

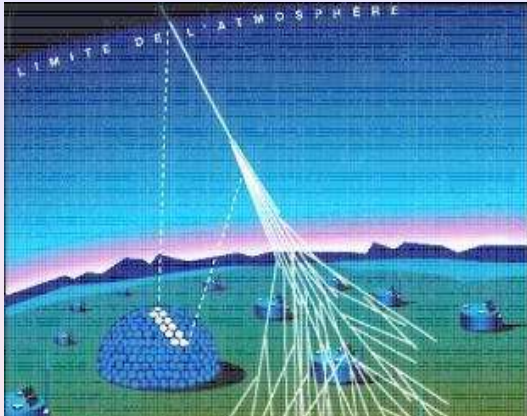
# High Energy Cosmic Ray Interactions and UHECR Composition Problem

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# Cosmic ray studies with Extensive Air Shower technique



CR composition – inferred from air shower properties

- e.g., shower maximum position  $X_{\max}$
- or muon density  $\rho_{\mu}$  at ground
- problem: consistency between different measurements?!

# Cosmic ray studies with Extensive Air Shower technique



CR composition studies – most dependent on interaction models

- e.g. predictions for  $X_{\max}$ : **on the properties of the primary particle interaction** ( $\sigma_{p-\text{air}}^{\text{inel}}$ , forward particle spectra)
  - $\Rightarrow$  most relevant to LHC studies of  $pp$  collisions
- predictions for muon density: on secondary particle interactions (cascade multiplication); mostly on  $N_{\pi-\text{air}}^{\text{ch}}$ 
  - $\Rightarrow$  **small potential influence of 'new physics'**

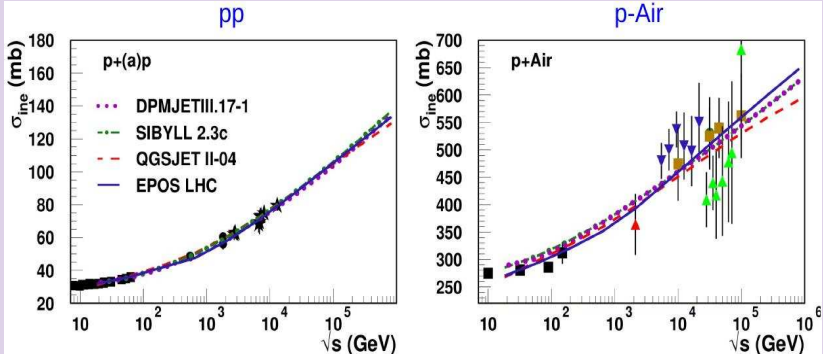
## List of models available in the CORSIKA EAS simulation code (from T. Pierog, ISVHECRI-2018)

### ● Which model for CR ? (alphabetical order)

- ➔ **DPMJETIII.17-1** by S. Roesler, A. Fedynitch, R. Engel and J. Ranft
- ➔ **EPOS (1.99/LHC)** (from VENUS/NEXUS before) by H.J. Drescher, F. Liu, T. Pierog and K. Werner.
- ➔ **QGSJET** (01/II-03/**II-04**/III) by S. Ostapchenko (starting with N. Kalmykov)
- ➔ **Sibyll (2.1/2.3c)** by E-J Ahn, R. Engel, R.S. Fletcher, T.K. Gaisser, P. Lipari, F. Riehn, T. Stanev

# CR interaction models, LHC data, and EAS predictions

All the models: updated with data from LHC Run 1  
(notably on  $\sigma_{pp}^{\text{tot/el}}$  by TOTEM & ATLAS ALFA)

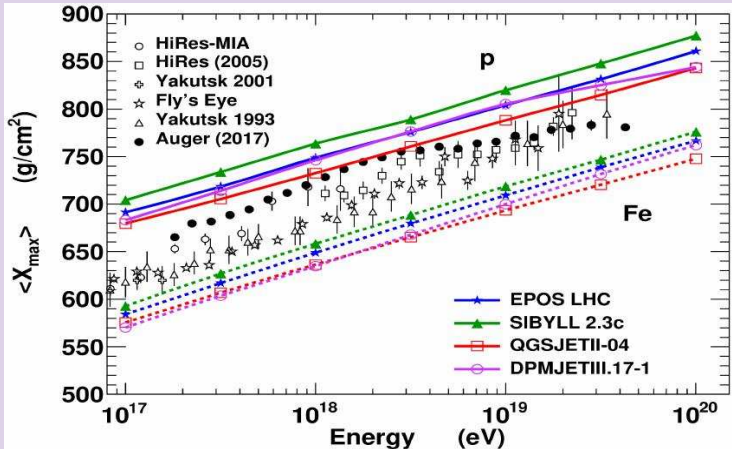


[T. Pierog, ISVHECRI-2018]

- $\Rightarrow$  very similar high energy extrapolations for  $\sigma_{pp}^{\text{inel}}$  &  $\sigma_{p\text{-air}}^{\text{inel}}$
- $\Rightarrow$  strong constraint on  $X_{\text{max}}$  predictions  
( $< 10\%$  difference in  $\sigma_{p\text{-air}}^{\text{inel}} \Rightarrow \lesssim 10 \text{ g/cm}^2$  shift in  $X_{\text{max}}$ )

# CR interaction models, LHC data, and EAS predictions

Yet large (up to 40 g/cm<sup>2</sup>) differences for  $X_{\max}$  predictions

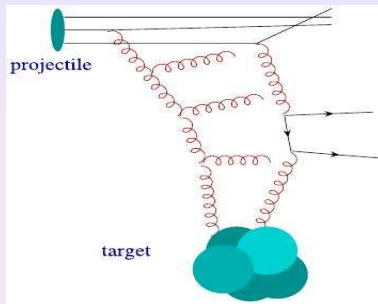


[T. Pierog, ISVHECRI-2018]

- largest differences between **SIBYLL** & **QGSJET-II**  
(to be addressed below)

# Same qualitative picture for all the models

- QCD-inspired: **interaction mediated by parton cascades**
- multiple scattering  
(many cascades in parallel)
- real cascades  
⇒ particle production
- virtual cascades  
⇒ elastic rescattering  
(just momentum transfer)



## Universal interaction mechanism ⇒ predictive power

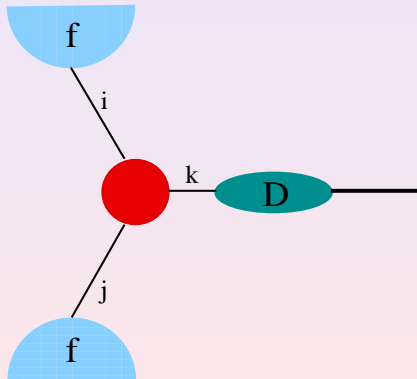
- different hadrons (nuclei) ⇒ **different initial conditions**  
(parton Fock states) but same mechanism
- energy-evolution of the observables (e.g.  $\sigma_{pp}^{\text{tot}}$ ):  
**due to a larger phase space for cascades to develop**

# Hadronic interactions: input from pQCD & problems

- pQCD: **collinear factorization** applies for inclusive spectra

$$\frac{d^3\sigma_{pp\rightarrow h}}{dp^3} = \sum_{i,j,k} f_{i/p} \otimes \sigma_{ij\rightarrow k} \otimes f_{j/p} \otimes D_{h/k}$$

- pQCD predicts evolution of PDFs ( $f_{i/p}$ ) & FFs ( $D_{h/k}$ )
- $\Rightarrow$  **allows to treat high  $p_t$  hadron production**



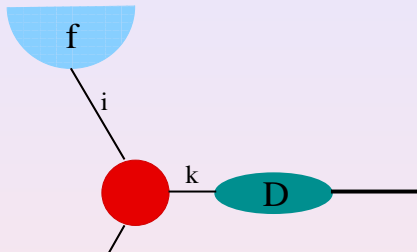


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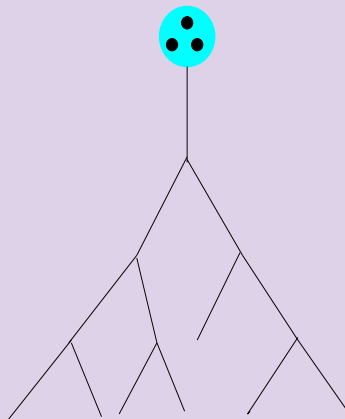
What is beyond and why the models are so different?

- nonperturbative (low  $p_t$ ) parton evolution ('soft' rescatterings; very initial stage of 'semihard' cascades)
- multiple scattering aspect
- nonlinear effects (interactions between parton cascades)
- constituent parton Fock states & hadron 'remnants'

# Nonperturbative parton Fock states: 2 approaches

1. (Implicitly) always the same nonperturbative Fock state (typical for models used at colliders, also SIBYLL & DPMJET)

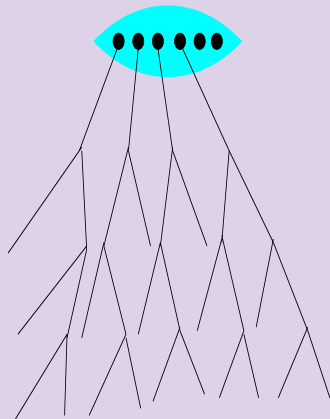
- multiple parton cascades originate from the same initial parton state
- multiple scattering has small impact on forward spectra
  - new branches emerge at small  $x$   
( $G(x, q^2) \propto 1/x$ )
- $\Rightarrow$  Feynman scaling for forward particle production
- higher  $\sqrt{s} \Rightarrow$  more abundant central particle production
- forward & central production – decoupled from each other
  - (decreasing number of cascade branches for increasing  $x$ )



# Nonperturbative parton Fock states: 2 approaches

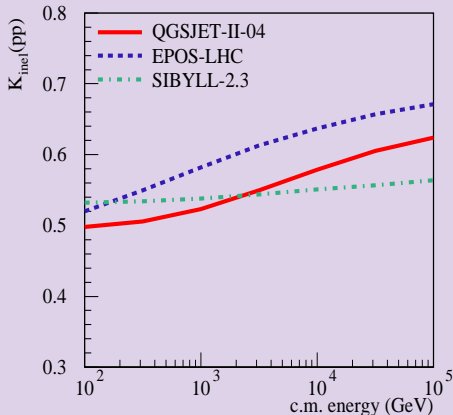
## 2. $p = \sum$ of multi-parton Fock states [EPOS & QGSJET(-II)]

- many cascades develop in parallel  
(already at nonperturbative stage)
- higher  $\sqrt{s} \Rightarrow$  larger Fock states  
come into play:  $|qqq\rangle \rightarrow |qqq\bar{q}q\rangle$   
 $\rightarrow \dots |qqq\bar{q}q\dots\bar{q}q\rangle$ 
  - $\Rightarrow$  softer forward spectra  
(energy sharing between constituent partons)
- forward & central particle  
production - strongly correlated
  - e.g. more activity in central  
detectors  $\Rightarrow$  larger Fock states  
 $\Rightarrow$  softer forward spectra



# Why of importance for air shower predictions?

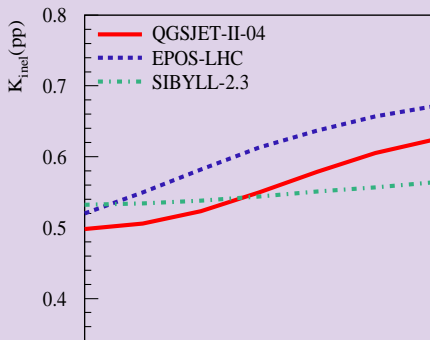
Main cause: energy-dependence of the nucleon 'inelasticity'



- SIBYLL:  $K_{pp}^{\text{inel}}$  - weak energy dependence
  - for increasing  $\sqrt{s}$ , mostly central production enhanced
- smaller  $K^{\text{inel}}$   $\Rightarrow$  stronger 'leading particle' effect
- $\Rightarrow$  slower shower development (deeper  $X_{\text{max}}$ )

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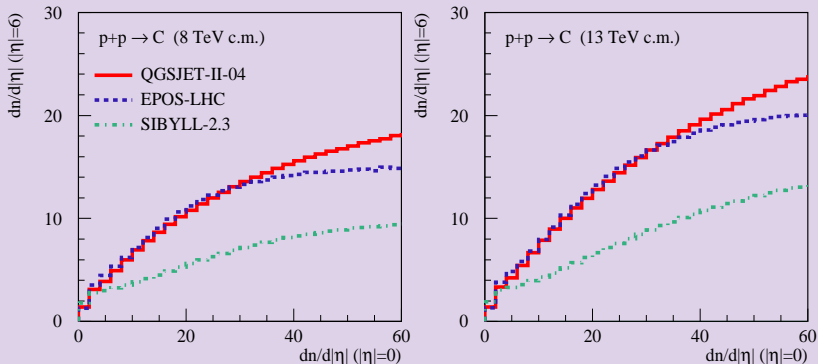
Strong energy-rise of  $K_{pp}^{\text{inel}}$  in EPOS & QGSJET-II

- due to energy sharing between larger numbers of constituent partons at higher energies
  - $\Rightarrow$  less energy left for proton 'remnants'
- $\Rightarrow$  **quicker EAS development** (smaller  $X_{\text{max}}$ )

# 'Smoking gun' test: signal correlations in CMS & TOTEM

[SO, Bleicher, Pierog & Werner, PRD94 (2016) 114026]

Cross-correlation of  $dN_{pp}^{\text{ch}}/d|\eta|$  at  $\eta = 0$  ( $p_t > 0.1$  GeV) and  $\eta = 6$

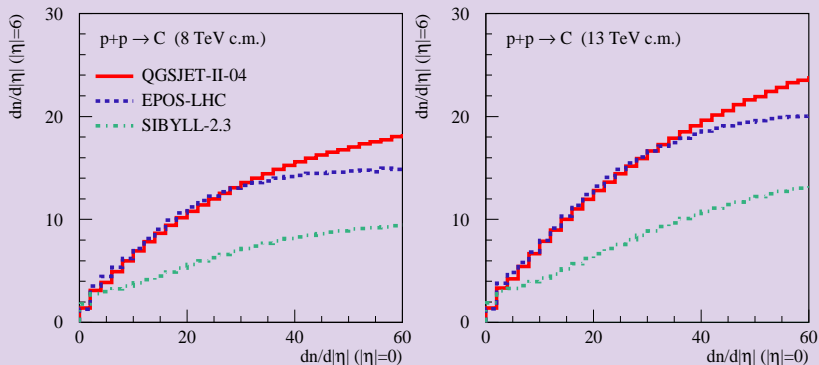


- strong correlation for QGSJET-II-04 & EPOS-LHC (apart from the tails of the multiplicity distributions)
- twice weaker correlation for SIBYLL-2.3

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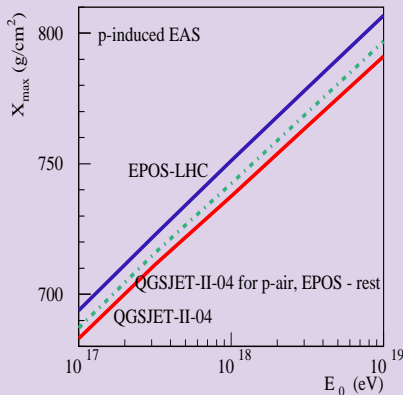
Similar studies possible with LHCf & ATLAS detectors

# Other model uncertainties largely due to $\pi$ -air interactions

[SO & Bleicher, PRD93 (2016) 051501]

E.g., compare  $X_{\max}$  of EPOS-LHC & QGSJET-II-04

- and make a “cocktail”: QGSJET-II for the 1st interaction & EPOS-LHC for the rest of the cascade



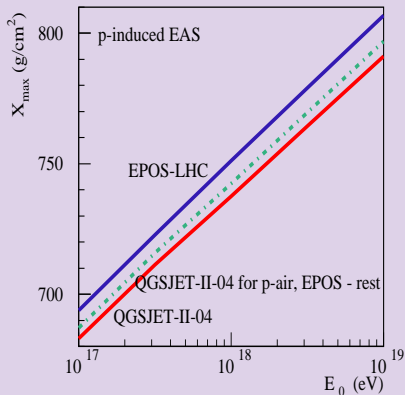


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E.g., compare  $X_{\max}$  of EPOS-LHC & QGSJET-II-04

- and make a “cocktail”: QGSJET-II for the 1st interaction & EPOS-LHC for the rest of the cascade
- large part of EPOS & QGSJET-II  $X_{\max}$ -difference: due to  $\pi$ -air collisions (difference between red & green lines)
- caused by a copious  $\bar{p}p$ - &  $\bar{n}n$ -pair production and higher pion diffraction rate in EPOS-LHC



# How to solve the UHECR composition puzzle?

Present data on UHECR composition: no coherent interpretation

- because of deficiencies of current CR interaction models?
- or the problem is with the data themselves?
- $\Rightarrow$  let us start with one benchmark observable

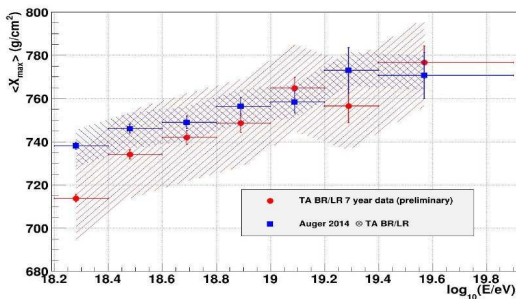
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$\langle X_{\max} \rangle$  – good candidate: PAO & TA – consistent with each other

**TA/Auger  $X_{\max}$  - UHECR 2016**



[JPS Conf.Proc. 19 \(2018\) 011013](#)

TA and Auger data can not be directly compared because they use different approaches to data analysis.

We can indirectly compare our data by using a composition mixture made up of proton, helium, nitrogen, and iron that is fit to their data. Then TA generates and reconstructs a Monte Carlo data set using the same composition mix. This simulates acceptance and biases of the TA detector and reconstruction algorithms.

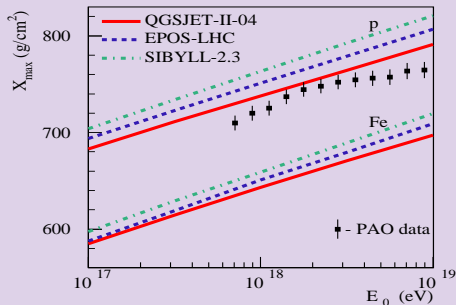
Compare the agreement of this reconstructed mix to TA data.

TA and Auger data are in agreement within systematic uncertainties.

[W. Hanlon, ISVHECRI-2018]

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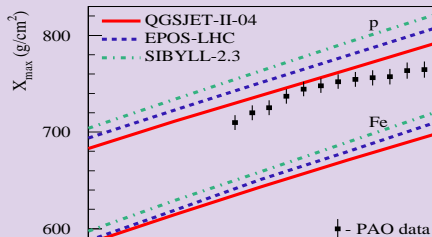
But: which model to use to interpret the data?



- or all the models are deficient?

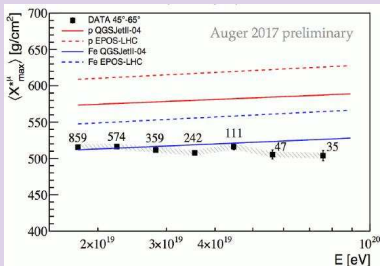
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PAO data on maximal muon production depth  $X_{\max}^{\mu}$  may help

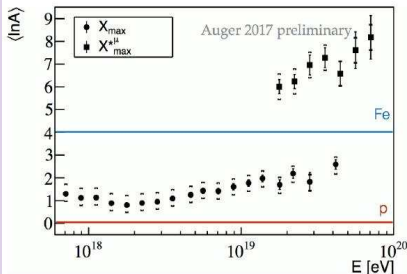


- models predict deeper  $X_{\max}^{\mu}$  than observed
- e.g. one needs primary iron for QGSJET-II-04
- or primary gold for EPOS-LHC...

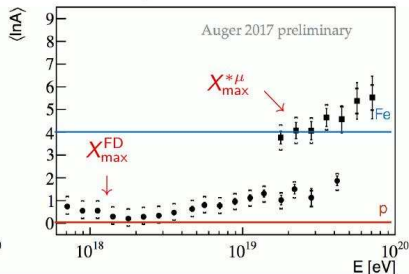
# How to solve the UHECR composition puzzle?

Change models to 'marry'  $X_{\max}$  &  $X_{\max}^{\mu}$  data composition-wise?

EPOS LHC



QGSJET II-04

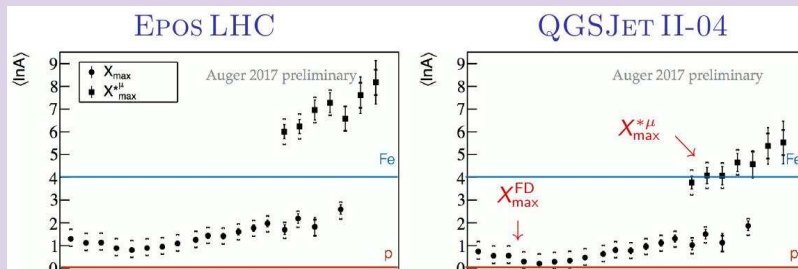


[R. Prado, ISVHECRI-2018]

- the two sets of data should overlap in terms of  $\langle \ln A \rangle$ 
  - for  $1 \leq A \leq 56!$

# How to solve the UHECR composition puzzle?

Change models to 'marry'  $X_{\max}$  &  $X_{\max}^{\mu}$  data composition-wise?



Ancient Greek wisdom may help...



- change a model to modify  $X_{\max}$  prediction:
  - $X_{\max}^{\mu}$  will move in the same direction!
- or vice versa

# Modifying CR interaction models: which way to go?

- start with QGSJET-II **and change the treatment of  $p - \text{air}$ :**
  - $\sigma_{p-\text{air}}^{\text{inel}}$  – little freedom in view of LHC data
  - treatment of diffractive collisions:  $< 10 \text{ g/cm}^2$  effect on  $X_{\text{max}}$   
[SO, PRD89 (2014) 074009]
  - treatment of forward hadron production ( $\Rightarrow$  impact on  $K_{p-\text{air}}^{\text{inel}}$ )  
– some freedom left (see the SIBYLL/QGSJET-II difference)



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  - further cascade development – dominated by pion-air collisions

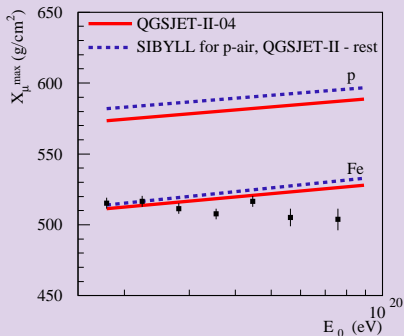
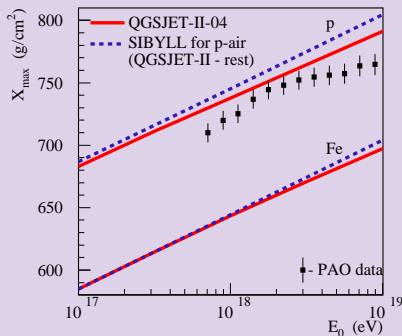
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  - $\Rightarrow$  (nearly) same effect on  $X_{\text{max}}$  and  $X_{\text{max}}^{\mu}$

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SIBYLL-2.3 for  $p - \text{air}$  ( $\Rightarrow$  smaller  $K_{p-\text{air}}^{\text{inel}}$ ); QGSJET-II for the rest

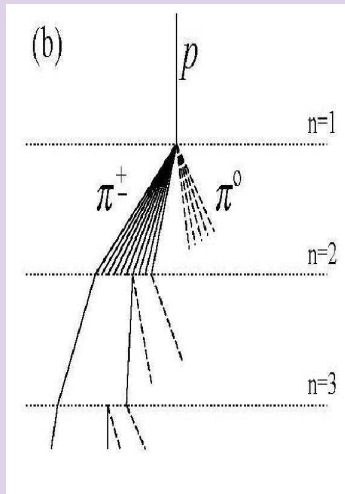


- $\Rightarrow$  larger  $\langle \ln A \rangle$  from  $X_{\text{max}}$  but  $\langle A \rangle > 56$ , based on  $X_{\text{max}}^{\mu}$ ?!

# Modifying CR interaction models: which way to go?

## Changing the treatment of $\pi$ – air collisions ('Achilles & Tortoise')

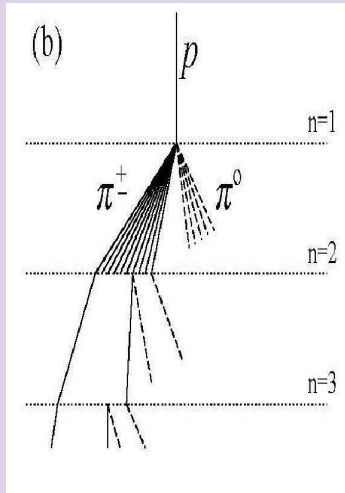
- e.g.,  $\sigma_{\pi\text{-air}}^{\text{inel}}$ ,  $\sigma_{\pi\text{-air}}^{\text{diffr}}$ ,  $K_{\pi\text{-air}}^{\text{inel}}$ 
  - $\equiv$  making special assumptions concerning the pion structure



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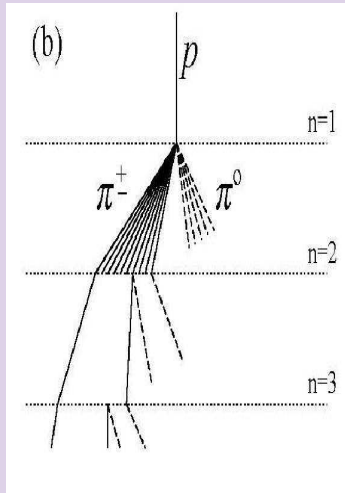
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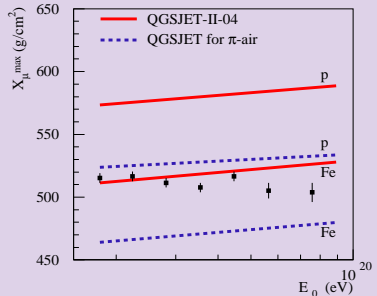
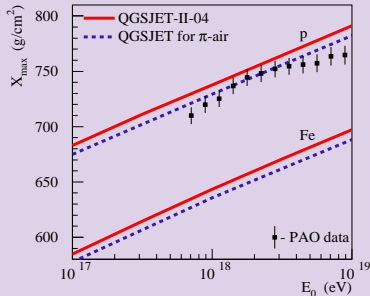
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  - $\equiv$  making special assumptions concerning the pion structure
- affects every step in the multi-step hadron cascade
  - $\Rightarrow$  cumulative effect on  $X_{\text{max}}^{\mu}$
- but: **only the first few steps in the cascade impact  $X_{\text{max}}$** 
  - after few steps, most of energy channelled into e/m cascades
  - $\Rightarrow$  **much weaker effect on  $X_{\text{max}}$**



# Modifying CR interaction models: which way to go?

E.g., employing the old QGSJET model for  $\pi$  – air collisions

•  $\Rightarrow$  higher  $\sigma_{\pi\text{-air}}^{\text{inel}}$ , larger  $N_{\pi\text{-air}}^{\text{ch}}$  &  $K_{\pi\text{-air}}^{\text{inel}}$

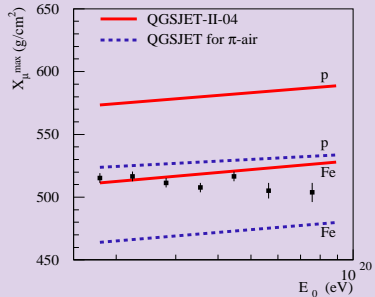
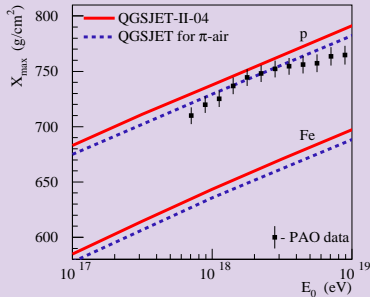


•  $\Rightarrow$  (almost) pure proton composition for UHECRs

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- $\Rightarrow$  (almost) pure proton composition for UHECRs

NB: rather an indication of the tendency, not a solution

- old QGSJET – outdated; known to overestimate particle production in  $\pi$  – air collisions



# Summary on $X_{\max}$ & $X_{\max}^{\mu}$

## Current situation

- data on  $X_{\max}$  favor a light primary composition
- data on  $X_{\max}^{\mu}$ : close to model results for primary iron (at best)

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## Changing the treatment of $p - \text{air}$ interactions?

- parallel up/down shift of the cascade profile
  - $\Rightarrow$  same effect on  $X_{\max}$  and  $X_{\max}^{\mu}$
- $\Rightarrow$  no way to 'marry'  $X_{\max}$  &  $X_{\max}^{\mu}$  data composition-wise



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## Changing the treatment of $\pi$ – air interactions?

- strong effect on  $X_{\max}^{\mu}$   
but minor shift of  $X_{\max}$
- $\Rightarrow$  self-consistent interpretation of the data on  $X_{\max}$  &  $X_{\max}^{\mu}$



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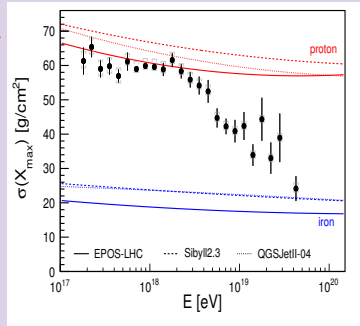
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but minor shift of  $X_{\max}$
- $\Rightarrow$  self-consistent interpretation of the data on  $X_{\max}$  &  $X_{\max}^{\mu}$
- but: **very light primary composition?!**



# Can model changes resolve the conflict with $\text{RMS}(X_{\text{max}})$ ?

## Model predictions for $\text{RMS}(X_{\text{max}})$ : no freedom for primary protons

- $\text{RMS}(X_{\text{max}})$ : **dominated by  $\sigma_{p\text{-air}}^{\text{inel}}$**   
(mean free pass)
  - now fixed by LHC data
- impact of diffraction: few  $\text{g/cm}^2$   
[SO, PRD89 (2014) 074009]
- fluctuations of  $K_{p\text{-air}}^{\text{inel}}$ : (Glauber)  
geometry of  $p$ -air collisions  
( $N$  of 'wounded' nucleons)
- $\Rightarrow$  **similar results for all the models**

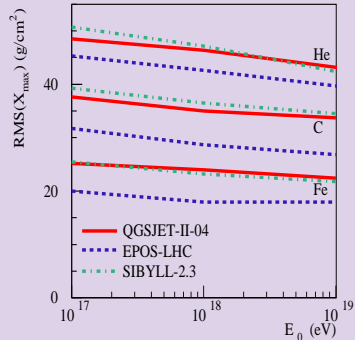


# Can model changes resolve the conflict with $\text{RMS}(X_{\text{max}})$ ?

## Model predictions for $\text{RMS}(X_{\text{max}})$ : no freedom for primary nuclei

- $\sigma_{A-\text{air}}^{\text{inel}}$  of weak impact (short mean free pass)
- universal (Glauber) collision geometry (fluctuations of the number of 'wounded' nucleons)
- but: **sensitive to fragmentation of nuclear spectator part**

[Kalmykov & SO, *Sov.J.Nucl.Phys.* 50 (1989) 315; *Phys.At.Nucl.* 56 (1993) 346]



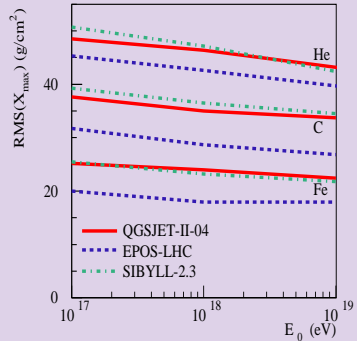
# Can model changes resolve the conflict with $\text{RMS}(X_{\text{max}})$ ?

## Model predictions for $\text{RMS}(X_{\text{max}})$ : no freedom for primary nuclei

- $\sigma_{A-\text{air}}^{\text{inel}}$  of weak impact (short mean free pass)
- universal (Glauber) collision geometry (fluctuations of the number of 'wounded' nucleons)
- but: sensitive to fragmentation of nuclear spectator part

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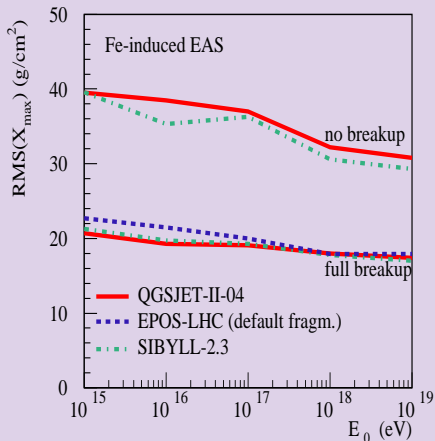
- experimental fact:  
relative fragment yields scale above few GeV/nucleon
- $\Rightarrow$  calibration at low energies warranties HE predictions
- $\Rightarrow$  no further freedom for  $\text{RMS}(X_{\text{max}})$   
(c.f. SIBYLL & QGSJET-II results)



# Why smaller $\text{RMS}(X_{\text{max}})$ of EPOS-LHC? [SO, arXiv:1612.09461]

## Cross check with SIBYLL & QGSJET-II: two extreme scenarios

- 1 complete break up of nuclear spectator part (into separate nucleons)  
 $\Rightarrow$  **smallest  $\text{RMS}(X_{\text{max}})$**
- 2 no break up (single secondary fragment)  
 $\Rightarrow$  **largest  $\text{RMS}(X_{\text{max}})$**

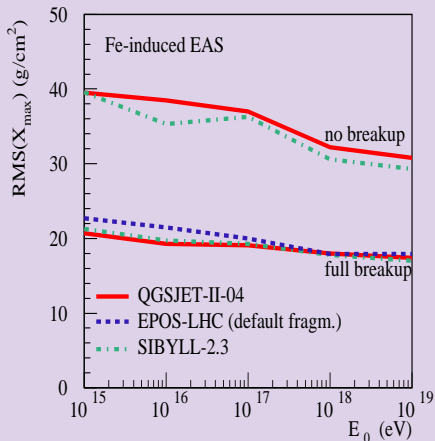




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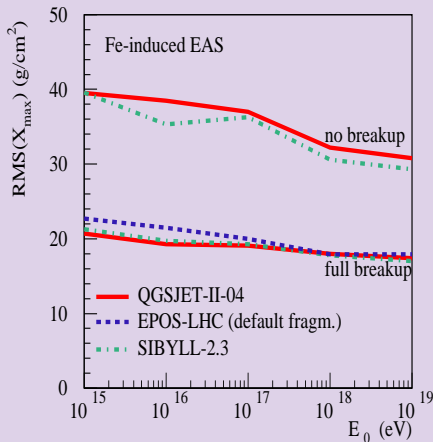
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Likely reason: incorrect matching between the interaction and nuclear fragmentation procedures in EPOS  
(double count of knock-out nucleons)

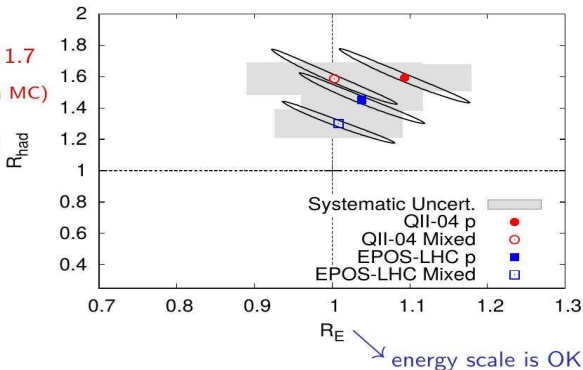
# Muon excess in air showers *[more details in extra slides]*

- indications on 20 – 70% muon deficit in EAS simulations

▶  $R_E \rightarrow$  energy scale

▶  $R_{\text{had}} \rightarrow$  hadronic component

$1.2 < R_{\text{had}} < 1.7$   
(muon deficit in MC)



*[R. Prado, ISVHECRI-2018]*

# Muon excess in air showers *[more details in extra slides]*

Can be explained by a change of the primary interaction?

- large  $N_\mu$ -enhancement  $\Leftrightarrow$  **order of magnitude rise of  $N^{\text{ch}}$**   
(proton should look like a gold nucleus)
  - $\Rightarrow$  requires new physics

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  - $\Rightarrow$  requires new physics
- but: with a huge (barn level) cross section
- can be discriminated experimentally: **will cause factor of 10 enhancement of muon density fluctuations at ground**

# Muon excess in air showers *[more details in extra slides]*

## Conventional physics: change of pion-air interactions?

- $N_\mu$  results from a multi-step hadron cascade
- simple Geitler model:  $N_\mu(E_0) \simeq N_\mu(E_{\text{ref}}) (E_0/E_{\text{ref}})^{\alpha_\mu}$
- assume a new model which predicts a faster energy rise:  
 $\alpha_\mu \rightarrow \tilde{\alpha}_\mu$  (higher  $N^{\text{ch}}$  in  $\pi$ -air, smaller charge exchange, etc.)

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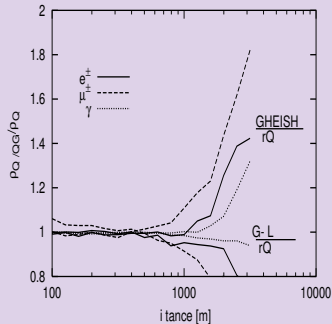
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 $\alpha_\mu \rightarrow \tilde{\alpha}_\mu$  (higher  $N^{\text{ch}}$  in  $\pi$ -air, smaller charge exchange, etc.)
- $\Rightarrow$  **a substantial  $N_\mu$ -enhancement at lower energies too**
  - e.g., for  $E_{\text{ref}} = 10^{15}$  eV,  $R_\mu$  enhancement at  $E_0 = 10^{19}$  eV corresponds to  $\sqrt{R_\mu}$  enhancement at  $10^{17}$  eV



# Muon excess in air showers [more details in extra slides]

## Other options: change of LDF shape at large distances?

- current measurements of muon excess: mostly at large distances
- muon LDF at large  $R_{\text{core}}$ : **sensitive to  $p_t$ -tails of low energy ( $\sim 100$  GeV) interactions at large heights**
  - $\Rightarrow$  cross check of low energy models/use of alternative models
  - e.g., EPOS-LHC performs well compared to NA61 data

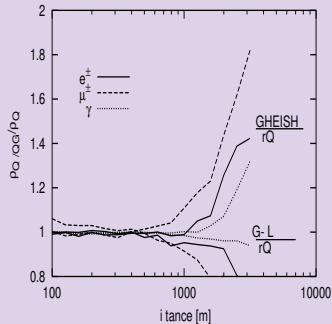


[Drescher et al., *Asropart.Phys.*  
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  - $\Rightarrow$  cross check of low energy models/use of alternative models
  - e.g., EPOS-LHC performs well compared to NA61 data
- another question: **validity of the EGS4 treatment at large  $R_{\text{core}}$ , e.g., of the treatment of Landau scattering?**



[Drescher et al., *Asropart.Phys.*  
21 (2004) 87]

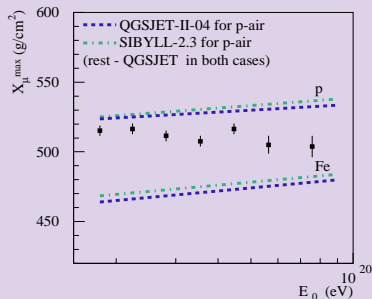
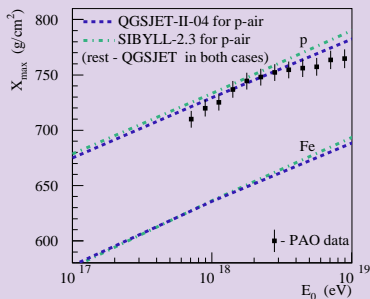
# Summary

- ❶ LHC studies of  $pp$  collisions constrained interaction models
  - most important for CR physics:  $\sigma_{pp}^{\text{tot/el}}$  by TOTEM & ATLAS
  - yet important differences between model predictions
- ❷ Differences for predicted  $K_{p\text{-air}}^{\text{inel}}$  ( $\Rightarrow X_{\text{max}}$ ):  
model assumptions for constituent parton Fock states
  - discrimination: correlations of forward & central production
- ❸ Other uncertainties: mostly related to  $\pi$ -air interactions
- ❹ Coherent interpretation of present data on  $X_{\text{max}}$  &  $X_{\text{max}}^{\mu}$   
 $\Rightarrow$  very light composition of UHECRs
- ❺ But: no freedom in the models to 'marry' a small  $\text{RMS}(X_{\text{max}})$   
to a light UHECR composition
- ❻ Muon excess in air showers remains a puzzle
  - potential solutions with HE interactions – not too appealing
  - another possibility: change of muon LDF shape at large  $R_{\text{core}}$

# Extra slides

# Changing the treatment of both $p$ – air & $\pi$ – air collisions in opposite directions?

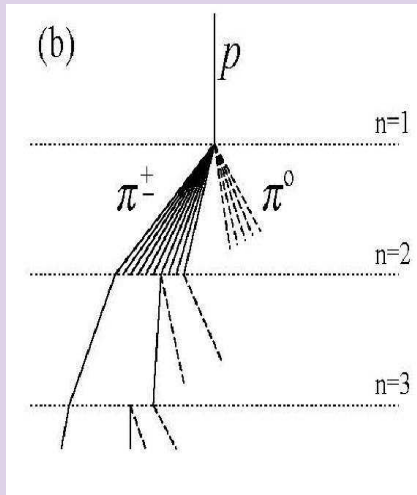
E.g., using SIBYLL-2.3 for  $p$  – air and QGSJET for  $\pi$  – air



- still a very light UHECR composition
  - LHC data don't allow big changes for  $p$  – air
- NB: unnatural option – changes in models typically affect interactions of protons & pions similarly (e.g., rise of  $N_{\text{ch}}$ )

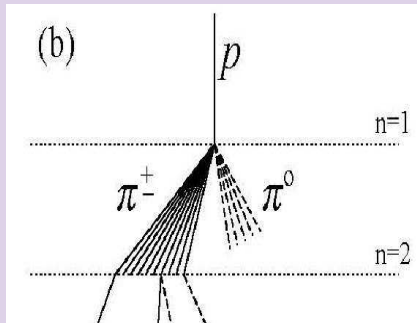
# Muon excess in air showers: potential options

- NB:  $N_\mu$  results from a multi-step hadron cascade
  - $\lesssim 1$  cascade step per energy decade
- which  $\pi$  – air interactions most important?
- $N_\mu \propto E_0^{\alpha_\mu} = \prod_{i=1}^{\text{int}(\lg E_0)} 10^{\alpha_\mu}$
- each order of magnitude: factor  $10^{\alpha_\mu} \simeq 8$  ( $\alpha_\mu \simeq 0.9$ )
- $\Rightarrow$  higher  $N_\mu$  requires to change  $\pi$  – air interactions over a wide energy range



# Muon excess in air showers: potential options

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- each order of magnitude:



## Producing muon excess by a change of the primary interaction?

- if we double  $N_{\text{ch}}$  for the 1st interaction?
  - **< 10% increase for  $N_\mu$ !** [SO, Czech.J.Phys. 56 (2006) A149]
- to get, say, a factor 2 enhancement:  
 $N_{\text{ch}}$  should rise by an order of magnitude

# Muon excess in air showers: potential options

## Producing muon excess by new physics?

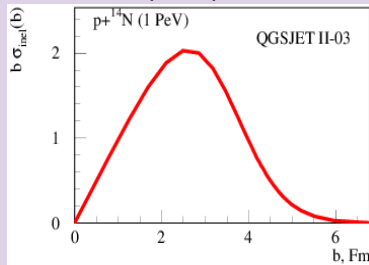
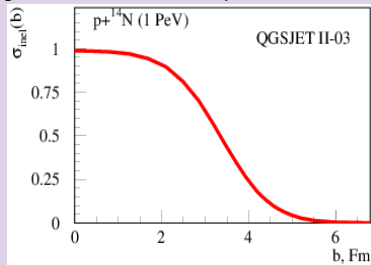
- proton-air cross section at ultrahigh energies:  $\sigma_{p-\text{air}}^{\text{inel}} \sim 1/2 \text{ b}$
- to be detected by air shower techniques:  
**new physics should impact the bulk of interactions**
- $\Rightarrow$  to emerge with barn-level cross section
  - presently at LHC: nothing at fb level ( $10^{-15} \text{ b}$ )



# Muon excess in air showers: potential options

NB: signals of new physics may be discriminated by PAO

*p*-air: interaction profile & distribution of the impact parameter *b*:

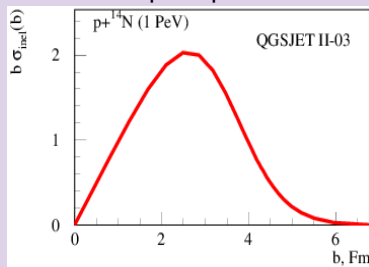
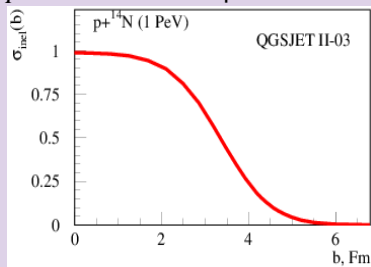


- $\Rightarrow$  interactions dominated by peripheral (large  $b$ ) collisions
- at large  $b$ : low parton density
  - $\Rightarrow$  not suitable for new physics to emerge

# Muon excess in air showers: potential options

NB: signals of new physics may be discriminated by PAO

$p$ -air: interaction profile & distribution of the impact parameter  $b$ :



Assume new physics to emerge in 10% of most central collisions

- and result in EAS with a factor of 10 higher muon density...
  - $\Rightarrow$  90% muon excess ( $\langle \rho_\mu \rangle = 0.1 * 10\rho_\mu^{(0)} + 0.9 * \rho_\mu^{(0)} = 1.9\rho_\mu^{(0)}$ )
- $\Rightarrow$  large fluctuations of muon density:  $\sigma_{\rho_\mu}/\rho_\mu \simeq 100\%$
- $\Rightarrow$  can be easily discriminated in PAO data  
(for usual EAS:  $\sigma_{\rho_\mu}/\rho_\mu \simeq 10 \div 15\%$ )