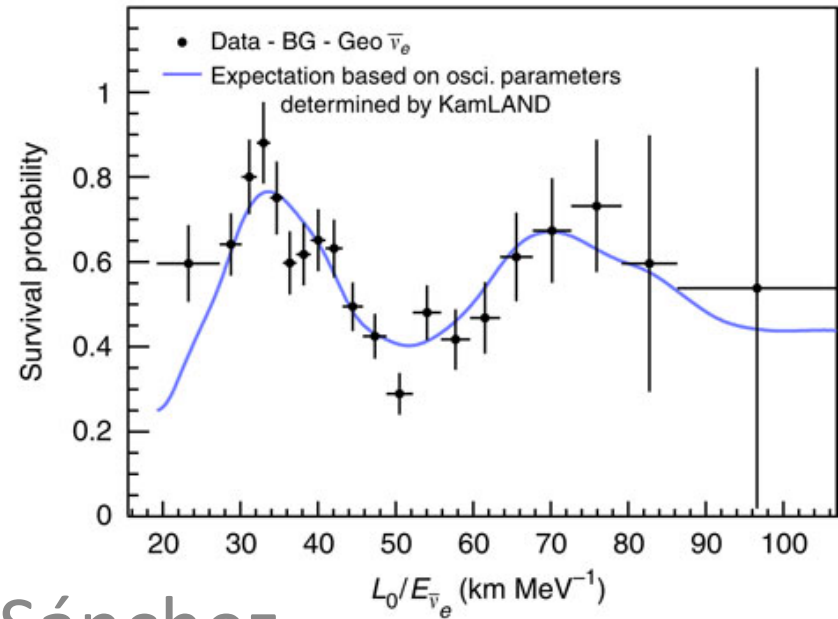


# Neutrino oscillations



Pablo del Amo Sánchez

GraSPA

25/07/18

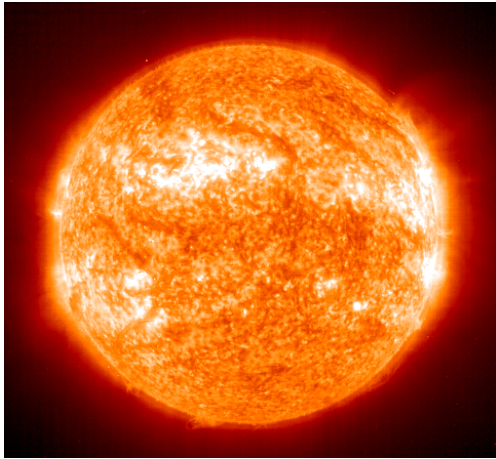
# Overview

- Evidence for neutrino oscillations
  - Non historical approach
- How to go about detecting neutrinos

# $\nu$ sources

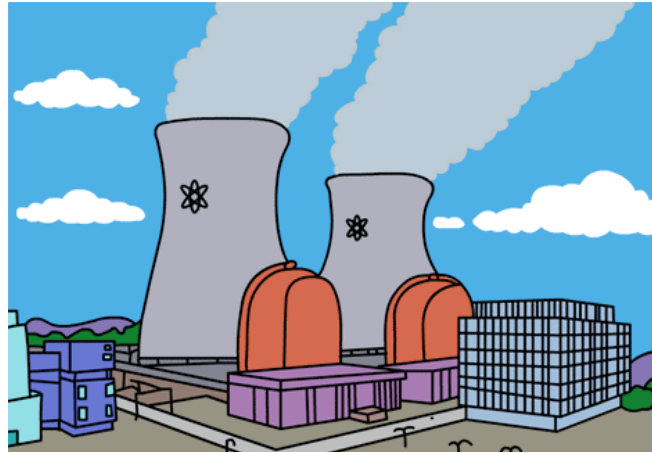
The Sun

$65 \times 10^9 \nu_e \text{ cm}^{-2} \text{ s}^{-1}$  at Earth



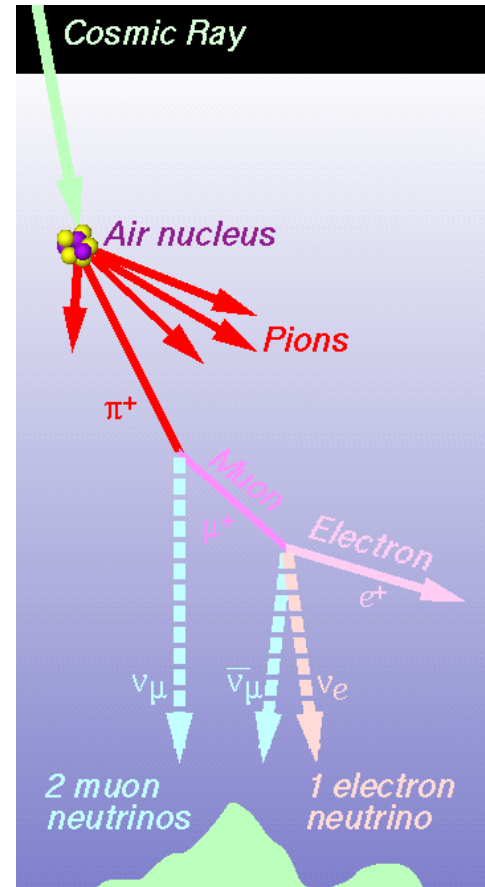
Nuclear reactors

$2 \times 10^{20} \bar{\nu}_e \text{ s}^{-1} \text{ GW}_{\text{th}}^{-1}$

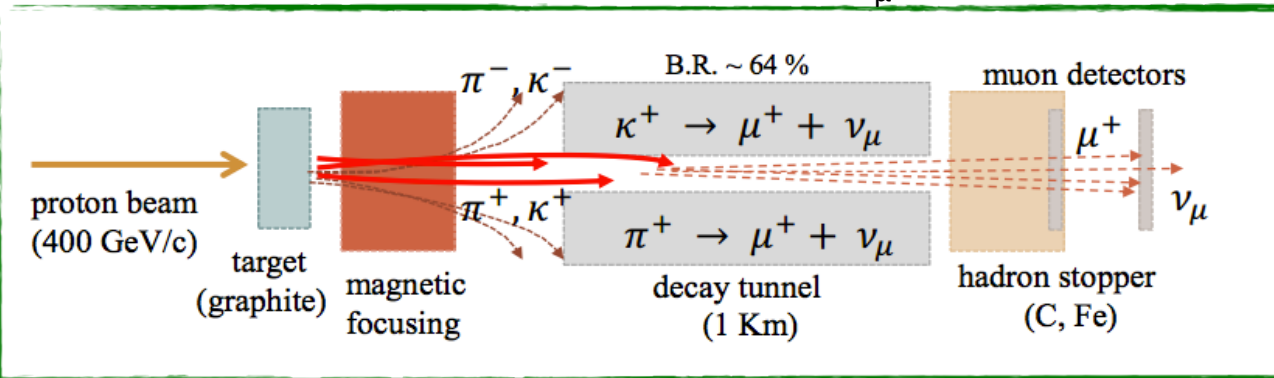


Atmospheric  $\nu$  (cosmic rays)

$4 \times 10^2 \nu_{\mu+e} \text{ m}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$  at 1 GeV



Accelerators, e.g. at OPERA:  $1 \times 10^{12} \nu_{\mu} \text{ m}^{-2}$



Plus: astrophysical neutrinos, CνB, etc

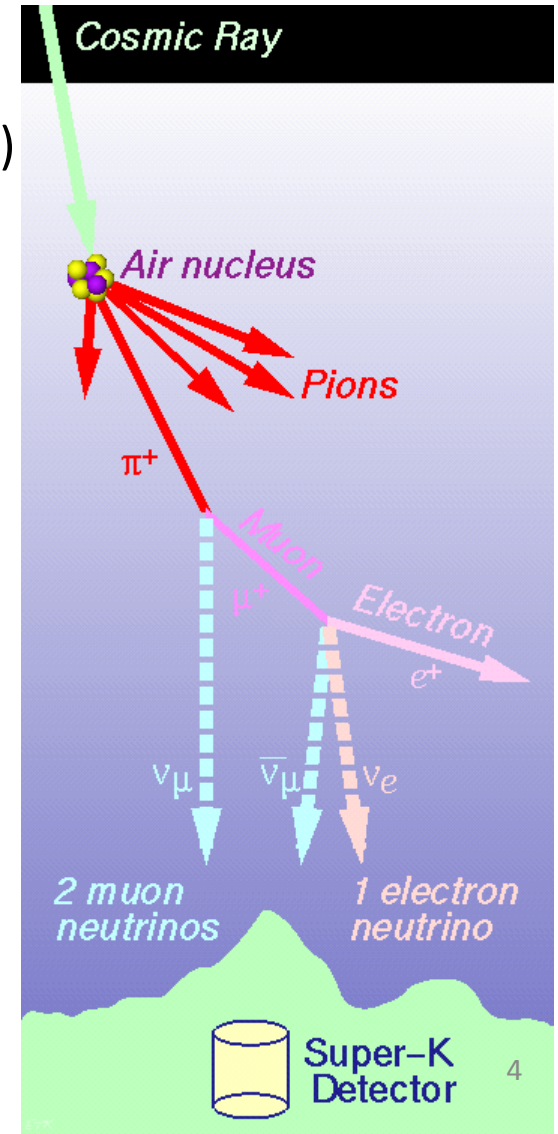
# Atmospheric neutrinos

- Cosmic rays collisions in upper atmosphere (15 km)
- Plenty of pions from hadronic interactions
- $\pi^+ \rightarrow \mu^+ \nu_\mu$  and  $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$

SO

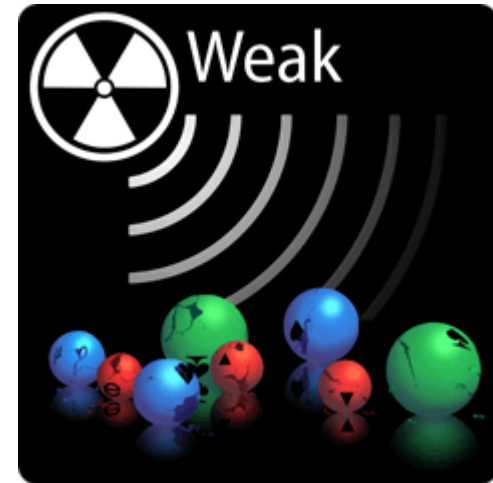
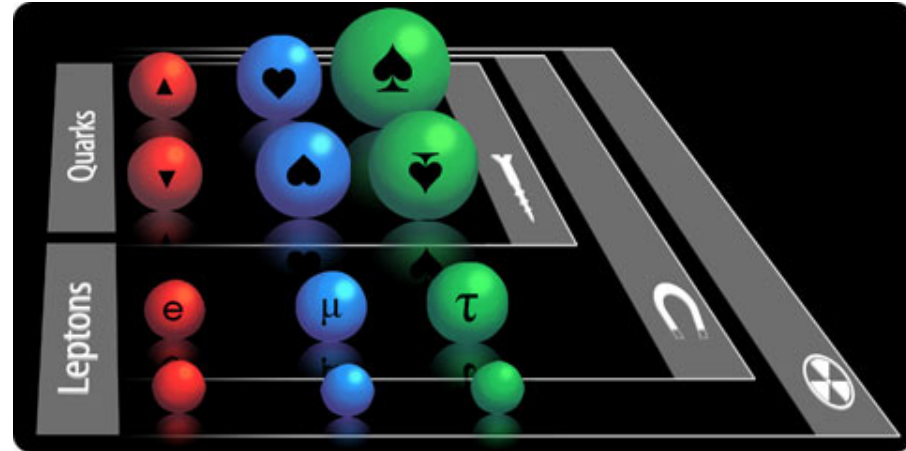
$$\nu_\mu : \nu_e = 2 : 1$$

(known better than 3% below 5 GeV)



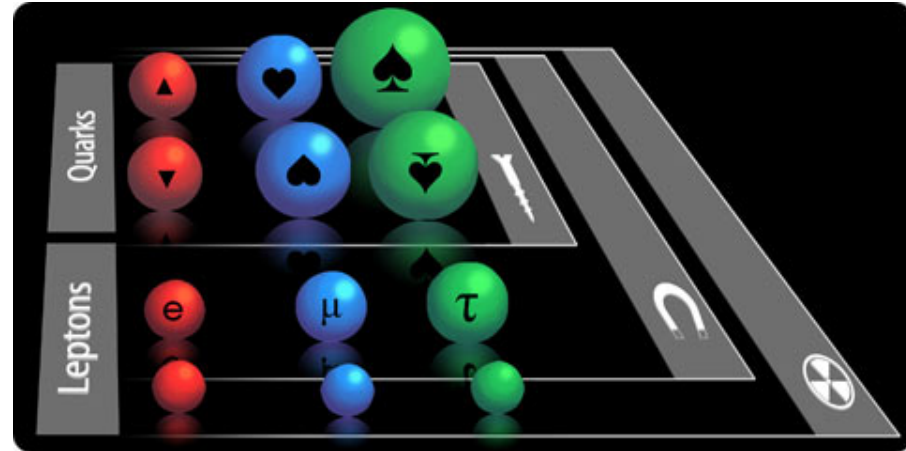
# How to detect neutrinos?

- $\nu$  electrically neutral  
→ no ionization tracks!
  - nearly massless  
→  $m_\nu < 1$  eV but  $\Sigma m_\nu > 0.06$  eV
  - tiny interaction cross-section  
→  $\sigma \approx 10^{-42}$  cm<sup>2</sup> for IBD
- ➔ need copious sources and/or large detector masses



# How to detect neutrinos?

- $\nu$  electrically neutral  
→ no ionization tracks!
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- tiny interaction cross-section  
→  $\sigma \approx 10^{-42} \text{ cm}^2$  for IBD



→ need copious sources and/or large detector masses

Ex: SuperKamiokaNDE

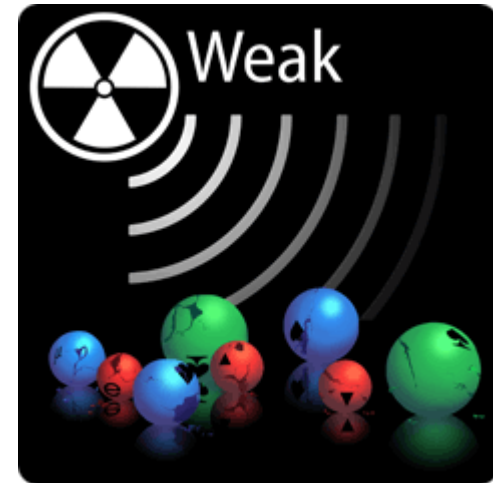
$$R = \phi N_N \sigma_N$$

$$R = 5 \nu_{\mu+e} \text{ evts/day}$$

$$\phi = 4 \times 10^2 \nu_{\mu+e} \text{ m}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ at } 1 \text{ GeV} = 0.5 \text{ cm}^{-2} \text{ s}^{-1}$$

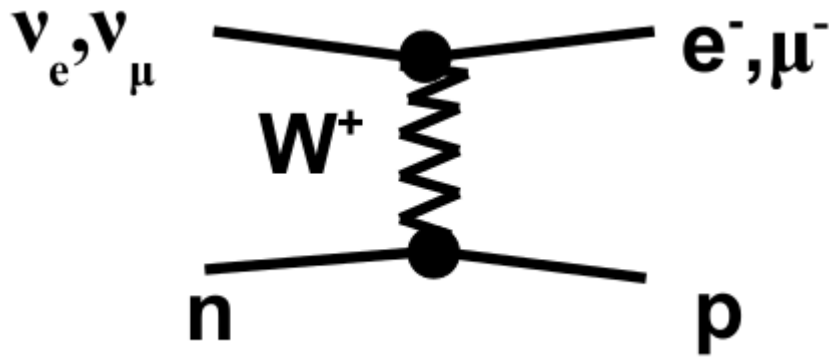
$$\sigma_N = 10^{-38} \text{ cm}^2 / \text{nucleon at } 1 \text{ GeV}$$

$$\Rightarrow N_N = 1.2 \times 10^{38} \text{ nucleons} \Rightarrow M = N_N \times 1.66 \times 10^{-27} \text{ Kg} = 19 \times 10^6 \text{ Kg} = 19 \text{ ktonnes}$$



# Water Cerenkov detectors

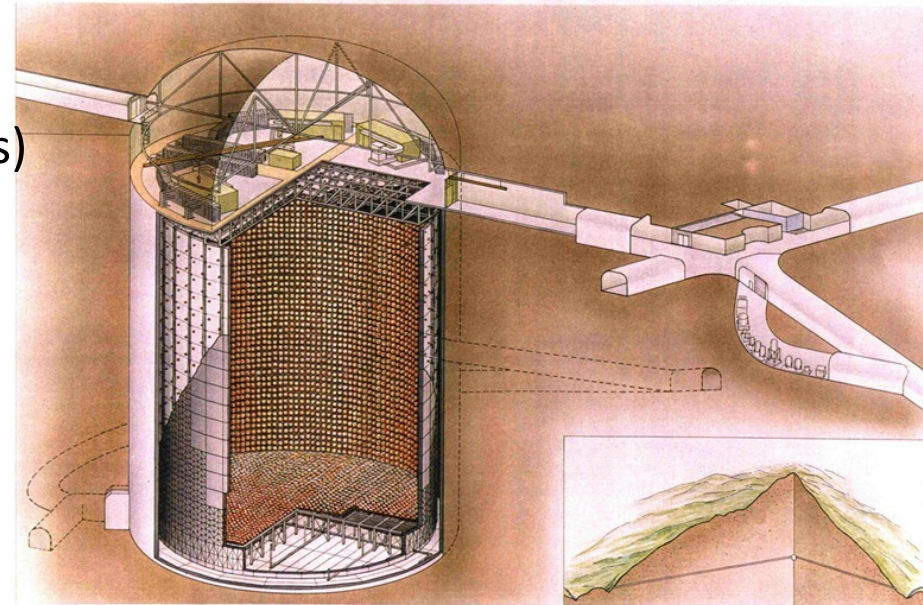
- Huge underground water tanks surrounded by photomultiplier tubes (PMTs)



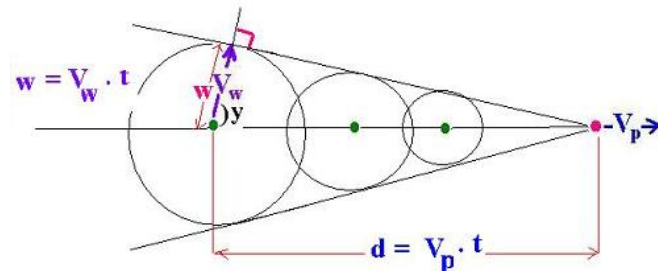
- Interacting particles produce light, light gives electrical signal in PMTs

**Cerenkov effect:** particles faster than speed of light in medium radiate light (e.g. **blueish light** in nuclear reactors)

- Ex: (Super-)KamiokaNDE et SNO

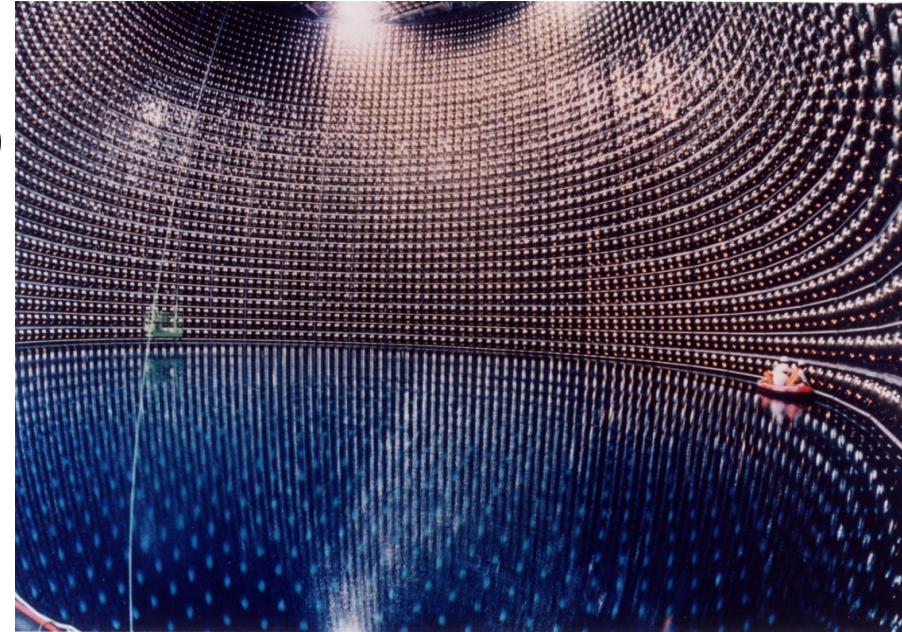
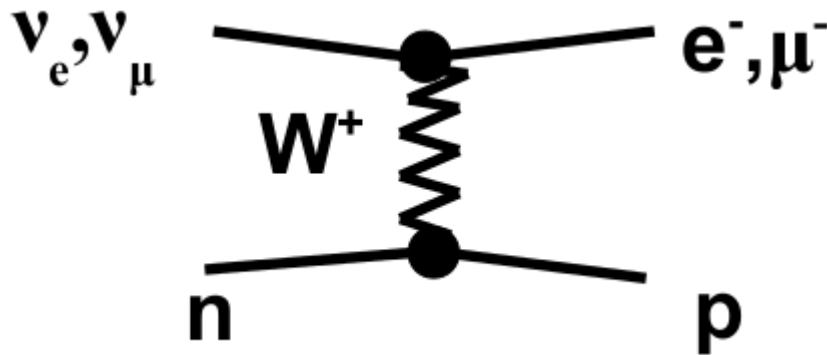


SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO



# Water Cerenkov detectors

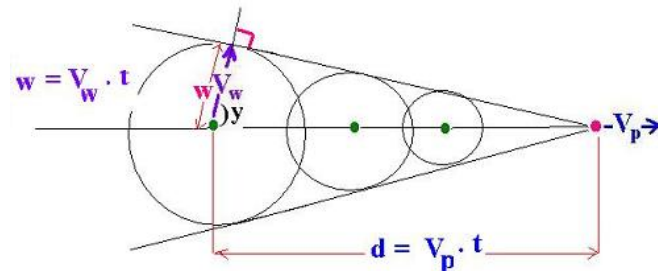
- Huge underground water tanks surrounded by photomultiplier tubes (PMTs)



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**Cerenkov effect:** particles faster than speed of light in medium radiate light (e.g. **blueish light** in nuclear reactors)

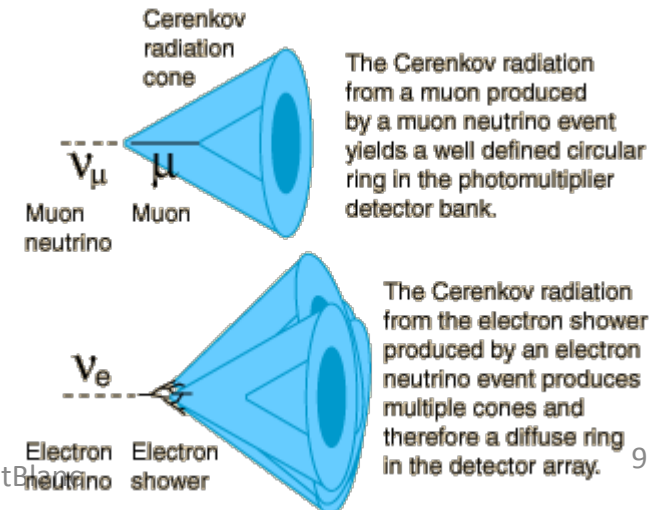
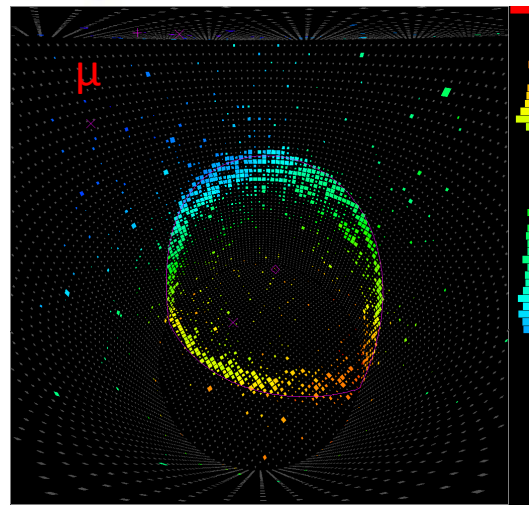
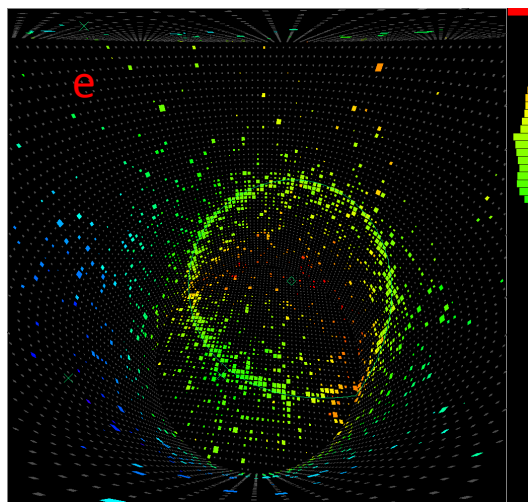
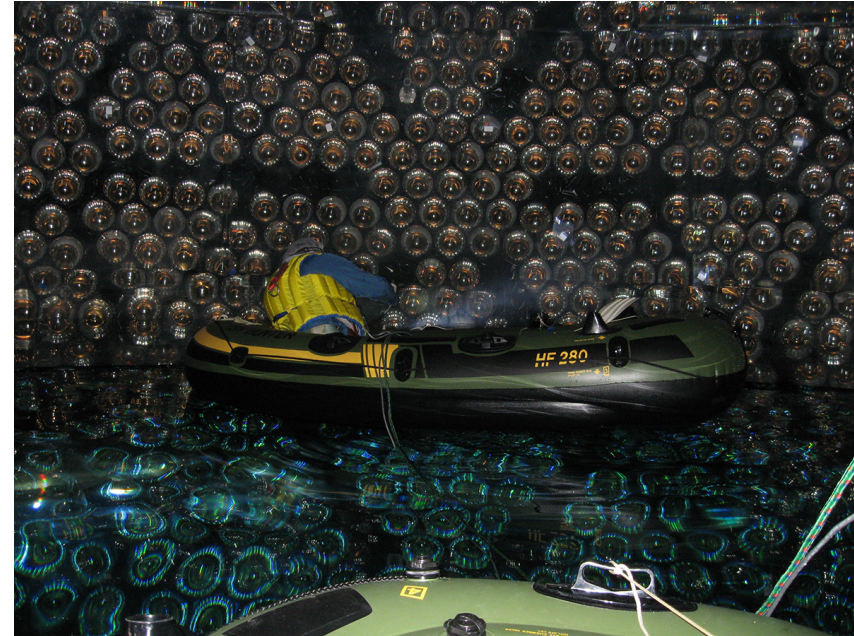
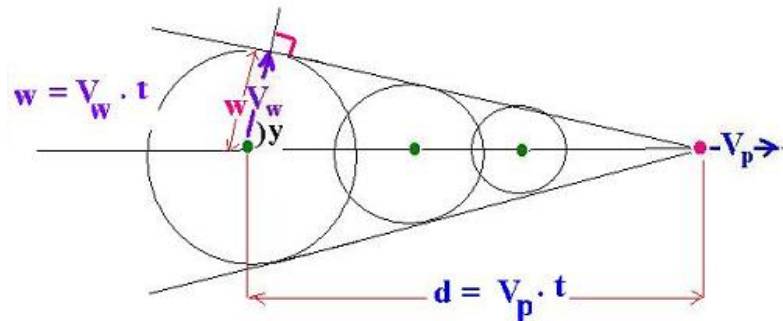
- Ex: (Super-)KamiokaNDE et SNO





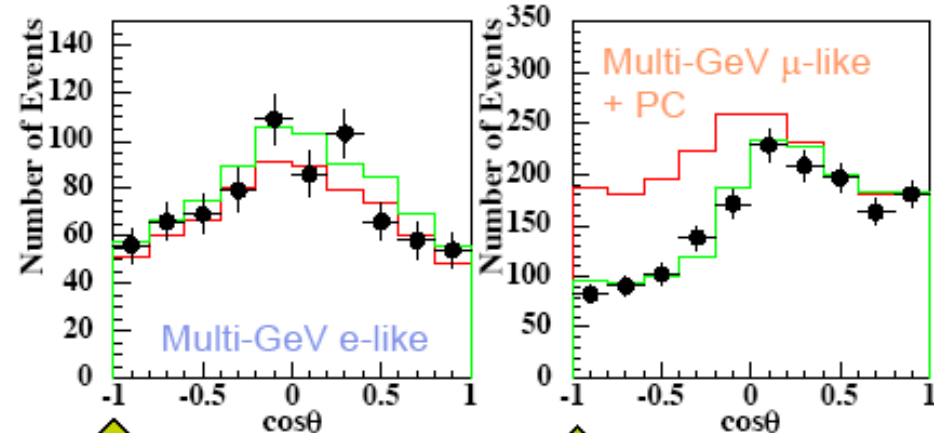
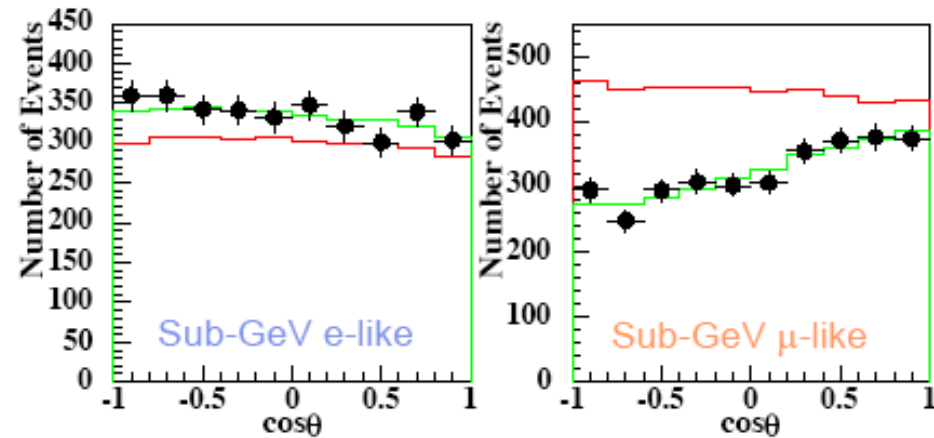
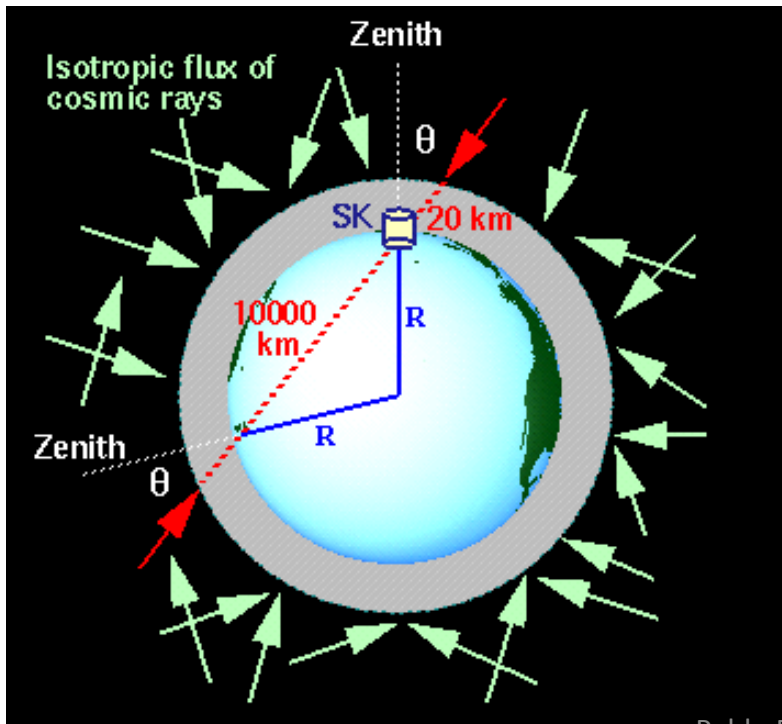
# Water Cerenkov detectors

- SNO et (Super-)KamiokaNDE
- Directionality from Cerenkov cone
- Energy from total collected light
- Distinction between electrons and muons

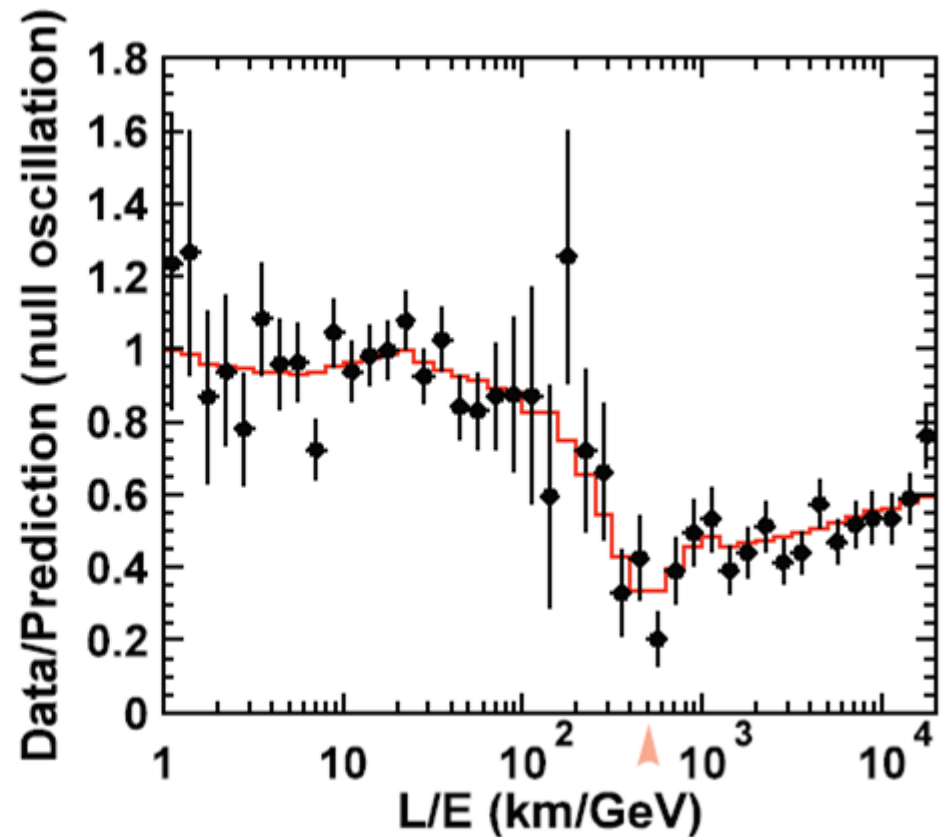
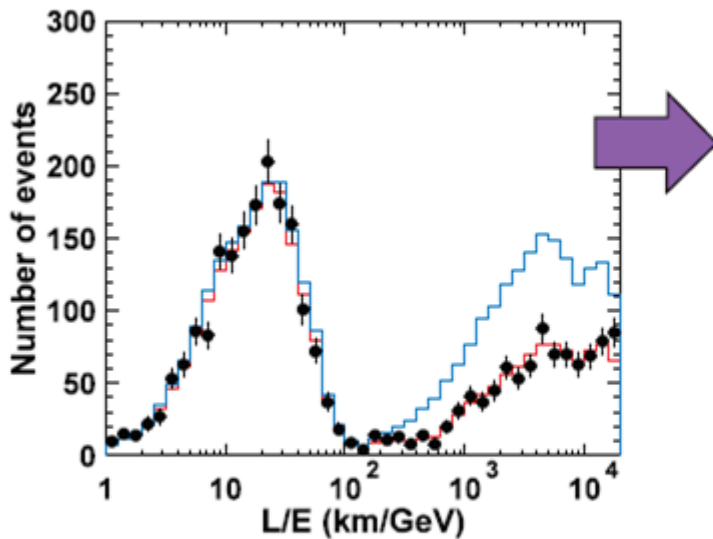


# Super-KamiokaNDE

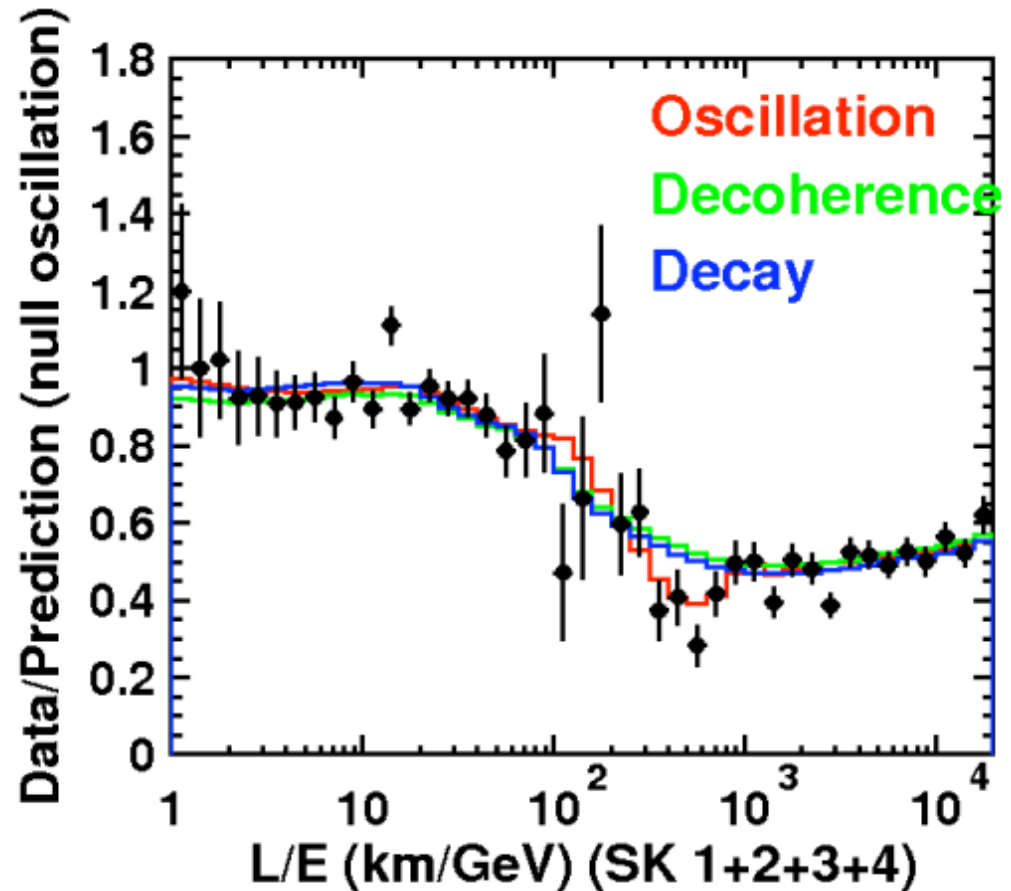
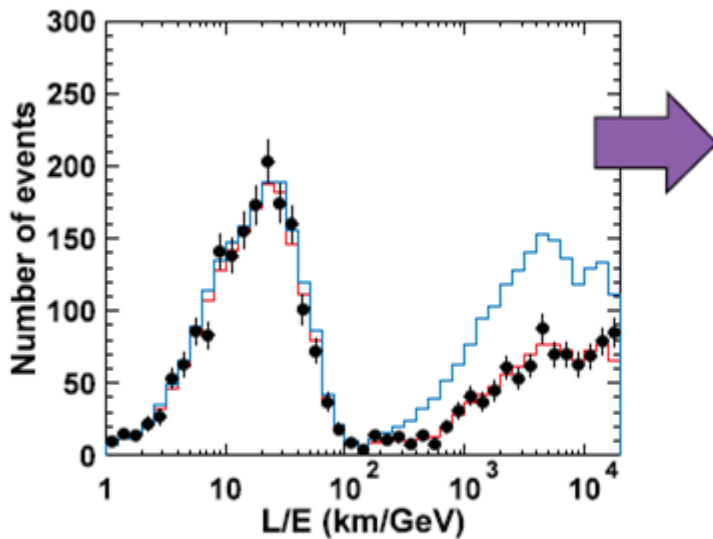
- 1000m deep, 50000 tons of water, 11000 PMTs
- Observed expected number of downgoing  $\nu_\mu$ , deficit in upgoing
- No excess in  $\nu_e$ , so  $\nu_\mu \rightarrow \nu_\tau$  ?



# Atmospheric neutrinos disappear?



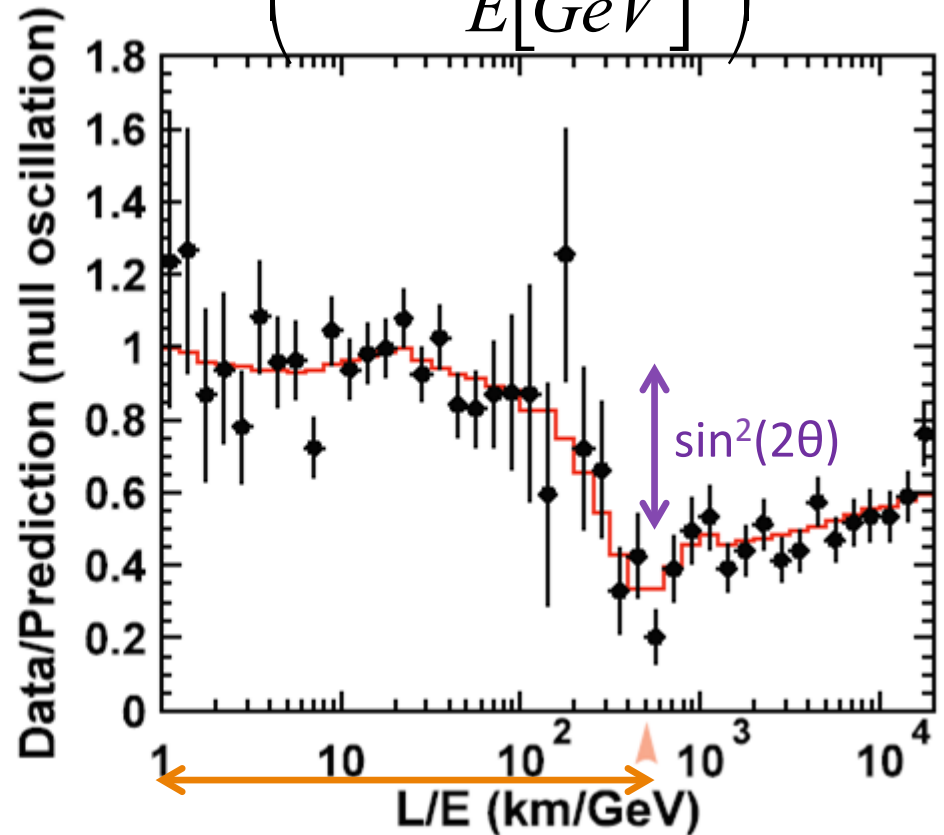
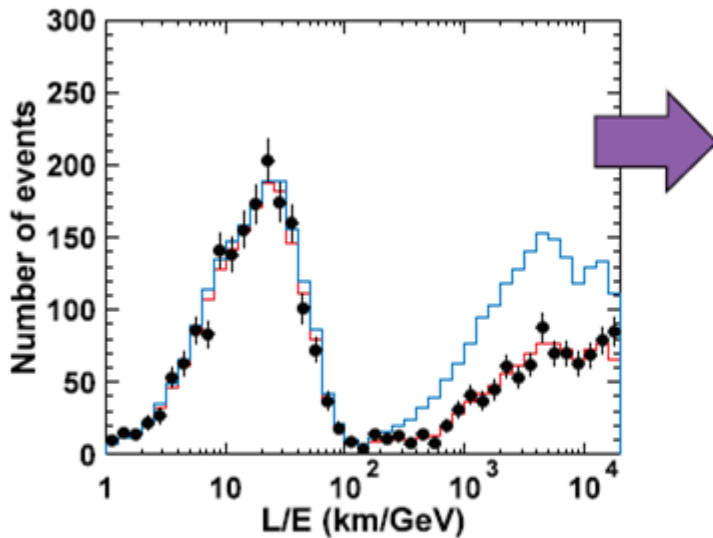
# Atmospheric neutrinos disappear?



# Atmospheric neutrinos oscillate!



$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L [km]}{E [GeV]}\right)$$

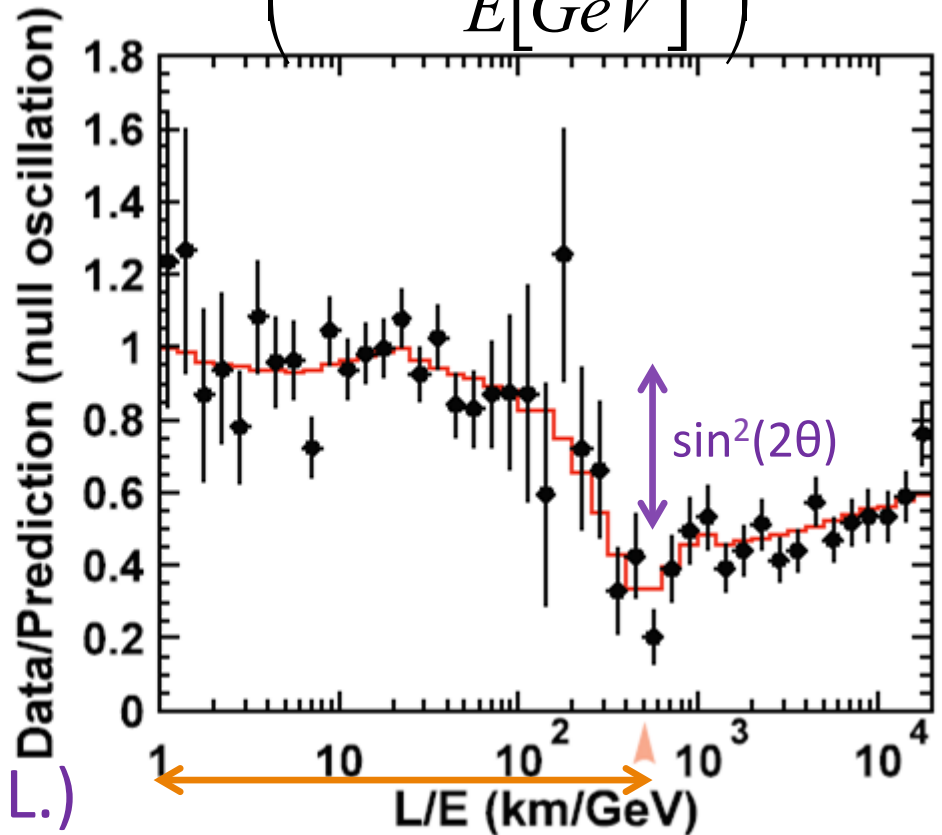
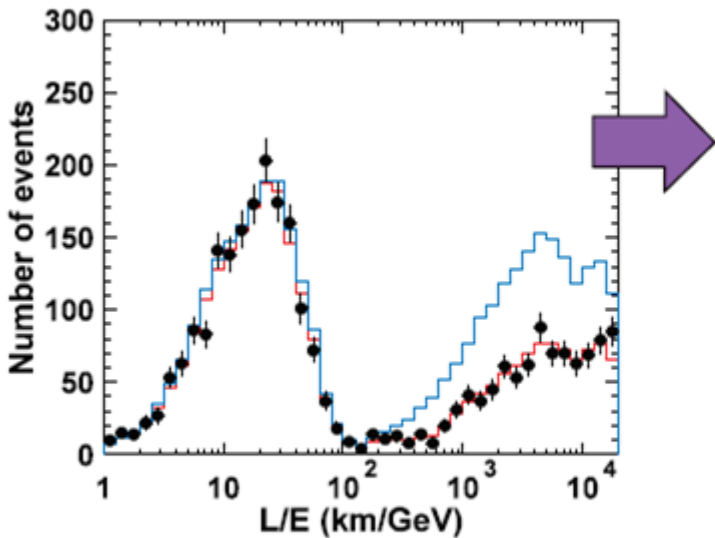


$L/E \sim 500 \text{ km/GeV} \leftrightarrow \Delta m^2 \sim 2.3 \times 10^{-3} \text{ eV}^2$

# Atmospheric neutrinos oscillate!



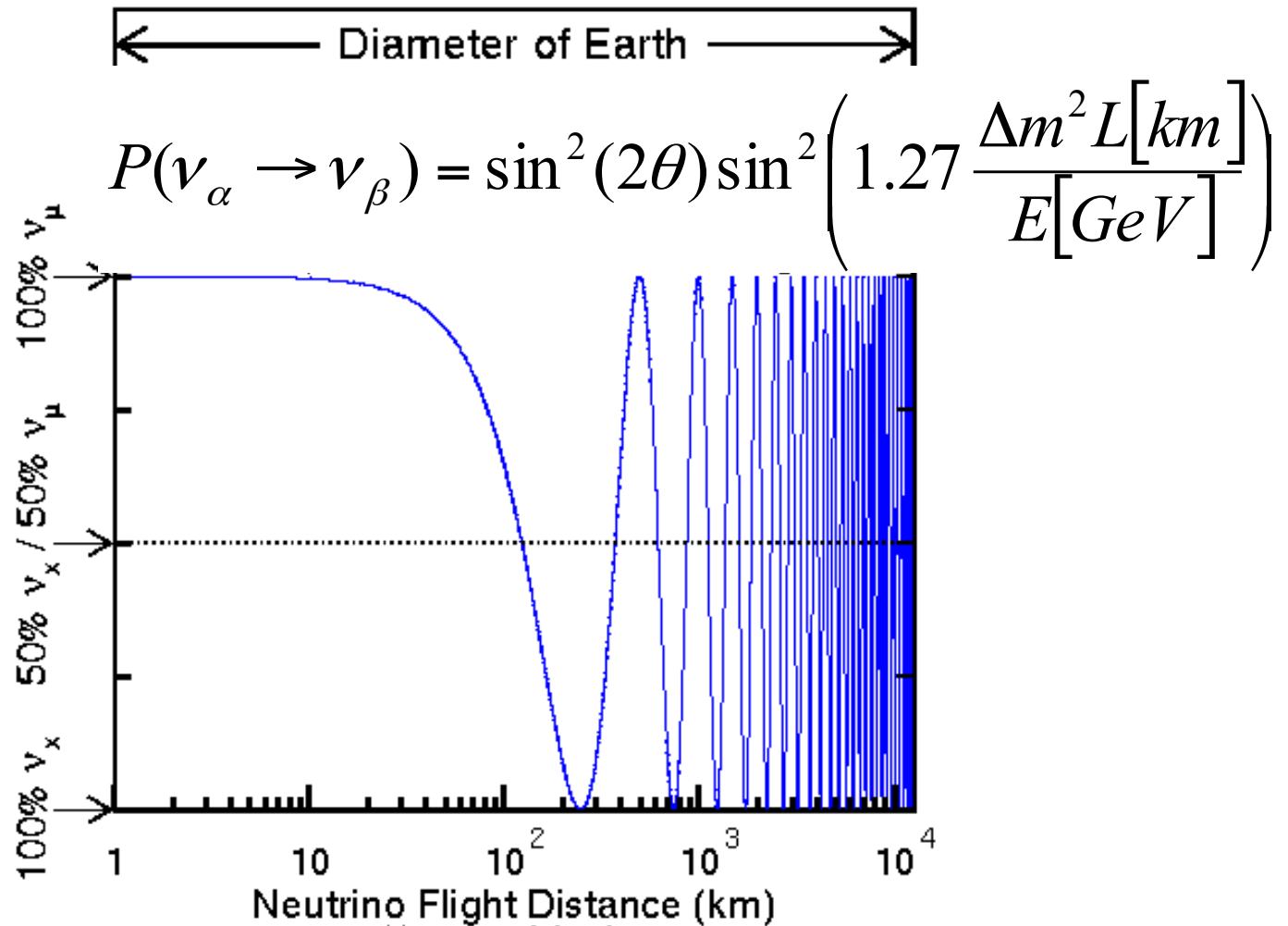
$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L [km]}{E [GeV]}\right)$$



$\sin^2(2\theta) = 1.00$  ( $>0.93$  90% C.L.)

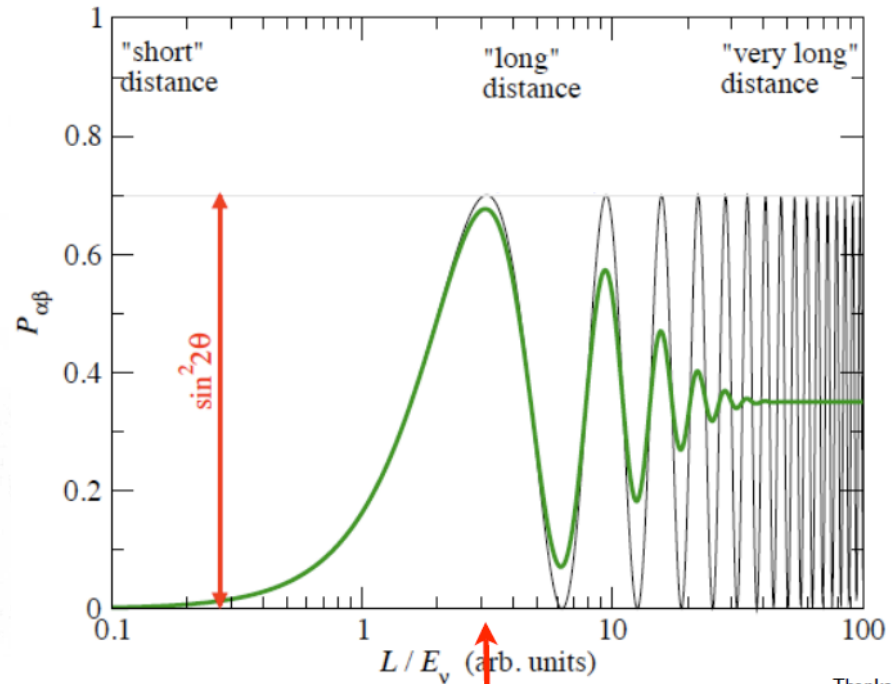
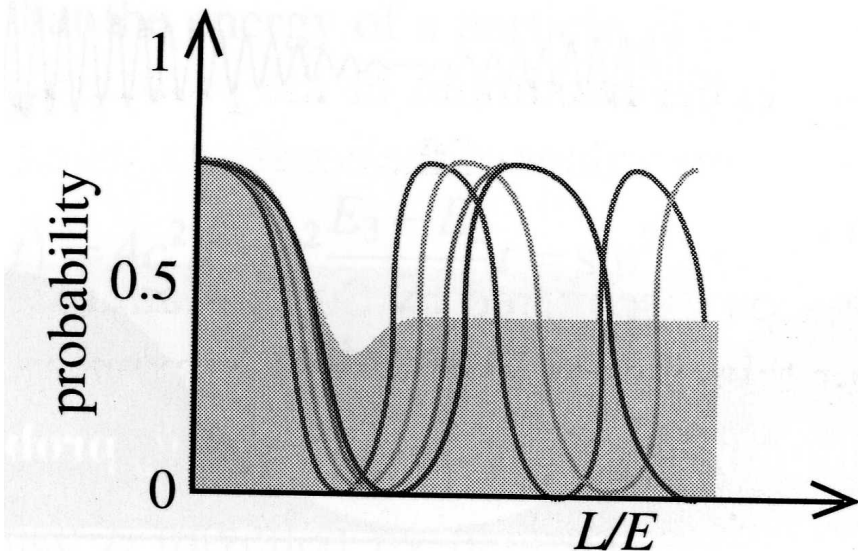
$\Delta m^2 = (2.50 \pm 0.27) \times 10^{-3} \text{ eV}^2$      $L/E \sim 500 \text{ km/GeV} \leftrightarrow \Delta m^2 \sim 2.3 \times 10^{-3} \text{ eV}^2$

# But why don't we see this?



# Because...

- Two effects:  
Neutrinos not monochromatic  $\rightarrow$  different oscillation lengths  
Experimental resolution: if too close, maxima and minima blurred



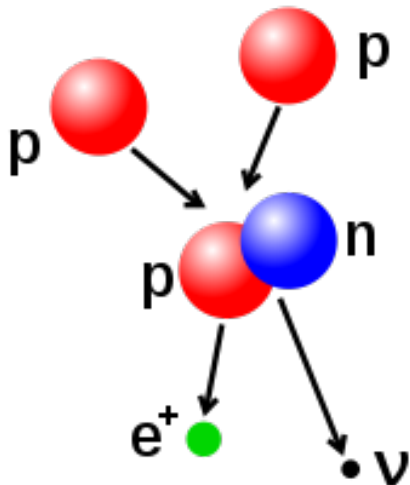
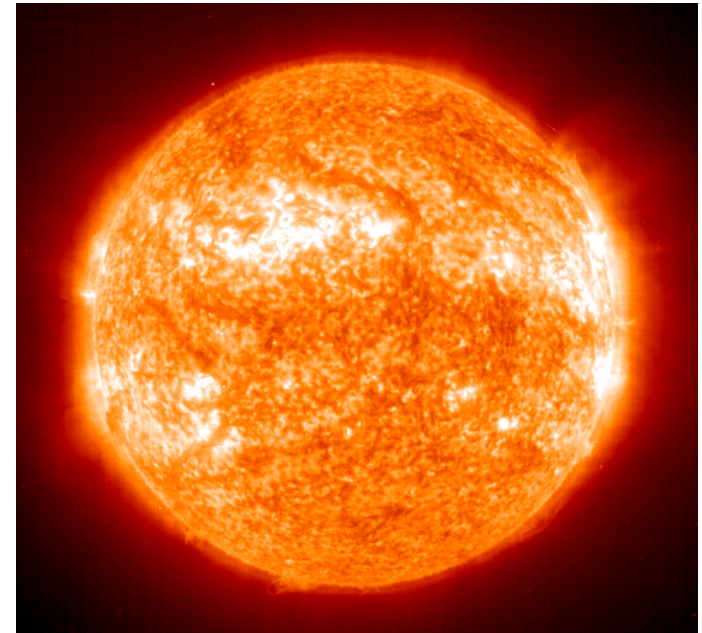
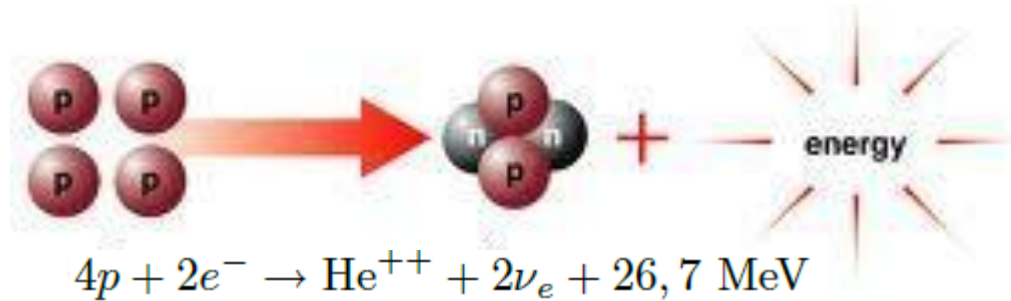
Thanks to T. Schwetz



# The solar neutrino saga

# Neutrinos from the Sun

- Hydrogen fusion in the Sun requires inverse beta decay:

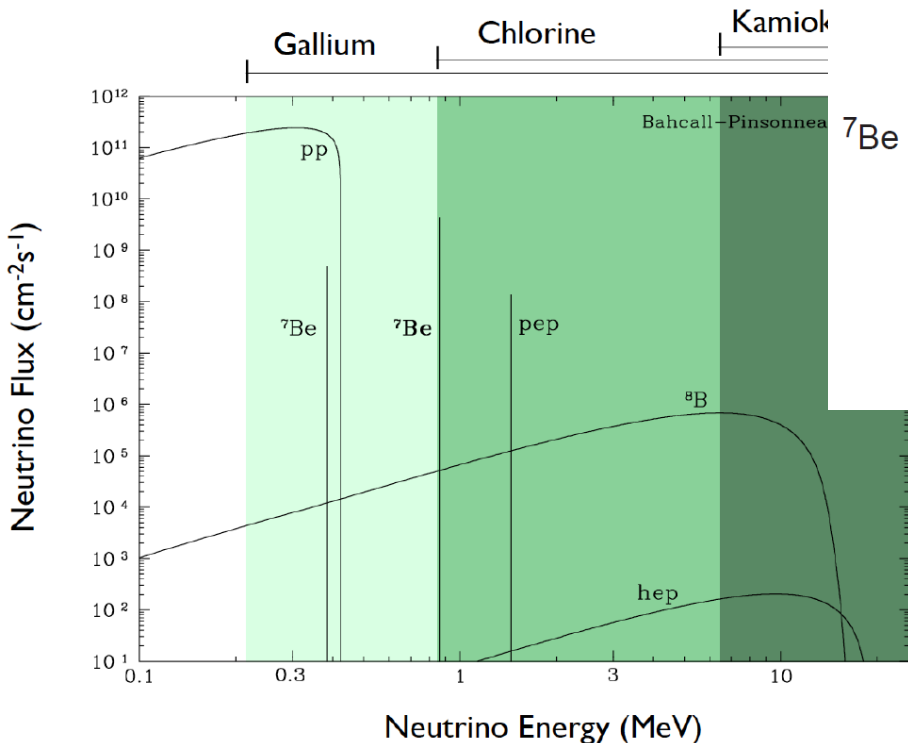
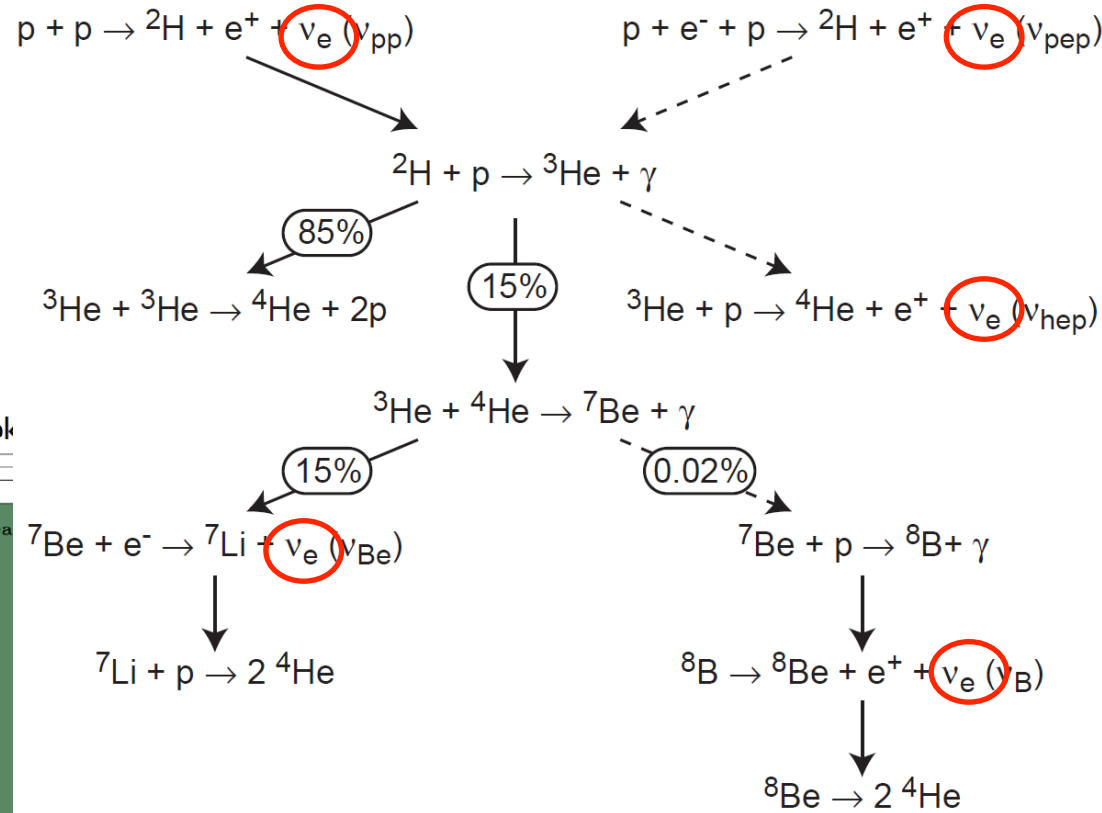


Solar constant =  $1361 \text{ J/s m}^2$

$$\phi_{\nu_e}^{\text{sun}} = 6.4 \times 10^{14} \text{ } \nu_e/\text{s m}^2$$

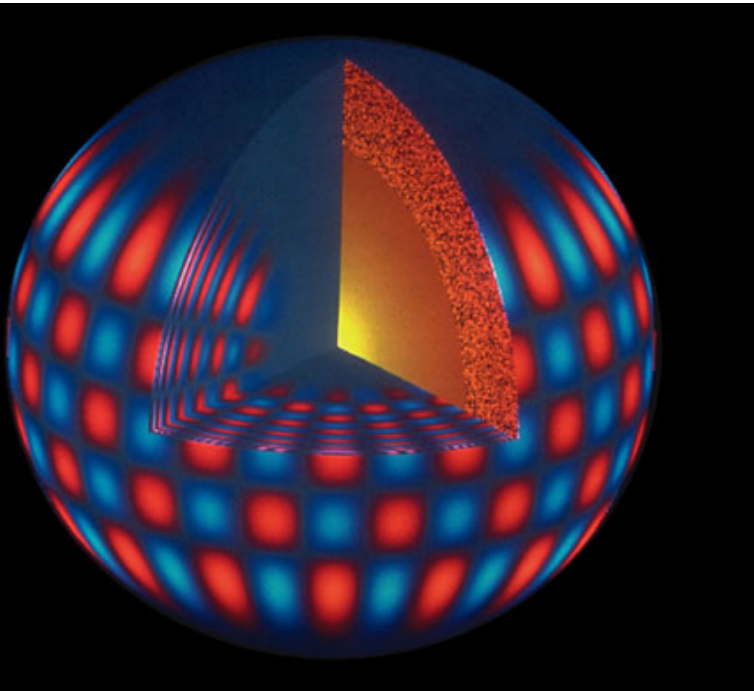
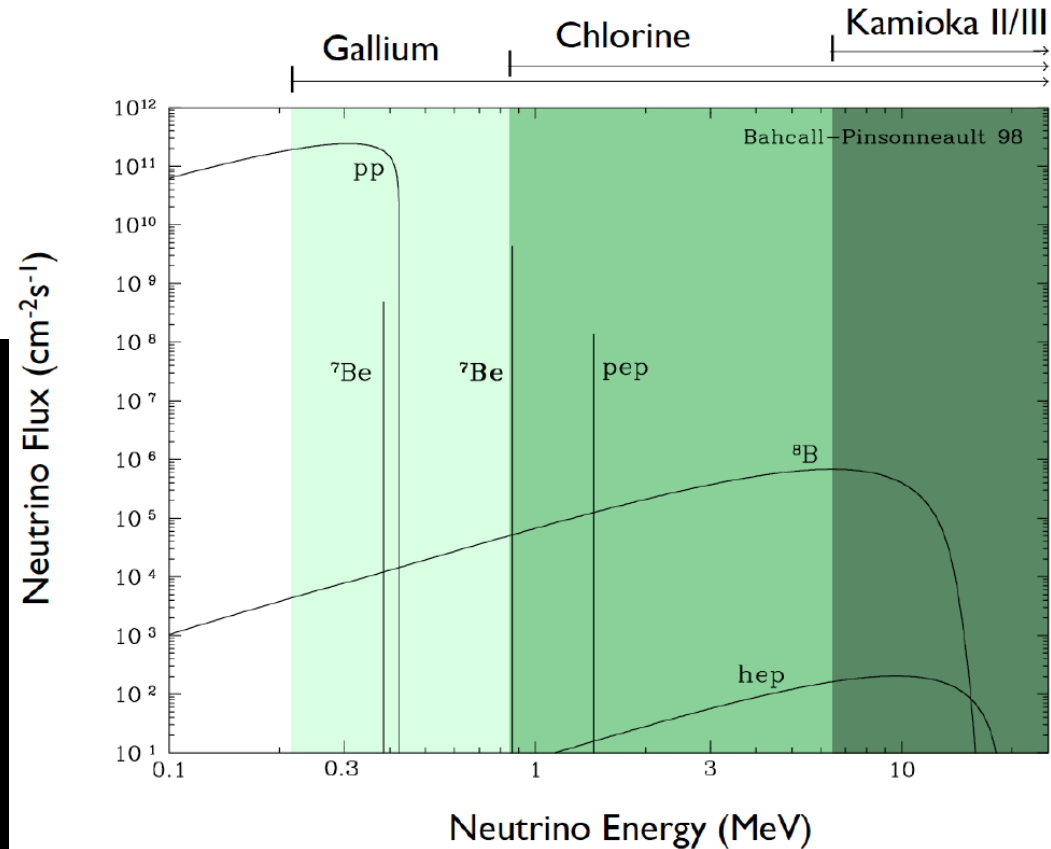
# Neutrinos from the Sun

- Neutrino flux from the Sun accurately predicted (Bahcall et al)



# Neutrinos from the Sun

- Neutrino flux from the Sun accurately predicted (Bahcall et al)
- Model in good agreement with results from helioseismology



# Homestake experiment

Late 1960s: Ray Davis set to test  $\nu_e$  flux predictions in underground mine (under 1500m of rock)

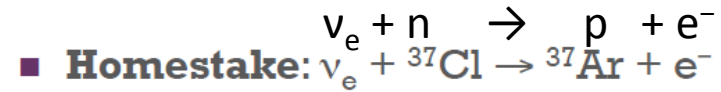
Experiment run for 30 years (till 1994):

observed  $2.56 \pm 0.23$  SNU

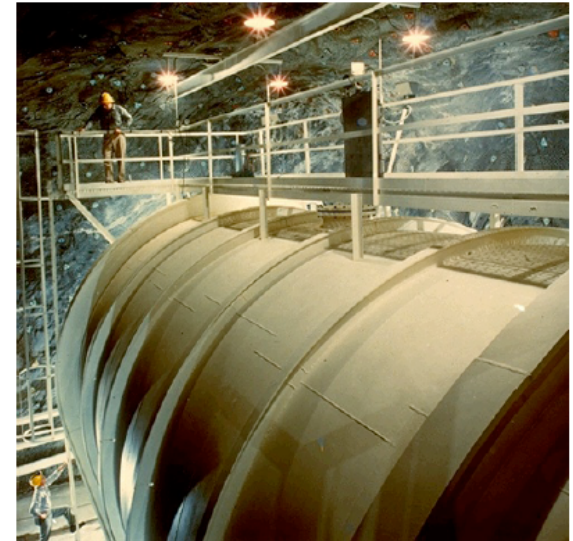
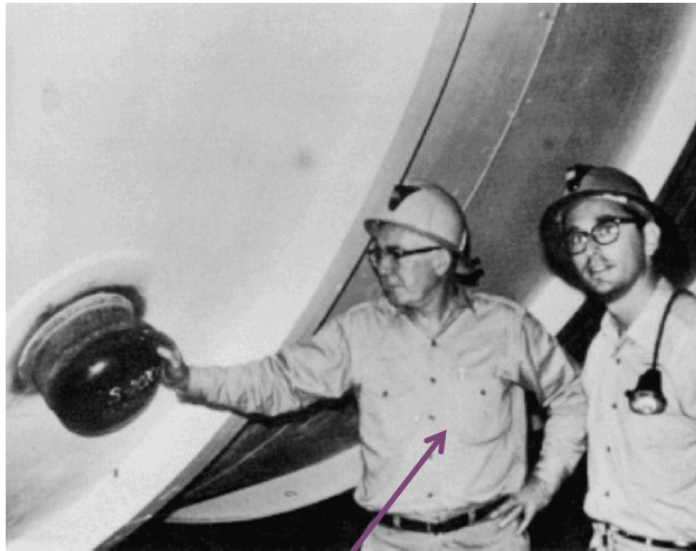
expected  $8.2 \pm 1.8$  SNU

} ~30%

1 Solar Neutrino Unit =  $10^{-36}$  interactions/s atom

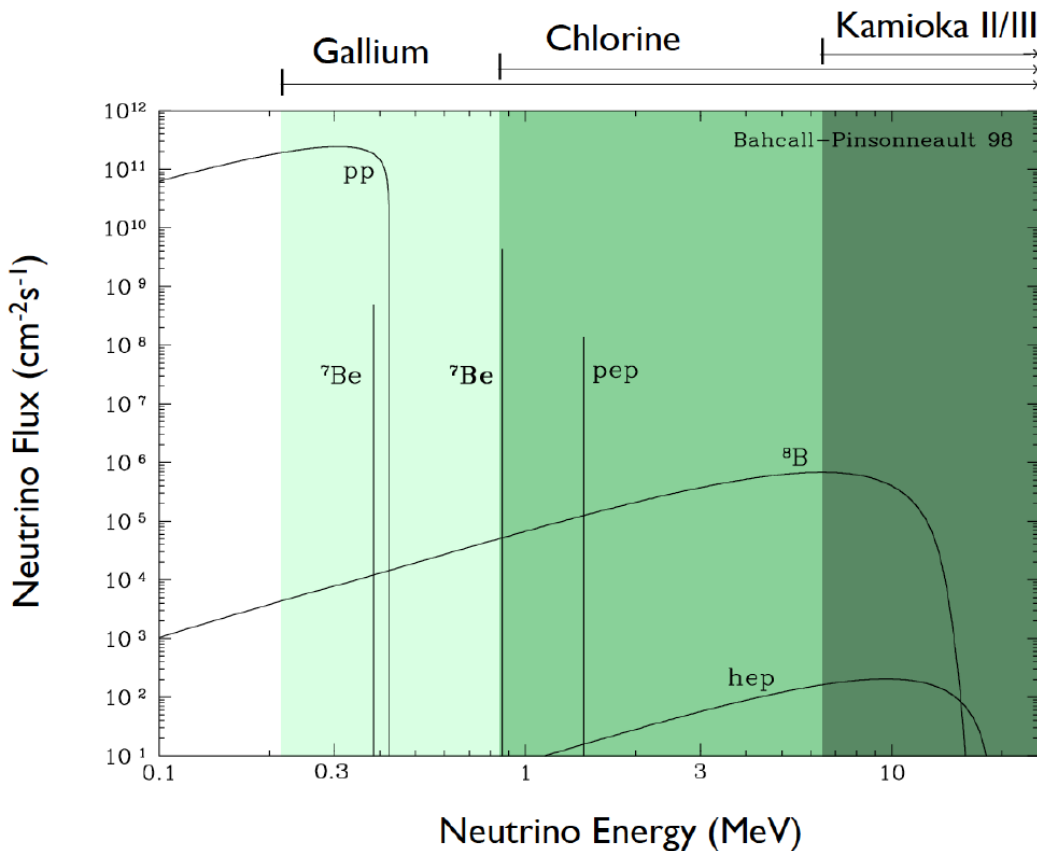


- Located in Lead, SD
- 615 tons of  $\text{C}_2\text{Cl}_4$  (Cleaning fluid)
- Extraction method:
  - Pump in He that displaces Ar
  - Collect Ar in charcoal traps
  - Count Ar using radioactive decay
- Never Calibrated with source

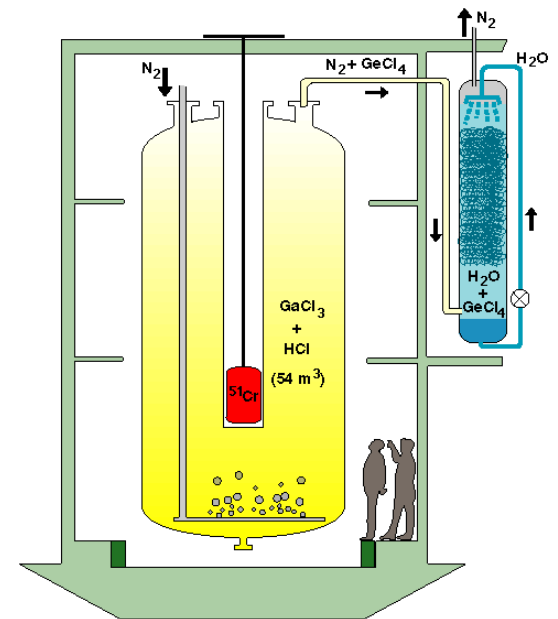


# Problems?

- Problems with experiment? With  $\nu_e$  flux predictions?
- Test other parts of the  $\nu_e$  spectrum with different experimental techniques

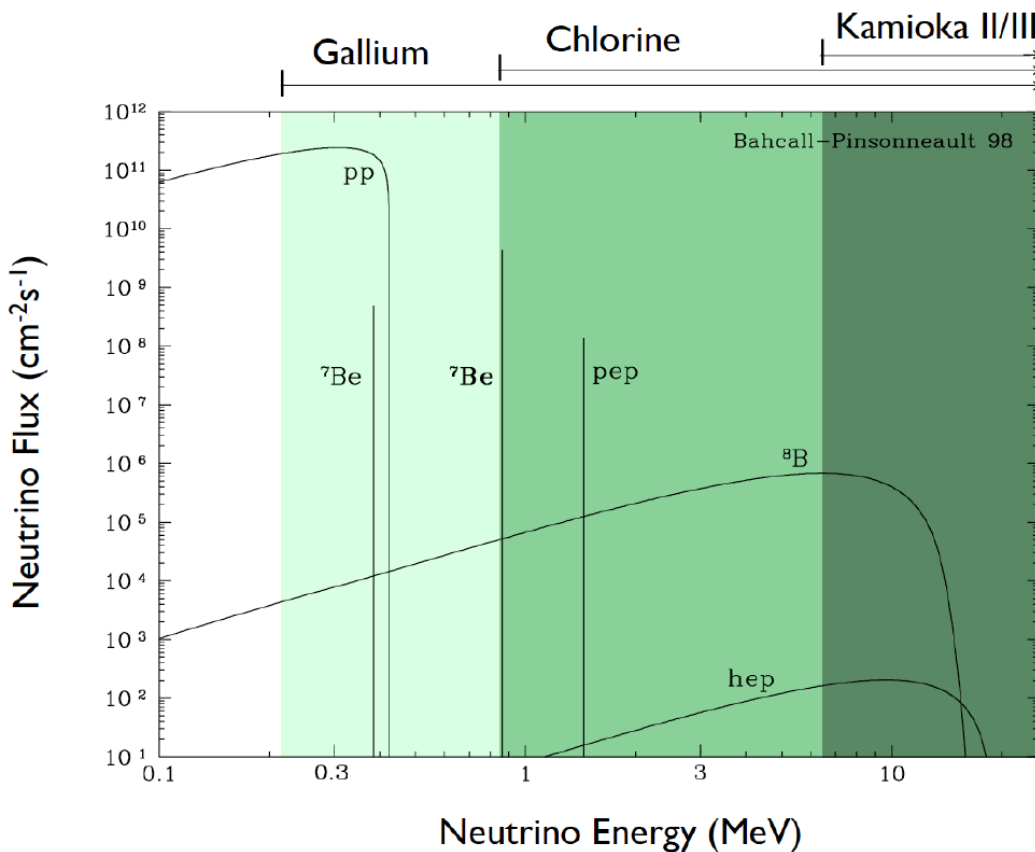


Gallex:  $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$   
**Observed  $68.1 \pm 3.75$  SNU**  
**Expected  $127 \pm 12$  SNU** }  $\sim 50\%$

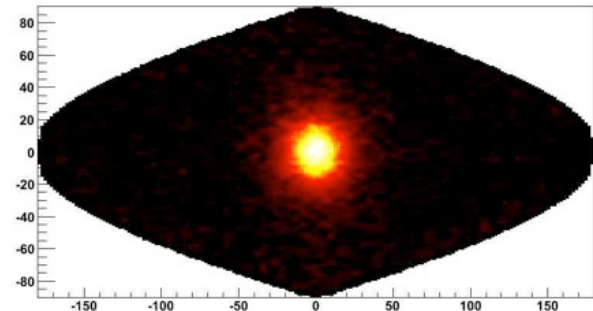
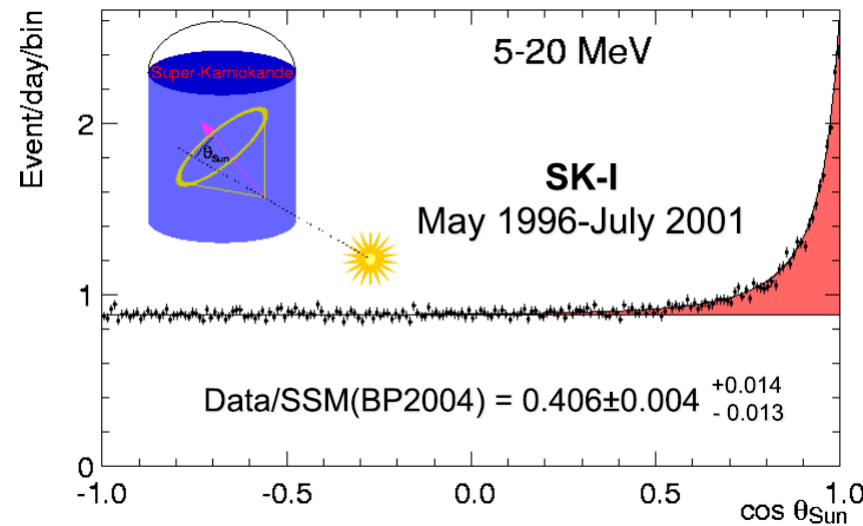


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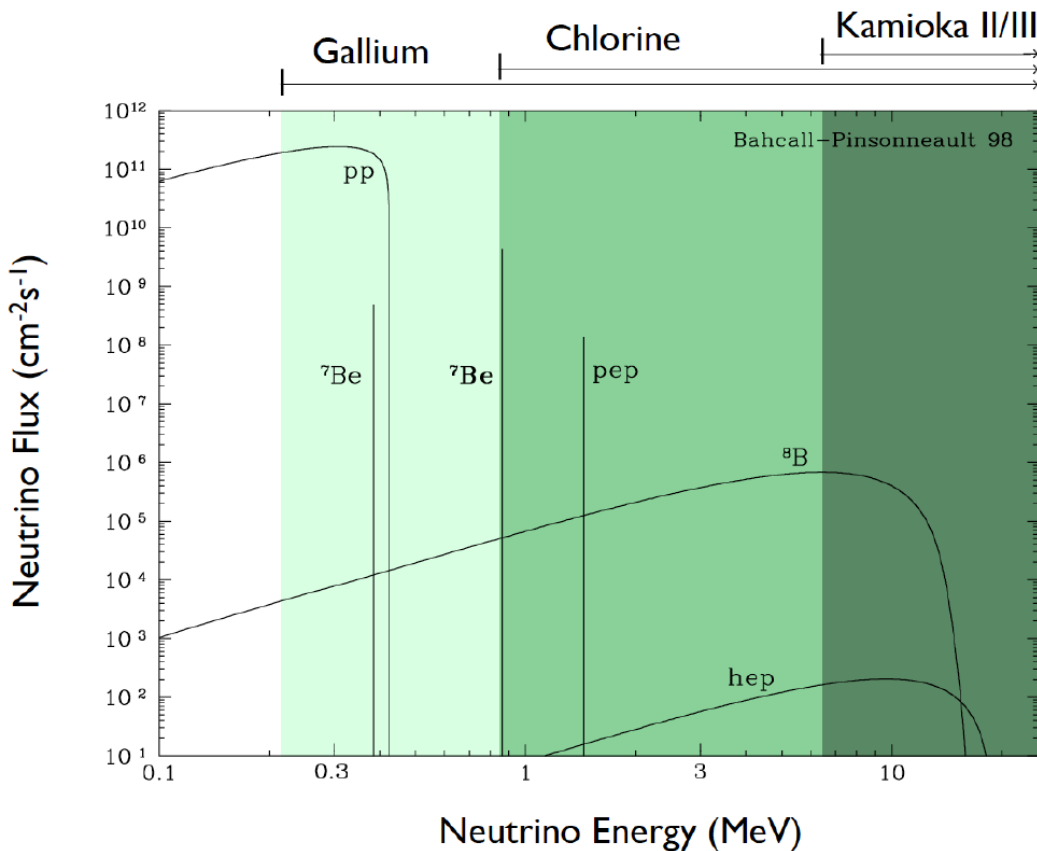


KamiokaNDE:  $\nu_e + e^- \rightarrow \nu_e + e^-$   
**Observed  $\sim 40\%$  of expectation**



# Problems?

- Problems with experiment? With  $\nu_e$  flux predictions?
- Test other parts of the  $\nu_e$  spectrum with different experimental techniques



Experiment type	Observed/Expected
Chlorine	~30%
Gallium	~60%
KamiokaNDE	~40%

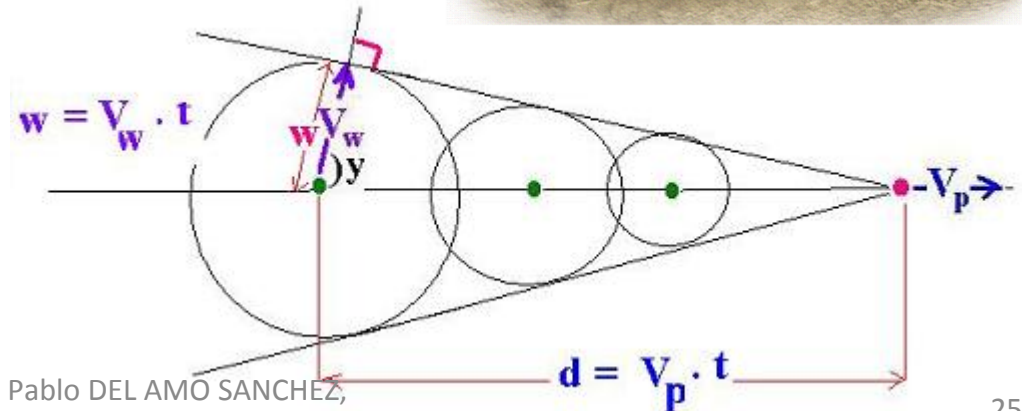
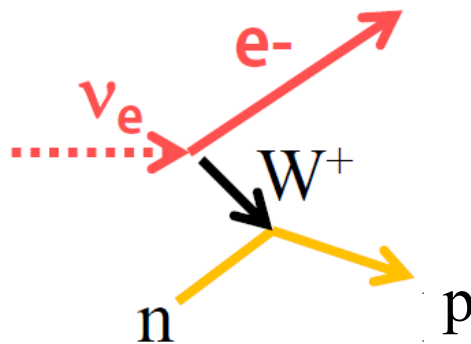
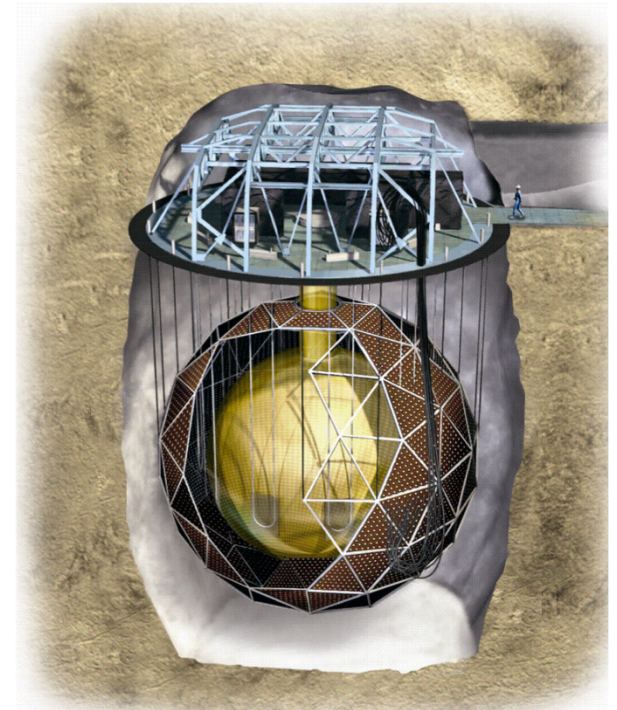
Perhaps neutrinos are oscillating after all, as suggested by Pontecorvo et al?  
 These experiments only sensitive to  $\nu_e$   
 try and detect  $\nu_\mu$  and  $\nu_\tau$  too! → SNO



# Sudbury Neutrino Observatory (SNO)

- 2000 m deep (Sudbury, Ontario)
- Cosmics veto
- 1000 tons of Heavy water ( $D_2O$ ), shielded by 7000 tons light water ( $H_2O$ ) seen by 9500 photomultiplier tubes (PMTs)
- So-called **Water Cerenkov detector**

Particles faster than speed of light in medium radiate light (e.g. blueish light in nuclear reactors)

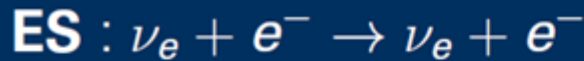


# SNO

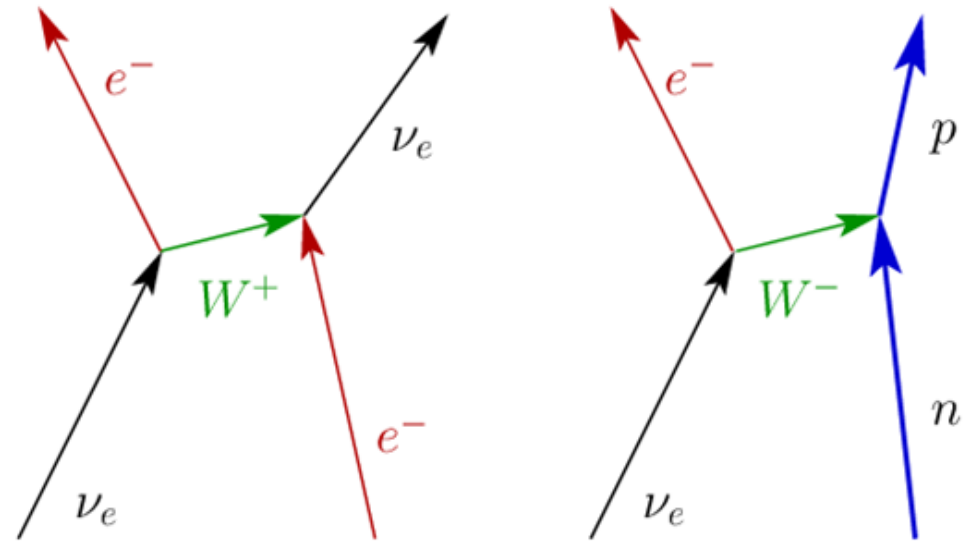
- SNO measures well  $\nu_e$  flux:



- Good measurement of the  $\nu_e$  spectrum.
- Some directional information.
- Only sensitive to  $\nu_e$ .



- Strong directional sensitivity.
- Low statistics.

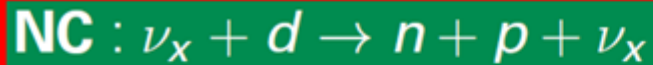


Charged current

- Cannot see  $\nu_\mu$  /  $\nu_\tau$  flux in this way: neutrinos from Sun not energetic enough to produce heavy  $\mu$  or  $\tau$  particles in interactions

# SNO

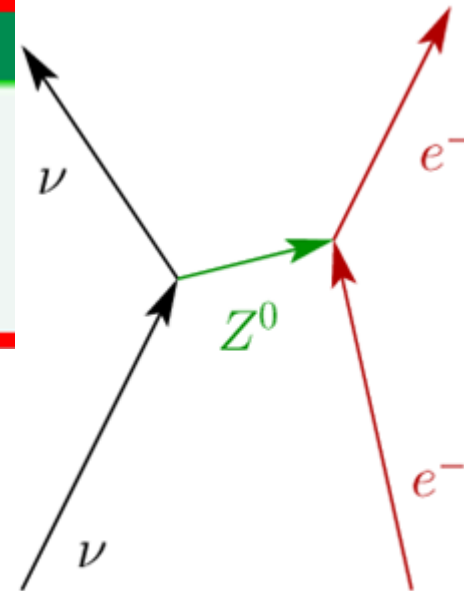
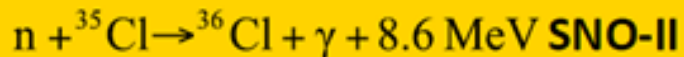
- But it measures the total  $\nu_e + \nu_\mu + \nu_\tau$  flux by means of Neutral Current interactions!



- Measures total  $^8\text{B}$  flux from the Sun.
- Equal cross-section to all (active) neutrino flavours.

Signature event of SNO

### 3 neutron detection methods:



Neutral current



# Solar neutrinos oscillate!

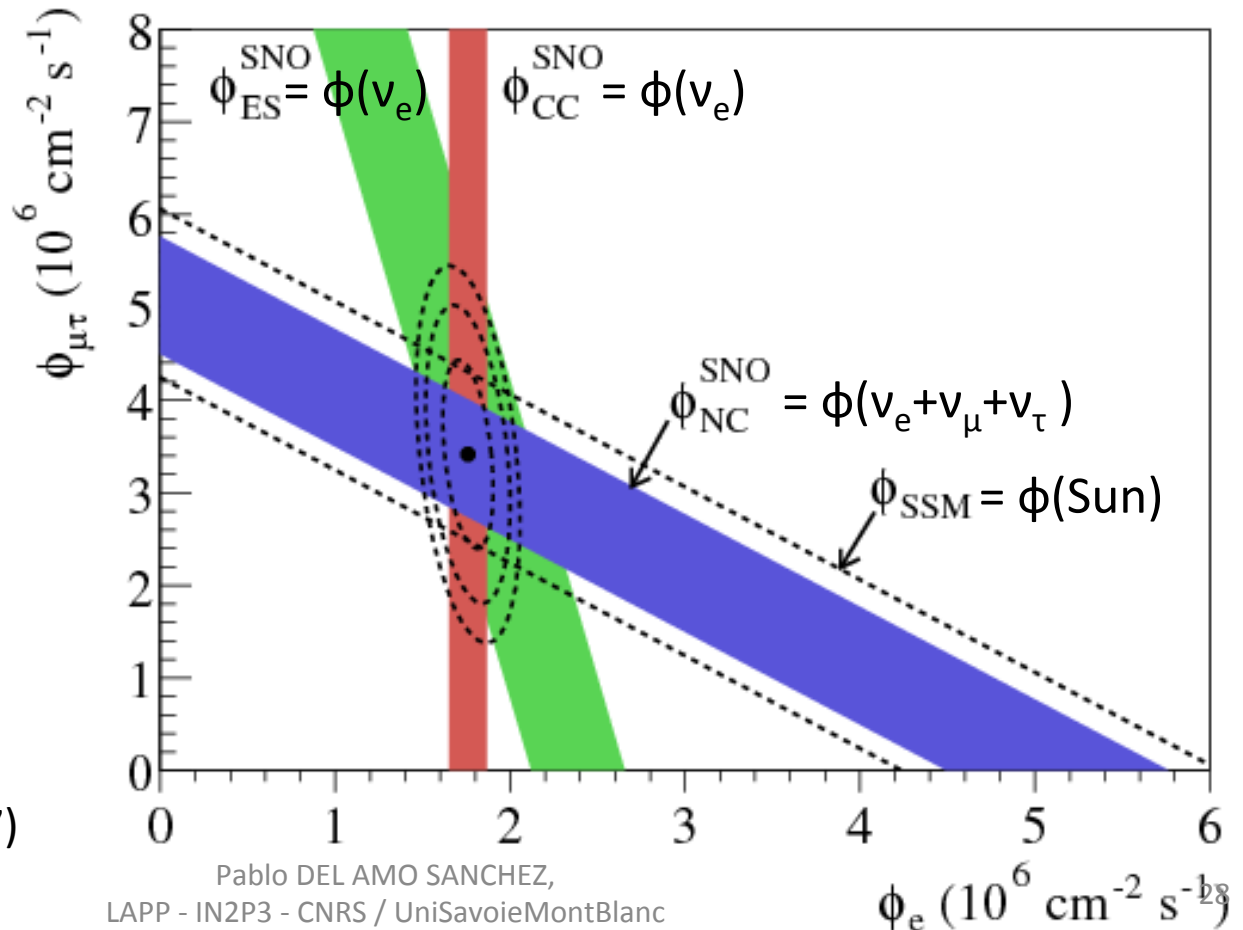
Less  $\nu_e$  than predicted but total  $\nu_e + \nu_\mu + \nu_\tau$  correct!



Бруно Понтекорво

Bruno Pontecorvo (1957)

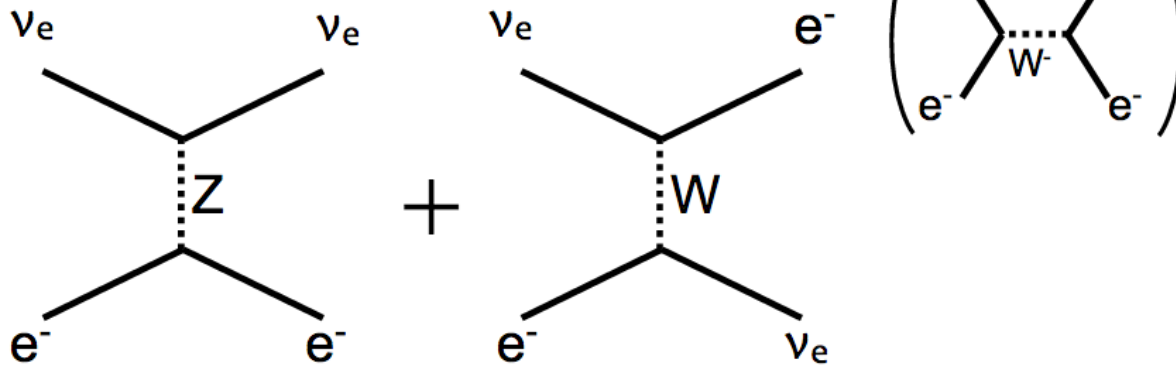
25/07/2018 GraSPA



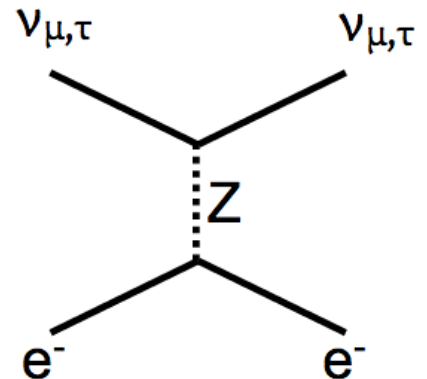
# Matter effects are important!

- High electron density in Sun  $\rightarrow$  matter effects!
- $\nu_e$  get heavier,  $\nu_\mu$  &  $\nu_\tau$  unaffected.

electron neutrinos

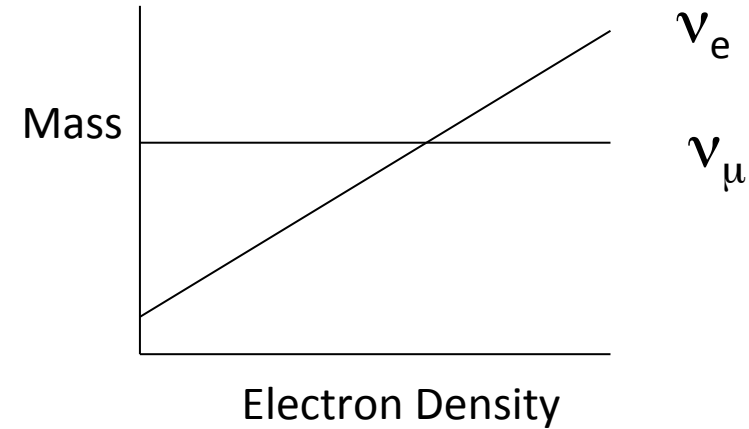


muon, tau neutrinos

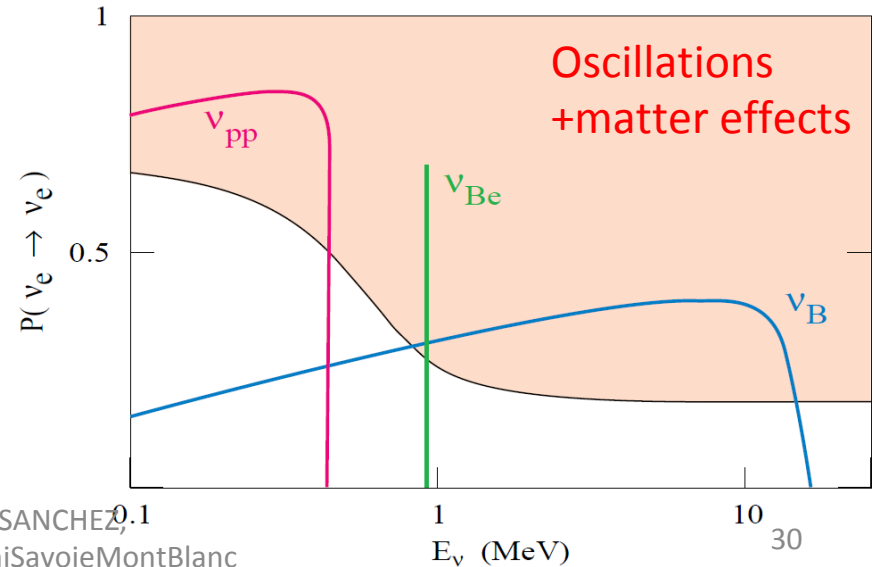
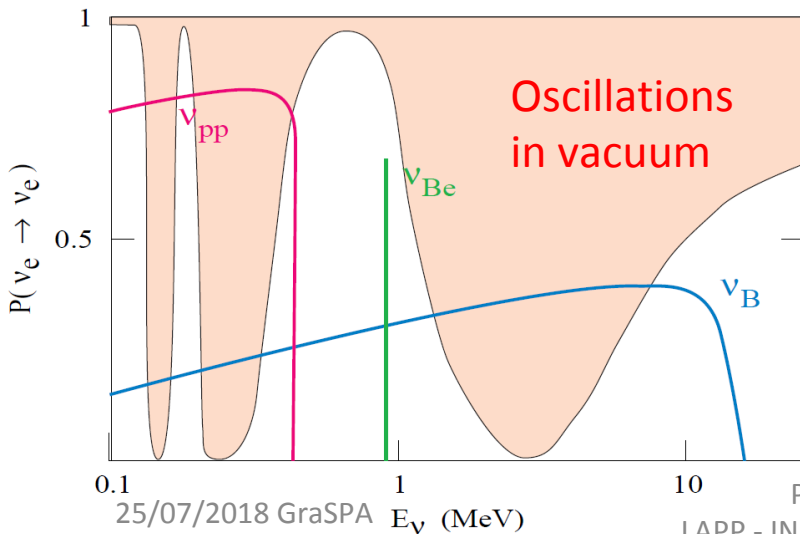


# Matter effects are important!

- High electron density in Sun  $\rightarrow$  matter effects!
- $\nu_e$  get heavier,  $\nu_\mu$  &  $\nu_\tau$  unaffected.  
Resonance effects may enhance oscillation



$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L [km]}{E [GeV]}\right)$$



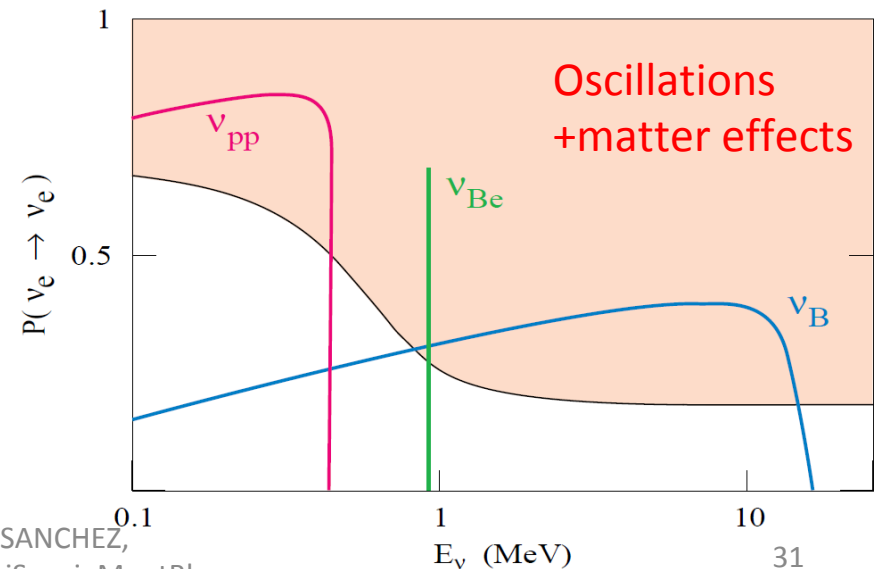
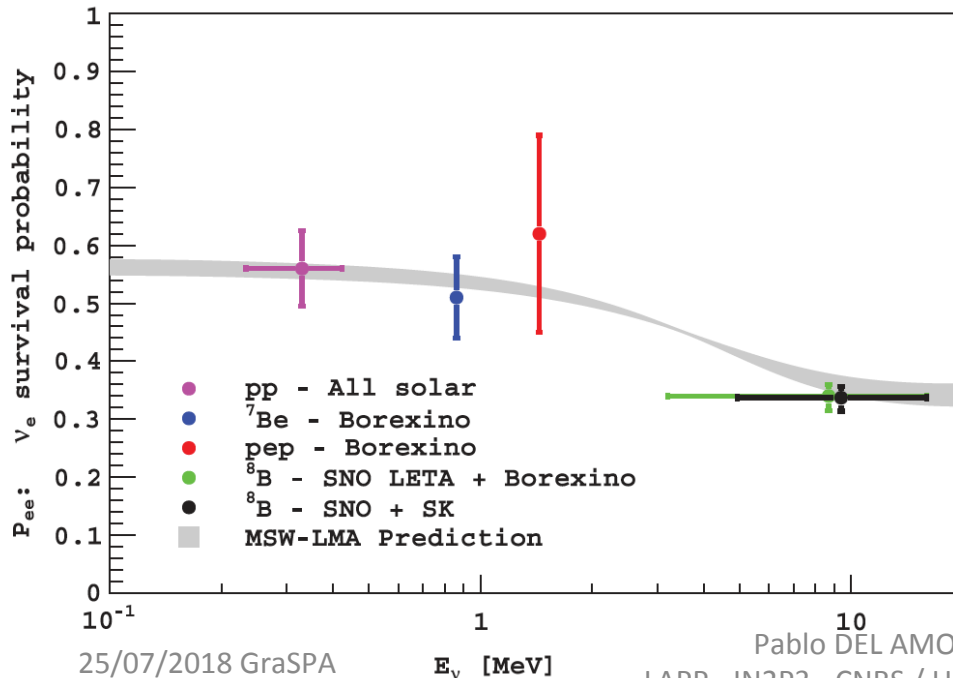
# Matter effects are important!

- Found oscillation parameters for solar neutrinos:

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L [km]}{E [GeV]}\right)$$

$$\sin^2(2\theta) = 0.857 \pm 0.024$$

$$\Delta m^2 = (7.5 \pm 0.20) \times 10^{-5} \text{eV}^2$$



# The trilogy: reactor neutrino experiments



# Reactor neutrinos

- Nuclear reactors, source of abundant antineutrinos!  $\bar{\nu}_e$

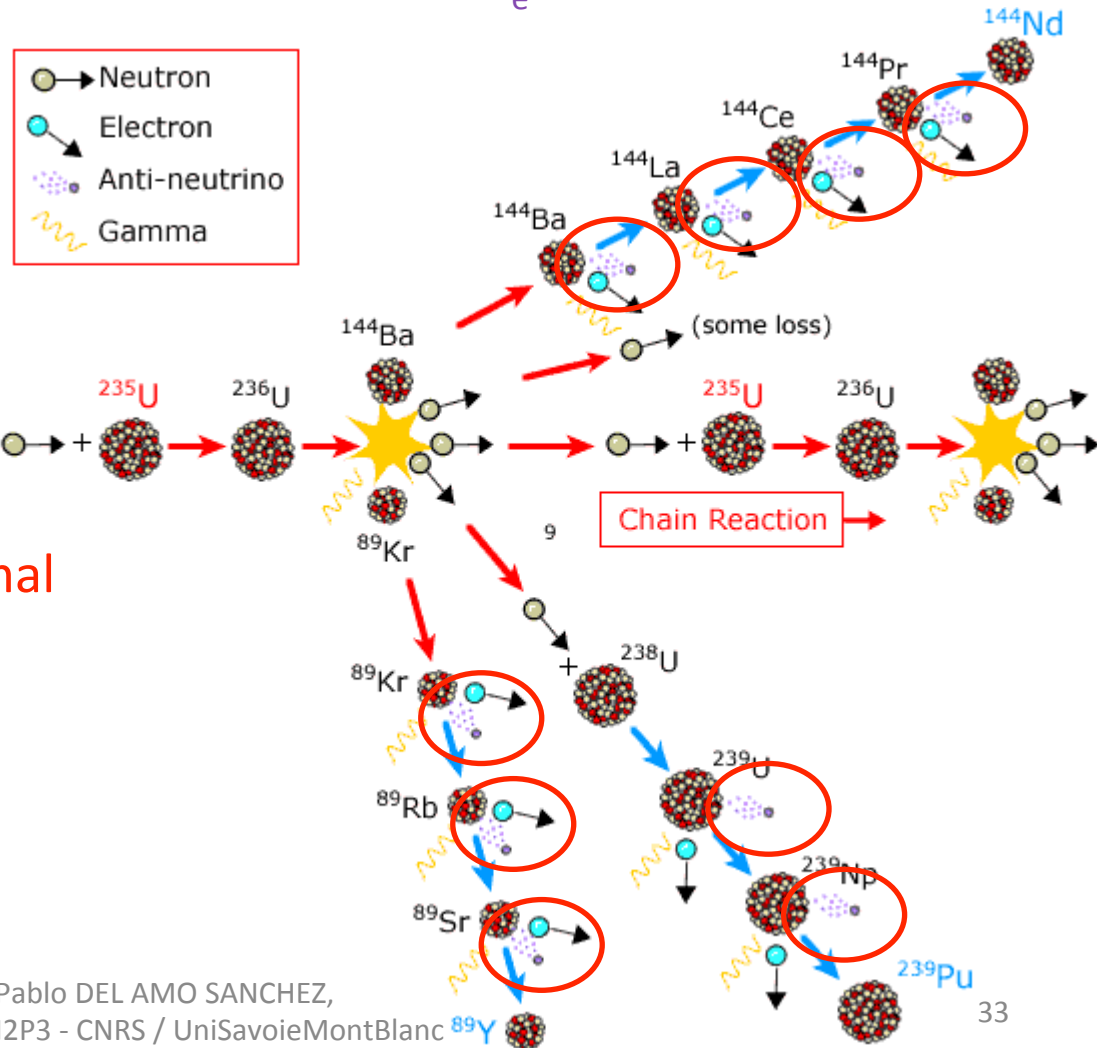
Fission products are neutron rich

Too many neutrons to be stable

→ plenty of beta decays!

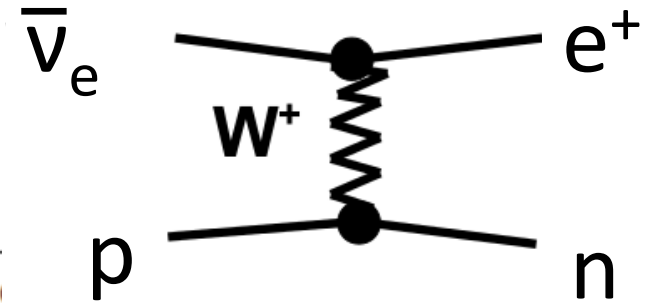
- $\sim 6 \bar{\nu}_e$ /fission
- $\sim 200$  MeV/fission

$$2 \times 10^{20} \bar{\nu}_e / \text{GW}_{\text{thermal}}$$



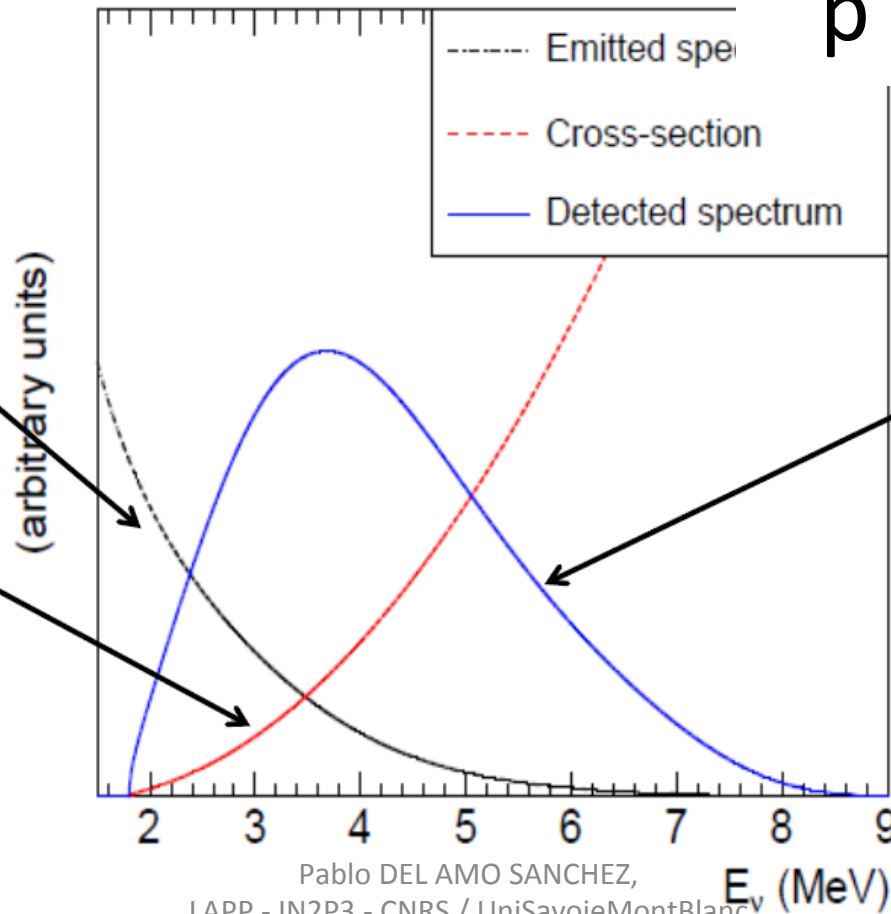
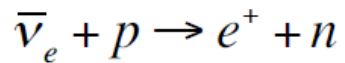
# Liquid scintillator detectors

- Detect reactor  $\bar{\nu}_e$  through inverse beta decay



Exponential decrease of emitted spectrum

$\beta$ -inverse detection process



Detected Spectrum

Relevant E range [1.8 – 8] MeV

# Liquid scintillator detectors

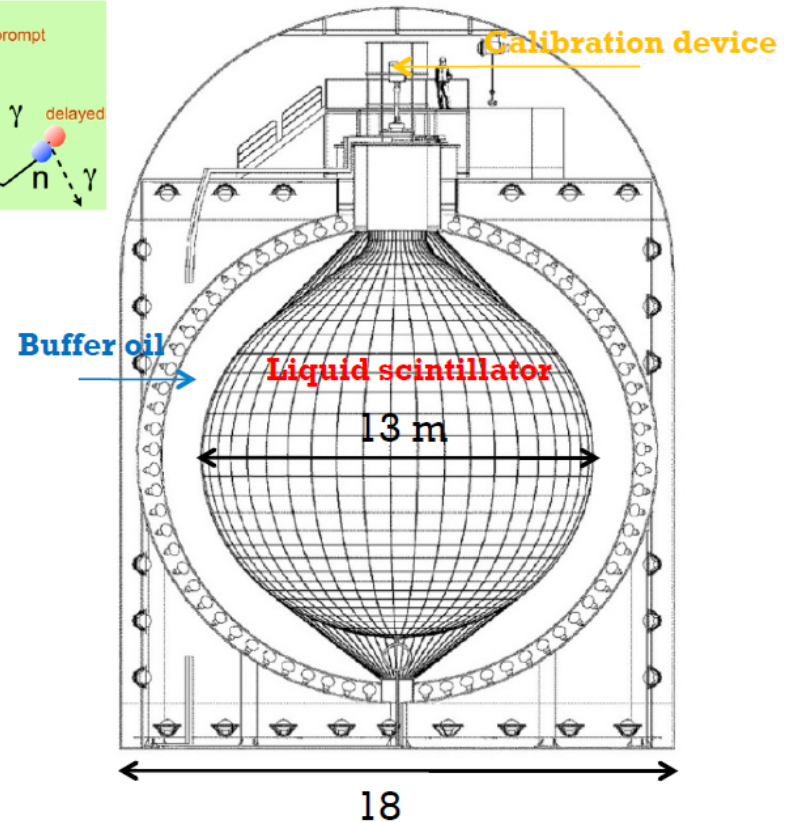
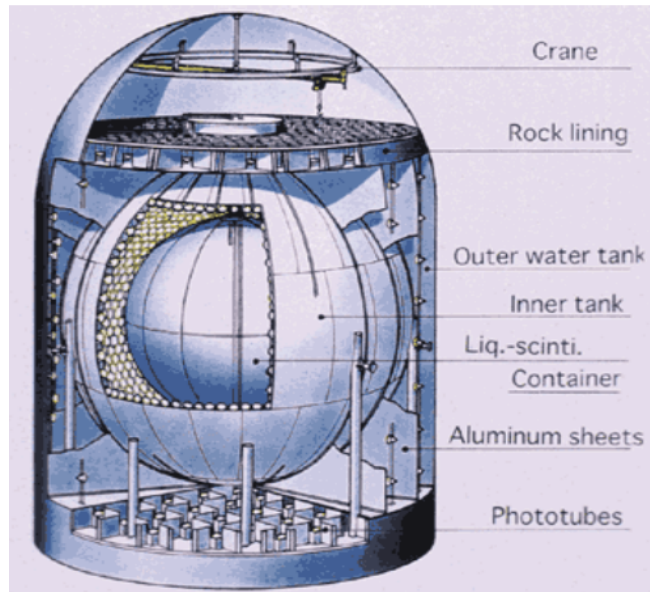
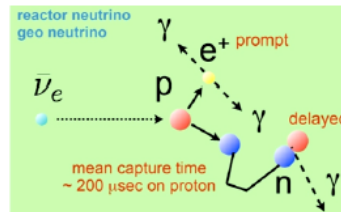
- KamLAND: Kamioka Liquid scintillator AntiNeutrino Detector

- 1000 ton liquid scintillator:

- Spherical plastic balloon

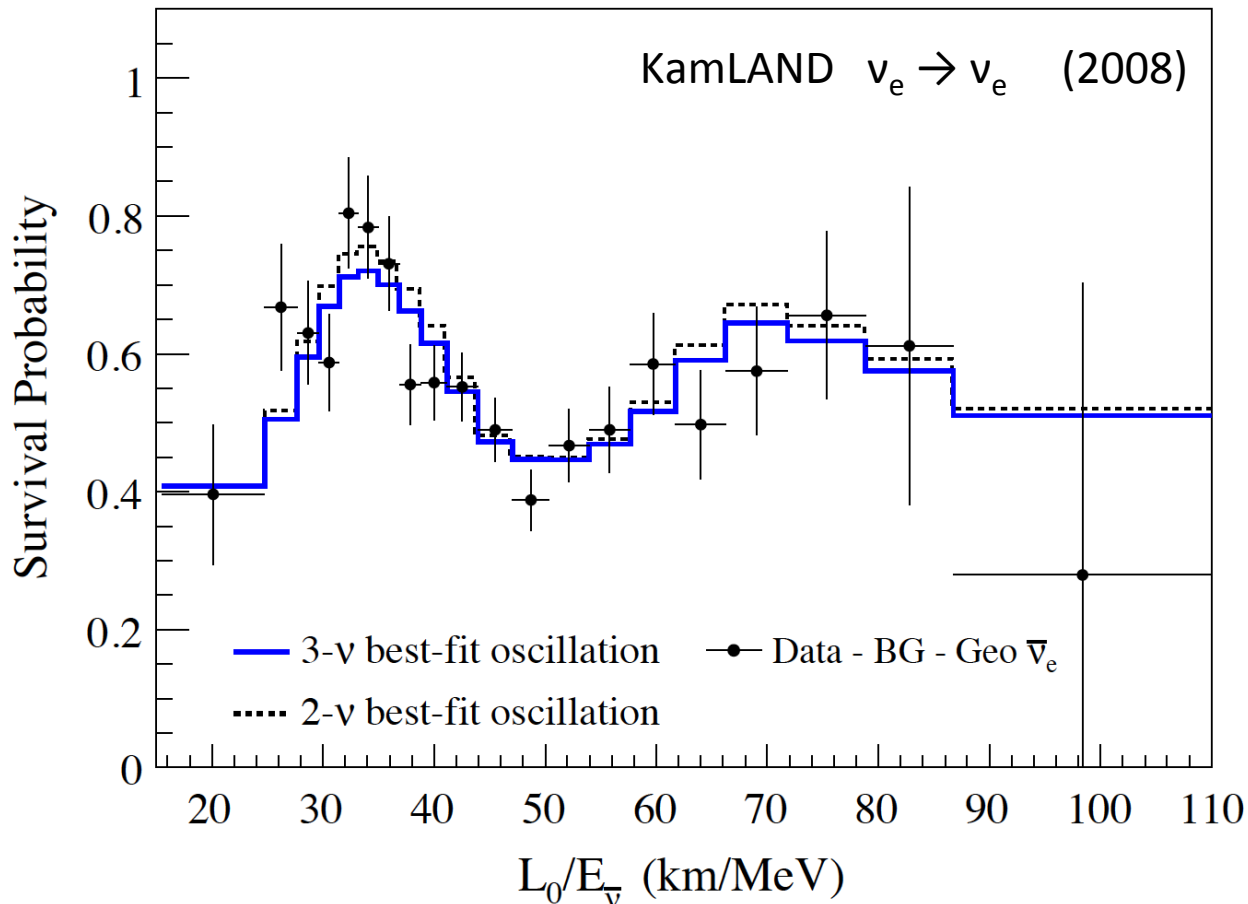
- 1325 17" + 554 20" PMTs

- Inverse  $\beta$  decay detection



# Reactor neutrinos oscillate!

- Confirm solar neutrino oscillations



# What have we learnt so far?

- Neutrinos oscillate!

$\nu_e, \nu_\mu, \nu_\tau$  different from  $\nu_1, \nu_2, \nu_3$

- Two different oscillation frequencies:

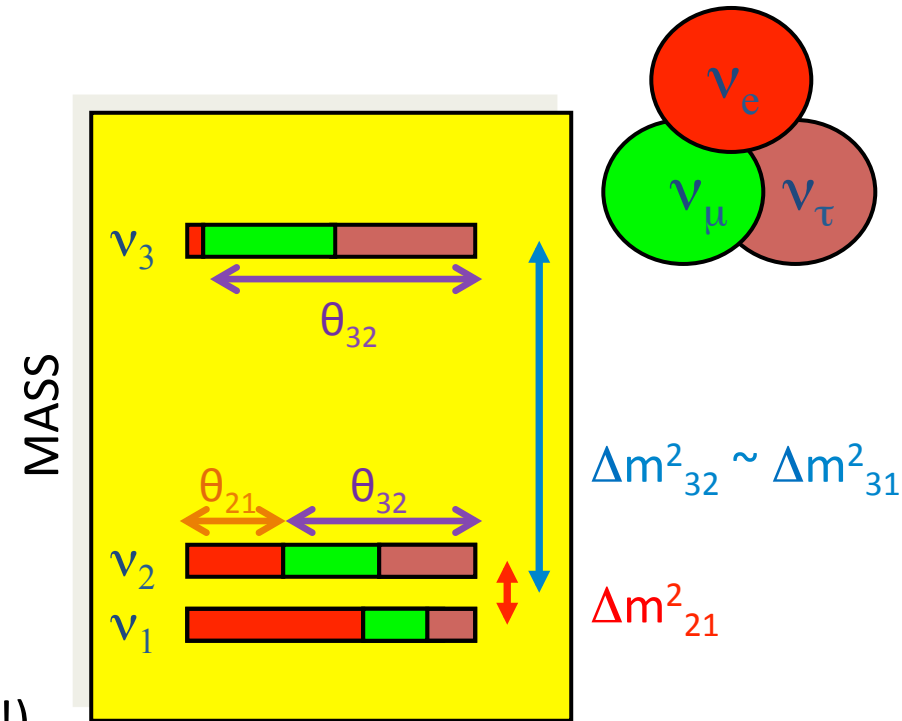
fast: atmospheric,  $\Delta m^2_{32} \sim \Delta m^2_{31}$

slow: solar,  $\Delta m^2_{21}$  atm  $\sim 20 \times$  solar

- Neutrinos mix a lot! (Mixing angles large!)

atmospheric, maximal  $\theta_{32} = 45^\circ \pm 6^\circ$

solar, large  $\theta_{21} = 34^\circ \pm 1^\circ$



Convention:  $\nu_1$  is state with most  $\nu_e$

# What have we learnt so far?

- Neutrinos oscillate!

$\nu_e, \nu_\mu, \nu_\tau$  different from  $\nu_1, \nu_2, \nu_3$

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fast: atmospheric,  $\Delta m^2_{32} \sim \Delta m^2_{31}$

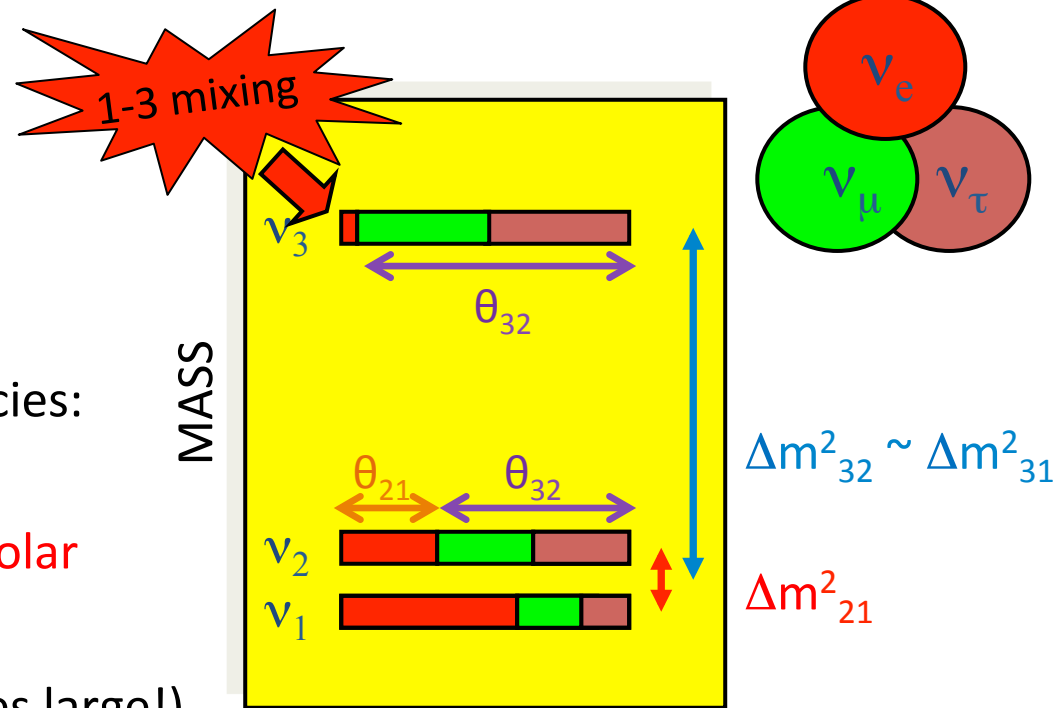
slow: solar,  $\Delta m^2_{21}$  atm  $\sim 20 \times$  solar

- Neutrinos mix a lot! (Mixing angles large!)

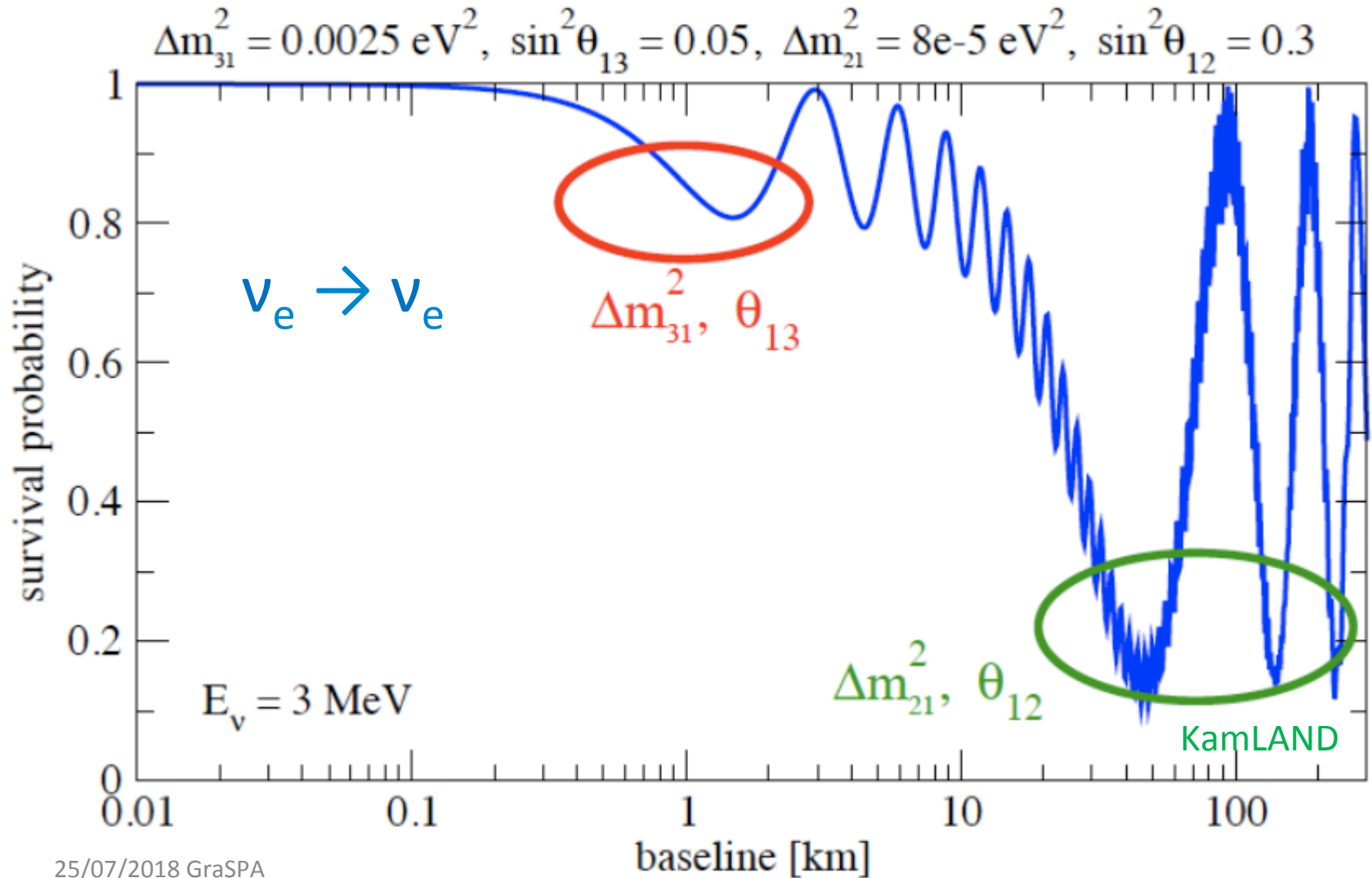
atmospheric, maximal  $\theta_{32} = 45^\circ \pm 6^\circ$

solar, large  $\theta_{21} = 34^\circ \pm 1^\circ$

- What is the amount of  $\nu_e$  in  $\nu_3$  ( $\theta_{13}$ )?



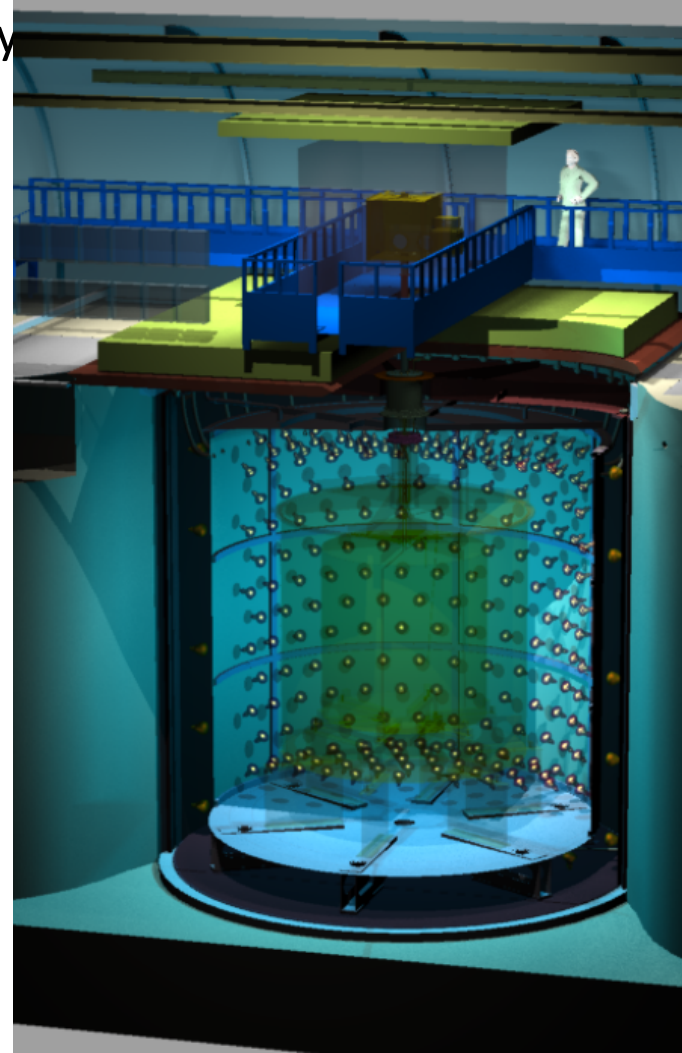
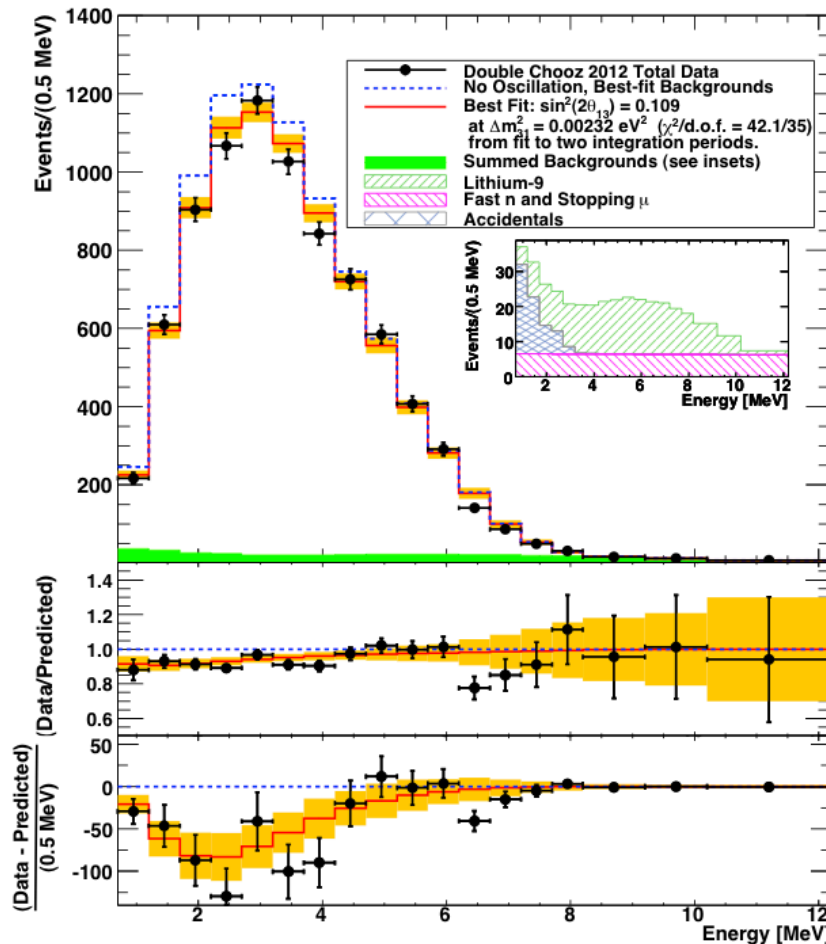
# Amount of $\nu_e$ in faster oscillations ( $\theta_{13}$ )



# Amount of $\nu_e$ in fast oscillations ( $\theta_{13}$ )

Oscillation probability depends on energy  $\rightarrow$  search for energy-dependent depletion

- Double Chooz: liquid scintillator detector, 1 km away from reactors

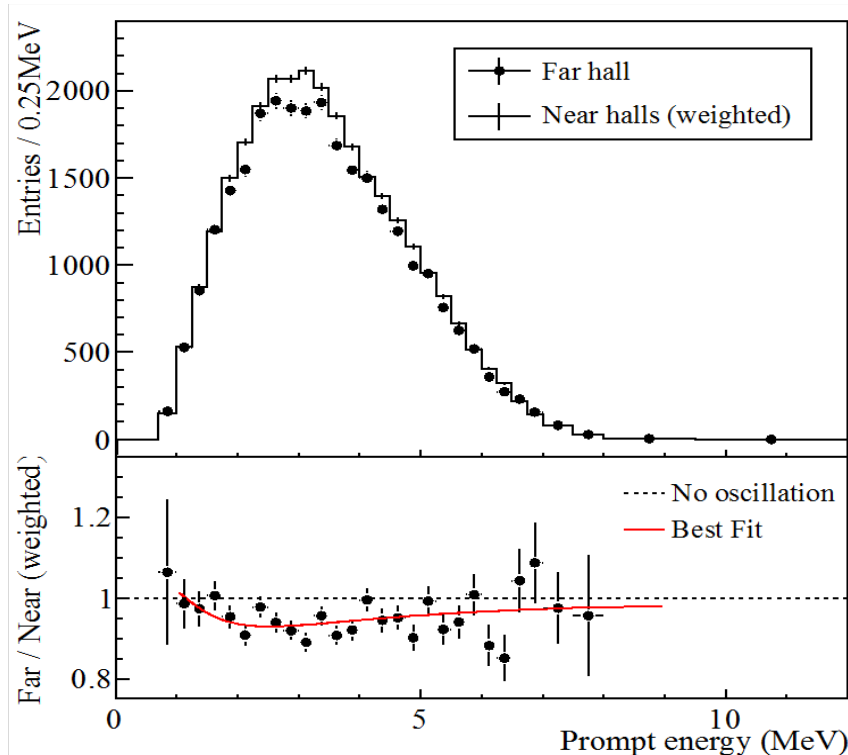




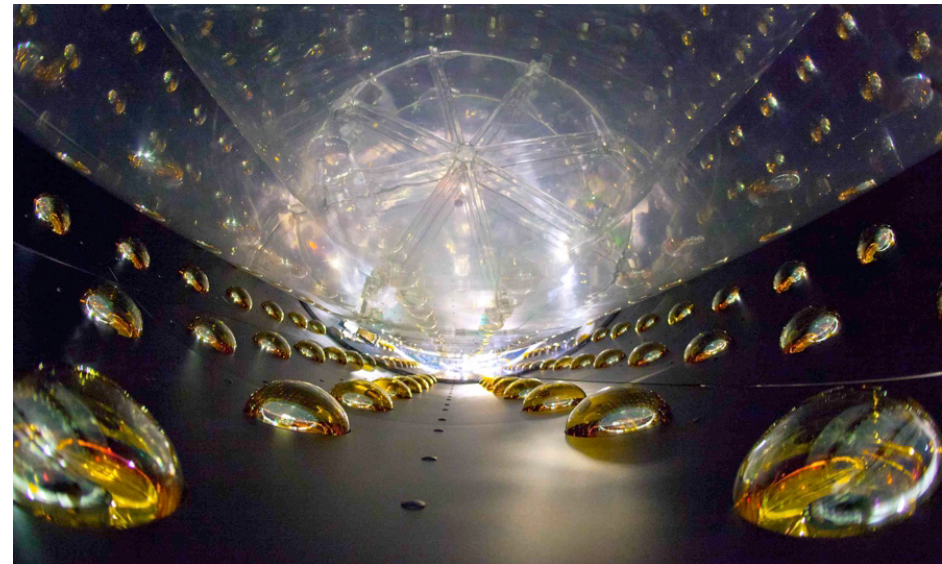
# Amount of $\nu_e$ in fast oscillations ( $\theta_{13}$ )

Oscillation probability depends on energy  $\rightarrow$  search for energy-dependent depletion

- Daya Bay: very similar detector to Double Chooz and Reno, all 1-2 km away from reactors



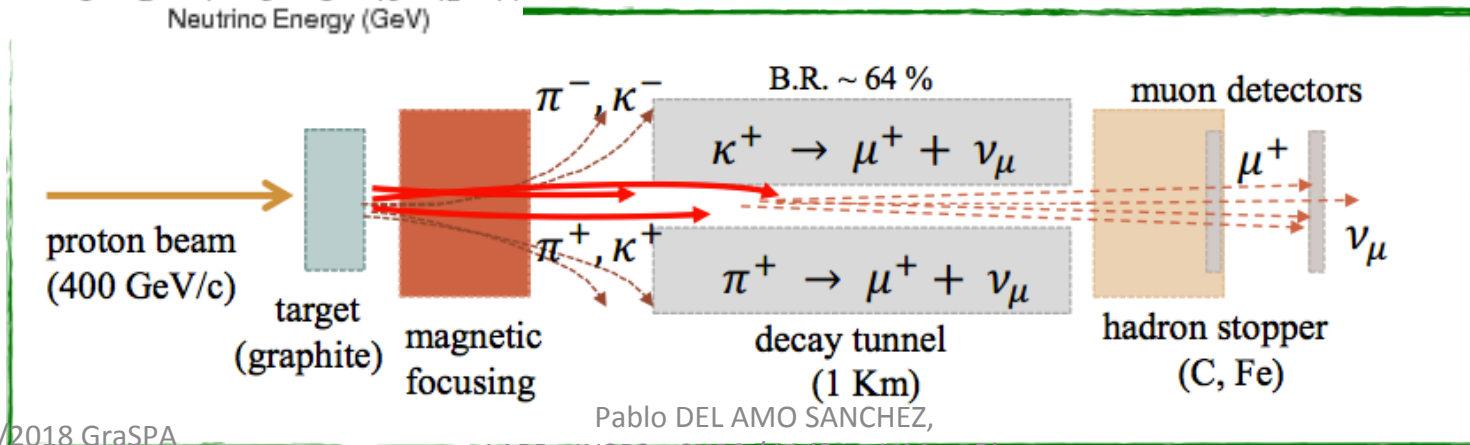
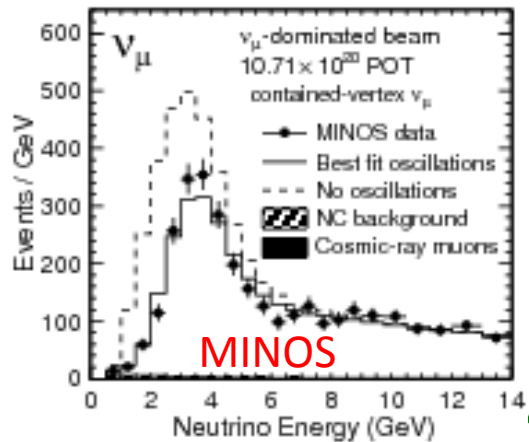
$$\sin^2(2\theta_{13}) = 0.089 \pm 0.012$$
$$\theta_{13} = 9.1^\circ \pm 0.6^\circ \quad (\approx \theta_{\text{Cabbibo}}!)$$



# Fourth parts couldn't be better: accelerator neutrinos

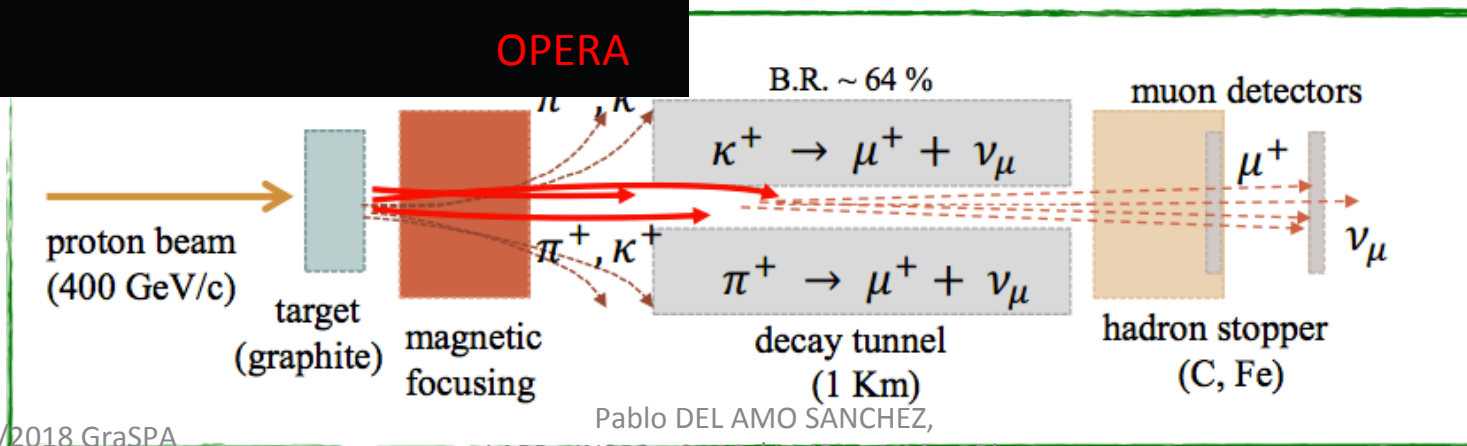
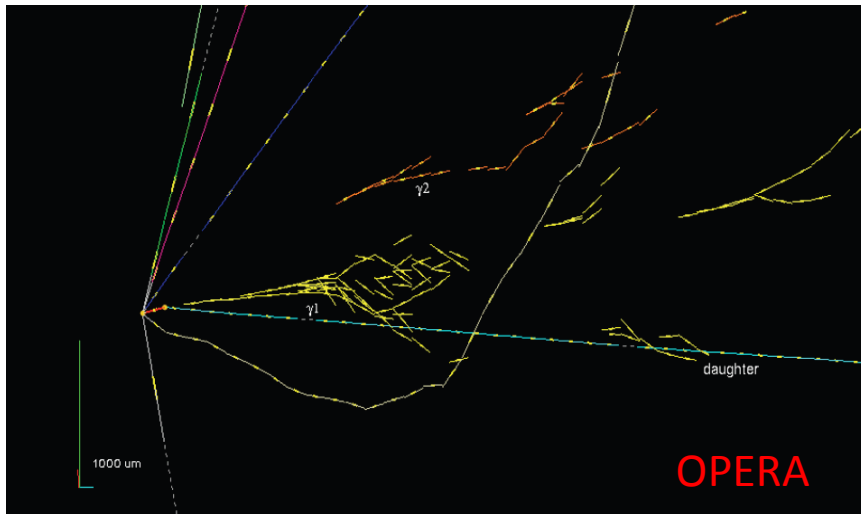
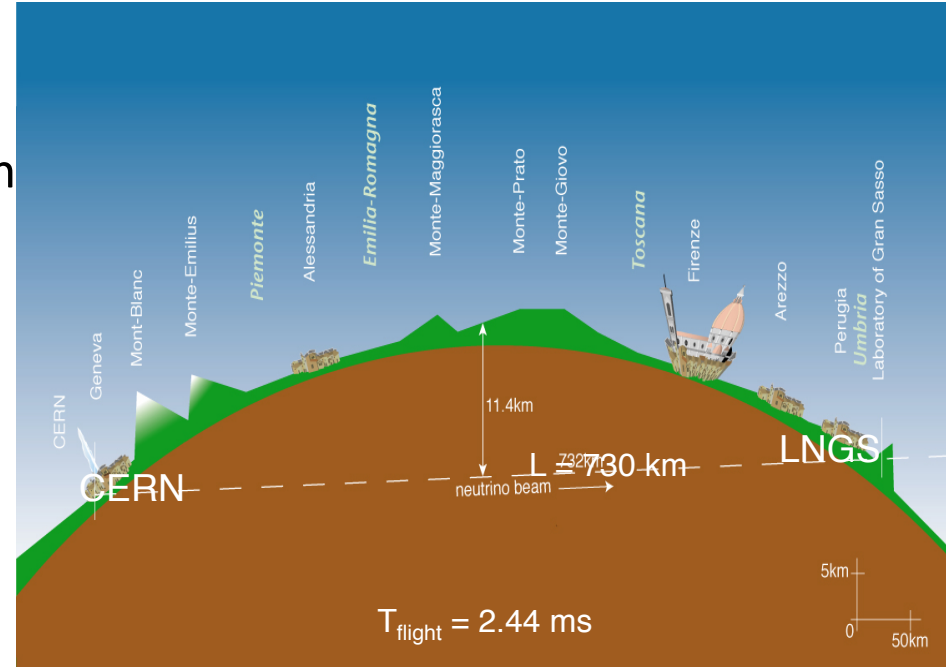
# Accelerator experiments

- Can also produce neutrino beams:
- Results in excellent agreement with other neutrino sources:



# $\nu_\mu \rightarrow \nu_\tau$ appearance

- Can also produce neutrino beams:
- Results in excellent agreement with other neutrino sources:



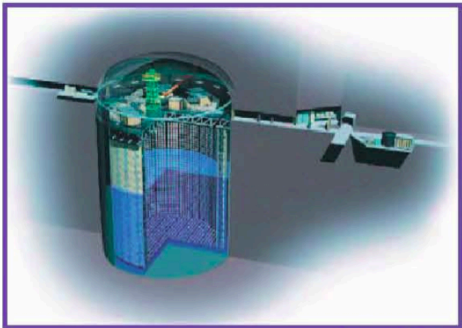
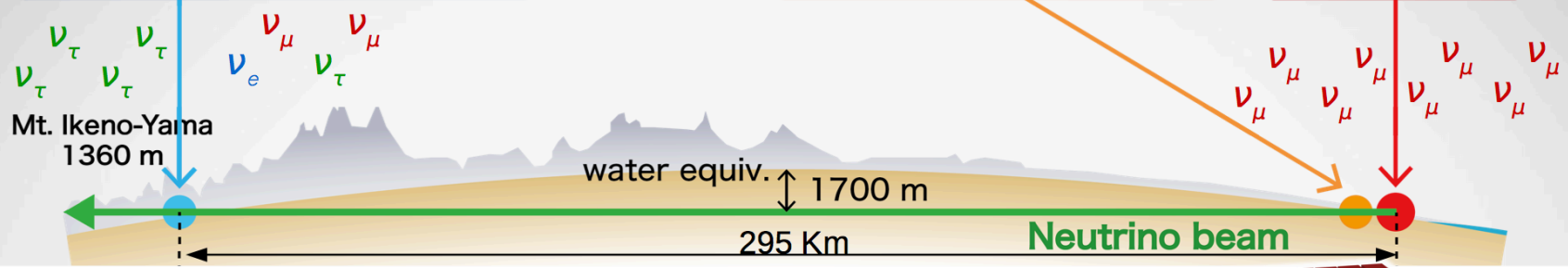
# Recent results: T2K

The T2K experiment

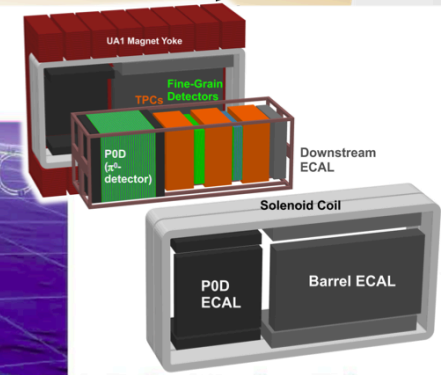
**Super Kamiokande**

**Near Detector**

**J-PARC**



**Super-Kamiokande**  
(ICRR, Univ. Tokyo)

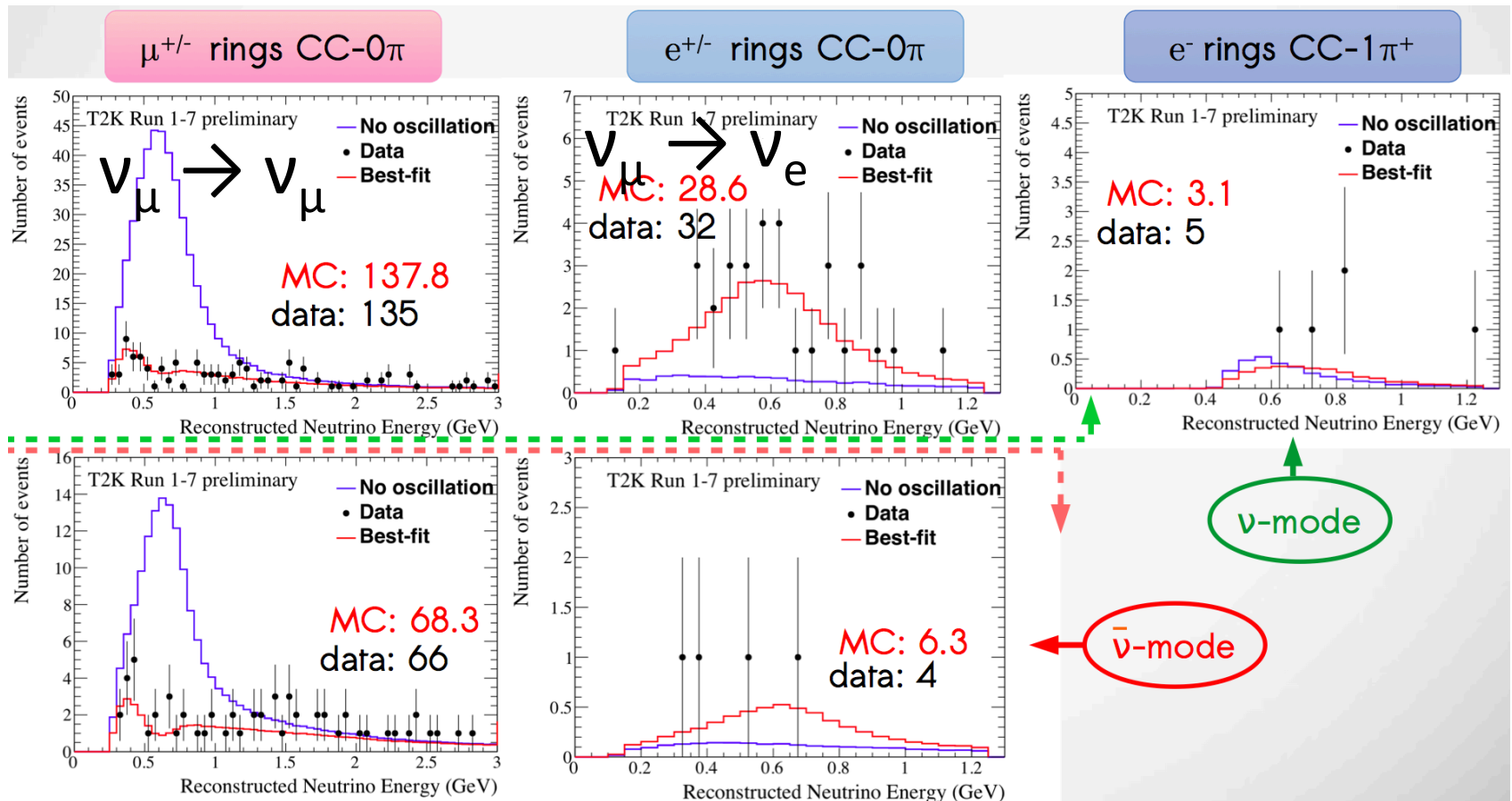


**J-PARC Main Ring**  
(KEK-JAEA, Tokai)



# Recent results: $\nu_\mu \rightarrow \nu_e$ appearance

- T2K observes 32  $\nu_e$  events, 5 background events expected
- Appearance of different flavour ( $\nu_\mu \rightarrow \nu_e$ ) at  $> 8 \sigma$



# Neutrino mixing matrix

3 angles and 1 CP phase:

$$\theta_{12}, \theta_{13}, \theta_{23}, \delta$$

+ 2 phases

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} \cdot e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} \cdot e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1} & 0 \\ 0 & 0 & e^{i\alpha_2} \end{pmatrix}$$

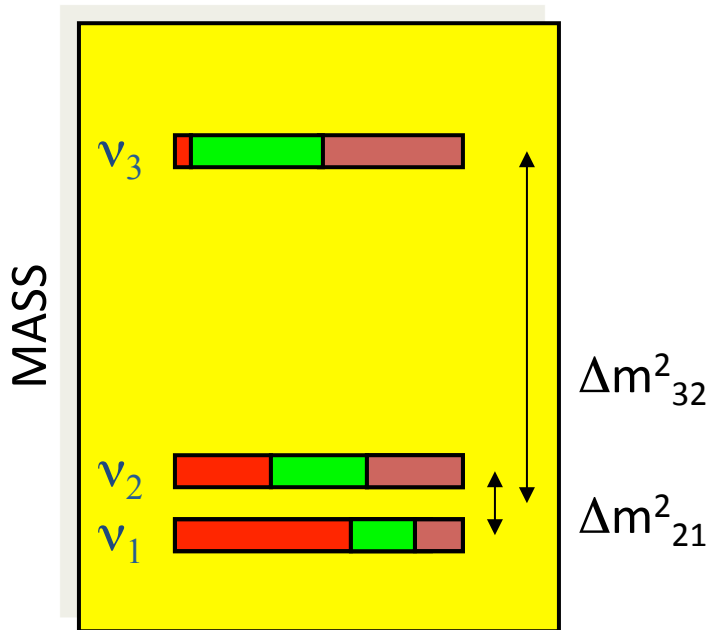
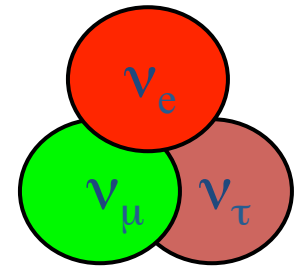
atmospheric  $\nu$       **Dirac**      solar  $\nu$   
Majorana

$$c_{ij} = \cos \theta_{ij}$$

$$s_{ij} = \sin \theta_{ij}$$

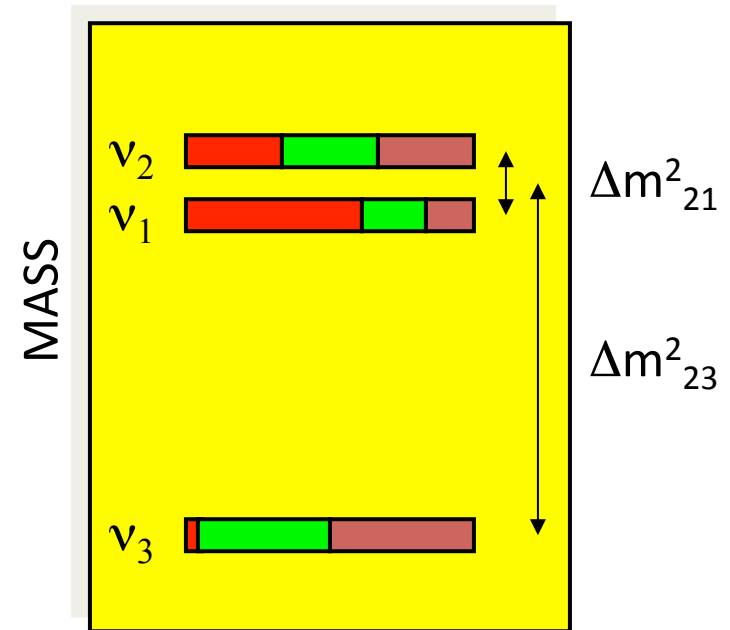
$\delta$ , matter-antimatter asymmetry in neutrinos?

# Mass ordering?



Normal mass ordering

?



Inverted mass ordering

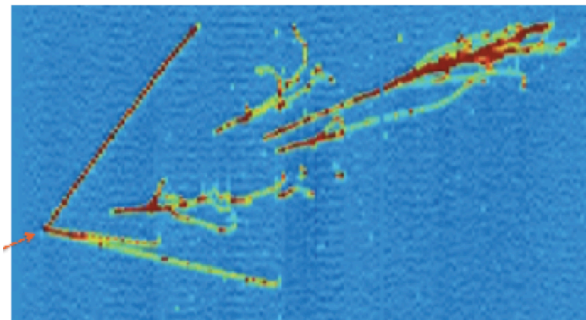
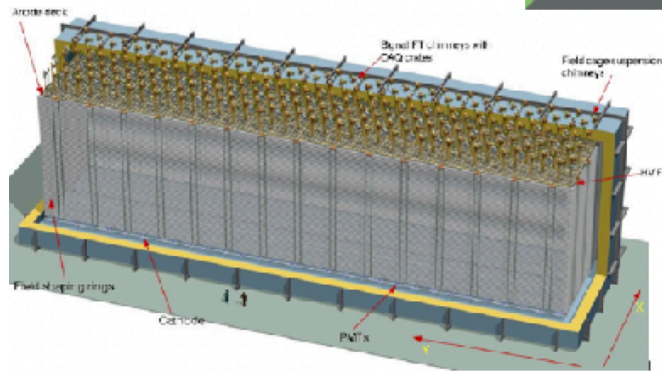
Which mass state is the lightest?



# Future long baseline projects...

## DUNE

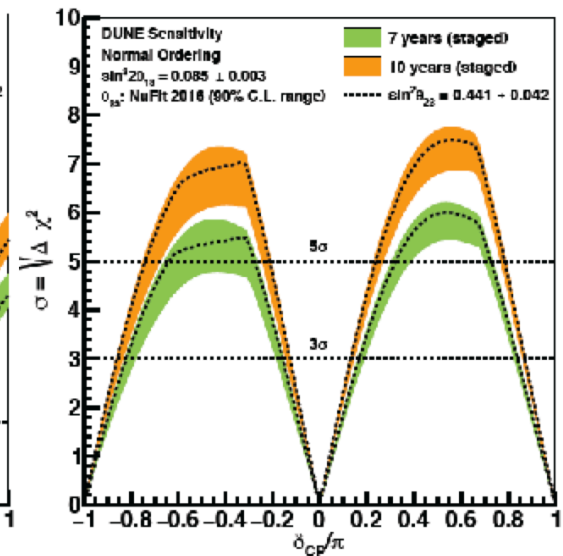
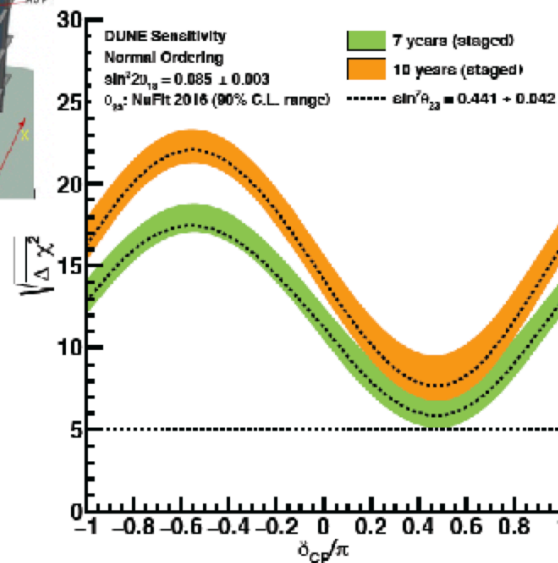
40 kton Lq. Ar TPC  
Starting around 2026



## Sensitivity

### Mass Hierarchy

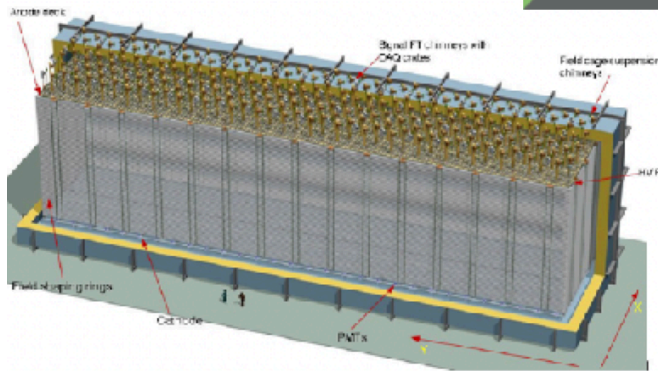
### CP Violation



# Future long baseline projects...

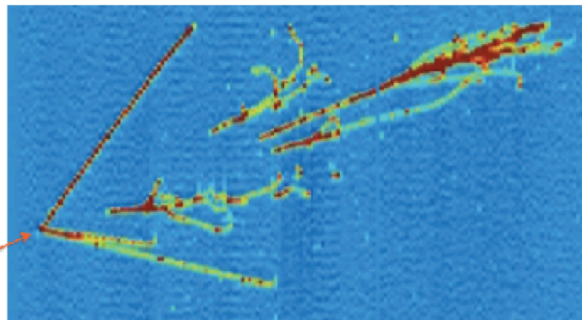
## DUNE

40 kton Lq. Ar TPC  
Starting around 2026



## Broad physics programme!

- Long baseline oscillations
- Mass ordering
- Matter-antimatter asymmetry
- SN neutrinos
- Proton decay
- And more...

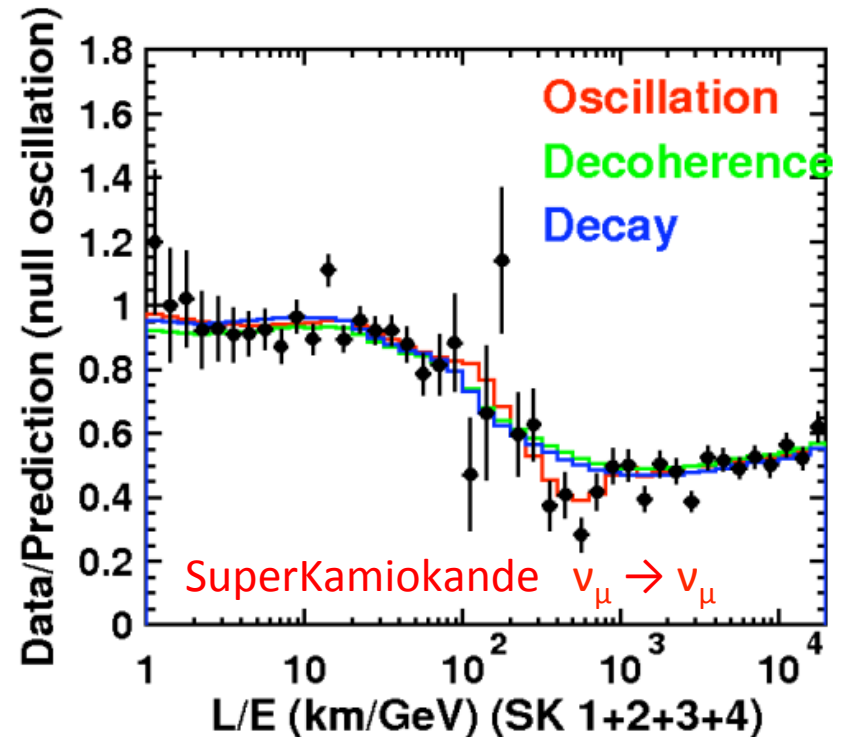
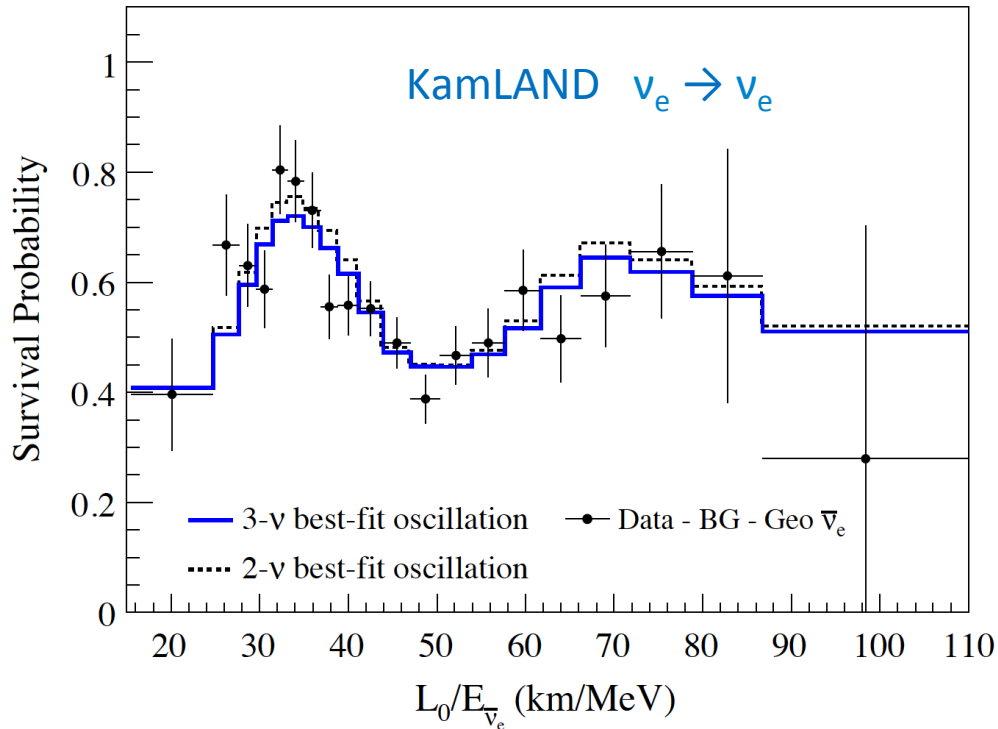


$\sqrt{E}$

# Conclusions

- Neutrinos oscillate! Masses  $\neq 0$  (2015 Nobel prize)

$\nu_e, \nu_\mu, \nu_\tau$  different from  $\nu_1, \nu_2, \nu_3$

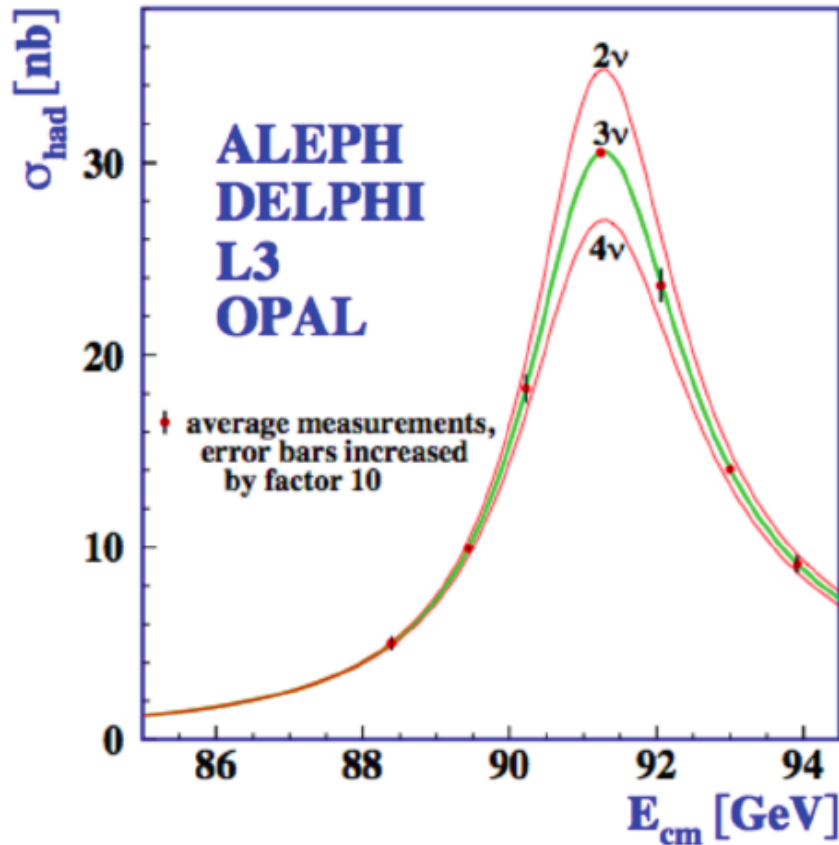


# Conclusions

- **Neutrinos oscillate! Masses  $\neq 0$**  (2015 Nobel prize)  
 $\nu_e, \nu_\mu, \nu_\tau$  different from  $\nu_1, \nu_2, \nu_3$
- Two different oscillation frequencies:  
fast: **atmospheric**,  $\Delta m^2_{32} \sim \Delta m^2_{31}$   
slow: **solar**,  $\Delta m^2_{21}$  **atm**  $\sim 20 \times$  **solar**
- Neutrinos mix a lot! (Mixing angles large!)  
**atmospheric**, maximal  $\theta_{32} = 45^\circ \pm 6^\circ$   
**solar**, large  $\theta_{21} = 34^\circ \pm 1^\circ$   
**reactor**, not so small  $\theta_{13} = 9.1^\circ \pm 0.6^\circ$
- **For the future: matter-antimatter asymmetry in neutrinos?**  
**which is the lightest mass state?**

# BACK UP SLIDES

# How many neutrinos are there?



$$\Gamma_{\text{inv}} = \Gamma_Z - \Gamma_{\text{had}} - 3\Gamma_l$$

$$\Gamma_{\text{inv}} = N_\nu \cdot \Gamma_\nu$$

PDG K. Nakamura et al., JPG 37, 075021 (2010)

Number  $N = 2.984 \pm 0.008$   
(Standard Model fits to LEP data)

Number  $N = 2.92 \pm 0.05$  ( $S=1.2$ )  
(Direct measurement of invisible Z width)

# Etats propres de saveur et de masse

- Matrice PMNS (Pontecorvo-Maki-Nakagawa-Sakata) relie états propres de masse ( $\nu_1, \nu_2, \nu_3$ ) et de saveur ( $\nu_e, \nu_\mu, \nu_\tau$ )

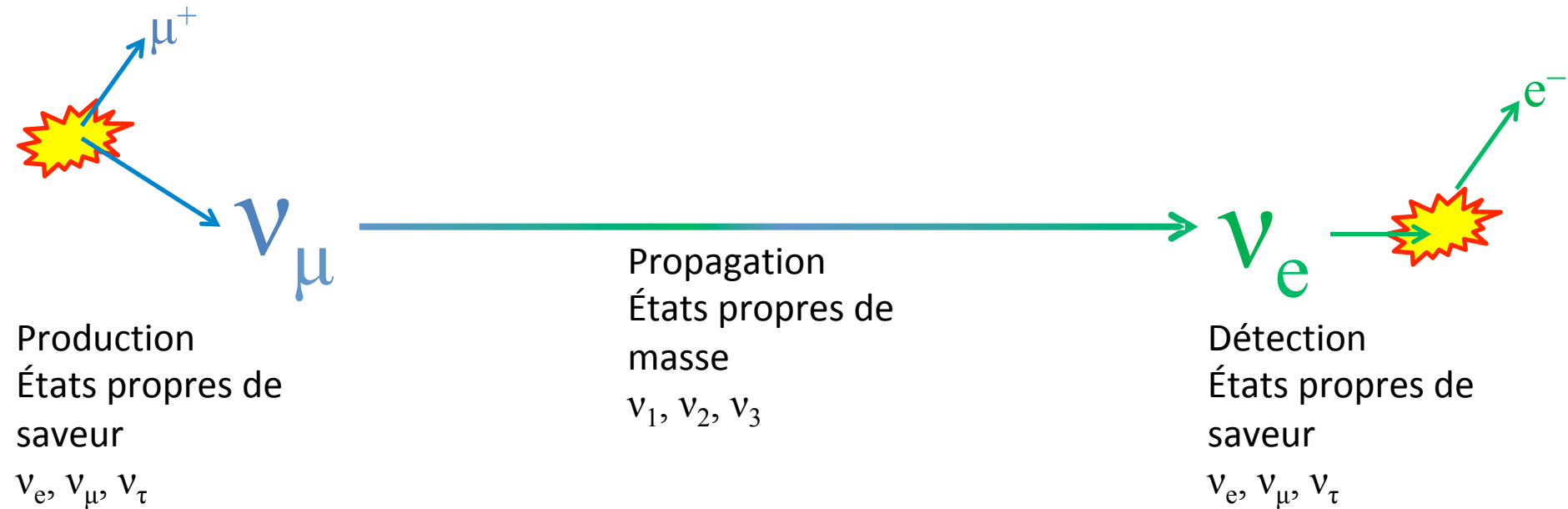
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{PMNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \quad U_{PMNS} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

p.ex.

$$|\nu_\mu\rangle = U_{\mu1}|\nu_1\rangle + U_{\mu2}|\nu_2\rangle + U_{\mu3}|\nu_3\rangle$$

# Oscillations des neutrinos

- Neutrinos sont créés dans des états propres de saveur, se propagent comme des états propres de masse, et sont détectés comme des états propres de la saveur : (ex : neutrinos atmosphériques, issus des désintégrations des pions)

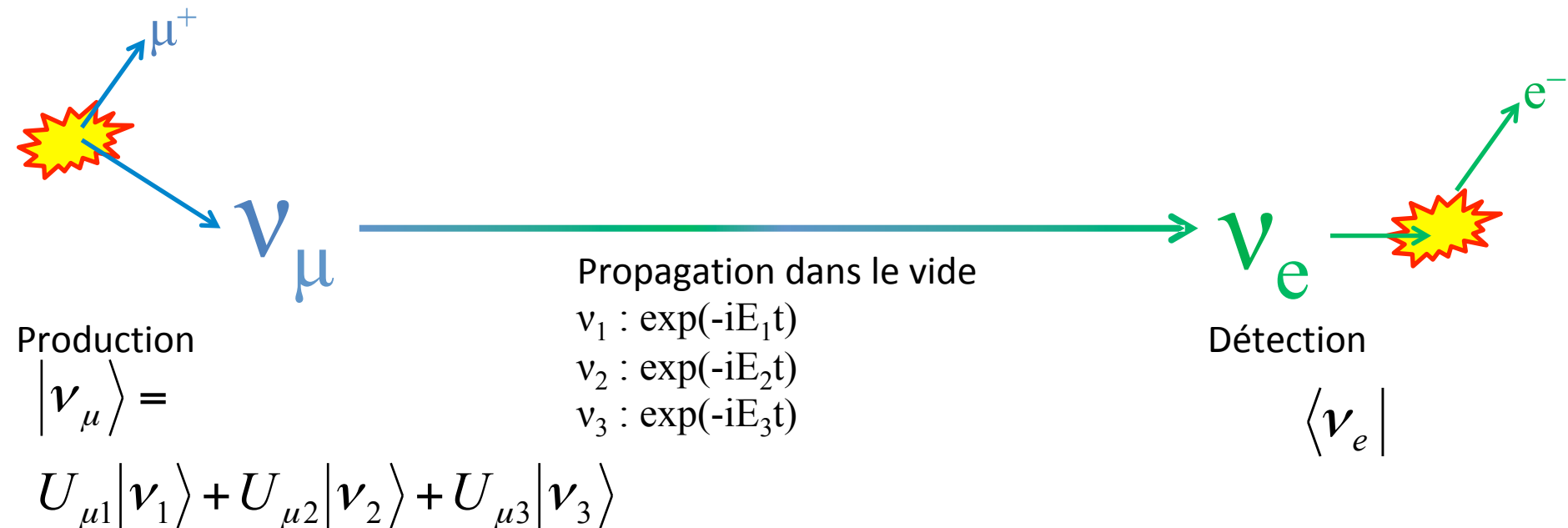


(Analogie aux oscillations des kaons neutres : production et détection en termes des états de saveur  $K^0$  et  $\bar{K}^0$ , propagation en termes de  $K_{\text{short}}$  et  $K_{\text{long}}$ )



# Oscillations des neutrinos

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Masses  $m_1, m_2, m_3$  différentes  $\rightarrow$  phases  $-iE_1 t, -iE_2 t, -iE_3 t$  différentes  $\rightarrow$

$\rightarrow$  proportion des composantes  $e, \mu, \tau$  change avec le temps

# Un peu d'histoire

- Oscillation neutrino-antineutrino proposée par Bruno Pontecorvo (1957) par analogie avec les oscillations  $K^0$  et  $\bar{K}^0$
- Mélange entre les saveurs proposé par Maki, Nakagawa et Sakata (1962)
- Calcul de la probabilité d'oscillation entre saveurs par Gribov et Pontecorvo (1967, 1969)
  
- Etudes expérimentales expliquées en détail page 12 et suivantes