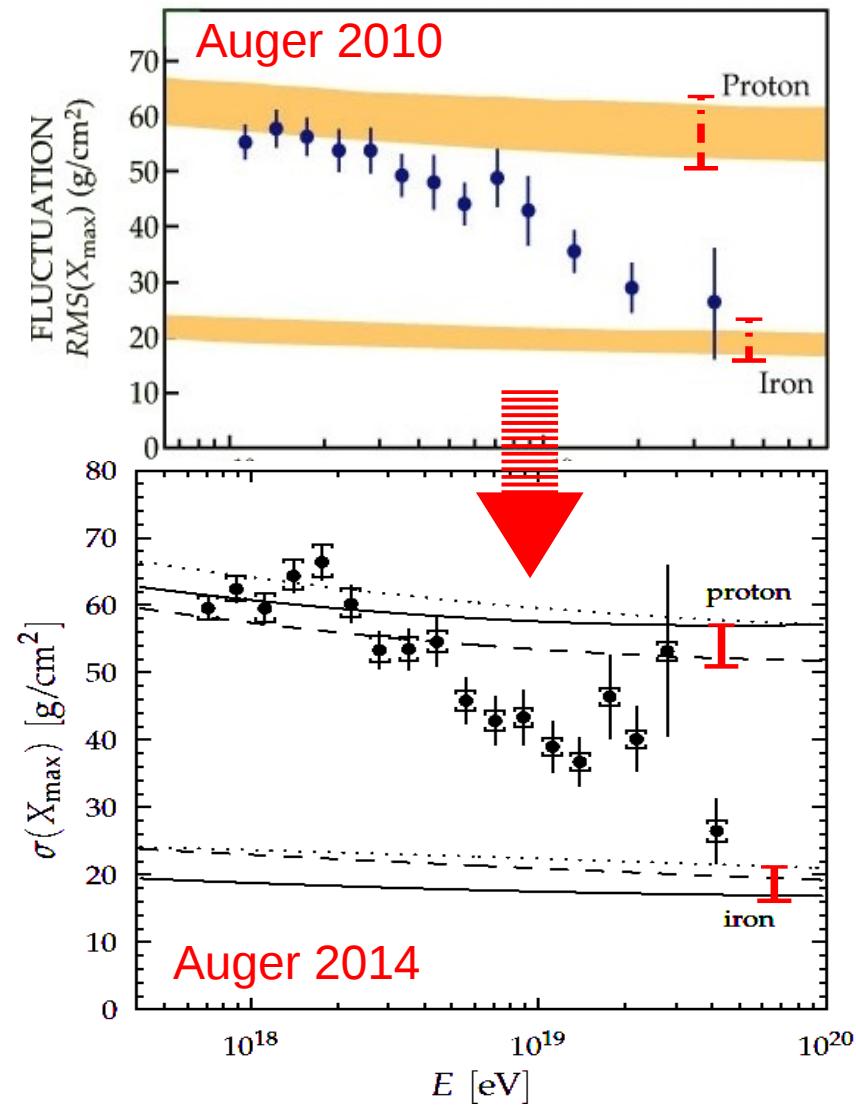
- Hadronic MC uncertainties propagate to CR mass.
- QGSJET-II,SIBYLL: favour **protons**
- EPOS: favours **mixture protons+Fe-ions**

Impact of LHC data on UHE CRs (Auger)

Mean depth of shower maximum:



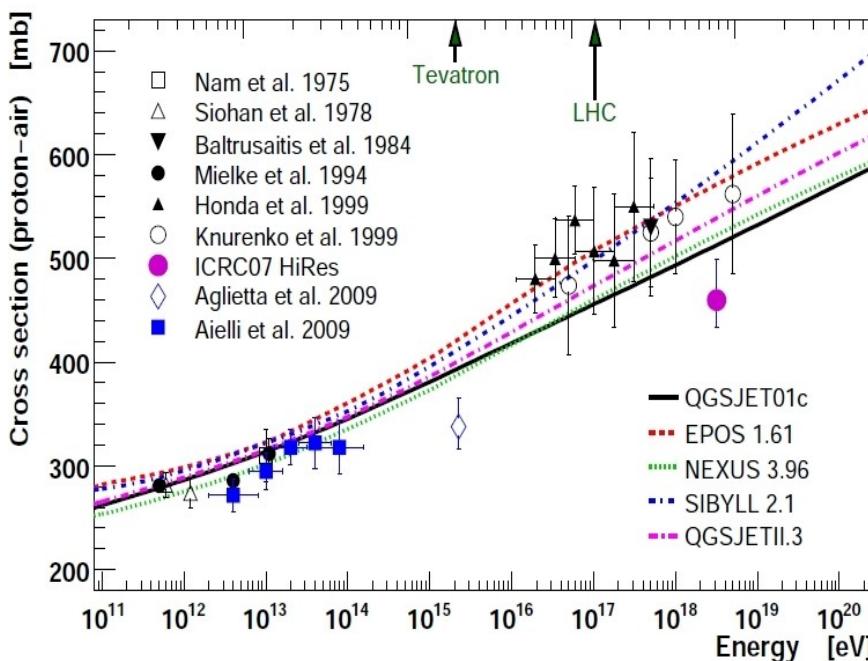
Fluctuations of shower max:



■ Data prefer average p-Fe composition, w/ reduced model uncertainties.

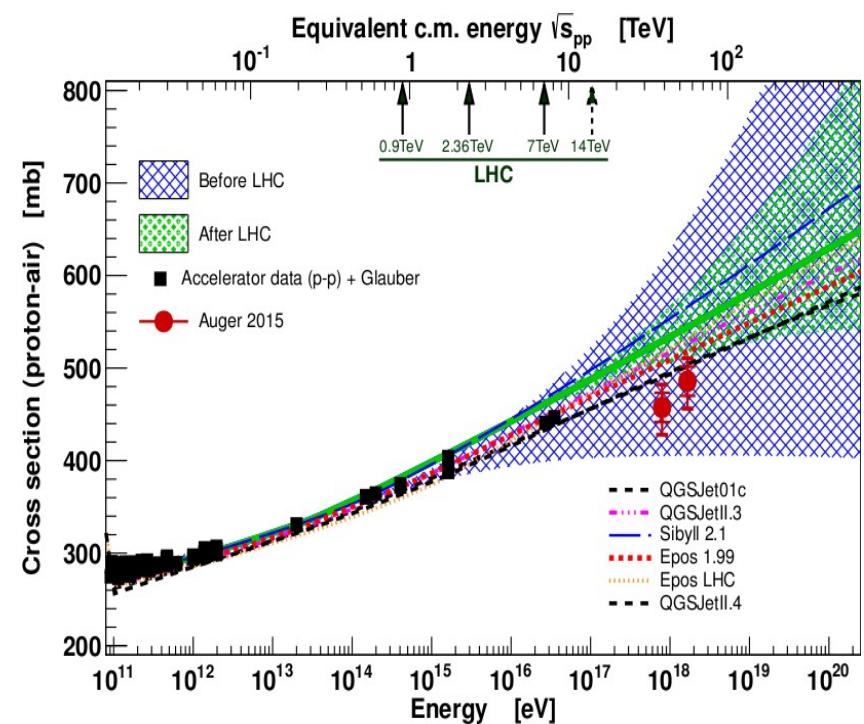
Total & elastic p-p cross sections

- Non-computable from QCD Lagrangian, but constrained by fundamental QM relations: **Froisart bound, optical theorem, dispersion relations.**
- p-Air x-sections even more uncertain (Glauber model):



R.Ulrich, eConf C0906083 (2009)

Reduced uncertainties post-LHC1

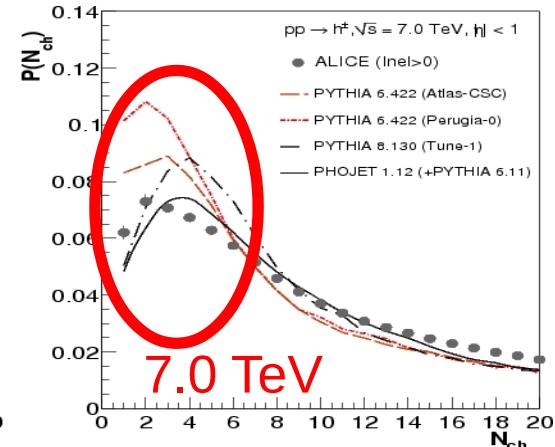
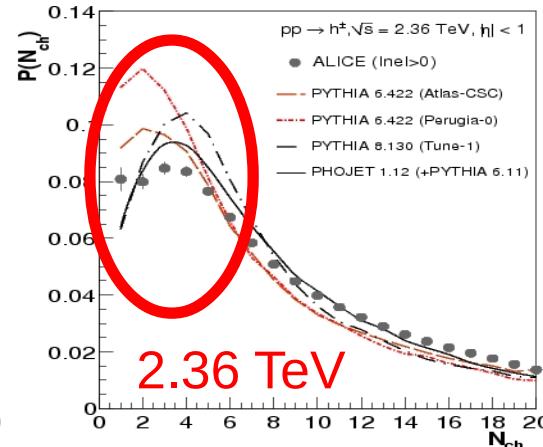
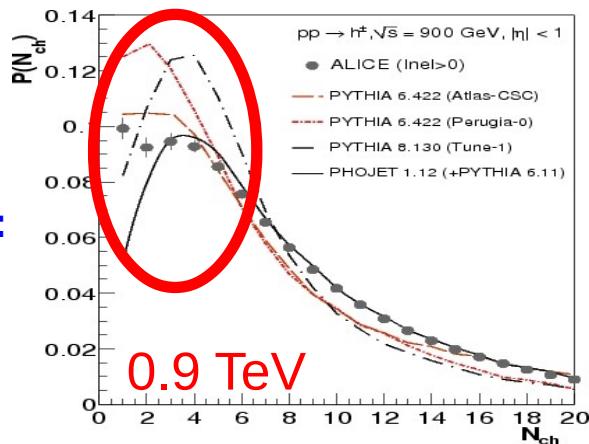


David d'Enterria (CERN)

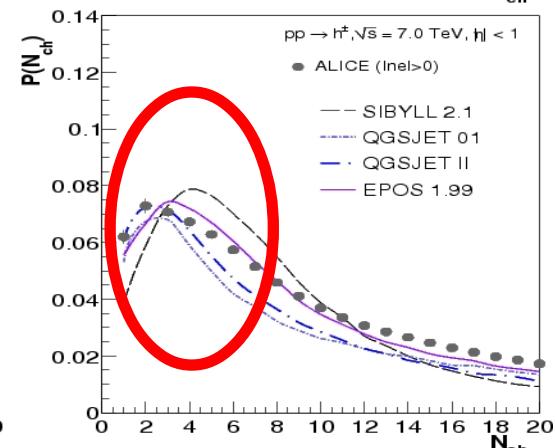
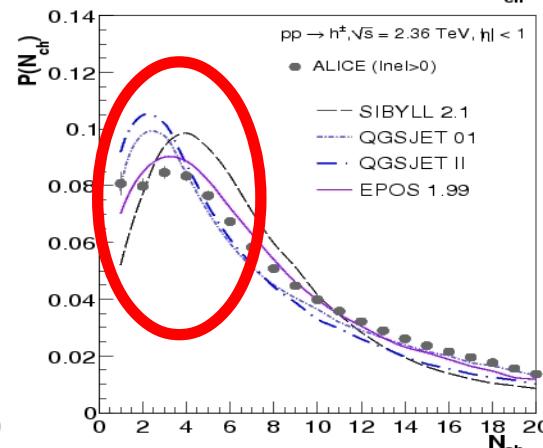
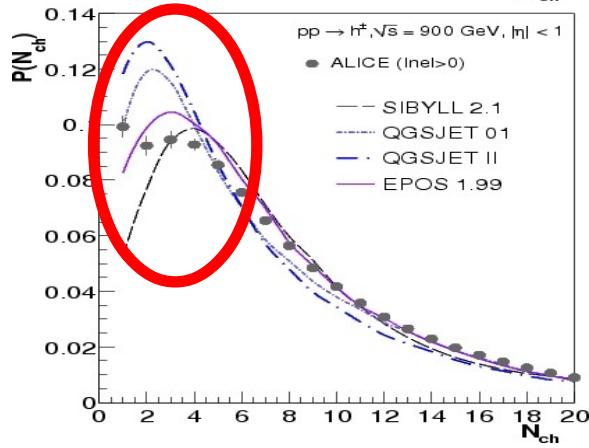
LHC low multiplicity probabilities

- Models ~OK with average multiplicity/event, may miss the event-by-event multiplicity probability at low N_{ch} in the data:

PYTHIA,
PHOJET:



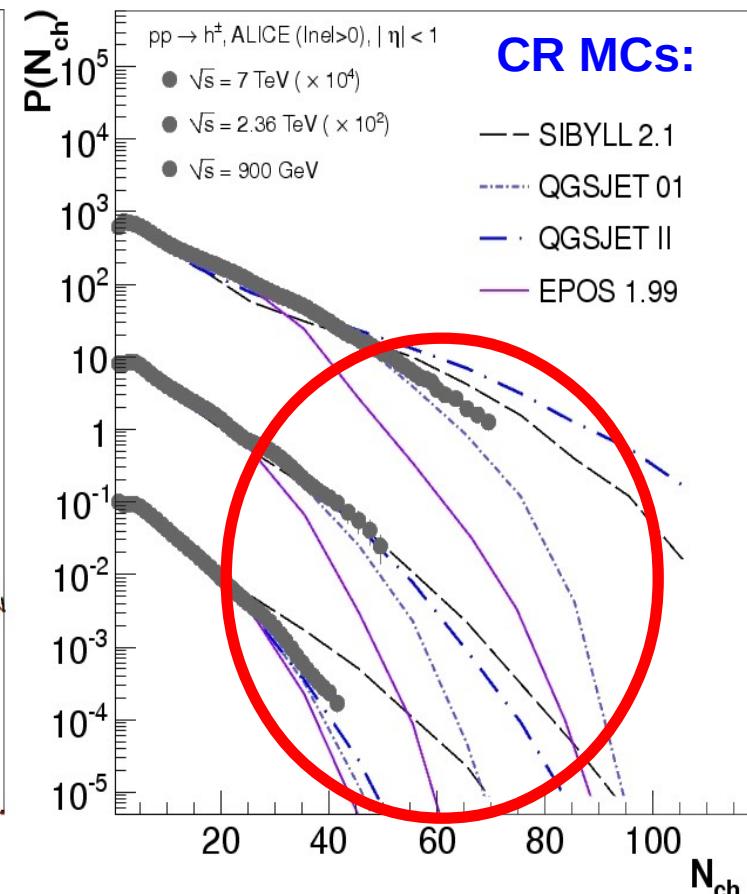
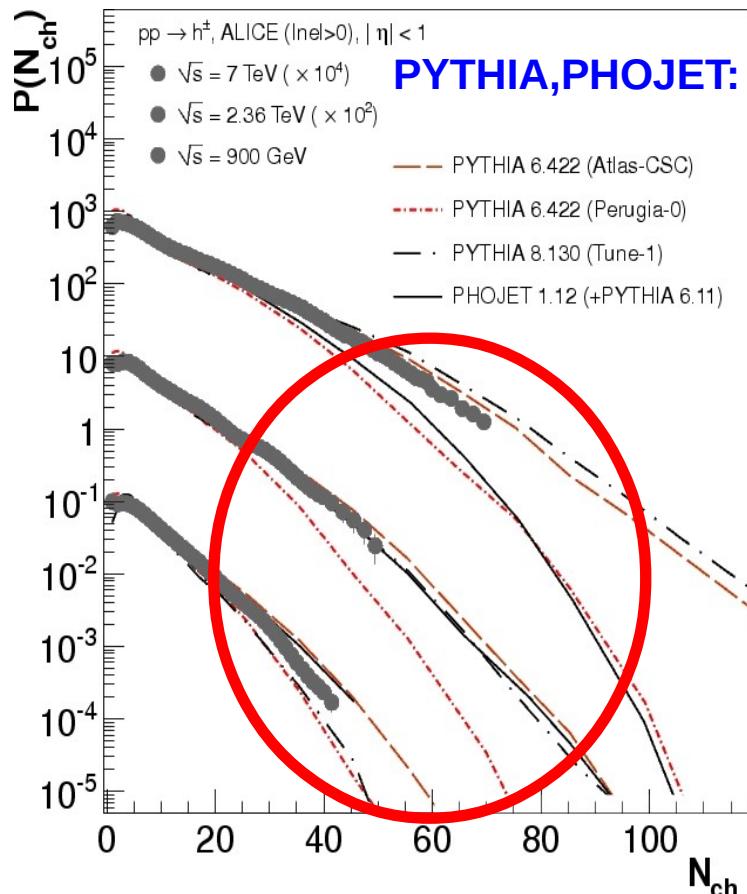
CRs
MCs:



- Improvement of diffractive interactions needed.

LHC large multiplicity probabilities

- Models ~OK with average multiplicity/event, may miss the event-by-event **multiplicity probability at high N_{ch}** in the data:



- Improvement of multi-parton interactions modeling needed.

UHECRs energy & identification

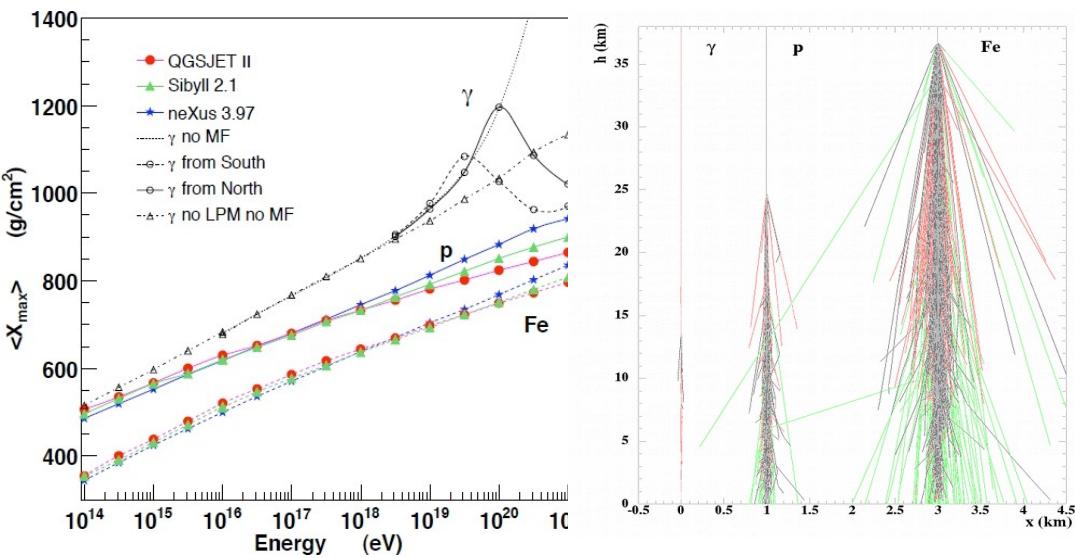
[Blumer-Engel-Horandel, PPNP 68(2009)293]

■ Position & fluctuations of shower maximum:

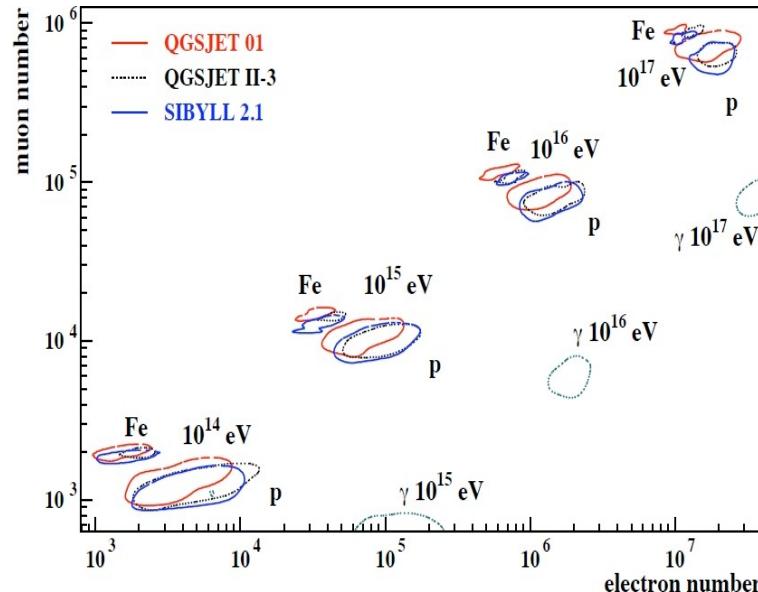
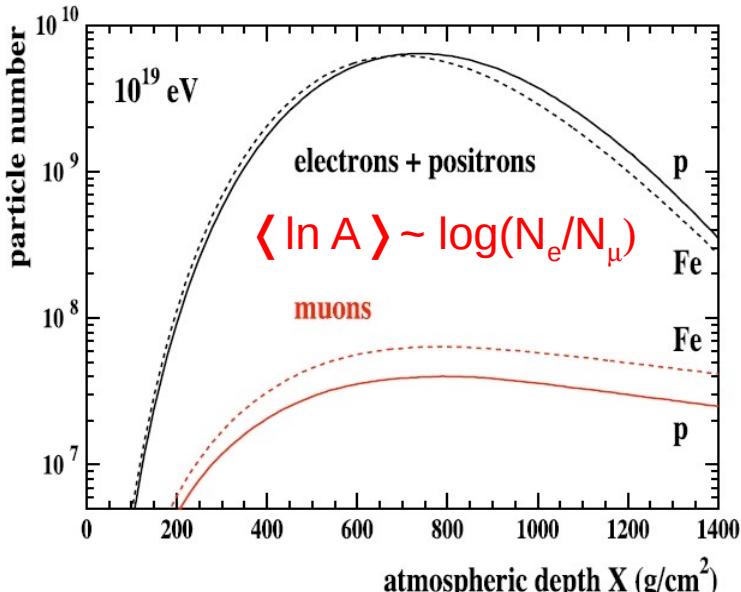
Depth: $\gamma > p > A$

$$X_{\max}(p) \sim X_{\max}(\text{Fe}) + 150 \text{ g/cm}^2$$

Shower-to-shower fluctuations:
smaller for ions than proton.



■ Number of e^\pm & muons:



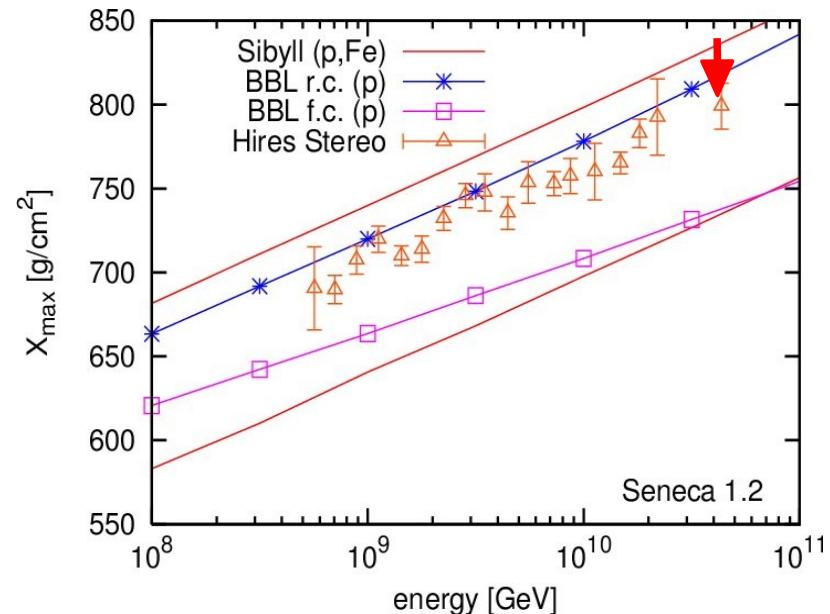
Examples of implications for EAS

- Reduced $dN/d\eta$ (esp. fwd):

Less penetration:

lower X_{\max} (~ -30 g/cm 2)

Drescher, Dumitru, Strikman
PRL 94 (2005) 231801



- Reduced charm cross sections:

Less muons

Machado&Goncalves
JHEP0704 (2007) 028

