

# Astroparticle experiment 1

## Charged cosmic rays (CRs) and AMS-02 experiment

- I. Cosmic ray discovery
- II. Cosmic ray puzzle: sources, transport...
- III. CR experiments: overview
- IV. AMS experiment: data analysis
- V. Recent results



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23 July 2017

# Ionic conductivity of gas

Study of atmospheric electricity

- 1785** – Charles Coulomb  
*Charge loss (“electricity dispersion”) occurs mainly through air*
- 1879** – William Crookes  
*Speed of discharge decreases with  $P$ : ionization of air is the direct cause*



Natural radioactivity

- 1895** – Wilhelm Röntgen (Nobel 1901)  
*Discovery of X-rays (or Röntgen rays)*
- 1896** – Henri Becquerel, Marie & Pierre Curie (Nobel 1903)  
*Discovery of spontaneous radioactivity*
- 1897** – Joseph John Thomson (Nobel 1906)  
*Discovery of electron*
- 1900** – Henri Becquerel  
 *$\beta$  radioactivity = electrons*
- 1903, 1914** – Ernest Rutherford (Nobel 1908)  
 *$\alpha$  radioactivity = helium*  
 *$\gamma$  radioactivity = similar to X-rays but shorter wavelength*



End of 19<sup>th</sup> century – J.J. Thomson  
*Electric conductivity of gasses increases with X-rays and radiocativity*  
**Theory of ionic conductivity of gasses**

# Nature of the source of ions

## Start of 20<sup>th</sup> century

- Radiation constantly ionizing the air
- Discharge of an electroscope explained by an insignificant number of ions in air  
→ **What is the nature of the unknown source of ions?**

### 1900 – J. Elster and H. Geitel

Data: conductivity of air strongly fluctuates (P, land vs sea, h)  
→ source = radioactivity from Earth's crust + accumulation in atmosphere



### 1901 – C.T.R. Wilson (invented later the cloud chamber, Nobel 1927)

Data: same speed of leakage for +/- charges, proportional to P

→ “future [...] will show that formation of ions in air [...] *is caused by radiation which arises out of our atmosphere* to similarly X-ray or cathodic rays, but possesses considerably bigger penetrating ability”

N.B.: Curie (1898,1899): “it is necessary to imagine that all space is crossed by the beams similar to beams of the X-ray, but considerably more penetrating”



... but then changed his mind

Data: speed of ionization in a tunnel, no reduction w.r.t. usual conditions

→ “It is improbable therefore that ionization is caused by radiation passing through our atmosphere. Most likely, as has concluded Geitel, this is property of air”

Electroscope designs,  
speed of leakage

# Proof of an extraterrestrial radiation

## • A decade of unrewarded efforts...

1902-1909 – Improvements of apparatus, data at ground, sea, mountain level... w/o shielding  
Review of Kurtz (1909)

- $\gamma$ -radiation from the earth's crust;
- ~~radiation coming from the atmosphere;~~
- ~~radiation from space.~~

Resolutely rejected  
as improbable!

## • Ionisation constant with altitude (whereas decrease expected)

- 1909-11 – A. Gockel: 3 balloon flights @ 4500 m (unpressurised detector)
- 1909-10 – T. Wulf: electroscope + measurements at Eiffel tower
- 1909-12 – D. Pacini: underwater (require non-terrestrial radiation)

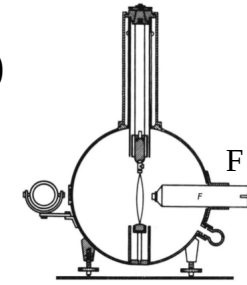


Fig. 6. Electroscope of Wulf (1909).

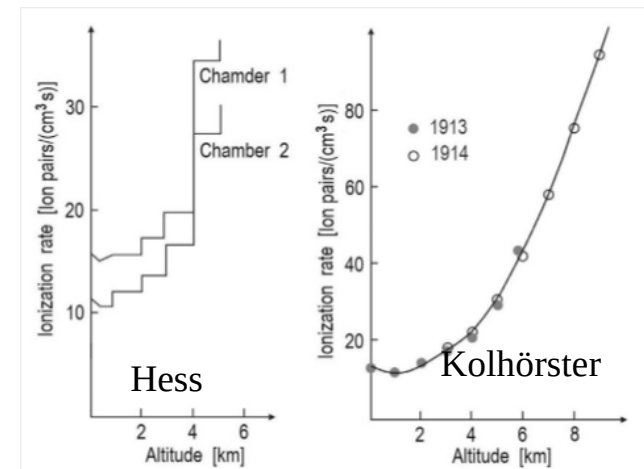
Electroscope: speed of discharge related to distance change between the wires (microscope F)

## • Proof of existence: V. Hess (1911-1912) → “*ultra-gamma radiation*”

1911: First measure of  $\gamma$ -ray attenuation in air, predict absorption for  $d \geq 500$  m  
→ “*there should be other source of a penetrating radiation in addition to  $\gamma$ -radiation from radioactive substances in earth crust*”

1912: flights at  $\neq$  times,  $\neq$  atmospheric conditions (wind, pressure, T)  
[3 Wulf electroscopes: (non-)hermetic, w/o shield (sensitive to  $\gamma$ -rays)]  
→ “*can be explained by the assumption that radiation of the big penetrating ability is coming into our atmosphere from above and even its bottom layers*”

## ... and confirmation by Kolhörster (1913-1914)



# Characterization of the radiation

- **First World War... delayed interest until 1921 (USA), 1923 (Germany)**

- **Another period of doubt... [Millikan = Nobel 1923]**

1922 – Millikan & Bowen: unmanned balloons (15 500 m reached)  
→ High altitude radiation (10 km), but 4x smaller than expected

1923 – Millikan: absorption factor of high-altitude radiation in lead  
→ *“The radiation for the most part nevertheless has a local origin”*

1926 – Millikan & Cameron

→ *“These rays do not occur from our atmosphere and consequently can be rightfully named by ‘cosmic rays’”*

Pushed for alternative explanation

- High altitude radioactive pollution
- Particle acceleration up to high energies during thunderstorms

- **Another heated debate: neutral (Millikan) or charged (Compton) particles?**

1930s

- Latitude surveys (Clay, Compton, Rossi...) + Störmer’s theory (1910-1911)  
→ cosmic rays are charged particles
- West–East CR asymmetry (Johnson, Seidl, Burbury, Fenton)  
→ the largest part of primary CR are positively charged particles

# Human nature, ethics...

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Clay (discoverer of latitude effect in 1927): *“Mr Millikan [...] is violating the truth, as he does, for his own profit, without any scruples”*

Alvarez (Nobel 1968, PhD student of Compton) on Millikan: *“First of all, I do not believe latitude effect, but if you really have this effect, then I first discovered it”*

*CR Romancing: The Discovery of the Latitude Effect and the Compton-Millikan Controversy*  
Historical Studies in the Physical and Biological Sciences 19, No. 2 (1989) 211-266  
M. De Maria and A. Russo

*The Discovery of CRs: Rivalries and Controversies between Europe and the US*  
Historical Studies in the Physical and Biological Sciences 22 (1991) 165-192  
M. De Maria, M. G. Ianniello and A. Russo



# Opening the space age

PHYSICAL REVIEW

VOLUME 73, NUMBER 3

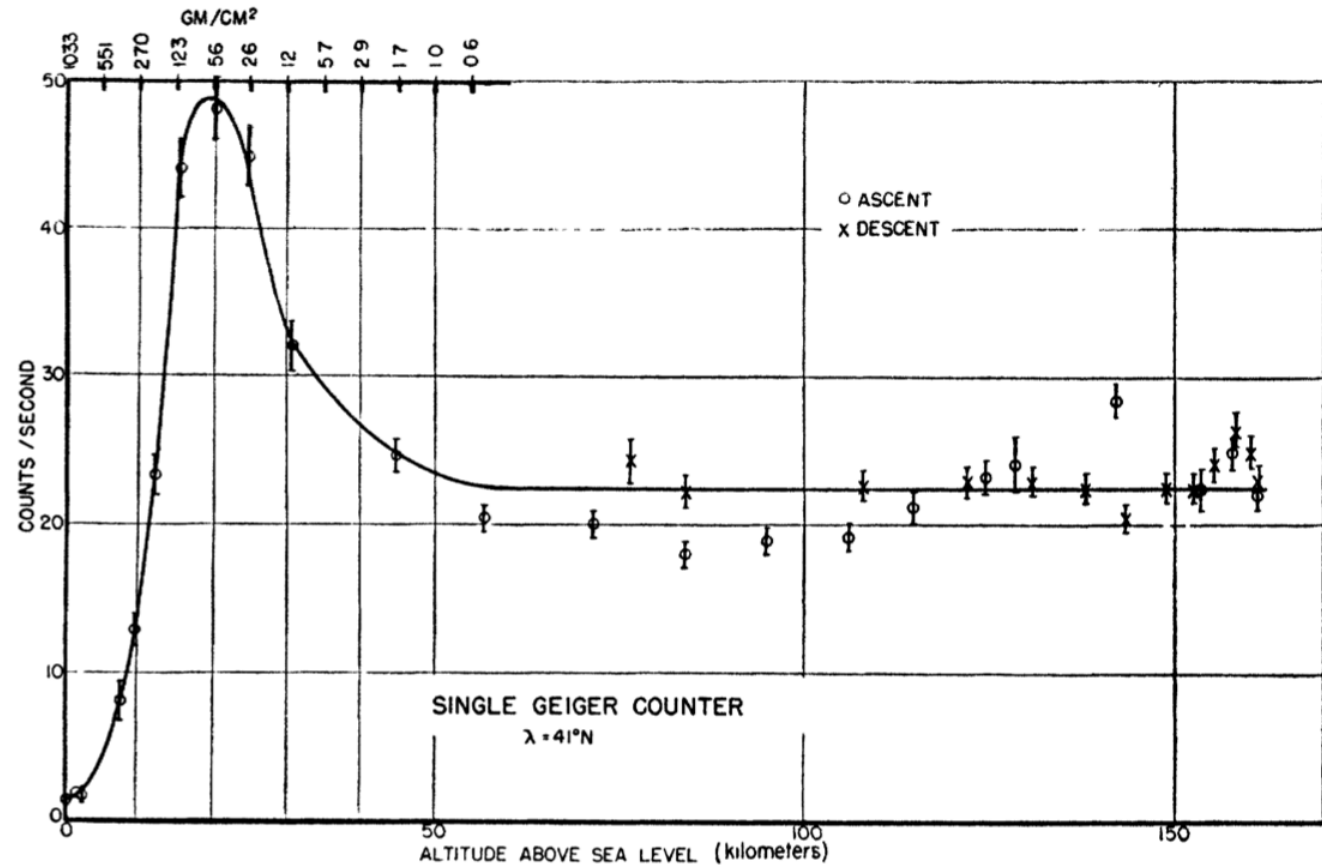
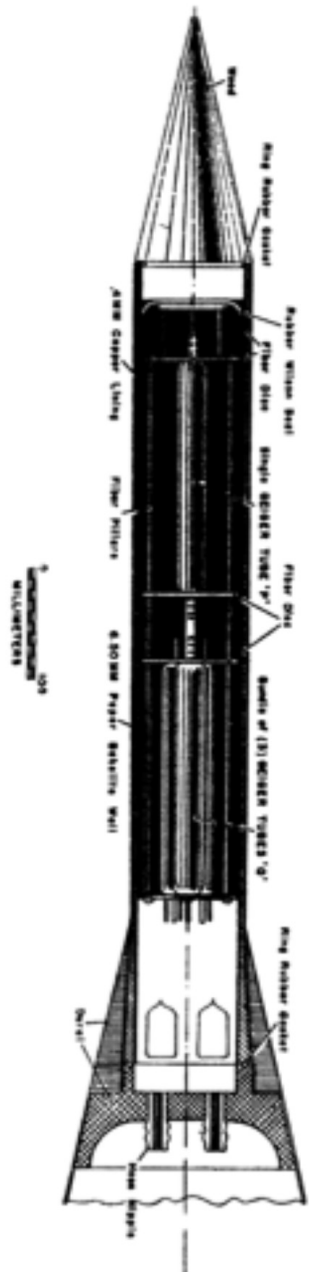
FEBRUARY 1, 1948

## The Cosmic-Ray Counting Rate of a Single Geiger Counter from Ground Level to 161 Kilometers Altitude

J. A. VAN ALLEN AND H. E. TATEL\*

*Applied Physics Laboratory, Johns Hopkins University, Silver Spring, Maryland*

(Received October 16, 1947)

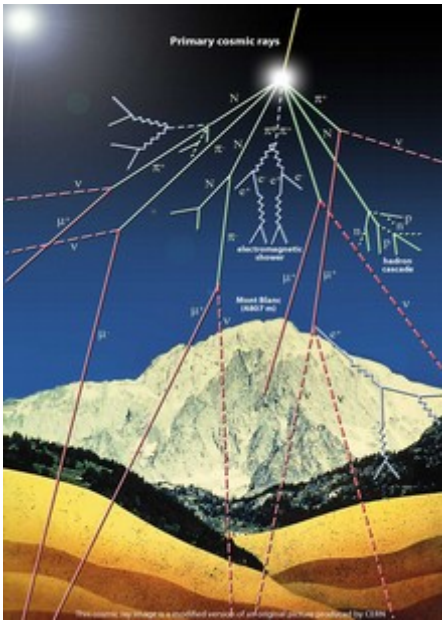


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# Experimental milestones

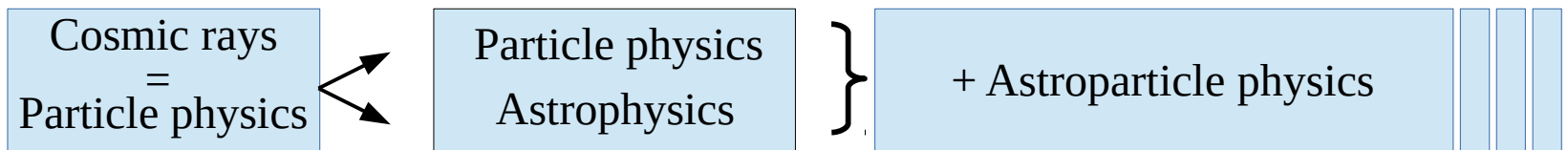
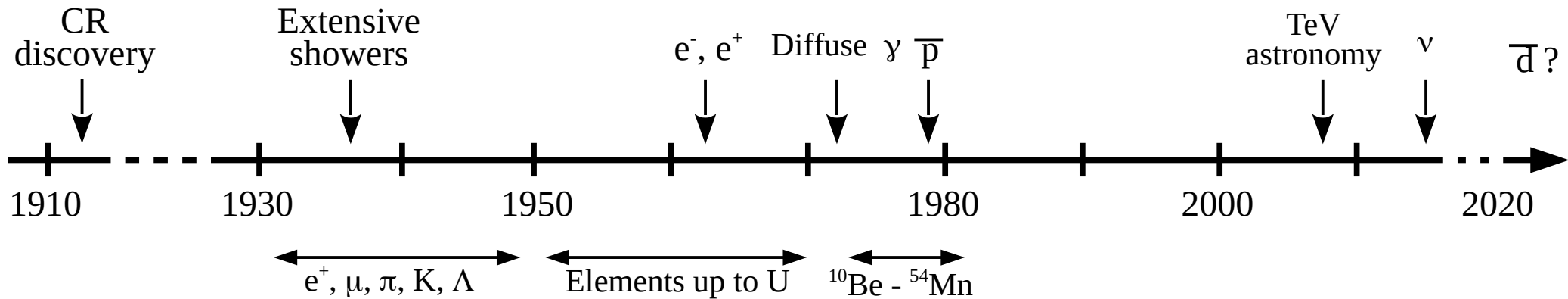
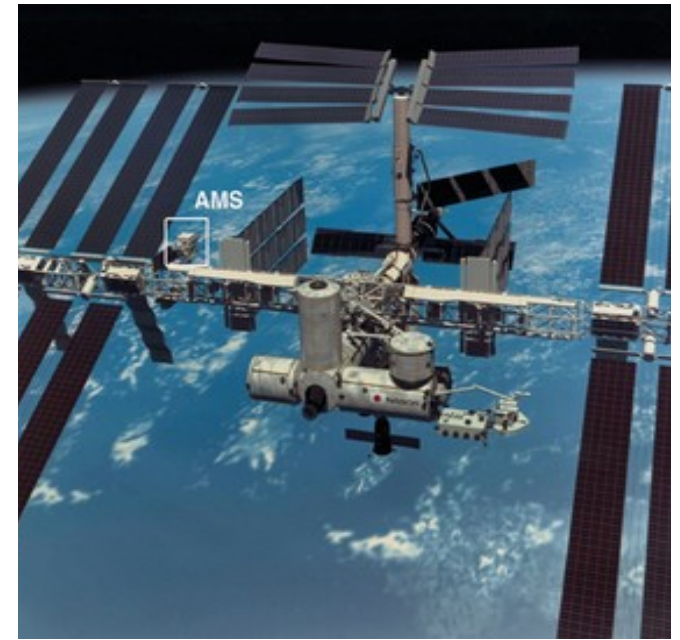
Mountain altitude < 5 km



CREAM balloon ~ 40 km



AMS-02 (on ISS) ~ 300 km



# Charged vs neutral cosmic rays

## Two categories

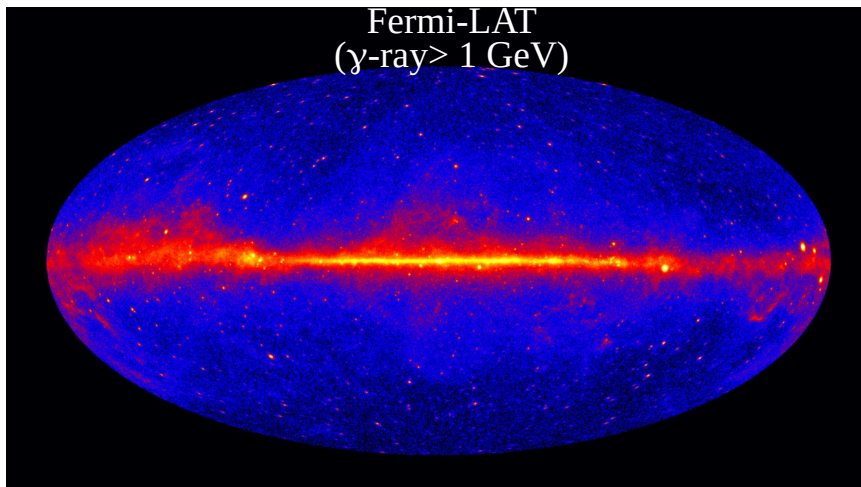
- *Neutral species*
  - ✓ Gamma-rays
  - ✓ Neutrinos

Multi-messenger approaches  
Multi-wavelength observations

- *Charged species*
  - ✓ Leptons
  - ✓ Nuclei

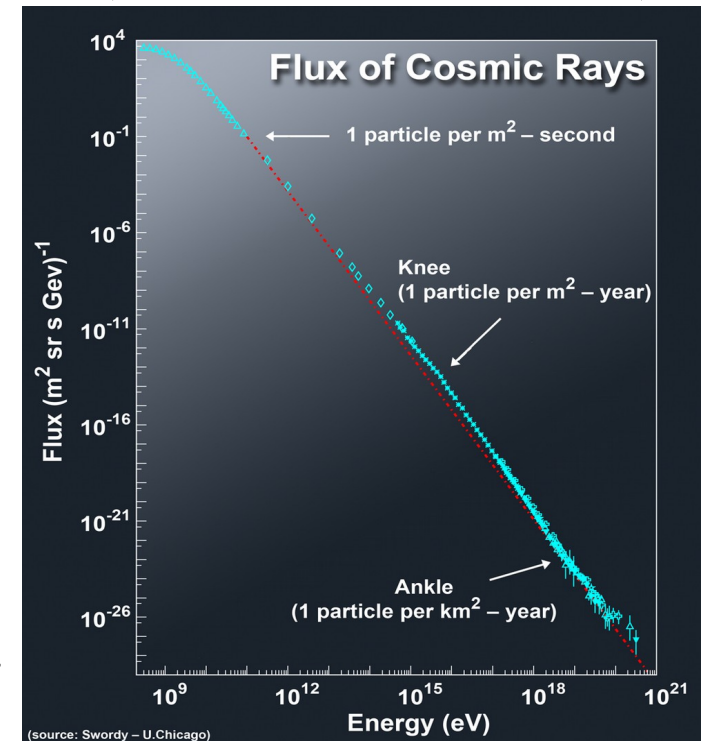
## Observation types

→ *Astronomy*  
point-like, extended, diffuse emissions



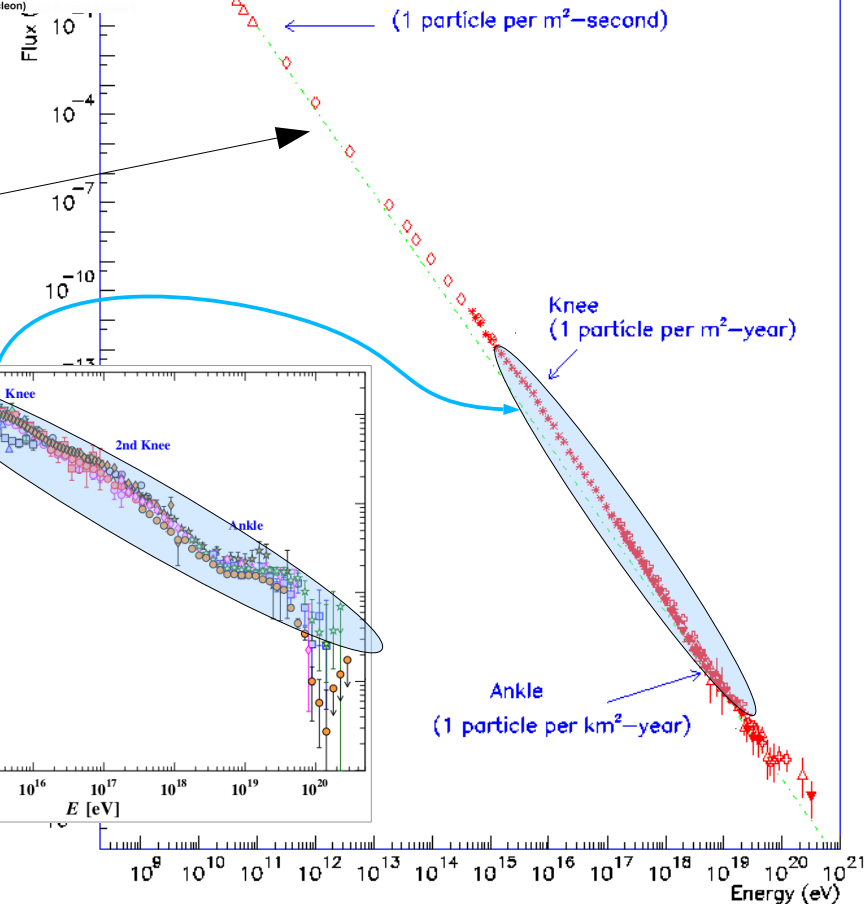
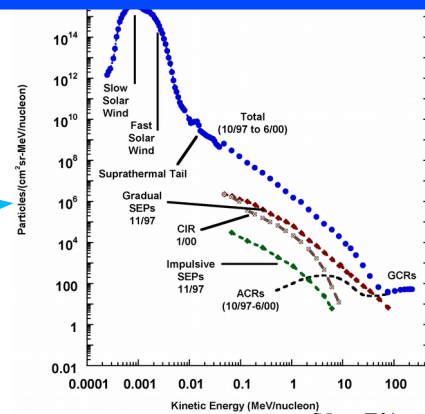
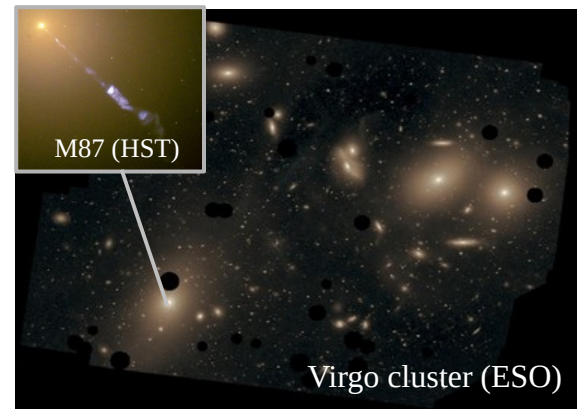
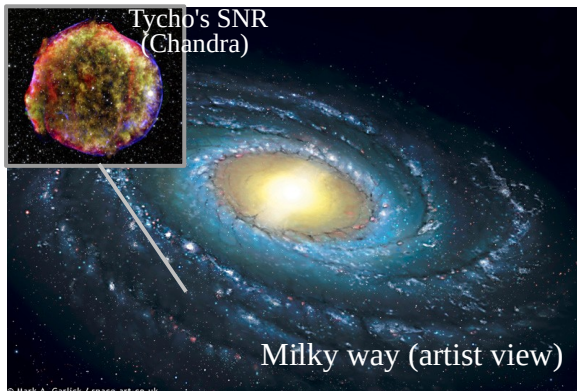
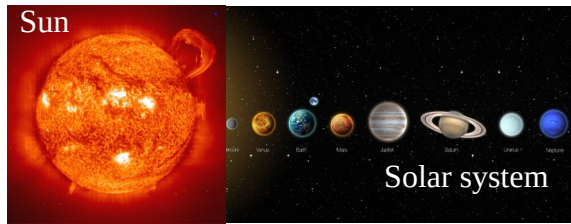
→ *Spectra & anisotropy maps*  
(diffusion/deflection in B)

30 orders of magnitude



12 orders of magnitude II. CR puzzle

# Cosmic ray sources?

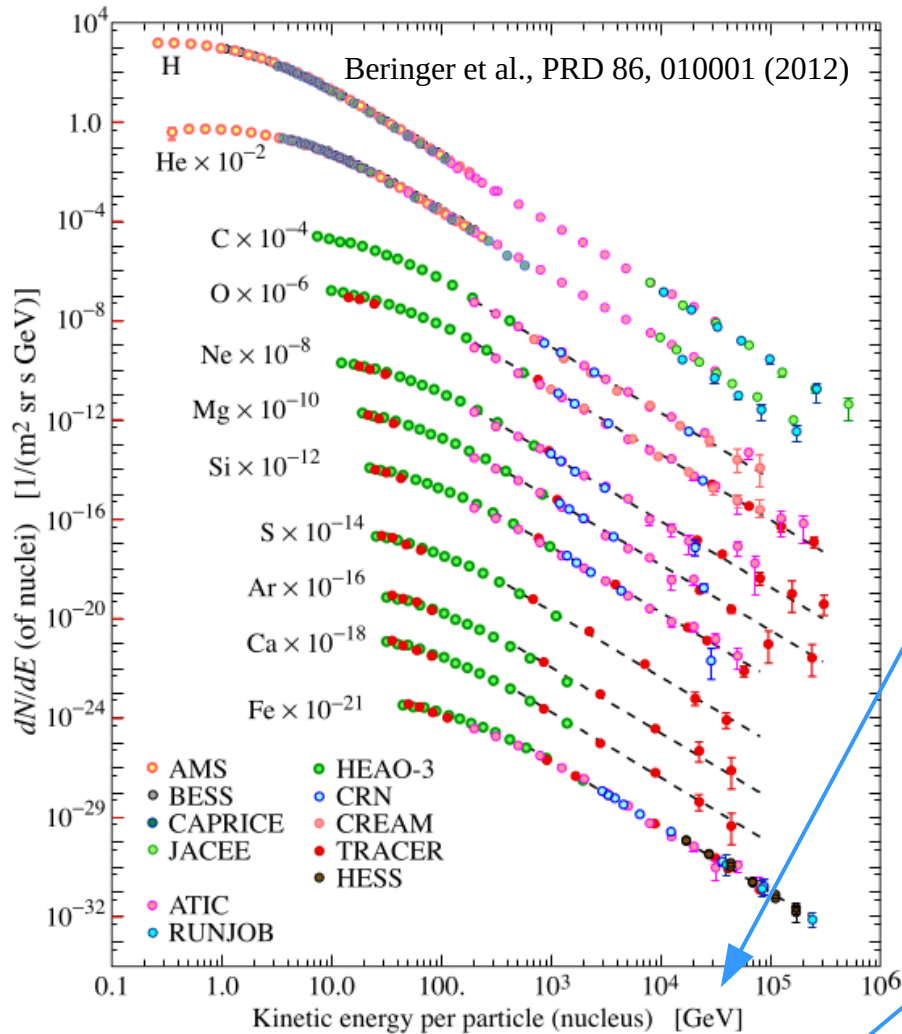


**Transition galactic vs extragalactic**

- CR sources and transport?
- Origin of spectral features, composition, anisotropy?

# Galactic CR data ( $E \sim 10^8 - 10^{15}$ eV)

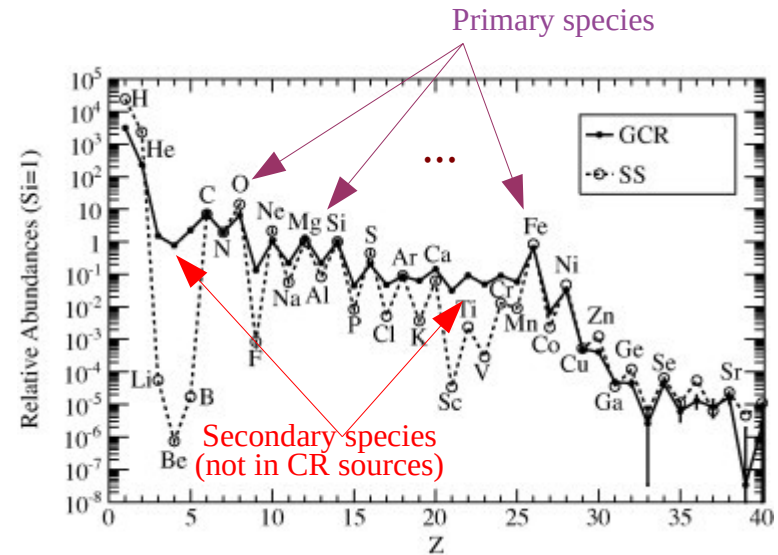
## Elemental spectra



- Origin of 'universal' power law ( $E^{-2.8}$ )?
- Abundances of elements/isotopes?
- CR anisotropy ( $\delta < 10^{-3}$ )

## Energy units

E type	Expression	Unit	Natural for
Rigidity	$R = \frac{pc}{Ze} = \frac{p}{Z} = r_l B$	[GV]	Magnet (AMS)
Total E	$E^2 = p^2 + m^2$	[GeV]	Calorimeter (CREAM)
Ek per nucleon	$E_{k/n} (= T) = \frac{E_k}{A}$	[GeV/n]	Nuclear reaction

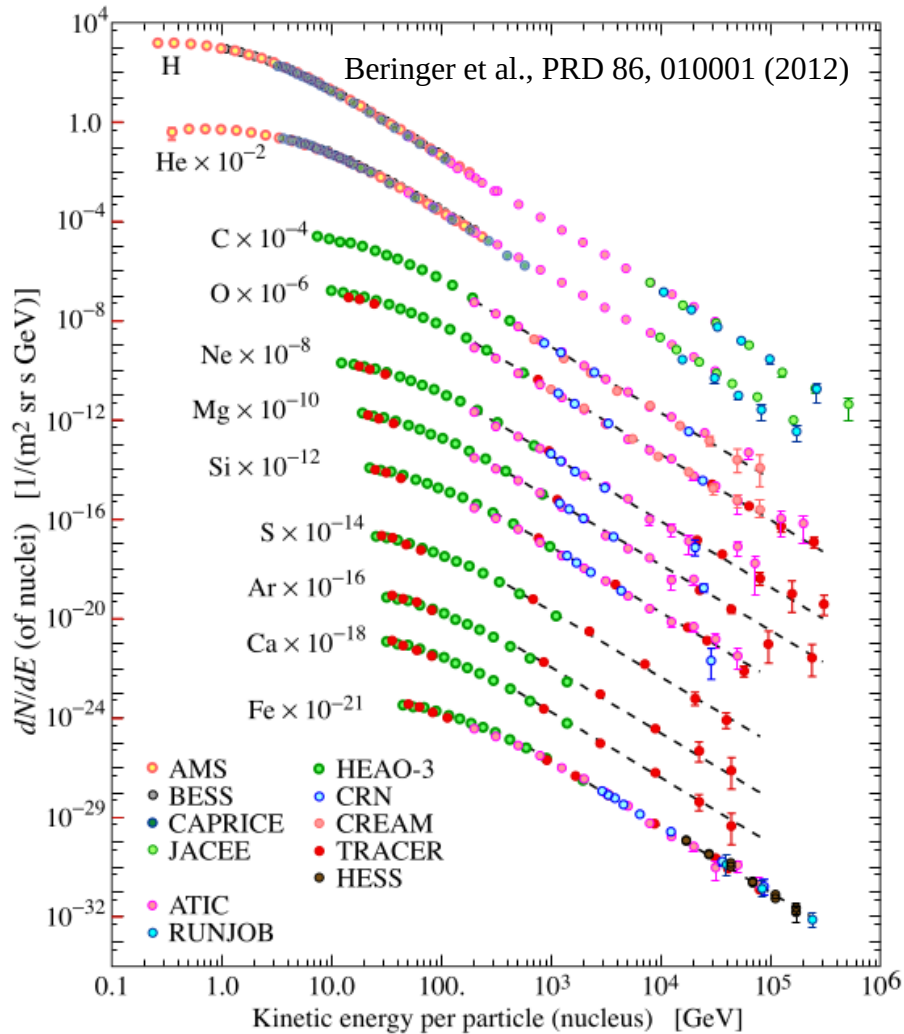


Bauch et al., AdSR 53 (2014)

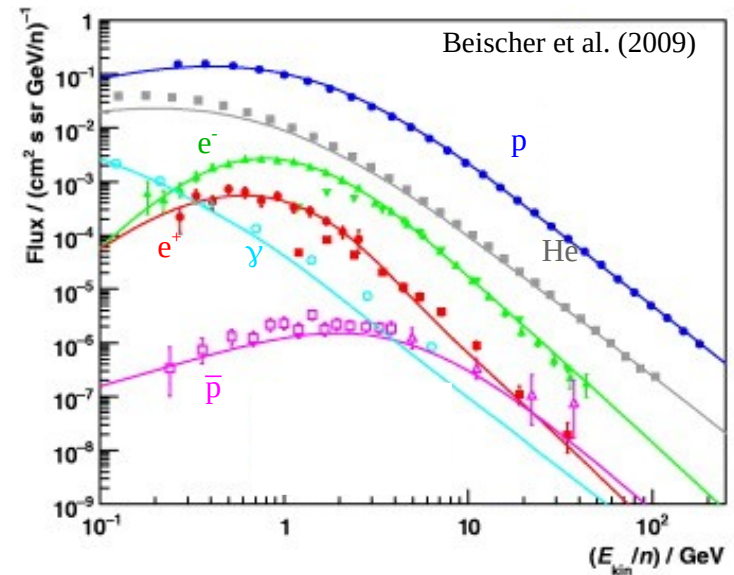
**Antiprotons,  $e^+$ ,  $e^-$ , gamma:  
primary or secondary?**

# Galactic CR data ( $E \sim 10^8 - 10^{15}$ eV)

## Elemental spectra



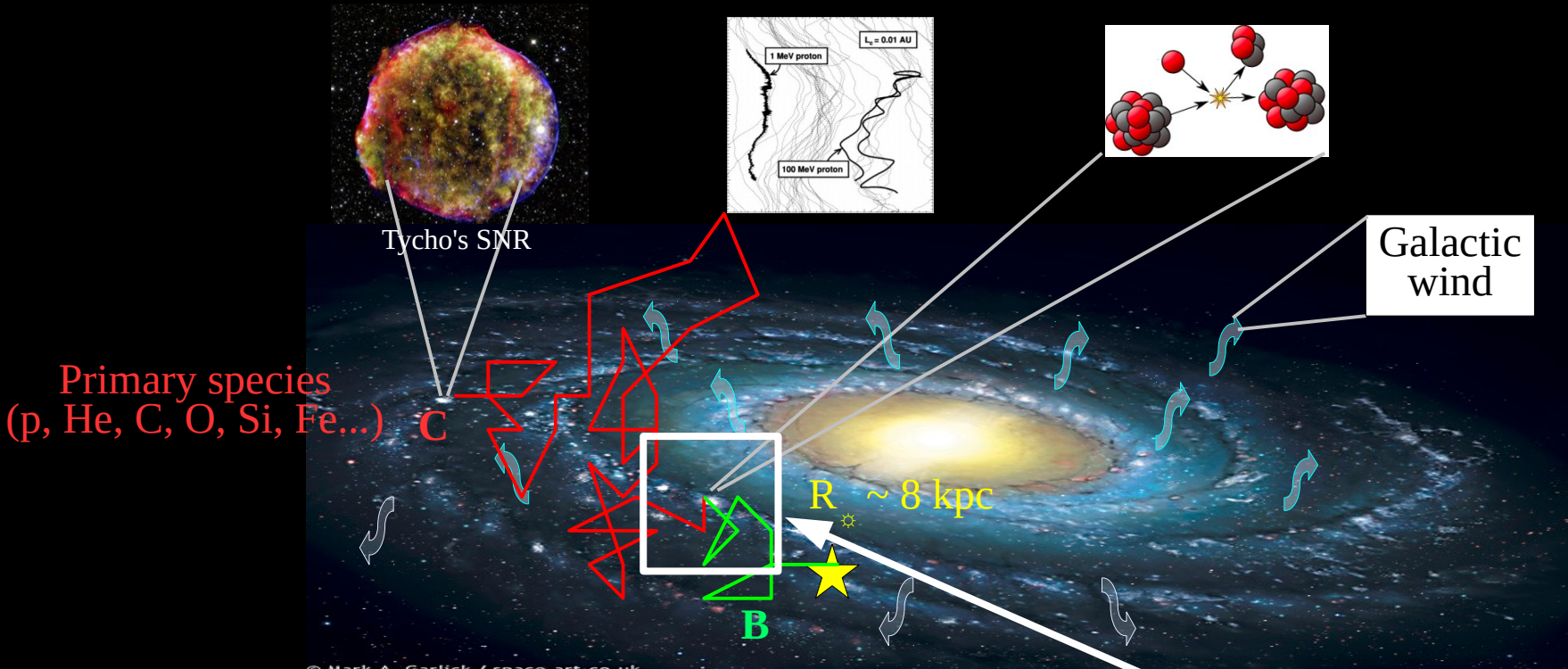
## Protons and He vs diffuse $\gamma$ -rays, $p\bar{p}$ , $e^-$ and $e^+$



N.B.: rare CRs produced by H, He + ISM  
 → How well do we know the astro. production?  
 → Is it a good place to look for dark matter?

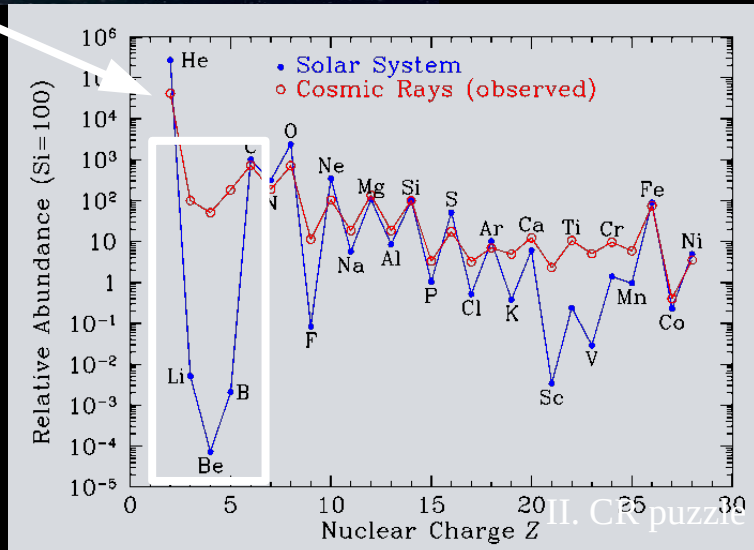
→ Origin of 'universal' power law ( $E^{-2.8}$ )?  
 → Abundances of elements/isotopes?  
 → CR anisotropy ( $\delta < 10^{-3}$ )

# Nuclear interactions and abundances

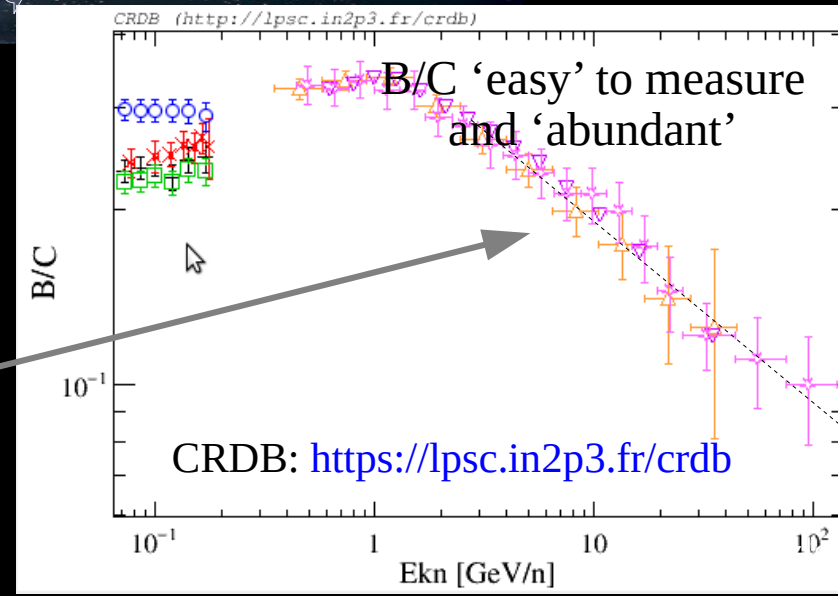
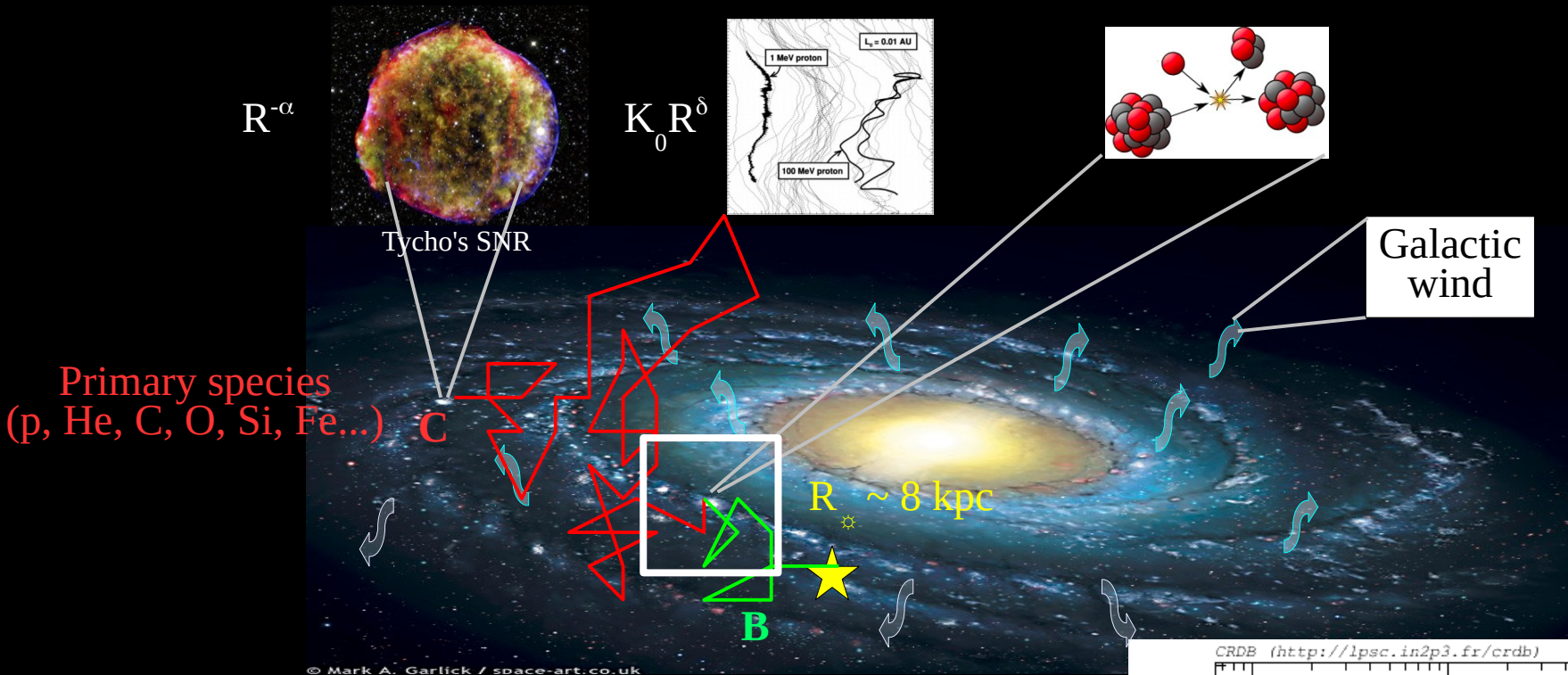


Primary species  
(p, He, C, O, Si, Fe...)

Secondary species  
(<sup>2</sup>H, <sup>3</sup>He, Li-Be-B, sub-Fe)

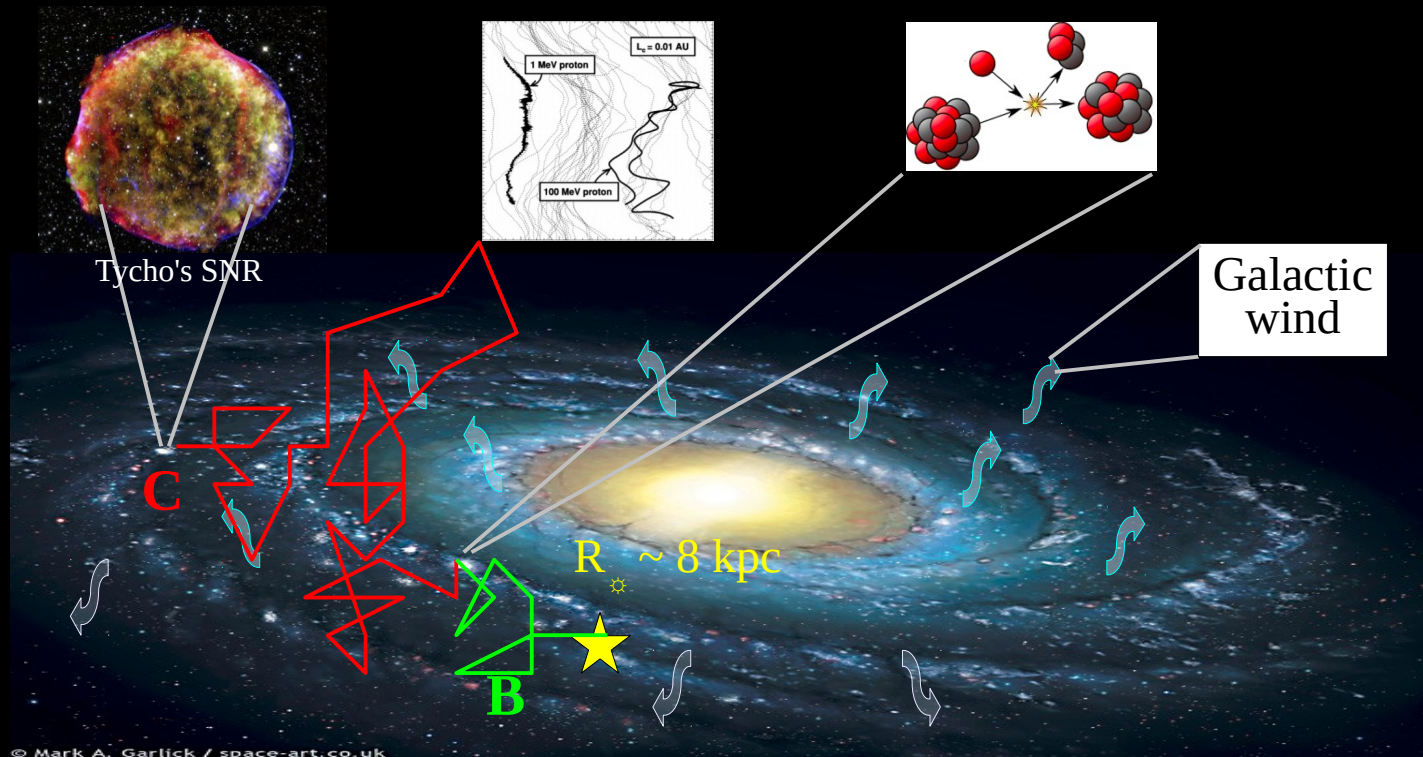


# Diffusion: secondary-to-primary ratio



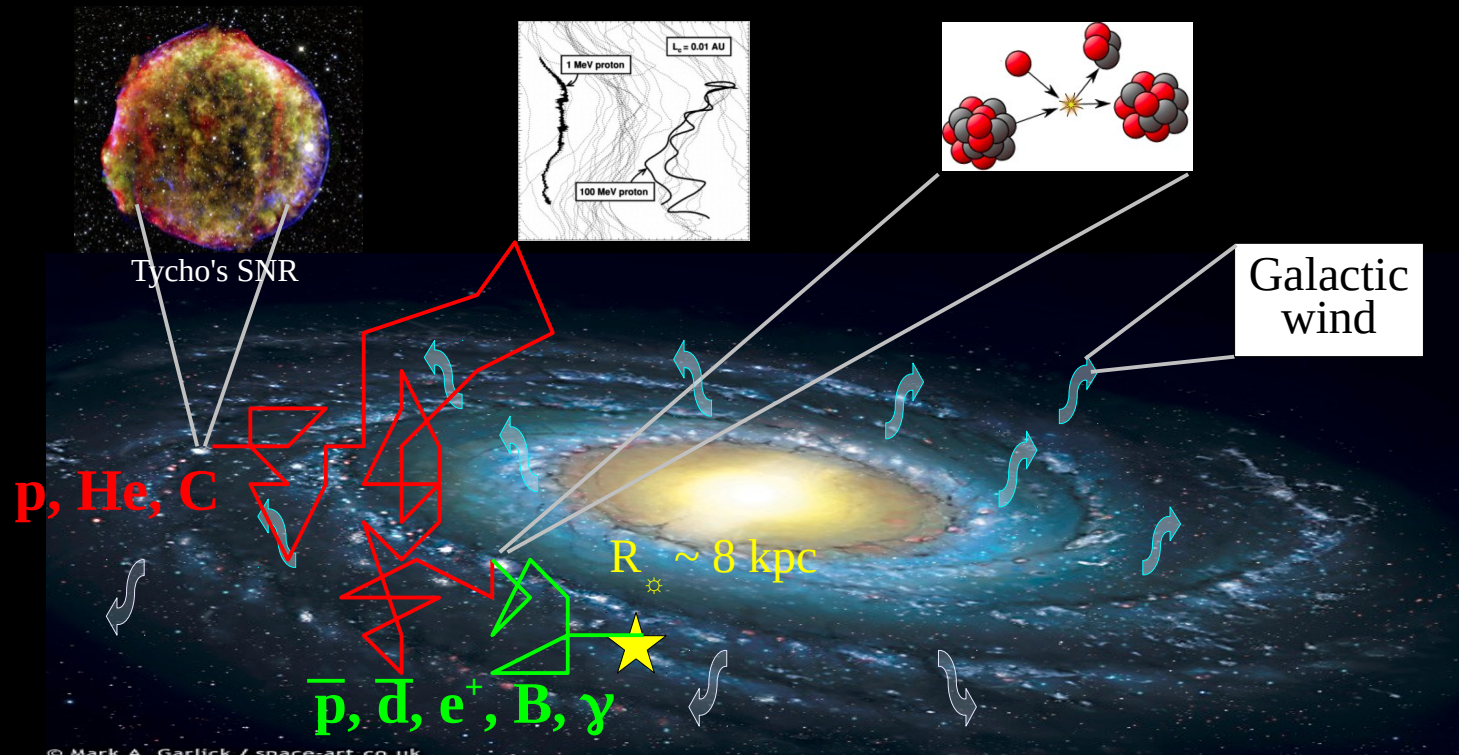
Primary species P: source/diffusion  $\sim R^{-(\alpha+\delta)}$   
 Secondary species S:  $(\sigma^{P \rightarrow S} \cdot P)/\text{diffusion} \sim R^{-(\alpha+2\delta)}$   
 $\rightarrow$  Secondary to primary ratio  $\sim \sigma^{P \rightarrow S} \cdot R^{-\delta}$

# Dark matter search: (i) transport calibrated on B/C



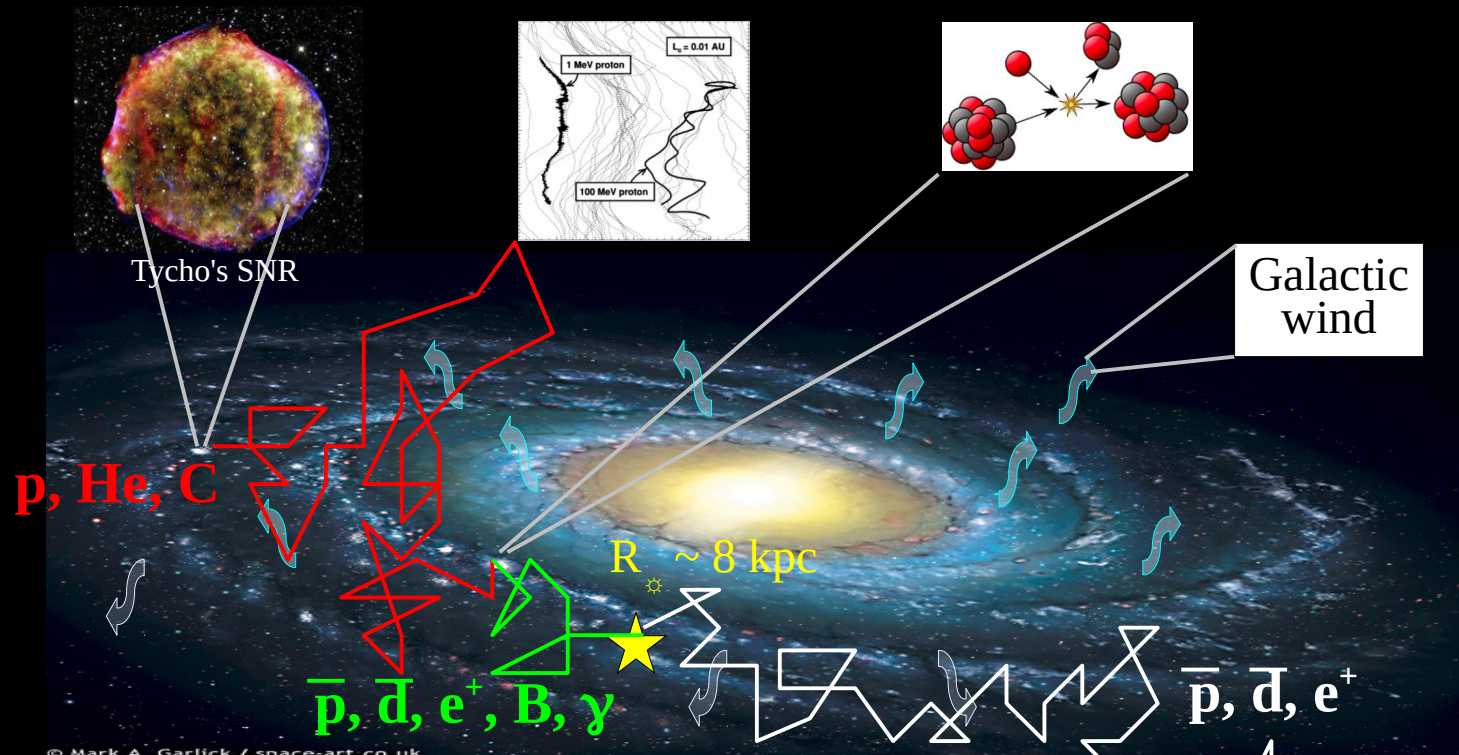


# Dark matter search: (ii) “background” for rare channels



→ Same propagation history for B/C, or  $p\bar{p}/p$   
(apply previously derived parameters)

# Dark matter search: (iii) “signal” for rare channels



→ Same transport but different origin (from DM halo)

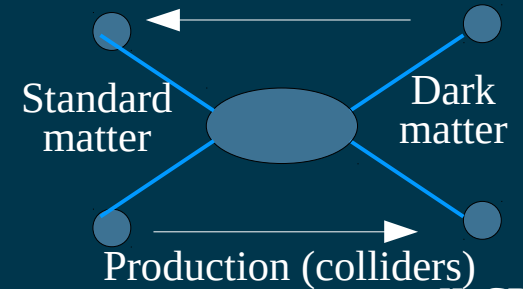
## Universe (after Planck)

- 68.3 % dark energy
- 26.8 % dark matter
- 4.9 % ordinary matter

## Milky-Way dark matter halo

- ~ spherical halo
- radius ~300 kpc

## Indirect detection

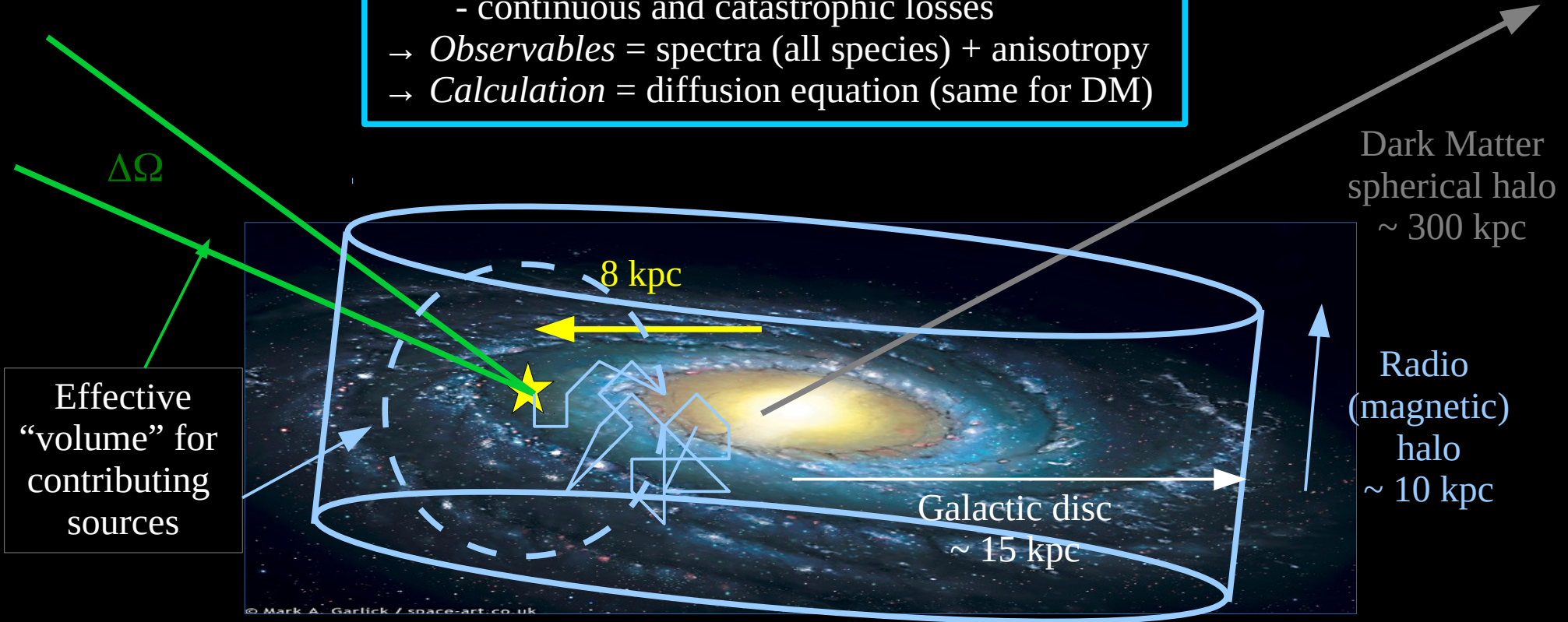


II. CR puzzle

# Indirect DM search: gamma-ray astronomy

## Charge particles

- diffusion in turbulent B
- continuous and catastrophic losses
- *Observables* = spectra (all species) + anisotropy
- *Calculation* = diffusion equation (same for DM)



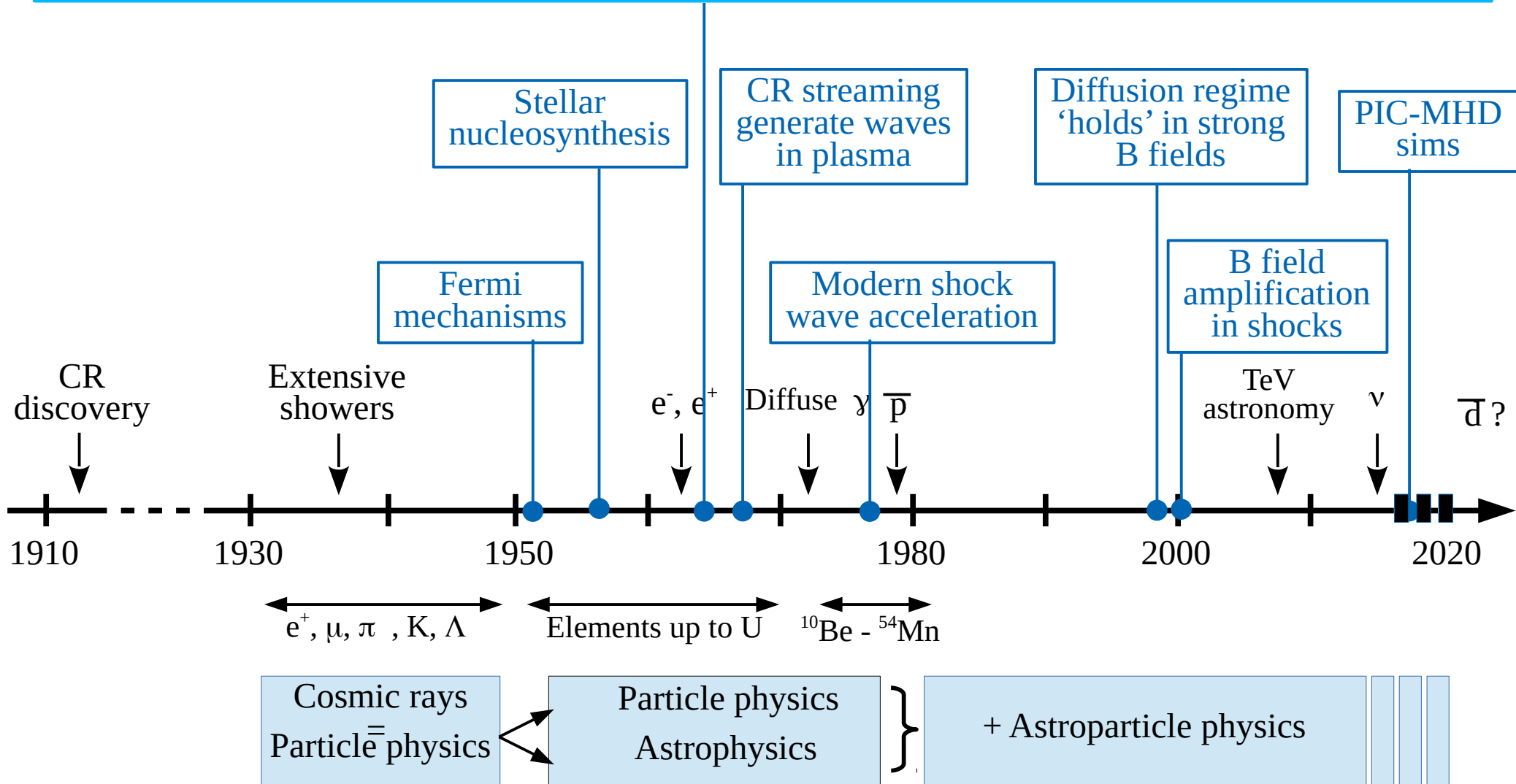
## Neutral particles

- propagate in straight line
- absorption ~ negligible at GeV-TeV in the Galaxy
- *Observables* = skymaps + spectra
- *Calculation* = line-of-sight integration on  $\Delta\Omega$

# Theoretical milestones

Transport parameters:  $K_0$  and  $\delta$  (diffusion normalisation and slope),  $L$  (diffusive halo size),  $V_c$  (convection)

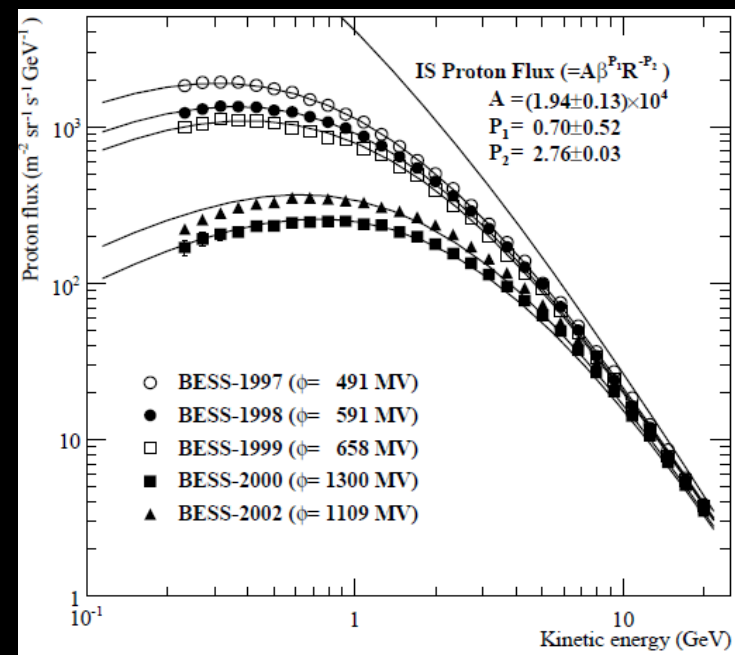
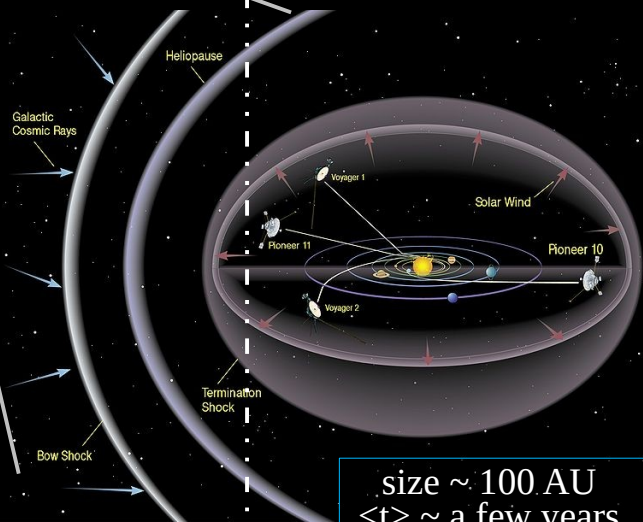
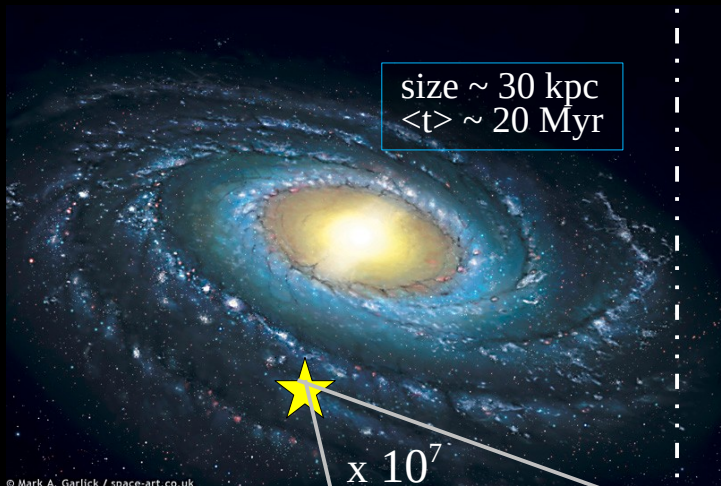
$$\underbrace{\frac{\partial N^j}{\partial t}}_{\text{Variation}} + \underbrace{\left( -\vec{\nabla} \cdot \left( K(E, \vec{r}) \vec{\nabla} \right) + \vec{\nabla} \cdot \vec{V}(\vec{r}) \right) N^j}_{\text{Transport (diff+conv)}} + \underbrace{\left( \Gamma_{\text{rad}} + \Gamma_{\text{inel}} \right) N^j}_{\text{catastrophic losses}} + \underbrace{\frac{\partial}{\partial E} \left( b^j N^j - c^j \frac{\partial N^j}{\partial E} \right)}_{\text{E gain/losses}} = \underbrace{Q^j(E, \vec{r}) + \sum_{m_i > m_j} \Gamma^{i \rightarrow j} N^i}_{\text{Sources (prim+sec)}}$$



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# Last steps before detection... Solar modulation

## 1. Transport in the Galaxy → Interstellar (IS) spectra



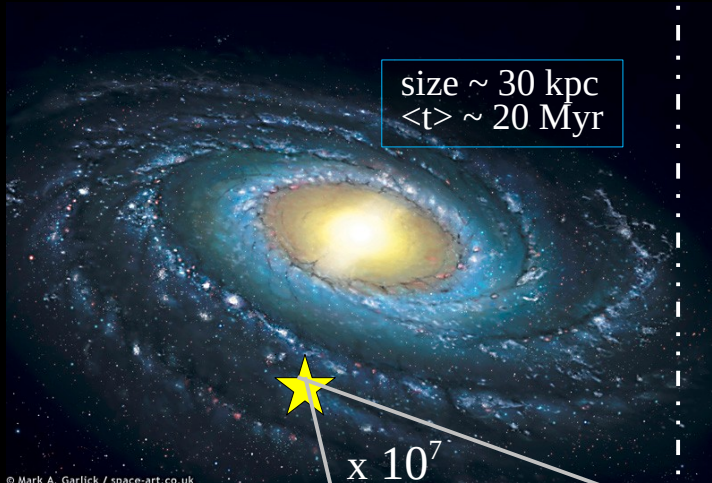
## 2. Transport in the Solar cavity → modulate CRs ( $< 10$ GeV/n)

[time-independent]

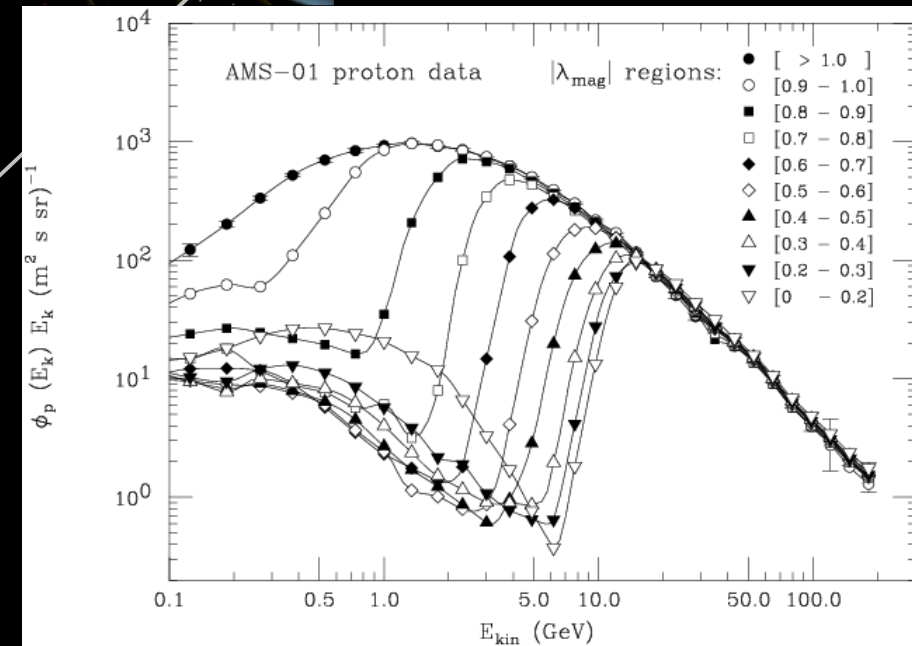
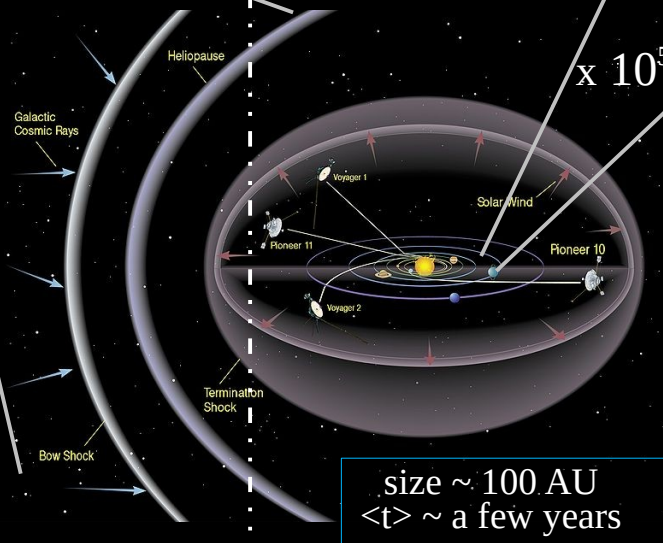
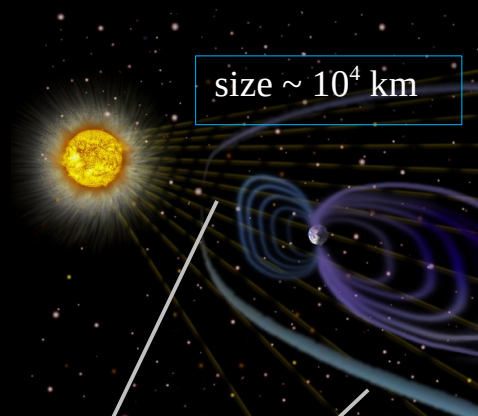
[time-dependent]

# Last steps before detection... R cutoff

1. Transport in the Galaxy  
→ Interstellar (IS) spectra



3. Earth magnetic shield  
→ Cut-off rigidity  $R_c$  (at Earth)



2. Transport in the Solar cavity  
→ modulate CRs ( $< 10$  GeV/n)

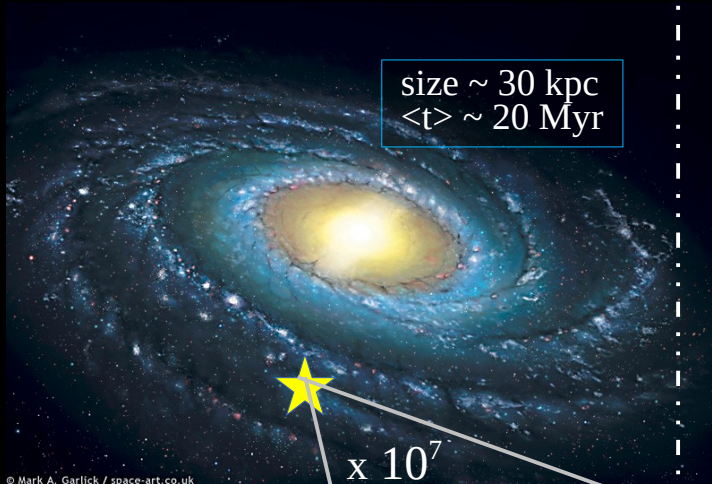
[time-independent]

[time-dependent]

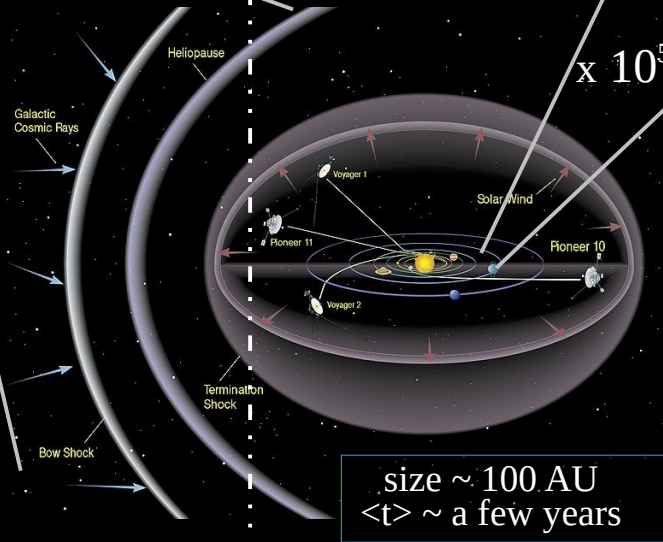
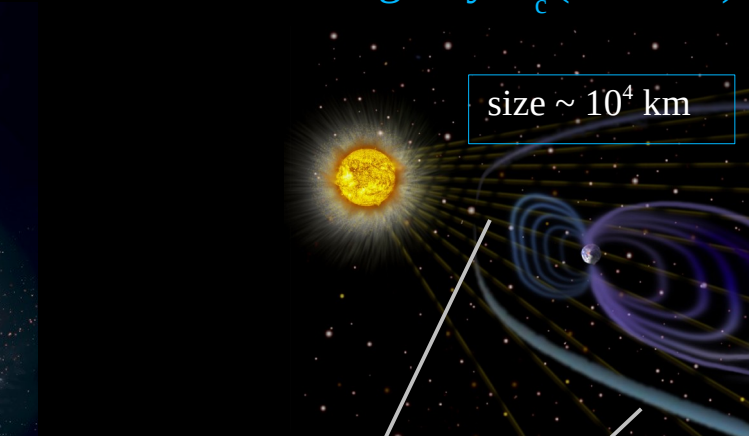
III. Detection

# Last steps before detection... atmosphere

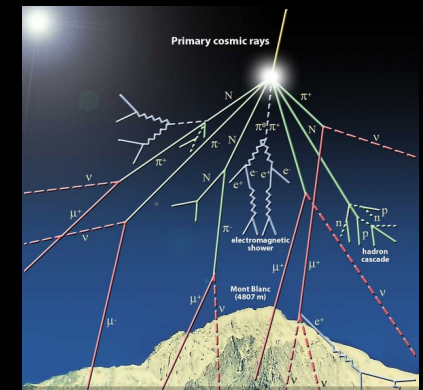
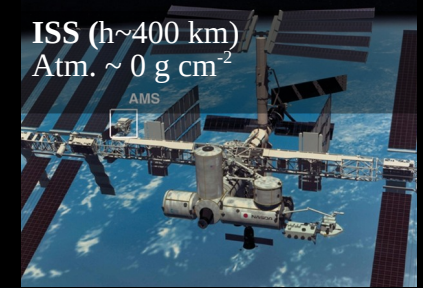
1. Transport in the Galaxy  
→ Interstellar (IS) spectra



3. Earth magnetic shield  
→ Cut-off rigidity  $R_c$  (at Earth)



2. Transport in the Solar cavity  
→ modulate CRs (< 10 GeV/n)



4. Atmosphere  
→ CR showers



# Detection: direct vs indirect

## “Direct” CR detection ( $< 10^{15}$ eV $\sim$ PeV)

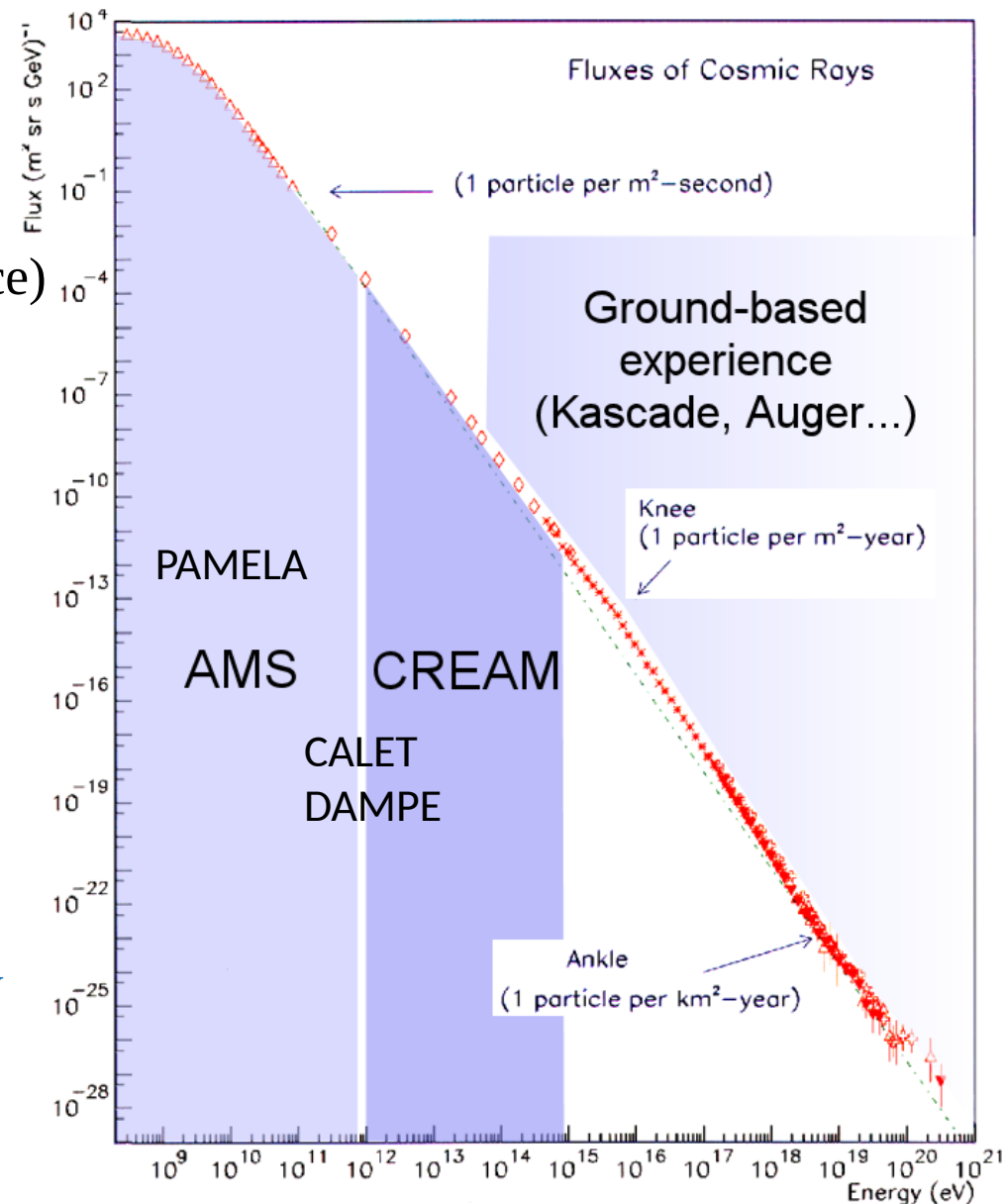
- Detectors “above” atmosphere (balloon or space)
- “Particle physics”-like detectors

→ Identification of CR nature and energy

## “Indirect” CR detection ( $> 10^{15}$ eV)

- Ground-based detectors
- Use atmosphere as “calorimeter”
- Measure shower properties

→ Reconstruct CR most likely nature and energy



# Major GCR experiments

Balloon-borne experiments

Magnetic Spectrometer  
« Calorimeter »

Experiments in space

MASS (1989-1991)

IMAX (1992)

CAPRICE (1994-1998)

HEAT (1994-1995)

BESS (1994-2000)

ATIC (2000-2007)

TRACER (2006)

CREAM (2004-2010)

Voyager (1976-...)

HEAO3 (1979-1981)

AMS01 (1998)

FERMI (2008-...)

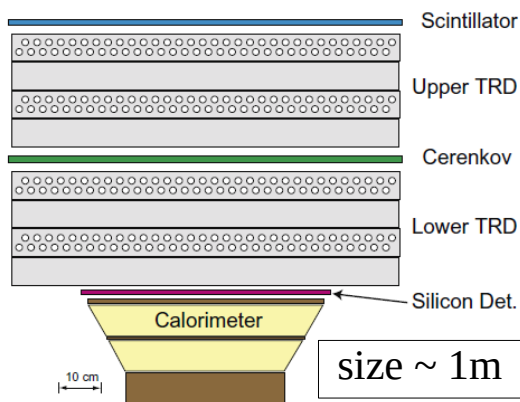
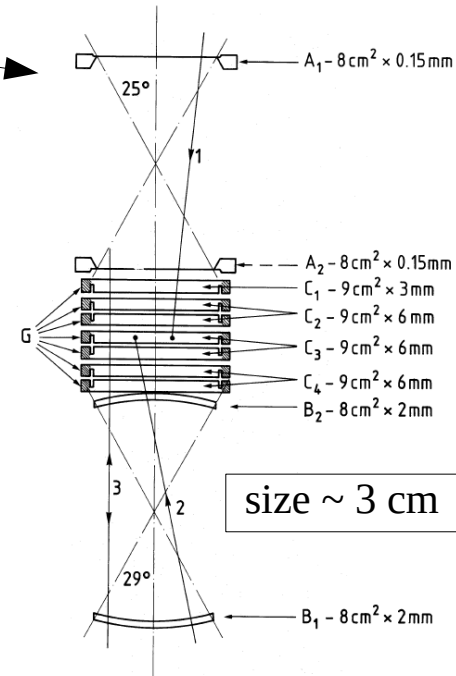
PAMELA (2006-2016)

AMS02 (2011-...)

CALET (2015-...)

DAMPE (2015-...)

ISSCREAM (2017-...)



HELIX (2018?)

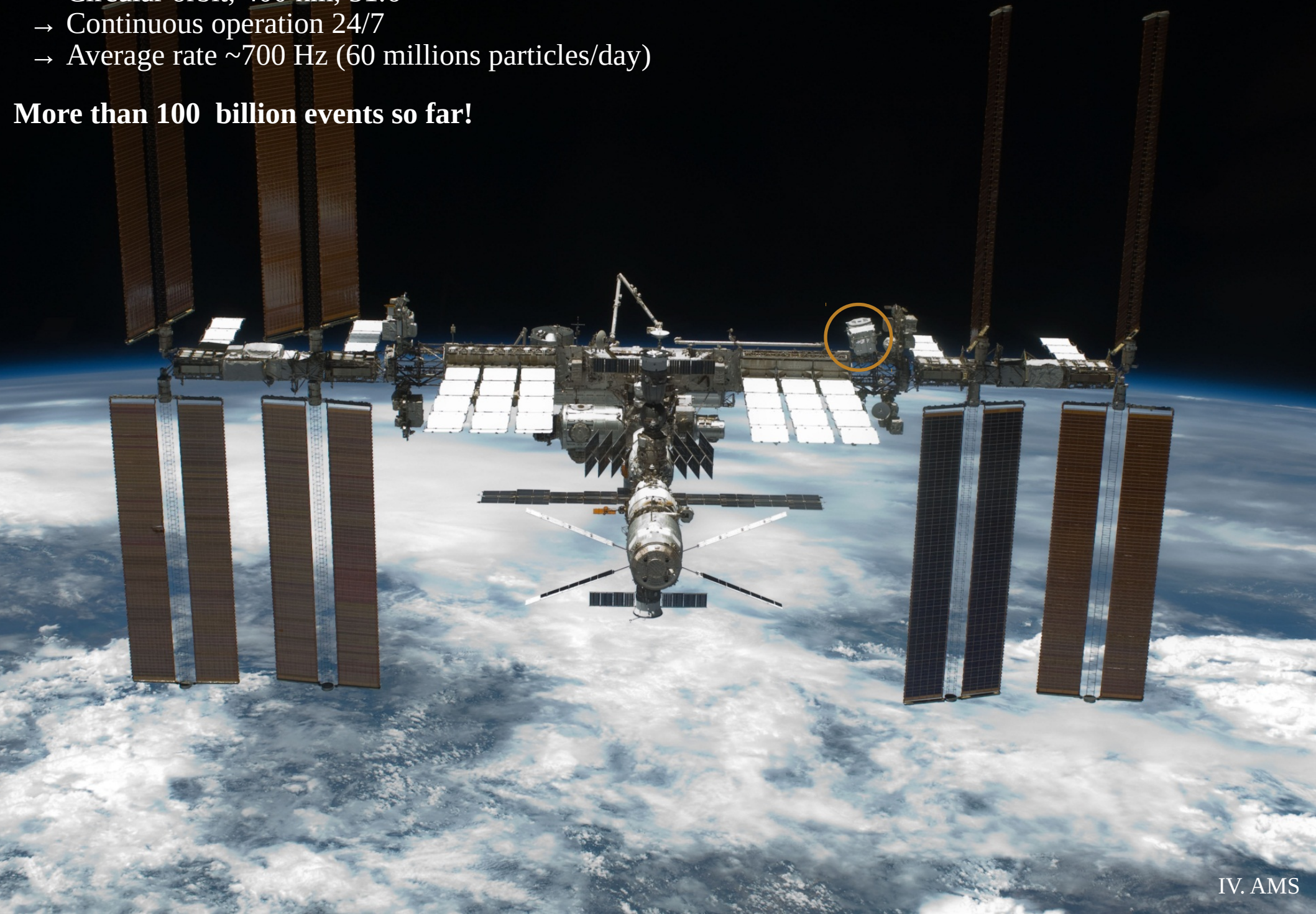
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→ slides adapted from L. Derome (LPSC)

## Installed on ISS in May 2011

- Circular orbit, 400 km, 51.6°
- Continuous operation 24/7
- Average rate  $\sim 700$  Hz (60 millions particles/day)

**More than 100 billion events so far!**



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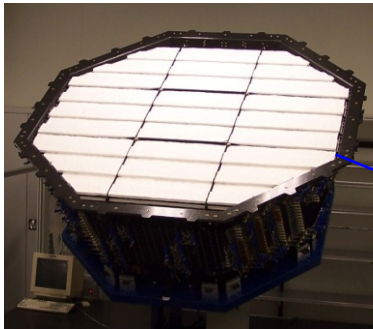
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**More than 100 billion events so far!**



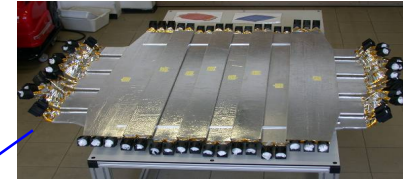
# A(lpha) M(agnetic) S(pectrometer)

**TRD**  
Identify  $e^+$ ,  $e^-$

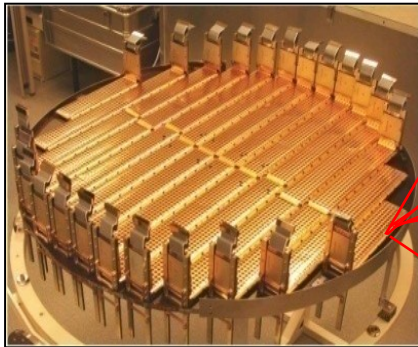


A TeV precision, multipurpose spectrometer in space.

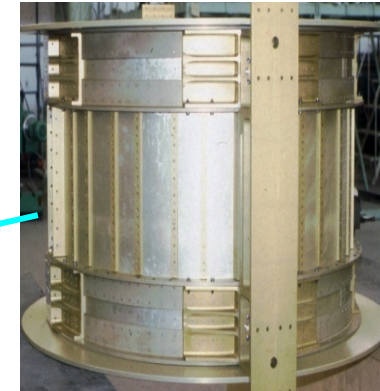
**TOF**  
 $Z, \beta$



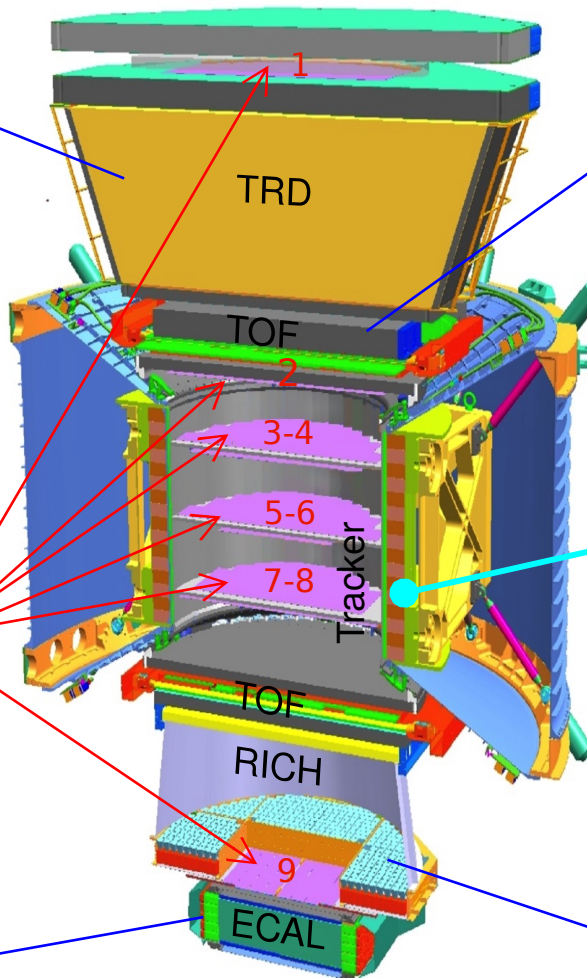
**Silicon Tracker**  
 $Z, p$



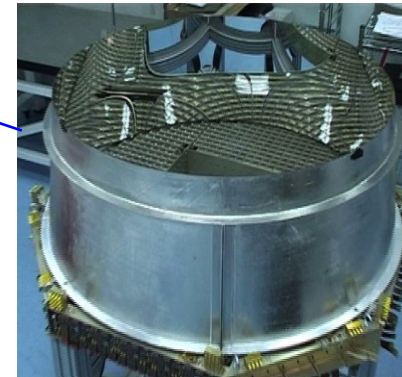
**Magnet**  
 $R, \pm Z$



**ECAL**  
Identify  $e^+, e^-$   
E of  $e^+, e^-, \gamma$

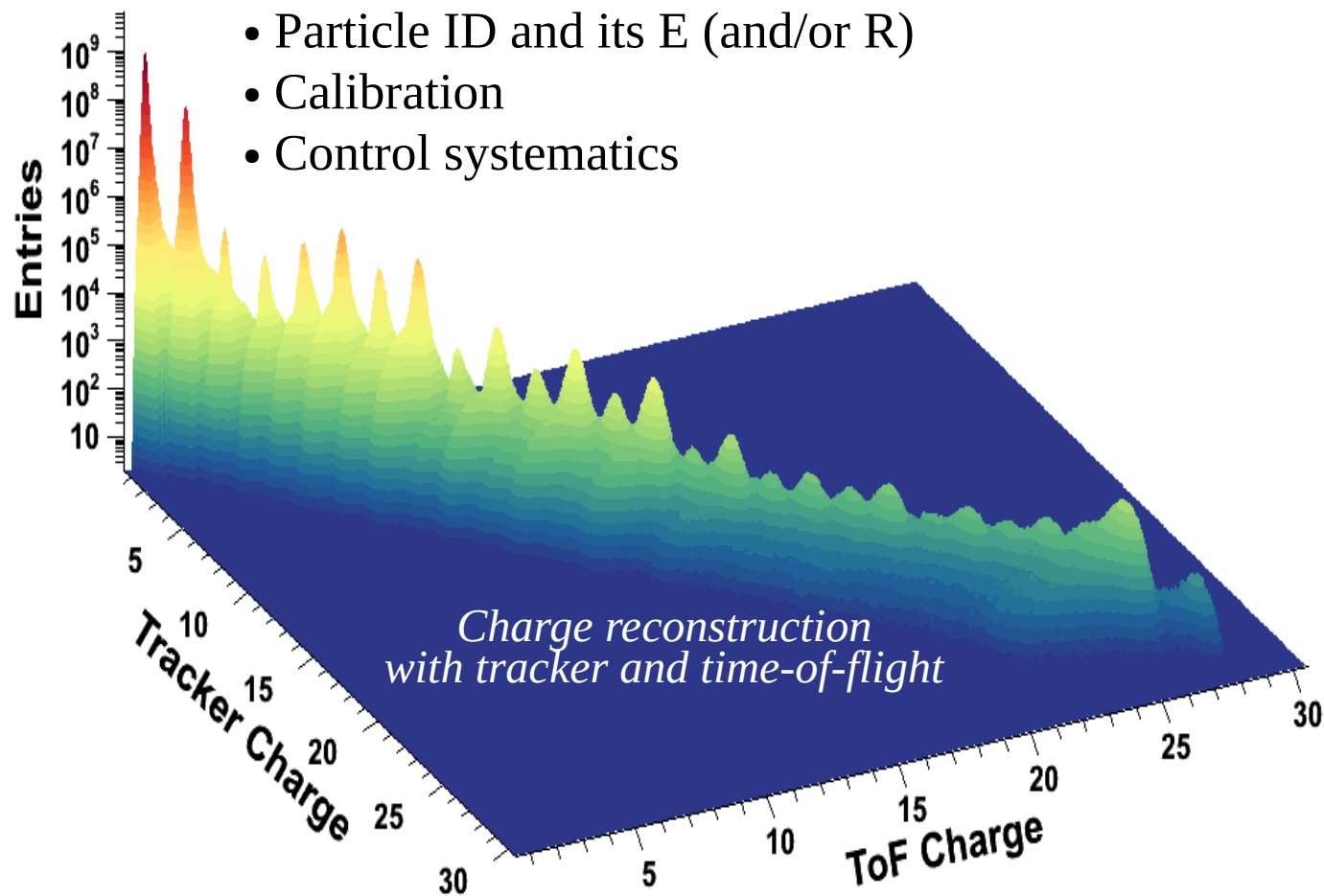


**RICH**  
 $Z, \beta$



5m x 4m x 3m  
7.5 tons

## Sub-detector redundancy



**Each analysis specific** (flux/ratio, leptons/nuclei)

- ID and E (or R) measurement
- Background from other particles
- Background from interaction in detector

**+ rely on**

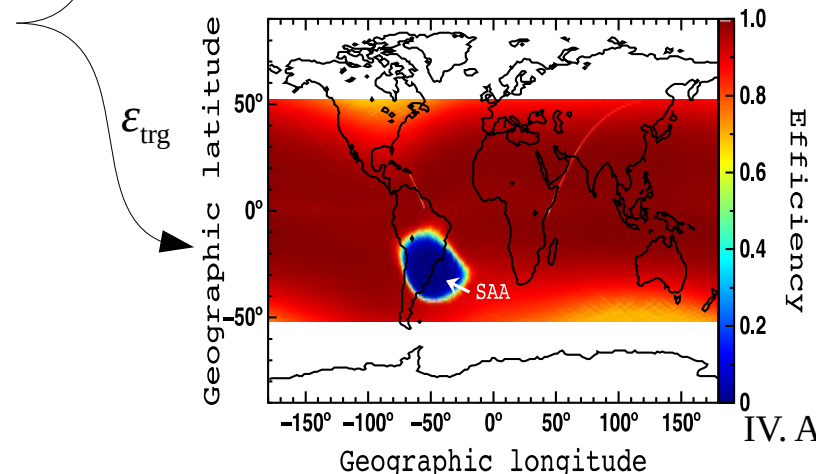
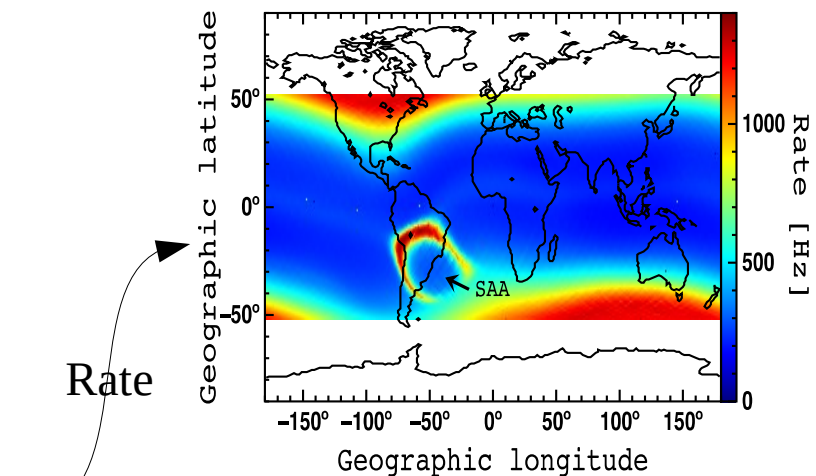
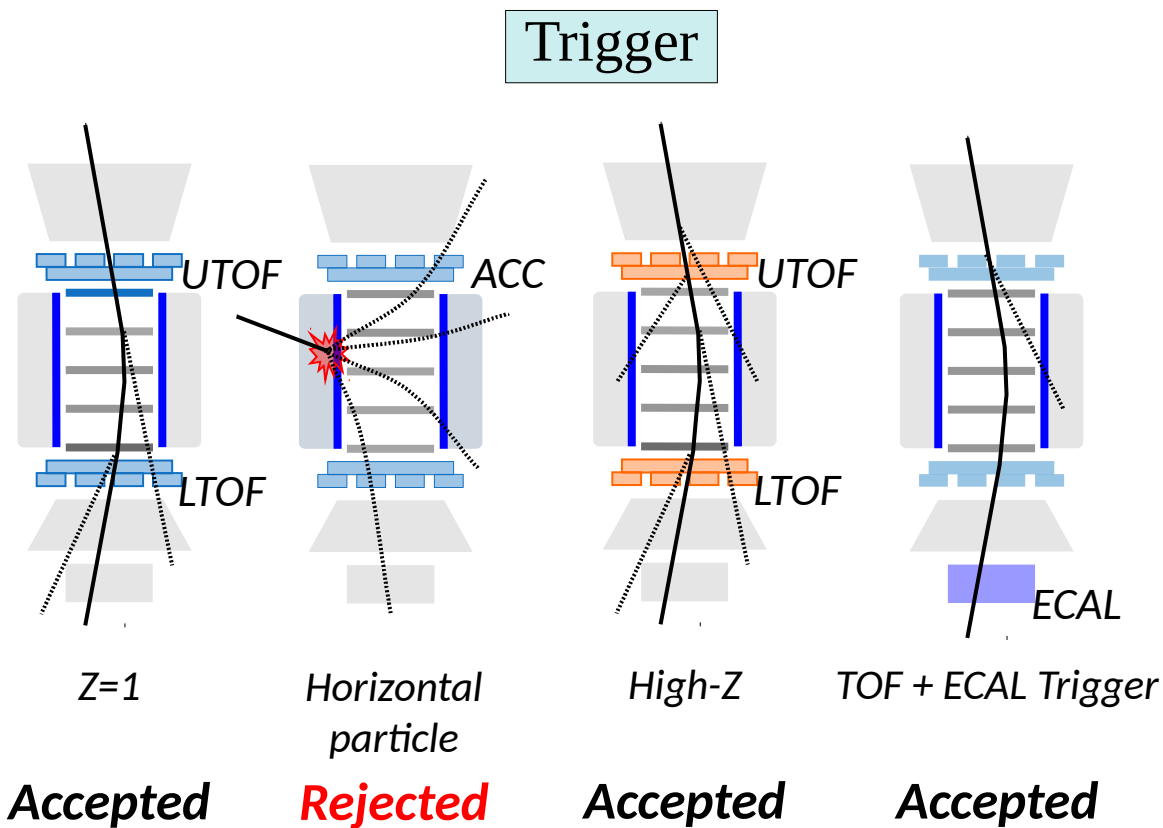
- Beam test
- In-flight data
- Monte Carlo sims



# AMS data analysis: proton flux

$$F(R) = \frac{N_{\text{obs.}}(R)}{T_{\text{exp.}}(R) A_{\text{eff.}}(R) \varepsilon_{\text{trig.}}(R) dR}$$

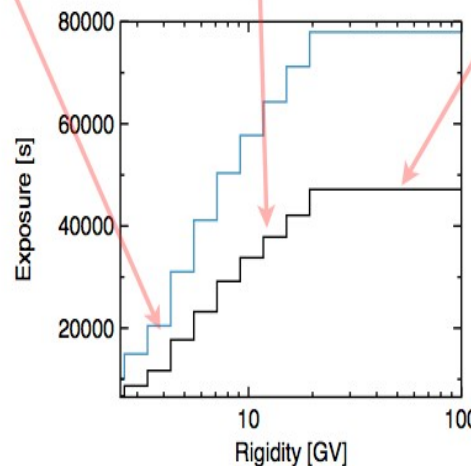
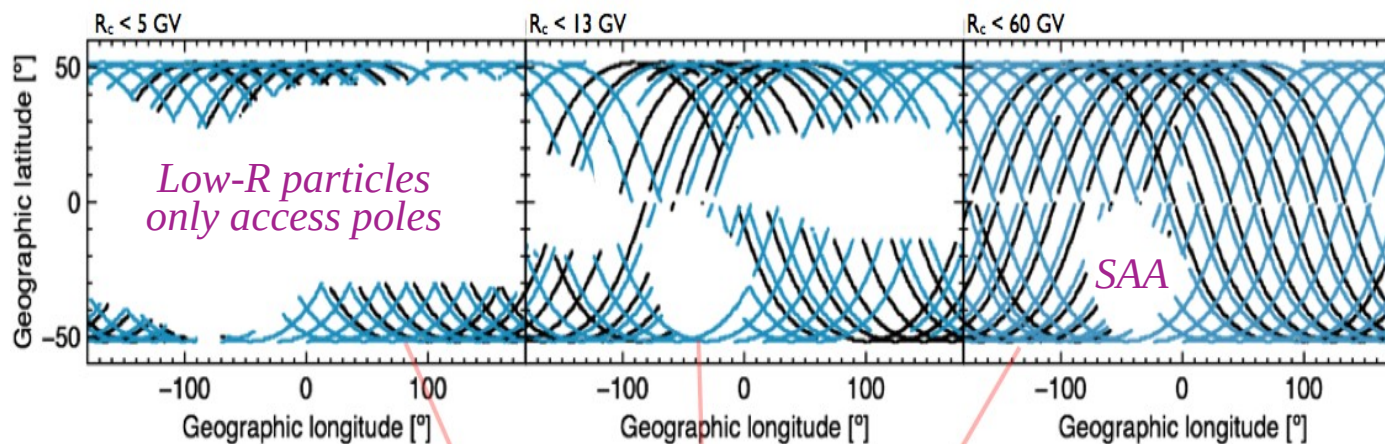
$F$	Differential flux ( $\text{m}^{-2} \text{sr}^{-1} \text{s}^{-1} \text{GV}^{-1}$ )
$R$	Measured rigidity (GV)
$N_{\text{obs}}$	#Events after proton selection
$T_{\text{exp}}$	Exposure life time (s)
$A_{\text{eff}}$	Effective acceptance ( $\text{m}^2 \text{sr}$ )
$\varepsilon_{\text{trg}}$	Trigger efficiency
$dR$	Rigidity bin (GV)



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$dR$	Rigidity bin (GV)



— 03/08/2011  
— 05/08/2011

- $T_{\text{exp}}$  is R-dependent
- $T_{\text{exp}}$  varies with  $t$  (ISS orbit)

# AMS data analysis: proton flux

$$F(R) = \frac{N_{\text{obs.}}(R)}{T_{\text{exp.}}(R) A_{\text{eff.}}(R) \varepsilon_{\text{trig.}}(R) dR}$$

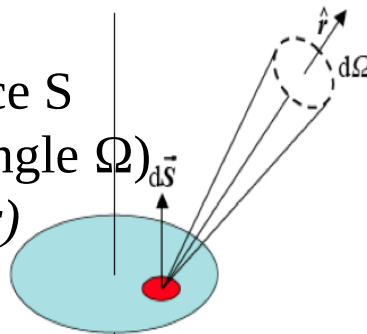
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$\varepsilon_{\text{trg}}$	Trigger efficiency
$dR$	Rigidity bin (GV)

- Differential flux (to measure)

$$\phi(E) = \frac{dN}{d\Omega dS dt dE}$$

- Number of events  $N(E)$

- crossing the detector surface  $S$
- from all directions (solid angle  $\Omega$ )
- with detector efficiency  $\varepsilon(r)$

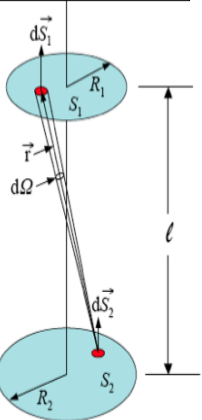


→ for CR flux (cst & isotropic)

$$N(E) = \int_S \int_{\Omega} \int_t \int_{E-\frac{\Delta E}{2}}^{E+\frac{\Delta E}{2}} \phi(E') \varepsilon(E', x, y, \theta, \phi) d\vec{\Omega} \cdot d\vec{S} dt dE'$$

**Simple telescope ( $\varepsilon=1$ )**

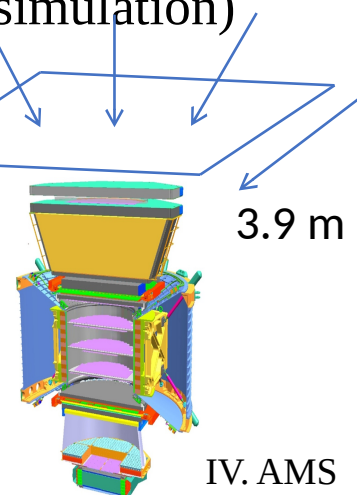
$$Acc(E) = \int_{S_2} \int_{\Omega_2} d\vec{\Omega} \cdot d\vec{S} \approx \frac{S_1 S_2}{l^2}$$



**Real detector (Geant4 simulation)**

$$Acc(E) = Acc_{gen} \frac{N_{sel}}{N_{gen}}$$

$$Acc_{gen} = \pi 3.9^2 \text{ m}^2 \text{sr}$$



# AMS data analysis: proton flux

$$F(R) = \frac{N_{\text{obs.}}(R)}{T_{\text{exp.}}(R) A_{\text{eff.}}(R) \varepsilon_{\text{trig.}}(R) dR}$$

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$dR$	Rigidity bin (GV)

## Rigidity measurement

“Trace curvature in B”  $\propto 1/R$

N.B.: MDR=max. detectable R

$$B_x = \sim 0.14 \text{ T}$$

$$L = \sim 3 \text{ m}$$

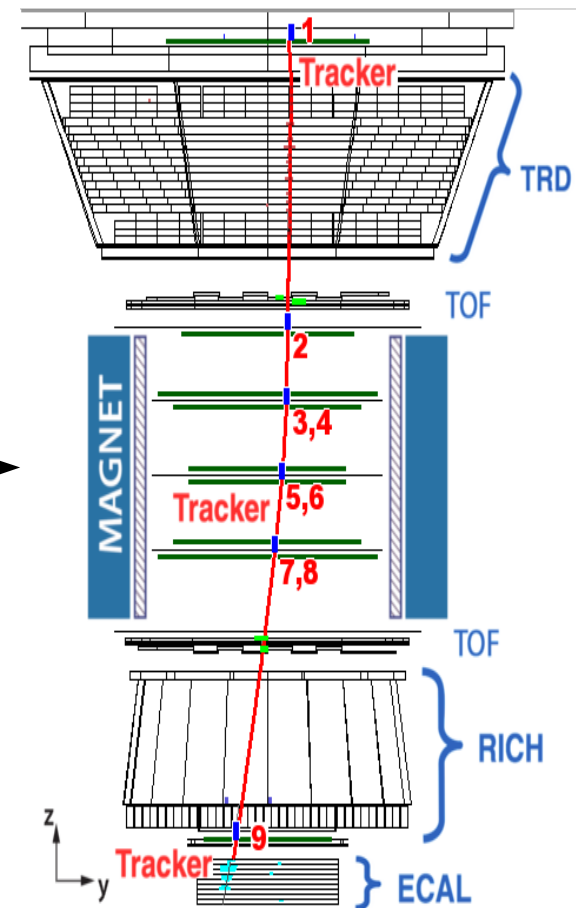
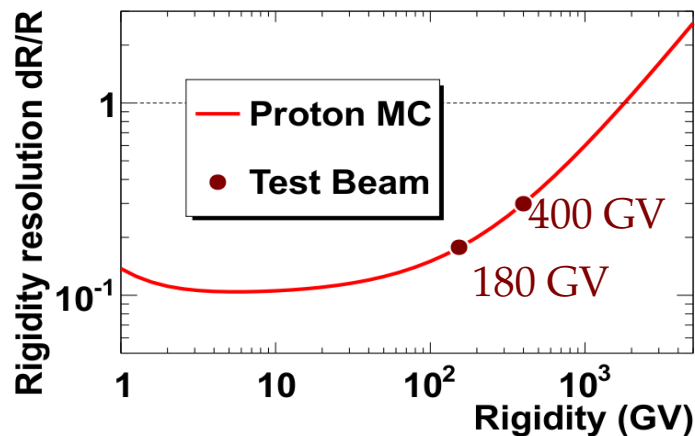
$$\Sigma_y = \sim 10 \mu\text{m}$$

$$\text{MDR} : \sim 2 \text{ TV}$$

## Uncertainty on R

$$\Delta \left( \frac{1}{R} \right) = \text{cst} = \text{MDR}$$

$$\frac{\Delta R}{R} = \frac{R}{\text{MDR}}$$

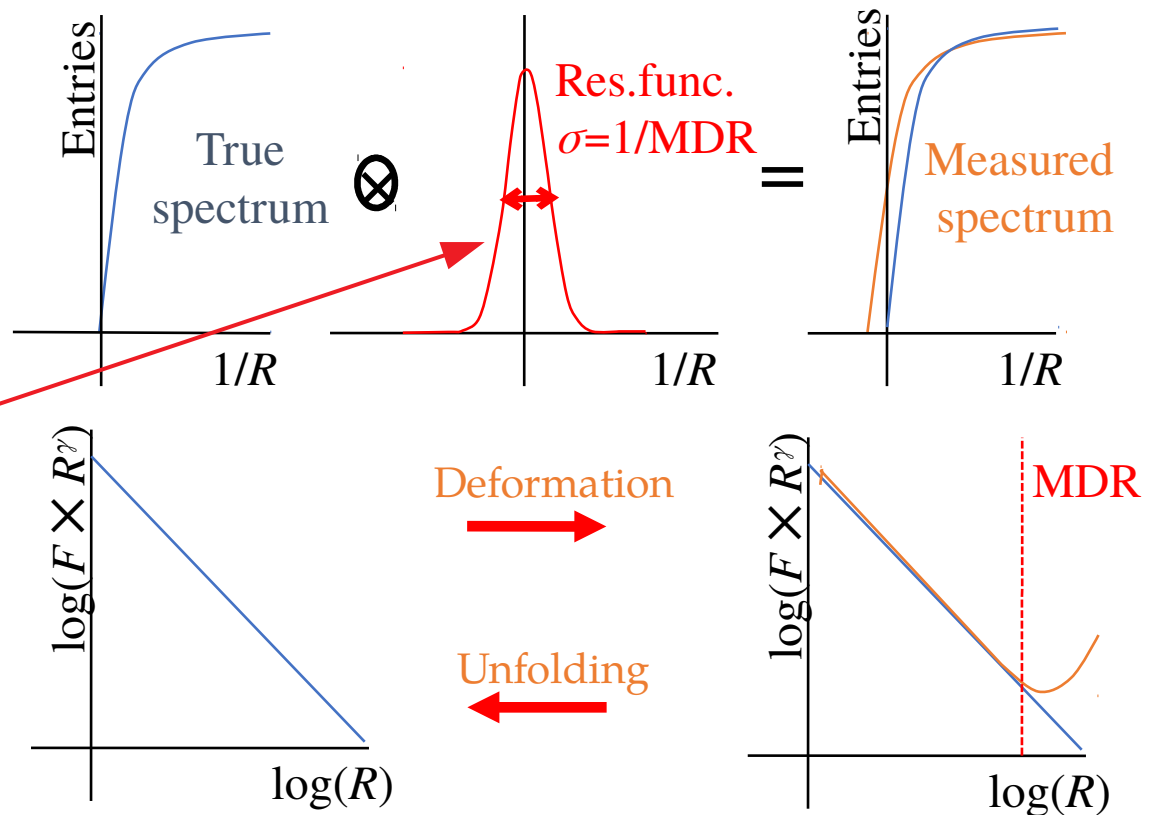
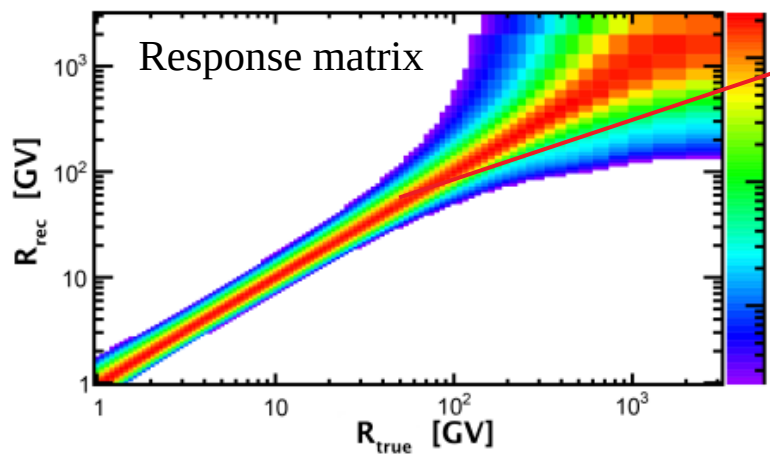


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$\varepsilon_{\text{trg}}$	Trigger efficiency
$dR$	Rigidity bin (GV)

**Finite energy resolution**  
 → bias measured spectra  
 Unfolding necessary...



# AMS data analysis: proton flux

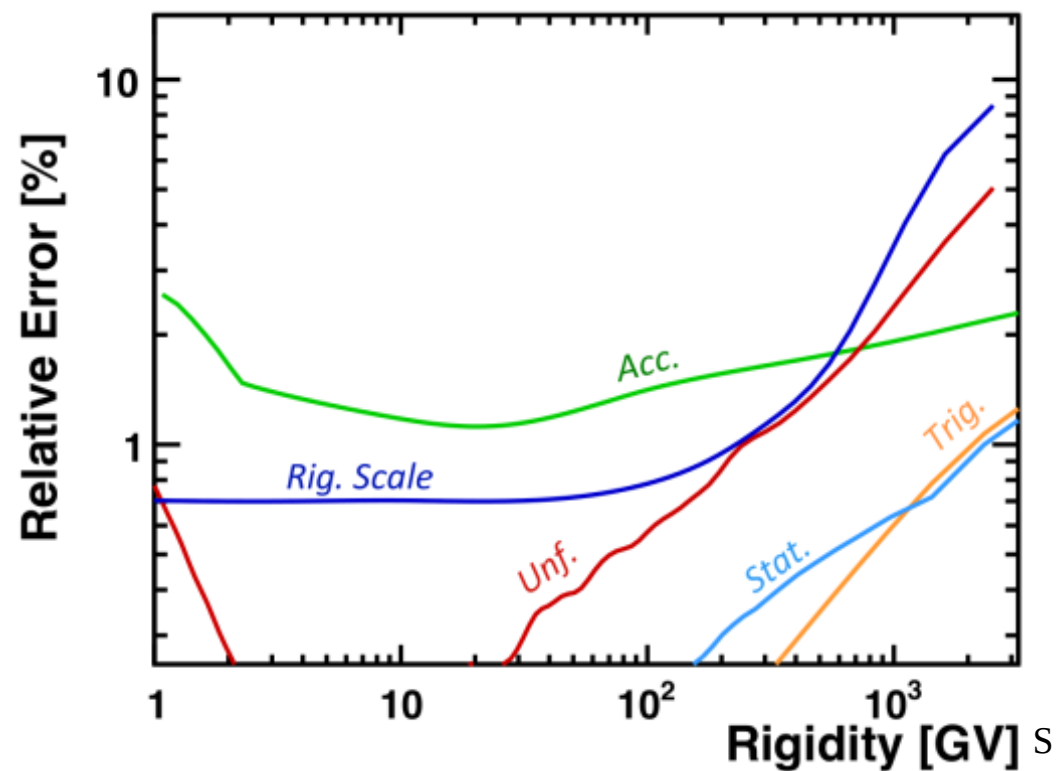
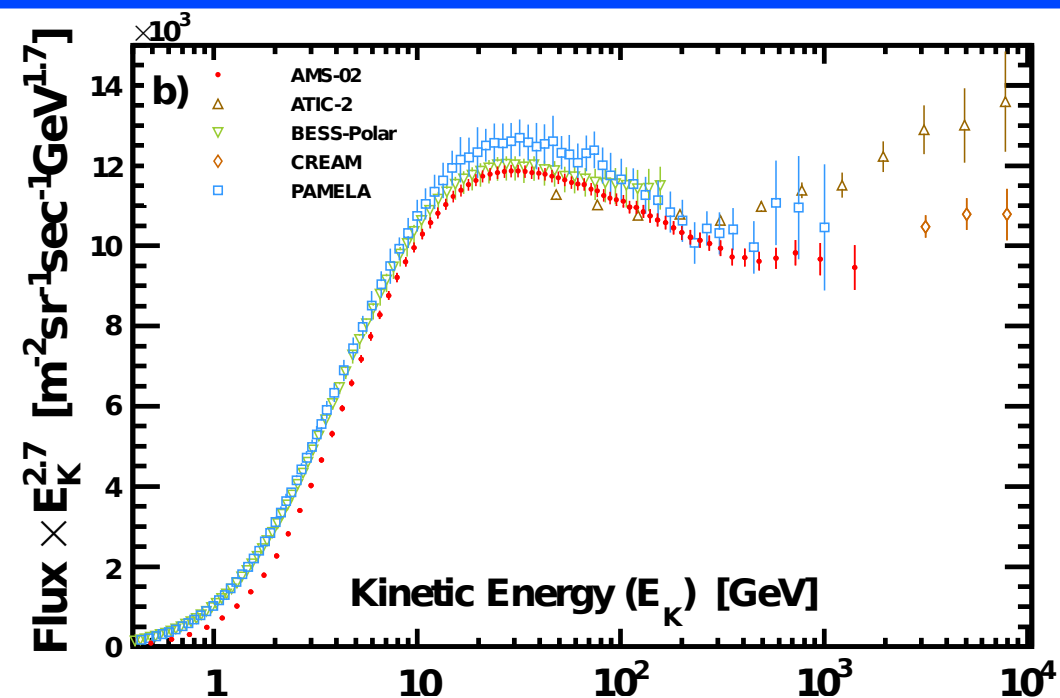
## AMS-02 proton flux

Aguilar et al., PRL 114 (2015)

→ based on 300 million events

## ... and uncertainties

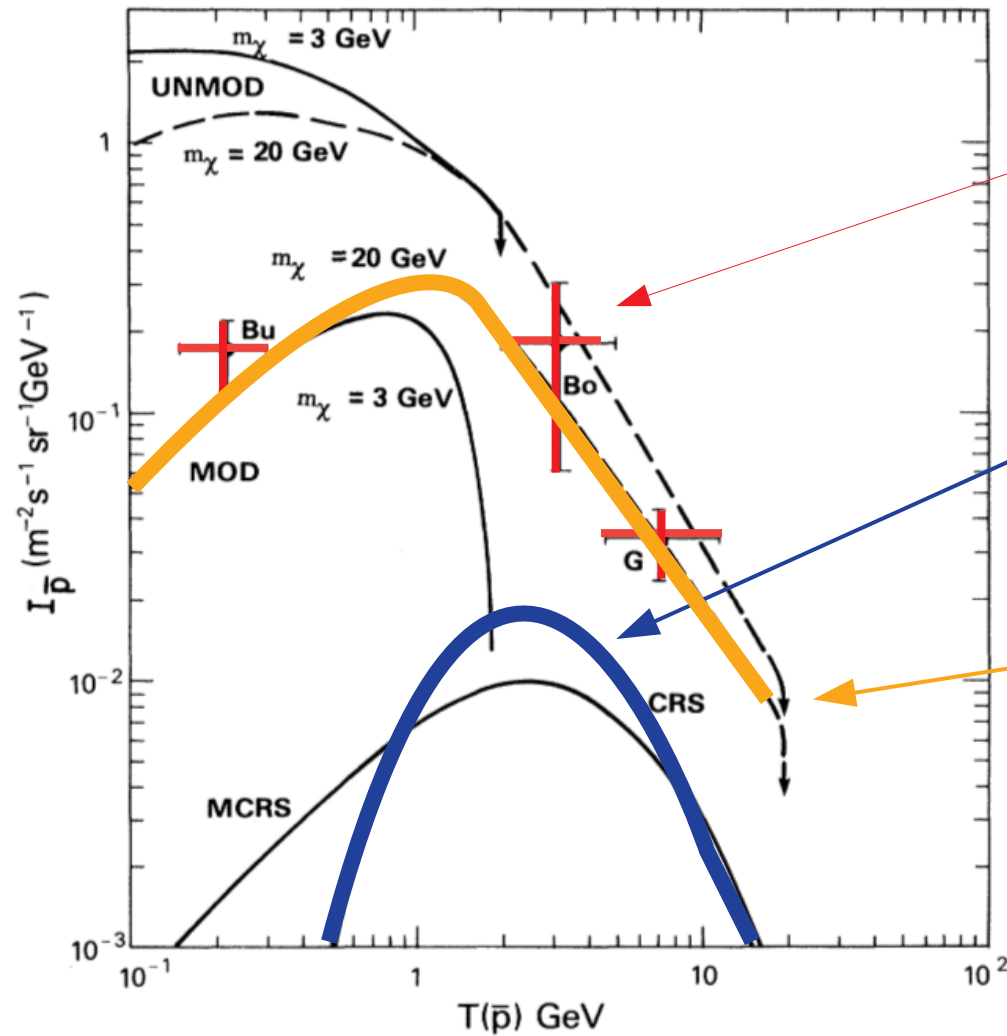
- most difficult part of the analysis
- stat. uncertainties sub-dominant



- I. Cosmic ray discovery
- II. Cosmic ray puzzle: sources, transport...
- III. CR experiments: overview
- IV. AMS experiment: data analysis
- V. Recent results**

# Dark matter detection in CRs?

Stecker, Rudaz & Walsh, PRL 55, 2622 (1985)



First pbar data  
(balloon-borne)

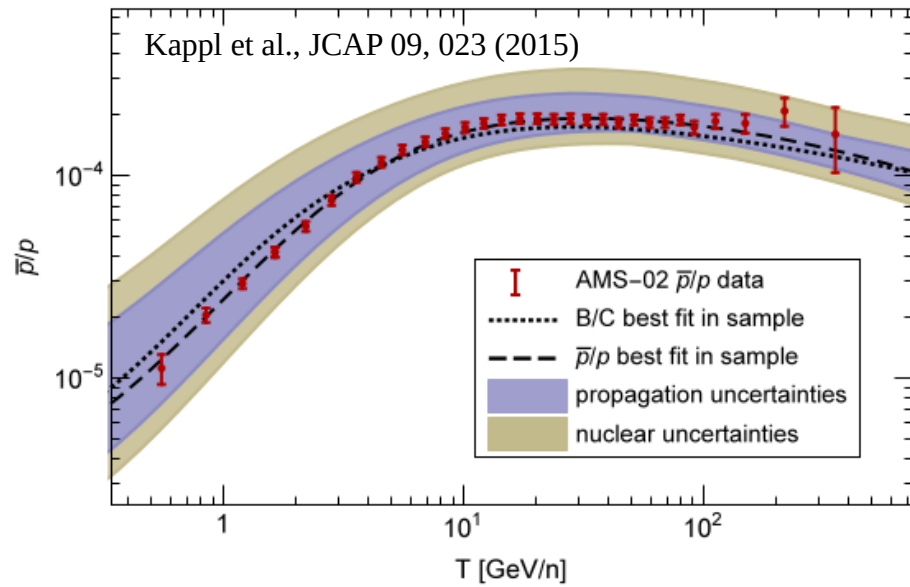
Astrophysical  
"background"  
(secondary pbar)

Dark matter  
contribution  
( $m_\chi = 20 \text{ GeV}$ )

Give me 3 possible conclusions  
from this plot?



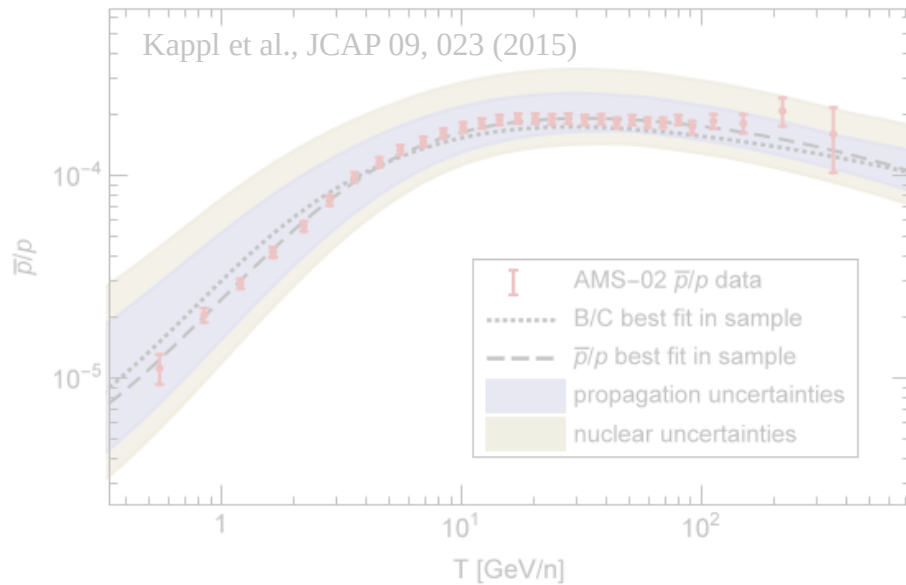
# Dark matter detection with AMS-02?



## Antiprotons

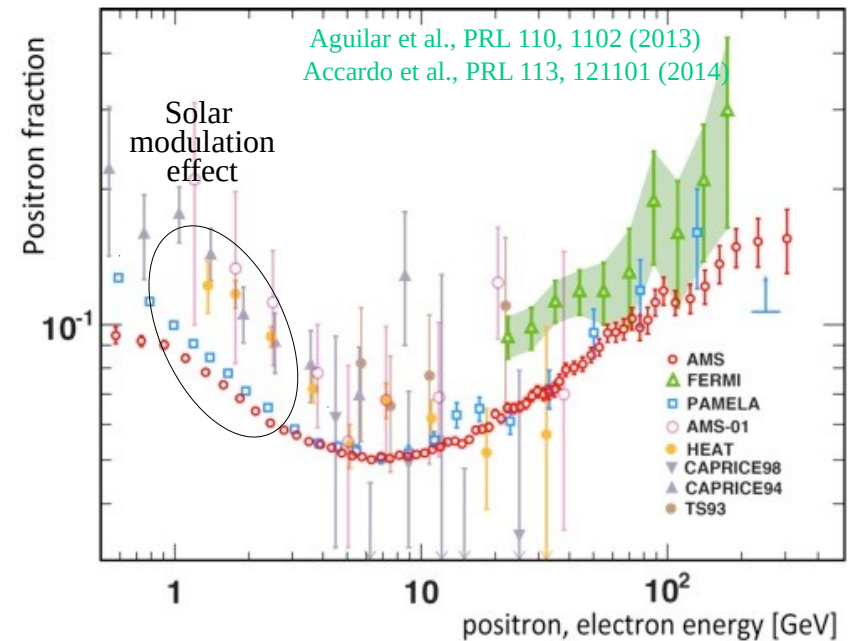
- Seems consistent with astrophysics only
- Several groups working on X-sections

# Dark matter detection with AMS-02?



## Antiprotons

→ Seems consistent with astrophysics only



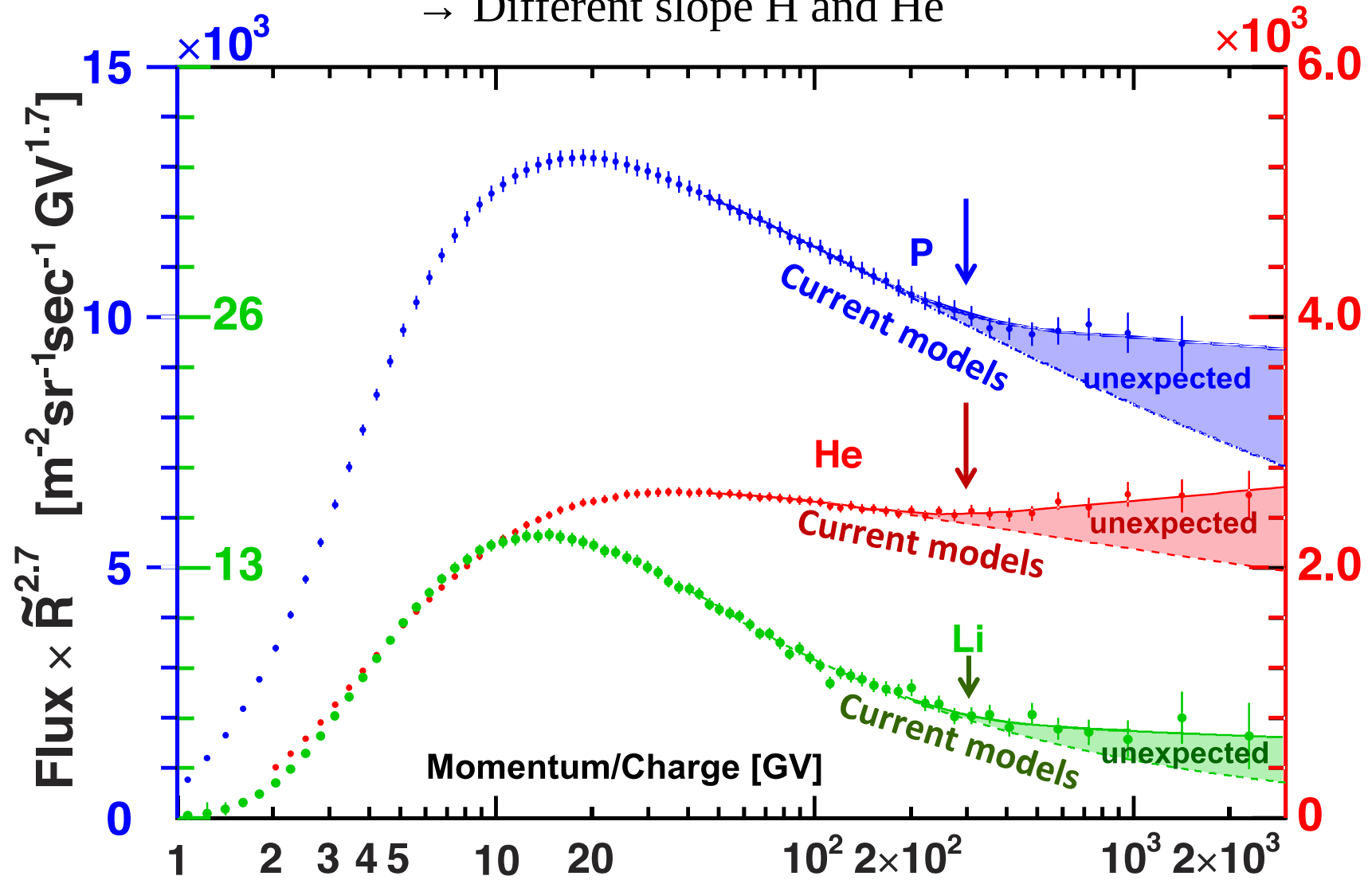
## Positron fraction, $e^-$ , $e^+$ and $e^-+e^+$ spectra used to test astrophysical and/or dark matter hypothesis

- Contribution from local SNRs/pulsars?  
→ e.g., Delahaye et al., A&A 524, A51 (2010)
- Dark matter hypothesis?  
→ e.g., Boudaud et al., A&A 575, 67 (2015)  
[N.B.: no boost, Lavalley et al., A&A 479, 427 (2008)]

N.B.: see also  $e^-$  and  $e^+$  in Aguilar et al., PRL 113, 121102 (2014)

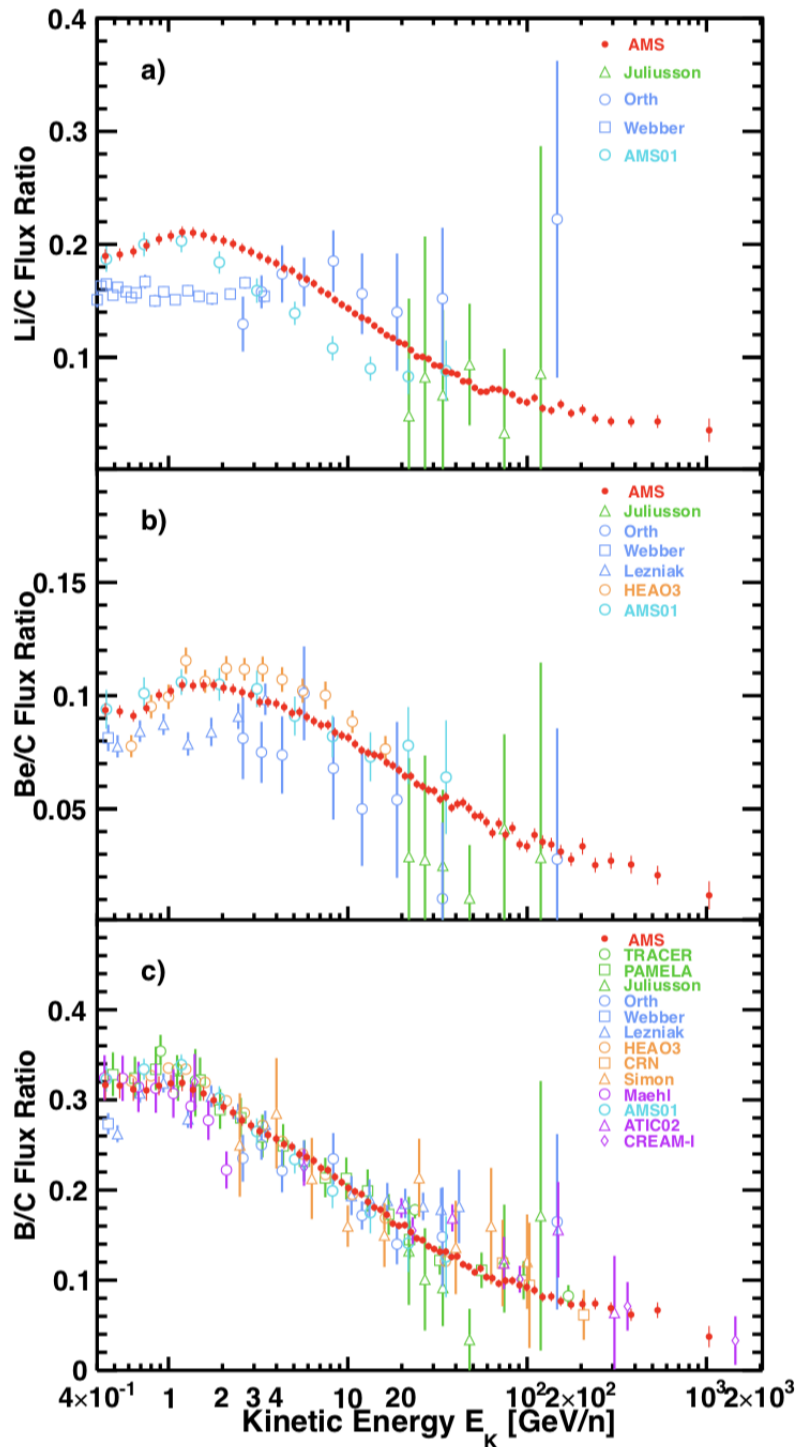
# Unexpected results: breaks

- Spectral break at  $\sim 300$  GV
- Different slope H and He



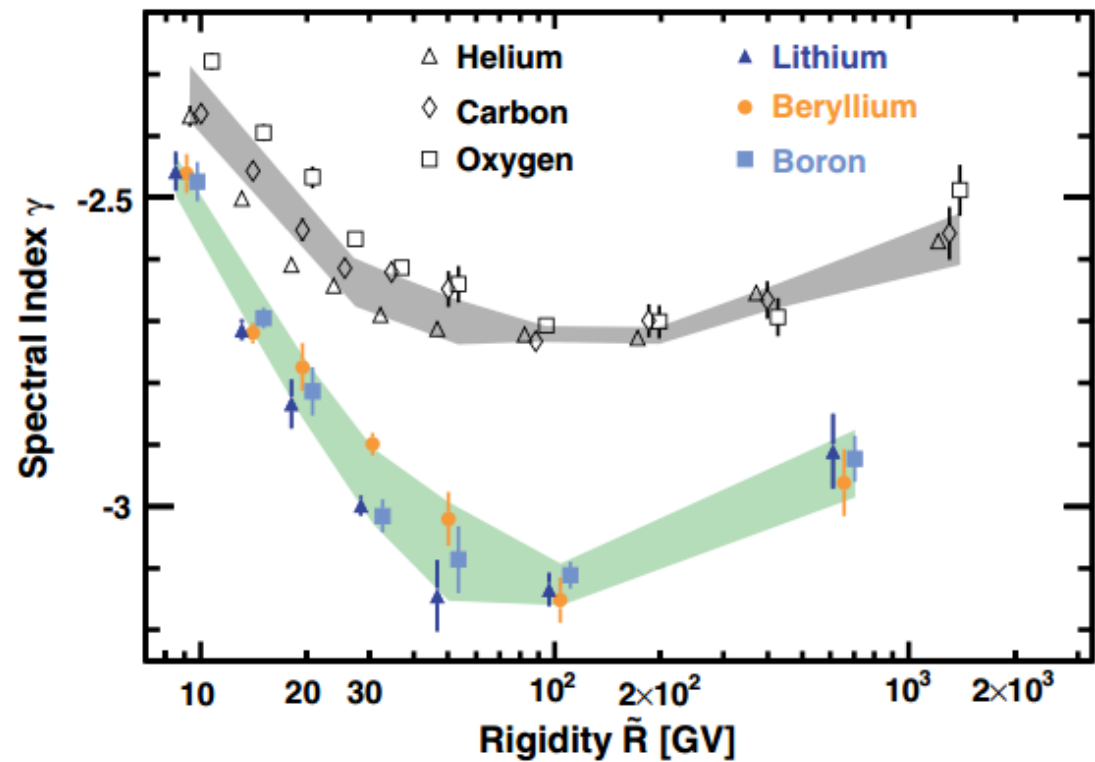
Origin of the break?

# Unexpected results: breaks



→ Break seen in all data  
(primary and secondary species)

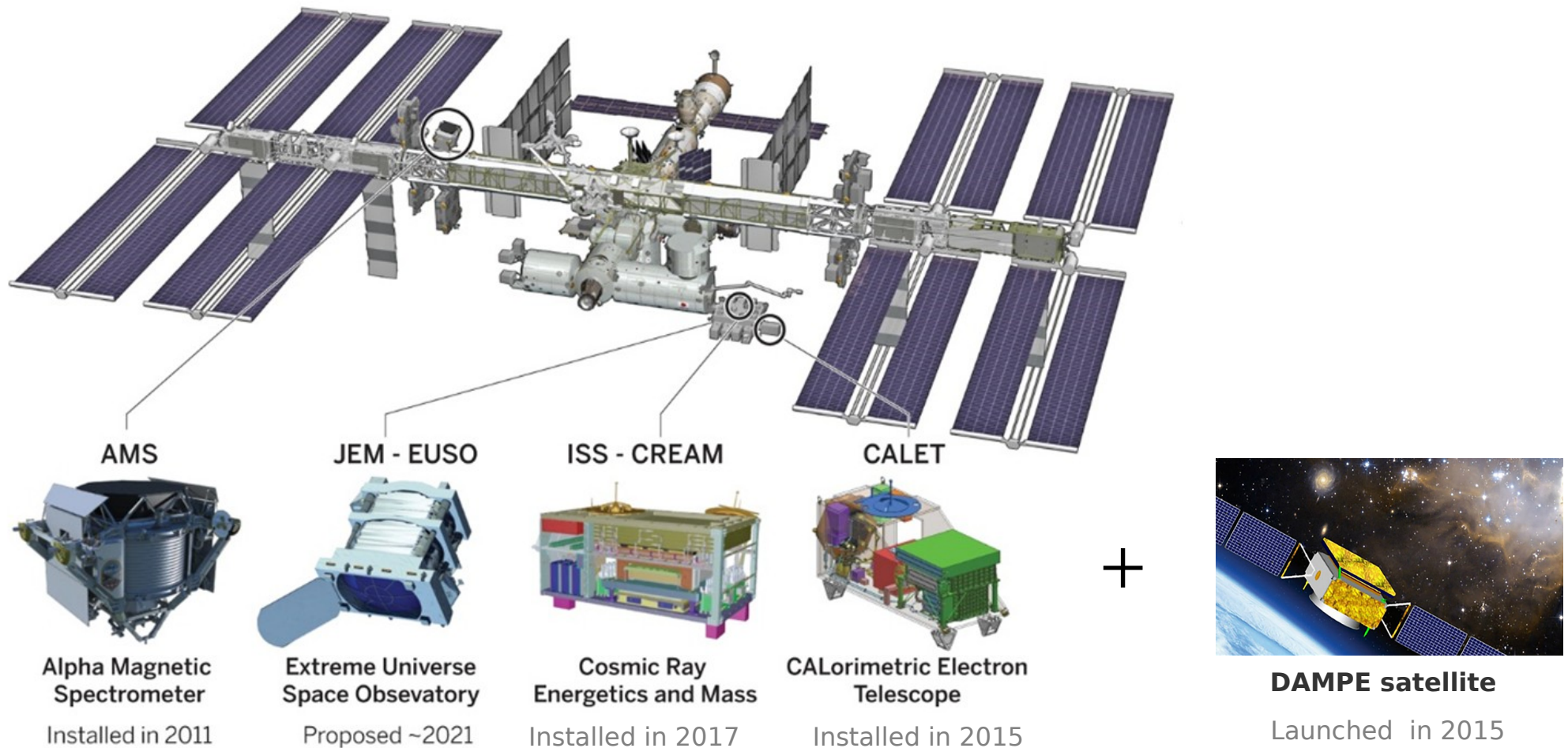
Aguilar *et al.*, PRL 120, 021101 (2018)



→ most likely transport (not source spectrum)  
[coupling CR/B/gas via MHD]

# Conclusions

→ A bright present (and near future) for HE cosmic-rays



... and a lot of theoretical work to understand the data!

*For more on CR phenomenology,  
play with the propagation code USINE*

<https://lpsc.in2p3.fr/usine/>