

# **Advances in Machine Learning in HEP : Deep Learning, GAN and more**



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**JINR day in France**  
**15th Feb 2018**

# ML in HEP



- ❑ Use of Machine Learning (a.k.a Multi Variate Analysis as we call it) already at LEP somewhat, much more at Tevatron (Trees)
- ❑ At LHC, Machine Learning used almost since first data taking (2010) for reconstruction and analysis
- ❑ In most cases, Boosted Decision Tree with Root-TMVA, on  $\sim 10$  variables
- ❑ For example, impact on Higgs boson sensitivity at LHC:

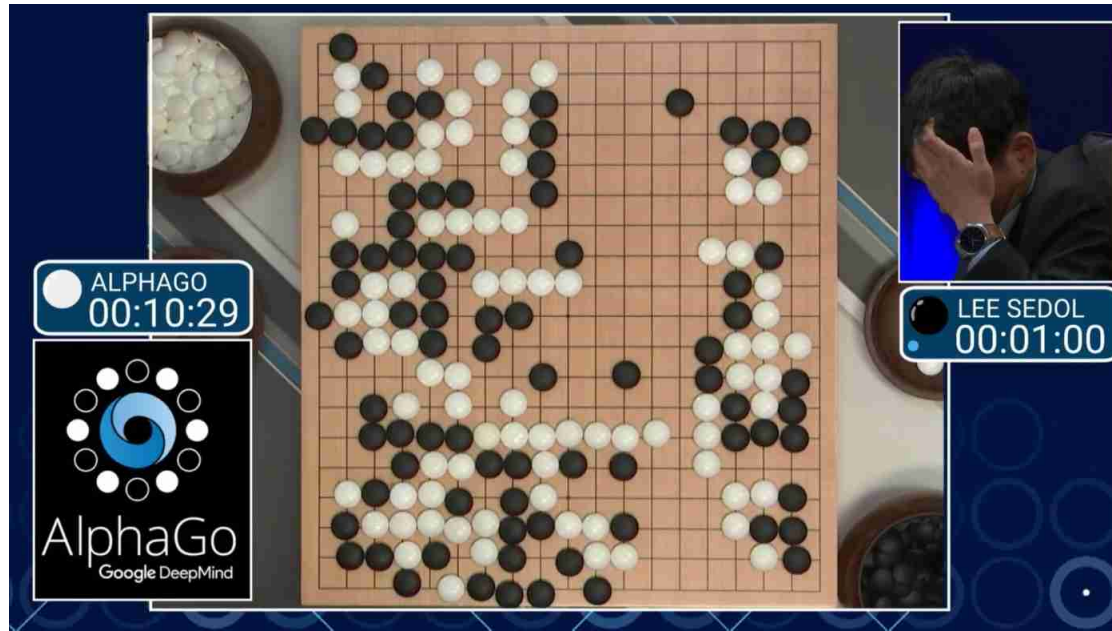
Analysis	Data taking year	No ML sensitivity	ML sensitivity	Relative data gain
CMS $H \rightarrow \gamma\gamma$	2011-2012	2.2	2.7	51%
ATLAS $H \rightarrow \tau^+\tau^-$	2011-2012	2.5	3.4	85%
ATLAS $VH \rightarrow bb$	2011-2012	1.9	2.5	73%
ATLAS $VH \rightarrow bb$	2015-2016	2.8	3.0	15%
CMS $VH \rightarrow bb$	2011-2012	1.4	2.1	125%

➔  $\sim 50\%$  gain on LHC running

# ML in HEP



- Meanwhile, in the outside world :



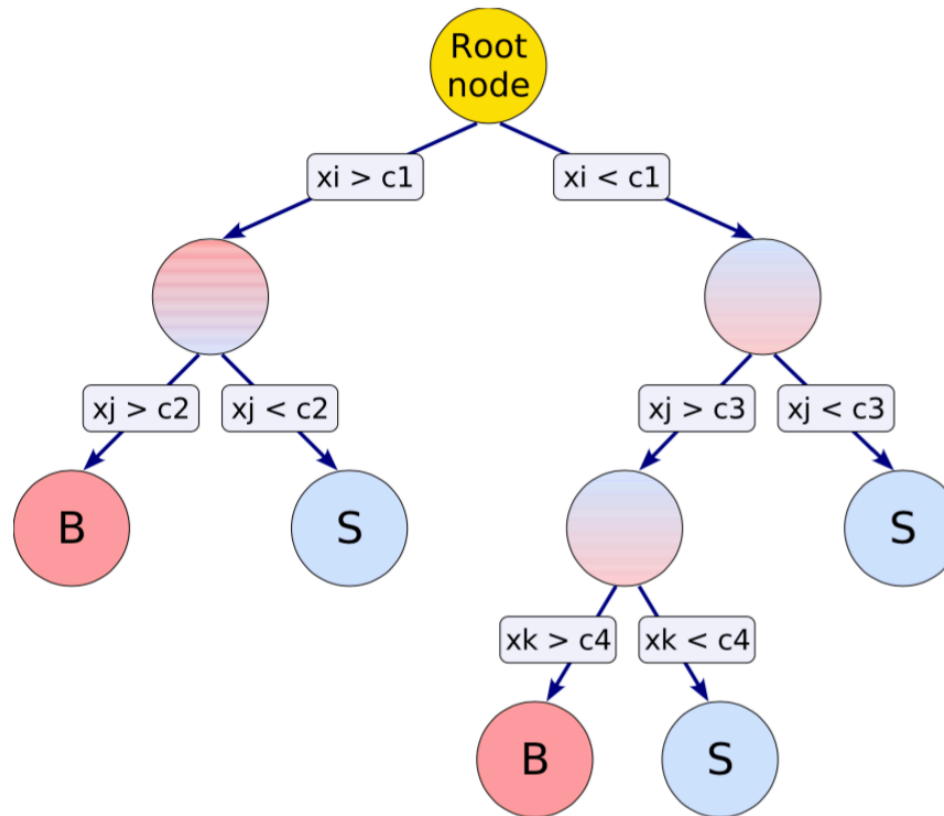
- “Artificial Intelligence” not a dirty word anymore!
- We (in HEP) have realised we’re been left behind! Trying to catch up now...
- This talk on very selected promising use of advanced ML in HEP

# ML Basics



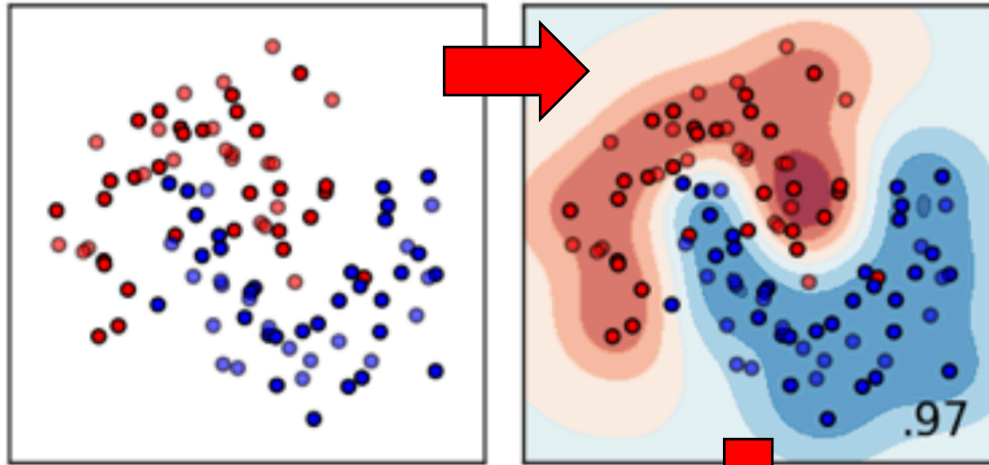


# BDT in a nutshell

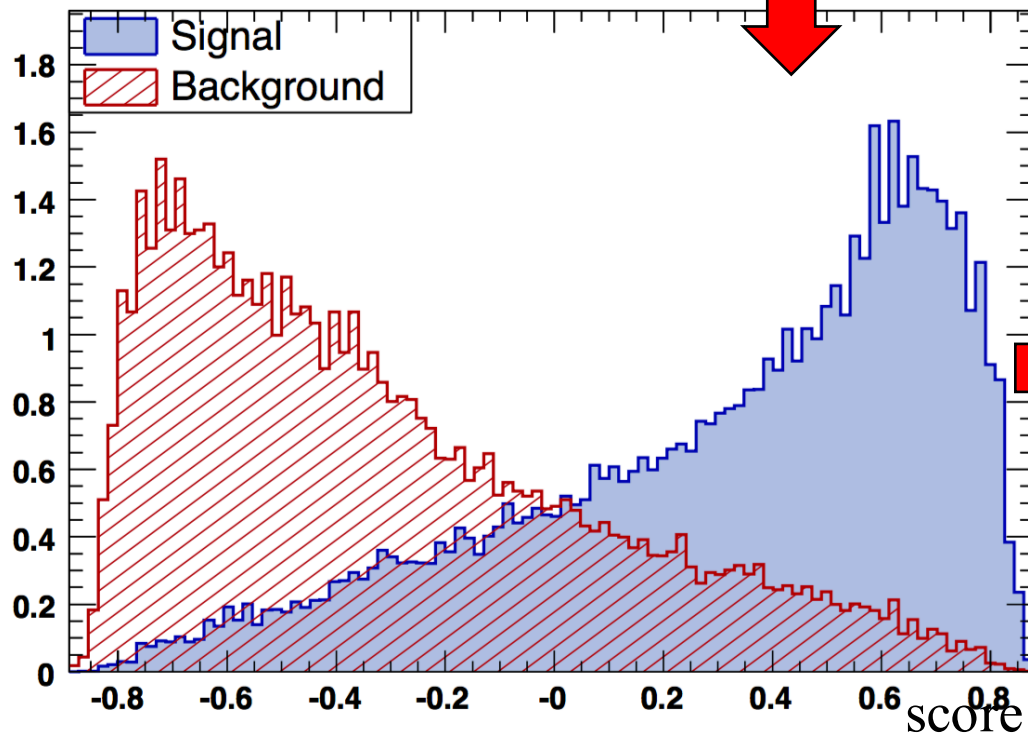


- ❑ Single tree (CART) <1980
- ❑ AdaBoost 1997 : rerun increasing the weight of misclassified entries → Boosted Decision Trees (**Gradient BDT**, random forest...)

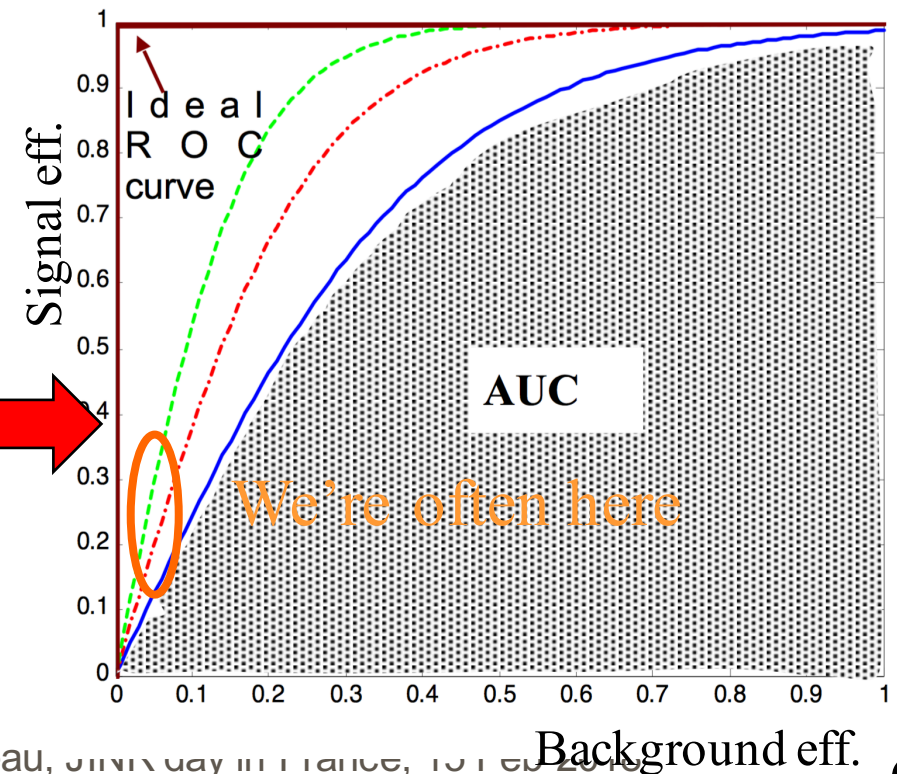
# Classifier basics



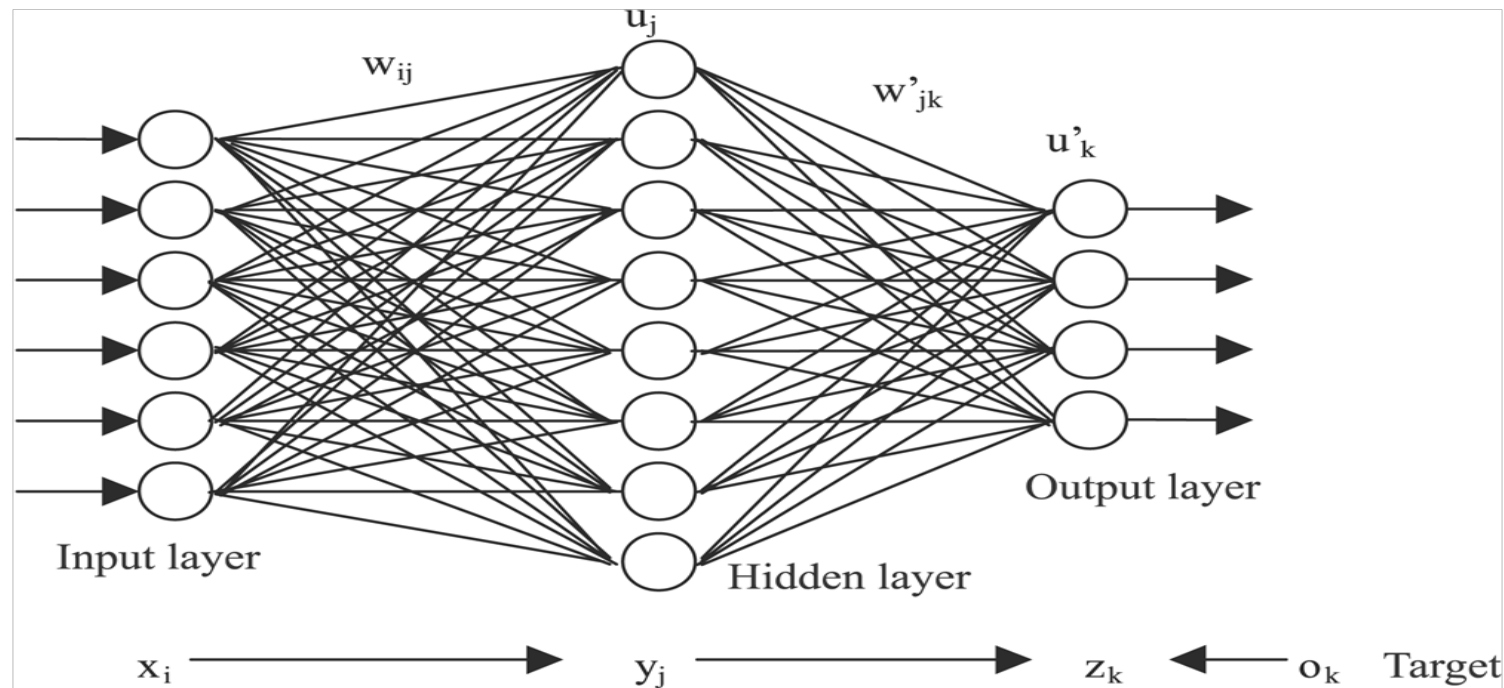
Train on Signal and Background Monte-Carlo  
→ learn the separation between S and B distribution  
Apply on test sample  
Apply on data



AUC : Area Under the (ROC) Curve

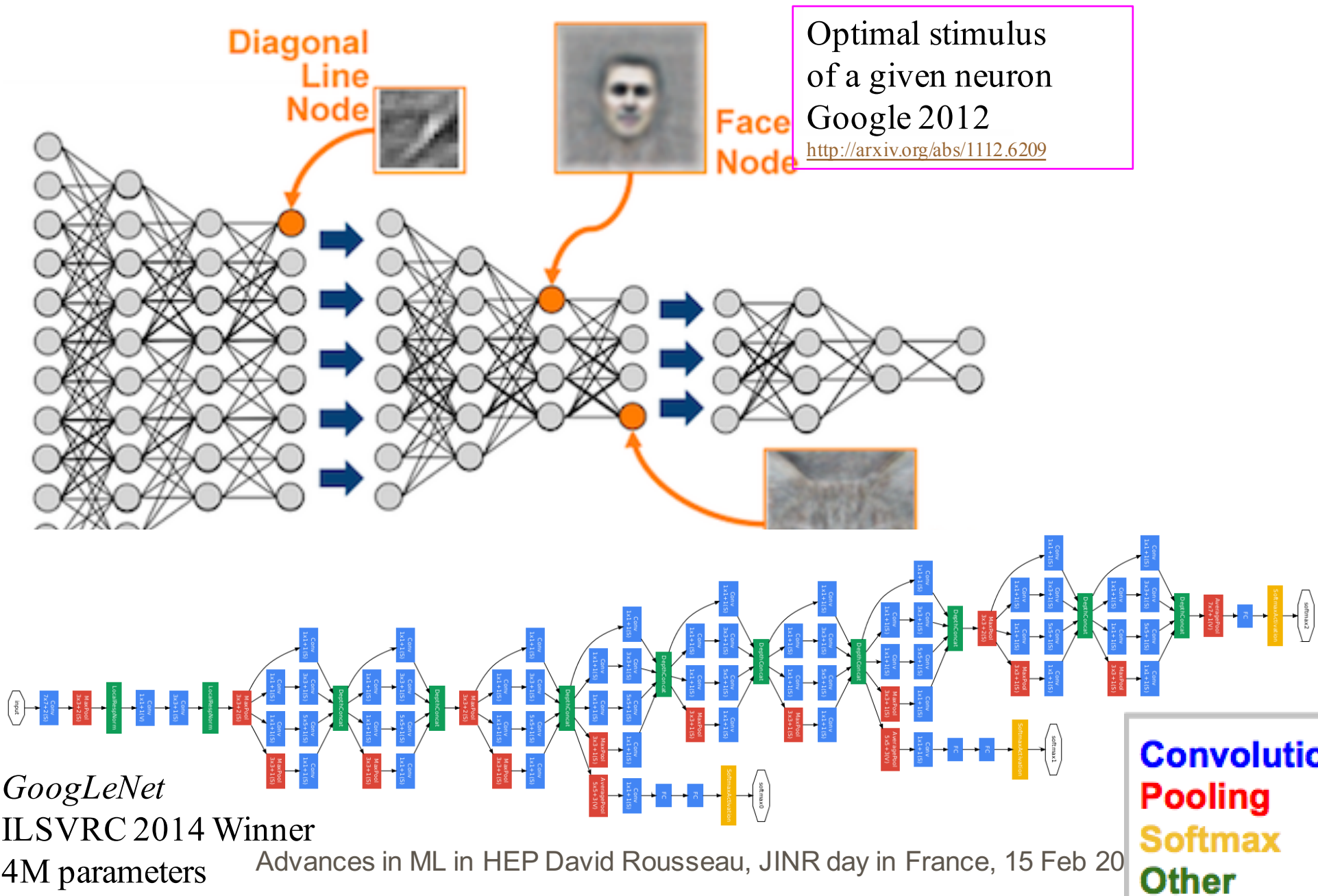


# Neural Net in a nutshell



- ❑ Neural Net ~1950!
- ❑ But many many new tricks for learning, in particular if many layers (also ReLU instead of sigmoid activation)
- ❑ “Deep Neural Net” up to 100 layers
- ❑ Computing power (DNN training can take days even on GPU)

# Deep learning





# ML in analysis





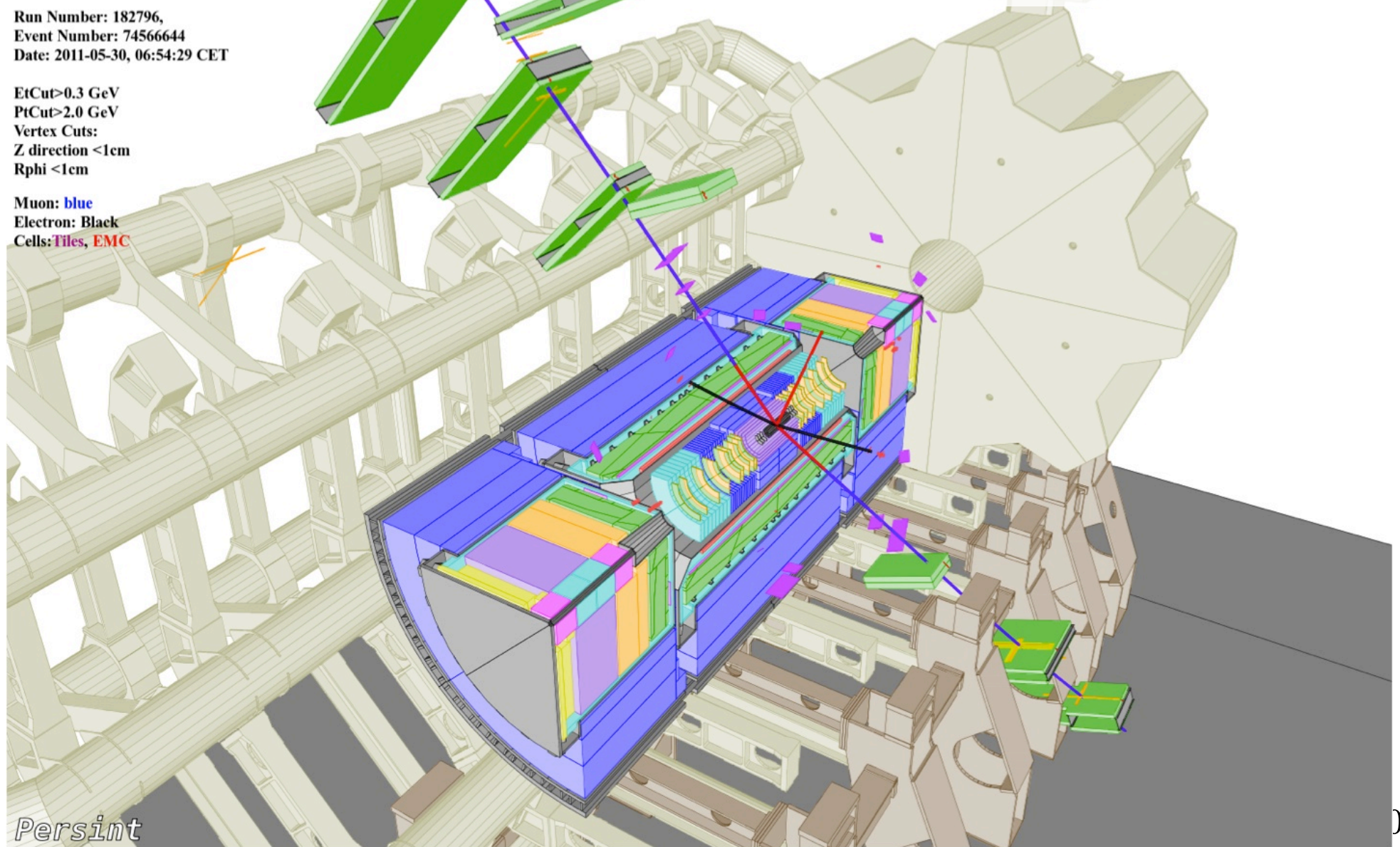
Run Number: 182796,  
Event Number: 74566644  
Date: 2011-05-30, 06:54:29 CET

EtCut>0.3 GeV  
PtCut>2.0 GeV  
Vertex Cuts:  
Z direction <1cm  
Rphi <1cm

Muon: blue  
Electron: Black  
Cells: Tiles, EMC

# Candidate

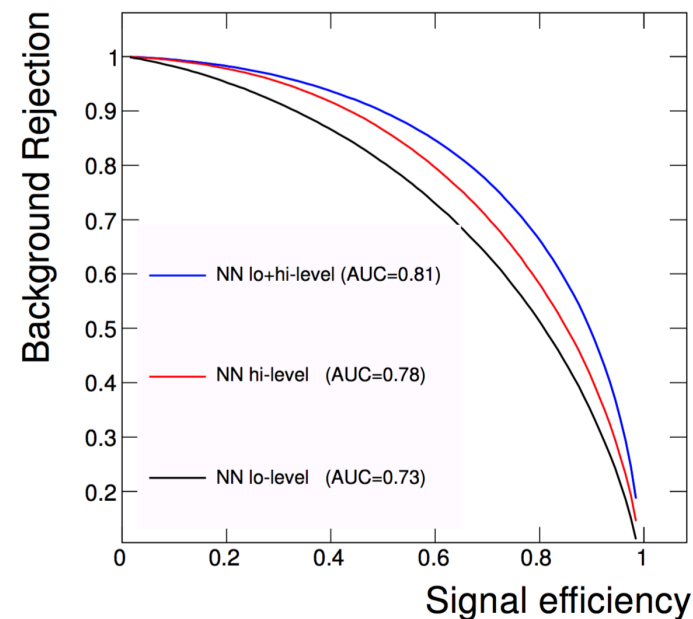
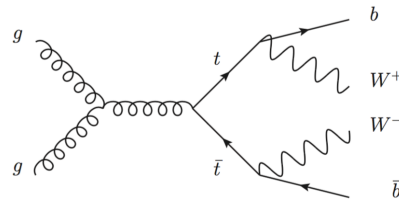
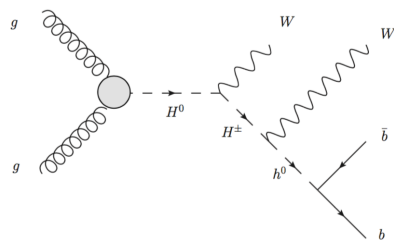
## $H \rightarrow Z(\rightarrow \mu^+ \mu^-) Z(\rightarrow e^+ e^-)$



# Deep learning for analysis



1402.4735 Baldi, Sadowski, Whiteson



❑ MSSM at LHC :  $H^0 \rightarrow WWbb$  vs  $t\bar{t} \rightarrow WWbb$

❑ Low level variables:

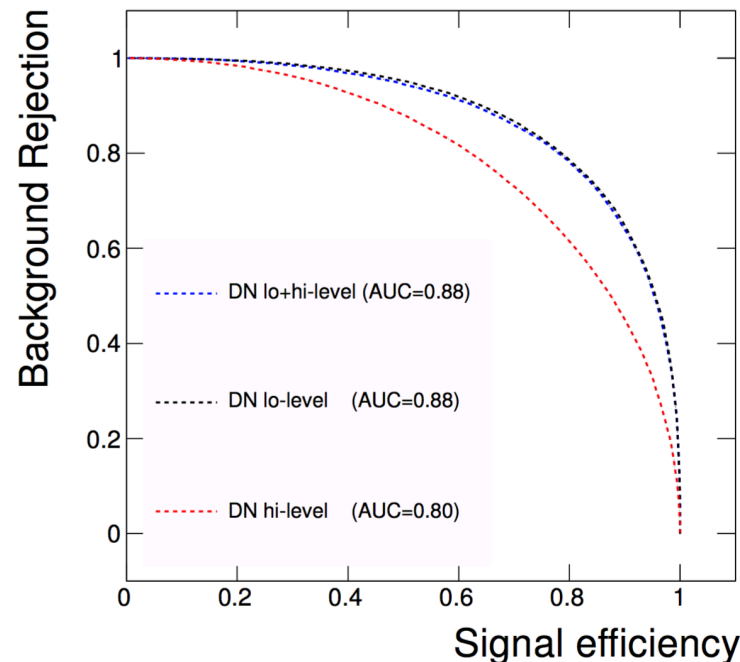
- 4-momentum vector

❑ High level variables:

- Pair-wise invariant masses

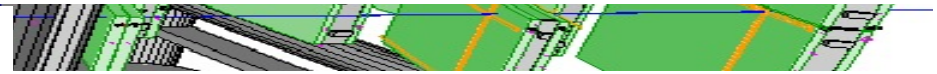
❑ Deep NN outperforms NN, and does not need high level variables

❑ DNN learns the physics ?

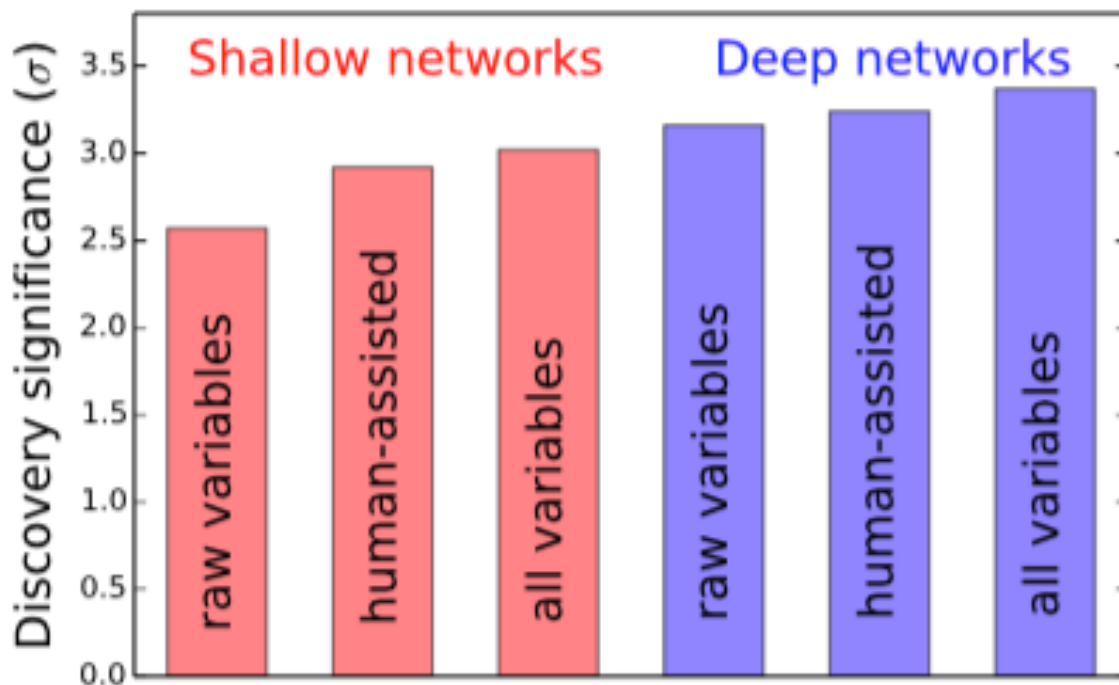


# Deep learning for analysis (2)

1410.3469 Baldi Sadowski Whiteson



- H tautau analysis at LHC:  $H \rightarrow \tau\tau$  vs  $Z \rightarrow \tau\tau$ 
  - Low level variables (4-momenta)
  - High level variables (transverse mass, delta R, centrality, jet variables, etc...)



- Here, the DNN improved on NN but **still needed high level features**
- Both analyses with Delphes fast simulation
- $\sim 100\text{M}$  events used for training ( $\gg 100 \times$  full G4 simulation in ATLAS)



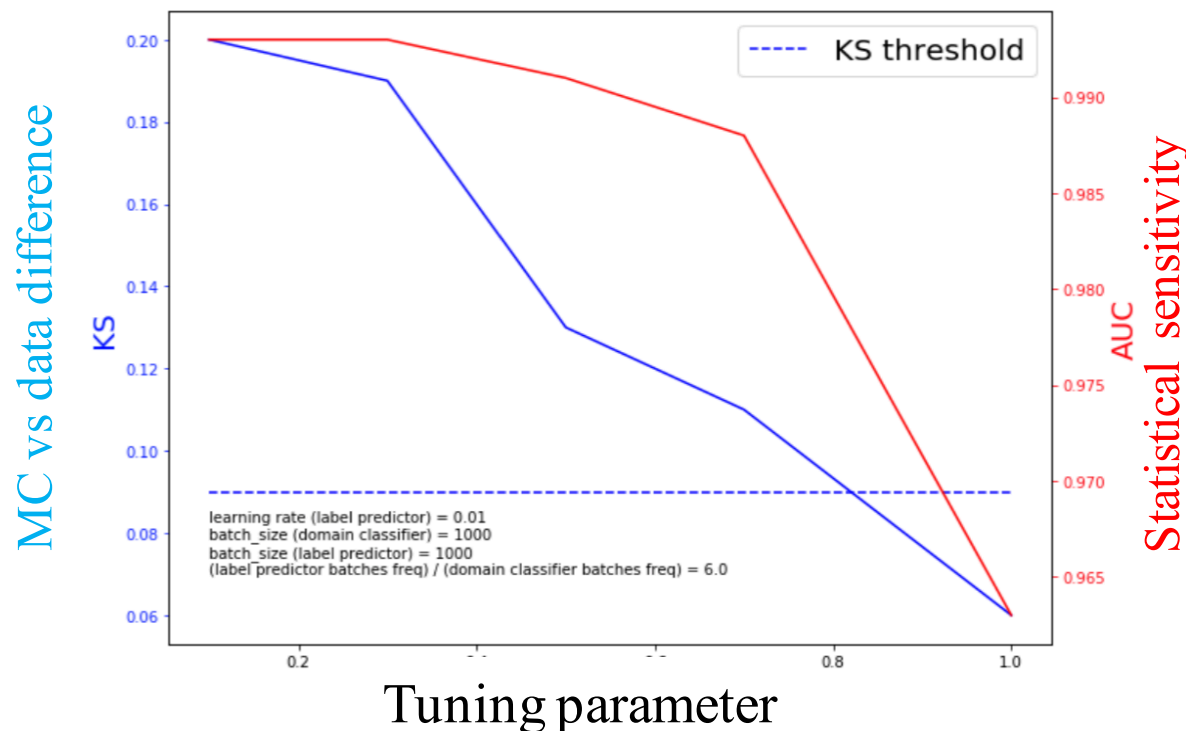
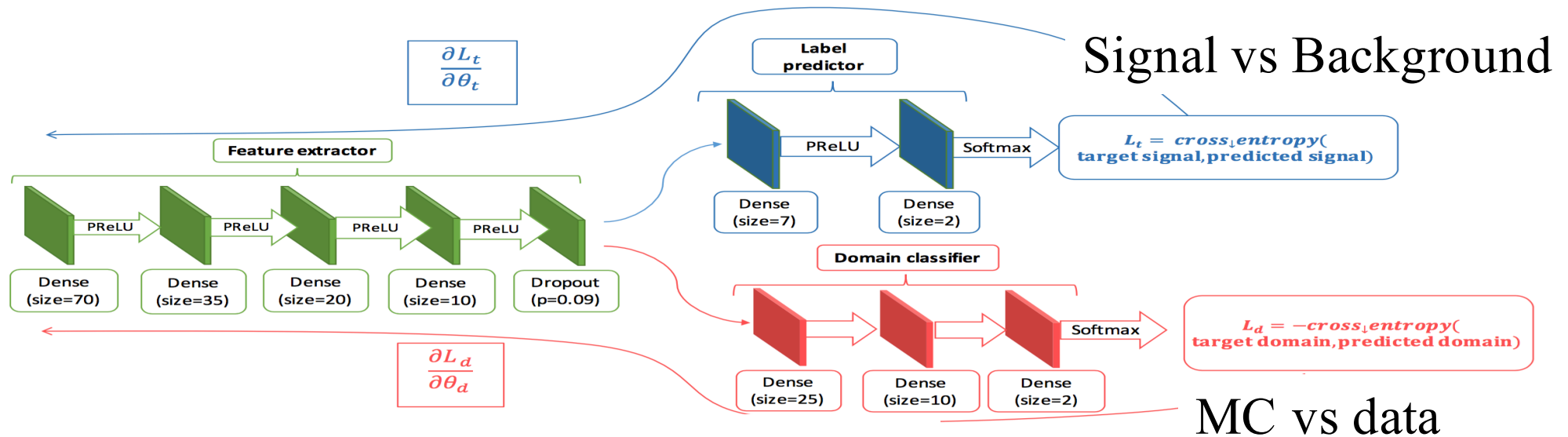
# Systematics-aware training



- ❑ Our experimental measurement papers typically ends with
  - measurement =  $m \pm \sigma(\text{stat}) \pm \sigma(\text{syst})$
  - $\sigma(\text{syst})$  systematic uncertainty : known unknowns, unknown unknowns...
- ❑ Name of the game is to minimize quadratic sum of :  
$$\sigma(\text{stat}) \pm \sigma(\text{syst})$$
- ❑ ML techniques used so far to minimise  $\sigma(\text{stat})$
- ❑ Impact of ML on  $\sigma(\text{syst})$  or even better global optimisation of  $\sigma(\text{stat}) \pm \sigma(\text{syst})$  is an open problem
- ❑ Worrying about  $\sigma(\text{syst})$  untypical of ML in industry
- ❑ However, a hot topic in ML in industry: *transfer learning*
- ❑ E.g. : train image labelling on a image dataset, apply on new images (different luminosity, focus, angle etc...)
- ❑ For HEP : we train with Signal and Background which are not the real one (MC, control regions, etc...) → source of systematics

# Syst Aware Training: adversarial

Inspired from 1505.07818 Ganin et al : [ACAT 2017 Ryzhikov and Ustyuzhanin](#)



# ML in reconstruction

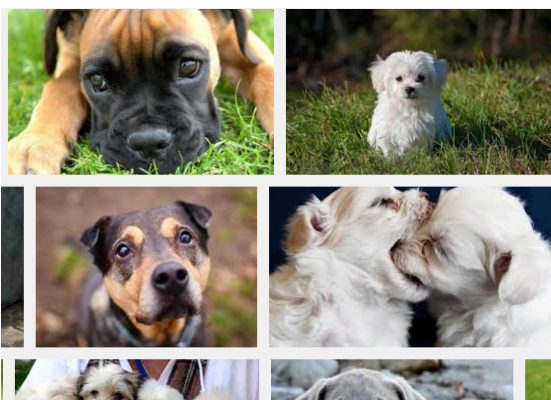


# Jet Images

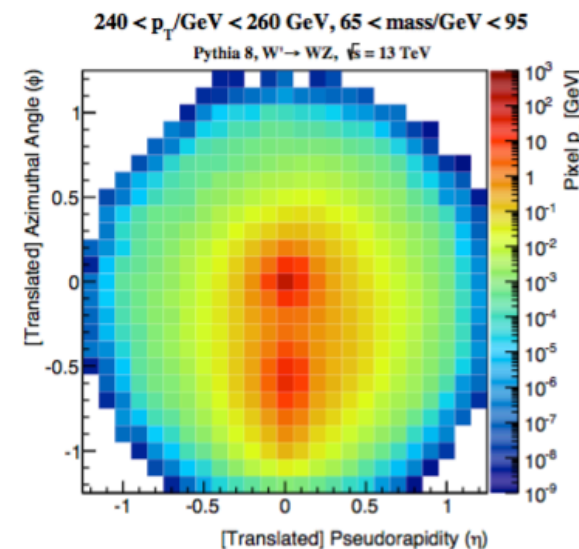
[arXiv 1511.05190](https://arxiv.org/abs/1511.05190) de Oliveira, Kagan, Mackey, Nachman, Schwartzman



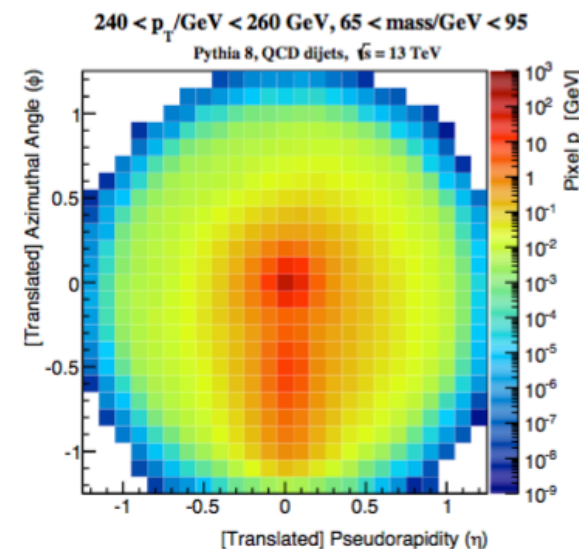
- Distinguish boosted W jets from QCD
- Particle level simulation
- Average images:



Boosted  $W \rightarrow qq$  jet

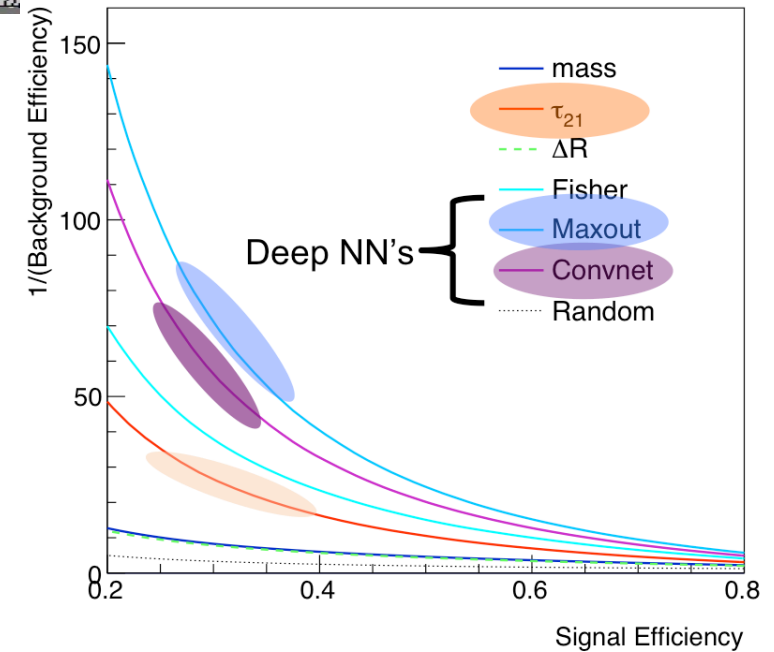
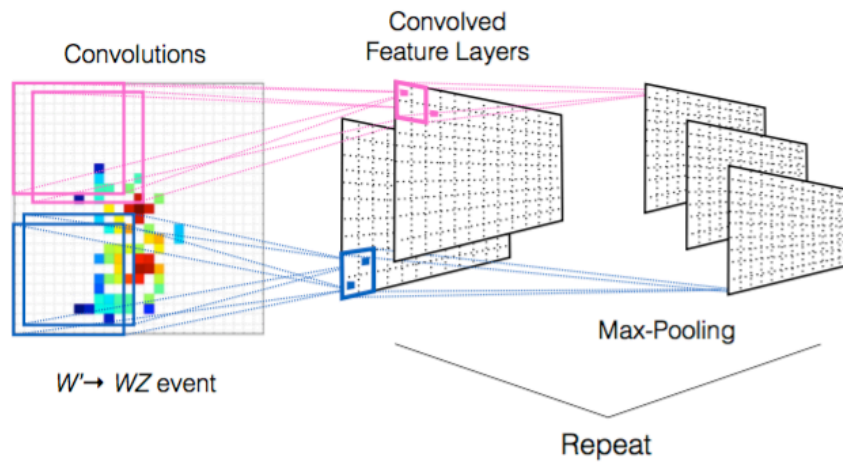


QCD

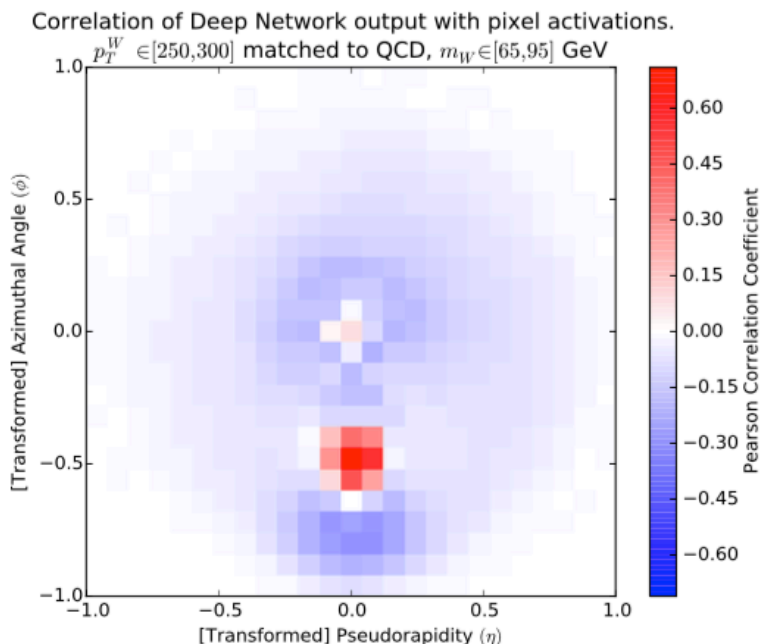


# Jet Images : Convolution NN

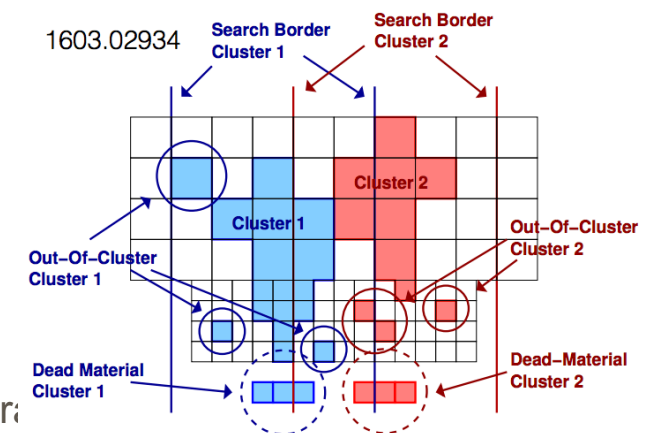
arXiv:1511.05190



Variables build from CNN  
outperform the more usual ones



- What the CNN sees (the “cat” neurone”)
- Now need proper detector and pileup simulation
- 3Dimension



David Rousseau, JINR day in Fr

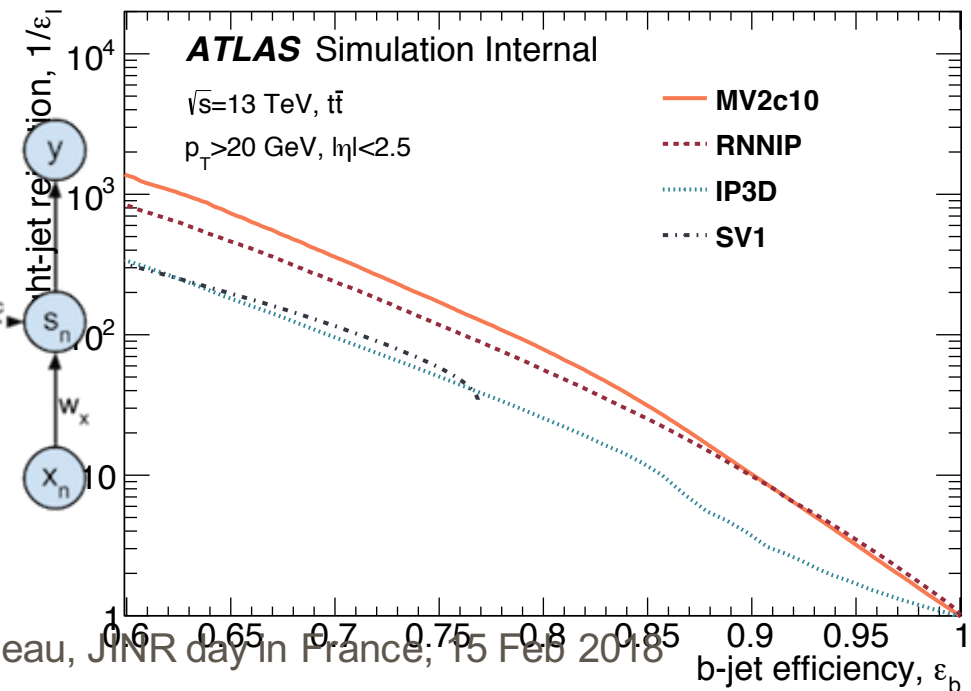
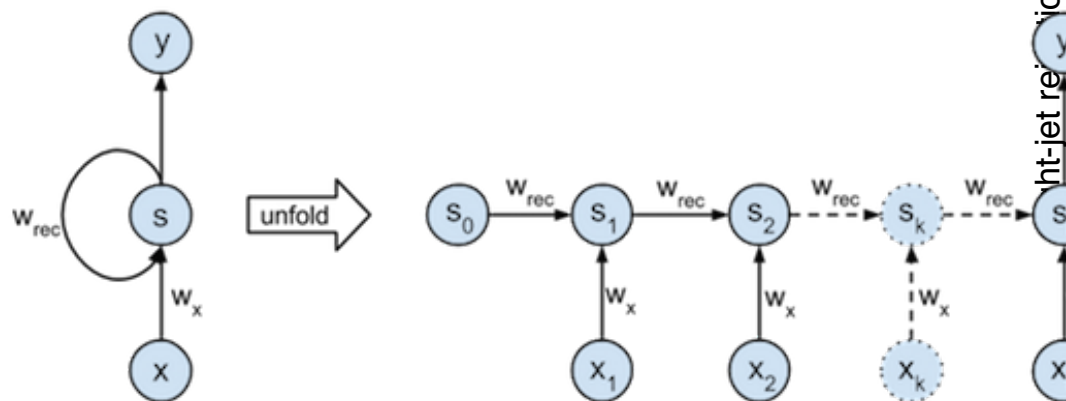


# RNN for b tagging



ATL-PHYS-PUB-2017-003

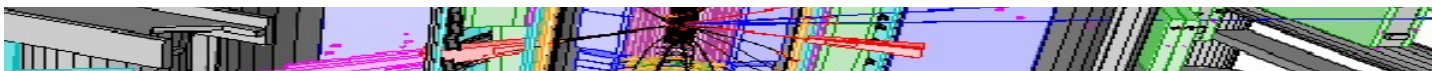
- ❑ BDT and usual NN expect a fix number of input. What to do when the number of inputs is not fixed like the tracks for b-quark jet tagging ?
- ❑ Recurrent Neural Networks have seen outstanding performance for processing sequence data
  - Take data at several "time-steps", and use previous time-step information in processing next time-steps data
- ❑ For b-tagging, take list of tracks in jet and feed into RNN
  - Basic track information like  $d_0$ ,  $z_0$ , pt-Fraction of jet, ...
  - Physics inspired ordering by  $d_0$ -significance
- ❑ RNN outperforms other IP algorithms
  - No explicit vertexing, still excellent performance
  - First combinations with other algorithms in progress
- ❑ Learning on sequence data may be important in other places!
  - Combining tracks with clusters? Track to vertex mat



# End to end Learning



# End to end learning



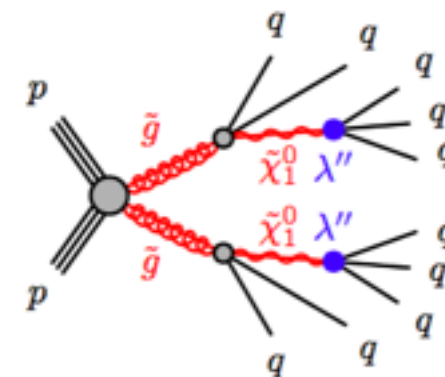
[Bhimji et al, 1711.03573](#)

□ Train directly for signal on « raw » event ?

□ Start from RPV Susy search

ATLAS-CONF-2016-057

□ Fast Simulated events with Delphes

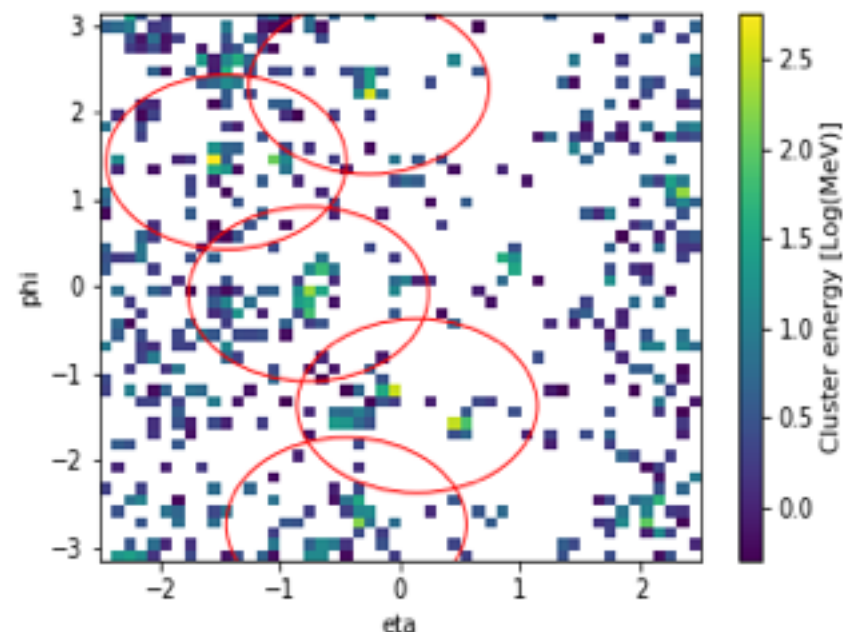


(b) gluino cascade decay

□ Project energies on 64x64  $\eta \times \phi$  grid

□ Compare with usual jet Reconstruction and physics Analysis variables such as:

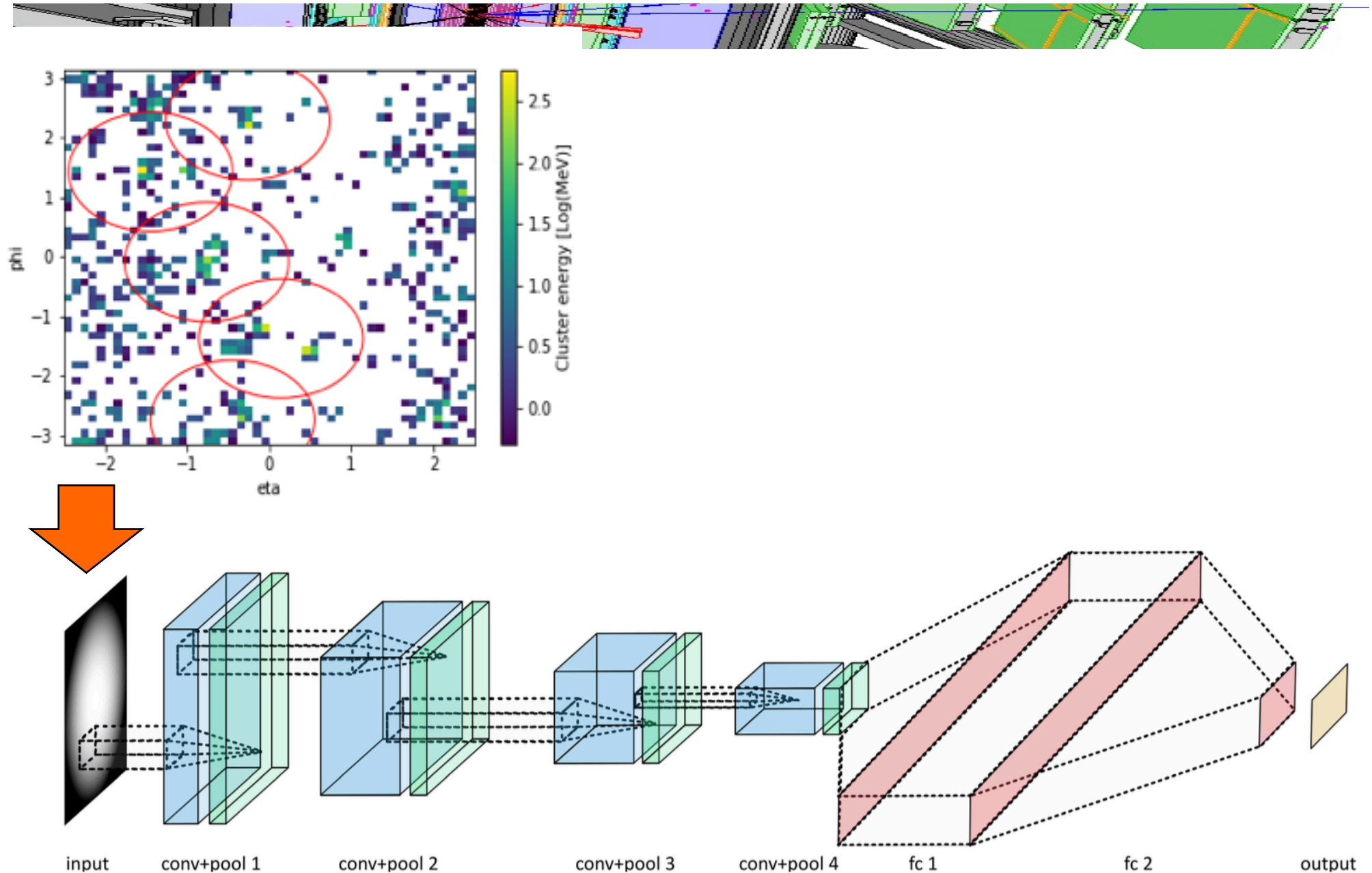
$$M_J^\Sigma = \sum_{\substack{p_T > 200 \text{ GeV} \\ |\eta| \leq 2.0}}^4 m^{\text{jet}}$$



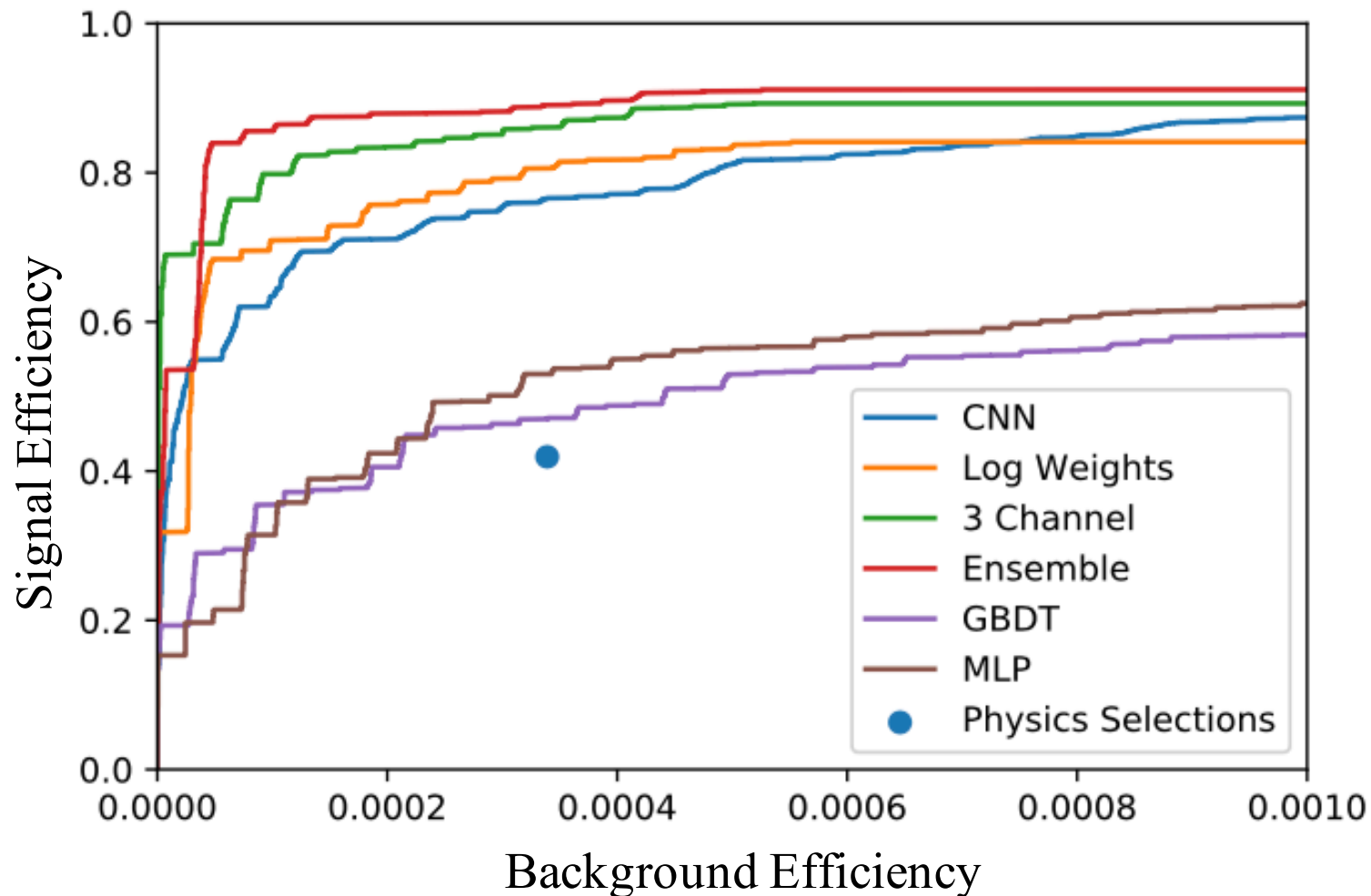
David Rousseau, University of Paris, 10/03/2016



# End to end learning (2)



# End to end learning (3)

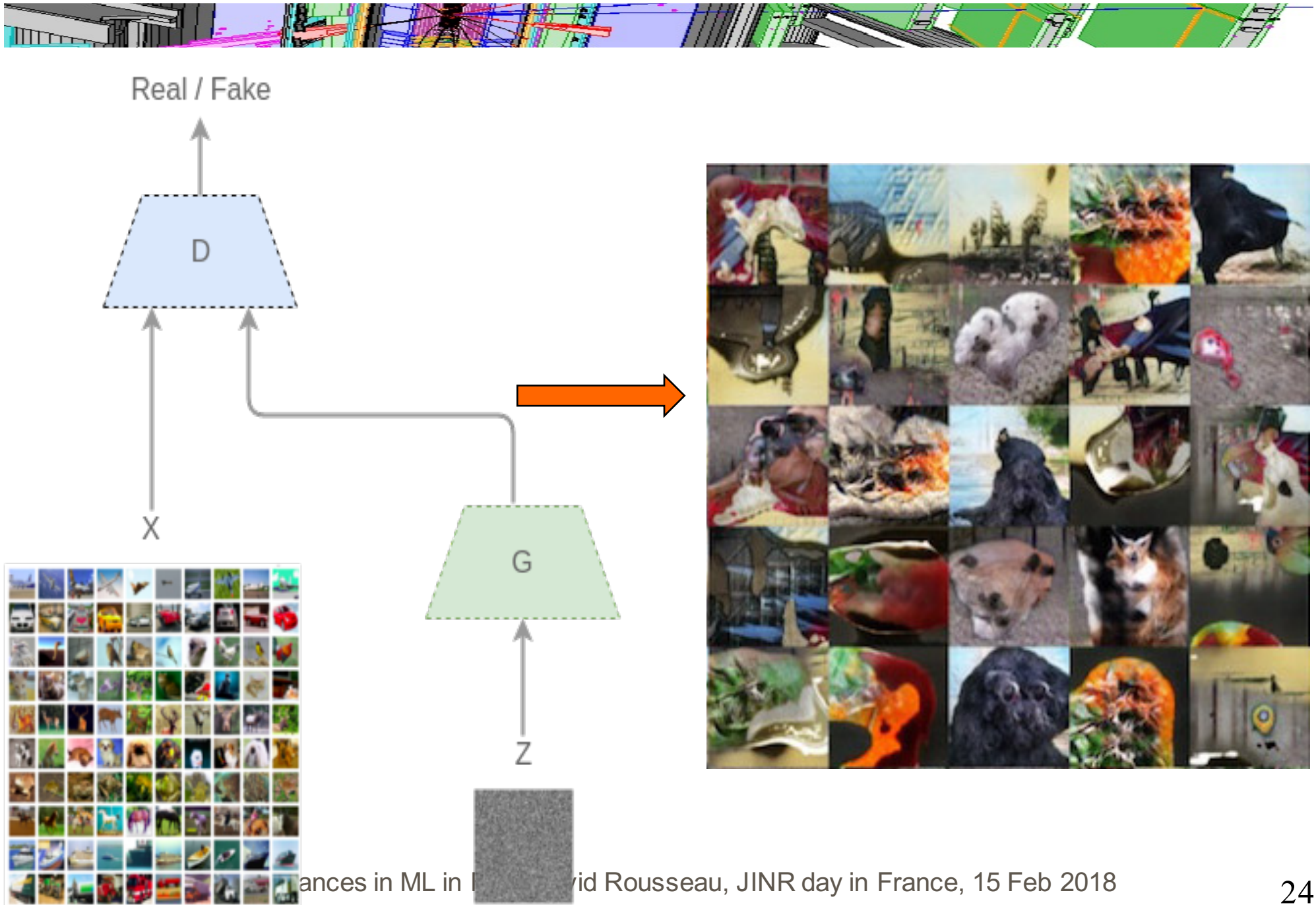


- >x2 gain over BDT/shallow network using physics variable and 5 leading jet 4-momenta
- → CNN extract information from energy grid which is lost in the jets ?
- Not sure they should compare to applying DL on the jets

# ML in simulation



# Generative Adversarial Network





# Condition GAN



Text to image

this small bird has a pink breast and crown, and black primaries and secondaries.



this magnificent fellow is almost all black with a red crest, and white cheek patch.



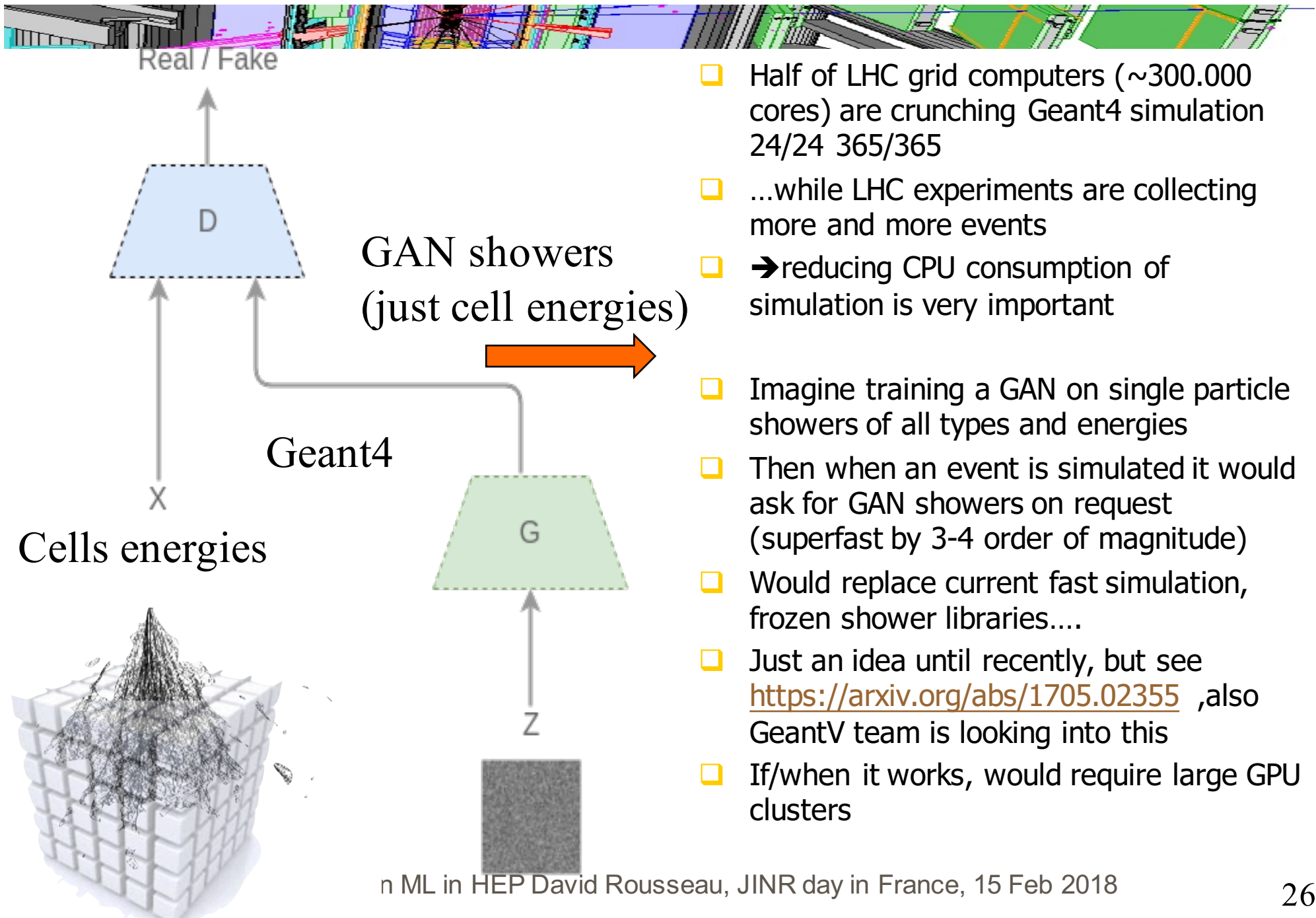
the flower has petals that are bright pinkish purple with white stigma



this white and yellow flower have thin white petals and a round yellow stamen



# GAN for simulation

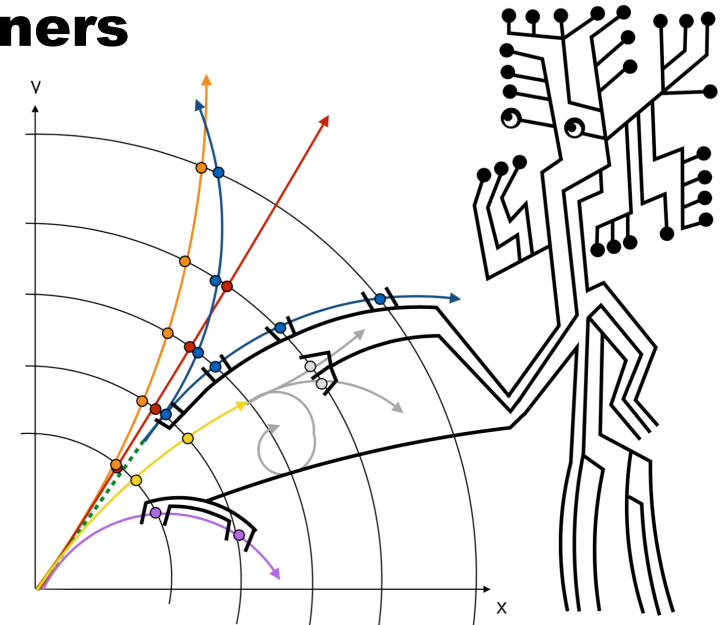


- Half of LHC grid computers (~300.000 cores) are crunching Geant4 simulation 24/24 365/365
- ...while LHC experiments are collecting more and more events
- → reducing CPU consumption of simulation is very important
- Imagine training a GAN on single particle showers of all types and energies
- Then when an event is simulated it would ask for GAN showers on request (superfast by 3-4 order of magnitude)
- Would replace current fast simulation, frozen shower libraries....
- Just an idea until recently, but see <https://arxiv.org/abs/1705.02355> ,also GeantV team is looking into this
- If/when it works, would require large GPU clusters

# Tracking Machine Learning challenge 2018



**A collaboration between ATLAS and CMS physicists,  
and Machine Learners**

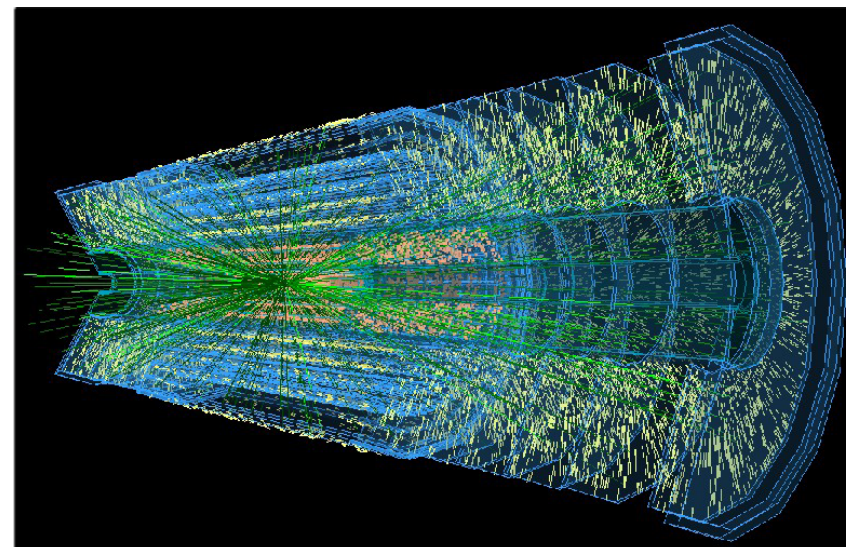
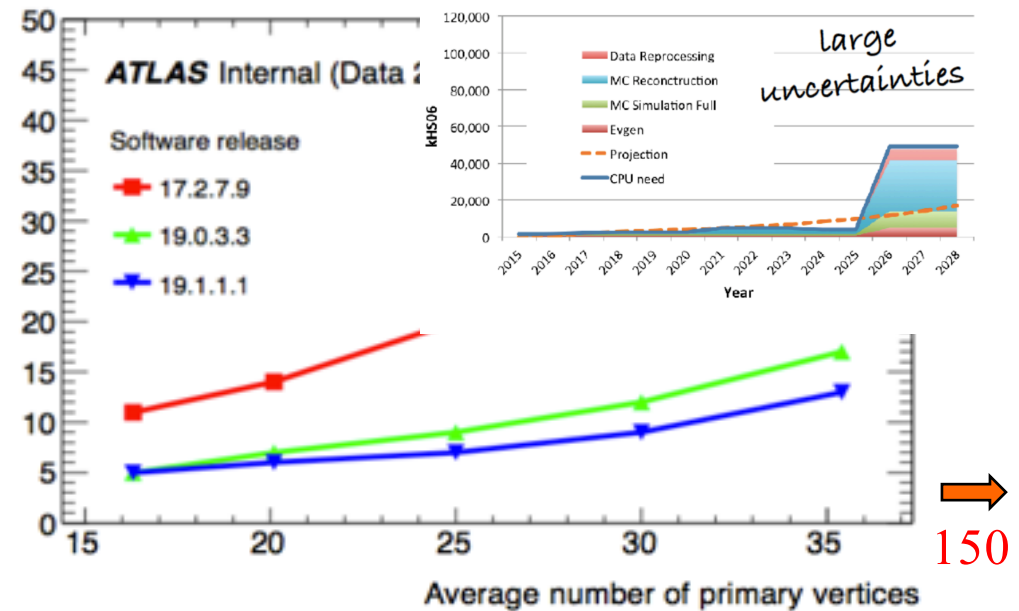




# TrackML : Motivation

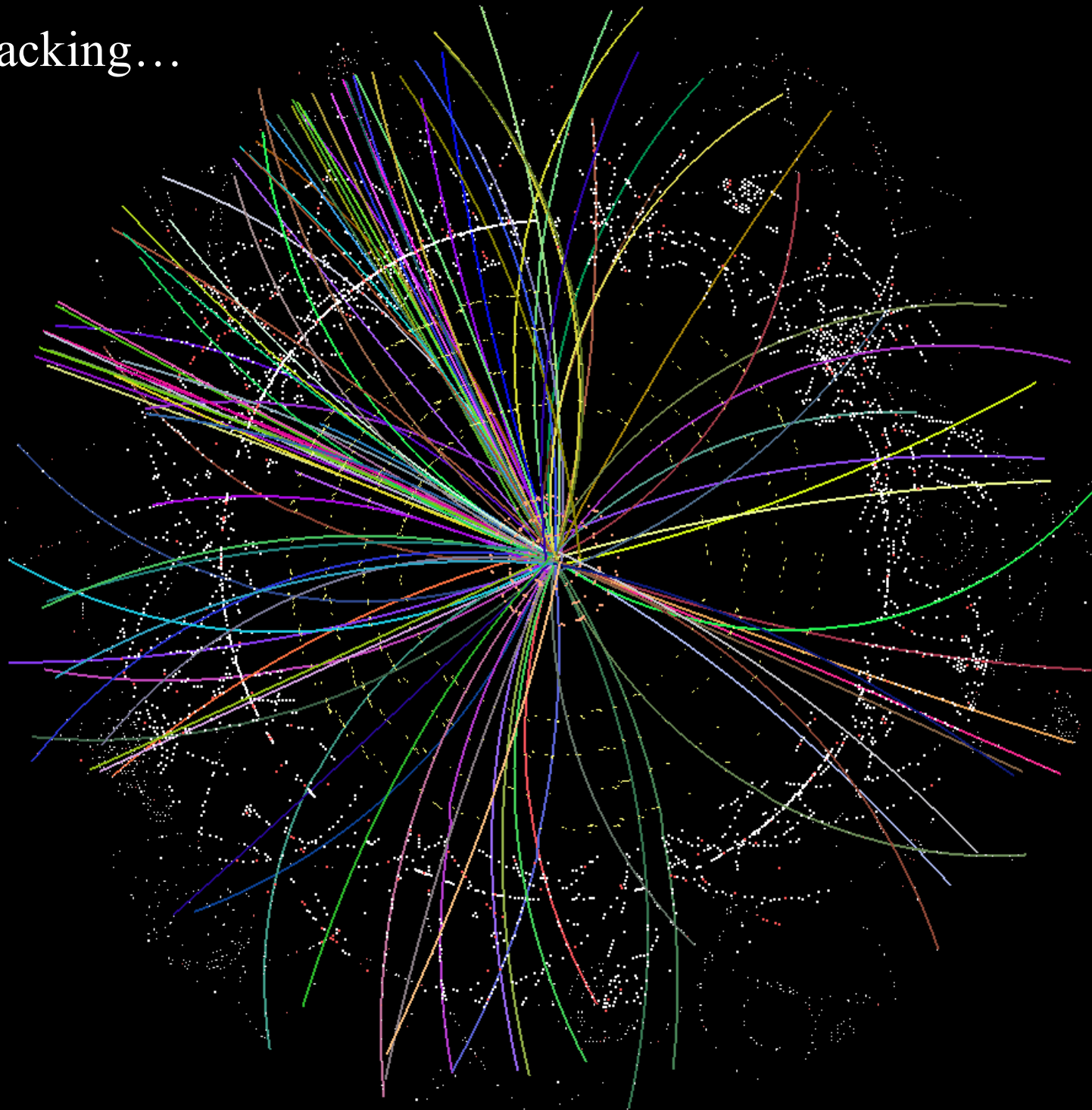


- ❑ See details DR talk at CTD/WIT 2017
- ❑ Tracking (in particular pattern recognition) dominates reconstruction CPU time at LHC
- ❑ HL-LHC (phase 2) perspective : increased pileup : Run 1 (2012):  $\langle n \rangle \sim 20$ , Run 2 (2015):  $\langle n \rangle \sim 30$ , Phase 2 (2025):  $\langle n \rangle \sim 150$
- ❑ CPU time quadratic/exponential extrapolation (difficult to quote any number)
- ❑ Large effort within HEP to optimise software and tackle micro and macro parallelism. Sufficient gains for Run 2 but still a long way for HL-LHC.
- ❑ >20 years of LHC tracking development. Everything has been tried?
  - Maybe yes, but maybe algorithm slower at low lumi but with a better scaling have been dismissed ?
  - Maybe no, brand new ideas from ML (i.e. Convolutional NN)
- ❑ ➔ Tracking challenge to be launched on Kaggle this March 2018

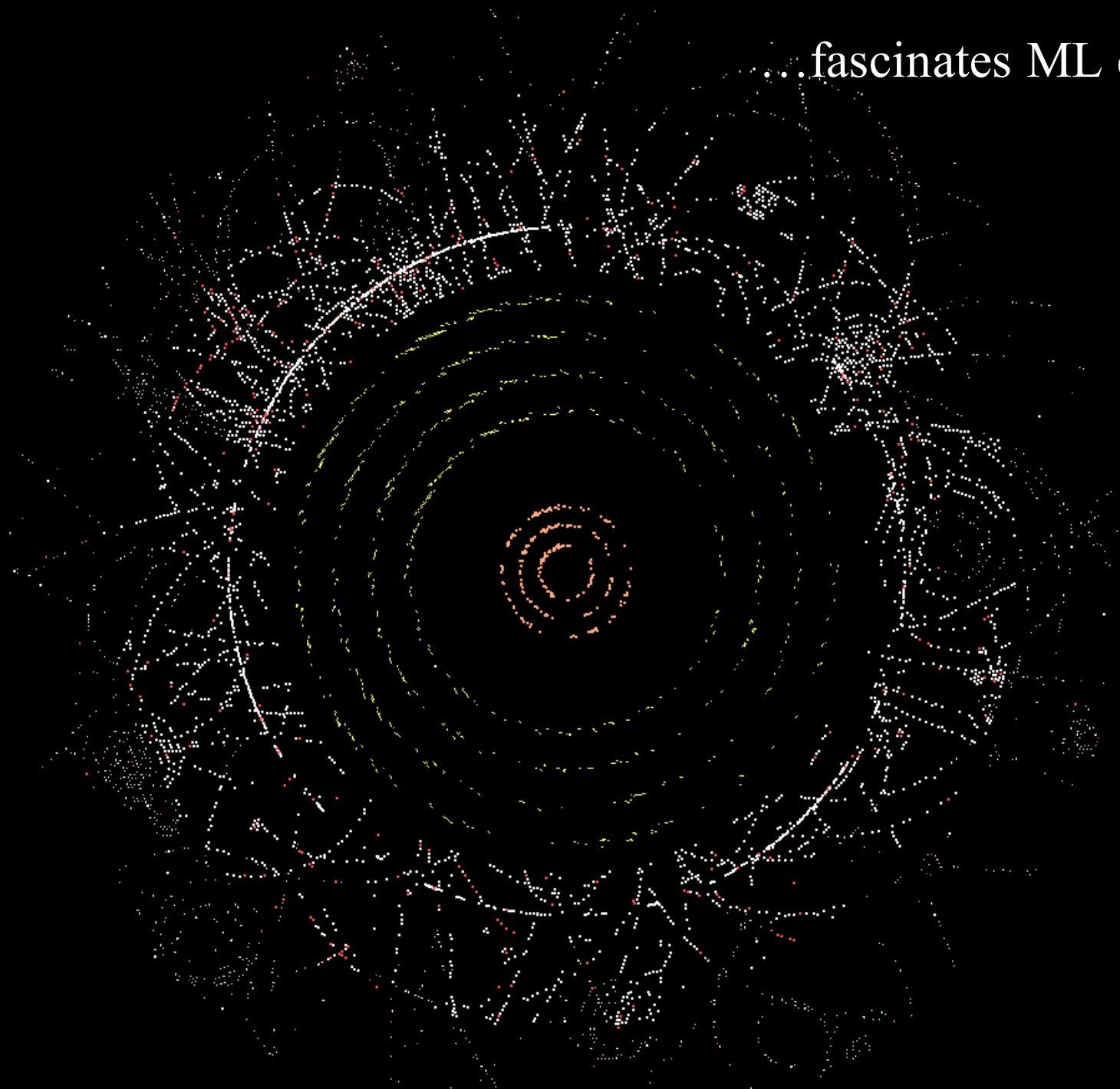




# HEP tracking...



...fascinates ML experts

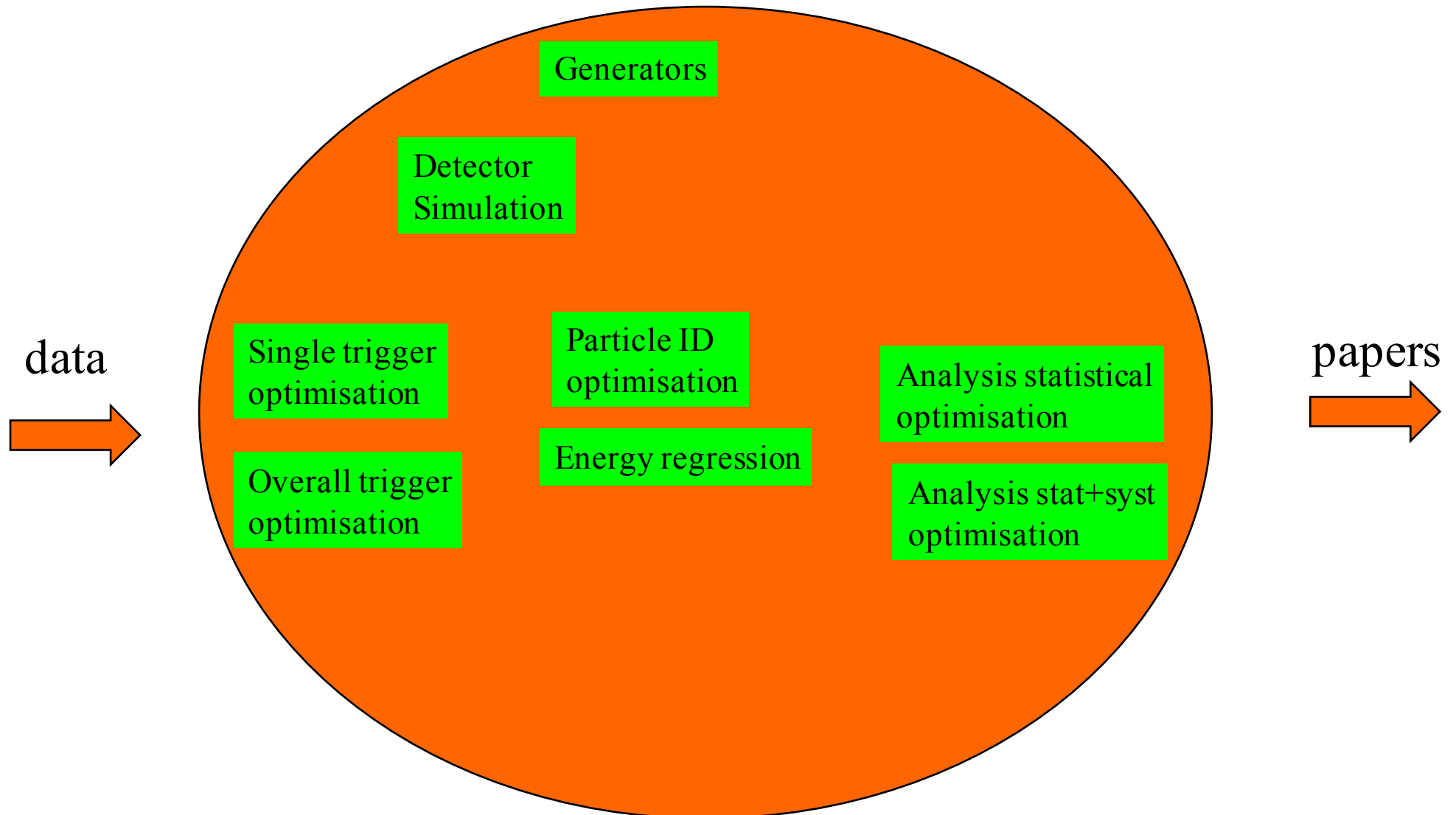


Advances in ML in HEP David Rousseau, JINR day in France, 15 Feb 2018

# Wrapping-up



# ML playground



# ML Collaborations



- ❑ Many of the new ML techniques are complex → difficult for HEP physicists alone
- ❑ ML scientists (often) eager to collaborate with HEP physicists
  - prestige
  - new and interesting problems (which they can publish in ML proceedings)
- ❑ Takes time to learn common language
- ❑ Note : Yandex Data School of Analysis (with ~10 ML scientists) now a bona fide institute of LHCb
- ❑ Access to experiment internal data an issue, but there are ways out → more and more Open Dataset
- ❑ Very useful/essential to build HEP - ML collaborations : study on shared dataset, thesis (Computer Science or HEP)
- ❑ There is always a friendly Machine Learner on a campus!

# Multitude of HEP-ML events

- ❑ HiggsML Challenge, summer 2014
  - → HEP ML NIPS satellite workshop, December 2014
- ❑ Connecting The Dots, Berkeley, January 2015
- ❑ Flavour of Physics Challenge, summer 2015
  - → HEP ML NIPS satellite workshop, December 2015
- ❑ DS@LHC workshop, 9-13 November 2015
- ❑ Moscou/Dubna ML workshop 7-9<sup>th</sup> Dec 2015
- ❑ Heavy Flavour Data Mining workshop, 18-21 Feb 2016
- ❑ Connecting The Dots, Vienna, 22-24 February 2016
- ❑ Hep Software Foundation workshop 2-4 May 2016 at Orsay, ML session
- ❑ Connecting The Dots, LAL-Orsay, 6-9 March 2017
- ❑ LHC Interexperiment Machine Learning group
  - Started informally September 2015, gaining speed
  - IML workshop @CERN 20-22 March 2017, 9-12 April 2018
- ❑ DS@HEP workshop @FNAL 8-12 May 2017
- ❑ ACAT conference Seattle, Sep 2017
- ❑ Connecting The Dots, 20-22 March 2018





# Conclusion



- ❑ We (in HEP) are analysing data from multi-billion € projects → should make the most out of it!
- ❑ Recent explosion of novel (for HEP) ML techniques, novel applications for Analysis, Reconstruction, Simulation, Trigger, and Computing
- ❑ Some of these are ~easy, most are complex: open source software tools are ~easy to get, but still need (people) training, know-how
- ❑ More and more open datasets/simulators
- ❑ More and more HEP and ML workshops, forums, schools, challenges
- ❑ More and more direct collaboration between HEP researchers and ML researchers
- ❑ HEP will need more and more access to (GPU) training resources
- ❑ Never underestimate the time for :
  - (1) Great ML idea →
  - (2) ...demonstrated on toy dataset →
  - (3) ...demonstrated on real experiment analysis/dataset →
  - (4) ...experiment publication using the great idea

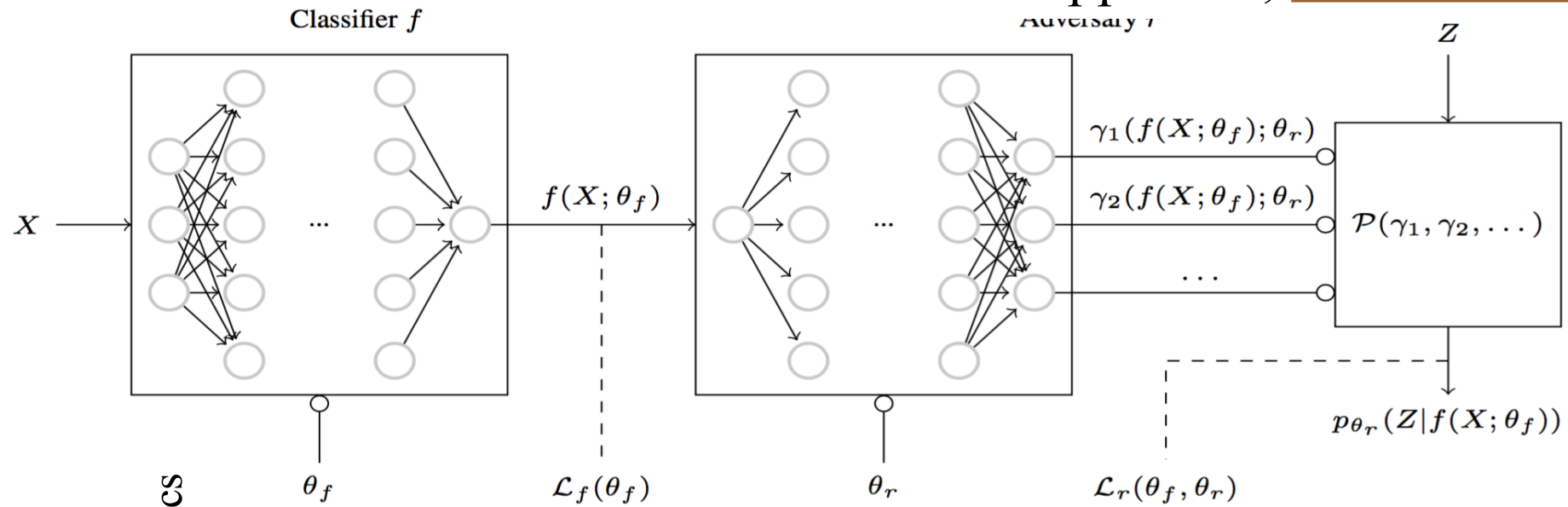
# Backup



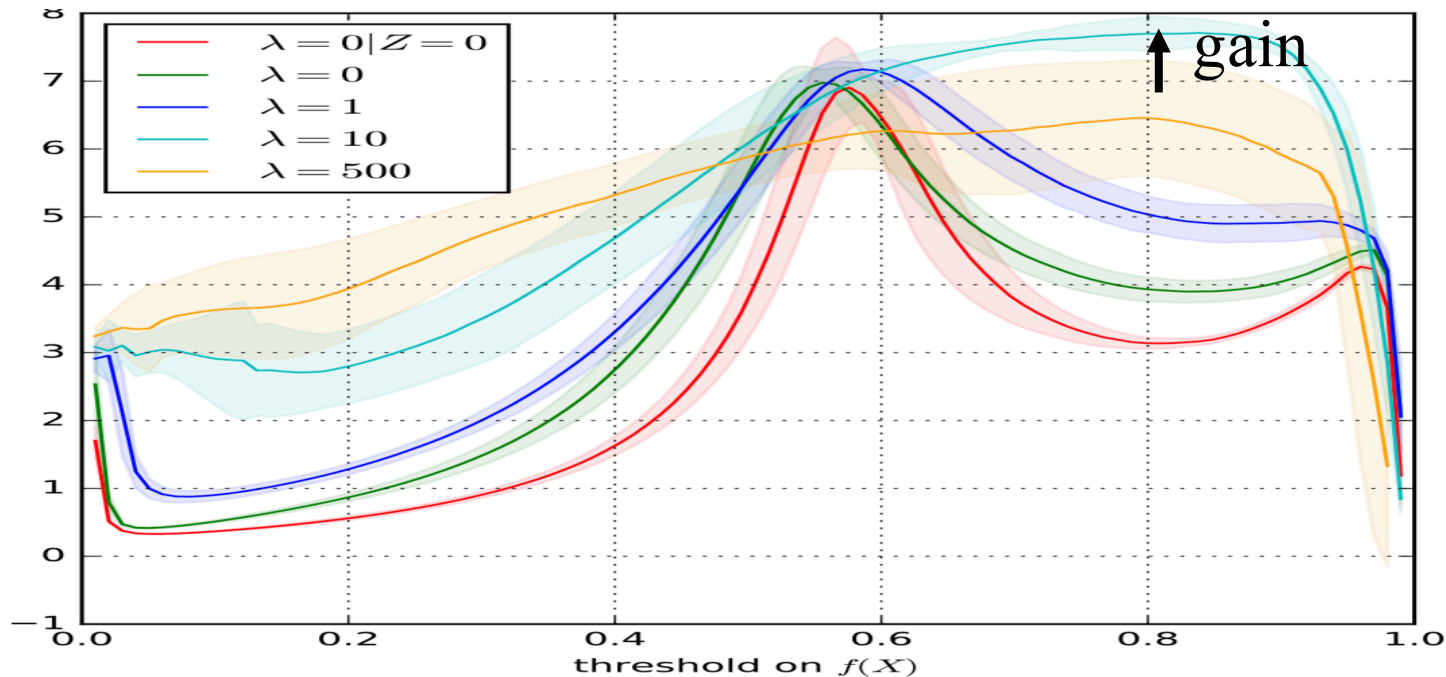


# Syst Aware training: pivot

Louppe et al, [1611.01046](#)



Significance including systematics



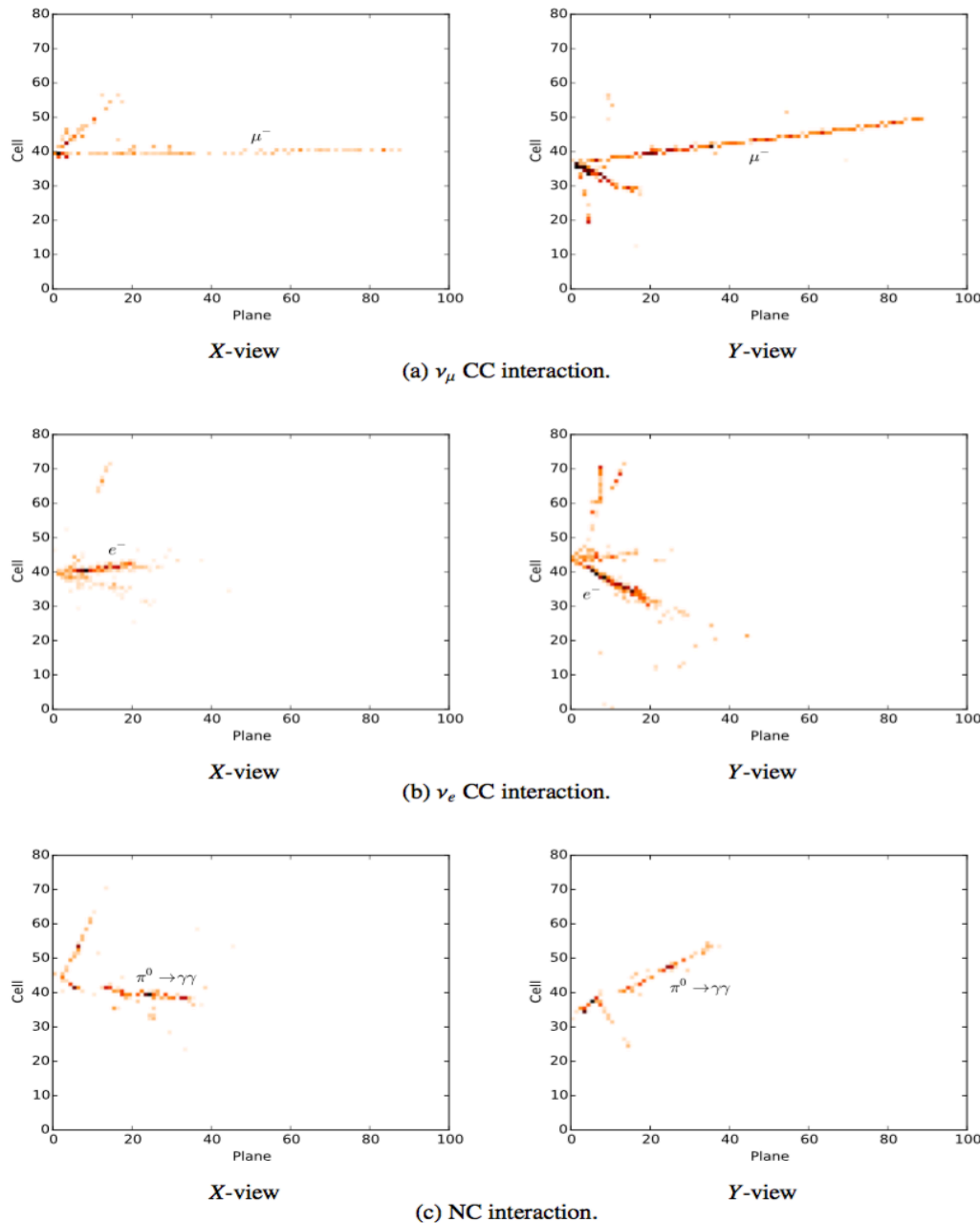
# TrackML : engaging Machine Learners



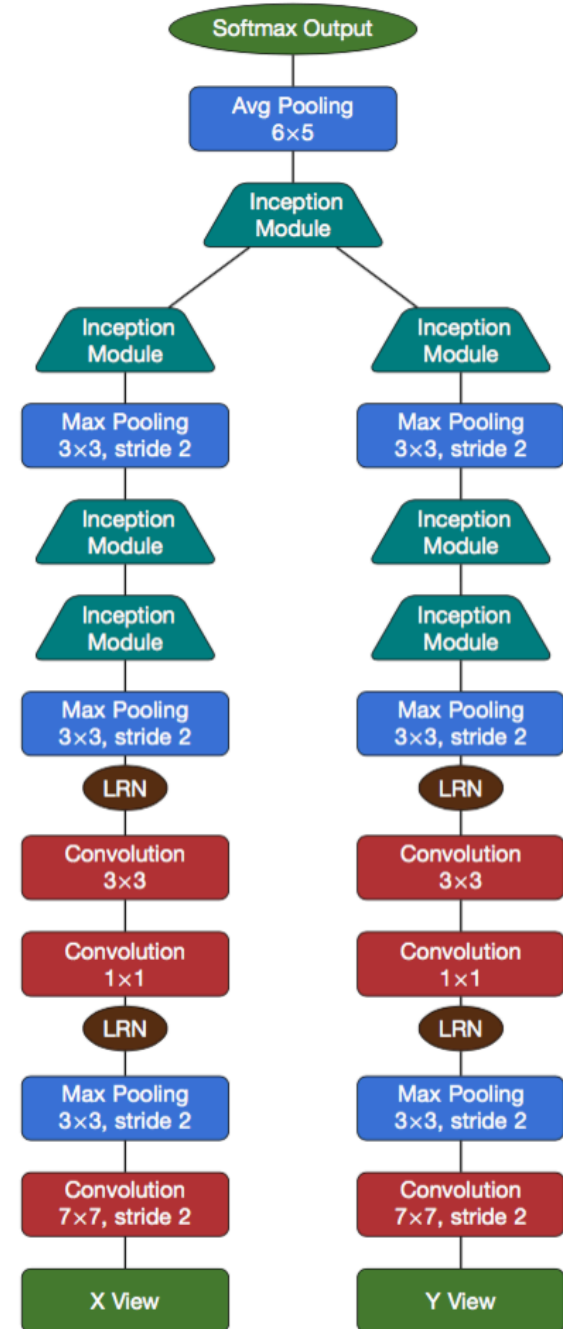
- ❑ Suppose we want to improve the tracking of our experiment
- ❑ We read the literature, go to workshops, hear/read about an interesting technique (e.g. ConvNets, MCTS...). Then:
  - Try to figure by ourself what can work, and start coding → **traditional way**
  - Find an expert of the new technique, have regular coffee/beer, get confirmation that the new technique might work, and get implementation tips → **better**
- ❑ ...repeat with each technique...
- ❑ **Much much better:**
  - Release a data set, with a benchmark, and have the expert do the coding him/herself
  - → he has the software and the know-how so he'll be (much) faster even if he does not know anything about our domain at the beginning
  - → engage multiple techniques and experts simultaneously (e.g. 2000 people participated to the Higgs Machine Learning challenge) in a comparable way
  - → **even better if people can collaborate**
  - → a challenge is a dataset with a benchmark and a buzz
  - Looking for long lasting collaborations beyond the challenge
- ❑ Focus on the pattern recognition : release list of 3D points, challenge is to associate them into tracks fast. Use public release of ATLAS tracking (ACTS) as a simulation engine and starting kit
- ❑ Phase 1 (just accuracy) to be launched in March 2018 on Kaggle platform
- ❑ Phase 2 (accuracy and CPU) will run summer 2018, maybe on Kaggle also

# A recent attempt : NOVA

arXiv 1604.01444 Aurisano et al



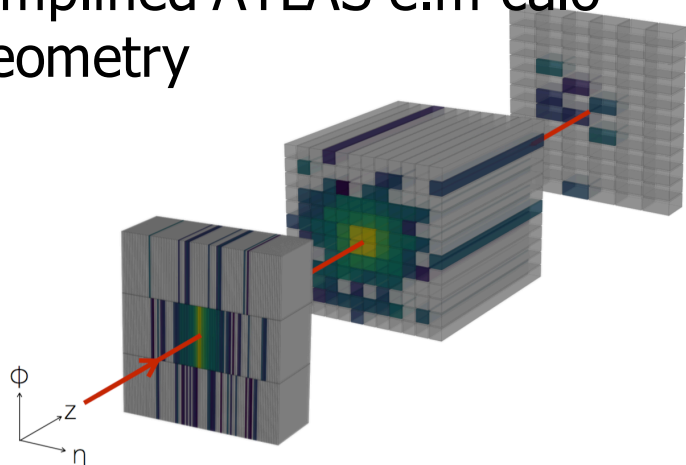
Neutrino interaction classification  
Using Convolutional Neural Network (GoogleNet)  
Actually used for analysis



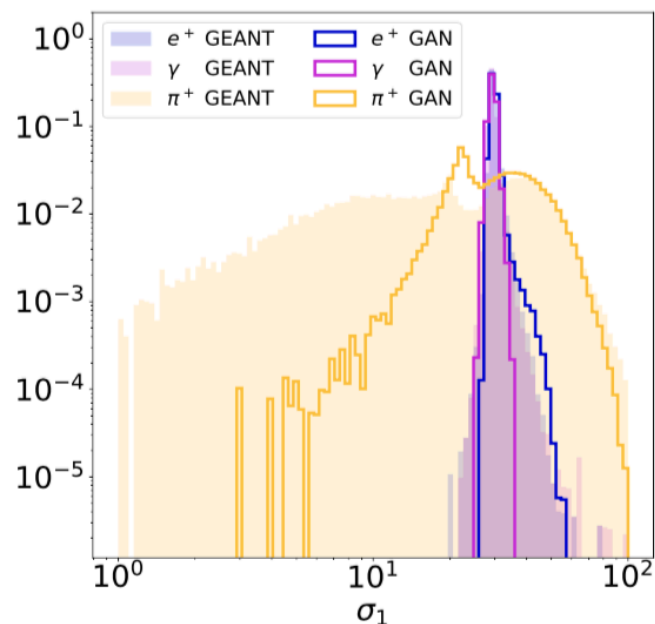
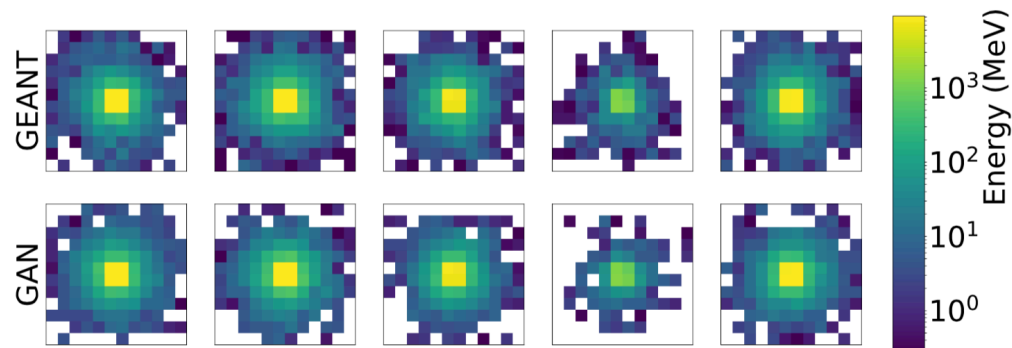
# CaloGAN



Simplified ATLAS e.m calo geometry



Paganini et al 1705.02355.



- $\sigma_1$ : width in Middle layer
- One of many physics variable examined
- Pion more difficult
- ➔ very promising, but still a long way to go

# Classification without labels

Metodiev et al, [1708.02949](#)

- Suppose one wants to separate S and B
- But one only has one signal rich sample  $M_s$  and one background rich sample  $M_b$
- A classifier optimally trained with  $M_s$  and  $M_b$  (**without information on fraction of S and B**) is actually also optimal to separate S and B!
- →...allows training on data where it is hard to have very pure control sample
- ...one still need to evaluate classification performance
- Big caveat : works only if S and B pdf are identical in  $M_s$  and  $M_b$

